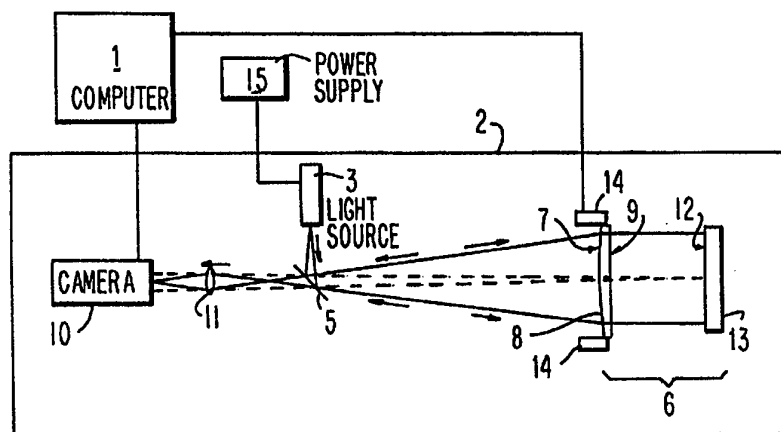




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(54) Title: IMPROVED PHASE SHIFTING INTERFEROMETER AND METHOD FOR SURFACE TOPOGRAPHY MEASUREMENT



(57) Abstract

A system for measuring surface topography with notably decreased sensitivity to errors in phase and phase interval calibration and to the presence of low-frequency vibration during data acquisition includes an optical interferometer (2) for developing interference data from the surface (12) to be profiled, an electronic imaging system or camera (10) for receiving the interference data, an arrangement (14) for variably adjusting the reference phase of the interferometer illumination, and a digital signal processing device or computer (1) for determining surface height from the interference data. A sequence of seven intensity images are detected by the imaging system (10) and stored electronically. The intensity images correspond to interference patterns for seven different reference phase values spaced at intervals of approximately $\pi/2$. The images are processed by the computer (1) so as to recover the surface topography. The invention differs substantially from the prior art in that the phase shifts need not be perfectly exact or repeatable, and neither is it necessary to completely eliminate low-frequency mechanical vibrations from the optical system during data acquisition. An alternative embodiment of the invention includes a laser diode-based illumination source (4) that is operatively tuned in wavelength to effect the required phase shifts.

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1 IMPROVED PHASE SHIFTING INTERFEROMETER
2 AND METHOD FOR SURFACE TOPOGRAPHY
3 MEASUREMENT

4
5 FIELD OF THE INVENTION

6 The present invention relates generally to
7 precision optical metrology instrumentation for
8 imaging and analysis of surfaces and, more
9 particularly, to interferometer-based apparatus and
10 methods for the profiling of surface topography.

11 BACKGROUND OF THE INVENTION

12 Among the most widely-used instruments for
13 measuring surface topographies are interferometers,
14 which use the wave nature of light to map variations
15 in surface height with a high degree of accuracy.
16 It is generally accepted in the art that the most
17 accurate interferometers in common use today are
18 based on the principle of phase shifting. Modern
19 phase-shifting interferometers are typically
20 comprised of an optical system, an electronic
21 imaging system, a computer-based or otherwise
22 automated controller, and means for introducing a
23 reference phase shift. Phase shifting
24 interferometry ("PSI") is, for example, described in
25 detail in Chapter 14 of the book *Optical Shop*
26 *Testing*, edited by Daniel Malacara (Wiley, New York,
27 1992). Briefly described, PSI typically involves
28 the electronic storage of intensity patterns
29 measured for a sequence of three to five reference
30 phase shifts. These stored intensity patterns are
31 then analyzed, as by a computer-based digital signal
32 processor, to recover the original wavefront phase
33 through analysis of the variations of intensity as

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1 a function of phase shift. When such PSI-based
2 instruments are properly adjusted, they are capable
3 of measuring surface topography with a resolution on
4 the order of one-thousandth the wavelength of light.

5 Certain aspects of all prior art PSI
6 instruments are critical to obtaining topographical
7 surface measurements with the intended high degree
8 of precision. These include precise adjustment of
9 the reference phase and incremental phase changes
10 during operation of the interferometer, and
11 substantially complete isolation of the
12 interferometer from vibration, most especially the
13 low-frequency vibrations typical of most production
14 environments.

15 Currently the most common method and
16 arrangement for introducing the reference-phase
17 shifts required for PSI is by mechanical translation
18 or movement of the reference surface. The total
19 amount of mechanical motion necessary for this
20 purpose is normally less than the wavelength of
21 light, and is often effected by controlled operation
22 of a piezo-electric transducer (PZT), or equivalent
23 assembly, which must be carefully (and often
24 repeatedly) calibrated to provide suitably accurate
25 and repeatable phase shifts. An alternative
26 methodology known in the art involves selectively
27 tuning the wavelength of the source light for the
28 interferometer in such a way as to effect small
29 phase shifts. This alternative method also requires
30 careful calibration, and may even necessitate
31 continuous monitoring of the source wavelength.

32 It is well known that distortions of the
33 phase shift typically result in unacceptable
34 measurement errors in prior art PSI instruments.

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1 Thus, significant errors can result when the
2 reference phase shift is nonlinear or is not
3 properly calibrated. In an article entitled *Linear*
4 *Approximation For Measurement Errors In Phase*
5 *Shifting Interferometry*, by J. van Wingerden, H.H.
6 Frankena and C. Smorenburg, 30 *Applied Optics* 2718-
7 29 (1991), these errors are described in some
8 detail, including their form and magnitude. Several
9 other articles, such as that by K. Kinnstatter, Q.W.
10 Lohmann, J. Schwider and N. Streibl entitled
11 *Accuracy Of Phase Shifting Interferometry*, 27
12 *Applied Optics* 5082-89 (1988), note the importance
13 of careful calibration and suggest specific
14 arrangements for measuring the magnitude of phase
15 shifts. Deviations from linear motion are
16 particularly troublesome in prior art PSI
17 instruments, since even relatively small
18 nonlinearities can lead to unacceptably large errors
19 in the resulting surface height calculations. As a
20 consequence, expensive and cumbersome high-voltage
21 PZT assemblies must be employed to assure that the
22 phase shift is as linear as possible, and time-
23 consuming procedures must be performed to attain
24 substantially perfect calibration of phase shifts.
25 Problems associated with calibrating and linearizing
26 wavelength shifts have also effectively precluded
27 the widespread use of wavelength-tunable laser
28 diodes in interferometers as an alternative to
29 mechanical displacement of interferometer elements.

30 Low-frequency and other vibrations
31 occurring during the interferometer data acquisition
32 cycle also significantly distort the phase shifts,
33 thus resulting in additional errors which in prior
34 art instruments can only be avoided through careful

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1 elimination of all sources of vibration. Commonly-
2 employed methods of vibration isolation involve the
3 use of large granite or air-suspension tables, in
4 conjunction with a heavy framework for supporting
5 the interferometer optics. In addition, the
6 measurement system must often be physically
7 separated from production and assembly areas,
8 resulting in significantly higher costs to
9 manufacturing industries that use interferometers to
10 verify the surface quality of manufactured articles.

11 There is therefore an unmet need for an
12 apparatus and method for accurately measuring
13 surface topography without dependence upon the high-
14 precision phase shifting mechanisms and procedures
15 and expensive vibration isolation arrangements of
16 the prior art.

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1 OBJECTS OF THE INVENTION

2 It is accordingly a principal object of
3 the present invention to provide an improved method
4 and apparatus for measuring the topography of a
5 surface using phase-shifting interferometry.

6 It is a further object of the invention to
7 measure surface topography with a very high degree
8 of accuracy yet without requiring careful or
9 precision calibration of the mechanism by which the
10 phase shift is provided.

11 It is a further object of the invention to
12 provide a method and apparatus by which unusually
13 high measurement accuracies may be achieved using a
14 low-cost phase shifting mechanism having significant
15 nonlinear motion, the quality of such motion being
16 incompatible or unacceptable for obtaining high
17 accuracy surface topography measurements using prior
18 art phase shifting interferometry methods and
19 apparatus.

20 It is a further object of the invention to
21 provide a method and apparatus by which very high
22 measurement accuracies may be achieved using an
23 inexpensive wavelength-tunable source such as a
24 laser diode, the quality of the wavelength tuning
25 being incompatible or unacceptable obtaining high
26 accuracy surface topography measurements using prior
27 art phase shifting interferometry methods and
28 apparatus.

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SUMMARY OF THE INVENTION

1
2 An apparatus constructed in accordance
3 with the present invention for measuring surface
4 topographies includes an optical interferometer for
5 developing interference data from a surface to be
6 profiled, an electronic imaging system for receiving
7 the interference data from the interferometer, a
8 computer-based controller and data analysis
9 apparatus, and an apparatus or arrangement for
10 variably adjusting the reference phase of the
11 interferometer illumination. In accordance with a
12 preferred method of the invention, a sequence of
13 seven intensity images are detected by the imaging
14 system and are electronically stored. These
15 intensity images correspond to interference patterns
16 or interferograms for seven different reference
17 phase values spaced at intervals of approximately
18 $\pi/2$. The phase value intervals (i.e. of
19 approximately $\pi/2$) need not be perfectly the same
20 and need not be repeatable, nor is it necessary that
21 low-frequency vibrations be completely eliminated
22 from the optical system during data acquisition for
23 the intensity images. The seven interferograms are
24 processed in the computer -- utilizing a methodology
25 heretofore unknown in the art -- so as to recover
26 from that data the topography of the surface under
27 test. Alternative embodiments of the invention
28 include a laser-diode based apparatus, and methods
29 using six intensity frames, thirteen intensity
30 frames, and combinations of two or more sets of
31 intensity measurements obtained at different phase
32 offsets.
33 Additional objects and features of the

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1 present invention will become apparent from the
2 following detailed description considered in
3 conjunction with the accompanying drawings. It is
4 to be understood, however, that the drawings are
5 designed solely for purposes of illustration and not
6 as a definition of the limits of the invention, for
7 which reference should be made to the appended
8 claims.

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1 BRIEF DESCRIPTION OF THE DRAWINGS

2 In the drawings, wherein like reference
3 characters denote similar elements throughout the
4 several views:

5 Fig. 1 is a block diagram representation
6 of a phase shifting interferometer and associated
7 apparatus constructed in accordance with a first
8 embodiment of the invention and employing a
9 mechanical reference phase shifting arrangement;

10 Fig. 2 is a block diagram representation
11 of a phase shifting interferometer and associated
12 apparatus constructed in accordance with a second
13 embodiment of the invention and employing a
14 wavelength-tunable laser-diode source for shifting
15 or predetermined variation of the reference phase;

16 Fig. 3 is a graph comparing the
17 performance of a phase shifting interferometer
18 apparatus and method of the present invention with
19 a representative prior art approach in the presence
20 of phase-shift calibration errors;

21 Fig. 4 is a graph comparing the
22 performance of a phase shifting interferometer
23 apparatus and method of the present invention with
24 a representative prior art approach in the presence
25 of phase-shift nonlinearity; and

26 Fig. 5 is a graph comparing the
27 performance of a phase shifting interferometer
28 apparatus and method of the present invention with
29 a representative prior art approach in the presence
30 of low-frequency vibration.

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1 DETAILED DESCRIPTION OF
2 THE PREFERRED EMBODIMENTS

3 Fig. 1 diagrammatically depicts the basic
4 elements of a an apparatus constructed in accordance
5 with a first preferred embodiment of the present
6 invention and which is principally formed of a
7 computer 1 and a phase shifting interferometer 2 of
8 the well-known Fizeau geometry. In the preferred
9 form of the apparatus herein described, the computer
10 1 -- which may be a digital signal processing
11 apparatus specially constructed or adapted for this
12 application or a general purpose computer that has
13 been programmed to implement the herein-disclosed
14 functionality -- both controls the operation of the
15 interferometer and receives and carries out the
16 calculations on and analysis of the interference
17 intensity data that is generated through operation
18 of the interferometer in accordance with the
19 invention. Optically equivalent interferometer
20 geometries, such as of the Michelson or Twyman Green
21 type, may alternatively be employed and should be
22 understood as being within the intended scope and
23 contemplation of this disclosure.

24 The interferometer 2 is illuminated by an
25 optically coherent source 3 -- i.e. a source having
26 sufficient spectral and spatial purity for
27 performing interferometry with the Fizeau geometry
28 shown in Fig. 1. The source 3 may by way of example
29 be a laser of any of the common forms known in the
30 art, such as a Helium Neon laser, and receives
31 operating power from a power supply 15. Light from
32 the source 3 is reflected by a beam splitter 5 and
33 directed to a Fizeau interferometer cavity 6, at

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1 which the light is collimated by the convex surface
2 7 of a plano-convex lens 8. The plano side 9 of the
3 lens 8 serves as a reference surface and reflects a
4 portion of the light backwards through the beam
5 splitter 5 to a camera 10 via an imaging lens 11.
6 The camera 10 may be a standard video camera,
7 charge-coupled device (CCD) or like apparatus for
8 generating electronic images representative of the
9 intensity of light impinging thereon. A portion of
10 the light passing through the lens 8 is reflected
11 from the front side 12 of an object 13 whose surface
12 topography is to be measured. The light reflected
13 from the object 13 is transmitted back through the
14 beam splitter 5 to the camera 10, where it
15 interferes with the light reflected from the
16 reference surface 9. The interference phenomena
17 result in an interferogram having an intensity
18 distribution that is measured by the camera 10 and
19 electronically transferred to the computer 1.

20 The interferometer 2 is operatively
21 employed to measure the characteristics of the light
22 reflected from the object or part under test -- more
23 specifically the phase profile of the reflected
24 wavefront. The camera-received intensity images are
25 stored in the computer-based controller for a
26 sequence of a predetermined plurality of
27 substantially equally-spaced phase shifts. These
28 phase shifts are produced by an assembly 14 which
29 includes electro-mechanical means for physically
30 displacing the lens 11 back and forth so as to vary
31 the length of the Fizeau cavity 6; such physical
32 displacement may, for example, be provided in a well
33 known arrangement by a piezo-electric transducer
34 (PZT) or the like. The computer 1 operatively

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1 controls this displacement of the lens 11, as by
2 supplying a selectively-varying voltage to the PZT.
3 Those skilled in the art will recognize that other
4 arrangements for varying the length of the Fizeau
5 cavity through physical displacement of parts or
6 elements of the interferometer may alternatively be
7 employed as a matter of design choice.

8 In accordance with a preferred method of
9 the invention, a sequence of seven intensity images
10 are stored in associated memory or otherwise
11 recorded by the computer 1 during a linear
12 displacement of the lens 11. Thus, for each image
13 point there will be seven intensity values g_j stored
14 in computer memory, each equal to:

15
$$g_j = A \cdot (1 + V \cdot \cos(\Theta + \phi_j))$$
 , Equation 1
16
17

18 where V is the fringe visibility, A is an overall
19 constant, and $j = 0 \dots 6$ for the seven respective
20 images. The reference phase shifts ϕ_j are

21
22
$$\phi_j = (j - 3) \frac{\pi}{2}$$
 . Equation 2
23
24

25 Thus the phase interval between images is (at least
26 approximately) $\pi/2$ which, at a typical source
27 illumination wavelength λ of 633nm corresponds to a
28 linear motion between successive images of 79nm.
29 After recording the seven intensity images, the
30 computer 1 calculates the wavefront phase Θ using
31 the relationship

32
$$\Theta = \tan^{-1}(T)$$
 Equation 3
33
34
35

36 where

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$$T = \frac{7(g_2 - g_4) - (g_0 - g_6)}{-4(g_1 + g_5) + 8g_3} \quad \text{Equation 4}$$

This calculation is performed for every image point in the field of view of the instrument to generate an accurate phase image representative of the wavefront reflected from the object. The surface height h corresponding to a wavefront phase θ is then calculated for each image point using the formula

$$h = \lambda \frac{\theta}{4\pi} \quad \text{Equation 5}$$

In this manner the computer 1 calculates the height for every point in the image, yielding a three-dimensional topographical image of the object surface.

In a second, alternative apparatus embodiment of the invention depicted in Fig. 2, the shifting of phase in the interferometer between successive intensity images is achieved by selectively adjusting the wavelength of a tunable source 4 in a manner similar to that taught by Sommagren in U.S. Patent No. 4,594,003 entitled *Interferometric Wavefront Measurement*. This so-called wavelength tuning is, in a preferred form of this second apparatus embodiment, performed automatically through computer-controlled operation of a laser diode power supply 17. However, instead of using four intensity image measurements as described in the Sommagren patent, the present invention contemplates that a sequence of seven intensity images corresponding to inter-image phase

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1 shifts of approximately $\pi/2$ be obtained and stored,
2 as in computer memory. The computer 1 then uses
3 Equations (3), (4) and (5) to calculate the surface
4 topography of the object, where λ in Equation (5) is
5 the mean or average wavelength of the wavelength-
6 tuned source.

7 The present invention differs
8 fundamentally from prior art phase shifting
9 interferometry in that, for all practical purposes,
10 the method and apparatus of the invention are
11 substantially insensitive to errors in the phase
12 shift between successive intensity images -- i.e. to
13 errors by virtue of which the phase shift ϕ_j is in
14 fact different than the expected or intended value.
15 Assume, for purposes of description, that the actual
16 phase shift ϕ_j is represented by

17
18
19
$$\phi_j = \left(\frac{\pi}{2} + \varepsilon + \frac{\gamma}{2} (j-3) \right) (j-3) \quad . \quad \text{Equation 6}$$

20
21

22 In Equation (6), the coefficient ε represents an
23 error in calibration and the coefficient γ
24 represents a quadratic nonlinearity in the phase
25 shift. In prior art phase shifting interferometry,
26 these coefficients are minimized to the greatest
27 possible extent, as hereinabove described, since
28 they will otherwise cause significant measurement
29 errors in the resulting topographical profile.
30 However, the method and apparatus of the present
31 invention are for all practical purposes totally
32 independent of these distortions, making it possible
33 to use much less expensive phase-shifting mechanisms
34 and minimizing or eliminating the need for the
35 extensive calibration procedures that are absolute

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1 requirements of the prior art.

2 Fig. 3 depicts a graph comparing the
3 magnitudes of the resulting measurement error using
4 the methods of the prior art, on the one hand, and
5 of the present invention, on the other, as a
6 function of the calibration coefficient ϵ in
7 Equation (6). The prior art in this instance refers
8 to that method described in the article by P.
9 Hariharan, F.F. Oreb and T. Eiju entitled *Digital*
10 *Phase Shifting Interferometry: A Simple Error-*
11 *Compensating Phase Calculation Algorithm*, 26 *Applied*
12 *Optics* 2504-06 (1987). That method is widely
13 considered to be the best currently available for
14 use in topographical surface analysis using phase
15 shifting interferometry. As is clearly apparent in
16 Fig. 3, the prior art method requires extremely
17 precise calibration of the phase shift in order to
18 maintain surface height determinations to accuracies
19 of better than one-thousandth of a light wave. The
20 inventive method and apparatus, on the other hand,
21 provide highly accurate topographical surface
22 measurements that are essentially independent of
23 phase shift calibration.

24 Fig. 4 similarly displays a graphical
25 comparison of the magnitudes of measurement error
26 for the prior art and the inventive methods, as a
27 function of the nonlinear coefficient γ in Equation
28 (6). The Fig. 4 graph clearly demonstrates that the
29 prior art requires nearly perfect linearity of the
30 phase shift between successive intensity images in
31 order to maintain surface height measurement
32 accuracies of better than one-thousandth of a light
33 wave. Once again, the inventive method provides for
34 highly accurate measurement accuracies that are

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1 essentially independent of quadratic nonlinearities
2 in the phase shift.

3 Finally, Fig. 5 is a graph comparing the
4 magnitudes of measurement error for the prior art
5 and the inventive methods in the presence of low-
6 frequency vibration. This graph relates measurement
7 error to vibrational frequency for a prior art
8 instrument equipped with a 60Hz video camera. The
9 amount of vibration is maintained at a fixed value
10 over the frequency range, and corresponds to
11 mechanical vibration in the interferometer cavity
12 equal to about five-thousandths of a light wave.
13 The Fig. 5 graph evidences significant surface
14 height measurement errors for vibrations over the
15 entire low-frequency range; these vibrational
16 frequencies are particularly common in production
17 environments and may for example be caused by heavy
18 machinery, thermal motion, air currents and
19 pedestrian traffic. Even a cursory review of the
20 graphed data clearly reveals that prior art
21 interferometers require extensive low-frequency
22 vibration isolation to maintain high precision; the
23 magnitude of measurement error for the inventive
24 method and apparatus, on the other hand, is
25 substantially reduced. The present invention thus
26 significantly reduces or eliminates the heretofore-
27 understood need for extensive vibration isolation of
28 the interferometer instrument.

29 Although the currently most preferred
30 embodiments of the invention employ the data
31 obtained from seven interferograms, for some
32 applications it may be advantageous to reduce the
33 number of intensity images received and stored to
34 six. Under such circumstances, the essential

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1 features and functionality of the invention are
2 preserved by substituting the following equation in
3 place of Equation (4):

$$T = \frac{3g_1 - 4g_3 + g_5}{-g_0 + 4g_2 - 3g_4}, \quad \text{Equation 7}$$

4
5
6
7
8
9 where the six intensity images are denoted as
10 $j = 0 \dots 5$. While the performance of the invention
11 will be somewhat reduced when using Equation (7)
12 with six intensity images in lieu of Equation (4)
13 with seven, this modified implementation of the
14 inventive method is nevertheless still essentially
15 independent of and insensitive to the calibration,
16 linearity and vibration problems that are
17 characteristic of the prior art.

18 Further alternative embodiments of the
19 invention include systems which average two or more
20 successive sets of measurements taken at different
21 phase offsets. For example, in Fizeau cavities with
22 highly-reflective reference and object surfaces, it
23 is advantageous to apply Equation (4) to two
24 different data sets respectively offset one to the
25 other by $\pi/4$. Such an offset may be conveniently
26 obtained by taking one data set of fourteen
27 intensity frames at phase intervals of $\pi/4$.
28 Equations (3) and (4) are then applied to the data
29 collected for all even numbered frames or intensity
30 images, and are then reapplied to the data for all
31 odd-numbered frames. The final phase value is the
32 average of the results of the two calculations.

33 A still further alternative embodiment
34 that is also effective with Fizeau cavities and data
35 with large amounts of intensity noise involves the

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1 recording of thirteen intensity frames
 2 ($j = 0 \dots 12$) at phase intervals of $\pi/4$, and then
 3 calculating the surface heights as previously
 4 described but employing the following formula in
 5 lieu of Equation (4):

$$T = \frac{-3(g_0 - g_{12}) - 4(g_1 - g_{11}) + 12(g_3 - g_9) + 21(g_4 - g_8) + 16(g_5 - g_7)}{-4(g_1 + g_{11}) - 12(g_2 + g_3 + g_9 + g_{10}) + 16(g_5 + g_7) + 24g_6}$$

Equation 8

where

$$g_j = A \cdot (1 + V \cdot \cos(\Theta + \phi_j)) \quad (\text{Equation 1})$$

and

$$\phi_j = (j - 6) \frac{\pi}{4} \quad \text{Equation 9}$$

These equations preserve the same benefits provided in accordance with the invention when using Equations (3) and (4) with data obtained from seven intensity image frames, while greatly increasing resistance to intensity noise present in the data.

While there have been shown and described and pointed out fundamental novel features of the invention as applied to preferred embodiments thereof, it will be understood that various omissions and substitutions and changes in the form and details of the disclosed invention may be made by those skilled in the art without departing from the spirit of the invention. It is the intention, therefore, to be limited only as indicated by the scope of the claims appended hereto.

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CLAIMS

What is claimed is:

1. A method of determining a height of a location on a surface, comprising the steps of:

positioning the surface along an optical axis of a phase shifting interferometer so that said location is optically aligned with an imaging device;

producing on the imaging device an interference pattern of the surface location using an optically coherent illumination source of wavelength λ ;

operating the interferometer to produce on the imaging device intensity data g_j for the surface location for seven frames j each successively shifted from the other by a phase difference of approximately $\pi/2$, wherein $j = 0 \dots 6$ for said seven frames;

electronically storing the intensity data g_j produced on the imaging device for said seven frames;

calculating a wavefront phase θ for said surface location using the expression

$$\theta = \tan^{-1} \left(\frac{7(g_2 - g_4) - (g_0 - g_6)}{-4(g_1 + g_5) + 8g_3} \right) ; \text{ and}$$

calculating the height h of the surface location using the expression

$$h = \lambda \frac{\theta}{4\pi} .$$

2. A method in accordance with claim 1,

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1 wherein the interferometer comprises a Fizeau-type
 2 interferometer having a Fizeau cavity, and wherein
 3 said operating step further comprises varying a
 4 length of the Fizeau cavity along the optical axis
 5 so as to produce a phase shift of approximately $\pi/2$
 6 between successive ones of the seven frames j .

7
 8 3. A method in accordance with claim 1,
 9 wherein the illumination source comprises a
 10 wavelength-adjustable source, and wherein said
 11 operating step comprises adjusting the wavelength of
 12 the source so as to produce said phase shift of
 13 approximately $\pi/2$ between successive ones of the
 14 seven frames j .

15
 16 4. A method in accordance with claim 1,
 17 further comprising the steps of:

18 operating the interferometer to
 19 produce on the imaging device intensity data g_n for
 20 seven frames n each successively shifted from the
 21 other by a phase difference of approximately $\pi/2$,
 22 said seven frames n being interleaved with said
 23 seven frames j and being separated from said frames
 24 j by a phase difference of approximately $\pi/4$,
 25 wherein $n = 7 \dots 13$ for said seven frames n ;

26 electronically storing the intensity
 27 data g_n produced on the imaging device for said seven
 28 frames n ;

29 calculating a second wavefront phase
 30 θ' for said surface location using the expression

31
 32
$$\theta' = \tan^{-1} \left(\frac{7(g_9 - g_{11}) - (g_7 - g_{13})}{-4(g_8 + g_{12}) + 8g_{10}} \right) ; \text{ and}$$

33
 34 calculating an average wavefront

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1 phase θ_{avg} using the expression

$$2 \quad \theta_{avg} = \frac{(\theta + \theta')}{2} ;$$

3
4
5
6 wherein said step of calculating the
7 height h of the surface location comprises using the
8 average wavefront phase θ_{avg} in the expression

$$9 \quad h = \lambda \frac{\theta_{avg}}{4\pi} .$$

10
11
12 5. A method of determining a height of
13 a location on a surface, comprising the steps of:

14 positioning the surface along an
15 optical axis of a phase shifting interferometer so
16 that said location is optically aligned with an
17 imaging device;

18 producing on the imaging device an
19 interference pattern of the surface location using
20 an optically coherent illumination source of
21 wavelength λ ;

22 operating the interferometer to
23 produce on the imaging device intensity data g_j for
24 the surface location for six frames j each
25 successively shifted from the other by a phase
26 difference of approximately $\pi/2$, wherein $j = 0 \dots 5$
27 for said six frames;

28 electronically storing the intensity
29 data g_j produced on the imaging device for said six
30 frames;

31 calculating a wavefront phase θ for
32 said surface location using the expression

$$33 \quad \theta = \tan^{-1} \left(\frac{3g_1 - 4g_3 + g_5}{-g_0 + 4g_2 - 3g_4} \right) ; \text{ and}$$

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```

1           calculating the height  $h$  of the
2 surface location using the expression

```

$$h = \lambda \frac{\Theta}{4\pi}.$$

5 6. A method in accordance with claim 5,
6 wherein the interferometer comprises a Fizeau-type
7 interferometer having a Fizeau cavity, and wherein
8 said operating step further comprises varying a
9 length of the Fizeau cavity along the optical axis
10 so as to produce a phase shift of approximately $\pi/2$
11 between successive ones of the six frames j .

13 7. A method in accordance with claim 5,
14 wherein the illumination source comprises a
15 wavelength-adjustable source, and wherein said
16 operating step comprises adjusting the wavelength of
17 the source so as to produce said phase shift of
18 approximately $\pi/2$ between successive ones of the six
19 frames j .

21 8. A method of determining a height of
22 a location on a surface, comprising the steps of:

23 positioning the surface along an
24 optical axis of a phase shifting interferometer so
25 that said location is optically aligned with an
26 imaging device;

27 producing on the imaging device an
28 interference pattern of the surface location using
29 an optically coherent illumination source of
30 wavelength λ ;

31 operating the interferometer to
32 produce on the imaging device intensity data g_j for
33 the surface location for thirteen frames j each
34 successively shifted from the other by a phase

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1 difference of approximately $\pi/4$, wherein $j = 0 \dots 12$
 2 for said thirteen frames;

3 electronically storing the intensity
 4 data g_j produced on the imaging device for said
 5 thirteen frames;

6 calculating a wavefront phase θ for
 7 said surface location using the expression

$$\theta = \tan^{-1} \left(\frac{-3(g_0 - g_{12}) - 4(g_1 - g_{11}) + 12(g_3 - g_9) + 21(g_4 - g_8) + 16(g_5 - g_7)}{-4(g_1 + g_{11}) - 12(g_2 + g_3 + g_9 + g_{10}) + 16(g_5 + g_7) + 24g_6} \right)$$

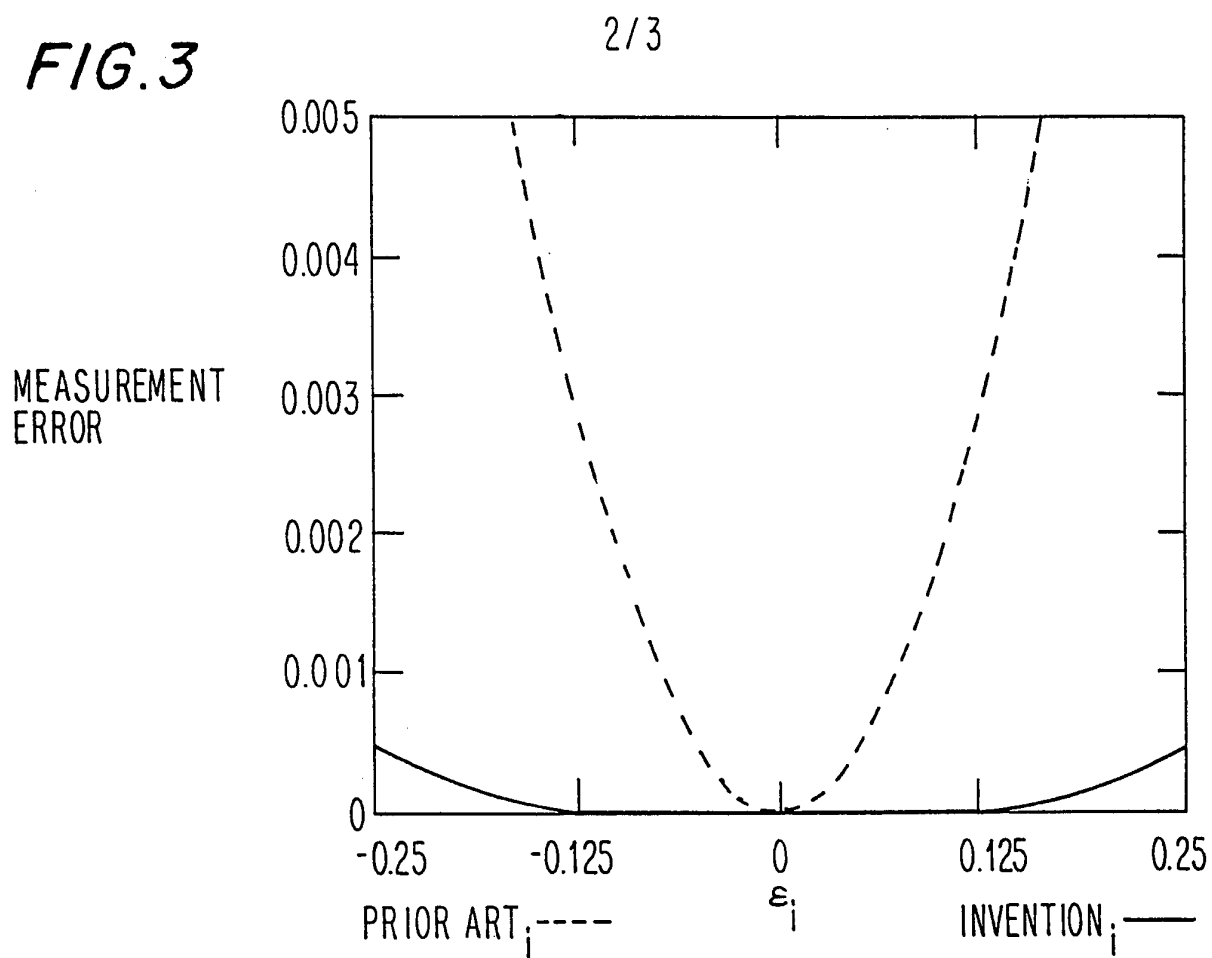
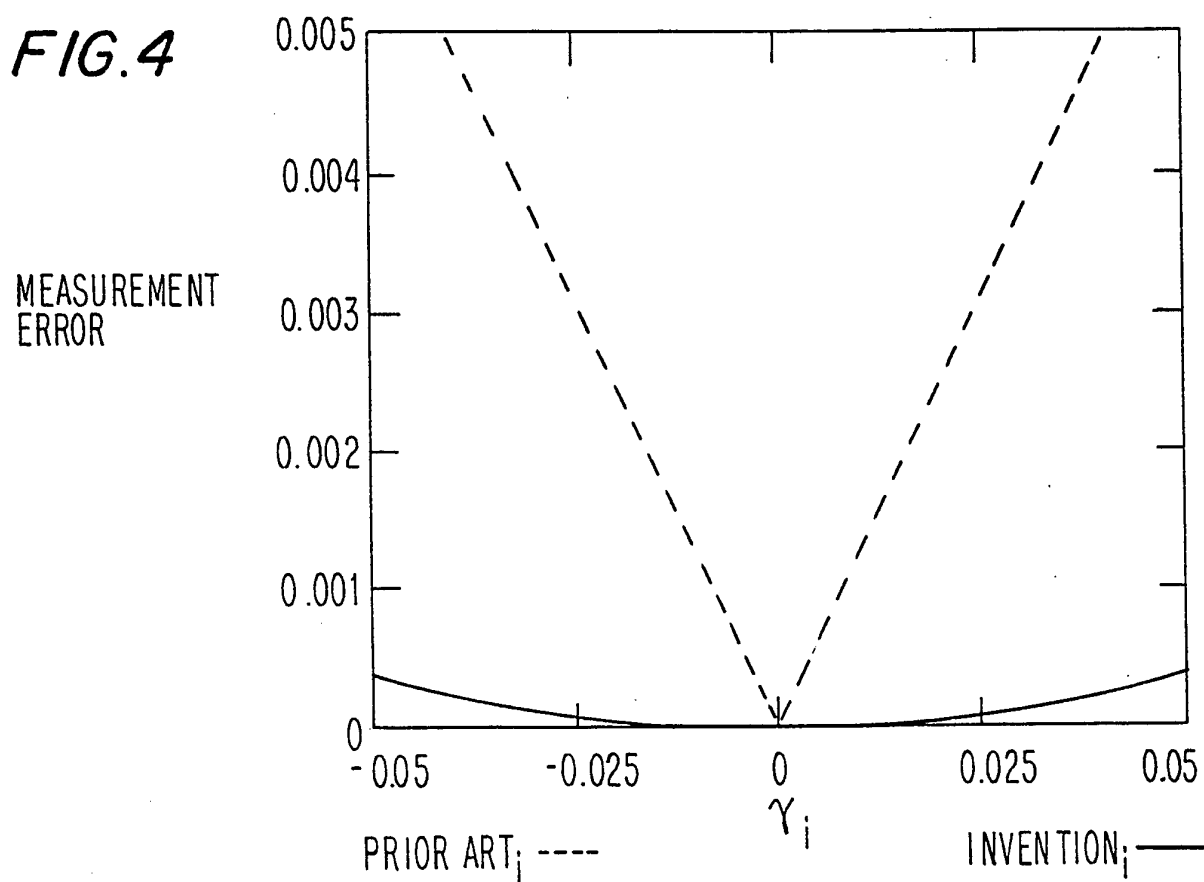
8
 9
 10
 11
 12
 13 and

14 calculating the height h of the
 15 surface location using the expression

$$h = \lambda \frac{\theta}{4\pi} .$$

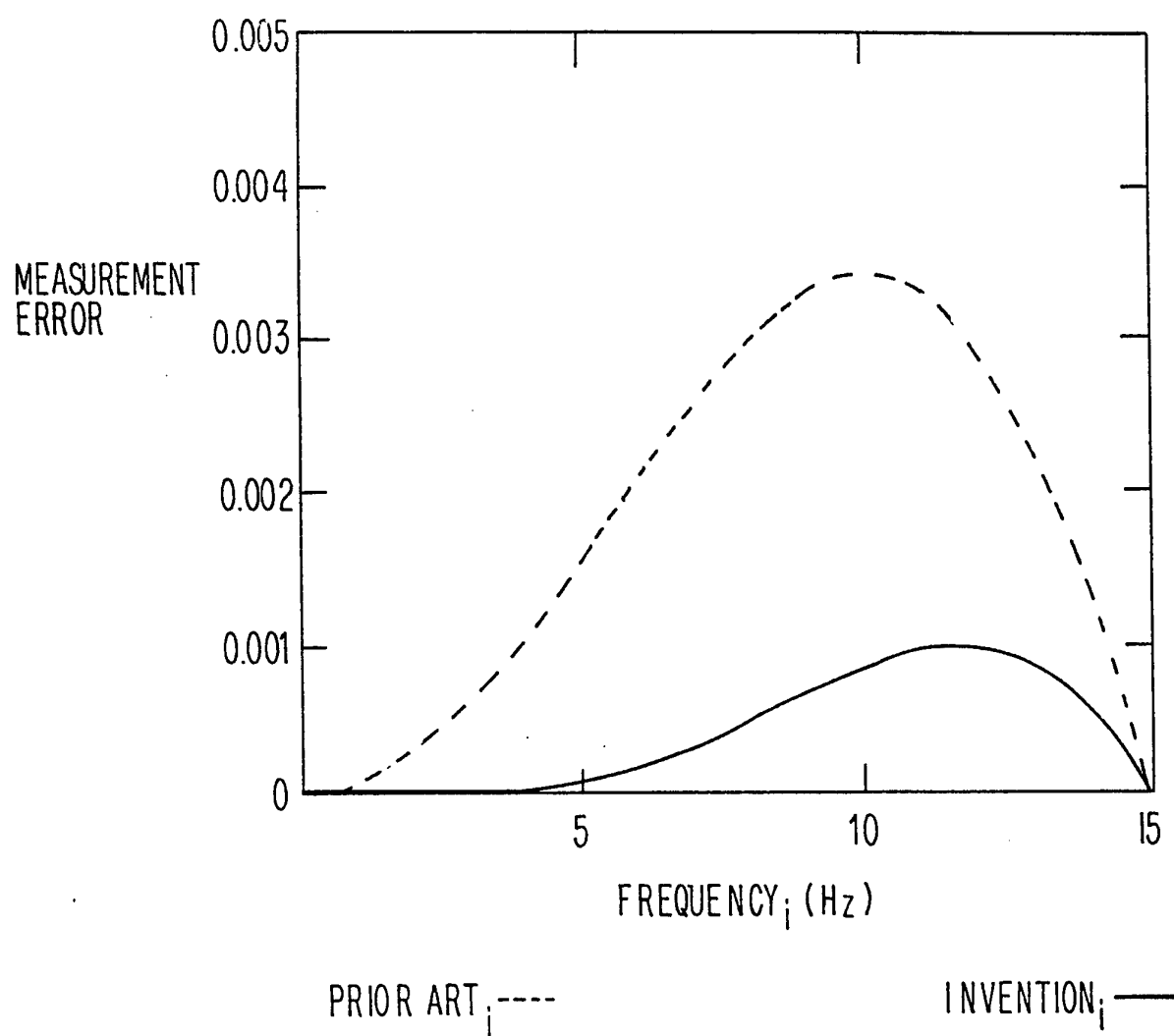
16
 17
 18 9. A method in accordance with claim 8,
 19 wherein the interferometer comprises a Fizeau-type
 20 interferometer having a Fizeau cavity, and wherein
 21 said operating step further comprises varying a
 22 length of the Fizeau cavity along the optical axis
 23 so as to produce a phase shift of approximately $\pi/2$
 24 between successive ones of the thirteen frames j .

25
 26 10. A method in accordance with claim 8,
 27 wherein the illumination source comprises a
 28 wavelength-adjustable source, and wherein said
 29 operating step comprises adjusting the wavelength of
 30 the source so as to produce said phase shift of
 31 approximately $\pi/2$ between successive ones of the
 32 thirteen frames j .

FIG. 3**FIG. 4**

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FIG. 5



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/06531

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G01B 9/02

US CL :356/360

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 356/360, 359, 358, 357, 345

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4,818,110 (Davidson) 04 April 1989, see figs. 1, and 8.	

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

04 AUGUST 1995

Date of mailing of the international search report

16 AUG 1995

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