

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
10 April 2003 (10.04.2003)

(10) International Publication Number
PCT
WO 03/028845 A1

(51) International Patent Classification⁷: **B01D 29/21**

Yoshiki; 3-9-13, Someino, Sakura-shi, Chiba-ken
285-0831 (JP).

(21) International Application Number: PCT/US02/30495

(22) International Filing Date:

26 September 2002 (26.09.2002)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/968,225

1 October 2001 (01.10.2001) US

(71) Applicant: **MYKROLIS CORPORATION** [US/US];
129 Concord Road, Billerica, MA 01821-4600 (US).

(74) Agents: **KING, Timothy, J.** et al.; Mykrolis Corporation,
129 Concord Road, Billerica, MA 01821-4600 (US).

(81) Designated States (*national*): CN, JP, KR, SG.

(84) Designated States (*regional*): European patent (AT, BE,
BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT,
LU, MC, NL, PT, SE, SK, TR).

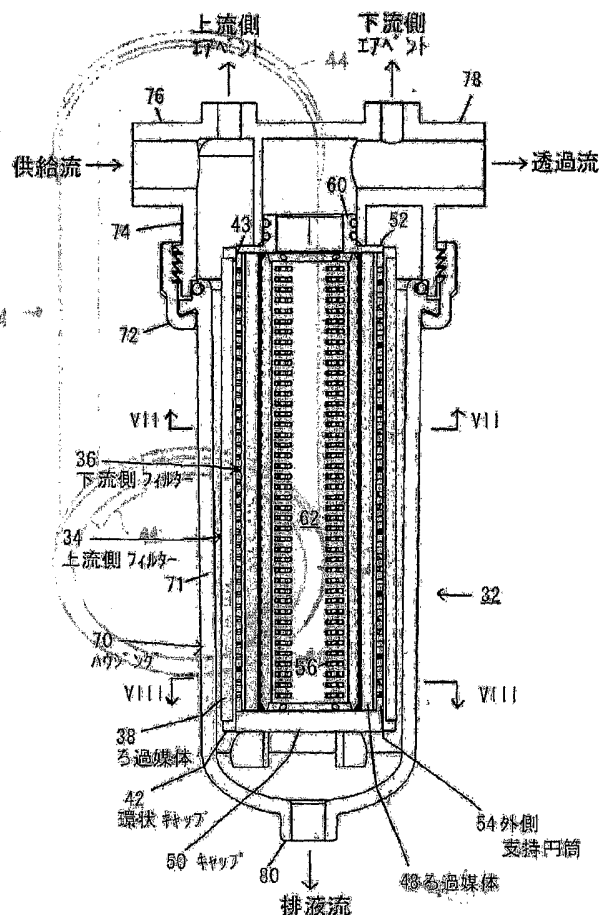
Published:

— with international search report

(72) Inventors: **SUDO, Kazunori**; Der Erude 202, 5-15-16
Omorikita, Ota-ku, Tokyo 143-0016 (JP). **NOMURA,**

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: FILTER ELEMENT AND FILTER DEVICE HAVING REPLACEABLE FILTERS



(57) Abstract: A filter device (32) is disclosed herein. The filter device (32) includes an upstream filter (34) and a downstream filter (36) made of the same or different material. The filters (34, 36) are coaxially arranged and are installed into housing (70). The downstream filter (36) is releasably fixed to the housing (70) and to the upstream filter (34) such that when the downstream filter (36) is not contaminated, only the upstream filter (34) can be replaced with fresh one.

FILTER ELEMENT AND FILTER DEVICE HAVING REPLACEABLE FILTER

RELATED APPLICATIONS

This application claims the benefit of U.S. application serial No. 09/968,225 filed October 1, 2001 entitled "Filter Element And Filter Device Having Replaceable Filter". This application is related to co-pending application
5 Japanese Serial No.2001-216452, filed July 17, 2001 under Applicants' reference number 200100287 (formerly MYKP-615).

BACKGROUND OF THE INVENTION

The present invention relates to a filter cartridge which is suitable for
10 filtering liquid and gas. More particularly, the present invention relates to a filter cartridge for filtering a liquid agent containing large amounts of various foreign matters, such as an amine-type organic release agent used on a substrate for electronic parts (e.g. a semiconductor wafer and a glass substrate for a liquid crystal device); a pigment-dispersion photoresist for
15 a glass substrate of a liquid crystal device; a slurry for a chemical and mechanical abrasion device; and other liquids used in general industry.

Two different and specific basic cartridges generally used for filtering gas and liquid are a depth filter (representatively a roll type filter) and a membrane filter (usually a pleated filter). Today, roll type depth filters and
20 membrane filters each encased in a separate housing are used in tandem to attain a desired purification level. In Japanese Patent Application Kokai No. 7-213814, a filter cartridge is proposed that has a filter body comprising: a depth filter containing non-woven fiber mass in the form of either roll or seamless fiber cylinder; and a pleated screen filter or a surface filter that can be encased
25 in the same housing with the depth filter. However, there are still demands for combinations of filters that can attain specific properties, such as increasing throughput and removing metal ions. By separately providing filters

- 2 -

each as a unit that can be combined to each other, production cost can also be reduced.

Figs. 1 and 2 show a conventional filter cartridge disclosed in Japanese Patent Application Kokai No. 7-213814. A cartridge 10 has a depth filter 16 having an exposed outer surface 12, in the form of roll or seamless fiber cylinder; a pleated surface filter or screen filter 18 concentrically arranged in within the depth filter; a core 20 supporting the inner surface of the surface filter 18 as well as having a number of holes 23 as fluid passages; and a fluid outlet 14 for withdrawing a filtrate fluid (liquid or gas) from the core 20. The top end of the depth filter 16 and that of the surface filter 18 are sealed. The top end of the filter cartridge 10 is completely sealed with a cap 19. Upon its use, the filter cartridge 10 is inserted into a housing 21 of a filter device, and liquid-tightly fixed to the wall of the housing via a thread means and/or an O-ring provided around the fluid outlet 14.

A fluid (liquid or gas) to be purified is introduced between the housing 21 and the cartridge 10, and the fluid passes from the outer surface 12 towards the inside of the depth filter 16, and then through the surface filter 18. The purified fluid flows out to the central passage through the holes 23 of the core 20, and is withdrawn as a filtrate from the fluid outlet 14.

In the above-mentioned case in which two different filters are used, the filter located upstream has relatively large pore diameter, while the filter located downstream has relatively small pore diameter, in order to increase the level of purification and to elongate the life of the filter.

However, the above-mentioned two filters are integrally formed to be a single filter element, and have disadvantages in that various possible filter combinations should be prepared that satisfy various purposes. In addition, the upstream filter is contaminated faster as compared with the downstream filter, and thus even when the downstream filter is not contaminated, the filter element cannot be used anymore, because of the shorter life of the upstream filter.

- 3 -

Therefore, the object of the present invention is to provide a filter cartridge comprising an upstream filter unit and a downstream filter unit, wherein both units can be combined to each other so that the upstream filter locates between the downstream filter and the housing to form a cartridge and the combination
5 can be properly selected depending on the purpose such as the removal of metal ions and can elongate the filter life.

The present invention provides a filter element comprising a combination of an upstream filter and a downstream filter, wherein the upstream filter is replaceable. As the result, the life of the downstream filter is elongated while
10 attaining the required purified level, and in addition, smaller number of filters is required in order to obtain the same amount of filtrate as that in the case of the conventional filter.

The present invention also provides a filter element comprising an upstream filter and a downstream filter, each of which is properly selected depending
15 on the specific purposes, from the stock of various filters expected to be used in various applications. The upstream filter should be replaceable, although it may be integrally formed as a part of a disposable filter device, if necessary.

Specifically stated, the present invention provides a filter element comprising
20 an upstream filter and a downstream filter, wherein said downstream filter has a means for fixing to a housing, and said upstream filter is fixable to said downstream filter fixed to said housing; and a filter device containing said filter element fixed to the housing.

In one embodiment, the downstream filter has a first thread part, and the housing
25 has a second thread part which engages with the first thread part.

In another embodiment, the downstream filter is integrally formed with the housing.

In still another embodiment, the upstream filter is fixed to the downstream filter via fitting.

30 Preferably, the upstream filter and the downstream filter are in the form of

- 4 -

cylinder and so arranged that one nests in the other.

The upstream and downstream filters may have the same or different filter membrane selected from the group consisting of a pleated type, roll type or seamless fiber cylinder type depth filter containing nonwoven fiber mass; and
5 a porous foam, hollow fiber or pleated membrane filter. A roll type filter may comprise various kinds of filter bodies rolled together, and the roll type filter may also have a density gradient.

The upstream filter may be a member selected from a set of filters, which set is different from a set from which a member is selected as a downstream filter.

10 The present invention also provides a filter device containing the above-mentioned filter element.

The upstream filter comprises, in the order from inside, a porous inner support cylinder made of resin (core), and a filter body in the form of sheet rolled around the core. If necessary, the upstream filter further comprises an outer
15 support cylinder made of resin (sleeve) or alternatively a resin net, which surrounds the filter body. The top edge and the bottom edge of the upstream filter are sealed with resin caps.

On the other hand, the downstream filter comprises, in the order from inside, a porous inner support cylinder (core), a filter body surrounding the core, and
20 a porous outer support cylinder (sleeve) or alternatively a net, which supports the outer surface of the filter body. The top edge and the bottom edge of the downstream filter are sealed with resin caps.

The combination of filters that comprises the filter body can be properly selected depending on the purpose. The upstream filter has a filter body
25 comprising a pleated type, roll type or seamless fiber cylinder type depth filter containing non-woven fiber mass; and a porous foam, hollow fiber or pleated membrane filter. The upstream filter locates upstream the downstream filter in the housing, and it may not be mechanically combined with a downstream cartridge, although it is preferred that both ends of the upstream filter be
30 sealed with caps having the structure which can be mechanically and

- 5 -

liquid-tightly combined with the downstream cartridge.

The downstream filter is a filter cartridge having a filter body comprising a pleated type, roll type or seamless fiber cylinder type depth filter containing non-woven fiber mass; and a porous foam, hollow fiber or pleated membrane filter.

5 One end of the downstream filter is sealed with a cap, while the other end is sealed with a cap having a fluid inlet or a fluid outlet. Each of the caps may be combined with the upstream filter via a twist-lock, an O-ring, and/or a thread. However, it may not necessarily be combined.

The materials for the filter body used for the upstream and downstream filters
10 can be selected from those known in the art. Examples include PP (polypropylene), PTFE (polytetrafluoroethylene), UHMWPE (ultra-high molecular weight polyethylene), PE (polyethylene), HDPE (high-density polyethylene), PES (polyether sulfone), nylon, polyester, PVDF (polyvinylidene fluoride), cellulose and SUS (stainless steel). The inside and outside support cylinders
15 of the upstream and downstream filters are made of rigid resin. When a net is used instead of the support cylinder, it can be made of the same material. The top and bottom caps may be in the form of ring or disk, depending on the position at which they are used. They are fused by heat with the top or bottom edge of the laminated structure composed of the inner and outer cylinders (or parts of
20 the net) and the filter body. The material for the caps is also selected from those known in the art.

EXAMPLE

The present invention will be explained in detail in the following. The term
25 "filter element" means the combination of an upstream filter and a downstream filter; the term "filter device" means a device in which a filter element is installed into a housing to form a usable device, the term "upstream" means the relative position with respect to the gas or liquid flow (feed) to be purified. As will be described below, a part of the feed can be led directly into the
30 downstream filter, depending on the required cleanliness for the purpose.

Example 1

Figs. 3 - 6 illustrate the filter element and the filter device according to Example 1 of the present invention. In the descriptions of the figures, the terms "top" and "bottom" are used for the sake of convenience, and the axis line can be set to an arbitrary direction, such as the horizontal direction.

Fig. 3 shows a filter device 32 of the present invention in which a filter element 30 (see Fig. 6) of the present invention is installed. Fig. 4 shows a perspective view of an upstream filter 34, Fig. 5 shows a perspective view of an downstream filter 36, and Fig. 6 shows a filter element in which the upstream filter 34 is partially inserted into the downstream filter 36.

Referring to Figs. 3 and 4, the upstream filter 34 has a filter body 38 comprising a pleated type, roll type or seamless fiber cylinder type depth filter containing nonwoven fiber mass; and a porous foam, hollow fiber or pleated membrane filter. All of these filter body types are known in the art, and the description can be found, for example, in the above-mentioned references. The upstream filter 34 is located upstream of the downstream filter in the housing, and it may not be liquid-tightly combined with the downstream filter (i.e. bypassing may occur which allows a small amount of flow), or if higher purification is required, it can be liquid-tightly combined with the downstream filter 36 (i.e. there is no bypass to the downstream filter). The figures illustrate the former, and the top end of the filter body 38 is sealed with an annular cap 44 made of resin, and the bottom end is sealed with an annular cap 42 made of resin. The inner surface of the cap 42 has two projections 46 at diametrically opposite positions for fixing the upstream filter 34 to the downstream filter 36. If the bypass of the feed to the downstream filter 36 is required to be blocked, the top and bottom ends of the caps 42 and 44 are sealed using O-rings.

Along the inside and/or the outside of the filter body 38 in the form of cylinder, porous support cylinder or cylinders made of rigid resin can be placed, and the

- 7 -

top and the bottom ends can be integrally combined to the caps 42 and 44, respectively. The figures contain a thin support cylinder 43 located inside the upstream filter.

Referring to Figs. 3 and 5, the downstream filter 36 is a filter cartridge having
5 a filter body 48 comprising a pleated type, roll type or seamless fiber cylinder type depth filter containing non-woven fiber mass; and a porous foam, hollow fiber or pleated membrane filter. The top end thereof is sealed with an annular cap 52, and the bottom end is sealed with a cap 50. Each of the caps may be combined with the upstream filter by means of a twist-lock, an O-ring, and/or
10 a thread. However, depending on the required degree of purification, the filters may not be mechanically combined together and the upstream filter 34 may merely cover the downstream filter 36. Along at least the inner side of the filter body 48 along the inner side and the outer side, rigid support cylinder(s) having a number of circulatory holes 56 is provided. In this
15 embodiment, along both surfaces of the filter body 48, the rigid outer support cylinder 54 and the rigid inner support cylinder 62 are provided, and the top and bottom ends of the cylinders are integrally combined to the caps 52 and 50, respectively. On the cap 52, a filtrate outlet 60 is formed having an O-ring for air-tightly or liquid-tightly fixing the downstream filter to the housing
20 70 (Fig. 3). The outlet is connected to the inside of the inner support cylinder 62.

The circumference of the cap 50 has two twist-lock grooves 58, each of which accommodates the projection 46 of the upstream filter 34. The introduction part of each of the twist-lock grooves has a wide opening which accepts the projection
25 46 in the direction of the axis, and a locking groove 64 connected to the opening is formed, which locks the projection 46 when the upstream filter is rotated. In Fig. 6, the inner downstream filter 36 is in the middle of the insertion into the outer upstream filter 34. These two filters constitute a filter element
30 30. They are installed into the predetermined position, and the projections 46 of the upstream filter 34 are inserted into the twist-lock grooves 58 of the

- 8 -

downstream filter 36, and the upstream filter 34 is rotated, thereby engaging the projections 46 with the locking grooves 64. Fig. 3 shows a condition in which both filters are combined at the determined position. Except the initial installation, the upstream filter 34 and the downstream filter 36 are replaced
5 by the fresh ones at the work site of filtration, and the upstream filter 34 is likely to be replaced more frequently as compared with the downstream filter 36.

Figs. 7 and 8 illustrate one embodiment of the upstream filter 34 and the downstream filter 36. In this embodiment, the upstream filter 34 comprises,
10 in the order from the inside, an inner support cylinder 43 having pores 45 and a roll type filter body 38 having fine pores, rolled around the inner support cylinder 43. The downstream filter 36 comprises, in the order from the inside, an inner support cylinder 62 having holes 56, a pleated type filter body 48 surrounding the inner support cylinder 62, and an outer support cylinder 54
15 having pores 55, supporting the outer surface of the pleated filter body 48. Various constructions of filter bodies can be properly selected, depending on the purpose.

As shown in Fig. 3, the housing 70 has a housing bowl 71 wherein the top end thereof is opened and the bottom end thereof is closed except a drain outlet
20 80. The top end of the housing can be air-tightly or liquid-tightly engaged to a round wall of a housing head 74 via an O-ring. The outer surface of the round wall of the housing head 74 has an external thread, to which an internal thread of a fastening ring 72 is engaged. When the fastening ring is loosened and the housing bowl 71 is removed, the upstream filter 34 and the downstream
25 filter 36 become accessible for replacing by fresh ones.

Referring to Fig. 3, the feed flows from the inlet 76 of the housing head 74 to the space between the upstream filter 34 and the housing bowl 71, and then passes through the filter body 38. The unfiltered portion of the feed flows to the bottom of the housing bowl 71 and is discharged from the drain outlet
30 80 as a drain flow. In this case, a portion of the feed may be bypassed and

- 9 -

flows directly around the downstream filter 34, but depending on the purpose, this is allowable. If necessary, O-rings can be placed between the annular caps 44 and 52, and between the annular caps 42 and 50, in order to prevent the formation of bypass, as mentioned above. The semi-filtrate that has been passed through the filter body 38 is then passed through the filter body 48 of the downstream filter 36 and further purified. The filtrate flows into the inner porous support cylinder 62, and exits from the filtrate outlet 78 of the housing head 74. Clogging of the downstream filter 34 is not likely to occur, while clogging of the upstream filter 36 occurs easily. Therefore, the flow rate of the filtrate is measured while watching clogging of the upstream filter 34, and when the upstream filter 34 is considered to have been clogged, the flow is paused and the housing bowl 71 is removed to replace the upstream filter with fresh one. In order to facilitate the replacement of the filter, the housing 70 and the housing head 74 are engaged together via an O-ring, and the internal thread of the fastening ring 72 is screwed into the external thread of the housing head 74, thereby allowing the opposite end of the fastening ring to urge the housing against the edge of the housing head 74.

Experiments were carried out using the filter element and the filter device of this embodiment. As a filter body of the upstream filter, a five-layered roll filter obtained by rolling up a non-woven sheet made of PP (polypropylene), having a film thickness of 380 μm , and weight of 110 g/m^2 and the air-permeability of 0.36 sec was used, and as the filter body of the downstream filter, Microgard Plus (10 inch, the pore diameter of 0.05 μm , manufactured by Mykrolis Corporation) in which a membrane made of 0.05 μm UHMWPE (ultra-high molecular weight polyethylene) was used. As a testing fluid, water containing 500 ppm of AC fine test dust was used. The filtration was conducted at the pressure difference of 55 kPa. The lowering of the filtration performance of the upstream filter due to the clogging was measured, and immediately before the clogging, the operation was paused. The upstream filter was replaced by fresh one, and the operation was resumed. The result is shown in Fig. 9. The

- 10 -

filtration was conducted with three replacements and four 8-minutes operations, and the total amount of filtrate was 3.5 times as much as the amount when only one filter is used. When the conventional disposable filter element is used and filtration is operated four times, four filter elements are required, i.e. the amount to be filtered will be four times as much as the amount with one filter. Therefore, when the filtration is conducted four times, the filter element of the present invention can attain approximately 87 % of the amount of filtrate using the conventional filter element (3.5 times / 4 times), though with respect to the number of downstream filters, only 25 % of the conventional filter is required (1 downstream filter / 4 filter elements). Especially in the case of the downstream filter in which an expensive fine membrane is used as a filter body, the present invention is effective.

In addition, the present invention is advantageous in that, by preparing various kinds of upstream filters 34 and downstream filters 36 and combining those, various filter element can be obtained depending on the purpose.

Various modifications can be made in the scope of the present invention.

In the embodiment of the present invention, the upstream filter 34 is placed outside the downstream filter 36. However, modification can be made by properly changing the flow route and the downstream filter may be placed outside the upstream filter.

In the embodiment of the present invention, an O-ring is provided on the outlet 60 of the downstream filter 36, for fixing the downstream filter to the housing. However, the downstream filter can be connected using only the O-ring. In this case, it is simply a tight-fit. Depending on the situation, the downstream filter can be integrally formed with the housing. In this case, the downstream filter is disposable.

Further in the embodiment, the upstream filter is loosely connected with the downstream filter, and bypassing to the downstream filter may occur, though the amount is small. To block the bypass, a disk-shape cap can be used to seal the bottom end of the upstream filter 34, instead of the annular cap 42, or O-rings

- 11 -

can be placed around the caps 42 and 44 as mentioned above, so that the spaces between the caps 42 and 50, and between the caps 44 and 52 are sealingly closed. In an another modified embodiment, a group consisting of plurality of the upstream filter and a group consisting of plurality of the downstream filter
5 are prepared, and a combination of the upstream filter and the downstream filter can be properly selected depending on the purpose. Both filters can be fixed to the same sealing cap. In this case, the upstream filter cannot be replaced, thus it is disposable type. However, a combination of the upstream filter and the downstream filter composing the filter element of the present invention is
10 properly selected depending on the purpose, which provides advantages in that various kinds of filter device having designated purpose can be attained effectively.

By using the filter element and the filter device having the above-mentioned features, long-term usage of the downstream filter becomes possible, which has
15 been impossible with one conventional housing. In addition, a group of the upstream filter and a group of the downstream filter is prepared and, two filters each selected from different group are used in combination. As a result, a user or an installer of the filter can properly select the filter combination and the filter can be replaced at any time.
20 It is also possible to render additional property to an upstream filter, such as ion removing ability.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a partial cross-section of a filter device having a conventional
25 filter element.

Fig. 2 shows a specific phase diagram showing a conventional filter device having a conventional filter element.

Fig. 3 shows a longitudinal section of a filter device into which a filter element of the present invention is fixed.

30 Fig. 4 shows a perspective view of the upstream filter that is a component of

- 12 -

the filter element of the present invention.

Fig. 5 shows a perspective view of the downstream filter that is a component of the filter element of the present invention.

Fig. 6 shows a perspective view of one embodiment of the present invention in which the filter elements are combined.

Fig. 7 shows a plane cross section along the line IV - IV in Fig. 3.

Fig. 8 shows a perspective view of the cross section of the filter element along the line VIII - VIII in Fig. 3.

Fig. 9 shows a graph showing relationships between the flow time of the feed and the total quantity of the filtrate in the case of the filter device of the present invention.

Descriptions for numerals

- 30 filter element
- 15 32 filter device
- 34 upstream filter
- 36 downstream filter
- 38 filter body
- 40 housing
- 20 42, 44 cap
- 46 projection
- 48 filter body
- 50, 52 cap
- 54, 62 support cylinder
- 25 56 circulatory hole
- 60 outlet
- 58 twist-lock groove
- 64 grommet groove

CLAIMS

1. A filter element comprising an upstream filter and a downstream filter, wherein said downstream filter has a means for fixing to a housing, and said upstream filter is detachably fixable to said downstream filter fixed to said housing.
2. The filter element according to claim 1, wherein said means for fixing of the downstream filter to said housing has a thread and/or an O-ring.
3. The filter element according to claim 1 or 2, wherein said downstream filter is integrally fixed to said housing.
4. The filter element according to any one of claims 1 to 3, wherein said upstream filter is loosely fit together with said downstream filter.
5. The filter element according to any one of claims 1 to 4, wherein said upstream filter is liquid-tightly fixed to said downstream filter.
6. The filter element according to any one of claims 1 to 5, wherein the top cap of said upstream filter and the top cap of said downstream filter have means for connecting to each other.
7. The filter element according to claim 6, wherein said means for connecting is a twist-lock means.
8. The filter element according to any one of claims 1 to 7, wherein said upstream filter and said downstream filter are in the form of cylinder and arranged so that one nests in the other.
9. The filter element according to any one of claims 1 to 8, wherein said upstream and downstream filters have the same or different filter membrane selected from the group consisting of a pleated type, roll type or seamless fiber cylinder type depth filter containing non-woven fiber mass; and a porous foam, hollow fiber or pleated membrane filter.
10. The filter element according to any one of claims 1 to 9, wherein each of said upstream filter and downstream filter is selected respectively from different sets of filters.
11. A filter device containing the filter element according to any one of

- 14 -

claims 1 to 10, wherein said downstream filter is fixable to said housing, and said upstream filter is fixable to said downstream filter fixed to said housing.

12. A disposable filter device having an upstream filter and a downstream filter integrally fixed in a housing, wherein each of said upstream filter and downstream filter is selected respectively from different sets of filters.

Figure 1

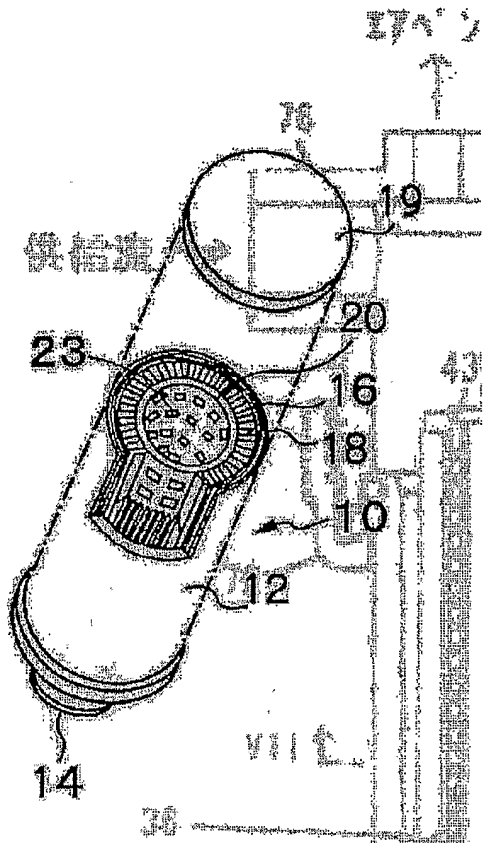
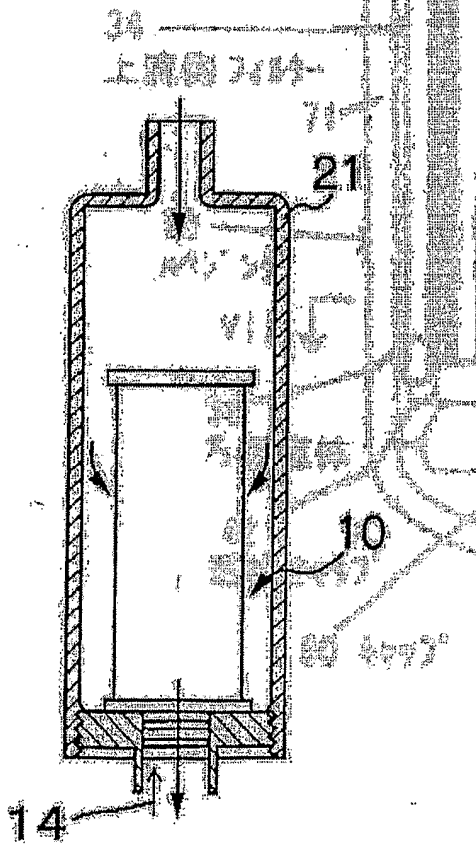
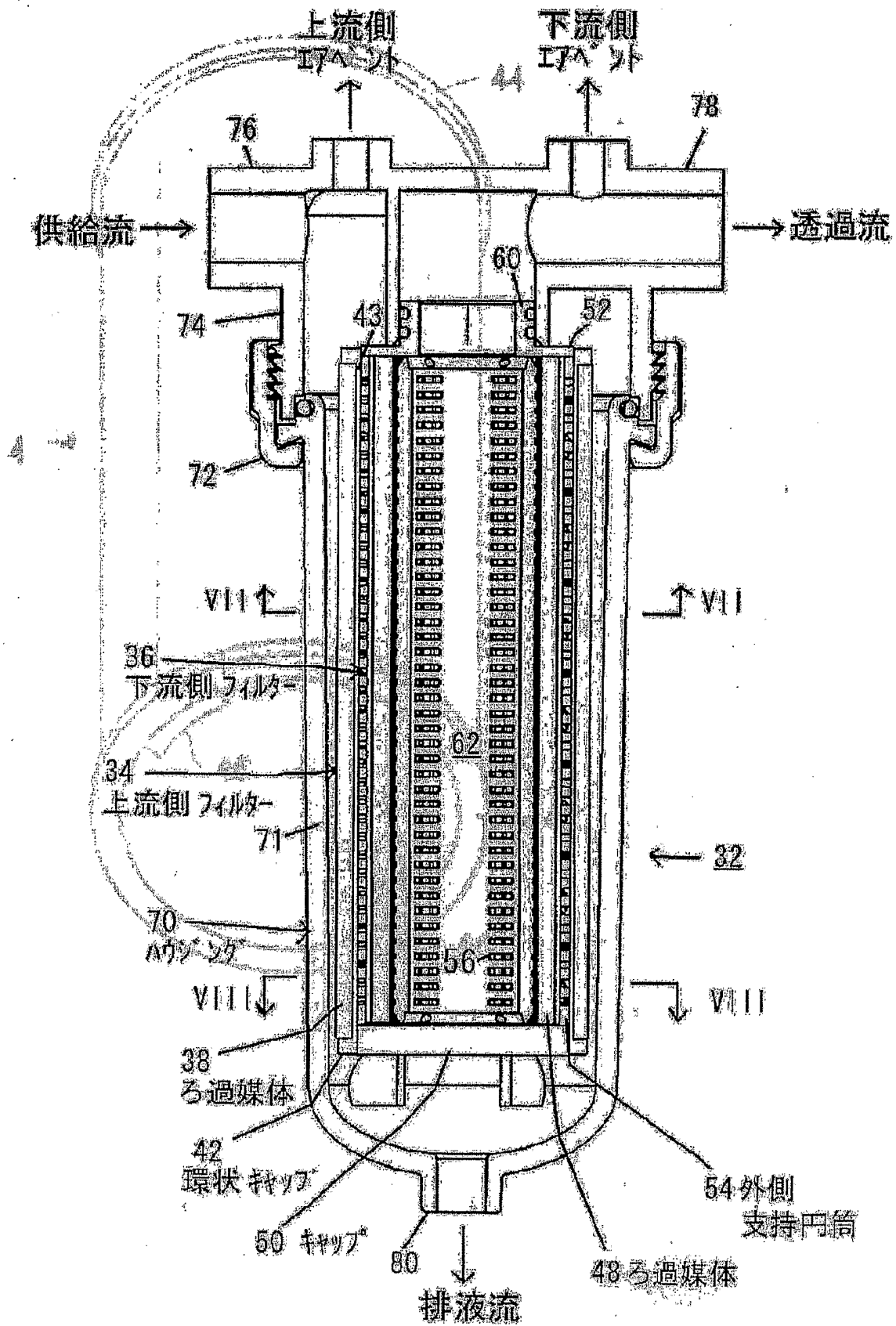


Figure 2



2/7
Figure 3



3/7
Figure 4

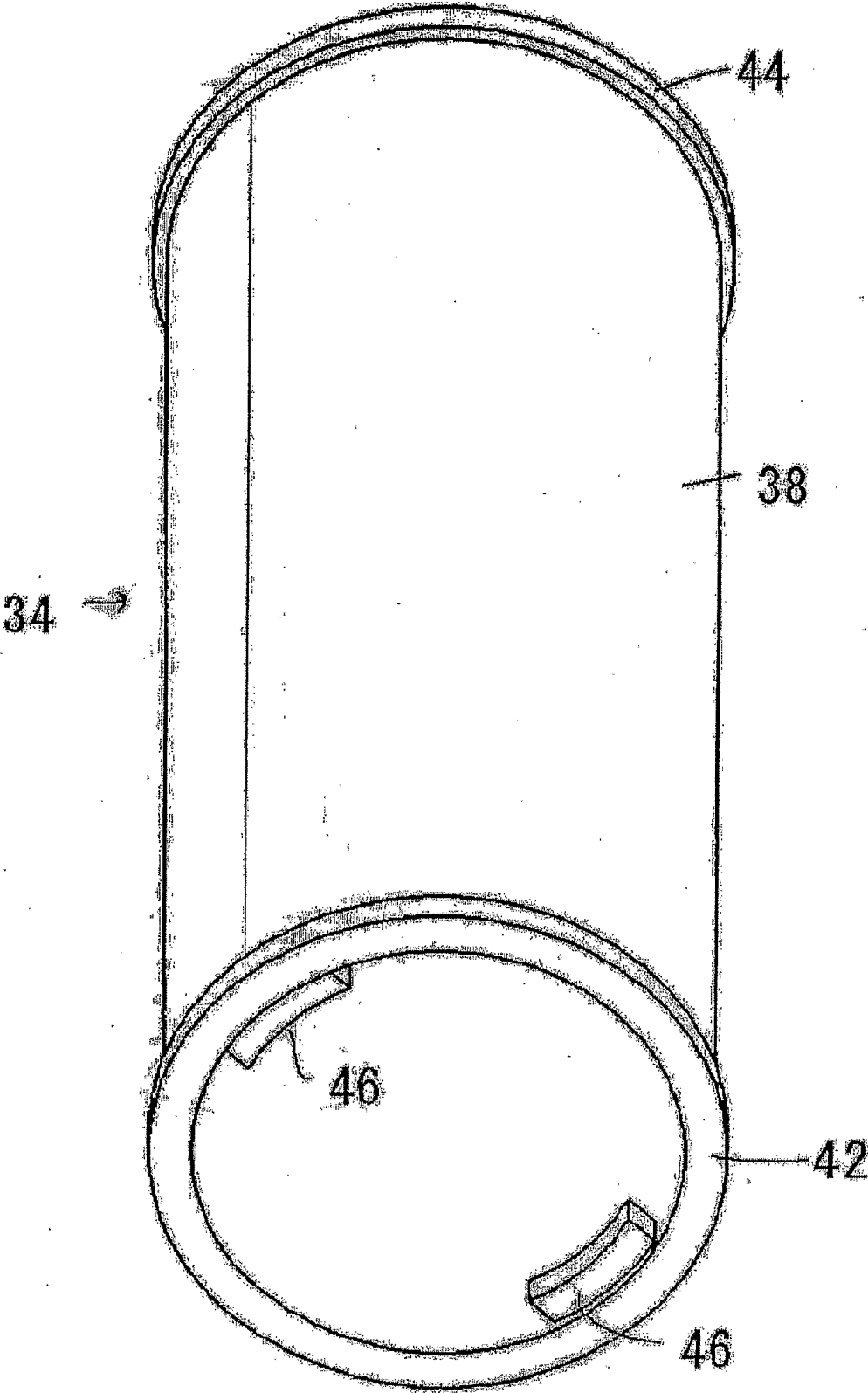


Figure 5

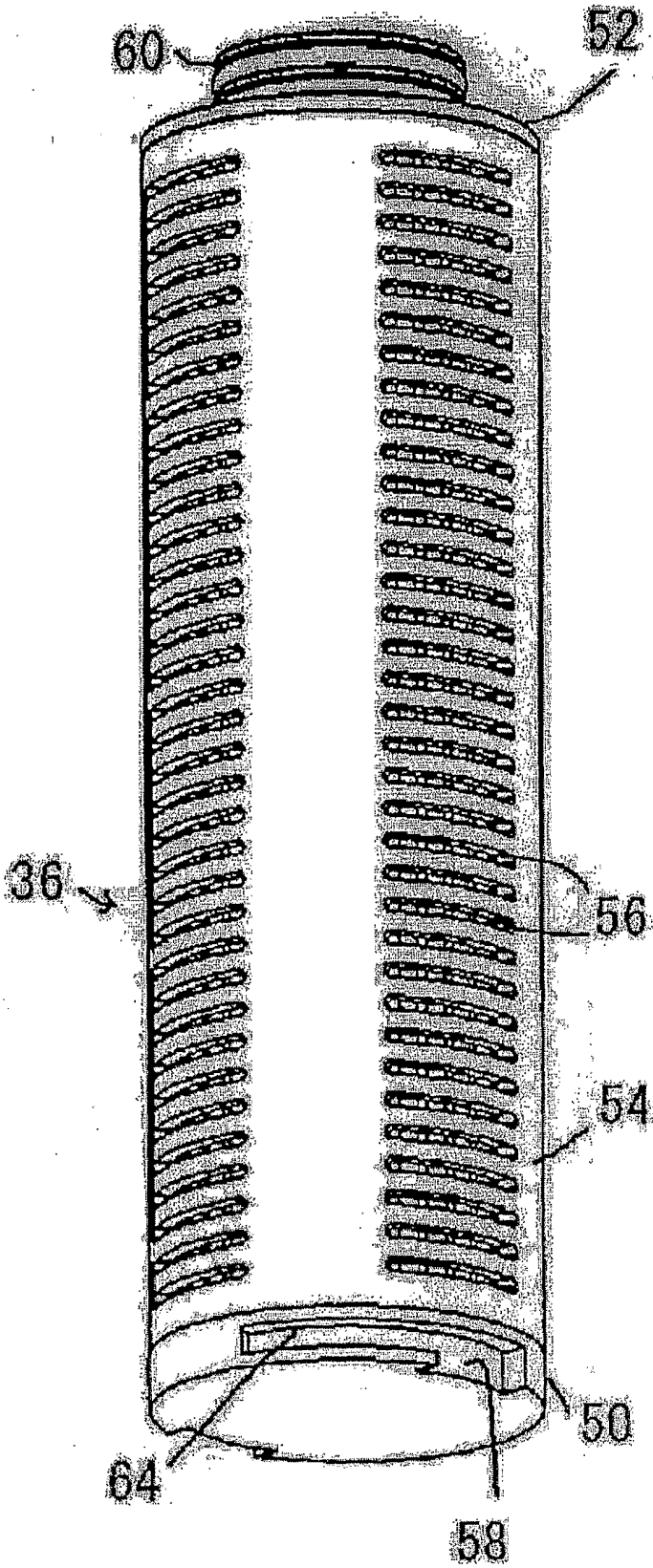


Figure 6

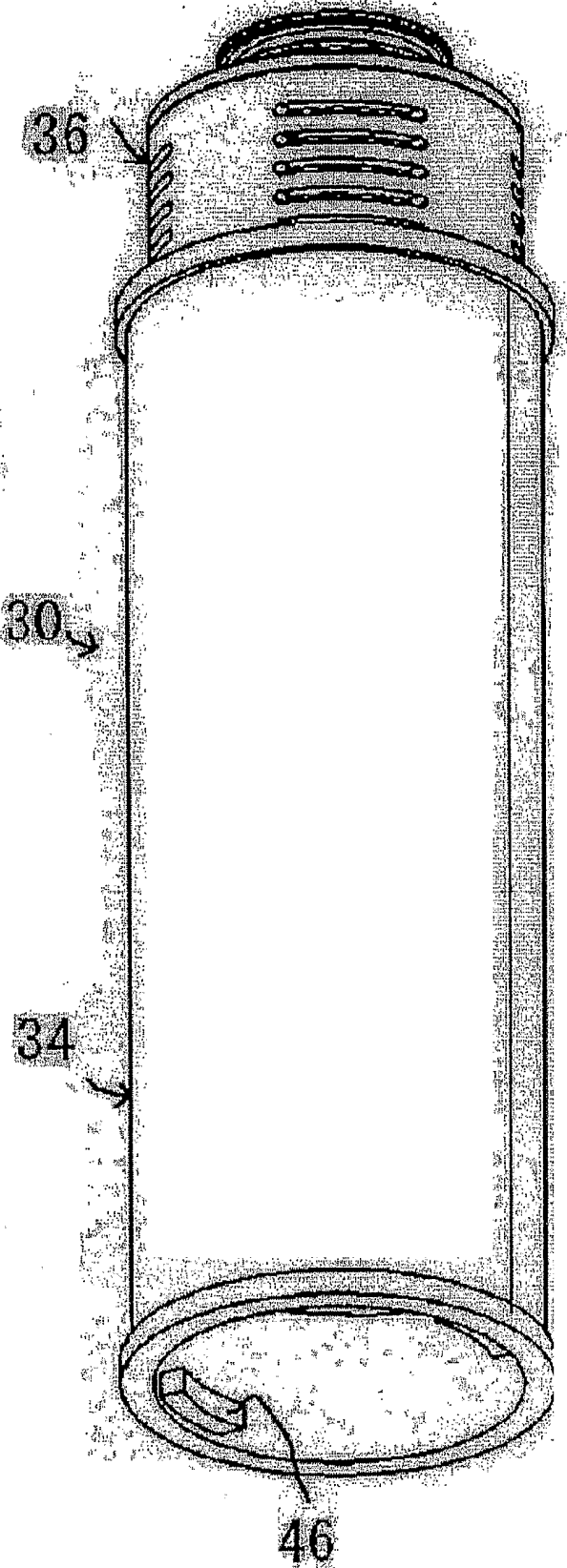


Figure 7

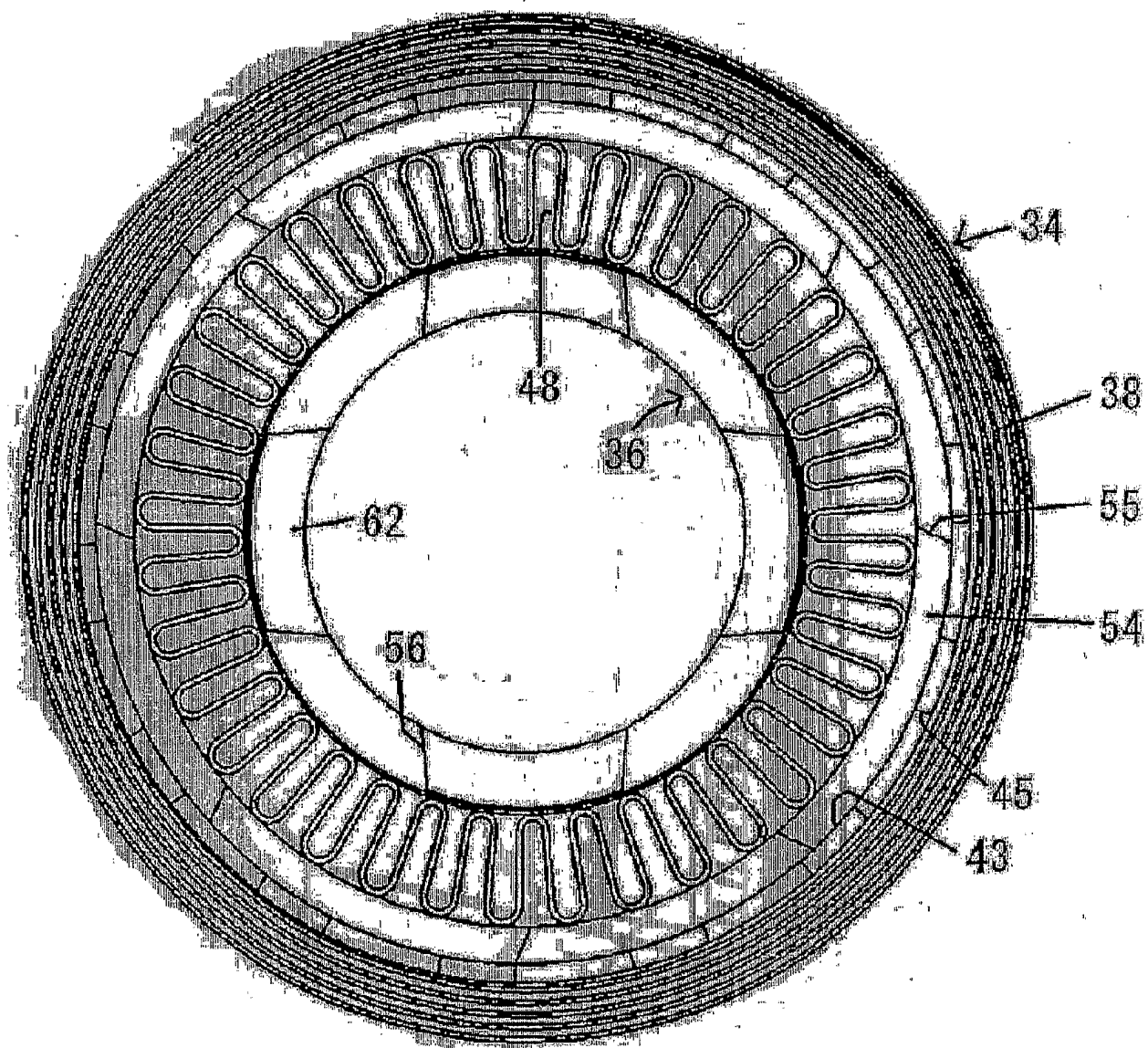
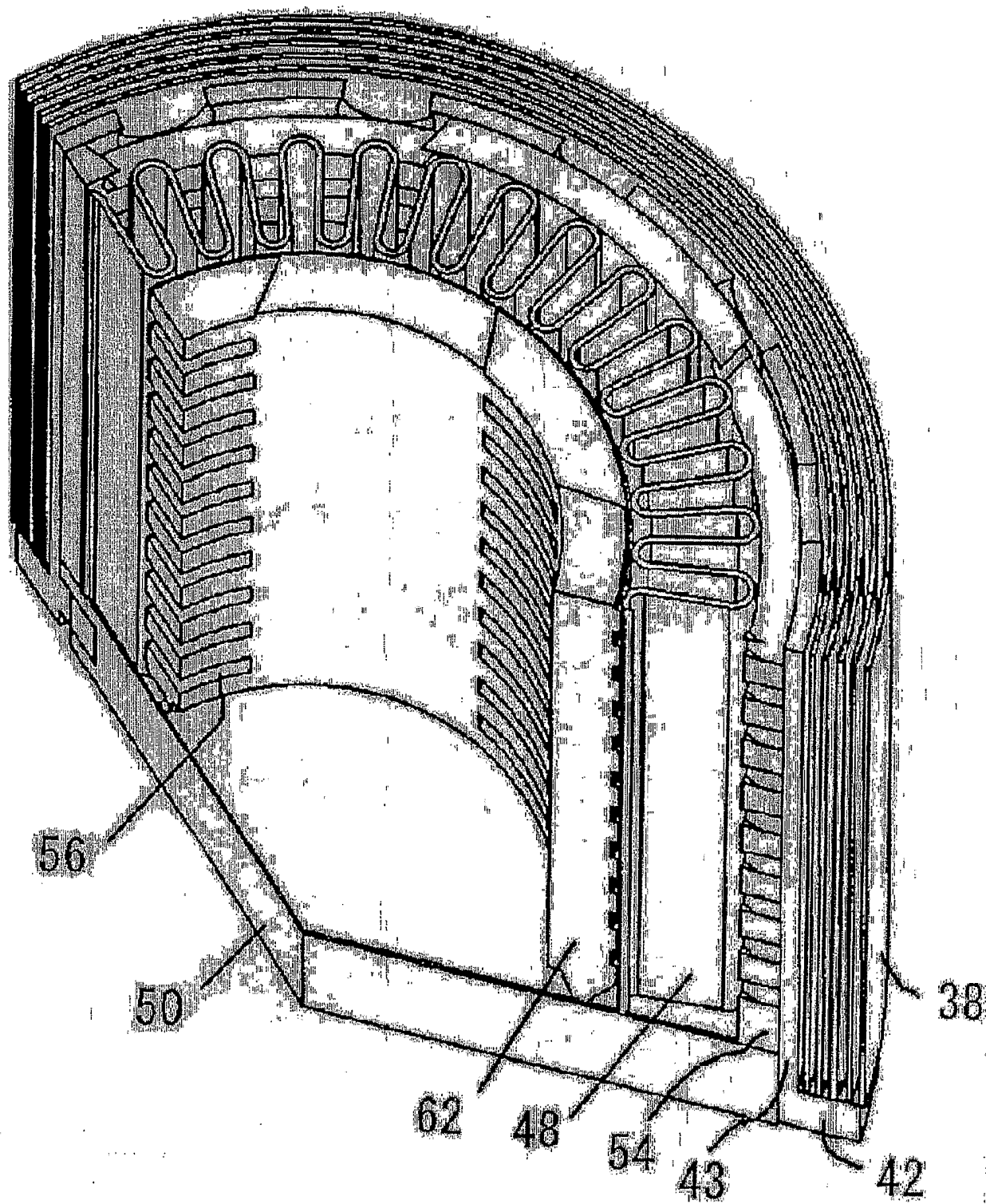


Figure 8



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/30495

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : B01D 29/21

US CL : 210/315, 342

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 210/315, 321.77, 321.86, 323.2, 338, 342, 458, 489, 493.2, 497.01

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 3,262,570 A (GAILITIS et al) 26 July 1966 (26.07.1966), see column 1, line 63 to column 4, line 35.	1-3 and 12
X	JP 07-323217 B (KAWAGUCHI) 12 December 1995 (12.12.1995), see the abstract (in English), pages 1-3, and Figure 3.	1-3 and 12
X	BE 534,851 A (ECTORS et al) 31 January 1955 (31.01.1955), see pages 1-3 and the drawing Figure.	1-3 and 12

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

04 November 2002 (04.11.2002)

Date of mailing of the international search report

03 JAN 2003

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Matthew O Savage

Telephone No. 703-308-0661

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/30495

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claim Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claim Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claim Nos.: 4-11
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.