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(54) Title: DEFOAMING COMPOSITIONS

(57) Abstract

Defoamers are the products of the reaction of epichlorohydrin and compounds having the formula (II) R₃(EO)_n(PO)_mOH, wherein R₃ is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1 are extremely efficient defoamers for aqueous surfactant systems. The defoamers are added to a surfactant in an amount sufficient to reduce or eliminate foam and have the advantage of being totally dispersible in water, are readily biodegradable, contain no organic solvents and do not affect the detergency of surfactants with which they are used because they are nonionic surfactants in themselves.

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DEFOAMING COMPOSITIONS

1. Field of the Invention

The invention relates to the reaction products of alkoxylated alcohols and epichlorohydrin and their use of alkoxylated alcohols to control foaming in surfactant compositions.

5 2. Background of the Invention

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Aqueous cleaning compositions exhibit a tendency toward foaming because they contain surface active agents such as soaps, and synthetic detergents. In many instances, such cleaning compositions produce excessive foam and the user must use substances known as add anti-foaming agents or defoamers. Some defoamers such as silicones tend to interfere with the function of the cleaning compositions in that unwanted residues are left after the cleaners are wiped off while others are environmentally unacceptable because they are not biodegradable.

Alkyl polyglycosides are a class of nonionic surfactants that exhibit significantly higher foaming profiles than other nonionic surfactants, such as alcohol ethoxylates. In fact, it can be said that the foaming tendencies of alkyl polyglycosides more closely resemble those of anionic surfactants, such as alcohol sulfates, than the foaming tendencies of other nonionic surfactants. This higher foaming tendency makes the use of alkyl polyglycosides undesirable for

many applications, e.g. cleaning-in-place for food processing plants, high pressure spray cleaning, bottle washing, floor cleaners and automatic dishwashing, wherein high levels of foam interfere with the cleaning and rinsing operation and reduce the efficiency of the operation.

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Low foam nonionics, such as EO/PO block copolymers, can be used to reduce the foaming properties of alkyl polyglycoside surfactants, but these materials have undesirable properties, e.g. low biodegradability, relatively high aquatic toxicity and poor caustic compatibility.

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Accordingly, there is a need for the development of defoamers that do not interfere with the cleaning ability of aqueous cleaning compositions and that are biodegradable, exhibit low aquatic toxicity and good caustic compatibility.

SUMMARY OF THE INVENTION

The surprising discovery has been made that the products of the reaction of epichlorohydrin and compounds having the formula II

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$$R_3(EO)_n(PO)_m$$
-OH (II)

wherein R₃ is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1 are extremely efficient defoamers for aqueous surfactant systems. These reaction products are added to a surfactant in an amount sufficient to reduce or eliminate foam. The reaction products have the advantage of being totally dispersible in water, are readily biodegradable, contain no organic solvents and do not affect the detergency of surfactants with which they are used because they are nonionic surfactants in themselves.

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BRIEF DESCRIPTION OF THE DRAWING

Figure 1 shows the relative defoaming effect of 0.1% by weight of a defoamer from Examples 1-3 on GLUCOPON® 220 Surfactant in soft water at 25°C under the test protocol of Example 4.

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DESCRIPTION OF THE PREFERRED EMBODIMENTS

The defoamers according to the invention are reaction products as described herein below and are added to a surfactant-water system comprised

of one or more surfactants in an amount effective to eliminate or decrease the foam generated by the surfactant as a result of some type of mechanical action such as mixing, pouring, and/or shaking. The amount required to eliminate and/or decrease foam is defined as a defoaming effective amount and will vary from one instance to another depending upon the nature of the surfactant or mixture of surfactants and the defoaming effect desired. A defoaming effective amount will be readily determinable by one of ordinary skill in the art. When the surfactant is one or more alkyl polyglycosides, the defoaming effective amount will typically vary from a weight ratio of alkyl polyglycoside/defoamer 4.0/1.0 to about 1.0/1.0.

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The defoaming compositions according to the invention are the products of the reaction of epichlorohydrin and compounds having the formula II

$$R_3(EO)_n(PO)_mOH$$
 (II)

wherein R_3 is a substituted or unsubstituted, saturated or unsaturated aliphatic moiety having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; and epichlorohydrin wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1 and preferably from about 0.80/1 to about 2/1.

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In regard to the alkoxylates of formula II, R_3 can be any substituted or unsubstituted, saturated or unsaturated aliphatic moiety having from 4 to 22 carbon atoms. Thus R_3 can be a linear or branched alkyl group, a linear or branched alkenyl or alkenyl group, a saturated carbocyclic moiety, an unsaturated carbocyclic moiety having one or more multiple bonds, a saturated heterocyclic moiety, an unsaturated heterocyclic moiety having one or more multiple bonds, a substituted linear or branched alkyl group, a substituted linear or branched alkenyl or alkynyl group, a substituted saturated carbocyclic moiety, a substituted unsaturated carbocyclic moiety having one or more multiple bonds, a substituted saturated heterocyclic moiety, a substituted unsaturated heterocyclic moiety having one or more multiple bonds. Examples of the above include but are not limited to an alkyl group having from 4 to 22 carbon atoms, an alkenyl group having from 4 to 22 carbon atoms. R_3 can also be an arenyl group. Arenyl groups are alkyl-

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substituted aromatic radicals having a free valence at an alkyl carbon atom such as a benzylic group. The preferred value of R_3 is an alkyl group having from 4 to 22 carbon atoms and most preferably an alkyl group having from 8 to 10 carbon atoms. The degree of ethoxylation is preferably from 2 to about 50 with the most preferred being from about 4 to about 50 while the degree of propoxylation can vary from 0 to 10. The degree of propoxylation will be determined by the desired degree of water solubility or miscibility. The water solubility or miscibility will ultimately be determined by such factors as the number of carbon atoms in R_3 , the relative amounts EO to PO and the effect of PO on the biodegradability of the final defoamer. The water solubility or miscibility of a defoamer according to the invention and the interrelationships between the number of carbon atoms in R_3 , the relative amounts EO and PO and the biodegradability of the final product will be readily determinable by one of ordinary skill in the art.

The reaction products of the alkoxylates of formula II and epichlorohydrin are described in copending application serial number 08/727,983, filed on 10/09/96, the entire contents of which are incorporated herein by reference and can be made by the procedure set forth in the Examples below.

While the method according to the invention can be used to control foam generated by any type of surfactant or blend of surfactants, it is especially useful for controlling foam in compositions containing one or more alkyl polyglycoside surfactants.

The alkyl polyglycosides which can be used in the invention have the formula I

$$R_1O(R_2O)_b(Z)_a$$
 I

wherein R₁ is a monovalent organic radical having from about 6 to about 30 carbon atoms; R₂ is a divalent alkylene radical having from 2 to 4 carbon atoms; Z is a saccharide residue having 5 or 6 carbon atoms; b is a number having a value from 0 to about 12; a is a number having a value from 1 to about 6. Preferred alkyl polyglycosides which can be used in the compositions according to the invention have the formula I wherein Z is a glucose residue and b is zero. Such alkyl polyglycosides are commercially available, for example, as APG®, GLUCOPON®, or PLANTAREN® surfactants from Henkel Corporation, Ambler,

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PA 19002. Examples of such surfactants include but are not limited to:

- 1. APG® 225 Surfactant an alkyl polyglycoside in which the alkyl group contains 8 to 10 carbon atoms and having an average degree of polymerization of 1.7.
- 2. APG® 425 Surfactant an alkyl polyglycoside in which the alkyl group contains 8 to 16 carbon atoms and having an average degree of polymerization of 1.5.
- 3. APG® 625 Surfactant an alkyl polyglycoside in which the alkyl group contains 12 to 16 carbon atoms and having an average degree of polymerization of 1.6.
- 4. APG® 325 Surfactant an alkyl polyglycoside in which the alkyl group contains 9 to 11 carbon atoms and having an average degree of polymerization of 1.5.
- 5. GLUCOPON® 600 Surfactant an alkyl polyglycoside in which the alkyl group contains 12 to 16 carbon atoms and having an average degree of polymerization of 1.4.
 - 6. PLANTAREN® 2000 Surfactant a C₈₋₁₆ alkyl polyglycoside in which the alkyl group contains 8 to 16 carbon atoms and having an average degree of polymerization of 1.5.
 - 7. PLANTAREN® 1300 Surfactant a C₁₂₋₁₆ alkyl polyglycoside in which the alkyl group contains 12 to 16 carbon atoms and having an average degree of polymerization of 1.6.
 - 8. GLUCOPON® 220 Surfactant an alkyl polyglycoside in which the alkyl group contains 8 to 10 carbon atoms and having an average degree of polymerization of 1.5.

Other examples include alkyl polyglycoside surfactant compositions which are comprised of mixtures of compounds of formula I wherein Z represents a moiety derived from a reducing saccharide containing 5 or 6 carbon atoms; a is a number having a value from 1 to about 6; b is zero; and R₁ is an alkyl radical having from 8 to 20 carbon atoms. The compositions are characterized in that they have increased surfactant properties and an HLB in the range of about 10 to about 16 and a non-Flory distribution of glycosides, which is comprised of a mixture of an alkyl monoglycoside and a mixture of alkyl polyglycosides having varying degrees of polymerization of 2 and higher in progressively decreasing amounts, in which the amount by weight of polyglycoside having a degree of polymerization of 2, or mixtures thereof with the polyglycoside having a degree

of polymerization of 3, predominate in relation to the amount of monoglycoside, said composition having an average degree of polymerization of about 1.8 to about 3. Such compositions, also known as peaked alkyl polyglycosides, can be prepared by separation of the monoglycoside from the original reaction mixture of alkyl monoglycoside and alkyl polyglycosides after removal of the alcohol. This separation may be carried out by molecular distillation and normally results in the removal of about 70-95% by weight of the alkyl monoglycosides. After removal of the alkyl monoglycosides, the relative distribution of the various components, mono- and poly-glycosides, in the resulting product changes and the concentration in the product of the polyglycosides relative to the monoglycoside increases as well as the concentration of individual polyglycosides to the total, i.e. DP2 and DP3 fractions in relation to the sum of all DP fractions. Such compositions are disclosed in U.S. patent 5,266,690, the entire contents of which are incorporated herein by reference.

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EXAMPLE 1

About 150 grams of decyl alcohol ethoxylated with an average of 4 moles of ethylene oxide (0.45 OH equivalents) were mixed with 385 grams of toluene and 54 grams of 50% aq. NaOH (0.675 equivalents). The water was removed by azeotropic distillation and when a moisture level of less than 0.8% was reached, about 46 grams (0.51 equivalents) of epichlorohydrin were slowly added. This mixture was allowed to react at 100-110°C for 24 hours. An aliquot of this mixture was removed and filtered to remove the NaCl and vacuum stripped to remove the toluene to give an amber, easily pourable liquid product that was dispersible in water. When about 1 gram of this liquid was shaken with 1 gram of decyl alcohol ethoxylated with an average of 4 moles of ethylene oxide in 50 grams of DI water, very little foam was observed. When 1 gram of decyl alcohol ethoxylated with an average of 4 moles of ethylene oxide in 50 grams of DI water was shaken, a very large amount of foam was observed.

EXAMPLE 2

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About 51 grams of butanol ethoxylated with an average of 2 moles of ethylene oxide (0.32 OH equivalents) were mixed with 120 grams of toluene and 25 grams of 50% aq. NaOH (0.32 equivalents). The water was removed by

azeotropic distillation and when a moisture level of less than 0.8% was reached, about 46 grams (0.24 equivalents) of epichlorohydrin were slowly added. This mixture was allowed to react at 100-110°C for 24 hours. An aliquot of this mixture was removed and filtered to remove the NaCl and vacuum stripped to remove the toluene to give an amber, easily pourable liquid product that was insoluble in water. When about 1 gram of this liquid was shaken with 1 gram of decyl alcohol ethoxylated with an average of 4 moles of ethylene oxide in 50 grams of DI water, very little foam was observed.

EXAMPLE 3

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About 200.0 gm (0.654 hydroxyl equivs.) of octyl alcohol ethoxylated with an average of 4 moles of ethylene oxide was mixed with 400 gm toluene and 78.4 gm (0.98 equivs.) of 50% NaOH. Water was removed by azeotropic distillation until the level was below 0.8%. The mixture was cooled to 80°C and 67.2 gm (0.72 moles) of epichlorohydrin was added over 45 mins. The mixture was stirred for 24 hrs at 110°C until the epoxy titration showed no epoxide left. The material was cooled, filtered and the toluene was removed by vacuum distillation leaving a dark brown low viscosity liquid.

EXAMPLE 4

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A test mixture was prepared by mixing 51 parts (dry solids basis) of GLUCOPON® 220 Surfactant and 15 parts of a defoamer of Examples 1-3. The amount of foam produced by a 0.1% actives test mixture in water was compared with that of a 0.1% actives GLUCOPON® 220 Surfactant in water according to the method below. The data from this test is depicted graphically in Figure 1.

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The foam cell consists of a 2-liter jacketed graduate, peristaltic pump with variable voltage controller, and silicone and glass tubing. A test mixture is circulated at a constant temperature and flow rate, and falls from a constant height of 30 cm back into itself, creating foam. The tests are run under the following three sets of conditions: In the first test, a 0.1% active solution of the test surfactant in soft (10-15 ppm) water is circulated at 25°C and the foam volume is read every 30 seconds. In the second test, a 0.1% active solution in 1% NaOH is circulated at 25°C, and the foam volume is read every 30 seconds. In the third test, a 0.1% active solution in 1% NaOH is circulated. After 30

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seconds, the foam volume is read and 1 ml of 1% TEA LAS solution is simultaneously added as a test-foamer. After another 30 seconds, the foam volume is read. About 30 seconds later, another 1 ml of 1% TEA LAS is added, and the foam volume is read 30 seconds after that. This cycle, in which every 30 seconds the test-foamer is added and 30 seconds later the foam volume read, is repeated until the foam volume exceeds 1,500 ml. The test is carried out both at 25°C and at 49°C. This method gives us an indication of the antifoam capacity of the test surfactant. The relative defoaming characteristics of compounds according to the invention as measured by this method is shown in Figure 1.

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What is claimed is:

1. A process for reducing or preventing foam in an aqueous surfactant composition comprising adding to said aqueous surfactant composition a defoaming effective amount of a composition which is the product of the process which comprises reacting epichlorohydrin and a compound of the formula II $R_3(EO)_n(PO)_mOH \qquad (II)$

wherein R_3 is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole

- ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1.
 - 2. The process of claim 1 wherein R_3 is an alkyl group having from 4 to 12 carbon atoms.
 - 3. The process of claim 1 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms.
- 15 4. The process of claim 1 wherein n is a number from about 2 to about 50.
 - 5. The process of claim 1 wherein n is a number from about 4 to about 50.
 - 6. The process of claim 1 wherein the mole ratio of epichlorohydrin to (II) is from about 0.80/1 to about 2/1.
- 7. The process of claim 1 wherein R₃ is an alkyl group having from 4 to 12 carbon atoms and n is a number from about 2 to about 50.
 - 8. The process of claim 1 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms and n is a number from about 4 to about 50.

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9. A process for reducing or preventing foam in an aqueous surfactant composition comprising adding to said aqueous surfactant composition a defoaming effective amount of a composition which is the product of the process which comprises reacting epichlorohydrin and a compound of the formula II

 $R_3(EO)_n(PO)_mOH$ (II)

wherein R_3 is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1 and wherein said surfactant is a compound of the formula I

 $R_1O(R_2O)_b(Z)_a$ I

wherein R_1 is a monovalent organic radical having from about 6 to about 30 carbon atoms; R_2 is a divalent alkylene radical having from 2 to 4 carbon atoms; Z is a saccharide residue having 5 or 6 carbon atoms; b is a number having a value from 0 to about 12; a is a number having a value from 1 to about 6.

- 10. The process of claim 9 wherein R_3 is an alkyl group having from 4 to 12 carbon atoms.
- 11. The process of claim 9 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms.
- 20 12. The process of claim 9 wherein n is a number from about 2 to about 50.
 - 13. The process of claim 9 wherein n is a number from about 4 to about 50.
 - 14. The process of claim 9 wherein the mole ratio of epichlorohydrin to (II) is from about 0.80/1 to about 2/1.
- 15. The process of claim 9 wherein R₃ is an alkyl group having from 4 to 12 carbon atoms and n is a number from about 2 to about 50.

- 16. The process of claim 9 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms and n is a number from about 4 to about 50.
- 17. The process of claim 9 wherein R_1 is an alkyl group having from about 8 to about 10 carbon atoms and a is about 1.5.
- The reaction product of epichlorohydrin and a compound of the formula

$$R_3(EO)_n(PO)_mOH$$
 (II)

wherein R₃ is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1.

- 19. The reaction product of claim 18 wherein R_3 is an alkyl group having from 4 to 12 carbon atoms.
- 20. The reaction product of claim 18 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms.
 - 21. The reaction product of claim 18 wherein n is a number from about 2 to about 50.
 - 22. The reaction product of claim 18 wherein n is a number from about 4 to about 50.
- 20 23. The reaction product of claim 18 wherein the mole ratio of epichlorohydrin to (II) is from about 0.80/1 to about 2/1.
 - 24. The reaction product of claim 18 wherein R_3 is an alkyl group having from 4 to 12 carbon atoms and n is a number from about 2 to about 50.

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25. The reaction product of claim 18 wherein R_3 is an alkyl group having from 8 to 10 carbon atoms and n is a number from about 4 to about 50.

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- 26. An aqueous surfactant composition comprising
 - A) at least one compound of the formula I

 $R_1O(R_2O)_b(Z)_a \qquad I$

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wherein R_1 is a monovalent organic radical having from about 6 to about 30 carbon atoms; R_2 is a divalent alkylene radical having from 2 to 4 carbon atoms; Z is a saccharide residue having 5 or 6 carbon atoms; b is a number having a value from 0 to about 12; a is a number having a value from 1 to about 6; and

B) a foam reducing quantity of at least one reaction product of epichlorohydrin and a compound of the formula II

$$R_3(EO)_n(PO)_mOH$$
 (II)

wherein R_3 is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to (II) is from about 0.60/1 to about 2/1.

27. An aqueous surfactant composition comprising a surfactant and a foam reducing quantity of the reaction product of epichlorohydrin and at least one compound of the formula II

$$R_3(EO)_n(PO)_mOH$$
 (II)

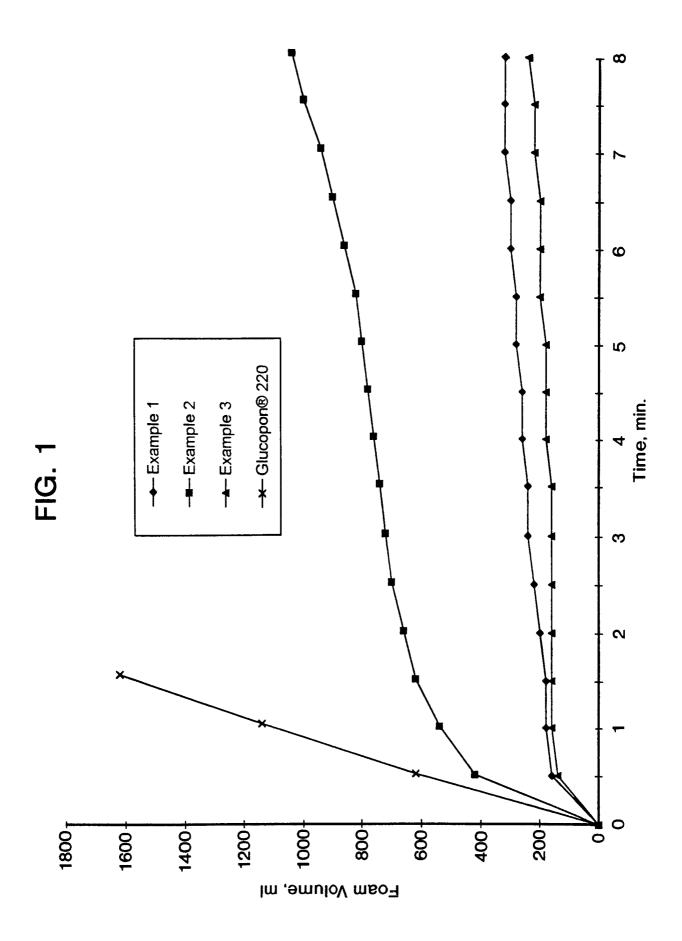
wherein R_3 is an alkyl, alkenyl or arenyl group having from 4 to 22 carbon atoms; a substituted alkyl or alkenyl group having from 4 to 22 carbon atoms wherein; n is a number from 0 to 50 and m is a number from 0 to 10; wherein the mole ratio of epichlorohydrin to the at least one compound of formula II is from about 0.60/1 to about 2/1.

28. The composition of claim 26 wherein the weight ratio of component A to

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component B is from about 4.0:1.0 to about 1.0:1.0.

- 29. The composition of claim 26 wherein in component B) R_3 is an alkyl group having from 4 to 12 carbon atoms.
- 30. The composition of claim 29 wherein R₃ is an alkyl group having from 8
 to 10 carbon atoms.
 - The composition of claim 29 wherein n is a number from about 2 to about 50.
 - 32. The composition of claim 29 wherein n is a number from about 4 to about 50.
- 10 33. The composition of claim 29 wherein the mole ratio of epichlorohydrin to the at least one compound of formula II is from about 0.80/1 to about 2/1.
 - 34. The composition of claim 26 wherein in component B) R₃ is an alkyl group having from 4 to 12 carbon atoms and n is a number from about 2 to about 50.
- 35. The composition of claim 34 wherein R₃ is an alkyl group having from 8 to 10 carbon atoms and n is a number from about 4 to about 50.
 - 36. The composition of claim 26 wherein in component A) R_1 is an alkyl group having from about 8 to about 10 carbon atoms and a is about 1.5.



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/00022

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :B01D 19/04; C07C 43/11, 43/18, 43/20 US CL :Please See Extra Sheet								
US CL: Please See Extra Sheet. According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED								
Minimum d	ocumentation searched (classification system followed	d by classification symbols)						
U.S. : 252/321, 358, 351; 510/421, 547, 506, 535; 568/601, 606, 607, 624, 625								
Documentat	tion searched other than minimum documentation to the	extent that such documents are included	in the fields searched					
NONE								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) NONE								
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.					
X	US 3,623,988 A (WEIMER) 30 No column 2, line 74 to column 3, line 5;	1-3, 6, 18-25 & 27						
X	US 4,077,894 A(LANGDON et al.)	· · · · · · · · · · · · · · · · · · ·	1-2 & 6					
	column 5, lines 24-43; and claims.	•	2 0 11 % 14					
Y	sequa, example 2j; colum 17, lines 44-4.	45, example 31; and example	3, 9-11, & 14					
Y	US 5,573,707 A (COLE et al.) 12 column 2, lines 8-32; column 2, lines		9-11, 14 & 17					
X Furth	ner documents are listed in the continuation of Box C	. See patent family annex.						
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INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/00022

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim N
	, , , , , , , , , , , , , , , , , , ,	
X	US 4,098,713 A (JONES) 04 July 1978, see abstract; column 3,	18-24 & 27
	lines 39 et sequa; examples; and claims.	
Y		25-26 & 28-36
ľ	US 2,572,886 A (DE GROOTE et al.) 30 October 1951, see column 12, lines 33-40; column 16, line 43; and column 17, lines 41 et sequa.	18-25
(US 5,370,816 A (BALZER et al.) 06 December 1994, see abstract; examples; and claims.	26-36
\	US 3,671,458 A (SHERMAN et al.) 20 June 1972, see entire document.	1-36
\	US 4,375,565 A (GREIF et al.) 01 March 1983, see entire document.	1-36

INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER: US CL:									
252/321, 358, 351; 510/421, 547, 506, 535; 568/601, 606, 607, 624, 625									