



Optoelectronic device with light directing arrangement and method of forming the arrangement

## INTRODUCTION AND BACKGROUND

This invention relates to optoelectronic devices and more particularly to devices comprising an arrangement to direct light. The invention also relates to a method of forming a light directing arrangement for an optoelectronic device.

One known type of light emitting device comprises a junction in a body of silicon and which junction is configured to be driven into avalanche or field emission breakdown mode thereby to emit light. A problem associated with these devices is that the critical angle of internal reflection at the silicon-oxide-air interface is determined by the refractive indexes of the materials. For silicon and air, the critical angle is only about  $15.3^\circ$  and taking into account the solid angles of emission, it means that only about 1.8% of the light generated by the device will leave the surface. A large proportion of this light leaves the surface of the body substantially parallel to the surface and therefore it is difficult to effectively couple this light into an input of a spaced optical fibre.

It is also known that the speed with which semiconductor pn junction diode optical detectors operate, is a function of the built-in junction capacitance. By reducing the size of the detecting pn junction, the built-in pn junction capacitance may be reduced, and the detecting diode device may operate at a higher switching frequency. However, at the same time, the sensitive area of the detector is also reduced, resulting in a smaller optical signal being detected, which is not desirable.

#### OBJECT OF THE INVENTION

Accordingly, it is an object of the present invention to provide an optoelectronic device and method of forming a light directing arrangement for the device with which the applicant believes the aforementioned disadvantages may at least be alleviated.

#### SUMMARY OF THE INVENTION

According to the invention there is provided an optoelectronic device comprising a body having a surface and a region of an indirect bandgap semiconductor material, a photon active region on one side of the surface, and a light directing arrangement adjacent an opposite side of the surface.

The photon active region may be at least one of a light emitting region and a light detecting region.

The indirect bandgap material may be one of Si, Ge and SiGe, but is not limited thereto. In one preferred embodiment, the material may be Si, the photon active region may comprise a pn-junction formed in the silicon material and the light directing arrangement may circumscribe a light transmitting zone on the surface. In other embodiments, other forms of photon active regions may be used, such as silicon nano-crystals embedded in a passivation layer, for example a layer of silicon dioxide, on a region or body of indirect bandgap material.

The light directing arrangement may be formed integrally on the surface, for example by using a standard CMOS process.

In some embodiments, the optoelectronic device may be a light emitting device wherein the pn junction, in use, is a light emitting source for transmitting light through the light transmitting zone towards the light directing arrangement.

In other embodiments the optoelectronic device may be a photodetector device wherein the pn junction, in use, is a

photodetector for receiving light from the light directing arrangement through the light transmitting zone.

The light directing arrangement may comprise a structure of alternate layers of a light reflecting material and an insulating material forming a light reflecting sidewall defining a passage for light, which passage is in light communication relationship with the zone and wherein a transverse cross sectional area of the passage increases in a direction away from the zone.

The light reflecting material may be selected from a group comprising aluminium, copper, gold and polysilicon.

The sidewall may comprise exposed edges of the layers of a light reflecting material linked by annular regions of a light reflecting material cladding adjacent edges of the layers of the insulating material. The cladding light reflecting material may be the same as the material of the light reflecting layers.

At least some of the exposed edges and the annular regions may slope with an acute angle relative to a main axis of the passage. Preferably all the annular regions and the exposed edges slope relative to the

main axis. In a preferred embodiment, the angle decreases in a direction away from the zone.

According to another aspect of the invention, there is provided a method of forming a light directing arrangement for an optoelectronic device comprising a body having a surface and a region of an indirect bandgap semiconductor material and a photon active region on one side of a surface, the method comprising the steps of forming at least one layer of a light reflecting material on an opposite side of the surface, to circumscribe a light transmitting zone on the surface and to define a passage for light.

The method may comprise the step of forming more than one superimposed layers of a light reflecting material to define the passage and spacing adjacent layers from one another by intermediate layers of an insulating material.

The method may comprise the step of cladding edges of the intermediate layers adjacent the passage with a light reflecting material.

The method may comprise the steps of providing at least some of the cladded edges and edges of the layers of a light reflecting material adjacent the passage with a slope at an acute angle relative to a main axis of the passage.

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The arrangement may be formed by utilising conventional CMOS technology and depositing on the surface, a first of the layers of the light reflecting material, separating the first layer of a light reflecting material from a second of the layers of a light reflecting material by one of said intermediate layers, utilising a via definition to form a via between the first and second layers and to clad an edge of the intermediate layer adjacent the passage, and forming a slope for the via and edges of the layers of a light reflecting material respectively.

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In one form of the method the slope for the via and the slopes for the layers of a light reflecting material may be arranged to provide the passage with a profile in the form of a parabola, an angle of the slope for the via and the slopes for the edges of the layers of a light reflecting material may be constant, and distances between a main axis of the passage and the slopes may be selected such as to minimize a difference between said angle and a tangent of the parabola at a corresponding location on the parabola.

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In another form of the method, the slope for the via and the slope of the layers of a light reflecting material may also be arranged to provide the passage with a profile in the form of a parabola, but an angle of the slope for the via and respective angles for the edges of the layers of a light reflecting material may vary, so as to approach a tangent of the parabola at a corresponding location on the parabola.

#### **BRIEF DESCRIPTION OF THE ACCOMPANYING DIAGRAMS**

The invention will now further be described, by way of example only, with reference to the accompanying diagrams wherein:

figure 1 is a diagrammatic representation of a prior art light emitting device comprising a light source in the form of a pn-junction in a body of silicon;

figure 2 is a diagrammatic sectional view through a first embodiment of an optoelectronic device according to the invention in the form of a light emitting device comprising an emitted light directing arrangement;

figure 3 is a diagram illustrating the relationship between certain dimensions and angles of one embodiment of the arrangement;



figure 4 is a diagrammatic view illustrating a plurality of light reflecting layers forming part of a emitted light directing structure;

figure 5 is a more detailed sectional view of the structure;

5 figure 6(a) and (b) are views illustrating the formation of sloped surfaces on a sidewall of a passage for light defined by the structure;

figure 7 is a more detailed sectional view of the structure;

10 figure 8 is a diagrammatic illustration of another embodiment of the structure;

figure 9 is a diagrammatic representation of a prior art photodetector; and

15 figure 10 is a diagrammatic sectional view through a second embodiment of an optoelectronic device according to the invention in the form of a photodetector comprising an impinging light directing arrangement.

#### DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION

20 By way of background, a light radiation pattern of a known silicon light emitting device 10 is shown in figure 1. As stated in the introduction of this specification, the critical angle  $\beta$  of internal reflection at the silicon-oxide-air interface is only about  $15.3^\circ$ . As a result, only about

1.8% of the light generated at a junction 12 in the body of silicon 14 leaves the surface 16 of the body. A large proportion of that light leaves the surface in a direction substantially parallel to the surface 16 and therefore it is difficult to couple that light into an input 18 of a spaced optical fibre 19.

Referring to figure 2, an optoelectronic device according to the invention in the form of a light emitting device 20 comprises a body having a surface 16 and a region 14 of an indirect bandgap material such as Si, Ge and SiGe, a light emitting source 12 on one side of the surface and an emitted light directing arrangement 22 adjacent an opposite side of the surface 16. The emitted light directing arrangement serves to focus the light along a passage 24, away from the surface, so that the light may more effectively be coupled into the input 18 of optical fibre 19.

In the embodiments shown in this specification, a passivation layer on the region 14 is not necessarily shown. It will be appreciated by those skilled in the art that a passivation layer may be provided and that the aforementioned surface would then be a surface of the layer remote from the region 14.

The emitted light directing arrangement is integrally formed on the  
aforementioned opposite side of the surface as will hereinafter be  
described in more detail. The arrangement 22 comprises a structure 26  
of alternate layers 28.1 to 28.4 of a light reflecting material and layers  
30.1 to 30.4 of an insulating material. The light reflecting material  
may be selected from a group comprising aluminium, copper, gold and  
polysilicon. The insulating material may be an oxide.

The structure 26 comprises a substantially cup shaped sidewall 32  
circumscribing a light transmitting zone 34 on the surface 16. The wall  
32 defines the passage 24 having a main axis 36 extending through  
the zone 34 and perpendicular to the surface. The passage 24 is in  
light communication relationship with the zone.

From figure 3 it is derived that at a reflection point R on the sidewall  
32, the relationship between the angles is

$$\gamma = 45 + \frac{\theta}{2} = \frac{90 + \theta}{2} \quad \text{degrees}$$

with the tangent of the structure at the point R given by

$$\text{Slope} = \frac{dy}{dx} = \tan(\gamma) = \frac{\cos \theta}{1 - \sin \theta} = \frac{x}{\sqrt{x^2 + y^2} - y}$$

Using the above equations, the physical shape of the structure 26 at points on the wall 32 may be computed.

In a standard CMOS technology, the metal conductor layers (normally aluminium) may be used to approximate the structure curvature. In the case where four metal layers 28.1 to 28.4 are present, the reflector structure will be as shown in figure 4. In the CMOS technology, the average heights  $y_1$ ,  $y_2$ ,  $y_3$  and  $y_4$  of the metal layer 28.1 to 28.4 above the surface 16 are fixed by the processing sequence. For each value of emission angle  $\theta$  (see figure 3), the corresponding value of lateral dimension  $x_n$  can be calculated for the given  $y_n$ . The top metal layer 28.4 determines the maximum emission angle to be reflected, depending on the application, and from this value of  $x_n$  ( $n=4$  in the example of figure 4) the other lateral dimensions  $x_1$  to  $x_3$  can be determined.

Referring to figure 5, to increase the reflection area, and to prevent light from entering the oxide interfaces 30.1 to 30.4 between the metal layers 28.1 to 28.4, interconnect vias 40, which conventionally facilitate connections between adjacent metal layers, are used. In the structure 26 shown in figure 5, all layout rules are followed, that is, the metal layers 28.1 to 28.4 fully cover and fill the vias 40. In figure

5 an additional layer is used as reflective layer, namely a polysilicon layer 42. The metal layer 28.1 makes contact to the polysilicon layer 42 through a metal making contact 54.

5 To obtain an improved focussing action, the edges of the metal layers 28.1 to 28.4 adjacent the passage 24 and of the metal 40 filling the vias to clad the adjacent edges of isolation layers 30.1 to 30.4, may be given a slope.

10 In order to achieve a non-vertical slope of the reflecting surface, the CMOS layout rules may be violated. A rule to violate is the mask definition of the metal etch after via formation and metal deposition. Referring to figure 6(a), this may be done by causing the metal mask 50 not fully to cover the via definition 40, but only partially to cover  
15 the via in a region thereof away from the passage. This can be done, since no electrical function will be performed by the specific partially covered via, but only a mechanical/optical function.

Referring to figure 6(b), after the etching of the metal, the remaining  
20 metal will have a non-vertical slope 52 at an angle  $\varepsilon$  relative to the vertical or the axis 36 and will thus cause reflection of impinging light towards the vertical. It will be appreciated that the procedure

described and illustrated with reference to figures 6(a) and (b) could be used for all metal layers 28.1 to 28.4, as well as the metal making contact 54 to the polysilicon layer. The angle  $\varepsilon$  may decrease in a direction away from the surface 16.

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In figure 7 the structure 22 resulting from the procedure hereinbefore described is shown. It is expected that in this case, much of the available optical signal will be directed substantially towards the vertical, but perhaps not in a narrow beam.

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It will be appreciated that due to the relatively steep slope 52 of the metal edges, this structure may give better performance if the exit angle is small.

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In figure 8, another embodiment of the structure 22 is shown. The structure defines a passage 24 with a profile substantially in the form of a parabola P and the light source 12 is at a focal point. Setting the focal point at the origin of the Cartesian coordinate system (0; 0), renders for the parabola

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$$y = ax^2 - 1/4a.$$

Some standard semiconductor processing technologies dictate fixed metal and via heights and a constant angle for the slopes on the inside edges of the layers forming the sidewall 32, which leaves as only design freedom, the horizontal distance  $x$  from the axis 36 to the inside edge of the layers.

Figure 8 shows that the sloped inside edge of polysilicon layer 52, contact 54, metal layers 28.1 to 28.4 and via interconnect layers 40 can be aligned to the parabola  $P$ , so that they reflect rays from the light source 12 at the parabola's focus vertically upwards parallel to the parabola's axis of symmetry 36 passing through the focus.

Varying the parabola variable  $a$  and the distances  $x_p$ ,  $x_c$ ,  $x_{m1}$ ,  $x_{v1}$ ,  $x_{m2}$ ,  $x_{v2}$ ,  $x_{m3}$ ,  $x_{v3}$  and  $x_{m4}$  from the parabola's axis of symmetry 36, allows finding optimum distances such that a difference between the constant angle on the inside edge and a tangent of the parabola at a corresponding location on the parabola is minimized.

The above procedure is accomplishable while still keeping each metal edge further from the parabola's axis of symmetry 36 than the layer right underneath it (i.e.  $x_p < x_c < x_{m1} < x_{v1} < x_{m2} < x_{v2} < x_{m3} < x_{v3} < x_{m4}$ ).

The steeper the metal edges are, the larger the parabola variable  $a$ , and the narrower the parabola and resultantly exiting light beam will be.

5 In other embodiments, it may be possible to provide the inside edges of the layers with increasing slopes, in other words with decreasing angles  $\varepsilon$  (see figure 6(b)), so that the angles of the edges approach a tangent of the parabola at a corresponding location on the parabola. In such a case, the edges of the layers may be formed substantially to  
10 coincide with the tangent of the parabola at the relevant point.

The passage 24 may be filled with a translucent, preferably transparent material, such as silicon dioxide.

15 Figure 9 shows a prior art or conventional photodetector 60, collecting light being emitted from an optical fibre 64. A relatively large pn junction area 62 is needed to collect a majority of the optical signal. As stated in the introduction of this specification, it is known that the speed with which semiconductor pn junction diode optical detectors  
20 operate, is a function of the built-in junction capacitance. By reducing the size of the detecting pn junction 62, the built-in pn junction capacitance can be reduced, and the detecting diode device can



operate at a higher switching frequency. However, at the same time, the sensitive area of the detector is also reduced, resulting in a smaller optical signal being detected, which is not satisfactory.

5 Referring to figure 10, a light directing arrangement as hereinbefore described in the form of a collector 66 for impinging light is provided for the photodetector 70. Using the collector 66, the optical sensitive area 68 can still be fairly large, but the detector pn junction 62 can be made small. This means that substantially the same amount of optical  
10 energy can be detected at a larger operating frequency. More particularly, the integrated CMOS technology collector 66 concentrates substantially the same optical signal power onto a much smaller pn junction diode detector 62, resulting in a higher frequency of operation due to the smaller detector capacitance.

**CLAIMS**

1. An optoelectronic device comprising a body having a surface and a region of an indirect bandgap semiconductor material, a photon active region on one side of the surface, and a light directing arrangement adjacent an opposite side of the surface.  
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2. An optoelectronic device as claimed in claim 1 wherein the indirect bandgap semiconductor material is silicon, wherein the photon active region comprises a pn junction formed in the region of an indirect bandgap material and wherein the light directing arrangement circumscribes a light transmitting zone on the surface.  
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3. An optoelectronic device as claimed in claim 1 or claim 2 wherein the light directing arrangement is formed integrally on the surface.  
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4. An optoelectronic device as claimed in any one of claims 2 and 3 wherein the pn junction, in use, is a light emitting source for transmitting light through the light transmitting zone towards the light directing arrangement.  
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5. An optoelectronic device as claimed in any one of claims 2 and 3 wherein the pn junction, in use, is a photodetector for receiving light from the light directing arrangement through the light transmitting zone.

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6. An optoelectronic device as claimed in any one of claims 2 to 5 wherein the light directing arrangement comprises a structure of alternate layers of a light reflecting material and an insulating material forming a light reflecting sidewall defining a passage for light, which passage is in light communication relationship with the zone and wherein a transverse cross sectional area of the passage increases in a direction away from the zone.

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7. An optoelectronic device as claimed in claim 6 wherein the light reflecting material is selected from a group comprising aluminium, copper, gold and polysilicon.

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8. An optoelectronic device as claimed in any one of claims 6 and 7 wherein the sidewall comprises exposed edges of the layers of a light reflecting material linked by annular regions of a light reflecting material cladding adjacent edges of the insulating material.

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9. An optoelectronic device as claimed in claim 8 wherein at least some of the exposed edges and the annular regions slope with an acute angle relative to a main axis of the passage.

5 10. An optoelectronic device as claimed in claim 9 wherein the angle decreases in a direction away from the zone.

10 11. A method of forming a light directing arrangement for an optoelectronic device comprising a body having a surface and a region of an indirect bandgap semiconductor material and a photon active region on one side of the surface, the method comprising the steps of forming at least one layer of a light reflecting material on an opposite side of the surface, to circumscribe a light transmitting zone on the surface and to  
15 define a passage for light.

20 12. A method as claimed in claim 11 comprising the step of forming more than one superimposed layers of a light reflecting material to define the passage and spacing adjacent layers from one another by intermediate layers of an insulating material.

13. A method as claimed in claim 12 comprising the step of cladding edges of the intermediate layers adjacent the passage with a light reflecting material.

5 14. A method as claimed in claim 13 comprising the step of providing at least some of the clad edges and edges of the layers of a light reflecting material adjacent the passage with a slope at an acute angle relative to a main axis of the passage.

10 15. A method as claimed in claim 12 wherein the arrangement is formed by utilising conventional CMOS technology and depositing on the surface, a first of the layers of the light reflecting material, separating the first layer of a light reflecting material from a second of the layers of a light reflecting material  
15 by one of said intermediate layers, utilising a via definition to form a via between the first and second layers and to clad an edge of the intermediate layer adjacent the passage, and forming a slope for the via and edges of the layers of a light reflecting material respectively.

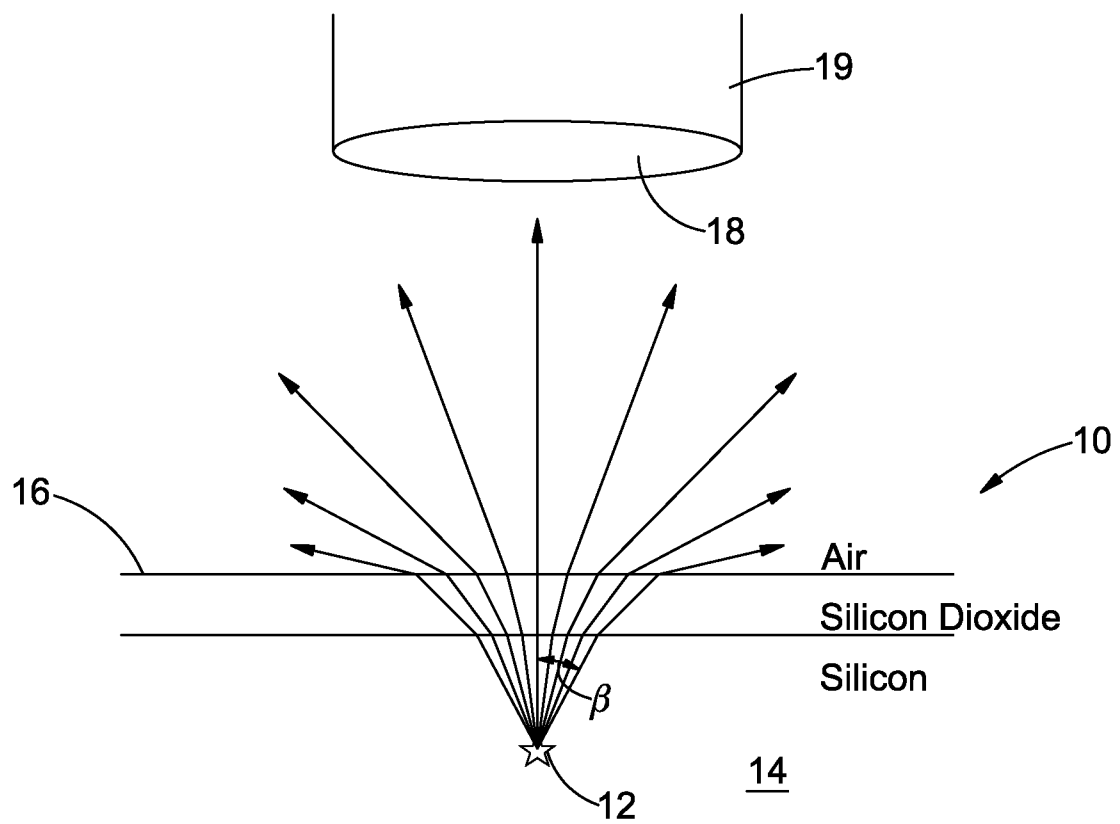
20

16. A method as claimed in claim 15 wherein the slope for the via and the slopes for the layers of a light reflecting material are

arranged to provide the passage with a profile in the form of a parabola, wherein an angle of the slope for the via and the slopes for the edges of the layers of a light reflecting material is constant, and wherein distances between a main axis of the passage and the slopes are selected such as to minimize a difference between said angle and a tangent of the parabola at a corresponding location on the parabola.

17. A method as claimed in claim 15 wherein the slope for the via and the slope of the layers of a light reflecting material are arranged to provide the passage with a profile in the form of a parabola, wherein an angle of the slope for the via and respective angles for the edges of the layers of a light reflecting material vary, so as to approach a tangent of the parabola at a corresponding location on the parabola.

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FIGURE 1 (PRIOR ART)

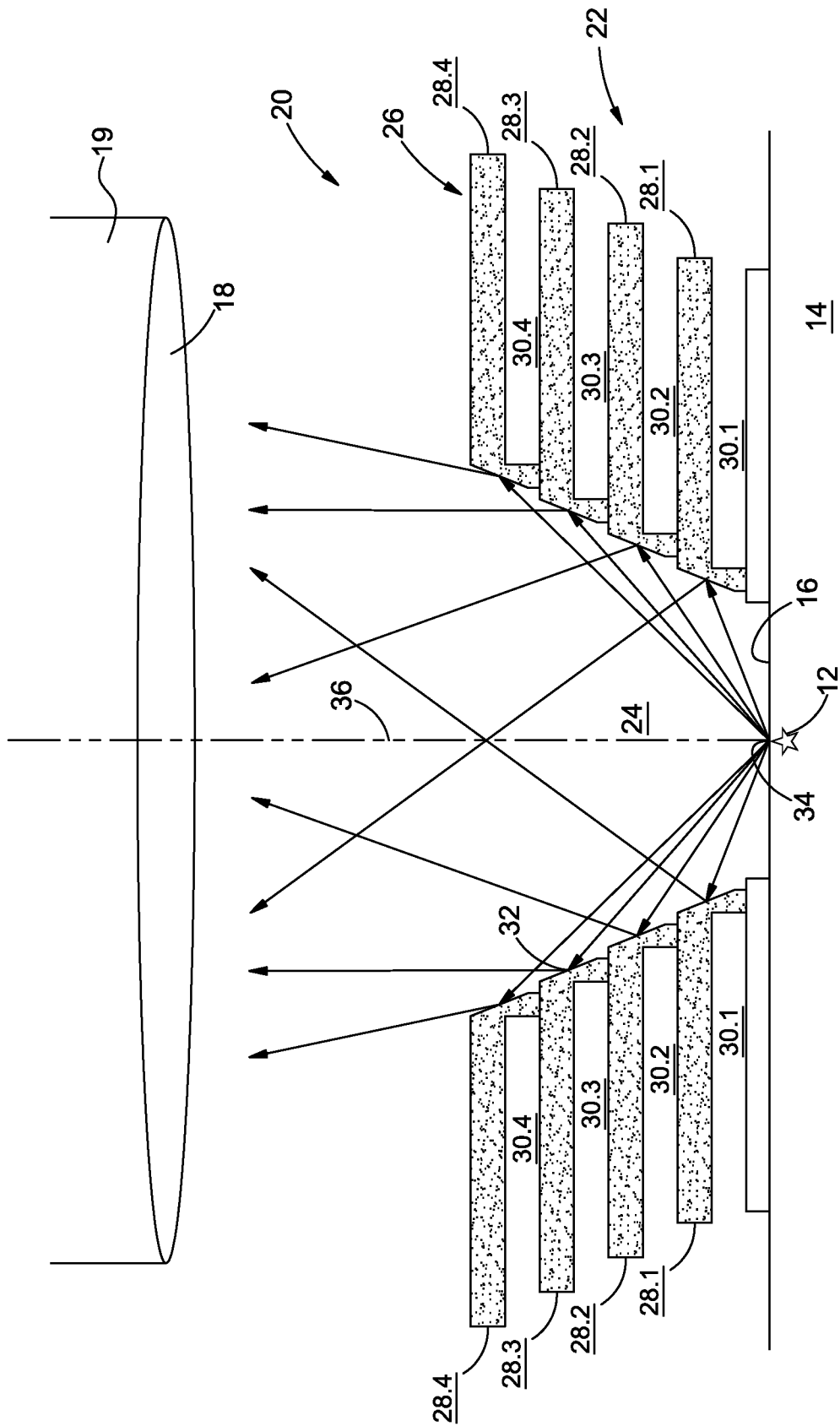


FIGURE 2



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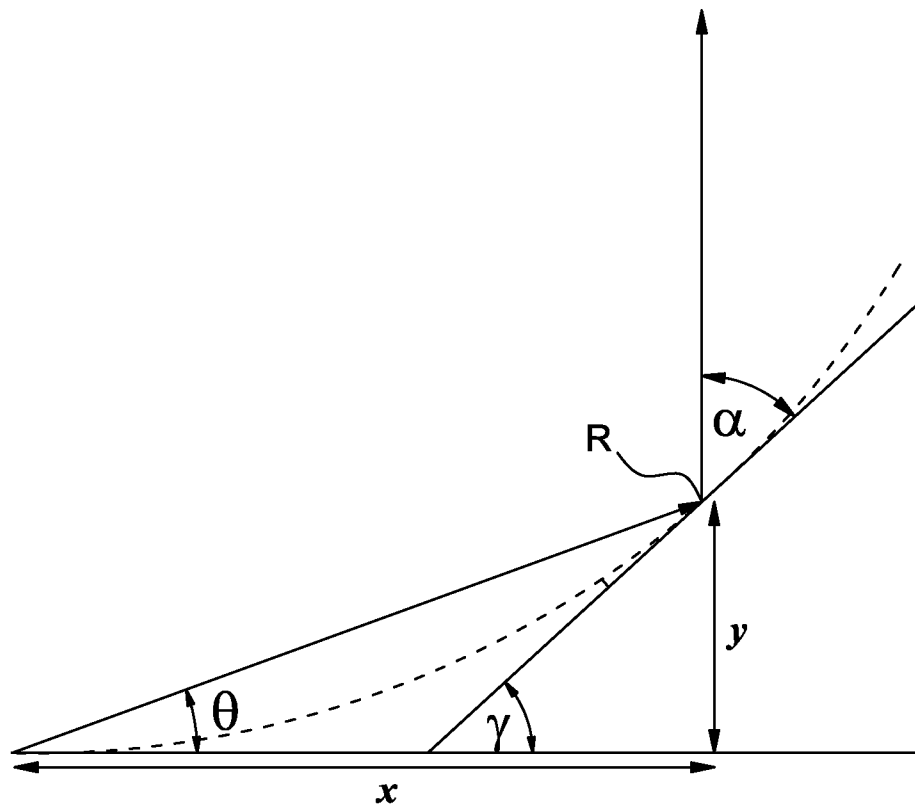


FIGURE 3

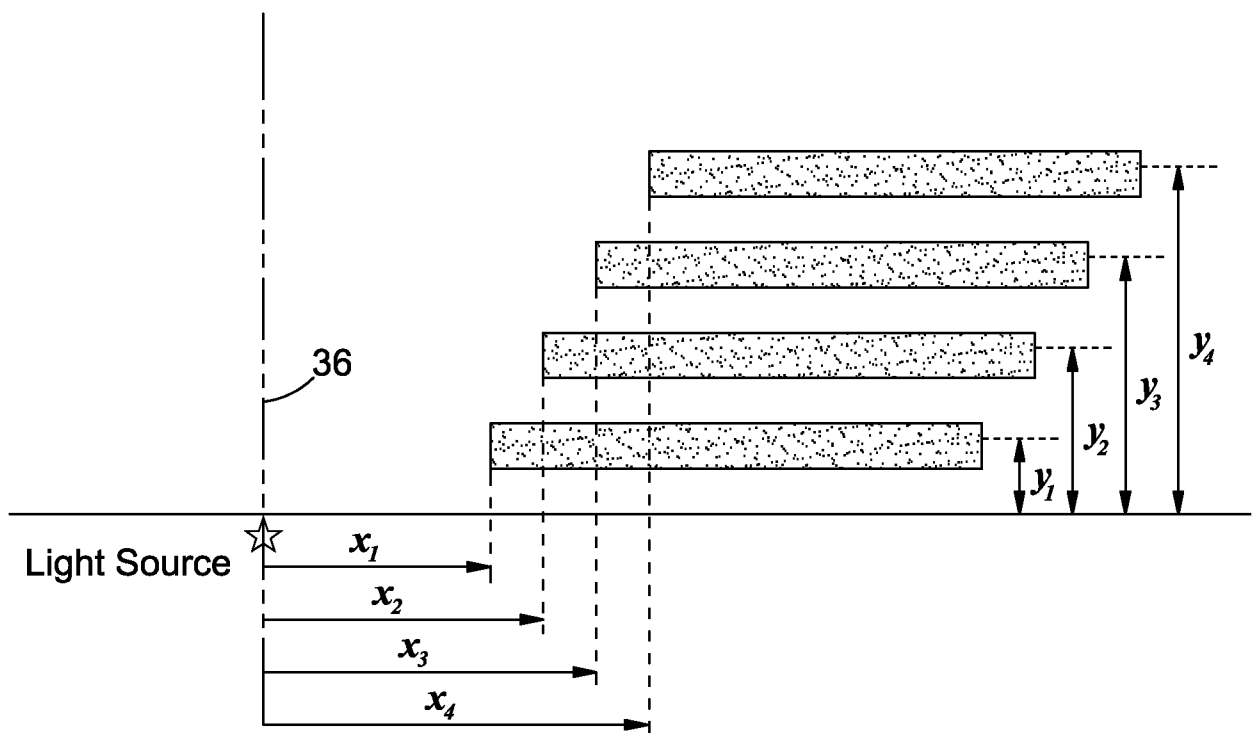
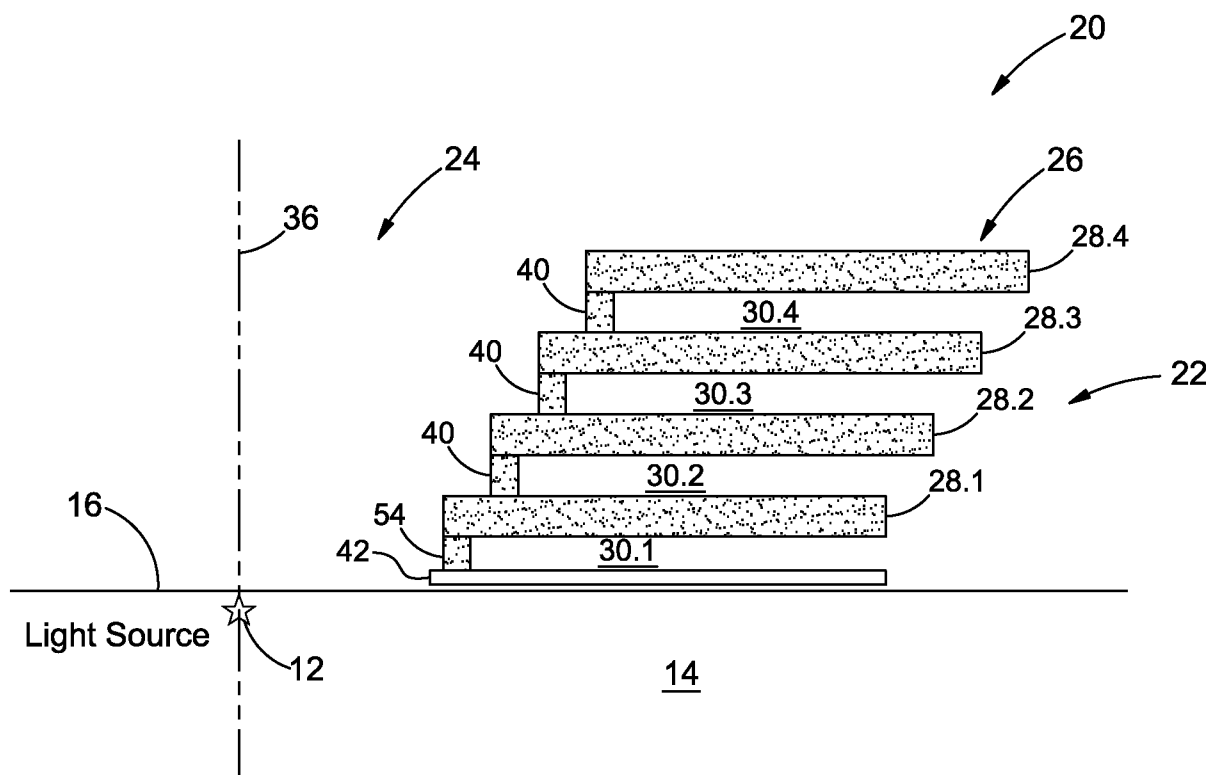


FIGURE 4

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FIGURE 5

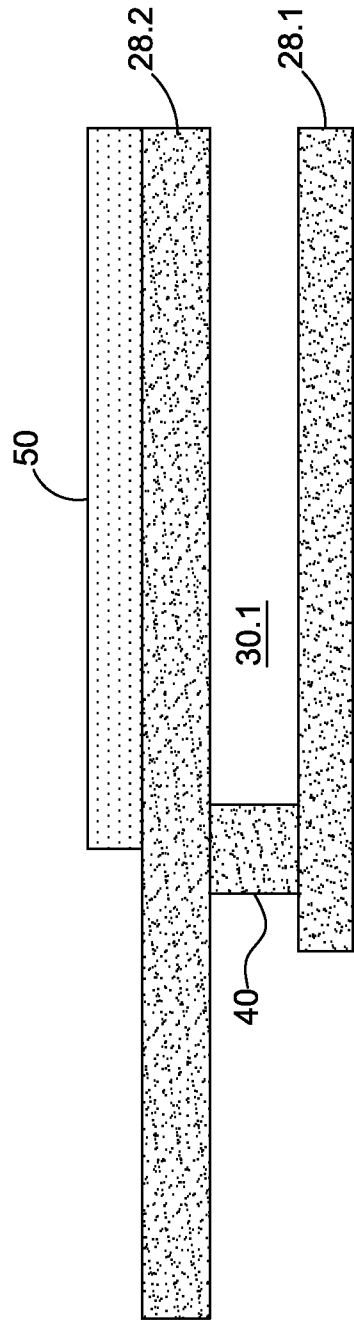


FIGURE 6(a)

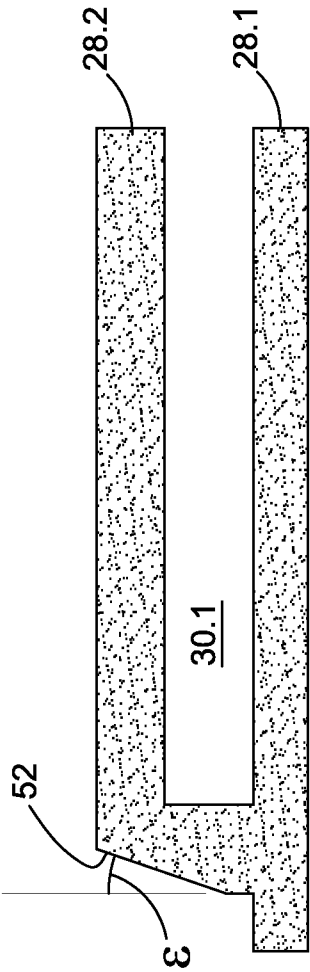
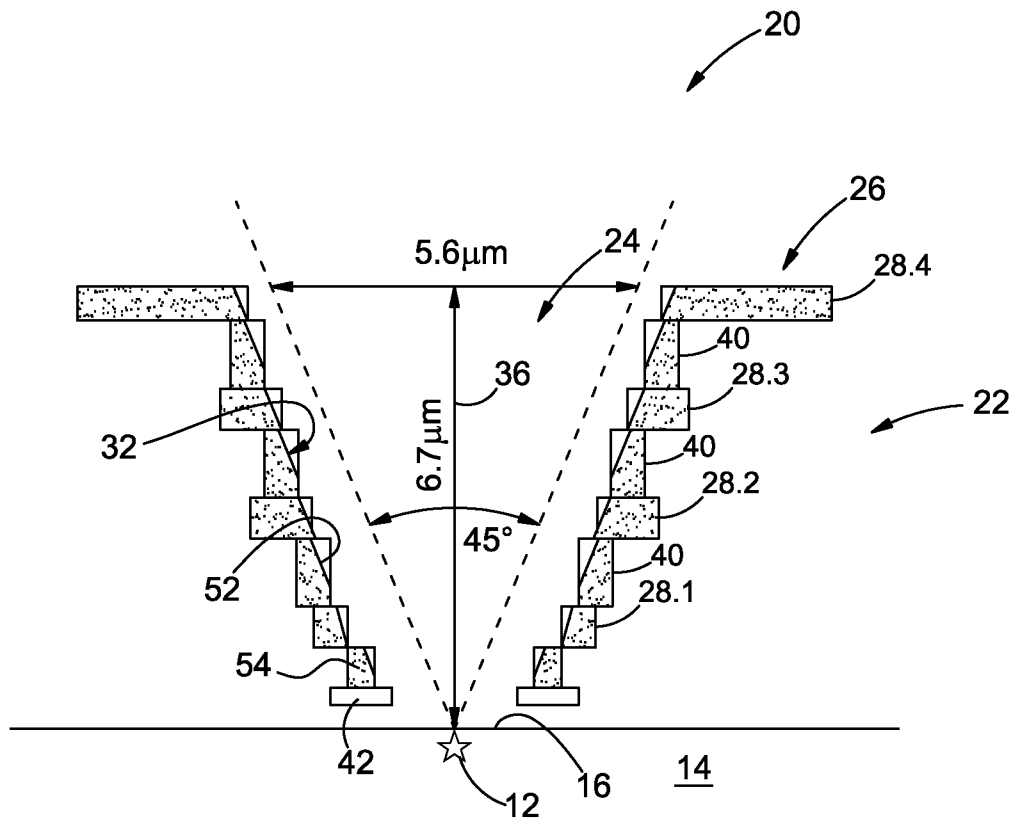
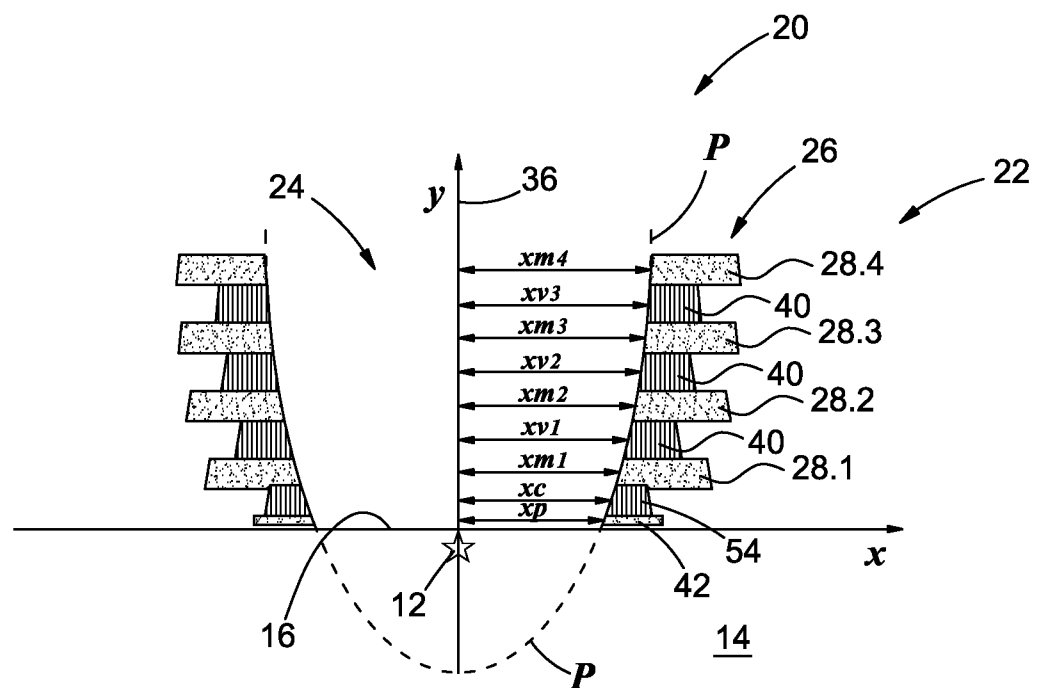


FIGURE 6(b)

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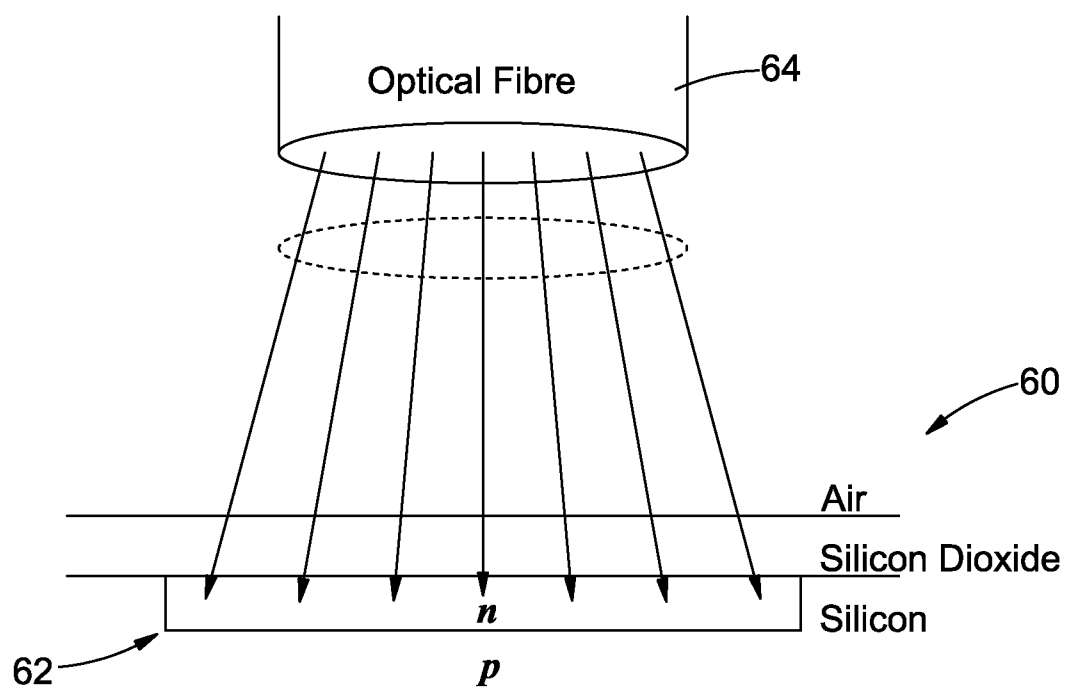


**FIGURE 7**



**FIGURE 8**

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FIGURE 9 (PRIOR ART)

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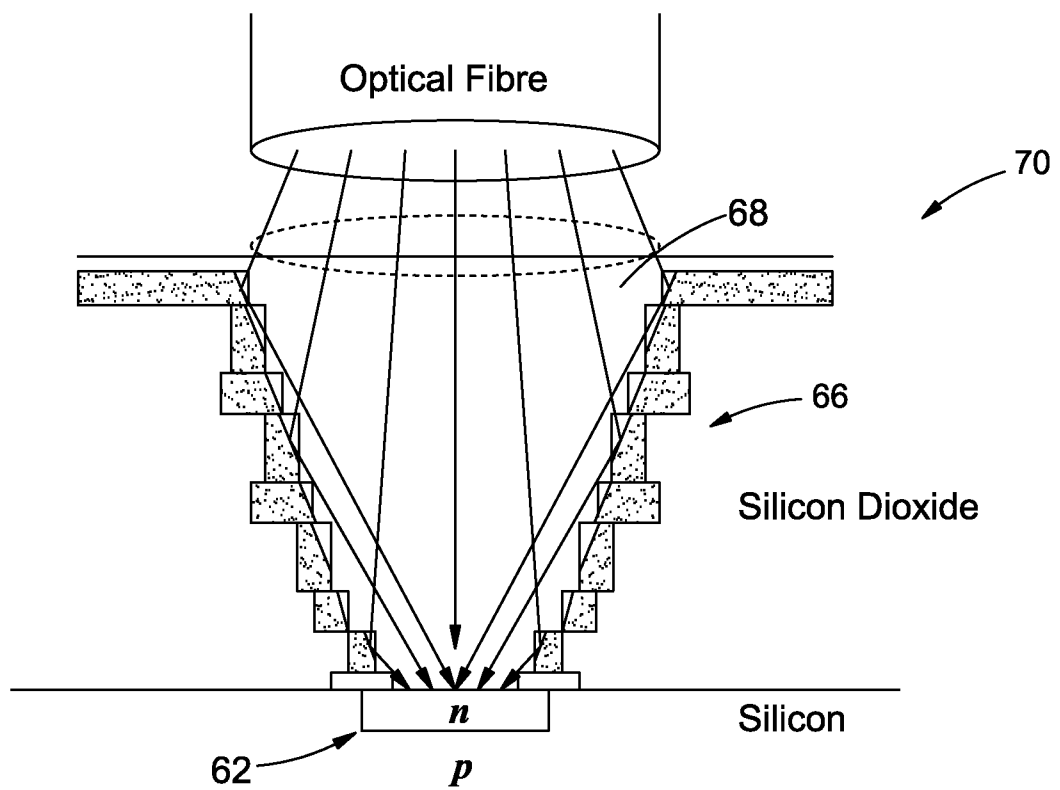


FIGURE 10