
Title: FLOATING ROTOR DISC WITH COMPRESSIBLE RETENTION RING FASTENER

Abstract: A floating disc brake assembly (100) having a disc brake rotor (120) secured to a mounting assembly, such as a hat (110) or a hat with a retention ring (130) structured and arranged to fit within retention ring flanges of a plurality of rotor mounting tabs (115) uniformly distributed about the mounting assembly. A method of uniformly transferring braking forces from a rotor (120) of a brake assembly (110) about a wheel hat component (110) of the brake assembly and a kit of parts are also provided.
This disclosure relates to improvements in vehicular disc brake assemblies.

One type of common prior art brake design for vehicles is a two piece rotor and hat in which a rotor that carries the braking surface is detachably connected to a wheel hat. Another common type of brake design is an integrated one-piece rotor and hub assembly.

Integrated one-piece rotor and hat assemblies have the advantage that no fasteners are required between the rotor and the hat. As a result, the integrated assemblies do not face problems associated with fasteners such as wear and fatigue near fastener openings and potential misalignment due to imperfect machining. A significant drawback, however, is that the assembly is constrained at the hat, which causes thermal distortion of the rotor. During braking, the rotor in such an assembly is subjected to high frictional forces that generate heat in the rotor causing thermal expansion/distortion, temperature variation across the face of the rotor, and heat transfer to the adjacent components including the hat and the bearings. Thermal expansion of the rotor is very limited because of the integral connection between the rotor and the hat, which results in thermal coning of the rotor surface and a large thermal gradient, which will induce high thermal stress leading to thermal cracking. The high thermal gradient generated during braking and the effects of the thermal expansion and distortion can cause vibration and thermal judder across the brake surfaces, resulting in rough or irregular braking pulsations, reduce the life and performance of the rotor and increase maintenance costs. Such thermal distortion can damage the rotor and when the rotor is damaged or worn, the entire integrated assembly must be replaced. This is expensive and time consuming.

One way the thermal stresses have been addressed is to provide a "floating" rotor in which the fastener connection between the rotor and the hat is provided with a small clearance or float that allows thermal expansion. Advantageously,
in these designs the rotor is mounted directly to the hat such that braking force is applied in-plane to the hat thus minimizing torsion or twist between the rotor and hat attachment, which can result in cracking and breaking of the rotor.

[0005] Two-piece rotor/hat assemblies also allow greater flexibility with respect to use with different hats, as the same rotor disc can be used with different hats. This reduces the cost since generic rotor discs could be used and only the hat portion requires specialized casting, tooling and machining steps. Thus, floating rotor/hat assemblies reduce the necessity for complete replacement of a worn, cracked or distorted rotor, since the rotor disc can be detached from the hat for less expensive and easier replacement than with the integrated design.

[0006] However, stresses induced by conventional fastener assemblies in these designs are also a problem, even in floating rotor brakes. In most conventional designs a rotor attachment flange is held against the hat with a series of bolts or studs capped with nuts at a central portion of the rotor. The hat portion is placed on one side of the attachment flange and a fastener connects the hat portion to the side of the attachment flange. During braking, a frictional force is applied to the rotor surface, which creates torque that is transferred to the attachment flange, to the fastener and to the hat. Because the hat portion is attached to one side of the attachment flange, which is in a plane axially displaced from the friction braking surface, a moment arm is created at this connection joint. When the torque is transferred through a moment arm, bending stresses are formed in the connection. This creates twisting in the areas adjacent the fastener, which can create fatigue leading to cracking and breaking. These bolts or studs absorb and transfer a major amount of the braking force to the hat and are thus subject to intense thermal and bending stresses during braking.

[0007] Torque transfer also tends to be non-uniform through the perforated flange, especially in a floating design, as the machining tolerance at each aperture causes certain connections to receive more torque than other connections. This creates high stresses at individual apertures and can cause the attachment flange to crack or to have portions break off.
The two-piece hat/rotor assemblies discussed above also have drawbacks associated with the hat portion, which typically has slots that match with the perforations in the rotor attachment flange. Some floating type two piece hat/rotor assemblies use a spacer, sometimes called a bobbin, to provide the clearance that accommodates thermal expansion. The bobbin fits in the slots of the hat piece or in slots of the rotor flange, and when torque is applied to the hat through the rotor, the bobbin twists in the slot. This twisting causes the edges of the bobbin, which are typically square to match the slot, to gouge the sides of the slots, thus damaging the slotted piece. This is especially true when the hat piece is manufactured from a material having a lower hardness, such as aluminum, which is popular in high performance and racing applications, or when the rotor is formed of cast iron.

As such, these parts represent another weak link in the system, and can break-off during maintenance procedures. Additionally, holes drilled in the rotors for receiving these connectors can weaken the overall design and likewise crack due to the thermal and torsional forces created during braking.

However, despite recent advances, there remains an unmet need in the art to optimize and simplify attachment of floating disc brake rotors to wheel hats.

There is a need, therefore, to provide a hat and rotor assembly that eliminates bending stresses and promotes uniform torque transfer, so as to minimize bending and fatigue stresses to increase the life and reliability of the brake device.

Presented is a floating disc brake assembly, including a disc brake rotor comprising an inner circumferential aperture having a plurality of rotor tabs spaced about the aperture, a mounting assembly comprising a cylindrical axial body having axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of mounting assembly tabs having retention ring flanges, the plurality of mounting assembly tabs spaced about the rotor mounting flange and forming slots interspersed therebetween, the slots structured and arranged for receiving the rotor tabs, and a retention ring for securing the rotor tabs between the mounting assembly tabs and the rotor mounting flange, the retention ring...
including a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of mounting assembly tabs.

[00013] In another form, the floating disc brake assembly can further comprise landings extending across at least some of the slots between the mounting assembly tabs, such that when engaged with the retention ring flanges, the retention ring and the landings axially retain the rotor tabs in the slots.

[00014] Conveniently, the rotor tabs and the mounting assembly tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

[00015] Advantageously, neither the rotor tabs nor the mounting assembly tabs have apertures or through holes.

[00016] In another form, the retention ring flanges extend axially through slots formed between the plurality of rotor tabs.

[00017] In one form, the mounting assembly is a hat.

[00018] Also presented is a floating disc brake assembly, comprising a disc brake rotor comprising a rotor having an inner annular aperture; and a plurality of rotor tabs spaced about the inner annular aperture, a wheel hat comprising a rotor mounting flange; a plurality of rotor mounting tabs spaced about the rotor mounting flange, adjacent pairs of the rotor mounting tabs defining slots therebetween, at least some of the rotor mounting tabs comprising a retention ring flange, the slots and the rotor tabs mutually arranged such that the rotor tabs are received in the slots; and an axially compressible retention ring releaseably engageable with the retention ring flanges, and when engaged with the retention ring flanges, the retention ring retaining the rotor tabs in the slots.

[00019] In one form, the rotor mounting flange further comprises landings extending across at least some of the slots, such that when engaged with the retention ring flanges, the retention ring and the landings axially retain the rotor tabs in the slots.
In another form, the rotor tabs and the rotor mounting tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

Advantageously, neither the rotor tabs nor the rotor mounting tabs have apertures or through holes.

Additionally, the rotor tabs and the rotor mounting tabs have conforming, radially-oriented side surfaces in direct contact with one another.

In another form, the retention ring is radially yieldable by either compression or expansion, and can be an inner retention ring or an outer retention ring.

In various forms, the retention ring includes a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion, or the retention ring includes an inner wave spring ring portion sandwiched between two substantially flat or planar rings, or the retention ring includes an axially compressible material sandwiched between two substantially flat or planar rings, such as where the compressible material is one of a wire mesh spring washer or a Belleville spring.

Conveniently, the retention ring flanges extend axially through slots defined between the plurality of rotor tabs.

Advantageously, each of the rotor mounting tabs has a retention ring flange.

In another form is presented a method of uniformly transferring braking forces from a rotor of a brake assembly about a wheel hat component of the brake assembly, the method comprising locating a plurality of rotor mounting tabs about a rotor mounting flange on the wheel hat, establishing slots about the rotor mounting flange between adjacent pairs of the rotor mounting tabs; locating a plurality of rotor tabs about an annular edge portion of the rotor; establishing a floating connection between the rotor and the rotor mounting flange by locating each of the rotor tabs in each of the slots established between rotor mounting tabs; and retaining the rotor tabs
in the slots by engaging an axially compressible retention ring with retention ring flanges
provided on at least some of the rotor mounting tabs.

[00028] Advantageously, torque transfer upon braking is primarily in-plane, and
bending stresses within the disc brake assembly are minimized.

[00029] Conveniently, the rotor floats relative to the hat to accommodate thermal
expansion of the rotor tabs upon braking.

[00030] In another form is disclosed a kit of parts for mounting a disc brake rotor to
a wheel hat having a cylindrical axial body with axially opposed first and second ends, a
rotor mounting flange extending radially at or near the first end of the axial body, a
plurality of rotor mounting tabs, at least some of which having retention ring flanges, the
plurality of rotor mounting tabs spaced about the rotor mounting flange and forming
slots therebetween, said kit comprising a disc brake rotor comprising an inner annular
aperture and a plurality of rotor tabs spaced uniformly about the inner annular aperture,
the rotor tabs structured and arranged to fit into the slots on the rotor mounting flange;
and at least one retention ring for securing the rotor tabs between the rotor mounting
tabs and the rotor mounting flange, the retention ring structured and arranged to fit
within the retention ring flanges of the plurality of rotor mounting tabs.

[00031] The present disclosure is susceptible to various modifications and
alternative forms, specific exemplary implementations thereof have been shown in the
drawings and are herein described in detail. It should be understood, however, that the
description herein of specific exemplary implementations is not intended to limit the
disclosure to the particular forms disclosed herein. This disclosure is to cover all
modifications and equivalents as defined by the appended claims. It should also be
understood that the drawings are not necessarily to scale, emphasis instead being
placed upon clearly illustrating principles of exemplary embodiments of the present
invention. Moreover, certain dimensions may be exaggerated to help visually convey
such principles. Further where considered appropriate, reference numerals may be
repeated among the drawings to indicate corresponding or analogous elements.
Moreover, two or more blocks or elements depicted as distinct or separate in the
drawings may be combined into a single functional block or element. Similarly, a single block or element illustrated in the drawings may be implemented as multiple steps or by multiple elements in cooperation. The forms disclosed herein are illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements and in which:

[00032] FIG. 1 is an exploded plan view of the floating disc brake assembly according to the present disclosure;

[00033] FIG. 2 is an exploded side view of the floating disc brake assembly of FIG. 1;

[00034] FIG. 3 is a cross-sectional view of the assembled disc brake assembly of the present disclosure;

[00035] FIG. 4 is an enlarged view of the circled inset of FIG. 3;

[00036] FIGS. 5A through 5E illustrate alternative designs of retention rings suitable for use with the present disclosure; and

[00037] FIGS. 6A and 6B illustrate alternative embodiments of retention ring flanges suitable for use with the present disclosure.

[00038] The words and phrases used herein should be understood and interpreted to have a meaning consistent with the understanding of those words and phrases by those skilled in the relevant art. No special definition of a term or phrase, i.e., a definition that is different from the ordinary and customary meaning as understood by those skilled in the art, is intended to be implied by consistent usage of the term or phrase herein. To the extent that a term or phrase is intended to have a special meaning, i.e., a meaning other than the broadest meaning understood by skilled artisans, such a special or clarifying definition will be expressly set forth in the specification in a definitional manner that provides the special or clarifying definition for the term or phrase.
For example, the following discussion contains a non-exhaustive list of definitions of several specific terms used in this disclosure (other terms may be defined or clarified in a definitional manner elsewhere herein). These definitions are intended to clarify the meanings of the terms used herein. It is believed that the terms are used in a manner consistent with their ordinary meaning, but the definitions are nonetheless specified here for clarity.

Each of the following terms written in singular grammatical form: "a," "an," and "the," as used herein, may also refer to, and encompass, a plurality of the stated entity or object, unless otherwise specifically defined or stated herein, or, unless the context clearly dictates otherwise. For example, the phrases "a device," "an assembly," "a mechanism," "a component," and "an element," as used herein, may also refer to, and encompass, a plurality of devices, a plurality of assemblies, a plurality of mechanisms, a plurality of components, and a plurality of elements, respectively.

Each of the following terms: "includes," "including," "has," "having," "comprises," and "comprising," and, their linguistic or grammatical variants, derivatives, and/or conjugates, as used herein, means "including, but not limited to."

About: As used herein, "about" refers to a degree of deviation based on experimental error typical for the particular property identified. The latitude provided the term "about" will depend on the specific context and particular property and can be readily discerned by those skilled in the art. The term "about" is not intended to either expand or limit the degree of equivalents which may otherwise be afforded a particular value. Further, unless otherwise stated, the term "about" shall expressly include "exactly," consistent with the discussion below regarding ranges and numerical data.

Above/below: In the following description of the representative embodiments of the invention, directional terms, such as "above", "below", "upper", "lower", etc., are used for convenience in referring to the accompanying drawings. In general, "above", "upper", "upward" and similar terms refer to a direction toward the earth's surface along a wellbore, and "below", "lower", "downward" and similar terms refer to a direction away from the earth's surface along the wellbore. Continuing with
the example of relative directions in a wellbore, "upper" and "lower" may also refer to relative positions along the longitudinal dimension of a wellbore rather than relative to the surface, such as in describing both vertical and horizontal wells.

[00044] And/or: The term "and/or" placed between a first entity and a second entity means one of (1) the first entity, (2) the second entity, and (3) the first entity and the second entity. Multiple elements listed with "and/or" should be construed in the same fashion, i.e., "one or more" of the elements so conjoined. Other elements may optionally be present other than the elements specifically identified by the "and/or" clause, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting example, a reference to "A and/or B", when used in conjunction with open-ended language such as "comprising" can refer, in one embodiment, to A only (optionally including elements other than B); in another embodiment, to B only (optionally including elements other than A); in yet another embodiment, to both A and B (optionally including other elements). As used herein in the specification and in the claims, "or" should be understood to have the same meaning as "and/or" as defined above. For example, when separating items in a list, "or" or "and/or" shall be interpreted as being inclusive, i.e., the inclusion of at least one, but also including more than one, of a number or list of elements, and, optionally, additional unlisted items. Only terms clearly indicated to the contrary, such as "only one of" or "exactly one of," or, when used in the claims, "consisting of," will refer to the inclusion of exactly one element of a number or list of elements. In general, the term "or" as used herein shall only be interpreted as indicating exclusive alternatives (i.e. "one or the other but not both") when preceded by terms of exclusivity, such as "either," "one of," "only one of," or "exactly one of".

[00045] Any: The adjective "any" means one, some, or all indiscriminately of whatever quantity.

[00046] At least: As used herein in the specification and in the claims, the phrase "at least one," in reference to a list of one or more elements, should be understood to mean at least one element selected from any one or more of the elements in the list of
elements, but not necessarily including at least one of each and every element specifically listed within the list of elements and not excluding any combinations of elements in the list of elements. This definition also allows that elements may optionally be present other than the elements specifically identified within the list of elements to which the phrase "at least one" refers, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting example, "at least one of A and B" (or, equivalent, "at least one of A or B," or, equivalent "at least one of A and/or B") can refer, in one embodiment, to at least one, optionally including more than one, A, with no B present (and optionally including elements other than B); in another embodiment, to at least one, optionally including more than one, B, with no A present (and optionally including elements other than A); in yet another embodiment, to at least one, optionally including more than one, A, and at least one, optionally including more than one, B (and optionally including other elements). The phrases "at least one", "one or more", and "and/or" are open-ended expressions that are both conjunctive and disjunctive in operation. For example, each of the expressions "at least one of A, B and C", "at least one of A, B, or C", "one or more of A, B, and C", "one or more of A, B, or C" and "A, B, and/or C" means A alone, B alone, C alone, A and B together, A and C together, B and C together, or A, B and C together.

[00047] Based on: "Based on" does not mean "based only on", unless expressly specified otherwise. In other words, the phrase "based on" describes both "based only on," "based at least on," and "based at least in part on."

[00048] Couple: Any use of any form of the terms "connect", "engage", "couple", "attach", or any other term describing an interaction between elements is not meant to limit the interaction to direct interaction between the elements and may also include indirect interaction between the elements described.

[00049] Determining: "Determining" encompasses a wide variety of actions and therefore "determining" can include calculating, computing, processing, deriving, investigating, looking up (e.g., looking up in a table, a database or another data structure), ascertaining and the like. Also, "determining" can include receiving (e.g.,
receiving information), accessing (e.g., accessing data in a memory) and the like. Also, "determining" can include resolving, selecting, choosing, establishing and the like.

[00050] Embodiments (Forms): Reference throughout the specification to "one embodiment," "an embodiment," "some embodiments," "one aspect," "an aspect," "some aspects," "some implementations," "one implementation," "an implementation," or similar construction means that a particular component, feature, structure, method, or characteristic described in connection with the embodiment, aspect, or implementation is included in at least one embodiment and/or implementation of the claimed subject matter. Thus, the appearance of the phrases "in one embodiment" or "in an embodiment" or "in some embodiments" (or "aspects" or "implementations") in various places throughout the specification are not necessarily all referring to the same embodiment and/or implementation. Furthermore, the particular features, structures, methods, or characteristics may be combined in any suitable manner in one or more embodiments or implementations.

[00051] Exemplary: "Exemplary" is used exclusively herein to mean "serving as an example, instance, or illustration." Any embodiment described herein as "exemplary" is not necessarily to be construed as preferred or advantageous over other embodiments.

[00052] May: Note that the word "may" is used throughout this application in a permissive sense (i.e., having the potential to, being able to), not a mandatory sense (i.e., must).

[00053] Operatively connected and/or coupled: Operatively connected and/or coupled means directly or indirectly connected for transmitting or conducting information, force, energy, or matter.

[00054] Optimizing: The terms "optimal," "optimizing," "optimize," "optimality," "optimization" (as well as derivatives and other forms of those terms and linguistically related words and phrases), as used herein, are not intended to be limiting in the sense of requiring the present invention to find the best solution or to make the best decision. Although a mathematically optimal solution may in fact arrive at the best of all
mathematically available possibilities, real-world embodiments of optimization routines, methods, models, and processes may work towards such a goal without ever actually achieving perfection. Accordingly, one of ordinary skill in the art having benefit of the present disclosure will appreciate that these terms, in the context of the scope of the present invention, are more general. The terms may describe one or more of: 1) working towards a solution which may be the best available solution, a preferred solution, or a solution that offers a specific benefit within a range of constraints; 2) continually improving; 3) refining; 4) searching for a high point or a maximum for an objective; 5) processing to reduce a penalty function; 6) seeking to maximize one or more factors in light of competing and/or cooperative interests in maximizing, minimizing, or otherwise controlling one or more other factors, etc.

[00055] Order of steps: It should also be understood that, unless clearly indicated to the contrary, in any methods claimed herein that include more than one step or act, the order of the steps or acts of the method is not necessarily limited to the order in which the steps or acts of the method are recited.

[00056] Throughout the illustrative description, the examples, and the appended claims, a numerical value of a parameter, feature, object, or dimension, may be stated or described in terms of a numerical range format. It is to be fully understood that the stated numerical range format is provided for illustrating implementation of the forms disclosed herein, and is not to be understood or construed as inflexibly limiting the scope of the forms disclosed herein.

[00057] Moreover, for stating or describing a numerical range, the phrase "in a range of between about a first numerical value and about a second numerical value," is considered equivalent to, and means the same as, the phrase "in a range of from about a first numerical value to about a second numerical value," and, thus, the two equivalent meaning phrases may be used interchangeably.

[00058] It is to be understood that the various forms disclosed herein are not limited in their application to the details of the order or sequence, and number, of steps or procedures, and sub-steps or sub-procedures, of operation or implementation of
forms of the method or to the details of type, composition, construction, arrangement, order and number of the system, system sub-units, devices, assemblies, sub-assemblies, mechanisms, structures, components, elements, and configurations, and, peripheral equipment, utilities, accessories, and materials of forms of the system, set forth in the following illustrative description, accompanying drawings, and examples, unless otherwise specifically stated herein. The apparatus, systems and methods disclosed herein can be practiced or implemented according to various other alternative forms and in various other alternative ways.

[00059] It is also to be understood that all technical and scientific words, terms, and/or phrases, used herein throughout the present disclosure have either the identical or similar meaning as commonly understood by one of ordinary skill in the art, unless otherwise specifically defined or stated herein. Phraseology, terminology, and, notation, employed herein throughout the present disclosure are for the purpose of description and should not be regarded as limiting.

[00060] Generally, disclosed is a floating disc vehicular brake assembly, comprising a braking surface having an attachment flange, a brake or wheel hat having a mounting flange with a plurality of tabs, each tab having a flange projection adapted to receive a multiple turn spiral ring, and a multiple turn spiral ring engaging the plurality of flange projections with the attachment flange of the braking surface. The floating disc brake assembly is both light weight and cost effective, and can be used with any of light duty vehicles, heavy duty vehicles, trucks, buses, railway vehicles, racing vehicles and sporting vehicles.

[0001] One difference between the rotor in accordance with this disclosure and conventional rotors is that an attachment flange, which surrounds an inner circumferential or annular aperture in the rotor, has a series of spaced, radial rotor tabs formed as solid projections, with no apertures or through holes needed for fasteners as in conventional two-piece or floating rotors. However, if desired, apertures or through holes could be provided in either the rotor tabs or the rotor mounting tabs on the hats without affecting assembly of the floating disc brake assembly.
generally, the apparatus hereof can be characterized as a vehicular brake assembly which is a rotor having a braking surface and an inner annular rotor attachment flange composed of a series of inwardly and radially projecting rotor tabs which fits onto to a brake hat having a mounting flange with a plurality of outwardly and radially projecting rotor mounting tabs spaced about its circumference. At least some of the rotor mounting tabs have a flange projection structured and arranged to receive a retention ring, and the completed assembly has the retention ring engaging the plurality of flange projections with the attachment flange of the braking surface, so as to capture the rotor attachment flange between the brake hat mounting flange and the retention ring.

in one form the apparatus is directed to a floating disc brake assembly comprising a disc brake rotor having an inner circumferential or annular aperture, and a plurality of rotor tabs spaced about said inner annular aperture. the rotor fits onto a rotor mounting assembly, advantageously a hat, comprising a rotor mounting flange having a plurality of rotor mounting tabs at uniformly spaced locations about the rotor mounting flange. adjacent pairs of the rotor mounting tabs define slots between them and at least some of the mounting assembly tabs include a retention ring flange. further, the rotor mounting tabs can have first arcuate extents and each of the intervening slots can have a second arcuate extent approximately equal to said first arcuate extent of the rotor mounting tabs. the slots and the rotor tabs are mutually arranged such that the rotor tabs are received in the slots between the rotor mounting tabs. in this manner braking forces from said rotor are transferred uniformly about the mounting assembly. the rotor is held against the rotor mounting assembly by a retention ring releaseably engageable with the retention ring flanges on the rotor mounting tabs, and when engaged with the retention ring flanges, the retention ring retains the rotor tabs in the slots. the rotor mounting flange also has landings extending across at least some of the slots between the rotor mounting tabs, such that when engaged with the retention ring flanges, the retention ring and the landings act to axially retain the rotor tabs in the slots.
The incorporation of the retention ring in combination with the retention ring flanges is advantageous in avoiding the necessity of drilling holes or apertures in either or both of the rotor tabs or the rotor mounting tabs for securing the rotor to the rotor mounting assembly and thus to the hat. In one form the retention ring can be an essentially continuous spiral ring having flat axial faces with a wave spring between them. In this context, the ring is continuous in the sense that it has a multiple turn spiral structure, which is not interrupted by a gap in the ring.

Another important feature is that the rotor tabs and the rotor mounting tabs are substantially coplanar, each having first and second axial surfaces which substantially align when the rotor is mounted onto the rotor mounting assembly. This configuration is important in minimizing the moment arm created by axial displacement of the rotor from the end of the hat, such that torque transfer upon braking is primarily in-plane, and bending stresses within the disc brake assembly are minimized.

An important feature of the rotor tabs is that each tab presents a side surface which extends radially and is driven by matching side surfaces of the rotor mounting tabs. The rotor tabs and the rotor mounting tabs have conforming, radially-oriented side surfaces which are in substantially direct contact with one another when in use. Contrary to some earlier designs which utilized deformable metal plates or spacers between side surfaces of rotor and rotor mounting tabs, it has been determined that precision machining of these matching side surfaces, such that they are in direct contact with each other, results in sufficient elastic deformation to substantially equalize the stresses experienced during braking. This direct contact avoids compression deformation of metal plates or spacers which can cause eccentric movement of the parts, an out of balance condition and ultimately cracking and failure of the rotor and/or rotor mounting tabs. Even when machined to close tolerances, the small clearance between the surfaces permits the rotor disc to "float" to accommodate thermal expansion of the rotor tabs upon braking.

As illustrated in Figs. 1-4, the floating disc brake assembly 100 includes a disc brake rotor 120 having an outer annular braking surface or friction ring 122 and an
inner annular or circumferential aperture 121 with a plurality of rotor tabs 124 spaced about, which collectively constitute an attachment flange, a mounting assembly 110, which can be a hat, having a generally cylindrical axial body with axially opposed first and second ends, a rotor mounting flange 115 extending radially at or near a first end of the axial body, a plurality of rotor mounting tabs 112, some or all of which having retention ring flanges 118 (FIG. 2), the plurality of rotor mounting tabs 112 spaced about the rotor mounting flange 115 and forming slots 114 interspersed therebetween, the slots 114 structured and arranged for receiving the rotor tabs 124, and a retention ring 130 for securing the rotor tabs 124 between the rotor mounting tabs 112 and the rotor mounting flange 115, the retention ring 130 such as a multi-turn or continuous spiral ring having substantially flat or planar axial faces 130a and an inner wave spring portion 130b (FIG. 4), the retention ring 130 structured and arranged to fit within the retention ring flanges 118 of the plurality of rotor mounting tabs 112, such as where the retention ring is radially yieldable and able to be compressed in its diameter to fit into the retention ring flanges 118 of the rotor mounting tabs 112. The inner wave spring portion 130b advantageously provides for axially biasing the planar faces outwardly, thereby securing the retention ring 130 in-place, preventing it not only from rotating around in the retention ring flanges 118, but also reducing or eliminating rattles or judder emanating from the interface between the disc brake rotor 120 and the mounting assembly 110. The continuous spiral ring 130 should be understood to have terminal ends 134 of each of the flat or planar axial faces 130a which overlap, at least to some extent. Additionally, adjacent rotor tabs 124 define rotor slots 125 between them, into which the rotor mounting tabs 112 fit when the floating brake assembly is assembled. When in-place on the rotor mounting flange 115, and the rotor tabs 124, rest against landings 116, which extend radially away from the mounting flange 115, and circumferentially between at least some of, or advantageously between all of the rotor mounting tabs 112, across the intervening slots 114 between tabs. Likewise, the rotor mounting tabs 112 fit into rotor slots 125, such that the retention ring flanges 118 extend through the inner annular aperture 121 of the rotor (FIG. 3) and axially through rotor slots 125, permitting access to the retention ring flanges 118 from the side of the rotor 120 opposite the rotor mounting flange 115. When the retention ring 130 is fitted into
the retention ring flanges 118, the rotor tabs 124 are secured into place against the
landings 116 of the rotor mounting flange 110 and retained in rotor slots 125. When the
rotor 120 is mounted on the rotor mounting flange 115, the rotor tabs 124 and the rotor
mounting tabs 112 are substantially coplanar. Neither of the rotor tabs 124 or the rotor
mounting tabs 112 have apertures or through holes for mounting. However, if desired,
apertures or through holes could be provided in either the rotor tabs or the rotor
mounting tabs on the hubs without affecting the assembly of the floating disc brake
herein.

[0008] Advantageously, the rotor mounting tabs 112 can each have a first
arcuate extent \( a \), and the intervening slots 114 can each have a second arcuate extent
\( \beta \), which are approximately equal. When arranged in this fashion, rotor mounting tabs
112 are spaced uniformly about the mounting flange 115, such that braking forces from
the rotor 120 are transferred uniformly about the mounting assembly 110. Likewise, the
rotor tabs 124 can be spaced uniformly about the rotor flange defining the inner annular
aperture 121, such that each has an arcuate extent \( \gamma \) approximately equal to those of
the slots 114 between the rotor mounting tabs 112. Because each arcuate extent \( \alpha, \beta \)
and \( \gamma \) are approximately equal, the rotor tabs 124 readily fit into slots 114 of the
mounting flange 115, and rest on landings 116. Additionally the matching arcuate extents \( \alpha, \beta \) and \( \gamma \) result in close conformance between the radially-oriented side
surfaces of the rotor mounting tabs 112, and the rotor tabs 124, resulting in even and in-
plane distribution of braking forces.

[0009] The retention ring 130 can be an inner retention ring, which is radially
compressed to be placed into the retention ring flanges and then released to expand
into place, or an outer retention ring, which is radially expanded to be placed into the
retention ring flanges and then released to contract into place. FIG. 6A illustrates a
retention ring flange 118a designed for accommodating an inner retention ring 130, and
FIG. 6B illustrates a retention ring flange 118b designed for accommodating an outer
retention ring 130. The arrows show the relative motions of the retention rings upon
release, with the location of the rings upon release shown in phantom lines.
[00010] The retention ring 130 can include a continuous spiral ring having substantially flat or planar axial faces 130a and an inner wave spring portion 130b, as shown in cross-section in FIG. 5A, and advantageously provides for axially biasing the planar faces outwardly, thereby securing the retention ring 130 in-place, preventing it not only from rotating around in the retention ring flanges 118, but also reducing or eliminating rattles or judder emanating from the interface between the disc brake rotor 120 and the hat 110. The continuous spiral ring 130 should be understood to have terminal ends 134 of each of the flat or planar axial faces 130a which overlap, at least to some extent.

[00011] Figs. 5B (cross-section) and 5C-5E disclose alternative designs of retention rings 130 which will fit into the retention ring flanges 118 and are suitable for use to hold the rotor 120 against the hat 110. FIG. 5B illustrates a three-piece retention ring set, having an inner wave spring ring portion 130d sandwiched between two substantially flat or planar rings 130c. FIG. 5C to 5E illustrate alternative forms of the retention ring, wherein an axially compressible material is disposed between two substantially flat or planar rings. The axially compressible material can be a wire mesh spring washer 130e or 130f, which can be separate from (FIG. 5C) or bonded to (FIG. 5D) the two substantially flat or planar rings 130c, 130a. Wire mesh spring washers are available from Kinetic Structures of Phoenix, AZ. Alternatively, the axially compressible material can be a Belleville spring 130f (FIG. 5E), which likewise can either be separate from or bonded to the two substantially flat or planar rings 130c, 130a on either axial side thereof. Similar to the inner wave spring portion 130b, the compressible material advantageously provides for axially biasing the planar faces outwardly, thereby securing the retention ring 130 in-place, preventing it not only from rotating around in the retention ring flanges 118, but also reducing or eliminating rattles or judder emanating from the interface between the disc brake rotor 120 and the hat 110.

[00012] In another form is presented a method of uniformly transferring braking forces from a rotor of a brake assembly about a wheel hat component of the brake assembly, said method comprising locating a plurality of rotor mounting tabs about a rotor mounting flange on said wheel hat, establishing slots about said rotor mounting
flange between adjacent pairs of said rotor mounting tabs; locating a plurality of rotor tabs about an annular edge portion of said rotor; establishing a floating connection between said rotor and said rotor mounting flange by locating each of said rotor tabs in each of said slots established between rotor mounting tabs; and retaining said rotor tabs in said slots by engaging an axially compressible retention ring with retention ring flanges provided on at least some of said rotor mounting tabs.

[00013] In another form is disclosed a kit of parts for mounting a disc brake rotor to a brake hat having a cylindrical axial body with axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of rotor mounting tabs, at least some of which having retention ring flanges, the plurality of rotor mounting tabs spaced about the rotor mounting flange and forming slots interspersed therebetween, said kit comprising a disc brake rotor comprising an inner annular aperture and a plurality of rotor tabs spaced uniformly about the inner annular aperture, the rotor tabs structured and arranged to fit into the slots on the rotor mounting flange; and at least one retention ring for securing the rotor tabs between the rotor mounting tabs and the rotor mounting flange, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of rotor mounting tabs.

[00014] The kit, including the rotor and the retention ring, is useful for replacing worn rotors on hats previously mounted on a vehicle.

[00015] Further illustrative, non-exclusive examples of assemblies and methods according to the present disclosure are presented in the following enumerated paragraphs. It is within the scope of the present disclosure that an individual step of a method recited herein, including in the following enumerated paragraphs, may additionally or alternatively be referred to as a "step for" performing the recited action.

[00016] A1. A floating disc brake assembly, comprising: a disc brake rotor comprising an inner circumferential aperture having a plurality of rotor tabs spaced about the aperture; a mounting assembly comprising a cylindrical axial body having axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of mounting assembly tabs having
retention ring flanges, the plurality of mounting assembly tabs spaced about the rotor mounting flange and forming slots interspersed therebetween, the slots structured and arranged for receiving the rotor tabs; and a retention ring for securing the rotor tabs between the mounting assembly tabs and the rotor mounting flange, the retention ring including a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of mounting assembly tabs.

[00017]  A2. The floating disc brake assembly of paragraph A1, further comprising landings extending across at least some of said slots between said mounting assembly tabs, such that when engaged with said retention ring flanges, said retention ring and said landings axially retain said rotor tabs in said slots.

[00018]  A3. The floating disc brake assembly of paragraph A1, wherein the rotor tabs and the mounting assembly tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

[00019]  A4. The floating disc brake assembly of paragraph A1, wherein neither the rotor tabs nor the mounting assembly tabs have apertures or through holes.

[00020]  A5. The floating disc brake assembly of paragraph A1, wherein the retention ring flanges extend axially through slots formed between the plurality of rotor tabs.

[00021]  A6. The floating disc brake assembly of paragraph A1, wherein the mounting assembly is a hat.

[00022]  B1. A floating disc brake assembly, comprising: a disc brake rotor comprising: a rotor having an inner annular aperture; and a plurality of rotor tabs spaced about said inner annular aperture, a wheel hat comprising: a rotor mounting flange; a plurality of rotor mounting tabs spaced about the rotor mounting flange, adjacent pairs of said rotor mounting tabs defining slots therebetween, at least some of said rotor mounting tabs comprising a retention ring flange, said slots and said rotor tabs mutually arranged such that said rotor tabs are received in said slots; and an axially
compressible retention ring releaseably engageable with said retention ring flanges, and when engaged with said retention ring flanges, said retention ring retaining said rotor tabs in said slots.

[00023] B2. The floating disc brake assembly of paragraph B1, wherein said rotor mounting flange further comprises landings extending across at least some of said slots, such that when engaged with said retention ring flanges, said retention ring and said landings axially retain said rotor tabs in said slots.

[00024] B3. The floating disc brake assembly of paragraph B1, wherein the rotor tabs and the rotor mounting tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

[00025] B4. The floating disc brake assembly of paragraph B1, wherein neither the rotor tabs nor the rotor mounting tabs have apertures or through holes.

[00026] B5. The floating disc brake assembly of paragraph B1, wherein the rotor tabs and the rotor mounting tabs have conforming, radially-oriented side surfaces in direct contact with one another.

[00027] B6. The floating disc brake assembly of paragraph B1, wherein said retention ring is radially yieldable by either compression or expansion, and can be an inner retention ring or an outer retention ring.

[00028] B7. The floating disc brake assembly of paragraph B1, wherein the retention ring includes a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion.

[00029] B8. The floating disc brake assembly of paragraph B1, wherein the retention ring includes an inner wave spring ring portion sandwiched between two substantially flat or planar rings.

[00030] B9. The floating disc brake assembly of paragraph B1, wherein the retention ring includes an axially compressible material sandwiched between two substantially flat or planar rings.
[00031] B10. The floating disc brake assembly of paragraph B9, wherein the compressible material is one of a wire mesh spring washer or a Belleville spring.

[00032] B11. The floating disc brake assembly of paragraph B1, wherein the retention ring flanges extend axially through slots defined between the plurality of rotor tabs.

[00033] B12. The floating disc brake assembly of paragraph B1, wherein each of said rotor mounting tabs has a retention ring flange.

[00034] C1. A method of uniformly transferring braking forces from a rotor of a brake assembly about a wheel hat component of the brake assembly, said method comprising: locating a plurality of rotor mounting tabs about a rotor mounting flange on said wheel hat, establishing slots about said rotor mounting flange between adjacent pairs of said rotor mounting tabs; locating a plurality of rotor tabs about an annular edge portion of said rotor; establishing a floating connection between said rotor and said rotor mounting flange by locating each of said rotor tabs in each of said slots established between rotor mounting tabs; and retaining said rotor tabs in said slots by engaging an axially compressible retention ring with retention ring flanges provided on at least some of said rotor mounting tabs.

[00035] C2. The method of paragraph C1, wherein torque transfer upon braking is primarily in-plane, and bending stresses within the disc brake assembly are minimized.

[00036] C3. The method of paragraph C1, wherein the rotor floats relative to the wheel hat to accommodate thermal expansion of the rotor tabs upon braking.

[00037] D1. A kit of parts for mounting a disc brake rotor to a brake hat having a cylindrical axial body with axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of rotor mounting tabs, at least some of which having retention ring flanges, the plurality of rotor mounting tabs spaced about the rotor mounting flange and forming slots therebetween, said kit comprising: a disc brake rotor comprising an inner annular aperture and a plurality of rotor tabs spaced uniformly about the inner annular aperture, the rotor tabs
structured and arranged to fit into the slots on the rotor mounting flange; and at least one retention ring for securing the rotor tabs between the rotor mounting tabs and the rotor mounting flange, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of rotor mounting tabs.

Industrial Applicability

[00038] The apparatus and methods disclosed herein are applicable to the automotive industry.

[00039] It is believed that the disclosure set forth above encompasses multiple distinct embodiments with independent utility. While each of these embodiments has been disclosed in its preferred form, the specific embodiments thereof as disclosed and illustrated herein are not to be considered in a limiting sense as numerous variations are possible. The subject matter of the embodiments includes all novel and non-obvious combinations and subcombinations of the various elements, features, functions and/or properties disclosed herein. Similarly, where the claims recite "a" or "a first" element or the equivalent thereof, such claims should be understood to include incorporation of one or more such elements, neither requiring nor excluding two or more such elements.

[00040] It is believed that the following claims particularly point out certain combinations and subcombinations that are directed to one of the disclosed embodiments and are novel and non-obvious. Embodiments of other combinations and subcombinations of features, functions, elements and/or properties may be claimed through amendment of the present claims or presentation of new claims in this or a related application. Such amended or new claims, whether they are directed to a different embodiment or directed to the same embodiment, whether different, broader, narrower, or equal in scope to the original claims, are also regarded as included within the subject matter of the present disclosure.

[00041] While the present disclosure has been described and illustrated by reference to particular embodiments, those of ordinary skill in the art will appreciate that the disclosure lends itself to variations not necessarily illustrated herein. For this
reason, then, reference should be made solely to the appended claims for purposes of determining the true scope of the present disclosure.
CLAIMS:

1. A floating disc brake assembly, comprising a disc brake rotor comprising an inner circumferential aperture having a plurality of rotor tabs spaced about the aperture; a mounting assembly comprising a cylindrical axial body having axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of mounting assembly tabs having retention ring flanges, the plurality of mounting assembly tabs spaced about the rotor mounting flange and forming slots interspersed therebetween, the slots structured and arranged for receiving the rotor tabs; and a retention ring for securing the rotor tabs between the mounting assembly tabs and the rotor mounting flange, the retention ring including a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of mounting assembly tabs.

2. The floating disc brake assembly of claim 1, further comprising landings extending across at least some of said slots between said mounting assembly tabs, such that when engaged with said retention ring flanges, said retention ring and said landings axially retain said rotor tabs in said slots.

3. The floating disc brake assembly of one of claims 1 or 2, wherein the rotor tabs and the mounting assembly tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

4. The floating disc brake assembly of any one of claims 1 to 3, wherein neither the rotor tabs nor the mounting assembly tabs have apertures or through holes.

5. The floating disc brake assembly of any one of claims 1 to 4, wherein the retention ring flanges extend axially through slots formed between the plurality of rotor tabs.

6. The floating disc brake assembly of any one of claims 1 to 5, wherein the mounting assembly is a hat.
7. A floating disc brake assembly, comprising a disc brake rotor comprising a rotor having an inner annular aperture; and a plurality of rotor tabs spaced about said inner annular aperture, a wheel hat comprising a rotor mounting flange; a plurality of rotor mounting tabs spaced about the rotor mounting flange, adjacent pairs of said rotor mounting tabs defining slots therebetween, at least some of said rotor mounting tabs comprising a retention ring flange, said slots and said rotor tabs mutually arranged such that said rotor tabs are received in said slots; and an axially compressible retention ring releaseably engageable with said retention ring flanges, and when engaged with said retention ring flanges, said retention ring retaining said rotor tabs in said slots.

8. The floating disc brake assembly of claim 7, wherein said rotor mounting flange further comprises landings extending across at least some of said slots, such that when engaged with said retention ring flanges, said retention ring and said landings axially retain said rotor tabs in said slots.

9. The floating disc brake assembly of one of claims 7 or 8, wherein the rotor tabs and the rotor mounting tabs are substantially coplanar when the rotor is mounted on the mounting assembly.

10. The floating disc brake assembly of any one of claims 7 to 9, wherein neither the rotor tabs nor the rotor mounting tabs have apertures or through holes.

11. The floating disc brake assembly of any one of claims 7 to 10, wherein the rotor tabs and the rotor mounting tabs have conforming, radially-oriented side surfaces in direct contact with one another.

12. The floating disc brake assembly of any one of claims 7 to 11, wherein said retention ring is radially yieldable by either compression or expansion, and can be an inner retention ring or an outer retention ring.

13. The floating disc brake assembly of any one of claims 7 to 12, wherein the retention ring includes a continuous spiral ring having substantially flat or planar axial faces and an inner wave spring portion, or wherein the retention ring includes an inner wave spring ring portion sandwiched between two substantially flat or planar rings.
14. The floating disc brake assembly of any one of claims 7 to 12, wherein the retention ring includes an axially compressible material sandwiched between two substantially flat or planar rings, wherein the compressible material is one of a wire mesh spring washer or a Belleville spring.

15. The floating disc brake assembly of any one of claims 7 to 14, wherein the retention ring flanges extend axially through slots defined between the plurality of rotor tabs.

16. The floating disc brake assembly of any one of claims 7 to 15, wherein each of said rotor mounting tabs has a retention ring flange.

17. A method of uniformly transferring braking forces from a rotor of a brake assembly about a wheel hat component of the brake assembly, said method comprising locating a plurality of rotor mounting tabs about a rotor mounting flange on said wheel hat, establishing slots about said rotor mounting flange between adjacent pairs of said rotor mounting tabs; locating a plurality of rotor tabs about an annular edge portion of said rotor; establishing a floating connection between said rotor and said rotor mounting flange by locating each of said rotor tabs in each of said slots established between rotor mounting tabs; and retaining said rotor tabs in said slots by engaging an axially compressible retention ring with retention ring flanges provided on at least some of said rotor mounting tabs.

18. The method of claim 17, wherein torque transfer upon braking is primarily in-plane, and bending stresses within the disc brake assembly are minimized.

19. The method of claim 17 or 18, wherein the rotor floats relative to the wheel hat to accommodate thermal expansion of the rotor tabs upon braking.

20. A kit of parts for mounting a disc brake rotor to a brake hat having a cylindrical axial body with axially opposed first and second ends, a rotor mounting flange extending radially at or near the first end of the axial body, a plurality of rotor mounting tabs, at least some of which having retention ring flanges, the plurality of rotor mounting tabs spaced about the rotor mounting flange and forming slots therebetween, said kit
comprising a disc brake rotor comprising an inner annular aperture and a plurality of rotor tabs spaced uniformly about the inner annular aperture, the rotor tabs structured and arranged to fit into the slots on the rotor mounting flange; and at least one retention ring for securing the rotor tabs between the rotor mounting tabs and the rotor mounting flange, the retention ring structured and arranged to fit within the retention ring flanges of the plurality of rotor mounting tabs.
FIG. 2
FIG. 5C
INTERNATIONAL SEARCH REPORT

International application No
PCT/US2016/052287

A. CLASSIFICATION OF SUBJECT MATTER
INV. F16D 65/12
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
Minimum documentation searched (classification system followed by classification symbols)
F16D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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[Special categories of cited documents:
A* document defining the general state of the art which is not considered to be of particular relevance
E* earlier application or patent but published on or after the international filing date
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Date of the actual completion of the international search 28 November 2016

Date of mailing of the international search report 09/12/2016

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Authorized officer
van Koten, Gert
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