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Anti-FLT3 ellenanyagok és alkalmazásuk módjai

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## (54) ANTI-FLT3 ANTIBODIES AND METHODS OF USING THE SAME

ANTI-FLT3-ANTIKÖRPER UND VERFAHREN ZU DEREN VERWENDUNG ANTICORPS ANTI-FLT3 ET LEURS MÉTHODES D'EMPLOI

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#### Description

#### **FIELD OF THE INVENTION**

[0001] The present invention lies in the field of antibodies and relates to FLT3 specific antibodies with a modified Fc region to generate or enhance antibody-dependent cell cytotoxicity (ADCC) as well as methods of using such antibodies as defined in the claims.

#### **BACKGROUND OF THE INVENTION**

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**[0002]** Antibodies against various targets are disclosed in the prior art. In EP 2011870, FC-modified antibodies having improved ADCC that have been mutated at position 295 have been disclosed. Also, individual antibodies targeting CD20 (US2005/0054832), tissue factors (WO2008/137382), or CD19/CD20 (US2008/0260731) having S239D/I332E mutation and improved Fc-binding or ADCC have been disclosed.

[0003] The tyrosine kinase receptor FLT3 expressed on the cell surface of hematopoietic progenitor cells plays an important role in early hematopoiesis. Due to its pivotal role in regulating survival, proliferation, and differentiation of hematopoietic cells (B and T cells), aberrant FLT3 activity is involved in the development and progression of cancers of the hematopoietic system. For example, internal tandem duplications of FLT3 are the most common mutations associated with acute myelogenous leukemia (AML). There is thus need in the art for antibodies that can specifically target and destroy FLT3-expressing cells.

**[0004]** Thus, one object of the inventors of the present invention was to provide anti-FLT3 antibodies that can bind to and kill FLT3-expressing cells *in vivo*.

#### **SUMMARY OF THE INVENTION**

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**[0005]** The present invention is directed to IgG antibodies directed against human receptor tyrosine kinase FLT3 and methods of using the same. In certain aspects, the antibodies include a variant Fc region. In further embodiments, the antibodies are chimeric or humanized antibodies. The present invention is further directed to pharmaceutical compositions comprising these antibodies and methods of using the antibodies in various disease indications.

[0006] In a first aspect, the present invention is directed to an IgG antibody that binds human receptor tyrosine kinase FLT3, wherein said antibody comprises a heavy chain and a light chain and has an amino acid substitution in the constant region relative to a parent anti-FLT3 antibody, wherein the amino acid substitution includes the amino acid substitutions S239D and I332E, wherein the positional numbering is according to the EU index (Kabat et al., 1983).

**[0007]** In one embodiment of the invention, the anti-FLT3 antibody has cell killing activity, such as, for example, antibody-dependent cell-mediated cytotoxicity (ADCC) effector function. That means that upon contact with FLT3-expressing cells, the antibody is capable of facilitating cell death, for example by triggering activation of the complement system, phagocytosis or apoptosis.

[0008] In one embodiment, the antibody comprises a heavy and a light chain. The heavy chain may comprise a  $V_H$  CDR1, a  $V_H$  CDR2, and a  $V_H$  CDR3 region and/or the light chain may comprise a  $V_L$  CDR1, a  $V_L$  CDR2, and/or a  $V_L$  CDR3 region.

[0009] In one specific embodiment, the  $V_L$  CDR1 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences SEQ ID NO:1 and SEQ ID NO:7; the  $V_L$  CDR2 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:2 and SEQ ID NO:8; the  $V_L$  CDR3 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:3 and SEQ ID NO:9; the  $V_H$  CDR1 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:4 and SEQ ID NO:10; the  $V_H$  CDR2 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:5 and SEQ ID NO:11; and the  $V_H$  CDR3 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:5 and SEQ ID NO:11; and the  $V_H$  CDR3 comprises or consists of an amino acid sequence selected from the group consisting of the amino acid sequences of SEQ ID NO:6 and SEQ ID NO:12.

**[0010]** In another specific embodiment, the V<sub>L</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:1; the V<sub>L</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:2; the V<sub>L</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:3; the V<sub>H</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:4; the V<sub>H</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:5; and the V<sub>H</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:6.

[0011] In still another specific embodiment, the V<sub>L</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:7; the V<sub>L</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:8; the V<sub>L</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:9; the V<sub>H</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:10; the V<sub>H</sub> CDR2 comprises or consists of the amino acid sequence set

forth in SEQ ID NO:11; and the  $V_H$  CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:12. **[0012]** In one embodiment of the invention, the heavy chain of the invented antibody comprises a  $V_H$  domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO: 14 and the light chain of the invented antibody comprises a  $V_H$  domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:13.

[0013] In another embodiment of the invention, the heavy chain of the invented antibody comprises a V<sub>H</sub> domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:30 and the light chain of the invented antibody comprises a V<sub>I</sub> domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:29.

**[0014]** In another embodiment of the invention, the claimed antibody is a chimeric antibody and comprises a heavy chain having the amino acid sequence set forth in SEQ ID NO:27 and a light chain having the amino acid sequence set forth in SEQ ID NO:23.

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[0015] In another embodiment of the invention, the claimed antibody is a chimeric antibody and comprises a heavy chain having the amino acid sequence set forth in SEQ ID NO:43 and a light chain having the amino acid sequence set forth in SEQ ID NO:39.

[0016] The antibody of the invention, which comprises amino acid substitutions S239D/I332E, binds with enhanced affinity to the  $Fc\gamma RIIIa$  receptor or has enhanced ADCC effector function as compared to the parent antibody without said substitution. In this connection, the term "enhanced" includes scenarios where the parent antibody does not show any experimentally verifable ADCC effector function so that the newly generated Fc-optimized antibody exhibits, for the first time and in contrast to the parent antibody from which it may be derived, ADCC effector function.

[0017] In another aspect, the present invention features nucleic acid molecules that encode the heavy chain and the light chain of an antibody of the invention. These nucleic acid molecules may comprise a nucleotide sequence that encodes the variable domain of the light chain, such as that set forth in SEQ ID NO: 17 or SEQ ID NO:33, or a nucleotide sequence that encodes the variable domain of the heavy chain, such as that set forth in SEQ ID NO: 18 or SEQ ID NO:34.

[0018] In one specific embodiment, the nucleic acid encoding the light chain of the antibody of the invention has a nucleotide sequence selected from the group consisting of SEQ ID Nos. 24 and 40.

[0019] In another specific embodiment, the nucleic acid encoding the heavy chain of the antibody of the invention has a nucleotide sequence selected from the group consisting of SEQ ID Nos. 28 and 44.

**[0020]** In a further aspect, the present invention relates to an antibody of the invention for use in a method of treating lymphoma or leukemia, wherein said method includes administering the antibody of the invention to a subject in need thereof. The subject may, for example, be an animal or human, preferably a mammal, such as a human.

[0021] The lymphoma or leukemia may be selected from the group consisting of: non-Hodgkin's lymphomas (NHL), chronic lymphocytic leukemia (CLL), B-cell acute lymphoblastic leukemia/lymphoma (B-ALL), mantle cell lymphoma (MCL), hairy cell leukemia (HCL), chronic myeloid leukemia (CML), acute myeloid leukemia, and multiple myeloma (MM). In a preferred embodiment, the lymphoma is acute myeloid leukemia (AML).

[0022] In another embodiment, the disease or disorder is myelodysplastic syndrome (MDS).

[0023] In various embodiments, the lymphoma or leukemia is in the stage of minimal residual disease (MRD), for example reached after conventional chemotherapy with or without stem cell transplantation.

**[0024]** In certain embodiments of the antibody of the invention for use in the invented methods, the antibody may be administered in combination with at least one agent selected from the group consisting of a cytotoxic agent, a chemotherapeutic agent, a cytokine, a growth inhibitory agent, an anti-hormonal agent, a kinase inhibitor, an anti-angiogenic agent, a cardioprotectant, an immunostimulatory agent, an immunosuppressive agent, an angiogenesis inhibitor, a protein tyrosine kinase inhibitor, and second antibody.

**[0025]** In a still further aspect, the present invention also encompasses a pharmaceutical composition comprising an antibody according to the invention and a pharmaceutically acceptable carrier.

**[0026]** Also disclosed herein is an antibody of the invention for use in a method of inhibiting proliferation of a cell expressing FLT3, wherein said method comprises contacting said cell with an antibody according to the invention. The method may be an *in vitro* method.

**[0027]** Further disclosed herein is an antibody of the invention for use in a method of enhancing antibody-dependent cell-mediated cytotoxicity toward a cell expressing FLT3, wherein said method comprises contacting said cell with an antibody according to the invention.

[0028] Still further disclosed herein is an antibody of the invention for use in a method of depleting a mammal of at least one cell expressing FLT3, wherein said method comprises administering to the mammal an antibody according to the invention.

**[0029]** Further disclosed herein is an antibody of the invention for use in a method for the targeting of a cell expressing FLT3. The targeting may include the use of the antibody to deliver a drug or a toxin to the FLT3-expressing cell.

[0030] In a still further aspect, the disclosure encompasses the use of an antibody according to the invention for the detection of a cell expressing FLT3 in a biological sample. For such use, the antibody may be labeled with a detectable moiety, such as a fluorophore, chromophore, immunogenic tag and the like.

[0031] The present invention is also directed to a monoclonal antibody as described herein against FLT3, wherein the

antibody is produced by a transfected producer cell line, such as CHO or Sp2/0.

[0032] In a still further aspect, the invention features a transfected cell line producing an antibody according to the invention

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

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[0033] The invention will be better understood with reference to the detailed description when considered in conjunction with the non-limiting examples and the accompanying drawings.

- Figure 1 shows a schematic representation of the cloning procedure for chimerization of monoclonal antibodies. Boxes represent exons, circle indicate enhancer elements and thin lines UT regions and intron sequences. P, promoter;  $L_1$  and  $L_2$ , leader sequences encoded by two different exons; E, enhancer; V, variable region; D, diversity region; J, joing region; C(1-3) exons of constant region; H, hinge region.
- Figure 2 shows the parental vector containing the VJ region of the mouse light chain and the C region of human κ gene. The region relevant for the fragment exchange is shown enlarged in Figure 2A. The sequence context generated upon insertion of the VJ region of monoclonal antibodies BV10 or 4G8 into the expression vector chimFLT3-light is shown in Figure 2B. The cleavage site for secretory signal peptides is indicated by I; and exon-intron boundaries by [,].
  - Figure 3 shows the original vector containing the human  $\gamma$ I isotype Ig heavy chain. The region relevant for cloning the VDJ fragment is shown enlarged (a). The MIuI-Spel fragment to be exchanged (shown enlarged as b) contains the entire constant region of the human  $\gamma$ 1 heavy chain and two amino acid modifications in the CH2 domain as indicated (Ser<sub>239</sub>-Asp; Iso<sub>332</sub>-GIu). Figure 3B shows the sequence context generated upon insertion of the VDJ region of the heavy chain of monoclonal antibodies BV10 or 4G8 into the heavy chain expression vector chimFLT3-heavy. The cleavage site for secretory signal peptides is indicated by |; and exon-intron boundaries by |, |.
- Figure 4 shows the cell killing effects of the Fc optimized chimeric antibodies chim4G8-SDIE (A) and chimBV10-SDIE (B) respectively and unstimulated human PBMCs against cultured FLT3-expressing human NALM16 leukemia cells in comparison to the unmodified chimeric antibodies chim4G8 and chimBV10. Fig. 4 C shows the cell killing effects of chimeric antibodies directed to NG2 that have been Fc optimized in the same positions as the above antibodies chim4G8-SDIE and chimBV10-SDIE on human SKMel63-melanoma cells. Cytotoxicity was determined using a chromium release assay, duration of the assay and target:effector ratios are indicated.
  - Figure 5 shows the cell killing effect by the optimized anti-FLT3 antibody 4G8-SDIE and unstimulated human PBMCs on AML-blasts in comparison to the unmodified parental mouse antibody.
  - Figure 6 shows an amino acid sequence alignment of the light (A) and heavy (B) chain variable regions of the anti-FLT3 antibody clones 4G8 and BV10.
- Figure 7 shows the binding of mouse, chimeric and optimized 4G8 and BV10 to FLT3. FLT3- and mock-transfected Sp2/0 cells (A) or NALM16 cells (B,C) were incubated with the indicated antibodies and analyzed by indirect immunofluorescence and flow cytometry. Open and shaded histograms in (A) represent staining with isotyp control and the indicated FLT3 antibodies (10 μg/ml), respectively. MFI=mean fluorescence intensity.
  - Figure 8 shows the effect of 4G8SDIEM on FLT3-ligand (FLT3L) binding and proliferation of leukemic cells. (A) NALM16 cells were incubated with 4G8SDIEM or BV10SDIEM at 1 μg/ml in the presence of the indicated concentrations of the recombinant FLT3 ligand and the amount of bound antibody was determined by indirect immunofluorescence and flow cytometry. (B) AML blasts isolated from the peripheral blood of three different patients by density gradient centrifugation were incubated with the indicated concentrations of 4G8SDIEM for 24 hours and proliferation was assessed using a 3[H]-thymidine uptake assay. Bars on the right represent proliferation in the absence of the antibody.
  - Figure 9 shows. ADCC activity of unmodified and SDIEM-modified versions of the FLT3 antibodies 4G8 and BV10. 51[Cr]-labeled NALM16 cells were incubated for 4 hours with PBMCs of a healthy donor (#4) in the presence of the indicated concentrations of the unmodified chimeric ( $\chi$ ) or SDIEM-modified versions of 4G8 and BV10 at a PB-MC:target cell ratio of 50:1. Killing of the target cells was determined using a standard 51 [Cr] release assay. One representative result of 6 independent experiments with PBMCs from different healthy donors is depicted.
  - Figure 10 shows the ADCC activity of 4G8SDIEM against leukemic cells. Cytolytic activity of the PBMCs of three different healthy donors (PBMC #1, #2, #3) against NALM16 cells (A) and of the PBMCs of donor #2 against leukemic blasts of three different patients (AML #1, #2, #7) (B) was determined in a 4 hours and 8 hours 51[Cr] release assay, respectively. In (C) the cytolytic activity after 8 hours against AML blasts #1 and #15 is depicted using autologous PBMCs of the respective patients as effector cells. Filled and open symbols indicate ADCC mediated by 4G8SDIEM and non-binding control antibody 9.2.27SDIE, respectively. Filled bars on the right (NK) indicate NK-activity in the absence of antibody. Note that PBMC #1-3 refer to PBMCs of healthy donors and are not related to AML blasts #1-3. Figure 11 shows antigen shift and FLT3 expression on leukemic cells of different origin (A) NALM16 cells and blasts

from two different AML patients were incubated with the indicated concentrations of 4G8SDIEM. After 48 hours cells were washed, re-incubated with 2  $\mu$ g/ml of 4G8SDIEM and analyzed by indirect immunofluorescence and flow cytometry. FLT3 expression detected on cells preincubated without antibodies was defined as 100%. **(B)** AML blasts from 15 patients were incubated with mouse 4G8 (10  $\mu$ g/ml), washed and analyzed by indirect immune fluorescence and flow cytometry. The amount of bound antibody molecules was determined by comparison with calibrated beads (QIFIKIT). **(C)** The AML blast used in **(B)** were incubated with PE-conjugated 4G8SDIEM or non binding PE conjugated 9.2.27SDIE antibody (10 $\mu$ g/ml) and analyzed by direct immunofluorescence and flow cytometry. SFI=specific fluorescence index. The SFI of four samples was not determined (n.d.) because of high binding of the 9.2.27SDIE control antibody.

Figure 12 shows the expression of FLT3 on normal DCs and bone marrow cells. (A) DCs isolated from the peripheral blood of healthy donors by magnetic cell separation were incubated with mouse 4G8, washed, stained with a labeled secondary antibody, washed again, and incubated with a mixture of differently labeled CD11c- and CD303-antibodies. Cells were then analyzed by flow cytometry. Binding of 4G8 to the CD303+ pDC and the CD11c+ mDC subpopulation is depicted in (B) and (C), respectively. (D,E) Similar to (A-C) normal bone marrow cells isolated by density gradient centrifugation were incubated with mouse 4G8, washed, stained with labeled secondary antibody and a mixture of differently labeled CD34- and CD45-antibodies. Binding of 4G8 to the CD34+CD45low subpopulation is depicted in (E). Shaded histograms represent primary staining with isotype control, open histograms with mouse 4G8. Representative results from one of three experiments with DCs and bone marrow cells from different healthy donors are shown.

Figure 13 shows the cytotoxic activity of 4G8SDIEM against normal cells. (A) Human bone marrow cells from two different healthy donors (black and shaded bars) were incubated with 5  $\mu$ g/ml of 4G8 SDIEM and colony forming units were determined after 12 days of incubation in semi-solid medium. Numbers of CFUs were related to untreated controls. (B) DCs isolated from the PBMCs of healthy donors by magnetic cell separation and NALM16 cells were used as targets for 4G8SDIEM in a 4 hour 51[Cr] release assay (PBMC:target ratio 100:1). One representative experiment of three with DCs and autologous PBMCs from different donors is shown.

Figure 14 shows the *in vitro* effects of 4G8 antibody on a patient's target and effector cells. (A) Patient PBMC were analyzed by FACS for FLT3 expression using the parental mouse 4G8 antibody and isotype control followed by anti-mouse-PE conjugate and doublestaining for CD34. (B, C) Patient PBMC were incubated with chromium labeled FLT3-positive NALM16 cells (B) or patient blasts isolated by CD34+ selection (C). Target cells were pretreated with the indicated concentrations of 4G8-SDIEM or the unmodified, chimeric 4G8 antibody (4G8-ch). Induction of ADCC was determined by chromium release assays at a PBMC:target ratio of 50:1. Note that PBMC and not purified NK cells were utilized.

Figure 15 shows the half life and binding characteristics of 4G8-SDIEM *in vivo*. (A) Serum half life of 4G8-SDIEM was determined by incubating FLT3-expressing NALM16 cells with serum samples obtained at different time points of clinical application. The amount of specifically bound antibody was determined by FACS and compared to binding activity of serum samples containing defined levels of 4G8-SDIEM. ND, not determined. (B) To detect 4G8-SDIEM binding *in vivo*, BM blasts obtained prior to therapy (d0) and 1h after application of the 10mg dose (d5) were incubated with the parental 4G8 mouse antibody, a second non-crossreactive mouse anti-FLT3 antibody (BV10) as indicated, or isotype control (open peaks) at 10μg/ml, followed by a human-adsorbed anti-mouse-PE-conjugate. Complete inhibition of mouse-4G8 but not BV10 binding as determined by FACS indicates saturating binding of 4G8-SDIEM. Figure 16 shows the clinical effects of 4G8-SDIEM. (A, B) The percentages of CD34+ blasts (open cyles) and activated (CD69+) CD56+CD3- NK cells (diamonds) among mononuclear cells in peripheral blood (PB) (A) or bone marrow (BM) (B) were determined by FACS at the indicated times during treatment of overt leukemia. (C) Serum levels of TNF at the indicated times during treatment of overt leukemia were determined by IMMULITE<sup>®</sup> measurement. (D) The percentage of activated NK cells among mononuclear cells in PB (diamonds) and serum levels of TNF (circles) were determined as described above at the indicated times during application of 4G8-SDIEM in complete remission (CR).

## DETAILED DESCRIPTION OF THE INVENTION

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[0034] The terms used herein have, unless explicitly stated otherwise, the following meanings.

[0035] By "ADCC" or "antibody dependent cell-mediated cytotoxicity" as used herein is meant the cell mediated reaction wherein cytotoxic cells that express  $Fc\gamma Rs$  recognize bound antibody on a target cell and subsequently cause lysis of the target cell

[0036] By "ADCP' or "antibody dependent cell-mediated phagocytosis" as used herein is meant the cell-mediated reaction wherein nonspecific cytotoxic cells that express FcγRs recognize bound antibody on a target cell and subsequently cause phagocytosis of the target cell.

[0037] By "amino acid" and "amino acid identity" as used herein is meant one of the 20 naturally occurring amino acids

or any non-natural analogues that may be present at a specific, defined position. Thus "amino acid" as used herein is both naturally occurring and synthetic amino acids. For example, homophenylalanine, citrulline and noreleucine are considered amino acids for the purposes of the invention. "Amino acid" also includes imino acid residues such as proline and hydroxyproline. The side chain may be in either the (R) or the (S) configuration. In a embodiment, the amino acids are in the (S) or L-configuration. If non-naturally occurring side chains are used, non-amino acid substituents may be used, for example to prevent or retard in vivo degradation.

[0038] By "antibody" herein is meant a protein consisting of one or more polypeptides substantially encoded by all or part of the recognized immunoglobulin genes. The recognized immunoglobulin genes, for example in humans, include the kappa ( $\kappa$ ), lambda ( $\lambda$ ), and heavy chain genetic loci, which together comprise the myriad variable region genes, and the constant region genes mu ( $\mu$ ), delta ( $\delta$ ), gamma ( $\gamma$ ), epsilon ( $\epsilon$ ), and alpha ( $\alpha$ ) which encode the lgM, lgD, lgG (lgG1 , lgG2, lgG3, and lgG4), lgE, and lgA (lgA1 and lgA2) isotypes respectively. Antibody herein is meant to include full length antibodies and antibody fragments, and may refer to a natural antibody from any organism, an engineered antibody, or an antibody generated recombinantly for experimental, therapeutic, or other purposes.

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[0039] By "B cell" or "B lymphocyte" as used herein is meant a type of lymphocyte developed in bone marrow that circulates in the blood and lymph, and provides humoral immunity. B cells recognize free antigen molecules and differentiate or mature into plasma cells that secrete immunoglobulin (antibodies) that inactivate the antigens. Memory cells are also generated that make the specific immunoglobulin (antibody) on subsequent encounters with such antigen. B cells are also known as "Beta cells" in the islet of Langerhans.

**[0040]** By "T cell" or "T lymphocyte" as used herein is meant a type of lymphocyte developed in bone marrow that circulates in the blood and the lymph, and provides cellular immunity. T cells comprise a T cell receptor that recognizes cell-bound antigen molecules. T cells can mature into helper T cells that secrete cytokines and activate other cell types or cytotoxic T cells that bind to and destroy other cells.

[0041] By "FLT3" (fms-like tyrosine kinase receptor-3), "FLK2" (fetal liver kinase-2), and "CD135" as used interchangeably herein is meant a cytokine receptor expressed on the surface of hematopoietic progenitor cells. FLT3 is a cell surface marker used to identify certain types of hematopoietic (blood) progenitors in the bone marrow. Specifically, multipotent progenitors (MPP) and common lymphoid progenitors (CLP) express high surface levels of FLT3. The FLT3 receptor is bound by the cytokine Flt3 ligand (Flt3L). FLT3 is a receptor tyrosine kinase type III. When this receptor is bound by Flt3L it forms a dimer (homodimer) which activates second messenger signaling. FLT3 signaling plays an important role in cell survival, proliferation, and differentiation of lymphocytes (B cell and T cell) development. As deregulation of FLT3 signaling can cause proliferative diseases, such as cancer, and in particular leukemia, FLT3 is classified as a proto-oncogene. In fact, internal tandem duplications of FLT3 are the most common mutations associated with acute myelogenous leukemia (AML). The use of FLT3 herein is meant to encompass all known or as yet undiscovered alleles and polymorphic forms of FLT3. The sequence of human FLT3 antigen is provided in SEQ ID NO:65.

[0042] By "CDC" or "complement dependent cytotoxicity" as used herein is meant the reaction wherein one or more complement protein components recognize bound antibody on a target cell and subsequently cause lysis of the target cell.

[0043] By "constant region" of an antibody as defined herein is meant the region of the antibody that is encoded by one of the light or heavy chain immunoglobulin constant region genes.

[0044] By "constant light chain" or "light chain constant region" as used herein is meant the region of an antibody encoded by the kappa  $(C_{\kappa})$  or lambda  $(C_{\lambda})$  light chains The constant light chain typically comprises a single domain, and as defined herein refers to positions 108-214 of  $C_{\kappa}$  or lambda  $C_{\lambda}$ , wherein numbering is according to the EU index. [0045] By "constant heavy chain" or "heavy chain constant region" as used herein is meant the region of an antibody encoded by the mu, delta, gamma, alpha, or epsilon genes to define the antibody's isotype as IgM, IgD, IgG, IgA, or IgE, respectively For full length IgG antibodies, the constant heavy chain, as defined herein, refers to the N-terminus of the CH1 domain to the C-terminus of the CH3 domain, thus comprising positions 118-447, wherein numbering is according to the EU index.

**[0046]** By "effector function" as used herein is meant a biochemical event that results from the interaction of an antibody Fc region with an Fc receptor or ligand. Effector functions include Fc $\gamma$ R-mediated effector functions such as ADCC and ADCP, and complement- mediated effector functions such as CDC.

[0047] By "effector cell" as used herein is meant a cell of the immune system that expresses one or more Fc receptors and mediates one or more effector functions. Effector cells include but are not limited to monocytes, macrophages, neutrophils, dendritic cells, eosinophils, mast cells, platelets, B cells, large granular lymphocytes, Langerhans' cells, natural killer (NK) cells, and T cells and may be from any organism including but not limited to humans, mice, rats rabbits, and monkeys.

**[0048]** By "Fab" or "Fab region" as used herein is meant the polypeptides that comprise the VH, CH1, VH, and CL immunoglobulin domains. Fab may refer to this region in isolation, or this region in the context of a full length antibody or antibody fragment.

**[0049]** By "Fc" or "Fc region", as used herein is meant the polypeptide comprising the constant region of an antibody excluding the first constant region immunoglobulin domain Thus Fc refers to the last two constant region immunoglobulin

domains of IgA, IgD, and IgG, and the last three constant region immunoglobulin domains of IgE and IgM, and the flexible hinge N-terminal to these domains. For IgA and IgM, Fc may include the J chain. For IgG, Fc comprises immunoglobulin domains  $C_{\gamma 2}$  and  $C_{\gamma 3}$  and the hinge between  $C_{\gamma 1}$  and  $C_{\gamma 2}$ . Although the boundaries of the Fc region may vary, the human IgG heavy chain Fc region is usually defined to comprise residues C226 or P230 to its carboxyl-terminus, wherein the numbering is according to the EU index as in Kabat. Fc may refer to this region in isolation, or this region in the context of an Fc polypeptide, for example an antibody.

**[0050]** By "Fc polypeptide" as used herein is meant a polypeptide that comprises all or part of an Fc region Fc polypeptides include antibodies Fc fusions, isolated Fcs, and Fc fragments.

[0051] By "Fc gamma receptor" or "Fc $\gamma$ R" as used herein is meant any member of the family of proteins that bind the IgG antibody Fc region and are substantially encoded by the Fc $\gamma$ R genes. In humans this family includes but is not limited to Fc $\gamma$ RI (CD64), including isoforms Fc $\gamma$ RIa, Fc $\gamma$ RIb, and Fc $\gamma$ RIb; Fc $\gamma$ RII (CD32), including isoforms Fc $\gamma$ RIIa (including allotypes H131 and R131), Fc $\gamma$ RIIb (including Fc $\gamma$ RIIb-1 and Fc $\gamma$ RIIb-2), and Fc $\gamma$ RIIc; and Fc $\gamma$ RIII (CD16), including isoforms Fc $\gamma$ RIIIa (including allotypes V158 and F158) and Fc $\gamma$ RIIIb (including allotypes Fc $\gamma$ RIIIb-NA1 and Fc $\gamma$ RIIIb-NA2) (Jefferis et al., 2002, Immunol Lett 82:57-65), as well as any undiscovered human Fc $\gamma$ R or Fc $\gamma$ R isoforms or allotypes. Mouse Fc $\gamma$ Rs include but are not limited to Fc $\gamma$ RI (CD64), Fc $\gamma$ RII (CD32), Fc $\gamma$ RIII (CD 16), and Fc $\gamma$ RIII-2 (CD 16-2), as well as any undiscovered mouse Fc $\gamma$ Rs or Fc $\gamma$ R isoforms or allotypes. An Fc $\gamma$ R may be from any organism, including but not limited to humans, mice, rats, rabbits, and monkeys.

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**[0052]** By "Fc ligand" or "Fc receptor" as used herein is meant a molecule, e.g., a polypeptide, from any organism that binds to the Fc region of an antibody to form an Fc- ligand complex. Fc ligands include but are not limited to Fc $\gamma$ Rs, FcRn, C1q, C3, mannan binding lectin, mannose receptor, staphylococcal protein A, streptococcal protein G, and viral Fc $\gamma$ R. Fc ligands also include Fc receptor homologs (FcRH), which are a family of Fc receptors that are homologous to the Fc $\gamma$ Rs (Davis et al., 2002, Immunological Reviews 190:123-136). Fc ligands may include undiscovered molecules that bind Fc.

[0053] By "IgG" as used herein is meant a polypeptide belonging to the class of antibodies that are substantially encoded by a recognized immunoglobulin gamma gene. In humans this class comprises IgG1, IgG2, IgG3, and IgG4. In mice this class comprises IgG1, IgG2a, IgG2b, IgG3.

**[0054]** By "immunoglobulin (Ig)" herein is meant a protein consisting of one or more polypeptides substantially encoded by immunoglobulin genes. Immunoglobulins include but are not limited to antibodies. Immunoglobulins may have a number of structural forms, including but not limited to full length antibodies, antibody fragments, and individual immunoglobulin domains.

**[0055]** By "immunoglobulin (Ig) domain" herein is meant a region of an immunoglobulin that exists as a distinct structural entity as ascertained by one skilled in the art of protein structure. Ig domains typically have a characteristic  $\beta$ -sandwich folding topology. The known Ig domains in the IgG class of antibodies are VH, C $\gamma$ 1, C $\gamma$ 2, C $\gamma$ 3, VL, and CL.

**[0056]** By "amino acid modification" herein is meant an amino acid substitution, insertion, and/or deletion in a polypeptide sequence.

**[0057]** By "amino acid substitution" or "substitution" herein is meant the replacement of an amino acid at a particular position in a parent polypeptide sequence with another amino acid For example, the substitution I332E refers to a variant polypeptide, in this case a constant heavy chain variant, in which the isoleucine at position 332 is replaced with glutamic acid The wildtype residue may or may not be designated. For the preceding example, 332E indicates the substitution of position 332 with a glutamic acid. For the purposes herein, multiple substitutions are typically separated by a slash. For example, 239D/332E refers to a double variant comprising the substitutions 239D and 332E.

[0058] By "amino acid insertion" or "insertion" as used herein is meant the addition of an amino acid at a particular position in a parent polypeptide sequence. For example, insert -236G designates an insertion of glycine at position 236. [0059] By "amino acid deletion" or "deletion" as used herein is meant the removal of an amino acid at a particular position in a parent polypeptide sequence For example, G236- designates the deletion of glycine at position 236.

[0060] By "parent polypeptide", "parent protein", "precursor polypeptide", or "precursor protein" as interchangeably used herein is meant a polypeptide that is subsequently modified to generate a variant, e.g., any polypeptide which serves as a template and/or basis for at least one amino acid modification described herein. The parent polypeptide may be a naturally occurring polypeptide, or a variant or engineered version of a naturally occurring polypeptide Parent polypeptide may refer to the polypeptide itself, compositions that comprise the parent polypeptide, or the amino acid sequence that encodes it. Accordingly, by "parent antibody" or "parent immunoglobulin" as used herein is meant an antibody or immunoglobulin that is modified to generate a variant (e.g., a parent antibody may include, but is not limited to, a protein comprising the constant region of a naturally occurring lg).

**[0061]** By "protein" or "polypeptide" as used herein is meant at least two covalently attached amino acids, which includes proteins, polypeptides, oligopeptides and peptides The protein may be made up of naturally occurring amino acids and peptide bonds, or synthetic peptidomimetic structures, i. e. "analogs", such as peptoids.

[0062] By "position" as used herein is meant a location in the sequence of a protein. Positions may be numbered sequentially, or according to an established format, for example the EU index as in Kabat (Kabat et al., 1983). If not

indicated otherwise, all positions mentioned herein are numbered according to the EU index. Corresponding positions are determined as outlined herein, generally through alignment with other parent sequences.

**[0063]** By "residue" as used herein is meant a position in a protein and its associated ammo acid identity For example, Serine 239 (also referred to as Ser239 and S239) is a residue at position 239 in the human antibody IgG1.

[0064] By "target antigen" or "target" or "antigen" as used herein is meant the molecule that is bound specifically by the variable region of a given antibody. A target antigen may be a protein, carbohydrate, lipid, or other chemical compound.

[0065] By "target cell" as used herein is meant a cell that expresses a target antigen.

**[0066]** By "variable region" as used herein is meant the region of an immunoglobulin that comprises one or more lg domains substantially encoded by any of the  $V_K$ ,  $V_\lambda$ , and/or VH genes that make up the kappa, lambda, and heavy chain immunoglobulin genetic loci respectively.

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[0067] By "variant protein", "protein variant", "variant polypeptide", or "polypeptide variant" as used herein is meant a polypeptide sequence that differs from that of a parent polypeptide sequence by virtue of at least one amino acid modification. Variant polypeptide may refer to the polypeptide itself, a composition comprising the polypeptide, or the amino sequence that encodes it. In one embodiment, the variant polypeptide has at least one amino acid modification compared to the parent polypeptide, e g from about one to about ten amino acid modifications, e.g., from about one to about five amino acid modifications compared to the parent. The variant polypeptide sequence herein may possess at least about 80% homology with a parent polypeptide sequence, e g , at least about 90% homology, at least about 95% homology, etc.. Accordingly, by "variant antibody" or "antibody variant" as used herein is meant an antibody sequence that differs from that of a parent antibody sequence by virtue of at least one amino acid modification. Variant antibody or antibody variant may refer to the antibody polypeptide itself, compositions comprising the antibody variant polypeptide, or the amino acid sequence that encodes it. Accordingly, by "constant heavy chain variant" or "constant light chain variant" or "Fc variant" as used herein is meant a constant heavy chain, constant light chain, or Fc region polypeptide or sequence, respectively, that differs in sequence from that of a parent sequence by virtue of at least one amino acid modification.

**[0068]** By "wild type" or "WT" herein is meant an amino acid sequence or a nucleotide sequence that is found in nature, including allelic variations. A WT protein, polypeptide, antibody, immunoglobulin, lgG, etc., has an amino acid sequence or a nucleotide sequence that has not been intentionally modified.

**[0069]** For all immunoglobulin heavy chain constant region positions discussed in the present invention, numbering is according to the EU index as in Kabat (Kabat et al., 1991, Sequences of Proteins of Immunological Interest, 5th Ed, United States Public Health Service, National Institutes of Health, Bethesda). The "EU index as in Kabat" refers to the residue numbering of the human IgG1 EU antibody, as described in Edelman et al., 1969, Biochemistry 63 78-85).

**[0070]** "Antigens" are macromolecules capable of generating an antibody response in an animal and being recognized by the resulting antibody. Both antigens and haptens comprise at least one antigenic determinant or "epitope", which is the region of the antigen or hapten which binds to the antibody. Typically, the epitope on a hapten is the entire molecule.

**[0071]** The term "sample", as used herein, refers to an aliquot of material, frequently biological matrices, an aqueous solution or an aqueous suspension derived from biological material. Samples to be assayed for the presence of an analyte by the methods of the present invention include, for example, cells, tissues, homogenates, lysates, extracts, and purified or partially purified proteins and other biological molecules and mixtures thereof.

[0072] Non-limiting examples of samples typically used in the methods of the invention include human and animal body fluids such as whole blood, serum, plasma, cerebrospinal fluid, sputum, bronchial washing, bronchial aspirates, urine, semen, lymph fluids and various external secretions of the respiratory, intestinal and genitourinary tracts, tears, saliva, milk, white blood cells, myelomas and the like; biological fluids such as cell culture supernatants; tissue specimens which may or may not be fixed; and cell specimens which may or may not be fixed. The samples used in the methods of the present invention will vary based on the assay format and the nature of the tissues, cells, extracts or other materials, especially biological materials, to be assayed. Methods for preparing protein extracts from cells or samples are well known in the art and can be readily adapted in order to obtain a sample that is compatible with the methods of the invention. [0073] "Specifically binding" and "specific binding", as used herein, mean that an antibody binds to its target (analyte) based on recognition of an epitope on the target molecule. The antibody preferably recognizes and binds to the target molecule with a higher binding affinity than it binds to other compounds that may be present. In various embodiments of the invention, "specifically binding" may mean that an antibody binds to a target molecule with at least about a 10<sup>6</sup>-fold greater affinity, preferably at least about a 10<sup>7</sup>-fold greater affinity, more preferably at least about a 10<sup>8</sup>-fold greater affinity, and most preferably at least about a 109-fold greater affinity than it binds molecules unrelated to the target molecule. Typically, specific binding refers to affinities in the range of about 10<sup>6</sup>-fold to about 10<sup>9</sup>-fold greater than nonspecific binding. In some embodiments, specific binding may be characterized by affinities greater than 109-fold over non-specific binding. The binding affinity may be determined by any suitable method. Such methods are known in the art and include, without limitation, surface plasmon resonance and isothermal titration calorimetry. In a specific embodiment, the antibody uniquely recognizes and binds to the target analyte.

[0074] The term "monoclonal antibody", as used herein, refers to an antibody obtained from a population of substantially

homogeneous antibodies, i.e., the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to conventional (polyclonal) antibody preparations which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody is directed against a single determinant on the antigen. In addition to their specificity, the monoclonal antibodies are advantageous in that they may be synthesized by hybridoma culture, uncontaminated by other immunoglobulins. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. The monoclonal antibodies can include "chimeric" antibodies (U.S. Patent No. 4,816,567; and Morrison et al. (1984) Proc. Natl. Acad. Sci. USA, 81: 6851-6855) and humanized antibodies (Jones et al. (1986) Nature, 321: 522-525; Reichmann et al. (1988) Nature, 332: 323-329; Presta (1992) Curr. Op. Struct. Biol. 2: 593-596).

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[0075] Monoclonal antibodies may be obtained by any technique that provides for the production of antibody molecules by continuous cell lines in culture. These include, but are not limited to the hybridoma technique of Koehler and Milstein (1975), Nature, 256: 495-7; and U. S. Patent No. 4,376,110), the human B-cell hybridoma technique (Kosbor, et al. (1983), Immunology Today, 4: 72; Cote, et al. (1983), Proc. Natl. Acad. Sci. USA, 80: 2026-30), and the EBV-hybridama technique (Cole, et al. (1985), in Monoclonal Antibodies And Cancer Therapy, Alan R. Liss, Inc., New York, pp. 77-96). The preparation of monoclonal antibodies specific for a target compound is also described in Harlow and Lane, eds. (1988) Antibodies - A Laboratory Manual. Cold Spring Harbor Laboratory, Chapter 6. Such antibodies may be of any immunoglobulin class including IgG, IgM, IgE, IgA, IgD and any subclass thereof. The hybridoma producing the mAb may be cultivated *in vitro* or *in vivo*. Production of high titers of mAbs *in vivo* makes this a very effective method of production.

**[0076]** "Polyclonal antibodies" are heterogeneous populations of antibody molecules derived from the sera of animals immunized with an antigen, or an antigenic functional derivative thereof. For the production of polyclonal antibodies, host animals such as rabbits, mice and goats, may be immunized by injection with an antigen or hapten-carrier conjugate optionally supplemented with adjuvants.

[0077] Techniques described for the production of single chain antibodies (U. S. Patent No. 4,946,778; Bird (1988), Science 242: 423-26; Huston, et al. (1988), Proc. Natl. Acad. Sci. USA, 85: 5879-83; and Ward, et al. (1989), Nature, 334: 544-46) can be adapted to produce gene-single chain antibodies. Single chain antibodies are typically formed by linking the heavy and light chain fragments of the Fv region via an amino acid bridge, resulting in a single chain polypeptide.

**[0078]** Antibody fragments that recognize specific epitopes may be generated by known techniques. For example, such fragments include but are not limited to: the  $F(ab')_2$  fragments that can be produced by pepsin digestion of the antibody molecule and the Fab fragments that can be generated by reducing the disulfide bridges of the  $F(ab')_2$  fragments. Alternatively, Fab expression libraries may be constructed (Huse, et al. (1989), Science, 246: 1275-1281) to allow rapid and easy identification of monoclonal Fab fragments with the desired specificity.

[0079] The terms "polynucleotide" and "nucleic acid (molecule)" are used interchangeably herein to refer to polymeric forms of nucleotides of any length, including naturally occurring and non-naturally occurring nucleic acids. The polynucleotides may contain deoxyribonucleotides, ribonucleotides and/or their analogs. Methods for selection and preparation of nucleic acids are diverse and well described in standard biomolecular protocols. A typical way would be preparative PCR and chromatographic purification starting from existing template DNAs or stepwise synthesis of artificial nucleic acids. Typically, the nucleic acid molecules referred to herein are DNA molecules.

[0080] The term "at least one" as used herein in connection with amino acid substitutions relates to at least 1, but preferably at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 20, 25, 30, 35, 40, 45, 50 or a plurality of amino acid substitutions.

[0081] The terms "contacting" or "incubating", as used interchangeably herein, refer generally to providing access of one component, reagent, analyte or sample to another.

**[0082]** The term "detecting" as used herein refers to any method of verifying the presence of a given molecule. The techniques used to accomplish this may include, but are not limited to, immunoassays, such as ELISA and Immuno PCR (IPCR).

[0083] Hematological malignancies are cancer types of cancer that affect blood, bone marrow, and lymph nodes. Hematological malignancies may derive from either of the two major blood cell lineages: myeloid and lymphoid cell lines. The myeloid cell line normally produces granulocytes, erythrocytes, thrombocytes, macrophages and mast cells; the lymphoid cell line produces B, T, NK and plasma cells. Lymphomas, lymphocytic leukemias, and myeloma are from the lymphoid line, while acute and chronic myelogenous leukemia, myelodysplastic syndromes and myeloproliferative diseases are myeloid in origin.

[0084] Leukemia is a cancer of the blood or bone marrow and is characterized by an abnormal proliferation of blood cells, usually white blood cells (leukocytes). Leukemia is clinically and pathologically subdivided into a variety of large groups. Acute leukemia is characterized by the rapid increase of immature blood cells. This crowding makes the bone marrow unable to produce healthy blood cells. Immediate treatment is required in acute leukemia due to the rapid

progression and accumulation of the malignant cells, which then spill over into the bloodstream and spread to other organs of the body. Acute forms of leukemia are the most common forms of leukemia in children. Chronic leukemia is distinguished by the excessive build up of relatively mature, but still abnormal, white blood cells. Typically taking months or years to progress, the cells are produced at a much higher rate than normal cells, resulting in many abnormal white blood cells in the blood. Whereas acute leukemia must be treated immediately, chronic forms are sometimes monitored for some time before treatment to ensure maximum effectiveness of therapy. Chronic leukemia mostly occurs in older people, but can theoretically occur in any age group. Additionally, the diseases are subdivided according to which kind of blood cell is affected. This split divides leukemias into lymphoblastic or lymphocytic leukemias and myeloid or myelogenous leukemias: In lymphoblastic or lymphocytic leukemias, the cancerous change takes place in a type of marrow cell that normally goes on to form lymphocyte, which are infection-fighting immune system cells. Most lymphocytic leukemias involve a specific subtype of lymphocyte, the B cell. In myeloid or myelogenous leukemias, the cancerous change takes place in a type of marrow cell that normally goes on to form red blood cells, some other types of white cells, and platelets.

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**[0085]** Acute myeloid leukemia (AML), also known as acute myelogenous leukemia, is a cancer of the myeloid line of blood cells, characterized by the rapid growth of abnormal white blood cells that accumulate in the bone marrow and interfere with the production of normal blood cells. AML is the most common acute leukemia affecting adults, and its incidence increases with age. Although AML is a relatively rare disease, accounting for approximately 1.2% of cancer deaths in the United States, its incidence is expected to increase as the population ages.

[0086] The symptoms of AML are caused by replacement of normal bone marrow with leukemic cells, which causes a drop in red blood cells, platelets, and normal white blood cells. These symptoms include fatigue, shortness of breath, easy bruising and bleeding, and increased risk of infection. Although several risk factors for AML have been identified, the specific cause of the disease remains unclear. As an acute leukemia, AML progresses rapidly and is typically fatal within weeks or months if left untreated.

[0087] AML has several subtypes; treatment and prognosis varies among subtypes. Five-year survival varies from 15-70%, and relapse rate varies from 78-33%, depending on subtype.

**[0088]** Monoclonal antibodies are a class of therapeutic proteins that may be used to treat cell-proliferative diseases and disorders, in particular those affecting the hematopoietic system. A number of favorable properties of antibodies, including but not limited to specificity for target, ability to mediate immune effector mechanisms, and long half-life in serum, make antibodies powerful therapeutics. The present invention describes antibodies against the proto-oncogene FLT3.

**[0089]** FLT3 has been found to play a significant role in the onset and progression of leukemias, in particular AML, and first trials with FLT3 inhibitors in AML patients have shown promising results. However, there still exists the need for anti-FLT3 antibodies that are useful in the treatment of leukemias, such as AML.

[0090] The clinical success of antibodies directed against FLT3 depends on their potential mechanism(s) of action. There are a number of possible mechanisms by which antibodies mediate cellular effects, including anti-proliferation via blockage of needed growth pathways, intracellular signaling leading to apoptosis, enhanced down regulation and/or turnover of receptors, complement-dependent cytotoxicity (CDC), antibody-dependent cell- mediated cytotoxicity (ADCC), antibody-dependent cell-mediated phagocytosis (ADCP) and promotion of an adaptive immune response (Cragg et al., 1999, Curr Opin Immunol 11 541- 547, Glennie ef al., 2000, Immunol Today 21 403-410). Antibody efficacy may be due to a combination of these mechanisms, and their relative importance in clinical therapy for oncology appears to be cancer dependent.

[0091] The importance of Fc $\gamma$ R-mediated effector functions for the activity of some antibodies has been demonstrated in mice (Clynes et al , 1998, Proc Natl Acad Sci U S A 95 652-656, Clynes et al , 2000, Nat Med 6 443-446,), and from observed correlations between clinical efficacy in humans and their allotype of high (V158) or low (F158) affinity polymorphic forms of Fc $\gamma$ RIIIa (Cartron et al , 2002, Blood 99 754-758, Weng & Levy, 2003, Journal of Clinical Oncology, 21 3940-3947). Together these data suggest that an antibody that is optimized for binding to certain Fc $\gamma$ Rs may better mediate effector functions, and thereby destroy target cells more effectively in patients Thus a promising means for enhancing the anti-tumor potency of antibodies is via enhancement of their ability to mediate cytotoxic effector functions such as ADCC, ADCP, and CDC Additionally, antibodies can mediate anti-tumor mechanism via growth inhibitory or apoptotic signaling that may occur when an antibody binds to its target on tumor cells. Such signaling may be potentiated when antibodies are presented to tumor cells bound to immune cells via Fc $\gamma$ R. Therefore increased affinity of antibodies to Fc $\gamma$ Rs may result in enhanced antiproliferative effects.

**[0092]** Some success has been achieved at modifying antibodies with selectively enhanced binding to  $Fc\gamma Rs$  to provide enhanced effector function. Antibody engineering for optimized effector function has been achieved using amino acid modifications (see for example US patent application US 2004-0132101 or US patent application 2006-0024298.

**[0093]** Unfortunately, it is not known a priori which mechanisms of action may be optimal for a given target antigen. Furthermore, it is not known which antibodies may be capable of mediating a given mechanism of action against a target cell. In some cases a lack of antibody activity, either Fv-mediated or Fc-mediated, may be due to the targeting of an

epitope on the target antigen that is poor for mediating such activity. In other cases, the targeted epitope may be amenable to a desired Fv-mediated or Fc-mediated activity, yet the affinity (affinity of the Fv region for antigen or affinity of the Fc region for Fc receptors) may be insufficient. Towards addressing this problem, the present invention describes modifications to anti-FLT3 antibodies that provide Fc-mediated activities, for example de novo generated or optimized Fc-mediated activity.

[0094] Antibodies are immunological proteins that bind a specific antigen. In most mammals, including humans and mice, antibodies are constructed from paired heavy and light polypeptide chains. The light and heavy chain variable regions show significant sequence diversity between antibodies, and are responsible for binding the target antigen. Each chain is made up of individual immunoglobulin (lg) domains, and thus the generic term immunoglobulin is used for such proteins.

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[0095] Natural antibody structural units typically comprise a tetramer. Each tetramer is typically composed of two identical pairs of polypeptide chains, each pair having one "light" chain (typically having a molecular weight of about 25 kDa) and one "heavy" chain (typically having a molecular weight of about 50-70 kDa). Each of the light and heavy chains are made up of two distinct regions, referred to as the variable and constant regions. For the IgG class of immunoglobulins, the heavy chain is composed of four immunoglobulin domains linked from N- to C-terminus in the order VH-CH1-CH2-CH3, referring to the heavy chain variable domain, heavy chain constant domain 1, heavy chain constant domain 2, and heavy chain constant domain 3 respectively (also referred to as VH-Cγ1-Cγ2-Cγ3, referring to the heavy chain variable domain, constant gamma 1 domain, constant gamma 2 domain, and constant gamma 3 domain respectively). The IgG light chain is composed of two immunoglobulin domains linked from N- to C-terminus in the order VL-CL, referring to the light chain variable domain and the light chain constant domain, respectively. The constant regions show less sequence diversity, and are responsible for binding a number of natural proteins to elicit important biochemical events. [0096] The variable region of an antibody contains the antigen binding determinants of the molecule, and thus determines the specificity of an antibody for its target antigen The variable region is so named because it is the most distinct in sequence from other antibodies within the same class In the variable region, three loops are gathered for each of the V domains of the heavy chain and light chain to form an antigen-binding site Each of the loops is referred to as a complementarity-determining region (hereinafter referred to as a "CDR"), in which the variation in the amino acid sequence is most significant There are 6 CDRs total, three each per heavy and light chain, designated  $V_H$  CDR1,  $V_H$  CDR2,  $V_H$ CDR3, V<sub>I</sub> CDR1, V<sub>I</sub> CDR2, and V<sub>I</sub> CDR3. The variable region outside of the CDRs is referred to as the framework (FR) region Although not as diverse as the CDRs, sequence variability does occur in the FR region between different antibodies Overall, this characteristic architecture of antibodies provides a stable scaffold (the FR region) upon which substantial antigen binding diversity (the CDRs) can be explored by the immune system to obtain specificity for a broad array of antigens. A number of high-resolution structures are available for a variety of variable region fragments from different organisms, some unbound and some in complex with antigen. Sequence and structural features of antibody variable regions are disclosed, for example, in Morea et al., 1997, Biophys Chem 68:9-16; Morea et al., 2000, Methods 20:267-279, and the conserved features of antibodies are disclosed, for example, in Maynard et al., 2000, Annu Rev Biomed

[0097] Antibodies are grouped into classes, also referred to as isotypes, as determined genetically by the constant region. Human constant light chains are classified as kappa ( $C\kappa$ ) and lambda ( $C\lambda$ ) light chains. Human heavy chains are classified as mu, delta, gamma, alpha, or epsilon, and define the antibody's isotype as IgM, IgD, IgG, IgA, and IgE, respectively. The IgG class is the most commonly used for therapeutic purposes.

[0098] By "IgG" as used herein is meant a polypeptide belonging to the class of antibodies that are substantially encoded by a recognized immunoglobulin gamma gene. In humans this class comprises subclasses IgG1, IgG2, IgG3, and IgG4. In mice this class comprises subclasses IgG1, IgG2a, IgG2b and IgG3. IgM has subclasses, including, but not limited to, IgM1 and IgM2. IgA has several subclasses, including but not limited to IgA1 and IgA2. Thus, "isotype" as used herein is meant any of the classes or subclasses of immunoglobulins defined by the chemical and antigenic characteristics of their constant regions. The known human immunoglobulin isotypes are IgG1, IgG2, IgG3, IgG4, IgA1, IgA2, IgM1, IgM2, IgD, and IgE.

[0099] Also useful for the invention may be IgGs that are hybrid compositions of the natural human IgG isotypes. Effector functions such as ADCC, ADCP, CDC, and serum half-life differ significantly between the different classes of antibodies, including for example human IgG1, IgG2, IgG3, IgG4, IgA1, IgA2, IgD, IgE, IgG, and IgM (Michaelsen et al., 1992, Molecular Immunology, 29(3): 319-326). A number of studies have explored IgG1, IgG2, IgG3, and IgG4 variants in order to investigate the determinants of the effector function differences between them. See for example Canfield & Morrison, 1991, J. Exp. Med. 173: 1483-1491; Chappel et al., 1991, Proc. Natl. Acad. Sci. USA 88(20): 9036-9040; Chappel et al., 1993, Journal of Biological Chemistry 268:25124- 25131; Tao et al., 1991, J. Exp. Med. 173: 1025-1028; Tao et al., 1993, J. Exp. Med. 178: 661-667; Redpath et al., 1998, Human Immunology, 59, 720-727.

**[0100]** As described in US patent application 2006-0134105entitled "IgG Immunoglobulin Variants with Optimized Effector Function", it is possible to engineer amino acid modifications in an antibody that comprise constant regions from other immunoglobulin classes. Such engineered hybrid IgG compositions may provide improved effector function prop-

erties, including improved ADCC, phagocytosis, CDC, and serum half-life.

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[0101] As is well known in the art, immunoglobulin polymorphisms exist in the human population. Gm polymorphism is determined by the IGHG1, IGHG2 and IGHG3 genes which have alleles encoding allotypic antigenic determinants referred to as G1m, G2m, and G3m allotypes for markers of the human IgG1, IgG2 and IgG3 molecules (no Gm allotypes have been found on the gamma 4 chain). Markers may be classified into "allotypes" and "isoallotypes". These are distinguished on different serological bases dependent upon the strong sequence homologies between isotypes. Allotypes are antigenic determinants specified by allelic forms of the Ig genes. Allotypes represent slight differences in the amino acid sequences of heavy or light chains of different individuals. Even a single amino acid difference can give rise to an allotypic determinant, although in many cases there are several amino acid substitutions that have occurred. Allotypes are sequence differences between alleles of a subclass whereby the antisera recognize only the allelic differences An isoallotype is an allele in one isotype which produces an epitope which is shared with a non-polymorphic homologous region of one or more other isotypes and because of this the antisera will react with both the relevant allotypes and the relevant homologous isotypes (Clark, 1997, IgG effector mechanisms, Chem. Immunol. 65-88-110, Gorman & Clark, 1990, Semin. Immunol. 2(6):457-66).

[0102] Allelic forms of human immunoglobulins have been well-characterized. Additionally, other polymorphisms have been characterized (Kim, et al., 2001, J. Mol. Evol. 54 1-9) At present, 18 Gm allotypes are known: G1m (1, 2, 3, 17) or G1m (a, x, f, z), G2m (23) or G2m (n), G3m (5, 6, 10, 11, 13, 14, 15, 16, 21, 24, 26, 27, 28) or G3m (b1, c3, b5, b0, b3, b4, s, t, g1, c5, u, v, g5) (Lefranc, et al, The human IgG subclasses: molecular analysis of structure, function and regulation Pergamon, Oxford, pp 43-78 (1990), Lefranc, G et al., 1979, Hum. Genet.: 50, 199-21 1). Allotypes that are inherited in fixed combinations are called Gm haplotypes. The antibodies of the present invention may be substantially encoded by any allotype, isoallotype, or haplotype of any immunoglobulin gene Antibodies of the present invention may be substantially encoded by genes from any organism, e.g., mammals, including but not limited to humans, rodents including but not limited to mice and rats, lagomorpha including but not limited to rabbits and hares, camelidae including but not limited to camels, llamas, and dromedaries, and non-human primates, including but not limited to Prosimians, Platyrrhini (New World monkeys), Cercopithecoidea (Old World monkeys), and Hominoidea including the Gibbons and Lesser and Great Apes.

**[0103]** In one embodiment, the antibodies of the present invention are substantially human. The antibodies of the present invention may be substantially encoded by immunoglobulin genes belonging to any of the antibody classes. The antibodies of the present invention comprise sequences belonging to the IgG class of antibodies, including human subclasses IgG1, IgG2, IgG3, and IgG4. The antibodies of the present invention may comprise more than one protein chain. That is, the present invention may find use in an antibody that is a monomer or an oligomer, including a homoor hetero-oligomer.

[0104] The antibodies of the invention are based on human IgG sequences, and thus human IgG sequences are used as the "base" sequences against which other sequences are compared, including but not limited to sequences from other organisms, for example rodent and primate sequences, as well as sequences from other immunoglobulin classes such as IgA, IgE, IgD, IgM, and the like. It is contemplated that, although the antibodies of the present invention are engineered in the context of one parent antibody, the variants may be engineered in or "transferred" to the context of another, second parent antibody. This is done by determining the "equivalent" or "corresponding" residues and substitutions between the first and second antibodies, typically based on sequence or structural homology between the sequences of the two antibodies. In order to establish homology, the amino acid sequence of a first antibody outlined herein is directly compared to the sequence of a second antibody. After aligning the sequences, using one or more of the homology alignment programs known in the art (for example using conserved residues as between species), allowing for necessary insertions and deletions in order to maintain alignment (i.e., avoiding the elimination of conserved residues through arbitrary deletion and insertion), the residues equivalent to particular amino acids in the primary sequence of the first antibody are defined. Alignment of conserved residues may conserve 100% of such residues. However, alignment of greater than 75% or as little as 50% of conserved residues is also adequate to define equivalent residues Equivalent residues may also be defined by determining structural homology between a first and second antibody that is at the level of tertiary structure for antibodies whose structures have been determined. In this case, equivalent residues are defined as those for which the atomic coordinates of two or more of the main chain atoms of a particular amino acid residue of the parent or precursor (N on N, CA on CA, C on C and O on O) are within 0.13 nm, e.g., 0.1 nm, after alignment. Alignment is achieved after the best model has been oriented and positioned to give the maximum overlap of atomic coordinates of non-hydrogen protein atoms of the proteins Regardless of how equivalent or corresponding residues are determined, and regardless of the identity of the parent antibody in which the antibodies are made, what is meant to be conveyed is that the antibodies discovered by the present invention may be engineered into any second parent antibody that has significant sequence or structural homology with the antibody Thus for example, if a variant antibody is generated wherein the parent antibody is human IgG1, by using the methods described above or other methods for determining equivalent residues, the variant antibody may be engineered in a human IgG2 parent antibody, a human IgA parent antibody, a mouse IgG2a or IgG2b parent antibody, and the like Again, as described above, the context of the parent

antibody does not affect the ability to transfer the antibodies of the present invention to other parent antibodies For example, the variant antibodies that are engineered in a human IgG1 antibody that targets one antigen epitope may be transferred into a human IgG2 antibody that targets a different antigen epitope, and so forth.

[0105] In the IgG class of immunoglobulins, there are several immunoglobulin domains in the heavy chain. By "immunoglobulin (Ig) domain" herein is meant a region of an immunoglobulin having a distinct tertiary structure. Of interest in the present invention are the domains of the constant heavy chain, including, the constant heavy (CH) domains and the hinge. In the context of IgG antibodies, the IgG isotypes each have three CH regions: "CH1" refers to positions 118-220, "CH2" refers to positions 237-340, and "CH3" refers to positions 341 -447 according to the EU index as in Kabat. By "hinge" or "hinge region" or "antibody hinge region" or "immunoglobulin hinge region" herein is meant the flexible polypeptide comprising the amino acids between the first and second constant domains of an antibody. Structurally, the IgG CH1 domain ends at EU position 220, and the IgG CH2 domain begins at residue EU position 237. Thus for IgG the hinge is herein defined to include positions 221 (D221 in IgG1) to 236 (G236 in IgG1), wherein the numbering is according to the EU index as in Kabat. In some embodiments, for example in the context of an Fc region, the lower hinge is included, with the "lower hinge" generally referring to positions 226 or 230. The constant heavy chain, as defined herein, refers to the N-terminus of the CH1 domain to the C-terminus of the CH3 domain, thus comprising positions 118-447, wherein numbering is according to the EU index. The constant light chain comprises a single domain, and as defined herein refers to positions 108-214 of  $C_K$  or  $C_A$ , wherein numbering is according to the EU index.

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**[0106]** Specifically included within the definition of "antibody" are full-length antibodies. By "full length antibody" herein is meant the structure that constitutes the natural biological form of an antibody, including variable and constant regions. For example, in most mammals, including humans and mice, the full length antibody of the lgG class is a tetramer and consists of two identical pairs of two immunoglobulin chains, each pair having one light and one heavy chain, each light chain comprising immunoglobulin domains VL and CL, and each heavy chain comprising immunoglobulin domains VH, CH1 ( $C\gamma1$ ), CH2 ( $C\gamma2$ ), and CH3 ( $C\gamma3$ ). In some mammals, for example in camels and llamas, L0 antibodies may consist of only two heavy chains, each heavy chain comprising a variable domain attached to the Fc region.

[0107] Alternatively, the antibodies can be a variety of structures, including, but not limited to antibody fragments. Antibody fragments include but are not limited to bispecific antibodies, minibodies, domain antibodies, synthetic antibodies, antibody mimetics, chimeric antibodies, antibody fusions (sometimes referred to as "antibody conjugates"), and fragments of each, respectively. Specific antibody fragments include, but are not limited to, (i) the Fab fragment consisting of VL, VH, CL and CH1 domains, (ii) the Fd fragment consisting of the VH and CH1 domains, (iii) the Fv fragment consisting of the VL and VH domains of a single antibody; (iv) the dAb fragment, which consists of a single variable region, (v) isolated CDR regions, (vi) F(ab')<sub>2</sub> fragments, a bivalent fragment comprising two linked Fab fragments (vii) single chain Fv molecules (scFv), wherein a VH domain and a VL domain are linked by a peptide linker which allows the two domains to associate to form an antigen binding site (viii) bispecific single chain Fv dimers and (ix) "diabodies" or "triabodies", multivalent or multispecific fragments constructed by gene fusion. The antibody fragments may be modified. For example, the molecules may be stabilized by the incorporation of disulfide bridges linking the VH and VL domains. Examples of antibody formats and architectures are described in Holliger & Hudson, 2006, Nature Biotechnology 23(9): 1126-1136, and Carter 2006, Nature Reviews Immunology 6:343-357.

**[0108]** Antibodies of the invention may include multispecific antibodies, notably bispecific antibodies, also sometimes referred to as "diabodies". These are antibodies that bind to two (or more) different antigens. Diabodies can be manufactured in a variety of ways known in the art, e.g., prepared chemically or from hybrid hybridomas. In one embodiment, the antibody is a minibody. Minibodies are minimized antibody-like proteins comprising a scFv joined to a CH3 domain. In some cases, the scFv can be joined to the Fc region, and may include some or all of the hinge region. For a description of multispecific antibodies see Holliger & Hudson, 2006, Nature Biotechnology 23(9): 1126-1136.

**[0109]** In one embodiment, the antibody of the invention is an antibody fragment. Of particular interest are antibodies that comprise Fc regions, Fc fusions, and the constant region of the heavy chain (CH1-hinge-CH2-CH3) Antibodies of the present invention may comprise Fc fragments An Fc fragment of the present invention may comprise from 1 - 90% of the Fc region, e.g , 10 - 90%, 30 - 90%, etc Thus for example, an Fc fragment of the present invention may comprise an IgG1 C $\gamma$ 2 domain, an IgG1 C $\gamma$ 2 domain and hinge region, an IgG1 C $\gamma$ 3 domain, and so forth. In one embodiment, an Fc fragment of the present invention additionally comprises a fusion partner, effectively making it an Fc fragment fusion. Fc fragments may or may not contain extra polypeptide sequence.

[0110] Immunogenicity is the result of a complex series of responses to a substance that is perceived as foreign, and may include production of neutralizing and non-neutralizing antibodies, formation of immune complexes, complement activation, mast cell activation, inflammation, hypersensitivity responses, and anaphylaxis. Several factors can contribute to protein immunogenicity, including but not limited to protein sequence, route and frequency of administration, and patient population. Immunogenicity may limit the efficacy and safety of a protein therapeutic in multiple ways. Efficacy can be reduced directly by the formation of neutralizing antibodies Efficacy may also be reduced indirectly, as binding to either neutralizing or non-neutralizing antibodies typically leads to rapid clearance from serum Severe side effects and even death may occur when an immune reaction is raised. Thus in one embodiment, protein engineering is used

to reduce the immunogenicity of the antibodies of the present invention.

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**[0111]** In some embodiments, the scaffold components can be a mixture from different species. Such antibody may be a chimeric antibody and/or a humanized antibody. In general, both "chimeric antibodies" and "humanized antibodies' refer to antibodies that combine regions from more than one species. "Chimeric antibodies" traditionally comprise variable region(s) from a mouse (or rat, in some cases) and the constant region(s) from a human (Morrison et al, 1984, Proc Natl Acad Sci USA 81 6851-6855).

[0112] By "humanized" antibody as used herein is meant an antibody comprising a human framework region (FR) and one or more complementarity determining regions (CDRs) from a non-human (usually mouse or rat) antibody. The nonhuman antibody providing the CDRs is called the "donor" and the human immunoglobulin providing the framework is called the "acceptor". Humanization relies principally on the grafting of donor CDRs onto acceptor (human) VL and VH frameworks (Winter US 5,225,539). This strategy is referred to as "CDR grafting". "Backmutation" of selected acceptor framework residues to the corresponding donor residues is often required to regain affinity that is lost in the initial grafted construct (US 5,693,762). The humanized antibody optimally also will comprise at least a portion of an immunoglobulin constant region, typically that of a human immunoglobulin, and thus will typically comprise a human Fc region. A variety of techniques and methods for humanizing and reshaping non-human antibodies are well known in the art (See Tsurushita & Vasquez, 2004, Humanization of Monoclonal Antibodies, Molecular Biology of B Cells, 533-545, Elsevier Science (USA)). Humanization or other methods of reducing the immunogenicity of nonhuman antibody variable regions may include resurfacing methods, as described for example in Roguska et al., 1994, Proc Natl Acad Sci USA 91 969-973). In one embodiment, selection based methods may be employed to humanize and/or affinity mature antibody variable regions, that is, to increase the affinity of the variable region for its target antigen. Other humanization methods may involve the grafting of only parts of the CDRs, including but not limited to methods described in, Tan et al , 2002, J  $Immunol\,169\,1119-1125, De\,Pascalis\,et\,al\,\,, 2002, J\,Immunol\,169\,3076-3084.\,Structure-based\,methods\,may\,be\,employed\,Methods\,may\,be\,employed\,Methods\,may\,be\,employed\,Methods\,M$ for humanization and affinity maturation, for example as described in US patent 7,117,096and related applications.

**[0113]** In certain variations, the immunogenicity of the antibody is reduced using a method described in US patent application 2006-0008883, entitled "Methods of Generating Variant Proteins with Increased Host String Content and Compositions Thereof, filed on December 3, 2004.

**[0114]** Modifications to reduce immunogenicity may include modifications that reduce binding of processed peptides derived from the parent sequence to MHC proteins. For example, amino acid modifications would be engineered such that there are no or a minimal number of immune epitopes that are predicted to bind, with high affinity, to any prevalent MHC alleles. Several methods of identifying MHC-binding epitopes in protein sequences are known in the art and may be used to score epitopes in an antibody of the present invention. See, for example, US patent applications 2002-0119492, 2004-0230380 or 2006-0148009.

[0115] In an alternate embodiment, the antibodies of the present invention may be fully human, that is the sequences of the antibodies are completely or substantially human. "Fully human antibody" or "complete human antibody" refers to a human antibody having the gene sequence of an antibody derived from a human chromosome with the modifications outlined herein. A number of methods are known in the art for generating fully human antibodies, including the use of transgenic mice (Bruggemann et al., 1997, Curr Opin Biotechnol 8:455- 458,) or human antibody libraries coupled with selection methods (Griffiths et al., 1998, Curr Opin Biotechnol 9:102-108).

**[0116]** The antibodies of the present invention target FLT3 and may comprise the variable regions (e.g., the CDRs) of any known or undiscovered anti-FLT3 antibody. Antibodies of the invention may display selectivity for FLT3. Examples include full-length versus splice variants, cell-surface vs. soluble forms, selectivity for various polymorphic variants, or selectivity for specific conformational forms of a target. An antibody of the present invention may bind any epitope or region on FLT3 and may be specific for fragments, mutant forms, splice forms, or aberrant forms of the antigens. A number of useful antibodies have been discovered that target FLT3 that may find use in the present invention.

[0117] Suitable FLT3 antibodies include the anti-FLT3 antibodies 4G8 and BV10, as disclosed in US patent No. 5,777,084 and US patent No. 6,156,882.

**[0118]** The antibodies of the present invention may find use in a wide range of products. In one embodiment the antibody of the invention is a therapeutic, a diagnostic, or a research reagent. In one embodiment, an antibody of the invention is a therapeutic. An antibody of the present invention may find use in an antibody composition that is monoclonal or polyclonal. In one embodiment, the antibodies of the present invention are used to kill target cells that bear the target antigen, for example cancer cells. In an alternate embodiment, the antibodies of the present invention are used to block, antagonize, or agonize the target antigen. In an alternate embodiment, the antibodies of the present invention are used to block, antagonize, or agonize the target antigen and kill the target cells that bear the target antigen.

**[0119]** It will be recognized that the sequences of the variable domains including the CDRs identified herein can be combined in any combination in an antibody. Further, these sequences may be independently modified by adding all or part of an Fc region or Fc variant as disclosed herein. The modified sequences can also be combined in any combination in an antibody.

[0120] The present invention is directed to antibodies comprising modifications, wherein the modifications alter affinity

to one or more Fc receptors, and/or alter the ability of the antibody to mediate one or more effector functions. Modifications of the invention include amino acid modifications.

**[0121]** The inventors of the present invention have surprisingly found that by introducing the amino acid substitutions 239D and 332E in the CH2 domain of the Fc part of known anti-FLT3 antibodies, such as 4G8 and BV10 (supra), the cell killing activity of these antibodies can be significantly increased or even detected and generated for the first time.

**[0122]** This is surprising, as it has been experimentally shown that the same modifications do not generally increase cell killing activity. In other words, in different antibodies directed to a different target antigen, the introduction of these substitutions had no measurable effect on cell killing.

**[0123]** Furthermore, the invented antibodies can comprise further amino acid modifications outside the Fc region, such as those described in US patent 7,276,585, filed March 24, 2005, entitled "Immunoglobulin variants outside the Fc region".

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[0124] Additional substitutions that may also be used in the present invention include other substitutions that modulate Fc receptor affinity, Fc<sub>7</sub>R-mediated effector function, and/or complement mediated effector function.

[0125] In other embodiments, antibodies of the present invention may be combined with constant heavy chain variants that alter FcRn binding. These include modifications that modify FcRn affinity in a pH-specific manner. In particular, variants that increase Fc binding to FcRn include but are not limited to: 250E, 250Q, 428L, 428F, 250Q/428L (Hinton et al., 2004, J. Biol. Chem. 279(8): 6213-6216, Hinton et al. 2006 Journal of Immunology 176:346-356, USSN 11/102621, PCT/US2003/033037, PCT/US2004/011213, USSN 10/822300, USSN 10/687118, PCT/US2004/034440, USSN 10/966673), 256A, 272A, 286A, 305A, 307A, 311 A, 312A, 376A, 378Q, 380A, 382A, 434A (Shields et al, Journal of Biological Chemistry, 2001, 276(9):6591-6604, USSN 10/982470, US6737056, USSN 11/429793, USSN 11/429786, PCT/US2005/02951 1, USSN 11/208422), 252F, 252T, 252Y, 252W, 254T, 256S, 256R, 256Q, 256E, 256D, 256T, 309P, 311 S, 433R, 433S, 433I, 433P, 433Q, 434H, 434F, 434Y, 252Y/254T/256E, 433K/434F/436H, 308T/309P/311S (Dall Acqua et al. Journal of Immunology, 2002, 169:5171-5180, US7083784, PCT/US97/03321, US6821505, PCT/US01/48432, USSN 11/397328), 257C, 257M, 257L, 257N, 257Y, 279E, 279Q, 279Y, insertion of Ser after 281, 283F, 284E, 306Y, 307V, 308F, 308Y 311V, 385H, 385N, (PCT/US2005/041220, USSN 1 1/274065, USSN 11/436,266) 204D, 284E, 285E, 286D, and 290E (PCT/US2004/037929).

**[0126]** In some embodiments of the invention, antibodies may comprise isotypic modifications, that is, modifications in a parent IgG to the amino acid type in an alternate IgG.

[0127] The present invention provides variant antibodies that are optimized for a number of therapeutically relevant properties. A variant antibody comprises one or more amino acid modifications relative to a parent antibody, wherein the amino acid modification(s) provide one or more optimized properties. Thus the antibodies of the present invention are variant antibodies. An antibody of the present invention differs in amino acid sequence from its parent antibody by virtue of at least the two amino acid modifications 239D and 332E. Additionally, the variant antibodies of the present invention may comprise more than the two afore-mentioned amino acid modifications as compared to the parent, for example from about three to fifty amino acid modifications, e.g., from about three to ten amino acid modifications, from about three to about five amino acid modifications, etc., compared to the parent. Thus the sequences of the variant antibodies and those of the parent antibodies are substantially homologous. For example, the variant antibody sequences herein will possess about 80% homology with the parent antibody sequence, e.g., at least about 90% homology, e at least about 95% homology, etc.

[0128] The antibodies of the present invention may comprise amino acid modifications that provide optimized effector function properties relative to the parent. Substitutions and optimized effector function properties are described in US patent application 2004-0132101, PCT application US03/30249, and US Patent 7,317,091 10/822,231, (Properties that may be optimized include but are not limited to enhanced or reduced affinity for an Fc $\gamma$ R. In one embodiment, the antibodies of the present invention are optimized to possess enhanced affinity for a human activating Fc $\gamma$ R, e.g., Fc $\gamma$ RII, Fc $\gamma$ RIIIa, Fc $\gamma$ RIIIa, and Fc $\gamma$ RIIIb. In one embodiment, an antibody of the invention is optimized to possess enhanced affinity for a human Fc $\gamma$ RIIIa. In an alternate embodiment, the antibodies are optimized to possess reduced affinity for the human inhibitory receptor Fc $\gamma$ RIIb. These embodiments are anticipated to provide antibodies with enhanced therapeutic properties in humans, for example enhanced effector function and greater anti-cancer potency.

**[0129]** In other embodiments, antibodies of the present invention provide enhanced affinity for one or more  $Fc\gamma Rs$ , yet reduced affinity for one or more other  $Fc\gamma Rs$ . For example, an antibody of the present invention may have enhanced binding to  $Fc\gamma RIIIa$ , yet reduced binding to  $Fc\gamma RIIIb$ . Alternately, an antibody of the present invention may have enhanced binding to  $Fc\gamma RIIIa$  and  $Fc\gamma RIIIa$ , yet reduced binding to  $Fc\gamma RIIIb$ .

[0130] The modifications of the invention may enhance binding affinity for one or more  $Fc\gamma Rs$ . By "greater affinity" or 'improved affinity" or "enhanced affinity" or "better affinity" than a parent immunoglobulin, as used herein is meant that an Fc variant binds to an Fc receptor with a significantly higher equilibrium constant of association (Ka) or lower equilibrium constant of dissociation (Kd) than the parent polypeptide when the amounts of variant and parent polypeptide in the binding assay are essentially the same. For example, the Fc variant with improved  $Fc\gamma R$  binding affinity may display from about 5 fold to about 1000 fold, e.g from about 10 fold to about 500 fold improvement in Fc receptor binding affinity

compared to the parent polypeptide, where Fc receptor binding affinity is determined by methods known in the art. Accordingly, by "reduced affinity" as compared to a parent Fc polypeptide as used herein is meant that an Fc variant binds an Fc receptor with significantly lower Ka or higher Kd than the parent polypeptide.

[0131] Embodiments comprise optimization of Fc binding to a human  $Fc\gamma R$ , however in alternate embodiments the antibodies of the present invention possess enhanced or reduced affinity for  $Fc\gamma Rs$  from nonhuman organisms, including but not limited to rodents and non- human primates. Antibodies that are optimized for binding to a nonhuman  $Fc\gamma R$  may find use in experimentation. For example, mouse models are available for a variety of diseases that enable testing of properties such as efficacy, toxicity, and pharmacokinetics for a given drug candidate. As is known in the art, cancer cells can be grafted or injected into mice to mimic a human cancer, a process referred to as xenografting. Testing of antibodies that comprise antibodies that are optimized for one or more mouse  $Fc\gamma Rs$ , may provide valuable information with regard to the efficacy of the protein, its mechanism of action, and the like. The antibodies of the present invention may also be optimized for enhanced functionality and/or solution properties in aglycosylated form. In one embodiment, the aglycosylated antibodies of the present invention bind an Fc ligand with greater affinity than the aglycosylated form of the parent antibody. The Fc ligands include but are not limited to  $Fc\gamma Rs$ , C1q, FcRn, and proteins A and G, and may be from any source including but not limited to human, mouse, rat, rabbit, or monkey. In an alternate embodiment, the antibodies are optimized to be more stable and/or more soluble than the aglycosylated form of the parent antibody.

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**[0132]** Antibodies of the invention may comprise modifications that modulate interaction with Fc ligands other than FcγRs, including but not limited to complement proteins, FcRn, and Fc receptor homologs (FcRHs). FcRHs include but are not limited to FcRH1, FcRH2, FcRH3, FcRH4, FcRH5, and FcRH6 (Davis et ai, 2002, Immunol. Reviews 190:123-136).

**[0133]** Antibodies of the present invention may comprise one or more modifications that provide optimized properties that are not specifically related to effector function per se. The modifications may be amino acid modifications, or may be modifications that are made enzymatically or chemically. Such modification(s) likely provide some improvement in the antibody, for example an enhancement in its stability, solubility, function, or clinical use. The present invention contemplates a variety of improvements that made be made by coupling the antibodies of the present invention with additional modifications.

[0134] In one embodiment, the variable region of an antibody of the present invention may be affinity matured, that is to say that amino acid modifications have been made in the VH and/or VL domains of the antibody to enhance binding of the antibody to its target antigen. Such types of modifications may improve the association and/or the dissociation kinetics for binding to the target antigen. Other modifications include those that improve selectivity for target antigen vs. alternative targets. These include modifications that improve selectivity for antigen expressed on target vs non-target cells. Other improvements to the target recognition properties may be provided by additional modifications. Such properties may include, but are not limited to, specific kinetic properties (i.e. association and dissociation kinetics), selectivity for the particular target versus alternative targets, and selectivity for a specific form of target versus alternative forms. Examples include full-length versus splice variants, cell-surface vs. soluble forms, selectivity for various polymorphic variants, or selectivity for specific conformational forms of the target antigen.

[0135] Antibodies of the invention may comprise one or more modifications that provide reduced or enhanced internalization of an antibody. In one embodiment, antibodies of the present invention can be utilized or combined with additional modifications in order to reduce the cellular internalization of an antibody that occurs via interaction with one or more Fc ligands. This property might be expected to enhance effector function, and potentially reduce immunogenicity of the antibodies of the invention. Alternatively, antibodies of the present invention can be utilized directly or combined with additional modifications in order to enhance the cellular internalization of an antibody that occurs via interaction with one or more Fc ligands.

[0136] In one embodiment, modifications are made to improve biophysical properties of the antibodies of the present invention, including but not limited to stability, solubility, and oligomeric state. Modifications can include, for example, substitutions that provide more favorable intramolecular interactions in the antibody such as to provide greater stability, or substitution of exposed nonpolar amino acids with polar amino acids for higher solubility A number of optimization goals and methods are described in US patent application 2004-0110226, that may find use for engineering additional modifications to further optimize the antibodies of the present invention The antibodies of the present invention can also be combined with additional modifications that reduce oligomeric state or size, such that tumor penetration is enhanced, or in vivo clearance rates are increased as desired.

[0137] Other modifications to the antibodies of the present invention include those that enable the specific formation or homodimeric or homomultimeric molecules. Such modifications include but are not limited to engineered disulfides, as well as chemical modifications or aggregation methods which may provide a mechanism for generating covalent homodimeric or homomultimers. For example, methods of engineering and compositions of such molecules are described in Kan et al., 2001, J. Immunol., 2001, 166: 1320-1326; Stevenson et al., 2002, Recent Results Cancer Res. 159 104-12; US 5,681,566; Caron et al., 1992, J. Exp. Med. 176:1191-1195, and Shopes, 1992, J. Immunol. 148(9):2918-22. Additional modifications to the variants of the present invention include those that enable the specific formation or heterodimeric, heteromultimeric, bifunctional, and/or multifunctional molecules. Such modifications include, but are not

limited to, one or more amino acid substitutions in the CH3 domain, in which the substitutions reduce homodimer formation and increase heterodimer formation. For example, methods of engineering and compositions of such molecules are described in Atwell et al., 1997, J. Mol. Biol. 270(1):26-35, and Carter et al., 2001, J. Immunol. Methods 248:7-15. Additional modifications include modifications in the hinge and CH3 domains, in which the modifications reduce the propensity to form dimers.

[0138] In further embodiments, the antibodies of the present invention comprise modifications that remove proteolytic degradation sites. These may include, for example, protease sites that reduce production yields, as well as protease sites that degrade the administered protein in vivo. In one embodiment, additional modifications are made to remove covalent degradation sites such as deamidation (i.e. deamidation of glutaminyl and asparaginyl residues to the corresponding glutamyl and aspartyl residues), oxidation, and proteolytic degradation sites. Deamidation sites that are particularly useful to remove are those that have enhance propensity for deamidation, including, but not limited to asparaginyl and glutamyl residues followed by glycines (NG and QG motifs, respectively). In such cases, substitution of either residue can significantly reduce the tendency for deamidation. Common oxidation sites include methionine and cysteine residues. Other covalent modifications, that can either be introduced or removed, include hydroxylation of proline and lysine, phosphorylation of hydroxyl groups of seryl or threonyl residues, methylation of the "-amino groups of lysine, arginine, and histidine side chains (T.E. Creighton, Proteins: Structure and Molecular Properties, W. H. Freeman & Co., San Francisco, pp. 79-86 (1983)), acetylation of the N-terminal amine, and amidation of any C-terminal carboxyl group. Additional modifications also may include but are not limited to posttranslational modifications such as N-linked or O-linked glycosylation and phosphorylation.

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**[0139]** Modifications may include those that improve expression and/or purification yields from hosts or host cells commonly used for production of biologies. These include, but are not limited to various mammalian cell lines (e.g. CHO), yeast cell lines, bacterial cell lines, and plants. Additional modifications include modifications that remove or reduce the ability of heavy chains to form inter-chain disulfide linkages. Additional modifications include modifications that remove or reduce the ability of heavy chains to form intra-chain disulfide linkages.

[0140] The antibodies of the present invention may comprise modifications that include the use of unnatural amino acids incorporated using, for example, the technologies developed by Schultz and colleagues, including but not limited to methods described by Cropp & Shultz, 2004, Trends Genet. 20(12):625-30, Anderson et al., 2004, Proc. Natl. Acad. Sci. U.S.A. 101 (2):7566-71, Zhang et al., 2003, 303(5656):371-3, and Chin et al., 2003, Science 301(5635):964-7. In some embodiments, these modifications enable manipulation of various functional, biophysical, immunological, or manufacturing properties discussed above. In additional embodiments, these modifications enable additional chemical modification for other purposes. Other modifications are contemplated herein. For example, the antibody may be linked to one of a variety of nonproteinaceous polymers, e.g., polyethylene glycol (PEG), polypropylene glycol, polyoxyalkylenes, or copolymers of polyethylene glycol and polypropylene glycol. Additional amino acid modifications may be made to enable specific or non-specific chemical or posttranslational modification of the antibodies. Such modifications, include, but are not limited to PEGylation and glycosylation. Specific substitutions that can be utilized to enable PEGylation include, but are not limited to, introduction of novel cysteine residues or unnatural amino acids such that efficient and specific coupling chemistries can be used to attach a PEG or otherwise polymeric moiety. Introduction of specific glycosylation sites can be achieved by introducing novel N-X-T/S sequences into the antibodies of the present invention.

**[0141]** Covalent modifications of antibodies are included within the scope of this invention, and are generally, but not always, done post-translationally. For example, several types of covalent modifications of the antibody are introduced into the molecule by reacting specific amino acid residues of the antibody with an organic derivatizing agent that is capable of reacting with selected side chains or the N- or C-terminal residues.

[0142] In some embodiments, the covalent modification of the antibodies of the invention comprises the addition of one or more labels. The term "labeling group" is any detectable label. In some embodiments, the labeling group is coupled to the antibody via spacer arms of various lengths to reduce potential steric hindrance. Various methods for labeling proteins are known in the art and may be used in performing the present invention. In general, labels fall into a variety of classes, depending on the assay in which they are to be detected: a) isotopic labels, which may be radioactive or heavy isotopes; b) magnetic labels (e.g., magnetic particles); c) redox active moieties; d) optical dyes; enzymatic groups (e.g. horseradish peroxidase, [beta]-galactosidase, luciferase, alkaline phosphatase); e) biotinylated groups; and f) predetermined polypeptide epitopes recognized by a secondary reporter (e.g., leucine zipper pair sequences, binding sites for secondary antibodies, metal binding domains, epitope tags, etc.). In some embodiments, the labeling group is coupled to the antibody via spacer arms of various lengths to reduce potential steric hindrance. Various methods for labeling proteins are known in the art and may be used in performing the present invention. Specific labels include optical dyes, including, but not limited to, chromophores, phosphors and fluorophores, with the latter being specific in many instances. Fluorophores can be either "small molecule" fluores, or proteinaceous fluores. By "fluorescent label" is meant any molecule that may be detected via its inherent fluorescent properties.

[0143] In one embodiment, the antibodies of the invention are antibody "fusion proteins", sometimes referred to herein as "antibody conjugates". The fusion partner or conjugate partner can be proteinaceous or non-proteinaceous; the latter

generally being generated using functional groups on the antibody and on the conjugate partner. Conjugate and fusion partners may be any molecule, including small molecule chemical compounds and polypeptides. For example, a variety of antibody conjugates and methods are described in Trail et al., 1999, Curr. Opin. Immunol. 11:584-588. Possible conjugate partners include but are not limited to cytokines, cytotoxic agents, toxins, radioisotopes, chemotherapeutic agent, anti-angiogenic agents, a tyrosine kinase inhibitors, and other therapeutically active agents. In some embodiments, conjugate partners may be thought of more as payloads, that is to say that the goal of a conjugate is targeted delivery of the conjugate partner to a targeted cell, for example a cancer cell or immune cell, by the antibody. Thus, for example, the conjugation of a toxin to an antibody targets the delivery of the toxin to cells expressing the target antigen. As will be appreciated by one skilled in the art, in reality the concepts and definitions of fusion and conjugate are overlapping. The designation of an antibody as a fusion or conjugate is not meant to constrain it to any particular embodiment of the present invention. Rather, these terms are used loosely to convey the broad concept that any antibody of the present invention may be linked genetically, chemically, or otherwise, to one or more polypeptides or molecules to provide some desirable property.

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**[0144]** Suitable conjugates include, but are not limited to, labels as described below, drugs and cytotoxic agents including, but not limited to, cytotoxic drugs (e.g., chemotherapeutic agents) or toxins or active fragments of such toxins. Suitable toxins and their corresponding fragments include diptheria A chain, exotoxin A chain, ricin A chain, abrin A chain, curcin, crotin, phenomycin, enomycin and the like. Cytotoxic agents also include radiochemacals made by conjugating radioisotopes to antibodies, or binding of a radionuclide to a chelating agent that has been covalently attached to the antibody. Additional embodiments utilize calicheamicin, aunstatins, geldanamycin, maytansine, and duocarmycins and analogs; for the latter, see U.S. patent application 2003/0050331.

[0145] In one embodiment, the antibodies of the present invention are fused or conjugated to a cytokine. By "cytokine" as used herein is meant a generic term for proteins released by one cell population that act on another cell as intercellular mediators. For example, as described in Penichet et al., 2001, J Immunol Methods 248-91-101, cytokines may be fused to antibody to provide an array of desirable properties Examples of such cytokines are lymphokines, monokines, and traditional polypeptide hormones. Included among the cytokines are growth hormone such as human growth hormone, N-methionyl human growth hormone, and bovine growth hormone; parathyroid hormone, thyroxine; insulin; proinsuln; relaxin, prorelaxin; glycoprotein hormones such as follicle stimulating hormone (FSH), thyroid stimulating hormone (TSH), and luteinizing hormone (LH); hepatic growth factor; fibroblast growth factor, prolactin, placental lactogen; tumor necrosis factor-alpha and -beta; mulle[pi]an-inhibiting substance, mouse gonadotropin-associated peptide; inhibin; activin, vascular endothelial growth factor; integrin; thrombopoietin (TPO); nerve growth factors such as NGF-beta; platelet-growth factor, transforming growth factors (TGFs) such as TGF-alpha and TGF-beta; insulin-like growth factor-I and -II; erythropoietin (EPO); osteoinductive factors, interferons such as interferon-alpha, beta, and -gamma; colony stimulating factors (CSFs) such as macrophage- CSF (M-CSF), granulocyte-macrophage-CSF (GM-CSF); and granulocyte-CSF (G-CSF), interleukins (ILs) such as IL-1, IL-1 alpha, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12, IL-15, a tumor necrosis factor such as TNF-alpha or TNF-beta; C5a; and other polypeptide factors including LIF and kit ligand (KL). As used herein, the term cytokine includes proteins from natural sources or from recombinant cell culture, and biologically active equivalents of the native sequence cytokines.

[0146] In an alternate embodiment, the antibodies of the present invention are fused, conjugated, or operably linked to a toxin, including but not limited to small molecule toxins and enzymatically active toxins of bacterial, fungal, plant or animal origin, including fragments and/or variants thereof For example, a variety of immunotoxins and immunotoxin methods are described in Thrush et al., 1996, Ann. Rev. Immunol. 14:49-71. Small molecule toxins include but are not limited to calicheamicin, maytansine (US 5,208,020), trichothene, and CC1065. In one embodiment of the invention, the antibody is conjugated to one or more maytansine molecules (e.g. about 1 to about 10 maytansine molecules per antibody molecule). Maytansine may, for example, be converted to May-SS-Me which may be reduced to May-SH3 and reacted with modified antibody (Chan et al., 1992, Cancer Research 52 127-131) to generate a maytansinoid-antibody conjugate Another conjugate of interest comprises an antibody conjugated to one or more calicheamicin molecules. The calicheamicin family of antibiotics is capable of producing double-stranded DNA breaks at sub-picomolar concentrations. Structural analogues of calicheamicin that may be used include are, for example, disclosed in Hinman et al., 1993, Cancer Research 53 3336-3342, Lode et al , 1998, Cancer Research 58 2925-2928, US 5,714,586; US 5,712,374, US 5,264,586; and US 5,773,001. Dolastatin 10 analogs such as auristatin E (AE) and monomethylauristatin E (MMAE) may find use as conjugates for the antibodies of the present invention (Doronina et al., 2003, Nat Biotechnol 21(7):778-84; Francisco et al., 2003 Blood 102(4):1458-65). Useful enzymatically active toxins include but are not limited to diphtheria A chain, nonbinding active fragments of diphtheria toxin, exotoxin A chain (from Pseudomonas aeruginosa), ricin A chain, abrin A chain, modeccin A chain, alpha-sarcin, Aleurites fordii proteins, dianthin proteins, Phytolaca americana proteins (PAPI, PAPII, and PAP-S), momordica charantia inhibitor, curcin, crotin, sapaonaria officinalis inhibitor, gelonin, mitogellin, restrictocin, phenomycin, enomycin and the tricothecenes. See, for example, PCT WO 93/21232. The present invention further contemplates a conjugate between an antibody of the present invention and a compound with nucleolytic activity, for example a ribonuclease or DNA endonuclease such as a deoxyribonuclease (DNase).

**[0147]** In an alternate embodiment, an antibody of the present invention may be fused, conjugated, or operably linked to a radioisotope to form a radioconjugate. A variety of radioactive isotopes are available for the production of radioconjugate antibodies. Examples include, but are not limited to, At211, I131, I125, Y90, Re186, Re188, Sm153, Bi212, P32, and radioactive isotopes of Lu.

[0148] In yet another embodiment, an antibody of the present invention may be conjugated to a "receptor" (such as streptavidin) for utilization in tumor pretargeting wherein the antibody-receptor conjugate is administered to the patient, followed by removal of unbound conjugate from the circulation using a clearing agent and then administration of a "ligand" (e.g. avidin) which is conjugated to a cytotoxic agent (e.g. a radionucleotide). In an alternate embodiment, the antibody is conjugated or operably linked to an enzyme in order to employ Antibody Dependent Enzyme Mediated Prodrug

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[0149] Therapy (ADEPT). ADEPT may be used by conjugating or operably linking the antibody to a prodrug-activating enzyme that converts a prodrug (e.g. a peptidyl chemotherapeutic agent, see PCT application WO 81/01145) to an active anti-cancer drug. See, for example, PCT application WO 88/07378 or US patent 4,975,278. The enzyme component of the immunoconjugate useful for ADEPT includes any enzyme capable of acting on a prodrug in such a way so as to covert it into its more active, cytotoxic form. Enzymes that are useful in the method of this invention include but are not limited to alkaline phosphatase useful for converting phosphate-containing prodrugs into free drugs; arylsulfatase useful for converting sulfate-containing prodrugs into free drugs; cytosine deaminase useful for converting non-toxic 5-fluorocytosine into the anti-cancer drug, 5- fluorouracil; proteases, such as serratia protease, thermolysin, subtilisin, carboxypeptidases and cathepsins (such as cathepsins B and L), that are useful for converting peptide-containing prodrugs into free drugs; D-alanylcarboxypeptidases, useful for converting prodrugs that contain D-amino acid substituents, carbohydrate-cleaving enzymes such as beta-galactosidase and neuramimidase useful for converting glycosylated prodrugs into free drugs, beta-lactamase useful for converting drugs derivatized with alpha-lactams into free drugs, and penicillin amidases, such as penicillin V amidase or penicillin G amidase, useful for converting drugs derivatized at their amine nitrogens with phenoxyacetyl or phenylacetyl groups, respectively, into free drugs. Alternatively, antibodies with enzymatic activity, also known in the art as "abzymes", can be used to convert the prodrugs of the invention into free active drugs (see, for example, Massey, 1987, Nature 328. 457-458). Antibody-abzyme conjugates can be prepared for delivery of the abzyme to a tumor cell population. A variety of additional conjugates are contemplated for the antibodies of the present invention. A variety of chemotherapeutic agents, anti-angiogenic agents, tyrosine kinase inhibitors, and other therapeutic agents are described below, which may find use as antibody conjugates.

[0150] Also contemplated as fusion and conjugate partners are Fc polypeptides. Thus an antibody may be a multimeric Fc polypeptide, comprising two or more Fc regions. The advantage of such a molecule is that it provides multiple binding sites for Fc receptors with a single protein molecule In one embodiment, Fc regions may be linked using a chemical engineering approach For example, Fab's and Fc's may be linked by thioether bonds originating at cysteine residues in the hinges, generating molecules such as FabFc<sub>2</sub>. Fc regions may be linked using disulfide engineering and/or chemical cross-linking In one embodiment, Fc regions may be linked genetically. In one embodiment, Fc regions in an antibody are linked genetically to generated tandemly linked Fc regions as described in US patent application 2005-0249723, entitled "Fc polypeptides with novel Fc ligand binding sites". Tandemly linked Fc polypeptides may comprise two or more Fc regions, e.g., one to three, two, etc, Fc regions. It may be advantageous to explore a number of engineering constructs in order to obtain homo- or hetero- tandemly linked antibodies with the most favorable structural and functional properties. Tandemly linked antibodies may be homo- tandemly linked antibodies, that is an antibody of one isotype is fused genetically to another antibody of the same isotype. It is anticipated that because there are multiple FcγR, C1q, and/or FcRn binding sites on tandemly linked Fc polypeptides, effector functions and/or pharmacokinetics may be enhanced. In an alternate embodiment, antibodies from different isotypes may be tandemly linked, referred to as hetero- tandemly linked antibodies For example, because of the capacity to target FcyR and FcyR1 receptors, an antibody that binds both FcyRs and FcyRl may provide a significant clinical improvement.

[0151] Fusion and conjugate partners may be linked to any region of an antibody of the present invention, including at the N- or C- termini, or at some residue in-between the termini. In one embodiment, a fusion or conjugate partner is linked at the N- or C-terminus of the antibody, e.g., the N-terminus. A variety of linkers may find use in the present invention to covalently link antibodies to a fusion or conjugate partner. By "linker", "linker sequence", "spacer", "tethering sequence" or grammatical equivalents thereof, herein is meant a molecule or group of molecules (such as a monomer or polymer) that connects two molecules and often serves to place the two molecules in a desirable configuration. Linkers are known in the art, for example, homo-or hetero-bifunctional linkers as are well known (see, 1994 Pierce Chemical Company catalog, technical section on cross-linkers, pages 155-200). A number of strategies may be used to covalently link molecules together. These include, but are not limited to polypeptide linkages between N- and C-termini of proteins or protein domains, linkage via disulfide bonds, and linkage via chemical cross-linking reagents. In one aspect of this embodiment, the linker is a peptide bond, generated by recombinant techniques or peptide synthesis. The linker may contain amino acid residues that provide flexibility. Thus, the linker peptide may predominantly include the following amino acid residues Gly, Ser, Ala, or Thr. The linker peptide should have a length that is adequate to link two molecules in such a way that they assume the correct conformation relative to one another so that they retain the desired activity.

Suitable lengths for this purpose include at least one and not more than 50 amino acid residues. In one embodiment, the linker is from about 1 to 30 amino acids in length, with linkers of 1 to 20 amino acids in length being desirable. Useful linkers include glycine-serine polymers (including, for example, (GS)n, (GSGGS)n (GGGGS)n and (GGGS)n, where n is an integer of at least one), glycine-alanine polymers, alanine-serine polymers, and other flexible linkers, as will be appreciated by those in the art. Alternatively, a variety of nonproteinaceous polymers, including but not limited to polyethylene glycol (PEG), polypropylene glycol, polyoxyalkylenes, or copolymers of polyethylene glycol and polypropylene glycol, may find use as linkers, that is may find use to link the antibodies of the present invention to a fusion or conjugate partner, or to link the antibodies of the present invention to a conjugate

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[0152] The present invention provides methods for producing and experimentally testing antibodies. The described methods are not meant to constrain the present invention to any particular application or theory of operation. Rather, the provided methods are meant to illustrate generally that one or more antibodies may be produced and experimentally tested to obtain variant antibodies. General methods for antibody molecular biology, expression, purification, and screening are described in Antibody Engineering, edited by Duebel & Kontermann, Springer-Verlag, Heidelberg, 2001, and Hayhurst & Georgiou, 2001, Curr Opin Chem Biol 5 683-689; Maynard & Georgiou, 2000, Annu Rev Biomed Eng 2 339-76, Antibodies. A Laboratory Manual by Harlow & Lane, New York: Cold Spring Harbor Laboratory Press, 1988. [0153] In one embodiment of the present invention, nucleic acids are created that encode the antibodies, and that may then be cloned into host cells, expressed and assayed, if desired. Thus, nucleic acids, and particularly DNA, may be made that encode each protein sequence. These practices are carried out using well-known procedures. For example, a variety of methods that may find use in the present invention are described in Molecular Cloning - A Laboratory Manual, 3rd Ed. (Maniatis, Cold Spring Harbor Laboratory Press, New York, 2001), and Current Protocols in Molecular Biology (John Wiley & Sons). As will be appreciated by those skilled in the art, the generation of exact sequences for a library comprising a large number of sequences is potentially expensive and time consuming. By "library" herein is meant a set of variants in any form, including but not limited to a list of nucleic acid or amino acid sequences, a list of nucleic acid or ammo acid substitutions at variable positions, a physical library comprising nucleic acids that encode the library sequences, or a physical library comprising the variant proteins, either in purified or unpurified form. Accordingly, there are a variety of techniques that may be used to efficiently generate libraries of the present invention. Such methods that may find use in the present invention are described or referenced in US patent 6,403,312; US patent application 2002-0048772, US patent 7,315,786; US patent application 2003-0130827, PCT application WO 01/40091 or PCT application WO 02/25588. Such methods include but are not limited to gene assembly methods, PCR-based method and methods which use variations of PCR, ligase chain reaction-based methods, pooled oligo methods such as those used in synthetic shuffling, error-prone amplification methods and methods which use ohgos with random mutations, classical site-directed mutagenesis methods, cassette mutagenesis, and other amplification and gene synthesis methods. As is known in the art, there are a variety of commercially available kits and methods for gene assembly, mutagenesis, vector subcloning, and the like, and such commercial products find use in the present invention for generating nucleic acids that encode antibodies.

[0154] The antibodies of the present invention may be produced by culturing a host cell transformed with nucleic acid, e.g., an expression vector, containing nucleic acid encoding the antibodies, under the appropriate conditions to induce or cause expression of the protein. The conditions appropriate for expression will vary with the choice of the expression vector and the host cell, and will be easily ascertained by one skilled in the art through routine experimentation. A wide variety of appropriate host cells may be used, including but not limited to mammalian cells, bacteria, insect cells, and yeast. For example, a variety of cell lines that may find use in the present invention are described in the ATCC cell line catalog, available from the American Type Culture Collection.

[0155] In one embodiment, the antibodies are expressed in mammalian expression systems, including systems in which the expression constructs are introduced into the mammalian cells using virus such as retrovirus or adenovirus. Any mammalian cells may be used, e.g., human, mouse, rat, hamster, primate cells, etc.. Suitable cells also include known research cells, including but not limited to Jurkat T cells, NIH3T3, CHO, BHK, COS, HEK293, PER C.6, HeLa, Sp2/0, NSO cells and variants thereof. In an alternate embodiment, library proteins are expressed in bacterial cells. Bacterial expression systems are well known in the art, and include Escherichia coli (E. coli). Bacillus subtilis, Streptococcus cremoris, and Streptococcus lividans. In alternate embodiments, antibodies are produced in insect cells (e.g. Sf21/Sf9) or yeast cells (e.g. S. cerevisiae, Pichia, etc.). In an alternate embodiment, antibodies are expressed in vitro using cell free translation systems. In vitro translation systems derived from both prokaryotic (e.g. E. coli) and eukaryotic (e.g. wheat germ, rabbit reticulocytes) cells are available and may be chosen based on the expression levels and functional properties of the protein of interest. For example, as appreciated by those skilled in the art, in vitro translation is required for some display technologies, for example ribosome display. In addition, the antibodies may be produced by chemical synthesis methods. Also transgenic expression systems both animal (e.g. cow, sheep or goat milk, embryonated hen's eggs, whole insect larvae, etc.) and plant (e.g. corn, tobacco, duckweed, etc.). The nucleic acids that encode the antibodies of the present invention may be incorporated into an expression vector in order to express the protein. A variety of expression vectors may be utilized for protein expression. Expression vectors may comprise self-

replicating extra-chromosomal vectors or vectors which integrate into a host genome. Expression vectors are constructed to be compatible with the host cell type. Thus expression vectors which find use in the present invention include but are not limited to those which enable protein expression in mammalian cells, bacteria, insect cells, yeast, and in in vitro systems. As is known in the art, a variety of expression vectors are available, commercially or otherwise, that may find use in the present invention for expressing antibodies.

**[0156]** Expression vectors typically comprise a protein operably linked with control or regulatory sequences, selectable markers, any fusion partners, and/or additional elements. By "operably linked" herein is meant that the nucleic acid is placed into a functional relationship with another nucleic acid sequence. Generally, these expression vectors include transcriptional and translational regulatory nucleic acid operably linked to the nucleic acid encoding the antibody, and are typically appropriate to the host cell used to express the protein. In general, the transcriptional and translational regulatory sequences may include promoter sequences, ribosomal binding sites, transcriptional start and stop sequences, translational start and stop sequences, and enhancer or activator sequences. As is also known in the art, expression vectors typically contain a selection gene or marker to allow the selection of transformed host cells containing the expression vector. Selection genes are well known in the art and will vary with the host cell used.

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[0157] Antibodies may be operably linked to a fusion partner to enable targeting of the expressed protein, purification, screening, display, and the like. Fusion partners may be linked to the antibody sequence via a linker sequence. The linker sequence will generally comprise a small number of amino acids, typically less than ten, although longer linkers may also be used. Typically, linker sequences are selected to be flexible and resistant to degradation. As will be appreciated by those skilled in the art, any of a wide variety of sequences may be used as linkers. For example, a common linker sequence comprises the ammo acid sequence GGGGS. A fusion partner may be a targeting or signal sequence that directs antibody and any associated fusion partners to a desired cellular location or to the extracellular media. As is known in the art, certain signaling sequences may target a protein to be either secreted into the growth media, or into the periplasmic space, located between the inner and outer membrane of the cell. A fusion partner may also be a sequence that encodes a peptide or protein that enables purification and/or screening. Such fusion partners include but are not limited to polyhistidine tags (His-tags) (for example H6 and H10 or other tags for use with Immobilized Metal Affinity Chromatography (IMAC) systems (e.g Ni<sup>2+</sup> affinity columns)), GST fusions, MBP fusions, Strep-tag, the BSP biotinylation target sequence of the bacterial enzyme BirA, and epitope tags which are targeted by antibodies (for example c-myc tags, flag-tags, and the like). As will be appreciated by those skilled in the art, such tags may be useful for purification, for screening, or both. For example, an antibody may be purified using a His-tag by immobilizing it to a Ni<sup>2+</sup> affinity column, and then after purification the same His-tag may be used to immobilize the antibody to a Ni<sup>2+</sup> coated plate to perform an ELISA or other binding assay (as described below). A fusion partner may enable the use of a selection method to screen antibodies (see below). Fusion partners that enable a variety of selection methods are well-known in the art, and all of these find use in the present invention. For example, by fusing the members of an antibody library to the gene III protein, phage display can be employed (Kay et al., Phage display of peptides and proteins: a laboratory manual, Academic Press, San Diego, CA, 1996; Lowman et al., 1991, Biochemistry 30: 10832-10838; Smith, 1985, Science 228:1315-1317). Fusion partners may enable antibodies to be labeled. Alternatively, a fusion partner may bind to a specific sequence on the expression vector, enabling the fusion partner and associated antibody to be linked covalently or noncovalently with the nucleic acid that encodes them.

**[0158]** The methods of introducing exogenous nucleic acid into host cells are well known in the art, and will vary with the host cell used. Techniques include but are not limited to dextran-mediated transfection, calcium phosphate precipitation, calcium chloride treatment, polybrene mediated transfection, protoplast fusion, electroporation, viral or phage infection, encapsulation of the polynucleotide(s) in liposomes, and direct microinjection of the DNA into nuclei. In the case of mammalian cells, transfection may be either transient or stable.

[0159] In one embodiment, antibodies are purified or isolated after expression. Proteins may be isolated or purified in a variety of ways known to those skilled in the art. Standard purification methods include chromatographic techniques, including ion exchange, hydrophobic interaction, affinity, sizing or gel filtration, and reversed-phase, carried out at atmospheric pressure or at high pressure using systems such as FPLC and HPLC. Purification methods also include electrophoretic, immunological, precipitation, dialysis, and chromatofocusing techniques. Ultrafiltration and diafiltration techniques, in conjunction with protein concentration, are also useful. As is well known in the art, a variety of natural proteins bind Fc and antibodies, and these proteins can find use in the present invention for purification of antibodies. For example, the bacterial proteins A and G bind to the Fc region. Likewise, the bacterial protein L binds to the Fab region of some antibodies, as of course does the antibody's target antigen. Purification can often be enabled by a particular fusion partner. For example, antibodies may be purified using glutathione resin if a GST fusion is employed, Ni<sup>2+</sup> affinity chromatography if a His-tag is employed, or immobilized anti-flag antibody if a flag-tag is used. For general guidance in suitable purification techniques, see, e.g. Protein Purification: Principles and Practice, 3rd Ed., Scopes, Springer-Verlag, NY, 1994. The degree of purification necessary will vary depending on the screen or use of the antibodies. In some instances no purification is necessary. For example in one embodiment, if the antibodies are secreted, screening may take place directly from the media. As is well known in the art, some methods of selection do not involve purification

of proteins. Thus, for example, if a library of antibodies is made into a phage display library, protein purification may not be performed.

[0160] Antibodies may be screened using a variety of methods, including but not limited to those that use in vitro assays, in vivo and cell-based assays, and selection technologies. Automation and high-throughput screening technologies may be utilized in the screening procedures. Screening may employ the use of a fusion partner or label. The use of fusion partners has been discussed above. By "labeled" herein is meant that the antibodies of the invention have one or more elements, isotopes, or chemical compounds attached to enable the detection in a screen. In general, labels fall into three classes: a) immune labels, which may be an epitope incorporated as a fusion partner that is recognized by an antibody, b) isotopic labels, which may be radioactive or heavy isotopes, and c) small molecule labels, which may include fluorescent and colorimetric dyes, or molecules such as biotin that enable other labeling methods. Labels may be incorporated into the compound at any position and may be incorporated in vitro or in vivo during protein expression. [0161] In one embodiment, the functional and/or biophysical properties of antibodies are screened in an in vitro assay. In vitro assays may allow a broad dynamic range for screening properties of interest. Properties of antibodies that may be screened include but are not limited to stability, solubility, and affinity for Fc ligands, for example FcγRs. Multiple properties may be screened simultaneously or individually. Proteins may be purified or unpurified, depending on the requirements of the assay. In one embodiment, the screen is a qualitative or quantitative binding assay for binding of antibodies to a protein or nonprotein molecule that is known or thought to bind the antibody. In one embodiment, the screen is a binding assay for measuring binding to the target antigen. In an alternate embodiment, the screen is an assay for binding of antibodies to an Fc ligand, including but not limited to the family of FcyRs, the neonatal receptor FcRn, the complement protein C1q, and the bacterial proteins A and G. The Fc ligands may be from any organism, e.g., humans, mice, rats, rabbits, monkeys, etc.. Binding assays can be carried out using a variety of methods known in the art, including but not limited to FRET (Fluorescence Resonance Energy Transfer) and BRET (Bioluminescence Resonance Energy Transfer)-based assays, AlphaScreen(TM) (Amplified Luminescent Proximity Homogeneous Assay), Scintillation Proximity Assay, ELISA (Enzyme-Linked Immunosorbent Assay), SPR (Surface Plasmon Resonance, also known as Biacore(TM)), isothermal titration calorimetry, differential scanning calorimetry, gel electrophoresis, and chromatography including gel filtration. These and other methods may take advantage of some fusion partner or label of the antibody. Assays may employ a variety of detection methods including but not limited to chromogenic, fluorescent, luminescent, or isotopic labels.

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[0162] The biophysical properties of antibodies, for example stability and solubility, may be screened using a variety of methods known in the art. Protein stability may be determined by measuring the thermodynamic equilibrium between folded and unfolded states. For example, antibodies of the present invention may be unfolded using chemical denaturant, heat, or pH, and this transition may be monitored using methods including, but not limited to, circular dichroism spectroscopy, fluorescence spectroscopy, absorbance spectroscopy, NMR spectroscopy, calorimetry, and proteolysis. As will be appreciated by those skilled in the art, the kinetic parameters of the folding and unfolding transitions may also be monitored using these and other techniques. The solubility and overall structural integrity of an antibody may be quantitatively or qualitatively determined using a wide range of methods that are known in the art. Methods which may find use in the present invention for characterizing the biophysical properties of antibodies include gel electrophoresis, isoelectric focusing, capillary electrophoresis, chromatography such as size exclusion chromatography, ionexchange chromatography, and reversed-phase high performance liquid chromatography, peptide mapping, oligosaccharide mapping, mass spectrometry, ultraviolet absorbance spectroscopy, fluorescence spectroscopy, circular dichroism spectroscopy, isothermal titration calorimetry, differential scanning calorimetry, analytical ultra-centrifugation, dynamic light scattering, proteolysis, and cross-linking, turbidity measurement, filter retardation assays, immunological assays, fluorescent dye binding assays, protein-staining assays, microscopy, and detection of aggregates via ELISA or other binding assay. Structural analysis employing X-ray crystallographic techniques and NMR spectroscopy may also find use. In one embodiment, stability and/or solubility may be measured by determining the amount of protein solution after some defined period of time. In this assay, the protein may or may not be exposed to some extreme condition, for example elevated temperature, low pH, or the presence of denaturant. Because function typically requires a stable, soluble, and/or wellfolded/structured protein, the aforementioned functional and binding assays also provide ways to perform such a measurement. For example, a solution comprising an antibody could be assayed for its ability to bind target antigen, then exposed to elevated temperature for one or more defined periods of time, then assayed for antigen binding again. Because unfolded and aggregated protein is not expected to be capable of binding antigen, the amount of activity remaining provides a measure of the antibody's stability and solubility.

**[0163]** The biological properties of the antibodies of the present invention may be characterized in cell, tissue, and whole organism experiments. As is known in the art, drugs are often tested in animals, including but not limited to mice, rats, rabbits, dogs, cats, pigs, and monkeys, in order to measure a drug's efficacy for treatment against a disease or disease model, or to measure a drug's pharmacokinetics, toxicity, and other properties. The animals may be referred to as disease models. With respect to the antibodies of the present invention, a particular challenge arises when using animal models to evaluate the potential for in-human efficacy of candidate polypeptides - this is due, at least in part, to

the fact that antibodies that have a specific effect on the affinity for a human Fc receptor may not have a similar affinity effect with the orthologous animal receptor. These problems can be further exacerbated by the inevitable ambiguities associated with correct assignment of true orthologs (Mechetina et al., Immunogenetics, 2002 54:463-468), and the fact that some orthologs simply do not exist in the animal (e.g. humans possess an FcyRlla whereas mice do not). Therapeutics are often tested in mice, including but not limited to nude mice, SCID mice, xenograft mice, and transgenic mice (including knockins and knockouts). For example, an antibody of the present invention that is intended as an anti-cancer therapeutic may be tested in a mouse cancer model, for example a xenograft mouse. In this method, a tumor or tumor cell line is grafted onto or injected into a mouse, and subsequently the mouse is treated with the therapeutic to determine the ability of the antibody to reduce or inhibit cancer growth and metastasis. An alternative approach is the use of a SCID murine model in which immune-deficient mice are injected with human Peripheral Blood Lymphocytes (PBLs), conferring a semi-functional and human immune system - with an appropriate array of human FcRs - to the mice that have subsequently been injected with antibodies or Fc-polypeptides that target injected human tumor cells. In such a model, the Fc-polypeptides that target the desired antigen interact with human PBLs within the mice to engage tumoricidal effector functions. Such experimentation may provide meaningful data for determination of the potential of the antibody to be used as a therapeutic. Any organism, e.g., mammals, may be used for testing. For example because of their genetic similarity to humans, monkeys can be suitable therapeutic models, and thus may be used to test the efficacy, toxicity, pharmacokinetics, or other property of the antibodies of the present invention. Tests of the antibodies of the present invention in humans are ultimately required for approval as drugs, and thus of course these experiments are contemplated. Thus the antibodies of the present invention may be tested in humans to determine their therapeutic efficacy, toxicity, pharmacokinetics, and/or other clinical properties.

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[0164] Toxicity studies are performed to determine the antibody or Fc-fusion related-effects that cannot be evaluated in standard pharmacology profile or occur only after repeated administration of the agent. Most toxicity tests are performed in two species - a rodent and a non-rodent - to ensure that any unexpected adverse effects are not overlooked before new therapeutic entities are introduced into man. In general, these models may measure a variety of toxicities including genotoxicity, chronic toxicity, immunogenicity, reproductive/developmental toxicity, and carcinogenicity. Included within the aforementioned parameters are standard measurement of food consumption, bodyweight, antibody formation, clinical chemistry, and macro- and microscopic examination of standard organs/tissues (e.g. cardiotoxicity). Additional parameters of measurement are injection site trauma and the measurement of neutralizing antibodies, if any. Traditionally, monoclonal antibody therapeutics, naked or conjugated are evaluated for cross-reactivity with normal tissues, immunogenicity/antibody production, conjugate or linker toxicity and 'bystander' toxicity of radiolabeled species. Nonetheless, such studies may have to be individualized to address specific concerns and following the guidance set by ICH S6 (Safety studies for biotechnological products also noted above). As such, the general principles are that the products are sufficiently well characterized and for which impurities/contaminants have been removed, that the test material is comparable throughout development, and GLP compliance.

[0165] The pharmacokinetics (PK) of the antibodies of the invention can be studied in a variety of animal systems, with the most relevant being non-human primates such as the cynomolgous and rhesus monkeys. Single or repeated i.v./s.c. administrations over a dose range of 6000-fold (0.05-300 mg/kg) can be evaluated for the half-life (days to weeks) using plasma concentration and clearance as well as volume of distribution at a steady state and level of systemic absorbance can be measured. Examples of such parameters of measurement generally include maximum observed plasma concentration ( $C_{max}$ ), the time to reach Cmax ( $T_{max}$ ), the area under the plasma concentration-time curve from time 0 to infinity [AUC<sub>0-inf</sub>] and apparent elimination half-life (T<sub>1/2</sub>). Additional measured parameters could include compartmental analysis of concentration-time data obtained following i.v. administration and bioavailability. Examples of pharmacological/toxicological studies using cynomolgus have been established for Rituxan® and Zevalm® in which monoclonal antibodies to CD20 are cross-reactive. Biodistribution, dosimetry (for radiolabled antibodies), and PK studies can also be done in rodent models. Such studies would evaluate tolerance at all doses administered, toxicity to local tissues, localization to rodent xenograft animal models, depletion of target cells. The antibodies of the present invention may confer superior pharmacokinetics in animal systems or in humans. For example, increased binding to FcRn may increase the half-life and exposure of the therapeutic antibody. Alternatively, decreased binding to FcRn may decrease the half-life and exposure of the Fc-containing drug in cases where reduced exposure is favorable such as when such drug has side effects. It is known in the art that the array of Fc receptors is differentially expressed on various immune cell types, as well as in different tissues. Differential tissue distribution of Fc receptors may ultimately have an impact on the pharmacodynamic (PD) and pharmacokinetic (PK) properties of antibodies of the present invention. Because antibodies of the presentation have varying affinities for the array of Fc receptors, further screening of the polypeptides for PD and/or PK properties may be extremely useful for defining the optimal balance of PD, PK, and therapeutic efficacy conferred by each candidate polypeptide.

**[0166]** Pharmacodynamic studies may include, but are not limited to, targeting specific tumor cells or blocking signaling mechanisms, measuring depletion of target antigen expressing cells or signals, etc.. Such pharmacodynamic effects may be demonstrated in animal models or in humans.

[0167] The antibodies of the present invention may be used for therapeutic purposes. As will be appreciated by those in the art, the antibodies of the present invention may be used for any therapeutic purpose that uses antibodies and the like. In one embodiment, the antibodies are administered to a patient to treat disorders including but not limited to cancer. [0168] A "patient' for the purposes of the present invention includes both humans and other animals, e.g., mammals, e.g., humans. Thus the antibodies of the present invention have both human therapy and veterinary applications. The term "treatment" or "treating" in the present invention is meant to include therapeutic treatment, as well as prophylactic, or suppressive measures for a disease or disorder Thus, for example, successful administration of an antibody prior to onset of the disease results in treatment of the disease. As another example, successful administration of an optimized antibody after clinical manifestation of the disease to combat the symptoms of the disease comprises treatment of the disease in order to eradicate the disease. Successful administration of an agent after onset and after clinical symptoms has developed, with possible abatement of clinical symptoms and perhaps amelioration of the disease or disorder, as well as those prone to having the disease or disorder, including those in which the disease or disorder is to be prevented.

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**[0169]** By "cancer" and "cancerous" herein refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth. Examples of cancer include but are not limited to carcinoma, lymphoma, blastoma, sarcoma (including liposarcoma), neuroendocrine tumors, mesothelioma, schwanoma, meningioma, adenocarcinoma, melanoma, and leukemia or lymphoid malignancies.

[0170] More particular examples of such cancers include hematologic malignancies, such as non-Hodgkin's lymphomas (NHL), B- cell acute lymphoblastic leukemia/lymphoma (B-ALL), and T-cell acute lymphoblastic leukemia/lymphoma (TALL), thymoma, Langerhans cell histocytosis, multiple myeloma (MM), myeloid neoplasias such as acute myelogenous leukemias (AML), including AML with maturation, AML without differentiation, acute promyelocytic leukemia, acute myelomonocytic leukemia, and acute monocytic leukemias, myelodysplastic syndromes, and chronic myeloproliferative disorders (MDS), including chronic myelogenous leukemia (CML).

[0171] If the cancer or tumor is a lymphoma or leukemia, the disease may be in the stage of minimal residual disease (MRD). This stage may for example be reached after conventional chemotherapy with or without stem cell transplantation. In this context, "MRD" relates to a disease state where small numbers of lymphoma/leukaemic cells remain in the patient during treatment or after treatment when the patient is in remission, including complete remission (no symptoms or signs of disease). This is the major cause of relapse in cancer and leukaemia. In this stage, although the patient may be in complete remission, the disease is still detectable by state of the art techniques such as polymerase chain reaction (PCR) and flow cytometry (FACS).

[0172] The target of the antibodies of the present invention may be polymorphic in the human population. For a given patient or population of patients, the efficacy of the antibodies of the present invention may thus be affected by the presence or absence of specific polymorphisms in proteins. For example, FcyRIIIA is polymorphic at position 158, which is commonly either V (high affinity) or F (low affinity). Patients with the V/V homozygous genotype are observed to have a better clinical response to treatment with the anti-CD20 antibody Rituxan® (rituximab), likely because these patients mount a stronger NK response (Dall'Ozzo et al. (2004) Cancer Res. 64-4664-9). Additional polymorphisms include but are not limited to FcγRIIA R131 or H131, and such polymorphisms are known to either increase or decrease Fc binding and subsequent biological activity, depending on the polymorphism. Antibodies of the present invention may bind to a particular polymorphic form of a receptor, for example FcyRIIIA 158 V, or to bind with equivalent affinity to all of the polymorphisms at a particular position in the receptor, for example both the 158V and 158F polymorphisms of FcγRIIIA. In one embodiment, antibodies of the present invention may have equivalent binding to polymorphisms that may be used in an antibody to eliminate the differential efficacy seen in patients with different polymorphisms. Such a property may give greater consistency in therapeutic response and reduce non-responding patient populations. Such variant Fc with identical binding to receptor polymorphisms may have increased biological activity, such as ADCC, CDC or circulating half-life, or alternatively decreased activity, via modulation of the binding to the relevant Fc receptors. In one embodiment, antibodies of the present invention may bind with higher or lower affinity to one of the polymorphisms of a receptor, either accentuating the existing difference in binding or reversing the difference. Such a property may allow creation of therapeutics particularly tailored for efficacy with a patient population possessing such polymorphism. For example, a patient population possessing a polymorphism with a higher affinity for an inhibitory receptor such as FcyRIIb could receive a drug containing an antibody with reduced binding to such polymorphic form of the receptor, creating a more efficacious drug.

**[0173]** In one embodiment, patients are screened for one or more polymorphisms in order to predict the efficacy of the antibodies of the present invention. This information may be used, for example, to select patients to include or exclude from clinical trials or, post-approval, to provide guidance to physicians and patients regarding appropriate dosages and treatment options. In one embodiment, patients are selected for inclusion in clinical trials for an antibody of the present invention if their genotype indicates that they are likely to respond significantly better to an antibody of the present invention as compared to one or more currently used antibody therapeutics. In another embodiment, appropriate dosages

and treatment regimens are determined using such genotype information. In another embodiment, patients are selected for inclusion in a clinical trial or for receipt of therapy post-approval based on their polymorphism genotype, where such therapy contains an antibody engineered to be specifically efficacious for such population, or alternatively where such therapy contains an antibody that does not show differential activity to the different forms of the polymorphism.

[0174] Included in the present invention are diagnostic tests to identify patients who are likely to show a favorable clinical response to an antibody of the present invention, or who are likely to exhibit a significantly better response when treated with an antibody of the present invention versus one or more currently used antibody therapeutics. Any of a number of methods for determining Fc<sub>7</sub>R polymorphisms in humans known in the art may be used.

**[0175]** Furthermore, the present invention comprises prognostic tests performed on clinical samples such as blood and tissue samples. Such tests may assay for effector function activity, including but not limited to ADCC, CDC, phagocytosis, and opsonization, or for killing, regardless of mechanism, of cancerous or otherwise pathogenic cells. In one embodiment, ADCC assays, such as those described previously, are used to predict, for a specific patient, the efficacy of a given antibody of the present invention. Such information may be used to identify patients for inclusion or exclusion in clinical trials, or to inform decisions regarding appropriate dosages and treatment regimens. Such information may also be used to select a drug that contains a particular antibody that shows superior activity in such assay.

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[0176] Pharmaceutical compositions are contemplated wherein an antibody of the present invention and one or more therapeutically active agents are formulated. Formulations of the antibodies of the present invention are prepared for storage by mixing the antibody having the desired degree of purity with optional pharmaceutically acceptable carriers, excipients or stabilizers (Remington's Pharmaceutical Sciences 16th edition, Osol, A. Ed., 1980), in the form of lyophilized formulations or aqueous solutions. Acceptable carriers, excipients, or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, acetate, and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl orbenzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrins; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; sweeteners and other flavoring agents; fillers such as microcrystalline cellulose, lactose, corn and other starches; binding agents; additives; coloring agents; saltforming counter-ions such as sodium; metal complexes (e.g. Zn-protein complexes); and/or non-ionic surfactants such as TWEEN™, PLURONICS™ or polyethylene glycol (PEG). In one embodiment, the pharmaceutical composition that comprises the antibody of the present invention may be in a water-soluble form, such as being present as pharmaceutically acceptable salts, which is meant to include both acid and base addition salts. "Pharmaceutically acceptable acid addition salt" refers to those salts that retain the biological effectiveness of the free bases and that are not biologically or otherwise undesirable, formed with inorganic acids such as hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid, phosphoric acid and the like, and organic acids such as acetic acid, propionic acid, glycolic acid, pyruvic acid, oxalic acid, maleic acid, malonic acid, succinic acid, fumaric acid, tartaric acid, citric acid, benzoic acid, cinnamic acid, mandelic acid, methanesulfonic acid, ethanesulfonic acid, p-toluenesulfonic acid, salicylic acid and the like. "Pharmaceutically acceptable base addition salts" include those derived from inorganic bases such as sodium, potassium, lithium, ammonium, calcium, magnesium, iron, zinc, copper, manganese, aluminum salts and the like. Particularly useful are the ammonium, potassium, sodium, calcium, and magnesium salts. Salts derived from pharmaceutically acceptable organic nontoxic bases include salts of primary, secondary, and tertiary amines, substituted amines including naturally occurring substituted amines, cyclic amines and basic ion exchange resins, such as isopropylamine, trimethylamine, diethylamine, triethylamine, tripropylamine, and ethanolamine. The formulations to be used for in vivo administration should be sterile. This is readily accomplished by filtration through sterile filtration membranes or other methods.

[0177] The antibodies disclosed herein may also be formulated as immunoliposomes. A liposome is a small vesicle comprising various types of lipids, phospholipids and/or surfactant that is useful for delivery of a therapeutic agent to a mammal. Liposomes containing the antibody are prepared by methods known in the art, such as described in Epstein et al., 1985, Proc Natl Acad Sci USA, 82:3688; Hwang et al., 1980, Proc Natl Acad Sci USA, 77:4030; US 4,485,045; US 4,544,545; and PCT application WO 97/38731. Liposomes with enhanced circulation time are disclosed in US patent 5,013,556. The components of the liposome are commonly arranged in a bilayer formation, similar to the lipid arrangement of biological membranes. Particularly useful liposomes can be generated by the reverse phase evaporation method with a lipid composition comprising phosphatidylcholine, cholesterol and PEG-derivatized phosphatidylethanolamine (PEG-PE). Liposomes are extruded through filters of defined pore size to yield liposomes with the desired diameter. A chemotherapeutic agent or other therapeutically active agent is optionally contained within the liposome (Gabizon et al., 1989, J National Cancer Inst 81:1484).

[0178] The antibody and other therapeutically active agents may also be entrapped in microcapsules prepared by methods including but not limited to coacervation techniques, interfacial polymerization (for example using hydroxymeth-

ylcellulose or gelatin- microcapsules, or poly-(methylmethacylate) microcapsules), colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules), and macroemulsions. Such techniques are disclosed in Remington's Pharmaceutical Sciences 16th edition, Osol, A. Ed., 1980. Sustained-release preparations may be prepared. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymer, which matrices are in the form of shaped articles, e.g. films, or microcapsules. Examples of sustained-release matrices include polyesters, hydrogels (for example poly(2- hydroxyethyl-methacrylate), or poly(vinylalcohol)), polylactides (US patent 3,773,919), copolymers of L-glutamic acid and gamma ethyl-L- glutamate, nondegradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as the Lupron Depot® (which are injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), poly-D-(-)-3-hydroxybutyric acid, and ProLease® (commercially available from Alkermes), which is a microsphere-based delivery system composed of the desired bioactive molecule incorporated into a matrix of poly-DL-lactide-co-glycolide (PLG).

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**[0179]** Administration of the pharmaceutical composition comprising an antibody of the present invention, e.g., in the form of a sterile aqueous solution, may be done in a variety of ways, including, but not limited to orally, subcutaneously, intravenously, intranasally, intraotically, transdermally, topically (e.g., gels, salves, lotions, creams, etc.), intraperitoneally, intramuscularly, intrapulmonary, vaginally, parenterally, rectally, or intraocularly. As is known in the art, the pharmaceutical composition may be formulated accordingly depending upon the manner of introduction.

**[0180]** As is known in the art, protein therapeutics are often delivered by IV infusion or bolus. The antibodies of the present invention may also be delivered using such methods. For example, administration may be by intravenous infusion with 0.9% sodium chloride as an infusion vehicle.

[0181] In addition, any of a number of delivery systems are known in the art and may be used to administer the antibodies of the present invention. Examples include, but are not limited to, encapsulation in liposomes, microparticles, microspheres (eg. PLA/PGA microspheres), and the like. Alternatively, an implant of a porous, nonporous, or gelatinous material, including membranes or fibers, may be used. Sustained release systems may comprise a polymeric material or matrix such as polyesters, hydrogels, poly(vinylalcohol), polylactides, copolymers of L-glutamic acid and ethyl-L-glutamate, ethylene-vinyl acetate, lactic acid-glycolic acid copolymers such as the Lupron Depot(R), and poly-D-(-)-3-hydroxyburyric acid. It is also possible to administer a nucleic acid encoding the antibody of the current invention, for example by retroviral infection, direct injection, or coating with lipids, cell surface receptors, or other transfection agents. In all cases, controlled release systems may be used to release the antibody at or close to the desired location of action.

**[0182]** The dosing amounts and frequencies of administration are, in one embodiment, selected to be therapeutically or prophylactically effective. As is known in the art, adjustments for protein degradation, systemic versus localized delivery, and rate of new protease synthesis, as well as the age, body weight, general health, sex, diet, time of administration, drug interaction and the severity of the condition may be necessary, and will be ascertainable with routine experimentation by those skilled in the art.

[0183] The concentration of the therapeutically active antibody in the formulation may vary from about 0.1 to 100 weight %. In one embodiment, the concentration of the antibody is in the range of 0.003  $\mu$ M to 1.0 molar. In order to treat a patient, a therapeutically effective dose of the antibody of the present invention may be administered. By "therapeutically effective dose" herein is meant a dose that produces the effects for which it is administered. The exact dose will depend on the purpose of the treatment, and will be ascertainable by one skilled in the art using known techniques. Dosages may range from 0.0001 to 100 mg/kg of body weight or greater, for example 0.1,1, 10, or 50 mg/kg of body weight, e.g., 1 to 10mg/kg of body weight.

**[0184]** In some embodiments, only a single dose of the antibody is used. In other embodiments, multiple doses of the antibody are administered. The elapsed time between administrations may be less than 1 hour, about 1 hour, about 1-2 hours, about 2-3 hours, about 3-4 hours, about 6 hours, about 12 hours, about 24 hours, about 48 hours, about 2-4 days, about 4-6 days, about 1 week, about 2 weeks, or more than 2 weeks.

[0185] In other embodiments the antibodies of the present invention are administered in metronomic dosing regimes, either by continuous infusion or frequent administration without extended rest periods. Such metronomic administration may involve dosing at constant intervals without rest periods. Typically such regimens encompass chronic low-dose or continuous infusion for an extended period of time, for example 1-2 days, 1-2 weeks, 1-2 months, or up to 6 months or more. The use of lower doses may minimize side effects and the need for rest periods.

[0186] In certain embodiments the antibody of the present invention and one or more other prophylactic or therapeutic agents are cyclically administered to the patient. Cycling therapy involves administration of a first agent at one time, a second agent at a second time, optionally additional agents at additional times, optionally a rest period, and then repeating this sequence of administration one or more times. The number of cycles is typically from 2 - 10. Cycling therapy may reduce the development of resistance to one or more agents, may minimize side effects, or may improve treatment efficacy.

**[0187]** The antibodies of the present invention may be administered concomitantly with one or more other therapeutic regimens or agents. The additional therapeutic regimes or agents may be used to improve the efficacy or safety of the antibody. Also, the additional therapeutic regimes or agents may be used to treat the same disease or a comorbidity

rather than to alter the action of the antibody. For example, an antibody of the present invention may be administered to the patient along with chemotherapy, radiation therapy, or both chemotherapy and radiation therapy. The antibody of the present invention may be administered in combination with one or more other prophylactic or therapeutic agents, including but not limited to cytotoxic agents, chemotherapeutic agents, cytokines, growth inhibitory agents, anti-hormonal agents, kinase inhibitors, anti-angiogenic agents, cardioprotectants, immunostimulatory agents, immunosuppressive agents, agents that promote proliferation of hematological cells, angiogenesis inhibitors, protein tyrosine kinase (PTK) inhibitors, additional antibodies, FcyRIIb or other Fc receptor inhibitors, or other therapeutic agents.

[0188] The terms "in combination with" and "co-administration" are not limited to the administration of the prophylactic or therapeutic agents at exactly the same time. Instead, it is meant that the antibody of the present invention and the other agent or agents are administered in a sequence and within a time interval such that they may act together to provide a benefit that is increased versus treatment with only either the antibody of the present invention or the other agent or agents. In one embodiment, that the antibody and the other agent or agents act additively, e.g., they act synergistically. Such molecules are suitably present in combination in amounts that are effective for the purpose intended. The skilled medical practitioner can determine empirically, or by considering the pharmacokinetics and modes of action of the agents, the appropriate dose or doses of each therapeutic agent, as well as the appropriate timings and methods of administration.

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**[0189]** In one embodiment, the antibodies of the present invention are administered with one or more additional molecules comprising antibodies or Fc. The antibodies of the present invention may be co-administered with one or more other antibodies that have efficacy in treating the same disease or an additional comorbidity, for example two antibodies may be administered that recognize two antigens that are overexpressed in a given type of cancer.

[0190] In one embodiment, the antibodies of the present invention are administered with a chemotherapeutic agent. By "chemotherapeutic agent" as used herein is meant a chemical compound useful in the treatment of cancer. Examples of chemotherapeutic agents include but are not limited to alkylating agents such as thiotepa and cyclosphosphamide; alkyl sulfonates such as busulfan, improsulfan and piposulfan, androgens such as calusterone, dromostanolone propionate, epitiostanol, mepitiostane, testolactone, anti-adrenals such as aminoglutethimide, mitotane, trilostane, anti-androgens such as flutamide, nilutamide, bicalutamide, leuprolide, and goserelin; antibiotics such as aclacinomysins, actinomycin, authramycin, azasenne, bleomycins, cactinomycin, calicheamicin, carabicin, caminomycin, carzinophilm, chromomycins, dactinomycin, daunorubicin, detorubicin, 6-diazo-5-oxo-L-norleucine, doxorubicin, epirubicin, esorubicin, idarubicin, marcellomycin, mitomycins, mycophenolic acid, nogalamycin, olivomycins, peplomycin, potfiromycin, puromycin, quelamycin, rodorubicin, streptonigrin, etreptozocin, tubercidin, ubenimex, zinostatin, zorubicin; anti estrogens including for example tamoxifen, raloxifene, aromatase inhibiting 4(5)-imidazoles, 4-hydroxytamoxifen, trioxifene, keoxifene, LY 117018, onapristone, and toremifene (Fareston); anti-metabolites such as methotrexate and 5-fluorouracil (5-FU); folic acid analogues such as denopterin, methotrexate, pteropterin, trimetrexate; aziridines such as benzodopa, carboquone, meturedopa, and uredopa; ethylenimines and methylamelamines including altretamine, triethylenemelamine, trietylenephosphoramide, thethylenethiophosphaoramide and trimethylolomelamine; folic acid replenisher such as frolinic acid; nitrogen mustards such as chlorambucil, chlornaphazine, cholophosphamide, estramustine, ifosfamide, mechlorethamine, mechlorethamine oxide hydrochloride, melphalan, novembichin, phenesterine, prednimustine, trofosfamide, uracil mustard; nitrosureas such as carmustine, chlorozotocin, fotemustine, lomustine, nimustine, ranimustine; platinum analogs such as cisplatin and carboplatin; vinblastine; platinum; proteins such as arginine deiminase and asparaginase; purine analogs such as fludarabine, 6-mercaptopurine, thiamiprine, thioguanine; pyrimidine analogs such as ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, doxifluridine, enocitabine, floxuridine, 5-FU; taxanes, e.g. paclitaxel (TAXOL®, Bristol-Myers Squibb Oncology, Princeton, N.J.) and docetaxel (TAXOTERE®, Rhne-Poulenc Rorer, Antony, France); topoisomerase inhibitor RFS 2000; thymidylate synthase inhibitor (such as Tomudex); additional chemotherapeutics including aceglatone; aldophosphamide glycoside; aminolevulinic acid; amsacrine; bestrabucil; bisantrene; edatraxate; defofamine; demecolcine; diaziquone; difluoromethylornithine (DMFO); elformithine; elliptinium acetate; etoglucid; gallium nitrate; hydroxyurea; lentinan; lonidamine; mitoguazone; mitoxantrone; mopidamol; nitracrine; pentostatin; phenamet; pirarubicin; podophyllinic acid; 2- ethylhydrazide; procarbazine; PSK®; razoxane; sizofuran; spirogermanium; tenuazonic acid; triaziquone; 2, 2',2"-trichlorotriethylamine; urethan; vindesine; dacarbazine; mannomustine; mitobronitol; mitolactol; pipobroman; gacytosine; arabinoside ("Ara-C"); cyclophosphamide; thiotepa; chlorambucil; gemcitabine; 6-thioguanine; mercaptopurine; methotrexate; etoposide (VP-16); ifosfamide; mitomycin C; mitoxantrone; vinoristine; vinorelbine; navelbine; novantrone; teniposide; daunomycin; aminopterin; xeloda; ibandronate; CPT- 11 ;retinoic acid; esperamicins; capecitabine. Pharmaceutically acceptable salts, acids, or derivatives of any of the above may also be used.

**[0191]** A chemotherapeutic or other cytotoxic agent may be administered as a prodrug. By "prodrug" as used herein is meant a precursor or derivative form of a pharmaceutically active substance that is less cytotoxic to tumor cells compared to the parent drug and is capable of being enzymatically activated or converted into the more active parent form. See, for example Wilman, 1986, Biochemical Society Transactions, 615th Meeting Belfast, 14:375- 382; Stella et al., "Prodrugs: A Chemical Approach to Targeted Drug Delivery," Directed Drug Delivery; and Borchardt eet al., (ed.):

247-267, Humana Press, 1985. The prodrugs that may find use with the present invention include but are not limited to phosphate-containing prodrugs, thiophosphate-containing prodrugs, sulfate-containing prodrugs, peptide-containing prodrugs, D-amino acid-modified prodrugs, glycosylated prodrugs, beta-lactam-containing prodrugs, optionally substituted phenoxyacetamide-containing prodrugs or optionally substituted phenylacetamide-containing prodrugs, 5-fluorocytosine and other 5-fluorouridine prodrugs which can be converted into the more active cytotoxic free drug. Examples of cytotoxic drugs that can be derivatized into a prodrug form for use with the antibodies of the present invention include but are not limited to any of the aforementioned chemotherapeutic agents.

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[0192] In another embodiment, the antibody is administered with one or more immunomodulatory agents. Such agents may increase or decrease production of one or more cytokines, up- or down-regulate self-antigen presentation, mask MHC antigens, or promote the proliferation, differentiation, migration, or activation state of one or more types of immune cells. Immunomodulatory agents include but are not limited to non-steroidal anti-inflammatory drugs (NSAIDs) such as aspirin, ibuprofen, celecoxib, diclofenac, etodolac, fenoprofen, indomethacin, ketoralac, oxaprozin, nabumentone, sulindac, tolmentin, rofecoxib, naproxen, ketoprofen, and nabumetone, steroids (e.g. glucocorticoids, dexamethasone, cortisone, hydroxycortisone, methylprednisolone, prednisolone, prednisolone, trimcinolone, azulfidineicosanoids such as prostaglandins, thromboxanes, and leukotrienes, as well as topical steroids such as anthrahn, calcipotriene, clobetasol, and tazarotene), cytokines such as TGFb, IFN $\alpha$ , IFN $\beta$ , IFN $\gamma$ , IL-2, IL-4, IL-10, cytokine, chemokine, or receptor antagonists including antibodies, soluble receptors, and receptor-Fc fusions against BAFF, B7, CCR2, CCR5, CD2, CD3, CD4, CD6, CD7, CD8, CD11, CD14, CD15, CD17, CD18, CD20, CD23, CD28, CD40, CD40L, CD44, CD45, CD402, CD64, CD80, CD86, CD147, CD152, complement factors (C5, D) CTLA4, eotaxin, Fas, ICAM, ICOS, IFNα, IFNβ,-IFNγ, IFNAR, IgE, IL-1, IL-2, IL-2R, IL-4, IL-5R, IL-6, IL-8, IL-9 IL-12, IL-13, IL-13R1, IL-15, IL-18R, IL-23, integrins, LFA-1, LFA-3, MHC, selectins, TGFβ, TNFα, TNFβ+, TNF-R1, T-cell receptor, including Enbrel® (etanercept), Humira® (adalimumab), and Remicade® (infliximab), heterologous anti-lymphocyte globulin; other immunomodulatory molecules such as 2-amino-6-aryl-5 substituted pyrimidines, anti-idiotypic antibodies for MHC binding peptides and MHC fragments, azathioprine, brequinar, bromocryptine, cyclophosphamide, cyclosporine A, D-penicillamine, deoxyspergualm, FK506, glutaraldehyde, gold, hydroxychloroquine, leflunomide, malononitriloamides (e.g. leflunomide), methotrexate, minocycline, mizoribine, mycophenolate mofetil, rapamycin, and sulfasasazine.

[0193] In an alternate embodiment, antibodies of the present invention are administered with a cytokine. By "cytokine" as used herein is meant a generic term for proteins released by one cell population that act on another cell as intercellular mediators. Examples of such cytokines are lymphokines, monokines, and traditional polypeptide hormones. Included among the cytokines are growth hormones such as human growth hormone, N-methionyl human growth hormone, and bovine growth hormone; parathyroid hormone, thyroxine; insulin; proinsulin, relaxin, prorelaxin, glycoprotein hormones such as follicle stimulating hormone (FSH), thyroid stimulating hormone (TSH), and luteinizing hormone (LH), hepatic growth factor, fibroblast growth factor; prolactin, placental lactogen, tumor necrosis factor- alpha and -beta; mullerianinhibiting substance, mouse gonadotropin-associated peptide, inhibin; activin, vascular endothelial growth factor; integrin; thrombopoietin (TPO); nerve growth factors such as NGF-beta; platelet-growth factor, transforming growth factors (TGFs) such as TGF-alpha and TGF-beta, insulin-like growth factor-1 and -II; erythropoietin (EPO), osteoinductive factors, interferons such as interferon-alpha, beta, and -gamma, colony stimulating factors (CSFs) such as macrophage-CSF (M-CSF), granulocyte-macrophage- CSF (GM-CSF), and granulocyte-CSF (G-CSF), interleukins (ILs) such as IL-1, IL-1 alpha, IL- 2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12, IL-15, a tumor necrosis factor such as TNF-alpha or TNF-beta, and other polypeptide factors including LIF and kit ligand (KL) As used herein, the term cytokine includes proteins from natural sources or from recombinant cell culture, and biologically active equivalents of the native sequence cytokines.

[0194] In one embodiment, cytokines or other agents that stimulate cells of the immune system are co-administered with the antibody of the present invention. Such a mode of treatment may enhance desired effector function. For example, agents that stimulate NK cells, including but not limited to IL-2 may be co-administered. In another embodiment, agents that stimulate macrophages, including but not limited to C5a, formyl peptides such as N-formyl-methionyl-leucyl-phenylalanine (Beigier-Bompadre et al. (2003) Scand J. Immunol. 57. 221-8), may be co-administered Also, agents that stimulate neutrophils, including but not limited to G-CSF, GM-CSF, and the like may be administered Furthermore, agents that promote migration of such immunostimulatory cytokines may be used. Also additional agents including but not limited to interferon gamma, IL-3 and IL-7 may promote one or more effector functions.

**[0195]** In an alternate embodiment, cytokines or other agents that inhibit effector cell function are co-administered with the antibody of the present invention Such a mode of treatment may limit unwanted effector function

[0196] The antibodies of the present invention may be combined with other therapeutic regimens. For example, in one embodiment, the patient to be treated with an antibody of the present invention may also receive radiation therapy. Radiation therapy can be administered according to protocols commonly employed in the art and known to the skilled artisan. Such therapy includes but is not limited to cesium, iridium, iodine, or cobalt radiation. The radiation therapy may be whole body irradiation, or may be directed locally to a specific site or tissue in or on the body, such as the lung, bladder, or prostate. Typically, radiation therapy is administered in pulses over a period of time from about 1 to 2 weeks.

The radiation therapy may, however, be administered over longer periods of time. For instance, radiation therapy may be administered to patients having head and neck cancer for about 6 to about 7 weeks. Optionally, the radiation therapy may be administered as a single dose or as multiple, sequential doses. The skilled medical practitioner can determine empirically the appropriate dose or doses of radiation therapy useful herein. In accordance with another embodiment of the invention, the antibody of the present invention and one or more other anti-cancer therapies are employed to treat cancer cells ex vivo. It is contemplated that such ex vivo treatment may be useful in bone marrow transplantation and particularly, autologous bone marrow transplantation. For instance, treatment of cells or tissue(s) containing cancer cells with antibody and one or more other anti-cancer therapies, such as described above, can be employed to deplete or substantially deplete the cancer cells prior to transplantation in a recipient patient.

10 [0197] It is of course contemplated that the antibodies of the invention may employ in combination with still other therapeutic techniques such as surgery or phototherapy.

**[0198]** The present invention is further illustrated by the following examples. However, it should be understood, that the invention is not limited to the exemplified embodiments.

#### 15 **EXAMPLES**

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#### Materials and methods

## A. Bacterial strains and Plasmids

[0199] Escherichia coli DH5a (Invitrogen, Karlsruhe, Germany) was used for the amplification of plasmids and cloning.

#### B. Cell lines

[0200] Mouse myeloma cell line Sp2/0-Ag14 (ATCC, American Type Culture Collection, Manassas, VA, USA) used for production of recombinant hum-FLT3 specific antibody derivatives was cultured in IMDM (PAN-Biotech, Aidenbach, Germany) supplemented with 10% fetal calf serum (PAN-Biotech, Aidenbach, Germany), 1% penicillin and streptomycin (Lonza, Basel, Switzerland). Stable transfectants were selected with 1 mg/ml G418 (Invitrogen, Karlsruhe, Germany). [0201] Hybridoma cell lines BV10 and 4G8, secreting mouse IgG1/κ anti human FLT3 specific antibodies (obtained from Dr. H-J. Bühring, UKT Tübingen, Germany), were cultured in RPMI 1640 (PAN-Biotech, Aidenbach, Germany) supplemented with 10% fetal calf serum (PAN-Biotech, Aidenbach, Germany), 1% penicillin and streptomycin (Lonza, Basel, Switzerland).

[0202] Peripheral blood mononuclear cells (PBMCs), isolated by density gradient centrifugation (LSM 1077, Lonza, Basel, Switzerland), hybridoma cells and NALM16 cells (kind gift of R. Handgretinger, Department of Pediatrics, University of Tübingen) were kept in RPMI 1640, mouse Sp2/0-Ag14 cells (ATCC, Manassas, USA) in IMDM medium (Lonza). All media were supplemented with 10% heat-inactivated fetal calf serum, 100 U/ml penicillin, 100 μg/ml streptomycin, 1 mM sodium-pyruvate, non-essential amino-acids, 2 mM L-glutamine and 57 nM beta-mercaptoethanol.

## C. FLT3-transfectant

**[0203]** Full length cDNA of human FLT3 (GenBank #BC126350) was obtained from Imagines, Berlin, Germany. The cDNA was cloned into the pcDNA3 vector using added *BamH*I- and *Xba*I-restriction sites and transfected into Sp2/0-Ag14 cells by electroporation.

## D. Antibodies and Flow Cytometry

[0204] CD33-PE-Cy5 (clone WM53), CD34-APC (clone 581), CD-45-FITC (clone HI30), CD123- PE-Cy5 (clone 9F5), CD11c-PE (clone B-ly6) and isotype control antibodies were purchased from BD Biosciences (Heidelberg, Germany), the CD303-FITC antibody from Miltenyi Biotech (Bergisch-Gladbach, Germany). All antibodies were incubated with cells for 30 minutes at 4°C. For indirect immunofluorescence, PE- or APC-conjugated goat-anti-mouse F(ab)2-fragments and goat-anti-human F(ab)2-fragments, respectively, were used (Jackson ImmunoResearch, West Grove, USA). In several experiments, we combined indirect and direct immunofluorescence for multi-dimensional analysis adding labeled antibodies in a final step. Cells were analyzed on a FACSCanto II or a FACSCalibur (Becton Dickinson). Beads for the quantitative analysis of indirect immunofluorescence (QIFIKIT®) were purchased from Dako (Hamburg, Germany) and used according to the protocol of the manufacturer. For quantification of humanized antibodies suitable beads were not available. Thus, a specific fluorescence index (SFI) was calculated by dividing mean fluorescence intensity obtained with 4G8SDIEM by that detected with the non binding, SDIE-modified control antibody 9.2.27. For these experiments PE conjugated antibodies generated with the Lynx rapid PE antibody conjugation kit (AbD Serotec, Dusseldorf, Germany)

were used. Recombinant FLT3 ligand (rFLT3L) was purchased from Peprotech EC (London, Great Britain). For competition experiments various concentrations of rFLT3L were incubated with NALM16 cells and BV10SDIEM or 4G8SDIEM (1  $\mu$ g/ml) for 30 minutes at 4°C and analyzed by indirect immunofluorescence and flow cytometry.

## E. 3[H]-methyl-thymidine uptake assay

[0205]  $2x10^5$  AML blasts were seeded in triplicates in 96 well plates and incubated with various concentrations of optimized antibodies. After 24 hours cells were pulsed for another 20 hours with 3[H]-methyl-thymidine (0,5  $\mu$ Ci/well) and harvested on filtermats. Incorporated radioactivity was determined by liquid scintillation counting in a 2450 Microplate counter (Perkin Elmer, Waltham, USA).

### F. 51 [Cr]-release assays

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[0206] NALM16 cells and primary AML blasts were used as targets. To separate blasts and effector cells from the PBMC preparations of leukemia patients, cells were labeled with CD34 and CD33 microbeads and separated on LD columns following the manufacturers (Miltenyi Biotec) protocol. The number of contaminating blast cells in the negatively selected effector cell population was determined by FACS analysis and varied between 1% and 10% depending on the initial blast contamination. In some experiments labeled DCs were used as target cells. Chromium release assays were performed as previously described (Otz T, Grosse-Hovest L, Hofmann M, Rammensee HG, Jung G. A bispecific singlechain antibody that mediates target cell-restricted, supra-agonistic CD28 stimulation and killing of lymphoma cells. Leukemia. 2009;23(1):71-77). Briefly, labeled target cells and PBMCs were incubated at 37°C for 4 or 8 hours in 96 well flat bottom plates in the presence of various concentrations of antibodies at a PBMC:target ratio of 50:1. Percentage of specific 51 [Cr] release was calculated according to the formula [cpm (test)-cpm (spontaneous) / [cpm (triton lysis)] -cpm (spontaneous)]. If leukemic blasts were used as targets the addition of effector cells without antibody reduced spontaneous 51[Cr] release in some experiments resulting in negative values for the specific release.

## G. Antigen shift

[0207] NALM16 cells or AML blasts were incubated with various concentrations of 4G8SDIEM or BV10SDIEM in RPMI 1640 medium. After 24 or 48 hours the cells were washed with icecold FACS-buffer, incubated with a saturating concentration of 4G8SDIEM (2 µg/ml) for 30 minutes at 4°C, stained with PE-conjuagted goat-anti-human F(ab)2-fragments and analyzed by FACS. Relative surface expression of FLT3 was calculated defining the mean fluorescence intensity of cells preincubated without antibody as 100%.

## H. Dendritic cell (DC) isolation and -maturation

**[0208]** DCs were isolated from buffy coat preparations of healthy individuals using the blood DC isolation kit II according to the protocol of the manufacturer (Miltenyi Biotec). Myeloid (mDC) and plasmacytoid (pDC) subsets were stained with a mixture of CD11c-PE, CD303-FITC and CD123-PE-Cy5 antibodies. For *in vitro* generation of mDC, 1x108 PBMCs of healthy individuals were seeded in 10 ml X-Vivo15 medium (Gibco, Darmstadt, Germany). After 2 hours at 37°C adherent cells were cultured in RPMI 1640 medium supplemented with 50 ng/ml GM-CSF and IL-4 (20 ng/ml) for 5 days. On day 6 LPS (100 ng/ml) was added. Cells were harvested on day 7 and analyzed by flow cytometry.

#### I. Colony forming unit assay

**[0209]** Bone marrow cells were obtained by lavage of the femoral head from patients undergoing hip surgery. The cells were purified by density gradient centrifugation and seeded at 107/ml in RPMI 1640 medium containing 5  $\mu$ g/ml of 4G8SDIEM or 9.2.27SDIE. After 24 hours of incubation cells were transferred into antibody containing (5  $\mu$ g/ml) methylcellulose medium (10.000 cells/ml, MethoCult H4434 classic, Stemcell Technologies, Grenoble, France). The assay was performed in triplicates. After 12 days colonies were counted and classified.

## Example 1: Identification of unknown sequences from FLT3 specific antibodies

## A. Cloning of the DNA encoding V regions

**[0210]** The cloning of the V regions was done by PCR. Most techniques start from mRNA and make use of the similarity of antibody V regions (Kabat, E.A., Wu, T.T., Reid-Müller, M., Perry, H.M., Gottesman, K.S. Sequences of Proteins of immunological interest, 4th ed. U.S. Department of Health and Human Services, Public Health Service, National Institute

of Health, Bethesda, MD. 1987) which makes the design of degenerated primers for PCR amplification possible (Larrick, J.W., Daniellson, L., Brenner, C.A., Wallace, E.F., Abrahamson, M., Fry, K.E., Borrebaeck, C.A.K. Polymerase chain reaction used mixed primers: cloning of a human monoclonal antibody variable region genes from single hybridoma cells. Bio/Technology 7: 934-938, 1989; Orlandi, R., Güssow, D.H., Jones, P.T., Winter, G. Cloning immunoglobulin variable domains for expression by the polymerase chain reaction. Proc. Natl. Acad. Sci. USA 86: 3833-3837, 1989). However, the unbiased amplification of complete V repertoires requires very complex sets of degenerated primers (Marks J.D., Hoogenboom H.R., Bonnert T.P., McCafferty J., Griffiths A.D., Winter G. By-passing immunization. Human antibodies from V-gene libraries displayed on phage. J. Mol. Biol. 222: 581-597, 1991). The cloning of V regions with very atypical sequences might still not be possible by this approach. Moreover, the original sequence will be lost in those parts that are covered by the primers. The amino acids in these regions seem to contribute to the correct folding of the CDR regions (Chothia, C., Lesk, A.M., Tramontano, A., Levitt, M., Smith-Gill, S.J., et al. Conformations of immunoglobulin hypervariable regions. Nature, 342: 877-883, 1989). For this reason, V-region cloning by use of degenerate primers could lead to reduced antibody affinity. A method to circumvent these potential problems is to clone both chains of the antibody by inverse polymerase chain reaction (iPCR) with primers matching the known constant region sequences of the antibody. The cloning procedure is schematically illustrated in Figure 1.

**[0211]** Cytoplasmatic RNAs were prepared from the hybridoma cell lines BV10 and 4G8 (Rappold I., Ziegler B.L., Köhler I., Marchetto S., Rosnet O., Birnbaum D., Simmons P.J., Zannettino A.C., Hill B., Neu S., Knapp W., Alitalo R., Alitalo K., Ullrich A., Kanz L., Bühring H.J. Functional and phenotypic characterization of cord blood and bone marrow subsets expressing FLT3 (CD135) receptor tyrosine kinase. Blood, 90: 111-125, 1997) using the RNeasy Kit (Qiagen, Hilden, Germany) applying a modified protocol for the isolation of cytoplasmatic RNA only.

[0212] Using oligo (dT)<sub>15</sub> primer, double-stranded cDNA (ds-cDNA) was prepared from 0,3-2 μg of mRNA using the cDNA Synthesis System (Roche, Mannheim, Germany). More specifically, to permit blunt-end formation on the DNA strands the ds-cDNA was incubated with T4-DNA polymerase. The reaction mixture was extracted once with an equal volume phenol-chloroform-isoamylalcohol (25:24:1) and precipitated with ethanol. The dissolved ds-cDNA pellet was incubated with T4 DNA ligase (Roche, Mannheim, Germany) to circularize the cDNA (Uematsu Y. A novel and rapid cloning method for the T-cell receptor variable region sequences. Immunogenetics, 34:174-178, 1991). The 3' poly(A) tail is ligated to the unknown 5' end of the cDNA by circularization.

## B. PCR amplification of immunoglobulin variable region cDNAs

[0213] The use of two outward-directed constant region specific primers (summarized in Table 1) in an iPCR reaction allowed the amplification of the entire cDNA of rearranged light and heavy chain gene segments. 1-5 μl of circularized ds-cDNA were included in a 50 μl standard PCR reaction (HotStar Taq DNA Polymerase, Qiagen, Hilden, Germany) with primer pair Ck-for and Ck-back for the light chain and primer pair gammal-for and gammal-back for the heavy chain amplification. The primers are designed to anneal to the constant regions of the cDNAs. Fourty amplification cycles were performed at the following conditions: 30 sec 94°C, 1 min 56°C, 2 min 30 sec 72°C. The resulting amplification product contains the complete V region, 5' UT region, pA tail, 3' UT region and is flanked by constant region sequences. The DNA obtained from the inverse PCR was separated on 1% agarose gels. The DNA bands of corresponding size (light chain approx. 1000 bp; heavy chain approx. 1600 bp) were cut out, isolated by standard techniques (Maniatis et al. 1982) and cloned into the pGEM-T Easy vector (Promega, Madison, WI, USA). For sequence determination standard primers for the vector system and immunoglobulin constant region specific primers (light chain: k-for1 and k-for2; heavy chain: CG1-for1, CG1-for2, CG1-rev1, CG1-rev2) were used (see Table 1).

Table 1: Primers used for amplification and sequencing of VJ and VDJ regions of FLT3 specific antibodies

Oligonucle	otides used for the	nverse PCR
А	gamma1-for	5'-CAA GGC TTA CAA CCA CAA TCC CTG G-3' (SEQ ID NO:45
A'	gamma1-black	5'-CAT ATG TAC AGT CCC AGA AGT ATC ATC TG-3' (SEQ ID NO:46
В	Ck-for	5'-TGT TCA AGA AGC ACA CGA CTG AGG CAC CTC C- 3' (SEQ ID NO:47)
B'	Ck-back	5'-ACT TCT ACC CCA AAG ACA TCA ATG TCA AG-3' (SEQ ID NO:48)
Oligonucle	otides used for seq	uencing
k-for1		5'-CCT GTT GAA GCT CTT GAC AAT GGG-3' (SEQ ID NO:49)

(continued)

Oligonucleotides used for s	sequencing
k-for2	5'-ATG TCT TGT GAG TGG CCT CAC AGG-3' (SEQ ID NO:50)
CG1-for1	5'-CGT CTA CAG CAA GCT CAA TGT GC-3' (SEQ ID NO:51)
CG1-for2	5'-CCA TCT GTC TAT CCA CTG GCC-3' (SEQ ID NO:52)
CG1-rev1	5'-CCA GGT CAC TGT CAC TGG CTC AG-3' (SEQ ID NO:53)
CG1-rev2	5'-CCT CAT GTA ACA CAG AGC AGG-3' (SEQ ID NO:54)

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[0214] Thus, the complete light chains and heavy chains of murine antibodies 4G8 (light chain amino acid sequence set forth in SEQ ID NO:15 including the variable domain (SEQ ID NO:13), the variable domain including CDR1 (SEQ ID NO:1), CDR2 (SEQ ID NO:2) and CDR3 (SEQ ID NO:3); heavy chain amino acid sequence set forth in SEQ ID NO:16, including the variable domain (SEQ ID NO:14), the variable domain including CDR1 (SEQ ID NO:4), CDR2 (SEQ ID NO:5) and CDR3 (SEQ ID NO:6)) and BV10 (light chain amino acid sequence set forth in SEQ ID NO:31 including the variable domain (SEQ ID NO:29), the variable domain including CDR1 (SEQ ID NO:7), CDR2 (SEQ ID NO:8) and CDR3 (SEQ ID NO:9); heavy chain amino acid sequence set forth in SEQ ID NO:32 including the variable domain (SEQ ID NO:30), the variable domain including CDR1 (SEQ ID NO:10), CDR2 (SEQ ID NO:11) and CDR3 (SEQ ID NO:12)) were identified

[0215] The light chain of murine antibody 4G8 is encoded by the nucleotide sequence set forth in SEQ ID NO:19 (complete cDNA sequence set forth in SEQ ID NO:20), wherein the variable domain is encoded by the nucleotide sequence set forth in SED ID NO:17. The heavy chain of antibody 4G8 is encoded by the nucleotide sequence set forth in SEQ ID NO:21 (complete cDNA sequence set forth in SEQ ID NO:22), wherein the variable domain is encoded by the nucleotide sequence set forth in SEQ ID NO:18.

**[0216]** The light chain of murine antibody BV10 is encoded by the nucleotide sequence set forth in SEQ ID NO:35 (complete cDNA sequence set forth in SEQ ID NO:36), wherein the variable domain is encoded by the nucleotide sequence set forth in SED ID NO:33. The heavy chain of antibody BV10 is encoded by the nucleotide sequence set forth in SEQ ID NO:37 (complete cDNA sequence set forth in SEQ ID NO:38), wherein the variable domain is encoded by the nucleotide sequence set forth in SEQ ID NO:34.

## Example 2: Construction and expression of chimeric FLT3 specific antibodies and their derivatives

[0217] In the second construction step of recombinant antibodies, the cloned V regions were combined with the desired C regions in an expression vector. The cloning procedure performed here allows the introduction of complete Ig V regions and their expression in lymphoid cells without any alterations of their amino acid sequence. For this, The nucleotide sequence of the amplicon obtained in Example 1 was determined after subcloning by sequencing (primer in Table 1) and used for design of primer pairs (C C'; D D'; Table 2). The reamplified DNA fragments of the V segments is cut with appropriate restriction nucleases (summarized in Table 2) and then ligated into the expression vectors. The vectors (Figure 2 and 3) contain human light and heavy constant region genes. Thus insertion of the amplified and recut V segments reconstitutes the original genomic organisation of the Ig genes on the vectors without altering any amino acid of the V regions.

[0218] The parental vector for the light chain contains the VJ region of the mouse light chain and the C region of human  $\kappa$  gene. Restriction sites were introduced at the required locations (Xhol and Spel) in order to substitute the light chain Xhol-Spel fragment with the appropriate VJ fragment of the light chain of monoclonal antibodies BV10 or 4G8 or any other monoclonal antibody. The region relevant for the fragment exchange is shown enlarged in Figure 2. The fragment to be exchanged contains part of the second exon of the leader sequence, an appropriate site (Xhol) for in frame fusion, the VJ region and part of the second intron with restriction site Spel.

[0219] The original vector for the heavy chain contains the human  $\gamma 1$  isotype Ig heavy chain. Restriction sites were introduced at the required positions in intron I and II for exchange of the AatII-ClaI fragment with the VDJ fragment of the heavy chain of monoclonal antibodies BV10 or 4G8 or any other monoclonal antibody. The region relevant for cloning the VDJ fragment is shown enlarged in Figure 3a. The fragment to be exchanged contains parts of the first intron with an AatII restriction site, the second exon of the leader sequence, the VDJ region and part of the second intron with the restriction site ClaI. For the substitution of all exons of the constant region, restriction sites were introduced at the required position in intron II (MIuI) and intron VI (SpeI). The MIuI-SpeI fragment to be exchanged (shown enlarged in Figure 3b) contains the entire constant region of the human  $\gamma 1$  heavy chain and two amino acid modifications in the CH2 domain as indicated (Ser<sub>239</sub>-Asp; Ile<sub>332</sub>-Glu)

[0220] Furthermore, with the expression vectors used, it is possible to exchange the entire constant region of the human Igγ1 isotype (Mlul-Spel fragment; see Figure 3) either against constant regions of all other antibody isotypes or against Fc parts with optimized or reduced effector function. In the case of antibodies optimized for triggering ADCC a S239D and I332E (amino acid position according to Kabat nomenclature) exchange were introduced in the CH2 domain of human γ1 constant region. This was done according the publication of Lazar et al. (Lazar G.A., Dang W, Karki S, Vafa O, Peng J.S., Hyun L, Chan C, Chung H.S., Eivazi A, Yoder S.C., Vielmetter J, Carmichael D.F., Hayes R.J., Dahiyat B.I. Engineered antibody Fc variants with enhanced effector function. Proc. Natl. Acad. Sci. USA 103: 4005-4010, 2006).

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Table 2: Oligonucleotides used for amplification of VJ and VDJ segments obtained by iPCR for the insertion into expression vectors

Oligo	onucleotides use	d for the heavy chain VDJ segment
С	4G8-H-for	5'-tct ctt cac agg tgt cct ctc tca ggt cca act gca gca gcc tgg ggc tga gc-3' (SEQ ID NO:55)
C'	4G8-H-rev	5'-gag aag gta gga ctc acc tga gga gac tgt gag agt ggt gcc ttg gcc cca g-3' (SEQ ID NO:56)
С	BV10-H-for	5'-aga cgt cca ctc tgt ctt tct ctt cac agg tgt cct ctc cca ggt gca gct gaa gca gtc-3' (SEQ ID NO:57)
C'	BV10-H-rev	5'-gag aag gta gga ctc acc tga gga gac ggt gac tga ggt
		tcc ttg acc c-3' (SEQ ID NO:58)
С	universal for (Aatll)	5'-aga cgt cca ctc tgt ctt tct ctt cac agg tgt cct ctc c-3' (SEQ ID NO:59)
C,	universalrev (Clal)	5'-tat cga ttt aga atg gga gaa ggt agg act cac-3' (SEQ ID NO:60)
Oligo	nucleotides use	d for the light chain VJ segment
D	4G8-L-for (Xhol)	5'-a <b>ct cga g</b> ga gat att gtg cta act cag tct cca gcc acc ctg-3' (SEQ ID NO:61)
D'	4G8-L-rev (Spel)	5'-tac tag tac tta cgt ttt att tcc agc ttg gtc ccc cct cc-3' (SEQ ID NO:62)
D	BV10-L-for (Xhol)	5'-act cga gga gac att gtg atg aca cag tct cca tcc tcc c- 3' (SEQ ID NO:63)
D'	BV10-L-rev (Spel)	5'- <b>act agt</b> act tac gtt tca gct cca gct tgg tcc cag cac cga acg tg-3' (SEQ ID NO:64)

[0221] Thus, chimeric antibodies 4G8 and BV10 and the Fc optimized variants SDIE 4G8 and SDIE BV10 were obtained. These comprise the following amino acid and nucleotide sequences:

[0222] Chimeric antibody 4G8: light chain amino acid sequence as set forth in SEQ ID NO:23 and as encoded by the nucleotide sequence set forth in SEQ ID NO:24, heavy chain amino acid sequence as set forth in SEQ ID NO:25 and as encoded by the nucleotide sequence set forth in SEQ ID NO:26.

[0223] SDIE 4G8 (chimeric, Fc optimized antibody): light chain amino acid sequence set forth in SEQ ID NO:23 and encoded by the nucleotide sequence set forth in SEQ ID NO:24, heavy chain amino acid sequence set forth in SEQ ID NO:27 and encoded by the nucleotide sequence set forth in SEQ ID NO:28.

[0224] Chimeric antibody BV10: light chain amino acid sequence as set forth in SEQ ID NO:39 and as encoded by the nucleotide sequence set forth in SEQ ID NO:40, heavy chain amino acid sequence as set forth in SEQ ID NO:41

and as encoded by the nucleotide sequence set forth in SEQ ID NO:42.

**[0225]** SDIE BV10 (chimeric, Fc optimized antibody): light chain amino acid sequence set forth in SEQ ID NO:39 and encoded by the nucleotide sequence set forth in SEQ ID NO:40, heavy chain amino acid sequence set forth in SEQ ID NO:43 and encoded by the nucleotide sequence set forth in SEQ ID NO:44.

## Example 3: Expression and purification of anti-FLT3 antibodies

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[0226] Cotransfection of the expression vectors encoding the chimeric heavy and light chain (IgG1/k) or modified heavy chains into the non-Ig-producing myeloma cell line Sp2/0 yielded stable transfectomas secreting chimeric monoclonal antibodies which are able to bind specifically to FLT3 on human REH cells, and FLT3 transfectants (Sp2/0).

[0227] Chimeric antibodies were purified from cell culture supernatant by affinity chromatography on Protein A.

#### Example 4: ADCC effector function of anti-FLT3 antibodies

15 [0228] The ADCC effector function of the Fc optimized, chimeric anti-FLT3 antibodies 4G8-SDIE and BV10-SDIE in comparison to the corresponding chimeric antibodies without Fc optimization (Figure 4A and B) as well as an chimeric anti-NG2 antibody comprising the same Fc modification (Figure 4C) was demonstrated using chromium release assays. Moreover, the cell killing activity of 4G8-SDIE and unstimulated PBMCs in comparison to the parenteral mouse antibody 4G8 was shown for AML blasts isolated from a human patient with acute myelogenous leukemia (Figure 5). The target cells used were:

[0229] <u>NALM16</u>: an acute lymphoblastic leukaemia (ALL) cell line, supplier: Department of Pediatric Oncology, University of Tübingen, original characterization: Minowada J et al. J Cancer Res Clin Oncol 101:91-100 (1981).

[0230] SK-Me163: Human melanoma cell line, original supplier: Dr. A. Knuth, Nordwestkrankenhaus Frankfurt/Main, Germany.

[0231] SG3: Leukemic cells, isolated from the peripheral blood of a patient with acute myelogeneous leukemia (AML) by density gradient centrifugation; supplied by Dr. H. Salih, Department of Medical Oncology, University of Tübingen [0232] The effector cells used were peripheral blood mononuclear cells (PBMCs) isolated from the blood of normal healthy donors.

[0233] The chromium release assay was performed as follows:  $10^6$  target cells were labeled with sodium chromate ( $^{51}$ Cr,  $150\mu$ Ci/ml) for 1 hr, washed and plated in 96-well mictotiter plates (10.000 cells per well). PBMC and antibodies were then added at the indicated concentrations. After 4 and 20 hrs respectively supernatant was harvested and counted in a MicroBeta Counter. Cytotoxicity was determined according to the standard formula: %specific  $^{51}$ Cr-release = (experimental release - spontaneous release): (total release - spontaneous release) x 100. Spontaneous and total release were determined by incubating target cells in medium with and without 2 % Triton-X100, respectively.

[0234] The results depicted in Figures 4 and 5 clearly show that the introduction of the Fc modifications S239D and I332E into the CH2 domain of the heavy chain of chimeric anti-Flt3 antibodies 4G8 and BV10 could induce significant cell killing activity in both antibodies. In contrast to these results, the introduction of the same modifications into a chimeric anti-NG2 antibody had no such effect. Accordingly, there is no general principle that the two modifications used can confer cell killing activity to any given antibody, but rather have to be carefully selected for each individual monoclonal antibody.

## Example 5: Production and purification of recombinant and Fc-optimized antibodies

[0235] The mRNA of mouse antibodies BV10 and 4G8 (both IgG1/κ) was isolated from hybridomas with the RNeasy Kit (Qiagen, Hilden, Germany). Unknown variable regions of heavy (VDJ) and light (VJ) chain were identified by sequencing of inverse PCR amplicons generated as previously described (Herrmann T, Grosse-Hovest L, Otz T, Krammer PH, Rammensee HG, Jung G. Construction of optimized bispecific antibodies for selective activation of the death receptor CD95. Cancer Res. 2008;68(4):1221-1227), using specific primers for mouse constant genes of light (Ck-for (SEQ ID NO:47); Ck-back (SEQ ID NO:48)) and heavy chain (gamma1-for (SEQ ID NO:45); gamma1-back (SEQ IS NO:46). The cloning of the variable genes from the hybridoma 9.2.27 (GenBank: #AJ459796; #AJ459797), producing an IgG2a/κ CSPG4 antibody has also been described previously (Grosse-Hovest L, Hartlapp I, Marwan W, Brem G, Rammensee HG, Jung G. A recombinant bispecific single-chain antibody induces targeted, supra-agonistic CD28- stimulation and tumor cell killing. Eur J Immunol. 2003;33(5):1334-1340). For the generation of chimerized and optimized antibodies, the VJ and VDJ elements, were re-amplified using the oligonukleotides listed in table 2 and cloned into eukaryotic expression vectors as shown in figures 2 and 3. Besides the amino acid exchanges at S239D and I332E, the optimized G1 Fc-part contains a C-terminal M-tag (PTHVNVSVVMAEEQKLISEEDLLR; SEQ ID NO: 66, which was derived from the amino acid sequences PTHVNVSVVMAE (amino acid #455-466 of the human Igα1 tailpiece) (SEQ ID NO: 67) and the *c-myc* epitope EQKLISEEDLLR (SEQ ID NO:68) (Evan GI, Lewis GK, Ramsay G, Bishop JM, Isolation of monoclonal

antibodies specific for human c-myc proto-oncogene product. Mol Cell Biol. 1985;5(12):3610-3616). Recombinant antibodies, as well as parental mouse 4G8 and BV10, were purified from culture supernatant of transfectants and hybridoma cells, respectively, using protein A affinity-chromatography (GE Healthcare, Munich, Germany). In the case of 4G8SDIEM, a large batch of the antibody (15 g) was produced in GMP compliant clean rooms using disposable technology including a 100 L biowave reactor (Sartorius; Goettingen, Germany) for fermentation and an Akta Ready system for purification by protein A-, ion exchange- and hydrophobic interaction chromatography (MabSelect Sure and CaptoAdhere columns, GE Healthcare, Munich, Germany).

#### Example 6: FLT3-specificity and avidity of antibody binding

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[0236] The parental mouse antibodies 4G8 and BV10 were originally described and characterized as recognizing the FLT3 protein (Rappold I, Ziegler BL, Kohler I, et al. Functional and phenotypic characterization of cord blood and bone marrow subsets expressing FLT3 (CD135) receptor tyrosine kinase. Blood. 1997;90(1):111-125). Figure 7A shows that both SDIEM-modified antibodies specifically bind to this protein on transfected mouse Sp2/0 cells. In Figure 7B binding of the two antibodies to FLT3 positive human NALM16 cells is assessed by flow cytometry. Antibodies do not cross-block each other (data not shown) and thus recognize two spatially separated epitopes of the FLT3 protein. Both antibodies saturated FLT3-molecules on NALM16 cells at concentrations below 1 μg/ml. Binding of the chimerized 4G8 antibody was stronger than that of BV10. This is not due to chimerization or optimization since a similar difference was observed when binding of the mouse parental versions of 4G8 and BV10 was compared (Figure 7C). No differences in binding between the chimeric and the SDIEM modified chimeric versions of the antibodies were detected (Figure 7B). A SDIEmodified antibody, termed 9.2.27SDIE, directed against a melanoma associated surface antigen, did not bind to NALM16 cells and was used as a negative control in this and several subsequent experiments.

#### Example 7: Competition with binding of the FLT3 ligand (FLT3L)

[0237] In general, interference with binding of the natural ligand may contribute to the therapeutic activity of an antibody. Figure 8A shows that recombinant FLT3L partly inhibits binding of 4G8SDIEM, but not of BV10SDIEM to NALM16 cells, indicating that the binding site of the 4G8 antibody is in close proximity to that of the FLT3 ligand. Therefore, the effect of 4G8SDIEM on the spontaneous proliferation of the leukemic blasts of three different patients was evaluated *in vitro* using the non binding SDIE-modified 9.2.27 antibody as a control. Whereas spontaneous proliferation of the primary AML cells varied substantially, significant effects of the antibodies on cell proliferation were not observed (Figure 8B).

## Example 8: Antibody dependent cellular cytotoxicity

[0238] Figure 9 shows that the ADCC activity of PBMCs against NALM16 cells is markedly enhanced in the presence of the SDIEM-modified antibodies as compared to that of the unmodified chimeric antibody versions. In several experiments, the concentrations required to achieve comparable lysis by unmodified and SDIEM-modified antibodies differed by a factor of at least 100. Killing by the 4G8SDIEM antibody was significantly better than that achieved by BV10SDIEM, in particular at low concentrations. This corresponds to the moderately lower binding avidity of BV10 (Figure 7).

[0239] In Figure 10A the ADCC activity of 4G8SDIEM is depicted using PBMCs of three different healthy donors (#1-3). In these experiments, the SDIE-modified mAb 9.2.27 was used as a negative control. The cytolytic activity in the presence of this reagent did not exceed that of NK cells in the absence of antibodies which varied between 0 and 20%. In Figure 10B the ADCC activity of PBMCs from a healthy donor (#2) against leukemic blasts of three different patients is shown. ADCC activity mediated against these blasts (AML #1, AML #2, AML #7), carrying 4000, 4500 and 3200 FLT3 molecules per cell, respectively, was less pronounced than that against cultured NALM16 cells. It required 8 rather than 4 hours to become clearly detectable. Generally, the ADCC- as well as the NK-activity against NALM16 cells and leukemic blasts continued to rise after 8 hours. However, using primary blasts, it was difficult to further prolong the assay time due to increasing spontaneous chromium release.

**[0240]** Next the ADCC activity of PBMCs isolated from the blood of AML patients against autologous blasts was evaluated. To this end, leukemic blasts from PBMC preparations were depleted and the depleted PBMCs were used as effector cells against the positively selected blasts (see Materials and Methods). Under these conditions significant lysis in 2 (AML #1, #15) out of 5 independent experiments with blasts and autologous PBMCs of the respective patients (Figure 10C) was detected.

## Example 9: Antigenshift

[0241] Modulation of target antigen expression upon antibody binding is a phenomenon often observed during antibody therapy. In particular, a sustained and complete loss has been reported upon treatment of AML patients with a saturating

dose of the CD33 antibody Lintuzumab (Feldman EJ, Brandwein J, Stone R, et al. Phase III randomized multicenter study of a humanized anti-CD33 monoclonal antibody, lintuzumab, in combination with chemotherapy, versus chemotherapy alone in patients with refractory or first-relapsed acute myeloid leukemia. J Clin Oncol. 2005;23(18):4110-4116). Figure 11A depicts the antigen shift induced after incubation of NALM cells or primary leukemic blasts of two patients (AML #1 and #2) with various concentrations of 4G8SDIEM for 48 hrs. On all these cells a moderate antigen shift was observed which was already completed after 24 hrs of incubation (data not shown).

#### Example 10: Binding to normal and leukemic cells

10 [0242] Figures 11B and 11C show binding of the parental mouse 4G8 antibody and 4G8SDIEM, respectively, to a panel of leukemic cells obtained from patients suffering from the indicated subtypes of AML. Gated CD33+CD45dim or CD34+CD45dim-cells were analyzed. FLT3 was detected on all 15 patient samples. The number of molecules per cell determined by indirect immunofluorescence and quantitative flow cytometry varied from 500 to 6000, that on NALM16 cells from 6000 to 9000 (Figure 11B). In Figure 11C, 4G8SDIEM-PE rather than mouse 4G8 was used for staining. In 15 this case, an SFI value was calculated to quantify antibody binding. For blasts from 4 of the 15 donors this index was not determined because of high, unspecific reactivity with the control antibody 9.2.27SDIE. As expected, SFI values of the evaluable samples closely matched the numbers of molecules determined by quantitative FACS (Figure 11C). [0243] Figures 12A-C show that binding of mouse 4G8 to CD11c-positive mDCs and to CD303- positive pDCs purified from normal PBMCs was marginal at best. The numbers of FLT3 molecules expressed on these cells were below 100/cell. 20 In addition, DCs from normal PBMCs were generated. Although these cells expressed large amounts of the DC associated markers CD80, CD86 and CD 123, binding of 4G8 antibodies was again barely detectable (data not shown). Next binding of mouse 4G8 to CD34-positive cells in normal bone marrow was evaluated. Again, binding of the antibody to bone marrow cells of three different donors was marginal with less than 300 molecules per cell (Figure 12D). In summary, binding of FLT3- antibodies to normal DCs and bone marrow cells was significantly lower than to all FLT3- expressing 25 leukemic cells examined. In addition, binding of FLT3 antibodies to PBMCs, thrombocytes, erythrocytes and granulocytes was not observed (data not shown).

#### Example 11: Toxicity in vitro

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[0244] Despite the relatively low levels of 4G8SDIEM binding to normal bone marrow precursor cells and DCs, the potential toxicity of this antibody towards such cells was assessed. To this end, we incubated bone marrow cells with saturating concentrations of 4G8SDIEM and 9.2.27SDIE and determined the influence of these antibodies on the capacity of the bone marrow cells to give rise to colonies (CFUs) in semi-solid medium. No significant influence of the antibodies on CFU-forming capacity was detected in two experiments with bone marrow cells from different healthy donors (Figure 13A). Likewise, human DCs were incubated with autologous PBMCs as effector cells. Whereas 4G8SDIEM mediated effective ADCC against NALM16 cells, used as positive control, no killing of autologous DCs was observed (Figure 13B).

#### **Example 12: Clinical application of 4G8-SDIEM**

[0245] A 30 year old male diagnosed in 2008 with AML (FAB M0, 45XY, complex kariotype including inv(3)(q21q26), -7) was treated with 4G8-SDIEM. The patient had failed to reach complete remission (CR) after two different regimes of induction therapy. Subsequently he received allogeneic SCT (stem cell transplant) from a HLA-matched donor, relapsed, received a haploidentical SCT from his sister and relapsed again. 4G8-SDIEM treatment was considered and preclinical testing performed. FACS analysis of the patients blasts (CD34+) revealed homogeneous expression of FLT3 at approximately 4000 molecules/cell (Fig. 14A and data not shown). In vitro, 4G8-SDIEM induced effective ADCC of the patient's peripheral blood mononuclear cells (PBMC) against NALM16 leukemia cells and - to a lesser extent, against autologous blasts (Fig. 14B, C). The patient was then treated with escalating doses of 4G8-SDIEM ranging from 10μg to 10mg Several hours after the first 10mg dose, 5x10<sup>8</sup> CD3/CD19-depleted donor PBMCs from his sister were adoptively transferred. Serum concentration of 4G8-SDIEM reached 0.8 μg/ml 1h after the first 10mg dose and subsequently declined to 0.3 μg/ml at 24h (Fig. 15A). During treatment (i) an almost complete saturation of leukemic cells in the bone marrow (BM) (Fig. 15B), (ii) a marked increase of activated NK cells in the peripheral blood (PB) (Fig. 16A) and BM (Fig. 16B) that was associated with an increase of the serum levels of the index cytokine TNF (Fig. 16C), and (iii) a marked reduction of leukemic blasts in the PB (Fig. 16A) was observed. Whereas the decline of PB blasts was transient but almost complete, reduction in the BM was less pronounced (Fig. 16B). This is most likely due to the different NK:leukemia cell ratios in the two compartments: In the PB the ratio of CD56+ NK cells and blasts was approximately 1 while that in BM was only1/7, as determined by FACS (data not shown). Side effects of treatment were mild and consisted of subfebrile temperature (max. 38.2°C) and a transient exacerbation of a pre-existing akneiform skin rash. [0246] Despite the merely transient response to antibody treatment, the patient unexpectedly remained in good clinical

condition for several months with slowly rising blast counts under best supportive care and hydroxyurea. Therefore, a second haploidentical SCT from a different donor was performed. After recovery, the patient had reached a CR without detectable minimal residual disease (MRD). We then applied 45.5mg of 4G8-SDIEM in escalating doses. This time, neither relevant cytokine release nor gross NK cell activation (Fig. 16D) were observed, and side effects were completely absent

[0247] The invention has been described broadly and generically herein. Each of the narrower species and subgeneric groupings falling within the generic disclosure also form part of the invention. This includes the generic description of the invention with a proviso or negative limitation removing any subject matter from the genus, regardless of whether or not the excised material is specifically recited herein. Other embodiments are within the following claims. In addition, where features or aspects of the invention are described in terms of Markush groups, those skilled in the art will recognize that the invention is also thereby described in terms of any individual member or subgroup of members of the Markush group.

[0248] One skilled in the art would readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. Further, it will be readily apparent to one skilled in the art that varying substitutions and modifications may be made to the invention disclosed herein without departing from the scope and spirit of the invention. The compositions, methods, procedures, treatments, molecules and specific compounds described herein are presently representative of preferred embodiments are exemplary and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the spirit of the invention are defined by the scope of the claims. The listing or discussion of a previously published document in this specification should not necessarily be taken as an acknowledgement that the document is part of the state of the art or is common general knowledge.

[0249] The invention illustratively described herein may suitably be practiced in the absence of any element or elements, limitation or limitations, not specifically disclosed herein. Thus, for example, the terms "comprising", "including," containing", etc. shall be read expansively and without limitation. The word "comprise" or variations such as "comprises" or "comprising" will accordingly be understood to imply the inclusion of a stated integer or groups of integers but not the exclusion of any other integer or group of integers. Additionally, the terms and expressions employed herein have been used as terms of description and not of limitation, and there is no intention in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the scope of the invention claimed.

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<220>

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### Claims

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- 1. An IgG antibody that binds human receptor tyrosine kinase FLT3, said antibody comprising a heavy chain and a light chain and an amino acid substitution in the constant region relative to a parent anti-FLT3 antibody, wherein said amino acid substitution comprises the amino acid substitutions S239D and I332E, wherein the positional numbering is according to the EU index.
- 2. The antibody of claim 1, wherein the V<sub>L</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:1; the V<sub>L</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:2; the V<sub>L</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:3; the V<sub>H</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:4; the V<sub>H</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:6.
- 3. The antibody of claim 1, wherein the V<sub>L</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:7; the V<sub>L</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:8; the V<sub>L</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:9; the V<sub>H</sub> CDR1 comprises or consists of the amino acid sequence set forth in SEQ ID NO:10; the V<sub>H</sub> CDR2 comprises or consists of the amino acid sequence set forth in SEQ ID NO:11; and the V<sub>H</sub> CDR3 comprises or consists of the amino acid sequence set forth in SEQ ID NO:12.
  - **4.** The antibody of claim 2, wherein the heavy chain comprises a V<sub>H</sub> domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:14 and the light chain comprises a V<sub>L</sub> domain comprising or consisting of

the amino acid sequence set forth in SEQ ID NO: 13.

- 5. The antibody of claim 3, wherein the heavy chain comprises a V<sub>H</sub> domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:30 and the light chain comprises a V<sub>L</sub> domain comprising or consisting of the amino acid sequence set forth in SEQ ID NO:29.
- 6. The antibody of claim 1, wherein the antibody is a chimeric antibody and comprises a heavy chain having the amino acid sequence set forth in SEQ ID NO:27 and a light chain having the amino acid sequence set forth in SEQ ID NO:23.
- 7. The antibody of claim 1, wherein the antibody is a chimeric antibody and comprises a heavy chain having the amino acid sequence set forth in SEQ ID NO:43 and/or a light chain having the amino acid sequence set forth in SEQ ID NO:39.
- **8.** The antibody of any one of claims 1-7, wherein said antibody binds with enhanced affinity to the FcγRIIIa receptor or has enhanced ADCC effector function as compared to the parent antibody.
  - 9. A nucleic acid molecule encoding a heavy and light chain of the antibody of any one of claims 1-8.
  - 10. An antibody according to any one of claims 1-8 for use in a method of treating a Lymphoma or leukemia in a mammal.
  - **11.** The antibody for use according to claim 10, wherein the lymphoma or leukemia is in the stage of minimal residual disease (MRD).
  - 12. The antibody for use according to claim 10 or 11, wherein the lymphoma or leukemia is selected from the group consisting of: non-Hodgkin's lymphomas (NHL), chronic lymphocytic leukemia (CLL), B-cell acute lymphoblastic leukemia/lymphoma (B-ALL), mantle cell lymphoma (MCL), hairy cell leukemia (HCL), chronic myeloid leukemia (CML), acute myeloid leukemia (AML), and multiple myeloma (MM).
    - 13. The antibody for use according to any one of claims 10-12, wherein said antibody is administered in combination with at least one agent selected from the group consisting of a cytotoxic agent, a chemotherapeutic agent, a cytokine, a growth inhibitory agent, an anti-hormonal agent, a kinase inhibitor, an anti-angiogenic agent, a cardioprotectant, an immunostimulatory agent, an immunosuppressive agent, an angiogenesis inhibitor, a protein tyrosine kinase inhibitor, and second antibody.
- 35 14. A pharmaceutical composition comprising an antibody according to any one of claims 1-8 and a pharmaceutically acceptable carrier.
  - 15. Transfected cell line producing an antibody according to any one of claims 1-8.

### Patentansprüche

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- IgG Antikörper, der an die menschliche Rezeptor-Tyrosinkinase FLT3 bindet, wobei der Antikörper eine schwere Kette und eine leichte Kette und eine Aminosäuresubstitution in der konstanten Region relativ zum ursprünglichen Anti-FLT3 Antikörper umfasst, wobei die Aminosäuresubstitution die Aminosäuresubstitutionen S239D und I332E umfasst, wobei die Positionsangabe gemäß dem EU-Index ist.
- 2. Antikörper nach Anspruch 1, wobei die V<sub>L</sub> CDR1 die Aminosäuresequenz wie in SEQ ID NO:1 dargelegt umfasst oder daraus besteht; die V<sub>L</sub> CDR2 die Aminosäuresequenz wie in SEQ ID NO:2 dargelegt umfasst oder daraus besteht; die V<sub>L</sub> CDR3 die Aminosäuresequenz wie in SEQ ID NO:3 dargelegt umfasst oder daraus besteht; die V<sub>H</sub> CDR1 die Aminosäuresequenz wie in SEQ ID NO:4 dargelegt umfasst oder daraus besteht; die V<sub>H</sub> CDR2 die Aminosäuresequenz wie in SEQ ID NO:5 dargelegt umfasst oder daraus besteht; und die V<sub>H</sub> CDR3 die Aminosäuresequenz wie in SEQ ID NO:6 dargelegt umfasst oder daraus besteht.
- 3. Antikörper nach Anspruch 1, wobei die V<sub>L</sub> CDR1 die Aminosäuresequenz wie in SEQ ID NO:7 dargelegt umfasst oder daraus besteht; die V<sub>L</sub> CDR2 die Aminosäuresequenz wie in SEQ ID NO:8 dargelegt umfasst oder daraus besteht; die V<sub>L</sub> CDR3 die Aminosäuresequenz wie in SEQ ID NO:9 dargelegt umfasst oder daraus besteht; die V<sub>H</sub> CDR1 die Aminosäuresequenz wie in SEQ ID NO:10 dargelegt umfasst oder daraus besteht; die V<sub>H</sub> CDR2 die

Aminosäuresequenz wie in SEQ ID N0:11 dargelegt umfasst oder daraus besteht; und die  $V_H$  CDR3 die Aminosäuresequenz wie in SEQ ID NO:12 dargelegt umfasst oder daraus besteht.

- 4. Antikörper nach Anspruch 2, wobei die schwere Kette eine V<sub>H</sub>-Domäne umfasst, umfassend oder bestehend aus der Aminosäuresequenz dargelegt in SEQ ID NO:14 und die leichte Kette eine V<sub>L</sub>-Domäne umfasst, umfassend oder bestehend aus der Aminosäuresequenz dargelegt in SEQ ID NO: 13.
- 5. Antikörper nach Anspruch 3, wobei die schwere Kette eine V<sub>H</sub>-Domäne umfasst, umfassend oder bestehend aus der Aminosäuresequenz dargelegt in SEQ ID NO:30 und die leichte Kette eine V<sub>L</sub>-Domäne umfasst, umfassend oder bestehend aus der Aminosäuresequenz dargelegt in SEQ ID NO: 29.
- **6.** Antikörper nach Anspruch 1, wobei der Antikörper ein chimärer Antikörper ist und eine schwere Kette, mit der Aminosäuresequenz dargelegt in SEQ ID NO:27, und eine leichte Kette, mit der Aminosäuresequenz dargelegt in SEQ ID NO: 23, umfasst.
- 7. Antikörper nach Anspruch 1, wobei der Antikörper ein chimärer Antikörper ist und eine schwere Kette, mit der Aminosäuresequenz dargelegt in SEQ ID NO:43, und/oder eine leichte Kette, mit der Aminosäuresequenz dargelegt in SEQ ID NO: 39, umfasst.
- 20 8. Antikörper nach einem der Ansprüche 1-7, wobei der Antikörper mit verbesserter Affinität an den FcγRIIIa-Rezeptor bindet oder verbesserte ADCC-Effektorfunktion im Vergleich zum ursprünglichen Antikörper hat.
  - 9. Nukleinsäuremolekül, kodierend für eine schwere und leichte Kette des Antikörpers nach einem der Ansprüche 1-8.
- 25 10. Antikörper nach einem der Ansprüche 1-8 zur Verwendung in einem Verfahren zur Behandlung eines Lymphoms oder einer Leukämie in einem Säugetier.
  - **11.** Antikörper zur Verwendung nach Anspruch 10, wobei das Lymphom oder die Leukämie in dem Stadium minimaler Resterkrankung (MRD) ist.
  - 12. Antikörper zur Verwendung nach Anspruch 10 oder 11, wobei das Lymphom oder die Leukämie ausgewählt ist aus der Gruppe bestehend aus: Non-Hodgkin-Lymphome (NHL), chronische lymphatische Leukämie (CLL), B-Zell akute lymphoblastische Leukämie/Lymphom (B-ALL), Mantelzell-Lymphom (MCL), Haarzell-Leukämie (HCL), chronische myeloische Leukämie (CML), akute myeloische Leukämie (AML) und Multiples Myelom (MM).
  - 13. Antikörper zur Verwendung nach einem der Ansprüche 10-12, wobei der Antikörper in Kombination mit zumindest einem Mittel verabreicht wird, das aus der Gruppe ausgewählt wird, die aus einem zytotoxischen Mittel, einem chemotherapeutischen Mittel, einem Zytokin, einem wachstumsinhibierenden Mittel, einem anti-hormonellen Mittel, einem Kinase-Inhibitor, einem anti-angiogenen Mittel, einem Herzschutzmittel, einem immunstimulatorischen Mittel, einem immunsuppremierenden Mittel, einem Angiogenese-Inhibitor, einem Protein-Tyrosinkinase-Inhibitor und einem sekundären Antikörper besteht.
  - **14.** Pharmazeutische Zusammensetzung, die einen Antikörper nach einem der Ansprüche 1-8 und einen pharmazeutisch geeigneten Träger umfasst.
  - 15. Transfizierte Zelllinie, die einen Antikörper nach einem der Ansprüche 1-8 produziert.

### Revendications

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- 1. Anticorps de la classe des IgG qui se fixe au récepteur tyrosine kinase FLT3 humain, ledit anticorps comprenant une chaîne lourde et une chaîne légère et une substitution d'acides aminés dans la région constante comparé à un anticorps anti-FLT3 parent, dans lequel ladite substitution d'acides aminés comprend les substitutions d'acides aminés S239D et 1332E, dans lequel le numérotage positionnel est conforme à l'index UE.
- 2. Anticorps selon la revendication 1, dans lequel la région CDR1 du domaine V<sub>L</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :1 ; la région CDR2 du domaine V<sub>L</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée la SÉQ ID NO :2 ; la région CDR3 du domaine V<sub>L</sub> comprend,

ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :3 ; la région CDR1 du domaine  $V_H$  comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :4 ; la région CDR2 du domaine  $V_H$  comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :5 ; et la région CDR3 du domaine  $V_H$  comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :6.

3. Anticorps selon la revendication 1, dans lequel la région CDR1 du domaine V<sub>L</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :7 ; la région CDR2 du domaine V<sub>L</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :8 ; la région CDR3 du domaine V<sub>L</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :9 ; la région CDR1 du domaine V<sub>H</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :10 ; la région CDR2 du domaine V<sub>H</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :11 ; la région CDR3 du domaine V<sub>H</sub> comprend, ou consiste en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :12.

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**4.** Anticorps selon la revendication 2, dans lequel la chaîne lourde comprend un domaine V<sub>H</sub> comprenant, ou consistant en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :14 et la chaîne légère comprend un domaine V<sub>I</sub> comprenant, ou consistant en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :13.

- 5. Anticorps selon la revendication 3, dans lequel la chaîne lourde comprend un domaine V<sub>H</sub> comprenant, ou consistant en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :30 et la chaîne légère comprend un domaine V<sub>I</sub> comprenant, ou consistant en, la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :29.
  - 6. Anticorps selon la revendication 1, dans lequel l'anticorps est un anticorps chimérique et comprend une chaîne lourde ayant la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :27 et une chaîne légère ayant la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :23.
    - 7. Anticorps selon la revendication 1, dans lequel l'anticorps est un anticorps chimérique et comprend une chaîne lourde ayant la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :43 et/ou une chaîne légère ayant la séquence d'acides aminés telle que présentée dans la SÉQ ID NO :39.
    - **8.** Anticorps selon l'une quelconque des revendications 1 à 7, dans lequel ledit anticorps se lie avec une affinité amplifiée pour le récepteur FCγRIIIa ou a une fonction effectrice ADCC amplifiée, par comparaison à l'anticorps parent.
    - 9. Molécule d'acide nucléique codant pour une chaîne lourde et une chaîne légère de l'anticorps selon l'une quelconque des revendications 1 à 8.
  - 10. Anticorps selon l'une quelconque des revendications 1 à 8 à utiliser dans une méthode de traitement d'un lymphome ou de la leucémie chez un mammifère.
    - **11.** Anticorps à utiliser selon la revendication 10, dans lequel le lymphome ou la leucémie est au stade d'une maladie résiduelle minimale (MRM).
- 45 12. Anticorps à utiliser selon la revendication 10 ou 11, dans lequel le lymphome ou la leucémie est sélectionné(e) parmi le groupe comprenant : les lymphomes non hodgkiniens (LNH), la leucémie lymphocytaire chronique (LLC), la leucémie/le lymphome lymphoblastique aigu(ë) à précurseurs B (LLA-B), le lymphome à cellules du manteau (LCM), la leucémie à tricholeucocytes (LTL), la leucémie myéloïde chronique (LMC), la leucémie myéloïde aiguë (LMA) et le myélome multiple (MM).
  - 13. Anticorps à utiliser selon l'une quelconque des revendications 10 à 12, dans lequel ledit anticorps est administré en association avec au moins un agent sélectionné parmi le groupe comprenant un agent cytotoxique, un agent chimiothérapeutique, une cytokine, un agent inhibiteur de la croissance, un agent anti-hormonal, un inhibiteur de la kinase, un agent antiangiogénique, un cardioprotecteur, un agent immunostimulateur, un agent immunosuppresseur, un inhibiteur de l'angiogenèse, un inhibiteur de la protéine tyrosine kinase, et un second anticorps.
  - **14.** Composition pharmaceutique comprenant un anticorps selon l'une quelconque des revendications 1 à 8 et un excipient pharmaceutiquement acceptable.

15. Lignée cellulaire transfectée produisant un anticorps selon l'une quelconque des revendications 1 à 8.

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Figure 1

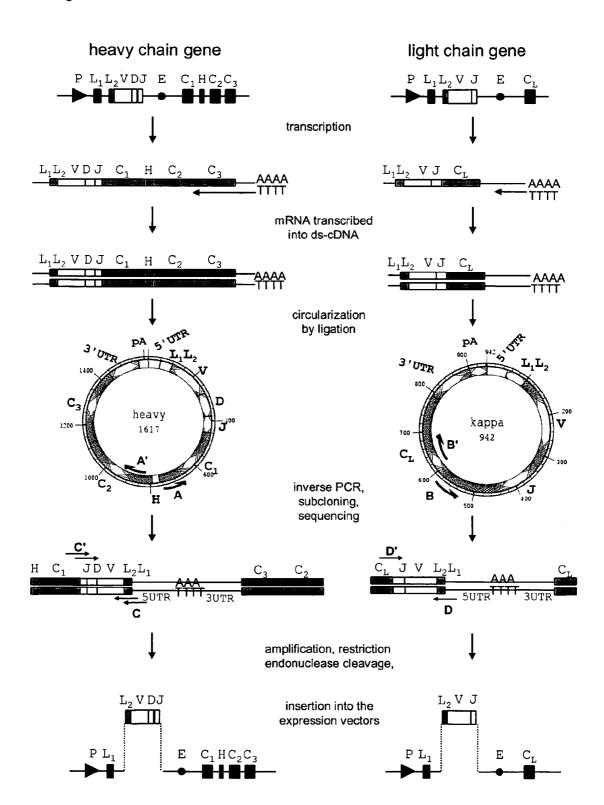
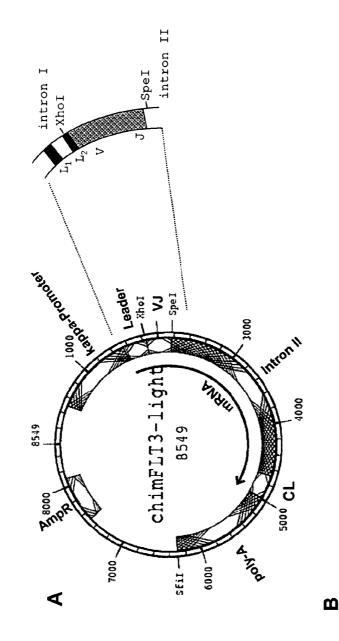


Figure 2

Vector for expression of chimeric kappa chain of anti-FLT-3 specific antibodies in lymphoid cells



ATG GTT TTC ACA CCT CAG ATA CTT GGA CTT ATG CTT TTT TGG ATT TCA G gtatgactgctt......intron I... Met Val Phe Thr Pro Gln Ile Leu Gly Leu Met Leu Phe Trp Ile Ser G -20

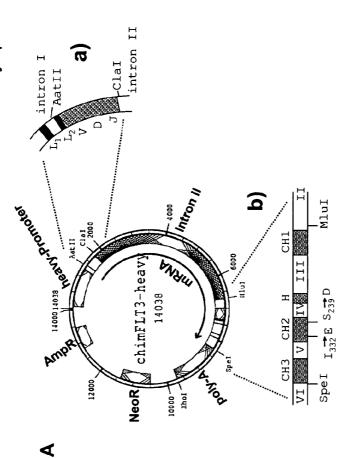
Sper
..tttccag[GT GCT CGA GGA | GA......VJ exon (as amplified by iPCR).....AAA C] gtaagtactagtttt...intron II.....

Lys A

Lys A ly Ala Arg Gly Asp

Figure 3

Vector for expression of chimeric heavy chain ( $\gamma$ 1) of anti-FLT-3 specific antibodies in lymphoid cells



 $\mathbf{\omega}$ 

ATG GGA TGG AGC TGG ATC TTT CTC TTT CTC TCA GGA ACT GCA G]gtaaggggct.....intron I... Met Gly Trp Ser Trp Ile Phe Leu Phe Leu Ser Gly Thr Ala G -19

 ABTII

 .....tgacgtccactctgtctttctcttcacag [GT GTC CTC TCC | CAG.....VDJ exon....TCA G] gtgagtcctaccttctcccattctaaatcgat......

 Val Leu Ser Gln +1

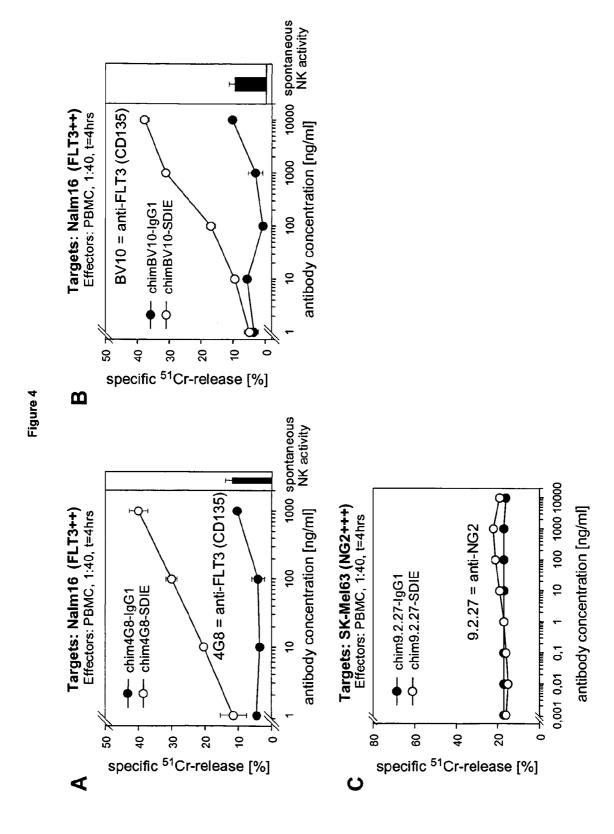
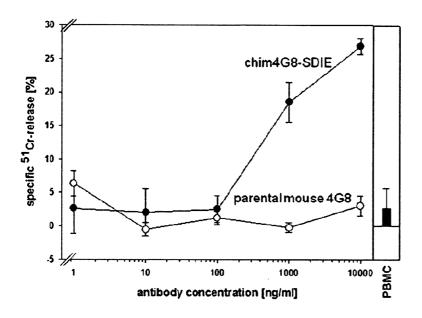


Figure 5



Target cells: AML SG3: E:T = 50:1 T = 20 hrs

# Figure 6

Α					
	10	20	30	40	50
4g8-vj DIVLTQ bv10-vj DIVMTO	S P A T L S V T P S P S S L S V S A	G D S V S L S C R A	ASQSISNN	L H W Y Q Q K	SHESP 44 PGOPP 50
Consensus D I V - T Q				WYQQK	
	60	70	80	90	100
4g8-vj R L L I K Y bv10-vj K L L I Y G	A S Q S I S G I P A S T R E S G V P	SRFSGSGSG DRFTGSGSG	IDFTLSINSVE IDFTLTISSV	TEDFGVYFCQ AEDLAVYYCQ	QSNTW 94 NDHSY 100
Consensus - L L I					
	110				
4g8-vjPYIFGG bv10-vjPLIFGA	G T K L E I K R G T K L E L K R				108 114
Consensus P - T F G -	G 7 K L E - K R				114
5					
В					
	10	20	30	40	50
4g8-vdj Q V Q L Q Q bv10-vdj Q V Q L K Q	PGAELVKPGA SGPGLVQPS	ASLKLSCKSS SSLSIICIVS	G Y T F T S Y W M H	W V R Q R P G H G L W V R Q S P G K G L	EWIGE 50 EWLGV 50
Consensus Q V Q L - Q	- G L V - P	- S L C S	S G T - Y H	WVRQ-PG-GL	E W - G - 50
	60	70	80	90	100
4g8-vdj I D P S D S bv10-vdj I W S G G S	Y KD Y N Q K F K I	O K A T L T V D R S S R L S I S K D N S	S N T A Y M H L S S K S Q V F F K M N S	L T S D D S A V Y Y L Q A D D T A I Y Y	C A R 98 C A R K G 99
Consensus I S		D - S	: S	L + + D D - A - Y Y	C A R 100
	110	120			
4g8-vdj A I bv10-vdj G I Y Y A N	Т Т Т Р F D F W G ( Н Y Y A M D Y W G (	QGTTLTVSS QGTSVTVSS			118 123
Consensus A -	D-WG(	Q G I I V S S			124

Figure 7

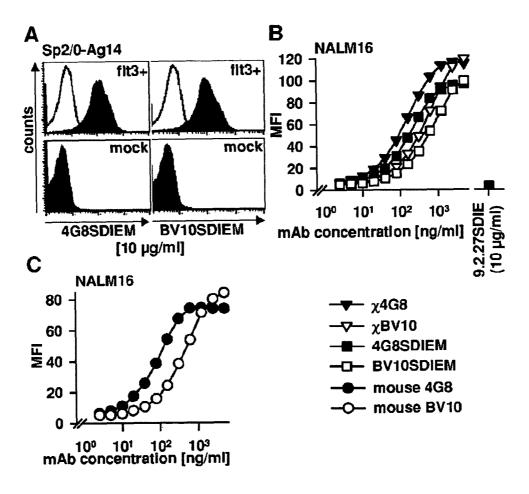
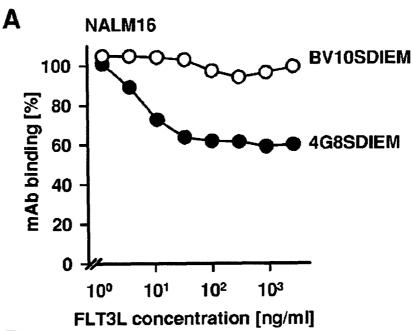


Figure 8



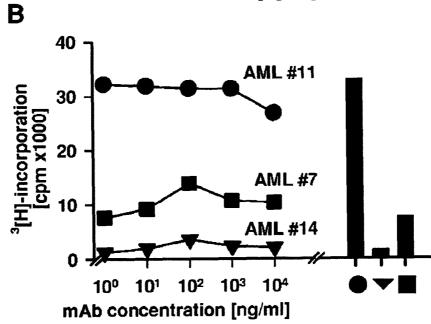


Figure 9

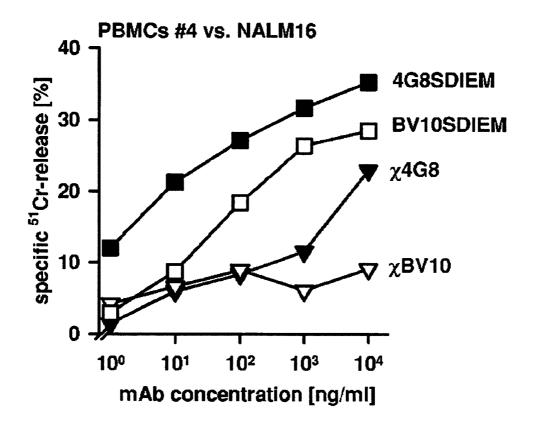
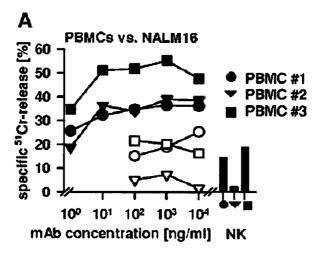
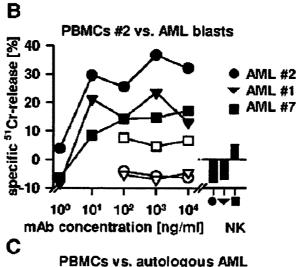
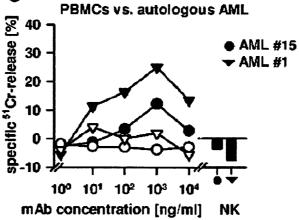


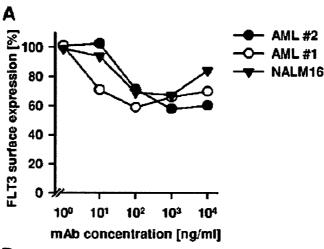
Figure 10

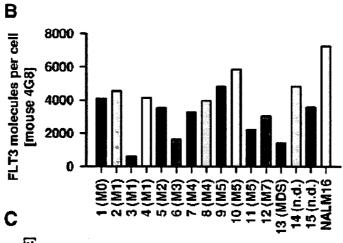












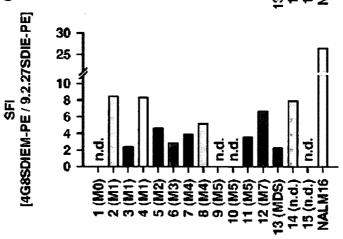


Figure 12

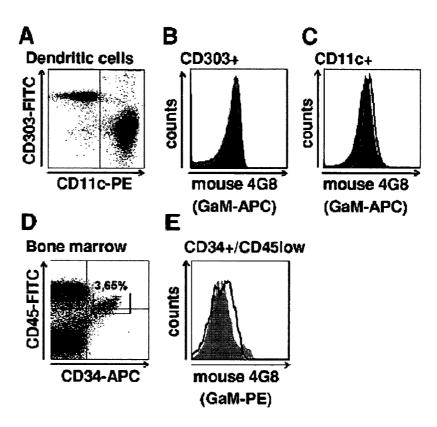


Figure 13

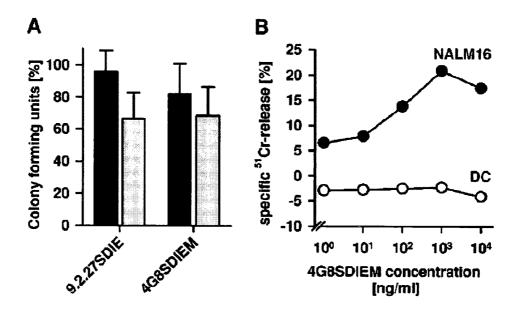
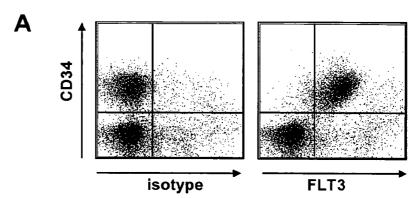
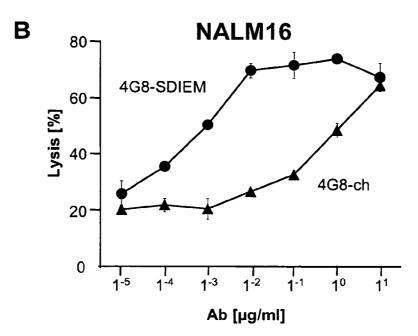
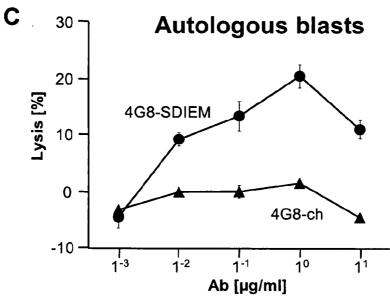
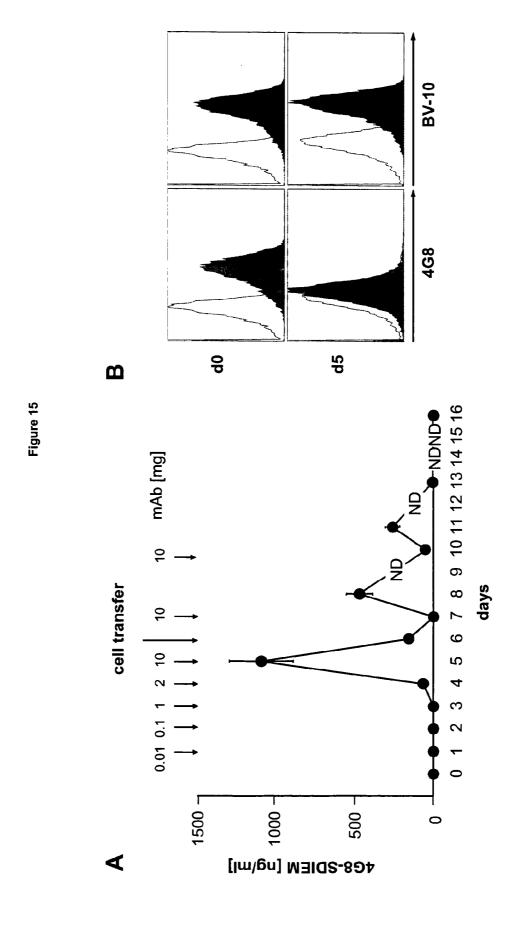


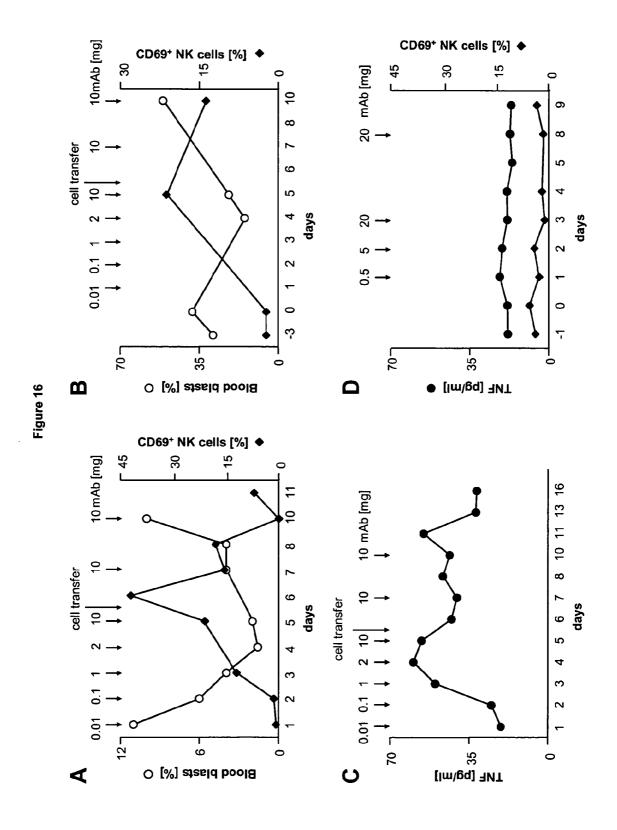
Figure 14











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# Anti-FLT3 ellenanyagok és alkalmazásuk módjai

### SZABADALMI IGÉNYPONTOK

- 1. Egy IgG ellenanyag, amely kötődik az FLT3 humán receptor tirozin kinázhoz, a szóban forgó ellenanyag tartalmaz egy nehéz láncot és egy könnyű láncot, valamint a kiindulási anti-FLT3 ellenanyaghoz viszonyítva tartalmaz egy aminosav helyettesítést a konstans régióban, ahol a szóban forgó aminosav helyettesítés az S239D és I332E aminosav helyettesítés, ahol a pozíció számozása az EU indexnek felel meg.
- 2. Az 1. igénypont szerinti ellenanyag, amelyben a V<sub>L</sub> CDR1 az 1. számú szekvencia-vázlaton bemutatott aminosav szekvenciát tartalmazza, a V<sub>L</sub> CDR2 a 2. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR3 a 3. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>H</sub> CDR1 a 4. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>H</sub> CDR2 az 5. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>H</sub> CDR3 a 6. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>H</sub> CDR3 a 6. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza.
- 3. Az 1. igénypont szerinti ellenanyag, amelyben a V<sub>L</sub> CDR1 a 7. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR2 a 8. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR3 a 9. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR1 a 10. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR2 az 11. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, a V<sub>L</sub> CDR3 a 12. számú szekvenciavázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza.
- 4. A 2. igénypont szerinti ellenanyag, amelyben a nehéz lánc tartalmaz egy V<sub>H</sub> domént, amely a 14. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, és a könnyű lánc tartalmaz egy V<sub>L</sub> domént, amely a 13. számú szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza.
- 5. A 3. igénypont szerinti ellenanyag, amelyben a nehéz lánc tartalmaz egy V<sub>H</sub> domént, amely a 30. számű szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza, és a könnyű lánc tartalmaz egy V<sub>L</sub> domént, amely a 29. számű szekvencia-vázlaton bemutatott aminosav szekvencia, vagy azt tartalmazza.

- 6. Az 1. igénypont szerinti ellenanyag, ahol az ellenanyag egy kiméra ellenanyag, és tartalmaz egy nehéz láncot, amely a 27. számú szekvencia-vázlaton bemutatott aminosav szekvenciával rendelkezik, és egy könnyű láncot, amely a 23. számú szekvencia-vázlaton bemutatott aminosav szekvenciával rendelkezik.
- 7. Az 1. igénypont szerinti ellenanyag, ahol az ellenanyag egy kiméra ellenanyag, és tartalmaz egy nehéz láncot, amely a 43. számú szekvencia-vázlaton bemutatott aminosav szekvenciával rendelkezik, és/vagy egy könnyű láncot, amely a 39. számú szekvencia-vázlaton bemutatott aminosav szekvenciával rendelkezik.
- 8. Az 1-7. igénypontok bármelyike szerinti ellenanyag, ahol a szóban forgó ellenanyag fokozott affinitással kötődik az FcγRIIIa receptorhoz, vagy a kiindulási ellenanyaghoz viszonyítva fokozott ADCC effektor funkcióval rendelkezik.
- Nukleinsav molekula, amely az 1-8. igénypontok bármelyike szerinti ellenanyagnak egy nehéz láncát és könnyű láncát kódolja.
- 10. Az 1-8. igénypontok bármelyike szerinti ellenanyag limfőma vagy leukémia kezelési eljárásában történő alkalmazásra egy emlősben.
- 11. Az ellenanyag 10. ígénypont szerinti alkalmazása, ahol a limfőma vagy a leukémia a minimális reziduális betegség (MRD) fázisában van.
- 12. Az ellenanyag 10. vagy 11. igénypont szerinti alkalmazása, ahol a limfómát vagy a leukémiát a következő csoportból választhatjuk non-Hodgkin limfóma (NHL), krónikus limfocitás leukémia (CLL), B-sejtes akut limfoblasztos leukémia/limfóma (B-ALL), köpenysejtes limfóma (MCL), szőrös sejtes leukémia (HCL), krónikus mieloid leukémia (CML), akut mieloid leukémia (AML) és mielóma multiplex (MM).
- 13. Az ellenanyagnak a 10-12. igénypontok bármelyike szerinti alkalmazása, ahol a szóban forgó ellenanyagot legalább egy másik szerrel kombinálva adjuk be, amelyet a következő csoportból választhatunk ki: egy citotoxikus szer, egy kemoterápiás szer, egy citokin, egy növekedést gátló szer, agy anti-hormon szer, egy kináz inhibitor, egy anti-angiogén szer, egy kardioprotektív szer, egy immunstimuláló szer, egy immunszuppresszív szer, egy angiogenezis inhibitor, egy fehérje tirozin-kináz inhibitor és egy második ellenanyag.
- 14. Gyógyászati készítmény, amely az 1-8. igénypontok bármelyike szerinti ellenanyagot, valamint egy gyógyászatilag elfogadható hordozót tartalmaz.
- 15. Transzfektált sejtvonal, amely az 1-8. igénypontok bármelyike szerinti ellenanyagot termel.

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