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(21) International Application Number: PCT/US92/07096 (22) International Filing Date: 19 August 1992 (19.08.92) (71) Applicant: HEALTH RESOURCE INSTITUTE, LTD. [US/US]; 272 Pomona Avenue, Long Beach, CA 90803 (US). (72) Inventors: ZELIGS, Michael, A. ; 272 Pomona Avenue, Long Beach, CA 90803 (US). GORDON, Kathleen, Bal- dridge ; 435 East 70th Street, Apt. 27L, New York, NY 10021 (US). (74) Agent: SHARP, Janice, A.; Christie, Parker & Hale, P.O. Box 7068, Pasadena, CA 91109-7068 (US).		(81) Designated States: JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE). Published <i>With international search report.</i>
(54) Title: DEHYDROEPIANDROSTERONE THERAPY FOR THE TREATMENT OF EYE DISORDERS (57) Abstract A method for treating aging-related changes in tear film composition and chronic inflammatory conditions of the eye, collectively known as "dry eye syndrome", comprising the administration of the steroid hormone dehydroepiandrosterone (DHEA) and its derivatives. DHEA stimulates the meibomian gland to secrete lipids which maintain an effective tear film. Compositions for the administration of DHEA in the form of eyedrops are also described.		

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**DEHYDROEPIANDROSTERONE THERAPY
FOR THE TREATMENT OF EYE DISORDERS**

Field of the Invention

15 The present invention relates to methods and compositions comprising dehydroepiandrosterone (DHEA) for the treatment of irritative eye conditions, such as those collectively referred to as "dry eye syndrome."

Background of the Invention

20 Chronic eye irritation is a common complaint that accompanies aging, contact lens use, various inflammatory conditions, and auto-immune kerato-conjunctivitis, such as "Sjögren's Syndrome," which is common in elderly individuals. These
25 conditions are collectively referred to as "dry eye syndrome" (DES). Severe DES, known as keratoconjunctivitis sicca (KCS), accounts for about 80%-90% of the diagnosed cases of DES. The most severe KCS represents sight-threatening dry eye and is found
30 following facial burns, in association with Stevens-Johnson Syndrome, in seventh cranial nerve palsies, and in exophthalmic thyrotoxicosis. No effective medical therapy exists for these severe DES conditions.

35 In many instances, the symptoms associated with DES have been related to deficiencies in the production or function of the tear film, which covers the external

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1 surface of the eye. DES may result from abnormalities
in tear-film composition or spontaneous breakup of the
film. Analysis of the tear film has revealed that it
is made up of three distinct zones which differ in
5 their compositions. These zones are:

1. a mucous or protein-containing zone or layer,
which is closest to the eye surface and which coats the
conjunctival membrane with a hydrophilic layer. The
hydrophilic layer attracts water molecules and ensures
10 uniform hydration of the conjunctival surface. In a
healthy eye, the mucous layer is only a few hundredths
of a micron thick;

2. an aqueous zone or layer, which contains
mostly water and which constitutes the bulk of the tear
15 film. This layer has a thickness of about 6-7 μm .
Tears are a low-viscosity, aqueous solution containing
organic salts, glucose, urea, some trace elements, and
various biopolymers; and

3. a surface lipid zone or layer, which forms
20 the interface between the tear film and the external
environment. The thickness of the surface lipid layer
has been estimated to be about 0.1 μm in the normal,
open eye.

Physiological abnormalities associated with DES
25 can affect any one of the zones of the tear film. Such
abnormalities include tear-film instability, increased
salt content and tear viscosity, debris, dehydrated
mucin threads, reduced tear-film breakup time,
increased corneal and conjunctival staining,
30 filamentary keratitis, secondary infection, pain, and
discomfort. Significant age-related changes have been
described in the stability and composition of the tear
film. By the age of 50, most individuals exhibit a
loss in the ability to maintain full thickness of the
35 tear film, which results in about a 50% decrease in the
aqueous component of the film. Many DES patients have
not lost the ability to produce the aqueous component

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1 of tears, however, as evidenced by the complaint
"epiphora" or excess, reflexive tear production, which
is considered to be a response to painful dry spots on
the cornea.

5 It has been suggested that the surface lipid layer
of the tear film is an important element in controlling
the evaporative loss of tear fluid and in maintaining
the integrity and resilience of the intact film during
the blinking process. Therefore, a change in the
10 surface lipid layer is a possible factor in the
development of DES, and observations suggest that loss
or changes in the lipid component of the tear film may
be the cause of DES associated with aging.

The lipid component of the tear film is secreted
15 predominantly by the meibomian glands of the eyelids.
These glands are histologically related to the
sebaceous glands of the skin, although they are much
larger. The meibomian glands are located in the tarsal
conjunctiva of the upper and lower eyelids. The glands
20 consist of grape-like clusters or "acini" connected to
ducts which open onto the undersurface of the eyelid
margins. With each blinking movement, the glands
release a lipid-rich secretion which reconstitutes and
maintains the surface lipid layer of the tear film.
25 The main lipid classes secreted by the meibomian glands
have been found to be waxy and cholesterol esters and
cholesterol. Triglycerides, free fatty acids, and
phospholipids have been found to exist in smaller
quantities in some patients and to be absent in others.
30 The variation in the secretions of the meibomian glands
suggests that a loss of these lipid components may be
a factor in the causation of DES. Therefore,
stimulation of the meibomian glands to secrete these
lipids may result in alleviation of the symptoms of
35 DES.

Therapies for DES have previously been directed at
the stimulation of tear production, and a multitude of

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1 substances, such as melanocyte-stimulating hormone;
agents which increase cyclic nucleotides; Vitamins A
and B-12; and ocular irritants, such as Eldosin, have
been proposed as agents to increase tear production.
5 Therapies have recently been directed at reconstituting
or supporting the tear film. These therapies include
topical application of lipids in the form of charged
phospholipid emulsions and topical combinations of
hydrolyzed polyvinyl acetates and alcohols. However,
10 none of these substances has been demonstrated, in
animal or clinical trials, to have any effect on the
normal physiology or function of meibomian glands.
Furthermore, the effect of these substances on the
meibomian glands appears to be nonspecific.

15 Thus, current therapy for DES remains palliative
and involves the use of hygroscopic biochemicals, such
as polyvinyl alcohol and methylcellulose. These
biochemicals are administered in the form of eyedrops.
However, such preparations, while resulting in the
20 temporary relief of symptoms, are non-physiological and
often induce deleterious side effects, such as
abnormalities in the corneal surface.

Therefore, there is a need for an improved
treatment of DES. The treatment is preferably one
25 which stimulates the natural processes of the eye to
produce an adequate tear film which will prevent or
reverse the degenerative changes in the tear film
responsible for development of DES. The treatment is
also preferably one which does not produce
30 abnormalities or other side effects involving the
corneal surface or other structures of the eye.

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1 Summary of the Invention

 Compositions are provided in accordance with the present invention which are suitable for the treatment of DES and which comprise the hormone dehydroepian-
5 drosterone (DHEA, 3β -hydroxy-5-androsten-17-one) and its derivatives. The compositions further comprise, in one embodiment of the invention, a solubilizing agent, a bacterial-growth-inhibiting agent, and a buffer. In
10 a second embodiment of the invention, the DHEA or its derivatives are incorporated in a lipid emulsion, and, in a third embodiment, DHEA microcrystals are coated with a lipid mixture such as egg phosphatidylcholine and stearylamine.

 Also provided in accordance with this invention
15 are methods for the treatment of DES which comprise the administration of DHEA or its derivatives by topical or systemic administration. When topical administration of DHEA is used, the above-mentioned DHEA compositions are preferred. When administration of DHEA is by
20 systemic means, other known compositions of DHEA are preferred.

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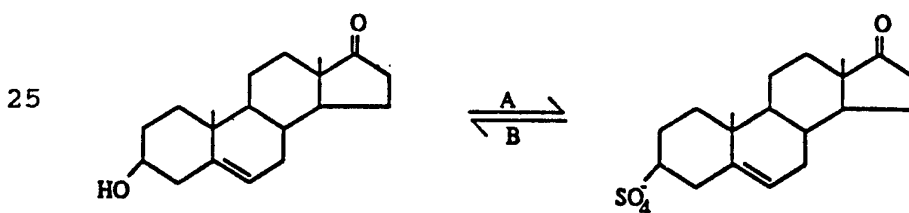
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1 Detailed Description

 The present invention provides compositions comprising the steroid hormone DHEA in an "ophthalmic solution" which is suitable for the treatment of ocular tissues by topical application. The compositions are useful as a means of stimulating responsive glandular and cellular elements of the eye to produce and secrete lipids and proteins, which support and maintain the integrity of the tear film of the eye. Methods for treating DES with these compositions are also provided.

 DHEA is an endogenous adrenal steroid which comprises the most abundant steroid species synthesized by the adrenal gland. DHEA is secreted in a "free," biologically active form, and a "sulfated," biologically inactive form (DHEA-S). DHEA-S circulates as part of the more abundant storage form of the hormone. DHEA is converted to DHEA-S by the action of steroid sulfo- transferase (A), which is found primarily in the adrenal gland and liver, and DHEA-S is converted to DHEA by the action of steroid sulfatase (B), which is found in a variety of tissues. The structures of DHEA and DHEA-S are given below:



30 Due to unknown causes, production and secretion of DHEA fall progressively in both males and females after young adulthood. The topical and systemic methods of treatment described herein provide hormonal replacement to reverse aging-related changes in the tear film composition and loss of tear-film function that are associated with DES. Purely pharmacologic use of DHEA to treat DES in young individuals due to various non-

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1 aging etiologies is also envisioned by the present invention.

DHEA, like its parent compound cholesterol, is insoluble in water but is soluble in organic solvents such as hot alcohols, benzene, oils, fats, and aqueous solutions of bile salts. Treatment of the eye is preferably performed by the administration of eyedrops. However, for such administration, it is preferred that the DHEA be in a soluble form or in the form of microcrystals dispersed in solvents that are physiologically compatible with the eye. Therefore, preferred compositions of DHEA, for use in the present invention, solubilize the DHEA to facilitate its delivery, cellular availability, and retention in the ocular space, thereby maximizing its ophthalmologic therapeutic use.

In one embodiment of the present invention, a DHEA ophthalmic solution comprises a suspension of from about 0.1% (w/v) to about 1.5% (w/v) DHEA (supplied by Sigma Chemical Co., Catalog No. D4000) in a physiologically suitable carrier such as phosphate-buffered saline (137 mM NaCl, 1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄). The various forms of DHEA useful in the present invention are DHEA; DHEA-S; the free alcohol of DHEA; derivatives of DHEA such as DHEA-3-acetate (3 β -Hydroxy-5-androsten-17-one acetate), DHEA-3-glucuronide (3 β -Hydroxy-5-androsten-17-one 3 glucuronide), DHEA-hemisuccinate, DHEA-valerate, DHEA-enanthate, DHEA-fatty acid derivatives, 16- α -fluorinated, 16- α -brominated DHEA, and DHEA-salts; and other such DHEA compounds that retain the biological function of DHEA.

A solubilizing agent such as polyethylene glycol or other suitable, physiologically acceptable solubilizing agent is added to the solution to solubilize the DHEA. When polyethylene glycol is used as the solubilizing agent, a molecular-weight range of

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1 from about 300 to about 550 is preferred. The
concentration of the solubilizing agent is preferably
in the range of from about 1% (w/v) to about 5% (w/v).
About 3% (w/v) polyethylene glycol 400 (supplied by
5 Sigma Chemical Co., Catalog No. P3265) is particularly
preferred for use in the present invention.

It is also preferred that an agent for inhibiting
the growth of bacteria in the ophthalmic DHEA solution
be included for long-term storage of the solution.
10 Such agents, useful in the practice of the present
invention, are benzalkonium chloride (Sigma Chemical
Co., Catalog No. B1383) or POLYQUAD 0.001% (Alcon
Labs.) or other physiologically acceptable agents.
Preferably, the concentration of the bacterial growth
15 inhibitor is in the range of from about 0.005% (w/v) to
about 0.01% (w/v). Most preferably, 0.01% (w/v)
benzalkonium chloride is used as the bacterial-growth
inhibitor.

In a second embodiment of the present invention,
20 a stable emulsion containing DHEA dispersed in a
mixture of biocompatible lipids is provided. An
emulsion is preferred in order to reduce the temporary
irritation caused by crystalline DHEA suspended in a
primarily aqueous medium. Emulsions also allow
25 delivery of the DHEA in a carrier that does not disrupt
the natural lipid component of the tear film. However,
the lipid-based carrier causes visual blurring after
administration and is therefore preferably administered
prior to sleep.

30 A DHEA-lipid-emulsion suitable for use in the
present invention comprises 0.5% (w/v) crystalline
DHEA, about 0.7% (w/v) n-octanoic acid, about 0.3%
(w/v) alpha-tocopherol acetate, about 0.35% (w/v)
tidylinositol, and about 0.35% phosphatidylglycerol in
35 an aqueous medium such as borate-buffered physiological
saline, pH 7.2. POLYQUAD, or other suitable agent to

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1 inhibit bacterial growth in the emulsion, is also added.

 In a third embodiment of the present invention, a DHEA composition is provided for prolonged ocular retention of the added DHEA. Prolonged ocular retention is advantageous, since the pharmacologic action of the DHEA in stimulating the meibomian glands, and other responsive cells, is enhanced by sustained exposure of the tissue to the hormone. In this embodiment of the present invention, the DHEA composition comprises microcrystalline DHEA coated with a phospholipid mixture.

 The DHEA-lipid-coated composition is prepared by dissolving DHEA, or its derivative as described above, in absolute ethanol or chloroform. The DHEA is recrystallized, from the ethanol, by the addition of distilled water. The crystals produced are of uniform size and morphology. Crystals are separated from the liquor by centrifugation and filtration.

 The lipids useful for practice of the present invention are egg phosphatidylcholine, stearylamine, lecithin or other suitable lipids, or combinations thereof. To coat DHEA crystals, the lipids are dissolved in a lipid solubilizing agent such as anhydrous chloroform. Preferably, about 450 μ moles of egg phosphatidylcholine (Sigma Chemical Co., Catalog No. P2772) and about 50 μ moles of stearylamine (Sigma Chemical Co., Catalog No. S6755) are dissolved in about 2 to about 3 ml anhydrous chloroform. After the lipids are dissolved in the solvent, the solvent is evaporated in vacuo, leaving a residual, thin film of lipid.

 The DHEA microcrystals, about 1phospha-00 mg (350 μ moles), are suspended in a buffer, such as about 5 mM Tris, pH 7.4, containing about 10 mM NaCl, or other pharmaceutically suitable buffer, and the suspension is added to the lipid film. The buffer-lipid-DHEA mixture is emulsified using means such as a vortex mixer and

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1 sonication, using a Heat Systems Ultrasonics sonicator,
at maximal output. The emulsification is preferably
performed at room temperature, to facilitate the
formation of the emulsion and the effective lipid
5 coating of the DHEA crystals. The lipid-coated DHEA
crystals are then separated from the emulsion by
centrifugation or other suitable means of separation.
When centrifugation is used, the resultant emulsion is
centrifuged for about 16 hours at about 4°C and at
10 about 120,000 x g. The lipid-coated-DHEA microcrystal
pellet which is obtained, and which contains the
lipid-coated microcrystals, is collected and
resuspended in a buffer, such as about 5 mM Tris, pH
7.4, containing about 10 mM NaCl, or other
15 pharmaceutically suitable buffer, to a DHEA
concentration of from about 0.1% (w/v) to about 1.5%
(w/v). A bacterial growth inhibitor, such as about
0.005% (w/v) to about 0.01% (w/v) benzalkonium
chloride, or other suitable agent, is added to the
20 solution to inhibit the growth of bacteria during
storage.

The above-described compositions comprising DHEA
are useful for the treatment of DES when topical
application is preferred. Such treatment is indicated
25 in the various categories of DES patients as well as in
veterinary practice with DES affected animals. Topical
administration of the compositions is most conveniently
by eyedrops. An effective treatment of DES is by the
delivery of from about 0.5 mg to about 1.5 mg DHEA per
30 treatment, and treatments are repeated from about 2 to
about 3 times a day. Treatment with the DHEA is
preferably continued for about 4 weeks. After about 4
weeks, the frequency of administration of the dosage is
reduced to the minimum dose necessary to maintain an
35 effective tear film. Due to variations in the patients
being treated, the frequency will also vary from person
to person. The minimum effective dose is qualitatively

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1 determined for each patient by observing the properties
of the tear film. Those properties of the tear film
which are evaluated may include formal ophthalmologic
evaluations, such as: slit lamp exam, to test for
5 corneal and anterior chamber abnormalities; fluorescein
tear film breakup time, to test for tear film
stability; Rose-Bengal staining, to evaluate epithelial
integrity; and the Schirmer test, to test for tear film
production. These tests are described in detail in
10 Example 3, below.

Alternatively, treatment of DES may be by systemic
administration. In the case of systemic
administration, especially in individuals with
aging-related deficiencies in the levels of DHEA, any
15 known compositions for the administration of DHEA are
used. These compositions include systemic
administration in the form of parental, sublingual,
transdermal, intra-nasal, or oral delivery. DHEA can
be incorporated into nanospheres, microspheres, or
20 liposomes for injection, and can be incorporated into
cyclodextrins for sublingual and aerosol delivery.

When delivered parenterally, the preferred
treatment dose is from about 90 to about 150 mg per
day, administered in about 3 separate doses. The
25 treatment is preferably continued for about 4 weeks,
and then the frequency is reduced as described above.

Another means for administering the DHEA for the
treatment of DES is by oral administration. In the
case of oral administration, any known compositions,
30 such as capsules of crystalline DHEA, or its
derivatives, are used. When delivered orally, the DHEA
dose is preferably from about 90 to about 150 mg per
day, administered in about 3 separate doses. The
treatment is continued for about 4 weeks and then
35 adjusted to the minimally effective dose, as described
above.

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1 Another form of administration of DHEA for the
treatment of DES is coating contact lenses with a DHEA
containing solution, such as lipid coated DHEA crystals
as described above.

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Example 1

Preparation of a Solubilized DHEA Ophthalmic Solution

1.5 gm microcrystalline DHEA was suspended in 100
ml 137 mM NaCl, 1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄.
3% (w/v) polyethylene glycol 400 and 0.01% (w/v)
benzalkonium chloride were added. The suspension was
stored at -20°C until needed. The suspension was
shaken prior to use to redistribute the DHEA micro-
crystalline particles. The resultant composition
comprised 1.5% (w/v) DHEA, 137 mM NaCl, 1.6 mM KCl, 8
mM Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v) polyethylene glycol
400, and 0.01% (w/v) benzalkonium chloride.

While the resulting suspension required shaking
prior to use, to redistribute the DHEA microcrystalline
particles, the suspension maintained constant
microcrystalline particle size, as assessed by light
microscopy over 2 months.

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Example 2

Preparation of a Phospholipid-DHEA Ophthalmic Emulsion

A DHEA-lipid emulsion is prepared by adding about
500 mg crystalline DHEA to a mixture of about 0.7 ml n-
octanoic acid (supplied by Sigma Chemical Co., Catalog
No. C2875) and about 0.3 ml of alpha-tocopherol acetate
(supplied by Sigma Chemical Co., Catalog No. T3001).
The mixture is heated to about 55°C and stirred for
about 10 min., or until the crystals are dissolved.

In a separate container, about 350 mg phosphatidyl-
inositol (supplied by Sigma Chemical Co., Catalog
No. P5766) and 350 mg phosphatidylglycerol (supplied by
Sigma Chemical Co., Catalog No. P9524) are added to

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1 about 100 ml borate-buffered physiological saline, pH
7.2. POLYQUAD is added to a final concentration of
about 0.001% (w/v) to inhibit bacterial growth in the
emulsion. The mixture is heated to about 55°C and
5 stirred to facilitate the dispersion of the
phospholipids, phosphatidylinositol, and phosphatidylglycerol in the saline.

The DHEA-octanoic-tocopherol mixture, at 55°C, is
slowly added to the phospholipid mixture, at 55°C, with
10 constant stirring. The mixture is then stirred for
about 30 min., at about 55°C, to form a stable
emulsion. The emulsion is then slowly cooled to about
24°C and transferred to sterile containers.

15 Example 3

Preparation of a Phospholipid-Coated-DHEA
Ophthalmic Solution

150 mg crystalline DHEA was dissolved in 10 ml
absolute ethanol. The DHEA was recrystallized, from
the ethanol, by the addition of 1 ml distilled water.
20 Microcrystals, from 5-20 microns in size, were
separated from the liquor by centrifugation and
filtration. Separately, 450 μ moles of egg
phosphatidylcholine and 50 μ moles of stearylamine were
dissolved in 2 ml anhydrous chloroform. The mixture
25 was evaporated in vacuo, leaving a thin film of lipid
on the surface of the container. 100 mg DHEA
microcrystals were suspended in 5 ml buffer A (5 mM
TRIS, pH 7.4, containing about 10 mM NaCl) and added to
30 the lipid film. The buffer-lipid mixture was mixed at
room temperature for 5 min. using a vortex mixer, then
sonicated for 3 min. at room temperature using a Heat
Systems Ultrasonics sonicator, at maximal output. The
resultant mixture was then centrifuged for 16 hrs. at
35 4°C and at 120,000 x g in a Beckman ultra-centrifuge.
The pellet which was obtained, and which contained the
lipid-coated DHEA microcrystals, was resuspended in
about 3 ml buffer A containing about 0.01% (w/v)

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- 1 benzalkonium chloride. The solution contained a final
concentration of 1% (w/v) DHEA.

Example 4

5 Use of DHEA in a Dog Model of Tear Film Disease

DHEA-containing eyedrops, prepared in accordance
with the procedures of Example 1, were used to treat
chronic inflammatory conjunctivitis, common in
Pekingese dogs. This breed of dog, with characteris-
10 tically prominent and bulging eyes (exophthalmos), is
known to have a deficiency in complete lid closure and
thinning of the lipid component of the tear film. Such
a condition results in corneal and conjunctival changes
similar to those seen in the human DES.

15 Four Pekingese dogs were used in the experiment.
Two of the dogs were 11 years old and one was 7 years
old. The fourth was 2 years old and had suffered from
chronic bilateral conjunctivitis since it was one-month
old. After an initial examination of the dogs had been
20 performed, eyedrops were instilled 3 times a day, into
each eye, by a single animal caretaker.

30-ml-dropper bottles for each of the right and
left eyes of each dog were prepared. For each dog, one
bottle contained DHEA drops (1.5% (w/v) DHEA, 137 mM
25 NaCl, 1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v)
polyethylene glycol 400, and 0.01% (w/v) benzalkonium
chloride). These eyedrops were instilled into the
designated "treated" eye. The other bottle contained
a vehicle-only solution (137 mM NaCl, 1.6 mM KCl, 8 mM
30 Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v) polyethylene glycol 400,
and 0.01% (w/v) benzalkonium chloride) and were
instilled into the "control" eye. The animal caretaker
did not know which were the "treated" eyes and which
were the "control" eyes.

35 After 3 weeks, and again after 7 weeks, eyelid
secretions were collected from each dog.

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1 Lid secretions were manually expressed onto
sterile absorbent cotton strips placed in the inferior
and superior conjunctival recesses after locally
anaesthetizing the eyes of the dogs with proparacaine
5 and tetracaine. The saturated absorbent cotton strips
were then immediately placed into 1.5 ml of an
extraction solvent containing chloroform:methanol, in
a ratio of 2:1, in sterile glass tubes. The samples
were maintained on ice, in order to prevent oxidation
10 and breakdown of the components of the collected tear
film. If the samples were not processed immediately,
they were stored at -20°C until needed.

The absorbent cotton strips were each washed into
a separate tube with an additional volume of solvent
15 (about 1 ml). The washed absorbent cotton strips were
then discarded. The lipid solvent samples were
centrifuged at 1500 rpm in a refrigerated centrifuge
(Damon) at about 15°C for 10 min., to remove any
particulate matter contaminating the samples. The
20 lipid-solvent samples were then pipetted into fresh
glass tubes and vacuum-evaporated. The volume of the
sample was reduced to the point where precipitation of
the dissolved lipids could begin to be observed.

Thin-layer chromatography (TLC) was then performed
25 on the lipid samples to analyze and compare the lipid
content of the tear film in the treated and the control
eyes. Samples were applied to a 10 cm x 10 cm silica
gel plate. The samples were each applied in a small
(about 2 mm) spot, under a continuous cold-air stream,
30 to evaporate the solvent. Six samples were applied to
each plate. After the samples were applied to the
plates, the plates were dried, then placed in a
chromatography chamber with a saturated atmosphere of
chromatography solvent. The TLC plates were developed
35 by allowing the solvent to "run," by capillary action,
to about 5 cm from the upper edge of the plate. The
plates were first run in solvent system I, which

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1 contained chloroform, methanol, and distilled water in
a ratio of 65:30:5. The plates were removed from the
chamber, dried, and then run again in solvent system I.
The plates were removed, dried, and run to 4 cm from
5 the upper edge, in solvent solution II, which contained
n-hexane, diethyl ether, and acetic acid in a ratio of
80:20:1.5. The plates were removed from the chamber,
dried, and rerun in solvent system II.

The plates were then removed from the chamber,
10 dried, and sprayed with a 25% (v/v) sulfuric acid
solution, and baked in a 110°C oven for at least 1 hr.,
to "stain" the separated lipids. Identification of
phospholipids was accomplished by comparison to
published tear-lipid separations, such as those
15 described by Wollensak et al. in Graefe's Arch. Clin.
Exp. Ophthalmol, 228, 78-82 (1990), incorporated herein
by this reference.

The results obtained are summarized in Table I.

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Table I

5	Dog No.	Age, Yrs.	Sex	Observations	Phospholipid Assay	
					DHEA-Treated Eye	Control Eye
10	1	2	F	Bilateral decreased inflammation	#1 not tested ¹ #2 present ¹	Not tested Absent
	2	11	M	DHEA eye more moist	#1a present ² #1b present ² #2 increased compared to 1a	Absent Absent Scant
15	3	11	F	3 days of conjunctivitis prior #2 test	#1a present #1b present #2 increased compared to 1a	Absent Absent Scant
20	4	7	M	Abnormal control eye; chronic inflammation	#1 scant #2 present	Present
25	¹ #1 refers to first sampling after 3 weeks of therapy #2 refers to second sampling after 7 weeks of therapy ² a & b refer to "duplicate" testing on separate days					

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The phospholipid fraction from DHEA-treated eyes was compared to control eyes and was found to contain a much higher concentration of phospholipid. It was also noted that the lipid samples from DHEA-treated eyes began to precipitated while they were contained in a greater volume than the control eye samples, indicating a greater total quantity of lipids.

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Example 5Human Use of DHEA Eyedrops Treating DES

A 52-year-old woman who suffered for many years from bilateral dry, and sometimes painful, eyes, was

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1 examined. The patient routinely used saline or
lubricating drops containing methylcellulose which
provide brief temporary relief of the DES symptoms.
The patient's use of soft contact lenses aggravated her
5 symptoms of dryness and pain. She had no history of
recent conjunctival infection or of autoimmune disease.
She was post-menopausal and took oral estrogen
replacement. The patient had normal intraocular
pressure in both eyes.

10 A trial treatment with DHEA-containing eyedrops,
formulated in accordance with the procedures of
Example 1, and containing 1.5% (w/v) DHEA, was begun.
The treatment was performed as follows: One bottle
containing DHEA drops (1.5% (w/v) DHEA, 137 mM NaCl,
15 1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v)
polyethylene glycol 400, and 0.01% (w/v) benzalkonium
chloride) was given for use in the right eye only. A
similar bottle was given for use in the left eye only.
The bottle for the left eye contained 137 mM NaCl, 1.6
20 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v)
polyethylene glycol 400, and 0.01% (w/v) benzalkonium
chloride. The patient was not aware of which bottle
contained the DHEA solution.

After one week of treatment, the right eye
25 (treated) was described as being moister than the left
eye (control). After 3 weeks of treatment, a formal
ophthalmologic evaluation was performed, including slit
lamp exam, to test for corneal and anterior chamber
abnormalities; fluorescein tear film breakup time, to
30 test for tear film stability; and the Schirmer test, to
test for tear film production.

The fluorescein tear film breakup time was
performed by adding to the eye fluorescein, a
fluorescent dye, and observing the stained tear film
35 with light passed through a cobalt-blue filter, as
described by Norn, Acta Ophthalmol. (Kbh), 47, 865-880
(1969). Larger breakup times represent a more stable

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1 tear film, and breakup times of about 10 seconds are
considered normal.

5 The Schirmer test was performed as described by O.
Schirmer in "Studies on the physiology and pathology of
the secretion and drainage of tears," Albrecht von
Graefes Arch. Klin. Ophthalmol., 56, 197-291 (1903).
The test depends upon observing the extent of wetting
of a strip of filter paper (standardized strips are
10 available) and placing it over the lower lid for a
specified time. First, a drop of topical local
anesthetic is instilled into the eye to prevent reflex
tearing. The strip is folded at a notched marking and
is placed over the edge of the lateral one-third of the
lid. The strip is usually left in place for 5 minutes
15 while the patient looks straight ahead, in a dim light.
The degree of wetting of the paper is measured in mm
from the notch. Various end points for diagnostic
significance have been reported in the literature.
Probably, the safest cutoff value is 5 mm of wetting at
20 5 minutes in eyes previously treated with local
anesthetic. Results are presented in Table II.

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Table II

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TEST	DHEA EYE	CONTROL EYE
Tear film breakup, seconds Average Normal	10 12 12 11.3 >10 seconds	3 3 5 3.7
Schirmer tear production, mm Average Normal	8 6 7 >5 mm	15 19 17
Slit lamp exam of meibomian gland orifices	Profuse lipid visible	Scant lipid visible

20

Slit lamp exam, performed by a trained ophthalmologist, revealed an increased quantity of meibomian secretions from the gland orifices on the lower lid of the right (treated) eye. The left lower lid was devoid of visible meibomian secretion. As indicated in Table II, tear film breakup time was more prolonged in the treated eye. This difference could not be attributed to poor tear production in the control eye, since the Schirmer test showed that the left (control) eye produced tears at twice the rate of the treated eye. These results indicate that DHEA treatment is effective in increasing tear film stability and symptomatic relief of DES.

Subsequent to this test treatment, the ophthalmic solutions were switched -- the left eye became the new DHEA "treated" eye, and the right eye

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1 became the new "control" eye. Within one week,
symptoms of dryness and pain had disappeared from the
left eye. The left eye became more moist and
comfortable during contact lens wear, and contact
5 lens wear was possible for longer periods of time
than before the treatment. The right eye remained
asymptomatic for 3 weeks before demonstrating the
return of pain and dryness.

10

Example 6Effects of DHEA Eyedrops on
Meibomian Gland Histology in the Rabbit

A female, white, New Zealand rabbit,
approximately 3 years of age, was examined and found
15 to have normal eyes and ocular adnexa prior to the
trial. Once daily, for one month, DHEA-containing
eyedrops were administered according to the following
regimen: The left eye received 2 drops
(approximately 0.1 ml) of a solution, as described in
20 Example 1, comprising 1.5% (w/v) DHEA, 137 mM NaCl,
1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄, 3% (w/v)
polyethylene glycol 400, and 0.01% (w/v) benzalkonium
chloride. The right eye received 2 drops
(approximately 0.1 ml) of a vehicle-only solution
25 (137 mM NaCl, 1.6 mM KCl, 8 mM Na₂HPO₄, 1.5 mM KH₂PO₄,
3% (w/v) polyethylene glycol 400, and 0.01% (w/v)
benzalkonium chloride).

After one month of daily treatment, full-
thickness, upper-lid biopsies of the DHEA-treated and
30 control eyes were performed under general anaesthesia
(intramuscular injection of ketamine and
methohexital). Lid tissues, containing a section of
the tarsal plate and conjunctiva, were immediately
submerged in liquid nitrogen prior to histologic
preparation. Frozen-section tissue blocks (10-micron
35 tissue slices through meibomian gland structures)
were cut at -20°C. Sections were stained with

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1 hematoxylin and eosin (H and E), and others with Oil
Red O lipid stain.

Light microscopic examination of the hematoxylin
and eosin (H and E) preparation revealed meibomian
5 gland clusters or acini, with lighter staining nuclei
and hazy cytoplasm in the DHEA-treated eyelid,
whereas the control eyelid showed distinct dark
staining nuclei and a "clear" cytoplasm. These
results indicate that changes in the cellular
10 physiology of the meibomian glands is induced by
treatment with DHEA and these changes were
consistently seen in multiple gland sections and in
all slides examined. No significant differences were
seen in the Oil Red O stained slides.

15 These results are consistent with a change in
metabolic activity specific for the meibomian glands
occurring only in the DHEA-treated eye and,
therefore, being induced by DHEA treatment.

20 Example 7

Effects of Topical DHEA on
Tear Protein Content in the Pekingese Dog

DHEA-containing eyedrops, prepared in accordance
with the procedures of Example 1, are used to treat
25 chronic inflammatory conjunctivitis in three
Pekingese dogs, to assess the influence of DHEA on
the protein content of the tear film.

30 30-ml-dropper bottles for each of the right and
left eyes of each dog are prepared. For each dog,
one bottle contains DHEA drops for the designated
"treated" eye, and the other bottle contains a
vehicle-only solution for the "control" eye. The
animal caretaker does not know which are the
"treated" eyes and which are the "control" eyes.

35 After 3 weeks, and again after 7 weeks, tear
film is collected from each dog with a microcapillary
from the inferior fornix of the conjunctiva.

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1 1-2 μ l of tear fluid is necessary to perform the
protein assay. The protein samples are denatured and
reduced in the presence of urea (8M) and
5 β -mercapto-ethanol (1% v/v) and separated by
electrophoresis on cellulose acetate strips. The
electrophoresis is performed in a buffer containing
12.11 g TRIS (hydroxymethyl)aminomethane, 16.32 g
bicine, 3.5 ml mercaptoethanol, 480.48 g urea, pH
8.6, and distilled water to 1000 ml. The pH of the
10 buffer is adjusted to 9.7 with HCl. The
electrophoresis is conducted at 10V, 4 mA, for 40
min. At the completion of the electro- phoresis, the
strips are fixed for 30 min. in 12.5% (w/v)
trichloroacetic acid and then stained for 1 hour in
15 Coomassie blue staining solution (70 ml acetic acid,
10 g Coomassie blue R 250, distilled water to 1000
ml). The stained strips are washed in 7% acetic acid
for about 15 min., or longer if required, to remove
excess stain from the strips. The strips are then
20 heated at 110°C for about 30 min. to make the strips
transparent.

Such protein assays indicate an increase in the
concentration of the proteins in the DHEA-treated eye
as compared to the control eye. Also, the protein
25 species present in the DHEA-treated eye are more
numerous. These results indicate that treatment with
DHEA induces changes in the protein synthetic
properties of the meibomian glands.

The above description of exemplary embodiments
30 of the preparation of ophthalmic solutions comprising
DHEA and the methods for treating DES are for
illustrative purposes. Variations will be apparent
to those skilled in the art; therefore, the present
invention is not intended to be limited to the
35 particular embodiments described above. The scope of
the invention is defined in the following claims.

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1 **WHAT IS CLAIMED IS:**

1. A composition for the treatment of dry eye
syndrome comprising dehydroepiandrosterone in a
5 formulation suitable for administration by eyedrops.

2. A composition as recited in claim 1 further
comprising a solubilizing agent, a bacterial growth
inhibiting agent, and a buffer.

10

3. A composition as recited in claim 1 wherein
the dehydroepiandrosterone is present at a
concentration of from about 0.1% (w/v) to about 1.5%
(w/v).

15

4. A composition as recited in claim 1 wherein
the dehydroepiandrosterone is present at a
concentration of about 1% (w/v).

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5. A composition as recited in claim 2 wherein
the solubilizing agent is polyethylene glycol and the
bacterial growth inhibiting agent is benzalkonium
chloride.

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6. A composition as recited in claim 5 wherein
the polyethylene glycol is present at a concentration
of from 1% (w/v) to 3% (w/v) and the benzalkonium
chloride is present at a concentration of from 0.005%
(w/v) to 0.01% (w/v).

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7. A composition as recited in claim 1 wherein
the dehydroepiandrosterone is emulsified in a
composition comprising phospholipids.

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1 8. A composition as recited in claim 7 wherein
the emulsion comprises about 0.5% (w/v) crystalline
DHEA, about 0.7% (v/v) n-octanoic acid, about 0.3%
(v/v) alpha-tocopherol acetate, about 0.35% (w/v)
5 phosphatidylinositol, and about 0.350% (w/v)
phosphatidylglycerol in borate-buffered physiological
saline, at a pH of 7.2, and about 0.001% (w/v) of a
bacterial growth inhibitor.

10 9. A composition as recited in claim 1 wherein
the dehydroepiandrosterone is coated with
phospholipid.

15 10. A composition as recited in claim 9 wherein
the phospholipid coat comprises egg
phosphatidylcholine and stearylamine.

20 11. A composition as recited in claim 10
wherein the phospholipid coat comprises about 450
 μ moles of egg phosphatidylcholine and about 50 μ moles
of stearylamine per 350 μ moles of
dehydroepiandrosterone.

25 12. A method for the treatment of dry eye
syndrome comprising administering to a mammal a
composition comprising dehydroepiandrosterone.

30 13. A method as recited in claim 12 wherein the
composition is administered by eyedrops.

14. A method as recited in claim 13 wherein the
composition comprises from about 0.1% (w/v) to about
1.5% (w/v) dehydroepiandrosterone.

35 15. A method as recited in claim 14 wherein the
composition further comprises a solubilizing agent,
a bacterial growth inhibiting agent, and a buffer.

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1 16. A method as recited in claim 15 wherein the
solubilizing agent is polyethylene glycol and the
bacterial growth inhibiting agent is benzalkonium
chloride.

5 17. A method as recited in claim 16 wherein the
polyethylene glycol is present at a concentration of
from 1% (w/v) to 3% (w/v) and the benzalkonium
chloride is present at a concentration of 0.005%
10 (w/v) to 0.01% (w/v).

 18. A method as recited in claim 13 wherein the
dehydroepiandrosterone is emulsified with
phospholipid.

15 19. A method as recited in claim 18 wherein the
emulsion comprises about 0.5% (w/v) crystalline DHEA,
about 0.7% (v/v) n-octanoic acid, about 0.3% (v/v)
alpha-tocopherol acetate, about 0.35% (w/v)
20 phosphatidylinositol, and about 0.350% (w/v)
phosphatidylglycerol in borate-buffered physiological
saline, at a pH of 7.2, and about 0.001% (w/v) of a
bacterial growth inhibitor.

25 20. A method as recited in claim 13 wherein the
dehydroepiandrosterone is coated with phospholipid.

 21. A method as recited in claim 20 wherein the
phospholipid coat comprises egg phosphatidylcholine
30 and stearylamine.

 22. A method as recited in claim 21 wherein the
phospholipid coat comprises about 450 μ moles of egg
phosphatidylcholine and about 50 μ moles of
35 stearylamine per 350 μ moles of
dehydroepiandrosterone.

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1 23. A method as recited in claim 12 wherein the
dehydroepiandrosterone composition is administered
systemically.

5 24. An aqueous eyedrop composition comprising
solubilized dehydroepiandrosterone.

25. An aqueous eyedrop composition as recited
in claim 24 wherein the dehydroepiandrosterone is
10 solubilized by polyethylene glycol.

26. An aqueous eyedrop composition as recited
in claim 24 wherein the dehydroepiandrosterone is
emulsified with phospholipids.

15 27. An aqueous eyedrop composition as recited
in claim 24 wherein the dehydroepiandrosterone is
solubilized by coating the dehydroepiandrosterone
with phospholipid.

20 28. A coating for contact lenses comprising
DHEA.

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US92/07096**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : A61K 31/56

US CL : 514/178,912

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : U.S.CL: 514/178,912

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Dialog: dehydroepian drosterone and dry (w) eye or ophthal? Steroids and dry eye.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Medline Abstract of Jikken Dobutsu, volume 38, issued January 1989. Higuchi N, "Effect of androgen on onset of diabetes in the KK mice treated with monosodium aspartate". See the entire abstract.	1-11 and 24-28
Y	Biosis Abstract of Okayama Igakkai Zasshi, volume 94, issued 1982. Kageyama K. "Clinical Studies on patients with Sjogrens syndrome 2-characteristics of patients with Sjorgrens syndrome and the effect of cortico steroid therapy". See the entire Abstract.	12-23

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance		
"E" earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search 17 NOVEMBER 1992	Date of mailing of the international search report 09 JUN 1993
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE	Authorized officer <i>Nagata Nagayasu</i> ZOHREH A. FAY EGUTEN MCCO-HO INTERNATIONAL DIVISION Telephone No. (703) 308-4604

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US92/07096

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Physician's Desk reference For Ophthalmology, 16 Ed., 1988, See pages 12 and 13.	1-28
Y	U S, A, 5,041,434 (Lubkin) 20 August 1991 See the entire patent.	12-23
X	U.S , A, 4,496,556 (Orentreich) 29 January 1985. See column 4, lines 5-18	1,3,4 and 28