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(54) **Title:** MULTI-LAYER COMPOSITES FORMED FROM COMPOSITIONS HAVING IMPROVED ADHESION, COATING COMPOSITIONS, AND METHODS RELATED THERETO

(57) **Abstract:** Improved multi-layer composites are provided. The multi-layer composites include at least a first polymeric layer and a second polymeric layer formed over the first polymeric layer thereby forming an interface region there between. At least one of the polymeric layers is formed from a thermosetting composition which contains an adhesion promoter composition. The adhesion promoter composition is formed from (1) at least one adhesion promoting component, and (2) at least one surface active component. The improvement is represented by the presence of the adhesion promoting component (1) at the interface region.



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**MULTI-LAYER COMPOSITES FORMED FROM COMPOSITIONS HAVING  
IMPROVED ADHESION, COATING COMPOSITIONS, AND METHODS  
RELATED THERETO**

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**FIELD OF THE INVENTION**

The present invention relates to multi-layer composites of two or more  
15 polymeric layers, at least one of which is formed from a thermosetting  
composition. the composite comprising at least a first polymeric layer formed  
on a substrate and a second polymeric formed over at least a portion of the  
first polymeric layer, wherein in the absence of an adhesion promoter  
composition, the first polymeric layer and the second polymeric layer have  
20 poor interlayer adhesion. The present invention also relates to curable  
coating compositions used to form multi-layer composites, to methods for  
improving the interlayer adhesion of such multi-layer composites and to  
coated substrates.

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**BACKGROUND OF THE INVENTION**

Color-plus-clear coating systems involving the application of a colored  
or pigmented basecoat to a substrate followed by application of a transparent  
or clearcoat over the basecoat have become increasingly popular as original  
finishes for a number of consumer products including, for example,  
30 automotive vehicles. The color-plus-clearcoating systems have outstanding  
appearance properties such as gloss and distinctness of image, due in large  
part to the clearcoat. Such color-plus-clearcoating systems have become  
popular for use with automotive vehicles, aerospace applications, floor  
coverings such as ceramic tiles and wood flooring, packaging coatings and  
35 the like.

Topcoat coating compositions, particularly those used to form the transparent clearcoat in color-plus-clearcoating systems for automotive applications, are subject to defects that can occur during the assembly process, as well as damage from numerous environmental elements. Such defects which can occur during the assembly process include paint defects which can occur during the application or curing of the basecoat and/or the clearcoat. Damaging environmental elements include acidic precipitation, exposure to ultraviolet radiation from sunlight, high relative humidity and high temperatures, defects due to contact with objects causing scratching of the coated surface, and defects due to impact with small, hard objects resulting in chipping of the coating surface.

Further, elastomeric automotive parts and accessories, for example, elastomeric bumpers and body side moldings, are typically coated "off site" and shipped to automobile assembly plants. The coating compositions applied to such elastomeric substrates are typically formulated to be very flexible so the coating can bend or flex with the substrate without cracking. To achieve the requisite flexibility, coating compositions for use on elastomeric substrates often are formulated to produce coatings with lower crosslink densities or to include flexibilizers which act to lower the overall film glass transition temperature ( $T_g$ ). While acceptable flexibility properties can be achieved with these formulating techniques, they also can result in softer films that are susceptible to scratching. Consequently, great expense and care must be taken to package the coated parts to prevent scratching of the coated surfaces during shipping to automobile assembly plants.

U.S. Patent No. 6,235,858 B1 discloses carbamate and/or urea functional polymers for use in coating compositions, especially clear coating compositions for color-plus-clear coating systems. Such polymers provide coatings with good resistance to damage caused by acidic precipitation.

U.S. Patent No. 5,853,809 discloses clearcoats in color-plus-clear systems which have improved scratch resistance due to the inclusion in the coating composition of inorganic particles such as colloidal silicas which have been surface modified with a reactive coupling agent *via* covalent bonding.

A number of patents disclose the use of a surface active material, for example, a polysiloxane, in coating compositions to improve mar resistance of the cured coatings. U.S. Patent Nos. 5,939,491 and 6,225,434B1 disclose coating compositions comprising organic polysiloxanes having reactive  
5 functional groups. These polysiloxanes provide coatings with improved mar and scratch resistance.

A number of patents disclose the use of boric acid in polymeric compositions. For example, U.S. Patent Nos. 5,951,747 and 6,059,867 discloses the use of boric acid and borates in conjunction with a succinate in  
10 non-chromate, corrosion-inhibiting coating compositions for improved adhesion to metallic surfaces. Such compositions further include inhibitors such as phosphates, phosphosilicates, silicates, titanates, and zinc salts. U.S. Patent No. 4,832,990 discloses a process for improving adhesion of polyolefins to metal substrates comprising mechanical cleaning of the metal  
15 surface, treating the metal surface with a water-alcohol solution containing an alkoxysilane and boric acid, thermally treating the acid treated substrate, and subsequently treating the substrate with a polyolefin-based composition comprising zeolites and carbon black pigment. U.S. Patent No. 5,073,455 discloses a thermoplastic laminated film which has improved adhesion to  
20 hydrophilic polymers, hydrophobic polymers and inorganic substances. The film comprise a base film of thermoplastic resin and a layer formed on the base film comprising a composition of one or more of water-soluble resins, water emulsified resins and water-dispersible resins, and an organic boron polymer or a mixture composed of an organic boron polymer and vinyl  
25 alcohol.

Other multi-layer composite coatings are commonplace in modern coating lines. For example, a typical automotive coating system can include the sequential application of an electrodeposition primer, a primer-surfacer, a color enhancing base coat, and a transparent top coat. In some instances,  
30 the electrodeposition primer is applied over a mill-applied weldable, thermosetting coating which has been applied to the coiled steel metal substrate from which the automobile body (or body parts, such as fenders,

doors and hoods) has been formed. Also, adhesive coatings, for example, windshield adhesives, trim and molding adhesives and structural adhesives are sometimes applied to the cured top coats where necessary. Due to these multi-layer composite coating processes, it is necessary that the previously  
5 applied coating layer have excellent intercoat or interlayer adhesion to the subsequently applied coating layer(s).

Although the aforementioned coating compositions exhibit improvements for acid etch resistance and mar and scratch resistance, such compositions may not be readily recoatable. That is, when a subsequent  
10 coating is applied to the cured mar and scratch resistant coating composition, the intercoat adhesion between the cured coating and the subsequently applied coating can be quite poor.

For example, as mentioned above, on most vehicle coating lines the vehicle body is first given a corrosion inhibitive electrodepositable primer  
15 coating commonly formed from a cationic electrodepositable coating composition. This electrodeposition primer is fully cured and, a primer-surfacer is typically applied to the cured electrodeposition primer. The primer-surfacer serves to enhance chip resistance of subsequently applied top coatings as well as to ensure good appearance of the top coatings. The  
20 electrodepositable primer must have excellent interlayer, i.e., intercoat, adhesion to the subsequently applied primer-surfacer coating. The top coats, which can include a monocoats as well as a color-plus-clear coating system, are then applied to the cured primer-surfacer coating. While most top coats have excellent intercoat adhesion to the primer-surfacer coating, some top  
25 coating compositions inherently may exhibit intercoat adhesion problems with some primer-surfacer coatings.

Also, due to the resultant cost-savings, there is recent interest in the automotive coatings market in eliminating the primer-surfacer step altogether. That is, the top coats can be directly applied to the cured electrodeposition  
30 primer. In such modified coating processes, the electrodeposition primer is required to meet stringent durability and appearance specifications. Moreover, the cured electrodepositable primer must have excellent intercoat

adhesion to the subsequently applied top coats (either monocoats or color coats of a color-plus-clear system).

On commercial automobile coating lines, during application of the coating system, certain portions of the line can experience process problems.

5 For example, the clearcoat applicator might malfunction, or curing ovens can widely vary in temperature from the specification temperature. While the color coat typically is "flash cured" to drive off solvent, but not fully cure the coating, once the clear coating has been applied, the color-plus-clear coating system typically is given a full cure (e.g., 250°F (121°C) for 20 minutes) to

10 simultaneously cure both the base coat and the top coat. In instances where the clear coat application system is malfunctioning, the auto body with the applied color coat will continue through the clear coat applicator station and into the clear coat curing oven, thereby fully curing the color coat. If this occurs, some automobile manufacturers elect to reapply the color coat over

15 the fully cured color coat prior to application of the clearcoat. In such situations, the fully cured color coat can have poor intercoat adhesion with the subsequently applied color coat, even though the compositions may be the same.

Also, windshields and other items such as trim moldings typically are

20 affixed to the body of a vehicle with an adhesive material, typically a moisture-cured material containing isocyanate group-containing polymers. Motor Vehicle Safety Standards (MVSS) require that these adhesives have complete adhesion to both the windshield and the coated substrate to which they are applied. Similar adhesive compositions can be used as structural

25 adhesives as well. Such adhesives, for example, are commercially available from Essex Specialty Products, Inc. of Auburn Hills, Michigan. These adhesive products adhere well to many cured top coating compositions used to coat vehicles such as automobiles. It is known, however, that these adhesive materials often do not completely adhere to some top coats, for

30 example, those formed from coating compositions based on carbamate and/or urea containing polymers. This necessitates the application of a primer coating to the cured carbamate and/or urea-based top coatings prior to

application of the windshield adhesive to ensure compliance with the  
aforementioned Motor Vehicle Safety Standards. Such primer coatings are  
typically based on moisture-curable polymers similar to those comprising the  
adhesive. Use of such primer coatings has proven to be effective, but primer  
5 coating application adds an additional and expensive step to the windshield  
and/or trim installation processes.

Moreover, as discussed previously, during the assembly process, the  
applied color-plus-clear coating can include surface defects in the clear coat  
surface which requires repair. Some automobile manufacturers elect to  
10 remove the defect and recoat the repair area with the same clear coat  
composition. In this instance, the cured clear coat must have excellent  
intercoat adhesion to the subsequently applied clear coat. It is known,  
however, that some clear coats when cured have poor intercoat adhesion with  
the subsequently applied repair clear coat.

15 In view of the foregoing, there remains a need in the coating industry  
for coating compositions which have improved properties such as acid etch  
resistance and mar and scratch resistance while maintaining excellent  
intercoat or interlayer adhesion to subsequently applied coatings and/or  
adhesives.

20 Also, many adhesion promoters are known in the art. Such adhesion  
promoters include, for example, phosphatized epoxy compounds, for  
example, the reaction product formed from phosphoric acid and a bisphenol A  
or hydrogenated bisphenol A diglycidyl ether. Typically, such adhesion  
promoters are useful for promoting adhesion of coating layers which contain  
25 them to a substrate, for example, a metallic substrate or an elastomeric  
substrate or to a previously applied coating layer. Also, such adhesion  
promoters can be used advantageously to promote cohesive integrity within a  
coating layer, for example, the cohesive integrity of a metal flake-containing  
basecoat. Further, it is known that adhesion promoter compositions, such as  
30 a phosphate wipe or an adhesion-promoting primer, can be topically applied  
to a cured coating to provide an adhesion promoting layer thereover, thereby  
improving adhesion of a subsequently applied coating. This, however,

necessitates an additional and costly coating step in the coating application process. It is not known, however, to include an adhesion promoter as a component in a coating composition which will migrate during a curing reaction through the surrounding polymeric matrix to the surface of the resultant coating thereby promoting the interlayer or intercoat adhesion between the resultant coating and a subsequently applied coating.

As mentioned above, the surface of a coating can be modified by the inclusion of one or more surface active agents, for example, silicone oils, siloxanes, and fluorosurfactants, in the coating compositions to improve such properties as slip and mar resistance of such coatings. Typical surface active agents have solubility parameters or surface energies which are sufficiently different from the coating compositions (i.e., the composition without the surface active agent) such that, when included in the composition, the surface active agent can migrate or partition to the surface region of the cured coating as the composition cures. That is, the surface active agent is present at the surface region of the resultant coating layer. While such surface-modified coatings can exhibit improved slip and mar resistance, they often are difficult to recoat. Hence, the interlayer or intercoat adhesion with a subsequently applied coating is poor, sometimes resulting in delamination.

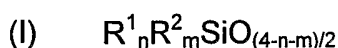
It has now been found that by selecting adhesion promoting components and surface active agents such that the solubility parameter of the coating composition containing both the adhesion promoting component and the surface active agent is sufficiently different from that of an analogous coating composition which does not contain the adhesion promoting component and the surface active agent, that the adhesion promoting component partitions to the surface region of the resultant coating. This can result in a concentration of the adhesion promoting component at the surface region which is greater than the concentration in the interior or bulk region of the coating layer. This partitioning effect of the adhesion promoting component can significantly increase its effect in promoting the adhesion of the coating layer which contains the adhesion promoter to a subsequently applied coating layer, as well as to the substrate to which it is applied.



### SUMMARY OF THE INVENTION

5           In one embodiment, the present invention provides an improved multi-layer composite comprising at least a first polymeric layer and a second polymeric layer formed over the first polymeric layer thereby forming an interface region there between. At least one of the polymeric layers is formed from a thermosetting composition comprising an adhesion promoter  
10           composition. The adhesion promoter composition comprises (1) at least one adhesion promoting component, and (2) at least one surface active component. The improvement comprises the presence of the adhesion promoting component (1) at the interface region.

          In a further embodiment, the present invention is directed to an  
15           improved multi-layer composite comprising at least a first polymeric layer and a second polymeric layer formed over the first polymeric layer thereby forming an interface region there between. The first polymeric layer has a surface region and a bulk region and is formed from a thermosetting composition. The thermosetting composition is formed from the following components: (A)  
20           at least one polymer comprising one or more reactive functional groups selected from at least one of a hydroxyl group and a carbamate group; (B) at least one curing agent selected from at least one of an aminoplast resin, a polyisocyanate and a blocked isocyanate; and (C) at least one adhesion promoter composition comprising (1) at least one adhesion promoting  
25           component selected from at least one of boric acid, boric acid equivalents, and mixtures thereof, and (2) at least one surface active component comprising a least one polysiloxane comprising at least one of the following structural units (I):



30           wherein each  $R^1$ , which may be identical or different, represents H, OH, a monovalent hydrocarbon group or a monovalent siloxane group; each  $R^2$ , which may be identical or different, represents a group comprising one or

more active hydrogens; and m and n each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ . The improvement comprises the presence of the adhesion promoting component (1) at the interface region.

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#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Other than in the operating examples, or where otherwise indicated, all numbers expressing quantities of ingredients, reaction conditions and so forth used in the specification and claims are to be understood as being modified in all instances by the term "about." Accordingly, unless indicated to the contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of equivalents to the scope of the claims, each numerical parameter should at least be construed in light of the number of reported significant digits and by applying ordinary rounding techniques.

Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical values, however, inherently contain certain errors necessarily resulting from the standard deviation found in their respective testing measurements.

Also, it should be understood that any numerical range recited herein is intended to include all sub-ranges subsumed therein. For example, a range of "1 to 10" is intended to include all sub-ranges between and including the recited minimum value of 1 and the recited maximum value of 10, that is, having a minimum value equal to or greater than 1 and a maximum value of equal to or less than 10.

As previously mentioned, In one embodiment, the present invention provides an improved multi-layer composite comprising at least a first polymeric layer and a second polymeric layer formed over the first polymeric

layer thereby forming an interface region there between. At least one of the polymeric layers is formed from a thermosetting composition comprising an adhesion promoter composition. The adhesion promoter composition comprises (1) at least one adhesion promoting component, and (2) at least one surface active component. The improvement comprises the presence of the adhesion promoting component (1) at the interface region. The adhesion promoting component (1) typically is present in the interface region in an amount sufficient to improve the interlayer (or intercoat) adhesion between the first and second polymeric layers.

10           The adhesion promoter composition comprises at least one adhesion promoting component (1) and at least one surface active component (2). It should be understood that the adhesion promoter composition can comprise the adhesion promoting component (1) and the surface active component as separate components in an admixture; or the adhesion promoter composition  
15           can comprise a reaction product formed from the adhesion promoting component (1) and the surface active component (2). Obviously, the adhesion promoter composition can comprise the above described reaction product formed from components (1) and (2), as well as the component (1) and the component (2), all present as three separate ingredients.

20           In one particular embodiment, the thermosetting composition used to form one or more of the polymeric layers is such that the free energy of mixing value for an admixture of the adhesion promoter composition and the analogous thermosetting composition without the adhesion promoter composition is a positive value. In another embodiment of the present  
25           invention, the solubility parameter of the adhesion promoter composition is sufficiently different from the solubility parameter of the analogous thermosetting composition without the adhesion promoter composition, such that the resulting thermodynamic interaction parameter value ( $\chi$ ) for the admixture of the adhesion promoter composition and the thermosetting  
30           composition which does not include the adhesion promoter composition is 0.5 or greater.

The "free energy of mixing" is defined as  $\Delta G = \Delta H - T\Delta S$ , where G is the Gibb's free energy, H is enthalpy, S is entropy and T is temperature.

Simply put, when the free energy of mixing ( $\Delta G$ ) of two components is a positive value, the two components are immiscible and will phase separate,

- 5 For example, in the instance where a coating composition contains these two substantially immiscible components, when applied as a coating layer one component will tend to migrate or partition to the surface region of the coating layer while the other will remain in the bulk. region. Also,  $\Delta G$  for a binary mixture containing a component 1 and a component 2 may be defined by the  
10 following equation:

$$\Delta G = RT[(n_1 \ln X_1 + n_2 \ln X_2) + \chi n_1 X_2]$$

- where R is the gas constant, T is temperature, X is the volume fraction of component 1 or 2, n is the number of particles, and  $\chi$  ("chi") represents the thermodynamic interaction parameter. The thermodynamic interaction  
15 parameter ( $\chi$  or "chi") is defined as the difference in the energy of mixing of components 1 and 2. This can be represented by the following equation:

$$\chi = (\Delta E_{\text{mix}}/RT)V_m$$

- where  $V_m$  is the average molar volume ("reference segment volume") and R and T are defined above. "Chi" may also be defined as the difference in  
20 solubility parameter (SP) of two materials as follows:

$$\chi = V_m (\delta_1 - \delta_2)^2 / RT$$

- where  $\delta$  is the Hildebrand solubility parameter. The solubility parameter may be computed from a value known as the cohesive energy density ("ced") of a material. The "ced" is related to the heat of vaporization of a material, that is,  
25 how much energy is required to remove a single molecule from the bulk. For polymeric systems, such as a coating composition, where the assumption that the entropy of mixing is exceedingly small, the free energy expressions reduce to the energy of mixing itself, that is  $\Delta G = \Delta H$ , and a theoretical critical point exists where two materials become immiscible (phase separate) when  
30 "chi" is greater than 0.5. For regular solutions, (i.e., of low molecular weight species) this critical point has a value of 2.0.

To summarize, from first principles, the "ced" for a bulk material can be computed. The "ced" is directly related to the solubility parameter ( $\delta$ ) as indicated above. The thermodynamic interaction parameter "chi" ( $\chi$ ) can be computed from the differences in the solubility parameter ( $\delta$ ) for each of the two materials. "Chi" along with relative fractions of materials in a mixture may be used to compute the free energy of mixing ( $\Delta G$ ). If  $\Delta G$  is a positive value, the mixture is thermodynamically unstable and phase separation will occur. Critical points for this condition are values of "chi" is equal to 0.5 and greater for higher molecular weight materials such as the polymeric components of a resinous binder system, and 2.0 for smaller molecules. Flory, Paul J., Principles of Polymer Chemistry, Cornell University Press (1953), Chapters XII and XIII; Polymer User Guide, September 1996, Molecular Simulations, Inc., San Diego, CA.; Nicolaidis, D., Parameterisation for Mesoscale Modeling, Molecular Simulations, Inc.

Without intending to be bound by any theory, it is believed that by such phase separation discussed above, the adhesion promoting component (1) can be present in the interface region between the first polymer layer and the second polymer layer, thereby providing improved interlayer adhesion between the two.

In one embodiment of the present invention, the first polymeric layer is formed from the thermosetting composition, typically over a substrate, and comprises a surface region and a bulk region. As used herein "surface region" of the cured thermosetting composition (or of the resultant polymeric layer) means the region which is generally parallel to the exposed air-surface interface of the cured composition (typically formed on a substrate) and which has thickness generally extending perpendicularly from the surface of the cured polymeric layer to a depth ranging from at least 20 nanometers to 200 nanometers beneath the exposed surface. In certain embodiments, this thickness of the surface region ranges from at least 20 nanometers to 100 nanometers, and can range from at least 20 nanometers to 50 nanometers. As used herein, "bulk region" of the cured thermosetting composition (or the resultant polymeric layer) means the region which extends beneath the

surface region and which is generally parallel to the surface of the substrate to which the composition has been applied. The bulk region has a thickness extending from its interface with the surface region through the cured composition to the substrate or polymeric layer beneath the cured composition.

5 In another embodiment of the present invention, a free energy of mixing value of an admixture of the adhesion promoter composition and the thermosetting composition without the adhesion promoter composition is a positive value such that the adhesion promoting component (1) is partitioned within the first polymeric layer to provide a concentration of the adhesion promoting component (1) at the surface region which is greater than the concentration of the adhesion promoting component (1) within the bulk region of the polymeric layer.

10 In yet another embodiment of the present invention, the solubility parameter of the adhesion promoter composition is sufficiently different from the solubility parameter of the thermosetting composition without the adhesion promoter composition, such that the thermodynamic interaction parameter value for the admixture of the adhesion promoter composition and the thermosetting composition without the adhesion promoter composition is greater than 0.5, thereby causing the adhesion promoting component (1) to partition within the first polymeric layer to provide a concentration of the adhesion promoting component (1) at the surface region which is greater than the concentration of the adhesion promoting component (1) in the bulk region of the first polymeric layer.

15 In one embodiment of the present invention, the thermosetting composition, for example, a curable coating composition, comprises (A) at least one polymer comprising at least one reactive functional group, such as those described in detail below, (B) at least one curing agent having at least one functional group reactive with the functional groups of (A), and (C) at least one adhesion promoter composition, wherein the adhesion promoting component (1) is selected from at least one of boron, aluminum, titanium, zirconium, phosphorous, and silicon. Typically, the adhesion promoting

component (1) comprises a compound selected from at least one of a borate, an aluminate, a titanate, a zirconate, an inorganic acid or ester of phosphorous, a silicate, a siloxane, a silane and mixtures thereof. Typically, the at least one adhesion promoting component (1) is selected from at least one of a borate and an aluminate.

Examples of suitable borates are those discussed below in detail. Examples of titanates suitable for use in the compositions of the present invention include titanium isopropoxide, isopropyl triostearoyl titanate, dicyclo(dioct)pyrophosphato titanate, tetraisopropyl di(dioctyl)phosphito titanate. Suitable aluminates include aluminum alkoxides such as aluminum isopropoxide, which is typically employed, and aluminum acetylacetonate, Suitable examples of inorganic acids or esters of phosphorous include, for example, phosphoric acid esters, phosphinic acids, phosphorous acid esters, and phosphonic acids. Exemplary of a suitable silicate is tetraethyl orthosilicate. Suitable siloxanes include tetraisopropylidisiloxanes and tetramethylsiloxane. Suitable silanes include tetramethyl silyl ethers.

In one embodiment of the present invention, a polysiloxane (2) comprising one or more hydroxyl functional groups is employed as the surface active component, In one particular embodiment of the invention, the adhesion promoting component (1) comprises an aluminum alkoxide, such as aluminum triisopropoxide, and the surface active component (2) comprises a polysiloxane comprising one or more groups comprising active hydrogens.

Other materials suitable for use as the surface active component (2) are any of the surface active agents well known in the art. As used herein, by "surface active agent" is meant any material which tends to lower the solid surface tension or surface energy of the "cured" composition or coating. That is, the cured composition or coating formed from a composition comprising a surface active agent has a lower solid surface tension or surface energy than a cured coating formed from the analogous composition which does not contain the surface active agent.

For purposes of the present invention, solid surface tension can be measured according to the Owens-Wendt method using a Rame'-Hart

Contact Angle Goniometer with distilled water and methylene iodide as reagents. Generally, a 0.02 cc drop of one reagent is placed upon the cured coating surface and the contact angle and its complement are measured using a standard microscope equipped with the goniometer. The contact  
 5 angle and its complement are measured for each of three drops. The process is then repeated using the other reagent. An average value is calculated for the six measurements for each of the reagents. The solid surface tension is then calculated using the Owens-Wendt equation:

$$\{\gamma_l(1 + \cos \Phi)\}/2 = (\gamma_l^d \gamma_s^d)^{1/2} + (\gamma_l^p \gamma_s^p)^{1/2}$$

- 10 where  $\gamma_l$  is the surface tension of the liquid (methylene iodide = 50.8, distilled water = 72.8) and  $\gamma^d$  and  $\gamma^p$  are the dispersion and polar components (methylene iodide  $\gamma^d = 49.5$ ,  $\gamma^p = 1.3$ ; distilled water  $\gamma^d = 21.8$ ,  $\gamma^p = 51.0$ ); the values for  $\Phi$  measured and the  $\cos \Phi$  determined. Two equations are then setup, one for methylene iodide and one for water. The only unknowns are  
 15  $\gamma_s^d$  and  $\gamma_s^p$ . The two equations are then solved for the two unknowns. The two components combined represent the total solid surface tension.

The surface active component (2) can be selected from amphiphilic, reactive functional group-containing polysiloxanes such as are described below, amphiphilic fluoropolymers, and mixtures of any of the foregoing. With  
 20 reference to water-soluble or water-dispersible amphiphilic materials, the term "amphiphilic" means a polymer having a generally hydrophilic polar end and a water-insoluble generally hydrophobic end. Nonlimiting examples of suitable functional group-containing polysiloxanes for use as surface active agents include those polysiloxanes described above. Nonlimiting examples of  
 25 suitable amphiphilic fluoropolymers include fluoroethylene-alkyl vinyl ether alternating copolymers (such as those described in U.S. Patent No. 4,345,057) available from Asahi Glass Company under the tradename LUMIFLON; fluorosurfactants, such as the fluoroaliphatic polymeric esters commercially available from 3M of St. Paul, Minnesota under the tradename  
 30 FLUORAD; functionalized perfluorinated materials, such as 1H,1H-perfluoro-nonanol commercially available from FluoroChem USA; and perfluorinated (meth)acrylate resins.



Nonlimiting examples of other adjuvant surface active agents suitable for use in the composition or coating of the present invention can include anionic, nonionic and cationic surface active agents.

Nonlimiting examples of suitable anionic surface active agents include

5 sulfates or sulfonates. Specific nonlimiting examples include higher alkyl mononuclear aromatic sulfonates such as the higher alkyl benzene sulfonates containing from 10 to 16 carbon atoms in the alkyl group and a straight- or branched-chain, e.g., the sodium salts of decyl, undecyl, dodecyl, tridecyl, tetradecyl, pentadecyl or hexadecyl benzene sulfonate and the higher alkyl

10 toluene, xylene and phenol sulfonates; alkyl naphthalene sulfonate, and sodium dinonyl naphthalene sulfonate. Other nonlimiting examples of suitable anionic surface active agents include olefin sulfonates, including long chain alkenylene sulfonates, long chain hydroxyalkane sulfonates, and mixtures of any of the foregoing. Nonlimiting examples of other sulfate or sulfonate

15 detergents are paraffin sulfonates such as the reaction products of alpha olefins and bisulfites (e.g., sodium bisulfite). Also comprised are sulfates of higher alcohols, such as sodium lauryl sulfate, sodium tallow alcohol sulfate, or sulfates of mono-or di-glycerides of fatty acids (e.g., stearic monoglyceride monosulfate), alkyl poly(ethoxy)ether sulfates including, but not limited to, the

20 sulfates of the condensation products of ethylene oxide and lauryl alcohol (usually having 1-5 ethenoxy groups per molecule); lauryl or other higher alkyl glyceryl ether sulfates; aromatic poly(ethenoxy)ether sulfates including, but not limited to, the sulfates of the condensation products of ethylene oxide and nonyl phenol (usually having 1-20 oxyethylene groups per molecule). Further

25 nonlimiting examples include salts of sulfated aliphatic alcohol, alkyl ether sulfate or alkyl aryl ethoxy sulfate available from Rhone-Poulenc under the general tradename ABEX. Phosphate mono-or di-ester type anionic surface active agents also can be used. These anionic surface active agents are well known in the art and are commercially available under the general trade

30 designation GAFAC from GAF Corporation and under the general trade designation TRITON from Rohm & Haas Company.

Nonlimiting examples of nonionic surface active agents suitable for use in the cured composition or coating of the present invention include those containing ether linkages and which are represented by the following general formula:  $RO(R'O)_nH$ ; wherein the substituent group R represents a hydrocarbon group containing 6 to 60 carbon atoms, the substituent group R' represents an alkylene group containing 2 or 3 carbon atoms, and mixtures of any of the foregoing, and n is an integer ranging from 2 to 100. Such nonionic surface active agents can be prepared by treating fatty alcohols or alkyl-substituted phenols with an excess of ethylene or propylene oxide. The alkyl carbon chain may contain from 14 to 40 carbon atoms and may be derived from a long chain fatty alcohol such as oleyl alcohol or stearyl alcohol. Nonionic polyoxyethylene surface active agents of the type represented by the formula above are commercially available under the general trade designation SURFYNOL<sup>®</sup> from Air Products Chemicals, Inc.; PLURONIC<sup>®</sup> or TETRONIC<sup>®</sup> from BASF Corporation; TERGITOL<sup>®</sup> from Union Carbide; and SURFONIC<sup>®</sup> from Huntsman Corporation. Other nonlimiting examples of suitable nonionic surface active agents include block copolymers of ethylene oxide and propylene oxide based on a glycol such as ethylene glycol or propylene glycol including, but not limited to, those available from BASF Corporation under the general trade designation PLURONIC<sup>®</sup>.

As indicated above, cationic surface active agents also can be used. Nonlimiting examples of cationic surface active agents suitable for use in the compositions of the present invention include acid salts of alkyl amines such as ARMAC<sup>®</sup> HT, an acetic acid salt of n-alkyl amine available from Akzo Nobel Chemicals; imidazoline derivatives such as CALGENE<sup>®</sup> C-100 available from Calgene Chemicals Inc.; ethoxylated amines or amides such as DETHOX<sup>®</sup> Amine C-5, a cocoamine ethoxylate available from Deforest Enterprises; ethoxylated fatty amines such as ETHOX<sup>®</sup> TAM available from Ethox Chemicals, Inc.; and glyceryl esters such as LEXEMUL<sup>®</sup> AR, a glyceryl stearate/stearaidoethyl diethylamine available from Inolex Chemical Co.

Other examples of suitable surface active agents can include polyacrylates. Nonlimiting examples of suitable polyacrylates include

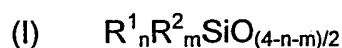
homopolymers and copolymers of acrylate monomers, for example polybutylacrylate and copolymers derived from acrylate monomers (such as ethyl (meth)acrylate, 2-ethylhexylacrylate, butyl (meth)acrylate and isobutyl acrylate), and hydroxy ethyl(meth)acrylate and (meth)acrylic acid monomers.

- 5 In one embodiment, the polyacrylate can have amino and hydroxy functionality. Suitable amino and hydroxyl functional acrylates are disclosed in Example 26 below and in U.S. Patent No. 6,013,733, which is incorporated herein by reference. Another example of a useful amino and hydroxyl functional copolymer is a copolymer of hydroxy ethyl acrylate, 2-ethylhexylacrylate, isobutyl acrylate and dimethylamino ethylmethacrylate. In another embodiment, the polyacrylate can have acid functionality, which can be provided, for example, by including acid functional monomers such as (meth)acrylic acid in the components used to prepare the polyacrylate. In another embodiment, the polyacrylate can have acid functionality and hydroxyl functionality, which can be provided, for example, by including acid functional monomers such as (meth)acrylic acid and hydroxyl functional monomers such as hydroxy ethyl (meth)acrylate in the components used to prepare the polyacrylate.

- 20 In the multi-layer composite of the present invention, the compound (C), which typically comprises a compound selected from at least one of an aluminum alkoxide or a boron-containing compound such as those described in detail above is present in one or both of the first and second polymeric layers in an amount sufficient to improve the interlayer adhesion between the first and the second polymeric layers. That is, when the compound (C) is present in one or both of the polymeric layers, the delamination or adhesion loss, as determined in accordance with ASTM-3359-97, method B, of the second polymeric layer from the first polymer layer can be increased by one or more numerical units of the rating scale specified in the aforementioned method.

- 30 In a further embodiment, the present invention is directed to an improved multi-layer composite comprising at least a first polymeric layer and a second polymeric layer formed over the first polymeric layer thereby forming

an interface region there between. The first polymeric layer has a surface region and a bulk region and is formed from a thermosetting composition. The thermosetting composition is formed from the following components: (A) at least one polymer comprising one or more reactive functional groups  
 5 selected from at least one of a hydroxyl group and a carbamate group; (B) at least one curing agent selected from at least one of an aminoplast resin, a polyisocyanate and a blocked isocyanate; and (C) at least one adhesion promoter composition comprising (1) at least one adhesion promoting component selected from at least one of boric acid, boric acid equivalents,  
 10 and mixtures thereof, and (2) at least one surface active component comprising at least one polysiloxane comprising at least one of the following structural units (I):



wherein each  $R^1$ , which may be identical or different represents H, OH,  
 15 a monovalent hydrocarbon group or a monovalent siloxane group; each  $R^2$ , which may be identical or different, represents a group comprising one or more active hydrogens; and m and n each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ . The improvement comprises the presence of the adhesion promoting component  
 20 (A) at the interface region. In one embodiment of the present invention,  $R^2$  represents  $OR'$ , where  $R'$  represents H or an alkyl group having 1 to 20 carbon atoms.

As used herein, by "interface region" is meant the area where a major surface of the first polymeric layer contacts an opposing major surface of the  
 25 second polymeric layer. Of course, where one or both of the polymeric layers is formed from a thermosetting composition, this "interface region" can include an "interphase region" which is formed by the intermingling or slight solubilization of the composition used to form the first polymeric layer with the composition used to form the second polymeric layer. The interface region  
 30 can comprise an area extending up to 200 nanometers into the bulk region from a major surface of the first polymeric layer and up to 200 nanometers into the bulk region from the major surface of the second polymeric layer,

where the major surfaces of the first and second polymeric layers are in contact with one another.

In one embodiment, the present invention provides an improved multi-layer composite of two or more polymeric layers at least one of which is formed from a thermosetting composition. The composite comprises at least a first polymeric layer formed on a substrate and a second polymeric layer over at least a portion of said first polymeric layer, wherein in the absence of an adhesion promoter composition, typically a boron-containing compound, the first polymeric layer and the second polymeric layer have poor interlayer adhesion. The improvement comprises the inclusion of at least one adhesion promoter composition comprising a boron-containing compound selected from boric acid, boric acid equivalents, and mixtures thereof in one or both of the first and second polymeric layers in an amount sufficient to improve the interlayer adhesion of the first and second polymeric layers.

It should be understood that the composite of the present invention can comprise only two polymeric layers, wherein the first polymeric layer is formed on at least a portion of a substrate and the second polymeric layer is formed over at least a portion of the first polymeric layer. Alternatively, the composite of the present invention can comprise the first polymeric layer over at least a portion of a substrate, and the second polymeric layer formed over at least a portion of the first polymeric layer, where there are one or more subsequent polymeric layers formed over at least a portion of the second polymeric layer.

For example, the first polymeric layer can comprise a primer-surfacer coating and the second polymeric layer can comprise a color-enhancing base coating to which has been subsequently applied a transparent top coat. Also, the first polymeric layer can comprise an electrodepositable primer coating and the second polymeric layer can comprise a primer-surfacer coating to which has been subsequently applied an appearance enhancing monocoat or a color-plus-clear coating system. Additionally, the first polymeric layer can comprise a transparent

clear coat (as the clear coat in a color-plus-clear coating system) and the second polymeric layer can comprise a repair clear coat.

Also, it should be understood that as used herein, a polymeric layer or composition formed "over" at least a portion of a "substrate" refers to a  
5 polymeric layer or composition formed directly on at least a portion of the substrate surface, as well as a polymeric layer or composition formed over any coating or adhesion promoter material which was previously applied to at least a portion of the substrate.

That is, the "substrate" upon which the first polymeric layer has  
10 been formed can comprise a metallic or elastomeric substrate to which one or more coating layers have been previously applied. For example, the "substrate" can comprise a metallic substrate and a weldable primer coating over at least a portion of the substrate surface, and the first polymeric layer can comprise an electrodepositable primer coating.  
15 Likewise, the "substrate" can comprise a metallic substrate having an electrodepositable primer formed over at least a portion thereof, and a primer-surfacer coating over at least a portion of the electrodepositable primer. The first polymeric layer can comprise, for example, a pigmented base coat over at least a portion of this multi-layer "substrate", and the  
20 second polymeric layer can comprise a pigment-free top coat formed over at least a portion of the pigmented base coat.

At least one of the first and second polymeric layers is formed from a thermosetting composition. In the multi-layer composite of the present invention, the first polymeric only can comprise a thermosetting  
25 composition, the second layer only can comprise a thermosetting composition, or, alternatively both the first and second polymeric layers can comprise a thermosetting composition. In the latter instance, the thermosetting composition from which the first polymeric layer is formed and the thermosetting composition from which the second polymeric layer  
30 is formed can be the same or different thermosetting composition.

In one embodiment of the present invention, both the first polymeric layer and the second polymeric layer are formed from a thermosetting

composition. In another embodiment, the thermosetting composition comprises a curable coating composition as described below.

As used herein, by "thermosetting composition" is meant one which "sets" irreversibly upon curing or crosslinking, wherein the polymer chains of the polymeric components are joined together by covalent bonds. This property is usually associated with a cross-linking reaction of the composition constituents often induced by heat or radiation. Hawley, Gessner G., The Condensed Chemical Dictionary, Ninth Edition., page 856; Surface Coatings, vol. 2, Oil and Colour Chemists' Association, Australia, TAFE Educational Books (1974). Once cured or crosslinked, a thermosetting composition will not melt upon the application of heat and is insoluble in solvents. By contrast, a "thermoplastic composition" comprises polymeric components which are not joined by covalent bonds and thereby can undergo liquid flow upon heating and are soluble in solvents. Saunders, K.J., Organic Polymer Chemistry, pp. 41-42, Chapman and Hall, London (1973).

In one embodiment of the present invention, the substrate can comprise a metallic substrate. Examples of suitable metallic substrates can include ferrous metals and non-ferrous metals. Suitable ferrous metals include iron, steel, and alloys thereof. Non-limiting examples of useful steel materials include cold-rolled steel, galvanized (zinc coated) steel, electrogalvanized steel, stainless steel, pickled steel, GALVANNEAL<sup>®</sup>, GALVALUME<sup>®</sup>, and GALVAN<sup>®</sup> zinc-aluminum alloys coated upon steel, and combinations thereof. Useful non-ferrous metals include aluminum, zinc, magnesium and alloys thereof. Combinations or composites of ferrous and non-ferrous metals can also be used.

In another embodiment of the present invention, the substrate can comprise an elastomeric substrate. Suitable elastomeric substrates can include any of the thermoplastic or thermoset synthetic materials well known in the art. Nonlimiting examples of suitable flexible elastomeric substrate materials include polyethylene, polypropylene, thermoplastic polyolefin

("TPO"), reaction injected molded polyurethane ("RIM") and thermoplastic polyurethane ("TPU").

Nonlimiting examples of thermoset materials useful as substrates in connection with the present invention include polyesters, epoxides, phenolics, 5 polyurethanes such as "RIM" thermoset materials, and mixtures of any of the foregoing. Nonlimiting examples of suitable thermoplastic materials include thermoplastic polyolefins such as polyethylene, polypropylene, polyamides such as nylon, thermoplastic polyurethanes, thermoplastic polyesters, acrylic polymers, vinyl polymers, polycarbonates, acrylonitrile-butadiene-styrene 10 ("ABS") copolymers, ethylene propylene diene terpolymer ("EPDM") rubber, copolymers, and mixtures of any of the foregoing.

If desired, the polymeric substrates described above can have an adhesion promoter present on the surface of the substrate over which any of a number of coating compositions (including the coating compositions of the 15 present invention as described below) can be applied. To facilitate adhesion of organic coatings to polymeric substrates, the substrate can be pretreated using an adhesion promoter layer or tie coat, e.g., a thin layer 0.25 mils (6.35 microns) thick, or by flame or corona pretreatment.

Suitable adhesion promoters for use over polymeric substrates include 20 chlorinated polyolefin adhesion promoters such as are described in U.S. Patent Nos. 4,997,882; 5,319,032; and 5,397,602, incorporated by reference herein. Other useful adhesion promoting coatings are disclosed in U.S. Patent Nos. 6,001,469 (a coating composition containing a saturated polyhydroxylated polydiene polymer having terminal hydroxyl groups), 25 5,863,646 (a coating composition having a blend of a saturated polyhydroxylated polydiene polymer and a chlorinated polyolefin) and 5,135,984 (a coating composition having an adhesion promoting material obtained by reacting a chlorinated polyolefin, maleic acid anhydride, acryl or methacryl modified hydrogenated polybutadiene containing at least one 30 acryloyl group or methacryloyl group per unit molecule, and organic peroxide), which are incorporated herein by reference.



When the substrates are used as components to fabricate automotive vehicles (including, but not limited to, automobiles, trucks and tractors) they can have any shape, and can be selected from the metallic and/or flexible substrates described above. Typical shapes of automotive body components  
5 can include body side moldings, fenders, bumpers, hoods, and trim for automotive vehicles.

Also, as mentioned above, in the absence of an adhesion promoting composition, which typically comprises a boron-containing compound, the first polymeric layer and said second polymeric layer have  
10 poor interlayer adhesion. That is, the second polymeric layer, in the absence of a boron-containing compound present in either of the first polymeric layer or the second polymeric layer, the two layers have poor interlayer (i.e., intercoat) adhesion. As used herein, by "poor interlayer adhesion" is meant that the second polymeric layer will have delamination  
15 or adhesion loss from the first polymeric layer sufficient to be given a rating of 3 or lower, as determined in accordance with ASTM-D 3359-97, method B, using the rating scale specified therein.

The improvement comprises the inclusion of a boron-containing compound in one or both of the first polymeric layer and the second  
20 polymeric layer in an amount sufficient to improve the interlayer adhesion of the first polymeric layer and the second polymeric layer. The boron-containing compound can be present in the first polymeric layer only, the second polymeric layer only, or, alternatively, in both the first polymeric layer and the second polymeric layer. In one embodiment of the present  
25 invention, the boron-containing compound is present in the first polymeric layer.

Also, it should be understood that the boron-containing compound can be present in any of the polymeric layers comprising the substrate over at least a portion of which is formed the first polymeric layer, as well  
30 as any of the polymeric layers that can be subsequently formed over at least a portion of the second polymeric layer.

In the multi-layer composite of the present invention, the boron-containing compound can comprise a compound selected from boric acid, boric acid equivalents, and mixtures thereof.

As used herein, in the specification and in the claims, by "boric acid equivalents" is meant any of the numerous boron-containing compounds which can hydrolyze in aqueous media to form boric acid. As used herein, by "boric acid equivalents" is meant any of the numerous boron-containing compounds which can hydrolyze in aqueous media to form boric acid. Specific, but non-limiting examples of boric acid equivalents include boron oxides, for example,  $B_2O_3$ ; boric acid esters such as those obtained by the reaction of boric acid with an alcohol or phenol, for example, trimethyl borate, triethyl borate, tri-*n*-propyl borate, tri-*n*-butyl borate, triphenyl borate, triisopropyl borate, tri-*t*-amyl borate, tri-2-cyclohexylcyclohexyl borate, triethanolamine borate, triisopropylamine borate, and triisopropanolamine borate.

Additionally, amino-containing borates and tertiary amine salts of boric acid may be useful. Such boron-containing compounds include, but are not limited to, 2-(beta-dimethylaminoisopropoxy)-4,5-dimethyl-1,3,2-dioxaborolane, 2-(beta-diethylaminoethoxy)-4,4,6-trimethyl-1,3,2-dioxaborinane, 2-(beta-dimethylaminoethoxy)-4,4,6-trimethyl-1,3,2-dioxaborinane, 2-(beta-diisopropylaminoethoxy)-1,3,2-dioxaborinane, 2-(beta-dibutylaminoethoxy)-4-methyl-1,3,2-dioxaborinane, 2-(gamma-dimethylaminopropoxy)-1,3,6,9-tetrapxa-2-boracycloundecane, and 2-(beta-dimethylaminoethoxy)-4,4-(4-hydroxybutyl)-1,3,2-dioxaborolane.

Boric acid equivalents can also include metal salts of boric acid (i.e., metal borates) provided that such metal borates can readily dissociate in aqueous media to form boric acid. Suitable examples of metal borates include, for example, calcium borate, potassium borates such as potassium metaborate, potassium tetraborate, potassium pentaborate, potassium hexaborate, and potassium octaborate, sodium borates such as sodium perborate, sodium metaborate, sodium diborate, sodium tetraborate, sodium pentaborate, sodium perborate, sodium

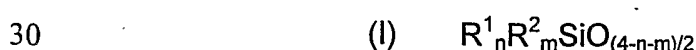
hexaborate, and sodium octaborate, Likewise, ammonium borates can be useful.

Suitable boric acid equivalents can also include organic oligomeric and polymeric compounds comprising boron-containing moieties. Suitable  
 5 examples include polymeric borate esters, such as those formed by reacting an active hydrogen-containing polymer, for example, a hydroxyl functional group-containing acrylic polymer or polysiloxane polymer, with boric acid and/or a borate ester to form a polymer having borate ester groups.

Polymers suitable for this purpose can include any of a variety of active  
 10 hydrogen-containing polymers such as those selected from at least one of acrylic polymers, polyester polymers, polyurethane polymers, polyether polymers and silicon-based polymers. As used herein, by "silicon-based polymers" is meant a polymer comprising one or more -SiO- units in the backbone. Such silicon-based polymers can include hybrid polymers, such as  
 15 those comprising organic polymeric blocks with one or more -SiO- units in the backbone.

Examples of active hydrogen-containing polymers suitable for this purpose include polymers comprising functional groups selected from at least one of a hydroxyl group, an amine group, an epoxy group, a carbamate  
 20 group, a urea group, and a carboxylic acid group. In a particular embodiment of the present invention, the boron-containing compound is formed by reacting boric acid and/or a borate ester with at least one polymer selected from an acrylic polyol, a polyester polyol, a polyurethane polyol, a polyether polyol, a polysiloxane polyol and mixtures thereof.

25 In one embodiment of the present invention, the adhesion promoter composition comprises a boron-containing compound. The boron-containing compound typically comprises a polysiloxane borate ester formed from reactants (A) at least one polysiloxane comprising at least one of the following structural units (I):



wherein each  $R^1$ , which may be identical or different, represents H, OH, a monovalent hydrocarbon group or a monovalent siloxane group; each  $R^2$ ,

which may be identical or different, represents a group comprising at least one reactive functional group, for example, a hydroxyl group, a carboxyl group or an alkoxyl group, wherein m and n fulfill the requirements of  $0 < n < 4$ ,  $0 < m < 4$  and  $2 \leq (m+n) < 4$ ; and (B) at least one boron-containing compound selected from at least one of boric acid, a boric acid equivalent, and mixtures thereof.

It should be understood that the "at least one polysiloxane comprising at least one structural unit (I)" above is a polymer that contains at least two Si atoms per molecule. As used herein, the term "polymer" is meant to encompass oligomer, and includes without limitation both homopolymers and copolymers. It should also be understood that the at least one polysiloxane can include linear, branched, dendritic or cyclic polysiloxanes.

Moreover, as used herein, "formed from" denotes open, e.g., "comprising," claim language. As such, it is intended that a composition "formed from" a list of recited components be a composition comprising at least these recited components, and can further comprise other, nonrecited components, during the composition's formation.

Also, as used herein, the term "reactive" refers to a functional group that forms a covalent bond with another functional group under conditions sufficient to cure the composition.

As used herein, the phrase "each component is different" refers to components which do not have the same chemical structure as other components in the composition.

Each of m and n depicted in the at least one structural unit (I) above fulfill the requirements of  $0 < n < 4$ ,  $0 < m < 4$  and  $2 \leq (m+n) < 4$ . When (m+n) is 3, the value represented by n can be 2 and the value represented by m is 1. Likewise, when (m+n) is 2, the value represented by each of n and m is 1.

As used herein, the term "cure" as used in connection with a composition, e.g., "composition when cured," shall mean that any crosslinkable components of the composition are at least partially crosslinked. In certain embodiments of the present invention, the crosslink density of the crosslinkable components, i.e., the degree of crosslinking, ranges from 5% to 100% of complete crosslinking. In other embodiments, the crosslink density

ranges from 35% to 85% of full crosslinking. In other embodiments, the crosslink density ranges from 50% to 85% of full crosslinking. One skilled in the art will understand that the presence and degree of crosslinking, i.e., the crosslink density, can be determined by a variety of methods, such as  
5 dynamic mechanical thermal analysis (DMTA) using a TA Instruments DMA 2980 DMTA analyzer conducted under nitrogen. This method determines the glass transition temperature and crosslink density of free films of coatings or polymers. These physical properties of a cured material are related to the structure of the crosslinked network.

10 As used herein, a "monovalent hydrocarbon group" means a monovalent group having a backbone repeat unit based exclusively on carbon. As used herein, "monovalent" refers to a substituent group that, as a substituent group, forms only one single, covalent bond. For example, a monovalent group on the at least one polysiloxane will form one single  
15 covalent bond to a silicon atom in the backbone of the at least one polysiloxane polymer. As used herein, "hydrocarbon groups" are intended to encompass both branched and unbranched hydrocarbon groups.

Thus, when referring to a "monovalent hydrocarbon group," the hydrocarbon group can be branched or unbranched, acyclic or cyclic,  
20 saturated or unsaturated, or aromatic, and can contain from 1 to 24 (or in the case of an aromatic group from 3 to 24) carbon atoms. Nonlimiting examples of such hydrocarbon groups include alkyl, alkoxy, aryl, alkaryl, and alkoxyaryl groups. Nonlimiting examples of lower alkyl groups include, for example, methyl, ethyl, propyl, and butyl groups. As used herein, "lower alkyl" refers to  
25 alkyl groups having from 1 to 6 carbon atoms. One or more of the hydrogen atoms of the hydrocarbon can be substituted with heteroatoms. As used herein, "heteroatoms" means elements other than carbon, for example, oxygen, nitrogen, and halogen atoms.

As used herein, "siloxane" means a group comprising a backbone  
30 comprising two or more -SiO- groups. For example, the siloxane groups represented by R<sup>1</sup>, which is discussed above, and R, which is discussed below, can be branched or unbranched, and linear or cyclic. The siloxane

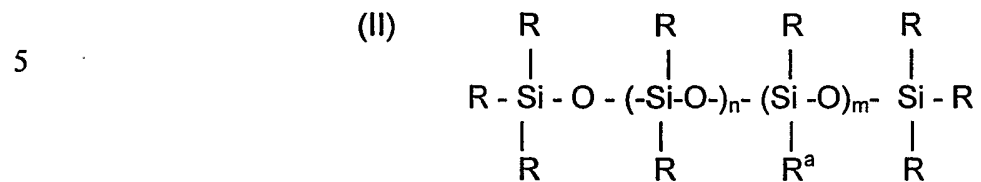
groups can be substituted with pendant organic substituent groups, for example, alkyl, aryl, and alkaryl groups. The organic substituent groups can be substituted with heteroatoms, for example, oxygen, nitrogen, and halogen atoms, reactive functional groups, for example, those reactive functional  
5 groups discussed above with reference to  $R^2$ , and mixtures of any of the foregoing.

In one embodiment, the at least one polysiloxane (A), which is used to form the polysiloxane borate ester, comprises at least two reactive functional groups. The at least one polysiloxane can have a reactive group equivalent  
10 weight ranging from 50 to 1000 mg per gram of the at least one polysiloxane. In one embodiment, the at least one polysiloxane has a hydroxyl group equivalent weight ranging from 50 to 1000 mg KOH per gram of the at least one polysiloxane. In another embodiment, the at least one polysiloxane has a hydroxyl group equivalent weight ranging from 100 to 300 mg KOH per gram  
15 of the at least one polysiloxane, while in another embodiment, the hydroxyl group equivalent weight ranges from 100 to 500 mg KOH per gram.

In another embodiment,  $R^2$  (see structural unit I above), which may be identical or different; represents a group comprising at least one reactive functional group selected from a hydroxyl group, a carboxyl group, an  
20 isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group such as an acrylate group and a methacrylate group, a maleimide group, a fumarate group, an onium salt group such as a sulfonium group and an ammonium group, an  
25 anhydride group, a hydroxy alkylamide group, and an epoxy group.

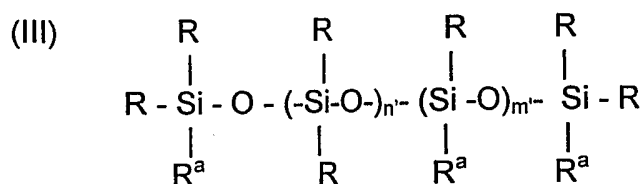
In another embodiment, the at least one  $R^2$  group represents a group comprising at least one reactive functional group selected from a hydroxyl group and a carbamate group. In yet another embodiment, the at least one  $R^2$  group represents a group comprising at least two reactive functional  
30 groups selected from a hydroxyl group and a carbamate group. In another embodiment, the at least one  $R^2$  group represents a group comprising an oxyalkylene group and at least two hydroxyl groups.

In one embodiment, the at least one polysiloxane (A), which is used to form the polysiloxane borate ester, has the following structure (II) or (III):



10

or



5

wherein: m has a value of at least 1; m' ranges from 0 to 75; n ranges from 0 to 75; n' ranges from 0 to 75; each R, which may be identical or different, is selected from H, OH, a monovalent hydrocarbon group, a monovalent siloxane group, and mixtures of any of the foregoing; and -R<sup>a</sup> comprises the following structure (IV):



wherein -R<sup>3</sup> is selected from an alkylene group, an oxyalkylene group, an alkylene aryl group, an alkenylene group, an oxyalkenylene group, and an alkenylene aryl group; and X represents a group which comprises at least one reactive functional group selected from a hydroxyl group, a carboxyl group, an isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group such as an acrylate group and a methacrylate group, a maleimide group, a fumarate group, an onium salt group such as a sulfonium group and an ammonium group, an anhydride group, a hydroxy alkylamide group, and an epoxy group.

In one embodiment of the present invention, X represents a group which comprises at least one reactive functional group selected from a hydroxyl group, a carboxyl group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, an anhydride group, a hydroxy alkylamide group, and an epoxy group.

As used herein, "alkylene" refers to an acyclic or cyclic, saturated hydrocarbon group having a carbon chain length of from C<sub>2</sub> to C<sub>25</sub>.

Nonlimiting examples of suitable alkylene groups include, but are not limited to, those derived from propenyl, 1-butenyl, 1-pentenyl, 1-decenyl, and 1-heneicosenyl, such as, for example (CH<sub>2</sub>)<sub>3</sub>, (CH<sub>2</sub>)<sub>4</sub>, (CH<sub>2</sub>)<sub>5</sub>, (CH<sub>2</sub>)<sub>10</sub>, and (CH<sub>2</sub>)<sub>23</sub>, respectively, as well as isoprene and myrcene.

As used herein, "oxyalkylene" refers to an alkylene group containing at



least one oxygen atom bonded to, and interposed between, two carbon atoms and having an alkylene carbon chain length of from C<sub>2</sub> to C<sub>25</sub>. Nonlimiting examples of suitable oxyalkylene groups include those derived from trimethylolpropane monoallyl ether, trimethylolpropane diallyl ether,  
5 pentaerythritol monoallyl ether, polyethoxylated allyl alcohol, and polypropoxylated allyl alcohol, such as  $-(CH_2)_3OCH_2C(CH_2OH)_2(CH_2CH_2)-$ .

As used herein, "alkylene aryl" refers to an acyclic alkylene group substituted with at least one aryl group, for example, phenyl, and having an alkylene carbon chain length of C<sub>2</sub> to C<sub>25</sub>. The aryl group can be further  
10 substituted, if desired. Nonlimiting examples of suitable substituent groups for the aryl group include, but are not limited to, hydroxyl groups, benzyl groups, carboxylic acid groups, and aliphatic hydrocarbon groups. Nonlimiting examples of suitable alkylene aryl groups include, but are not limited to, those derived from styrene and 3-isopropenyl- $\alpha,\alpha$ -dimethylbenzyl isocyanate, such  
15 as  $-(CH_2)_2C_6H_4-$  and  $-CH_2CH(CH_3)C_6H_3(C(CH_3)_2(NCO))$ . As used herein, "alkenylene" refers to an acyclic or cyclic hydrocarbon group having one or more double bonds and having an alkenylene carbon chain length of C<sub>2</sub> to C<sub>25</sub>. Nonlimiting examples of suitable alkenylene groups include those derived from propargyl alcohol and acetylenic diols, for example, 2,4,7,9-  
20 tetramethyl-5-decyne-4,7-diol which is commercially available from Air Products and Chemicals, Inc. of Allentown, Pennsylvania as SURFYNOL 104.

Formulae (II) and (III) are diagrammatic, and are not intended to imply that the parenthetical portions are necessarily blocks, although blocks may be used where desired. In some cases the polysiloxane may comprise a variety  
25 of siloxane units. This is increasingly true as the number of siloxane units employed increases and especially true when mixtures of a number of different siloxane units are used. In those instances where a plurality of siloxane units are used and it is desired to form blocks, oligomers can be formed which can be joined to form the block compound. By judicious choice  
30 of reactants, compounds having an alternating structure or blocks of alternating structure may be used.

In one embodiment of the present invention the substituent  $R^3$  represents an oxyalkylene group. In another embodiment,  $R^3$  represents an oxyalkylene group, and X represents a group which comprises at least two reactive functional groups.

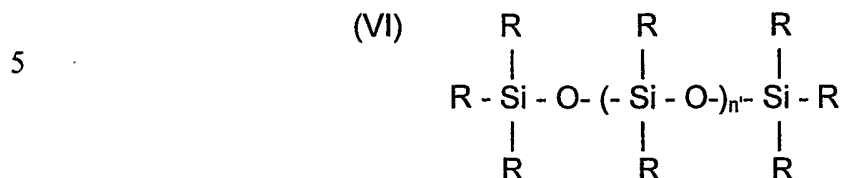
5 In another embodiment of the present invention where the at least one polysiloxane (A) has the structure (II) or (III) described above,  $(n + m)$  ranges from 2 to 9. In yet another embodiment where the at least one polysiloxane have the structure (II) or (III) described above,  $(n + m)$  ranges from 2 to 3. In another embodiment, where the at least one polysiloxane have the structure  
 10 (II) or (III) described above,  $(n' + m')$  ranges from 2 to 9. In another embodiment where the at least one polysiloxane has the structure (II) or (III) described above,  $(n' + m')$  ranges from 2 to 3.

In yet another embodiment of the present invention, the substituent X represents a group comprising at least one reactive functional group selected  
 15 from a hydroxyl group and a carbamate group. In another embodiment, the substituent X represents a group which comprises at least two hydroxyl groups. In yet another embodiment, X represents a group which comprises at least one group selected from H, a monohydroxy-substituted organic group, and a group having the following structure (V):

20 (V)  $R^4 - (-CH_2-OH)_p$

wherein the substituent group  $R^4$  represents  $-CH_2-C-R^3$  when p is 2 and the substituent group  $R^3$  represents a  $C_1$  to  $C_4$  alkylene group, or the substituent group  $R^4$  represents  $-CH_2-C-$  when p is 3,  
 25 wherein at least a portion of X represents a group having the structure (V). In another embodiment, where the polysiloxane (A) has the structure (I) or (II) described above, m is 2 and p is 2.

In another embodiment of the present invention, the polysiloxane (A) is formed from at least the following reactants: (i) at least one polysiloxane of the formula (VI):



- 10 wherein each substituent group R, which may be identical or different, represents a group selected from H, OH, a monovalent hydrocarbon group, a monovalent siloxane group, and mixtures of any of the foregoing; at least one of the groups represented by R is H, and n' ranges from 0 to 100, also can range from 0 to 10, and can further range from 0 to 5, such that the percent of
- 15 SiH content of the polysiloxane ranges from 2 to 50 percent, and can range from 5 to 25 percent; and (ii) at least one molecule which comprises at least functional group selected from a hydroxyl group, a carboxyl group, an isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group such as an acrylate
- 20 group and a methacrylate group, a maleimide group, a fumarate group, an onium salt group such as a sulfonium group and an ammonium group, an anhydride group, a hydroxy alkylamide group, and an epoxy group and at least one unsaturated bond capable of undergoing a hydrosilylation reaction.
- 25 In another embodiment, the at least one functional group comprises hydroxyl groups.

It should be appreciated that the various R groups can be the same or different, and, in certain embodiments, the R groups will be entirely monovalent hydrocarbon groups or will be a mixture of different groups such

30 as, for example, monovalent hydrocarbon groups and hydroxyl groups.

In another embodiment, this reaction product is ungelled. As used herein, "ungelled" refers to a reaction product that is substantially free of crosslinking and has an intrinsic viscosity when dissolved in a suitable solvent, as determined, for example, in accordance with ASTM-D1795 or

ASTM-D4243. The intrinsic viscosity of the reaction product is an indication of its molecular weight. A gelled reaction product, on the other hand, since it is of an extremely high molecular weight, will have an intrinsic viscosity too high to measure. As used herein, a reaction product that is "substantially free of crosslinking" refers to a reaction product that has a weight average molecular weight (Mw), as determined by gel permeation chromatography, of less than 1,000,000.

It also should be noted that the level of unsaturation contained in reactant (ii) above, can be selected to obtain an ungelled reaction product. In other words, when a polysiloxane containing silicon hydride (i) having a higher average value of Si-H functionality is used, reactant (ii) can have a lower level of unsaturation. For example, the polysiloxane containing silicon hydride (i) can be a low molecular weight material where  $n'$  ranges from 0 to 5 and the average value of Si-H functionality is two or less. In this case, reactant (ii) can contain two or more unsaturated bonds capable of undergoing hydrosilylation reaction without the occurrence of gelation.

Nonlimiting examples of polysiloxanes containing silicon hydride (i) include 1,1,3,3-tetramethyl disiloxane where  $n'$  is 0 and the average Si-H functionality is two; and polymethyl polysiloxane containing silicon hydride, where  $n'$  ranges from 4 to 5 and the average Si-H functionality is approximately two, such as is commercially available from BASF Corporation as MASILWAX BASE®.

Materials for use as reactant (ii) above can include hydroxyl functional group-containing allyl ethers such as those selected from trimethylolpropane monoallyl ether, pentaerythritol monoallyl ether, trimethylolpropane diallyl ether, polyoxyalkylene alcohols such as polyethoxylated alcohol, polypropoxylated alcohol, and polybutoxylated alcohol, undecylenic acid-epoxy adducts, allyl glycidyl ether-carboxylic acid adducts, and mixtures of any of the foregoing. Mixtures of hydroxyl functional polyallyl ethers with hydroxyl functional monoallyl ethers or allyl alcohols are suitable as well. In certain instances, reactant (ii) can contain at least one unsaturated bond in a

terminal position. Reaction conditions and the ratio of reactants (i) and (ii) are selected so as to form the desired functional group.

The hydroxyl functional group-containing polysiloxane (A) can be prepared by reacting a polysiloxane containing hydroxyl functional groups with  
5 an anhydride to form the half-ester acid group under reaction conditions that favor only the reaction of the anhydride and the hydroxyl functional groups, and avoid further esterification from occurring. Nonlimiting examples of suitable anhydrides include hexahydrophthalic anhydride, methyl  
hexahydrophthalic anhydride, phthalic anhydride, trimellitic anhydride,  
10 succinic anhydride, chlorendic anhydride, alkenyl succinic anhydride, and substituted alkenyl anhydrides such as octenyl succinic anhydride, and mixtures of any of the foregoing.

The half-ester group-containing reaction product thus prepared can be further reacted with a monoepoxide to form a polysiloxane containing  
15 secondary hydroxyl group(s). Nonlimiting examples of suitable monoepoxides are phenyl glycidyl ether, n-butyl glycidyl ether, cresyl glycidyl ether, isopropyl glycidyl ether, glycidyl versatate, for example, CARDURA E available from Shell Chemical Co., and mixtures of any of the foregoing.

In another embodiment of the present invention, the at least one  
20 polysiloxane (A) is a carbamate functional group-containing polysiloxane which comprises the reaction product of at least the following reactants:

(i) at least one polysiloxane containing silicon hydride of structure (VI) above where R and n' are as described above for that structure;

(ii) at least one hydroxyl functional group-containing material having  
25 one or more unsaturated bonds capable of undergoing hydrosilylation reaction as described above; and

(iii) at least one low molecular weight carbamate functional material, comprising the reaction product of an alcohol or glycol ether and a urea.

Examples of such "low molecular weight carbamate functional material"  
30 include, but are not limited to, alkyl carbamate and hexyl carbamates, and glycol ether carbamates described in U.S. Patent Nos. 5,922,475 and 5,976,701, which is incorporated herein by reference.

The carbamate functional groups can be incorporated into the polysiloxane by reacting the hydroxyl functional group-containing polysiloxane with the low molecular weight carbamate functional material via a "transcarbamoylation" process. The low molecular weight carbamate functional material, which can be derived from an alcohol or glycol ether, can react with free hydroxyl groups of a polysiloxane polyol, that is, material having an average of two or more hydroxyl groups per molecule, yielding a carbamate functional polysiloxane (A) and the original alcohol or glycol ether. Reaction conditions and the ratio of reactants (i), (ii) and (iii) are selected so as to form the desired groups.

The low molecular weight carbamate functional material can be prepared by reacting the alcohol or glycol ether with urea in the presence of a catalyst such as butyl stannic acid. Nonlimiting examples of suitable alcohols include lower molecular weight aliphatic, cycloaliphatic and aromatic alcohols, for example, methanol, ethanol, propanol, butanol, cyclohexanol, 2-ethylhexanol, and 3-methylbutanol. Nonlimiting examples of suitable glycol ethers include ethylene glycol methyl ether, and propylene glycol methyl ether. The incorporation of carbamate functional groups into the polysiloxane also can be achieved by reacting isocyanic acid with free hydroxyl groups of the polysiloxane.

As aforementioned, in addition to or in lieu of hydroxyl or carbamate functional groups, the at least one polysiloxane (A) can contain one or more other reactive functional groups such as carboxyl groups, isocyanate groups, blocked isocyanate groups, carboxylate groups, primary or secondary amine groups, amide groups, urea groups, urethane groups, an anhydride group, a hydroxy alkylamide group, epoxy groups, and mixtures of any of the foregoing.

When the at least one polysiloxane (A) contains carboxyl functional groups, the at least one polysiloxane (A) can be prepared by reacting at least one polysiloxane containing hydroxyl functional groups as described above with a polycarboxylic acid or anhydride. Nonlimiting examples of polycarboxylic acids suitable for use include adipic acid, succinic acid, and

dodecanedioic acid. Nonlimiting examples of suitable anhydrides include those described above. Reaction conditions and the ratio of reactants are selected so as to form the desired functional groups.

In the case where at least one polysiloxane (A) contains one or more isocyanate functional groups, the at least one polysiloxane can be prepared by reacting at least one polysiloxane containing hydroxyl functional groups, as described above, with a polyisocyanate, such as a diisocyanate. Nonlimiting examples of suitable polyisocyanates include aliphatic polyisocyanates, such as, for example, aliphatic diisocyanates, for example, 1,4-tetramethylene diisocyanate and 1,6-hexamethylene diisocyanate; cycloaliphatic polyisocyanates, for example, 1,4-cyclohexyl diisocyanate, isophorone diisocyanate, and  $\alpha,\alpha$ -xylylene diisocyanate; and aromatic polyisocyanates, for example, 4,4'-diphenylmethane diisocyanate, 1,3-phenylene diisocyanate, and tolylene diisocyanate. These and other suitable polyisocyanates are described in more detail in U.S. Patent No. 4,046,729, at column 5, line 26 to column 6, line 28, incorporated herein by reference. Reaction conditions and the ratio of reactants are selected so as to form the desired functional groups.

The substituent X in structure (IV) can comprise an oligomeric or polymeric urethane or urea-containing material which is terminated with isocyanate, hydroxyl, primary or secondary amine functional groups, or mixtures of any of the foregoing. When the substituent X comprises such functional groups, the at least one polysiloxane can be the reaction product of at least one polysiloxane polyol as described above, one or more polyisocyanates and, optionally, one or more compounds having at least two active hydrogen atoms per molecule selected from hydroxyl groups, primary amine groups, and secondary amine groups.

Nonlimiting examples of suitable polyisocyanates are those described above. Nonlimiting examples of compounds having at least two active hydrogen atoms per molecule include polyols and polyamines containing primary or secondary amine groups.

Nonlimiting examples of suitable polyols include polyalkylene ether polyols, including thio ethers; polyester polyols, including polyhydroxy

polyesteramides; and hydroxyl-containing polycaprolactones and hydroxy-containing acrylic interpolymers. Also useful are polyether polyols formed from the oxyalkylation of various polyols, for example, glycols such as ethylene glycol, 1,6-hexanediol, Bisphenol A, and the like, or higher polyols  
5 such as trimethylolpropane, pentaerythritol and the like. Polyester polyols also can be used. These and other suitable polyols are described in U.S. Patent No. 4,046,729 at column 7, line 52 to column 8, line 9; column 8, line 29 to column 9, line 66; and U.S. Patent No. 3,919,315 at column 2, line 64 to column 3, line 33, both incorporated herein by reference.

10 Nonlimiting examples of suitable polyamines include primary or secondary diamines or polyamines in which the groups attached to the nitrogen atoms can be saturated or unsaturated, aliphatic, alicyclic, aromatic, aromatic-substituted-aliphatic, aliphatic-substituted-aromatic and heterocyclic. Exemplary suitable aliphatic and alicyclic diamines include 1,2-ethylene  
15 diamine, 1,2-porphylene diamine, 1,8-octane diamine, isophorone diamine, propane-2,2-cyclohexyl amine, and the like. Suitable aromatic diamines include phenylene diamines and the toluene diamines, for example, o-phenylene diamine and p-tolyene diamine. These and other suitable polyamines are described in detail in U.S. Patent No. 4,046,729 at column 6,  
20 line 61 to column 7, line 26, incorporated herein by reference.

In one embodiment, the substituent group X of the structure (IV) can comprise a polymeric ester-containing group which is terminated with hydroxyl or carboxylic acid functional groups. When X is such a group, at least one polysiloxane can be the reaction product of one or more polysiloxane polyols  
25 as described above, one or more materials comprising at least one carboxylic acid functional group, and one or more organic polyols. Nonlimiting suitable examples of materials comprising at least one carboxylic acid functional group include carboxylic acid group-containing polymers well-known in the art, for example, carboxylic acid group-containing acrylic polymers, polyester  
30 polymers, and polyurethane polymers, such as those described in U.S. Patent No. 4,681,811. Nonlimiting examples of suitable organic polyols include those described above.



To form the at least one polysiloxane (A) containing epoxy groups, at least one polysiloxane containing hydroxyl functional groups as described above can be further reacted with a polyepoxide. The polyepoxide can be an aliphatic or cycloaliphatic polyepoxide or mixtures of any of the foregoing.

- 5 Nonlimiting examples of polyepoxides suitable for use include epoxy functional acrylic copolymers prepared from at least one ethylenically unsaturated monomer comprising at least one epoxy group, for example glycidyl (meth)acrylate and allyl glycidyl ether, and one or more ethylenically unsaturated monomers which have no epoxy functionality. The preparation of
- 10 such epoxy functional acrylic copolymers is described in detail in U.S. Patent No. 4,681,811 at column 4, line 52 to column 5, line 50, incorporated herein by reference. Reaction conditions and the ratio of reactants are selected so as to form the desired functional groups.

- In the embodiment of the present invention where the boron-containing
- 15 compound is formed from the at least one functional group-containing polysiloxane (A) and the boron-containing compound (B), the at least one polysiloxane (A) can be reacted with the boron-containing compound (B) under condensation reaction conditions well known in the art. For example, boron-containing compound can be prepared by mixing boric acid or a boric
- 20 acid equivalent with a polyol and removing water by distillation either directly or in combination with a solvent. Other methods for preparing boric acid esters can be found in "Kirk-Othmer Encyclopedia of Chemical Technology" 4th edition, Vol 4, p 416; John Wiley and sons; 1992

- Also, it should be understood, that the boron-containing compound can
- 25 be formed *in situ*. That is, the composition from which one or both of the first and second polymeric layers is formed can comprise boric acid and/or a boric acid ester and an active hydrogen-containing component, such as a polymer or polysiloxane comprising hydroxyl functional groups, as separate components. The boron-containing compound can then be formed, for
- 30 example, by forming the condensate reaction product, i.e., the boric acid ester, within the composition at ambient temperature or as the composition undergoes a curing reaction at elevated temperatures. In this instance, the

composition can comprise the condensate reaction product, and the boric acid and/or the borate ester and the active hydrogen-containing component as three separate components.

As mentioned previously, one or both of the first polymeric layer and  
5 the second polymeric layer can be formed from a thermosetting composition. In one embodiment of the invention, one or both of the first polymeric layer and the second polymeric layer comprise a cured layer formed from a thermosetting composition comprising (A) at least one film-forming polymer having reactive functional groups; (B) at least one curing agent having  
10 functional groups reactive with the functional groups of (A); and (C) at least one of the aforementioned adhesion promoter composition, e.g., one or more boron-containing compounds, wherein the components are different.

When added to the other components that form the thermosetting composition from which the curable composition from which the first and/or  
15 the second polymeric layer is formed, the adhesion promoter composition, e.g., a boron-containing compound, (C) can be present in the composition in an amount sufficient to provide an amount of elemental material, e.g., boron, present in the composition of at least 0.001 weight percent, often at least 0.025 weight percent, usually at least 0.05 weight percent, and typically at  
20 least 0.10 weight percent, based on total weight of the resin solids present in the composition. Also, the adhesion promoter composition, e.g., a boron-containing compound, (C), when added to the other components that form the thermosetting composition from which the curable composition from which the first and/or second polymeric layer is formed, can be present in the  
25 composition in an amount sufficient to provide an amount of elemental material, e.g., boron, present in the composition of less than 5 weight percent, often less than 3 weight percent, usually less than 2.5 weight percent, and typically less than 2 weight percent, based on total weight of the resin solids present in the composition. The amount of adhesion promoter composition  
30 (C) is present in the thermosetting composition in an amount sufficient to provide an amount of elemental material present in the composition that can

range between any combination of these values inclusive of the recited values.

As aforementioned, the thermosetting composition of the present invention (which can comprise a curable coating composition), comprises, in addition to the compound (C), at least one film-forming polymer comprising at least one reactive functional group (A), and at least one reactant, typically a curing agent, (B) comprising at least one functional group which is reactive with the functional group of (A). The at least one film-forming polymer having reactive functional groups (A) can be different from and in addition to the at least one curing agent (B), and composition/compound (C). The film-forming polymer (A) can have at least one functional group reactive with the curing agent (B), and, if applicable, the compound (C). In one embodiment, the at least one reactive functional group-containing film-forming polymer (A) can be selected from at least one of polyether polymers, polyester polymers, acrylic polymers, silicon-based polymers, polyepoxide polymers, and polyurethane polymers.

In a particular embodiment of the present invention, the film-forming polymer (A) can comprise at least one reactive functional group selected from a hydroxyl group, a carboxyl group, an isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group, a maleimide group, a fumarate group, an anhydride group, a hydroxy alkylamide group, and an epoxy group.

In another embodiment of the present invention, the film-forming polymer (A) comprises at least one reactive functional group selected from a hydroxyl group, a carbamate group, an epoxy group, an isocyanate group, and a carboxyl group. In another embodiment, the polymer comprises at least one reactive functional group selected from a hydroxyl group, and a carbamate group.

The film-forming polymer (A) can comprise a mixture of any of the foregoing reactive functional groups.

Film-forming polymers suitable for use as the at least one reactive functional group-containing film-forming polymer (A) can include any of a variety of functional polymers known in the art. For example, suitable hydroxyl group-containing polymers can include acrylic polyols, polyester polyols, polyurethane polyols, polyether polyols, and mixtures thereof. In a particular embodiment of the present invention, the film-forming polymer is an acrylic polyol having a hydroxyl equivalent weight ranging from 1000 to 100 grams per solid equivalent, preferably 500 to 150 grams per solid equivalent.

Suitable hydroxyl group and/or carboxyl group-containing acrylic polymers can be prepared from polymerizable ethylenically unsaturated monomers and are typically copolymers of (meth)acrylic acid and/or hydroxylalkyl esters of (meth)acrylic acid with one or more other polymerizable ethylenically unsaturated monomers such as alkyl esters of (meth)acrylic acid including methyl (meth)acrylate, ethyl (meth)acrylate, butyl (meth)acrylate and 2-ethyl hexylacrylate, and vinyl aromatic compounds such as styrene, alpha-methyl styrene, and vinyl toluene. As used herein, "(meth)acrylate" and like terms is intended to include both acrylates and methacrylates.

In a one embodiment of the present invention the acrylic polymer can be prepared from ethylenically unsaturated, beta-hydroxy ester functional monomers. Such monomers can be derived from the reaction of an ethylenically unsaturated acid functional monomer, such as monocarboxylic acids, for example, acrylic acid, and an epoxy compound which does not participate in the free radical initiated polymerization with the unsaturated acid monomer. Examples of such epoxy compounds include glycidyl ethers and esters. Suitable glycidyl ethers include glycidyl ethers of alcohols and phenols such as butyl glycidyl ether, octyl glycidyl ether, phenyl glycidyl ether and the like. Suitable glycidyl esters include those which are commercially available from Shell Chemical Company under the tradename CARDURA E; and from Exxon Chemical Company under the tradename GLYDEXX-10. Alternatively, the beta-hydroxy ester functional monomers can be prepared from an ethylenically unsaturated, epoxy functional monomer, for example

glycidyl (meth)acrylate and allyl glycidyl ether, and a saturated carboxylic acid, such as a saturated monocarboxylic acid, for example isostearic acid.

Epoxy functional groups can be incorporated into the polymer prepared from polymerizable ethylenically unsaturated monomers by copolymerizing oxirane group-containing monomers, for example glycidyl (meth)acrylate and allyl glycidyl ether, with other polymerizable ethylenically unsaturated monomers, such as those discussed above. Preparation of such epoxy functional acrylic polymers is described in detail in U.S. Patent No. 4,001,156 at columns 3 to 6, incorporated herein by reference.

Carbamate functional groups can be incorporated into the polymer prepared from polymerizable ethylenically unsaturated monomers by copolymerizing, for example, the above-described ethylenically unsaturated monomers with a carbamate functional vinyl monomer such as a carbamate functional alkyl ester of methacrylic acid. Useful carbamate functional alkyl esters can be prepared by reacting, for example, a hydroxyalkyl carbamate, such as the reaction product of ammonia and ethylene carbonate or propylene carbonate, with methacrylic anhydride. Other useful carbamate functional vinyl monomers include, for instance, the reaction product of hydroxyethyl methacrylate, isophorone diisocyanate, and hydroxypropyl carbamate; or the reaction product of hydroxypropyl methacrylate, isophorone diisocyanate, and methanol. Still other carbamate functional vinyl monomers may be used, such as the reaction product of isocyanic acid (HNCO) with a hydroxyl functional acrylic or methacrylic monomer such as hydroxyethyl acrylate, and those described in U.S. Patent No. 3,479,328, incorporated herein by reference. Carbamate functional groups can also be incorporated into the acrylic polymer by reacting a hydroxyl functional acrylic polymer with a low molecular weight alkyl carbamate such as methyl carbamate. Pendant carbamate groups can also be incorporated into the acrylic polymer by a "transcarbamoylation" reaction in which a hydroxyl functional acrylic polymer is reacted with a low molecular weight carbamate derived from an alcohol or a glycol ether. The carbamate groups exchange with the hydroxyl groups yielding the carbamate functional acrylic polymer and the original alcohol or

glycol ether. Also, hydroxyl functional acrylic polymers can be reacted with isocyanic acid to provide pendent carbamate groups. Likewise, hydroxyl functional acrylic polymers can be reacted with urea to provide pendent carbamate groups.

5           The polymers prepared from polymerizable ethylenically unsaturated monomers can be prepared by solution polymerization techniques, which are well-known to those skilled in the art, in the presence of suitable catalysts such as organic peroxides or azo compounds, for example, benzoyl peroxide or N,N-azobis(isobutyronitrile). The polymerization can be carried out in an  
10       organic solution in which the monomers are soluble by techniques conventional in the art. Alternatively, these polymers can be prepared by aqueous emulsion or dispersion polymerization techniques which are well-known in the art. The ratio of reactants and reaction conditions are selected to result in an acrylic polymer with the desired pendent functionality.

15           Polyester polymers are also useful in the coating compositions of the invention as the film-forming polymer. Useful polyester polymers typically include the condensation products of polyhydric alcohols and polycarboxylic acids. Suitable polyhydric alcohols can include ethylene glycol, neopentyl glycol, trimethylol propane, and pentaerythritol. Suitable polycarboxylic acids  
20       can include adipic acid, 1,4-cyclohexyl dicarboxylic acid, and hexahydrophthalic acid. Besides the polycarboxylic acids mentioned above, functional equivalents of the acids such as anhydrides where they exist or lower alkyl esters of the acids such as the methyl esters can be used. Also, small amounts of monocarboxylic acids such as stearic acid can be used. The  
25       ratio of reactants and reaction conditions are selected to result in a polyester polymer with the desired pendent functionality, i.e., carboxyl or hydroxyl functionality.

          For example, hydroxyl group-containing polyesters can be prepared by reacting an anhydride of a dicarboxylic acid such as hexahydrophthalic  
30       anhydride with a diol such as neopentyl glycol in a 1:2 molar ratio. Where it is desired to enhance air-drying, suitable drying oil fatty acids may be used and

include those derived from linseed oil, soya bean oil, tall oil, dehydrated castor oil, or tung oil.

Carbamate functional polyesters can be prepared by first forming a hydroxyalkyl carbamate that can be reacted with the polyacids and polyols used in forming the polyester. Alternatively, terminal carbamate functional groups can be incorporated into the polyester by reacting isocyanic acid with a hydroxy functional polyester. Also, carbamate functionality can be incorporated into the polyester by reacting a hydroxyl polyester with a urea. Additionally, carbamate groups can be incorporated into the polyester by a transcarbamoylation reaction. Preparation of suitable carbamate functional group-containing polyesters are those described in U.S. Patent No. 5,593,733 at column 2, line 40 to column 4, line 9, incorporated herein by reference.

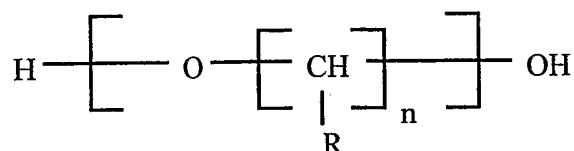
Polyurethane polymers containing terminal isocyanate or hydroxyl groups also can be used as the polymer (d) in the coating compositions of the invention. The polyurethane polyols or NCO-terminated polyurethanes which can be used are those prepared by reacting polyols including polymeric polyols with polyisocyanates. Polyureas containing terminal isocyanate or primary and/or secondary amine groups which also can be used are those prepared by reacting polyamines including polymeric polyamines with polyisocyanates. The hydroxyl/isocyanate or amine/isocyanate equivalent ratio is adjusted and reaction conditions are selected to obtain the desired terminal groups. Examples of suitable polyisocyanates include those described in U.S. Patent No. 4,046,729 at column 5, line 26 to column 6, line 28, incorporated herein by reference. Examples of suitable polyols include those described in U.S. Patent No. 4,046,729 at column 7, line 52 to column 10, line 35, incorporated herein by reference. Examples of suitable polyamines include those described in U.S. Patent No. 4,046,729 at column 6, line 61 to column 7, line 32 and in U.S. Patent No. 3,799,854 at column 3, lines 13 to 50, both incorporated herein by reference.

Carbamate functional groups can be introduced into the polyurethane polymers by reacting a polyisocyanate with a polyester having hydroxyl functionality and containing pendent carbamate groups. Alternatively, the

polyurethane can be prepared by reacting a polyisocyanate with a polyester polyol and a hydroxyalkyl carbamate or isocyanic acid as separate reactants. Examples of suitable polyisocyanates are aromatic isocyanates, such as 4,4'-diphenylmethane diisocyanate, 1,3-phenylene diisocyanate and toluene diisocyanate, and aliphatic polyisocyanates, such as 1,4-tetramethylene diisocyanate and 1,6-hexamethylene diisocyanate. Cycloaliphatic diisocyanates, such as 1,4-cyclohexyl diisocyanate and isophorone diisocyanate also can be employed.

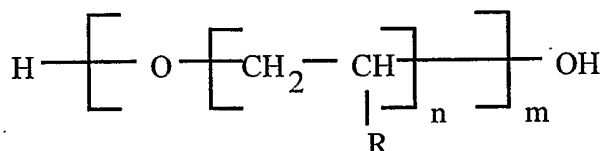
Examples of suitable polyether polyols include polyalkylene ether polyols such as those having the following structural formulas (VII) or (VIII):

(VII)



or

(VIII)



wherein the substituent R is hydrogen or a lower alkyl group containing from 1 to 5 carbon atoms including mixed substituents, and n has a value typically ranging from 2 to 6 and m has a value ranging from 8 to 100 or higher.

Exemplary polyalkylene ether polyols include poly(oxytetramethylene) glycols, poly(oxytetraethylene) glycols, poly(oxy-1,2-propylene) glycols, and poly(oxy-1,2-butylene) glycols.

Also useful are polyether polyols formed from oxyalkylation of various polyols, for example, glycols such as ethylene glycol, 1,6-hexanediol, Bisphenol A, and the like, or other higher polyols such as trimethylolpropane, pentaerythritol, and the like. Polyols of higher functionality which can be utilized as indicated can be made, for instance, by oxyalkylation of



compounds such as sucrose or sorbitol. One commonly utilized oxyalkylation method is reaction of a polyol with an alkylene oxide, for example, propylene or ethylene oxide, in the presence of an acidic or basic catalyst. Specific examples of polyethers include those sold under the names TERATHANE  
5 and TERACOL, available from E. I. Du Pont de Nemours and Company, Inc.

Generally, the polymers having reactive functional groups which are useful in the coating compositions of the invention have a weight average molecular weight (Mw) typically ranging from 1000 to 20,000 preferably 1500 to 15,000 and more preferably 2000 to 12,000 as determined by gel  
10 permeation chromatography using a polystyrene standard.

Hydroxyl and/or carbamate functional group-containing polymers are typically employed.

Polyepoxides such as those described below with reference to the curing agent (B), can also be used.

15 The polymer having reactive functional groups (A) can be present in the thermosetting compositions in an amount of at least 2 percent by weight, usually at least 5 percent by weight, and typically at least 10 percent by weight based on weight of total resin solids in the coating composition. Also, the polymer having reactive functional groups can be present in the  
20 thermosetting compositions of the invention in an amount less than 80 percent by weight, usually less than 60 percent by weight, and typically less than 50 percent by weight based on weight of total resin solids in the coating composition. The amount of the polymer (A) having reactive functional groups present in the thermosetting compositions of the present invention can  
25 range between any combination of these values inclusive of the recited values.

As aforementioned, in addition to the functional group-containing film-forming polymer (A) and the boron-containing compound (C), the thermosetting composition of the present invention further comprises at least  
30 one curing agent having functional groups reactive with the functional groups of the film-forming polymer (A) (and/or the boron-containing compound (C), where applicable).

Dependent upon the reactive functional groups of the film-forming polymer (A)(and, optionally, the boron-containing compound (C)), this curing agent can be selected from an aminoplast resin, a polyisocyanate, a blocked isocyanate, a polyepoxide, a polyacid, an anhydride, an amine, a polyol, and mixtures of any of the foregoing. In one embodiment, the at least one curing agent (B) is selected from an aminoplast resin and a polyisocyanate.

In another embodiment, the present invention is directed to any composition as previously described wherein the curing agent comprises an aminoplast resin. Aminoplast resins, which can comprise phenoplasts, as curing agents for hydroxyl, carboxylic acid, and carbamate functional group-containing materials are well known in the art. Suitable aminoplast resins, such as, for example, those discussed above, are known to those of ordinary skill in the art. Aminoplasts can be obtained from the condensation reaction of formaldehyde with an amine or amide. Nonlimiting examples of amines or amides include melamine, urea, or benzoguanamine. Condensates with other amines or amides can be used; for example, aldehyde condensates of glycoluril, which give a high melting crystalline product useful in powder coatings. While the aldehyde used is most often formaldehyde, other aldehydes such as acetaldehyde, crotonaldehyde, and benzaldehyde can be used.

The aminoplast resin contains imino and methylol groups and in certain instances at least a portion of the methylol groups are etherified with an alcohol to modify the cure response. Any monohydric alcohol can be employed for this purpose including methanol, ethanol, n-butyl alcohol, isobutanol, and hexanol.

Nonlimiting examples of aminoplasts include melamine-, urea-, or benzoguanamine-formaldehyde condensates, in certain instances monomeric and at least partially etherified with one or more alcohols containing from one to four carbon atoms. Nonlimiting examples of suitable aminoplast resins are commercially available, for example, from Cytac Industries, Inc. under the trademark CYMEL® and from Solutia, Inc. under the trademark RESIMENE®.

In another embodiment of the present invention, the curing agent comprises an aminoplast resin which, when added to the other components that form the thermosetting composition, is generally present in an amount ranging from 2 weight percent to 65 weight percent, can be present in an amount ranging from 5 weight percent to 50 weight percent, and typically is present in an amount ranging from 5 weight percent to 40 weight percent based on total weight of resin solids present in the composition.

In yet another embodiment of the present invention, the at least one reactant (B) comprises a polyisocyanate curing agent. As used herein, the term "polyisocyanate" is intended to include blocked (or capped) isocyanates as well as unblocked (poly)isocyanates. The polyisocyanate can be an aliphatic or an aromatic polyisocyanate, or a mixture of the foregoing two. Diisocyanates can be used, although higher polyisocyanates such as isocyanurates of diisocyanates are often used. Higher polyisocyanates also can be used in combination with diisocyanates. Isocyanate prepolymers, for example, reaction products of polyisocyanates with polyols also can be used. Mixtures of polyisocyanate curing agents can be used.

If the polyisocyanate is blocked or capped, any suitable aliphatic, cycloaliphatic, or aromatic alkyl monoalcohol known to those skilled in the art can be used as a capping agent for the polyisocyanate. Other suitable capping agents include oximes and lactams. When used, the polyisocyanate curing agent is typically present, when added to the other components which form the coating composition, in an amount ranging from 5 to 65 weight percent, can be present in an amount ranging from 10 to 45 weight percent, and often are present in an amount ranging from 15 to 40 percent by weight based on the total weight of resin solids present in the composition.

Other useful curing agents comprise blocked isocyanate compounds such as, for example, the tricarbamoyl triazine compounds described in detail in U.S. Patent No. 5,084,541, which is incorporated by reference herein. When used, the blocked polyisocyanate curing agent can be present, when added to the other components in the composition, in an amount ranging up to 20 weight percent, and can be present in an amount ranging from 1 to 20

weight percent, based on the total weight of resin solids present in the composition.

In one embodiment of the present invention, the curing agent comprises both an aminoplast resin and a polyisocyanate.

5           Anhydrides as curing agents for hydroxyl functional group-containing materials also are well known in the art and can be used in the present invention. Nonlimiting examples of anhydrides suitable for use as curing agents in the compositions of the invention include those having at least two carboxylic acid anhydride groups per molecule which are derived from a  
10   mixture of monomers comprising an ethylenically unsaturated carboxylic acid anhydride and at least one vinyl co-monomer, for example, styrene, alpha-methyl styrene, vinyl toluene, and the like. Nonlimiting examples of suitable ethylenically unsaturated carboxylic acid anhydrides include maleic anhydride, citraconic anhydride, and itaconic anhydride. Alternatively, the anhydride can  
15   be an anhydride adduct of a diene polymer such as maleinized polybutadiene or a maleinized copolymer of butadiene, for example, a butadiene/styrene copolymer. These and other suitable anhydride curing agents are described in U.S. Patent No. 4,798,746 at column 10, lines 16-50; and in U.S. Patent No. 4,732,790 at column 3, lines 41-57, both of which are incorporated herein  
20   by reference.

          Polyepoxides as curing agents for carboxylic acid functional group-containing materials are well known in the art. Nonlimiting examples of polyepoxides suitable for use in the compositions of the present invention comprise polyglycidyl esters (such as acrylics from glycidyl methacrylate),  
25   polyglycidyl ethers of polyhydric phenols and of aliphatic alcohols, which can be prepared by etherification of the polyhydric phenol, or aliphatic alcohol with an epihalohydrin such as epichlorohydrin in the presence of alkali. These and other suitable polyepoxides are described in U.S. Patent No. 4,681,811 at column 5, lines 33 to 58, which is incorporated herein by reference.

30           Suitable curing agents for epoxy functional group-containing materials comprise polyacid curing agents, such as the acid group-containing acrylic polymers prepared from an ethylenically unsaturated monomer containing at

least one carboxylic acid group and at least one ethylenically unsaturated monomer which is free from carboxylic acid groups. Such acid functional acrylic polymers can have an acid number ranging from 30 to 150. Acid functional group-containing polyesters can be used as well. The above-  
5 described polyacid curing agents are described in further detail in U.S. Patent No. 4,681,811 at column 6, line 45 to column 9, line 54, which is incorporated herein by reference.

Also well known in the art as curing agents for isocyanate functional group-containing materials are polyols, that is, materials having two or more  
10 hydroxyl groups per molecule, different from component (b) when component (b) is a polyol. Nonlimiting examples of such materials suitable for use in the compositions of the invention include polyalkylene ether polyols, including thio ethers; polyester polyols, including polyhydroxy polyesteramides; and hydroxyl-containing polycaprolactones and hydroxy-containing acrylic  
15 copolymers. Also useful are polyether polyols formed from the oxyalkylation of various polyols, for example, glycols such as ethylene glycol, 1,6-hexanediol, Bisphenol A and the like, or higher polyols such as trimethylolpropane, pentaerythritol, and the like. Polyester polyols also can be used. These and other suitable polyol curing agents are described in U.S.  
20 Patent No. 4,046,729 at column 7, line 52 to column 8, line 9; column 8, line 29 to column 9, line 66; and U.S. Patent No. 3,919,315 at column 2, line 64 to column 3, line 33, both of which are incorporated herein by reference.

Polyamines also can be used as curing agents for isocyanate functional group-containing materials. Nonlimiting examples of suitable  
25 polyamine curing agents include primary or secondary diamines or polyamines in which the radicals attached to the nitrogen atoms can be saturated or unsaturated, aliphatic, alicyclic, aromatic, aromatic-substituted-aliphatic, aliphatic-substituted-aromatic, and heterocyclic. Nonlimiting examples of suitable aliphatic and alicyclic diamines include 1,2-ethylene  
30 diamine, 1,2-porphylene diamine, 1,8-octane diamine, isophorone diamine, propane-2,2-cyclohexyl amine, and the like. Nonlimiting examples of suitable aromatic diamines include phenylene diamines and the toluene diamines, for

example, o-phenylene diamine and p-tolylene diamine. These and other suitable polyamines described in detail in U.S. Patent No. 4,046,729 at column 6, line 61 to column 7, line 26, which is incorporated herein by reference.

5           When desired, appropriate mixtures of curing agents may be used. It should be mentioned that the thermosetting compositions can be formulated as a one-component composition where a curing agent such as an aminoplast resin and/or a blocked isocyanate compound such as those described above is admixed with other composition components. The one-component  
10       composition can be storage stable as formulated. Alternatively, compositions can be formulated as a two-component composition where a polyisocyanate curing agent such as those described above can be added to a pre-formed admixture of the other composition components just prior to application. The pre-formed admixture can comprise curing agents such as aminoplast resins  
15       and/or blocked isocyanate compounds such as those described above.

          In another embodiment in which the thermosetting composition can form a coating which is cured by actinic radiation or the combination of actinic radiation and thermal energy, the components from which the coating composition are formed further can comprise at least one photoinitiator or  
20       photosensitizer which provides free radicals or cations to initiate the polymerization process. Useful photoinitiators have an adsorption in the range of 150 to 2,000 nm. Non-limiting examples of useful photoinitiators include benzoin, benzophenone, hydroxy benzophenone, anthraquinone, thioxanthone, substituted benzoin such as butyl isomers of benzoin ethers,  
25        $\alpha,\alpha$ -diethoxyacetophenone,  $\alpha,\alpha$ -dimethoxy- $\alpha$ -phenylacetophenone, 2-hydroxy-2-methyl-1-phenyl propane 1-one and 2,4,6-trimethyl benzoyl diphenyl phosphine oxide.

          In one embodiment, the present invention is directed to an improved curable coating composition used to form a multi-layer composite coating  
30       comprising at least a first coating layer formed on at least a portion of a substrate, and a second coating layer formed over at least a portion of the first coating layer, where one or both the first coating layer and the second coating

layer are formed from the curable coating composition, and wherein in the absence of a boron-containing compound, the first and second coating layers have poor interlayer adhesion. The improvement comprises the inclusion in the curable coating composition of a boron-containing compound present in an amount sufficient to improve the interlayer adhesion between the first coating layer and the second coating layer.

The curable coating composition of the present invention can comprise any of the foregoing thermosetting compositions described above. Also, in the multi-layer composite coating wherein both of the first and second coating layers are formed from the curable composition, it should be understood that each of the first and second coating layers can be formed from the same or different curable coating compositions.

In a particular embodiment, the present invention is directed to a multi-layer composite coating as discussed above where one or both of the first coating layer and the second coating layer are formed from a curable coating composition formed from components comprising (A) an acrylic and/or a polyester polymer having at least one reactive functional group selected from a hydroxyl group, a carbamate group, and mixtures thereof; such as any of those described above, (B) a curing agent selected from an aminoplast resin and a polyisocyanate, such as those described above, and (C) any of the foregoing boron-containing compounds described above. In another embodiment, the present invention is directed to a multi-layer composite coating as discussed above where one or both of the first coating layer and the second coating layer are formed from a curable coating composition formed from components comprising (A) an acrylic and/or a polyester polymer having at least one reactive functional group selected from a hydroxyl group, a carbamate group, and mixtures thereof; (B) a curing agent selected from an aminoplast resin and a blocked isocyanate comprising a tricarbamoyl triazine; and (C) any of the boron-containing compounds described above.

The curable coating compositions of the present invention can be solvent-based compositions, water-based compositions, in solid particulate form, that is, a powder composition, in the form of a powder slurry or an

aqueous dispersion. The components of the present invention used to form the compositions of the present invention can be dissolved or dispersed in an organic solvent. Nonlimiting examples of suitable organic solvents include alcohols, such as butanol; ketones, such as methyl amyl ketone; aromatic hydrocarbons, such as xylene; and glycol ethers, such as, ethylene glycol monobutyl ether; esters; other solvents; and mixtures of any of the foregoing.

In solvent based compositions, the organic solvent is generally present in amounts ranging from 5 to 80 percent by weight based on total weight of the resin solids of the components which form the composition, and can be present in an amount ranging from 30 to 50 percent by weight. The compositions as described above can have a total solids content ranging from 40 to 75 percent by weight based on total weight of the resin solids of the components which form the composition, and can have a total solids content ranging from 50 to 70 percent by weight. Alternatively, the inventive compositions can be in solid particulate form suitable for use as a powder coating, or suitable for dispersion in a liquid medium such as water for use as a powder slurry.

In a further embodiment, the compositions as previously described further comprise a catalyst which is present during the composition's formation. In one embodiment, the catalyst is present in an amount sufficient to accelerate the reaction between at least one reactive functional group of the at least one curing agent and/or at least one reactive functional group of the at least one film-forming polymer.

Nonlimiting examples of suitable catalysts include acidic materials, for example, acid phosphates, such as phenyl acid phosphate, and substituted or unsubstituted sulfonic acids such as dodecylbenzene sulfonic acid or para-toluene sulfonic acid. Non-limiting examples of suitable catalysts for reactions between isocyanate groups and active hydrogen-containing materials, for example, those comprising hydroxyl groups, include tin catalysts such as dibutyl tin dilaurate and dibutyl tin oxide. Non-limiting examples of epoxy acid base catalysts include tertiary amines such as N,N'-dimethyldodecyl amine catalysts. In another embodiment, the catalyst can be



a phosphatized polyester or a phosphatized epoxy. In this embodiment, the catalyst can be, for example, the reaction product of phosphoric acid and a bisphenol A diglycidyl ether having two hydrogenated phenolic rings, such as DRH-151, which is commercially available from Shell Chemical Co. The catalyst can be present, when added to the other components that form the composition, in an amount ranging from 0.1 to 5.0 percent by weight, and is typically present in an amount ranging from 0.5 to 1.5 percent by weight based on the total weight of resin solids present in the composition.

In another embodiment, additional components can be present during the formation of the compositions as previously described. These additional components include, but are not limited to, particles different from components (A), (B) and (C), for example, silica in colloidal, fumed, or amorphous form, alumina or colloidal alumina, titanium dioxide, cesium oxide, yttrium oxide, colloidal yttria, zirconia, e.g., colloidal or amorphous zirconia, and mixtures of any of the foregoing, flexibilizers, plasticizers, surface active agents, thixotropic agents, rheology control modifiers, anti-gassing agents, organic cosolvents, flow controllers, hindered amine light stabilizers, anti-oxidants, UV light absorbers, coloring agents or tints, and similar additives conventional in the art, as well as mixtures of any of the foregoing can be included in the composition. These additional ingredients can be present, when added to the other components that form the composition, in an amount up to 40 percent by weight based on the total weight of resin solids present in the composition.

In one embodiment, the present invention is directed to a multi-layer composite coating wherein the first curable coating composition comprises a base coating composition and the second curable composition comprises a top coating composition. In another embodiment of the present invention, the base coating composition comprises a substantially pigment-free coating composition and the top coating composition comprises a substantially pigment-free top coating composition. In an alternative embodiment of the present invention, the base coating composition comprises a pigment-containing coating composition and the top coating composition comprises a

pigment-containing composition. In another embodiment of the present invention, the base coating composition comprises a pigment-containing coating composition and the top coating composition comprises a substantially pigment-free coating composition. In another embodiment of the present invention, the base coating composition comprises a substantially pigment-free base coating composition and the top coating composition comprises a pigment-containing coating composition.

As used herein, by "substantially pigment-free coating composition" is meant a coating composition which forms a transparent coating, such as a clearcoat in a multi-component composite coating composition. Such compositions are sufficiently free of pigment or particles such that the optical properties of the resultant coatings are not seriously compromised. As used herein, "transparent" means that the cured coating has a BYK Haze index of less than 50 as measured using a BYK/Haze Gloss instrument.

The pigment-containing coating compositions can any of the pigmented compositions commonly used in the coatings industry. For example, the pigment-containing coating composition can comprise a primer coating composition, such as a pigmented thermosetting weldable primer coating composition, for example, those commercially available under the tradename BONAZINC®, an electrodepositable coating composition such as ED--5000, a primer-surfacer coating composition such as GPX45379, a color-enhancing base coat such as HWB-9517, and ODCT6373, all available from PPG Industries, Inc. of Pittsburgh, Pennsylvania, or an adhesive composition such as those used as automotive windshield adhesives, for example, BETASEAL 15625, available from Essex Specialty Products.

Likewise, the pigment-free curable coating composition can comprise any of the pigment-free coatings known in the art such as those used as clear coats in color-plus-clear coating systems for the automotive industry. Non-limiting examples include TKU-1050AR, ODCT8000, and those available under the tradenames DIAMOND COAT® and NCT®, all commercially available from PPG Industries, Inc.

In another embodiment, the present invention is directed to multi-

component composite coating compositions comprising a basecoat deposited from a pigment-containing base coating composition, which can comprise any of the aforementioned curable coating compositions, and a topcoat deposited from any of the coating compositions of the present invention previously described above. In one embodiment, the present invention is directed to a multi-component composite coating composition as previously described, wherein the topcoating composition is transparent after curing and is selected from any of the compositions previously described. The components used to form the topcoating composition in these embodiments can be selected from the coating components discussed above, and additional components also can be selected from those recited above. It should be understood that one or both of the base coating composition and the top coating composition can be formed from the curable coating compositions of the present invention.

The basecoat and transparent topcoat (i.e., clearcoat) compositions used in the multi-component composite coating compositions of the present invention in certain instances can be formulated into liquid high solids coating compositions, that is, compositions containing 40 percent, or greater than 50 percent by weight resin solids. The solids content can be determined by heating a sample of the composition to 105°C to 110°C for 1-2 hours to drive off the volatile material, and subsequently measuring relative weight loss. As aforementioned, although the compositions can be liquid coating compositions, they also can be formulated as powder coating compositions.

Where the basecoat is not formed from a composition of the present invention (but the topcoat is formed from a curable coating composition of the present invention) the coating composition of the basecoat in the color-plus-clear system can be any of the compositions useful in coatings applications, particularly automotive applications. The coating composition of the basecoat can comprise a resinous binder and a pigment to act as the colorant. Nonlimiting examples of resinous binders are acrylic polymers, polyesters, alkyds, and polyurethanes.

The resinous binders for the basecoat can be organic solvent-based materials such as those described in U.S. Patent No. 4,220,679, note column

2, line 24 continuing through column 4, line 40, which portions are incorporated by reference. Also, water-based coating compositions such as those described in U.S. Patent Nos. 4,403,003, 4,147,679 and 5,071,904 can be used as the binder in the basecoat composition. These U.S. patents are  
5 incorporated herein by reference.

The basecoat composition can comprise one or more pigments as colorants. Nonlimiting examples of suitable metallic pigments include aluminum flake, copper bronze flake, and metal oxide coated mica.

Besides the metallic pigments, the basecoat compositions can contain  
10 nonmetallic color pigments conventionally used in surface coatings such as, for example, inorganic pigments such as titanium dioxide, iron oxide, chromium oxide, lead chromate, and carbon black; and organic pigments such as phthalocyanine blue and phthalocyanine green.

Optional ingredients in the basecoat composition can comprise those  
15 which are well known in the art of formulating surface coatings and can comprise surface active agents, flow control agents, thixotropic agents, fillers, anti-gassing agents, organic co-solvents, catalysts, and other customary auxiliaries. Nonlimiting examples of these materials and suitable amounts are described in U.S. Patent Nos. 4,220,679; 4,403,003; 4,147,769; and  
20 5,071,904, which patents are incorporated herein by reference.

The basecoat compositions can be applied to the substrate by any conventional coating technique such as brushing, spraying, dipping, or flowing. Spray techniques and equipment for air spraying, airless spray, and electrostatic spraying in either manual or automatic methods, known in the art  
25 can be used.

During application of the basecoat to the substrate, the film thickness of the basecoat formed on the substrate can range from 0.1 to 5 mils. In another embodiment, the film thickness of the basecoat formed on the substrate can range 0.1 to 1 mils, and can be 0.4 mils.

30 After forming a film of the basecoat on the substrate, the basecoat can be cured or alternatively given a drying step in which solvent is driven out of the basecoat film by heating or an air drying period before application of the

clearcoat. Suitable drying conditions may depend on the particular basecoat composition, and on the ambient humidity if the composition is water-borne, but a drying time from 1 to 15 minutes at a temperature of 75° to 200°F (21° to 93°C) can be adequate.

5           The transparent or clear topcoat composition can be applied to the basecoat by any conventional coating technique, including, but not limited to, compressed air spraying, electrostatic spraying, and either manual or automatic methods. The transparent topcoat can be applied to a cured or to a dried basecoat before the basecoat has been cured. In the latter instance,  
10       the two coatings can then be heated to cure both coating layers simultaneously. Typical curing conditions can range from 50°F to 475°F (10°C to 246°C) for 1 to 30 minutes. The clearcoating thickness (dry film thickness) can be 1 to 6 mils (25.4 to 152.4 micrometers).

          A second topcoat coating composition can be applied to the first  
15       topcoat to form a "clear-on-clear" topcoat. The first topcoat coating composition can be applied over the basecoat as described above. The second topcoat coating composition can be applied to a cured or to a dried first topcoat before the basecoat and first topcoat have been cured. The basecoat, the first topcoat and the second topcoat can then be heated to cure  
20       the three coatings simultaneously.

          It should be understood that the second transparent topcoat and the first transparent topcoat coating compositions can be the same or different provided that, when applied wet-on-wet, one topcoat does not substantially interfere with the curing of the other for example by inhibiting solvent/water  
25       evaporation from a lower layer. Moreover, the first topcoat, the second topcoat or both can be the curable coating composition of the present invention. Alternatively, only one of the first topcoat and the second topcoat is formed from the curable coating composition of the present invention.

          In this instance, the topcoat that does not comprise the curable coating  
30       composition of the present invention can include any of the crosslinkable coating compositions comprising at least one thermosettable coating material and at least one curing agent. Suitable waterborne clearcoats for this

purpose are disclosed in U.S. Patent No. 5,098,947 (incorporated by reference herein) and are based on water-soluble acrylic resins. Useful solvent borne clearcoats are disclosed in U.S. Patent Nos. 5,196,485 and 5,814,410 (incorporated by reference herein) and include polyepoxides and polyacid curing agents. Suitable powder clearcoats for this purpose are described in U.S. Patent No. 5,663,240 (incorporated by reference herein) and include epoxy functional acrylic copolymers and polycarboxylic acid curing agents.

Typically, after forming the first topcoat over the basecoat, the first topcoat is given a drying step in which solvent is driven out of the film by heating or, alternatively, an air drying period or curing step before application of the second topcoat. Suitable drying conditions will depend on the particular first topcoat composition, and on the ambient humidity if the composition is water-borne, but, in general, a drying time from 1 to 15 minutes at a temperature of 75°F to 200°F (21°C to 93°C) will be adequate.

The film-forming composition of the present invention when employed as a second topcoat coating composition can be applied as described above for the first topcoat by any conventional coating application technique. Curing conditions can be those described above for the topcoat. The second topcoating dry film thickness can range from 0.1 to 3 mils (7.5 micrometers to 75 micrometers).

It should be mentioned that the coating compositions of the present invention can be advantageously formulated as a "monocoat", that is a coating which forms essentially one coating layer when applied to a substrate. The monocoat coating composition can be pigmented. Nonlimiting examples of suitable pigments include those mentioned above. When employed as a monocoat, the coating compositions of the present invention can be applied (by any of the conventional application techniques discussed above) in two or more successive coats, and, in certain instances can be applied with only an ambient flash period between coats. The multi-coats when cured can form essentially one coating layer.

In one embodiment, the present invention is directed to a method of repairing a multi-layer composite coating comprising a base coat formed on a substrate from a film-forming base coating composition and a first top coat deposited over at least a portion of the base coat, the first top coat formed from a first film-forming top coating composition comprising any of the foregoing coating compositions, the method comprising locating an area of the composite coating which is flawed, and applying a repair top coat film-forming composition to the flawed area after the flawed area has been prepared for repairing. The repair top coat film-forming composition can comprise a film-forming composition which is the same or different from the first top coat film-forming composition. The flawed area can be any coating blemish that cannot be polished out, for example dirt particles in the coating surface. The flawed area typically can be abraded or sanded to remove such coating blemishes. In a repair carried out in accordance with the method of the present invention, the first top coating can provide excellent intercoat adhesion with the subsequently applied repair top coating.

The coating compositions of the present invention can provide cured coatings having excellent intercoat or interlayer adhesion to subsequently applied coating layers. For example, any of the aforementioned substantially pigment-free coating compositions can be applied as a transparent clearcoat in a color-plus-clear coating system as discussed above. In the event of damage to the cured coating system causing a surface defect, it may be necessary to prepare the damaged area for repair with a subsequently applied clear coat composition. The coating compositions of the present invention can provide excellent intercoat adhesion between the first clear coat layer and the subsequently applied repair clear coat layer. Likewise, when used as a top coat composition, the coating compositions of the present invention also provide excellent interlayer adhesion between the cured top coat and a subsequently applied windshield adhesive without the intervening step of applying an adhesion promoting primer.

Illustrating the invention are the following examples that are not to be considered as limiting the invention to their details. All parts and percentages

in the examples, as well as throughout the specification, are by weight unless otherwise indicated.

### **EXAMPLES**

5           Example AA describes the preparation of a polysiloxane polyol.  
Example A describes the preparation of a silica dispersion prepared using the polysiloxane of Example AA. Coating composition Examples 1 through 5 two-component clearcoating compositions. Comparative Example 1 describes the preparation of a comparative composition which does not  
10       contain an adhesion promoting component, and Examples 2-5 describe the preparation of coating compositions in accordance with the present invention which comprising varying amounts of a boron-containing compound as the adhesion promoting component.

### **RESIN COMPOSITIONS EXAMPLE AA**

#### **POLYSILOXANE POLYOL**

          This example describes the preparation of a polysiloxane polyol which  
20       was subsequently used to form respective silica dispersions of Examples A and B, and adhesion promoting compositions used in the thermosetting compositions of the present invention. The polysiloxane polyol was a product of the hydrosilylation of a reactive silicone fluid having an approximate degree of polymerization of 3 to 7, i.e., (Si-O)<sub>3</sub> to (Si-O)<sub>7</sub>. The polysiloxane polyol  
25       was prepared from a proportionately scaled-up batch of the following mixture of ingredients in the ratios indicated:



Ingredients	Equivalent Weight	Equivalents	Parts By Weight (kilograms)
<b>Charge I:</b>			
Trimethylolpropane monoallyl ether	174.0	756.0	131.54
<b>Charge II:</b>			
MASILWAX BASE <sup>1</sup>	156.7 <sup>2</sup>	594.8	93.21
<b>Charge III:</b>			
Chloroplatinic acid		10 ppm	
Toluene			0.23
Isopropanol			0.07

<sup>1</sup> Polysiloxane-containing silicon hydride, commercially available from BASF Corporation.

<sup>2</sup> Equivalent weight based on mercuric bichloride determination.

5

To a suitable reaction vessel equipped with a means for maintaining a nitrogen blanket, Charge I and an amount of sodium acetate equivalent to 20 to 25 ppm of total monomer solids was added at ambient conditions and the temperature was gradually increased to 75°C under a nitrogen blanket. At that temperature, about 5.0% of Charge II was added under agitation, followed by the addition of Charge III, equivalent to 10 ppm of active platinum based on total monomer solids. The reaction was then allowed to exotherm to 95°C at which time the remainder of Charge II was added at a rate such that the temperature did not exceed 95°C. After completion of this addition, the reaction temperature was maintained at 95°C and monitored by infrared spectroscopy for disappearance of the silicon hydride absorption band (Si-H, 2150 cm<sup>-1</sup>).

20

#### **EXAMPLE A** **SILICA DISPERSION**

This example describes the preparation of a colloidal silica dispersion used as a component in the thermosetting compositions of the present invention. The colloidal silica dispersion was prepared as follows. A suitable reaction vessel was equipped for vacuum distillation and flushed with N<sub>2</sub>. To the reaction flask was added 4500 g of the polysiloxane polyol of Example AA

25

described above, 1350 g of ORGANOSILICASOL™ MT-ST colloidal silica (which is commercially available from Nissan Chemicals) and 1440 g of methyl amyl ketone. The mean particle size of the silica particles was about 10-20 nanometers, as disclosed at <http://www.snowtex.com>

- 5 /organo\_types.html (June 2, 2000), which is incorporated by reference herein. The resulting mixture was vacuum distilled at 25°C for a period of 8 hours.

### **EXAMPLE C**

#### **ADHESION PROMOTER COMPOSITION**

- 10 The following Example C describes the preparation of an adhesion promoting composition used in the coating compositions of the present invention. The promoting composition was prepared as described below.

- A four-neck reaction flask equipped with stirrer, temperature probe, Dean Stark trap and reflux condenser was flushed with N<sub>2</sub>. The following  
15 materials were charged to the flask and blended under agitation: 3241.4 g of polysiloxane polyol of Example AA, 5410.4 g of isopropyl alcohol and 464.2 g of boric acid. The mixture was heated to reflux at a temperature of 73°C, and 3646 g of solvent was removed over a period of 2 hours. The resulting material was cooled and measured 57.8% solids and contained 2.4% water.

20

**COMPARATIVE EXAMPLE 1**

This comparative example describes the preparation of a two-component clearcoating composition which contains no adhesion promoting composition. The composition was prepared by mixing the following ingredients sequentially under mild agitation:

Ingredient	Parts by Weight (grams)	Solid Weight (grams)
Methyl n-amyl ketone	30.0	---
Butyl Cellosolve® acetate <sup>1</sup>	10.0	---
Butyl Carbitol® acetate <sup>2</sup>	5.0	---
Tinuvin 928 <sup>3</sup>	3.0	3.0
Tinuvin 292 <sup>4</sup>	0.5	0.5
Silica dispersion of Example A	8.8	6.7
Acrylic Resin <sup>5</sup>	58.2	42.2
Cymel 202 <sup>4</sup>	18.8	15.0
Siloxane polyol of Example AA	11.0	11.0
Phenyl Acid Phosphate Catalyst <sup>5</sup>	0.7	0.5
DesmodurN3300 <sup>6</sup>	27.1	27.1

<sup>1</sup> 2-Butoxyethyl acetate solvent commercially available from Union Carbide Corp.

<sup>2</sup> 2-(2-Butoxyethoxy) ethyl acetate commercially available from Union Carbide Corp.

<sup>3</sup> Acrylic polyol prepared from 14.5% butyl acrylate, 14.5% butyl methacrylate, 27.6% isobornyl methacrylate, 22.6% hydroxypropyl methacrylate, 20.4% hydroxyethyl methacrylate, and 0.4% acrylic acid, having resin solids of 69.7%, Mw 3227 and a hydroxyl value of 101.

<sup>4</sup> Melamine available from Cytec Industries, Inc.

<sup>5</sup> Phenyl acid phosphate solution, 75 percent in Isopropanol.

<sup>6</sup> Isocyanurate of hexamethylene diisocyanate available from Bayer Corp.

**EXAMPLE 2**

This example describes the preparation of a two-component clearcoating composition of the present invention which contains 2.0 weight percent of boric acid ester as an adhesion promoting composition. The

composition was prepared by mixing the following ingredients added sequentially under mild agitation.

Ingredient	Parts by Weight (grams)	Solid Weight (grams)
Methyl n-amyl ketone	30.0	---
Butyl Cellosolve® acetate	10.0	---
Butyl Carbitol® acetate	5.0	---
Tinuvin 928	3.0	3.0
Tinuvin 292	0.5	0.5
Silica dispersion of Example A	8.8	6.7
Acrylic Resin of Example 1	58.2	42.2
Cymel 202	18.8	15.0
Siloxane polyol of Example AA	9.0	9.0
Siloxane Borate of Example C	3.5	2.0
Phenyl Acid Phosphate Catalyst	0.7	0.5
DesmodurN3300	27.1	27.1

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### **EXAMPLE 3**

This example describes the preparation of a two-component clearcoating composition of the present invention which contains boric acid as the adhesion promoting component and a polysiloxane polyol as the surface

active component. The composition was prepared by adding each of the following ingredients sequentially and mixing under mild agitation.

Ingredient	Parts by Weight (grams)	Solid Weight (grams)
Methyl n-amyl ketone	30.0	---
Butyl Cellosolve® acetate	10.0	---
Butyl Carbitol® acetate	5.0	---
Tinuvin 928	3.0	3.0
Tinuvin 292	0.5	0.5
Silica dispersion of Example A	8.8	6.7
Acrylic Resin of Example 1	58.2	42.2
Cymel 202	18.8	15.0
Siloxane polyol of Example AA	11.0	11.0
Boric acid (available from Aldrich Chemical Co.) 20% solution in methanol	1.3	0.3
Phenyl Acid Phosphate Catalyst	0.7	0.5
DesmodurN3300	27.1	27.1

5

#### **EXAMPLE 4**

This example describes the preparation of a two-component clearcoating composition of the present invention which contains boric acid as the adhesion promoting component and a polysiloxane polyol as the surface

active component. The composition was prepared by adding each of the following ingredients sequentially and mixing under mild agitation.

Ingredient	Parts by Weight (grams)	Solid Weight (grams)
Methyl n-amyl ketone	30.0	---
Butyl Cellosolve® acetate	10.0	---
Butyl Carbitol® acetate	5.0	---
Tinuvin 928	3.0	3.0
Tinuvin 292	0.5	0.5
Silica/Siloxane Dispersion	8.8	6.7
Acrylic Resin of Example 1	58.2	42.2
Cymel 202	18.8	15.0
Siloxane Resin	11.0	11.0
Boric acid (available from Aldrich Chemical Co.) 20% solution in methanol	5.0	1.0
Phenyl Acid Phosphate Catalyst	0.7	0.5
DesmodurN3300	27.1	27.1

5

#### EXAMPLE 5

This example describes the preparation of a two-component clearcoating composition which contains an adhesion promoting component of triisopropyl borate in conjunction with a polysiloxane polyol as the surface active component. The composition was prepared by adding the following

10

ingredients sequentially and mixing under mild agitation.

Ingredient	Parts by Weight (grams)	Solid Weight (grams)
Methyl n-amyl ketone	30.0	---
Butyl Cellosolve® acetate	10.0	---
Butyl Carbitol® acetate	5.0	---
Tinuvin 928	3.0	3.0
Tinuvin 292	0.5	0.5
Silica dispersion of Example A	8.8	6.7
Acrylic Resin of Example 1	58.2	42.2
Cymel 202	18.8	15.0
Siloxane polyol of Example AA	11.0	11.0
Triisopropyl borate (available from Aldrich Chemical Co.)	0.9	0.9
Phenyl Acid Phosphate Catalyst	0.7	0.5
DesmodurN3300	27.1	27.1

The clearcoating compositions of Examples 1-5 prepared as described above, were reduced in viscosity to about 25 seconds on a #4 Ford efflux cup at ambient temperature using methyl n-amyl ketone.

## 5 APPLICATION AND TESTING

The film forming compositions of Examples 1-5 were spray applied to a pigmented basecoat to form color-plus-clear composite coatings over primed electrocoated steel panels. The panels used were cold rolled steel panels  
10 (size 4 inches x 12 inches (10.16 cm by 30.48 cm)). The steel panels for Examples 1-5 were coated with ED5000 electrocoat, available from PPG Industries, Inc, and GPXH5379 primer surfacer, also available from PPG Industries, Inc. The test panels are available as APR39375 from ACT Laboratories, Inc. of Hillsdale, Michigan.

15 The basecoat used for Examples 1-5 was Obsidian Schwarz, black pigmented waterborne basecoat, available from BASF Corporation. The Obsidian Schwarz basecoat was automated spray applied in two coats with approximately 30 second flash between coats to the electrocoated and primed steel panels at about 70°F (21°C) temperature and about 60% relative  
20 humidity. A dry film thickness of about 0.5 to 0.6 mils (about 12 to 16 micrometers) was targeted. The basecoat was allowed to flash ambiently for about five minutes and then prebaked for five minutes at 176°F (80°C).

The clear coating compositions of Examples 1-5 were each automated spray applied to a basecoated panel at ambient temperature in two coats with  
25 about a 30 second ambient flash between coats. Examples 1-5 were targeted for a 1.5 to 2.0 mils (about 38 to 51 micrometers) dry film thickness. All coatings were allowed to air flash at ambient temperature for ten minutes. Panels prepared from each coating were baked for 30 minutes at 285°F (141°C) to fully cure the coating(s). The panels were baked in a horizontal  
30 position.

**X-RAY PHOTOELECTRON SPECTROSCOPY:**

Specimens were analyzed using a VG Scientific (United Kingdom) X-ray photoelectron spectrometer (XPS), Model ESCALAB Mk. II. Sections of the test panels prepared as described above, were cut with a metal shear into specimens measuring approximately 1cm x 1cm in size. The specimens were then mounted onto standard VG sample holders using double-sided tape. The specimens were not cleaned with solvent, but were analyzed as received. The typical base pressure of the instrument is  $<1 \times 10^{-8}$  torr. XPS spectra were obtained using a Mg X-ray source (1253.6 eV), which was operated at 12kV and 20mA. Survey spectra were collected from 1100-0 eV for qualitative analysis. High resolution spectra were obtained for boron 1s, carbon 1s, N 1s, and Si 2p regions. Quantitative results (atomic %) were determined from the peak areas (Shirley background) of the high resolution spectra using standard VG Eclipse software. The quantitative data is presented below in Table 1.

**TABLE 1**  
**Surface Composition Determined by XPS (Atomic %)**

Example #	B	C	N	O	Si
1*	---	45.9	5.8	35.8	12.5
2	0.7	46.0	4.9	35.4	13.0
3	0.4	46.0	4.8	36.1	12.7
4	0.5	51.1	3.0	32.9	12.4
5	0.5	46.1	4.7	35.6	13.1

\* indicates a comparative example

The XPS surface composition data presented in Table 1 above, illustrates that the boron (i.e., the adhesion promoting component) is present at the surface of a cured coating composition at a concentration higher than the concentration of boron in the composition as prepared prior to application and curing.

It will be appreciated by those skilled in the art that changes could be made to the embodiments described above without departing from the broad inventive concept thereof. It is understood, therefore, that this invention is not limited to the particular embodiments disclosed, but it is intended to cover



modifications which are within the spirit and scope of the invention, as defined by the appended claims.

## THEREFORE, WE CLAIM:

1. In a multi-layer composite comprising at least a first polymeric layer  
5 and a second polymeric layer formed over the first polymeric layer thereby  
forming an interface region there between, at least one of the polymeric layers  
being formed from a thermosetting composition comprising an adhesion  
promoter composition,  
the adhesion promoter composition comprising:  
10 (1) at least one adhesion promoting component, and  
(2) at least one surface active component,  
the improvement comprising the presence of the adhesion promoting  
component (1) at the interface region.
- 15 2. A composite according to claim 1, wherein a free energy of mixing  
value for an admixture of the adhesion promoter composition and the  
thermosetting composition without the adhesion promoter composition is a  
positive value.
- 20 3. A composite according to claim 2, wherein the adhesion promoting  
component (1) is present in the interface region in an amount sufficient to  
improve the interlayer adhesion between the first polymeric layer and the  
second polymeric layer.
- 25 4. A composite according to claim 1, wherein the solubility parameter of  
the adhesion promoter composition is sufficiently different from the solubility  
parameter of the thermosetting composition without the adhesion promoter  
composition, such that the resulting thermodynamic interaction parameter  
value for the adhesion promoter composition and the thermosetting  
30 composition without the adhesion promoter composition is greater than 0.5.
5. A composite according to claim 4, wherein the adhesion promoting  
component (1) is present in the interface region in an amount sufficient to

improve the interlayer adhesion between the first polymeric layer and the second polymeric layer.

6. A composite according to claim 1, wherein the first polymeric layer and  
5 the second polymeric layer are formed from the thermosetting composition.

7. A composite according to claim 1, wherein the first polymeric layer is  
formed from the thermosetting composition and comprises a surface region  
and a bulk region.  
10

8. A composite according to claim 7, wherein the first polymeric layer is  
formed over a substrate.

9. A composite according to claim 7, wherein a free energy of mixing  
15 value for an admixture of the adhesion promoter composition and the  
thermosetting composition without the adhesion promoter composition is a  
positive value, such that the adhesion promoting component (1) is partitioned  
within the first polymeric layer to provide a concentration of the adhesion  
promoting component (1) at the surface region which is greater than the  
20 concentration of the adhesion promoting component in the bulk region.

10. A composite according to claim 7, wherein the solubility parameter of  
the adhesion promoter composition is sufficiently different from the solubility  
parameter of the thermosetting composition without the adhesion promoter  
25 composition, such that the resulting thermodynamic interaction parameter  
value for the adhesion promoter composition and the thermosetting  
composition without the adhesion promoter composition is greater than 0.5,  
thereby causing the adhesion promoting component (1) to partition within the  
first polymeric layer to provide a concentration of the adhesion promoting  
30 component (1) at the surface region which is greater than the concentration of  
the adhesion promoting component in the bulk region.

11. A composite according to claim 7, wherein the thermosetting composition is formed from the following components:

- 5 (A) at least one polymer comprising one or more reactive functional groups;
- (B) at least one curing agent comprising one or more functional groups reactive with the functional groups of (A); and
- (C) at least one adhesion promoter composition comprising:
- 10 (1) at least one adhesion promoting component, and
- (2) at least one surface active component.

12. A composite according to claim 11, wherein the polymer (A) is selected from at least one of an acrylic polymer, a polyester polymer, a polyether polymer, polyurethane polymer, a polyepoxide polymer, and a silicon-based polymer.

15

13. A composite according to claim 12, wherein the polymer (A) is selected from at least one of an acrylic polymer, a polyester polymer, and a silicon-based polymer.

20

14. A composite according to claim 12, wherein the polymer (A) comprises one or more reactive functional groups selected from a hydroxyl group, a carboxyl group, an isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group, a maleimide group, a fumarate group, an anhydride group, a hydroxy alkylamide group, and an epoxy group.

25

15. A composite according to claim 14, wherein the polymer (A) comprises one or more reactive functional groups selected from at least one of a hydroxyl group and a carbamate group.

30

16. A composite according to claim 15, wherein the polymer (A) comprises at least one of an acrylic polymer, a polyester polymer, and a silicon-based polymer.
- 5
17. A composite according to claim 15, wherein the film-forming polymer (A) comprises the residue of a beta-hydroxy group-containing monomer selected from at least one of
- (A) the reaction product of an ethylenically unsaturated acid functional monomer and an epoxy functional compound having no ethylenic unsaturation; and
- 10 (B) the reaction product of an ethylenically unsaturated, epoxy functional monomer and a saturated carboxylic acid.
- 15 18. A composite according to claim 11, wherein the curing agent (B) is selected from at least one of an aminoplast resin, a polyisocyanate, a blocked isocyanate, a polycarboxylic acid, a polyanhydride, a polyepoxide, a polyamine, a polyol, and mixtures thereof.
- 20 19. A composite according to claim 18, wherein the curing agent (B) is selected from at least one of an aminoplast resin, a polyisocyanate, a blocked isocyanate and mixtures thereof.
20. A composite according to claim 17, wherein the curing agent (B) is selected from least one aminoplast resin and at least one blocked isocyanate comprising a tricarbamoyl triazine compound.
- 25
21. A composite according to claim 11, wherein the adhesion promoter composition comprises:
- 30 (1) at least one adhesion promoting component comprising at least one of boron, aluminum, silicon, titanium, zirconium, phosphorous, and mixtures thereof, and

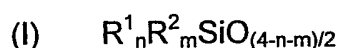
(2) at least one at least one surface active component comprising at least one polysiloxane comprising one or more reactive functional groups.

22. A composite according to claim 21, wherein the at least one adhesion promoting component (1) is selected from at least one of borates, aluminates, titanates, zirconates, inorganic acids of phosphorous, silicates, siloxanes, silanes, and mixtures thereof.

23. A composite according to claim 22, wherein the adhesion promoting component (1) is selected from at least one of boric acid, boric acid equivalents, and aluminum alkoxide.

24. A composite according to claim 21, wherein the polysiloxane (2) comprises at least one of the following structural units (I):

15

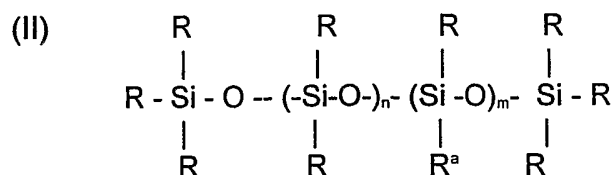


wherein each  $R^1$ , which may be identical or different, represents H, OH, a monovalent hydrocarbon group or a monovalent siloxane group; each  $R^2$ , which may be identical or different, represents a group comprising one or more active hydrogens; and m and n each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ .

20

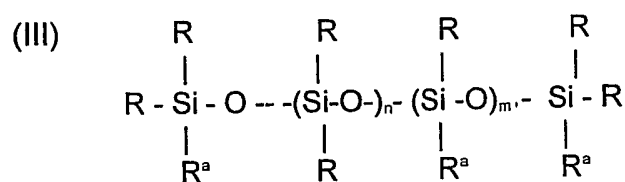
25. A composite according to claim 24, wherein the polysiloxane comprises one or more ungelled organic polysiloxanes having reactive functional groups, said polysiloxane having the following structure (II) or (III):

25



30

or



wherein:

m has a value of at least 1;

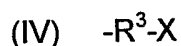
m' ranges from 0 to 75;

n ranges from 0 to 75;

n' ranges from 0 to 75;

each R, which may be identical or different, is selected from H, OH, monovalent hydrocarbon groups, monovalent siloxane groups, and mixtures of any of the foregoing; and

R<sup>a</sup> comprises the following structure (IV):



wherein -R<sup>3</sup> is selected from an alkylene group, an oxyalkylene group, an alkylene aryl group, an alkenylene group, an oxyalkenylene group, and an alkenylene aryl group; and; and R<sup>a</sup> comprises the following structure (IV):



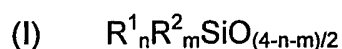
wherein R<sup>3</sup> is alkenylene, alkylene, oxyalkylene, alkylene aryl or alkenylene; and X represents a group which comprises at least one reactive functional group selected from a hydroxyl group, a carboxyl group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, an anhydride group, a hydroxy alkylamide group, and an epoxy group.

26. A composite according to claim 25, wherein X represents a group comprising at least one functional group selected from a hydroxyl group, a carbamate group and combinations thereof.

27. A composite according to claim 11, wherein the adhesion promoting composition (C) comprises a reaction product of

(1) at least one adhesion promoting component selected from boric acid, boric acid equivalents and mixtures thereof; and

(2) at least one polysiloxane comprising at least one of the following structural units (I):



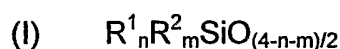
5 wherein each  $R^1$ , which may be identical or different, represents H, OH, a monovalent hydrocarbon group or a monovalent siloxane group; each  $R^2$ , which may be identical or different, represents a group comprising one or more active hydrogens; and m and n each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ .

10

28. A composite according to claim 11, wherein the adhesion promoting composition (C) comprises an unreacted admixture of

(1) at least one adhesion promoting component selected from boric acid, boric acid equivalents and mixtures thereof; and

15 (2) at least one polysiloxane comprising at least one of the following structural units (I):



20 wherein each  $R^1$  is independently selected from H, a monovalent hydrocarbon group or a siloxane group; each  $R^2$  independently is a group comprising  $OR'$ , where  $R'$  is H or an alkyl group having 1 to 20 carbon atoms; and m and n each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ .

25 29. A composite according to claim 28, wherein the adhesion promoting composition (C) is present in the thermosetting composition in an amount sufficient to provide an amount of boron in the thermosetting composition ranging from 0.001 to 5 weight percent, based on total weight of resin solids present in the thermosetting composition.

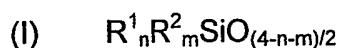
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30. In a multi-layer composite comprising at least a first polymeric layer and a second polymeric layer formed over the first polymeric layer thereby forming an interface region there between, the first polymeric layer having a



surface region and a bulk region and being formed from a thermosetting composition which is formed from the following components:

- (A) at least one polymer comprising one or more reactive functional groups selected from at least one of hydroxyl group and a carbamate group;
- 5 (B) at least one curing agent selected from at least one of an aminoplast resin, a polyisocyanate and a blocked isocyanate; and
- (C) at least one adhesion promoter composition comprising:
  - (1) at least one adhesion promoting component selected from at least one of boric acid, boric acid equivalents, and mixtures
  - 10 thereof, and
  - (2) at least one surface active component comprising a least one polysiloxane comprising at least one of the following structural units (I):



- 15 wherein each  $R^1$  is independently selected from H, a monovalent hydrocarbon group or a siloxane group; each  $R^2$  independently is a group comprising  $OR'$ , where  $R'$  is H or an alkyl group having 1 to 20 carbon atoms; and  $m$  and  $n$  each represent a positive number fulfilling the requirements of  $0 < m < 4$ ;  $0 < n < 4$ ; and  $2 \leq (m+n) < 4$ ,

- 20 the improvement comprising the presence of the adhesion promoting component (A) at the interface region.

- 31. A composite according to claim 30, wherein a free energy of mixing value for an admixture of the adhesion promoter composition and the
- 25 thermosetting composition without the adhesion promoter composition is a positive value.

- 32. A composite according to claim 31, wherein the adhesion promoting component (1) is present in the interface region in an amount sufficient to
- 30 improve the interlayer adhesion between the first polymeric layer and the second polymeric layer.

33. A composite according to claim 30, wherein the solubility parameter of the adhesion promoter composition (C) is sufficiently different from the solubility parameter of the thermosetting composition without the adhesion promoter composition, such that the resulting thermodynamic interaction parameter value for the adhesion promoter composition and the thermosetting composition without the adhesion promoter composition is greater than 0.5.

34. A composite according to claim 33, wherein the adhesion promoting component (1) is present in the interface region in an amount sufficient to improve the interlayer adhesion between the first polymeric layer and the second polymeric layer.

35. A composite according to claim 30, wherein a free energy of mixing value for an admixture of the adhesion promoter composition and the thermosetting composition without the adhesion promoter composition is a positive value, such that the adhesion promoting component (1) is partitioned within the first polymeric layer to provide a concentration of the adhesion promoting component (1) at the surface region which is greater than the concentration of the adhesion promoting component in the bulk region.

20

36. A composite according to claim 30, wherein the solubility parameter of the adhesion promoter composition is sufficiently different from the solubility parameter of the thermosetting composition without the adhesion promoter composition, such that the resulting thermodynamic interaction parameter value for the adhesion promoter composition and the thermosetting composition without the adhesion promoter composition is greater than 0.5, thereby causing the adhesion promoting component (1) to partition within the first polymeric layer to provide a concentration of the adhesion promoting component (1) at the surface region which is greater than the concentration of the adhesion promoting component in the bulk region.

30

37. A composite according to claim 32, wherein the adhesion promoting composition is present in the thermosetting composition in an amount sufficient to provide an amount of boron in the thermosetting composition ranging from 0.001 to 5 weight percent, based on total weight of resin solids  
5 present in the thermosetting composition.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/23535

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C09D7/12 C09D5/00 C08J7/00 C09D133/00 C09D183/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08F C09D C08J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, COMPENDEX

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 01 09260 A (PPG IND OHIO INC) 8 February 2001 (2001-02-08) the whole document ---	1
X	EP 0 562 531 A (KANSAI PAINT CO LTD) 29 September 1993 (1993-09-29) claim 1 ---	1
P, X	US 2002/086168 A1 (ANDERSON LAWRENCE G ET AL) 4 July 2002 (2002-07-04) the whole document ---	1-37
A	EP 0 271 141 A (ENIRICERCH SPA ; ENICHEM ANIC SPA (IT)) 15 June 1988 (1988-06-15) cited in the application the whole document --- -/--	1-37



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

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\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

14 October 2002

Date of mailing of the international search report

23/10/2002

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/23535

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 841 006 A (KOBAYASHI KEIJI ET AL) 20 June 1989 (1989-06-20) the whole document ---	1-37
A	WO 97 35936 A (PPG INDUSTRIES INC) 2 October 1997 (1997-10-02) claim 1 -----	1-37

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

Inventor's Application No  
PCT/US 02/23535

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0109260	A	08-02-2001	AU 6392700 A	19-02-2001
			AU 6392900 A	19-02-2001
			AU 6504200 A	19-02-2001
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