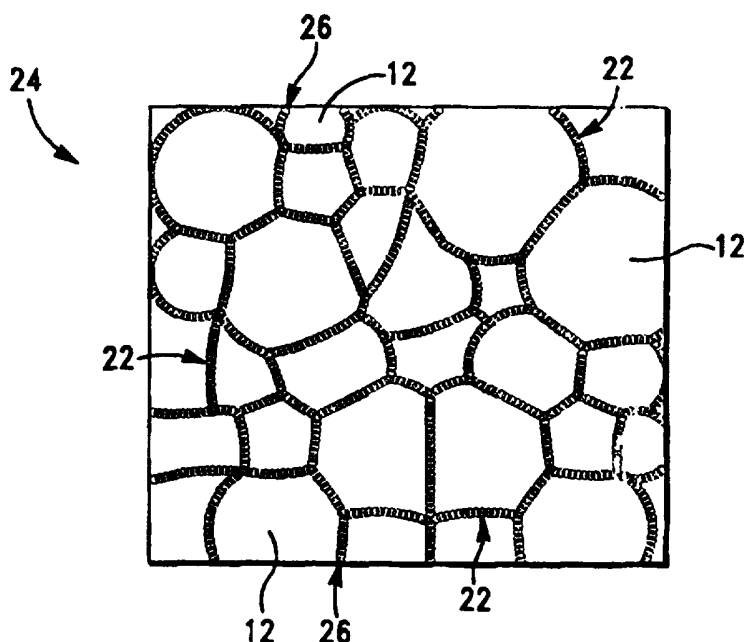




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<b>(21) International Application Number:</b> PCT/US96/17112 <b>(22) International Filing Date:</b> 24 October 1996 (24.10.96)  <b>(30) Priority Data:</b> 08/549,539                      27 October 1995 (27.10.95)                      US  <b>(71) Applicant:</b> ZMS, LLC [US/US]; Suite 258, 1933 Davis Street, San Leandro, CA 94577 (US).  <b>(72) Inventor:</b> SOANE, Zoya, Martynenko; 109 King Avenue, Piedmont, CA 94610 (US).  <b>(74) Agents:</b> SEAVELLO, Suzanne, F. et al.; Hopkins & Carley, Suite 1500, 150 Almaden Boulevard, San Jose, CA 95113-2089 (US).		<b>(81) Designated States:</b> AU, CA, IL, JP, KP, KR, MX, SG, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>With amended claims and statement.</i>
<b>(54) Title:</b> CONDUCTIVE COMPOSITE ARTICLES BASED ON EXPANDABLE AND CONTRACTIBLE PARTICULATE MATRICES  <b>(57) Abstract</b> <p>The invention provides a composite article (24) whose electrical and/or thermal conductivity undergoes a significant change in response to variations in one of several externally controlled thermodynamic parameters, such as temperature, pH, ionic strength, and solvent composition. The composite article (24) is formed by at least three components: conductive filler particles (22), cross-linked polymeric particles (10/12) that are swellable and deswellable, and a solvent mix (20). In the deswollen state, the gel particles (10) occupy a relatively small volume fraction of the composite article (24), allowing the conductive filler particles (22) to be discrete, fully suspended, and free flowing in the solvent mix (20). Upon switching to the swollen state, the interstitial volume of the composite article (24) between the cross-linked gel particles (12) diminishes, forcing the conductive filler particles (22) to come into intimate contact with one another, thus creating a conductive percolation network (26). As a result of contact between the conductive filler particles (22), the electrical and/or thermal conductivity of the composite article (24) is increased.</p>		



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## CONDUCTIVE COMPOSITE ARTICLES BASED ON EXPANDABLE AND CONTRACTIBLE PARTICULATE MATRICES

### 5 Technical Field:

This invention relates to conductive composite articles based on expandable and contractible particulate matrices which can be used as controllable transfer means of heat and electricity and high conductivity mediums and more particularly to new types of thermal and electrical switches.

### 10 Background Art:

Stable, concentrated solid-in-liquid dispersions find applications in a number of areas such as electronics, ceramics, paints and coatings. In the electronic packaging industries, for example, concentrated suspensions are used in substrate preparation, screen printing of circuits, and in thermal grease preparation. In order to enhance performance (for example, computer  
15 speed) and/or to miniaturize electronic devices, denser packaging of the components is essential. However, such dense packagings are realizable only when the large amount of heat generated is efficiently carried away from the active components.

Thermal grease or joint compounds are materials which play a pivotal role in minimizing the contact resistance between the heat source (integrated circuit chips or other hot  
20 regions) and heat sink. Of course, the use of thermal joint compounds is not restricted to computer and other electrical and electronic device applications. Thermal joint compounds are also useful in a number of areas where an effective thermal contact is sought, such as between a thermocouple probe and the heat source, and between two bolted metal parts having an air gap across which heat is transferred. For effectiveness the thermal compound should be highly  
25 thermally conducting, electrically insulating (if necessary), rheologically convenient (moderate yield stress, shear thinning, and in some cases thixotropic), easily applicable and removable, compliant and stable.

Low viscosity of thermal compounds is also required for use with fragile chips and solder bonds that attach the chips to a substrate. The force applied by the thermal grease to the  
30 chip must be minimal. However, contact of the thermal grease must be maximal to minimize interface thermal resistance for forming a good thermal path. While being compliant, thermal greases and joint compounds must be stable enough to withstand power cycling at high chip powers without degrading significantly, either in thermal conductivity or mechanically. Power

cycling has mechanical stresses arising from the differences in coefficients of thermal expansion of the various material systems over the life of a module. There should be no phase separation between the liquid and solid components of the composite article.

5 The liquid carrier should also be somewhat viscous to slow down particle settling, but not too viscous as to be unmanageable. Thermal greases and joint compounds should have a reasonably high thermal conductivity and a high electrical resistivity such as silicone oil, mineral oil and motor oil.

10 Additionally, for effectiveness, the thermal composite articles should be capable of being applied in thin layers so that the total thermal resistance path through the thermal composite articles is as low as possible. Figure 1 shows schematically how a thermal composite article is used between a chip and a thermal dissipation fin structure.

A thermal grease having a network structure or high loading may give rise to undesirable rheological properties, such as a high yield stress and/or a greatly enhanced viscosity, shear thickening behavior, etc.

15 There are numerous thermal grease composite articles available in the art. U.S. Patent number 4,265,775 to Aakalu, et al., herein incorporated by reference, describes a thermal grease comprising a thermal filler powder of laminar or dendritic shapes. The filler particles are in a silicone liquid carrier. The liquid carrier contains silica fibers to help prevent bleeding of the filler particles from the liquid carrier. However, it has been found that at repeated power  
20 cycling and chip temperatures over 80° C., bleeding is not prevented by the addition of mere silica alone. Moreover, the invention described in the '775 thermal compound is not suitable for many applications because it cannot be removed completely by solvents. This causes metallurgical non-wetting problems during rework of solder joints, and contamination of other surfaces in multichip packages and the tooling (i.e. furnaces, etc.) used for assembling such  
25 packages.

Examples of a high thermal conductivity solid fillers dispersed in a liquid carrier that yield an electrically insulating suspension (if electrical insulation is desired) can be found in U.S. Patent number 3,885,984 (1975) by J. H. Wright, and European Patent number 0,114,000 (1986) by C. Lien and D.W. Whitehead, both incorporated herein by reference. The additional  
30 use of an electrically insulating solid filler ensures that the grease is electrically nonconducting. Here again, in order to prevent particle settling, high loadings and high viscosity suspensions must be used which gives rise to undesirable rheological properties.

Aside from thermal greases, stable, concentrated solid-in-liquid dispersions can be used

as switching devices. Specially designed mechanical switching devices are known for making, carrying, and breaking electrical circuits under normal conditions as well as performing in a special way under abnormal conditions. These are common devices that protect a circuit against excess current flow or voltage surges, for example, a fuse.

5 Thermal fuses useful in electrical or electronic applications are known. U.S. Patent number 4,267,542 describes a device for a thermal fuse for use with an electrical apparatus in which easy access to the thermal fuse element (not specifically described) is allowed. U.S. Patent number 4,313,047 describes a fuse element which provides thermostatic control and thermal fuse overtemperature protection for an electrical heating device. U.S. Patent number  
10 4,581,674 describes a thermal fuse element comprising an alloy having a eutectic composition at a predetermined threshold. Upon exposure to excessive heat, the alloy melts and the circuit opens. U.S. Patent number 4,757,423 relates to a fuse for an electronic component which incorporates a pad of a fusible material, preferably comprised of metal coated polymeric particles. Upon overheating, the metal melts and is dispersed within the polymer. None of the  
15 above mentioned fuses, however, are made of a gel composite for use in densely packaged components. Additionally, these are single use fuses.

Midwest Components, Inc., Product Data Sheet (1987) and Raychem Product Data Sheets (5/88, 11/87, 10/89, 6/90, and 4/91) disclose PolySwitch TM Products for reversible circuit breaking applications. The articles contain a homogeneous mixture of polyolefin and  
20 carbon and have an electrical resistance which increases with temperature or overcurrent. This basic approach relies on the melting and crystallization of semi-crystalline host polymer matrices. The general compounding, electron-beam crosslinking, and particle dispersion issues are severe. Additionally, switching kinetics tends to be slow.

Expanded polytetrafluoroethylene-containing articles are known to provide thermal  
25 insulation. Related U.S. Patent numbers 3,953,566, 3,962,153, 4,096,227, and 4,187,390, herein incorporated by reference, teach a porous product comprising expanded, amorphous locked fibrillated polytetrafluoroethylene (PTFE) which can be laminated and impregnated to provide shaped articles. The more highly expanded materials of that invention are disclosed to be useful, for example, as thermal insulators and shaped articles. However, once expanded,  
30 PTFE-containing articles cannot contract and are therefore not reversible. Therefore, this type of surge protector or overcurrent protector acts essentially as a typical one-use fuse.

U.S. Patent number 5,328,756, herein incorporated by reference, discloses a composite article comprising a PTFE matrix, electrically conductive particles, and energy expandable,

electrically nonconductive hollow polymeric particles. The resulting composite is conductive and allows for the flow of electricity. Upon attaining a temperature which causes expansion of the expandable polymeric particles, the composite becomes insulating and causes the flow of electricity to cease. The articles are thin and can be used as electrical circuit breaking  
5 elements. However, once expanded, PTFE-containing articles cannot contract and are therefore not reversible. Additionally, PTFE-containing articles are not easily removed from a surface for re-work of a chip. Furthermore, when the particles are irreversibly expanded, the whole composite must expand. Composite expansion can be an undesirable feature because of potential damage to nearby intricate parts. In the expanded state, the conductivity is drastically  
10 and irreversibly reduced.

Conductive compositions comprising a polymeric binder system having electrically conductive particles and deformable non-conductive spherical domains have been disclosed, for example, in U.S. Patent number 4,098,945, herein incorporated by reference. Similar compositions have been disclosed to be useful as fuses in R. Woolnough, Electronic  
15 Engineering Times, Dec. 2, 1991, p 39. These systems share the same common deficiencies as the above-discussed inventions.

Aside from thermal greases and conductive switches, stable, concentrated solid-in-liquid dispersions can be used as conductive tapes. Conductive tapes are antistatic adhesive compositions useful for attaching surface-mount components at points on printed circuit boards  
20 where they are to be conductively attached e.g., by soldering. Antistatic adhesives, when coated on selected substrates and suitably converted, provide antistatic, pressure-sensitive adhesive tapes. The tapes are useful for masking printed circuit boards prior to wave soldering and the like.

A number of methods are known for preparing antistatic adhesive compositions. One  
25 common method is the addition of conductive moieties to conventional adhesive formulations. Antistatic species may be introduced as conductive materials such as electrically conductive metal or carbon particles. Compositions of this type are disclosed in EP 0276691A, EP 0518722A, U.S. Pat. No. 4,606,962, EP 0422919A, U. S. Patent Nos. 3,104,985, 4,749,612 and 4,548,862, each of which is herein incorporated by reference.

30 Ionic materials are also added to adhesive tapes to reduce generation of static charge. Suitable materials of this type include ion conducting species such as those disclosed in Japanese patents JP 61,272,279 and JP 63,012,681, herein incorporated by reference. These types are made from substrate materials whose conductivities cannot be actively controlled.

U.S. Pat. No. 4,098,945, herein incorporated by reference, discloses a conductive composition which comprises a polymeric binder system, a plurality of insoluble spherical domains dispersed in the system, and at least one electrically conductive filler dispersed in the binder which provides conductive pathways through the composition. The spherical domains  
5 are preferably adhesive microspheres, the use of which lessens the amount of conductive filler used. The spherical domains do not reversibly expand and contract. However, composite preparation is still hampered by the high viscosities of highly filled systems.

None of the above disclosed compounds can be easily removed from a surface for re-work. This is due to the fact that the viscosity of these compounds does not undergo a drastic,  
10 abrupt transition. The matrices doped with conductivity fillers in these disclosures do not themselves undergo "phase transitions." Additionally, the above disclosed compounds face the competing demands of increasing filler material for increased conductivity and decreasing filler material to keep the system viscosity as low as possible.

In view of the above there exists a need in the art for stable conductive composite  
15 articles having a high thermal conductivity and high electrical resistivity.

There is a need for these composite articles to also have relatively low viscosity for easy application and conformity to an object. Low viscosity is also needed for preventing the composite articles from exerting forces that crack chips or solder bonds which attach chips to substrates. However, composites are needed that can be stored indefinitely in the high  
20 viscosity state.

Additionally, there is a need for stable thermally conductive articles that do not experience phase separation between the liquid carrier and thermally conductive particles. Composites are also needed that will not degrade in viscosity or thermal conductivity during the reciprocating mechanical shear stress of power cycling of high powered VLSI and ULSI  
25 chips.

Additionally, there is a need for thermally conductive composite articles that are capable of being applied in thin layers so that the total thermal resistance paths through the thermally conductive composite articles are as low as possible.

There is also a need for conductive articles to be readily cleanable from chips and metal  
30 surfaces to facilitate rework of chips.

There is also a need to provide electrically conductive articles with high electrical conductivity.

There is also a need to provide electrically conductive articles that undergo controllable

switching behavior.

### Disclosure of Invention

The present invention substantially reduces or overcomes all of the above problems with the prior art. This invention comprises novel composite articles, whose viscosities and conductivity change dramatically. These changes are controllable and reversible, allowing easy deposition on and rework of surfaces and providing conductivity switches.

The composite articles are a matrix formed by at least three primary components. First is a crosslinked polymeric particle that is swellable and deswellable (also referred to as gel particles and gel-beads). Second is a solvent system. The solvent system and polymeric particles together form a gel-solvent matrix. The third component comprises conductive filler particles. Additionally, a suspending agent for the conductive filler particles may be added. Other materials may be added as desired, as discussed below.

The matrix undergoes a significant change in viscosity and electrical and/or thermal conductivity in response to minor variations in one of several externally controlled thermodynamic parameters such as temperature, pH, ionic strength, and solvent composition. Under the controllable conditions, other variables, such as electrical field strength, photon, and pressure will also change the conductivity of the composite article.

When the swellable and deswellable crosslinked polymeric particles are in a contracted state, the composite articles have relatively low viscosity for easy application and conformity to surfaces, as well as for wetting the surface to be coated. Low stiffness also prevents the composite articles from exerting forces that crack chips or solder bonds which attach chips to substrates. The composite articles have low conductivity in this state.

When the swellable and deswellable crosslinked polymeric particles are in an expanded state, the composite articles become highly viscous. The polymeric particles absorb the solvent system, causing the conductive filler particles to contact each other and form conductive pathways through the gel-solvent matrix. The total volumes of the composite articles do not change, therefore no damage is caused to nearby intricate parts. There is no degradation in viscosity or conductivity of the composite articles during the reciprocating mechanical shear stress of power cycling of high powered VLSI and ULSI chips while the gel particles are in the expanded state. There is no phase separation between the solvent system and conductive filler particles. The composite articles of this invention can be stored indefinitely at the high viscosity state.

Collapse of the swellable and deswellable crosslinked polymeric particles is triggered

just before application to a surface thus providing a low viscosity state. Once in place, the particulates may be expanded causing the composite article to "set", thereby becoming highly viscous and conductive.

In a preferred embodiment, a composite article of this invention is placed between two  
5 conductive surfaces, such as metal plates, and serves as a reversible electrical circuit breaking element (fuse element) when an electrical current is provided, such as from a DC or AC power supply. Flow of current can be sustained over long periods of time without degradation in viscosity or conductivity. However, when too great a current or voltage is provided, resistive heating of the circuit breaking element occurs causing the swollen polymeric particles in the  
10 composite article to contract, thus disrupting the connectivity of the conductive filler particles and breaking or opening the circuit.

Similarly, if the temperature of the environment containing the circuit breaking element increases and attains or surpasses the temperature at which the swollen polymeric particles of the composite article contracts, the circuit opens. Under controllable conditions, expansion of  
15 the swellable and deswellable polymeric particles leads to reversible closing and opening of the circuit.

The conductivity of the composite articles is controlled by the state of the gel particles. In the de-swollen state, the gel particles occupy a relatively small volume fraction, allowing the conductive filler particles to be discrete, fully suspended, and free flowing in the solvent  
20 system. Upon switching to the swollen state, the interstitial volume between the crosslinked gel particles diminishes, forcing the conductive filler particles to come into intimate contact with one another, thus creating a percolation network. This interparticle connectivity among the conductive filler particles governs the electrical and/or thermal conductivity of the composite article matrix. As a result of the gel particles being in the swollen state the electrical  
25 and/or thermal conductivity of the matrix is increased appreciably.

It is the existence of these pathways in a percolation network-type structure for a matrix when the gel particles are in an expanded state that elevates the composite article conductivity. When the gel particles are reversibly collapsed, these pathways disintegrate and the composite article conductivity diminishes as the percolation network structure reverts back to a randomly  
30 dispersed conductive filler particle state. The composite articles of the present invention are then readily cleanable from chips and metal surfaces to facilitate rework of chips.

When electrically conductive filler particles are used, the composite articles can be formulated to undergo transitions that switch their electrical conductivity between that of an

insulator and a conductor. Such switching behavior can be used for circuit surge protectors and self-regulating heating tapes, two of many practical examples of these composite articles.

When thermally conductive but electrically non-conductive filler particles are used, these novel composite articles can provide great heat dissipation properties, making them  
5 useful as thermal grease materials.

It is an object of this invention to provide an improved thermally conductive composite article.

It is an object of this invention to provide an improved electrically conductive composite article.

10 It is an object of this invention to provide a conductive switch for thermal, electrical, aqueous-based and non-aqueous-based systems.

In accordance with the above objects and those that will be mentioned and will become apparent below, the conductive composite article based on expandable and contractible gel particles, in accordance with this invention comprises:

15 nonconductive polymeric particles, said polymeric particles being controllably expandable and contractible;

a solvent for suspending said polymeric particles, said solvent forming a gel-solvent matrix with said polymeric particles, some of said solvent being absorbed by said polymeric particles when said polymeric particles expand, said absorbed solvent being expelled from said  
20 polymeric particles when said polymeric particles contract; and

conductive filler particles dispersed throughout said solvent, said polymeric particles being unable to absorb said conductive filler particles.

In a preferred embodiment said conductive composite article further comprises a suspending agent for enhancing suspension stability of said conductive filler particles in said  
25 solvent.

In a preferred embodiment, said solvent is selected from the group consisting of small molecules, oligomers, soluble polymers, and mixtures thereof compatible with the desired viscosity level and gel particle chemistry.

In another preferred embodiment, when said polymeric particles expand, some of said  
30 solvent is absorbed into said polymeric particles, forcing said conductive filler particles into contact with each other, thereby forming a conductive network between and around said expanded polymeric particles

whereby when said polymeric particles are expanded, said composite article is

conductive, and when said polymeric particles are contracted, conductivity of said composite article is reduced.

In another preferred embodiment, thermodynamic parameters cause said polymeric particles to expand and contract, wherein said thermodynamic parameters are selected from the group consisting of temperature, pH, ionic strength, solvent choice/composition, hydrophilic/hydrophobic and polar/nonpolar interactions between adjacent polymeric particle polymer segments and polar/nonpolar interactions between polymeric particle polymer segments and solvent molecules of said composite article.

In another preferred embodiment, the composite article has a lower viscosity when said polymeric particles are contracted and a higher viscosity when said polymeric particles are expanded.

It is an advantage of the present invention that the conductive filler particles and the swellable and deswellable polymeric particles do not easily disassociate from the gel-solvent matrix when the gel-solvent matrix is stored, handled, or in use during the intended applications.

A further advantage of the composite articles is that the total volume fraction loading of the solids content increases as the swellable and deswellable polymeric particles expand, thereby increasing the viscosity of the matrix enhancing composite stability. However, the total volume of the composite article does not change, therefore no damage is caused to nearby intricate parts.

A further advantage of the composite articles is that they are easily and completely removed from a surface for rework.

#### Brief Description of the Drawing:

For a further understanding of the objects and advantages of the present invention, reference should be made to the following detailed description, taken in conjunction with the accompanying drawing, in which like parts are given like reference numerals and wherein:

Fig. 1 shows a typical semiconductor package in which a thermal composite article of the present invention may be used.

Fig. 2 illustrates the volumetric response of the gel particles of the prior art. Fig 2A shows contracted gel particles in bead form. Fig. 2B shows expanded gel particles compressing together and assuming a polygonal geometry.

Fig. 3 shows three response curves. Fig. 3A is a typical volumetric response curve of a swellable and deswellable polymer used in this invention that reversibly contracts abruptly

above a critical transition temperature. Fig. 3B is the corresponding reversible viscosity transition induced by gel contraction illustrated in 3A. Fig. 3C is the reversible conductivity transition triggered by gel contraction leading to destruction of the percolation network of the conductive filler particles.

5 Fig. 4 shows how the expansion and contraction process reversibly forms and destroys the percolation network set up by the conductive filler particles. Fig. 4A shows swollen gel particles having reversibly formed the percolation network. Fig. 4B shows contracted gel particles having reversibly destroyed the percolation network.

Best Mode for Carrying Out the Invention:

10 The composite articles (24) of the invention are a matrix formed by at least three primary components. First is a crosslinked polymeric particle that is swellable and deswellable. Second is a solvent system. The solvent system and polymeric particles together form a gel-solvent matrix. The third component comprises conductive filler particles. Additionally, a suspending agent for the conductive filler particles may be added. Other  
15 materials may be added as desired, as discussed below.

In a preferred embodiment, this invention provides gel-like composite articles, or greases, which can be used as thermal or electrical fuse elements, or high conductivity mediums (i.e., thermal or electrical pastes). Electrical current or heat can flow through the composite article with little internal resistance.

20 Fig. 1 shows a typical semiconductor package in which a thermal composite article of the present invention may be used. Shown are integrated circuit (30), pin-grid array (32), heat dissipation fins (34), solder joint (36) and finally, a thermal grease (38).

Fig. 2 illustrates two phases of the first two components of the invention. Certain variations of thermodynamic parameters induce distinct volume transitions in expandable  
25 polymeric particles. These are indicated in Fig. 2A by the numeral (10) when the polymeric particles are contracted, and in Fig. 2B by the numeral (12) when the polymeric particles are expanded within a gel-solvent matrix, shown generally by the arrow (14). Thermodynamic parameters include, but are not limited to, temperature, pH, ionic strength, solvent choice/composition, and hydrophilic/hydrophobic and polar/nonpolar interactions between the  
30 swellable and deswellable polymer particles (10) forming the crosslinked network of the gel-like matrix (14) and the surrounding solvent (16). Under controllable conditions, other variables, such as electrical field strength, photon, and pressure will also change the conductivity of the composite article. It is this relative interplay that forms the underlying

principle causing the observed gel particle response of swelling (12) and deswelling (10).

In the contracted state, Fig. 2A, the gel particles (10) are in a bead form (they are generally polymerized as spheres). When the gel particles (10) are contracted, the gel-solvent matrix (14) is a viscous fluid and the gel particles (10) readily flow in the solvent (16). The viscosity behavior is a thoroughly studied subject. Both experimental and theoretical treatises exist in general rheology literature, especially suspension rheology. See for example, L.E. Nielson, 2 MECHANICAL PROPERTIES OF POLYMERS AND COMPOSITES ch. 7 (Marcel Dekker 1974). Such systems are often non-Newtonian and time-dependent. In Fig. 2B, when the gel particles (12) are expanded, they compress into one another and assume a polygonal geometry.

The first discovery of swellable and deswellable gel particles (10/12) was made in the early 1980s. A detailed description can be found in *Responsive Gels*, 109 and 110 ADVANCES IN POLYMER SCIENCE (K. Dusek ed. Springer Verlag 1993); and A. Sassi, POLYMER APPLICATIONS FOR BIOTECHNOLOGY, (D. Soane ed. Prentice Hall 1992). The system was an N-substituted polyacrylamide known as NIPA (N-isopropylacrylamide). In an aqueous environment, slabs of NIPA exhibited a discontinuous volume transition when temperature was reversibly scanned up and down past a critical point.

This discovery has led to a great number of formulations based on substituted polyacrylamides as either homopolymers or copolymers. These acrylamide derived systems also undergo distinct transitions when the aqueous solvent is doped with other water-miscible organic solvents such as alcohol or acetone. Additionally, copolymers with acrylate and methacrylate salts can be easily switched by pH or ionic strength changes. Design guidance for responsive gel-beads (10) useful for aqueous-based, buffered solvents (16) are well known in the art and can be found in *Responsive Gels*, 109 and 110 ADVANCES IN POLYMER SCIENCE (K. Dusek ed. Springer Verlag 1993).

Gel particles (10) can be readily produced by a number of standard techniques which are well known in the art, for example, radiation-induced polymerization or thermally-promoted polymerization. A general discussion can be found in F. Rodriguez, PRINCIPLES OF POLYMER SYSTEMS, McGraw Hill, 1970.

The chemistry may be free-radical, ionic, step (condensation) or chain polymerization. Suspension, emulsion, inverse emulsion, and precipitation polymerization reaction engineering are all well documented in the polymer literature. Surfactant usage and mechanical agitation can influence the average gel particle (10) size and distribution. Catalysts and initiators (photo or thermal) are again well known to those skilled in the art of polymerization reaction

engineering. Ultrasonic energy, stirrers, paddles, and other mixing techniques also contribute to the success of gel particle (10) preparation. An important aspect of polymerization is the chemical formulation of the gel-beads (10). This process is well known in the art. A general overview can be found in 4 and 13 *ENCYCLOPEDIA OF POLYMER SCIENCE AND ENGINEERING* (M. Bikales, Overberger, Menges eds. Wiley 1988).

In the present invention, each gel-bead (10) should contain the necessary part of the following combination of ingredients: monomers (one or more than one kind), oligomers, crosslinkers (one or more than one kind), initiator or catalyst, and other additives (such as stabilizers or accelerators. For those skilled in the art of polymer science and engineering, the above ingredients and their respective roles in polymerization reactions are well known. An infinite number of formulations may be developed, each designed for solvents selected, operative over a desired temperature range. Instead of enumerating this infinite list, a few examples are cited below to illustrate basic strategies for general gel-bead (10) development.

Methods for developing formulations of gel-beads (10) useful in aqueous mixtures are well known in the art. Any of a large array of monomers/oligomers that lead to water-compatible polymers upon polymerization may be used. Examples include substituted acrylates and methacrylates, vinyls, acrylamides, cellulose and derivatives, oxides, amides, and ionizable monomers. A partial list is given in D. Soane, *POLYMER APPLICATIONS FOR BIOTECHNOLOGY* (Prentice Hall 1992). Another source is *POLYMER HANDBOOK* (J. Brandrup and E.H. Immergut eds. Wiley 1975). The gel-beads (10) produced are judiciously crosslinked by adding to the starting mixture a measured quantity of crosslinkers to ensure expansion and contraction reversibility.

Contracted polymeric particles (10) are desirably in the size range of from about 0.05 micrometer to about 1000 micrometers, preferably from 0.05 micrometer to 200 micrometers, most preferably from 0.05 micrometer to 100 micrometers.

As stated earlier, controllable variations in temperature, pH, ionic strength, and solvent (16) choice/composition may trigger change in preference between gel-bead segment-segment interaction (forming the crosslinked network of the gel particles (10)) and gel-bead segment-solvent interaction. If gel-bead (10) segment-segment attraction is stronger than gel-bead (10) segment-solvent (16) attraction, the network collapses, and the gel-bead (10) volume is depressed. When the thermodynamic variables are tuned to those causing stronger gel-bead (10) segment-solvent (16) attraction than gel-bead (10) segment-segment attraction, the gel-beads (12) expand and can occupy a total volume an order of magnitude greater than the

same gel-beads (10) in the collapsed state.

Expanded polymeric particles (12) can have a size in the range of from about 0.2 micrometer to 1000 micrometers, preferably from 0.4 micrometer to 200 micrometers. After expansion, the volume of the expandable polymeric particles (12) increases by a factor of at least 1.5, preferably a factor of at least 5, and most preferably a factor of at least 10, and may even be as high as a factor of about 50.

The exact magnitude of volume transition is dictated by the above thermodynamic factors and also the degree of crosslinking of the gel-bead (10) network. A high crosslinking density hinders gel-bead (10) expansion because the network strands cannot be stretched beyond a certain tautness limit.

Slightly crosslinked gel-beads (10) made of the same chemical repeat units can correspondingly expand a significant extent. The rate of expansion and contraction is mass-transfer controlled, and depends on the viscosity of the solvent (16), gel-bead (10) segment rigidity and flexibility of the crosslinked gel-beads (10), temperature (which governs diffusivity), and average gel-bead (10) dimension. Larger, more rigid gel-beads (10) dispersed in viscous solvents (16) will respond slower than their counterparts. Well known chemical engineering and polymer physics principles can be relied upon to provide guidance in the design and synthesis of gel-beads (10) and selection of solvents (16). See for example, S.H. Gehre, 109 and 110 ADVANCES IN POLYMER SCIENCE 81 (K. Dusek ed. Springer Verlag 1993).

Fig. 3 shows that an order-of-magnitude change in gel-bead (10/12) volume can trigger several orders-of-magnitude change in viscosity. The exact change is dictated by several factors, including the gel-bead (10) loading level (i.e., volume fraction) and gel-bead (10) size distribution. The concept of maximum packing volume fraction can be invoked to explain the drastic and nonlinear dependence of viscosity upon the total gel-solvent matrix (14) content. As represented in Fig. 3A, when the gel-beads (12) expand, their volume fraction suddenly increases. However, as illustrated in Fig. 3B, the system viscosity increases even more drastically, especially when the maximum packing volume fraction is approached. This phenomenon is well understood and explained in L.E. Nielsen, 2 MECHANICAL PROPERTIES OF POLYMERS AND COMPOSITES ch. 7 (Marcel Dekker 1974). Since the expanded gel-beads (12) are relatively soft and deformable, the individual beads (12) assume polygonal shapes when forced against other expanding gel-beads (12). As illustrated by the arrows (18) on either side of the gel-solvent matrix (14) of Fig. 2B, by tracing along the perimeters of the gel-beads (12), one can traverse from one side of the gel-solvent matrix (14) to the opposite side without

encountering discontinuities. Macroscopic distances can thus be spanned by microscopic contiguous pathways (18).

Fig. 3A shows a typical volumetric response of gel-beads (10/12) contracting abruptly above a critical transition temperature. Here, the gel-bead (12) segment-solvent interaction is no longer favored above this transition temperature, generally referred to as the lower critical consolute temperature (i.e., temperature minimum above which polymer-solvent phase separation occurs). In Fig. 3B, the viscosity behavior of this gel-solvent matrix (14) shows that as the gel-beads (10) encounter one another in the expanded state (12), the gel-solvent matrix (14) no longer flows easily, and the gel-solvent system (14) essentially becomes an elastic solid.

Solvents (16) are selected from the group consisting of small molecules, oligomers, soluble polymers, and mixtures thereof that are compatible with the desired viscosity level and gel particle (10) chemistry. It is standard practice in the art to use small molecules, providing a very liquid gel-solvent matrix (14).

According to the invention, oligomers and soluble polymers may also be used as solvents, therefore providing more control over the viscosity of the gel-solvent matrix (14). The exact composition of solvent (16) employed is determined by the desired viscosity level and gel particle (10) chemistry.

Table I gives a few examples to illustrate the tremendous variety of gel-solvent matrices (14) employable as the first two elements of this invention.

TABLE I Selected Gel Formulations, Solvents, and Transition Mechanisms

Gel Ingredient List	Solvent Components	Switching Parameter
N-isopropyl acrylamide bis-acrylamide	water	temperature
N-isopropylacrylamide bis-acrylamide	water, acetone	acetone evaporation
polyethyleneglycol di-acrylate, hydroxyethylmethacrylate	ethylene glycol, water	temperature
polyethylene glycol di-acrylate hydroxyethylmethacrylate	ethylene glycol, water acetone	acetone evaporation

octyl/decyl acrylate, ethoxylated trimethylol propane triacrylate	toluene, methyl ethyl ketone (MEK)	temperature or MEK evaporation
sulfonated styrenes acrylated aromatic urethane oligomers	polyethylene glycol, glycerol	temperature
commercially available silicone gel formulations	silicone fluids (aromatic and aliphatic)	temperature
vinylsilicones silicone acrylates	silicone copolymers	temperature or volatile absorption/desorption

Table 1 shows that the solvent (16) is a liquid carrier. The solvent (16) can be simply water, or it can be a combination of two or more solvents, such as polyethylene glycol and glycerol.

- 5 Fig. 4A shows the third components of the composite articles according to the invention, conductive filler particles (22). As illustrated in Fig. 4A, conductive filler particles (22) are suspended in the gel-solvent matrix (14) of Fig. 2 making the composite article (24) of the present invention. According to the invention, the size, shape and total volume fraction of the conductive filler particles (22) are fixed in a particular composite
- 10 article (24). High thermal and/or electrical conductivity filler particles (22) are well known in the literature. Examples are listed in Table II.

TABLE II High Thermal and/or Electrical Conductivity Filler Materials.

Material	Density g/cm <sup>3</sup>	Thermal conductivity W/m K	Specific electrical resistance micro ohm-cm
Diamond	3.51	550-2000	.....
Silver	10.5	427	.....
Copper	8.9	398	.....
Aluminum	2.7	240	.....
Silicon carbide	3.22	42-270	107-200 ohm-cm
Aluminum nitride	3.26	30-200	>10 <sup>32</sup>
Boron nitride	2.25	10-60	>10 <sup>14</sup>
Silicon nitride	3.44	12-32	10 <sup>20</sup>
Alumina	3.98	30 (at 100° C)	3 x 10 <sup>19</sup> (at 300° C)

Zinc oxide	5.6	26	$2 \times 10^{20}$
Titania	4.17	6.5 (at 100° C)	$1.2 \times 10^{10}$ (at 800° C)

In addition to the conductive filler particles (22) listed in Table II, colloidal carbon black may be employed in the invention. The state of flocculation and deflocculation and the microparticle morphology are important determinants of both the electrical and thermal conductivities of carbon-black-filled composite articles (24).

Aluminum oxide, may also be employed as a conductive filler particle (22). It is available in submicron sizes and can interact well with a wide range of dispersants due to the amphoteric nature of its surface.

Colloidal silver and other micron size range conductive filler particles (22) can also be made to disperse uniformly in selective solvents (16).

According to the invention, conductive filler particles (22) comprise any shape, such as powder, bead, fiber, or flake. Conductive filler particles (22) can be metal or carbon coated, such as coated glass bubbles, coated glass beads, or coated mica flakes. Preferred metal coatings include silver, nickel, copper, gold, and tungsten. Such coatings can be continuous or discontinuous. When continuous coatings are present, their thicknesses can be more than zero and up to 10 micrometers or more. Additionally, a combination of two or more conductive filler particles (22) can be used in the invention. Coated conductive filler particles (22) density match with the solvent (16) to discourage conductive filler particle (22) settling.

According to the invention, the size of the conductive filler particles (22) are within the range of about 0.001 micrometer to about 600 micrometers, preferably from 0.01 micrometer to 200 micrometers, and most preferably from 0.01 micrometer to 100 micrometers. Powder resistivity of the conductive filler particles (22) should be less than about 10 ohm-cm, preferably less than 1 ohm-cm, and most preferably less than  $10^{-1}$  ohm-cm. Where metal powders are used, the powder resistivity can be as low as about  $10^{-6}$  ohm-cm.

According to the invention, the shape of the conductive filler particles (22) can be regular or irregular. Where essentially isotropic conductivity is desired, spherical conductive filler particles (22) are preferred. It is well known in the art that use of anisotropic conductive filler particles (22) such as conductive flakes and fibers greatly increases xy, or inplane, conductivity in sheetlike composite articles. It is also reported in U.S. Patent number 5,328,756, that by incorporating conductive flakes, such as silver coated mica flakes, with conductive bubbles or beads, conductivity in the plane increases significantly. When current is

to flow in the plane of a sheetlike composite article (24) of the invention, it is desirable to incorporate anisotropic conductive filler particles (22) such as conductive flakes or fibers.

Examples of specific shapes of conductive filler particles (22) useful in the present invention include copper powder, 10 micrometer (Alfa Products, Ward Hill, MA); silver coated nickel flake, - 200 mesh (Alfa Products); silver coated hollow glass bubbles, solid glass beads, and mica flake (Potter Industries, Inc., Parsippany, NJ); and carbon powders (Aldrich Chemical Co., Milwaukee, WI).

A preferred volume fraction of conductive filler particles (22) to total weight of the composite article (24) of the invention is in the range from about 90% to about 0.1%, preferably from 80% to 1%, and more preferably from 65% to 5%.

If the conductive filler particles (22) are similarly charged or covered with polymeric "whiskers" or surfactants, suspension stability is enhanced. Alternatively, the conductive filler particles (22) may be chemically coupled to or electrostatically attracted to the gel-beads (10) to further enhance suspension stability as well as ensure rapid conductivity switching when desired.

Fourth elements of the conductive composite articles (24) of this invention are optionally included. These elements are additives to the gel-solvent matrix (24) for enhancing suspension stability. Additionally the additives prevent conductive filler particle (22) coagulation when the gel particles (10) are in the contracted state. In Fig. 4, the solvent (16) of Fig. 2, may or may not be mixed with an additive, and is referred to as the solvent mix (20).

Methods to control the state of flocculation and deflocculation of polymeric particles (10/12) and conductive filler particles (22) in liquid matrices by way of additives, such as surface active dispersing agents are well known in the art. A large body of literature has been established describing these methods, for example, I. Sushumna, R.K. Gupta, and E. Ruckenstein, *Stable, Highly Concentrated Suspensions for Electronic and Ceramic Materials Applications*, 6 J.MATER. RES. 5, 1082-1093 (May 1991).

Surface active suspending agents are available from a number of sources. Commercial dispersants, such as the "hypermens" from ICI America can be used to generate high loading pastes. A number of chemical companies offer surfactants that possess hydrophilic-hydrophobic heads and tails, such as, Union Carbide (Triton), SAF (ionic and nonionic detergents), Rhone-Poulenc (comprehensive list of surfactants and specialties), BASF Performance Chemicals and Tri Products Performance Additives for Coatings, Inks and Adhesives. These surface active agents can enhance the inherent dispersion stability.

Generally, smaller conductive filler particles (22) (e.g., submicron to 10 micron size range) can be stably suspended in moderately viscous media.

Mixtures according to this invention incorporating surface acting suspending agents exhibit a high degree of suspension stability during storage and can be processed at relatively low viscosities, allowing ready application and re-work of these materials. The loading level of the conductive filler particles (22) can be adjusted according to the invention, so that the desired electrical and/or thermal conductivities can be achieved before and after transition.

Since the composite articles (24) of the invention are form-fitting to the surfaces to which they are applied, they can be injected into cavities whose dimensions are not smaller than the size of individual gel particles (10) in the collapsed state.

When used as a topical application, the composite articles (24) of the invention can be laid down as a thin coating. Thickness of the composite article (24) of the invention can range from about 0.5  $\mu\text{m}$  to about 1 cm, preferably from 1  $\mu\text{m}$  to 0.1 cm.

Temperatures according to the invention needed for gel-bead (10/12) expansion and contraction steps to occur depend on the type of polymer comprising the swellable and deswellable polymeric particles (10), the solvent mix (20) and the suspending agent employed. For example, typical temperatures range from about 30° C. to about 300° C., preferably from 60° C. to 200° C., most preferably from 80° C. to 100° C. Higher contraction temperature of swellable and deswellable polymeric particles (10/12) correlates with increased current carrying capacity for a given composition and geometry.

Useful electrical current ranges according to the invention can vary widely, depending on the composition of the composite article (24) and the cross sectional area through which the flow of electrons must pass. For example, practical currents range from about 0.0001 ampere to 100 amperes, preferably from 0.001 ampere to 50 amperes, most preferably from 0.01 ampere to 20 amperes. The length of time required for a composite article (24) of the present invention to interrupt a circuit is dependent on the heat generated due to the flow of electricity.

Optionally, according to the invention, other components or adjuvants can be added to the composite article (24) to impart some added functionality, such as color or strength, to the final composite article (24). When present, adjuvants can be included in an amount from about 0.01% to about 50% by weight, preferably from 0.1% to 40%, and most preferably from 0.5% to 25%, based on the total weight of the composite article (24). As with expandable polymeric particles (10), additional components can be swellable or non-swellable in aqueous or organic liquid, and preferably are substantially insoluble in water or organic liquids. In accordance

with this invention, the additional components may be fibrous for enhancing the mechanical integrity of the composite article (24).

Optional adjuvants can be in the size range of from about 0.1 micrometer to about 600 micrometers, preferably from 0.5 micrometer to 200 micrometers, most preferably from 1  
5 micrometer to 100 micrometers. This size range is desirable for obtaining preferred physical properties, such as toughness and uniformity of the resulting composite article (24).

It is important that the composite article (24) be cohesive enough to prevent leakage of the conductive filler particles (22), the swellable and deswellable polymeric particles (10/12) and solvent mix (20) so that the final composite article (24) has sufficient structural integrity to  
10 be handled. In the present invention, the conductive filler particles (22) and the swellable and deswellable polymeric particles (10/12) do not easily dislodge from the final composite article (24). A further advantage of the composite article (24) is that the solvent mix (20) is drawn into the gel-beads (10), leading to expansion of the gel-beads (12), thereby maintaining the structural integrity of the composite article (24). In addition, the non-bonded nature of the  
15 solvent mix (20) to the swellable and deswellable polymeric particles (10/12) also allows the solvent mix (20) to be easily expelled from the expanded gel-beads (12) during contraction. The useful range of solvent mix (20) in the final composite articles (24) can be from about 10% to about 90%, preferably from 20% to 80%, and most preferably from 30% to 70%, based on the total volume of the composite article (24).

20 Preferably, the composite articles (24) of the invention are thin and can be used as electrical or thermal circuit breaking elements, or environmentally sensitive detection systems.

The composite article (24) of the present invention exhibits a high degree of suspension stability at relatively low application viscosities, allowing ready processing and use of these composite articles (24). The loading level of conductive filler particles (22) can be adjusted so  
25 that the desired electrical and/or thermal conductivities can be achieved before and after transition of the gel-beads (10/12). When thermally conducting but electrically non-conducting filler particles (22) are used, these composite articles (24) can provide great heat dissipation properties, making them useful as thermal grease materials.

According to the invention, the composite articles (24) can be formulated to undergo  
30 transitions that switch their electrical conductivity between that of an insulator and a conductor. Such switching behavior can be used for circuit surge protectors and self-regulating heating tapes, two of many practical examples of these mixtures.

The "switching" behavior of the invention is rendered feasible by well-known, and

previously discussed, thermodynamic principles governing crosslinked networks dispersed in judiciously chosen solvent mix (20) systems. Certain thermodynamic parameters, such as temperature, pH, ionic strength and solvent mix (20) choice/composition, may trigger the swelling of collapsed crosslinked gel particles (10) or the collapse of swollen crosslinked gel particles (12). Since the interstitial fluid (the solvent mix (20)) is either imbibed by the expanding gel-beads (10) or extruded by the contracting gel-beads (12), the volumetric fractions of the solvent mix (20) and gel-beads (10/12) can undergo drastic changes. When the gel-beads (12) are swollen, the interstitial fluid solvent mix (20) of the composite article (24) occupies a negligible volume. When the gel-beads (12) collapse, the interstitial fluid solvent mix (20) of the composite article (24) regains a large volume fraction, and the shrunken gel particles (10) are discretely dispersed.

As the gel particles (10) expand, the interstitial solvent mix (20) volume decreases. The rigid conductive filler particles (22), which cannot be absorbed into the gel particles (10), are forced into intimate contact with one another. A percolation network is thus formed, where adjacent conductive filler particles (22) touch one another, creating electrical and/or thermal conducting pathways. The electrical and/or thermal conductivities thus greatly increase, sometimes by orders of magnitude.

Conductive filler particles (22) cannot be absorbed into the gel particles (10) because of steric limitations. The size of conductive filler particle (22) is greater than the mesh size of the gel-beads (10). Therefore, swelling and deswelling of gel-beads (10/12) involves only migration of solvent mix (20) molecules into and out of the gel particles (10/12). The conductive filler particles (22) always reside in the interstitial fluid phase of the composite article (24). Their degree of association changes in response to the swelling and deswelling state of the gel particles (10/12). The surface active suspending agent helps to keep these conductive filler particles (22) and the gel particles (10) deflocculated when the conductive filler particles (22) are not chemically or electrostatically attached to the gel particles (10/12). In this manner, conductive filler particle (22) association and dissociation can be reversible.

Fig. 4B illustrates the conductive filler particle (22) percolation pathways of the invention along a representative path designated by the two arrows labeled (26). It is the existence of these percolation pathways (26) in a percolation network structure for a matrix where the gel-beads (12) are in an expanded state that the composite articles (24) conductivity is elevated. When, as in Fig. 4A, the gel-beads (10) are reversibly collapsed these pathways disintegrate and the composite article (24) conductivity diminishes as the percolation pathway

(26) reverts back to a randomly dispersed conductive filler particle (22) state.

If thin films are formed by spreading the composite article (24) of the present invention on an inert substrate, they can be used as a detecting device to sense changes in temperature, pH, ionic strength, and solvent mix (20) composition (e.g., by absorption or desorption of  
5 volatiles). Many useful devices may emerge from this basic concept. Microfabricated structures can be created and used as disposable sensors. Circuit surge protectors and self-regulating heating elements can be made, where difficult geometrical layout is no longer a restriction.

This ease of adapting the present invention to many uses stems from the fact that this  
10 type of composite article (24) can be injected into, deposited atop, or smeared onto confined spaces, complex contours, or delicate structures in the low-viscosity dispersed state and then made to solidify by gel-bead (10/12) expansion.

Furthermore, if one desires, the composite article (24) of the invention may be extracted from such spaces, contoured surfaces or intricate elements by simply reverting it back into the  
15 low-viscosity state. Delicate high-powered integrated circuit chips (30) can be mounted via such a novel "thermal grease" onto heat dissipating fins (34), and the composite article (24) is then "set" by gel-bead (10/12) expansion. Yet, if re-work is desired, the expanded gel-beads (12) are made to contract (by temperature, pH, ionic strength, and/or solvent mix (20) composition) and the integrated chip (30) is easily lifted off from its anchor site.

## 20 Industrial Applicability

The wide range of applications is only limited by our imagination.

As illustrated in the following examples, a variety of gel-solvent matrices (24) (gel-beads (10/12) plus solvent mix (20)), conductive filler particles (22) and suspending agents give favorable results when producing conductive composite articles (24) based on expandable  
25 and contractible particulate matrices. However, the particular materials and amounts thereof recited in these examples, as well as other conditions and details, should not be construed to unduly limit this invention.

### Example 1: Thermal Conductivity Switching

If the suspending matrix (24)(gel-beads (10/12) plus solvent mix (20)) has a thermal  
30 conductivity of 1 W/mK, while the thermally conductive filler particles (22) have a thermal conductivity of 100 W/mK, then the randomly dispersed and the percolating structure will exhibit greatly different conductivities. If the conductive filler particles (22) occupy 50% of the total system volume, then the composite article (24) will undergo a transition from about 2

W/mK to 50 W/mK between the two states. This calculation assumes additivity of heat transfer resistances for the dispersed state and additivity of conductivities for the percolating state.

Depending on the switching temperature and/or solvent mix (20) composition desired,  
5 the correct combination of temperature and composition is found by fixing one of the variables. If a gel compound switch responsive at 50° C is desired, then at least two pure solvents are identified to form the solvent mix (20). One solvent fully swells the gel-beads (12), while another causes complete collapse (10).

The suitable solvent mix (20) composition is then found by titration of one solvent into  
10 another, until the gel-beads (10/12) undergo a volume transition at 50° C. Alternatively, one may choose a fixed solvent mix (20) composition, and scan the temperature to see if a transition can be elicited. The chemistry of the gel-bead (10/12) dictates the exact location and magnitude of transition.

Solution thermodynamics and theoretical modeling efforts have been reported in the  
15 literature, for example, in A. Sassi, POLYMER APPLICATIONS FOR BIOTECHNOLOGY, (D. Soane ed. Prentice Hall 1993).

#### Example 2: Electrical Conductivity Switching

For most industrial applications elevated temperature and non-volatile suspending matrices are desirable. Thermal grease and electrical conductivity switching can be made  
20 practical with the present invention by choosing moderately viscous, inert, and nonvolatile solvents, such as ethylene glycol, propylene glycol, glycerol, polyethylene glycol, polypropylene glycol, polyethylene-co-propylene glycol, petroleum grease, carbo-wax, paraffins, and silicone fluids (dimethylsiloxanes, methylphenyl siloxanes, siloxane-co-polyethylene glycol, siloxane-co-polypropylene glycol, siloxane-co-ethyleneprophylene glycol,  
25 and many more). The corresponding gel-beads (10/12) can be hydrocarbon-based or silicone-based. Many UV-curable and heat-curable monomers, oligomers, and crosslinkers are commercially available to fill the spectrum of gel-bead (10/12) formulations operative in hydrocarbons and silicones.

Commercial UV curable gel-beads (10/12) formulations are perhaps the easiest to  
30 formulate. Here, urethane acrylates, epoxy acrylates, glycol-substituted acrylates, alkane diacrylate homologs aliphatic polyether or polyester acrylates, aromatic polyether or polyester acrylates and analogs in methacrylates, silicone-substituted acrylates and methacrylates, are but a few examples. Mono-, bi-, tri- and multiple functionalities are readily available. The

hydrophilicity and hydrophobicity of the resulting gel-beads (10/12) can be readily fine-tuned by copolymerization from two or more ingredients. Many gel-bead (10/12) formulations can be developed to suit transition specifications under a variety of conditions.

Note that electrical conductivities may differ between the conductive filler particles (22) and the suspending gel-solvent matrices (24) by many orders of magnitude. Therefore, the change in electrical conductivity for a given responsive composite article (24) can be dramatic, making it useful as a switching device.

Example 3: Aqueous-based systems

Aqueous-based systems find most applications in biotechnology, bioseparations, and biosensors. Water-borne contaminant detection is another envisioned usage.

For example, composite articles (24) of the invention are used as sensors by spreading a thin film on top of an interdigitated device (multiple electrodes). When the gel-beads (10/12) expand or contract, caused by the absorption and desorption of volatile (20), or by changing temperature, the interdigitated electrodes detect the altered resistivity. When the gel particles (10/12) are further modified by linkage with specific antibodies or enzymes, biological detectors can be made to respond to very specific analytes. Glucose sensors are thus made by incorporating glucose oxidase for changing the pH, leading to gel-bead (10/12) swelling and deswelling. This, in turn, triggers a change in electrical conductivity.

Example 4: Self-regulating heating tape

The composite article (24) of this invention can be used as a tape to conduct electricity across the space between two parallel wires, creating heat. However, such a tape when crossed can generate hot spots. By using the composite article (24) of this invention, the elevated temperature is localized in the hot spots. The high temperature in these regions causes collapse of gel-beads (12), breaking the percolation network (26) and reducing current passage. This eventually leads to lowered local heat generation. When the heat decreases, the gel-beads (10) expand, thus producing a self-regulating heating tape device.

While the foregoing detailed description has described several combinations of swellable and deswellable crosslinked polymeric particles (10/12), solvent mixes (20), conductive filler particles (22) and suspending agents for conductive gel composite articles (24) based on expandable and contractible particulate matrices in accordance with this invention, it is to be understood that the above description is illustrative only and not limiting of the disclosed invention. Particularly included is a composite article (24) in accordance with this invention that produces both thermally and electrically conductive gel compounds. These

composite articles (24) have unique conductive properties in that the contact between the composite article (24) and the phenomenon source is intimate, leaving no air space between the intended surfaces and the compliant composite article (24). The relative ease of application and removal in the low viscosity state of the composite article (24), the exceptional composite  
5 article (24) stability against conductive filler particle (22) settling, and the reversible and controllably dramatic transition in conductivity are all example attributes that cannot be readily achieved by current systems. It will be appreciated that various methods to produce various composite articles (24) fall within the scope and spirit of this invention. Thus the invention is to be limited only by the claims as set forth below.

What is claimed is:

1. A conductive composite article comprising:  
nonconductive polymeric particles, said polymeric particles being controllably expandable and contractible;
- 5 a solvent for suspending said polymeric particles, said solvent forming a gel-solvent matrix with said polymeric particles, some of said solvent being absorbed by said polymeric particles when said polymeric particles expand, said absorbed solvent being expelled from said polymeric particles when said polymeric particles contract; and  
conductive filler particles dispersed throughout said solvent, said polymeric particles  
10 being unable to absorb said conductive filler particles.
2. The conductive composite article according to Claim 1 further comprising a suspending agent for enhancing suspension stability of said conductive filler particles in said solvent.
3. The conductive composite article according to Claim 2 wherein said conductive filler particles are chemically coupled to said polymeric particles.
- 15 4. The conductive composite article according to Claim 2 wherein said conductive filler particles are electrostatically attracted to said polymeric particles.
5. The conductive composite article according to Claim 1 wherein  
when said polymeric particles expand, some of said solvent is absorbed into said polymeric particles, forcing said conductive filler particles into contact with each other, thereby  
20 forming a conductive network between and around said expanded polymeric particles  
whereby when said polymeric particles are expanded, said composite article is conductive, and when said polymeric particles are contracted, conductivity of said composite article is reduced.
6. The conductive composite article according to Claim 1 wherein several polymer  
25 segments form each of said polymeric particles; and  
thermodynamic parameters cause said polymeric particles to expand and contract, said thermodynamic parameters being selected from the group comprising temperature, pH, light, pressure, electric field strength, ionic strength, solvent choice/composition, and  
hydrophilic/hydrophobic and polar-dipolar interactions between said polymer segments of said  
30 polymeric particles.
7. The conductive composite article according to Claim 1 wherein said conductive filler particles are electrically conductive.
8. The conductive composite article according to Claim 1 wherein said conductive filler

particles are thermally conductive.

9. The conductive composite article according to Claim 1 wherein said conductive filler particles are selected from the group comprising diamond, silver, copper, aluminum, silicon carbide, aluminum nitride, boron nitride, silicon nitride, alumina, zinc oxide, titania, colloidal carbon black, aluminum oxide and colloidal silver.
10. The conductive composite article according to Claim 9 wherein the form of said conductive filler particles is selected from the group comprising powder, beads, fibers, flakes, bubbles, platelet, spherical, cubic, and irregularly shaped.
11. The conductive composite article according to Claim 10 wherein a combination of two or more of said conductive filler particles selected from the group comprising diamond, silver, copper, aluminum, silicon carbide, aluminum nitride, boron nitride, silicon nitride, alumina, zinc oxide, titania, colloidal carbon black, aluminum oxide and colloidal silver are used.
12. The conductive composite article according to Claim 1 wherein said conductive filler particles have a size in the range of about 0.001 to 600 micrometers.
13. The conductive composite article according to Claim 1 wherein said conductive filler particles have a coating selected from the group comprising carbon and metal.
14. The conductive composite article according to Claim 13 wherein said metal is selected from the group comprising silver, nickel, copper, gold and tungsten.
15. The conductive composite article according to Claim 1 wherein said polymeric particles are selected from the group comprising:
- N-isopropyl acrylamide and bis-acrylamide;
  - polyethylene glycol, di-acrylate and hydroxyethylmethacrylate;
  - octyl/decyl acrylate and ethoxylated trimethylol propane triacrylate;
  - sulfonated styrene, acrylated aromatic and urethane oligomers;
  - commercially available silicone gel-bead formulations; and
  - vinylsilicones and silicone acrylate.
16. The conductive composite article according to Claim 1 wherein said contracted polymeric particles have a size in the range of about 0.05 to 1000 micrometers.
17. The conductive composite article according to Claim 1 wherein said expanded polymeric particles have a size in the range of about 0.1 to 1000 micrometers.
18. The conductive composite article according to Claim 1 wherein said suspending agent is selected from the group comprising surfactants having hydrophilic-hydrophobic heads and tails.

19. The conductive composite article according to Claim 1 wherein said conductive composite article acts as an insulator by contracting under particular thermodynamic conditions thereby breaking contact between said composite article and an electric or heat source.
20. The conductive composite article according to Claim 1 wherein said conductive  
5 composite article is a heat sensor.
21. The conductive composite article according to Claim 1 wherein said conductive composite article is a chemical sensor.
22. The conductive composite article according to Claim 5 wherein said conductive composite article acts as a thermal switch by  
10 said polymeric particles expanding at a particular temperature, thereby forming said conductive network between and around said expanded polymeric particles; and  
said polymeric particles contracting at a different temperature, thereby not conducting heat when said conductive filler particles no longer are in contact with each other, thereby destroying said conductive network between and around said expanded polymeric particles.
- 15 23. The conductive composite article according to Claim 1 wherein said conductive composite article is a self-regulating heating tape.
24. The conductive composite article according to Claim 1 wherein said conductive composite article is an electrical switch.
25. The conductive composite article according to Claim 1 wherein said conductive  
20 composite article is a circuit surge protector.
26. A method of applying the composite article of Claim 1 comprising the steps:  
applying said composite article to a surface when said polymeric particles are contracted, while said composite article has a lower viscosity and said conductive filler particles are dispersed within said solvent;  
25 changing a thermodynamic parameter for causing said polymeric particles to expand;  
whereby said polymeric particles expand, viscosity of said composite article increases, said conductive network of said conductive filler particles forms and said composite article becomes conductive.
27. The conductive composite article according to Claim 1 wherein said solvent is selected  
30 from the group consisting of small molecules, oligomers, soluble polymers, and mixtures thereof compatible with the desired viscosity level and gel particle chemistry.

**AMENDED CLAIMS**

[received by the International Bureau on 27 February 1997 (27.02.97);  
original claims 1-27 replaced by amended claims 1-27 (4 pages)]

1. A composite article comprising:
  - nonconductive polymeric particles, said polymeric particles being controllably expandable and contractible;
  - 5 a solvent for suspending said polymeric particles, said solvent forming a gel-solvent matrix with said polymeric particles, wherein said polymeric particles and said solvent interact to cause said polymeric particles to expand or contract in response to one or more changes in environmental conditions, at least some of said solvent being absorbed by said polymeric particles when said polymeric particles expand, said absorbed solvent being expelled from said polymeric particles when said polymeric particles contract; and
  - 10 conductive filler particles dispersed throughout said solvent, said polymeric particles being unable to absorb said conductive filler particles
  - wherein the conductivity of the composite article changes depending on whether the non-conductive polymeric particles are in an expanded or contracted state, with the
  - 15 conductivity of the composite article being greater when the non-conductive polymeric particles are expanded and the composite article being essentially non-conductive when the polymeric particles are contracted.
2. The composite article according to Claim 1 further comprising a suspending agent for enhancing suspension stability of said conductive filler particles in said solvent.
- 20 3. The composite article according to Claim 2 wherein said conductive filler particles are chemically coupled to said polymeric particles.
4. The composite article according to Claim 2 wherein said conductive filler particles are electrostatically attracted to said polymeric particles.
5. The composite article according to Claim 1 wherein
- 25 when said polymeric particles expand, some of said solvent is absorbed into said polymeric particles, forcing said conductive filler particles into contact with each other, thereby forming a conductive network between and around said expanded polymeric particles
- whereby when said polymeric particles are expanded, said composite article is conductive, and when said polymeric particles are contracted, conductivity of said composite
- 30 article is reduced.
6. The composite article according to Claim 1 wherein several polymer segments form each of said polymeric particles; and
- thermodynamic parameters cause said polymeric particles to expand and contract, said

thermodynamic parameters being selected from the group comprising temperature, pH, light, pressure, electric field strength, ionic strength, solvent choice/composition, and hydrophilic/hydrophobic and polar-dipolar interactions between said polymer segments of said polymeric particles.

5 7. The composite article according to Claim 1 wherein said conductive filler particles are electrically conductive.

8. The composite article according to Claim 1 wherein said conductive filler particles are thermally conductive.

9. The composite article according to Claim 1 wherein said conductive filler particles are  
10 selected from the group comprising diamond, silver, copper, aluminum, silicon carbide, aluminum nitride, boron nitride, silicon nitride, alumina, zinc oxide, titania, colloidal carbon black, aluminum oxide and colloidal silver.

10. The composite article according to Claim 9 wherein the form of said conductive filler particles is selected from the group comprising powder, beads, fibers, flakes, bubbles, platelet,  
15 spherical, cubic, and irregularly shaped.

11. The composite article according to Claim 10 wherein a combination of two or more of said conductive filler particles selected from the group comprising diamond, silver, copper, aluminum, silicon carbide, aluminum nitride, boron nitride, silicon nitride, alumina, zinc oxide, titania, colloidal carbon black, aluminum oxide and colloidal silver are used.

20 12. The composite article according to Claim 1 wherein said conductive filler particles have a size in the range of about 0.001 to 600 micrometers.

13. The composite article according to Claim 1 wherein said conductive filler particles have a coating selected from the group comprising carbon and metal.

14. The composite article according to Claim 13 wherein said metal is selected from the  
25 group comprising silver, nickel, copper, gold and tungsten.

15. The composite article according to Claim 1 wherein said polymeric particles are selected from the group comprising:

N-isopropyl acrylamide and bis-acrylamide;

polyethylene glycol, di-acrylate and hydroxyethylmethacrylate;

30 octyl/decyl acrylate and ethoxylated trimethylol propane triacrylate;

sulfonated styrene, acrylated aromatic and urethane oligomers;

commercially available silicone gel-bead formulations; and

vinylsilicones and silicone acrylate.

16. The composite article according to Claim 1 wherein said contracted polymeric particles have a size in the range of about 0.05 to 1000 micrometers.

17. The composite article according to Claim 1 wherein said expanded polymeric particles have a size in the range of about 0.1 to 1000 micrometers.

5 18. The composite article according to Claim 1 wherein said suspending agent is selected from the group comprising surfactants having hydrophilic-hydrophobic heads and tails.

19. The composite article according to Claim 1 wherein said composite article acts as an insulator by contracting under particular thermodynamic conditions thereby breaking contact between said composite article and an electric or heat source.

10 20. The composite article according to Claim 1 wherein said composite article is a heat sensor.

21. The composite article according to Claim 1 wherein said composite article is a chemical sensor.

15 22. The composite article according to Claim 5 wherein said composite article acts as a thermal switch by

said polymeric particles expanding at a particular temperature, thereby forming said conductive network between and around said expanded polymeric particles; and

20 said polymeric particles contracting at a different temperature, thereby not conducting heat when said conductive filler particles no longer are in contact with each other, thereby destroying said conductive network between and around said expanded polymeric particles.

23. The composite article according to Claim 1 wherein said composite article is a self-regulating heating tape.

24. The composite article according to Claim 1 wherein said composite article is an electrical switch.

25 25. The composite article according to Claim 1 wherein said composite article is a circuit surge protector.

26. A method of applying the composite article of Claim 1 comprising the steps:

30 applying said composite article to a surface when said polymeric particles are contracted, while said composite article has a lower viscosity and said conductive filler particles are dispersed within said solvent;

changing a thermodynamic parameter for causing said polymeric particles to expand; whereby said polymeric particles expand, viscosity of said composite article increases, said conductive network of said conductive filler particles forms and said composite article

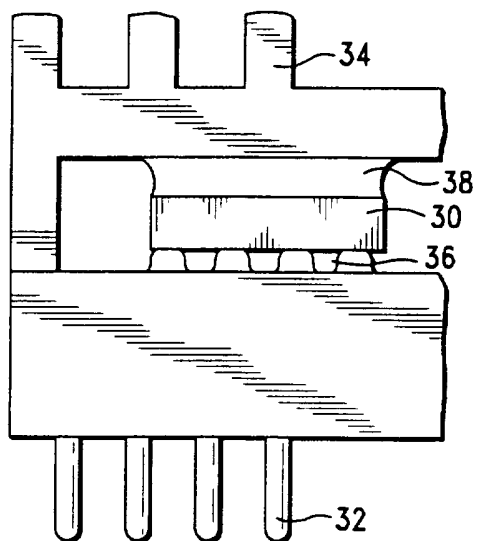
becomes conductive.

27. The composite article according to Claim 1 wherein said solvent is selected from the group consisting of small molecules, oligomers, soluble polymers, and mixtures thereof compatible with the desired viscosity level and gel particle chemistry.

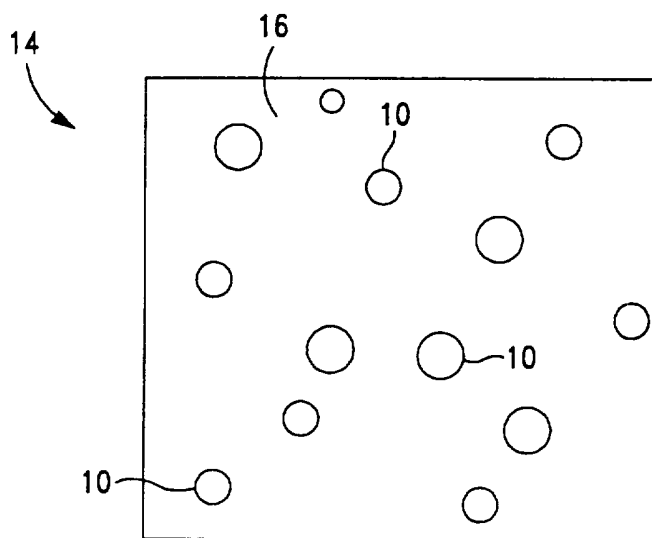
**STATEMENT UNDER ARTICLE 19**

Claims 1-27 are replaced by amended claims. The amended claims are those allowed by the United States Patent and Trademark Office. In each claim, the phrase "conductive composite article" has been changed to "composite article" because the compound is conductive under particular circumstances and essentially non-conductive under other circumstances. Claim 1 has been further amended to more clearly define the interaction between the polymeric particles and the solvent and to connect the conductivity of the composite article to the expanded/contracted state of the polymeric particles.

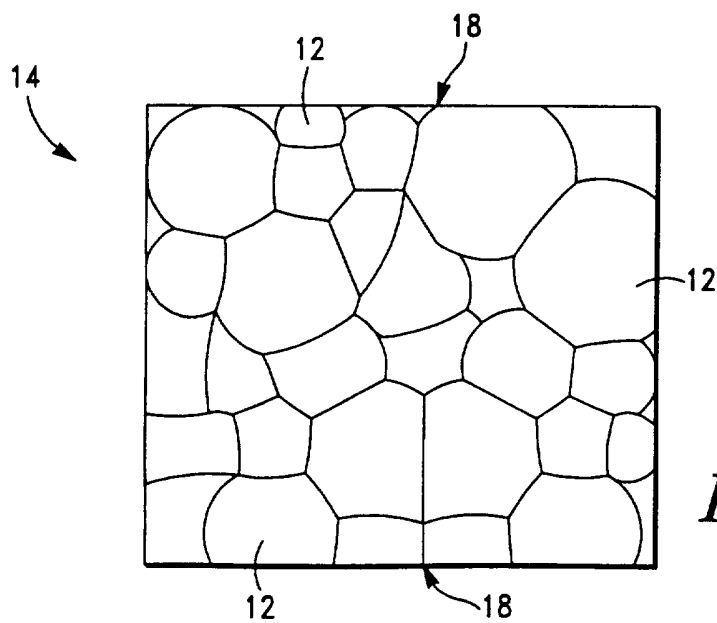
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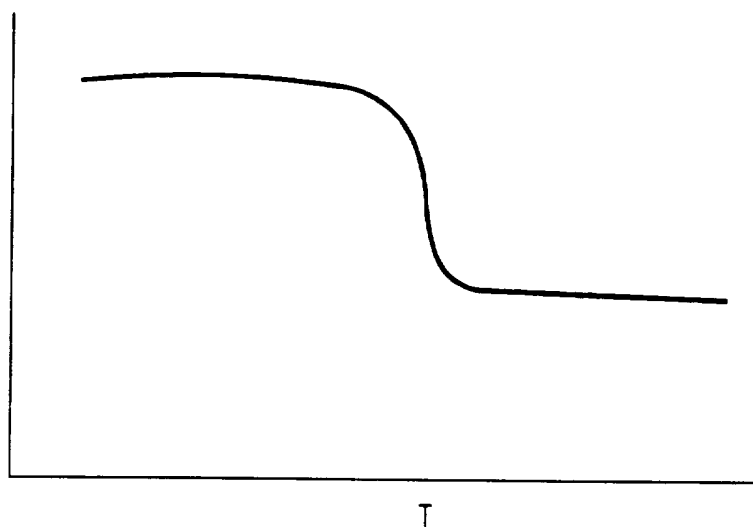
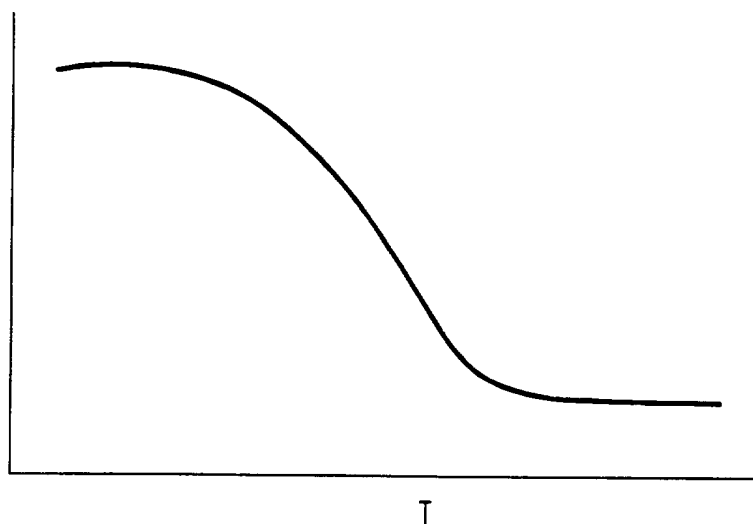
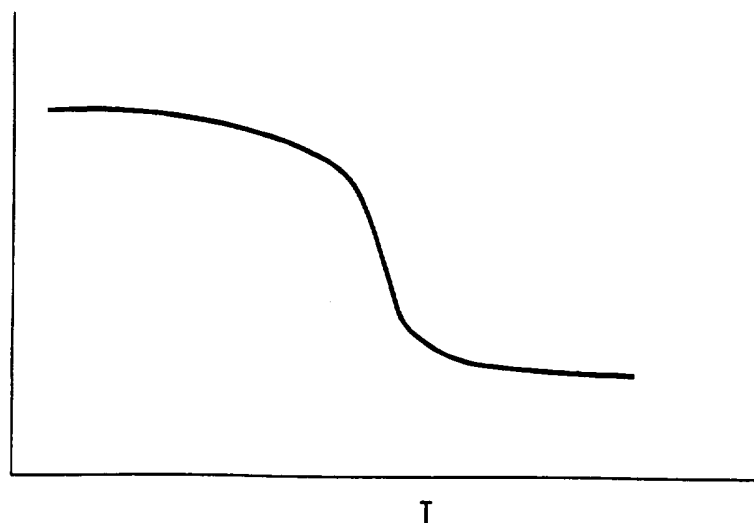
**FIG. 1**  
(PRIOR ART)



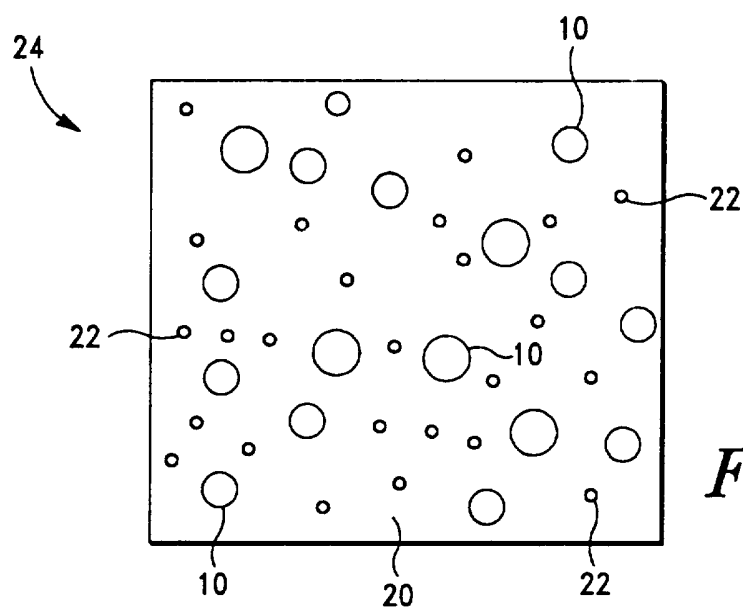
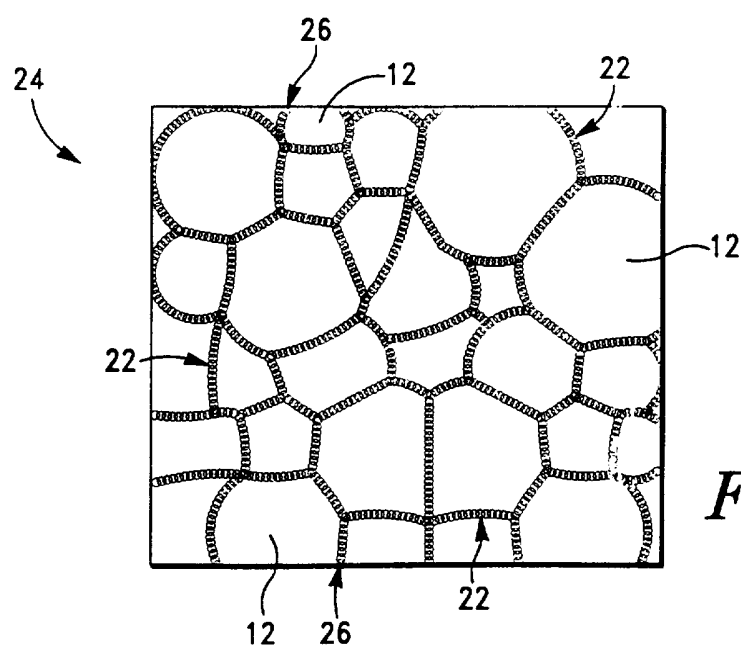
**FIG. 2A**  
(PRIOR ART)



**FIG. 2B**  
(PRIOR ART)

$2/3$ GEL  
BEAD  
VOLUME*FIG. 3A*LOG  
VISCOSITY*FIG. 3B*LOG  
CONDUCTIVITY*FIG. 3C*

3/3

*FIG. 4A**FIG. 4B*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/17112

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H01B 1/12; B32B 5/16  
US CL : 252/500, 510, 511, 512, 513, 514, 515; 428/327, 355, 433, 434  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 252/500, 510, 511, 512, 513, 514, 515; 428/327, 355, 433, 434

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,367,745 A (WELAGE) 11 January 1983, column 9, lines 23 and 29-31; claims 1, 6, 9, and 10.	1-27
Y	US 4,952,293 A (SYPULA ET AL.) 28 August 1990, column 4, lines 65-68; column 5, lines 1-3, 20-52, and 58; column 20, lines 40-52.	1-27

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* & * document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search	Date of mailing of the international search report
26 NOVEMBER 1996	05 DEC 1996

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