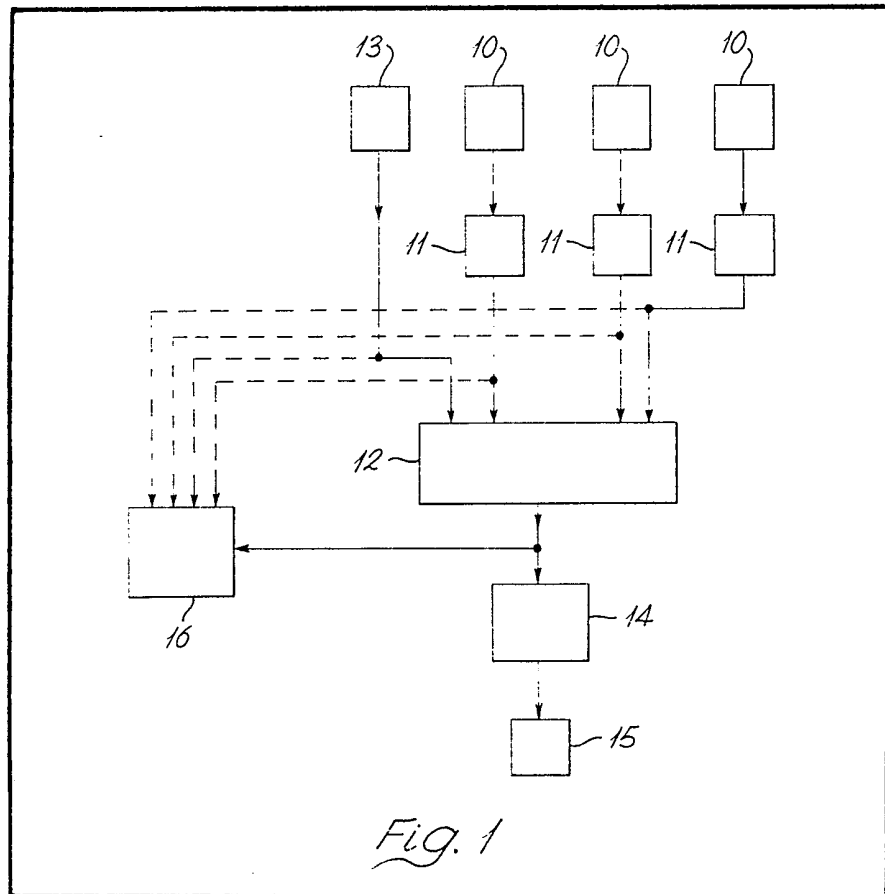


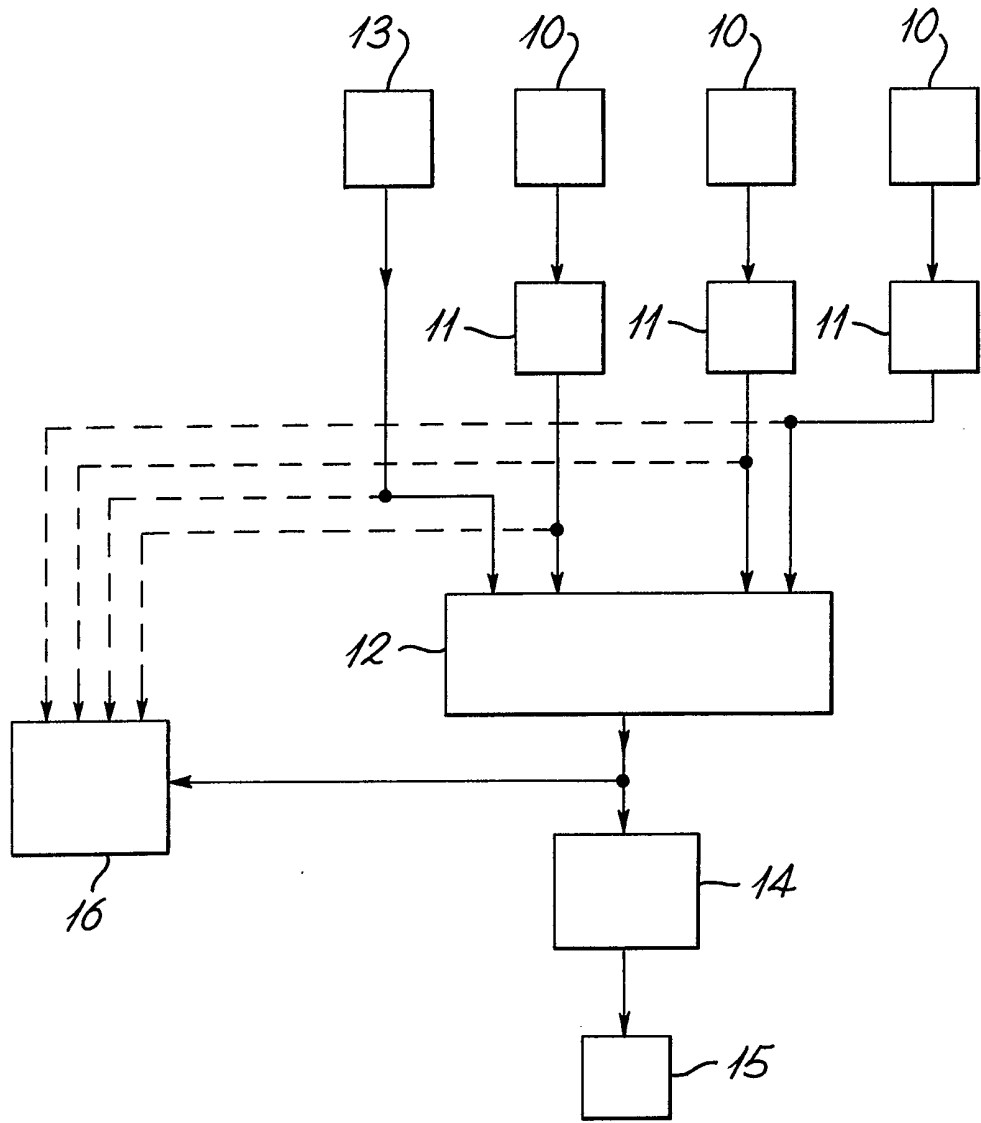
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(54) **Orthopaedic diagnostic apparatus**

(57) Orthopaedic diagnostic apparatus is provided for microphonic detection of emissions from a moving joint, with analysis of such emissions to establish correlation with pathological conditions, the emissions extending into the subsonic frequency range. Preferably a piezoelectric accelerometer detector (10) is used in

direct contact with the skin. A plurality of detectors can be used around a joint with comparative analysis to localise a condition. Analysis suitably involves a frequency analyser (14) with the possible intermediary of a multi-track tape recorder (12), the analyser output being displayed by a printer (15) preferably of ink-jet form. One useful correlating emission characteristic is peak frequency for early diagnosis for congenital dislocation of the hip in an infant.





*Fig. 1*

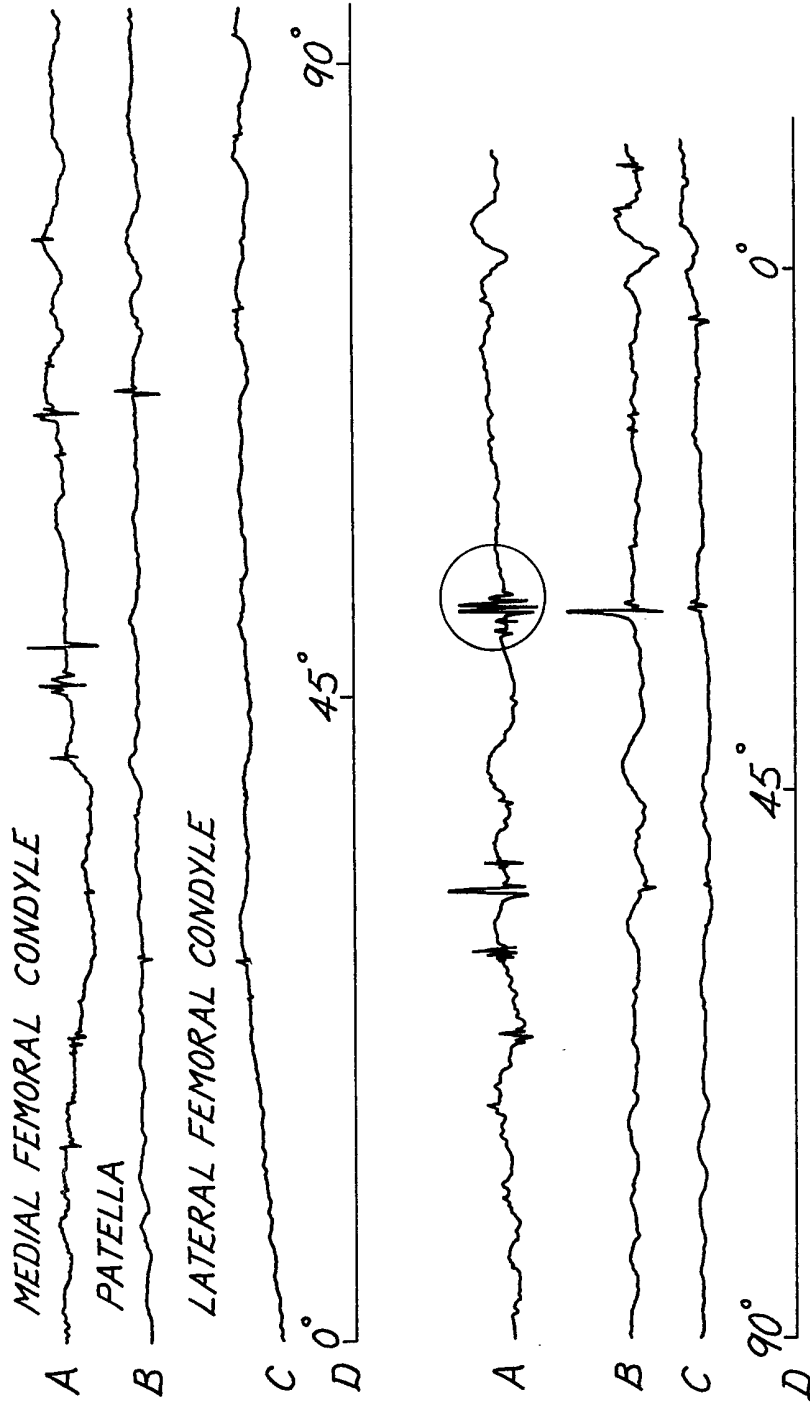


Fig. 2

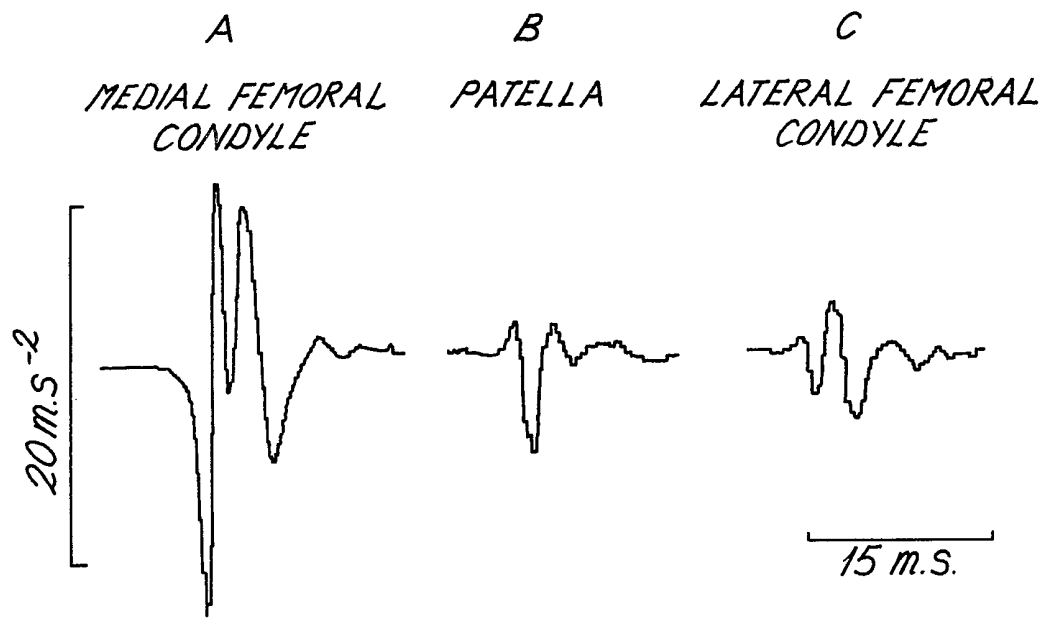


Fig. 3

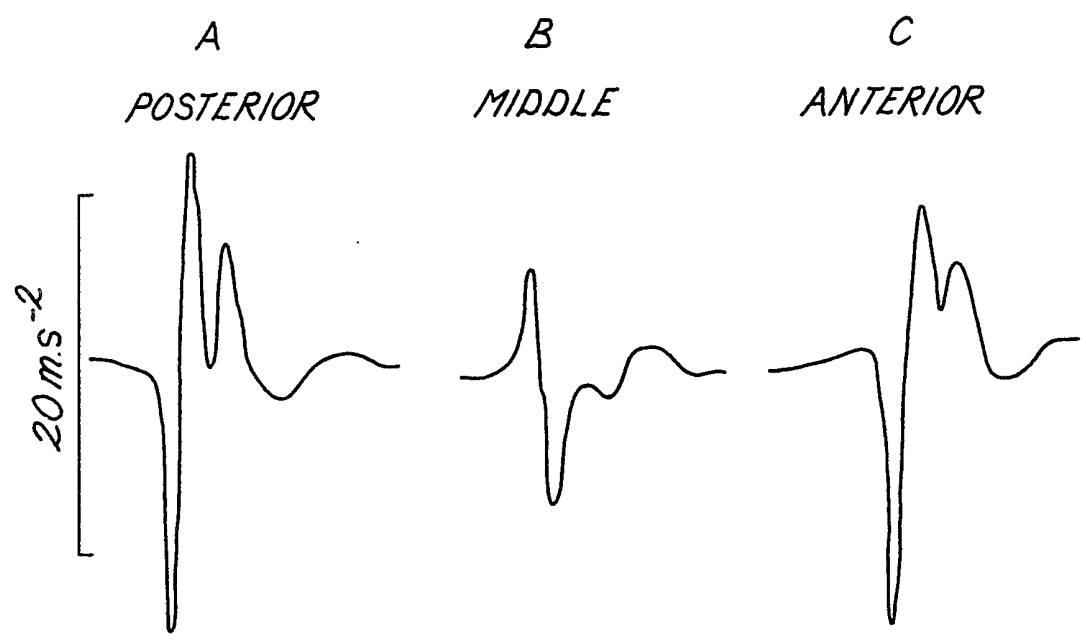


Fig. 4



Fig. 5

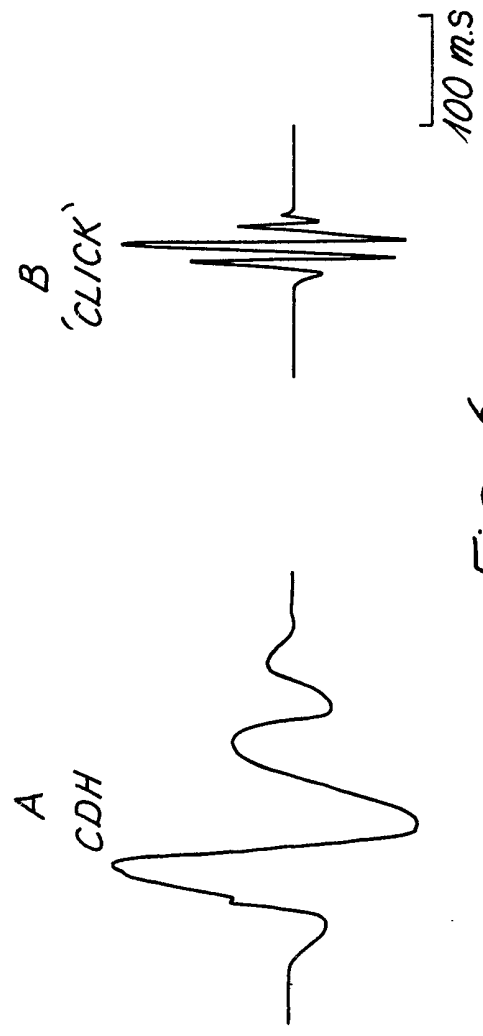


Fig. 6

## SPECIFICATION

**Orthopaedic diagnostic procedures and apparatus therefor**

5 This invention concerns orthopaedic diagnostic  
 5 procedures and apparatus therefor, and more  
 particularly such procedures and apparatus for  
 detecting and assessing pathological conditions  
 in the joints.

10 One of the techniques employed in existing  
 10 diagnostic procedures for pathological joints  
 centres on the detection and interpretation of  
 sound and crepitus emitted by the joint during  
 movement. This technique has until now involved  
 subjective clinical interpretation by the surgeon,  
 15 who spends many years developing the skill  
 which such interpretation requires.

Various attempts have been made to facilitate  
 this technique by way of instrumental aids and  
 there has been progress in the further past by the  
 20 development of apparatus such as stethoscopes  
 which aid the detection aspect of the technique.  
 Even so, this development was initiated in respect  
 of cardiovascular and respiratory diagnostic  
 procedures and then translated, albeit with some  
 25 modification, to orthopaedic usage.

More recent attempts at improvement directly  
 related to orthopaedics have involved  
 microphonic detection to generate electrical  
 signals representing sound emissions from a joint,  
 30 which signals can be recorded and analysed with  
 a view to providing results which distinctively  
 identify pathological conditions. However, these  
 have failed to produce results which effectively  
 and reliably aid interpretation in the desired  
 35 manner.

The present invention has been developed  
 following a further, detailed and extensive  
 investigation of the microphone-based acoustic  
 techniques. The result of this investigation was to  
 40 establish that such techniques could not be  
 improved to the point where they formed the  
 basis for a routine clinical procedure.

Several factors led to this conclusion, these  
 factors arising from the following findings:—

45 (1) Ambient noise can give rise to sound  
 signals at least as loud as those derived from the  
 joint. This difficulty can be alleviated by resorting  
 to the use of insulatory screening of the  
 microphone and an anechoic room, but such  
 50 precautions are unsuited to routine clinical  
 practice.

(2) Sound emissions from a moving joint have  
 predominant components at low frequencies and  
 are part of a wider spectrum of emission  
 55 extending into subsonic frequencies. Moreover  
 such frequencies can be of high amplitude within  
 the overall emission. This finding is most  
 important because it explains the lack of success  
 with microphonic detection in that the equipment  
 60 will be inadequate in respect of frequency  
 sensitivity and dynamic response.

(3) Relative movement and the associated  
 friction at the detector/skin interface produces  
 artefacts in the signals. These artefacts can be

65 reduced by the use of a small detector, but in the  
 case of a microphone this is likely to seriously  
 constrain the quality of detection which, as  
 indicated under (2) above, is already inadequate.

In the light of these factors it is now proposed  
 70 that an improved orthopaedic diagnostic  
 procedure and apparatus provide for detecting,  
 preferably with a piezoelectric accelerometer,  
 from a moving joint vibration emission extending  
 into the subsonic frequency range, and analysing  
 75 the detected emission to determine whether it  
 has a characteristic of predetermined form  
 indicative of a pathological condition.

A fuller understanding of the invention will be  
 gained from the following description of the  
 80 corresponding apparatus as so far developed and  
 contemplated, this description being given by way  
 of example and with reference to the  
 accompanying drawings, in which:—

Figure 1 schematically illustrates one form of  
 85 apparatus as so far developed according to the  
 present invention; and

Figures 2 to 6 graphically illustrate results  
 attained with the apparatus of Figure 1.

The apparatus of Figure 1 comprises three  
 90 piezoelectric accelerometers 10 having their  
 outputs applied by way of individual preamplifiers  
 11 to respective tracks of a tape recorder 12. A  
 further track of the recorder 12 can record the  
 output of a microphone and/or goniometer 13 to  
 95 provide a verbal commentary on the procedure  
 and/or to denote the varying joint position. The  
 tape recorder can apply its record outputs  
 individually to a frequency analyser 14 having an  
 auxiliary recorder 15. The tape recorder outputs  
 100 can also, or the preamplifier outputs can directly,  
 be applied in parallel to a multi-track printer 16.

It is to be understood that the form of  
 apparatus of Figure 1 has been primarily suited to  
 development and is not necessarily to be  
 105 regarded as commercial clinical equipment.  
 However, some of the features suiting  
 development may also suit practical clinical  
 requirements. In particular the apparatus need not  
 be used as a whole at any one time insofar as the  
 110 components up to and including the tape recorder  
 12 can be transported to different locations in  
 order to generate signal records for various  
 subjects, and the remaining components used,  
 with the tape recorder, or a duplicate thereof,  
 115 thereafter for purposes of analysis at a central  
 site. Also, the accelerometers, and  
 correspondingly the associated preamplifiers and  
 multi-track tape recorder, are employed in a  
 multiple presence to allow simultaneous  
 120 detection in mutually spaced positions adjacent to  
 a joint and the consequent possibility of  
 localisation of a condition within the joint. Such  
 localisation can in fact be effected and this  
 capability will be relevant to some clinical  
 125 requirements.

Regarding the individual components of the  
 apparatus, the accelerometers should be small  
 and have suitable response characteristics in  
 recognition of the findings (2) and (3) above. This

presents no difficulty vis-a-vis available products. The same is true in respect of corresponding requirements in operational characteristic for the preamplifiers. However, one particular point arises

5 over the choice between operating the accelerometers as capacitive or voltage sources. The former mode was preferred because the cables connecting the accelerometers and preamplifiers will have a capacitive effect which  
10 can be matched with the accelerometers and allow the convenience of long cables without affecting the lower frequency sensitivity of the accelerometers. This choice also requires the corresponding use of a charge type preamplifier.

15 In practice the accelerometers and preamplifiers so far used have been B & K Types 4344 and 2636, respectively

The choice of tape recorder clearly involves a requirement for an appropriate multi-track facility and frequency response. A further requirement is the ability to replay at slow speeds to facilitate detailed analysis without loss of low frequency content. This is met by the use of a recorder which operates in the frequency modulation (FM) mode and in practice a B & K Type 7003 has been used.

The frequency analyser desirably provides a range of capabilities including display of the vibration signals in original time-amplitude mode and in frequency spectrum form as by fourier transform, and averaging. The analyser used was a B & K Type 2031 which provides these capabilities, with exponential or linear averaging being available, plus, among other things, an adjustable trigger mode whereby corresponding portions of a cyclic input of continuous or intermittent form can be automatically selected for processing, and an interface facility (IEC 625—1) allowing digital transfer with compatible peripheral equipment.

The auxiliary recorder for the tape recorder was chosen as an X-Y printer, which in practice was of B & K Type 2308.

The printer is required to respond satisfactorily to rapidly changing signals containing high frequency components in excess of 100 Hz and a review of possibly suitable multi-track types show ink-jet forms to be preferable in affording this capability while producing an instantly visible permanent record. An additional benefit is that such printers are in common clinical usage in association with electrocardiographs (ECG). In practice, a Siemens-Elma Mingograf 34 has been employed.

55 A remaining point of a more general nature to be noted in connection with the apparatus of Figure 1 concerns the manner of application of the accelerometer to a subject. Study was made of the differences between non-invasive application to the skin and invasive application directly to the bone. Two specific considerations are relevant from a practical point of view, as follows:—

65 (a) whether non-invasive application is sufficiently comparable with invasive application

to provide results which are clinically useful, the initial presumption being that invasive application was likely to provide better results by avoiding the possibility of distortion, while at the same time being clearly less well suited to wide routine usage, and

70 (b) Whether non-invasive application would require or benefit from the use of an adhesive or other coupling medium between the accelerometers and the skin.

75 Surprisingly, and beneficially, non-invasive application is found to provide results at least as good as invasive application, with signal components of interest being enhanced in the former case compared to the latter in many tests. Also, no material improvement was found to occur with use of a coupling medium. In the result non-invasive application directly to the skin with the accelerometers simply held in place by adhesive medical tape is satisfactory.

85 Development of the invention has involved use of the apparatus described above and last-mentioned mode of accelerometer application on numerous subjects in relation to various joints and conditions, and it has been established that results can be obtained which are consistent in a mutual sense, in comparison with traditional diagnostic procedures as deployed by experts, and in comparison with subsequent findings during surgery. These results involve the provision of data which conforms to a consistent pattern for a given bone joint species, and which contains consistent irregularities identifying abnormalities when present in an individual joint of a species.  
90 The general pattern for a joint species is found to be one of slow waves on which faster impulses and transients of relatively low amplitude are superimposed. Irregularities occur as prominent impulses and transients and these coincide with conventional detection of palpable crepitus.  
95 Moreover, the results can serve not only to detect and identify a pathological abnormality, but also to localise the same in the joint by comparison of the outputs from the accelerometers by spacing them around the joint.

100 Figure 2 illustrates such as just discussed in relation to the knee joint with a medial meniscal tear. This Figure is in four parts A to D of which the first three indicate the outputs from the accelerometers when located across the joint in the coronal plane adjacent the medial femoral condyle, the patella, and the tibial femoral condyle, respectively. The fourth part indicates movement of the joint from 0 to 90° flexion and back again. Irregularities of particular interest are the encircled transients which occur at about 30° flexion during the return movement, which transients indicate the meniscal tear and, by their relative magnitudes, localise the tear in the medial meniscus.

105 Figure 3 illustrates similar results for a medial meniscal tear in another patient, only the transients, A, B and C being shown in this case.

110 Figure 4 concerns the same patient as Figure 3 and shows transients A, B and C derived from the

accelerometers when located to the posterior, middle and anterior of the medial tibial condyle in the transverse plane. These results localised the tear in the anterior portion of the meniscus by virtue of higher amplitude in transient C.

Detailed frequency analysis of the transients of interest shows a recurrent pattern of two superimposed frequencies which are thought to be a driving frequency and an inherent or resonant frequency for the particular bone. Also the frequency increases from the region of 100 Hz as the originating vibration is transmitted across the joint.

This frequency increase suggests a reason for confusion that can arise in clinical practice. Often it is difficult to decide which side of the knee a meniscal impulse originates from even though other methods of examination may point to a particular side, and a patient may be subjected to an arthrotomy on both sides of the joint before the diagnosis is made, with the prolonged rehabilitation which is consequent on this procedure. It is now seen to be likely that the original impulse may not be audible because it is of low amplitude and at the lower end of the acoustic threshold. As the frequency rises with transmission across the joint, the threshold becomes exponentially lower and, even though the power may decrease, the frequency rapidly rises into the audible range.

Another area of diagnosis in which the invention has been developed is that of congenital dislocation of the hip (CDH). It is widely agreed that the earliest possible detection of this condition, in neonates, is desirable insofar as it is very much more readily corrected than later. However, it is a fact that notwithstanding the existence of several diagnostic procedures and the application of these in varying degrees, many cases can be and are missed in the best of present circumstances, and the involvement of subjective interpretation as a key element can be assumed to be a cause of omissions. A new procedure involving a more objective determination and which is readily suited to screening application is accordingly desirable.

The present invention is considered to be applicable to this last purpose. In such application to date, multiple accelerometers have been sited in various locations around the infant pelvis, in contact with the sacrum and sacro-iliac areas, and also the anterior superior iliac spines and symphysis pubis. The former locations can be accommodated by housing the accelerometers in a board on which the infant is placed. Signal outputs followed a consistent pattern with dislocation of the joint being indicated by a low frequency displacement signal on which a high frequency component was superimposed at completion of the actual dislocation. This was followed by slow wave components of very low frequency and amplitude representing the dislocated femoral head in the pseudo-acetabular area. When the hip was reduced a small

displacement signal was quickly followed by high frequency component with reduction finally causing a resonating impulse to the pelvis represented by high amplitude low frequency waves which are slowly damped. Figure 5 shows typical signal outputs during dislocation and reduction at A and B, respectively.

Frequency analysis shows the peak frequency at the sacrum to be in the region of 5 Hz for both events, while that on the anterior superior iliac spines is about double. The higher frequency components are represented at lower power levels on the side of the lesion under observation. It is probable that, as with knee joint lesions, a driving frequency and a natural frequency are present with the latter predominating further from the lesion.

It is of interest to note that 'clicking' hips in neonates can be distinguished from CDH, the former giving rise to output signals of increasing and then decreasing sinusoidal form at a higher order of frequency, in the region of 400 Hz, compared to the latter. Figure 6 shows comparable examples of CDH and click waveforms at A and B, respectively.

While the invention has been described with more particular reference to the illustrated apparatus and examples of results in relation to specific joint conditions, it has been made clear that the invention offers possibilities for variation and improvement. For example, the illustrated apparatus is constrained in usage by the fact that the frequency analyser must be used sequentially on different accelerometer signals, whereas a likely commercial development can involve simultaneous analysis by way of a microprocessor adapted to operate on the basis of comparison of results with predetermined patterns and parameters for a given joint species or condition.

#### Claims

1. Orthopaedic diagnostic apparatus comprising means for detecting from a moving joint vibration-emission extending into the subsonic frequency range, and means for analysing the detected emission to determine whether it has a characteristic of predetermined form indicative of a pathological condition.

2. Apparatus according to Claim 1 wherein said detecting means comprises at least one piezoelectric accelerometer.

3. Apparatus according to Claim 2 wherein each said accelerometer is adapted for operation as a capacitive source.

4. Apparatus according to Claim 2 or 3 wherein each said accelerometer is directly engageable with the skin.

5. Apparatus according to any preceding claim comprising a plurality of transducers for detecting said emission from different locations around said joint, and said analysing means enables comparison of the respective emission detected by said transducers.

6. Apparatus according to Claim 5 wherein



said analysing means comprise a multi-track tape recorder for separately recording the outputs of said transducers, a frequency analyser cooperable with said tape recorder, and a printer for said

5 frequency analyser.

7. Apparatus according to Claim 6 wherein said printer is of ink-jet form.

8. Apparatus according to any one of Claims 5,

10 6 or 7 wherein said transducers are mounted on a common support adapted for engagement with an infant pelvis to detect emission from the hip joint, and said characteristic is indicative of congenital dislocation of the hip.

15 9. Apparatus according to any preceding claim wherein said characteristic includes the peak frequency of said emission.