



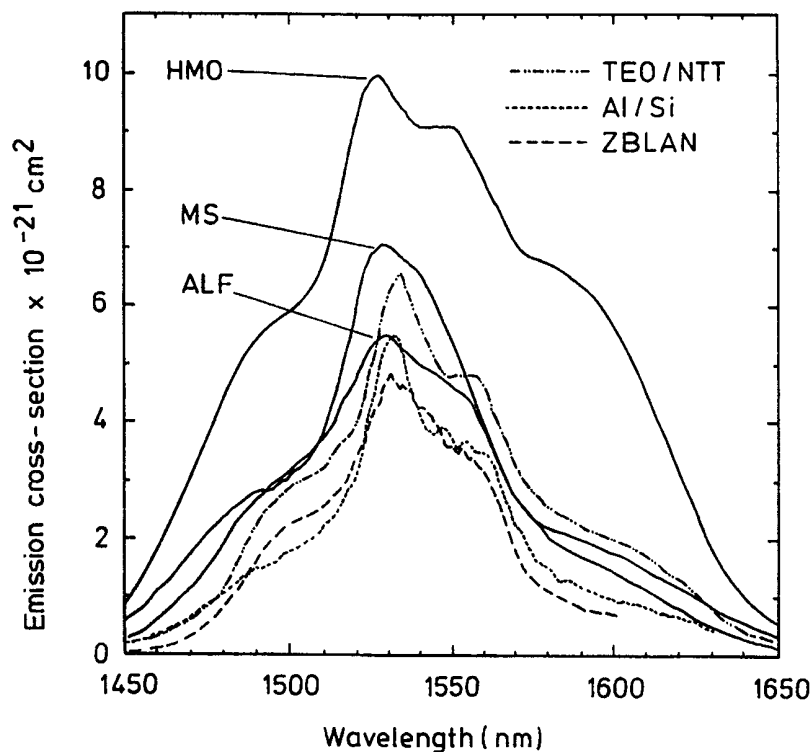
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification<sup>6</sup> : C03C 3/062, 3/097, 3/112, 3/12, 3/247, 3/253, 4/00, H01S 3/17</p>	A3	<p>(11) International Publication Number: <b>WO 99/47464</b></p> <p>(43) International Publication Date: 23 September 1999 (23.09.99)</p>
<p>(21) International Application Number: PCT/GB99/00726</p> <p>(22) International Filing Date: 19 March 1999 (19.03.99)</p> <p>(30) Priority Data: 9805800.1 19 March 1998 (19.03.98) GB</p> <p>(71) Applicant (for all designated States except US): THE UNIVERSITY OF LEEDS [GB/GB]; Leeds, West Yorkshire LS2 9JT (GB).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): JHA, Animesh [GB/GB]; 22 Hillside Court, Gledhow Lane, Leeds LS7 4NJ (GB). NAFTALY, Mira [IL/GB]; 22 Wensleydale Mews, Leeds LS12 2HT (GB). SHEN, Shaoxiong [CN/GB]; 67 Cliff Road, Woodhouse, Leeds LS6 2EZ (GB).</p> <p>(74) Agent: URQUHART-DYKES &amp; LORD; Tower House, Merion Way, Leeds LS2 8PA (GB).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 4 November 1999 (04.11.99)</p>	

(54) Title: ERBIUM DOPED OPTICAL GLASS

## (57) Abstract

An erbium doped glass comprising (a) host glass; (b) an effective quantity of erbium dopant; (c) a concentration of 10-40 mol% network modifying metal fluoride; and (d) further ingredients wherein the amounts of (a), (b), (c) and (d) total 100 %.



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INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 99/00726

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C03C3/062 C03C3/097 C03C3/112 C03C3/12 C03C3/247  
C03C3/253 C03C4/00 H01S3/17

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C03C H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 802 169 A (CORNING INC) 22 October 1997 (1997-10-22) claims -& US 5 798 306 A ---	1-4,7, 25,26
X	EP 0 640 571 A (SUMITA OPTICAL GLASS INC ET AL) 1 March 1995 (1995-03-01) claims; examples 1-3 ---	1-4,7
X	YUHU WANG ET AL: "NEW TRANSPARENT VITROCERAMICS CODOPED WITH ER3+ AND YB3+ FOR EFFICIENT FREQUENCY UPCONVERSION" APPLIED PHYSICS LETTERS, vol. 63, no. 24, 13 December 1993 (1993-12-13), pages 3268-3270, XP000416520 page 3268, right-hand column, paragraph 2 --- -/--	1

Further documents are listed in the continuation of box C.  Patent family members are listed in annex.

\* Special categories of cited documents :

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search  
30 August 1999

Date of mailing of the international search report  
1 5. SEP. 1999

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/00726

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	KAWAMOTO Y ET AL: "UPCONVERSION LUMINESCENCE OF ER <sup>3+</sup> IN TRANSPARENT SiO <sub>2</sub> -PbF <sub>2</sub> -ErF <sub>3</sub> GLASS CERAMICS" JOURNAL OF MATERIALS SCIENCE, vol. 33, no. 1, 1 January 1998 (1998-01-01), pages 63-67, XP000729147 the whole document ---	1
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X	PAN Z ET AL: "Raman spectra and thermal analysis of a new lead-tellurium -germanate glass system" JOURNAL OF NON-CRYSTALLINE SOLIDS, vol. 210, no. 2, 1 March 1997 (1997-03-01), page 130-135 XP004060817 ISSN: 0022-3093 the whole document ---	8-12

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National Application No

PCT/GB 99/00726

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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X	KAZUYUKI HIRAO ET AL: "CW ROOM TEMPERATURE UPCONVERSION LASING IN ER3+-DOPED FLUORIDE GLASS FIBER" JOURNAL OF NON-CRYSTALLINE SOLIDS, vol. 143, no. 1, 2 May 1992 (1992-05-02), pages 40-45, XP000268775 ISSN: 0022-3093 ---	8,9,12, 25,26
A	page 40, right-hand column, last paragraph - page 41, left-hand column, paragraph 1; table 1 ---	19-24
P,X	US 5 798 306 A (DICKINSON JR JAMES EDWARD) 25 August 1998 (1998-08-25) claims 18-21 ---	8-12,25, 26
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X	OKADA K. ET AL: "Upconversion fluorescences in Al3-ZrF4 Based Fluoride Glass Containing ErF3" MATERIAL SCIENCE FORUM, vol. 32-33, 1988, pages 523-528, XP002113749 page 525, last paragraph; figure 1 table 1 ---	19-22, 25,26
X	PATENT ABSTRACTS OF JAPAN vol. 017, no. 496 (C-1108), 8 September 1993 (1993-09-08) & JP 05 132334 A (SUMITA KOGAKU GLASS KK), 28 May 1993 (1993-05-28) abstract ---	19,20,22
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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/00726

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MOINE, B. ET AL: "Fluorescence dynamics of Er <sup>3+</sup> and Ho <sup>3+</sup> ions and energy transfer in some fluoride glasses" IEEE JOURNAL OF QUANTUM ELECTRONICS., vol. 25, no. 1, 1 January 1989 (1989-01-01), pages 88-96, XP002113750 IEEE INC. NEW YORK., US ISSN: 0018-9197 page 88, paragraph 3; table 2 -----	19,22

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/00726

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely
  
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows.

1. Claims: 1-7, partially 25, 26
2. Claims: 8-18, partially 25, 26
3. Claims: 19-24, partially 25, 26

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-7, partially 25,26

An erbium doped silica glass comprising:

- a) SiO<sub>2</sub> host glass,
- b) an effective amount of erbium,
- c) 10-40 mol% network modifying metal fluoride,
- d) further ingredients in amount that a+b+c+d in total is 100%.

2. Claims: 8-18, partially 25,26

An erbium doped tellurite or germanate glass comprising:

- a) a host glass comprising one of GeO<sub>2</sub> and TeO<sub>2</sub>,
- b) an effective amount of erbium,
- c) a network modifying metal oxide,
- d) further ingredients in amount that a+b+c+d in total is 100%.

3. Claims: 19-24, partially 25,26

An erbium doped fluoroaluminate glass including:

- a) 25-60 mol% AlF<sub>3</sub>,
- b) 40-60 mol% network divalent metal fluoride,
- c) an effective amount of erbium,
- d) a network modifier comprising any one of YF<sub>3</sub>, ZrF<sub>4</sub>, HF<sub>4</sub> and mixtures thereof,
- e) further ingredients in amount that a+b+c+d+e in total is 100%.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 99/00726

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