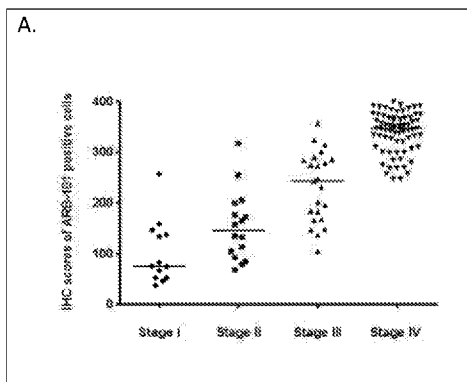




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(54) **Title:** COMPOSITIONS AND METHODS FOR DIAGNOSIS AND TREATMENT OF CANCER

FIGURE 1. The characterization of CDH17 expression in CRC patient samples by counting CDH17 positive immunohistochemical staining (A) and CDH17 specific plasma marker units (B). Tumor stages were defined by tumor stage classification, TNM (tumor-nodule-metastasis).



(57) **Abstract:** A method for diagnosing CDH17 positive tumor cells and cancer in a subject is disclosed, including but not limited to, the steps of obtaining a sample from the subject; contacting the sample with a capturing antibody to provide a captured sample; contacting the captured sample with a detecting antibody or lipid nanoprobe (LNP) to provide a detecting sample; determining the amount of the detecting antibody or LNP in the detecting sample; and based on the amount of the detecting antibody or LNP, determining the probability of a subject possessing a tumor.



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## COMPOSITIONS AND METHODS FOR DIAGNOSIS AND TREATMENT OF CANCER

### CROSS REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of the filing date of U.S. Provisional Application Ser. No. 62/672,319 filed May 16, 2018 under 35 U.S.C. 119(e), the entire disclosures of which are incorporated by reference herein.

### TECHNICAL FIELD

[0001] The present disclosure relates in general to the field of cancer diagnosis, and more specifically to reagent and method of diagnosing CDH17-positive cancer.

### BACKGROUND

[0002] Gastrointestinal (GI) cancers are leading causes of morbidity and mortality worldwide. Colorectal carcinoma (CRC) alone represents approximately 10% of all cancer diagnosis and is the second leading cause of cancer deaths world-wide (Verdaguer 2017). Early detection of localized tumors and ideally in stage 1, can enable curative surgery for most tumors (Siegel 2017). Conventional blood-based tumor marker assays such as CEA and CA19-9 lack the sensitivity and specificity required for early detection of GI cancers (Lech 2016). Although non-invasive blood tests and liquid biopsies (to analyze circulating tumor DNA or ctDNA) have progressed recently, there remains a need to accurately detect and stage a greater percentage of GI cancers, especially those at early stages. For example, a very recent blood test for plasma protein and ctDNA markers, CancerSEEK, has increased the percentage of cancers detected (Cohen 2018). However only around 40% of stage I cancers are detected (20% for esophageal). In general, detecting cancer at early stages by liquid biopsy remains difficult as these tumors do not appear to release a sufficient amount of ctDNA into plasma despite the use of extremely sensitive techniques (Bettegowda 2014, Cohen 2017). Other approved tests, such as biopsy or colonoscopy, are invasive and tissue for biopsy is not always accessible over the course of clinical care. Thus, there is an unequivocal need for a better and more sensitive blood-based biomarker assays to enable early detection of GI cancers.

### SUMMARY

[0003] The following summary is illustrative only and is not intended to be in any way limiting. In addition to the illustrative aspects, embodiments, and features described above, further aspects, embodiments, and features will become apparent by reference to the drawings and the following detailed description.

[0004] The present disclosure provides methods for diagnosing a tumor in a subject. In one embodiment, the method includes the steps of obtaining a sample from the subject; contacting the sample with a capturing antibody to provide a captured sample; contacting the captured sample with a detecting antibody or lipid nanoprobe (LNP) to provide a detecting sample; determining the amount of the detecting antibody or LNP in the detecting sample; and based on the amount of the detecting antibody or LNP, determining the probability of a subject possessing a tumor. The capturing antibody may include an anti-CDH17 monoclonal antibody. The anti-

CD17 monoclonal antibody may have highly specific binding activity to an exosome, microvesicle, or soluble CDH17 fragment. In one embodiment, the capturing antibody may be a monoclonal antibody having a binding activity to CD9, CD63, CD81, CD45 or a combination thereof. In one embodiment, the detecting antibody may include an antibody having affinity to CDH17, TROP2, CD63, CD9, CD81, CD45, a tumor marker, a tissue marker antibody, or a combination thereof.

**[0005]** In one embodiment, the steps in the method may be in any order. In one embodiment, the steps in the method may be sequential. In one embodiment, two or more steps in the methods may be carried simultaneously. In one embodiment, two or more steps in the methods may happen in one reaction container.

**[0006]** In at least one embodiment, the method may include the steps of obtaining a sample from the subject; contacting the sample with a capturing antibody to provide a captured sample; contacting the captured sample with a detecting antibody or a novel lipid based nanoprobe (LNP) to provide a detecting sample; determining the amount of the detecting antibody or LNP in the detecting sample; and based on the amount of the detecting antibody or LNP, determining the probability of a subject possessing a tumor.

**[0007]** In at least one embodiment, the method includes the steps of obtaining a sample from the subject; contacting the sample with a capturing antibody to provide a captured sample; determining the amount of captured sample; and based on the amount of captured sample, determining the probability of a subject possessing a tumor.

**[0008]** In at least one embodiment, the method includes the steps of obtaining a sample from the subject; labeling the sample with a florescent DNA/RNA stain to provide a labeled sample; contacting the labeled sample with a capturing antibody to provide a captured sample; determine the amount of captured sample; and based on the amount of captured sample, determining the probability of a subject possessing a tumor.

**[0009]** In at least one embodiment, the capturing antibody may include a capturing anti-CDH17 monoclonal antibody. In at least one embodiment, the capturing antibody may include a monoclonal antibody having a binding activity to an exosome, microvesicle or soluble CDH17 fragment. In one embodiment, the capturing antibody may have a binding affinity to CDH17 or a fragment thereof.

**[0010]** In at least one embodiment, the capturing antibody may include a monoclonal antibody having a binding activity to CD9, CD63, CD81, CD45 or a combination thereof.

**[0011]** In at least one embodiment, the detecting antibody may include an antibody having a binding affinity to CDH17, TROP2, CD63, CD9, CD81, CD45, a tumor marker, a tissue marker, or a combination thereof.

**[0012]** In at least one embodiment, the detecting step is carried out by using a novel lipid based nanoprobe (LNP).

**[0013]** In at least one embodiment, the tumor is a CD17 positive tumor. In one embodiment, the tumor includes a cancer of the gastrointestinal system. In at least one embodiment, the tumor includes a colon cancer.

**[0014]** In at least one embodiment, the sample includes a bodily fluid. In one embodiment, the bodily fluid comprises blood.

**[0015]** The disclosure further provides methods for assay development. In one embodiment, three platforms were developed and used for a comparison of the most robust assay, including proximity luminescence, ELISA, and flow cytofluorometric analysis. CDH17 capture and detection

antibodies are used to screen from a large panel of anti-CDH17 antibodies for one or more optimized combinations for the highest level of sensitivity. To increase the sensitivity of any diagnostic assay further, functionally orientated recombinant CDH17-capturing antibodies were generated. In one embodiment, the efficiency of a novel lipid based nanoprobe (LNP) was developed and compared with above-mentioned assays for capturing and detection of CDH17 EV. In one embodiment, assays were developed for detecting and quantification of the levels of cCDH17, CDH17 EV, and total blood CDH17, respectively.

**[0016]** In one embodiment, the application provides methods for screening and diagnosing biological samples from patients. A large panel of patients and normal blood samples (plasma/sera) were diagnosed and compared using the novel and optimized assays described herein. In one embodiment, blood samples from patients with gastroenteritis, pancreatitis, and inflammatory bowel disease (IBD) were tested to determine if CDH17 in blood increases in non-cancer inflammatory diseases involving GI tissue. In one embodiment, the cancer being diagnosed is colorectal cancer (CRC). In one embodiment, the endpoint for clinical sample validation was the demonstration of a statistically significant increase in sCDH17, CDH17 EV or total CDH17 in GI patient blood. In another embodiment, endpoints include the demonstration of a significant increase in CDH17 blood levels with increasing tumor stages and/or any decrease with post-treatment.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

**[0017]** The foregoing and other features of this disclosure will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. Understanding that these drawings depict only several embodiments arranged in accordance with the disclosure and are, therefore, not to be considered limiting of its scope, the disclosure will be described with additional specificity and detail through use of the accompanying drawings, in which:

FIGURE 1 depicts the characterization of CDH17 expression in the samples from CRC patients at stage I-IV by counting CDH17 positive immunohistochemical staining (A) and CDH17 specific plasma marker units (B);

FIGURE 2 depicts the measurement of CDH17 protein concentration in the serum samples from CRC patients at stage I-III;

FIGURE 3 illustrates that the level of CDH17 positive circulating tumor cells (CTC) in individual CRC patients increases with tumor stage and decreases 5 days post-surgery with sample slides from a CRC patient blood specimen;

FIGURE 4 depicts the expression of CDH17 on exosomes purified by ultracentrifugation from tumor cell line culture media;

FIGURE 5 illustrates the concentration of CDH17 in cancer cell culture media (A) and in CRC plasma (B) by ELISA;

FIGURE 6 illustrates three assay platforms, fluorescent ELISA, flow cytometry, and proximity luminescence, to quantitate CDH17 EVs in liquid samples (A, B, and C); captured CDH17 EVs (D, E, and F); and other proteins on CDH17 EVs (G, H, and I);

FIGURE 7 reveals examples of CDH17 monoclonal antibodies specific for different CDH17 ectodomains; and

FIGURE 8 depicts the standardization and sensitivity of assays for quantifying captured CDH17 by flow cytometry (upper) and/or ELISA (lower). The standard curve may be established by using recombinant CDH17 in the form of either captured on beads or wells coated with one or more CDH17 monoclonal antibodies. The detection agents include detecting antibodies, such as a different CH17 monoclonal antibody. The sensitivity of assays is about 400 to 500 pg/mL.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

**[0018]** In the following detailed description, reference is made to the accompanying drawings, which form a part hereof. In the drawings, similar symbols typically identify similar components, unless context dictates otherwise. The illustrative embodiments described in the detailed description, drawings, and claims are not meant to be limiting. Other embodiments may be utilized, and other changes may be made, without departing from the spirit or scope of the subject matter presented herein. It will be readily understood that the aspects of the present disclosure, as generally described herein, and illustrated in the Figures, can be arranged, substituted, combined, separated, and designed in a wide variety of different configurations, all of which are explicitly contemplated herein.

**[0019]** This disclosure is generally drawn, inter alia, to compositions and methods related to cancer diagnosis.

**[0020]** CDH17 is an oncogene and cell adhesion membrane protein with restricted expression in normal GI tissue (Liu 2009, Wang 2013). CDH17 is expressed at high levels and in a high percentage of tumors in patients with colorectal carcinoma (>95%), gastric adenocarcinoma (90%) and esophageal adenocarcinoma (82%) (Altree-Tacha 2017; Ordonez 2014; Matsusaka 2016; Panarelli 2012; Su 2008). The level of CDH17 expression as measured by a cDNA microarray seems to be increased in precancerous tissue, such as pre-gastric cancer intestinal metaplasia (IM) and spasmolytic polypeptide-expressing metaplasia (SPEM) (Lee HJ et al 2010). However, there is currently no quantification of levels of CDH17 expression in their association with the types and/or stages of GI tumors. As a result of extensive research, this disclosure provides, among others, compositions, reagents and methods for quantifying CDH17 expressions in tumors with surprising accuracy and sensitivity.

**[0021]** CDH17 is expressed at high levels in different types of GI cancer. Using the cancer genome atlas (TCGA) RNA sequencing data (RNA Seq V2), the level of CDH17 expression in different types of malignancies can be ranked from low to high. The high levels of CDH17 expression is associated with GI cancers, including without limitation, colorectal, gastric, pancreatic, and esophageal cancer. In addition, the level of CDH17 expression is found to be high in papillary renal cell carcinoma (PRCC) and cholangiocarcinoma.

**[0022]** The expression of CDH17 in the majority of GI cancer can be determined by immunohistochemistry (IHC). Approximately 100% of colorectal, 90% of gastric adenocarcinoma and 82% of esophageal adenocarcinoma express CDH17. A correlation between the level of CDH17 expression in CRC and the cancer stage I to IV is shown in Figure 1-3.

**[0023]** The present disclosure relates to development of a sensitive and specific assay for CDH17 in blood. In one embodiment, the assay (s) disclosed herein are useful for clinical sample validation. Both cancer cell culture media and patient blood samples were used for development, validation, and optimization of assays. Both sCDH17 and CDH17EV were readily detectable from cultured cancer cell media (Figure 4-5). However, the cleaved forms of sCDH17 and potentially

CDH17 on EV membranes in cancer cell culture media or patient blood could be different, namely, partial CDH17 comprising one or more but not all epitopes. Thus, the emphasis is placed on identifying an array of antibodies that can capture all forms of CDH17 from patient samples.

**[0024]** Three platforms, proximity based chemiluminescence, ELISA and flow cytometry as shown in Figure 6, were compared to develop assays with the greatest sensitivity and dynamic range for sCDH17, CDH17EV, and total CDH17. Proximity luminescence has an advantage with a shorter assay time due to fewer steps. It also can enable very sensitive assays requiring very small analyte volumes (Yoshioka 2014). The assay captured sCDH17 and/or CDH17EV via immobilized CDH17 antibodies or LNP (specific for EV). Captured CDH17 were measured using functionally orientated non-competitive CDH17 antibodies (Figure 8) or LNP (specifically detecting EV). An assay involving the purification of EV are avoided as this is challenging in clinical settings due to variable yield, processing time and costs (Contreras-Naranjo 2017). The most robust assay(s) are useful for clinical sample validation. In one embodiment, the assay developed herein quantitates CDH17 per volume of plasma/sera. The assay may serve as an early screen. Additionally, the assay may further incorporate analysis of EV DNA or RNA for relevant gene mutations and assessment of the CDH17 EV membrane proteins for tissue of origin.

**[0025]** The major steps for analytical validation include:

**[0026]** (A) *Identify CDH17 antibodies to be used for efficient capture and detection of CDH17.*

**[0027]** Capture antibodies. Over 400 CDH17 monoclonal antibodies were screened for their ability to capture sCDH17, CDH17 EV and total CDH17 from cancer cell culture media. Normal blood (sera/plasma) and positive patient blood were used for measuring the concentration of CDH17 in an ELISA format (Figure 2). In addition, polyclonal antibodies and LNP may be used to capture CDH17EV. Cancer cell lines included CDH17 positive CRC (SNU-C1) and PDAC (AsCP1) lines, as well as CDH17 negative cell lines, such as SW480 and Jurkat (Figure 4 and 5). Capture antibodies or LNP were immobilized to microtiter plate wells (Figure 6). To specifically measure captured sCDH17, EVs can be removed by using centrifugal filtration with a 300kDa mwco filter (CDH17 = 120kDa). To specifically measure captured CDH17EV, the washed and filtered EVs were used. As an alternative approach, captured CDH17EV were specifically measured using LNP as illustrated in Figure 6. Captured EVs were measured by using antibodies specific to an exosome marker, such as CD63 and CD9, and/or for other EV membrane proteins not known to directly bind CDH17 (such as TROP-2) or by pre-labelling EV with a cell permeant DNA/RNA stain, such as SYTO-13. After identification of the most efficient individual capture antibodies, such as ARB101, ARB102, and 9C6 (SEQ ID No.1-6), combinations of capture antibodies were tested in order to identify a combination with greater capture efficiency so that the sensitivity of the assay may be improved and optimized. Unique forms of CDH17 in patients' blood samples may be characterized by immunoblot and immunohistochemistry analyses (Figure 3, low panel), whereas captured peptides may be characterized by mass spectrometry.

**[0028]** Detection antibodies. CDH17 antibodies were screened for the most sensitive detection of captured sCDH17 and CDH17EV. Using purified soluble, recombinant CDH17-Fc or CDH17his as a standard, the sensitivity of the assays at various stages of development can be determined as shown in Figure 8. The target sensitivity for the assay is approximately 500 pg/ml or lower. Candidate capturing and detecting antibodies were among those with epitopes mapped to one or more CDH17 ectodomains, as shown in Figure 7. These and additional epitope mapped antibodies are used to approximate the cleavage sites in sCDH17 and potentially on CDH17EV.

[0029] (B) *Sample processing; comparison of serum versus plasma.* Sets of serum and plasma samples collected from the same patient ( $n \geq 10$ ) were assayed for sCDH17 and CDH17EV to determine if one method of sample collection allows for greater CDH17 yield/detection.

[0030] (C) *Generate recombinant CDH17 capture antibodies to increase assay efficiency.* Recombinant CDH17 was generated to characterize capture antibodies in order to increase the efficiency of these assays. To further increase capture efficiency and sensitivity, selected capture antibodies were converted to a modified recombinant probe to enable greater flexibility and functional orientation of the antibodies on substrates. On the other hands, detecting antibodies may also incorporate at least one Avi-tag for biotinylation and high affinity binding to HRP-streptavidin, or a fluorophore-streptavidin conjugate. Depending on the affinity of a key assay antibody, affinity maturation may be considered.

### **EXAMPLES**

#### **Example 1. Methods for sample preparation and characterization**

[0031] Exosomes were purified from culture media of CDH17 positive CRC (SNUC1) and PDAC(AsPC1) cell lines by standard differential ultracentrifugation (Bow2012). For protein detection, 10ug of soluble exosome protein was loaded into an SDS-PAGE gel, blotted and probed with CDH17 and CD63 antibodies. For characterizing exosome, polystyrene beads (10 micron) were coated with a humanized CDH17 antibody (mh10C12) or hIgG and incubated with cell-free tumor culture media. The beads were washed and then stained with a mouse CDH17 antibody (7C5) or a CD63 antibody and anti-mIgAlex647. The antibody against exosome marker CD63 may detect 50% of CDH17 EV as it is not a marker for microvesicles. For conducting CDH17 ELISAs of cell-free media from tumor cell lines, SNUC1 culture media was passed through a 100kDa mwco filter and tested for the level of CDH17.

[0032] Normal or CRC plasma samples and soluble CDH17 (1ug/ml) were incubated with beads coated with a humanized CDH17 antibody or a CD68 antibody, washed and stained with a non-competitive mouse CDH17 antibody. Normal or CRC plasma samples were incubated in wells coated with a CDH17 polyclonal or a pool of three humanized CDH17 mAb, and then probed with a mouse CDH17 mAb. In some samples, CDH17 was readily captured by the polyclonal antibody. This finding indicates that the nature of CDH17 antibody plays an important role in the quality of any diagnostic method for assaying CDH17 in patient's samples or cancer cell cultures.

[0033] To increase the efficiency of capturing EVs, selected recombinant CDH17 antibodies were generated that are uniformly and functionally orientated toward the analyte. This were accomplished through site specific biotinylation of a C-terminal peptide tag (AviTag; Avidity LLC) to enable C-terminal binding to a neutravidin coated substrate. The high affinity CDH17 antibodies were anchored via a flexible linker to facilitate rapid and high avidity binding. LNP possesses a diacyl lipid (DSPE) that inserts into EV membranes, a polyethylene glycol (PEG) spacer, and a biotin tag. LNP can be bound to various substrates via biotin to capture or detect EV (Wan 2017).

[0034] The measurement of exosomes may be conducted using flow cytofluorometric, ELISA assays, and proximity bioluminescence.

#### **Example 2. Methods for charactering circulating tumor cells and extracellular vesicles.**

[0035] Many methods were employed to quantify CDH17 positive samples, including

histopathology, immunohistochemistry (IHC), ELISA, immunoblotting, immunofluorescence, flow cytometry, and proximity bioluminescence. In a general agreement, the levels of CDH17 seemed to be readily detectable, in particular, the levels of CDH17 positive IHC counts, serum level, or CTC counts increased as the tumor progress through each stage and decreased after surgical treatment (Figure 3). The CTC levels in the early stage of cancer can be very low relative to the circulating exosomes originating from the tumor (Ferreira 2017). Thus, CDH17 exosomes may be released by GI tumor cells, which then became detectable in blood earlier than CTC allowing a more robust assay to detect early GI cancers, which can be used to assist in staging any GI tumors.

**[0036]** It has been reported that CDH17 is released from cultured GI tumor cell lines as an extracellular vesicle membrane protein (Mathivanan S. 2010, Demory B 2013. Xu R 2015). Extracellular vesicles harboring CDH17 (CDH17EV) include both exosomes (30-100nm) and microvesicles (100-1000nm). Indeed, CDH17EV were readily detectable in tissue culture media of GI cancer cells as shown in Figure 3-5. A soluble presumably shed form of CDH17 (sCDH17) with molecular weight less than 100kDa was identified by using anti-CDH17 antibody and ELISA. Since an intact CDH17 molecule possesses 7 tertiary ectodomains (Figure 7) and is 120kDa (Figure 4), this sCDH17 in tumor cell media appeared to lack Domain 6 (D6, Figure 7) because it does not bind D6-specific antibodies. A CDH17 greater than 100kDa was also detected in media for GI tumor cells that may be classified as CDH17EV.

**[0037]** The assay analysis using a few plasma samples from normal and CRC patients indicates that patient blood contains both sCDH17 and CDH17EV (Figure 2-3). Typically, a patient's blood may have almost 1ug/ml of CDH17, but the amount of CDH17 in normal blood is close to background. The characterization of either CDH17EV or sCDH17 in a cancer patient's blood indicates that certain antibodies that efficiently capturing CDH17 in media from cultured cancer cells may not capture CDH17 from some patients' blood. Thus, identification of CDH17 antibodies that may efficiently capture all forms of CDH17 in patients' blood is prerequisite to screening patient samples.

**[0038]** Although several studies have previously suggested that tumor associated CDH17 may serve as a useful and early stage biomarker, and yet a CDH17 blood assay has not been developed or validated (Lee 2010, Panarelli 2012). This may be because cleaved forms of CDH17 in patients' blood, both shed and vesicle associated, have not been characterized and appropriate capturing and detecting probes were not available. For diagnostic assay development, a panel of over 400 CDH17 antibodies have been generated with epitopes mapped to all 7 CDH17 ectodomains (see below).

**[0039]** Of normal individuals, the baseline CDH17 in blood may be sub-nanomolar or negligible (Figure 1-3). Normal blood levels for other proposed markers, such as E-Cadherin, can be high and may only demonstrate a 2-fold increase in patients' blood (Weib 2011). The CDH17 assay may be further developed through the use of tissue specific antibodies to phenotype captured EV and allow for determination of the origin of the tumor (Figure 3). The result may be further developed as a prognostic assay to guide treatment with the analysis of mutant tumor genes in captured CDH17EV. For example, KRAS and NRAS codons 12 and 13, BRAF p.V600, miRNA and other tumor driver mutant DNA/RNA in CDH17 exosomes or total EV may be analyzed for prognostic or predictive assessment (Sepulveda 2017, Ogata-Kawata 2014, Hao 2017). Efforts to develop blood based extracellular vesicle (EV) assays has recently increased with the demonstration of their ability to detect tumor associated proteins, DNA and RNA in several

different platforms (Soung 2017). Finally, an assay for CDH17 blood levels will also serve as a pharmacodynamic marker for any clinical studies targeting CDH17.

**[0040]** Currently, no blood-based assays are available for measuring the level of CDH17 in serum or cell culture. The obstacle may be due to lack of high affinity epitope mapped CDH17 antibodies, which may be essential for quantifying the levels of sCDH17, CDH17EV, and total CDH17 with exquisite sensitivity. Alternative to such antibodies, novel lipid nanoprobe (LNP) (Wan 2017; Figure 7) may be considered as an integrate part for capturing and detecting CDH17EV. Such an assay includes a novel modified recombinant CDH17 antibody to enable more efficient sCDH17 binding and high avidity capture of circulating CDH17EV from serum/plasma (Figure 2-3). Moreover, the assay may be further developed to identify CDH17EV tissue of origin, and gene mutations to help select current and emerging targeted therapies for GI cancer patients.

**Example 3. The CDH17EV assay platform**

**[0041]** To quantitate CDH17 EV relative to the total population of EV, EV will be captured by LNP as shown in Figure 3. The level of CDH17 will then be quantitated using a specific and high affinity CDH17 antibody and its secondary reagents, such as anti-Ig peroxidase (ELISA), anti-Ig phycoerythrin (flow cytometry), or a CDH17 antibody conjugated bead (proximity luminescence). To quantitate captured CDH17EVs, EV will be bound to a CDH17 antibody that binds a distinct non-overlapping epitope (CDH17 mAb2) (Figure 3). These two methods each displayed comparative advantages for quantitating CDH17EV. The first method uses the LNP probe and a secondary reagent, such as streptavidin peroxidase (SA-HRP; ELISA), streptavidin phycoerythrin (SA-PE; flow cytometry), or streptavidin conjugated bead (proximity luminescence). The second method uses CDH17 mAb2 as its first line of Ab and the secondary detection reagents as described. To quantitate other proteins on CDH17 EV, CDH17 EV will be captured with a humanized CDH17 specific antibody (huCDH17 mAb). Mouse antibodies specific for the antigens (e.g. TROP2) will be allowed to bind. Their binding will be detected using anti-mouse IgHRP (ELISA), or anti-mouse-IgPE (flow cytometry). For proximity luminescence CDH17 can be captured with CDH17 mAb2 coupled bead and the second protein detected with a protein-A/G bead (proximity luminescence).

**Example 4. Select assay platforms and protocols for clinical sample validation.**

**[0042]** Following selection of optimal capturing and detecting antibodies in the ELISA, antibodies and LNP were used in the proximity luminescence and flow cytometry platforms. Each of the three platforms was applied to compare cancer cell culture media, positive blood samples, normal blood samples, and recombinant soluble CDH17. One or two platforms were selected for clinical sample validation assays depending on their performance, i.e. sensitivity, stability, reproducibility. Sensitivity of non-optimized assays was close to 400pg/ml. The target criteria for assay validation includes high sensitivity ( $\leq 20$  pg/ml), specificity ( $>50$ -fold relative to normal sera), reproducibility, dynamic range (over 4 logs), high throughput and minimal time to perform (1-2 hours).

**[0043]** The primary endpoint of clinical sample validation is to have a statistically significant value that differentiates an increase in the level of sCDH17, CDH17 EV or total CDH17 in blood samples from GI cancer patients, such as a significant increase in CDH17 blood levels, change of tumor stages, and a significant decrease after treatment (Figure 3). It is anticipated that there is constant need to optimize the standards for sCDH17, CDH17 EV and total CDH17. In this context,

more than one assay platform may be employed to ensure a robust assay result for each blood sample.

**[0044]** The present disclosure is not to be limited in terms of the particular embodiments described in this application, which are intended as illustrations of various aspects. Many modifications and variations may be made without departing from its spirit and scope, as will be apparent to those skilled in the art. Functionally equivalent methods and apparatuses within the scope of the disclosure, in addition to those enumerated herein, will be apparent to those skilled in the art from the foregoing descriptions. Such modifications and variations are intended to fall within the scope of the appended claims. The present disclosure is to be limited only by the terms of the appended claims, along with the full scope of equivalents to which such claims are entitled. It is to be understood that this disclosure is not limited to particular methods, reagents, compounds, compositions or biological systems, which may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting.

**[0045]** While the disclosure has been particularly shown and described as referenced to the embodiments thereof, those skilled in the art will understand that the foregoing and other changes in form and detail may be made therein without departing from the spirit and scope of the disclosure.

**TABLES**

Table 1. Characteristic reagents for assaying CDH17 positive EV

EV capturing antibody	EV detecting antibody
First CDH17 Ab, e.g. ARB101 (SEQ ID No:1, SEQ ID No:2), ARB102 (SEQ ID No:3, SEQ ID No:4),	Second CDH17 Ab, e.g. 7C5, 5F6 (D6), 9C6 (D6, SEQ ID No:5, SEQ ID No:6) pre-labeled (or post) EV using membrane, DNA/RNA or protein dye
First CDH17 Ab, e.g. ARB101 (SEQ ID No:1, SEQ ID No:2), ARB102 (SEQ ID No:3, SEQ ID No:4)	Second CDH17 Ab, e.g. 7C5, 5F6 (D6), 9C6 (D6, SEQ ID No:5, SEQ ID No:6) LNP-biotin-streptavidin-conjugated to HRP, fluorescent dye, etc.
First CDH17 Ab, e.g. ARB101 (SEQ ID No:1, SEQ ID No:2), ARB102 (SEQ ID No:3, SEQ ID No:4)	Second CDH17 Ab, e.g. 7C5, 5F6 (D6), 9C6 (D6, SEQ ID No:5, SEQ ID No:6) Antibody specific for broad tumor associated antigen, e.g. EGFR Antibody specific for vesicles e.g. CD63 Antibody specific for tissue types

**SEQUENCE LISTING**

Examples of CDH17 capturing and detecting antibodies:

SEQ ID NO:1

Humanized amino acid sequences of Lic3 variable heavy chain domain (ARB101, CDH17 capturing)

DIVLTQTPLSLTVSLGDQASISCR**RSSQSIVHSNGNTYLG**WYLQRPGQSPKLLIY**KVSNRFS**GVPDFRFSGSGSGTDFTLKISRVEAE  
DLGVYYC**FQGS HVPLT**FGAGTKLELKRAD

SEQ ID NO:2

Humanized amino acid sequences of Lic3 variable light chain domain (ARB101, CDH17 capturing)

QVQLQESGGGLVKPGGSLKLSAASGFSS**DYYMY**WVRQAPEKRLEWVA**SISFDGTYTYTDRVKG**RFTISRDNKNNLYLQ  
MSSLKSEDTAMYYCAR**DRPAWFPY**WGQGLTVTSA

SEQ ID NO:3

Humanized amino acid sequences of 10C12 (CDH17) variable heavy domain (ARB102, CDH17 capturing)

EVQLVESGGGLVQPGGSLRLSAAASGFTFSSYAM**SWVRQTPGKLEWVAVIDSNGGSTYYPDTV**KDRFTISRDNKNTLYLQ  
MNSLRAEDTAVYYC**SSYTNLGAY**WGQGLTVTSA

SEQ ID NO:4

Humanized amino acid sequences of 10C12 (CDH17) variable light domain (ARB102, CDH17 capturing)

DIQMTQSPSSLSASVGRVTITCR**ASQDISGYLNWLQ**KPGGAIKRLIY**TTSTLDS**GVPKRFSGSGSGTDFTLTISLQSEDFATY  
YCLQYASSPFTFGGGTKVEIK

SEQ ID NO:5 (7)

Humanized amino acid sequences of 9C6 (CDH17) variable heavy domain (CDH17 detecting)

QVQLVQSGAEVKKPGASVKVSVCKVSGYTFTHYWMHWVRQRPKGLEWMGEIDPFDSYTYNQKFKGRVTMTVDTSSDTA  
YMESSLRSEDTAVYYCARPLPGTGWYFDVWGQGTTVTVSS

SEQ ID NO:6 (8)

Humanized amino acid sequences of 9C6 (CDH17) variable light domain (CDH17 detecting)

EIVLTQSPPTLSLSPGERATLSCSASSISSTYLHWYQQKPGFPPRLLIYGTNSLASGIPACFSGSGSGTDFTLTISLEAEDFAVYYCQQG  
SSLPFTFGQGTKLEIK

## CLAIMS

*What is claimed is:*

1. A method for diagnosing a CDH17 positive tumor in a subject, said method comprising:
  - contacting a sample from the subject with a capturing antibody to provide a captured sample, wherein the capturing antibody has a binding affinity to an exosome, microvesicle or soluble CDH17 fragment;
  - contacting the captured sample with a detecting antibody or lipid nanoprobe (LNP) to provide a detecting sample;
  - determining the amount of the detecting antibody or lipid nanoprobe (LNP) in the detecting sample; and
  - determining the probability of the subject carrying the CDH17 positive tumor based on the amount of the detecting antibody or LNP.
  
2. A method for diagnosing a CDH17 positive tumor in a subject, said method comprising:
  - contacting a sample from the subject with a capturing antibody to provide a captured sample, wherein the capturing antibody has a binding affinity to an exosome, microvesicle or soluble CDH17 fragment;
  - determining the amount of captured sample; and
  - determining the probability of a subject having the CDH17 positive tumor based on the amount of captured sample.
  
3. A method for diagnosing a CDH17 positive tumor in a subject, said method comprising:
  - labeling a sample from the subject with a florescent DNA/RNA stain to provide a labeled sample;
  - contacting the labeled sample with a capturing antibody to provide a captured sample, wherein the capturing antibody has a binding affinity to an exosome, microvesicle or soluble CDH17 fragment;
  - determine the amount of captured sample; and
  - determining the probability of a subject having the CDH17 positive tumor based on the amount of captured sample.
  
4. The method of Claims 1-3, wherein the capturing antibody comprises a monoclonal antibody having a binding affinity to CDH17.
  
5. The method of Claim 1-3, wherein the capturing antibody comprises an amino acid sequence having at least 70% homology with SEQ ID NO. 1-6.
  
6. The method of Claim 1, wherein the capturing antibody comprises a monoclonal antibody having a binding affinity to CD9, CD63, CD81, CD45 or a combination thereof.
  
7. The method of Claim 1, wherein the detecting antibody comprises an antibody having a binding affinity to CDH17, TROP2, CD63, CD9, CD81, CD45, a tumor marker, a tissue marker,

or a combination thereof.

8. The method of Claim 1, wherein the contacting the captured sample consists of contacting the captured sample with a lipid nanoprobe (LNP).
9. The method of Claims 1-3, wherein said CDH17 positive tumor comprises a cancer of the gastrointestinal system.
10. The method of Claim 9, where the said CDH17 positive tumor comprises a colon cancer.
11. The method of claims 1-3, wherein said sample comprises a bodily fluid.
12. The method of Claim 11, wherein the body fluid comprises peripheral blood, serum, plasma, urine, bone marrow, pleural and peritoneal fluid, or intestinal fluid.
13. The method of claim 12, wherein the volume of said bodily fluid is less than 10 mL.

FIGURE 1. The characterization of CDH17 expression in CRC patient samples by counting CDH17 positive immunohistochemical staining (A) and CDH17 specific plasma marker units (B). Tumor stages were defined by tumor stage classification, TNM (tumor-nodule-metastasis).

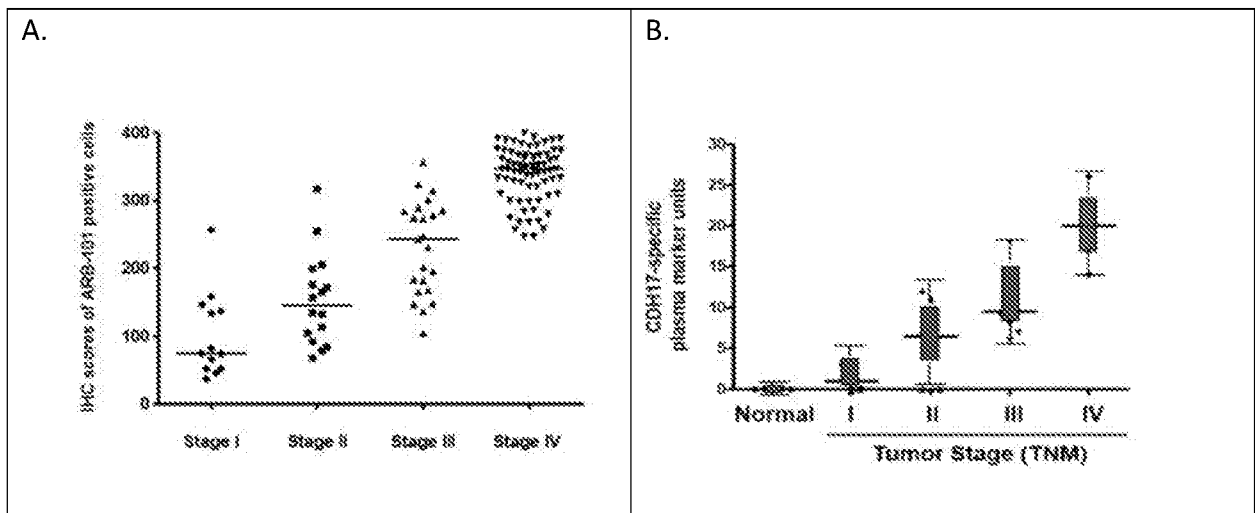


Figure 2. The serum concentration of CDH17 (A) captured from normal and CRC samples and (B) correlated with the clinical stage of CRC samples. Normal or CRC plasma samples were incubated in wells coated with 2 humanized CDH17 mAbs (12.5 ng/mL for each antibody) and then probed with 2 mouse CDH17 mAb (5 ng/mL for each antibody). A) The amount of sCDH17 captured from CRC samples was significantly higher than from normal samples ( $P = 0.031$ ). B) CRC patients in panel A was further stratified according to their TNM stages. Comparing to normal subjects, significant elevations in sCDH17 were seen in patients with stage II ( $P = 0.029$ ) and III ( $P = 0.003$ ) CRCs.

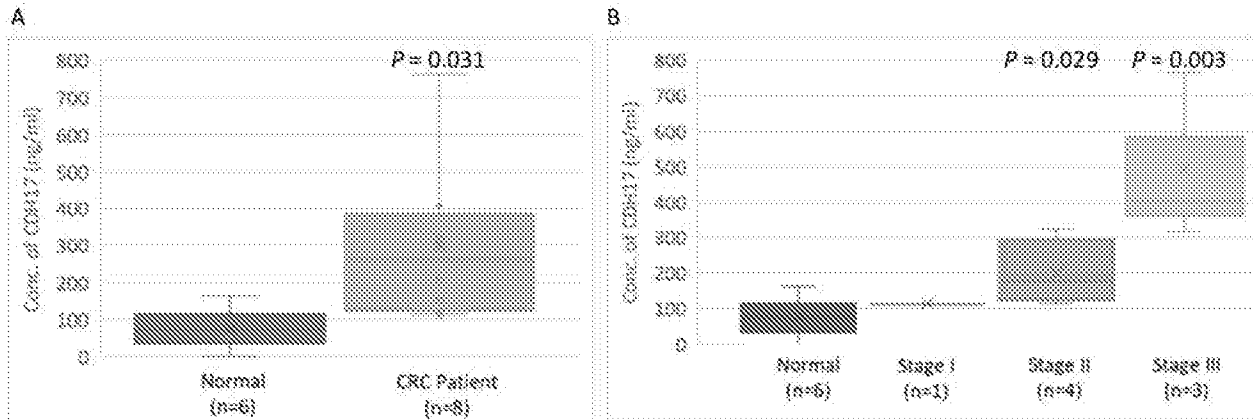


Figure 3. The number of CDH17 positive circulating tumor cells (CTC) increases with tumor stage (A) and decreases 5 days post-surgery in the same patient for comparison (B). CDH17 positive circulating tumor cells (CTC) in colorectal cancer (CRC) patient blood samples were identified via specific antibody staining and fluorescent microscopy as CDH17 and beta-catenin positive and CD45 negative. Photomicrographs (C-E) show immunofluorescent and immunohistochemical staining of the same sample slide from a CRC patient blood specimen. Anti-CD45 antibody stains white blood cell (WBC) membranes in yellow, whereas blue and red arrows point to WBC and CTC as positive and negative cells, respectively (C); anti-Lic5 antibody stains CDH17 positive signals in green, whereas the previous WBC and CTC are CDH17 negative and positive, respectively (D). Anti- $\beta$ -catenin antibody stains the CRC origin of CTC signals, whereas the previous WBC is the nuclear red counterstain and CTC is brown black nuclear  $\beta$ -catenin stain, respectively (E).

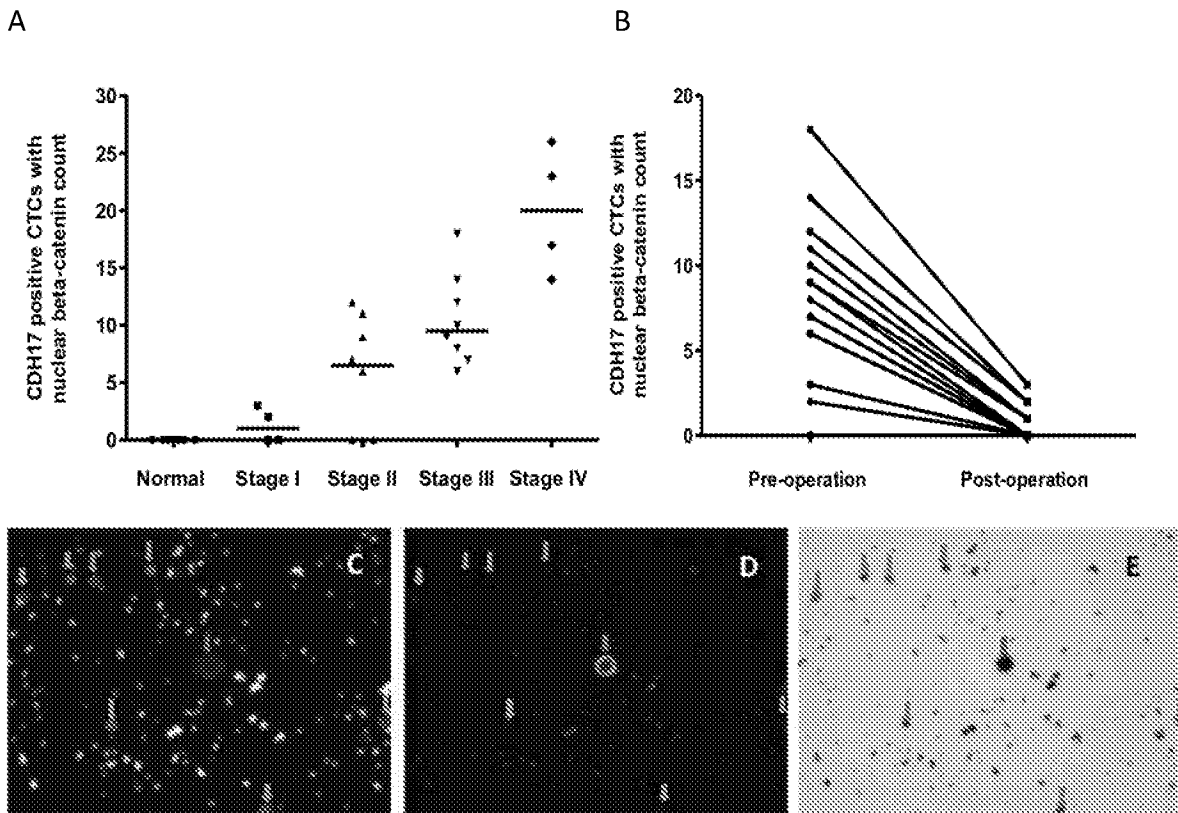


Figure 4. Expression of CDH17 on exosomes from GI cancer cell lines. A. CDH17 negative SW480 (colorectal adenocarcinoma); B. CDH17 positive OCUM-1 (gastric adenocarcinoma); C. SNU-C1 (colorectal carcinoma); D. AsPC1 (pancreatic cancer); and E. Immunoblotting 10ug of total protein from solubilized exosomes using a CDH17 specific antibody.

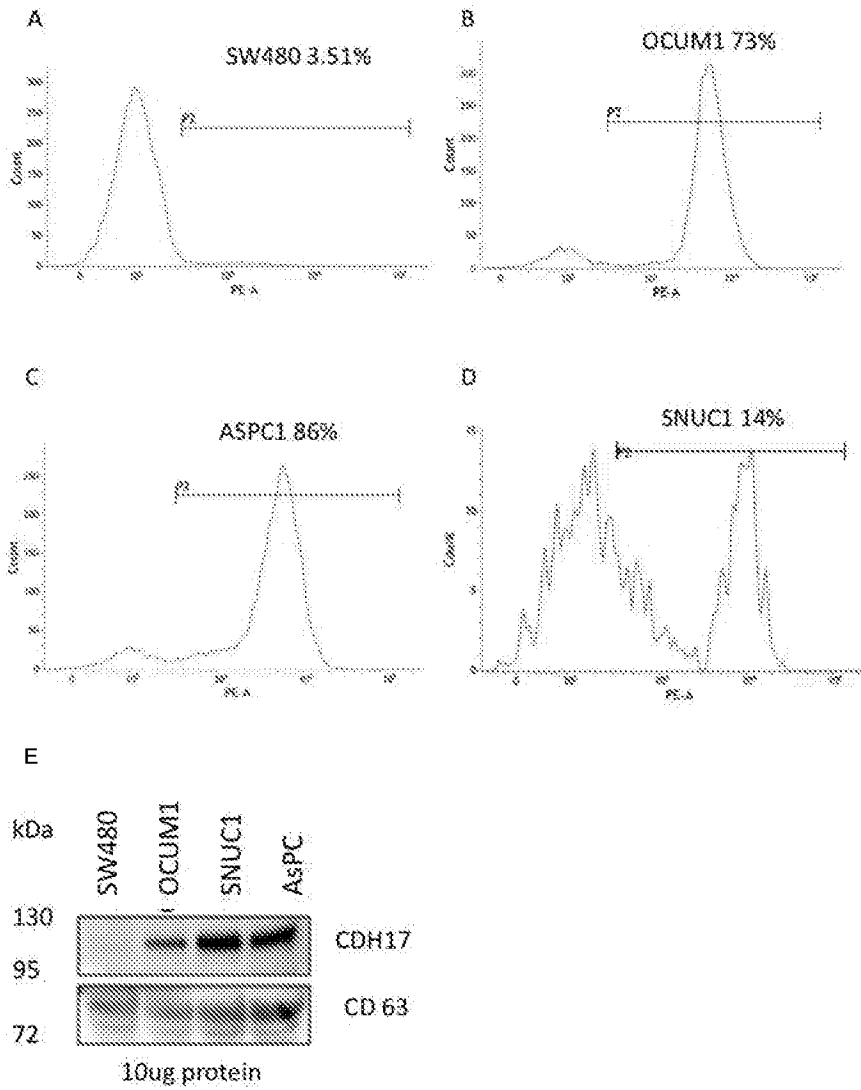


Figure 5. Detection of CDH17 in cancer cell culture media (A) and in CRC plasma (B) by ELISA. SNU-C1 (CRC) and AsPC1 (pancreatic cancer) are CDH17 positive cancer cells, whereas Jurkat is CDH17 negative cancer cells. The serum concentration of CDH17 may be quantified by using a CDH17his standard curve in ELISA-based assays.

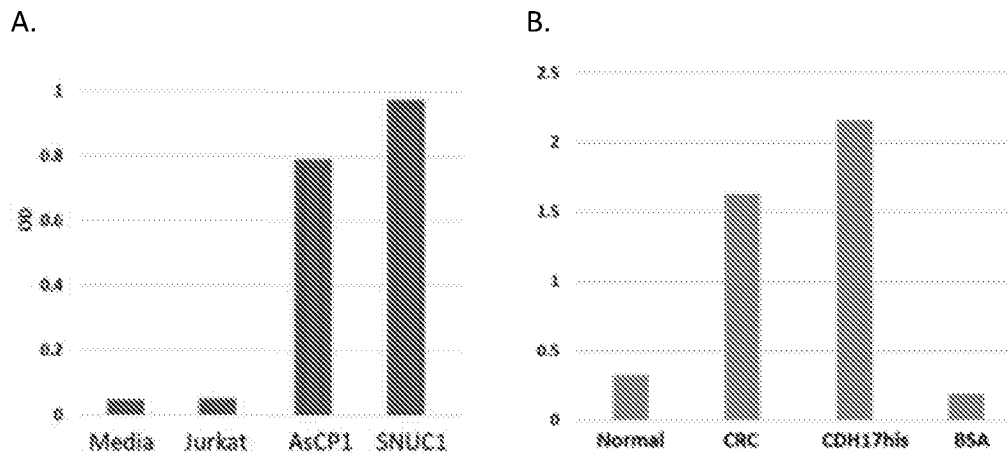


Figure 6. Three assay platforms, fluorescent ELISA, flow cytometry, and proximity luminescence, enable the quantitation of CDH17 EVs in liquid samples (A, B, and C); captured CDH17 EVs (D, E, and F); and other proteins on CDH17 EVs (G, H, and I).

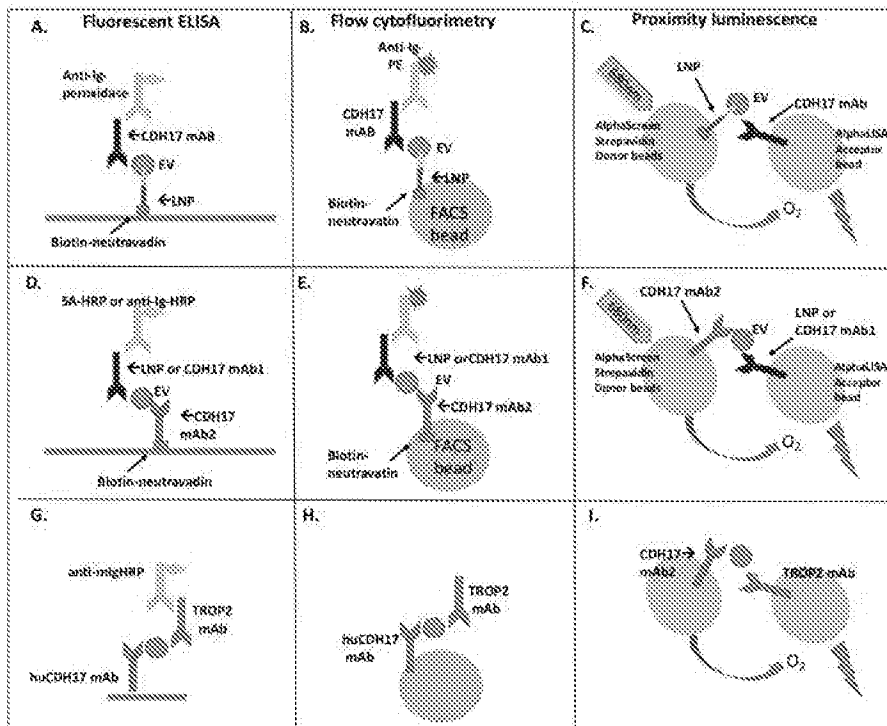


Figure 7. CDH17 antibodies specific for different CDH17 ectodomains. CDH17 antibody epitopes were mapped by binding to recombinant truncates consisting of different tertiary ectodomains including D1, D1-2, D3-4, D6 and D5-7. At least three epitopes specific mAb were used as capturing and detecting agents: ARB101 (Lic3 to D1), ARB102 (10C12 to D1-2), and 9C6 (to D6). Additional domain truncates are being generated to map these and other CDH17 mAb from a panel of over 300 mAb.

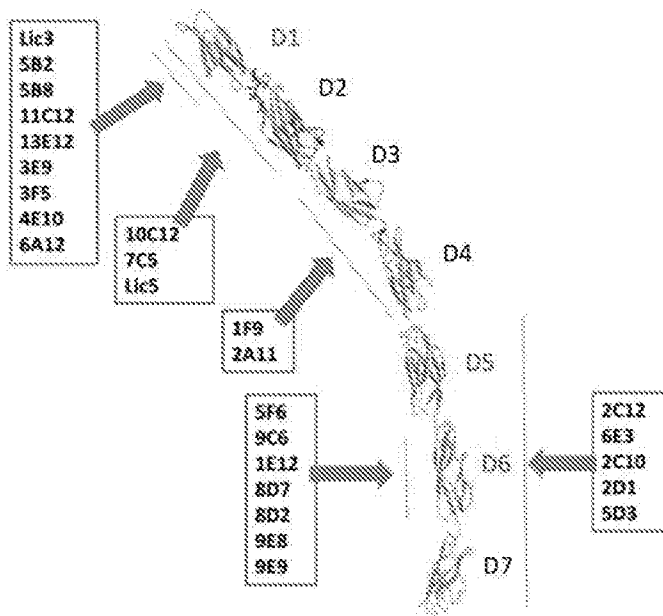
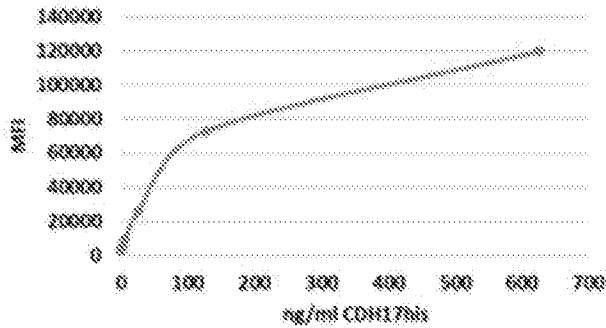
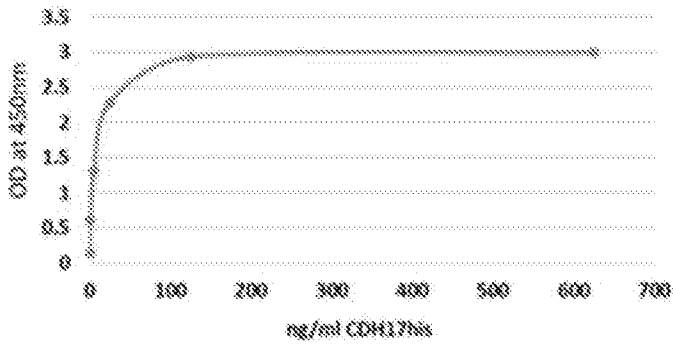


Figure 8. The standardization and sensitivity of assays for quantifying captured CDH17. The standard curve may be established by using recombinant CDH17his, a secreted form of CDH17 comprising the entire ectodomains with a C-terminal His tag, in the form of either captured on beads or wells coated with one or more CDH17 monoclonal antibodies. The detection agents include detecting antibodies, such as a different CH17 monoclonal antibody. The sensitivity of assays is at about 500 pg/mL.

A.



B.



INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2019/032752

A. CLASSIFICATION OF SUBJECT MATTER  
IPC(8) - C07K 16/28; C07K 16/30; G01N 33/574 (2019.01)  
CPC - C07K 16/28; C07K 16/30; G01N 33/57492; G01N 2800/7023; G01N 2800/7028 (2019.05)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
See Search History document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
USPC - 424/174.1; 435/7.23 (keyword delimited)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
See Search History document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2010/0092978 A1 (LUK et al) 15 April 2010 (15.04.2010) entire document	1-3, 7
Y		6, 8
Y	US 2014/0162888 A1 (CARIS LIFE SCIENCES LUXEMBOURG HOLDINGS) 12 June 2014 (12.06.2014) entire document	6
Y	WAN et al. "Rapid magnetic isolation of extracellular vesicles via lipid-based nanoprobe," Nature Biomedical Engineering, 10 April 2017 (10.04.2017), Vol. 1, Pgs. 1-24. entire document	8
A	WO 2016/169581 A1 (CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS) 27 October 2016 (27.10.2016) entire document	1-3, 6-8
A	US 2016/0349264 A1 (THE GENERAL HOSPITAL CORPORATION) 01 December 2016 (01.12.2016) entire document	1-3, 6-8
A	US 2015/0093392 A1 (OXFORD BIO THERAPEUTICS LTD) 02 April 2015 (02.04.2015) entire document	1-3, 6-8

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
08 July 2019

Date of mailing of the international search report  
**05 AUG 2019**

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PCT OSP: 571-272-7774

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2019/032752

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: 4, 5, 9-13  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.