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#### (54) Title: AUTOLOGOUS CANCER CELL VACCINE

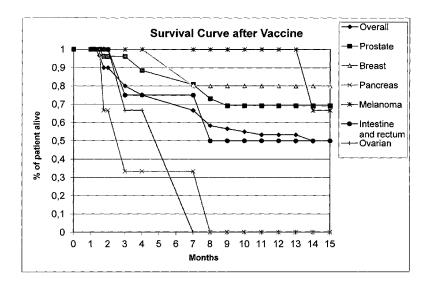


Figure 8

(57) Abstract: An autologous cancer cell vaccine comprises cancer cells that express both MHCI and MHCII on their cell surface. The MHCI presents a cancer antigen and the MHCII presents a non-self antigen. In accordance with an aspect, there is provided a method for making isolated immunogenic cancer cells, the method comprising: inducing expression of MHCII on cancer cells isolated from a subject; incubating the cancer cells with a non-self antigen so that the non-self antigen will be bound to expressed MH-CII; and killing the cancer cells.





# Autologous Cancer Cell Vaccine

### Field of the Invention

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The present invention relates to the treatment of cancer. More specifically, the present invention is, in aspects, concerned with isolated and altered immunogenic cancer cells, immunogenic compositions and vaccines comprising the immunogenic cancer cells, methods for the treatment of cancer, as well as methods for making the immunogenic cancer cells, immunogenic compositions, and vaccines.

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#### Background of the Invention

Major histocompatibility complex (MHC) is a cell surface molecule encoded by a large gene family in all vertebrates. The MHC gene family is divided into three classes: class I; class II; and class III.

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MHC class I molecules are found on every nucleated cell of the body. Their function is to present fragments of cytosolic proteins from within the cell to cytotoxic T cells. Cells presenting "self" peptides will be ignored, whereas cells presenting non-self peptides will be recognized and killed by the cytotoxic T cells.

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MHC class II molecules are found only on antigen presenting cells (APCs), including macrophages, dendritic cells, and B cells. MHC class II molecules present fragments of extracellular proteins to helper T cells. Cells presenting "self" peptides will be ignored, whereas cells presenting non-self peptides will be recognized by the helper T cells, which help to trigger an appropriate immune response, mainly via production of various cytokines, that may include localized inflammation and swelling due to recruitment of phagocytes or an antibody-mediated immune response due to activation of B cells.

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It is known from, for example, International Patent Application Publication No. WO 1995/13092, International Patent Application Publication No. WO 2001/77301 and Berger et al. (J. Pharm. Pharmaceut. Sci., 10(2):144-152, 2007) that interferon (IFN) can be used to increase expression of MHC class I on tumour cells, so that the cells may be used in a cancer vaccine. However, MHCII expression by tumour cells following treatment with IFN is highly variable, with some cells being responsive to IFN treatment and some being unresponsive to IFN treatment. Moreover, any tumour cells that express MHCII could only present self or cancer antigens in the context of MHCI and MHCII. Cancer antigens are not consistently immunogenic, as many are recognized as self antigens. This is particularly true as the cancer develops and becomes more aggressive. The lack of a highly immunogenic antigen being presented in the context of MHCII means that T helper cells will not be activated and a strong and robust immune response cannot be consistently produced by the

Accordingly, there is a need for alternative therapies to overcome or mitigate at least some of the deficiencies of the prior art.

### Summary of the Invention

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The present invention relates, in aspects, to isolated immunogenic cancer cells, immunogenic compositions and cancer vaccines using the immunogenic cancer cells, methods of making such immunogenic cancer cells, immunogenic compositions, and cancer vaccines, and methods for the treatment of cancer. The compositions and methods of the invention involve the use of isolated cancer cells that have been modified to express MHCII on their surface. Once the cancer cells have been modified to express MHCII, they are rendered immunogenic by incubating the MHCII-expressing cells with a non-self antigen. Upon injection into the subject from whom they were isolated, cancer cells modified in this way will present a cancer antigen in the context of MHCI to CD8\* cytotoxic T cells and will present the non-self antigen to CD4\* helper T cells in the context of MHCII. Thus, the modified cancer cells are bifunctional, being capable of activating both helper T cells and cytotoxic T cells, leading to cytokine production and a surprisingly robust and cancer-specific immune response.

Immunogenic cancer cells produced in this way may be incorporated into immunogenic compositions and vaccines. Such compositions and vaccines are useful in methods of treating cancer in an autologous subject.

In accordance with an aspect, there is provided a method for making isolated immunogenic cancer cells, the method comprising:

- inducing expression of MHCII on cancer cells isolated from a subject;
- incubating the cancer cells with a non-self antigen so that the non-self antigen will be bound to expressed MHCII; and
  - killing the cancer cells.

In an aspect, the method further comprises identifying MHCII-positive cells after MHCII induction. In another aspect, the method further comprises separating the MHCII-positive cancer cells from MHCII-negative cancer cells to obtain a purified composition containing the MHCII-positive cells.

In another aspect, the method further comprises isolating the cancer cells from a subject. In an aspect, said cells are isolated during a biopsy procedure or during surgical removal of a tumour.

In another aspect, the method further comprises cryo-preserving the cancer cells.

In an aspect, the cells are killed by lethal irradiation, freezing and thawing in the absence of a cryo-preservation agent, or treatment with a cytotoxic compound, such as by lethal irradiation.

In another aspect, the MHCII is induced on the cancer cells using an MHCII-inducing agent. In an aspect, the MHCII-inducing agent is a cytokine, such as IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-4, IL-13, IL-23, TNF- $\alpha$ , or a combination thereof, such as IFN- $\gamma$ . In another aspect, the MHCII-inducing agent is an MHCII expression construct or an MHCII-expressing cell that will fuse with the cancer cells.

In another aspect, the non-self antigen is a non-human antigen.

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In another aspect, the non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH, or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA. In another aspect, said inducing step is in a medium free of BSA.

In accordance with another aspect, there is provided isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

In an aspect, the non-self antigen is a non-human antigen.

In another aspect, said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as non-self antigen is ovalbumin or KLH, or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA.

In accordance with another aspect, there is provided an immunogenic composition comprising isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

In an aspect, the composition further comprises at least one excipient, carrier, buffer, stabilizer, or a combination thereof.

In another aspect, the non-self antigen is a non-human antigen.

In another aspect, said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA.

In another aspect, the composition comprises from about 5% to about 100% MHCII-positive cancer cells, based on the total number of cells in the composition, such as at least about 50% MHCII-positive cancer cells, at least about 90% MHCII-positive cancer cells, or at least about 99% MHCII-positive cancer cells.

In accordance with another aspect, there is provided an autologous cancer vaccine comprising isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

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In an aspect, the vaccine further comprises at least one adjuvant, such as monophosphoryl Lipid A/synthetic trehalose dicorynomycolate (MPL-TDM), AS021/AS02, nonionic block co-polymer adjuvants, CRL 1005, aluminum phosphates, AIPO<sub>4</sub>), R-848, imiquimod, PAM3CYS, poly (I:C), loxoribine, bacille Calmette-Guerin (BCG), Corynebacterium parvum, CpG oligodeoxynucleotides (ODN), cholera toxin derived antigens, CTA 1-DD, lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels, aluminum hydroxide, surface active substances, lysolecithin, pluronic polyols, polyanions, peptides, oil or hydrocarbon emulsions in water, MF59, Montanide ISA 720, keyhole limpet hemocyanins (KLH), dinitrophenol, and combinations thereof, such as BCG.

In another aspect, the vaccine further comprises at least one excipient, carrier, buffer, stabilizer, or a combination thereof.

In an aspect, the non-self antigen is a non-human antigen.

In another aspect, said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH, or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA.

In another aspect, the vaccine comprises from about 5% to about 100% MHCII-positive cancer cells, based on the total number of cells in the vaccine, such as at least about 50% MHCII-positive cancer cells, at least about 90% MHCII-positive cancer cells, or at least about 99% MHCII-positive cancer cells.

In another aspect, the vaccine is provided in divided doses for multiple inoculations, such as seven divided doses.

In an aspect, each dose comprises from about  $1 \times 10^4$  to about  $1 \times 10^9$  cancer cells, such as about  $1 \times 10^7$  cancer cells.

In accordance with another aspect, there is provided a method for treating cancer in a subject, the method comprising administering isolated immunogenic cancer cells to the

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subject, wherein the cells are autologous to the subject and express both MHCI and MHCII on their cell surface, and wherein a cancer antigen is bound to said MHCl and a non-self antigen is bound to said MHCII.

In an aspect, the cells are formulated as an immunogenic composition. In another aspect, the cells are formulated as a cancer vaccine.

In another aspect, the non-self antigen is a non-human antigen.

In another aspect, said non-self antigen is selected from thyroglobulin, βgalactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH, or such as BSA.

In an aspect, the non-self antigen is not a bovine antigen, such as BSA.

In another aspect, from about 5% to about 100% of the cancer cells administered are MHCII-positive cancer cells, based on the total number of cells administered, such as at least about 50% of the cancer cells administered are MHCII-positive cancer cells, at least about 90% of the cancer cells administered are MHCII-positive cancer cells, or at least about 99% of the cancer cells administered are MHCII-positive cancer cells.

In an aspect, the cells are administered concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.

In another aspect, the cells are administered before or after surgical tumour resection.

In another aspect, the cells are administered in multiple doses.

In an aspect, the cells are administered weekly for a pre-determined number of weeks. In another aspect, the cells are administered as an ongoing maintenance therapy.

In an aspect, the cells are administered weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.

In another aspect, the cells are administered when a sign of cancer relapse is observed.

In accordance with another aspect, there is provided a use of isolated immunogenic cancer cells autologous to a subject for treating cancer in the subject, wherein the cells express both MHCI and MHCII on their cell surface, and wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

In an aspect, the cells are formulated as an immunogenic composition or as a cancer vaccine.

In another aspect, the non-self antigen is a non-human antigen.

In another aspect, said non-self antigen is selected from thyroglobulin, βgalactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum

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removal of a tumour.

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albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins. or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH, or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA.

In another aspect, the use comprises use of from about 5% to about 100% of MHCIIpositive cancer cells, based on the total number of cells, such as at least about 50% MHCIIpositive cancer cells, at least about 90% MHCII-positive cancer cells, or at least about 99% MHCII-positive cancer cells.

In an aspect, the cells are for use concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.

In another aspect, the cells are for use before or after surgical tumour resection. In another aspect, the cells are for use in multiple doses.

In an aspect, the cells are for use weekly for a pre-determined number of weeks or for use as an ongoing maintenance therapy.

In another aspect, the cells are for use weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.

In another aspect, the cells are for use when a sign of cancer relapse is observed.

In accordance with another aspect, there is provided a method for determining whether a patient is a candidate for therapy with isolated immunogenic cancer cells, the method comprising:

- treating isolated cancer cells from a subject with an MHCII-inducing agent; and
- screening the cancer cells to determine the presence of expressed MHCII;
- wherein the presence of expressed MHCII on the cancer cells indicates that the patient is a candidate for the therapy.

In an aspect, the method further comprises isolating the cancer cells from a subject. In another aspect, said cells are isolated during a biopsy procedure or during surgical

In another aspect, the MHCII-inducing agent is a cytokine, such as IFN-α, IFN-β,

IFN-y, IL-4, IL-13, IL-23, TNF-α, or a combination thereof, such as IFN-y.

In another aspect, the MHCII-inducing agent is an MHCII expression construct or an MHCII-expressing cell that will fuse with the cancer cells.

In accordance with another aspect, there is provided a cancer vaccine for immunizing a subject with cancer, the cancer vaccine comprising isolated immunogenic cancer cells autologous to the subject, wherein the cancer cells have been modified by treatment with an MHCII-inducing agent followed by incubation with a non-self antigen to thereby present the non-self antigen in the context of MHCII on their cell surface, and

wherein the cancer vaccine has been purified so as to comprise an increased concentration of MHCII-expressing cells.

In accordance with another aspect, there is provided a method for making an immunogenic extract, the method comprising:

- inducing expression of MHCII on cancer cells isolated from a subject;

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- incubating the cancer cells with a non-self antigen so that the non-self antigen will be bound to expressed MHCII; and
  - extracting the MHCII having bound non-self antigen from the cancer cells.

In an aspect, the immunogenic extract is a membrane fraction. In another aspect, the immunogenic extract comprises purified MHCII.

In another aspect, the method further comprises identifying MHCII-positive cells after MHCII induction.

In another aspect, the method further comprises separating the MHCII-positive cancer cells from MHCII-negative cancer cells to obtain a purified composition containing the MHCII-positive cells.

In another aspect, the method further comprises isolating the cancer cells from a subject. In an aspect, said cells are isolated during a biopsy procedure or during surgical removal of a tumour.

In another aspect, the method further comprises cryo-preserving the cancer cells.

In another aspect, the cells are killed by lethal irradiation, freezing and thawing in the absence of a cryo-preservation agent, or treatment with a cytotoxic compound, such as by lethal irradiation.

In another aspect, the MHCII is induced on the cancer cells using an MHCII-inducing agent, such as a cytokine, such as IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-4, IL-13, IL-23, TNF- $\alpha$ , or a combination thereof, such as IFN- $\gamma$ .

In another aspect, the MHCII-inducing agent is an MHCII expression construct or an MHCII-expressing cell that will fuse with the cancer cells.

In another aspect, the non-self antigen is a non-human antigen.

In an aspect, said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof, such as ovalbumin or KLH, or such as BSA.

In another aspect, said non-self antigen is not a bovine antigen, such as BSA. In another aspect, said inducing step is in a medium free of BSA.

In accordance with another aspect, there is provided an immunogenic extract of the cells of described herein, wherein the extract comprises MHCII having bound non-self antigen from the cells.

In an aspect, the extract is a membrane fraction. In another aspect, the extract comprises purified MHCII.

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In accordance with another aspect, there is provided an immunogenic composition comprising the extract described herein.

In an aspect, the composition further comprises at least one excipient, carrier, buffer, stabilizer, or a combination thereof.

In accordance with another aspect, there is provided an autologous cancer vaccine comprising the extract described herein.

In an aspect, the vaccine further comprises at least one adjuvant, such as monophosphoryl Lipid A/synthetic trehalose dicorynomycolate (MPL-TDM), AS021/AS02, nonionic block co-polymer adjuvants, CRL 1005, aluminum phosphates, AIPO<sub>4</sub>), R-848, imiquimod, PAM3CYS, poly (I:C), loxoribine, bacille Calmette-Guerin (BCG), Corynebacterium parvum, CpG oligodeoxynucleotides (ODN), cholera toxin derived antigens, CTA 1-DD, lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels, aluminum hydroxide, surface active substances, lysolecithin, pluronic polyols, polyanions, peptides, oil or hydrocarbon emulsions in water, MF59, Montanide ISA 720, keyhole limpet hemocyanins (KLH), dinitrophenol, and combinations thereof, such as BCG.

In another aspect, the vaccine further comprises at least one excipient, carrier, buffer, stabilizer, or a combination thereof.

In accordance with another aspect, there is provided a method for treating cancer in a subject, the method comprising administering the extract described herein to the subject, wherein the cells are autologous to the subject.

In an aspect, the extract is formulated as an immunogenic composition. In another aspect, the extract is formulated as a cancer vaccine.

In another aspect, the extract is administered concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.

In another aspect, the extract is administered before or after surgical tumour resection.

In another aspect, the extract is administered in multiple doses.

In another aspect, the extract is administered weekly for a pre-determined number of weeks.

In another aspect, the extract is administered as an ongoing maintenance therapy.

In another aspect, the extract is administered weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.

In another aspect, the extract is administered when a sign of cancer relapse is observed.

In accordance with another aspect, there is provided a use of the extract described herein for treating cancer in a subject, wherein the cells are autologous to the subject.

In an aspect, the extract is formulated as an immunogenic composition. In another aspect, the extract is formulated as a cancer vaccine.

In another aspect, the extract is for use concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.

In another aspect, the extract is for use before or after surgical tumour resection.

In another aspect, the extract is for use in multiple doses.

In another aspect, the extract is for use weekly for a pre-determined number of weeks.

In another aspect, the extract is for use as an ongoing maintenance therapy.

In another aspect, the extract is for use weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.

In another aspect, the extract is for use when a sign of cancer relapse is observed.

Other features and advantages of the present invention will become apparent from the following detailed description. It should be understood, however, that the detailed description and the specific examples while indicating embodiments of the invention are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from said detailed description.

#### Description of the Figures

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The present invention will be further understood from the following description with reference to the Figures, in which:

Figure 1 shows the percentage of MHCII positive cancer cells from various cells lines after a 72 hour incubation period with different amounts of IFN-γ;

Figure 2 shows the level of MHCII expression on MHCII positive cancer cells from various cell lines after a 72 hour incubation period with different amounts of IFN-y;

Figure 3 shows the 2-dimensional average tumour size and standard deviation of tumours in control mice or mice immunized with the autologous cancer cell vaccine 30 days after challenge with viable cancer cells;

Figure 4 shows the incidence of detectable tumours in control mice or mice immunized with the autologous cancer cell vaccine 30 days after challenge with viable cancer cells:

Figure 5 shows the T cell proliferative responses in T cells obtained from immunized or naïve mice after incubation with tumour cells;

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Figure 6 shows MHCII presenting BSA-biotin peptides extracted from cells treated with IFN-y;

Figure 7 shows the incidence of detectable tumours in control mice or mice immunized with the autologous cancer cell vaccine after challenge with viable cancer cells;

Figure 8 shows survival curves of human subjects receiving the autologous cancer cell vaccine:

Figure 9 shows prostate specific antigen (PSA) measurements of Patient 1, receiving the autologous cancer cell vaccine following surgical resection of a prostate tumour and concurrently with hormone blockade therapy;

Figure 10 shows PSA measurements of Patient 2, receiving the autologous cancer cell vaccine following surgical resection of a prostate tumour and concurrently with hormone blockade therapy;

Figure 11 shows PSA measurements of Patient 3, receiving the autologous cancer cell vaccine following surgical resection of a prostate tumour and concurrently with hormone blockade therapy;

Figure 12 shows PSA measurements of Patient 4, receiving the autologous cancer cell vaccine following surgical resection of a prostate tumour and concurrently with hormone blockade therapy;

Figure 13 shows PSA measurements of Patient 5, receiving the autologous cancer cell vaccine following surgical resection of a prostate tumour and concurrently with hormone blockade therapy; and

Figure 14 shows clinical results from Phase I/II clinical trials in patients treated with the autologous cancer cell vaccine or control. Figure 14A shows mortality by prostate cancer; Figure 14B shows average serum PSA levels; and Figure 14C shows the presentation of undetectable levels of PSA (less than 0.04 ng/ml) after 7 years' follow up.

### Detailed Description of the Invention

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In an aspect, the present invention is directed to isolated immunogenic cancer cells, immunogenic compositions and vaccines comprising the immunogenic cancer cells, methods of making such immunogenic compositions and vaccines, and methods for the treatment of cancer. The isolated immunogenic cancer cells are altered or modified so that they present a non-self antigen in the context of MHCII on their surface, so that the MHCII

will present the non-self antigen to helper T cells, leading to cytokine production. The isolated immunogenic cancer cells also express MHCI on their cell surface, which presents cancer antigens to cytotoxic T cells. Unexpectedly, a single modified cancer cell can thereby activate both types of T cells in an autologous subject, leading to a strong and specific anticancer immune response.

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Cancers arise from multiple mutations that lead to several malignant characteristics, such as uncontrolled proliferation, loss of apoptotic mechanisms, and ability of the cancer cells to invade host tissues and metastasize to distant sites. Additionally, although cancer cells frequently express tumour-specific antigens in the context of MHCI on their cell surface that are recognized as non-self by CD8<sup>+</sup> cytotoxic T cells, the cancer cells often develop the ability to evade the host immune system. Different mechanisms are present by which cancer cells can evade the immune system, such as:

- a) MHCI may be down-regulated on cancer cells, such that the cancer cells cannot be recognized by cytotoxic T cells.
- b) The cancer cells may lose expression of the tumour-specific antigens that were recognized as non-self and capable of eliciting an immune response.
- c) The cancer cells may fail to induce cytotoxic T cells because they do not express costimulators or MHCII molecules.
- d) The cancer cells may produce molecules that suppress an anti-cancer immune response.
- e) Certain tumour antigens may induce a specific immune tolerance to the cancer cells.

Methods of enhancing or reinstating an anti-cancer immune response have been the subject of much research in the field of cancer immunology.

MHCII molecules are needed for the activation of CD4<sup>+</sup> helper T cells, which stimulate de-differentiation of cytotoxic T cells. Therefore, the induction of cancer-specific T cell responses often requires cross-priming by professional APCs, which express costimulators and MHCII molecules. If such APCs do not adequately take up and present tumour-specific antigens and activate helper T cells, cytotoxic T cells specific for the cancer cells may not develop.

Evidence suggests that antigen-induced T cell proliferation is regulated primarily by the action of interleukin-2 (IL-2) on its specific cell surface receptor. Once helper T cells are stimulated to secrete IL-2, the soluble IL-2 can interact with the cell from which it was produced in an autocrine fashion, or it can interact with other cells that express IL-2 receptors in a paracrine fashion. Accordingly, antigen-activated helper T cells that produce IL-2 can promote their own clonal expansion, promote the expansion of cytotoxic T cells, promote the production of memory T cells, and promote the production of B cells and natural

killer (NK) cells. In naïve cytotoxic T cells, the amount of IL-2 produced is typically at least 10 fold less than that produced by helper T cells, and this amount is usually insufficient to sustain an immune response, which is why costimulation by helper T cells is important.

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Thus, in order to fully activate the immune system in response to cancer, a four cell interaction model is generally required: 1) the cancer cell, presenting a tumour-specific antigen in the context of MHCI, wherein the antigen is recognized as non-self; 2) an APC, presenting a tumour-specific antigen in the context of MHCII, wherein the antigen is recognized as non-self; 3) a cytotoxic T cell to interact with the MHCII-antigen complex; and 4) a helper T cell to interact with the MHCII-antigen complex. In this model, the recognition of the MHCII-antigen complex by a cytotoxic T cell activates the cytotoxic T cell to a state whereby it requires IL-2 for expansion and prevention of tolerance induction. IL-2 is efficiently provided by activated helper T cells in close proximity to the cytotoxic T cells. Tumour cells often evade the immune system due to a deficiency in this four cell interaction model, typically in that there are insufficient APCs in close proximity to the tumour cells that would present a non-self antigen in the context of MHCII to helper T cells. Thus, helper T cells are not activated and IL-2 is not produced.

In aspects of the present invention, the four cell model is replaced by a three cell model, whereby the cancer cells are themselves modified to present a non-self peptide in the context of MHCII to helper T cells and therefore effectively replace the function of APCs. The modified cancer cells are considered bifunctional, because they will also present a tumour-specific antigen in the context of MHCI to cytotoxic T cells.

In aspects of the present invention, cancer cells are isolated from a subject with cancer and cultured. The cells are modified so as to express MHCII at their cell surface, such as through treatment with an MHCII-inducing agent, such as, for example, IFN-γ. The cells that have been identified as being MHCII-positive are then incubated with an immunogenic non-self antigen, such as, for example, a bovine peptide. During incubation, the non-self antigen will be pinocytosed by the cancer cells, processed, and finally presented on the cell surface in the context of MHCII. The modified cancer cells are then prepared for injection back into an autologous subject, meaning the subject from whom they were originally isolated.

Such modified cells are immunogenic and will produce a strong helper T cell response against the non-self peptide, leading to IL-2 production by the helper T cells. Meanwhile, cytotoxic T cells that have recognized the tumour antigen presented on the cancer cells in the context of MHCI will be stimulated by the IL-2, as they will be in close proximity to the helper T cells, since both MHCI and MHCII are on the surface of the same cancer cells. This will lead to a specific immune response against the tumour antigen produced by the cancer cells. As numerous different tumour antigens are typically presented

by MHCI on the surface of the cancer cells, the immune response generated by the modified cancer cells is often polyclonal in nature.

#### Definitions:

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The terms "isolate", "isolated", and "isolating" as used herein refer to removing cancer cells from the body of a patient afflicted with cancer. The cells may be isolated during a standard biopsy procedure or during surgical removal of the cancer, for example. Unless otherwise specified, these terms do not mean that the cancer cells are purified or free from other cell types.

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As used herein, and as well understood in the art, "treatment" is an approach for obtaining beneficial or desired results, including clinical results. Beneficial or desired clinical results can include, but are not limited to, alleviation or amelioration of one or more symptoms or conditions, diminishment of extent of disease, stabilized (i.e. not worsening) state of disease, preventing spread of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, and remission (whether partial or total), whether detectable or undetectable. "Treatment" can also mean prolonging survival as compared to expected survival if not receiving treatment.

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amount" mean a quantity sufficient, when administered to a subject, including a mammal, for example a human, to achieve a desired result, for example an amount effective to treat cancer. Effective amounts of therapeutic agents may vary according to factors such as the disease state, age, sex, and weight of the subject. Dosage or treatment regimes may be adjusted to provide the optimum therapeutic response, as is understood by a skilled person.

The terms "therapeutically effective amount", "effective amount" or "sufficient

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Moreover, a treatment regime of a subject with a therapeutically effective amount may consist of a single administration, or alternatively comprise a series of applications. The length of the treatment period depends on a variety of factors, such as the severity of the disease, the age of the subject, the concentration of the cancer vaccine, the responsiveness of the patient to the cancer vaccine, or a combination thereof. It will also be appreciated that the effective dosage of the cancer vaccine used for the treatment may increase or decrease over the course of a particular treatment regime. Changes in dosage may result and become apparent by standard diagnostic assays known in the art. The cancer vaccine of the present invention may, in aspects, be administered before, during or after treatment with conventional anti-cancer agents, radiotherapy, hormone therapy, biotherapy, and/or surgical tumour resection.

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The term "subject" as used herein refers to any member of the animal kingdom, preferably a mammal. In one embodiment, the mammal is a dog, a cat, a hamster, a mouse,

a rat, a pig, a horse, cattle or a human being. In another embodiment, the mammal is a human being.

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The term "autologous" refers to cells obtained from a subject and used to treat that same subject.

The term "self antigen" or "self peptide" refers to an antigen within the body of a subject that is derived from that specific subject and is usually tolerated by the immune system. The term "non-self antigen" or "non-self peptide" refers to an antigen within the body of a subject that is not derived from that specific subject and is usually identified and attacked by the immune system. A "cancer antigen" or "cancer peptide" refers to an antigen within the body of a subject that is derived from a cancer within the subject. As is understood in the art, cancer antigens are sometimes tolerated by the immune system and are sometimes identified and therefore attacked by the immune system. It will be understood that each cancer cell will present many different cancer antigens in the context of MHCI on its cell surface at any given time, some of which may be tolerated by the immune system.

The cancer cells of the invention may be referred to as "bifunctional", because they express both MHCI and MHCII and are capable of activating both helper T cells and cytotoxic T cells, as will be described. These bifunctional tumour cells, expressing MHCI and MHCII, can also be described as tumour presenting cells (TPCs).

The term "adjuvant" refers to a compound or mixture that is present in a vaccine and enhances the immune response to an antigen present in the vaccine. For example, an adjuvant may enhance the immune response to a polypeptide present in an autologous cancer cell vaccine as contemplated herein, or to an immunogenic fragment or variant thereof as contemplated herein. An adjuvant can serve as a tissue depot that slowly releases the antigen and also as a lymphoid system activator that non-specifically enhances the immune response. Examples of adjuvants which may be employed include MPL-TDM adjuvant (monophosphoryl Lipid A/synthetic trehalose dicorynomycolate, e.g., available from GSK Biologics). Another suitable adjuvant is the immunostimulatory adjuvant AS021/AS02 (GSK). These immunostimulatory adjuvants are formulated to give a strong T cell response and include QS-21, a saponin from Quillay saponaria, the TL4 ligand, a monophosphoryl lipid A, together in a lipid or liposomal carrier. Other adjuvants include, but are not limited to, nonionic block co-polymer adjuvants (e.g., CRL 1005), aluminum phosphates (e.g., AIPO.sub.4), R-848 (a Th1-like adjuvant), imiquimod, PAM3CYS, poly (I:C), loxoribine, BCG (bacille Calmette-Guerin) and Corynebacterium parvum, CpG oligodeoxynucleotides (ODN), cholera toxin derived antigens (e.g., CTA 1-DD), lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels such as aluminum hydroxide, surface active substances such as lysolecithin, pluronic polyols, polyanions,

peptides, oil or hydrocarbon emulsions in water (e.g., MF59 available from Novartis Vaccines or Montanide ISA 720), keyhole limpet hemocyanins, and dinitrophenol.

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The term "purified" is used herein to encompass compositions that are obtained from a starting material by one or more purification steps that enhance the concentration of the active agent relative to the starting material. For example, in aspects of the present invention, IFN-γ-treated cells obtained from a biopsy or surgical tumour sample may be purified by cell-sorting techniques to provide an increased concentration of MHCII positive cells. The purified composition may contain, for example, from about 5% to about 100% MHCII positive cells, and any amount in between, such as about 10%, about 20%, about 30%, about 40%, about 50%, about 60%, about 70%, about 80%, or about 90% MHCII positive cells. The term "purified" also encompasses compositions that contain a significant quantity of active agent in relation to impurities, whether obtained by a purification process or not. The term "purified" should not be construed to connote absolute purity.

In understanding the scope of the present application, the term "comprising" and its derivatives, as used herein, are intended to be open ended terms that specify the presence of the stated features, elements, components, groups, integers, and/or steps, but do not exclude the presence of other unstated features, elements, components, groups, integers and/or steps. The foregoing also applies to words having similar meanings such as the terms, "including", "having" and their derivatives. Finally, terms of degree such as "substantially", "about" and "approximately" as used herein mean a reasonable amount of deviation of the modified term such that the end result is not significantly changed. These terms of degree should be construed as including a deviation of at least ±5% of the modified term if this deviation would not negate the meaning of the word it modifies.

Unexpectedly, it has now been shown that cancer cells can be modified so as to express MHCII on their cell surface, such that the MHCII presents a non-self antigen to helper T cells and MHCI presents a cancer antigen to cytotoxic T cells of the immune system. Cancer cells thus modified are immunogenic and can be used in an autologous cancer vaccine composition that is effective for treating cancer in the subject.

Accordingly, there is therefore provided isolated immunogenic cancer cells that express both MHCI and MHCII on its cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

The cancer cells are isolated from an autologous subject, meaning that they will be used to treat the same subject from whom they were derived. Alternatively, the cancer cells could be used in an HLA-matched heterologous subject. Typically the cells are isolated during a biopsy procedure or during surgical tumour removal. The cancer cells may be derived from any type of malignancy and, in an aspect, they are derived from lung cancer, including small cell lung cancer and non-small cell lung cancer (e.g. adenocarcinoma),

pancreatic cancer, colon cancer (e.g. colorectal carcinoma, such as, for example, colon adenocarcinoma and colon adenoma), oesophageal cancer, oral squamous carcinoma, tongue carcinoma, gastric carcinoma, liver cancer, nasopharyngeal cancer, hematopoietic tumours of lymphoid lineage (e.g. acute lymphocytic leukemia, B-cell lymphoma, Burkitt's lymphoma), non-Hodgkin's lymphoma (e.g. mantle cell lymphoma), Hodgkin's disease, myeloid leukemia (for example, acute myelogenous leukemia (AML) or chronic myelogenous leukemia (CML)), acute lymphoblastic leukemia, chronic lymphocytic leukemia (CLL), thyroid follicular cancer, myelodysplastic syndrome (MDS), tumours of mesenchymal origin, soft tissue sarcoma, liposarcoma, gastrointestinal stromal sarcoma, malignant peripheral nerve sheath tumour (MPNST), Ewing sarcoma, leiomyosarcoma, mesenchymal chondrosarcoma, lymphosarcoma, fibrosarcoma, rhabdomyosarcoma, melanoma, teratocarcinoma, neuroblastoma, brain tumours, medulloblastoma, glioma, benign tumour of the skin (e.g. keratoacanthoma), breast carcinoma (e.g. advanced breast cancer), kidney carcinoma, nephroblastoma, ovary carcinoma, cervical carcinoma, endometrial carcinoma, bladder carcinoma, prostate cancer, including advanced disease and hormone refractory prostate cancer, testicular cancer, osteosarcoma, head and neck cancer, epidermal carcinoma, multiple myeloma (e.g. refractory multiple myeloma), or mesothelioma. In an aspect, the cancer cells are derived from a solid tumour. Typically, the cancer cells are derived from a breast cancer, colorectal cancer, melanoma, ovarian cancer, pancreatic cancer, gastric cancer, or prostate cancer. More typically, the cancer cells are derived from a prostate cancer.

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While most cancer cells do not naturally express much if any MHCII on their cell surface, it will be understood that if the cancer cells are derived from antigen-presenting cells, such as a B cell cancer for example, these cells may already express MHCII on their cell surface. It is contemplated that unmodified cancer cells that already express MHCII could be explicitly excluded from the present invention. In other words, it is contemplated that the present invention could encompass cancer cells that are MHCII-negative, MHCII-positive, or both prior to modification according to the present invention. Alternatively, such cells could be included in the invention and it will be understood that, since these cells already express MHCII, incubation with an MHCII-inducing agent is merely optional in order to increase the level of expression.

In order for the cancer cells to express MHCII on their cell surface, they are incubated with an MHCII-inducing agent. An MHCII-inducing agent encompasses, for example, cytokines, chemical agents, and gene constructs.

For example, the MHCII-inducing agent may be IFN-γ, or it may be an MHCII expression vector that is used to transfect or transduce the cancer cells. The MHCII-inducing agent also encompasses a cell expressing MHCII, in that cells that express MHCII could be

fused via cell fusion with the cancer cells to render the cancer cells MHCII positive. Examples of such cells include B cells, dendritic cells, macrophages, and monocytes. In another aspect, the MHCII inducing agent may be an agent that activates the MHCII transactivator (CIITA) sequence. Typically, however, the MHCII-inducing agent is a cytokine, such as, for example, IFN-α, IFN-β, IFN-γ, IL-4, IL-13, IL-23, or TNF-α. Combinations of cytokines may also be used. In a specific aspect, the MHCII-inducing agent is IFN-γ. It is understood that the MHCII-inducing agent may also have effects on increasing expression of MHCI on the cancer cells. For example, if IFN-γ is used as the MHCII-inducing agent, it would also tend to cause an increase in MHCI on the surface of the cancer cells.

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After incubation with an MHCII-inducing agent, the isolated cancer cells may be screened by conventional methods in order to confirm that MHCII is being expressed on the cell surface. The cells may also be purified at this stage so as to increase the concentration of MHCII-positive cells.

Once the cancer cells are modified so as to express MHCII, they are incubated with a non-self antigen so that they will present the non-self antigen in the context of MHCII. The non-self antigen can be any antigen that is considered non-self and is capable of inducing an immune response in a subject when presented by MHCII. It will be understood that suitable antigens include antigens that are known to be useful as hapten carriers, such as, for example, thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH). The antigen may be derived from the same species as the subject or from a different species. For example, if the subject is a human, the antigen may be a human or non-human antigen. Typically, the antigen is a non-human antigen, such as a bovine, rabbit, murine, canine, or feline antigen, for example. More typically, the antigen is a bovine antigen, such as, for example, bovine serum antigen (BSA). KLH and albumin are other typically used antigens. In an aspect, bovine antigens in general are specifically excluded from the present invention. In another aspect, only BSA is specifically excluded from the present invention.

In aspects, the isolated immunogenic cancer cells prepared according to the invention will express both MHCI and MHCII on their cell surface. The MHCI and MHCII molecules will present a number of different antigens as is understood in the art, however, at least some of the MHCI molecules will present tumour-specific antigens and at least some of the MHCII molecules will present non-self antigens. These cells may be then used in an autologous subject for treatment of cancer in the subject.

The cells may be used live, attenuated, or killed. Typically, the cells are killed prior to use in a subject by, for example, lethal irradiation, freezing and thawing in the absence of a cryo-preservation agent such as DMSO, or treatment with a cytotoxic compound, such as

chemotherapy agents or toxins. If the cells are not for immediate use, they can be preserved, such as, for example, by cryo-preservation, for later administration to the autologous subject.

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Extracts of the cells may also be used in an autologous subject for treatment of cancer in the subject. For example, the cells may be macerated, sonicated, or otherwise broken up so that they are not in their native whole form. Membrane fractions containing the non-self antigen-bound MHCII molecules may be extracted from the cells and provided in an immunogenic composition for treating cancer in an autologous subject. Additionally, fractions containing just the non-self antigen bound MHCII molecules may be extracted from the cells and provided in an immunogenic composition for treating cancer in an autologous subject. Accordingly, there is therefore provided a cellular extract containing MHCII molecules, wherein the MHCII molecules present a non-self antigen. The extract may further comprise membrane fractions, and it may further comprise MHCI molecules, wherein the MHCI molecules present a cancer antigen. The extract may be provided in an immunogenic composition or a cancer vaccine and may be used to treat an autologous subject with cancer.

Cancer cells modified as described to present a non-self antigen in the context of MHCII can also be used in an immunogenic composition to treat cancer. Accordingly, there is therefore provided a composition comprising cancer cells that express both MHCI and MHCII on their cell surface, said MHCI presenting a cancer antigen and said MHCII presenting a non-self antigen. Such an immunogenic composition finds use as an autologous cancer cell vaccine, for treating cancer in an autologous subject.

In addition to the modified cancer cells, the immunogenic compositions and vaccines may further comprise one or more pharmaceutically acceptable excipients, carriers, buffers, stabilizers, adjuvants, or mixtures thereof.

The immunogenic compositions and vaccines described herein can be prepared by per se known methods for the preparation of pharmaceutically acceptable compositions that can be administered to subjects, such that an effective quantity of the active substance is combined in a mixture with a pharmaceutically acceptable vehicle. Suitable vehicles are described, for example, in Remington's Pharmaceutical Sciences (Remington's Pharmaceutical Sciences, 20th ed., Mack Publishing Company, Easton, Pa., USA, 2000). On this basis, the compositions may include, albeit not exclusively, the cancer cells in association with one or more pharmaceutically acceptable vehicles or diluents, and may be contained in buffered solutions with a suitable pH that are iso-osmotic with physiological fluids.

Pharmaceutical compositions include, without limitation, lyophilized powders or aqueous or non-aqueous sterile injectable solutions or suspensions, which may further

contain antioxidants, buffers, bacteriostats and solutes that render the compositions substantially compatible with the tissues or the blood of the subject. Other components that may be present in such compositions include water, surfactants (such as Tween), alcohols, polyols, glycerin and vegetable oils, for example. Extemporaneous injection solutions and suspensions may be prepared from sterile powders, granules, tablets, or concentrated solutions or suspensions. The pharmaceutical composition may be supplied, for example, but not by way of limitation, as a lyophilized powder which is reconstituted with sterile water or saline prior to administration to the patient.

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Suitable pharmaceutically acceptable carriers include essentially chemically inert and nontoxic compositions that do not interfere with the effectiveness of the biological activity of the pharmaceutical composition. Examples of suitable pharmaceutical carriers include, but are not limited to, water, saline solutions, glycerol solutions, ethanol, N-(1(2,3-dioleyloxy)propyl)N,N,N-trimethylammonium chloride (DOTMA), diolesylphosphotidylethanolamine (DOPE), and liposomes. Such compositions should contain a therapeutically effective amount of the modified cancer cells, together with a suitable amount of carrier so as to provide the form for direct administration to the patient.

Any suitable adjuvant may be used in the vaccines of the invention. For example, suitable adjuvants include MPL-TDM adjuvant, AS021/AS02, nonionic block co-polymer adjuvants, aluminum phosphates, R-848, imiquimod, PAM3CYS, poly (I:C), loxoribine, BCG, Corynebacterium parvum, CpG oligodeoxynucleotides, cholera toxin derived antigens, lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels such as aluminum hydroxide, surface active substances such as lysolecithin, pluronic polyols, polyanions, peptides, oil or hydrocarbon emulsions in water, keyhole limpet hemocyanins, and dinitrophenol. Typically, the adjuvant used is BCG.

The immunogenic compositions and vaccines of the invention can, in aspects, be administered for example, by parenteral, intravenous, subcutaneous, intradermal, intramuscular, intracranial, intraorbital, ophthalmic, intraventricular, intracapsular, intraspinal, intracisternal, intraperitoneal, intranasal, intrarectal, aerosol or oral administration. Typically, the compositions of the invention are administered subcutaneously, intramuscularly, or intradermally. More typically, the compositions of the invention are administered intradermally in the upper limbs due to the specific antigen processing that occurs in the derma.

The immunogenic compositions and vaccines of the invention may, in aspects, be administered in combination, concurrently or sequentially, with conventional treatments for cancer, including chemotherapy, hormone therapy, biotherapy, and radiation therapy, for example. The compositions of the invention may be formulated together with such conventional treatments when appropriate.

The compositions of the invention may be used in any suitable amount, but are typically provided in doses comprising from about 1 x  $10^4$  to about 1 x  $10^9$  cancer cells. For example, the compositions of the invention may, in aspects, comprise about 1 x  $10^4$ , 1 x  $10^5$ , about 1 x  $10^6$ , about 1 x  $10^7$ , about 1 x  $10^8$ , or about 1 x  $10^9$  cancer cells. Typically, the compositions comprise about 1 x  $10^7$  cancer cells.

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Additionally, vaccination with the cancer cells may occur once or may be repeated several times. For example, vaccination may occur daily, weekly, monthly, yearly, or a combination thereof, depending upon the disease state. For example, a subject may be administered several doses on a weekly basis in order to treat an active cancer. Once the cancer growth slows or goes into remission, follow-up maintenance doses may be provided, for example, on a monthly basis, every three months, every six months, or on a yearly basis. In general, it is desired to continue vaccinating the subject for as long as there is biological material available. Additionally, cancer patients are typically followed for several years after remission in order to quickly identify any signs of cancer relapse. If any such signs are identified, a follow-up dose or doses of the cancer cell vaccine may be administered as needed to treat the relapsing cancer.

It will be understood that the number of doses is only limited by the number of cancer cells obtained from the tumour of the patient. For example, when the cancer cells are isolated from the subject, they are cultured for a period of time to ensure viability and increase cell number. If a predetermined number of doses is desired, the cells may be cultured until a sufficient number of cells is present to prepare the predetermined number of doses. Once all the original cell material is used up, no further doses may be prepared unless a further biopsy or surgical sample is obtained.

It is therefore contemplated that the cancer cells could be cryopreserved after culturing, either prior to or after treatment with the MHCII-inducing agent and the non-self antigen, so that they could be re-cultured and expanded in cell number should further doses be required at a future time, for example, if the cancer relapses. In the specific case of a relapse, a second biopsy or surgical sample from the relapsed cancer could be obtained in order to provide additional biological material for further vaccination doses. If possible, it is desirable to obtain a second biopsy or surgical sample from the relapsed cancer because the relapsed cancer may present different cancer antigens in the context of MHCI than the original cancer.

While it has been stated above that the compositions, vaccines, cells, and methods of the invention can be used to treat cancer, it will be understood that they could also be used to prevent cancer. In this aspect, tumour cells obtained from a subject can be screened to determine which human leukocyte antigens (HLAs) are expressed on their surface. These cells can be formulated into a vaccine for preventing cancer in an HLA-matched subject.

The above disclosure generally describes the present invention. A more complete understanding can be obtained by reference to the following specific Examples. These Examples are described solely for purposes of illustration and are not intended to limit the scope of the invention. Changes in form and substitution of equivalents are contemplated as circumstances may suggest or render expedient. Although specific terms have been employed herein, such terms are intended in a descriptive sense and not for purposes of limitation.

# **Examples**

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#### Example 1

Human LST174T, LoVo, SW1116, Colo205, and HT29 colon cancer cells and human SK-OV-3 ovarian cancer cells were cultivated with varying concentrations of IFN-γ for 72 hours. The cells were then analyzed by flow cytometry using a specific anti-MHCII (DP, DQ and DR) antibody in order to determine whether IFN-γ induced MHCII expression in these cells. The results are shown in Tables 1 and 2 and corresponding Figures 1 and 2.

Table 1. This table lists the percentage of MHCII positive cells following a 72 hour incubation with IFN-v.

IFN-γ (U/mL)	LST174T	LoVo	SW1116	Colo205	OV3	HT29
0	2.70	0.59	2.96	1.35	2.03	0.35
100	0.26	12.41	10.50	26.59	NA	41.87
1000	1.32	19.11	32.54	39.96	40.43	81.60

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Table 2. This table lists the mean channel intensity and relates to the level of expression of

MHCII on MHCII positive cells.

IFN-γ (U/mL)	LST174T	LoVo	SW1116	Colo205	OV3	HT29
0	16.7	34.29	25.71	59.89	27.14	35.87
100	41.42	79.86	27.88	53.28	NA	31.91
1000	15.68	108.43	34.91	138.24	45.32	53.76

This example shows that several different cell types of different origins can be made to express MHCII following incubation with IFN-γ.

### Example 2

Mouse 413-BCR mammary tumour cells were incubated for 48 hours with 100 U/mL IFN- $\gamma$  and for 24 hours with 50 µg/mL BSA. More specifically, the cells were placed in T25 flasks with 10 ml of medium. The cells were incubated for 48 hours with the medium containing the IFN- $\gamma$  then were washed with serum-free RPMI 1640 three times. The cells

were then incubated with 2 ml of the BSA solution for 2 hours, then complete medium was added, including 100 U/ml IFN-γ and 50 μg/ml BSA. The 413-BCR cells were lethally irradiated and cryopreserved. BALB/c mice were pre-immunized with 3 injections, each containing 1 x 10<sup>5</sup> 413-BCR cells, administered i.p. with no adjuvant, on days 21, 14, and 7 before challenge. The mice were then challenged with an s.c. injection of 2.5 X 10<sup>6</sup> viable 413-BCR cells. All pre-immunized mice failed to develop tumours (n=5), whereas all untreated control mice (n=5) developed tumours after 30 days.

This example shows that pre-immunizations with cancer cells modified to present a non-self peptide in the context of MHCII on their cell surface was protective against challenge with autologous non-modified cancer cells in mice.

#### Example 3

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20 BALB/c female mice were divided into four groups of five mice each, labeled A, B, C, and D. These mice received two pre-immunizations as set out below in Table 3. Briefly, group A was immunized with cancer cells presenting non-self antigen in the context of MHCII on their cell surface; group B was immunized with cancer cells expressing MHCII on their cell surface without non-self antigen; group C was immunized with non-self antigen; and group D was immunized with PBS. All injections were performed s.c. and included Quil-A as an adjuvant on Day 14 before challenge and no adjuvant on day 7 before challenge. On day 0, 5 x 10<sup>5</sup> viable 413-BCR cells were injected s.c.

Table 3. This table sets out the pre-immunizations that the groups of mice in Example 3 received.

Treatment	Procedure
Α	10 <sup>5</sup> 413-BCR cells, incubated with 100 U/ml IFN-γ for 48h followed by incubation with 50 ug/ml BSA and 100 U/ml IFN-γ for 24 hours. The cells were lethally irradiated.
В	10 <sup>5</sup> 413-BCR cells, incubated with IFN-γ for 72 h. The cells were lethally irradiated.
С	50ug BSA
D	PBS

Table 4 and Figures 3 and 4 set out the results of this study. Table 4 and corresponding Figure 3 show that mice from Groups A and B, which received MHCII-expressing cancer cells in their pre-immunizations, had tumour sizes that were smaller than the tumour sizes of the mice that did not receive cells in their pre-immunizations. Macroscopic evaluation of the mice on day 70 revealed that no mice of group A had detectable tumours, whereas groups B, C, and D had 1, 4, and 5 of 5 mice, respectively, with detectable tumours (Figure 4).

Table 4. This table shows the two-dimensional average tumour size and standard deviation amongst the mice in each group, 30 days after challenge with viable tumour cells.

	Two dimensional tumour size on day 30			
	Average (mm²)	SD (mm <sup>2</sup> )		
Α	12.1	5.1		
В	10.8	3.8		
С	31.7	14.4		
D	33.7	12.7		

This example shows that pre-immunizations with cancer cells modified to present a non-self peptide in the context of MHCII on their cell surface was protective against challenge with autologous non-modified cancer cells in mice, specifically in terms of a reduction in tumour size and incidence.

# Example 4

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The mice from group A of Example 3 were sacrificed and their spleens were removed to perform a T cell proliferation assay. Spleens from naïve animals were used as a control. Approximately 1.2 x 10<sup>5</sup> T cells/well from the mice of group A were cultured in the presence of the following agents or cells: 2 mg/mL phytohemagglutinin (PHA) (positive control); standard medium (negative control); 10<sup>4</sup> irradiated tumor cells, 10<sup>4</sup> irradiated IFN-y-treated tumor cells, or 50 µg/mL BSA. The T cells were incubated at 37°C for 48 hours followed by a 20 hour incubation with 1 mCi 3H-thymidine. The incorporated activity was measured using solid scintillation.

Table 5 and Figure 5 show the results of this study and indicate T cells derived from the immunized mice proliferated in response to tumour cells and BSA, whereas T cells derived from naïve mice did not. This means that the animals immunized with the cancer vaccine developed independent immunity towards the different tumour antigens present on the tumour cells, regardless of whether those cells were treated with IFN-y or not. Additionally, the animals immunized with the cancer vaccine indicated immunity towards BSA.

Table 5: This table shows the proliferative response of T cells from immunized (group A) versus naïve mice

versus narve mice.					
	Group A – Immunized Animals Naïve Animals				
	Media CPM	DP CPM	Media CPM	DP CPM	
PHA 2ug/ml	145659	4718	143239	10850	
Media	138	60	157	71	
Tumor cells irradiated	5268	372	506	184	
Tumor INF treated cells	4989	638	430	262	
Albumina 50 ug/ml	7714	1555	735	159	

#### Example 5

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10<sup>6</sup> 413-BCR cells were incubated with 100 U/ml IFN-γ for 48h. The cells were washed 5 times with PBS and were then incubated with 100 μg/ml or 10 μg/ml (2 ml) of biotin-BSA for 2 hours, using a high biotin molar ratio (1 mole of BSA to 20 moles of biotin). The cells were then incubated in medium containing IFN-γ plus biotin-BSA for 24 hours. The cells were washed 5 times with PBS and solubilized with Triton-100<sup>TM</sup> overnight. Anti-mouse MHCI or MHCII (10 ug/ml in PBS) capture antibodies were coated on a 96 well ELISA plate. The plate was blocked with BSA at 3% in PBS for 1 hour. Samples containing solubilized cells were incubated for 4 hours at room temperature. The plates were washed and streptavidine-peroxidase conjugate was added for 45 minutes at 37°C. Plates were then washed 5 times and a TMB substrate added. The optical density was determined at 450 nm. The ratio between the control sample (untreated cells) and the treated cells is shown in Figure 6.

This example shows that BSA is capable of binding to MHCII expressed by cancer cells pre-treated with IFN-γ in a dose-dependent manner.

# Example 6

A group of SCID-beige mice, reconstituted with human PBLs, were reconstituted for the *in vivo* evaluation of the autologous cancer vaccine described herein. More specifically, twenty-five SCID-beige mice (female, 8-10 weeks,) were injected with 10<sup>7</sup> hPBLs from a partial match donor (HLA type A2, B44, DR13) i.p. (0.5 mL/mouse with 20% v/v Matrigel). Mouse blood was collected 7 and 14 days after reconstitution to verify hlgG levels. Only mice with hlgG greater than 10 ug/mL were utilized for further experiments. Table 6 shows the hlgC measurements of the reconstituted mice.

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Table 6: This table shows the hlgG measurements of the reconstituted mice and sets out which mice were used for further study and which were not.

which mice we		ner study and wnich were	not.
<u></u>	<u> </u> h	n-IgG ug/ml	
Mouse	Day 7	Day 14	
1-1	0	15.6	reconstituted
1-2	0	1919.7	reconstituted
1-3	ND	560	reconstituted
1-4	ND	211.2	reconstituted
1-5	ND	26.4	reconstituted
2-1	0	407.9	reconstituted
2-2	0	338.6	reconstituted
2-3	ND	99.2	reconstituted
2-4	ND	19.4	reconstituted
2-5	ND	3.4	Deleted
3-1	0	37.8	reconstituted
3-2	0	120.5	reconstituted
3-3	ND	10.2	reconstituted

3-4	ND	229.6	reconstituted
3-5	ND	7.3	Deleted
4-1	0	12.2	reconstituted
4-2	0	1.7	Deleted
4-3	ND	0	Deleted
4-4	ND	2.3	Deleted
4-5	ND	384.7	Reconstituted
5-1	0	0	Deleted
5-2	0	13.2	reconstituted
5-3	ND	1396.5	reconstituted
5-4	ND	5.4	Deleted
5-5	ND	3.6	Deleted

ND, not determined

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17 (68%) of the SCID-Beige mice were reconstituted by day 14. These animals are then used for *in vivo* evaluation of the autologous cancer vaccine. These mice were randomly divided into four groups for immunizations as follows:

- A) N=5,  $10^6$  irradiated modified tumor cells, wherein the tumor cells were modified by treatment with 100 U/ml IFN- $\gamma$  for 48 hours plus 50 ug/ml BSA for 24 hours as described above:
- 10 B) N=4, 10<sup>6</sup> irradiated modified tumor cells, wherein the tumor cells were modified by treatment with IFN-y for 72 hours;
  - C) N=4, 100 ug/mouse BSA; and
  - D) N=4, PBS.
- The tumour cells used for immunization and challenge were from the cell line SW 1116 (HLA type A2, 23, B 44,60, DR 11,13,52, DQ 6,7). The immunization protocol is set out in Table 7. All groups were immunized i.p. with Ribi adjuvant. RiBi was used only in the first immunization due to its toxicity.

Table 7: This table sets out the immunization and challenge protocol used in this *in vivo* model.

<u> </u>	
Day	Procedure
-14	Immunization
-7	Immunization
0	Tumor implantation - 2.5 X 10 <sup>6</sup> SW 1116 cells, s.c.
+3	Immunization
+8	Immunization
+15	Immunization

The results of this study are set out in Table 8 and Figure 7. These results show that all mice receiving immunizations with BSA or PBS alone had detectable tumours by 17 days

after challenge. In contrast, mice receiving modified cancer cells had no detectable tumours by 17 days after challenge and only 2 of 5 had detectable tumours by day 21 after challenge.

Table 8: This table shows tumour incidence in mice vaccinated with the autologous cancer vaccine, as compared to mice vaccinated with control formulations.

Treatment	Day 9	Day 17	Day 21
Group	Tumor (N)	Tumor (N)	Tumor (N)
A (N=5)	0	0	2
B (N=4)	0	0	2
C (N=4)	2	4	4
D (N=4)	1	4	4

#### Example 7

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The autologous cancer vaccine was tested in humans. Cells were obtained by surgery or a biopsy procedure. The surgeon collected a small fragment of the tumour (up to about 0.5 cm³) that appeared to contain tumour cells macroscopically. When needle biopsies were used, multiple needle samples were collected. The cells were immediately placed in a tube containing transport medium (RPMI 1640, plus 20% FBS, plus penicillin, plus streptomycin or gentamycin, plus 10 mM oxaloacetic acid, 4.5 mM pyruvate, and 2.0 U/mI insulin (human or bovine)). The collected samples were placed on ice (about 2 to 8°C) until processed at the cell culture lab 30 minutes to 72 hours after collection. Typically the time between tumour collection and tumour processing was less than about 24 hours. Once the material arrived at the lab, the cells were washed 3 times with cold RPMI 1640 and were mechanically dissociated using glass grinding, scissors, or a scalpel. The cells could also have been enzymatically dissociated using trypsin for example, however, mechanical dissociation was used because it does not interfere with superficial cell membrane proteins. Some tumours presented themselves as ascites fluid or bone marrow samples. In these cases, mechanical dissociation was not required.

The dissociated cells together with some smaller tumour fragments were placed in culture using VAP medium (RPMI 1640, plus 10% FBS, plus penicillin, plus streptomycin or gentamycin, plus 10 mM oxaloacetic acid, 4.5 mM pyruvate, and 2.0 U/mI insulin (human or bovine)). T25 or T75 culture flasks were used. The average time to reach at least  $7x10^7$  cells was 28 days, but ranged from 10 to 91 days in these samples. Medium was replaced every 3 days or when needed, based upon the tumour cell growth characteristics.

Once there were sufficient cells (at least 3 confluent T25 flasks), 1000 IU of IFN- $\gamma$  was added per ml of fresh VAP medium (7ml) per T25 flask. The cells containing IFN- $\gamma$  were incubated for 48 hours at 37°C. The cells were then washed 3 times with 5 ml RPMI 1640 and were incubated with 50  $\mu$ g/ml of BSA in RPMI 1640 at 37°C. The BSA-containing medium was removed and replaced with VAP medium plus 1000 U IFN- $\gamma$  plus 50  $\mu$ g/ml of BSA and was incubated for a further 24 hours. After these incubation times, the cells were

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mechanically harvested using a scraper and were washed 5 times with saline. The washed cells were re-suspended in sterile PBS such that there were at least 1x10<sup>7</sup> cells per ml and were placed in a 1 ml micro tube. Each patient sample was evaluated by flow cytometry in order to confirm the presence of MHC I and MHC II, as well as the presence of a surface tumour marker when available.

Samples were then irradiated with 200 Gy radiation. Irradiated cells were then fractionated into 7 or more micro tubes containing 150 µl of cells each (approximately  $10^7$  cells). Tubes containing samples one and two were refrigerated at 2 to 8°C, and the remaining samples were frozen at -80°C. BCG, at  $10^7$  microorganisms, was added (50 ul) so that the final volume for the two first samples was 200 µl (150 µl of cells plus 50 µl of BCG). The samples were then transferred to a tuberculin syringe and injected intradermally in the upper limb. The first two immunizations were performed on different arms with a 7 days interval in between. Previous to the first immunization, blood was collected for humoral and cellular immune response analyses when available.

The third dose of the vaccine contained only irradiated tumour cells diluted in PBS (10<sup>7</sup> cells), and was inoculated 7 days after the second dose. The fourth dose was performed in the same way as the third in the alternate arm. The 5<sup>th</sup> and the 6<sup>th</sup> doses were performed at 30 days intervals. Finally the 7<sup>th</sup> dose was administered 3 months after the 6<sup>th</sup> dose. If biological material was available, subsequent doses were administered every 3 or 2 months depending on patient evolution. Clinical and biochemical evaluation were performed at each dose. Standard cancer treatments were maintained during all the immunization procedure. Delayed-type hypersensitivity (DTH) reactions were measured 24, 48 or 72 hours after each immunization, with the exception of the two initial doses that contained BCG. Vaccines were successfully prepared from many patients and tumour sites, as set out in Table 9.

Table 9: This table shows the number of patients and tumour sites from which autologous cancer cell vaccines were successfully prepared.

Tumor site	Number of patients
Prostate	159
Breast	7
Intestine	5
Lung	5
Cerebral	3
Gastric	3
Melanoma	3
Ovarian	3
Pancreas	3
Thyroid	3
Gallbladder	3
Cervical Cancer	2
Liver	2

Mesothelioma	2
unknown site	2
Rectum	2
Kidney	2
Sarcoma	2
Head and Neck	2
Myeloma	1
Bladder	1
Esophagus	1
Lachrymal Gland	1

After successful vaccine preparation, the vaccine was used to treat humans and was found to be safe and effective. The results of this study are set out in Table 10 and corresponding Figure 8.

Table 10: This table sets out the percent survival of 60 patients with various cancer types

treated with the autologous cancer vaccine.

N	60	26	5	3	3	4	3
Months	Overall	Prostate	Breast	Pancreas	Melanoma	Intestine and rectum	Ovarian
0	100%	100%	100%	100%	100%	100%	100%
1	100%	100%	100%	100%	100%	100%	100%
1.25	100%	100%	100%	100%	100%	100%	100%
1.5	97%	100%	100%	100%	100%	100%	100%
1.75	90%	96%	100%	67%	100%	100%	100%
2	90%	96%	100%	67%	100%	100%	100%
3	80%	96%	100%	33%	100%	75%	67%
4	75%	88%	100%	33%	100%	75%	67%
7	67%	81%	80%	33%	100%	75%	0%
8	58%	73%	80%	0%	100%	50%	0%
9	57%	69%	80%	0%	100%	50%	0%
10	55%	69%	80%	0%	100%	50%	0%
11	53%	69%	80%	0%	100%	50%	0%
12	53%	69%	80%	0%	100%	50%	0%
13	53%	69%	80%	0%	100%	50%	0%
14	50%	69%	80%	0%	67%	50%	0%
15	50%	69%	80%	0%	67%	50%	0%

# Example 8

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Case studies of clinical trials for five patients with prostate cancer are now described and their prostate specific antigen (PSA) measurements before, during, and after vaccination are provided. Vaccine preparation and treatments were as in Example 7.

# Patient 1:

Patient 1 was treated with hormone blockade therapy on a monthly basis starting on day 269 post-surgery. A first dose of the autologous cancer vaccine was provided on day 441 and subsequent doses were provided on days 448, 454, 461, 491, 521, and 611. Figure

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9 shows that while hormone blockade initially caused a reduction in PSA levels, as measured at day 373, they began rising again and peaked at day 463. Treatment with the autologous cancer vaccine caused a further sustained reduction in PSA levels in this patient to a low of 0.03 at days 587 and 651.

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#### Patient 2:

Patient 2 was treated with hormone blockade therapy on a monthly basis starting on day 14 post-surgery. A first dose of the autologous cancer vaccine was provided on day 168 and subsequent doses were provided on days 175, 182, 189, 219, 249, and 339. Figure 10 shows that while hormone blockade initially caused a reduction in PSA levels, as measured at day 138, they began rising again and peaked at day 164. Treatment with the autologous cancer vaccine caused a further sustained reduction in PSA levels until day 402, when levels began rising again.

15 Patient 3:

Patient 3 was treated with hormone blockade therapy on a monthly basis starting 6 months before surgical sample collection. A first dose of the autologous cancer vaccine was provided on day 68 and subsequent doses were provided on days 74, 79, 86, 107, 146, 236, 400, 520, and 684. Figure 11 shows that, even in a hormone-resistant patient, the combined hormone blockade and vaccination treatment caused a steady reduction in PSA levels in this patient.

### Patient 4:

Patient 4 was treated with hormone blockade therapy on a monthly basis starting on day 0 post-surgery. A first dose of the autologous cancer vaccine was provided on day 5 and subsequent doses were provided on days 11, 18, 26, 55, 83, and 173. Figure 12 shows that the combined hormone blockade and vaccination treatment caused a reduction in PSA levels in this patient from a high of 354 on day 44 to a low of 175 on day 136, the last day on which a measurement was recorded.

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#### Patient 5:

Patient 5 was treated with hormone blockade therapy on a monthly basis starting just after surgery on approximately day 30. A first dose of the autologous cancer vaccine was provided on day 141 and subsequent doses were provided on days 148, 155, 162, and 192. Figure 13 shows that the combined hormone blockade and vaccination treatment caused a reduction in PSA levels in this patient from a high of 50.3 on day 43 to a low of 1.12 on day 180, the last day on which a measurement was recorded.

#### Example 9

The autologous cancer vaccine described herein has been demonstrated to be safe in an initial Phase I trial with local advanced prostate cancer patients. This trial has been extended into Phase IIb. The primary endpoint of the Phase IIb study was clinical response (PSA levels and survival) in local advanced (T2 and T3) prostate cancer patients, with safety and immunologic responses as secondary endpoints.

### Methods:

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Tumour cells from 107 prostatectomy patients were collected (HCPA – Porto Alegre – Brazil). Sixty-three (59%) patients with T4, T3 or T2 prostate cancer with co-morbidity factors were enrolled. Twenty-three patients received the vaccine and 40 were in the control group. The vaccinated group was composed of patients having more advanced tumours, with 83% of the patients in the vaccinated group having either T3 or T4 prostate cancer, as compared to 35% of the control group. In the vaccinated group, 22% of patients were N+ (spread to local lymph nodes) and in the control group 2.5% of patients were N+. The PSA pre-surgery average was 16.15 ng/ml in the vaccinated group and 15.74 ng/ml in the control group. Average Gleason scores were 7.5 (vaccinated) and 6.9 (not vaccinated) and the age was similar 64 (not vaccinated) and 63 (vaccinated).

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The autologous cancer vaccine was prepared as per Example 7 and was given by intradermal injections, once per week for 4 weeks, then once per month for 2 months, then once after three months. The first two doses of the autologous cancer vaccine also contained BGC as an adjuvant. DTH was measured 48 hours after the vaccine doses that did not contain BCG. PBMCs were collected via apheresis at baseline and D54 for T cell proliferation assays in some patients. Clinical follow-up was performed and all standard care was given to all patients.

### Results:

The overall average follow up was 7 years. No grade 3 or 4 toxicity attributable to the vaccine was noted. Side effects were largely limited to grade 1 or 2 injection site reactions. DTH was positive (equal to or higher than 5 mm) in 73% of the vaccinated patients. Cancerrelated mortality was 4% (1/23) in the vaccinated group and 10% (4/40) in the non-vaccinated group (Figure 14A). The average PSA level after 7 years was 20.4 ng/ml in the vaccinated group and 42 ng/ml in the non-vaccinated group (Figure 14B). PSA was undetectable (less than 0.04 ng/ml) in 70% (16/23) of the vaccinated patients and in 42.5% (17/40) of the non-vaccinated patients after 5 years of follow up (p=0.03853) (Figure 14C). *In vitro* specific T cell proliferation was demonstrated in vaccinated patients.

#### Conclusions:

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The autologous cancer vaccine described herein is a safe and well-tolerated vaccine. There is evidence of clinical activity and immune changes in selected patients.

The above disclosure generally describes the present invention. Although specific terms have been employed herein, such terms are intended in a descriptive sense and not for purposes of limitation.

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All publications, patents and patent applications are herein incorporated by reference in their entirety to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference in its entirety.

Although preferred embodiments of the invention have been described herein in detail, it will be understood by those skilled in the art that variations may be made thereto without departing from the spirit of the invention or the scope of the appended claims.

### Claims

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- 1. A method for making isolated immunogenic cancer cells, the method comprising:
  - inducing expression of MHCII on cancer cells isolated from a subject;
- incubating the cancer cells with a non-self antigen so that the non-self antigen will be bound to expressed MHCII; and
  - killing the cancer cells.
- 2. The method of claim 1, further comprising identifying MHCII-positive cells after MHCII induction.
  - 3. The method of claim 2, further comprising separating the MHCII-positive cancer cells from MHCII-negative cancer cells to obtain a purified composition containing the MHCII-positive cells.
  - 4. The method of any one of claims 1 to 3, further comprising isolating the cancer cells from a subject.
- 5. The method of claim 4, wherein said cells are isolated during a biopsy procedure or during surgical removal of a tumour.
  - 6. The method of any one of claims 1 to 5, further comprising cryo-preserving the cancer cells.
- 7. The method of any one of claims 1 to 6, wherein the cells are killed by lethal irradiation, freezing and thawing in the absence of a cryo-preservation agent, or treatment with a cytotoxic compound.
  - 8. The method of claim 7, wherein the cells are killed by lethal irradiation.
  - 9. The method of any one of claims 1 to 8, wherein the MHCII is induced on the cancer cells using an MHCII-inducing agent.
  - 10. The method of claim 9, wherein the MHCII-inducing agent is a cytokine.
  - 11. The method of claim 10, wherein the cytokine is IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-4, IL-13, IL-23, TNF- $\alpha$ , or a combination thereof.

- 12. The method of claim 11, wherein the cytokine is IFN-y.
- 13. The method of claim 9, wherein the MHCII-inducing agent is an MHCII expression construct.
  - 14. The method of claim 9, wherein the MHCII-inducing agent is an MHCII-expressing cell that will fuse with the cancer cells.
- 10 15. The method of any one of claims 1 to 14, wherein the non-self antigen is a non-human antigen.
  - 16. The method of claim 15, wherein said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.
  - 17. The method of claim 9, wherein said non-self antigen is ovalbumin or KLH.
- 20 18. The method of claim 9, wherein the non-self antigen is BSA.

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- 19. The method of any one of claims 1 to 14, wherein said non-self antigen is not a bovine antigen.
- 25 20. The method of claim 19, wherein said non-self antigen is not BSA.
  - 21. The method of any one of claims 1 to 20, wherein said inducing step is in a medium free of BSA.
- 30 22. Isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.
  - 23. The cells of claim 22, wherein the non-self antigen is a non-human antigen.
  - 24. The cells of claim 23, wherein said non-self antigen is selected from thyroglobulin,  $\beta$ -galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum

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albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.

- 25. The cells of claim 24, wherein said non-self antigen is ovalbumin or KLH.
- 26. The cells of claim 24, wherein the non-self antigen is BSA.
- 27. The cells of claim 22, wherein said non-self antigen is not a bovine antigen.
- 10 28. The cells of claim 27, wherein said non-self antigen is not BSA.
  - 29. An immunogenic composition comprising isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.
  - 30. The composition of claim 29, further comprising at least one excipient, carrier, buffer, stabilizer, or a combination thereof.
- 31. The composition of claim 29 or 30, wherein the non-self antigen is a non-human antigen.
  - 32. The composition of claim 31, wherein said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.
  - 33. The composition of claim 32, wherein said non-self antigen is ovalbumin or KLH.
- 30 34. The composition of claim 32, wherein the non-self antigen is BSA.
  - 35. The composition of claim 29 or 30, wherein said non-self antigen is not a bovine antigen.
- 35 36. The composition of claim 35, wherein said non-self antigen is not BSA.

- 37. The composition of any one of claims 29 to 36, comprising from about 5% to about 100% MHCII-positive cancer cells, based on the total number of cells in the composition.
- 38. The composition of claim 37, comprising at least about 50% MHCII-positive cancer 5 cells.
  - 39. The composition of claim 38, comprising at least about 90% MHCII-positive cancer cells.
- 10 40. The composition of claim 39, comprising at least about 99% MHCII-positive cancer cells.
  - 41. An autologous cancer vaccine comprising isolated immunogenic cancer cells that express both MHCI and MHCII on their cell surface, wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.
  - 42. The vaccine of claim 41, further comprising at least one adjuvant.

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- The vaccine of claim 42, wherein the adjuvant is selected from monophosphoryl Lipid
  A/synthetic trehalose dicorynomycolate (MPL-TDM), AS021/AS02, nonionic block copolymer adjuvants, CRL 1005, aluminum phosphates, AlPO<sub>4</sub>), R-848, imiquimod, PAM3CYS, poly (I:C), loxoribine, bacille Calmette-Guerin (BCG), Corynebacterium parvum, CpG oligodeoxynucleotides (ODN), cholera toxin derived antigens, CTA 1-DD, lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels, aluminum hydroxide, surface active substances, lysolecithin, pluronic polyols, polyanions, peptides, oil or hydrocarbon emulsions in water, MF59, Montanide ISA 720, keyhole limpet hemocyanins (KLH), dinitrophenol, and combinations thereof.
  - 44. The vaccine of claim 43, wherein the adjuvant is BCG.
  - 45. The vaccine of any one of claims 41 to 44, further comprising at least one excipient, carrier, buffer, stabilizer, or a combination thereof.
- 46. The vaccine of any one of claims 41 to 45, wherein the non-self antigen is a non-35 human antigen.

47. The vaccine of claim 46, wherein said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.

48. The vaccine of claim 47, wherein said non-self antigen is ovalbumin or KLH.

49. The vaccine of claim 47, wherein the non-self antigen is BSA.

- 10 50. The vaccine of any one of claims 41 to 45, wherein said non-self antigen is not a bovine antigen.
  - 51. The vaccine of claim 50, wherein said non-self antigen is not BSA.
- The vaccine of any one of claims 41 to 51, comprising from about 5% to about 100% MHCII-positive cancer cells, based on the total number of cells in the vaccine.
  - 53. The vaccine of claim 52, comprising at least about 50% MHCII-positive cancer cells.
- 20 54. The vaccine of claim 53, comprising at least about 90% MHCII-positive cancer cells.
  - 53. The vaccine of claim 54, comprising at least about 99% MHCII-positive cancer cells.
- 54. The vaccine of any one of claims 41 to 53, provided in divided doses for multiple inoculations.
  - 55. The vaccine of claim 54, provided in seven divided doses.
- 56. The vaccine of any one of claims 41 to 55, wherein each dose comprises from about  $1 \times 10^4$  to about  $1 \times 10^9$  cancer cells.
  - 57. The vaccine of claim 56, wherein each dose comprises about  $1 \times 10^7$  cancer cells.
- 58. A method for treating cancer in a subject, the method comprising administering isolated immunogenic cancer cells to the subject, wherein the cells are autologous to the subject and express both MHCI and MHCII on their cell surface, and wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.

- 60. The method of claim 58, wherein the cells are formulated as a cancer vaccine.
- 61. The method of any one of claims 58 to 60, wherein the non-self antigen is a non-human antigen.
- 62. The method of claim 61, wherein said non-self antigen is selected from thyroglobulin, 10 β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.
  - 63. The method of claim 62, wherein said non-self antigen is ovalbumin or KLH.
  - 64. The method of claim 62, wherein the non-self antigen is BSA.

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- 65. The method of any one of claims 58 to 60, wherein said non-self antigen is not a bovine antigen.
- 66. The method of claim 65, wherein said non-self antigen is not BSA.
- 67. The method of any one of claims 58 to 66, wherein from about 5% to about 100% of the cancer cells administered are MHCII-positive cancer cells, based on the total number of cells administered.
- 68. The method of claim 67, wherein at least about 50% of the cancer cells administered are MHCII-positive cancer cells.
- 30 69. The method of claim 68, comprising at least about 90% of the cancer cells administered are MHCII-positive cancer cells.
  - 70. The method of claim 69, comprising at least about 99% of the cancer cells administered are MHCII-positive cancer cells.

- 71. The method of any one of claims 58 to 70, wherein the cells are administered concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.
- 5 72. The method of any one of claims 58 to 71, wherein the cells are administered before or after surgical tumour resection.
  - 73. The method of any one of claims 58 to 72, wherein the cells are administered in multiple doses.
  - 74. The method of claim 73, wherein the cells are administered weekly for a predetermined number of weeks.
- 75. The method of claim 73, wherein the cells are administered as an ongoing maintenance therapy.

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- 76. The method of claim 75, wherein the cells are administered weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.
- The method of any one of claims 58 to 76, wherein the cells are administered when a sign of cancer relapse is observed.
- 78. Use of isolated immunogenic cancer cells autologous to a subject for treating cancer in the subject, wherein the cells express both MHCI and MHCII on their cell surface, and wherein a cancer antigen is bound to said MHCI and a non-self antigen is bound to said MHCII.
  - 79. The use of claim 78, wherein the cells are formulated as an immunogenic composition.
  - 80. The use of claim 78, wherein the cells are formulated as a cancer vaccine.
  - 81. The use of any one of claims 78 to 80, wherein the non-self antigen is a non-human antigen.
  - 82. The use of claim 81, wherein said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum

albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.

83. The use of claim 82, wherein said non-self antigen is ovalbumin or KLH.

84. The use of claim 82, wherein the non-self antigen is BSA.

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85. The use of any one of claims 78 to 80, wherein said non-self antigen is not a bovine antigen.

86. The use of claim 85, wherein said non-self antigen is not BSA.

- 87. The use of any one of claims 78 to 86, comprising use of from about 5% to about 100% of MHCII-positive cancer cells, based on the total number of cells.
- 88. The use of claim 87, comprising use of at least about 50% MHCII-positive cancer cells.
- 89. The use of claim 88, comprising use of at least about 90% MHCII-positive cancer 20 cells.
  - 90. The use of claim 89, comprising use of at least about 99% MHCII-positive cancer cells.
- 25 91. The use of any one of claims 78 to 90, wherein the cells are for use concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.
  - 92. The use of any one of claims 78 to 91, wherein the cells are for use before or after surgical tumour resection.
    - 93. The use of any one of claims 78 to 92, wherein the cells are for use in multiple doses.
- 94. The use of claim 93, wherein the cells are for use weekly for a pre-determined number of weeks.

- 95. The use of claim 93, wherein the cells are for use as an ongoing maintenance therapy.
- 96. The use of claim 95, wherein the cells are for use weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.
  - 97. The use of any one of claims 78 to 96, wherein the cells are for use when a sign of cancer relapse is observed.
- 10 98. A method for determining whether a patient is a candidate for therapy with isolated immunogenic cancer cells, the method comprising:
  - treating isolated cancer cells from a subject with an MHCII-inducing agent; and
  - screening the cancer cells to determine the presence of expressed MHCII;
- wherein the presence of expressed MHCII on the cancer cells indicates that the
   patient is a candidate for the therapy.
  - 99. The method of claim 99, further comprising isolating the cancer cells from a subject.
- 100. The method of claim 99, wherein said cells are isolated during a biopsy procedure or during surgical removal of a tumour.
  - 101. The method of any one of claims 98 or 100, wherein the MHCII-inducing agent is a cytokine.
- 25 102. The method of claim 101, wherein the cytokine is IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-4, IL-13, IL-23, TNF- $\alpha$ , or a combination thereof.
  - 103. The method of claim 102, wherein the cytokine is IFN-y.

- 30 104. The method of any one of claims 98 to 100, wherein the MHCII-inducing agent is an MHCII expression construct.
  - 105. The method of any one of claims 98 to 100, wherein the MHCII-inducing agent is an MHCII-expressing cell that will fuse with the cancer cells.
  - 106. A cancer vaccine for immunizing a subject with cancer, the cancer vaccine comprising isolated immunogenic cancer cells autologous to the subject, wherein the cancer

cells have been modified by treatment with an MHCII-inducing agent followed by incubation with a non-self antigen to thereby present the non-self antigen in the context of MHCII on their cell surface, and wherein the cancer vaccine has been purified so as to comprise an increased concentration of MHCII-expressing cells.

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- 107. A method for making an immunogenic extract, the method comprising:
  - inducing expression of MHCII on cancer cells isolated from a subject;
- incubating the cancer cells with a non-self antigen so that the non-self antigen will be bound to expressed MHCII; and
  - extracting the MHCII having bound non-self antigen from the cancer cells.
- 108. The method of claim 107, wherein the immunogenic extract is a membrane fraction.
- 109. The method of claim 107, wherein the immunogenic extract comprises purified15 MHCII.
  - 110. The method of any one of claims 107 to 109, further comprising identifying MHCII-positive cells after MHCII induction.
- 20 111. The method of claim 110, further comprising separating the MHCII-positive cancer cells from MHCII-negative cancer cells to obtain a purified composition containing the MHCII-positive cells.
- 112. The method of any one of claims 107 to 111, further comprising isolating the cancer cells from a subject.
  - 113. The method of claim 112, wherein said cells are isolated during a biopsy procedure or during surgical removal of a tumour.
- 30 114. The method of any one of claims 107 to 113, further comprising cryo-preserving the cancer cells.
  - 115. The method of any one of claims 107 to 114, wherein the cells are killed by lethal irradiation, freezing and thawing in the absence of a cryo-preservation agent, or treatment with a cytotoxic compound.
  - 116. The method of claim 115, wherein the cells are killed by lethal irradiation.

- 117. The method of any one of claims 107 to 116, wherein the MHCII is induced on the cancer cells using an MHCII-inducing agent.
- 5 118. The method of claim 117, wherein the MHCII-inducing agent is a cytokine.
  - 119. The method of claim 118, wherein the cytokine is IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-4, IL-13, IL-23, TNF- $\alpha$ , or a combination thereof.
- 10 120. The method of claim 119, wherein the cytokine is IFN-y.

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- 121. The method of claim 117, wherein the MHCII-inducing agent is an MHCII expression construct.
- 15 122. The method of claim 117, wherein the MHCII-inducing agent is an MHCII-expressing cell that will fuse with the cancer cells.
  - 123. The method of any one of claims 107 to 122, wherein the non-self antigen is a non-human antigen.
  - 124. The method of claim 123, wherein said non-self antigen is selected from thyroglobulin, β-galactosidase, dextran, polylysine, tuberculin derived protein, ovalbumin (OVA), serum albumins such as bovine serum albumin (BSA), sheep serum albumin, goat serum albumins, or fish serum albumin, and keyhole limpet hemocyanin (KLH), and a combination thereof.
  - 125. The method of claim 117, wherein said non-self antigen is ovalbumin or KLH.
  - 126. The method of claim 117, wherein the non-self antigen is BSA.
  - 127. The method of any one of claims 107 to 122, wherein said non-self antigen is not a bovine antigen.
  - 128. The method of claim 127, wherein said non-self antigen is not BSA.
  - 129. The method of any one of claims 107 to 128, wherein said inducing step is in a medium free of BSA.

- 130. An immunogenic extract of the cells of any one of claims 22 to 28, wherein the extract comprises MHCII having bound non-self antigen from the cells.
- 5 131. The extract of claim 130, wherein the extract is a membrane fraction.

- 132. The extract of claim 130, wherein the extract comprises purified MHCII.
- 133. An immunogenic composition comprising the extract of any one of claims 130 to 132.
- 134. The composition of claim 133, further comprising at least one excipient, carrier, buffer, stabilizer, or a combination thereof.
- 135. An autologous cancer vaccine comprising the extract of any one of claims 130 to15 132.
  - 136. The vaccine of claim 135, further comprising at least one adjuvant.
- 137. The vaccine of claim 136, wherein the adjuvant is selected from monophosphoryl
   Lipid A/synthetic trehalose dicorynomycolate (MPL-TDM), AS021/AS02, nonionic block copolymer adjuvants, CRL 1005, aluminum phosphates, AIPO<sub>4</sub>), R-848, imiquimod, PAM3CYS, poly (I:C), loxoribine, bacille Calmette-Guerin (BCG), Corynebacterium parvum, CpG oligodeoxynucleotides (ODN), cholera toxin derived antigens, CTA 1-DD, lipopolysaccharide adjuvants, complete Freund's adjuvant, incomplete Freund's adjuvant, saponin, mineral gels, aluminum hydroxide, surface active substances, lysolecithin, pluronic polyols, polyanions, peptides, oil or hydrocarbon emulsions in water, MF59, Montanide ISA 720, keyhole limpet hemocyanins (KLH), dinitrophenol, and combinations thereof.
  - 138. The vaccine of claim 137, wherein the adjuvant is BCG.
  - 139. The vaccine of any one of claims 135 to 138, further comprising at least one excipient, carrier, buffer, stabilizer, or a combination thereof.
- 140. A method for treating cancer in a subject, the method comprising administering the
   extract of any one of claims 130 to 132 to the subject, wherein the cells are autologous to the subject.

- 141. The method of claim 140, wherein the extract is formulated as an immunogenic composition.
- 142. The method of claim 140, wherein the extract is formulated as a cancer vaccine.

143. The method of any one of claims 140 to 142, wherein the extract is administered concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.

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- 144. The method of any one of claims 140 to 143, wherein extract is administered before or after surgical tumour resection.
  - 145. The method of any one of claims 140 to 144, wherein the extract is administered in multiple doses.

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146. The method of claim 145, wherein the extract is administered weekly for a predetermined number of weeks.

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147. The method of claim 145, wherein the extract is administered as an ongoing maintenance therapy.

148. The method of claim 147, wherein the extract is administered weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.

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149. The method of any one of claims 140 to 148, wherein the extract is administered when a sign of cancer relapse is observed.

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150. Use of the extract of any one of claims 130 to 132 for treating cancer in a subject, wherein the cells are autologous to the subject.

The use of claim 150, wherein the extract is formulated as a cancer vaccine.

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- 151. The use of claim 150, wherein the extract is formulated as an immunogenic composition.
- 152.

- 153. The use of any one of claims 150 to 152, wherein the extract is for use concurrently or sequentially with at least one of conventional chemotherapy, radiotherapy, hormone therapy, and biotherapy.
- 5 154. The use of any one of claims 150 to 153, wherein the extract is for use before or after surgical tumour resection.
  - 155. The use of any one of claims 150 to 154, wherein the extract is for use in multiple doses.
  - 156. The use of claim 155, wherein the extract is for use weekly for a pre-determined number of weeks.
- 157. The use of claim 155, wherein the extract is for use as an ongoing maintenance therapy.

- 158. The use of claim 157, wherein the extract is for use weekly, monthly, every 3 months, every 6 months, yearly, or a combination thereof.
- 20 159. The use of any one of claims 150 to 158, wherein the extract is for use when a sign of cancer relapse is observed.

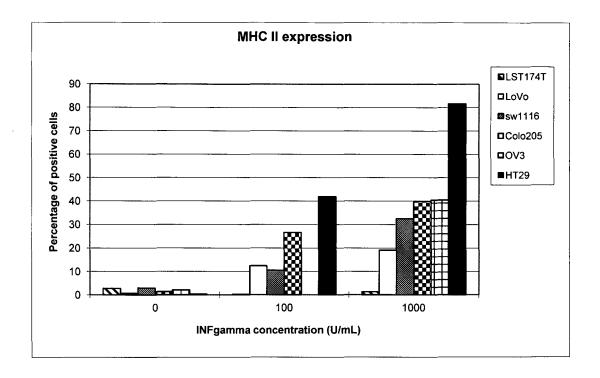


Figure 1

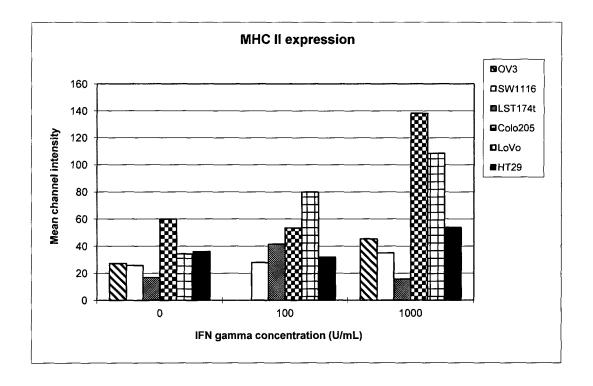


Figure 2

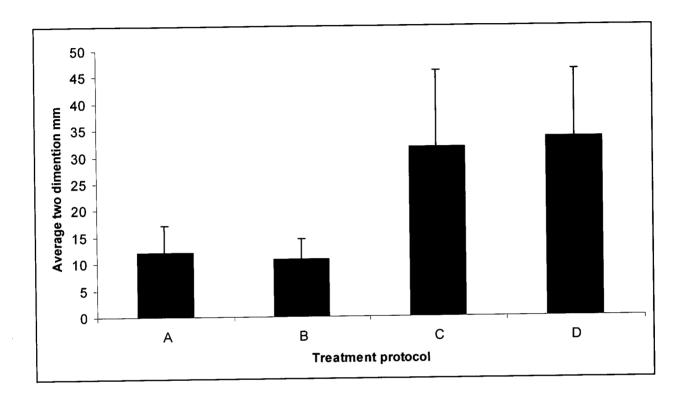


Figure 3

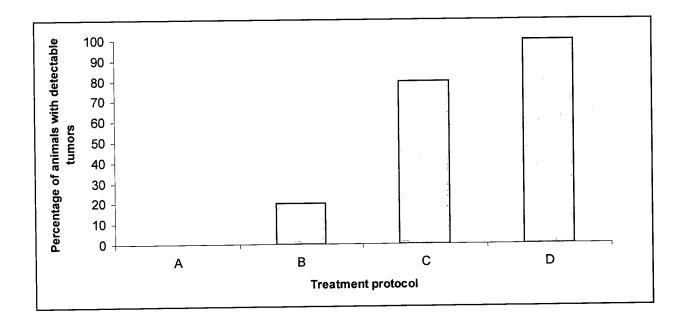


Figure 4

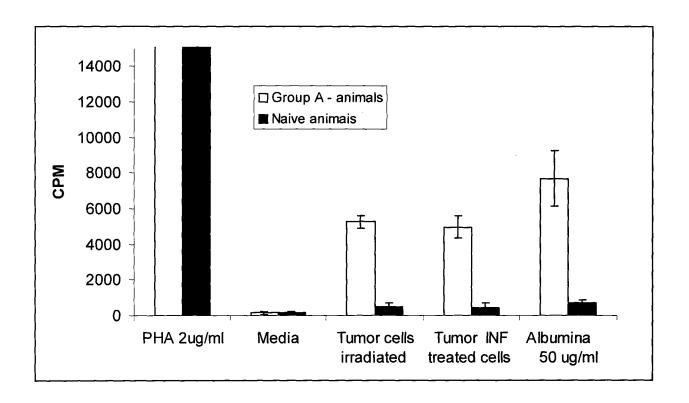


Figure 5

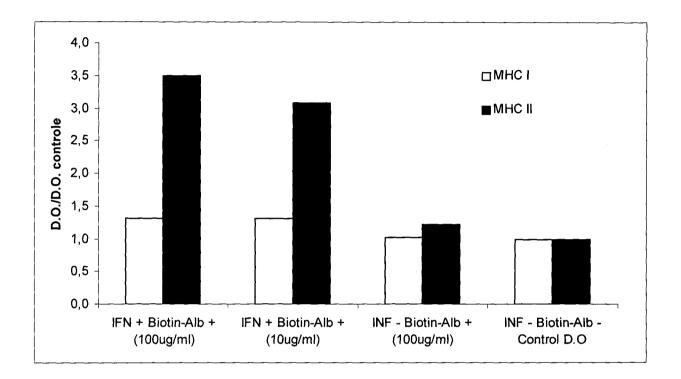


Figure 6

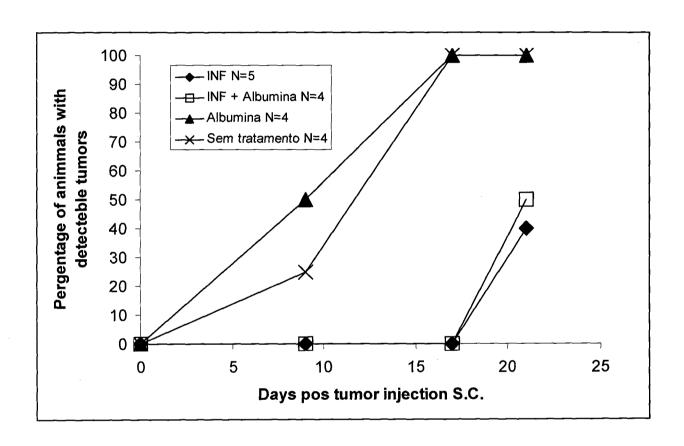


Figure 7

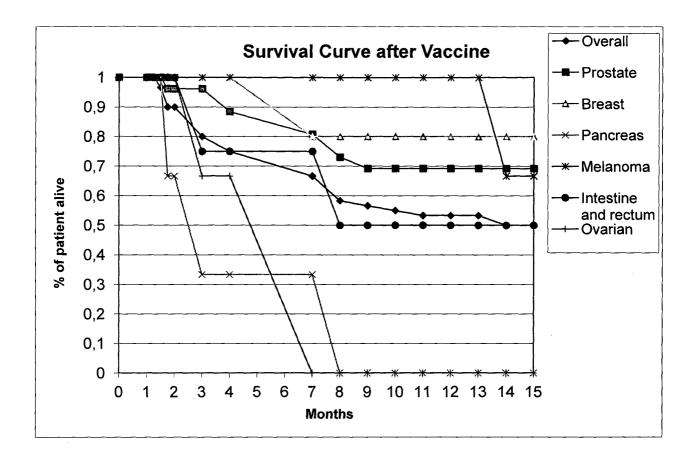


Figure 8

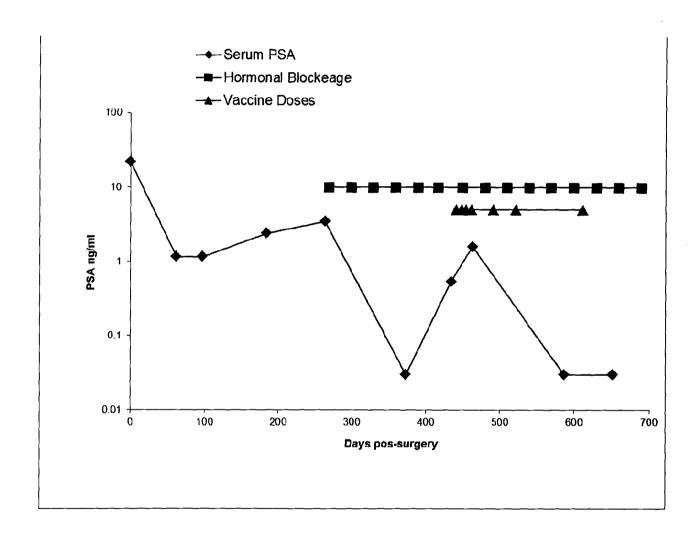


Figure 9

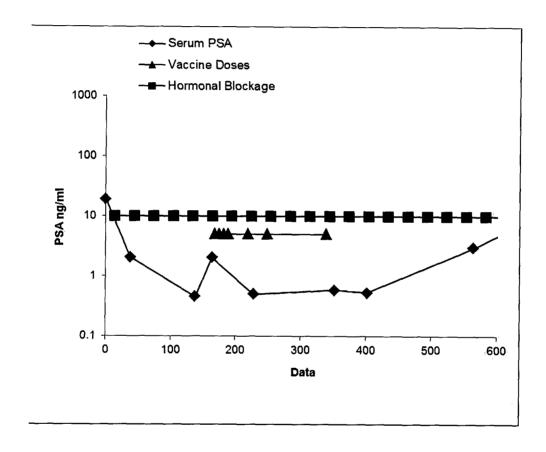


Figure 10

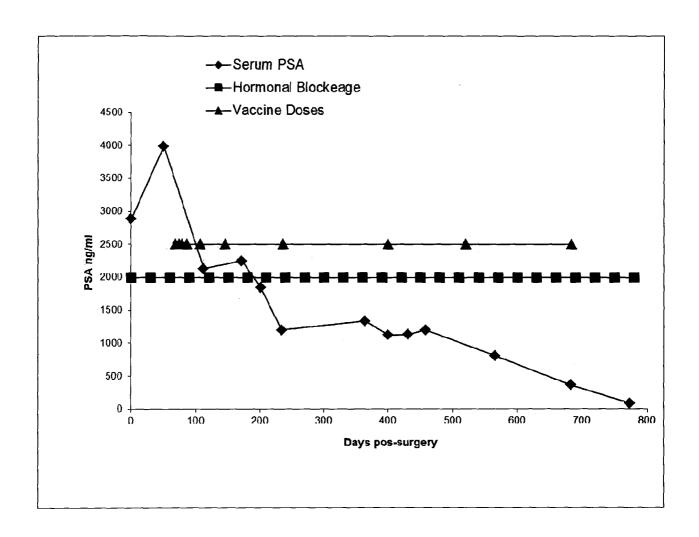


Figure 11

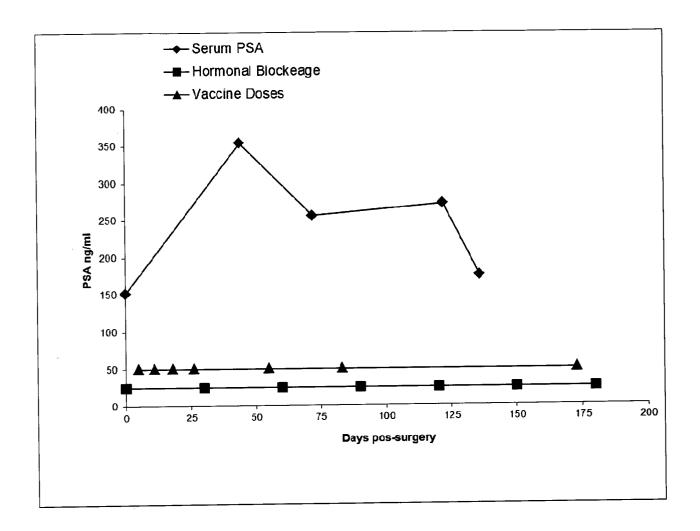


Figure 12

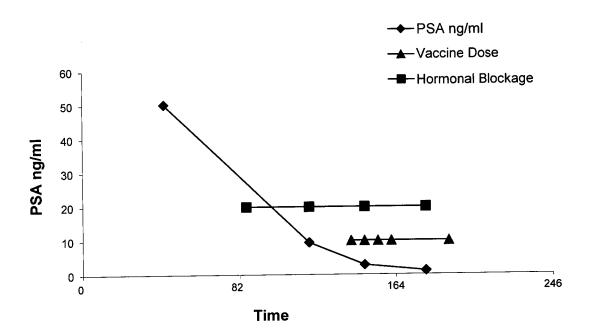
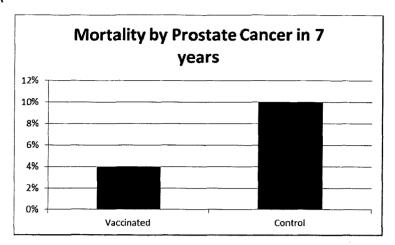


Figure 13

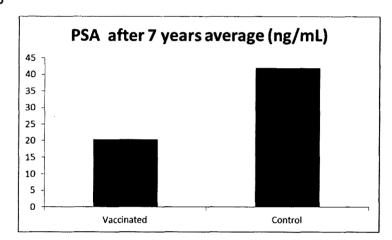
WO 2013/106895 PCT/BR2013/000047

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Α



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С

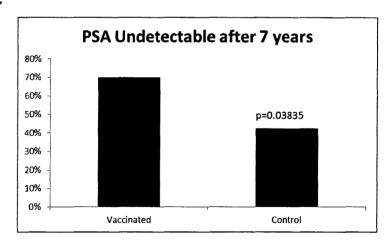


Figure 14

## INTERNATIONAL SEARCH REPORT

International application No.

			PCT/BR2013/000047		
IPC(8) - USPC -	SSIFICATION OF SUBJECT MATTER A61K 39/00 (2013.01) 424/277.1 o International Patent Classification (IPC) or to both i	national classification an	nd IPC	- 1	
B. FIELDS SEARCHED					
Minimum documentation searched (classification system followed by classification symbols) IPC(8) - A61K 38/19, 38/20, 38/21, 38/38, 39/00, 39/39, 39/116, 48/00; A61P 35/00, 37/04 (2013.01) USPC - 424/93.21, 155.1, 184.1, 185.1, 277.1; 435/1.1, 7.23					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched CPC- A01N 1/02; A61K 38/00, 39/0011, 48/00, 2039/505 (2013.01)					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Orbit.com, Google Patents, Google Scholar					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	gory* Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.		
X	US 2002/0018766 A1 (ROBERTS et al) 14 February 2002 (14.02.2002) entire document		1-5, 98-104, 106-111 		
Υ				22-36, 41-45, 58-66, 78-86, 105, 130-132	
Y	US 2010/0092499 A1 (MOVIGLIA et al) 15 April 2010 (15.04.2010) entire document		22-36, 41-45, 58-66, 78-86, 130-132		
Y	US 2008/0050395 AI (GERBER) 28 February 2008 (28.02.2008) entire document		26, 34, 64, 84		
Y	GONG et al Activation of antitumor cytotoxic T lymphocytes by fusions of human dendritic cells and breast carcinoma cells PNAS March 14, 2000, vol. 97, no. 6, 2715–2718 entire document		105		
A	TREFZER et al. 'HYBRID CELL VACCINATION FOR CANCER IMMUNE THERAPY: FIRST CLINICAL TRIAL WITH METASTATIC MELANOMA.' Int. J. Cancer: 85, 618–626 (2000) entire document		1-5, 22-36, 41-45, 58-66, 78-86, 98-111, 130-132		
A	WO 1995/016775 A (GUO et al) 22 June 1995 (22.06.95) entire document		1-5, 22-36, 41-45, 58-66, 78-86, 98-111, 130-132		
Further documents are listed in the continuation of Box C.					
"A" docume	categories of cited documents:  Int defining the general state of the art which is not considered particular relevance	date and not in cor	olished after the interral inflict with the applications underlying the interest of the second secon	national filing date or priority ation but cited to understand	
"E" earlier a filing d	pplication or patent but published on or after the international ate	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive			
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is			
"O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than		combined with one or more other such documents, such combination being obvious to a person skilled in the art			
the priority date claimed		"&" document member of the same patent family			
Date of the actual completion of the international search  09 May 2013		Date of mailing of the international search report  0 3 JUN 2013			
Name and mailing address of the ISA/US		Authorized officer:			
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450		Blaine R. Copenheaver			
Facsimile No. 571-273-3201		PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774			

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/BR2013/000047

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)				
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
2. Claims Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:				
3. Claims Nos.: 6-21, 37-40, 46-57, 67-77, 87-97, 112-129, 133-159 because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)				
This International Searching Authority found multiple inventions in this international application, as follows:				
,				
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.				
2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.				
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:				
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:				
Pomouli on Briefest				
Remark on Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.				
The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.				
No protest accompanied the payment of additional search fees.				