

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
22 February 2007 (22.02.2007)

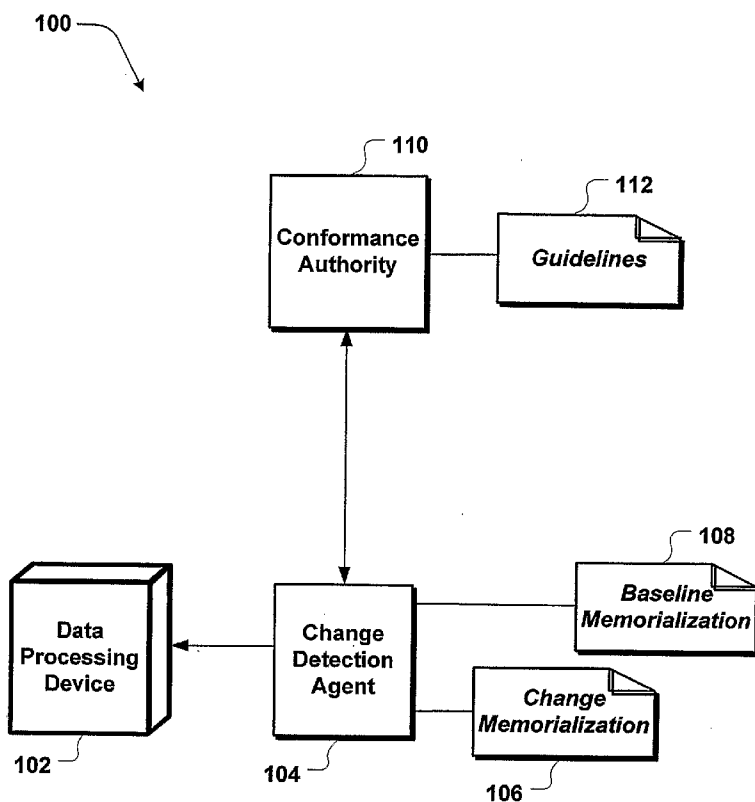
PCT

(10) International Publication Number  
**WO 2007/022363 A3**

- (51) International Patent Classification:  
*G06F 17/30* (2006.01)      *G06F 17/00* (2006.01)
- (21) International Application Number:  
PCT/US2006/032161
- (22) International Filing Date: 16 August 2006 (16.08.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
11/205,586                      16 August 2005 (16.08.2005)      US
- (71) Applicant (for all designated States except US): **TRIPWIRE, INC.** [US/US]; 326 SW BROADWAY STREET, Third Floor, Portland, OR 97204 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **DIFALCO, Robert, A.** [US/US]; 326 SW Broadway Street, Third Floor, Portland, OR 97204 (US). **KEELER, Kenneth, L.** [US/US]; 326 SW Broadway Street, Third Floor, Portland, OR 97204 (US). **WARMACK, Robert, L.** [US/US]; 326 SW Broadway Street, Third Floor, Portland, OR 97204 (US).
- (74) Agent: **LEMOND, Kevin, T;** Schwabe Williamson & Wyatt, Pacwest Center, Suite 1900, 1211 SW Fifth Avenue, Portland, OR 97204 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT,

[Continued on next page]

(54) Title: CONFORMANCE AUTHORITY RECONCILIATION



(57) Abstract: An automated method for facilitating management of a data processing environment is disclosed. In various embodiments, the method may include facilitating detecting of a change to an element of a data processing device of the data processing environment (Figure 1). In various embodiments, the method may further include facilitating reconciling the change with a conformance authority (Figure 1), the conformance authority having one or more guidelines (Figure 1). Other embodiments of the present invention may include, but are not limited to, apparatuses adapted to facilitate practice of the above-described method.

**Fig. 1**

WO 2007/022363 A3



RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA,  
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— *before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments*

**Published:**

— *with international search report*

**(88) Date of publication of the international search report:**

25 September 2008

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US06/32161

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC: **G06F 17/30;G06F 17/00**  
  
 USPC: 707/1,203  
 According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 U.S. : 705; 707/10,200,203; 709

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 Please See Continuation Sheet

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 2003/0233431 A1 (REDDY et al) 18 December 2003 (18.12.2003), entire document	1-6, 8, 11-16, 22-26, 28-30
Y	US 6,895,414 B2 (FORD et al.) 17 May 2005 (17.05.2005), entire document	----- 7, 9, 10, 17-21, 27 7, 9, 10, 27
Y	US 6,041,347 (HARSHAM et al.) 21 March 2000 (21.03.2000), entire document	17, 19, 20
Y	US 2001/0044840 A1 (CARLETON et al.) 22 November 2001 (22.11.2001), paragraph [0009], lines 1-12, paragraph [0053], lines 1-3	18
Y	US 6,341,287 B1 (SZIKLAI et al.) 22 January 2002 (22.01.2002), column 8, lines 2-38, column 9, lines 4-9	21

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:			
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier application or patent published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search: 10 April 2008 (10.04.2008)  
 Date of mailing of the international search report: 11 JUL 2008

Name and mailing address of the ISA/US: Mail Stop PCT, Attn: ISA/US, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, Facsimile No. (571) 273-3201  
 Authorized officer: John Cottingham, Telephone No. (571) 272-2100

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US06/32161

Continuation of B. FIELDS SEARCHED Item 3:

Google Patent

search terms: detecting identifying change modification alteration device conformance authority severity