



(51) International Patent Classification:

B29C 67/00 (2006.01) **B33Y 30/00** (2015.01)
B33Y 10/00 (2015.01) **B33Y 40/00** (2015.01)

(21) International Application Number:

PCT/US2016/022022

(22) International Filing Date:

11 March 2016 (11.03.2016)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/132,908 13 March 2015 (13.03.2015) US

(71) Applicant: **CARBON3D, INC.** [US/US]; 312 Chesnut St.,
Redwood City, California 94063 (US).

(72) Inventors: **TUMBLESTON, John**; 1095 Santa Cruz Avenue #3, Menlo Park, California 94025 (US). **SAMULSKI, Edward T.**; 413 Deming Road, Chapel Hill, North Carolina 27514 (US). **PINSCHMIDT, Robert**; 202 Spring Valley Road, Carrboro, North Carolina 27510 (US).

(74) Agent: **MYERS BIGEL & SIBLEY, PA**; PO Box 37428, Raleigh, North Carolina 27627 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(54) Title: THREE-DIMENSIONAL PRINTING WITH REDUCED PRESSURE BUILD PLATE UNIT

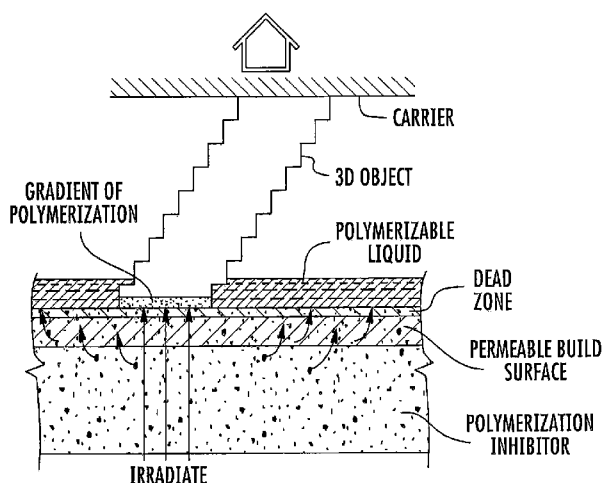


FIG. 1

(57) Abstract: A method of forming a three-dimensional object, comprising: providing a carrier (18, 418) and an optically transparent member having a build surface, said carrier (18, 418) and said build surface defining a build region therebetween; filling said build region with a polymerizable liquid, continuously or intermittently irradiating said build region with light through said optically transparent member to form a solid polymer from said polymerizable liquid, continuously or intermittently advancing (e.g., sequentially or concurrently with said irradiating step) said carrier (18, 418) away from said build surface to form said three-dimensional object from said solid polymer, said optically transparent member comprising a flexible layer (414, 514, 614) having upper and lower opposing sides, wherein the flexible layer (414, 514, 614) upper side defines the build region, the method further comprising forming a region of reduced pressure adjacent the flexible layer (414, 514, 614) lower side.



THREE-DIMENSIONAL PRINTING WITH REDUCED PRESSURE BUILD PLATE UNIT

5

Related Applications

This application claims priority to United States Provisional Patent Application Serial No. 62/132,908, filed March 13, 2015, the disclosure of which is incorporated by reference herein in its entirety.

10

Field of the Invention

The present invention concerns methods and apparatus for the fabrication of solid three-dimensional objects from liquid materials.

Background of the Invention

15

In conventional additive or three-dimensional fabrication techniques, construction of a three-dimensional object is performed in a step-wise or layer-by-layer manner. In particular, layer formation is performed through solidification of photo curable resin under the action of visible or UV light irradiation. Two techniques are known: one in which new layers are formed at the top surface of the growing object; the other in which new layers are formed at the bottom surface of the growing object.

20

If new layers are formed at the top surface of the growing object, then after each irradiation step the object under construction is lowered into the resin "pool," a new layer of resin is coated on top, and a new irradiation step takes place. An early example of such a technique is given in Hull, US Patent No. 5,236,637, at Figure 3. A disadvantage of such "top down" techniques is the need to submerge the growing object in a (potentially deep) pool of liquid resin and reconstitute a precise overlayer of liquid resin.

25

If new layers are formed at the bottom of the growing object, then after each irradiation step the object under construction must be separated from the bottom plate in the fabrication well. An early example of such a technique is given in Hull, US Patent No. 5,236,637, at Figure 4. While such "bottom up" techniques hold the potential to eliminate the need for a deep well in which the object is submerged by instead lifting the object out of a relatively shallow well or pool, a problem with such "bottom up" fabrication techniques, as commercially implemented, is that extreme care must be taken, and additional mechanical

30

elements employed, when separating the solidified layer from the bottom plate due to physical and chemical interactions therebetween. For example, in US Patent No. 7,438,846, an elastic separation layer is used to achieve “non-destructive” separation of solidified material at the bottom construction plane. Other approaches, such as the B9Creator™ 3-dimensional printer marketed by B9Creations of Deadwood, South Dakota, USA, employ a sliding build plate. *See, e.g.*, M. Joyce, US Patent App. 2013/0292862 and Y. Chen et al., US Patent App. 2013/0295212 (both Nov. 7, 2013); *see also* Y. Pan et al., *J. Manufacturing Sci. and Eng.* **134**, 051011-1 (Oct. 2012). Such approaches introduce a mechanical step that may complicate the apparatus, slow the method, and/or potentially distort the end product.

Continuous processes for producing a three-dimensional object are suggested at some length with respect to “top down” techniques in US Patent No. 7,892,474, but this reference does not explain how they may be implemented in “bottom up” systems in a manner non-destructive to the article being produced. Accordingly, there is a need for alternate methods and apparatus for three-dimensional fabrication that can obviate the need for mechanical separation steps in “bottom-up” fabrication.

Summary of the Invention

Described herein are methods, systems and apparatus (including associated control methods, systems and apparatus), for the production of a three-dimensional object by additive manufacturing. In preferred (but not necessarily limiting) embodiments, the method is carried out continuously. In preferred (but not necessarily limiting) embodiments, the three-dimensional object is produced from a liquid interface. Hence they are sometimes referred to, for convenience and not for purposes of limitation, as “continuous liquid interphase printing” or “continuous liquid interface production” (“CLIP”) herein (the two being used interchangeably). *See, e.g.*, J. Tumbleston et al., *Continuous liquid interface production of 3D objects*, *Science* **347**, 1349-1352 (published online March 16, 2015). A schematic representation of one embodiment thereof is given in **Figure 1** herein. In some embodiments of the methods and compositions described above and below, the polymerizable liquid has a viscosity of 500 or 1,000 centipoise or more at room temperature and/or under the operating conditions of the method, up to a viscosity of 10,000, 20,000, or 50,000 centipoise or more, at room temperature and/or under the operating conditions of the method.

In some embodiments, a method of forming a three-dimensional object, includes: providing a carrier and an optically transparent member having a build surface, said carrier

and said build surface defining a build region therebetween; filling said build region with a polymerizable liquid, continuously or intermittently irradiating said build region with light through said optically transparent member to form a solid polymer from said polymerizable liquid, continuously or intermittently advancing (e.g., sequentially or concurrently with said irradiating step) said carrier away from said build surface to form said three-dimensional object from said solid polymer, said optically transparent member comprising a flexible layer having upper and lower opposing sides, wherein the flexible layer upper side defines the build region, the method further comprising forming a region of reduced pressure adjacent the flexible layer lower side.

10 In some embodiments, the optically transparent member comprises a base layer having an upper surface facing the flexible layer lower surface and an opposing lower surface facing the region of reduced pressure.

In some embodiments, the flexible layer lower surface is in fluid communication with the region of reduced pressure.

15 In some embodiments, the optically transparent member further comprises a base layer formed of a porous glass adjacent the lower side of the flexible layer.

In some embodiments, the base layer has an upper surface facing the lower side of the flexible layer that comprises a surface topology comprising random or patterned features.

20 In some embodiments, the method includes controlling a pressure in the region of reduced pressure and/or a velocity of said advancing step so as to oscillate the flexible layer.

In some embodiments, the pressure is substantially constant.

In some embodiments, an oscillation of the flexible layer is sufficient to enhance or speed a refilling of said build region with said polymerizable liquid.

25 In some embodiments, the irradiating is intermittent irradiating, and said intermittent irradiating is either (a) synchronized with an oscillation of the flexible layer, or (b) non-synchronized with an oscillation of the flexible layer.

30 In some embodiments, the filling step further includes vertically reciprocating said carrier with respect to said build surface (that is, vertically reciprocating said carrier and said build surface with respect to one another), to enhance or speed a refilling of said build region with said polymerizable liquid.

In some embodiments, the vertically reciprocating is carried by motion of said carrier alone.

In some embodiments, the vertically reciprocating is carried out by combined motion of said carrier and said build surface.

In some embodiments, the irradiating is intermittent irradiating, and said intermittent irradiating is either (a) synchronized with said vertically reciprocating, or (b) non-synchronized with said vertically reciprocating.

In some embodiments, the filling, irradiating, and/or advancing steps are carried out while also concurrently: (i) continuously maintaining a dead zone of polymerizable liquid in contact with said build surface, and (ii) continuously maintaining a gradient of polymerization zone between said dead zone and said solid polymer and in contact with each thereof, said gradient of polymerization zone comprising said polymerizable liquid in partially cured form.

In some embodiments, the vertically reciprocating step comprises an upstroke and a downstroke, with the distance of said upstroke greater than the distance of said downstroke, to thereby concurrently carry out said advancing step in part or in whole.

In some embodiments, the vertically reciprocating step comprises an upstroke, and the speed of said upstroke accelerates over a period of time during said upstroke.

In some embodiments, the upstroke begins gradually.

In some embodiments, the vertically reciprocating step comprises a downstroke, and wherein the speed of said downstroke decelerates over a period of time during said downstroke.

In some embodiments, the downstroke ends gradually.

In some embodiments, the vertically reciprocating step is carried out over a total time of from 0.01 or 0.1 seconds up to 1 or 10 seconds, and/or over an upstroke distance of travel of from 0.02 or 0.2 millimeters to 1 or 10 millimeters.

In some embodiments, the advancing is carried out intermittently at a rate of 1, 2, 5 or 10 individual advances per minute up to 300, 600, or 1000 individual advances per minute, each followed by a pause during which an irradiating step is carried out.

In some embodiments, each of the individual advances is carried out over an average distance of travel for each advance of from 10 or 50 microns to 100 or 200 microns.

In some embodiments, the build surface is fixed and stationary in the lateral (e.g., X and Y) dimensions.

In some embodiments, the build surface is fixed and stationary in the vertical (or Z) dimension.

In some embodiments, the optically transparent member is semipermeable, and said the method further comprising continuously maintaining a dead zone is by feeding an inhibitor of polymerization through said optically transparent member in an amount sufficient to maintain said dead zone and said gradient of polymerization.

5 In some embodiments, the optically transparent member is comprised of a semipermeable fluoropolymer, a rigid gas-permeable polymer, porous glass, or a combination thereof.

In some embodiments, the gradient of polymerization zone and said dead zone together have a thickness of from 1 to 1000 microns.

10 In some embodiments, the gradient of polymerization zone is maintained for a time of at least 5, 10, 20, or 30 seconds, or at least 1 or 2 minutes.

In some embodiments, the method includes the step of disrupting said gradient of polymerization zone for a time sufficient to form a cleavage line in said three-dimensional object.

15 In some embodiments, the method includes the step of heating said polymerizable liquid to reduce the viscosity thereof in said build region.

In some embodiments, the optically transparent member has a thickness of from 0.1 to 100 millimeters; and/or said optically transparent member has a permeability to oxygen of at least $7.5 \times 10^{-17} \text{ m}^2 \text{ s}^{-1} \text{ Pa}^{-1}$ (10 Barrers); and/or said optically transparent member is formed of a
20 semipermeable fluoropolymer, a rigid gas-permeable polymer, porous glass, or a combination thereof.

In some embodiments, the polymerizable liquid comprises a free radical polymerizable liquid and said inhibitor comprises oxygen; or the polymerizable liquid comprises an acid-catalyzed or cationically polymerizable liquid, and said inhibitor
25 comprises a base.

In some embodiments, an apparatus for forming a three-dimensional object from a polymerizable liquid includes (a) a support; (b) a carrier operatively associated with said support on which carrier said three-dimensional object is formed; (c) a build plate unit having an optically transparent member comprising a flexible layer having upper and lower surfaces,
30 wherein the upper surface defines a build surface, with said build surface and said carrier defining a build region therebetween, the build plate unit further comprising a vacuum chamber in fluid communication with the lower surface of the optically transparent member flexible layer; (d) a liquid polymer supply (*e.g.*, a well) operatively associated with said build

surface and configured to supply liquid polymer into said build region for solidification or polymerization; (e) a radiation source configured to irradiate said build region through said optically transparent member to form a solid polymer from said polymerizable liquid; (f) optionally at least one drive operatively associated with either said transparent member or said carrier; and (g) a controller operatively associated with said carrier, and/or optionally said at least one drive, and/or said vacuum chamber for controlling a pressure in said vacuum chamber, and said radiation source for advancing said carrier away from said build surface to form said three-dimensional object from said solid polymer.

In some embodiments, the optically transparent member comprises a base layer having an upper surface facing the flexible layer lower surface and an opposing lower surface facing the region of reduced pressure.

In some embodiments, the flexible layer lower surface is in fluid communication with the region of reduced pressure.

In some embodiments, the optically transparent member includes a base layer formed of a porous glass facing the flexible layer lower surface.

In some embodiments, the base layer upper surface comprises a surface topology comprising random or patterned features.

In some embodiments, the controller is configured to control a pressure in the region of reduced pressure and/or a velocity of said advancing step so as to oscillate the flexible layer.

In some embodiments, the pressure is substantially constant.

In some embodiments, an oscillation of the flexible layer is sufficient to enhance or speed a refilling of said build region with said polymerizable liquid.

In some embodiments, the irradiating is intermittent irradiating, and said intermittent irradiating is either (a) synchronized with an oscillation of the flexible layer, or (b) non-synchronized with an oscillation of the flexible layer.

In some embodiments, the controller is further configured to form said three-dimensional object from said solid polymer while also concurrently with said filling, advancing, and/or irradiating step: (i) continuously maintaining a dead zone of polymerizable liquid in contact with said build surface, and (ii) continuously maintaining a gradient of polymerization zone between said dead zone and said solid polymer and in contact with each thereof, said gradient of polymerization zone comprising said polymerizable liquid in partially cured form

In some embodiments, a build plate unit for a three-dimensional printer includes a vacuum chamber having a transparent lower wall and upper support; a build plate mounted on the upper support, the build plate comprising: an optically transparent planar base having an upper surface and a lower surface; and a flexible, optically transparent, gas-permeable layer having upper and lower surfaces, the upper surface comprising a build surface for forming a three-dimensional object, the layer lower surface positioned on the adhesive layer opposite the base, wherein the build plate is configured to permit gas flow to the build surface.

In some embodiments, the base is gas-permeable.

In some embodiments, the base comprises porous glass.

In some embodiments, the base comprises an upper portion and a lower portion, the upper portion having an uneven surface topology that is random, irregular or patterned.

In some embodiments, the planar base comprises sapphire, glass, quartz and/or polymer.

In some preferred embodiments of CLIP, the filling, irradiating, and/or advancing steps are carried out while also concurrently: (i) continuously maintaining a dead zone (or persistent liquid interface) of polymerizable liquid in contact with said build surface, and (ii) continuously maintaining a gradient of polymerization zone (which, as discussed below, may also be described as an active surface on the bottom of the growing three dimensional object) between said dead zone and said solid polymer and in contact with each thereof, said gradient of polymerization zone comprising said polymerizable liquid in partially cured form. Stated differently, in some preferred embodiments of CLIP, the three dimensional object, or at least some contiguous portion thereof, is formed or produced in situ. "In situ" as used herein has its meaning in the field of chemical engineering, and means "in place." For example, where both the growing portion of the three-dimensional object and the build surface (typically with their intervening active surface or gradient of polymerization, and dead zone) are maintained in place during formation of at least a portion of the 3D object, or sufficiently in place to avoid the formation of fault lines or planes in the 3D object. For example, in some embodiments according to the invention, different portions of the 3D object, which are contiguous with one another in the final 3D object, can both be formed sequentially from or within a gradient of polymerization or active surface. Furthermore, a first portion of the 3D object can remain in the gradient of polymerization or contacting the active surface while a second portion, that is contiguous with the first portion, is formed in the gradient of

polymerization. Accordingly, the 3D object can be remotely fabricated, grown or produced continuously from the gradient of polymerization or active surface (rather than fabricated in discrete layers). The dead zone and gradient of polymerization zone/active surface may be maintained through some or all of the formation of the object being made, for example (and
5 in some embodiments) for a time of at least 5, 10, 20, or 30 seconds, and in some embodiments for a time of at least 1 or 2 minutes.

Non-limiting examples and specific embodiments of the present invention are explained in greater detail in the drawings herein and the specification set forth below. The disclosure of all United States Patent references cited herein are to be incorporated herein by
10 reference in their entirety.

Brief Description of the Drawings

Figure 1 is a schematic illustration of one embodiment of a method of the present invention.

15 **Figure 2** is a perspective view of one embodiment of an apparatus of the present invention.

Figure 3 is a first flow chart illustrating control systems and methods for carrying out the present invention.

20 **Figure 4** is a second flow chart illustrating control systems and methods for carrying out the present invention.

Figure 5 is a third flow chart illustrating control systems and methods for carrying out the present invention.

25 **Figure 6** is a graphic illustration of a process of the invention indicating the position of the carrier in relation to the build surface or plate, where both advancing of the carrier and irradiation of the build region is carried out continuously. Advancing of the carrier is illustrated on the vertical axis, and time is illustrated on the horizontal axis.

30 **Figure 7** is a graphic illustration of another process of the invention indicating the position of the carrier in relation to the build surface or plate, where both advancing of the carrier and irradiation of the build region is carried out stepwise, yet the dead zone and gradient of polymerization are maintained. Advancing of the carrier is again illustrated on the vertical axis, and time is illustrated on the horizontal axis.

Figure 8 is a graphic illustration of still another process of the invention indicating the position of the carrier in relation to the build surface or plate, where both advancing of the

carrier and irradiation of the build region is carried out stepwise, the dead zone and gradient of polymerization are maintained, and a reciprocating step is introduced between irradiation steps to enhance the flow of polymerizable liquid into the build region. Advancing of the carrier is again illustrated on the vertical axis, and time is illustrated on the horizontal axis.

5 **Figure 9** is a detailed illustration of a reciprocation step of Figure 8, showing a period of acceleration occurring during the upstroke (*i.e.*, a gradual start of the upstroke) and a period of deceleration occurring during the downstroke (*i.e.*, a gradual end to the downstroke).

10 **Figure 10** schematically illustrates the movement of the carrier (z) over time (t) in the course of fabricating a three-dimensional object by processes of the present invention through a first base (or “adhesion”) zone, a second transition zone, and a third body zone.

Figure 11A schematically illustrates the movement of the carrier (z) over time (t) in the course of fabricating a three-dimensional object by continuous advancing and continuous exposure.

15 **Figure 11B** illustrates the fabrication of a three-dimensional object in a manner similar to Figure 11A, except that illumination is now in an intermittent (or “strobe”) pattern.

Figure 12A schematically illustrates the movement of the carrier (z) over time (t) in the course of fabricating a three-dimensional object by intermittent (or “stepped”) advancing and intermittent exposure.

20 **Figure 12B** illustrates the fabrication of a three-dimensional object in a manner similar to Figure 12A, except that illumination is now in a shortened intermittent (or “strobe”) pattern.

25 **Figure 13A** schematically illustrates the movement of the carrier (z) over time (t) in the course of fabricating a three-dimensional object by oscillatory advancing and intermittent exposure.

Figure 13B illustrates the fabrication of a three-dimensional object in a manner similar to Figure 13A, except that illumination is now in a shortened intermittent (or “strobe”) pattern.

30 **Figure 14A** schematically illustrates one segment of a “strobe” pattern of fabrication, , where the duration of the static portion of the carrier has been shortened to near the duration of the “strobe” exposure

Figure 14B is a schematic illustration of a segment of a strobe pattern of fabrication similar to Figure 14A, except that the carrier is now moving slowly upward during the period of strobe illumination.

Figure 15A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 13A, except that the body segment is fabricated in two contiguous segments, with the first segment carried out in an oscillatory operating mode, and the second segment carried out in a continuous operating mode.

Figure 15B is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 15A, except that oscillatory operating modes are replaced with stepped operating modes.

Figure 16A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 11A, except that the body segment is fabricated in three contiguous segments, with the first and third segments carried out in a continuous operating mode, and the second segment carried out in oscillatory operating mode.

Figure 16B is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 16A, except that the oscillatory operating mode is replaced with a stepped operating mode.

Figure 17A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 16A, except that the base zone, transition zone, and first segment of the body zone are carried out in a strobe continuous operating mode, the second segment of the body zone is fabricated in an oscillatory operating mode, and the third segment of the body zone is fabricated in a continuous operating mode.

Figure 17B is similar to Figure 17A, except that the second segment of the body zone is fabricated in a stepped operating mode.

Figure 18A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 11A, except that light intensity is varied in the course of fabricating the base and transition zones, and both light intensity and rate of advancing are varied in the course of fabricating the body zone.

Figure 18B is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 17A, except that light is interrupted in an intermittent fashion (dashed line representing light intensity during interrupted segments is for comparison to Figure 17A only).

Figure 19 is a schematic illustration of the fabrication of a three-dimensional object similar to **Figure 11A**, except that the mode of operation during fabrication of the body segment is changed multiple times for continuous, to reciprocal, and back.

Figure 20 schematically illustrates parameters that may be varied within a reciprocal or step-wise operating mode.

Figure 21A schematically illustrates a method of the invention carried out in a continuous operating mode, with constant slice thickness and constant carrier speed.

Figure 21B schematically illustrates a method of the invention carried out in a continuous operating mode, with variable slice thickness with constant carrier speed.

Figure 21C schematically illustrates a method of the invention carried out in a continuous operating mode, with constant slice thickness and variable carrier speed.

Figure 21D schematically illustrates a method of the invention carried out in continuous operating mode, mode with variable slice thickness and variable carrier speed.

Figure 21E schematically illustrates a method of the invention carried out in reciprocal operating mode, with constant slice thickness.

Figure 21F schematically illustrates a method of the invention carried out in reciprocal operating mode, with variable slice thickness.

Figure 22 is a cross sectional perspective view of a build plate unit having a vacuum chamber according to some embodiments.

Figure 23 is a perspective partially exploded view of a patterned build plate according to some embodiments.

Figure 24A-24B are side views of the build plate of **Figure 23** having an additional flexible film with the carrier and three dimensional object.

Figures 25A-25D are schematic side views of an oscillating flexible layer according to some embodiments.

Figures 26A-26I are schematic side views of a waveform of a flexible permeable layer according to some embodiments.

Figures 27A-27D are schematic side views of an oscillating flexible permeable layer and flexible permeable/impermeable layer according to some embodiments.

Figures 28A-28D are schematic side views of an oscillating flexible permeable layer and flexible permeable/impermeable layer according to some embodiments.

Figure 29 is a side cross sectional view of a build plate with a permeable sheet having channels therein according to some embodiments.

Figure 30 is a side cross sectional view of a build plate with a patterned base layer according to some embodiments.

Detailed Description of Illustrative Embodiments

5 The present invention is now described more fully hereinafter with reference to the accompanying drawings, in which embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather these embodiments are provided so that this disclosure will be thorough and complete and will fully convey the scope of the invention to
10 those skilled in the art.

Like numbers refer to like elements throughout. In the figures, the thickness of certain lines, layers, components, elements or features may be exaggerated for clarity. Where used, broken lines illustrate optional features or operations unless specified otherwise.

15 The terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting of the invention. As used herein, the singular forms “a,” “an” and “the” are intended to include plural forms as well, unless the context clearly indicates otherwise. It will be further understood that the terms “comprises” or “comprising,” when used in this specification, specify the presence of stated features, integers, steps, operations, elements components and/or groups or combinations thereof, but do not preclude
20 the presence or addition of one or more other features, integers, steps, operations, elements, components and/or groups or combinations thereof.

As used herein, the term “and/or” includes any and all possible combinations or one or more of the associated listed items, as well as the lack of combinations when interpreted in the alternative (“or”).

25 Unless otherwise defined, all terms (including technical and scientific terms) used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. It will be further understood that terms, such as those defined in commonly used dictionaries, should be interpreted as having a meaning that is consistent with their meaning in the context of the specification and claims and should not be
30 interpreted in an idealized or overly formal sense unless expressly so defined herein. Well-known functions or constructions may not be described in detail for brevity and/or clarity.

It will be understood that when an element is referred to as being “on,” “attached” to, “connected” to, “coupled” with, “contacting,” etc., another element, it can be directly on,

attached to, connected to, coupled with and/or contacting the other element or intervening elements can also be present. In contrast, when an element is referred to as being, for example, “directly on,” “directly attached” to, “directly connected” to, “directly coupled” with or “directly contacting” another element, there are no intervening elements present. It will also be appreciated by those of skill in the art that references to a structure or feature that is disposed “adjacent” another feature can have portions that overlap or underlie the adjacent feature.

Spatially relative terms, such as “under,” “below,” “lower,” “over,” “upper” and the like, may be used herein for ease of description to describe an element’s or feature’s relationship to another element(s) or feature(s) as illustrated in the figures. It will be understood that the spatially relative terms are intended to encompass different orientations of the device in use or operation in addition to the orientation depicted in the figures. For example, if the device in the figures is inverted, elements described as “under” or “beneath” other elements or features would then be oriented “over” the other elements or features. Thus the exemplary term “under” can encompass both an orientation of over and under. The device may otherwise be oriented (rotated 90 degrees or at other orientations) and the spatially relative descriptors used herein interpreted accordingly. Similarly, the terms “upwardly,” “downwardly,” “vertical,” “horizontal” and the like are used herein for the purpose of explanation only, unless specifically indicated otherwise.

It will be understood that, although the terms first, second, etc., may be used herein to describe various elements, components, regions, layers and/or sections, these elements, components, regions, layers and/or sections should not be limited by these terms. Rather, these terms are only used to distinguish one element, component, region, layer and/or section, from another element, component, region, layer and/or section. Thus, a first element, component, region, layer or section discussed herein could be termed a second element, component, region, layer or section without departing from the teachings of the present invention. The sequence of operations (or steps) is not limited to the order presented in the claims or figures unless specifically indicated otherwise.

1. Polymerizable liquids/part A components.

Any suitable polymerizable liquid can be used to enable the present invention. The liquid (sometimes also referred to as “liquid resin” “ink,” or simply “resin” herein) can include a monomer, particularly photopolymerizable and/or free radical polymerizable

monomers, and a suitable initiator such as a free radical initiator, and combinations thereof. Examples include, but are not limited to, acrylics, methacrylics, acrylamides, styrenics, olefins, halogenated olefins, cyclic alkenes, maleic anhydride, alkenes, alkynes, carbon monoxide, functionalized oligomers, multifunctional cure site monomers, functionalized PEGs, etc., including combinations thereof. Examples of liquid resins, monomers and initiators include but are not limited to those set forth in US Patents Nos. 8,232,043; 8,119,214; 7,935,476; 7,767,728; 7,649,029; WO 2012/29968 A1; CN 102715751 A; JP 2012210408 A.

Acid catalyzed polymerizable liquids. While in some embodiments as noted above the polymerizable liquid comprises a free radical polymerizable liquid (in which case an inhibitor may be oxygen as described below), in other embodiments the polymerizable liquid comprises an acid catalyzed, or cationically polymerized, polymerizable liquid. In such embodiments the polymerizable liquid comprises monomers contain groups suitable for acid catalysis, such as epoxide groups, vinyl ether groups, etc. Thus suitable monomers include olefins such as methoxyethene, 4-methoxystyrene, styrene, 2-methylprop-1-ene, 1,3-butadiene, etc.; heterocyclic monomers (including lactones, lactams, and cyclic amines) such as oxirane, thietane, tetrahydrofuran, oxazoline, 1,3, dioxepane, oxetan-2-one, etc., and combinations thereof. A suitable (generally ionic or non-ionic) photoacid generator (PAG) is included in the acid catalyzed polymerizable liquid, examples of which include, but are not limited to onium salts, sulfonium and iodonium salts, etc., such as diphenyl iodide hexafluorophosphate, diphenyl iodide hexafluoroarsenate, diphenyl iodide hexafluoroantimonate, diphenyl p-methoxyphenyl triflate, diphenyl p-toluenyl triflate, diphenyl p-isobutylphenyl triflate, diphenyl p-tert-butylphenyl triflate, triphenylsulfonium hexafluorophosphate, triphenylsulfonium hexafluoroarsenate, triphenylsulfonium hexafluoroantimonate, triphenylsulfonium triflate, dibutyl-naphthylsulfonium triflate, etc., including mixtures thereof. See, e.g., US Patents Nos. 7,824,839; 7,550,246; 7,534,844; 6,692,891; 5,374,500; and 5,017,461; see also *Photoacid Generator Selection Guide for the electronics industry and energy curable coatings* (BASF 2010).

Hydrogels. In some embodiments suitable resins includes photocurable hydrogels like poly(ethylene glycols) (PEG) and gelatins. PEG hydrogels have been used to deliver a variety of biologicals, including Growth factors; however, a great challenge facing PEG hydrogels crosslinked by chain growth polymerizations is the potential for irreversible protein damage. Conditions to maximize release of the biologicals from photopolymerized PEG diacrylate

hydrogels can be enhanced by inclusion of affinity binding peptide sequences in the monomer resin solutions, prior to photopolymerization allowing sustained delivery. Gelatin is a biopolymer frequently used in food, cosmetic, pharmaceutical and photographic industries. It is obtained by thermal denaturation or chemical and physical degradation of collagen.

5 There are three kinds of gelatin, including those found in animals, fish and humans. Gelatin from the skin of cold water fish is considered safe to use in pharmaceutical applications. UV or visible light can be used to crosslink appropriately modified gelatin. Methods for crosslinking gelatin include cure derivatives from dyes such as Rose Bengal.

Photocurable silicone resins. A suitable resin includes photocurable silicones. UV
10 cure silicone rubber, such as Silopren™ UV Cure Silicone Rubber can be used as can LOCTITE™ Cure Silicone adhesives sealants. Applications include optical instruments, medical and surgical equipment, exterior lighting and enclosures, electrical connectors / sensors, fiber optics and gaskets.

Biodegradable resins. Biodegradable resins are particularly important for implantable
15 devices to deliver drugs or for temporary performance applications, like biodegradable screws and stents (US patents 7,919,162; 6,932,930). Biodegradable copolymers of lactic acid and glycolic acid (PLGA) can be dissolved in PEG dimethacrylate to yield a transparent resin suitable for use. Polycaprolactone and PLGA oligomers can be functionalized with acrylic or methacrylic groups to allow them to be effective resins for use.

20 **Photocurable polyurethanes.** A particularly useful resin is photocurable polyurethanes. A photopolymerizable polyurethane composition comprising (1) a polyurethane based on an aliphatic diisocyanate, poly(hexamethylene isophthalate glycol) and, optionally, 1,4-butanediol; (2) a polyfunctional acrylic ester; (3) a photoinitiator; and (4) an anti-oxidant, can be formulated so that it provides a hard, abrasion-resistant, and stain-
25 resistant material (US Patent 4,337,130). Photocurable thermoplastic polyurethane elastomers incorporate photoreactive diacetylene diols as chain extenders.

High performance resins. In some embodiments, high performance resins are used. Such high performance resins may sometimes require the use of heating to melt and/or reduce the viscosity thereof, as noted above and discussed further below. Examples of such resins
30 include, but are not limited to, resins for those materials sometimes referred to as liquid crystalline polymers of esters, ester-imide, and ester-amide oligomers, as described in US Patents Nos. 7,507,784; 6,939,940. Since such resins are sometimes employed as high-temperature thermoset resins, in the present invention they further comprise a suitable

photoinitiator such as benzophenone, anthraquinone, and fluoroenone initiators (including derivatives thereof), to initiate cross-linking on irradiation, as discussed further below.

Additional example resins. Particularly useful resins for dental applications include EnvisionTEC's Clear Guide, EnvisionTEC's E-Denstone Material. Particularly useful resins for hearing aid industries include EnvisionTEC's e-Shell 300 Series of resins. Particularly useful resins include EnvisionTEC's HTM140IV High Temperature Mold Material for use directly with vulcanized rubber in molding / casting applications. A particularly useful material for making tough and stiff parts includes EnvisionTEC's RC31 resin. A particularly useful resin for investment casting applications includes EnvisionTEC's Easy Cast EC500.

Additional resin ingredients. The liquid resin or polymerizable material can have solid particles suspended or dispersed therein. Any suitable solid particle can be used, depending upon the end product being fabricated. The particles can be metallic, organic/polymeric, inorganic, or composites or mixtures thereof. The particles can be nonconductive, semi-conductive, or conductive (including metallic and non-metallic or polymer conductors); and the particles can be magnetic, ferromagnetic, paramagnetic, or nonmagnetic. The particles can be of any suitable shape, including spherical, elliptical, cylindrical, etc. The particles can comprise an active agent or detectable compound as described below, though these may also be provided dissolved solubilized in the liquid resin as also discussed below. For example, magnetic or paramagnetic particles or nanoparticles can be employed. The resin or polymerizable material may contain a dispersing agent, such as an ionic surfactant, a non-ionic surfactant, a block copolymer, or the like.

The liquid resin can have additional ingredients solubilized therein, including pigments, dyes, active compounds or pharmaceutical compounds, detectable compounds (e.g., fluorescent, phosphorescent, radioactive), etc., again depending upon the particular purpose of the product being fabricated. Examples of such additional ingredients include, but are not limited to, proteins, peptides, nucleic acids (DNA, RNA) such as siRNA, sugars, small organic compounds (drugs and drug-like compounds), etc., including combinations thereof.

Inhibitors of polymerization. Inhibitors or polymerization inhibitors for use in the present invention may be in the form of a liquid or a gas. In some embodiments, gas inhibitors are preferred. The specific inhibitor will depend upon the monomer being polymerized and the polymerization reaction. For free radical polymerization monomers, the inhibitor can conveniently be oxygen, which can be provided in the form of a gas such as air,

a gas enriched in oxygen (optionally but in some embodiments preferably containing additional inert gases to reduce combustibility thereof), or in some embodiments pure oxygen gas. In alternate embodiments, such as where the monomer is polymerized by photoacid generator initiator, the inhibitor can be a base such as ammonia, trace amines (e.g. methyl amine, ethyl amine, di and trialkyl amines such as dimethyl amine, diethyl amine, trimethyl amine, triethyl amine, etc.), or carbon dioxide, including mixtures or combinations thereof.

Polymerizable liquids carrying live cells. In some embodiments, the polymerizable liquid may carry live cells as “particles” therein. Such polymerizable liquids are generally aqueous, and may be oxygenated, and may be considered as “emulsions” where the live cells are the discrete phase. Suitable live cells may be plant cells (e.g., monocot, dicot), animal cells (e.g., mammalian, avian, amphibian, reptile cells), microbial cells (e.g., prokaryote, eukaryote, protozoal, etc.), etc. The cells may be of differentiated cells from or corresponding to any type of tissue (e.g., blood, cartilage, bone, muscle, endocrine gland, exocrine gland, epithelial, endothelial, etc.), or may be undifferentiated cells such as stem cells or progenitor cells. In such embodiments the polymerizable liquid can be one that forms a hydrogel, including but not limited to those described in US Patents Nos. 7,651,683; 7,651,682; 7,556,490; 6,602,975; 5,836,313; etc.

2. Apparatus.

A non-limiting embodiment of an apparatus of the invention is shown in **Figure 2**. It comprises a radiation source **11** such as a digital light processor (DLP) providing electromagnetic radiation **12** which through reflective mirror **13** illuminates a build chamber defined by wall **14** and a rigid build plate **15** forming the bottom of the build chamber, which build chamber is filled with liquid resin **16**. The bottom of the chamber **15** is constructed of build plate comprising a semipermeable member as discussed further below. The top of the object under construction **17** is attached to a carrier **18**. The carrier is driven in the vertical direction by linear stage **19**, although alternate structures can be used as discussed below.

A liquid resin reservoir, tubing, pumps liquid level sensors and/or valves can be included to replenish the pool of liquid resin in the build chamber (not shown for clarity) though in some embodiments a simple gravity feed may be employed. Drives/actuators for the carrier or linear stage, along with associated wiring, can be included in accordance with known techniques (again not shown for clarity). The drives/actuators, radiation source, and in

some embodiments pumps and liquid level sensors can all be operatively associated with a suitable controller, again in accordance with known techniques.

Build plates **15** used to carry out the present invention generally comprise or consist of a (typically rigid or solid, stationary, and/or fixed) semipermeable (or gas permeable) member, alone or in combination with one or more additional supporting substrates (*e.g.*, clamps and tensioning members to rigidify an otherwise flexible semipermeable material). The semipermeable member can be made of any suitable material that is optically transparent at the relevant wavelengths (or otherwise transparent to the radiation source, whether or not it is visually transparent as perceived by the human eye—*i.e.*, an optically transparent window may in some embodiments be visually opaque), including but not limited to porous or microporous glass, and the rigid gas permeable polymers used for the manufacture of rigid gas permeable contact lenses. *See, e.g.*, Norman G. Gaylord, US Patent No. RE31,406; see also US Patents Nos. 7,862,176; 7,344,731; 7,097,302; 5,349,394; 5,310,571; 5,162,469; 5,141,665; 5,070,170; 4,923,906; and 4,845,089. In some embodiments such materials are characterized as glassy and/or amorphous polymers and/or substantially crosslinked that they are essentially non-swellaable. Preferably the semipermeable member is formed of a material that does not swell when contacted to the liquid resin or material to be polymerized (*i.e.*, is “non-swellaable”). Suitable materials for the semipermeable member include amorphous fluoropolymers, such as those described in US Patent Nos. 5,308,685 and 5,051,115. For example, such fluoropolymers are particularly useful over silicones that would potentially swell when used in conjunction with organic liquid resin inks to be polymerized. For some liquid resin inks, such as more aqueous-based monomeric systems and / or some polymeric resin ink systems that have low swelling tendencies, silicone based window materials maybe suitable. The solubility or permeability of organic liquid resin inks can be dramatically decreased by a number of known parameters including increasing the crosslink density of the window material or increasing the molecular weight of the liquid resin ink. In some embodiments the build plate may be formed from a thin film or sheet of material which is flexible when separated from the apparatus of the invention, but which is clamped and tensioned when installed in the apparatus (*e.g.*, with a tensioning ring) so that it is rendered fixed or rigid in the apparatus. Particular materials include TEFLON AF® fluoropolymers, commercially available from DuPont. Additional materials include perfluoropolyether polymers such as described in US Patents Nos. 8,268,446; 8,263,129; 8,158,728; and 7,435,495.

It will be appreciated that essentially all solid materials, and most of those described above, have some inherent “flex” even though they may be considered “rigid,” depending on factors such as the shape and thickness thereof and environmental factors such as the pressure and temperature to which they are subjected. In addition, the terms “stationary” or “fixed” with respect to the build plate is intended to mean that no mechanical interruption of the process occurs, or no mechanism or structure for mechanical interruption of the process (as in a layer-by-layer method or apparatus) is provided, even if a mechanism for incremental adjustment of the build plate (for example, adjustment that does not lead to or cause collapse of the gradient of polymerization zone) is provided), or if the build surface contributes to reciprocation to aid feeding of the polymerizable liquid, as described further below.

The semipermeable member typically comprises a top surface portion, a bottom surface portion, and an edge surface portion. The build surface is on the top surface portion; and the feed surface may be on one, two, or all three of the top surface portion, the bottom surface portion, and/or the edge surface portion. In the embodiment illustrated in **Figure 2** the feed surface is on the bottom surface portion, but alternate configurations where the feed surface is provided on an edge, and/or on the top surface portion (close to but separate or spaced away from the build surface) can be implemented with routine skill.

The semipermeable member has, in some embodiments, a thickness of from 0.01, 0.1 or 1 millimeters to 10 or 100 millimeters, or more (depending upon the size of the item being fabricated, whether or not it is laminated to or in contact with an additional supporting plate such as glass, etc., as discussed further below.

The permeability of the semipermeable member to the polymerization inhibitor will depend upon conditions such as the pressure of the atmosphere and/or inhibitor, the choice of inhibitor, the rate or speed of fabrication, etc. In general, when the inhibitor is oxygen, the permeability of the semipermeable member to oxygen may be from 10 or 20 Barrers, up to 1000 or 2000 Barrers, or more. For example, a semipermeable member with a permeability of 10 Barrers used with a pure oxygen, or highly enriched oxygen, atmosphere under a pressure of 150 PSI may perform substantially the same as a semipermeable member with a permeability of 500 Barrers when the oxygen is supplied from the ambient atmosphere under atmospheric conditions.

Thus, the semipermeable member may comprise a flexible polymer film (having any suitable thickness, *e.g.*, from 0.001, 0.01, 0.05, 0.1 or 1 millimeters to 1, 5, 10, or 100 millimeters, or more), and the build plate may further comprise a tensioning member (*e.g.*, a

peripheral clamp and an operatively associated strain member or stretching member, as in a “drum head”; a plurality of peripheral clamps, etc., including combinations thereof) connected to the polymer film and to fix and rigidify the film (*e.g.*, at least sufficiently so that the film does not stick to the object as the object is advanced and resiliently or elastically rebound therefrom). The film has a top surface and a bottom surface, with the build surface on the top surface and the feed surface preferably on the bottom surface. In other embodiments, the semipermeable member comprises: (i) a polymer film layer (having any suitable thickness, *e.g.*, from 0.001, 0.01, 0.1 or 1 millimeters to 5, 10 or 100 millimeters, or more), having a top surface positioned for contacting said polymerizable liquid and a bottom surface, and (ii) a rigid, gas permeable, optically transparent supporting member (having any suitable thickness, *e.g.*, from 0.01, 0.1 or 1 millimeters to 10, 100, or 200 millimeters, or more), contacting said film layer bottom surface. The supporting member has a top surface contacting the film layer bottom surface, and the supporting member has a bottom surface which may serve as the feed surface for the polymerization inhibitor. Any suitable materials that are semipermeable (that is, permeable to the polymerization inhibitor) may be used. For example, the polymer film or polymer film layer may, for example, be a fluoropolymer film, such as an amorphous thermoplastic fluoropolymer like TEFLON AF 1600™ or TEFLON AF 2400™ fluoropolymer films, or perfluoropolyether (PFPE), particularly a crosslinked PFPE film, or a crosslinked silicone polymer film. The supporting member comprises a silicone or crosslinked silicone polymer member such as a polydimethylsiloxane member, a rigid gas permeable polymer member, or a porous or microporous glass member. Films can be laminated or clamped directly to the rigid supporting member without adhesive (*e.g.*, using PFPE and PDMS materials), or silane coupling agents that react with the upper surface of a PDMS layer can be utilized to adhere to the first polymer film layer. UV-curable, acrylate-functional silicones can also be used as a tie layer between UV-curable PFPEs and rigid PDMS supporting layers.

When configured for placement in the apparatus, the carrier defines a “build region” on the build surface, within the total area of the build surface. Because lateral “throw” (*e.g.*, in the X and/or Y directions) is not required in the present invention to break adhesion between successive layers, as in the Joyce and Chen devices noted previously, the area of the build region within the build surface may be maximized (or conversely, the area of the build surface not devoted to the build region may be minimized). Hence in some embodiments, the

total surface area of the build region can occupy at least fifty, sixty, seventy, eighty, or ninety percent of the total surface area of the build surface.

As shown in **Figure 2**, the various components are mounted on a support or frame assembly **20**. While the particular design of the support or frame assembly is not critical and can assume numerous configurations, in the illustrated embodiment it is comprised of a base **21** to which the radiation source **11** is securely or rigidly attached, a vertical member **22** to which the linear stage is operatively associated, and a horizontal table **23** to which wall **14** is removably or securely attached (or on which the wall is placed), and with the build plate rigidly fixed, either permanently or removably, to form the build chamber as described above.

As noted above, the build plate can consist of a single unitary and integral piece of a rigid semipermeable member, or can comprise additional materials. For example, a porous or microporous glass can be laminated or fixed to a rigid semipermeable material. Or, a semipermeable member as an upper portion can be fixed to a transparent lower member having purging channels formed therein for feeding gas carrying the polymerization inhibitor to the semipermeable member (through which it passes to the build surface to facilitate the formation of a release layer of unpolymerized liquid material, as noted above and below). Such purge channels may extend fully or partially through the base plate: For example, the purge channels may extend partially into the base plate, but then end in the region directly underlying the build surface to avoid introduction of distortion. Specific geometries will depend upon whether the feed surface for the inhibitor into the semipermeable member is located on the same side or opposite side as the build surface, on an edge portion thereof, or a combination of several thereof.

Any suitable radiation source (or combination of sources) can be used, depending upon the particular resin employed, including electron beam and ionizing radiation sources. In a preferred embodiment the radiation source is an actinic radiation source, such as one or more light sources, and in particular one or more ultraviolet light sources. Any suitable light source can be used, such as incandescent lights, fluorescent lights, phosphorescent or luminescent lights, a laser, light-emitting diode, etc., including arrays thereof. The light source preferably includes a pattern-forming element operatively associated with a controller, as noted above. In some embodiments, the light source or pattern forming element comprises a digital (or deformable) micromirror device (DMD) with digital light processing (DLP), a spatial modulator (SLM), or a microelectromechanical system (MEMS) mirror array, a mask (aka a reticle), a silhouette, or a combination thereof. See, US Patent No. 7,902,526. Preferably the

light source comprises a spatial light modulation array such as a liquid crystal light valve array or micromirror array or DMD (*e.g.*, with an operatively associated digital light processor, typically in turn under the control of a suitable controller), configured to carry out exposure or irradiation of the polymerizable liquid without a mask, *e.g.*, by maskless photolithography. *See, e.g.*, US Patents Nos. 6,312,134; 6,248,509; 6,238,852; and 5,691,541.

In some embodiments, as discussed further below, there may be movement in the X and/or Y directions concurrently with movement in the Z direction, with the movement in the X and/or Y direction hence occurring during polymerization of the polymerizable liquid (this is in contrast to the movement described in Y. Chen et al., or M. Joyce, *supra*, which is movement between prior and subsequent polymerization steps for the purpose of replenishing polymerizable liquid). In the present invention such movement may be carried out for purposes such as reducing “burn in” or fouling in a particular zone of the build surface.

Because an advantage of some embodiments of the present invention is that the size of the build surface on the semipermeable member (*i.e.*, the build plate or window) may be reduced due to the absence of a requirement for extensive lateral “throw” as in the Joyce or Chen devices noted above, in the methods, systems and apparatus of the present invention lateral movement (including movement in the X and/or Y direction or combination thereof) of the carrier and object (if such lateral movement is present) is preferably not more than, or less than, 80, 70, 60, 50, 40, 30, 20, or even 10 percent of the width (in the direction of that lateral movement) of the build region.

While in some embodiments the carrier is mounted on an elevator to advance up and away from a stationary build plate, on other embodiments the converse arrangement may be used: That is, the carrier may be fixed and the build plate lowered to thereby advance the carrier away therefrom. Numerous different mechanical configurations will be apparent to those skilled in the art to achieve the same result.

Depending on the choice of material from which the carrier is fabricated, and the choice of polymer or resin from which the article is made, adhesion of the article to the carrier may sometimes be insufficient to retain the article on the carrier through to completion of the finished article or “build.” For example, an aluminum carrier may have lower adhesion than a poly(vinyl chloride) (or “PVC”) carrier. Hence one solution is to employ a carrier comprising a PVC on the surface to which the article being fabricated is polymerized. If this promotes too great an adhesion to conveniently separate the finished part from the carrier, then any of a variety of techniques can be used to further secure the article to a less adhesive carrier,

including but not limited to the application of adhesive tape such as "Greener Masking Tape for Basic Painting #2025 High adhesion" to further secure the article to the carrier during fabrication.

5 **3. Controller and process control.**

The methods and apparatus of the invention can include process steps and apparatus features to implement process control, including feedback and feed-forward control, to, for example, enhance the speed and/or reliability of the method.

10 A controller for use in carrying out the present invention may be implemented as hardware circuitry, software, or a combination thereof. In one embodiment, the controller is a general purpose computer that runs software, operatively associated with monitors, drives, pumps, and other components through suitable interface hardware and/or software. Suitable software for the control of a three-dimensional printing or fabrication method and apparatus as described herein includes, but is not limited to, the ReplicatorG open source 3d printing
15 program, 3DPrint™ controller software from 3D systems, Slic3r, Skeinforge, KISSlicer, Repetier-Host, PrintRun, Cura, etc., including combinations thereof.

Process parameters to directly or indirectly monitor, continuously or intermittently, during the process(*e.g.*, during one, some or all of said filling, irradiating and advancing steps) include, but are not limited to, irradiation intensity, temperature of carrier,
20 polymerizable liquid in the build zone, temperature of growing product, temperature of build plate, pressure, speed of advance, pressure, force (*e.g.*, exerted on the build plate through the carrier and product being fabricated), strain (*e.g.*, exerted on the carrier by the growing product being fabricated), thickness of release layer, etc.

Known parameters that may be used in feedback and/or feed-forward control systems
25 include, but are not limited to, expected consumption of polymerizable liquid (*e.g.*, from the known geometry or volume of the article being fabricated), degradation temperature of the polymer being formed from the polymerizable liquid, etc.

Process conditions to directly or indirectly control, continuously or step-wise, in response to a monitored parameter, and/or known parameters (*e.g.*, during any or all of the
30 process steps noted above), include, but are not limited to, rate of supply of polymerizable liquid, temperature, pressure, rate or speed of advance of carrier, intensity of irradiation, duration of irradiation (*e.g.* for each "slice"), etc.

For example, the temperature of the polymerizable liquid in the build zone, or the temperature of the build plate, can be monitored, directly or indirectly with an appropriate thermocouple, non-contact temperature sensor (*e.g.*, an infrared temperature sensor), or other suitable temperature sensor, to determine whether the temperature exceeds the degradation temperature of the polymerized product. If so, a process parameter may be adjusted through a controller to reduce the temperature in the build zone and/or of the build plate. Suitable process parameters for such adjustment may include: decreasing temperature with a cooler, decreasing the rate of advance of the carrier, decreasing intensity of the irradiation, decreasing duration of radiation exposure, etc.

In addition, the intensity of the irradiation source (*e.g.*, an ultraviolet light source such as a mercury lamp) may be monitored with a photodetector to detect a decrease of intensity from the irradiation source (*e.g.*, through routine degradation thereof during use). If detected, a process parameter may be adjusted through a controller to accommodate the loss of intensity. Suitable process parameters for such adjustment may include: increasing temperature with a heater, decreasing the rate of advance of the carrier, increasing power to the light source, etc.

As another example, control of temperature and/or pressure to enhance fabrication time may be achieved with heaters and coolers (individually, or in combination with one another and separately responsive to a controller), and/or with a pressure supply (*e.g.*, pump, pressure vessel, valves and combinations thereof) and/or a pressure release mechanism such as a controllable valve (individually, or in combination with one another and separately responsive to a controller).

In some embodiments the controller is configured to maintain the gradient of polymerization zone described herein (*see, e.g.*, **Figure 1**) throughout the fabrication of some or all of the final product. The specific configuration (*e.g.*, times, rate or speed of advancing, radiation intensity, temperature, etc.) will depend upon factors such as the nature of the specific polymerizable liquid and the product being created. Configuration to maintain the gradient of polymerization zone may be carried out empirically, by entering a set of process parameters or instructions previously determined, or determined through a series of test runs or "trial and error"; the configuration may be provided through pre-determined instructions; the configuration may be achieved by suitable monitoring and feedback (as discussed above), combinations thereof, or in any other suitable manner.

In some embodiments, a method and apparatus as described above may be controlled by a software program running in a general purpose computer with suitable interface hardware between that computer and the apparatus described above. Numerous alternatives are commercially available. Non-limiting examples of one combination of components is shown in **Figures 3 to 5**, where “Microcontroller” is Parallax Propeller, the Stepper Motor Driver is Sparkfun EasyDriver, the LED Driver is a Luxeon Single LED Driver, the USB to Serial is a Parallax USB to Serial converter, and the DLP System is a Texas Instruments LightCrafter system.

4. General Methods.

As noted above, the present invention provides a method of forming a three-dimensional object, comprising the steps of: (a) providing a carrier and a build plate, said build plate comprising a semipermeable member, said semipermeable member comprising a build surface and a feed surface separate from said build surface, with said build surface and said carrier defining a build region therebetween, and with said feed surface in fluid contact with a polymerization inhibitor; then (concurrently and/or sequentially) (b) filing said build region with a polymerizable liquid, said polymerizable liquid contacting said build segment, (c) irradiating said build region through said build plate to produce a solid polymerized region in said build region, with a liquid film release layer comprised of said polymerizable liquid formed between said solid polymerized region and said build surface, the polymerization of which liquid film is inhibited by said polymerization inhibitor; and (d) advancing said carrier with said polymerized region adhered thereto away from said build surface on said stationary build plate to create a subsequent build region between said polymerized region and said top zone. In general the method includes (e) continuing and/or repeating steps (b) through (d) to produce a subsequent polymerized region adhered to a previous polymerized region until the continued or repeated deposition of polymerized regions adhered to one another forms said three-dimensional object.

Since no mechanical release of a release layer is required, or no mechanical movement of a build surface to replenish oxygen is required, the method can be carried out in a continuous fashion, though it will be appreciated that the individual steps noted above may be carried out sequentially, concurrently, or a combination thereof. Indeed, the rate of steps can be varied over time depending upon factors such as the density and/or complexity of the region under fabrication.

Also, since mechanical release from a window or from a release layer generally requires that the carrier be advanced a greater distance from the build plate than desired for the next irradiation step, which enables the window to be recoated, and then return of the carrier back closer to the build plate (*e.g.*, a “two steps forward one step back” operation), the present invention in some embodiments permits elimination of this “back-up” step and allows the carrier to be advanced unidirectionally, or in a single direction, without intervening movement of the window for re-coating, or “snapping” of a pre-formed elastic release-layer. However, in other embodiments of the invention, reciprocation is utilized not for the purpose of obtaining release, but for the purpose of more rapidly filling or pumping polymerizable liquid into the build region.

In some embodiments, the advancing step is carried out sequentially in uniform increments (*e.g.*, of from 0.1 or 1 microns, up to 10 or 100 microns, or more) for each step or increment. In some embodiments, the advancing step is carried out sequentially in variable increments (*e.g.*, each increment ranging from 0.1 or 1 microns, up to 10 or 100 microns, or more) for each step or increment. The size of the increment, along with the rate of advancing, will depend in part upon factors such as temperature, pressure, structure of the article being produced (*e.g.*, size, density, complexity, configuration, etc.)

In other embodiments of the invention, the advancing step is carried out continuously, at a uniform or variable rate.

In some embodiments, the rate of advance (whether carried out sequentially or continuously) is from about 0.1, 1, or 10 microns per second, up to about 100, 1,000, or 10,000 microns per second, again depending again depending on factors such as temperature, pressure, structure of the article being produced, intensity of radiation, etc

As described further below, in some embodiments the filling step is carried out by forcing said polymerizable liquid into said build region under pressure. In such a case, the advancing step or steps may be carried out at a rate or cumulative or average rate of at least 0.1, 1, 10, 50, 100, 500 or 1000 microns per second, or more. In general, the pressure may be whatever is sufficient to increase the rate of said advancing step(s) at least 2, 4, 6, 8 or 10 times as compared to the maximum rate of repetition of said advancing steps in the absence of said pressure. Where the pressure is provided by enclosing an apparatus such as described above in a pressure vessel and carrying the process out in a pressurized atmosphere (*e.g.*, of air, air enriched with oxygen, a blend of gasses, pure oxygen, etc.) a pressure of 10, 20, 30 or 40 pounds per square inch (PSI) up to, 200, 300, 400 or 500 PSI or more, may be used. For

fabrication of large irregular objects higher pressures may be less preferred as compared to slower fabrication times due to the cost of a large high pressure vessel. In such an embodiment, both the feed surface and the polymerizable liquid can be in fluid contact with the same compressed gas (*e.g.*, one comprising from 20 to 95 percent by volume of oxygen, the oxygen serving as the polymerization inhibitor.

On the other hand, when smaller items are fabricated, or a rod or fiber is fabricated that can be removed or exited from the pressure vessel as it is produced through a port or orifice therein, then the size of the pressure vessel can be kept smaller relative to the size of the product being fabricated and higher pressures can (if desired) be more readily utilized.

As noted above, the irradiating step is in some embodiments carried out with patterned irradiation. The patterned irradiation may be a fixed pattern or may be a variable pattern created by a pattern generator (*e.g.*, a DLP) as discussed above, depending upon the particular item being fabricated.

When the patterned irradiation is a variable pattern rather than a pattern that is held constant over time, then each irradiating step may be any suitable time or duration depending on factors such as the intensity of the irradiation, the presence or absence of dyes in the polymerizable material, the rate of growth, etc. Thus in some embodiments each irradiating step can be from 0.001, 0.01, 0.1, 1 or 10 microseconds, up to 1, 10, or 100 minutes, or more, in duration. The interval between each irradiating step is in some embodiments preferably as brief as possible, *e.g.*, from 0.001, 0.01, 0.1, or 1 microseconds up to 0.1, 1, or 10 seconds.

While the dead zone and the gradient of polymerization zone do not have a strict boundary therebetween (in those locations where the two meet), the thickness of the gradient of polymerization zone is in some embodiments at least as great as the thickness of the dead zone. Thus, in some embodiments, the dead zone has a thickness of from 0.01, 0.1, 1, 2, or 10 microns up to 100, 200 or 400 microns, or more, and/or said gradient of polymerization zone and said dead zone together have a thickness of from 1 or 2 microns up to 400, 600, or 1000 microns, or more. Thus the gradient of polymerization zone may be thick or thin depending on the particular process conditions at that time. Where the gradient of polymerization zone is thin, it may also be described as an active surface on the bottom of the growing three-dimensional object, with which monomers can react and continue to form growing polymer chains therewith. In some embodiments, the gradient of polymerization zone, or active surface, is maintained (while polymerizing steps continue) for a time of at least 5, 10, 15, 20

or 30 seconds, up to 5, 10, 15 or 20 minutes or more, or until completion of the three-dimensional product.

The method may further comprise the step of disrupting said gradient of polymerization zone/active surface, for a time sufficient to form a cleavage line in said three-dimensional object (e.g., at a predetermined desired location for intentional cleavage, or at a location in said object where prevention of cleavage or reduction of cleavage is non-critical), and then reinstating said gradient of polymerization zone (e.g. by pausing, and resuming, the advancing step, increasing, then decreasing, the intensity of irradiation, and combinations thereof

In some embodiments the build surface is flat; in other the build surface is irregular such as convexly or concavely curved, or has walls or trenches formed therein. In either case the build surface may be smooth or textured.

Curved and/or irregular build plates or build surfaces can be used in fiber or rod formation, to provide different materials to a single object being fabricated (that is, different polymerizable liquids to the same build surface through channels or trenches formed in the build surface, each associated with a separate liquid supply, etc.

Carrier Feed Channels for Polymerizable liquid. While polymerizable liquid may be provided directly to the build plate from a liquid conduit and reservoir system, in some embodiments the carrier include one or more feed channels therein. The carrier feed channels are in fluid communication with the polymerizable liquid supply, for example a reservoir and associated pump. Different carrier feed channels may be in fluid communication with the same supply and operate simultaneously with one another, or different carrier feed channels may be separately controllable from one another (for example, through the provision of a pump and/or valve for each). Separately controllable feed channels may be in fluid communication with a reservoir containing the same polymerizable liquid, or may be in fluid communication with a reservoir containing different polymerizable liquids. Through the use of valve assemblies, different polymerizable liquids may in some embodiments be alternately fed through the same feed channel, if desired.

5. Reciprocating feed of polymerizable liquid.

In an embodiment of the present invention, the carrier is vertically reciprocated (or oscillated) with respect to the build surface (that is, the two are vertically reciprocated with respect to one another) to enhance or speed the refilling of the build region with the

polymerizable liquid. Such reciprocations or oscillations (these two terms being used interchangeably herein) may be of any suitable configuration, including uniform and non-uniform, and/or periodic or non-periodic, with respect to one another, so long as they are configured to enhance feed of the polymerizable liquid to the build surface.

5 In some embodiments, the vertically reciprocating step, which comprises an upstroke and a downstroke, is carried out with the distance of travel of the upstroke being greater than the distance of travel of the downstroke, to thereby concurrently carry out the advancing step (that is, driving the carrier away from the build plate in the Z dimension) in part or in whole.

10 In some embodiments, the speed of the upstroke gradually accelerates (that is, there is provided a gradual start and/or gradual acceleration of the upstroke, over a period of at least 20, 30, 40, or 50 percent of the total time of the upstroke, until the conclusion of the upstroke, or the change of direction which represents the beginning of the downstroke. Stated differently, the upstroke begins, or starts, gently or gradually.

15 In some embodiments, the speed of the downstroke gradually decelerates (that is, there is provided a gradual termination and/or gradual deceleration of the downstroke, over a period of at least 20, 30, 40, or 50 percent of the total time of the downstroke. Stated differently, the downstroke concludes, or ends, gently or gradually.

20 While in some embodiments there is an abrupt end, or abrupt deceleration, of the upstroke, and an abrupt beginning or acceleration of the downstroke (*e.g.*, a rapid change in vector or direction of travel from upstroke to downstroke), it will be appreciated that gradual transitions may be introduced here as well (*e.g.*, through introduction of a "plateau" or pause in travel between the upstroke and downstroke). It will also be appreciated that, while each reciprocating step may be consist of a single upstroke and downstroke, the reciprocation step may comprise a plurality of 2, 3, 4 or 5 or more linked set of reciprocations, which may e the same or different in frequent and/or amplitude

25 In some embodiments, the vertically reciprocating step is carried out over a total time of from 0.01 or 0.1 seconds up to 1 or 10 seconds (*e.g.*, per cycle of an upstroke and a downstroke).

30 In some embodiments, the upstroke distance of travel is from 0.02 or 0.2 millimeters (or 20 or 200 microns) to 1 or 10 millimeters (or 1000 to 10,000 microns). The distance of travel of the downstroke may be the same as, or less than, the distance of travel of the upstroke, where a lesser distance of travel for the downstroke serves to achieve the advancing of the carrier away from the build surface as the three-dimensional object is gradually

formed. Where a reciprocation step comprises multiple linked reciprocations, the sum distance of travel of all upstrokes in that set is preferably greater than the sum distance of travel of all downstrokes in that set, to achieve the advancing of the carrier away from the build surface as the three-dimensional object is gradually formed.

5 Preferably the vertically reciprocating step, and particularly the upstroke thereof, does not cause the formation of gas bubbles or a gas pocket in the build region, but instead the build region remains filled with the polymerizable liquid throughout the reciprocation steps, and the gradient of polymerization zone or region remains in contact with the "dead zone" and with the growing object being fabricated throughout the reciprocation steps. As will be
10 appreciated, a purpose of the reciprocation is to speed or enhance the refilling of the build region, particularly where larger build regions are to be refilled with polymerizable liquid, as compared to the speed at which the build region could be refilled without the reciprocation step.

In some embodiments, the advancing step is carried out intermittently at a rate of 1, 2,
15 5 or 10 individual advances per minute up to 300, 600, or 1000 individual advances per minute, each followed by a pause during which an irradiating step is carried out. It will be appreciated that one or more reciprocation steps (*e.g.*, upstroke plus downstroke) may be carried out within each advancing step. Stated differently, the reciprocating steps may be nested within the advancing steps.

20 In some embodiments, the individual advances are carried out over an average distance of travel for each advance of from 10 or 50 microns to 100 or 200 microns (optionally including the total distance of travel for each vertically reciprocating step, *e.g.*, the sum of the upstroke distance minus the downstroke distance).

Apparatus for carrying out the invention in which the reciprocation steps described
25 herein are implemented substantially as described above, with the drive associated with the carrier, and/or with an additional drive operatively associated with the transparent member, and with the controller operatively associated with either or both thereof and configured to reciprocate the carrier and transparent member with respect to one another as described above.

30 In the alternative, vertical reciprocation may be carried out by configuring the build surface (and corresponding build plate) so that it may have a limited range of movement up and down in the vertical or "Z" dimension, while the carrier advances (*e.g.*, continuously or step-wise) away from the build plate in the vertical or "Z" dimension. In some embodiments,

such limited range of movement may be passively imparted, such as with upward motion achieved by partial adhesion of the build plate to the growing object through a viscous polymerizable liquid, followed by downward motion achieved by the weight, resiliency, etc. of the build plate (optionally including springs, buffers, shock absorbers or the like, configured to influence either upward or downward motion of the build plate and build surface). In another embodiment, such motion of the build surface may be actively achieved, by operatively associating a separate drive system with the build plate, which drive system is also operatively associated with the controller, to separately achieve vertical reciprocation. In still another embodiment, vertical reciprocation may be carried out by configuring the build plate, and/or the build surface, so that it flexes upward and downward, with the upward motion thereof being achieved by partial adhesion of the build surface to the growing object through a viscous polymerizable liquid, followed by downward motion achieved by the inherent stiffness of the build surface biasing it or causing it to return to a prior position.

It will be appreciated that illumination or irradiation steps, when intermittent, may be carried out in a manner synchronized with vertical reciprocation, or not synchronized with vertical reciprocation, depending on factors such as whether the reciprocation is achieved actively or passively.

It will also be appreciated that vertical reciprocation may be carried out between the carrier and all regions of the build surface simultaneously (*e.g.*, where the build surface is rigid), or may be carried out between the carrier and different regions of the build surface at different times (*e.g.*, where the build surface is of a flexible material, such as a tensioned polymer film).

6. Increased speed of fabrication by increasing light intensity.

In general, it has been observed that speed of fabrication can increase with increased light intensity. In some embodiments, the light is concentrated or "focused" at the build region to increase the speed of fabrication. This may be accomplished using an optical device such as an objective lens.

The speed of fabrication may be generally proportional to the light intensity. For example, the build speed in millimeters per hour may be calculated by multiplying the light intensity in milliWatts per square centimeter and a multiplier. The multiplier may depend on a variety of factors, including those discussed below. A range of multipliers, from low to high,

may be employed. On the low end of the range, the multiplier may be about 10, 15, 20 or 30. On the high end of the multiplier range, the multiplier may be about 150, 300, 400 or more.

The relationships described above are, in general, contemplated for light intensities of from 1, 5 or 10 milliWatts per square centimeter, up to 20 or 50 milliWatts per square centimeter.

Certain optical characteristics of the light may be selected to facilitate increased speed of fabrication. By way of example, a band pass filter may be used with a mercury bulb light source to provide 365 ± 10 nm light measured at Full Width Half Maximum (FWHM). By way of further example, a band pass filter may be used with an LED light source to provide 375 ± 15 nm light measured at FWHM.

As noted above, polymerizable liquids used in such processes are, in general, free radical polymerizable liquids with oxygen as the inhibitor, or acid-catalyzed or cationically polymerizable liquids with a base as the inhibitor. Some specific polymerizable liquids will of course cure more rapidly or efficiently than others and hence be more amenable to higher speeds, though this may be offset at least in part by further increasing light intensity.

At higher light intensities and speeds, the "dead zone" may become thinner as inhibitor is consumed. If the dead zone is lost then the process will be disrupted. In such case, the supply of inhibitor may be enhanced by any suitable means, including providing an enriched and/or pressurized atmosphere of inhibitor, a more porous semipermeable member, a stronger or more powerful inhibitor (particularly where a base is employed), etc.

In general, lower viscosity polymerizable liquids are more amenable to higher speeds, particularly for fabrication of articles with a large and/or dense cross section (although this can be offset at least in part by increasing light intensity). Polymerizable liquids with viscosities in the range of 50 or 100 centipoise, up to 600, 800 or 1000 centipoise or more (as measured at room temperature and atmospheric pressure with a suitable device such as a HYDRAMOTION REACTAVISC™ Viscometer (available from Hydramotion Ltd, 1 York Road Business Park, Malton, York YO17 6YA England). In some embodiments, where necessary, the viscosity of the polymerizable liquid can advantageously be reduced by heating the polymerizable liquid, as described above.

In some embodiments, such as fabrication of articles with a large and/or dense cross-section, speed of fabrication can be enhanced by introducing reciprocation to "pump" the polymerizable liquid, as described above, and/or the use of feeding the polymerizable liquid

through the carrier, as also described above, and/or heating and/or pressurizing the polymerizable liquid, as also described above.

7. Tiling.

5 It may be desirable to use more than one light engine to preserve resolution and light intensity for larger build sizes. Each light engine may be configured to project an image (e.g., an array of pixels) into the build region such that a plurality of "tiled" images are projected into the build region. As used herein, the term "light engine" can mean an assembly including a light source, a DLP device such as a digital micromirror device and an optical
10 device such as an objective lens. The "light engine" may also include electronics such as a controller that is operatively associated with one or more of the other components.

In some embodiments, a configuration with the overlapped images is employed with some form of "blending" or "smoothing" of the overlapped regions as generally discussed in, for example, U.S. Patent Nos. 7,292,207, 8,102,332, 8,427,391, 8,446,431 and U.S. Patent
15 Application Publication Nos. 2013/0269882, 2013/0278840 and 2013/0321475, the disclosures of which are incorporated herein in their entireties.

The tiled images can allow for larger build areas without sacrificing light intensity, and therefore can facilitate faster build speeds for larger objects. It will be understood that more than two light engine assemblies (and corresponding tiled images) may be employed.
20 Various embodiments of the invention employ at least 4, 8, 16, 32, 64, 128 or more tiled images.

8. Fabrication in multiple zones.

As noted above, embodiments of the invention may carry out the formation of the
25 three-dimensional object through multiple zones or segments of operation. Such a method generally comprises:

- (a) providing a carrier and an optically transparent member having a build surface, the carrier and the build surface defining a build region therebetween, with the carrier positioned adjacent and spaced apart from the build surface at a start position; then
30 (b) forming an adhesion segment of the three-dimensional object by:
 - (i) filling the build region with a polymerizable liquid,
 - (ii) irradiating the build region with light through the optically transparent member (e.g., by a single exposure), while

(iii) maintaining the carrier stationary or advancing the carrier away from the build surface at a first cumulative rate of advance, to thereby form from the polymerizable liquid a solid polymer adhesion segment of the object adhered to the carrier; then

5 (c) optionally but preferably forming a transition segment of the three dimensional object by

(i) filling the build region with a polymerizable liquid,

(ii) continuously or intermittently irradiating the build region with light through the optically transparent member, and

10 (iii) continuously or intermittently advancing (e.g., sequentially or concurrently with the irradiating step) the carrier away from the build surface at a second cumulative rate of advance to thereby form from the polymerizable liquid a transition segment of the object between the adhesion segment and the build surface;

wherein the second cumulative rate of advance is greater than the first cumulative rate of advance; and then

15 (d) forming a body segment of the three dimensional object by:

(i) filling the build region with a polymerizable liquid,

(ii) continuously or intermittently irradiating the build region with light through the optically transparent, and

20 (iii) continuously or intermittently advancing (e.g., sequentially or concurrently with the irradiating step) the carrier away from the build surface at a third cumulative rate of advance, to thereby form from the polymerizable liquid a body segment of the object between the transition segment and the build surface;

wherein the third cumulative rate of advance is greater than the first and/or the second cumulative rate of advance.

25 Note that the start position can be any position among a range of positions (e.g., a range of up to 5 or 10 millimeters or more), and the irradiating step (b)(ii) is carried out at an intensity sufficient to adhere the solid polymer to the carrier when the carrier is at any position within that range of positions. This advantageously reduces the possibility of failure of adhesion of the three-dimensional object to the carrier due to variations in uniformity of the carrier and/or build surfaces, variations inherent in drive systems in positioning the carrier adjacent the build surface, etc.

9. Fabrication with Intermittent (or Strobe) illumination.

As noted above, in some embodiments the invention may be carried out with the illumination in intermittent periods or burst. In one embodiment, such a method comprises:

providing a carrier and an optically transparent member having a build surface, the carrier and the build surface defining a build region therebetween;

filling the build region with a polymerizable liquid,

intermittently irradiating the build region with light through the optically transparent member to form a solid polymer from the polymerizable liquid,

continuously advancing the carrier away from the build surface to form the three-dimensional object from the solid polymer.

Another embodiment of such a mode of operation comprises:

providing a carrier and an optically transparent member having a build surface, the carrier and the build surface defining a build region therebetween;

filling the build region with a polymerizable liquid,

intermittently irradiating the build region with light through the optically transparent member to form a solid polymer from the polymerizable liquid,

continuously or intermittently advancing (*e.g.*, sequentially or concurrently with the irradiating step) the carrier away from the build surface to form the three-dimensional object from the solid polymer.

In some embodiments, the intermittently irradiating comprises alternating periods of active and inactive illumination, where the average duration of the periods of active illumination is less than the average duration of the periods of inactive illumination (*e.g.*, is not more than 50, 60, or 80 percent thereof).

In other embodiments, the intermittently irradiating comprises alternating periods of active and inactive illumination, where the average duration of the periods of active illumination is the same as or greater than the average duration of the periods of inactive illumination (*e.g.*, is at least 100, 120, 160, or 180 percent thereof).

Examples of such modes of operation are given further below. These features may be combined with any of the other features and operating steps or parameters described herein.

10. Fabrication of body segment by multiple operating modes.

Operating modes (that is, the pattern defining the manner of irradiating and advancing) may be changed in the course of fabricating a three dimensional object (*i.e.*, the

major portion, or “body portion”, thereof), to best suit the particular geometry of each contiguous segment of that three-dimensional object, particularly as that geometry changes during the course of fabrication.

In general, base and transition zones may still be fabricated as described above, as the preferred foundation for the body of that object during fabrication thereof.

Horizontal portions of the three, dimensional object, abrupt changes in cross section, and converging or diverging elements of the three dimensional object, may be fabricated in a reciprocal or oscillatory operating mode, for example, to eliminate surface defects, such as pitting, and speed or enhance resin replenishment to the build region.

Vertical and thin-walled sections of the three dimensional object, and fragile elements or fine features thereof, can be fabricated in a continuous operating mode. In some embodiments, continuous mode is least concussive of the various operating modes, and hence is better suited to fabricating segments of three-dimensional objects with complex or delicate geometries (though this may be influenced by the choice of materials for the build surface—that is, rigid vs. flexible).

Feathering, or gradual transitioning of operating mode parameters, may be included in the course of changing operating modes (that is, between one operating mode and a subsequent operating mode). For example, in an intra-oscillatory build: oscillatory parameters are driven by enabling resin flow and allow time for the resin level in the build area to equilibrate—for thinner cross-sections, one can use a lower oscillation height, faster oscillation speeds, and/or smaller delay time to replenish resin, while the opposite is true for thicker cross-sections.

In feathering from an reciprocal (or oscillatory) to continuous operating mode: A pause following oscillatory mode, ramp in continuous speed from 10 mm/hr to standard continuous speed as analog to transition zone, effective dosage to initial slices drops from “over-exposed” (allowing proper adhesion) to the recommended dosage.

In feathering from continuous to oscillatory: initial oscillation displacement following transition accounts for area of last exposed continuous frame, e.g. high oscillation displacement for large cross-section and vice versa. Dosage for initial frames can be constant or ramped from high to low.

In an alternative to changing operating modes (or in combination with changing operating modes), the parameters of an operating mode can be changed during formation of the three-dimensional object. Examples of parameters that can be changed include, for

example, frequency of irradiating, intensity of irradiating, duration of irradiating, duty cycle of irradiating, rate of advancing, lead time prior to irradiating, lag time following irradiating, step height, pump height, step or pump duration, or frequency of step-wise or reciprocal advancing. For example:

5 greater pump height may be preferred for fabricating a dense portion or segments of an object (such as a completely solid portion, or a dense foam or lattice portion);

greater pump speed may be preferred for a sparse (or less dense) segment or portion of an object, such as a hollow, mesh-filled, open foam or open lattice portion of an object; and

10 decreased lead and lag times may be preferred when overall speed or rate of formation is increased.

Additional reasons for varying such parameters are indicated above and below.

It will be appreciated that the pattern of exposure may be changed in the course of fabrication, *e.g.*, from slice to slice, to alter the geometry of external surfaces of the three-dimensional object, to alter the geometry of internal surfaces of the three dimensional object
15 for structural purposes, to alter the geometry of internal surfaces of the object to change micro-structure or material properties of the object (*e.g.*, in the formation of a regular or irregular mesh, lattice, or foam (including open and closed cell foams), to maintain or alter flow of the polymerizable liquid to the build region, etc. In addition, in the present invention,
20 slice thickness may advantageously be varied, as discussed further below.

11. Varying slice thickness.

As noted above, the methods and processes described herein advantageously accommodate input in varying slice thickness, rather than a fixed slice thickness, during
25 formation of a three-dimensional object, allowing the operation of the methods and apparatus to be simplified, and particularly for electronic or computer-generated instructions to the apparatus for carrying out the method to be simplified. For example, for an object that includes both finely detailed portions as well as less detailed portions, or relatively constant portions, slice thickness can be thinner for the detailed portions, and thicker for the relatively
30 constant portions.

The number of times slice thickness is changed will depend upon factors such as the object material and properties, geometry, tensile or other material properties, tolerances, etc. There are no particular limits, and hence in some embodiments, slice thickness may be

changed at least 2, 4, 8 or 10 times during formation of the object or object body portion (and optionally up to 100 or 1000 times, or more). Note that every change may not be to a different slice thickness, but may in some instances be a reversion to a previous (but not the immediately previous) slice thickness.

5 For example, in some embodiments, changing may be between: at least one slice having a thickness of less than 2 or 4 microns; optionally at least one slice having a thickness between 40 and 80 microns; and at least one slice having a thickness of more than 200, 400 or 600 microns.

10 In some embodiments, changing may be between: at least one slice having a thickness of less than 2 or 4 microns; and at least one slice having a thickness of more than 40 or 80 microns.

15 In some embodiments, the changing may be between at least one slice having a thickness of less than 20 or 40 microns; optionally at least one slice having a thickness between 60 and 80 microns; and at least one slice having a thickness of more than 200, 400, or 600 microns.

In some embodiments, the changing may be between at least a first thin slice and a second thicker slice, wherein said second slice has a thickness at least 5, 10, 15 or 20 times greater than said first slice.

20 In some embodiments, the changing is between at least a first plurality (e.g., at least 2, 5, 10 or 20) of contiguous thin slices and a second thicker slice, wherein each of said thin slices is different from one another, and wherein said second thicker slice has a thickness at least 5, 10, 15, or 20 times greater than each of said plurality of thin slices.

25 Variation of slice thickness may be implemented in any operating mode, as discussed further below, and in combination with changing operating modes in the course of fabricating a particular three-dimensional object, as also discussed further below.

12. Fabrication products.

30 Three-dimensional products produced by the methods and processes of the present invention may be final, finished or substantially finished products, or may be intermediate products subject to further manufacturing steps such as surface treatment, laser cutting, electric discharge machining, etc., is intended. Intermediate products include products for which further additive manufacturing, in the same or a different apparatus, may be carried out). For example, a fault or cleavage line may be introduced deliberately into an ongoing

“build” by disrupting, and then reinstating, the gradient of polymerization zone, to terminate one region of the finished product, or simply because a particular region of the finished product or “build” is less fragile than others.

Numerous different products can be made by the methods and apparatus of the present invention, including both large-scale models or prototypes, small custom products, miniature or microminiature products or devices, etc. Examples include, but are not limited to, medical devices and implantable medical devices such as stents, drug delivery depots, functional structures, microneedle arrays, fibers and rods such as waveguides, micromechanical devices, microfluidic devices, etc.

Thus in some embodiments the product can have a height of from 0.1 or 1 millimeters up to 10 or 100 millimeters, or more, and/or a maximum width of from 0.1 or 1 millimeters up to 10 or 100 millimeters, or more. In other embodiments, the product can have a height of from 10 or 100 nanometers up to 10 or 100 microns, or more, and/or a maximum width of from 10 or 100 nanometers up to 10 or 100 microns, or more. These are examples only: Maximum size and width depends on the architecture of the particular device and the resolution of the light source and can be adjusted depending upon the particular goal of the embodiment or article being fabricated.

In some embodiments, the ratio of height to width of the product is at least 2:1, 10:1, 50:1, or 100:1, or more, or a width to height ratio of 1:1, 10:1, 50:1, or 100:1, or more.

In some embodiments, the product has at least one, or a plurality of, pores or channels formed therein, as discussed further below.

The processes described herein can produce products with a variety of different properties. Hence in some embodiments the products are rigid; in other embodiments the products are flexible or resilient. In some embodiments, the products are a solid; in other embodiments, the products are a gel such as a hydrogel. In some embodiments, the products have a shape memory (that is, return substantially to a previous shape after being deformed, so long as they are not deformed to the point of structural failure). In some embodiments, the products are unitary (that is, formed of a single polymerizable liquid); in some embodiments, the products are composites (that is, formed of two or more different polymerizable liquids). Particular properties will be determined by factors such as the choice of polymerizable liquid(s) employed.

In some embodiments, the product or article made has at least one overhanging feature (or “overhang”), such as a bridging element between two supporting bodies, or a

cantilevered element projecting from one substantially vertical support body. Because of the unidirectional, continuous nature of some embodiments of the present processes, the problem of fault or cleavage lines that form between layers when each layer is polymerized to substantial completion and a substantial time interval occurs before the next pattern is exposed, is substantially reduced. Hence, in some embodiments the methods are particularly advantageous in reducing, or eliminating, the number of support structures for such overhangs that are fabricated concurrently with the article.

13. Varying of Pressure of Build Plate

In some embodiments, a chamber for changing a pressure of a region below the build plate is used. For example, as illustrated in **Figure 22**, a build plate unit **400** includes a build plate **410** and a vacuum chamber **420**. The chamber **420** includes a transparent bottom wall **422**. The build plate **410** forms the upper wall of the chamber **420** such that a radiation source (*e.g.*, radiation source **11** in **Figure 2**) illuminates a build region defined by the build plate **410**. The top of an object under construction may be attached to a carrier above the build plate, such as the carrier **18** in **Figure 2**.

The build plate **410** may have any suitable configuration, including those described herein, *e.g.*, with respect to the build plate **15** of **Figure 2**. As illustrated in **Figure 23**, the build plate **410** may include a base layer **412** and a flexible layer **414**. The upper surface of the base layer **412** faces the lower surface of the flexible layer **414**. The flexible layer **414** may be a flexible sheet or film, such as a semipermeable (or gas permeable) member (*e.g.*, perfluoropolymers, such as TEFLON AF® fluoropolymers, alone or in combination with one or more additional supporting substrates (*e.g.*, clamps and tensioning members to rigidify an otherwise flexible semipermeable material, or another layer, such as a rigid support layer under the base layer **412**).

The region of reduced pressure in the chamber **420** is in fluid communication with the lower surface of the flexible layer **414**. For example, the base layer **412** may be formed of a gas permeable material, such as a porous material (*e.g.*, porous glass) or fiber optic tubes fused to one another. Examples of porous materials include laser-cut glass, silicon, quartz, sapphire or polymer materials in which apertures are cut with a laser through the material (available from Potomac Photonics (Baltimore, Maryland, USA)). In some embodiments, an etchable material (*e.g.*, etchable glass) may be fused to a non-etchable material (*e.g.*, non-etchable glass), and the etchable material may be partially or completely removed to create a

porous material. For example, a non-etchable material (*e.g.*, non-etchable glass) may be fused around an array of etchable glass cylinders (*e.g.*, etchable glass), and the resulting tubes may be fused to one another to form a block of the material. The etchable glass inner tubes may then be partially or completely removed to form holes extending through the material.

5 The resulting glass capillary array may provide a uniform and mechanically rigid structure. Glass capillary arrays may be obtained from Photonis USA, Sturbridge, Massachusetts, USA. In some embodiments, the base layer 412 is impermeable, and the region of reduced pressure in the chamber 420 may be in fluid communication with an outer region of the flexible layer 414, for example, by providing a beveled edge of the base layer 412 or other passages from
10 the chamber 420 to the lower surface of the flexible layer 414.

It should be understood that additional layers and configurations may be used for the base plate 410. For example, as shown in **Figure 23**, the base layer 412 includes a surface topology 413 that may be configured to reduce or eliminate surface wetting and/or sticking between the flexible layer 414 and the base layer 412. The surface topology 413 may be a
15 surface roughness or pattern, such as a random pattern of surface features or a regular pattern of surface features. It should be understood that the term "random" includes patterns that are not perfectly random. The surface roughness may be formed by various techniques, including spraying the top surface of the base layer 412 with an abrasive media to create surface features that may reduce the adhesion between the base layer 412 and the flexible
20 layer 414. For example, if the base is formed of glass, spraying the base with glass beads of approximately 50-150 μm diameter with a stream of air pressurized to about 40-120 psi from a distance of about 2-10 inches may create pits in the glass ranging from about 0.1-5.0 μm deep and 1.0-10 μm in diameter. If covering about .1% to 20% of the area of the base, these pits or indentations may effectively maintain a gap for the polymerization inhibitor. Other
25 abrasives may be used to create surface roughness, including aluminum oxide, crushed glass grit, glass beads, silicon carbide, pumice, steel shot and steel grit. Chemical etching may also be used to create a pattern of surface features. Acid solutions such as hydrofluorosilicic acid, sodium fluoride and hydrogen fluoride may dissolve a base material, such as glass, slowly and can dissolve the material starting at microscopic surface imperfections that are randomly
30 distributed across the surface. If the acid is left on the surface for a sufficiently short time, the acid may only affect small areas of the surface and may create indentations or pits similar to those formed by abrasive blasting. In some embodiments, the surface roughness on the base and/or the sheet may include a non-random set of patterned features having dimensions

similar to those described herein, *e.g.*, channels or wells ranging from about 0.1-5.0 μm deep and 1.0-10 μm in width and/or length. The channels or wells may cover about .1% to 20% of the area of the base to maintain a gap for the polymerization inhibitor. Although the surface topology 413 is illustrated as part of the base layer 412, it should be understood that, in some embodiments, the surface topology may be formed on the flexible sheet layer 414 instead of on the base. The surface topology may be a patterned material applied to the surface of the base layer 412, such as a rigid or semi-rigid polymer or other materials that may be molded, for example, by embossing or other suitable patterning techniques. The pattern may be formed by screen printing, etching, photolithography, and the like. Without wishing to be bound by any particular theory, it is currently believed that trace amounts of fluid used for cleaning, moisture from the air humidity, chemical components from the monomer resin that are able to migrate through the sheet are possible sources for small amounts of fluid that may block the a continuous path for air or other polymerization inhibitors to all areas of the build plate. A small amount of surface roughness may reduce or eliminate the area over which fluid may spread and block the path of gas flow.

In some embodiments, the surface topology 413 may be provided on the sheet 414 or on the base layer 412 or other configurations may be used, for example, to provide a flow path for a polymerization inhibitor to flow to the build surface to facilitate the dead zone (or region of persistent liquid interface). Laminated sheets may be used for the flexible sheet 414. As shown in **Figures 24A-24B**, the layer 414 flexes when the carrier/object moves in an upward direction. If laminated sheets are used for the layer 414, an adhesive layer may be used to laminate flexible sheets together to form the layer 414. Additional layers may also be adhered to the base layer 412. Examples of such adhesives include gas-permeable adhesives, such as a poly(dimethylsiloxane) (PDMS) film (*e.g.*, a silicon transfer film adhesive that is applied using a polyester release liner, such as ARseal™8026 (Adhesives Research, Glen Rock, PA (USA))). The adhesive layer is preferably an adhesive that is both gas-permeable and has good adhesive qualities with respect to the material of the base (*e.g.*, glass, silicon, quartz, sapphire, polymer materials) and the material of the sheet (*e.g.*, polymers described below). In this configuration, air flow may be permitted through an uneven surface topology and/or through the gas permeable adhesive and sheet and/or fluid blockage may be reduced. The adhesive layer may be continuous or deposited in a pattern.

As illustrated in **Figures 25A-25D**, the base layer 412 has a flexible layer 414, which is substantially held in position on the base layer 412 by the region of reduced pressure that is

adjacent the bottom surface **412A** of the base layer **412**. As a carrier and/or object under construction **418** moves away from the base layer **412**, resin fills the region between the carrier/object **418** and the flexible layer **414** and is cured by a radiation source. In addition, the flexible layer **414** may oscillate as illustrated in **Figures 25A-25D**. In particular, as the carrier/object **418** moves away from the base layer **412**, the layer **414** flexes in an upward direction, *e.g.*, in the shape of a dome (**Figure 25B**). As the layer **414** continues to flex upward in **Figure 25C**, the reduced pressure below the base layer surface **412A** exerts a downward force on the layer **414** until the layer **414** is pulled back to a generally planar position adjacent the base **412** (**Figure 25D**). When the flexible layer **414** returns to the position shown in **Figure 25D**, the movement of the layer **414** may aid in pulling additional polymerizable liquid (*e.g.*, resin) into the build region under the object/carrier **418**. Accordingly, the oscillation of the flexible layer **414** may be sufficient to enhance or speed a refilling of the build region with polymerizable liquid.

In some embodiments, a wave front of the flexible layer **414** may be used to reduce or minimize the maximum upward force on the build plate **410** (and downward force on the object/carrier **418**) by spreading the oscillation in time as a front moving across the object as shown sequentially in **Figures 26A-26I**. In particular embodiments, the vacuum may be increased (*i.e.*, pressure decreased) asymmetrically to move the flexible sheet layer **414** down in a wave motion. For example, a less porous base layer **412** may be used or the amount/size of the pores may vary across the horizontal plane of the base layer **412** or channels in the base may be connected to different vacuum or reduced pressure sources of varying degree. In some embodiments, the vacuum may be decreased (*i.e.*, pressure increase), or positive pressure applied, asymmetrically to move the flexible sheet **414** back up in a wave motion to pump out excess resin before the next irradiation. In some embodiments, ballast tanks may be used for rapidly changing or buffering partial vacuum (or pressure) under the build plate **410**.

It should be understood that various shapes of wave patterns of the flexible layer **414** may be used. For example, as illustrated in **Figures 26A-26I**, as the carrier/object **418** moves away from the base layer **412**, suction created between the layer **414** and the carrier/object **418** causes the layer **414** to rise, while polymerizable liquid fills the region between the carrier/object **418** and the flexible layer **414**. At or near the limit of the mechanical stretch of the layer **414** or on application of a vacuum under the layer **414**, the flexible layer **414** moves down in a wave pattern as illustrated in **Figures 26C-26E**. As illustrated in **Figures 26A-**

26I, the wave pattern can move from one side of the build plate 410 to the other. In some embodiments, the vacuum may be unevenly applied or otherwise controlled to provide a desired wave shape/propagation, *e.g.*, to enhance or speed a refilling of the build region with polymerizable liquid and/or increase build speed. In some embodiments, the vacuum may be decreased asymmetrically to move the layer 414 back up in a wave motion to pump out excess resin before the next irradiation, *e.g.*, as shown in **Figures 26G-26I**. However, it should be understood that the waveforms described herein may be formed under constant vacuum or with pressure changes over time. Moreover, the pressure and/or pressure changes may be evenly or unevenly (asymmetrically applied).

In some embodiments, the pressure of the chamber 420 may be constant, which may result in oscillation of the layer 414 due to the movement of the carrier/object 418. However, in some embodiments, the pressure of the chamber 420 may be varied, for example, to control a speed of oscillation of the flexible layer 414. In particular embodiments, the irradiation of the polymerizable liquid may be intermittent irradiation that is synchronized (or not synchronized) with an oscillation of the flexible layer.

The pressure of the chamber 420 may be varied from about 0 psia (absolute, equals ~14.7 psig or gage) to ~25 psia, and more preferably from 5 psia to ~14.7 psia (one atmosphere). Most preferably, the low pressure will be ~5 psia to 10 psia. In oscillating pressure mode, the top pressure will be ~10 psia to 25 psia, and more preferably ~10 to ~14.7 psia.

14. Additional Build Plate Materials

Any suitable material may be used to form the build plates described herein, including multi-layer build plates and/or build plates formed of more than one material. For example, the flexible layer (used alone or with additional supports or layers) may include a woven glass fabric (fiberglass or e-glass) with a crosslinked silicone elastomeric coating (such as room temperature vulcanized (RTV) silicone), which may be lightly infiltrated into the glass fiber fabric to provide mechanical durability. The oxygen permeability of silicone elastomer (rubber) is similar to Teflon® AF-2400. Such a configuration may be used alone or affixed (adhesively adhered) to a glass plate with the unfilled areas of the fabric available for air (oxygen) flow. Sulfonated tetrafluoroethylene based fluoropolymer-copolymers, such as Nafion® from Dupont may also be used.

In some embodiments, asymmetric flat sheet membranes which are currently used in very high quantity for water purification applications (*see* U.S. Patent Publication No. 2014/0290478) may be used. These membranes are generally polysulfone or polyethersulfone, and may be coated with perfluoropolymers or crosslinked silicone elastomer to increase chemical resistance. Also poly(vinylidene fluoride) and possibly polyimide asymmetric (porous) membranes may be used, for example, if chemical resistance is a problem. Some of the membranes may be used as is without coatings. Examples of such membranes include FilmTec® membranes (Dow Chemical, Midland, Michigan (USA)). These are porous polysulfone asymmetric membranes coated with a crosslinked high Tg polyamide (with a coating thickness of about 0.1 microns). The crosslinked polyamide coating should provide chemical resistance. Although the oxygen permeability of the polyamide is low, the thickness of the coating may be so low that the effective oxygen transmission rate is high. The polysulfone support without the polyamide layer could be coated with a wide variety of polymers such as silicone rubber (or AF-2400) to yield very high oxygen transmission. The FilmTec® membranes are produced in very high quantity as they are the prime material used in water desalination plants. PVDF porous membranes may allow repeated use.

15. Additional Build Plate Materials

In some embodiments, enrichment of the atmosphere with a polymerization inhibitor, such as oxygen, may be used. For example, the vacuum chamber 420 may be oxygen enriched, for example, to maintain a high oxygen partial pressure despite a reduced total gas pressure under the layer 414 or to enable less permeable build windows while still permitting sufficient oxygen or other polymerization inhibitor to be present in the build region.

16. Buildplate Coatings

Omniphobic surfaces may be used on the build plate surface or build region. For example, patterned surfaces (either a random array of particles or micro patterned surfaces) that contain non-miscible fluids that are pinned or held to the surface by capillary forces may be used. Such a surface may result in fluid on the surface floating along the surface. Examples of such surfaces are described in U.S. Patent Nos. 8,535,779 and 8,574,704, the disclosures of which are hereby incorporated by reference in their entireties.

17. Build Plate Flexible Layers

Although embodiments according to the present invention are described with respect to flexible layers on the build plate that include a semipermeable (or gas permeable) member (e.g., perfluoropolymers, such as TEFLON AF® fluoropolymers, it should be understood that any suitable flexible material may be used, either alone (with a tensioning member or “drum head” configuration) or placed on top of another, strengthening substrate, such as glass. For example, a transparent, resilient paper, such as glassine, may be used. Glassine is a relatively transparent, greaseproof paper formed of well-hydrated cellulosic fibers that has been super calendered. Glassine may be plasticized and/or coated with wax or a glaze. Glassine may be gas permeable. In some embodiments, the glassine may be coated with a thin layer of crosslinked silicone elastomer or a perfluoropolymer, such as TEFLON AF® fluoropolymers. Glassine paper is substantially grease resistant, and may have limited adhesion to the polymerizable liquid described herein.

18. Flexible Buildplates

In some embodiments, the optically transparent member or build plate includes a flexible, gas permeable, optically transparent sheet having upper and lower opposing sides (the upper side defining the build region), and a flexible, gas impermeable or permeable, optically transparent base layer on the lower side of the flexible sheet opposite the build region. The base layer may be formed of a flexible alkali-aluminosilicate sheet glass and/or borosilicate glass (e.g., Gorilla® glass or Willow® glass (Corning, Inc., Corning, New York (USA))). The base layer may have a flexibility and strength (hardness) that permits oscillations, such as those described above with respect to **Figures 25-26** while providing sufficient support and durability during object formation. For example, the base layer may be a material having a Young's modulus of about 70-80 GPa and/or a Vickers Hardness of about 500-750 kgf/mm². In some embodiments, the base layer is more rigid than the flexible layer.

For example, as illustrated in **Figures 27A-27D**, a build plate **500** includes a flexible, impermeable or permeable base **512** and a flexible, permeable sheet **514**. A patterned layer **516** defines channels **515** for providing fluid flow to the permeable sheet **514**. The patterned layer **516** may be a layer of patterned polymer (e.g., a fluoropolymer or perfluoropolyether polymer) bonded on the base layer **512**; however, any suitable layer or surface topology between the flexible sheet **514** and the base layer **512** may be used, including etching the surface of the base layer **512** and/or the flexible sheet **514**. The channels **515** may be in a

regular or irregular/random pattern. In addition, other configurations to provide fluid or gas flow to the flexible, permeable sheet **514** and, consequently, to the build surface, may be used. For example, the flexible sheet may have channels formed therein, such as by laminating two sheets around spacers and subsequently removing the spaces to form longitudinal channels in the sheet (*see* **Figure 29**). In some embodiments, the patterned layer **516** may be omitted, and the base layer may be a porous layer, for example, by drilling micro holes in the base layer (*e.g.*, 10-50 μm or 30 μm holes). The flexible sheet **514** may be adhered to a porous base layer with a permeable adhesive sheet and the channels may be omitted. In some embodiments, the patterned layer **516** may be omitted, and the base layer may have a patterned surface that is adhered to the sheet with an adhesive layer (*see* **Figure 30**).

As illustrated in **Figures 27A-27D**, when a carrier and/or object being fabricated **518** is pulled away from the build plate **500**, the build plate **500** may oscillate. In particular, the build plate **500** moves in a curved or wave shape up toward the carrier/object **518** (**Figure 27B**). The build plate **500** experiences a downward force as shown in **Figure 27C**. The downward force may be due to the shape resistance or shape memory of the flexible sheet **512** to return to its planar equilibrium position. In some embodiments, a vacuum chamber may be used to further create a downward force on the build plate **500** (*see, e.g., Figure 22*). As shown in **Figure 27D**, the build plate **500** may return to the planar equilibrium position, creating a separation between the build plate **500** and the carrier/object **518**, which may permit additional polymerizable liquid (*e.g.*, resin) to fill the void between the build plate **500** and the carrier/object **518**. This process is repeated, and may result in increased filling of the polymerizable liquid and/or increased build speeds.

In some embodiments, a rigid support may be positioned adjacent or under the base layer. As illustrated in **Figures 28A-28D**, which depict sequential movement of the build plate **600** as a carrier/object **618** moves away from the build plate **600**, the build plate **600** includes a base layer **612**, a flexible layer **614**, and a patterned layer **616** and channels **615** therebetween. A rigid support **610** may provide additional stability as the layers **612**, **614**, **616** oscillate as the carrier/object **618** moves away from the build plate **600**.

While the present invention has been described in connection with polymerizable liquids, those skilled in the art will appreciate that the methods and apparatus described herein may be used with any suitable solidifiable liquid, including organic and inorganic materials. In some embodiments, "dual cure" polymerizable liquids (or "resins"), and

methods that may be used in carrying out the present invention include, but are not limited to, those set forth in J. Rolland et al., *Method of Producing Polyurethane Three-Dimensional Objects from Materials having Multiple Mechanisms of Hardening*, PCT Publication No. WO 2015/200179 (published 30 Dec. 2015); J. Rolland et al., *Methods of Producing Three-Dimensional Objects from Materials Having Multiple Mechanisms of Hardening*, PCT Publication No. WO 2015/200173 (published 30 Dec. 2015); J. Rolland et al., *Three-Dimensional Objects Produced from Materials Having Multiple Mechanisms of Hardening*, PCT Publication No. WO/2015/200189 (published 30 Dec. 2015); J. Rolland et al., *Polyurethane Resins Having Multiple Mechanisms of Hardening for Use in Producing Three-Dimensional Objects* published 30 Dec. 2015); and J. Rolland et al., *Method of Producing Three-Dimensional Objects from Materials having Multiple Mechanisms of Hardening*, US Patent Application No. 14/977,822 (filed 22 Dec. 2015); J. Rolland et al., *Method of Producing Polyurethane Three-Dimensional Objects from Materials having Multiple Mechanisms of Hardening*, US Patent Application No. 14/977,876 (filed 22 Dec. 2015), J. Rolland et al., *Three-Dimensional Objects Produced from Materials having Multiple Mechanisms of Hardening*, US Patent Application No. 14/977,938 (filed 22 Dec. 2015), and J. Rolland et al., *Polyurethane Resins having Multiple Mechanisms of Hardening for Use in Producing Three-Dimensional Objects*, US Patent Application No. 14/977,974 (filed 22 Dec. 2015); the disclosures of all of which are incorporated by reference herein in their entirety.

While the present invention is preferably carried out by continuous liquid interphase polymerization, as described in detail above, in some embodiments alternate methods and apparatus for bottom-up three-dimension fabrication may be used, including layer-by-layer fabrication. Examples of such methods and apparatus include, but are not limited to, those described U.S. Patents No. 7,438,846 to John and U.S. Patent No. 8,110,135 to El-Siblani, and in U.S. Patent Application Publication Nos. 2013/0292862 to Joyce and 2013/0295212 to Chen et al. The disclosures of these patents and applications are incorporated by reference herein in their entirety.

The present invention is explained in greater detail in the following non-limiting Examples.

EXAMPLE 1

Continuous Fabrication with Intermittent Irradiation and Advancing

A process of the present invention is illustrated in **Figure 6**, where the vertical axis illustrates the movement of the carrier away from the build surface. In this embodiment, the vertical movement or advancing step (which can be achieved by driving either the carrier or the build surface, preferably the carrier), is continuous and unidirectional, and the irradiating step is carried out continuously. Polymerization of the article being fabricated occurs from a gradient of polymerization or active surface, and hence creation of “layer by layer” fault lines within the article is minimized.

An alternate embodiment of the present invention is illustrated in **Figure 7**. In this embodiment, the advancing step is carried out in a step-by-step manner, with pauses introduced between active advancing of the carrier and build surface away from one another. In addition, the irradiating step is carried out intermittently, in this case during the pauses in the advancing step. We find that, as long as the inhibitor of polymerization is supplied to the dead zone in an amount sufficient to maintain the dead zone and the adjacent gradient of polymerization or active surface during the pauses in irradiation and/or advancing, the gradient of polymerization is maintained, and the formation of layers within the article of manufacture is minimized or avoided. Stated differently, the polymerization is continuous, even though the irradiating and advancing steps are not. Sufficient inhibitor can be supplied by any of a variety of techniques, including but not limited to: utilizing a transparent member that is sufficiently permeable to the inhibitor, enriching the inhibitor (*e.g.*, feeding the inhibitor from an inhibitor-enriched and/or pressurized atmosphere), etc. In general, the more rapid the fabrication of the three-dimensional object (that is, the more rapid the cumulative rate of advancing), the more inhibitor will be required to maintain the dead zone and the adjacent gradient of polymerization.

EXAMPLE 2

Continuous Fabrication with Reciprocation During

Advancing to Enhance Filling of Build Region with Polymerizable Liquid

A still further embodiment of the present invention is illustrated in **Figure 8**. As in Example 10 above, this embodiment, the advancing step is carried out in a step-by-step manner, with pauses introduced between active advancing of the carrier and build surface away from one another. Also as in Example 1 above, the irradiating step is carried out intermittently, again during the pauses in the advancing step. In this example, however, the ability to maintain the dead zone and gradient of polymerization during the pauses in

advancing and irradiating is taken advantage of by introducing a vertical reciprocation during the pauses in irradiation.

We find that vertical reciprocation (driving the carrier and build surface away from and then back towards one another), particularly during pauses in irradiation, serves to enhance the filling of the build region with the polymerizable liquid, apparently by pulling polymerizable liquid into the build region. This is advantageous when larger areas are irradiated or larger parts are fabricated, and filling the central portion of the build region may be rate-limiting to an otherwise rapid fabrication.

Reciprocation in the vertical or Z axis can be carried out at any suitable speed in both directions (and the speed need not be the same in both directions), although it is preferred that the speed when reciprocating away is insufficient to cause the formation of gas bubbles in the build region.

While a single cycle of reciprocation is shown during each pause in irradiation in Figure 8, it will be appreciated that multiple cycles (which may be the same as or different from one another) may be introduced during each pause.

As in Example 1 above, as long as the inhibitor of polymerization is supplied to the dead zone in an amount sufficient to maintain the dead zone and the adjacent gradient of polymerization during the reciprocation, the gradient of polymerization is maintained, the formation of layers within the article of manufacture is minimized or avoided, and the polymerization/fabrication remains continuous, even though the irradiating and advancing steps are not.

EXAMPLE 3

Acceleration during Reciprocation Upstroke and

Deceleration during Reciprocation Downstroke to Enhance Part Quality

We observe that there is a limiting speed of upstroke, and corresponding downstroke, which if exceeded causes a deterioration of quality of the part or object being fabricated (possibly due to degradation of soft regions within the gradient of polymerization caused by lateral shear forces a resin flow). To reduce these shear forces and/or enhance the quality of the part being fabricated, we introduce variable rates within the upstroke and downstroke, with gradual acceleration occurring during the upstroke and gradual deceleration occurring during the downstroke, as schematically illustrated in Figure 9.

EXAMPLE 4**Fabrication in Multiple Zones**

Figure 10 schematically illustrates the movement of the carrier (z) over time (t) in the course of fabricating a three-dimensional object by methods as described above, through a first base (or “adhesion”) zone, an optional second transition zone, and a third body zone. The overall process of forming the three-dimensional object is thus divided into three (or two) immediately sequential segments or zones. The zones are preferably carried out in a continuous sequence without pause substantial delay (e.g., greater than 5 or 10 seconds) between the three zones, preferably so that the gradient of polymerization is not disrupted between the zones.

The first base (or “adhesion”) zone includes an initial light or irradiation exposure at a higher dose (longer duration and/or greater intensity) than used in the subsequent transition and/or body zones. This is to obviate the problem of the carrier not being perfectly aligned with the build surface, and/or the problem of variation in the positioning of the carrier from the build surface, at the start of the process, by insuring that the resin is securely polymerized to the carrier. Note an optional reciprocation step (for initial distributing or pumping of the polymerizable liquid in or into the build region) is shown before the carrier is positioned in its initial, start, position. Note that a release layer (not shown) such as a soluble release layer may still be included between the carrier and the initial polymerized material, if desired. In general, a small or minor portion of the three-dimensional object is produced during this base zone (e.g., less than 1, 2 or 5 percent by volume). Similarly, the duration of this base zone is, in general, a small or minor portion of the sum of the durations of the base zone, the optional transition zone, and the body zone (e.g., less than 1, 2 or 5 percent).

Immediately following the first base zone of the process, there is optionally (but preferably) a transition zone. In this embodiment, the duration and/or intensity of the illumination is less, and the displacement of the oscillatory step less, compared to that employed in the base zone as described above. The transition zone may (in the illustrated embodiment) proceed through from 2 or 5, up to 50 or more oscillatory steps and their corresponding illuminations. In general, an intermediate portion (greater than that formed during the base zone, but less than that formed of during the body zone), of the three dimensional object is produced during the transition zone (e.g., from 1, 2 or 5 percent to 10, 20 or 40 percent by volume). Similarly, the duration of this transition zone is, in general, greater than that of the base zone, but less than that of the body zone (e.g., a duration of from

1, 2 or 5 percent to 10, 20 or 40 percent that of the sum of the durations of the base zone, the transition zone, and the body zone (*e.g.*, less than 1, 2 or 5 percent).

Immediately following the transition zone of the process (or, if no transition zone is included, immediately following the base zone of the process), there is a body zone, during which the remainder of the three-dimensional object is formed. In the illustrated embodiment, the body zone is carried out with illumination at a lower dose than the base zone (and, if present, preferably at a lower dose than that in the transition zone), and the reciprocation steps are (optionally but in some embodiments preferably) carried out at a smaller displacement than that in the base zone (and, if present, optionally but preferably at a lower displacement than in the transition zone). In general, a major portion, typically greater than 60, 80, or 90 percent by volume, of the three-dimensional object is produced during the transition zone. Similarly, the duration of this body zone is, in general, greater than that of the base zone and/or transition zone (*e.g.*, a duration of at least 60, 80, or 90 percent that of the sum of the durations of the base zone, the transition zone, and the body zone).

Note that, in this example, the multiple zones are illustrated in connection with an oscillating mode of fabrication, but the multiple zone fabrication technique described herein may also be implemented with other modes of fabrication as illustrated further in the examples below (with the transition zone illustrated as included, but again being optional).

EXAMPLE 5

Fabrication with Intermittent (or "Strobe") Illumination

The purpose of a "strobe" mode of operation is to reduce the amount of time that the light or radiation source is on or active (*e.g.*, to not more than 80, 70, 60, 50, 40, or 30 percent of the total time required to complete the fabrication of the three-dimensional object), and increase the intensity thereof (as compared to the intensity required when advancing is carried out at the same cumulative rate of speed without such reduced time of active illumination or radiation), so that the overall dosage of light or radiation otherwise remains substantially the same. This allows more time for resin to flow into the build region without trying to cure it at the same time. The strobe mode technique can be applied to any of the existing general modes of operation described herein above, including continuous, stepped, and oscillatory modes, as discussed further below.

Figure 11A schematically illustrates one embodiment of **continuous mode**. In the conventional continuous mode, an image is projected and the carrier starts to move upwards.

The image is changed at intervals to represent the cross section of the three-dimensional object being produced corresponding to the height of the build platform. The speed of the motion of the build platform can vary for a number of reasons. As illustrated, often there is a base zone where the primary goal is to adhere the object to the build platform, a body zone which has a speed which is suitable for the whole object being produced, and a transition zone which is a gradual transition from the speed and/or dosages of the base zone to the speeds and/or dosages of the body zone. Note that cure is still carried out so that a gradient of polymerization, which prevents the formation of layer-by-layer fault lines, in the polymerizable liquid in the build region, is preferably retained, and with the carrier (or growing object) remaining in liquid contact with the polymerizable liquid, as discussed above.

Figure 11B schematically illustrates one embodiment of **strobe continuous mode**. In strobe continuous the light intensity is increased but the image is projected in short flashes or intermittent segments. The increased intensity allows the resin to cure more quickly so that the amount of flow during cure is minimal. The time between flashes lets resin flow without being cured at the same time. This can reduce problems caused by trying to cure moving resin, such as pitting.

In addition, the reduced duty cycle on the light source which is achieved in strobe mode can allow for use of increased intermittent power. For example: If the intensity for the conventional continuous mode was 5mW/cm^2 the intensity could be doubled to 10mW/cm^2 and the time that the image is projected could be reduced to half of the time, or the intensity could be increased 5-fold to 25mW/cm^2 and the time could be reduced to $1/5^{\text{th}}$ of the previous light on time.

Figure 12A schematically illustrates one embodiment of **stepped mode**: In the conventional stepped mode an image is projected while the build platform is stationary (or moving slowly as compared to more rapid movement in between illumination). When one height increment is sufficiently exposed the image is turned off and the build platform is moved upwards by some increment. This motion can be at one speed or the speed can vary such as by accelerating from a slow speed when the thickness of uncured resin is thin to faster as the thickness of the uncured resin is thicker. Once the build platform is in the new position the image of the next cross section is projected to sufficiently expose the next height increment.

Figure 12B schematically illustrates one embodiment of **strobe stepped mode**: In the strobe stepped mode the light intensity is increased and the amount of time that the image is projected is reduced. This allows more time for resin flow so the overall speed of the print can be reduced or the speed of movement can be reduced. For example: If the intensity for the conventional stepped mode was 5mW/cm^2 and the build platform moves in increments of $100\mu\text{m}$ in 1 second and the image is projected for 1 second the intensity could be doubled to 10mW/cm^2 , the time that the image is projected could be reduced to 0.5 seconds, and the speed of movement could be reduced to $50\mu\text{m/second}$, or the time that the stage is moving could be reduced to 0.5 seconds. The increased intensity could be as much as 5 fold or more allowing the time allotted for image projection to be reduced to $1/5^{\text{th}}$ or less.

Figure 13A schematically illustrates one embodiment of **oscillatory mode**: In the oscillatory mode an image is again projected while the build platform is stationary (or moving slowly as compared to more rapid movement in-between illuminations). When one height increment is cured the image is turned off and the build platform is moved upwards to pull additional resin into the build zone and then moved back down to the next height increment above the last cured height. This motion can be at one speed or the speed can vary such as by accelerating from a slow speed when the thickness of uncured resin is thin to faster as the thickness of the uncured resin is thicker. Once the build platform is in the new position the image of the next cross section is projected to cure the next height increment.

Figure 13B illustrates one embodiment of **strobe oscillatory mode**. In the strobe oscillatory mode the light intensity is increased and the amount of time that the image is projected is reduced. This allows more time for resin flow so the overall speed of the print can be reduced or the speed of movement can be reduced. For example: If the intensity for the conventional oscillatory mode was 5mW/cm^2 and the build platform moves up by 1mm and back down to an increment of $100\mu\text{m}$ above the previous height in 1 second and the image is projected for 1 second the intensity could be doubled to 10mW/cm^2 , the time that the image is projected could be reduced to 0.5 seconds, and the speed of movement could be reduced to by half or the time that the stage is moving could be reduced to 0.5 seconds. The increased intensity could be as much as 5 fold or more allowing the time allotted for image projection to be reduced to $1/5^{\text{th}}$ or less. Segment "A" of Figure 13 is discussed further below.

Figure 14A illustrates a segment of a fabrication method operated in another embodiment of strobe oscillatory mode. In this embodiment, the duration of the segment during which the carrier is static is shortened to close that of the duration of the strobe

illumination, so that the duration of the oscillatory segment may—if desired—be lengthened without changing the cumulative rate of advance and the speed of fabrication.

Figure 14B illustrates a segment of another embodiment of strobe oscillatory mode, similar to that of Figure 14A, except that the carrier is now advancing during the illumination segment (relatively slowly, as compared to the upstroke of the oscillatory segment).

EXAMPLE 6

Varying of Process Parameters During Fabrication

In the methods of the Examples above, the operating conditions during the body zone are shown as constant throughout that zone. However, various parameters can be altered or modified in the course of the body zone or segment, as discussed further below.

A primary reason for altering a parameter during production would be variations in the cross section geometry of the three-dimensional object; that is, smaller (easier to fill), and larger (harder to fill) segments or portions of the same three-dimensional object. For easier to fill segments (*e.g.*, 1-5mm diameter equivalents), the speed of upwards movement could be quick (up to 50-1000m/hr) and/or the pump height could be minimal (*e.g.*, as little as 100 to 300um). For larger cross sectional segments (*e.g.*, 5-500mm diameter equivalents) the speed of upward movement can be slower (*e.g.*, 1-50 mm/hr) and/or the pump height can be larger (*e.g.*, 500 to 5000um). Particular parameters will, of course, vary depending on factors such as illumination intensity, the particular polymerizable liquid (including constituents thereof such as dye and filler concentrations), the particular build surface employed, etc.

In some embodiments, the overall light dosage (determined by time and intensity) may be reduced as the "bulk" of the cross section being illuminated increases. Said another way, small points of light may need higher per unit dosage than larger areas of light. Without wishing to be bound to any specific theory, this may relate to the chemical kinematics of the polymerizable liquid. This effect could cause us to ***increase*** the overall light dosage for ***smaller*** cross sectional diameter equivalents.

In some embodiments, vary the thickness of each height increment between steps or pumps can be varied. This could be to increase speed with decreased resolution requirements (that is, fabricating a portion that requires less precision or permits more variability, versus a portion of the object that requires greater precision or requires more precise or narrow tolerances). For example, one could change from 100um increments to 200um or 400um increments and group all the curing for the increased thickness into one time period. This

time period may be shorter, the same or longer than the combined time for the equivalent smaller increments.

In some embodiments, the light dosage (time and/or intensity) delivered could be varied in particular cross sections (vertical regions of the object) or even in different areas within the same cross section or vertical region. This could be to vary the stiffness or density of particular geometries. This can, for example, be achieved by changing the dosage at different height increments, or changing the grayscale percentage of different zones of each height increment illumination.

Examples of body portion fabrication through multiple zones are given in **Figures 15A-19**.

Figure 15A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 13A, except that the body segment is fabricated in two contiguous segments, with the first segment carried out in an oscillatory operating mode, and the second segment carried out in a continuous operating mode. **Figure 16A** is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 11A, except that the body segment is fabricated in three contiguous segments, with the first and third segments carried out in a continuous operating mode, and the second segment carried out in oscillatory operating mode. **Figure 17A** is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 16A, except that the base zone, transition zone, and first segment of the body zone are carried out in a strobe continuous operating mode, the second segment of the body zone is fabricated in an oscillatory operating mode, and the third segment of the body zone is fabricated in a continuous operating mode.

Figure 15B, 16B, and 17B are similar to the foregoing, except that stepped or step-wise mode is used in place of oscillatory, or "reciprocal" mode. In general, reciprocal or oscillatory mode is preferred over stepped mode, with reciprocation being achieved entirely through motion of the carrier, or the combined motion of the carrier and a flexible, or movable, build surface.

Figure 18A is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 11A, except that light intensity is varied in the course of fabricating the base and transition zones, and both light intensity and rate of advancing are varied in the course of fabricating the body zone. **Figure 18B** is a schematic illustration of the fabrication of a three-dimensional object similar to **Figure 17A**, except that light is interrupted in an intermittent

fashion (dashed line representing light intensity during interrupted segments is for comparison to Figure 17A only).

Figure 19 is a schematic illustration of the fabrication of a three-dimensional object similar to Figure 11A, except that the mode of operation during fabrication of the body segment is changed multiple times for continuous, to reciprocal, and back. This may be employed not only to accommodate changes in geometry of the three-dimensional object during fabrication, but a relatively constant geometry where the part is hollow, to facilitate replenishment of polymerizable liquid in the build region.

Figure 20 schematically illustrates parameters that may be varied within a reciprocal (also referred to as “oscillatory”) operating mode (solid line throughout) or a step operating mode (solid line horizontal lines and dashed lines). Note the parameters that may be varied in these two modes are similar, except for the absence of a pump height parameter in step mode.

EXAMPLE 7

Varying of Slice Thickness During Fabrication

In the methods of the present invention, slice thickness may be held constant or varied in any of the operating modes. Examples are given in **Figures 21A to 21F**, where horizontal dashed lines represent the transition from each contiguous slice (corresponding to different exposure or illumination frames or patterns) during the formation of the three-dimensional object.

Figure 21A schematically illustrates a method of the invention carried out in a continuous operating mode, with constant slice thickness and constant carrier speed, while **Figure 21B** schematically illustrates a method of the invention carried out in a continuous operating mode, with variable slice thickness with constant carrier speed. In both cases, illumination or exposure is continuous, with slices changing over time. Slice thickness could likewise be varied in an intermittent exposure mode of operation (including strobe mode).

Figure 21C schematically illustrates a method of the invention carried out in a continuous operating mode, with constant slice thickness and variable carrier speed, while **Figure 21D** schematically illustrates a method of the invention carried out in continuous operating mode, mode with variable slice thickness and variable carrier speed. Again in both cases, illumination or exposure is continuous with the slices changing over time, but slice thickness could likewise be varied in an intermittent exposure mode of operation.

Figure 21E schematically illustrates a method of the invention carried out in reciprocal operating mode, with constant slice thickness, while **Figure 21F** schematically illustrates a method of the invention carried out in reciprocal operating mode, with variable slice thickness. Bold diagonal hash patterns during the exposure periods are to emphasize slice thickness, and variability thereof in Figure 21F. In both cases, a step-wise mode of operation could be used in place of a reciprocal mode of operation (see, for example, Figure 20).

The foregoing is illustrative of the present invention, and is not to be construed as limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

The foregoing is illustrative of the present invention, and is not to be construed as limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

THAT WHICH IS CLAIMED IS:

1. A method of forming a three-dimensional object, comprising:

providing a carrier and an optically transparent member having a build surface, said

5 carrier and said build surface defining a build region therebetween;

filling said build region with a polymerizable liquid;

continuously or intermittently irradiating said build region with light through said
optically transparent member to form a solid polymer from said polymerizable liquid;

continuously or intermittently advancing, sequentially or concurrently with said
10 irradiating step, said carrier away from said build surface to form said three-dimensional
object from said solid polymer; and

said optically transparent member comprising a flexible layer having upper and lower
opposing sides, wherein the flexible layer upper side defines the build region, the method
further comprising forming a region of reduced pressure adjacent the flexible layer lower
15 side.

2. The method of Claim 1, wherein said optically transparent member comprises a
base layer having an upper surface facing the flexible layer lower surface and an opposing
lower surface facing the region of reduced pressure.

20

3. The method of Claim 2, wherein the flexible layer lower surface is in fluid
communication with the region of reduced pressure.

4. The method of Claim 3, wherein the optically transparent member further
25 comprises a base layer formed of a porous glass adjacent the lower side of the flexible layer.

5. The method of Claim 4, wherein the base layer has an upper surface facing the
lower side of the flexible layer that comprises a surface topology comprising random or
patterned features.

30

6. The method of any preceding claim, further comprising controlling a pressure in
the region of reduced pressure and/or a velocity of said advancing step so as to oscillate the
flexible layer.

7. The method of Claim 6, wherein the pressure is substantially constant.

8. The method of any of Claims 6 and 7, wherein an oscillation of the flexible layer is
5 sufficient to enhance or speed a refilling of said build region with said polymerizable liquid.

9. The method of any of Claims 6 to 8, wherein said irradiating is intermittent
irradiating, and said intermittent irradiating is either (a) synchronized with an oscillation of
the flexible layer, or (b) non-synchronized with an oscillation of the flexible layer.
10

10. The method of any preceding claim, wherein said filling step further comprising
vertically reciprocating said carrier with respect to said build surface, to enhance or speed a
refilling of said build region with said polymerizable liquid.

11. The method of claim 10, wherein said vertically reciprocating is carried by motion
15 of said carrier alone.

12. The method of any of claims 10 to 11, wherein said vertically reciprocating is
carried out by combined motion of said carrier and said build surface.
20

13. The method of any of claims 10 to 12, wherein said irradiating is intermittent
irradiating, and said intermittent irradiating is either (a) synchronized with said vertically
reciprocating, or (b) non-synchronized with said vertically reciprocating.

14. The method of claim 1, wherein said filling, irradiating, and/or advancing steps
25 are carried out while also concurrently:

(i) continuously maintaining a dead zone of polymerizable liquid in contact with said
build surface, and

(ii) continuously maintaining a gradient of polymerization zone between said dead
30 zone and said solid polymer and in contact with each thereof, said gradient of polymerization
zone comprising said polymerizable liquid in partially cured form.

15. The method of claim 8 to 10, wherein said vertically reciprocating step comprises an upstroke and a downstroke, with the distance of said upstroke greater than the distance of said downstroke, to thereby concurrently carry out said advancing step in part or in whole.

5 16. The method of claim 8 to 11, wherein said vertically reciprocating step comprises an upstroke, and wherein the speed of said upstroke accelerates over a period of time during said upstroke.

17. The method of claim 16, wherein said upstroke begins gradually.

10 18. The method of claim 8 to 17, wherein said vertically reciprocating step comprises a downstroke, and wherein the speed of said downstroke decelerates over a period of time during said downstroke.

15 19. The method of claim 18, wherein said downstroke ends gradually.

20 20. The method of claim 8 to 19, wherein said vertically reciprocating step is carried out over a total time of from 0.01 or 0.1 seconds up to 1 or 10 seconds, and/or over an upstroke distance of travel of from 0.02 or 0.2 millimeters to 1 or 10 millimeters.

25 21. The method of claim 8 to 20, wherein said advancing is carried out intermittently at a rate of 1, 2, 5 or 10 individual advances per minute up to 300, 600, or 1000 individual advances per minute, each followed by a pause during which an irradiating step is carried out.

30 22. The method of claim 21, wherein each of said individual advances is carried out over an average distance of travel for each advance of from 10 or 50 microns to 100 or 200 microns.

23. The method of any preceding claim, wherein said build surface is fixed and stationary in the lateral (e.g., X and Y) dimensions.

24. The method of any preceding claim, wherein said build surface is fixed and stationary in the vertical (or Z) dimension.

25. The method of any preceding claim, wherein said optically transparent member is semipermeable, and said the method further comprising continuously maintaining a dead zone is by feeding an inhibitor of polymerization through said optically transparent member
5 in an amount sufficient to maintain said dead zone and said gradient of polymerization.

26. The method of any preceding claim, wherein said optically transparent member is comprised of a semipermeable fluoropolymer, a rigid gas-permeable polymer, porous glass, or a combination thereof.

27. The method of any of Claims 25 to 26, wherein said gradient of polymerization zone and said dead zone together have a thickness of from 1 to 1000 microns.

28. The method of any of Claims 25 to 27, wherein said gradient of polymerization
15 zone is maintained for a time of at least 5, 10, 20, or 30 seconds, or at least 1 or 2 minutes.

29. The method of any of Claims 25 to 28, further comprising the step of disrupting said gradient of polymerization zone for a time sufficient to form a cleavage line in said three-dimensional object.

29. The method of any preceding claim, further comprising the step of heating said polymerizable liquid to reduce the viscosity thereof in said build region.

30. The method of any preceding claim, wherein:
25 said optically transparent member has a thickness of from 0.1 to 100 millimeters;
and/or

said optically transparent member has a permeability to oxygen of at least $7.5 \times 10^{-17} \text{ m}^2 \text{ s}^{-1} \text{ Pa}^{-1}$ (10 Barrers); and/or

said optically transparent member is formed of a semipermeable fluoropolymer, a
30 rigid gas-permeable polymer, porous glass, or a combination thereof.

31. The method of any preceding claim, wherein:

said polymerizable liquid comprises a free radical polymerizable liquid and said inhibitor comprises oxygen; or

said polymerizable liquid comprises an acid-catalyzed or cationically polymerizable liquid, and said inhibitor comprises a base.

5

31. An apparatus for forming a three-dimensional object from a polymerizable liquid, comprising:

(a) a support;

10 (b) a carrier operatively associated with said support on which carrier said three-dimensional object is formed;

(c) a build plate unit having an optically transparent member comprising a flexible layer having upper and lower surfaces, wherein the upper surface defines a build surface, with said build surface and said carrier defining a build region therebetween, the build plate unit further comprising a vacuum chamber in fluid communication with the lower surface of
15 the optically transparent member flexible layer;

(d) a liquid polymer supply (*e.g.*, a well) operatively associated with said build surface and configured to supply liquid polymer into said build region for solidification or polymerization;

20 (e) a radiation source configured to irradiate said build region through said optically transparent member to form a solid polymer from said polymerizable liquid;

(f) optionally at least one drive operatively associated with either said transparent member or said carrier; and

25 (g) a controller operatively associated with said carrier, and/or optionally said at least one drive, and/or said vacuum chamber for controlling a pressure in said vacuum chamber, and said radiation source for advancing said carrier away from said build surface to form said three-dimensional object from said solid polymer.

32. The apparatus of Claim 31, wherein said optically transparent member comprises a base layer having an upper surface facing the flexible layer lower surface and an opposing
30 lower surface facing the region of reduced pressure.

33. The apparatus of Claim 32, wherein the flexible layer lower surface is in fluid communication with the region of reduced pressure.

34. The apparatus of Claim 33, wherein the optically transparent member further comprises a base layer formed of a porous glass facing the flexible layer lower surface.

5 35. The apparatus of Claim 34, wherein the base layer upper surface comprises a surface topology comprising random or patterned features.

36. The apparatus of any preceding claim, wherein the controller is configured to control a pressure in the region of reduced pressure and/or a velocity of said advancing step
10 so as to oscillate the flexible layer.

37. The apparatus of Claim 36, wherein the pressure is substantially constant.

38. The apparatus of any of Claims 36 to 37, wherein an oscillation of the flexible
15 layer is sufficient to enhance or speed a refilling of said build region with said polymerizable liquid.

39. The apparatus of any of Claims 36 to 38, wherein said irradiating is intermittent
20 irradiating, and said intermittent irradiating is either (a) synchronized with an oscillation of the flexible layer, or (b) non-synchronized with an oscillation of the flexible layer

40. The apparatus of claim 21, said controller further configured to form said three-dimensional object from said solid polymer while also concurrently with said filling, advancing, and/or irradiating step: (i) continuously maintaining a dead zone of polymerizable
25 liquid in contact with said build surface, and (ii) continuously maintaining a gradient of polymerization zone between said dead zone and said solid polymer and in contact with each thereof, said gradient of polymerization zone comprising said polymerizable liquid in partially cured form

30 41. A build plate unit for a three-dimensional printer comprising:
a vacuum chamber having a transparent lower wall and upper support;
a build plate mounted on the upper support, the build plate comprising:
an optically transparent planar base having an upper surface and a lower surface; and

a flexible, optically transparent, gas-permeable layer having upper and lower surfaces, the upper surface comprising a build surface for forming a three-dimensional object, the layer lower surface positioned on the adhesive layer opposite the base, wherein the build plate is configured to permit gas flow to the build surface.

5

42. The build plate unit of claim 41, wherein the base is gas-permeable.

43. The build plate unit of claim 42, wherein the base comprises porous glass.

10 44. The build plate unit of claim 41, wherein the base comprises an upper portion and a lower portion, the upper portion having an uneven surface topology that is random, irregular or patterned.

15 45. The build plate unit of any of claims 41 to 44, wherein the planar base comprises sapphire, glass, quartz and/or polymer.

1/34

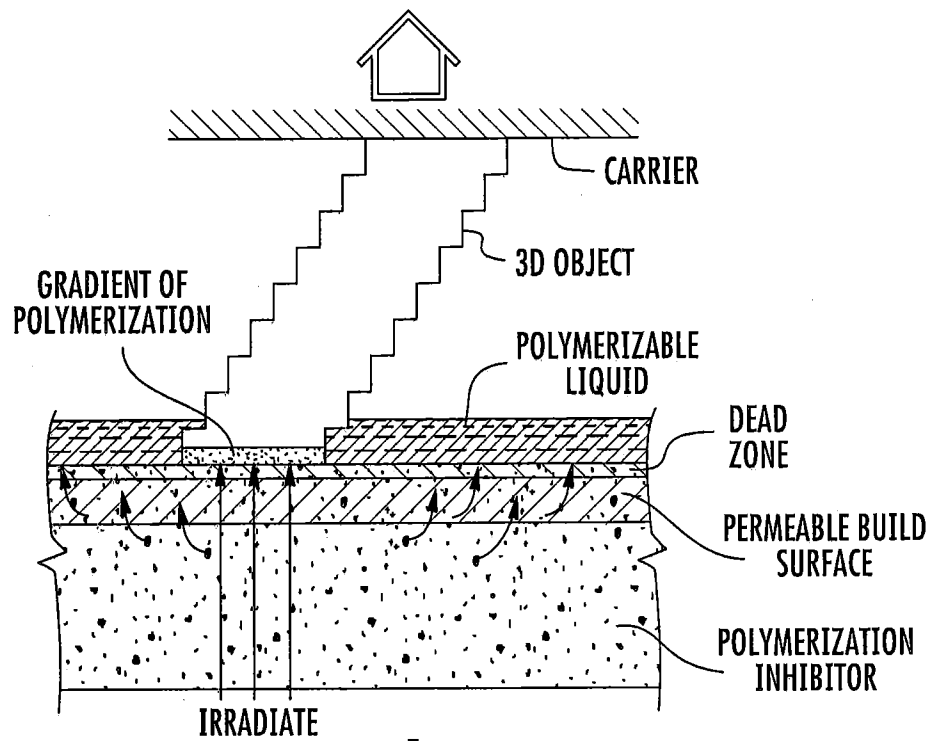


FIG. 1

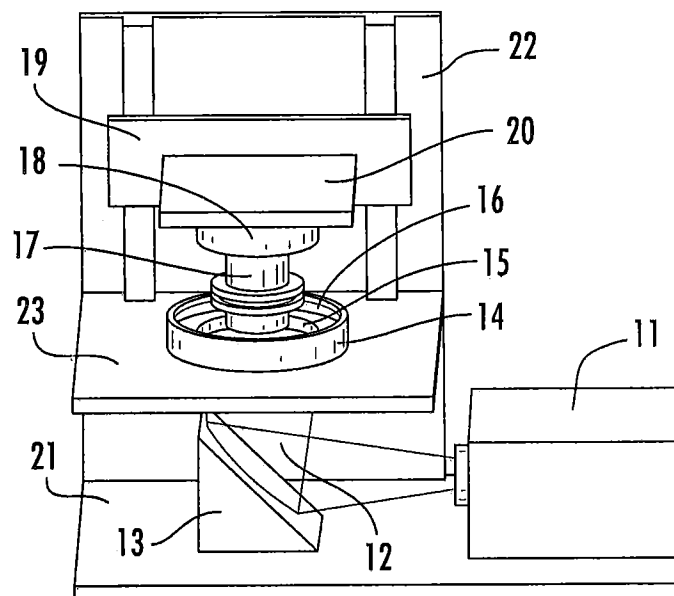


FIG. 2

2/34

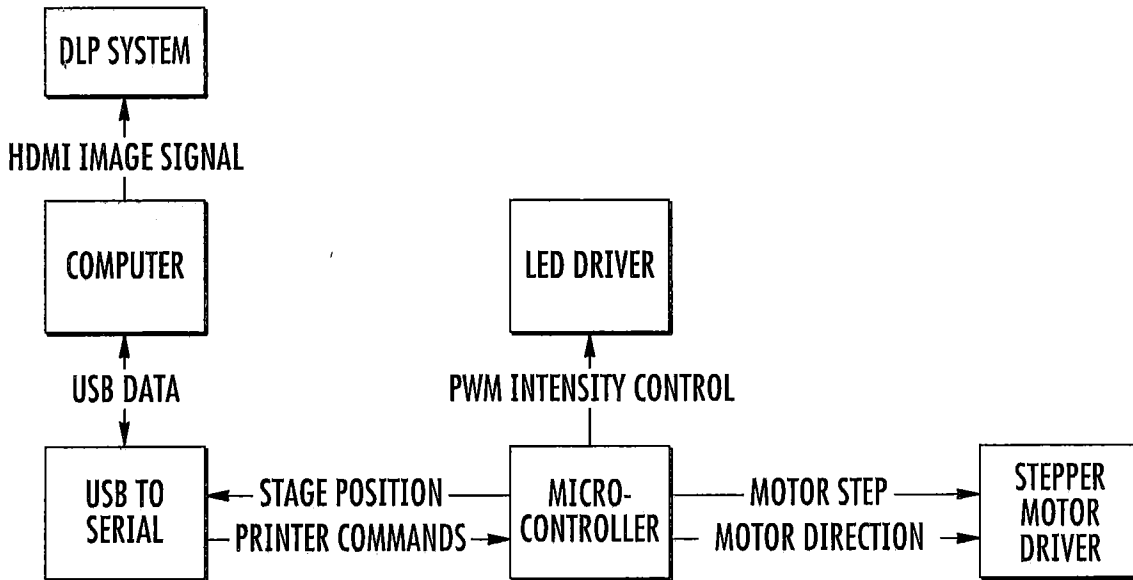


FIG. 3

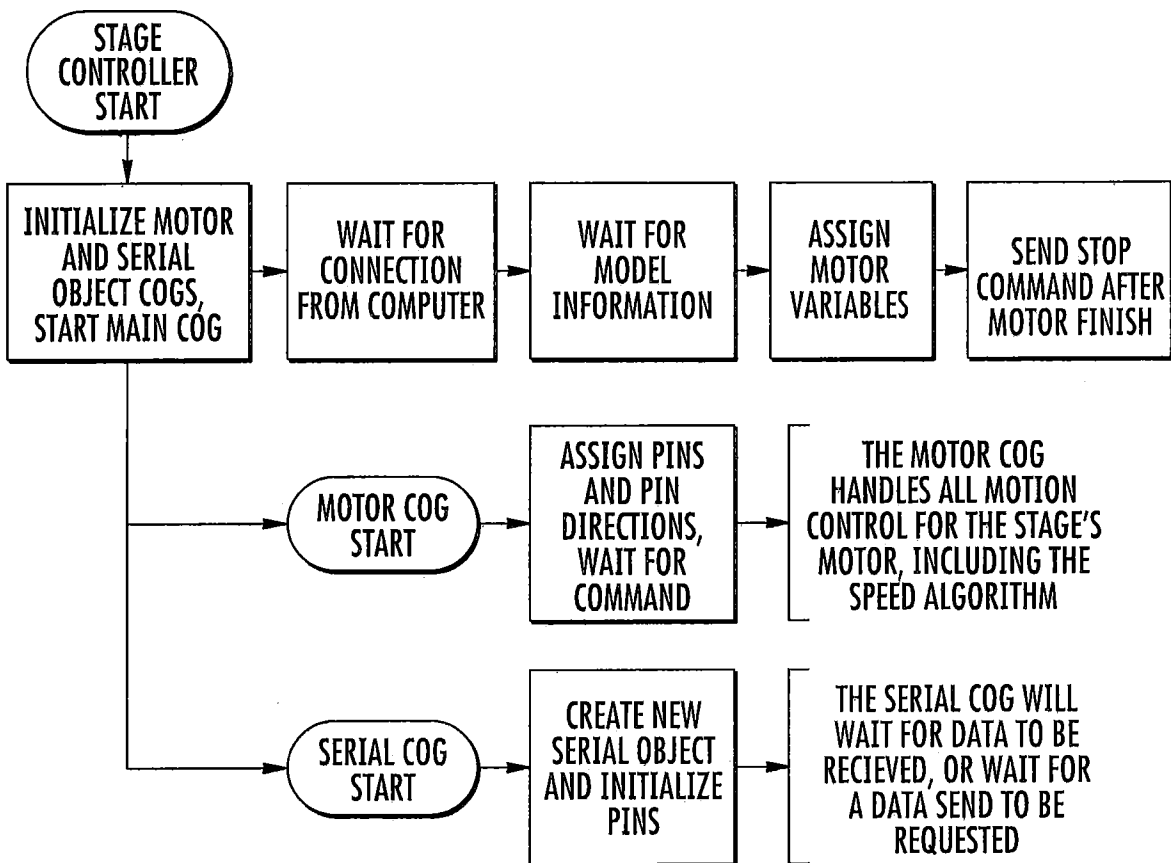


FIG. 4

3/34

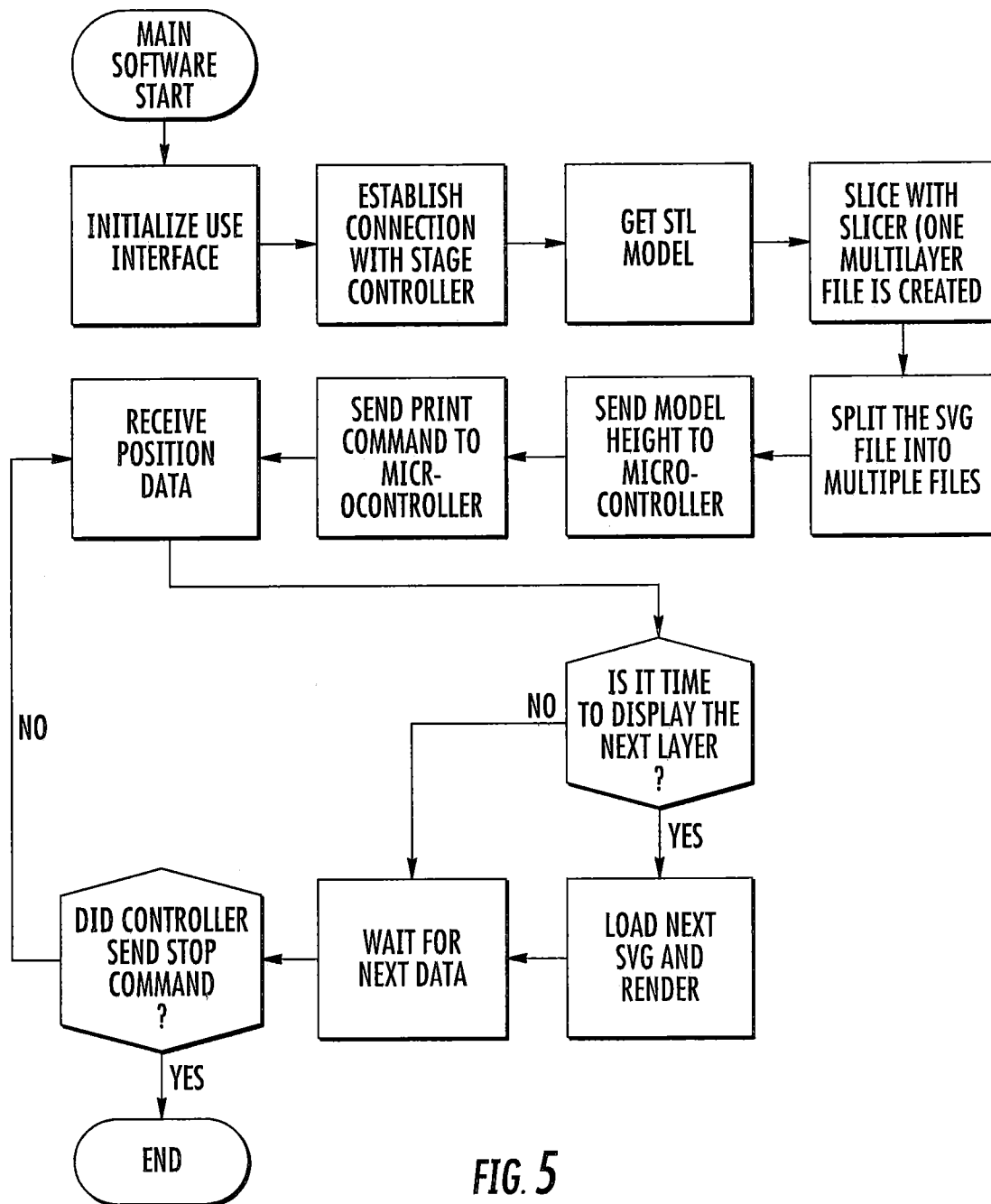


FIG. 5

4/34

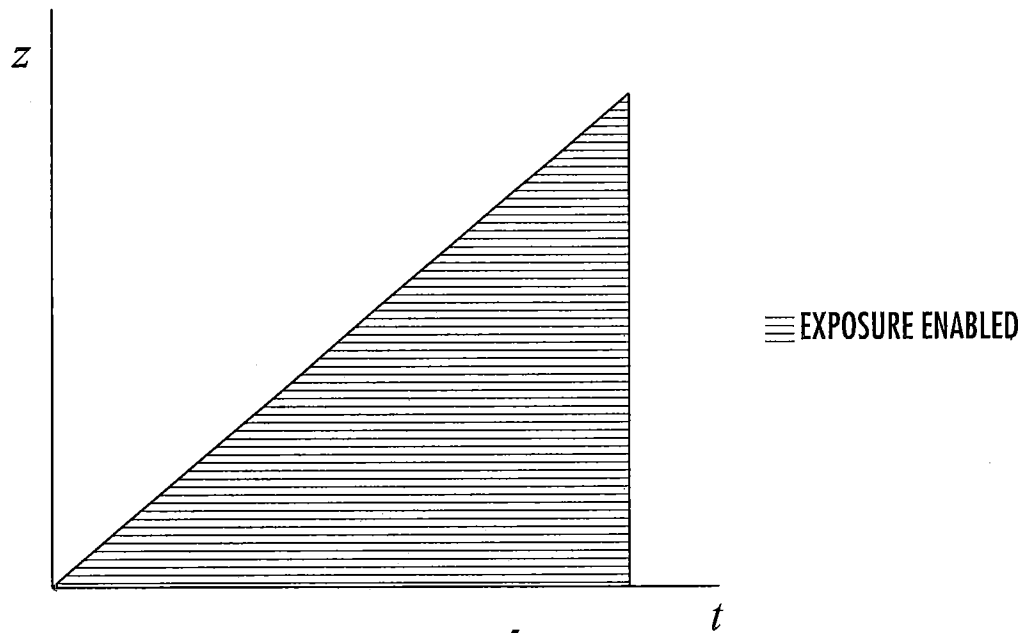


FIG. 6

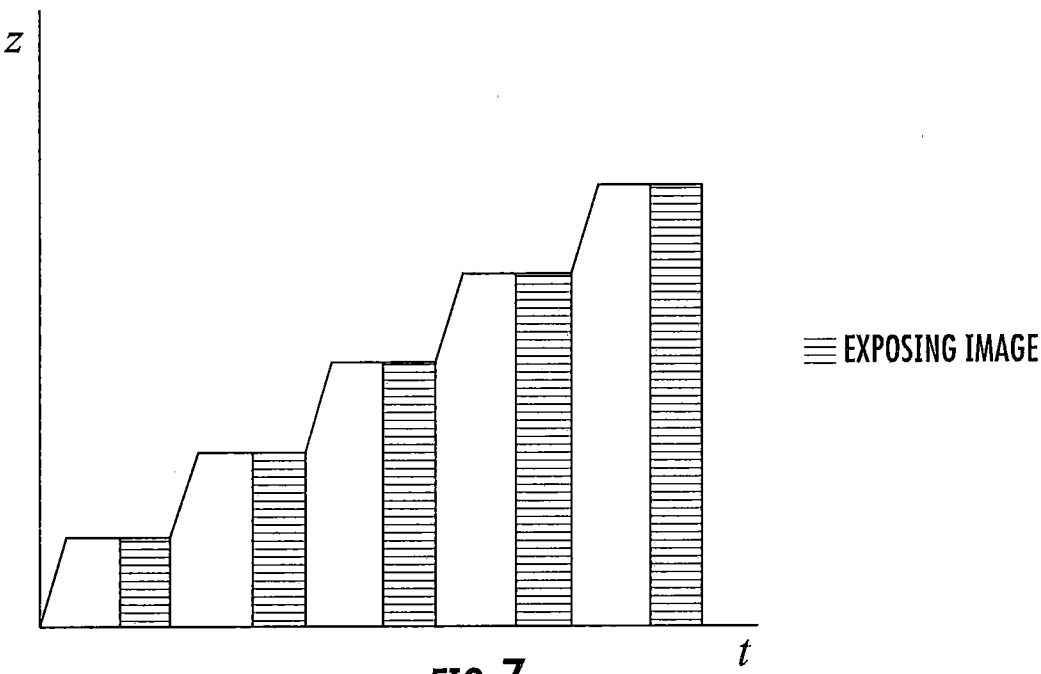
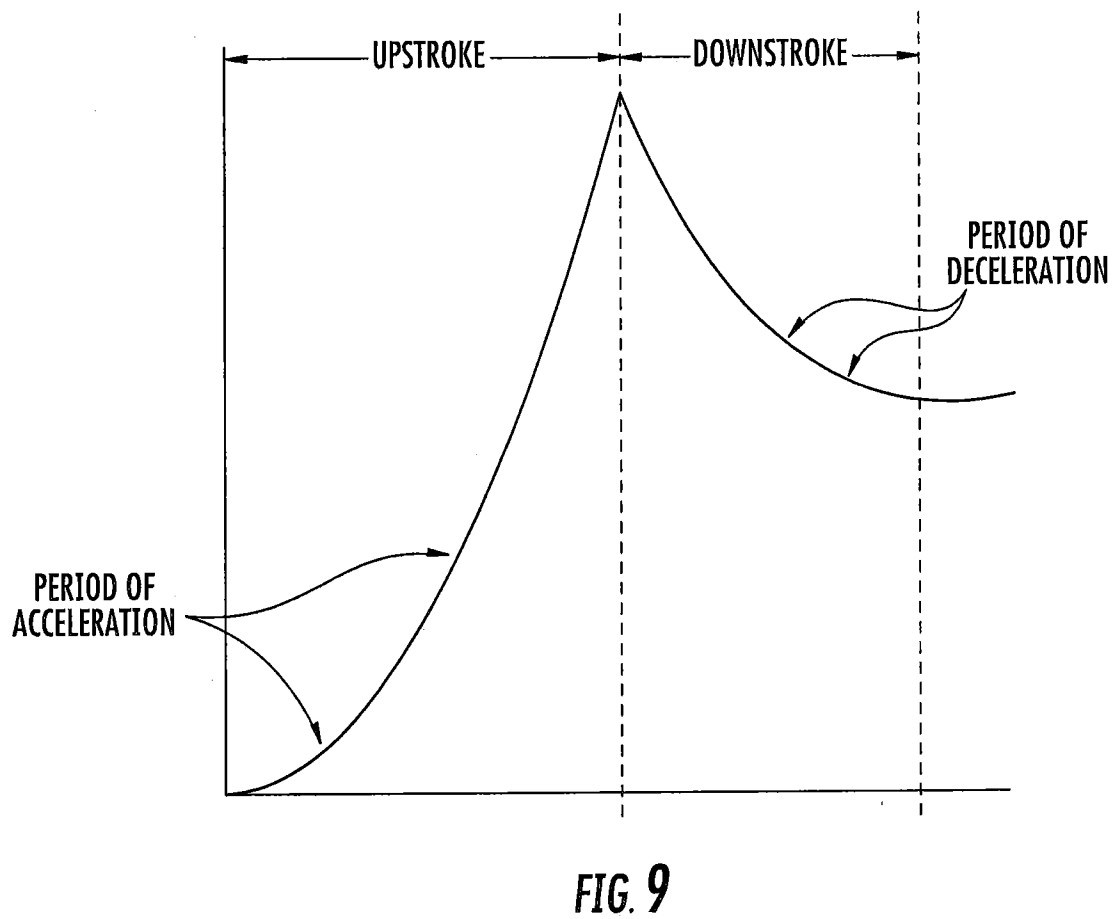
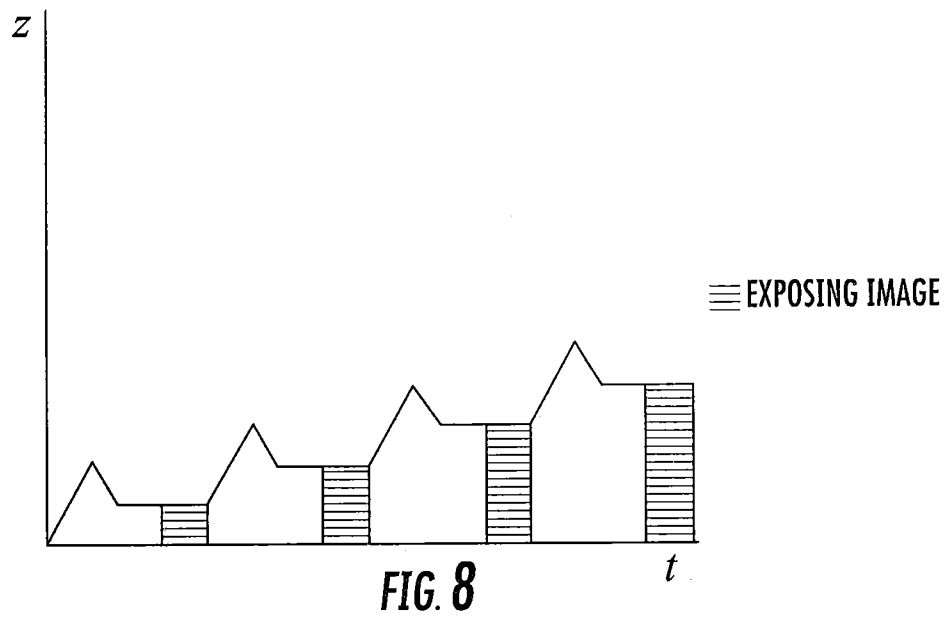


FIG. 7

5/34



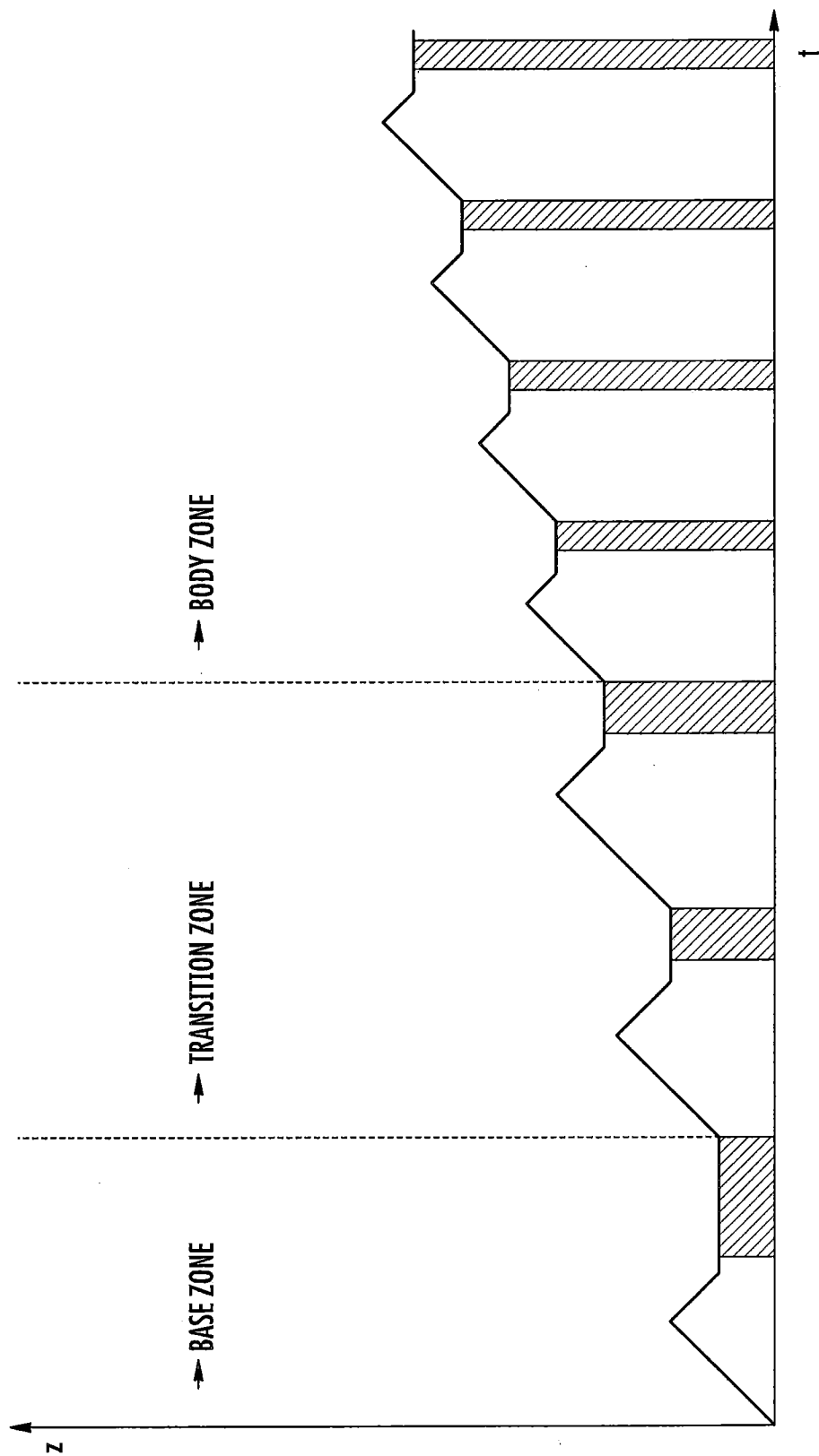


FIG. 10

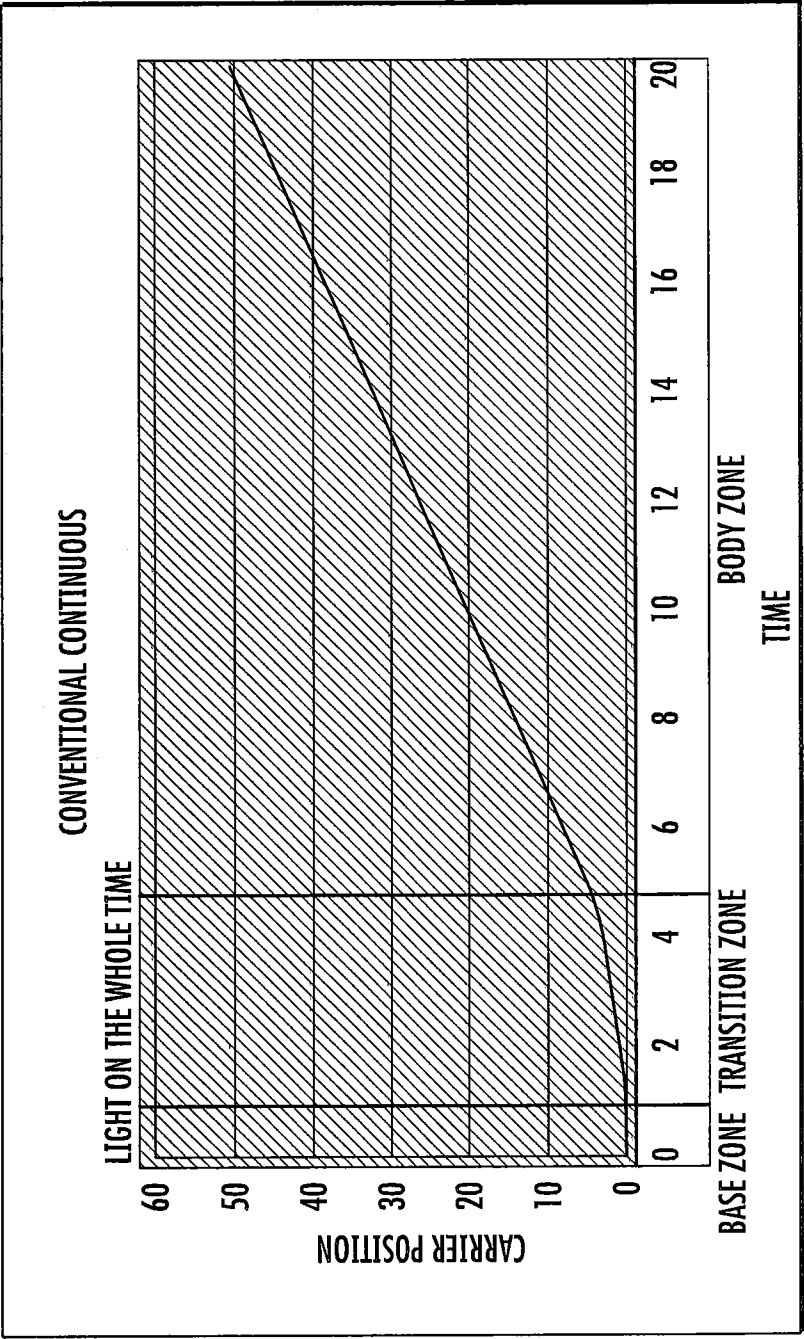


FIG. 11A

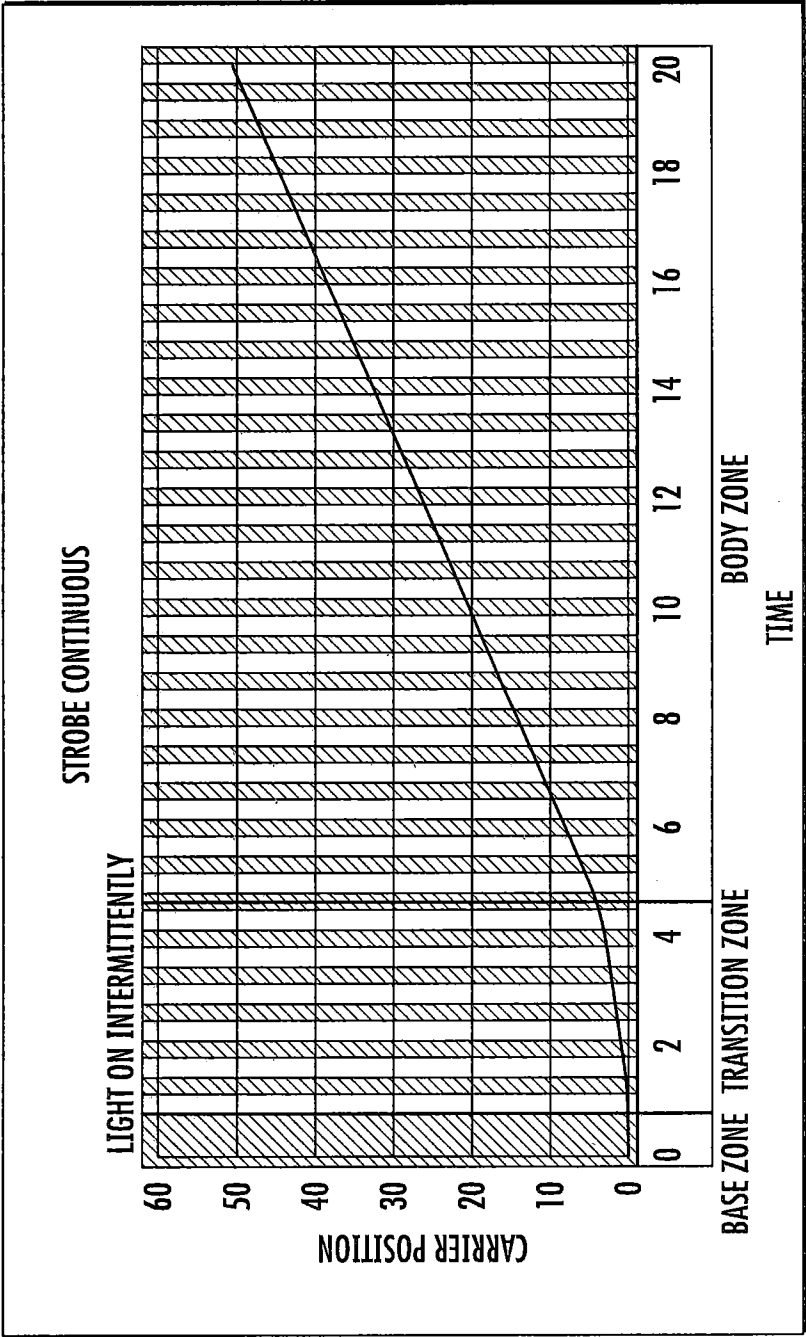


FIG. 11B

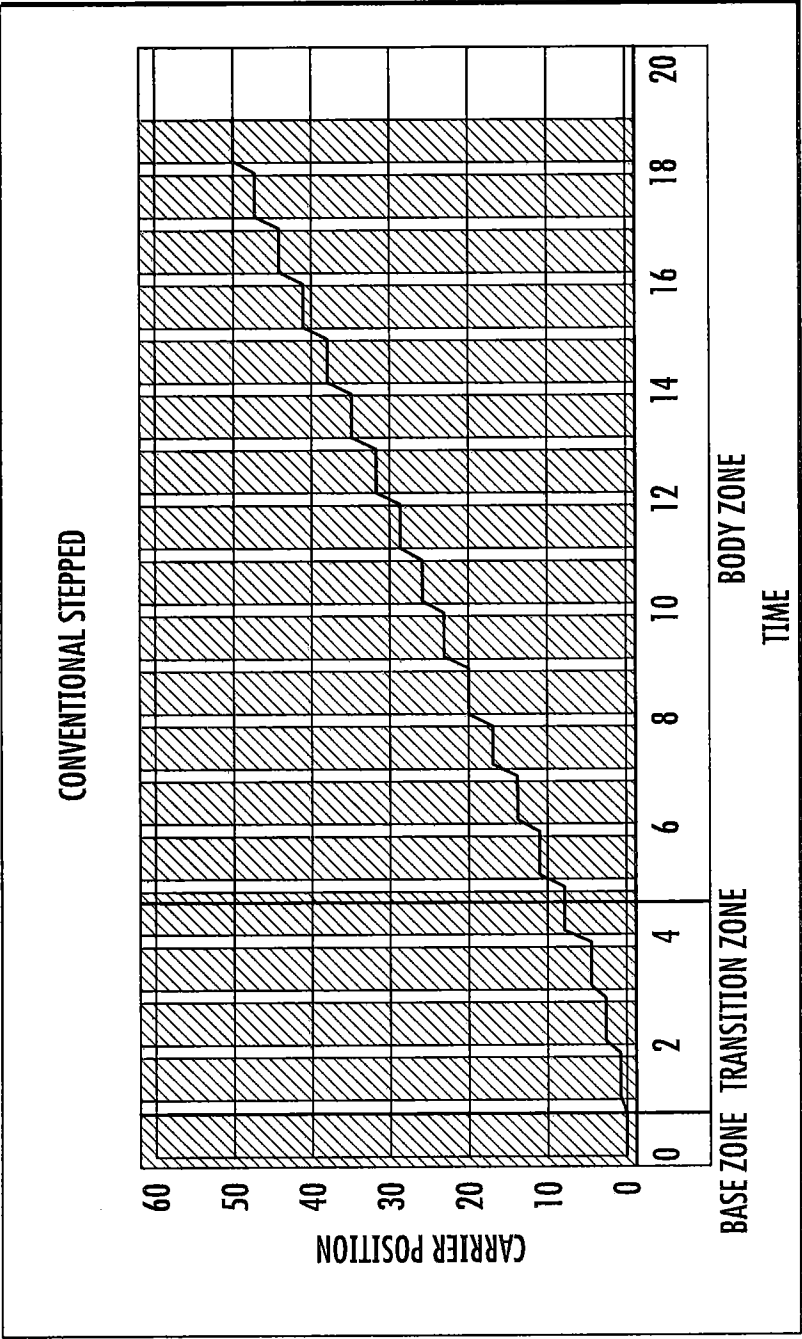


FIG. 12A

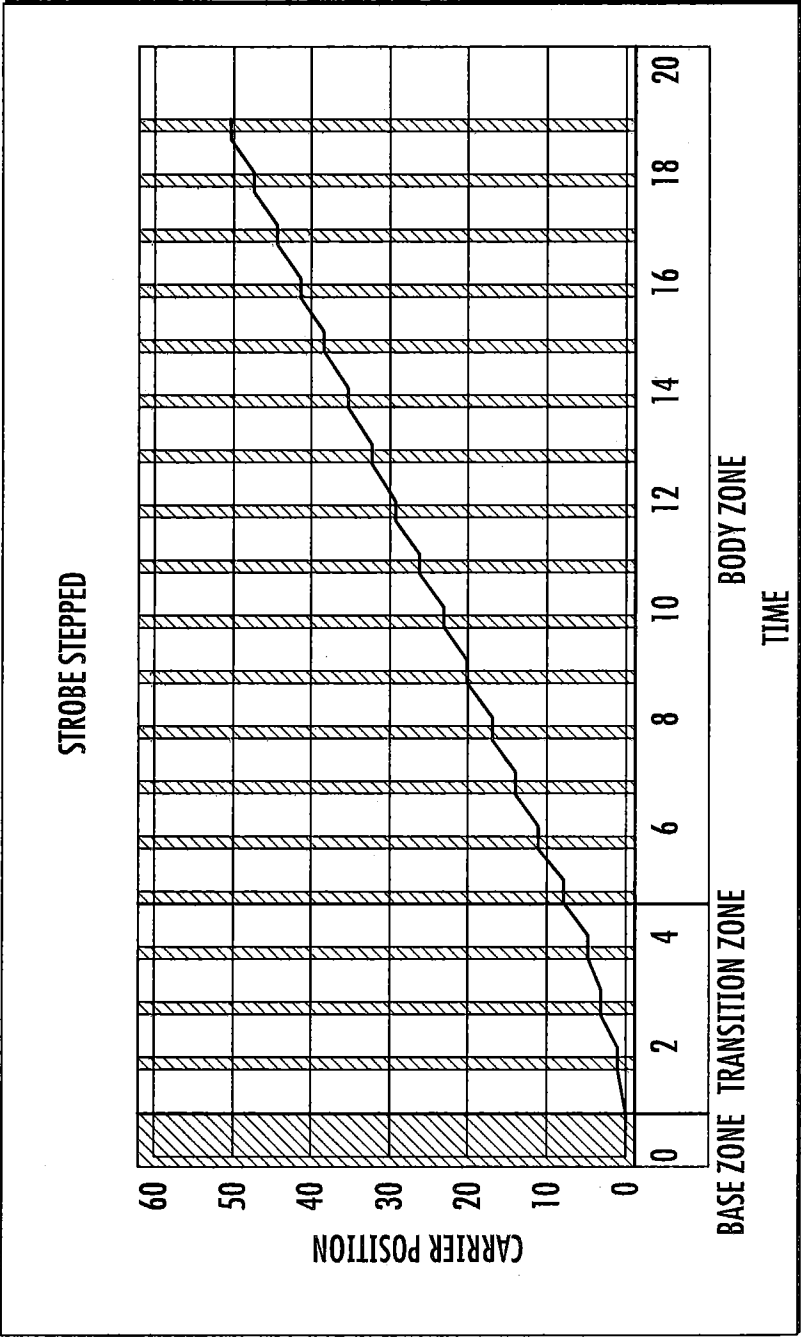


FIG. 12B

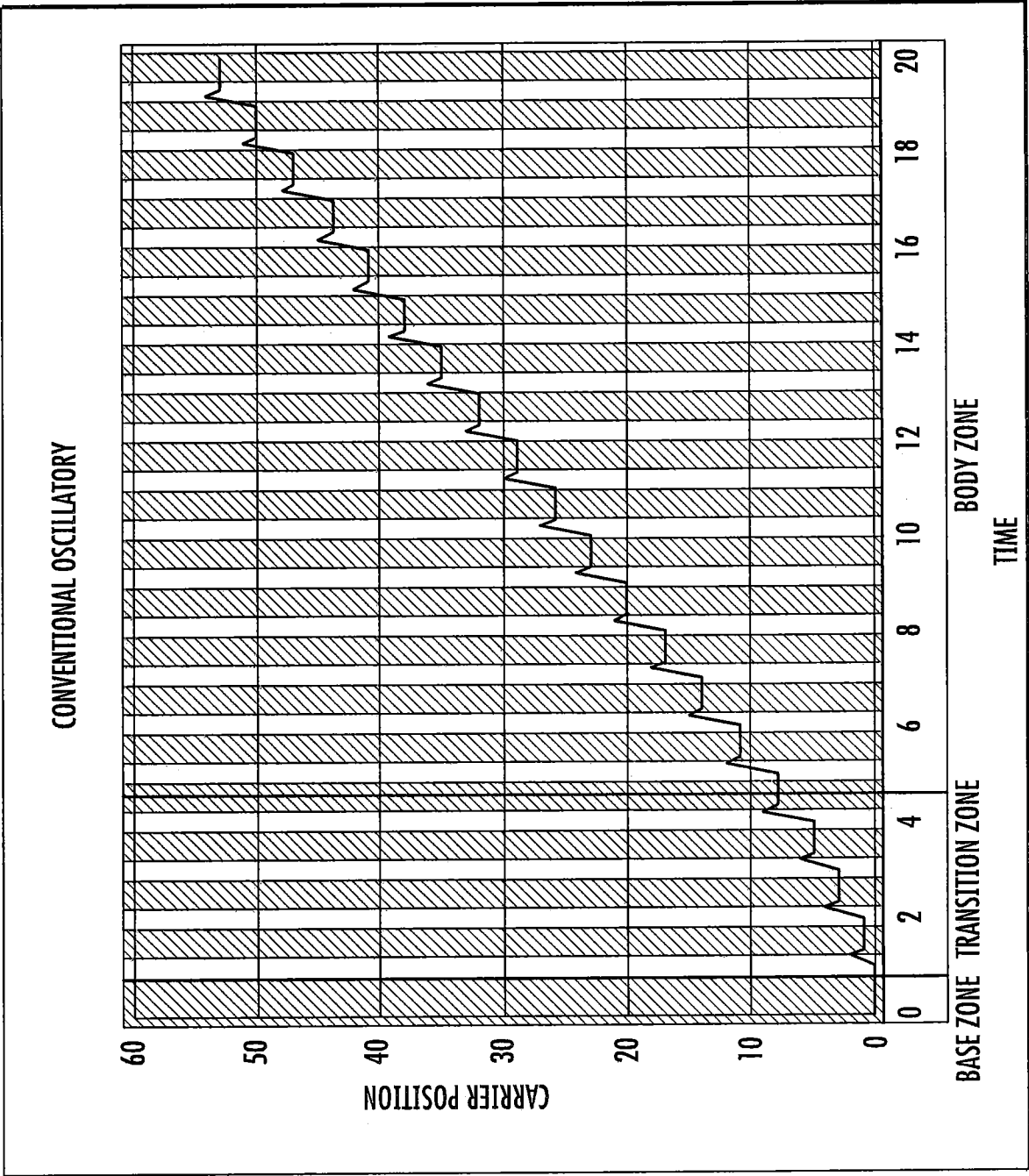


FIG. 13A

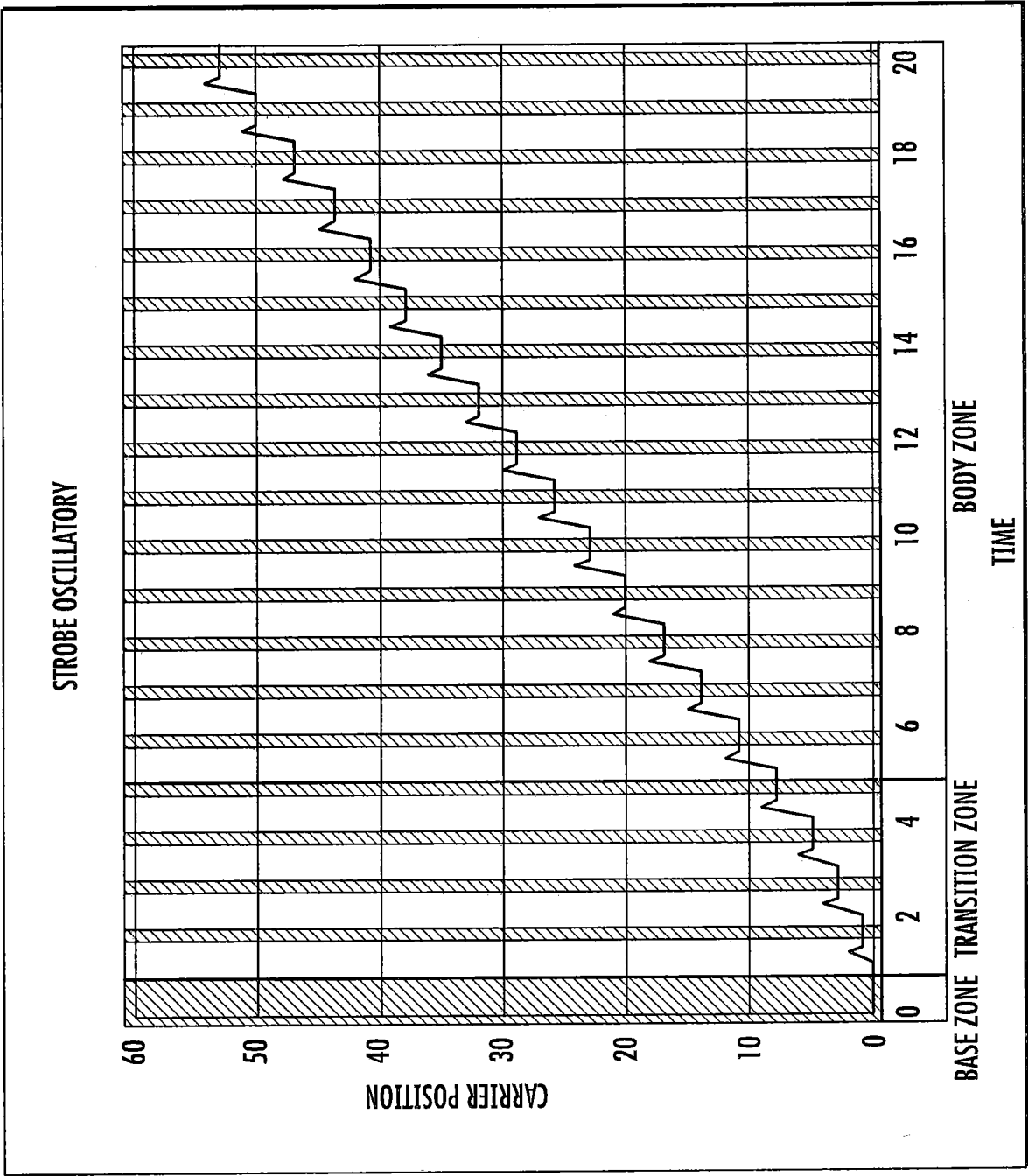


FIG. 13B

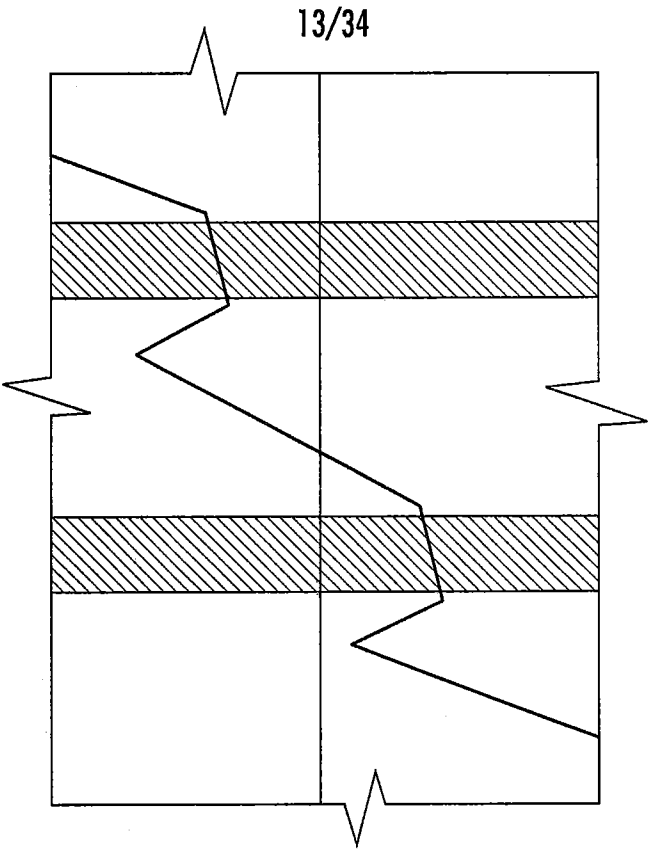


FIG. 134B

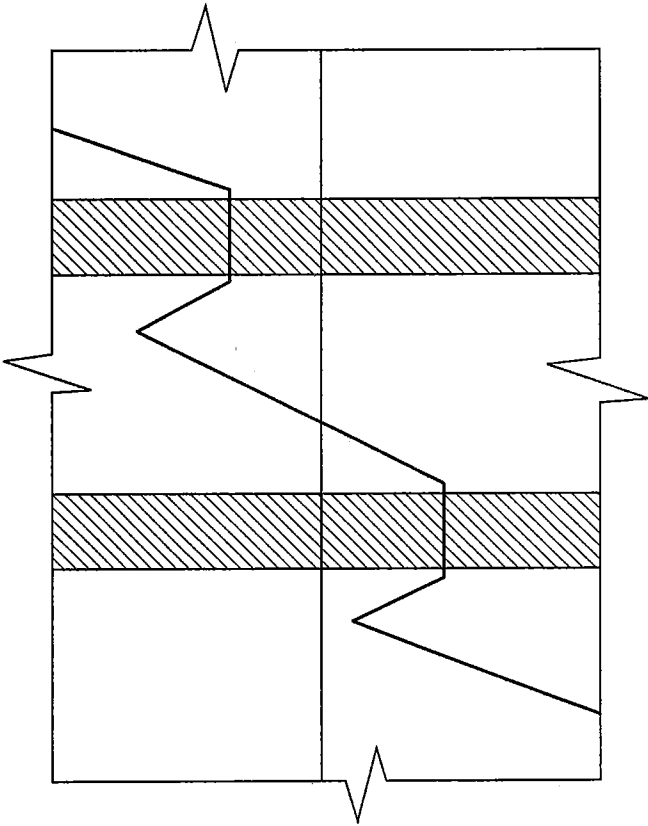


FIG. 14A

14/34

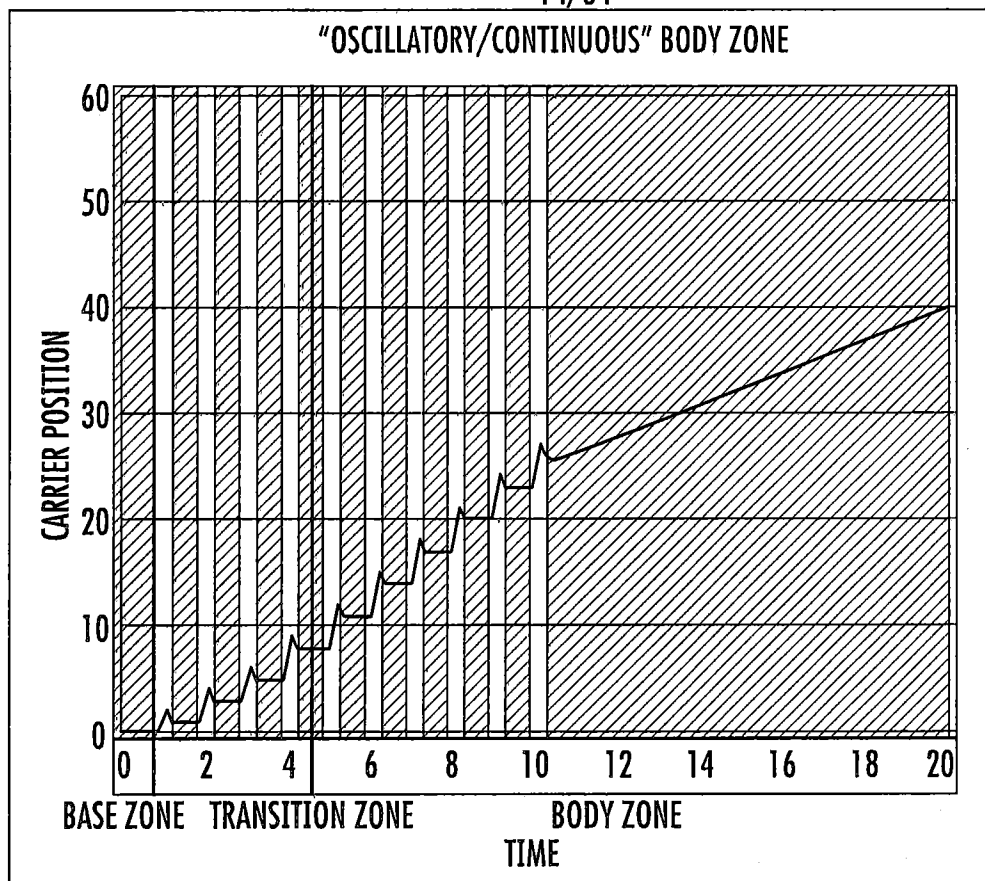


FIG. 15A

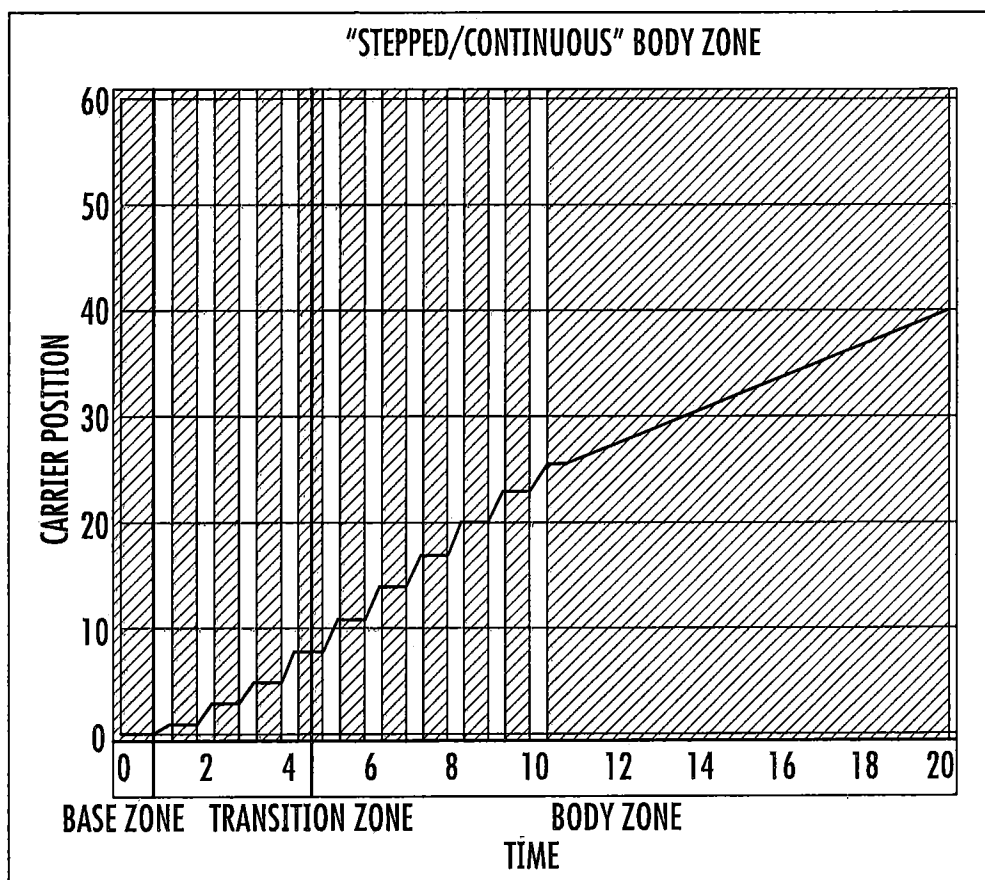


FIG. 15B

15/34

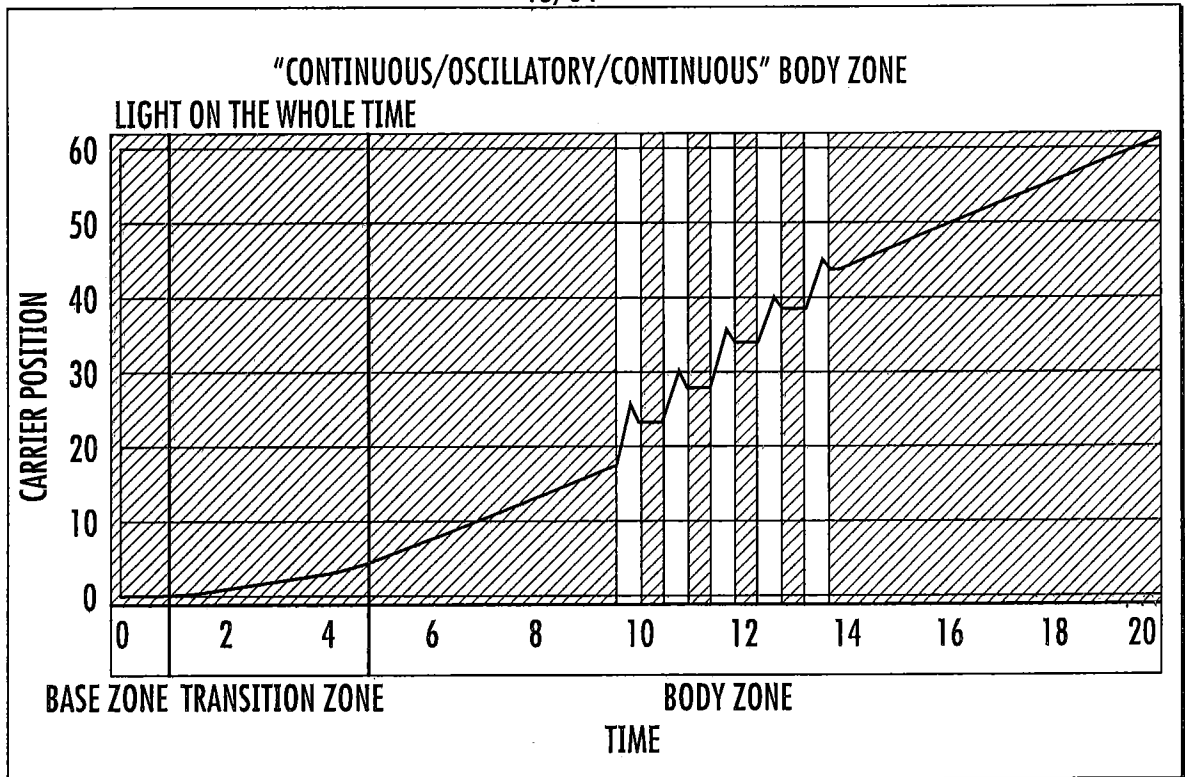


FIG. 16A

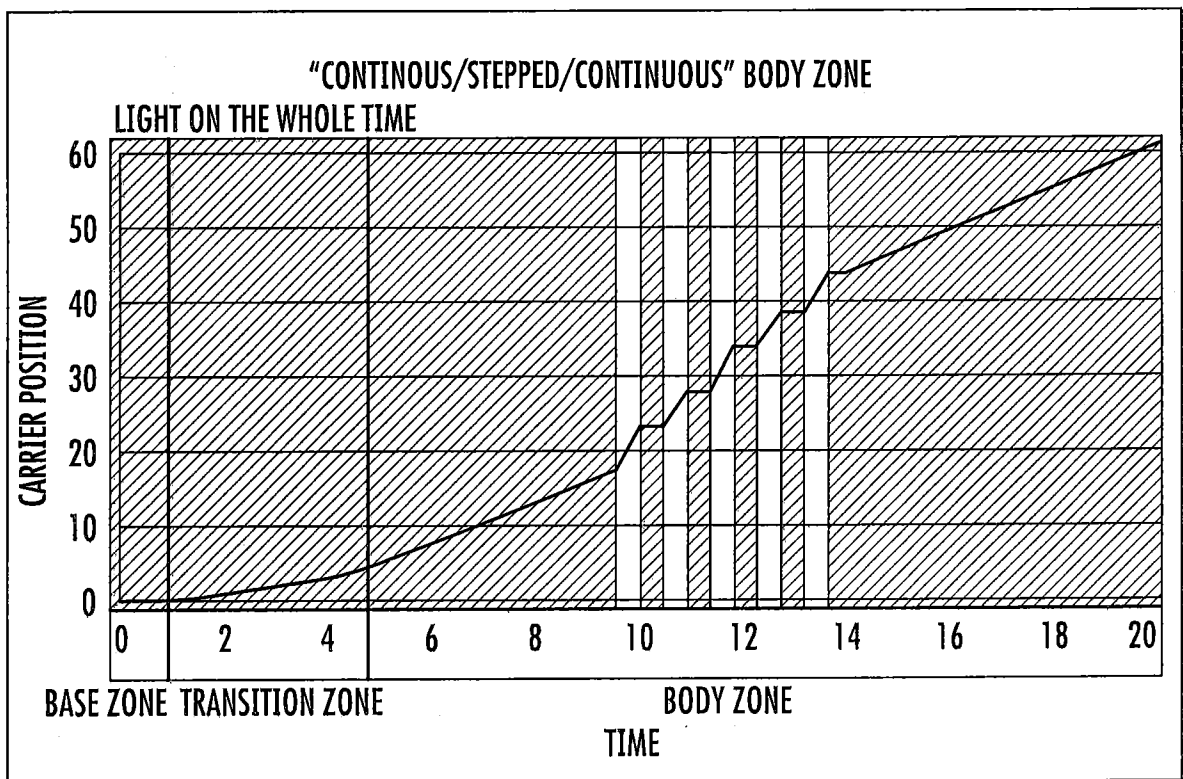
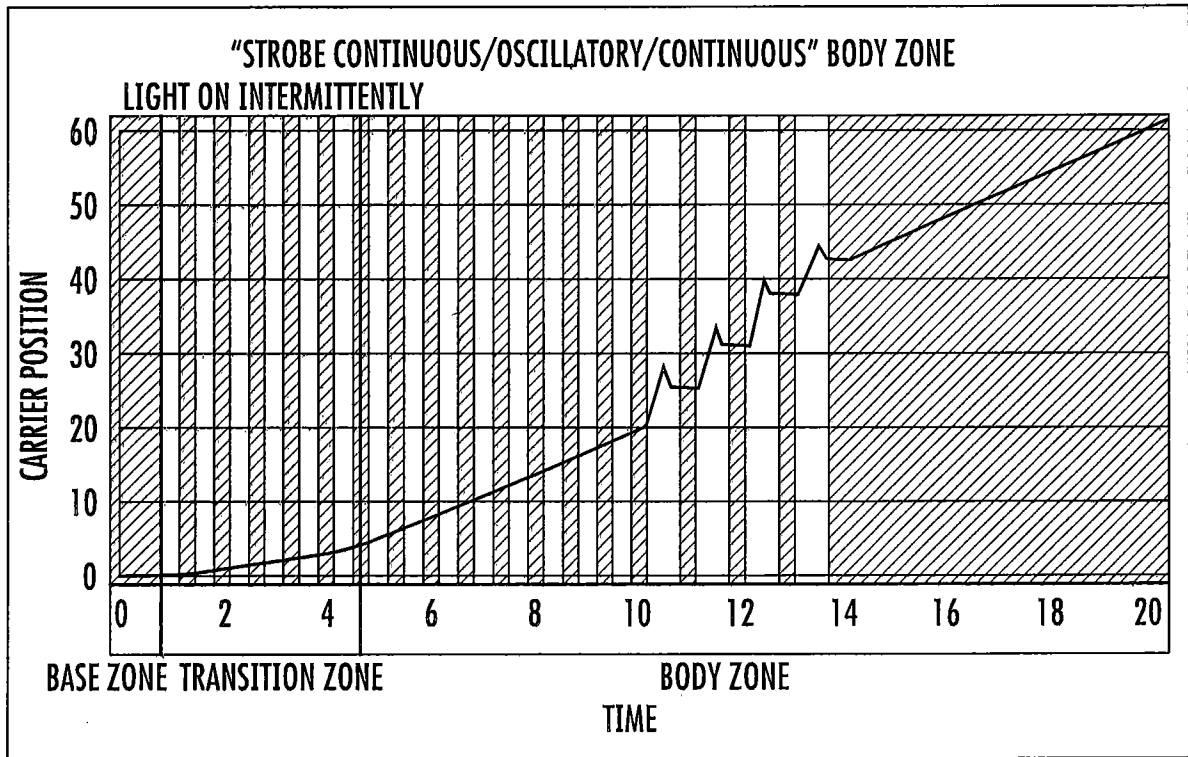
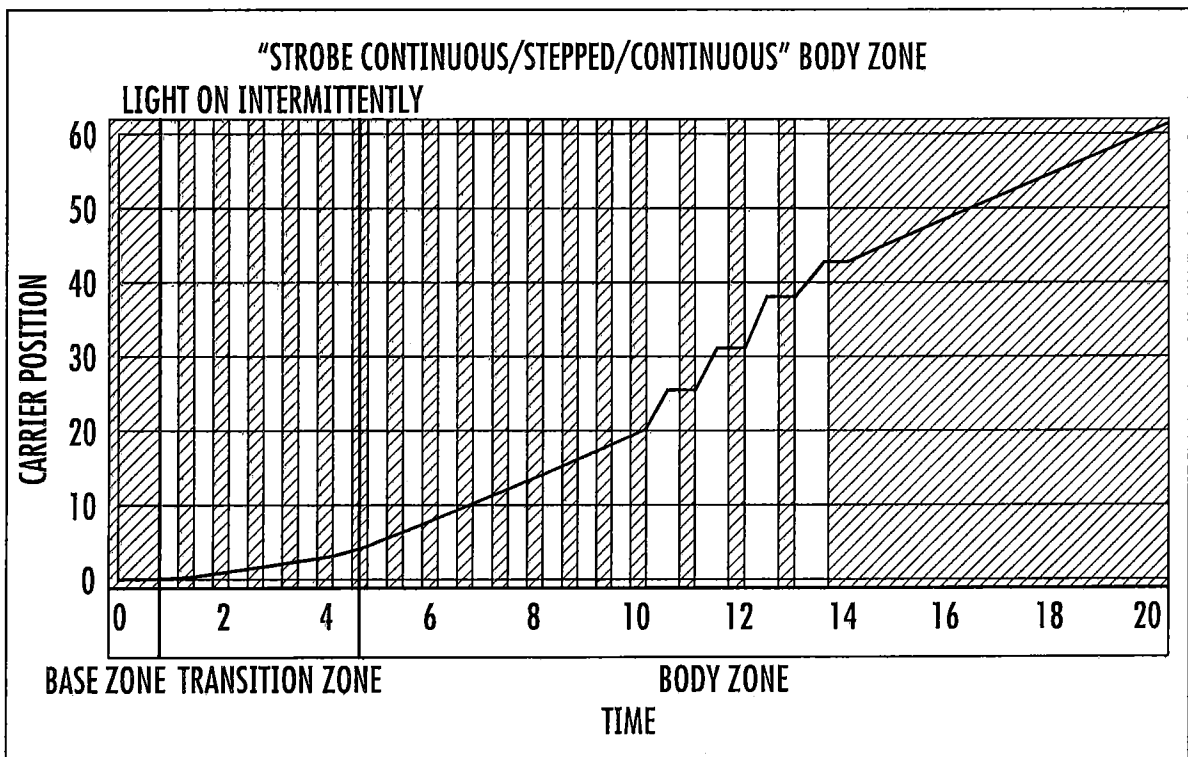


FIG. 16B

16/34

**FIG. 17A****FIG. 17B**

17/34

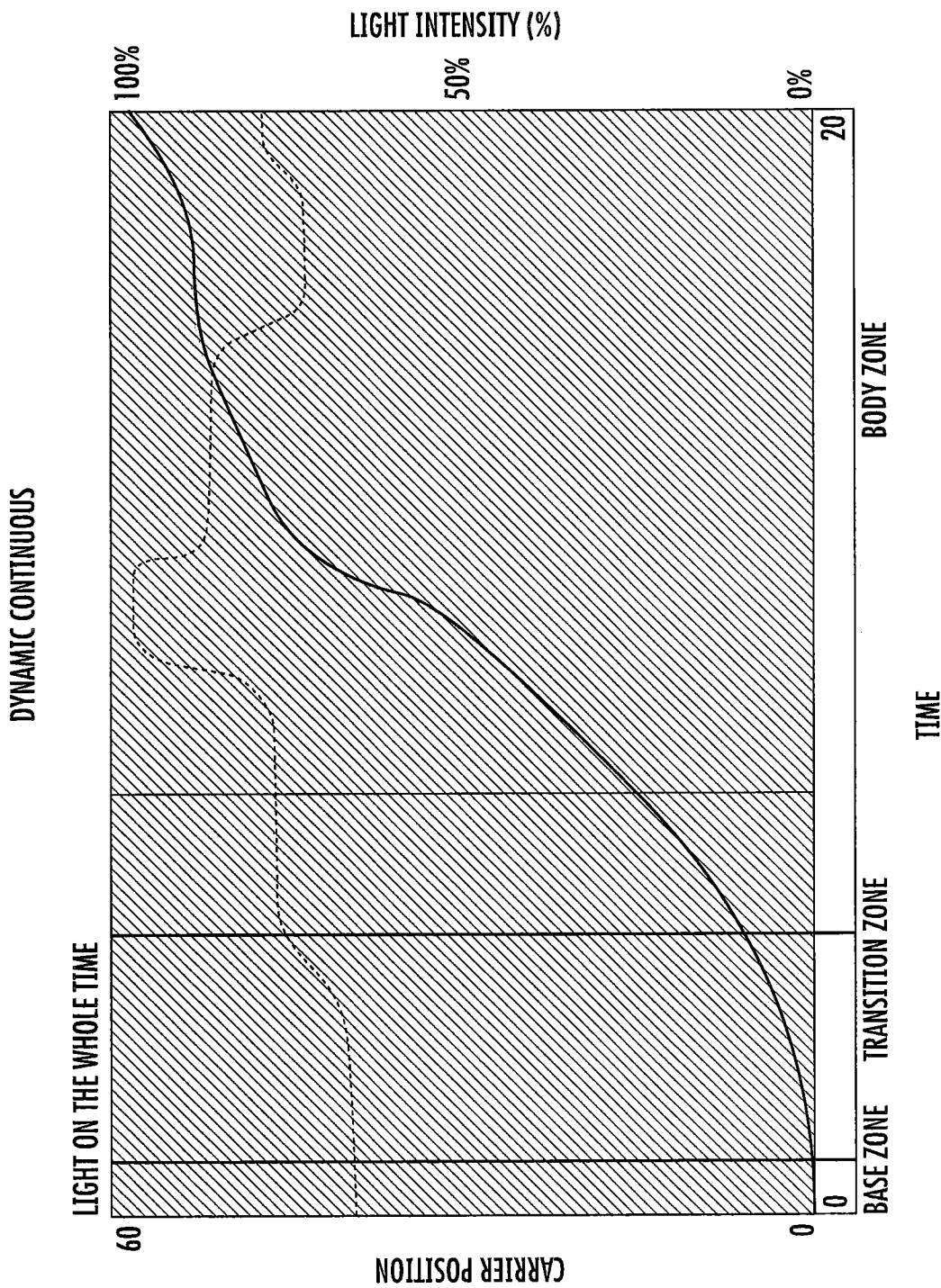


FIG. 18A

18/34

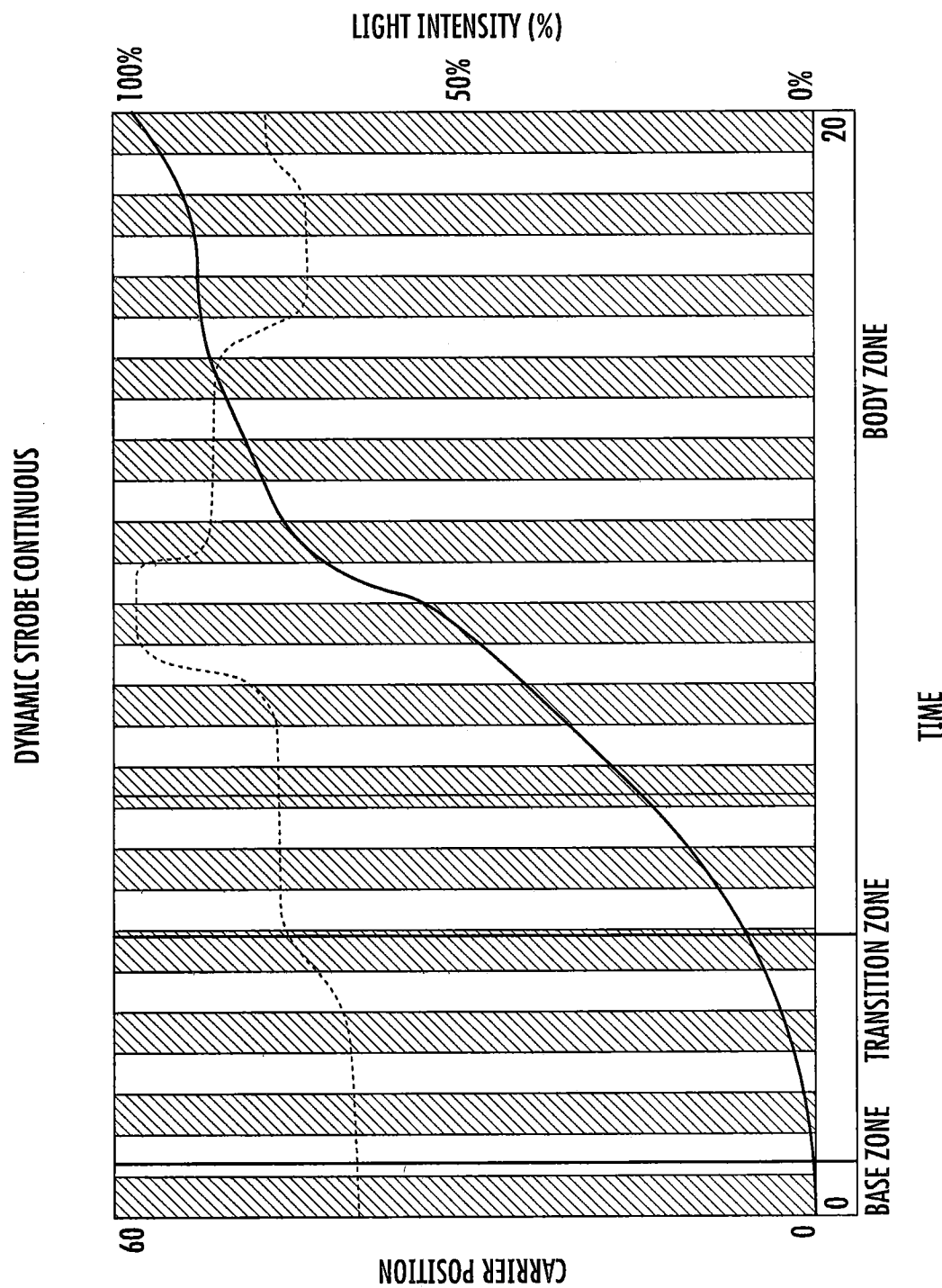


FIG. 18B

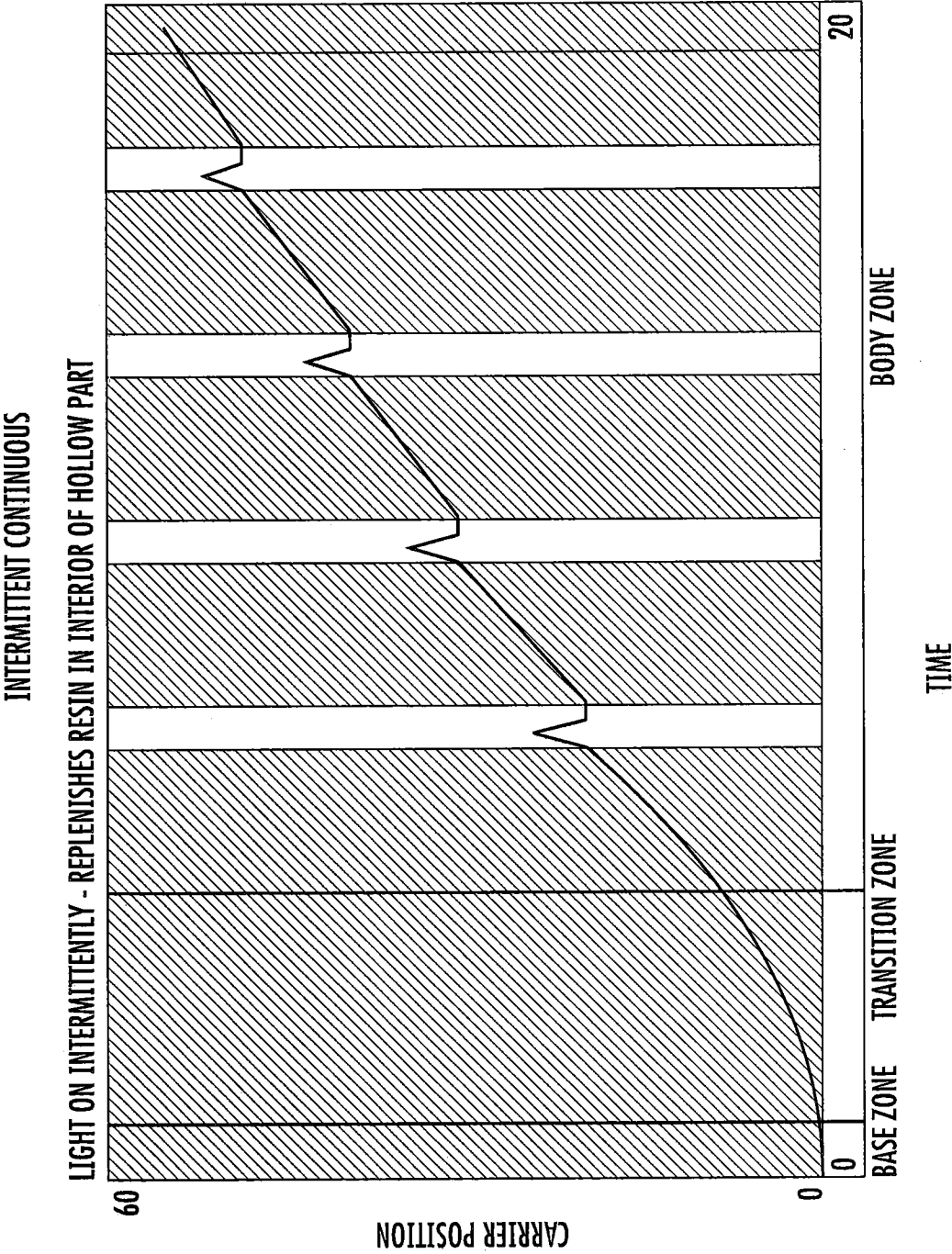


FIG. 19

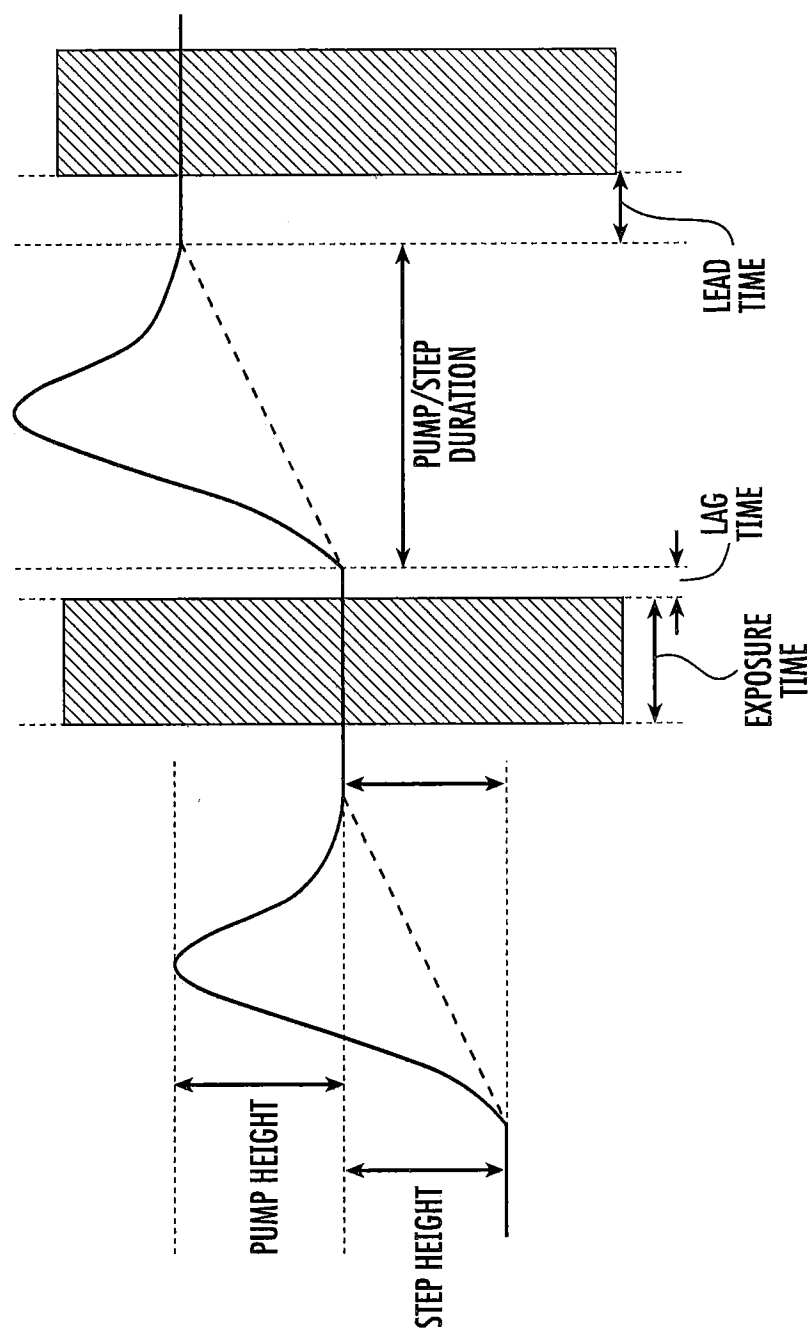
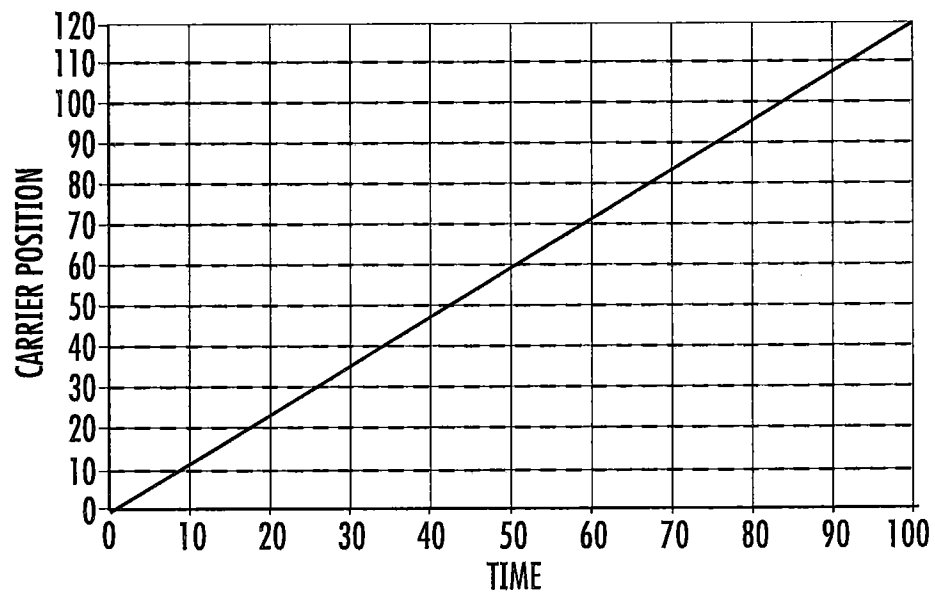
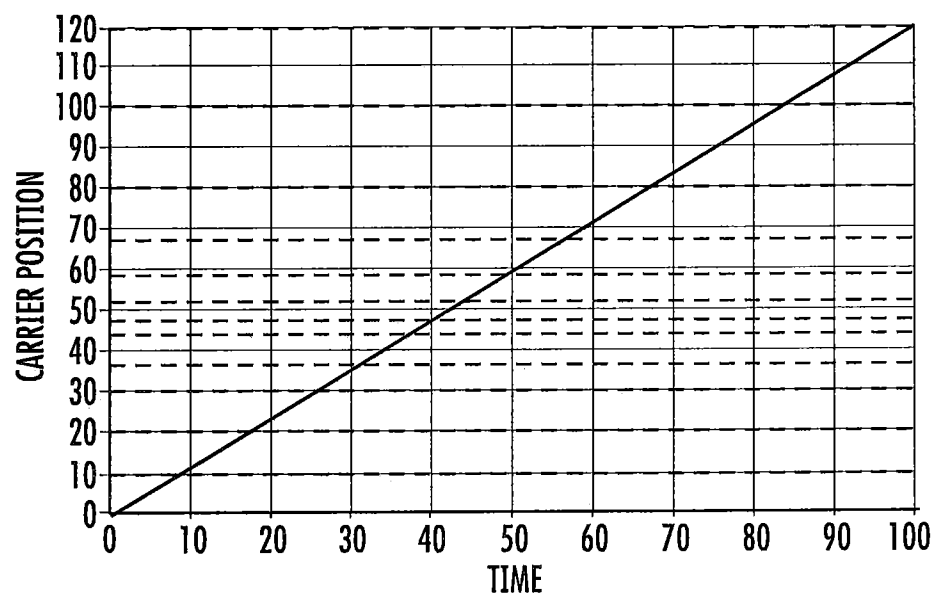


FIG. 20

21/34

**FIG. 21A****FIG. 21B**

22/34

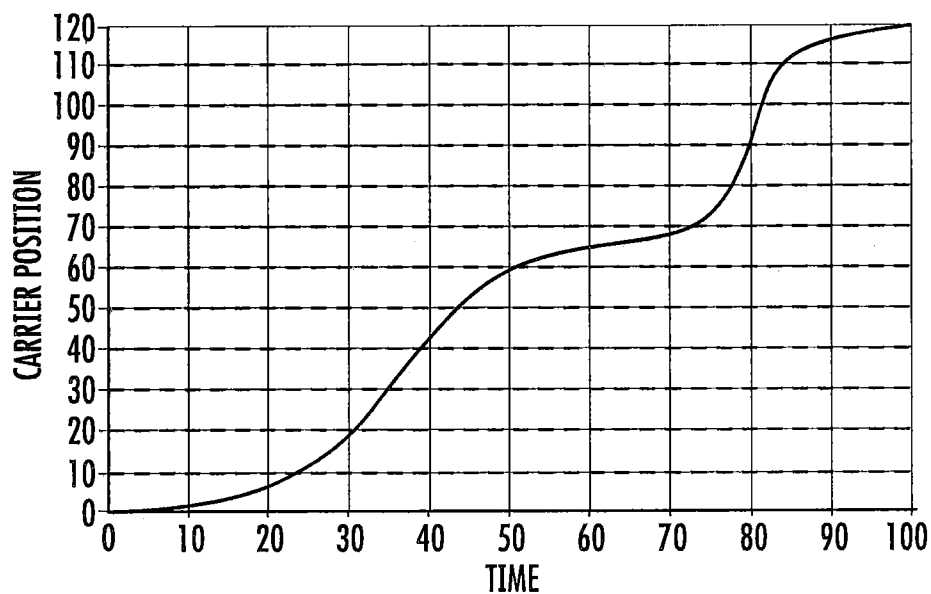


FIG. 21C

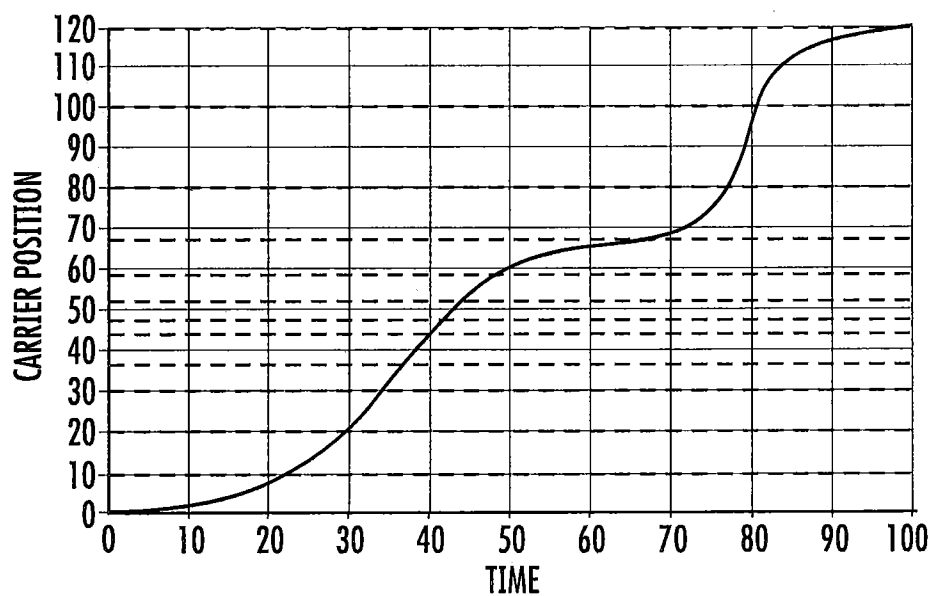
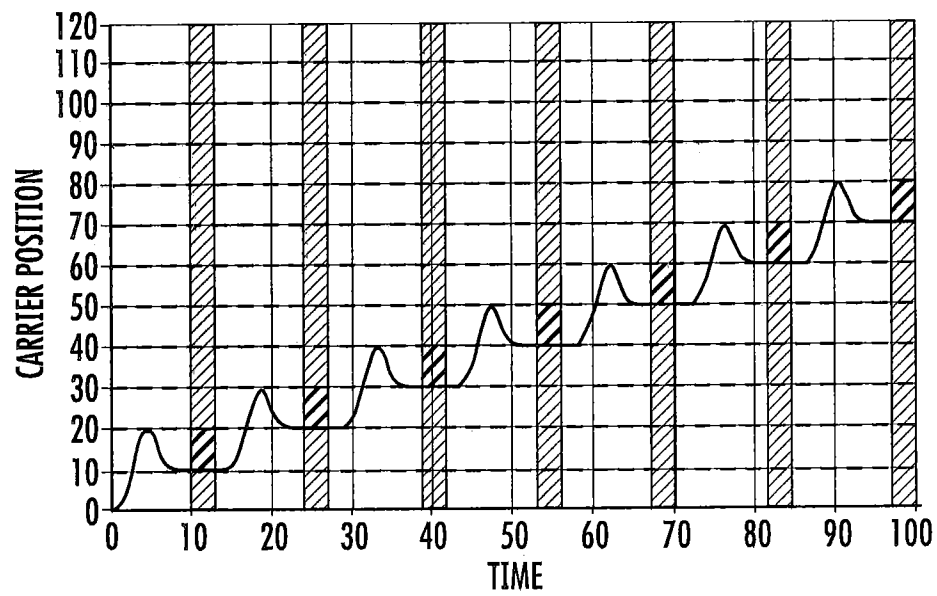
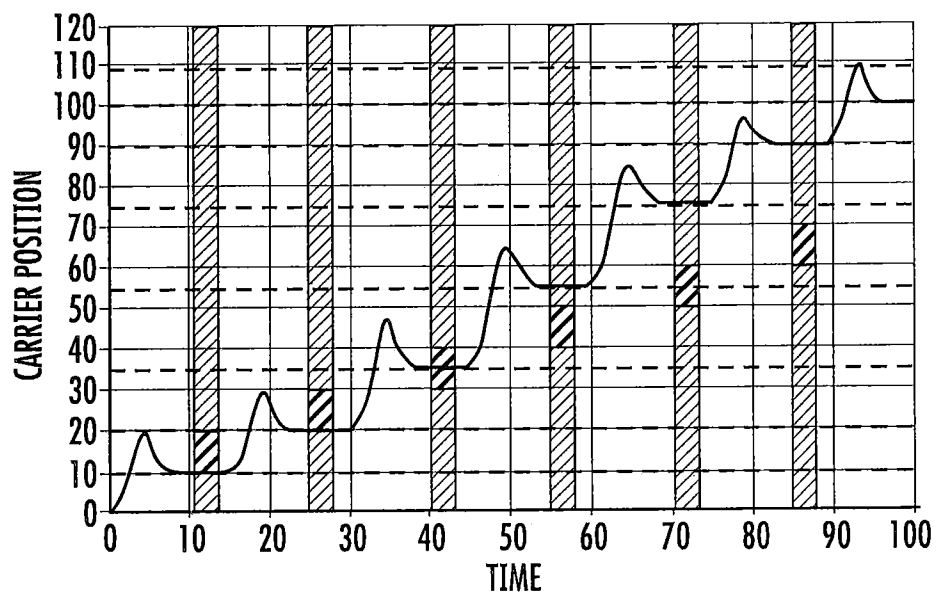


FIG. 21D

23/34

**FIG. 21E****FIG. 21F**

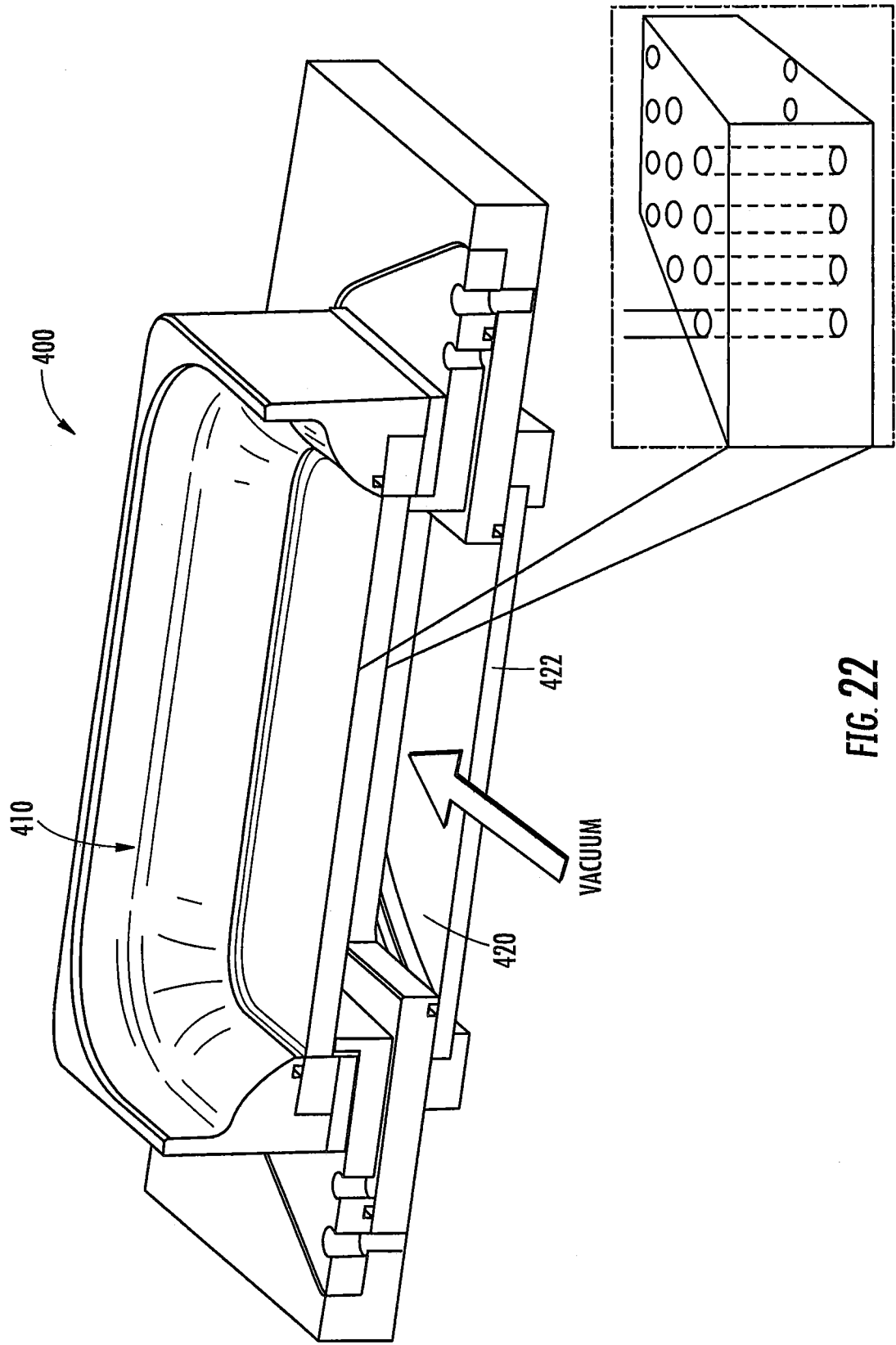


FIG. 22

25/34

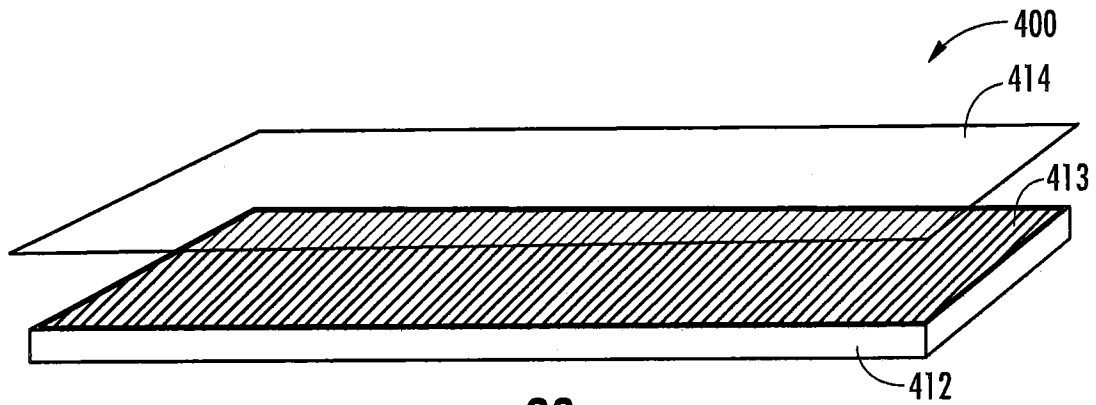


FIG. 23

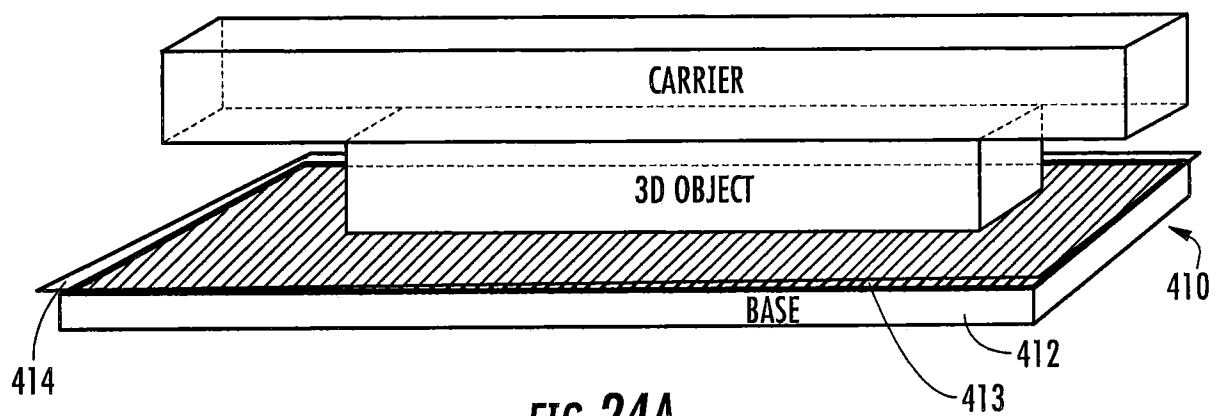


FIG. 24A

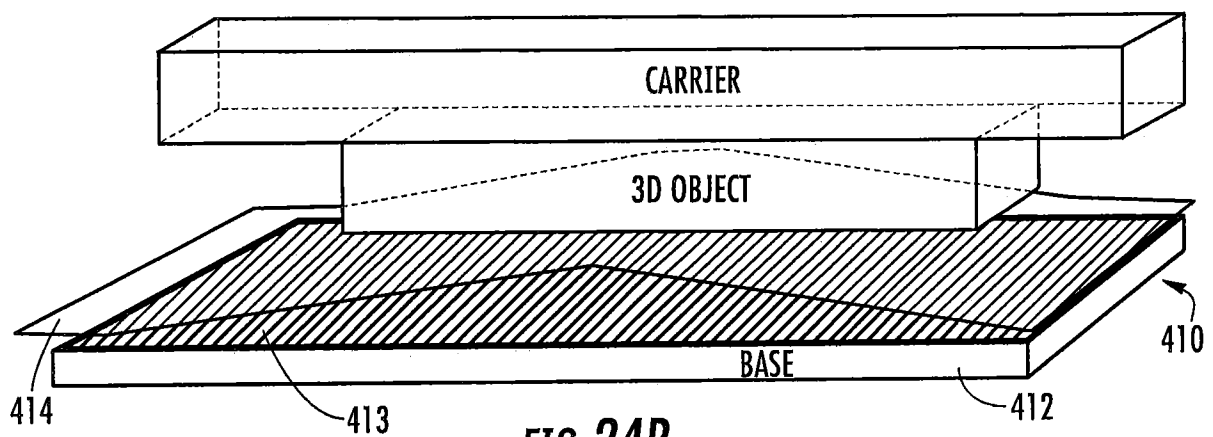


FIG. 24B

26/34

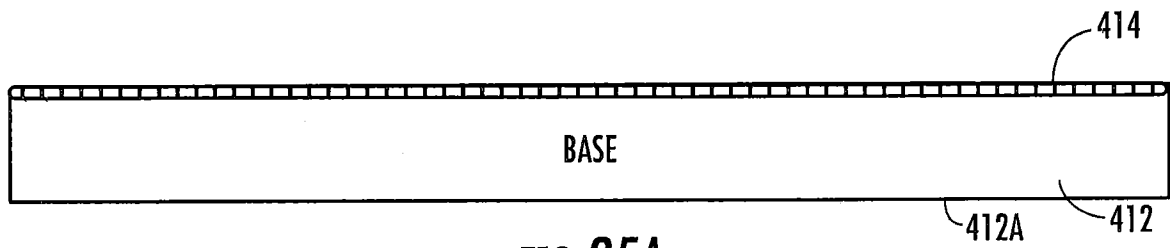


FIG. 25A

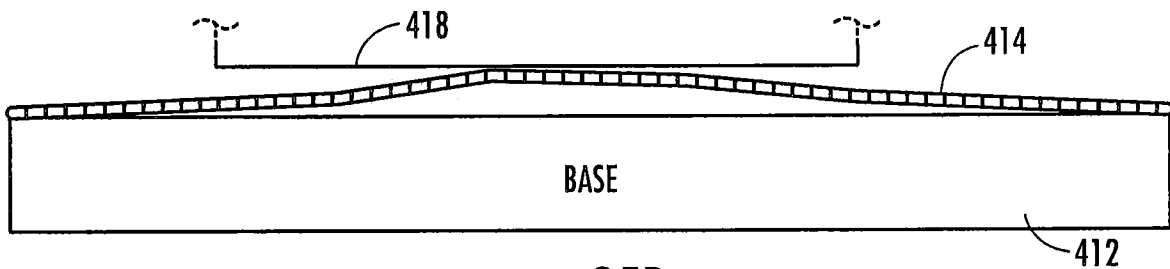


FIG. 25B

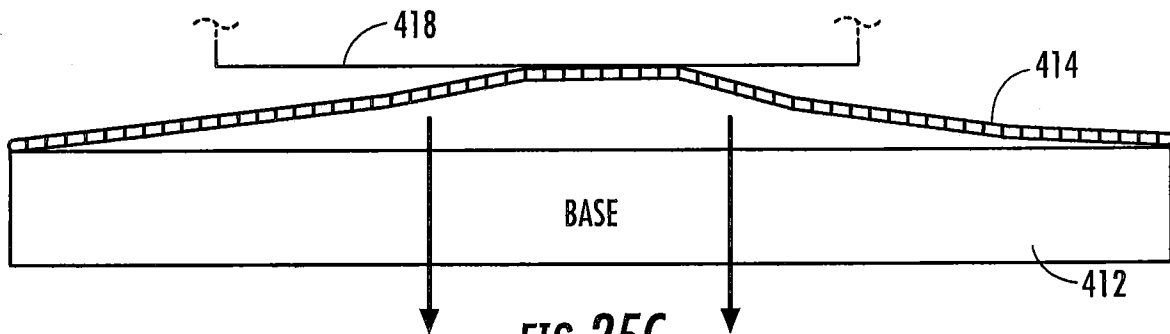


FIG. 25C

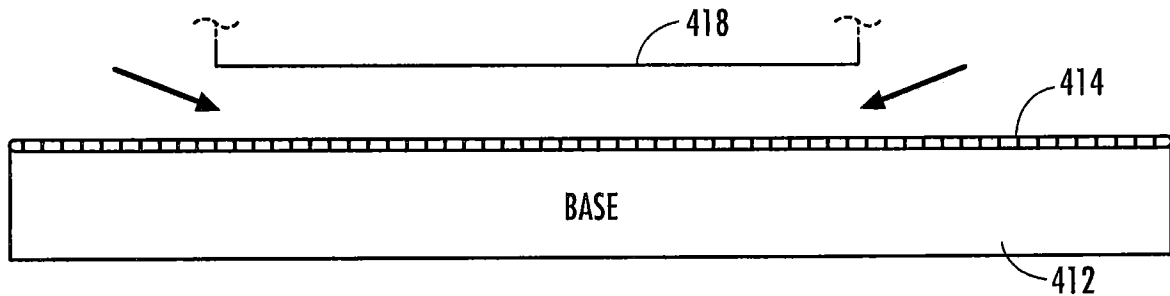


FIG. 25D

27/34

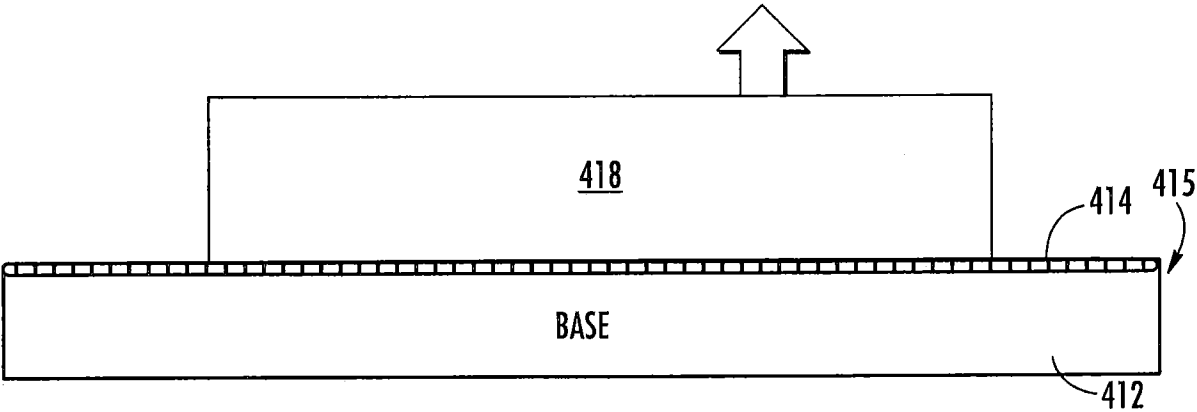


FIG. 26A

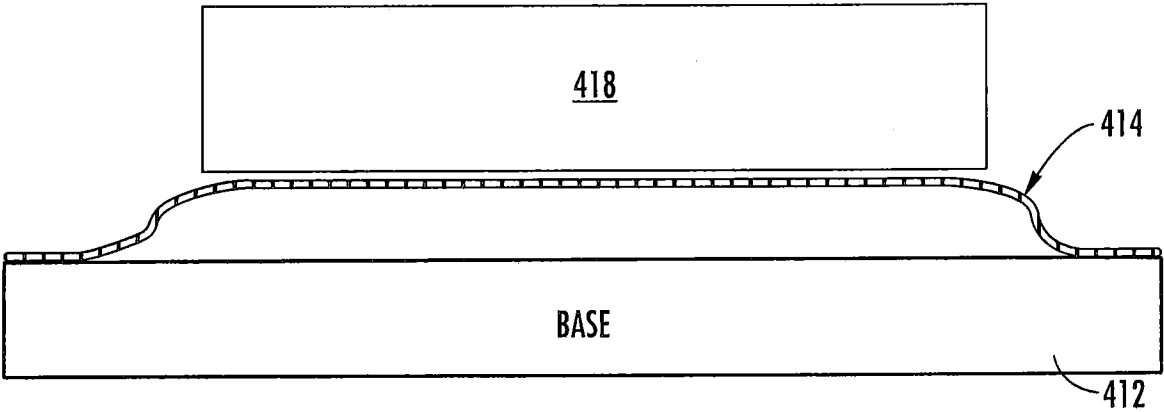


FIG. 26B

28/34

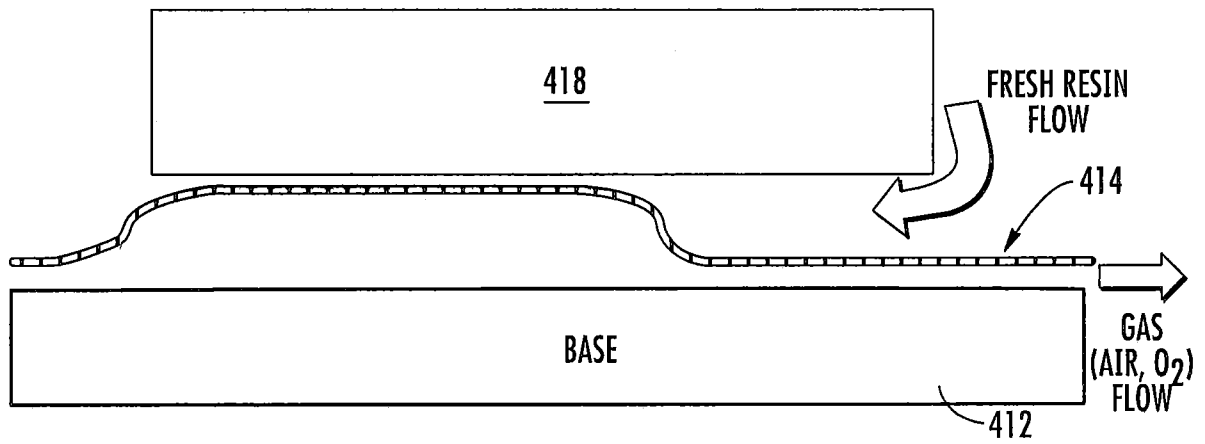


FIG. 26C

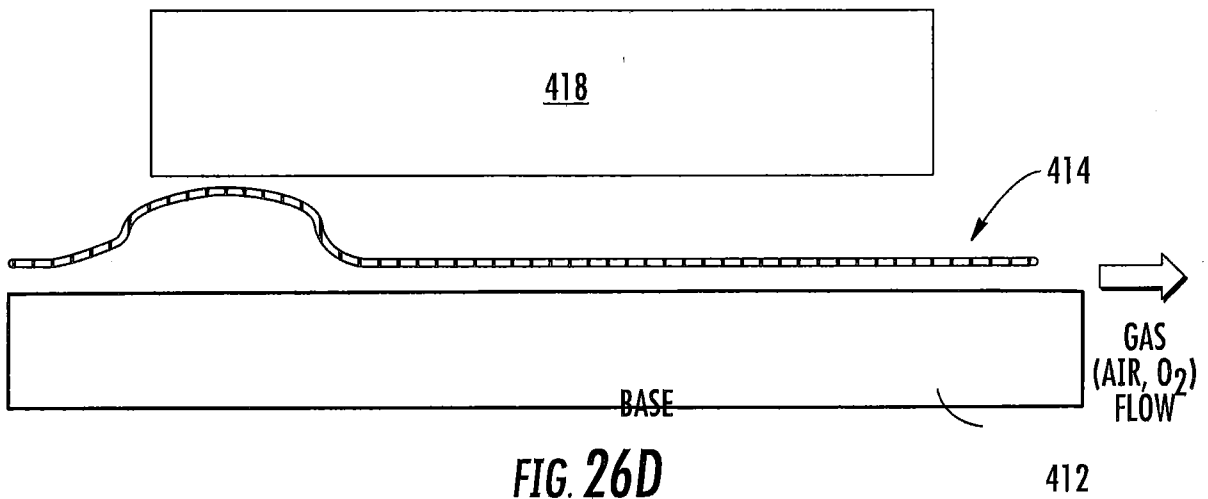


FIG. 26D

29/34

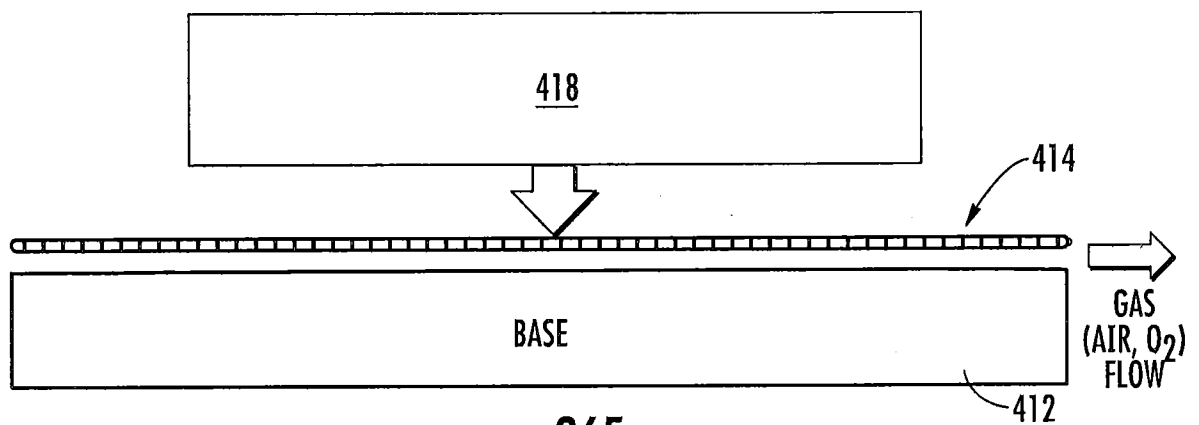


FIG. 26E

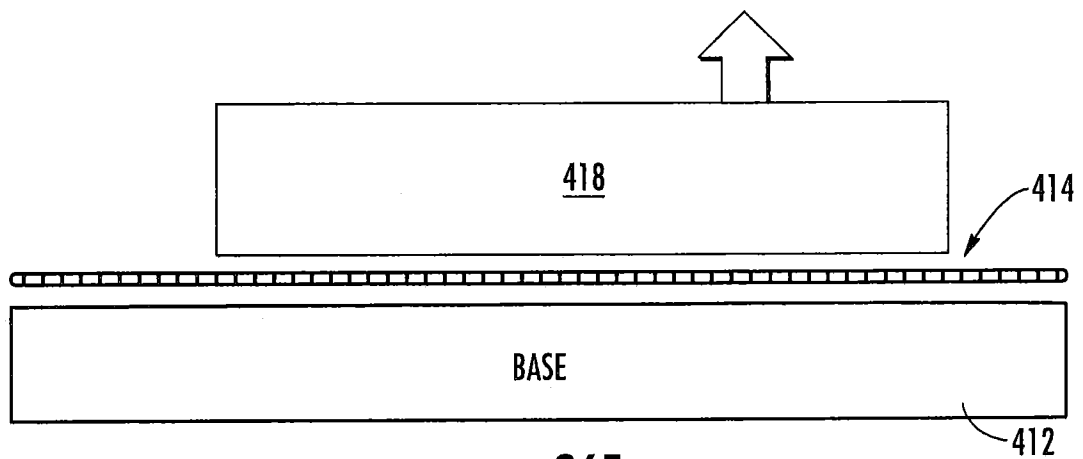


FIG. 26F

30/34

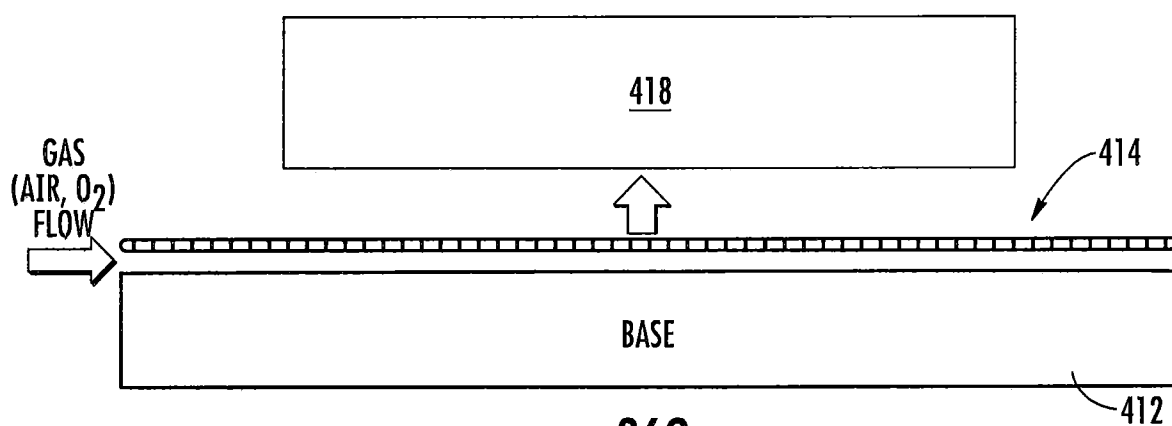


FIG. 26G

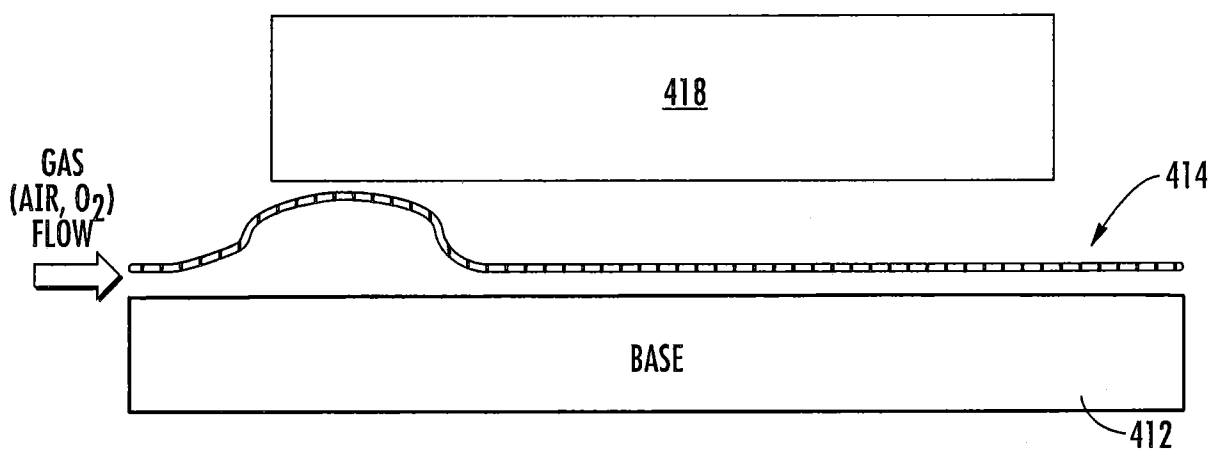
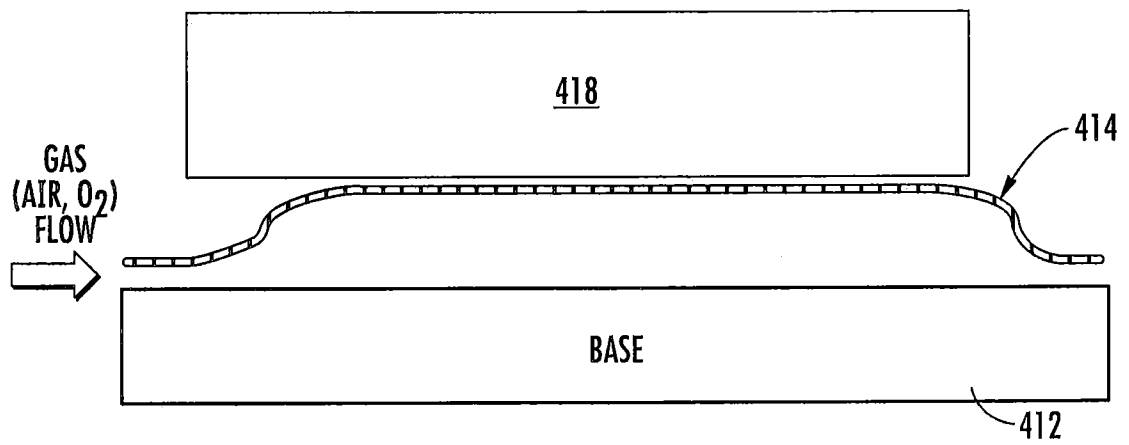
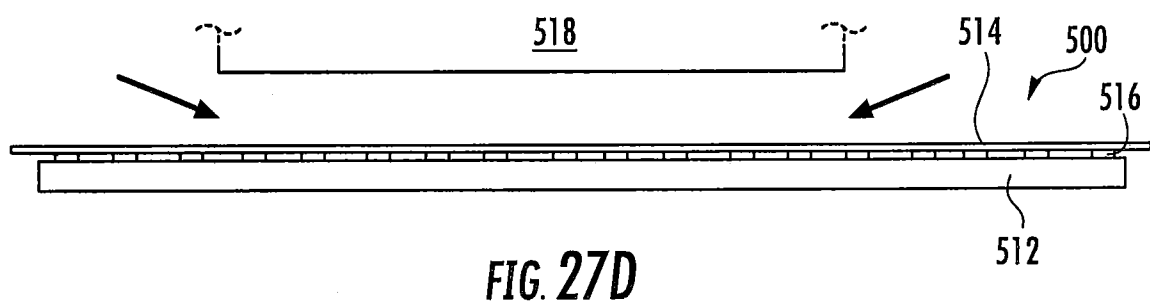
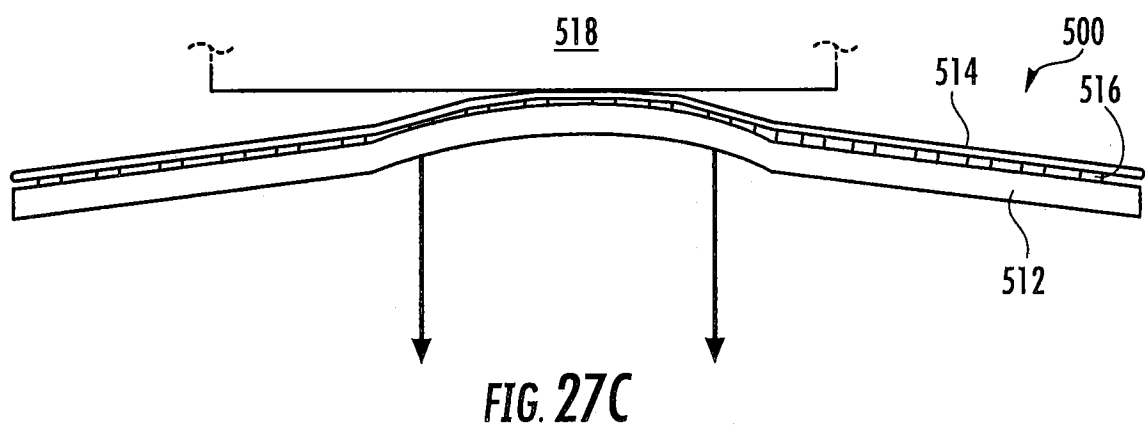
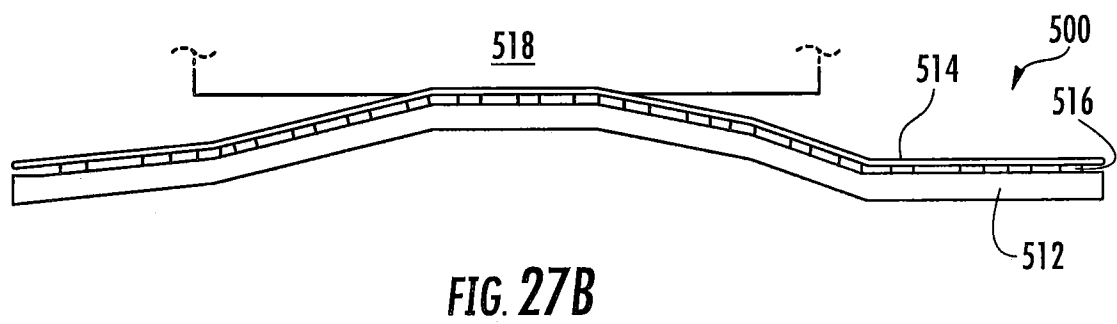
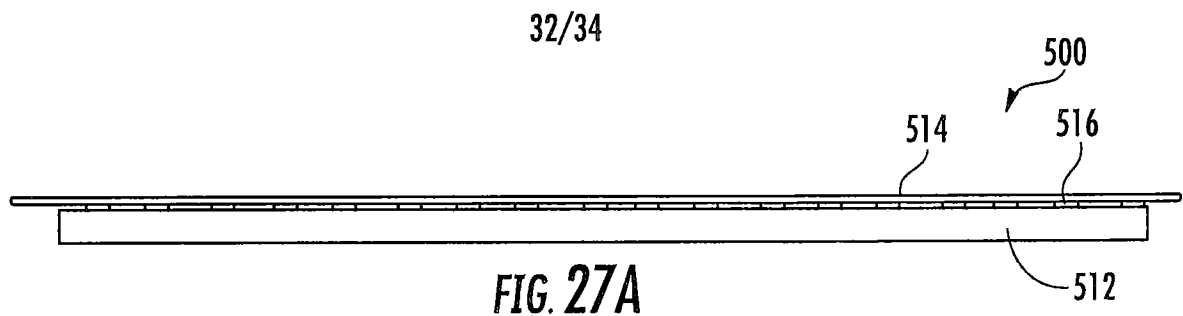


FIG. 26H

31/34

**FIG. 26I**



33/34

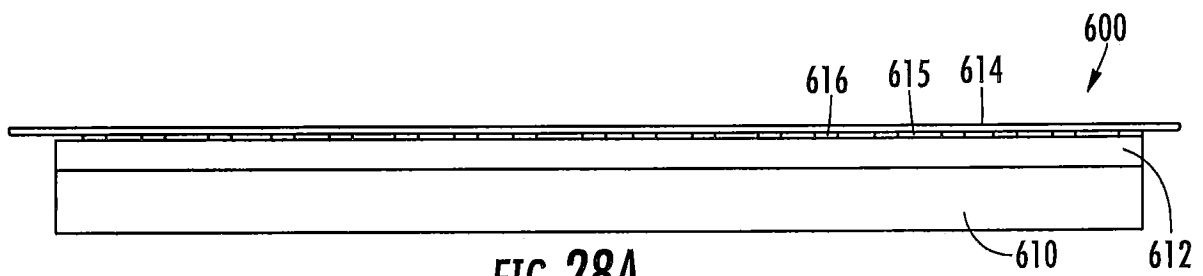


FIG. 28A

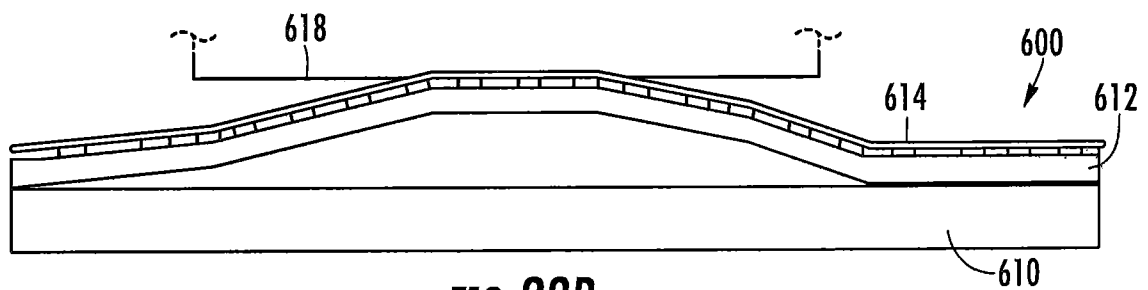


FIG. 28B

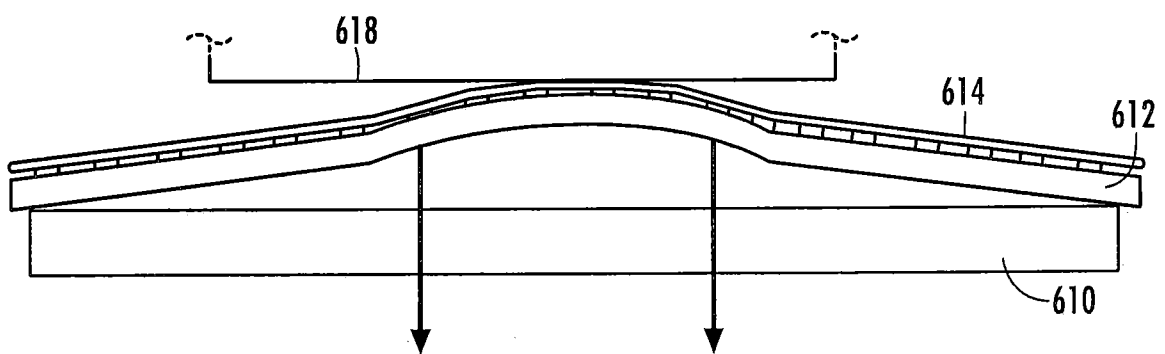


FIG. 28C

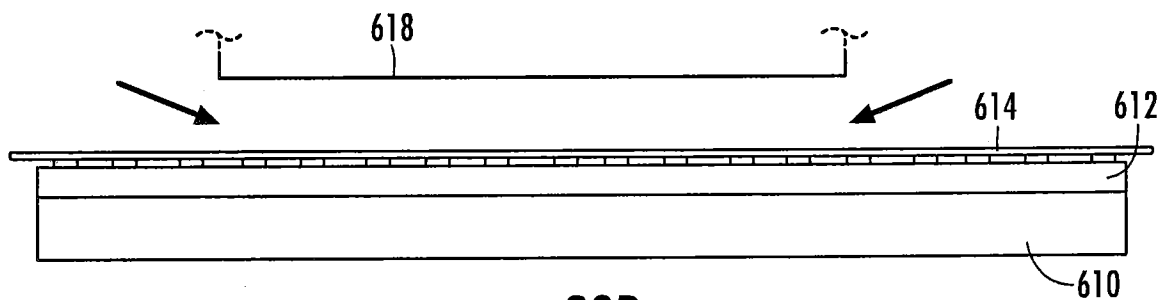


FIG. 28D

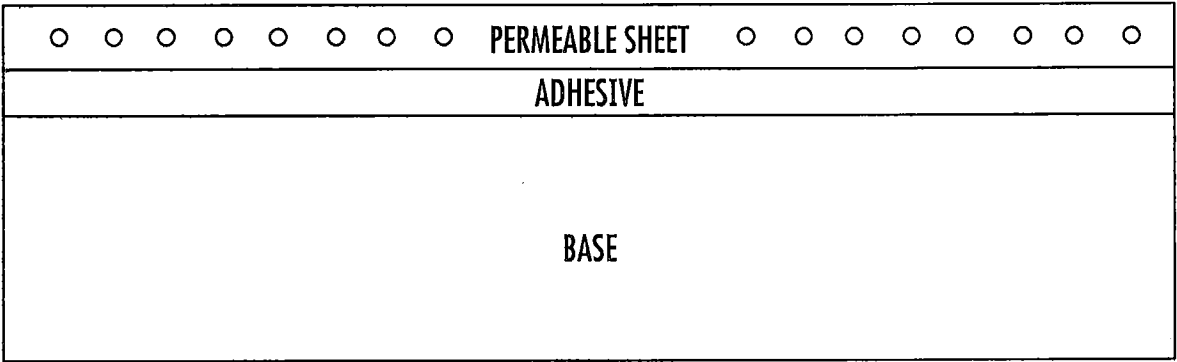


FIG. 29

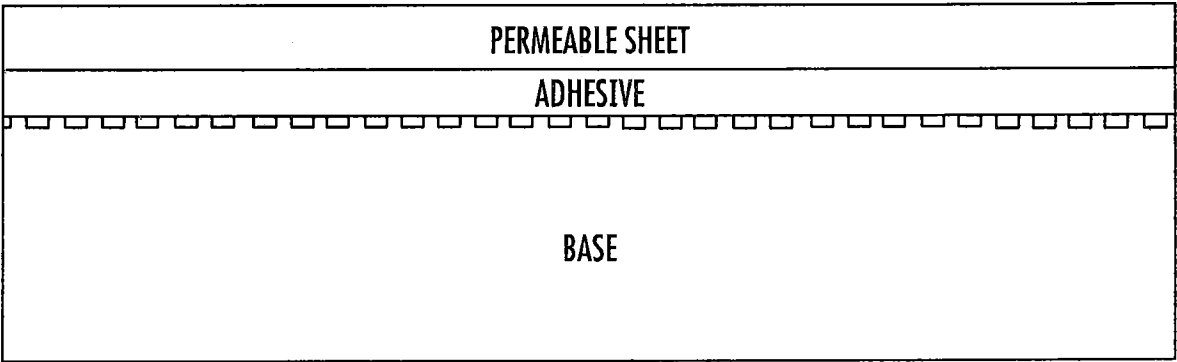


FIG. 30

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2016/022022

A. CLASSIFICATION OF SUBJECT MATTER
INV. B29C67/00 B33Y10/00 B33Y30/00 B33Y40/00
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
B29C B33Y

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 484 086 A1 (DU PONT [US]) 6 May 1992 (1992-05-06)	1,30,31
Y	figure 2 page 4, lines 55-57 page 6, lines 51-58 page 7, lines 1, 17-21, 55-56 page 16, lines 23-24 page 14, lines 6-9	41-45
Y	----- EP 0 467 100 A1 (DU PONT [US]) 22 January 1992 (1992-01-22) figure 6 column 3, lines 48-57 ----- -/-	41-45



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

10 June 2016

Date of mailing of the international search report

11/08/2016

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax: (+31-70) 340-3016

Authorized officer

Gasner, Benoit

INTERNATIONAL SEARCH REPORT

International application No

PCT/US2016/022022

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2010/074566 A1 (TNO [NL]; VERMEER ADRIANUS JOHANNES PETRUS MARIA [NL]; MAALDERINK HERM) 1 July 2010 (2010-07-01)	1-5, 23, 24, 29, 31-35 42-45
Y	figures 9, 11 page 20, lines 6-7 page 21, lines 29-30 -----	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2016/022022

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-5, 23, 24, 30, 32-35, 41-45(completely); 29, 31(partially)

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-5, 23, 24, 30, 32-35, 41-45(completely); 29, 31(partially)

A method and apparatus of forming a three-dimensional object, comprising an optically transparent member comprising a flexible layer having upper and lower opposing sides, wherein the flexible layer upper side defines the build region, and a region of reduced pressure is formed adjacent the flexible layer lower side;
the optically transparent member further comprises a base layer having an upper surface facing the flexible layer lower surface and an opposing lower surface facing the region of reduced pressure.

2. claims: 6-13, 15-22, 36-39

A method and apparatus of forming a three-dimensional object, comprising an optically transparent member comprising a flexible layer having upper and lower opposing sides, wherein the flexible layer upper side defines the build region, and a region of reduced pressure is formed adjacent the flexible layer lower side;
wherein the flexible layer is oscillated or the carrier is vertically reciprocated with respect to the build surface.

3. claims: 14, 25-28, 40(completely); 29, 31(partially)

A method and apparatus of forming a three-dimensional object, comprising an optically transparent member comprising a flexible layer having upper and lower opposing sides, wherein the flexible layer upper side defines the build region, and a region of reduced pressure is formed adjacent the flexible layer lower side;
a dead zone of polymerizable liquid in contact with said build surface is continuously maintained, and
a gradient of polymerization zone between said dead zone and said solid polymer and in contact with each thereof is continuously maintained.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2016/022022

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0484086	A1	06-05-1992	AU 8676691 A 11-06-1992
		CA 2054276 A1 30-04-1992	
		DE 69113394 D1 02-11-1995	
		DE 69113394 T2 28-03-1996	
		EP 0484086 A1 06-05-1992	
		JP 2667053 B2 22-10-1997	
		JP H05503257 A 03-06-1993	
		TW 244379 B 01-04-1995	
		US 5122441 A 16-06-1992	
		US 5391072 A 21-02-1995	
		WO 9207705 A1 14-05-1992	
EP 0467100	A1	22-01-1992	AU 642022 B2 07-10-1993
		AU 7928491 A 02-01-1992	
		CA 2045275 A1 27-12-1991	
		CN 1057724 A 08-01-1992	
		DE 69107537 D1 30-03-1995	
		DE 69107537 T2 17-08-1995	
		EP 0467100 A1 22-01-1992	
		JP H0780246 B2 30-08-1995	
		JP H05237942 A 17-09-1993	
WO 2010074566	A1	01-07-2010	BR PI0923627 A2 19-01-2016
		CA 2747854 A1 01-07-2010	
		CN 102325644 A 18-01-2012	
		EP 2379310 A1 26-10-2011	
		JP 5873720 B2 01-03-2016	
		JP 2012513325 A 14-06-2012	
		KR 20110104532 A 22-09-2011	
		RU 2011130284 A 27-01-2013	
		US 2012168989 A1 05-07-2012	
		WO 2010074566 A1 01-07-2010	