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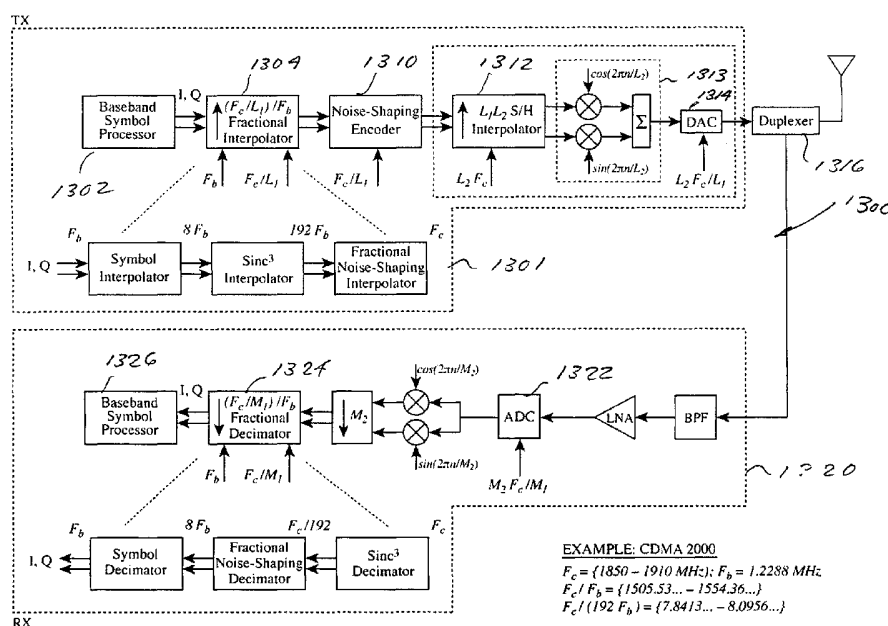
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(54) Title: NOISE SHAPED INTERPOLATOR AND DECIMATOR APPARATUS AND METHOD



(57) Abstract: Improved interpolator and decimator apparatus and methods, including the addition of an elastic storage element in the signal path. In one exemplary embodiment, the elastic element comprises a FIFO which advantageously allows short term variation in sample clocks to be absorbed, and also provides a feedback mechanism for controlling a delta-sigma modulated modulo-N counter based sample clock generator. The elastic element combined with a delta-sigma modulator and counter creates a noise-shaped frequency lock loop without additional components, resulting in a much simplified interpolator and decimator.



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NOISE SHAPED INTERPOLATOR AND DECIMATOR APPARATUS AND METHOD

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Priority

This application claims priority to U.S. Provisional Application Serial Nos. 60/493,041 filed August 5, 2003, 60/496,320 filed August 18, 2003 and 10/_____ filed August 3, 2004, each entitled "NOISE SHAPED INTERPOLATOR AND DECIMATOR APPARATUS AND METHOD" and each incorporated herein by reference in its entirety.

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Related Applications

This application is related to co-owned and co-pending U.S. patent application Serial No. 10/382,297 filed March 4, 2003 and entitled "RESONANT POWER CONVERTER FOR RADIO FREQUENCY TRANSMISSION AND METHOD",
15 published on February 26, 2004 as U.S. Patent Publication No. 20040037363, which claims priority benefit of U.S. provisional patent application Serial No. 60/361,812 of the same title filed March 4, 2002, and co-owned and co-pending U.S. patent application Serial No. 10/382,326 filed March 4, 2003 and entitled "CODER APPARATUS FOR RESONANT POWER CONVERSION AND METHOD" published on November 20, 2003 as U.S.
20 Patent Publication No. 20030216906, which claims priority benefit of U.S. provisional patent application Serial No. 60/361,813 of the same title filed March 4, 2002, each of which are incorporated by reference herein in their entirety. This application is also related to co-owned U.S. Patent Application No. 10/_____ entitled "VARIABLE CODER APPARATUS FOR RESONANT POWER CONVERSION AND METHOD" (which
25 claims priority to provisional patent application Serial No. 60/493,053 of the same title filed Aug. 5, 2003), and U.S. Patent Application No. 10/_____ entitled "IMPROVED RADIO FREQUENCY DIGITAL-TO-ANALOG CONVERTER" (which claims priority to provisional patent application Serial No. 60/493,053 filed Aug. 5, 2003), both filed contemporaneously herewith and incorporated by reference herein in their
30 entirety.

1. **Field of the Invention**

The present invention relates generally radio frequency signals, and specifically for efficient apparatus and methods for radio frequency (RF) signal transmission, reception, and modulation.

2. Description of Related Technology

In theory, digital sample rate conversion between two different clock rates can be performed without introduction of sample error (phase noise) if the two sample frequencies are related by a rational ratio. This is typically accomplished by interpolating to the lowest
5 frequency that is a multiple of both the source and sink sample rates, and then decimating to the required sink sample rate. If the two sample rates are not rationally related, as will be the case at least part of the time if they are varying with respect to one another, then phase noise will be introduced even if the source and sink sample clocks are ideal. In practice, jitter on the source and sink clocks result in the introduction of phase noise even if the rates
10 are related rationally, so noise-shaped delta-sigma modulated fractional-N ("Frac-N") sample rate converters are often the best choice even when the source and sink clocks are rationally related.

Fractional rate interpolators create an effective interpolation rate that is the average of a series of integer hold values. For example, a fractional interpolation rate of 7.5 could
15 be created by alternating 7 cycle sample-holds with 8 cycle sample-holds. Sequence repetition such as this would, however, create significant tones in the spectral response of the interpolator. If instead a random sequence of 7's and 8's was chosen, with an equal number of 7's and 8's on average, then the same fractional interpolation rate would be achieved, but the quantization error would appear as noise spread across the spectrum
20 instead of the more discrete tones produced by the alternating scheme previously described.

Delta-sigma modulated fractional-N interpolators operate on this principle, except that rather than generate a random stream of 7's and 8's (in the context of the foregoing example), the stream is generated to shape the quantization noise spectrally such that it is reduced in the frequencies of interest, and appears at frequencies that are easily filtered.
25 The principles of delta-sigma modulation are well known in the signal processing arts and are described in, e.g., "Delta-Sigma Data Converters - Theory, Design and Simulation", Norsworthy, et al., IEEE Press, 1997.

Both Integer and Fractional-N digital sample rate converters have been described in the literature; see U.S. Patent No. 5,497,152 issued March 5, 1996 and entitled "Digital-to-
30 Digital Conversion Using Non-Uniform Sample Rates" which is incorporated herein by reference in its entirety; and "Sample-Rate Conversion: Algorithms and VLSI Implementation", Diss. ETH No. 10980, Swiss Federal Institute of Technology, Zurich (1995), also incorporated herein by reference in its entirety. Many issues associated with tracking source and sink clocks have also been addressed in the prior art.

The prior art solutions, however, have several drawbacks associated therewith. Most notably, each requires a complete digital phase lock loop (PLL) in addition to the interpolator in order to provide the desired tracking between source and sink clock domains. This PLL arrangement is costly in terms of additional complexity and power consumption. It also reduces the robustness of the interpolator device as a whole. What would be ideal is a solution where the PLL could be obviated in favor of a simpler and less power-consumptive architecture.

Additionally, prior art solutions do not provide the ability to dynamically adapt the operation of the interpolator (or decimator) as a function of operational conditions or parameters, such as transmitter power. Such prior art interpolators also generate significant phase noise which may undesirably fall within certain bands of interest, thereby degrading the performance of the parent device (e.g., RF transmitter).

Summary of the Invention

The present invention satisfies the aforementioned needs by providing an improved apparatus and methods for digital interpolation, decimation and sample rate conversion.

In a first aspect of the invention, improved interpolator apparatus useful in a communications circuit is disclosed, comprising: an elastic storage element; a filter; and a delta-sigma modulator. In one embodiment, the interpolator uses the elastic storage element to buffer or absorb sample rate variations occurring between two different clock or data domains. A low pass filter (LPF) filters the error signal related to the difference between the two domains; this filtered signal acts as an input to the delta-sigma modulator. The modulator output is input to a modulo-N counter, the output of which is used to adjust the sample rate in the asynchronous (e.g., read) domain.

In a second aspect of the invention, improved decimator apparatus useful in a communications circuit is disclosed, comprising: an elastic storage element; a filter; and a delta-sigma modulator. In one embodiment, the decimator uses the elastic storage element to buffer or absorb sample rate variations occurring between two different clock or data domains, akin (but for the decimation function) to the interpolator described above. A low pass filter (LPF) filters the error signal related to the difference between the two domains; this filtered signal acts as an input to the delta-sigma modulator. The modulator output is input to a modulo-N counter, the output of which is used to adjust the sample rate in the asynchronous (e.g., write) domain.

In a third aspect of the invention, improved radio frequency apparatus including a fractional oscillator having a modulus update frequency is disclosed. In one embodiment, the oscillator comprises a delta-sigma phase-locked loop (PLL). The radio frequency apparatus utilizes a transmit frequency and receive frequency, and the PLL utilizes a modulus
5 update frequency comprising a multiple or sub-multiple of an offset between the receive frequency and the transmit frequency. The modulus update frequency can also be dynamically varied as a function of at least one parameter such as transmitter power, and/or the order of the delta-sigma PLL (where a variable-order device is used).

In a fourth aspect of the invention, a method of suppressing phase noise in a radio
10 frequency device having a transmit frequency and receive frequency is disclosed. In one embodiment, the method comprises: providing a delta-sigma Frac-N phase lock loop (PLL) having a modulus; and updating the modulus at a frequency comprising a multiple or sub-multiple of an offset between the receive frequency and transmit frequency. The updating reduces the presence of phase noise generated by the PLL at the receive frequency.

15 In a fifth aspect of the invention, a method of operating a fractional-N interpolator apparatus comprising a delta-sigma modulator, first and second clock domains, and an elastic buffer disposed operatively between the clock domains is disclosed. In one embodiment, the method comprises: clocking data into the buffer using the first clock domain; generating an error signal related to the difference between the first and second
20 clock domains; filtering the error signal; modulating the filtered error signal; and generating a clock period signal based at least in part on the modulated filtered signal. Data is read from the elastic buffer in an asynchronous fashion based at least in part on the clock period signal.

In a sixth aspect of the invention, a method of operating a fractional-N interpolation
25 or decimation apparatus comprising a delta-sigma modulator, first and second clock domains, one of the clock domains operating at a speed greater than the other, is disclosed. In one embodiment, the method comprises asynchronously clocking data out of or into an elastic storage element disposed between the two clock domains based at least in part on a signal generated by the delta-sigma modulator and counter.

30 In a seventh aspect of the invention, improved radio frequency transceiver apparatus is disclosed. In one embodiment, the apparatus comprises: a baseband processor adapted to process a plurality of baseband data; and a transmitter comprising a delta-sigma modulator fractional-N interpolator. The transmitter comprises a direct conversion transmitter adapted to convert directly from baseband to carrier frequency, and includes a resonator. The

interpolator comprises an elastic storage element, low-pass filter and modulo counter, the storage element, filter, modulator and counter cooperating to elastically buffer variations in sampling rates between an input domain and output domain of the interpolator. The interpolator and transmitter also cooperate to suppress phase noise within at least one
5 frequency band other than a frequency band used by the transmitter.

Brief Description of the Drawings

The features, objectives, and advantages of the invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings,
10 wherein:

Fig. 1 is a schematic (circuit) diagram of an exemplary embodiment of an interpolator circuit according to the present invention.

Fig. 2 is a circuit diagram of a first exemplary embodiment of the elastic storage device (and associated components) of the interpolator of Fig. 1.

15 Fig. 3 is a schematic diagram of an exemplary embodiment of a decimator circuit according to the present invention.

Fig. 4 is a plot of the noise transfer function of an exemplary coder (fifth order) according to the invention, showing the notch at 637.4783 MHz with respect to the sample rate of 1880 MHz.

20 Fig. 5 is a graphical representation of a spectrum generated using integer (non-fractional) interpolation of the combined interpolation and filtering stages.

Fig. 6 is a graphical representation of a spectrum generated using the fractional sample rate converter, of the combined interpolation and filtering stages.

25 Fig. 7 is a detail view of the spectrum of Fig. 6, specifically at the notch frequency corresponding to the receive band.

Fig. 8 is a graphical representation of an exemplary spectrum of the output of an I-coder following the fractional rate interpolator.

Fig. 9 is a graphical representation of the spectral output of an exemplary I-coder (partial view).

30 Fig. 10 is a graphical representation of an exemplary spectrum of the output of the digital transmitter that combines and upconverts the I and Q coders.

Fig. 11 shows the frequency response for an exemplary bandpass filter/RF resonator/duplexer configuration according to the invention.

Fig. 12 is an exemplary output spectrum of the exemplary digital transmitter of the invention after the analog bandpass filter and RF resonator and duplexer.

Fig. 13 is a functional block diagram of an exemplary radio frequency transceiver circuit incorporating both the interpolator and decimator apparatus of the present invention.

5 Fig. 14 is a functional block diagram of another embodiment of a radio frequency transceiver circuit according to the present invention, incorporating a phase-lock loop (PLL) arrangement.

Fig. 15 is a graphical representation of an exemplary digital transmitter showing the phase noise transfer function of the VCO output of a fractional-N delta-sigma PLL having
10 $\sin(x)/x$ sampling nulls occurring at multiples an exemplary receiver frequency offset (e.g., 80 MHz) from the transmitter.

Fig. 16 is a graphical representation of an exemplary digital transmitter showing the phase noise profile of the VCO output of fractional-N delta-sigma PLL having $\sin(x)/x$ sampling nulls occurring at multiples of the receiver frequency offset from the transmitter.

15 Fig. 17 is a graphical representation of an exemplary digital transmitter showing the output with a pure carrier, using a fractional-N delta-sigma PLL having $\sin(x)/x$ sampling nulls occurring at multiples of the receive frequency offset from the transmitter.

Fig. 18 is a graphical representation of an exemplary digital transmitter showing the spectral output of the overall digital transmitter prior to analog bandpass filter/duplexer, but
20 with phase noise in accordance with Figures 15, 16, and 17.

Detailed Description of the Invention

Reference is now made to the drawings wherein like numerals refer to like parts throughout.

25 As used herein, the term “code division multiple access,” or CDMA, generally refers to digital wireless technology that uses a spread spectrum technique to disperse a signal across a wide range of frequencies, such as according to a pseudo-noise or other code.

As used herein, the terms “transmit”, “transmission” and “transmitting” for convenience may generally be considered to refer to both the acts of transmitting signals and
30 receiving signals, as applicable.

As used herein, the term “processor” is meant generally to include all types of data or signal processing devices including, without limitation, digital signal processors (DSPs), reduced instruction set computers (RISC), general-purpose (CISC) processors, microprocessors, gate arrays (e.g., FPGAs), Reconfigurable Compute Fabrics (RCFs), and

application-specific integrated circuits (ASICs). Such digital processors may be contained on a single unitary IC die, or distributed across multiple components.

As used herein the terms “memory”, “storage element”, and “storage device” are meant to include any means for storing data or information, including, without limitation, RAM (e.g., SRAM, SDRAM, DRAM, SDRAM, EDR-DRAM, DDR), ROM (e.g., PROM, EPROM, EEPROM, UV-EPROM), magnetic bubble memory, optical memory, embedded flash memory, etc.

Overview-

The present invention provides, *inter alia*, an improved interpolator and decimator architectures having simplicity as well as increased efficiency. These benefits are largely afforded through the use of one or more “elastic” storage elements (e.g., an asynchronous FIFO) in the signal path, which can obviate the digital phase lock loop (PLL) or other comparable components under the prior art.

The addition of an elastic storage element in the signal path according to the present invention advantageously allows short term variation in sample clocks to be absorbed, and also provides a feedback mechanism for controlling a delta-sigma modulated modulo-N counter based sample clock generator. In essence, the elastic element(s) (e.g., FIFO(s)) combined with a delta-sigma modulator and counter creates a noise-shaped frequency-lock loop without additional components, resulting in a much simplified interpolator or decimator as compared to prior art solutions. This not only reduces the complexity and cost of any parent device (e.g., wireless handset, etc.) using this architecture, but also increases its robustness and efficiency.

Exemplary Interpolator/Decimator Apparatus-

It will be recognized that while described in the context of an exemplary wireless communications system, the present invention is not so limited, and may be utilized within a variety of different applications readily apparent to those of ordinary skill in the art provided the present disclosure, including non-wireless (e.g., wireline or coaxial) systems. The following embodiments are therefore merely illustrative of the broader principles of the invention.

Referring now to Fig. 1, an exemplary embodiment of the improved interpolator apparatus 100 according to the invention is described. The embodiment of Fig. 1 comprises a noise-shaped frequency-tracking digital sample rate interpolator, and includes an “elastic” storage element 102 (here, an asynchronous FIFO, shown in Fig. 2, although other types of

devices may be used to provide this functionality), a digital low pass filter (LPF) 104 which filters and scales the error signal generated in the circuit 100, a noise shaped delta-sigma modulator 106, and a modulo counter 108 that converts the output of the delta-sigma modulator 106 to a clock period. Data is input and output via two respective ports 105, 107 of the storage element 102. The circuit 100 is also provided with an offset input 109 to allow user-selected offsets as described subsequently herein.

As used herein, the term “elastic” refers generally to any component or group of components which act to at least partly decouple one domain from one or more others, or provide buffering there between. For example, as described in greater detail below, the exemplary embodiment of the interpolator circuit uses the elastic storage element to substantially decouple or buffer between two clock domains, thereby allowing some degree of independence in their operation.

The exemplary elastic storage device 102 comprises a circuit 200 having an asynchronous FIFO (shown in detail in Fig. 2). The FIFO 102 comprises a multi-port (dual port) RAM 202 with clocked write 204 and asynchronous read 206 of the type well known in the computer arts, although it will be appreciated that other configurations of storage device may be used, the present invention not being limited to a dual-port or even multi-port RAM. For example, a single-port storage device adapted for asynchronous accesses by two or more bus masters may be used, assuming the access rates for each master are sufficiently fast. As another alternative, a buffered non-blocking switch fabric of the type well known in the digital processor arts may conceivably be used.

The exemplary dual-port RAM device 202 of Fig. 2 includes *inter alia* a write enable (WE) port, write address (waddr) and read address (raddr) ports, and a clock port. In the illustrated embodiment, read and write pointers are built with Gray code counters, with the write counter residing in the source clock domain and the read counter residing in the sink (read) clock domain. Both pointers in the circuit 200 of Fig. 2 are re-clocked into the other domain and a current count of entries is calculated in each domain. The count in the slower domain of the circuit 200 of Fig. 2 may contain anomalies due to skew in the re-clocking, and accordingly is not utilized in the illustrated embodiment of Fig. 2 (although it will be recognized that such slower domain clocking may be utilized if the foregoing anomalies are corrected, or for other purposes).

The count in the faster domain (i.e., sink for an interpolator, source for a decimator) is always reliable due to the use of Gray code arithmetic. As is well known, Gray codes (also called cyclical or progressive codes) have historically been useful in mechanical

encoders since a slight change in location only affects one bit. However, these same codes offer other benefits well understood to one skilled in the art including being hazard-free for logic and other conditions that could give rise to faulty operation of the circuit. The use of such Gray codes also have important advantages in power saving designs. Because only
5 one bit changes per state change, there is a minimal number of circuit elements involved in switching per input change. This in turn reduces the amount of dynamic power by limiting the number of switched nodes toggled per clock change. Using a typical binary code, up to n bits could change, with up to n subnets changing per clock or input change.

In the context of the illustrated embodiment, the word is being resynchronized
10 across clock boundaries. Since only one bit changes, there is no possibility of getting a wrong value due to clock skew. For example, for the change from decimal "1" to "2" in binary comprises changing from "01" to "10". If the sample clock occurs at the same time the bits are changing, the re-clocked value could be any of 00, 01, 10, or 11, depending on the clock skew and exact relationship between clock and data. Since Gray code is used, the
15 transition is from "01" to "11", and the only possibilities are "01" (clock early) or "11" (clock late).

It will also be appreciated that the above-referenced power savings (reduced switching) may also be realized through the use of Gray codes in, e.g., integrated circuit implementations of the circuits 100, 200.

20 It is recognized that in the illustrated embodiment, the rate of change of the number of entries in the FIFO 102 is the difference between the source sample rate and the sink sample rate. In other words, the number of entries is the integral of the difference between the source and sink sample rates. This is exactly the signal that is needed as input to the delta-sigma modulator to control the average number of sink clock cycles per source clock
25 cycle (for an interpolator; the opposite is true of a decimator). In practice, this signal is low-pass filtered, and the FIFO 102 is used to absorb short term variations in the ratio of source and sink sample rates. The number of entries in the FIFO 102 sets the range of frequency ratios over which the loop can lock, and an offset can be added to set the midpoint of that range if desired.

30 The order and bit-width of the delta-sigma modulator 106 is chosen to allow the desired noise shaping within the constraints of the sink to source sample ratio. An offset may be added to the delta-sigma modulator output to set a minimum sample period. The maximum sample period is the offset plus the maximum delta-sigma modulator output

(e.g., $2^{\text{bit-width}} - 1$). In the exemplary configuration, this would be centered around the anticipated source-to-sink sample ratio, although other values may conceivably be used.

Referring now to Fig. 3, an exemplary configuration of a noise shaped decimator circuit 300 according to the invention is shown. This decimator is basically the dual of the interpolator. In this case, N samples of input data, where N is controlled by the delta-sigma modulator 306, are accumulated and then dumped to the elastic storage element (e.g., FIFO) 302. Since the source clock is now faster than the sink clock, the feedback from the FIFO 302 is taken in the source clock domain, but the rest of the fractional-N locked loop behaves in exactly the same manner as for the interpolator previously described herein with respect to Fig. 1.

Referring now to Figs. 4-12, an exemplary implementation of a fractional sample rate interpolator (part of an exemplary digital radio architecture described by the co-pending U.S. patent applications previously referenced herein) is discussed in detail for purposes of illustration. It will be recognized that the present invention is in no way limited to the details, values, or configuration of the following example.

In the exemplary architecture, the digital samples are being filtered and up-converted from a base-band rate of 1.2288 MHz, to a carrier rate that can vary from 1850 to 1910 MHz. This is done in three stages, where the combined interpolation of the first two stages is 192, and the third stage is implemented using the described invention. The base-band frequency and range of carrier frequencies, when taken with the fixed interpolation ratio of 192, imply that the final interpolation will be in the range of approximately 7.7 to 8.1. The example spectra were generated with a ratio of $1880/(1.2288 \times 192)$ or about 7.9685. With respect to the fractional sample rate converter, this means that the input sample rate is $1.2288 \text{ MHz} \times 192 = 235.9296 \text{ MHz}$, and the output sample rate is 1880 MHz. The receive band is 80 MHz offset above the transmit band. The noise-shaping delta-sigma modulator (coder) must suppress noise at around this frequency with a 1.2288 MHz bandwidth. The coder effectively runs at 1880 MHz. The frequency to be suppressed from quantization noise is therefore $80 \text{ MHz} \times 7.9685 = 637.4783 \text{ MHz}$ approximately. The ratio $637.4783 / 1880 = 0.33908$ approximately, which is the relative normalized frequency we must place a null at in the noise shaping coder for noise suppression.

Fig. 4 is a plot of the noise transfer function of the coder, showing the notch at 637.4783 MHz approximately.

Fig. 5 is a spectrum using integer (non-fractional) interpolation of the combined interpolation and filtering stages.

Fig. 6 is a spectrum, using the fractional sample rate converter, of the combined interpolation and filtering stages.

Fig. 7 is a focus view of Fig. 6 at the notch frequency location of interest corresponding to the receive band. The frequency scales of Figs. 5, 6, 7, 8, and 9 are calibrated with respect to the symbol rate. Therefore, the 80 MHz frequency is $80/1.2288 = 65.1$ with respect to the symbol rate.

Fig. 8 is a spectrum of the output of the I-coder that follows the fractional rate interpolator, and Fig. 9 is a focus view thereof.

Fig. 10 is a spectrum of the output of the digital transmitter that combines and upconverts the I and Q coders.

Fig. 11 shows the frequency response of the RF resonator/duplex filter, and Fig. 12 is a spectrum of the digital transmitter after the RF resonator/duplexer is applied. The noise in the receive band is not appreciably degraded by the fractional sample rate converter.

15 *RF System with Interpolator/Decimator-*

Referring now to Fig. 13, an exemplary radio frequency transceiver system utilizing the improved converter of the present invention is described.

In one embodiment, the transceiver 1300 comprises a transmitter section 1301 with baseband processor 1302, a fractional interpolator 1304 (such as that described herein), noise shaping coder (NSC) 1310, sample and hold interpolator 1312, a digital I/Q quadrature mixer and combiner 1313, and a high-efficiency DAC 1314 (such as that described in co-pending and co-owned U.S. Patent Application Serial No. 10/_____) entitled "IMPROVED RADIO FREQUENCY DIGITAL-TO-ANALOG CONVERTER" previously incorporated herein). A resonator (not shown) is also utilized in conjunction with the duplexer 1316 to generate the analog output of the transmitter. As described in detail in co-owned and co-pending U.S. Patent Application No. 10/382,297 filed March 4, 2003 previously incorporated herein, the interpolator, NSC, DAC and resonator cooperate to convert the digital in-phase (I) and quadrature (Q) signals obtained from the baseband processor directly to an analog representation at carrier frequency for transmission over an antenna in a highly power-efficient manner. In one variant, the transmitter is further adapted to dislocate quantization noise generated by the transmitter outside of one or more receive bands associated with a related receiver unit of the transmitter.

The transceiver circuit 1300 of Fig. 13 also includes a receiver section 1320 including the duplexer 1316, analog-to-digital converter (ADC) 1322, fractional decimator

1324 (which includes the fractional noise-shaping decimator described herein), and baseband processor 1326 (which may or may not be the same baseband processor 1302 as described above). The received signals are duplexed and sent to the ADC (via a bandpass filter and low noise amplifier), wherein they are converted to the digital domain. Here the
5 fractional noise-shaping decimator decimates the signal and ultimately (with the aid of other decimation) produces the digital in-phase (I) and quadrature (Q) signals which may then be processed by the baseband processor.

Alternate Embodiments

10 In another aspect of the invention, an improved RF device and method of operating the same is disclosed. Referring to Figs. 14-18, the present invention also optionally includes a means for reducing the sensitivity to phase noise and causing less degradation in the receive band, which may be offset in frequency from the transmit band in a full duplex transceiver.

15 In one exemplary embodiment of the architecture employing this improvement, a fractional-N phase lock loop (PLL) is provided having a modulus update frequency at a multiple or sub-multiple of the receive frequency offset from the transmitter. For example, in a CDMA system, the receive frequency is typically 80 MHz above the transmit frequency. If the modulus updates at 80 MHz, or even 40 MHz or 20 MHz, etc., then
20 $\sin(x)/x$ nulls will occur at multiples of the update rate. It will be recognized that this feature is not limited to only receive frequency offsets, but rather may be based on any value (or offset) if desired.

It will also be appreciated that the present invention can be used with a variable coder as well, such as for example the variable order coder described in co-owned and co-
25 pending U.S. Patent Application Serial No. 10/_____ entitled "VARIABLE CODER APPARATUS FOR RESONANT POWER CONVERSION AND METHOD" filed contemporaneously herewith, and incorporated by reference herein.

Fig. 14 shows an exemplary embodiment of a PLL-based transceiver apparatus 1400. In this embodiment, the baseband clocks related to F_b are derived from an
30 independent clock source, and the carrier-related clocks related to F_c are derived from an independent PLL 1402 with a modulus update frequency control signal, F_{modulus} 1406. The PLL 1402 may be of the type ordinary in the art, such as a Fractional-N synthesis PLL (as shown) or a delta-sigma PLL, or any other structure which provides the aforementioned

functionality. As will be appreciated, this approach can be applied to both the interpolator and decimator circuits.

Fig. 15 is a plot of the phase noise transfer function of the VCO of a fractional-N phase lock loop (PLL) (such as that of Fig. 14) having a modulus update rate of 80 MHz. Advantageously, there is significant attenuation at 80 MHz, as opposed to the single-pole roll-off that occurs at lower frequencies.

Fig. 16 is a plot of the phase noise profile that follows Fig. 15.

Fig. 17 is a plot of a pure transmit carrier frequency, i.e., an unmodulated periodic sequence of $\{1,0,-1,0\}$, but with jittered sampling edges in accordance with the phase noise profile that follows from Fig. 16. The noise at 80 MHz offset in a 1.2288 MHz bandwidth is -168dBm/Hz , where the transmit power is $+28\text{dBm}$.

Fig. 18 is a plot of the actual modulated sequence having jittered sampling edges in accordance with the phase noise profile that follows from Fig. 16. This plot, Fig. 18, can be compared with that of Fig. 10. The noise at 80 MHz offset in a 1.2288 MHz bandwidth is -149dBm/Hz , as opposed to -153dBm/Hz in the ideal case without jitter or phase noise. It should be noted that if the same duplexer/resonator is applied (as comparing Fig. 10 with Fig. 12), then the receive noise is additionally suppressed by another 40 dB or so using the aforementioned techniques.

It will be further recognized that the disclosed techniques for reducing phase noise (e.g., in the receive band(s)) may be used selectively with respect to the other aspects of the present invention. For example, it is contemplated that the modulus update frequency of the aforementioned fractional-N phase lock loop may be dynamically or selectively varied depending on various factors including the need for further suppression in the receive band, power consumption, etc. The fractional-N PLL may also be selectively switched in or out if desired as well, and/or put into a "sleep" mode under certain operating conditions to conserve power. Myriad different schemes for selectively utilizing and/or controlling the operation of the fractional-N PLL may be used consistent with the invention, as will be recognized by those of ordinary skill when provided the present disclosure.

It can be appreciated that the various circuits of the present invention may be rendered as stand-alone or discrete electronic circuits, as well as integrated circuit (IC) devices. Such integrated circuit devices may include, for example, system-on-chip (SoC) devices which integrate multiple functions or modules onto a single semiconductive die rendered in a sub-micron SiGe process. For example, in one embodiment of the present invention, the interpolator (and/or decimator) circuits are included with the digitally

switched resonator, noise shaping coder, etc. of the direct-conversion architecture referenced above. Baseband processing may also optionally be included within this device. This highly integrated approach provides significant benefits in terms of size and compactness, power consumption, and ease of design and implementation. It also
5 leverages one of the primary benefits of the exemplary direct-conversion architecture described above; i.e., simplification and obviation of many prior art RF amplifier and up-conversion/down-conversion components that would otherwise be provided as discrete devices.

It will be recognized that while certain aspects of the invention are described in
10 terms of a specific sequence of steps of a method or ordering of components in an apparatus adapted to implement the methodology of the invention, these descriptions are only illustrative of the broader invention, and may be modified as required by the particular application. Certain steps/components may be rendered unnecessary or optional under certain circumstances. Additionally, certain steps/components or functionality may be
15 added to the disclosed embodiments, or the order of performance of two or more steps or components permuted. All such variations are considered to be encompassed within the invention disclosed and claimed herein.

While the above detailed description has shown, described, and pointed out novel features of the invention as applied to various embodiments, it will be understood that various
20 omissions, substitutions, and changes in the form and details of the device or process illustrated may be made by those skilled in the art without departing from the invention. The foregoing description is of the best mode presently contemplated of carrying out the invention. This description is in no way meant to be limiting, but rather should be taken as illustrative of the general principles of the invention. The scope of the invention should be determined with
25 reference to the claims.

WHAT IS CLAIMED IS:

1. Apparatus useful in a communications circuit, comprising:
an elastic storage element;
a filter; and
5 a delta-sigma modulator.
2. The apparatus of Claim 1, wherein said apparatus comprises an interpolator.
3. The apparatus of Claim 2, wherein said elastic storage element is operatively coupled to said filter, and said filter is operatively coupled to said modulator.
4. The apparatus of Claim 1, further comprising a modulo counter coupled to
10 said modulator.
5. The apparatus of Claim 3, wherein said elastic storage element comprises a multi-port RAM FIFO.
6. The apparatus of Claim 1, wherein said elastic storage element is interposed between two substantially independent clock domains.
- 15 7. The apparatus of Claim 6, wherein said elastic storage element comprises at least one data input port and at least one data output port.
8. The apparatus of Claim 6, wherein said apparatus is configured to absorb variations between said two clock domains.
9. The apparatus of Claim 2, wherein said apparatus forms a frequency-locked
20 loop (FLL).
10. The apparatus of Claim 2, wherein at least said elastic storage element is configured to use Gray coding.
11. The apparatus of Claim 1, wherein said apparatus comprises a decimator.
12. The decimator apparatus of Claim 11, wherein said elastic storage element is
25 operatively coupled to said modulator, and said modulator is coupled to said filter.
13. The decimator apparatus of Claim 11, further comprising a modulo counter interposed electrically between said modulator and said elastic storage element.
14. The decimator apparatus of Claim 12, wherein said elastic storage element comprises a multi-port RAM FIFO.
- 30 15. The decimator apparatus of Claim 11, wherein said elastic storage element is interposed between two substantially independent clock domains.
16. The decimator apparatus of Claim 15, wherein said elastic storage element comprises at least one data input port and at least one data output port.

17. The decimator apparatus of Claim 15, wherein said decimator apparatus is configured to absorb variations between said two clock domains.
18. The decimator apparatus of Claim 11, wherein said apparatus forms a frequency-locked loop (FLL).
- 5 19. The decimator apparatus of Claim 11, wherein at least said elastic storage element is configured to use Gray coding.
20. Radio frequency apparatus comprising a fractional-N oscillator having a modulus update frequency.
21. The apparatus of Claim 20, wherein said oscillator comprises a delta-sigma
10 phase-locked loop (PLL).
22. The apparatus of Claim 21, wherein said radio frequency apparatus comprises a transmit frequency and receive frequency, and said PLL utilizes a modulus update frequency comprising a multiple or sub-multiple of an offset between said receive frequency and said transmit frequency.
- 15 23. The apparatus of Claim 22, wherein said radio frequency apparatus comprises a CDMA mobile unit.
24. The apparatus of Claim 21, wherein said modulus update frequency is variable during operation of said apparatus.
25. The apparatus of Claim 24, wherein said modulus update frequency is
20 dynamically varied as a function of at least one parameter selected from the group consisting of : (i) transmitter power, and (ii) the order of said delta-sigma PLL.
26. A method of suppressing phase noise in a radio frequency device having a transmit frequency and receive frequency, the method comprising:
providing a phase lock loop (PLL) having a modulus; and
25 updating said modulus at a frequency comprising a multiple or sub-multiple of an offset between said receive frequency and transmit frequency;
wherein said act of updating reduces the presence of phase noise generated by said PLL at said receive frequency.
27. The method of Claim 26, wherein said act of providing a PLL comprises
30 providing a fractional-N delta-sigma PLL.
28. A method of operating a fractional-N interpolator apparatus comprising a delta-sigma modulator, first and second clock domains, and an elastic buffer disposed operatively between said clock domains, the method comprising;
clocking data into said buffer using said first clock domain;

generating an error signal related to the difference between said first and second clock domains;

filtering said error signal;

modulating said filtered error signal; and

5 generating a clock period signal based at least in part on said modulated filtered signal.

29. The method of Claim 28, further comprising reading said data from said elastic buffer in an asynchronous fashion based at least in part on said clock period signal.

30. A method of operating a fractional-N interpolation or decimation apparatus
10 comprising a delta-sigma modulator, first and second clock domains, one of said clock domains operating at a speed greater than the other, the method comprising;

asynchronously clocking data out of or into an elastic storage element disposed between the two clock domains based at least in part on a signal generated by said delta-sigma modulator and counter.

15 31. Radio frequency transceiver apparatus comprising:

a baseband processor adapted to process a plurality of baseband data; and

a transmitter comprising a delta-sigma modulator fractional-N interpolator.

32. The apparatus of Claim 31, wherein said transmitter comprises a direct conversion transmitter adapted to convert directly from baseband to carrier frequency.

20 33. The apparatus of Claim 32, wherein said direct conversion transmitter comprises a resonator.

34. The apparatus of Claim 31, wherein said interpolator comprises an elastic storage element.

35. The apparatus of Claim 34, wherein said interpolator further comprises a
25 low-pass filter and modulo counter, said storage element, filter, modulator and counter cooperating to elastically buffer variations in sampling rates between an input domain and output domain of said interpolator.

36. The apparatus of Claim 31, wherein said interpolator and transmitter
30 cooperate to suppress phase noise within at least one frequency band other than a frequency band used by said transmitter.

37. The apparatus of Claim 36, wherein said at least one frequency band comprises a receive band offset from said transmit frequency band by an offset frequency value.

38. The apparatus of Claim 37, wherein said interpolator updates a modulus value associated with said interpolator at a frequency comprising a multiple or sub-multiple of said offset frequency value.

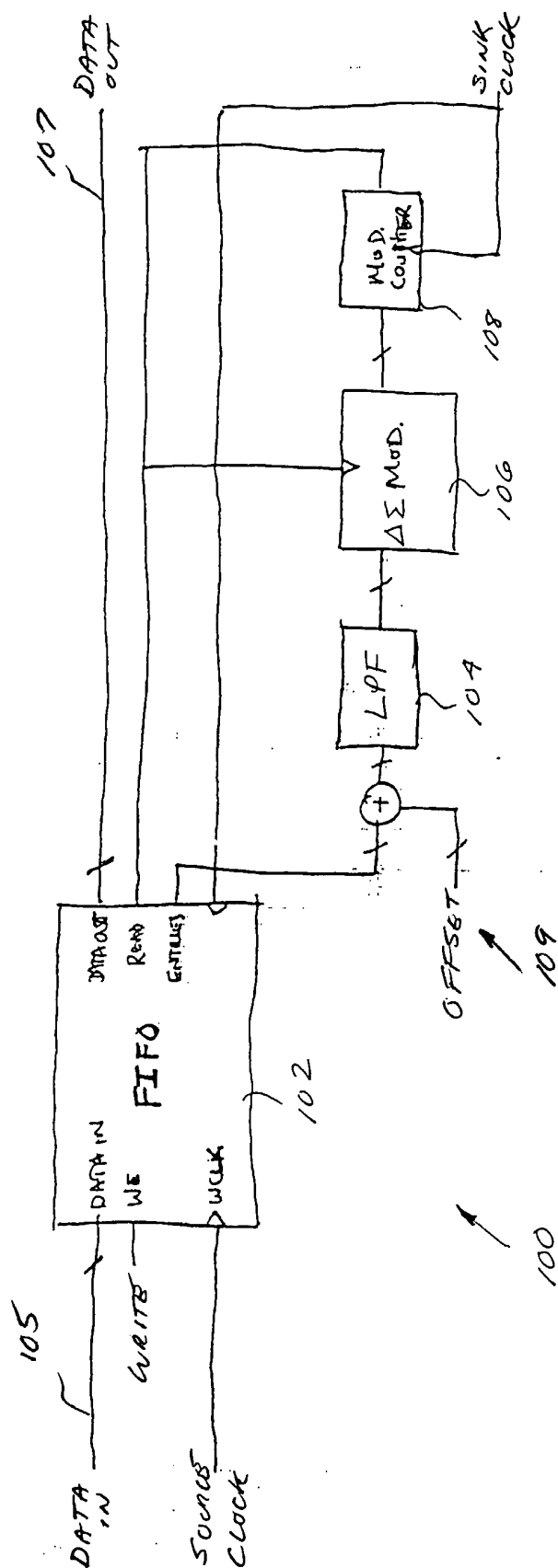
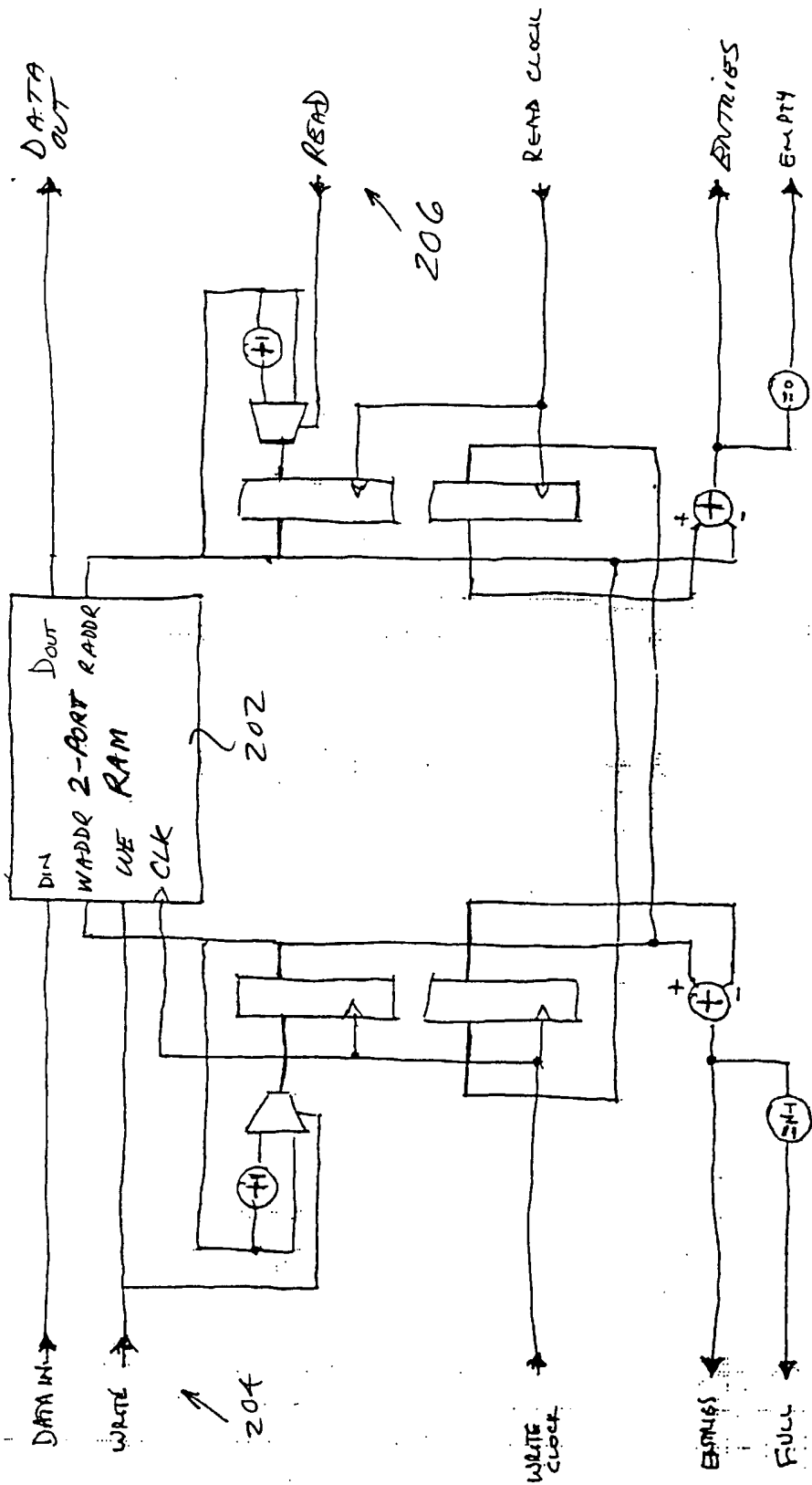


Fig. 1



ALL ARITHMETIC IS GRAY CODE

FIG. 2

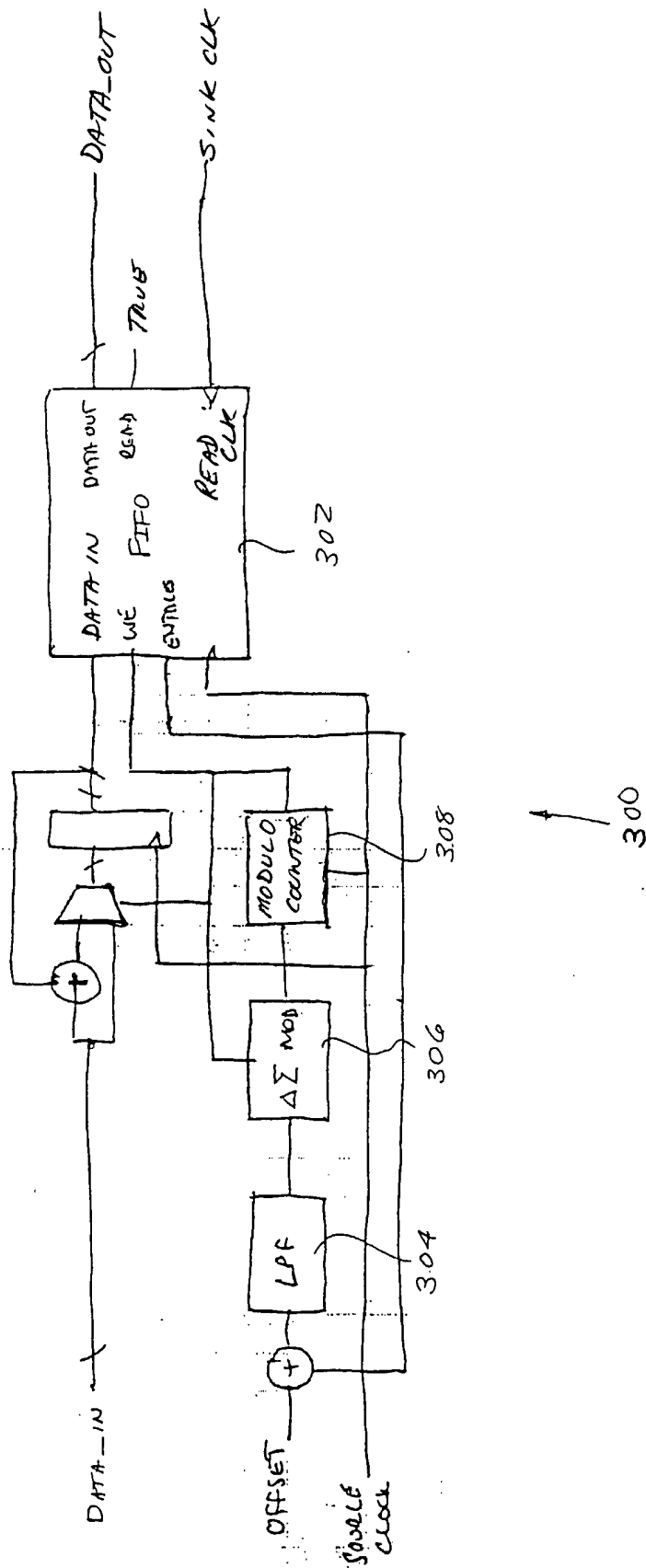
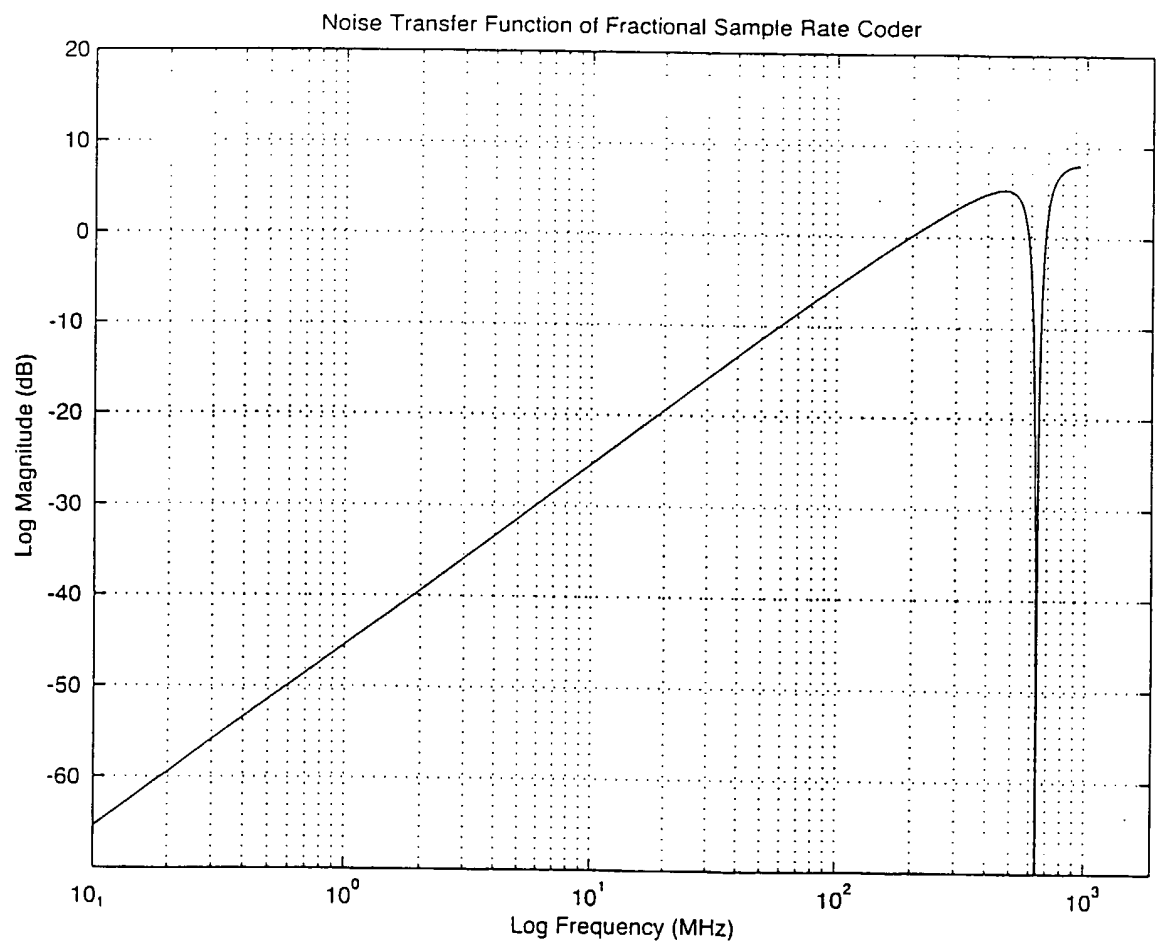
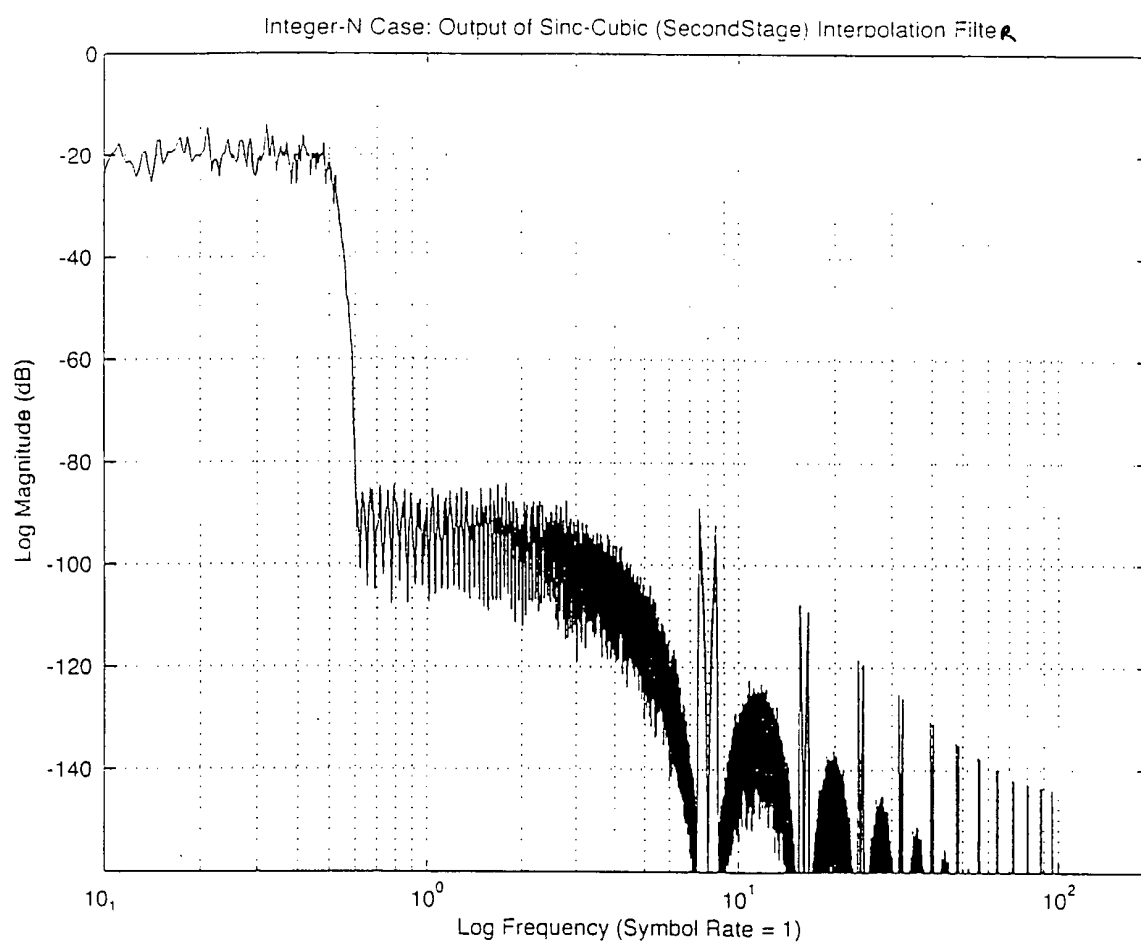
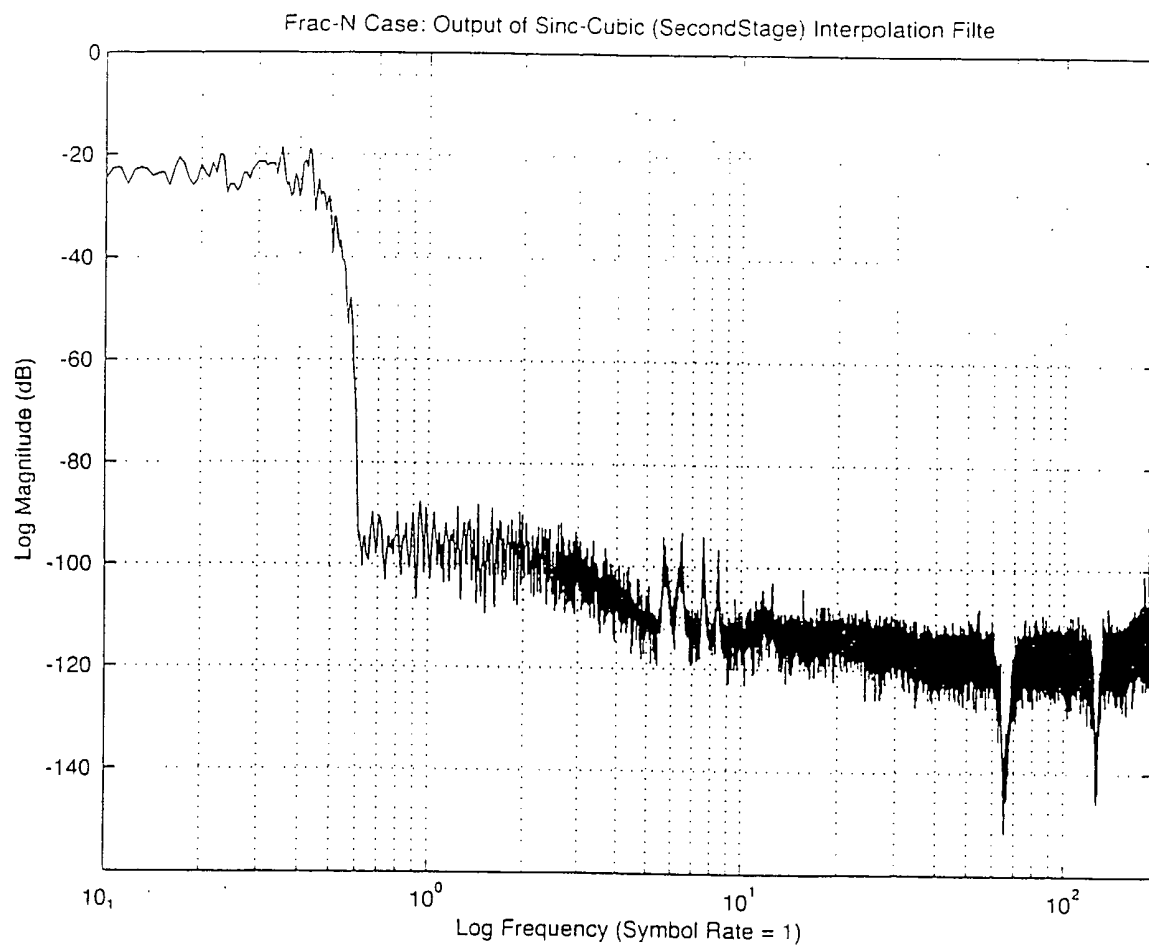
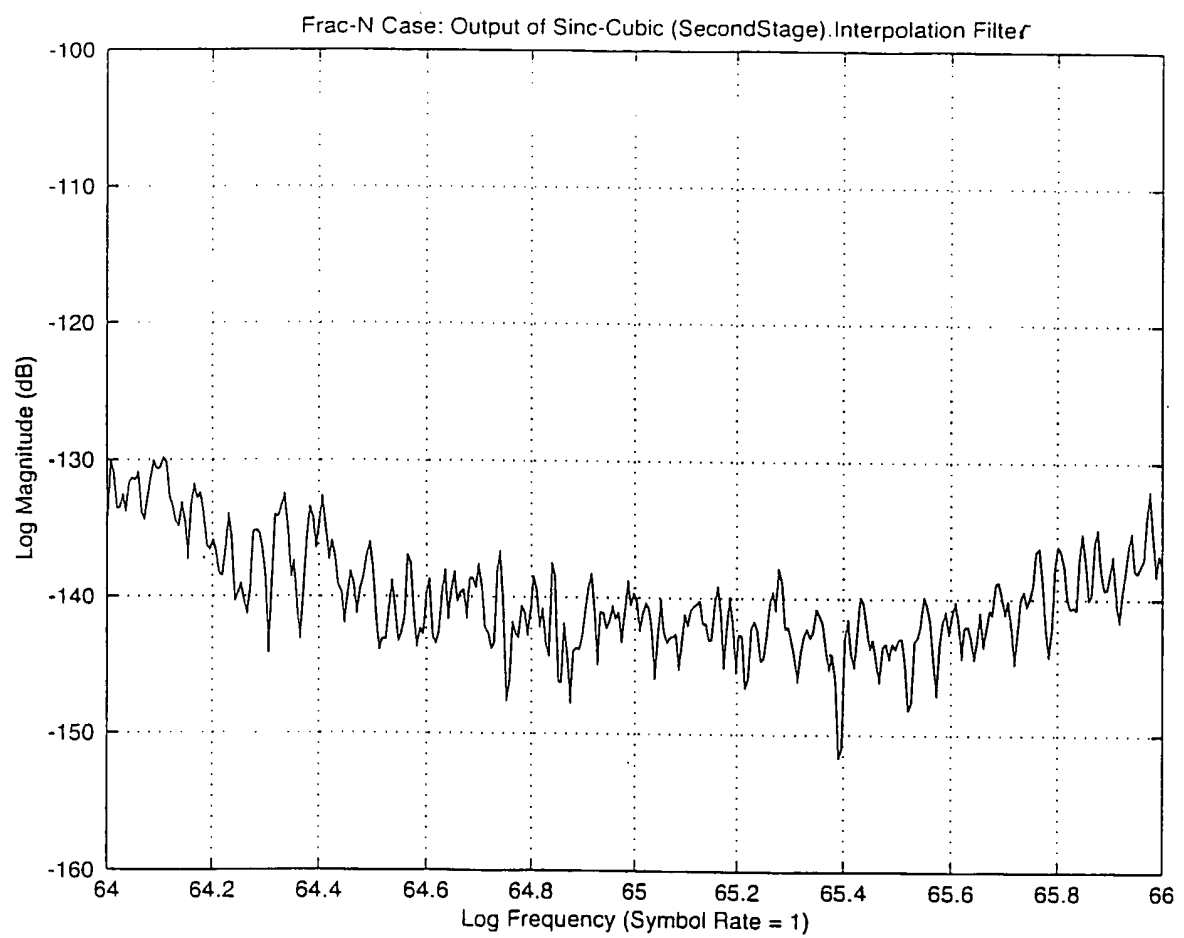


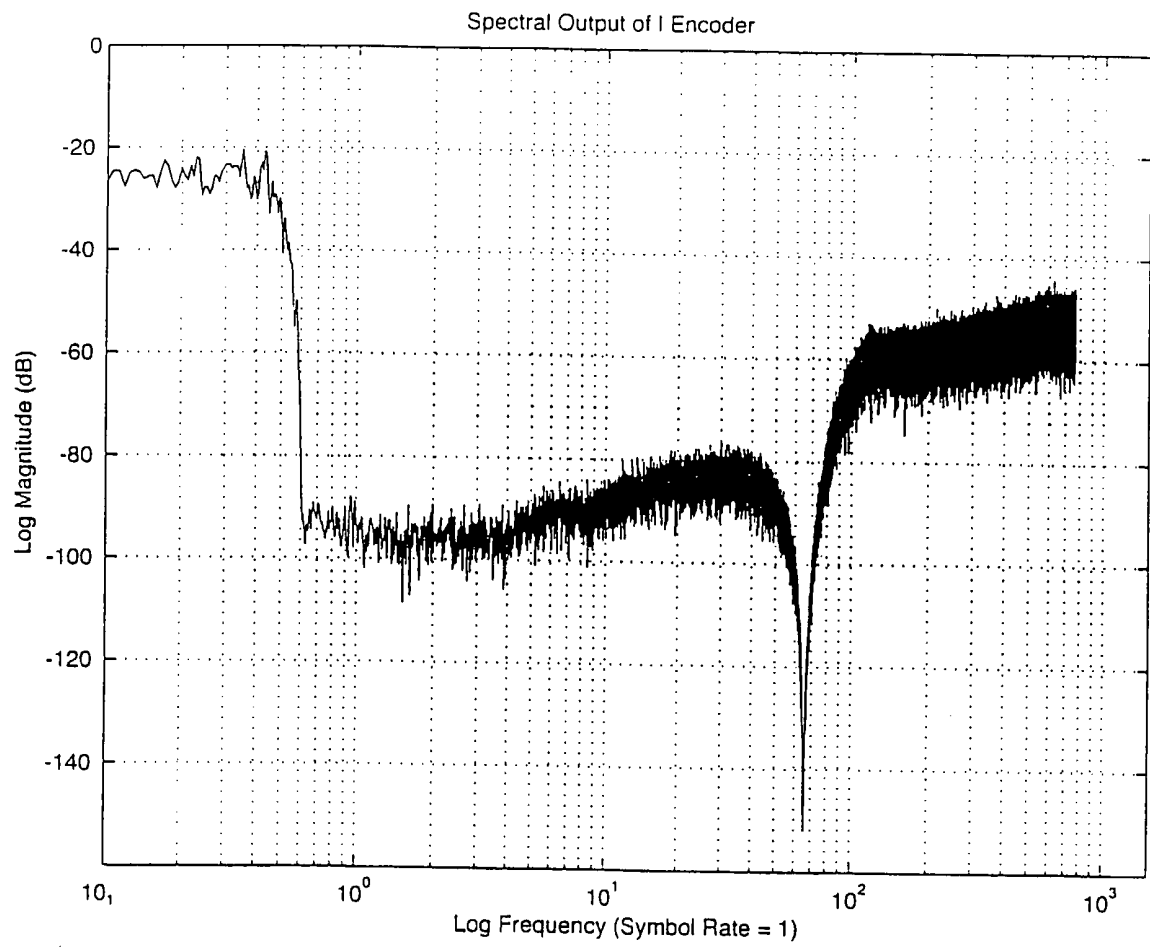
Fig. 3

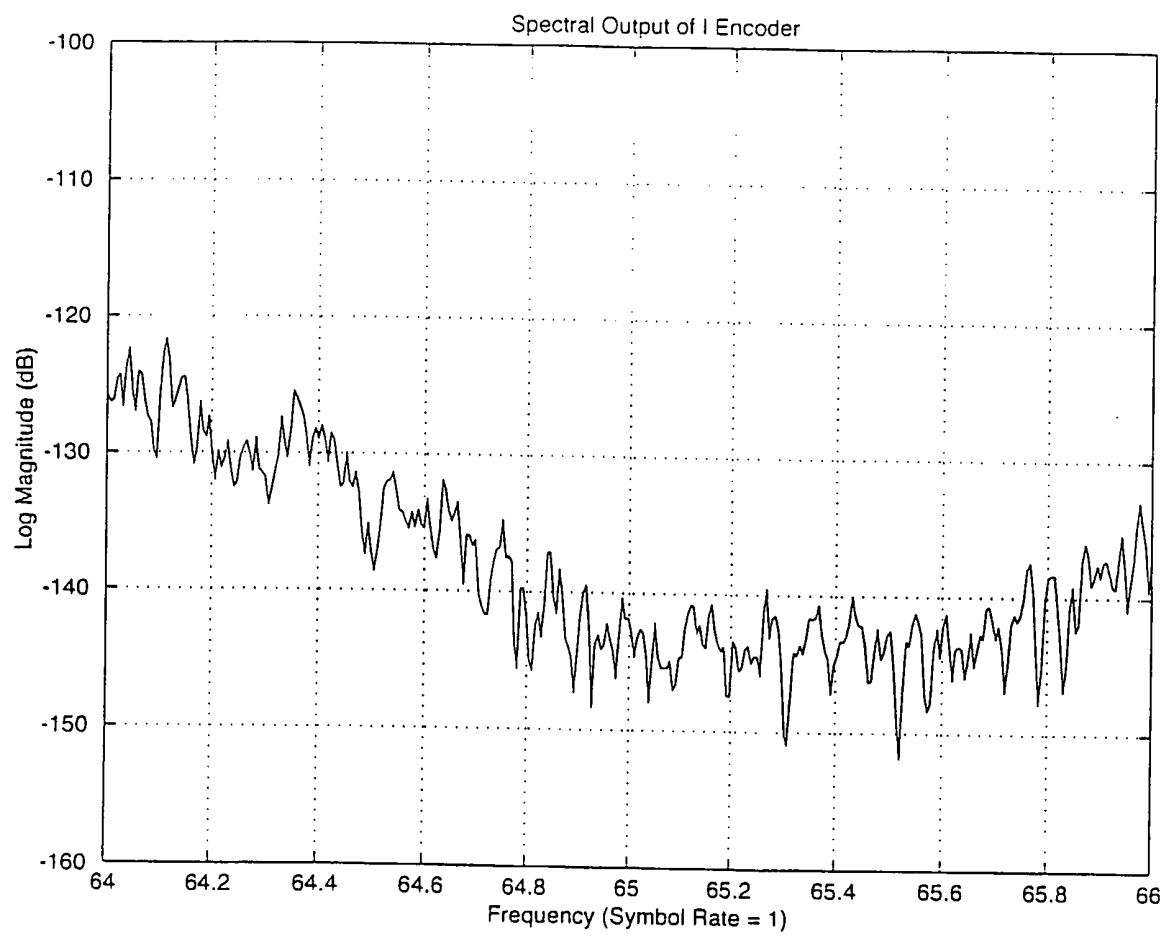
Fig. 4

FIG. 5

FIG. 6

FIG. 7

FIG. 8

FIG. 9

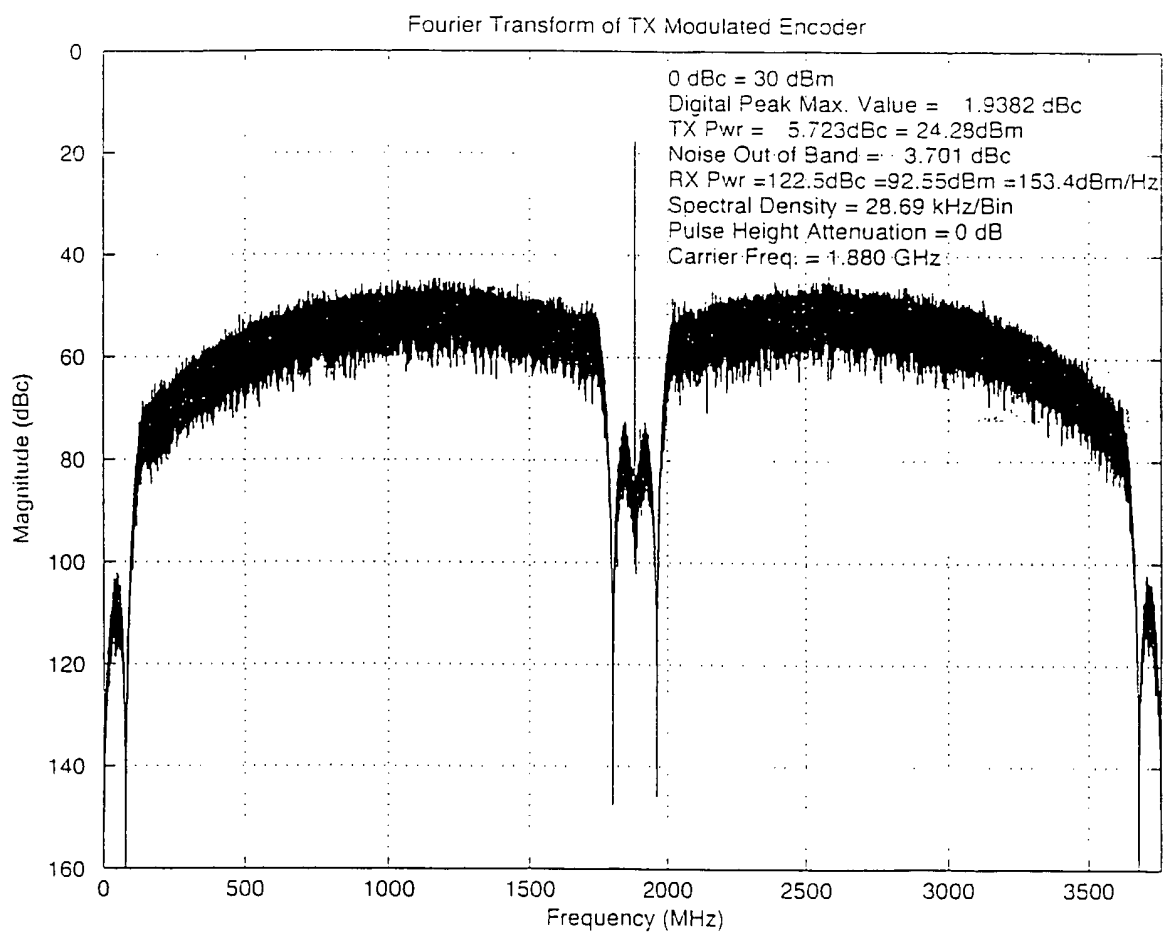
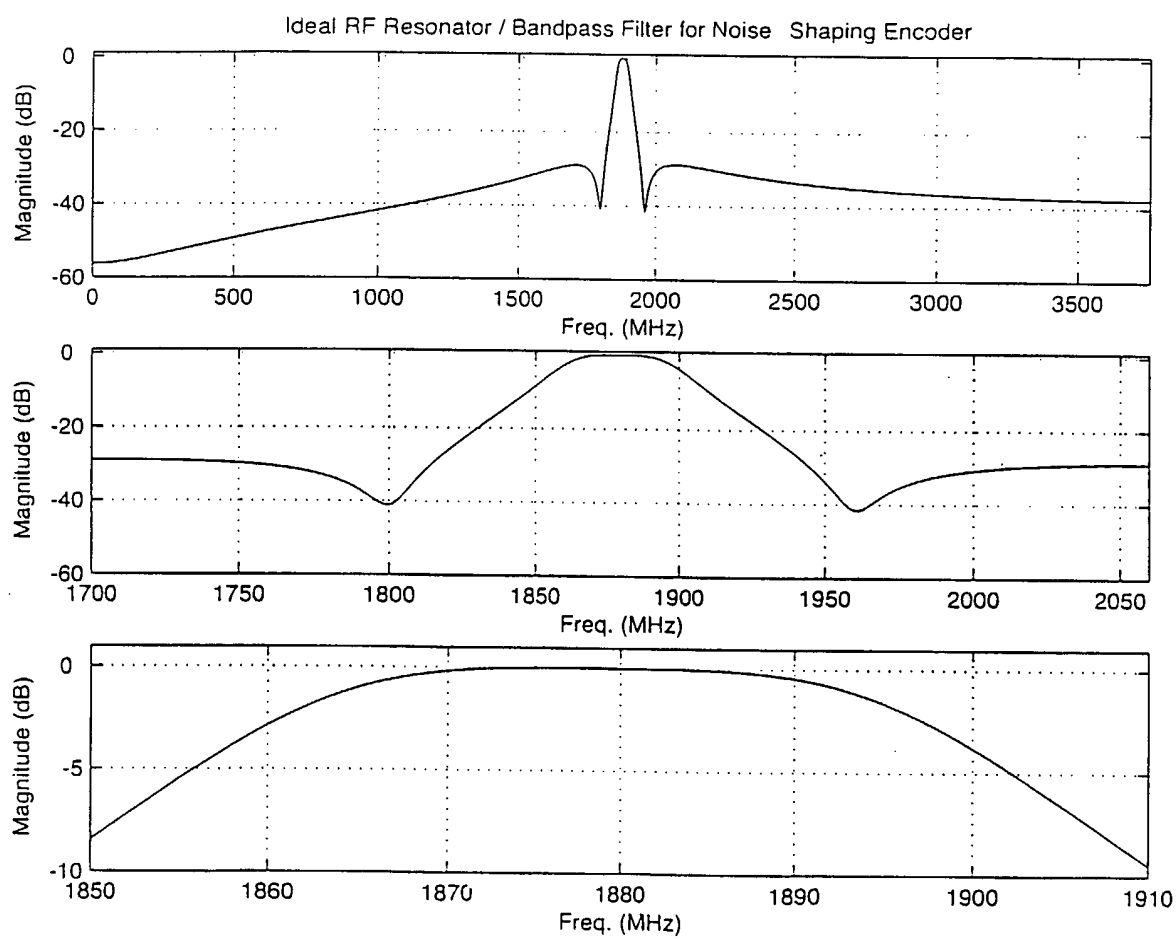
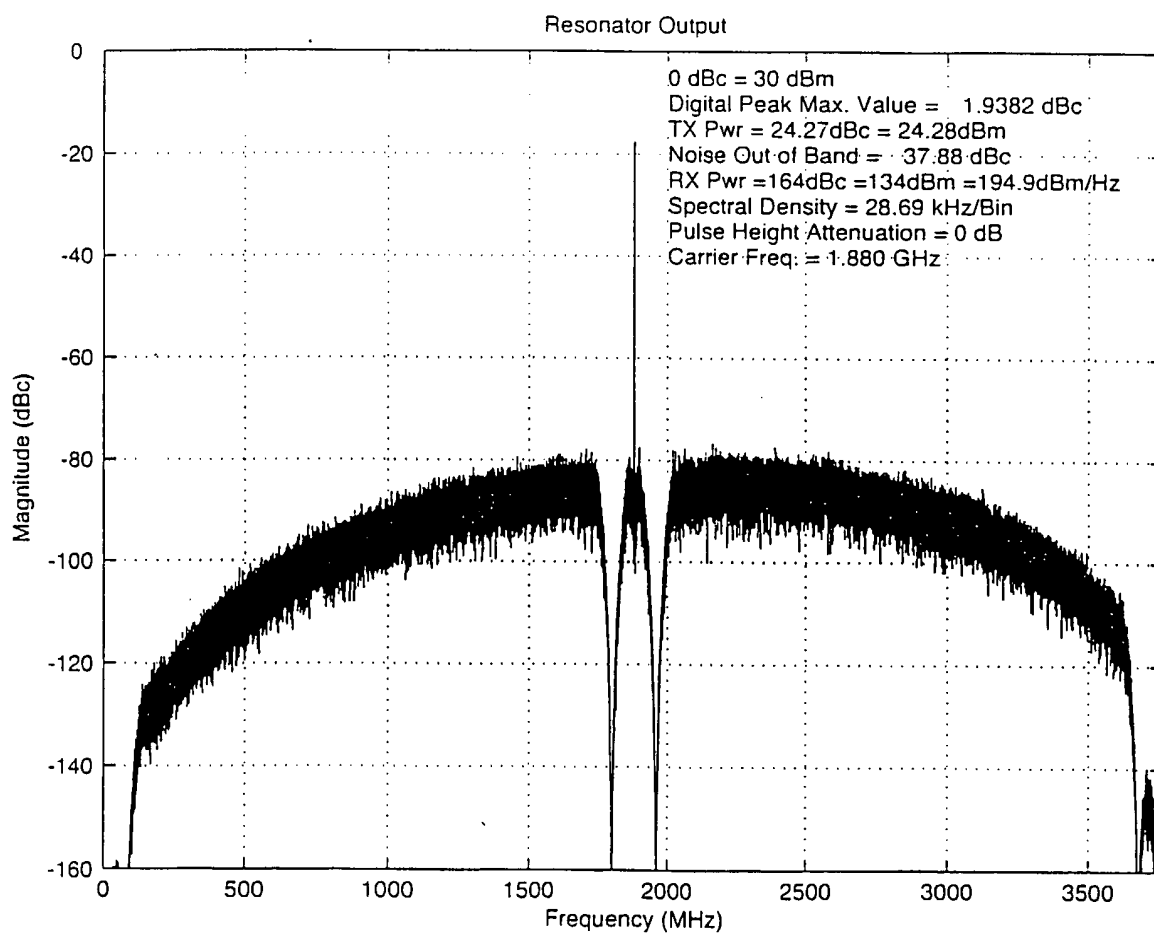
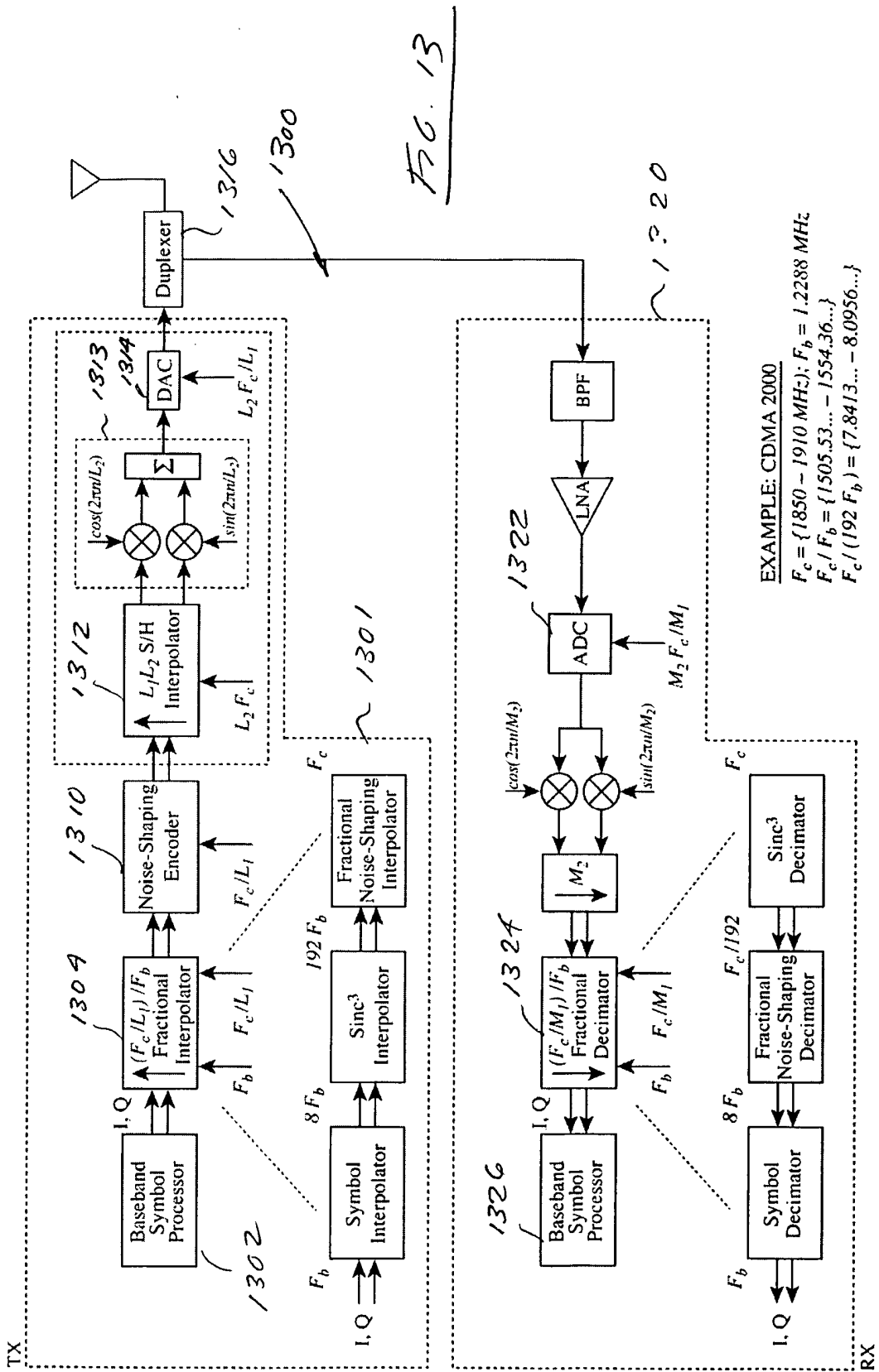


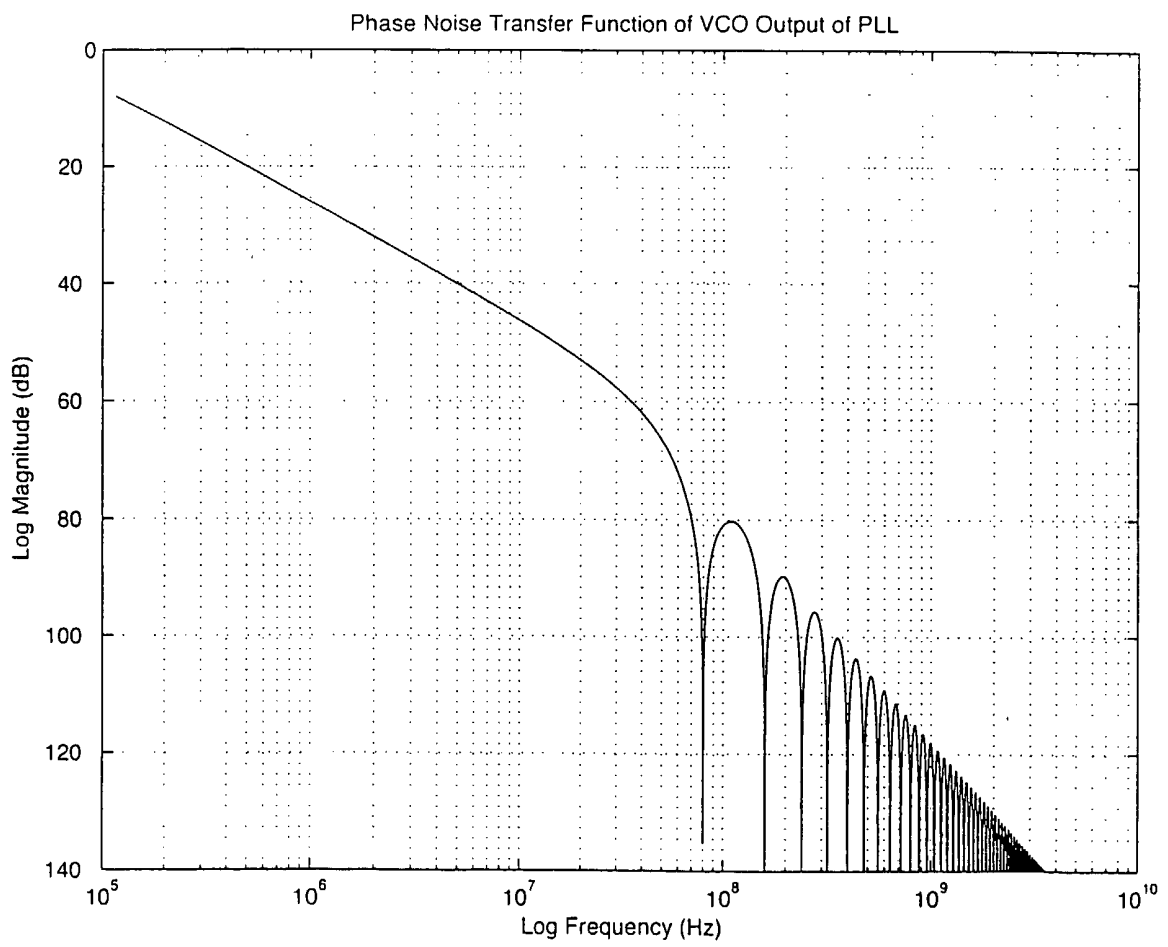
FIG. 10

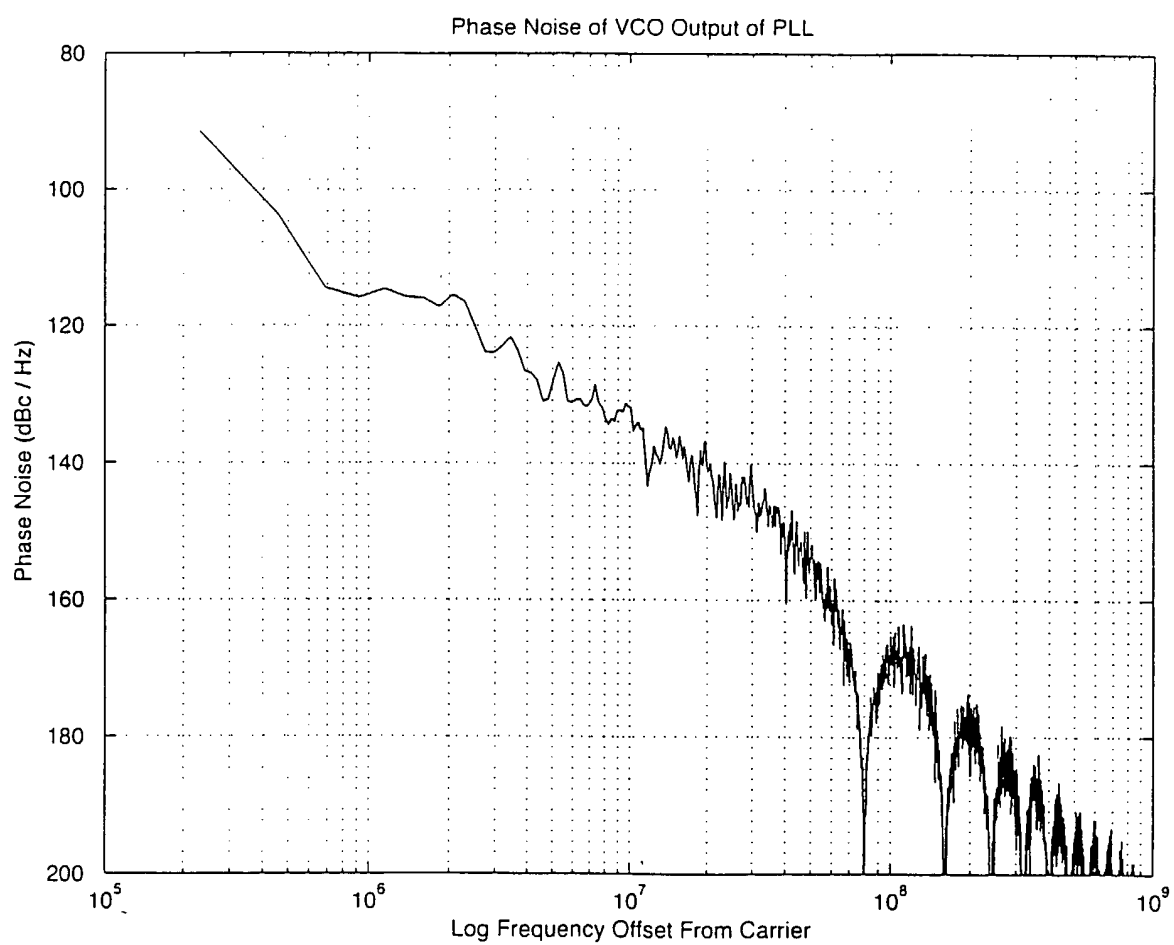
FIG. 11

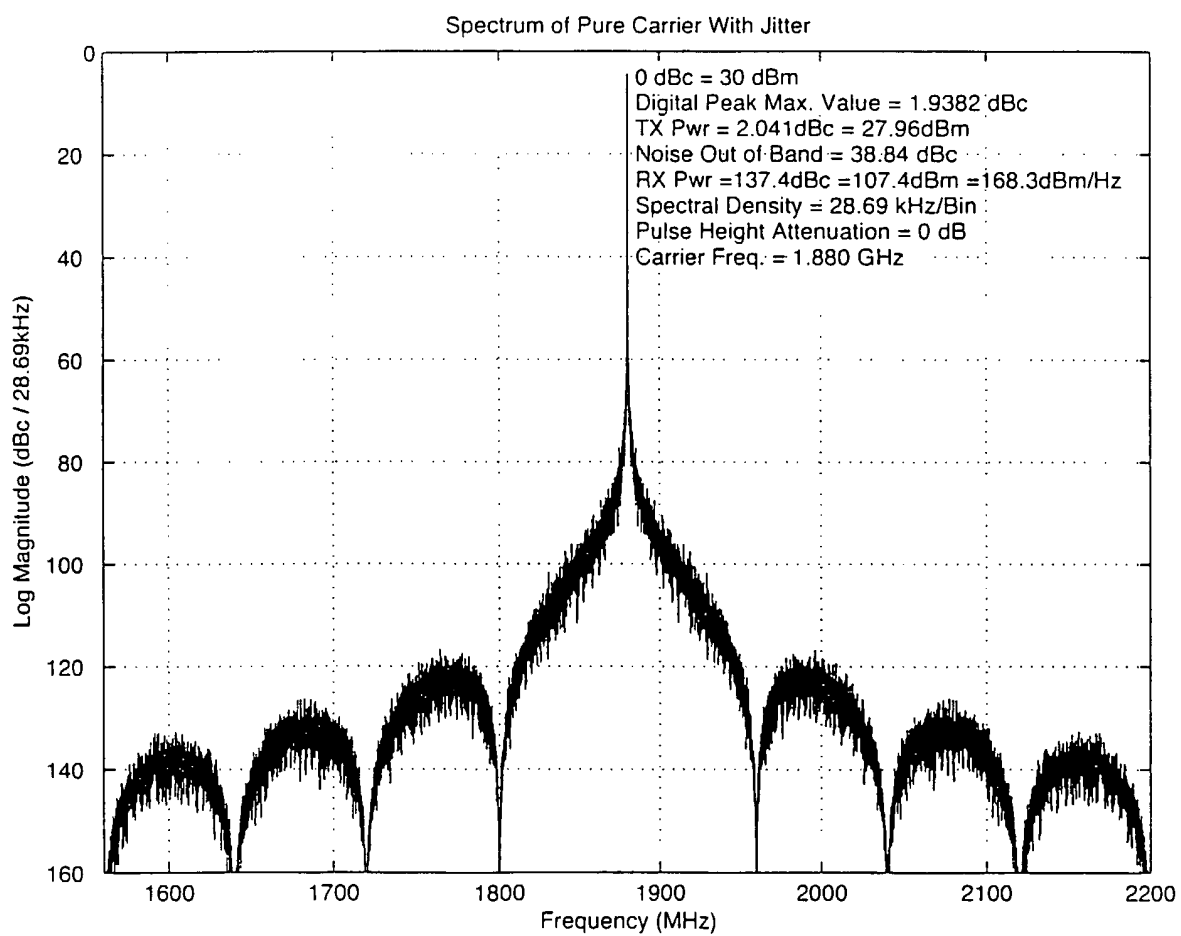
Analog bandpass filter / resonator / duplexer frequency response.

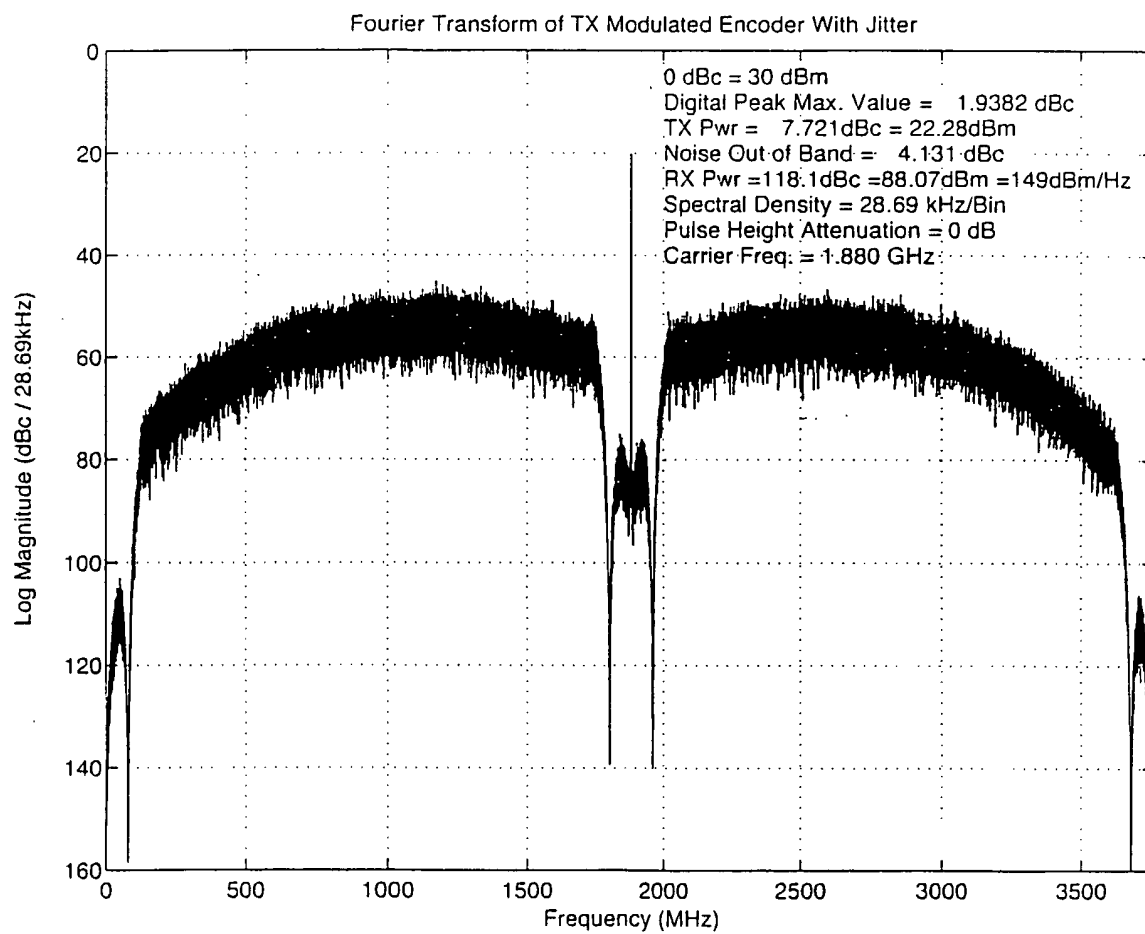
FIG. 12



FIG. 15

FIG. 16

FIG. 17

Fig. 18