

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
22 November 2001 (22.11.2001)

PCT

(10) International Publication Number  
**WO 01/88860 A1**

(51) International Patent Classification<sup>7</sup>: **G07D 7/00**

(21) International Application Number: PCT/SE01/01077

(22) International Filing Date: 15 May 2001 (15.05.2001)

(25) Filing Language: Swedish

(26) Publication Language: English

(30) Priority Data:  
0001795-4 16 May 2000 (16.05.2000) SE

(71) Applicants and

(72) Inventors: **BEGHELLO, Luciano** [FI/SE]; Kavlevägen 75, S-141 59 Huddinge (SE). **TOIVAKKA, Martti** [FI/LU]; 46, rue Andethana, L-6970 Oberanven (LU).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

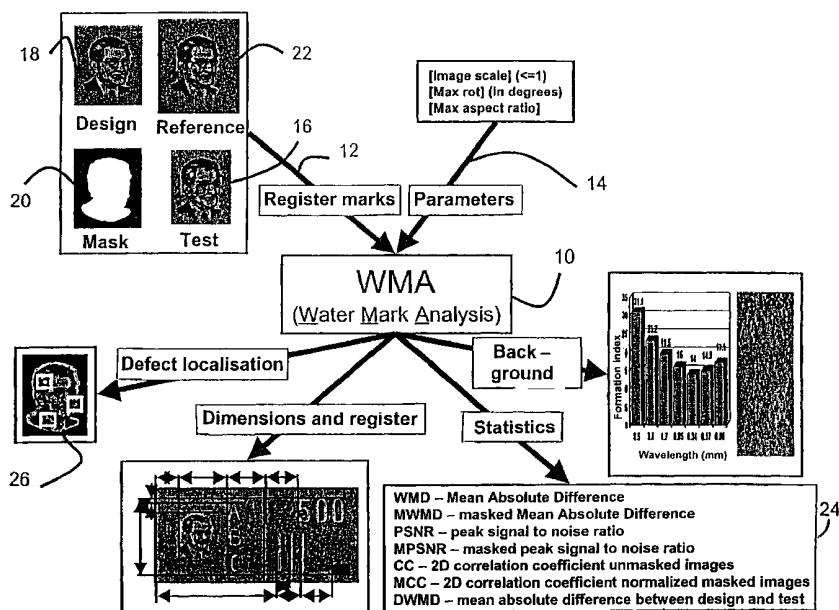
Published:

— with international search report

(74) Agents: **STRANDIN, Heléne** et al.; Bergenstråhle & Lindvall AB, Box 17704, S-118 93 Stockholm (SE).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND DEVICE



(57) Abstract: The invention concerns a method and a device for quality examination of through radiated security elements (16, 22) comprised in a valuable document by image analysis processing for the determination of its quality, dimensions and internal distances. The security element may specifically be a watermark. The quality of the security element (16, 22) is determined via statistical analysis, whereby this is determined via previously obtained statistics and via threshold values.



WO 01/88860 A1

5       **TECHNICAL FIELD**

The present invention concerns a method and a device for quality inspection and quality examination of through radiated security elements, such as different types of watermarks, security threads, holograms in patches and in  
10 bands, security fibres and other visible security ingredients that may be caught in a medium, either being paper or a plastic like medium, in connection with the through radiation.

15       **TECHNICAL BACKGROUND**

The expressions "safety" and "security paper" comprises all papers in which any kind of protection against forgery has been provided. This may be provided in different ways according to desires and requirements. A common type is a  
20 watermark. Sometimes also a hologram or a kinegram is provided on or in the paper.

Another important way of preventing forgery is printing patterns which are difficult to copy, special dye and using  
25 printing methods of such a high quality that the access is strongly limited for forgers.

Almost every security paper is designed for its special purpose, for example, a passport paper for a passport of one  
30 country is only made for this country, all this for trying to make this paper as unique as possible.

Concerning watermarks it may be stated that a true watermark always is achieved by a cylinder mould or on a forming  
35 cylinder. It is also possible to obtain something reminding of a watermark by embossing the paper in a flat wire machine,

5        where a mark is embossed in the paper by means of a top couch roller, also called "dandy roll". However, this mark shows less quality than an approved watermark and is only used in more exclusive civil papers or when the value of the document is low.

10        In order to provide a true watermark a substantially more advanced technique is required. Watermarks are created by thicker and thinner portions in the paper during the forming process on a cylinder mould. The thicker portions give a  
15        darker impression and the thinner a lighter impression when the paper is held towards a lamplight.

20        There are three different types of true watermarks: cylinder mould, cylinder mould two tones and cylinder mould half tone watermark. The latter is also called portrait watermark and is the most exclusive. To obtain a good security it is necessary to use a two-tones or preferably a half-tone watermark. Other known types of watermarks are "Electrotype" and "Bar-code" watermarks.

25        To check that a watermark is true a visual examination is made today. The paper with the watermark is put on a black paper. If it is a true watermark the thicker portions of the mark looks whiter while the thinner portions become dark. It  
30        is also possible to illuminate the paper with ultra violet light, in which light a true watermark is hardly seen. An embossed watermark may fluoresce alternatively obstruct the fluorescence of the paper, but this does not apply to paper made of non-fluorescent fibres as for example cotton.

5 It also exists three paper forgeries where the middle sheet have had an embossed watermark, for example forgeries of French ID cards. These forgeries commonly give unusually sharp nuance transitions. Therefore, soft transitions are put into true watermarks.

10

It also happens that a true watermark has been photographed. Printing a disturbing print over portions of the watermark prevents this opportunity. The print may of course not disturb as much so the check of the quality is made more difficult. Generally a watermark is considered to give the best security but if the value of the document is high it usually is combined with for example one or two other types of watermarks and a security thread.

20 In order to provide a true watermark a high technology and skilled personnel is required as stated above. Nowadays image analysis technology is used to rapidly and securely create a new watermark. The new technology facilitates the production of three dimensionally images, which are used subsequently for the engraving of wire embosses with automatic milling machines. The traditional production of a watermark is still in use. A short run-through will follow point by point.

30 First an artist engraves the original in a wax plate. The artist must take into consideration, which portions to be light and dark, respectively, in the paper. Also the fact that the paper is subjected to strain tensions and will be slightly stretched in the paper machine must be taken into consideration. In order to avoid getting a stretched image a compressed image of the original is engraved so that a normal image will be obtained in the paper. The portions which shall

35

5 be dark obtains a higher profile than a zero plane in a wax.  
Plate and the portions which shall be light obtains a lower  
profile than the zero plane of the wax plate.

10 1. A cast of plaster is made on the wax engraving, which  
forms a mirror copy of the wax plate.

2. On the plaster plate a copper plate is deposited, a so-  
called master, which is identical with the wax  
engraving.

15 3. On the master a copper matrix is deposited, which is a  
copy of the plaster plates. This is used only for the  
manufacturing of new subplates.

20 4. On the matrix as many subplates as is required to make up  
a wire, i.e. the wire arranged on the cylinder mould or  
forming cylinder.

25 5. On each subplate a top plate is deposited and these two  
are both used to emboss the desired pattern in the copper  
wire.

30 The completed copper wire is usually welded to other copper  
wires up to five layers making up an entire wire. This is a  
precision work. In order to avoid obtaining any disturbing  
edge the 0.18-0.20 mm thick copper threads are grinded to  
half and thereafter welded with silver to the grinded threads  
of another part. When a round wire is obtained it is welded  
to two stronger copper wires to obtain stability.

5 Hologram and kinegram are nowadays common types of security  
elements, which are used in for example the Finnish 20, 100  
and 500 Mark notes. The difference between hologram and  
kinegram is that it does not have to be a physical image to  
produce a kinegram but the image may be created by a computer  
10 and by means of laser technology. This protection prevents  
colour photo copying, which means that this could be an  
interesting complement, since the development of better and  
better colour photo-copying machines is rapid. The  
application on the bank note is made by heat sealing. It is  
15 also possible to combine kinegram and hologram with  
microprint.

An important factor when analysing valuable documents, such  
as bank note paper, passport paper, security document paper  
20 and other security paper is the homogeneity of the document,  
for example regarding its variation in fibre distribution in  
small scale over a determined surface, called formation in  
the art of paper manufacturing.

#### 25 **SUMMARY OF THE DESCRIBED INVENTION**

The present invention concerns a method and a device for  
checking the quality and the distance between through  
radiated security elements, such as preferably different  
types of watermarks, but also hologram and the like, security  
30 threads, security fibres, by means of image analysis.

In the present application the expression through radiation  
of security elements refer to any kind of radiation that may  
be used to register density differences in the paper, for  
35 example, transmitting visual light or IR-light or X-rays  
through the security element/s.

5

In order to obtain adequate quality analysis of the security elements the present invention states a method and a device for through radiation of the different security elements comprised in valuable documents with image analysis processing for the establishing of its quality, dimensions and distance there between.

10

15

The present invention is also directed to analysis and quality examination of through radiated areas around, in the vicinity of the security elements comprised in the valuable document.

20

25

By means of image analysis of valuable documents, such as bank note paper, passport paper, security document paper and other security paper, the quality also is examined concerning the homogeneity of the document, for example concerning the variation of the fibre distribution in small scale over a predetermined surface area, called formation in the art of paper manufacturing. This is determined by calculating a formation coefficient distributed in different wave length areas.

30

The security element (for example a watermark) is through radiated (for example with light of a wavelength within the visual interval or of another type: for example infrared or ultra violet light or X-rays), the radiation passing is registered by digital means for the receiving of transmitted radiation. The method may also comprise at least any one of the following steps:

35

5 correction of the area weight (weight per unit of area, which is a critical parameter for, for example, the light absorption of the paper) with an average value of the same;

determination of statistics for the different values by  
10 analysis of the mark if it is a reference mark, compared to an original or design mark, the statistics are used for quality check of test marks;

storing of produced statistics for the reference mark and of  
15 the reference mark;

correction of the size of the reference or test mark according to original, design mark and digital cutting of the representation of the mark to the size of the original;

20 checking of the radiation permeability of a test mark compared to a determined threshold value for the same in said statistics;

25 rejection of the quality of the test mark if the radiation permeability is less than the threshold value, whereby the quality check is interrupted;

digital comparison of the reference mark with the test mark  
30 if the radiation permeability is greater than said threshold, whereby a mask with the outline of the original mark is provided overlapping the mark;

determination of the difference in radiation transmittance  
35 between the reference mark and the test mark within the mask for the defined area of the marks where the difference is

5 pronounced in comparison with acceptable statistics for  
acceptable difference;

statistic determination of differences between the reference  
mark and the test mark by comparison of the same with said  
10 produced statistics for quality determination of the test  
mark in said areas;

determining if the test mark has approved quality from a  
predetermined threshold level for differences in the  
15 statistics between the reference and the test mark; and

communication of said differences in the statistics and  
possible acceptance or rejection of the quality of the mark.

20 Rejection of the quality of the mark is provided according to  
an embodiment of the invention if its average value of weight  
per unit of area in the valuable document exceeds a maximum  
threshold value for the average according to said  
predetermined statistics.

25 Rejection of the quality of the mark is provided in a further  
embodiment of the invention if its average value of weight  
per unit of area in the valuable document is below a minimum  
threshold value for the average value according to said  
30 predetermined statistics.

Rejection of the quality of the mark is provided in another  
embodiment of the present invention if its rotation in  
relation to said mask exceeds a predetermined rotational  
35 threshold value in degrees according to said predetermined  
statistics.

5

Rejection of the quality of the mark is provided in a further embodiment if its rotation in relation to said mask exceeds a predetermined rotational threshold value in degrees according to said predetermined statistics in combination with said maximum threshold value.

10

Rejection of the quality of the mark is provided in another embodiment of the present invention if its rotation in relation to said mask exceeds a predetermined rotational threshold value in degrees according to said predetermined statistics in combination with said minimum threshold value.

15

Rejection of the quality of the mark is provided in an embodiment of the invention if the shrinkage factor differs in relation to the predetermined statistics of the same.

20

Rejection of the quality of the mark is provided in further embodiments of the invention if the shrinkage factor differs in relation to the predetermined statistics in combination with said statistics for other values and/or factors.

25

The statistics is used in an embodiment to check the distance between watermarks and/or other security elements in a row of valuable paper at manufacturing thereof in a machine for this purpose.

30

The statistics are used for checking the distance between security elements in a row of valuable paper in the so-called machine direction in an embodiment of the present invention.

35

5 In a further embodiment of the present invention the statistics are used for checking the distance between marks in a row of valuable paper crosswise the machine direction and the row in front.

10 In another embodiment of the invention the statistics are used to check the distance between marks in a row of valuable paper in the so called machine direction and crosswise the machine direction to the row in front.

15 In a preferred embodiment of the invention the mark is a watermark.

Through radiation is effected in one embodiment of the invention by means of at least two different wavelengths for the light so that at least two formation indexes is obtained for statistical determination of the quality of a mark.

20

Statistics is created in one embodiment when a reference mark is registered, whereby a mark to be tested immediately may be registered for quality determination by means of the statistics for the reference mark.

25

Further, the present invention comprises a device for quality examination of the through radiated security element comprised in the valuable document by means of image analysis processing for the determination of its quality. Through radiation is provided, whereby radiation passing is registered by means of digital means for receiving transmitted radiation, which means is connected or connectable to computer means for analysis of the quality of

30

35

5 the security element. The device may also comprise at least one of the means below;

correcting means for the average value of weight per unit of area of the valuable document and with the size of the  
10 reference or test mark according to the original (design);

digital cutting means for cutting the image of the mark to the size of the original;

15 analysis means for determination of statistics for different values by means of analysis of the mark if it is a reference mark, in comparison with an original mark, design mark, whereby the statistics is used for quality check of test marks;

20 digital storing means for obtained statistics for the reference mark and of the reference mark;

checking means for checking of the radiation permeability of  
25 the test mark in comparison with a threshold value for the same in said statistics;

rejection communication means for the quality of the test mark if the radiation permeability is lower than the  
30 threshold value, whereby the quality check is interrupted giving a communication of the quality;

digital comparison means for the comparison between the test mark and the reference mark if the radiation permeability is  
35 greater than said threshold value, whereby a mask with the contour of the original mark is overlapping the test mark;

5

determination means for determination of differences in radiation permeability between the reference mark and the test mark within the mask for defined areas of the mark where the difference is pronounced in comparison with statistics over acceptable differences;

10

statistical means for calculation of the difference and for comparison of the same with said statistics for quality determination of the test mark in said areas;

15

determination means for determination if the mark is approved according to a predetermined threshold level for differences in the statistics; and

20

communication means for communicating said differences in the statistics and possible acceptance or rejection of the quality of the test mark.

25

The device may further perform steps in the method in accordance with the appended dependent device claims.

#### **SHORT DESCRIPTION OF THE DRAWINGS**

30

In the following text describing examples and embodiments it is referred to the appended figures for a better understanding of the present invention.

Fig. 1 illustrates schematically a watermark analysis according to the present invention.

- 5        Fig. 2 illustrates a watermark and some parameters for  
         quality examination according to the present  
         invention.
- Fig. 3 illustrates a masking of an image background  
10        according to the present invention.
- Fig. 4 illustrates schematically fault localisation of a  
         watermark according to the present invention.
- 15        Fig. 5 illustrates schematically the distance between  
         different security elements, here watermarks, at  
         manufacturing of valuable documents according to the  
         present invention.
- 20        Fig. 6 illustrates schematically dimensional determination  
         and register, here for a bank note with different  
         types of security inserts.
- Fig. 7 illustrates a formation index for different wave  
25        lengths according to the present invention; and
- Fig. 8 illustrates schematically different types of  
         security inserts or security prints, which may be  
         quality determined according to the present  
30        invention.

#### **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

The present invention concerns analysis and quality judgement  
of through radiated security elements comprised in valuable  
35        documents. For example; image analysis processing of the  
invention with analysis of geometrical factors of the

5 security elements, such as watermarks, whereby such factors are length and width relations (aspect ratio), comparison in relation to an original (design), a reference mark or reference image and background information, so called formation, are intended. Additionally, laboratory and  
10 manufacturing scale information "on-line", such as the distance between several watermarks and/or other security elements, such as security thread, hologram, is intended at the same time.

15 Fig. 1 illustrates schematically a watermark analysis 10 according to the invention. Here, one or more watermarks are registered 12, during manufacturing (see Fig. 5) in a computer (not shown) by means of digital means for registration of received light, for example a digital camera  
20 (not shown). The test mark 16 has then been through radiated via for example a shining table, whereby the mark transmits different amounts of light at different grey scales of the ornament of the mark.

25 Further, parameters 14 is input or determined at the analysis 10 for admissible scale deviation, rotation "max rot", length and width relation "max aspect ratio".

In the analysis 10, the originally produced mark (design) 18  
30 is comprised and used to form a digital contour mask 20 for the mark and a reference mark 22 approved as manufactured according to recognised practice for manufacturing of value marks. The mask 20 is overlapped over the reference mark 22 whereby, statistics 24 and threshold values for the through  
35 radiation with light is produced. This statistic 24 is dependent of the formation of the valuable document, i.e. the

5        fibre distribution in small scale at analysis of valuable  
paper and surface weight, so called "grammages" weight per  
square meter, commonly  $\text{g/m}^2$  (Amer.: basis weight).

10        Additionally, statistics 24 for the length and width ratio  
for a digitally scanned and cut out reference value mark 22  
and the rotation of the mark in relation to the mask 20 is  
produced.

15        Obtained statistics 24 may comprise for example the following  
statistical determination methods, WMD (Mean Absolute  
Difference), PSNR (Peak Signal to Noice Ratio), mWMD (masked  
Mean Absolute Difference), mPSNR (masked Peak Signal to Noice  
Ratio), CC1-2D (Correlation Coefficient Unmasked Images),  
20        CC2-2D (Correlation Coefficient Unmasked Images on nonzero  
pixels), mCC1-2D (Correlation Coefficients Masked Images),  
mCC2-2D (Correlation Coefficients Normalized Masked Images),  
mCC3 (see mCC1), Fit3D (3D adaptation for the designed mark  
18), Scale 3D (Optimum 3D-scale for calculation of Fit3D).

25        When one or more of these statistics differences between the  
design mark 18 and the reference mark 22 are registered in  
the analysis, acceptable differences are stipulated in the  
form of threshold values for disturbance levels, which are  
used for stipulation the quality of the test mark 16. The  
30        level for the quality 26 of the mark is shown via, for  
example, a print-out or a computer display in the form of an  
image with for example marked cross-rule areas (see fig. 4  
for a more detailed description of this) where the  
differences between the reference mark 22 and the test mark  
35        16 are greatest according to said statistics. The differences  
may of course be shown by other means known to the skilled

5 person within the scope of the present invention. Obtained statistics may in one embodiment of the invention concern each cross-rule area per se, in such a way the statistics become more detailed dependent on how finely cross-ruled area has been used, see fig. 4.

10 The expression rejection is meant that what is rejected is not accepted due to poor quality.

Fig. 1 illustrates by means of arrows which results that a  
15 mark analysis 10 may perform in the form of defect localisation 26, dimensions and registers (see Fig. 6), statistics, background information (Fig. 7) etc.

In one embodiment the through radiation is metered for the  
20 mark 16 under test, whereby it immediately is determined if the analysis should be interrupted at the registration due to the permeability is too high or too low in relation to a predetermined threshold value. If this occurs, it is not any  
idea to carry on with the analysis since already the input,  
25 registration of the mark reveals deficiencies in it.

In order to carry out the analysis 10 it is given by the present invention a device for quality examination of through radiated security elements comprised in valuable documents by  
30 means of image analysis processing for the stipulation of its quality, dimensions and internal distances.

The device comprises means (for example, shining table or IR-lamp) for through radiation, which radiates through the mark,  
35 whereby the radiation hitting digital means (for example a digital camera) for receiving transmitted radiation is

- 5 registered, which means is connected or connectable to computer means (for example a personal computer or other computer) for analysis of the quality of the mark. Further, the device comprises:
- 10 correction means for the average value of weight per unit of area of the valuable document and with the size of the reference 22 or test mark 16 according to the original (design) 18;
- 15 digital cutting means for cutting of the image of the mark to the size of the original;
- analysis means for determination of statistics for different values by means of analysis of the mark if it is a reference 20 22, in comparison with an original mark 18, whereby the statistics is used for quality check of test marks 16;
- digital storing means for produced statistics for the reference mark 22 and of the reference mark 22;
- 25 check means for checking the radiation permeability of the test mark 16 in comparison, with a threshold value for the same in said statistics;
- 30 rejection communicating means for the quality of the test mark 16 if the radiation permeability is less than the threshold value, whereby the quality check is interrupted with a communication about the quality;
- 35 digital comparison means for comparison of the test mark 16 with the reference mark 22 if the radiation permeability is

5 greater than said threshold value, whereby a mask 20 with the contour of the original mark is overlapped over the test mark 16;

determination means for the determination of differences in  
10 radiation transmittance between the reference mark 22 and test mark 16 within the mask 20 for defined areas of the mark where the difference is pronounced in comparison with statistics over acceptable differences;

15 statistical means for calculation of the difference and for comparison of the same with said statistics for quality determination of the test mark 16 in said areas;

determination means for determination if the mark is approved  
20 based on a predetermined threshold for differences in the statistics; and

communication means for communicating said differences in  
statistics and possible acceptance or rejection of the  
25 quality of the test mark 16.

A unique property of the device and the method according to the present invention is provided by the fact that the statistics 24 may be created with the device when the  
30 designed mark 18 is used as original/reference, whereby the real reference 22 is used as a test mark 16.

The above mentioned means may suitably be built up of software or in a combination with hardware previously known  
35 to the skilled person within the art.

5 Below some preferred embodiments of the present invention will follow, which may be performed by the device or the method according to the present invention.

10 Rejection of the quality of the mark is performed if its average value of weight per unit of area in the valuable document exceeds a maximum threshold value for the average value according to said predetermined statistics.

15 Rejection of the quality of the mark is performed if its average value of weight per unit of area in the valuable document is below a minimum threshold value for the average value according to said predetermined statistics.

20 Rejection of the quality of the mark is performed if its rotation in relation to said mask exceeds a predetermined rotational threshold value in degrees according to said predetermined statistics.

25 Rejection of the quality of the mark is performed if its rotation in relation to said mask exceeds a predetermined rotational threshold in degrees according to said predetermined statistics in combination with said maximum threshold value.

30 Rejection of the quality of the mark is performed if its rotation in relation to said mask exceeds a predetermined rotational threshold value in degrees according to said predetermined statistics in combination with said minimum threshold value.

5       Rejection of the quality of the mark is performed if the shrinking factor differs in relation to the predetermined statistics.

10       Rejection of the quality of the mark is performed if the shrinking factor differs in relation to the predetermined statistics in combination with said statistics for other values and/or factors. The shrinking factor comes from the tendency of a mark to shrink from its original size at manufacturing of for example a value paper having a watermark  
15       when joining paper sheets, for example due to moisture and solvents that have been applied.

20       If certain rotation is measured but the value is below the threshold value a correction must be done for the measured rotation so that the rest of the statistical values may be comparable. The corresponding is true for the shrinking factor.

25       Fig. 2 illustrates a watermark with an indicated height and width. The relation between these parameters is used in the invention to determine the quality of a mark. In the mark there is an arrow, which indicate possible rotation of the mark in degrees at a digital cut of the mark at analysis 10.

30       Fig. 3 illustrates a masking of the background 30 of a mark, i.e. the contour 32 of the mark emerges according to the masking 20. The mask 20 masks the background 30 of the test image 22. The method has previously been described above.

35       Fig. 4 illustrates as an embodiment of the invention fault localisation in the analysis 10. The test mark 16 is here

5 divided in an embodiment of the invention into a closer  
masked net (cross-ruled area) from image to image when it is  
compared with the reference 22. The plus signs indicate  
statistical differences of the test mark 16 in comparison  
with the reference mark 22. The minus signs for each checker  
10 indicates good conformity between the reference mark 22 and  
the test mark 16. In this comparison it was determined that  
the difference between the both marks where  $\Delta = 15.2$ , which  
is accepted or rejected according to predetermined threshold  
values in the analysis 10, which determined the threshold  
15 values from statistical analysis according to any of the  
above methods for statistical determination of the designed  
value in comparison with the reference 22.

The first deviation shows a white patch in the upper left  
20 cross-ruled area of the tested mark 16, which is missing in  
the reference mark 22. This quality deviation has been marked  
with a plus sign to the right of the tested mark 16 for the  
checker in concern.

25 The cross-ruled area is made with a finer mask for the  
subsequent tested marks 16 in fig. 4. In the new cross-ruled  
area comprising sixteen checkers a further statistical  
deviation is discovered in the quality of the tested mark 16,  
which has been indicated by a plus sign in the second checker  
30 in the lowest row of checkers. The deviation is a black spot  
on the shirt collar in the test mark 16.

In similar ways the cross-ruled area may be made even finer  
masked, which is shown in the mark 16 furthest down, which  
35 has been divided into 64 checkers, whereby another  
statistical deviation is discovered. This time it is a

5        rupture in the contour line of the face in the mark 16. The  
finer marked the cross-ruled area is the more statistics,  
since the statistics refers to each checker.

10       In fig. 5 it is illustrated schematically how tested marks  
16, at manufacturing, is positioned in rows and columns in  
the machine direction (vertical arrow) and cross wise the  
machine direction (horizontal arrow) on a valuable paper  
sheet, whereby the machine direction is the direction the  
sheet is transported in a manufacturing machine. In this  
15       embodiment of the present invention produced statistics are  
used to check the distance between the watermarks in a row of  
valuable papers at manufacturing of the same in a machine for  
this purpose. Here, a faulty printing of the valuable paper  
sheet thus may be stopped when too large statistical  
20       deviations for distance between marks 16 arise both in the  
machine direction and the cross wise machine direction, which  
is shown with double arrows at the valuable paper sheet in  
fig. 5.

25       Fig. 6 illustrates schematically dimension determination and  
register, here for a bank note with different types of  
security inserts or security prints. It should be noted that  
security inserts that are not in themselves possible to  
though radiated with advantage may be through radiated in its  
30       immediate vicinity, for example the surroundings, for example  
by means of the method according to fig. 7.

In fig. 6 different distances is illustrated, which may be  
checked for examination of the quality. Arrows in fig. 6  
35       indicate the distances. The distances are measured on the  
security elements described in more detailed in fig. 8.

5

Fig. 7 illustrates a formation index for different wavelengths according to the present invention. By means of image analysis of valuable documents, such as bank note paper, passport paper, security document paper, other security paper etc, also the quality of the homogeneity of the document in for example its varying fibre distribution in small scale over a determined area, called formation within the field of paper manufacturing, is also examined. This is determined by calculating a formation coefficient distributed in different wavelength ranges.

10

15

Such a range is shown to the right of the columns in fig. 7, whereby a formation index for the range may be obtained from the column diagram in fig. 7, which is different for different wave lengths of the light coming from the one and same image. Sampling and rescaling of the image produce the different wavelengths. Thus, it is possible to obtain a more accurate quality examination if several wavelengths in the image are analysed.

20

25

Fig. 8 illustrates different types of security inserts or security prints, which may be quality determined according to the present invention. The security elements are security inserts, security prints etc. and comprises here of portrait watermark, text watermark, security thread, foil in a band, coated areas, number watermark, patch foil, applied elements, such as hologram.

30

The present invention has here been described in the form of examples and preferred embodiments but is not limited to

35

- 5       these, the wording of the appended claims define possible embodiments for the skilled person in the art.

5        **CLAIMS**

1. A method for quality inspection of through radiated security elements (16, 22), security marks and areas in the vicinity of such comprised in valuable documents, by image processing analysis via computer means for the determination of its quality, **characterised in** that a mark (16, 22) is through radiated, whereby the radiation passing the mark is registered by means of digital means for receiving transmitted radiation.

2. A method according to claim 1, **characterised in** the step: correction of the weight per unit of area of the valuable document by an average value of the same.

3. A method according to claim 1, **characterised in** the step: determination of statistics for different values by analysing the mark, if it is a reference mark (22), in comparison with an original mark, design mark (18), whereby the statistics is used for quality check of test marks (16).

4. A method according to claim 1, **characterised in** the step: storing of produced statistics for the reference mark (22) and of the reference mark per se (22).

5. A method according to claim 1, **characterised in** the step: correction of the size of the reference mark or test mark (16) according to the original (18) and digital cutting of the image of the mark to original size.

5        6. A method according to claim 1, **characterised in** the step:  
checking the radiation permeability of the test mark (16) in  
comparison with a threshold for the same in said statistics.

10       7. A method according to claim 1, **characterised in** the step:  
rejection of the quality of the test mark (16) if the  
radiation permeability is less than the threshold value,  
whereby the quality, check is interrupted.

15       8. A method according to claim 1, **characterised in** the step:  
digital comparison of the reference mark (22) with the test  
mark (16) if the radiation permeability is grater than said  
threshold value, whereby a mask (20) with the contour of the  
original mark (18) is overlapped over the mark (16).

20       9. A method according to claim 1, **characterised in** the step:  
determination of differences in the radiation transmittance  
between the reference mark (22) and the test mark (16) within  
the mask (20) for defined areas of the marks (16, 22) where  
the difference is pronounced in comparison with acceptable  
25       statistics for acceptable differences.

10. A method according to claim 1, **characterised in** the step:  
statistical determination of differences between the  
reference mark (22) and the test mark (16) by comparison of  
30       the same with said produced statistics for quality  
determination of the test mark (16) in said areas.

11. A method according to claim 1, **characterised in** the step:  
determining if the test mark (16) has approved quality from a  
35       predetermined threshold level for differences in the

5 statistics between the reference mark (22) and the test mark (16).

12. A method according to claim 1, **characterised in** the step:  
communication of said differences in the statistics and  
10 possible acceptance or rejection of the quality of the mark.

13. A method according to claim 1, **characterised in** that  
rejection of the quality of the mark (16) is performed if its  
average value of weight per unit of area in the valuable  
15 document exceeds a maximum threshold value for the average  
value according to said predetermined statistics.

14. A method according to claim 1, **characterised in** that  
rejection of the quality of the mark is performed if its  
20 average value of weight per unit of area in the valuable  
document is below a minimum threshold value for the average  
value according to said predetermined statistics.

15. A method according to claim 1, **characterised in** that  
25 rejection of the quality of the mark is performed if its  
rotation in relation to said mask (20) exceeds a  
predetermined rotation threshold value in degrees according  
to said predetermined statistics.

30 16. A method according to claim 13, **characterised in** that  
rejection of the quality of the mark is performed if its  
rotation in relation to said mask (20) exceeds a  
predetermined rotation threshold value in degrees according  
to said predetermined statistics in combination with said  
35 maximum threshold value.

5 17. A method according to claim 14, **characterised in** that  
rejection of the quality of the mark is performed if its  
rotation in relation to said mask (20) exceeds a  
predetermined rotation threshold value in degrees according  
to said predetermined statistics in combination with said  
10 minimum threshold value.

18. A method according to claim 1, **characterised in** that  
rejection of the quality of the mark is performed if the  
shrinking factor of a mark differs in relation to the  
15 predetermined statistics.

19. A method according to claim 13-18, **characterised in** that  
rejection of the quality of the mark is performed if the  
shrinking factor of a mark differs in relation to the  
20 predetermined statistics in combination with said statistics  
for other values and/or factors.

20. A method according to 1-19, **characterised in** that the  
statistics is used for checking the distance between marks in  
25 a row of valuable paper at manufacturing thereof in a machine  
for this purpose.

21. A method according to claim 20, **characterised in** that the  
statistics is used for checking the distance between marks in  
30 a row of valuable paper in the so-called machine direction.

22. A method according to claim 20, **characterised in** that the  
statistics is used for checking the distance between marks in  
a row of valuable paper cross wise the machine direction to  
35 the row in front.

5        23. A method according to claim 1-20, **characterised in** that the statistics is used for checking the distance between marks in a row of valuable paper in the so called machine direction and cross wise the machine direction to the row in front.

10       24. A method according to claim 1-23, **characterised in** that the mark is a watermark.

15       25. A method according to claim 1-24, **characterised in** that the mark is analysed for at least two different wavelengths of light so that at least two formation indexes is obtained for statistical determination of a quality of a mark.

20       26. A method according to claim 1-25, **characterised in** that statistics is produced when a reference mark is registered, whereby a mark to be tested immediately may be registered for quality determination with the statistics for the reference mark.

25       27. A device for quality inspection of through radiated security elements, security marks and areas in the vicinity of such comprised in valuable documents by image processing analysis via computer means for the determination of its quality, **characterised in** means for the through radiation of  
30       a reference mark (22) or a test mark (16), whereby passing radiation is registered by digital means for receiving incident radiation, which means is connected or connectable to the computer means for analysing of a quality of a test mark (16).

5        28. A method according to claim 27, **characterised in**  
correction means for the average value of weight per unit of  
area of the valuable document and of the size of the  
reference mark or test mark (16, 22) according to the  
original (18).

10       29. A method according to claim 27, **characterised in** digital  
cutting means for cutting the image of the mark to the size  
of the original.

15       30. A method according to claim 27, **characterised in** analysis  
means for determination of statistics for different values by  
analysis of the mark, if it is a reference mark (22),  
compared to an original, design mark (18), whereby the  
statistics are used for quality check of test marks (16).

20       31. A method according to claim 27, **characterised in** digital  
storing means for produced statistics for the reference mark  
(22) and of the reference mark (22) per se.

25       32. A method according to claim 27, **characterised in** checking  
means for checking the radiation permeability of the test  
mark (16) in comparison with a determined threshold for the  
same in said statistics.

30       33. A method according to claim 27, **characterised in**  
rejection communication means for the quality of the test  
mark (16) if the radiation permeability is less than the  
threshold value, whereby the quality check is interrupted  
with communication of the quality.

5        34. A method according to claim 27, **characterised in** digital comparison means for comparison of the test mark (16) with the reference mark (22) if the radiation permeability is greater than said threshold value, whereby a mask (20) with the contour of the original mark is overlapped over the test  
10       mark (16).

      35. A method according to claim 27, **characterised in** determination means for determination of differences in the radiation transmittance between the reference mark (22) and  
15       the test mark (16) within the mask (20) for defined areas of the mark (16, 22) where the difference is pronounced in comparison with statistics over acceptable differences.

      36. A method according to claim 27, **characterised in**  
20       statistical means for calculation of the difference and for comparison of the same with said statistics for quality determination of the test mark (16) in said area.

      37. A method according to claim 27, **characterised in**  
25       determination means for determining if the mark is approved from a predetermined threshold level for differences in the statistics.

      38. A method according to claim 27, **characterised in**  
30       communication means for communication of said differences in the statistics and possible acceptance or rejection of the quality of the test mark (16).

      39. A method according to claim 27, **characterised in** that  
35       rejection of the quality of the mark is performed if its average value of weight per unit of area in the valuable

5 document exceeds a maximum threshold value for the average value according to said predetermined statistics.

10 40. A method according to claim 27, **characterised in** that rejection of the quality of the mark is performed if its average value of weight per unit of area in the valuable document is less than a minimum threshold value for the average value according to said predetermined statistics.

15 41. A method according to claim 27, **characterised in** that rejection of the quality of the mark is performed if its rotation in relation to said mask (20) exceeds a predetermined rotation threshold value in degrees according to said predetermined statistics.

20 42. A method according to claim 27, **characterised in** that rejection of the quality of the mark is performed if its rotation in relation to said mask (20) exceeds a predetermined rotation threshold value in degrees according to said predetermined statistics in combination with said  
25 maximum threshold value.

30 43. A method according to claim 27, **characterised in** that rejection of the quality of the mark is performed if its rotation in relation to said mask (20) exceeds a predetermined rotation threshold value in degrees according to said predetermined statistics in combination with said minimum threshold value.

35 44. A method according to claim 27, **characterised in** that rejection of the quality of the mark is performed if the shrinking factor differs from the predetermined statistics.

5

45. A method according to claim 29-43, **characterised in** that rejection of the quality of the mark is performed if the shrinking factor differs from the predetermined statistics in combination with said statistics for other values and/or factors.

10

15

46. A method according to claim 27-45, **characterised in** that the statistics are used to check the distance between marks in a row of valuable papers at manufacturing thereof in a machine for this purpose.

20

47. A method according to claim 46, **characterised in** that the statistics are used to check the distance between marks in a row of valuable papers in the so called machine direction.

25

48. A method according to claim 46, **characterised in** that the statistics are used to check the distance between marks in a row of valuable papers cross wise the machine direction to the row in front.

30

49. A method according to claim 27-45, **characterised in** that the statistics are used to check the distance between marks in a row of valuable papers in the so called machine direction and cross wise the machine direction to the row in front.

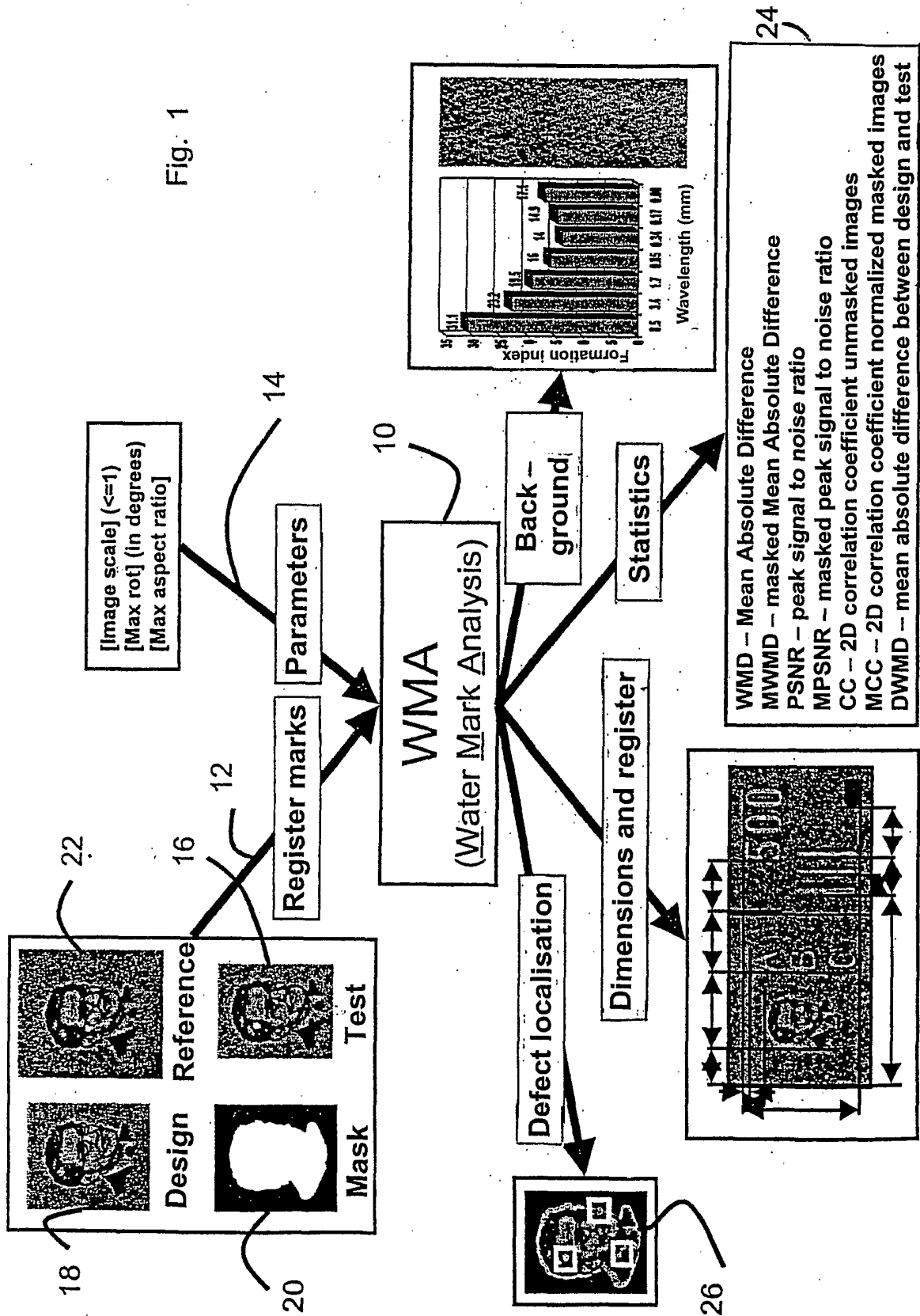
35

50. A method according to claim 27-49, **characterised in** that the mark is a watermark.

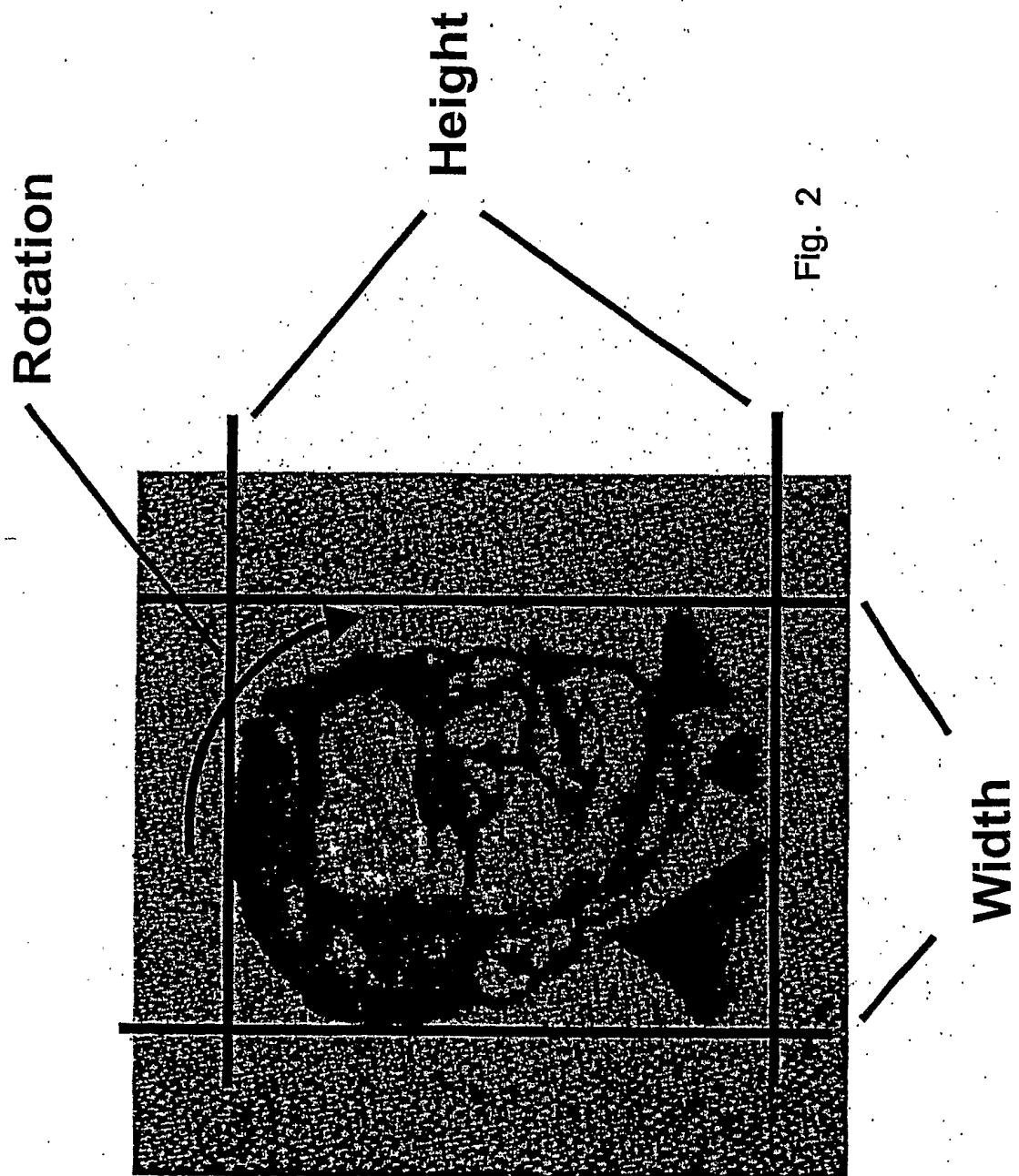
51. A method according to claim 27-50, **characterised in** that the mark is analysed for at least two different wavelengths

5 of light so that at least two formation indexes is obtained  
for statistical determination of a quality of a mark.

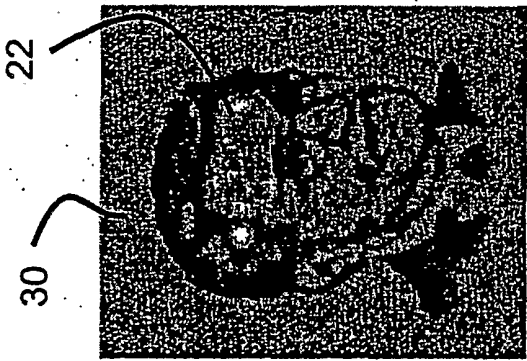
52. A method according to claim 27-51, **characterised in** that  
statistics are produced when a reference mark is registered,  
10 whereby a mark to be tested immediately may be registered for  
quality determination by the statistics for the reference  
mark.



2/8

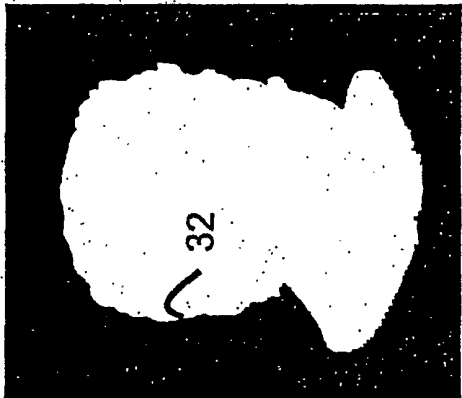


3/8

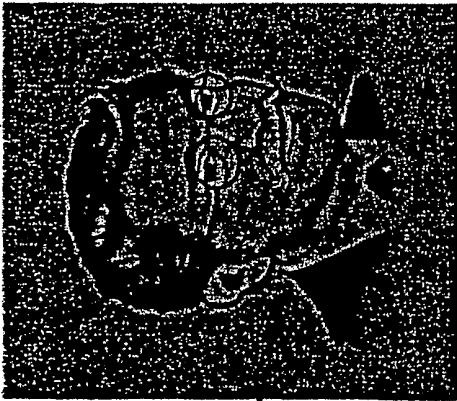


Testimage

+

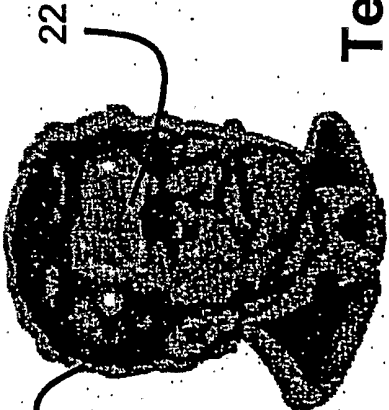


Mask



Originaldesign

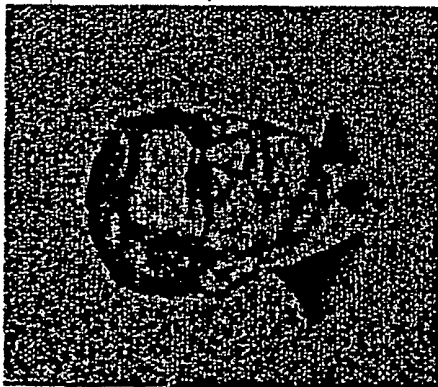
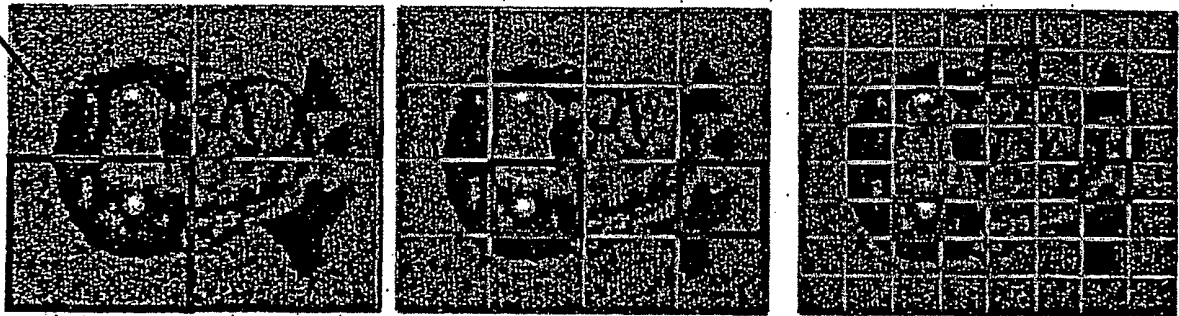
Fig. 3



Testimage without background

4/8.

16



Reference

22

Fig. 4

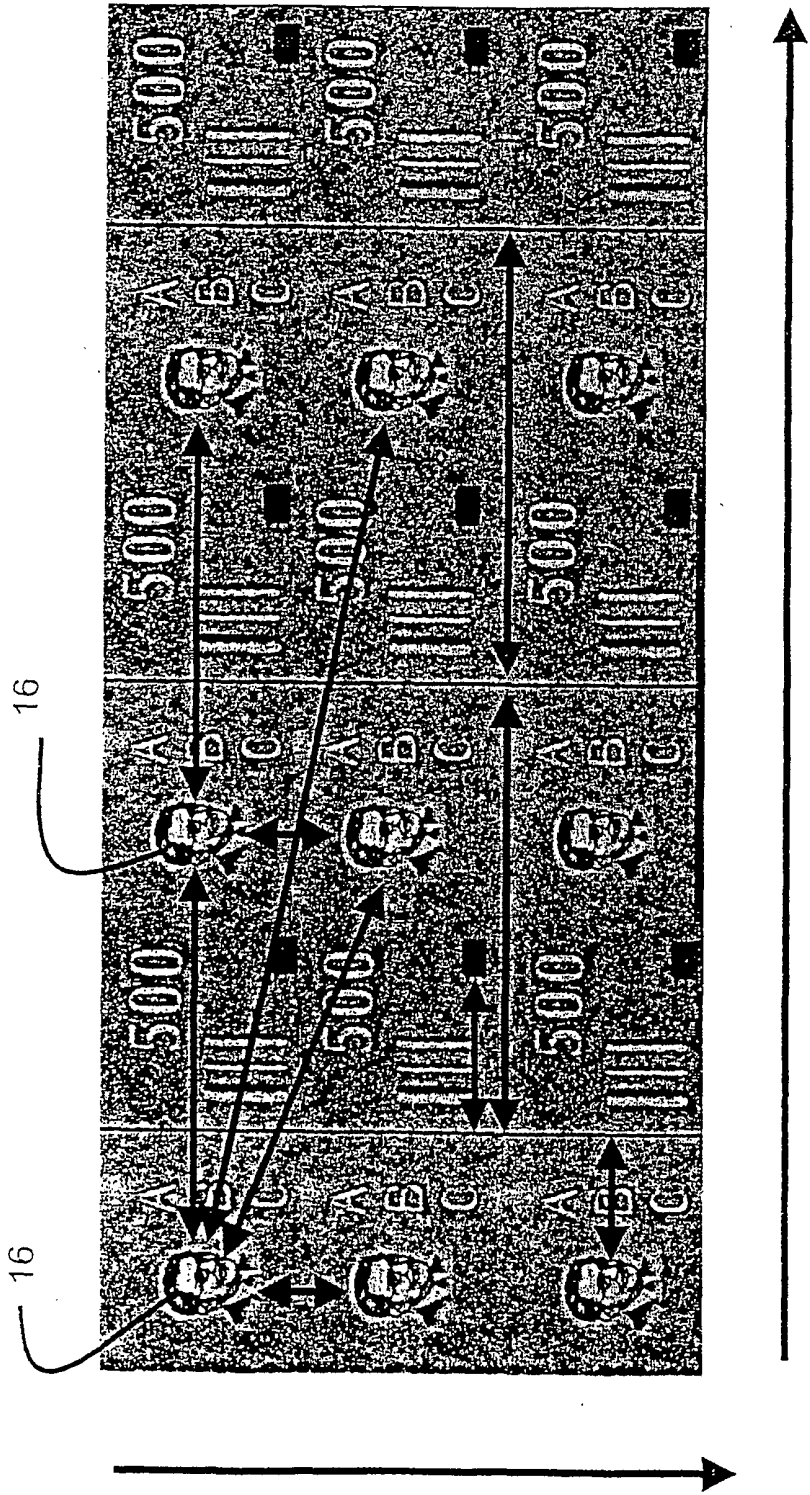
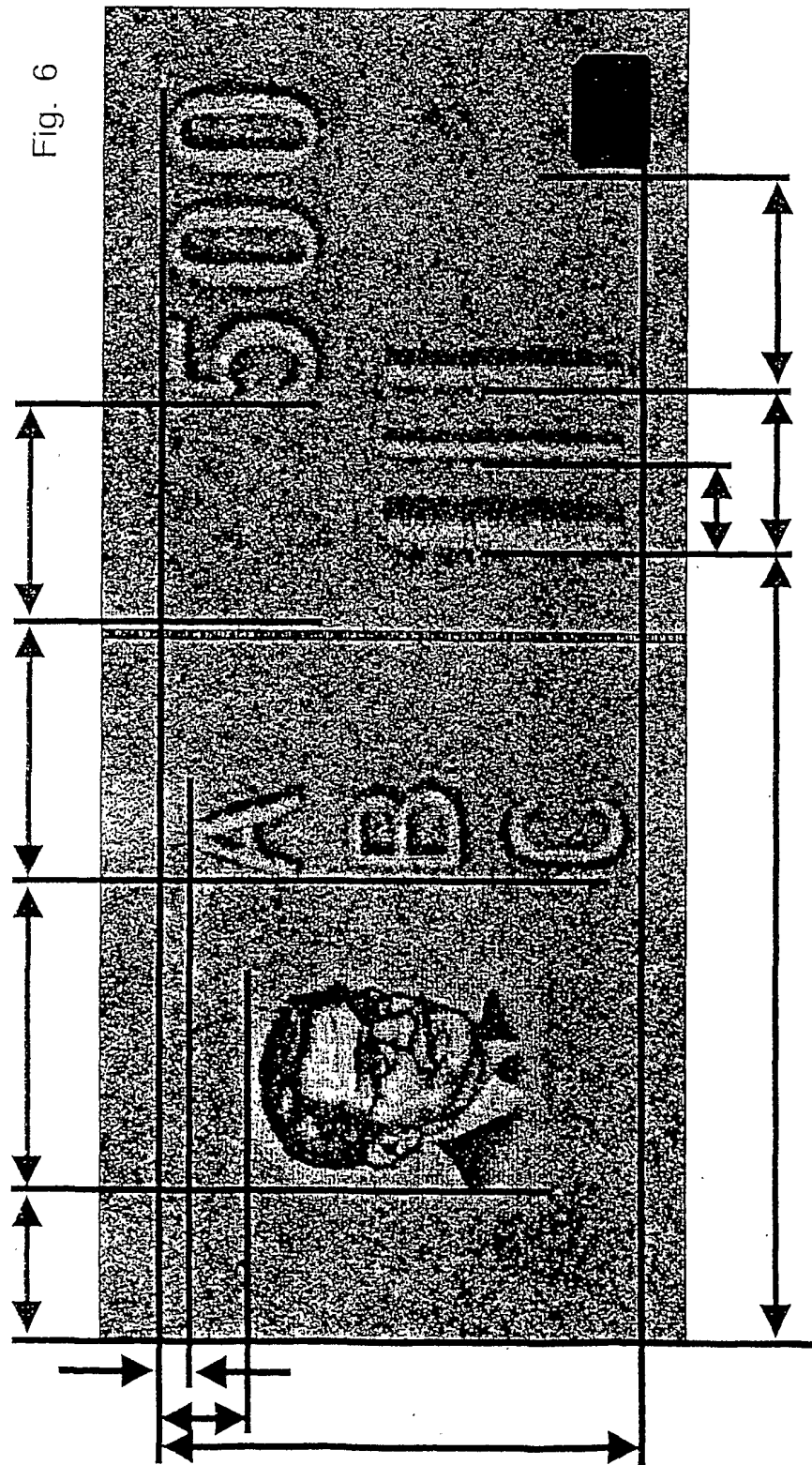


Fig. 5



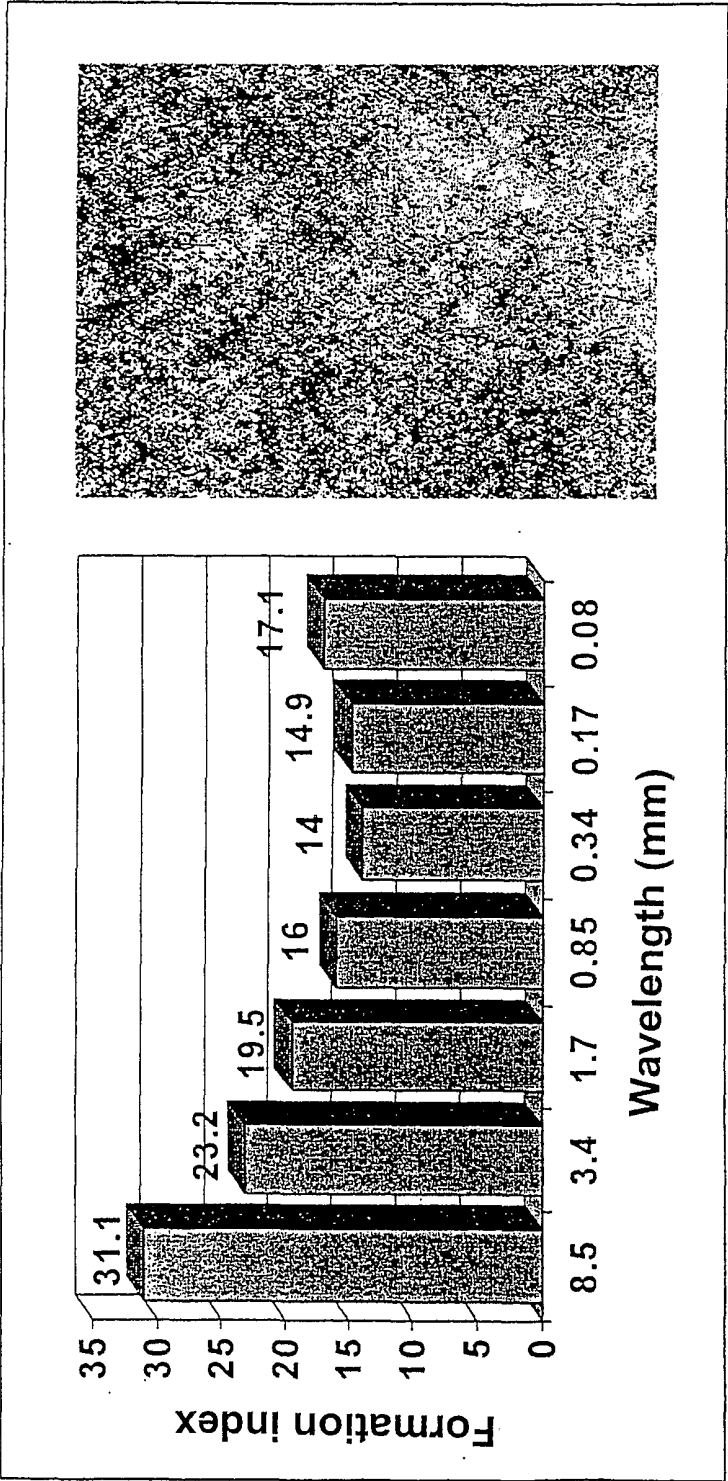


Fig. 7

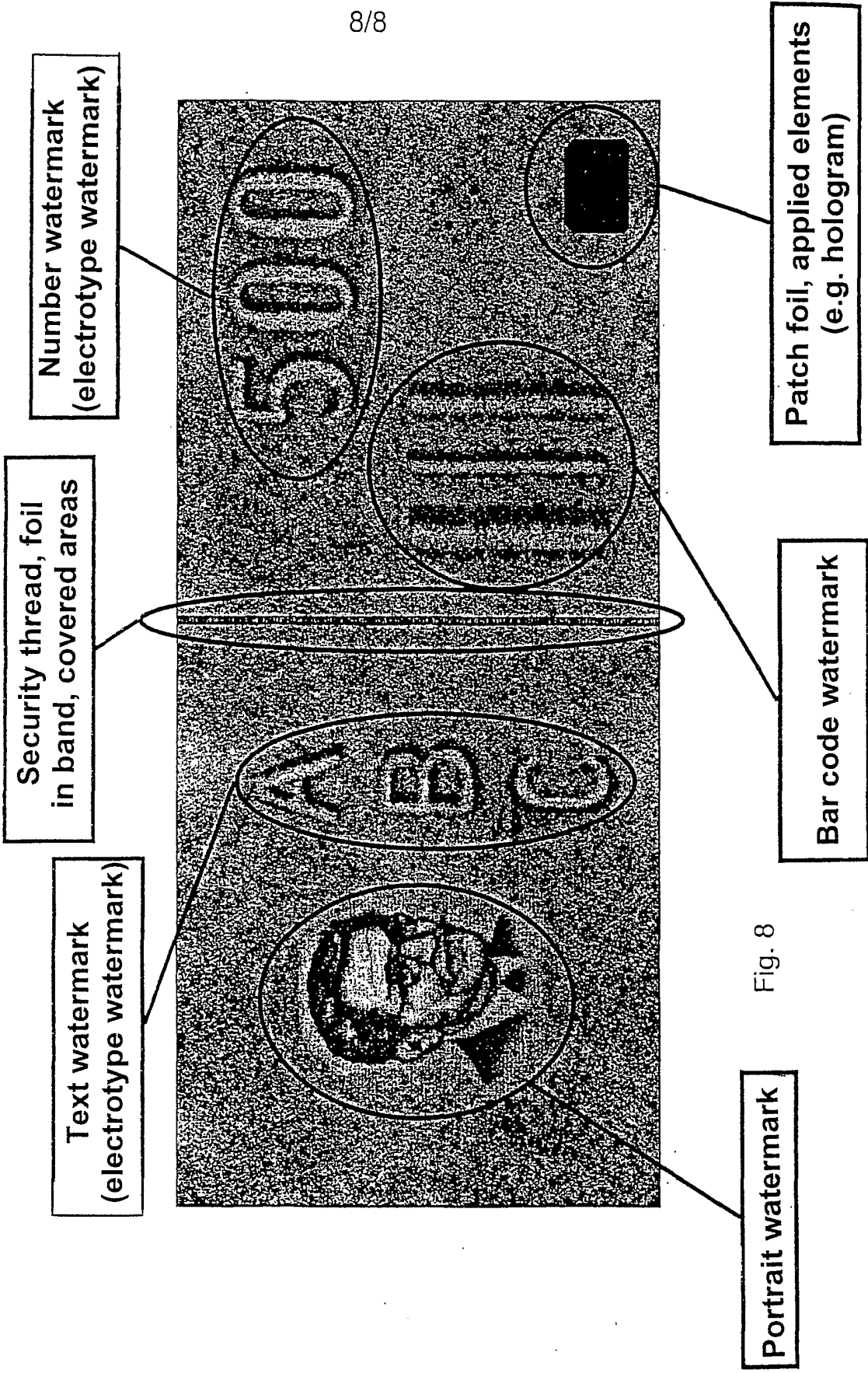


Fig. 8

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01077

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G07D 7/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO INTERNAL, WPI DATA

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Patent Abstracts of Japan, abstract of JP 11-351962 A (FUJI ELECTRIC CO LTD), 24 December 1999 (24.12.99), see abstract, figures	1,27
X		14-19, 39-45
A		20-26, 46-52
	--	
X	EP 0881603 A1 (SANYO ELECTRIC CO., LTD.), 2 December 1998 (02.12.98), page 6, figure 3	1,27
X		14-19, 39-45
A		20-26, 46-52
	--	

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

3 Sept 2001

Date of mailing of the international search report

06-09-2001

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Helene Eliasson / JA A  
Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01077

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5915518 A (HOPWOOD ET AL), 29 June 1999 (29.06.99), column 2, line 61 - line 63; column 5 - column 7	1,27
X		14-19,39-45
A		20-26,46-52
	--	
X	US 5947255 A (SHIMADA ET AL), 7 Sept 1999 (07.09.99), claim 1	1,27
X		14-19,39-45
A		20-26,46-52
	--	
A	EP 0867842 A1 (G.D. SOCIETA' PER AZIONI), 30 Sept 1998 (30.09.98), see claims	1,14-27, 39-52
	--	
A	US 5729623 A (OMATU ET AL), 17 March 1998 (17.03.98), column 15, figure 11, abstract	1,14-27, 39-52
	--	
A	Patent Abstracts of Japan, abstract of JP 11-98344 A (MITSUBISHI ELECTRIC CORP), 9 April 1999 (09.04.99)	1,14-27, 39-52
	-- -----	

# INTERNATIONAL SEARCH REPORT

Internl application No.  
PCT, 9201/01077

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: **2-13, 28-38**  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
.../...
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Int l application No.  
PCT/SE01/01077

Claims 2-13 and 28-38 do not comply with the requirements in Article 6. These claims are not clear and concise and do not define the matter for which protection is sought in such a way that a meaningful search can be carried out. Claims 2-13 are dependent claims, referring to claim 1, and include only such features that lack coherence when related to claim 1. Dependent claims 28-38 also lack coherence when related to claim 27.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

02/08/01

International application No.

PCT/SE 01/01077

Patent document cited in search report				Publication date		Patent family member(s)	Publication date
EP	0881603	A1	02/12/98	US	6157895	A	05/12/00
				CN	1209895	A	03/03/99
				JP	2816129	B	27/10/98
				JP	9204522	A	05/08/97
				US	6253158	B	26/06/01
				WO	9727566	A	31/07/97
				JP	9204553	A	05/08/97
				JP	9245172	A	19/09/97
				JP	9245215	A	19/09/97
				JP	10069558	A	10/03/98
				JP	10069559	A	10/03/98
US	5915518	A	29/06/99	AU	1324895	A	01/08/95
				CA	2179994	A	13/07/95
				CN	1141682	A	29/01/97
				EP	0738408	A	23/10/96
				GB	2291705	A	31/01/96
				GB	9414084	D	00/00/00
				JP	9507326	T	22/07/97
				WO	9519019	A	13/07/95
				GB	9500022	D	00/00/00
				US	5660439	A	26/08/97
				US	5810440	A	22/09/98
				US	5918960	A	06/07/99
US	5947255	A	07/09/99	EP	0802510	A	22/10/97
				JP	9282517	A	31/10/97
EP	0867842	A1	30/09/98	IT	1292830	B	11/02/99
				IT	B0970185	A	28/09/98
				JP	11066381	A	09/03/99
US	5729623	A	17/03/98	DE	4437067	A	11/05/95
				JP	7220087	A	18/08/95
				JP	7121719	A	12/05/95