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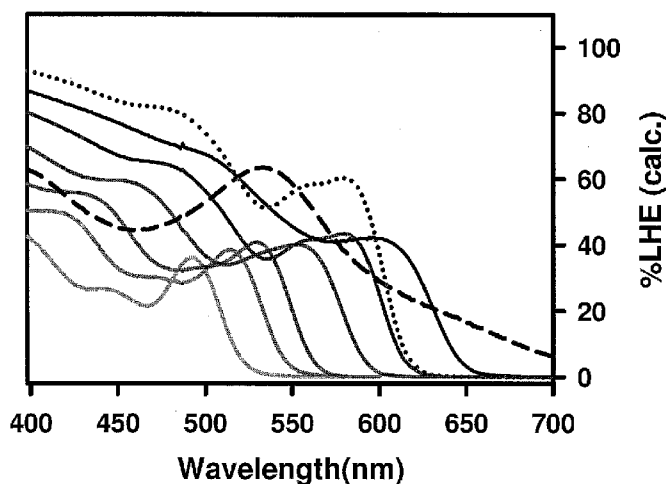
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(54) **Title:** QUANTUM DOT SENSITIZED SOLAR CELL

Fig. 1d



(57) **Abstract:** Photoelectrochemical solar cells (PECs) have been constructed and studied, the cells comprising a photoanode prepared by direct deposition of independently synthesized nanocrystal quantum dots (NQDs) onto a nanocrystalline metal oxide film, aqueous electrolyte and a counter electrode. It has been shown that the light harvesting efficiency (LHE) of the NQD/metal oxide photoanode is significantly enhanced when the NQD surface passivation is changed to a smaller ligand (e.g. butylamine (BA)). In the PEC the use of NQDs with a shorter passivating ligand leads to a significant enhancement in both the electron injection efficiency at the NQD/metal oxide interface and charge collection efficiency at the NQD/electrolyte interface.

Description

Title of Invention: QUANTUM DOT SENSITIZED SOLAR CELL

Technical Field

[0001] The invention relates to solar cells. More particularly, the invention relates to quantum dot sensitized solar cells.

Background Art

[0002] Photoelectrochemical cells (PECs) based on a mesoporous nanocrystalline TiO_2 film (TiO_2 film) sensitized with organic or organometallic dyes have been studied intensely for the past twenty years as a potential low cost alternative to more traditional, solid state photovoltaics. Significant progress has been made in optimization of the components of the dye sensitized solar cell (DSSC) with highest reported efficiencies currently exceeding 11%. As part of search for new approaches to further improvement in efficiency over past several years, a number of research groups reported studies of PECs in which the sensitizing dyes are substituted with semiconductor nanocrystalline quantum dots (NQDs) of materials such as InP, CdS, CdSe, CdTe, PbS and InAs. In these studies it was demonstrated that semiconductor NQDs can function as efficient sensitizers across a broad spectral range from the visible to mid-infrared, and offer advantages such as the tunability of optical properties and electronic structure by simple variation in NQD size, while retaining the appeal of low-cost fabrication.

[0003] Two distinct approaches to the sensitization of TiO_2 with narrow band gap semiconductors have been demonstrated in recent studies. In one approach, semiconductor NQDs are generated on the surface of TiO_2 films in-situ, using chemical bath deposition (CBD) or successive ionic layer adsorption and reaction (SILAR). The advantage of the in-situ deposition approaches are their simplicity, the fact that the NQDs are in direct electronic contact with TiO_2 , and that they can easily produce TiO_2 films with high surface coverage of the sensitizing NQDs. However, there are several limitations of the in-situ approaches, such as poor control over NQDs chemical composition, crystallinity, size and surface properties, which may hamper effective exploitation of the advantages of the NQDs.

[0004] An alternative approach is based on a two-step process, whereby NQDs are first independently synthesized with a layer of organic ligands, such as tri-n-octylphosphine oxide (TOPO), aliphatic amines, or acids using established colloidal synthesis methods, and the TiO_2 film is subsequently sensitized by exposure to a solution of the NQDs. The advantage of this approach is a better control over the chemical, structural and electronic properties of the NQDs compared to the in-situ approaches. Several groups have demonstrated that exposure of 'bare' TiO_2 films or TiO_2 films func-

tionalized with bifunctional organic linkers (i.e., organic molecules containing functional groups for chemical attachment to TiO₂ and NQD surfaces) to solutions of NQDs leads to their effective sensitization, and device performance is better without linkers than with linkers. While in the studies of PEC performance, several parameters, such as NQD size, and counter electrode material have been evaluated, the NQD organic surface passivation, however, remained mostly unexplored.

Citation List

Non Patent Literature

- [0005] PTL 1: Peng, X. G. et al. Nature 404, 59 (2000)
 PTL 2: Peng, Z. A. et al. J. Am. Chem. Soc. 123, 183 (2001)
 NPL 3: O'Reagan et al., Nature, Vol. 353, pp. 737-740, Oct 24, 1991
 NPL 4: Murray et al., Synthesis and Characterization of Nearly Monodisperse CdE (E = S, Se, Te) Semiconductor Nanocrystallites, J. Am. Chem. Soc., 1993, 115, 8706-8715
 PTL 5: Argazziet al., Enhanced Spectral Sensitivity from Ruthenium(II) Polypyridyl Based Photovoltaic Devices, Inorg. Chem. 1994, 33, 5741-5749
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 PTL 7: Wang et al., 'Enhance the Performance of Dye-Sensitized Solar Cells by Co-Grafting Amphiphilic Sensitizer and Hexadecylmalonic Acid on TiO₂ Nanocrystals', J. Phys. Chem. B 2003, 107, 14336-14341
 PTL 8: Li et al. method (J Am Chem Soc 2011, 133 (5), 1176-1179)

Summary of Invention

Technical Problem

- [0006] The present invention provides for embodiments of an article including a substrate, a metal oxide film on the substrate, nanocrystalline quantum dots on the metal oxide film, the nanocrystalline quantum dots further comprising ligands attached to the quantum dots, the ligands are primary amines having the formula RNH₂. In some embodiments, the ligands are butylamine, such as n-butylamine or t-butylamine.
- [0007] The present invention also provides for an article comprising a substrate; a metal oxide film on the substrate, quantum dots on the metal oxide film, the quantum dots further comprising ligands attached to the quantum dots, the ligands being primary amines having a size less than the size of tri-n-octylphosphine oxide, 1-dodecanethiol, oleylamine, or oleic acid/oleate.
- [0008] The invention also includes embodiments of a photoelectrochemical cell solar cell (PEC) comprising: a photoanode comprising an electrically conducting substrate; and a nanocrystalline film of a metal oxide on the electrically conducting substrate. The

nanocrystalline film has a defined pore structure therein and further has pre-formed nanocrystalline quantum dots (NQD) within said pore structure. The pre-formed NQDs have organic passivating ligands that are primary amines attached to the NQDs. The PEC also includes a counter electrode and an electrolyte in contact with both the photoanode and the counter electrode.

Solution to Problem

[0009] In order to attain the object, an article of the present invention includes: a substrate, a metal oxide film on the substrate, quantum dots on the metal oxide film, the quantum dots further comprising t-butylamine ligands attached to the quantum dots.

[0010] In order to attain the object, a photoelectrochemical solar cell (PEC) includes: a photoanode comprising an electrically conducting substrate, and a nanocrystalline film of a metal oxide on the electrically conducting substrate, the nanocrystalline film having a defined pore structure therein and further having pre-formed nanocrystalline quantum dots (NQD) within the pore structure, the pre-formed NQDs having t-butylamine ligands attached to the NQDs; a counter electrode; and an electrolyte in contact with both the photoanode and the counter electrode.

[0011] In order to attain the object, a photoelectrochemical solar cell (PEC) includes: a photoanode comprising an electrically conducting substrate, a nanocrystalline TiO₂ film on the electrically conducting substrate, the TiO₂ film having a defined pore structure therein and comprising a light-absorbing layer and a light-scattering layer, and a plurality of pre-formed, nanocrystalline, cation-exchanged CuInSe_xS_{2-x} quantum dots (NQD) on the TiO₂ film, wherein the cation is cadmium, $0 < x < 2$, and the NQDs further comprise t-butylamine ligands attached to the NQDs; a counter electrode; and an electrolyte in contact with both the photoanode and the counter electrode.

Brief Description of Drawings

[0012] The accompanying drawings, which are incorporated in and form a part of the specification, illustrate the embodiments of the present invention and, together with the description, serve to explain the principles of the invention.

[fig.1a]FIGURE 1a shows absorption spectra of CdSe NQDs (r ~2.15 nm), with n-butylamine (BA) or tri-n-octylphosphine oxide (TOPO) passivation, deposited on TiO₂ films, (film thickness ~5*10⁻⁶ m) and suspended in hexane solution. The NQD/TiO₂ films were prepared by exposure of the TiO₂ film to 3.0*10⁻⁶ M hexane solution of NQDs for 48 hours. Also shown is the absorption spectrum of the blank TiO₂ film.

[fig.1b]FIGURE 1b shows Experimentally determined Light Harvesting Efficiency (LHE) for the two films shown in FIGURE 1a compared with the TiO₂ film of the same thickness sensitized with an organometallic chromophore

[cis-di(thiocyanato)-bis(2,2'-bipyridyl-4,4'-dicarboxylate) ruthenium(II), Ru(dcbpy)₂(NCS)₂] known as N3 dye. The dotted lines represent the error of the measurement for the independently prepared films following the same procedure. The TiO₂ film sensitized with an N3 dye was prepared by exposure of the TiO₂ film to 0.3 M solution of the dye in ethanol for 48 hrs.

[fig.1c]FIGURE 1c shows molar extinction coefficients of CdSe NQDs (TOPO) of various sizes compared with molar extinction coefficient of N3 dye.

[fig.1d]FIGURE 1d shows Calculated LHE for the same series of CdSe NQDs (TOPO) as in FIGURE 1c assuming size scaled surface coverage to be the same as for the N3 dye, shown as a dashed line. The dotted line represents calculated LHE for CdSe NQDs with BA as a passivating ligand.

[fig.2]FIGURE 2 shows the dependence of short circuit current on the intensity of light irradiation measured using n-butylamine (BA) capped (square) and tri-n-octylphosphine oxide (TOPO) capped (triangle) quantum dot sensitized solar cell with aqueous 1M Na₂S electrolyte. The straight line (solid line: BA, dotted line: TOPO) is a linear fit going from the origin to the first measurement result at the lowest light irradiation intensity. The area of the device was 0.2209 cm².

[fig.3a]FIGURE 3a shows a comparison of incident photon to current conversion efficiency (IPCE) for CdSe NQD/TiO₂ solar cells using NQDs with n-butylamine (BA) or tri-n-octylphosphine oxide (TOPO) as capping ligands. The electrolyte was in 1M Li₂S aqueous solution.

[fig.3b]FIGURE 3b shows the dependence of IQE (internal quantum efficiency) calculated as: $\text{IQE} = (\text{IPCE}/\%T_{\text{FTO}}) / \% \text{LHE}$. Inset: The experimental data used for calculation of IQE of the device shown in solid circles in the main panel.

[fig.3c]FIGURE 3c shows the dependence of IPCE on various device preparation conditions. The absorption spectrum increases at all wavelengths due to the significant change in path length from a single-layer TiO₂ film to a double-layer film.

[fig.4]FIGURE 4 is a schematic diagram of an exemplary quantum dot sensitized solar cell.

[fig.5]FIGURE 5 shows the normalized phospholuminescence intensity of CuInSe_{1.4}S_{0.6} NQDs before (#19) and after (#19-Cd-tBA) cadmium cation exchange and t-butylamine capping.

[fig.6]FIGURE 6 shows a comparison of normalized phospholuminescence intensity of cadmium-exchanged CuInSe_{1.4}S_{0.6} NQDs after capping with various ligands, including t-butylamine (tBA), n-butylamine (nBA), s-butylamine (sBA), pyridine (py), or with a mercaptopropionic acid linker (MPA).

[fig.7]FIGURE 7 is a graph of current density versus voltage for quantum dot/TiO₂ solar cells using CuInSe_{1.4}S_{0.6} NQDs capped with various ligands, including t-

butylamine (tBA), n-butylamine (nBA), s-butylamine (sBA), mercaptopropionic acid (MPA), and pyridine (Py).

[fig.8]FIGURE 8 is a graph of $1-T$ (T = transmittance) versus incident photon energy for the solar cells of FIGURE 7.

[fig.9]FIGURE 9 is a graph of current versus voltage for a PEC including Cd-exchanged $\text{CuInSe}_{1.4}\text{S}_{0.6}$ NQDs capped with t-butylamine and a polysulfide electrolyte including 75% methanol/25% H_2O . The data was obtained under the following conditions: temperature -30 - 5.0 degree Celsius to -30 + 5.0 degree Celsius; device area - 0.2223 cm^2 ; irradiance - 1000.0 W/m^2 ; spectrum: ASTM G173 global. $J_{\text{sc}} = 17.565 \text{ mA/cm}^2$, $V_{\text{oc}} = 0.5402 \text{ V}$, $\text{FF} = 0.5410$, $\text{PCE} = 5.13\%$.

[fig.10]FIGURE 10 is a graph of quantum efficiency versus wavelength for the PEC of FIGURE 9. The data was obtained under the following conditions: temperature - 25.0 - 2 degree Celsius to - 25.0 +2 degree Celsius ; device area - 0.2200 cm^2 ; zero voltage bias; light bias = 1.00 mA into 0.22 cm^2 .

Description of Embodiments

[0013] Disclosed herein are embodiments of photoelectrochemical cells especially photoelectrochemical solar cells including quantum dots comprising primary amine ligands.

[0014] 'Nanocrystalline quantum dot' is meant to include nanocrystalline particles of all shapes and sizes. Preferably, they have at least one dimension less than about 100 nanometers, but they are not so limited. There may be rods may be of any length. 'Nanocrystal', 'nanorod' and 'nanoparticle' can and are used interchangeably herein. In some embodiments of the invention, the nanocrystal particles may have two or more dimensions that are less than about 100 nanometers. The nanocrystals may be core type or core/shell type or can have more complex structures. For example, some branched nanocrystal particles according to some embodiments of the invention can have arms that have aspect ratios greater than about 1. In other embodiments, the arms can have aspect ratios greater than about 5, and in some cases, greater than about 10, etc. The widths of the arms may be less than about 200, 100, and even 50 nanometers in some embodiments. For instance, in an exemplary tetrapod with a core and four arms, the core can have a diameter from about 3 to about 4 nanometers, and each arm can have a length of from about 4 to about 50, 100, 200, 500, and even greater than about 1000 nanometers. Of course, the tetrapods and other nanocrystal particles described herein can have other suitable dimensions. In embodiments of the invention, the nanocrystal particles may be single crystalline or polycrystalline in nature. The invention also contemplates using nanorods of CdSe and CdTe that have aspect ratios above 20, even up to 50, and lengths greater than 100 nm, formed according to processes described in the literature, see Peng, X. G. et al. Nature 404, 59 (2000) and Peng, Z. A. et al. J. Am.

Chem. Soc. 123, 183 (2001).

[0015] The nanocrystalline quantum dots disclosed herein are generally referred to as colloidal nanocrystal quantum dots. These colloidal nanocrystal quantum dots can be of a single material or can comprise an inner core and an outer shell. The outer shell comprises an inorganic material, and in one embodiment may consist essentially of an inorganic material. The shape of the colloidal nanocrystal quantum dots may be a sphere, a rod, a disk, and combinations thereof, and with or without faceting. In one embodiment, the colloidal nanocrystal quantum dots include a core of a binary semiconductor material, e.g., a core of the formula MX , where M can be cadmium, zinc, indium, lead, or alloys thereof and X is sulfur, selenium, tellurium, nitrogen, phosphorus, arsenic, antimony or mixtures thereof. In another embodiment, the colloidal nanocrystal quantum dots include a core of a ternary semiconductor material, e.g., a core of the formula M_1M_2X , where M_1 and M_2 can be cadmium, zinc, indium, copper, tin, and mixtures or alloys thereof and X is sulfur, selenium, tellurium, nitrogen, phosphorus, arsenic, antimony or mixtures thereof. In another embodiment, the core of the colloidal nanocrystal quantum dots comprises a quaternary semiconductor material, e.g., of the formula $M_1M_2M_3X$, where M_1 , M_2 and M_3 can be cadmium, zinc, indium and X is sulfur, selenium, tellurium, nitrogen, phosphorus, arsenic, antimony or mixtures thereof. Non-limiting examples of suitable core materials include cadmium sulfide (CdS), cadmium selenide ($CdSe$), cadmium telluride ($CdTe$), lead sulfide (PbS), lead selenide ($PbSe$), lead selenide sulfide ($PbSe_xS_{1-x}$), zinc sulfide (ZnS), zinc selenide ($ZnSe$), zinc telluride ($ZnTe$), indium arsenide ($InAs$), indium nitride (InN), indium phosphide (InP), indium antimonide ($InSb$), zinc cadmium selenide ($ZnCdSe_2$), and the like, mixtures of such materials, or any other semiconductor or similar materials. Preferably, the core material is selected from the group consisting of InP , $InAs$, $InSb$, CdS , $CdSe$, $CdTe$, and combinations thereof, and even more preferably the core material is $CdSe$. In another embodiment, the core of the colloidal nanocrystal quantum dot comprises a ternary semiconductor material, e.g., a core of the formula M_1M_2X , where M_1 and M_2 can be cadmium, zinc, indium, copper, tin, and mixtures or alloys thereof and X is sulfur, selenium, tellurium, nitrogen, phosphorus, arsenic, antimony or mixtures thereof. Additional exemplary core materials include copper indium sulfide ($CuInS_2$), copper indium selenide sulfide ($CuInSe_xS_{2-x}$), copper zinc tin selenide sulfide ($CuZn_ySn_{1-z}Se_xS_{2-x}$), and combinations thereof, where $0 < x < 2$, such as $1 \leq x < 2$, or $1 \leq x \leq 1.5$, and $0 < z < 1$, such as $z = 0.5$.

[0016] In some embodiments, the quantum dot further includes a cation-exchanged outer shell or layer. For example, the quantum dot may include an outer cation-exchanged layer in which the cations have been partially, substantially completely, or completely

replaced by a metal M_4 selected from Cd, Zn, Sn, Ag, Au, Hg, Cu, In, or a combination thereof. In some embodiments, the outer cation-exchanged layer may be an outer monolayer. As used herein, the term 'outer monolayer' refers to a one-atom thick layer of surface cations and anions surrounding a quantum dot core. In certain embodiments, M_4 is Cd or Zn, and the quantum dot cation concentration comprises about 5-40% M_4 , such as about 5-20% or 5-10% M_4 .

[0017] The core material and/or the outer layer is chosen for its property of having a surface suitable for the binding of primary amine ligands.

[0018] Some embodiments employ relatively short ligands upon the quantum dot. Among such ligands can be included at least one of allylamine, propylamine, butylamine, pentylamine, hexylamine, heptylamine, octylamine, aniline, and benzylamine. Butylamine (e.g., n-butylamine, s-butylamine, t-butylamine) is a preferred amine.

[0019] The metal oxide comprises a transition metal. The metal oxide may be a mixed metal oxide. The metal oxide may include a dopant. Examples of suitable metal oxides include, but are not limited to, titanium oxide (TiO_2), tin oxide (SnO_2), zinc oxide (ZnO), tungsten oxide (WO_3), niobium oxide (Nb_2O_5), tantalum oxide (Ta_2O_5), zirconium oxide (ZrO_2), barium titanate ($BaTiO_3$), strontium titanate ($SrTiO_3$), zinc titanate ($ZnTiO_3$), copper titanate ($CuTiO_3$), and combinations thereof. The structure of metal oxide film may be, but is not limited to, a thin film, a nanotube or nanorod. The metal oxide may be nanocrystalline.

[0020] By photoelectrochemical cell (PEC) is meant to include those typical device architectures known in the art. Exemplary PEC devices are described in, for example, O'Reagan et al., Nature, Vol. 353, pp. 737-740, Oct 24, 1991 the contents of which are incorporated by reference.

[0021] The electrolyte in the solar cells of the present invention is generally an aqueous solution of a sulfide such as lithium sulfide (Li_2S), sodium sulfide (Na_2S) potassium sulfide, rubidium sulfide, cesium sulfide, and combinations thereof. In some embodiments, the aqueous electrolyte is lithium sulfide, sodium sulfide, or sodium sulfide/sulfur, e.g., 1M Na_2S , 1M S.

[0022] In some embodiments, the NQDs used herein were synthesized and purified following a standard literature procedure of Murray et al., Synthesis and Characterization of Nearly Monodisperse CdE (E = S, Se, Te) Semiconductor Nanocrystallites, J. Am. Chem. Soc., 1993, 115, 8706-8715, such reference incorporated herein by reference. The CdSe NQD/ TiO_2 composite films were prepared by direct deposition of NQDs onto freshly prepared nanocrystalline TiO_2 films (TiO_2 films) from hexane or toluene solution.

[0023] Optical studies of NQD/ TiO_2 films revealed that the amount of NQDs deposited within the TiO_2 film is significantly affected by the type of NQD surface passivation

(see Figs. 1a, 1b). Figure 1a compares absorption spectra of CdSe NQD/TiO₂ films prepared using NQDs capped with TOPO and films prepared using NQDs capped with n-butylamine (nBA). The nBA-capped CdSe NQDs (NQD(BA) in Figs. 1a, 1b) were prepared from the same batch of TOPO-capped CdSe NQDs (NQD(TOPO)) by sequential precipitation in MeOH and dissolution of NQDs in n-butylamine at elevated temperature (see methods section for details). Also included in Figure 1a are absorption spectra of the same NQDs in hexane solution and the absorption spectrum of the TiO₂ film. Comparison of the spectral features indicates that the modification of surface passivation or adsorption of NQDs into the TiO₂ film does not significantly alter their electronic structure. However, for NQD(BA) there has been a consistent observation of significantly higher optical densities of the NQD/TiO₂ films. This is consistent with the results of Light Harvesting Efficiency (LHE) measurements summarized in Fig. 1b, showing clear enhancement of LHE for the NQD(BA). Also included in Fig. 1b is the LHE of a TiO₂ film sensitized with an organometallic chromophore [cis-di(thiocyanato)-bis(2,2'-bipyridyl)-4,4'-dicarboxylate] ruthenium(II), Ru(dcbpy)₂(NCS)₂, known as N3 dye. An analysis of the LHE values for the N3/TiO₂, NQD(TOPO)/TiO₂ and NQD(BA)/TiO₂ provides important insights about the effect of the NQD surface passivation on the optical properties of the NQD/TiO₂ films.

[0024] As a first step in the analysis, the experimentally determined molar extinction coefficient of N3 dye was compared with the estimated molar extinction coefficients of CdSe NQDs. As was previously known, the size dependent absorption cross sections of CdSe NQDs at 400 nm can be estimated using an empirical relationship $\sigma_0(\text{cm}^2) = (n_{\text{CdSe}}/n_{\text{solvent}})1.6 \times 10^{-16} [R(\text{nm})]^3$, where σ_0 is an absorption cross section, n_{CdSe} and n_{solvent} are refractive indexes of CdSe NQD (taken as 2.5) and solvent ($n_{\text{hexane}} = 1.354$) and R is the NQD radius. The radius of an NQD can be estimated from the absorption spectrum of the NQD solution using an empirical relationship between the NQD size and its band gap, typically taken as the peak of the lowest energy electronic transition (1s). To convert the calculated value of $\sigma_0(\text{cm}^2)$ to molar extinction coefficient, $\epsilon(\text{M}^{-1}\text{cm}^{-1})$, the relationship $\epsilon = \sigma_0 N_A / (1000 \times 2.303) = \sigma_0 \times 2.61 \times 10^{20}$, where N_A is the Avogadro's constant, was used. The comparison of calculated values of ϵ for CdSe NQDs of several sizes and the molar extinction coefficient of N3 dye shows that on a molar basis NQDs are significantly better absorbers than the dye (Fig. 1c). This feature makes NQDs a very appealing alternative to molecular dyes as the sensitizer in PECs. However, since NQDs are typically much larger than molecular dyes, the amount of NQDs adsorbed per unit of TiO₂ surface area can be significantly smaller than that of dyes. Therefore the comparison of LHEs in composites with similar chromophore surface coverage is more useful from the practical standpoint.

[0025] As was shown was shown previously by Argazziet al., Enhanced Spectral Sensitivity from Ruthenium(II) Polypyridyl Based Photovoltaic Devices, Inorg. Chem. 1994, 33, 5741-5749, in cases when the scattering and the reflectance are small compared to the absorption losses, the LHE is directly related to the molar extinction coefficient of a chromophore as shown in the Equation 1.

[0026] (1)

[0027] [Math.1]

$$LHE(\lambda) = 1 - 10^{-[1000(cm^3 L^{-1})\epsilon(mol^{-1} \cdot L \cdot cm^{-1})\Gamma(mol \cdot cm^{-2})]}$$

[0028] In Eq. (1) the epsilon is a molar extinction coefficient and gamma is the chromophore surface coverage in mol/cm². The calculated LHE for the N3 Dye is shown as a dashed line in Figure 1d. In the calculation the surface coverage value was adjusted so as to match the calculated value of LHE(535nm) with the experimentally observed value of LHE(535nm) for N3 dye, shown in Figure 1d. (Note that the experimentally observed LHE is broadened and partially distorted at high energies due to high TiO₂ absorption). To estimate the maximum achievable LHE by NQDs under the same conditions the NQD surface coverage was scaled using the relationship $\gamma_{NQD} = \gamma_{N3}(S_{N3}/S_{NQD})$, where S_{N3} and S_{NQD} are cross-sectional surface areas of N3 Dye and the NQDs, respectively. Each value is calculated as $S = \pi \cdot r^2$, where r_{N3} was taken as 0.58 nm and r_{NQD} was taken as the radius of the NQD plus the length of the ligand (estimated as 1.1 nm for TOPO and 0.4 nm for nBA). In this calculation it is assumed that the capping ligands are 'impenetrable'; i.e., the periphery-to-periphery distance between the NQDs is equal to twice the ligand length. The results of the calculation for TOPO capped NQDs of several sizes are shown in Figure 1d in solid lines. Also, shown is the result of a calculation for the NQDs with a particle radius of 2.15 nm, capped with nBA (dotted line).

[0029] The results of the LHE calculations in Fig. 1d and their comparison with the experimental LHE shown in Fig. 1b lead to several observations. First, after accounting for their size, in spite of significantly higher molar extinction coefficients of NQDs compared to N3 dye, NQDs are not significantly better absorbers than molecular dyes, at least at energies close to the band edge. Second, both the theoretical analysis (Fig. 1d) and the experiment (Fig. 1b) indicate that reduction in length of the NQD capping ligand can significantly improve the LHEs of the NQD/TiO₂ films. Finally, the high LHEs observed experimentally for the NQDs suggest that they effectively cover the TiO₂ surface.

[0030] Effect of surface passivation on short-circuit current and mass transport in CdSe NQD/TiO₂ PEC. In Figure 2 is shown experimentally observed short circuit current (I_{sc}) versus irradiation light intensity for two CdSe NQD/TiO₂ PECs prepared under

identical conditions, differing only in the type of NQD capping layer. In one group of devices NQDs capped with TOPO were used and in the second the TOPO capping layer was substituted with nBA prior to the device fabrication (see methods section for details). In both groups of devices a nearly linear increase in I_{sc} with increase in irradiation intensity was observed, which is expected according to Eq. 2.

[0031] (2)

[0032] [Math.2]

$$I_{sc}(mA) = \int_{\lambda} I_0(mW) \frac{\lambda(nm)}{1240eV/nm} IPCE(\lambda) d\lambda$$

[0033] wherein

[0034] [Math.3]

$$IPCE(\lambda) = \%T(\lambda)(substrate) \times LHE(\lambda) \times \phi_{inj} \times \phi_{coll}$$

[0035] In Eq. 2, I_0 is the incident light intensity at wavelength λ , λ ,

$\%T(\lambda)(substrate)$ is the transmittance of the substrate at the incident wavelength, ϕ_{inj} is the electron injection efficiency, and ϕ_{coll} is the charge collection efficiency including contributions from electron transport in the TiO_2 film and the redox couple mediated hole transport between the sensitizer and the counter electrode.

[0036] In spite of the similarities in the overall trend of the I_{sc} dependence on light intensity in the two devices, there are some notable differences. The most apparent is the disparity in the absolute values of I_{sc} at all irradiation intensities, with significantly higher I_{sc} 's observed for NQD(BA). Consistent with Eq. 2 and with the results shown in Fig. 1b, part of the enhancement can be attributed to the increase in LHEs of the NQD(BA)/ TiO_2 films compared to NQD(TOPO)/ TiO_2 films. Enhancement in I_{sc} due to better infiltration of NQDs into TiO_2 films with larger pore sizes was previously reported by Gimenez et. al. 'Improving the Performance of Colloidal Quantum-Dot-Sensitized Solar Cells', Nanotech. 2009, 20, 295204. However, while the TOPO-to-nBA substitution leads to ~40% enhancement in LHE at the 1s peak (Fig. 1b), the enhancement in I_{sc} is approximately four fold (Fig. 2). This indicates that there is an additional factor, besides LHE, that contributes to the I_{sc} enhancement in NQD(BA)-based devices. While not wishing to be bound by the present explanation, it is believed that the I_{sc} enhancement in NQD(BA) devices is associated with enhancement in charge collection efficiency, whereby the use of shorter BA ligands allows better diffusion of electrolyte through the pores of the NQD/ TiO_2 film as well as better access of S^{2-} to the NQD surface. This belief is supported by the observed deviation of the experimental values of I_{sc} , indicated by open squares and open triangles for NQD(BA) and NQD(TOPO) respectively, from the line drawn between

the axes origin and the first experimental data point observed at the lowest irradiation intensity. In the case of the NQD(BA), the deviation between the experimental points and the linear line is very small, indicating that charge collection efficiencies are not subject to mass transport limitations even at high light intensities. However, for the NQD(TOPO)-based devices, the experimental short circuit current values clearly deviate from the linear plot at high light intensities, suggesting increasing mass transport limitations, which were attributed to restricted electrolyte diffusion and NQD surface accessibility.

[0037] Effect of electrolyte and extent of CdSe NQD adsorption on the IPCE. Consistent with the results of short circuit current measurements in Fig. 2, it was found that measured IPCEs are significantly smaller for NQD (TOPO) than NQD (BA). For the NQD (BA) it was found that the IPCE increases with the concentration of the NQD solution, which is attributed to the enhancement in the LHE.

[0038] Determination of Internal Quantum Efficiency (IQE) for the CdSe NQD/TiO₂ PEC. IQE is an important characteristic of a PEC, indicating how efficiently the absorbed (rather than incident) photons are converted to current in the external circuit. The IQE of the PEC can be estimated from experimentally determined IPCE and LHE, after accounting for losses due to light absorption by the FTO substrate, according to Eq. 3.

[0039] (3)

[0040] [Math.4]

$$IQE = IPCE / (\%T(FTO) \times LHE) = \phi_{inj} \times \phi_{coll}$$

[0041] The results of the IQE analysis for the NQD(BA)/TiO₂ and NQD(TOPO)/TiO₂ device prepared using 3*10⁻⁶ M NQD solution and 1M aqueous Li₂S as an electrolyte are shown in Figure 3b. It is noted that the measurement of the IPCE was performed on a PEC device, and the measurement of LHE was performed on TiO₂/NQD film prepared under identical conditions, but in the absence of electrolyte. The results of the analysis show that the IQE for NQD(BA)/TiO₂ is higher than NQD(TOPO)/TiO₂. As implied by Eq. 3 the IQE results indicate that both electron injection and charge collection efficiencies are higher using NQD(BA)/TiO₂ than with NQD(TOPO)/TiO₂.

[0042] Effect of TiO₂ film structure on the IPCE. To further improve the IPCE of NQD PECs a series of devices using a double layer TiO₂ film structure were fabricated consisting of a bottom (in contact with FTO) light absorption layer (about 5 micrometer (10⁻⁶m) with 20 nm particles) and a top light scattering layer (about 5*10⁻⁶ m with 400 nm particles). This type of structure is commonly used to enhance the LHEs of DSSCs. The results of the IPCE study of the double layer structure compared with different configurations of monolayer devices, using the same size of NQDs (1s at 590 nm; r ~ 2.3 nm) are shown in Figure 3c. The results clearly show enhancement in the

IPCE of the double layer device for all wavelengths above 450 nm, which is attributed to the scattering-induced increase in the path length of the incident light.

[0043] Synthesis and purification of CdSe NQDs. The TOPO capped NQDs were synthesized and purified following the standard literature procedure of Murray as noted above. All the synthetic and purification steps were performed under argon atmosphere and the product was stored in argon filled glove box until use.

[0044] CdSe NQD ligand exchange. All the operations were performed in glove box under argon. The CdSe NQD growth solution (1g) was dissolved in 1.5 mL of hexane at 35 degree Celsius. To this solution, 8 - 10 mL MeOH was added to precipitate the NQDs. The solution was centrifuged and decanted, and the decanted NQDs were dissolved in 0.5 mL of n-butylamine. This solution was heated for 40 - 60 minutes at 55 degree Celsius, poured into a centrifuge tube, and precipitated with 5 mL MeOH. The solution was centrifuged and decanted, and the precipitate redissolved in 1.2 mL n-butylamine. The solution was again heated, for 15 - 30 minutes at 55 degree Celsius, and then precipitated with 4 mL MeOH. The last step was repeated one more time, and the resulting NQDs were dissolved in 0.2 mL n-butylamine + 2 mL toluene and stored in this mixture for future use.

[0045] Preparation of CdSe NQD/TiO₂ films. Nanocrystalline TiO₂ films were prepared using the procedure of Wang et al., 'Enhance the Performance of Dye-Sensitized Solar Cells by Co-Grafting Amphiphilic Sensitizer and Hexadecylmalonic Acid on TiO₂ Nanocrystals', J. Phys. Chem. B 2003, 107, 14336-14341, such reference incorporated herein by reference. For the optical measurements the films were deposited on 1 mm thick glass slides (Marathon Glass), while for the devices the films were deposited onto 1mm Fluorine doped tin oxide coated glass (F-SnO₂ glass). Following the deposition the films were sintered at 500 degree Celsius to remove organic components. The thickness of the films was determined by step-profilometry using Alpha Step 500 (TENCOR INSTRUMENTS) profilometer. The NQD/TiO₂ films were prepared by exposing freshly sintered TiO₂ to a solution of TOPO capped CdSe NQDs in hexane, or n-butylamine capped CdSe NQDs in toluene under argon atmosphere. It was noted that the deposition of TOPO-capped NQDs onto TiO₂ from toluene solution was significantly less efficient than deposition of NQD(TOPO) from hexane or NQD(BA) from toluene as evidenced by absorption and LHE measurements. Unless, stated otherwise in text the typical exposure time was 48 hours. The NQD/TiO₂ films were washed twice with the appropriate solvent and were allowed to dry under argon. Dry films were stored in dark in glove box under argon atmosphere until use.

[0046] Fabrication of CdSe NQD PECs. The NQD based solar cells were fabricated using a two-electrode sandwich cell configuration similar to standard DSSCs arrangement. A platinum-coated F-SnO₂ glass was used as the counter electrode (CE). The two

electrodes (a NQD/TiO₂ film on a F-SnO₂ glass and CE) were separated by a Surlyn spacer (40-50*10⁻⁶ m thick, Du Pont) and sealed by heating the polymer frame. The cell was filled with electrolyte (aqueous 1M Na₂S or Li₂S) using capillary action.

- [0047] PEC Devices Characterization. The IPCE measurements were performed using QE/IPCE Measurement Kit equipped with 150W Xe lamp (#6253 NEWPORT) as a light source and ORIEL CORNERSTONE #260 1/4m Monochromator. The light intensity was adjusted with series of neutral density filters and monitored with NEWPORT Optical power meter 1830C power meter with calibrated Si power meter, NEWPORT model 818 UV. The photocurrent generated by the device was using KEITHLEY 6517A electrometer. Communication between the instruments and the computer was facilitated via a GPIB interface and the instrument control and data processing were performed using software written locally in LABVIEW. Current voltage (I-V) measurements were performed using a KEITHLY 2400 SourceMeter as part of a model SC01 solar cell characterization system (software and hardware) built by PV MEASUREMENTS. A class ABA solar simulator (AM 1.5) also built by PV MEASUREMENTS was calibrated using a NEWPORT-certified single crystal Si solar cell, then was used to irradiate the PECs during I-V measurement. The voltage was swept from -0.1V to 0.6V at 0.01V/step with a 1s hold-time at each point prior to measurement. A square black mask (0.2209 cm²) was attached to the solar cells in order to prevent irradiation by scattered light.
- [0048] The optical properties of CdSe NQD/TiO₂ composite films and their applications in PECs have been investigated. Results showed that the reduction in the size of the NQD surface capping ligand can lead to a significant enhancement in the LHE of the composite films due to more efficient coverage of the TiO₂ surface. Similarly, the use of shorter n-butylamine capping ligands leads to a significant enhancement of the performance of the PECs compared to the devices utilizing NQDs capped with tri-n-octylphosphine oxide. The enhancement in IPCE can be attributed to the improvement in both charge injection and charge collection efficiencies in devices utilizing n-butylamine capped NQDs.
- [0049] Synthesis of CuInSe_xS_{2-x} NQDs. CuInSe_xS_{2-x} NQDs were synthesized according to the Li et al. method (J Am Chem Soc 2011, 133 (5), 1176-1179). Briefly, 1 mmol of CuI and 1 mmol of indium acetate were added to 5 ml of 1-dodecanethiol (DDT) and 1 ml of oleylamine and degassed at 100 degree Celsius for 30 minutes in a 50 ml flask. The solution was heated to 130 degree Celsius until it became yellow and transparent, and then degassed again for 30 minutes at 100 degree Celsius. The temperature was then set to 230 degree Celsius; once it reached 220 degree Celsius, a syringe pump was used to inject 2M TOP-Se slowly into the flask as it continued to heat up during NQD nucleation and growth. After some period of time, typically 10-30 minutes, the

reaction was cooled and the NQDs were cleaned by dissolving in chloroform and precipitation with methanol. The QDs were stored in 5 ml of octane following cleaning. The synthesis typically results in 90%+ chemical yield of NQDs (relative to the copper and indium precursors).

- [0050] Preparation of Cation-Exchanged $\text{CuInSe}_x\text{S}_{2-x}$ NQDs. For cation exchange with Cd, a stock solution of 0.5M cadmium oleate was prepared with 3:1 oleic acid:Cd dissolved in octadecene (ODE). 4 ml of the cleaned NQDs in octane solution (~ 50 mg/ml) were added to 4 ml of 0.5M cadmium oleate solution and set to 50 degree Celsius depending on the desired degree of cation exchange. Cation exchange was performed for 10 minutes.
- [0051] $\text{CuInSe}_x\text{S}_{2-x}$ NQD Recapping with Ligands (Figs. 6-10): t-Butylamine (tBA), n-Butylamine (nBA), s-Butylamine (sBA), Mercaptopropionic Acid (MPA), or Pyridine (py). NQDs were cleaned twice as follows. The NQDs were dissolved in chloroform, and acetone was added to precipitate the NQDs. The NQDs were centrifuged, and re-dissolved in chloroform. Methanol was added to precipitate the NQDs. Precipitated NQDs were collected by centrifugation. The NQDs were recapped by dissolving precipitated NQDs in the ligand (at a concentration of approximately 0.05 g/ml, and then precipitating by adding methanol (about 3:1 methanol:ligand), and centrifuged. The supernatant was discarded, and the NQDs were dissolved in the same amount of ligand again. The solution was sonicated for a few minutes. Methanol was added to precipitate the capped NQDs, and the NQDs were collected by centrifugation. The capped NQDs were dissolved in octane (approximately 0.05 g/ml), and the solution was centrifuged at very high rpm (e.g., 20,000 rpm for 30 minutes) to remove aggregates that formed during recapping. Any precipitate was discarded. The NQD-octane supernatant was diluted with octane to an absorbance of about 0.2 (approximately 0.01 g/ml) at the 1S absorption peak (typically 850 nm), and used to prepare NQD-sensitized mesoporous (mp) TiO_2 films. When the ligand was MPA, the NQDs were recapped by dissolving precipitated NQDs in a mixture of 1:1 chloroform and MPA at ~0.05g/ml, then precipitating by adding methanol (about 3:1 methanol:(chloroform+MPA)), and centrifuged. The supernatant was discarded, and the NQDs were dissolved in the same total volume of MPA and methanol (1:1). The solution was sonicated for a few minutes. Chloroform was added to precipitate the MPA-capped NQDs, and the NQDs were collected by centrifugation. The MPA-capped NQDs were dissolved in methanol (approximately 0.05-0.1 g/ml), and the solution was centrifuged to remove aggregates that formed during recapping and to remove partially recapped QDs.
- [0052] Preparation of $\text{CuInSe}_x\text{S}_{2-x}$ NQD PEC: Mesoporous (mp) TiO_2 films, 10×10^{-6} - 15×10^{-6} m thick, on fluorinated tin oxide-coated glass were sensitized by soaking in diluted QD octane solutions (when the ligand was MPA, a QD methanol solution was used) for 24

hours. The films were then rinsed with chloroform or octane. The counter electrode was fabricated by thermally evaporating 100 nm of Cu onto a fluorinated tin oxide (FTO) coated glass substrate to form $\text{Cu}_y\text{S}/\text{FTO}$ to form $\text{Cu}_y\text{S}/\text{FTO}$ where $0.5 \leq y \leq 2.0$. The electrode was then soaked in polysulfide electrolyte (aqueous 1M Na_2S , 1M S). The device was completed using a 40×10^{-6} - 50×10^{-6} m Surlyn spacer (DuPont) and sealed by heating the polymer frame. The cell was filled with a polysulfide electrolyte (aqueous 1M Na_2S , 1M S).

[0053] An exemplary PEC is shown in FIG. 4. The solar cell 10 includes a porous metal oxide film 20 on a conductive substrate 30 (e.g., fluorinated tin oxide-coated glass). Quantum dots 40 are attached to the surface of metal oxide film 20. Solar cell 10 further includes an electrolyte 50 and a counter electrode 60. In some embodiments, the metal oxide film 20 may be a double-layer structure comprising a light-absorbing layer in contact with conductive substrate 30 (about 5 micrometer (10^{-6}m) with 20 nm particles) and a light-scattering layer (about 5×10^{-6} m with 400 nm particles) in contact with the electrolyte 50. In such an arrangement, quantum dots 40 are disposed on the light-scattering layer. In certain embodiments, the light-absorbing layer comprises particles having a diameter of 1 to 50 nm, such as a diameter of 10 to 50 nm, and the light-scattering layer comprises particles having a diameter of 100 to 500 nm, such as a diameter of 300 to 500 nm. The light-absorbing layer may have a thickness of 1 to 30×10^{-6} m, and the light-scattering layer may have a thickness of 1 to 10×10^{-6} m. In one example, the light-absorbing layer comprises 20 nm particles and has a thickness of 10×10^{-6} m, and the light-scattering layer comprises 400 nm particles and has a thickness of 5×10^{-6} m.

[0054] Absorption and photoluminescence (PL) spectroscopy. UV-vis absorption spectra were obtained with Agilent 8453 photodiode array spectrometer. Visible static PL was measured on a Horiba-Yvon Fluoromax 4 with 500 nm excitation. Time resolved PL was measured on the same Horiba-Yvon Fluoromax 4 with a pulsed 455 nm LED excitation. Near-IR PL measurements were performed under 808 nm diode laser excitation using a liquid N_2 cooled InSb detector with a grating monochromator. The excitation was mechanically chopped and the signal was enhanced with a lock-in amplifier.

[0055] Effects of cation exchange and capping. Figure 5 compares the normalized phospholuminescence intensity of $\text{CuInSe}_{1.4}\text{S}_{0.6}$ NQDs before and after partial cation exchange with cadmium cations at 50 degree Celsius and recapping with t-butylamine. The partial cation exchange typically produces NQDs having a cation composition comprising 5-10% Cd cations. As shown in Figure 5, cation-exchange and subsequent recapping with t-butylamine produced a marked increase in phospholuminescence intensity.

[0056] Figure 6 is a comparison of phospholuminescence after cadmium cation exchange and recapping with t-butylamine (tBA), n-butylamine (nBA), s-butylamine (sBA), or pyridine (py) ligands, or after cadmium exchange and attachment of a mercapto-propionic acid (MPA) linker. The results demonstrate that tBA produces superior results compared to sBA and nBA.

[0057] Figure 7 is a graph of current density versus voltage for solar cells constructed with cadmium-exchanged $\text{CuInSe}_{1.4}\text{S}_{0.6}$ NQDs recapped with tBA, nBA, sBA, MPA, or pyridine. Figure 8 is a graph of 1-T (T is transmittance) of sensitized films versus incident photon energy for the solar cells of Figure 7; 1-T is a measure of NQD loading in sensitized mp-TiO₂ films. The cells were characterized, and the results are shown below in Table 1.

[0058] [Table 1]

	t-butylamine	n-butylamine	s-butylamine	pyridine	MPA
¹ J _{sc} (mA/cm ²)	12.9	11.2	9.9	7.6	5.5
² V _{oc} (V)	0.535	0.535	0.525	0.535	0.525
³ 1-T (%) at 1.5eV	33.3	29.3	33.1	10.4	4.3
⁴ Efficiency (%)	4.04	3.58	3.07	2.44	1.73

¹J_{sc} = short circuit current density

²V_{oc} = open-circuit voltage

³T = transmittance

⁴Efficiency = power conversion efficiency, *i.e.*, the ratio of electrical output of a solar cell to the incident energy.

[0059] A PEC was constructed with $\text{CuInSe}_{1.4}\text{S}_{0.6}$ NQDs that had been cation-exchanged with Cd-oleate at 50 degree Celsius, and subsequently recapped with t-butylamine. The cell had a photoanode including an FTO-glass substrate coated with silver paint at the contact point, a 10×10^{-6} m layer of 20 nm TiO₂ particles with 30 nm pores, and a 5×10^{-6} m layer of 400 nm TiO₂ particles. The photoanode was soaked in the quantum dot solution (in octane) for 36 hours. The counter electrode was Cu_yS on FTO-glass coated with silver paste at the contact point; a 100 nm thick copper film were deposited by a thermal evaporator, and the electrode was soaked in electrolyte. The electrolyte was saturated Na₂S:S (1:1) in 25% H₂O, 75% methanol.

[0060] Certified data was obtained from the National Renewable Energy Laboratory (NREL). FIG. 9 is a graph of current versus voltage for the PEC. FIG. 10 is a graph of external quantum efficiency (light bias = 1.00 mA into 0.22 cm²) versus wavelength. The PEC had a short-circuit current (I_{sc}) of 3.9047 mA, a short-circuit current density

(J_{sc}) of 17.565 mA/cm², an open-circuit voltage (V_{oc}) of 0.5402 V, a fill factor of 0.5410, an efficiency of 5.13%, I_{max} of 3.2325 mA, V_{max} of 0.3530 V, and P_{max} of 1.1410 mW.

[0061] All documents cited in the Detailed Description of the Invention are, in relevant part, incorporated herein by reference; the citation of any document is not to be construed as an admission that it is prior art with respect to the present invention. To the extent that any meaning or definition of a term in this document conflicts with any meaning or definition of the same term in a document incorporated by reference, the meaning or definition assigned to that term in this document shall govern.

[0062] Whereas particular embodiments of the present invention have been illustrated and described, it would be obvious to those skilled in the art that various other changes and modifications can be made without departing from the spirit and scope of the invention. It is therefore intended to cover in the appended claims all such changes and modifications that are within the scope of this invention.

Claims

- [Claim 1] An article comprising:
a substrate,
a metal oxide film on the substrate,
quantum dots on the metal oxide film, the quantum dots further comprising t-butylamine ligands attached to the quantum dots.
- [Claim 2] The article of claim 1, wherein the metal oxide comprises a transition metal.
- [Claim 3] The article of claim 2, wherein the metal oxide is a mixed metal oxide.
- [Claim 4] The article of claim 1, wherein the metal oxide comprises a dopant.
- [Claim 5] The article of claim 1, wherein the metal oxide is selected from titanium oxide (TiO_2), tin oxide (SnO_2), zinc oxide (ZnO), tungsten oxide (WO_3), niobium oxide (Nb_2O_5), tantalum oxide (Ta_2O_5), zirconium oxide (ZrO_2), barium titanate (BaTiO_3), strontium titanate (SrTiO_3), zinc titanate (ZnTiO_3), copper titanate (CuTiO_3), and combinations thereof.
- [Claim 6] The article of claim 1, wherein the quantum dots are selected from cadmium sulfide, cadmium selenide, cadmium telluride, lead sulfide, lead selenide, zinc sulfide, zinc selenide, zinc telluride, indium arsenide, indium phosphide, indium antimonide, zinc cadmium selenide, copper indium sulfide, copper indium selenide sulfide, copper zinc tin selenide sulfide and combinations thereof.
- [Claim 7] A photoelectrochemical solar cell (PEC) comprising:
a photoanode comprising
an electrically conducting substrate, and
a nanocrystalline film of a metal oxide on the electrically conducting substrate, the nanocrystalline film having a defined pore structure therein and further having pre-formed nanocrystalline quantum dots (NQD) within the pore structure, the pre-formed NQDs having t-butylamine ligands attached to the NQDs;
a counter electrode; and
an electrolyte in contact with both the photoanode and the counter electrode.
- [Claim 8] The photoelectrochemical solar cell of claim 7, wherein the electrically conducting substrate is fluorine-doped tin oxide on glass.
- [Claim 9] The photoelectrochemical cell of claim 7, wherein the electrolyte comprises an alkali metal sulfide.

- [Claim 10] The photoelectrochemical cell of claim 7, wherein the metal oxide is a transition metal oxide.
- [Claim 11] The photoelectrochemical cell of claim 7, wherein the metal oxide is selected from titanium oxide (TiO_2), tin oxide (SnO_2), zinc oxide (ZnO), tungsten oxide (WO_3), niobium oxide (Nb_2O_5), tantalum oxide (Ta_2O_5), zirconium oxide (ZrO_2), barium titanate (BaTiO_3), strontium titanate (SrTiO_3), zinc titanate (ZnTiO_3), copper titanate (CuTiO_3), and combinations thereof.
- [Claim 12] The photoelectrochemical cell of claim 7, wherein the quantum dots are selected from cadmium sulfide, cadmium selenide, cadmium telluride, lead sulfide, lead selenide, lead selenide sulfide, zinc sulfide, zinc selenide, zinc telluride, indium arsenide, indium phosphide, indium antimonide, zinc cadmium selenide, copper indium sulfide, copper indium selenide, copper indium selenide sulfide, copper zinc tin selenide sulfide, and combinations thereof.
- [Claim 13] The photoelectrochemical cell of claim 7, wherein the metal oxide film comprises a light-absorbing layer and a light-scattering layer.
- [Claim 14] The photoelectrochemical cell of claim 13, wherein the light-absorbing layer is in contact with the electrically conducting substrate.
- [Claim 15] A photoelectrochemical solar cell (PEC) comprising:
 a photoanode comprising
 an electrically conducting substrate,
 a nanocrystalline TiO_2 film on the electrically conducting substrate, the TiO_2 film having a defined pore structure therein and comprising a light-absorbing layer and a light-scattering layer, and
 a plurality of pre-formed, nanocrystalline, cation-exchanged $\text{CuInSe}_x\text{S}_{2-x}$ quantum dots (NQD) on the TiO_2 film, wherein the cation is cadmium, $0 < x < 2$, and the NQDs further comprise t-butylamine ligands attached to the NQDs;
 a counter electrode; and
 an electrolyte in contact with both the photoanode and the counter electrode.
- [Claim 16] The photoelectrochemical cell of claim 15, wherein $1 \leq x \leq 1.5$.
- [Claim 17] The photoelectrochemical cell of claim 15, wherein the NQDs have a cation composition comprising 5-10% cadmium cations.
- [Claim 18] The photoelectrochemical cell of claim 15, wherein:
 the electrically conducting substrate is fluorinated tin oxide-coated glass; and

the counter electrode is Cu_yS on fluorinated tin oxide-coated glass, wherein $0.5 \leq y \leq 2.0$.

[Claim 19]

The photoelectrochemical cell of claim 15, wherein the electrolyte is saturated $\text{Na}_2\text{S}:\text{S}$ (1:1) in 25% H_2O , 75% methanol.

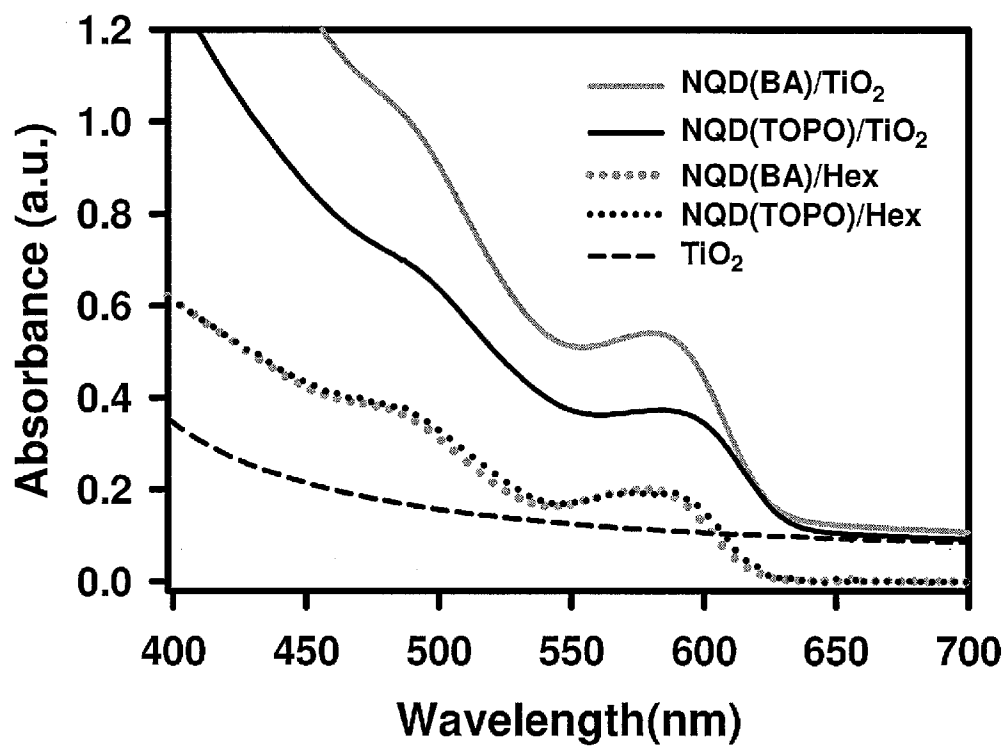
[Claim 20]

The photoelectrochemical cell of claim 15, wherein:

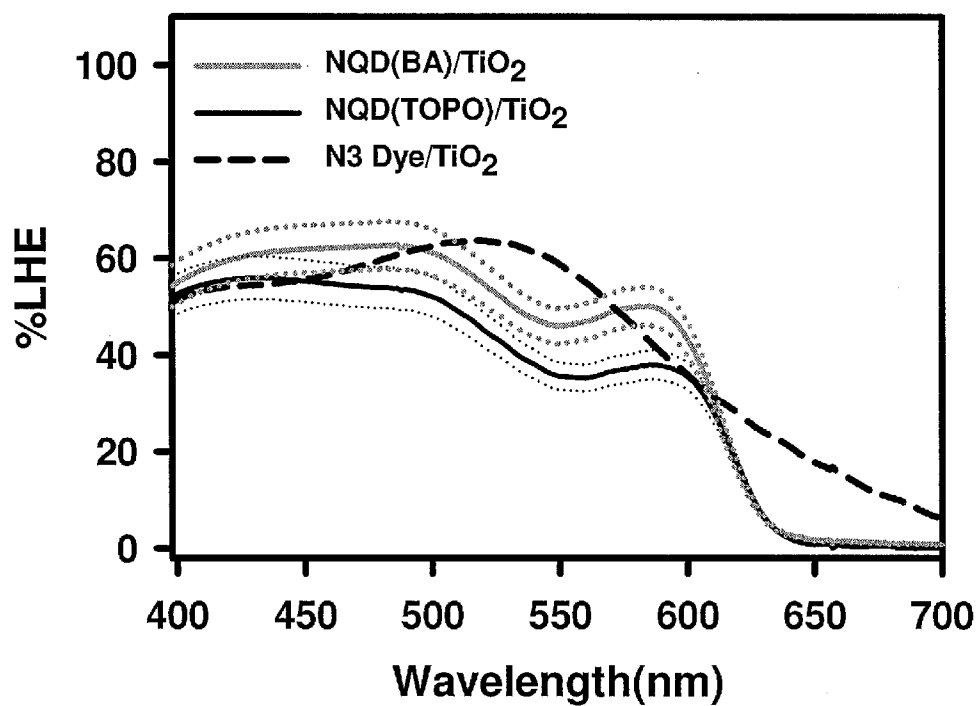
the light-absorbing layer comprises TiO_2 particles having an average diameter of 10-50 nm, and is in contact with the electrically conducting substrate; and

the light-scattering layer comprises TiO_2 particles having an average diameter of 300-500 nm.

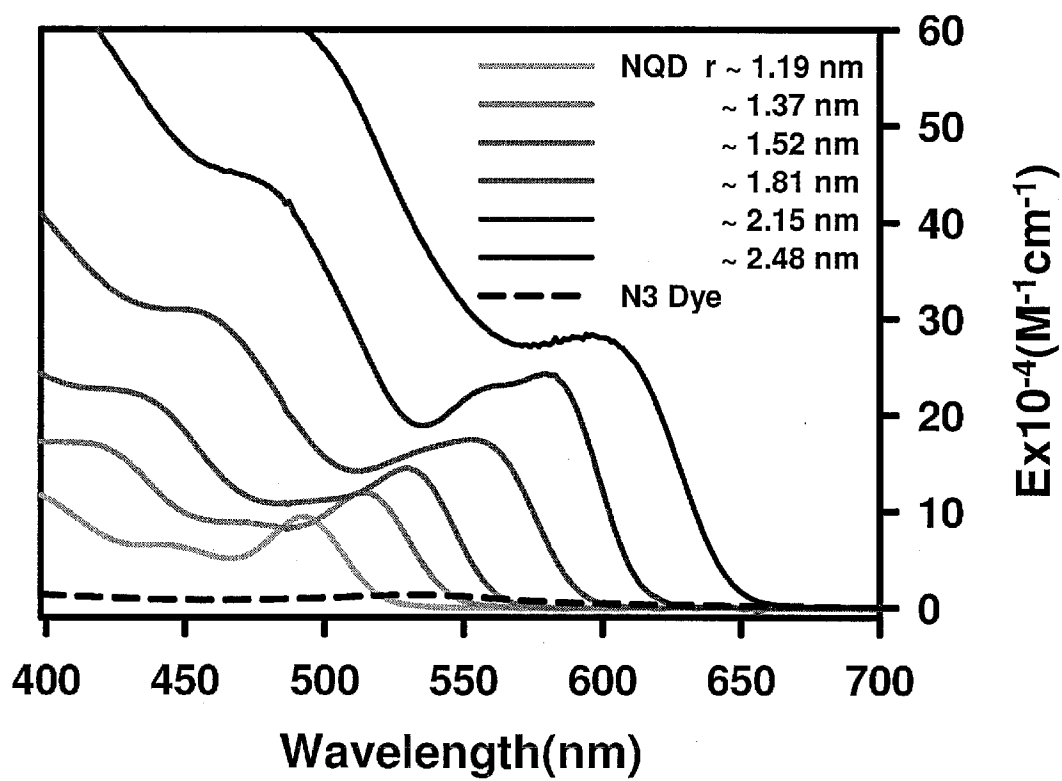
[Fig. 1a]

Fig. 1a

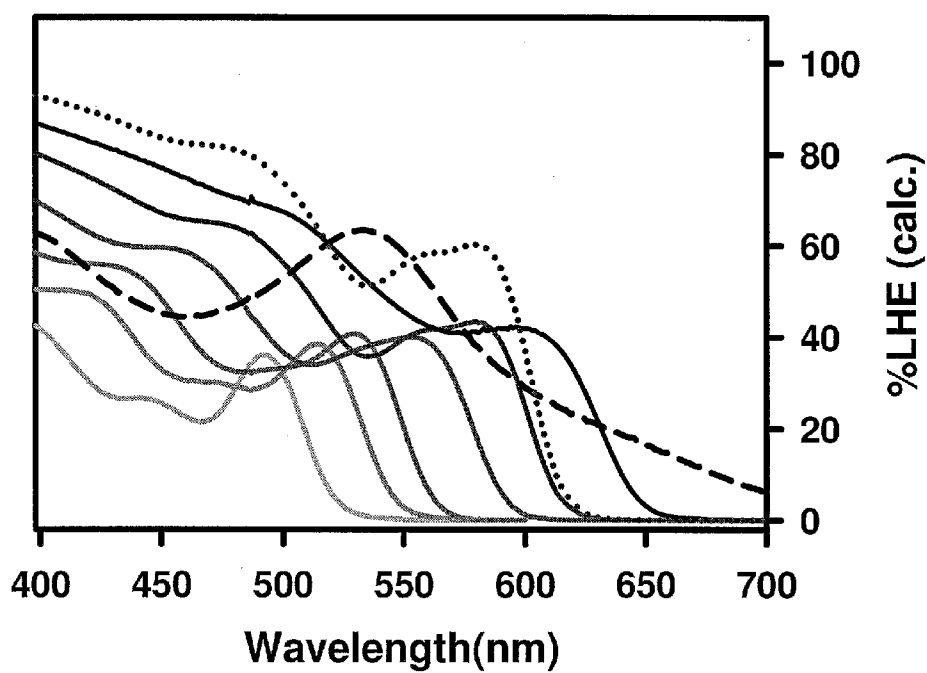
[Fig. 1b]

Fig. 1b

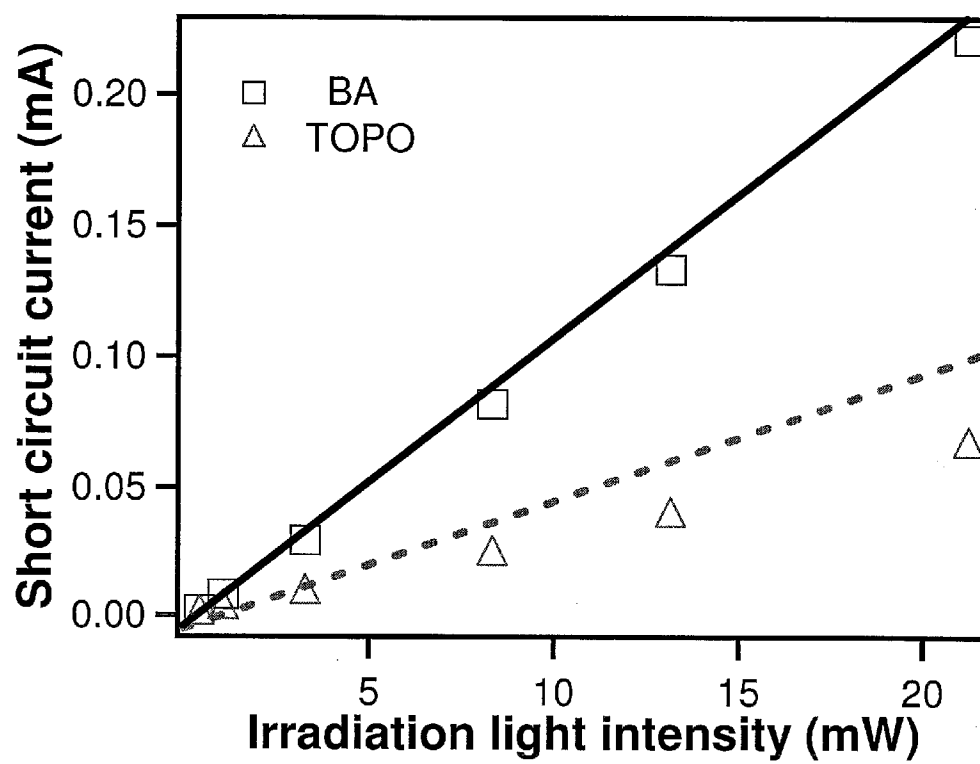
[Fig. 1c]

Fig. 1c

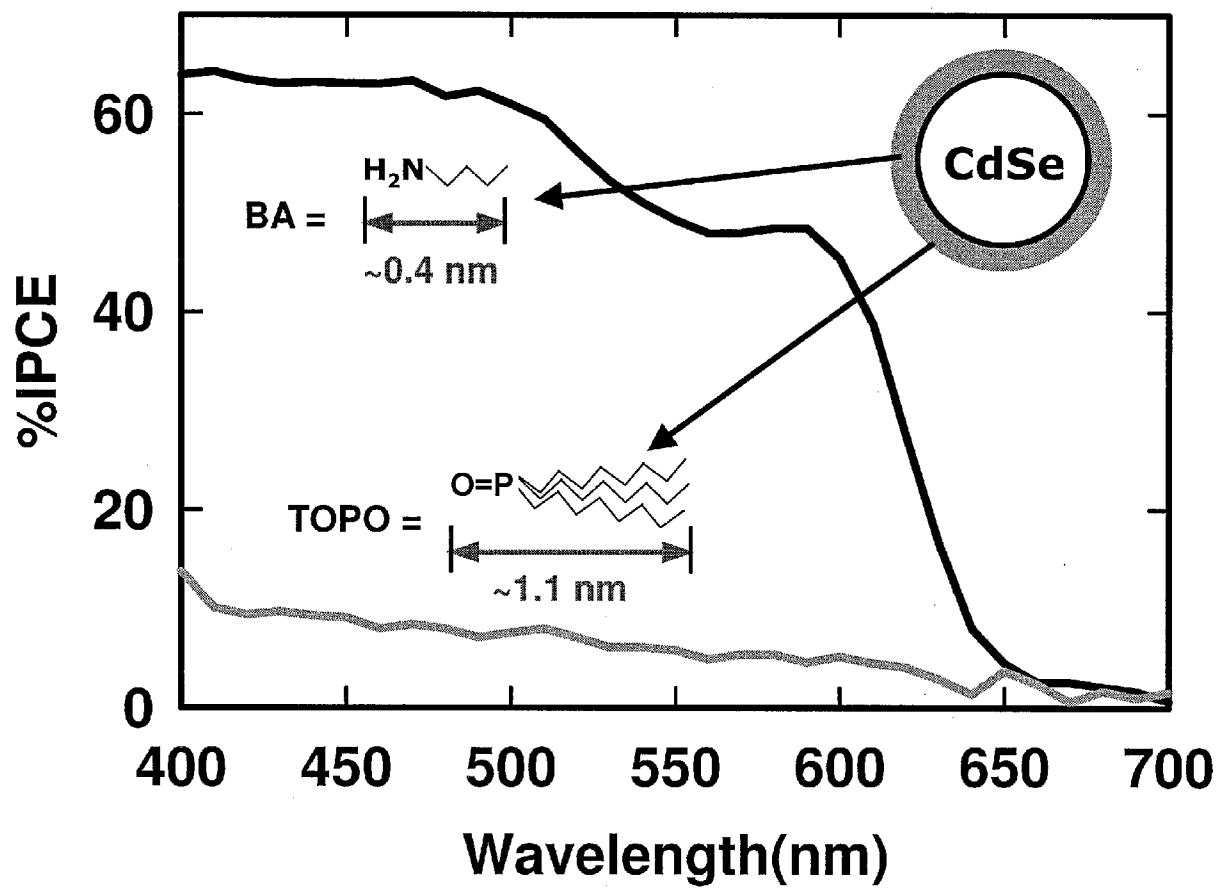
[Fig. 1d]

Fig. 1d

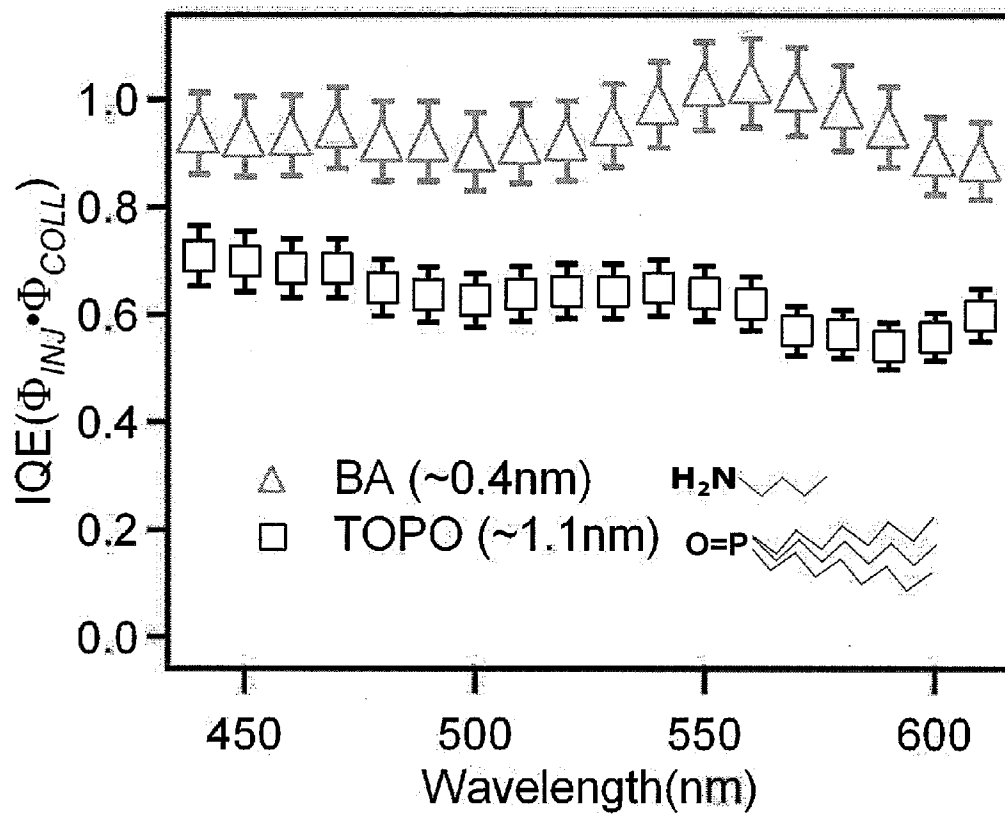
[Fig. 2]

Fig. 2

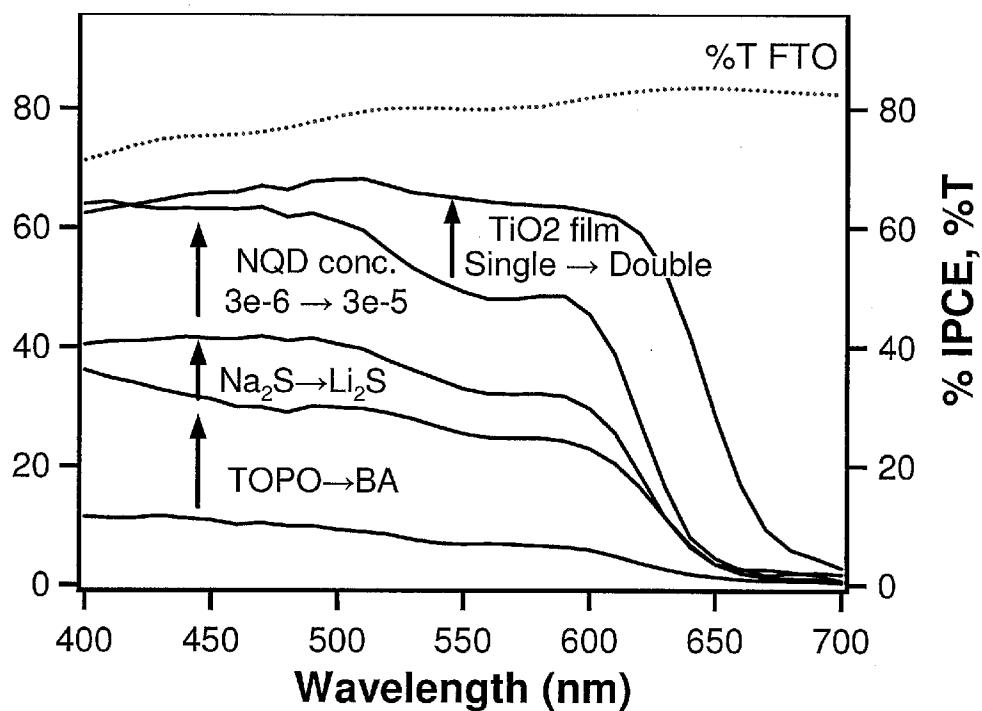
[Fig. 3a]

Fig. 3a

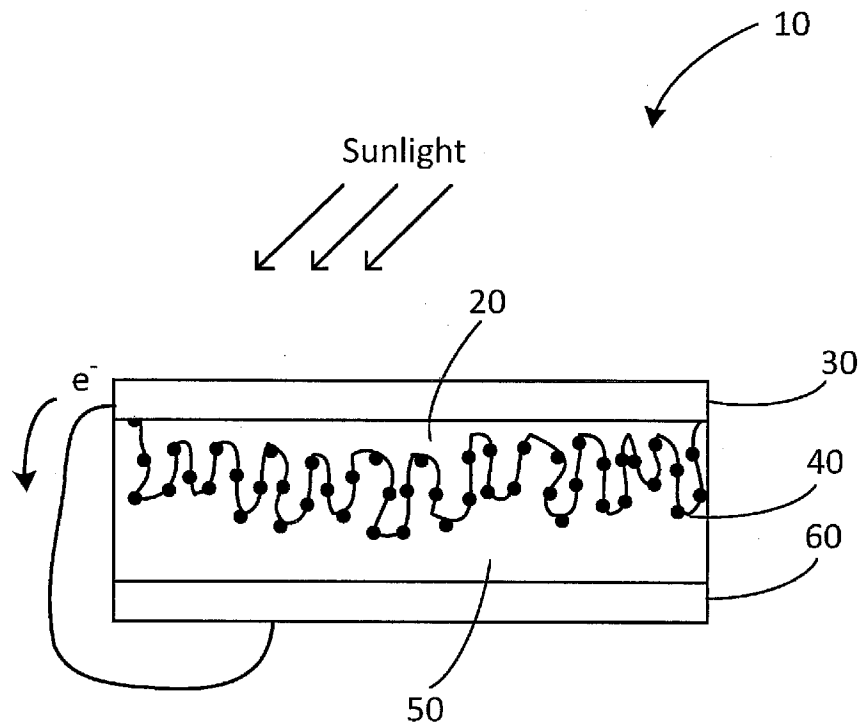
[Fig. 3b]

Fig. 3b

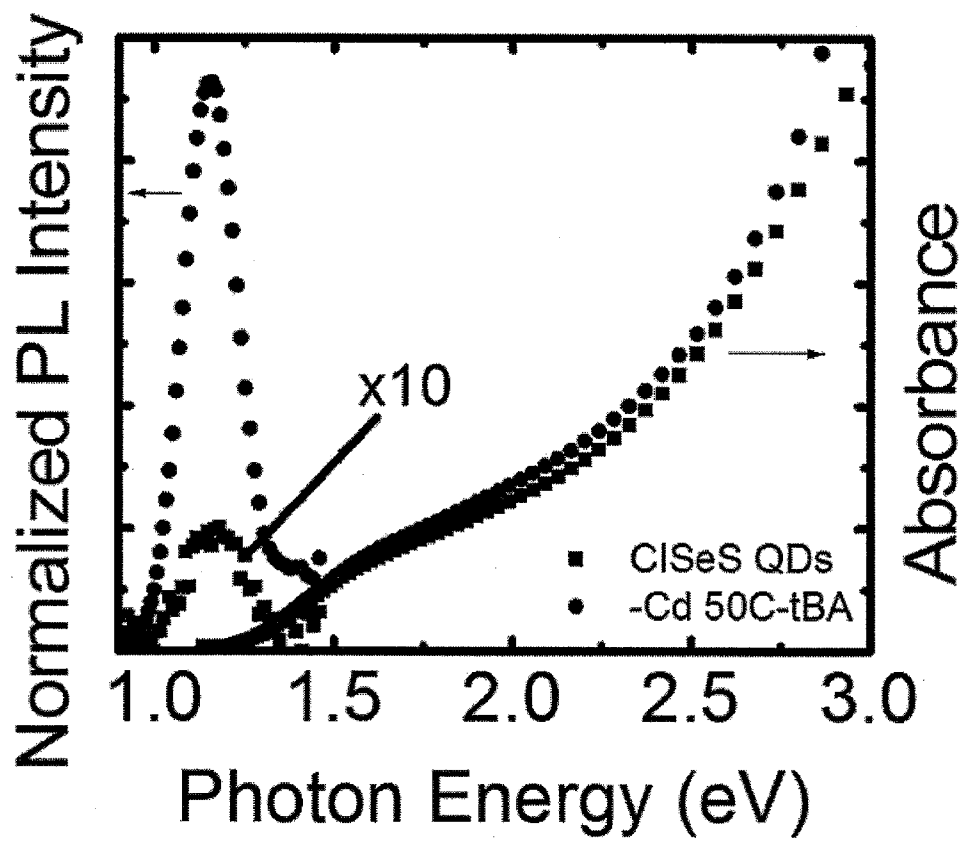
[Fig. 3c]

Fig. 3c

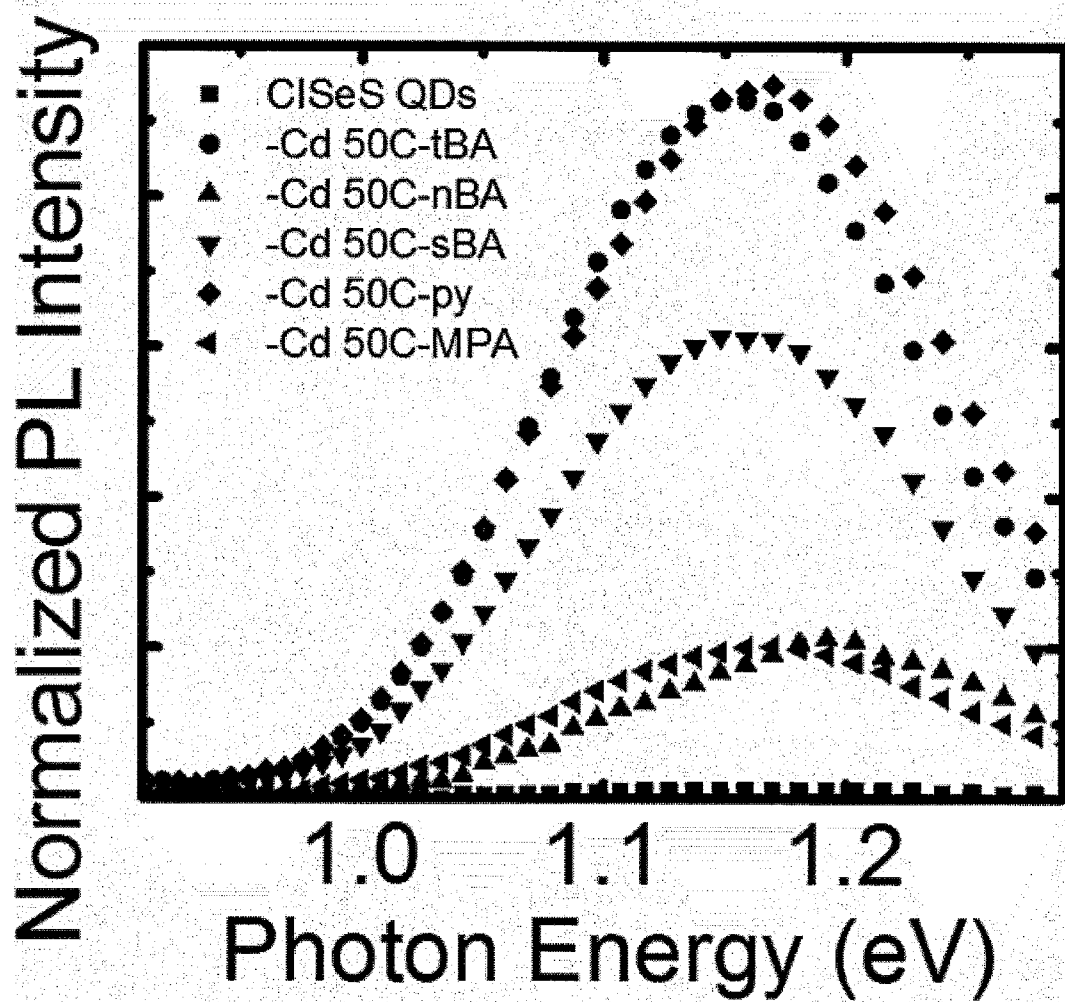
[Fig. 4]

Fig. 4

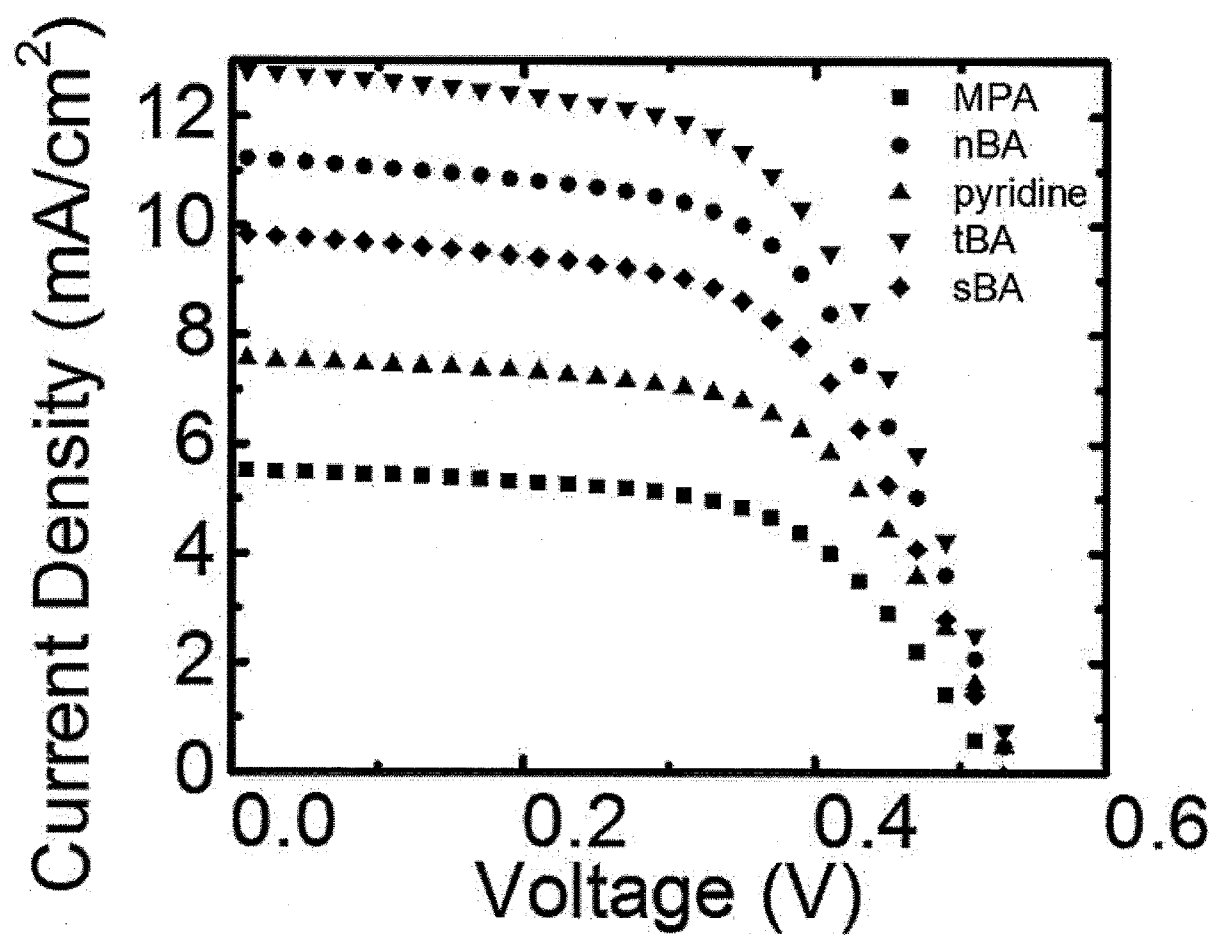
[Fig. 5]

Fig. 5

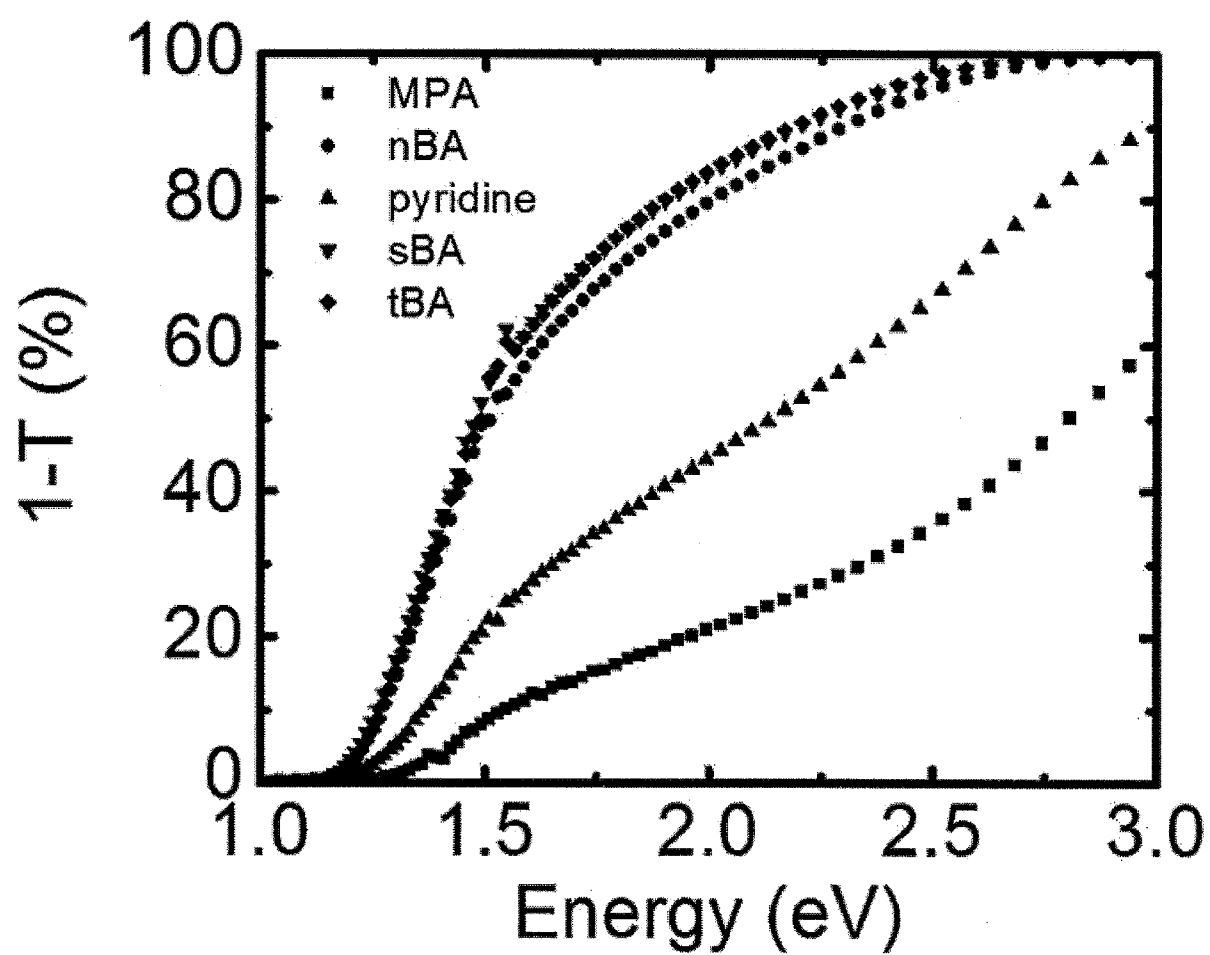
[Fig. 6]

Fig. 6

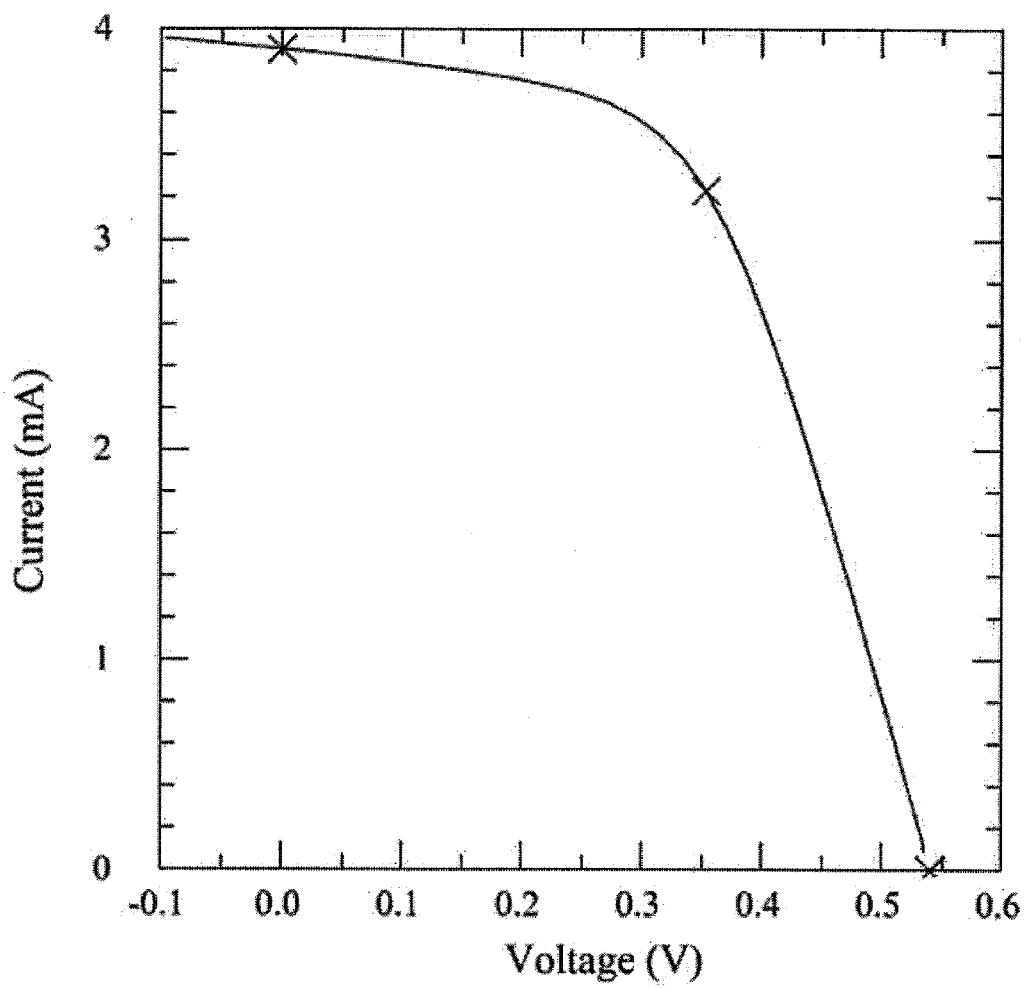
[Fig. 7]

Fig. 7

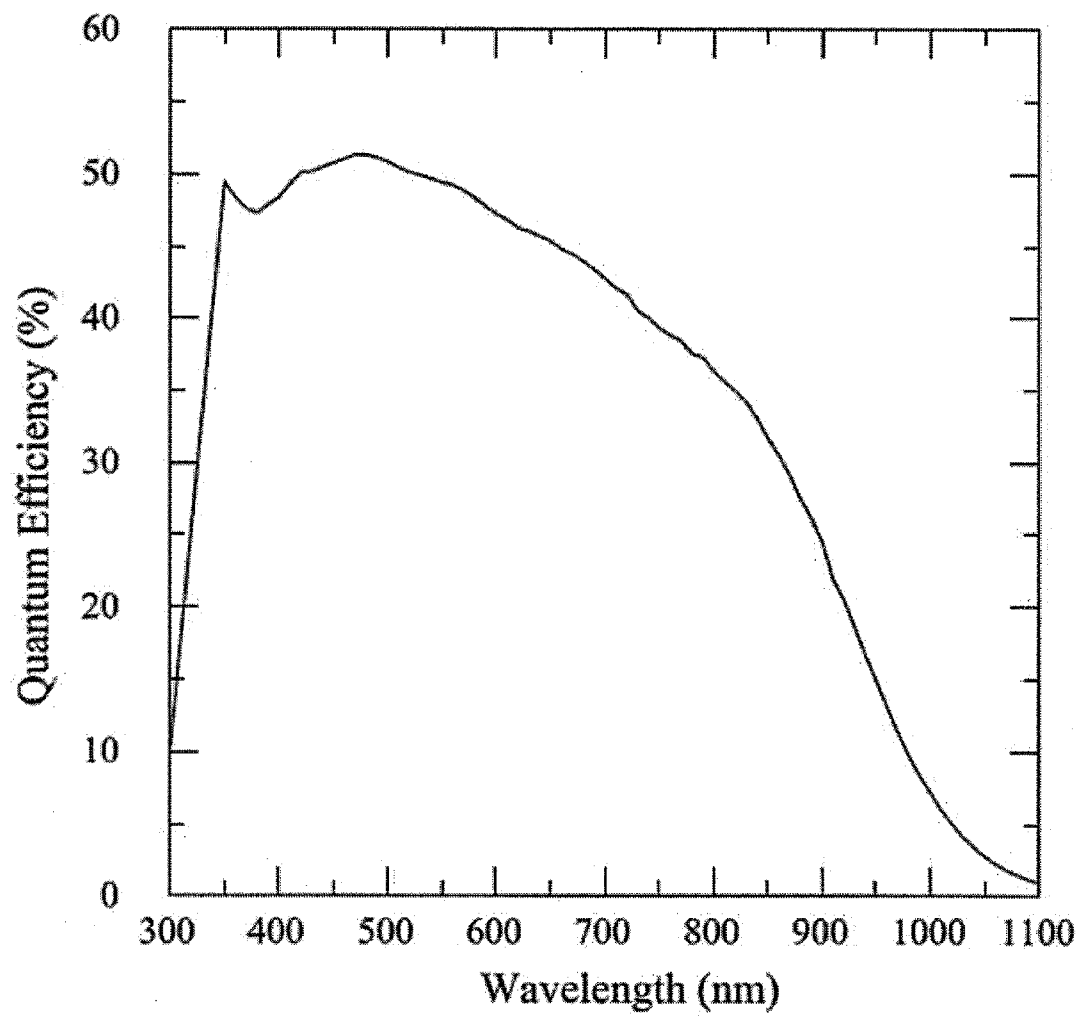
[Fig. 8]

Fig. 8

[Fig. 9]

Fig. 9

[Fig. 10]

Fig. 10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP2013/007128

A. CLASSIFICATION OF SUBJECT MATTER

Int.Cl. H01M14/00 (2006.01) i, H01L31/04 (2014.01) i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Int.Cl. H01M14/00, H01L31/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Published examined utility model applications of Japan 1922-1996
 Published unexamined utility model applications of Japan 1971-2014
 Registered utility model specifications of Japan 1996-2014
 Published registered utility model applications of Japan 1994-2014

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 2012/0103404 A1 (LOS ALAMOS NATIONAL SECURITY, LLC) 2012.05.03, whole document & WO 2012/050621 A1	1-14 15-20
A	US 2009/0159999 A1 (HONEYWELL INTERNATIONAL INC.,) 2009.06.25, whole document (No Family)	1-6
A	WO 2000/017655 A1 (MASSACHUSETTS INSTITUTE OF TECHNOLOGY) 2000.03.30, claims 1,15,16 & US 6251303 B1 & US 6319426 B1 & US 6602671 B1 & GB 2342651 A & EP 1116036 A	1-6
A	JP 2008-16369 A (OSAKA UNIVERSITY) 2008.01.24, whole document (No Family)	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

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“E” earlier application or patent but published on or after the international filing date

“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

“O” document referring to an oral disclosure, use, exhibition or other means

“P” document published prior to the international filing date but later than the priority date claimed

“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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“&” document member of the same patent family

Date of the actual completion of the international search

03.03.2014

Date of mailing of the international search report

11.03.2014

Name and mailing address of the ISA/JP

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP2013/007128

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2012/157789 A2 (KITAMURA Michiko) 2012.11.22, whole document (No Family)	1-20
A	Scott Geyer, Venda J. Porter, Jonathan E. Halpert, Tamar S. Mentzel, Marc A. Kastner, and Mounqi G. Bawendi, Charge transport in mixed CdSe and CdTe colloidal nanocrystal films, Phys. Rev. B, 2010.10.07, Vol.82, 155201	1-6
P,X	US 2013/0206215 A1 (SHARP CORPORATION) 2013.08.15, whole document (No Family)	1-20
P,X	Hunter McDaniel, Nobuhiro Fuke, Jeffrey M. Pietryga, and Victor I. Klimov, Engineered CuInSe _x S _{2-x} Quantum Dots for Sensitized Solar Cells, J. Phys. Chem. Lett., 2013.01.08, vol.4, No.3, pp.355-361	1-20
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P,A	JP 2013-201107 A (ULVAC, INC.) 2013.10.03, whole document (No Family)	1-20
P,A	JP 2013-171661 A (ULVAC, INC.) 2013.09.02, whole document (No Family)	1-20