(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2016/050422 A1

(43) International Publication Date 7 April 2016 (07.04.2016)

(51) International Patent Classification: C07D 487/04 (2006.01) A61P 35/00 (2006.01) A61K 31/519 (2006.01)

(21) International Application Number:

PCT/EP2015/069430

(22) International Filing Date:

25 August 2015 (25.08.2015)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

14187387.7 1 October 2014 (01.10.2014)

EP

- (71) Applicant: RATIOPHARM GMBH [DE/DE]; Graf-Arco-Str. 3, 89079 Ulm (DE).
- (72) Inventors: RABE, Sebastian; Koenig-Wilhelm-Str. 17, 89073 Ulm (DE). ERDMANN, Manfred; Josef-Freundorferstr. 2a, 89233 Neu-Ulm (DE). ALBRECHT, Wolfgang; Alfred-Mendler-Weg 25/1, 89075 Ulm (DE).
- (74) Agents: TEIPEL, Stephan et al.; Unsöldstr. 2, 80538 München (DE).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))



Acid addition salt of ibrutinib

The present invention relates to acid addition salts of ibrutinib, a method of preparing the same as well as a pharmaceutical composition comprising the same.

Ibrutinib (1-[(3R)-3[4-Amino-3-(4-phenoxyphenyl)-1H-pyrazolo[3,4-d]pyrimidin-1-yl] piperidin-1-yl]prop-2-en-1one) has the following chemical structure (I):

$$\begin{array}{c|c} & & & \\ & & \\ & & & \\ & & \\ & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & & \\ & & \\ & & & \\ & &$$

This pharmaceutically active ingredient is known from WO 2008/039218. Ibrutinib is an inhibitor of bruton's tyrosine kinase (BTK). BTK is a key mediator of at least three critical B-cell pro-survival mechanisms occurring in parallel regulating B-cell apoptosis, cell adhesion and lymphocyte migration and homing. By inhibiting BTK ibrutinib drives B-cells into apoptosis and/or disrupts cell immigration and adherence to tumor-protective microenvironments. Ibrunitib is therefore suitable for treating chronic lymphocytic leukemia (CLL) and small lymphocytic lymphoma (SLL) which are B-cell non-hodgkin lymphomas (NHL). It is marketed in the US under the name Imbruvica.

Crystalline polymorphic forms of ibrutinib are disclosed in WO 2013/184572.

Pharmaceutical formulations comprising ibrutinib are disclosed in WO 2014/004707A1.

Ibrutinib has a very low solubility in water e.g. form A of ibrutinib shows according to WO 2013/184572, an observed aqueous solubility of only about 0.013mg/ml at about pH 8. The solubility strongly depends on the pH. This results in problems in the bioavailability of the active ingredient, first because of the low solubility, and second its solubility depends

2

on the pH value in the stomach of the patient. Particular problems arise from patients wherein the pH value is altered, e.g. due to physiological variability, diseases or premedication such as PP-inhibitors. Therefore, there is a need for oral pharmaceutical compositions that contain ibrutinib in a form which is highly soluble and thus provides a reliable bioavailability of the active ingredient.

As further forms of ibrutinib, both WO 2013/184572 and WO 2014/004707 generally disclose pharmaceutically acceptable salts of ibrutinib, including acid addition salts. However, none of these documents discloses any properties of specific salts. Further, none of these documents discloses methods how to obtain such salts, nor discloses that such salts have been obtained.

When one tries to prepare acid addition salts of ibrutinib following conventional procedures of the preparation of acid addition salts of pharmaceutical ingredients, high amounts of impurities are formed. That is, preparation of acid addition salts by a conventional method is not suitable for the preparation of pharmaceutical products comprising this active ingredient.

It has now surprisingly been found that the above-mentioned and further problems are solved by a specific method of preparing acid addition salts of ibrutinib, which comprises cooling the solution of ibrutinib when adding the acid to obtain the acid addition salt. This method allows for the first time preparation of acid addition salts of ibrutinib with high purity suitable for pharmaceutical preparations. Further it seems that only strong acids with a low pK_a (e.g. below about 2) are able to deprotonate ibrutinib to convert it into an acid addition salt.

Therefore the present invention relates to a method for preparing an acid addition salt of ibrutinib comprising the steps of a) dissolving ibrutinib in a suitable solvent, preferable an organic solvent, and b) contacting the obtained solution with the acid, wherein the solution of ibrutinib is cooled during addition of the acid. Preferably the method further comprises a step c) of precipitating the acid addition salt in a suitable antisolvent of the salt.

In the method of the present invention in step a) any suitable solvent for ibrutinib can be used which are known to the skilled person. Preferably, an organic solvent, more preferably a polar organic solvent, such as dichloromethane, chloroform, tetrahydrofurane

3

(THF) or methanol can be used. Most preferably ibrutinib is dissolved in dichloromethane. The solution of ibrutinib is cooled before adding the acid in step b), and preferably during addition of the acid in step b). The temperature of the solution of ibrutinib in step b) is below room temperature (22°C), preferably below 10°C, more preferably below 0°C, even more preferably below -5°C, in particular below -10°C, e.g. below -15°C, or even below -20°C.

In the method of the present invention in step b) the molar ratio of acid to ibrutinib is typically equal to or above 1, typically equal to or below 5, preferably in the range of 1 to 2, more preferably 1 to 1.5, even more preferred 1 to 1.3, in particular 1 to 1.2, e.g. about 1. In the method of the invention preferably the acid is added in step b) until these molar ratios are reached, preferably at the temperatures as defined above.

In step b) the solution of ibrutinib can be contacted with the acid following conventional procedures, preferably under stirring. Preferably the method of the invention is conducted under water-free conditions. Preferably, the acid is used in step b) is water-free in an organic solvent which may be the same or a different solvent as used for dissolving ibrutinib. The acids are typically commercially available in suitable organic solvents, e.g. hydrogen chloride in diethylether or isopropanol, which is preferably used according to the present invention to produce the preferred acid addition salt ibrutinib hydrochloride.

In a preferred embodiment the method of the present invention further comprises a step c) of precipitating the acid addition salt in a suitable antisolvent of the acid addition salt of ibrutinib. Suitable antisolvents of the acid addition salt are known to the skilled person. Preferably as antisolvent a weak polar or nonpolar organic solvent, such as methyl tert.-butylether (MTBE), diethylether, n-hexane or n-heptane is used. Also preferred as antisolvents are $C_3 - C_6$ alcohols, such as isopropanol. Most preferably the acid addition salt of ibrutinib is precipitated by addition of MTBE or isopropanol. The addition of the antisolvent is preferably performed under vigorous stirring. Preferably step c) is conducted at a temperature of below room temperature (22°C), preferably below 10°C, more preferably below 0°C, even more preferably below -5°C, in particular below -10°C, e.g. below -15°C, or even below -20°C. Most preferably the same temperature is used in step c), i.e. precipitating the acid addition salt, which is also used in step b), i.e. contacting the solution of ibrutinib with the acid. Cooling in step c) has been found to be advantageous for

4

the preparation of the precipitate of the acid addition salt of ibrutinib, as the precipitate can be easily removed from the solution and shows advantageous flowability characteristics.

The method of the present invention surprisingly allows preparing acid addition salts of ibrutinib with very high purity, in particular suitable for pharmaceutical compositions, which also have an improved solubility compared to ibrutinib free base.

Therefore, the present invention also relates to acid addition salts of ibrutinib having a high purity, in particular suitable for pharmaceutical compositions, of at least 99.0%, preferably at least 99.5% more preferably at least 99.8%, in particular at least 99.9%. Purity can be determined by HPLC/UV (as defined below) and is expressed in [area-%] at the wavelength of the UV detection of λ =230 nm. Preferably the same purity, i.e. of at least 99.0%, preferably at least 99.5% more preferably at least 99.8%, in particular at least 99.9% is achieved in [area-%] at the wavelength of the UV detection of λ =254 nm.

The acid addition salts of ibrutinib can be prepared with known acids for the preparation of pharmaceutical acceptable salts. Preferably, inorganic acids are used such as hydrochloric acid or hydrobromic acid, most preferably hydrochloric acid is used. Preferably, the pK_a of the acid used is equal to or below 3, in particular below 1.

It has been found that when acid addition salts of ibrutinib are prepared according to known processes for the preparation of acid addition salts under conventional conditions, e.g. addition of acids to ibrutinib in a suitable solvent at room temperature, impurities are formed, which seem to be reaction products of an nucleophilic addition of the acid at the acrylic double bond of ibrutinib. It has further been found by the inventors that increasing the temperature during or after addition of the acid led to nearly quantitative preparation of the addition reaction product of the acid with the acrylic double bond of ibrutinib. On the other side, the inventors found that cooling the solution during the step of adding the acid to the solution of ibrutinib allows preparation of the acid addition salt with high purity, and in particular with very low content of the addition reaction product.

Therefore, the present invention also relates to acid addition salts of ibrutinib, in particular of the acids as defined in the above, wherein the content of the addition reaction product of the added acid with the acrylic double bond of ibrutinib is lower than 1% by weight, preferably lower than 0.5% by weight, more preferably by lower than 0.2% by weight, in

5

particular lower than 0.1% by weight. In general the addition reaction product of the added acid with the acrylic double bond of ibrutinib has the chemical formula (IIa):

wherein residue X corresponds to the deprotonated acid, such as Cl or Br, respectively, preferably residue X is Cl.

It has further surprisingly been found that it seems that only two selected salts, namely the hydrochloride and the hydrobromide salt of ibrutinib, can be obtained in crystalline and in excellent pure state. This allows the preparation of an active pharmaceutical ingredient in good crystalline quality with advantageous handling properties such as good flowability, in particular suitable for pharmaceutical compositions, which also has an improved solubility compared to ibrutinib free base.

In a preferred embodiment the present invention relates to ibrutinib hydrochloride. Ibrutinib hydrochloride is characterized by a 1 H-NMR spectrum showing the following signals: 1 H NMR (400 MHz, DMSO-d₆) δ ppm 1.60 (m, 1 H); 1.86 - 1.98 (m, 1 H); 2.15 (m, 1 H); 2.23 (m, 1 H); 2.98 - 3.10 (m, 0.5 H); 3.22 (m, 1 H); 3.61 - 3.76 (m, 0.5 H); 4.05 (m, 0.5 H); 4.16 (m, 1 H); 4.54 (m, 0.5 H); 4.75 (m, 1 H); 5.53 - 5.73 (m, 1 H); 5.99 - 6.20 (m, 1 H); 6.60 - 6.93 (m, 1 H); 7.07 - 7.24 (m, 5 H); 7.37 - 7.49 (m, 2 H); 7.65 (d, J=8.21 Hz, 2 H); 8.57 (s, 1 H). A 1 H-NMR spectrum of ibrutinib hydrochloride is shown in figure 1.

Ibrutinib hydrochloride is further characterized by an IR-spectrum showing the following peaks: 3350; 3001; 1676; 1637; 1614; 1599; 1518; 1489; 1452; 1439; 1346; 1232; 1200;

6

1165; 1134; 1092; 987; 964; 868; 847; 789; 754; 723; 692; 629 cm⁻¹. A IR-spectrum of ibrutinib hydrochloride is shown in Figure 2.

It has been found that by the process of the invention ibrutinib hydrochloride can be prepared in excellent purity. Therefore, the present invention also relates to crystalline acid addition salts of ibrutinib, in particular, crystalline ibrutinib hydrochloride and crystalline ibrutinib hydrobromide. While ibrutinib base (Form A) is substantially insoluble at pH 4.5 and pH 6.8, ibrutinib hydrochloride has a solubility in water at 37°C of 0.02 mg/ml and 0.05 mg/ml at pH 4.5 and pH 6.8 respectively (after 1 hour) and 0.46 mg/ml and 0.41 mg/ml at pH 4.5 and pH 6.8 respectively (after 24 hours).

Moreover as expected, ibrutinib base (form A) has increased solubility at pH 1.2 (in 0.1M HCl) of 2.07 mg/ml. However, crystalline ibrutinib hydrochloride has an unexpectedly high solubility at pH 1.2 (in 0.1M HCl) of 7.37 mg/ml.

This may result in a significantly increased bioavailability of the active ingredient.

In a preferred embodiment the present invention provides crystalline ibrutinib hydrochloride in a certain polymorphic form which shows an XRPD pattern having peaks at 9.8, 13.6, 15.1, 17.0 and 21.1 degrees 2-theta ± 0.2 degrees 2-theta or $9.8\pm 0.1^{\circ}2-\theta$, $15.3\pm 0.1^{\circ}2-\theta$, $21.1\pm 0.1^{\circ}2-\theta$, $22.6\pm 0.1^{\circ}2-\theta$ and $24.3\pm 0.1^{\circ}2-\theta$. Further characteristic peaks are at 8.1, 8.2, 14.2, 19.9 and 28.9 degrees 2-theta ± 0.2 degrees 2-theta or $13.5\pm 0.1^{\circ}2-\theta$, $16.5\pm 0.1^{\circ}2-\theta$, $17.0\pm 0.1^{\circ}2-\theta$, $25.5\pm 0.1^{\circ}2-\theta$ and $28.9\pm 0.1^{\circ}2-\theta$.

In a particular preferred embodiment the polymorphic crystalline form of ibrutinib hydrochloride of the present invention shows substantially the XRPD pattern of figure 3.

Crystalline ibrutinib hydrochloride according to the present invention is further characterized by a DSC thermogram showing a broad endotherm with an onset temperature of approx. 150°C (± 5°C) and a peak temperature of 205° (± 1°C) followed by a broad exotherm with an onset temperature at 215°C (± 5°C) and a peak temperature at 217°C (± 2°C). A characteristic DSC thermogram is shown in Figure 4.

In a preferred embodiment the present invention relates to ibrutinib hydrobromide. Ibrutinib hydrobromide is characterized by a ¹H-NMR spectrum showing the following signals: ¹H

7

NMR (400 MHz, DMSO-d₆) δ ppm 1.60 (m, 1 H); 1.86 - 1.98 (m, 1 H); 2.15 (m, 1 H); 2.23 (m, 1 H); 2.98 - 3.10 (m, 0.5 H); 3.22 (m, 1 H); 3.61 - 3.76 (m, 0.5 H); 4.05 (m, 0.5 H); 4.16 (m, 1 H); 4.54 (m, 0.5 H); 4.75 (m, 1 H); 5.53 - 5.73 (m, 1 H); 5.99 - 6.20 (m, 1 H); 6.60 - 6.93 (m, 1 H); 7.07 - 7.24 (m, 5 H); 7.37 - 7.49 (m, 2 H); 7.65 (d, J=8.21 Hz, 2 H); 8.57 (s, 1 H). 1 H-NMR spectrum of ibrutinib hydrobromide is shown in figure 8.

In a preferred embodiment the present invention relates to ibrutinic hydrobromide, which is characterized by XRPD diffraction having peaks at 5.5, 18.1, 22.3, 24.5, and 26.9 degrees 2-theta ±0.2 degrees 2-theta. Ibrutinib hydrobromide is further characterized by XRPD diffraction peaks at 12.3, 15.6, 18.3, 20.2, 21.6 and 24.4 degrees 2-theta ±0.2 degrees 2-theta.

An XRPD diffraction pattern of ibrutinib hydrobromide is shwon in Figure 9.

As previously discussed inventors found that when acid addition salts of ibrutinib are prepared by processes under conventional conditions, impurities are formed. Not wishing to be bound by theory it seems that these impurities are generated by nucleophilic addition of the acid to the acrylic double-bond of ibrutinib. In order to confirm this theory, the chemical structure of an impurity was investigated, which is obtained when using hydrogen chloride as acid in order to prepare ibrutinib hydrochloride. The impurity was purified and investigated by mass spectrometry and ¹H-NMR spectroscopy. The proposed chemical structure of the impurity as nucleophilic addition product of HCl to ibrutinib, is shown as the following formula (II):

8

The 1 H-NMR spectrum of the compound formula (II), as shown in figure 5, comprises the following peaks 1 H NMR (400 MHz, DMSO-d₆) 5 ppm: 1.44 - 1.73 (m, 1 H); 1.90 (m, 1 H); 2.07 - 2.31 (m, 2 H); 2.65 - 2.78 (m, 0.5 H); 2.80 - 3.00 (m, 2 H); 3.15 (m, 1 H); 3.63 (m, 0.5 H); 3.69 - 3.80 (m, 2 H); 3.87 (m, 0.5 H); 4.05 (m, 0.5 H); 4.15 (m, 0.5 H); 4.52 (m, 0.5 H); 4.68 (m, 0.5 H); 4.77 - 4.91 (m, 0.5 H); 7.01 - 7.23 (m, 5 H); 7.42 (m, 2 H); 7.57 - 7.70 (m, 2 H); 8.54 - 8.64 (m, 1 H).

A compound of formula (II) can be obtained as impurity when preparing ibrutinib hydrochlorid. Therefore a compound of formula (IIa), in particular a compound of formula (II), is suitable for the determination of the purity of ibrutinib and pharmaceutical formulations comprising ibrutinib, in particular when ibrutinib is in the form of an acid addition salt, such as those described above. Further these compounds of formula (IIa) and (II) are suitable in a method for the preparation of ibrutinib with high purity, in particular in a purity as defined above. Preferably the ibrutinib is in the form of an acid addition salt, in particular the acid addition salts as defined above.

Therefore the present invention relates to the use of a compound of formula (IIa), wherein X is CI or Br, for determination of the purity of ibrutinib in a pharmaceutical formulation comprising ibrutinib, wherein preferably the ibrutinib is in the form of an acid addition salt. Further the present invention relates to the use of a compound of formula (IIa), wherein X is CI or Br, in a method for the preparation of ibrutinib or a pharmaceutical formulation comprising ibrutinib with high purity, wherein preferably the ibrutinib is in the form of an acid additional salt.

The present invention furthermore relates for pharmaceutical preparation comprising an acid addition salt of ibrutinib according to the present invention, preferably the acid addition salt of ibrutinib with hydrochloric acid, in particular crystallized ibrutinib, e.g. the polymorphic form as defined above. The parmaceutical preparation of the present invention preferably is an oral solid preparation, such as a capsule or tablet.

The pharmaceutical preparation can additionally contain one or more pharmaceutically acceptable excipients, such as fillers, binders, glidants, disintegrants, flow regulating

9

agents and release agents. Suitable excipients are for example disclosed in "Lexikon der Hilfsstoffe für Pharmazie, Kosmetik und angrenzende Gebiete", published by H.P. Fielder, 4th Edition and "Handbook of Pharmaceutical Excipients", 3rd Edition, published by A.H. Kibbe, American Pharmaceutical Association, Washington, USA, and Pharmaceutical Press, London.

Suitable fillers are for example lactose and calcium hydrogen phosphate. Fillers can be present in an amount of 0 - 80% by weight, preferably in an amount of 10 - 60% by weight of the total weight of the composition.

Suitable binders are for example polyvinylpyrrolidone, hydroxypropyl cellulose, hydroxypropyl methylcellulose, methyl cellulose, hydroxyethyl cellulose, sugars, dextran, or corn starch. Binders can be present in an amount of 0-80% by weight, preferably in an amount of 10-60% by weight of the total weight of the composition.

Suitable glidants are for example alkaline earth metal salts of fatty acids, like stearic acid. The glidant can be present for example in an amount of 0 - 2% by weight, preferably in an amount of 0.5 - 1.5% by weight of the total weight of the composition.

Suitable disintegrants are for example crosscarmelose sodium, sodium carboxymethyl starch, crosslinked polyvinylpyrrolidone (crosspovidone), sodium carboxymethylglycolate (such as Explotab) and sodium bicarbonate. The disintegrant can be present in an amount of 0-20% by weight, preferably in an amount of 1-15% by weight of the total weight of the composition.

A suitable flow regulating agent is for example colloidal silica. The flow regulating agent can be present in an amount of 0 - 8% by weight, preferably in an amount of 0.1 - 3% by weight of the total weight of this composition.

A suitable release agent is for example talcum. The release agent can be present in an amount of 0-5% by weight, preferably in an amount of 0.5-3% by weight of the total weight of the composition.

The pharmaceutical preparation of the present invention can be prepared by methods well known to a person skilled in the art.

Attached Figure 1a shows the ¹H-NMR spectrum of ibrutinib hydrochloride (upper graph) compared to the ¹H-NMR spectrum of ibrutinib free base (lower graph) (¹H-NMR in DMSO-d₆, 400 MHz).

Figure 1b shows a zoomed cutout of the ¹H-NMR spectrum of ibrutinib hydrochloride (upper graph) compared to the presumed ¹H-NMR spectrum of ibrutinib phosphate (lower graph) (¹H-NMR in DMSO-d₆, 400 MHz).

Figure 2 shows an IR-spectrum of ibrutinib hydrochloride.

Figure 3 shows an XRPD pattern of crystalline ibrutinib hydrochloride.

Figure 4 shows a DSD thermogram of ibrutinib hydrochloride.

Figure 5 shows an ¹H-NMR spectrum of compound (II).

Figure 6 shows a mass spectrum of compound (II).

Figure 7 shows the TGA spectrum of crystalline ibrutinib hydrochloride.

Figure 8 shows the ¹H-NMR spectrum of ibrutinib hydrobromide (¹H-NMR in DMSO-d₆, 400 MHz).

Figure 9 shows an XRPD pattern of crystalline ibrutinib hydrobromide.

Figure 10 shows an XRPD pattern of amorphous ibrutinib methylsulfonate.

Figure 11 shows an XRPD pattern of amorphous ibrutinib ethane disulfonate.

Figure 12 shows an XRPD pattern of amorphous ibrutinib sulfonate.

The invention will now be illustrated by the examples, which are not to be construed as limiting.

11

Analytical Methods

¹H-NMR Spectroscopy

Instrument: Varian Mercury 400 Plus NMR Spectrometer, Oxford AS, 400 MHz.

HPLC/UV

Instrument:

Agilent 1200

Injection volume:

5 µl

Solvent A:

acetonitrile

Solvent B:

0.001M KH₂PO₄

Flow:

1.5 ml/min

Temperature:

RT

Column:

Discovery C18, 150 * 4.6 mm, 5 µm

time [min]	solvent B [%]	
0.00	75	
8.00	40	
13.00	40	
14.00	75	
17.00	75	

LCMS

Instrument:

Agilent 1260 Infinity

Injection volume:

 $2 \mu l$

Solvent A:

acetonitrile

Solvent B:

water + 0.1% formic acid + 0.05% heptafluorobutyric acid

Flow:

1 ml/min

Temperature:

40°C

Column:

Phenomenex Kinetex 2.6 μm C18 100A 150 * 4.6mm 2.6 μm

Mass instrument:

Agilent 6110 Quadrupol LC/MS

time [min]	solvent B [%]	
0.00	60	
3.00	20	
4.00	20	
4.30	60	
6.10	60	

Differential Scanning Calorimetry (DSC)

Instrument:

Mettler Toledo DSC 822E coupled with a Mettler Toledo Gas-Flow-

Controller TS0800GC1 (Mettler-Toledo GmbH, Gießen, Germany)

Aluminium crucible:

40 µL

Lid:

Perforated

Temperature range:

30°C to 350°C

Heating rate:

10°C/ min

Nitrogen flush: Software:

50 mL / min

Interpretation:

STARe Version. 8.10 Endothermic modus

X-Ray Powder Diffraction (XRPD)

The sample was analyzed on a D8 Advance X-ray powder diffractometer (Bruker-AXS, Karlsruhe, Germany). The sample holder was rotated in a plane parallel to its surface at 20 rpm during the measurement. Further conditions for the measurements are summarized in the table below. The raw data were analyzed with the program EVA (Bruker-AXS, Germany). The samples were layered onto a silicon specimen holder.

	standard measurement
radiation	Cu K_{α} ($\lambda = 1.5406 \text{ Å}$)
source	38 kV / 40 mA
detector	Vantec
detector slit	Variable
divergence slit	v6
antiscattering slit	v6
2θ range / °	$2 \le 2\theta \le 55$
step size / °	0.017

Example 1: Preparation of ibrutinib hydrochloride (IBT HCL) by conventional processes (Experiments 1 and 2) and by processes according to the present invention (Experiments 3 through 6)

Experiment 1:

2.0~g~(4.54~mmol) ibrutinib (IBT) base were dissolved in 10 ml dichloromethane (DCM) followed by addition of 20 ml methyl tert.-butylmethylether (MTBE). While stirring at RT (22° C), 3.41~mL (6.8~mmol) 2~N~HCl in diethylether (Et_2 O) were added and stirring was continued for 30 min. After addition of another 20 ml MTBE, the formation of a fine white precipitate that shortly later converted into a sticky viscous semisolid. Solidification was induced by sonication and the resulting lumps were scraped off the glass wall using a spatula. The coarse suspension was stirred overnight at room temperature. The solids were filtered off, washed with 20 ml MTBE and dried $24~h~at~40^{\circ}$ C / 10~mbar.

13

Yield: 2.07 g (95.6%)

Chemical purity: 94 % (peak area at λ =230 nm and 254 nm).

Prominent impurity (IBT impurity compound of formula (II)): 5.1 / 4.7 % (peak area at

 λ =230 nm and 254 nm);

Experiment 2:

15.0 g (34.1 mmol) IBT base were dissolved in 75 ml DCM. While stirring at RT (22°C), 25.5 mL (51.1 mmol) 2 N HCl in Et₂O were added. After completion, the mixture was cooled to 0°C, followed by addition of 200 ml MTBE. Using a rotary evaporator, the volume was reduced to approx. 200 ml, another 150 ml MTBE was added and the mixture was stirred for 3 h at 50°C. The intermittently viscous semisolid converted into a solid, which was firmly attached to the inner glass wall of the flask. The solid was scraped off and the suspension was stirred for another 3 h. The solid was filtered off, washed with 100 ml MTBE and dried 24 h at 40°C / 10 mbar.

Yield: 16.1 g (99.1 %)

Chemical purity: 96 % (peak area at λ =230 nm and 254 nm).

IBT impurity (compound of formula (II)): 4.0/3.7 % (peak area at λ =230 nm and 254 nm);

Experiment 3:

5 g (11.4 mmol) IBT base was dissolved in 20 mL DCM. The solution was cooled to -10°C and 3.4 mL (13.6 mmol) 4 N HCl in dioxane was added. The clear solution was stirred for 10 min followed by dropwise addition of 200 ml MTBE over a period of 100 min, while keeping the temperature below 0°C. After completion, the fine white suspension was allowed to warm to RT and stirring was continued for 18h. The solid was filtered off, washed with 50 ml MTBE and dried 24 h at 40°C / 10 mbar.

Yield: 4.95 g (91.4 %)

Chemical purity: 99.4/>99.9 % (peak area at λ =230 nm and 254 nm).

IBT impurity (compound of formula (II)):: 0.4/ <0.1 % (peak area at λ =230 nm and 254 nm);

Experiment 4:

25 g (56.8 mmol) IBT base were dissolved in 100 ml DCM. The solution was cooled to -10°C and 17 ml (68.1 mmol) 4 N HCl in dioxane were added. The clear solution was stirred for 10 min followed by dropwise addition of 1 L MTBE over a period of 100 min, while keeping the temperature below +2°C. After completion, the fine white suspension

14

was allowed to warm to RT and stirring was continued for 18h. The solid was filtered off, washed with 200 ml MTBE and dried 24 h at 40°C / 10 mbar.

Yield: 26.2 g (96.8 %)

Chemical purity: 99.8 / 99.9 % (peak area at λ =230 nm and 254 nm).

IBT impurity (compound of formula (II)): 0.10/ <0.1 % (peak area at λ =230 nm and 254 nm);

Experiment 5:

30 g (68.1 mmol) IBT base were dissolved in 120 mL DCM. The solution was cooled to -20°C and 57 mL (71.5 mmol) 1.25 N HCl in isopropanol were added. The clear solution was stirred for 10 min followed by dropwise addition of 1500 ml MTBE over a period of 3 h, while keeping the temperature below -10°C. After completion, the mixture was allowed to warm to RT and stirring was continued for 40h. The resulting fine white solid was filtered off, washed with 300 ml MTBE and dried 24 h at 50°C / 10 mbar.

Yield: 30.1 g (92.4 %)

Chemical purity: 99.7/99.8 % (peak area at λ =230 nm and 254 nm).

IBT impurity (compound of formula (II)):: <0.1 % (peak area at λ =230 nm and 254 nm);

Experiment 6:

3 g (6.81 mmol) IBT base were dissolved in 4 mL DCM. The solution was cooled to -20°C and 5.7 mL (7.15 mmol) 1.25 N HCl in isopropanol (iPrOH) were added. The clear solution was stirred for 10 min followed by dropwise addition of 30 ml iPrOH, while keeping the temperature below -10°C. After completion, the mixture was allowed to warm to RT and stirring was continued for 18h. The resulting fine white solid was filtered off, washed with 10 ml iPrOH and dried 24 h at 50°C / 10 mbar.

Yield: 2.90 g (89.3 %)

Chemical purity: 99.9/99.9 % (peak area at λ =230 nm and 254 nm).

IBT impurity (compound of formula (II)):: <0.1 % (peak area at λ =230 nm and 254 nm);

The results of Experiments 1 through 6 are summarized below

	Exp 1	Exp 2	Exp 3	Exp 4	Exp 5	Exp 6
Ibrutinib	2.0	15.0	5.0	25.0	30.0	3.0
base in g						
HCI,	2N in	2N in	4N in	4N in	1.25N in	1.25N in
dissolved in	diethylether	diethylether	dioxane	dioxane	isopropanol	isopropanol
HCI excess	1.5	1.5	1.2	1.2	1.05	1.05
in eq.						
reaction	22	22	-10	-10	-20	-20
temperature						
in °C						
solvent	dichloro-	dichloro-	dichloro-	dichloro-	dichloro-	dichloro-
	methane	methane	methane	methane	methane	methane
antisolvent	MTBE	MTBE	MTBE	MTBE	MTBE	isopropanol
yield in g	2.07	16.1	4.95	26.2	30.1	2.90
yield in %	95.6	99.1	91.4	96.8	92.4	89.3
purity in %	94.0	96.0	99.4	99.8	99.7	99.9

The quantitative conversion of ibrutinib into ibrutinib hydrochloride is verified by means of ¹H-NMR overlay indicating a complete shift of the pyrimidinium proton from 8.24 ppm to 8.57ppm (cf. Figure 1a) and thermogravimetric analysis (cf. Figure 7).

Comparison of solubilities of ibrutinib hydrochloride in pH values which are relevant for the gastrointestinal tract

solubility	pH 1.2	(0.11)	1 HCI)	pH 4.5 (20mMol NaAc)			pH 6.8 (50 mMol KH ₂ PO ₄)		
in mg/ml	15min	1h	24h	15min	1h	24h	15min	1h	24h
base	2.07	2.64	2.64	0.01	0.01	0.01	0.01	0.01	0.01
HCI	7.37	7.72	7.38	0.01	0.02	0.46	0.01	0.05	0.41

Example 2: Preparation of compound formula (II)

16

$$\begin{array}{c} & & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

At RT, 50 mg (0.11 mmol) IBT base was dissolved in 1.0 ml DCM. After addition of 0.57 ml (1.1 mmol) 2N HCl in Et₂O, the mixture was briefly sonicated and warmed to 40°C. The formation of IBT impurity (compound of formula (II)) was monitored by HPLC/UV. After 26 h, IBT impurity 1 was isolated in 97% chemical purity ([area-%] at λ =230/254 nm).

Example 3: Comparison of ibrutinib acid addition salt preparations

Experiments were carried out with hydrochloric acid, hydrobromic acid, sulfuric acid, methanesulfonic acid, ethanedisulfonic acid and phosphoric acid according to the following general methods:

Method I:

1 eq Ibrutinib (IBT) base was dissolved in 28 eq. dichloromethane (DCM). The clear solution was cooled to -20°C before 1.05 eq of the corresponding acid (1.25 M in iPrOH) was added. The solution was stirred for 5 min followed by addition of 190 eq. tert.-butylmethylether (MTBE). After completion the resulting slurry was allowed to reach room temperature and stirring was continued for 24 h. The obtained precipitate was filtered off, washed with MTBE and dried under reduced pressure to yield the corresponding acid addition salt.

Method II:

1 eq Ibrutinib (IBT) base was dissolved in 14 eq. dichloromethane (DCM). The clear solution was cooled to 6-10°C before 1.05 eq of the corresponding acid (1.25 M in iPrOH) was added. The solution was stirred for 5 min followed by addition of 150 eq. isopropanol (iPrOH). After completion the resulting mixture was allowed to reach room temperature and stirring was continued for 24 h. The obtained precipitate was filtered off, washed with isopropanol and dried under reduced pressure to yield the corresponding acid addition salt.

It was demonstrated that both procedures yield identical results regarding the chemical purity (≥99.8%) and the morphological state. An overview of the applied acids and the resulting solid state is given in the table below.

applied acid	pKa(1)	pKa(2)	acid addition salt	morphology
hydrobromic acid	-9.00		ibrutinib bromide	crystalline
hydrochloric acid	-6.00		ibrutinib chloride	crystalline
sulfuric acid	-3.00	1.99	ibrutinib hydrogensulfate	amorphous
ethanedisulfonic acid	-2.06	-1.50	ibrutinib hemi edisylate	amorphous
methanesulfonic acid	-1.90		ibrutinib mesylate	amorphous
phosphoric acid	2.15		ibrutinib base	

Figures 10 to 12 show that reacting ibrutinib with any of methanesulfonic acid, ethanedisulfonic acid or sulfuric acid leads to acid addition salts with amorphous morphology.

Further, not wishing to be bound to theory it seems that acid addition salts can be obtained when an acid with a pKa lower than approximately 2 is applied. Figure 1b illustrates that application of phosphoric acid does not convert ibrutinib into a salt, due to the missing shift of pyrimidinium proton at 8.24 ppm to 8.57 ppm in the ¹H-NMR spectrum as it is the case for example with hydrochloric acid (compare also with Figure 1a).

X-Ray Powder Diffraction results (XRPD)

The acid addition product ibrutinib HCl and ibrutinib HBr, as obtained by the above described procedures were characterized by means of x-ray powder diffraction. It is shown in Figures 3 and 9, respectively.

The x-ray powder diffractogram of ibrutinib HCl is characterized by the following peaks: 9.8, 13.6, 15.1, 17.0 and 21.1 degrees 2-theta ± 0.2 degrees 2-theta or $9.8\pm 0.1^{\circ}2-\theta$, $15.3\pm 0.1^{\circ}2-\theta$, $21.1\pm 0.1^{\circ}2-\theta$, $22.6\pm 0.1^{\circ}2-\theta$ and $24.3\pm 0.1^{\circ}2-\theta$. Further characteristic peaks are at 8.1, 8.2, 14.2, 19.9 and 28.9 degrees 2-theta ± 0.2 degrees 2-theta or $13.6\pm 0.1^{\circ}2-\theta$, $16.5\pm 0.1^{\circ}2-\theta$, $17.0\pm 0.1^{\circ}2-\theta$, $16.5\pm 0.1^$

The complete list of XRPD diffraction peaks of ibrutinib hydrochloride:

degrees 2-theta ±0.2 degrees 2-theta	relative intensity			
8.1	6.9%			
8.2	13.8%			
9.1	1.9%			
9.8	45.0%			
10.7	3.0%			
11.3	7.0%			
11.5	13.7%			
13.6	38.5%			
14.1	11.2%			
14.2	20.9%			
15.1	32.9%			
15.3	56.3%			
15.6	24.7%			
16.5	27.5%			
17.0	44.0%			
17.3	16.1%			
18.5	23.2%			
19.9	26.3%			
20.9	16.4%			
20.4	16.8%			
20.6	28.1%			
20.8	19.9%			
21.1	100.0%			
22.2	33.6%			
22.6	76.3%			
22.6	66.4%			
23.1	30.4%			
23.1	27.3%			
23.6	12.2%			
23.8	19.2%			
24.3	97.0%			
25.6	26.9%			
25.5	59.1%			
25.7	11.7%			
28.6	34.9%			
29.0	36.4%			
28.9	40.2%			
29.4	29.7%			

19

29.7	11.1%
32.0	12.4%
32.2	14.2%
32.7	12.8%

The x-ray powder diffractogram of ibrutinib HBr is characterized by the following peaks: 5.5, 18.1, 22.3, 24.5 and 26.9 degrees 2-theta ± 0.2 degrees 2-theta. Further characteristic peaks are at 12.3, 15.6, 18.3, 20.2, 21.6° and 24.4°. degrees 2-theta ± 0.2 degrees 2-theta

The complete list of XRPD diffraction peaks of ibrutinib hydrobromide:

degrees 2-theta ±0.2 degrees 2-theta	relative intensity
5.5	18.6%
10.6	4.5%
11.1	3.3%
12.3	6.1%
12.7	2.0%
14.8	6.7%
15.6	16.5%
16.0	11.6%
16.6	15.7%
18.1	39.2%
18.3	15.1%
19.1	2.8%
20.2	23.2%
21.6	15.9%
22.3	100.0%
23.0	11.8%
24.4	18.0%
24.5	34.7%
24.7	8.7%
25.6	10.2%
26.9	22.3%
27.4	6.9%
29.3	6.1%
29.5	9.7%
29.6	8.6%
31.8	11.0%
33.6	7.0%
33.7	5.3%
36.0	6.1%
37.0	7.7%
38.7	6.9%
39.9	5.2%
41.2	10.3%
48.2	7.9%

20

Claims:

- 1. Crystalline acid addition salt of ibrutinib having a purity of at least 99.0 %.
- 2. Acid addition salt of claim 1, wherein the acid is hydrochloric acid or hydrobromic acid.
- 3. Acid addition salt of ibrutinib, wherein the content of an addition reaction product of the acid with the acrylic double bond of ibrutinib is lower than 1 % by weight.
- 4. Acid addition salt of ibrutinib according to claim 3, wherein the content of the addition reaction product is lower than 0.5 % by weight, preferably lower than 0.2 % by weight, more preferable lower than 0.1 % by weight.
- 5. Acid addition salt according to preceding claims, which is crystalline ibrutinib hydrochloride, which preferably shows an XRPD pattern having peaks at 9.8 \pm 0.1°2- Theta, 15.3 \pm 0.1°2- Theta, 21.1 \pm 0.1°2- Theta, 22.6 \pm 0.1°2- Theta and 24.3 \pm 0.1°2- Theta.
- 6. Method of preparing an acid addition salt of ibrutinib comprising the steps of a) dissolving ibrutinib in a suitable solvent, preferably an organic solvent, and b) contacting the obtained solution with the acid, characterized in that during the step of adding the acid the solution is cooled below room temperature (22°C).
- 7. Method according to claim 6, which method further comprises the step of c) precipitating the acid addition salt in a suitable antisolvent.
- 8. Method according to claim 7 or 8, wherein the solution is cooled below below 10 °C, preferably below 0°C in steps b) and/or c).
- 9. Method according to claims 8 or 9, wherein the acid is hydrogen chloride.

- 10. Pharmaceutical composition comprising an acid addition salt of ibrutinib according to claim 1 to 5.
- 11. Use of a compound of formula (IIa),

wherein X is Cl or Br, for determination of the purity of ibrutinib in a pharmaceutical formulation comprising ibrutinib, wherein preferably the ibrutinib is in the form of an acid addition salt.

12. Use of a compound of formula (IIa)

22

wherein X is CI or Br, in a method for the preparation of ibrutinib or a pharmaceutical formulation comprising ibrutinib with high purity, wherein preferably the ibrutinib is in the form of an acid additional salt.

- 13. Crystalline acid addition salt of ibrutinib wherein the acid is hydrogen chloride or hydrogen bromide.
- 14. Pharmaceutical composition comprising an acid addition salt according to claim 13.

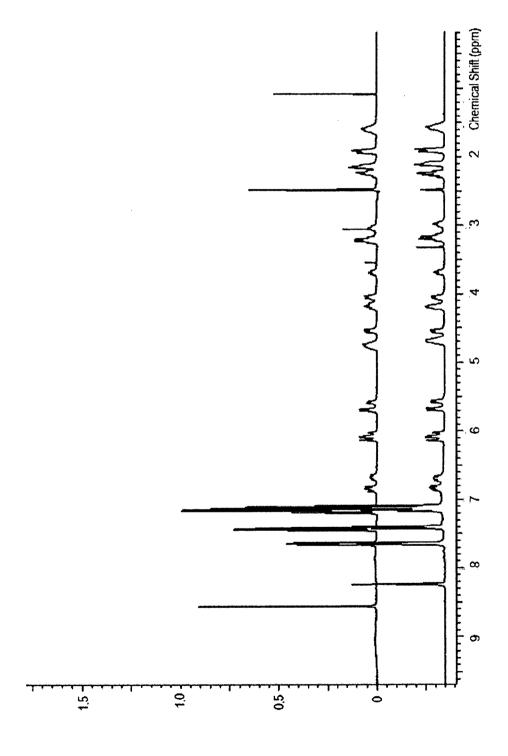


Figure 1a

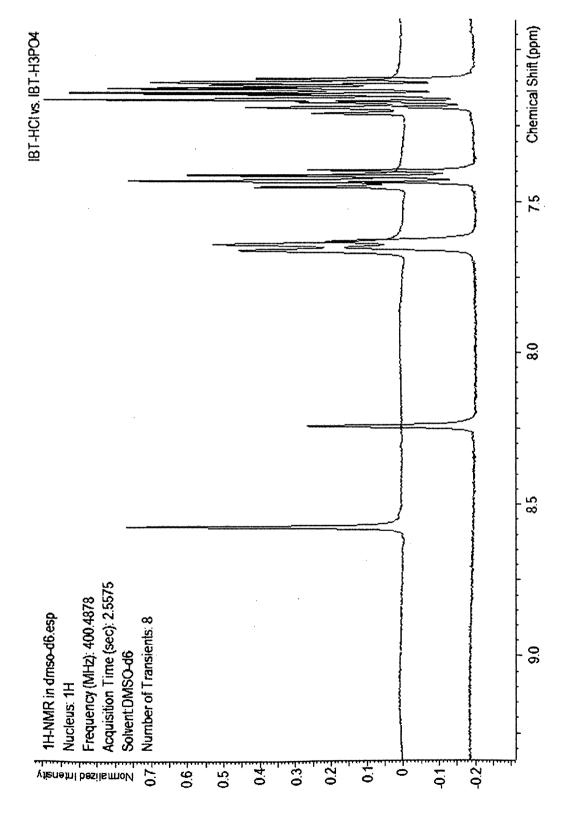


Figure 1b

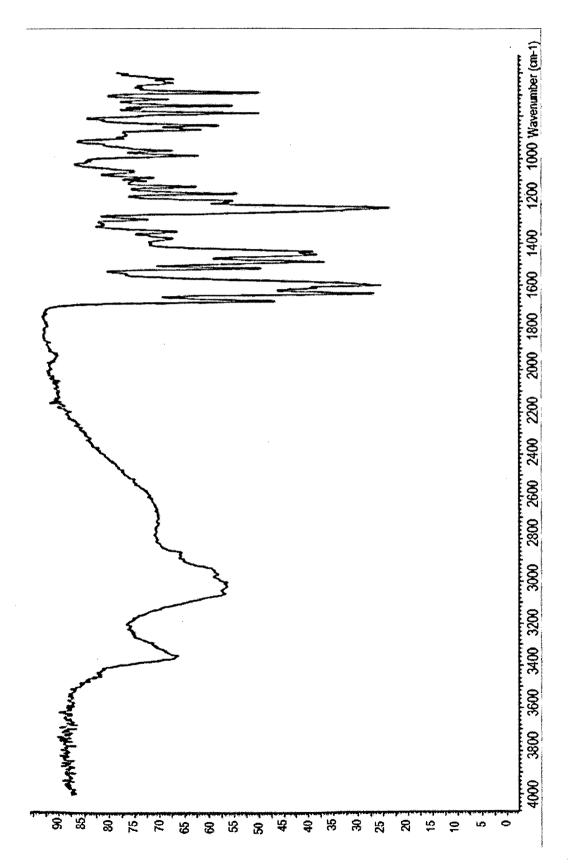
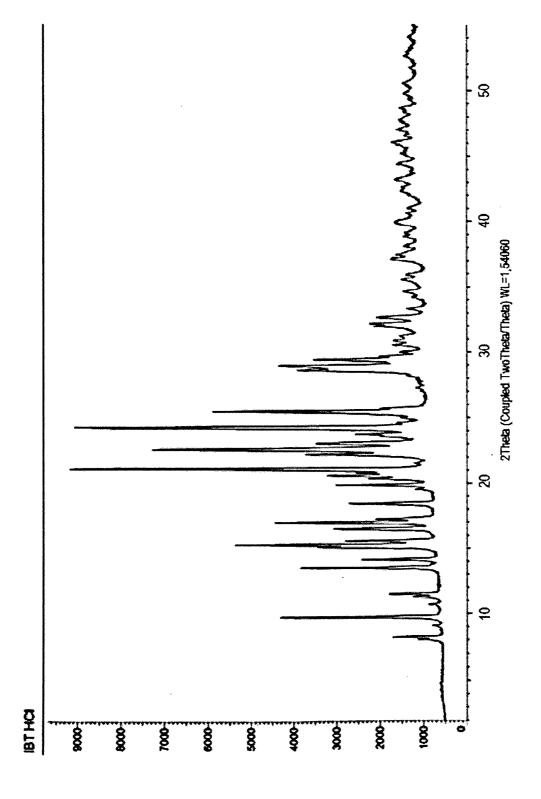
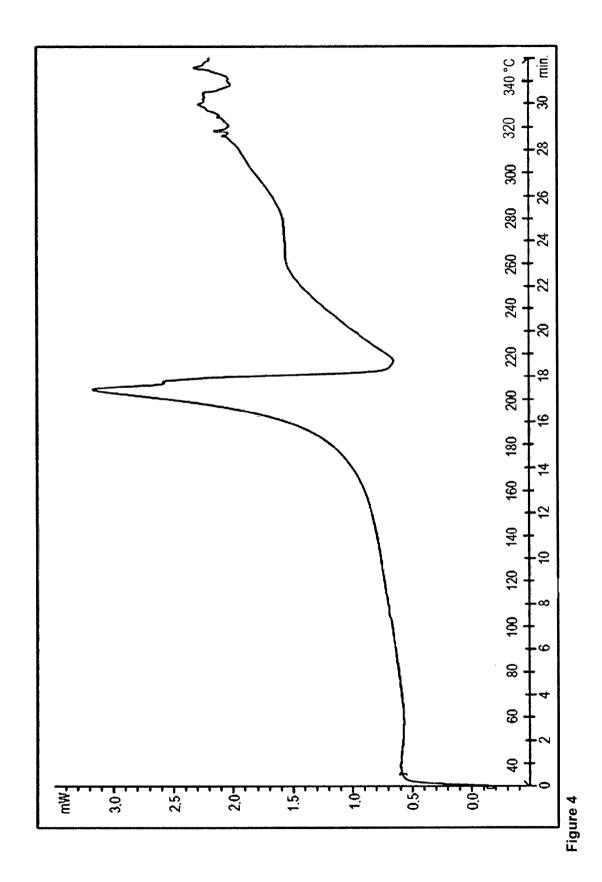
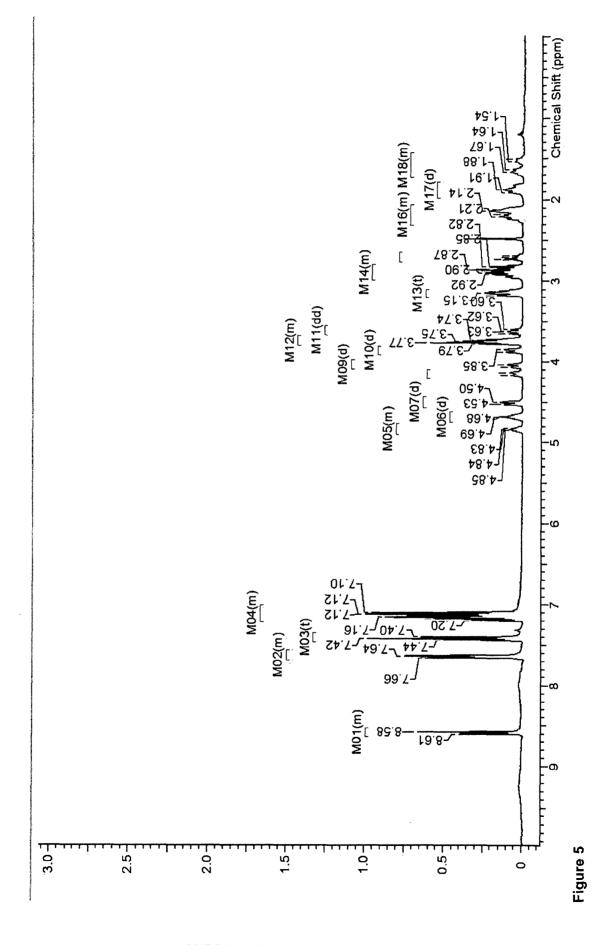


Figure 2

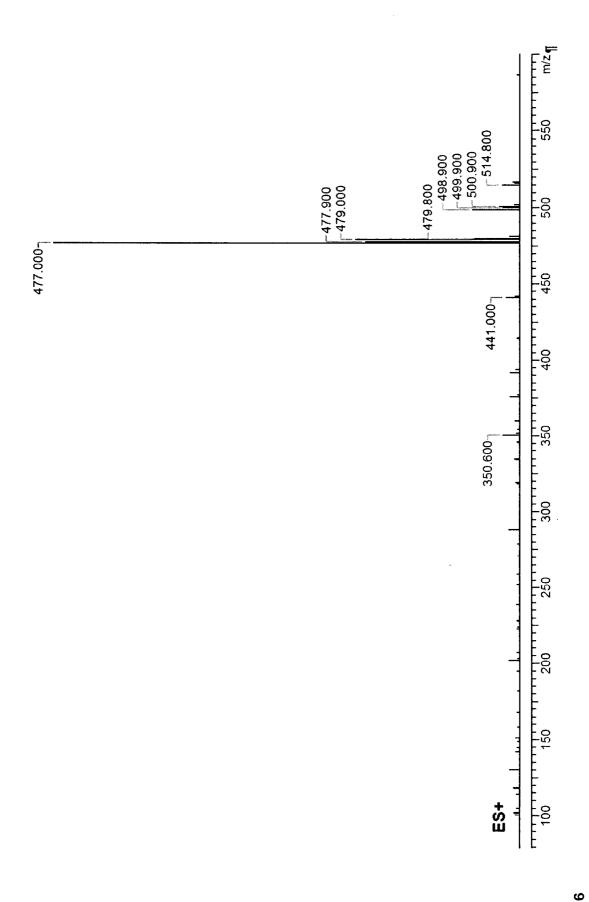


igure 3





SUBSTITUTE SHEET (RULE 26)



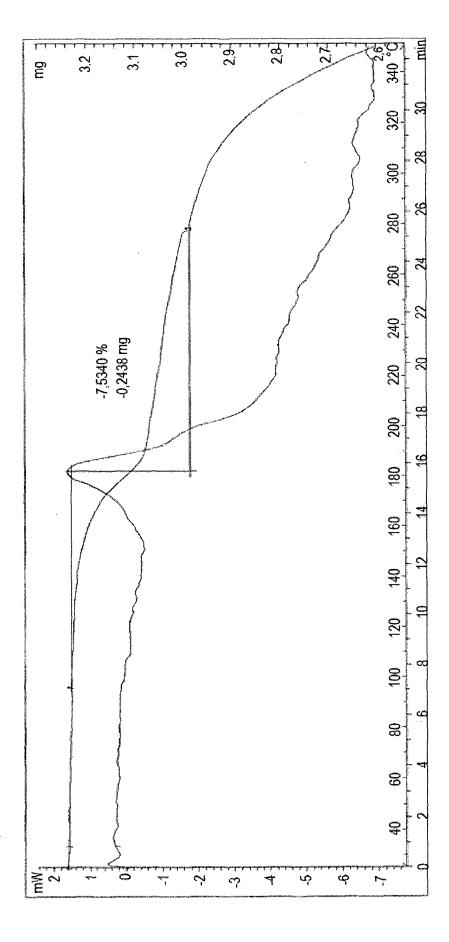
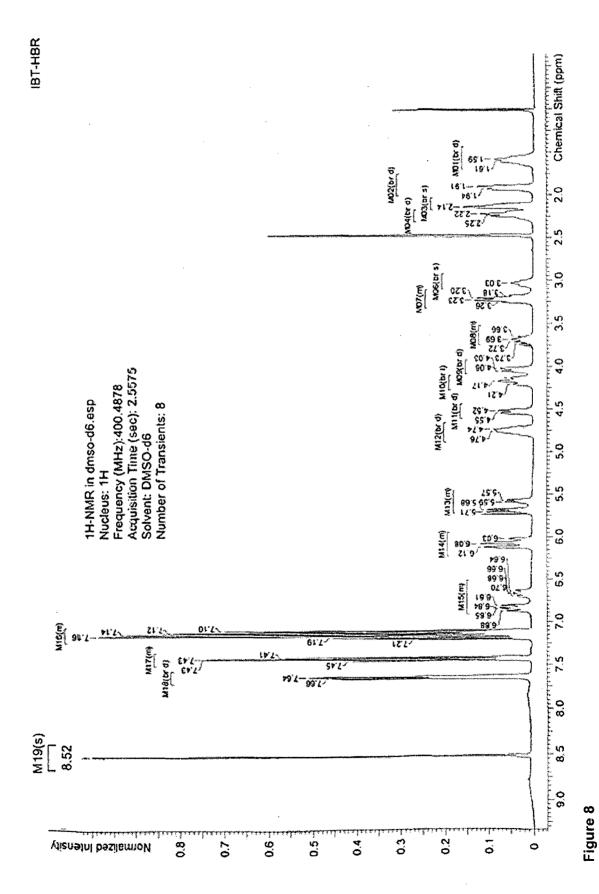


Figure 7



SUBSTITUTE SHEET (RULE 26)

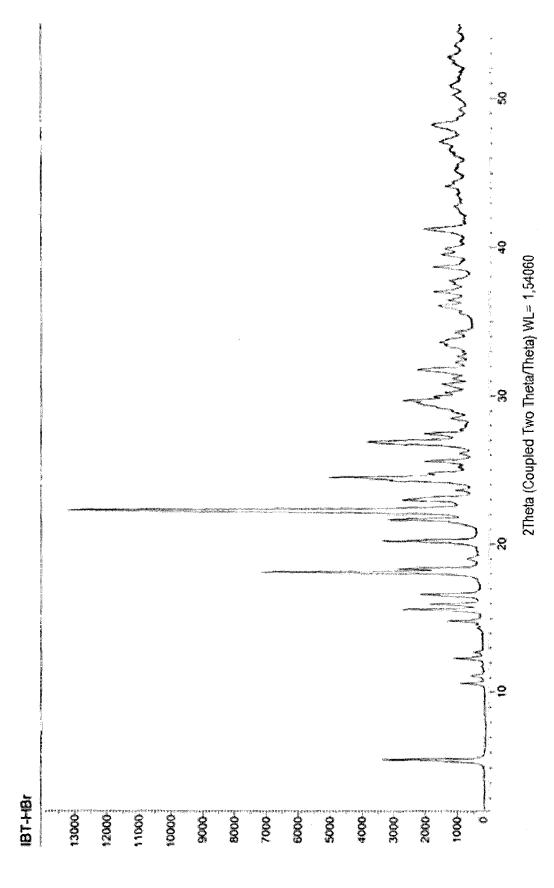


Figure 9

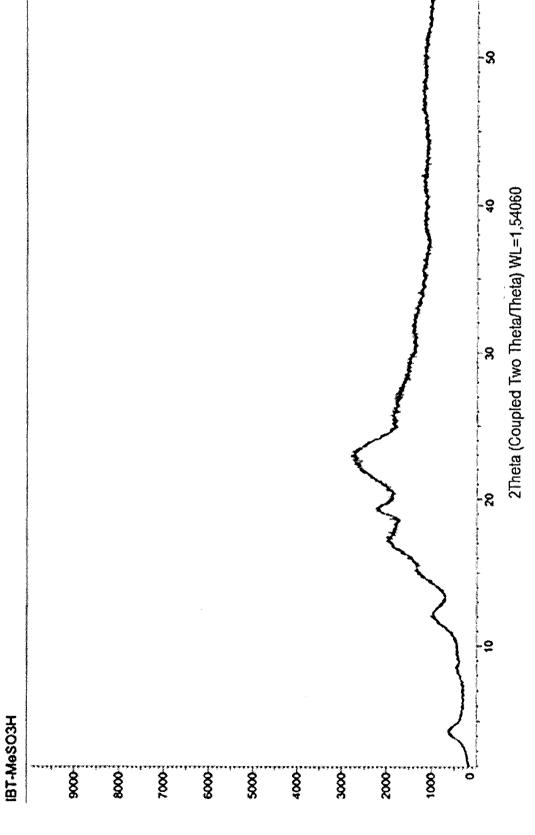


Figure 40

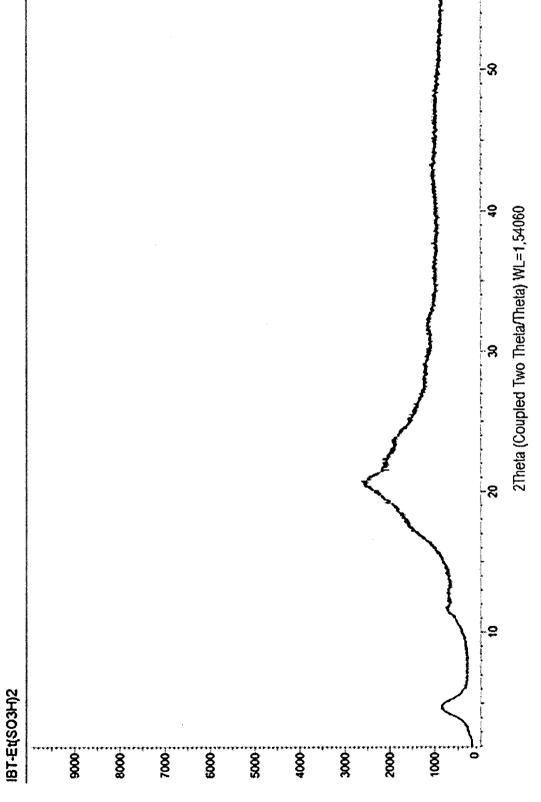
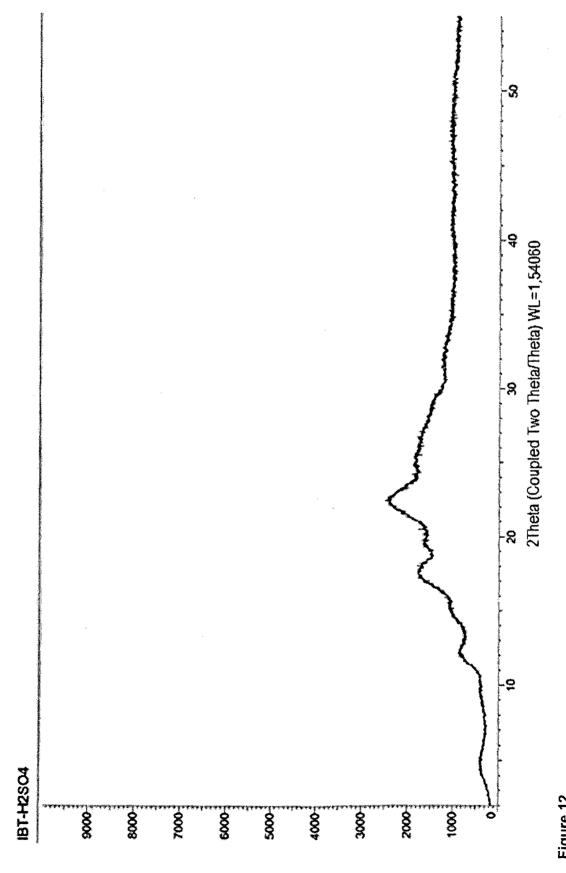


Figure 11



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

International application No PCT/EP2015/069430

A. CLASSIFICATION OF SUBJECT MATTER INV. C07D487/04 A61K31/519 A61P35/00 ADD. According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) C07D Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, CHEM ABS Data, WPI Data, BEILSTEIN Data C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category' Citation of document, with indication, where appropriate, of the relevant passages WO 2011/046964 A2 (PHARMACYCLICS INC [US]; 1 - 14γ CHEN WEI [US]; LOURY DAVID J [US]; MODY TARAK) 21 April 2011 (2011-04-21) page 47; example 4; compound 1 γ WO 2014/004707 A1 (PRINCIPIA BIOPHARMA INC 1-14 [US]) 3 January 2014 (2014-01-03) cited in the application page 26, lines 4-8 WO 2013/184572 A1 (PHARMACYCLICS INC [US]; γ 1-14 PURRO NORBERT [US]) 12 December 2013 (2013-12-12) cited in the application paragraph [0031] EP 2 650 294 A1 (PHARMACYCLICS INC [US]) γ 11,12 16 October 2013 (2013-10-16) pages 80-82 X See patent family annex. Further documents are listed in the continuation of Box C. Special categories of cited documents "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be special reason (as specified) considered to involve an inventive step when the document is combined with one or more other such documents, such combination "O" document referring to an oral disclosure, use, exhibition or other being obvious to a person skilled in the art "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 28 September 2015 16/10/2015 Name and mailing address of the ISA/ Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016 Lauro, Paola

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/EP2015/069430

		-			•	· · · · · · · · · · · · · · · · · · ·
Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 2011046964	A2	21-04-2011	AU CA CN CO CR EA EP JP JP KR NZ US US US WO	2010306921 2776543 102656173 6531429 20120244 201270553 2393816 2650294 5717109 2013507448 2015134802 20120097376 599396 7718662 7741330 2011086866 2014080844 2011046964	A1 A2 A1 A2 A1 B2 A A A B1 B1 A1	10-05-2012 21-04-2011 05-09-2012 28-09-2012 03-01-2013 28-09-2012 14-12-2011 16-10-2013 13-05-2015 04-03-2013 27-07-2015 03-09-2012 28-03-2014 18-05-2010 22-06-2010 14-04-2011 20-03-2014 21-04-2011
WO 2014004707	A1	03-01-2014	US WO	2015140085 2014004707		21-05-2015 03-01-2014
WO 2013184572	A1	12-12-2013	AU CA CL CN CO DO EA EP IL JP KR PE PH TW US US UY WO	2013271918 2875986 2014003306 104736178 7240408 P2014000274 201492082 2854859 235894 2015518885 20150015021 01742015 12014502681 201402122 2013338172 2014336203 2015158871 34848 2013184572	A1 A2 A1 A1 AA A1 A1 A1 A1 A1	22-01-2015 12-12-2013 17-04-2015 24-06-2015 17-04-2015 31-03-2015 08-04-2015 29-01-2015 06-07-2015 09-02-2015 12-02-2015 16-01-2014 19-12-2013 13-11-2014 11-06-2015 31-10-2013 12-12-2013
EP 2650294	A1	16-10-2013	AU CA CN CO CR EA EP JP JP KR US US	2010306921 2776543 102656173 6531429 20120244 201270553 2393816 2650294 5717109 2013507448 2015134802 20120097376 599396 7718662 7741330 2011086866	A1 A2 A1 A2 A1 B2 A A A B1 B1	10-05-2012 21-04-2011 05-09-2012 28-09-2012 03-01-2013 28-09-2012 14-12-2011 16-10-2013 13-05-2015 04-03-2013 27-07-2015 03-09-2012 28-03-2014 18-05-2010 22-06-2010 14-04-2011

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/EP2015/069430

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
		US WO	2014080844 A1 2011046964 A2	20-03-2014 21-04-2011