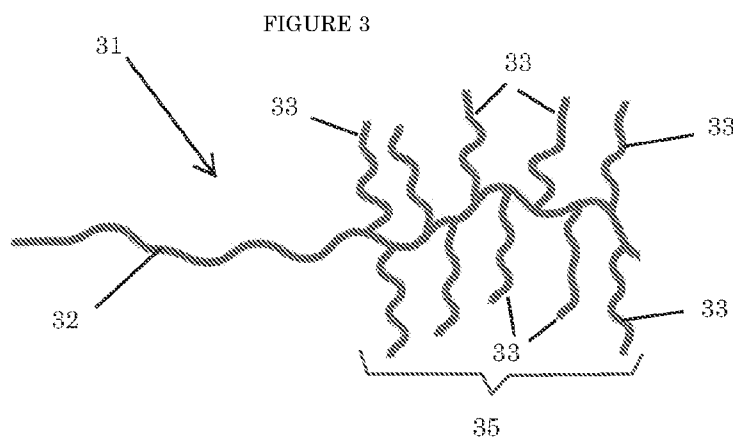




- (51) International Patent Classification:
C08G 18/63 (2006.01)
- (21) International Application Number:
PCT/US2012/034602
- (22) International Filing Date:
22 April 2012 (22.04.2012)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
61/485,265 12 May 2011 (12.05.2011) US
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- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— without international search report and to be republished upon receipt of that report (Rule 48.2(g))

(54) Title: STABILIZER POLYMERIZATION PROCESS AND PROCESS FOR MAKING POLYMER POLYOLS



(57) Abstract: A polymeric stabilizer is produced by copolymerizing an unsaturated polyether with an unsaturated low molecular weight monomer in a controlled radical polymerization. The polymeric stabilizer is useful for producing polymer polyol products via a mechanical dispersion process or an in situ polymerization process.

1 Stability is improved through the use of stabilizers. Useful stabilizers fall into
2 two main types. One type is a polyol compound in which one or more of the hydroxyl
3 groups are capped with a group that contains polymerizable unsaturation. The polyol
4 compound typically contains long chains (having weights of, for example, 200 to 12,000
5 g/mole or more) that are highly soluble in the continuous phase of the polymer polyol.
6 This type of stabilizer copolymerizes with styrene and acrylonitrile, and in doing so
7 introduces polyol-soluble moieties onto the copolymer particles. These polyol-soluble
8 moieties lead to improved particle stability. In the usual case, in which the polyol phase
9 is a polyether polyol, these polyol-soluble moieties are typically polyether chains.
10 Examples of stabilizers of this type are described, for example, in USP 4,513,124, USP
11 4,588,830, USP 4,640,935 and USP 5,854,386.

12 A second type of stabilizer is a polymeric stabilizer having long-chain pendant
13 groups that are soluble in the continuous phase. Again, the pendant groups are
14 typically polyether chains that have weights from 200 to 12,000 or more, in the usual
15 case in which the polyol phase is a polyether polyol. The polymeric stabilizer can be
16 formed by homopolymerizing a capped polyol compound that contains polymerizable
17 unsaturation, or, more commonly, by copolymerizing such a capped polyol compound
18 with one or more other unsaturated monomers. These polymeric stabilizers typically
19 have molecular weights in the range of 30,000 to several hundred thousand grams/mole,
20 and may contain from 1 to 20 or more pendant chains that are soluble in the polyol
21 phase of the dispersion. Polymeric stabilizers of this type are described, for example, in
22 USP 4,745,153, USP 5,081,180, USP 6,613,827, EP 1 675 885 and WO 2909/155427.

23 The second type of polymeric stabilizers are often represented as having "comb"
24 structures, in which the polymer chain formed by polymerizing or copolymerizing the
25 unsaturated groups form a backbone and long-chain pendant polyether groups form the
26 "teeth" of the comb. If the long-chain pendant groups are branched, as described in WO
27 2009/155427, the polymeric stabilizer can be visualized as having an idealized structure
28 such as shown in Figure 1. However, the actual structure of the polymeric stabilizer
29 tends to deviate quite significantly from the theoretical structures. The polymeric
30 stabilizers tend to have high polydispersities, and contain large fractions of molecules in
31 which there are few or none of the desired pendant groups.

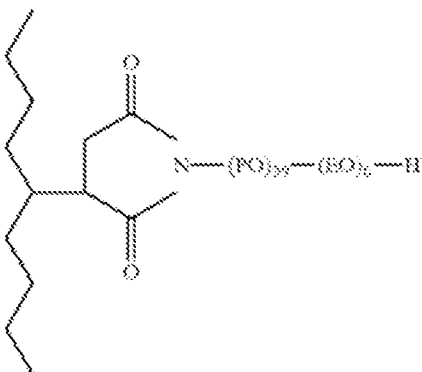
32 Recently, there have been attempts to manufacture polymer polyols through a
33 mechanical dispersion process instead of the conventional *in situ* polymerization
34 method. Such an approach is described, for example, in USP 6,613,827 and WO

1 2009/155427. In the mechanical dispersion approach, the disperse phase polymer is
2 polymerized separately, and is dispersed in the polyol phase by melting the polymer and
3 blending the molten polymer with the polyol under high shear conditions to break the
4 molten polymer into fine droplets which are then cooled to form the disperse phase
5 particles.

6 The mechanical dispersion approach potentially offers several advantages over
7 the *in situ* polymerization process. The *in situ* polymerization process tends to form a
8 significant fraction of low molecular weight oligomeric species that become solubilized in
9 the polyol phase. These oligomers increase product viscosity, which can lead to handling
10 and mixing problems when the polymer polyol is used. Products made via the *in situ*
11 polymerization route often contain volatiles such as residual monomers and
12 decomposition products of the free radical initiators and/or chain transfer agents that
13 are used in the polymerization. The presence of these materials requires additional
14 stripping steps to be performed in order to remove them, which increases production
15 cost. Residual volatile materials nonetheless tend to remain in the product,
16 contributing to odor and other issues. A mechanical dispersion process allows one to
17 avoid introducing such oligomers and volatiles into the dispersion. Another potential
18 advantage of a mechanical dispersion process is that the molecular weight of the
19 dispersed polymer is fixed through selection of the starting materials. Manufacturing
20 costs potentially can be reduced because the disperse phase polymer can be produced
21 inexpensively in a large-scale bulk or solution polymerization process.

22 There remains the problem of forming a stable dispersion. The problem is
23 somewhat exacerbated because the mechanical dispersion process does not present an
24 opportunity for grafting to occur between the disperse phase polymer and the polyol
25 phase, as typically occurs during an *in situ* polymerization. Additionally, the
26 mechanism of dispersion is different, as are the demands on the stabilizer. In a
27 mechanical dispersion, the stabilizer molecules must rapidly find their way onto the
28 surface of the disperse phase polymer droplets as they form, and facilitate the initial
29 stabilization of the molten polymer droplets as well as the longer-term stabilization of
30 the product dispersion. This initial stabilization must take place in a matter of seconds
31 in any practical mechanical dispersion process. Effective initial stabilization produces
32 small dispersed phase particles, which in turn leads to superior long-term stability due
33 to the lower mass and higher surface area-to-weight ratio of the individual particles.
34 Effective stabilization also leads to low product viscosities.

1 Since there is no opportunity for polymerization in the mechanical dispersion
 2 process, the stabilizers in this case have been of the polymeric type. USP 6,613,827
 3 describes a stabilizer made in the reaction of a monoamine polyol with a maleic
 4 anhydride functionalized polyethylene wax. The structure of this stabilizer is given as:



5
 6 WO 2009/155427 describes stabilizers which are a copolymer of (1) from 10 to
 7 70% by weight of a branched polyol which has a molecular weight from 4000 to 20,000,
 8 from 0.2 to about 1.2 polymerizable ethylenically unsaturated groups per molecule and
 9 from about 3 to about 8 hydroxyl groups per molecule with (2) from 30 to 90% by weight
 10 of styrene or a mixture of styrene and one or more other low molecular weight
 11 monomers. The copolymeric stabilizers are made in a free-radical polymerization
 12 process. These stabilizers theoretically have structures as depicted by Figure 1, but in
 13 reality the stabilizers obtained this way have large variability in their structures, and
 14 contain large fractions of polymers that do not have pendant polyether groups. The
 15 polymers lacking pendant polyether groups are ineffective as dispersion stabilizers.

16 What is desired is a stabilizer that more effectively stabilizes a dispersion of
 17 polymer particles in a polyether phase, to produce a low viscosity product having small
 18 dispersed particles. It is especially desirable that the stabilizer works well in a
 19 mechanical dispersion process to produce a dispersion having good stability, a small
 20 particle size and a low product viscosity.

21 In one aspect, this invention is a process for making a polymer polyol, comprising
 22 forming a dispersion of solid polymer particles in the presence of a continuous liquid
 23 polyol phase and a stabilizer copolymer produced by copolymerizing an unsaturated
 24 polyether which has a molecular weight from 2000 to 20,000 and from 0.2 to about 1
 25 polymerizable unsaturated groups per molecule with one or more copolymerizable low
 26 molecular weight unsaturated monomers in a controlled radical polymerization to form

1 a stabilizer copolymer having a number average molecular weight from 30,000 to
2 500,000 and an average of 1 to 20 pendant polyether chains per molecule.

3 In this process, the stabilizer polymer or copolymer facilitates the production of
4 polymer polyol products having low viscosities and desirably small dispersed phase
5 particles. The excellent results obtained from this process are believed to be due in part
6 to the lower polydispersities and relatively homogeneous compositions of the stabilizers,
7 compared to previous stabilizers made in a conventional free radical process, with fewer
8 polymeric materials being formed that are devoid of pendant polyether chains.

9 The stabilizer copolymers produced in the controlled radical mediation
10 polymerization can have various structures, including a star-grafted copolymer
11 structure such as shown in Figure 1 or a "comb" structure as shown in Figure 2. In
12 preferred embodiments, the stabilizer has a "toothbrush" structure as shown in Figure 3
13 or a "palm tree" structure as shown in Figure 4. A stabilizer having a toothbrush or
14 palm-tree structure is a block copolymer that includes a first block having a molecular
15 weight of at least 18,000, and preferably up to 400,000, that is devoid of pendant
16 polyether groups. The block copolymer also includes a second block which is a
17 homopolymer of an unsaturated polyether which has a molecular weight from 2000 to
18 20,000 and from 0.2 to about 1.0 polymerizable unsaturated groups per molecule or a
19 copolymer of said unsaturated polyether with one or more copolymerizable low
20 molecular weight unsaturated monomers. The second block contains from 2 to 20
21 pendant polyether groups that each has a molecular weight from 2000 to 20,000.

22 In some embodiments, the dispersion is formed in a melt dispersion process
23 which includes the steps of (a) mixing a melted thermoplastic polymer with the liquid
24 polyol in the presence of the stabilizer under conditions sufficient to disperse the melted
25 thermoplastic polymer in the form of droplets within a continuous phase of the liquid
26 polyol and (b) cooling the dispersed polymer droplets in the polyol to solidify the polymer
27 droplets and form the polymer polyol. In other embodiments, the dispersion is formed in
28 an *in situ* polymerization process which includes the steps of polymerizing one or more
29 low molecular weight monomers in the presence of the continuous polyol phase and the
30 stabilizer under conditions such that the low molecular weight monomer(s) polymerize
31 to form polymer particles dispersed in the continuous polyol phase.

32 In some embodiments, the invention is a process for making a polymer polyol
33 comprising forming a dispersion of solid polymer particles in the presence of a
34 continuous liquid polyol phase and a stabilizer block copolymer having a first block

1 having a molecular weight of at least 18,000 and which is devoid of pendant polyether
2 groups and a second block which is a homopolymer of a branched or linear unsaturated
3 polyether which has a molecular weight from 2000 to 20,000 and from 0.2 to about 1
4 polymerizable unsaturated group per molecule or a copolymer of said unsaturated
5 polyether with one or more copolymerizable low molecular weight unsaturated
6 monomers, the second block containing from 2 to 20 pendant branched or linear
7 polyether groups that each have a molecular weight from 2000 to 20,000.

8 The invention is also a polymer polyol comprising a continuous polyol phase, a
9 disperse phase of polymer particles and a stabilizer copolymer, which polymer polyol is
10 produced in accordance with any of the foregoing aspects or embodiments of the
11 invention.

12 Figure 1 is a representation of the structure of a "star-grafted" stabilizer.

13 Figure 2 is a representation of the structure of a "comb" stabilizer.

14 Figure 3 is a representation of the structure of a "toothbrush" stabilizer.

15 Figure 4 is a representation of the structure of a "palm tree" stabilizer.

16 The stabilizer is a copolymer of an unsaturated polyether with one or more low
17 molecular weight monomers. The unsaturated polyether is characterized in having a
18 molecular weight from 2000 to 12,000, preferably at least 4000. Polyether molecular
19 weights are conveniently determined by end-group analysis methods such as ATDM
20 D4274-11. This polyether contains at least 1, preferably up to about 8, hydroxyl groups
21 per molecule and at least one polymerizable unsaturated group per molecule. The
22 unsaturated polyether preferably does not contain, on average, more than 1
23 polymerizable unsaturated group per molecule. By "unsaturated group", it is meant a
24 carbon-carbon double or triple bond, such as a vinyl or substituted vinyl group.

25 The unsaturated polyether can be prepared in either of two main methods. One
26 method can be generally described as forming a linear or branched polyether having a
27 molecular weight from 2000 to 20,000 and from 1 to 9, preferably from 2 to 9, hydroxyl
28 groups per molecule, and "capping" one or more of the hydroxyl groups with an
29 unsaturated capping agent. The capping agent includes, in addition to the
30 polymerizable unsaturation, a functional group that can react with a hydroxyl group of
31 the polyether (or a corresponding alkoxide ion) to form a covalent bond to the polyether.
32 The capping agent may be, for example, an ethylenically unsaturated isocyanate
33 compound such as 3-isopropenyl- α,α -dimethylbenzylisocyanate (TMI) or
34 isocyanatoethylmethacrylate (IEM), an ethylenically unsaturated halide such as vinyl

1 benzyl chloride, and ethylenically unsaturated siloxane such as vinyltrimethoxysilane,
2 or an ethylenically unsaturated epoxide compound.

3 The capping agent and the starting polyether preferably are reacted in a ratio of
4 about 0.05 to about 1.0 mole, preferably from 0.25 to 0.9 mole, more preferably from 0.4
5 to 0.8 mole, of capping agent per mole of starting polyether. Higher capping levels can
6 lead to the formation of significant numbers of molecules that have two or more
7 polymerizable unsaturated groups, which in turn can lead to crosslinking when the
8 unsaturated polyether is subsequently polymerized to form a stabilizer. Lower amounts
9 of capping agent lead to an excessively large proportion of the starting polyether
10 molecules remaining uncapped and thus unable to polymerize. The product of the
11 capping reaction is a linear or branched, unsaturated polyether, which in most cases
12 will be mixed with some amount of uncapped species that do not contain polymerizable
13 unsaturation. The uncapped species may constitute from 0 to 95, preferably from 0 to 70
14 and still more preferably from 0 to 30 weight percent of the mixture. A small amount of
15 species containing two or more polymerizable unsaturated groups may also be formed.
16 It is usually not necessary to separate the singly-capped species from the uncapped
17 species or those species which contain more than one capping groups. As some of the
18 hydroxyl groups are consumed in the capping reaction, this product contains somewhat
19 fewer hydroxyl groups per molecule than does the starting polyether. The average
20 number of hydroxyl groups per molecule is estimated by subtracting the number of
21 moles of capping agent per mole of starting polyether from the nominal functionality of
22 the starting polyether.

23 An alternative route to making the unsaturated polyether is to alkoxyrate an
24 ethylenically unsaturated compound having oxyalkylatable groups. Examples of such
25 ethylenically unsaturated compounds include ethylenically unsaturated alcohols, thiols
26 or amines. Synthetic routes of this type are described, for example, in USP 5,854,396
27 and in EP 1 675 885 B1. This route is best adapted for producing linear polyethers,
28 although it is possible to produce branching by including a branching agent such as
29 glycidol in the alkoxylation reaction. Generally the branching agent is introduced early
30 in the alkoxylation reaction, and the alkoxylation reaction is then continued without
31 adding more of the branching agent. This produces longer-chain branches into the
32 polyether portion of the molecule.

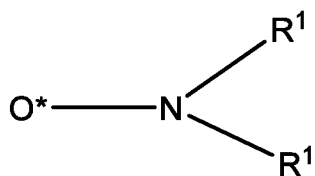
33 The unsaturated polyether, if linear, preferably has a molecular weight from
34 2000 to 15,000, and if branched preferably has a molecular weight from 5000 to 16,000.

1 If branched, the unsaturated polyether may contain two or more branches each having a
2 molecular weight of at least 500, more preferably of at least 1000, and preferably up to
3 6000, especially up to 4000. The polyether may be a polymer of ethylene oxide,
4 propylene oxide, 1,2-butylene oxide, tetramethylene oxide, styrene oxide, or other
5 polymerizable alkylene oxide, or a copolymer of any two or more thereof. The
6 unsaturated polyether may contain one or more hydroxyl groups, and preferably
7 contains up to eight hydroxyl groups.

8 The unsaturated polyether is copolymerized with one or more low molecular
9 weight monomers to form a stabilizer copolymer. A "low molecular weight" monomer,
10 for purposes of this invention, is one having a molecular weight of no greater than 500,
11 preferably no greater than 150. The low molecular weight monomer should have only
12 one ethylenically unsaturated group per molecule, to prevent crosslinking in the
13 stabilizer. A preferred low molecular weight monomer is styrene, although other vinyl
14 aromatic monomers such as acrylate esters, methacrylate esters, acrylonitrile and the
15 like are suitable. The amount of low molecular weight monomer may range from, for
16 example 0.1 to 10 parts by weight per part by weight of the unsaturated polyether, and
17 more preferably from 1 to 5 parts by weight per part by weight of the unsaturated
18 polyether.

19 The unsaturated polyether is in some embodiments copolymerized in a controlled
20 radical polymerization. A "controlled radical polymerization" is a living free-radical
21 polymerization process characterized in that a dynamic equilibrium between
22 propagating radicals and dormant species is established, allowing radicals to become
23 reversibly trapped. Various types of controlled radical polymerizations are known
24 including, for example, cobalt-mediated radical polymerization (CMRP), stable free
25 radical mediated polymerization (SFRMP) (including, for example, a nitroxide-mediated
26 polymerization (NMP)), atom transfer radical polymerization (ATRP) and reversible
27 addition fragmentation chain transfer (RAFT). Preferred processes are the RAFT and
28 nitroxide-mediated polymerization processes.

29 A nitroxide-mediated polymerization, for purposes of this invention, is a radical
30 polymerization performed in the presence of a free radical initiator and nitroxide
31 radicals. The nitroxide radical is characterized in having an oxygen atom singly bonded
32 to a secondary aliphatic nitrogen atom (*i.e.*, a nitrogen atom bonded to two aliphatic
33 carbon atoms in addition to the nitroxide oxygen). Suitable nitroxide radicals can be
34 represented by the general structure I:

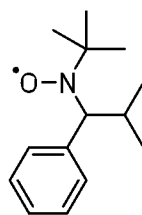
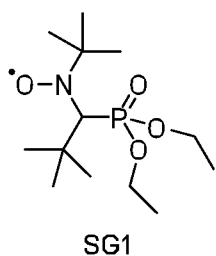
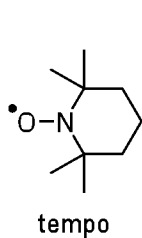


1
2 wherein each R¹ group is independently an alkyl or substituted alkyl group provided
3 that the R¹ groups together may form an aliphatic ring structure that includes the
4 nitrogen atom within an aliphatic ring structure.

5 At least one of the R¹ groups preferably is bound to the nitrogen atom through a
6 tertiary carbon atom (*i.e.*, a carbon atom bonded to three other carbon atoms in addition
7 to the nitrogen atom). Both of the R¹ groups may be bound to the nitrogen atom through
8 tertiary carbon atoms.

9 The R¹ groups may contain various substituent groups, including aryl, hydroxyl,
10 ether, nitrile, keto, phosphono, carboxy, amino and halogen (especially fluorine and/or
11 chlorine) groups and the like.

12 Many useful nitroxide radicals are stable and can be supplied in the form of the
13 free radical. Examples of suitable nitroxide radicals include, for example:

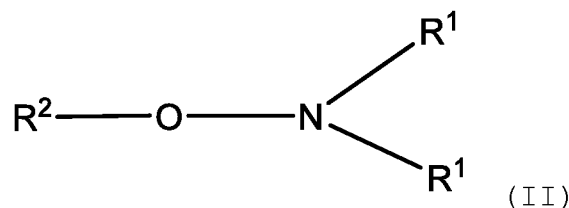


2,2,5-trimethyl-4-phenyl-3-
azahexane-3-nitroxide

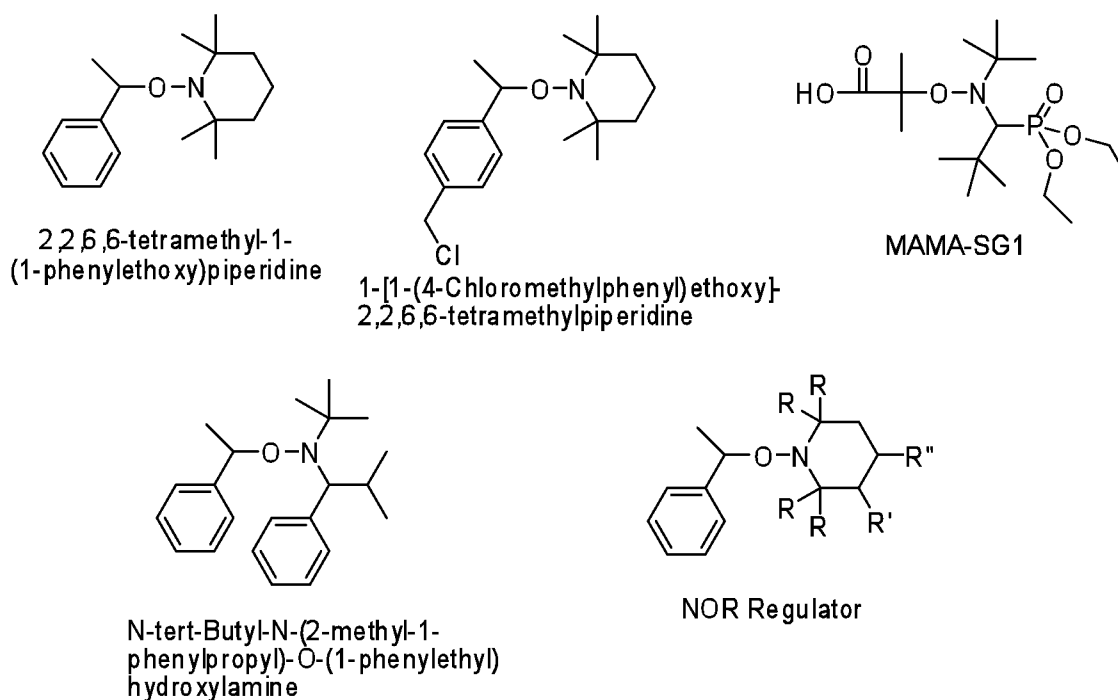
14 Additional nitroxide radicals that can be used include those described by Hawker et al.,
15 "New Polymer Synthesis by Nitroxide Mediated Living Radical Polymerizations", *Chem.*
16 *Rev.* 2001, 101, 3661-3668.

17
18 The NMP process is performed in the presence of a free radical initiator in
19 addition to the nitroxide radical. Suitable free radical initiators include peroxy
20 compounds or azo compounds that decompose or otherwise react under the conditions of
21 the polymerization reaction to generate radicals. Preferred free radical initiators should
22 decompose rapidly under the polymerization conditions to produce free radicals that are
23 totally consumed within a few minutes. Suitable peroxy type initiators include
24 peroxides, peresters, percarbonates and the like. Specific examples of free radical
25 initiators include azobis(isobutyronitrile), t-butyl peroxydiethylacetate, t-amyl peroxy-2-
26 ethylhexanoate, 2,5-dimethyl-2,5-di(t-butylperoxy) hexane and the like.

Certain alkoxyamines that dissociate to form nitroxide radicals under the conditions of the polymerization reaction also can be used as the source for the nitroxide radical. These materials also function as the free radical initiator. Suitable alkoxyamines include those represented by structure II:



wherein each R^1 is independently as described with respect to structure I, and R^2 is alkyl or substituted alkyl. The R^2 group may in some cases be bonded to the nitroxide oxygen atom through a tertiary carbon atom or an allylic carbon (*i.e.*, one alpha to a vinyl or substituted vinyl group). The R^2 group may be aryl-substituted. Examples of alkoxyamine compounds that can be used as a source of nitroxide radicals include those having the structures:



Other suitable alkoxyamines include those described by Ma et al., *Chemical Engineering Society* 58 (2003) 1177-1190, and by Bartsch et al., *Macromol. Rapid Commun.* 2003, 24, 614.

The nitroxide radical is typically provided in the polymerization in small amounts such as from 0.0005 to 0.1, preferably from 0.0001 to 0.01 moles of nitroxide radical per mole of monomers (including the unsaturated polyether and the low

1 molecular weight monomer(s)). The amount of free radical initiator, if present, is
2 generally at least 0.05, preferably at least 0.1, and more preferably at least 0.5 moles or
3 at least 0.8 moles per mole of the nitroxide radical. Up to 5 moles of the free radical
4 initiator may be used per mole of the nitroxide radical, but it is preferred to use up to
5 1.5 moles, more preferred to use up to 1.1 moles and still more preferred to use up to 1
6 mole of the free radical initiator per mole of the nitroxide radical. When the molar
7 amount of free radical initiator exceeds that of the nitroxide radical, one tends to obtain
8 faster kinetics at the expense of lower control over the structure of the stabilizer
9 molecule. Conversely, molar amounts of free radical initiator less than the nitroxide
10 radical tend to provide slower kinetics but better control over the stabilizer structure. It
11 is often preferred to provide approximately equal molar amounts of the free radical
12 initiator and the nitroxide radical.

13 A RAFT polymerization is performed in the presence of a thiocarbonylthio
14 compound and a free radical initiator. A thiocarbonylthio compound contains at least
15 one $-S-(C=S)-$ group. Dithioesters, thiocarbamates and xanthates are all examples of
16 suitable types of thiocarbonylthio compounds. S-1-dodecyl-S'-(α,α' -dimethyl- α'' -acetic
17 acid)trithiocarbonate (DDMAT) is a specific example of a suitable thiocarbonylthio
18 compound. Other suitable thiocarbonylthio compounds are described by Barunecker et
19 al., "Controlled/living radical polymerization: features, developments, and perspectives",
20 *Prog. Polym. Sci.* 32 (2007) 93-146.

21 The copolymerization of the unsaturated polyether can be performed in bulk, but
22 may instead be performed as a mixture or dispersion in a carrier. The carrier may
23 constitute up to about 80%, preferably from about 20 to 80% and more preferably from
24 about 50 to 80%, of the combined weight of the carrier and the monomers. The carrier
25 material may include, for example, an uncapped portion of the polyether that is used as
26 a starting material to form the unsaturated polyether, and/or another polyol. As
27 already mentioned, the unsaturated polyether may contain some quantity of the
28 starting polyether due to incomplete capping. Alternatively or in addition, more of this
29 polyether or another polyether can be added during the polymerization step, to help
30 reduce viscosity and/or solvate the stabilizer copolymer. If another polyol is used as all
31 or part of the carrier, it may have a hydroxyl equivalent weight from 31 to 3,000 or
32 more, and may have from 2 to 8 or more hydroxyl groups per molecule. It should be
33 miscible with the unsaturated polyether. A preferred polyol carrier material (other than

1 the starting polyether) is a polyether polyol having a hydroxyl equivalent weight from
2 300 to 2,000.

3 Alternatively or in addition, the carrier may include one or more low molecular
4 weight compounds having a molecular weight of about 250 or less, which are not
5 polyethers, and which are solvents for the low molecular weight monomer(s). Suitable
6 carriers of this type include aromatic hydrocarbons such as toluene or xylene, aliphatic
7 hydrocarbons such as hexane, monoalcohols such as ethanol and isopropanol, and
8 ketones such as acetone. Preferred solvents for NMP polymerizations have boiling
9 temperatures (at atmospheric pressure) of at least 100°C, more preferably at least
10 120°C.

11 If a low molecular weight non-polyether is used as all or part of the carrier, it
12 should be removed before, during or after the time that the stabilizer copolymer is used
13 to make the polymer polyol. Similarly, residual monomers and other volatile
14 polymerization by-products can be removed from the stabilizer polymer or copolymer
15 before, during or after the polymer polyol is prepared. These materials can be removed
16 by subjecting the stabilizer polymer or copolymer or the polymer polyol to reduced
17 pressures and/or elevated temperatures, or by various other stripping methods.

18 The copolymerization can be performed at an elevated temperature such as from
19 80 to 180°C and preferably from 110 to 150°C. Conditions for performing controlled free
20 radical polymerizations of ethylenically unsaturated monomers are well known in the
21 art. Polymerization is continued until a copolymer having a molecular weight as
22 described before is attained. Conversion of the unsaturated polyether is often less than
23 100% and so the stabilizer polymer or copolymer obtained from the polymerization
24 typically contains a quantity of unpolymerized unsaturated polyether. This may be left
25 in the stabilizer if desired, when the stabilizer copolymer is subsequently used to
26 produce a polymer polyol. An advantage of certain embodiments of this invention is
27 that conversion of the unsaturated polyether tends to be higher than when conventional
28 free radical polymerizations are performed. This leads to a product having less residual
29 monomeric unsaturated polyether and lower polydispersity.

30 Copolymerizations can be performed in various ways, leading to various
31 copolymer structures.

32 In some embodiments, the unsaturated polyether is randomly polymerized with
33 the low molecular weight monomer(s). A random polymerization can be used to produce
34 polymers having structures such as shown in Figures 1 and 2.

1 Turning to Figure 1, stabilizer copolymer 1 includes blocks 2 of polymerized low
2 molecular weight monomer and pendant polyether chains 3, which correspond to points
3 at which the unsaturated polyether has become incorporated into the polymer chain. A
4 stabilizer copolymer of the type illustrated in Figure 1 is a copolymer of one or more low
5 molecular weight monomer(s) and a branched unsaturated polyether. Blocks 2 each may
6 contain as few as one monomer unit formed by polymerizing a low molecular weight
7 monomer, or as many as 10,000 or more such monomer units. The various blocks 2 may
8 be of different lengths. In the embodiment shown in Figure 1, three pendant polyether
9 chains 3 are shown, but as a few as one or as many as 20 such pendant polyether chains
10 3 may be present. The pendant polyether chains 3 are generally randomly distributed
11 along the length of stabilizer copolymer 1, although there may be some gradient in the
12 structure. In Figure 1, pendant chains 3 are branched, with some of the individual
13 branches being indicated by reference numerals 4. Branches 4 preferably each have a
14 molecular weight of at least 500, more preferably at least 1000, up to about 12,000, more
15 preferably up to about 6000. The number of branches shown in Figure 1 has been
16 selected arbitrarily for purposes of illustration, and fewer or a greater number of
17 branches may be present. The branches 4 illustrated in Figure 1 are shown as
18 emanating from at or near a single point. This, too, is not necessary, and branching
19 may instead occur at any number of points in any pendant polyether chain 3. Pendant
20 polyether chains 3 have molecular weights of at least 2000 to as much as 20,000, and
21 preferably from 4,000 to 16,000.

22 If the unsaturated polyether is linear, it typically forms a random copolymer
23 having a structure as represented in Figure 2. In Figure 2, stabilizer copolymer 21
24 includes blocks 22 of polymerized low molecular weight monomer and pendant polyether
25 chains 23, which correspond to points at which the unsaturated polyether has become
26 incorporated into the polymer chain. Each of blocks 22 may contain as few as one
27 monomer unit formed by polymerizing a low molecular weight monomer, or as many as
28 10,000 or more such monomer units. The various blocks 22 may be of different lengths.
29 As before, an arbitrary number of pendant polyether chains 23 are shown in Figure 3.
30 As few as one or as many as 20 such pendant polyether chains 23 may be present.
31 Pendant polyether chains 23 have molecular weights of at least 2000 to as much as
32 20,000, and preferably from 4,000 to 15,000.

33 A copolymerization may instead be performed by a) first polymerizing one or
34 more low molecular weight unsaturated monomers in a controlled radical

1 polymerization to form a living polymeric macroinitiator having a molecular weight of at
2 least 18,000 and preferably up to 400,000 and then b) copolymerizing the living
3 polymeric macroinitiator formed in step a) with the unsaturated polyether, or a mixture
4 of the unsaturated polyether and one or more additional low molecular weight
5 monomers. The order of steps a) and b) can also be reversed to form the stabilizer
6 copolymer. This copolymerization method can be used to prepare "toothbrush" type
7 stabilizer copolymers such as depicted in Figure 3 and "palm tree" structures as
8 depicted in Figure 4.

9 Macroinitiator molecular weights, as well as molecular weights of the stabilizer
10 as a whole and of other polymers, are conveniently measured by gel permeation
11 chromatography against a polystyrene standard.

12 Turning to Figure 3, "toothbrush"-type stabilizer copolymer 31 includes block 32,
13 which represents a block having a molecular weight of at least 18,000 that is devoid of
14 pendant polyether groups. Block 32 may have a weight of up to about 400,000. Block 32
15 is formed in a first polymerization step in which one or more low molecular weight
16 monomers are polymerized in a controlled radical polymerization in the absence of an
17 unsaturated polyether to produce a living macroinitiator. Block 35 contains pendant
18 polyether chains 33, and also contains repeating units that do not have such pendant
19 polyether chains. Block 33 is formed by copolymerizing the unsaturated polyether with
20 one or more low molecular weight monomers in the presence of the living macroinitiator.
21 In the embodiment shown, pendant polyether chains 33 are linear, but they may be
22 branched instead. The molecular weights of pendant polyether chains are as described
23 before. An arbitrary number of pendant polyether chains are shown in Figure 3. As few
24 as 2 or as many as 20 such pendant polyether chains may be present. The molecular
25 weight of block 35 may be from 10,000 to 250,000.

26 In Figure 4, "palm tree"-type stabilizer copolymer 41 includes block 42 that
27 represents a block having a number average molecular weight of at least 18,000 that is
28 devoid of pendant polyether groups. Block 42 may have a weight of up to 400,000.
29 Block 42 is formed in a first polymerization step in which one or more low molecular
30 weight monomers are polymerized in a controlled radical polymerization in the absence
31 of an unsaturated polyether to produce a living macroinitiator. Block 45 contains
32 pendant polyether chains 43. Block 43 is formed by homopolymerizing the unsaturated
33 polyether in the presence of the living macroinitiator. As shown, pendant polyether
34 chains 43 are linear, but they may be branched instead. The molecular weights of

1 pendant polyether chains are as described before. An arbitrary number of pendant
2 polyether chains are shown in Figure 4, but as few as 2 or as many as 20 such pendant
3 polyether chains may be present. The molecular weight of block 45 may be from 6,000
4 to 250,000 g/mole.

5 The product of the copolymerization of the unsaturated polyether will be in most
6 cases a mixture of materials. The product often will contain some proportion of polymer
7 molecules that contain no pendant polyether groups. This proportion is preferably no
8 more than 30%, more preferably no more than 20% of the total weight of the total
9 weight of polymerized product. In addition, the product may contain polyether molecules
10 that were not capped during the capping reaction, or other carrier as may have been
11 present during the copolymerization. Carriers having a molecular weight of 500 or less
12 preferably are removed from the product.

13 A polymer polyol can be made using the stabilizer copolymer via a mechanical
14 dispersion process or via an *in situ* polymerization.

15 A mechanical dispersion process is performed by melting a thermoplastic
16 polymer and dispersing the molten thermoplastic polymer into a liquid polyol in the
17 presence of the stabilizer copolymer. The conditions are sufficient to disperse the
18 thermoplastic polymer in the form of droplets within a continuous phase of the liquid
19 polyol. The dispersion is then cooled to solidify the thermoplastic polymer droplets to
20 form particles.

21 Suitable apparatus and mechanical dispersion methods for making the polymer
22 polyol are described, for example, in U. S. Patent No. 6,613,827. The apparatus can be
23 any device in which the molten thermoplastic polymer can be sheared into droplets in
24 the presence of the polyol and then maintained under agitation or shear until the
25 dispersed droplets can be cooled and solidified. A preferred apparatus is an extruder.
26 The method can be carried out batch-wise, continuously or semi-continuously.

27 In a preferred process, the melted thermoplastic polymer is first mixed with the
28 stabilizer, or a mixture of the stabilizer and a portion of the polyol(s), in a first mixing
29 section of an extruder. The thermoplastic polymer may be melted in the first mixing
30 section of the extruder, or in an upstream section of the extruder. Alternatively, the
31 thermoplastic polymer may be fed into the extruder as a molten material. In the latter
32 case, the molten thermoplastic polymer may be fed into the extruder through an
33 injection port, a hopper or similar feeding apparatus that can handle a viscous fluid. In
34 preferred embodiments, the thermoplastic polymer is melted in a first extruder, and the

1 melt is fed into the barrel of a second extruder where it is used to form the polymer
2 polyol.

3 By "extruder", it is meant a device having an elongated barrel, an outlet at or
4 near one end of the barrel, mixing elements within the elongated barrel, and a means
5 for pushing a liquid or molten material as essentially a plug flow through the mixing
6 elements, to and out of the outlet. Most typically, the extruder will have one or more
7 longitudinal, rotating screws located within the barrel. The screw or screws are
8 typically designed to perform both the pushing and mixing functions, although it is
9 possible that the screw(s) perform only one or the other of these functions, and some
10 other apparatus performs the other. For example, an extruder barrel can include one or
11 more screws designed to push the materials through static mixing elements, where the
12 mixing function is performed. However, the most preferred device is a single- or twin-
13 screw extruder in which the screw or screws include mixing elements.

14 In the preferred process, the extruder contains at least one injection port within
15 or upstream of the first mixing section. The stabilizer copolymer or mixture thereof in a
16 polyol is introduced into the extruder at the injection port or ports, and is mixed with
17 the molten thermoplastic polymer in the first mixing section. It is possible to preblend
18 the thermoplastic polymer with the stabilizer or stabilizer/polyol mixture, and introduce
19 the blend into the first mixing section where they are mixed as described below.

20 In the preferred process, the thermoplastic polymer should constitute at least
21 about 35% by weight of the mixture formed in the first mixing section. It is more
22 preferred that the thermoplastic polymer constitutes from about 50 to 90% weight
23 percent of the mixture formed in the first mixing section. The stabilizer copolymer, plus
24 any carrier as may be present, may constitute from 1 to 35 weight %, preferably from 5
25 to 25 weight % of the mixture. Any polyol added in this section preferably should not
26 constitute more than about 25% of the weight of the mixture.

27 In the preferred process, the proportions of the components in the first mixing
28 section typically result in the formation of a continuous phase of the thermoplastic
29 polymer. The stabilizer may be partially or entirely dissolved in the thermoplastic
30 polymer, or may be dispersed as droplets within the thermoplastic polymer. If polyol is
31 present in the first mixing section, it tends to form droplets dispersed in the
32 thermoplastic phase, in which case the stabilizer molecules tend to concentrate at the
33 boundaries of the polyol and thermoplastic polymer phases. Mixing conditions in the

1 first mixing section are therefore chosen to disperse the stabilizer (and polyol if used)
2 into the molten thermoplastic polymer.

3 The temperature of the mixture in the first mixing section is high enough so that
4 the thermoplastic polymer remains melted. Most thermoplastic polymers are
5 amorphous, and the process temperature in that case is generally above the glass
6 transition temperature, and preferably at least 15°C, more preferably at least 25°C,
7 above the glass transition temperature.

8 It is often advantageous to use as high a temperature as possible in the first
9 mixing section, consistent with the thermal stability of the various materials, to reduce
10 the melt viscosity of the thermoplastic polymer. Temperature conditions that result in
11 significant degradation of the materials are to be avoided. The necessary temperatures
12 in any given case will of course depend on the particular starting materials that are
13 used. It is usually preferable to avoid using a temperature in excess of 80°C above the
14 crystalline melting or glass transition temperature of the thermoplastic polymer.

15 It is preferred to preheat the stabilizer copolymer (and any polyol that may be
16 mixed with the stabilizer or otherwise introduced into the first mixing section), before
17 introducing it into the first mixing section, to a temperature at or near the temperature
18 that is desired in the first mixing section. This helps to prevent localized cool spots and
19 to prevent the melted thermoplastic polymer from solidifying locally.

20 In the preferred process, the resulting mixture of thermoplastic polymer,
21 stabilizer and liquid polyol (if any) is then conveyed to a second mixing section, where it
22 is combined with polyol to form a polymer polyol. Additional stabilizer polymer or
23 copolymer may be added in this step if desired. "Conveyed" in this context means simply
24 that the mixture is moved downstream in the extruder to a zone where the second
25 mixing step is performed. This is typically performed through the normal operation of
26 the extruder screw or screws, which move the material forward through the extruder in
27 plug flow fashion.

28 Mixing ratios in the second mixing step are generally such that the polymer
29 polyol formed there contains from about 1 to about 70% by weight, preferably from 15 to
30 60% by weight, and more preferably from about 20 to 55% by weight of dispersed
31 droplets of the thermoplastic polymer. The stabilizer copolymer (including any carrier
32 as may be present) may constitute from 1 to 15 weight percent, preferably from 2 to 10
33 weight percent, and even more preferably from 4 to 7 weight percent of the polymer
34 polyol. The liquid polyol phase may constitute from 40 to 98 weight percent of the

1 polymer polyol. Preferably, the polyol phase constitutes from 33 to 80% of the weight of
2 the polymer polyol.

3 In the second mixing section, the thermoplastic polymer is formed into droplets
4 that become dispersed in a continuous phase of the liquid polyol. The stabilizer
5 copolymer will reside mainly at or near the interface between the polyol phase and the
6 thermoplastic polymer droplets.

7 The mixture is subjected to sufficient shear in the second mixing section to
8 disperse the thermoplastic polymer into discrete droplets of the desired size. Generally,
9 the thermoplastic polymer is formed into droplets from about 100 nanometers to 100
10 microns in diameter. A preferred minimum particle size is at least 250 nanometers. A
11 preferred maximum particle size is 20 microns. An especially preferred particle size is
12 from 500 nanometers to 20 microns. Particle size is conveniently measured according to
13 ASTM D1921.

14 The temperature conditions in the second mixing section are in general as
15 described with respect to the first mixing section. The temperatures are not necessarily
16 identical in the two mixing sections, but they may be. As before, it is preferred to pre-
17 heat the liquid polyol before adding it into the second mixing section, to prevent
18 localized cooling and premature solidification of the thermoplastic polymer particles.

19 The use of the terms "first" and "second" mixing sections are used here only to
20 indicate the relative order of these sections in the preferred process. The description of
21 the mixing sections as "first" and "second" is not intended to exclude the possibility of
22 other mixing steps being performed in the process. It is also possible that either or both
23 of the mixing steps as described can be conducted in a series of two or more distinct sub-
24 steps, in a series of two or more distinct mixing sections of the extruder, or even in
25 multiple devices.

26 Because the polyol is in most cases a relatively low viscosity material, it is
27 usually necessary to maintain a back-pressure in the extruder to prevent the polyol
28 phase from running out of the extruder before the thermoplastic polymer becomes
29 dispersed. The pressure is maintained at 500 kPa or higher, particularly (in the
30 preferred process) in the second mixing section. A preferred pressure is at least 1000
31 kPa. Pressures in excess of 5000 kPa are generally not necessary and provide little if
32 any additional advantage. A pressure of up to 3000 kPa is more preferred. The
33 pressure in the first mixing section of the preferred process is generally less important,

1 although in most cases the pressure there will be the same as or very close to that of the
2 second mixing section.

3 Because the extruder operates in essentially a plug flow mode, a convenient way
4 of controlling the pressure in the second mixing section (and the extruder as a whole), is
5 to provide a region of restricted flow downstream of the second mixing section. The
6 region of restricted flow is conveniently located at or near the outlet end of the extruder.
7 The "outlet end" is the portion of the extruder downstream from the second mixing
8 section, through which the polymer polyol product is removed from the extruder.

9 The region of restricted flow can be generally described as a small cross-section
10 region through which the polymer polyol must flow to be removed from the extruder.
11 The small cross-section limits the rate at which the polymer polyol can flow through at a
12 given pressure. The restriction of flow at this point creates a back-pressure upstream in
13 the second mixing section and in most cases the entire extruder. This pressure is a
14 result of the action of the screws or other motive force pushing the materials through
15 the extruder, against the restriction formed by the small cross-section region. Actual
16 pressures of course will be a function of the cross-section of the region, the viscosity of
17 the polymer polyol, and the applied force.

18 A preferred apparatus for restricting the flow of the polymer polyol is a back
19 pressure regulator. These back pressure regulators include a conduit or conduits having
20 a variable cross-section. They operate by adjusting the cross-sectional area of the
21 conduit or conduits such that a predetermined pressure is maintained upstream of the
22 back pressure regulator. Many devices of this type are commercially available,
23 including those sold by Fluid Control Systems, Inc., Spartanburg, South Carolina under
24 the tradename GO Regulators. The preferred back pressure regulator can be adjusted
25 to provide a predetermined back pressure in the second mixing section (and other
26 portions of the extruder, if desired), and have a high pressure release mechanism which
27 allows excess pressures to be relieved if a predetermined maximum pressure is
28 exceeded.

29 After the thermoplastic polymer has been dispersed into the polyol, the resulting
30 polymer polyol is cooled enough to solidify the dispersed thermoplastic polymer droplets
31 to form particles. The polymer polyol should be agitated until the particles have
32 solidified, to prevent agglomeration and/or fouling of equipment. The size of the
33 resulting particles will be very close to that of the droplets before they are cooled,
34 although there may be some small differences due to thermal expansion or contraction

1 or due to a phase change in the case of a crystalline or semi-crystalline polymer. The
2 cooling step can be performed within the extruder or after the polymer polyol is
3 discharged from the extruder. If the polymer polyol is cooled within the extruder, it is
4 preferred to cool it before it reaches the region of restricted flow. This can reduce or
5 prevent fouling of the equipment in that region of the apparatus, and prevent or reduce
6 particle agglomeration from occurring there. Alternatively, the cooling can be done after
7 the polymer polyol is discharged from the extruder, such as passing it through a co- or
8 counter-flow heat exchanger. It is also possible to cool the polymer polyol in a mixing
9 vessel operated at a low temperature in order to quench the discharge from the
10 extruder.

11 The polymer polyol so produced may be treated to remove volatiles, reaction by-
12 products, unreacted monomers and other impurities. If the stabilizer contained a
13 solvent that was not previously removed, the solvent can be removed from the polymer
14 polyol product at this stage. This is conveniently done by subjecting the polymer polyol
15 to an elevated temperature and/or a reduced pressure. Temperatures should not be so
16 high as to melt or soften the dispersed particles of the thermoplastic polymer.

17 It is also possible to devolatilize the polymer polyol in a decompression zone of
18 the extruder, before or after the cooling step.

19 *In situ* polymerizations are conveniently performed in the presence of the
20 stabilizer copolymer using methods such as are described, for example, in USP
21 4,513,124, USP 4,588,830, USP 4,640,935, USP 5,854,386, USP 4,745,153, USP
22 5,081,180, USP 6,613,827 and EP 1 675 885. As before, polymer polyols made via an *in*
23 *situ* polymerization may contain from about 1 to about 70% by weight, preferably from
24 15 to 60% by weight, and more preferably from about 20 to 55% by weight of dispersed
25 particles of the thermoplastic polymer. The stabilizer copolymer (including any carrier
26 as may be present) may constitute from 1 to 15 weight percent, preferably from 2 to 10
27 weight percent, and even more preferably from 4 to 7 weight percent of the polymer
28 polyol. The liquid polyol phase may constitute from 40 to 98 weight percent of the
29 polymer polyol and preferably constitutes from 33 to 80% of the weight of the polymer
30 polyol. The size of the dispersed thermoplastic polymer particles may be from about 100
31 nanometers to 100 microns in diameter, with a preferred minimum particle size being at
32 least 250 nanometers, a preferred maximum particle size being 20 microns and an
33 especially preferred particle size being from 500 nanometers to 20 microns.

1 The polyol that forms the continuous phase in the polymer polyol product is an
2 organic material or mixture of organic materials that is a liquid at room temperature
3 (25°C) and which contains an average of at least 1.5 isocyanate-reactive groups per
4 molecule. For purposes of this invention, the term “polyol” is used as a shorthand term
5 for such materials, even though the actual isocyanate-reactive groups in a particular
6 case may not necessarily be hydroxyl groups. The liquid polyol preferably contains an
7 average of 1.8 to 8 isocyanate-reactive groups/molecule, especially from 2 to 4 such
8 groups. The isocyanate-reactive groups are preferably aliphatic hydroxyl, aromatic
9 hydroxyl, primary amino and/or secondary amino groups. Hydroxyl groups are
10 preferred. Hydroxyl groups are preferably primary or secondary hydroxyl groups.

11 The equivalent weight of the polyol per isocyanate-reactive groups will depend on
12 the intended applications. Polyols having an equivalent weight of 400 or greater, such
13 as from 400 to 3000, are preferred for forming elastomeric polyurethanes such as
14 slabstock or molded polyurethane foams, microcellular polyurethane elastomers and
15 non-cellular polyurethane elastomers. Lower equivalent weight polyols, such as those
16 having an equivalent weight of 31 to 399, are preferred for making rigid polyurethane
17 foams and structural polyurethanes.

18 Preferred types of liquid polyol(s) include polyether polyols, polyester polyols, and
19 various types of polyols that are prepared from vegetable oils or animal fats.

20 Polyether polyols include, for example, polymers of propylene oxide, ethylene
21 oxide, 1,2-butylene oxide, tetramethylene oxide, block and/or random copolymers
22 thereof, and the like. Of particular interest are poly(propylene oxide) homopolymers;
23 random copolymers of propylene oxide and ethylene oxide in which the poly(ethylene
24 oxide) content is, for example, from about 1 to about 30% by weight; ethylene oxide-
25 capped poly(propylene oxide) polymers; and ethylene oxide-capped random copolymers
26 of propylene oxide and ethylene oxide. The polyether polyols may contain low levels of
27 terminal unsaturation (for example, less than 0.02 meq/g or less than 0.01 meq/g).
28 Examples of such low unsaturation polyether polyols include those made using so-called
29 double metal cyanide (DMC) catalysts, as described for example in US Patent Nos.
30 3,278,457, 3,278,458, 3,278,459, 3,404,109, 3,427,256, 3,427,334, 3,427,335, 5,470,813
31 and 5,627,120. Polyester polyols typically contain about 2 hydroxyl groups per molecule
32 and have an equivalent weight per hydroxyl group from about 400 to 1500.

33 Suitable polyesters include reaction products of polyols, preferably diols, with
34 polycarboxylic acids or their anhydrides, preferably dicarboxylic acids or dicarboxylic

1 acid anhydrides. Other suitable polyesters include polymers of cyclic lactones such as
2 polycaprolactone.

3 Suitable polyols prepared from vegetable oils and animal fats include for
4 example, hydroxymethyl group-containing polyols as described in WO 04/096882 and
5 WO 04/096883; castor oil, so-called "blown" vegetable oils, and polyols prepared by
6 reacting a vegetable oil with an alkanolamine (such as triethanolamine) to form a
7 mixture of monoglycerides, diglycerides, and reaction products of the fatty acid amides,
8 which are ethoxylated to increase reactivity and to provide a somewhat more
9 hydrophilic character. Materials of the last type are described, for example in
10 GB1248919.

11 Suitable low equivalent weight polyols include materials containing from 2 to 8,
12 especially from 2 to 6 hydroxyl, primary amine or secondary amine groups per molecule
13 and having an equivalent weight from 30 to about 200, especially from 50 to 125.
14 Examples of such materials include diethanol amine, monoethanol amine, triethanol
15 amine, mono- di- or tri(isopropanol) amine, glycerine, trimethylol propane,
16 pentaerythritol, sorbitol, ethylene glycol, diethylene glycol, 1,2-propylene glycol,
17 dipropylene glycol, tripropylene glycol, ethylene diamine, phenylene diamine, bis(3-
18 chloro-4-aminophenyl)methane and 2,4-diamino-3,5-diethyl toluene.

19 The polymer(s) that make up the dispersed polymer particles should be soluble in
20 the continuous phase polyol to the extent of no more than 2% (*i.e.*, 2 grams of
21 thermoplastic polymer in 100 grams of the polyol). The solubility is more preferably no
22 greater than 1% and even more preferably no more than 0.5%. These polymer(s)
23 preferably are non-dispersing, meaning that the polymer(s) do not form a stable
24 dispersion in the polyol phase in the absence of a stabilizer.

25 The polymer(s) that make up the dispersed polymer particles is a solid at room
26 temperature. It should not melt, soften or thermally degrade at temperature of 80°C or
27 less, preferably 110°C or less and more preferably 130°C or less. However, if the
28 polymer polyol is to be made in a melt dispersion process, these polymer(s) must melt or
29 soften enough to be processable at some temperature at which the polyol is liquid and at
30 which the polyol does not thermally degrade. This temperature is preferably no greater
31 than 250°C and more preferably no greater than 210°C.

32 The molecular weight of the disperse phase polymer is not especially critical,
33 except that, when the polyol is made in a melt dispersion process, the disperse phase
34 polymer has the desired melting or softening temperature, and that the melted or

1 softened polymer has a viscosity, at a temperature suitable for making the polymer
2 polyol, that permits the polymer to be dispersed into droplets 100 microns or smaller in
3 diameter. The disperse phase polymer may have a melt flow index from 1 to 20
4 decigrams/minute, when measured according to ASTM D-1238 at 200°C under a 5 kg
5 applied load.

6 A wide range of polymers may be used as the disperse phase, including, for
7 example, various polyolefins (such as polymers and copolymers of ethylene), various
8 polyesters, various polyamides, various polycarbonates, various polymers and
9 copolymers of acrylic and/or methacrylic esters, and the like.

10 The thermoplastic polymer may be a homopolymer or copolymer of styrene. If a
11 copolymer, polymerized styrene may constitute at least 25%, preferably at least 50%,
12 more preferably at least 75% and especially from 85 to 100% of the weight of the
13 polymer. The polymerized comonomer(s) (if any), (1) are copolymerizable with styrene
14 in block or random fashion, (2) preferably are not highly polar or hydrophilic, and (3)
15 should not be reactive with an alcohol, primary or second amine, or isocyanate group,
16 under the conditions at which the polymer polyol is produced, or under the conditions of
17 a reaction of the polymer polyol with a polyisocyanate to form a polyurethane and/or
18 polyurea polymer. Examples of suitable comonomers include, for example, acrylonitrile,
19 butadiene, methyl methacrylate, butyl acrylate, vinyl acetate and the like.

20 The polymer polyol is useful to make a wide variety of polyurethane and/or
21 polyurea products. The polyurethane and/or polyurea products will be in most instances
22 elastomeric materials that may be non-cellular, microcellular or foamed. Polyurethanes
23 are typically prepared by reacting the polymer polyol or dispersion with a
24 polyisocyanate. The polymer polyol product may be blended with one or more additional
25 polyols, including those types described above, to adjust the solids content to a desired
26 level or provide particular characteristics to the polyurethane. The reaction with the
27 polyisocyanate is performed in the presence of a blowing agent or gas when a cellular
28 product is desired. The reaction may be performed in a closed mold, but in some
29 applications, such as slabstock foam, the reaction mixture is generally permitted to rise
30 more or less freely to form a low density foam material. Generally, the polymer polyol of
31 the invention can be used in the same manner as conventional polymer polyol materials,
32 using the same general types of processes as are used with the conventional materials.

33 Suitable polyisocyanates include aromatic, cycloaliphatic and aliphatic
34 isocyanate. Exemplary polyisocyanates include m-phenylene diisocyanate, toluene-2,4-

1 diisocyanate, toluene-2,6-diisocyanate, hexamethylene-1,6-diisocyanate,
2 tetramethylene-1,4-diisocyanate, cyclohexane-1,4-diisocyanate, hexahydrotoluene
3 diisocyanate, naphthylene-1,5-diisocyanate, 1,3- and/or 1,4-
4 bis(isocyanatomethyl)cyclohexane (including cis- and/or trans isomers) methoxyphenyl-
5 2,4-diisocyanate, diphenylmethane-4,4'-diisocyanate, diphenylmethane-2,4'-
6 diisocyanate, hydrogenated diphenylmethane-4,4'-diisocyanate, hydrogenated
7 diphenylmethane-2,4'-diisocyanate, 4,4'-biphenylene diisocyanate, 3,3'-dimethoxy-4,4'-
8 biphenyl diisocyanate, 3,3'-dimethyl-4-4'-biphenyl diisocyanate, 3,3'-dimethyldiphenyl
9 methane-4,4'-diisocyanate, 4,4',4"-triphenyl methane triisocyanate, a polymethylene
10 polyphenylisocyanate (PMDI), toluene-2,4,6-triisocyanate and 4,4'-
11 dimethyldiphenylmethane-2,2',5,5'-tetraisocyanate. Preferably the polyisocyanate is
12 diphenylmethane-4,4'-diisocyanate, diphenylmethane-2,4'-diisocyanate, PMDI, toluene-
13 2,4-diisocyanate, toluene-2,6-diisocyanate or mixtures thereof. Diphenylmethane-4,4'-
14 diisocyanate, diphenylmethane-2,4'-diisocyanate and mixtures thereof are generically
15 referred to as MDI, and all can be used. Toluene-2,4-diisocyanate, toluene-2,6-
16 diisocyanate and mixtures thereof are generically referred to as TDI, and all can be
17 used.

18 The amount of polyisocyanate used in making a polyurethane is commonly
19 expressed in terms of isocyanate index, *i.e.*, 100 times the ratio of NCO groups to
20 isocyanate-reactive groups in the reaction mixture (including those provided by water if
21 used as a blowing agent). In general, the isocyanate index may range as low as 60 and
22 as high as 500 or more. However, for the production of conventional slabstock foam, the
23 isocyanate index typically ranges from about 95 to 140, especially from about 105 to 115.
24 In molded and high resiliency slabstock foam, the isocyanate index typically ranges from
25 about 50 to about 150, especially from about 85 to about 110.

26 A catalyst is often used to promote the polyurethane-forming reaction. The
27 selection of a particular catalyst package may vary somewhat with the particular
28 application, the particular polymer polyol or dispersion that is used, and the other
29 ingredients in the formulation. The catalyst may catalyze the "gelling" reaction between
30 the polyol(s) and the polyisocyanate and/or, in many polyurethane foam formulation(s),
31 the water/polyisocyanate (blowing) reaction which generates urea linkages and free
32 carbon dioxide to expand the foam. In making water-blown foams, it is typical to use a
33 mixture of at least one catalyst that favors the blowing reaction and at least one other
34 that favors the gelling reaction.

1 A wide variety of materials are known to catalyze polyurethane-forming
2 reactions, including tertiary amines, tertiary phosphines, various metal chelates, acid
3 metal salts, strong bases, various metal alcoholates and phenolates and metal salts of
4 organic acids. Catalysts of most importance are tertiary amine catalysts and organotin
5 catalysts. Examples of tertiary amine catalysts include: trimethylamine, triethylamine,
6 N-methylmorpholine, N-ethylmorpholine, N,N-dimethylbenzylamine, N,N-
7 dimethylethanolamine, N,N,N',N'-tetramethyl-1,4-butanediamine, N,N-
8 dimethylpiperazine, 1,4-diazobicyclo-2,2,2-octane, bis(dimethylaminoethyl)ether,
9 triethylenediamine and dimethylalkylamines where the alkyl group contains from 4 to
10 18 carbon atoms. Mixtures of these tertiary amine catalysts are often used.

11 Examples of organotin catalysts are stannic chloride, stannous chloride, stannous
12 octoate, stannous oleate, dimethyltin dilaurate, dibutyltin dilaurate, other organotin
13 compounds of the formula $\text{SnR}_n(\text{OR})_{4-n}$, wherein R is alkyl or aryl and n is 0-2, and the
14 like. Organotin catalysts are generally used in conjunction with one or more tertiary
15 amine catalysts, if used at all. Organotin catalysts tend to be strong gelling catalysts, so
16 they are preferably used in small amounts, especially in high resiliency foam
17 formulations. Commercially available organotin catalysts of interest include Dabco™ T-
18 9 and T-95 catalysts (both stannous octoate compositions available from Air Products
19 and Chemicals).

20 Catalysts are typically used in small amounts, for example, each catalyst being
21 employed from about 0.0015 to about 5% by weight of the high equivalent weight polyol.

22 When forming a foam, the reaction of the polyisocyanate and the polyol
23 component is conducted in the presence of a blowing agent. Suitable blowing agents
24 include physical blowing agents such as various low-boiling chlorofluorocarbons,
25 fluorocarbons, hydrocarbons and the like. Fluorocarbons and hydrocarbons having low
26 or zero global warming and ozone-depletion potentials are preferred among the physical
27 blowing agents. Chemical blowing agents that decompose or react under the conditions
28 of the polyurethane-forming reaction are also useful. By far the most preferred chemical
29 blowing agent is water, which reacts with isocyanate groups to liberate carbon dioxide
30 and form urea linkages. Water is preferably used as the sole blowing agent, in which
31 case about 1 to about 7, especially from about 2.5 to about 5, parts by weight water are
32 typically used per 100 parts by weight high equivalent weight polyol. Water may also be
33 used in combination with a physical blowing agent, particularly a fluorocarbon or
34 hydrocarbon blowing agent. In addition, a gas such as carbon dioxide, air, nitrogen or

1 argon may be used as the blowing agent in a frothing process. Carbon dioxide can also
2 be used as a liquid or as a supercritical fluid.

3 A surfactant is also used when a polyurethane foam is prepared. A wide variety
4 of silicone surfactants as are commonly used in making polyurethane foams can be used
5 in making the foams with the polymer polyols or dispersions of this invention.
6 Examples of such silicone surfactants are commercially available under the tradenames
7 Tegostab™ (Th. Goldschmidt and Co.), Niox™ (GE OSi Silicones) and Dabco™ (Air
8 Products and Chemicals).

9 In addition to the foregoing components, the polyurethane formulation may
10 contain various other optional ingredients such as cell openers; fillers such as calcium
11 carbonate; pigments and/or colorants such as titanium dioxide, iron oxide, chromium
12 oxide, azo/diazo dyes, phthalocyanines, dioxazines and carbon black; reinforcing agents
13 such as fiber glass, carbon fibers, flaked glass, mica, talc and the like; biocides;
14 preservatives; antioxidants; flame retardants; and the like.

15 In general, a polyurethane foam is prepared by mixing the polyisocyanate and
16 polymer polyol in the presence of the blowing agent, surfactant, catalyst(s) and other
17 optional ingredients as desired, under conditions such that the polyisocyanate and
18 polyol react to form a polyurethane and/or polyurea polymer while the blowing agent
19 generates a gas that expands the reacting mixture. The foam may be formed by the so-
20 called prepolymer method (as described in U.S. Pat. No. 4,390,645, for example), in
21 which a stoichiometric excess of the polyisocyanate is first reacted with the high
22 equivalent weight polyol(s) to form a prepolymer, which is in a second step reacted with
23 a chain extender and/or water to form the desired foam. Frothing methods (as described
24 in U.S. Pat. Nos. 3,755,212; 3,849,156 and 3,821,130, for example), are also suitable. So-
25 called one-shot methods (such as described in U.S. Pat. No. 2,866,744) are preferred. In
26 such one-shot methods, the polyisocyanate and all polyisocyanate-reactive components
27 are simultaneously brought together and caused to react. Three widely used one-shot
28 methods which are suitable for use in this invention include slabstock foam processes,
29 high resiliency slabstock foam processes, and molded foam methods.

30 The following examples are provided to illustrate the invention, but are not
31 intended to limit the scope thereof. All parts and percentages are by weight unless
32 otherwise indicated.

33
34

Example 1**A. Preparation of Unsaturated Polyether**

A polyether monol of about 12,000 molecular weight is prepared by polymerizing propylene oxide and then ethylene oxide onto tripropylene glycol monobutyl ether. This monol contains about 87.5% polymerized propylene oxide.

The polyether monol (50 g) is dried under vacuum and mixed with an equimolar amount of 3-isopropenyl- α,α -dimethylbenzylisocyanate (TMI) and 6 microliters of dibutyltindilaurate. The resulting mixture is heated to 55°C for two hours. The product is essentially entirely a capped polyether having terminal ethylenic unsaturation.

B. Preparation of stabilizer copolymer

5 mL of styrene (0.0436 mole) and 2.841 g of the unsaturated polyether of part A are mixed into 8.4 mL o-xylene, 0.00746 g of azobisisobutyrylnitrile (AIBN, 4.54×10^{-5} mol) and 0.01065 g (6.82×10^{-5} mol) of 2,2,6,6-tetramethylpiperidine 1-oxyl (TEMPO) in a Schlenk flask. The mixture is subjected to three freeze/thaw cycles to remove oxygen. The flask is then heated to 135°C for 24 hours. 0.16 mL 1-dodecanethiol (6.82×10^{-4} mol) is then added under a flux of nitrogen, and after five minutes the flask is quenched by immersing it in ice. The resulting product is purified by precipitating it in cold methanol. Styrene conversion is 68.2%. M_n is 49,700 and polydispersity index (PDI) is 1.29. This stabilizer copolymer has a random structure as shown in Figure 2.

Example 2

A hexafunctional, 12,000 molecular weight ethylene oxide-capped polypropylene oxide is capped with TMI by reacting the starting materials at a 1:0.33 molar ratio in the presence of a tin catalyst in the same general manner described in Example 1A.

8.998 g of the resulting capped polyether, 7.5 mL of styrene (6.55×10^{-2} mol), 10 mL of o-xylene, 0.00560 g of AIBN (3.41×10^{-5} mol) and 0.02006 g of N-tert-butyl-1-diethylphosphone-2,2-dimethylpropyl nitroxyl (SG1, 6.82×10^{-2} mol) are mixed in a Schlenk flask. The mixture is subjected to three freeze/thaw cycles to remove oxygen. The flask is then heated to 120°C for 24 hours. 0.16 mL 1-dodecanethiol (6.82×10^{-4} mol) is then added under a flux of nitrogen, and after five minutes the flask is quenched by immersing it in ice. Residual solvent and unreacted styrene are removed under vacuum at 85°C. Styrene conversion is 60.6%. M_n is 74,700 and polydispersity index

1 (PDI) is 1.52. This stabilizer copolymer has a random structure as shown in Figure 1,
2 with a calculated average of about 4 pendant branched polyether groups per molecule.

3 4 **Example 3**

5 A. Preparation of living polystyrene macroinitiator

6 15 mL of styrene (0.131 mol), 0.00764 g AIBN (4.52×10^{-5} mol) and 0.01102 g
7 TEMPO are mixed in a Schlenk flask. Oxygen is removed by subjecting the mixture to
8 three freeze/thaw cycles. The flask is heated to 125°C for 5 hours, after which time the
9 flask is immersed in ice to quench the reaction. The resulting polystyrene is
10 precipitated in cold methanol, filtered off, dissolved in tetrahydrofuran, precipitated
11 again in cold methanol and filtered off again. The polystyrene is then dried at 40°C for
12 72 hours under vacuum. The resulting polystyrene has an M_n of 66,300 g/mol and a PDI
13 of 1.26. Styrene conversion is 40.1%.

14 15 B. Preparation of unsaturated polyether

16 An unsaturated polyether is made in the same manner described in Example 1A,
17 except the polyether monol has a molecular weight of only 4000.

18 19 C. Preparation of "toothbrush" stabilizer copolymer

20 1 g of the polystyrene from part A above and 1.5 g of the unsaturated polyether
21 from part B above are dissolved in 2 mL of o-xylene in a Schlenk flask. Oxygen is
22 removed by subjecting the mixture to three freeze/thaw cycles. The flask is heated to
23 135°C for 4 hours, after which time the flask is immersed in ice to quench the reaction.
24 Residual solvent and unreacted styrene are removed under vacuum at 85°C. M_n is
25 85,700 and polydispersity index (PDI) is 1.36. This stabilizer copolymer has a structure
26 as shown in Figure 3, with a calculated average of about 4 pendant linear polyether
27 groups per molecule.

28 29 **Example 4**

30 A. Preparation of unsaturated polyether

31 30.815 g of a 4000 molecular weight polyether monol prepared by adding
32 ethylene oxide and then propylene oxide onto tripropylene glycol monobutyl ether is
33 dried and dissolved in 30 mL of dry toluene. 0.6163 g (2.57×10^{-2} mol) of sodium
34 hydride is added at 0°C. The mixture is stirred and turns orange as the temperature

1 allowed to rise to 25°C. 4-vinylbenzylchloride (0.8 moles per mole of monol) is added
2 and the mixture stirred overnight at 25°C. Ethanol is added to neutralize unreacted
3 sodium hydride. Toluene and ethanol are then removed under vacuum. The recovered
4 product is dissolved in methylene chloride. Sodium chloride precipitates and is removed
5 by filtering over silica gel. The product is then dried under vacuum. About 50 mol-% of
6 the starting monol is capped with vinylbenzyl groups. The mixture therefore is
7 approximately a 50/50 mixture of capped and uncapped polyethers.

8 9 B. Preparation of "palm tree" stabilizer

10 1.5 g of the living polystyrene macroinitiator from Example 3A, and 3 g of the
11 unsaturated polyether from Example 4A are dissolved in 6 mL of o-xylene in a Schlenk
12 flask. The flask is heated to 135°C for 15 hours, after which time 0.05 mL of 1-
13 dodecanethiol (2.26×10^{-4} mol) are added. After 5 minutes, the flask is immersed in ice
14 to quench the reaction. Residual solvent and unreacted styrene are removed under
15 vacuum at 85°C. M_n is 84,500 and polydispersity index (PDI) is 1.32. This stabilizer
16 copolymer has a structure as shown in Figure 4, with a calculated average of about 5
17 pendant linear polyether groups per molecule.

18 19 **Example 5**

20 A. Preparation of living polystyrene macroinitiator

21 45 mL of styrene (0.393 mol), 0.03359 g AIBN (2.05×10^{-4} mol) and 0.15050 g
22 SG1 (5.12×10^{-2} mol) are mixed in a Schlenk flask. Oxygen is removed by subjecting the
23 mixture to three freeze/thaw cycles. The flask is heated to 120°C for 5 hours, after
24 which time the flask is immersed in ice to quench the reaction. The resulting
25 polystyrene is precipitated in cold methanol, filtered off, dissolved in tetrahydrofuran,
26 precipitated again in cold methanol and filtered off again. The polystyrene is then dried
27 at 40°C for 72 hours under vacuum. The resulting polystyrene has an M_n of 50,000
28 g/mol and a PDI of 1.18. Styrene conversion is 45.2%.

29 30 B. Preparation of "palm tree" stabilizer

31 1.5 g of the living polystyrene macroinitiator from Example 5A and 3 g of the
32 unsaturated polyether from Example 4A are dissolved in 6 mL of o-xylene in a Schlenk
33 flask. The flask is heated to 120°C for 15 hours, after which time 0.07 mL of 1-
34 dodecanethiol (3×10^{-4} mol) are added. After 5 minutes, the flask is immersed in ice to

1 quench the reaction. Residual solvent and unreacted styrene are removed under vacuum
2 at 85°C. M_n is 83,000 and polydispersity index (PDI) is 1.37. This stabilizer copolymer
3 has a structure as shown in Figure 4, with a calculated average of about 9 pendant
4 linear polyether groups per molecule.

6 **Example 6**

7 A stabilizer copolymer is made in the general manner described in Example 5,
8 this time using the alkoxyamine MAMA-SG1 as the free radical initiator/source of
9 nitroxide radicals. Styrene is polymerized to a molecular weight of 21,500 g/mol to form
10 a macroinitiator, and the unsaturated polyether from Example 4A is then polymerized
11 onto the polystyrene macroinitiator. Approximately four polyether chains are
12 incorporated into the copolymer, on average, to form a "palm tree" structure.

14 **Comparative Sample A**

15 A comparative stabilizer is made in the same general manner as Example 2, but
16 without using any nitroxide radical in the polymerization reaction. The resulting
17 product contains only about 32% by weight of copolymer molecules that have pendant
18 polyether groups. The number average molecular weight of these copolymer molecules
19 is about 89,000, with a wide polydispersity. About 64% of the material obtained is
20 unreacted unsaturated polyether and about 5% is a polystyrene homopolymer having a
21 molecular weight of less than 30,000.

23 **Comparative Samples B and C and Example 7**

24 To prepare Comparative Sample B, a 12,000 molecular weight unsaturated
25 polyether is prepared as described in Example 1A. This polyether is functionalized with
26 1-[1-(4-chloromethylphenyl)ethoxyl]-2,2,6,6-tetramethylpiperidine, and styrene is
27 polymerized onto it to form an 8,000 molecular weight polystyrene block.

28 To prepare Comparative Sample C, a 2000 molecular weight linear unsaturated
29 polyether is prepared and functionalized with 1-[1-(4-chloromethylphenyl)ethoxyl]-
30 2,2,6,6-tetramethylpiperidine. Styrene is polymerized onto it to form a 3,000 molecular
31 weight polystyrene block.

32 Example 7 is prepared in the same manner as Comparative Sample B, except the
33 polystyrene block has a weight of 18,000 g/mole.

Evaluations of Examples 1-7 and Comparative Samples A-C

Dispersions are made using the products of each of Examples 1-7 and Comparative Samples A-C as the stabilizer. A mechanical dispersion process is performed in a three-neck flask equipped with a thermocouple, nitrogen connection and mechanical stirring (45 degree, 2-blade type stirrer). 15 g of a polyether polyol and 1 g (in the case of Examples 1-3, 6 and 7 and Comparative Samples A-C) or 1.5 g (in the case of Examples 4 and 5) of stabilizer are mixed and heated to 195°C for 20 minutes with stirring under nitrogen. 4 g of polystyrene is added and the resulting mixture is stirred at 195°C for 45 minutes. The mixture is cooled to below 60°C with stirring to solidify the dispersed polystyrene particles. The viscosity of each dispersion is at 25°C according to ISO 3219. Particle size is measured according to ASTM D1921. Results are as indicated in Table 1 below.

Table 1

Stabilizer Copolymer	Stabilizer Structure	Dispersion Viscosity, 100 s ⁻¹ (mPa·s)	Mean particle diameter (μm)
Comparative A	Figure 1*	2050	7.15
Example 1	Figure 2	1590	6.54
Example 2	Figure 1	1690	4.86
Example 3	Figure 3 ("toothbrush")	1100	16.46
Example 4	Figure 4 ("palm tree")	1450	5.58
Example 5	Figure 4 ("palm tree")	1360	4.57
Example 6	Figure 4 ("palm tree")	1560	4.64
Comparative B	Block copolymer	Failed	Failed
Comparative C	Block copolymer	Failed	Failed
Example 7	Block copolymer	2030	3.65

*This structure constitutes only a small portion of the overall stabilizer in this case.

In all of Examples 1-6, the viscosity of the dispersion is much lower than in the control (Comparative A). In all cases except Example 3, the particle size of the dispersed polystyrene phase is smaller than in the control. Of particular interest is the contrast between Example 2 and Comparative Example A. The structure of the stabilizer copolymer is nominally the same in these two cases, but in Example 2, much more of the starting unsaturated polyether becomes polymerized and the resulting stabilizer is much more effective (as indicated by both smaller particles and lower viscosity).

1 Examples 3-6 show the benefits of the toothbrush and palm tree structures. The
2 toothbrush structure produces an extremely low viscosity. The palm tree structure
3 produces both a very low viscosity and low particle size.

4 Example 7 and Comparative Samples B and C show the effects of molecular
5 weight in a linear block copolymer structure. The low molecular weights of Comparative
6 Samples B and C lead to inadequate stabilization. Rather than becoming dispersed, the
7 polystyrene forms macroscopic chunks when Comparative Samples B and C are used to
8 stabilize the dispersion. Example 7 shows that adequate stabilization can be achieved,
9 even in a block copolymer structure, if the block copolymer is formed in a controlled
10 radical polymerization and the molecular weight of the polystyrene segment and of the
11 stabilizer as a whole is adequate. The viscosity at 100 s^{-1} shear rate is essentially the
12 same as Comparative Sample A (although particle size is smaller), due to the low
13 molecular weight and linear structure of the stabilizer. Higher stabilizer molecular
14 weight is expected to lead to decreases in the dispersion viscosity.

15 16 **Example 8**

17 18.3 mg (5.02×10^{-5} mol) of S-1-dodecyl-S'-(α,α' -dimethyl- α'' -acetic
18 acid)trithiocarbonate and 5.5 mL (4.80×10^{-2} mol) of styrene are introduced into a two-
19 neck round-bottom flask equipped with septum, nitrogen connection and magnetic
20 stirring. Nitrogen is bubbled through for 30 minutes to remove oxygen. The flask is
21 then heated for 3 hours at 120°C . Then, 5.85 g (1.5×10^{-4} mol) of a degassed TMI-
22 capped hexafunctional, 12,000 molecular weight ethylene oxide-capped polypropylene
23 oxide as described in Example 2 is added. The reaction is continued at 120°C overnight,
24 to form a white solid. The solid is dissolved in tetrahydrofuran and precipitated in cold
25 methanol. The resulting product is then dried under vacuum. On GPC, the product
26 exhibits a wide molecular weight distribution (polydispersity index 5.0). On average,
27 the stabilizer has a "palm-tree" type structure that contains about 3 branched polyether
28 groups per molecule. The product has polystyrene blocks that on average have
29 molecular weights of 20,000 g/mole.

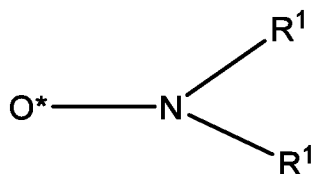
30 A dispersion is made with this stabilizer, using the same process described with
31 regard to the earlier examples. The polystyrene disperses well into the polyol, to
32 produce spherical, non-agglomerated particles that have a peak particle diameter of
33 about $2.5 \mu\text{m}$. The viscosity of the dispersion is $1590 \text{ mPa}\cdot\text{s}$ (25°C , 100 s^{-1}).

1 WHAT IS CLAIMED IS:
 2
 3

4 1. A process for making a polymer polyol, comprising forming a dispersion of
 5 solid polymer particles in the presence of a continuous liquid polyol phase and a
 6 stabilizer copolymer produced by copolymerizing an unsaturated polyether which has a
 7 molecular weight from 2000 to 20,000 and from 0.2 to about 1 polymerizable
 8 unsaturated groups per molecule with one or more copolymerizable low molecular
 9 weight unsaturated monomers in a controlled radical polymerization to form a stabilizer
 10 polymer or copolymer having a number average molecular weight from 30,000 to
 11 500,000 and an average of 1 to 20 pendant polyether chains per molecule.
 12

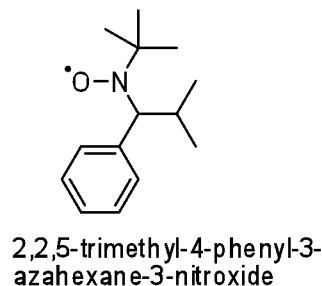
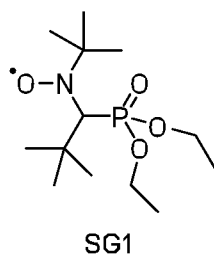
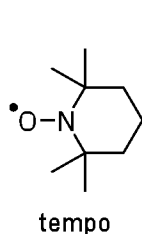
13 2. The process of claim 1, wherein the controlled radical polymerization is a
 14 nitroxide-mediated polymerization.
 15

16 3. The process of claim 2, wherein the polymerization of the stabilizer polymer or
 17 copolymer is performed in the presence of a nitroxide radical having the structure:



18 wherein each R¹ group is independently an alkyl or substituted alkyl group provided
 19 that the R¹ groups together may form an aliphatic ring structure that includes the
 20 nitrogen atom within the aliphatic ring structure.
 21
 22

23 4. The process of claim 3, wherein the polymerization of the stabilizer polymer or
 24 copolymer is performed in the presence of a nitroxide radical having one or more of the
 25 following structures:



1 and a separate free radical initiator.

2
3 5. The process of claim 2, wherein the polymerization of the stabilizer copolymer
4 is performed in the presence of an alkoxyamine.

5
6 6. The process of claim 1, wherein the controlled radical polymerization is a
7 reversible addition-fragmentation chemical transfer (RAFT) polymerization.

8
9 7. The process of any preceding claim, wherein the stabilizer includes a block
10 copolymer including a first block having a molecular weight of at least 18,000 that is
11 devoid of pendant polyether groups and a second block which is a homopolymer of an
12 unsaturated polyether which has a molecular weight from 2000 to 20,000 and from 0.2
13 to about 1.0 polymerizable unsaturated groups per molecule or a copolymer of said
14 unsaturated polyether with one or more copolymerizable low molecular weight
15 unsaturated monomers, the second block containing from 2 to 20 pendant polyether
16 groups that each have a molecular weight from 2000 to 20,000.

17
18 8. The process of claim 7, wherein the pendant polyether groups are linear.

19
20 9. The process of claim 7, wherein at least some of the pendant polyether groups
21 are branched.

22
23 10. The process of any of claims 7-9 wherein the first block is polystyrene.

24
25 11. A process for making a polymer polyol comprising forming a dispersion of
26 solid polymer particles in the presence of a continuous liquid polyol phase and a
27 stabilizer block copolymer having a first block having a molecular weight of at least
28 18,000 and which is devoid of pendant polyether groups and a second block which is a
29 homopolymer of a branched or linear unsaturated polyether which has a molecular
30 weight from 2000 to 20,000 and from 0.2 to about 1 polymerizable unsaturated group
31 per molecule or a copolymer of said unsaturated polyether with one or more
32 copolymerizable low molecular weight unsaturated monomers, the second block
33 containing from 2 to 20 pendant branched or linear polyether groups that each have a
34 molecular weight from 2000 to 20,000.

1
2 12. The process of claim 11, wherein the pendant polyether groups are linear.

3
4 13. The process of claim 11, wherein at least some of the pendant polyether
5 groups are branched.

6
7 14. The process of any of claims 11-13 wherein the first block is polystyrene.

8
9 15. The process of any preceding claim, wherein the solid polymer particles are a
10 thermoplastic that has a melting or softening temperature of at least 80°C.

11
12 16. The process of any preceding claim, wherein the solid polymer particles are a
13 homopolymer or copolymer of styrene.

14
15 17. The process of any preceding claim, wherein the liquid polyol phase includes
16 a polyether polyol.

17
18 18. The process of claim 17, wherein the polyether polyol is a poly(propylene
19 oxide) homopolymer, random copolymer of propylene oxide and ethylene oxide in which
20 the poly(ethylene oxide) content is from about 1 to about 30% by weight; an ethylene
21 oxide-capped poly(propylene oxide) polymers or an ethylene oxide-capped random
22 copolymers of propylene oxide and ethylene oxide, wherein the polyether polyol contains
23 an average of 1.8 to 8 hydroxyl groups/molecule, has an equivalent weight from 400 to
24 3000.

25
26 19. The process of any preceding claim wherein the dispersion is formed in a
27 melt dispersion process which includes the steps of (a) mixing a melted thermoplastic
28 polymer with the liquid polyol in the presence of the stabilizer under conditions
29 sufficient to disperse the melted thermoplastic polymer in the form of droplets within a
30 continuous phase of the liquid polyol and (b) cooling the dispersed polymer droplets in
31 the polyol to solidify the polymer droplets and form the polymer polyol.

32
33 20. The process of any preceding claim wherein the dispersion is formed in an *in*
34 *situ* polymerization process which includes the steps of polymerizing one or more low

1 molecular weight monomers in the presence of the continuous polyol phase and in the
2 presence of the stabilizer under conditions such that the low molecular weight
3 monomer(s) polymerize to form polymer particles dispersed in the continuous polyol
4 phase.

5

6 21. A polymer polyol formed in the process of any preceding claim.

7

8

9

10

FIGURE 1

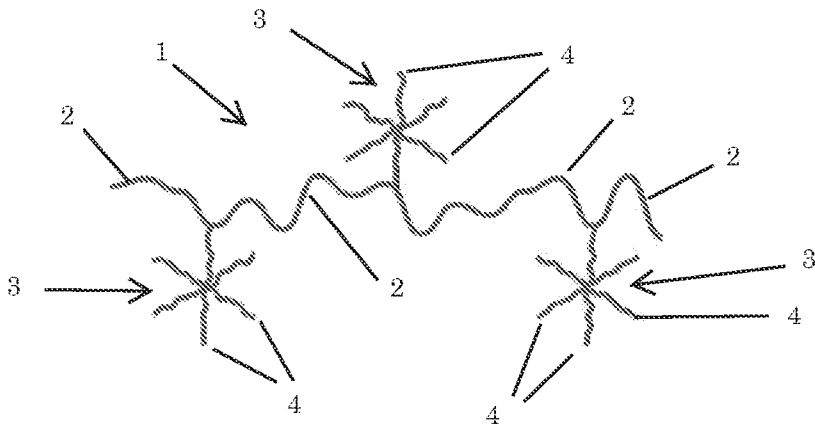


FIGURE 2

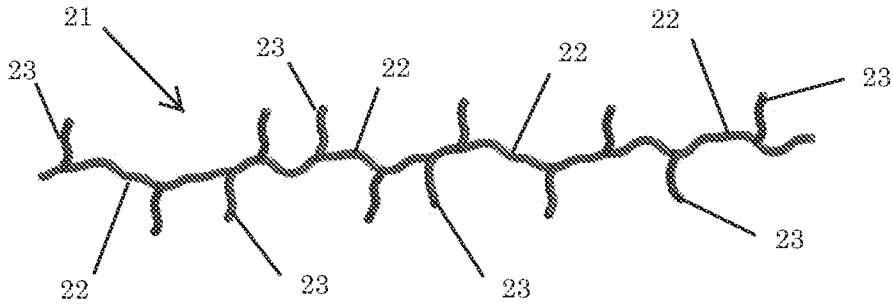


FIGURE 3

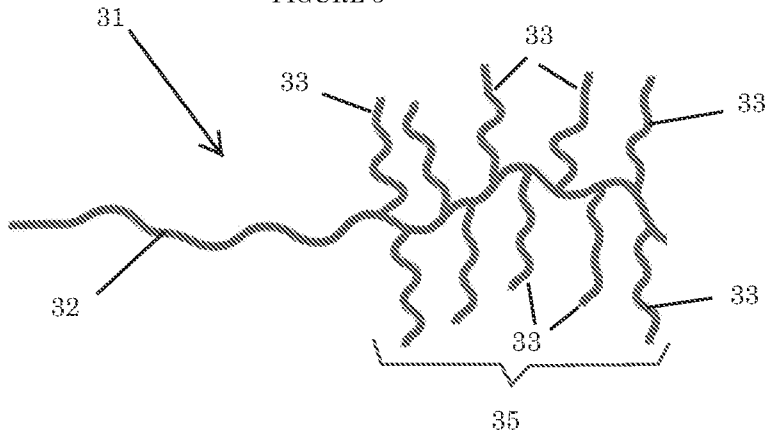


FIGURE 4

