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(54) Title: CODON OPTIMIZATION AND RIBOSOME PROFILING FOR INCREASING TRANSGENE EXPRESSION IN CHLOROPLASTS OF HIGHER PLANTS

(57) Abstract: Methods for improving transgene expression in chloroplasts are disclosed along with improved transgenes so produced and methods of use thereof for the treatment of disease. Specifically, the methods comprising analyzing the native sequence of a nucleic acid encoding a protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts in higher plants.

**CODON OPTIMIZATION AND RIBOSOME PROFILING FOR INCREASING  
TRANSGENE EXPRESSION IN CHLOROPLASTS OF HIGHER PLANTS**

**By**

**Henry Daniell**

5        This Application claims priority to US Provisional Application No. 62/310,788 filed March 20, 2016, the entire contents being incorporated herein by reference.

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10      **Field of the Invention**

This invention relates to improved transgene expression in chloroplasts through codon optimization using genomic, proteomic and ribosome profiling methods. Transgenes so improved and methods of use thereof are also provided.

**Background of the Invention**

15        Several publications and patent documents are cited throughout the specification in order to describe the state of the art to which this invention pertains. Each of these citations is incorporated herein by reference as though set forth in full.

20        A major limitation in clinical translation of human therapeutic proteins in chloroplasts is their low level expression. Prokaryotic or shorter human genes are highly expressed (up to 70% of total leaf protein) in chloroplasts. For example, native prokaryotic genes from *Bacillus anthracis* and *Bacillus thuringiensis* were expressed up to 29.4% and 45.3%, respectively, of the total soluble protein (TSP) in chloroplasts (De Cosa et al ., 2001; Ruhlman et al ., 2010). Small human genes including insulin like growth factor-1 (~7.8 kDa, Daniell et al ., 2009), proinsulin (~12 kDa, Ruhlman et al ., 2010), and interferon- $\alpha$ 2b (~21.5 kDa, Arlen et al ., 2007) were expressed in chloroplasts at very high levels. However, expression of larger human proteins presents a major challenge.

Likewise, expression of viral vaccine antigens is quite unpredictable with high, moderate or extremely low expression levels. For example, due to their susceptibility to proteolytic degradation at the N-terminal region, VP6 antigen of rotavirus accumulated at very low levels in tobacco chloroplasts (Birch-Machin et al., 2004; Inka Borchers et al., 2012). The expression 5 level of human papillomavirus-16 L1 antigen varied from 0.1% to 1.5% and accumulated up to 2% when fused with LTB but extremely low when fused with glutathione-S-transferase (GST) in tobacco chloroplasts (Lenzi et al., 2008; Waheed et al., 2011a; Waheed et al., 2011b; Hassan et al., 2014). Further, the instability of chloroplast-derived antigens against human 10 immunodeficiency virus type 1 (HIV-1) has been reported in tobacco. Chloroplast-derived p24 protein only accumulated ~2.5% in youngest leaves and was not detectable in mature leaves when native p24 cDNA was expressed (McCabe et al., 2008). It is well known that high doses of 15 vaccine antigens stimulate high level immunity and confer greater protection against pathogens and therefore higher level expression in chloroplasts is a major requirement (Chan and Daniell, 2015).

Such challenges have been addressed by the use of optimal regulatory sequences 15 (promoters, 5' and 3'UTRs), especially species specific endogenous elements (Ruhlman et al., 2010). Cursory attempts have been made to simply increase AT content by modifying third position of each codon of human genes (Daniell et al., 2009). *In vitro* assay of inserted gene for 20 translation efficiencies of several synonymous codons are not always correlated with codon usage in plastid mRNAs (Nakamura and Sugiura, 2007) but have been used in the past codon optimization studies (Ye et al., 2001; Lenzi et al., 2008; Jabeen et al., 2010) because there are no such in vivo studies. Therefore, no systematic study has been done to utilize extensive 25 knowledge gathered by sequencing several hundred chloroplast genomes to understand codon usage and frequency of highly expressed chloroplast genes. Another major challenge is the lack of reliable methods to quantify insoluble proteins because the only reliable method (ELISA), can't be used due to aggregation or formation of multimeric structures. Targeted Proteomic Quantification by Mass Spectrometry by parallel reaction monitoring (PRM) has become a 30 powerful tool for relative and absolute protein quantitation based on its specificity and sensitivity (Domon and Aebersold, 2010; Gallien et al., 2012). In addition, PRM offers high specificity and multiplexing characteristics which allow specific monitoring of multiple fragment ions of

peptides, based on nanoLC retention times and precursor ion m/z (Gallien et al., 2012) but this concept has never been tested for plant protein drugs.

Drawbacks associated with expression of live attenuated and killed viruses include the potential to revert to virulence, low levels of immunogenicity, antigenic variability between 5 species, and possible transfer of genetic materials to wild-type strains (Burns et al., 2014). An outbreak of type 2 vaccine-derived polio (VDPV2) in Nigeria, first detected in 2006, became endemic in Africa and persists today (Famulare et al., 2015). This large poliomyelitis outbreak associated with type 2 circulating vaccine-derived poliovirus (cVDPV2) has occurred since 2005 10 in northern Nigeria; phylogenetic analysis of P1/capsid region sequences of isolates from each of the 403 cases reported in 2005 through 2011 resolved the outbreak into 23 independent VDPV2 emergences, at least 7 of which established circulating lineage groups (Burns et al., 2013). Non-polio enteroviruses (NPEVs) associated with acute flaccid paralysis (AFP) cases have been 15 reported frequently through Polio Surveillance Programs (PSPs) worldwide (Laxmivandana et al., 2013). Although wild polio cases have been eradicated in many countries due to intensive oral polio vaccination programs, more non-polio AFP cases are being reported worldwide. Currently recognized EV species have been divided into poliovirus (PV) containing the three PV 20 serotypes and human enterovirus (HEV) A, B, C and D (Dhole et al., 2009). Based on phylogenetic analysis of their genomes, PV and serotypes of the HEV-C species are closely related (Brown et al., 2003). Further, the high frequency of circulation of HEV species C has led 25 to reports of vaccine-derived poliovirus (VDPV) outbreaks (Rakoto-Andrianarivelo et al., 2005). Between 2005 and 2011, 23 lineages of circulating vaccine-derived polioviruses (cVDPVs) with origins in the nonstructural region (NSR) of non-polio enterovirus C (NPEV-C) origin were detected in Nigeria. Thus, recombination between Sabin oral poliovirus vaccine (OPV) and indigenous NPEV-Cs led to some of the recombinant cVDPV lineages isolated during the 30 outbreak in Nigeria (Adeniji et al., 2015). The cVDPVs are largely generated by homologous recombination between OPV and HEV-C and caused numerous outbreaks of poliomyelitis globally, becoming a serious health threat (Jiang et al., 2007). Due to recombination of OPV with HEV-C, highly virulent cVDPVs have the risk to replace wild-type PVs in regions with low vaccine coverage. In an effort for global PV eradication, worldwide cessation of OPV vaccination has been proposed to minimize the number of vaccine-derived poliovirus strains that could lead to new outbreaks (Kouiavskaja et al., 2015; Parker et al., 2015).

Plant-derived subunit vaccines are heat-stable and are free from contamination with animal pathogens. They can also be engineered to contain multiple antigens and transmucosal carriers, to protect against multiple infectious diseases (Chan et al., 2015). Recent report of intact plant cells expressing green fluorescent protein (GFP) between villi of the ileum after oral delivery provided direct evidence for protection of protein drugs in the digestive system from acids and enzymes in the stomach; GFP fused with the transmucosal carrier CTB released into the gut lumen from plant cells was absorbed by epithelial cells via GM1 receptor mediated delivery (Xiao et al., 2015). Such mechanistic and conceptual advances could revolutionize vaccine delivery by eliminating the cost of complex production systems, such as fermentation, purification, cold storage and transportation (Jin et al., 2015 and Kwon et al., 2013). Although potato-derived HBsAg expressed via the nuclear genome was tested in pre-clinical and in human clinical trials a decade ago, (Kong et al., 2001; Thanavala et al., 2005) progress in advancing to later stages is slow. Two major challenges are the low levels of expression of antigens via the nuclear genome and the potential to induce tolerance without injectable priming of antigens with adjuvants (Chan et al., 2015; Rybicki et al, 2014).

### **Summary of the Invention**

In accordance with the present invention, a method for increasing translation of a transgene encoding a protein of interest in a chloroplast is provided. An exemplary method comprises analyzing the native sequence of a nucleic acid encoding said protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts across over 100 plant species and optionally performing ribosome profiling and removing any codons that cause stalling of ribosomes during translation. A synthetic, codon optimized sequence is then produced and cloned into a chloroplast transformation vector, said synthetic sequence being operably linked to 5' and 3' regulatory elements for suitable for expression in said chloroplast. Target plants are then transformed with this vector under conditions whereby said therapeutic protein is expressed, wherein replacing said codons causes at least a two fold, three fold, four fold, five fold, 20 fold or 40 fold increase in protein expression relative to expression levels observed using the native sequence. The method can optionally entail isolating said protein of interest. In a preferred embodiment, the method further comprises harvesting and

lyophilizing leaves from said plant, wherein the lyophilized leaves comprising the protein of interest.

In particularly preferred embodiments, synthetic VP1 protein protein is produced that can be used to advantage in vaccines for the treatment of polio. Accordingly, a method of producing 5 systemic and mucosal immunity in a subject who has been previously immunized against polio virus comprising orally administering the lyophilized plant cells described above to said subject in the presence of an adjuvant, said administration causing production of anti-VP1-IgG1 and anti-VP1-IgA titers in said subject, thereby boosting immunity to said polio virus is provided.

In another embodiment, Factor VIII heavy and light chains have been codon optimized.

10 Factor VIII so produced can be used to advantage in methods for the treatment of coagulation disorders. Thus, the invention also provides for methods for the treatment of coagulation disorders using coagulation factors optimized for efficient expression as disclosed herein. While FVIII is exemplified herein, other coagulation factors, such as FIX, FX, and FVII can readily be optimized using the guidance provided herein.

15 The methods of the invention can also be used to advantage to produce synthetic insulin growth factor (IGF-1). Methods of treatment of IGF-1 deficiencies using the synthetic IGF-1 described herein are also within the scope of the invention.

In yet another embodiment, a synthetic mutanase enzyme is provided. Methods for treating dental caries using synthetic mutanase enzymes are also disclosed.

20 In another aspect of the invention, a method of producing systemic and mucosal immunity in a subject who has been previously immunized against polio virus comprising orally administering the lyophilized plant cells described above to said subject in the presence of an adjuvant, said administration causing production of anti-VP1-IgG1 and anti-VP1-IgA titers in said subject, thereby boosting immunity to said polio virus.

25 Also within the scope of the invention are plastid transformation vectors encoding the synthetic proteins described herein. Plants comprising such vectors also form an aspect of the invention. In a preferred embodiment, the plant is edible.

### Brief Description of the Drawings

**Figure 1: Development of algorithm of codons optimized for expression of heterologous genes in plant chloroplasts.** Process of development of codon optimization algorithm. Sequence data of *psbA* genes from 133 plant species collected from NCBI and analyzed for codon preference. A codon optimizer was developed using Java programming language and the codon preference table shown generated. Codon preference is indicated by percentage of use for each amino acid.

**Figures 2A – 2E. Construction of codon-optimized synthetic FVIII single, heavy and light chain gene into lettuce chloroplast transformation vector, and confirmation of its expression in *E.coli* and homoplasmonic lines by PCR.** (Fig. 2A) Schematic diagram of vector construct containing CTB-FVIII single, heavy and light chain expression cassette. *Prrn*, rRNA operon promoter; *aadA*, aminoglycoside 3'-adenylytransferase gene; *PpsbA*, promoter and 5'-UTR of *psbA* gene; CTB, coding sequence of cholera non-toxic B subunit; FVIII SC<sup>C</sup>, a fusion form of codon-optimized FVIII heavy chain (HC including 14 amino acids from B domain) and light chain (LC); *TpsbA*, 3'-UTR of the *psbA* gene; *trnI*, isoleucyl-tRNA; *trnA*, alanyl-tRNA. Southern blot probe (SB-P) was generated by digestion of pUC-LSLF with *Bam*HII and genomic DNA from transplastomic plants was digested by *Hind*III. (Fig. 2B) Western blot assay for expression of native or codon-optimized sequences for HC, LC and SC in *E.coli*. Total proteins were extracted from *E.coli* transformed with chloroplast expression vectors containing native or codon-optimized sequences for FVIII HC, LC and SC. Proteins were loaded as indicated and probed with anti-CTB antibody (1 in 10,000). The transformed and untransformed (UT) *E.coli* were incubated in Terrific Broth (TB) media supplemented with ampicillin (50 µg/ml) at 37°C overnight. Arrows indicate proteins expected in corresponding sizes (CTB-FVIII HC, 100 kDa; CTB-FVIII LC, 92 kDa and CTB-FVIII SC, 179 kDa) (Fig. 2C) PCR analysis for the integration of CTB-FVIII LC and SC expression cassette. Specific sets of primers as indicated in A were used for amplification of DNA fragments and resolved on 1% agarose gel. UT, untransformed wild type gDNA; S1 ~ S3, three independent FVIII SC transplastomic lines; L1 – L8, eight independent FVIII LC transplastomic lines. (Fig. 2D) Southern blot analysis for CTB-FVIII SC<sup>C</sup>. Total lettuce genomic DNA (3 µg) was digested with *Hind*III and separated on a 0.8% agarose gel and blotted onto a Nytran membrane. UT, untransformed wild type plant; 1 ~ 4, four

independent 2<sup>nd</sup> round transplastomic lines. (Fig. 2E) Sequences codon-optimized FVIII single chain. HC, FVIII heavy chain composed of A1 and A2 domains (SEQ ID NO: 1); LC, FVIII light chain composed of A3, C1 and C2 domains. SEQ ID NO: 2) CTB: native sequence of cholera non-toxic B subunit (SEQ ID NO: 3).

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**Figures 3A -3C. Confirmation of homoplasmic lines using Southern blot and quantification of proteins expressed in the homoplasmic transplastomic plant lines.** (Fig. 3A and Fig. 3B) Southern blot analysis for CTB-FVIII LC<sup>C</sup> and CTB-FVIII SC<sup>C</sup>. Total lettuce genomic DNA (3 µg) was digested with *Hind*III and separated on a 0.8% agarose gel and blotted onto a Nytran membrane. UT, untransformed wild type plant; L1 – L8 and S1 – S4, eight and four independent 2<sup>nd</sup> round transplastomic lines for CTB-FVIII LC<sup>C</sup> and CTB-FVIII SC<sup>C</sup>, respectively. (Fig. 3C) Four micrograms of total leaf proteins (10 µg in 500 µl extraction buffer) extracted lyophilized transplastomic lettuce leaves expressing CTB-FVIII HC<sup>C</sup>, CTB-FVIII LC<sup>C</sup> and CTB-FVIII SC<sup>C</sup> were loaded as indicated and resolved on 8% SDS-PAGE. Anti-CTB antibody (1 in 10000) was used to probe the CTB fused FVIII proteins. UT, untransformed wild type (UT); Co, codon-optimized sequence. CTB standards were loaded as indicated for quantification and the calculated quantification results (µg/mg) were indicated below each batch. The transplastomic lettuce plants expressing CTB-FVIII HC<sup>C</sup> and LC<sup>C</sup> were grown and harvested in a greenhouse at University of Pennsylvania and CTB-FVIII SC<sup>C</sup> lettuce plants were germinated and grown in hydroponic cultivation system at Fraunhofer cGMP facilities and the leaves were harvested in a monthly basis.

**Figure 4A-D: Creation and characterization of transplastomic tobacco and lettuce lines expressing native and codon-optimized CTB-VP1 and Quantitation of expression of CNTB-FVIII HC and VP1 genes by western blots.** (Fig. 4A) Tobacco and lettuce chloroplast transformation vectors containing CTB-VP1 expression cassettes. *Prrn*, rRNA operon promoter; *aadA*, aminoglycoside 3'-adenylytransferase gene; *PpsbA*, promoter and 5'-UTR of *psbA* gene; *CTB*, coding sequence of non-toxic cholera B subunit; *VP1*, coding sequence for polio virus VP1 gene (SEQ ID NO: 4); *TpsbA*, 3'-UTR of *psbA* gene; *trnI*, isoleucyl-tRNA; *trnA*, alanyl-tRNA. Total leaf proteins were extracted from lettuce (Fig. 4B) or tobacco (Fig. 4C) were loaded at indicated concentrations and resolved on gradient (4%-20%) SDS-PAGE. Fig. 4D. Total protein extracted from wild type (WT), native CTB-VP1 (N) and codon-optimized CTB-VP1 (CO)

tobacco plants were probed with anti-CNTB antibody. CNTB was loaded as standard for quantification.

**Figures. 5A-B: Quantitation of transgene transcripts by northern blots.** Northern blot of CNTB-F8 HC (Fig. 5A) and CNTB- VP1 (Fig. 5B) genes probed with 200 bp of *psbA* 5'UTR (for FVIII) or *psbA* 3'UTR (for VP1) regulatory sequences. Lower and upper transcripts represent the endogenous *psbA* gene and CNTB-FVIII genes. Ethidium bromide (EtBr) stained gels are included for evaluation of equal loading. UT, untransformed wild type; N, native sequence; CO, codon-optimized sequence.

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**Figure 6A-6C: PRM mass spectrometry analysis of CNTB-FVIII and CNTB-VP1 proteins at N- to C-terminal protein sequences.** Exe-y represents measured peptide molarity (fmol on column) of peptides from CTB-F8 HC in codon optimized or native genes. Fig. 6A. CNTB: peptide 1, IFSYTESLAGK (SEQ ID NO: 5); peptide 2, IAYLTEAK (SEQ ID NO: 6); peptide 3, LCVWNNK (SEQ ID NO: 7). Fig. 6B. FVIII peptide: peptide 4, FDDDNSPSFIQIR (SEQ ID NO: 8); peptide 5, WTVTVEDGPTK (SEQ ID NO: 9); peptide 6, YYSSFVNMER (SEQ ID NO: 10). Fig. 6C. CNTB: peptide 1, IFSYTESLAGK (SEQ ID NO: 1); peptide 3, LCVWNNK (SEQ ID NO: 3); peptide 2, IAYLTEAK (SEQ ID NO: 5). Median of 4 technical replicates is represented in each sample.

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**Fig. 7A-B: PRM mass spectrometry analysis and fold changes observed after codon optimization.** The reported fold change increase represents the median of the results from six and three peptides, CNTB-FVIII (Fig. 7A) and CNTB-VP1 (Fig. 7B), respectively. Exe-y represents the fold change increase (based on measured fmol on column) of peptides from codon optimized or native plant extracts. CNTB: peptide 1, IFSYTESLAGK (SEQ ID NO: 5); peptide 2, IAYLTEAK (SEQ ID NO: 6); peptide 3, LCVWNNK (SEQ ID NO: 7). FVIII: peptide 4, FDDDNSPSFIQIR (SEQ ID NO: 8); peptide 5, WTVTVEDGPTK (SEQ ID NO: 9); peptide 6, YYSSFVNMER (SEQ ID NO: 10).

30 **Fig. 8A-C: Ribosome profiling data from transplastomic plants expressing native and codon-optimized VP1 or F8 HC.** Read coverage for the native (N) transgenes, the codon-

optimized (CO) transgenes and the endogenous *psbA* and *rbcL* genes are displayed with the Integrated Genome Viewer (IGV). Fig. 8A. Data from tobacco leaves expressing the native and codon-optimized VP1 transgenes. Asterisks mark each pair of consecutive alanine codons in the data from the native line. The + symbol marks three consecutive alanine codons. Many strong 5 ribosome pause sites in the plants expressing native VP1 map to paired alanine codons, whereas this is not observed in the codon-optimized line. Triangles mark each pair of consecutive serine codons in the codon-optimized line. A major ribosome stall maps to a region harboring five closely spaced serine codons in the codon-optimized VP1 gene. Fig. 8B. Data from lettuce plants expressing the native and codon-optimized F8 HC transgenes. A major ribosome stall in the 10 native FB HC gene maps to a pair of adjacent CTC leucine codons, a codon that is not used in the native *psbA* gene. Ribosome footprint coverage is much more uniform on the codon-optimized transgene. Fig. 8C. Absolute and relative ribosome footprints counts.

**Figures 9A- 9D: Creation and characterization of transplastomic tobacco and lettuce lines expressing native and codon-optimized CTB-VP1.** Fig. 9A. Southern blot analysis of native 15 and codon-optimized CTB-VP1 transplastomic tobacco lines. *Afl*III-digested wild type (WT) and transformed (line 1, 2, 3 and 4) genomic DNA was probed with DIG-labeled flanking sequence digested with *Bam*HI/*Bgl*II. Fig. 9B. Tobacco and lettuce chloroplast transformation vectors containing CTB-VP1 expression cassettes. *Prrn*, rRNA operon promoter; *aadA*, aminoglycoside 20 3'-adenylytransferase gene; *PpsbA*, promoter and 5'-UTR of *psbA* gene; *CTB*, coding sequence of non-toxic cholera B subunit; *VP1*, coding sequence for polio virus VP1 gene; *TpsbA*, 3'-UTR of *psbA* gene; *trnI*, isoleucyl-tRNA; *trnA*, alanyl-tRNA; Fig. 9C. Southern blot analysis confirming site specific integration of the transgene into the chloroplast. Fig. 9D. Western blot 25 analysis of CTB-VP1 in two independent lettuce transplastomic lines and wild type (WT) controls.

**Figure 10. Stability of CTB-VP1 produced in transplastomic lines.** The intact monomer band of CTB-VP1 fusion proteins was observed without any detectable degradation of CTB-VP1 in all tested lyophilized samples after storage for 4 and 8 months at ambient temperature. 30 Formation of pentameric structures of the CTB-VP1 expressed in chloroplasts was evaluated using GM1 binding ELISA assays, both native and codon-optimized fresh and lyophilized CTB-

VP1 from tobacco showed comparable absorbance to CTB (positive control), whereas no signals were detected from wild type plants or BSA (negative controls).

**Figures 11A-11J: Evaluation of serum VP1-IgG1 and VP1-IgA antibody titers after oral or subcutaneous vaccination.** Antibody responses of mice primed with IPV and boosted with either IPV or plant-made native or codon-optimized VP1 adjuvanted with saponin and/or squalene. Plates were coated with purified VP1 protein (10 µg/ml) and probed with sera samples (2 or 4 weeks after boosting) followed by HRP-conjugated rat-anti-mouse IgG1 (1:1000) (BD) or HRP-conjugated goat-anti-mouse IgA (1:5000) (American Qualex). (Fig. 12A-F) VP1-IgG1 antibody titers at different time points: (Fig. 12A-D) weekly boosts and sera samples collected on days 0, 29, 43 and 57; (Fig. 12E, F) monthly boosts and samples collected on days 87 and 117; (Fig. 12G-J) VP1-IgA antibody titers at different time points: (Fig. 12G-I) weekly boosts and sera samples collected on days 0, 29 and 43; (Fig. 12J) monthly boosts with sera samples collected on day 117. Group 1: untreated; Group 2: prime and boost with IPV; Group 5: IPV prime, boost with native VP1 protein with adjuvant (saponin/squalene); Group 8: IPV prime, boost with codon-optimized VP1 protein with adjuvant (saponin/squalene); Group 9: boosted with codon-optimized VP1 adjuvanted with both saponin and squalene but without IPV priming. Statistical analysis by Student's *t*-test (GraphPad Prism version 6). \*\* *P* <0.05, \*\*\* *P* <0.01, \*\*\*\* *P* <0.001 are shown.

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**Figures 12A-12C: Determination of poliovirus neutralizing titers against poliovirus Sabin 1, 2 and 3 strains.** Virus-neutralizing antibody titers from mice (n=10/group) orally boosted with native or codon-optimized CTB-VP1 antigens adjuvanted with saponin only (groups 3 and 6), squalene only (groups 4 and 7) or both (groups 5, 8 and 9); mice primed and boosted with IPV (group 2); and untreated mice. Individual titers for each mouse were plotted, and the bar represents the mean neutralizing titer ± SEM. The serum dilution of a reciprocal titer at which no virus neutralization was detected was recorded as the  $\log_2$  (titer) of 2.5. Poliovirus-neutralizing antibodies against all three Sabin strains, (Fig. 12A) Sabin 1, (Fig. 12B) Sabin 2, and (Fig. 12C) Sabin 3 \*\* *P* <0.05, \*\*\* *P* < 0.01, \*\*\*\* *P* < 0.001 are indicated in the graph using Student's *t*-test (GraphPad Prism version 6).

**Figures 13A- 13E: Seropositivity rate of Sabin 1, 2 and 3 neutralizing titers after subcutaneous IPV or oral VP1 boosting.** The seropositivity rate of poliovirus-neutralizing antibodies as determined by the number of mice with seroprevalence (neutralizing antibody  $\log_2(\text{titer}) \geq 3$ ) with the total number of mice in each group boosted with the native or codon-optimized CTB-VP1 (Groups 3-9), or, IPV prime/boost (Group 2), at day 1 and day 30. The seropositivity rate of neutralizing titers against Sabin strains 1, 2 and 3 (Fig. 13A-C) and all three Sabin types (Fig. 13D) are shown. Fig. 13E. Conclusion of seropositivity rate (%). \*\*,  $P < 0.05$ , \*\*\*,  $P < 0.01$ , \*\*\*\*,  $P < 0.001$  as indicated in the graph using Student's *t*-test (GraphPad Prism version 6).

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**Figures 14A -14C. Construction of codon-optimized synthetic IGF-1 fused to native CTB into tobacco chloroplast transformation vector (pLD-utr) and confirmation of its expression in *E.coli*.** (Fig. 14A) Schematic diagram of chloroplast transformation vector map containing CTB-IGF-1 expression cassette. *Prrn*, rRNA operon promoter; *aadA*, aminoglycoside 3'-adenylytransferase gene; *PpsbA*, promoter and 5'-UTR of *psbA* gene; CTB, coding sequence of native cholera non-toxic B subunit; IGF-1( $C^N$ ), codon-optimized human insulin-like growth factor 1 (105 amino acids included with 35 amino acids for Ea peptide); *TpsbA*, 3'-UTR of the *psbA* gene; *trnI*, isoleucyl-tRNA; *trnA*, alanyl-tRNA. (Fig. 14B) Immunoblot assay for the expression of codon-optimized sequences for IGF-1 in *E.coli*. Total proteins were extracted from transformed *E.coli* with chloroplast expression vectors containing two codon-optimized sequences ( $C^O$ , codon-optimized old;  $C^N$ , codon-optimized new) for IGF-1. Proteins were loaded on 12% SDS-PAGE as indicated and probed with anti-CTB antibody (1 in 10,000). Fold difference of expression between two synthetic sequences ( $C^O$  and  $C^N$ ) were calculated using Image J. The transformed and untransformed (UT) *E.coli* were incubated in 20 Luria-Bertani (LB) media supplemented with ampicillin (50  $\mu\text{g}/\text{ml}$ ) at 37°C overnight. Arrow indicates expected proteins in size (CTB-IGF-1, 24.3 kDa) (Fig. 14C) Southern blot analysis of CTB-IGF-1 transplastomic lines. Genomic DNA from the transplastomic plants was digested with *Afl*III and 0.81 kb of Southern blot probe (SB-P) region was used as probe after generated by digestion of pUC-ctv with *Bam*HI and *Bgl*II.

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**Figures 15A -15D. Quantification and functional analysis of CTB-IGF-1 in trnasplastomic**

lines. Western blot analysis of lyophilized CTB-IGF-1 transplastomic line against anti-CTB (Fig. 15A) and anti-IGF-1 (Fig. 15B). Lane 1, 2 ng; 2, 4 ng; 3, 8 ng of cholera toxin B subunit (CTB); 1-1, 2 ng; 2-1, 4 ng; 3-1, 8 ng of human insulin-like growth factor-1 (IGF-1); 4, 0.1 ug; 5, 0.2 ug; 6, 0.4 ug of CTB-IGF-1 lyophilized leaf homogenate. Approximately 24.3 kDa of CTB-IGF-1 protein were indicated as arrows. (Fig. 15C) Immunoblot evaluation of total leaf protein from fresh and lyophilized CTB-IGF-1. Equal amount of fresh and lyophilized leaves were extracted in the same volume of extraction buffer, then they were loaded in a serial dilution. The arrow indicates expected size of CTB-IGF-1. (Fig. 15D) ELISA assay of CTB-IGF-1 pentamer forms against GM1 receptors. BSA and GM1 are used as negative controls.

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**Figures 16A -16D. Activity assay of plant derived IGF-1.** (Fig. 16A) Cell-based assays on phosphorylation of IGF-1 receptor by CTB-IGF-1. P-IGFR indicates phosphorylated IGF-1 receptor and GAPDH / Akt were used as controls. The top is dose dependent phosphorylation and the bottom shows time dependent phosphorylation. (Fig. 16B) The amount of circulating Pro-IGF-1 in mice (n=3) serum dependent on time post gavage. (Fig. 16C) The amount of glucose in blood depending on time post gavage. Plant-GFP was utilized as a control in the same amount of plant derived CTB-IGF-1. (Fig. 16D) Detected IGF-1 in serum and muscle tissue after plant derived CTB-IGF-1 gavage. Plant-GFP was used as a gavage control and GAPDH was a positive control in muscle tissue.

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**Figures 17A - 17F. Proliferation assay of human and/or mouse cells by purified CTB-IGF-1** (Fig. 17A) Purification of CTB-IGF-1 from tobacco transplastomic lines. C, comassie blue staining of CTB-IGF-1 after purification; W, western blot assay against CTB antibody. The arrow indicates approximately 24.3 kDa of CTB-IGF-1. (Fig. 17B) Forty-eight hours after incubation of HOK (Human Oral Keratinocytes) with a series concentration of IGF-1 peptide and purified CTB-IGF-1 from plants. Eighteen hours after 2,500 HOK cells were seeded, they were incubated with IGF-1 and purified CTB-IGF-1 for 48 hours. Density of viable cells was measured by MTT essay at absorbance 570. IGF-1 peptide was utilized as a positive control. (Fig. 17C) Relative absorbance of GMSC (Human Gingiva derived Mesenchymal Stromal Cells) in a CTB-IGF-1 dose dependent manner. Four-thousand of GMSC cells were seeded and the viable cells were measured after 24 hours incubation with CTB-IGF-1 and IGF-1 as a control.

(Fig. 17D) Absorbance of viable SCC (Human head and neck Squamous Carcinoma Cells) was measured after 48 hours incubation with IGF-1 and CTB-IGF-1. Three-thousand of SCC were seeded for the incubation. (Fig. 17E) CTB-IGF-1 dose dependent relative absorbance of MC3TC (Mouse Osteoblast Cells) after 24 hours incubation. Four-thousand of MC3TC were seeded.

5 (Figs. 17B-17E) This is each representative of the data obtained from two biological repeats run in triplets. (Fig. 17F) Sequence alignments of native and codon-optimized (Nat and Co) IGF-1 genes. Optimized codons are marked in yellow. Nat: native sequence; Co: codon-optimized sequence. To avoid glycosylation Lsy<sup>68</sup> (AAG), Arg<sup>74</sup> (CGT) and Arg<sup>77</sup> (CGC) were changed to Gly<sup>68</sup> (GGT), Ala<sup>74</sup> (GCA) and Ala<sup>77</sup> (GCT), which are marked in red.

10 **Figures 18A – 18 F. Construction of codon Construction of codon-optimized mutanase sequence from Paenibacillus sp. Strain RM1 into chloroplast transformation vector.**  
**Protegrin was added to the 5' end and His tag was added to the 3'end.** Fig. 18A: Vector construction providing optimized mutanase coding sequence. Fig. 18B: Mutanase gene was codon optimized based on the codon frequency of psbA gene. This table showing the codon frequency of native and codon optimized Mutanase sequence. Fig. 18C. Confirmation of Mutanase gene in pLS-MF vector by restriction digestion. Lane 1: DNA Marker; Lane 2: pLS-MF Mutanase digested with *Nde* I and *Bgl* II; Lane 3: pLS-MF Mutanase digested with *Sal* I and *PshA* II; Lane 4: Undigested plasmid. Fig. 18D. Western blot analysis to detect expression of recombinant proteins in *E.coli*: Western blot probed with Anti-His antibody. Mutanase gene was cloned into pLD and pLS-MF vector and expressed in *E.coli*. The protein was further purified and its expression was confirmed by Western Blot. Fig. 18E. Results of a mutanase assay are shown. Fig. 18F. A schematic of the process of plant transformation for creation of transplastomic plants expressing mutanase.

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### **Detailed Description of the Invention**

In the present invention, heterologous gene expression utilizing chloroplast genome sequences, ribosome profiling and targeted proteomic quantification by mass spectrometry or parallel reaction monitoring (PRM) was employed to develop methods for increasing translation of heterologous proteins of interest in chloroplasts. Codon optimization based on *psbA* genes

from 133 plant species increased translational efficiencies of heavy chain of the human clotting factor VIII (FVIII) and polio viral capsid protein 1 (VP1), when compared with corresponding native genes, driven by identical psbA regulatory sequences. PRM analysis using peptides from N or C terminus showed 5-7 or 22-28 fold increase in FVIII or VP1 codon optimized genes.

5 Western blot analysis of the same batch of materials showed either lower or higher quantitation, underscoring some limitations. PRM is validated here for the first time for quantitation of biopharmaceuticals in plant cells, especially useful for insoluble or multimeric proteins. Despite prokaryotic origin, codon usage is different between *E. coli* and chloroplasts. Northern blots confirmed that the increase of codon-optimized protein synthesis is at the translational level

10 rather than any impact on transcript abundance or stability. Ribosome foot prints did not increase proportionately with VP1 translation or even decreased after FVIII codon optimization but is useful in diagnosing rate limiting steps. A major ribosome pause at CTC leucine codons in the native gene was eliminated upon codon optimization. Ribosome stalls were observed at clusters of serine codons in the codon-optimized VP1 gene. Synthetic sequences which eliminate CTC

15 leucine clusters further optimizes such sequences.

The WHO's Strategic Advisory Group of Experts recommended complete withdrawal of OPV2 in 2016 globally, replacing with at least one dose of IPV. However, high cost, limited supply of IPV, persistent cVDPV transmission and need for subsequent boosting remain unresolved. The strategy of using a low cost cold-chain free plant-made viral protein 1 (VP1) subunit vaccine as an oral booster after single IPV priming is a novel solution to address this critical need. Oral boosting of VP1 bioencapsulated in plant cells resulted in high VP1-IgG1, IgA and neutralizing antibody titers (~3.17-10.17 log<sub>2</sub> titer) against all three poliovirus Sabin serotypes. Ability to store lyophilized plant cells expressing VP1 at ambient temperature indefinitely without loss of efficacy eliminates cold chain currently required for all vaccines.

20 These findings provide evidence for plant-made booster vaccine to replace OPV or boost immunity among the elderly population with waning immunity for immunizations received early in life.

### **Definitions:**

It is to be understood that both the foregoing general description and the following

30 detailed description are exemplary and explanatory only and are not intended to limit the scope

of the current teachings. In this application, the use of the singular includes the plural unless specifically stated otherwise. For example, "at least one" means that more than one can be present. Also, the use of "comprise", "contain", and "include", or modifications of those root words, for example but not limited to, "comprises", "contained", and "including", are not intended to be limiting and means "including the following elements but not excluding others."

5 The term "consists essentially of," or "consisting essentially of," as used herein, excludes other elements from having any essential significance to the combination. Use of "or" means "and/or" unless stated otherwise. The term "and/or" means that the terms before and after can be taken together or separately. For illustration purposes, but not as a limitation, "X and/or Y" can 10 mean "X" or "Y" or "X and Y".

As used herein, the terms "administering" or "administration" of an agent, drug, or peptide to a subject includes any route of introducing or delivering to a subject a compound to perform its intended function. The administering or administration can be carried out by any suitable route, including orally, intranasally, parenterally (intravenously, intramuscularly, 15 intraperitoneally, or subcutaneously), rectally, or topically. Administering or administration includes self-administration and the administration by another.

As used herein, the terms "disease," "disorder," or "complication" refers to any deviation from a normal state in a subject.

As used herein, by the term "effective amount" "amount effective," or the like, it is meant 20 an amount effective at dosages and for periods of time necessary to achieve the desired result.

As used herein, the term "inhibiting" or "treating" means causing the clinical symptoms of the disease state not to worsen or develop, e.g., inhibiting the onset of disease, in a subject that may be exposed to or predisposed to the disease state, but does not yet experience or display symptoms of the disease state.

25 As used herein, the term "CTB" refers cholera toxin B subunit. Cholera toxin is a protein complex comprising one A subunit and five B subunits. The B subunit is nontoxic and important to the protein complex as it allows the protein to bind to cellular surfaces via the pentasaccharide chain of ganglioside.

30 A "replicon" is any genetic element, for example, a plasmid, cosmid, bacmid, phage or virus, that is capable of replication largely under its own control. A replicon may be either RNA or DNA and may be single or double stranded.

A "vector" is any vehicle to which another genetic sequence or element (either DNA or RNA) may be attached so as to bring about the replication of the attached sequence or element.

An "expression operon" refers to a nucleic acid segment that may possess transcriptional and translational control sequences, such as promoters, enhancers, translational start signals (e.g., 5 ATG or AUG codons), polyadenylation signals, terminators, and the like, and which facilitate the expression of a polypeptide coding sequence in a host cell or organism.

The term "promoter region" refers to the 5' regulatory regions of a gene (e.g., 5'UTR sequences (e.g., psbA sequences, promoters (e.g., universal Prnn promoters or psbA promoters endogenous to the plants to be transformed and optional enhancer elements.

10 The term "oligonucleotide," as used herein refers to sequences, primers and probes of the present invention, and is defined as a nucleic acid molecule comprised of two or more ribo- or deoxyribonucleotides, preferably more than three. The exact size of the oligonucleotide will depend on various factors and on the particular application and use of the oligonucleotide.

15 The phrase "specifically hybridize" refers to the association between two single-stranded nucleic acid molecules of sufficiently complementary sequence to permit such hybridization under pre-determined conditions generally used in the art (sometimes termed "substantially complementary"). In particular, the term refers to hybridization of an oligonucleotide with a substantially complementary sequence contained within a single-stranded DNA or RNA molecule of the invention, to the substantial exclusion of hybridization of the oligonucleotide 20 with single-stranded nucleic acids of non-complementary sequence.

As used herein, the terms "reporter," "reporter system", "reporter gene," or "reporter gene product" shall mean an operative genetic system in which a nucleic acid comprises a gene that encodes a product that when expressed produces a reporter signal that is a readily measurable, e.g., by biological assay, immunoassay, radio immunoassay, or by calorimetric, fluorogenic, 25 chemiluminescent or other methods. The nucleic acid may be either RNA or DNA, linear or circular, single or double stranded, antisense or sense polarity, and is operatively linked to the necessary control elements for the expression of the reporter gene product. The required control elements will vary according to the nature of the reporter system and whether the reporter gene is in the form of DNA or RNA, but may include, but not be limited to, such elements as promoters, 30 enhancers, translational control sequences, poly A addition signals, transcriptional termination signals and the like.

The terms "transform", "transfect", "transduce", shall refer to any method or means by which a nucleic acid is introduced into a cell or host organism and may be used interchangeably to convey the same meaning. Such methods include, but are not limited to, transfection, electroporation, microinjection, PEG-fusion and the like.

5 The term "selectable marker gene" refers to a gene that when expressed confers a selectable phenotype, such as antibiotic resistance, on a transformed cell or plant. Selectable markers useful in plastid transformation vectors include, without limitation, those encoding for spectinomycin resistance, glyphosate resistance, BADH resistance, and kanamycin resistance.

10 The term "operably linked" means that the regulatory sequences necessary for expression of the coding sequence are placed in the DNA molecule in the appropriate positions relative to the coding sequence so as to effect expression of the coding sequence. This same definition is sometimes applied to the arrangement of transcription units and other transcription control elements (e.g. enhancers) in an expression vector.

15 The term "DNA construct" refers to a genetic sequence used to transform plants and generate progeny transgenic plants. These constructs may be administered to plants in a viral or plasmid vector. However, most preferred for use in the invention are plastid transformation vectors. Other methods of delivery such as Agrobacterium T-DNA mediated transformation and transformation using the biolistic process are also contemplated to be within the scope of the present invention. The transforming DNA may be prepared according to standard protocols such 20 as those set forth in "Current Protocols in Molecular Biology", eds. Frederick M. Ausubel et al., John Wiley & Sons, 1995.

25 As used herein, the term "chloroplast" includes organelles or plastids found in plant cells and other eukaryotic organisms that conduct photosynthesis. Chloroplasts capture light energy to conserve free energy in the form of ATP and reduce NADP to NADPH through a complex set of processes called photosynthesis. Chloroplasts contain chlorophyll. Chloroplasts have a higher copy number and expression levels of the transgene. Each chloroplast may contain up to 100 genomes, while each plant cell may contain up to 100 chloroplasts. Therefore, each plant cell may contain as many as 100000 chloroplast genomes which results in high expression levels of 30 proteins expressed via the chloroplast genome. Chloroplasts further offer gene containment through maternal inheritance as the chloroplast genome is not transferred through pollen unlike nuclear genomic DNA. Chloroplasts have the ability to transcribe polycistronic RNA and can

perform the correct processing of eukaryotic proteins including the ability to carry out post-translational modifications such as disulphide bonding, assembly of multimers and lipid modifications.

As used herein, a "composition," "pharmaceutical composition" or "therapeutic agent" all 5 include a composition comprising a myelin basic protein comprising construct as described herein. Optionally, the "composition," "pharmaceutical composition" or "therapeutic agent" further comprises pharmaceutically acceptable diluents or carriers.

As used herein, the term "expression" in the context of a gene or polynucleotide involves the transcription of the gene or polynucleotide into RNA. The term can also, but not necessarily, 10 involves the subsequent translation of the RNA into polypeptide chains and their assembly into proteins.

A plant remnant may include one or more molecules (such as, but not limited to, proteins and fragments thereof, minerals, nucleotides and fragments thereof, plant structural components, etc.) derived from the plant in which the protein of interest was expressed. Accordingly, a 15 composition pertaining to whole plant material (e.g., whole or portions of plant leafs, stems, fruit, etc.) or crude plant extract would certainly contain a high concentration of plant remnants, as well as a composition comprising purified protein of interest that has one or more detectable plant remnants. In a specific embodiment, the plant remnant is rubisco.

In another embodiment, the invention pertains to an administrable composition for 20 treating or preventing disease via administration of a therapeutic fusion protein produced in a plant chloroplast. The composition comprises a therapeutically-effective amount of the fusion protein expressed by a plant and a plant remnant.

Proteins expressed in accord with certain embodiments taught herein may be used in vivo 25 by administration to a subject, human or animal in a variety of ways. The pharmaceutical compositions may be administered orally or parenterally, i.e., subcutaneously, intramuscularly or intravenously, though oral administration is preferred.

Oral compositions produced by embodiments of the present invention can be 30 administered by the consumption of the foodstuff that has been manufactured with the transgenic plant producing the plastid derived therapeutic fusion protein. The edible part of the plant, or portion thereof, is used as a dietary component. The therapeutic compositions can be formulated in a classical manner using solid or liquid vehicles, diluents and additives appropriate to the

desired mode of administration. Orally, the composition can be administered in the form of tablets, capsules, granules, powders, chewable gums, and the like with at least one vehicle, e.g., starch, calcium carbonate, sucrose, lactose, gelatin, etc. The preparation may also be emulsified. The active immunogenic or therapeutic ingredient is often mixed with excipients which are 5 pharmaceutically acceptable and compatible with the active ingredient. Suitable excipients are, e.g., water, saline, dextrose, glycerol, ethanol or the like and combination thereof. In addition, if desired, the compositions may contain minor amounts of auxiliary substances such as wetting or emulsifying agents, pH buffering agents, or adjuvants. In a preferred embodiment the edible plant, juice, grain, leaves, tubers, stems, seeds, roots or other plant parts of the pharmaceutical 10 producing transgenic plant is ingested by a human or an animal thus providing a very inexpensive means of treatment of or immunization against disease.

In a specific embodiment, plant material (e.g. lettuce, tomato, carrot, low nicotine tobacco material etc,) comprising chloroplasts capable of expressing the therapeutic fusion protein, is homogenized and encapsulated. In one specific embodiment, an extract of the lettuce 15 material is encapsulated. In an alternative embodiment, the lettuce material is powdered before encapsulation.

In alternative embodiments, the compositions may be provided with the juice of the transgenic plants for the convenience of administration. For said purpose, the plants to be transformed are preferably selected from the edible plants consisting of tomato, carrot and apple, 20 among others, which are consumed usually in the form of juice.

According to another embodiment, the subject invention pertains to a transformed chloroplast genome that has been transformed with a vector comprising a heterologous gene that expresses a therapeutic fusion protein or peptide as disclosed herein.

Reference to the protein sequences herein relate to the known full length amino acid 25 sequences as well as at least 12, 15, 25, 50, 75, 100, 125, 150, 175, 200, 225, 250 or 265 contiguous amino acids selected from such amino acid sequences, or biologically active variants thereof. Typically, the polypeptide sequences relate to the known human versions of the sequences.

Variations in percent identity can be due, for example, to amino acid substitutions, 30 insertions, or deletions. Amino acid substitutions are defined as one for one amino acid replacements. They are conservative in nature when the substituted amino acid has similar

structural and/or chemical properties. Examples of conservative replacements are substitution of a leucine with an isoleucine or valine, an aspartate with a glutamate, or a threonine with a serine.

Amino acid insertions or deletions are changes to or within an amino acid sequence. They typically fall in the range of about 1 to 5 amino acids. Guidance in determining which amino acid residues can be substituted, inserted, or deleted without abolishing biological or immunological activity of polypeptide can be found using computer programs well known in the art, such as DNASTAR software. Whether an amino acid change results in a biologically active therapeutic fusion polypeptide can readily be determined by assaying for native activity, as described for example, in the specific Examples, below.

Reference to genetic sequences herein refers to single- or double-stranded nucleic acid sequences and comprises a coding sequence or the complement of a coding sequence for polypeptide of interest. Degenerate nucleic acid sequences encoding polypeptides, as well as homologous nucleotide sequences which are at least about 50, 55, 60, 65, 60, preferably about 75, 90, 96, or 98% identical to the cDNA may be used in accordance with the teachings herein. Percent sequence identity between the sequences of two polynucleotides is determined using computer programs such as ALIGN which employ the FASTA algorithm, using an affine gap search with a gap open penalty of -12 and a gap extension penalty of -2. Complementary DNA (cDNA) molecules, species homologs, and variants of nucleic acid sequences which encode biologically active polypeptides also are useful polynucleotides.

Variants and homologs of the nucleic acid sequences described above also are useful nucleic acid sequences. Typically, homologous polynucleotide sequences can be identified by hybridization of candidate polynucleotides to known polynucleotides under stringent conditions, as is known in the art. For example, using the following wash conditions: 2 X SSC (0.3 M NaCl, 0.03 M sodium citrate, pH 7.0), 0.1% SDS, room temperature twice, 30 minutes each; then 2X SSC, 0.1% SDS, 50°C. once, 30 minutes; then 2X SSC, room temperature twice, 10 minutes each homologous sequences can be identified which contain at most about 25-30% basepair mismatches. More preferably, homologous nucleic acid strands contain 15-25% basepair mismatches, even more preferably 5-15% base pair mismatches.

Species homologs of polynucleotides referred to herein also can be identified by making suitable probes or primers and screening cDNA expression libraries. It is well known that the Tm of a double-stranded DNA decreases by 1-1.5°C with every 1% decrease in homology (Bonner

et al., J. Mol. Biol. 81, 123 (1973). Nucleotide sequences which hybridize to polynucleotides of interest, or their complements following stringent hybridization and/or wash conditions also are also useful polynucleotides. Stringent wash conditions are well known and understood in the art and are disclosed, for example, in Sambrook et al., MOLECULAR CLONING: A

5 LABORATORY MANUAL, 2<sup>nd</sup> ed., 1989, at pages 9.50-9.51.

Typically, for stringent hybridization conditions a combination of temperature and salt concentrations should be chosen that is approximately 12-20°C below the calculated T<sub>m</sub> of the hybrid under study. The T<sub>m</sub> of a hybrid between a polynucleotide of interest or the complement thereof and a polynucleotide sequence which is at least about 50, preferably about 75, 90, 96, or

10 98% identical to one of those nucleotide sequences can be calculated, for example, using the equation of Bolton and McCarthy, Proc. Natl. Acad. Sci. U.S.A. 48, 1390 (1962):

Tm=81.5°C-16.6(log10 [Na<sup>+</sup>])+0.41(% G+C)-0.63(% formamide)-600/l),

where l=the length of the hybrid in base pairs.

Stringent wash conditions include, for example, 4 X SSC at 65°C, or 50% formamide, 4  
15 X SSC at 42°C, or 0.5 X SSC, 0.1% SDS at 65°C. Highly stringent wash conditions include, for example, 0.2 X SSC at 65°C. The following materials and methods are provided to facilitate the practice of the present invention.

### Codon optimization

20 To maximize the expression of heterologous genes in chloroplasts, a chloroplast codon optimizer program was developed based on the codon preference of *psbA* genes across 133 seed plant species. All sequences were downloaded from the National Center for Biotechnology Information (NCBI, [ncbi.nlm.nih.gov/genomes/GenomesGroup.cgi?taxid=2759&opt=plastid](http://ncbi.nlm.nih.gov/genomes/GenomesGroup.cgi?taxid=2759&opt=plastid)). The usage preference among synonymous codons for each amino acid was determined by  
25 analyzing a total of 46,500 codons from 133 *psbA* genes. The optimization algorithm (Chloroplast Optimizer v2.1) was made to facilitate changes from rare codons to codons that are frequently used in chloroplasts using JAVA.

### Creation of transplastomic lines

30 The native sequence of the FVIII heavy chain (HC) was amplified using pAAV-TTR-hF8-mini plasmid (Sherman et al., 2014) as the PCR template. The codon-optimized HC sequence

obtained using Codon Optimizer v2.1 was synthesized by GenScript (Piscataway, NJ, USA). We also optimized the FVIII light chain (LC), IFG-1 and mutanase. The native VP1 gene (906 bp) of Sabin 1 (provided by Dr. Konstantin Chumakov, FDA) was used as the template for PCR amplification. The codon-optimized VP1 sequence was also synthesized by GenScript.

5 Amplified and synthetic gene sequences were cloned into chloroplast transformation vectors pLSLF and pLD-utr for *Lactuca sativa* and *Petite Havana*, respectively. Sequence-confirmed plasmids were used for bombardment to create transplastomic plants as described previously (Verma et al., 2008). Transplastomic lines were confirmed using Southern blot analysis as described previously (Verma et al., 2008) except for probe labeling and detection, for which DIG 10 high prime DNA labeling and detection starter kit II (Roche, cat no. 11585624910) was used.

### Evaluation of translation

To compare the level of protein expression between native and codon-optimized sequences, immunoblot and densitometric assay were performed using anti-CNTB antibody. Total protein 15 from *E.coli* was extracted by sonicating resuspended *E.coli* cells in 1X PBS and 5 mM EDTA. For total plant protein, powdered lyophilized plant cells were suspended in extraction buffer (100 mM NaCl, 10 mM EDTA, 200 mM Tris-Cl pH 8.0, 0.05% (v/v) Tween-20, 0.1% SDS, 14 mM  $\beta$ -ME, 400 mM sucrose, 2 mM PMSF, and proteinase inhibitor cocktail) in a ratio of 10 mg per 500  $\mu$ L and incubated on ice for 1 h for rehydration. Suspended cells were sonicated (pulse on 20 for 5 s and pulse off for 10 s, sonicator 3000, Misonix) after vortexing (~30 s). After Bradford assay, equal amounts of homogenized proteins were loaded and separated on SDS-polyacrylamide with known amounts of CNTB protein standard. To detect CNTB fusion proteins, anti-CNTB polyclonal antibody (GenWay Biotech Inc., San Diego, CA) was diluted 1:10,000 in 1X PBST (0.1 % Tween-20) and then membranes were probed with goat anti-rabbit 25 IgG-HRP secondary antibody (Southern Biotechnology, 4030-05) diluted 1:4,000 in 1X PBST. Chemiluminescent signals were developed on X-ray films, which were used for quantitative analysis with Image J software (IJ 1.46r; NIH).

### Evaluation of transcripts

30 Total RNA was extracted from leaves of plants grown in agar medium in tissue culture room using an easy-BLUE<sup>TM</sup> total RNA extraction kit (iNtRON, cat no. 17061). For the RNA

gel blot, equal amounts of total RNA (4 µg) were separated on a 0.8% agarose gel (containing 1.85% formaldehyde and 1X MOPS) and blotted onto a nylon membrane (Nytran SPC; Whatman, Buckinghamshire, UK). For northern blot, The PCR-amplified product from *psbA* 5' or 3'UTR region of chloroplast transformation plasmid was used as the probe. The hybridization 5 signals on membranes were detected using DIG labeling and detection kit as described above.

### **Lyophilization**

Confirmed homoplasmic lines were transferred to a temperature- and light-controlled greenhouse. Mature leaves from fully grown transplastomic plants were harvested and stored at 10 -80°C before lyophilization. To freeze-dry plant leaf materials, frozen, crumbled small leaf pieces were sublimated under 400 mTorr vacuum while increasing the chamber temperature from -40°C to 25°C for 3 days (Genesis 35XL, VirTis SP Scientific). Dehydrated leaves were powdered using a coffee grinder (Hamilton Beach) at maximum speed, tobacco was ground 3 times for 10 sec each and lettuce was ground 3 times for 5 sec. Powdered leaves were stored in a 15 container under air-tight and moisture-free condition at room temperature with silica gel.

Frozen CTB-VP1 tobacco leaves were transported to a lyophilizer (Genesis 35XL, SP Scientific, Stone Ridge, NY) on dry ice and lyophilized at -40°C, -30°C, -20°C, -15°C, -10°C, -5°C and 25°C for a total of 72 h under a 400 mTorr vacuum. Lyophilized leaf materials were ground in a coffee grinder (Hamilton Beach, Southern Pines, NC, USA) 3 times at maximum 20 speed (pulse on 10 s and off 30 s). The fine powder was stored with silica gel in a moisture-free environment at room temperature.

### **Protein extraction and sample preparation for mass spectrometry analysis**

Total protein was extracted from 10 mg of lyophilized leaf powder by adding 1 mL extraction buffer (2% SDS, 100 mM DTT, 20 mM TEAB). Lyophilized leaf powder was 25 incubated for 30 min at RT with sporadic vortexing to allow rehydration of plant cells. Homogenates were then incubated for 1 h at 70°C, followed by overnight incubation at RT under constant rotation. Cell wall/membrane debris was pelleted by centrifugation at 14,000 rpm (approx. 20,800 rcf). The procedure was performed in duplicate.

All protein extracts (100 µl) were enzymatically digested with 10 µg trypsin/Lys-C 30 (Promega) on a centrifugal device with a filter cut-off of 10 kDa (Vivacon) in the presence of 0.5% sodium deoxycholate, as previously described (León et al., 2013). After digestion, sodium

deoxycholate was removed by acid precipitation with 1% (final concentration) trifluoroacetic acid. Stable Isotope standard (SIS) peptides (>97 % purity, C-term Lys and Arg as Lys U-13C6;U-15N2 and Arg U-13C6;U-15N4, JPT Peptide Technologies) were spiked into the samples prior to desalting. Samples were desalted prior to MS analysis with OligoR3 stage-tips 5 (Applied Biosystems). The initial protein extract (10 µl) was desalted on an OligoR3 stage tip column. Desalted material was then dried on a speed vacuum device and suspended in 6 µL of 0.1% formic acid in water. MS analysis was performed in duplicate by injecting 2 µl of desalted material into the column.

#### 10 PRM mass spectrometry analysis and data analysis

Liquid chromatography-coupled targeted mass spectrometry analysis was performed by injecting the column with 2 µL of peptide, corresponding to the amount of total protein extracted and digested from 33.3 µg of lyophilized leaf powder, with 34 fmol of each SIS peptide spiked in. Peptides were separated using an Easy-nLC 1000 (Thermo Scientific) on a home-made 30 cm 15 x 75 µm i.d. C18 column (1.9 µm particle size, ReproSil, Dr. Maisch HPLC GmbH). Mobile phases consisted of an aqueous solution of 0.1% formic acid (A) and 90% acetonitrile and 0.1% formic acid (B), both HPLC grade (Fluka). Peptides were loaded on the column at 250 nL/min with an aqueous solution of 4% solvent B. Peptides were eluted by applying a non-linear gradient for 4-7-27-36-65-80% B in 2-50-10-10-5 min, respectively.

20 MS analysis was performed using the parallel reaction monitoring (PRM) mode on a Qexactive mass spectrometer (Thermo Scientific) equipped with a nanospray Flex<sup>TM</sup> ion source (Gallien et al., 2012). Isolation of targets from the inclusion list with a 2 m/z window, a resolution of 35,000 (at m/z 200), a target AGC value of  $1 \times 10^6$ , and a maximum filling time of 120 ms. Normalized collision energy was set at 29. Retention time schedules were determined by 25 the analysis of SIS peptides under equal nanoLC chromatography. A list of target precursor ions and retention time schedule is reported in the Supplementary Information. PRM data analysis was performed using Skyline software (MacLean et al., 2010).

#### Ribosome profiling

30 Second and third leaves from the top of the plant were harvested for ribosome profiling. Lettuce plants were approximately 2 months old. Tobacco plants were 2.5 or 2 months old, for

native and codon-optimized VP1 constructs, respectively. Leaves were harvested at noon and flash frozen in liquid nitrogen. Ribosome footprints were prepared as described in Zoschke et al (2013) except that ribonuclease I was substituted for micrococcal nuclease. Ribosome footprints were converted to a sequencing library with the NEXTflex Illumina Small RNA Sequencing Kit 5 v2 (BIOO Scientific, 5132-03). rRNA contaminants were depleted by subtractive hybridization after first strand cDNA synthesis using biotinylated oligonucleotides corresponding to abundant rRNA contaminants observed in pilot experiments. Samples were sequenced at the University of Oregon Genomics Core Facility. Sequence reads were processed with cutadapt to remove adapter sequences and bowtie2 with default parameters to align reads to the engineered 10 chloroplast genome sequence.

### **Chloroplast vector construction and regeneration of transplastomic plants**

The native VP1 gene (906 bp) of Sabin type 1 poliovirus (provided by Dr. Konstantin Chumakov, FDA) was amplified using forward primer 5'-15 gggCCCgggCCCCggCgTAAACgCTCTgTTgggTTAggTCAGATg-3' and reverse primer 5'-CgATCTAgATCAATATgTggTCAGATC-3'. The PCR-amplified fragment and the codon-optimized VP1 gene (synthesized by GenScript, Piscataway, NJ, USA) were cloned into tobacco and lettuce chloroplast transformation vectors. Bioloistic delivery of chloroplast transformation vectors and regeneration of transplastomic tobacco (*Nicotiana tabacum* cv. Petit Havana) and 20 lettuce (*Lactuca sativa* cv. Simpson Elite) lines were performed as previously described (Ruhlman et al., 2007; Verma et al., 2008).

### **Characterization of transplastomic tobacco and lettuce lines**

To confirm transgene cassette integration into the chloroplast genome, PCR was performed using primer pairs 3P/3M and 5P/2M or 16S-Fw/3M and 5P/2M for tobacco and 25 lettuce, respectively (Verma et al., 2008; Kanagaraj et al., 2011). Southern blot analysis was performed to confirm transgene integration and homoplasmy as previously described (Verma et al., 2008).

### **Immunoblot analysis and purification of chloroplast-derived proteins**

Immunoblot analysis and quantitation of CTB-VP1 fusion proteins were performed

according to previously published methods (Davoodi-Semiromi et al., 2010). To detect CTB-VP1-fused proteins, blots were incubated with 1:10,000 rabbit anti-CTB polyclonal antibody (GeneWay, San Diego, CA, USA) or 1:1,000 rabbit anti-VP1 polyclonal antibody (Alpha Diagnostic Intl. Inc., San Antonio, TX, USA) followed by 1:4,000 goat anti rabbit IgG-HRP as 5 secondary antibody (SouthernBiotech, Birmingham, AL, USA). CTB (Sigma, St Louis, MO, USA) and recombinant Sabin 1 VP1 (Alpha Diagnostic Intl. Inc., San Antonio, TX, USA) were used as positive controls. To purify chloroplast-derived CTB-VP1 fusion proteins, His60 Ni Superflow Resin (Clontech Laboratories, Mountain View, CA, USA) was used according to the manufacturer's instructions. Eluted fractions were dialyzed 3 times with sterile phosphate- 10 buffered saline (PBS), aliquoted and stored at -20°C. Purified chloroplast-derived CTB-VP1 was used for immunoglobulin measurements.

### **Cholera toxin-B-GM1-ganglioside receptor binding assay**

To test the ability of the tobacco chloroplast-derived CTB-VP1 to form pentamers and bind to the GM1-ganglioside receptor, a CTB-GM1 binding assay was performed as described 15 (Davoodi-Semiromi et al., 2010).

### **Mice and immunization schedule**

Female CD-1 mice aged 6-7 weeks were purchased from Charles River Laboratories (Wilmington, MA, USA) and housed in microisolator cages. Experiments were conducted in accordance with guidelines of the University of Pennsylvania Institutional Animal Care and Use 20 Committee. Mice were randomly divided into 9 groups of 10 mice per group. Group 1 was a control group in which mice were untreated. All mice from groups 2 through 8 were subcutaneously (s.c.) primed with 100 µl of IPV suspension of three types of poliovirus (Type 1 (Mahoney), Type 2 (MEF-1), and Type 3 (Saukett) (IPOL, Sanofi Pasteur SA)). Group 2 mice were s.c. boosted with the same IPV 30 days after priming. Mice in groups 3 through 9 were 25 orally boosted with lyophilized plant material: mice in groups 3-8 were boosted once a week for 8 consecutive weeks starting one week after priming. Mice in groups 3 through 5 were orally boosted with lyophilized native CTB-VP1-expressing leaves; each mouse was boosted with 20 mg of material in 200 µl of PBS plus different adjuvants: saponin (group 3), squalene (group 4) or both (group 5). Mice in groups 6 through 9 were orally boosted with lyophilized codon- 30 optimized CTB-VP1-expressing leaves; each mouse was boosted with 20 mg of material in 200

μl of PBS plus different adjuvants: saponin (group 6), squalene (group 7) or both (groups 8 and 9). Blood was collected one day prior to priming and 7 days after boosting. Serum samples were heat-inactivated at 56°C for 30 min to destroy complement activity.

### **Preparation of vaccine formulations of bioencapsulated, plant-made CTB-VP1 protein**

5 Vaccine formulation was generally performed as previously described<sup>39, 40</sup> but with modifications. Briefly, the double emulsion technique was used to prepare vaccine formulations. To prepare VP1 antigen adjuvanted with squalene, the primary emulsion in the aqueous phase was made by mixing 0.05% Tween-80 in PBS with 20 mg of lyophilized VP1 antigen. The oil phase was a combination of squalene (80% v/v) and Span-80 (Sigma, P4780) (20% v/v). The 10 emulsion was made by mixing the primary oil emulsion with the aqueous phase and adjusting the total volume to 200 μl per mouse with PBS, then homogenizing at 5,000 rpm for 5 min.

To prepare VP1 antigen adjuvanted with saponin, 20 mg lyophilized native or codon-optimized CTB-VP1 plant material was mixed with 2 mg saponin per mouse and PBS was added to a final volume of 200 μl. To prepare VP1 antigen with both adjuvants, a primary emulsion was 15 made by mixing 20 mg of lyophilized native or codon-optimized VP1 with 2 mg saponin in PBS containing 0.05% Tween-80. Then, the primary emulsion was then gently mixed with a squalene emulsion containing squalene (80% v/v) and Span-80 (20% v/v).

### **Determination of antibody response by ELISA**

20 Immunological responses, including serum levels of VP1-specific IgG1 and IgA titers, were assayed by direct ELISA and in vitro poliovirus Sabin 1, 2 and 3 neutralizing assays, which were performed by the Centers for Disease Control and Prevention (CDC). Briefly, for the antibody response assay, 10 μg/ml purified CTB-VP1 protein was used to coat 96-well Maxisorp ELISA plates (Nunc) overnight at 4°C. Plates were blocked with 1% BSA (Sigma 7906) in PBS 25 with 0.05% Tween. Starting with a 1:400 dilution, two-fold dilutions of individual heat-inactivated sera samples were incubated overnight at 4°C. Secondary antibodies were HRP-conjugated rat anti-mouse IgG1 (BD Pharmingen, 559626, 1:1,000) and HRP-conjugated goat anti-mouse IgA (American Qualex, A138N, 1:5,000) diluted in blocking buffer and incubated at 37°C for 1 h followed by color development with TMB substrate (ES001, EMD Millipore, CA)

for 10 min at RT. The reaction was stopped by adding 100  $\mu$ l of 2N sulfuric acid to each well, and absorbance was measured using an ELISA reader at 450 nm. Antibody titers were defined as the reciprocal of the highest dilution above the cut-off, which was three times the mean background<sup>41</sup>. All sera samples were tested in triplicate. Results are shown as individual

5 antibody titer  $\pm$  SEM.

### **Poliovirus Sabin 1, 2, 3 neutralization assay**

After the 10th oral boost with native or codon-optimized CTB-VP1 proteins adjuvanted with saponin and/or squalene or, for group 2, both priming and boosting with the IPV, serum samples were collected and saved at -80°C for further neutralization assays at the CDC as previously described<sup>21, 42</sup>. Briefly, sera samples were tested in triplicate with the use of modified microneutralization assays for antibodies to Sabin strains type 1, 2, and 3. Serum samples from control and experimental groups were tested randomly and blindly. The serum dilution of a reciprocal titer at which no virus neutralization was detected was recorded as the  $\log_2$  (titer) of 2.5, or negative; a  $\log_2$  titer of  $\geq 3$  was considered protective. Individual titers for each mouse are plotted and the bar represents mean neutralizing titer  $\pm$  SEM.

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### **Statistical analysis**

All data are reported for individual mice and mean  $\pm$  SEM is given for each group. Analyses for statistically significant differences in antibody titers between groups were performed using Student's *t*-test (GraphPad Prism version 6) and *P* values  $<0.05$  were considered significant.

20

The following examples are provided to illustrate certain embodiments of the invention. They are not intended to limit the invention in any way.

## EXAMPLE I

### Use of genomic, proteomic and ribosome profiling tools for comparative analysis of native and codon optimized human or viral genes enhances understanding of transgene expression in chloroplasts

#### 5 Codon-optimization of human/viral transgenes

The differences in codon usage by chloroplasts are often associated with a decrease in translation. In efforts to increase expression of therapeutically relevant proteins, the native sequences of blood clotting factor VIII heavy chain (FVIII HC and FVIII LC), and IGF-1, from human, viral capsid protein 1 (VP1) from polio virus and mutanase from bacteria were analyzed 10 as previous studies showed very low expression levels of <0.005% for FVIII and ~0.1% for VP1, for example. Codon optimization software was developed to increase translation using an algorithm based on the analysis of codons used in *psbA* genes from 133 plant species because the *psbA* gene is most highly expressed gene in chloroplasts (Figure 1). Because the translation efficiency of the *psbA* gene is >200 times higher than the *rbcL* gene, this gene was chosen for 15 optimization (Eibl et al., 1999). Furthermore, among 140 transgenes expressed in chloroplasts, >75% use the *psbA* regulatory sequences. The synonymous codons for each amino acid were ranked according to their frequency of use as shown in Figure 1. Therefore, most of the rare codons of heterologous genes were modified by the codon optimizer program, according to codons used in *psbA* genes. In the development of the codon-optimization program, we also 20 investigated expression of synthetic genes using only the highest preferred codon for each amino acid.

#### Evaluation of translation of native and synthetic genes in *E.coli*

In this study, the native sequences for FVIII HC (2262 bp), FVIII LC and FVIIISC<sup>c</sup> (a 25 fusion form of codon-optimized FVIII heavy chain (HC including 14 amino acids from B domain) and light chain (LC) (Fig. 2A and Fig. 2E) and VP1 (Fig. 4A) (906) were codon-optimized using the newly developed chloroplast codon-optimizer and synthesized. After codon optimization, AT content of FVIII HC increased slightly from 56% to 62% and 383 codons out of 754 amino acids were optimized. For VP1 sequence from Sabin 1, 906-bp long native 30 sequence was codon optimized, which slightly increased AT content from 51.98% to 59.03% and 187 codons out of 302 amino acids were optimized. The synthetic gene cassettes were inserted

into the chloroplast transformation vector, pLSLF for lettuce or pLD-utr for tobacco (Fig. 2A and Fig. 4A). The native and synthetic genes were fused to the cholera non-toxic B subunit (CNTB) which is used for efficient mucosal delivery of the fused proteins via monosialo-tetrahexosylganglioside receptors (GM1) present on the intestinal epithelial cells. To eliminate 5 possible steric hindrance caused by the fusion of two proteins and facilitate the release of tethered protein into circulation after internalization, nucleotide sequences for hinge (Gly-Pro-Gly-Pro) and furin cleavage site (Arg-Arg-Lys-Arg) were engineered between CNTB and fused proteins. The fusion genes were placed under identical *psbA* promoter, 5'UTR and 3' UTR for specific evaluation of codon optimization (Fig. 2A and Fig. 4A). For the selection of 10 transformants, the gene for aminoglycoside-3"-adenylyl-transferase gene (*aadA*) was driven by the ribosomal RNA promoter (*Prrn*) to confer transformed cells resistance to spectinomycin. The expression cassettes were flanked by sequences for isoleucyl-tRNA synthetase (*trnI*) and for 15 alanyl-tRNA synthetase (*trnA*) gene, which are identical to the endogenous chloroplast genome sequences, leading to efficient double homologous recombination and optimal processing of introns with flanking sequences.

Before creation of transplastomic plants expressing the codon-optimized CNTB-FVIII HC and CNTB-VP1, the synthetic genes were first transformed into *E.coli* to evaluate their expression. Because of prokaryotic origin, chloroplasts have similar transcription/translation machinery. As seen in Fig. 2B, the expression level of the native FVIII gene was ~11 times less 20 than synthetic FVIII gene which was cloned into both lettuce and tobacco chloroplast transformation vectors. In contrast, the synthetic FVIII gene composed of only most highly preferred codons was not even detectable in western blots. For CNTB-VP1, the codon-optimized sequence expressed 3 fold higher than the native sequence. Also, synthetic VP1 gene composed of only the highly preferred codons showed 2 fold less expression than the native sequence.

25

### **Translation efficiency of native and codon-optimized genes in lettuce and tobacco chloroplasts**

After confirmation of improvement in expression of synthetic sequences in *E.coli*, the transformation vectors containing synthetic FVIII HC and VP1 sequence were used to create 30 transplastomic lettuce and tobacco plants expressing codon-optimized HC and VP1. To confirm homoplasmy, Southern blot analysis was performed with four independent lettuce and tobacco

lines expressing native and codon-optimized FVIII HC, and lines expressing native and codon-optimized VP1. For lettuce plants expressing CNTB-FVIII HC, native and codon-optimized sequence, chloroplast genomic DNA was digested by *Hind*III and probed with dig-labelled probe spanning flanking region (Fig. 2D). For tobacco plants expressing CNTB-HVIII HC (codon-  
5 optimized), *Af*III was used for digestion of genomic DNA. All selected lines showed the expected distinct hybridizing fragments with no untransformed fragment (Fig. 3A). In case of tobacco plants expressing CNTB-VP1 encoded by the construct shown in Fig. 4A, the extracted total genomic DNA from four independent transplastomic lines was digested by *Af*III and probed with flanking sequence, showed two distinct hybridization fragments with no 4.4 kb  
10 untransformed fragment. Therefore, these data confirm homoplasmy of all transplastomic lines and their expression levels should therefore be directly related to translation efficiency and not the transgene copy number.

Expression levels of codon-optimized or native gene sequences were quantified using immunoblot and densitometry assays (Fig. 4C and 4D). The concentration of FVIII HC of codon-optimized gene between about 100.7 to about 596.6  $\mu$ g/g DW, was 1.76 to 29.8 fold  
15 higher than that of lettuce plant expressing the native FVIII HC gene which was between about 20.0 to about 57.2  $\mu$ g/g DW. Percentage of total leaf protein (%TLP) was about 2.23 to about 25.33-fold higher in codon-optimized (0.058 to about 0.38%) than the native human gene sequence (0.015 to about 0.026%). Such variations in expression levels are due to the age of  
20 leaves and different developmental stages. The batch used for PRM mass spectrometry in this study showed a 5.02 fold increase based on dry weight (100.7 vs 20.0  $\mu$ g/g DW) or a 3.98 fold increase based on total leaf protein (0.074 vs 0.016% TLP) between codon-optimized and native sequence, respectively. In case of tobacco plants, the concentration in codon-optimized plants was between about 847.7 and 1266.0  $\mu$ g/g DW, and expressed about 9.92 to 34.6 fold higher  
25 FVIII protein than the native gene which was between about 36.6 and about 85.5  $\mu$ g/g DW, or about 4.0 to about 13.9 fold higher based on TLP. For the tobacco plants expressing CNTB-VP1, the batch used for PRM mass spectrometry showed 48 fold higher based on DW (2,600 vs 54  $\mu$ g/g DW) and 46 fold higher based on TLP (4.6% vs 0.1%) between codon-optimized and native sequence, respectively (Fig. 4D). From these data, the codon-optimized sequences obtained  
30 from our newly developed codon optimizer program significantly improved translation of transgenes to different levels, based on the coding sequence.

To investigate the impact of codon optimization on transcript stability, northern blots were performed with a probe, *psbA* 5' or 3' UTR sequence (Fig. 5A-B). Extracted total RNAs were loaded in a serial manner and the detected mRNA levels of codon-optimized and native sequence for CNTB-FVIII HC and CNTB-VP1 were normalized to endogenous *psbA* transcript using densitometry and then the normalized ratios were compared. Northern blots indicated that the increase of codon-optimized CNTB-F VIII and -VP1 accumulation is at translational level rather than RNA transcript abundance or stability.

### Absolute quantitation by PRM analysis

Expression levels of codon-optimized and native gene sequences were also quantified using PRM mass spectrometry (Fig. 6A-C). To select the optimal proteotypic peptides for PRM analysis of the CNTB and FVIII HC sequences, we first performed a standard MS/MS analysis (data not shown) of a tryptic digest of lettuce plant expressing CNTB-FVIII HC. From this experiment we chose three peptides from CNTB (peptide 1, IFSYTESLAGK (SEQ ID NO: 5); peptide 2, IAYLTEAK (SEQ ID NO: 6); peptide 3, LCVWNNK (SEQ ID NO: 7) and three FVIII HC tryptic peptides (peptide 4, FDDDNSPSFIQIR (SEQ ID NO: 8); peptide 5, WTVTVEDGPTK (SEQ ID NO: 9); peptide 6, YYSSFVNMER (SEQ ID NO: 10). The content of FVIII HC protein of codon-optimized plant was calculated as results of PRM measurement of the three CNTB tryptic peptides and the three FVIII HC tryptic peptides (Fig. 6A-B). The Tcontent of FVIII HC protein of codon-optimized lettuce plant was 5.6 fold higher than that of lettuce plant expressing native sequence (Fig. 7A). Peptides chosen from CTB showed the range of fold change between native and codon-optimized construct from 4.9 (IAYLTEAK) (SEQ ID NO: 6) to 5.2 (IFSYTESLAGK) (SEQ ID NO: 5) to 6.6 (LCVWNNK) (SEQ ID NO: 7). Peptides chosen from F VIII HC showed the range from 5.5 (FDDDNSPSFIQIR) (SEQ ID NO: 8) to 5.7 (YYSSFVNMER) (SEQ ID NO: 10) to 7.1 (WTVTVEDGPTK) (SEQ ID NO: 9)(Fig. 7A). These results are reported in Table 1. Linearity of the quantification range was also determined (data not shown). For all the six peptides we observed an  $R^2$  value over 0.98.

Table 1. Fold change CO with regards to native at peptide level.

Peptide Sequence	Protein Name	Sequence type	Protein target	Ratio RUC To Standard (ratio > 1.0)	Total based on 353 account	Relative (base) of 4 replicates	Standard Dev.	CV (%)	Ratio change CO with regards relative to peptide level
IVVTEELAK				0.2362	9.7302				
IVVTEELAK				0.2363	10.146				
IVVTEELAK				0.2364	9.3964				
IVVTEELAK				0.2365	9.5324	9.5634	0.173383883	1.732938383	
IVVTEELAK				1.5853	53.3033				
IVVTEELAK				1.5857	53.2123				
IVVTEELAK				1.5873	50.9023				
IVVTEELAK				1.5873	48.6923	50.0846	1.937310001	1.632163578	5.206563847
IVVTEAK				0.2356	9.3844				
IVVTEAK				0.2423	9.346				
IVVTEAK				0.2456	9.3844				
IVVTEAK				0.2393	9.2546	9.2571	0.156163787	1.283032593	
IVVTEAK				1.2008	40.8136				
IVVTEAK				1.2221	41.9174				
IVVTEAK				1.2427	38.8172				
IVVTEAK				1.1706	38.9204	40.1373	1.179383838	1.931403760	4.867186169
IVVWAKK				0.062	2.176				
IVVWAKK				0.2073	1.9481				
IVVWAKK				0.2083	1.9481				
IVVWAKK				0.061	0.984	1.9392	0.262361729	21.181576739	
IVVWAKK				0.4949	18.3233				
IVVWAKK				0.4803	18.6362				
IVVWAKK				0.4926	18.7483				
IVVWAKK				0.4935	18.5764	18.7634	0.0565326473	0.5757483167	5.691670785
IVVWPSRQK				0.021	0.266				
IVVWPSRQK				0.0823	3.1382				
IVVWPSRQK				0.0812	3.4084				
IVVWPSRQK				0.0363	3.6182	3.3253	0.3307546383	7.541057339	
IVVWPSRQK				0.0301	3.6254				
IVVWPSRQK				0.0871	38.5384				
IVVWPSRQK				0.0738	38.2104				
IVVWPSRQK				0.0337	38.3453	38.3183	0.137713283	1.1846370534	5.481853715
IVVTVEDOPT				0.118	4.323				
IVVTVEDOPT				0.1312	4.1206				
IVVTVEDOPT				0.114	3.576				
IVVTVEDOPT				0.1058	3.8836	3.9832	0.339708316	8.177638311	
IVVTVEDOPT				0.0623	28.3166				
IVVTVEDOPT				0.0277	28.1613				
IVVTVEDOPT				0.0356	27.9834	28.4237	0.7931234825	0.7831130436	7.332677283
IVVFWAKK				0.0291	1.6559				
IVVFWAKK				0.0743	2.5333				
IVVFWAKK				0.0674	2.3936				
IVVFWAKK				0.0682	2.1488	2.1887	0.3633838313	18.84128474	
IVVFWAKK				0.276	12.7884				
IVVFWAKK				0.3709	12.7806				
IVVFWAKK				0.3463	11.7542				
IVVFWAKK				0.3324	11.5854	11.2213	0.6244330083	1.2061219497	5.655178228
IVVTEELAK				0.2372	3.9848				
IVVTEELAK				0.2374	4.6306				
IVVTEELAK				0.132	4.552	4.38643	0.3912238831		
IVVTEELAK				3.4843	133.8023				
IVVTEELAK				3.7223	126.7622				
IVVTEELAK				3.6612	123.7205				
IVVTEELAK				3.3481	120.9854	120.1026	1.967306114	2.484711671	27.3865637
IVVTEAK				0.1049	3.9686				
IVVTEAK				0.1043	3.9822				
IVVTEAK				0.0971	3.8844				
IVVTEAK				0.1097	3.7286	3.621	0.871212482	1.821223083	
IVVTEAK				1.7973	59.3832				
IVVTEAK				1.8341	58.3054				
IVVTEAK				1.7938	58.2442				
IVVTEAK				1.7381	58.1024	58.13453	1.3571534841	1.8417814453	25.936606333
IVVWAKK				0.0693	1.3361				
IVVWAKK				0.0263	1.3328				
IVVWAKK				0.0463	1.6762				
IVVWAKK				0.0657	1.5238	1.57473	0.14877351	5.5003440612	
IVVWAKK				0.0761	28.4936				
IVVWAKK				1.0074	34.2336				
IVVWAKK				0.9512	32.7148				
IVVWAKK				0.9573	32.3768	32.3383	0.8233449839	1.0071238833	22.48214936

The content of VP1 protein of codon-optimized plant was calculated as results of PRM measurement of the three CNTB tryptic peptides (Fig. 6C). The content of VP1 protein of codon-optimized plant was calculated as 25.9 fold higher than that of tobacco plant expressing native sequence VP1. The fold increase ranges from 22.5 (LCVWNNK) (SEQ ID NO: 7) to 26.0 (IAYLTEAK) (SEQ ID NO: 6) to 28.0 (IFSYTESLAGK) (SEQ ID NO: 5) (Fig. 7B). Linearity of the quantification range was also investigated by spiking SIS peptides in a constant amount of plant digest (1:1:1:1 mix of all 4 types of plant materials) in a dynamic range covering from 220 atomols to 170 fmol (values equivalent on column per injection).

Absolute quantitation can be achieved by spiking a known amount of the counterpart SIS peptide into the samples. For each counterpart SIS peptide (34 fmol) was injected on column mixed with protein digest (equivalent to protein extracted from 33.3 µg lyophilized leaf powder). By calculating ratios of area under the curve (AUC) of each, SIS and endogenous peptides, we estimated the endogenous peptide molarity, expressed as femtomole on column (Fig. 6A-C). The mean of all calculated ratios of fentomoles on column (6 and 3 peptides, CNTB-FVIII HC and CNTB-VP1, respectively) for codon optimized and native sequences is reported as fold increase of protein expression in the codon optimized constructs. The high reproducibility of the sample preparation and PRM analysis is shown in Fig. 6A-C. All peptide measurements were the result of four technical replicates, two sample preparation replicates (from leaf powder to extraction to protein digestion) and two MS technical replicates. Coefficients of variation (%) among the 4 measurements per peptide ranged from 0.5% to 10% in all but in two cases were where it was 16% and 22%.

### Ribosome profiling studies

Ribosome profiling uses deep sequencing to map “ribosome footprints”- the mRNA fragments that are protected by ribosomes from exogenous nuclease attack. The method provides a genome-wide, high resolution, and quantitative snapshot of mRNA segments occupied by ribosomes *in vivo* (Ingolia et al., 2009). Overall ribosome footprint coverage can provide an estimate of translational output, and positions at which ribosomes slow or stall are marked by regions of particularly high ribosome occupancy.

To examine how codon optimization influenced ribosome behavior, we profiled ribosomes from plants expressing the native and codon optimized CNTB-FVIII HC and CNTB-

VP1 transgenes. Figures 8A -8C show the abundance of ribosome footprints as a function of position in each transgene; footprint coverage on the endogenous chloroplast *psbA* and *rbcL* genes is shown as a means to normalize the transgene data between the optimized and native constructs. Ribosome footprint coverage was much higher in the codon-optimized VP1 sample 5 than in the native VP1 sample (Fig. 8A). However, the magnitude of this increase varies depending upon how the data are normalized (Fig. 8C): the increase is 5-fold, 16-fold, or 1.5-fold when normalized to total chloroplast ribosome footprints, *psbA* ribosome footprints, or *rbcL* ribosome footprints, respectively. These numbers are considerably lower than the 22-28 fold increase in VP1 protein abundance inferred from the quantitative mass spectrometry data. The 10 topography of ribosome profiles is generally highly reproducible among biological replicates (see for example, *rbcL* and *psbA* in Fig. 8B). In that context it is noteworthy that the peaks and valleys in the endogenous *psbA* and *rbcL* genes are quite different in the native and optimized tobacco VP1 lines. Many of the large peaks (presumed ribosome pauses) observed in these 15 endogenous genes specifically in the native VP1 line map to paired alanine codons (asterisks in Fig. 8A). It is possible that global differences in ribosome behavior at alanine codons may contribute to differential transgene expression in the native and codon optimized lines.

The number of ribosome footprints in FVIII line decreased ~2-fold in the codon-optimized line, whereas protein accumulation increased 5-7 fold. However, a major ribosome pause can be observed near the 3' end of the native transgene, followed by a region of very low 20 ribosome occupancy (see bracketed region in Fig. 8B). This ribosome pause maps to a pair of CTC leucine codons, a codon that is never used in native *psbA* genes (see Fig. 1). These results strongly suggest that the stalling of ribosomes at these leucine codons limits translation of the downstream sequences and overall protein output, while also causing a build up of ribosomes on the upstream sequences. Thus, overall ribosome occupancy does not reflect translational output 25 in this case. Modification of those leucine codons in the codon-optimized variant eliminated this ribosome stall and resulted in a much more even ribosome distribution over the transgene (Fig. 8B, right). Ribosome footprint coverage is much more uniform on the codon-optimized transgene (Fig. 8C).

## Discussion

### Codon usage is not similar between *E. coli* and chloroplasts

The codon-optimized FVIII HC, LC and SC sequences improved expression level in *E.coli* 7-10 fold. Homoplasmic lines (transformation of all chloroplast genomes) were confirmed 5 by Southern blot. The highest levels of expression level of codon-optimized CTB-FVIII heavy chain (100 kDa), light chain (92 kDa) and single chain (179 kDa) were 2440, 160 and 230  $\mu\text{g/g}$  in lyophilized plant cells, respectively. In single chain lettuce, the expression level increased from 150  $\mu\text{g/g}$  to 230  $\mu\text{g/g}$  with age from 26-day to 48-day. Translation efficiency of synthetic genes was first tested in *E.coli* expression system because of the prokaryotic origin of 10 chloroplasts. However, the expression of the synthetic VP1 gene showed only 3 times higher than the native gene. Lower level of translation of synthetic VP1 than FVIII HC could be due to differences in rarely used codons between *E.coli* and chloroplast. In *E.coli*, among 6 arginine codons, four (AGG, AGA, CGG and CGA) codons are not preferred. Also, GGA for glycine, AUA for isoleucine, CUA for leucine, and CCC for proline (Kane, 1995) are least preferred 15 codons in *E.coli*. Usually the small number of rare codons do not cause severe impediment in translation. However, clustering of a large number of the rare codons affects translation. Arginine codons AGG/AGA have been extensively studied for their detrimental effect on protein expression in *E.coli*. In a study which used a test protein with different magnitude of tandem repeat of AGG codons, translation was drastically reduced when the number of AGG clusters 20 was two to five (Rosenberg et al., 1993). While there is no clustering issue of the rare codons for the native VP1, it was found that the native sequence of FVIII HC has 3 clustering sites where rare codons for arginine or glycine were consecutively placed, for example, at codons 3 and 4 (AGA-AGA), codons 489 and 499 (AGG-AGA), and codons 562 and 563 (AGA-GGA). So the elimination of the multiple repeat of rare Arg codons from native FVIII HC sequence by codon 25 optimization could increase translation of the synthetic HC in *E.coli*. In contrast, the native sequence of VP1 has no such tandem repeats of the rare codons so that the expression efficiency was less affected than FVIII HC native sequence. In view of these data it is clear that production and oral delivery of FVIII SC clotting factor using edible lettuce will benefit patients with increased compliance, in a cost-effective and safe manner. Large scale/clinical grade production 30 of therapeutic plant leaves at cGMP facility will reinforce evaluation of plant-made clotting factors in large animal models, non-human primates and facilitate toxicology studies.

### Codon optimization significantly enhances translation in chloroplasts

The increase of 22.5 ~ 28.0 fold (by PRM) and 46-48 fold (by WB) between the native and codon-optimized VP1 in chloroplasts is quite remarkable. Since the codon optimizer was designed to optimize expression of heterologous genes in chloroplasts, it is expected that improvement of expression level between native and synthetic sequence in chloroplasts is much greater than that of expression in *E.coli*. For example, CUA for leucine is rarely used in *E.coli* but the same codon is most favorably used in chloroplasts. The codon optimization program increased the ratio of CUA among 6 leucine codons from 27.8% of native sequence to 38.9% of codon-optimized sequence for VP1. In contrast to expression in *E.coli*, fold difference of protein level between VP1 plants expressing native and codon-optimized sequence was greater than that of plants expressing FVIII HC between native and codon-optimized sequence. Given that higher molecular weight of FVIII (754 amino acids) than VP1 (302 amino acids) requiring more tRNAs and amino acids in chloroplasts, the resultant protein synthesis will be less efficient. Considering that chloroplasts have an extremely high capacity to synthesize and accumulate foreign proteins, the nitrogen supply and amino acid pool could be a major concern for accumulation of recombinant proteins. As seen in previous report (Bally et al., 2009), total amino acid content of transplastomic plants was significantly affected with reduction of resident proteins, especially Rubisco, due to the limited resources of protein synthesis, which usually functions as a major leaf amino acid storage protein.

Codon usage in *psbA* (our program) is different for preferred Arg, Asn, Gly, His, Leu and Phe codons than those reported for 79 tobacco chloroplast mRNAs based on *in vitro* studies (Nakamura and Sugiura, 2007). Preferred codons are decoded more rapidly than non-preferred codons, presumably due to higher concentrations of the corresponding tRNAs that recognize the preferred codons, which speed up elongation rate of protein synthesis (Yu et al., 2015). Higher plant chloroplast genomes code for a conserved set of 30 tRNAs. This set is believed to be sufficient to support translation machinery in chloroplast (Lung et al., 2006). In the ribosome profiling data for codon optimized VP1, two major peaks representing presumed sites of ribosome stalling correlated with an unusually high concentration of serine codons (Fig. 8A). Five serine codons were clustered at codons 71, 73, 75, 76 and 79. And three other serine codons

were found at codons 178, 179 and 182. Two adjacent serines in each cluster, (codons 75 and 76 (UCU-AGU), and codons 178 and 179 (UCC-UCU)) (see triangles in Fig. 8A) show a high level of ribosome stalling. Thus, further increases in expression of the codon-optimized VP1 transgene can be obtained by replacing these codons with codons for a different but similar amino acid.

5 In previous studies, codon modification to improve expression level of heterologous genes was focused on the increase of AT content by changing third nucleotide of codons. In case of IGF-1 (Daniell et al., 2009), the synthesized sequence of IGF-1 changed by 3<sup>rd</sup> position of codons showed the dramatic fold increase of expression over the native sequence in *E.coli* system but no increase of expression level was observed in chloroplasts, suggesting that increase 10 of AT content is not the major contributing factor in enhancing translation. As seen in this study, the AT content of codon optimized VP1 was marginally increased but the protein level of the optimized CTB-VP1 was dramatically increased up to 22.56 ~ 28.0 fold (by PRM) and 46-48 fold (by WB) over native sequence when expressed in chloroplasts. Therefore, several other factors play a key role in regulating efficiency of translation. As observed in ribosome profiling 15 studies of CNTB-VP1, the availability and density of specific codons could severely impact translation. Similarly, FVIII HC, ribosome footprint results showed that ribosome pause was mapped to CTC leucine codons which are almost not used in *psbA* genes. The codon is also rarely used in lettuce *rbcL* gene (2.44%) and for tobacco *rbcL*, the codon is never used. Native FVIII HC uses the CTC codon as high as 15.28% but CTC codon was eliminated from the 20 codon-optimized sequence according to *psbA* codon usage. More detailed analysis of codon frequency of the native FVIII HC and the *psbA* gene reveals further insight into rare codons; GGG for Gly is used 2.3% in *psbA* but 11.63% in HC native; CTG for Leu is 3.7% in *psbA* but 26.39% in HC native; CCC for Pro is 1.9% vs 11.9%; CGG for Arg is 0.5% vs 10.81%; CTG for Val is 1.7% vs 25.49%. So, similar to CTC codon, several other rare codons described above in 25 the native human gene should have decreased translational efficiency in chloroplasts.

### New solution for quantitation of insoluble multimeric proteins

A major challenge is the lack of reliable methods to quantify insoluble proteins because the only reliable method (ELISA) can't be used due to aggregation or formation of multimeric 30 structures. However, delivering accurate doses of protein drugs is a fundamental requirement for their clinical use. Therefore, in this study we carried out parallel reaction monitoring (PRM)

analysis for absolute quantitation of CNTB-FVIII HC and CNTB-VP1 in plants carrying codon optimized and native sequences. PRM analysis has been broadly adopted in quantitative proteomics studies, e.g. biomarker discovery in plasma, due to its high sensitivity, specificity and precise quantitation of specific protein targets within complex protein matrices (Gallien et al., 5 2012). These qualities clearly show the advantage of using PRM in the quantification of specific protein targets, independently of the protein matrix source (e.g. plant extracts from tobacco or lettuce) or complexity. Moreover, the development of a PRM assay for hand full of proteins can be achieved in a relatively short time and at low costs (not taken in consideration the MS instrumentation). As a peptide-centric quantitation methodology also offers robustness and 10 versatility of protein extraction methods and keeping the protein of interest in a native conformation is not required. However, it is intrinsically biased by the enzymatic cleavage site access of the enzymes used for digestion. In order to overcome this bias, we have used strong denaturing conditions (i.e. 2 % SDS) and buffers that favor activity of the proteolytic enzymes (i.e. sodium deoxycholate based buffers) (León et al., 2013). For FVIII HC (Fig. 6 and 7), there 15 was no significant variations in the values for fold increases of codon-optimized over native sequences, which were determined by the peptides chosen for quantification. Three peptides selected from CNTB region (N-terminus of the fusion protein) showed that the range of the fold increase was from 4.9 ~ 6.4 while the range was 5.3 ~ 7.1 for the peptides chosen from FVIII regions (C-terminus of the fusion protein). So quantification results obtained from PRM analysis 20 is consistent, irrespective of the selected region of the fusion protein (N or C-terminus) or the component protein (CNTB or FVIII HC). Also, the same three CNTB peptides for CNTBVP1 showed consistent in fold increase, ranging from 22.5 ~ 28.0. PRM analysis is better than western blots because it eliminated variations introduced by mobility and transfer of different size proteins and saturation of antibody probes. Overall, the PRM workflow consisted first on the 25 selection of proteotypic peptides from CNTB and FVIII HC sequences; and synthesis of the counterpart SIS peptides. Six peptides were selected and scheduled for PRM analysis on the Qexactive mass spectrometer, based on observed retention time (RT) on the chromatography with a window of  $\pm$  5 min and mass over charge (m/z) of double and/or triple charge state of these peptides. This double way of targeting the selection of precursor ions, in addition to the 30 high resolution of the Qexactive MS, contributes to the high specificity of the assay. The PRM data analysis, post-acquisition, also offers a high specificity to the assay. The five most intense

fragment ions, with no clear contaminant contribution from the matrix, are then selected for the quantification of the peptide. The confidence of the fragment ion assignment by the bioinformatics tool used, i.e. Skyline (MacLean et al., 2010) is finally achieved by the comparison of the reference MS/MS spectra and the RT profiles, generated with each of the 5 counterpart SIS peptides. The high sensitivity, specificity, versatility and robustness of the PRM offer a new opportunity for characterizing translational systems in plants.

## Conclusions

Heterologous gene expression utilizing chloroplast genome sequences, ribosome profiling and targeted mass spectrometry (MS) was analyzed to enhance our understanding of synthesis of valuable biopharmaceuticals in chloroplasts. Targeted Proteomic Quantification by Mass Spectrometry showed that codon optimization increases translation efficiency 5-50 fold based on the coding sequence, validating this approach for the first time for quantitation of protein drug dosage in plant cells. The lack of reliable methods to quantify insoluble proteins due 10 to aggregation or formation of multimeric structures is a major challenge. Both bio-pharmaceuticals used in this study are CNTB fusion proteins that form pentamers, which is a requirement for their binding to intestinal epithelial GM1 receptors. Such a multimeric structure excluded the commonly used ELISA for quantitation of dosage. However, delivering accurate 15 doses of protein drugs is a fundamental requirement for their clinical use and this important goal to was accomplished in this study. Indeed plant biomass generated in this study has resulted in development of a polio booster vaccine, validated by the Center for Disease Control, a timely 20 invention to meet World Health Organization requirement to withdraw current oral polio vaccine in April 2016, that cause severe polio in outbreak areas.

Such increase of codon-optimized protein accumulation is at the translational level rather 25 than any impact on transcript abundance or stability. The codon-optimizer program increases transgene expression in chloroplasts in both tobacco and lettuce, with no species specificity. In contrast to previous in vitro studies, first in depth in vivo studies of heterologous gene expression using a wealth of newly sequenced chloroplast genomes facilitated the development of a new 30 codon optimizer program which was tested using two important proteins for clinical applications. Ribosome foot prints obtained using profiling studies did not increase proportionately with VP1 translation or even decreased after FVIII codon optimization but it is a valuable tool for

diagnosing rate limiting steps in translation. A major ribosome pause at CTC leucine codons, a rarely used codon in chloroplasts was eliminated from the native gene after codon optimization. Ribosome stalls observed at clusters of other codons in the codon-optimized genes provide opportunity for further optimization by eliminating the codons that cause such stalls.

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## Example II

### **Cold Chain and Virus Free Plant-made Booster Vaccine to Confer Immunity Against Different Polio Virus Serotypes**

#### **Construction of plant transformation vectors**

15 Two VP1 proteins derived from Sabin 1 coding sequences (CDS) were expressed in tobacco and lettuce chloroplasts. See Figure 4A. The first sequence encompassed the native 906-bp VP1 sequence (51.98% AT) fused with the transmucosal carrier CTB. The second was codon-optimized for expression in tobacco and lettuce chloroplasts as described in Example I. Of the 302 amino acids in the protein, 187 codons were optimized by changing the codon usage 20 frequency to resemble that of the chloroplast *psbA* gene (the most highly translated chloroplast gene). Rare codons were replaced with optimal codons for transgene expression in chloroplasts and the AT content of the optimized VP1 gene increased from 51.98% to 59.03%. Both CTB-VP1 fusion genes were constructed with a GPGP (Gly-Pro-Gly-Pro) (SEQ ID NO: 13) hinge 25 region to minimize steric hindrance of the fused VP1, as well as a furin cleavage site, RRKRSV (Arg-Arg-Lys-Arg-Ser-Val) (SEQ ID NO: 14) (Fig. 10A). The fusion gene was driven by the *psbA* promoter and 5' untranslated region (UTR) to increase expression, and the transcript was stabilized by the *psbA* 3'-UTR.

#### **Integration of foreign genes into tobacco and lettuce plastomes**

30 CTB-VP1 transplastomic lines were generated by biolistic particle bombardment. After selection on spectinomycin-containing media, putative transplastomic lines were confirmed by

PCR analysis with primer sets 3P/3M and 5P/2M for tobacco or 16S-Fw/3M and 5P/2M for lettuce (data not shown). Targeted integration and homoplasmy of the CTB-VP1 gene was further verified by Southern blot probed with the *trnI* and *trnA* flanking sequence (Fig. 9B). All independent transplastomic tobacco lines showed distinct hybridization fragments with the 5 correct size, but not the 4.4-kb fragment from wild type in the *Af*/III-digested total DNA blot (Fig. 9A). Transplastomic lettuce lines showed a hybridizing fragment of expected size of 12.2 kb but also the 9.1-kb fragment from untransformed wild type plants, indicating heteroplasmy. However, after 2 rounds of selection, transplastomic lettuce line 1 almost reached homoplasmy 10 (Fig. 9C and 9D). Thus Southern blot analysis confirmed the site-specific stable integration of the transgenes into the chloroplast genome and transgene homoplasmy. As shown in Fig. 9D, lettuce-derived CTB-VP1 was detected with the correct molecular mass of 44 kDa.

### **Folding, stability and CTB-VP1 pentamer assembly in lyophilized tobacco leaves**

CTB-VP1 accumulation in transplastomic plants was quantified by western blot analysis. Intensities of CTB-VP1 protein in the bands in native and codon-optimized plants were 15 compared with known amounts of CTB standard. The western blot analysis indicated that the codon-optimized VP1 sequence significantly increased accumulation of CTB-VP1 when compared with the native VP1 gene product. Native and codon-optimized CTB-VP1 reached up to 0.1% and 4-5% of the total leaf protein, respectively (up to 100-fold increase based on quantitation using targeted MS or western blots, data not shown). As shown in Fig. 9D, the 20 monomer CTB-VP1 fusion protein with the correct molecular mass of 44 kDa was detected with anti-CTB or VP1 antibody. CTB-VP1 antigen increased ~20-fold in lyophilized cells when compared with frozen leaf samples. The intact monomer band of CTB-VP1 fusion proteins was observed without any detectable degradation of CTB-VP1 in all tested lyophilized samples after storage for 4 and 8 months at ambient temperature. Formation of pentameric structures of the 25 CTB-VP1 expressed in chloroplasts was evaluated using GM1 binding ELISA assays. As shown in Figure 10, both native and codon-optimized fresh and lyophilized CTB-VP1 from tobacco showed comparable absorbance to CTB (positive control), whereas no signals were detected from wild type plants or BSA (negative controls). This indicates that CTB-VP1 fusion protein expressed in both fresh and lyophilized chloroplasts formed proper pentameric structures that 30 could bind the GM1-ganglioside receptor, which is a requirement for protein drug delivery. The

stability of VP1, efficacy of binding to GM1-ganglioside receptor, proper folding and pentamer assembly were maintained after lyophilization and prolonged storage for eight months at ambient temperature.

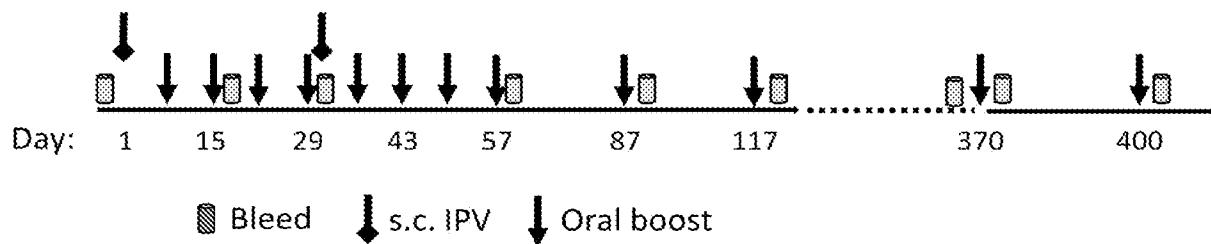
## 5    Animal vaccination and antibody responses to VP1

Plant-derived subunit vaccines are heat-stable and are free from contamination with animal pathogens. They can also be engineered to contain multiple antigens and transmucosal carriers, to protect against multiple infectious diseases. Such mechanistic and conceptual advances could revolutionize vaccine delivery by eliminating the cost of complex production systems, such as 10 fermentation, purification, cold storage and transportation. Two major challenges to plant based vaccine production include the low levels of expression of antigens via the nuclear genome and the potential to induce tolerance without injectable priming of antigens with adjuvants.

In order to address inadequacies of the current OPV, including poor vaccine efficacy, instability and reversion to neuro-virulence, shedding of circulating vaccine-derived polio 15 viruses, and the high cost and inadequate mucosal immunity of inactivated poliovirus vaccine (IPV), a low-cost booster vaccine has been developed in this study using polio viral antigen bioencapsulated in plant cells. The strategy of using a plant-made viral protein 1 (VP1) subunit vaccine for an oral booster rather than repeated OPV vaccination is a novel approach to achieve the goal of global PV eradication. In this study, we provide evidence that oral boosting with 20 chloroplast-derived VP1 together with plant-made adjuvants (saponin and squalene) induces strong immune responses that confer protective immunity against different PV serotypes.

In the previous example, we describe lyophilized CTB-VP1 protein. In the present example, this protein was formulated with plant-derived adjuvants (saponin and/or squalene) which induce specific antibody immunogenicity and neutralize different polio virus serotypes. 25 Mice were divided into groups as described in the Methods section and in the Table below.

Group	Number of mice	Prime	Boost with IPV (s.c) or VP1 (oral)
1	10	N/A	N/A
2	10	IPV	IPV
3	10	IPV	N/A
4	10	IPV	VP1 1 µg/ Saponin
5	10	IPV	VP1 1 µg/ Squalene
6	10	IPV	VP1 1 µg/ Saponin/ Squalene
7	10	IPV	VP1 25 µg/ Saponin
8	10	IPV	VP1 25 µg/ Squalene
9	10	IPV	VP1 25 µg/ Saponin/ Squalene
10	10	N/A	VP1 25 µg/ Saponin/ Squalene



5

### Construction of plant transformation vectors

Two VP1 proteins derived from Sabin 1 coding sequences (CDS) were expressed in tobacco chloroplasts. The first sequence encompassed the native 906-bp VP1 sequence (51.98% AT) fused with the transmucosal carrier CTB. The second was codon-optimized for expression 10 in tobacco and lettuce chloroplasts. Of the 302 amino acids in the protein, 187 codons were

optimized by changing the codon usage frequency to resemble that of the chloroplast *psbA* gene (the most highly translated chloroplast gene). Rare codons were replaced with optimal codons for transgene expression in chloroplasts and the AT content of the optimized VP1 gene increased from 51.98% to 59.03%. Both CTB-VP1 fusion genes were constructed with a GPGP (Gly-Pro-  
5 Gly-Pro) hinge region to minimize steric hindrance of the fused VP1, as well as a furin cleavage site, RRKRSV (Arg-Arg-Lys-Arg-Ser-Val) (Fig. 4A and Fig. 9B). The fusion gene was driven by the *psbA* promoter and 5' untranslated region (UTR) to increase expression, and the transcript was stabilized by the *psbA* 3'-UTR.

#### 10 **Animal vaccination and antibody responses to VP1**

As mentioned above, mice were divided into groups as set forth in the table above. One day prior to immunization, mice from all groups were bled. We determined serum titers of VP1-specific IgG1 and IgA antibodies at various time points on days 29, 43, 57, 87 and 117 after boosting with IPV or CTB-VP1 with adjuvants. At all tested time points, systemic and mucosal immune responses were quantified with ELISA. VP1-IgG1 titers reached highest levels in the first month and remained at the same level. Further boosting did not increase VP1-IgG1 levels (See Figure 11). Mice boosted with codon-optimized CTB-VP1 plus both adjuvants also had higher anti-VP1 IgG1 antibody titers than those boosted with IPV (group 9, see Fig. 11B-F). Similarly, VP1-IgA titers increased after oral boosting in the first month and subsequent boosting resulted in marginal increase in IgA titers (Fig. 11G-J). In sharp contrast, IPV boosting did not increase IgA titers, confirming limitation of systemic vaccine delivery. These results show that oral boosting with plant cells expressing CTB-VP1 can induce both mucosal and systemic immune responses whereas IPV prime/boost developed lower levels of IgG1 and negligible IgA titers.

25 Furthermore, vaccination with codon-optimized VP1 induced significantly higher serum titers of specific anti-VP1 IgG1 and IgA, showing that high expression of antigen in lyophilized codon-optimized material is critical for effective immunization. Moreover, boosting with either native or codon-optimized VP1 antigens combined with two adjuvants (groups 5, 8 and 9) induced stronger IgG1 and IgA immune responses than with either one adjuvant, suggesting that 30 plant-derived adjuvants enhance delivering antigens via the mucosal route and developing strong mucosal and systemic immune responses.

### Poliovirus neutralizing titers against all Sabin 1, 2 and 3 strains following priming and boosting

To determine if anti-VP1 IgG1 and IgA antibodies can neutralize poliovirus, virus

5 neutralization titers were measured for all three Sabin serotypes. Blood samples from all experimental and untreated groups were tested in a double blind manner and in triplicate samples at CDC. A serum sample was considered seropositive if antibodies were present at a  $\log_2$  titer  $\geq$  2.5. Individual neutralization titers were plotted, and the bar represents the mean neutralizing titer  $\pm$  SEM of each group. Results show that after IPV priming, all experimental groups – oral  
10 boosting with native (groups 3-5) or codon-optimized VP1 antigen plus either one or both adjuvants (groups 6-9), as well as priming and boosting only with the same IPV (group 2) induced significantly higher neutralizing titers against all three Sabin strain serotypes. Results show that oral boosting with codon-optimized VP1 plus saponin and squalene (group 8) produced the most Sabin 1, Sabin 2 and Sabin 3 neutralizing antibodies, similar to the group of  
15 mice that were both primed and boosted with IPV (group 2) (Fig. 12). There was no significant statistical difference in neutralizing efficacy among different Sabin virus serotypes, although Sabin 3 had the highest neutralizing titers with IPV prime/boost ( $P < 0.01$ ) and with oral boosting using plant cells ( $P < 0.001$ ). However, no neutralizing antibodies were detected in sera from mice that were only orally boosted with codon-optimized VP1 without IPV priming.

20 To determine the seropositivity rate of poliovirus-neutralizing antibodies, for each Sabin strain, the number of mice with seroprevalence (neutralizing antibody  $\log_2$  (titer)  $\geq 3$ ) was compared with the total number of mice in each group. Mice boosted with IPV (group 2) or orally boosted with codon-optimized VP1 antigen with saponin and squalene adjuvants (group 8) showed high seropositivity for poliovirus Sabin 1, 2 and 3 neutralizing antibodies (Fig. 13-D).  
25 Seropositivity rate varied between 70-90% for IPV prime/boost versus oral boosting with VP1 but there was no statistical difference with similar  $P$  values ( $< 0.001$ ). These results show that codon-optimized VP1 antigen adjuvanted with both saponin and squalene has the greatest seropositivity rate (Fig. 13) and virus neutralizing titers (Fig. 13) ( $\log_2$  titer  $\sim 3.17-10.17$ ) against all Sabin 1, 2 and 3 strains. This result demonstrates that subunit vaccines bioencapsulated in  
30 plant cells can be used as cost-effective booster vaccines against poliomyelitis in countries suffering from a resurgence of wild type poliovirus or cVDPV, which is thought to be caused by

OPV boosting.

## Discussion

After the outbreak of VDPV2, several critical global policies and processes were adopted 5 in 2013 to support the introduction of at least one dose of IPV into routine immunization schedules to mitigate risks of withdrawal of serotype 2 OPV. The WHO's Strategic Advisory Group of Experts (SAGE) recommended the withdrawal of OPV2 from routine immunization programmes in all countries, facilitated by the introduction of at least one dose of IPV in all OPV-using countries in 2015 and the withdrawal of OPV2 globally in 2016 (the global polio 10 eradication initiative (GPEI), 2015). To accomplish these current priorities, emphasis should be placed on needed activities including licensure and increased availability of bivalent OPV for routine immunization, as well as solid implementation of at least one dose of IPV for all OPV-using countries. However, multiple risks still remain in preparation for the global introduction of 15 IPV and the upcoming switch from trivalent OPV (tOPV) to bivalent OPV (bOPV), including tight IPV supply, persistent cVDPV transmission and challenges to meet containment requirements (GPEI Polio Eradication & Endgame Midterm Review, 2015). Most importantly, there is no booster technology available except IPV which is not affordable for most developing countries. Further, the routine use of OPV vaccination must be discontinued for the global PV 20 eradication, and global introduction of IPV instead of OPV is needed. At the same time, high levels of population immunity against the emergence of VDPV and future outbreaks of wild PV need to be maintained. However, the current cost per vaccine dose of IPV is too high for developing countries.

Expression of VP1 in chloroplasts and bioencapsulation in plant cells can protect antigens from the digestive system upon oral delivery and facilitates their release into the immune system 25 in the gut by commensal microbes<sup>22,23</sup>. CTB-antigen fusions facilitate transmucosal delivery to the immune system via the GM1 intestinal epithelial receptor<sup>24</sup>. Further, CTB-fused vaccine antigens stimulate production of antigen-specific IgG and IgA after priming and oral boosters, conferring protection against toxin/pathogen challenge<sup>22</sup>. Production of green vaccines against 30 infectious diseases with ease of oral administration that does not require a cold chain is an important need, especially in areas with limited access to cold storage and transportation<sup>22</sup>. Previous studies have demonstrated that biopharmaceutical or antigen proteins can be stored in

lyophilized plant material at room temperature for several months or even 2 years without any detectable degradation<sup>25-27</sup>. VP1 is highly stable in lyophilized plant cells when stored at ambient temperature for several months.

Antigen-specific IgG and IgA were significantly induced after few oral boosts are  
5 adequate to generate high levels of systemic and mucosal immunity. Both VP1-IgG1 and VP1-IgA titers reached highest levels after the first month of oral boosting and did not increase further with more number of boosters. Although neutralization data from later stage sera collection is provided here, previous batches evaluated for Sabin serotype 1 neutralization showed similar results in groups boosted with plant cells expressing VP1 (data not shown). In this study, plant  
10 cells were suspended in PBS before oral delivery but for delivery to children, suitable formulation with sugar syrup can be required. Although IPV is highly effective in inducing systemic antibodies to protect against paralytic disease, it is less efficient in inducing the mucosal immunity that is needed to prevent re-infection and excretion of polioviruses into the environment. Our results confirmed that in mice s.c. primed/boosted with IPV minimal IgA  
15 titers, explaining the inadequate mucosal immunity of IPV. Mice s.c. primed with IPV and orally boosted with bioencapsulated VP1 elicited strong antigen-specific serum IgG1 (>12,800 titer) and IgA (>800 titer) responses, confirming that oral delivery of VP1 antigen with adjuvants generated both systemic and mucosal immune responses. Unlike viral immunization, subunit vaccines mainly induce Th2 response with IgG1 isotype antibody<sup>28-30</sup>. Oral boosting with subunit  
20 vaccines elicits both the mucosal and systemic immune response, as shown by high IgG1/IgA titers.

In this study, we evaluated both native and codon-optimized VP1 antigens expressed in chloroplasts. The level of VP1 protein was much higher in plants expressing codon-optimized VP1. Our in vivo study also showed that vaccination with codon-optimized VP1 induced much  
25 higher IgG1 and IgA antibody responses (Fig. 11A-J) than native VP1, indicating that the higher amount of antigen proteins orally delivered in the vaccine formulations is more effective for oral immunization. The antibody titers increased despite the longer interval between boosts, indicating that boosting with subunit vaccines can produce a strong memory immune response.

Neutralizing antibody levels at a titer above the 1:8 dilution (3 log<sub>2</sub>(titer)) threshold are  
30 accepted by all national regulatory agencies as having a good correlation with protection when reviewing license applications for IPV-containing vaccines<sup>21, 31</sup>. As expected, virus-neutralizing

titors induced by priming and boosting with IPV were high for all Sabin strains. In our study, priming with IPV and orally boosting with bioencapsulated VP1 with adjuvant (saponin and squalene) showed the highest seropositivity and virus neutralizing titers (range 3.17-10.17 log<sub>2</sub> titer) against all Sabin 1, 2, 3 strains. Although mice that were only boosted with VP1 plus two adjuvants but not primed (group 9) showed the strongest VP1-specific antibody (IgG1 and IgA) production, no neutralizing virus titers were observed in this group when compared to the mice that were primed with IPV. Thus, the only oral boosting with subunit vaccination appears to be insufficient to induce a good neutralizing antibody response to the antigen. These high anti-VP1 antibodies by ELISA might only bind to viral protein which are expressed on the surface of infected cells but not, to a significant degree, on free virus particles, so that they cannot neutralize virus and protect cells against viral infection<sup>32</sup>. The high concentrations of neutralizing antibody are required to inhibit cell-cell transmission of virus infection<sup>33-35</sup>. These results demonstrate that oral priming is essential to induce adequate immunity against pathogens.

Although this study focuses on polio booster vaccine, there is greater need to boost immunity as life expectancy is on the rise. Loss of immunity against infectious diseases among elderly population is a growing concern. For example, shingles occur when latent chickenpox virus is reactivated when aging weakens the immune system and this is rarely observed due to new viral infections. Therefore, to enhance immunity against a number of infectious diseases among elderly population, low cost oral booster vaccines could serve this purpose.

In conclusion, virus- and cold chain-free vaccines are not currently available for any infectious disease. Therefore, production and oral delivery of vaccines using transplastomic technology will facilitate the development of low-cost cold chain- and virus-free booster vaccines. Here we show a low-cost booster vaccine using bioencapsulated polio antigens as an alternative strategy to avoid repeated OPV vaccinations for global PV eradication and the prevention of polio outbreaks in endemic areas.

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### EXAMPLE III

#### Oral Delivery of Codon optimized Insulin-like Growth Factor-1

##### 10 Bioencapsulated in Plant Chloroplasts

Human insulin-like growth factor 1 (IGF-1) plays important roles in growth and development of skeletal muscle in myoblast/fiber formation, differentiation and regeneration after injury. Because E peptide enhances efficacy of IGF-1, it is desirable to express Pro-IGF-1 in chloroplasts to reduce cost and facilitate oral delivery.

15 Pro-IGF-1E (105 aa) was codon-optimized using a software developed described in Example I based the most highly expressed chloroplast gene from 133 plant species (See Fig. 17F). The synthetic pro-IGF-1E was fused to native sequence Cholera toxin B subunit (CTB) and inserted into chloroplast vector as shown in Fig. 14A. Immunoblot assays for the expression of codon-optimized sequences for IGF-1 were performed in *E.coli*. Total proteins were extracted  
20 from transformed *E.coli* with chloroplast expression vectors containing two codon-optimized sequences (C<sup>O</sup>, codon-optimized old; C<sup>N</sup>, codon-optimized new) for IGF-1. Arrow in Fig. 14B indicates expected proteins in size (CTB-IGF-1, 24.3 kDa). Southern blot analysis of CTB-IGF-1 transplastomic lines is shown in Fig. 14C. Figures 15A –15D show quantification and functional analysis of codon-optimized IGF-1 in transplastomic cell lines.

25 Phosphorylation of IGF-1 receptor (IGFR) by plant derived CTB-Pro-IGF-1 was examined in vitro and the results are shown in Fig. 16A. Figures 16B –D show free Pro-IGF-1 in circulatory system as measured in mice after oral gavage of CTB-Pro-IGF-1. CTB-Pro-IGF-1 was also evaluated by proliferation assay of four human/mouse oral cell lines. See Figure 17A -17E.

30 Among 105 aa, 73 codons were modified resulting in 57% AT content in codon optimized IGF-1 gene. To avoid glycosylation Lsy68, Arg74 and Arg77 were changed to Gly68,

Ala74 and Ala77. See Figure 17F. Examined lines showed homoplasm (integration into all chloroplast genomes) in Southern blots and high level expression of CTB-IGF1. GM1 ELISA in the lyophilized plant cells confirmed preservation of pentameric form of CTB-Pro-IGF-1 and folding with disulfide bonds. Chloroplast-derived CTB-Pro-IGF-1 phosphorylated IGF-1 Receptor 5 (IGFR) in P6 cells in a dose- and time-dependent manner.

Pro-IGF-1 increased 3-fold in blood after oral delivery of lyophilized plant cells at 8 hrs and was maintained up to 24 hrs (Fig. 18C); pro-IGF-1 was 2-fold higher in the muscle tissue (Fig. 16D). Purified CTB-Pro-IGF-1 from plant cells stimulated (1.4 to 3.9 fold higher) proliferation of human oral keratinocytes, gingival derived mesenchymal stromal cells, head and neck squamous 10 carcinoma cells, and mouse osteoblast, in a dose dependent manner.

#### Conclusions

The phosphorylation of IGFR by plant derived CTB-Pro-IGF-1 and the maintenance Pro-IGF-1 in the circulatory system and in the muscle tissue after oral gavage confirms suitability of this system for low cost production and delivery of functional IGF1 bioencapsulated in plant cells. 15 Lyophilized plant cells can be stored indefinitely at ambient temperature without decrease in efficacy of IGF-1.

#### Clinical Significance

Expression of Pro-IGF-1 with E peptide in chloroplasts provides an effective, efficient and affordable oral drug delivery concept for treatment of disorders caused by IGF-1 deficiency 20 including muscle disorders. This approach offers a technological breakthrough to address the rising cost of healthcare in addition to increasing patient compliance for repetitive long-term drug delivery.

### EXAMPLE IV

#### Affordable Biopharmaceutical Made in Lettuce to Treat Dental Caries

25 Dental Caries is a prevalent biofilm-associated oral disease worldwide. Antimicrobials are minimally effective as they do not penetrate the exopolysaccharide (EPS) matrix. Therefore, in the present example, we express EPS degrading enzymes dextranase and mutanase fused with antimicrobial peptides (AMP). The recombinant enzyme production in plant chloroplasts is 1000-

3,100- fold cheaper because it eliminates prohibitively expensive fermentation, purification, cold storage/transportation and invasive surgical delivery and facilitates storage at ambient temperature. The primary goal of this example is to develop chewing gums impregnated with lyophilized plant cells expressing AMP and enzymes. Hence, an initial study was performed to 5 optimize the chewing rates and time for maximum drug release using chewing simulator with chewing gum made from lyophilized plant cells expressing reporter gene GFP.

Dextranase gene from *Streptococcus mutans* and mutanase gene from *Paenibacillus* was either fused with PG1 or without PG1 was cloned into chloroplast vectors and their functionality was evaluated first in *E. coli*. See Figure 18A. The difference in codon usage between the 10 naturally occurring enzyme and the optimized version is shown in Figure 18B. The optimized gene was cloned into a lettuce expression vector as described above and shown in Fig. 18C. Western blotting confirmed robust protein expression. See Fig. 18D. Chewing simulator was used to study release kinetics of gum tablets by quantifying GFP in the artificial saliva.

A new codon optimization algorithm replaced 586 (out of 1261) rare codons with 15 preferred codons in the mutanase gene based on the psbA codon hierarchy. Codon optimized mutanase gene (with or without AMP fusion) was cloned into tobacco and lettuce chloroplast vectors and expressed in *E. coli* were fully functional, similar to commercial enzymes. See Fig. 18E. The native dextranase gene was cloned into the tobacco chloroplast vector and the dextranase activity in *E. coli* was tested. The recombinant dextranase produced by *E. coli* degrade 20 blue dextran on the agar plate, confirming dextran hydrolysis. The process for the creation and characterization of transplastomic lines is shown in Fig. 18F.

GFP in gum tablets was not significantly degraded during gum preparation. The chewing rate, time required for maximum protein release is currently optimized using chewing simulator. Accordingly, production of EPS-degrading enzymes fused with AMP should provide a promising 25 treatment for dental caries, preferably administered as gum tablets.

While certain of the preferred embodiments of the present invention have been described and specifically exemplified above, it is not intended that the invention be limited to such embodiments. Various modifications may be made thereto without departing from the scope and 30 spirit of the present invention, as set forth in the following claims.

**What is claimed is:**

1. A method for increasing translation of a transgene encoding a protein of interest in a chloroplast, said method comprising
  - a) analyzing the native sequence of a nucleic acid encoding said protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts in higher plants and optionally performing ribosome profiling and removing any codons that cause stalling of ribosomes during translation;
  - b) producing a synthetic, codon optimized sequence and cloning said sequence into a chloroplast transformation vector, said synthetic sequence being operably linked to 5' and 10 3' regulatory elements for suitable for expression in said chloroplast;
  - c) transforming a target plant with said vector, under conditions whereby said therapeutic protein is expressed, wherein replacing said codons causes at least a two fold increase in protein expression relative to expression levels observed using the native sequence.
2. The method of claim 1 further comprising isolating said protein of interest.
- 15 3. The method of claim 1 further comprising harvesting and lyophilizing leaves from said plant, said lyophilized leaves comprising the protein of interest.
4. The method of claim 1, comprising altering codons in said sequence to reduce ribosome stalling.
- 20 5. A synthetic VP1 protein encoded by the nucleic acid shown in Figure 4A.
6. A synthetic Factor VIII HC-LC protein encoded by the nucleic acid shown in Figure 2E.
7. A synthetic Insulin growth factor like 1 protein encoded by the nucleic acid shown in Figure 17F.
8. A synthetic mutanase enzyme encoded by the nucleic acid shown in Figure 18 A.

9. A method of producing systemic and mucosal immunity in a subject who has been previously immunized against polio virus comprising orally administering lyophilized plant cells comprising the synthetic VP1 protein of claim 4 to said subject in the presence of an adjuvant, said administration causing production of anti-VP1-IgG1 and anti-VP1-IgA titers in said subject, thereby boosting immunity to said polio virus.  
5
10. The method of claim 9, where said administration is performed between one and four times.
11. A method of treating a coagulation disorder in a subject in need thereof, comprising administration of the coagulation factor of claim 5, wherein said administration relieves symptoms of said coagulation disorder in said subject.  
10
12. A method of inhibiting the formation of dental caries comprising oral administration of the mutanase of claim 7 in a subject in need thereof, said mutanase inhibiting plaque formation in said subject.
13. The method of claim 12, wherein said mutanase is administered as a fusion with an antimicrobial peptide and is formulated as a chewing gum.  
15
14. A plastid transformation vector encoding a protein as claimed in any one of claims 5 to 8.
15. A plant transformed with the vector of claim 14.
16. The plant of claim 15 which is edible.

**FIG. 1**

Collection of all sequence data from psbA genes from 133 plant species



Analysis of codon usage



Development of algorithm and software to arrive at

Codon Preference Table

<b>TTT (72%)</b>	<b>F</b>	<b>TCT (43%)</b>	<b>S</b>	<b>TAT (63%)</b>	<b>Y</b>	<b>TGT (88%)</b>	<b>C</b>
<b>TTC (28%)</b>	<b>F</b>	<b>TCC (13%)</b>	<b>S</b>	<b>TAC (47%)</b>	<b>Y</b>	<b>TGC (11%)</b>	<b>C</b>
<b>TTA (26%)</b>	<b>L</b>	<b>TCA (8%)</b>	<b>S</b>	<b>TAA (100%)</b>	<b>STOP</b>	<b>TGA (0%)</b>	
<b>TTG (22%)</b>	<b>L</b>	<b>TCG (2%)</b>	<b>S</b>	<b>TAG (0%)</b>		<b>TGG (100%)</b>	<b>W</b>
<b>CTT (20%)</b>	<b>L</b>	<b>CGT (86%)</b>	<b>P</b>	<b>CAT (48%)</b>	<b>H</b>	<b>CGT (54%)</b>	<b>R</b>
<b>CTG (0%)</b>	<b>L</b>	<b>CGC (2%)</b>	<b>P</b>	<b>CAC (62%)</b>	<b>H</b>	<b>CGC (18%)</b>	<b>R</b>
<b>CTA (27%)</b>	<b>L</b>	<b>CGA (28%)</b>	<b>P</b>	<b>CAA (80%)</b>	<b>Q</b>	<b>CGA (0%)</b>	<b>R</b>
<b>CTG (4%)</b>	<b>L</b>	<b>CCG (6%)</b>	<b>P</b>	<b>CAG (20%)</b>	<b>Q</b>	<b>CGG (1%)</b>	<b>R</b>
<b>ATT (67%)</b>	<b>I</b>	<b>ACT (69%)</b>	<b>T</b>	<b>AAT (47%)</b>	<b>N</b>	<b>AGT (22%)</b>	<b>S</b>
<b>ATC (34%)</b>	<b>I</b>	<b>ACC (31%)</b>	<b>T</b>	<b>AAC (63%)</b>	<b>N</b>	<b>AGC (16%)</b>	<b>S</b>
<b>ATA (8%)</b>	<b>I</b>	<b>ACA (10%)</b>	<b>T</b>	<b>AAA (84%)</b>	<b>K</b>	<b>AGA (12%)</b>	<b>R</b>
<b>ATG (100%)</b>	<b>M</b>	<b>ACG (1%)</b>	<b>T</b>	<b>AAG (18%)</b>	<b>K</b>	<b>AGG (7%)</b>	<b>R</b>
<b>GTT (46%)</b>	<b>V</b>	<b>GCT (88%)</b>	<b>A</b>	<b>GAT (81%)</b>	<b>D</b>	<b>GGT (67%)</b>	<b>G</b>
<b>GTC (2%)</b>	<b>V</b>	<b>GCC (7%)</b>	<b>A</b>	<b>GAC (18%)</b>	<b>D</b>	<b>GGC (13%)</b>	<b>G</b>
<b>GTA (61%)</b>	<b>V</b>	<b>GCA (18%)</b>	<b>A</b>	<b>GAA (75%)</b>	<b>E</b>	<b>GGA (18%)</b>	<b>G</b>
<b>GTG (2%)</b>	<b>V</b>	<b>GCG (6%)</b>	<b>A</b>	<b>GAG (25%)</b>	<b>E</b>	<b>GGG (2%)</b>	<b>G</b>

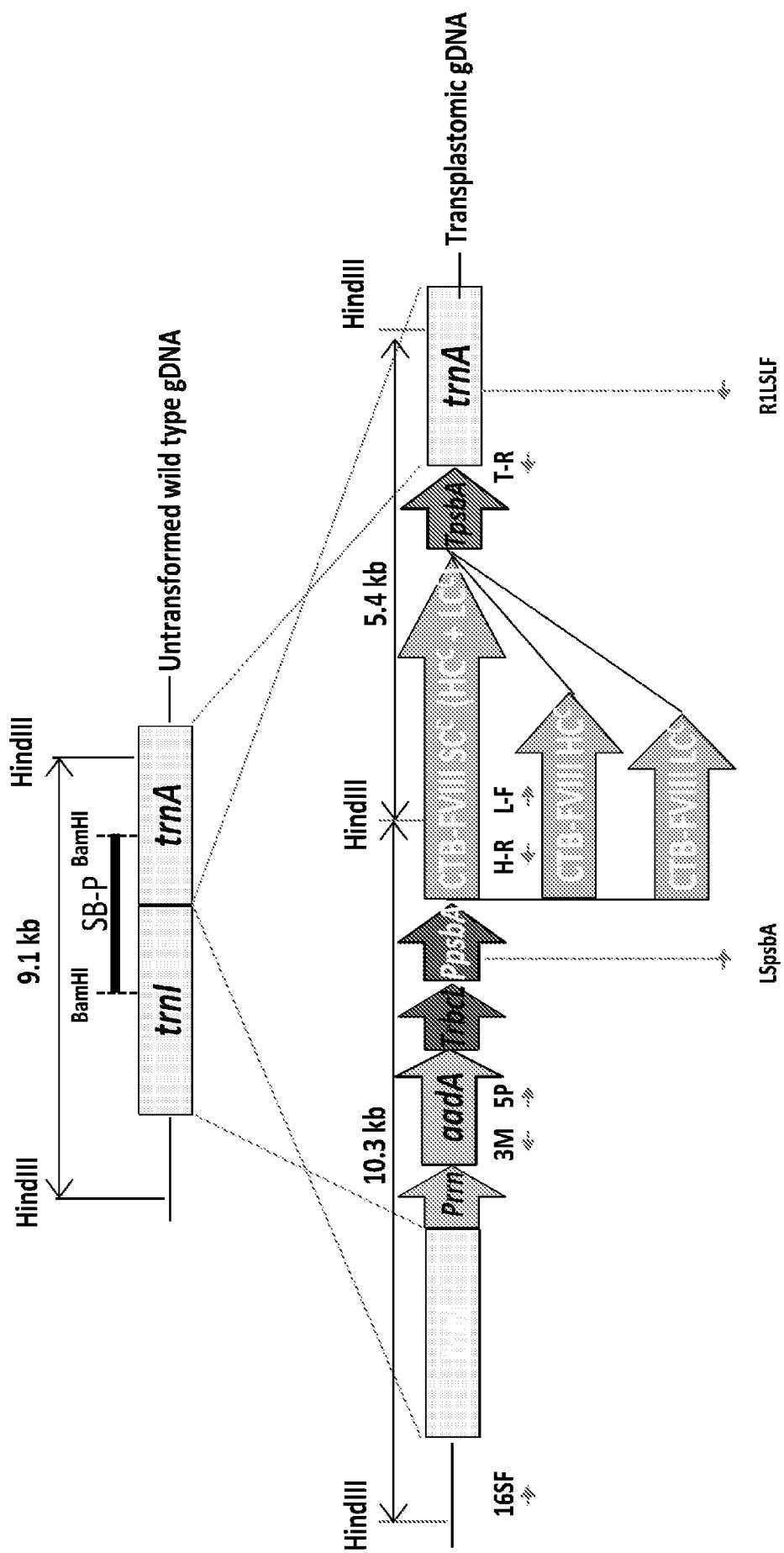


FIG. 2A

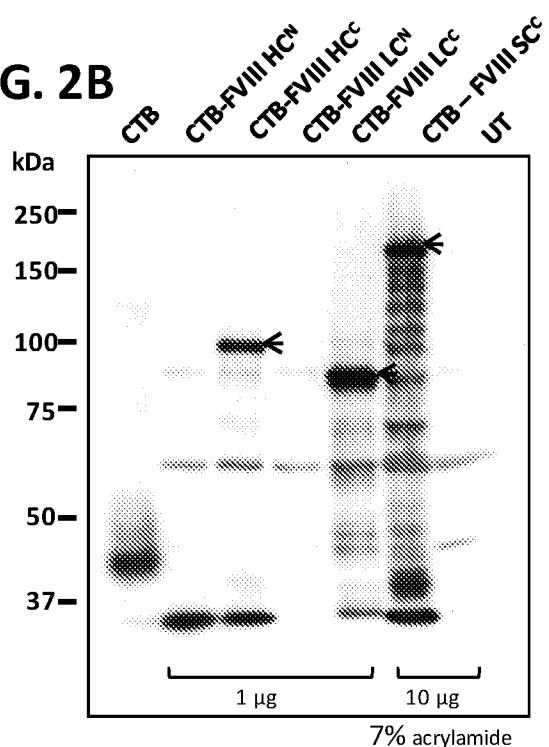
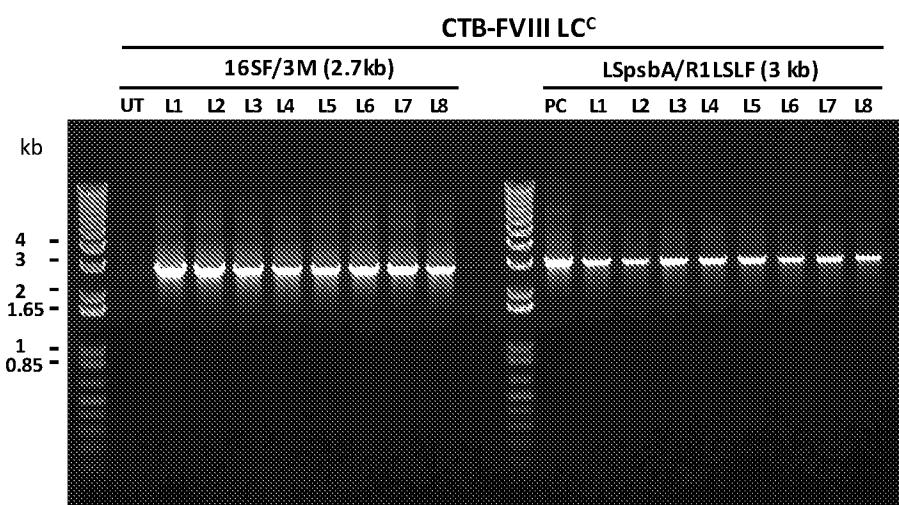
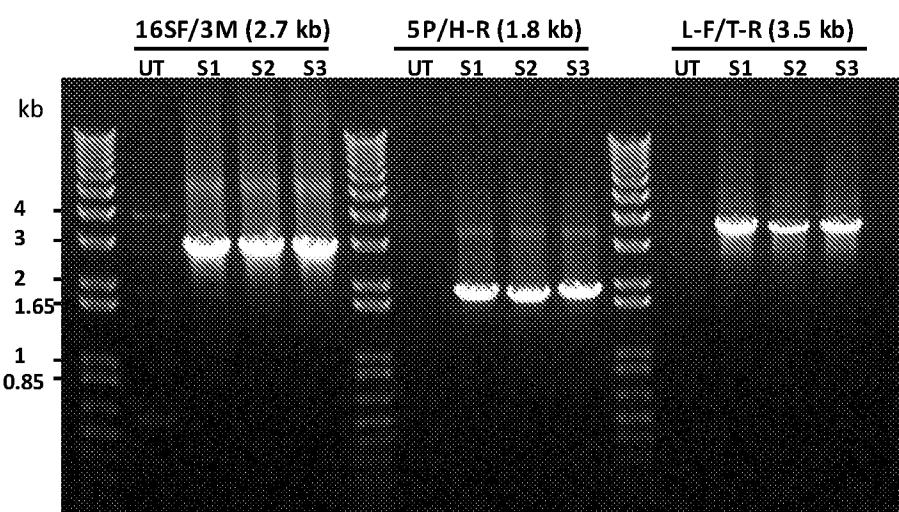
**FIG. 2B****FIG. 2C****CTB-FVIII SC<sup>C</sup>****FIG. 2D**

Fig. 2E

**CTB:** Native sequence of cholera non-toxic  $\beta$  subunit (312 bp)

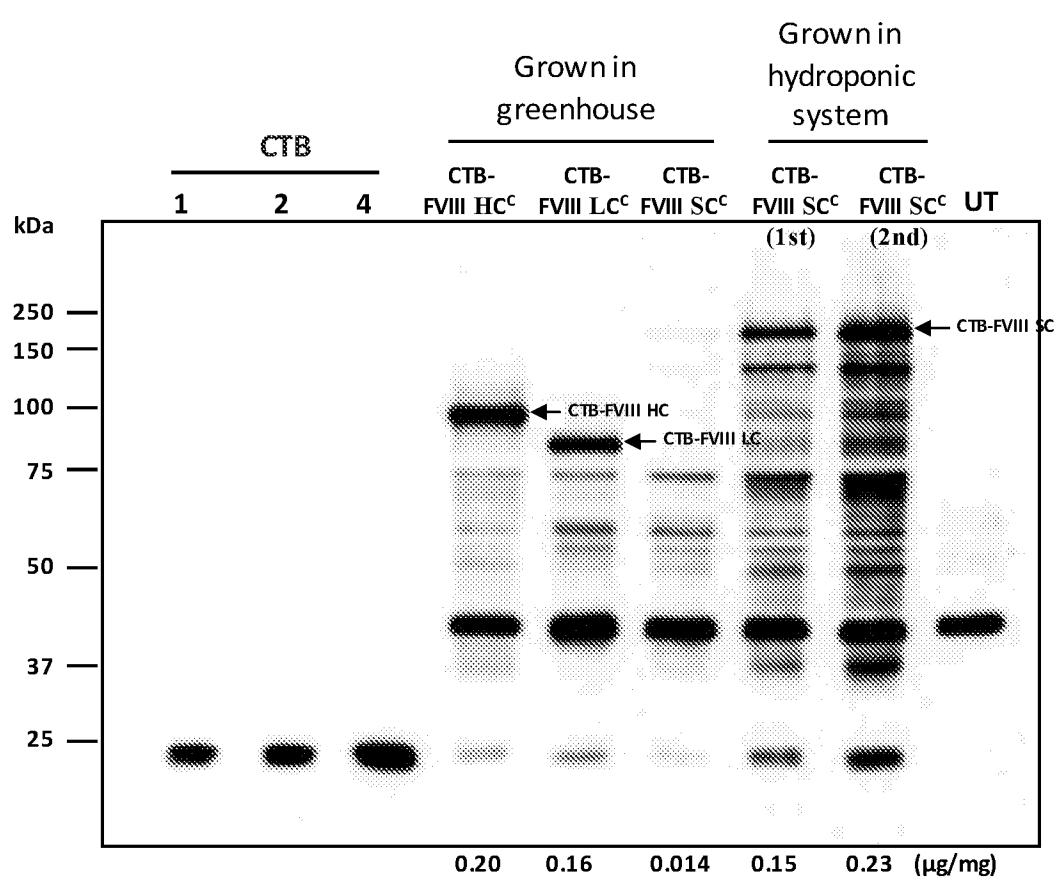
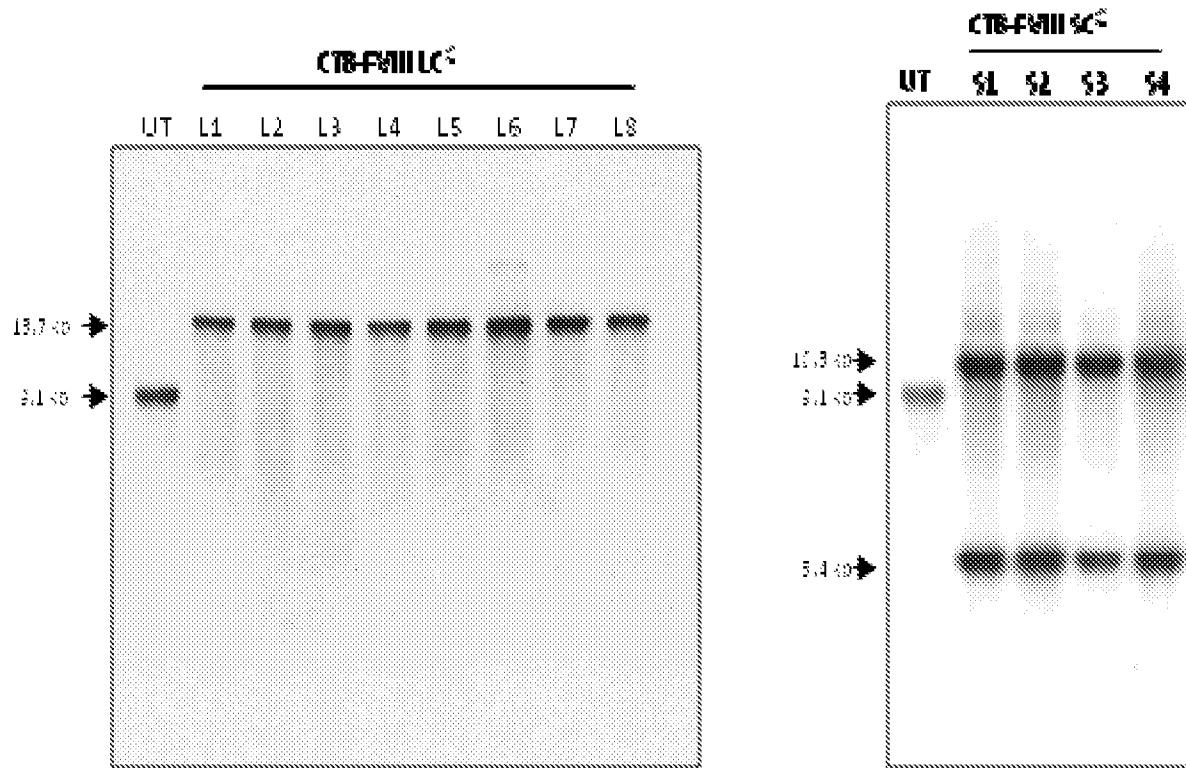
**FVIII HC:** Human blood clotting factor FVIII heavy chain (2265 bp)  
**FVIII LC:** Human blood clotting factor FVIII light chain (2055 bp)

**CO:** Codon-optimized sequence (new algorithm of optimizer)

Two amino acid (SV) were added behind furin cleavage site (RRKR) to facilitate the cleavage

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**SUBSTITUTE SHEET (RULE 26)**



## • Codon-optimized Ctp-B-vpr1 sequence

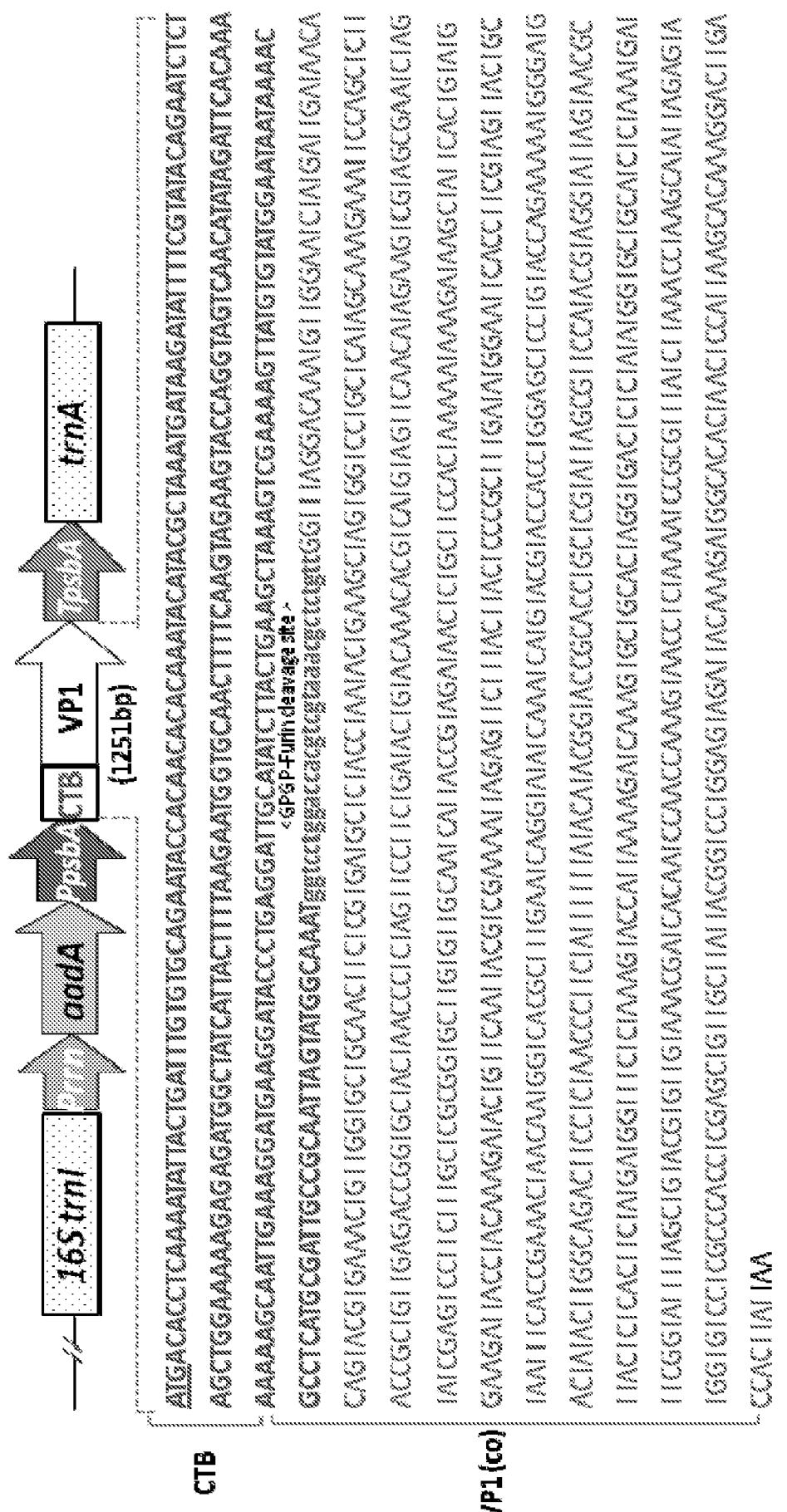


FIG. 4A

SUBSTITUTE SHEET (RULE 26)

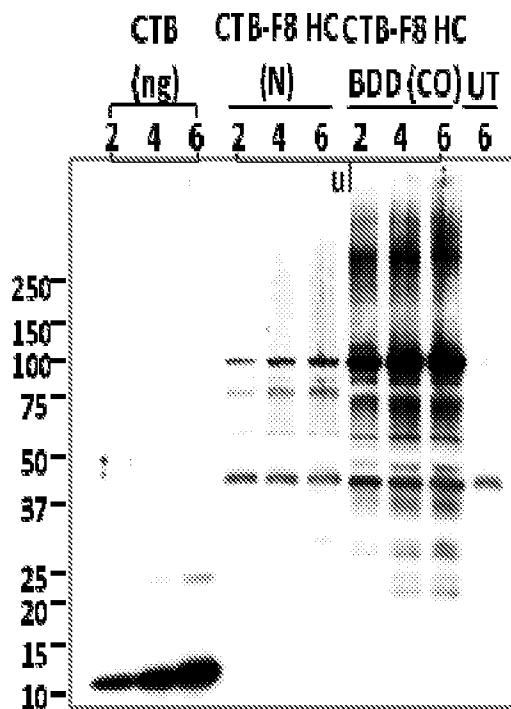


FIG. 4B

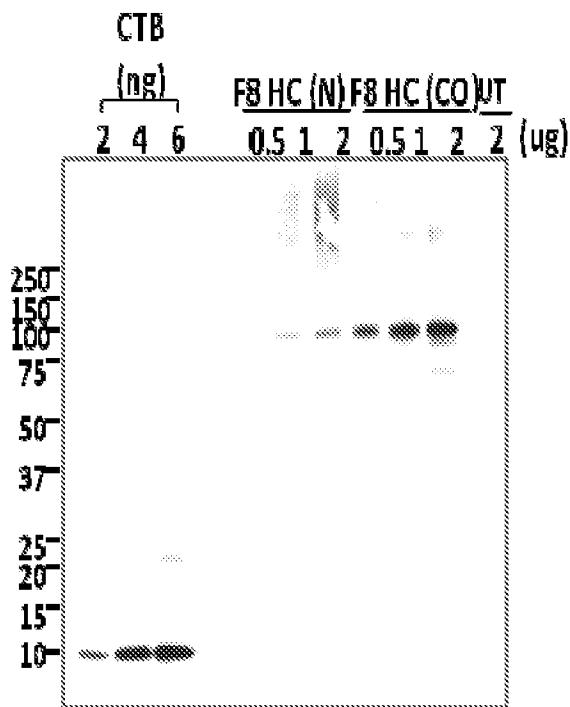


FIG. 4C

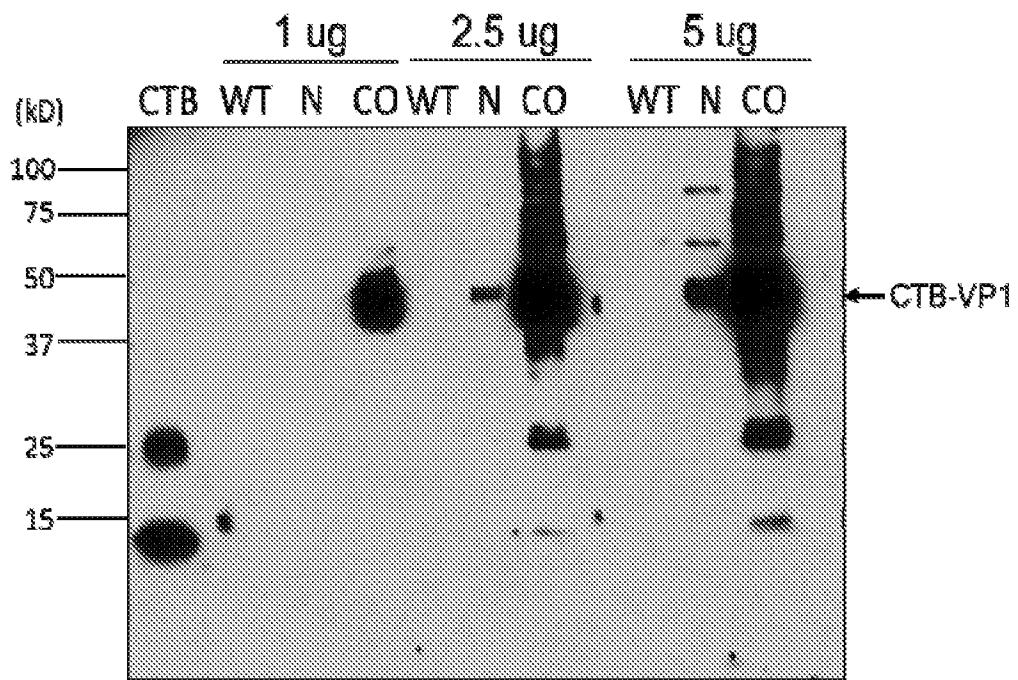


FIG. 4D

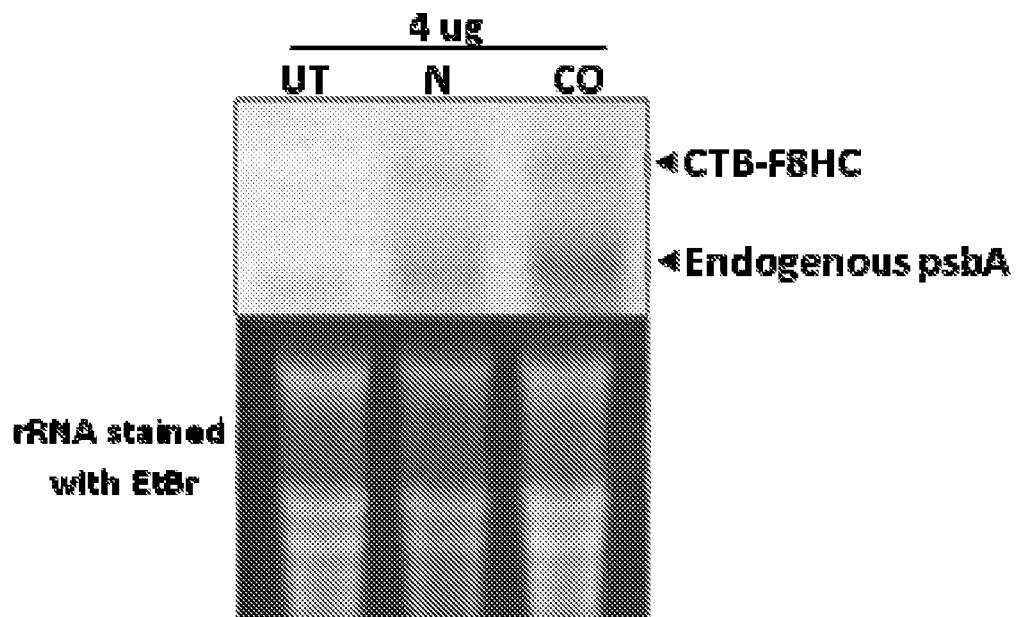


FIG. 5A

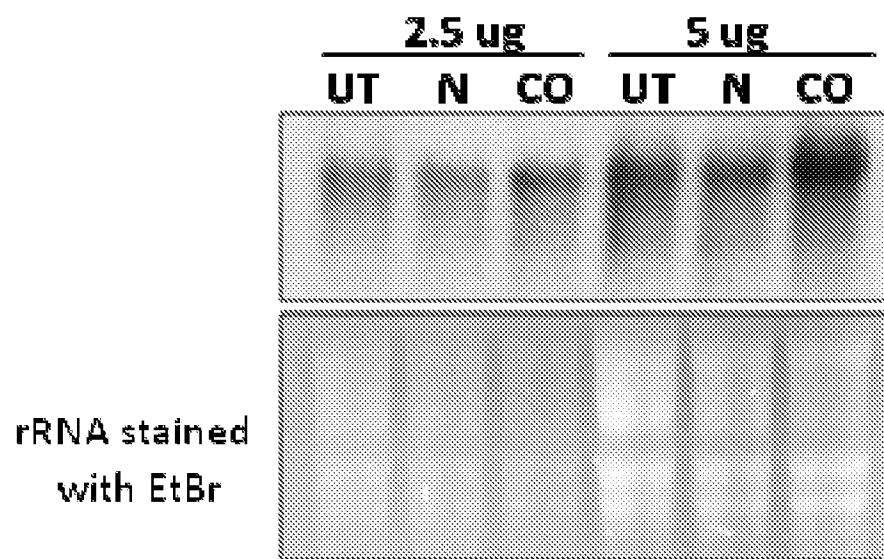


FIG. 5B

FIG. 6A

## CTB-F8 HC Protein

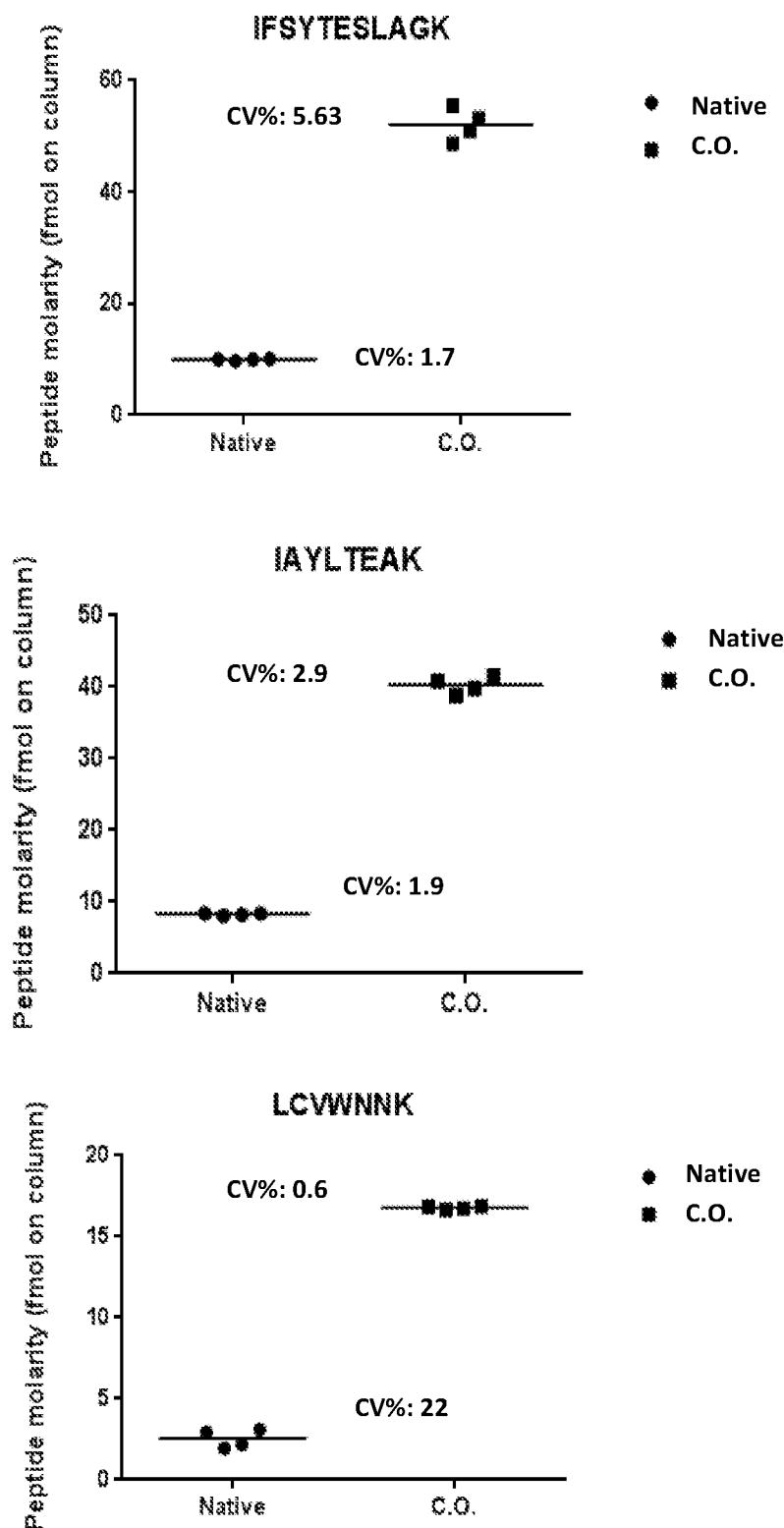


FIG. 6B

## CTB-F8 HC Protein

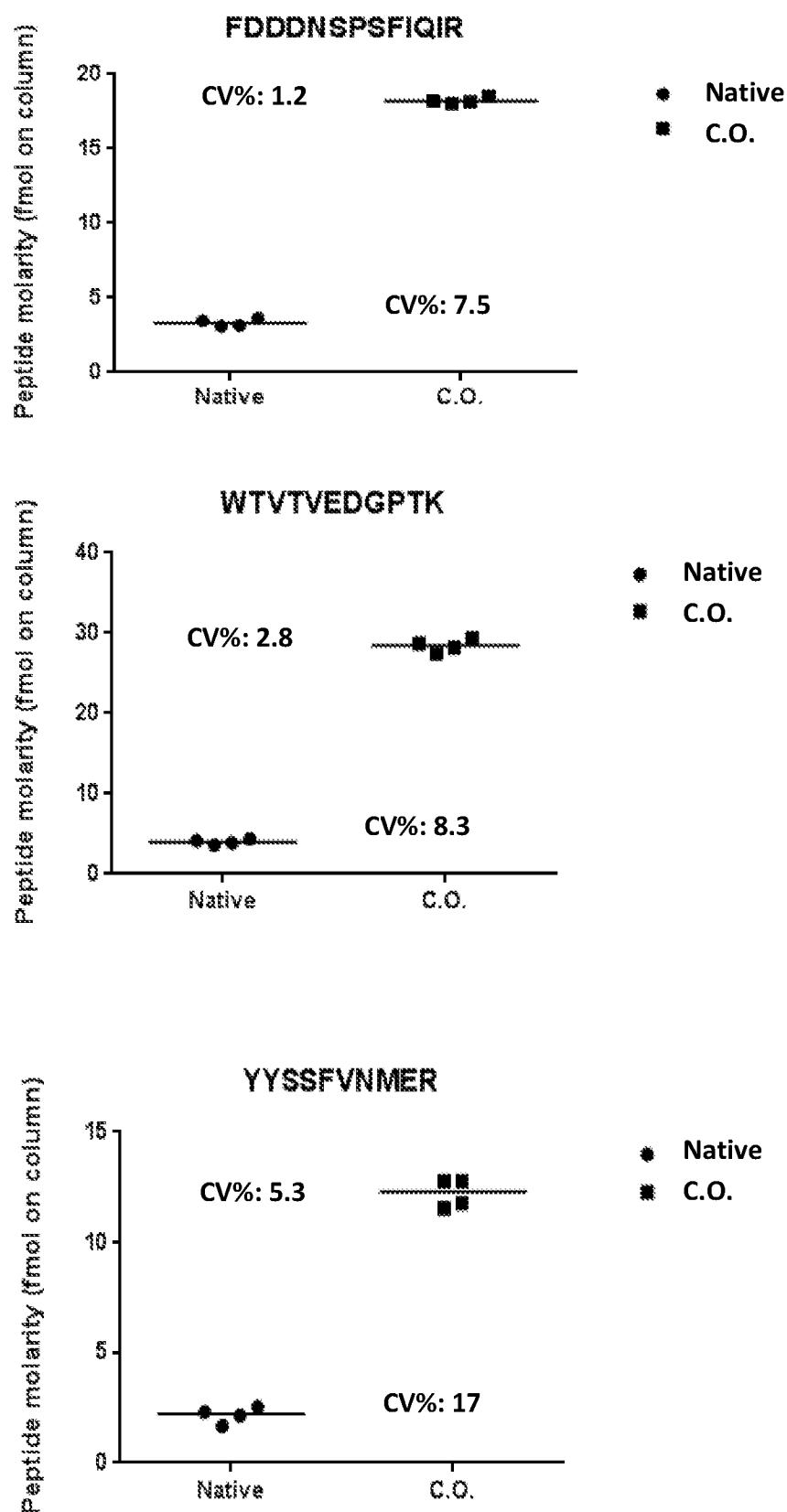
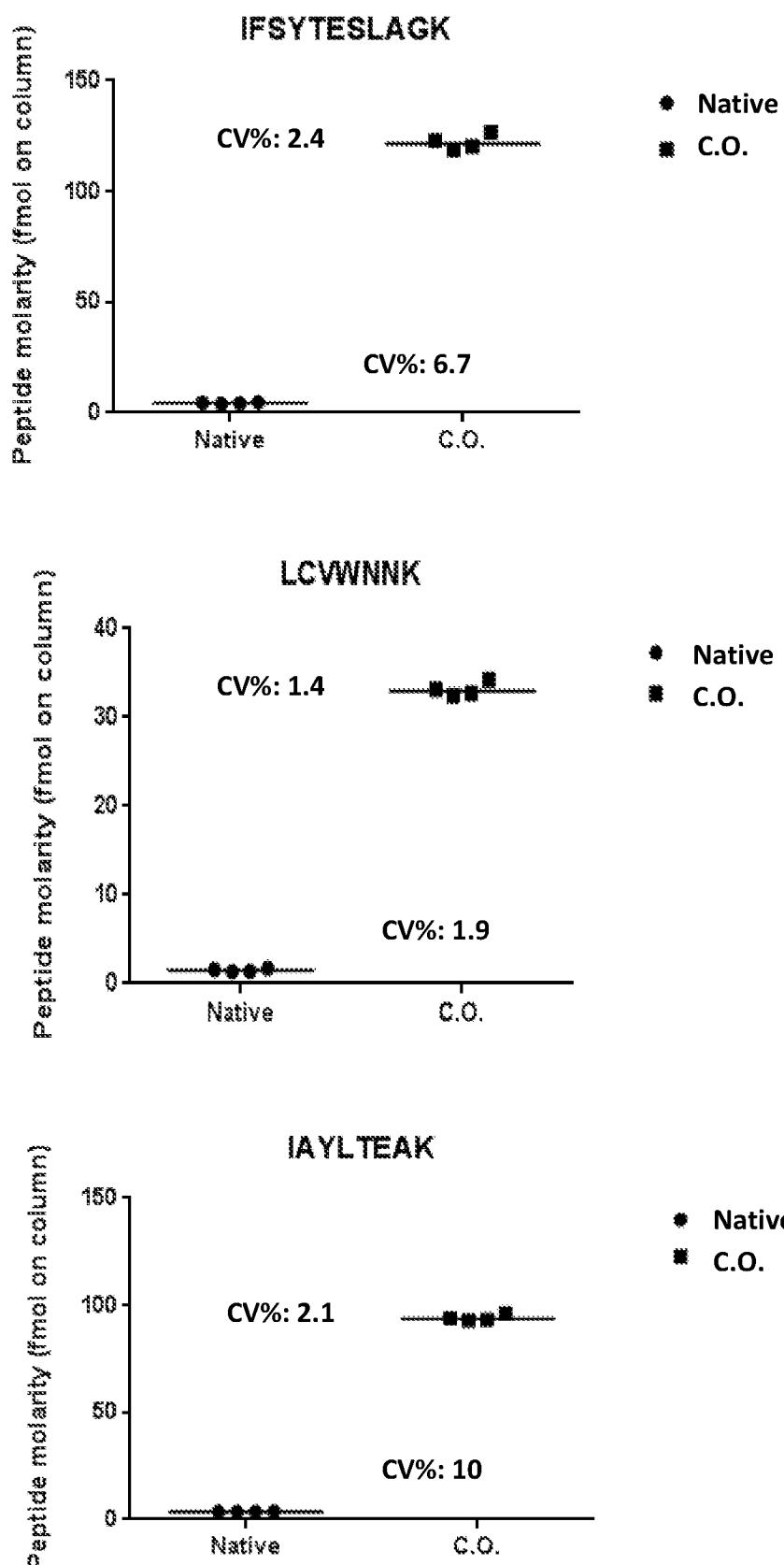
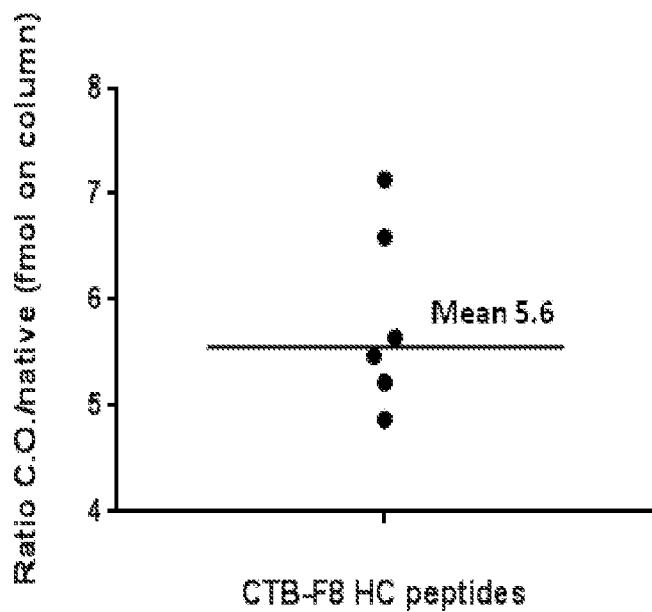


FIG. 6C

## CTB-VP1 Protein





**FIG. 7A**

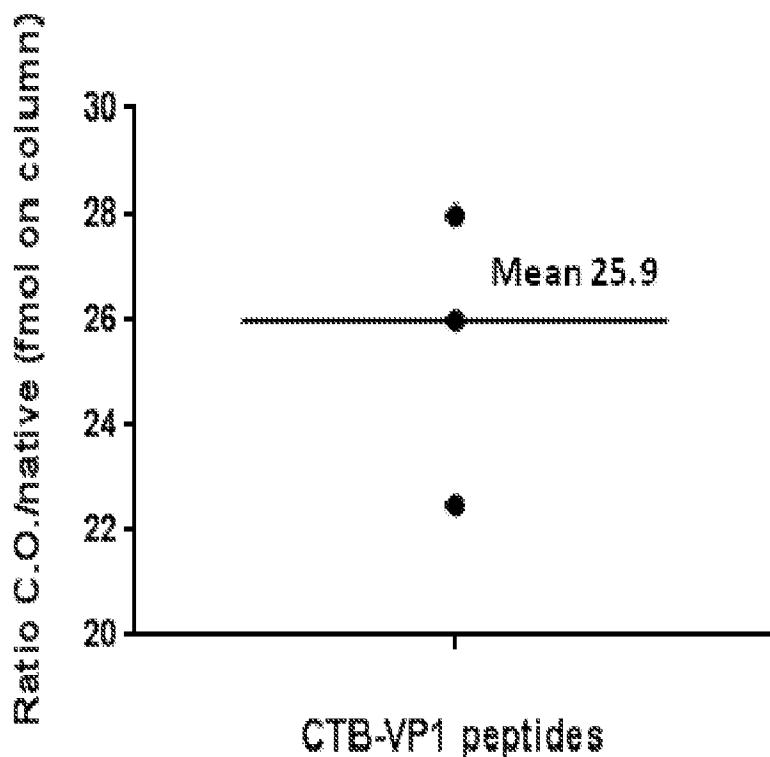


FIG. 7B

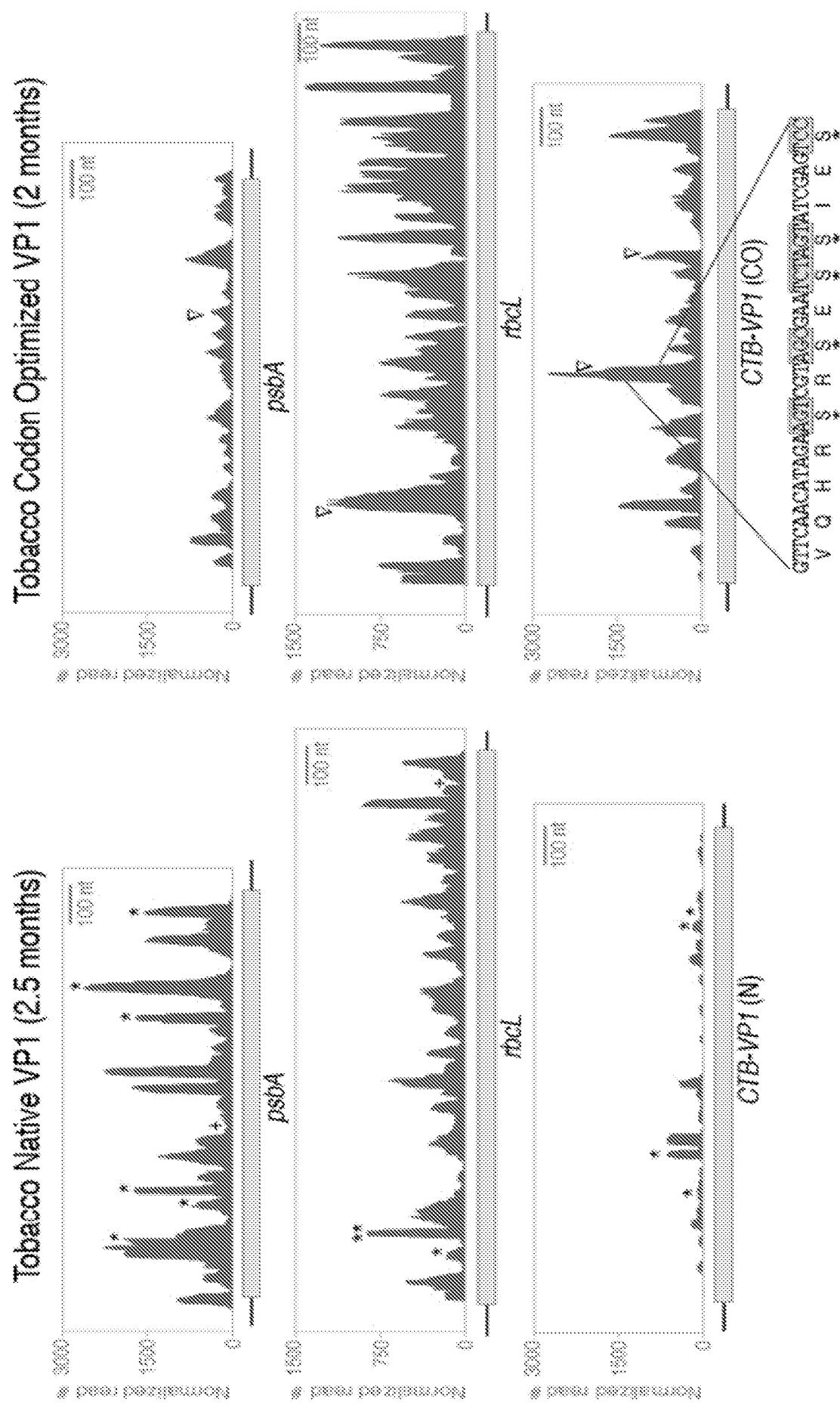


FIG. 8A

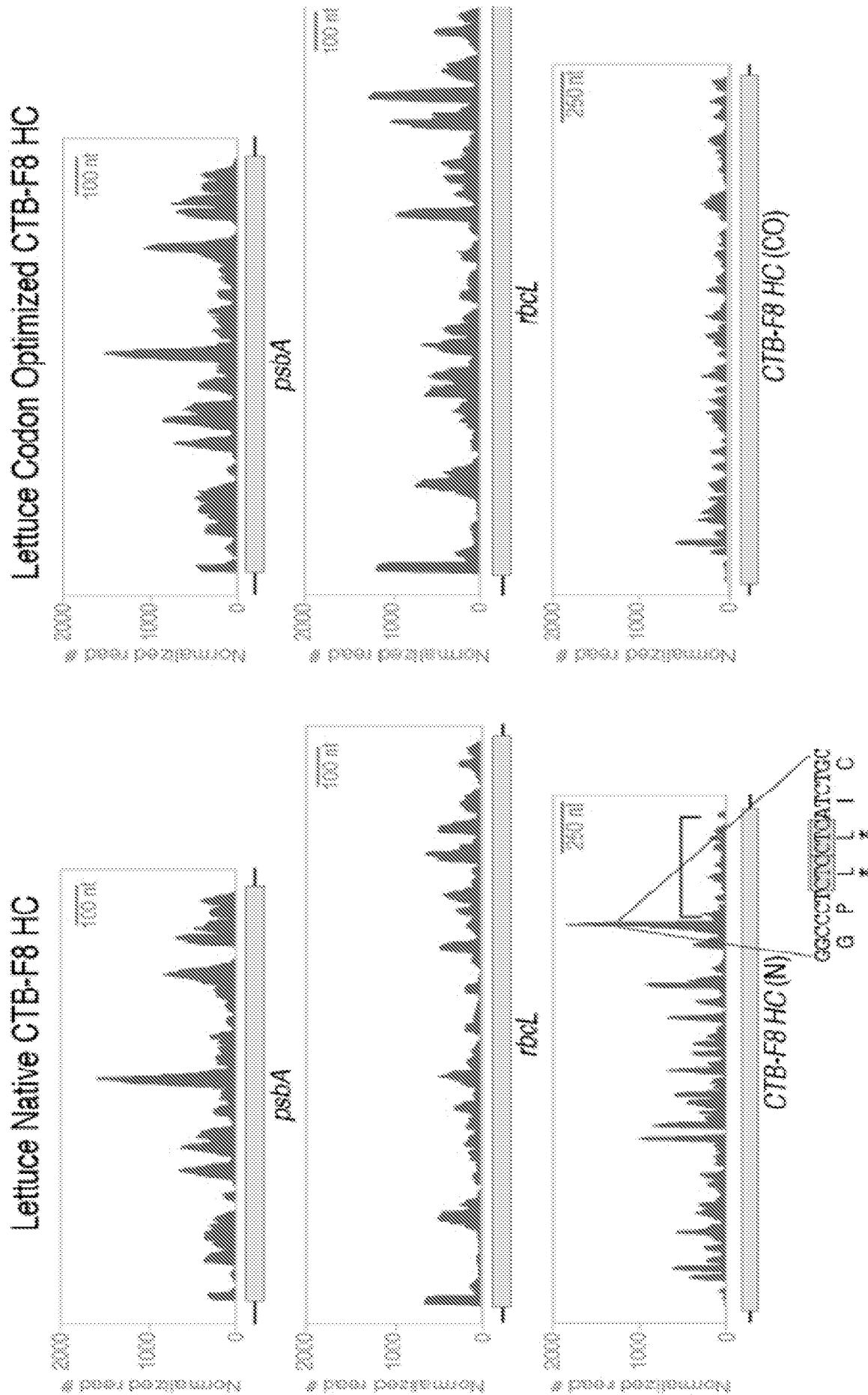


FIG. 8B

Sample	Total Ribosome Footprint Reads Aligned to Cp genome	Ratio: Transgene/Total ribosome footprint reads	Ratio: Transgene/ <i>pshA</i>	Ratio: Transgene/ <i>rbcl</i>
Tobacco CTB- <i>VPI</i> (N)	45,846	0.05	0.15	0.34
Tobacco CTB- <i>VPI</i> (CO)	87,498	0.25	2.41	0.61
Lettuce CTB- <i>F8 HC</i> (N)	30,399	0.97	1.80	2.20
Lettuce CTB- <i>F8 HC</i> (CO)	35,347	0.20	0.82	0.72

FIG. 8C

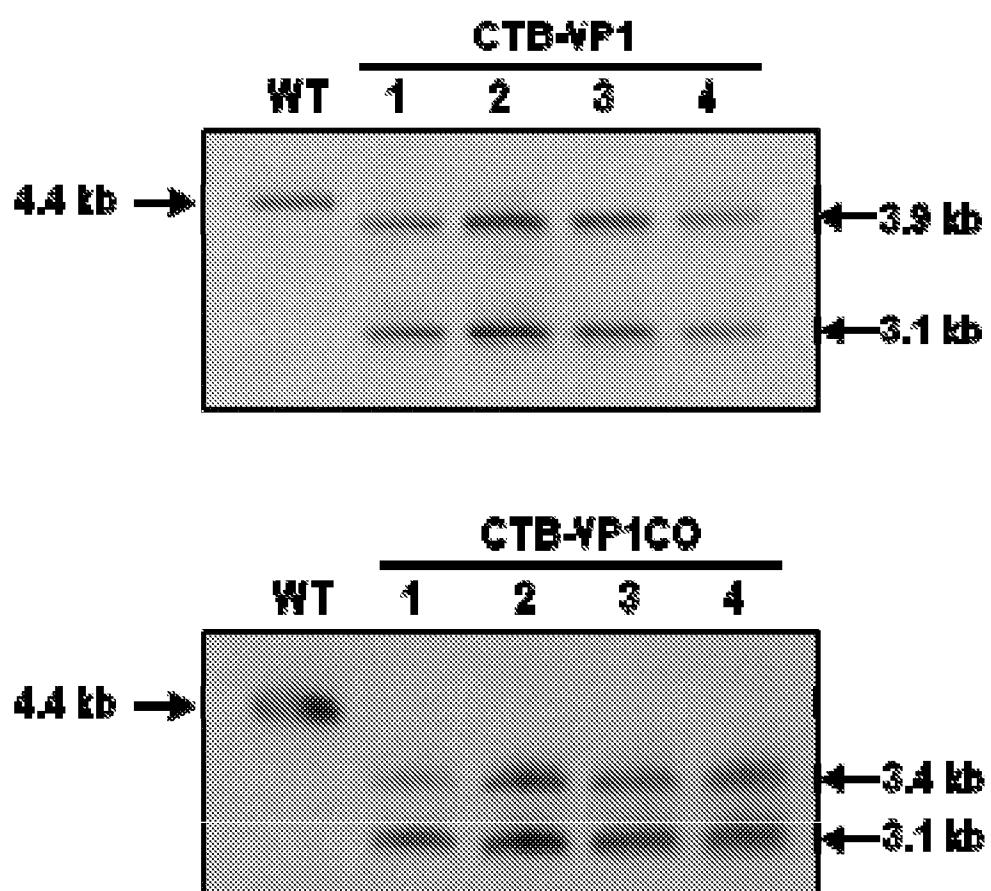


FIG. 9A

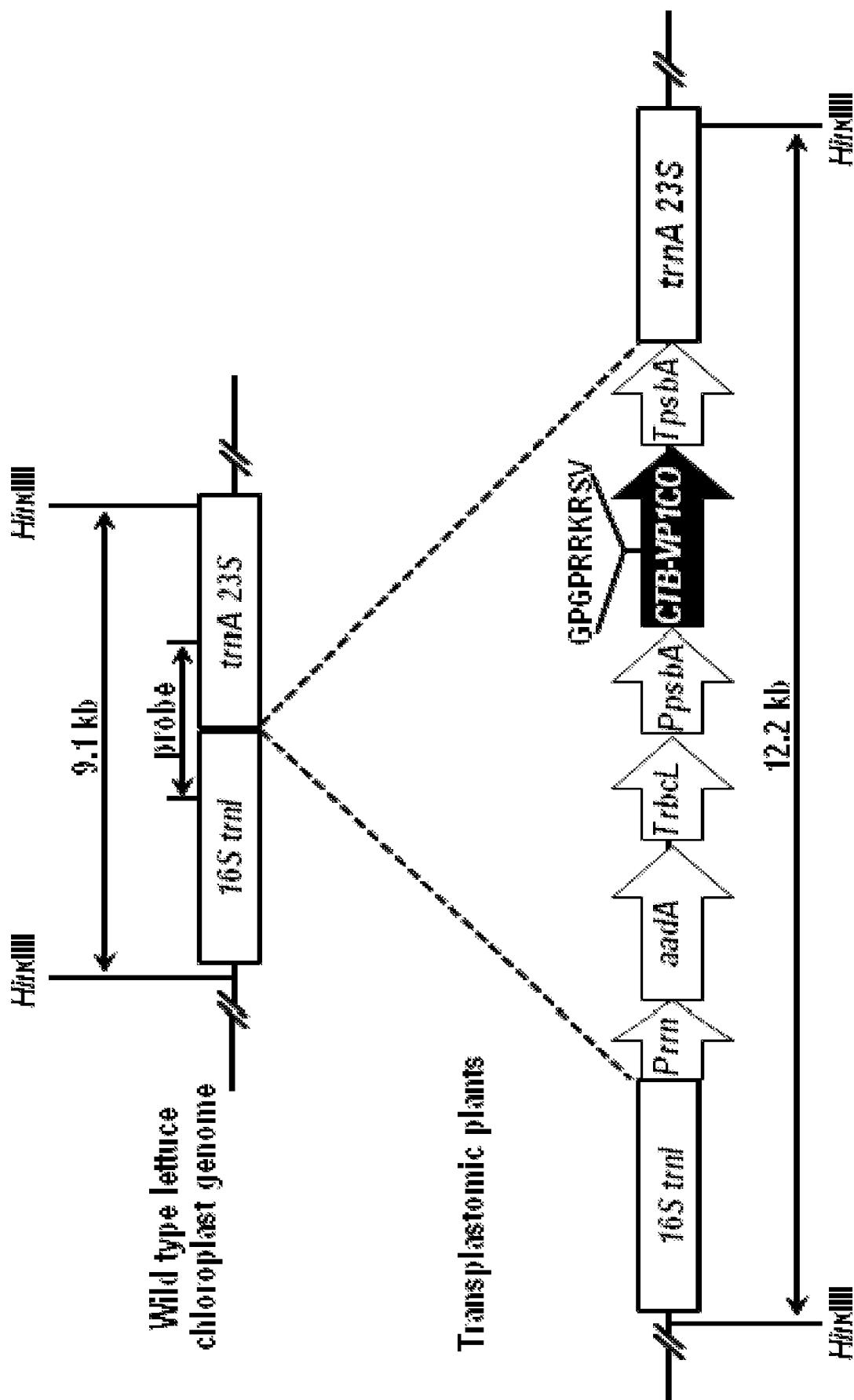


FIG. 9B

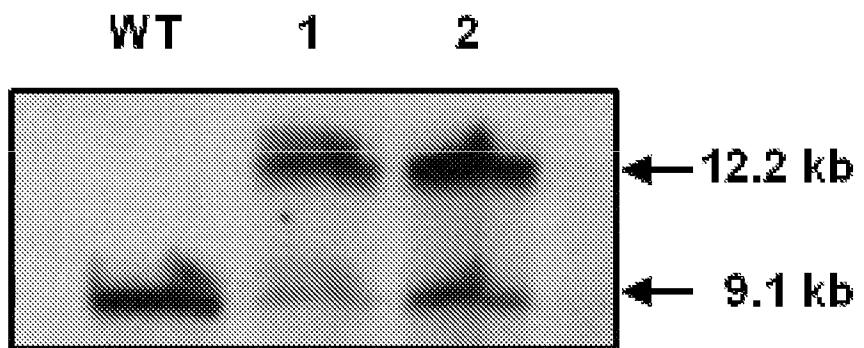


FIG. 9C

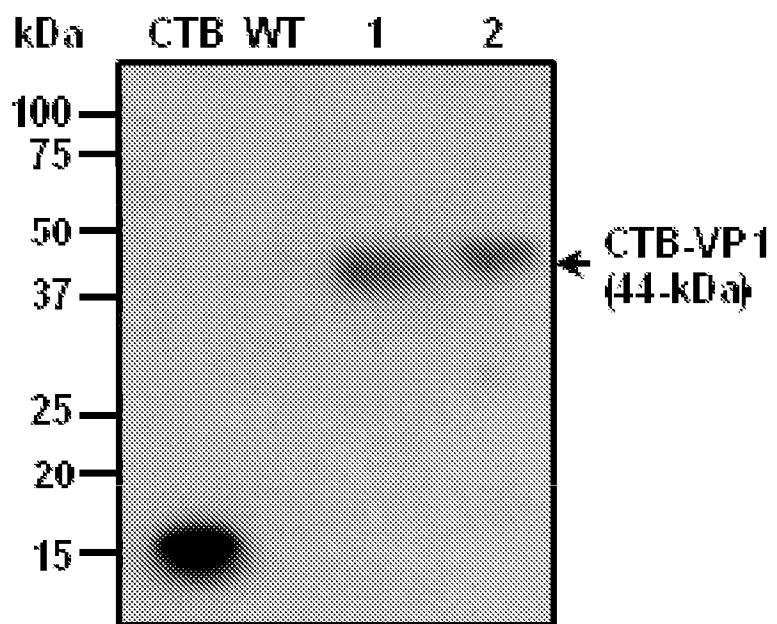
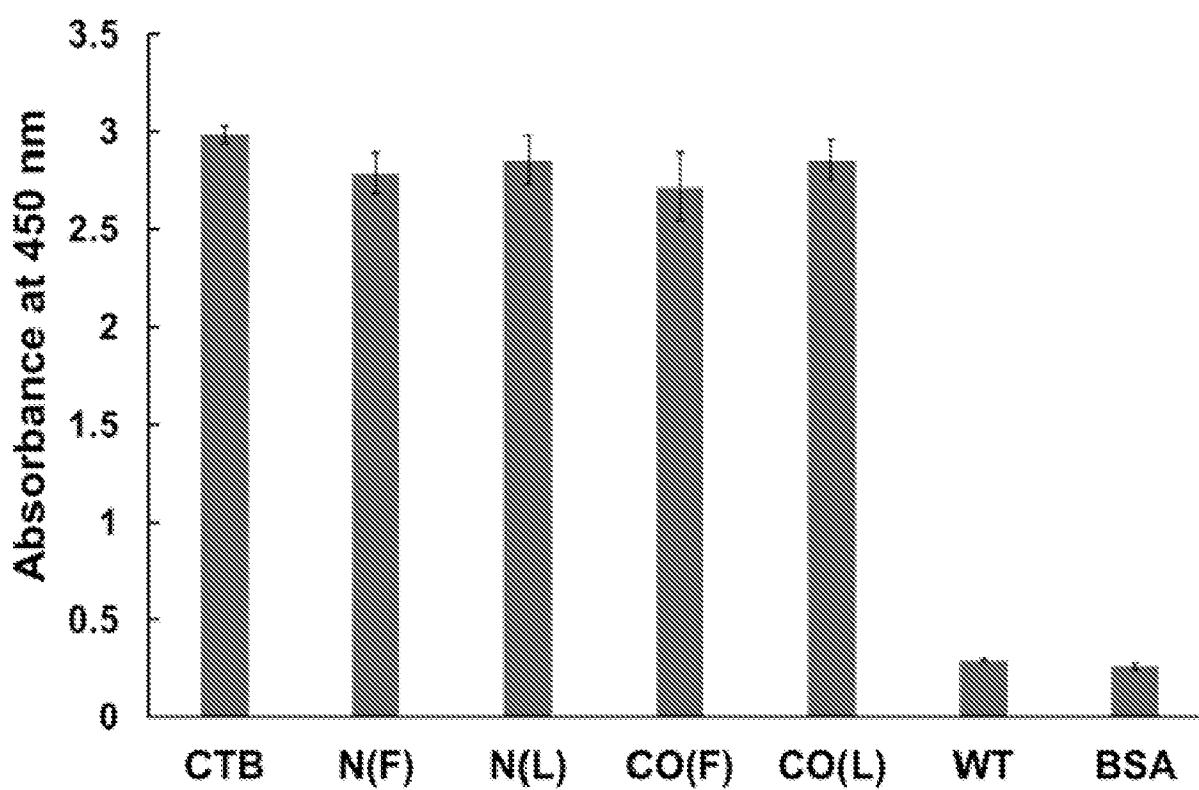


FIG. 9D

**FIG. 10**

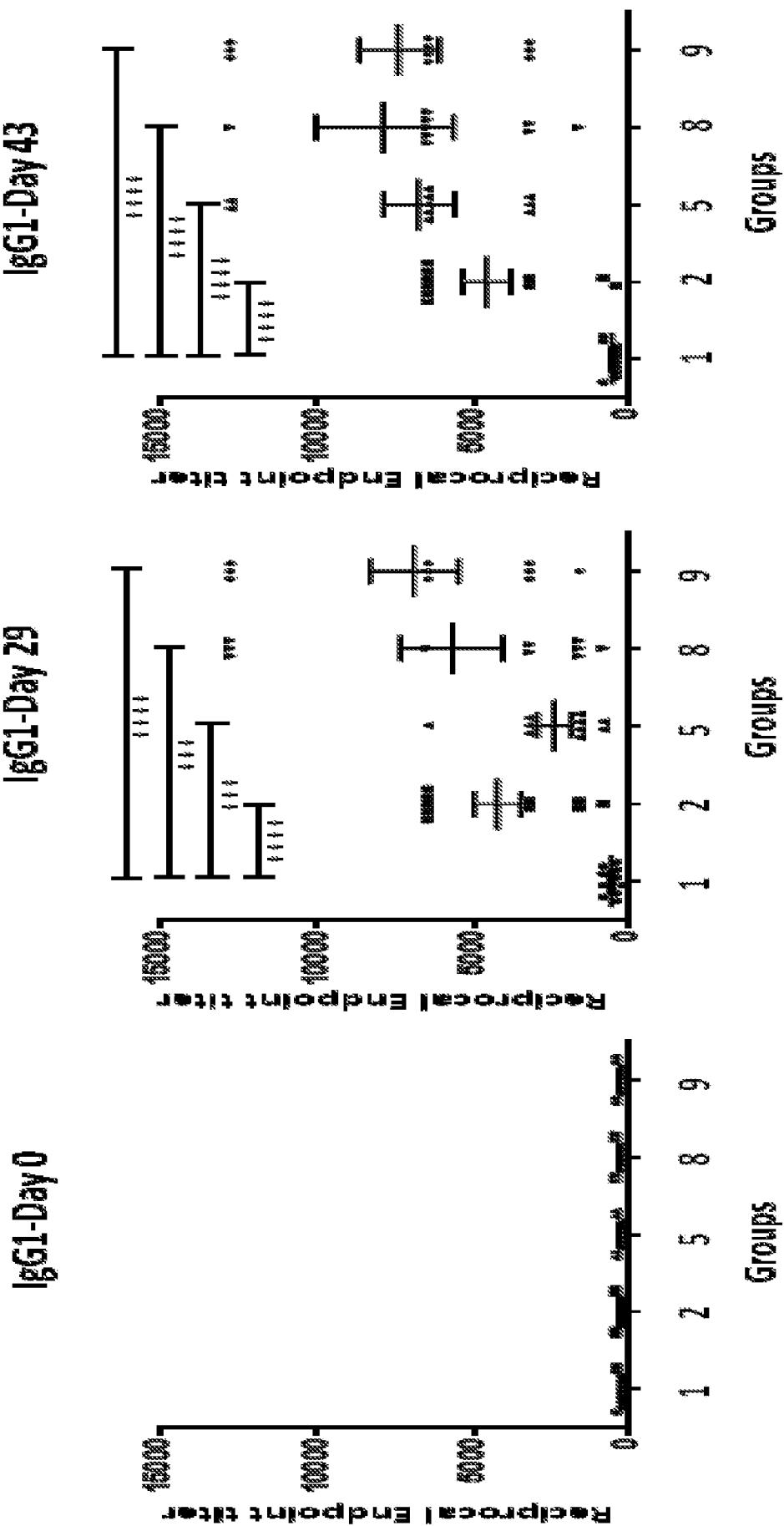
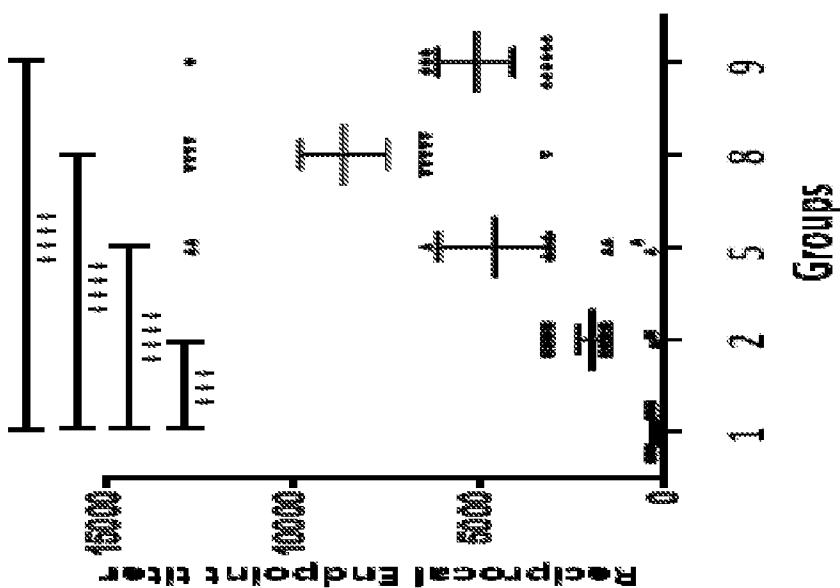


FIG. 11A

FIG. 11B

FIG. 11C

lgG1-Day 117

FIG. 11E  
FIG. 11F

lgG1-Day 87

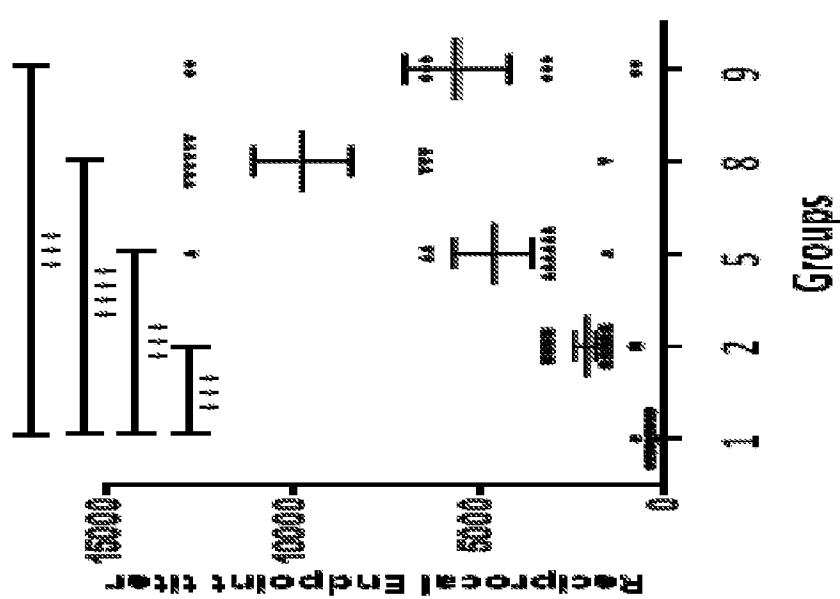
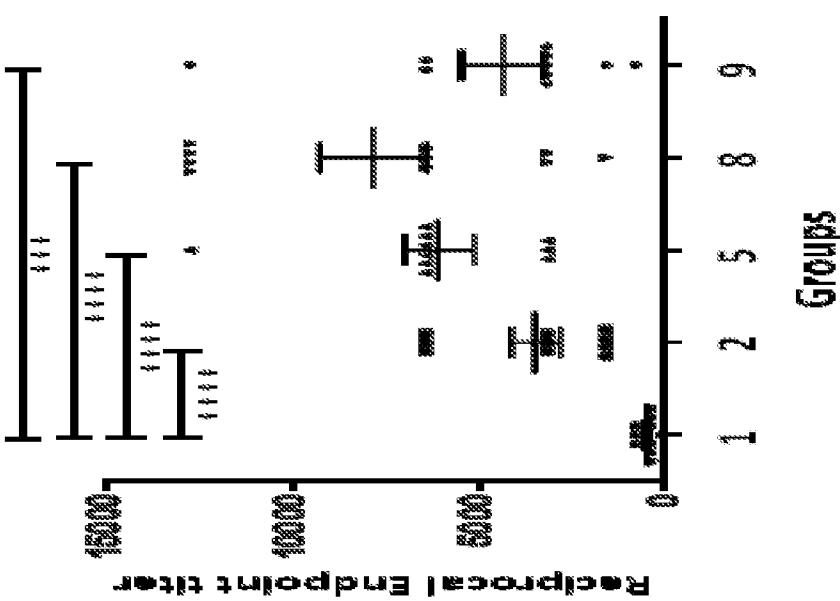


FIG. 11D

lgG1-Day 57



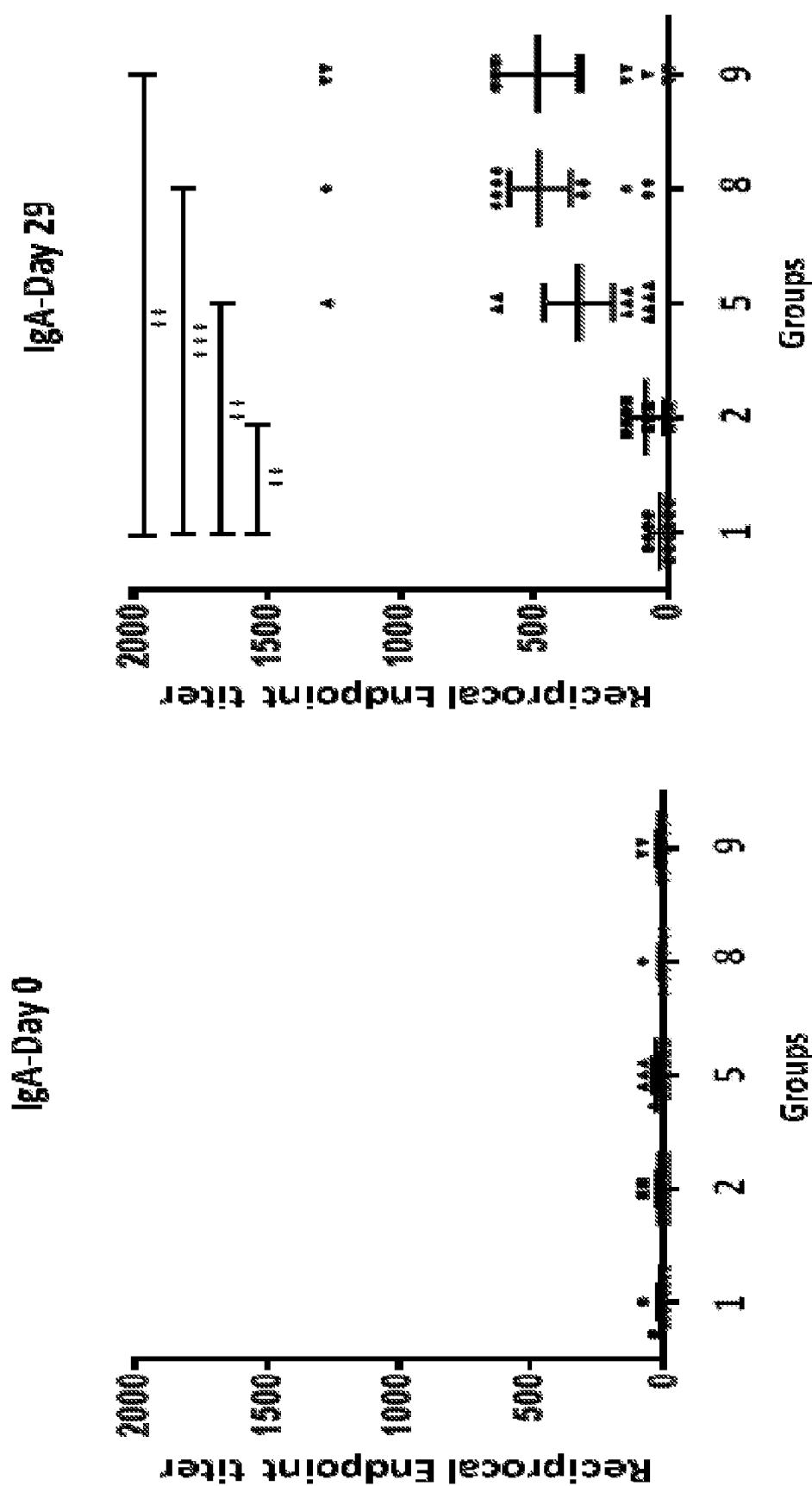


FIG. 11H

FIG. 11G

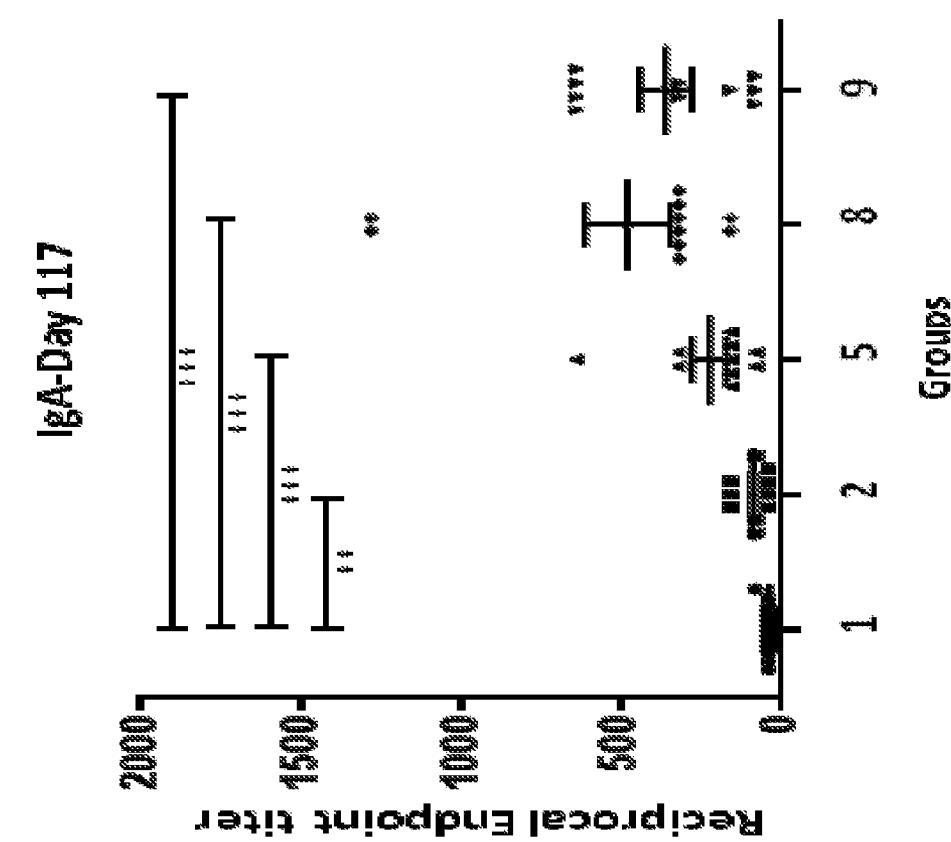


FIG. 11J

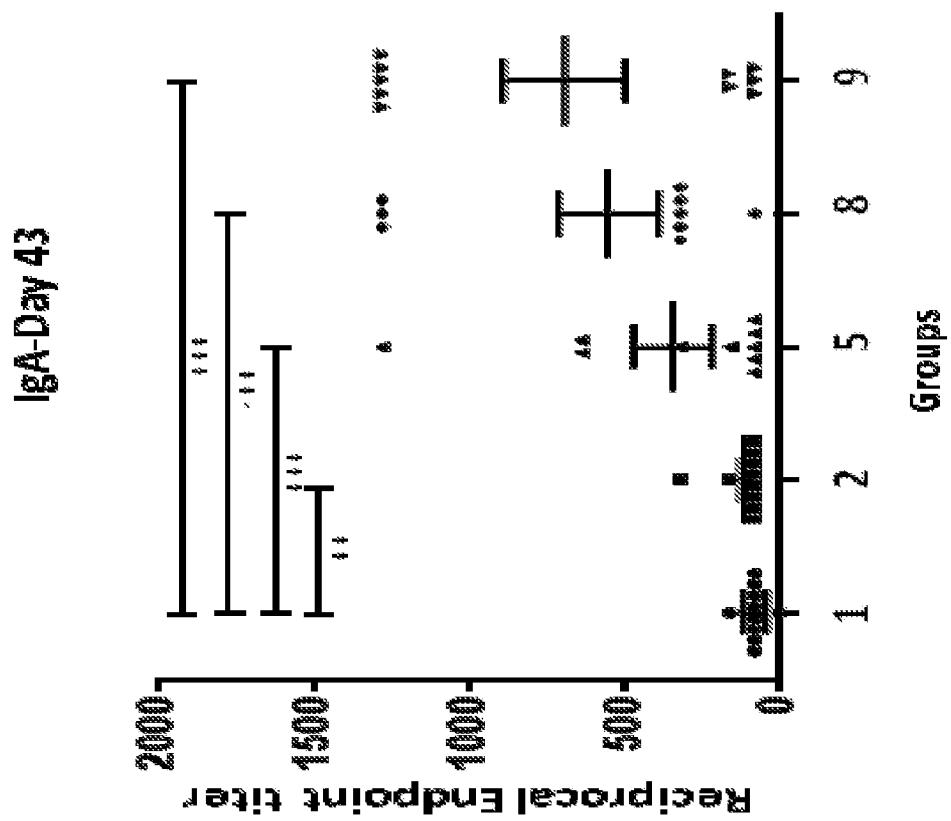
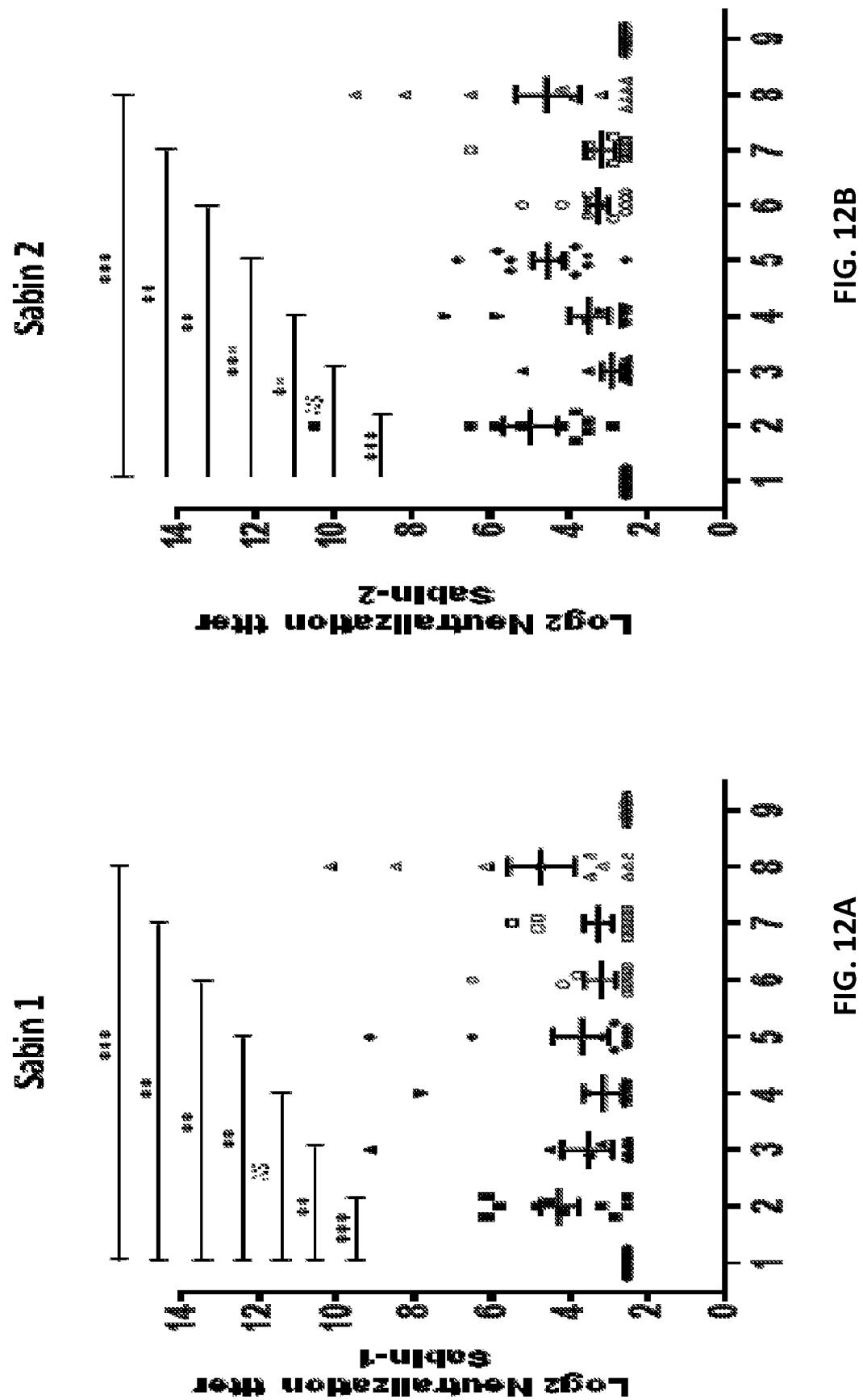
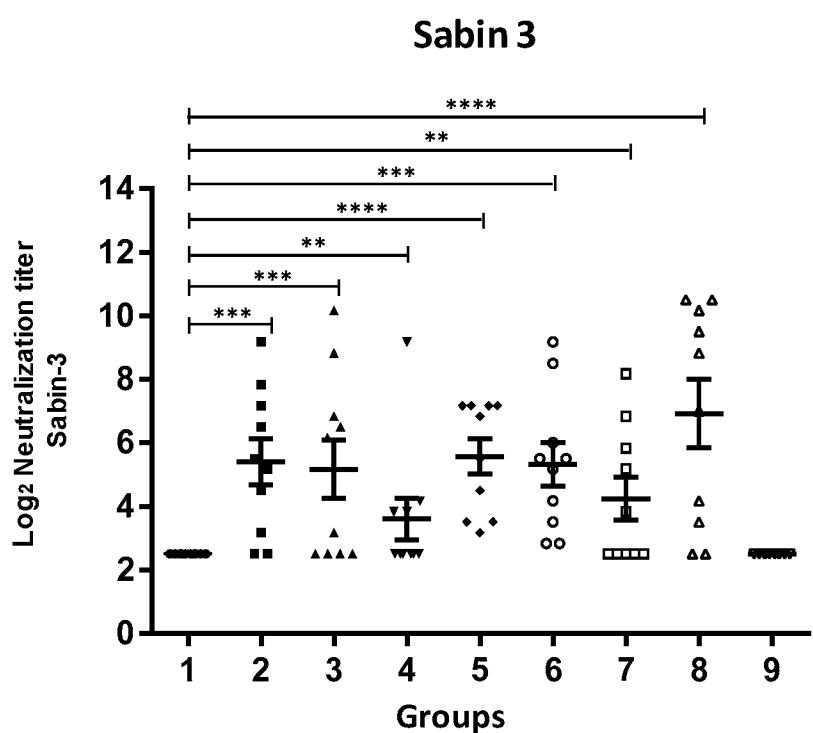


FIG. 11I



**FIG. 12C**

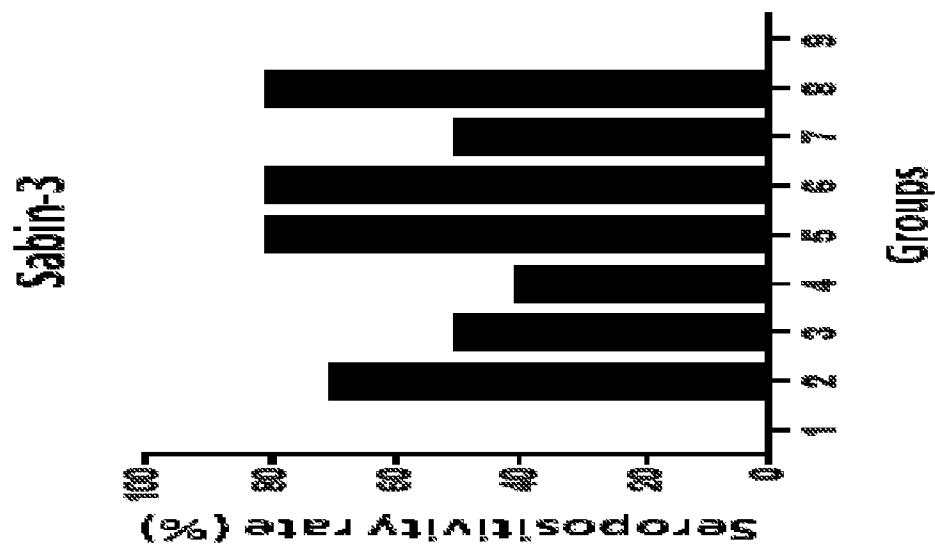


FIG. 13C

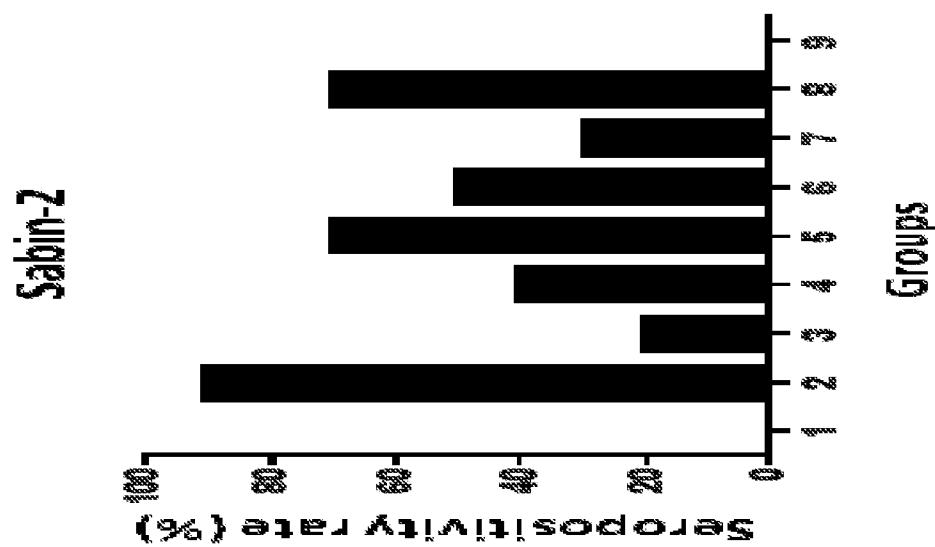


FIG. 13B

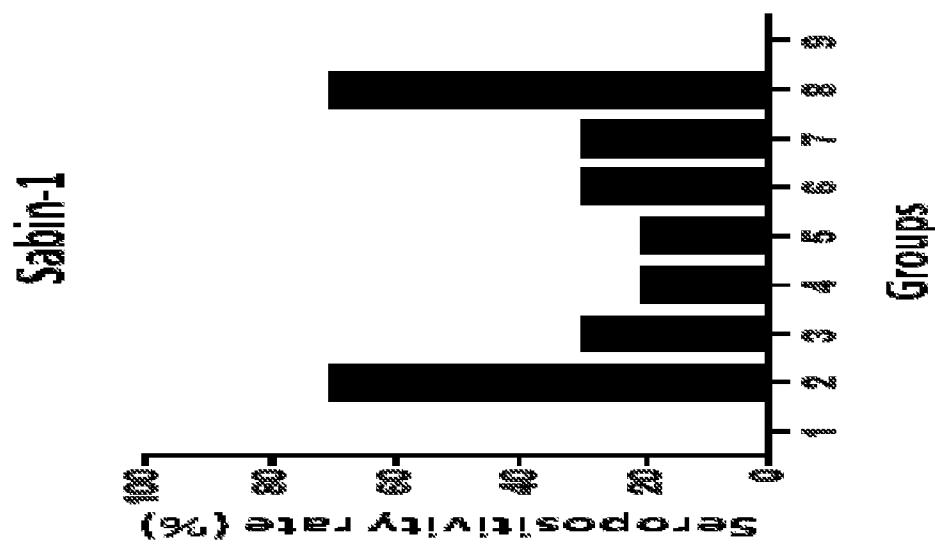
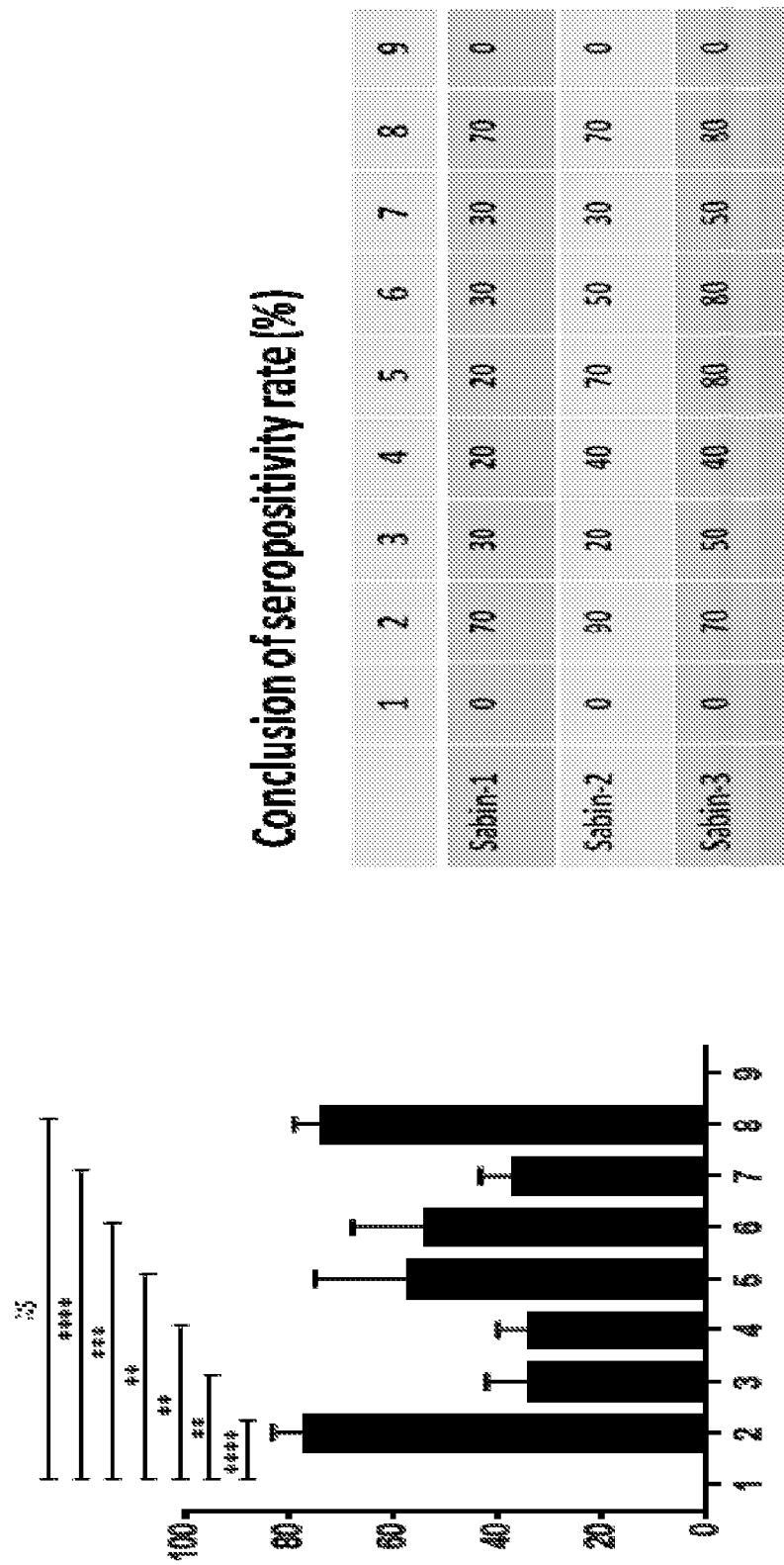
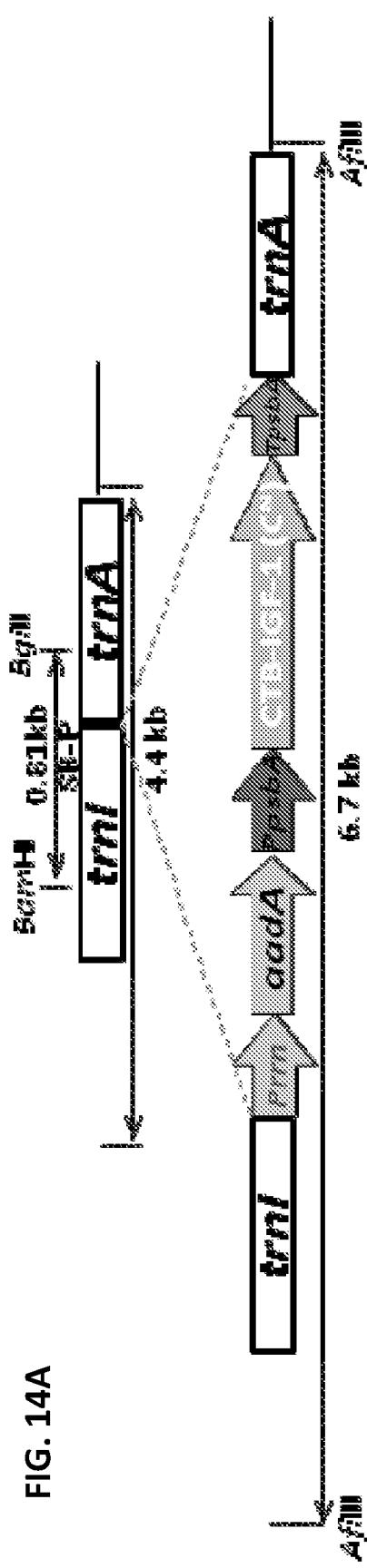
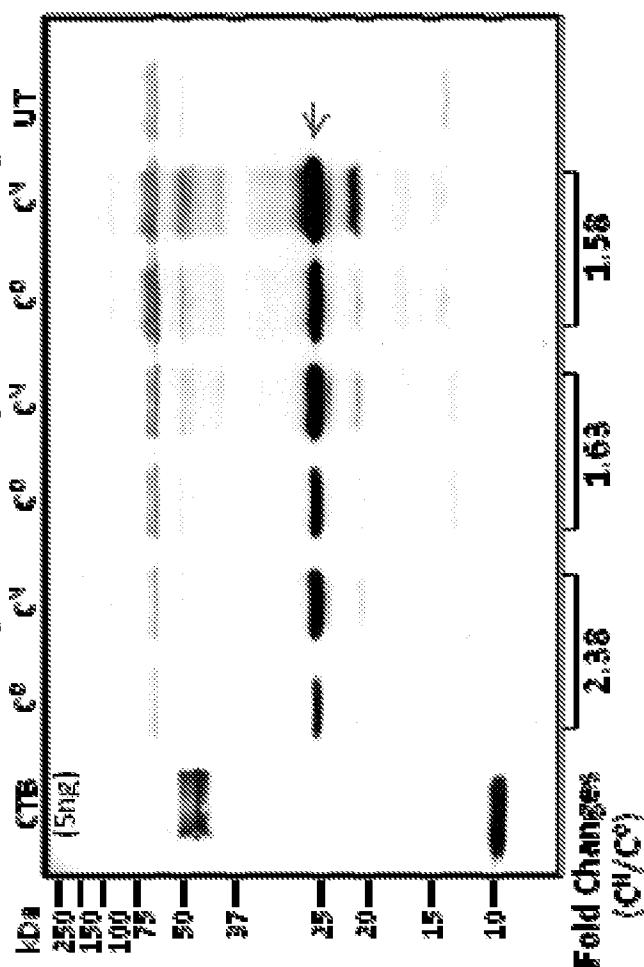


FIG. 13A

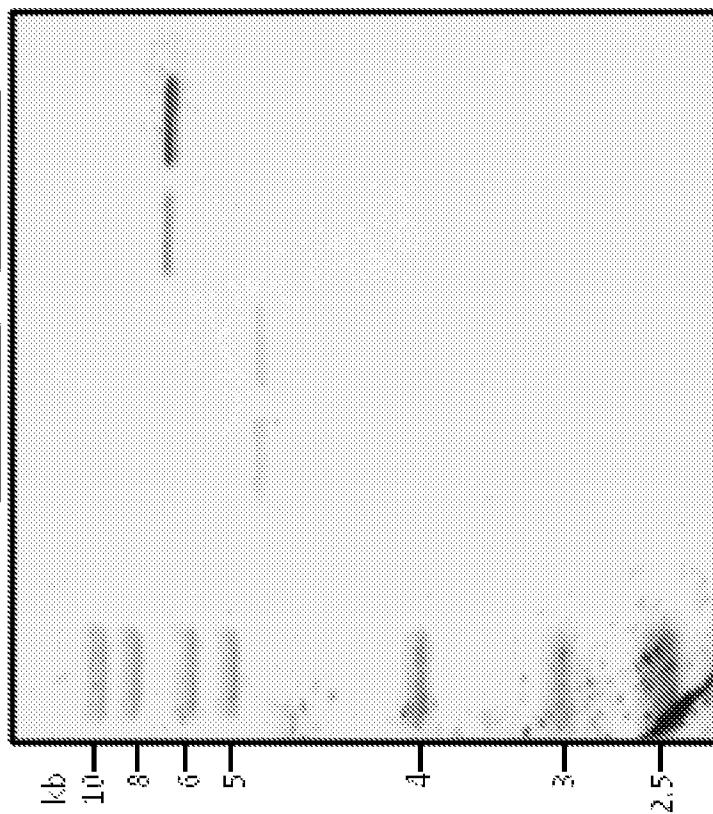




**FIG. 14B**  $\frac{5 \mu\text{g}}{\text{CTB}}$   $\frac{10 \mu\text{g}}{\text{CTB}}$   $\frac{20 \mu\text{g}}{\text{CTB}}$   $\frac{\text{UT}}{\text{CTB}}$



**FIG. 14C** WT  $\frac{\text{CTB-1GF-1}}{\text{CTB}}$



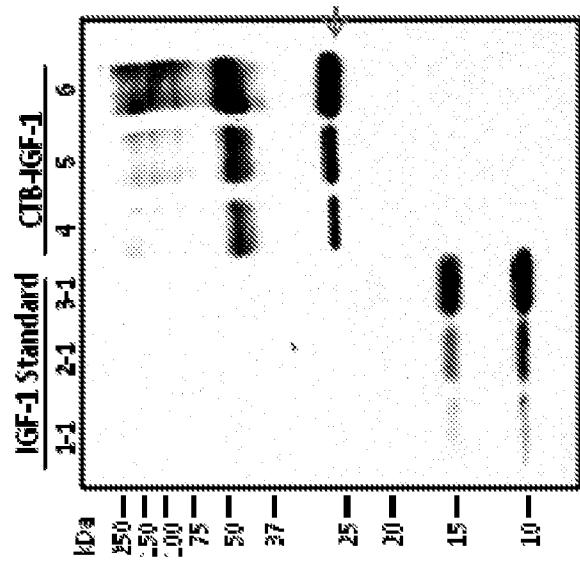


FIG. 15B

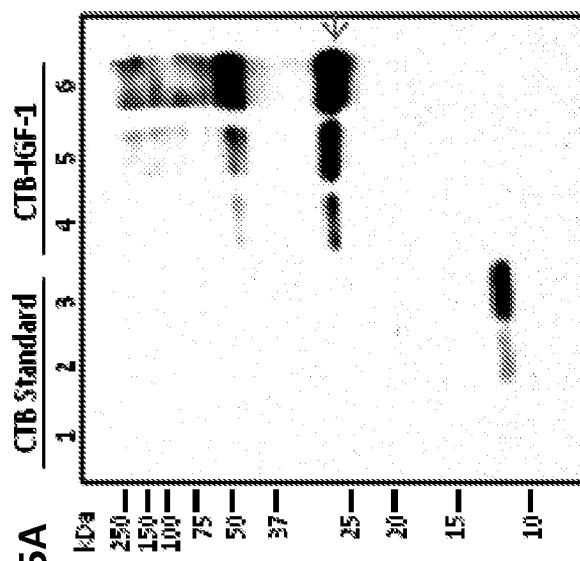


FIG. 15A

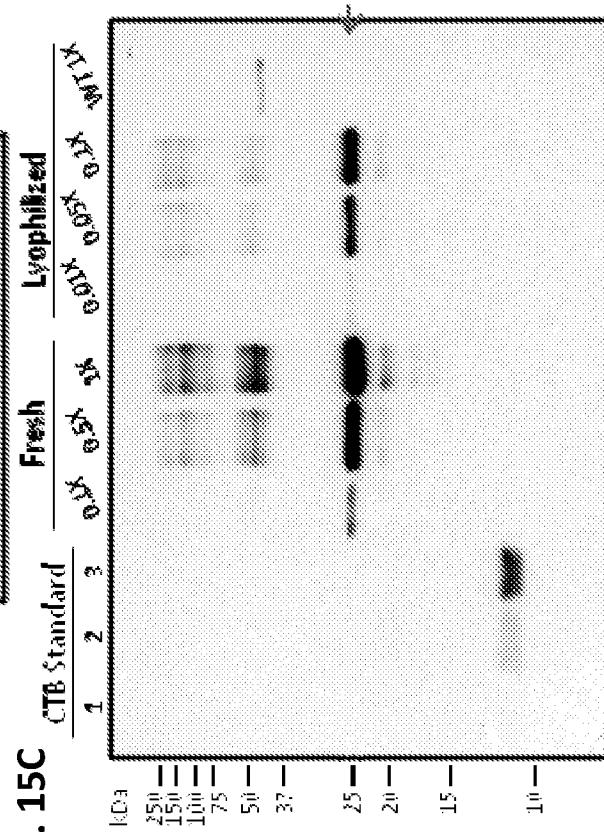
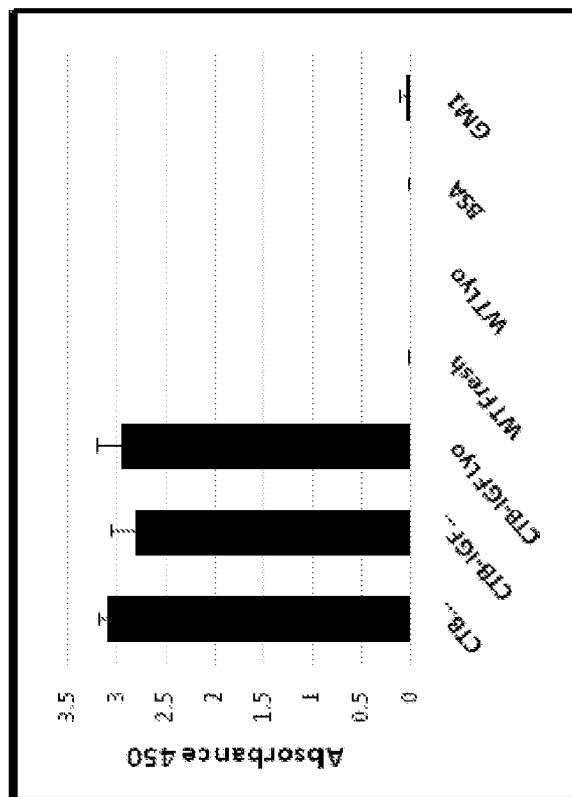


FIG. 15D



Absorbance 450

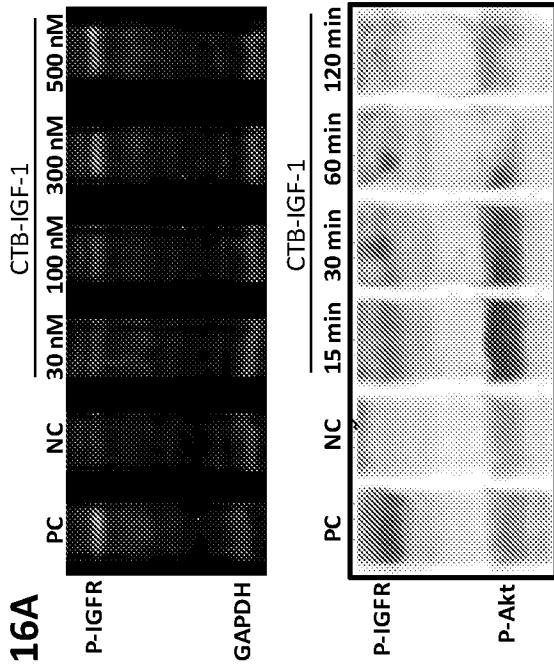
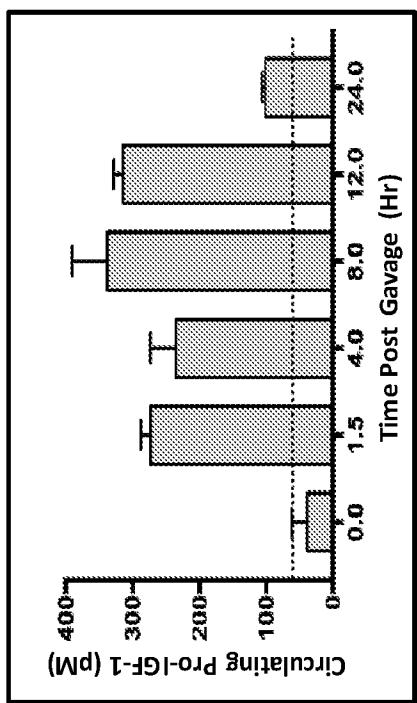
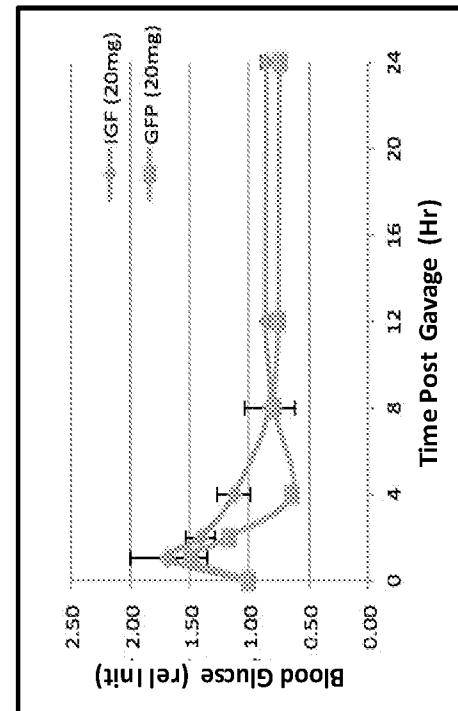
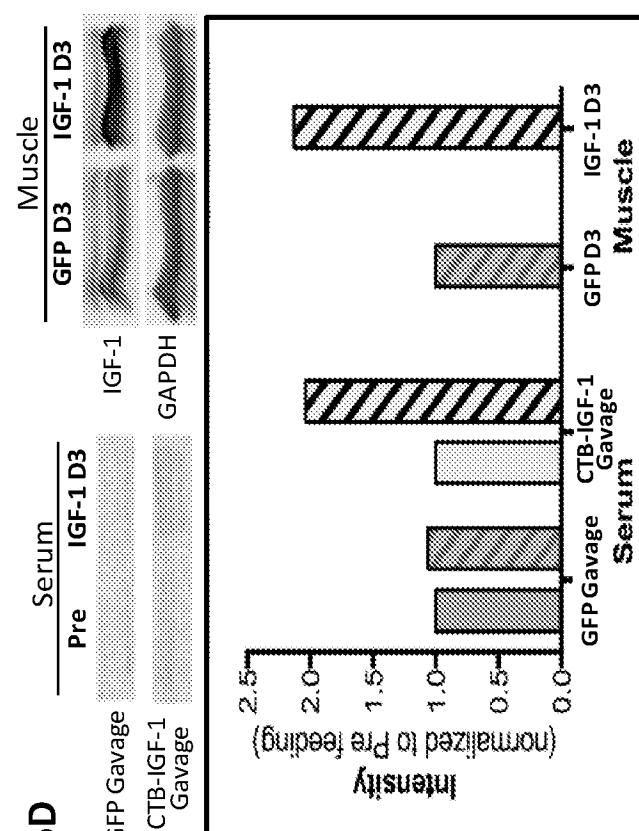
**FIG. 16A****FIG. 16B****FIG. 16C****FIG. 16D**

FIG. 17A

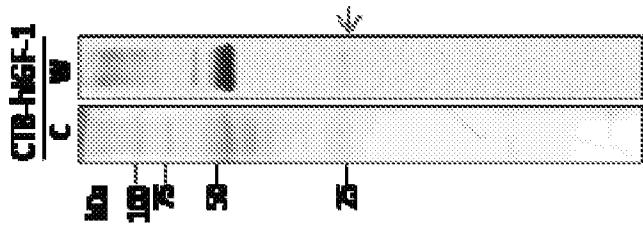


FIG. 17B

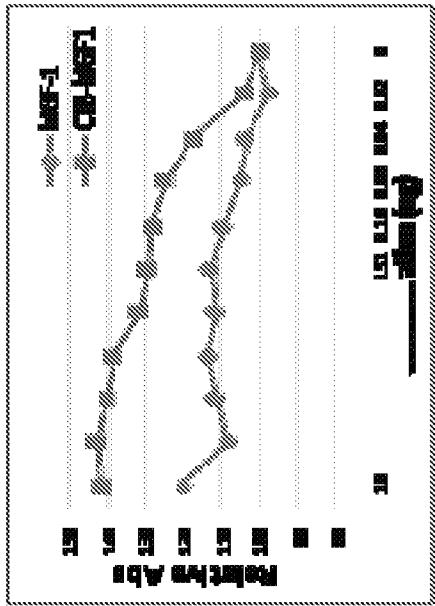


FIG. 17C

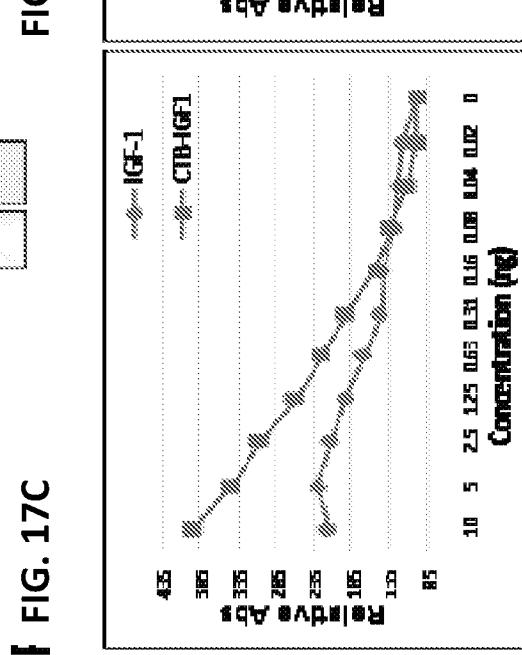


FIG. 17D

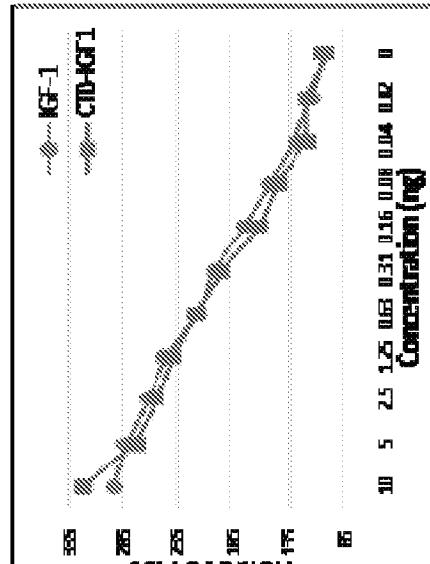
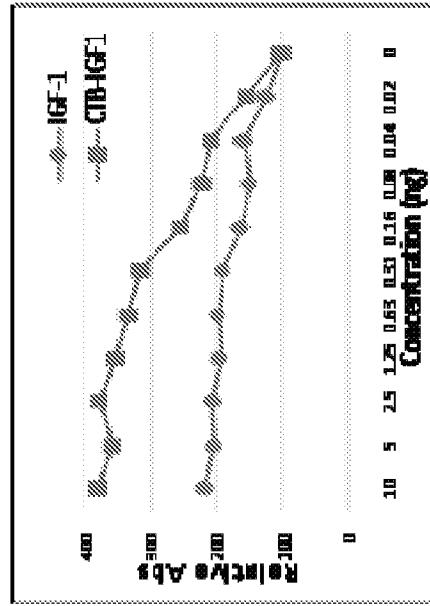


FIG. 17E



IGF-1 (Nat)	GGA	CCG	GAG	ACG	CTC	TGC	GGG	GCT	GAG	CTG	GAT	GCT	CTT	CAG	TTC	GTG	TGT	GGA	GAC	GGG	GGC	TTT	TAT	TTC	AAC	AAG	27		
IGF-1 (Co)	GGT	CCT	GAA	ACT	CTA	TGT	GGT	GCT	GAA	TGT	GAC	GCT	TTA	CAA	TTC	TTA	CAA	TTC	GAT	GGT	GAT	GGT	TTC	TAC	TTC	AAC	AAA	81	
																												54	
IGF-1 (Nat)	CCC	ACA	GGG	TAT	GGC	TCC	AGC	AGT	CGG	AGG	GCG	CCT	CAG	ACA	GGA	ATC	GTG	GAT	GAG	TGC	TGC	TTC	CGG	AGC	TGT	GAT	CTA	162	
IGF-1 (Co)	CCT	ACC	GGT	TAT	GGT	TCT	AGC	TCT	CGT	CCT	GCA	CCA	ACT	GGA	ATT	GTA	GAT	GAG	TTT	AGA	AGT	TGC	TTT	AGA	AGT	TGT	GAT	CTT	162
																												68	
IGF-1 (Nat)	AGG	AGG	CTG	GAG	ATG	TAT	TGC	GCA	CCC	CTC	AAG	CCT	GCC	TCG	GCT	TCT	GTC	TCT	GTC	TGC	TGC	TTC	CGC	TCT	GTC	74	81		
IGF-1 (Co)	CGT	CGC	CTT	GAA	ATG	TAC	TGT	GCT	CCT	TGT	AAA	CCA	GCC	TCG	TCT	GCT	CGT	AGT	GTT	TGC	TGC	TTC	CAC	ACC	GAC	ATG	243		
																												105	
IGF-1 (Nat)	CCC	AAG	ACC	CAG	AAG	GAA	GTA	CAT	TTG	AAG	AAC	GCA	AGT	AGA	GGG	AGT	GCA	GGA	AAC	AAG	AAC	TAC	AGG	ATG	315				
IGF-1 (Co)	CCT	AAA	ACT	CAG	AAG	GAA	GTA	CAC	TCA	AAA	ATT	GCT	TCC	CGA	GGT	TCT	GCT	GGG	AAA	AAA	ATG	TAT	CGT	ATG	315				
																												54	

FIG. 17F

Construction of codon-optimized mutanase sequence from *Paenibacillus* sp. strain RM1 into chloroplast transformation vector. Proteorin was added to the % and His tag was added to the 3' end.

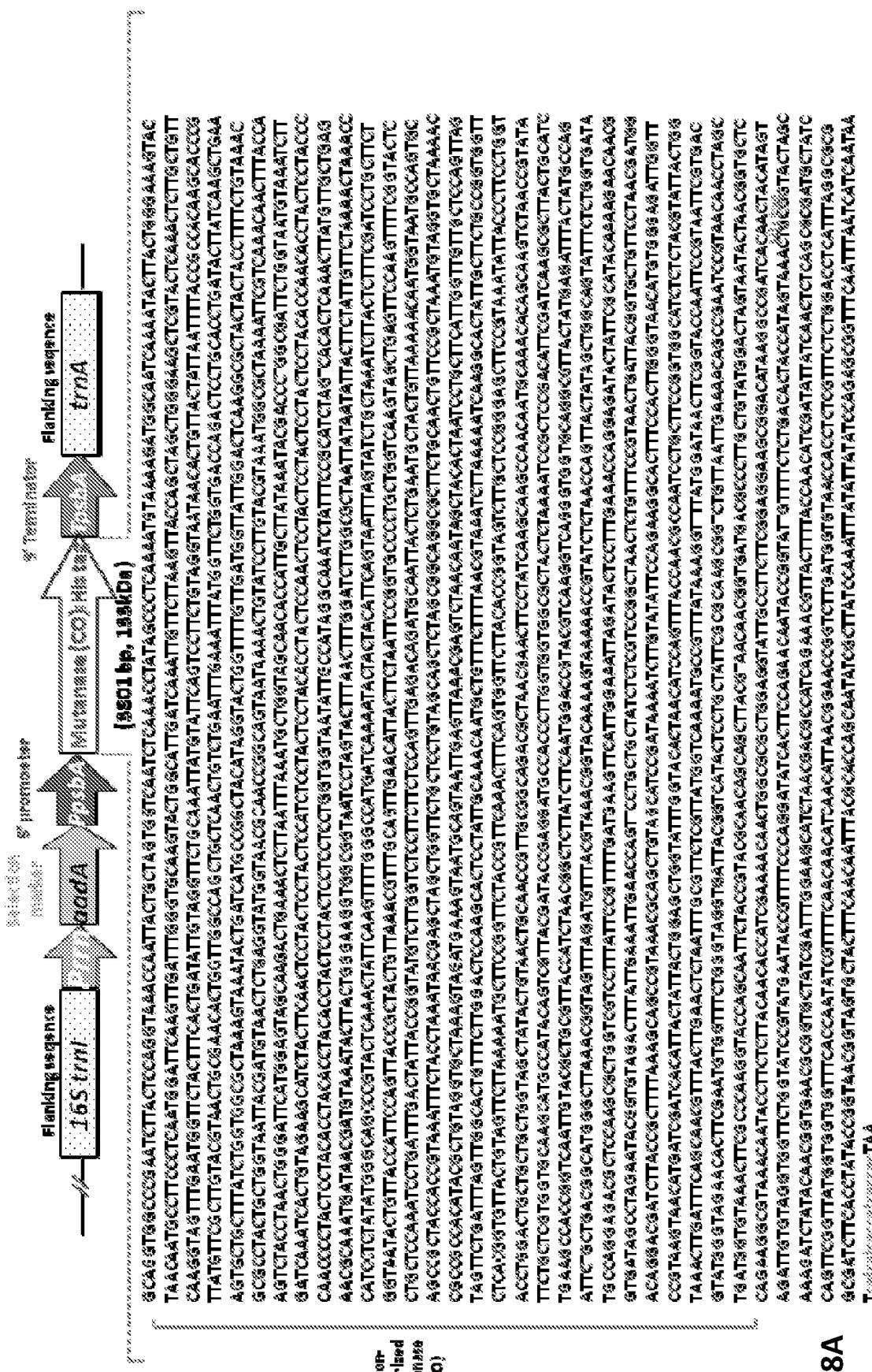


FIG. 18A

			Nucleoside (XNNNN NN)		
peptide (XNNNN NN)			Nucleoside (NNNN)		Nucleoside (NNN)
GCT	18.7%	A	GCT	9.50%	A
GCA	19.4%	A	GCA	11.20%	A
GCC	7.0%	A	GCC	34.40%	A
GCG	4.9%	A	GCG	44.80%	A
TGT	25.8%	C	TGT	0%	C
TGC	14.2%	C	TGC	100%	C
GAT	38.0%	D	GAT	37.30%	D
GAC	19.0%	D	GAC	52.00%	D
GAA	7.0%	E	GAA	64.52%	E
GAG	25.0%	E	GAG	35.48%	E
TTC	78.9%	F	TTC	74.42%	F
TTT	21.1%	F	TTT	25.58%	F
GAA	67.2%	G	GGT	11.20%	G
GGA	17.6%	G	GGA	17.70%	G
GCC	13.0%	G	GGC	62.50%	G
GGG	2.3%	G	GGG	8.06%	G
CAC	52.2%	H	CAC	76.50%	H
CAT	47.9%	H	CAT	23.00%	H
ATT	57.5%	I	ATT	38.30%	I
ATC	34.0%	I	ATC	50.00%	I
ATA	8.5%	I	ATA	1.52%	I
AAA	61.4%	I	AAA	60.35%	I
AAG	15.6%	I	AAG	40%	I
TAA	19.4%	I	CTA	4.17%	L
TAC	46.2%	I	TTC	1.39%	L
TTC	24.4%	I	TTC	9.72%	L
TCA	15.6%	I	CTT	15.20%	L
CTG	3.7%	L	CTG	51.39%	L
CTC	0.1%	L	CTC	18.05%	L
ATG	100.0%	M	ATG	100%	M
AAU	52.6%	N	AAC	71.6%	N
AAU	47.4%	N	AAT	28.3%	N
UUU	66.2%	P	UUC	22.20%	P
UUA	27.3%	P	UUA	2.80%	P
CCG	4.5%	P	CCG	53.25%	P
CCC	1.9%	P	CCC	16.88%	P
UUA	50.0%	Q	CAA	51.50%	Q
UAG	19.6%	Q	CAG	47.50%	Q
GCT	54.1%	R	GCT	12.50%	R
GCG	17.8%	R	GCG	48.30%	R
AGA	12.3%	R	AGA	6.4%	R
AGA	8.7%	R	AGA	3.7%	R
AGG	6.9%	R	AGG	6.45%	R
CGG	0.5%	R	CGG	23.58%	R
TCT	43.3%	S	TCT	5.1%	S
AGT	22.0%	S	AGT	1.7%	S
AGC	34.7%	S	AGC	34.48%	S
TCC	12.3%	S	TCC	30.17%	S
TCA	5.6%	S	TCA	2.59%	S
TCG	1.6%	S	TCG	25.85%	S
TAA	100.0%	STOP	TAG	100%	STOP
UAC	50.0%	T	ACT	5.4%	T
UAC	49.0%	T	ACC	32.3%	T
UAC	0.0%	T	AAC	5.4%	T
AGG	0.0%	T	AGG	56.85%	T
GTA	51.3%	V	GTA	20%	V
GTT	44.8%	V	GTT	18.85%	V
GTC	2.2%	V	GTC	35.29%	V
GTC	1.7%	V	GTC	35.29%	V
TGG	100.0%	W	TGG	100%	W
TAT	52.6%	Y	TAT	30.61%	Y
TAT	47.4%	Y	TAT	69.39%	Y

FIG. 18B

## Cloning of Mutanase in pLS-MF

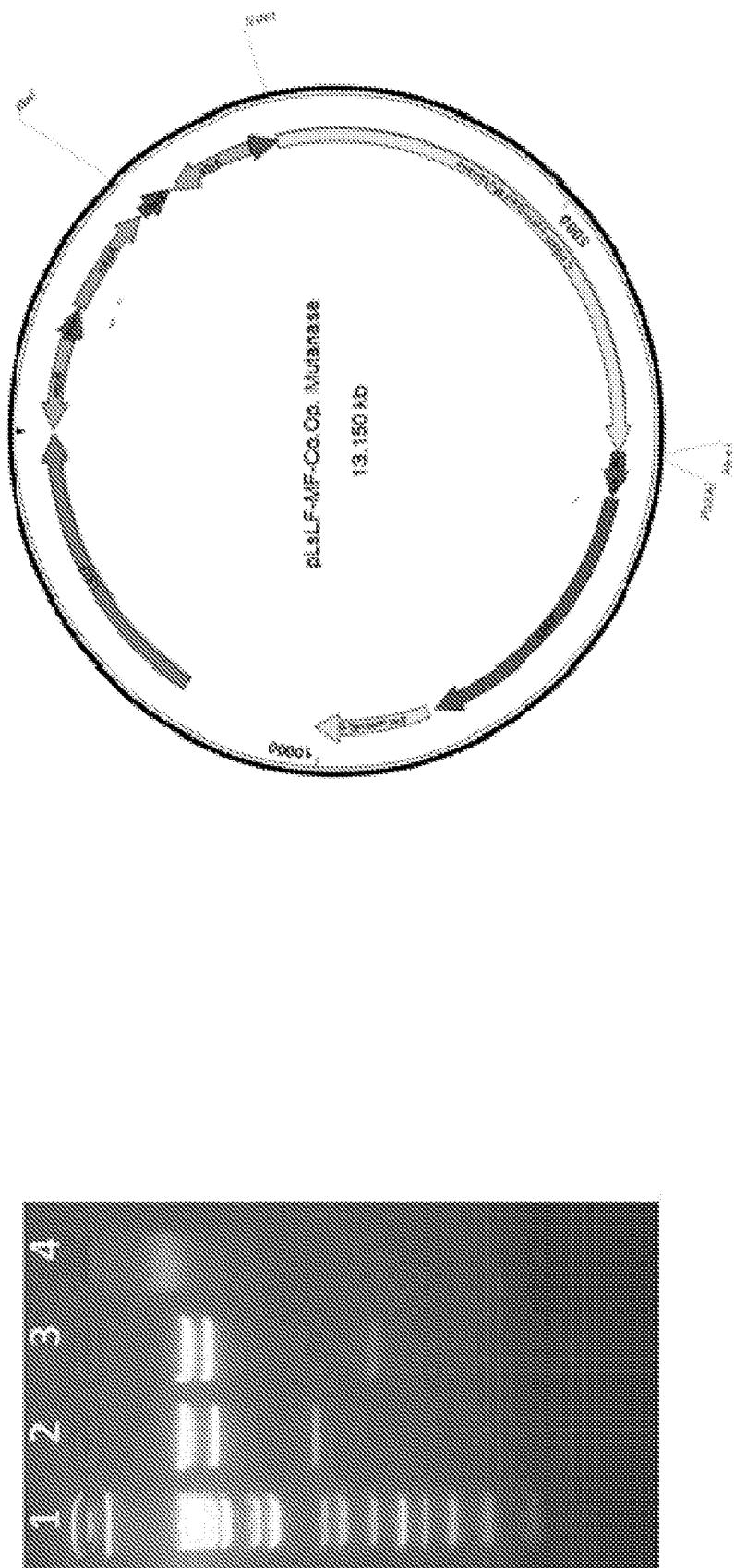


FIG. 18C

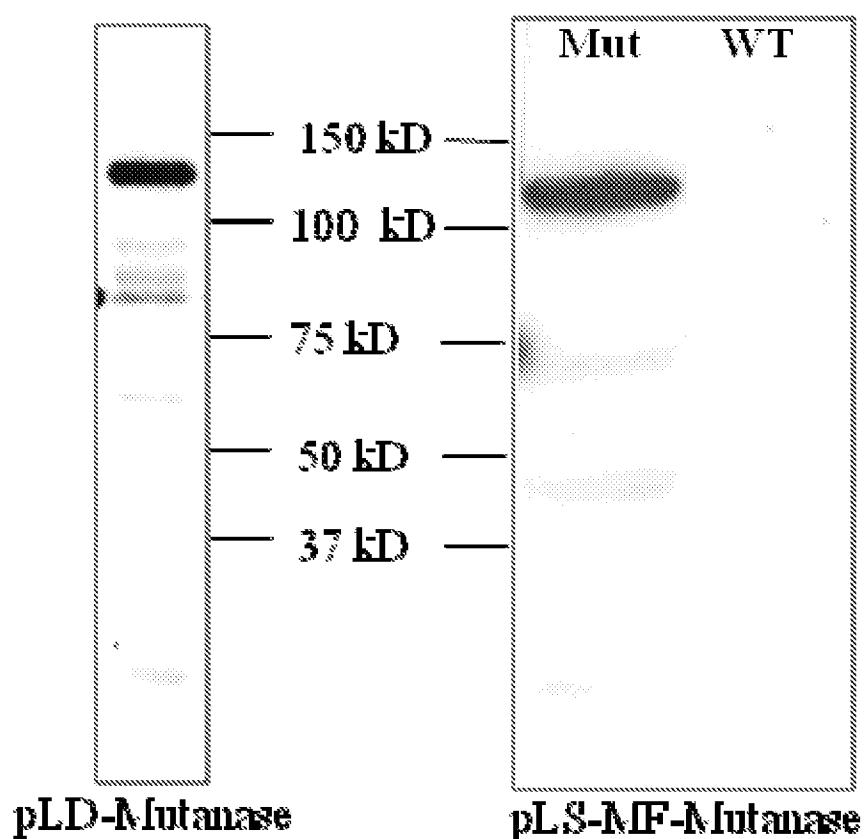


FIG. 18D

## Mutanase Assay

Mutanase	Reducing Sugar (µg)
J&J Mutanase (Undiluted)	14.73
1:10	9.95
1:20	7.45
1:50	2.01

Mutanase (pLS-MF)	Reducing Sugar (µg)
Mutanase (Undiluted)	9.84
1:10	2.34
1:20	1.53
1:50	0.81

Mutanase (pLD)	Reducing Sugar (µg)
Mutanase (Undiluted)	8.91
1:10	3.49
1:20	1.81
1:50	0.22

Mutan hydrolysis of bacterial purified recombinant Mutanase. Mutanase assay was performed by following the Somogyi-Nelson method with Somogyi copper reagent and Nelson reagent.

**FIG. 18E**

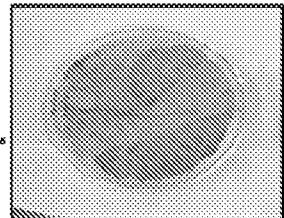
## Plant Transformation

### Bombardment Schedule –

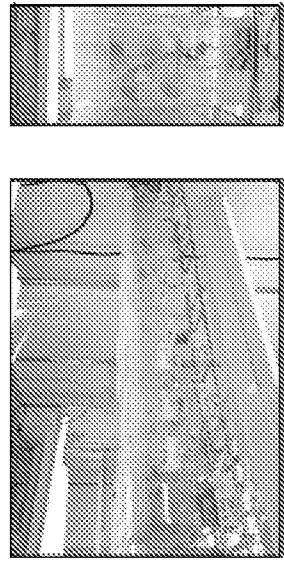
pLS MF - Mut

DATE	BOMBARDMENT	Sheets
16.11.16	21 shots	4 sheets
07.12.16	" sheets	2 sheets
21.12.16	" sheets	1 sheet
28.12.16	" sheets	2 sheets
18.1.17	14 shots	
31.1.17	14 shots	
05.02.17	" sheets	
16.02.17	" sheets	

Bombardment  
plate



Wild type Lettuce



Leaves in RMOP selection media after bombardment

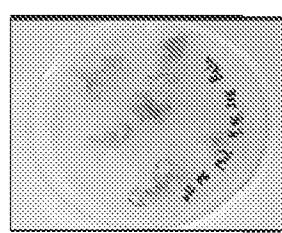
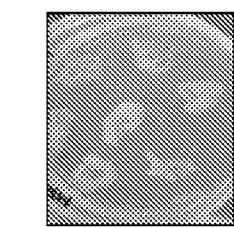
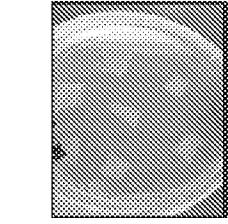
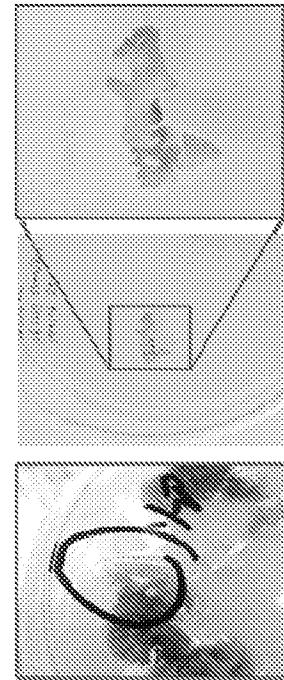
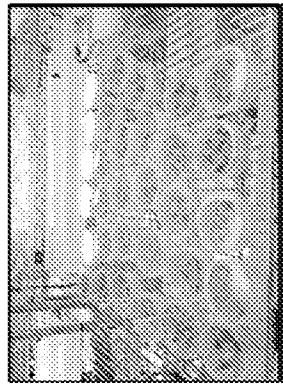
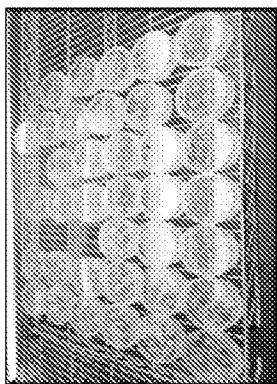


FIG. 18F

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/23263

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC(8) - A61K 36/00; C12N 1/00; C12N 15/00; C12N 15/87; A01H 5/00; C07H 21/04 (2017.01)  
 CPC - C12N 15/8214; C07K 14/415; C12N 15/8225; C12N 15/82; C12N 15/8216; A01H 5/12; C12N 15/11

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2011/0179530 A1 (Daniell) 21 July 2011 (21.07.2011), Abstract, para [0019], [0021], [0022], [0042], [0064], [0067], [0096], [0105], [0117], [0122], [0125], [0127], [0128], [0129], [0130], [0141], [0169], [0189], [0192], [0199], and [0280]	1-2
—		—
Y		4
—		
A		6, 8, 11-13, 14-16/(6,8)
Y	US 2015/0273082 A1 (UCL BUSINESS PLC et al.) 01 October 2015 (01.10.2015), para [0058], [0195], [0206], and [0224]	4
A	US 2015/0361158 A1 (BIOGEN MA INC.) 17 December 2015 (17.12.2015), para [0022], [0025], [0092], [0269], and [0270]	6, 11, 14-16/(6)
A	US 2013/0281671 A1 (PETERS et al.) 24 October 2013 (24.10.2013), para [0061] and SEQ ID NO: 1 (4314 nt), the region between nucleotides 1-2261	6, 11, 14-16/(6)
A	WO 2007/053731A2 (NEOSE TECHNOLOGIES, INC.) 10 May 2007 (10.05.2007), para [0017], and SEQ ID NO: 2 (4413 nt), the region between nucleotides 2350-4404	6, 11, 14-16/(6)
A	US 2008/0038193 A1 (WOUTERS et al.) 14 February 2008 (14.02.2008), para [0015], [0017], and [0018]	8, 12-13, 14-16/(8)
A	US 2013/0195835 A1 (CALLEWAERT et al.) 01 August 2013 (01.08.2013), para [0253]	8, 12-13, 14-16/(8)
A	JP 2005/185182 (LION CORP) 14 July 2005 (14.07.2005), Merged File: pg 1-29, pg 13, translated claim 3; and Sequence Listing, SEQ ID NO: 1(5090 nt), the region between nucleotides 1029-4810	8, 12-13, 14-16/(8)



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
20 July 2017 (20.07.2017)	01 SEP 2017
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300	Authorized officer: Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/23263

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	MORTON et al., The atypical codon usage of the plant psbA gene may be the remnant of an ancestral bias. Proc Natl Acad Sci U S A. 1997, Vol. 94(21), p. 11434-8. Entire documentation, especially Abstract	1-2, 4, 6, 8, 11-16
A	Angov, Codon usage: Nature's roadmap to expression and folding of proteins. Biotechnol J. 2011, Vol. 6(6), p. 650-9. Entire documentation, especially Abstract; pg 655, Fig 3; and pg 656, col 1, middle para	1-2, 4, 6, 8, 11-16
P,X	KWON et al., Codon Optimization to Enhance Expression Yields Insights into Chloroplast Translation. Plant Physiol. 2016, Vol. 172(1), p. 62-77. Epub 2016 Jul 27. Entire documentation, especially Abstract; pg 63, col 1, last para; pg 64, col 1, last para, and col 2, last para; pg 65, col 1, lower para, and col 2; pg 66, Fig 2; pg 69, col 2, up para, and Fig 5; pg 70, col 2, middle para; pg 73, col 1, top para; and pg 75, col 1, last para, and col 2, para 1	1-2, 4
P,A	US 2016/0289277 A1 (THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA et al.) 06 October 2016 (06.10.2016), Abstract, para [0003], [0005], [0008], [0009], [0013], [0014], [0030], [0032], [0035], [0046], [0047], [0058], [0075], [0076], [0094], [0097], [0108], SEQ ID NO: 14, and SEQ ID NO: 15 (4659 nt), the regions between nucleotides 343-2604 and 2605-4659	6, 8, 11-13, 14-16/(6,8)
P,X	US 2016/0289277 A1 (THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA et al.) 06 October 2016 (06.10.2016), Abstract, para [0003], [0005], [0008], [0009], [0013], [0014], [0030], [0032], [0035], [0046], [0047], [0058], [0075], [0076], [0094], [0097], [0108], SEQ ID NO: 14, and SEQ ID NO: 15 (4659 nt), the regions between nucleotides 343-2604 and 2605-4659	6, 11, 14-16/(6)
P,A	VUKUSIC et al., Recombinant therapeutic proteins produced in plants: towards engineering of human-type O- and N-glycosylation. PERI. BIOL. 2016, VOL. 118(2), P. 75-90. Entire documentation, especially Abstract	1-2, 4, 6, 8, 11-16

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/23263

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:  
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Groups I+, Claims 1-4, directed to a method for increasing translation of a transgene encoding a protein of interest in a chloroplast. The method further comprising step will be searched to the extent that the method further comprising step encompasses the method further comprising isolating said protein of interest. It is believed that claims 1-2, 4 encompass this first named invention, and thus these claims will be searched without fee to the extent that they encompass the method further comprising isolating said protein of interest. Additional method further comprising step will be searched upon the payment of additional fees. Applicants must specify the claims that encompass any additionally elected the method further comprising step. Applicants must further indicate, if applicable, the claims which encompass the first named invention, if different than what was indicated above for this group. Failure to clearly identify how any paid additional invention fees are to be applied to the "+" group(s) will result in only the first claimed invention to be searched. An exemplary election would be the method further comprising harvesting and lyophilizing leaves from said plant [claims (1), 3, (4)].

\*\*\*\*\*Continued in the extra sheet\*\*\*\*\*

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:  
1-2, 4, 6, 8, 11-13, and 14-16/(6,8), limited to the method further comprising isolating said protein of interest;
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/23263

Continuation of:  
Box No III (unity of invention is lacking)

Group II, claims 5, 9-10, and 14-16/(5), directed to a synthetic polio viral capsid protein 1 (VP1) encoded by the nucleic acid shown in Figure 4A (Sequence Listing: SEQ ID NO: 4), and methods of using and making.

Group III, claims 6, 11, and 14-16/(6), directed to a synthetic coagulation Factor VIII HC-LC protein encoded by the nucleic acid shown in Figure 2E (Sequence Listing: SEQ ID NO: 1 and SEQ ID NO: 2), and methods of using and making.

Group IV, claims 7, and 14-16/(7), directed to a synthetic Insulin growth factor like 1 protein encoded by the nucleic acid shown in Figure 17F (Sequence Listing: SEQ ID NO: 23, or SEQ ID NO: 24), and methods of using and making.

Group V, claims 8, 12-13, and 14-16/(8), directed to a synthetic mutanase enzyme encoded by the nucleic acid shown in Figure 18 A (Sequence Listing: SEQ ID NO: 25), and methods of using and making.

The inventions listed as Groups I+, II-V do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

**Special Technical Feature**

Groups I+ include the special technical feature of increasing translation of a transgene encoding a protein of interest in a chloroplast, comprising a) analyzing the native sequence of a nucleic acid encoding said protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts in higher plants, b) producing a synthetic, codon optimized sequence and cloning said sequence into a chloroplast transformation vector, not required by Groups II-V.

Group II includes the special technical feature of a synthetic polio viral capsid protein 1 (VP1), not required by Groups I+, III-V.

Group III includes the special technical feature of a synthetic coagulation Factor VIII HC-LC protein, not required by Groups I+, II, IV-V.

Group IV includes the special technical feature of a synthetic Insulin growth factor like 1 protein, not required by Groups I+, II-III, V.

Group V includes the special technical feature of a synthetic mutanase enzyme, not required by Groups I+, II-IV.

Among Groups I+, the method comprising a further step of isolating said protein of interest, requires reagents and technical features that are different from the method comprising a further step of harvesting and lyophilizing leaves from said plant, and vice versa.

**Common Technical Features**

The inventions of Groups I+, II-V share the technical feature of a protein of interest;

–the inventions of Groups I+, II-V further share the technical feature of a synthetic protein encoded by a (defined) codon optimized nucleic acid (Groups I+ - 'a) ...c)...whereby said therapeutic protein is expressed'; Groups: II-V: Figure 4A; Figure 2E; Figure 17F; and Figure 18A); and

–the inventions of Groups I+ further share the technical feature of method for increasing translation of a transgene encoding a protein of interest in a chloroplast, said method comprising

a) analyzing the native sequence of a nucleic acid encoding said protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts in higher plants and optionally performing ribosome profiling and removing any codons that cause stalling of ribosomes during translation;

b) producing a synthetic, codon optimized sequence and cloning said sequence into a chloroplast transformation vector, said synthetic sequence being operably linked to 5' and 3' regulatory elements for suitable for expression in said chloroplast;

c) transforming a target plant with said vector, under conditions whereby said therapeutic protein is expressed, wherein replacing said codons causes at least a two fold increase in protein expression relative to expression levels observed using the native sequence (claim 1).

However, these shared technical features do not represent a contribution over prior art as being anticipated by US 2011/0179530 A1 to Daniell as follows:

Daniell discloses a method for increasing translation of a transgene encoding a protein of interest in a chloroplast (para [0141] - 'enhancement of translation is to optimize codon ... fusion protein. ...efficient expression in chloroplasts'; Abstract - 'synthesizing high value pharmaceutical proteins in transgenic plants by chloroplast expression for pharmaceutical protein production'; para [0127] - 'vector was constructed to contain ... sequence encoding for the Cholera toxin B subunit and synthetic chloroplast optimized proinsulin fusion (CTB-PTPris)', said method comprising

a) analyzing the native sequence of a nucleic acid encoding said protein of interest and replacing codons in said sequence with those preferentially used in psbA genes in chloroplasts in higher plants (para [0128] - 'codon optimization the proinsulin gene ... plastid modified proinsulin (PTPris) can have its nucleotide sequence modified such that the codons are optimized for plastid expression, yet its amino acid sequence remains identical to human proinsulin... compared to the native human proinsulin... Analysis of human proinsulin gene ...frequency codons in the chloroplast...optimized proinsulin gene all the codons code for the most frequent .. plastid optimized proinsulin gene', wherein 'plastid' is 'chloroplast'; para [0127] - 'sequence encoding for the Cholera toxin B subunit and synthetic chloroplast optimized proinsulin fusion (CTB-PTPris)'; para [0129] - 'Chloroplast foreign gene expression correlates well with % AT of the gene coding sequence.... native human proinsulin sequence is 38% AT, while the newly synthesized chloroplast optimized proinsulin is 64% AT. ... optimal chloroplast coding sequence for the proinsulin (PTPris) gene by using a codon composition that is equivalent to the highest translated chloroplast gene, psbA'; para [0141] - 'enhancement of translation ... optimizing codon compositions of proinsulin and CTB genes to match the psbA gene could further enhance the level of translation'; para [0117] - 'efficiency of translation may be tested in isolated chloroplasts and compared with the highly translated chloroplast protein (psbA)'; Please see Wikipedia\_chloroplast: para 3 - 'A chloroplast is a type of organelle known as a plastid'; Please Note: 'and optionally performing ribosome profiling and removing any codons that cause stalling of ribosomes during translation' is not discussed here, because it is an optional step in this claim);

\*\*\*\*\*Continued in the next extra sheet\*\*\*\*\*

Continuation of:  
Box No III (unity of invention is lacking)

b) producing a synthetic, codon optimized sequence and cloning said sequence into a chloroplast transformation vector (para [0130] - 'This product, PTpris, was then used as a template ...to create a fusion of these sequences using the SOEing PCR technique.... the desired 5'UTR CTB-PTpris (5CPTP) at 800 bp. This was then inserted into the TA cloning vector where the sequence was verified before being subcloned into the pLD vector', wherein 'This product, Ptpris' is 'a synthetic, codon optimized sequence' produced, wherein '5'UTR CTB-PTpris (5CPTP)' is a fusion product of 'Ptpris' that is 'a synthetic, codon optimized sequence' further produced, wherein 'the pLD vector' is 'a chloroplast transformation vector', and wherein '5'UTR CTB-PTpris (5CPTP) ... being subcloned into the pLD vector' is 'producing a synthetic, codon optimized sequence and cloning said sequence into a chloroplast transformation vector'; para [0129] - 'optimal chloroplast coding sequence for the proinsulin (PTpris) gene by using a codon composition that is equivalent to the highest translated chloroplast gene, psbA'; para [0127] - 'Cholera toxin B subunit and synthetic chloroplast optimized proinsulin fusion (CTB-PTpris)'; para [0122] - 'The pLD vector is a universal chloroplast expression/integration vector and can be used to transform chloroplast genomes of several other plant species'),  
-- said synthetic sequence being operably linked to 5' and 3' regulatory elements for suitable for expression in said chloroplast (para [0122] - 'pLD vector ... chloroplast transformation. ...16S rRNA promoter (Pan) ... followed by the multiple cloning site and then the psbA 3' region (the terminator from a gene coding for photosystem II reaction center components) from the tobacco chloroplast genome', wherein 'pLD vector ... chloroplast transformation ...promoter (Pan) ... followed by the multiple cloning site and then the psbA 3' region ...the terminator', indicating 'said synthetic sequence being operably linked to... 3' regulatory elements for suitable for expression in said chloroplast'; para [0130] - 'PTpris ...to create a fusion of these sequences.... 5'UTR CTB-PTpris (5CPTP) at 800 bp... being subcloned into the pLD vector'; para [0125] - 'differences in translation efficiency and mRNA stability ...due to various 5' and 3' untranslated regions (UTR's) ... used in a practical endeavor of insulin production. ... increased translation efficiency and mRNA stability, the psbA 5' UTR can be used in addition with the psbA 3' UTR already in use', wherein 'psbA 5' UTR can be used in addition with the psbA 3' UTR already in use' indicating 'said synthetic sequence being operably linked to 5' and 3' regulatory elements for suitable for expression in said chloroplast'; para [0199] - 'different length of transcripts depending on the 5' regulatory region ... most abundant transcript was the monocistron in plants with the 5'psbA promoter upstream of the ... gene');  
c) transforming a target plant with said vector, under conditions whereby said therapeutic protein is expressed (para [0122] - 'pLD vector is used for all the constructs. This vector was developed for chloroplast transformation; para [0192] - 'plants were transformed'; para [0130] - 'PTpris ...to create a fusion of these sequences.... 5'UTR CTB-PTpris (5CPTP) ...subcloned into the pLD vector'; para [0129] - 'optimal chloroplast coding sequence for the proinsulin (PTpris)'; Abstract - 'synthesizing high value pharmaceutical proteins in transgenic plants by chloroplast expression for pharmaceutical protein production'; para [0022] - 'transgenic expression of proteins or fusion proteins is characterized using molecular and biochemical methods in chloroplasts'),  
-- wherein replacing said codons causes at least a two fold increase in protein expression relative to expression levels observed using the native sequence (para [0117] - 'the efficiency of translation may be tested in isolated chloroplasts and compared with the highly translated chloroplast protein (psbA)...Codon optimized genes are also compared with unmodified genes to investigate the rate of translation, pausing and termination. ...we observed a 200-fold difference in accumulation of foreign proteins due to decreases in proteolysis conferred by a putative chaperonin'; para [0141] - 'enhancement of translation ... optimizing codon compositions of proinsulin... genes to match the psbA gene could further enhance the level of translation'; Abstract).

Daniell further discloses a synthetic protein encoded by a (defined) codon optimized nucleic acid (Abstract - 'to synthesizing high value pharmaceutical proteins in transgenic plants by chloroplast expression for pharmaceutical protein production'; para [0189] - 'Cholera toxin (CTB) ... chloroplast synthesized CTB'; para [0128] - 'codon optimization the proinsulin gene ... plastid modified proinsulin (PtPris) ... yet its amino acid sequence remains identical to human proinsulin ...PtPris is an ideal substitute for human proinsulin in the CTB fusion peptide. The expression of this construct...determine the affects to codon optimization...frequency codons in the chloroplast...optimized proinsulin gene all the codons code for the most frequent ... plastid optimized proinsulin gene'; para [0127] - 'sequence encoding for the Cholera toxin B subunit and synthetic chloroplast optimized proinsulin fusion (CTB-PtPris)'; para [0074] - 'transgenic expression of proinsulin ...CTB fusion proteins ... in chloroplasts'; Sequence Listing: SEQ ID NO: 17).

Without a shared special technical feature, the inventions lack unity with one another.

Groups I+, II-V therefore lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.

Note:

I) Claim 5 is objected to as lacking a definition for the first appeared abbreviation "VP1" limitation in claims. For the purposes of this ISR, "VP1 protein" in claim 5 is rewritten as "polio viral capsid protein 1 (VP1)".

II) Claim 9 is objected to as lacking a proper antecedent basis for the "the synthetic VP1 protein" limitation. For the purposes of this ISR, it is assumed that claim 9 depends from claim 5.

III) Claims 6 and 11 are objected to as claim 11 lacking a proper antecedent basis for the "the coagulation factor" limitation. For the purposes of this ISR, it is assumed that claim 11 depends from claim 6, and the limitation "Factor VIII HC-LC" in claim 6 is rewritten as "coagulation Factor VIII HC-LC" based on the Specification (Specification: pg 5, In 12-13 - 'coagulation factors optimized for efficient expression as disclosed herein.... FVIII is exemplified herein').

IV) Claim 12 is objected to as lacking a proper antecedent basis for the "the mutanase" limitation. For the purposes of this ISR, it is assumed that claim 12 depends from claim 8.