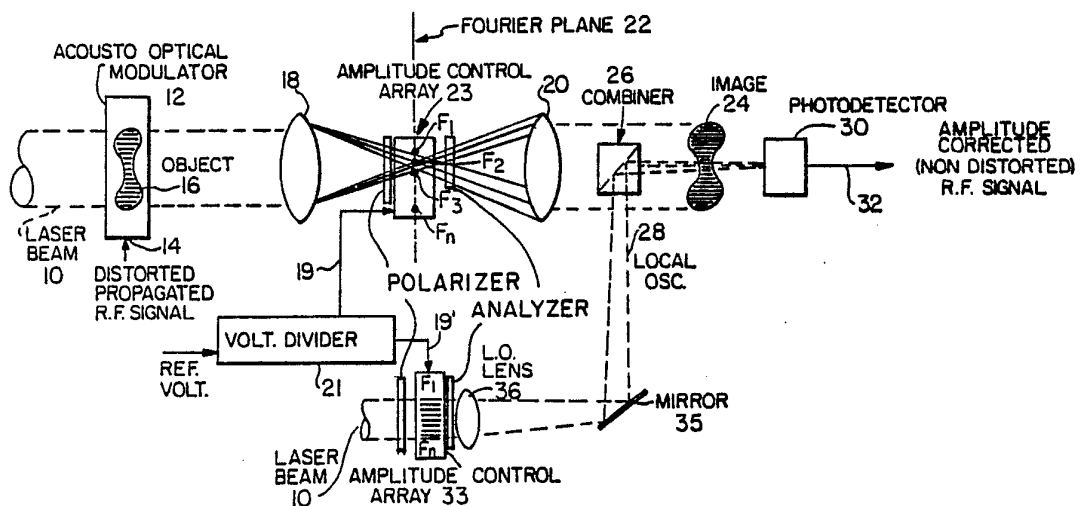




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification<sup>4</sup> : <b>G06F 7/02, 9/00</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 87/ 06734</b> (43) International Publication Date: 5 November 1987 (05.11.87)</p>
<p>(21) International Application Number: PCT/US87/00951 (22) International Filing Date: 29 April 1987 (29.04.87) (31) Priority Application Number: 857,288 (32) Priority Date: 30 April 1986 (30.04.86) (33) Priority Country: US</p> <p>(71) Applicant: GRUMMAN AEROSPACE CORPORATION [US/US]; South Oyster Bay Road, Bethpage, NY 11714 (US).</p> <p>(72) Inventors: BRANDSTETTER, Robert, W. ; 229 Loring Road, Levittown, NY 11756 (US). DOUCETTE, Adrian, R. ; 146 Washington Avenue, Garden City, NY 11530 (US).</p>		<p>(74) Agents: LISS, Morris et al.; Pollock, VandeSande &amp; Priddy, P.O. Box 19088, Washington, DC 20036 (US).</p> <p>(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, KP, LU (European patent), NL (European patent), NO, SE (European patent).</p> <p><b>Published</b> <i>With international search report.</i></p>

(54) Title: METHOD AND APPARATUS FOR OPTICAL RF AMPLITUDE EQUALIZATION



## (57) Abstract

Amplitude equalization of a distorted multifrequency signal is accomplished by acousto-modulating (12) the signal with a coherent light beam and focusing a resultant image on a Fourier plane (22) where the frequency components of the image are spatially distributed. A control array (23), positioned in the plane, contains adjacent elements having optical filtering properties variable with respect to each other thereby selectively altering the optical amplitude of each image frequency component passing through the elements. The resultant transformed image then undergoes conversion (30) to an electrical signal having its amplitude equalized relative to the distorted input signal.

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

**METHOD AND APPARATUS FOR OPTICAL RF AMPLITUDE EQUALIZATION****FIELD OF THE INVENTION**

5           The present invention relates to amplitude equalization circuits, and more particularly to an optical circuit suited for RF signals.

**BACKGROUND OF THE INVENTION**

10           RF signals propagating through a medium generally experience non-linear amplitude characteristics, namely, different amplitude attenuations for different frequency components. Without special processing, such a propagated signal will be detected as a degraded signal.

15           The prior art has made wide use of impedance networks which introduce different attenuations to different frequency components of an RF signal, the components being summed at an output of the network so that attenuations of a propagated signal may be compensated, thus enabling the compensated signal to  
20 resemble the signal before propagation. As a result, information content of an original input signal may be preserved.

25           Prior art devices are severely restricted in the number of signal components that can be handled by the impedance elements and the speed with which the amplitude equalization is achieved. Further, such impedance

elements can consume a substantial portion of the signal power, thereby adversely affecting the signal-to-noise ratio of the equalization circuitry.

#### BRIEF DESCRIPTION OF THE PRESENT INVENTION

50 The present invention utilizes coherent optical processing to perform amplitude equalization corrections of RF signals by providing amplitude equalization paths for a multitude of discrete signal frequency components in a parallel operation. By virtue of the present  
100 invention, one thousand or more discrete frequency components may be handled. As will be discussed hereinafter, the invention permits fixed or variable amplitude control for each of the frequency components which would not be possible by the prior art circuits.

15 After acousto-optical processing of a propagated distorted signal, an amplitude control array is introduced in a Fourier plane of the signal. The array is comprised of individual elements that have their optical filtering properties electrically altered and  
200 which correspondingly alter the amplitude of the particular frequency component associated with the element. The corrected optical signal then undergoes photoelectric transformation at a photomixer and the result is an amplitude-equalized correction signal which  
250 corresponds to an input signal prior to its propagation-induced amplitude distortion.

#### BRIEF DESCRIPTION OF THE FIGURES

The above-mentioned objects and advantages of the present invention will be more clearly understood when

considered in conjunction with the accompanying drawings, in which:

FIG. 1 is a diagrammatic top plan view of an electro-optic apparatus for achieving the inventive concept;

FIG. 2 is a partial diagrammatic view of an amplitude control array as employed in the present invention.

#### DETAILED DESCRIPTION OF THE PRESENT INVENTION

10 A laser beam 10 serves as an optical carrier signal for a modulating RF signal 14 which has been previously distorted as a result of propagation. The beam 10 and RF signal 14 are introduced to a conventional acousto-optical modulator 12, such as the type  
15 manufactured by the ISOMET Corporation; and a modulated acoustic field (object) 16 is formed by modulator 12.

A Fourier plane 22 is developed between Fourier lens 18 and inverse Fourier lens 20. By introducing an amplitude control array 23 at the Fourier plane 22, the  
20 amplitude equalization capability of the present invention may be realized. Specifically, there is a spatial frequency distribution of object 16 on the Fourier plane 22; and by placing a multi-optical element amplitude control array 23 in coplanar relationship with  
25 the spatial distribution, each frequency component of object 16, as spatially distributed, may undergo amplitude modification so that an amplitude-equalized optical signal results. As indicated, an optical polarizer and analyzer respectively precede and follow  
30 the array 23. Thus, as will be presently explained, the

elements of the array produce desired amplitude control for each frequency component of the object 16.

To better understand the amplitude control array 23, reference is made to FIG. 2 wherein a multi-element electro-optic device is illustrated. The individual elements are schematically indicated by corresponding spatially distributed frequency components  $F_1-F_n$ . For purposes of simplicity, only a small number of frequency components is illustrated. However, it should be understood that the present invention is intended for a large number of frequency components, typically several thousand. Appropriate electro-optic devices include twisted nematic, liquid crystal devices, and the like. The purpose of each element in the array is to vary optical filtering of the spatially distributed frequency components, at the Fourier plane 22 in a manner that will equalize the amplitude of each frequency component as it passes through the Fourier plane 22. As a result, the amplitude of an image located to the right of the inverse Fourier lens 20 is amplitude equalized relative to the distorted object 16.

The equalized image undergoes processing by combiner 26 which may be a conventional semi-silvered mirror. A laser local oscillator beam 28 forms a second optical input to the combiner 26 to achieve optical heterodyning or down converting, thus forming the amplitude-equalized image 24 which impinges upon an intensity-sensitive square law photodetector 30 for transforming the amplitude-equalized image 24 to a corrected RF signal at photodetector output 32. As a result, the RF signal at output 32 is an amplitude-corrected signal resembling the original

electrical signal which became amplitude distorted by propagation prior to introduction to the equalization circuitry of FIG. 1.

5 It should be pointed out that the amplitude equalization occurring at each of the elements in array 23 can be varied as propagation conditions change. The amount of filtering occurring through each cell is controlled by a device which, in its basic form, may resemble a voltage divider 21 to which a reference  
10 voltage is applied. Individual outputs from the voltage divider, as generally indicated by reference numeral 19 (FIG. 2) drive each element of the array to a degree corresponding with the desired optical filtering to be achieved by each element of the array 23.

15 The laser local oscillator beam 28, which forms the second optical input to the combiner 26 is derived from the laser beam 10. The local oscillator beam may be amplitude-controlled in a manner similar to that disclosed in connection with the signal path through the  
20 amplitude-control array 23. This is done by including a second amplitude-control array 33 similar in construction to the multi-optical element array 23 having the indicated optical polarizer and analyzer respectively positioned at either side of the array. As in the  
25 case of the first array 23, the second array 33 modifies the amplitude of the laser beam 10 as it impinges upon each element of the array. The lens 36 focusses the amplitude-modified beam for reflection by mirror 35 to form the local oscillator beam 28. In fact, this  
30 beam will be comprised of amplitude-modified sections which correspond to the amplitude modifications of object 16, as a result of array 23. The degree of

elemental amplitude control is determined by the voltage divider output 19' in the same manner previously described in connection with voltage divider output 19, which drives the amplitude-control array 23.

5           The inclusion of an amplitude-modified local oscillator beam is not mandatory. However, the utilization of arrays 23 and 33 can be advantageously operated individually or in parallel to achieve amplitude correction of a distorted propagated RF signal over a  
10 wide range of applications.

In accordance with the present invention, phase correction may be accomplished in three modes:

1. utilization of amplitude-control array 23 and a local oscillator beam 28 which does not undergo  
15 phase control through array 33;

2. amplitude control of the local oscillator beam 28 by utilization of array 33 and no utilization of an amplitude-control array 23 at the Fourier plane 22; and

20 3. utilization of amplitude-control arrays 23 and 33.

Although the present invention illustrates a single pass device, if additional amplitude correction is required, multiple passes through the amplitude control  
25 array 23 may be accomplished by a recursive technique which may typically utilize mirrors (not shown) for achieving multiple passes.

Although the present invention has been described for RF signals, it is equally applicable to amplitude  
30 equalizing frequency components of other multi-frequency



signals, regardless of the medium through which they propagate and encounter distortion.

Amplitude equalization of signal frequency components may be achieved by modifying the frequency components of the signal at the Fourier plane; additional amplitude equalization being possible by modifying the local oscillator beam. The means for so modifying the amplitude of individual frequency components is by utilizing arrays of birefringent elements as disclosed in our copending patent application entitled METHOD AND APPARATUS FOR OPTICAL RF PHASE EQUALIZATION.

It should be understood that the invention is not limited to the exact details of construction shown and described herein, for obvious modifications will occur to persons skilled in the art.

CLAIMS

We claim:

1. A circuit for performing amplitude equalization on frequency components of an electrical signal, the circuit comprising:

5 means for modulating a coherent light beam with a multifrequency electrical input signal to form an image;

means for forming a Fourier plane and spatially distributing the frequency components of the image on the plane;

10 means located in the Fourier plane for controlling optical filtering at points in the plane thereby adjusting the amplitude of each distributed frequency component to form a transformed image; and

15 means for detecting the transformed image and forming an amplitude-equalized electrical output therefrom.

2. The circuit set forth in claim 1 wherein the modulating means comprises an acousto-optical modulator having:

5 an optical input communicating with a source of coherent light; and

an electrical input terminal connected to the input signal.

3. The circuit set forth in claim 1 wherein the Fourier plane-forming means comprises:

a Fourier lens positioned forwardly of the plane; and

5 an inverse Fourier lens positioned rearwardly of the plane.

4. The circuit set forth in claim 1 wherein the controlling means comprises:

an array of elements for selectively varying the optical filtering of each spatially distributed frequency component.

5. The circuit set forth in claim 1 wherein the detecting means comprises:

optical means for combining the transformed image and a local oscillator light beam for down-converting the transformed image; and

a photodetector for changing the down converted image to an electrical signal which is amplitude equalized.

6. The circuit set forth in claim 2 wherein the controlling means has an array of elements for selectively varying the optical filtering of each spatially distributed frequency component; and

5 further wherein the detecting means includes:

optical means for combining the transformed image and a local oscillator light beam for down-converting the transformed image, the local oscillator light beam being produced by a second array of elements for selectively varying the filtering of respective sections of the local

10

oscillator light beam as the beam impinges upon the elements, thereby achieving amplitude control of the local oscillator beam sections; and

15 a photodetector for changing the down converted image to an electrical signal which is amplitude equalized.

7. A circuit for performing amplitude equalization on frequency components of an electrical signal, the circuit comprising:

5 means for modulating a coherent light beam with a multifrequency electrical input signal to form an image;

means for forming a Fourier plane and spatially distributing the frequency components of the image on the plane;

10 means for combining the spatially distributed image with a local oscillator light beam for down converting the image;

photodetector means for changing the down converted image to an electrical signal which is amplitude equalized;

15 the local oscillator light beam being produced by means for selectively varying the optical filtering of different sections of the local oscillator light beam thereby effecting selective amplitude control of the local oscillator beam sections.

8. An optical array for selectively optically filtering at points on a Fourier plane upon which discrete frequency components of an image are distributed, the array comprising:

5 adjacent filtering elements respectively located at the frequency component points; and

means for selectively controlling filtering of each element.

9. A method for performing amplitude equalization on frequency components of an electrical signal, the circuit comprising:

5 modulating a coherent light beam with a multifrequency electrical input signal to form an image;

forming a Fourier plane and spatially distributing the frequency components of the image;

10 controlling the optical filtering at points on the plane thereby adjusting the amplitude of each frequency component and forming a transformed image; and

detecting the transformed image and forming an amplitude-equalized electrical output therefrom.

10. The method set forth in claim 9 wherein controlling the filtering at each point on the plane includes the step of selectively changing the optical filtering at points on the plane.

11. The method set forth in claim 9 wherein detecting the transformed image includes the steps:

5 combining the transformed image and a local oscillator light beam for down converting the transformed image; and

changing the down-converted image to an electrical signal which is amplitude equalized;

the local oscillator light beam produced by selectively varying the optical path of respective sections of the local oscillator light beam thereby achieving amplitude control of the oscillator beam sections; and

detecting the down-converted image to produce a corresponding electrical signal which is amplitude equalized.

12. A method for performing amplitude equalization on frequency components of an electrical signal, the circuit comprising:

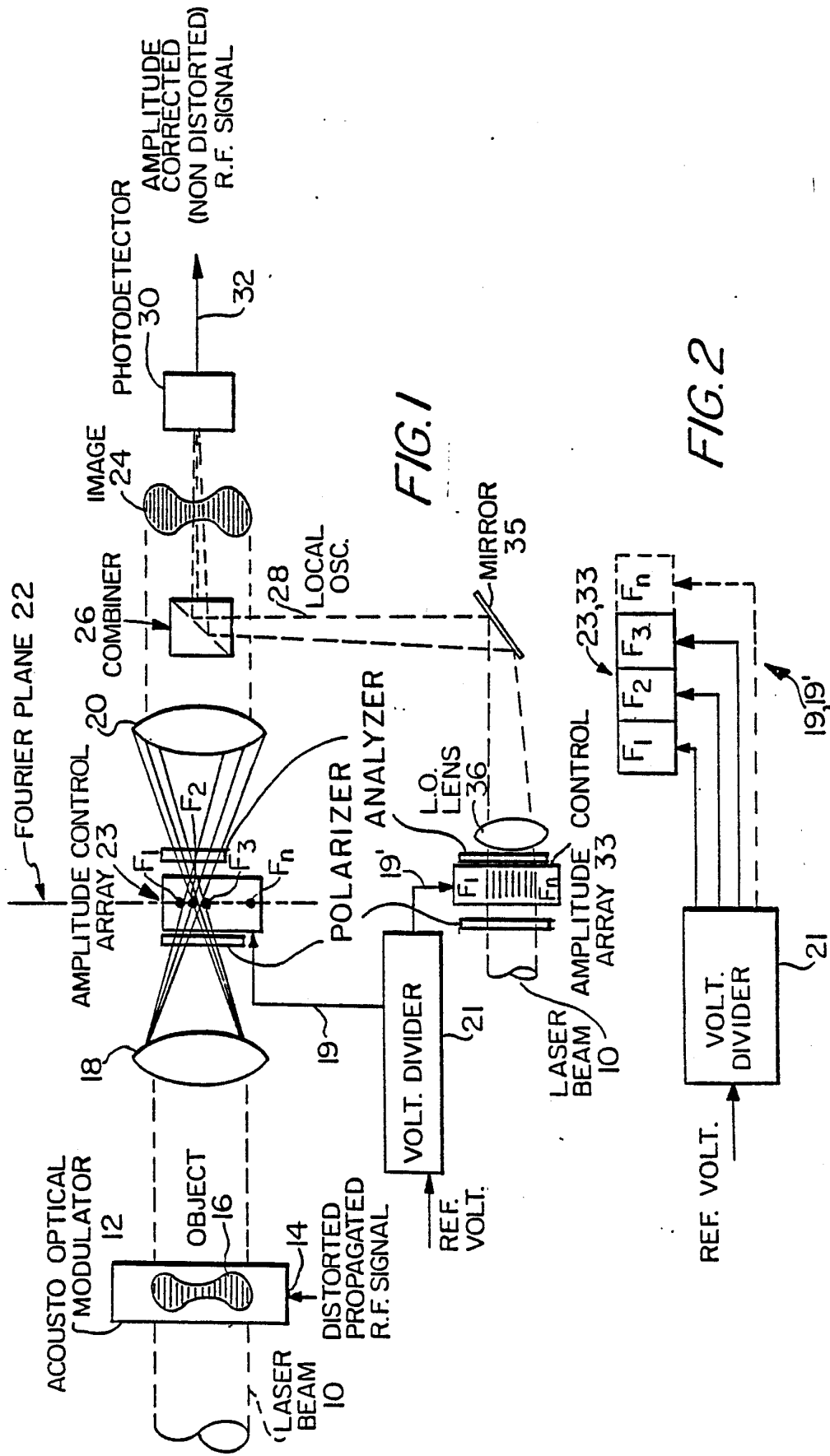
modulating a coherent light beam with a multifrequency electrical input signal to form an image;

forming a Fourier plane and spatially distributing the frequency components of the image;

combining the spatially distributed image with a local oscillator light beam for down converting the image; and

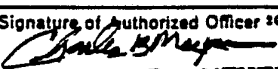
changing the down-converted image to an electrical signal which is amplitude equalized;

the local oscillator light beam produced by -  
selectively varying the optical filtering of respective sections of the local oscillator light beam thereby achieving amplitude control of the local oscillator beam sections.



# INTERNATIONAL SEARCH REPORT

International Application No PCT/US87/00951

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>1</sup>		
According to: International Patent Classification (IPC) or to both National Classification and IPC		
IPC: <del>G06f 7/02</del> and G06f 9/00 US: 364/807 350/335 <del>G02f 1/01, 1/13</del>		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
U.S.	364/807, 602, 822; 324/77K; 350/358, 162.12; 332/31R,	
IPC	G06f 7/02, 9/00; H03c 1/00, 3/00; G02f 1/01, 1/13 16R; 350/335	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>6</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>8</sup>	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
A	US, A, 4,445,141 (BENTON ET AL) 24 April 1984 See entire document.	1-7, 9-12
A	US, A, 4,460,250 (FREYRE ET AL) 17 July 1984 See abstract.	1-7, 9-12
A	US, A, 4,448,494 (FREYRE) 15 May 1984 See abstract.	1-7, 9-12
A	US, A, 4,503,388 (ZEHL ET AL) 5 March 1985 See Column 1, lines 1-51.	1-7, 9-12
Y	US, A, 4,522,466 (Lindig et al) 11 June 1985 See Column 2, lines 8-68 and Column 3, lines	<u>8</u>
A	1-22. See also Figure 4, item 114.	1-7, 9-12
T	US, A, 4,633,170 (BURNS) 30 December 1986 See Column 2, lines 10-36.	1-7, 9-12
T	US, A, 4,636,718 (LABRUM ET AL) 13 January 1987, see abstract.	1-7, 9-12
Y	US, A, 4,066,333 (DARGENT ET AL) 3 January 1978 Column 14, lines 20-57.	8
<p><sup>9</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION:</b>		
Date of the Actual Completion of the International Search <sup>2</sup>	Date of Mailing of this International Search Report <sup>3</sup>	
16 July 1987	29 JUL 1987	
International Searching Authority <sup>1</sup>	Signature of Authorized Officer <sup>20</sup>	
ISA/US	 CHARLES B. MEYER	



## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V.  OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>10</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1.  Claim numbers \_\_\_\_\_, because they relate to subject matter <sup>12</sup> not required to be searched by this Authority, namely:

2.  Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out <sup>13</sup>, specifically:

VI.  OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>14</sup>

This International Searching Authority found multiple inventions in this international application as follows:

Invention I Claims 1-7 and Claims 9-12 directed to an apparatus and method for performing amplitude equalization.

Invention II Claim 8 directed to an optical array for filtering points on an optical plane.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4.  As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- The additional search fees were accompanied by applicant's protest.  
 No protest accompanied the payment of additional search fees.