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[Continued on next page]

(54) Title: MULTIMARKER RISK STRATIFICATION

Fig. 27 Top 10 Models 1 Year Outcomes (Size =1-5)

Table with columns: Standardized Hazard Ratios (Age_Yr, ST2, Troponin, NYHAclass, SBP<=120, CAD, BMI, BMIGe25, InHpro, InCreat, InHGB, Diabetes), Model Fit (logLik, AIC, BIC), and Discrimination (AUC, bsAUC, LCI, UCI). Rows 13488 to 16650.

Markers Not Selected: LVEF Sex
Ln (eGFR) NT-proBNP>=1700 Hypertension
SBP GFR<50
DBP ST2 >= 35

(57) Abstract: Measurement of circulating ST2 and natriuretic peptide (e.g., NT-proBNP) concentrations is useful for the prognostic evaluation of subjects, in particular for the prediction of adverse clinical outcomes, e.g., mortality, transplantation, and heart failure.

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MULTIMARKER RISK STRATIFICATION

CLAIM OF PRIORITY

5 This application claims priority to U.S. Provisional Patent Application Serial No. 61/691,706, filed on August 21, 2012. The entire contents of the foregoing are incorporated by reference herein.

TECHNICAL FIELD

10 The invention relates to methods for predicting risk of mortality, in subjects with cardiovascular disease, e.g., heart failure, based on multiple markers including a combination of biomarkers (e.g., ST2) and other clinical parameters (e.g., age).

BACKGROUND

15 Clinical evaluation for determination of risk of mortality due to heart failure may not always be straightforward. The decision whether to treat a subject aggressively or conservatively, or to admit the subject as an inpatient or to send them home, may sometimes be made solely on a physician's clinical assessment or "gut feeling" as to the individual's actual condition. A formula for determining a subject's likelihood of mortality would significantly enhance the physician's ability to make informed treatment decisions, improve patient care and reduce overall healthcare costs. A multi-marker approach for risk stratification has been generally proposed for patients with acute coronary syndromes, see, e.g., Sabatine et al., *Circulation* 105(15):1760-3 (2002)), and methods for predicting risk of a major adverse cardiac event are describe in U.S. Patent No. 8090562.

SUMMARY

25 The present invention is based, at least in part, on the discovery that multiple markers, including serum levels of the biomarker ST2 (also known as Interleukin 1 Receptor Like 1 (IL1RL-1)), in combination with clinical parameters such as age and levels of at least one other biomarker, e.g., troponin or a natriuretic peptide (NP) such as the inactive N-terminal fragment of brain-type natriuretic peptide (NT-pro-BNP),
30 can be used predict the likelihood of mortality due to CVD within a specific time period, e.g., 30 days, 3 or 6 months, or a year or more (e.g., 2, 5 or 10 years).

Provided herein are methods of evaluating the risk of mortality for a subject (e.g., a subject having or diagnosed with heart failure) within a specific time period (e.g., within 3 months, 6 months, or a year or more (e.g., 2, 5, or 10 years) that include

5 determining a multimarker mortality risk score for a subject based upon the age of the subject; the level of ST2 in the subject, in combination with one or more of a natural logarithm of a level of a brain natriuretic peptide (BNP) in the subject; a level of troponin in the subject; a New York Heart Association (NYHA) score; a history of cardiovascular disease (CAD); a natural logarithm of a systolic blood pressure; a measure of renal function or a natural logarithm of a level of hemoglobin
10 (Hgb), and age; and comparing the multimarker mortality risk score to a reference multimarker mortality risk score;

wherein the presence of a multimarker mortality risk score that is at or above the reference multimarker mortality risk score indicates that the subject has an increased risk of mortality within the specific time period, and the presence of a multimarker
15 mortality risk score that is below the reference multimarker mortality risk score indicates that the subject has a decreased risk of mortality within the specific time period (e.g., within one year).

In some embodiments, the risk score is determined using one of the following algorithms:

- 20 (1) AGE + ST2 + ln_SBP + CAD + ln_NTpro-BNP
 (2) AGE + ST2 + ln_NTpro-BNP
 (3) AGE + ST2 + Troponin + NYHA
 (4) AGE + ST2 + [Troponin OR NYHA]
 (5) AGE + ST2 + [Troponin AND/OR NYHA] + ln_Hgb
 25 (6) AGE + ST2 + [Troponin AND/OR NYHA] + ln_Hgb
 (7) AGE + ST2 + [Troponin AND/OR NYHA] + ln_Hgb + ln_SBP
 (8) AGE + ST2 + [Troponin AND/OR NYHA] + ln_Hgb + ln_SBP
 +ln_NTpro-BNP.

In some embodiments, the level of ST2 is determined and compared to a
30 threshold and the presence of a level at or above the threshold is scored as "1" and the presence of a level below the threshold is scored as "0". In some embodiments, the threshold level of ST2 is 35 or 50 ng/mL. In some embodiments, algorithm (1) or (2) is used and the threshold level of ST2 is 35 ng/mL. In some embodiments, algorithm

(3) or (4) is used and the threshold level of ST2 is 50 ng/mL. In some embodiments, the subject has been diagnosed with a cardiovascular disease (e.g., heart failure). In some embodiments, the reference multimarker mortality risk score represents a score corresponding to a low risk of death within a specific time period (e.g., within 3
5 months, 6 months, 1, 2, 5 or 10 years). In some embodiments, the sample contains serum, blood, plasma, urine, or body tissue.

In some embodiments, the subject has a BMI of 25-29, a BMI of ≥ 30 , or renal insufficiency. Some embodiments further include discharging the subject or treating the subject on an inpatient basis based on the presence of an increased risk of
10 mortality determined using any of the methods described herein. For example, a subject identified as having an increased risk of mortality within the specific time period (e.g., within 3 months, 6 months, 1, 2, 5 or 10 years) is treated on an inpatient basis (e.g., newly admitted to a hospital or continued hospitalization) or a subject identified as having a decreased risk of mortality within the specific time period (e.g.,
15 within 3 months, 6 months, 1, 2, 5 or 10 years) is discharged from a hospital or continued to be treated on an outpatient basis. Some embodiments further include selecting and/or performing increased cardiac monitoring (e.g., any of the examples of increased cardiac monitoring described herein or known in the art) on a subject identified as having an increased risk of mortality within the specific time period (e.g.,
20 using any of the methods described herein), or selecting and/or performing low frequency monitoring (e.g., cardiac monitoring) on a subject (e.g., greater than 6 months between examinations, greater than 9 months between examinations, or one year or greater between examinations) identified as having a reduced risk of mortality within the specific time period (e.g., using any of the methods described herein). As
25 described herein, increased cardiac monitoring can be, e.g., the monitoring of cardiac function in a subject (e.g., electrocardiogram (e.g., ambulatory electrocardiography), chest X-ray, echocardiography, stress testing, computer tomography, magnetic resonance imaging, positron emission tomography, and cardiac catheterization) or the monitoring of levels of soluble ST2 in the subject over time. Increased cardiac
30 monitoring can also include increased frequency of clinical visits (e.g., about once every month, once every two months, once every three months, once every four months, once every five months, or once every six months). Also provided are methods of selecting a treatment for a subject receiving a treatment for a

cardiovascular disorder that include determining the subject's risk of mortality over a specific time period (e.g., within any of the time periods described herein, e.g., within 3 months, 6 months, 1, 2, 5 or 10 years) using any of the methods described herein, and selecting continuation of the treatment for a subject determined to have a reduced risk of mortality over the specific time period (e.g., using any of the methods described herein) or selecting a new (alternate) cardiovascular treatment for a subject determined to have an increased risk of mortality over the specific time period (e.g., using any of the methods described herein). As described herein, a new treatment can mean administration of a new combination therapeutic agents, administration of a new therapeutic agent, a different dosage of the previously administered therapeutic agent, a different frequency of administration of the previously administered therapeutic agent, or a different route of administration of the previously administered therapeutic agent. Some embodiments further include administering the selected treatment to a subject.

Also provided are methods of selecting a subject for a clinical study that include determining a subject's risk of mortality within a specific time period (e.g., any of the specific time periods described herein, e.g., within 3 months, 6 months, 1, 2, 5 or 10 years) (e.g., using any of the methods described herein) and selecting a subject determined to have an increased risk of mortality within the specific time period for participation in a clinical study.

Also provided herein are methods of determining whether a subject's risk of mortality (e.g., caused by a cardiovascular disorder) is increasing or decreasing over time. These methods include determining a first multimarker mortality risk score in a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score in a subject at a second time point (e.g., using any of the methods described herein), comparing the second multimarker risk score to the first multimarker risk score, and identifying a subject having an elevated second multimarker risk score as compared to the first multimarker risk score as having an increasing risk of mortality over time or identifying a subject having a decreased second multimarker risk score as compared to the first multimarker risk score as having a decreasing risk of mortality over time.

Also provided are methods of determining the efficacy of a treatment for a cardiovascular disorder (e.g., heart failure) in a subject that include, determining a

first multimarker risk score in a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score in a subject at a second time point (e.g., using any of the methods described herein), where two or more doses of a treatment for a cardiovascular disorder (e.g., heart failure) are administered to the subject between the first and the second time points, comparing the second multimarker risk score to the first multimarker risk score, and identifying the treatment as effective in a subject having a decreased second multimarker risk score as compared to the first multimarker risk score, or identifying the treatment as not being effective in a subject having an elevated second multimarker risk score as compared to the first multimarker risk score. Some embodiments further include selecting the treatment identified as being effective in the subject, and/or continuing to administer the selected treatment to the subject.

Also provided are methods of selecting a treatment for a subject that include determining a first multimarker risk score for a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score for a subject at a second time point (e.g., using any of the methods described herein), comparing the second multimarker risk score with the first multimarker risk score, and selecting inpatient treatment (e.g., initial hospital admission or continued inpatient treatment) for a subject having an elevated second multimarker risk score as compared to first multimarker risk score or selecting outpatient treatment (e.g., hospital discharge or continued outpatient treatment) for a subject having a decreased second multimarker risk score as compared to the first multimarker risk score. Some methods further include admitting the subject to the hospital, continuing inpatient treatment, discharging the subject, or continuing outpatient treatment based on the comparison of the second and first multimarker risk scores (e.g., as selected above).

Also provided are methods of selecting a treatment for a subject that include determining a first multimarker risk score for a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score for a subject at a second time point (e.g., using any of the methods described herein), comparing the second multimarker risk score to the first multimarker risk score, and selecting increased cardiac monitoring for a subject having an elevated second multimarker risk score as compared to the first multimarker risk score or selecting low frequency monitoring (e.g., cardiac monitoring) (e.g., greater than 6 months

between examinations, greater than 9 months between examinations, or one year or greater between examinations) for a subject having a decreased second multimarker risk score as compared to the first multimarker risk score. As described herein, increased cardiac monitoring can be, e.g., the monitoring of cardiac function in a subject (e.g., electrocardiogram (e.g., ambulatory electrocardiography), chest X-ray, echocardiography, stress testing, computer tomography, magnetic resonance imaging, positron emission tomography, and cardiac catheterization) or the monitoring of the levels of soluble ST2 in the subject over time. Increased cardiac monitoring can also include increased frequency of clinical visits (e.g., about once every month, once every two months, once every three months, once every four months, once every five months, or once every six months). Some methods further include administering the selected treatment to the subject.

Also provided are methods of selecting a treatment for a subject that include determining a first multimarker risk score in a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score in the subject at a second time point (e.g., using any of the methods described herein), where a subject has been administered at least two doses of treatment (e.g., a treatment of a cardiovascular disease) between the first time point and the second time point, comparing the first multimarker risk score to the second multimarker risk score, and selecting a new treatment for a subject having an elevated second multimarker risk score as compared to the first multimarker risk score or selecting the same treatment for a subject having a decreased second multimarker risk score compared to the first multimarker risk score. Some embodiments further include administering the selected treatment to the subject. As described herein, a new treatment can mean administration of a new combination therapeutic agents, administration of a new therapeutic agent, a different dosage of the previously administered therapeutic agent, a different frequency of administration of the previously administered therapeutic agent, or a different route of administration of the previously administered therapeutic agent.

Also provided are methods of selecting a subject for participation in a clinical study of a treatment for cardiovascular disease that include determining a first multimarker risk score in a subject at a first time point (e.g., using any of the methods described herein), determining a second multimarker risk score in the subject at a

second time point (e.g., using any of the methods described herein), and selecting a subject having an elevated second multimarker risk score as compared to first multimarker risk score for participation in a clinical study of a cardiovascular disease.

As used herein, a “sample” includes any bodily fluid or tissue, e.g., one or more of blood, serum, plasma, urine, and body tissue. In certain embodiments, a sample is a serum, plasma, or blood sample.

An antibody that “binds specifically to” an antigen, binds preferentially to the antigen in a sample containing other proteins.

The methods and kits described herein have a number of advantages. For example, the methods can be used to determine whether a patient should be admitted or held as an inpatient for further assessment, regardless of whether a definitive diagnosis has been made. For example, the methods can be used for risk stratification of a given subject, e.g., to make decisions regarding the level of aggressiveness of treatment that is appropriate for the subject, based on their multimarker risk score as determined by a method described herein. Better treatment decisions can lead to reduced morbidity and mortality, and better allocation of scarce health care resources. The methods described herein can be used to make general assessments as to whether a patient should be further tested to determine a specific diagnosis. The methods described herein can also be used for patient population risk stratification, e.g., to provide information about clinical performance or expected response to a therapeutic intervention. The methods described herein can be used regardless of the underlying cause or ultimate diagnosis, and therefore are not limited to specific indications.

Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Methods and materials are described herein for use in the present invention; other, suitable methods and materials known in the art can also be used. The materials, methods, and examples are illustrative only and not intended to be limiting.

All publications, patent applications, patents, sequences, database entries, and other references mentioned herein are incorporated by reference in their entirety. In addition, the present application incorporates by reference the entire contents of U.S. Patent Application No. 11/789,169, and international patent application nos. PCT/US2007/067626, PCT/US2007/067914, and PCT/US2007/068024.

In case of conflict, the present specification, including definitions, will control.

Other features and advantages of the invention will be apparent from the following detailed description and Figures, and from the claims.

DESCRIPTION OF DRAWINGS

5 Figure 1 shows the summary statistics for individual variables for 1 year-mortality.

Figure 2 shows the summary statistics for individual variables for 5-year mortality.

10 Figures 3-24 show linearity checks and cut-point evaluations performed for each variable.

Figures 25 and 26 provide a summary of the results for each variable.

15 Figures 27-34 show the results of several heuristic approaches used to identify the best models for predicting risk of death, including backward, forward, and stepwise selection. Selection in each instance was made based on AIC (Akaike's Information Criteria) or BIC (Bayesian Information Criteria).

Figure 35 shows the co-linearity analysis of several variables with risk of death.

Figure 36 is a summary of the univariate performance of each variable.

Figures 37-49 show the results of linearity checks performed for each variable.

20 Figure 50 provides a summary of the results for each variable.

Figure 51 shows AIC-based marker selection.

Figure 52 shows BIC-based marker selection.

Figure 53 and 54 show a comparison of two models ($[Age + Ln_SBP + CAD + ST2 \geq 35 + LN_NTBNP]$ and $[Age + ST2 \geq 35 + LN_NTBNP]$).

25 Figure 55 shows bootstrap AUC estimates for the 5-parameter and the 3-parameter model.

Figure 56 is a graph showing the model calibration for the 5-parameter and the 3-parameter model.

Figure 57 is list of exemplary model parameters.

30 DETAILED DESCRIPTION

Clinical evaluation of patients, particularly patients with non-specific symptoms such as dyspnea or chest pain, is often challenging. The results described

herein provide evidence that multimarker risk scores based on multiple markers including the subject's age and levels of ST2, plus additional clinical parameters including one or more of: systolic blood pressure, the presence of coronary artery disease, New York Heart Association (NYHA) score, measures of renal function, levels of troponin and/or levels of NT-proBNP are useful in the prognostic evaluation of patients, regardless of the underlying cause of their disease. The multimarker risk score is a powerful indicator of severe disease and imminent death, as demonstrated herein in several different heart failure populations.

Predicting Death

As demonstrated herein, an algorithm that takes into account multiple markers including elevated concentrations of soluble ST2 and the subject's age can be used to accurately predict a subject's risk of death within a specific time period (e.g., within 3 months, within six months, within 1, 2, 5 or 10 years).

General Methodology – Determining a Subject's Multimarker Risk Score

In general, the methods described herein include determining the values for each of the markers in the risk algorithm, including evaluating the levels (e.g., levels in blood, serum, plasma, urine, or body tissue) of soluble ST2 in a subject, e.g., a mammal, e.g., a human; determining the subject's age, e.g., by querying the subject or the subject's family friends, or medical records; and one or more of the following: determining the subject's history of coronary artery disease, e.g., by querying the subject or the subject's family friends, or medical records, or using routine diagnostic methods; determining the subject's systolic blood pressure (SBP); and/or determining one or more of a level of Troponin; NTpro-BNP; NYHA score; and renal function. These markers, in combination, provide information regarding the subject's likelihood of mortality, e.g., within a specific time period, e.g., within 3 months, 6 months, 1, 2, 5 or 10 years.

Evaluating circulating levels of a marker such as soluble ST2, NTpro-BNP, or troponin in a subject typically includes obtaining a biological sample, e.g., serum, plasma or blood, from the subject. Levels of a marker in the sample can be determined by measuring levels of polypeptide in the sample, using methods known in the art and/or described herein, e.g., immunoassays such as enzyme-linked immunosorbent assays (ELISA). For example, in some embodiments a monoclonal

antibody is contacted with the sample; binding of the antibody is then detected and optionally quantified, and levels of the protein are determined based on levels of antibody binding. Alternatively, levels of mRNA can be measured, again using methods known in the art and/or described herein, e.g., by quantitative PCR or Northern blotting analysis.

In some embodiments, the marker levels or values can then be used in an algorithm to determine a multimarker risk score, e.g., an algorithm determined based on statistical analysis of a subject population. Exemplary algorithms include the following:

$$(1) \text{ AGE} + \ln_SBP + CAD + ST2 + \ln_NTpro\text{-BNP}$$

$$(2) \text{ AGE} + ST2 + \ln_NTpro\text{-BNP}$$

In these embodiments, the level of soluble ST2 is determined and compared to a threshold, e.g., 35 or 50 ng/mL, and the presence of a level at or above the threshold is scored as "1" and the presence of a level below the threshold is scored as "0". In some embodiments, in algorithms (1) and (2) the threshold level of soluble ST2 is 35 ng/mL.

$$(3) \text{ AGE} + ST2 + \text{Troponin} + \text{NYHA}$$

$$(4) \text{ AGE} + ST2 + [\text{Troponin OR NYHA}]$$

In some embodiments, the level of soluble ST2 is determined and compared to a threshold, e.g., 35 or 50 ng/mL, and the presence of a level at or above the threshold is scored as "1" and the presence of a level below the threshold is scored as "0". In some embodiments, in algorithms (3) or (4) the threshold level of ST2 is 50 ng/mL.

In some embodiments, the level of hemoglobin (Hgb) is also determined, e.g., in an algorithm comprising:

$$(5) \text{ AGE} + ST2 + [\text{Troponin AND/OR NYHA}] + \ln_Hgb$$

In some embodiments, the NYHA score is determined, and the presence of an NYHA score at or above a threshold is scored as "1" and the presence of a level below the threshold is scored as "0". In some embodiments, in algorithms (3) or (4) or (5) the threshold score is 3.

In some embodiments, the level of troponin is determined and compared to a threshold, e.g., a level that represents a threshold below which healthy individuals fall, and above which individuals are identified as having a cardiovascular condition, e.g., 35 or 50 pg/mL, and the presence of a level at or above the threshold is scored as

“1” and the presence of a level below the threshold is scored as “0”. In some embodiments, in algorithms (3) or (4) or (5) the threshold level of troponin is 16 pg/mL.

In some embodiments, the multimarker risk score is calculated using a computing device, e.g., a personal computer.

Once a multimarker risk score has been determined, the multimarker risk score can be compared to a reference score. In some embodiments, the reference score will represent a threshold level, above which the subject has an increased risk of death, and/or has a severe disease. The reference score chosen may depend on the methodology used to measure one or more of the markers, e.g., the levels of soluble ST2. For example, in some embodiments, where circulating levels of soluble ST2 are determined using an immunoassay, e.g., as described herein, and a score above that reference level indicates that the subject has an increased risk of death.

A reference score can also be a multimarker risk score calculated for a healthy subject (e.g., a subject not diagnosed with a cardiovascular disorder (e.g., not diagnosed with heart failure) or not presenting with two or more symptoms of a cardiovascular disorder). A reference score can also be a multimarker risk score calculated for a subject not diagnosed with a cardiovascular disorder (e.g., not diagnosed with heart failure), not presenting with two or more symptoms of a cardiovascular disorder, and not identified as having an increased risk of developing a cardiovascular disorder (e.g., no family history of a cardiovascular disease).

In some embodiments, more than one multimarker risk score is determined using a method described herein, and a change in the score indicates whether the subject has an increased or decreased risk of death. A score that increases means that the subject has an increasing risk of imminent death, e.g., an increasingly poor prognosis, and that a treatment is not working or should be changed or initiated. A score that decreases over time indicates that the subject has a decreasing risk of imminent death, e.g., an increasingly positive prognosis, and can be indicative of the efficacy of a treatment, for example, and the treatment should be continued, or, if the score becomes low enough, possibly discontinued. As one example, increasing scores may indicate a need for more aggressive treatment or hospitalization (e.g., initial admission or hospitalization in a more acute setting, e.g., in an intensive care unit, or the use of telemetry or other methods for monitoring the subject’s cardiac status),

while decreasing scores may indicate the possibility of less aggressive treatment, a short hospitalization, or discharge. This information allows a treating physician to make more accurate treatment decisions; for example, the subject may be admitted to the hospital as an inpatient, e.g., in an acute or critical care department.

5 Additional testing can be performed, e.g., to determine the subject's actual condition. More aggressive treatment may be administered either before or after additional testing. For example, in the case of a suspected myocardial infarction (MI), the subject may be sent for more extensive imaging studies and/or cardiac catheterization.

10 In some embodiments, the methods include the use of additional diagnostic methods to identify underlying pathology. Any diagnostic methods known in the art can be used, and one of skill in the art will be able to select diagnostic methods that are appropriate for the subject's symptoms. In some embodiments, the methods described herein include other diagnostic methods in addition to or as an alternative to
15 the measurement of other biomarkers, e.g., physical measurements of lung function or cardiac function as are known in the art.

In some examples, a subject who has been identified as having an elevated risk of mortality (or one or more of the subject's immediate family members) is informed of the symptoms of a cardiovascular disorder (e.g., symptoms of heart failure or MI)
20 and/or are instructed to monitor the subject for the development or occurrence of one or more symptoms of cardiovascular disease (e.g., heart failure or MI). In some examples, one or more lineal family members of a subject identified as having an elevated risk of mortality are also tested for the presence of a cardiovascular disorder (e.g., heart failure) or methods are performed on such family members to determine
25 their risk of cardiovascular disease or their risk of mortality (e.g., using any of the methods described herein).

ST2

The ST2 gene is a member of the interleukin-1 receptor family, whose protein product exists both as a trans-membrane form, as well as a soluble receptor that is
30 detectable in serum (Kieser et al., FEBS Lett. 372(2-3):189-93 (1995); Kumar et al., J. Biol. Chem. 270(46):27905-13 (1995); Yanagisawa et al., FEBS Lett. 302(1):51-3 (1992); Kuroiwa et al., Hybridoma 19(2):151-9 (2000)). ST2 was described to be markedly up-regulated in an experimental model of heart failure (Weinberg et al.,

Circulation 106(23):2961-6 (2002)), and preliminary results suggest that ST2 concentrations may be elevated in those with chronic severe HF (Weinberg et al., Circulation 107(5):721-6 (2003)) as well as in those with acute myocardial infarction (MI) (Shimpo et al., Circulation 109(18):2186-90 (2004)).

5 The trans-membrane form of ST2 is thought to play a role in modulating responses of T helper type 2 cells (Lohning et al., Proc. Natl. Acad. Sci. U. S. A. 95(12):6930-5 (1998); Schmitz et al., Immunity 23(5):479-90 (2005)), and may play a role in development of tolerance in states of severe or chronic inflammation (Brint et al., Nat. Immunol. 5(4):373-9 (2004)), while the soluble form of ST2 is up-regulated
10 in growth stimulated fibroblasts (Yanagisawa et al., 1992, supra). Experimental data suggest that the ST2 gene is markedly up-regulated in states of myocyte stretch (Weinberg et al., 2002, supra) in a manner analogous to the induction of the BNP gene (Bruneau et al., Cardiovasc. Res. 28(10):1519-25 (1994)).

 Tominaga, FEBS Lett. 258:301-304 (1989), isolated murine genes that were
15 specifically expressed by growth stimulation in BALB/c-3T3 cells; they termed one of these genes St2. The St2 gene encodes two protein products: ST2, which is a soluble secreted form; and ST2L, a transmembrane receptor form that is very similar to the interleukin-1 receptors. The HUGO Nomenclature Committee designated the human homolog, the cloning of which was described in Tominaga et al., Biochim.
20 Biophys. Acta. 1171:215-218 (1992), as Interleukin 1 Receptor-Like 1 (IL1RL1). The two terms are used interchangeably herein.

 The mRNA sequence of the shorter, soluble isoform of human ST2 can be found at GenBank Acc. No. NM_003856.2, and the polypeptide sequence is at GenBank Acc. No. NP_003847.2; the mRNA sequence for the longer form of human
25 ST2 is at GenBank Acc. No. NM_016232.4; the polypeptide sequence is at GenBank Acc. No. NP_057316.3. Additional information is available in the public databases at GeneID: 9173, MIM ID # 601203, and UniGene No. Hs.66. In general, in the methods described herein, the soluble form of ST2 polypeptide is measured.

 Methods for detecting and measuring ST2 are known in the art, e.g., as
30 described in U.S. Pat. Pub. Nos. 2003/0124624, 2004/0048286 and 2005/0130136, the entire contents of which are incorporated herein by reference. Kits for measuring ST2 polypeptide are also commercially available, e.g., the ST2 ELISA Kit manufactured by Medical & Biological Laboratories Co., Ltd. (MBL International Corp., Woburn,

MA), no. 7638. In addition, devices for measuring ST2 and other biomarkers are described in U.S. Pat. Pub. No. 2005/0250156.

Other Biomarkers and Clinical Variables

The methods described herein can also include measuring levels of other
5 biomarkers or clinical variables in addition to ST2, including troponin and NT-
proBNP. Other markers or clinical variables can also be determined, e.g., age, blood
pressure, gender, diabetes status, smoking status, CRP, IL-6, D-dimers, BUN, liver
function enzymes, albumin, measures of renal function, e.g., creatinine, creatinine
clearance rate, or glomerular filtration rate, and/or bacterial endotoxin. Methods for
10 measuring these biomarkers are known in the art, see, e.g., U.S. Pat. Pub. Nos.
2004/0048286 and 2005/0130136 to Lee et al.; Dhalla et al., *Mol. Cell. Biochem.*
87:85-92 (1989); Moe et al., *Am. Heart. J.* 139:587-95 (2000); Januzzi et al., *Eur.*
Heart J. 27(3):330-7 (2006); Maisel et al., *J. Am. Coll. Cardiol.* 44(6):1328-33 (2004);
and Maisel et al., *N. Engl. J. Med.* 347(3):161-7 (2002), the entire contents of which
15 are incorporated herein by reference. Liver function enzymes include alanine
transaminase (ALT); aspartate transaminase (AST); alkaline phosphatase (ALP); and
total bilirubin (TBIL).

In these embodiments, a multimarker risk score and levels of one or more
additional biomarkers are determined, and the information from the score and a
20 comparison of the biomarkers with their respective reference levels provides
additional information regarding the subject's risk of death, which may provide more
accurate and specific information regarding the subject's risk. The levels can then be
compared to a reference level, e.g., a threshold at or above which the subject has an
increased risk of death.

25 Selecting a Treatment – Aggressive vs. Conservative

Once it has been determined that a subject has a multimarker risk score above
a predetermined reference score, the information can be used in a variety of ways.
For example, if the subject has an elevated score, e.g., as compared to a reference
level, a decision to treat aggressively can be made, and the subject can be, e.g.,
30 admitted to a hospital for treatment as an inpatient, e.g., in an acute or critical care
department. Portable test kits could allow emergency medical personnel to evaluate a
subject in the field, to determine whether they should be transported to the ED.

Triage decisions, e.g., in an ED or other clinical setting, can also be made based on information provided by a method described herein. Those patients with high scores can be prioritized over those with lower scores. Additional methods for selecting a treatment for a subject based on the determination of a subject's risk or mortality (based on a single multimarker risk score or a first and second multimarker risk score determined for the subject) (e.g., using any of the methods described herein) are known in the art and described herein, e.g., in the Summary section above. Some examples of any of the methods of selecting a treatment described herein further include modifying the subject's clinical file (e.g., a computer-readable medium) to indicate that the subject should be administered the selected treatment, admitted to the hospital, discharged from the hospital, continue to be hospitalized, continue to be treated on an outpatient basis, receive cardiac monitoring (e.g., any of the cardiac monitoring methods described herein), or receive low frequency monitoring (e.g., any of the low frequency monitoring methods described herein) (as determined using any of the methods described herein). Additional methods include administering or performing the selected treatment on a subject.

The methods described herein also provide information regarding whether a subject is improving, e.g., responding to a treatment, e.g., whether a hospitalized subject has improved sufficiently to be discharged and followed on an outpatient basis. In general, these methods will include determining a multimarker risk score for the subject multiple times. A decrease in multimarker risk score over time indicates that the subject is likely to be improving. The most recent multimarker risk score can also be compared to a reference score, as described herein, to determine whether the subject has improved sufficiently to be discharged.

The subject may also be considered for inclusion in a clinical trial, e.g., of a treatment that carries a relatively high risk. The subject can be treated with a regimen that carries a relatively higher risk than would be considered appropriate for someone who had a lower risk of imminent death, e.g., death within 30 days or within 1 year of presentation.

Beyond the clinical setting, information regarding a subject's multimarker risk score can be used in other ways, e.g., for payment decisions by third party payors, or for setting medical or life insurance premiums by insurance providers. For example, a

high multimarker risk score, e.g., a score at or above a predetermined threshold score, may be used to decide to increase insurance premiums for the subject.

Patient Populations

The methods described herein are useful in the clinical context of patients with a cardiovascular disorder (e.g., heart failure). As one example, a multimarker risk score can be determined at any time, and if the multimarker risk score is elevated, the health care provider can act appropriately. In some embodiments, the methods described herein are used in subjects who have heart failure (HF), e.g., acute decompensated, e.g., heart failure (ADHF) or chronic heart failure (CHF); methods of diagnosing HF and ADHF are known in the art.

Computer-Implemented Methods

Any of the methods described herein can be implemented in a system. For example, a system can include a processor, memory, and a storage device. The memory can include an operating system (OS), such as Linux, UNIX, or Windows® XP, a TCP/IP stack for communicating with a network (not shown), and a process for calculating one or more multimarker risk score(s) in accordance with the methods described in this document and also, optionally, comparing a second determined multiple marker risk score from a subject at a first time point with a first multiple marker risk score determined at a first time point or comparing a determined multiple marker risk score with a reference value (e.g., a multiple marker risk score of a healthy subject). In some implementations, the system also includes a link to an input/output (I/O) device for display of a graphical user interface (GUI) to a user. In some implementations, the system is in communication with a user interface which allows a person to enter clinical information about the patient.

In some implementations, the calculating of the one or more multimarker risk score functionality can be implemented within a network environment. For example, a networking environment can provide users (e.g., individuals such as clinicians) access to information collected, produced, and/or stored. Various techniques and methodologies can be implemented for exchanging information between the users and processor. For example, one or more networks (e.g., the Internet) may be employed for interchanging information with user devices. Various types of computing devices and display devices may be employed for information exchange. For example, hand-held computing devices (e.g., a cellular telephone, tablet computing device, etc.) may

exchange information through one or more networks (e.g., the Internet) with the processor. Other types of computing devices such as a laptop computer and other computer systems may also be used to exchange information with the process for calculating the one or more multiple marker risk score(s). A display device such as a liquid crystal display (LCD) television or other display device may also present information from processor. One or more types of information protocols (e.g., file transfer protocols, etc.) may be implemented for exchanging information. The user devices may also present one or more types of interfaces (e.g., graphical user interfaces) to exchange information between the user and the processor. For example, a network browser may be executed by a user device to establish a connection with a website (or webpage) of the processor and provide a vehicle for exchanging information. The processor can include software and hardware configured to calculate one or more multimarker risk score(s) in a subject (e.g., using any of the methods described in this document).

Operations can further include providing an output as a result of the subject's risk of mortality or change in risk of mortality. The output can be provided, for example, by displaying a representation of the output on a display device, or storing data representing the output on a computer-readable non-transitory storage device. The output can identify one or more treatments (e.g., any of the treatments described herein) that are selected for the subject, identify a treatment as being effective or not effective in the subject, select a subject for participation in a clinical study, or identify a subject as having an increased, decreased, increasing, or decreasing risk of mortality within a specific time period (e.g., according to any of the methods described herein).

In some examples, a computer device or mobile computer device can be used to implement the techniques described herein. For example, a portion or all of the operations of a comfort modeler may be executed by a computer device (located, for example, within the processor) and/or by the mobile computer device (that may be operated by an end user). Computing device is intended to represent various forms of digital computers, including, e.g., laptops, desktops, workstations, personal digital assistants, servers, blade servers, mainframes, and other appropriate computers. Computing device is intended to represent various forms of mobile devices, including, e.g., personal digital assistants, cellular telephones, smartphones, and other similar computing devices. The components shown here, their connections and relationships,

and their functions, are meant to be examples, and are not meant to limit implementations of the methods described and/or claimed in this document.

A computing device can include a processor, a memory, a storage device, a high-speed interface connecting to memory and high-speed expansion ports, and a low speed interface connecting to a low speed bus and a storage device. Each of these components can be interconnected using various busses, and can be mounted on a common motherboard or in other manners as appropriate. The processor can process instructions for execution within the computing device, including instructions stored in memory or on storage device to display graphical data for a GUI on an external input/output device, including, e.g., a display coupled to a high speed interface. In other implementations, multiple processors and/or multiple busses can be used, as appropriate, along with multiple memories and types of memory. Also, multiple computing devices can be connected, with each device providing portions of the necessary operations (e.g., as a server bank, a group of blade servers, or a multi-processor system).

A memory that stores data can be within the computing device. In one implementation, the memory is a volatile memory unit or units. In another implementation, memory is a non-volatile memory unit or units. The memory can also can be another form of non-transitory computer-readable medium, including, e.g., a magnetic or optical disk.

The storage device can be capable of providing mass storage for the computing device. In one implementation, the storage device can be or contain a non-transitory computer-readable medium, including, e.g., a floppy disk device, a hard disk device, an optical disk device, or a tape device, a flash memory or other similar solid state memory device, or an array of devices, including devices in a storage area network or other configurations. A computer program product can be tangibly embodied in a data carrier. The computer program product also can contain instructions that, when executed, perform one or more methods, including, e.g., those described herein. The data carrier can be a computer- or machine-readable medium, including, e.g., memory, storage device, memory on a processor, and the like.

A high-speed controller can be used to manage bandwidth-intensive operations for the computing device, while the low speed controller can manage lower bandwidth-intensive operations. Such allocation of functions is an example only. In

one implementation, a high-speed controller can be coupled to a memory, a display (e.g., through a graphics processor or accelerator), and to a high-speed expansion ports, which can accept various expansion cards (not shown). In the implementation, the low-speed controller can be coupled to a storage device and a low-speed expansion port. The low-speed expansion port, which can include various communication ports (e.g., USB, Bluetooth®, Ethernet, wireless Ethernet), can be coupled to one or more input/output devices, including, e.g., a keyboard, a pointing device, a scanner, or a networking device including, e.g., a switch or router, e.g., through a network adapter.

As is known in the art, a computing device can be implemented in a number of different forms. For example, it can be implemented as standard server, or multiple times in a group of such servers. It also can be implemented as part of a personal computer including, e.g., laptop computer. In some examples, components from the computing device can be combined with other components in a mobile device (not shown), including, e.g., device. Each of such devices can contain one or more of computing device(s), and an entire system can be made up of multiple computing devices that communicate with each other.

A computing device can include a processor, a memory, an input/output device including, e.g., a display, a communication interface, and a transceiver, among other components. The device also can be provided with a storage device, including, e.g., a microdrive or other device, to provide additional storage. Each of these components can be interconnected using various busses, and several of the components can be mounted on a common motherboard or in other manners as appropriate.

The processor can execute instructions within the computing device, including instructions stored in the memory. The processor can be implemented as a chipset of chips that include separate and multiple analog and digital processors. The processor can provide, for example, for coordination of the other components of the device, including, e.g., control of user interfaces, applications run by the device, and wireless communication by the device.

The processor can communicate with a user through a control interface and a display interface coupled to the display. The display can be, for example, a TFT LCD (Thin-Film-Transistor Liquid Crystal Display) or an OLED (Organic Light Emitting

Diode) display, or other appropriate display technology. The display interface can include appropriate circuitry for driving display to present graphical and other data to a user. The control interface can also receive commands from a user and convert them for submission to processor. In addition, an external interface can communicate with processor, so as to enable near area communication of device with other devices. The external interface can provide, for example, for wired communication in some implementations, or for wireless communication in other implementations, and multiple interfaces also can be used.

The memory can store data within the computing device. The memory can be implemented as one or more of a computer-readable medium or media, a volatile memory unit or units, or a non-volatile memory unit or units. An expansion memory can also be provided and connected to the device through an expansion interface, which can include, for example, a SIMM (Single In Line Memory Module) card interface. Such expansion memory can provide extra storage space for the device, or also can store applications or other data for the device. Specifically, the expansion memory can include instructions to carry out or supplement the processes described above, and can also include secure data. Thus, for example, the expansion memory can be provided as a security module for the device, and can be programmed with instructions that permit secure use of the device. In addition, secure applications can be provided through the SIMM cards, along with additional data, including, e.g., placing identifying data on the SIMM card in a non-hackable manner.

The memory can include, for example, flash memory and/or NVRAM memory, as discussed below. In one implementation, a computer program product is tangibly embodied in a data carrier. The computer program product contains instructions that, when executed, perform one or more methods, including, e.g., any of the methods described herein. The data carrier is a computer- or machine-readable medium, including, e.g., memory, expansion memory, and/or memory on a processor that can be received, for example, over a transceiver or an external interface.

The device can communicate wirelessly through a communication interface, which can have multimarker risk score calculating circuitry where necessary, or where desired. The communication interface can provide for communications under various modes or protocols, including, e.g., GSM voice calls, SMS, EMS, or MMS messaging, CDMA, TDMA, PDC, WCDMA, CDMA2000, or GPRS, among others.

Such communication can occur, for example, through a radio-frequency transceiver. In addition, short-range communication can occur, including, e.g., using a Bluetooth®, WiFi, or other such transceiver (not shown). In addition, a GPS (Global Positioning System) receiver module can provide additional navigation- and location-
5 related wireless data to the device, which can be used as appropriate by applications running on the device.

The device can also communicate audibly using an audio codec, which can receive spoken data from a user and convert it to usable digital data. The audio code can likewise generate audible sound for a user, including, e.g., through a speaker, e.g.,
10 in a handset of device. Such sound can include sound from voice telephone calls, can include recorded sound (e.g., voice messages, music files, and the like) and also can include sound generated by applications operating on the device.

As is known in the art, the computing device can be implemented in a number of different forms. For example, it can be implemented as cellular telephone. It also
15 can be implemented as part of smartphone, personal digital assistant, or other similar mobile device.

Various implementations of any of the systems and methods described herein can be realized in digital electronic circuitry, integrated circuitry, specially designed ASICs (application specific integrated circuits), computer hardware, firmware,
20 software, and/or combinations thereof. These various implementations can include implementation in one or more computer programs that are executable and/or interpretable on a programmable system including at least one programmable processor, which can be special or general purpose, coupled to receive data and instructions from, and to transmit data and instructions to, a storage system, at least
25 one input device, and at least one output device.

These computer programs (also known as programs, software, software applications or code) include machine instructions for a programmable processor, and can be implemented in a high-level procedural and/or object-oriented programming language, and/or in assembly/machine language. As used herein, the terms machine-
30 readable medium and computer-readable medium refer to a computer program product, apparatus and/or device (e.g., magnetic discs, optical disks, memory, Programmable Logic Devices (PLDs)) used to provide machine instructions and/or

data to a programmable processor, including a machine-readable medium that receives machine instructions.

To provide for interaction with a user, the systems and techniques described here can be implemented on a computer having a display device (e.g., a CRT (cathode ray tube) or LCD (liquid crystal display) monitor) for displaying data to the user and a keyboard and a pointing device (e.g., a mouse or a trackball) by which the user can provide input to the computer. Other kinds of devices can be used to provide for interaction with a user as well; for example, feedback provided to the user can be a form of sensory feedback (e.g., visual feedback, auditory feedback, or tactile feedback); and input from the user can be received in a form, including acoustic, speech, or tactile input.

Any of the systems and methods described herein can be implemented in a computing system that includes a back end component (e.g., as a data server), or that includes a middleware component (e.g., an application server), or that includes a front end component (e.g., a client computer having a user interface or a Web browser through which a user can interact with an implementation of any of the systems and methods described herein), or a combination of such back end, middleware, or front end components. The components of the system can be interconnected by a form or medium of digital data communication (e.g., a communication network). Examples of communication networks include: a local area network (LAN), a wide area network (WAN), and the Internet.

The computing system can include clients and servers. A client and server are generally remote from each other and typically interact through a communication network. The relationship of client and server arises by virtue of computer programs running on the respective computers and having a client-server relationship to each other.

EXAMPLES

The invention is further described in the following examples, which do not limit the scope of the invention described in the claims.

Example 1. Predictive Model Based on Barcelona Study

The objective of this example was to construct a model of heart failure from data in the Barcelona Cohort, to predict 1 Year Mortality and Study (5 Year) Mortality.

5 Summary of study. The Barcelona Study was a prospective, blinded study of 891 ambulatory patients referred to the Heart Failure unit integrated into a tertiary-care hospital. Most patients were referred from cardiology (70.5%) and internal medicine (15.1%); 5% come from the emergency room or short-stay unit. Admissions from primary care clinics were few.

10 Enrollment criteria. Patients were enrolled who had either been referred to the Heart Failure unit for Heart Failure, independent of etiology, or who had severely depressed ventricular function following acute myocardial infarction (AMI).

 Patient assessment. All subjects underwent a clinical assessment that included relevant history, detailed physical examination, echocardiogram, and blood work-up.
15 A diagnosis of heart failure was confirmed by physician clinical assessment.

 Biochemical sampling information. Venous blood samples were obtained at study enrollment, processed, and stored at -80°C until time of the Presage ST2 Assay measurement.

 This study conformed to the principles of the Declaration of Helsinki and was
20 approved of by the local ethical committees. All participants provided written, informed consent.

 Clinical Program Study Cohort. All of the 891 participants of the Barcelona study were included in the Presage ST2 Assay Clinical Program Study Cohort. Across these patients, 78 patients (8.8%) reached the end point of all-cause mortality
25 within one year.

 The models were created based on the following quantitative variables: Age; ST2; left ventricular ejection fraction (LVEF); body mass index (BMI); NT-proBNP; Troponin (cTnT1); Creatinine; Estimated Glomerular Filtration Rate (eGFR); systolic blood pressure (SBP); diastolic blood pressure (DBP); and Hemoglobin (Hgb), and
30 the following discrete variables: New York Heart Association (NYHA) score; Ethnicity; Sex; history of Coronary Artery Disease (CAD); Diabetes; and hypertension (HTN).

The following statistical measures were made: Median's[IQR]; Differences between Events and Censored; Standardized HR – raw and ln transformed; AUC; Normality Test (Shapiro Wilks Test). Discrete variables were evaluated with counts and HR. The results are shown in Figures 1 and 2. Linearity Checks and Cut-point Evaluations were also performed, see Figures 3-24, with a summary in Fig. 25. Based on this analysis, a set of variables was defined that included the variables shown in Fig. 26.

The model was constructed by analysis of all combinations of the variables shown in Fig. 26, and all models of size 1-5 were selected. Fit parameters (e.g. AIC and BIC) were estimated, as was discrimination (AUC). An estimate of over-fit was made using bootstrap analysis. A 3 or 5 parameter model was selected to reduce the likelihood of overfit unless there is a systematic bias in the data set.

Several heuristic approaches were used to identify the best models, including backward, forward and stepwise selection, and selection was made based on AIC (Akaike's Information Criteria) or BIC (Bayesian Information Criteria).

The results are shown in Figures 27-34. For the 1-year outcome models, the best small models consist of Age, ST2, Troponin and NYHA ≥ 3 with a bootstrapped performance of ~ 0.79 ; 3 parameter models contain ST2, Age + 1 other marker with a bootstrapped performance of ~ 0.78 . Marker selection based on AIC resulted in models that were over-fit. Marker selection based on BIC consisted of Troponin, Age, ST2 ≥ 50 , NYHA ≥ 3 , Troponin ≥ 16 , and Hgb, with a bootstrapped performance of ~ 0.80 . For the study outcomes, the best small models consist of Age, ST2 ≥ 50 , Troponin and NYHA ≥ 3 + 1 marker with a bootstrapped performance of 0.81-0.82; 3 parameter models contain Age (10), ST2 (8), Troponin (7), or NYHA (5) with a bootstrapped performance of 0.79-0.80. Marker selection based on AIC again resulted in models that were over-fit, and marker selection based on BIC consisted of Troponin, ST2, Age, and NYHA ≥ 3 with a bootstrapped performance of 0.79-0.80.

Example 2. Predictive Model Based on PRIDE Study

The objective of the study described in this example was to develop an algorithm capable of predicting 1 year mortality in subjects that are ADHF positive. There were 148 Controls and 61 Cases; the data set is sufficient to support a model of 3-6 parameters.

Summary of Parent Study. The PRoBNP Investigation of Dyspnea in the Emergency Department study (PRIDE) was a prospective, blinded study of 599 dyspneic subjects presenting to the Emergency Department (ED) of the Massachusetts General Hospital, in Boston, MA. PRIDE was performed for the purpose of validating use of NT-proBNP testing (using the predicate device Elecsys ProBNP, Roche Diagnostics, Indianapolis, Indiana). The complete selection criteria and design of the PRIDE study have been described previously in peer-reviewed publications (Januzzi et al. 2005, Januzzi et al. 2006).

Enrollment criteria. Original PRIDE enrollment criteria included all patients at least 21 years of age presenting to the ED with complaints of dyspnea.

Original exclusion criteria were dyspnea following blunt or penetrating trauma to the chest, renal failure (serum creatinine >2.5 mg/dl), ST elevation myocardial infarction, or electrocardiographic changes diagnostic of acute coronary ischemia, such as ST segment depression or transient ST segment elevation in the presence of symptoms suggestive of coronary artery disease.

Other exclusions included treatment with an acute dose (non-maintenance therapy) of a loop diuretic more than two hours prior to enrollment, and patient unwillingness or inability to provide written informed consent (or site otherwise unable to obtain informed consent from available next of kin).

Patient Assessment. Diagnosis was recorded by the ED physician as well as by the attending physician following admission, both blinded to the biomarker concentrations. In the event of a disagreement between the two primary physicians, two of the three cardiologists involved in the study adjudicated patient diagnosis as either congestive heart failure or dyspnea due to non-cardiac cause.

Using these criteria, 599 patients were enrolled at the single site. Of the 599 patients, 209 (34.8%) had an adjudicated diagnosis of congestive heart failure. All patients were monitored for one year for all cause mortality.

Biochemical sampling information. Blood samples (EDTA plasma) were collected at presentation and stored at -80°C for analysis until the time of the Presage ST2 Assay measurement.

All participants provided written, informed consent, and the PRIDE protocol was approved by the participating Institutional Review Board.

Presage ST2 Assay Clinical Program Cohort. The Clinical Program includes only the 209 patients diagnosed with acute heart failure, using the all cause mortality endpoint. Across these patients, 61 patients (29.1%) reached the end point of all cause mortality within one year.

5 The potential parameters included measurements of ST2, NT-proBNP, Troponin, Age, Renal Function (Creatinine or eGFR), Hemoglobin, and Blood Pressure (e.g., systolic or diastolic BP). Additional parameters included Gender, Ethnicity, BMI, Hypertension, Diabetes, CAD, and C-reactive protein (CRP).

10 The modeling approach was based on logistic regression, which is a linear model with an output of the log odds of having an event, and is directly related to probability of an event (i.e. risk). The following assumptions were made: a linear relationship between risk (y) and X; the markers included in the model are mutually exclusive (independent or not co-linear; a correlation coefficient around 0.7 or higher is usually considered as evidence of colinearity); the markers should be collectively
15 exhaustive (though this assumption is typically relaxed as it is difficult to know what markers might be missing).

 Covariance among the markers was evaluated, as was linearity of the response to risk. Transforms or non-linear terms were considered, and the markers were combined and selected under a bootstrap analysis to estimate true performance. The
20 model performance was also evaluated under a bootstrap analysis.

 The results of the colinearity analysis are shown in Fig. 35; no significant colinearity was found, except among the markers of renal function. Univariate performance of the various markers is shown in Fig. 36. Results of the linearity check are shown in Figs. 37-49. A summary of the results and variables is shown in Fig. 50.

25 The model was then created. Missing values were imputed to strengthen the data set, and markers were selected within a bootstrap loop, using forward selection, backward selection, stepwise forward, and stepwise backward selection. Performance and marker selection were tracked.

 The final model size as determined by AIC and BIC was too large, as shown
30 on Figs. 51 and 52, so combinatorics were used to improve the model. All of the models (a total of 60,459) of size 1-6 were evaluated and the best was selected based on AIC/BIC. The ten best AIC Models all contained Age, LN_SBP, CAD, and ST2 ≥ 35 ; 9 contain LN_NTBNP. Nine of the models had size=6 (1 of size=5). The ten

best BIC Models all contained Age; 7 contain LN_NTBNP, and 8 contain ST2 \geq 35. The BIC models were much smaller ($k=2(3)$, $k=3(6)$, $k=4(1)$).

Two models were selected as the best. The first [Age + LN_SBP + CAD + ST2 \geq 35 + LN_NTBNP] had a fitted AUC = 0.791, and the second [Age + ST2 \geq 35 + LN_NTBNP] had a fitted AUC = 0.755 ($\text{pr}(\text{ROC1}=\text{ROC2})=0.0714$). The second model was more discriminating than NTPro Alone (AUC=0.68; $p=0.181$), ST2 alone (AUC=0.692; $p=0.233$), and a model of ST2 and BNP (AUC=0.721; $p=0.2735$). Comparisons of the two models are shown in Figs. 53-54.

As shown in Fig. 55, when compared with the “out of bag” estimates, the five parameter Model had a Median AUC=0.758 (IQR: 0.726-0.788). The three Parameter Model had a Median AUC=0.738 (IQR:0.707-0.769). The 5 parameter model had a higher AUC on 77.5% of the replicates. Model calibration, shown in Fig. 56, was close to expected (red), as is usually the case when a training population is used.

Assuming a median split in the 5 parameter model, the model had a Sensitivity =0.79, Specificity =0.62, PPV=0.46, NPV=0.88, and Odds Ratio = 6.0. Exemplary Model Parameters are shown in Fig. 57.

OTHER EMBODIMENTS

It is to be understood that while the invention has been described in conjunction with the detailed description thereof, the foregoing description is intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims. Other aspects, advantages, and modifications are within the scope of the following claims.

WHAT IS CLAIMED IS:

1. A method for evaluating the risk of mortality for a subject within one year, the method comprising:

determining a multimarker mortality risk score for a subject based upon:

the age of the subject;

the level of ST2 in the subject, in combination with one or more of a natural logarithm of a level of a brain natriuretic peptide (BNP) in the subject; a level of troponin in the subject; a New York Heart Association (NYHA) score; a history of cardiovascular disease (CAD); a natural logarithm of a systolic blood pressure; a measure of renal function or a natural logarithm of a level of hemoglobin (Hgb), age; and

comparing the multimarker mortality risk score to a reference multimarker mortality risk score;

wherein the presence of a multimarker mortality risk score that is at or above the reference multimarker mortality risk score indicates that the subject has an increased risk of mortality within one year.

2. The method of claim 1, wherein the risk score is determined using one of the following algorithms:

(9) $AGE + ST2 + \ln_SBP + CAD + \ln_NTpro\text{-}BNP$

(10) $AGE + ST2 + \ln_NTpro\text{-}BNP$

(11) $AGE + ST2 + Troponin + NYHA$

(12) $AGE + ST2 + [Troponin \text{ OR } NYHA]$

(13) $AGE + ST2 + [Troponin \text{ AND/OR } NYHA] + \ln_Hgb$

(14) $AGE + ST2 + [Troponin \text{ AND/OR } NYHA] + \ln_Hgb$

(15) $AGE + ST2 + [Troponin \text{ AND/OR } NYHA] + \ln_Hgb + \ln_SBP$

(16) $AGE + ST2 + [Troponin \text{ AND/OR } NYHA] + \ln_Hgb + \ln_SBP + \ln_NTpro\text{-}BNP$

3. The method of claim 2, wherein the level of ST2 is determined and compared to a threshold and the presence of a level at or above the threshold is scored as "1" and the presence of a level below the threshold is scored as "0".

4. The method of claim 3, wherein the threshold is 35 or 50 ng/mL.

5. The method of claim 4, wherein algorithm (1) or (2) is used and the threshold level of ST2 is 35 ng/ml.
6. The method of claim 4, wherein algorithm (3) or (4) is used and the threshold level of ST2 is 50 ng/ml.
7. The method of claim 1, wherein the subject has been diagnosed with heart failure.
8. The method of claim 1, wherein the reference multimarker mortality risk score represents a score corresponding to a low risk of death within one year or within five years.
9. The method of claim 1, wherein the sample comprises serum, blood, plasma, urine, or body tissue.
10. The method of claim 1, wherein the subject has a BMI of 25-29, a BMI of ≥ 30 , or renal insufficiency.
11. The method of claim 1, further comprising discharging the subject or treating the subject on an inpatient basis based on the presence of an increased risk of mortality.

Fig. 1
Summary Stats
1 Year Mortality

Variable	Outcome=0		Outcome=1		KW Test P Value	sHR(Raw)		sHR(In)		log-rank p	log-rank p	AuROC	Most
	Median [IQR]	Missing	Median [IQR]	Missing		Est [95% CI]	log-rank p	Est [95% CI]	log-rank p				
NTproBNP_pg_ml	1210[458.5-2866]	0	2775.5[1682-5774]	0	<0.001	1.24 [1.12-1.38]	<0.001	2.04 [1.63-2.55]	<0.001	0.702	In	Gaussian	
cTnT1	21.09[9.74-37.44]	13	41.16[29.81-67.44]	2	<0.001	1.21 [1.11-1.33]	<0.001	2.09 [1.7-2.56]	<0.001	0.742	In	In	
Creatinine	1.46[1.17-1.97]	3	1.68[1.31-2.29]	0	0.002	1.1 [0.96-1.25]	0.18	1.26 [1.06-1.51]	0.009	0.602	In	In	
eGFR	42.13[29.36-58.99]	13	29.02[21.96-45.73]	2	<0.001	0.52 [0.39-0.69]	<0.001	0.66 [0.55-0.78]	<0.001	0.663	In	In	
SBP	125[110-140]	9	120[103.75-140]	2	0.051	0.81 [0.65-1.02]	0.075	0.8 [0.64-0.99]	0.044	0.565	In	In	
DBP	70[63-80]	9	65.5[60-75]	2	0.004	0.7 [0.55-0.89]	0.004	0.7 [0.56-0.88]	0.002	0.596	In	In	
HGB_g_dl	13[11.8-14.3]	0	11.95[10.72-13.28]	0	<0.001	0.63 [0.52-0.76]	<0.001	0.74 [0.65-0.83]	<0.001	0.656	Raw	Raw	
Age_Yr	69.6[59.6-76.4]	0	77.2[68.7-83.3]	0	<0.001	2.23 [1.68-2.96]	<0.001	2.4 [1.71-3.37]	<0.001	0.695	Raw	Raw	
ST2_ng_ml	37.1[30.4-48.9]	0	53.3[38.45-89.1]	0	<0.001	1.39 [1.27-1.52]	<0.001	1.82 [1.56-2.13]	<0.001	0.705	In	In	
LVEF	34[26-43]	0	35[25.25-43]	0	0.494	1.08 [0.88-1.33]	0.456	1.1 [0.89-1.36]	0.386	0.522	In	In	
BMI	27[24.5-30.7]	13	25.15[22.8-27.92]	2	<0.001	0.61 [0.47-0.8]	<0.001	0.64 [0.51-0.8]	<0.001	0.625	In	In	
NYHA	1=64;2=545;3=188;4=8	0	1=1;2=39;3=44;4=2	0	<0.001								
Ethnicity	1=800;2=5	0	1=86	0	1	0 [0-Inf]	0.475						
Sex	0=230;1=575	0	0=23;1=63	0	0.802	1.1 [0.68-1.77]	0.707						
CAD	0=327;1=478	0	0=39;1=47	0	0.421	0.82 [0.54-1.26]	0.362						
Diabetes	0=523;1=282	0	0=47;1=39	0	0.076	1.5 [0.98-2.29]	0.061						
HTN	0=316;1=489	0	0=31;1=55	0	0.642	1.12 [0.72-1.74]	0.616						

Fig. 2
Summary Stats
Study Mortality

Variable	Outcome=0		Outcome=1		KW Test P Value	sHR(Raw)		sHR(ln)		Most Gaussian
	Median [IQR]	Missing	Median [IQR]	Missing		Est [95%CI]	log-rank p	Est [95%CI]	log-rank p	
NTproBNP_pg_ml	969.65[364.12-2313.5]	0	2233[922.4-5174]	0	<0.001	1.27 [1.19-1.35]	<0.001	2 [1.77-2.25]	<0.001	0.684
cTnT1	15.65[7.92-30.78]	7	34.18[20.81-53.64]	8	<0.001	1.21 [1.15-1.28]	<0.001	2.01 [1.8-2.24]	<0.001	0.725
Creatinine	1.37[1.12-1.86]	3	1.67[1.33-2.37]	0	<0.001	1.13 [1.06-1.21]	<0.001	1.36 [1.24-1.49]	<0.001	0.643
eGFR	47.73[32.75-65.07]	4	32.45[22.55-44.32]	11	<0.001	0.47 [0.4-0.54]	<0.001	0.63 [0.57-0.69]	<0.001	0.711
SBP	123[110-140]	5	125[110-145]	6	0.409	0.99 [0.89-1.11]	0.879	0.99 [0.88-1.1]	0.817	0.517
DBP	70[65-80]	5	70[60-78]	6	<0.001	0.82 [0.73-0.92]	0.001	0.81 [0.72-0.91]	<0.001	0.571
HGB_g_dl	13.2[12-14.5]	0	12.1[11.1-13.6]	0	<0.001	0.68 [0.62-0.75]	<0.001	0.78 [0.73-0.83]	<0.001	0.654
Age_Yr	66.05[56.58-74.32]	0	75.5[69.6-80.85]	0	<0.001	2.26 [1.95-2.61]	<0.001	2.5 [2.1-2.97]	<0.001	0.737
ST2_ng_ml	35.45[29.37-45.4]	0	44.7[34-61.1]	0	<0.001	1.29 [1.22-1.37]	<0.001	1.62 [1.48-1.77]	<0.001	0.662
LVEF	34[26-42.25]	0	34[25-44]	0	0.865	0.98 [0.88-1.09]	0.705	0.95 [0.85-1.06]	0.344	0.503
BMI	27.1[24.7-30.7]	5	26.4[23.6-29.8]	10	0.005	0.79 [0.7-0.9]	<0.001	0.78 [0.7-0.88]	<0.001	0.558
NYHA	1=60;2=418;3=91;4=3	0	1=5;2=166;3=141;4=7	0	<0.001		<0.001			
Ethnicity	1=567;2=5	0	1=319	0	0.166	0 [0-Inf]	0.163			
Sex	0=154;1=418	0	0=99;1=220	0	0.215	0.92 [0.73-1.17]	0.494			
CAD	0=242;1=330	0	0=124;1=195	0	0.321	1.07 [0.86-1.34]	0.537			
Diabetes	0=387;1=185	0	0=183;1=136	0	0.003	1.45 [1.16-1.8]	0.001			
HTN	0=237;1=335	0	0=110;1=209	0	0.045	1.3 [1.04-1.64]	0.024			

Fig. 3 Linearity Check: NT-proBNP

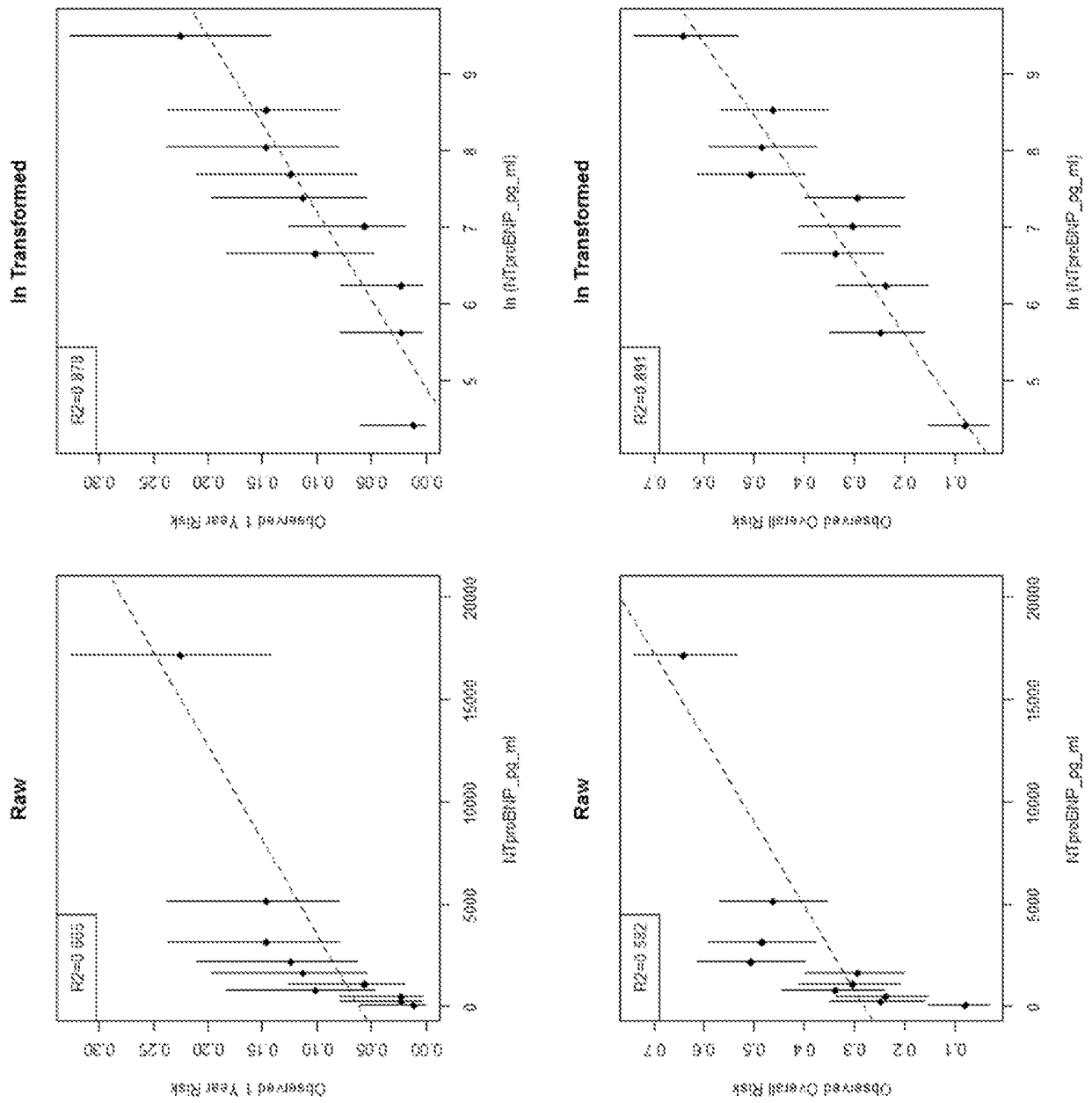


Fig. 4 Cut-Point Evaluation
NT-proBNP

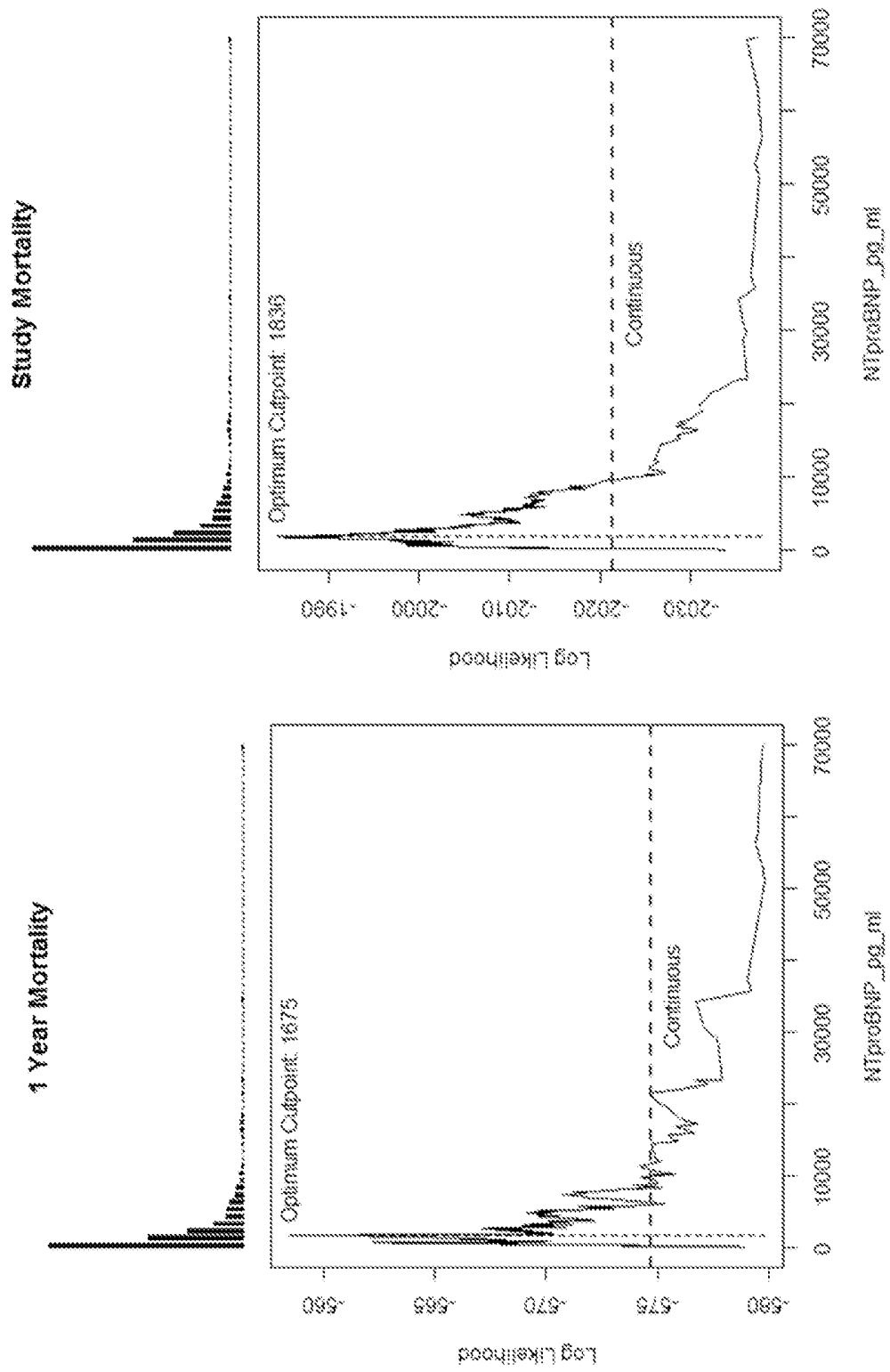


Fig. 5 Linearity Check: Troponin

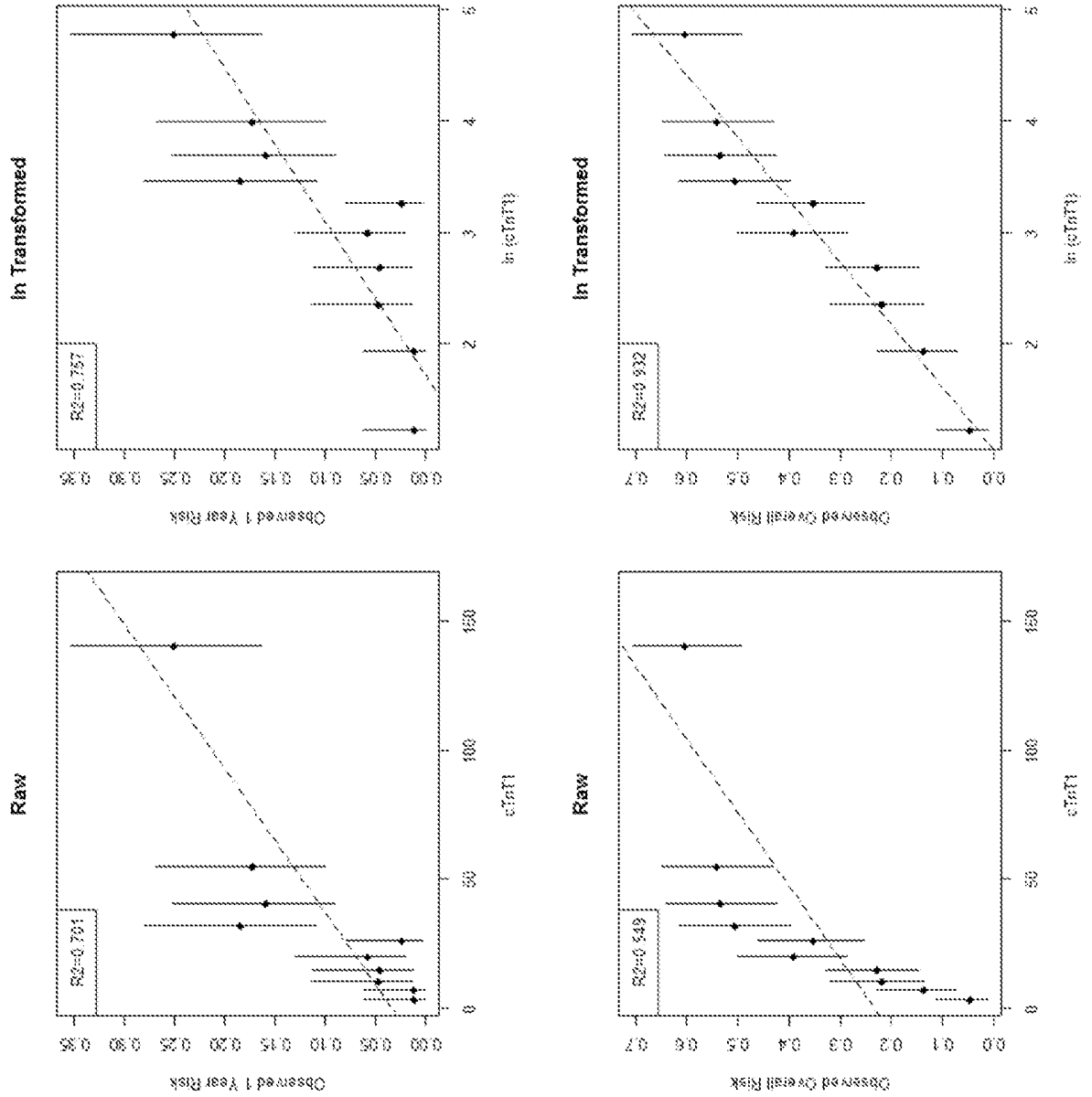


Fig. 6 Cut-Point Evaluation Troponin

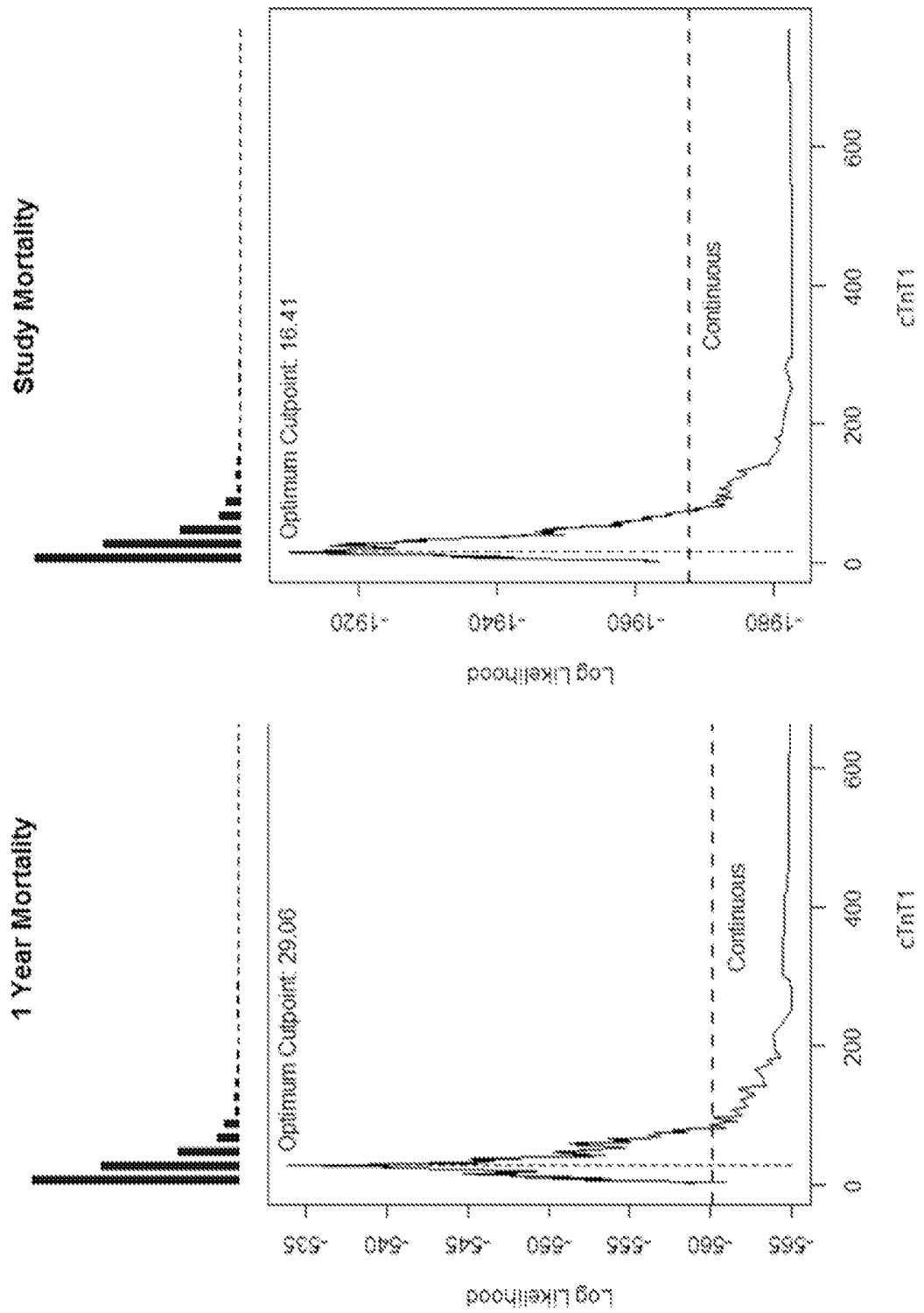


Fig. 7 Linearity Check: Creatinine

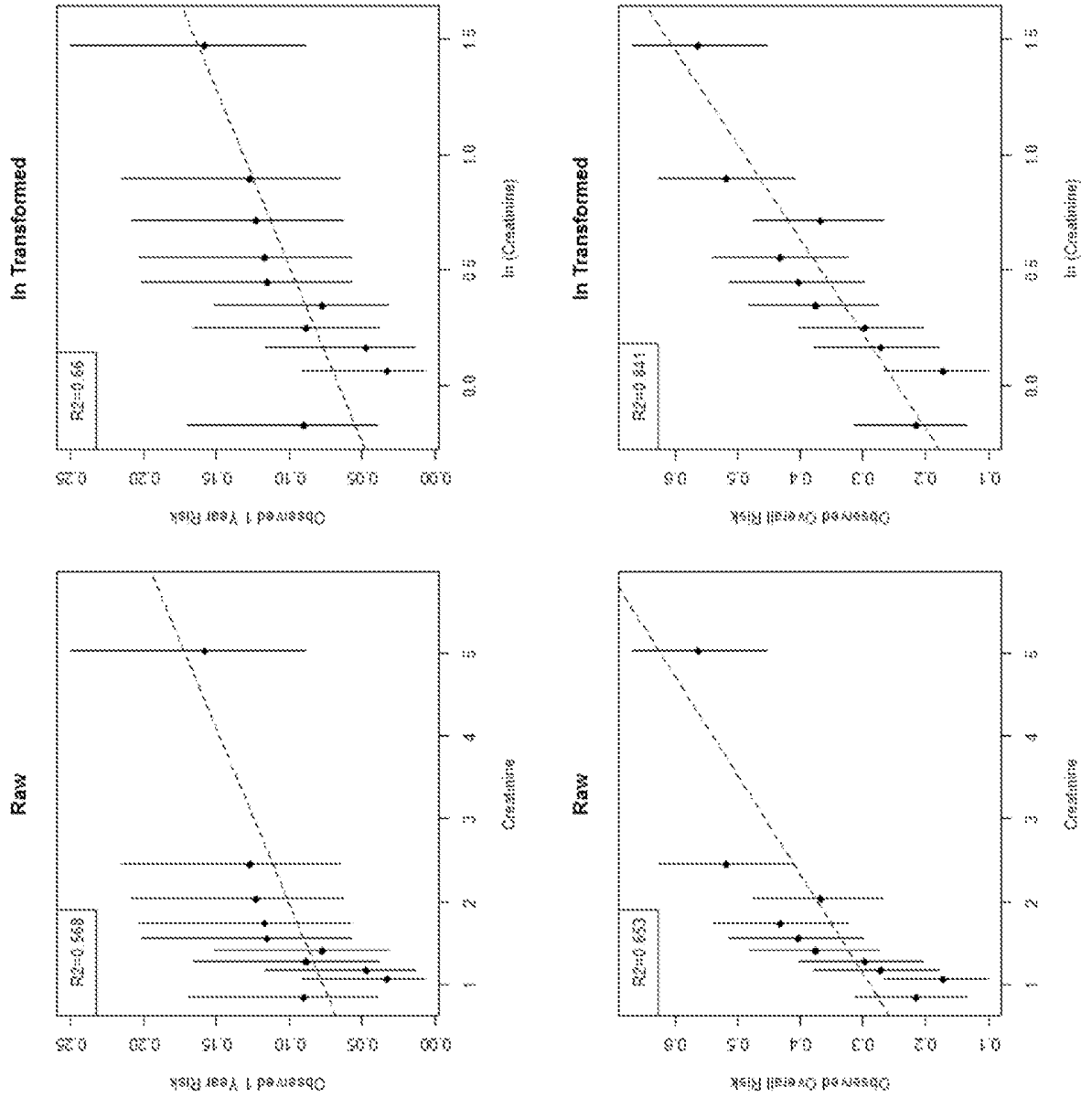


Fig. 8 Cut-Point Evaluation Creatinine

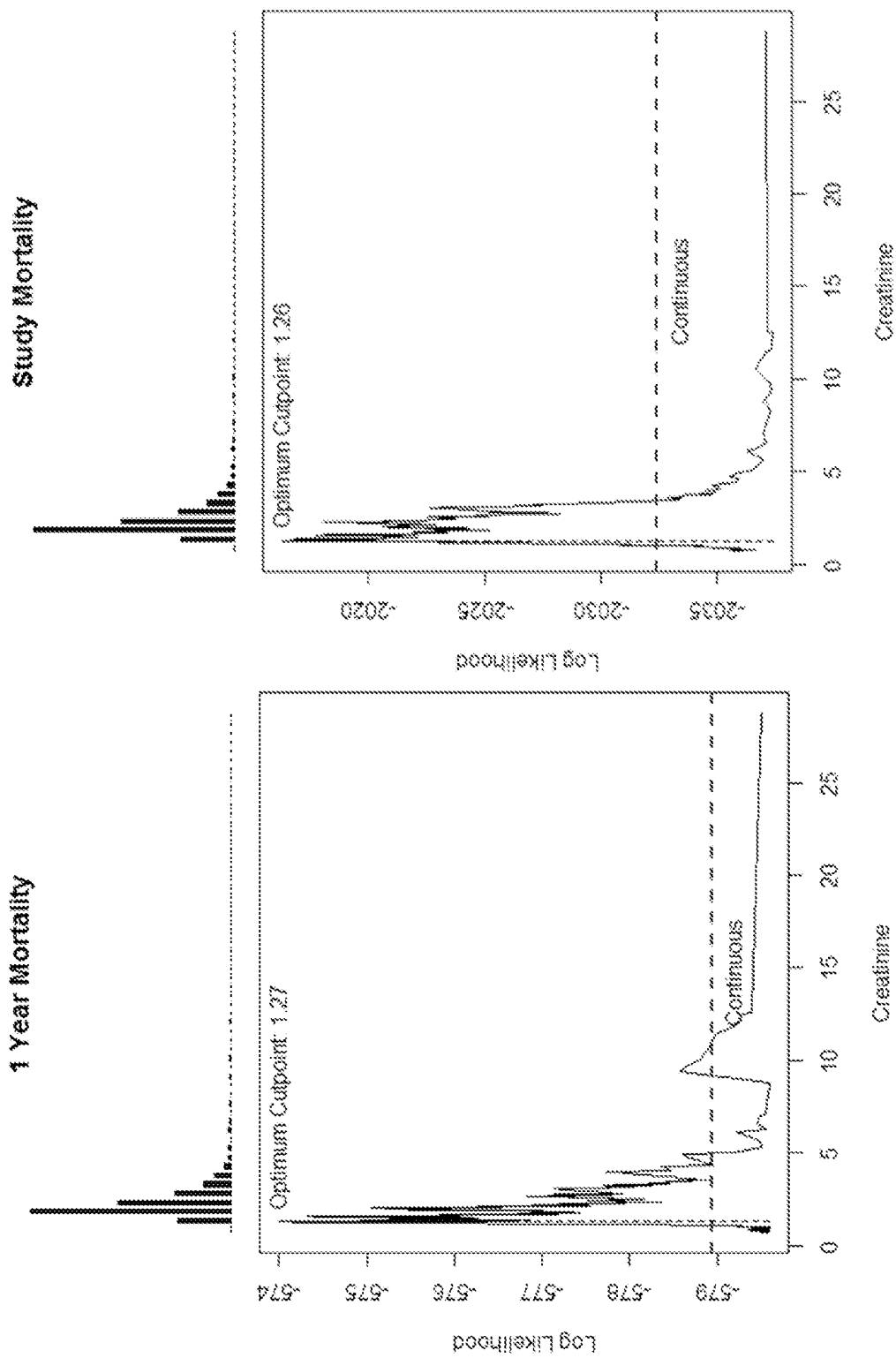


Fig. 9 Linearity Check: eGFR

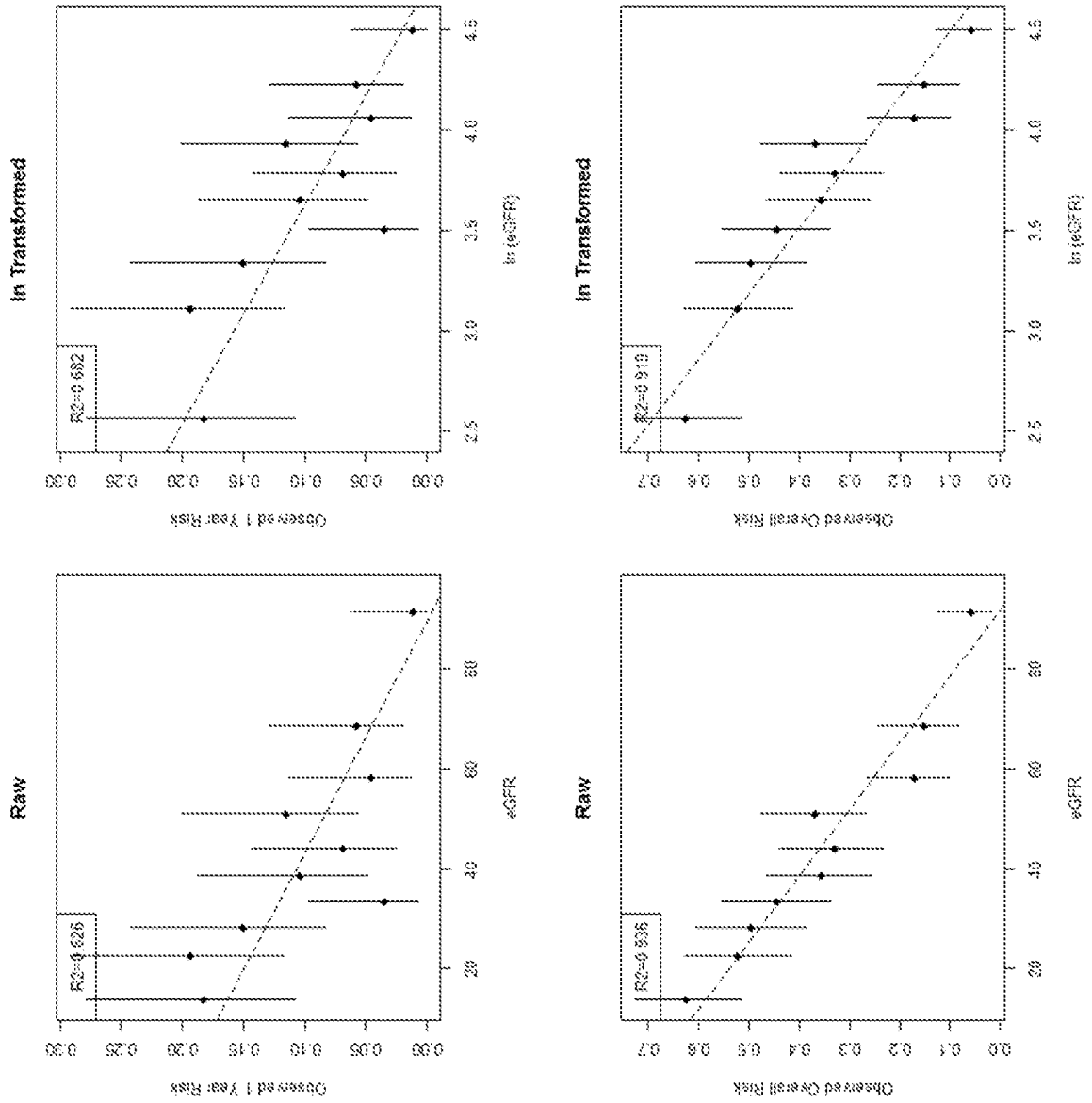


Fig. 10 Cut-Point Evaluation eGFR

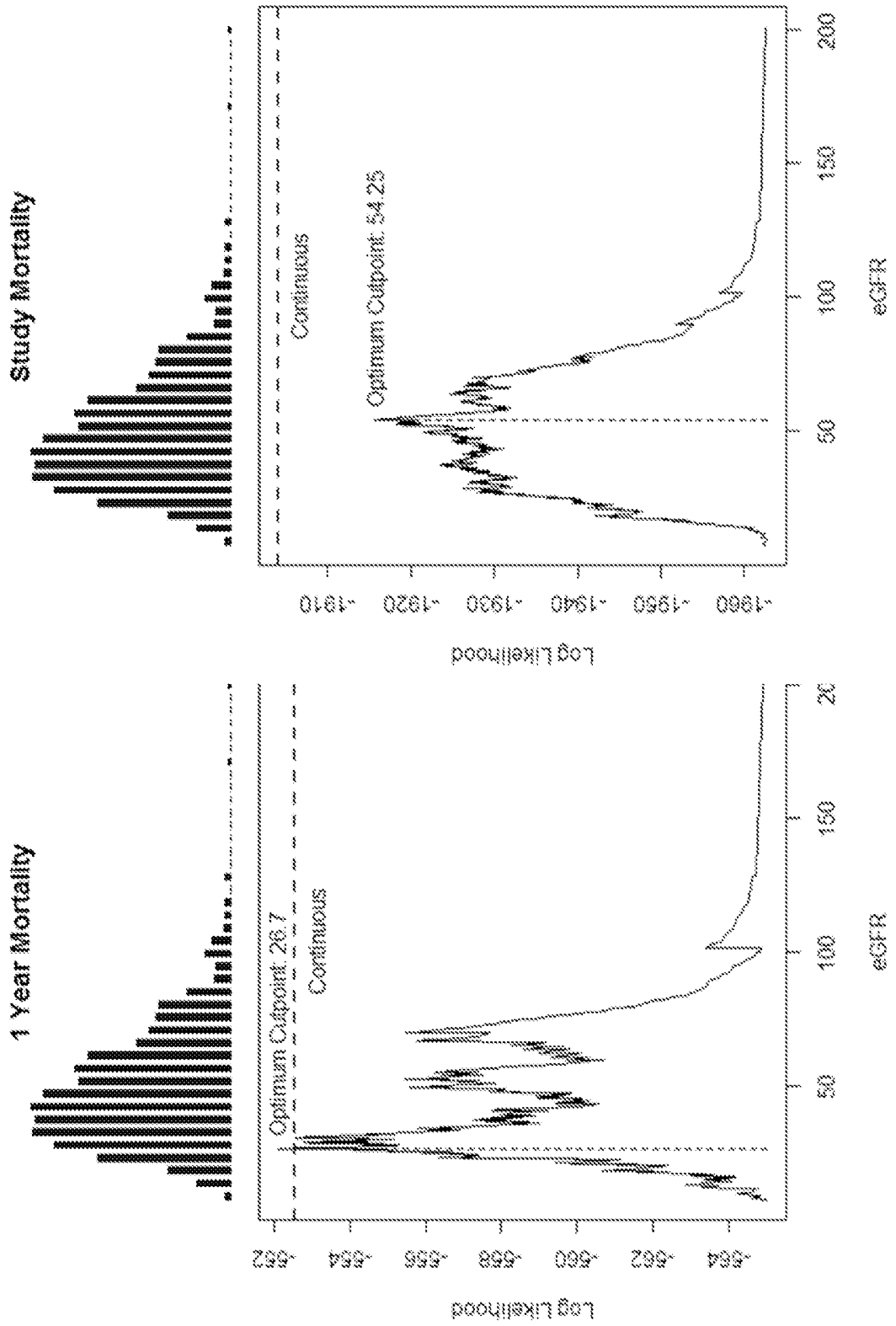


Fig. 11 Linearity Check: SBP

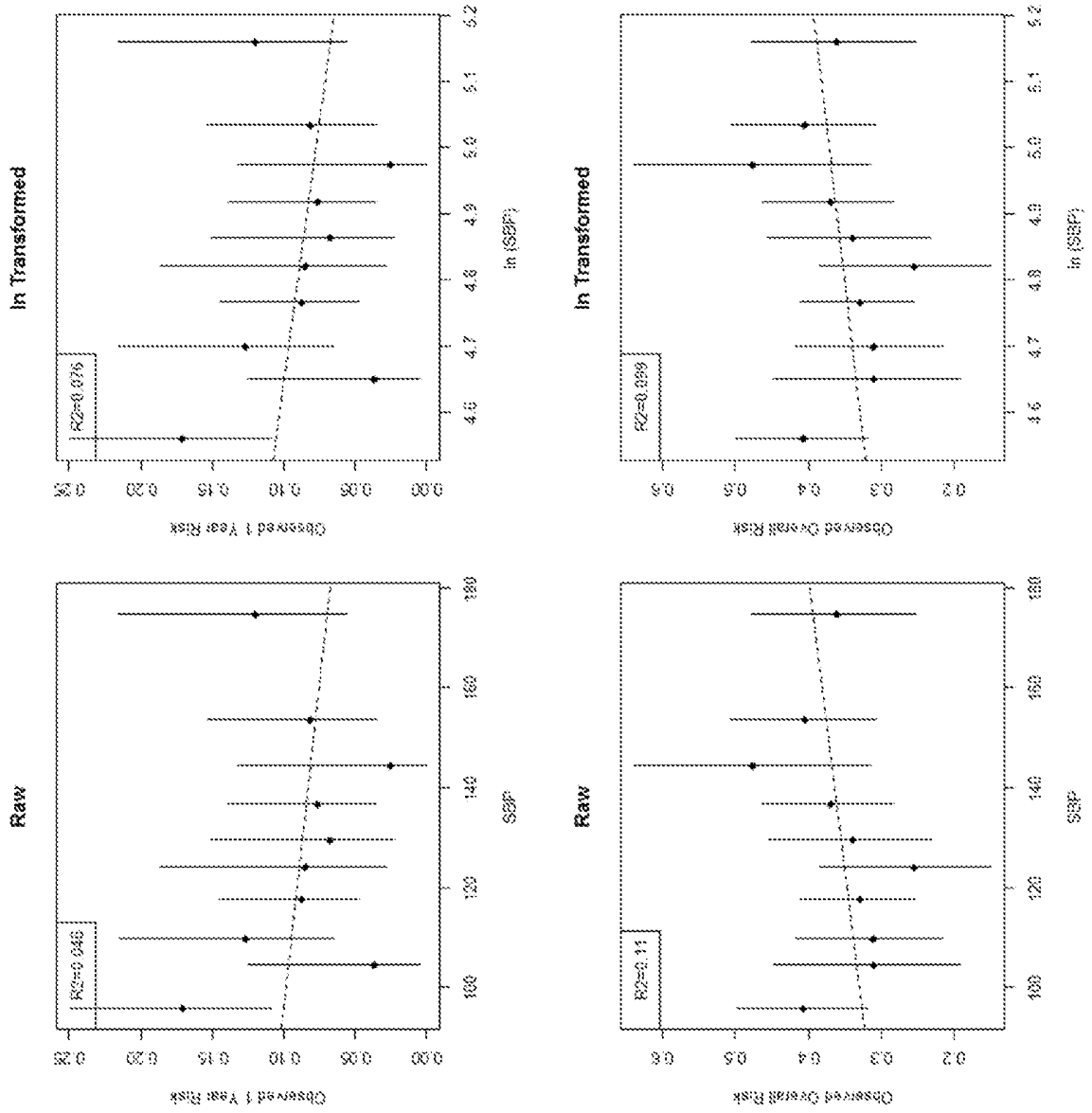


Fig. 12 Cut-Point Evaluation SBP

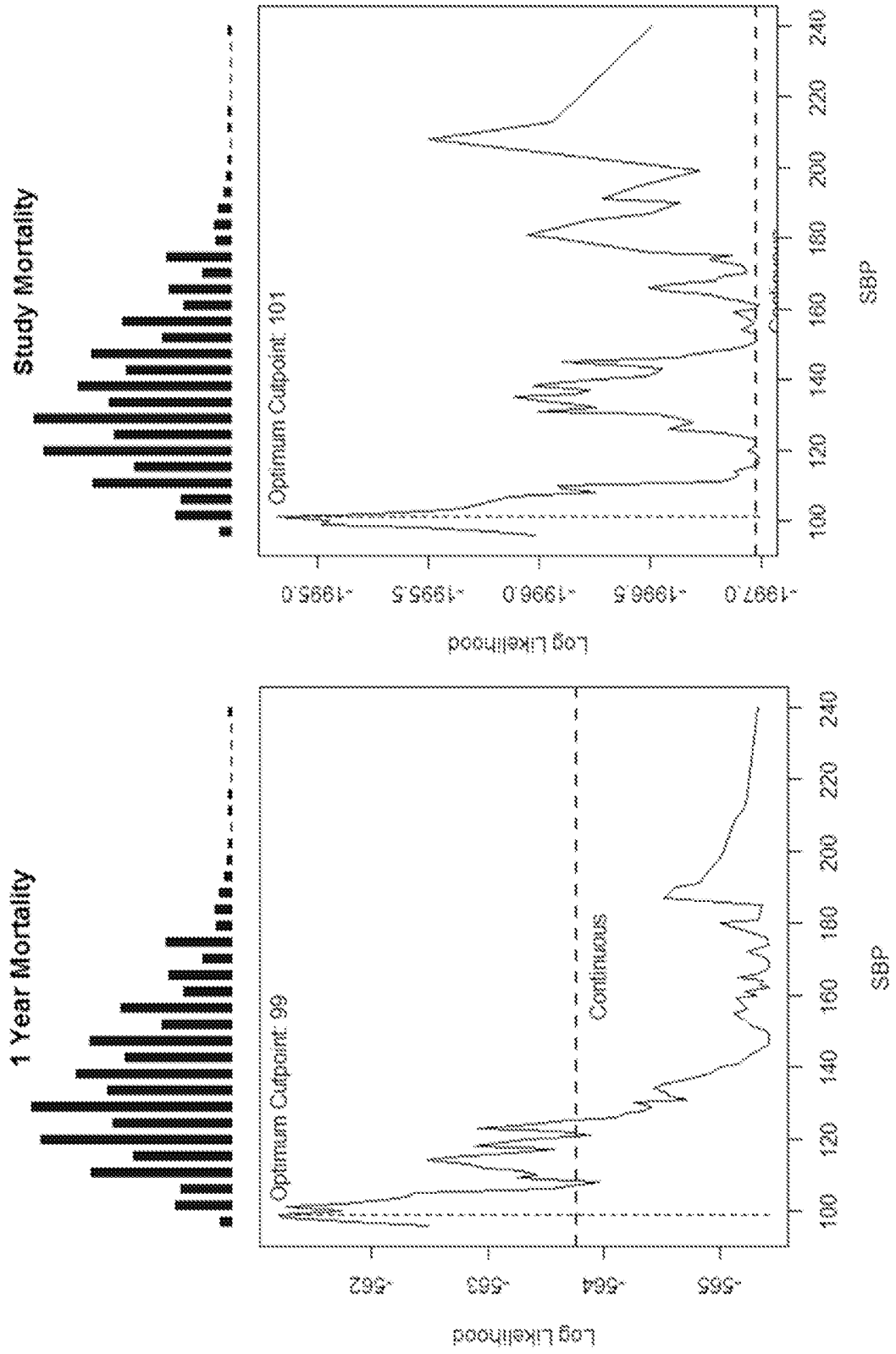


Fig. 13 Linearity Check: DBP

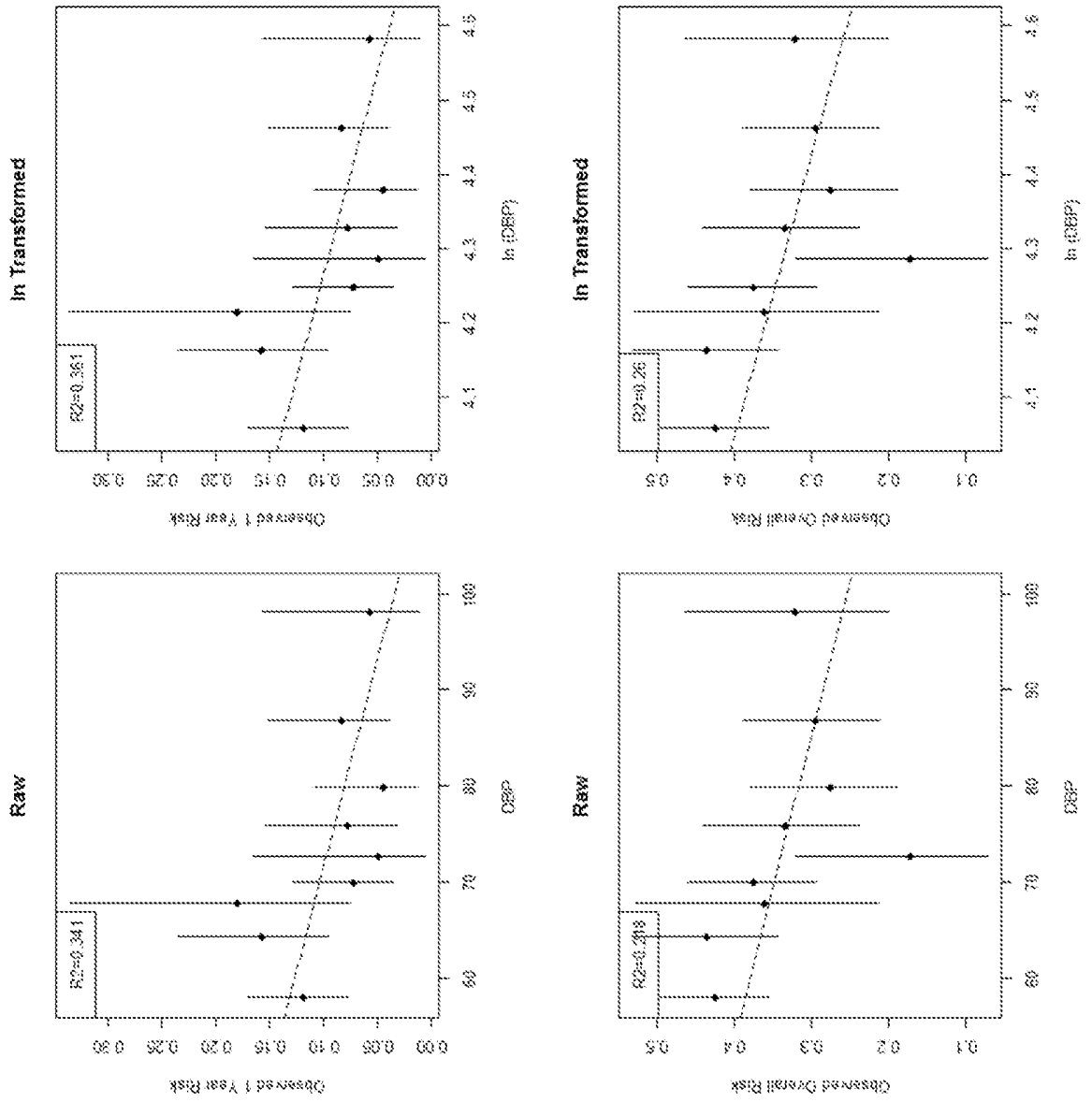


Fig. 14 Cut-Point Evaluation DBP

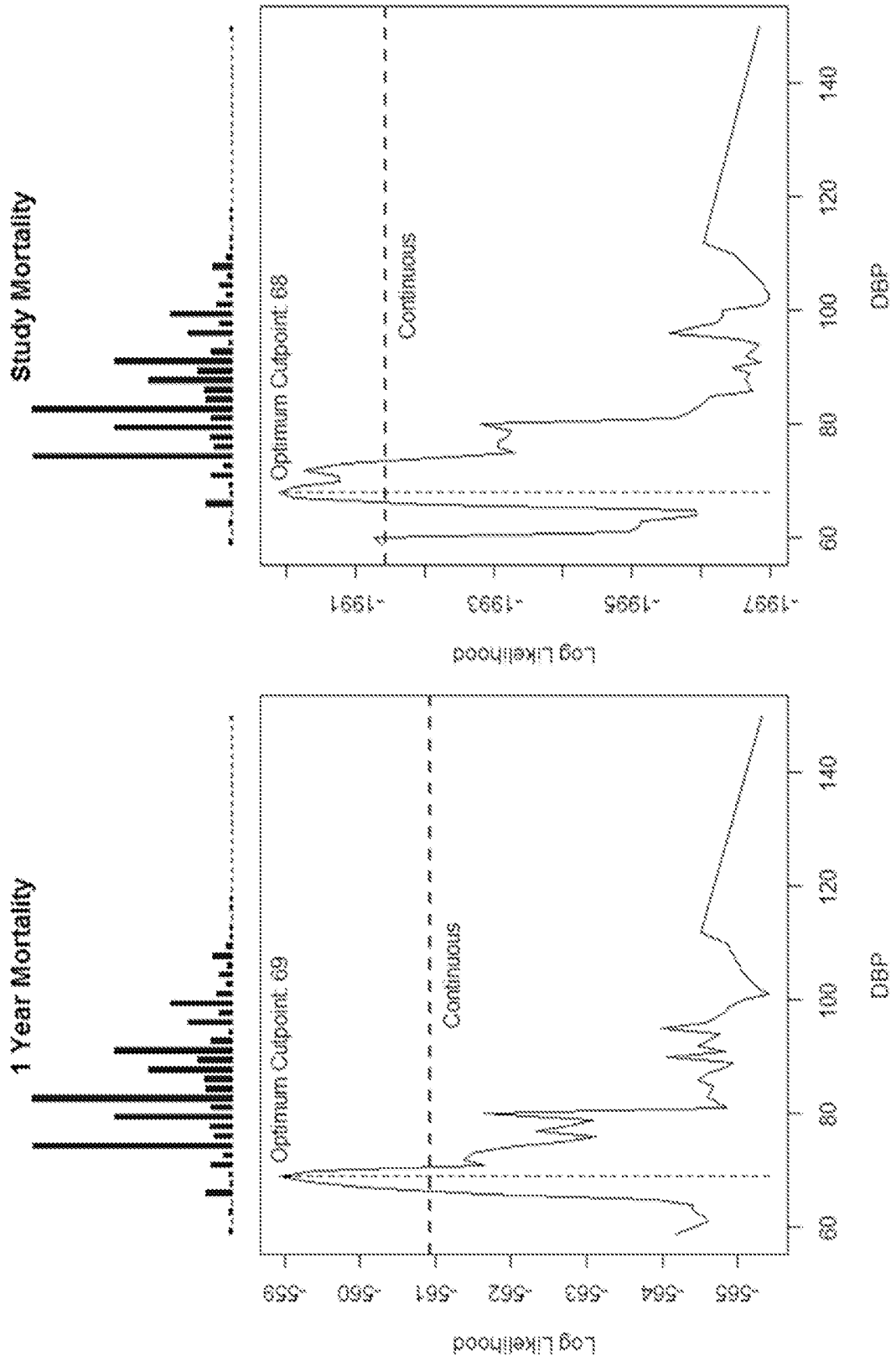


Fig. 15 Linearity Check: Hgb

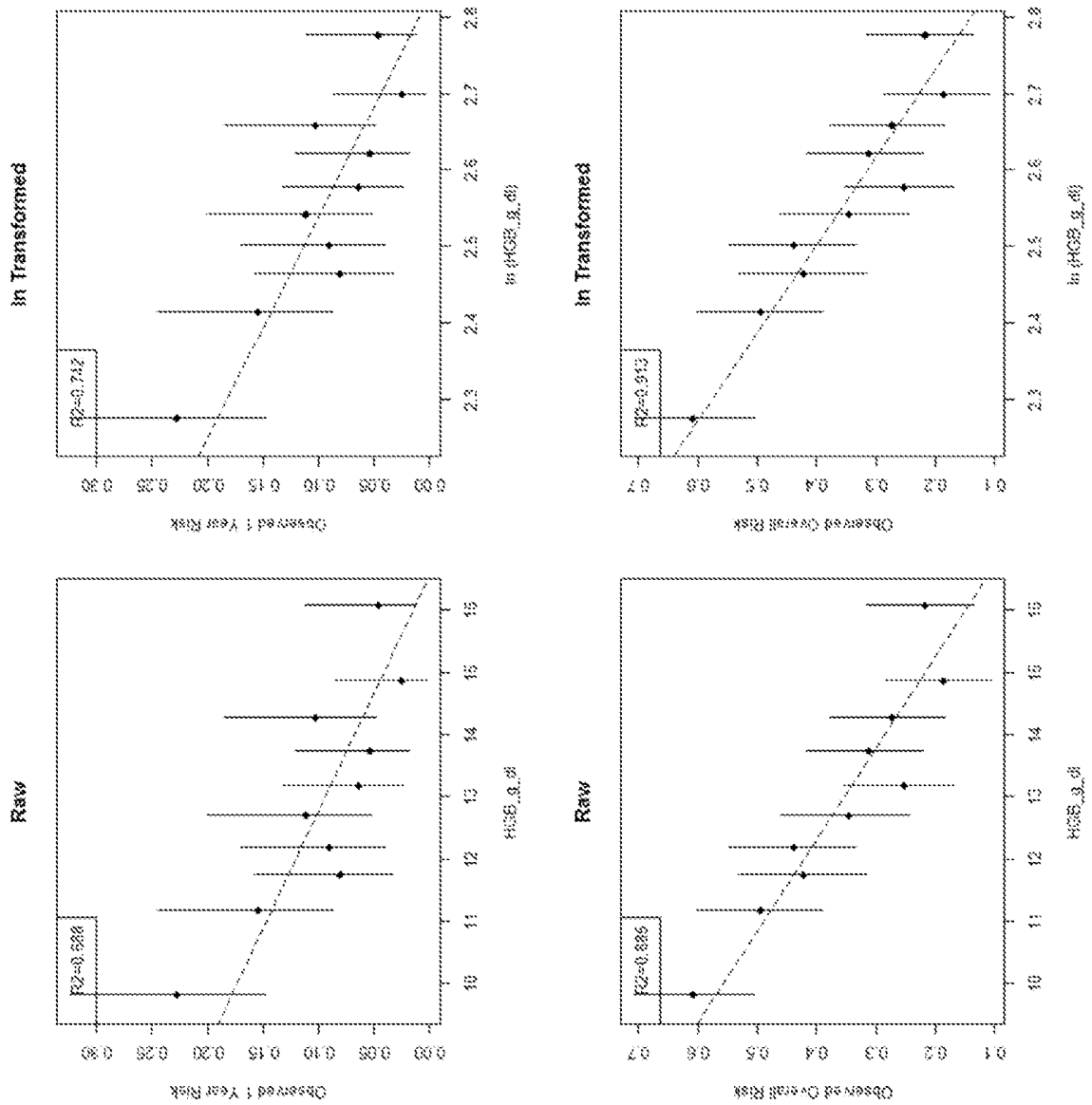


Fig. 16 Cut-Point Evaluation Hgb

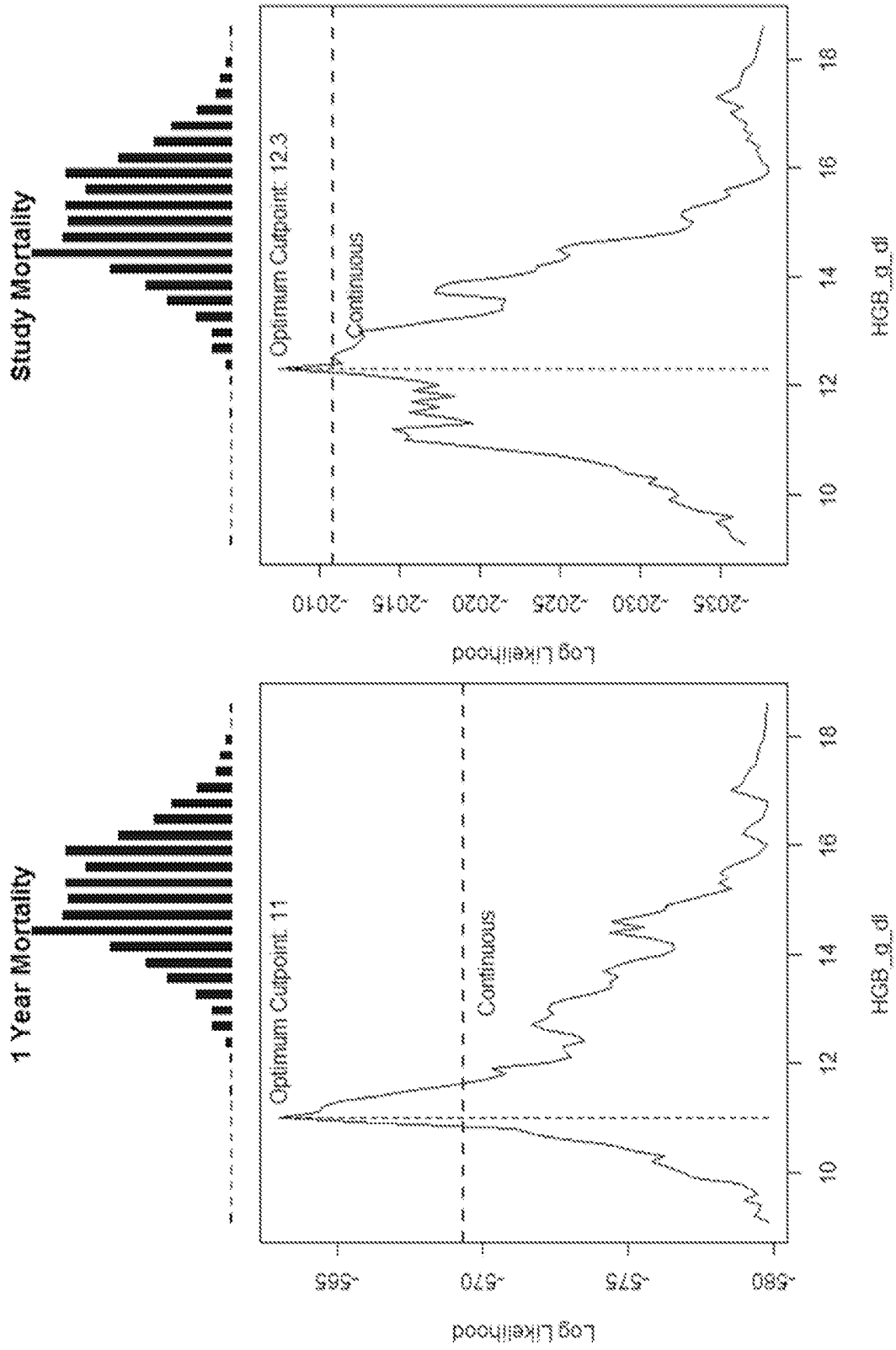


Fig. 17 Linearity Check: Age

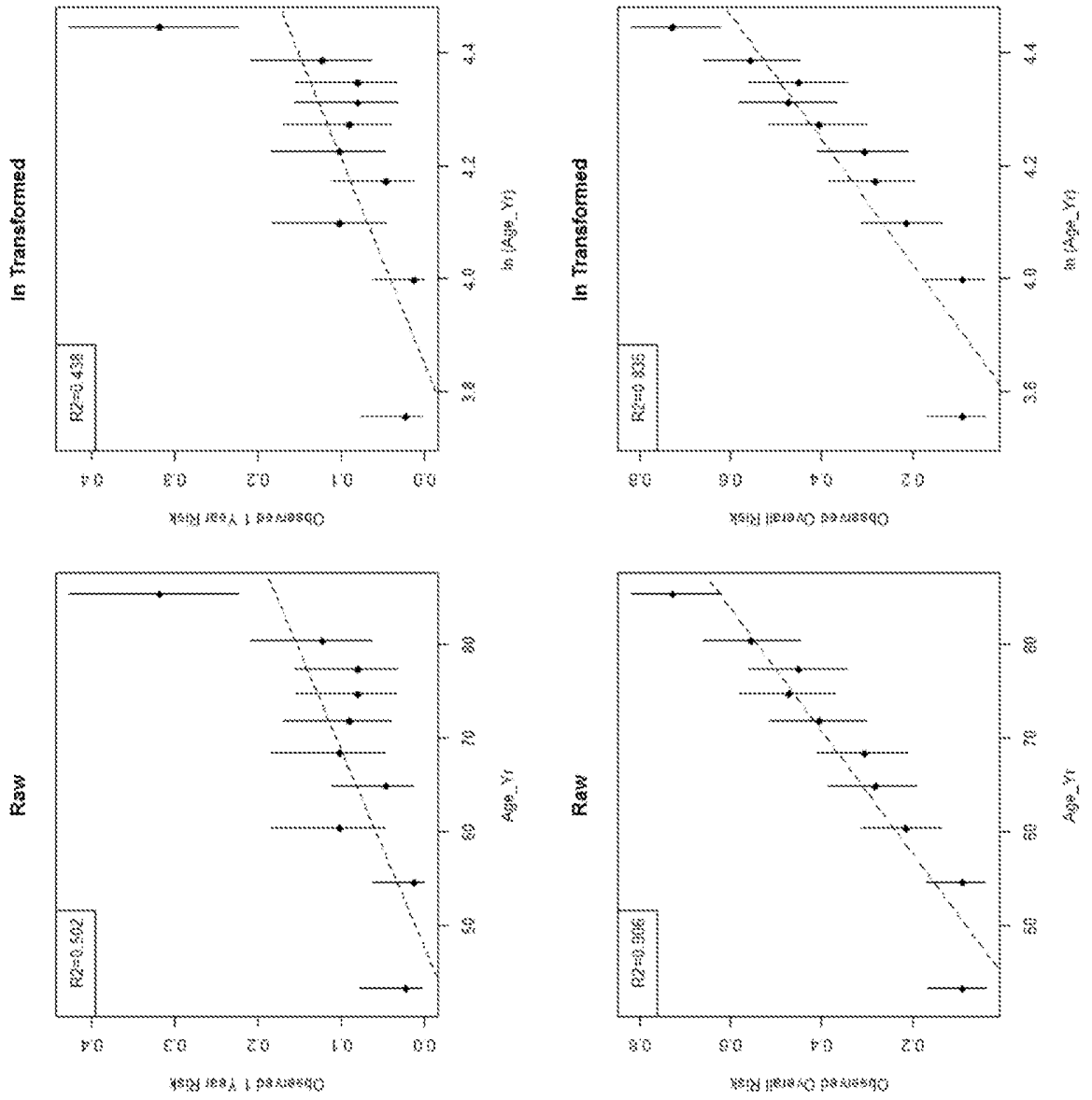


Fig. 18 Cut-Point Evaluation Age

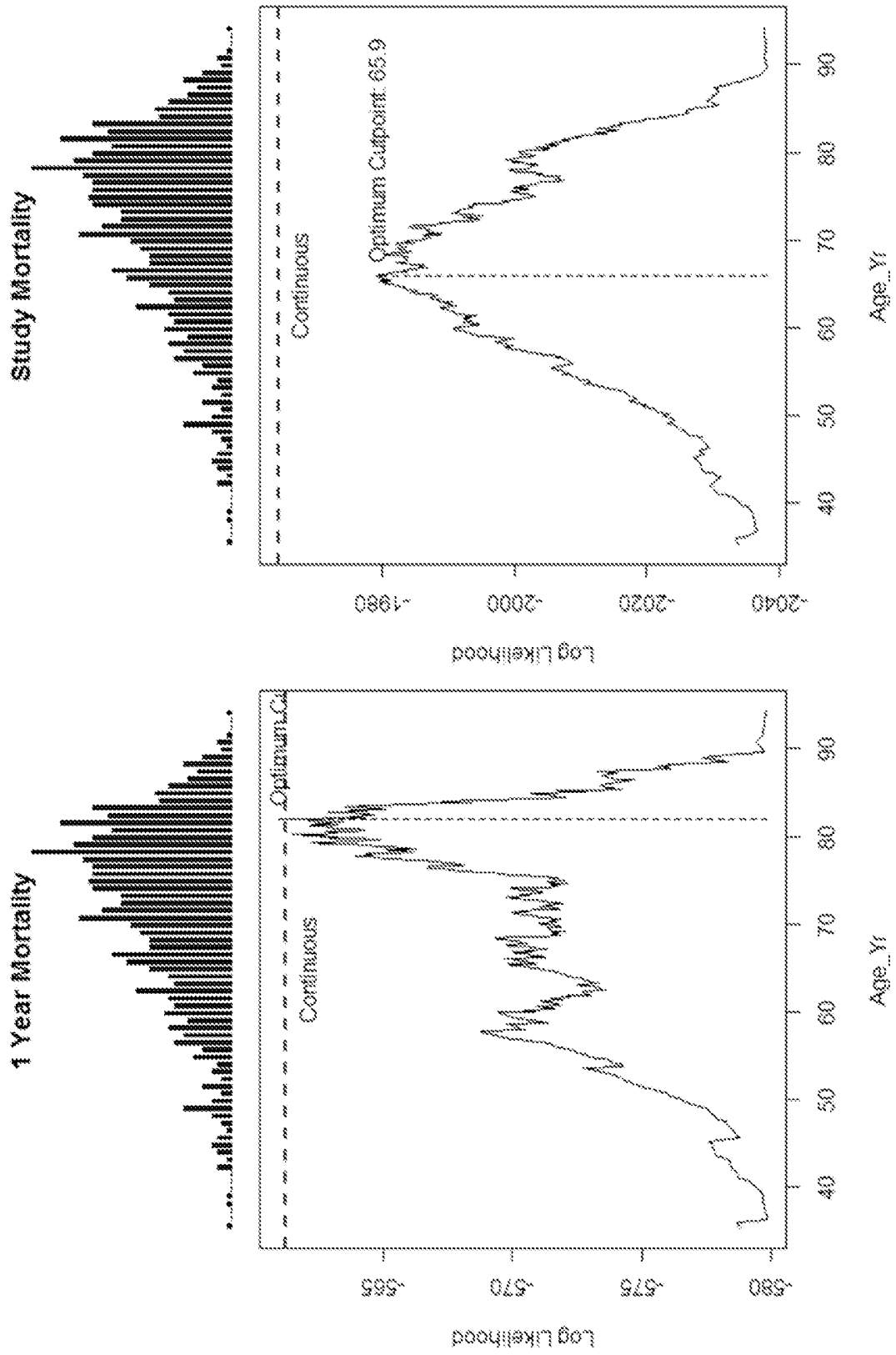


Fig. 19 Linearity Check: ST2

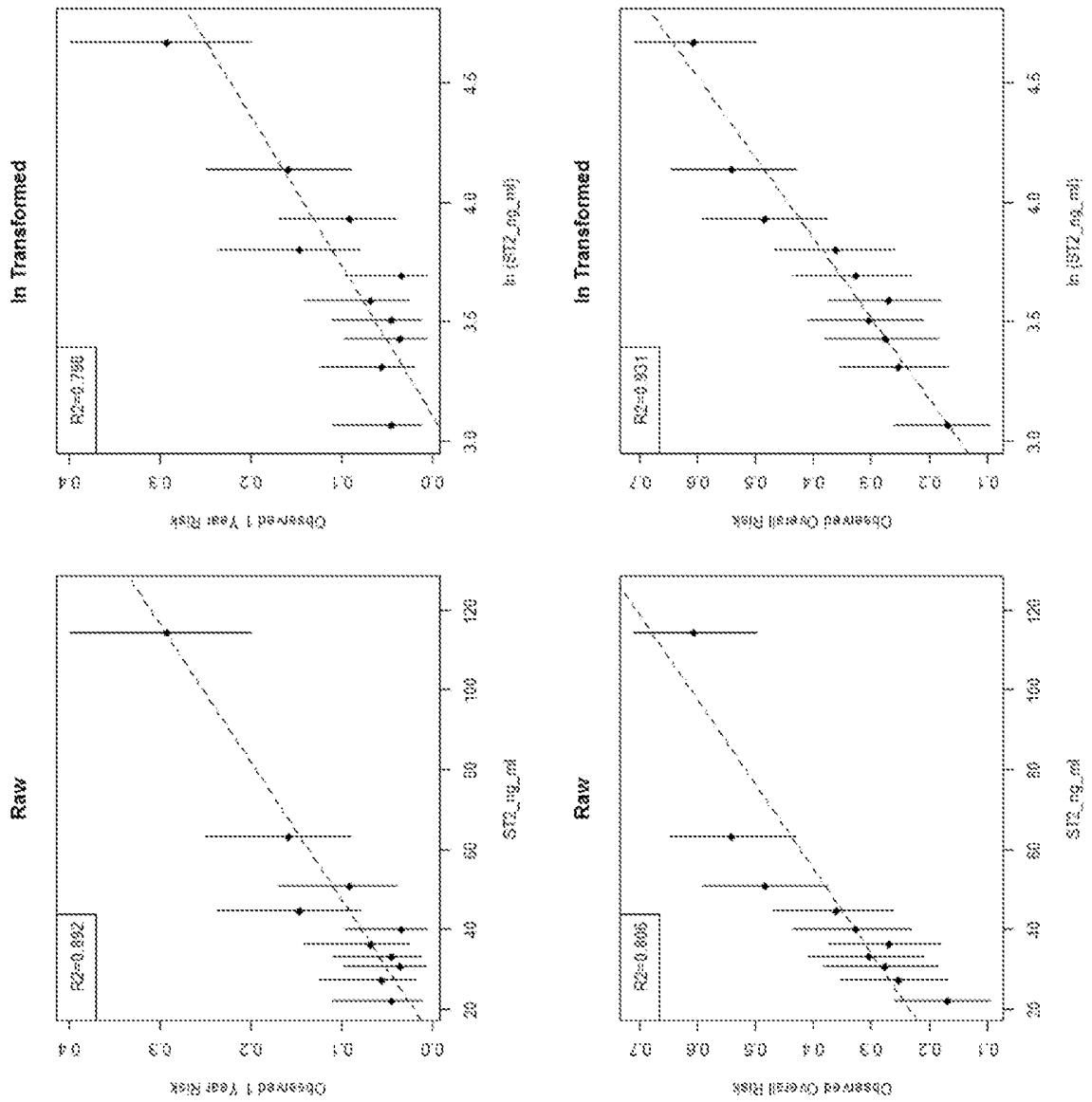


Fig. 20 Cut-Point Evaluation ST2

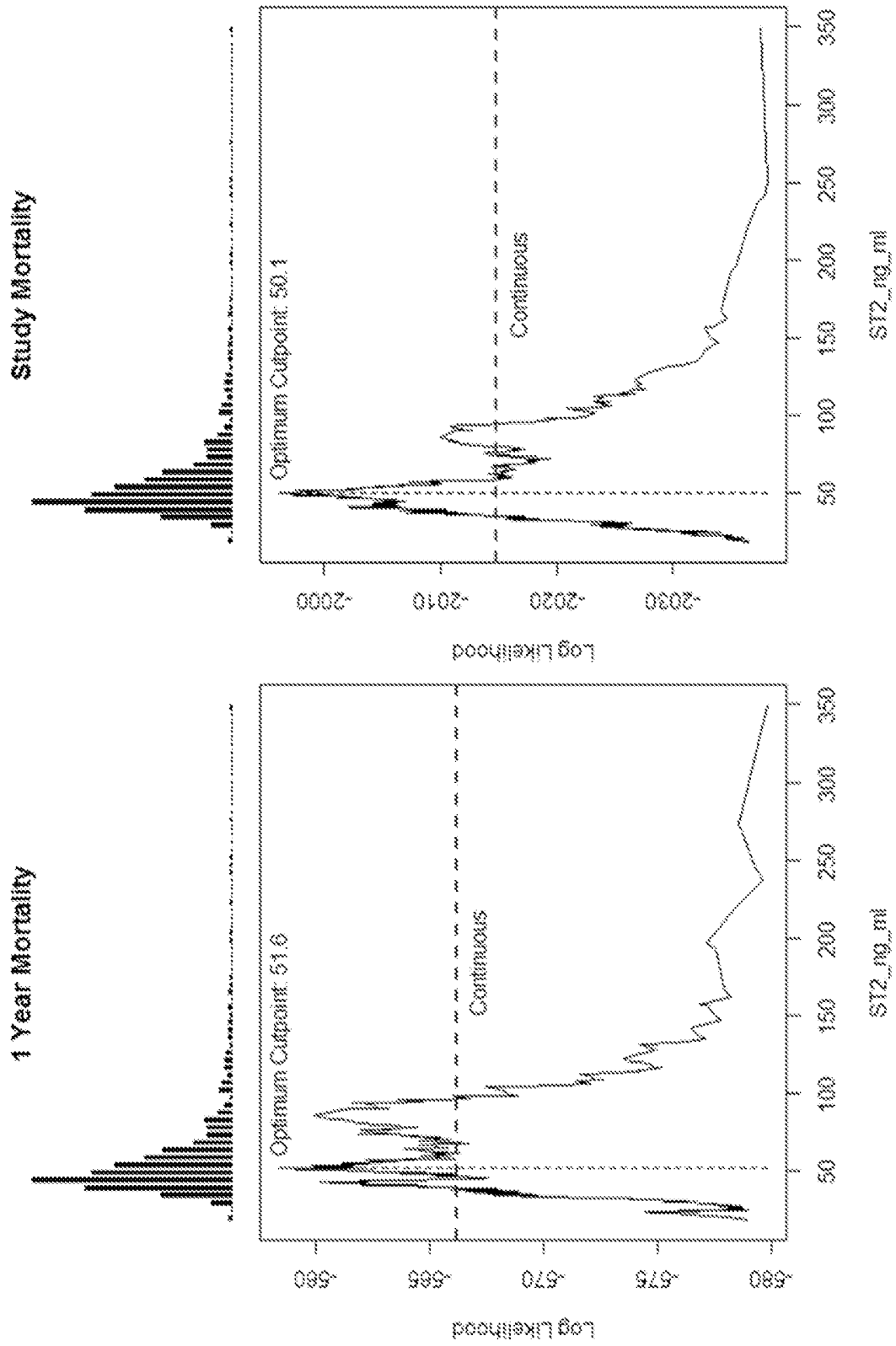


Fig. 21 Linearity Check: LVEF

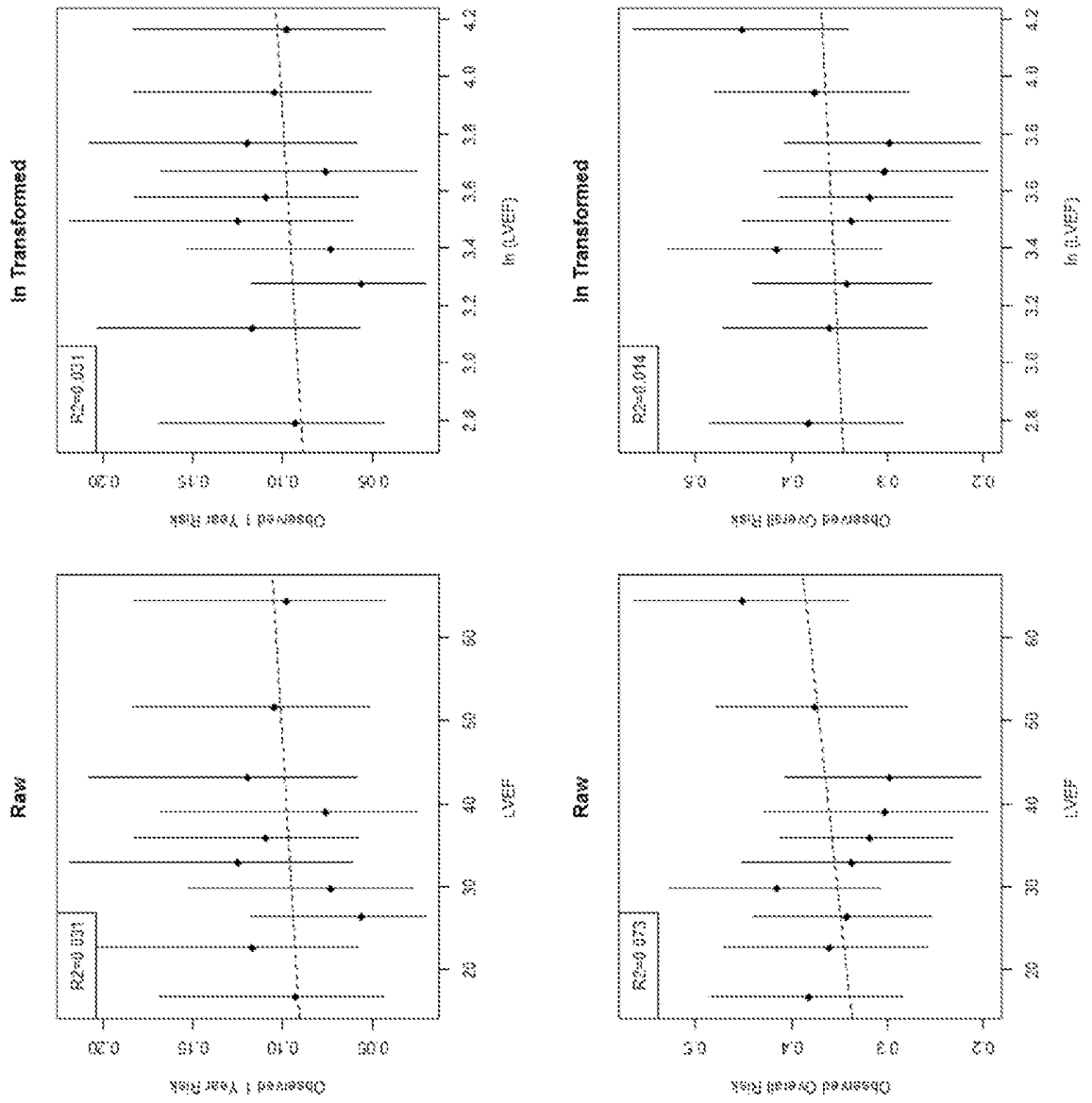


Fig. 22 Cut-Point Evaluation LVEF

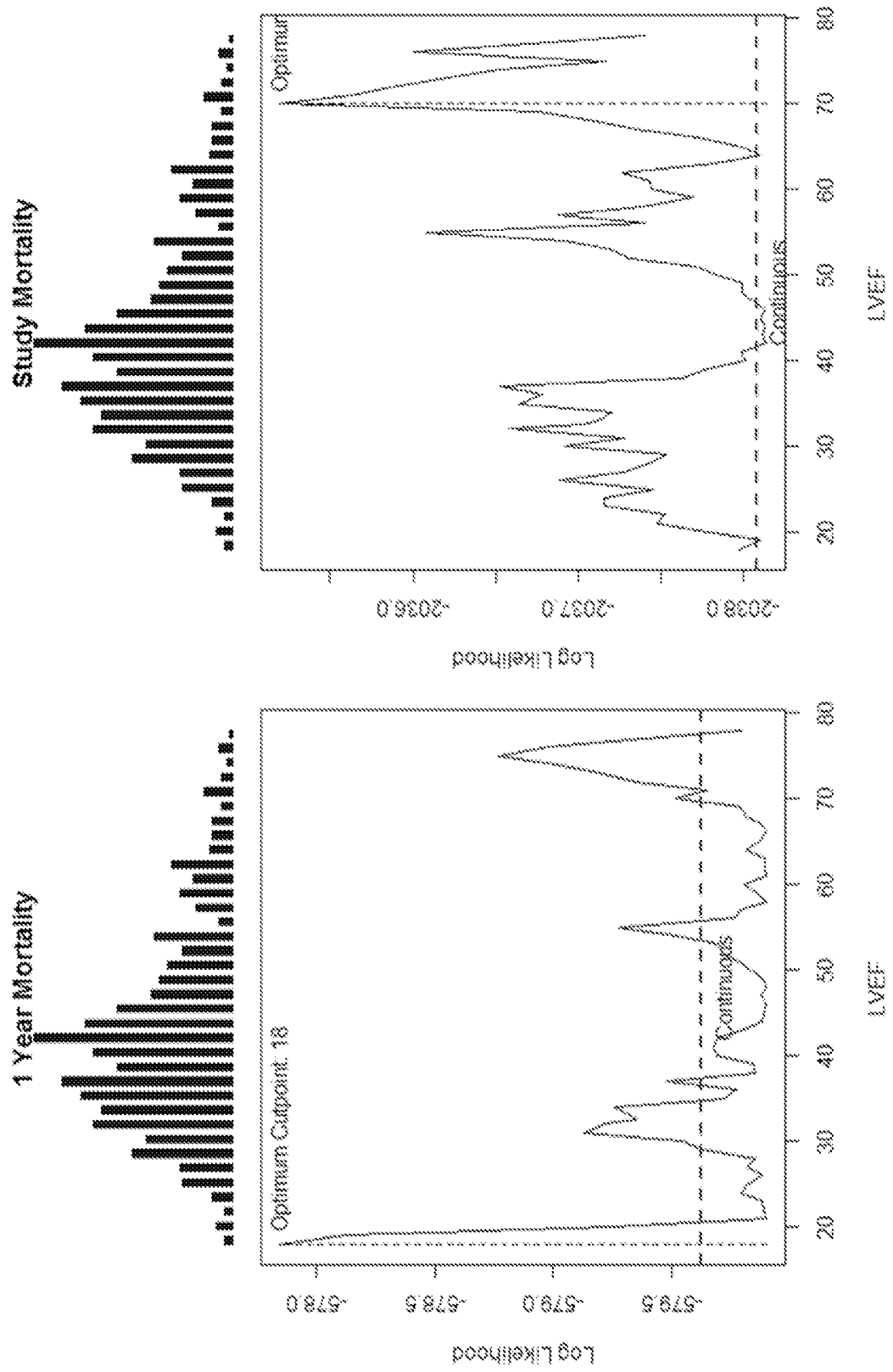


Fig. 23 Linearity Check: BMI

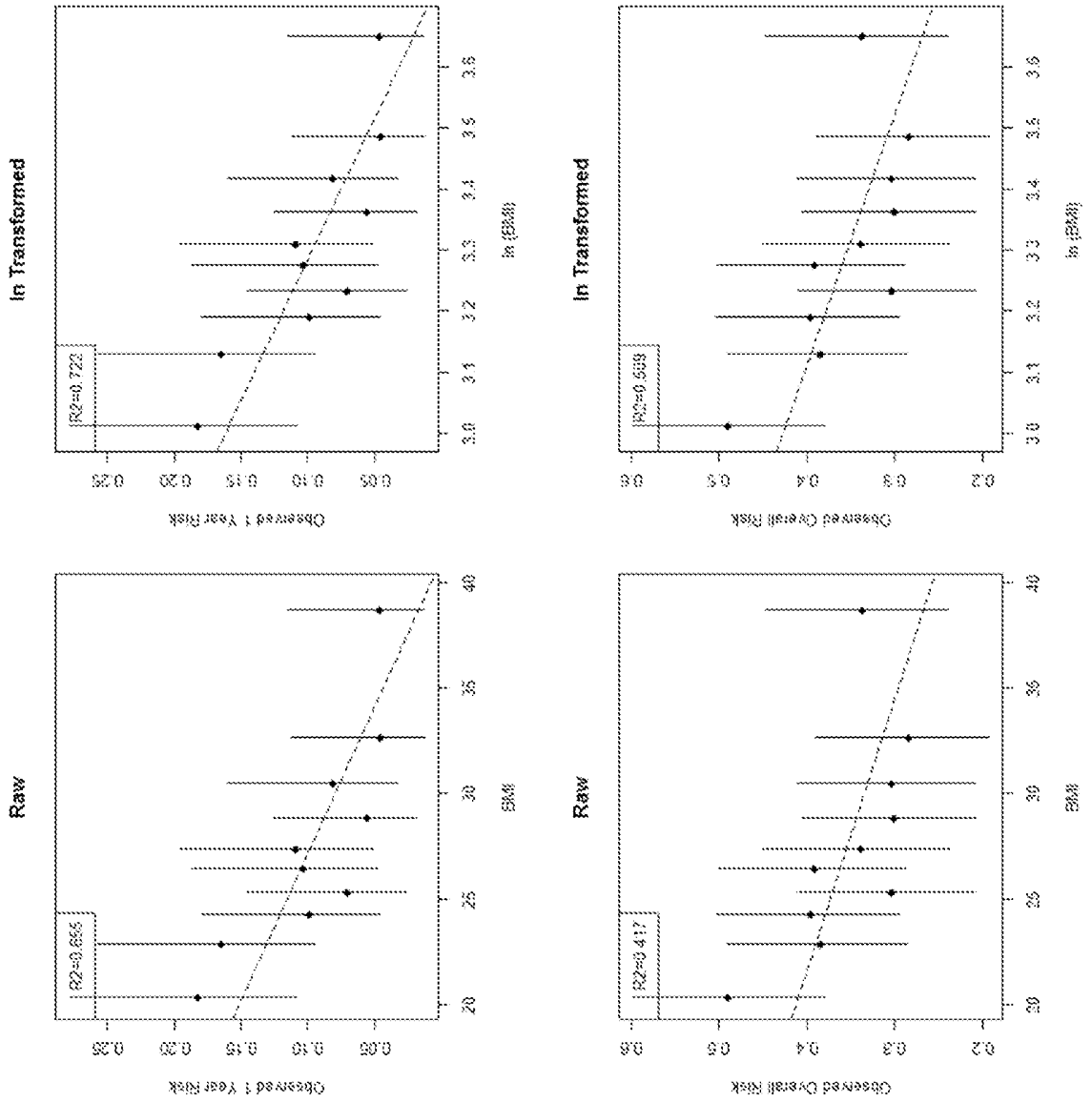


Fig. 24 Cut-Point Evaluation BMI

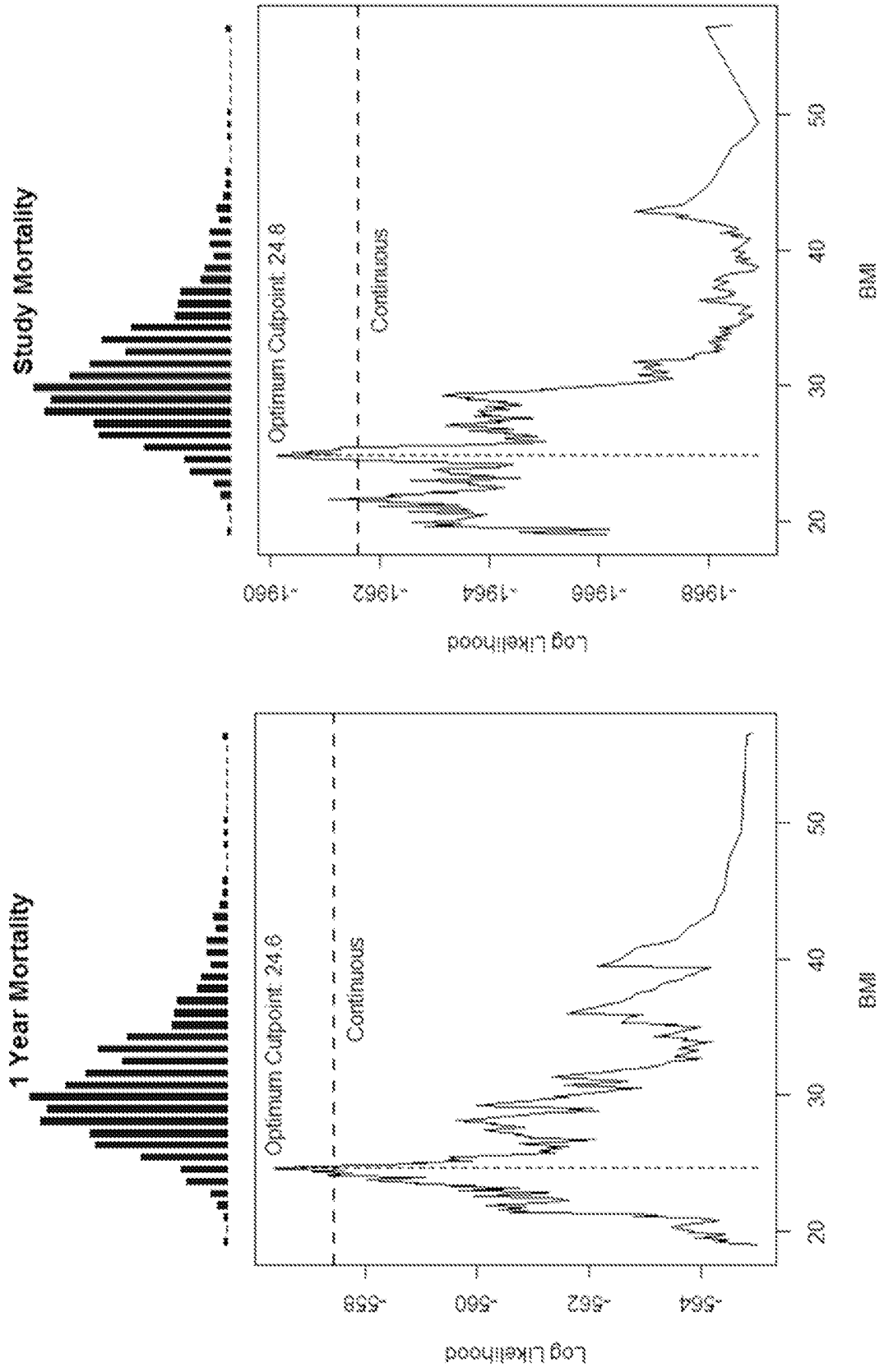


Fig. 25 Summary of Results

Variables	1-Yr Cut	Study-Cut	Selected Cut	Transformed	Comments
NTproBNP_pg_ml	1675	1836	1700	Yes	
cTnT1	29.06	16.41	16	Yes	Consistent with cutpoint identified
Creatinine	1.27	1.26	None	Yes	Not enough observations ion the low group
eGFR	26.7	54.25	50	Yes	Moderate decrease in GFR
SBP	99	101	120	No	Selected for historical comparison
DBP	69	68	None	No	Not enough observations ion the low group
HGB_g_dl	11	12.3	None	Yes	Not enough observations ion the low group
Age_Yr	82	65.9	None	No	Not nearly as good as continuous
ST2_ng_ml	51.6	50.1	35 / 50	Yes	Try two different Cuts
LVEF	18	70	None	No	Not enough observations ion the low group
BMI	24.6	24.8	25	No	Overweight cutoff

Fig. 26 Summary of Variables

- Continuous
 - Ln NT-proBNP
 - LN Troponin
 - LN Creatinine
 - LN eGFR
 - SBP
 - DBP
 - LN Hgb
 - Age
 - LN ST2
 - LVEF
 - BMI
- Discrete
 - NT-proBNP \geq 1700
 - Troponin \geq 16
 - eGFR $<$ 50
 - SBP $<$ 120
 - ST2 \geq 35
 - ST2 \geq 50
 - ST2 3 Groups
 - BMI \geq 25
 - NYHA \geq 3
 - Sex
 - CAD
 - Diabetes
 - Hypertension

**Fig. 27 Top 10 Models
1 Year Outcomes (Size =1-5)**

Model No K	Standardized Hazard Ratios										Model Fit				Discrimination							
	Age_Yr	lnST2	ST2gt50	ST2.3g	Troponin	TRoPonINgt1.6	NHAgte3	SBPtt120	CAD	BMI	BMIgt25	lnNTpro	InCreat	lnHGB	Diabetes	logLik	AIC	BIC	AUC	bsAUC	LCI	UCI
13488 5	1.66	1.48			1.53	1.29	0.85									-532.0	1074.1	1098.0	0.802	0.795	0.734	0.855
16905 5	1.74	1.45			1.45	1.29	1.18									-532.0	1074.1	1098.0	0.804	0.794	0.738	0.850
11944 5	1.76	1.50			1.51				0.81		1.18					-534.0	1077.9	1101.9	0.801	0.792	0.731	0.854
16189 5	1.69	1.47			1.50	1.30					0.99					-533.2	1076.4	1100.3	0.800	0.792	0.728	0.856
16825 5	1.61	1.42			1.46	1.36					1.16					-533.8	1077.7	1101.6	0.795	0.792	0.725	0.859
13506 5	1.64	1.54			1.64	1.32	0.87									-533.3	1076.6	1100.6	0.799	0.792	0.713	0.871
3524 4	1.65			1.57	1.57	1.34										-534.0	1076.0	1095.2	0.796	0.790	0.734	0.846
16987 5	1.68	1.43			1.53	1.35	1.19									-533.1	1076.2	1100.1	0.798	0.789	0.732	0.847
11372 5	1.62	1.45			1.43	1.32			0.78							-531.3	1072.6	1096.5	0.804	0.789	0.715	0.863
16650 5	1.84	1.48			1.46	1.22					0.78					-532.1	1074.2	1098.2	0.797	0.789	0.723	0.855

Markers Not Selected:

Ln (eGFR)

SBP

DBP

LVEF

NT-proBNP>=1700

GFR<50

ST2 >= 35

Sex

Hypertension

Fig. 28 Top 10 3 Parameter Models
1 Year Outcome

Model No	K	Standardized Hazard Ratios						Model Fit				Discrimination				
		ST2 lnST2	ST2gt50	Age_Yr	Troponin lnTroponin	Troponin TROPNINgt16	NHAgte3	NTBNPgt1700	BMI	BMIgt25	logLik	AIC	BIC	AUC	bsAUC	LCI
476	3	1.62		1.81			1.47						0.781	0.780	0.716	0.844
477	3	1.62		1.84				1.38					0.789	0.779	0.725	0.832
481	3	1.60		1.78					1.70				0.786	0.778	0.706	0.849
474	3	1.53		1.80									0.789	0.777	0.710	0.844
320	3	1.69		1.91					0.80				0.782	0.776	0.714	0.838
1933	3	1.52			1.51		1.39						0.773	0.775	0.709	0.841
1934	3	1.48			1.59			1.39					0.783	0.774	0.705	0.844
299	3	1.68		1.88					0.74				0.778	0.773	0.700	0.847
1978	3		1.49		1.67		1.45						0.779	0.773	0.708	0.838
490	3		1.46	1.76	1.69								0.782	0.771	0.693	0.850

Markers Not Selected: SBP GFR<50
 Ln (NT-proBNP) DBP SBP<120
 Ln Creatinine Ln (Hgb) ST2 >= 35
 Ln (eGFR) LVEF ST2 3 Groups

Fig. 29 Selected Models Based on AIC

Fitted AUC:0.821		Fitted AUC:0.818	
bsAUC: 0.751 [0.677-0.824]		bsAUC: 0.751 [0.677-0.824]	
Backward Selection		Stepwise Selection	
	HR	95% CI	P
In (Troponin)	1.34	1.01-1.78	0.0423
In (Creatinine)	0.08	0.02-0.26	<0.0001
In (eGFR)	0.09	0.03-0.28	<0.0001
In (Hgb)	0.28	0.07-1.03	0.056
In (ST2)	2.05	1.37-3.08	0.0005
LVEF	1.02	1-1.04	0.028
NTproBNP>=1700	1.53	0.87-2.66	0.1377
SBP<120	1.55	0.98-2.44	0.06
NYHA>=3	1.67	1.06-2.64	0.028
Sex	3.76	1.95-7.26	<0.0001
Diabetes	1.44	0.92-2.25	0.11
Fitted AUC:0.818		Fitted AUC:0.818	
bsAUC: 0.751 [0.677-0.825]		bsAUC: 0.751 [0.677-0.825]	
Forward Selection		Stepwise Selection	
	HR	95% CI	P
In (Troponin)	1.43	1.11-1.84	0.0053
In (ST2)	2.26	1.51-3.38	<0.0001
Age	1.05	1.02-1.07	0.0002
NYHA>=3	1.69	1.07-2.65	0.0239
In (HGB)	0.27	0.07-1.02	0.0535
BMI>=25	0.63	0.41-0.98	0.0408
SBP<120	1.46	0.93-2.28	0.0977
Diabetes	1.6	1.01-2.53	0.047
CAD	0.68	0.44-1.06	0.0855
Hypertension	0.67	0.41-1.07	0.0942

Fig. 30 Selected Models Based on BIC 1 Year Outcome

Fitted AUC:0.818

bsAUC: 0.796 [0.756-0.836]

Backward Selection			
	HR	95% CI	P
In (Troponin)	1.45	1.12-1.88	0.0043
In (Creatinine)	0.09	0.03-0.29	<0.0001
In (eGFR)	0.09	0.03-0.27	<0.0001
In (ST2)	2.23	1.48-3.35	0.0001
NYHA>=3	1.86	1.18-2.92	0.0074
Sex	3	1.62-5.57	0.0005

Fitted AUC:0.817

bsAUC: 0.797 [0.757-0.837]

Stepwise Selection			
	HR	95% CI	P
In (Troponin)	1.49	1.18-1.89	0.0008
In (ST2)	2.34	1.59-3.47	<0.0001
Age	1.04	1.02-1.07	0.0004
NYHA>=3	1.82	1.16-2.85	0.0091

Fitted AUC:0.817

bsAUC: 0.798 [0.758-0.837]

Forward Selection			
	HR	95% CI	P
In (Troponin)	1.49	1.18-1.89	0.0008
In (ST2)	2.34	1.59-3.47	<0.0001
Age	1.04	1.02-1.07	0.0004
NYHA>=3	1.82	1.16-2.85	0.0091

Fig. 32 Top 10 3 Parameter Models
Study Outcomes

Model No	K	Age_Yr	ST2	Trponin	Model Fit	Discrimination
514	3	1.73	ST2.3g	TRPONINg16	logLik AIC BIC	AUC bsAUC LCI UCI
513	3	1.89	1.48	1.81	-1904.8 3815.6 3830.0	0.799 0.797 0.763 0.831
511	3	1.90	1.53		-1913.0 3832.0 3846.4	0.799 0.795 0.757 0.832
477	3	1.90		1.44	-1914.0 3833.9 3848.3	0.794 0.794 0.756 0.832
488	3	1.85	1.39	1.69	-1915.8 3837.7 3852.0	0.798 0.794 0.754 0.833
531	3	1.82	1.38	1.77	-1900.2 3806.3 3820.7	0.793 0.793 0.754 0.831
490	3	1.84	1.35	1.70	-1903.2 3812.4 3826.7	0.795 0.792 0.755 0.829
484	3	1.79		1.72	-1901.2 3808.4 3822.8	0.796 0.791 0.751 0.831
529	3	1.83	1.43	1.75	-1903.2 3812.4 3826.7	0.797 0.791 0.754 0.828
481	3	1.83	1.36	1.74	-1903.1 3812.2 3826.6	0.793 0.790 0.757 0.824
					-1904.7 3815.4 3829.8	0.794 0.790 0.744 0.836

Markers Not Selected: SBP BMI ST2 >= 35 Diabetes
 Ln (NT-proBNP) DBP NT-proBNP >=1700 BMI>=25 Hypertension
 Ln Creatinine Ln (Hgb) GFR<50 SEX
 Ln (eGFR) LVEF SBP<120 CAD

Fig. 33 Models Based on AIC
Study Outcome

Fitted AUC:0.808				Fitted AUC:0.800			
bsAUC: 0.741 [0.665-0.816]				bsAUC: 0.743 [0.666-0.837]			
Backward Selection				Stepwise Selection			
	HR	95% CI	P		HR	95% CI	P
In (NT-proBNP)	1.13	1.01-1.27	0.0407	In (Troponin)	1.2	1-1.45	0.0534
In (Troponin)	1.19	0.99-1.44	0.0695	Age	1.04	1.03-1.05	<0.0001
In (Creatinine)	1.69	0.91-3.15	0.0953	ST2 >=50	1.87	1.47-2.38	<0.0001
In (eGFR)	1.58	0.85-2.94	0.1483	NYHA >= 3	1.84	1.45-2.32	<0.0001
In (Hgb)	0.34	0.17-0.68	0.002	Troponin >=16	1.88	1.25-2.8	0.0022
Age	1.05	1.03-1.07	<0.0001	In (Hgb)	0.34	0.18-0.68	0.0019
Troponin >=16	1.88	1.26-2.8	0.0021	In (NT-proBNP)	1.12	1.01-1.26	0.0401
ST2 >=50	1.89	1.49-2.4	<0.0001	Sex	1.2	0.94-1.54	0.1522
NYHA >= 3	1.84	1.46-2.32	<0.0001				
Fitted AUC:0.808				Fitted AUC:0.800			
bsAUC: 0.744 [0.667-0.821]				bsAUC: 0.744 [0.667-0.821]			
Forward Selection				Forward Selection			
	HR	95% CI	P		HR	95% CI	P
In (Troponin)	1.2	1-1.45	0.0534	In (Troponin)	1.2	1-1.45	0.0534
Age	1.04	1.03-1.05	<0.0001	Age	1.04	1.03-1.05	<0.0001
ST2 >=50	1.87	1.47-2.38	<0.0001	ST2 >=50	1.87	1.47-2.38	<0.0001
NYHA >= 3	1.84	1.45-2.32	<0.0001	NYHA >= 3	1.84	1.45-2.32	<0.0001
Troponin >=16	1.88	1.25-2.8	0.0022	Troponin >=16	1.88	1.25-2.8	0.0022
In (Hgb)	0.34	0.18-0.68	0.0019	In (Hgb)	0.34	0.18-0.68	0.0019
In (NT-proBNP)	1.12	1.01-1.26	0.0401	In (NT-proBNP)	1.12	1.01-1.26	0.0401
Sex	1.2	0.94-1.54	0.1522	Sex	1.2	0.94-1.54	0.1522

Fig. 34 Selected Models Based on BIC 1 Year Outcome

Fitted AUC:0.818
bsAUC: 0.798 [0.757-0.839]

Fitted AUC:0.818
bsAUC: 0.800 [0.760-0.841]

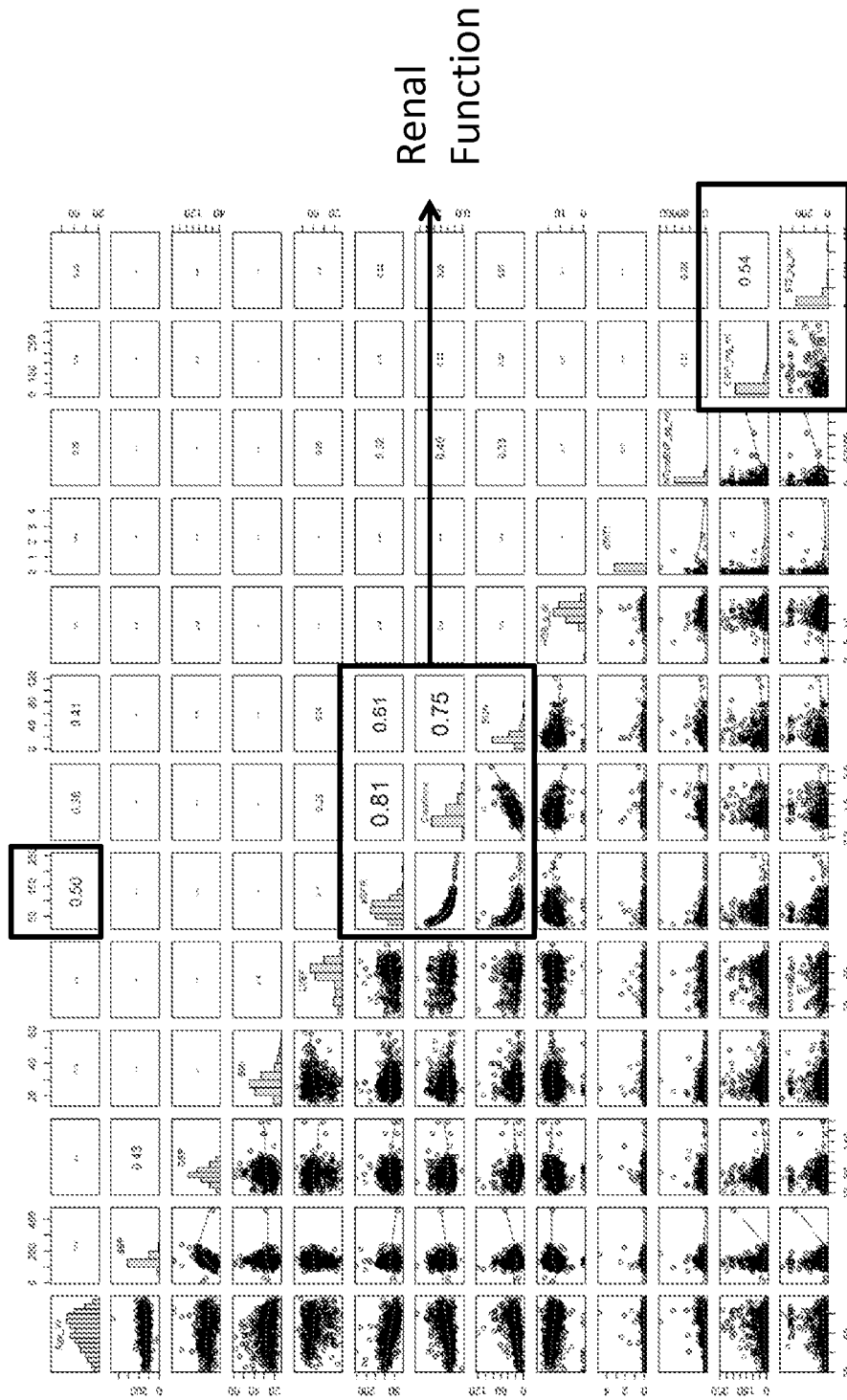
Backward Selection				Stepwise Selection			
	HR	95% CI	P		HR	95% CI	P
In (Troponin)	1.3	1.1-1.54	0.0022	In (Troponin)	1.3	1.1-1.54	0.0022
In (Hgb)	0.35	0.18-0.69	0.0021	Age	1.04	1.03-1.05	<0.0001
Age	1.04	1.03-1.05	<0.0001	ST2 >=50	2	1.58-2.52	<0.0001
Troponin >=16	1.95	1.31-2.9	0.001	NYHA >=3	1.83	1.45-2.3	<0.0001
ST2 >=50	2	1.58-2.52	<0.0001	Troponin >=16	1.95	1.31-2.9	0.001
NYHA >=3	1.83	1.45-2.3	<0.0001	In (Hgb)	0.35	0.18-0.69	0.0021

Fitted AUC:0.818
bsAUC: 0.801 [0.760-0.841]

Forward Selection			
	HR	95% CI	P
In (Troponin)	1.3	1.1-1.54	0.0022
Age	1.04	1.03-1.05	<0.0001
ST2 >=50	2	1.58-2.52	<0.0001
NYHA >=3	1.83	1.45-2.3	<0.0001
Troponin >=16	1.95	1.31-2.9	0.001
In (Hgb)	0.35	0.18-0.69	0.0021

Fig. 35 Colinearity

Age is a component of eGFR



CRP and ST2 has a high correlation coefficient, but scatter plot does not look bad

Fig. 36 Univariate Performance

Variable	Outcome=0		Outcome=1		Test P Value	sOR(Raw)		sOR(In)		LRT p	AuROC
	Median [IQR]	Median [IQR]	Est [95% CI]	Est [95% CI]		Est [95% CI]	Est [95% CI]				
Age_Yr	73.5[61.5-81]	80[74-85]	0.001	1.75 [1.25-2.55]	<0.001	1.84 [1.27-2.8]				<0.001	0.641
Sex	0=70;1=78	0=32;1=29	0.544	0.81 [0.45-1.48]	0.497						
Ethnicity	1=131;5=11;6=5;7=1	1=56;5=2;6=3	0.646		0.502						
Is_Black	0=137;1=11	0=59;1=2	0.354	0.42 [0.06-1.63]	0.231						
SBP	140[124-156.25]	130[110-151]	0.022	0.68 [0.48-0.93]	0.016	0.68 [0.49-0.92]				0.013	0.601
DBP	75[66-86.25]	74[61-83]	0.411	0.91 [0.67-1.23]	0.553	0.9 [0.66-1.21]				0.474	0.536
BMI	26.98[23.88-32]	26.61[21.92-29.75]	0.036	0.65 [0.45-0.9]	0.009	0.67 [0.48-0.92]				0.012	0.592
HTN	0=55;1=93	0=21;1=40	0.754	1.13 [0.61-2.13]	0.708						
Diabetes	0=85;1=63	0=36;1=25	0.878	0.94 [0.51-1.71]	0.833						
CAD	0=93;1=55	0=28;1=33	0.031	1.99 [1.09-3.67]	0.025						
LVEF	52[36-62]	51[30-67]	0.844	0.94 [0.7-1.27]	0.685	0.9 [0.67-1.22]				0.491	0.509
eGFR	54.69[40.99-79.85]	48.91[35.7-60.53]	0.008	0.59 [0.4-0.83]	0.002	0.64 [0.46-0.87]				0.005	0.617
Creatinine	1.2[0.9-1.6]	1.4[1.1-1.5]	0.065	1.35 [1-1.82]	0.047	1.41 [1.04-1.93]				0.027	0.581
BUN	23[17-32]	31[24-41]	<0.001	1.64 [1.22-2.27]	<0.001	1.77 [1.29-2.48]				<0.001	0.669
HGB_g_dl	12.7[11-14.3]	11.3[10.1-12.5]	0.001	0.63 [0.46-0.87]	0.004	0.68 [0.49-0.91]				0.01	0.641
cTnT1	0[0-0.03]	0.03[0-0.06]	0.002	0.92 [0.55-1.24]	0.611	1.01 [0.72-1.34]				0.932	0.628
NTproBNP_pg_ml	3122.5[1401-7947.25]	9020[3366-12991]	<0.001	1.61 [1.15-2.43]	0.004	2.03 [1.46-2.9]				<0.001	0.685
CRP_mg_ml	11.9[3.77-36.12]	36.5[9.5-92.2]	<0.001	1.61 [1.21-2.2]	0.001	1.85 [1.34-2.61]				<0.001	0.666
ST2_ng_ml	37.02[23.43-64.69]	67.39[41.62-97.23]	<0.001	1.77 [1.31-2.48]	<0.001	1.9 [1.37-2.69]				<0.001	0.692

Recall in the entire PRIDE data set, missing LVEF was not random. In this subpopulation only 6 subjects have missing LVEF data (4 cases and 2 controls)->OR=5.07 (p=0.06)

BUN looks strongest in a univariate sense



Fig. 37 Linearity Evaluation: Age

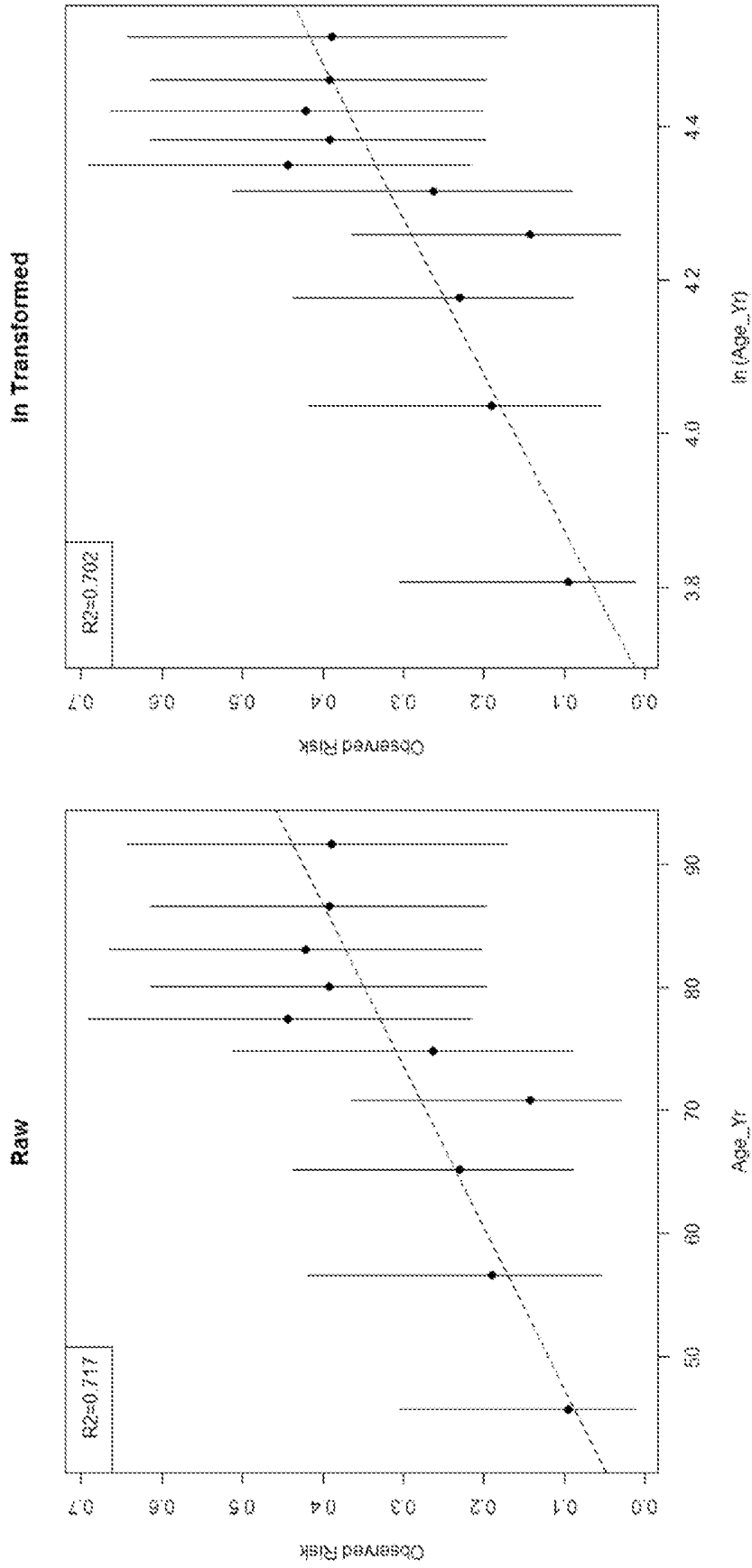


Fig. 38 Linearity Evaluation: SBP

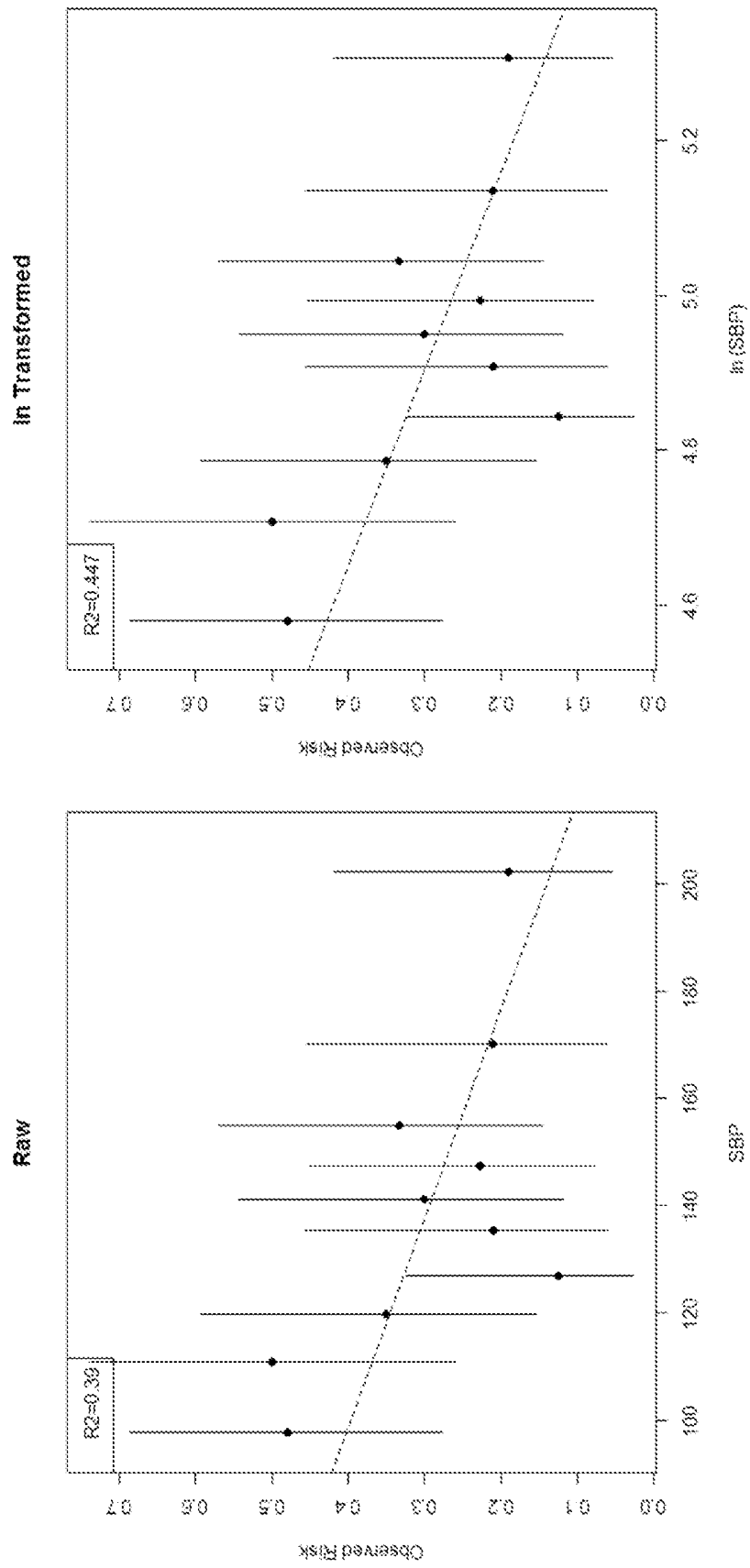


Fig. 39 Linearity Evaluation: DBP

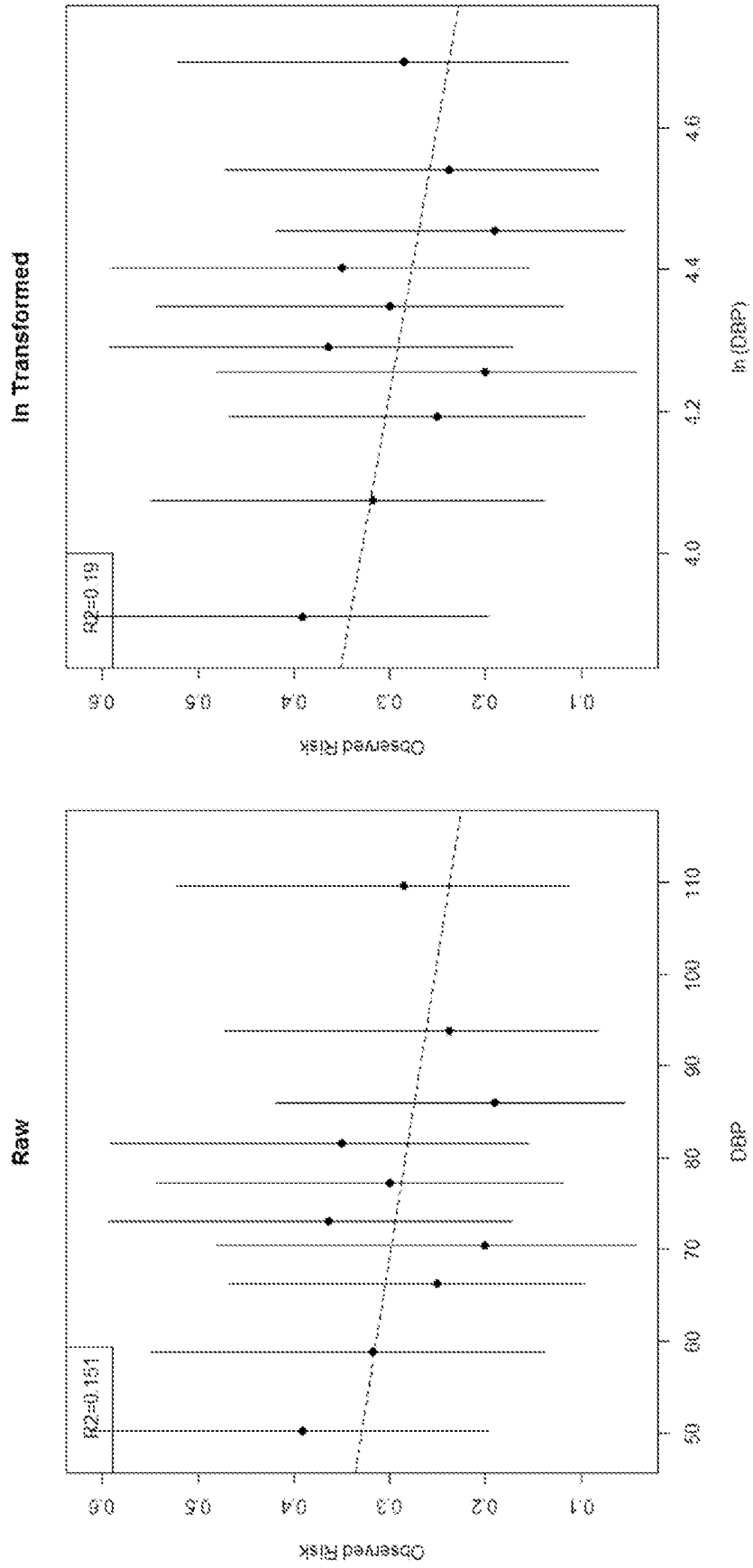


Fig. 40 Linearity Evaluation: BMI

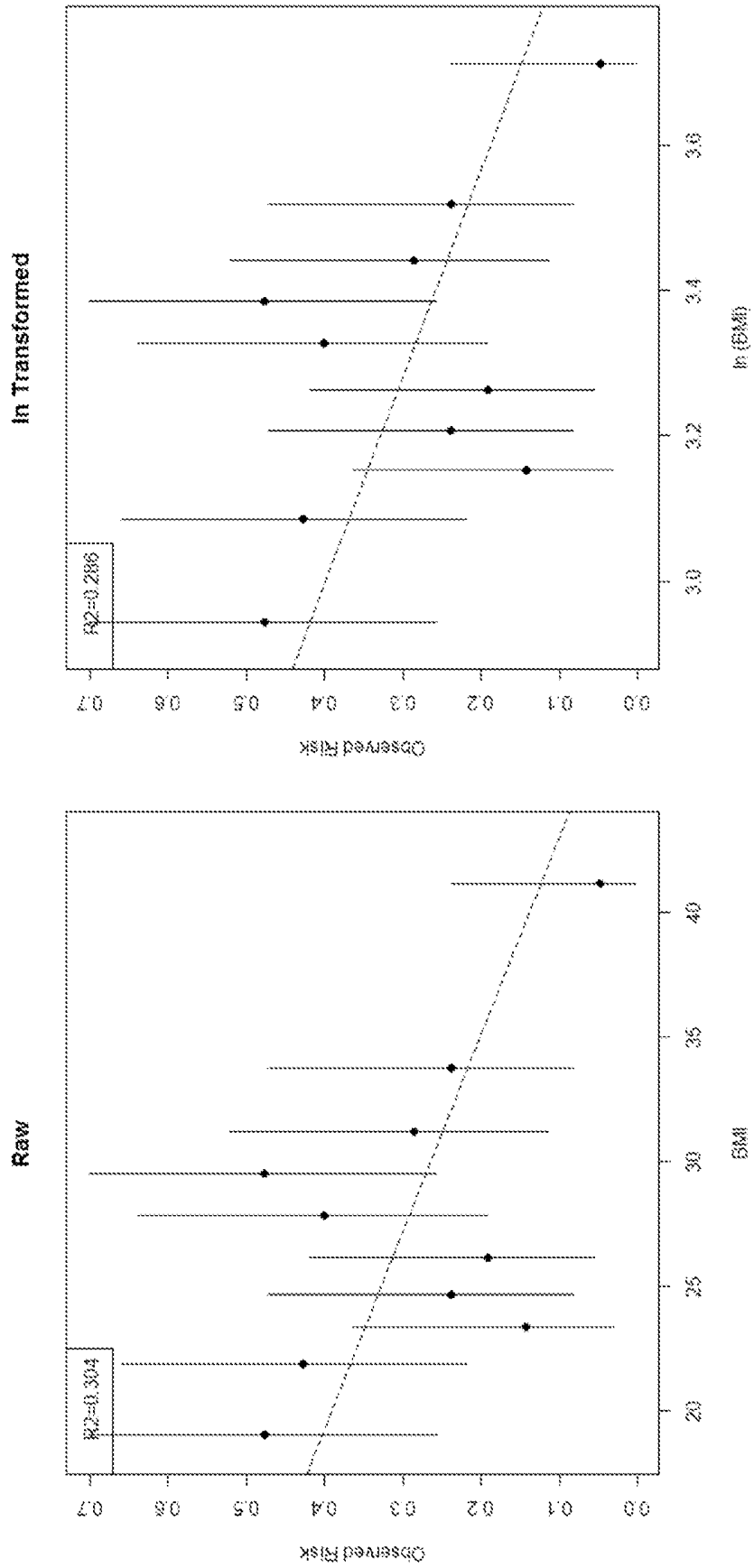


Fig. 41 Linearity Evaluation: LVEF

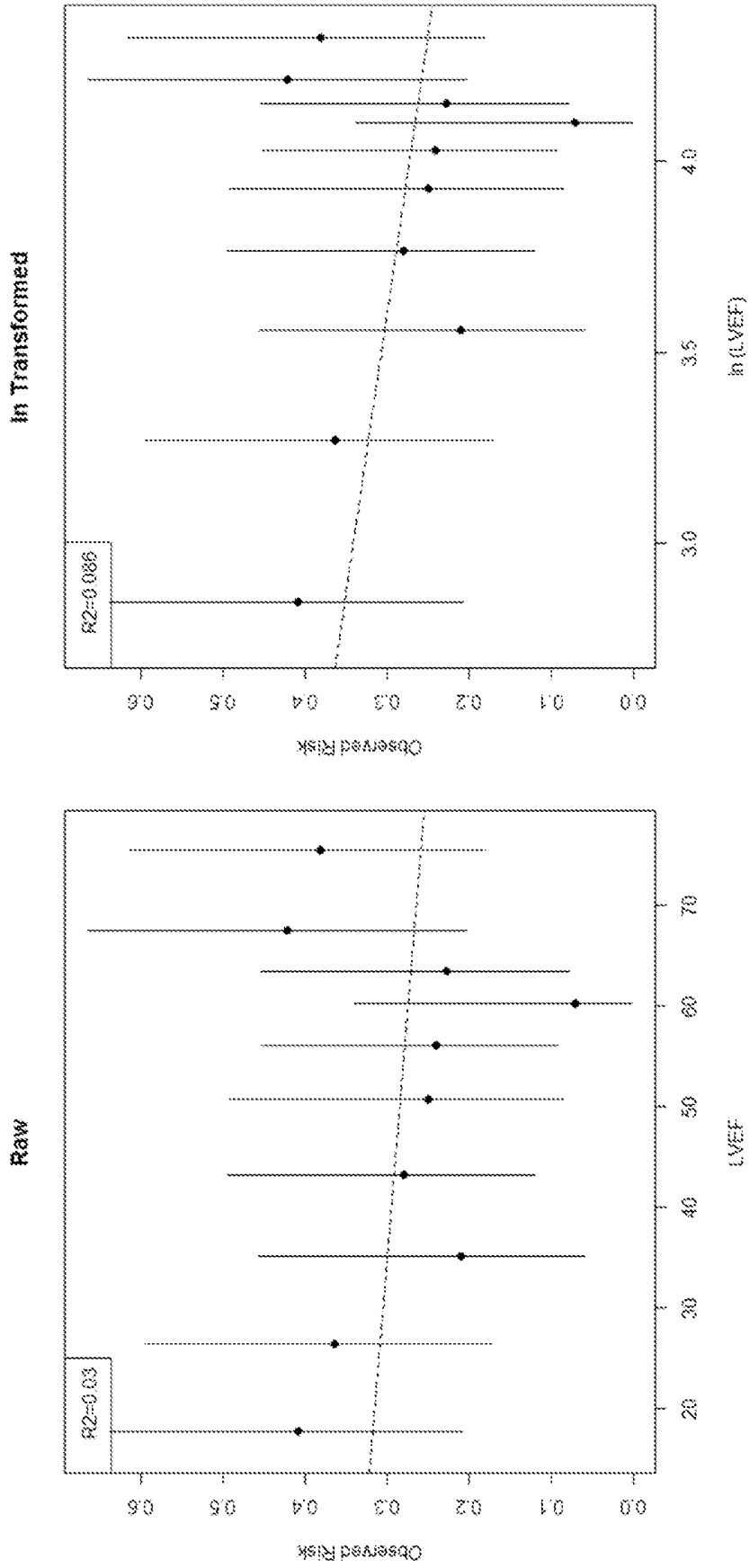


Fig. 42 Linearity Check: eGFR

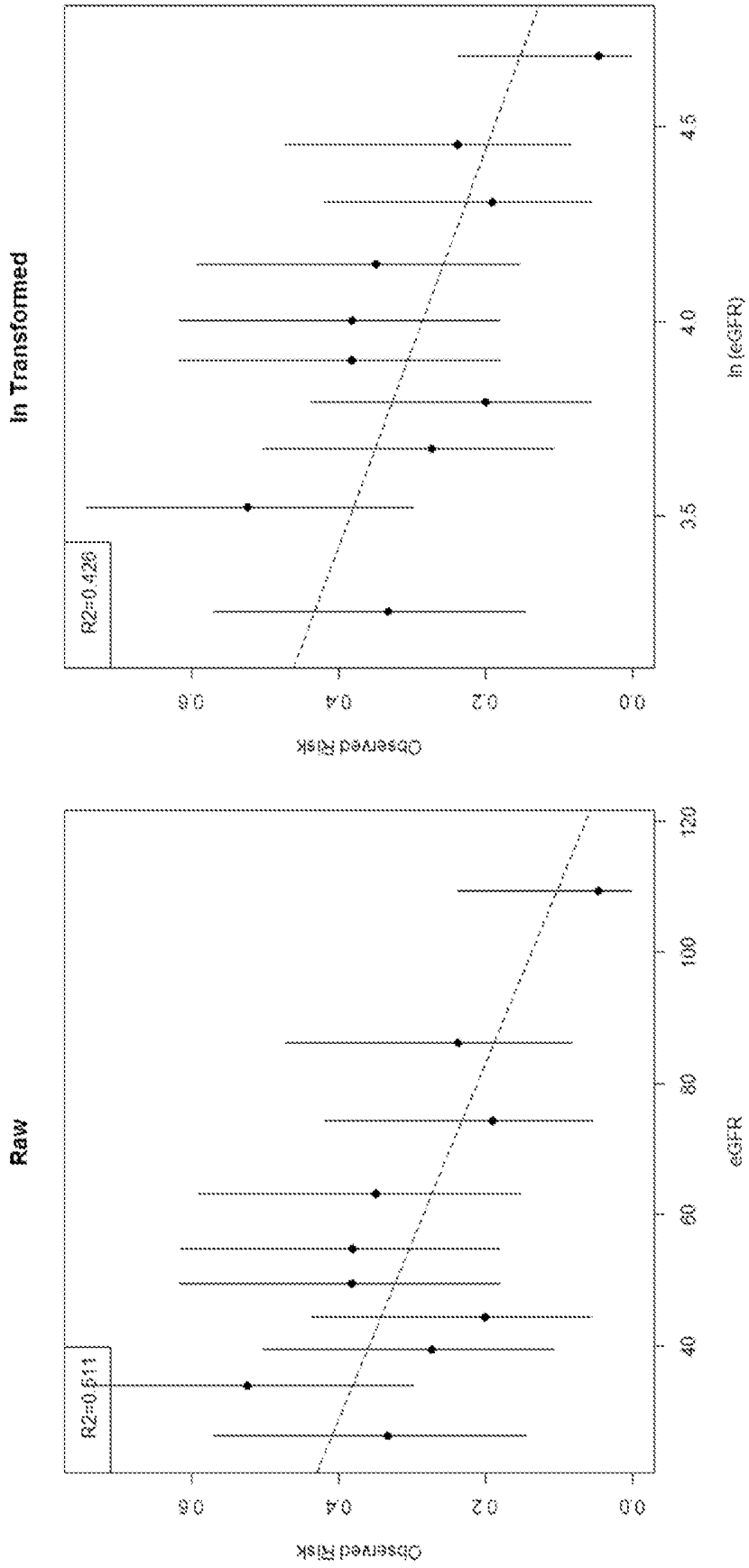


Fig. 43 Linearity Check: Creatinine

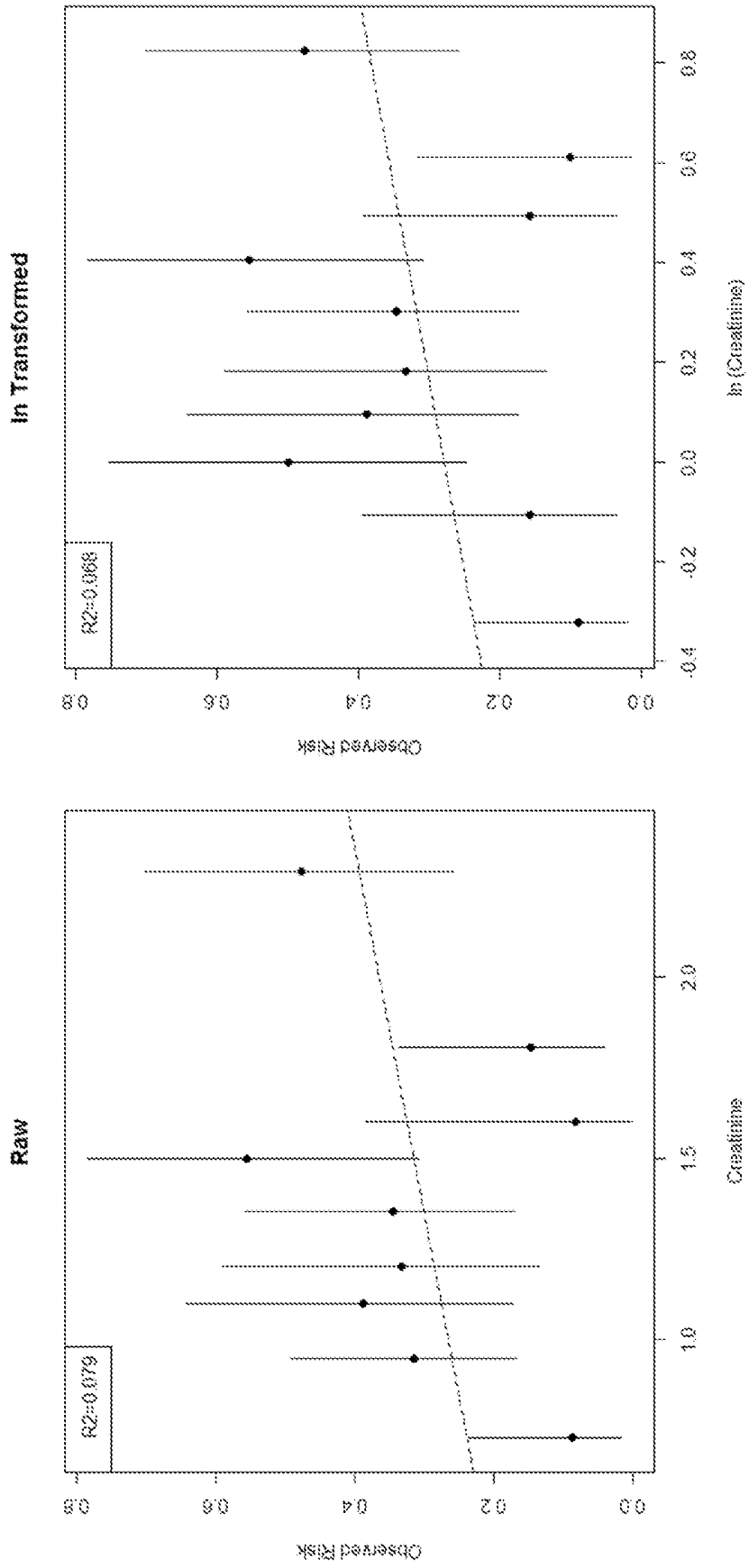


Fig. 44 Linearity Check: BUN

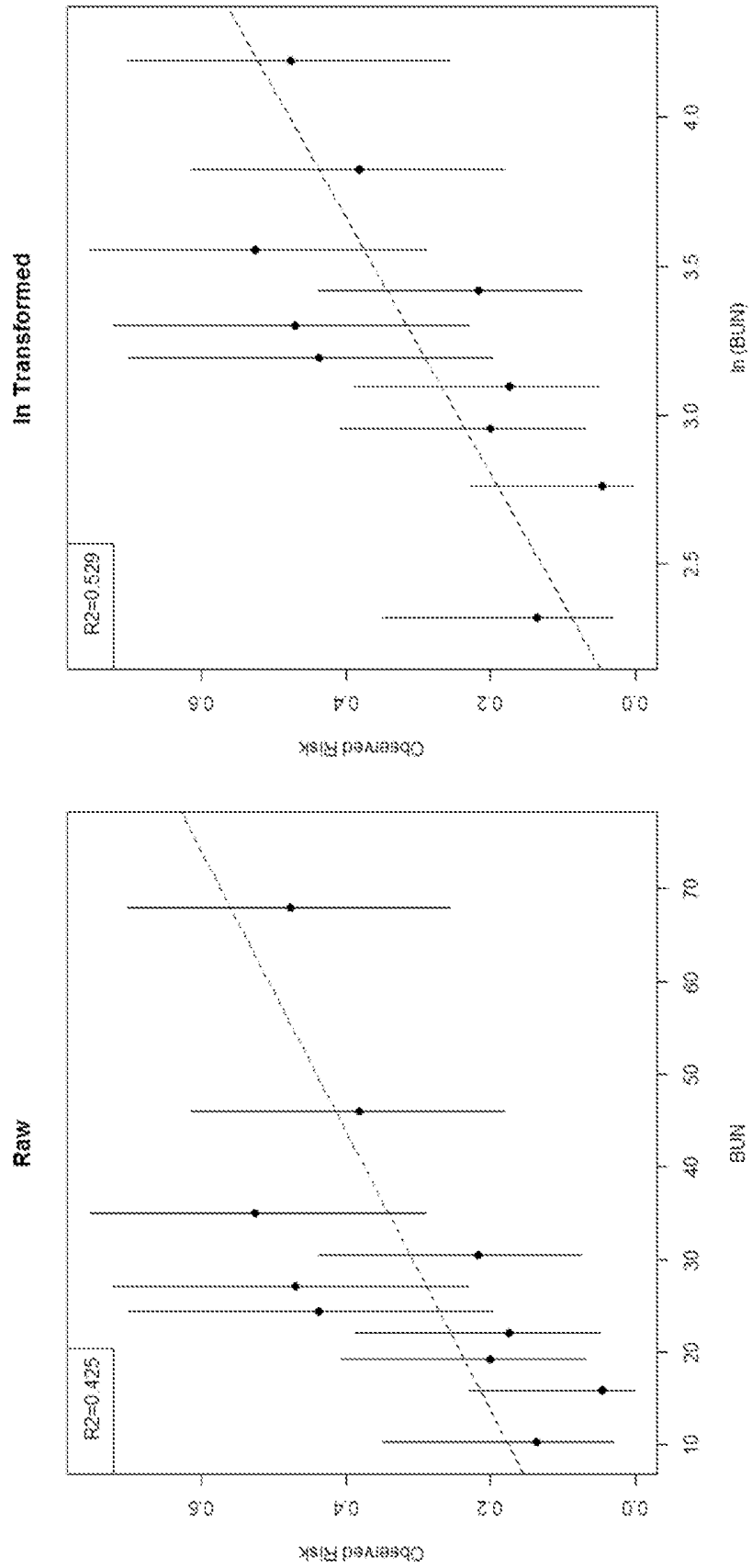


Fig. 45 Linearity Check: Hemoglobin

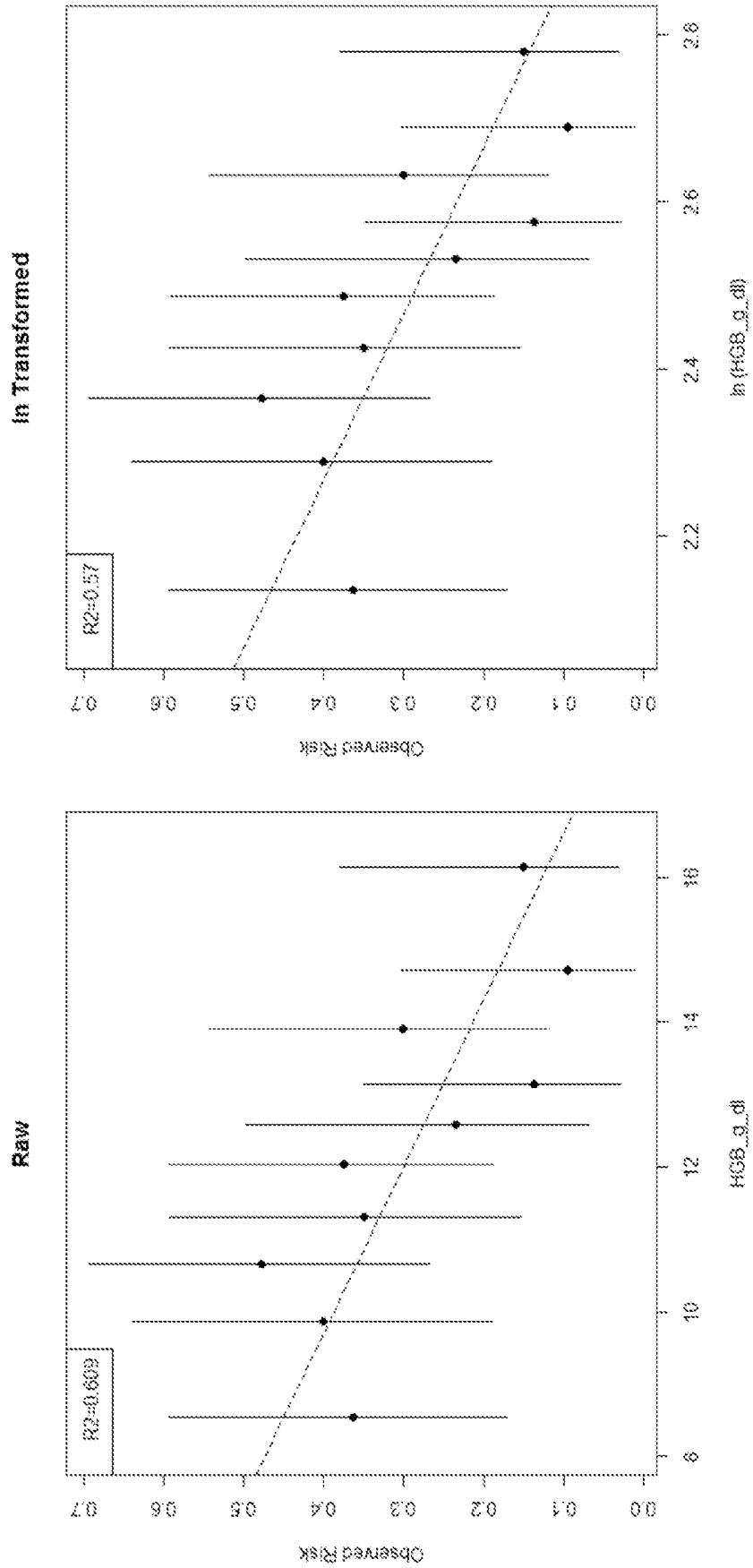


Fig. 46 Linearity Check: Troponin

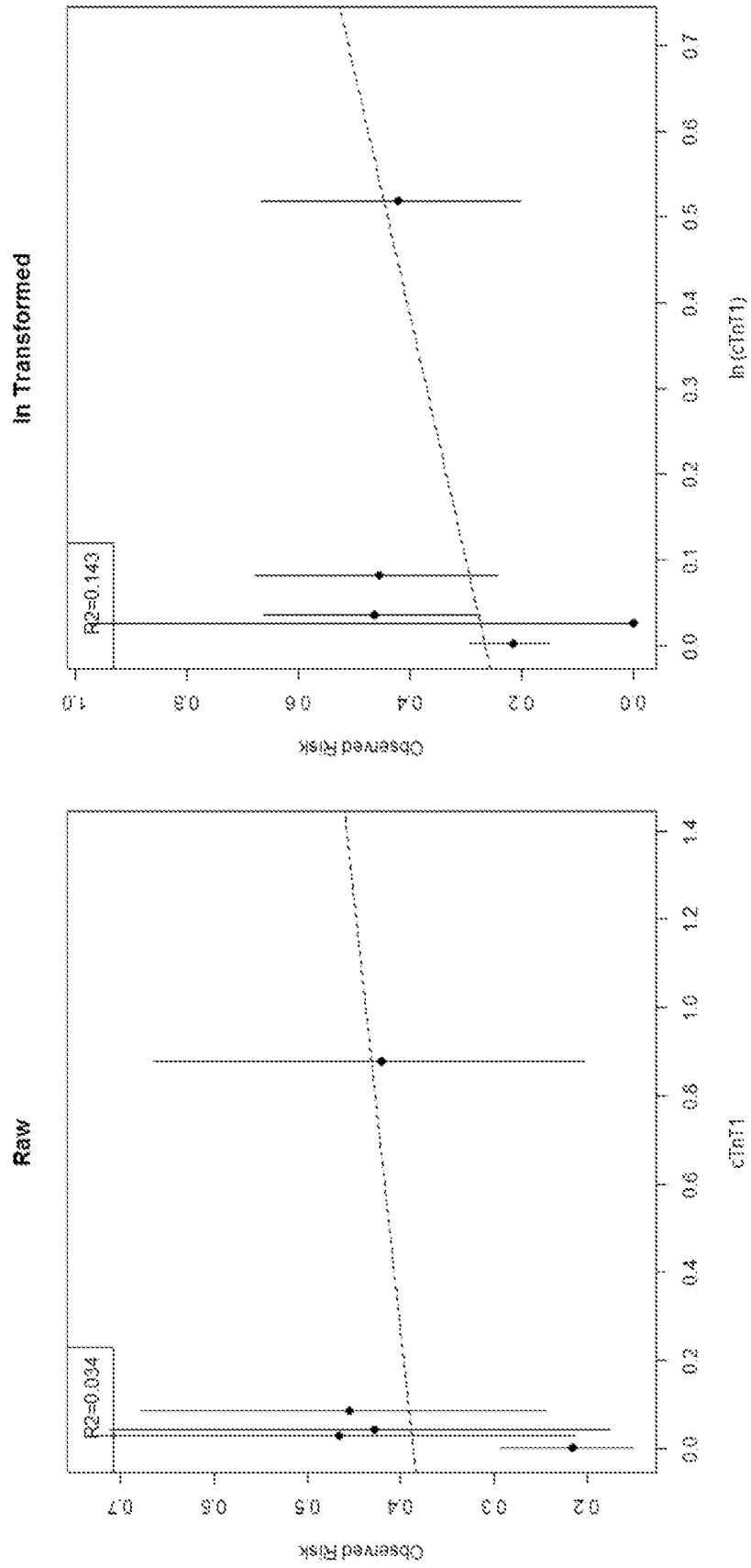


Fig. 47 Linearity Check: NT-proBNP

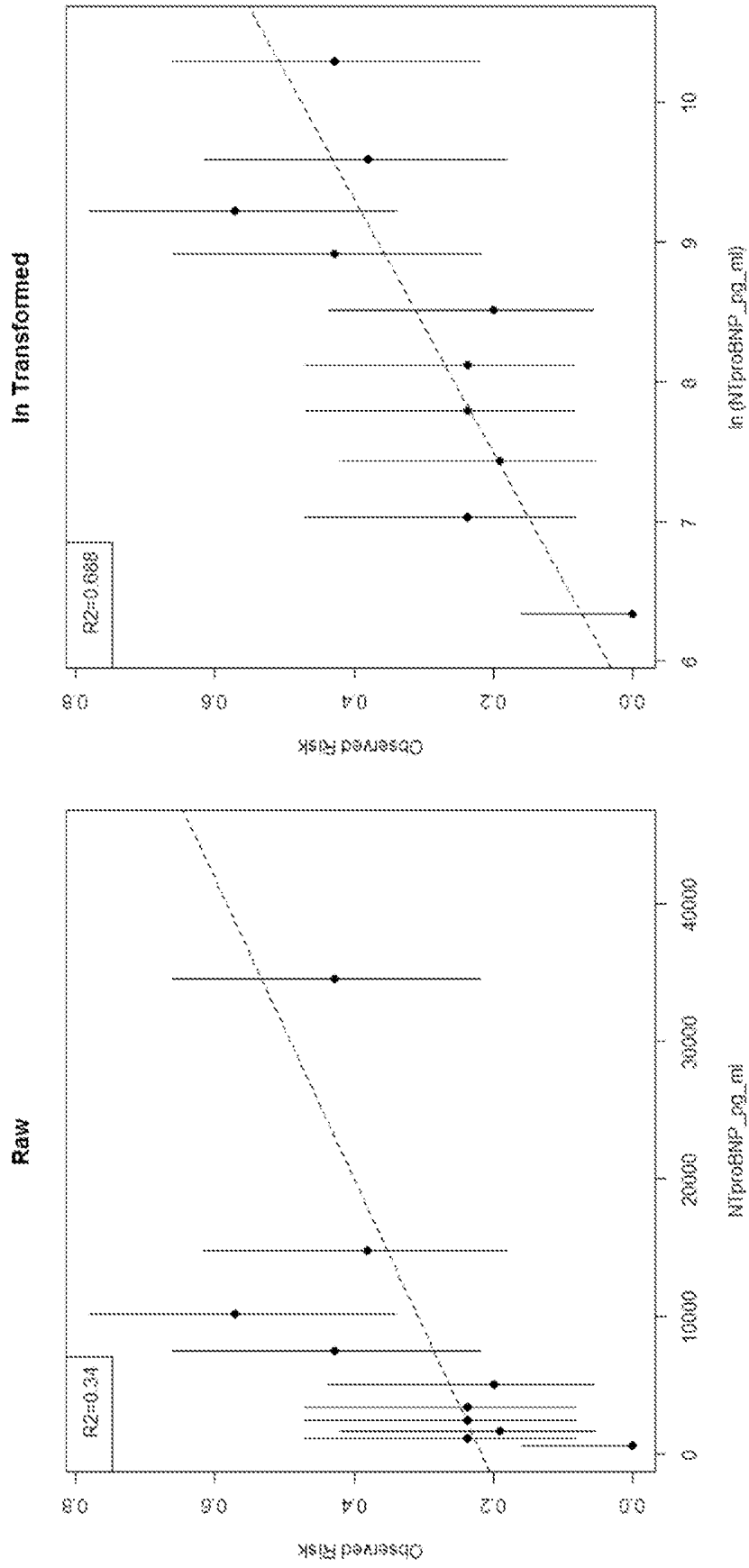


Fig. 48 Linearity Check: CRP

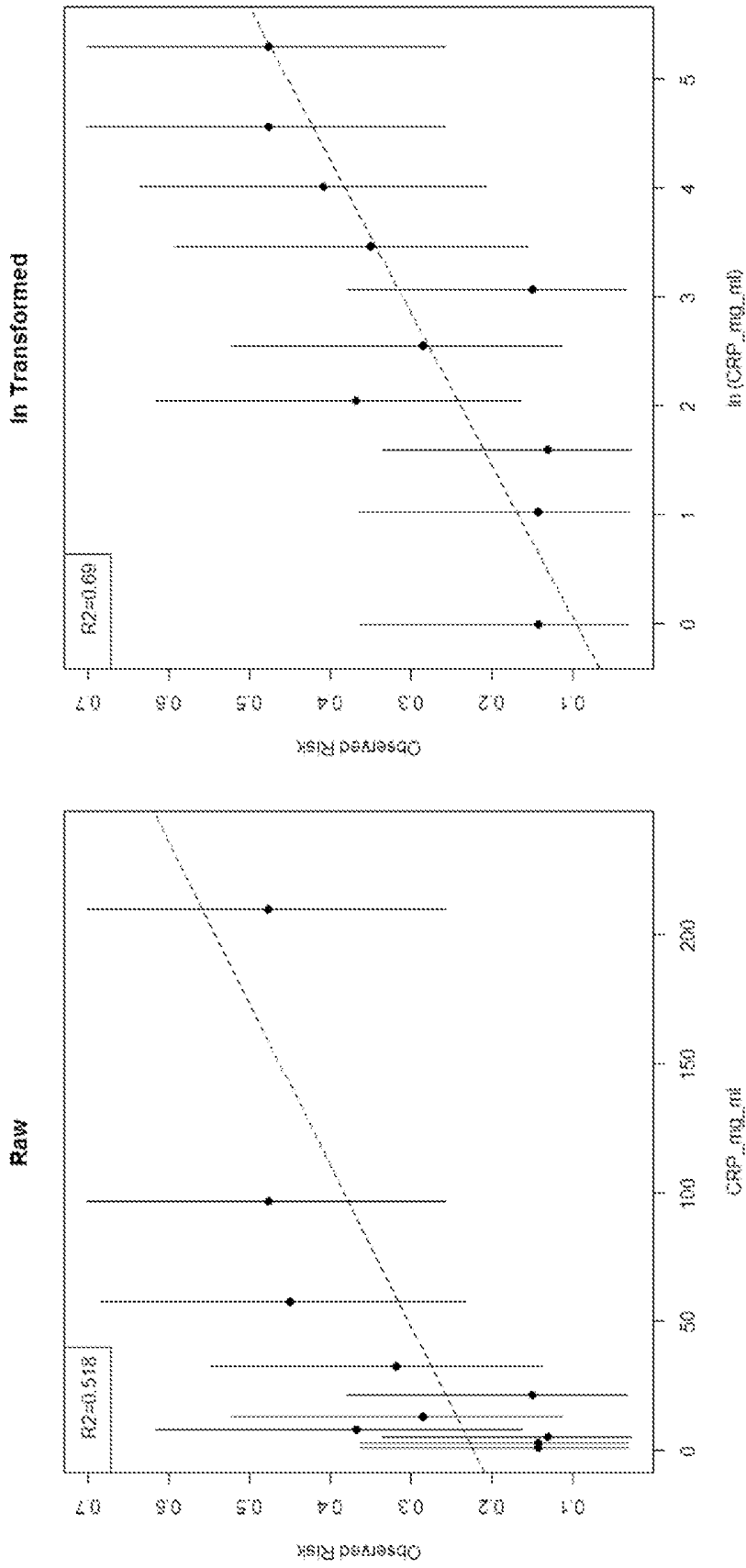


Fig. 49 Linearity Check: ST2

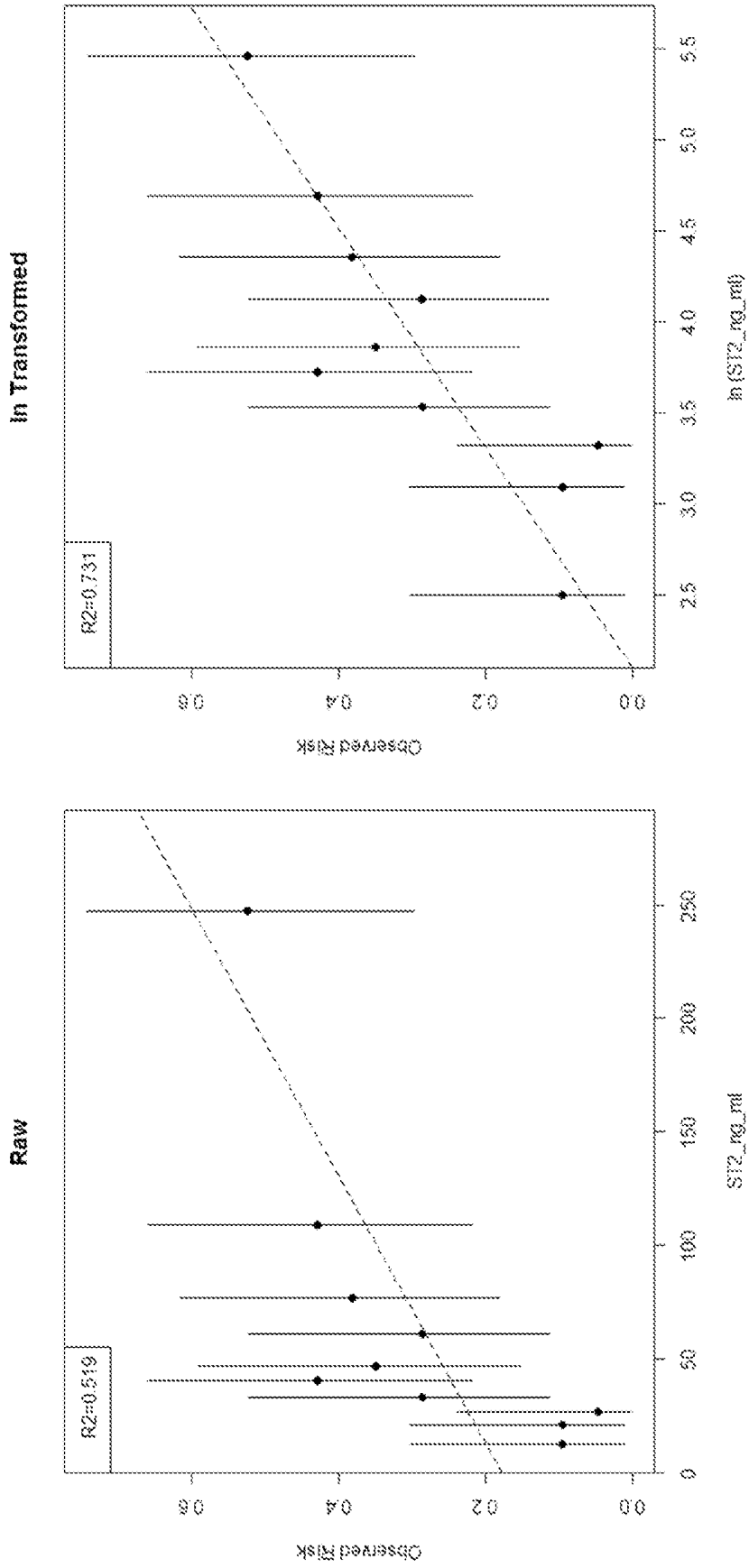


Fig. 50 Summary

	AIC		Other	Comment	Graph
	Raw	Log			
Age	245.31	245.14			Raw
SBP	250.6	250.2	245.85	>120	log
DBP	256.04	255.88			log
BMI	249.49	250.1			Raw
LVEF	256.23	255.92			?
eGFR	246.93	248.39			Raw
Creatinine	252.44	251.53			Raw
BUN	245.5	243.6			log
Hemoglobin	248.07	249.81			Raw
Troponin	256.14	256.39	247.08	>0	?
NT-proBNP	247.98	237.46			log
CRP	245.74	241.77			log
ST2	241.74	240.61	238.15	>=35	log

- Parameter Space

- Age
- Log(SBP)
- SBP>120
- Log(DBP)
- BMI
- Log(LVEF)
- Log(BUN)
- Hemoglobin
- Troponin
- Troponin>0
- Log(NT-proBNP)
- Log(CRP)
- Log(ST2)
- ST2>=35
- Other factors (Sex, Race, Diabetes, HTN,CAD,IS_Black)

AIC (Smaller is better) generally agrees with graphical approach. Bins will be considered for ST2, SBP, and Troponin

Fig. 51 Marker Selection (AIC)

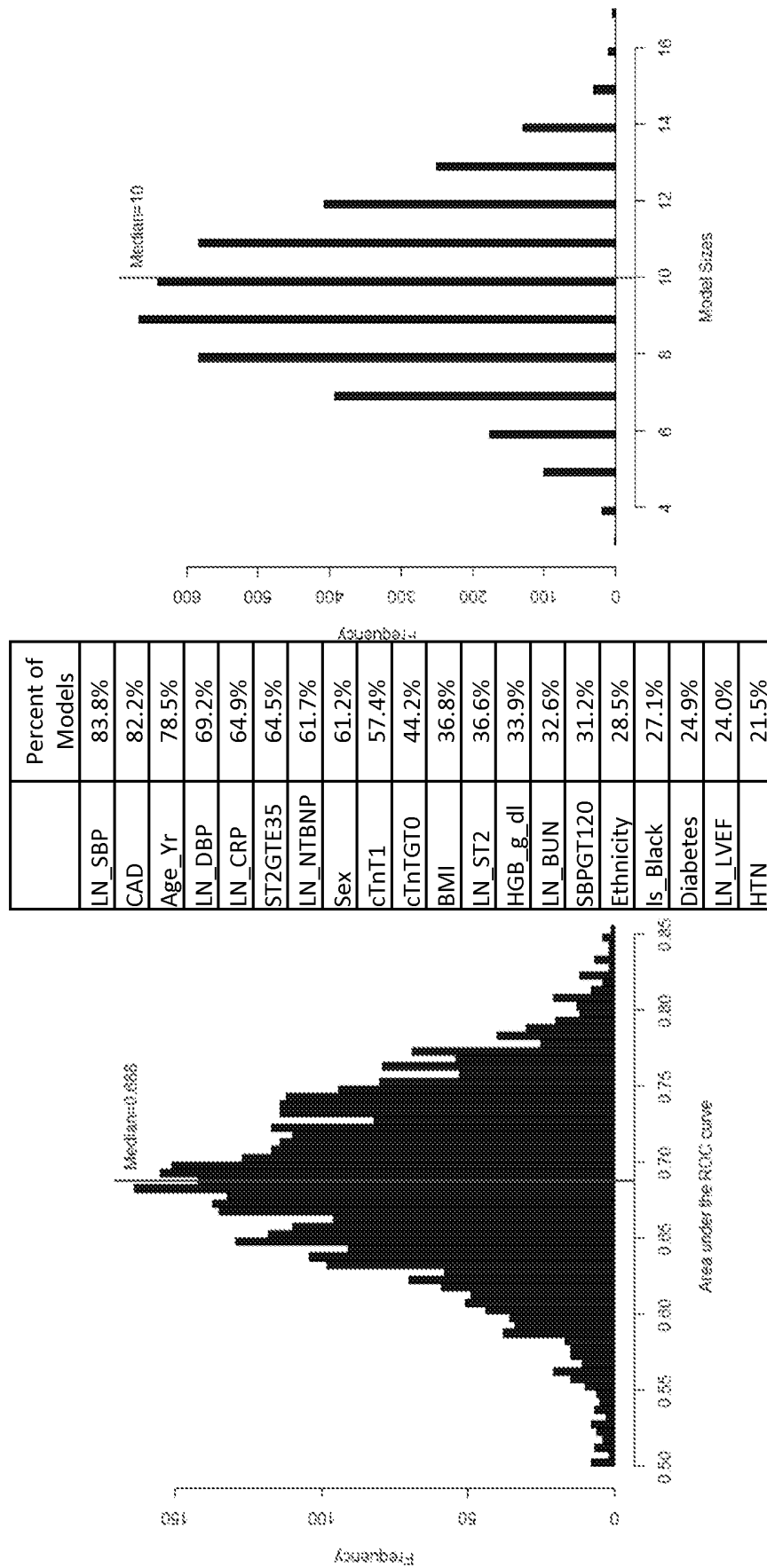


Fig. 52 BIC Based Marker Selection

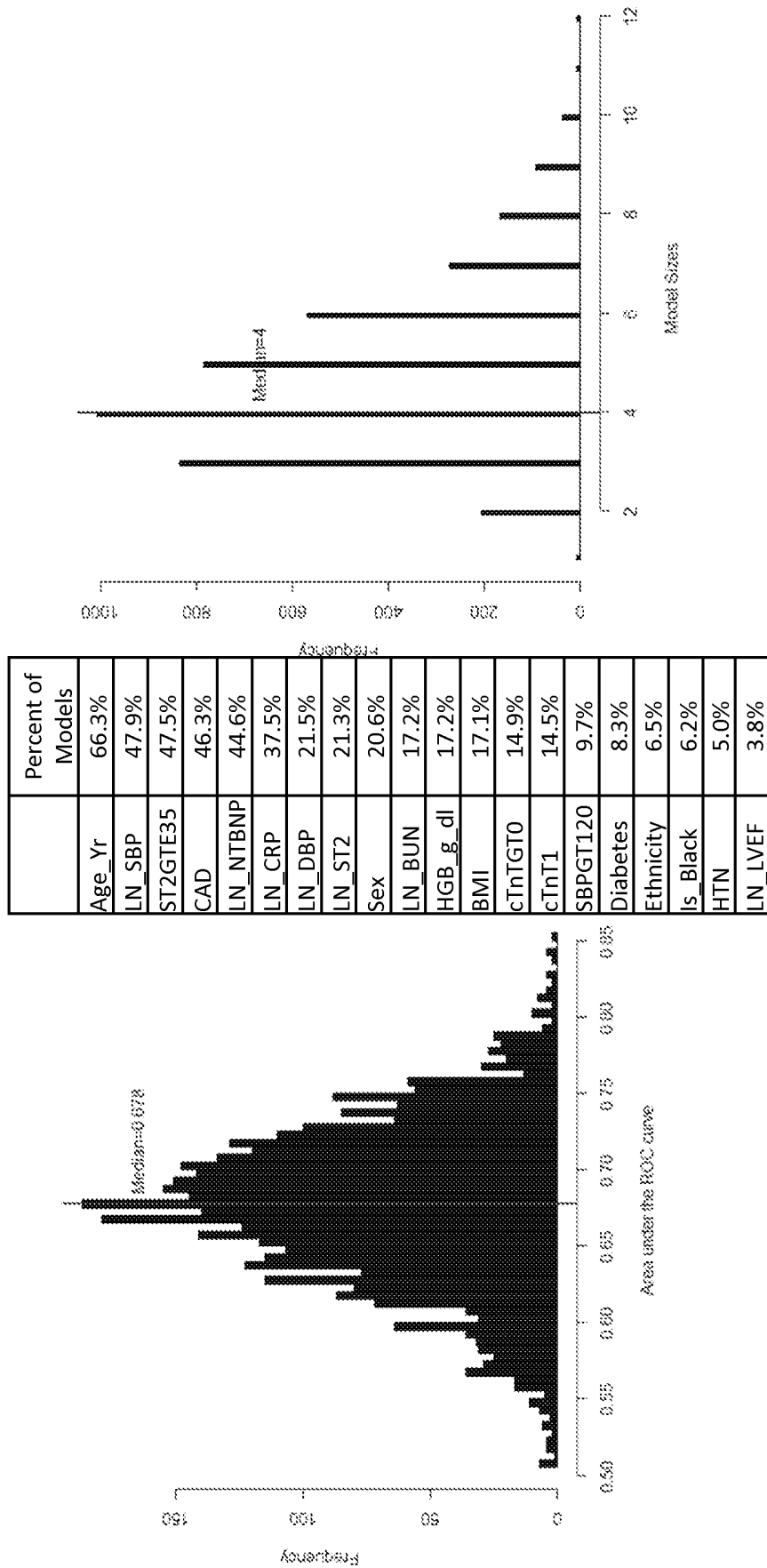


Fig. 53 Comparison of the Two Models

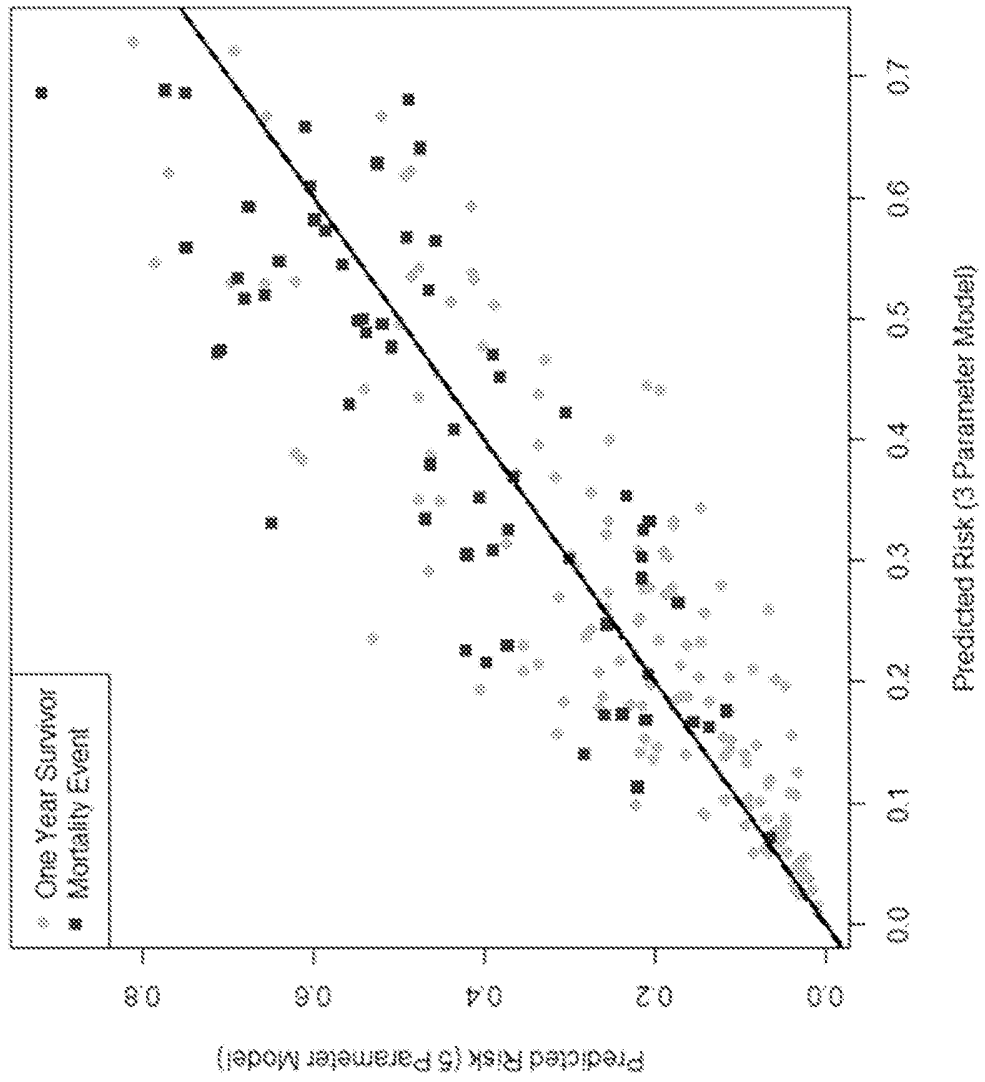


Fig. 54 ROC Curves

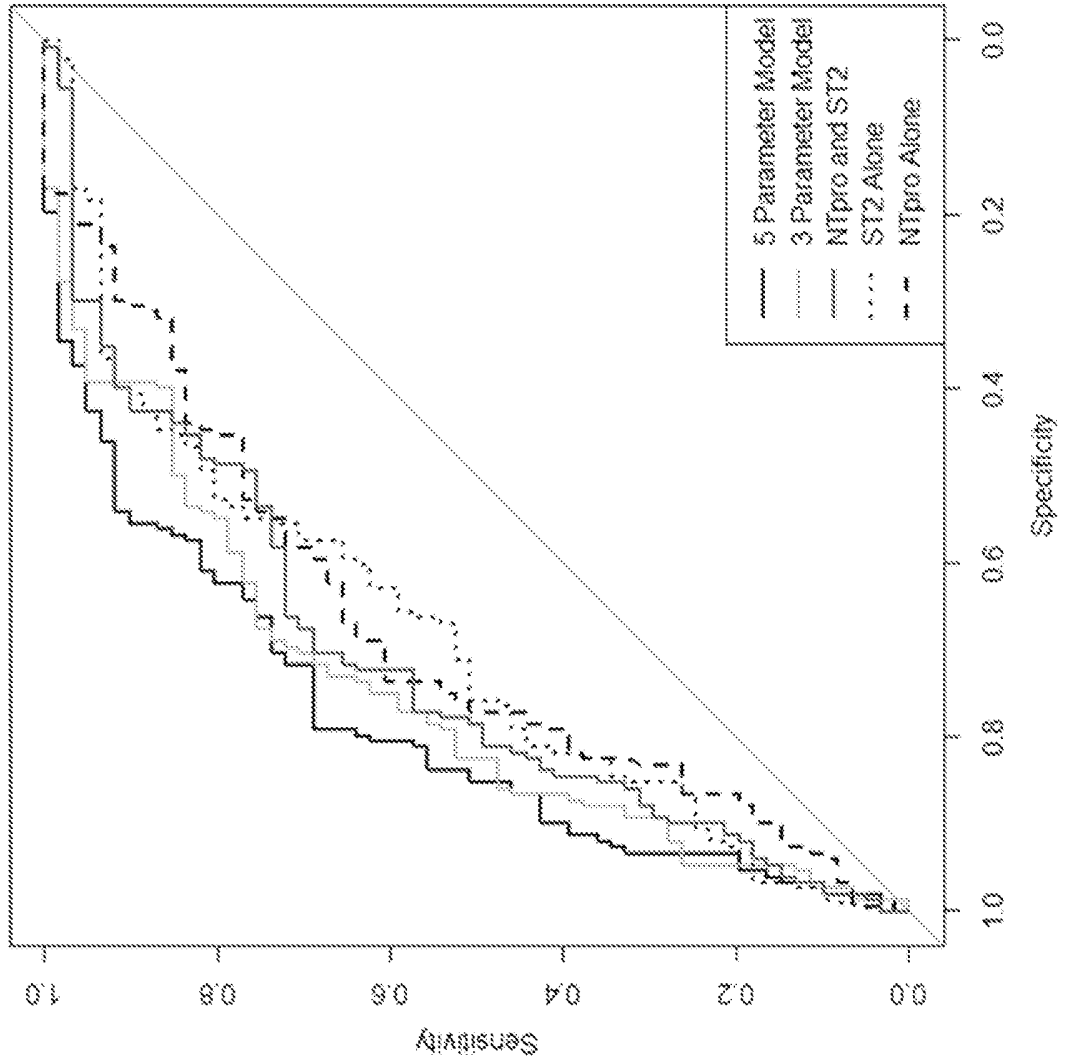


Fig. 55 Bootstrap AUC
"Out of Bag" estimates

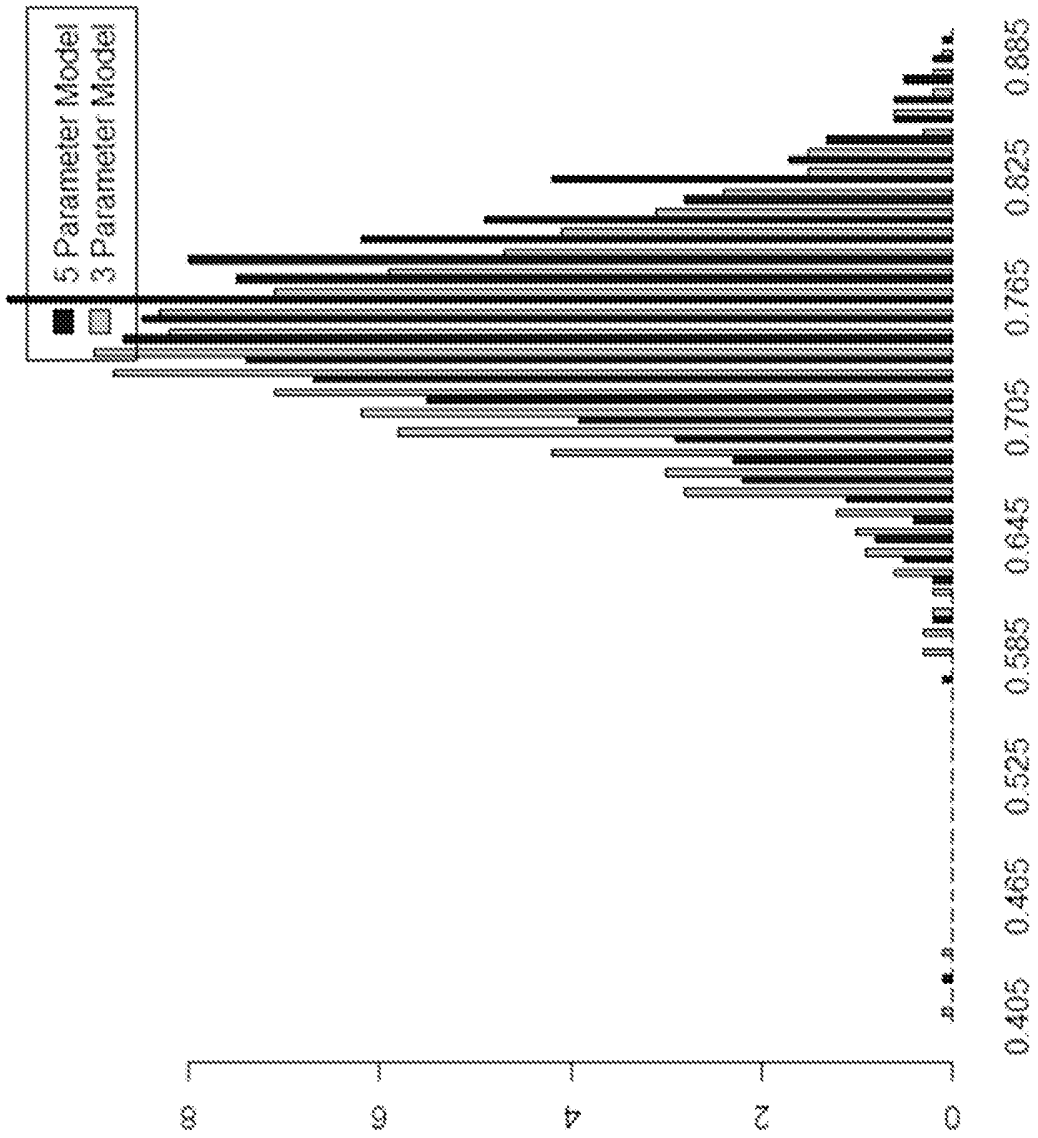


Fig. 56 Model Calibration

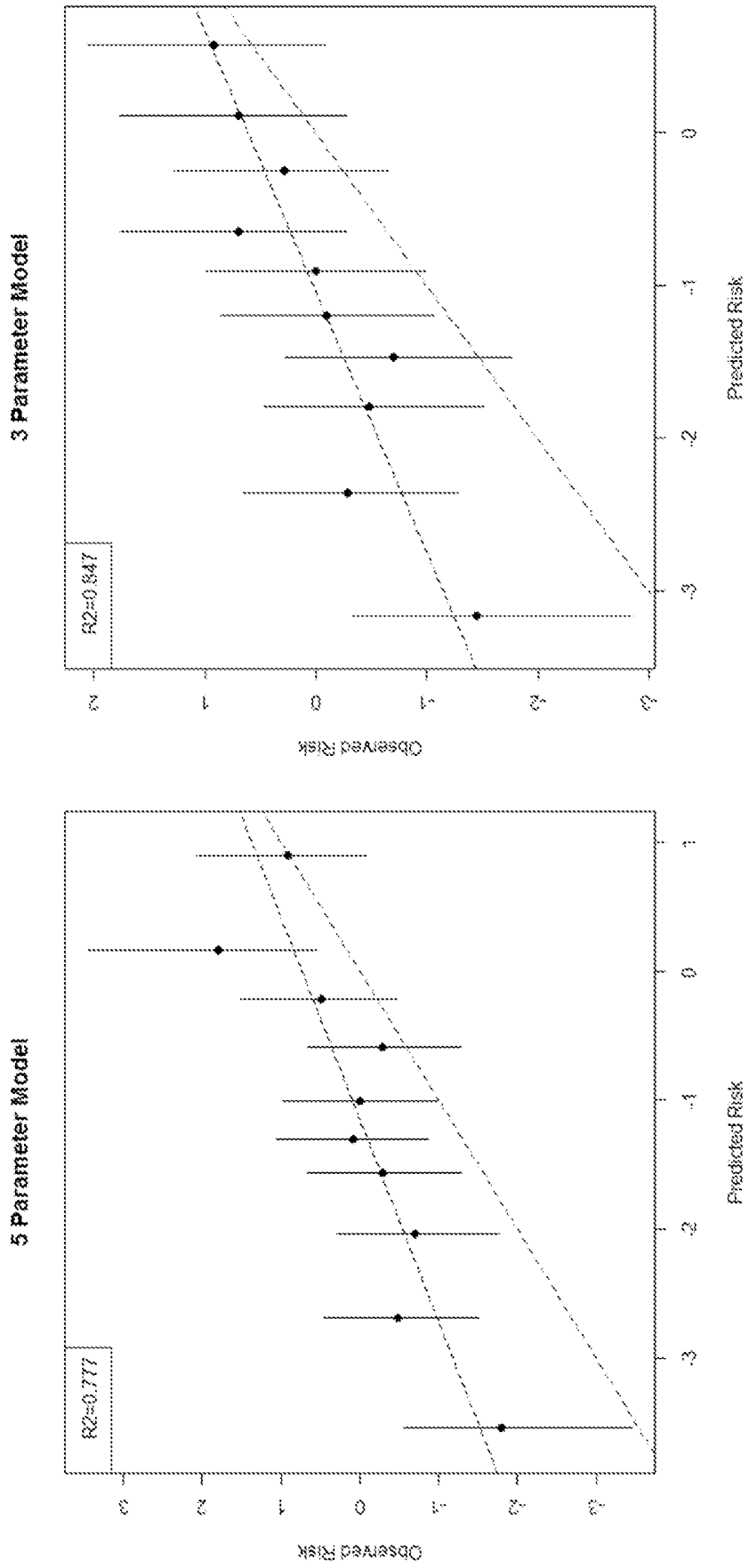


Fig. 57 Model Parameters

5 Parameter Model

```

Coefficients:
              Estimate Std. Error z value Pr(>|z|)
(Intercept)  1.6996     4.3544    0.39  0.6963
Age_Yr       0.0403     0.0154    2.62  0.0087 **
LN_SBP      -2.1065     0.8663   -2.43  0.0150 *
CAD         0.7824     0.3607    2.17  0.0301 *
ST2GTE35    1.3846     0.4384    3.16  0.0016 **
LN_NTBNP    0.4023     0.1668    2.41  0.0159 *
---
Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
    
```

3 Parameter Model

```

Coefficients:
              Estimate Std. Error z value Pr(>|z|)
(Intercept) -8.3383     1.6970   -4.91  8.9e-07 ***
Age_Yr       0.0426     0.0144    2.96  0.0030 **
ST2GTE35    1.2656     0.4164    3.04  0.0024 **
LN_NTBNP    0.3998     0.1586    2.52  0.0117 *
---
Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
    
```

A. CLASSIFICATION OF SUBJECT MATTER**G06F 19/00(2011.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G06F 19/00; C12Q 1/02; C12Q 1/42; G01N 33/53; G06F 19/10; C12Q 1/68; A61B 5/00; C40B 30/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: mortality, risk, predict, multimarker, age, compare, reference, and similar terms.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2012-0040381 A1 (JAMES V. SNIDER et al.) 16 February 2012 See paragraphs 7-8, 14-15, 18-19, 21, 24, 64, 102, 154, 171, 183, 200; and claim 1.	1,7-11
A		2-6
Y	US 2012-0065897 A1 (JAMES V. SNIDER et al.) 15 March 2012 See paragraph 4; and claim 1.	1,7-11
A	US 2009-0111708 A1 (JOHANNA M. SEDDON et al.) 30 April 2009 See paragraphs 7-86; and figure 2.	1-11
A	US 2011-0137131 A1 (ARAM S. ADOURIAN et al.) 09 June 2011 See paragraphs 7-16; and figure 1.	1-11
A	US 2010-0267062 A1 (NORBERT FREY et al.) 21 October 2010 See paragraphs 10-19; and figures 1-3.	1-11

 Further documents are listed in the continuation of Box C. See patent family annex.

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/056020

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Information on patent family members

International application No.

PCT/US2013/056020

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US 2010-0267062 A1	21/10/2010	WO 2009-040133 A1	02/04/2009

摘要

循环的ST2和利钠肽(如NT-proBNP)浓度的测量对治疗对象的预后评估是有用的,特别是,对于不良临床结果的预测,例如死亡、转移和心脏衰竭。