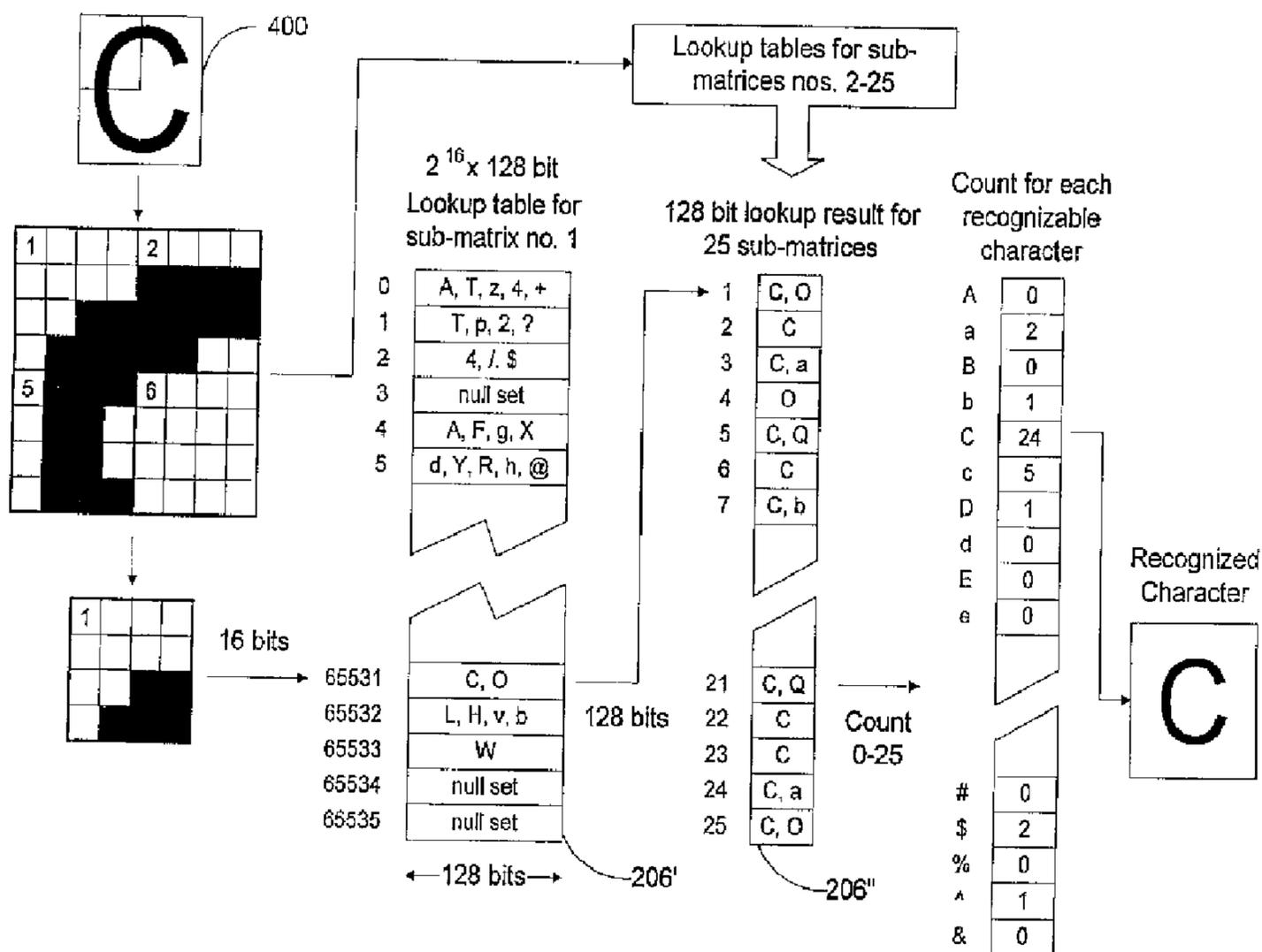




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(54) Titre : METHODE DE RECONNAISSANCE D'IMAGES A INTERPRETATION LOCALISEE
 (54) Title: IMAGE RECOGNITION THROUGH LOCALIZED INTERPRETATION



(57) Abrégé/Abstract:

A printed or handwritten character image is recognized by training (301) a plurality of lookup tables with a set of known referent characters; obtaining (302) a bitmap of a character image to be recognized; mapping (303) the bitmap onto a standardized

(57) Abrégé(suite)/Abstract(continued):

character matrix; partitioning (304) the matrix into a plurality of sub-matrices; (305) determining a set of candidates for each sub-matrix; and selecting (306) a preferred candidate from among the set of candidates responsive to at least one pre-defined selection criterion. The invention is implemented by means of a scanner (102), a character mapper (104), a matrix partitioner (106), a candidate set builder (108), and a character selector (110).

IMAGE RECOGNITION THROUGH LOCALIZED INTERPRETATION**Abstract Of The Disclosure**

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5 A printed or handwritten character image is recognized by training (301) a plurality of
6 lookup tables with a set of known referent characters; obtaining (302) a bitmap of a character image
7 to be recognized; mapping (303) the bitmap onto a standardized character matrix; partitioning (304)
8 the matrix into a plurality of sub-matrices; (305) determining a set of candidates for each sub-
9 matrix; and selecting (306) a preferred candidate from among the set of candidates responsive to at
10 least one pre-defined selection criterion. The invention is implemented by means of a scanner
11 (102), a character mapper (104), a matrix partitioner (106), a candidate set builder (108), and a
12 character selector (110).
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IMAGE RECOGNITION THROUGH LOCALIZED INTERPRETATION

Technical Field

The present invention relates generally to image processing, and specifically to image recognition by partitioning a matrix representing a character or other image into a plurality of sub-matrices and performing localized interpretation of said sub-matrices by means of lookup tables.

Background Art

The field of optical character recognition (OCR) has long been applied to the problem of recognizing machine-printed characters from a page of text. However, special problems exist when attempting to recognize handwritten characters, even in the case of constrained, hand-printed formats used by pen-based or "palm top" computers. The difficulty lies in the extreme variability of such images. Historically, no single OCR algorithm has proven adequate to recognize handwritten characters with the desired level of accuracy.

One method of reducing variability has been to map a character image onto a grid of boxes, producing a standardized matrix that can be compared against a set of referents. U.S. Pat. No. 5,539,840 to Krtolica et al. discloses such an approach in which a character image is mapped onto a 16 x 16 matrix. Despite improvements brought about by this technique, there remains a substantial amount of image variability. Thus, there remains a need for an algorithm providing even greater accuracy.

Theoretically, it should be possible for a machine to recognize a character image as well as a human provided that the recognition system is trained with all possible images and sufficient

1 memory is provided to record the learned patterns. This "brute force" method would be nearly error
2 free, except for the effects of noise and the process of quantizing the images to fit the standard
3 matrix. However, such a method is highly impractical. For a 16 x 16 bi-level character image, there
4 are exactly 2^{256} (nearly 10^{80}) unique patterns. Aside from the technical difficulty in storing this
5 many patterns, the time required to recognize the image as well as train the system with all possible
6 referent characters would be excessive.
7

8 Thus, there remains a need for a highly accurate recognition system that is not overly
9 sensitive to the effects of character image variability. Moreover, there remains a need for a
10 recognition system that is efficient both in terms of recognition time and storage requirements.
11

12 Disclosure of Invention

13
14 The present invention addresses the image variability problem while reducing the need for
15 system resources. Although the following discussion centers on the problem of character
16 recognition, one skilled in the art will understand that the same principles apply to recognizing other
17 types of images. A document often includes elements other than text, such as diagrams or
18 photographs. Thus, the scope of the present invention should not be limited to recognizing only
19 printed or handwritten characters.
20

21
22 In accordance with the present invention, a character image is recognized by training (301) a
23 lookup table with a set of known referent characters; obtaining (302) a bitmap of a character image
24 to be recognized; mapping (303) the bitmap onto a standardized character matrix; partitioning (304)
25 the matrix into a plurality of sub-matrices; determining (305) a set of candidates for each sub-
26 matrix; and selecting (306) a preferred candidate from among the set of candidates responsive to at
27 least one pre-defined selection criterion.
28

1 In another aspect of the invention, the lookup table is trained by obtaining (601) a bitmap of
2 a referent character; mapping (602) the bitmap onto a standardized character matrix; partitioning
3 (603) the matrix into a plurality of sub-matrices; addressing (604), for each sub-matrix, a lookup
4 table using a binary representation of the sub-matrix as an index into said table; and storing (605), in
5 each addressed table entry, a representation of the referent character.
6

7 In yet another aspect of the invention, a candidate set is determined by initializing (701) a
8 candidate set; addressing (702), for each sub-matrix, a lookup table using a binary representation of
9 the sub-matrix as an index into said table; adding (703) to a candidate set, characters corresponding
10 to addressed entries of said table; and recording (704) the number of occurrences of each candidate.
11

12 In accordance with the invention, apparatus (100) for pattern recognition includes a scanner
13 (102), a character mapper (104), a matrix partitioner (106), a candidate set builder (108), and a
14 character selector (110).
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19 Brief Description of the Drawings

20
21 These and other more detailed and specific objects and features of the present invention are
22 more fully disclosed in the following specification, reference being had to the accompanying
23 drawings, in which:
24

25 Figure 1 is a functional block diagram of an apparatus 100 for recognition of a character
26 image in accordance with the present invention;
27
28

1 Figure 2 is a physical block diagram of the apparatus 100 of FIG. 1, in accordance with the
2 present invention;

3 Figure 3 is a flow diagram for a method of image recognition in accordance with the present
4 invention;

5 Figure 4 illustrates a minimally bounded character bitmap image 400 mapped onto a
6 character matrix in accordance with the present invention.

7 Figure 5 illustrates a character matrix partitioned into a plurality of sub-matrices in
8 accordance with the present invention.

9 Figure 6 is a flow diagram for training the recognition apparatus 100 in accordance with the
10 present invention;

11 Figure 6A illustrates the ambiguity problem in accordance with the present invention;

12 Figure 7 is a flow diagram for determining a candidate set 206'' and selecting a preferred
13 candidate character in accordance with the present invention;

14 Figure 8 illustrates the data structures for character recognition in accordance with the
15 present invention.

16 Detailed Description of the Preferred Embodiments

17 Referring now to Figure 1, there is shown a apparatus 100 for recognizing the pattern of a
18 character in accordance with the present invention. Briefly, apparatus 100 comprises five major
19 functional blocks. Scanner 102 obtains a two-dimensional array of pixel values representing the
20 character to be recognized. Character mapper 104 maps the scanned image onto a character matrix
21 according to pixel density or connectivity. Matrix partitioner 106 partitions the character matrix
22

1 into a plurality of sub-matrices. Candidate set builder 108 uses a binary representation of each sub-
2 matrix as an index into a lookup table in order to determine the set of possible candidate characters.
3 Character selector 110 selects a preferred candidate character based on at least one pre-defined
4 selection criterion.
5

6 Referring now to Figure 2, there is shown a physical block diagram of system 100 indicating
7 components used to implement the functionality of blocks 102, 104, 106, 108, and 110 shown in
8 Figure 1. In a preferred embodiment, scanner 102 is implemented by a scanning device 202, for
9 example, an "HP ScanJet IIC" model digital scanner from Hewlett Packard Co., which provides a
10 resolution of 400 dots (pixels) per inch, each pixel being quantized with an eight-bit gray scale
11 resolution. Character mapper 104, matrix partitioner 106, candidate set builder 108, and character
12 selector 110 are each implemented by means of a microprocessor 204, in conjunction with memory
13 206, storage device 208, and output device 210, all of which are coupled to data bus 212. In a
14 preferred embodiment, a conventional IBM PC[®] or compatible is used. Thus, microprocessor 204
15 could comprise a 200 MHz or faster Intel[®] Pentium[®] processor. Memory 206 could be implemented
16 by any combination of RAM, ROM, EPROM, or other memory circuitry. Storage device 208 could
17 include a hard disk, CD-ROM, magneto-optical drive, DVD, flash memory, or other mass storage
18 device. Output device 210 could comprise a CRT display, a printer, a network adapter, a modem,
19 or the like. It should be recognized, however, that a number of alternative physical devices and
20 implementations could be readily used to provide the functionality illustrated in Figure 1.
21
22
23

24 Referring now to Figure 3, there is shown a flow diagram for a method of image recognition
25 in accordance with the present invention. Processing begins by training 301 a plurality of lookup
26 table 206' with a set of referent characters. As detailed hereafter with reference to Figure 6, the
27
28

1 process of training involves scanning a set of referent characters, creating and partitioning matrices
2 for each referent, and storing matrix data in a plurality of lookup tables 206'.

3 Processing continues with obtaining 302 a bitmap of the character to be recognized. Figure
4 illustrates a character bitmap 400 representing the letter "M." Such a bitmap 400 may be obtained
5 by operation of scanner 102, which obtains a pixel-by-pixel representation of an image on a scanned
6 object, typically a piece of paper. The process of segmenting an individual character bitmap from a
7 page of text and assigning to the bitmap a minimum bounding rectangle 401 is described in U.S.
8 Patent No. 5,539,840 to Krtolica et al. for Multifont Optical Character Recognition Using a Box
9 Connectivity Approach, Minimum bounding rectangle
10 401 is defined as the smallest rectangle that completely contains a discrete pattern of a character.
11
12 Because the physical dimensions of characters often vary on a printed page, the pixel dimensions of
13 the minimum bounding rectangle will also vary.
14

15 Referring again to Figure 3, processing continues with mapping 303 minimally-bounded
16 bitmap 400 onto a two-dimensional array or matrix, referred to herein as a character matrix. Said
17 matrix is standardized, having identical dimensions for each recognizable character, and is
18 preferably 16 elements high by 16 elements wide. Moreover, said matrix elements are preferably
19 represented by single bits that may be selectively set or reset. One skilled in the art will recognize
20 that many other encoding methods are possible without departing from the spirit of the invention.
21 However, a packed array of bits is efficient, both in terms of memory requirements and
22 implementation logic.
23
24

25 The mapping process is accomplished by dividing bitmap 400 into a grid of boxes 402, each
26 box corresponding to an element of the character matrix. In a preferred embodiment, bitmap 400 is
27 divided into a grid of boxes 16 boxes wide by 16 boxes high. Character mapper 104 analyzes the
28

1 pixels falling within the boundaries of each box and sets the binary value of the corresponding
 2 matrix element to either zero or one, depending on the analysis method used. Analysis methods
 3 include pixel density and either horizontal or vertical pixel connectivity, all of which are well
 4 known to one skilled in the art of image recognition. A matrix processing system of this type is
 5 disclosed in U.S. Patent No. 5,539,840 to Krtolica et al. for Multifont Optical Character Recognition
 6 Using a Box Connectivity Approach, For example, a
 7 matrix corresponding to bitmap 400 based on pixel density is:

9		1	1	1	0	0	0	0	0	0	0	0	0	1	1	1	
10		0	1	1	0	0	0	0	0	0	0	0	0	1	1	0	
11		0	1	0	1	0	0	0	0	0	0	0	1	0	1	0	
12		0	1	0	0	1	0	0	0	0	0	1	0	0	1	0	
13		0	1	0	0	0	1	0	0	0	0	1	0	0	0	1	0
14		0	1	0	0	0	1	0	0	0	0	1	0	0	0	1	0
15		0	1	0	0	0	0	1	0	0	1	0	0	0	0	1	0
16		0	1	0	0	0	0	0	1	1	0	0	0	0	0	1	0
17		0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0
18		0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0
19		1	1	1	0	0	0	0	0	0	0	0	0	1	1	1	

20 Processing continues by partitioning 304 the character matrix into a plurality of sub-
 21 matrices. Figure 5 illustrates a preferred embodiment of the invention wherein matrix partitioner
 22 106 partitions the 16 x 16 character matrix into twenty-five 4 x 4 sub-matrices, each representing a
 23 1/16 fragment of the total character image. It should be noted, however, that the number and size of
 24 the sub-matrices are not central to the invention. Nevertheless, the size of a sub-matrix should be
 25 chosen with some minimum and maximum constraints in mind. First, the size should be small
 26 enough that the number of possible images per sub-matrix is relatively small compared to the size of
 27 conventional computer memories. For example, a preferred 4 x 4 sub-matrix produces 2^{16} or 65536
 28

1 unique patterns. Thus, in a preferred embodiment, 25 x 65536 x 16 bytes (or 25 megabytes) of
2 memory is needed to create lookup tables for recognizing the 128 character ASCII character set. In
3 contrast, if the 16 x 16 matrix was not subdivided, a nearly 10^{80} byte lookup table would be
4 required. Second, the size should be large enough that the sub-matrix produces a limited number
5 of interpretations. As subdivisions become smaller, the set of candidates becomes larger, and at an
6 extreme, i.e., a single pixel, the matrix represents all possible characters and conveys no useful
7 information.
8

9 Additionally, the sub-matrices need not be square shaped, nor must they represent any
10 discrete sub-division of the character image. For example, rectangular or triangular "sub-matrices"
11 may also be used without departing from the spirit of the invention. The 4 x 4 configuration,
12 however, is convenient because the 16 element sub-matrix readily converts into a short integer
13 format, simplifying implementation in hardware and software.
14

15 A preferred layout of the sub-matrices is illustrated in Figure 5, wherein each sub-matrix is
16 identified by a number in its upper left-hand corner. Sub-matrices nos. 17 through 25 each overlap
17 four other sub-matrices, a feature useful in canceling out the effects of the arbitrary partitioning of
18 the original character matrix. For example, sub-matrix no. 17 overlaps sub-matrices nos. 1, 2, 5,
19 and 6. Moreover, the central positioning of the nine additional sub-matrices is based on the fact that
20 the center of the image generally provides more valuable pixel data than the edges. One skilled in
21 the art, however, will recognize that the matrices may be repositioned in various configurations
22 without departing from the spirit of the invention, although one sub-matrix should not completely
23 overlap another sub-matrix since the data derived therefrom would be redundant.
24
25

26 Referring again to Figure 3, processing continues by determining 305 a set of candidates for
27 each sub-matrix. As will be detailed hereafter with reference to Figure 7, this is accomplished by
28

1 addressing, for each sub-matrix, one of a plurality of lookup tables 206' using a binary
2 representation of the sub-matrix as an index into the table, adding characters corresponding to the
3 addressed lookup table entries to a candidate set 206'', and recording the number of occurrences of
4 each candidate. Processing continues by selecting 306 a preferred candidate based on at least one
5 pre-defined selection criterion. One skilled in the art will recognize that a variety of selection
6 criteria are possible. As will be detailed hereafter with reference to Figure 7, a preferred criterion
7 requires that the selected character must be identified in at least a fixed percentage, such as 90%, of
8 the sub-matrices.
9

10 Finally, a check 307 is made to determine whether there are more character images to
11 recognize. If more characters exist, control returns to step 302; otherwise, the process terminates.
12

13 Referring now to Figure 6, there is shown a flow diagram for training the recognition
14 apparatus 100 in accordance with the present invention. One skilled in the art will recognize that
15 OCR systems are typically trained by the manufacturer, at which time referent characters are
16 scanned and recorded on a storage medium like a hard disk or CD-ROM. Thus, the training step is
17 not usually performed by an end-user of recognition apparatus 100.
18

19 Processing begins by obtaining 601 a bitmap of a referent character. Referents may be either
20 printed or handwritten, and may be of various sizes or fonts. Moreover, for each recognizable
21 character, a plurality of referents representing that character are obtained in order to compensate for
22 the variability of character images. Processing continues with steps 602 and 603, which function
23 substantially identically to steps 303 and 304, wherein the referent image is mapped 602 onto a
24 character matrix, and the character matrix is partitioned 603 into a plurality of sub-matrices.
25 Processing continues with addressing 604, for each sub-matrix, one of a plurality of lookup tables
26 206'. In a preferred embodiment, this is accomplished by converting the 16 element sub-matrix
27
28

1 into a 16-bit integer index into the lookup table, wherein each element of the sub-matrix becomes
2 one bit of the resultant index. Binary conversions of this variety are well known to those skilled in
3 the art.

4 Memory 206 preferably comprises 25 lookup tables 206', one lookup table for each sub-
5 matrix. Each lookup table 206' comprises 2^{16} entries of 128 bits each, where 16 represents the
6 number of elements per sub-matrix (i.e., a 4 x 4 sub-matrix), and where 128 equals the number of
7 recognizable characters (i.e., the ASCII character set). Thus, each lookup table entry can identify
8 between 0 and 128 characters by setting one or more of the corresponding bits. Although one
9 skilled in the art will recognize that other encoding methods may be used, such bit-level encoding
10 greatly reduces the amount of memory required for lookup tables 206' and simplifies the
11 implementation logic.

12 Processing continues with storing 605 a representation of the referent character at the
13 addressed location. As explained above, this is accomplished by setting the corresponding bit in the
14 table entry for the referent character. A check 606 is made whether there are more sub-matrices to
15 process. If the answer is "yes," then control returns to step 604, otherwise, processing continues at
16 step 607.

17 A check 607 is made whether consistency checking has been enabled. If the answer is
18 "yes," then control passes to step 608; otherwise, processing continues at step 610. Consistency
19 checking is a method of eliminating ambiguous lookup table entries during the training phase.
20 Lookup table entries are ambiguous or inconsistent when two discrete characters, e.g. A and P, are
21 valid interpretations for the same set of sub-matrices. This can happen due to scanning errors,
22 noise, poor image quality, and many other factors. Permitting ambiguities to exist in lookup tables
23 216' is not necessarily harmful, but it can be harmful. Ambiguities can often be resolved at the
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1 post-recognition phase by contextual or geometric analysis. Consequently, in a preferred
 2 embodiment, consistency checking during image training is optional.

3 During a consistency check, a variation table is built 608 for the current referent character.
 4 One skilled in the art will recognize that a variation table may be constructed by a simple iterative
 5 process of scanning the lookup table entries for the identifying bit of the current referent. Sample
 6 variation tables for the characters "A" and "P" are provided below in Tables 1 and 2 for illustrative
 7 purposes only, and do not reflect actual data.
 8

9 Table 1

10 Symbol Interpretation: A

11

12 Sub-matrix	13 Sub-matrix Location Code										
14 Value	1	2	3	4	5	6	7	8	9	...	25
15 0	X		X		x				x		
16 1		x					X				
17 2				x	X				X	X	x
18 --				X		x					
19 --	x	x				X		x			
20 65535	x	X	x		x		x	X		x	X

21 Table 2

22 Symbol Interpretation: P

23

24 Sub-matrix	25 Sub-matrix Location Code										
26 Value	1	2	3	4	5	6	7	8	9	...	25
27 0	X	x	X			x					
28 1	x				x		X		x		
29 2	x		x		X				X	X	
30 --		x		X				x			
31 --				x	x	X	x			x	x
32 65535		X						X			X

1 In the sample variation tables, a lowercase "x" appears where a given sub-matrix
2 corresponds to the character "A" (in Table 1) or to the character "P" (in Table 2). A boldface
3 capital "X" occurs where an "x" appears at the same location on both variation tables, making "A"
4 or "P" a possible interpretation for the same matrix. An inconsistency exists when each column of
5 the first variation table has at least one element in common with each column of the second
6 variation table, producing an intersection of the columns.
7

8 A graphical depiction of how an inconsistency can enter lookup tables 216' is shown in
9 Figure 6A. For ease of illustration, the referent characters are divided into 16 rather than 25
10 fragments. When referent (a) is trained, the 16 fragments are associated with the character "P." No
11 ambiguities exist at this point. When training referent (b), the 16 image fragments are associated
12 with the character "A." Again, no ambiguities exist at this point because fragments 12 and 16
13 differentiate between the two characters. However, when referent (c) is trained, fragments 12 and
14 16 are no longer discriminatory and may be interpreted as either a "P" or an "A." Thus, the
15 corresponding lookup tables 216' are inconsistent.
16
17

18 Referring again to Figure 6, processing continues by eliminating 609 inconsistent table
19 entries. This is accomplished by comparing the current variation table with those created for the
20 prior referent characters. If an intersection is found between two tables, one of the two inconsistent
21 interpretations is selected for deletion. The selection process may be either random or based to a
22 pre-defined selection criterion. Thereafter, the identification bits of the selected interpretation are
23 reset in each lookup table 216' as indicated by the intersection of the two variation tables, e.g., at
24 those locations containing an "X" as shown in Tables 1 and 2. Thus, one of the inconsistent
25 interpretations is deleted and the ambiguity is eliminated.
26
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1 Finally, a check 610 is made to determine whether there are more referent images to train. If
2 more images exist, control returns to step 601; otherwise, the process terminates after storing 611
3 the resulting lookup tables 206' in storage device 208.

4 Referring now to Figure 7, there is shown a flow diagram for determining candidate set
5 206'' and selecting a preferred candidate character in accordance with the present invention.
6 Processing begins by initializing 701 candidate set 206'' in memory 206. One skilled in the art will
7 recognize what data structures may be used to represent the candidate set 206'' in memory 206, and
8 how such data structures may be initialized to a null state. Processing continues with addressing
9 702, for each sub-matrix, one of a plurality of lookup tables 206'. As stated above, this is
10 accomplished by converting the 16 element sub-matrix into a 16-bit integer index into lookup table
11 216'.
12

13
14 Processing continues with adding 703 to candidate set 206'' the characters specified at the
15 addressed location in lookup table 216'. In a preferred embodiment, this is accomplished by
16 decoding the lookup table entry and adding to candidate set 206'' each character identified in the
17 table entry. Because a lookup table entry is 128 bits long, between 0 and 128 characters may be
18 added to the candidate set for each sub-matrix. Processing continues by recording 704 the number
19 of occurrences of each candidate. One skilled in the art will recognize that this could be
20 accomplished by adding an occurrence count variable to each member of the candidate set, and
21 incrementing said variable each time the member is identified. A check 705 is made whether there
22 are more sub-matrices to process. If the answer is "yes," then control returns to step 702; otherwise,
23 control is passed to step 706.
24

25
26 Processing continues by choosing 706 the candidate with the highest occurrence rate. This
27 is accomplished by retrieving the occurrence count variable for each candidate, dividing each
28

1 variable by the number of sub-matrices, and multiplying the quotient by 100. The result is a
2 percentage of the sub-matrices identifying each candidate character. A check 707 is made to
3 determine whether there is more than one candidate with the highest number of occurrences. If the
4 answer is "yes," then control goes to step 710; otherwise, processing continues at step 708. In a
5 preferred embodiment, a check 708 is made to determine whether the selected character received at
6 least a 90% occurrence rate, which represents a quality threshold indicative of a highly likely match.
7 One skilled in the art will recognize that other percentages may be used without departing from the
8 spirit of the invention, although experimental tests have shown that 90% is a desirable threshold
9 figure. If the answer is "yes," then the process terminates 709 by outputting the recognized
10 character on output device 210. If not, control passes to step 712.

13 Processing continues with resolving 710 ambiguities using either contextual or geometric
14 analysis. Briefly, contextual analysis is the process of resolving ambiguities by referring to the
15 letters or words surrounding the character in question, possibly with reference to a dictionary or the
16 like. For example, in deciding between the letters "E" and "F," the fact that the next two letters
17 were "GG" would suggest that the correct interpretation is "E" (in order to spell the word "EGG.")
18 Similarly, geometric analysis is the process of eliminating impossible candidate choices by
19 examining the geometric properties of the scanned character. For example, in deciding between the
20 letters "W" and "I," by referring back to the size of the original minimally bounding rectangle, the
21 letter "I" could be eliminated if the rectangle was relatively wide. Both techniques are well known
22 to one skilled in the art of optical character recognition. For example, U.S. Patent No. 5,539,840 to
23 Krtolica et al. for Multifont Optical Character Recognition Using a Box Connectivity Approach,
24 discloses a process of eliminating characters based on geometric properties of the minimal bounding
25 frame.
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1 A check 711 is made as to whether the result is still ambiguous after appealing to contextual
2 or geometric analysis. If the answer is "yes," then processing terminates 712 without a unique
3 solution, such as by outputting an error message on output device 210. If the answer is "yes," then
4 processing terminates 713 by outputting the recognized character on output device 210.
5

6 In another preferred embodiment, there is no need to resolve ambiguities as shown in steps
7 707 through 711, because a consistency check was performed during training in which a variation
8 table was built 608 and all ambiguous choices were eliminated 609. Thus, the preferred candidate
9 character is merely the intersection of the candidates identified for each sub-matrix. If no
10 intersection is found, then the character is unrecognizable and an error message can be sent to
11 output device 210.
12

13 Referring now to Figure 8, there is shown the data structures for character recognition in
14 accordance with the present invention. As illustrated, a bitmap 400 of the letter "C" is mapped
15 onto a 16 x 16 character matrix. Thereafter, said matrix is partitioned into 25 sub-matrices, four of
16 which (nos. 1, 2, 5 and 6) are depicted. Next, sub-matrix no. 1 is converted into a 16 bit index for
17 addressing a 2^{16} x 128 bit lookup table 206'. The result is a 128 bit value that, when decoded,
18 identifies between 0 and 128 possible candidate characters for sub-matrix no. 1. As shown in
19 Figure 8, the letters "C" and "O" are identified as candidates and are added to candidate set 206".
20 In addition, a count is made of the number of occurrences of each character. The process is repeated
21 for sub-matrices nos. 2 through 25. Finally, the occurrence count variables are tabulated and an
22 occurrence rate is calculated. In this case, the letter "C" is identified by 24 of the 25 sub-matrices,
23 or a 96% occurrence rate. Because the letter "C" is a uniquely identified solution, and the
24 occurrence rate exceeds 90%, the letter "C" is selected as the recognized character.
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The above description is included to illustrate the operation of the preferred embodiments and is not meant to limit the scope of the invention. The scope of the invention is to be limited only by the following claims. From the above discussion, many variations will be apparent to one skilled in the art that would yet be encompassed by the spirit and scope of the present invention.

Claims

1. A computer-implemented method for recognizing an image, said method comprising the steps of:
 - obtaining a bitmap of the image to be recognized;
 - mapping the bitmap onto a matrix;
 - partitioning the matrix into a plurality of sub-matrices;
 - determining a set of candidates for each sub-matrix; and
 - selecting a preferred candidate from among the set of candidates responsive to at least one pre-defined selection criterion.
2. The method of claim 1, wherein said image to be recognized is a character image.
3. The method of claim 2, wherein the determining step comprises:
 - addressing, for each sub-matrix, a lookup table using a binary representation of the sub-matrix as an index into said table;
 - adding to a candidate set, characters corresponding to addressed entries of said table; and
 - recording a number of occurrences of each candidate.
4. The method of claim 2, wherein the selecting step comprises choosing the candidate with a highest occurrence rate.
5. The method of claim 2, further comprising an initial step of training a plurality of lookup tables with a set of known referent characters, wherein said training step comprises:
 - obtaining a bitmap of a referent character;
 - mapping the bitmap onto a standardized matrix;
 - partitioning the matrix into a plurality of sub-matrices;

addressing, for each sub-matrix, a lookup table using a binary representation of the sub-matrix as an index into said table; and
storing, in each addressed table entry, a representation of the referent character.

6. The method of claim 5, further comprising the steps of:
building a variation table for each referent character; and
eliminating ambiguous lookup table entries responsive to a consistency check.
7. The method of claim 1, wherein said image is an output of a scanning device.
8. An apparatus for recognizing a character image, said apparatus comprising:
a character mapper accepting as input a bitmap representing a character image and producing therefrom a standardized character matrix representing said bitmap;
coupled to said character mapper, a matrix partitioner accepting as input said matrix and producing therefrom a plurality of sub-matrices;
coupled to said matrix partitioner, a candidate set builder accepting as input said plurality of sub-matrices and producing therefrom a set of candidate characters; and
coupled to said candidate set builder, a character selector accepting as input said set of candidate characters and producing therefrom a preferred candidate character responsive to at least one selection criterion.
9. The apparatus of claim 8, wherein said character image is an output of a scanning device.

10. The apparatus of claim 8, wherein said matrix represents said bitmap according to pixel density.
11. The apparatus of claim 8, wherein said matrix represents said bitmap according to pixel connectivity of a type from a group of types comprising horizontal connectivity and vertical connectivity.
12. The apparatus of claim 8, wherein the selection criterion requires that the selected character is identified in at least a fixed percentage of sub-matrices.
13. The apparatus of claim 8, wherein the selection criterion resolves ambiguities by analysis of a type from a group of types comprising contextual analysis and geometric analysis.
14. The apparatus of claim 8, wherein the selection criterion requires that the selected character is an intersection of the candidates identified for each sub-matrix.
15. The apparatus of claim 8, wherein the character matrix has dimensions of 16 x 16 elements.
16. The apparatus of claim 8, wherein the sub-matrices have dimensions of 4 x 4 elements.
17. The apparatus of claim 8, wherein at least one sub-matrix partially overlaps another submatrix.
18. The apparatus of claim 8, wherein the matrix partitioner partitions a 16 x 16 character matrix into twenty-five 4 x 4 sub-matrices, sixteen of said sub-

matrices arranged to fill said character matrix, and nine of said sub-matrices arranged in a 3 x 3 configuration at a center of said character matrix.

19. The apparatus of claim 8, further comprising N lookup tables, where N equals a number of sub-matrices, each of said lookup tables comprising at least 2^M entries of at least X bits each, where M equals a number of elements per sub-matrix, and where X equals a number of recognizable characters.

20. A computer-readable medium containing a computer program for recognizing a character image, said program containing instructions for directing a computer to execute the steps of:

- obtaining a bitmap of a character image to be recognized;
- mapping the bitmap onto a standardized character matrix;
- partitioning the matrix into a plurality of sub-matrices;
- determining a set of candidates for each sub-matrix; and
- selecting a preferred candidate from among the set of candidates responsive to at least one selection criterion.

21. A computer-implemented method for recognizing an image, the method comprising the steps of:

- sub-dividing a matrix representing the image into a plurality of sub-matrices representing portions of the image;
- for each sub-matrix, determining at least one interpretation of the whole image based upon information contained within the sub-matrix; and
- selecting a preferred interpretation of the whole image from the interpretations associated with the plurality of sub-matrices.

22. The method of claim 21, wherein the image is a character image.

23. The method of claim 21, wherein the matrix has identical dimensions for each image to be recognized.
24. The method of claim 21, wherein the selecting step comprises the sub-step of:
selecting as the preferred interpretation an interpretation that is found to occur with a highest frequency among the plurality of sub-matrices.
25. The method of claim 21, wherein the selecting step comprises the sub-step of:
selecting as the preferred interpretation an interpretation that is found to be associated with each of the plurality of sub-matrices.
26. The method of claim 21, wherein a first sub-matrix represents a first portion of the image that at least partially overlaps a second portion of the image represented by a second sub-matrix.
27. The method of claim 21, wherein the determining the step comprises the sub-step of:
for each sub-matrix, using a lookup table to determine the at least one interpretation of the whole image, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation of the whole image.
28. The method of claim 27, wherein the sub-step of using a lookup table comprises the sub-steps of:
addressing the lookup table at a location indicated by information contained within the sub-matrix; and
retrieving from the lookup table at the indicated location the at least one interpretation associated with the sub-matrix.
29. The method of claim 28, wherein the addressing sub-step comprises the sub-step of:
using a binary representation of the sub-matrix to indicate the location in the lookup

table.

30. A method for training an image recognition system with a set of referent images, each referent image having a known interpretation, the method comprising the steps of:
sub-dividing a matrix representing a referent image into a plurality of sub-matrices representing portions of the referent image; and
for each sub-matrix, storing the interpretation of the referent image in a lookup table, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation.
31. The method of claim 30, wherein the referent image is a character image.
32. The method of claim 30, wherein the matrix has identical dimensions for each image to be trained.
33. The method of claim 30, wherein the storing step comprises the sub-step of:
addressing the lookup table at a location indicated by information contained within the sub-matrix; and
storing in the lookup table at the indicated location the interpretation of the referent image.
34. The method of claim 33, wherein the addressing sub-step comprises the sub-step of:
using a binary representation of the sub-matrix to indicate the location in the lookup table.
35. The method of claim 30, further comprising the steps of:
performing a consistency check on the lookup tables to determine whether two discrete interpretations are valid for a set of sub-matrices; and
responsive to two discrete interpretations being valid for the set of sub-matrices,

removing one of the interpretations from the lookup tables for the set of sub-matrices.

36. The method of claim 30, wherein a first sub-matrix represents a first portion of the image that at least partially overlaps a second portion of the image represented by a second sub-matrix.

37. An apparatus for recognizing an image, the apparatus comprising:

a computer-readable medium for storing a matrix representing an image to be recognized;

a matrix partitioner for sub-dividing the matrix stored on said computer-readable medium into a plurality of sub-matrices representing portions of the image;

an interpretation set builder for determining, for each sub-matrix sub-divided by said matrix partitioner, at least one interpretation of the whole image based upon information contained within the sub-matrix; and

a preferred interpretation selector for selecting a preferred interpretation of the whole image from the interpretations determined by said interpretation set builder and associated with the plurality of sub-matrices.

38. The apparatus of claim 37, wherein the image is a character image.

39. The apparatus of claim 37, wherein the matrix has identical dimensions for each image to be recognized.

40. The apparatus of claim 37, wherein the preferred interpretation selector selects an interpretation that is found to be associated with each of the plurality of sub-matrices.

41. The apparatus of claim 37, wherein the preferred interpretation selector selects an interpretation that is found to occur with a highest frequency among the plurality of sub-matrices.

42. The apparatus of claim 37, wherein a first sub-matrix represents a first portion of the image that at least partially overlaps a second portion of the image represented by a second sub-matrix.

43. The apparatus of claim 37, wherein the interpretation set builder uses a lookup table to determine the at least one interpretation of the whole image, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation of the whole image.

44. The apparatus of claim 43, wherein the interpretation set builder addresses the lookup table at a location indicated by information contained within the sub-matrix and retrieves from the lookup table at the indicated location the at least one interpretation associated with the sub-matrix.

45. The apparatus of claim 44, wherein the interpretation set builder addresses the lookup table using a binary representation of the sub-matrix to indicate the location in the lookup table.

46. The apparatus of claim 37, further comprising:
an ambiguity resolver for selecting among valid interpretations selected by said preferred interpretation selector using one of contextual analysis and geometric analysis.

47. The apparatus of claim 37, wherein each matrix has dimensions of 16 x 16 elements, and each sub-matrix has dimensions of 4 x 4 elements.

48. The apparatus of claim 47, wherein the matrix partitioner partitions each 16 x 16 matrix into at least twenty-five 4 x 4 sub-matrices, sixteen of the sub-matrices arranged to fill the matrix, and nine of the sub-matrices arranged in a 3 x 3 configuration at the center of

the matrix.

49. The apparatus of claim 37, wherein the computer-readable medium comprises N lookup tables, where N equals the number of sub-matrices, each of the lookup tables comprising at least 2^M entries of at least X bits each, where M equals the number of elements per sub-matrix, and where X equals the number of recognizable images.

50. An apparatus for training an image recognition system with a set of referent images, each referent image having a known interpretation, the apparatus comprising:

a matrix partitioner for sub-dividing a matrix representing a referent image into a plurality of sub-matrices representing portions of the referent image; and

a plurality of lookup tables for storing, for each sub-matrix sub-divided by said matrix partitioner, the interpretation of the referent image, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation.

51. The apparatus of claim 50, wherein the referent image is a character image.

52. The apparatus of claim 50, wherein the matrix has identical dimensions for each image to be trained.

53. The apparatus of claim 50, wherein the matrix partitioner addresses a lookup table at a location indicated by information contained within the sub-matrix and stores in the lookup table at the indicated location the interpretation of the referent image.

54. The apparatus of claim 53, wherein the matrix partitioner addresses a lookup table using a binary representation of the sub-matrix to indicate the location in the lookup table.

55. The apparatus of claim 50, further comprising:

a consistency checker for performing a consistency check on the lookup tables to

determine whether two discrete interpretations are valid for a set of sub-matrices and, responsive to two discrete interpretations being valid for the set of sub-matrices, removing one of the interpretations from the lookup tables for the set of sub-matrices.

56. The apparatus of claim 50, wherein a first sub-matrix represents a first portion of the image that at least partially overlaps a second portion of the image represented by a second sub-matrix.

57. A computer program product comprising a computer-readable medium having computer-readable code embodied therein for recognizing an image, the computer-readable medium comprising:

computer-readable code to sub-divide a matrix representing the image into a plurality of sub-matrices representing portions of the image;

computer-readable code to determine, for each sub-matrix, at least one interpretation of the whole image based upon information contained within the sub-matrix; and

computer-readable code to select a preferred interpretation of the whole image from the interpretations associated with the plurality of sub-matrices.

58. A method for training an image recognition system with a set of referent images, each referent image having a known interpretation, the method comprising the steps of:

sub-dividing a matrix representing a referent image into a plurality of sub-matrices representing portions of the referent image;

for each sub-matrix, storing the interpretation of the referent image in a lookup table, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation;

performing a consistency check on the lookup tables to determine whether two discrete interpretations are valid for a set of sub-matrices; and

responsive to two discrete interpretations being valid for the set of sub-matrices, removing one of the interpretations from the lookup tables for the set of sub-matrices.

59. An apparatus for recognizing an image, the apparatus comprising:

a computer-readable medium for storing a matrix representing an image to be recognized;

a matrix partitioner for sub-dividing the matrix stored on said computer readable medium into a plurality of sub-matrices representing portions of the image, wherein the matrix partitioner partitions each 16 x 16 matrix into at least twenty-five 4 x 4 sub-matrices, sixteen of the sub-matrices arranged to fill the matrix, and nine of the sub-matrices arranged in a 3 x 3 configuration at the center of the matrix, each matrix having dimensions of 16 x 16 elements, and each sub-matrix having dimensions of 4 x 4 elements;

an interpretation set builder for determining, for each sub-matrix sub-divided by said matrix partitioner, at least one interpretation of the image based upon information contained within the sub-matrix; and

a preferred interpretation selector for selecting a preferred interpretation of the image from the interpretations determined by said interpretation set builder and associated with the plurality of sub-matrices.

60. An apparatus for recognizing an image, the apparatus comprising:

a computer-readable medium for storing a matrix representing an image to be recognized, wherein the computer-readable medium comprises N lookup tables, where N equals the number of sub-matrices, each of the lookup tables comprising at least 2^M entries of at least X bits each, where M equals the number of elements per sub-matrix, and where X equals the number of recognizable images;

a matrix partitioner for sub-dividing the matrix stored on said computer-readable medium into a plurality of sub-matrices representing portions of the image;

an interpretation set builder for determining, for each sub-matrix sub-divided by said matrix partitioner, at least one interpretation of the image based upon information contained within the sub-matrix; and

a preferred interpretation selector for selecting a preferred interpretation of the image from the interpretations determined by said interpretation set builder and associated with the

plurality of sub-matrices.

61. An apparatus for training an image recognition system with a set of referent images, each referent image having a known interpretation, the apparatus comprising:

a matrix partitioner for sub-dividing a matrix representing a referent image into a plurality of sub-matrices representing portions of the referent image; and

a plurality of lookup tables for storing, for each sub-matrix subdivided by said matrix partitioner, the interpretation of the referent image, each sub-matrix having a different lookup table, each lookup table for associating a sub-matrix with at least one interpretation; and

a consistency checker for performing a consistency check on the lookup tables to determine whether two discrete interpretations are valid for a set of sub-matrices and, responsive to two discrete interpretations being valid for the set of sub-matrices, removing one of the interpretations from the lookup tables for the set of sub-matrices.

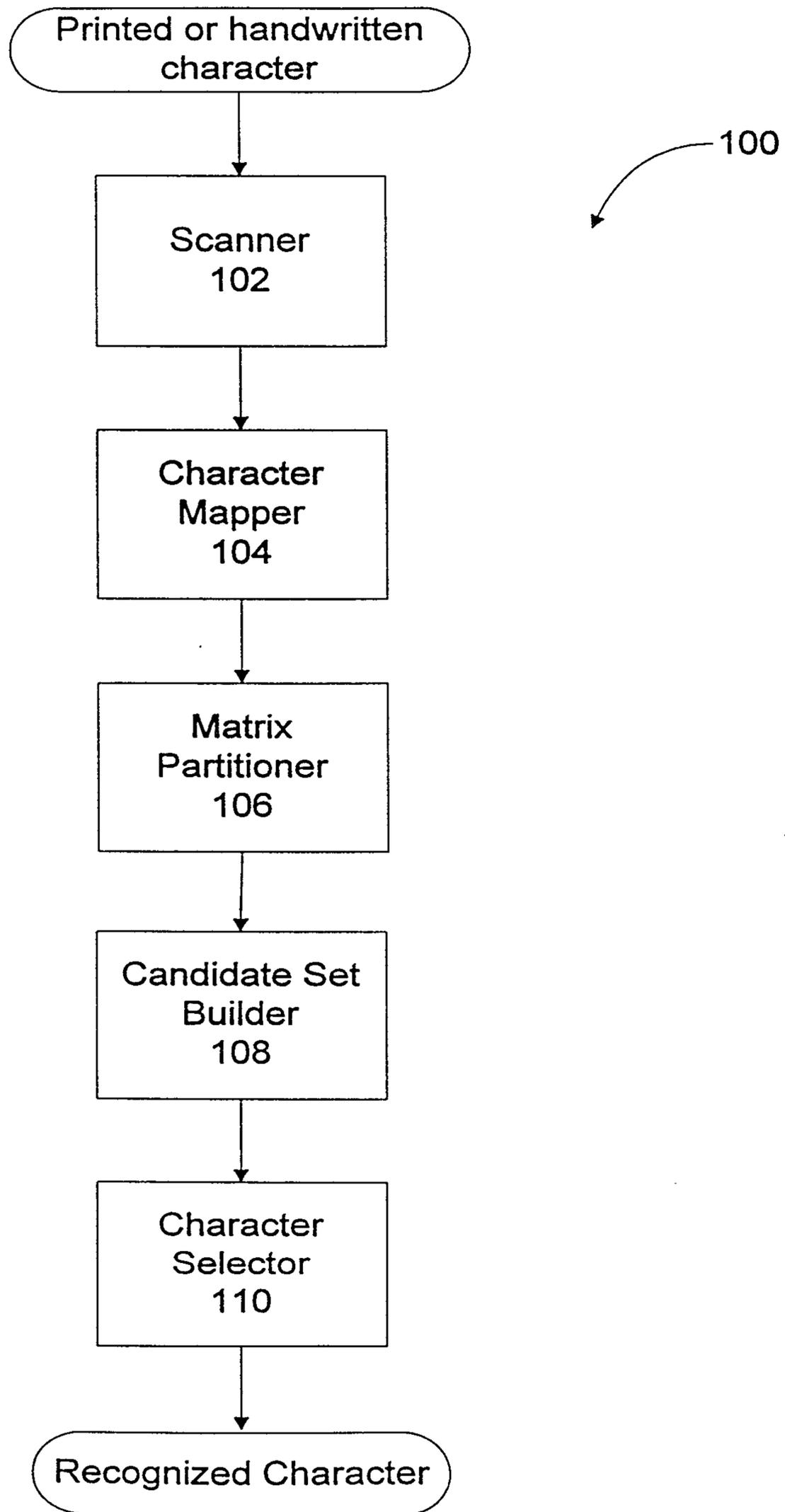


Fig. 1

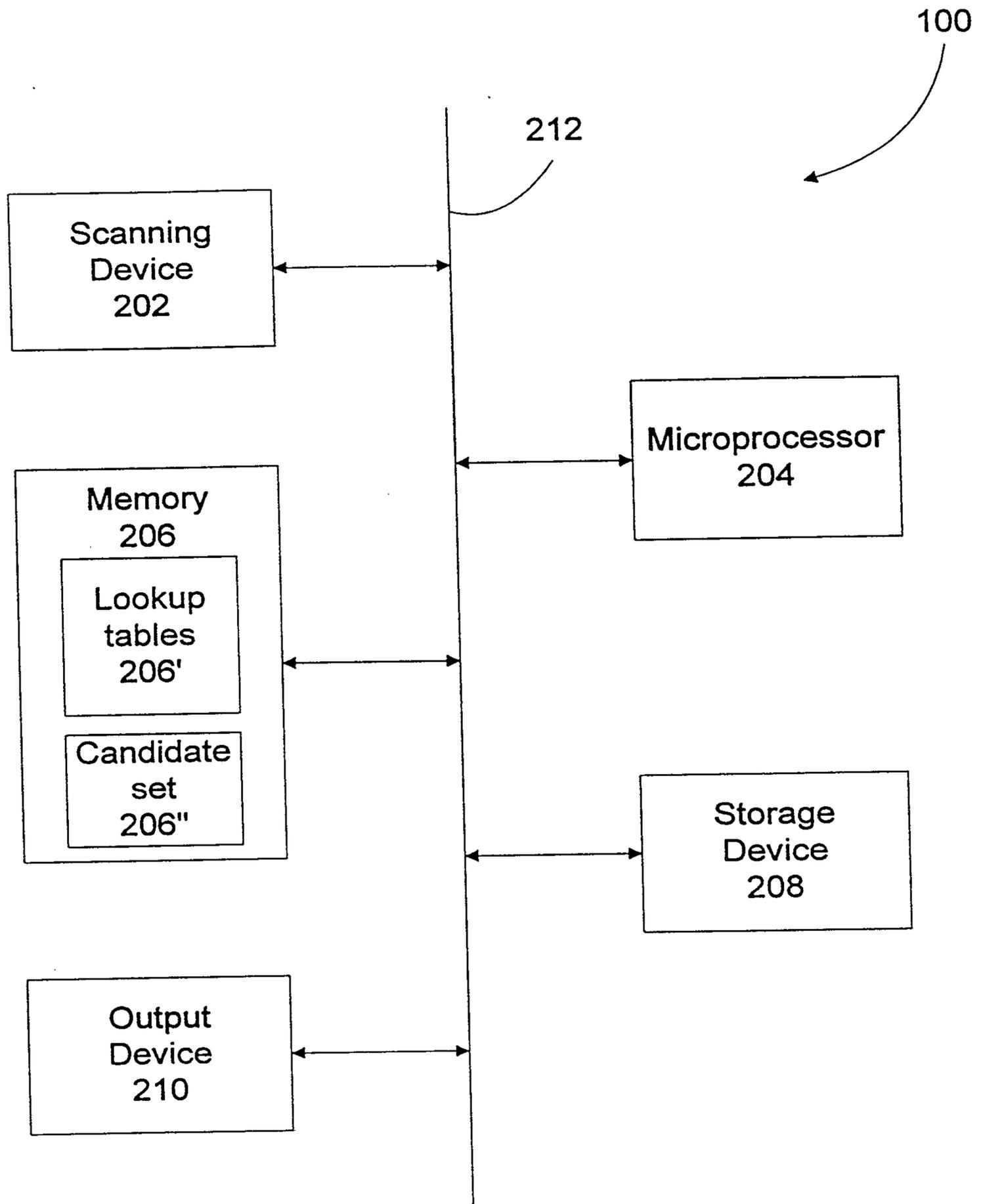


Fig. 2

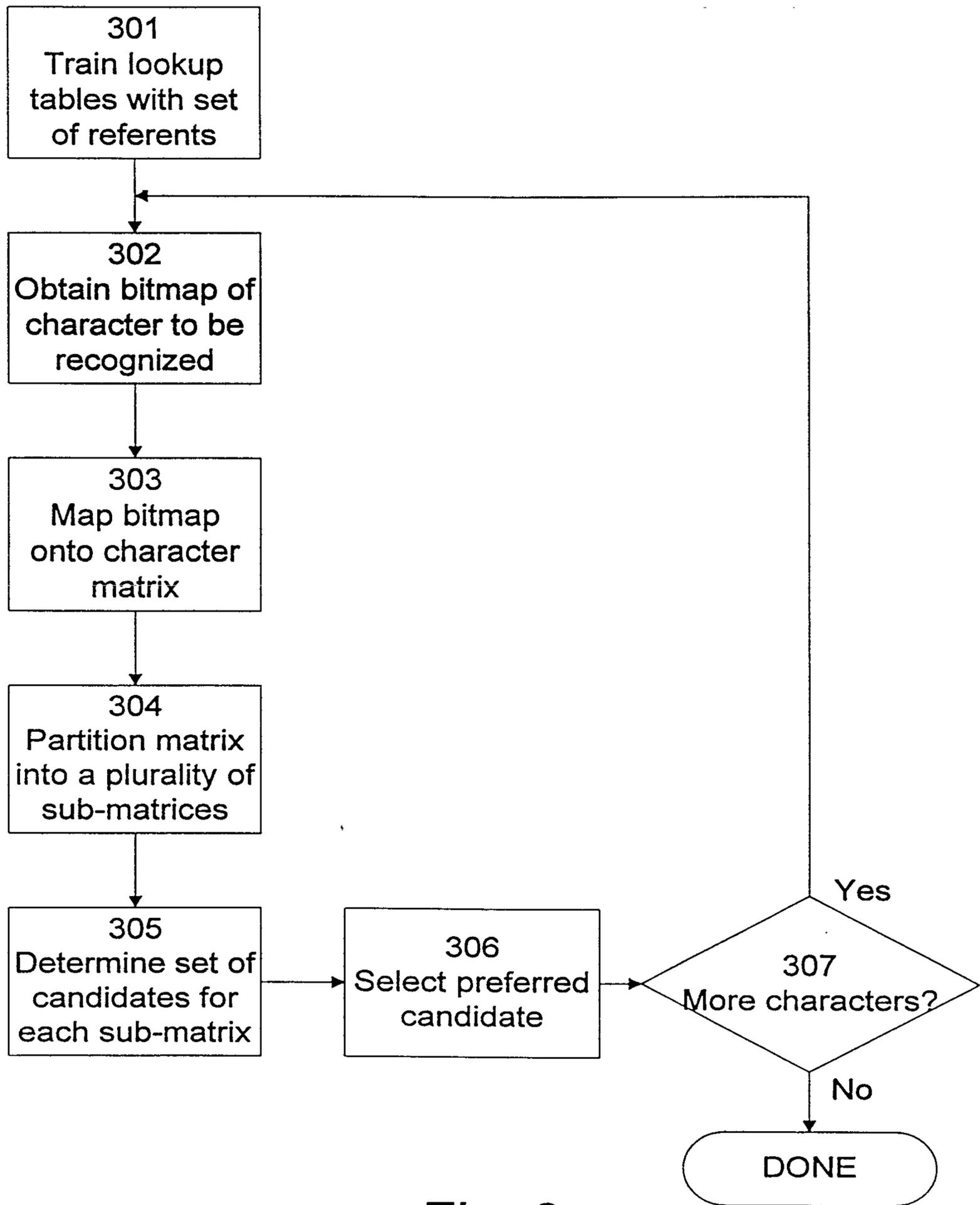


Fig. 3

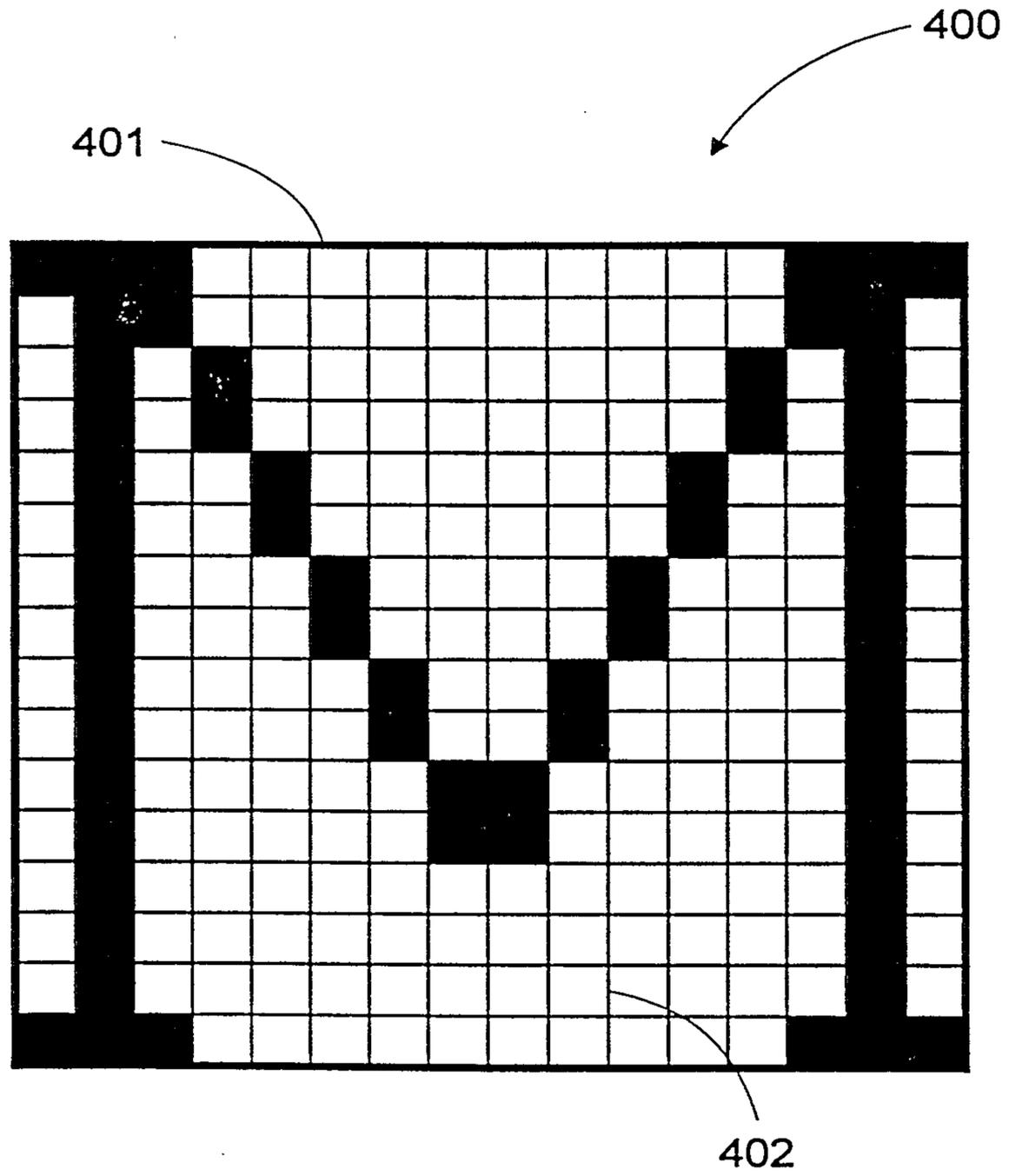


Fig. 4

1				2				3				4			
		17				18						19			
5				6				7				8			
		20				21						22			
9				10				11				12			
		23				24						25			
13				14				15				16			

Fig. 5

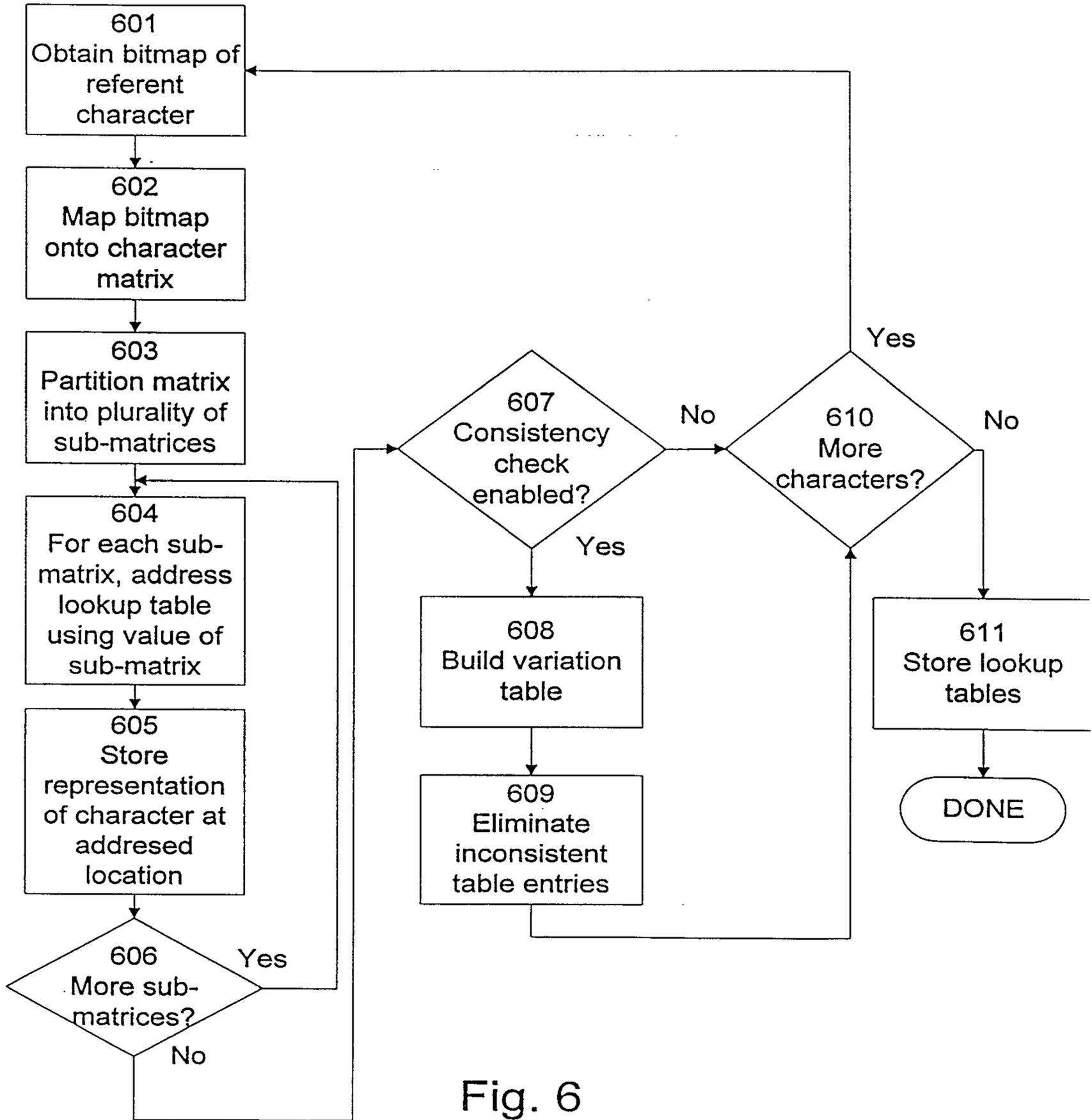
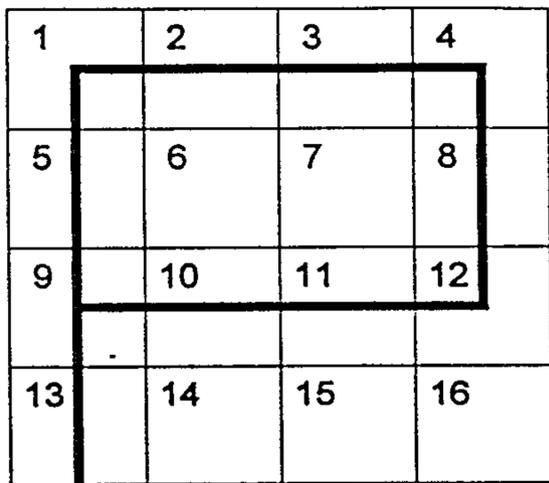
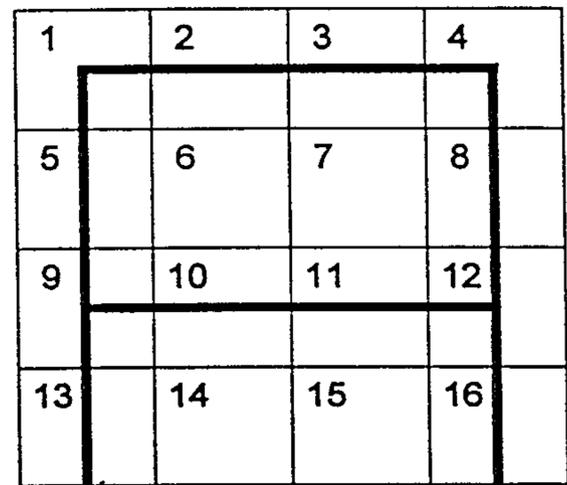


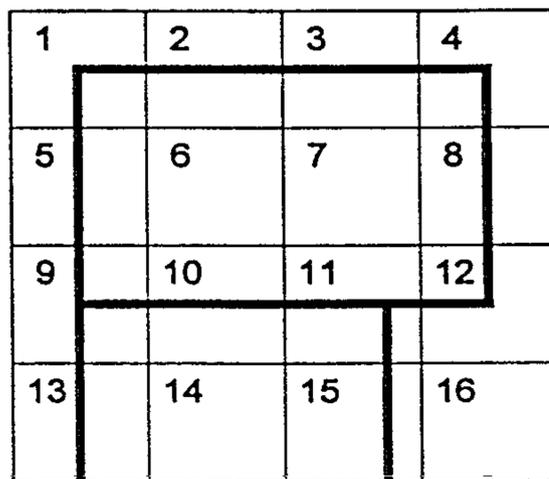
Fig. 6



(a)



(b)



(c)

Fig. 6A

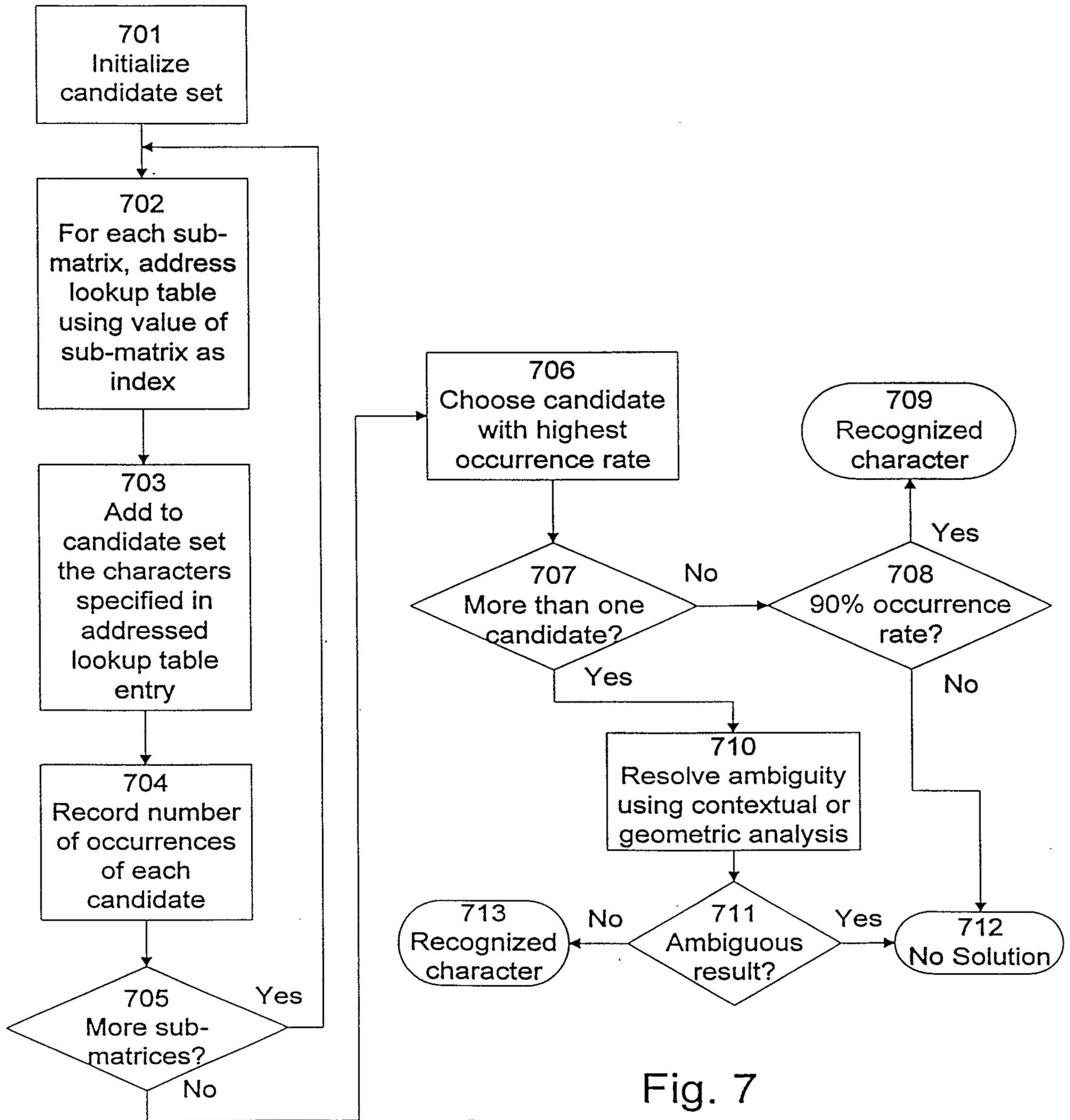


Fig. 7

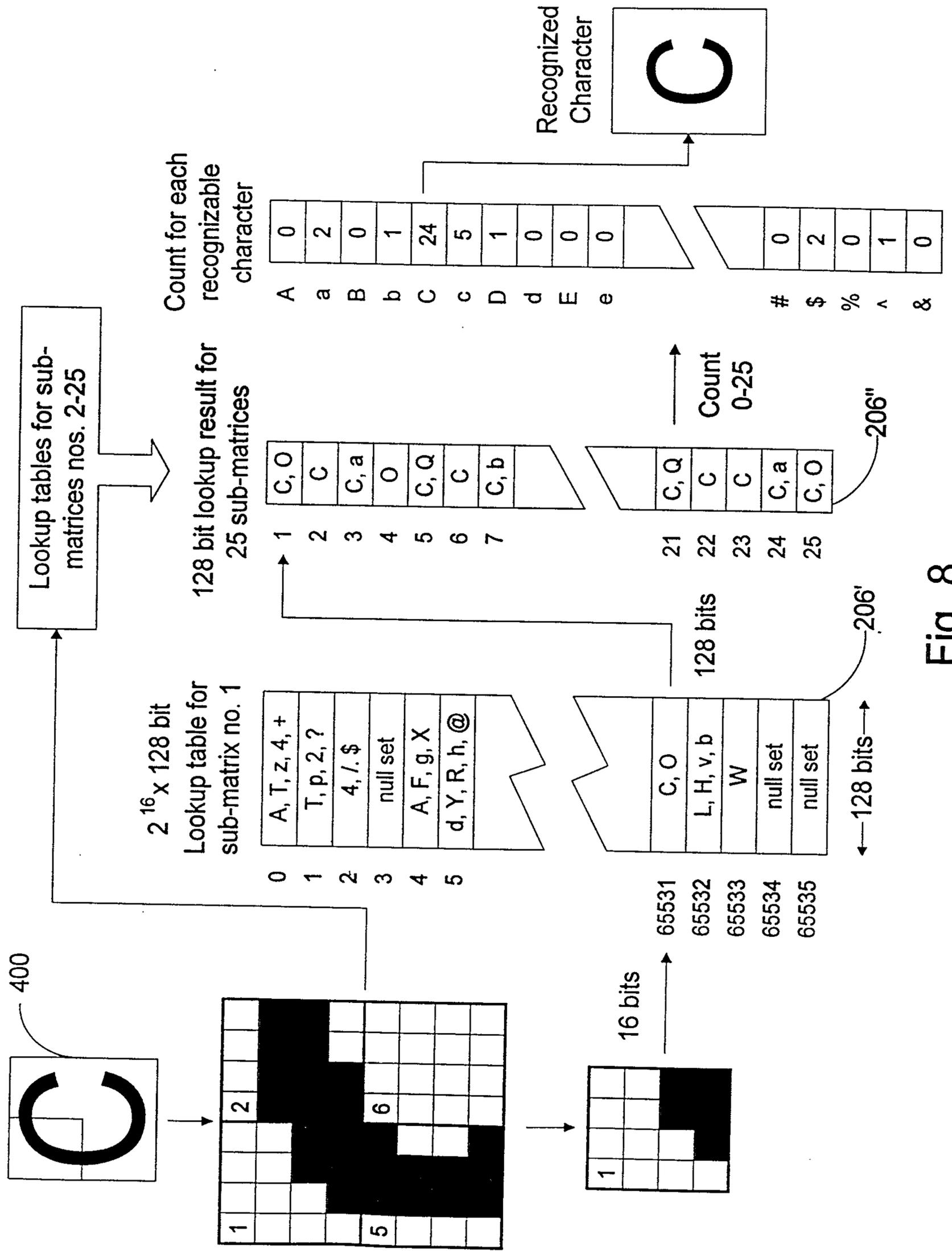


Fig. 8

