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(54) Title: ULTRASONIC ARRAY FOR BONE SONOGRAPHY

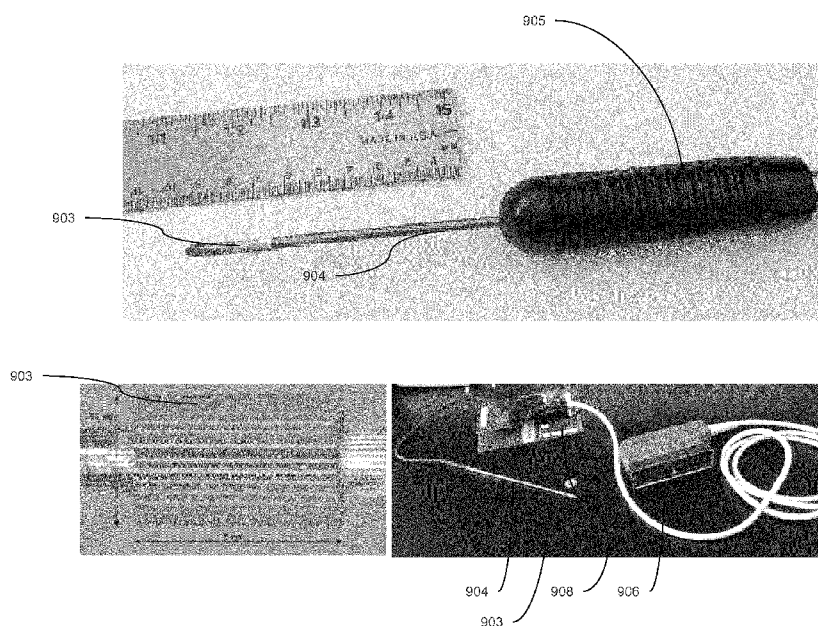


FIG. 9A

(57) Abstract: This invention relates to methods and devices for use in ultrasound imaging. Ultrasonic methods, systems and low-frequency annular transducer array devices for bone image guidance, particularly during spinal fusion surgery and the process of pedicle screw insertion are provided.



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1 **ULTRASONIC ARRAY FOR BONE SONOGRAPHY**

2 **CROSS REFERENCE TO PRIOR APPLICATIONS**

3 **[0001]** This application claims priority under the Paris Convention from US Application
4 Number 61/827,276, filed on May 24, 2013 and US Application Number 61/827,284, filed on May
5 24, 2013, the entire contents of which are incorporated herein by reference.

6 **FIELD OF THE INVENTION**

7 **[0002]** The present invention relates to methods, systems and devices for ultrasound
8 imaging. More particularly, the present invention relates to methods, systems and devices for
9 image generation and analysis for use in surgical applications such as orthopedic surgery,
10 including spinal fusion surgery and the process of pedicle screw insertion.

11 **BACKGROUND OF THE INVENTION**

12 **[0003]** It is estimated that up to 40% of the population may be experiencing back pain.
13 Spinal fusion surgery may be recommended if a subject has one or more of the following
14 conditions: (1) fractured vertebrae, (2) spinal curvature deformities (e.g. scoliosis, or kyphosis),
15 and (3) at least one bulging or herniated intervertebral disk, which might press on exiting spinal
16 nerves. Surgical hardware can be used to fix a corrective structure to the spine, such as, for
17 example, pedicle screws that are attached to small bones in the vertebrae called pedicles.
18 Almost 250,000 spinal fusion surgeries were performed during 2008 in the United States alone
19 (American Academy of Orthopedic Surgeons). Almost 650,000 people a year undergo lumbar
20 spinal fusion for a variety of ailments.

21 **[0004]** Pedicle screw placement is complicated due to limited visibility of the spine,
22 continuous bleeding in exposed regions, close proximity of the pedicle to vital neural and
23 vascular structures and variability in pedicle morphology. Improperly placed pedicle screws can
24 place surrounding neural and vascular structures at risk, including the spinal cord, nerve roots
25 and aorta. Some studies suggest a high rate of pedicle screw misplacement (20-40%), which
26 leads to neurological deficits (e.g. patient paralysis) in up to 3-5% of cases.

27 **[0005]** Typically, the screw hole is prepared using a cannulation probe (awl-like boring
28 tool) that is advanced through the vertebral cancellous bone in the middle of the pedicle. To
29 avoid improper placement of the screws, the surgeon relies on tactile feedback to differentiate
30 between "soft" cancellous bone, filled with bone marrow in the middle of the pedicle bone, and
31 tougher cortical bone in the surrounding of the pedicle (Fig. 1). If probe advancement becomes
32 difficult (e.g. probe comes in contact with cortical bone) or too effortless (e.g. probe has

1 perforated cortical bone) the surgeon makes a blind correction to the trajectory of insertion.
2 Alternatively, x-ray fluoroscopy can be used for screw placement. However, this approach
3 exposes patients and staff to harmful ionizing radiation and requires interpretation of two-
4 dimensional images in relation to three-dimensional anatomy, which is visually challenging and
5 results in additional assumptions and risk.

6 **[0006]** One alternative method for facilitating pedicle screw placement is ultrasound
7 image guidance using a miniature ultrasound probe insertable within the pedicle's guide hole,
8 similar to that used for intravascular imaging (U.S. Patent No. 3,938,502). The objective of such
9 imaging guidance is to identify and judge the distance from the guide hole and the
10 trabecular/cortical bone interface and to determine whether the proposed insertion trajectory is
11 satisfactory based on the distance.

12 **[0007]** Indeed, ultrasound has been used in vertebral surgeries since the 1990's (e.g.,
13 U.S. Patent No. 5,167,619 and U.S. Patent No. 5,976,105). However, ultrasound imaging during
14 spinal surgery was not considered until 2003 when a surgical apparatus with an ultrasonic probe
15 for navigation and placement of implants, such as bone screws, through pedicles was developed
16 (U.S. Patent No. 6,849,047). The apparatus disclosed in U.S. Patent No. 6,849,047 was used for
17 cannulation, wherein an intra-cancellous pilot channel was created without breaching the cortical
18 bone.

19 **[0008]** Ultrasound imaging devices, such as that described in U.S. Patent No.
20 6,849,047, require an ultrasound signal to travel from a transducer element, through porous
21 cancellous bone to the tougher cortical bone shell and echo back (propagating the same length
22 inside the cancellous bone) to be received by the transducer element. The time of signal flight
23 translates into distance, which, along with the amplitude of the received signal, can be translated
24 into a line within resultant image as well as the brightness of pixels on that line.

25 **[0009]** The design in U.S. Patent No. 6,849,047, for incorporating ultrasound
26 transducers and drill bits, and subsequent designs (e.g., U.S. Patent No. 8,203,306) provided
27 uni-directional imaging via side-viewing elements, which required manual rotation of the device in
28 order to image one cross-section (slice) of pedicle. Manual rotation can interfere with the pedicle
29 screw placement procedure due to the potential for human error. Further, although the design in
30 U.S. Patent No. 6,849,047 apparatus provided real-time monitoring for the single cross-section of
31 tissue adjacent to the tip of the drill bit, it would still be possible for the drill bit to touch or even
32 breach a sector of the cortical layer not seen in the uni-directional image. Therefore, this
33 technique requires the user to judge whether screw insertion trajectory is correct based on a
34 single cross-sectional image that is some distance away from the tip of the drilling device.

1 **[00010]** In the case of spinal fusion surgery, the prophetic idea of a miniaturized
2 ultrasound device insertable into the center of the pedicle in order to image the pedicle from
3 within has been contemplated (U.S. Patent No. 6,849,047). However, due to many practical
4 challenges, few experimental images have been demonstrated. Some of the remaining
5 challenges include: (1) lack of proper penetration depth for the ultrasound signal within the bone,
6 (2) appropriate signal-to-noise ratio and hence image quality, (3) a solution for imaging bone
7 from within, in three-dimensions, without any changes to the surgical workflow, and (4) the desire
8 for a technology that could estimate the possibility of potential breaches outside the cortical shell
9 for a given wrong insertion trajectory.

10 **[00011]** Ultrasonic devices invented previously for use in spinal fusion surgery have used
11 a single element transducer (e.g., U.S. Patent No. 8,203,306). As a result, in order to obtain
12 images of a pedicle cross-section, manual rotation of the device was necessary. Such rotation
13 introduces human factor challenges, at least because rotation must be done while drilling the
14 guide hole within the pedicle. Experimental evidence regarding the practicality of devices such
15 as those of U.S. Patent No. 6,849,047 is currently limited.

16 **[00012]** IntraVascular UltraSound (IVUS), a technique wherein an annular ultrasound
17 array is used to generate a cross-sectional vasculature image, is common in cardiovascular
18 diagnostic imaging. However, there is a major difference between IVUS and results in bone
19 sonography. Ultrasound imaging within bone results in high signal attenuation, which increases
20 with higher transmit frequencies. IVUS imaging is based on successful signal transmission
21 through soft tissue at relatively high frequencies (e.g. >20 MHz). However, ultrasound imaging of
22 trabecular bone has a far higher attenuation over the same frequency range, causing the
23 returned signal to be lost in background noise. Consequently, much lower frequencies (e.g. a
24 few MHz) must be used to image bone using ultrasound signals, which leads to considerable
25 loss in image resolution.

26 **[00013]** As mentioned above, one drawback to devices such as those disclosed in U.S.
27 Patent No. 6,840,047 is the need for manual rotation of the device. Cylindrically organized
28 phased array transducers can eliminate the need for manual rotation of the transducer when
29 examining hollow organs such as the heart or vasculature prone to plaque buildup. One of the
30 first array transducers had 32 rectangular elements on the circumference of the cylinder to form
31 various imaging lines (U.S. Pat. No. 3,938,502). At any given point in time, 8 elements were
32 employed to acquire a real-time image in each angular direction. Each element was employed
33 both as a transmitter and a receiver. However, such rotational arrays were designed for high
34 frequencies (e.g., >20MHz) that are not useful for imagery in hard tissue, such as bone.

1 **[00014]** Achieving adequate signal penetration depth in bone is challenging, at least due
2 to bone's high impedance and reflection characteristics. As a result, bone sonography typically
3 requires the use of low-frequency imaging (e.g. $f_0 \sim 1\text{-}2$ MHz). The use of lower frequencies
4 translates into the application of larger transducer elements because the thickness of each
5 element must be at least half the wavelength associated with the center frequency ($\lambda/2 = c/2f$).
6 Larger transducer elements are not practical for use with pedicle surgery due to the small size of
7 the target bone.

8 **[00015]** In some techniques, due to the high frequency of the intravascular ultrasound
9 probe catheter, ultrasound beam reflection from the inner wall of the trabecular bone was near
10 total. This prevented the ultrasound beam from penetrating any significant distance into the
11 trabecular bone, prohibiting imaging of the cortical wall.

12 **[00016]** Cancellous (trabecular) bone has a very complex structure consisting of a matrix
13 of connected plates and rods, called trabeculae (Fig. 1). These spongy structures are
14 interspersed with marrow. The trabeculae are not arranged uniformly, but tend to align in
15 accordance with the stress distribution in the bone. This inhomogeneous, anisotropic
16 composition makes it very difficult to predict and interpret the propagation of acoustic waves in
17 bones.

18 **[00017]** It is an object of the present invention to provide an ultrasonic device for bone
19 sonography to obviate or mitigate at least some of the above-mentioned disadvantages.

20 SUMMARY OF THE INVENTION

21 **[00018]** The present invention relates to an apparatus and methods of imaging bone with
22 ultrasound.

23 **[00019]** In a first aspect of the present invention, an annular ultrasound transducer array
24 is provided. The annular ultrasound transducer array comprises a plurality of transducer
25 elements arranged in a ring configuration, wherein the plurality of transducer elements comprises
26 elements configured to transmit ultrasound signals and elements configured to received
27 ultrasound echoes, and wherein the ultrasound signal is transmitted at a frequency in a range of
28 0.5 to 5 MHz.

29 **[00020]** In some embodiments of the present invention, the annular ultrasound transducer
30 array further comprises a plurality of the ring configurations arranged adjacent to one another in
31 a cylindrical configuration, each ring forming a row in the cylindrical configuration.

1 **[00021]** In some embodiments of the present invention, the transducer array is phased.

2 **[00022]** In some embodiments of the present invention, the transducer elements in every
3 other row are transmitters and the transducer elements in the rows between the transmitters are
4 receivers. In preferred embodiments of the present invention, the diameter of the ring
5 configuration is in a range of 3 to 5 mm.

6 **[00023]** In some embodiments of the present invention, the transducer array is configured
7 to be mounted on or in a tool for probing or cannulating bone. In some embodiments of the
8 present invention, the transducer array is integrated with a tool for probing or cannulating bone.
9 In preferred embodiments of the present invention, the tool is for generating pedicle guide holes
10 or pedicle screw placement.

11 **[00024]** In some embodiments of the present invention, the ultrasound signal to be
12 transmitted is processed by coded excitation and wherein the received ultrasound echoes are
13 processed by de-coding of coded excitation.

14 **[00025]** In some embodiments of the present invention, the plurality of transducer
15 elements are configured to transmit ultrasound signals in a non-simultaneous, sequential
16 manner.

17 **[00026]** In some embodiments of the present invention, the transmitted ultrasound signals
18 are directionally focused at an angle less than 90 degrees relative to the longitudinal axis of the
19 cylindrical configuration.

20 **[00027]** In some embodiments of the present invention, the transducer is in
21 communication with an imaging processor.

22 **[00028]** In some embodiments of the present invention, the image is generated in real
23 time as the transducer is transmitting ultrasound signals and receiving ultrasound echoes.

24 **[00029]** In a second aspect of the present invention, a method for producing an image
25 using an ultrasound system is provided. In some embodiments, the method comprises: a)
26 acquiring ultrasound data by: i) transmitting a plurality of ultrasound signals directed outwardly at
27 a bone to be imaged, wherein the signals are transmitted at frequencies in the range of 0.5 to 5
28 MHz, wherein the signals are reflected by features within the imaged object to produce echoes;
29 ii) measuring the echoes, wherein the measured echoes include echoes reflected from multiple
30 spatial locations within the bone to be imaged; and b) producing an image of the bone from the
31 received echoes.

1 **[00030]** In some embodiments of the present invention, the outwardly directed ultrasound
2 signals are transmitted by a plurality of transducer elements arranged in a ring configuration,
3 wherein the echoes are received by the plurality of transducer elements, wherein the plurality of
4 transducer elements are in communication with an imaging processor, and wherein the image
5 produced is a cross-sectional image.

6 **[00031]** In some embodiments of the present invention, the outwardly directed ultrasound
7 signals are transmitted by a plurality of transducer elements arranged in a first plurality of ring
8 configurations, wherein the echoes are received by a second plurality of ring configurations,
9 wherein the first and second plurality of ring configurations are arranged adjacent to one another
10 in a cylindrical configuration, each ring forming a row in the cylindrical configuration and wherein
11 the image produced is a cylindrical or conical image.

12 **[00032]** In some embodiments of the present invention, the plurality of adjacent rings are
13 mounted to or in or integrated with a tool and wherein the tool is inserted in the object to be
14 imaged.

15 **[00033]** In some embodiments of the present invention, the method further comprises
16 ultrasound signals directed forwardly relative to the insertional trajectory of the tool, wherein the
17 forwardly directed ultrasound signals are transmitted from a plurality of the transducer elements
18 and wherein the image produced is a conical image, wherein the apex of the cone is ahead of
19 the tool along the insertional axis.

20 **[00034]** In some preferred embodiments of the present invention, the imaged bone is a
21 pedicle bone. In some preferred embodiments of the present invention, the image is generated in
22 real time.

23 **[00035]** In a third aspect of the present invention, a method for predicting pedicle cortical
24 breach is provided. In some embodiments, the method comprises: a) inserting into the pedicle a
25 tool comprising an annular ultrasound transducer; b) acquiring ultrasound data by: i) transmitting
26 from the annular ultrasound transducer a plurality of ultrasound signals directed both outwardly
27 and forwardly relative to the insertional trajectory of the tool, wherein the signals are transmitted
28 at a frequency in a range of 0.5 to 5 MHz, wherein the signals are reflected by features within the
29 pedicle to produce echoes; ii) measuring the echoes using a the annular ultrasound transducer,
30 wherein the measured echoes include echoes reflected from multiple spatial locations within the
31 pedicle; c) producing an image of the pedicle from the received echoes, wherein the image
32 includes the cortical boundary of the pedicle, wherein a spatial relationship between the inserted

1 tool and the cortical boundary is depicted in the image; and d) predicting the possibility for
2 cortical breach based on the image obtained in step c).

3 **[00036]** In some embodiments of the third aspect of present invention, the tool is a
4 cannulation probe or drill.

5 **[00037]** In some embodiments of the third aspect of present invention, the ultrasound
6 signals to be transmitted are processed by coded excitation and wherein the echoes are
7 processed by de-coding.

8 **[00038]** In a fourth aspect of the present invention, a system for producing an image of
9 bone using an ultrasound system is provided. In some embodiments, the system comprises: a) a
10 phased annular transducer comprising a plurality of transducer elements arranged in a ring
11 configuration, wherein the plurality of transducer elements comprises elements configured to
12 transmit ultrasound signals and elements configured to receive ultrasound echoes, and wherein
13 the ultrasound signal is transmitted at a frequency in a range of 0.5 to 5 MHz; b) a tool
14 configured to probe or cannulate bone, wherein the tool comprises the phased annular
15 transducer; c) an imaging processor in communication with the phased annular transducer; d) an
16 imaging display coupled with the imaging processor; and e) an electronic controller coupled with
17 the tool and the phased annular transducer, wherein the electronic controller is configured to
18 control the operation of the tool to move the tool in a desired direction.

19 **[00039]** In some embodiments of the fourth aspect of the present invention, the phased
20 annular transducer further comprises a plurality of the ring configurations, wherein the plurality of
21 ring configurations are arranged adjacent to one another in a cylindrical configuration, each ring
22 forming a row in the cylindrical configuration.

23 BRIEF DESCRIPTION OF THE DRAWINGS

24 **[00040]** The features of the invention will become more apparent in the following detailed
25 description in which reference is made to the appended drawings wherein:

26 **[00041]** Fig. 1 depicts the anatomy of soft cancellous bone and hard cortical bone
27 encapsulating the cancellous bone.

28 **[00042]** Fig. 2 is a design schematic of an annular ultrasound transducer employed for
29 obtaining cross-sectional images, in accordance with one embodiment.

1 **[00043]** Fig 2a is a pictorial representation of an annular ultrasound transducer, wherein
2 the transducer involves two layers of acoustic matching, in accordance with one embodiment.

3 **[00044]** Fig. 3 is a block diagram illustrating components of the transducer array for
4 communicating with a computing device, in accordance with one embodiment for transmitting
5 ultrasound waves and measuring received echoes from a subject.

6 **[00045]** Fig. 4 depicts a real-time cross-sectional ultrasound image of pedicle bone
7 generated using an annular ultrasound transducer array of the present invention, in accordance
8 with one embodiment.

9 **[00046]** Fig. 5 is a pictorial representation showing electronic focusing of ultrasound
10 beams in pedicle cross-sectional imaging, in accordance with one embodiment.

11 **[00047]** Fig. 6 is a pictorial representation of an exemplary sound pressure profile
12 simulation comparing the use of rotational phased arrays (as opposed to single element
13 transducers) , in accordance with one embodiment.

14 **[00048]** Fig. 7 depicts an example of an annular ultrasound transducer having a plurality
15 of ring-shaped transducer arrays, in accordance with one embodiment.

16 **[00049]** Fig. 8 is a perspective diagram providing exemplary design specifications of an
17 angular sector arch of the cylindrical ultrasound transducer array (an example of which is shown
18 in Fig. 7) , in accordance with one embodiment.

19 **[00050]** Fig. 9 is a pictorial representation of a cylindrical ultrasound transducer array
20 incorporated with a drill bit for simultaneous imaging and pilot hole creation in a pedicle bone
21 structure.

22 **[00051]** Fig. 9A is a diagram of a 32-element ultrasound transducer probe, handle and
23 array (embedded within an epoxy protective layer), in accordance with one embodiment.

24 **[00052]** Fig. 10 is a pictorial representation of electronic steering of ultrasound beams,
25 wherein the beams are directed forwardly relative to the insertional direction of the transducer
26 array (e.g., the array of Fig. 7 or 8), in accordance with one embodiment.

27 **[00053]** Fig. 11 is a schematic that illustrates how the ultrasound beam focal spot of the
28 ultrasound transducer array is shifted spatially using a phased array technique of introducing

1 electronic delays to the fire timing of each element, thereby allowing the user of the array to “look
2 ahead” of the array (e.g., the array of Fig. 7 or 8), in accordance with one embodiment.

3 **[00054]** Fig. 12 is a block diagram illustrating exemplary functional components involved
4 in ultrasonic imaging of bone using the method of the present invention, in accordance with one
5 embodiment.

6 **[00055]** Fig. 13 is a block diagram illustrating exemplary steps for measuring the radial
7 distance between a surgical tool and the cortical boundary of a pedicle, wherein the user is
8 alerted if the distance is indicative of a potential cortical breach, in accordance with one
9 embodiment.

10 **[00056]** Fig. 14 is a block diagram further illustrating the exemplary steps of a method for
11 predicting cortical breach according to present invention, in accordance with one embodiment.

12 **[00057]** Fig. 15 is a block diagram further illustrating the method for predicting cortical
13 breach according to the present invention, in accordance with one embodiment.

14 **[00058]** Fig. 16 is a block diagram further illustrating the method for predicting cortical
15 breach according to the present invention, in accordance with one embodiment.

16 **[00059]** Fig. 17 is a chart depicting exemplary results of design models revealing an
17 electrical resistance of around 50 Ω for each ultrasound element around the ring sensor array
18 (after electronic matching).

19 **[00060]** Fig. 18 is a chart depicting -8 dB (~ 40% ratio) energy loss at the interface of
20 electronics with the acoustics hardware (ideal transformer of 1:16 ratio is applied).

21 **[00061]** Fig. 19 are exemplary views depicting a sample of a Graphical User Interface
22 used for designing a desirable low-frequency rotational phased array, in accordance with one
23 embodiment.

24 **[00062]** Fig. 20 depicts multiple B-mode (ultrasonic Brightness Mode) images along the
25 length of a simple cylindrical hollow structure, mimicking the circumstances under which the
26 prediction of potential cortical breach should function. The cylinder is shown as a simplified
27 example of the anatomical structure of the pedicle bone.

28 **[00063]** Fig. 21 depicts the insertion trajectory of a pedicle probe, various cross-sectional
29 images of the pedicle and the corresponding pedicle bone cross section.

1 [00064] Fig 22 graphically depicts an exemplary simplistic cannulation trajectory through
2 a pedicle (square) relative to the dorsal (diamond) and vertical (triangle) cortical layers.

3 [00065] Fig. 23 is a chart depicting properties of exemplary fabricated materials for the
4 transducer arrays for bone sonography, in accordance with one embodiment..

5 [00066] Fig. 24 – 28 illustrate exemplary transducer captured images in accordance with
6 experimental testing, based on a custom hardware designed and fabricated for bone sonography
7 and a custom software that drives the transducer array.

8 [00067] Fig. 29 illustrates one embodiment of the transducer array device and an
9 exemplary acoustic pressure profiles for same, when using one 45° element sector at a time to
10 image.

11 DETAILED DESCRIPTION OF THE INVENTION

12 [00068] Unless defined otherwise, all technical and scientific terms used herein generally
13 have the same meaning as commonly understood by one of ordinary skill in the art to which this
14 invention belongs. For convenience, like numerals in the description refer to like structures in the
15 drawings.

16 [00069] As will be understood by a person skilled in the art, the dimensions illustrated in
17 the accompanying figures are exemplary but not limiting. Other variations may be envisaged.

18 [00070] The present invention generally relates to methods and devices for imaging bone
19 using ultrasound energy. To achieve an adequate ultrasound signal penetration depth in bone, it
20 is necessary to use low-frequency signals. Preferably, the device of the present invention
21 transmits an ultrasound at a low frequency in a range of 0.5 to 5 MHz.

22 [00071] Known low frequency techniques require use of a relatively large transducer that
23 would not meet the constraint of fitting within a pedicle's bore hole. Known devices that can fit
24 within the pedicle bore hole have a single ultrasound transducer that transmits and receives a
25 signal in one direction only. Therefore, to obtain a circumferential image of the pedicle bore hole,
26 the user must manually turn the device to obtain multiple images that can be put together to
27 obtain a circumferential image. In contrast, in some aspects of the present invention, a low
28 frequency annular transducer array that fits within a pedicle bore hole is provided and may be
29 used to image a pedicle.

1 **[00072]** In some embodiments, the annular ultrasound transducer comprises a plurality of
2 transducer elements arranged in a ring configuration. Transducer elements are configured to
3 transmit ultrasound signals and/or receive ultrasound echoes.

4 **[00073]** Referring to FIG. 2, in some embodiments, the annular transducer of the present
5 invention can be designed without matching layers. An acoustic matching layer is made from a
6 material that has acoustical impedance (sound resistance) that is between the active transducer
7 element and the imaging media. A matching layer is provided to minimize the energy loss
8 experienced by the ultrasound wave as it travels from one media to another. In some
9 embodiments, the absence of a matching layer permits the device to fit more easily into a
10 pedicle's bore hole. However, due to acoustic impedance mismatch between the piezoelectric
11 transducer and the human tissue, a larger energy loss will occur at the interface of the layers,
12 which will decrease sensitivity of an image obtained using such a device. Referring to Fig. 2,
13 shown are various aspect views of an exemplary annular ultrasound transducer where
14 ultrasound signals are transmitted and echoes are received by the transducer. The dimensions
15 shown are exemplary only.

16 **[00074]** Referring to Fig. 2A, in some embodiments, the annular transducer of the present
17 invention can be designed to consider up to two layers of acoustic matching. Inclusion of
18 additional layers might involve a tradeoff between preference for a smaller device and improved
19 ultrasound image quality. In some embodiments, the diameter of the cylindrical transducer array
20 of the present invention is in a range of 3-5 mm, depending on the anatomical region, patient-
21 specific demands and user preference. Other diameters can be used depending upon the use of
22 the annular transducer array and the desired ultrasound image quality.

23 **[00075]** Referring to Fig. 3, the transducer comprises a transducer array 301. The
24 transducer is annular, cylindrical, or conical in shape depending upon the type of subject (e.g.
25 pedicle bone) being imaged and the desired focus/signal to noise ratio. As described herein, the
26 annular transducer can be configured with or without matching layers. Whether matching layers
27 are used is defined by the size of the transducer device allowed for the pedicle bone (e.g.
28 absence of matching layer allows smaller device), or increased desired sensitivity (e.g. increased
29 sensitivity is provided by matching layers). The parameters for configuring the transducer can be
30 stored in a database (e.g. prior knowledge database 317) on the computing device 302. In this
31 manner, the efficiency of a particular use and images obtained from a transducer are stored in
32 database 317 for defining matching parameters by the processor 316 for subsequent transducer
33 use.

1 **[00076]** Referring to Fig. 3, the transducer array 301 comprises one or more transducer
2 elements (303, 304). Each transducer element 303, 304 further comprises a transmitter (TX)
3 and/or a receiver (RX) elements 320. The transmitter elements are configured for transmitting
4 the ultrasound waves 311 to a subject (e.g. a pedicle bone) and the receiver elements are
5 configured for receiving the echoes 312. The operation of the transducer elements 302 is
6 controlled by a processor 307 in communication with a control module 305 for triggering the
7 operation of one or more transducer elements 303 in generating the transmitter and/or receiver
8 signals. As will be described, the control module 305 is further in communication with control
9 parameters 310 for defining timing, delay and selection of one or more transducer elements 302.
10 In some embodiments, the transducer array 301 is in communication (e.g. via a communication
11 interface 306) with an external computing device 302 for generating the images from the
12 received echoes 312. The transducer array 301 may be directly electrically coupled to the
13 computing device 302 or may be in wireless communication therewith (e.g. Bluetooth). Referring
14 to Fig. 3, the annular transducer array 301 is in communication with an imaging processor 316
15 and an image display 315 for generating images of the pedicle bone.

16 **[00077]** In one embodiment, in response to generating the ultrasound waves 311 to a
17 subject (e.g. a pedicle bone), the subject tissue provides one or more echo signals 312. The
18 transducer array 301 is configured to receive the echo signals 312 and process the echo signals
19 312 via an echo processor 309. The echo processor 309 is configured to translate the echo
20 signals 312 (e.g. by averaging, defining a specific focal point to provide emphasis to particular
21 echo signals, by ranking the echo signals and providing a weighted gain) to a response signal
22 indicative of the image of the structure. The response signal is provided to the computing device
23 302 for processing by the processor 316 and generating the image on the display 315. Referring
24 to Fig. 3, the computing device 302 further comprises a user interface 313 for receiving user
25 input 318 to manipulate the image and/or provide control parameters for affecting the resolution,
26 timing, and/or delay as stored in the control parameters 310. In this manner, cross-sectional
27 images of a hollow structure can be obtained by ultrasound '*pulse-echo*', which is based on the
28 time that it takes for an excitation pulse to travel within the bone, hit the thick cortical target and
29 return back to the transducer. For example, a cross-sectional image of a pedicle is shown in
30 FIG. 4.

31 **[00078]** Referring to Fig. 3, the computing device 302 further comprises a memory 319 for
32 storing instructions thereon and for execution by the processor 316 in generating the image from
33 the echo signals 312 as provided by the echo processor 309.

34 **[00079]** Referring to Fig. 3, in some embodiments, the plurality of transducer elements
35 302 are arranged in a ring-like configuration that allows a circumferential image to be taken in

1 real time (e.g. as provided to an image processor 316 for generating the image on a display 315).
2 The transducer elements 302 are configured to transmit ultrasound signals 311 and/or receive
3 ultrasound echoes 312 for generating the image.

4 **[00080]** Referring again to Fig. 3, one advantage of a transducer array 301 having a
5 plurality of transducer elements 302 is that a user can deliberately fire the elements one at a time
6 (e.g. trigger the operation of one or more transmitter 320 in one or more transmitter elements
7 302), in sequence, simultaneously (e.g. multiple transmitter elements 303 and 304) or with
8 delays with respect to adjacent elements..

9 **[00081]** Indeed, in some embodiments of the present invention, the transducer array 301
10 can transmit ultrasound signals 311 from a specified sub-set of transducer elements 302. The
11 sequence of transmitted ultrasound signals 311 could include desired time delays, which might
12 be useful for improving focus of the ultrasound waves (i.e., beams), which could result in
13 improved image resolution and quality. For example, when waves originating from two or more
14 sources interact with one another, phasing effects occur, which lead to an increase or decrease
15 in wave energy at the point of wave combination. When elastic waves of the same frequency
16 meet such that their displacements are synchronized (i.e., in phase or at 0 degree phase angle),
17 the wave energies combine and create a larger amplitude wave. If they meet such that their
18 displacements are opposite (i.e., 180 degrees out of phase), then the wave energies will cancel
19 each other. When elastic waves meet at phase angles between 0 and 180 degrees, a range of
20 intermediate stages between full addition of energy and full cancellation of energy can occur. By
21 varying the timing of the waves from a large number of sources, it is possible to use these effects
22 to both steer and focus the resulting combined wave front as shown, for example, in Fig. 5 and 6.
23 The timing information of the waves for triggering the operation of one or more transducer
24 elements 302 is stored in the control parameters 310 for use by the control module 305 in
25 affecting the selection, phase, timing and triggering the operation of the transducer elements
26 302. Referring to Fig. 3, one or more instructions may be stored on a memory 308 for affecting
27 the operation of the transducer elements 302 in generating the ultrasound waves 311 and/or
28 analyzing the echo signals 312.

29 **[00082]** In some embodiments, the annular ultrasound transducer arrays of the present
30 invention are phased (e.g. as stored in the control parameters 310 for use by the control module
31 305), increasing speed and ease of use of the transducer array. Further, unlike a single
32 transducer element probe, manual rotation is not required to generate a cross-sectional image
33 when using the annular transducer of the present invention.

1 **[00083]** Phased array systems pulse and receive signals from the plurality of elements of
2 an array. The plurality of elements 302 is pulsed in a pattern to cause multiple beam components
3 to combine with each other to form a single wave front 311 traveling in the desired direction.
4 Similarly, the plurality of receiver elements 320 combine the echo input 312 into a single
5 presentation. Because phasing technology permits electronic beam shaping and steering, it is
6 possible to generate various ultrasonic beam profiles from a single probe assembly.

7 **[00084]** It is contemplated that in some embodiments (e.g. Fig. 3), instructions stored in
8 the memory 308 can be used by the control module 305 for execution by the processor 307 to
9 control ultrasound beam angle, focal distance, and beam spot size (e.g. control parameters 310).
10 These parameters can be dynamically scanned at each inspection point to optimize incident
11 angle and signal-to-noise for each part geometry.

12 **[00085]** It is contemplated that in some embodiments, multiple-angle inspection can be
13 performed with a single, small, multi-element probe and wedge, offering either single fixed angles
14 or a scan through a range of angles. This method provides greater flexibility for inspection of
15 complex geometries, such as cancellous bone. It is also contemplated that in some
16 embodiments, multiplexing across many elements could allow motionless high-speed scans from
17 a single transducer position. More than one scan may be performed from a single location with
18 various inspection angles.

19 **[00086]** In some embodiments, as shown in Fig. 2A, 3 and 7, the annular ultrasound
20 transducer 301 comprising a plurality of transducer elements 302 arranged in a ring configuration
21 (i.e., the single ring transducer array) fires a number of elements at a time (e.g., 8 elements are
22 fired at one time). The device then receives echoes 312 in the same elements 302 (i.e.,
23 elements are both transmitters and receivers). Upon completion, in one example, the whole
24 process takes about 10-20 μ secs, the echoes 312 are electronically processed and stored (e.g.
25 via the echo processor 309). Subsequently, in one example, the next set of (8) elements are
26 selected (for example, instead of elements 1 to 8, elements 2 to 9 are selected) as defined by the
27 control module 305 and fired, and the process continues iteratively until the full circumference of
28 the array 301 has fired and received signals and echoes 312. Thus, in some embodiments, the
29 annular ultrasound transducer array 301 can be used to generate a cross-sectional imaging of a
30 pedicle bone without requiring rotation or movement of the device. In preferred embodiments, a
31 cross-sectional image will be formed by 32 focal spots dispersed 360° around the annular
32 transducer at an angular distance of $360^\circ / 32 = 11.25^\circ$ from one focal spot to the next.

1 **[00087]** In some embodiments, 32 transducer elements with an aperture size of 4-8
2 elements (total number of active firing elements at a given time) provide a balance between
3 image quality, practicality and cost-effectiveness of device fabrication.

4 **[00088]** In some aspects of the present invention, the annular ultrasound transducer
5 comprises a plurality of the ring configurations 700 (e.g. shown in FIG. 7). The cylindrical
6 ultrasound transducer array illustrated in Fig. 7 is configured for generating a three-dimensional
7 image of the pedicle bone's cortical layer. Referring to Fig. 7, the dimensions are provided for
8 exemplary purposes, providing proof of principle, particularly for fitting the transducer into the
9 pedicles of lumbar spine. Dimensions can be varied to suit pedicle morphology differences in
10 lumbar, cervical or thoracic pedicle bones. In some embodiments, the plurality of ring
11 configurations 700 are arranged adjacent to one another in a cylindrical configuration, each ring
12 forming a row in the cylindrical configuration. Such a configuration allows for multiple cross-
13 sectional images to be taken concurrently, which can then be configured to form a three
14 dimensional image of the bone structure surrounding the transducer array.

15 **[00089]** In some embodiments, the transducer elements in every other row of the plurality
16 of rings are transmitters and the transducer elements in the rows between the transmitters are
17 receivers (Fig. 7 and Fig. 8). This design is particularly useful when the ultrasound signal is Chirp
18 Modulated, at least because chirp modulated ultrasound signals comprise transmitted pulses that
19 are longer in length than un-modulated signals. Longer pulses allow for a possibility of overlap
20 between transmitted and received signals. As a result, in some embodiments, the transducer
21 array imaging system could employ this alternative row design to overcome potential signal
22 overlap.

23 **[00090]** Referring to Fig. 8, shown is a schematic of a linear array that sits at a specific
24 angle sector. Putting together a number of these arcs will generate a multi-ring or multi-row
25 array of a cylindrical transducer. The dimensions shown in Fig. 8 are exemplary and not limiting.
26 Referring to Fig. 8, each sector includes a single element at a circular cross-section and there
27 are 32 elements across the circumference, 8 of which are to be employed simultaneously to
28 obtain focused angular images. The dimensions are shown as a proof of principle, particularly
29 for fitting into an example subject such as the pedicles of lumbar spine. Accordingly, the
30 dimensions vary based on variability of pedicle morphology from lumbar to cervical (neck) or
31 thoracic (chest) spine.

32 **[00091]** In some embodiments of the present invention, the annular ultrasound transducer
33 array 901 is configured to be mounted on or in a tool 902 (e.g. a drill bit) for probing or

1 cannulating bone (Fig. 9). The device 900 integral with the array 901 is shown inserted within
2 the anatomical structure of a target subject.

3 **[00092]** In other embodiments, the transducer array is integrated with a tool for probing or
4 cannulating bone (e.g. as shown in Fig. 9A). For example, the transducer array of the present
5 invention can be mounted to or integrated with a tool for generating pedicle guide holes or a tool
6 used for pedicle screw placement.

7 **[00093]** Referring to Fig. 9A, shown is an ultrasound transducer array probe and handle
8 in accordance with one embodiment. The device comprises a surgical toolkit resembling
9 screwdriver geometry (e.g. tool bit 904), a transducer array embedded inside an epoxy (to
10 protect it from scratches from bone) 903 and a handle portion 905. The tool bit 904 is for
11 engaging with a treatment surface (e.g. bone tissue) and for penetration of same. The
12 transducer array 903 is configured for providing radial imaging from within the target, preferably
13 with a low frequency transducer as described herein to allow for penetration of the tissue while
14 considering signal to noise ratio of the captured image, and preferably having relatively small
15 dimensions. Fig. 9A provides a 32 element ultrasound transducer probe configured for imaging
16 the pedicle bone radially, from within, without mechanical rotation of the element (e.g. transducer
17 array 903). In one aspect, the transducer array 903 is driven using electronic steering rotation in
18 order to obtain cross sectional images (e.g. 360 degree radial imaging) from the pedicle bone.
19 Referring to the bottom right image in Fig. 9A, shown is the transducer array 903 mounted on a
20 stainless steel rod 904 connected to an electrical matching circuitry 908 that reduces the signal
21 loss as the signal travels through the electronic components. An electrical connector socket 906
22 is used to interface the hardware to an ultrasound console, whereby the custom-developed
23 software is employed (as described on Fig 3) for facilitating the capturing of images and
24 communicating the reflected echo information (e.g. one or more of control parameters 310 shown
25 in Fig. 3, such as but not limited to: control of timing, delays, direction, electronic focusing, and
26 number of transducer elements engaged at one time for sending the ultrasound waves)

27 **[00094]** It is contemplated that in some embodiments the transducer array 903 is driven
28 by a motor (e.g. a stepper motor with radial rotation) rather than, or in addition to, electronic
29 steering.

30 **[00095]** Referring again to Fig. 9A, the transducer array 903 is preferably a 32 element
31 ultrasound imaging array, operating in a low frequency range. The transducer array 903 consists
32 of 32 transducer elements disposed on a cylindrical configuration and embedded within a coating
33 such as an epoxy that protects the elements from scratches from interaction with the bone. In
34 one aspect, the array is configured for being coupled with a tool bit (e.g. a screwdriver tip).

1 **[00096]** It is contemplated herein that devices of the present invention could be sterilized
2 and reused in surgery, at least for example, by using low-temperature sterilization methods, for
3 example, those involving hydrogen peroxide or ethylene oxide gas.

4 **[00097]** A skilled artisan will appreciate that various embodiments of the present invention
5 are advantageous relative to x-ray technologies. For example, ultrasound technology offers
6 portability, increased safety and decreased cost, relative to x-ray and ultrasound imaging can
7 provide real time feedback to a surgical team without causing deviation from routine surgical
8 workflow.

9 **[00098]** It is contemplated herein, that in some embodiments, the speed of sound in the
10 media in which the wave is propagating can be adjusted depending upon the osteoporosity of the
11 target bone. For example, the user has the option of investigating the resolution of the design
12 parameters when the wave is travelling purely in bone, purely in blood, or even in a mixed media
13 with ratios such as 30%-70%, 50%-50%, etc. In some embodiments, the user could select
14 incorporation of attenuation in the results.

15 **[00099]** It is contemplated herein that annular ultrasound transducers of embodiments of
16 the present invention can transmit excitation-coded ultrasound signals and that echoes of coded
17 signals can be decoded after receipt in the transducer element(s). It is contemplated that
18 methods of signal excitation coding, such as chirp modulation, golay coding and those described
19 in U.S. Provisional Patent Application titled "Ultrasonic Signal Processing for Bone Sonography",
20 filed May 24, 2013, which names the inventors of the present application as inventors, can be
21 used with embodiments of the transducer array and/or method.

22 **[000100]** Some aspects of the present invention involve a method for producing a cross-
23 sectional image of bone using an ultrasound system. The method comprises acquiring
24 ultrasound data by: i) transmitting a plurality of low frequency ultrasound signals directed radially
25 at a bone to be imaged, wherein the signals are reflected by features within the bone to produce
26 echoes; ii) measuring the echoes, wherein the measured echoes include echoes reflected from
27 multiple spatial locations within the bone; and producing an image of the bone from the received
28 echoes.

29 **[000101]** In some embodiments, the method for producing an image of bone using
30 ultrasound further comprises noise reduction by signal (image) averaging. In this method, a
31 plurality of ultrasound signals (modulated or un-modulated) is transmitted at the bone to be
32 imaged (e.g. waves 311 shown in Fig. 3). The echoes of these signals 312 are received and
33 averaged (e.g. via echo processor 309). Averaging reduces the random noise relative to the

1 signal. Transmitting a plurality of ultrasound pulses and averaging the received echoes 312 of
2 the pulses allows generation of a plurality of images from which an average can be taken, which
3 minimizes the effect of random noise. The process of averaging multiple images is preferable
4 when the target is invariant, such as bone.

5 **[000102]** In some aspects, the image produced using embodiments of the method can be
6 a real time image. As used herein, a real time image is generated in a range of micro- to
7 milliseconds. For example, if 32 rotations of the annular transducer array are required, and an
8 image is generated every 10 μ secs, 32 images would be generated in 320 μ secs. If time delays
9 for electronic transmission and data processing are added to this time, an image generated in
10 less than a second is feasible. Such an image is referred to herein as a real time image.

11 **[000103]** In some embodiments, the annular ultrasound transducer device having a single
12 ring configuration, as disclosed herein, can be used to transmit and receive ultrasound signals
13 311 and echoes 312 in the method. Such a device can be configured to communicate with an
14 imaging processor (e.g. processor 316 in Fig. 3) to produce a cross-sectional image of the bone,
15 using the method of the present invention (e.g. as shown in Fig. 3).

16 **[000104]** In some embodiments, the annular ultrasound transducer device having a
17 plurality of ring configurations, as disclosed herein, can be used to provided the present
18 invention. Such a device can be configured to communicate with an imaging processor (e.g.
19 processor 316 in Fig. 3) to produce a three-dimensional cylindrical image of the bone.

20 **[000105]** In some embodiments, the method involves using an annular ultrasound
21 transducer that is mounted to or in or integrated with a tool that can be inserted into the bone to
22 be imaged (e.g. as shown in Fig. 9 and 9A).

23 **[000106]** In some embodiments, the method involves directing forwardly ultrasound signals
24 from at least one of the transducer elements relative to the insertional trajectory of the tool, such
25 that the image produced from the received echoes is conical image, wherein the base of the
26 cone is ahead of the tool along the insertional axis. Generation of a real time image that includes
27 both cross-sectional and forwardly directed spatial information is advantageous, at least because
28 it provides the user with information regarding the present location of the transducer array
29 relative to the three-dimensional anatomy of the bone being imaged. (FIG. 10)

30 **[000107]** In some embodiments, beams are focused to look ahead at an angle relative to
31 the axis of tool insertion. When electronic delays are introduced to each transducer element in
32 the annular transducer, the device can conduct linear sweeping in order to focus the sound

1 waves at an angle relative to the axis of insertion (for example 30 degrees) and hence can “look
2 ahead.” (FIG. 10)

3 **[000108]** Referring to FIG. 11, phased array techniques, such as introducing electronic
4 delays to the timing that each transducer element fires, can be used to shift the focal spot of the
5 ultrasound signals (e.g. as stored within the control parameters 310 for use by the control module
6 305 in controlling the operation, selection triggering, timing and/or phase of one or more
7 transducer elements 302). This allows the user to look ahead in the targeted pedicle, to see
8 cross-sections of bone that have not yet been reached by the surgical tool, thereby providing
9 means for the surgeon to avoid breaching out the pedicle’s cortical bone. Accordingly, such
10 electronic delays can be stored as control parameters 310 for use by the control module 305
11 and/or processor 307 for controlling the operation, selection and timing of one or more
12 transducer elements 302 as defined by the control parameters 310.

13 **[000109]** In some aspects, a method for predicting pedicle cortical breach is provided (FIG.
14 12). In some embodiments the method comprises inserting into the pedicle a tool comprising an
15 annular ultrasound transducer, such as, for example, the annular transducer set forth in Example
16 2. In some embodiments of the method, the annular transducer is in communication with a
17 computer processor (e.g. processor 316 of the computing device 302 in Fig. 3). Together, the
18 transducer 301 and computer processor 316 are used to acquire the data required to produce a
19 conical image of a pedicle bone, as described above. It is contemplated that the conical image
20 acquired would include information regarding the location of the cortical boundary of the pedicle
21 and relative to the inserted tool, which carries the annular array. It is contemplated that such an
22 image could be used to predict the possibility for cortical breach based on the current insertional
23 trajectory of the tool and the spatial relationship (e.g. radial distance between the tool and the
24 cortical layer) of the tool relative to the cortical boundary. This information can be used to
25 address the question “is the radial distance safe to continue drilling in the same trajectory (FIG.
26 13). If so, the user of the device (e.g. surgeon) continues drilling, unalerted. However, if the
27 distance is deemed unsafe, then the processor (e.g. processor 307 in communication with
28 processor 316) alerts the surgeon, reporting the estimated distance to breach.

29 **[000110]** In some embodiments, a method for predicting cortical breach is provided.
30 Referring to FIG. 14, 15, and 16, the radial distance between the surgical tool and the cortical
31 boundary of the pedicle is calculated. If the distance from the boundary is sufficient (e.g. at least
32 1-3 mm) the user is not alerted.

33 **[000111]** Implementation of the method referred to in Figs. 14-16 involves use of the
34 annular ultrasound transducer having a plurality of ring configurations, wherein transducer

1 elements positioned on different rows are associated with specific angles that together form a
2 line that coincides with a best-fit line (formed using the Least Squares Fitting method) that
3 passes through the detected cortical walls at various cross-sections of a pedicle being probed.
4 The length remaining prior to such a coincidence determines when a potential breach could
5 occur at a given insertion trajectory (FIG. 15). This algorithm is particularly useful over short
6 distances.

7 **[000112]** It is contemplated herein that in some embodiments of the present invention, the
8 method can be used in conjunction with a medical image (e.g. MRI / CAT scan) of the targeted
9 patient-specific pedicle anatomy to predict potential breaches of the pedicle. In some
10 embodiments, a patient appearing in the operating room has with them at least one medical
11 image such as CT or MRI of their spine. The method utilizes pre-operative and / or intra-
12 operative three-dimensional images of a particular pedicle in conjunction with ultrasound imaging
13 (e.g. as provided to the transducer array 301 from the computing device 302 and stored in
14 database 317 or provided via user input 318) to guide drilling for the purposes of pedicle screw
15 placement

16 **[000113]** Referring to Fig. 17, if the depth of probe insertion is known (for example, by
17 using scale bars on tool housing), software in communication with the annular ultrasound
18 transducer having a plurality of ring configurations can be used to image various depth-specific
19 cross-sections of the pedicle on a patient's scans and to provide an estimate of the curvature of
20 the cortical wall of the pedicle being imaged. Reference to a patient's prior medical image of a
21 pedicle (e.g. predefined parameters as stored on prior knowledge parameters 317 or provided
22 via user interface 313) can be used as an alternative to the best-fit line algorithm described
23 above. One advantage of using patient-specific scans is enhanced accuracy based on specific
24 morphological information, particularly over predictions made of longer distances (e.g., > 5 mm).

25 **[000114]** In order to achieve a better accuracy for potential cortical breach prediction,
26 cross-sectional images can be overlapped on top of the pre- or intra-operative CT or MRI images
27 of the specific target pedicle. The overlap, referred to herein as registration of multiple images
28 together, illustrates how deep the drilling or insertion is relative to the patient's pedicle
29 morphology. This is achieved by a scale bar on the transducer array device that shows the
30 distance from the pedicle's guide hole opening relative to the closest circumferential sensors
31 ring. Methods for image registration and overlap (also known as Computer Assisted Surgical
32 Systems, CAS) are known in the art and can be applied to the images obtained using the
33 ultrasound array, particularly when having access to patient scans. Examples for these
34 techniques include, but are not limited to those described in U.S. Patent Publication No.

1 2011/0069867, U.S. Patent No. 7,771,436 and algorithms used for Least-Squares fitting of two
2 three-dimensional point clouds, such as the one named after "Arun".

3 **[000115]** It is contemplated herein that embodiments of the methods are useful for
4 screening bone tissue for evidence of osteoporosis. Ultrasound is safer, more portable and
5 affordable than x-ray bone scans. In some embodiments, the ultrasound transducer of the
6 present invention can be used by a medical practitioner to diagnose the health or disease state
7 of bones that have limited access. For example, if a certain bone tissue has a known thickness
8 but the ultrasound image generated using the transducer array of the present invention illustrates
9 a longer distance than the known thickness, then the difference between the known thickness
10 and measured distance would be indicative of a degree of osteoporosis. Indeed, a slower speed
11 of ultrasound propagation would indicate less dense bone.

12 **[000116]** The invention will be more fully understood upon consideration of the following
13 non-limiting Examples.

14 **[000117]** **EXAMPLES**

15 **[000118]** **Example 1: Cylindrical transducer array configured in a single ring.**

16 **[000119]** Example 1 describes an annular array ultrasound transducer that is particularly
17 useful for circumferential imaging of a single cross-section of bone tissue. The annular
18 dimensions described herein, illustrate proof of principle, and are particularly useful for ultrasonic
19 investigation of pedicle bones of the lumbar spine.

20 **[000120]** An exemplary low-frequency annular ultrasound transducer array was designed.
21 Acoustic field simulation models were used to determine that an array having 32 elements with
22 an aperture size of 4-8 elements (i.e., total number of active firing elements at a given time)
23 provides a device having a good balance between image quality, practicality of use and cost-
24 effective device fabrication. The annular rotational geometry of this design allows for improved
25 focus of ultrasound waves and therefore improved image resolution and quality relative to a
26 device having a single-element transducer (FIG. 6). Fig. 6 illustrates a graphical output of a
27 schematic simulation depicting sound pressure profiles comparing the user of rotational phased
28 arrays as compared to single element transducers. As illustrated in Fig. 6, it can be seen that the
29 use of rotational phased arrays (as opposed to single element transducers) could lead to better
30 focusing of the ultrasound waves, which results in sharper, clearer images as output from the
31 image processor 316.

1 **[000121]** Device Electronics: A combination of flex circuit and substrate with electrical path
2 were used to wire individual elements to a coaxial cable. The following strategies were used for
3 connecting the transducer with micro coaxial cables:

4 **[000122]** Flex circuit on the back and front layers for electrical connection, the flex circuit
5 sample having a single 20 micron layer (e.g. other 20-80 μ m layer may be envisaged). An
6 electrical matching transformer with a 1:16 winding ratio was used. A coaxial cable bundle was
7 used to wire the 32 elements and ground electrodes. Multiplexors were used to facilitate
8 minimization of the circuitry.

9 **[000123]** Device hardware dimension and material: Referring to FIG. 2A, $\frac{1}{4}$ wavelength
10 matching layers, (silver epoxy, Parylene), with 4 mm elevation; PZT thickness: 1.025 mm.
11 Matching layer 1: silver epoxy: 0.16 mm; matching layer 2: parylene, LDPE: 0.27 mm; backing
12 layer: epoxy loaded with tungsten/alumina:1.57 mm, -6db bandwidth (full width at half maximum):
13 69%.

14 **[000124]** Referring to FIG. 17, the cylindrical transducer array models described above had
15 an electrical resistance of approximately 50 Ω for each ultrasound element.

16 **[000125]** Electrical Impedance: Referring to FIG. 18, electrical matching required for the
17 low-frequency geometric array indicated around -8 dB (i.e., ~ 40% ratio) energy loss at the
18 interface of electronics with the acoustics hardware. An ideal transformer having a 1:16 ratio was
19 applied.

20 **[000126]** Design software: Referring to FIG. 19, a simulation modeling program based on
21 the open-source software 'Field_II' was created for designing the low-frequency rotational
22 phased arrays disclosed herein. The design software and our graphical user interface generated
23 for that are shown on Fig 19. The simulation parameters and design characteristics were used to
24 fabricate and setup the device consistent with operation of the application. The following
25 exemplary input parameters were used to predict the behavior of the single ring transducer array
26 described above:

- 27 1. center frequency of the design probe;
- 28 2. bandwidth (range of the frequencies) of the design probe;
- 29 3. dimensions of the probe, (height and total diameter);
- 30 4. total number of elements around the circumferential ring; and

1 5. aperture size (total number of elements active at a given point in time).

2 **[000127]** The software program generated plots of the sound pressure field in various
3 settings separately (e.g., 2D acoustic profile, axial, lateral and elevation profiles), using the input
4 parameters to generate a numerical value for image resolution in different directions.

5 **[000128]** **Example 2 (Prophetic): Annular transducer array configured in multiple**
6 **rings.**

7 **[000129]** Example 2 is a prophetic description of an annular ultrasound transducer that is
8 particularly useful for generating multiple cross-sectional images of pedicle bone.

9 **[000130]** Typically, a piezoelectric material is chosen from a broad range of chemical
10 compounds, the most common two materials being PZT (lead zirconate titanate) or PVDF
11 (polyvinylidene fluoride). PZT provides a better sensitivity for imaging; however PVDF provides a
12 wide-band performance in the frequency spectrum and is also more flexible for fabricating non-
13 routine geometries. As an example for designing the thickness, PVDF is considered further here.
14 PVDF has an associated speed of sound of 1500 [m/s]. The thickness of the PVDF element for
15 imaging at a center frequency of 2 MHz needs to be at least: $\lambda/2 = c / 2f = 2250 \text{ [m/s]} / (2 * 2$
16 $\text{ [MHz]}) = 562.5 \text{ [}\mu\text{m]}$ For fabrication purposes, it is reasonable to round up to a practical value of
17 750 [μm]. FIG. 7 depicts an exemplary cylindrical transducer array having multiple ring
18 configurations as set forth in the present invention.

19 **[000131]** **Example 3 (Prophetic): Cortical breach prediction using a cylindrical**
20 **transducer array configured in multiple rings.**

21 **[000132]** Example 3 is a prophetic description of the cortical breach prediction, a method
22 disclosed herein. In this method, ultrasound signals are radially transmitted and echoes
23 received, as described above. As commonly implemented in the field, the amplitude of the
24 echoes are converted into grayscale color map in order to arrive at the ultrasound brightness
25 mode (B-mode) images for multiple cross-sectional images of the target bone.

26 **[000133]** Referring to FIG. 20, the brightest pixels on a B-mode image (i.e. pixels with
27 normalized intensity > 0.8) are chosen as an arc (or few arcs). The arc is traced over the
28 cannulation length for multiple cross sections at a specific time. For any fixed angle
29 corresponding to middle of the arc (shown as angle θ in FIG. 5), if the radii of the images (r)
30 follow the pattern of " $r_4 < r_3 < r_2 < r_1 < r_0$ " at θ and " $r_4 > r_3 > r_2 > r_1 > r_0$ " at $\theta + 180^\circ$, then the
31 trajectory chosen by the user is incorrect and will cause a pedicle breach if insertion is continued.
32 Multiple cross sectional images are shown in FIG. 21.

1 **[000134]** Based on the concept depicted in its simplest form on FIG. 22, the distance until
2 potential cortical breach is calculated. If this distance is within the pedicle length, the user is
3 alerted. Referring to Fig. 22, there is depicted a cannulation trajectory through a pedicle (marked
4 in square) relative to the dorsal (marked in diamond) and vertical (marked in triangle) cortical
5 layers. The trajectory shown will breach the dorsal cortical layer if its direction is not changed.

6 **[000135]** Referring to Fig. 23, shown are the properties of three different transducers,
7 custom-designed and fabricated for bone sonography. The table summarizes the dimensions, as
8 well as the types of fabricated materials used in the various designed transducers. The
9 exemplary designs include: particle-loaded epoxy backed ceramic transducer, an air backed
10 ceramic transducer, and a composite transducer (*i.e.* a mixture of ceramic based and polymer
11 based transducers). In some embodiments, the composite structure may be preferable for bone
12 sonography, at least because, where needed, by eliminating lateral and diagonal oscillation
13 modes and introducing improved acoustic impedance matching, better sensitivity can be
14 achieved relative to a ceramic based transducer. The epoxy- and air-backed ceramic designs are
15 useful, for example, where wider bandwidth and higher resolution is desired. Parameters can be
16 adjusted based on the user's preference and subjects anatomy and/or conditions such as
17 osteoporosis.

18 **[000136]** Referring to Figs. 24-28 shown are exemplary screen views of a graphical user
19 interface for a custom-developed C++ / C# software that controls all the imaging driving
20 parameters and depicts the captured images and raw data from the ultrasound transducer
21 illustrated in Fig 7.

22 **[000137]** A Demo interface allowing the user to initialize the hardware (please see top left
23 corner on the screen), select various transducers connected to the ultrasound console through
24 various slots (please see middle top feature, called SSM (SLOT 1) and start / stop imaging and
25 save the Radio-frequency raw data as required. Is provided (see top right corner on the screen),

26 **[000138]** A two-dimensional linear map of the RF data (Radio-Frequency raw data) is
27 provided on the left side of the screen. In this image, it is assumed that the 32 elements are
28 sitting on the top and that each vertical line is a one-dimensional pulse-echo RF data.

29 **[000139]** A two-dimensional linear map of the B-mode data (brightness mode data) in the
30 middle of the screen. In this image, it is assumed that the 32 elements are sitting on the top and
31 that each vertical line is a one-dimensional pulse-echo RF data, being envelope detected, using
32 available filters (e.g. Hilbert transform). The patterns seen on the top of this linear B-mode image
33 are indicative of transmission signal combined with noise and speckle and are typically

1 substantially static. The patterns seen towards the bottom half (depending on the distance of the
2 imaging target echo) of this linear B-mode image are indicative of the echoes due to boundaries
3 of the hollow structure of imaging interest. Such echo patters are typically motion-dependent and
4 therefore vary in response to slight movement.

5 **[000140]** A Scan-Converted image (actual radial images from within the hollow structure
6 being imaged) is provided on the right side of the screen. In this image, it is assumed that the 32-
7 element phased array (e.g. component 301, in combination with the computing device 302 for
8 providing the images via the display 315) is sitting in the middle of the Scan-converted image
9 (the black circle in the center) whereby each of the vertical lines on the linear B-mode image
10 constitutes radial data on a single angle, and the data associated with the angles in between two
11 radial data are obtained based on a mathematical technique typically used in ultrasound
12 systems, known as interpolation. The interface can provide a scale bar for reference purposes.

13 **[000141]** A “cine” feature enabling the obtained images to be played back in a cinematic
14 loop is provided (see image sections with the scroll icon below the Scan-Converted image).

15 **[000142]** Referring further to Figs. 24-28 shown are exemplary screen views of a graphical
16 user interface of the custom-developed software that allows the user to employ various number
17 of transducer array elements in imaging (see the section named “Sequencing” on the bottom left
18 corner of the graphical user interface) For example, the user can select to use four, eight or one
19 element at a time for imaging.

20 **[000143]** Referring further to Figs. 24-28 shown are exemplary screen views of a graphical
21 user interface of the custom-developed software that allows the user to use the section “RF-to-B”
22 (Radio-Frequency to Brightness mode ultraound image) in order to adjust the frequency
23 spectrum of the transmission signal and dynamic range of the image. (see the section named
24 “RF-to-B” on the bottom of the graphical user interface)

25 **[000144]** Referring further to Figs. 24-28 shown are exemplary screen views of a graphical
26 user interface of the custom-developed software that allows the user to vary geometric settings
27 (such as the diameter of the array, the element spacing, pitch, etc.), and the post-processing
28 features common to many ultrasound imaging system, such as Time-Gain Compensation and
29 Speckle Reduction techniques. (see the section named “Scan Convert” on the bottom right
30 corner of the graphical user interface)

1 **[000145]** Referring to Fig. 28 shown are exemplary screen views of a graphical user
2 interface of the custom-developed software that allows the user to disable all the technical
3 options and controlling or processing features, in order to just see the clinically relevant images.

4 **[000146]** The transducer array was tested on the following experiments: 1. Detecting the
5 edges of a glass test tube filled with water surrounding the array; 2. Detecting the cortical layer of
6 human pedicle bone samples.

7 **[000147]** Referring to Figs. 24-25, shown are exemplary screen views of a graphical user
8 interface of the custom-developed software when used to test the capability of the array 301 in
9 detecting the edges of a glass test tube filled with water surrounding the array. The array 301
10 and the glass boundaries of the hollow structure are labeled both on the Scan-converted and
11 linear B-mode images.

12 **[000148]** Referring to Figs. 26-27, shown are exemplary screen views of a graphical user
13 interface of the custom-developed software when used to test the capability of the array 301 in
14 detecting the cortical layer of a human pedicle bone sample (thoracic, T10 level). The array 301
15 and the cortical layer boundaries of the pedicle's structure are labeled both on the Scan-
16 converted and linear B-mode images.

17 **[000149]** Referring to Fig. 29, shown is an example of a cylindrical phase array ultrasound
18 transducer. Top images show the array that eliminates the need for mechanical rotation and
19 which generates radial images from within the pedicle bore hole. Bottom image illustrates
20 computer simulations of acoustic pressure profiles that suggest that the use of a phased
21 cylindrical array (e.g. 301) in combination with the computing device 302 for providing the images
22 via the display 315 (as shown in Fig. 3) could be advantageous in some embodiments, as it
23 could provide improved focusing and higher resolution images.

24 **[000150]** Although the invention has been described with reference to certain specific
25 embodiments, various modifications thereof will be apparent to those skilled in the art without
26 departing from the purpose and scope of the invention as outlined in the claims appended
27 hereto.

28 **[000151]** Any examples provided herein are included solely for the purpose of illustrating
29 the invention and are not intended to limit the invention in any way. Any drawings provided
30 herein are solely for the purpose of illustrating various aspects of the invention and are not
31 intended to be drawn to scale or to limit the invention in any way. The disclosures of all prior art
32 recited herein are incorporated herein by reference in their entirety.

CLAIMS:

1. An annular ultrasound transducer array comprising:

a plurality of transducer elements arranged in a ring configuration, wherein the plurality of transducer elements comprises elements configured to transmit ultrasound signals and elements configured to receive ultrasound echoes, and wherein the ultrasound signal is transmitted at a frequency in a range of 0.5 to 5 MHz.

2. The annular ultrasound transducer array of claim 1, further comprising a plurality of the ring configurations, wherein the plurality of ring configurations are arranged adjacent to one another in a cylindrical configuration, each ring forming a row in the cylindrical configuration.

3. The annular ultrasound transducer array of claim 1 or 2, wherein the transducer array is phased.

4. The annular ultrasound transducer array of claim 2 or 3, wherein the transducer elements in every other row are transmitters and the transducer elements in the rows between the transmitters are receivers.

5. The annular ultrasound transducer array of any one of claims 1 to 4, wherein the diameter of the ring configuration is in a range of 3 to 5 mm.

6. The annular ultrasound transducer array of any one of claims 1 to 5, wherein the transducer array is configured to be mounted on or in a tool for probing or cannulating bone.

7. The annular ultrasound transducer array of any one of claims 1 to 6, wherein the transducer array is integrated with a tool for probing or cannulating bone.

8. The annular ultrasound transducer array of claim 6 or 7, wherein the tool is for generating pedicle guide holes or pedicle screw placement.

9. The annular ultrasound transducer array of any one of claims 1 to 8, wherein the ultrasound signal to be transmitted is processed by coded excitation and wherein the received ultrasound echoes are processed by de-coding of coded excitation.

10. The annular ultrasound transducer array of any one of claims 1 to 9, wherein the plurality of transducer elements are configured to transmit ultrasound signals in a non-simultaneous, sequential manner.

11. The annular ultrasound transducer array of any one of claims 2 to 10, wherein the transmitted ultrasound signals are directionally focused at an angle less than 90 degrees relative to the longitudinal axis of the cylindrical configuration.
12. The annular ultrasound transducer array of any one of claims 1 to 11, wherein the transducer is in communication with an imaging processor.
13. The annular ultrasound transducer array of any one of claims 1 to 12, wherein the image is generated in real time.
14. A method for producing an image using an ultrasound system, the method comprising:
 - a) acquiring ultrasound data by:
 - i) transmitting a plurality of ultrasound signals directed outwardly at a bone to be imaged, wherein the signals are transmitted at frequencies in the range of 0.5 to 5 MHz, wherein the signals are reflected by features within the imaged object to produce echoes;
 - ii) measuring the echoes, wherein the measured echoes include echoes reflected from multiple spatial locations within the bone to be imaged;
 - b) producing an image of the bone from the received echoes.
15. The method of claim 14, wherein the outwardly directed ultrasound signals are transmitted by a plurality of transducer elements arranged in a ring configuration, wherein the echoes are received by the plurality of transducer elements, wherein the plurality of transducer elements are in communication with an imaging processor, and wherein the image produced is a cross-sectional image.
16. The method of claim 14, wherein the outwardly directed ultrasound signals are transmitted by a plurality of transducer elements arranged in a first plurality of ring configurations, wherein the echoes are received by a second plurality of ring configurations, wherein the first and second plurality of ring configurations are arranged adjacent to one another in a cylindrical configuration, each ring forming a row in the cylindrical configuration and wherein the image produced is a cylindrical or conical image.
17. The method of claim 16, wherein the plurality of adjacent rings are mounted to or in or integrated with a tool and wherein the tool is inserted in the object to be imaged.
18. The method of claim 17, further comprising ultrasound signals directed forwardly relative to the insertional trajectory of the tool, wherein the forwardly directed ultrasound signals are

transmitted from a plurality of the transducer elements and wherein the image produced is a conical image, wherein the apex of the cone is ahead of the tool along the insertional axis.

19. The method of any one of claims 14 to 18, wherein the imaged bone is a pedicle bone.
20. The method of any one of claims 14 to 19, wherein the image is generated in real time.
21. A method for predicting pedicle cortical breach, the method comprising:
 - a) inserting into the pedicle a tool comprising an annular ultrasound transducer;
 - b) acquiring ultrasound data by:
 - i) transmitting from the annular ultrasound transducer a plurality of ultrasound signals directed both outwardly and forwardly relative to the insertional trajectory of the tool, wherein the signals are transmitted at a frequency in a range of 0.5 to 5 MHz, wherein the signals are reflected by features within the pedicle to produce echoes;
 - ii) measuring the echoes using a the annular ultrasound transducer, wherein the measured echoes include echoes reflected from multiple spatial locations within the pedicle;
 - c) producing an image of the pedicle from the received echoes, wherein the image includes the cortical boundary of the pedicle, wherein a spatial relationship between the inserted tool and the cortical boundary is depicted in the image
 - d) predicting the possibility for cortical breach based on the image obtained in step c).
22. The method of claim 21, wherein the tool is a cannulation probe or drill.
23. The method of claim 21 or 22, wherein the ultrasound signals to be transmitted are processed by coded excitation and wherein the echoes are processed by de-coding.
24. A system for producing an image of bone using an ultrasound system, the system comprising:
 - a) a phased annular transducer comprising a plurality of transducer elements arranged in a ring configuration, wherein the plurality of transducer elements comprises elements configured to transmit ultrasound signals and elements configured to received ultrasound echoes, and wherein the ultrasound signal is transmitted at a frequency in a range of 0.5 to 5 MHz;
 - b) a tool configured to probe or cannulate bone, wherein the tool comprises the phased annular transducer;

c) an imaging processor in communication with the phased annular transducer;

d) an imaging display coupled with the imaging processor; and

e) an electronic controller coupled with the tool and the phased annular transducer, wherein the electronic controller is configured to control the operation of the tool to move the tool in a desired direction.

25. The system of claim 24, wherein the phased annular transducer further comprises a plurality of the ring configurations, wherein the plurality of ring configurations are arranged adjacent to one another in a cylindrical configuration, each ring forming a row in the cylindrical configuration.

26. The system of claim 24, further comprising:

a processor in communication with the array, the processor comprising a memory and instructions stored thereon, the instructions when executed for generating control signals to control transmitting the modulated ultrasound signal in accordance with pre-defined parameters.

27. The system according to claim 26, wherein the parameters comprise: a selection of one or more transducer elements for transmitting a modulated ultrasound signal from the ultrasound transducer array, a directional angle of the transmitted ultrasound signal, a focus direction of the ultrasound transducer array, and time delays for transmitting the ultrasound signal.

28. The method according to claim 1, further comprising: generating the image on a display in dependence upon the representative echo signal.

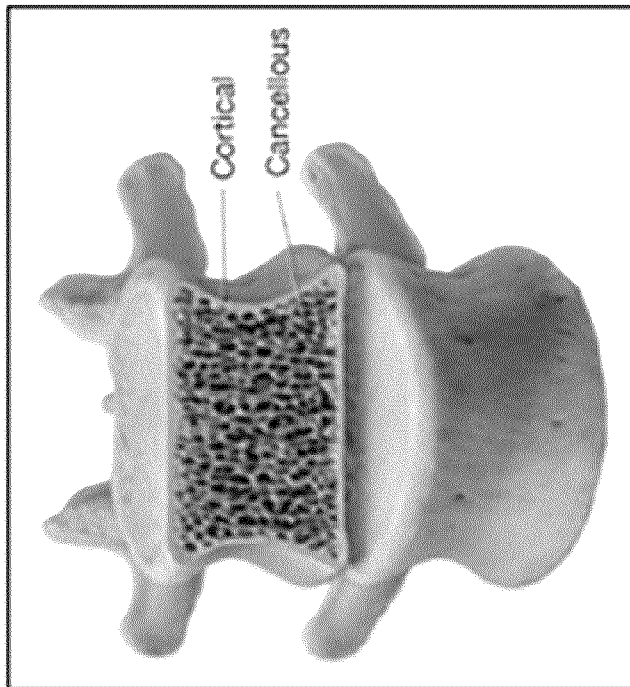
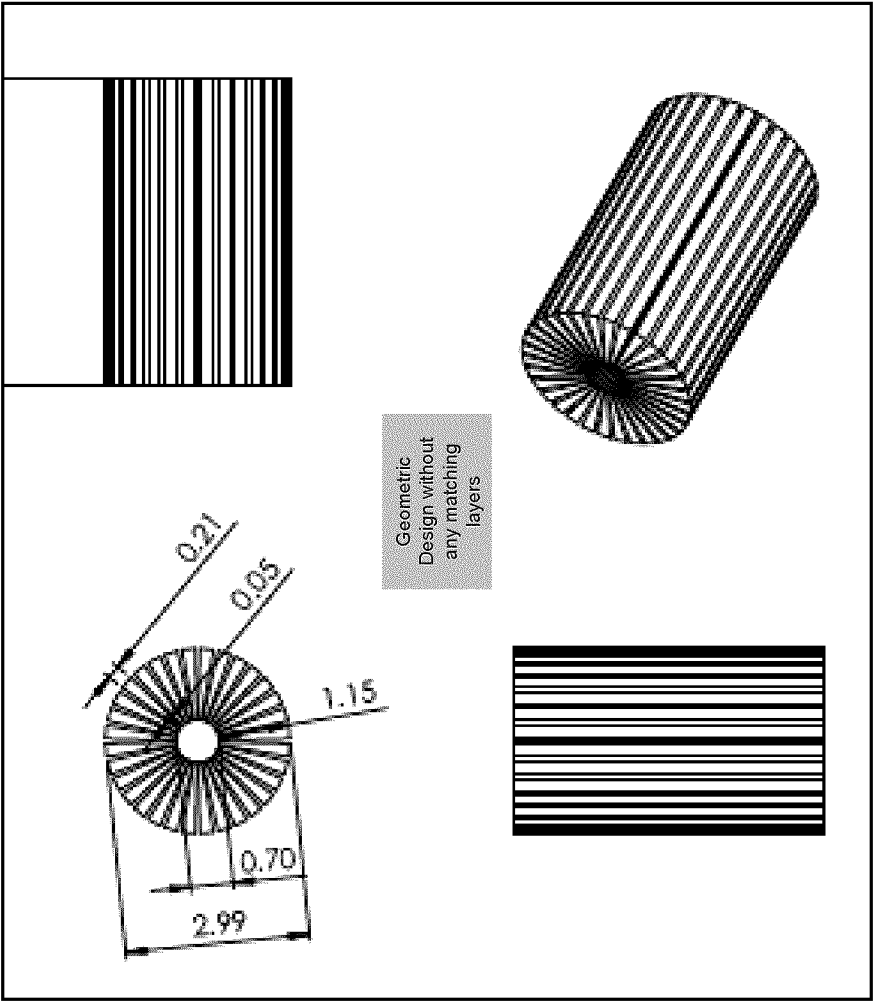


FIG. 1



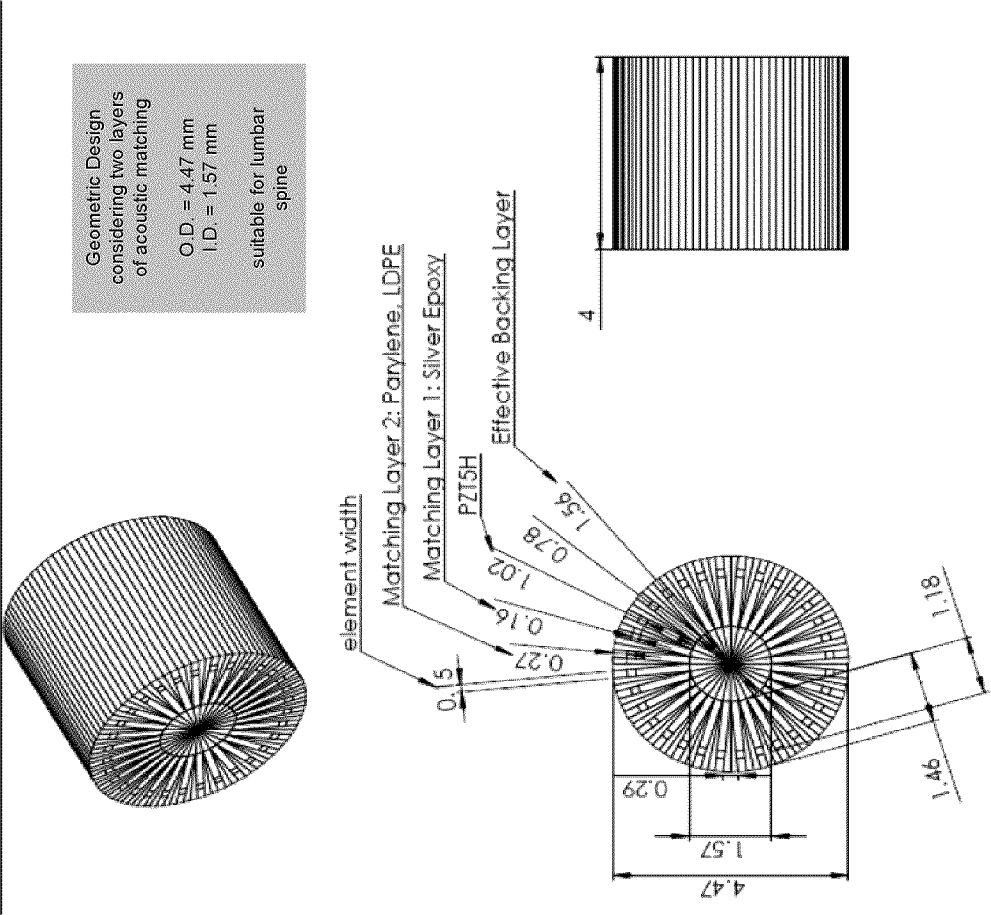


FIG. 2a

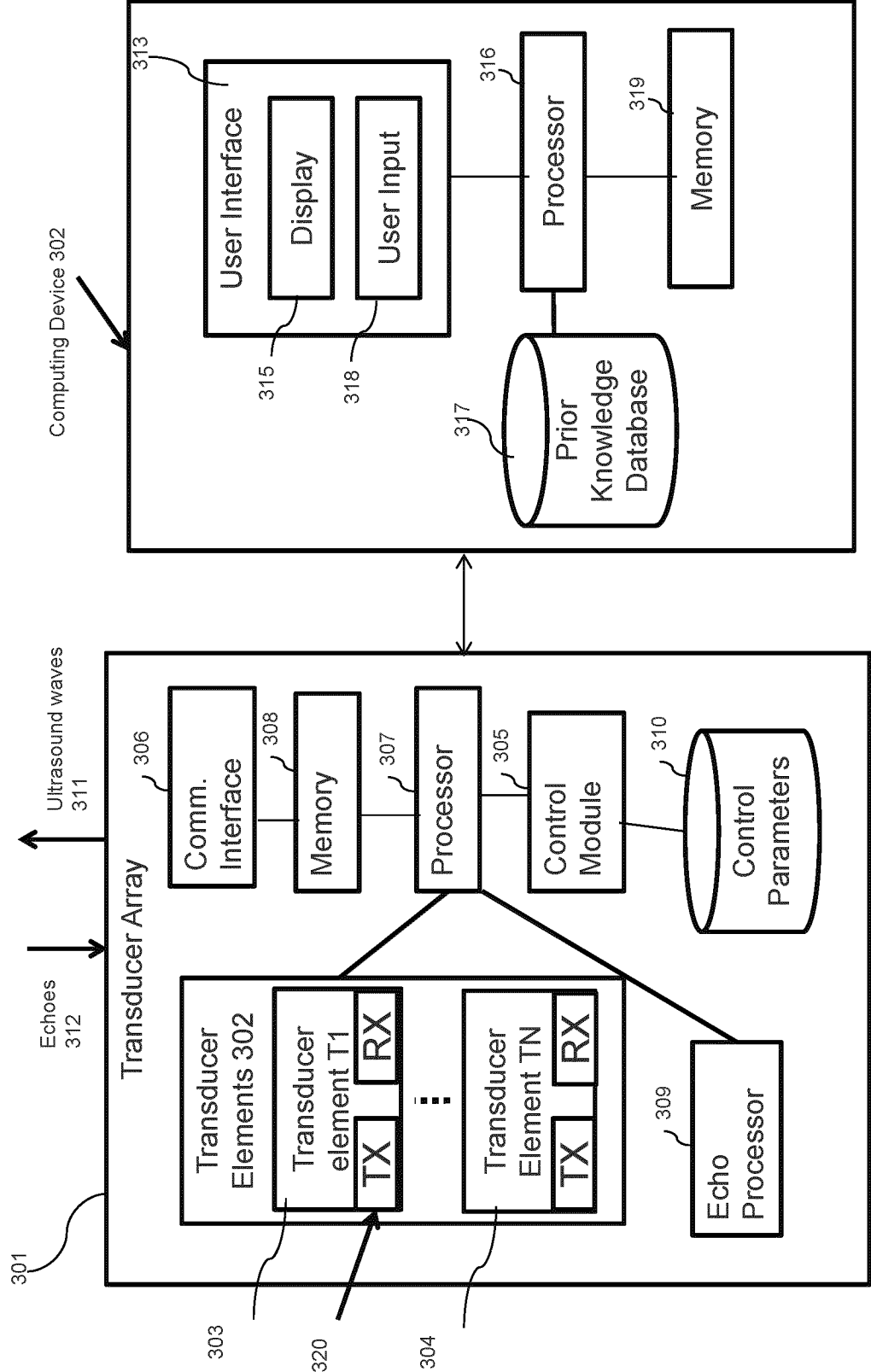


FIG. 3

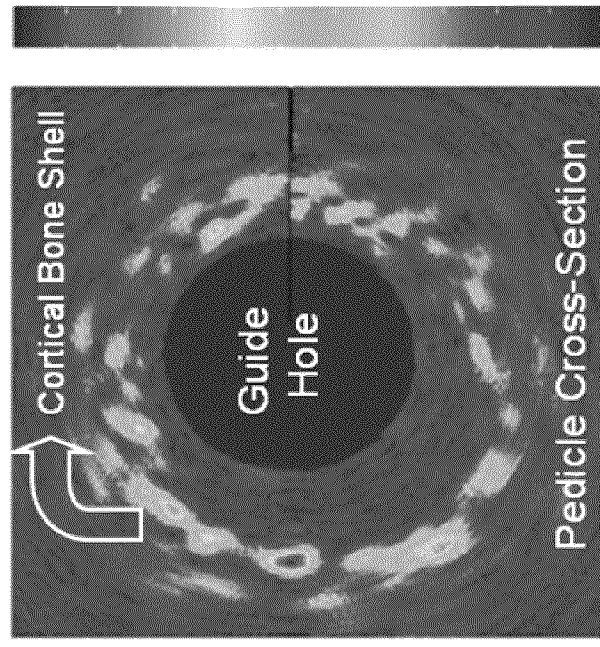


FIG. 4

Electronic Focusing for cross-sectional imaging

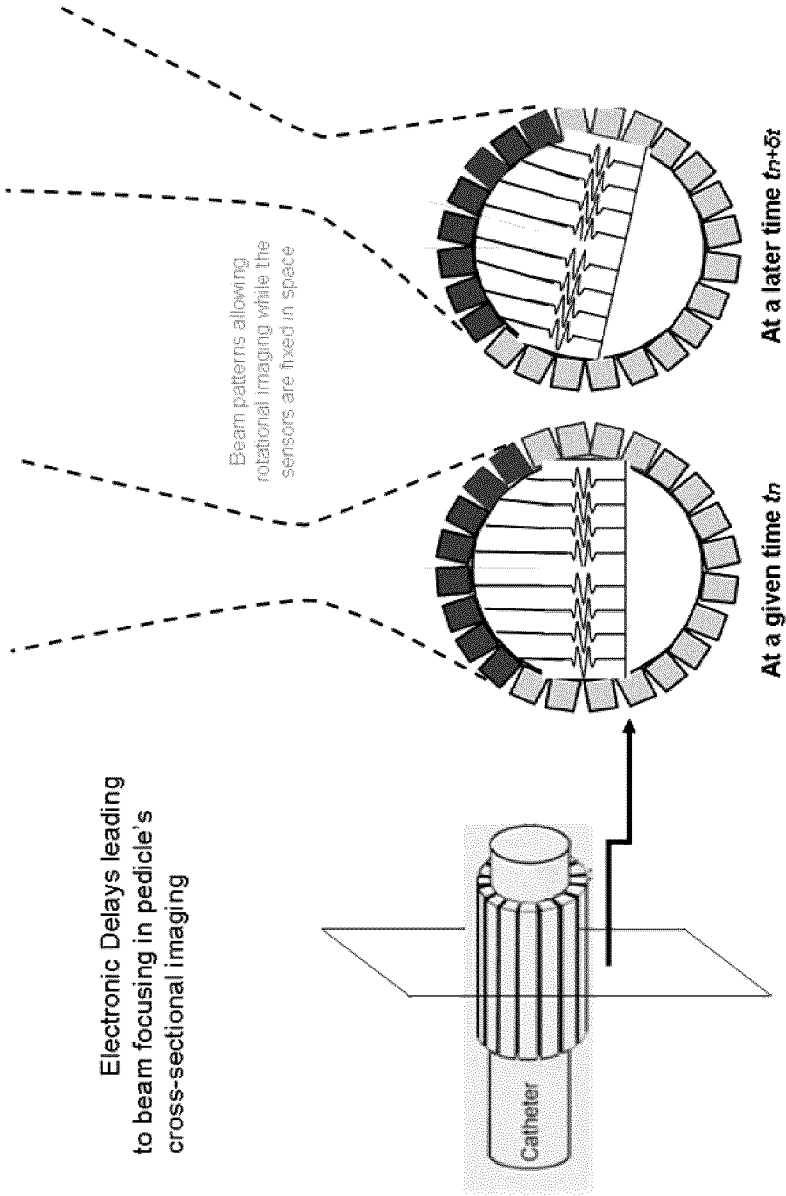


FIG. 5

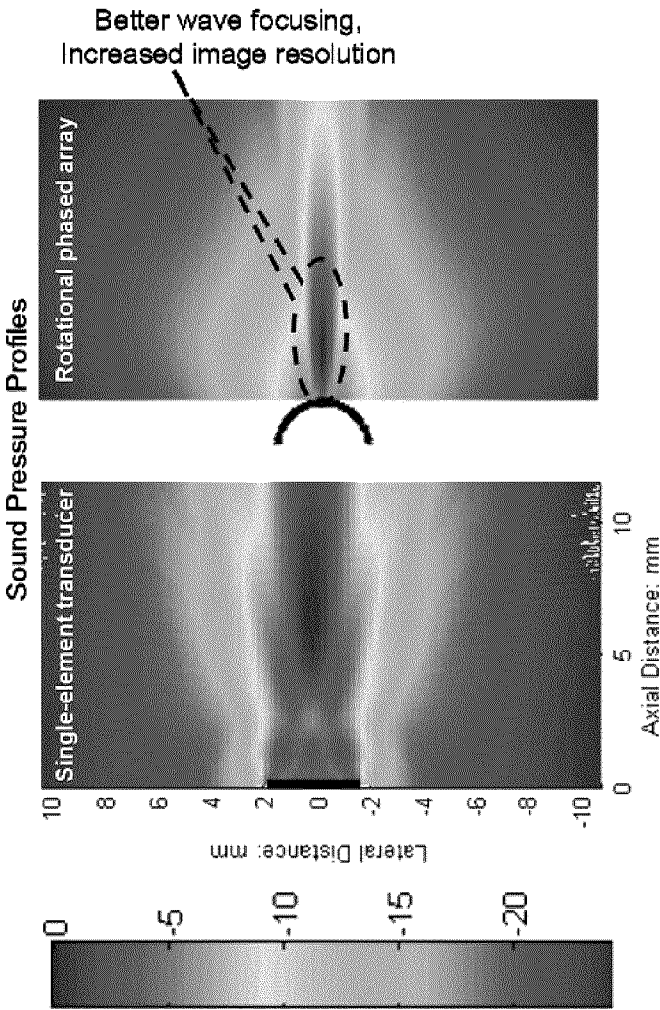


FIG. 6

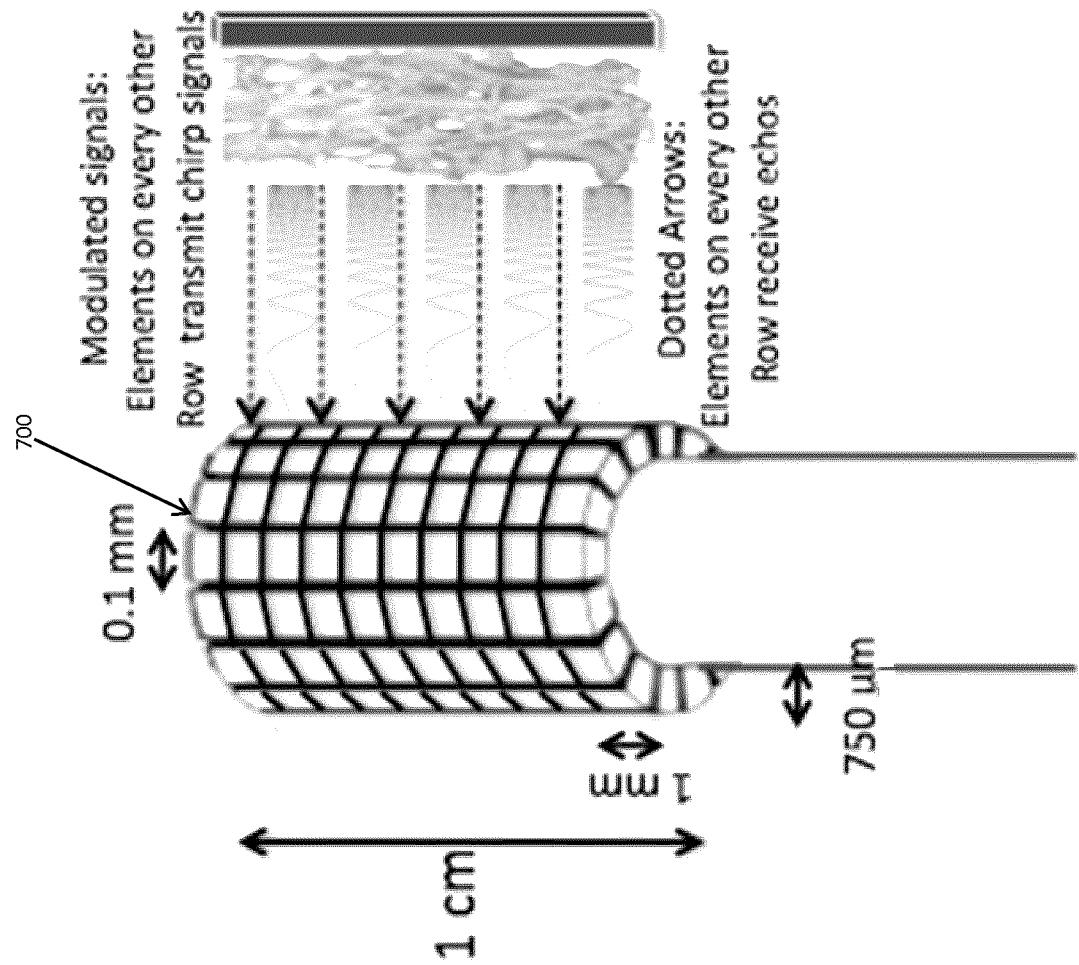


FIG. 7

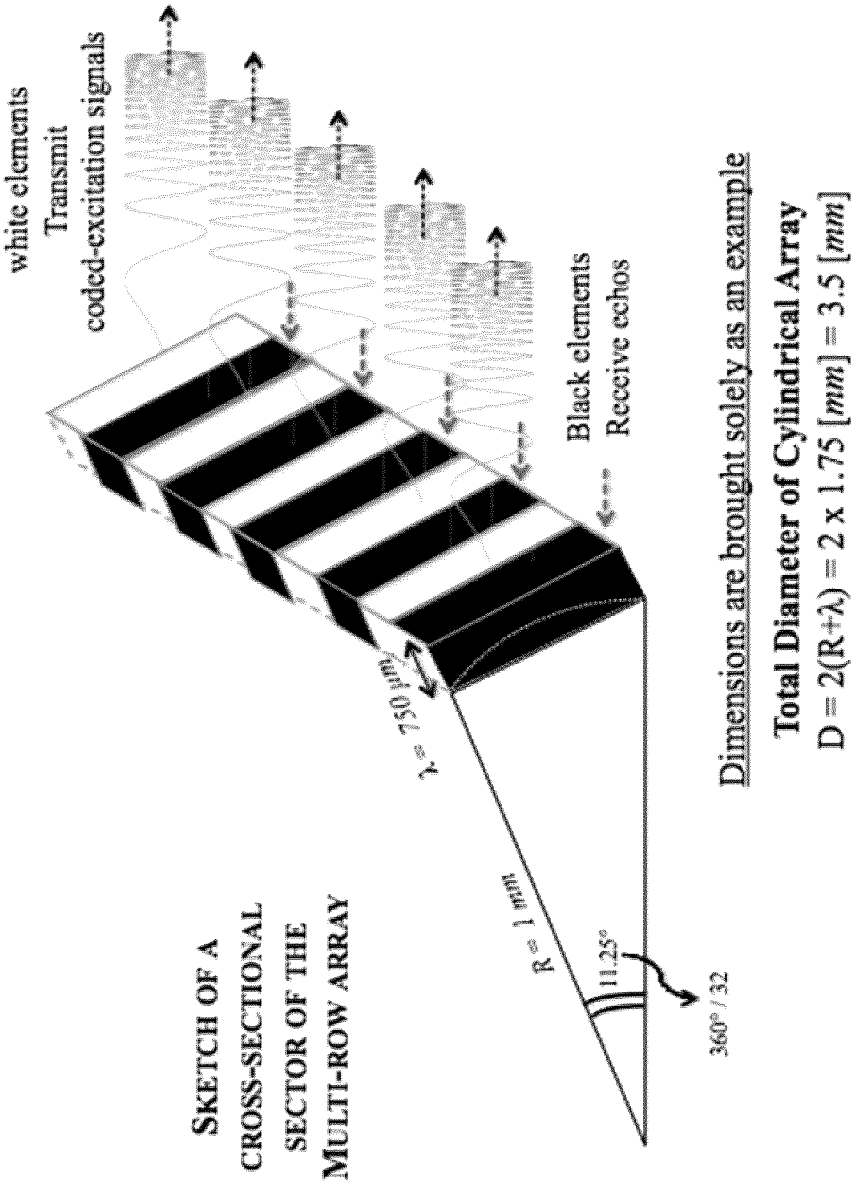


FIG. 8

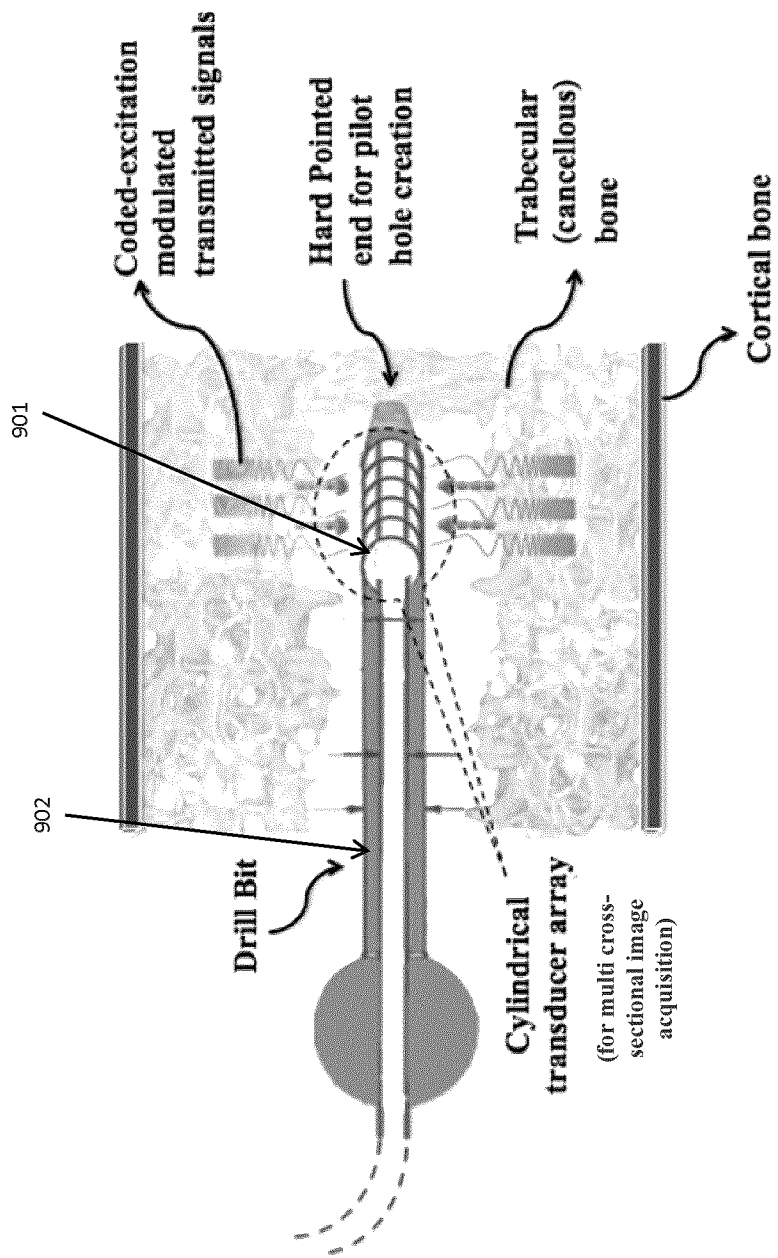


FIG. 9

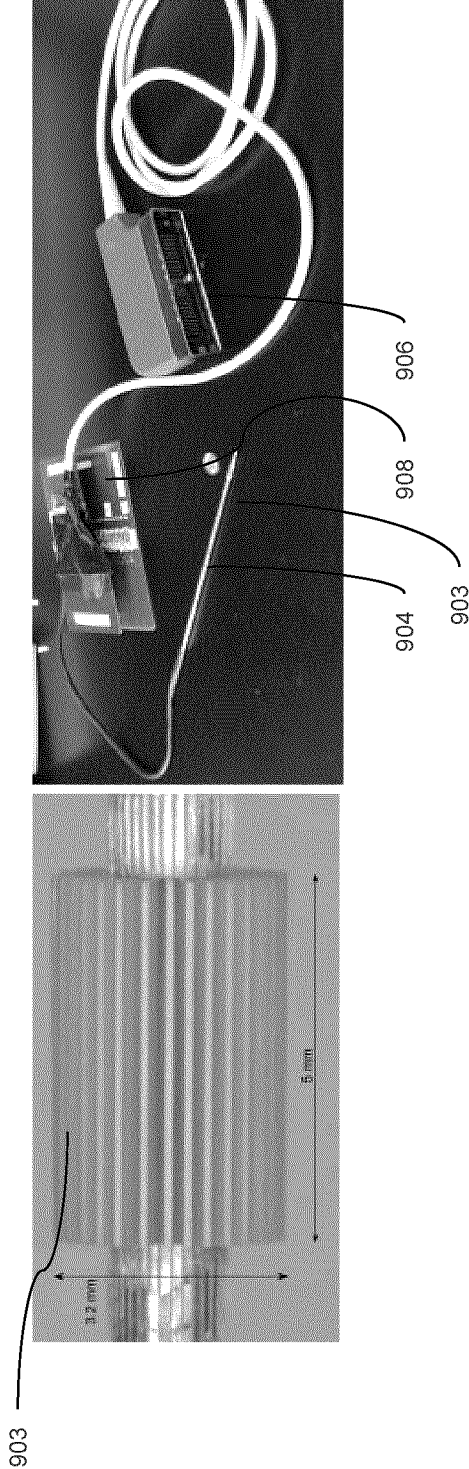
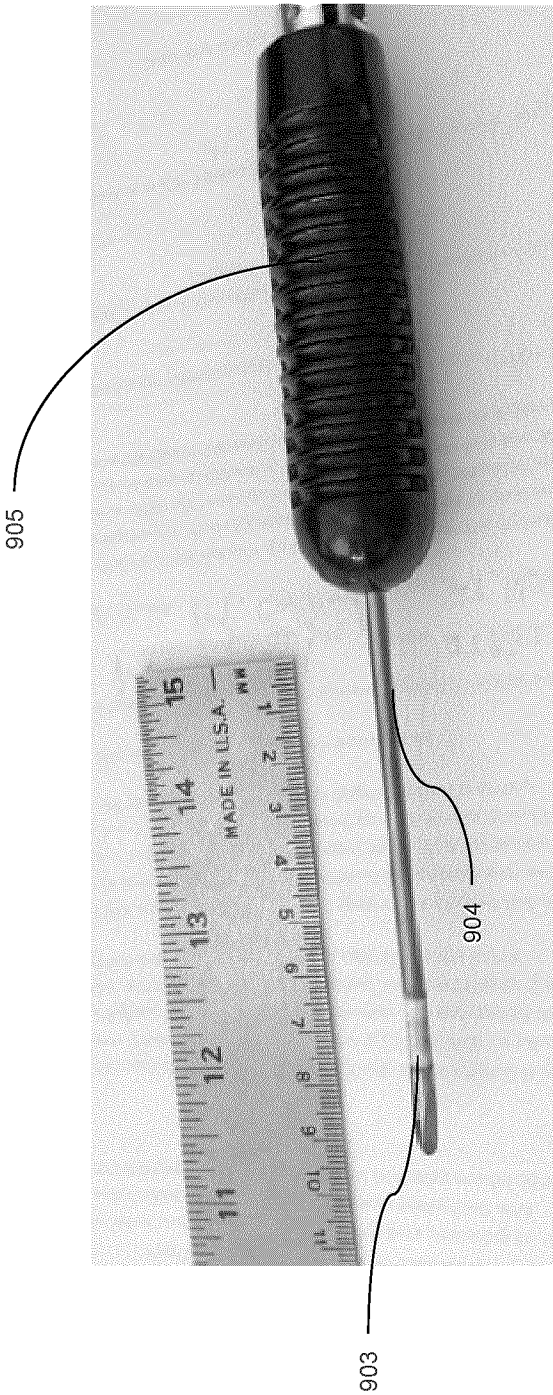
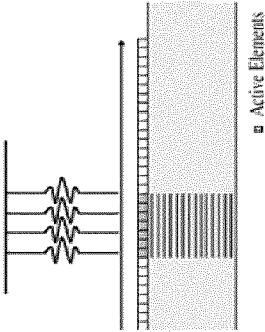


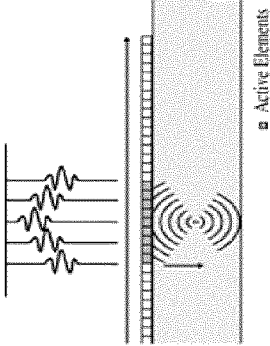
FIG. 9A

Looking ahead: Electronic Steering

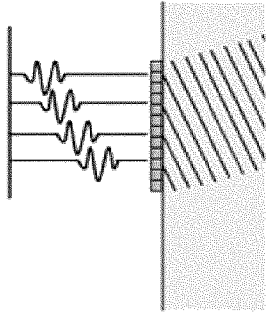
Cross-Sectional Beam Steering



Cross-Sectional Beam Focusing



Beam Steering ahead



Beam Steering and Focusing in 3D ahead

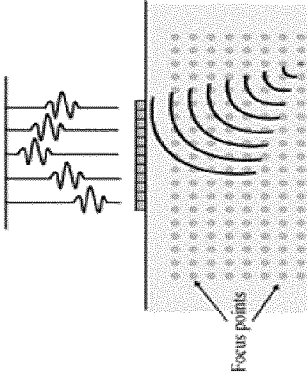


FIG. 10

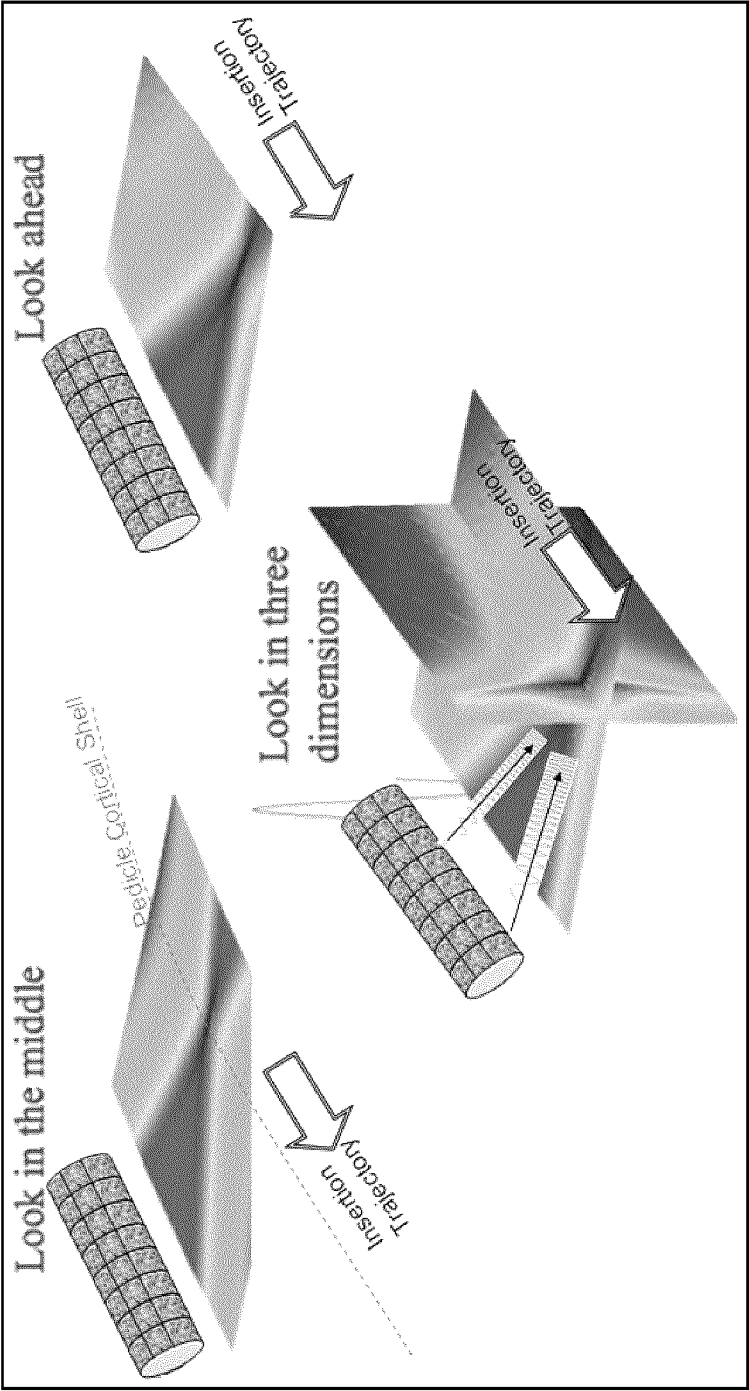


FIG. 11

Overall device breakdown

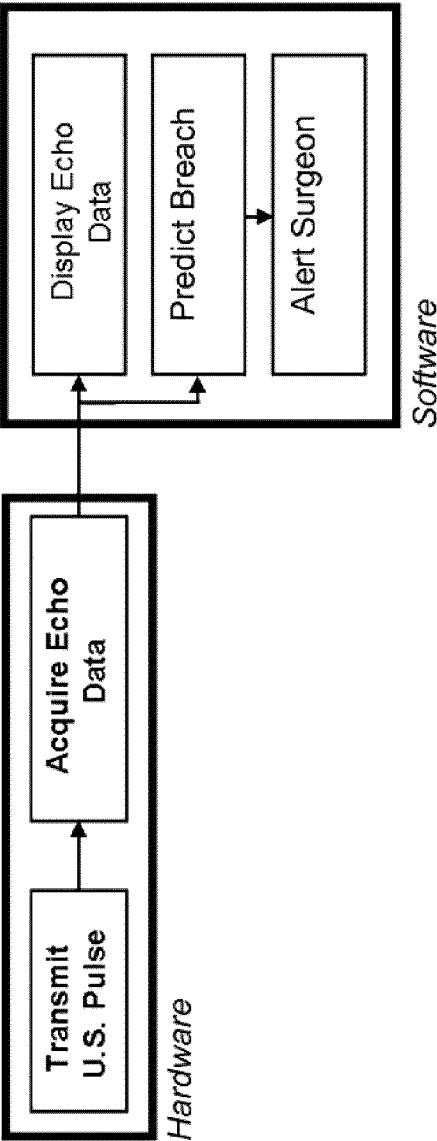


FIG. 12

Real-time Radial Checking Method

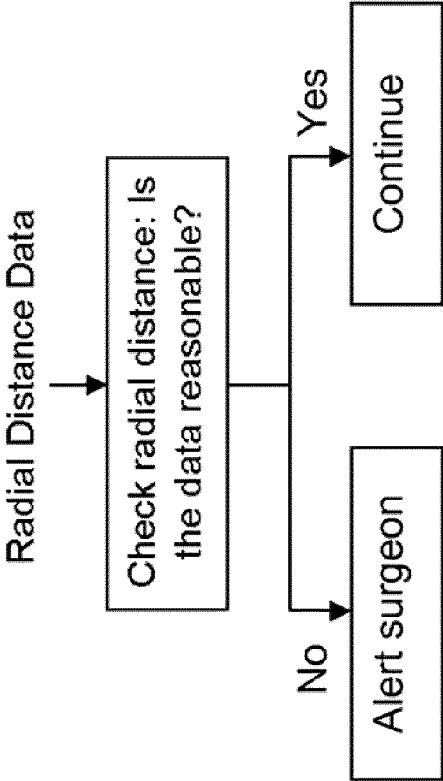


FIG. 13

Prediction Method

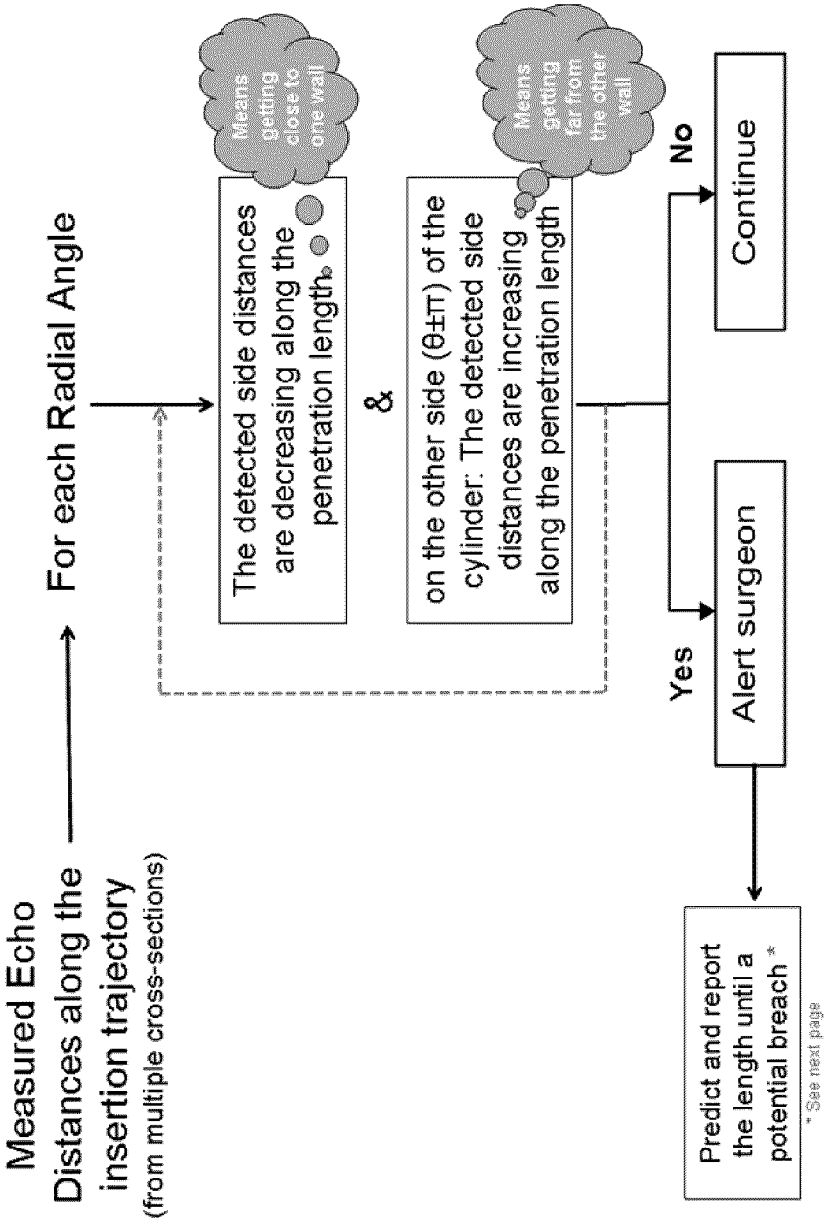


FIG. 14

Prediction Method: simplistic version

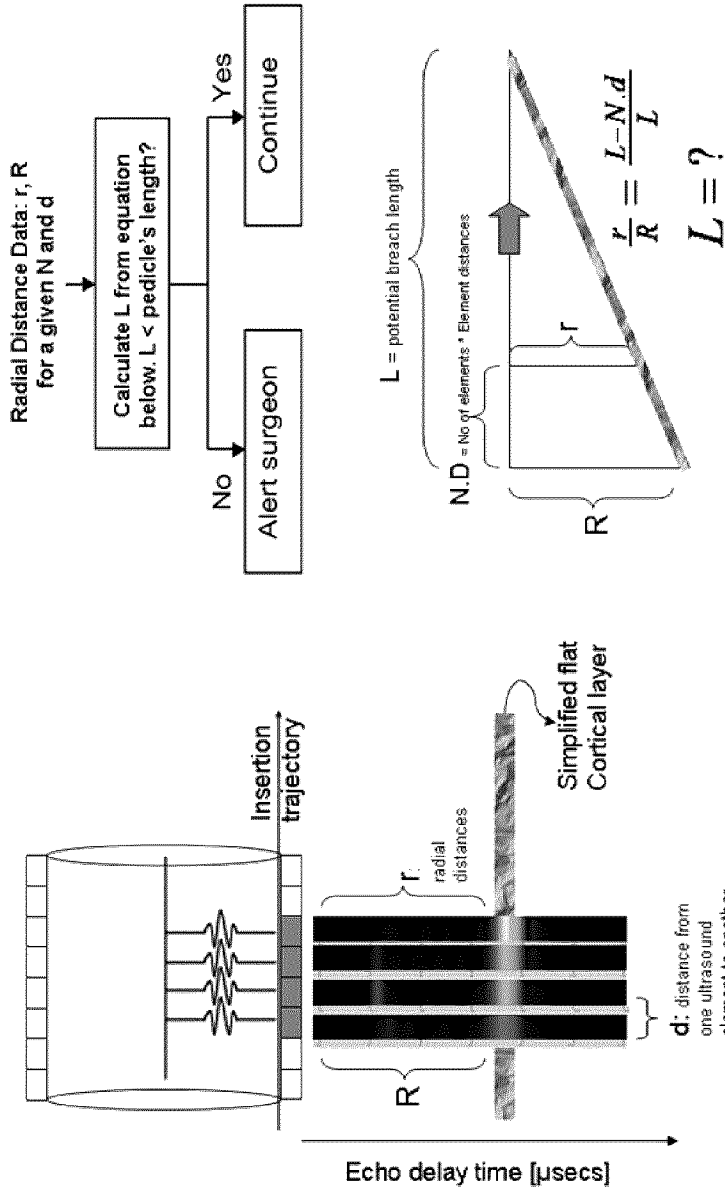
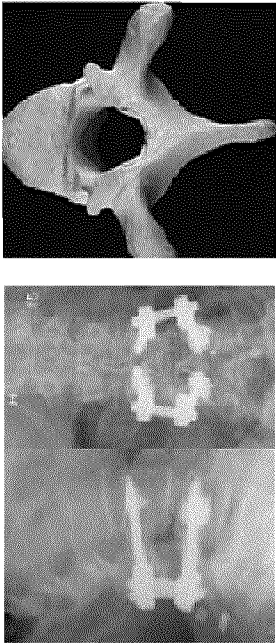


FIG. 15

Prediction Method: realistic version

Realistically, along the insertion path towards the anterior-posterior direction, the pedicle bone diameters decrease in a conical shape into the centre and increases again as the insertion continues. See images below.



Typically for surgeries there is always a MRI or CT scan available from the patient, pre or intra-operatively. If the depth of insertion is known, we can make use of this access to realistic data. The Scale bars shown on the transducer housing (in the left), facilitates the information about Insertion depth.

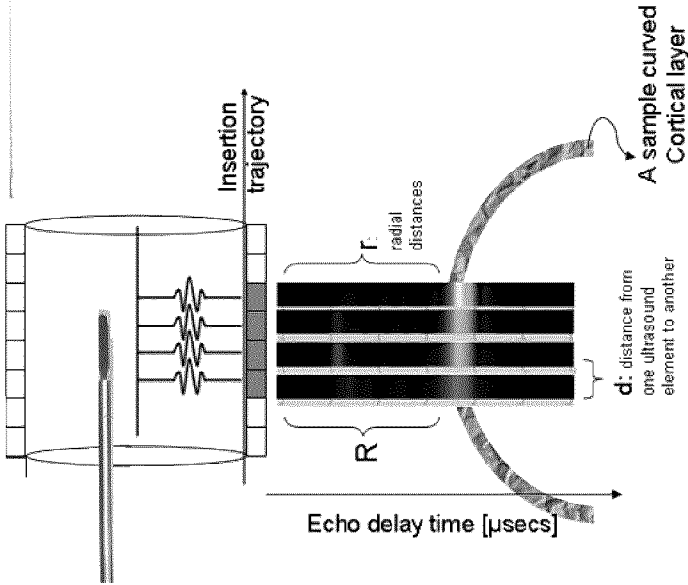


FIG. 16

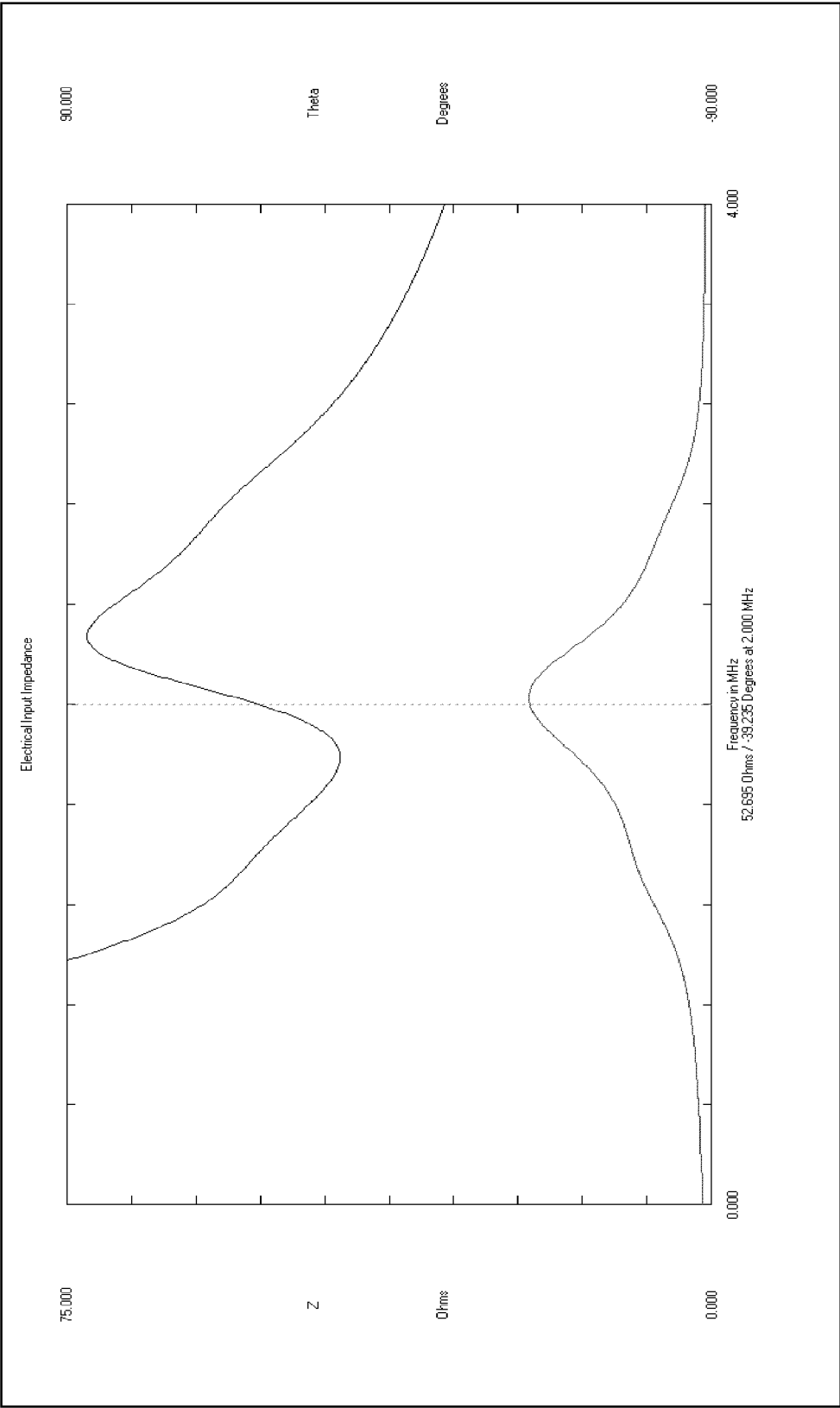


FIG. 17

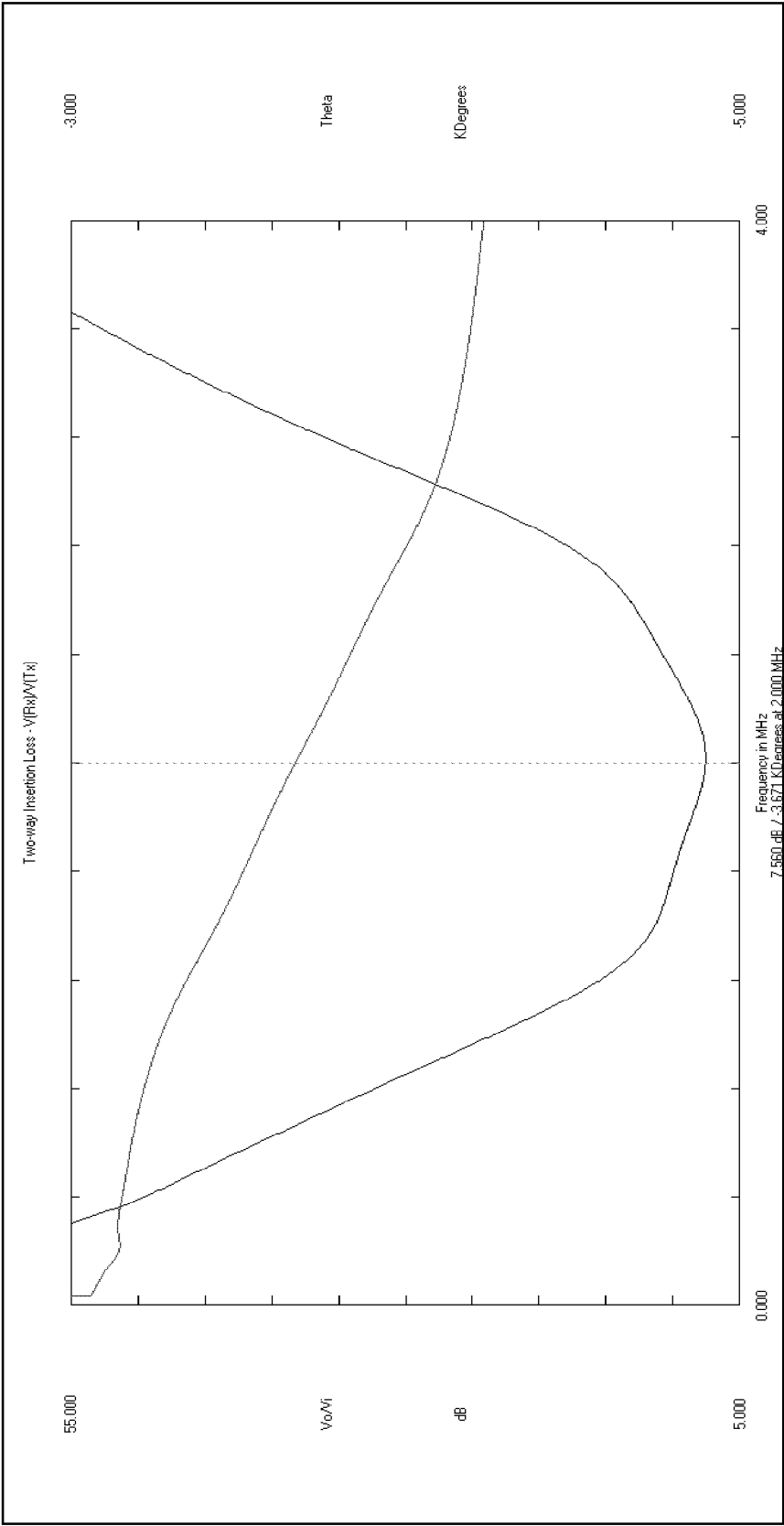


FIG. 18

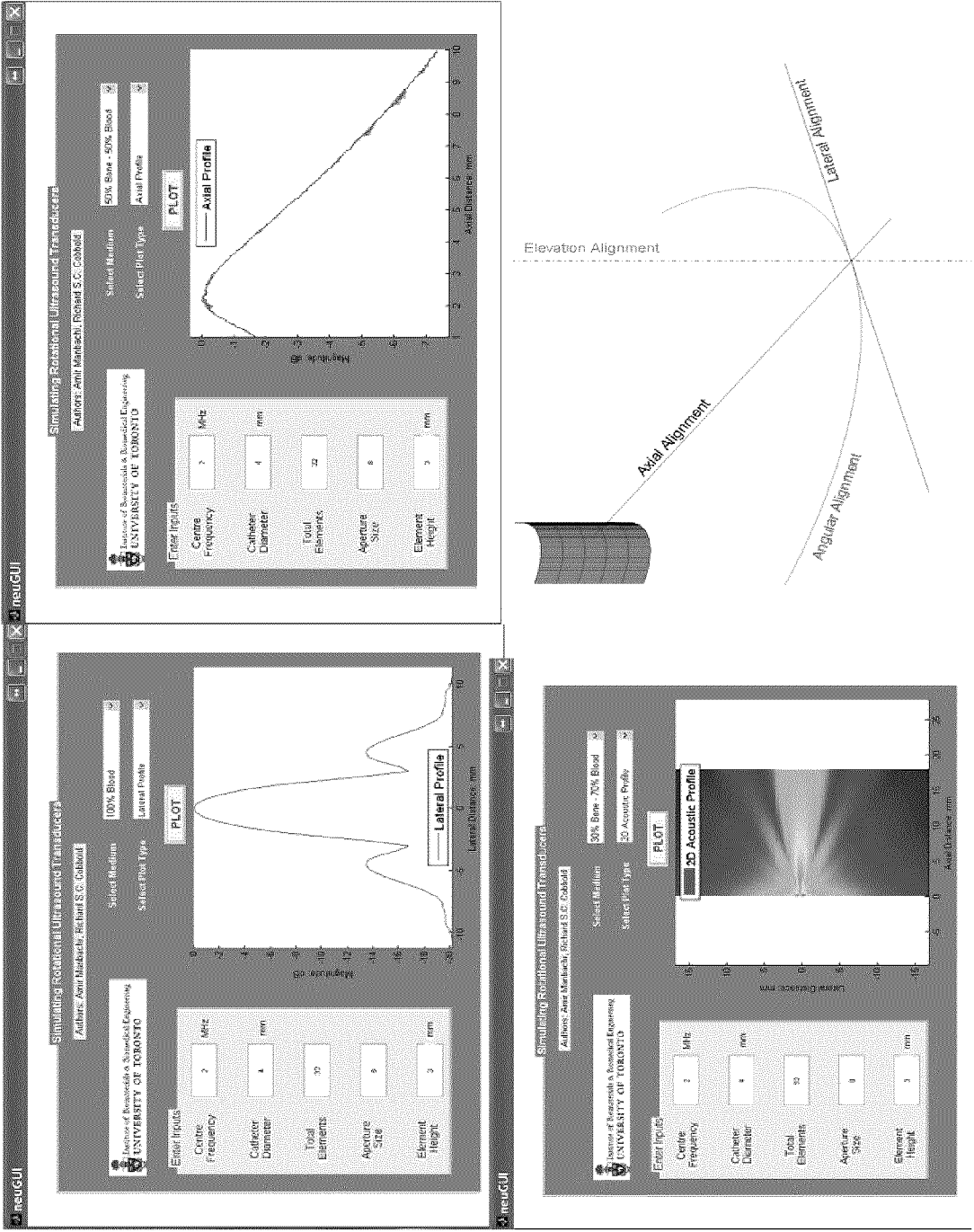


FIG. 19

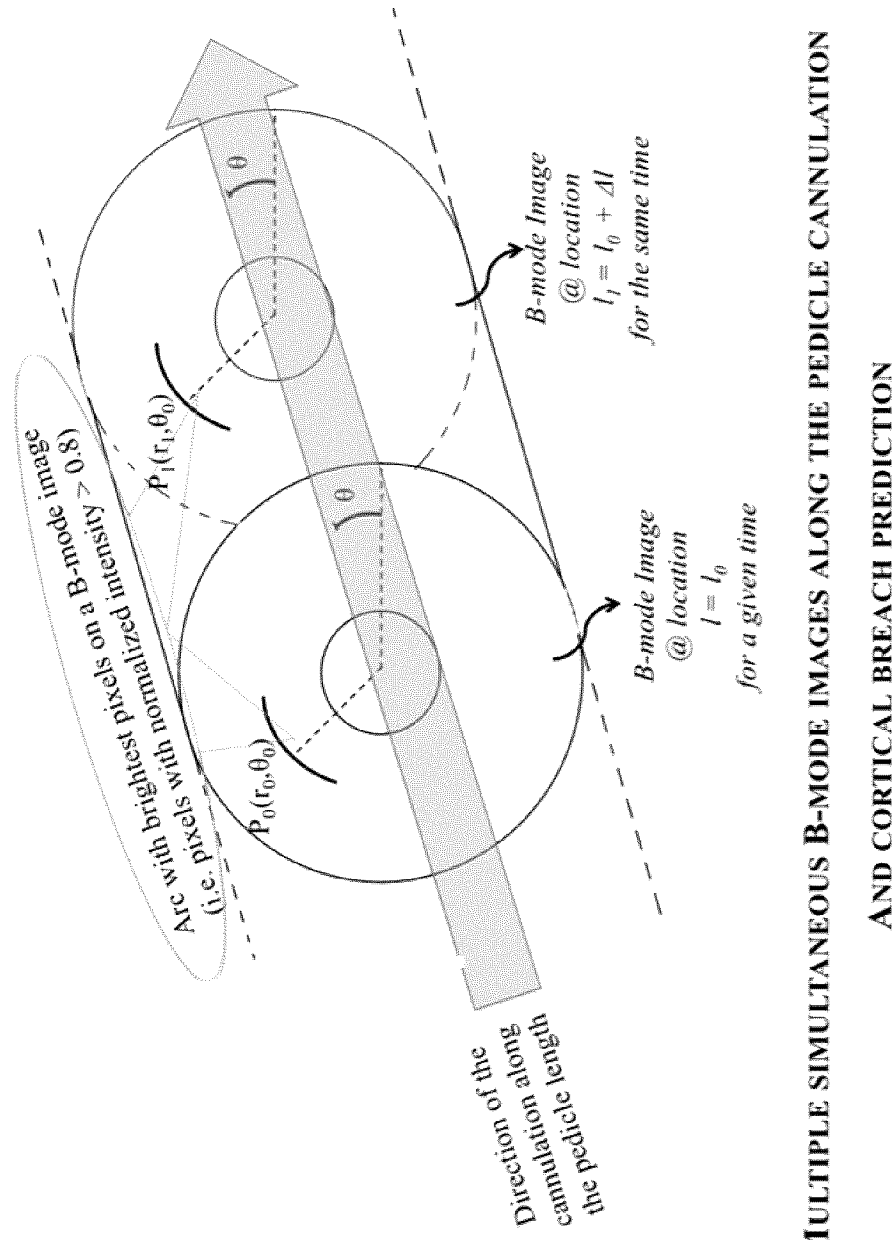


FIG. 20

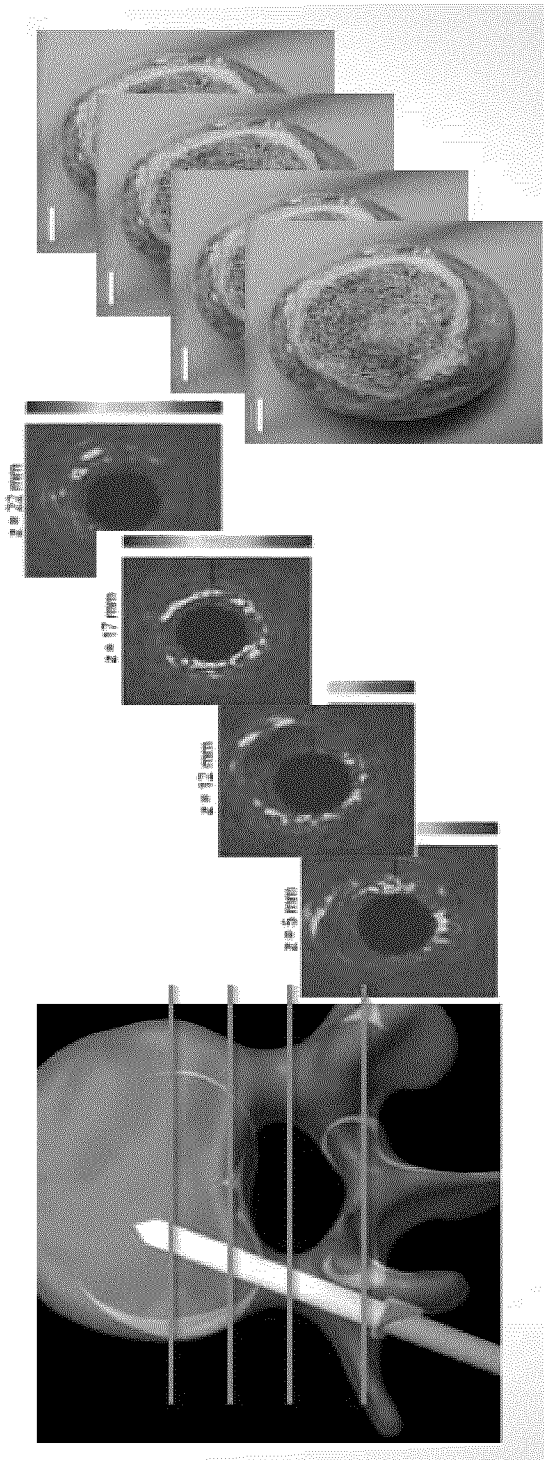


FIG. 21

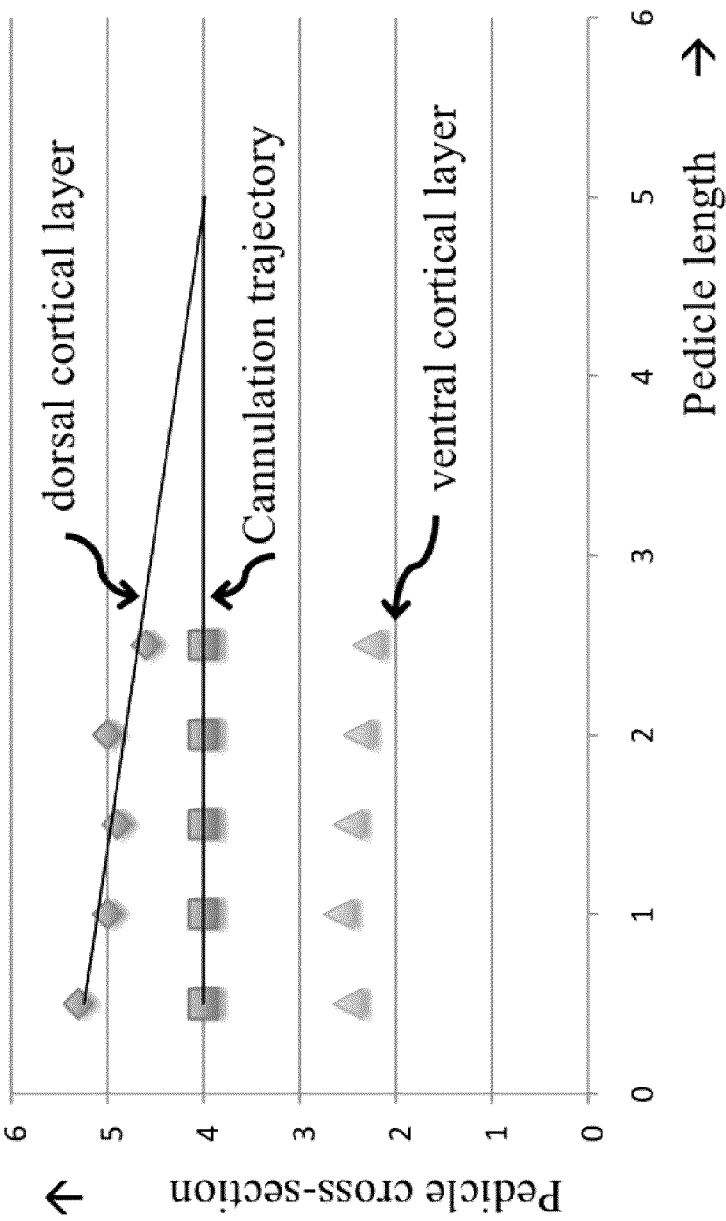


FIG. 22

Table I. Properties of the fabricated materials used in the various designed transducers

	Design I: Epoxy backed Ceramic Transducer	Design II: Air backed Ceramic Transducer	Design III: Composite Transducer
Active Layer	PZT5H (0.830mm thick, active area of 2×5 mm ²)	PZT5H (0.830mm thick, active area of 2×5 mm ²)	1-3 Piezocomposite: 330 μm pitch (250 μm pillar width and 80 μm kerf width), (1.0 mm thick, active area of 2x5 mm ²)
Electrical Connection	Soldered Coaxial cable	Soldered Coaxial cable	Soldered Coaxial cable
Matching Layer	Silver epoxy (160 μm, H20E, epotek)	Glass (615 μm, soda-lime, Corning)	No Matching Layers
Backing Layer	Carbide powder filled 3M 420 epoxy (1:1 by wt %, 1000 grit)	Air backed	PZT powder loaded 3M 420 epoxy loaded (1:1 by wt %, 100 grit)
Housing Design	Stainless steel housing with skived front and back around the transducer (to reduce reflection from backing layer and housing)	Stainless steel housing with skived front of the transducer	Stainless steel housing with skived front and back around the transducer (to reduce reflection from backing layer and housing)

FIG. 23

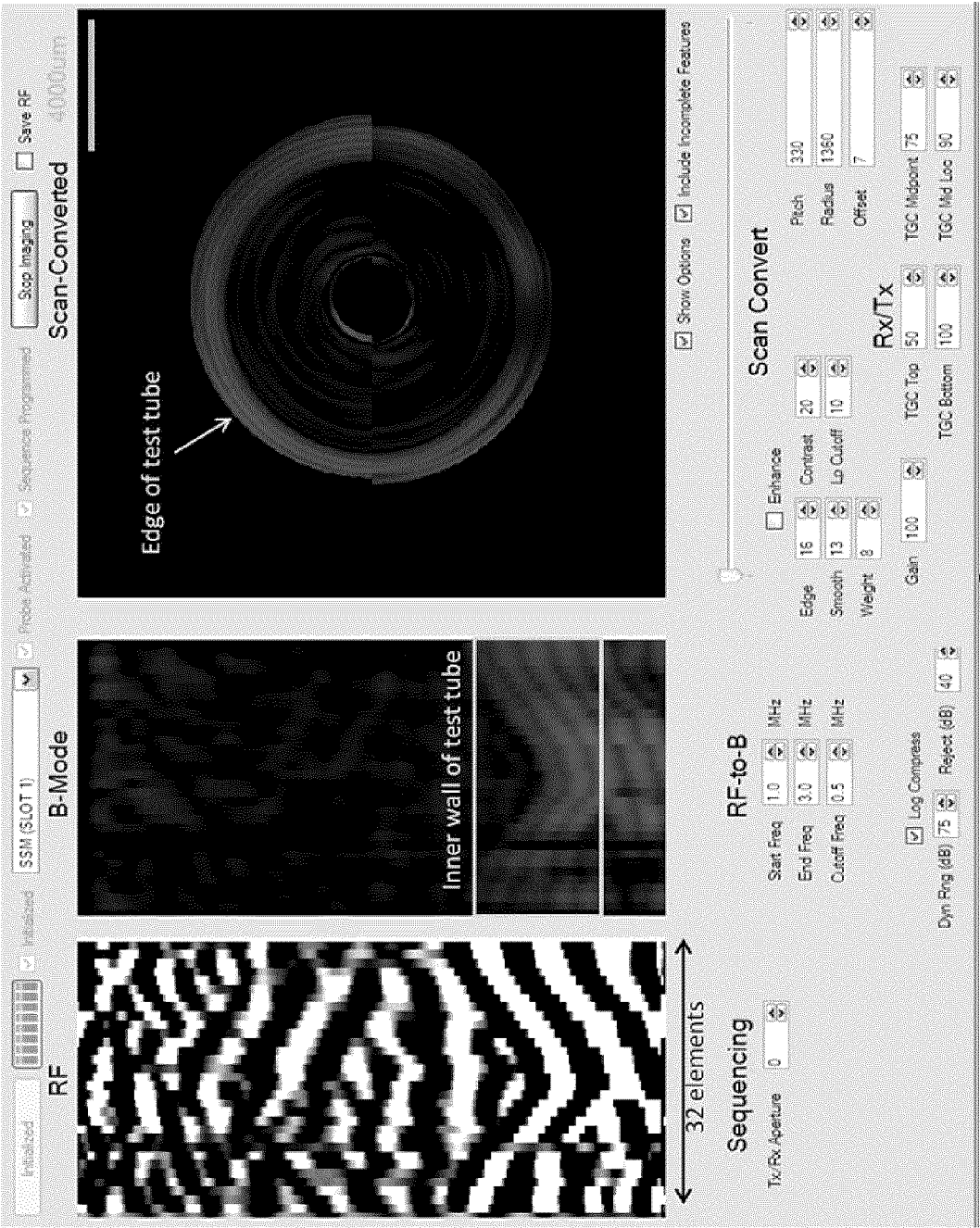


FIG. 24

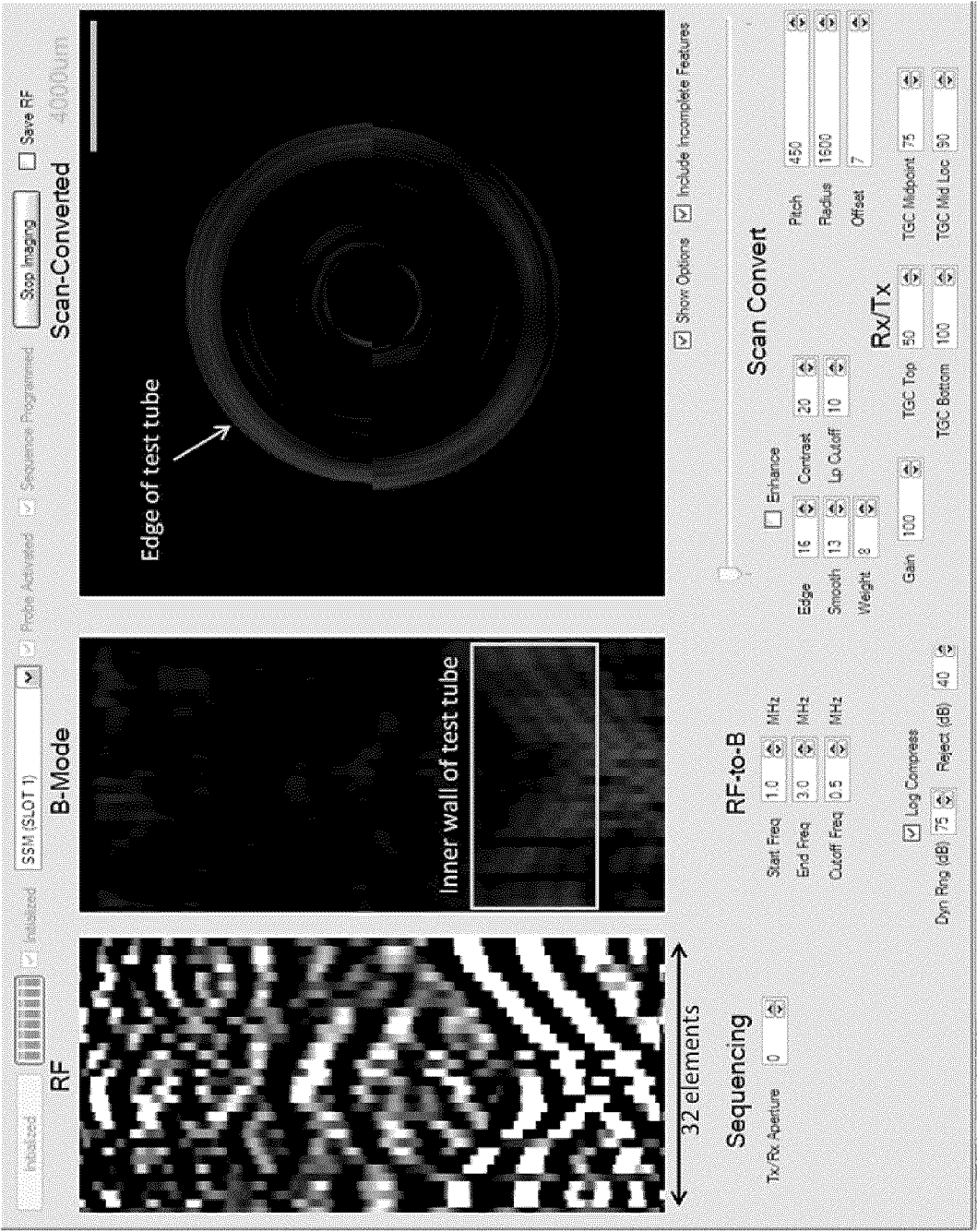


FIG. 25

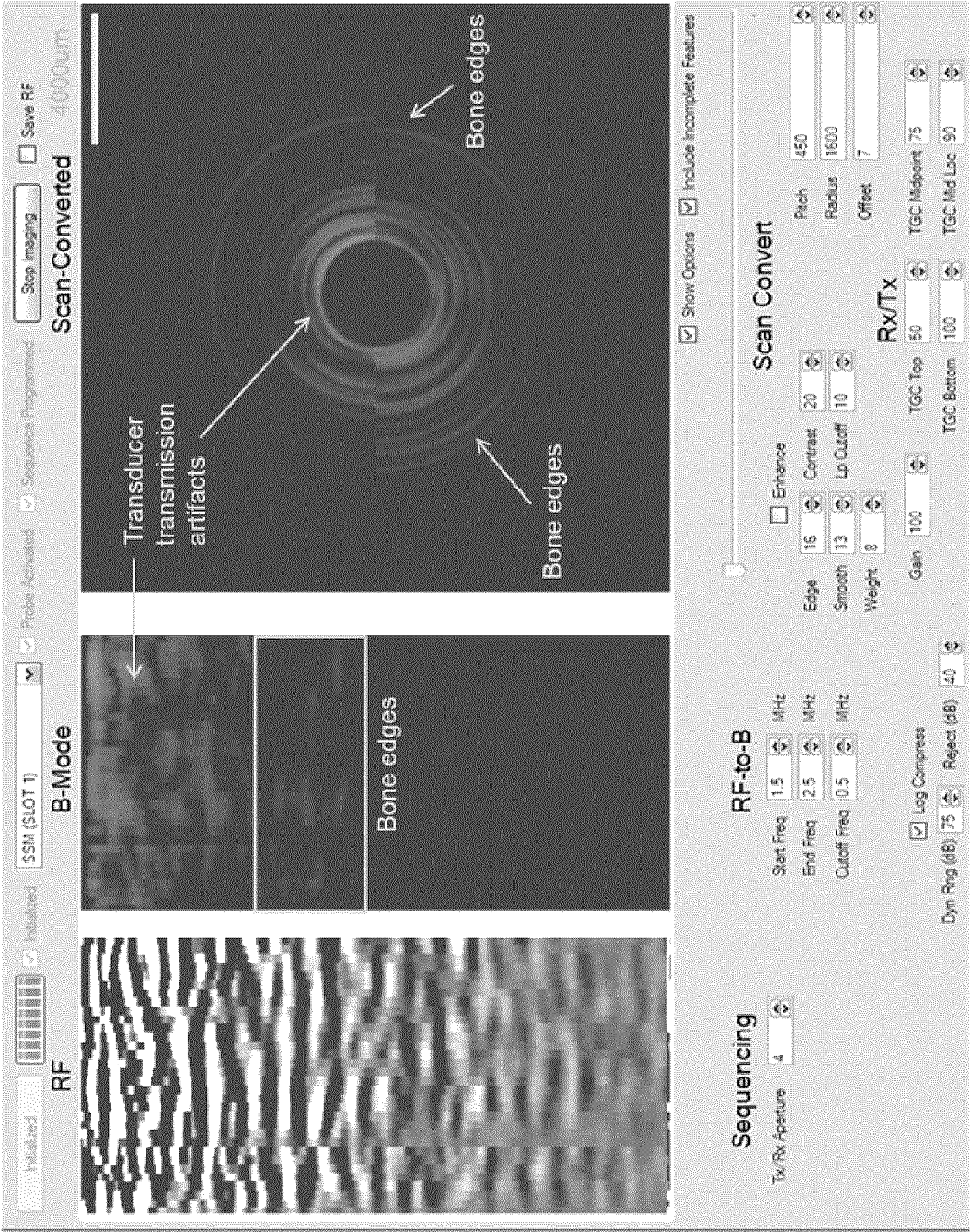


FIG. 26

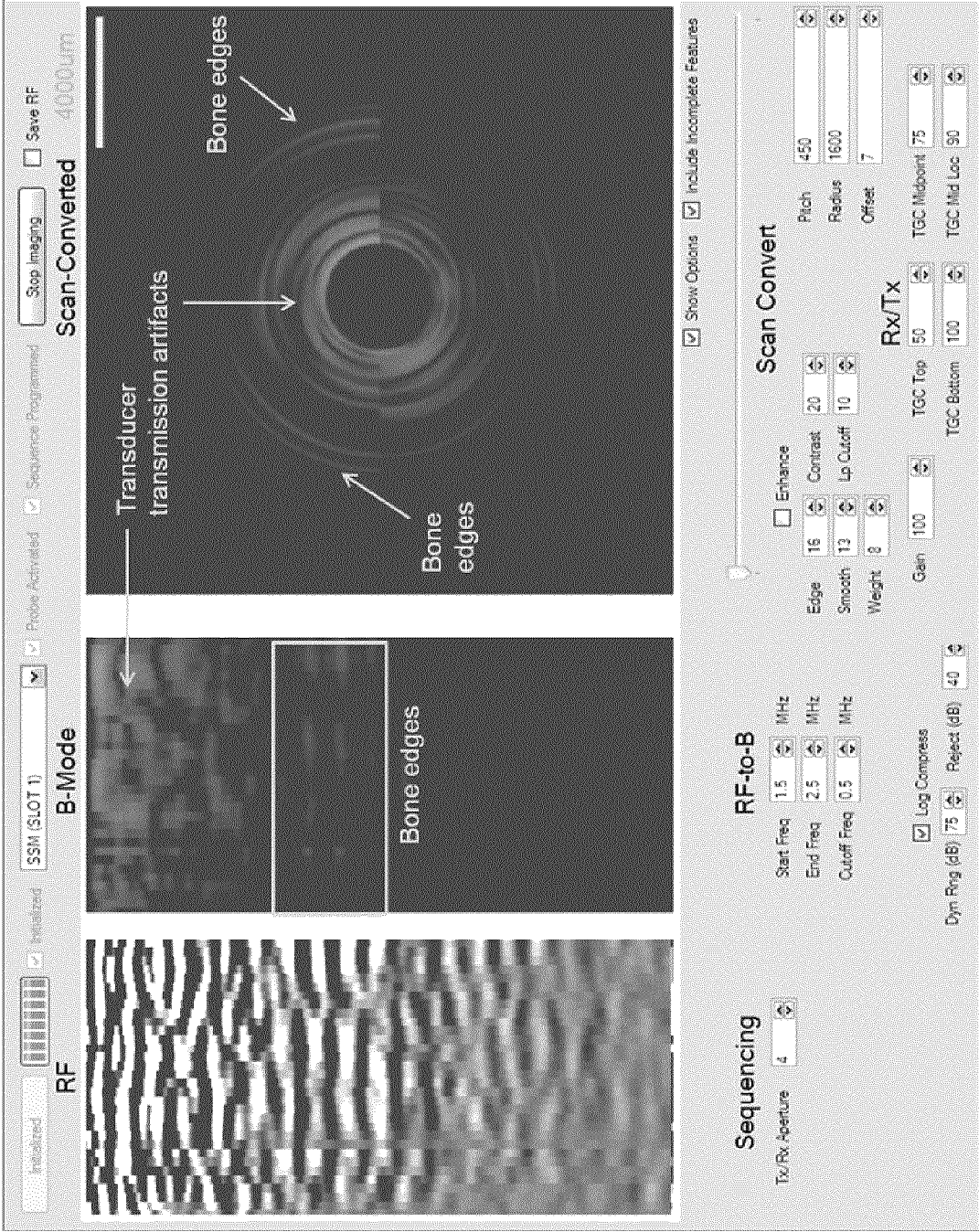


FIG. 27

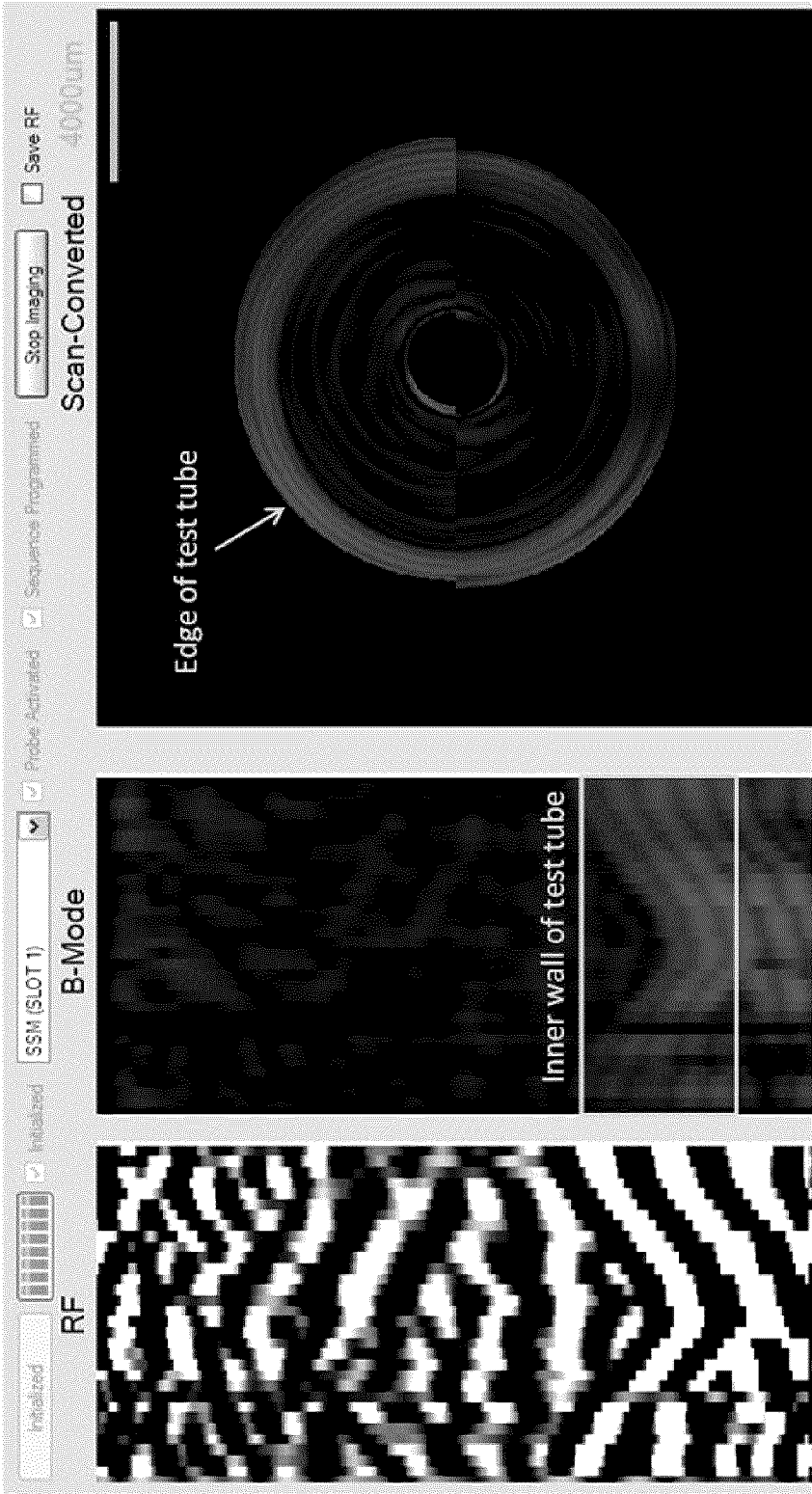


FIG. 28

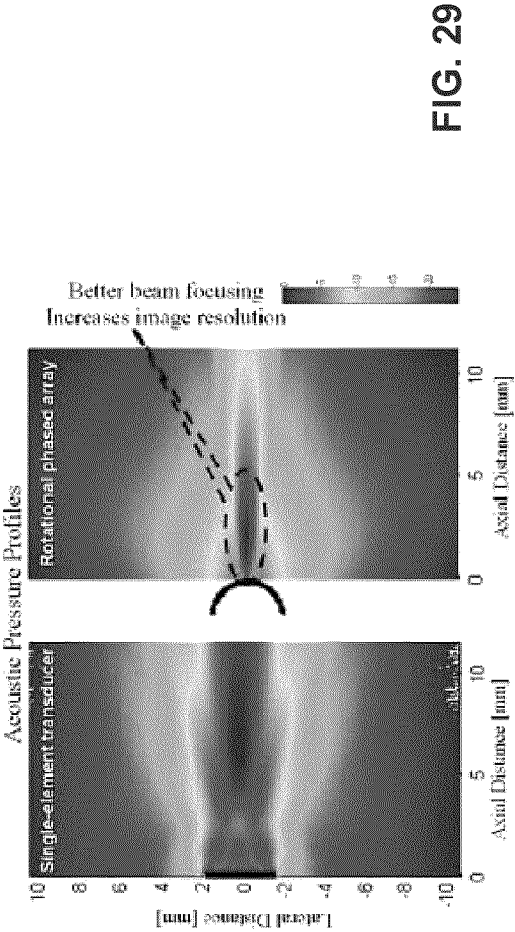
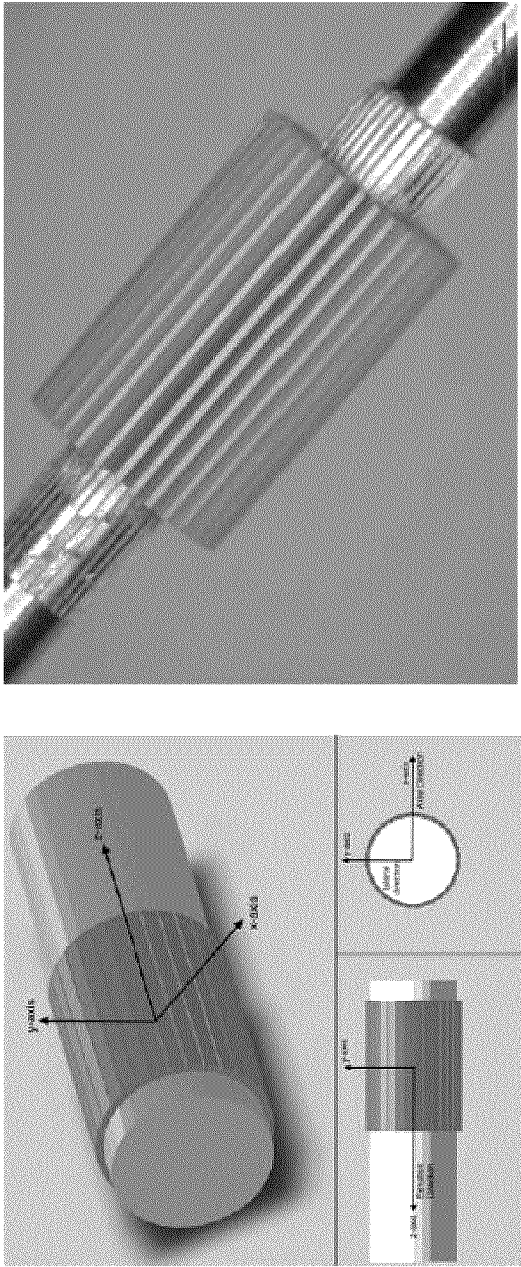


FIG. 29

INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA2014/050484

A. CLASSIFICATION OF SUBJECT MATTER

IPC: **A61B 8/12** (2006.01), **A61B 17/17** (2006.01), **A61B 8/00** (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(2006.01): A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic database(s) consulted during the international search (name of database(s) and, where practicable, search terms used)

Database: TotalPatent, Canadian Patent Database (Intellect), Questel Orbit

Keywords: annular, array, ultrasound, transducer, ring, cylindrical, circular, surgery, pedicle, bone, image, coded excitation, orthopaedic, conical image

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 2009/0105597 (ABRAHAM) 23 April 2009 (23-04-2009) * Paragraphs [0019]-[0022], [0048], [0053], [0057], [0058], [0060], [0064], [0065], [0071], [0076], [0079] and [0087] *	1-7, 10-17, 20 and 24-28 --- 8, 9, 18 and 19
Y	US 2003/0187348 (GOODWIN) 2 October 2003 (02-10-2003) * Paragraphs [0010] and [0049]-[0053] *	8 and 19
Y	US 2006/0253025 (KAUFMAN) 9 November 2006 (09-11-2006) * Paragraphs [0018], [0024], [0025], [0030] and [0049] *	9
Y	US 2010/0228126 (EMERY et al.) 9 September 2010 (09-09-2010) * Paragraph [0051] *	18
A	US 2009/0275838 (MARSHALL et al.) 5 November 2009 (05-11-2009)	1-20 and 24-28
A	US 2011/0208062 (BARASO et al.) 25 August 2011 (25-08-2011)	1-20 and 24-28

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* "A" "E" "L" "O" "P"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed	"T" "X" "Y" "&"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family
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Date of the actual completion of the international search
13 August 2014 (13-08-2014)Date of mailing of the international search report
20 August 2014 (20-08-2014)Name and mailing address of the ISA/CA
Canadian Intellectual Property Office
Place du Portage I, C114 - 1st Floor, Box PCT
50 Victoria Street
Gatineau, Quebec K1A 0C9
Facsimile No.: 001-819-953-2476

Authorized officer

Alan Chan (819) 934-7888

INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA2014/050484

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2010/0174189 (ABRAHAM) 8 July 2010 (08-07-2010)	1-20 and 24-28
A	US 2009/0036780 (ABRAHAM) 5 February 2009 (05-02-2009)	1-20 and 24-28
A	US 5976105 (MARCOVE et al.) 2 November 1999 (01-11-1999)	1-20 and 24-28

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of the first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claim Nos.: 21-23
because they relate to subject matter not required to be searched by this Authority, namely:

The claims are considered to be directed to a method of medical treatment, which the International Search Authority is not required to search under **Article 17(2)(a)(i) and Rule 39.1(iv) of the PCT**.

2. ☐ Claim Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claim Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/CA2014/050484

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