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Mohammed et al.

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(54) **INVERTED OPTICAL DEVICE**

(75) Inventors: **Ilyas Mohammed**, San Clara, CA (US);
Masud Beroz, Apex, NC (US); **Liang Wang**, Milpitas, CA (US)

(73) Assignee: **Invensas Corporation**, San Jose, CA (US)

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H01L 33/62 (2010.01)

H01L 33/58 (2010.01)

(52) **U.S. Cl.**

CPC **H01L 33/0079** (2013.01); **H01L 33/62** (2013.01); **H01L 33/58** (2013.01); **H01L 2924/0002** (2013.01)

(58) **Field of Classification Search**

None

See application file for complete search history.

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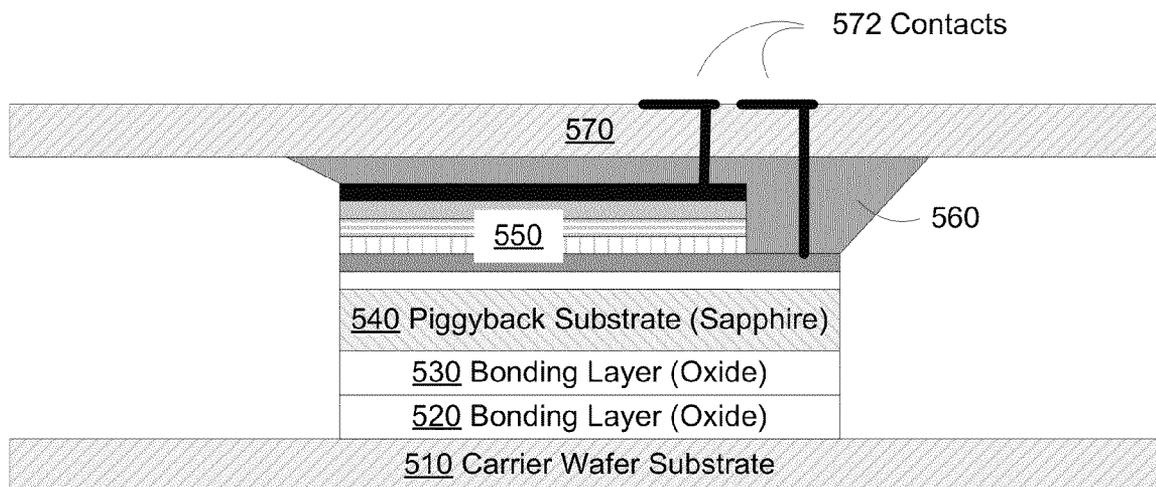
Primary Examiner — Whitney T Moore

Assistant Examiner — Scott R Wilson

(57) **ABSTRACT**

Inverted optical device. In accordance with an embodiment of the present invention, a plurality of piggyback substrates are attached to a carrier wafer. The plurality of piggyback substrates are dissimilar in composition to the carrier wafer. The plurality of piggyback substrates are processed, while attached to the carrier wafer, to produce a plurality of integrated circuit devices. A flip wafer is attached to the plurality of light emitting diodes, away from the carrier wafer and the carrier wafer is removed. The plurality of light emitting diodes may be singulated to form individual light emitting diode devices.

23 Claims, 10 Drawing Sheets



100

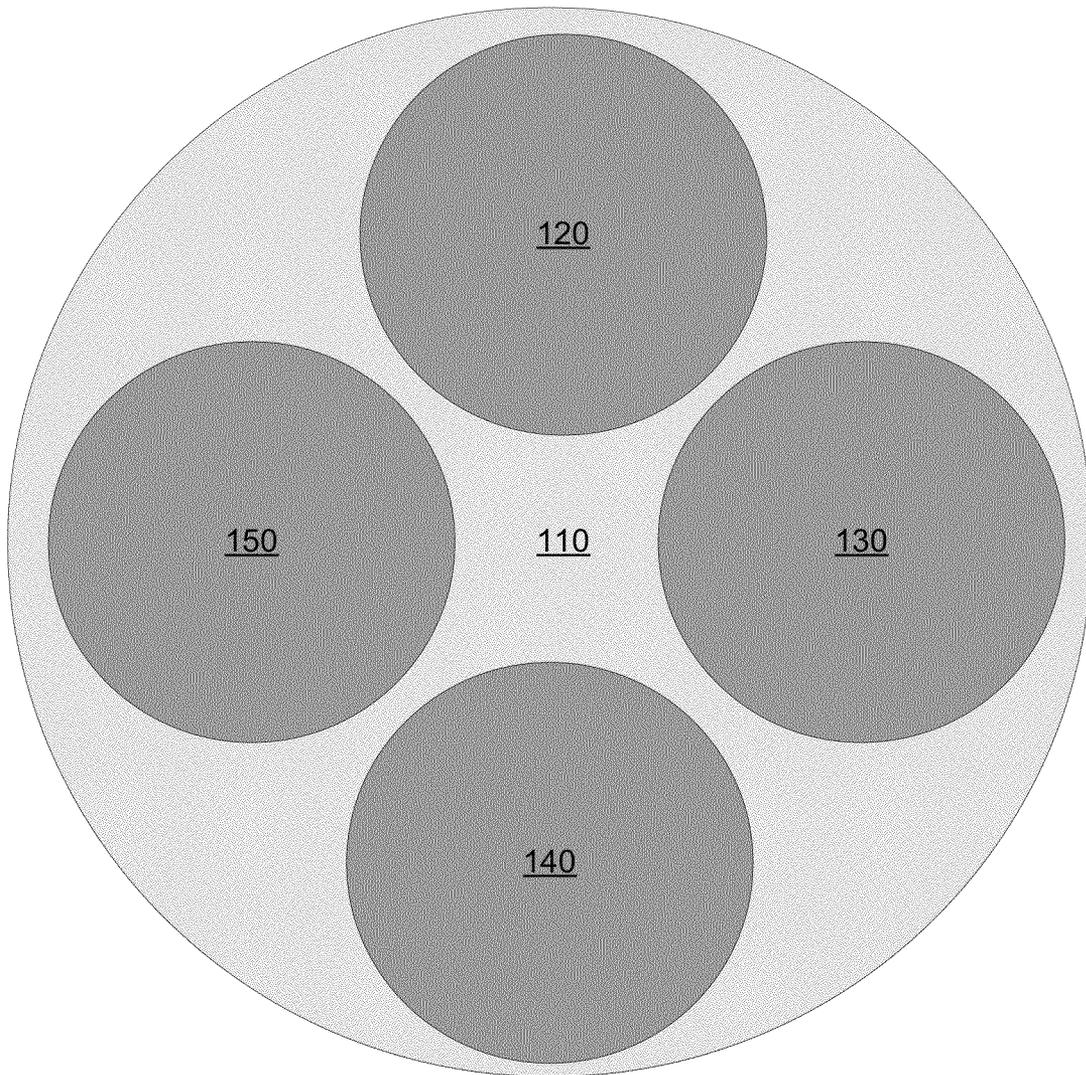


Figure 1A

160

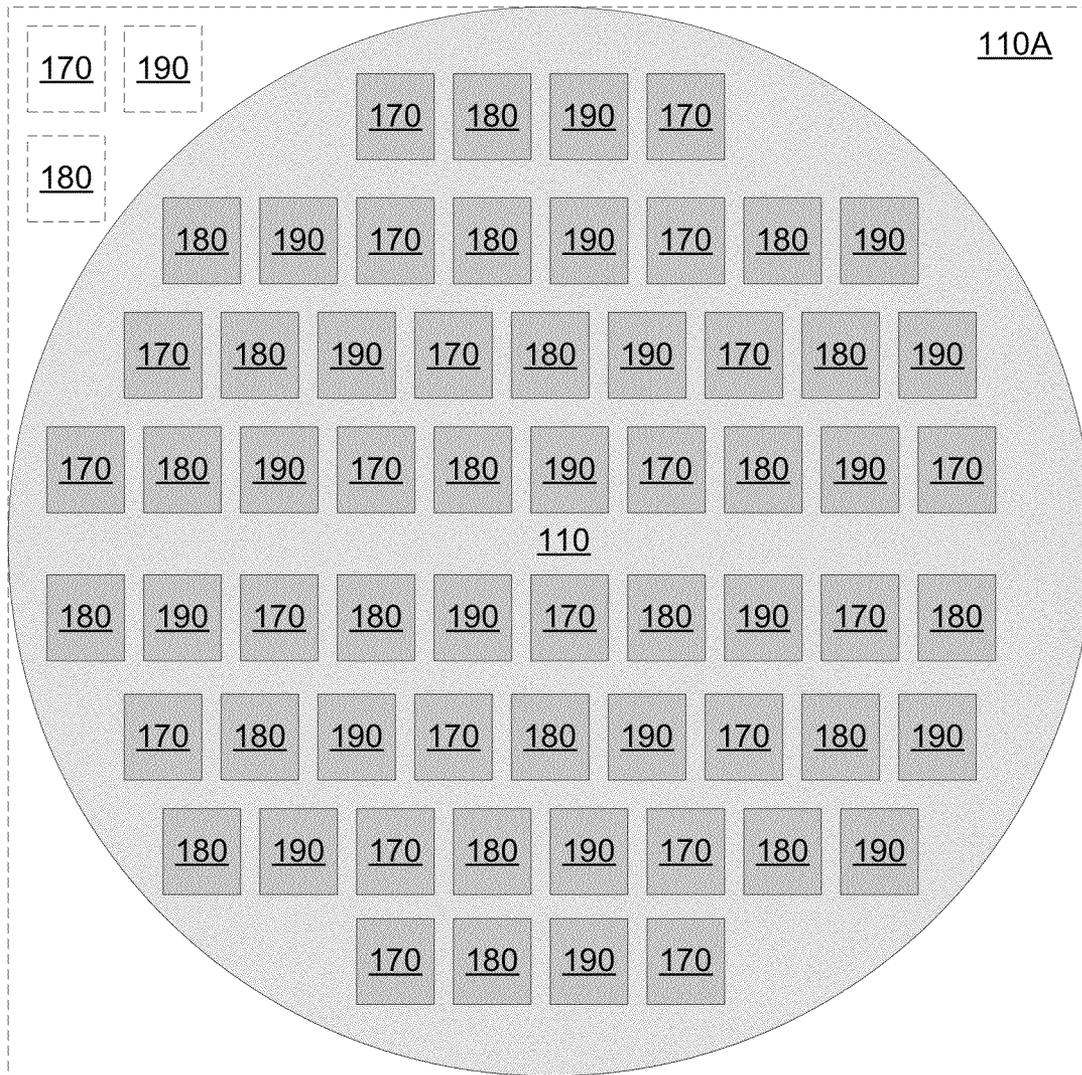


Figure 1B

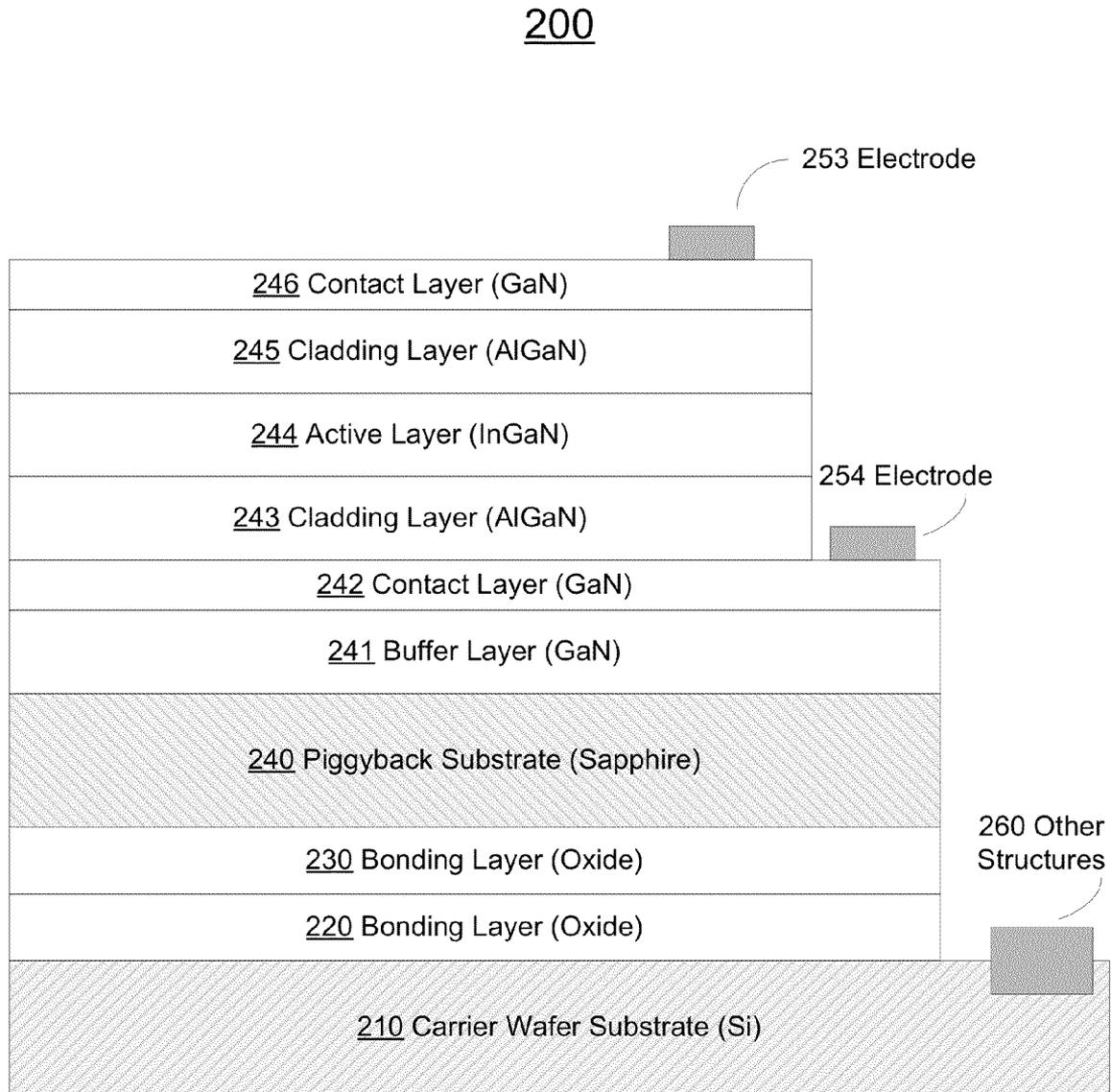


Figure 2

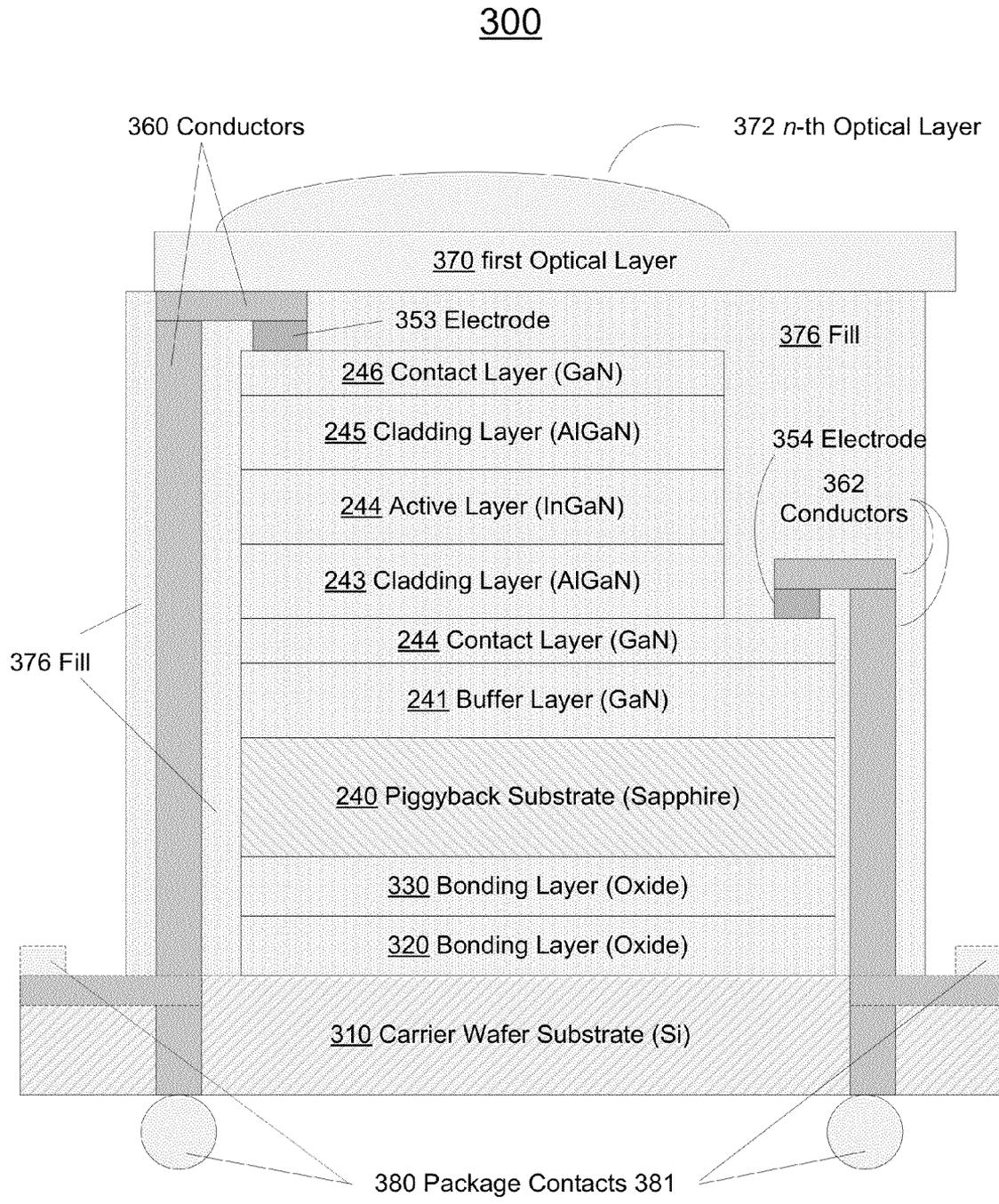


Figure 3

400

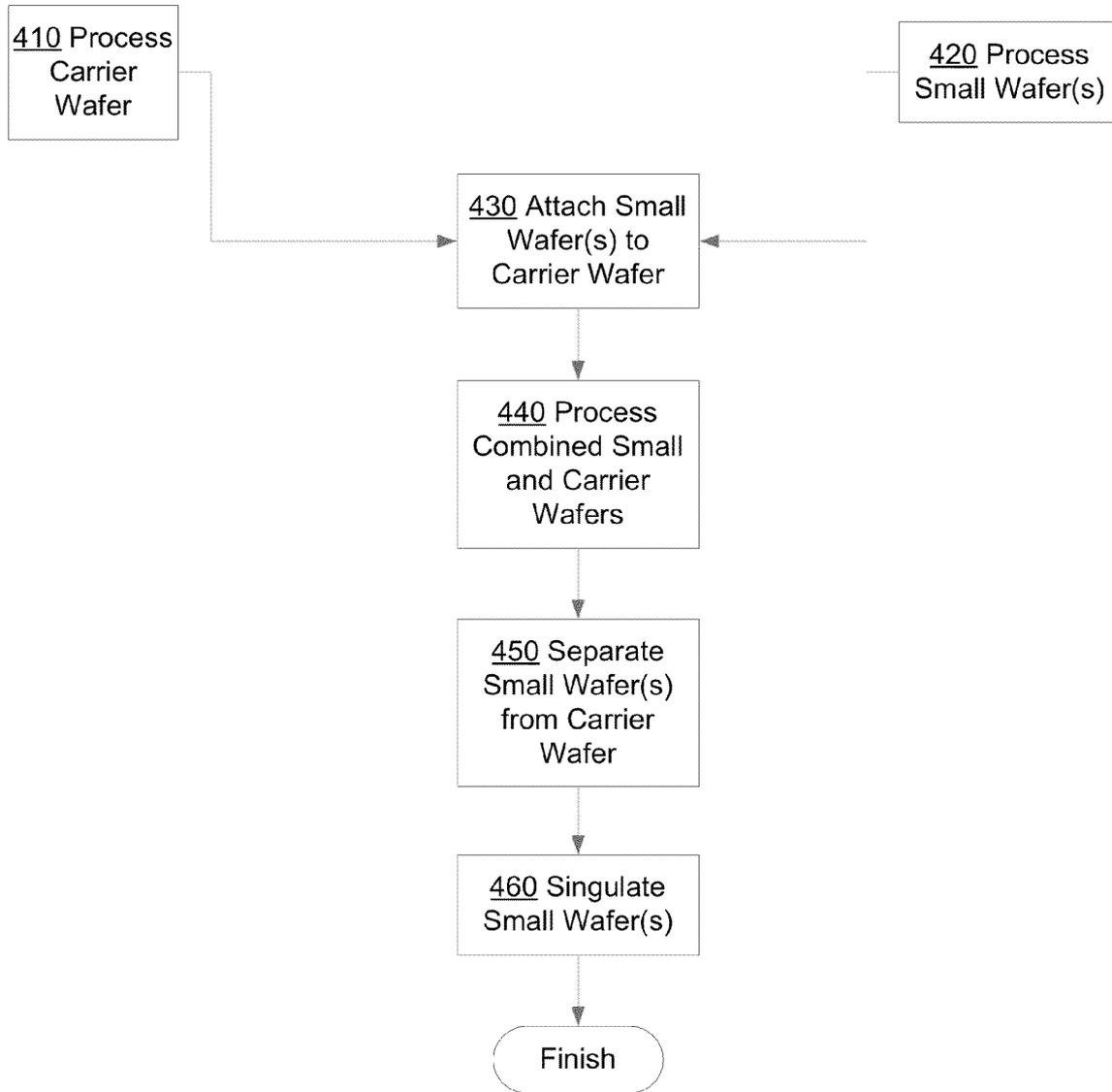


Figure 4A

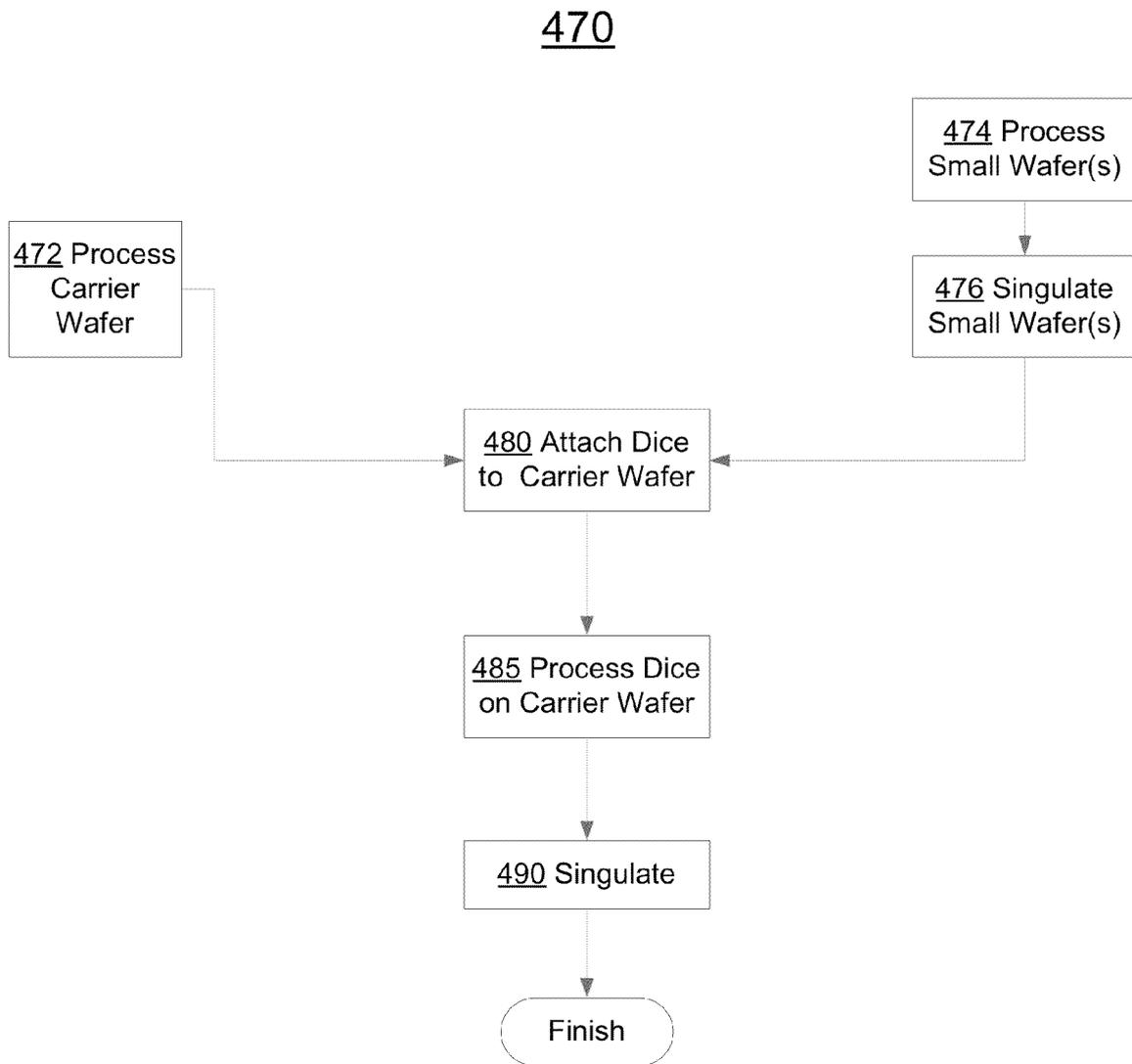


Figure 4B

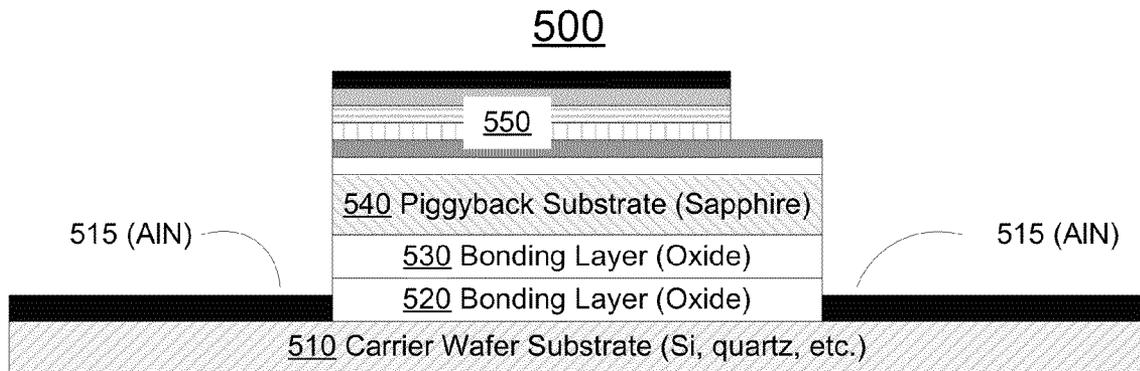


Figure 5A

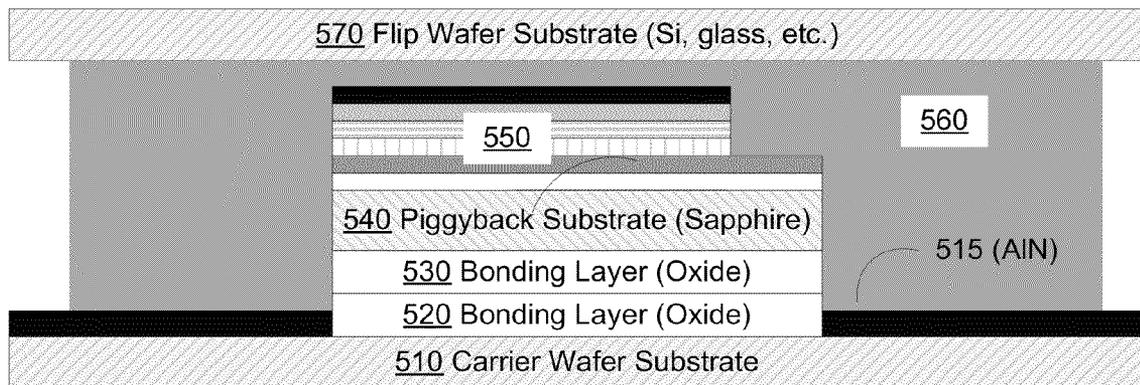


Figure 5B

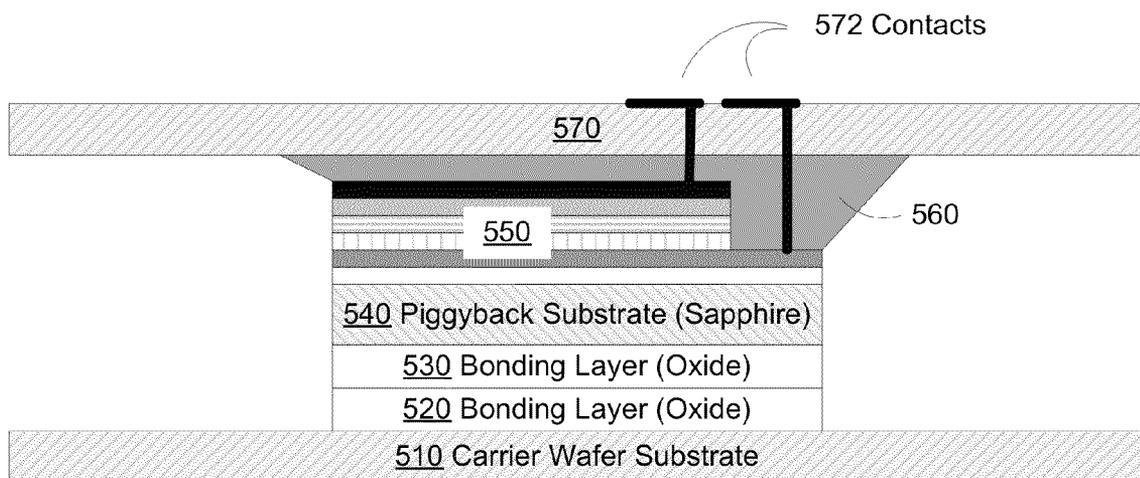


Figure 5C

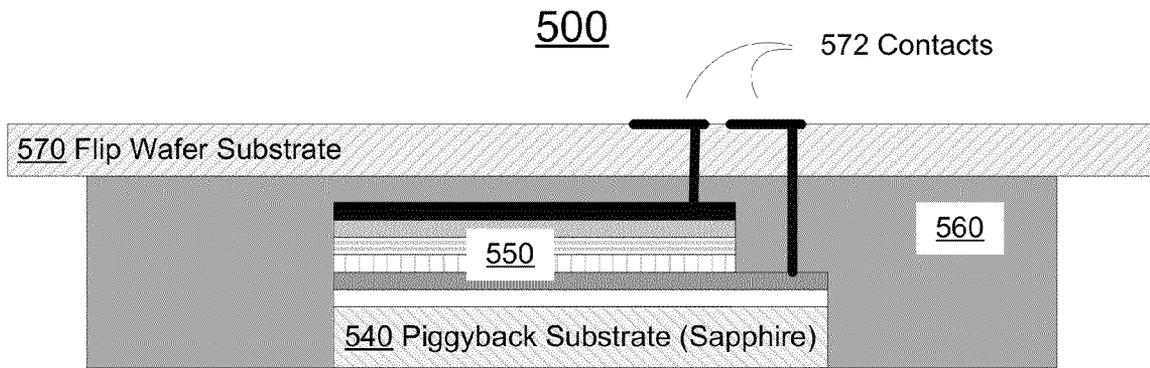


Figure 5D

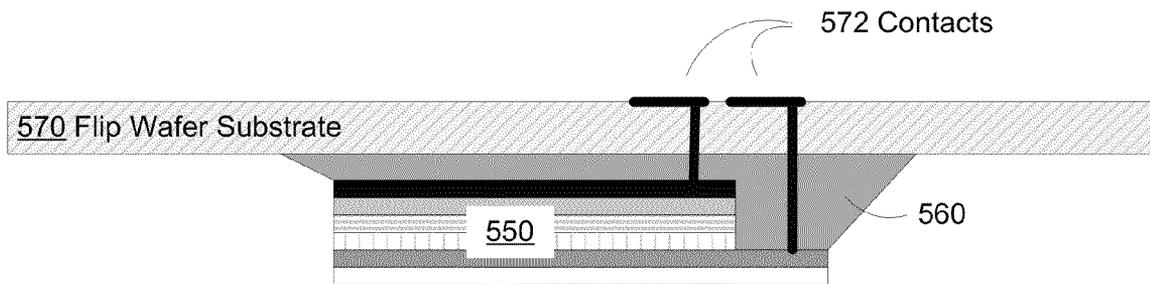


Figure 5E

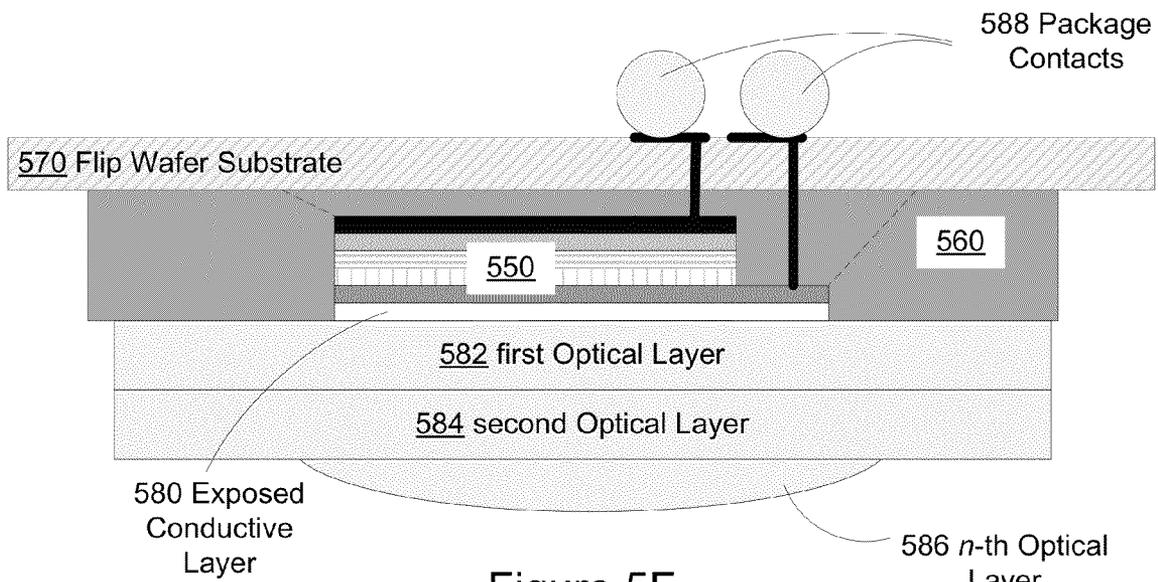


Figure 5F

600

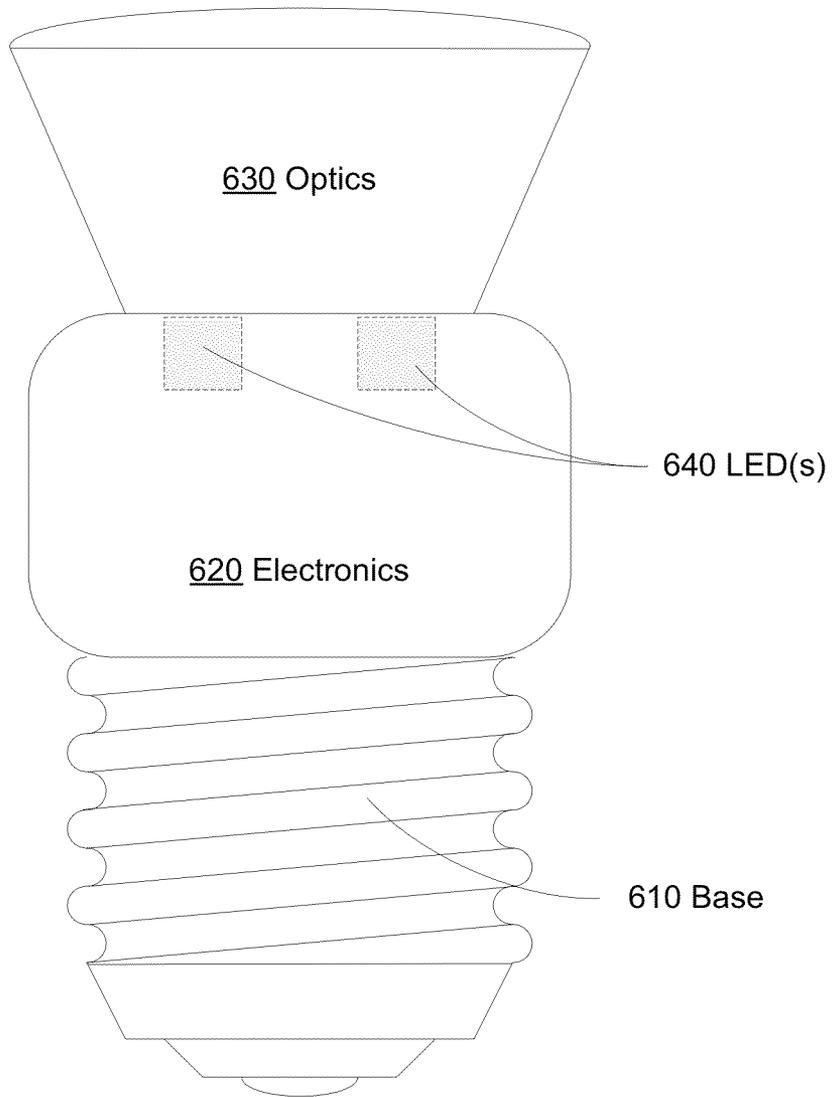


Figure 6

700

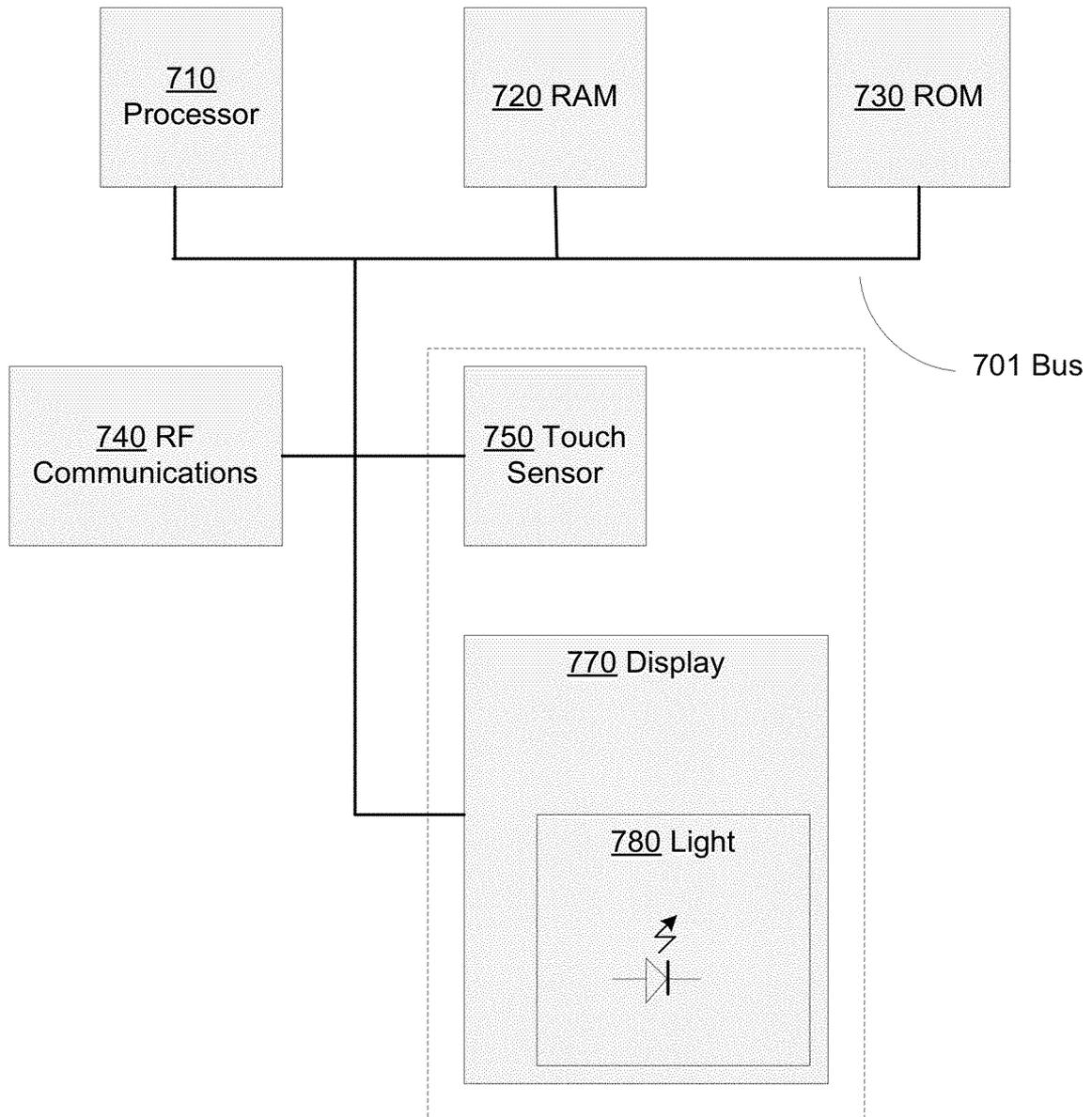


Figure 7

INVERTED OPTICAL DEVICE

FIELD OF INVENTION

Embodiments of the present invention relate to the field of 5
integrated circuit design and manufacture. More specifically,
embodiments of the present invention relate to systems and
methods for inverted optical devices.

BACKGROUND

Silicon is the most common substrate material utilized for
integrated circuit fabrication. Accordingly, much of the fab-
rication process machinery is targeted for use with Silicon.
The current state of the art fabrication facilities utilize 200 15
mm ("8 inch") to 300 mm ("12 inch") diameter Silicon
wafers. In general, a fabrication facility and a fabrication
process are more efficient, e.g., produce more integrated cir-
cuits in less time and/or at a lower cost, using a larger wafer
size. 20

A variety of integrated circuit devices benefit from, or
require, non-Silicon substrates, for example, light emitting
diodes or lasers, optical waveguides, radio-frequency cir-
cuits, low power circuitry or radiation hardened circuitry. 25
Wafers grown using materials other than silicon are generally
only available in smaller wafer sizes, for a variety of reasons
including crystal growth characteristics, mechanical strength
and the like. For example, such non-Silicon wafers are gen-
erally not available in sizes over 100 mm.

SUMMARY OF THE INVENTION

Therefore, what is needed are systems and methods for
inverted optical devices. What is additionally needed are sys- 35
tems and methods for inverted optical devices that enable
dissimilar substrates to benefit from process machinery opti-
mized for large substrates. A further need exists for systems
and methods for inverted optical devices that are compatible
and complementary with existing systems and methods of 40
integrated circuit design, manufacturing and test. Embodi-
ments of the present invention provide these advantages.

In a first method embodiment in accordance with the
present invention, a plurality of piggyback substrates are
attached to a carrier wafer. The plurality of piggyback sub- 45
strates are dissimilar in composition to the carrier wafer. The
plurality of piggyback substrates are processed, while
attached to the carrier wafer, to produce a plurality of inte-
grated circuit devices. A flip wafer is attached to the plurality
of light emitting diodes, away from the carrier wafer and the 50
carrier wafer is removed. The plurality of light emitting
diodes may be singulated to form individual light emitting
diode devices.

In accordance with another embodiment of the present
invention, a method includes bonding a piggyback substrate 55
to a carrier wafer and fabricating a light emitting diode on the
piggyback substrate while bonded to the carrier wafer. The
method also includes attaching a flip wafer to the top of the
light emitting diode, away from the carrier wafer and separ-
ating the piggyback substrate from the light emitting diode. 60
The carrier wafer may also be removed.

In accordance with yet another embodiment of the present
invention, an apparatus includes a non-conducting substrate
structure. The apparatus also includes a light emitting diode
semiconductor structure having a pair of contact surfaces, 65
wherein the pair of contact surfaces face the substrate struc-
ture. The apparatus further includes an insulating, inorganic

material coupling the light emitting diode semiconductor
structure to the substrate structure.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in
and form a part of this specification, illustrate embodiments
of the invention and, together with the description, serve to
explain the principles of the invention. Unless otherwise
noted, the drawings are not drawn to scale. 10

FIG. 1A illustrates a plan view of an exemplary integrated
circuit manufacturing arrangement, in accordance with
embodiments of the present invention.

FIG. 1B illustrates a plan view of an exemplary integrated
circuit manufacturing arrangement, in accordance with
embodiments of the present invention. 15

FIG. 2 illustrates a cross sectional view of an exemplary
integrated circuit manufacturing arrangement, in accordance
with embodiments of the present invention.

FIG. 3 illustrates a cross sectional view of an exemplary
light emitting diode device, in accordance with embodiments
of the present invention. 20

FIG. 4A illustrates a process of piggyback wafer assembly,
in accordance with embodiments of the present invention.

FIG. 4B illustrates a process of piggyback wafer assembly,
in accordance with embodiments of the present invention. 25

FIGS. 5A, 5B, 5C, 5D, 5E and 5F illustrate a method of
manufacturing a light emitting diode assembly, in accordance
with embodiments of the present invention.

FIG. 6 illustrates an example of an application of a light
emitting diode, in accordance with embodiments of the
present invention. 30

FIG. 7 illustrates an exemplary portable computer system,
in accordance with embodiments of the present invention.

DETAILED DESCRIPTION

Reference will now be made in detail to various embodi-
ments of the invention, front facing piggyback wafer assem-
bly, examples of which are illustrated in the accompanying
drawings. While the invention will be described in conjunc-
tion with these embodiments, it is understood that they are not
intended to limit the invention to these embodiments. On the
contrary, the invention is intended to cover alternatives, modi-
fications and equivalents, which may be included within the
spirit and scope of the invention as defined by the appended
claims. Furthermore, in the following detailed description of
the invention, numerous specific details are set forth in order
to provide a thorough understanding of the invention. How-
ever, it will be recognized by one of ordinary skill in the art
that the invention may be practiced without these specific
details. In other instances, well known methods, procedures,
components, and circuits have not been described in detail as
not to unnecessarily obscure aspects of the invention.

NOTATION AND NOMENCLATURE

Some portions of the detailed descriptions which follow
(e.g., processes **400**, **470** and **500**) are presented in terms of
procedures, steps, logic blocks, processing, and other sym-
bolic representations of operations on data bits that may be
performed on computer memory. These descriptions and rep-
resentations are the means used by those skilled in the data
processing arts to most effectively convey the substance of
their work to others skilled in the art. A procedure, computer
executed step, logic block, process, etc., is here, and gener-
ally, conceived to be a self-consistent sequence of steps or

instructions leading to a desired result. The steps are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated in a computer system. It has proven convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, characters, terms, numbers, or the like.

It should be borne in mind, however, that all of these and similar terms are to be associated with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise as apparent from the following discussions, it is appreciated that throughout the present invention, discussions utilizing terms such as “attaching” or “processing” or “singulating” or “processing” or “forming” or “roughening” or “filling” or “accessing” or “performing” or “generating” or “adjusting” or “creating” or “executing” or “continuing” or “indexing” or “computing” or “translating” or “calculating” or “determining” or “measuring” or “gathering” or “running” or the like, refer to the action and processes of a computer system, or similar electronic computing device, e.g., a computer controlled integrated circuit manufacturing system, that manipulates and transforms data represented as physical (electronic) quantities within the computer system’s registers and memories into other data similarly represented as physical quantities within the computer system memories or registers or other such information storage, transmission or display devices.

As used herein, the term “carrier wafer” is not intended to limit the function of such a wafer. For example, a “carrier wafer” may include a variety of circuits and/or structures, and a “carrier wafer” is not limited to only carrying other structures.

Inverted Optical Device

FIG. 1A illustrates a plan view of an exemplary integrated circuit manufacturing arrangement 100, in accordance with embodiments of the present invention. Arrangement 100 comprises a first, “large,” carrier wafer 110 and a plurality of smaller, “piggyback” wafers, 120, 130, 140 and 150. Wafer flats or notches, if any, are not illustrated. In the exemplary embodiment of FIG. 1A, wafer 110 is nominally 300 mm, also known as a “12 inch” wafer. Piggyback wafers 120-150 are 76.2 mm (“3-inch”) wafers. These sizes are exemplary, and not intended to be limiting. It is to be appreciated that embodiments in accordance with the present invention are well suited to a variety of wafer sizes, shapes and materials for both carrier and piggyback wafers.

Piggyback wafers 120-150 generally comprise a non-Silicon substrate. Common non-Silicon substrates include sapphire ($\alpha\text{-Al}_2\text{O}_3$), Gallium Nitride (GaN), Gallium Arsenide (GaAs), Gallium Phosphide (GaP), Lithium Tantalate (LiTa), Lithium Niobate (LiN), Indium Arsenide (InAs), Indium Phosphide (InP), Silicon Carbide (SiC), and Germanium (Ge). In general, the size of piggyback wafers 120-150 is limited to be less than the size of carrier wafer 110. For example, crystal growth characteristics and/or mechanical properties of a non-Silicon substrate may limit the size of piggyback wafers 120-150 to less than the size of a larger, “modern” Silicon substrate.

It is to be appreciated that piggyback wafers 120-150 may also comprise Silicon, in whole or in part. Other factors may make use of piggyback wafers 120-150 comprising Silicon substrates desirable. For example, a critical process step may

be performed on piggyback wafers 120-150 on a fabrication line that is limited to relatively smaller wafers.

Carrier wafer 110 may comprise Silicon, or any other material suitable for the processing environment of piggyback wafers 120-150, including, for example, glass, quartz, fused silica, metals and the like. Characteristics of concern include process temperature, compatibility with process ambient gasses, mechanical strength, flatness, resistance to vibration, suitability to bonding with the piggyback wafers, and the like. Carrier wafer 110 should be sufficiently large to attach at least two piggyback wafers, e.g., piggyback wafers 120, 130.

Piggyback wafers 120-150 are attached or bonded to carrier wafer 110 via any suitable attachment method, for example, direct bonding, oxide to oxide bonding, anodic bonding, brazing, diffusion bonding, eutectic bonding, plasma activated bonding, glass frit bonding, adhesive bonding, reactive bonding and the like. Piggyback wafers 120-150 may comprise substantially unprocessed, e.g., blank, substrates, or may have had some processing prior to attachment to carrier wafer 110.

After attachment, a series of integrated circuit processing operations may be performed on piggyback wafers 120-150, while attached to carrier wafer 110, as part of integrated circuit manufacturing arrangement 100. For example, such processing may be described as forming a semiconducting structure on the piggyback wafers 120-150. Alternatively, such processing may be described as forming an active layer on the piggyback wafers 120-150. An active layer may include devices such as transistors, diodes, capacitors, resistors, inductors, filters, e.g., comb filters, and the like. In this novel manner, carrier wafer 110 enables piggyback wafers 120-150 to be processed on fabrication equipment intended for wafers larger than the individual piggyback wafers 120-150.

FIG. 1B illustrates a plan view of an exemplary integrated circuit manufacturing arrangement 160, in accordance with embodiments of the present invention. Arrangement 160 comprises a first, “large,” carrier wafer 110 and a plurality of “piggybacked,” singulated dice 170-190. Wafer flats or notches, if any, are not illustrated. FIG. 1B illustrates two possible shapes (plan view) for wafer 110, e.g., generally circular and generally square, 110A, illustrated with dotted lines. A variety of shapes for a carrier wafer are well-suited to embodiments in accordance with the present invention. Piggyback dice 170-190 may comprise a Silicon or non-Silicon substrate.

It may be desirable to singulate dice 170, 180 and 190 prior to a completion of fabrication activities. For example, mechanical strength or crystal orientation characteristics may make singulation of dice 170-190 impractical after completion of all fabrication processing.

In addition, singulation of dice 170-190 prior to attachment to carrier wafer 110, as illustrated in exemplary integrated circuit manufacturing arrangement 160, may enable a greater area of carrier wafer 110 to carry devices, in comparison to exemplary integrated circuit manufacturing arrangement 100 (FIG. 1A).

Piggyback dice 170-190 are attached or bonded to carrier wafer 110 via any suitable attachment method, for example, direct bonding, oxide to oxide bonding, anodic bonding, brazing, diffusion bonding, eutectic bonding, plasma activated bonding, glass frit bonding, adhesive bonding, reactive bonding and the like. Piggyback dice 170-190 may comprise substantially unprocessed substrates, or may have undergone some processing prior to attachment to carrier wafer 110.

It is to be appreciated that plurality of dice **170-190** need not be of the same size, shape or design, and need not have the same type of substrate, in accordance with embodiments of the present invention. For example, an instance of die **170** may be a blue light emitting diode comprising a sapphire substrate. An instance of die **180** may be a green light emitting diode comprising a Gallium phosphide (GaP) substrate. An instance of die **190** may be a red light emitting diode comprising a Gallium arsenide (GaAs) substrate. The three instances of dice **170-190** may be arranged in an array on carrier wafer **110** such that the light from such three primary colors may be combined to produce a variety of spectral colors.

After attachment, a series of integrated circuit processing operations may be performed on piggyback dice **170-190**, while attached to carrier wafer **110**, as part of integrated circuit manufacturing arrangement **160**. For example, such operations may be described as forming a semiconducting structure on the piggyback dice **170-190**. Alternatively, such processing may be described as forming an active layer on the piggyback dice **170-190**. An active layer may include devices such as transistors, diodes, capacitors, resistors, inductors, filters, e.g., comb filters, and the like. In this novel manner, carrier wafer **110** enables piggyback dice **170-190** to be processed on fabrication equipment intended for wafers, after singulation of dice **170-190**.

Further with respect to FIGS. **1A** and **1B**, it is to be appreciated that wafer **110** may comprise mechanical and/or electrical features, applied prior to and/or after attachment of piggyback wafers **120-150** (FIG. **1A**) or dice **170** (FIG. **1B**). For example, wafer **110** may comprise passive electrical features, e.g., "wires," to couple instances of dice **170-190**. Wafer **110** may also comprise active electrical features, e.g., logic gates, power supply features, drive electronics and/or complex integrated circuits, e.g., a microprocessor, to couple to dice **170-190**.

It is to be appreciated that embodiments in accordance with the present invention are well suited to a variety of plan-view shapes for carrier wafer **110**. For example, carrier wafer **110** may be generally circular, with or without a flat or a notch. In addition, carrier wafer **110** may be rectangular or any other suitable shape, e.g., a hexagon. A wide variety of factors should be considered in selecting a shape for carrier wafer **110**, including, for example, medium growth characteristics, processing equipment features and/or requirements, and desired placement of piggyback wafers, e.g., **120-150** (FIG. **1A**), and/or desired placement of singulated dice, e.g., **170-190** (FIG. **1B**). For example, it may be advantageous to place rectangular singulated dice on a rectangular carrier wafer.

FIG. **2** illustrates a cross sectional view of an exemplary integrated circuit manufacturing arrangement **200**, in accordance with embodiments of the present invention. Arrangement **200** generally corresponds to a section of arrangement **100** through a piggyback wafer **120** (FIG. **1A**), or a section of arrangement **160** through a piggyback die **170** (FIG. **1B**). The material types and numbers of layers set forth are exemplary, and not intended to be limiting.

In accordance with an embodiment of the present invention, integrated circuit manufacturing arrangement **200** comprises a carrier wafer **210** comprising, for example, Silicon. It is to be appreciated that embodiments in accordance with the present invention are well suited to a carrier wafers comprising a variety of materials, including, for example, glass, quartz, fused silica, metals and the like. A piggyback substrate **240** comprising, for example, sapphire, is bonded to carrier wafer **210** via oxide to oxide bonding, producing bonding layers **220**, **230**.

After piggyback substrate **240**, for example, as a wafer (FIG. **1A**) or as a singulated die (FIG. **1B**), is attached to carrier wafer **210**, well-known integrated circuit fabrication operations are performed on piggyback substrate **240** while attached to carrier wafer **210**. It is to be appreciated that well-known integrated circuit fabrication operations may also be performed on carrier wafer **210**.

In an exemplary embodiment, a GaN buffer layer **241**, which may be undoped, is formed on sapphire substrate **240**. Layer **241**, or any layer formed on a substrate may be referred to as a first sequentially fabricated layer of the light emitting diode. An n-type GaN contact layer **242** is formed on buffer layer **241**. An optional n-type AlGaIn cladding layer **243** may be formed on contact layer **242**. A p-type InGaIn active layer **244** is formed on cladding layer **243**. The active layer **244** may also be a multiple quantum well (MQW) structure which is responsible for light emission, for example, a MQW comprising InGaIn/GaN units that emit blue light. A p-type AlGaIn cladding layer of electron blocking layer (EBL) **245** is formed on active layer **244**, and a p-type GaN contact layer **246** is formed on cladding layer **245**. Layer **246** may be referred to as a last sequentially fabricated layer of the light emitting diode. The stack may be annealed in a Nitrogen atmosphere at about 700° C., forming a blue LED. Electrodes **253** and **254** are added to contact the integrated circuit device.

Integrated circuit manufacturing arrangement **200** may also comprise integrated circuits or other structures **260** formed on and/or in carrier wafer **210**, including, for example, passive electrical features, e.g., "wires," and/or active electrical features, e.g., logic gates, driver circuits, radio frequency circuits, highly integrated circuits, e.g., a microprocessor, and/or power supply features, and/or other structures, including, for example, microelectromechanical systems (MEMS). The formation of other structures **260** may occur prior to and/or after attachment of piggyback substrate **240** to carrier wafer **210**. The indicated location of other structures **260** is exemplary, and not intended to be limiting. For example, a portion or all of other structures **260** may be located under, e.g., within the vertical projection of, piggyback substrate **240**.

FIG. **3** illustrates a cross sectional view of an exemplary light emitting diode device **300**, in accordance with embodiments of the present invention. Light emitting diode device **300** comprises a light emitting diode formed on a sapphire substrate comprising layers **240-246**, as previously described with respect to FIG. **2**.

In addition to layers **240-246**, light emitting diode device **300** comprises package contacts **380** and **381**. Package contacts **380** and **381** electrically couple the electronics of light emitting diode device **300** to outside electronics, and may comprise, for example, solder balls, Controlled Collapse Chip Connection ("C4") and/or wire bonding contacts. Package contacts **380**, **381** may be formed on the secondary or back side of carrier wafer substrate **310**, although that is not required. Similar to carrier wafer **210** (FIG. **2**), carrier wafer substrate **310** may comprise Silicon or other materials including, for example, glass, quartz, fused silica and/or metals, in accordance with embodiments of the present invention.

In accordance with embodiments of the present invention, package contacts **380**, **381** may be formed on the front or primary surface, e.g., on the same side as piggyback substrate **240**, of carrier wafer substrate **310**. This arrangement is illustrated with dotted lines in FIG. **3**. Such arrangement may be compatible with package wiring processes and structures, for example, wire bonding.

Light emitting diode device **300** further comprises bonding layers **320**, **330**. Bonding layers **320**, **330** are generally simi-

lar to bonding layers **220, 230** as described in FIG. 2, and may result from and/or be required for attachment or bonding of piggyback substrate **240** to carrier wafer substrate **310**.

Light emitting diode device **300** further comprises conductors **360** and **362** for coupling package contacts **380** and **381** to the contact layers **246** and **244** respectively, of the light emitting diode **240-246**. Conductors **360** and **362** may comprise vias, for example, or any other suitable conductive technology. Conductors **360** and **362** need not be the same material, nor constructed utilizing the same techniques throughout light emitting diode device **300**. Conductor **360** electrically couples package contact **380** to contract layer **246** through electrode **353**. Similarly, conductor **362** electrically couples package contact **381** to contract layer **244** through electrode **354**.

A fill material **376** encompasses layers **320, 330, 240-246, 260, 262, 353** and **353**. Fill material **376** is on top of carrier wafer substrate **310**. Fill material **376** comprises any suitable fill material, and may form a protective encapsulant, suitable for providing environmental and handling protection. Fill material **376** should be a good electrical insulator.

On top of fill material **376**, a plurality of optical layers, e.g., first optical layer **370** and n-th optical layer **372** are fabricated. The optical layers function to couple the light emitted from the light emitting diode **240-246** to free space. One or more of optical layers **370-372** may form optical lenses. In general, a plurality of optical layers should form a progression of refractive indices from the refractive index of the LED itself to that of air. For example, the optical layer material with the highest refractive index should be closest to the LED, and the optical layer material with the lowest refractive index should be farthest from the LED.

In accordance with embodiments of the present invention, one or more of optical layers **370-372** may comprise a coating of phosphor(s) to produce a phosphor-based white LED.

Table 1, below, list some potentially suitable materials for use as optical layer materials and their corresponding refractive indices, in accordance with embodiments of the present invention.

TABLE 1

Material	Refractive Index
Zirconia (ZrO ₂)	2.2
Lithium niobate (LiNbO ₃)	2.3
Potassium Niobate (KNbO ₃)	2.28
Silicon nitride (Si ₃ N ₄)	2.0404
Cadmium indate (CdIn ₂ O ₄)	2.58
Hafnia (HfO ₂)	1.9
Strontium titanate (SrTiO ₃)	2.472
Titanium dioxide (TiO ₂)	2.44
Niobium pentoxide (Nb ₂ O ₅)	2.35
Zinc Oxide (ZnO)	2.0
Zinc sulfide (ZnS)	2.419
Molybdenum trioxide (MoO ₃)	2.0

In this novel manner, light emitting diode device **300** comprises many elements of a complete light emitting diode, ready for a next level packaging. For example, light emitting diode device **300** comprises electrical package contacts, optical devices, e.g., lenses, encapsulant and the like. Light emitting diode device **300** may further comprise electrical devices, e.g., power supplies and/or drive electronics that are generally useful for operation of a light emitting diode.

Additionally, it is to be appreciated that the carrier wafer **310** material, e.g., Silicon, in the final device **300** may facilitate heat transfer from the light emitting diode to higher-level packaging and/or the ambient environment. For example, the

thermal conductivity of Silicon, 149 W·m⁻¹·K⁻¹, is much greater than that of sapphire, 32 or 35 W·m⁻¹·K⁻¹, depending on the orientation.

FIG. 4A illustrates a process **400** of piggyback wafer assembly, in accordance with embodiments of the present invention. At optional **410**, a carrier wafer, e.g., wafer **110** of FIG. 1A, is processed. Such processing may include preparation for attachment of small wafer(s), e.g., at **430**, further described below. Such processing may also include well known operations for manufacturing, or the partial manufacture, of integrated circuits or other structures, including, for example, microelectromechanical systems (MEMS), onto a carrier wafer. Such processing may further include formation of conductive vias in a carrier wafer.

At optional **420**, a plurality of small wafers, e.g., **120, 130, 140** and/or **150** of FIG. 1A, are processed. It is to be appreciated that the plurality of small wafers will generally be of a different composition than the carrier wafer, although this is not required. For example, the plurality of small wafers may comprise a sapphire substrate, while the carrier wafer may comprise Silicon. Processing operations performed on the plurality of small wafers may include preparation for attachment to a carrier wafer, e.g., at **430**. Such processing may also include well known operations for manufacturing, or the partial manufacture, of integrated circuits or other structures, including, for example, microelectromechanical systems (MEMS), onto such wafers.

At **430**, the plurality of small wafers is attached to the carrier wafer. Such attachment may utilize any suitable attachment method, for example, direct bonding, oxide to oxide bonding, anodic bonding, brazing, diffusion bonding, eutectic bonding, plasma activated bonding, glass frit bonding, adhesive bonding, reactive bonding and the like.

At **440**, the combined plurality of small wafers and the carrier wafer are processed via well known semiconductor processing techniques. For example, such processing may be described as forming a semiconducting structure on the small wafers. Alternatively, such processing may be described as forming an active layer on the piggyback small wafers. An active layer may include devices such as transistors, diodes, capacitors, resistors, inductors, filters, e.g., comb filters, and the like. Both the plurality of small wafers and the carrier wafer may be processed in this manner. For example, integrated circuits and/or other structures, including, for example, microelectromechanical systems (MEMS), may be created on the carrier wafer, the plurality of small wafers or on both types of wafers.

At optional **450**, the plurality of small wafers are separated from the carrier wafer. Such separation may utilize any suitable separation method, including chemical and/or mechanical methods. In one exemplary embodiment, a back grinding or lapping operation may remove the carrier wafer.

At **460**, the plurality of small wafers are singulated to produce individual die. Any suitable singulation process, e.g., scribing and breaking, mechanical sawing, and/or laser cutting, may be utilized. It is to be appreciated that such die may comprise a portion of a carrier wafer, and may further comprise integrated circuit devices and/or structures formed on or in such a portion of the carrier wafer.

FIG. 4B illustrates a process **470** of piggyback wafer assembly, in accordance with embodiments of the present invention. At optional **472**, a carrier wafer, e.g., wafer **110** of FIG. 1A, is processed. Such processing may include preparation for attachment of small wafer(s), e.g., at **480**, further described below. Such processing may also include well known operations for manufacturing, or the partial manufacture, of integrated circuits or other structures, including, for

example, microelectromechanical systems (MEMS), onto a carrier wafer. Such processing may further include formation of conductive vias in a carrier wafer.

At optional **474**, a plurality of small wafers, e.g., **120**, **130**, **140** and/or **150** of FIG. **1A**, are processed. Such processing may include preparation for attachment to a carrier wafer, e.g., at **480**. Such processing may also include well known operations for manufacturing, or the partial manufacture, of integrated circuits or other structures onto such wafers.

At **476**, the plurality of small wafers are singulated. For example, each wafer is converted into a plurality of individual, "piggyback" dice. Any suitable singulation process, e.g., scribing and breaking, mechanical sawing, and/or laser cutting, may be utilized.

At **480**, the piggyback dice, from the plurality of small wafers, are attached to the carrier wafer. Such attachment may utilize any suitable attachment method, for example, direct bonding, oxide to oxide bonding, anodic bonding, brazing, diffusion bonding, eutectic bonding, plasma activated bonding, glass frit bonding, adhesive bonding, reactive bonding and the like.

At **485**, the combined plurality of piggyback dice on the carrier wafer are processed via well known semiconductor processing techniques. For example, such processing may be described as forming a semiconducting structure on the piggyback dice. Alternatively, such processing may be described as forming an active layer on the piggyback dice. An active layer may include devices such as transistors, diodes, capacitors, resistors, inductors, filters, e.g., comb filters, and the like. Both the plurality of piggyback dice and the carrier wafer may be processed in this manner. For example, integrated circuits and/or other structures, including, for example, microelectromechanical systems (MEMS), may be created on the carrier wafer, the plurality of piggyback dice or on both types of wafers.

It is to be appreciated that the processing at **485** may include formation of structures as illustrated in FIG. **3**, for example, conductors **360**, **362**, fill **376**, package contacts **380**, **381**, and/or optical layers **370**, **372**.

At **490**, a plurality of integrated circuit devices are singulated. It is to be appreciated that a variety of singulation methods are suitable for embodiments in accordance with the present invention. For example, the piggyback dice may be separated from the carrier wafer. Such separation may utilize any suitable separation method, including chemical and/or mechanical methods. In one exemplary embodiment, a back grinding or lapping operation may remove the carrier wafer. It is to be appreciated that such separation from the carrier wafer may result in singulation of the piggyback dice.

In accordance with embodiments of the present invention, well-known singulation techniques may be applied to the combined carrier wafer and piggyback dice. Any suitable singulation process, e.g., scribing and breaking, mechanical sawing, and/or laser cutting, may be utilized. It is to be appreciated that a resulting die may comprise a portion of a carrier wafer. For example, the resulting die may comprise substrate material from the carrier wafer, which may be different from the substrate of the piggyback die. The resulting die may further comprise integrated circuit devices and/or structures formed on or in such a portion of the carrier wafer, for example, as illustrated by other structures **260** of FIG. **2**. It is to be further appreciated that the singulated structure may have a larger footprint than the footprint of the piggyback die.

FIGS. **5A-5F** illustrate a method **500** of manufacturing a light emitting diode assembly, in accordance with embodiments of the present invention. In FIG. **5A**, light emitting diode layers **550** are formed on a sapphire piggyback sub-

strate **540**, after bonding to a carrier wafer substrate **510**, comprising, e.g., Silicon, quartz or fused silica. It is appreciated that carrier wafer substrate **510** comprises a crystalline interface with light emitting diode layers **550**. It is further appreciated that piggyback substrate **540** may comprise a variety of materials, e.g., sapphire ($\alpha\text{-Al}_2\text{O}_3$), Gallium Nitride (GaN), Gallium Arsenide (GaAs), Gallium Phosphide (GaP), Lithium Tantalate (LiTa), Lithium Niobate (LiN), Indium Arsenide (InAs), Indium Phosphide (InP), Silicon Carbide (SiC), or Germanium (Ge), in accordance with embodiments of the present invention. Bonding layers **520**, **530** may be produced as a result of, or to enable, a bonding process. Exemplary materials and manufacturing methods have been previously described. In general, there will be multiple instances of the stack **520**, **530**, **540** and **550** produced on carrier wafer **510**.

FIG. **5A** also illustrates the application of an optional sacrificial layer **515**, e.g., comprising Aluminum nitride (AlN). Optional sacrificial layer **515** may benefit a separation process, e.g., laser lift off, as described later in FIG. **5E**

In FIG. **5B**, a fill material **560**, comprising any suitable fill material, e.g., Silicon dioxide (SiO_2) or Silicon nitride (Si_3N_4), is formed around and over the LED stack **520**, **520**, **540** and **550** and over optional sacrificial layer **515**, if present. FIG. **5B** also illustrates the addition of a "flip" wafer substrate **570**, comprising, for example, Silicon, glass, fused silica, plastic-based materials, glass-reinforced epoxy, metal-core printed circuit board (PCB) materials, ceramics and/or organic materials, e.g., as utilized in multi-chip modules, applied to the "top" of the LED stack, e.g., the side away from carrier wafer **510**. It is appreciated that the LED stack, layers **550**, is not grown or otherwise formed on flip wafer substrate **570**. Accordingly, flip wafer substrate **570** does not have a crystalline interface with LED layers **550**.

Flip wafer substrate **570** can attached to **560** and/or **550** through bonding techniques including, for example, direct bonding, oxide to oxide bonding, diffusion bonding, plasma activated bonding, glass frit bonding, adhesive bonding, reactive bonding and the like. Flip wafer substrate **570** may have a reflective or partially reflective bottom surface, e.g., the surface facing light emitting diode layers **550**. Flip wafer substrate **570** may or may not be flush with the top of light emitting diode layers **550**. For example, fill material **560** may or may not separate light emitting diode layers **550** from flip wafer substrate **570**.

FIG. **5C** illustrates formation of conductive vias through flip wafer substrate **570** to form contacts **572**, in accordance with embodiments of the present invention. The vias may be formed in flip wafer substrate **570** prior to or after attachment, in accordance with embodiments of the present invention.

FIG. **5C** also illustrates an optional partial removal of fill **560** from a region above carrier wafer **510**. Any suitable process may be used to partially remove portions of fill **560**. Alternatively, a lesser amount of fill **560**, corresponding approximately to FIG. **5C**, may be applied.

In FIG. **5D**, carrier wafer **510** and any bonding layers, e.g., bonding layers **520**, **530**, are removed. The bottom of piggyback wafer substrate **540** may be polished, e.g., to improve optical and/or thermal characteristics.

In accordance with an embodiment of the present invention, FIG. **5E** illustrates removal of piggyback wafer substrate **540**, exposing a conductive layer **580** of the light emitting diode layers **550**, e.g., corresponding to layer **244** of FIG. **3**. The piggyback substrate **540** may be removed via a laser lift-off (LLO) process, for example using a Krypton fluoride (KrF) and/or Argon fluoride (ArF) laser to decompose GaN or a thin sacrificial Aluminum nitride (AlN) layer **515**. It is to be

appreciated that a laser lift off process may remove an undoped, low-temperature buffer layer, e.g., buffer layer **241** of FIG. **3**, in addition to removing a piggyback substrate, e.g., piggyback substrate **540**. In such a case,

A process to remove a piggyback substrate may benefit from using a carrier substrate material that is transparent to the light of such lasers, e.g., quartz or fused silica. Such lasers generally emit in the ultra-violet range of the spectrum. For example, it may be possible to remove a carrier substrate and a piggyback substrate in a single operation, for example, by separating the piggyback substrate from the emitting diode layers while the piggyback wafer substrate **540** and the carrier substrate material **540** are still bonded together.

In accordance with embodiments of the present invention, removal of a piggyback substrate, e.g., the substrate upon which the LED was formed, may have advantages in light extraction and thermal management, in comparison with devices that leave such a substrate in place. For example, sapphire has a relatively low refractive index, e.g., about 1.78. Accordingly, the presence of even a very thin, e.g., 100 nm, sapphire layer will tend to trap light within the LED stack. For comparison, materials that may commonly form an LED stack generally have a higher refractive index. For example, GaN has a refractive index of 2.45, GaP, has a refractive index of 3.5 and/or GaAs, has a refractive index of 3.9.

In accordance with embodiments of the present invention, the exposed surface of exposed conductive layer **580** may be roughened by a selective wet etch, e.g., using alkaline etchants such as Potassium hydroxide (KOH) or Tetramethylammonium hydroxide ((CH₃)₄NOH, TMAH). Such a process may disrupt internal reflections enabling more light to escape from the semiconductor layer.

In addition, heat dissipation may be improved by the removal of a sapphire substrate due to the poor thermal conductivity of sapphire, in accordance with embodiments of the present invention.

In FIG. **5F**, proceeding from the situation of either FIG. **5D** or FIG. **5E**, a plurality of optical layers, e.g., first optical layer **582**, second optical layer **584** and n-th optical layer **586** are fabricated over the “bottom” of the light emitting diode **550** and/or piggyback substrate **540** (if present), in a manner similar to that previously described with respect to FIG. **3**. The optical layers function to couple the light emitted from the light emitting diode **550** to free space. One or more of optical layers **582-586** may form optical lenses. In general, a plurality of optical layers should form a progression of refractive indices from the refractive index of the LED itself to that of air. For example, the optical layer material with the highest refractive index should be closest to the LED, and the optical layer material with the lowest refractive index should be farthest from the LED.

In accordance with embodiments of the present invention, one or more of optical layers **582-586** may comprise a coating of phosphor(s) to produce a phosphor-based white LED.

FIG. **5F** also illustrates package contacts **588**, formed on the “top” surface of flip wafer substrate **570**. Package contacts **588** electrically couple the electronics of light emitting diode layers **550** to outside electronics, e.g., via a printed circuit board, and may comprise, for example, solder balls, Controlled Collapse Chip Connection (“C4”) and/or wire bonding contacts.

It is appreciated that the light emitting diode device of FIG. **5F** is “upside down” or “flipped” with respect to the light emitting diode device previously described with respect to FIG. **3**. For example, light is emitted from device **300** (FIG. **3**) in the direction of the build up of layers of the light emitting

diode, and away from the carrier wafer (removed or not) and away from the piggyback substrate (removed or not).

In contrast, as illustrated in FIG. **5F**, light is emitted from the overall device in the direction opposite of the build up of layers of the light emitting diode, and toward the piggyback substrate (removed or not). Accordingly, such differences in construction may be advantageous based on the emission characteristics of a particular lay up of a light emitting diode.

FIG. **6** illustrates an example of an application of a light emitting diode, in accordance with embodiments of the present invention. Light source **600** is well suited to a variety of lighting applications, including domestic, industrial and landscape lighting. Light source **600** is also well suited to stage or theatrical lighting. Light source **600** comprises a base **610**. As illustrated, base **610** is an Edison type base. It is appreciated that embodiments in accordance with the present invention are well suited to other types of bases, including, for example, GU, bayonet, bipin, wedge or other type of bases.

Light source **600** additionally comprises a body portion **620** that houses power conditioning electronics (not shown) that convert 110V AC input electrical power (or 220 V AC, or other selected input electrical power) to electrical power suitable for driving a plurality of light emitting diode devices **640**. Body portion **620** may also comprise, or couple to, optional heat sink features (not shown).

Light source **600** additionally comprises optional optics **630**. Optics **630** comprise diffusers and/or lenses for focusing and/or diffusing light from the plurality of light emitting diode devices **640** into a desired pattern.

Light source **600** comprises a plurality of light emitting diode devices (LEDs) **640**. Individual LEDs of plurality of light emitting diode devices **640** may correspond to assemblies previously described herein. For example, plurality of light emitting diode devices **640** may include instances of singulated dice **170**, **180** and/or **190**. In addition, plurality of light emitting diode devices **640** may include instances of light emitting diode device **300**. Further, plurality of light emitting diode devices **640** may include instances of light emitting diode devices as described in FIGS. **5A-5F**. It is appreciated that not all instances of plurality of light emitting diode devices **640** need be identical.

It is to be further appreciated that plurality of light emitting diode devices **640** may include a single substrate comprising multiple light emitting devices. For example, a single instance of plurality of light emitting diode devices **640** may comprise a plurality of individual, different, LED devices formed on a common substrate. For example, as previously described, an instance of die **170** may be a blue light emitting diode comprising a sapphire substrate. An instance of die **180** may be a green light emitting diode comprising a Gallium phosphide (GaP) substrate. An instance of die **190** may be a red light emitting diode comprising a Gallium arsenide (GaAs) substrate. The three instances of dice **170-190** may be arranged in an array on carrier wafer **110** such that the light from such three colors may be combined to produce a variety of spectral colors. For example, an instance of plurality of light emitting diode devices **640** may comprise dice **170-190** in combination to produce a “white” light output.

In accordance with embodiments of the present invention, plurality of light emitting diode devices **640** may include additional electronics associated with the LED devices, e.g., as previously described with respect to other structures **260** of FIG. **2**. In one exemplary embodiment, such additional electronics may comprise circuits to implement a white balance among tri-color LEDs, e.g., **170**, **180** and **190**.

FIG. **7** illustrates an exemplary portable computer system **700**, in accordance with embodiments of the present inven-

tion. Portable computer system **700** may be a mobile phone or smart phone, email device, tablet, laptop or netbook computer, personal digital assistant or the like. A bus **701** functionally couples the various functional blocks of system **700**. Bus **701** may comprise multiple busses, and any such bus may be a single conductor.

Portable computer system **700** comprises a processor **710**. Processor **710** may be any type of processor for executing software, and may comprise multiple distinct processors, including central processing units and graphical processing units. Processor **710** may also be a multi-core device. Processor **710** generally controls the operation of portable computer system **700**, and may operate a graphical user interface. For example, processor **710** accepts input, e.g., from touch sensor **750** and/or optional RF communications **740**, and may produce output, e.g., to display **770** and/or RF communications **740**. Processor **710** may access random access memory (RAM) **720** for programs and/or data, and may also access read only memory (ROM) for programs and/or data.

Portable computer system **700** optionally comprises a radio-frequency (RF) communications subsystem **740**. RF communications system **740** is well suited to operate on a variety of radio communication protocols, including, for example, data and/or telephony networks, e.g., Bluetooth, WiFi, TDMA, CDMA, GSM, AMPS and the like. RF communications system **740**, if present, operates to communicate voice, image and/or data to and from portable communication system **700**.

Portable computer system **700** comprises a touch sensor subsystem **750**. Touch sensor **750** may operate as a resistive or capacitive device, and generally functions to accept input to system **700** in the form of a touch, e.g., from a finger and/or a stylus. Touch sensor **750** is generally strongly associated with a display device. For example, a user of system **700** may perceive touching a "screen" rather than a separate touch sensor.

Portable computer system **700** also comprises a display device **770**. Display **770** may be any suitable technology, including, for example, an STN or TFT LCD display device. Display **770** functions to output images and/or alpha-numeric information from system **700**.

Portable computer system **700** further includes a light **780** to illuminate display **770**. For example, most LCD devices do not directly produce light; rather such devices filter light from another source, e.g., light **780**. Alternatively, light **780** may provide supplemental illumination when ambient light is insufficient for viewing display **770**.

In accordance with embodiments of the present invention, light **780** comprises a plurality of light emitting diodes. Individual LEDs of plurality of light emitting diode devices **780** may correspond to assemblies previously described herein. For example, plurality of light emitting diode devices **780** may include instances of singulated dice **170**, **180** and/or **190**. In addition, plurality of light emitting diode devices **780** may include instances of light emitting diode device **300**. Further, plurality of light emitting diode devices **780** may include instances of light emitting diode devices as described in FIGS. 5A-5F. It is appreciated that not all instances of plurality of light emitting diode devices **780** need be identical.

Light **780** may illuminate display **770** from the front and/or the back and/or the sides of display **770**, and may be referred to as a front light, back light and/or side light. Light from light **780** may be coupled to the display by a diffuser in front of or behind display **770**.

Embodiments in accordance with the present invention provide systems and methods for inverted optical devices. In addition, embodiments in accordance with the present inven-

tion provide systems and methods for inverted optical devices that enable dissimilar substrates to benefit from process machinery optimized for large substrates. Further, embodiments in accordance with the present invention provide systems and methods for inverted optical devices that are compatible and complementary with existing systems and methods of integrated circuit design, manufacturing and test.

Various embodiments of the invention are thus described. While the present invention has been described in particular embodiments, it should be appreciated that the invention should not be construed as limited by such embodiments, but rather construed according to the below claims.

What is claimed is:

1. A method comprising:

attaching a plurality of piggyback substrates to a carrier wafer using a plurality of bond layers, wherein said plurality of piggyback substrates are dissimilar in composition to said carrier wafer;

processing said plurality of piggyback substrates, while attached to said carrier wafer, to produce a plurality of light emitting diodes;

attaching a flip wafer to said plurality of light emitting diodes, away from said carrier wafer; and

removing only said carrier wafer and plurality of bond layers.

2. The method of claim 1 further comprising forming conductive structures through said flip wafer to electrically couple to contacts of said plurality of light emitting diode.

3. The method of claim 1 further comprising singulating said plurality of light emitting diodes to form individual light emitting diode devices.

4. The method of claim 1 further comprising removing said plurality of piggyback substrates.

5. The method of claim 4 further comprising roughening an exposed surface of said plurality of light emitting diodes subsequent to said removing said plurality of piggyback substrates.

6. The method of claim 4 wherein said removing said plurality of piggyback substrates comprises a laser lift-off process.

7. The method of claim 1 further comprising filling a volume between said plurality of light emitting diodes.

8. The method of claim 1 further comprising forming conductive vias through said flip wafer.

9. The method of claim 8 further comprising forming a plurality of package electrical contacts on said flip wafer electrically coupled to said conductive vias.

10. The method of claim 8 further comprising electrically coupling said conductive vias to terminals of said plurality of light emitting diodes.

11. The method of claim 1 further comprising forming a plurality of optical layers on said plurality of light emitting diodes, opposite from said flip wafer.

12. The method of claim 11 wherein one of said plurality of optical layers having the highest refractive index is placed closest to said plurality of light emitting diodes.

13. The method of claim 1 wherein said carrier wafer is transparent to ultra-violet light and suitable to bond to sapphire.

14. The method of claim 13 wherein said carrier wafer comprises quartz.

15. The method of claim 13 wherein said flip wafer comprises glass.

16. The method of claim 1 wherein a surface of said flip wafer closest to said plurality of light emitting diodes is polished.

17. The method of claim 1 further comprising polishing said plurality of piggyback substrates subsequent to said removing said carrier wafer.

18. A method comprising:

bonding a piggyback substrate to a carrier wafer using a plurality of bond layers; 5

fabricating a light emitting diode on said piggyback substrate while bonded to said carrier wafer;

attaching a flip wafer to the top of said light emitting diode, away from said carrier wafer; 10

removing only said carrier wafer and plurality of bond layers; and

separating said piggyback substrate from said light emitting diode.

19. The method of claim 18 wherein said separating further comprises removing said carrier wafer. 15

20. The method of claim 18 further comprising forming electrical contacts from said light emitting diode to a side of said flip wafer away from said light emitting diode.

21. The method of claim 18 further comprising singulating said light emitting diode from any other device attached to said flip wafer. 20

22. The method of claim 21 wherein said singulating retains a portion of said flip wafer attached to said light emitting diode. 25

23. The method of claim 18 further comprising forming a plurality of optical layers on said plurality of light emitting diodes, opposite from said flip wafer.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 9,293,641 B2
APPLICATION NO. : 13/299714
DATED : March 22, 2016
INVENTOR(S) : Mohammed et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page:

The first or sole Notice should read --

Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b)
by 589 days.

Signed and Sealed this
Twenty-second Day of November, 2016



Michelle K. Lee
Director of the United States Patent and Trademark Office