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SEPARATION OF TOUCHING CHARACTERS IN OPTICAL CHARACTER
RECOGNITION

Abstract of the Disclosure

Method and apparatus for separating touching characters within an optical character recognition (OCR) computer (1). An input document (20) is scanned by scanner (2), forming a set of scan lines (3). A segmentation process (4) is performed on the scan lines (3) to create a set of segmented image boxes (5). Candidate characters within the image boxes (5) are classified by a classification module (6), based upon a library of stored models (7). When the candidate characters have high degree of confidence, they are classified and coded into a binary form (8), such as ASCII. Those candidate characters that are not classified are processed by a touching character decision module (9) to determine whether a series of separation modules (10-14) is to be invoked. The execution of modules (10-13), followed by the reexecution of modules (4) and (6), may or may not cause all of the touching characters to be separated. Any touching characters that remain are subjected to one or more reprocessing cycles. The reprocessing can entail examination (14) of adjacent scan lines (3), shifting of separation threshold T by separation threshold determination module (10), or re-execution of deconvolution step (12) with changed parameters or structure.

SpecificationSEPARATION OF TOUCHING CHARACTERS IN OPTICAL CHARACTER
RECOGNITION

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Technical Field

This invention pertains to the field of optical character recognition (OCR) computers, and, more particularly, to OCR computers that have the capability of separating scanned characters that are touching.

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Background Art

The process of optical character recognition (OCR) entails the computerized analysis of an input document that has been optically scanned by a scanner, in order to determine what characters appeared on the input document. The input document
15 may have been produced by printing. The printing and scanning steps can produce distortions that sometimes result in the appearance that some of the characters being analyzed are touching. This makes it difficult for the OCR computer to determine the proper identities of these characters.

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resolution. Other instances of touching characters can be caused by font design, e.g., characters that have been designed to touch or be very close to each other. Any or all of the above factors may interrelate with each other in such a way as to cause a high percentage of touching characters. For example, it is not uncommon to have a 20% incidence rate of touching characters when a 600 dpi (dot per inch) text is scanned at 400 dpi. This can wreak havoc with attempts to perform intelligible OCR.

Prior art methods to compensate for touching characters have been crude and have focused on either ignoring the touching, finding an adequate local separation threshold, or in designing templates comprising combinations of characters that are likely to touch. These methods are based on trial and error. They usually fail in many common fonts where the intensity level resulting from a thin stroke in a character is lower than that resulting from an area where two characters touch. In addition, the known methods have a classification module which operates on binary images only. This limits the accuracy level that can be reached. The present invention is capable of producing image boxes in both binary and greyscale formats.

Disclosure of Invention

The present invention is a method and apparatus for separating touching characters within an optical character recognition computer (1). A scanner (2) scans an input document (20), creating an array of numerical values. Each row of values in this array is referred to as a scan line (3). A segmentation

process (4) operates on the set of scan lines (3) to create a set of connected components image boxes (5). For each scan line (3) in these boxes (5), a separation threshold (T) is determined (10). A deconvolution process (12) is applied to each scan line (3). Each scan line (3) is binarized (13) using its separation threshold (T) to create a set of processed scan lines. The segmentation process (4) is applied to the set of processed scan lines to create a new set of segmented image boxes (5). A classification operation (6) is performed on the new set of segmented image boxes (5) to create a binarized representation (8) of the input document (20).

Brief Description of the Drawings

These and other more detailed and specific objects and features of the present invention are more fully disclosed in the following specification, reference being had to the accompanying drawings, in which:

Figure 1 is a block diagram of an OCR computer 1 employing the present invention.

Figures 2a, 2b, and 2c are illustrations of three exemplary scan lines 3, showing greyscale as a function of pixel position, as augmented by module 10 of the present invention.

Figures 3a, 3

processed by an elementary one-dimensional moving average multiply-add deconvolution module 12.

Figures 5a, 5b, and 5c are illustrations of the scan lines of Figures 4a, 4b, and 4c, respectively, after they have been
5 processed by binarization module 13.

Figure 5d is an illustration of the scan line 3 of Figure 3c after it has been processed by adjacent scan line examination module 14 and binarization module 13.

Figure 5e is an illustration of the original scan line 3 of
10 Figure 2c with the pixel bridge 40 removed.

Figure 6a illustrates a typical case where conventional intensity-based methods fail.

Figure 6b shows that the current invention results in correct separation of touching characters, for the same example
15 that gave rise to Figure 6a.

Detailed Description of the Preferred Embodiments

An input document 20,

The output of scanner 2 is an array of numerical values which could be viewed as a set of scan lines 3, each of which illustrates greyscale level as a function of horizontal position along the page 20. The number of scan lines 3 per character on 5 page 20 varies as a function of character size, scanner 2 resolution, etc., and is typically about 60 scan lines per character in size 12 typefaces.

The number of levels of greyscale varies based upon the characteristics of scanner 2, and is typically 256. With such a 10 greyscale resolution, we assume, without loss of generality, that level 255 represents pure black while level zero represents pure white.

Three exemplary scan lines 3 are illustrated in Figures 2a, 2b, and 2c. Figure 2a illustrates a scan line 3 with a weak 15 merge 40, and its separation threshold T . Figure 2b illustrates a scan line 3 with a moderate merge 40, and its separation threshold T . Figure 2c illustrates a scan line 3 with a strong merge 40, and its separation threshold T .

The horizontal axes in Figures 2a, 2b, and 2c correspond to 20 the horizontal axis of page 20, and are broken up into a discrete number of pixels, depending upon the resolution of scanner 2. Each of the scan lines 3 in Figures 2a, 2b, and 2c

where the level of greyscale never drops, even for a single pixel, to zero.

The rows of the original clean pixel matrix image corresponding to the scan lines 3 of Figures 2a, 2b, and 2c, although not normally available, are illustrated in Figures 2a, 2b, and 2c as a series of dashed lines 5. The dashed greyscale 255 plateaus (for segmented image boxes 5) illustrated in Figures 2a, 2b, and 2c represent different strokes in the characters, although it will be appreciated that in other scan lines, these plateaus could represent the whole character. The original pixels had a greyscale value of either 0 or 255, but were distorted by one or more of the processes described above in the section entitled "Background Art". For example, the original pixel matrix could have been created on a computer; when said computer printed the characters onto document 20, a first set of distortions appeared. A second set of distortions appeared when page 20 was scanned by scanner 2.

The pixel bridge 40 illustrated in Figure 2a is considered a weak bridge, because the highest level of greyscale in the bridge 40 is less than that of the lowest level of greyscale in any character stroke. The pixel bridge 40 illustrated in Figure 2b is considered to be a moderate bridge 40, because the highest level of greyscale in the bridge 40 is in the same order of magnitude as that of the lowest level of greyscale in some parts of the characters. The pixel bridge 40 of Figure 2c is considered to be a strong

of greyscale in some thin strokes of the characters. Notice from Figure 2a that the weak bridge 40 (also known as the weak merge 40) can be resolved by the scan line separation threshold T . The moderate merge 40 can also be resolved using a threshold whose value is just above that of the separation threshold T of the corresponding scan line 3 if the image contains no thin stroke whose greyscale level is lower than that of the moderate bridge 40. This is not possible, for example, if the scan lines 3 of Figures 2a and 2b came from the same image. The strong merge 40 cannot successfully be resolved by simple thresholding. Having a different separation threshold T for each line 3 provides a unique reference on which this line 3 is handled. This allows us to resolve bridges 40 of different magnitude even though they existed in the same image, as is the case usually.

As in a conventional OCR process, scan lines 3 are fed as an input to segmentation module 4, which breaks up the set of scan lines 3 into a set of segmented image boxes 5 surrounding items that are candidates to be classified as characters. Depending on the need of the user, these image boxes 5 contain either binary or greyscale images. If scanner 2 does not contain a built-in binarizer, the scan lines 3 that are outputted by scanner 2 are passed through global binarization module 15 to be binarized. In that case, segmentation module can operate on either greyscale scan lines 3 or binarized scan lines 3. If characters are touching, however, the segmented image boxes 5 do not surround individual characters, but rather surround groups of characters that are touching. For the case illustrated in Figure 2c, a single box 5 surrounds two characters.

set of baseline parameters stored within module 9) indicate the presence of two or more touching characters, control is passed to the module which is to be executed next. This module is normally separation threshold determination module 10, but may
5 also be deconvolution module 12.

If, on the other hand, the verification steps suggest that the candidate character is an unknown character, rather than two or more touching characters, a binary representation of the unknown character is passed to binary representation storage
10 area 8.

Storage area 8, as well as storage areas holding scan lines 3, segmented image boxes 5, and library of models 7, may be any storage area used with computers, such as a floppy disk, a hard disk, a magnetic memory, an optical memory, a bubble memory,
15 etc.

Separation threshold determination module 10 is normally executed prior to deconvolution module 12, but may be executed after deconvolution module 12. When optional normalization module 11 is invoked, both of modules 10 and 11 are executed
20 prior to module 12.

The purpose of module 10 is to determine, for each scan line 3, a separation threshold T expressed in greyscale level. A greyscale level above T is

variations of it, such as the constant modulus error criterion.

Also, for the type of printers and scanners that are currently on the market, a nominal and fixed parameters function f from the family of structures outlined earlier may suffice. An

5 embodiment that we particularly prefer for its simplicity, speed of operations, and performance is for the multiply-add filter deconvolution function to be:

$$Y_p = f(y_p) = y_p + ay_{p-1}$$

where

10 Y_p is the calculated level of greyscale at the pixel position p in the scan line 3

y_p is the actual level of greyscale at the pixel position p in the scan line 3

15 y_{p-1} is the actual level of greyscale at the pixel position to the left of position

