

**(19) AUSTRALIAN PATENT OFFICE**

(54) Title

Variant Fc regions

(51)<sup>6</sup> International Patent Classification(s)**C07K** 16/00 (2006.01) <sup>8BHAU</sup> **C07K****A61K** 39/395 16/28

(2006.01) 20060101ALI2006101

**C07K** 16/28 (2006.01) <sup>8BHAU</sup> **C12N****C12N** 5/10 (2006.01) 5/10**C12N** 15/12 (2006.01) 20060101ALI2006101C07K 16/00 <sup>8BHAU</sup> **C12N**

20060101AFI2006101 15/12

<sup>8BHAU</sup> **A61K** 20060101ALI200610139/395 <sup>8BHAU</sup>

20060101ALI2006101 PCT/US2005/025276

(21) Application No: 2005274905

(22) Application Date: 2005 .07 .18

(87) WIPO No: W006/020114

(30) Priority Data

(31) Number	(32) Date	(33) Country
60/609,101	2004 .09 .10	US
60/598,855	2004 .08 .04	US
60/643,718	2005 .01 .13	US
60/638,442	2004 .12 .23	US
60/604,339	2004 .08 .25	US
60/602,953	2004 .08 .19	US

(43) Publication Date : 2006 .02 .23

(71) Applicant(s)

Applied Molecular Evolution, Inc.

(72) Inventor(s)

Jiang, Weidong; Tang, Ying; Allan, Barrett; Watkins, Jeffry Dean

(74) Agent/Attorney

Spruson &amp; Ferguson, Level 35 St Martins Tower 31 Market Street, Sydney, NSW, 2000

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
23 February 2006 (23.02.2006)

PCT

(10) International Publication Number  
**WO 2006/020114 A3**(51) International Patent Classification: **C07K 16/00**,  
C12N 15/12, 5/10, A61K 39/395 // C07K 16/28(21) International Application Number:  
PCT/US2005/025276

(22) International Filing Date: 18 July 2005 (18.07.2005)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/598,855 4 August 2004 (04.08.2004) US  
60/602,953 19 August 2004 (19.08.2004) US  
60/604,339 25 August 2004 (25.08.2004) US  
60/609,101 10 September 2004 (10.09.2004) US  
60/638,442 23 December 2004 (23.12.2004) US  
60/643,718 13 January 2005 (13.01.2005) US(71) Applicant (for all designated States except US): **APPLIED MOLECULAR EVOLUTION, INC.** [US/US];  
3520 Dunhill Street, San Diego, California 92121 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **ALLAN, Barrett** [US/US]; 542 San Dieguito Drive, Encinitas, California 92024 (US). **JIANG, Weidong** [US/US]; 234 Acalances Drive, #3, Sunnyvale, California 94086 (US). **TANG, Ying** [CN/US]; 7179 Park Village Road, San Diego, California 92129 (US). **WATKINS, Jeffrey, Dean** [US/US]; 3442 Fortuna Ranch Road, Encinitas, California 92024 (US).(74) Agents: **WISKERCHEN, MaryAnn** et al.; Eli Lilly and Company, P. O. Box 6288, Indianapolis, Indiana 46206-6288 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,

GB, GD, GE, GI, GM, GR, GU, HD, IL, IN, IS, JP, KE, KG, KM, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PI, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

## Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

## Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:  
6 April 2006

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 2006/020114 A3

(54) Title: VARIANT FC REGIONS

(57) Abstract: The present invention provides polypeptides, particularly therapeutic antibodies, comprising a novel, variant Fc region. Furthermore the invention provides variant Fc regions which confer an altered effector function or altered serum half life upon a polypeptide to which it is operable attached.

## VARIANT Fc REGIONS

### FIELD OF THE INVENTION

The present invention relates to polypeptides comprising a novel, variant Fc region. Specifically, a novel, variant Fc region of the present invention comprises at least one amino acid substitution described herein that confers an altered effector function or altered serum half-life upon an immunoglobulin comprising the variant Fc region as compared to the parent immunoglobulin lacking that amino acid substitution. Furthermore, the invention provides a method for altering an effector function of a monoclonal antibody or extending the serum half-life of a polypeptide to which a variant Fc region of the invention is operably attached. Therapeutic uses of polypeptides, proteins, particularly monoclonal antibodies, comprising a variant Fc region of the invention are disclosed.

### BACKGROUND OF THE INVENTION

There are at least seventeen monoclonal antibodies currently approved in the United States for use as human therapeutics. Additionally, there are several hundred monoclonal antibodies in clinical trials and thousands in pre-clinical testing for treatment of various diseases or disorders including, e.g., transplant rejection, cancer, inflammatory diseases, sepsis, nephritis, Alzheimer's disease, allergies, diabetes, autoimmune diseases, arthritis, multiple sclerosis, and infectious diseases. The field of therapeutic monoclonal antibodies is positioned for rapid growth in the coming years. After vaccines, antibodies (or immunoglobulins, "Ig") constitute the second most common type of biopharmaceutical agent being tested clinically (Stockwin, L.H. et al. *Biochemical Society Transactions*, 31:433-436, 2003).

Genetic engineering has contributed substantially to growth of the field of therapeutic monoclonal antibodies. The effectiveness of a potential therapeutic monoclonal antibody will often vary with modest changes to the protein sequence of the antibody. A single amino acid change in the variable region of a monoclonal antibody has the potential to alter the affinity with which the antibody binds the antigenic epitope, as well as antibody properties such as  $K_{on}$  rate or  $K_{off}$  rate. Such amino acid changes may

determine the success or failure of a monoclonal antibody as a therapeutic. Similarly, modest changes in the amino acid sequence of the Fc region of a monoclonal antibody may yield profound changes in the antibody's effector function properties or the half-life of a protein to which the Fc region is operably linked.

5           The Fc region of an antibody (i.e., the carboxy-terminal ends of the heavy chains of an antibody spanning domains CH2, CH3 and a portion of the hinge region (*see* Fig. 1)), is limited in variability and is involved in effecting the physiological roles played by the antibody. The effector functions attributable to the Fc region of an antibody vary with the class and subclass of antibody and include (i) binding of the antibody via the Fc  
10   region to a specific Fc receptor ("FcR") on a cell which triggers various biological responses including, e.g., phagocytosis and destruction of antibody-coated particles, clearance of immune complexes, release of inflammatory mediators, placental transfer of the antibody and control of immunoglobulin production, (ii) complement-dependent cytotoxicity ("CDC") in which the Fc region binds the C1q component of complement  
15   and thereby initiates the classical pathway of complement activation which leads to lysis of the target, (iii) antibody dependent cell-mediated cytotoxicity ("ADCC") in which certain human immune system cells, e.g., phagocytes and NK cells, via an Fc $\gamma$  receptor, bind to the Fc region of an antibody via specific antibody-binding receptors on the immune cells and subsequently signal destruction of the entity to which the antibody is  
20   bound, and, (iv) binding to mast cells, basophils, and eosinophils. The affinity with which an Fc region can bind a particular FcR (e.g., FcRn), or the level with which an Fc region can mediate CDC or ADCC activity are important factors for determining the efficacy and half-life of therapeutic proteins, particularly monoclonal antibodies.

          Particularized modification of amino acids in the Fc region of human IgG is an  
25   active area of study yielding structure-function relationship information relevant to development of therapeutic proteins, particularly monoclonal antibodies (*see*, e.g., U.S. Patent 6,165,745 and PCT Publication No. WO2004/035752 regarding alteration of serum half-life of a polypeptide operably linked to an Fc region and U.S. Patent 6,737,056 and PCT Publication No. WO2004/029207 regarding alteration of an effector  
30   function of a monoclonal antibody comprising a modified Fc region).

          The development of novel therapeutic proteins, particularly monoclonal antibodies, would benefit from the ability to rationally design an Fc region with particular

amino acid modifications that confer a desired beneficial property upon the antibody of interest. All monoclonal antibodies would not be expected to be improved as a therapeutic due to the same particular amino acid modification in the Fc region. A therapeutic monoclonal antibody that binds one target antigen may benefit from an increase in a particular effector function while a different therapeutic monoclonal antibody that binds a different target antigen may benefit from an increase in a different effector function, or even a decrease. One therapeutic monoclonal antibody may benefit from the ability to bind a particular Fc receptor with greater affinity while another antibody may be improved as a therapeutic by binding that Fc receptor at a lower affinity and therefore being cleared from the body at a faster rate. Furthermore, a particular Fc region amino acid modification or substitution and resulting effect that would benefit a therapeutic antibody may depend upon the antigenic target to which the antibody binds and/or the disease or disorder to be ameliorated by the antibody.

Methods and compositions that alter particular effector functions associated with the Fc region of an antibody are necessary to improve the properties of existing therapeutic antibodies as well as to generate novel therapeutic antibodies with desired properties. Monoclonal antibodies with variant Fc regions may be used to treat various diseases or disorders including, e.g., inflammatory disorders, cancer, autoimmune disorders, cell-signalling disorders and infectious diseases. Additionally, methods and compositions that alter the serum half-life of a therapeutic protein, either increasing the half-life and thereby allowing for fewer doses or decreasing the half-life and thereby allowing for more rapid clearance from the body, would benefit the generation of therapeutic antibodies as well as other therapeutic proteins.

What is needed in order to improve the efficacy of a therapeutic protein, particularly a monoclonal antibody, are variant Fc regions with improved properties.

#### Summary of the Invention

In one aspect the invention provides an anti-CD20 antibody comprising a light chain and a heavy chain, wherein the amino acid sequence of the light chain consists of SEQ ID NO:29 and the amino acid sequence of the heavy chain consists of SEQ ID NO:31.

In one aspect the invention provides a pharmaceutical composition comprising the anti-CD20 antibody of the invention.

- 3a -

In one aspect the invention provides the use of an anti-CD20 antibody of the invention in the preparation of a medicament for the treatment of lymphoma or rheumatoid arthritis.

5 In one aspect the invention provides an isolated nucleic acid comprising a nucleic acid selected from the group consisting of:

(a) a nucleic acid encoding a heavy chain wherein the amino acid sequence of the heavy chain consists of SEQ ID NO:31; and

10 (b) a nucleic acid encoding a light chain and a heavy chain wherein the amino acid sequence of the light chain consists of SEQ ID NO:29 and wherein the amino acid sequence of the heavy chain consists of SEQ ID NO:31.

In one aspect the invention provides an expression vector comprising a nucleic acid molecule of the invention.

In one aspect the invention provides a host cell comprising a vector of the invention.

15 In one aspect the invention provides a process of producing an anti-CD20 antibody comprising:

(a) culturing a host cell according to the invention under conditions suitable for the expression of the antibody; and

(b) purifying the antibody.

20 In one aspect the present invention provides an anti-CD20 antibody produced by a process of the invention.

The present invention provides variant Fc regions, i.e., Fc regions comprising an amino acid substitution described herein (e.g., *see* Table 1,) that confer beneficial properties upon polypeptides comprising said variant Fc regions.

25 Fc positions of a parent Fc region at which any amino acid substitution may be made to generate a variant Fc region of the invention include positions 279, 341, 343 and

373 of the Fc region, wherein the numbering of the residues, i.e., their position number, in the Fc region is that of the EU index as in Kabat (*see* Fig. 2 herein). The present invention provides variant Fc regions comprising an amino acid substitution at position 279, 341, 343 or 373 of a parent Fc region, or any combination thereof. The parent Fc region may optionally have non-native amino acid residues at positions other than 279, 341, 343 and 373. The native amino acid residues at these positions for human IgG are valine (279), glycine (341), proline (343) and tyrosine (373).

In preferred embodiments throughout the present invention, the amino acid residue substituted for that present in the parent Fc region is a naturally occurring amino acid residue. Unless otherwise stated, the parent Fc region may be a native or non-native Fc region, preferably of human origin or substantially of human origin. The amino acid sequence of the parent Fc region is preferably that as shown in SEQ ID NOs: 1, 2, 3 or 4. Preferably the parent Fc region has a native amino acid residue present at the position which is to be substituted to generate a variant Fc region of the invention. Furthermore, throughout, it is understood that a variant Fc region is a parent Fc region modified to comprise at least one amino acid substitution as described herein. Additionally, it is understood that a parent Fc region may be a full-length Fc or a portion thereof comprising the amino acid residue to be substituted to generate the variant Fc region.

The present invention further provides polypeptides, preferably monoclonal antibodies, comprising a variant Fc region (or a functional fragment thereof) comprising at least one amino acid substitution at position 279, 341, 343 or 373 as compared to the parent Fc region. The variant Fc region comprising at least one amino acid substitution at Fc position 279, 341, 343 or 373 may further comprise at least one additional amino acid substitution in the Fc region as compared to the amino acid residue present in the native Fc region of the same type as the variant Fc region.

In one embodiment, a variant Fc region (i.e., a variant of a parent Fc region) comprises at least 1, 2, 3 or more amino acid substitutions selected from the following: 235G, 235R, 236F, 236R, 236Y, 237K, 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 244L, 245R, 247A, 247D, 247E, 247F, 247M, 247N, 247Q, 247R, 247S, 247T, 247W, 247Y, 248F, 248P, 248Q, 248W, 249L, 249M, 249N, 249P, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254V, 254W, 254Y, 255K, 255N, 256H, 256I, 256K, 256L, 256V,

- 256W, 256Y, 257A, 257I, 257M, 257N, 257S, 258D, 260S, 262L, 264S, 265K, 265S,  
 267H, 267L, 267K, 268K, 269N, 269Q, 271T, 272H, 272K, 272L, 272R, 279A, 279D,  
 279F, 279G, 279H, 279I, 279K, 279L, 279M, 279N, 279Q, 279R, 279S, 279T, 279W,  
 279Y, 280T, 283F, 283G, 283H, 283I, 283K, 283L, 283M, 283P, 283R, 283T, 283W,  
 5 283Y, 285N, 286F, 288N, 288P, 292E, 292F, 292G, 292I, 292L, 293S, 293V, 301W,  
 304E, 307E, 307M, 312P, 315F, 315K, 315L, 315P, 315R, 316F, 316K, 317P, 317T,  
 318N, 318P, 318T, 332F, 332G, 332L, 332M, 332S, 332V, 332W, 339D, 339E, 339F,  
 339G, 339H, 339I, 339K, 339L, 339M, 339N, 339Q, 339R, 339S, 339W, 339Y, 341D,  
 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T,  
 10 341V, 341W, 341Y, 343A, 343D, 343E, 343F, 343G, 343H, 343I, 343K, 343L, 343M,  
 343N, 343Q, 343R, 343S, 343T, 343V, 343W, 343Y, 373D, 373E, 373F, 373G, 373H,  
 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373S, 373T, 373V, 373W, 375R, 376E,  
 376F, 376G, 376H, 376I, 376L, 376M, 376N, 376P, 376Q, 376R, 376S, 376T, 376V,  
 376W, 376Y, 377G, 377K, 377P, 378N, 379N, 379Q, 379S, 379T, 380D, 380N, 380S,  
 15 380T, 382D, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382P, 382Q, 382R, 382S,  
 382T, 382V, 382W, 382Y, 385E, 385P, 386K, 423N, 424H, 424M, 424V, 426D, 426L,  
 427N, 429A, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M,  
 430N, 430P, 430Q, 430R, 430S, 430T, 430V, 430W, 430Y, 431H, 431K, 431P, 432R,  
 432S, 438G, 438K, 438L, 438T, 438W, 439E, 439H, 439Q, 440D, 440E, 440F, 440G,  
 20 440H, 440I, 440K, 440L, 440M, 440Q, 440T, 440V or 442K.
- In a preferred embodiment, a variant Fc region comprises at least 1, 2, 3 or more  
 amino acid substitutions selected from the following: 235G, 236F, 236R, 236Y, 237K,  
 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 245R, 247A, 247D,  
 247E, 247F, 247M, 247N, 247Q, 247R, 247T, 247W, 247Y, 248F, 248P, 248Q, 248W,  
 25 249L, 249M, 249N, 249P, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H,  
 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254V, 254W, 254Y, 255K, 255N,  
 256H, 256I, 256K, 256L, 256W, 257A, 257I, 257M, 257N, 257S, 258D, 260S, 262L,  
 264S, 265K, 265S, 267H, 267I, 267K, 268K, 269N, 269Q, 271T, 272H, 272K, 272R,  
 279A, 279D, 279G, 279H, 279N, 279Q, 279S, 279T, 279W, 279Y, 280T, 283F, 283H,  
 30 283K, 283M, 283R, 283W, 285N, 286F, 288N, 288P, 292E, 292G, 292I, 301W, 304E,  
 307E, 307M, 312P, 315F, 315L, 315P, 316F, 317P, 317T, 318N, 318P, 318T, 332L,  
 332M, 332R, 332S, 332W, 339D, 339F, 339I, 339K, 339M, 339N, 339Q, 339R, 339S,



339W, 339Y, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q,  
 341R, 341S, 341T, 341V, 341W, 341Y, 343D, 343E, 343G, 343H, 343K, 343N, 343Q,  
 343R, 343S, 343T, 343W, 343Y, 373D, 373E, 373G, 373H, 373I, 373K, 373L, 373M,  
 373Q, 373R, 373S, 373T, 373W, 375R, 376G, 376N, 376P, 376Q, 376R, 376S, 376T,  
 5 376V, 376W, 376Y, 377G, 377K, 377P, 378D, 378N, 379N, 379Q, 379T, 380N, 380S,  
 380T, 382D, 382F, 382I, 382K, 382L, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y,  
 385E, 386K, 423N, 424H, 424M, 424V, 426D, 426L, 427N, 429A, 429F, 429M, 430A,  
 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430Q, 430R, 430S,  
 430T, 430V, 430W, 430Y, 431H, 431K, 431P, 432R, 432S, 438K, 438L, 438T, 438W,  
 10 439E, 440D, 440I or 440L.

The variant Fc regions of the present invention are preferably characterized using one of more of the experimental methods described herein. Such variant Fc regions confer an altered effector function or altered serum half-life upon a monoclonal antibody that comprises the variant Fc region or an altered serum half-life upon a polypeptide to which the variant Fc region is operably attached.

Preferably the parent Fc region of a variant Fc region of the invention is a native or germline-encoded Fc region of human origin selected from the group consisting of IgG, IgA, IgE, IgM and IgD or a polymorphic variant thereof, or a functional fragment thereof. Preferably the parent Fc region is an IgG Fc region, and more preferably, an IgG1, IgG3, or IgG4 Fc region. The parent Fc region may optionally comprise one or more additional amino acid substitution(s) as compared to the native Fc region, other than those described herein (i.e., those substitutions listed in Table 1), particularly one or more amino acid substitutions known in the art or as described in U.S. Patents 6,165,745 or 6,737,056; or PCT Publication Nos. WO2004/035752 or WO2004/029207 (all of which are incorporated herein in their entirety); such amino acid substitution(s), if present in the parent Fc region, would then also be present in the variant Fc region of the invention and in a polypeptide comprising a variant Fc region of the invention, unless it was at a position subsequently substituted to generate the variant Fc region.

The invention provides a polypeptide, preferably a monoclonal antibody, comprising a variant Fc region of the invention, or a functional fragment thereof. In a preferred embodiment, a monoclonal antibody comprising a variant Fc region of the invention is a chimeric antibody. In a more preferred embodiment, a monoclonal

antibody comprising a variant Fc region of the invention is a humanized antibody or a human antibody in which framework sequence and constant region sequence present in the antibody is substantially of human origin. The chimeric, humanized or human antibody is preferably a full-length antibody or a single chain antibody. When a monoclonal antibody comprising a variant Fc region of the invention is to be used as a human therapeutic, the Fc region is preferably substantially of human origin.

- Preferably a polypeptide comprising, or operably attached to, a variant Fc region of the invention (i.e., "variant polypeptide") has at least one amino acid substitution in the variant Fc region as compared to the parent Fc region, and displays an altered effector function or altered serum half-life as compared to that of the polypeptide comprising the parent Fc region of said variant Fc region, wherein the "at least one amino acid substitution in the variant Fc region" is (i) any amino acid substitution at position 279, 341, 343 or 373 of the Fc region or (ii) at least one of the following amino acid substitutions in the Fc region: 235G, 235R, 236F, 236R, 236Y, 237K, 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 244L, 245R, 247A, 247D, 247E, 247F, 247M, 247N, 247Q, 247R, 247S, 247T, 247W, 247Y, 248F, 248P, 248Q, 248W, 249L, 249M, 249N, 249P, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254V, 254W, 254Y, 255K, 255N, 256H, 256I, 256K, 256L, 256V, 256W, 256Y, 257A, 257I, 257M, 257N, 257S, 258D, 260S, 262L, 264S, 265K, 265S, 267H, 267I, 267K, 268K, 269N, 269Q, 271T, 272H, 272K, 272L, 272R, 279A, 279D, 279F, 279G, 279H, 279I, 279K, 279L, 279M, 279N, 279Q, 279R, 279S, 279T, 279W, 279Y, 280T, 283F, 283G, 283H, 283I, 283K, 283L, 283M, 283P, 283R, 283T, 283W, 283Y, 285N, 286F, 288N, 288P, 292E, 292F, 292G, 292I, 292L, 293S, 293V, 301W, 304E, 307E, 307M, 312P, 315F, 315K, 315L, 315P, 315R, 316F, 316K, 317P, 317T, 318N, 318P, 318T, 332F, 332G, 332L, 332M, 332S, 332V, 332W, 339D, 339E, 339F, 339G, 339H, 339I, 339K, 339L, 339M, 339N, 339Q, 339R, 339S, 339W, 339Y, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343A, 343D, 343E, 343F, 343G, 343H, 343I, 343K, 343L, 343M, 343N, 343Q, 343R, 343S, 343T, 343V, 343W, 343Y, 373D, 373E, 373F, 373G, 373H, 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373S, 373T, 373V, 373W, 375R, 376E, 376F, 376G, 376H, 376I, 376L, 376M, 376N, 376P, 376Q, 376R, 376S, 376T, 376V, 376W, 376Y, 377G, 377K, 377P, 378N, 379N, 379Q, 379S,

- 379T, 380D, 380N, 380S, 380T, 382D, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382P, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 385E, 385P, 386K, 423N, 424H, 424M, 424V, 426D, 426L, 427N, 429A, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430Q, 430R, 430S, 430T, 430V, 430W, 430Y,
- 5 431H, 431K, 431P, 432R, 432S, 438G, 438K, 438L, 438T, 438W, 439E, 439H, 439Q, 440D, 440E, 440F, 440G, 440H, 440I, 440K, 440L, 440M, 440Q, 440T, 440V or 442K, or (iii) at least two amino acid substitutions as listed in (i) or (ii) above, or (iv) at least 1, 2 or 3 amino acid substitutions as listed in (i) or (ii) above in addition to at least one Fc region amino acid substitution not listed in (i) or (ii) above. Preferably the altered
- 10 effector function is an increase in ADCC, a decrease in ADCC, an increase in CDC, a decrease in CDC, an increase in C1q binding affinity, a decrease in C1q binding affinity, an increase in FcR (preferably FcRn) binding affinity or a decrease in FcR (preferably FcRn) binding affinity as compared to said polypeptide lacking the amino acid substitution in the Fc region (i.e., parent Fc region).
- 15 The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 247A, 247F, 247M, 247T, 247V, 247Y, 249E, 249Y, 254F, 254M, 254Y, 256A, 258D, 279A, 283A, 283I, 283K, 283M, 283R, 288N, 292A, 311A, 311D, 311N, 311T, 311V, 311Y, 315L, 318N, 318P, 318T, 318V, 332T, 332V, 339D, 339F, 339G, 339I, 339K, 339M,
- 20 339N, 339Q, 339R, 339S, 339T, 376A, 376V, 377G, 377K, 379N, 380N, 380S, 382A, 382I, 385E, 427N, 429M, 434W, 436I, 440G, 440H, 440I or 440L, [preferably 247A, 247F, 247M, 247T, 247V, 247Y, 254F, 254Y, 258D, 279A, 283M, 288N, 292A, 311D, 311N, 311T, 315L, 318N, 318P, 318T, 318V, 339D, 339I, 339K, 339M, 339N, 339Q, 339R, 339S, 376A, 376V, 377K, 379N, 380N, 382A, 440I or 440L], wherein the
- 25 monoclonal antibody comprising the variant Fc region displays enhanced ADCC as compared to the monoclonal antibody comprising the parent Fc region.
- The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 235Q,
- 30 235R, 235S, 236F, 236R, 236Y, 237E, 237K, 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 247G, 247R, 249L, 249P, 250K, 250M, 250R, 251H, 251I, 251W, 252Y, 254L, 254P, 254Q, 254T, 254V, 256V, 257A, 257I, 257M, 257N, 257S, 257V, 260S, 262L, 264S, 265H, 265K, 265S, 267G, 267H, 267I, 267K, 269N, 269Q,

270A, 270G, 270K, 270M, 270N, 271T, 272H, 272K, 272L, 272N, 272R, 279D, 279F, 279K, 279L, 279W, 283D, 283F, 283G, 283H, 283L, 283W, 283Y, 285N, 288P, 292E, 292F, 292G, 292I, 293S, 293V, 301W, 304E, 307A, 307E, 307M, 311F, 311I, 311K, 311S, 312P, 314F, 314I, 314V, 314W, 315F, 315P, 316F, 317P, 327T, 328V, 329Y, 332G, 332K, 332L, 332R, 332W, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341W, 341Y, 343A, 343D, 343E, 343F, 343G, 343H, 343L, 343M, 343N, 343Q, 343R, 343S, 343T, 343V, 343W, 343Y, 373A, 373D, 373E, 373F, 373G, 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373S, 373T, 373V, 373W, 375R, 376A, 376E, 376F, 376G, 376H, 376W, 376Y, 379Q, 382D, 382S, 430H, 430K, 430N, 430Q, 430R, 430W, 432R, 432S, 434I, 440D, 440T, 440V or 442K, [preferably 235R, 236F, 236Y, 237E, 237K, 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 247R, 250K, 251H, 254T, 257I, 257M, 257N, 257S, 257V, 265H, 265K, 265S, 267G, 267H, 267I, 267K, 269N, 269Q, 270A, 270G, 270K, 270M, 270N, 271T, 272N, 272R, 288P, 292E, 301W, 304E, 316F, 317P, 327T, 328V, 329Y, 332K, 332R, 341F, 341I, 341M, 341P, 341Q, 341R, 341T, 341W, 341Y, 343W, 373A, 373E, 373G, 373S, 376A, 376W, 432R or 432S], wherein the monoclonal antibody comprising the variant Fc region displays diminished ADCC activity as compared to the monoclonal antibody comprising the parent Fc region.

The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 238L, 244L, 245R, 249P, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S, 262L, 270K, 272L, 272R, 279A, 279D, 279G, 279H, 279M, 279N, 279Q, 279R, 279S, 279T, 279W, 279Y, 283A, 283D, 283F, 283G, 283H, 283I, 283K, 283L, 283N, 283P, 283Q, 283R, 283S, 283T, 283W, 283Y, 285N, 286F, 288N, 288P, 293V, 307E, 307M, 311A, 311I, 311K, 311L, 311M, 311V, 311W, 312P, 316K, 317P, 318N, 318T, 332F, 332H, 332K, 332L, 332M, 332R, 332S, 332W, 339N, 339T, 339W, 341P, 343E, 343H, 343K, 343Q, 343R, 343T, 343Y, 375R, 376G, 376I, 376M, 376P, 376T, 376V, 377K, 378D, 378N, 380N, 380S, 380T, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430Q, 430R, 430S, 430T, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 436T, 438K, 438L, 438T, 438W, 440K or 442K, [preferably 245R, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S,

262L, 279A, 279D, 279G, 279H, 279N, 279Q, 279S, 279T, 279W, 279Y, 283F, 283H, 283K, 283R, 285N, 286F, 307E, 307M, 311I, 311K, 311L, 311M, 312P, 318N, 318T, 332S, 339W, 343E, 343H, 343K, 343Q, 343R, 375R, 377K, 378D, 378N, 380S, 380T, 382F, 382K, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430H, 430I, 430L, 430M, 430N, 430Q, 430R, 430S, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 438K, 438L or 438W] wherein the monoclonal antibody comprising the variant Fc region displays enhanced FcRn binding affinity as compared to the monoclonal antibody comprising the parent Fc region.

The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 235Q, 236Y, 237K, 237R, 238E, 238G, 238H, 238W, 247A, 247D, 247E, 247F, 247G, 247H, 247I, 247L, 247M, 247N, 247Q, 247R, 247S, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249Y, 251F, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256I, 256K, 256M, 256R, 256W, 256Y, 264S, 265S, 265Y, 267G, 267I, 268D, 268K, 270A, 270M, 279I, 279K, 279L, 280T, 292E, 292F, 292G, 292I, 292L, 311D, 311E, 311F, 311G, 311N, 311R, 311Y, 315F, 315K, 315P, 316F, 317T, 326W, 327T, 339E, 339G, 339L, 339R, 341D, 341E, 341F, 341I, 341K, 341L, 341M, 341N, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343M, 343V, 343W, 373A, 373D, 373G, 373K, 373L, 373M, 373N, 373Q, 373S, 373T, 373V, 373W, 376H, 376L, 376W, 376Y, 424M, 424V, 426D, 429A, 429F, 429M, 430D, 430W, 431P, 432R, 432S, 439Q, 440A, 440D, 440E, 440F or 440M, [preferably 237R, 247D, 247E, 247F, 247H, 247I, 247L, 247M, 247N, 247Q, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249L, 249M, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256K, 256M, 256R, 256W, 265Y, 280T, 292G, 292I, 311D, 311E, 311G, 311N, 315F, 315P, 316T, 317T, 327T, 341D, 341E, 341F, 341I, 341L, 341Y, 343W, 373A, 373G, 373M, 373Q, 376W, 376Y, 424M, 424V, 430D, 430W, 431P or 432S], wherein the monoclonal antibody comprising the variant Fc region displays diminished FcRn binding affinity as compared to the monoclonal antibody comprising the parent Fc region.

The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 236Y,

- 244L, 247A, 247D, 247E, 247G, 247N, 247Q, 247R, 247S, 247W, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249N, 249P, 249Y, 251F, 251H, 251I, 251W, 254A, 254F, 254K, 254L, 254M, 254R, 254Y, 255K, 256A, 256G, 256I, 256L, 256M, 256P, 256Q, 256W, 256Y, 260S, 268D, 279Q, 279S, 279W, 279Y, 280K, 280T, 283F, 283G, 283H, 283I, 283K, 283L, 283M, 283N, 283P, 283R, 283S, 283W, 292L, 307A, 307M, 311F, 311I, 311K, 311L, 311M, 311T, 311V, 311W, 311Y, 312P, 314F, 314I, 314V, 314W, 314Y, 315F, 315K, 315L, 315P, 315R, 316K, 317P, 317T, 318N, 318T, 332A, 332D, 332E, 332F, 332G, 332L, 332M, 332Q, 332S, 332T, 332V, 332W, 332Y, 339D, 339F, 339G, 339H, 339I, 339K, 339N, 339Q, 339R, 339S, 339T, 339W, 339Y, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343A, 343D, 343E, 343G, 343H, 343K, 343L, 343M, 343N, 343Q, 343R, 343S, 343T, 343W, 343Y, 373D, 373E, 373F, 373H, 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373T, 373V, 373W, 375R, 376A, 376F, 376G, 376H, 376L, 376N, 376P, 376Q, 376R, 376S, 376T, 376V, 377P, 379N, 379Q, 379S, 379T, 380A, 380N, 380S, 380T, 382I, 382L, 382Q, 382V, 386K, 426D, 426L, 429A, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430R, 430S, 430T, 430V, 430W, 430Y, 431H, 431P, 432R, 432S, 434W, 434Y, 438L, 438W, 440Q or 440Y, [preferably 236Y, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249N, 249Y, 251H, 251I, 251W, 254F, 254K, 254L, 254M, 254R, 254Y, 255K, 256A, 256G, 256I, 256L, 256M, 256P, 256Q, 256W, 260S, 280K, 283W, 307M, 311F, 311I, 311K, 311L, 311M, 311T, 311V, 311W, 311Y, 314I, 314V, 314W, 314Y, 315P, 317P, 332D, 332L, 332M, 332S, 332W, 339D, 339F, 339I, 339K, 339N, 339S, 339W, 339Y, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343D, 343E, 343G, 343H, 343K, 343N, 343Q, 343R, 343S, 343T, 343W, 343Y, 373E, 373F, 373H, 373I, 373K, 373L, 373Q, 373R, 373T, 373W, 376A, 376G, 376N, 376P, 376Q, 376R, 376S, 376T, 376V, 377P, 379N, 379Q, 379T, 382I, 382L, 386K, 426D, 426L, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430R, 430S, 430T, 430V, 430W, 430Y, 431H, 431P, 434Y, 438L or 440Y]
- wherein the monoclonal antibody comprising the variant Fc region displays enhanced CDC activity as compared to the monoclonal antibody comprising the parent Fc region.

The invention provides a monoclonal antibody comprising a variant Fc region comprising at least one of the following amino acid substitutions in the Fc region: 235G,

235S, 236R, 237E, 237K, 237N, 237R, 238A, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 245R, 247H, 247I, 247L, 247T, 247Y, 250M, 252Y, 254D, 254E, 254I, 254P, 254Q, 254T, 254V, 255N, 257A, 257I, 257M, 257N, 257S, 257V, 262L, 264S, 265H, 265Y, 267G, 267H, 267I, 267K, 268K, 269N, 269Q, 270G, 270M, 270N, 271T, 272H, 272L, 272N, 292A, 293S, 301W, 307E, 311E, 311S, 316F, 318P, 327T, 328V, 329Y, 330K, 330R, 332E, 332M, 343I, 373S, 378D, 380D, 382D, 382F, 382N, 382P, 382R, 382S, 382W, 382Y, 385E, 385P, 423N, 424H, 424M or 427N [preferably 235G, 235S, 236R, 237E, 237K, 237N, 237R, 238A, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 245R, 247I, 247L, 247T, 250M, 257A, 257I, 257M, 262L, 264S, 267G, 267H, 267I, 267K, 268K, 269N, 269Q, 270G, 270M, 270N, 271T, 272H, 301W, 311S, 327T, 329Y, 330K, 378D, 385E, 423N or 424H] wherein the monoclonal antibody comprising the variant Fc region displays diminished CDC activity as compared to the monoclonal antibody comprising the parent Fc region.

The invention further embodies a monoclonal antibody comprising a variant Fc region of the invention, wherein said antibody specifically binds a human target antigen. Preferably the target antigen is selected from the group consisting of CD3, CD20, CD25, TNF $\alpha$ , Her2/neu, CD33, CD52, EGFR, EpCAM, MUC1, GD3, CEA, CA125, HLA-DR, TGF $\beta$ , VEGF, GDF8, GDF11, ghrelin, or any precursor or functional fragment thereof.

The invention provides a variant polypeptide comprising a variant Fc region comprising at least one of the following amino acid substitution in the Fc region: 238L, 244L, 245R, 249P, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S, 262L, 270K, 272L, 272R, 279A, 279D, 279G, 279H, 279M, 279N, 279Q, 279R, 279S, 279T, 279W, 279Y, 283A, 283D, 283F, 283G, 283H, 283I, 283K, 283L, 283N, 283P, 283Q, 283R, 283S, 283T, 283W, 283Y, 285N, 286F, 288N, 288P, 293V, 307E, 307M, 311A, 311I, 311K, 311L, 311M, 311V, 311W, 312P, 316K, 317P, 318N, 318T, 332F, 332H, 332K, 332L, 332M, 332R, 332S, 332W, 339N, 339T, 339W, 341P, 343E, 343H, 343K, 343Q, 343R, 343T, 343Y, 375R, 376G, 376I, 376M, 376P, 376T, 376V, 377K, 378D, 378N, 380N, 380S, 380T, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430Q, 430R, 430S, 430T, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 436T, 438K, 438L, 438T, 438W, 440K or 442K, [preferably 245R, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S,

- 262L, 279A, 279D, 279G, 279H, 279N, 279Q, 279S, 279T, 279W, 279Y, 283F, 283H, 283K, 283R, 285N, 286F, 307E, 307M, 311I, 311K, 311L, 311M, 312P, 318N, 318T, 332S, 339W, 343E, 343H, 343K, 343Q, 343R, 375R, 377K, 378D, 378N, 380S, 380T, 382F, 382K, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430H, 430I, 430L, 430M, 430N, 430Q, 430R, 430S, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 438K, 438L or 438W], wherein the variant polypeptide displays enhanced serum half-life as compared to the parent polypeptide (i.e., a polypeptide identical to the variant polypeptide but lacking the amino acid substitution listed hereinabove).
- 10 The invention provides a variant polypeptide comprising a variant Fc region comprising at least one of the following amino acid substitution in the Fc region: 235Q, 236Y, 237K, 237R, 238E, 238G, 238H, 238W, 247A, 247D, 247E, 247F, 247G, 247H, 247I, 247L, 247M, 247N, 247Q, 247R, 247S, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249Y, 251F, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256I, 256K, 256M, 256R, 256W, 256Y, 264S, 265S, 265Y, 267G, 267I, 268D, 268K, 270A, 270M, 279I, 279K, 279L, 280T, 292E, 292F, 292G, 292I, 292L, 311D, 311E, 311F, 311G, 311N, 311R, 311Y, 315F, 315K, 315P, 316F, 317T, 326W, 327T, 339E, 339G, 339L, 339R, 341D, 341E, 341F, 341I, 341K, 341L, 341M, 341N, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343M, 343V, 343W, 373A, 373D, 373G, 373K, 373L, 373M, 373N, 373Q, 373S, 373T, 373V, 373W, 376H, 376L, 376W, 376Y, 424M, 424V, 426D, 429A, 429F, 429M, 430D, 430W, 431P, 432R, 432S, 439Q, 440D, 440E, 440F or 440M [preferably 237R, 247D, 247E, 247F, 247H, 247L, 247M, 247N, 247Q, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249L, 249M, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256K, 256M, 256R, 256W, 265Y, 280T, 292G, 292I, 311D, 311E, 311G, 311N, 315F, 315P, 316T, 317T, 327T, 341D, 341E, 341F, 341I, 341L, 341Y, 343W, 373A, 373G, 373M, 373Q, 376W, 376Y, 424M, 424V, 430D, 430W, 431P or 432S], wherein the variant polypeptide displays diminished serum half-life as compared to the parent polypeptide (i.e., a polypeptide identical to the variant polypeptide but lacking the amino acid substitution listed hereinabove).



In one embodiment the invention provides a method for increasing the ADCC activity of a monoclonal antibody, preferably a therapeutic monoclonal antibody (or functional fragment thereof), comprising engineering a nucleic acid comprising a nucleic acid encoding a variant Fc region comprising at least one of the following amino acid

5 substitutions: 247A, 247F, 247H, 247I, 247L, 247M, 247T, 247V, 247Y, 249E, 249Y, 251F, 254F, 254M, 254Y, 256A, 256M, 258D, 268D, 268E, 279A, 280A, 280K, 283A, 283I, 283K, 283M, 283R, 288N, 292A, 311A, 311D, 311N, 311T, 311V, 311Y, 315L, 318N, 318P, 318T, 318V, 330K, 332T, 332V, 339D, 339F, 339G, 339I, 339K, 339M, 339N, 339Q, 339R, 339S, 339T, 376A, 376V, 377G, 377K, 379N, 380N, 380S, 382A,

10 382I, 385E, 427N, 429M, 434W, 436I, 440G, 440H, 440I or 440L [preferably 247A, 247F, 247M, 247T, 247V, 247Y, 254F, 254Y, 258D, 279A, 283M, 288N, 292A, 311D, 311N, 311T, 315L, 318N, 318P, 318T, 318V, 339D, 339I, 339K, 339M, 339N, 339Q, 339R, 339S, 376A, 376V, 377K, 379N, 380N, 382A, 440I or 440L]. The nucleic acid molecule encoding the variant Fc region may be engineered (e.g., from a nucleic acid

15 molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above either while the nucleic acid molecule is operably attached to additional antibody-encoding nucleic acid, (e.g., the nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region (i.e., after

20 introduction of at least one amino acid substitution listed above) to additional antibody-encoding nucleic acid. The method may further comprise expression and purification of the monoclonal antibody comprising the variant Fc region. The method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring ADCC activity of the

25 monoclonal antibody comprising the variant Fc region and of the monoclonal antibody comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a monoclonal antibody comprising a variant Fc region with ADCC activity greater than that of the monoclonal antibody comprising the parent Fc region (i.e., enhanced, preferably by at least 5%, 10%, 12%, 14%, 16%,

30 18%, 20% or more). The invention further embodies a monoclonal antibody, or functional fragment thereof, comprising a variant Fc region produced by the method.

In one embodiment the invention provides a method for decreasing the ADCC activity of a monoclonal antibody, preferably a therapeutic monoclonal antibody (or functional fragment thereof), comprising engineering a nucleic acid comprising a nucleic acid encoding a variant Fc region comprising at least one of the following amino acid

5 substitutions: 235Q, 235R, 235S, 236F, 236R, 236Y, 237E, 237K, 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 247G, 247R, 249L, 249P, 250K, 250M, 250R, 251H, 251I, 251W, 252Y, 254L, 254P, S254Q, 254T, 254V, 256V, 257A, 257I, 257M, 257N, 257S, 257V, 260S, 262L, 264S, 265H, 265K, 265S, 267G, S267H, 267I, 267K, 269N, 269Q, 270A, 270G, 270K, 270M, 270N, 271T, 272H, 272K, 272L, 272N,

10 272R, 279D, 279F, 279K, 279L, 279W, 283D, 283F, 283G, 283H, 283L, 283T, 283W, 283Y, 285N, 288P, 292E, 292F, 292G, 292I, 293S, 293V, 301W, 304E, 307A, 307E, 307M, 311F, 311I, 311K, 311S, 312P, 314F, 314I, 314V, 314W, 315F, 315P, 316F, 317P, 327T, 328V, 329Y, 332G, 332K, 332L, 332R, 332W, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341W, 341Y, 343A,

15 343D, 343E, 343F, 343G, 343H, 343L, 343M, 343N, 343Q, 343R, 343S, 343T, 343V, 343W, 343Y, 373A, 373D, 373E, 373F, 373G, 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373S, 373T, 373V, 373W, 375R, 376A, 376E, 376F, 376G, 376H, 376W, 376Y, 379Q, 382D, 382S, 429A, 429F, 430H, 430K, 430N, 430Q, 430R, 430W, 432R, 432S, 434I, 440D, 440T, 440V or 442K [preferably 235R, 236F, 236R, 236Y, 237E, 237K,

20 237N, 237R, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 247R, 249P, 250K, 251H, 254T, 257I, 257M, 257N, 257S, 257V, 265H, 265K, 265S, 267G, 267H, 267I, 267K, 269N, 269Q, 270A, 270G, 270K, 270M, 270N, 271T, 272R, 288P, 292E, 301W, 304E, 316F, 317P, 327T, 329Y, 332K, 332R, 341F, 341I, 341M, 341P, 341Q, 341R, 341T, 341W, 341Y, 343W, 373A, 373E, 373G, 373S, 376W, 429A, 432R or 432S]. The

25 nucleic acid molecule encoding the variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above either while the nucleic acid molecule is operably attached to additional antibody-encoding nucleic acid, (e.g., the nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further

30 comprise subsequently operably attaching the nucleic acid encoding the variant Fc region (i.e., after introduction of at least one amino acid substitution listed above) to additional antibody-encoding nucleic acid. The method may further comprise expression and

- purification of the monoclonal antibody comprising the variant Fc region. The method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring ADCC activity of the monoclonal antibody comprising the variant Fc region and of the monoclonal antibody
- 5 comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a monoclonal antibody comprising a variant Fc region with ADCC activity less than that of the monoclonal antibody comprising the parent Fc region (i.e., diminished, preferably by at least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a monoclonal antibody, or functional
- 10 fragment thereof, comprising a variant Fc region produced by the method.

- In one embodiment the invention provides a method for increasing the FcRn binding affinity of a monoclonal antibody, preferably a therapeutic monoclonal antibody (or functional fragment thereof), comprising engineering a nucleic acid comprising a nucleic acid encoding a variant Fc region comprising at least one of the following amino
- 15 acid substitutions: 238L, 244L, 245R, 249P, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S, 262L, 270K, 272L, 272R, 279A, 279D, 279G, 279H, 279M, 279N, 279Q, 279R, 279S, 279T, 279W, 279Y, 283A, 283D, 283F, 283G, 283H, 283I, 283K, 283L, 283N, 283P, 283Q, 283R, 283S, 283T, 283W, 283Y, 285N, 286F, 288N, 288P, 293V, 307A, 307E, 307M, 311A, 311I, 311K, 311L, 311M, 311V, 311W, 312P,
- 20 316K, 317P, 318N, 318T, 332F, 332H, 332K, 332L, 332M, 332R, 332S, 332W, 339N, 339T, 339W, 341P, 343E, 343H, 343K, 343Q, 343R, 343T, 343Y, 375R, 376G, 376L, 376M, 376P, 376T, 376V, 377K, 378D, 378N, 380N, 380S, 380T, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430Q, 430R, 430S, 430T,
- 25 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 436T, 438K, 438L, 438T, 438W, 440K or 442K [preferably 245R, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 262L, 279A, 279D, 279G, 279H, 279N, 279Q, 279S, 279T, 279W, 279Y, 283F, 283H, 283K, 283R, 285N, 286F, 307A, 307E, 307M, 311I, 311K, 311L, 311M, 312P, 318N, 318T, 332S, 339W, 343E, 343H, 343K, 343Q, 343R, 375R,
- 30 377K, 378D, 378N, 380S, 380T, 382F, 382K, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430H, 430I, 430L, 430M, 430N, 430Q, 430R, 430S, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 438K, 438L or

- 438W]. The nucleic acid molecule encoding the variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above either while the nucleic acid molecule is operably attached to additional antibody-encoding nucleic acid, (e.g., the
- 5 nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region (i.e., after introduction of at least one amino acid substitution listed above) to additional antibody-encoding nucleic acid. The method may further comprise expression and purification of the monoclonal antibody comprising the variant Fc region. The
- 10 method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring FcRn binding affinity of the monoclonal antibody comprising the variant Fc region and of the monoclonal antibody comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a monoclonal
- 15 antibody comprising a variant Fc region with FcRn binding affinity greater than the FcRn binding affinity of the monoclonal antibody comprising the parent Fc region (i.e., enhanced, preferably by at least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a monoclonal antibody, or functional fragment thereof, comprising a variant Fc region produced by the method.
- 20 In one embodiment the invention provides a method for increasing the in vivo serum half-life of a polypeptide, preferably a therapeutic polypeptide, comprising engineering a nucleic acid comprising a nucleic acid encoding a variant Fc region comprising at least one of the following amino acid substitutions: 238L, 244L, 245R, 249P, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 260S, 262L, 270K,
- 25 272L, 272R, 279A, 279D, 279G, 279H, 279M, 279N, 279Q, 279R, 279S, 279T, 279W, 279Y, 283A, 283D, 283F, 283G, 283H, 283I, 283K, 283L, 283N, 283P, 283Q, 283R, 283S, 283T, 283W, 283Y, 285N, 286F, 288N, 288P, 293V, 307A, 307E, 307M, 311A, 311I, 311K, 311L, 311M, 311V, 311W, 312P, 316K, 317P, 318N, 318T, 332F, 332H, 332K, 332L, 332M, 332R, 332S, 332W, 339N, 339T, 339W, 341P, 343E, 343H, 343K,
- 30 343Q, 343R, 343T, 343Y, 375R, 376G, 376I, 376M, 376P, 376T, 376V, 377K, 378D, 378N, 380N, 380S, 380T, 382F, 382H, 382I, 382K, 382L, 382M, 382N, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430G, 430H, 430I, 430K,

430L, 430M, 430N, 430Q, 430R, 430S, 430T, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 436T, 438K, 438L, 438T, 438W, 440K or 442K, [preferably 245R, 252Y, 256P, 257A, 257I, 257M, 257N, 257S, 257V, 258D, 262L, 279A, 279D, 279G, 279H, 279N, 279Q, 279S, 279T, 279W, 279Y, 283F, 283H, 283K, 283R, 285N, 286F, 307A, 307E, 307M, 311I, 311K, 311L, 311M, 312P, 318N, 318T, 332S, 339W, 343E, 343I, 343K, 343Q, 343R, 375R, 377K, 378D, 378N, 380S, 380T, 382F, 382K, 382Q, 382R, 382S, 382T, 382V, 382W, 382Y, 423N, 427N, 430A, 430F, 430H, 430I, 430L, 430M, 430N, 430Q, 430R, 430S, 430V, 430Y, 431H, 431K, 434F, 434G, 434H, 434W, 434Y, 436I, 436L, 438K, 438L or 438W]. The nucleic acid molecule encoding the variant Fc region may be operably linked to a nucleic acid molecule encoding a therapeutic protein. The nucleic acid molecule encoding a variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above while the nucleic acid molecule is operably attached to additional polypeptide-encoding nucleic acid, (e.g., the nucleic acid sequence encoding the non-Fc region of the fusion protein), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region after introduction of the at least one amino acid substitution listed above to nucleic acid encoding a non-Fc fusion partner. The method may further comprise expression and purification of the polypeptide comprising the variant Fc region. The method may further comprise expression and purification of the polypeptide comprising the parent Fc region. The method may further comprise measuring in vivo serum half-life of the polypeptide comprising the variant Fc region and of the polypeptide comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a polypeptide comprising a variant Fc region wherein the polypeptide has increased in vivo serum half life as compared to that of the polypeptide comprising the parent Fc region (i.e., enhanced, preferably by at least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a polypeptide (i.e., a fusion polypeptide) comprising a variant Fc region produced by the method.

In one embodiment the invention provides a method for decreasing the FcRn binding affinity of a monoclonal antibody, preferably a therapeutic monoclonal antibody

(or functional fragment thereof), comprising engineering a nucleic acid encoding a variant Fc region comprising at least one of the following amino acid substitutions:

- 235Q, 236Y, 237K, 237R, 238E, 238G, 238H, 238W, 247A, 247D, 247E, 247F, 247G, 247H, 247I, 247L, 247M, 247N, 247Q, 247R, 247S, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249Y, 251F, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256I, 256K, 256M, 256R, 256W, 256Y, 264S, 265S, 265Y, 267G, 267I, 268D, 268K, 270A, 270M, 279I, 279K, 279L, 280T, 292E, 292F, 292G, 292I, 292L, 311D, 311E, 311F, 311G, 311N, 311R, 311Y, 315F, 315K, 315P, 316F, 317T, 326W, 327T, 339E, 339G, 339L, 339R, 341D, 341E, 341F, 341I, 341K, 341L, 341M, 341N, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343M, 343V, 343W, 373A, 373D, 373G, 373K, 373L, 373M, 373N, 373Q, 373S, 373T, 373V, 373W, 376H, 376L, 376W, 376Y, 424M, 424V, 426D, 429A, 429F, 429M, 430D, 430W, 431P, 432R, 432S, 434I, 439Q, 440A, 440D, 440E, 440F or 440M [preferably 237R, 247D, 247E, 247F, 247H, 247L, 247M, 247N, 247Q, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249L, 249M, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254M, 254N, 254P, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256K, 256M, 256R, 256W, 265Y, 280T, 292G, 292I, 311D, 311E, 311G, 311N, 315F, 315P, 316T, 317T, 327T, 341D, 341E, 341F, 341L, 341Y, 343W, 373A, 373G, 373M, 373Q, 376W, 376Y, 424M, 424V, 430D, 430W, 431P or 432S]. The nucleic acid molecule encoding a variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above while the nucleic acid encoding the variant Fc region is operably attached to nucleic acid encoding additional antibody sequence, (e.g., the nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region after introduction of the at least one amino acid substitution listed above to additional antibody-encoding nucleic acid. The method may further comprise expression and purification of the monoclonal antibody comprising the variant Fc region. The method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring FcRn binding affinity of the monoclonal antibody comprising the variant Fc region and of the

monoclonal antibody comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a monoclonal antibody comprising a variant Fc region with FcRn binding affinity less than that of the monoclonal antibody comprising the parent Fc region (i.e., diminished, preferably by at least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a monoclonal antibody (comprising a variant Fc region) produced by the method.

In another embodiment the invention provides a method for decreasing the in vivo serum half-life of a polypeptide, preferably a therapeutic polypeptide, comprising engineering a nucleic acid encoding a variant Fc region comprising at least one of the following amino acid substitutions: 235Q, 236Y, 237K, 237R, 238E, 238G, 238H, 238W, 247A, 247D, 247E, 247F, 247G, 247H, 247I, 247L, 247M, 247N, 247Q, 247R, 247S, 247W, 247Y, 248A, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249Y, 251F, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254L, 254M, 254N, 254P, 254Q, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256I, 256K, 256M, 256R, 256W, 256Y, 264S, 265S, 265Y, S267G, 267I, 268D, 268K, 270A, 270M, 279I, 279K, 279L, 280T, 292E, 292F, 292G, 292I, 292L, 311D, 311E, 311F, 311G, 311N, 311R, 311Y, 315F, 315K, 315P, 316F, 317T, 326W, 327T, 339E, 339G, 339L, 339R, 341D, 341E, 341F, 341I, 341K, 341L, 341M, 341N, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343M, 343V, 343W, 373A, 373D, 373G, 373K, 373L, 373M, 373N, 373Q, 373S, 373T, 373V, 373W, 376H, 376L, 376W, 376Y, 424M, 424V, 426D, 429A, 429F, 429M, 430D, 430W, 431P, 432R, 432S, 434I, 439Q, 440A, 440D, 440E, 440F or 440M [preferably 237R, 247D, 247E, 247F, 247H, 247L, 247M, 247N, 247Q, 247W, 247Y, 248A, 248F, 248P, 248Q, 249L, 249M, 249Y, 251H, 251I, 251W, 254D, 254E, 254F, 254G, 254H, 254I, 254K, 254M, 254N, 254P, 254R, 254T, 254V, 254W, 254Y, 255K, 255N, 256F, 256H, 256K, 256M, 256R, 256W, 265Y, 280T, 292G, 292I, 311D, 311E, 311G, 311N, 315F, 315P, 316T, 317T, 327T, 341D, 341E, 341F, 341L, 341Y, 343W, 373A, 373G, 373M, 373Q, 376W, 376Y, 424M, 424V, 430D, 430W, 431P or 432S]. The nucleic acid encoding the Fc variant region may be operably linked to nucleic acid encoding a therapeutic protein. The nucleic acid molecule encoding a variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above while the nucleic acid molecule is operably attached to additional polypeptide-

encoding nucleic acid, (e.g., the nucleic acid sequence encoding the non-Fc region of the fusion protein), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region after introduction of the at least one amino acid substitution listed above to nucleic acid encoding a non-Fc fusion partner. The method may further comprise expression and purification of the polypeptide comprising the variant Fc region. The method may further comprise expression and purification of the polypeptide comprising the parent Fc region. The method may further comprise measuring in vivo serum half-life of the polypeptide comprising the variant Fc region and of the polypeptide comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a polypeptide comprising a variant Fc region wherein the polypeptide has decreased in vivo serum half life as compared to that of the polypeptide comprising the parent Fc region (i.e., diminished, preferably by at least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a polypeptide (i.e., a fusion polypeptide) comprising a variant Fc region produced by the method.

In another embodiment the invention provides a method for increasing the CDC activity of a monoclonal antibody, preferably a therapeutic monoclonal antibody, comprising constructing the Fc region of the antibody to comprise at least one of the following amino acid substitutions: 236Y, 244L, 247A, 247D, 247E, 247G, 247N, 247Q, 247R, 247S, 247W, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249N, 249P, 249Y, 250K, 250R, 251F, 251H, 251I, 251W, 254A, 254F, 254K, 254L, 254M, 254R, 254Y, 255K, 256A, 256G, 256I, 256L, 256M, 256P, 256Q, 256W, 256Y, 260S, 268D, 279Q, 279S, 279W, 279Y, 280K, 280T, 283F, 283G, 283H, 283I, 283K, 283L, 283M, 283N, 283P, 283R, 283S, 283W, 292L, 307A, 307M, 311F, 311I, 311K, 311L, 311M, 311T, 311V, 311W, 311Y, 312P, 314F, 314I, 314V, 314W, 314Y, 315F, 315K, 315L, 315P, 315R, 316K, 317P, 317T, 318N, 318T, 332A, 332D, 332E, 332F, 332G, 332H, 332L, 332M, 332N, 332Q, 332S, 332T, 332V, 332W, 332Y, 339D, 339F, 339G, 339H, 339I, 339K, 339N, 339Q, 339R, 339S, 339T, 339W, 339Y, 341D, 341E, 341F, 341H, 341I, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343A, 343D, 343E, 343G, 343H, 343K, 343L, 343M, 343N, 343Q, 343R, 343S, 343T, 343W, 343Y, 373D, 373E, 373F, 373H, 373I, 373K, 373L, 373M, 373N, 373Q, 373R, 373T, 373V, 373W, 375R, 376A, 376F, 376G, 376H, 376L, 376N, 376P, 376Q, 376R, 376S,



- 376T, 376V, 377P, 379N, 379Q, 379S, 379T, 380A, 380N, 380S, 380T, 382I, 382L, 382Q, 382V, 386K, 426D, 426L, 429A, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430R, 430S, 430T, 430V, 430W, 430Y, 431H, 431P, 432R, 432S, 434W, 434Y, 438L, 438W, 440Q or 440Y [preferably 236Y, 248F, 248P, 248Q, 248W, 249E, 249L, 249M, 249N, 249Y, 250K, 250R, 251H, 251I, 251W, 254A, 254F, 254K, 254L, 254M, 254R, 254Y, 255K, 256A, 256G, 256I, 256L, 256M, 256P, 256Q, 256W, 260S, 280K, 283W, 307M, 311F, 311I, 311K, 311L, 311M, 311T, 311V, 311W, 311Y, 314I, 314V, 314W, 314Y, 315P, 317P, 332D, 332L, 332M, 332S, 332W, 332Y, 339D, 339F, 339I, 339K, 339N, 339S, 339T, 339W, 339Y, 341D, 341E, 341F, 341I, 341J, 341K, 341L, 341M, 341N, 341P, 341Q, 341R, 341S, 341T, 341V, 341W, 341Y, 343D, 343E, 343G, 343H, 343K, 343N, 343Q, 343R, 343S, 343T, 343W, 343Y, 373D, 373E, 373H, 373I, 373K, 373L, 373Q, 373R, 373T, 373W, 376A, 376G, 376N, 376P, 376Q, 376R, 376S, 376T, 376V, 377P, 379N, 379Q, 379T, 382I, 382L, 386K, 426D, 426L, 429F, 429M, 430A, 430D, 430F, 430G, 430H, 430I, 430K, 430L, 430M, 430N, 430P, 430R, 430S, 430T, 430V, 430W, 430Y, 431H, 431I, 431P, 432R, 434Y, 438L or 440Y]. The nucleic acid molecule encoding a variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above while the nucleic acid molecule is operably attached to additional antibody-encoding nucleic acid, (e.g., the nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region after introduction of the at least one amino acid substitution listed above to additional antibody-encoding nucleic acid. The method may further comprise expression and purification of the monoclonal antibody comprising the variant Fc region. The method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring CDC activity of the monoclonal antibody comprising the variant Fc region and of the monoclonal antibody comprising the parent Fc region by any method available in the art or as described herein. The method may further comprise selecting a monoclonal antibody comprising a variant Fc region with CDC activity greater than that of the monoclonal antibody comprising the parent Fc region (i.e., enhanced, preferably by at

least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a monoclonal antibody comprising a variant Fc region produced by the method.

In another embodiment the invention provides a method for decreasing the CDC response of a monoclonal antibody, preferably a therapeutic monoclonal antibody,

- 5 comprising constructing the Fc region of the antibody to comprise at least one of the following amino acid substitutions: 235G, 235S, 236R, 237E, 237K, 237N, 237R, 238A, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 245R, 247H, 247I, 247L, 247T, 247Y, 250M, 252Y, 254D, 254E, 254I, 254P, 254Q, 254T, 254V, 255N, 257A, 257I, 257M, 257N, 257S, 257V, 262L, 264S, 265H, 265Y, 267G, 267H, 267I, 267K, 268K,
- 10 269N, 269Q, 270G, 270M, 270N, 271T, 272H, 272L, 272N, 292A, 293S, 301W, 307E, 311E, 311S, 316F, 318P, 327T, 328V, 329Y, 330K, 330R, 332K, 339E, 339M, 343I, 373S, 378D, 380D, 382D, 382F, 382N, 382P, 382R, 382S, 382W, 382Y, 385E, 385P, 423N, 424H, 424M or 427N [preferably 235G, 235S, 236R, 237E, 237K, 237N, 237R, 238A, 238E, 238G, 238H, 238I, 238L, 238V, 238W, 238Y, 245R, 247I, 247L, 247T,
- 15 250M, 257A, 257I, 257M, 262L, 264S, 267G, 267H, 267I, 267K, 268K, 269N, 269Q, 270G, 270M, 270N, 271T, 272H, 301W, 311S, 327T, 329Y, 330K, 330R, 378D, 385E, 423N or 424H]. The nucleic acid molecule encoding a variant Fc region may be engineered (e.g., from a nucleic acid molecule encoding a parent Fc region or a native Fc region) to comprise at least one amino acid substitution as listed above while the nucleic
- 20 acid molecule is operably attached to additional antibody-encoding nucleic acid, (e.g., the nucleic acid sequence encoding the remainder of the Ig heavy chain), or the method may further comprise subsequently operably attaching the nucleic acid encoding the variant Fc region after introduction of the at least one amino acid substitution listed above to additional antibody-encoding nucleic acid. The method may further comprise expression
- 25 and purification of the monoclonal antibody comprising the variant Fc region. The method may further comprise expression and purification of the monoclonal antibody comprising the parent Fc region. The method may further comprise measuring CDC activity of the monoclonal antibody comprising the variant Fc region and of the monoclonal antibody comprising the parent Fc region by any method available in the art
- 30 or as described herein. The method may further comprise selecting a monoclonal antibody comprising a variant Fc region with CDC activity less than that of the monoclonal antibody comprising the parent Fc region (i.e., diminished, preferably by at

least 5%, 10%, 12%, 14%, 16%, 18%, 20% or more). The invention further embodies a monoclonal antibody comprising a variant Fc region produced by the method.

In another embodiment, the invention provides an isolated nucleic acid molecule that comprises a nucleic acid molecule that encodes a variant Fc region of the invention  
5 or a functional fragment thereof. More preferably the isolated nucleic acid molecule comprises a nucleic acid that encodes a polypeptide comprising a variant Fc region of the invention. Preferably the variant Fc region polypeptide encoded by said nucleic acid has an amino acid substitution as shown in Table 1 as compared to the parent Fc region of the variant. Preferably, the polypeptide is a monoclonal antibody, and even more preferably,  
10 the monoclonal antibody is a full length antibody or a single-chain antibody. The monoclonal antibody may be a chimeric, humanized, or human monoclonal antibody.

In another embodiment, the invention provides a vector, preferably (but not limited to) a plasmid, a recombinant expression vector, a yeast expression vector, or a retroviral expression vector comprising a polynucleotide encoding a polypeptide  
15 comprising a variant Fc region polypeptide of the invention.

In another embodiment, the invention provides a host cell comprising a nucleic acid molecule of the present invention. Preferably a host cell of the invention comprises one or more vectors or constructs comprising a nucleic acid molecule of the present invention. The host cell of the invention is a cell into which a vector of the invention has  
20 been introduced (*e.g.*, via transformation, transduction, infection, transfection, electroporation and the like), said vector comprising a polynucleotide encoding a polypeptide comprising a variant Fc region polypeptide of the invention. Optionally, the vector may be stably incorporated into the host cell chromosome. The host cell types include mammalian, bacterial, plant and yeast cells. Preferably the host cell is a CHO  
25 cell, a COS cell, a SP2/0 cell, a NS0 cell, a yeast cell or a derivative or progeny of any preferred cell type.

In another embodiment, the invention provides a pharmaceutical composition comprising a polypeptide comprising a variant Fc region of the invention, or functional fragment thereof. Preferably the polypeptide is a monoclonal antibody, even more  
30 preferably, a therapeutic monoclonal antibody. The monoclonal antibody may be a chimeric, humanized, or human monoclonal antibody. Alternatively, the polypeptide may be a polypeptide other than an antibody which benefits from an altered serum half life

conferred upon the polypeptide by being operably linked to, and coexpressed with, a variant Fc region of the invention. The pharmaceutical composition of the invention may further comprise a pharmaceutically acceptable carrier. In said pharmaceutical composition, the polypeptide comprising the variant Fc region is the active ingredient.

- 5 Preferably the pharmaceutical composition comprises a homogeneous or substantially homogeneous population of monoclonal antibody comprising a variant Fc region of the invention. The pharmaceutical composition for therapeutic use is preferably sterile and may be lyophilized.

- 10 The invention provides a method of inhibiting activity of a protein in a mammal, preferably a human, in need thereof comprising administering a therapeutically effective amount, or prophylactically effective amount, of a polypeptide (preferably a monoclonal antibody) comprising a variant Fc region of the invention to said mammal. Preferably, the polypeptide comprising the variant Fc region is a binding partner of the protein to be inhibited. The invention further provides a method of treating or preventing a disease or
- 15 disorder ameliorated by the inhibition of signal transduction resulting from the binding of a monoclonal antibody comprising a variant Fc region of the invention to its antigenic epitope that comprises administering to a patient (e.g., a human) in need of such treatment or prevention a therapeutically or prophylactically effective amount of a monoclonal antibody of the invention.

- 20 The invention embodies an article of manufacture comprising a packaging material and a polypeptide comprising a variant Fc region polypeptide of the invention contained within said packaging material. The invention further embodies compositions comprising monoclonal antibodies and heterologous polypeptides that comprise a variant Fc region described herein, and a physiologically or pharmaceutically acceptable carrier
- 25 or diluent.

- In some embodiments, the present invention provides a polypeptide comprising:
- i) an unmodified human framework region ("FR") (e.g., no alterations have been made to a naturally occurring human framework), and ii) a variant Fc region. In certain
- 30 other embodiments, the present invention provides compositions comprising a polypeptide, wherein the polypeptide comprises: i) at least one randomized CDR sequence and ii) a variant Fc region of the invention. In further embodiments, the present

invention provides compositions comprising a polypeptide, wherein the polypeptide comprises: i) an unmodified human framework (e.g., human germline framework), ii) at least one randomized CDR sequence, and iii) a variant Fc region of the invention.

The present invention contemplates therapeutic and diagnostic uses for  
 5 monoclonal antibodies heterologous polypeptides that comprise a variant Fc region of the invention, disclosed herein.

#### DESCRIPTION OF THE FIGURES

Figure 1 shows a schematic representation of an IgG molecule with the various  
 10 regions and sections labeled.

Figure 2 shows an alignment of various parental Fc amino acid sequences, including human IgG1 ((SEQ ID NO:1) with non-a and a allotypes shown), human IgG2 (SEQ ID NO:2), human IgG3 (SEQ ID NO:3), human IgG4 (SEQ ID NO:4), murine IgG1 (SEQ ID NO:5), murine IgG2A (SEQ ID NO:6), murine IgG2B (SEQ ID NO:7),  
 15 and murine IgG3 (SEQ ID NO:8).

Figure 3 shows various amino acid sequences, including the CH2 region (SEQ ID NO:9), and CH3 region (SEQ ID NO: 10) of human IgG1, as well as an f allotype (SEQ ID NO: 11) and a, z allotype (SEQ ID NO:12) sequences of human IgG1 that include the CH1, hinge, CH2 and CH3 regions.

Figure 4 shows various amino acid sequences comprised within: (a) the Light  
 20 Chain Variable Region (LCVR) of anti-CD20 antibody (I) (SEQ ID NO: 13); (b) Heavy Chain Variable Region (HCVR) of anti-CD20 antibody (I) (SEQ ID NO: 14); (c) LCVR of anti-CD20 antibody (II) (SEQ ID NO: 15); and HCVR of anti-CD20 antibody (II) (SEQ ID NO: 16). Fig. 4e shows amino acid sequences comprised within the variable  
 25 region of an anti-CD20 antibody. (*see* U.S. provisional application 60/471,958, filed May 20, 2003 and U.S. Patent 5,843,439, both incorporated herein).

Figure 5a shows the complete light chain amino acid sequence for the anti-CD20 antibody AME 133. Figure 5b shows the complete light chain nucleic acid sequence for AME 133.

Figure 6 shows amino acid and nucleic acid sequences for the complete heavy  
 30 chain of three preferred variants of the anti-CD20 antibody AME 133. Specifically, Figure 6a shows the amino acid sequence of the complete heavy chain of the 247I/339Q

variant. Figure 6b shows the nucleic acid sequence of the complete heavy chain of the 247I/339Q variant. Figure 6c shows the amino acid sequence of the complete heavy chain of the 247I/339D variant. Figure 6d shows the nucleic acid sequence of the complete heavy chain of the 247I/339D variant. Figure 6e shows the amino acid  
 5 sequence of the complete heavy chain of the 378D variant. Figure 6f shows the nucleic acid sequence of the complete heavy chain of the 378D variant.

#### DETAILED DESCRIPTION

Throughout the present specification and claims, the numbering of the amino acid  
 10 residues in an immunoglobulin heavy chain (Fc region) is that of the EU index as in Kabat et al., *Sequences of Proteins of Immunological Interest*, 5<sup>th</sup> Ed. Public Health Service, National Institutes of Health, Bethesda, MD (1991). The "EU index as in Kabat" refers to the residue numbering of the human IgG antibody and is reflected herein in Fig. 2. For example, at position 438, human IgG1, IgG2, IgG3, IgG4 and murine IgG3 all  
 15 have a Q amino acid, while murine IgG1 has an I amino acid, murine IgG2a has a T amino acid, and murine IgG2B has a K amino acid. Furthermore, substitutions are named herein by the amino acid position number at which the substitution occurs followed by the amino acid substituted for that present in the parent Fc region at the same position (e.g., 249G indicates a glycine residue substituted for that present at position 249 of the parent  
 20 Fc region). The number refers to the position in human IgG1 regardless of whether or not the parent Fc region is human IgG1; if the parent Fc region is not human IgG1, the number refers to the homologous position in the parent Fc region if it were aligned with human IgG1 at that position.

As used herein, the terms "subject" and "patient" refer to any animal in which a  
 25 polypeptide comprising a variant Fc region of the invention may be used therapeutically including a human as well as other mammals (such as e.g., domestic animals (e.g., canine, feline), sports animals (e.g., equine) and food-source animals (e.g., bovine, porcine and ovine)) who may benefit from such therapy.

As used herein, "treating or preventing" refers to a disease or disorder associated  
 30 with abnormal levels of a protein or benefited by altering an activity or level of a protein.

The term "isolated" when used in relation to a nucleic acid is a nucleic acid that is identified and separated from at least one contaminant nucleic acid with which it is

ordinarily associated in its natural source. Isolated nucleic acid is in a form or setting different from that in which it is found in nature. Isolated nucleic acid molecules therefore are distinguished from the nucleic acid molecule as it exists in natural cells. An isolated nucleic acid molecule includes a nucleic acid molecule contained in cells that  
5 ordinarily express the polypeptide encoded therein where, for example, the nucleic acid molecule is in a plasmid or a chromosomal location different from that of natural cells. The isolated nucleic acid may be present in single-stranded or double-stranded form. When an isolated nucleic acid molecule is to be utilized to express a protein, the oligonucleotide or polynucleotide will contain at a minimum the sense or coding strand,  
10 but may contain both the sense and anti-sense strands (i.e., may be double-stranded).

A nucleic acid molecule is "operably linked" or "operably attached" when it is placed into a functional relationship with another nucleic acid molecule. For example, a promoter or enhancer is operably linked to a coding sequence of nucleic acid if it affects the transcription of the sequence; or a ribosome binding site is operably linked to a coding  
15 sequence of nucleic acid if it is positioned so as to facilitate translation. A nucleic acid molecule encoding a variant Fc region is operably linked to a nucleic acid molecule encoding a heterologous protein (i.e., a protein or functional fragment thereof which does not, as it exists in nature, comprise an Fc region) if it is positioned such that the expressed fusion protein comprises the heterologous protein or functional fragment thereof adjoined  
20 either upstream or downstream to the variant Fc region polypeptide; the heterologous protein may be immediately adjacent to the variant Fc region polypeptide or may be separated therefrom by a linker sequence of any length and composition. Likewise, a polypeptide (used synonymously herein with "protein") molecule is "operably linked" or "operably attached" when it is placed into a functional relationship with another  
25 polypeptide.

As used herein the term "functional fragment" when in reference to a polypeptide or protein (e.g., a variant Fc region, or a monoclonal antibody) refers to fragments of that protein which retain at least one function of the full-length polypeptide. The fragments may range in size from six amino acids to the entire amino acid sequence of the full-  
30 length polypeptide minus one amino acid. A functional fragment of a variant Fc region polypeptide of the present invention retains at least one "amino acid substitution" as herein defined. A functional fragment of a variant Fc region polypeptide retains at least

one function known in the art to be associated with the Fc region (e.g., ADCC, CDC, Fc receptor binding, C1q binding, down regulation of cell surface receptors or may, e.g., increase the *in vivo* or *in vitro* half-life of a polypeptide to which it is operably attached).

The term "purified" or "purify" refers to the substantial removal of at least one  
5 contaminant from a sample. For example, an antigen-specific antibody may be purified by complete or substantial removal (at least 90%, 91%, 92%, 93%, 94%, 95%, or more preferably at least 96%, 97%, 98% or 99%) of at least one contaminating non-immunoglobulin protein; it may also be purified by the removal of immunoglobulin  
10 protein that does not bind to the same antigen. The removal of non-immunoglobulin proteins and/or the removal of immunoglobulins that do not bind a particular antigen results in an increase in the percent of antigen-specific immunoglobulins in the sample. In another example, a polypeptide (e.g., an immunoglobulin) expressed in bacterial host cells is purified by the complete or substantial removal of host cell proteins; the percent of the polypeptide is thereby increased in the sample.

15 The term "native" as it refers to a polypeptide (e.g., Fc region) is used herein to indicate that the polypeptide has an amino acid sequence consisting of the amino acid sequence of the polypeptide as it commonly occurs in nature or a naturally occurring polymorphism thereof. A native polypeptide (e.g., native Fc region) may be produced by recombinant means or may be isolated from a naturally occurring source.

20 The term "expression vector" as used herein refers to a recombinant DNA molecule containing a desired coding sequence and appropriate nucleic acid sequences necessary for the expression of the operably linked coding sequence in a particular host organism.

As used herein, the term "host cell" refers to any eukaryotic or prokaryotic cell  
25 (e.g., bacterial cells such as *E. coli*, CHO cells, yeast cells, mammalian cells, avian cells, amphibian cells, plant cells, fish cells, and insect cells), whether located *in vitro* or *in situ*, or *in vivo*. For example, host cells may be located in a transgenic animal.

As used herein a "parent polypeptide" is a polypeptide comprising an amino acid sequence that may be changed or altered (e.g., an amino acid substitution) to produce a  
30 variant (i.e., variant polypeptide). In preferred embodiments, the parent polypeptide comprises at least a portion of a native or non-native Fc region, i.e., a naturally occurring Fc region or an Fc region with at least one amino acid sequence modification. In some



embodiments, variants that are shorter or longer than the parent polypeptide are specifically contemplated. In particularly preferred embodiments, the parent polypeptide differs in function (e.g., enhanced or diminished effector function, receptor binding, *in vivo* or *in vitro* half-life, etc.) as compared to the variant.

- 5 As used herein, the term "variant of a parent polypeptide" refers to a polypeptide comprising an amino acid sequence that differs from that of the parent polypeptide by at least one amino acid substitution. In certain embodiments, the variant comprises at least 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85% or 90% or most preferably at least 95%, 97% or 99% of an Fc region (i.e., a "portion" of the Fc region). In preferred  
10 embodiments, a variant Fc region of a parent polypeptide comprises at least one amino acid substitution from the parent polypeptide, the substitution being in the Fc region. The parent polypeptide may or may not be a native polypeptide.

- As used herein, the term "Fc region" refers to a C-terminal region of an immunoglobulin heavy chain (e.g., as shown in Fig. 1). The "Fc region" may be a native  
15 sequence Fc region or a variant Fc region. Although the generally accepted boundaries of the Fc region of an immunoglobulin heavy chain might vary, the human IgG heavy chain Fc region is usually defined to stretch from an amino acid residue at position Cys226, or from Pro230, to the carboxyl-terminus thereof. In some embodiments, variants comprise only portions of the Fc region and can include or not include the carboxy-terminus. The  
20 Fc region of an immunoglobulin generally comprises two constant domains, CH2 and CH3, as shown, e.g., in Fig. 1. In some embodiments, variants having one or more of the constant domains are contemplated. In other embodiments, variants without such constant domains (or with only portions of such constant domains) are contemplated.

- The "CH2 domain" of a human IgG Fc region (also referred to as "C $\gamma$ 2" domain)  
25 usually extends from about amino acid 231 to about amino acid 340 (*see* Fig. 2). The CH2 domain is unique in that it is not closely paired with another domain. Two N-linked branched carbohydrate chains are interposed between the two CH2 domains of an intact native IgG molecule.

- The "CH3 domain" of a human IgG Fc region (also referred to as "C $\gamma$ 3" domain)  
30 generally is the stretch of residues C-terminal to a CH2 domain in an Fc region extending from about amino acid residue 341 to about amino acid residue 447 (*see* Fig. 2).

A "functional Fc region" possesses an "effector function" of a native sequence Fc region. At least one effector function of a polypeptide comprising a variant Fc region of the present invention may be enhanced or diminished with respect to a polypeptide comprising a native Fc region or the parent Fc region of the variant. Examples of effector functions include, but are not limited to: Clq binding; complement dependent cytotoxicity (CDC); Fc receptor binding; antibody-dependent cell-mediated cytotoxicity (ADCC); phagocytosis; down regulation of cell surface receptors (e.g., B cell receptor; BCR), etc. Such effector functions may require the Fc region to be operably linked to a binding domain (e.g., an antibody variable domain) and can be assessed using various assays (e.g., Fc binding assay, ADCC assays, CDC assays, target cell depletion from whole or fractionated blood samples, etc.).

A "native sequence Fc region" or "wild type Fc region" refers to an amino acid sequence that is identical to the amino acid sequence of an Fc region commonly found in nature. Exemplary native sequence human Fc regions are shown in Figure 2 and include a native sequence human IgG 1 Fc region. (f and a,z allotypes, i.e., non-A and A allotypes); native sequence human IgG2 Fc region; native sequence human IgG3 Fc region; and native sequence human IgG4 Fc region as well as naturally occurring variants thereof. Native sequence murine Fc regions are also shown in Figure 2.

A "variant Fc region" comprises an amino acid sequence that differs from that of a native sequence Fc region (or fragment thereof) by virtue of at least one "amino acid substitution" as defined herein. In preferred embodiments, the variant Fc region has at least one amino acid substitution compared to a native sequence Fc region or in the Fc region of a parent polypeptide, preferably 1, 2, 3, 4 or 5 amino acid substitutions in a native sequence Fc region or in the Fc region of the parent polypeptide. In an alternative embodiment, a variant Fc region may be generated according to the methods herein disclosed and this variant Fc region can be fused to a heterologous polypeptide of choice, such as an antibody variable domain or a non-antibody polypeptide, e.g., binding domain of a receptor or ligand.

As used herein, the term "derivative" in the context of polypeptides refers to a polypeptide that comprises an amino acid sequence which has been altered by introduction of an amino acid residue substitution. The term "derivative" as used herein also refers to a polypeptide which has been modified by the covalent attachment of any

type of molecule to the polypeptide. For example, but not by way of limitation, an antibody may be modified, e.g., by glycosylation, acetylation, pegylation, phosphorylation, amidation, derivatization by known protecting/blocking groups, proteolytic cleavage, linkage to a cellular ligand or other protein, etc. A derivative polypeptide may be produced by chemical modifications using techniques known to those of skill in the art, including, but not limited to specific chemical cleavage, acetylation, formylation, metabolic synthesis of tunicamycin, etc. Further, a derivative polypeptide possesses a similar or identical function as the polypeptide from which it was derived. It is understood that a polypeptide comprising a variant Fc region of the present invention may be a derivative as defined herein, preferably the derivatization occurs within the Fc region.

“Substantially of human origin” as used herein in reference to a polypeptide (e.g., an Fc region or a monoclonal antibody), indicates the polypeptide has an amino acid sequence at least 80%, at least 85%, more preferably at least 90%, 91%, 92%, 93%, 94% or even more preferably at least 95%, 95%, 97%, 98% or 99% homologous to that of a native human amino polypeptide.

The terms “Fc receptor” or “FcR” are used to describe a receptor that binds to an Fc region (e.g., the Fc region of an antibody). The preferred FcR is a native sequence FcR. Moreover, a preferred FcR is one which binds an IgG antibody (a gamma receptor) and includes receptors of the FcγRI, FcγRII, FcγRIII subclasses, including allelic variants and alternatively spliced forms of these receptors. FcγRII receptors include FcγRIIA (an “activating receptor”) and FcγRIIB (an “inhibiting receptor”), which have similar amino acid sequences that differ primarily in the cytoplasmic domains thereof. FcRs are reviewed in Ravetch and Kinet, *Annu. Rev. Immunol.* 9:457-92 (1991); Capel, et al., *Immunomethods* 4:25-34 (1994); and de Haas et al., *J. Lab. Clin. Med.* 126:330-41 (1995). Another preferred FcR includes the neonatal receptor, FcRn, which is responsible for the transfer of maternal IgGs to the fetus (Guyer et al., *J. Immunol.* 117:587 (1976) and Kim et al., *J. Immunol.* 24:249 (1994)). Other FcRs, including those to be identified in the future, are encompassed by the term “FcR” herein.

The phrase “antibody-dependent cell-mediated cytotoxicity” and “ADCC” refer to a cell-mediated reaction in which nonspecific cytotoxic cells (e.g., nonspecific) that express FcRs (e.g., Natural Killer (“NK”) cells, neutrophils, and macrophages) recognize

bound antibody on a target cell and subsequently cause lysis of the target cells. The primary cells for mediating ADCC, NK cells, express FcγRIII only, whereas monocytes express FcγRI, FcγRII and FcγRIII.

As used herein, the phrase “effector cells” refers to leukocytes (preferably human) which express one or more FcRs and perform effector functions. Preferably, the cells express at least FcγRIII and perform ADCC effector function. Examples of leukocytes which mediate ADCC include PBMC, NK cells, monocytes, cytotoxic T cells and neutrophils. The effector cells may be isolated from a native source (e.g., from blood or PBMCs).

A variant polypeptide with “altered” FcRn binding affinity is one which has either enhanced (i.e., increased, greater or higher) or diminished (i.e., reduced, decreased or lesser) FcRn binding affinity compared to the variant’s parent polypeptide or to a polypeptide comprising a native Fc region when measured at pH 6.0. A variant polypeptide which displays increased binding or increased binding affinity to an FcRn binds FcRn with greater affinity than the parent polypeptide. A variant polypeptide which displays decreased binding or decreased binding affinity to an FcRn, binds FcRn with lower affinity than its parent polypeptide. Such variants which display decreased binding to an FcRn may possess little or no appreciable binding to an FcRn, e.g., 0-20% binding to the FcRn compared to a parent polypeptide. A variant polypeptide which binds an FcRn with “enhanced affinity” as compared to its parent polypeptide, is one which binds FcRn with higher binding affinity than the parent polypeptide, when the amounts of variant polypeptide and parent polypeptide in a binding assay are essentially the same, and all other conditions are identical. For example, a variant polypeptide with enhanced FcRn binding affinity may display from about 1.10 fold to about 100 fold (more typically from about 1.2 fold to about 50 fold) increase in FcRn binding affinity compared to the parent polypeptide, where FcRn binding affinity is determined, for example, in an ELISA assay or other method available to one of ordinary skill in the art.

As used herein, an “amino acid substitution” refers to the replacement of at least one existing amino acid residue in a given amino acid sequence with another different “replacement” amino acid residue. The replacement residue or residues may be “naturally occurring amino acid residues” (i.e., encoded by the genetic code) and selected from: alanine (Ala); arginine (Arg); asparagine (Asn); aspartic acid (Asp); cysteine (Cys);

glutamine (Gln); glutamic acid (Glu); glycine (Gly); histidine (His); isoleucine (Ile); leucine (Leu); lysine (Lys); methionine (Met); phenylalanine (Phe); proline (Pro); serine (Ser); threonine (Thr); tryptophan (Trp); tyrosine (Tyr); and valine (Val). Substitution with one or more non-naturally occurring amino acid residues is also encompassed by the definition of an amino acid substitution herein. A “non-naturally occurring amino acid residue” refers to a residue, other than those naturally occurring amino acid residues listed above, which is able to covalently bind adjacent amino acid residues (s) in a polypeptide chain. Examples of non-naturally occurring amino acid residues include norleucine, ornithine, norvaline, homoserine and other amino acid residue analogues such as those described in Ellman et al. *Meth. Enzym.* 202: 301-336 (1991).

The term “assay signal” refers to the output from any method of detecting protein-protein interactions, including but not limited to, absorbance measurements from colorimetric assays, fluorescent intensity, or disintegrations per minute. Assay formats could include ELISA, facs, or other methods. A change in the “assay signal” may reflect a change in cell viability and/or a change in the kinetic off-rate, the kinetic on-rate, or both. A “higher assay signal” refers to the measured output number being larger than another number (e.g., a variant may have a higher (larger) measured number in an ELISA assay as compared to the parent polypeptide). A “lower” assay signal refers to the measured output number being smaller than another number (e.g., a variant may have a lower (smaller) measured number in an ELISA assay as compared to the parent polypeptide).

The term “binding affinity” refers to the equilibrium dissociation constant (expressed in units of concentration) associated with each Fc receptor-Fc binding interaction. The binding affinity is directly related to the ratio of the kinetic off-rate (generally reported in units of inverse time, e.g., seconds<sup>-1</sup>) divided by the kinetic on-rate (generally reported in units of concentration per unit time, e.g., molar /second). In general it is not possible to unequivocally state whether changes in equilibrium dissociation constants are due to differences in on-rates, off-rates or both unless each of these parameters are experimentally determined (e.g., by BIACORE or SAPIDYNE measurements).

As used herein, the term “hinge region” refers to the stretch of amino acids in human IgG1 stretching from Glu216 to Pro230 of human IgG1. Hinge regions of other

IgG isotypes may be aligned with the IgG1 sequence by placing the first and last cysteine residues forming inter-heavy chain S-S bonds in the same positions.

“Clq” is a polypeptide that includes a binding site for the Fc region of an immunoglobulin. Clq together with two serine proteases, C1r and C1s, forms the complex C1, the first component of the CDC pathway.

As used herein, the term “antibody” is used interchangeably with “immunoglobulin” or “Ig,” is used in the broadest sense and specifically covers monoclonal antibodies (including full length monoclonal antibodies), polyclonal antibodies, multispecific antibodies (e.g., bispecific antibodies), and antibody fragments so long as they exhibit the desired biological activity or functional activity. Single chain antibodies, and chimeric, human, humanized or primatized (CDR-grafted) antibodies, as well as chimeric or CDR-grafted single chain antibodies, and the like, comprising portions derived from different species, are also encompassed by the present invention and the term “antibody”. The various portions of these antibodies can be joined together chemically by conventional techniques, synthetically, or can be prepared as a contiguous protein using genetic engineering techniques. For example, nucleic acids encoding a chimeric or humanized chain can be expressed to produce a contiguous protein. See, e.g., U.S. Patent No. 4,816,567; European Patent No. 0,125,023 B1; U.S. Patent No. 4,816,397; European Patent No. 0,120,694 B1; WO 86/01533; European Patent No. 0,194,276 B1; U.S. Patent No. 5,225, 539; European Patent No. 0,239,400 B1 and U.S. Patent Nos. 5,585,089 and 5,698,762. See also, Newman, R. *et al.* *BioTechnology*, 10:1455-1460, 1993, regarding primatized antibody, and Ladner *et al.*, U.S. Patent No. 4,946,778 and Bird, R.E. *et al.*, *Science*, 242:423-426, 1988, regarding single chain antibodies. It is understood that all forms of the antibodies comprising an Fc region (or portion thereof) are encompassed herein within the term “antibody.” Furthermore, the antibody may be labeled with a detectable label, immobilized on a solid phase and/or conjugated with a heterologous compound (e.g., an enzyme or toxin) according to methods known in the art.

As used herein, the term “antibody fragments” refers to a portion of an intact antibody. Examples of antibody fragments include, but are not limited to, linear antibodies; single-chain antibody molecules; Fc or Fc' peptides, Fab and Fab fragments, and multispecific antibodies formed from antibody fragments. The antibody fragments

preferably retain at least part of the hinge and optionally the CH1 region of an IgG heavy chain. In other preferred embodiments, the antibody fragments comprise at least a portion of the CH2 region or the entire CH2 region.

As used herein, the term "functional fragment", when used in reference to a monoclonal antibody, is intended to refer to a portion of the monoclonal antibody that still retains a functional activity. A functional activity can be, for example, antigen binding activity or specificity, receptor binding activity or specificity, effector function activity and the like. Monoclonal antibody functional fragments include, for example, individual heavy or light chains and fragments thereof, such as VL, VH and Fd; monovalent fragments, such as Fv, Fab, and Fab'; bivalent fragments such as F(ab')<sub>2</sub>; single chain Fv (scFv); and Fc fragments. Such terms are described in, for example, Harlowe and Lane, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory, New York (1989); Molec. Biology and Biotechnology: A Comprehensive Desk Reference (Myers, R.A. (ed.), New York: VCH Publisher, Inc.); Huston et al., *Cell Biophysics*, 22:189-224 (1993); Pluckthun and Skerra, *Meth. Enzymol.*, 178:497-515 (1989) and in Day, E.D., *Advanced Immunochemistry*, Second Ed., Wiley-Liss, Inc., New York, NY (1990). The term functional fragment is intended to include, for example, fragments produced by protease digestion or reduction of a monoclonal antibody and by recombinant DNA methods known to those skilled in the art.

As used herein, the term "fragment" refers to a polypeptide comprising an amino acid sequence of at least 5, 15, 20, 25, 40, 50, 70, 90, 100 or more contiguous amino acid residues of the amino acid sequence of another polypeptide. In a preferred embodiment, a fragment of a polypeptide retains at least one function of the full-length polypeptide.

As used herein, the term "chimeric antibody" includes monovalent, divalent or polyvalent immunoglobulins. A monovalent chimeric antibody is a dimer formed by a chimeric heavy chain associated through disulfide bridges with a chimeric light chain. A divalent chimeric antibody is a tetramer formed by two heavy chain-light chain dimers associated through at least one disulfide bridge. A chimeric heavy chain of an antibody for use in humans comprises an antigen-binding region derived from the heavy chain of a non-human antibody, which is linked to at least a portion of a human heavy chain constant region, such as CH1 or CH2. A chimeric light chain of an antibody for use in humans comprises an antigen binding region derived from the light chain of a non-human

antibody, linked to at least a portion of a human light chain constant region (CL).

Antibodies, fragments or derivatives having chimeric heavy chains and light chains of the same or different variable region binding specificity, can also be prepared by appropriate association of the individual polypeptide chains, according to known method steps. With

5 this approach, hosts expressing chimeric heavy chains are separately cultured from hosts expressing chimeric light chains, and the immunoglobulin chains are separately recovered and then associated. Alternatively, the hosts can be co-cultured and the chains allowed to associate spontaneously in the culture medium, followed by recovery of the assembled immunoglobulin or fragment or both the heavy and light chains can be expressed in the  
10 same host cell. Methods for producing chimeric antibodies are known in the art (*see, e.g.*, U.S. Patent Nos.: 6,284,471; 5,807,715; 4,816,567; and 4,816,397).

As used herein, "humanized" forms of non-human (e.g., murine) antibodies (i.e., humanized antibodies) are antibodies that contain minimal sequence, or no sequence, derived from non-human immunoglobulin. For the most part, humanized antibodies are  
15 human immunoglobulins (recipient antibody) in which residues from a hypervariable region of the recipient are replaced by residues from a hypervariable region of a non-human species (donor antibody) such as mouse, rat, rabbit or nonhuman primate having the desired specificity, affinity, and capacity. In some instances, framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human  
20 residues. Furthermore, humanized antibodies may comprise residues that are not found in the recipient antibody or in the donor antibody. These modifications are generally made to further refine antibody performance. In general, the humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the hypervariable loops (CDRs) correspond to those of a nonhuman  
25 immunoglobulin and all or substantially all of the FR residues are those of a human immunoglobulin sequence. The humanized antibody may also comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin. An exemplary method used to generate humanized antibodies is described in U.S. Pat. 5,225,539.

30 As used herein, the term "immunoadhesin" designates antibody-like molecules which combine the binding domain of a heterologous "adhesin" protein (e.g., a receptor, ligand or enzyme) with an immunoglobulin constant domain. Structurally,



immunoadhesins comprise a fusion of the adhesin amino acid sequence with the desired binding specificity which is other than the antigen recognition and binding site (antigen combining site) of an antibody (i.e., is "heterologous") with an immunoglobulin constant domain sequence.

- 5 As used herein, the term "ligand binding domain" refers to any native receptor or any region or derivative thereof retaining at least a qualitative ligand binding ability of a corresponding native receptor. In certain embodiments, the receptor is from a cell-surface polypeptide having an extracellular domain that is homologous to a member of the immunoglobulin supergenefamily. Other receptors, which are not members of the
- 10 immunoglobulin supergenefamily but are nonetheless specifically covered by this definition, are receptors for cytokines, and in particular receptors with tyrosine kinase activity (receptor tyrosine kinases), members of the hematopoietin and nerve growth factor receptor superfamilies, and cell adhesion molecules (e.g., E-, L-, and P-selectins).

- As used herein, the term "receptor binding domain" refers to any native ligand for
- 15 a receptor, including, e.g., cell adhesion molecules, or any region or derivative of such native ligand retaining at least a qualitative receptor binding ability of a corresponding native ligand.

- As used herein, the term "antibody-immunoadhesin chimera" comprises a molecule that combines at least one binding domain of an antibody with at least one
- 20 immunoadhesin. Examples include, but are not limited to, the bispecific CD4-IgG chimeras described in Berg et al., PNAS (USA) 88:4723-4727 (1991) and Charnow et al., J. Immunol., 153:4268 (1994).

- As used herein, an "isolated" polypeptide is one that has been identified and separated and/or recovered from a component of its natural environment. Contaminant
- 25 components of its natural environment are materials that would interfere with diagnostic or therapeutic uses for the polypeptide, and may include enzymes, hormones, and other proteinaceous or non-proteinaceous solutes. In certain embodiments, the isolated polypeptide is purified (1) to greater than 95% by weight of polypeptides as determined by the Lowry method, and preferably, more than 99% by weight, (2) to a degree sufficient
- 30 to obtain at least 15 residues of N-terminal or internal amino acid sequence by use of a spinning cup sequenator, or (3) to homogeneity by SDS-page under reducing or nonreducing conditions using Coomassie blue or silver stain. Isolated polypeptide

includes the polypeptide in situ within recombinant cells since at least one component of the polypeptide's natural environment will not be present. Ordinarily, however, isolated polypeptide will be prepared by a least one purification step.

As used herein, the term "treatment" refers to both therapeutic treatment and prophylactic or preventative measures. Those subjects or patients in need of treatment include those already with the disorder as well as those in which the disorder is to be prevented.

As used herein, the term "disorder" and "disease" are used interchangeably to refer to any condition that would benefit from treatment with a variant polypeptide (a polypeptide comprising a variant Fc region of the invention), including chronic and acute disorders or diseases (e.g., pathological conditions that predispose a patient to a particular disorder). In certain embodiments, the disorder is cancer. In certain embodiments, the term "autoimmune disease" is used interchangeably with the term "autoimmune disorder" to refer to a condition in a subject characterized by cellular, tissue and/or organ injury caused by an immunologic reaction of the subject to its own cells, tissues and/or organs. The term "inflammatory disease" is used interchangeably with the term "inflammatory disorder" to refer to a condition in a subject characterized by inflammation. Autoimmune disorders may or may not be associated with inflammation. Moreover, inflammation may or may not be caused by autoimmune disorder. Certain disorders may be characterized as both autoimmune and inflammatory disorders.

As used herein, the terms "cancer" and "cancerous" refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth. Examples of cancer include, but are not limited to, carcinoma, lymphoma, blastoma, sarcoma, and leukemia. More particular examples of such cancers include squamous cell cancer, small-cell lung cancer, non-small cell lung cancer, adenocarcinoma of the lung, squamous carcinoma of the lung, cancer of the peritoneum, hepatocellular cancer, gastrointestinal cancer, pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, breast cancer, colon cancer, colorectal cancer, endometrial or uterine carcinoma, salivary gland carcinoma, kidney cancer, liver cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma and various types of head and neck cancer. As used herein, the term "label" refers to a detectable compound or composition that is conjugated directly or indirectly to a polypeptide. The

label may itself be detectable (e.g., radioisotope labels or fluorescent labels) or, in the case of an enzymatic label, may catalyze chemical alteration of a substrate compound or composition which is detectable.

As used herein, the term "receptor" refers to a polypeptide capable of binding at least one ligand. The preferred receptor is a cell-surface or soluble receptor having an extracellular ligand-binding domain and, optionally, other domains (e.g., transmembrane domain, intracellular domain and/or membrane anchor). A receptor to be evaluated in an assay described herein may be an intact receptor or a fragment or derivative thereof (e.g., a fusion protein comprising the binding domain of the receptor fused to one or more heterologous polypeptides). Moreover, the receptor to be evaluated for its binding properties may be present in a cell or isolated and optionally coated on an assay plate or some other solid phase or labeled directly and used as a probe.

As used herein, the term "antibody responsive disease" refers to any disease or medical condition that is shown to be treatable, at least in part, with antibody therapy. Examples of such diseases and medical conditions include, but are not limited to, lymphoma (shown to be treatable with RITUXAN), infectious disease (respiratory syncytia virus shown to be treatable with SYNAGIS), kidney transplant (ZENAPAX has shown to be helpful), Crohn's disease and rheumatoid arthritis (shown to be treatable with REMICADE), breast carcinoma (shown to be treatable with HERCEPTIN), and colon cancer (shown to be treatable with EDRECOLOMAB). As used herein, the term "immunoadhesin responsive disease" refers to any disease or medical condition that is shown to be treatable, at least in part, with immunoadhesin therapy.

As used herein a variant polypeptide that "mediates antibody-dependent cell-mediated cytotoxicity (ADCC) in the presence of human effector cells more effectively" than a parent antibody is one which in vitro or in vivo is substantially more effective at mediating ADCC, when the amounts of variant polypeptide and parent antibody used in the assay are essentially the same. For example, such a variant causes a higher amount of target cell lysis in a given ADCC assay than the parent polypeptide in an identical ADCC assay. Such variants may be identified, for example, using an ADCC assay, but other assays or methods for determining ADCC activity may also be employed (e.g., animal models). In preferred embodiments, the variant polypeptide is from about 1.2, 1.3 or 1.4

fold, 1.5 fold, 50 fold, 100 fold, about 500 fold, or about 1000 fold more effective at mediating ADCC than the parent polypeptide.

The term "symptoms of an antibody or immunoadhesin responsive disease" refers to those symptoms generally associated with a particular disease. For example, the

- 5 symptoms normally associated with Crohn's disease include: abdominal pain, diarrhea, rectal bleeding, weight loss, fever, loss of appetite, dehydration, anemia, distention, fibrosis, inflamed intestines and malnutrition. The phrase "under conditions such that the symptoms are reduced" refers to any degree of qualitative or quantitative reduction in detectable symptoms of any antibody or immunoadhesin responsive disease, including
- 10 but not limited to, a detectable impact on the rate of recovery from disease (e.g., rate of weight gain), or the reduction of at least one of the symptoms normally associated with the particular disease (e.g., if the antibody or immunoadhesin responsive disease were Crohn's disease, a reduction in at least one of the following symptoms: abdominal pain, diarrhea, rectal bleeding, weight loss, fever, loss of appetite, dehydration, anemia,
- 15 distention, fibrosis, inflamed intestines and malnutrition).

#### Monoclonal Antibodies and Receptors

- A full-length antibody ("Immunoglobulin" or "Ig") as it exists naturally is an immunoglobulin molecule comprised of four peptide chains, two heavy (H) chains (about
- 20 50-70 kDa when full length) and two light (L) chains (about 25 kDa when full length) interconnected by disulfide bonds. The amino terminal portion of each chain includes a variable region of about 100-110 or more amino acids primarily responsible for antigen recognition. The carboxy-terminal portion of each chain defines a constant region primarily responsible for effector function.

- 25 Light chains are classified as kappa or lambda and characterized by a particular constant region. Heavy chains are classified as gamma, mu, alpha, delta, or epsilon, and define the antibody's isotype as IgG, IgM, IgA, IgD, and IgE, respectively. Each heavy chain type is characterized by a particular constant region.

- Each heavy chain is comprised of a heavy chain variable region (herein "HCVR")
- 30 and a heavy chain constant region. The heavy chain constant region is comprised of three domains (CH1, CH2, and CH3) for IgG, IgD, and IgA; and 4 domains (CH1, CH2, CH3, and CH4) for IgM and IgE. Each light chain is comprised of a light chain variable region

(herein "LCVR") and a light chain constant region. The light chain constant region is comprised of one domain, CL. The HCVR and LCVR regions can be further subdivided into regions of hypervariability, termed complementarity determining regions (CDRs), interspersed with regions that are more conserved, termed framework regions (FR). Each

5 HCVR and LCVR is composed of three CDRs and four FRs, arranged from amino-terminus to carboxy-terminus in the following order: FR1, CDR1, FR2, CDR2, FR3, CDR3, FR4. The assignment of amino acids to each domain is in accordance with well-known conventions [e.g., Kabat, "Sequences of Proteins of Immunological Interest," National Institutes of Health, Bethesda, Md. (1991)].. The functional ability of an

10 antibody to bind a particular antigen is determined collectively by the six CDRs. However, even a single variable domain comprising only three CDRs specific for an antigen may have the ability to recognize and bind antigen, although at a lower affinity than a complete Fab.

Monoclonal antibodies of the invention can be produced using e.g., hybridoma

15 techniques well known in the art, as well as recombinant technologies, phage display technologies, synthetic technologies or combinations of such technologies readily known in the art. The term "monoclonal antibody" as used herein is not limited to antibodies produced through hybridoma technology. "Monoclonal antibody" refers to an antibody that is derived from a single copy or clone, including e.g., any eukaryotic, prokaryotic, or

20 phage clone, and not the method by which it is produced. A "monoclonal antibody" can be an intact (complete or full length) antibody, a substantially intact antibody, a functional fragment of an antibody, or it may be a chimeric antibody, human antibody, or humanized antibody.

A population of "monoclonal antibodies," refers to a homogeneous or

25 substantially homogeneous (or pure) antibody population (i.e., at least about 90%, 91%, 92%, 93%, 94%, 95%, 96%, more preferably at least about 97% or 98% or most preferably at least 99% of the antibodies in the population are identical and would compete in an ELISA assay for the same antigen or epitope.

The term "specifically binds" or "preferentially binds" as used herein refers to the

30 situation in which one member of a specific binding pair does not significantly bind to molecules other than its specific binding partner(s). The term is also applicable where e.g., an antigen-binding domain of an antibody of the invention is specific for a particular

epitope that is carried by a number of antigens, in which case the specific antibody carrying the antigen-binding domain will be able to bind to the various antigens carrying the epitope.

The Fc region refers to the portion of an intact antibody, e.g., IgG, generated by digestion with the enzyme papain (*see* Fig. 1). The Fc region is a homodimer with each chain being comprised of a portion of the hinge region as well as the CH2 and CH3 domains. The Fc region is a dimer due to interchain disulfide bridges formed between the hinge regions and multiple non-covalent bonds between the CH3 domains. IgG is the most abundant class of Ig in the body, constituting approximately 75% of the total immunoglobulin and distributed equally within the intravascular and extravascular pools. Very little IgG is produced during the early stages of the primary response to antigen but is the major form of antibody produced during the secondary response.

As described above, antibodies have regions, primarily the CH2 and CH3 regions, that are involved in non-antigen binding functions. Together, these regions and a portion of the linker sequence are generally known as the Fc region, and have several effector functions mediated by binding of effector molecules.

The effector functions mediated by the antibody Fc region can be divided into two categories: (1) effector functions that operate after the binding of antibody to an antigen (these functions involve, for example, the participation of the complement cascade or Fc receptor (FcR)-bearing cells); and (2) effector functions that operate independently of antigen binding (these functions confer, for example, persistence in the circulation and the ability to be transferred across cellular barriers by transcytosis). For example, binding of the C1q component of complement to antibodies activates the complement system. Following opsonization, activation of complement is important in the lysis of cell pathogens. The activation of complement also stimulates the inflammatory response and may also be involved in autoimmune hypersensitivity. Further, antibodies bind to cells via the Fc region, with an Fc receptor binding site on the antibody Fc region binding to a FcR on a cell. There are a number of FcRs which are specific for different classes of antibody, including IgG, IgE, IgA and IgM. While the present invention is not limited to any particular mechanism, binding of antibody to FcR on cell surfaces triggers a number of important and diverse biological responses including engulfment and destruction of antibody-coated particles, clearance of immune complexes, lysis of antibody-coated

target cells by killer cells (ADCC), release of inflammatory mediators, placental transfer and control of immunoglobulin production.

Several antibody effector functions are mediated by FcRs, which bind the Fc region of an antibody. FcRs are defined by their specificity for immunoglobulin isotypes;

- 5 Fc receptors for IgG antibodies are referred to as FcγR, for IgE as FcεR, for IgA as FcαR and so on. Three subclasses of FcγR have been identified: FcγRI (CD64), FcγRII (CD32) and FcγRIII (CD16).

Because each FcγR subclass is encoded by two or three genes, and alternative RNA splicing leads to multiple transcripts, a broad diversity in FcγR isoforms exists. The  
10 three genes encoding the FcγRI subclass (FcγRIA, FcγRIB and FcγRIC) are clustered in region 1q21.1 of the long arm of chromosome 1; the genes encoding FcγRII isoforms (FcγRIIA, FcγRIIB and FcγRIIC) and the two genes encoding FcγRIII (FcγRIIIA and FcγRIIIB) are all clustered in region 1q22. These different FcR subtypes are expressed on different cell types (e.g., Ravetch and Kinet, *Annu. Rev. Immunol.* 9: 457-492 (1991)).

- 15 For example, in humans, FcγRIIB is found only on neutrophils, whereas FcγRIIIA is found on macrophages, monocytes, NK cells, and a subpopulation of T-cells. Notably, FcγRIIIA is present on NK cells, one of the cell types implicated in ADCC.

Human FcγRIIIA (CD16) receptor has a common polymorphism at position 158 in its extracellular domain encoding either a phenylalanine or valine at this position. The  
20 V allele of FcγRIIIA has higher affinity to human IgG1 than the F allele. The V158 allele also mediates ADCC more efficiently. Clinical data have shown a correlation between the genotype of FcγRIIIA receptor in patients undergoing Rituxan treatment and therapeutic response. Both clinical and molecular responses and time to progression were shown to be superior in patients homozygous for the FcγRIIIA-158V genotype  
25 (approximately 20% of population). In contrast, patients heterozygous or homozygous for the lower affinity FcγRIIIA-158F genotype (approximately 80% of population) respond more poorly. These data suggest that Fc mutations that enhance ADCC activity of the 158F carriers might enhance the clinical efficacy of antibody-based therapy of cancer. A genetic polymorphism is also present in human FcγRIIA (CD32) receptor at  
30 position 131 in its extracellular domain encoding either a histidine (H) or arginine (R) at this position. The polymorphism at position 131 has been found to affect its ability to

bind to human IgG. Recent data also show a correlation between the FcγRIIA position 131 polymorphism and clinical response to Rituxan. Patients homozygous for the H131 allele had a significantly higher response rate than the other 2 groups.

FcγRI, FcγRII and FcγRIII are immunoglobulin superfamily (IgSF) receptors;

- 5 FcγRI has three IgSF domains in its extracellular domain, while FcγRII and FcγRIII have only two IgSF domains in their extracellular domains. Another type of Fc receptor is the neonatal Fc receptor (FcRn). FcRn is structurally similar to major histocompatibility complex (MHC) and consists of an α-chain noncovalently bound to β2-microglobulin.

#### 10 Variant Fc Regions

- The present invention provides variant polypeptides, nucleic acid sequences encoding the variant polypeptides, and methods for generating variant polypeptides. Preferably, the variant polypeptides of the present invention differ from a parent polypeptide by at least one amino acid modification, preferably an amino acid substitution. A "parent", "wild type", "starting" or "nonvariant" polypeptide preferably comprises at least a portion of an antibody Fc region and the amino acid substitution in the variant polypeptide occurs within the Fc region. A parent polypeptide comprising an Fc region may be prepared using techniques available in the art for generating polypeptides comprising an Fc region or portion thereof. In preferred embodiments, the parent polypeptide is an antibody. However, the parent polypeptide may be any other polypeptide comprising at least a portion of an Fc region (e.g., an immunoadhesin). The portion of Fc region in the parent polypeptide may be of a native or non-native sequence, preferably it is a native sequence of human origin. In certain embodiments, a variant Fc region may be generated (e.g., according to the methods disclosed herein) and can be fused to a heterologous polypeptide of choice, such as an antibody variable domain or binding domain of a receptor or ligand, or any therapeutic polypeptide.

- In preferred embodiments, the parent polypeptide comprises an Fc region or functional portion thereof. Generally, the Fc region of the parent polypeptide will comprise a native sequence Fc region, and preferably a human native sequence Fc region. However, the Fc region of the parent polypeptide may have one or more pre-existing amino acid sequence alterations or modifications (e.g., an amino acid substitution) from a native sequence Fc region. For example, the Clq binding activity of the Fc region may



have been previously altered or the Fc $\gamma$ R binding affinity of the Fc region may have been altered. The desired variant Fc region or nucleic acid encoding the variant Fc region of interest may be constructed while not operably attached to the desired fusion partner of the variant Fc region, (e.g., an antibody variable region, a heterologous protein) and then  
5 subsequently engineered to be operably attached thereto. In further embodiments, the parent polypeptide Fc region is conceptual (e.g., mental thought or a visual representation on a computer or on paper) and, while it does not physically exist, the antibody engineer may decide upon a desired variant Fc region amino acid sequence and generate a polypeptide comprising that sequence or a DNA encoding the desired variant Fc region  
10 amino acid sequence. However, in preferred embodiments, a nucleic acid encoding an Fc region of a parent polypeptide is available and this nucleic acid sequence is altered to generate a variant nucleic acid sequence encoding the variant Fc region.

Nucleic acid encoding a variant of the parent polypeptide (or simply a variant Fc region) may be prepared by methods known in the art using the guidance of the present  
15 specification for particular sequences. These methods include, but are not limited to, preparation by site-directed (or oligonucleotide-mediated) mutagenesis, PCR mutagenesis (e.g., Vallette et al., Nuc. Acids Res. 17:723-733 (1989), and cassette mutagenesis (e.g., Wells et al., Gene 34:315-323 (1985) of an earlier prepared nucleic acid encoding the polypeptide. Site-directed mutagenesis is a preferred method for preparing variants. This  
20 technique is well known in the art (*see*, e.g., Carter et al. Nucleic Acids Res. 13: 4431-4443 (1985) and Kunkel et. al., Proc. Natl. Acad. Sci. USA 82: 488 (1987)).

Alternatively, or additionally, the desired amino acid sequence encoding a variant polypeptide can be determined, and a nucleic acid sequence encoding such amino acid sequence of the variant polypeptide (i.e., polypeptide comprising a variant Fc region  
25 comprising an amino acid substitution as described herein) can be generated synthetically. This is still considered to be a variant Fc region of a parent Fc region even though the parent Fc region was not the molecular precursor of the variant Fc region but was instead the amino acid sequence of the Fc region present in the parent in the absence of the desired amino acid substitution.

30 The amino acid sequence of the parent polypeptide may be modified in order to generate a variant Fc region with altered Fc receptor binding affinity or activity in vitro and/or in vivo; and/or altered ADCC activity in vitro and/or in vivo; and/or altered CDC

activity in vitro and/or in vivo. The amino acid sequence of the parent polypeptide may also be modified in order to generate a variant Fc region with altered complement binding properties and/or circulation half-life.

- Substantial modifications in the biological properties of the Fc region may be accomplished by selecting amino acid substitutions that differ significantly in their effect on altering (a) the structure of the polypeptide backbone in the area of the substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or the bulk of the side chain, (d) interaction with carbohydrate, or (e) flexibility of domain movement. Naturally occurring residues are divided into classes based on common side-chain properties:
- (1) hydrophobic: norleucine, met, ala, val, leu, ile;
  - (2) neutral hydrophilic: cys, ser, thr;
  - (3) acidic: asp, glu;
  - (4) basic: asn, gln, his, lys, arg;
  - (5) residues that influence chain orientation: gly, pro; and
  - (6) aromatic: trp, tyr, phe.

Non-conservative substitutions will entail exchanging a member of one of these classes for a member of another class. Conservative substitutions will entail exchanging a member of one of these classes for another member of the same class.

- As is demonstrated in the Examples below, one can engineer a variant Fc region with altered activity (effector function(s) and/or pharmacokinetics). One may, for example, modify one or more amino acid residues of the Fc region in order to alter (e.g., increase or decrease) ADCC activity or CDC activity or FcRn binding affinity. In preferred embodiments, a modification is a substitution as listed in Table 1 herein.
- Generally, one will make an amino acid substitution at one or more of the Fc region residues identified herein as effecting ADCC activity in order to generate such a variant Fc region. In preferred embodiments, no more than one to about ten Fc region residues will be substituted. The Fc regions herein comprising one or more amino acid substitutions will preferably retain at least about 80%, and preferably at least about 90%, and most preferably at least about 95%, of the parent Fc region sequence or of a native human Fc region sequence.

By introducing the appropriate amino acid sequence modification(s) in a parent Fc region, one can generate a variant Fc region which (when compared to the parent Fc region) (a) mediates ADCC in the presence of human effector cells more or less effectively and/or (b) mediates CDC in the presence of human complement more or less effectively and/or (c) binds C1q with a desired affinity and/or (d) binds an Fc gamma receptor (FcγR) or Fc neonatal receptor (FcRn) with a desired affinity. Such variant Fc regions will generally comprise at least one amino acid modification in the Fc region. Preferably the modification is an amino acid substitution, more preferably an amino acid substitution as listed in Tables 1-9 herein.

10 In preferred embodiments, the parent polypeptide Fc region is a human Fc region or functional fragment thereof, e.g., a native human Fc region human IgG1 (f and a<sub>1</sub>z allotypes), IgG2, IgG3, IgG4, and all allotypes known or discovered from any species. Such regions have native sequences such as, e.g., those shown in Fig. 2 (SEQ ID NOs: 1-8), and Fig. 3 (SEQ ID NOs: 9-12) herein.

15 In certain embodiments, in order to generate a variant Fc region with enhanced ADCC activity, the parent polypeptide preferably has pre-existing ADCC activity (e.g., the parent polypeptide comprises a human IgG1 or human IgG3 Fc region). In some embodiments, a variant has enhanced ADCC activity (e.g., greater levels as compared to the parent according to an ADCC assay described herein) as compared to the parent  
20 polypeptide, i.e., a monoclonal antibody comprising the variant Fc region has enhanced ADCC activity as compared under identical circumstances to a monoclonal antibody comprising the parent Fc region or a native IgG1 or IgG3 Fc region sequence but otherwise identical to the monoclonal antibody comprising the variant Fc region.

In preferred embodiments, amino acid substitution(s) are introduced into the CH2  
25 and/or CH3 domains of a Fc region. In preferred embodiments, the parent Fc region used as the template to generate such variants comprises a human IgG Fc region.

In certain embodiments, in order to generate a variant Fc region with enhanced CDC activity, the parent polypeptide preferably has pre-existing CDC activity. In some  
30 embodiments, a variant has enhanced CDC activity (e.g., greater levels as compared to the parent according to an CDC assay described herein) as compared to the parent polypeptide, i.e., a monoclonal antibody comprising the variant Fc region has enhanced CDC activity as compared under identical circumstances to a monoclonal antibody

comprising the parent Fc region or a native IgG1 or IgG3 Fc region sequence but otherwise identical to the monoclonal antibody comprising the variant Fc region.

The variant polypeptides described herein may be subjected to further modifications, depending on the desired or intended use of the polypeptide. Such  
5 modifications may involve, for example, further alteration of the amino acid sequence (substitution, insertion and/or deletion of amino acid residues), carbohydrate modifications, fusion to heterologous polypeptide(s) and/or covalent modifications. Such further modifications may be made prior to, simultaneously with, or following, the amino acid modification(s) disclosed herein which result in an alteration of Fc receptor binding  
10 and/or ADCC activity and/or CDC activity.

Alternatively or additionally, it may be useful to combine amino acid modifications with one or more further amino acid modifications that alter Clq binding and/or CDC function of the Fc region. For example, the starting polypeptide may be unable to bind Clq and/or mediate CDC and may be modified according to the teachings  
15 herein such that it acquires these further effector functions. Moreover, polypeptides with pre-existing Clq binding activity, optionally further having the ability to mediate CDC, may be modified such that one or both of these activities are enhanced (or alternatively, are diminished). Certain Fc region amino acid modifications that alter Clq binding and/or modify CDC activity are described herein (*see* Tables 2, 7, 8 and 10) and, for example, in  
20 W00042072.

As disclosed above, one can design an Fc region or portion thereof with altered effector function, e.g., by modifying CDC activity and/or ADCC activity. For example, one can generate a variant Fc region with improved CDC activity and improved ADCC activity. Alternatively, where one desires that an effector function be reduced or ablated,  
25 one may engineer a variant Fc region with reduced CDC activity and/or reduced ADCC activity. In other embodiments, one may increase only one of these activities, and optionally also reduce the other activity, e.g., to generate a variant Fc region with improved ADCC activity, but reduced CDC activity and vice versa. Additionally, one can engineer a variant Fc region with modified binding affinity to FcRn, protein A, and/or  
30 other Fc binding proteins.

In some embodiments, the present invention provides compositions comprising a variant of a parent polypeptide, wherein the parent comprises an Fc region (or portion

thereof) and wherein the variant comprises at least one surface residue amino acid modification within the Fc region (*see, e.g.,* Deisenhofer, *Biochemistry*, 20:2361-70, April 1981, and W00042072). In other embodiments, the present invention provides compositions comprising a variant of a parent polypeptide having an Fc region, wherein the variant comprises at least one non-surface residue amino acid modification in the Fc region. In further embodiments, the present invention comprises a variant of a parent polypeptide having an Fc region, wherein the variant comprises at least one surface amino acid modification and at least one non-surface amino acid modification, both in the Fc region.

#### Combination Variants

In some embodiments, a variant Fc region of the present invention comprises two or more amino acid modifications (*e.g.,* substitutions). Such combination variants may be produced, for example, by selecting two or more of the amino acid substitutions detailed above (*e.g., see* Table 1), or one or more amino acid substitutions as listed in Table 1 in addition to a substitution known in art.

The combination variants shown in Table 10 and other combination variants (such as those substitutions disclosed in W00042072 uses in combination with those disclosed herein) may be tested for a given activity (*e.g.,* FcRn binding activity, ADCC activity, and CDC activity) in a variety of assays (*see* examples below). In this regard, useful combination variants may be identified.

In certain preferred embodiments, the multiple amino acid substitutions present in a variant Fc region of the present invention has one amino acid substitution that increases ADCC activity, and one amino acid modification that increases neonatal Fc receptor (FcRn) binding affinity (*e.g.,* at pH 6.0) of the polypeptide comprising the variant Fc region. In other embodiments, the combination variants of the present invention have one surface amino acid in a variant Fc region of the present invention, and one non-surface amino acid modification. Additional combination variants in the variant Fc regions of the present invention may be generated by combining two or more of the amino acid substitutions described herein, or at least one of the amino acid substitutions described herein with those described in *e.g.,* W00042072.

### Variant Polypeptide Assays

The present invention provides various assays for screening variant Fc regions or polypeptides comprising a variant Fc region of the invention. Screening assays may be used to find or confirm useful variants. For example, combination variants (*see, e.g.,* 5 Table 10) may be screened to find variants with altered FcR binding, and/or altered ADCC and/or altered CDC activity (e.g., increased or decreased ADCC or CDC activity) and/or modified ability to deplete target cells (B cells, for eg.) from whole blood. Also, as described below, the assays of the present invention may be employed to find or confirm variants that have beneficial therapeutic activity in a subject (e.g., such as a 10 human with symptoms of an antibody or immunoadhesin responsive disease). A variety of assay types may be employed to evaluate any change in a variant compared to the parent polypeptide (screening assays provided e.g., in W00042072). Further exemplary assays are described below.

In preferred embodiments, a variant polypeptide (i.e., polypeptide comprising a 15 variant Fc region of the present invention or functional portion thereof) is a monoclonal antibody that essentially retains the ability to specifically bind an antigen (via an unmodified antigen binding region or modified antigen binding region) as compared to the parent polypeptide (e.g., the binding capability is preferably less than 20 fold, 10 fold, 7 fold, or less than about 5 fold different than that of the parent polypeptide). The 20 binding capability of the variant polypeptide to antigen may be determined using techniques such as ELISA, fluorescence activated cell sorting (FACS) analysis, or radioimmunoprecipitation (RIA), for example FcR binding assays may be employed to evaluate the variants of the present invention. For example, binding of Fc receptors such as FcγRI, FcγRIIa, FcγRIIb, FcγRIII, FcRn, etc., can be measured by titrating a variant 25 polypeptide and measuring bound variant polypeptide using an antibody which binds to the variant polypeptide in an ELISA format (*see* Examples below). For example, a variant that comprises an antibody may be screened in a standard ELISA assay to determine binding to an FcRn at pH 6.0 and pH 7.0 or pH 7.4. A solid surface coated with streptavidin or neutravidin may be used to capture biotin labeled FcRn from any 30 species, such as mouse or human. After blocking, the capture receptor can be incubated with variant polypeptides (e.g., antibodies) diluted in buffers at pH 6.0 or pH 7.0. In the following step a molecule specific for human antibodies is added (e.g., goat (Fab')<sub>2</sub> anti-

human-Fab conjugated to an enzyme). Thereafter a substrate may be added in order to determine the amount of binding of the variant polypeptide to the immobilized FcRn at pH 6.0 or pH 7.0 or pH 7.4. The results of this assay can be compared to the parent (non-variant) polypeptide's ability to bind the same FcR. In other preferred embodiments, the components for carrying out an ELISA (e.g., with FcRn ) to screen variants are packaged in a kit (e.g., with instructions for use).

An ADCC assay may also be employed to screen the variants of the present invention. ADCC assays may be performed in vitro or in vivo. To assess ADCC activity of a variant polypeptide an in vitro ADCC assay may be performed using varying effector:target ratios. An exemplary ADCC assay could use a target cell line expressing any of the following target antigens: CD20, CD22, CD33, CD40, CD63, EGF receptor, her-2 receptor, prostate-specific membrane antigen, LewisY carbohydrate, GD2 and GD3 gangliosides, lamp-i, CO-029, L6, and ephA2. Effector cells may be obtained from a healthy donor (e.g., on the day of the experiment) and PBMC purified using Histopaque (Sigma). Target cells are then preincubated with an IgG comprising a variant Fc region of the invention at, for example, 0.1 - 1,000 ng/ml for about 30 minutes prior to mixing with effector cells at effector:target ratios of, for example, 40:1, 20:1 and 10:1. ADCC activity may then be measured colorimetrically using a Cytotoxicity Detection Kit (Roche Molecular Biochemicals) for the quantitation of cell death and lysis based upon the measurement of lactate dehydrogenase (LDH) activity released from the cytosol of damaged cells into the supernatant. ADCC activity may also be measured, for Chromium loaded target cell assays, by measuring the resulting Chromium 51 released. Antibody independent cellular cytotoxicity can be determined by measuring the LDH activity from target and effector cells in the absence of antibody. Total release may be measured following the addition of 1% Triton X-100 to the mixture of target and effector cells. Incubation of the target and effector cells may be performed for an optimized period of time (0.54-18 hours) at 37°C in 5.0 % CO<sub>2</sub> and then be followed by centrifugation of the assay plates. The supernatants may then be transferred to 96 well plates and incubated with LDH detection reagent for 30 minutes at 25°C. The sample absorbance may then be measured at 490 nm using a microplate reader. The percent cytotoxicity can then be calculated using the following equation: % cytotoxicity= experimental value - low control / high control - low control X 100%. The percent cytotoxicity of anti-CD20 and

variants can then be compared directly with equal amount of RITUXAN to provide a measurement of relative effectiveness. An exemplary ADCC assay could employ SKW6.4 cells over-expressing the CD20 antigen (e.g., purchased from the American Type Culture Collection) as the source of target cells. Many variations of this assay are  
5 known in the art (e.g., Zuckerman et al., *CRC Crit Rev Microbiol* 1978;7(1):1-26).

Useful effector cells for such assays include, but are not limited to, NK cells, macrophages, and other PBMC. Alternatively, or additionally, ADCC activity of the variant polypeptides of the present invention may be assessed in vivo, e.g., in a animal model such as that disclosed in Clynes et al. *PNAS (USA)* 95:652-656 (1998)).

10 The variants of the present invention may also be screened for complement activation. To assess complement activation, a CDC assay may be performed (*See, e.g.,* Gazzano-Santoro et al., *J. Immunol. Methods*, 202:163 (1996)). For example, various concentrations of the variant polypeptide and human complement may be diluted with buffer. Cells which express the antigen to which the variant polypeptide binds may be  
15 diluted to a density of  $1 \times 10^6$  cells/ml. Mixtures of variant polypeptide, diluted human complement and cells expressing the antigen may be added to a flat bottom tissue culture 96 well plate and allowed to incubate for 2 hours at 37°C and 5% CO<sub>2</sub> to facilitate complement mediated cell lysis. Fifty microliters of alamar blue (Accumed International) may then be added to each well and incubated overnight at 37°C. The absorbance may be  
20 measured using a 96-well fluorimeter with excitation at 530 nm and emission at 590 nm. The results may be expressed in relative fluorescence units (RFU). The sample concentrations may be computed from a standard curve and the percent activity as compared to nonvariant polypeptide may be reported for the variant polypeptide of interest.

25 In certain embodiments, variant polypeptides of the present invention do not activate complement or activate complement poorly. For example, a variant polypeptide displays about 0-10% CDC activity in this assay compared to a control antibody having a nonmutated IgG1 Fc region. Preferably the variant does not appear to have any CDC activity (e.g., above background) in the above CDC assay. In other embodiments, a  
30 variant polypeptide of the present invention is found to have enhanced CDC compared to a parent polypeptide (e.g., preferably displaying about 1.1, 1.5, 1.7, or 2-fold to about



100-fold (or greater) enhancement in CDC activity in vitro or in vivo when the IC<sub>50</sub> values are compared).

Variant polypeptides of the present invention may also be screened for depletion of target cells in a whole blood assay. For example, various concentrations of the variant polypeptide with CD20 target specificity can be screened for the depletion of B cells in a whole blood assay using facs (Vugmeyster et al., 2003 Cytometry 52A, 101-109). Freshly drawn blood is incubated with varying concentrations of variant polypeptide at 37°C and 5% CO<sub>2</sub> for 4 hours (time can be varied). Following the incubation, red blood cells are lysed per manufacturer's directions with an ammonium chloride reagent (Beckton-Dickinson cat. #555899) and B cells are detected by facs using a fluorescent-labeled antibody specific for B cells (anti-CD 19, for example). The results may be expressed as percent depletion of B cells relative to either an untreated sample or a sample incubated with an irrelevant (non-depleting) antibody.

In preferred embodiments, a variant polypeptide depletes B cells more effectively than the parental polypeptide. A variant polypeptide may deplete B cells, for example, to about two-fold or greater extent than the parent polypeptide, and preferably about five-fold or more. Also, a variant may display greater potency in depleting B cells. For example, a variant may deplete the same percentage of B cells relative to parental polypeptide, but utilize about five-fold less, and preferably about 10-fold less antibody. Target cell depletion mediated by variants may be about 2-fold, 3-fold, 5-fold to about 1000-fold or greater, and preferably from about 5-fold to about 1000-fold improved compared to the parent polypeptide.

The variants of the present invention may also be screened in vivo. Any type of in vivo assay may be employed. A particular example of one type of assay is provided below. This exemplary assay allows for preclinical evaluation of Fc variants in vivo. A variant to be tested may be incorporated into the Fc region of a particular antibody known to have some activity. For example, a variant may be incorporated into the Fc region of an anti-CD20 IgG by mutagenesis. This allows a parental IgG and Fc variant IgG to be compared directly with RITUXAN (known to promote tumor regression). The preclinical evaluation may be done in 2 phases (a pharmacokinetic and pharmacodynamic phase). The goal of the Phase I pharmacokinetic studies is to determine if there are differences in the clearance rate between an Fc variant IgG and the antibody with known in vivo activity

(e.g., RITUXAN). Differences in clearance rate may cause differences in the steady-state level of IgG in serum. As such, if differences in steady-state concentrations are detected these should be normalized to enable accurate comparisons to be made. The goal of the Phase II pharmacodynamic studies is to determine the effect of the Fc mutations upon, in this case, tumor growth. Previous studies with RITUXAN used a single dose which completely inhibited tumor growth. Because this does not allow quantitative differences to be measured, a dose range should be employed.

Phase I pharmacokinetic comparison of an Fc variant, the wild type parental Fc, and RITUXAN may be performed, e.g., in the following manner. First, 40 µg (or other dose to be tested) per animal may be injected intravenously and the plasma level of the IgG quantitated at 0, .25, .5, 1, 24, 48, 72, 96, 120, 168, and 336 hrs. The data may be fitted, for example, using a pharmacokinetic program (WinNonLin) using a zero lag two compartment pharmacokinetic model to obtain the clearance rate. Clearance rate may be used to define steady state plasma level with the following equation:  $C = \text{Dose} / (\text{Clearance rate} \times T)$ , where T is the interval between doses and C is the plasma level at steady state. Pharmacokinetic experiments may be performed in non-tumor bearing mice with, for example, a minimum of 5 mice per time point.

An exemplary animal model may be employed for the next phase in the following manner. The right flank of CB17-SCID mice may be implanted with  $10^6$  Raji cells subcutaneously. Intravenous bolus of the variant Fc antibody, the wild type Fc antibody, and RITUXAN may be commenced immediately after implantation and continued until the tumor size is greater than 2 cm in diameter. Tumor volume may be determined every Monday, Wednesday and Friday by measuring the length, width, and depth of the tumor using a caliper (tumor volume =  $W \times L \times D$ ). A plot of tumor volume versus time will give the tumor growth rate for the pharmacodynamic calculation. A minimum of about 10 animals per group should be used.

Phase II pharmacodynamic comparison of the variant Fc antibody, the wild type Fc antibody, and RITUXAN may be performed in the following manner. Based on published data, RITUXAN at 10 µg/g weekly completely inhibited tumor growth in vivo (Clynes et al., Nat. Med. 6:443-6, 2000). Therefore, a weekly dose range of 10 µg/g, 5 µg/g, 1 µg/g, 0.5 µg/g, and 0 µg/g may be tested. The steady state plasma level at which tumor growth rate is inhibited by 50% may be graphically determined by the relationship

between steady state plasma level and effectiveness. The steady state plasma level may be calculated as described above. If necessary,  $\tau$  may be adjusted accordingly for each variant Fc antibody and the wild type Fc antibody depending on their pharmacokinetic properties to achieve comparable steady state plasma level as RITUXAN. Statistical improved pharmacodynamic values of the variant Fc antibody in comparison to the parental polypeptide (e.g., wild type Fc antibody) and RITUXAN will generally indicate that the variant Fc antibody confers improved activity *in vivo*.

Additional pharmacodynamic comparison of variant Fc antibodies, wild type Fc antibodies, and RITUXAN may be performed in cynomolgous monkeys as described previously (Reff *et al.*, Blood 83, 435-445, 1994). A dose response for depletion of peripheral B cells and lymph node B cells may be used to compare the relative potencies of the Fc variants with wild type Fc and RITUXAN administered intravenously and/or subcutaneously. Statistical improved pharmacodynamic values of the Fc variant in comparison to the parental polypeptide (e.g., Fc wild type) and RITUXAN will generally indicate that the variant Fc antibody confers improved activity *in vivo*.

In further embodiments, the variants (i.e., polypeptide comprising a variant Fc region of the invention, or functional portion thereof) of the present invention are screened such that variants that are useful for therapeutic use in at least two species are identified. Such variants are referred to herein as "dual-species improved variants", and are particularly useful for identifying variants that are therapeutic in humans, and also demonstrate (or are likely to demonstrate) efficacy in an animal model. In this regard, the present invention provides methods for identifying variants that have a strong chance of being approved for human clinical testing since animal model data will likely support any human testing applications made to governmental regulatory agencies (e.g., U.S. Food and Drug Administration).

In certain embodiments, dual-species improved variants are identified by first performing an ADCC assay using human effector cells to find improved variants, and then performing a second ADCC assay using mouse, rat, or non-human primate effector cells to identify a sub-set of the improved variants that are dual-species improved variants. In some embodiments, the present invention provides methods for identifying dual-species improved variants, comprising: a) providing: i) target cells, ii) a composition comprising a candidate variant of a parent polypeptide having at least a portion of an Fc

region, wherein the candidate variant comprises at least one amino acid substitution in the Fc region, and wherein the candidate variant mediates target cell cytotoxicity in the presence of a first species (e.g., human) of effector cells more effectively than the parent polypeptide, and iii) second species (e.g., mouse, rat, or non-human primate) effector cells, and b) incubating the composition with the target cells under conditions such that the candidate variant binds the target cells thereby generating candidate variant bound target cells, c) mixing the second species effector cells with the candidate variant bound target cells, and d) measuring target cell cytotoxicity mediated by the candidate variant.

In certain embodiments, the method further comprises step e) determining if the candidate variant mediates target cell cytotoxicity in the presence of the second species effector cells more effectively than the parent polypeptide. In some embodiments, the method further comprises step f) identifying a candidate variant as a dual-species improved variant that mediates target cell cytotoxicity in the presence of the second species effector cells more effectively than the parent polypeptide. In preferred embodiments, the dual-species variants identified are then screened in vivo in one or more animal assays.

In certain embodiments, dual-species improved variants are identified by first performing a whole blood assay using human blood to find improved variants, and then performing a second whole blood assay using mouse, rat, or non-human primate blood to identify a sub-set of the improved variants that are dual-species improved variants. In some embodiments, the present invention provides methods for identifying dual-species improved variants, comprising: a) providing: i) target cells, ii) a composition comprising a candidate variant of a parent polypeptide having at least a portion of an Fc region, wherein the candidate variant comprises at least one amino acid substitution in the Fc region, and wherein the candidate variant mediates target cell depletion in the presence of a first species (e.g., human) blood more effectively than the parent polypeptide, and iii) second species (e.g., mouse, rat, or non-human primate) blood, and b) incubating the composition with the target cells under conditions such that the candidate variant binds the target cells thereby generating candidate variant bound target cells, c) mixing the second species blood with the candidate variant bound target cells, and d) measuring target cell depletion mediated by the candidate variant. In certain embodiments, the method further comprises step e) determining if the candidate variant mediates target cell

depletion in the presence of the second species blood more effectively than the parent polypeptide. In some embodiments, the method further comprises step f) identifying a candidate variant as a dual-species improved variant that mediates target cell depletion in the presence of the second species blood more effectively than the parent polypeptide. In  
5 preferred embodiments, the dual-species variants identified are then screened *in vivo* in one or more animal assays.

In certain embodiments, dual-species improved variants are identified by performing any of the assays above using human components (e.g., human cells, human FcR, etc.) to identify improved variants, and then running the same assay (or a different  
10 assay) with non-human animal components (e.g., mouse cells, mouse FcR, etc.). In this regard, a sub-set of variants that perform well according to a given criteria in both human based assays and a second species based assays can be identified.

An exemplary process for identifying dual-species improved variants is as follows. First, a nucleic acid sequence encoding at least a portion of an IgG Fc region is  
15 mutated such that the amino acid sequence expressed has at least one amino acid change, thereby generating a variant. This expressed IgG variant is then characterized in an ADCC assay using human PBMCs or a subset (NK cells or macrophages, for example). If enhanced ADCC activity is found, then the variant is screened in a second ADCC assay using mouse or rat PBMCs. Alternatively, or in addition, an assay can be performed with  
20 the variant for binding to cloned rodent receptors or cell lines. Finally, if the variant is found to be improved in the second assay, making it a dual-improved variant, then the variant is screened *in vivo* in mice or rats.

#### **Exemplary Variant Fc Region Containing Molecules**

25 The variant Fc regions of the present invention may be part of larger molecules. The larger molecules may be, for example, monoclonal antibodies, polyclonal antibodies, chimeric antibodies, humanized antibodies, bispecific antibodies, immunoadhesins, etc. Additionally, a variant Fc region of the invention may be operably attached to a non-antibody polypeptide upon which it may confer an altered (increased or decreased) half  
30 life. As such, it is evident that there is a broad range of applications for the variant Fc regions of the present invention.

### Antibodies Containing Variant Fc Regions

In preferred embodiments, the variant Fc region containing molecule (e.g., polypeptide) is an antibody. Techniques for producing antibodies are described below.

#### 5 (i) Antigen selection and preparation

Generally, when the variant Fc region containing molecule is an antibody, the antibody is directed against an antigen of interest. Preferably, the antigen is a polypeptide and administration of the antibody to a mammal suffering from a disease or disorder which would benefit from a decrease in amount or activity of the antigenic molecule can  
10 result in a therapeutic benefit in that mammal. However, antibodies directed against nonpolypeptide antigens (such as tumor associated glycolipid antigens; *see* U.S. Patent 5,091,178), may also be employed.

Exemplary antigens include, but are not limited to, molecules such as renin; a growth hormone, including human growth hormone and bovine growth hormone; growth  
15 hormone releasing factor; parathyroid hormone; thyroid stimulating hormone; lipoproteins; alpha-i-antitrypsin; insulin A-chain; insulin B-chain; proinsulin; follicle stimulating hormone; calcitonin; luteinizing hormone; glucagon; clotting factors such as factor VIIIc, factor IX, tissue factor (TF), and von Willebrands factor; anti-clotting factors such as Protein C; atrial natriuretic factor; lung surfactant; a plasminogen  
20 activator, such as urokinase or human urine or tissue-type plasminogen activator (t-PA); bombesin; thrombin; hemopoietic growth factor; tumor necrosis factor-alpha and -beta; enkephalinase; RANTES (regulated on activation normally T-cell expressed and secreted); human macrophage inflammatory protein (MIP-1-alpha); a serum albumin such as human serum albumin; Muellerian-inhibiting substance; relaxin A-chain; relaxin B-  
25 chain; prorelaxin; mouse gonadotropin-associated peptide; a microbial protein, such as beta-lactamase; DNase; IgE; a cytotoxic T-lymphocyte associated antigen (CTLA), such as CTLA-4; inhibin; activin; vascular endothelial growth factor (VEGF); receptors for hormones or growth factors; protein A or D; rheumatoid factors; a neurotrophic factor such as bone-derived neurotrophic factor (BDNF), neurotrophin-3, -4, -5, or -6 (NT-3, NT-  
30 4, NT-5, or NT-6), or a nerve growth factor; platelet-derived growth factor (PDGF); fibroblast growth factor such as a FGF and ,FGF; epidermal growth factor (EGF); transforming growth factor (TGF) such as TGF-alpha and TGF beta, including TGF-1,

TGF-2, TGF-3, TGF-4, or TGF-5; insulin-like growth factor-I and-II (IGF-I and IGF-II); des (1-3)-IGF-I (brain IGF-I), insulin-like growth factor binding proteins; CD proteins such as CD3, CD4, CD8, CD19 and CD20; erythropoietin; osteoinductive factors; immunotoxins; a bone morphogenetic protein (BMP); a growth differentiation factor (e.g., GDF8); an interferon such as interferon-alpha, -beta, and -gamma; colony stimulating factors (CSFs), e.g., M-CSF, GM-CSF, and G-CSF; interleukins (ILs), e.g., IL-1 to IL-25; superoxide dismutase; T-25 cell receptors; surface membrane proteins; decay accelerating factor; viral antigen such as, for example, a portion of the AIDS envelope; transport proteins; homing receptors; addressins; regulatory proteins; integrins such as CD11a, CD11b, CD11c, CD18, an ICAM, VLA4 and VCAM; a tumor associated antigen such as HER2, HER3 or HER4 receptor; ghrelin; a member of an apoptosis pathway; and fragments or precursors of any of the above-listed polypeptides.

Preferred antigens include, but are not limited to, CD proteins such as CD3, CD4, CD8, CD19, CD20 and CD34; members of the ErbB receptor family such as the EGF receptor, HER2, HER3 or HER4 receptor; cell adhesion molecules such as LFA-1, Mac1, p150.95, VLA-4, ICAM-1, VCAM,  $\alpha$ 4 $\beta$ 7 integrin, and (Xv/p3 integrin including either a 5 or subunits thereof (e.g., anti-CD11a, anti-CD18 or anti-CD11b antibodies); growth factors such as VEGF; tissue factor (TF); alpha interferon ( $\alpha$ -IFN); growth differentiation factors, e.g., GDF-8; an interleukin, such as IL-8; IgE; blood group antigens; flk2/flt3 receptor; obesity (OB) receptor; mpl receptor; CTLA-4; protein C and ghrelin.

Soluble antigens or fragments thereof, optionally conjugated to other molecules, can be used as immunogens for generating antibodies. For transmembrane molecules, such as receptors, fragments of these (e.g., the extracellular domain of a receptor) can be used as the immunogen. Alternatively, cells expressing the transmembrane molecule can be used as the immunogen. Such cells can be derived from a natural source (e.g., cancer cell lines) or may be cells which have been transformed by recombinant techniques to express the transmembrane molecule. Other antigens and forms thereof useful for preparing antibodies will be apparent to those in the art.

### 30 (ii) Polyclonal Antibodies

The present invention provides polyclonal antibodies with variant Fc regions. For example, a human immunoglobulin repertoire containing modified IgG1 constant regions

may be transplanted into immunoglobulin-inactivated mice, resulting in mice expressing an IgG repertoire containing modified Fc regions (*see, e.g., Mendez, MJ et al., Nature Genetics 15:146 (1997)*). Polyclonal antibodies are preferably raised in animals by multiple subcutaneous (sc) or intraperitoneal (ip) injections of the relevant antigen and an adjuvant. It may be useful to conjugate the relevant antigen to a protein that is immunogenic in the species to be immunized (e.g., keyhole limpet hemocyanin, serum albumin, bovine thyroglobulin, or soybean trypsin inhibitor) using a bifunctional or derivitizing agent (e.g., maleimidobenzoyl sulfosuccinimide ester for conjugation through cystein residues, N-hydroxysuccinimide for conjugation through lysine residues, glutaraldehyde, succinic anhydride, SOC12, or  $R_1N=C=NR$ , where R and R<sub>1</sub> are different alkyl groups).

Examples of a general immunization protocol for a rabbit and mouse are as follows. Animals are immunized against the antigen, immunogenic conjugates, or derivatives by combining, for example, 100 µg or 5 µg of the protein or conjugate (e.g., for a rabbit or mouse respectively) with 3 volumes of Freund's complete adjuvant and injecting the solution intradermally at multiple sites. One month later the animals are boosted with 1/5 or 1/10 the original amount of peptide or conjugate in Freund's complete adjuvant by subcutaneous injection at multiple sites. Seven to fourteen days later the animals are bled and the serum is assayed for antibody titer. Animals are boosted until the titer plateaus. Preferably, the animal is boosted with the conjugate of the same antigen, but conjugated to a different protein and/or through a different cross-linking reagent. Conjugates also can be made in recombinant cell culture as protein fusions. In addition, aggregating agents such as alum are suitably used to enhance the immune response.

### (iii) Monoclonal antibodies

The present invention provides monoclonal antibodies with variant Fc regions. Monoclonal antibodies may be made in a number of ways, including using the hybridoma method (e.g., as described by Kohler *et al.*, Nature, 256: 495, 1975), or by recombinant DNA methods (e.g., U.S. Patent No. 4,816,567).

In the hybridoma method, a mouse or other appropriate host animal, such as a hamster or macaque monkey, is immunized to elicit lymphocytes that produce or are



capable of producing antibodies that will specifically bind to the protein used for immunization. Alternatively, lymphocytes may be immunized in vitro. Lymphocytes then are fused with myeloma cells using a suitable fusing agent, such as polyethylene glycol, to form a hybridoma cell. The hybridoma cells thus prepared are seeded and  
5 grown in a suitable culture medium that preferably contains one or more substances that inhibit the growth or survival of the unfused, parental myeloma cells. For example, if the parental myeloma cells lack the enzyme hypoxanthine guanine phosphoribosyl transferase (HGPRT or HPRT), the culture medium for the hybridomas typically will include hypoxanthine, aminopterin, and thymidine (HAT medium), which substances  
10 prevent the growth of HGPRT-deficient cells.

Preferred myeloma cells are those that fuse efficiently, support stable high-level production of antibody by the selected antibody-producing cells, and are sensitive to a medium such as HAT medium. Among these, preferred myeloma cell lines are murine myeloma lines, such as those derived from MOPC-21 and MPC-1 I mouse tumors  
15 available from the Salk Institute Cell Distribution Center, San Diego, California USA, and SP-2 or X63-Ag8-653 cells available from the American Type Culture Collection, Rockville, Maryland USA. Human myeloma and mouse-human heteromyeloma cell lines also have been described for the production of human monoclonal antibodies (e.g., Kozbor, J. Immunol., 133: 3001 (1984)).

20 Culture medium in which hybridoma cells are growing is assayed for production of monoclonal antibodies directed against the antigen. Preferably, the binding specificity of monoclonal antibodies produced by hybridoma cells is determined by immunoprecipitation or by an in vitro binding assay, such as RIA or ELISA. After hybridoma cells are identified that produce antibodies of the desired specificity, affinity,  
25 and/or activity, the clones may be subcloned by limiting dilution procedures and grown by standard methods. Suitable culture media for this purpose include, for example, D-MEM or RPMI-1640 medium. In addition, the hybridoma cells may be grown in vivo as ascites tumors in an animal. The monoclonal antibodies secreted by the subclones are suitably separated from the culture medium, ascites fluid, or serum by conventional  
30 immunoglobulin purification procedures such as, for example, protein A-Sepharose, hydroxylapatite chromatography, gel electrophoresis, dialysis, or affinity chromatography.

DNA encoding the monoclonal antibodies is readily isolated and sequenced using conventional procedures (e.g., by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the monoclonal antibodies). The hybridoma cells serve as a preferred source of such DNA. Once isolated, the DNA  
 5 may be placed into expression vectors, which are then transfected into host cells such as *E. coli* cells, simian COS cells, Chinese hamster ovary (CHO) cells, or myeloma cells that do not otherwise produce immunoglobulin protein, to obtain the synthesis of monoclonal antibodies in the recombinant host cells. Recombinant production of antibodies is described in more detail below.

- 10 In some embodiments, antibodies or antibody fragments are isolated from antibody phage libraries generated using the techniques described in, for example, McCafferty *et al.*, *Nature*, 348: 552554 (1990). Clackson *et al.*, *Nature*, 352:624-628 (1991) and Marks *et al.*, *J. Mol. Biol.*, 222: 581-597 (1991) describe the isolation of murine and human antibodies, respectively, using phage libraries. Subsequent  
 15 publications describe the production of high affinity (nM range) human antibodies by chain shuffling (Marks *et al.*, *BioTechnology*, 10: 779-783 (1992)), as well as combinatorial infection and in vivo recombination as a strategy for constructing very large phage libraries (e.g., Waterhouse *et al.*, *Nuc. Acids. Res.*, 21: 2265-2266 (1993)). Thus, these techniques, and similar techniques, are viable alternatives to traditional  
 20 monoclonal antibody hybridoma techniques well known in the art for isolation of monoclonal antibodies. Also, the DNA may be modified, for example, by substituting the coding sequence for human heavy-and light-chain constant domains in place of the homologous murine sequences (e.g., U.S. Patent No. 4,816,567, and Morrison, *et al.*, *Proc. Nat. Acad. Sci USA*, 81: 6851 (1984), or by covalently joining to the  
 25 immunoglobulin coding sequence all or part of the coding sequence for a non-immunoglobulin polypeptide.

- Typically such non-immunoglobulin polypeptides are substituted for the constant domains of an antibody, or they are substituted for the variable domains of one antigen-combining site of an antibody to create a chimeric bivalent antibody comprising one  
 30 antigen-combining site having specificity for an antigen and another antigen-combining site having specificity for a different antigen.

*(iv) Humanized and human antibodies*

The present invention provides humanized and human antibodies with variant Fc Regions of the invention. In preferred embodiments, a humanized antibody comprises human antibody amino acid sequences together with amino acid residues that are not from a human antibody. In some embodiments, the human sequences in a humanized antibody comprise the framework regions ("FRs") and the sequences or residues that are not from a human antibody comprise one or more CDRs. It is worth noting that FRs and CDRs can be defined based on amino acid residue numbering in the heavy and VL regions. The term CDR is intended to mean the non-contiguous antigen combining sites found within the variable region of both heavy and light chain polypeptides. These regions have been defined by Kabat *et al.* (J. Biol. Chem. 252:6609-6616 (1977) and Kabat *et al.* Sequences of Proteins of Immunological Interest (1991); "Kabat", Chothia *et al.* (J. Mol. Biol. 196:901-917 (1987); "Chothia" and MacCallum *et al.* (J. Mol. Biol. 262:732-745 (1996); "MacCallum", where the definitions include overlapping or subsets of amino acid residues when compared against each other. Nevertheless, the application of any of these definitions, alone (for example, the Kabat definition) or in combination (by way of example only, the combined definition of Kabat and Chothia) to refer to a CDR of an antibody (including a humanized antibody) is intended to be within the scope of the term as defined and used herein.

Also, the term "framework" when used in reference to an antibody variable region is intended to mean all amino acid residues outside the CDR regions within the variable region of an antibody. Therefore, a variable region framework is between about 100-120 amino acids in length but is intended to reference only those amino acids outside of the CDRs. The term "framework region" is intended to mean each domain of the framework that is separated by the CDRs. Therefore, for the specific example of a VH region and for the CDRs as defined by Kabat, framework region 1 (FRI) corresponds to the domain of the variable region encompassing amino acids 1-30; framework region 2 (FR2) corresponds to the domain of the variable region encompassing amino acids 36-49; region 3 (FR3) corresponds to the domain of the variable region encompassing amino acids 66-94, and region 4 (FR4) corresponds to the domain of the variable region from amino acid 103 to the end of the variable region. The FRs for the light chain are similarly separated by each of the light chain variable region CDRs. Similarly, using the definition of CDRs

by Chothia or MacCallum, or any combination of CDR definitions, the framework boundaries are separated by the respective CDR termini as described above.

Notwithstanding the multiple definitions of CDRs, in some embodiments, it is preferred to use the Kabat definition to define CDRs.

- 5           The residues in a humanized antibody that are not from a human antibody may be residues or sequences imported from or derived from another species (including but not limited to mouse), or these sequences may be random amino acid sequences (e.g., generated from randomized nucleic acid sequences), which are inserted into the humanized antibody sequence. As noted above, the human amino acid sequences in a
- 10 humanized antibody are preferably the FRs, while the residues which are not from a human antibody (whether derived from another-species or random amino acid sequences) preferably correspond to the CDRs. However, in some embodiments, one or more FRs may contain one or more non-human amino acid residues. In cases of alterations or modifications (e.g., by introduction of a non-human residue) to an otherwise human
- 15 framework, it is possible for the altered or modified FR to be adjacent to a modified CDR from another species or a random CDR sequence, while in other embodiments, an altered FR is not adjacent to an altered CDR sequence from another species or a random CDR sequence. In some embodiments, the framework sequences of a humanized antibody are entirely human (i.e., no framework changes are made to the human framework). In
- 20 preferred embodiments, the framework sequences of a humanized antibody are entirely human germline (i.e., no framework changes are made to the human germline framework).

- Non-human amino acid residues from another species, or a random sequence, are often referred to as "import" residues, which are typically taken from an "import"
- 25 variable domain. Humanization can be essentially performed following the method of Winter and co-workers (e.g., Jones *et al.*, Nature, 321: 522-525 (1986); Riechmann *et al.*, Nature, 332: 323-327 (1988); Verhoeven *et al.*, Science, 239: 1534-1536 (1988)), by substituting rodent (or other mammal) CDRs or CDR sequences for the corresponding sequences of a human antibody. Also, antibodies wherein substantially less than an intact
- 30 human variable domain has been substituted by the corresponding sequence from a non-human species may also be generated (e.g., U.S. patent 4,816,567). In practice, humanized antibodies are typically human antibodies in which some CDR residues and

possibly some FR residues are substituted by residues from analogous sites in rodent antibodies, or, as noted above, in which CDR sequences have been substituted by random sequences. By way of non-limiting example only, methods for conferring donor CDR binding affinity onto an antibody acceptor variable region framework are described in  
5 WO 01/27160 A1, and in U.S. patent application serial numbers 09/434,870 and 09/982,464.

The choice of human variable domains, both light and heavy, to be used in making the humanized antibodies is important to reduce antigenicity. According to the so-called "best-fit" method, the sequence of the variable domain of a rodent antibody to be  
10 humanized is screened against the entire library of known human variable-domain sequences. The human sequence which is closest to that of the rodent is then accepted as the human framework (FR) for the humanized antibody (e.g., Sims *et al.*, J. Immunol., 151: 2296 (1993), and Chothia *et al.*, J. Mol. Biol., 196: 901 (1987)). Another method uses a particular framework derived from the consensus sequence of all human antibodies  
15 of a particular subgroup of light or heavy chains. The same framework may be used for several different humanized antibodies (e.g., Carter *et al.*, Proc. Natl. Acad. Sci. USA, 89: 4285 (1992); Presta *et al.*, J. Immunol., 151: 2623 (1993)).

In other embodiments, there is no need to "pre-select" a particular human antibody framework (i.e., there is no need to select a human framework with the closest  
20 homology or sequence identity to a given candidate antibody to be humanized). In these embodiments, a common or universal human framework may be used to accept one or more non-human CDRs. In the preferred embodiment, a single universal, fully human framework is used as the framework for all antibodies to be humanized, regardless of its homology to the framework sequence(s) of the candidate antibodies. In this regard,  
25 humanized antibodies may be generated without making any changes in the framework region. This universal, fully human framework can then accept one or more CDR sequences. In one embodiment, the one or more CDR sequences are CDR sequences from an antibody from another species (e.g., mouse or rat) which have been modified in comparison to the corresponding CDR in the intact antibody from the other species (i.e.,  
30 there is simultaneous introduction of the CDR and modification of the CDR being introduced into the universal human framework). The modification corresponds to one or more amino acid changes (in the modified CDR) in comparison to the corresponding

CDR in the intact antibody from the other species. In one embodiment, all amino acid residues in the CDR are included in a library, while in other embodiments, not all of the CDR amino acid residues are included in a library. In another embodiment, the one or more CDR sequences are random sequences, which substitute for CDR sequences.

- 5 In preferred embodiments, antibodies are humanized with retention of high affinity for the antigen and other favorable biological properties. In some embodiments, the affinity of the humanized antibody for the antigen is higher than the affinity of the corresponding non-humanized, intact antibody or fragment or portion thereof (e.g., the candidate rodent antibody). In this regard, in some embodiments, humanized antibodies
- 10 are prepared by a process of analysis of the parental sequences and various conceptual humanized products using three-dimensional models of the parental and humanized sequences. Three-dimensional immunoglobulin models are commonly available and are familiar to those skilled in the art. Computer programs are available which illustrate and display probable three-dimensional conformational structures of selected candidate
- 15 immunoglobulin sequences. Inspection of these displays permits analysis of the likely role of the residues in the functioning of the candidate immunoglobulin sequence, i.e., the analysis of residues that influence the ability of the candidate immunoglobulin to bind its antigen. In this way, FR residues can be selected and combined from the recipient and import sequences so that the desired antibody characteristic, such as increased affinity for
- 20 the target antigen (s), is achieved. In general, the CDR residues are directly and most substantially involved in influencing antigen binding.

- A variety of specific methods, well known to one of skill in the art, may be employed to introduce antibody CDRs (or random sequences substituting for antibody CDRs) into antibody frameworks (*see*, for example, U.S. applications 09/434,879 and
- 25 09/982,464). In some embodiments, overlapping oligos may be used to synthesize an antibody gene, or portion thereof (for example, a gene encoding a humanized antibody). In other embodiments, mutagenesis of an antibody template may be carried out using the methods of Kunkel (*infra*), for example to introduce a modified CDR or a random sequence to substitute for a CDR. In some embodiments, light and heavy chain variable
- 30 regions are humanized separately, and then co-expressed as a humanized variable region. In other embodiments, humanized variable regions make-up the variable region of an intact antibody. In some embodiments, the Fc region of the intact antibody comprising a

humanized variable region has been modified (e.g., at least one amino acid modification has been made in the Fc region). For example, an antibody that has been humanized with randomized CDR and no framework changes may comprise at least one amino acid modification in the Fc region.

- 5 In other embodiments, transgenic animals (e.g., mice) that are capable, upon immunization, of producing a full repertoire of human antibodies in the absence of endogenous immunoglobulin production are employed. For example, it has been described that the homozygous deletion of the antibody heavy-chain joining region (JH) gene in chimeric and germ-line mutant mice results in complete inhibition of endogenous  
10 antibody production. Transfer of the human germ-line immunoglobulin gene array in such germ-line mutant mice will result in the production of human antibodies upon antigen challenge (*See, e.g., Jakobovits et al., Proc. Natl. Acad. Sci. USA, 90: 2551 (1993), and Jakobovits et al., Nature, 362: 255-258 (1993).* Human antibodies can also be derived from phage-display libraries (e.g., Hoogenboom *et al., J. Mol. Biol., 227: 381 (1991), and Vaughan et al., Nature Biotech 14: 309 (1996).*)

- The present invention provides methods for generating humanized antibodies (and antibody fragments) that comprise at least one amino acid substitution as listed in Table 1 herein, in the Fc region (as compared to a parental polypeptide comprising an Fc region without the amino acid substitution). Discussed below are additional methods for  
20 generating such humanized antibodies. The present invention also provides compositions comprising the antibodies and antibody fragments generated by these methods. Importantly, the humanization methods discussed below, and other humanization methods (e.g., discussed above), may be combined with the variant Fc regions of the present invention. In this regard, humanized antibodies with altered, unique Fc regions  
25 may be constructed according to the present invention.

- In some embodiments, a method of constructing a population of altered VH region encoding nucleic acids is provided, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three  
30 CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VH region comprising FRs; b) synthesizing first oligonucleotides encoding portions of the FRs of the acceptor VH

region, wherein the portions of the FRs when compared to the second reference sequence are unmodified; and a population of second oligonucleotides, each encoding i) at least a portion of a first CDR that has been modified, the first CDR selected from the group consisting of HCDR1, HCDR2 and HCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence and ii) one or more portions of unmodified FRs which are capable of hybridizing to the first oligonucleotides; c) mixing the first oligonucleotides with the population of second oligonucleotides as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VH region encoding nucleic acids are unmodified with respect to the second reference sequence.

In other embodiments, a method of constructing a population of altered VL region encoding nucleic acids is provided, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing first oligonucleotides encoding portions of the FRs of the acceptor VL region, wherein the portions of the FRs when compared to the second reference sequence are unmodified; and a population of second oligonucleotides, each encoding i) at least a portion of a first CDR that has been modified, the first CDR selected from the group consisting of LCDR1, LCDR2 and LCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence and ii) one or more portions of unmodified FRs which are capable of hybridizing to the first oligonucleotides; c) mixing the first oligonucleotides with the population of second oligonucleotides as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VL region encoding nucleic acids are unmodified with respect to the second reference sequence.



- In some embodiments, a method of constructing a population of altered VH region encoding nucleic acids is contemplated, comprising: A) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VH region comprising FRs;
- B) synthesizing a population of first oligonucleotides, each encoding at least a portion of a first CDR selected from the group consisting of HCDR1, HCDR2 and HCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence; and second oligonucleotides encoding i) portions of the FRs of the acceptor VH region, wherein the portions of the FRs when compared to the reference sequence are unmodified and ii) one or more portions of a CDR which are capable of hybridizing to the population of first oligonucleotides; C) mixing the population of first oligonucleotides with the second oligonucleotides as to create overlapping oligonucleotides; and D) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VH region encoding nucleic acids are unmodified with respect to the second reference sequence.
- In other embodiments, a method of constructing a population of altered VL region encoding nucleic acids is provided, comprising: A) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VL region comprising FRs;
- B) synthesizing a) a population of first oligonucleotides, each encoding at least a portion of a first CDR selected from the group consisting of LCDR1, LCDR2 and LCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence; and b) second oligonucleotides encoding i) portions of the FRs of the acceptor VL region, wherein the portions of the FRs when compared to the reference sequence are unmodified and ii) one or more portions of a CDR which are capable of hybridizing to the population

of first oligonucleotides; C) mixing the population of first oligonucleotides with the second oligonucleotides as to create overlapping oligonucleotides; and D) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VL region  
 5 encoding nucleic acids are unmodified with respect to the second reference sequence.

In some embodiments, the representation of first and second reference sequences is in electronic form. In some embodiments, the method further comprises the step of  
 (e) coexpressing the population of altered VH region encoding nucleic acids with a light chain variable, region encoding nucleic acid so as to produce a diverse population of  
 10 altered heteromeric variable regions. In some embodiments, the synthesizing comprises chemically synthesizing. In some embodiments, the acceptor is human. In some embodiments, the treating of step (d) comprises extension by a polymerase.

In other embodiments, a method of constructing a population of altered VH region encoding nucleic acids is contemplated, comprising: a) providing a representation of first  
 15 and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs, the second reference sequence comprising a VH region; b) synthesizing a population of altered VH region antibody gene sequences, wherein the FRs of the altered VH regions are identical to the FRs of the second reference sequence and at least a first  
 20 CDR of the altered antibody variable regions has been modified, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDR of the first reference sequence.

In some embodiments, a method of constructing a population of altered VL region encoding nucleic acids is contemplated, comprising: a) providing a representation of first  
 25 and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs; the second reference sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing a population of altered VL region antibody gene sequences, wherein the FRs of the altered VL regions are identical to the FRs of the  
 30 second reference sequence and at least a first CDR of the altered antibody VL region has been modified, wherein the modified first CDR comprises a different amino acid at one or

more positions when compared to the corresponding donor CDR of the first reference sequence.

In some embodiments, the representation of first and second reference sequences is in electronic form. In some embodiments, the method further comprises the step of  
5 coexpressing the population of altered VL region encoding nucleic acids with a VH region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions. In some embodiments, the acceptor is human. In some embodiments, the synthesizing involves the use of overlapping oligonucleotides.

In yet other embodiments, a method of constructing a population of altered VH  
10 region encoding nucleic acids is contemplated, comprising: a) providing a representation of a reference amino acid sequence, the reference sequence comprising the sequence of an acceptor VH region comprising FRs; b) synthesizing a population of altered VH region antibody gene sequences, wherein the FRs of the altered VH regions are identical to the FRs of the reference sequence and at least a first CDR of the altered antibody variable  
15 regions comprises a random amino acid sequence.

In other embodiments, a method of constructing a population of altered VL region encoding nucleic acids is contemplated, comprising: a) providing a representation of a reference amino acid sequence, the reference sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing a population of altered VL region  
20 antibody gene sequences, wherein the FRs of the altered VL regions are identical to the FRs of the reference sequence and at least a first CDR of the altered antibody VL regions comprises a random amino acid sequence.

In yet other embodiments, a method of constructing a population of altered VH region encoding nucleic acids is contemplated, comprising: a) providing a representation  
25 of a reference amino acid sequence, the reference sequence comprising the sequence of a human acceptor VH region comprising FRs; b) synthesizing a population of altered VH region antibody gene sequences, wherein the FRs of the altered VH regions are identical to the FRs of the human reference sequence and at least a first CDR of the altered antibody variable regions comprises a random amino acid sequence. In some  
30 embodiments, the representation of the human reference sequence is in electronic form.

In other embodiments, a method of constructing a population of altered VL region encoding nucleic acids is contemplated, comprising: a) providing a representation of a

reference amino acid sequence, the reference sequence comprising the sequence of a human acceptor VL region comprising FRs; b) synthesizing a population of altered VL region antibody gene sequences, wherein the FRs of the altered VL regions are identical to the FRs of the human reference sequence and at least a first CDR of the altered antibody VL regions comprises a random amino acid sequence.

- 5 In some embodiments, the representation of the reference sequence is in electronic form. In some embodiments, the method further comprises the step of coexpressing the population of altered VL region encoding nucleic acids with a VH region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions.
- 10 In some embodiments, the synthesizing involves the use of overlapping oligonucleotides. In some embodiments, the CDRs are defined by the Kabat definition.

In some embodiments, one or more FRs are modified simultaneously with the introduction of one or more modified CDRs. In other embodiments, the modified frameworks are adjacent to the modified CDRs.

- 15 In some embodiments, the present invention provides methods of constructing a population of altered VH region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of
- 20 an acceptor VH region comprising FRs; b) synthesizing a) a first population of oligonucleotides, comprising oligonucleotides encoding a modified VH region FR, or portion thereof, wherein the VH region FR, or portion thereof, contains a plurality of changed amino acids at one or more positions when compared to the acceptor framework region reference sequence, wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first reference sequence; and b) a second population of oligonucleotides, each encoding
- 25 i) at least one modified CDR, or portion thereof, wherein the modified CDR, or portion thereof, comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence and ii) one or more portions of adjacent FRs which are capable of hybridizing to the first population of oligonucleotides;
- 30

and c) mixing the first and second populations of oligonucleotides so as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed. In certain embodiments, the representation of first and second reference sequences is in electronic form. In other embodiments, the methods further comprise the step of (e) coexpressing the population of altered VH region encoding nucleic acids with a VL region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions. In additional embodiments, the synthesizing comprises chemically synthesizing. In some embodiments, the acceptor is human. In preferred embodiments, the one or more of the diverse population of altered heteromeric variable regions are part of an antibody comprising an Fc region, wherein the Fc region comprises at least one amino acid substitution as compared to a parental polypeptide having an Fc region.

In other embodiments, the present invention provides methods of constructing a population of altered VL region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing a) a first population of oligonucleotides, comprising oligonucleotides encoding a modified VL region FR, or portion thereof, wherein the VL region framework region, or portion thereof, contains a plurality of changed amino acids at one or more positions when compared to the acceptor framework region reference sequence, wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first reference sequence; and b) a second population of oligonucleotides, each encoding i) at least one modified CDR, or portion thereof, wherein the modified CDR, or portion thereof, comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence and ii) one or more portions of adjacent FRs which are capable of hybridizing to the first population of oligonucleotides; and c) mixing the first and second populations of oligonucleotides so as

to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed. In other embodiments, the representation of first and second reference sequences is in electronic form. In additional embodiments, the methods further comprise

5 the step of (e) coexpressing the population of altered VL region encoding nucleic acids with a heavy chain variable region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions.

In some embodiments, the methods comprise a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence

10 comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VH region comprising FRs; b) synthesizing a) a first population of oligonucleotides, comprising oligonucleotides encoding a modified VH region framework region, or

15 portion thereof, wherein the VH region FR, or portion thereof, contains a plurality of changed amino acids at one or more positions when compared to the acceptor FR reference sequence wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first

20 reference sequence; and b) a second population of oligonucleotides, each encoding i) at least one modified CDR, or portion thereof, wherein the modified CDR, or portion thereof, comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence and ii) one or more portions of adjacent FRs which are capable of hybridizing to the first population of oligonucleotides;

25 and c) mixing the first and second populations of oligonucleotides so as to create overlapping oligonucleotides; and d) extending the overlapping oligonucleotides with a DNA polymerase under conditions such that a population of altered VH region encoding nucleic acids is constructed.

In still other embodiments, the present invention provides methods of constructing

30 a population of altered VL region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VL region, the donor variable

region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing a) a first population of oligonucleotides, comprising oligonucleotides encoding a modified VL region FR, or  
 5 portion thereof, wherein the VL region FR, or portion thereof, contains a plurality of changed amino acids at one or more positions when compared to the acceptor FR reference sequence, wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first  
 10 reference sequence; and b) a second population of oligonucleotides, each encoding i) at least one modified CDR, or portion thereof, wherein the modified CDR, or portion thereof, comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence and ii) one or more portions of adjacent FRs which are capable of hybridizing to the first population of oligonucleotides;  
 15 and c) mixing the first and second populations of oligonucleotides so as to create overlapping oligonucleotides; and d) extending the overlapping oligonucleotides with a DNA polymerase under conditions such that a population of altered VL region encoding nucleic acids is constructed.

In some embodiments, one or more modifications are introduced into the  
 20 framework, simultaneously with the introduction of one or more modified CDRs. The modified CDRs may comprise one or more amino acid alterations in comparison with the corresponding CDR of a reference sequence. In certain embodiments, the methods of constructing a population of altered VH region encoding nucleic acids, comprises:  
 a) providing a representation of first and second reference amino acid sequences, the first  
 25 reference amino acid sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VH region comprising FRs; b) synthesizing i) a first population of oligonucleotides, each encoding at least one modified CDR, wherein the  
 30 modified CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence; and ii) a second population of oligonucleotides, comprising oligonucleotides encoding modified portions

of a VH region framework, the modified portion containing a plurality of changed amino acids at one or more positions when compared to the acceptor framework region reference sequence, wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first reference sequence; c) mixing the first and second populations of oligonucleotides under conditions such that at least a portion of the oligonucleotides hybridize so as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed. In certain embodiments, the representation of first and second reference sequences is in electronic form. In further embodiments, the methods further comprise the step of (e) coexpressing the population of altered VH region encoding nucleic acids with a VL region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions. In other embodiments, the acceptor is human.

In other embodiments, the present invention provides methods constructing a population of altered VL region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VL region comprising FRs; b) synthesizing i) a first population of oligonucleotides, each encoding at least one modified CDR, wherein the modified CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence; and ii) a second population of oligonucleotides, comprising oligonucleotides encoding modified portions of a VL region framework, the modified portion containing a plurality of changed amino acids at one or more positions when compared to the acceptor FR reference sequence, wherein the framework positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework positions of the first reference sequence; c) mixing the first and second populations of oligonucleotides under conditions such that at least a portion of the oligonucleotides hybridize so as to create overlapping oligonucleotides; and



d) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed.

In certain embodiments, the antibodies or antibody fragments comprising an Fc variant and an altered heavy chain variant region may be generated. For example, in some

5       embodiments, the present invention provides methods of constructing a population of altered VH region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second

10       reference sequence comprising the sequence of an acceptor VH region comprising FRs; b) synthesizing A) first oligonucleotides encoding portions of the FRs of the acceptor VH region, wherein the portions of the FRs when compared to the second reference sequence are unmodified; and B) a population of second oligonucleotides, each encoding i) at least a portion of a first CDR that has been modified, the first CDR selected from the group

15       consisting of HCDR1, HCDR2 and HCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence and ii) one or more portions of unmodified FRs which are capable of hybridizing to the first oligonucleotides; c) mixing the first oligonucleotides with the population of second oligonucleotides as to create overlapping

20       oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VH region encoding nucleic acids are unmodified with respect to the second reference sequence. In some embodiments, the methods further comprise the step of coexpressing the population of altered VH region encoding nucleic

25       acids with a VL region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions.

In other embodiments, the present invention provides methods of constructing a population of altered VL region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference

30       sequence comprising the sequence of a donor VL region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VL

- region comprising FRs b) synthesizing a) first oligonucleotides encoding portions of the FRs of the acceptor VL region, wherein the portions of the FRs when compared to the second reference sequence are unmodified; and b) a population of second oligonucleotides, each encoding i) at least a portion of a first CDR that has been
- 5 modified, the first CDR selected from the group consisting of LCDR1, LCDR2 and LCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first reference sequence and ii) one or more portions of unmodified FRs which are capable of hybridizing to the first oligonucleotides; c) mixing the first oligonucleotides with the
- 10 population of second oligonucleotides as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VL region encoding nucleic acids are unmodified with respect to the second reference sequence.
- 15 In other embodiments, the present invention provides methods of constructing a population of altered VH region encoding nucleic acids, comprising: A) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VH region, the donor variable region comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat
- 20 and Chothia; the second reference sequence comprising the sequence of an acceptor VH region comprising FRs; B) synthesizing a) a population of first oligonucleotides, each encoding at least a portion of a first CDR selected from the group consisting of HCDR1, HCDR2 and HCDR3, wherein the modified first CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDRs of the first
- 25 reference sequence; and b) second oligonucleotides encoding i) portions of the FRs of the acceptor VH region, wherein the portions of the FRs when compared to the reference sequence are unmodified and ii) one or more portions of a CDR which are capable of hybridizing to the population of first oligonucleotides; C) mixing the population of first oligonucleotides with the second oligonucleotides as to create overlapping
- 30 oligonucleotides; and D) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleic acids is constructed, wherein the

FRs encoded by the altered VH region encoding nucleic acids are unmodified with respect to the second reference sequence.

In certain embodiments, the methods further comprise the step of coexpressing the population of altered VH region encoding nucleic acids with a VL region encoding  
 5 nucleic acid so as to produce a diverse population of altered heteromeric variable regions.

In other embodiments, the present invention provides methods of constructing a population of altered VL region encoding nucleic acids, comprising: A) providing a representation of first and second reference amino acid sequences, the first reference sequence comprising the sequence of a donor VL region, the donor variable region  
 10 comprising i) FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference sequence comprising the sequence of an acceptor VL region comprising FRs; B) synthesizing a) a population of first oligonucleotides, each encoding at least a portion of a first CDR selected from the group consisting of L<sub>CDR</sub>1, L<sub>CDR</sub>2 and L<sub>CDR</sub>3, wherein the modified first CDR comprises a different amino acid at  
 15 one or more positions when compared to the corresponding donor CDRs of the first reference sequence; and b) second oligonucleotides encoding i) portions of the FRs of the acceptor VL region, wherein the portions of the FRs when compared to the reference sequence are unmodified and ii) one or more portions of a CDR which are capable of hybridizing to the population of first oligonucleotides; C) mixing the population of first  
 20 oligonucleotides with the second oligonucleotides as to create overlapping oligonucleotides; and D) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding nucleic acids is constructed, wherein the FRs encoded by the altered VL region encoding nucleic acids are unmodified with respect to the second reference sequence.

25 In other embodiments, the present invention provides methods of improving the binding affinity of a mutated humanized antibody variable region, comprising:  
 a) providing a nucleic acid sequence encoding a first mutated humanized antibody variable region, the mutated variable region comprising (i) a wild type human antibody framework, (ii) three non-human heavy chain CDRs, and (iii) three non-human light  
 30 chain CDRs, wherein the CDRs are defined by the combined definitions of Kabat and Chothia, wherein at least one of the light chain CDRs is a mutation-containing light chain CDR at least one different amino acid at at least one position when compared to the

- corresponding wild type non-human CDR, and wherein the first mutated antibody variable region has a higher binding affinity than the corresponding non-mutated antibody variable region; b) mutating the nucleic acid sequence encoding the first mutated antibody variable region under conditions such that a second mutated humanized antibody variable
- 5 region is encoded, the second mutated humanized antibody variable region comprising at least one additional different amino acid at least one position in the mutation-containing light chain CDR, the additional mutation in combination with the first mutation resulting in higher binding affinity. In some embodiments, the mutation-containing light chain CDR of the first mutated humanized antibody variable region is CDR3 (LCDR3).
- 10 In other embodiments, at least one of the non-human heavy chain CDRs of the first mutated humanized antibody variable region comprises a mutation, such that a different amino acid is encoded at at least one position when compared to the corresponding wild type non-human CDR. In additional embodiments, the heavy chain CDR mutation is in HCDR3.
- 15 In some embodiments, the present invention provides methods of simultaneously modifying at least one CDR and at least one FR while constructing a population of altered VH region encoding nucleic acids, comprising: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VH region, the donor variable region comprising
- 20 i) four FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an acceptor VH region comprising four FRs, as defined by the combined definitions of Kabat and Chothia; b) synthesizing i) for every FR to be modified, a population of oligonucleotides, each encoding a modified FR, or portion thereof, the modified FR or
- 25 portion thereof, containing a plurality of changed amino acids at one or more positions when compared to the corresponding framework region in the acceptor VH region reference sequence, wherein the FR positions that are changed are selected from among the acceptor framework positions of the second reference sequence that differ at the corresponding position compared to the donor framework region positions of the first
- 30 reference sequence; and ii) for every CDR to be modified, a population of oligonucleotides, each encoding a modified CDR, or portion thereof, wherein the modified CDR comprises a different amino acid at one or more positions when compared

to the corresponding donor CDR amino acid reference sequence; and iii) for each of any remaining and unmodified FRs, oligonucleotides encoding the FR, or portion thereof, having the same sequence as the corresponding FR of the second reference acceptor sequence; and iv) for each of any remaining and unmodified CDRs, oligonucleotides

5 encoding the CDR, or portion thereof, having the same sequence as the corresponding CDR of the first reference donor sequence, wherein, individual oligonucleotides from (i) through (iv) which encode adjacent portions of the VH region have overlapping sequences at their termini; and c) mixing the oligonucleotides and populations of oligonucleotides synthesized in step b) under conditions such that the overlapping

10 sequences of individual oligonucleotides hybridize so as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VH region encoding nucleotides is formed. In certain embodiments, the representation of first and second reference sequences is in electronic form. In further embodiments, the framework region to be modified is selected from the

15 group consisting of HFR1, HFR2 and HFR3. In other embodiments, the CDR to be modified is HCDR3. In other embodiments, the method further comprises the step of e) coexpressing the population of VH region encoding nucleic acids with a VL region encoding nucleic acid so as to produce a diverse population of altered heteromeric variable regions. In different embodiments, the method further comprises the step of

20 e) coexpressing the population of VH region encoding nucleic acids with a population of VL region encoding nucleic acids so as to produce a diverse population of altered heteromeric variable regions.

In other embodiments, the methods of simultaneously modifying at least one CDR and at least one FR while constructing a population of altered VL region encoding nucleic

25 acids are employed, wherein said method comprises: a) providing a representation of first and second reference amino acid sequences, the first reference amino acid sequence comprising the sequence of a donor VL region, the donor variable region comprising i) four FRs and ii) three CDRs as defined by the combined definitions of Kabat and Chothia; the second reference amino acid sequence comprising the sequence of an

30 acceptor VL region comprising four FRs, as defined by the combined definitions of Kabat and Chothia; b) synthesizing i) for every FR to be modified, a population of oligonucleotides, each encoding a modified FR, or portion thereof, the modified FR, or

portion thereof, containing a plurality of changed amino acids at one or more positions when compared to the corresponding framework region in the acceptor VL region reference sequence, wherein the FR positions that are changed are selected from among the acceptor FR positions of the second reference sequence that differ at the

5 corresponding position compared to the donor FR positions of the first reference sequence; and ii) for every CDR to be modified, a population of oligonucleotides, each encoding a modified CDR, or portion thereof, wherein the modified CDR comprises a different amino acid at one or more positions when compared to the corresponding donor CDR amino acid reference sequence; and iii) for each of any remaining and unmodified

10 FR regions, oligonucleotides encoding the FR, or portion thereof, having the same sequence as the corresponding FR of the second reference acceptor sequence; and iv) for each of any remaining and unmodified CDRs, oligonucleotides encoding the CDR, or portion thereof, having the same sequence as the corresponding CDR of the first reference donor sequence, wherein, individual oligonucleotides from (i) through (iv) which encode

15 adjacent portions of the VL region have overlapping sequences at their termini, and c) mixing the oligonucleotides and populations of oligonucleotides synthesized in step b) under conditions such that the overlapping sequences of individual oligonucleotides hybridize so as to create overlapping oligonucleotides; and d) treating the overlapping oligonucleotides under conditions such that a population of altered VL region encoding

20 nucleotides is formed. In certain embodiments, the methods further comprise the step of coexpressing the population of VL region encoding nucleic acids with a population of VH region encoding nucleic acids so as to produce a diverse population of altered heteromeric variable regions.

25 (v) *Multispecific antibodies*

The present invention provides multispecific antibodies comprising a variant Fc region. Multispecific antibodies have binding specificities for at least two different antigens. While such molecules normally will only bind two antigens (i.e., bispecific antibodies, BsAbs), antibodies with additional specificities such as trispecific antibodies

30 are encompassed by this expression when used herein. Examples of BsAbs include, but are not limited to, those with one arm directed against a tumor cell antigen and the other arm directed against a cytotoxic trigger molecule such as anti-FcγRI/anti-CD 15, anti-pl

- 85HER2/FcγRIII (CD16), anti-CD3/anti-malignant B-cell (D1D0), anti-CD3/anti-p185HER2, anti-CD3/anti-p97, anti-CD3/anti-renal cell carcinoma, anti-CD3/anti-OVCAR-3, anti-CD3/L-D I (anti-colon carcinoma), anti-CD3/anti-melanocyte stimulating hormone analog, anti-EGF receptor/anti-CD3, anti-CD3/anti-CAMAI, anti-CD3/anti-CD19, anti-CD3/MoV18, anti-neural cell adhesion molecule (NCAM)/anti-CD3, anti-folate binding protein (FBP)/anti-CD3, anti-pan carcinoma associated antigen (AMOC-31)/anti-CD3; BsAbs with one arm which binds specifically to a tumor antigen and one arm which binds to a toxin such as anti-saporin/anti-id-1, anti-CD22/anti-saporin, anti-CD7/anti-saporin, anti-CD38/anti-saporin, anti-CEA/anti-ricin A chain, anti-interferon-α (IFN-α)/anti-hybridoma idiotype, anti-CEA/anti-vinca alkaloid; BsAbs for converting enzyme activated prodrugs such as anti-CD30/anti-alkaline phosphatase (which catalyzes conversion of mitomycin phosphate prodrug to mitomycin alcohol); BsAbs which can be used as fibrinolytic agents such as anti-fibrin/anti-tissue plasminogen activator (tPA), anti-fibrin/antiurokinase-type plasminogen activator (uPA); BsAbs for targeting immune complexes to cell surface receptors such as anti-low density lipoprotein (LDL)/anti-FcR (e.g., FcγRI, FcγRII or FcγRIII); BsAbs for use in therapy of infectious diseases such as anti-CD3/anti-herpes simplex virus (HSV), anti-T-cell receptor: CD3 complex/anti-influenza, anti-FcγR/anti-HIV; BsAbs for tumor detection in vitro or in vivo such as anti-CEA/anti-EOTUBE, anti-CEA/anti-DPTA, anti-p185HER2/anti-hapten; BsAbs as vaccine adjuvants; and BsAbs as diagnostic tools such as anti-rabbit IgG/anti-ferritin, anti-horse radish peroxidase (HRP)/anti-hormone, anti-somatostatin/anti-substance P, anti-HRP/anti-FITC, anti-CEA/anti-p-galactosidase.

- Examples of trispecific antibodies include, but are not limited to, anti-CD3/anti-CD4/anti-CD37, anti-CD3/anti-CD5/anti-CD37 and anti-CD3/anti-CD8/anti-CD37.
- Bispecific antibodies can be prepared as full length antibodies or antibody fragments (e.g., F(ab')<sub>2</sub> bispecific antibodies). Methods for making bispecific antibodies are known in the art. Traditional production of full length bispecific antibodies is based on the coexpression of two immunoglobulin heavy chain-light chain pairs, where the two chains have different specificities (e.g., Millstein *et al.*, Nature, 305: 537-539 (1983)). Because of the random assortment of immunoglobulin heavy and light chains, these hybridomas (quadromas) produce a potential mixture of ten different antibody molecules, of which only one has the correct bispecific structure. Purification of the correct molecule may be

performed by affinity chromatography steps. Similar procedures are disclosed in WO 93/08829, and Traunecker *et al.*, EMBO J., 10: 3655-3659 (1991).

In another approach, antibody variable domains with the desired binding specificities (antibody-antigen combining sites) are fused to immunoglobulin constant domain sequences. The fusion preferably is with an immunoglobulin heavy chain constant domain, comprising at least part of the hinge, CH2, and CH3 regions. It is preferred to have the first heavy-chain constant region (CH1) containing the site necessary for light chain binding, present in at least one of the fusions. DNAs encoding the immunoglobulin heavy chain fusions and, if desired, the immunoglobulin light chain, are inserted into separate expression vectors, and are co-transfected into a suitable host organism. This provides for great flexibility in adjusting the mutual proportions of the three polypeptide fragments in embodiments when unequal ratios of the three polypeptide chains used in the construction provide the optimum yields. It is, however, possible to insert the coding sequences for two or all three polypeptide chains in one expression vector when the expression of at least two polypeptide chains in equal ratios results in high yields or when the ratios are of no particular significance. In a preferred embodiment of this approach, the bispecific antibodies are composed of a hybrid immunoglobulin heavy chain with a first binding specificity in one arm, and a hybrid immunoglobulin heavy chain-light chain pair (providing a second binding specificity) in the other arm (*see*, e.g., WO 94/04690). According to another approach described in W096/27011 the interface between a pair of antibody molecules can be engineered to maximize the percentage of heterodimers which are recovered from recombinant cell culture. specific antibodies also include cross-linked or "heteroconjugate" antibodies. For example, one of the antibodies in the heteroconjugate can be coupled to avidin, the other to biotin.

#### **B. Immunoadhesin Molecules**

The present invention also provides immunoadhesin molecules comprising a variant Fc region. One type of immunoadhesin design combines the binding domain(s) of the adhesin (e.g., the extracellular domain (ECD) of a receptor) with the Fc region of an immunoglobulin heavy chain (e.g., a variant Fc region). Ordinarily, when preparing the immunoadhesins of the present invention, nucleic acid encoding the binding domain of



the adhesin will be fused C-terminally to nucleic acid encoding the N-terminus of an immunoglobulin constant domain sequence, however N-terminal fusions are also possible.

Typically, in such fusions the encoded chimeric polypeptide will retain at least  
5 functionally active hinge, CH2 and CH3 domains of the constant region of an immunoglobulin heavy chain. Fusions are also made to the C-terminus of the Fc portion of a constant domain, or immediately N-terminal to the CH1 of the heavy chain or the corresponding region of the light chain. The precise site at which the fusion is made is not critical; particular sites are well known and may be selected in order to optimize the  
10 biological activity, secretion, or binding characteristics of the immunoadhesin.

In some embodiments, the adhesin sequence is fused to the N-terminus of the variant Fc region of immunoglobulin G1. It is possible to fuse the entire heavy chain constant region to the adhesin sequence. However, in preferred embodiments, a sequence beginning in the hinge region just upstream of the papain cleavage site which defines IgG  
15 Fc chemically (i.e., residue 216, taking the first residue of heavy chain constant region to be 114), or analogous sites of other immunoglobulins is used in the fusion. In certain preferred embodiments, the adhesin amino acid sequence is fused to (a) the hinge region and CH2 and CH3 or (b) the CH1, hinge, CH2 and CH3 domains, of an IgG heavy chain. In some embodiments, the immunoadhesins are bispecific. Alternatively, the adhesin  
20 sequences can be inserted between immunoglobulin heavy chain and light chain sequences, such that an immunoglobulin comprising a chimeric heavy chain is obtained. In such embodiments, the adhesin sequences may be fused to the 3' end of an immunoglobulin heavy chain in each arm of an immunoglobulin, either between the hinge and the CH2 domain, or between the CH2 and CH3 domains (*see, e.g.,*  
25 Hoogenboom *et al.*, *Mol. Immunol.* 28:1027-1037 (1991).

Although the presence of an immunoglobulin light chain is not required in the immunoadhesins of the present invention, an immunoglobulin light chain might be present either covalently associated to an adhesin-immunoglobulin heavy chain fusion polypeptide, or directly fused to the adhesin. In the former case, DNA encoding an  
30 immunoglobulin light chain is typically coexpressed with the DNA encoding the adhesin-immunoglobulin heavy chain fusion protein. Upon secretion, the hybrid heavy chain and the light chain will be covalently associated to provide an immunoglobulin-like structure

comprising two disulfide-linked immunoglobulin heavy chain-light chain pairs. Methods suitable for the preparation of such structures are, for example, disclosed in U.S. Patent No. 4,816,567.

- In preferred embodiments, immunoadhesins are constructed by fusing the cDNA sequence encoding the adhesin portion in-frame to an immunoglobulin cDNA sequence. However, fusion to genomic immunoglobulin fragments can also be used. Generally, the latter type of fusion requires the presence of Ig regulatory sequences for expression. cDNAs encoding IgG heavy chain constant regions can be isolated based on published sequences from cDNA libraries derived from spleen or peripheral blood lymphocytes, by hybridization or by PCR techniques. The cDNAs encoding the "adhesin" and the immunoglobulin parts of the immunoadhesin may be inserted in tandem into a plasmid vector that directs efficient expression in the chosen host cells.

#### **Altered Half Life of Variant Polypeptides**

- The neonatal Fc receptor "FcRn" is a major histocompatibility complex (MHC) homolog which not only delivers IgGs across the maternofetal barrier during gestation, but is also involved in the regulation of IgG serum half life. For a recent FcRn review *see* Ghetie, V and E.S. Ward, *Annu. Rev. Immunol.* 18:739-766, 2000. FcRn binds to IgG in a pH-dependent manner with binding occurring at slightly acidic pHs and little or no detectable binding at pH 7.4. It is postulated that IgGs are taken up by FcRn-expressing cells and enter acidic endosomes where the FcRn-IgG interaction occurs. Then the IgGs are transported to the cell surface and released at near neutral pH. IgGs that do not bind FcRn after uptake into cells enter lysosomal compartments and are degraded. Consistent with this model is the hypothesis that polypeptides comprising a variant Fc region which binds to FcRn with increased affinity has a longer serum half life than those with a lesser affinity.

- The role of FcRn as an IgG transporter indicates that variant Fc regions with altered affinity to FcRn may have therapeutic utility either in the context of a monoclonal antibody or when operably attached to a heterologous protein, i.e., a non-antibody. Therapeutic polypeptides which would benefit by being cleared quickly from the subject or patient or by not being transported across a placental membrane, e.g., radiolabeled polypeptides, would benefit by being operably attached to an Fc region which displays

diminished FcRn binding affinity. Alternatively, polypeptides which would benefit from having a longer serum half life and therefore need to be administered fewer times or by being transported across a placental membrane would preferentially be operably attached to an Fc region which displays enhanced FcRn binding affinity (*see* Tables 2, 5 and 6).

- 5 Numerous combinations of Fc characteristics are contemplated, e.g., an Fc region with enhanced FcRn binding affinity but with little or no CDC or ADCC activity may be generated by incorporating one or more Fc amino acid substitutions which enhance FcRn binding affinity as listed in Table 2 into e.g., an IgG4 parent Fc region, or into an IgG1 parent Fc region in combination with amino acid substitution that diminish CDC and
- 10 ADCC activity.

- Preferred polypeptides which would benefit from an increased serum half life by being operably attached to a variant Fc region of the invention which displays enhanced FcRn binding affinity as compared to the parent polypeptide are mammalian therapeutic polypeptides including molecules such as, e.g., renin; a growth hormone; human growth
- 15 hormone; bovine growth hormone; growth hormone releasing factor; ghrelin; parathyroid hormone; thyroid stimulating hormone; lipoprotein; insulin A-chain; insulin B-chain;  $\alpha$ 1-antitrypsin; PAI-1; proinsulin; thrombopoietin; follicle stimulating hormone; calcitonin; luteinizing hormone; glucagons; clotting factors such as factor VIIIc, factor IX, tissue factor, and von Willdebrands factor; anti-clotting factors such as Protein C; atrial
  - 20 natriuretic factor; lung surfactant; a plasminogen activator, such as urokinase or human urine or tissue-type plasminogen activator (t-PA); bombesin; thrombin; hemopoietic growth factor; interleukins, e.g., IL-1 to IL-10, IL-20; tumor necrosis factor-alpha and -beta; enkephalinase; a serum albumin such as human serum albumin; mullerian-inhibiting
  - 25 peptide; a microbial protein, such as beta-lactamase; Dnase; inhibin; activin; vascular endothelial growth factor (VEGF); receptors for hormones or growth factors; integrin; protein A or D; rheumatoid factors; a neurotrophic factor such as brain-derived neurotrophic factor (BDNF), neurotrophin-3, -4, -5, or -6 (NT-3, NT-4, NT-5, or NT-6), or a nerve growth factor such as NGF-beta, cardiotrophins (cardiac hypertrophy factor)
  - 30 such as cardiotrophin-1 (CT-1); platelet-derived growth factor (PDGR); fibroblast growth factor such as aFGF and bFGF, epidermal growth factor (EGF) or its receptor; transforming growth factor (TGF) such as TGF-alpha and TGF-beta, including TGF- $\beta$ 1,

TGF- $\beta$ 2, TGF- $\beta$ 3, TGF- $\beta$ 4 or TGF- $\beta$ 5; insulin-like growth factor-I and -II; des(1-3)-IGF-I (brain IGF-I), insulin-like growth factor binding proteins; CD proteins such as CD-3, CD-4, CD-8, and CD-19; erythropoietin; osteoinductive factors; immunotoxins; a bone morphogenetic protein, a growth differentiation factor (e.g., GDF-8); an interferon such as interferon-alpha, -beta, and -gamma; colony stimulating factors (CSFs), e.g. M-CSF; GM-CSF, and G-CSF; an anti-HER-2 antibody without a native Fc region of an IgG; an anti-RSV antibody without a native Fc region of an IgG; superoxide dismutase; T-cell receptors; surface membrane proteins; decay accelerating factor; viral antigen such as, for example, a protein of the HIV-1 envelop; transport protein; homing receptors; addressins; regulatory proteins; antibodies without a native Fc region of an IgG; and fragments or precursors of any of the above-listed polypeptides.

The variant Fc region comprising an amino acid substitution of the invention which confers an altered serum half-life on the polypeptide (i.e., enhanced FcRn binding affinity) to which it is operably attached is preferably operably attached to the carboxy- or amino-terminus of the polypeptide of interest thereby generating a fusion protein.

#### **Nucleic Acid Sequences Encoding Variant Fc Regions**

The present invention also provides nucleic acid sequences encoding variant Fc regions, as well as compositions, vectors, and host cells comprising nucleic acid sequences encoding variant Fc regions. The present invention also provides recombinant methods for producing variant Fc region.

Generally, for recombinant production of variants, nucleic acid encoding the variant is isolated and inserted into a vector. Host cells may be transfected with the vector, thereby allowing the nucleic acid sequence to be amplified, and/or the variant peptide produced. Nucleic acid sequences encoding the peptide variants of the present invention may be isolated and sequenced using conventional procedures (e.g., using oligonucleotide probes that are capable of binding specifically to nucleic acid encoding the variant). Generally, the nucleic acid sequence encoding the variant is operably linked to other elements, such as a signal sequence (e.g., secretory signal sequences), an origin of replication, at least one marker gene, an enhancer, a promoter, or a transcription terminator. In certain embodiments, host cells are stably transfected with nucleic acid encoding a variant to generate a cell line expressing a particular variant. In preferred

embodiments, the variants are expressed in CHO, NSO, Sp2/0, PER.C6, or HEK293 cells. Recombinant methods are well known in the art.

Nucleic acid sequences may be mutated such that variant Fc regions may be produced. For example, a nucleic acid sequence encoding a parental Fc region (e.g., SEQ ID NOs: 1-12) may be mutated such that at least one amino acid change results when the nucleic acid sequence is expressed. Also, nucleic acid sequences encoding at least a portion of a parental Fc region may be mutated to produce amino acid sequences comprising at least a portion of a variant Fc region.

In certain embodiments, codon-based synthesis is employed to generate mutated sequences. Examples of codon-based synthesis include, for example, those described in U.S. Patents 5,264,563,,5,523,388 and 5,808,022. Briefly, codon-based synthesis may be performed by sequentially coupling monomers on separate supports to form at least two different tuplets. The coupling may be performed in separate reaction vessels, then mixing the supports from the reaction vessels, and dividing the mixed supports into two or more separate reaction vessels, and repeating the coupling, mixing and dividing steps one or more times in the reaction vessels, ending with a mixing or dividing step. Additionally, the oligonucleotides can be cleaved from the supports.

#### Therapeutic Uses and Formulations

In some embodiments, the present invention provides therapeutic formulations comprising the variants described herein. It is not intended that the present invention be limited by the particular nature of the therapeutic composition. For example, such compositions can include a variant polypeptide (or portion thereof), provided together with physiologically tolerable liquids, gels, solid carriers, diluents, adjuvants and excipients, and combinations thereof (*See, e.g., Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980)).

In addition, variant polypeptides may be used together with, prior to, or subsequent to other therapeutic agents, including, but not limited to, salicylates, steroids, immunosuppressants, antibodies or antibiotics. Particular therapeutic agents which may be used with the variants of the present invention include, but are not limited to, the following agents azobenzene compounds (U.S. Pat. No. 4,312,806), benzyl-substituted rhodamine derivatives (U.S. Pat. No. 5,216,002), zinc L-carnosine salts (U.S. Pat. No.

5,238,931), 3-phenyl-5-carboxypyrazoles and isothiazoles (U.S. Pat. No. 5,294,630) IL-10 (U.S. Pat. No. 5,368,854), quinoline leukotriene synthesis inhibitors (U.S. Pat. No. 5,391,555), 2'-halo-2'-deoxyadenosine (U.S. Pat. No. 5,506,213), phenol and benzamide compounds (U.S. Pat. No. 5,552,439), tributyrin (U.S. Pat. No. 5,569,680), certain peptides (U.S. Pat. No. 5,756,449), omega-3 polyunsaturated acids (U.S. Pat. No. 5,792,795), VLA-4 blockers (U.S. Pat. No. 5,932,214), prednisolone metasulphobenzoate (U.S. Pat. No. 5,834,021), cytokine restraining agents (U.S. Pat. No. 5,888,969), and nicotine (U.S. Pat. No. 5,889,028).

Variant polypeptides may be used together with agents which reduce the viability or proliferative potential of a cell. Agents which reduce the viability or proliferative potential of a cell can function in a variety of ways including, for example, inhibiting DNA synthesis, inhibiting cell division, inducing apoptosis, or inducing non-apoptotic cell killing. Specific examples of cytotoxic and cytostatic agents, include but are not limited to, pokeweed antiviral protein, abrin, ricin, and each of their A chains, doxorubicin, cisplatin, iodine-131, yttrium-90, rhenium-188, bismuth-212, taxol, 5-fluorouracil VP-16, bleomycin, methotrexate, vindesine, adriamycin, vincristine, vinblastine, BCNU, mitomycin and cyclophosphamide and certain cytokines such as TNF- $\alpha$  and TNF- $\beta$ . Thus, cytotoxic or cytostatic agents can include, for example, radionuclides, chemotherapeutic drugs, proteins, and lectins.

Therapeutic compositions may contain, for example, such normally employed additives as binders, fillers, carriers, preservatives, stabilizing agents, emulsifiers, buffers and excipients as, for example, pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate, and the like. These compositions typically contain 1%-95% of active ingredient, preferably 2%-70% active ingredient.

The variant polypeptides of the present invention can also be mixed with diluents or excipients which are compatible and physiologically tolerable. Suitable diluents and excipients are, for example, water, saline, dextrose, glycerol, or the like, and combinations thereof. In addition, if desired, the compositions may contain minor amounts of auxiliary substances such as wetting or emulsifying agents, stabilizing or pH buffering agents.

In some embodiments, the therapeutic compositions of the present invention are prepared either as liquid solutions or suspensions, as sprays, or in solid forms. Oral formulations usually include such normally employed additives such as binders, fillers, carriers, preservatives, stabilizing agents, emulsifiers, buffers and excipients as, for example, pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate, and the like. These compositions take the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations, or powders, and typically contain 1%-95% of active ingredient, preferably 2%-70%. One example of an oral composition useful for delivering the therapeutic compositions of the present invention is described in U.S. Pat. No. 5,643,602.

Additional formulations which are suitable for other modes of administration, such as topical administration, include salves, tinctures, creams, lotions, transdermal patches, and suppositories. For salves and creams, traditional binders, carriers and excipients may include, for example, polyalkylene glycols or triglycerides. One example of a topical delivery method is described in U.S. Pat. No. 5,834,016. Other liposomal delivery methods may also be employed (*See, e.g.,* U.S. Pat. Nos. 5,851,548 and 5,711,964).

The formulations may also contain more than one active compound as necessary for the particular indication being treated, preferably those with complementary activities that do not adversely affect each other. Such molecules are suitably present in combination in amounts that are effective for the purpose intended.

Sustained-release preparations may also be prepared. Suitable examples of sustained release preparations include semipermeable matrices of solid hydrophobic polymers containing the variant polypeptide, which matrices are in the form of shaped articles, e.g., films, or microcapsule. Examples of sustained-release matrices include, but are not limited to, polyesters, hydrogels (for example, poly (2-hydroxyethyl-methacrylate), or poly (vinylalcohol)), polylactides, copolymers of L-glutamic acid and  $\gamma$ -ethyl-L-glutamate, non-degradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as the LUPRON DEPOT (injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid. While polymers such as ethylene-vinyl acetate and lactic acid-

glycolic acid enable release of molecules for over 100 days, certain hydrogels release proteins for shorter time periods.

The variant polypeptides of the present invention may be used to treat a subject. Such treatment may be administered to a subject with a disease, or may be administered  
 5 prophylactically to a subject (e.g., to a subject predisposed to a disease). Example of conditions that may be treated include, but are not limited to, cancer (e.g., where the variant polypeptide binds the HER2 receptor, CD20 or vascular endothelial growth factor (VEGF)); allergic conditions such as asthma (with an anti-IgE antibody); and LFA-1-mediated disorders (e.g., where the variant polypeptide is an anti-LFA-I or anti-ICAM-I  
 10 antibody) etc.

In preferred embodiments, the polypeptide variants used to treat subjects comprise antibodies or immunoadhesins. Also in preferred embodiments, the diseases treated are antibody or immunoadhesin responsive diseases. Examples of antibody responsive diseases include diseases and medical conditions such as: lymphoma (shown to be  
 15 treatable with RITUXAN, an anti-CD20 antibody), infectious disease (shown to be treatable with SYNAGIS, an antibody directed to the F protein of respiratory syncytial virus), kidney transplant (ZENAPAX, an anti-IL-2 receptor antibody, has shown to be helpful), Crohn's disease and rheumatoid arthritis (shown to be treatable with REMICADE, an anti-TNF $\alpha$  antibody), breast carcinoma (shown to be treatable with  
 20 HERCEPTIN, an anti-20 HER2 antibody), and colon cancer (shown to be treatable with EDRECOLOMAB, an anti-17-1A antibody). Variant polypeptides used for treating cancer would preferably comprise an Fc region amino acid substitution of the invention which confers enhanced ADCC activity and or enhanced CDC activity upon the polypeptide.

25 In some embodiments, a variant polypeptide with improved ADCC activity is employed in the treatment of diseases or disorders where destruction or elimination of tissue or foreign microorganisms is desired. For example, the variant may be used to treat cancer; inflammatory disorders; infections (e.g., bacterial, viral, fungal or yeast infections); and other conditions (such as goiter) where removal of tissue is desired. In  
 30 other embodiments, the variant polypeptide has diminished ADCC activity. Such variants may be used to treat diseases or disorders where an Fc region-containing polypeptide with long half-life is desired, but the polypeptide preferably does not have



undesirable effector function(s). For example, the Fc region-containing polypeptide may be an anti-tissue factor (TF) antibody; anti-IgE antibody; and anti-integrin antibody (e.g., an anti- $\alpha$ 437 antibody). The desired mechanism of action of such Fc region-containing polypeptides may be to block ligand-receptor binding pairs. Moreover, the Fc-region  
5 containing polypeptide with diminished ADCC activity may be an agonist antibody.

Variant polypeptides used for treating cancer would preferably comprise an Fc region amino acid substitution of the invention (*see*, e.g., Table 2 herein) which confers enhanced ADCC activity and or enhanced CDC activity upon the polypeptide.

The variant polypeptides of the present invention may be administered by any  
10 suitable means, including parenteral, subcutaneous, topical, intraperitoneal, intrapulmonary, and intranasal, and, intralesional administration (e.g., for local immunosuppressive treatment). Parenteral infusions include intramuscular, intravenous, intraarterial, intraperitoneal, or subcutaneous administration. In addition, the polypeptide variant is suitably administered by pulse infusion, particularly with declining doses of the  
15 variant polypeptide. Preferably, the dosing is given by injections, most preferably intravenous or subcutaneous injections, depending in part on whether the administration is brief or chronic.

For the prevention or treatment of disease, the appropriate dosage of polypeptide variant will depend on the type of disease to be treated, the severity and course of the  
20 disease, whether the variant polypeptide is administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the polypeptide variant, and the discretion of the attending physician. The variant polypeptide is suitably administered to the patient at one time or over a series of treatments.

For example, depending on the type and severity of the disease, about 0.1  $\mu$ g/kg to  
25 15 mg/kg (e.g., 0.120 mg/kg) of variant polypeptide is an initial candidate dosage for administration to the patient, whether, for example, by one or more separate administrations, or by continuous infusion. A typical daily dosage might range from about 1  $\mu$ g/kg to 100 mg/kg or more, depending on the factors mentioned above. For repeated administrations over several days or longer, depending on the condition, the  
30 treatment is sustained until the symptoms are sufficiently reduced or eliminated. The progress of this therapy is easily monitored by conventional techniques and assays, and may be used to adjust dosage to achieve a therapeutic effect.

These suggested amounts of variant polypeptide are subject to a great deal of therapeutic discretion. The key factor in selecting an appropriate dose and scheduling is the result obtained. Factors for consideration in this context include the particular disorder being treated, the particular mammal being treated, the clinical condition of the individual patient, the cause of the disorder, the site of delivery of the antibody, the particular type of antibody, the method of administration, the scheduling of administration, and other factors known to medical practitioners.

A therapeutically effective amount of a variant polypeptide to be administered is the dosage level required for a patient such that the symptoms of the disease being treated are reduced. Additionally, a therapeutically effective amount may refer to the amount of therapeutic agent sufficient to delay or minimize or prevent the onset of disease, e.g., delay or minimize or prevent the spread of cancer. A therapeutically effective amount may refer to the amount of therapeutic agent (e.g., variant polypeptide) may also refer to the amount of the therapeutic agent that provides a therapeutic benefit in the treatment or management of a disease in a subject. Further, a therapeutically effective amount with respect to a therapeutic agent of the invention refers to the amount of therapeutic agent alone, or in combination with other therapies, that provides a therapeutic benefit in the treatment or management of a disease in a subject. The variant polypeptide need not be, but is optionally formulated with one or more agents currently used to prevent or treat the disorder in question. The effective amount of such other agents depends on the amount of variant polypeptide present in the formulation, the type of disorder or treatment, and other factors discussed above. A first prophylactic or therapeutic agent (e.g., variant polypeptide or polypeptide comprising a variant Fc region of the invention or functional fragment thereof) can be administered prior to, concomitantly with, or subsequent to the administration of a second prophylactic or therapeutic agent to a subject with a disorder.

Therapeutic agents of the invention may be frozen or lyophilized for storage and reconstituted in a suitable sterile carrier prior to use. Lyophilization and reconstitution can lead to varying degrees of antibody activity loss. Dosages may have to be adjusted to compensate. Generally, pH between 6 and 8 is preferred.

The use of a monoclonal antibody comprising a variant Fc region of the present invention for treating or preventing of at least one of the aforementioned disorders (e.g., cancer) in which the antigen to which the monoclonal antibody binds is detrimental or

which benefits from decreased levels of the antigen is contemplated herein. Additionally, the use of an antibody comprising a variant Fc region of the present invention for use in the manufacture of a medicament for the treatment of at least one of the aforementioned disorders is contemplated.

- 5 As used herein, the terms "treatment", "treating", and the like, refer to obtaining a desired pharmacologic and/or physiologic effect. The effect may be prophylactic in terms of completely or partially preventing a disease or symptom thereof and/or may be therapeutic in terms of a partial or complete cure for a disease and/or adverse affect attributable to the disease. "Treatment", as used herein, includes administration of a
- 10 compound of the present invention for treatment of a disease or condition in a mammal, particularly in a human, and includes: (a) preventing the disease from occurring in a subject which may be predisposed to the disease but has not yet been diagnosed as having it; (b) inhibiting the disease, i.e., arresting its development; and (c) relieving the disease, i.e., causing regression of the disease or disorder or alleviating symptoms or
- 15 complications thereof. Dosage regimens may be adjusted to provide the optimum desired response (e.g., a therapeutic or prophylactic response). For example, a single bolus may be administered, several divided doses may be administered over time or the dose may be proportionally reduced or increased as indicated by the exigencies of the therapeutic situation.

20

#### Anti-CD20 Antibodies

- An anti-CD20 antibody comprising a variant Fc region of the present invention is contemplated to fall within the scope of the invention (*see*, e.g., Example 4 and Figure 4 herein). In one embodiment, an anti-CD20 antibody comprises a variant Fc region of the
- 25 invention, or portion thereof comprising the amino acid substitution, as listed in Tables 1-10 herein, the anti-CD20 antibody may further comprise a peptide with the sequence shown in SEQ ID NO: 13, 14, 15 or 16. In another embodiment, an anti-CD20 antibody comprises a variant Fc region of the invention, or portion thereof comprising the amino acid substitution, as listed in Tables 1-10 herein, and further comprises peptides with the
- 30 sequences shown in:

- a) SEQ ID NO: 13 and SEQ ID NO: 14;
- b) SEQ ID NO: 15 and SEQ ID NO: 16;

c) SEQ ID NOs: 17, 18, 19, 20, 21 and 22; or

d) SEQ ID NOs: 23, 24, 25, 26, 27 and 28.

More preferably, an anti-CD20 antibody of the invention comprises a variant Fc region, or portion thereof, comprising amino acid substitution(s) selected from the group

5 consisting of:

a) 247I and 339D;

b) 247I and 339Q; and

c) 378D;

and further comprises a variable region comprising polypeptides with the sequences

10 selected from the group consisting of:

a) SEQ ID NO: 13 and SEQ ID NO: 14;

b) SEQ ID NO: 15 and SEQ ID NO: 16;

c) SEQ ID NOs: 17, 18, 19, 20, 21 and 22; and

d) SEQ ID NOs: 23, 24, 25, 26, 27 and 28.

15

#### **Additional Variant Fc Region Uses**

The variants, and nucleic acid sequences encoding variants, of the present invention may be used in many ways. For example, variants of the present invention may be used in drug screening assays. For example, candidate compounds may be evaluated

20 for their ability to alter or interfere with Fc effector functions by contacting a variant with the candidate compound and determining binding of the candidate compound to the variant. The variant may be immobilized using methods known in the art such as binding a GST-variant fusion protein to a polymeric bead containing glutathione. A chimeric gene encoding a GST fusion protein is constructed by fusing DNA encoding the variant

25 of interest to the DNA encoding the carboxyl terminus of GST (*See, e.g., Smith et al., Gene* 67:31 [1988]). The fusion construct is then transformed into a suitable expression system (e.g., *E. coli* XA90) in which the expression of the GST fusion protein can be induced with isopropyl-f-D-thiogalactopyranoside (IPTG). Induction with IPTG should yield the fusion protein as a major constituent of soluble, cellular proteins. The fusion

30 proteins can be purified by methods known to those skilled in the art, including purification by glutathione affinity chromatography. Binding of the candidate

compound to the variant is correlated with the ability of the compound to disrupt the one or more effector functions.

In another screening method, either the variant or a selected FcR is immobilized using methods known in the art, such as adsorption onto a plastic microtiter plate or specific binding of a GST-fusion protein to a polymeric bead containing glutathione. For example, GST-variant is bound to glutathione-Sepharose beads. The immobilized variant is then contacted with an FcR and a candidate compound. Unbound peptide is then removed and the complex solubilized and analyzed to determine the amount of bound labeled peptide. A decrease in binding is an indication that the candidate compound inhibits the interaction of variant with the FcR. This screening method is particularly useful with variants of the present invention that show an increased level of A variation of this method allows for the screening of compounds that are capable of disrupting a previously-formed variant/Fc receptor complex. For example, in some embodiments a complex comprising a variant bound to an FcR is immobilized as described above and contacted with a candidate compound. The dissolution of the complex by the candidate compound correlates with the ability of the compound to disrupt or inhibit the interaction between the variant being tested and the FcR being. In this regard, compounds with therapeutic potential (e.g., in humans) may be identified (e.g., compounds useful in treating human disease, such as autoimmune diseases).

Another technique for drug screening provides high throughput screening for compounds having suitable binding affinity to variant peptides and is described in detail in WO 84/03564. Briefly, large numbers of different small peptide test compounds are synthesized on a solid substrate, such as plastic pins or some other surface. The peptide test compounds are then reacted with variant peptides and washed. Bound variant peptides are then detected by methods well known in the art.

Another technique uses antibodies directed to variant peptides. Such antibodies capable of specifically binding to variant peptides compete with a test compound for binding to a given variant. In this manner, the antibodies can be used to detect the presence of any peptide that shares one or more antigenic determinants of the variant peptide.

The present invention contemplates many other means of screening compounds. The examples provided above are presented merely to illustrate a range of techniques

available. One of ordinary skill in the art will appreciate that many other screening methods can be used.

In particular, the present invention contemplates the use of cell lines transfected with nucleic acid encoding at least one variant Fc region for screening compounds for activity, and in particular to high throughput screening of compounds from combinatorial libraries (e.g., libraries containing greater than  $10^4$  compounds). The cell lines of the present invention can be used in a variety of screening methods.

The variants of the present invention may be used as an affinity purification agent. For example, the variant may be immobilized on a solid phase such as a Sephadex resin or filter paper, using methods well known in the art. The immobilized variant is then contacted with a sample containing the antigen to be purified, and thereafter the support is washed with a suitable solvent that will remove substantially all the material in the sample except the antigen to be purified, which is bound to the immobilized polypeptide variant. Finally, the support is washed with another suitable solvent, such as glycine buffer, pH 5.0, that will release the antigen from the variant polypeptide.

The variant polypeptide may also be useful in diagnostic assays (e.g., for detecting expression of an antigen of interest in specific cells, tissues, or serum). For diagnostic applications, the variant will typically be labeled with a detectable moiety (such labels are also useful in the Fc region assays described above). Numerous labels are available, including, but not limited to, radioisotopes (e.g.,  $^{35}\text{S}$ ,  $^{14}\text{C}$ ,  $^{125}\text{I}$ ,  $^3\text{H}$ , and  $^{131}\text{I}$ ), fluorescent labels (e.g., rare earth chelates (europium chelates) or fluorescein and its derivatives, rhodamine and its derivatives, dansyl, Lissamine, phycoerythrin and Texas Red), and various enzyme-substrate labels (*see*, e.g., U.S. Patent No. 4,275,149), and luciferase, luciferin, 2,3-dihydrophthalazinediones, malate dehydrogenase, urease, peroxidase such as horseradish peroxidase (HRPO), alkaline phosphatase, 3-galactosidase, glucoamylase, lysozyme, saccharide oxidases (e.g., glucose oxidase, galactose oxidase, and glucose-6-phosphate dehydrogenase), heterocyclic oxidases (such as uricase and xanthine oxidase), lactoperoxidase, microperoxidase, and the like). Examples of enzyme-substrate combinations include, for example: (i) horseradish peroxidase (HRPO) with hydrogen peroxidase as a substrate, wherein the hydrogen peroxidase oxidizes a dye precursor (e.g., orthophenylene diamine (OPD) or 3,3',5,5'-tetramethyl benzidine hydrochloride (TMB)); (ii) alkaline phosphatase (AP) with para-nitrophenyl phosphate as chromogenic substrate;

and (iii) -D-galactosidase (R-D-Gai) with a chromogenic substrate or fluorogenic substrate.

The variants of the present invention may also be used for in vivo diagnostic assays. For example, the variant polypeptide is labeled with a radionuclide so that the  
5 antigen or cells expressing it can be localized using immunoscintigraphy.

**Table 1** Fc Variants

<u>Position*</u>	<u>Amino Acid Substitution*</u>
235	G, R
236	F, R, Y
5 237	K, N, R
238	E, G, H, I, L, V, W, Y
244	L
245	R
247	A, D, E, F, M, N, Q, R, S, T, W, Y
10 248	F, P, Q, W
249	L, M, N, P, Y
251	H, I, W
254	D, E, F, G, H, I, K, L, M, N, P, Q, R, V, W, Y
255	K, N
15 256	H, I, K, L, V, W, Y
257	A, I, M, N, S
258	D
260	S
262	L
20 264	S
265	K, S
267	H, I, K
268	K
269	N, Q
25 271	T
272	H, K, L, R
279	A, D, F, G, H, I, K, L, M, N, Q, R, S, T, W, Y
280	T
283	F, G, H, I, K, L, M, P, R, T, W, Y
30 286	F
288	N, P
292	E, F, G, I, L
293	S, V
301	W
35 304	E
307	E, M
312	P
315	F, K, L, P, R
316	F, K
40 317	P, T
318	N, P, T
332	F, G, L, M, S, V, W
339	D, E, F, G, H, I, K, L, M, N, Q, R, S, W, Y
341	D, E, F, H, I, K, L, M, N, P, Q, R, S, T, V, W, Y
45 343	A, D, E, F, G, H, I, K, L, M, N, Q, R, S, T, V, W, Y
373	D, E, F, G, H, I, K, L, M, N, Q, R, S, T, V, W
375	R
376	E, F, G, H, I, L, M, N, P, Q, R, S, T, V, W, Y
377	G, K, P
50 378	D, N
379	N, Q, S, T



	380	D, N, S, T
	382	D, F, H, I, K, L, M, N, P, Q, R, S, T, V, W, Y
	385	E, P
	386	K
5	423	N
	424	H, M, V
	426	D, L
	427	N
	429	A, F, M
10	430	A, D, F, G, H, I, K, L, M, N, P, Q, R, S, T, V, W, Y
	431	H, K, P
	432	R, S
	438	G, K, L, T, W
	439	E, H, Q
15	440	D, E, F, G, H, I, K, L, M, Q, T, V
	442	K

---

\* Fe amino acid position according to EU numbering

\* e.g., at position 249: 249L, 249M, 249N or 249Y

**Table 2** Fc variants: effector function changes

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
235G						X
235Q		X		X		
235R		X				
235S		X				X
236F		X				
236R		X				X
236Y		X		X	X	
237E		X				X
237K		X		X		X
237N		X				X
237R		X		X		X
238A		X				X
238E		X		X		X
238G		X		X		X
238H		X		X		X
238I		X				X
238L		X	X			X
238V		X				X
238W		X		X		X
238Y		X		X		X
244L			X		X	
245R		X	X			X
247A	X			X	X	
247D				X	X	
247E				X	X	
247F	X			X		
247G		X		X	X	
247H	X			X		X
247I	X			X		X
247L	X			X		X
247M	X			X		
247N				X	X	
247Q				X	X	
247R		X		X	X	
247S				X	X	
247T	X					X
247V	X					
247W				X	X	
247Y	X			X		X
248A				X		
248F				X	X	
248P				X	X	
248Q				X	X	
248W				X	X	

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
249E	X			X	X	
249L		X		X	X	
249M				X	X	
249N					X	
249P		X	X		X	
249Y	X			X	X	
250K		X			X	
250M		X	X			X
250R		X		X	X	
251F	X			X	X	
251H		X		X	X	
251I		X		X	X	
251W		X		X	X	
252Y		X	X			X
254A				X	X	
254D				X		X
254E				X		X
254F	X			X	X	
254G				X		
254H				X		
254I				X		X
254K				X	X	
254L		X		X	X	
254M	X			X	X	
254N				X		
254P		X		X		X
254Q		X		X		X
254R				X	X	
254T		X		X		X
254V		X		X		X
254W				X	X	
254Y	X			X	X	
255K				X	X	
255N				X		X
256A	X		X		X	
256F	X			X		
256G			X		X	
256H				X		
256I				X	X	
256K				X		
256L					X	
256M	X			X	X	
256P			X		X	
256Q				X	X	
256R				X		
256V		X				

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
256W				X	X	
256Y				X	X	
257A		X	X			X
257I		X	X			X
257M		X	X			X
257N		X	X			X
257S		X	X			X
257V		X	X			X
258D	X		X			
260S		X	X		X	
262L		X	X			X
264S		X		X		X
265H		X				X
265K		X				
265S		X		X		
265Y		X		X		X
267G		X		X		X
267H		X				X
267I		X		X		X
267K		X				X
268D	X			X	X	
268E	X		X			
268K				X		X
269N		X				X
269Q		X				X
270A		X		X		X
270G		X				X
270K		X	X			X
270M		X		X		X
270N		X				X
271T		X				X
272H		X	X			X
272K		X	X			
272L		X	X			X
272N		X				X
272R		X	X			
279A	X		X			
279D		X	X			
279F		X				
279G			X			
279H			X			
279I				X		
279K		X		X		
279L		X		X		
279M			X			
279N			X			

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
279Q			X		X	
279R			X			
279S			X		X	
279T			X			
279W		X	X		X	
279Y			X		X	
280A	X			X		
280K	X				X	
280T				X	X	
283A	X		X			
283D		X	X			
283F		X	X		X	
283G		X	X		X	
283H		X	X		X	
283I	X		X		X	
283K	X		X		X	
283L		X	X		X	
283M	X				X	
283N			X		X	
283P			X		X	
283Q			X			
283R	X		X		X	
283S			X		X	
283T			X			
283W		X	X		X	
283Y		X	X			
285N		X	X			
286F			X			
288N	X		X			
288P		X	X			
292A	X					X
292E		X		X		
292F		X		X		
292G		X		X		
292I		X		X		
292L				X	X	
293S		X				X
293V		X	X			
301W		X				X
304E		X				
307A		X	X		X	
307E		X	X			X
307M		X	X		X	
311A	X		X			
311D	X			X		
311E				X		X

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
311F		X		X	X	
311G				X		
311I		X	X		X	
311K		X	X		X	
311L			X		X	
311M			X		X	
311R				X	X	
311N	X			X		
311S		X				X
311T	X				X	
311V	X		X		X	
311W			X		X	
311Y	X			X	X	
312P		X	X		X	
314F		X		X	X	
314I		X			X	
314V		X		X	X	
314W		X		X	X	
314Y					X	
315F		X		X	X	
315K				X	X	
315L	X				X	
315P		X		X	X	
315R					X	
316F		X		X		X
316K			X		X	
317P		X	X		X	
317T				X	X	
318N	X		X		X	
318P	X					X
318T	X		X		X	
318V	X					
326W		X		X	X	
327T		X		X		X
328V		X				X
329Y		X				X
330K	X					X
330R						X
332A					X	
332D	X				X	
332E	X				X	
332F			X		X	
332G					X	
332H		X	X		X	
332K		X	X			X
332L		X	X		X	

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
332M			X		X	
332N					X	
332Q					X	
332R		X	X			
332S			X		X	
332T	X				X	
332V	X				X	
332W		X	X		X	
332Y					X	
339D	X				X	
339E				X		X
339F	X				X	
339G	X			X	X	
339H					X	
339I	X				X	
339K	X				X	
339L				X		
339M	X					X
339N	X		X		X	
339Q	X				X	
339R	X			X	X	
339S	X				X	
339T	X		X		X	
339W			X		X	
339Y					X	
341D		X		X	X	
341E		X		X	X	
341F		X		X	X	
341H		X			X	
341I		X		X	X	
341K		X		X	X	
341L		X		X	X	
341M		X		X	X	
341N		X		X	X	
341P		X	X		X	
341Q		X		X	X	
341R		X		X	X	
341S		X		X	X	
341T		X		X	X	
341V				X	X	
341W		X		X	X	
341Y		X		X	X	
343A		X			X	
343D		X			X	
343E		X	X		X	
343F		X				

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
343G		X			X	
343H		X	X		X	
343I						X
343K			X		X	
343L		X			X	
343M		X		X	X	
343N		X			X	
343Q		X	X		X	
343R		X	X		X	
343S		X			X	
343T		X	X		X	
343V		X		X		
343W		X		X	X	
343Y		X	X		X	
373A		X		X		
373D		X		X	X	
373E		X			X	
373F		X			X	
373G		X		X		
373H					X	
373I		X			X	
373K		X		X	X	
373L		X		X	X	
373M		X		X	X	
373N		X		X	X	
373Q		X		X	X	
373R		X			X	
373S		X		X		X
373T		X		X	X	
373V		X		X	X	
373W		X		X	X	
375R		X	X		X	
376A	X		X		X	
376E		X				
376F		X			X	
376G		X	X		X	
376H		X		X	X	
376I			X			
376L				X	X	
376M			X			
376N					X	
376P			X		X	
376Q					X	
376R					X	
376S					X	
376T			X		X	



Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
376V	X		X		X	
376W		X		X		
376Y		X		X		
377G	X					
377K	X		X			
377P					X	
378D			X			X
378N			X			
379N	X				X	
379Q		X			X	
379S					X	
379T					X	
380A			X		X	
380D						X
380N	X		X		X	
380S	X		X		X	
380T			X		X	
382A	X					
382D		X				X
382F	X		X			X
382H			X			
382I	X		X		X	
382K			X			
382L			X		X	
382M			X			
382N			X			X
382P						X
382Q			X		X	
382R			X			X
382S		X	X			X
382T			X			
382V			X		X	
382W			X			X
382Y			X			X
385E	X					X
385P						X
386K					X	
423N			X			X
424H						X
424M		X		X		X
424V				X		
426D				X	X	
426L					X	
427N	X		X			X
429A		X		X	X	
429F		X		X	X	

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
429M	X			X	X	
430A			X		X	
430D				X	X	
430F			X		X	
430G			X		X	
430H		X	X		X	
430I			X		X	
430K		X	X		X	
430L			X		X	
430M			X		X	
430N		X	X		X	
430P					X	
430Q		X	X			
430R		X	X		X	
430S			X		X	
430T			X		X	
430V			X		X	
430W		X		X	X	
430Y			X		X	
431H			X		X	
431K			X			
431P				X	X	
432R		X		X	X	
432S		X		X	X	
434G			X			X
434H			X			X
434I		X		X		X
434W	X		X		X	
434Y			X		X	
436I	X		X			X
436L			X			
436T			X			X
438G						X
438K			X			
438L			X		X	
438T			X			X
438W			X		X	
439E						X
439H						X
439Q				X		X
440A				X		X
440D		X		X		
440E				X		
440F				X		X
440G	X					X
440H	X		X			

Variant*	ADCC enhanced	ADCC diminished	FcRn Binding enhanced	FcRn Binding diminished	CDC enhanced	CDC diminished
440I	X					X
440K			X			X
440L	X		X			X
440M				X		X
440N						
440Q					X	
440R						
440T		X				
440V		X				
440W						
440Y					X	
442K		X	X			

\* Variants tested in anti-CD20 antibody, IgG1 Fc region

**Table 3** Enhanced ADCC

	<u>Position<sup>†</sup></u>	<u>Amino Acid Substitution<sup>*</sup></u>
	247	A, F, H, I, L, M, T, V, Y
	249	E, Y
5	251	F
	254	F, M, Y
	256	A, M
	258	D
	268	D, E
10	279	A
	280	A, K
	283	A, I, K, M, R
	288	N
	292	A
15	311	A, D, N, T, V, Y
	315	L
	318	N, P, T, V
	330	K
	332	T, V
20	339	D, F, G, I, K, M, N, Q, R, S, T
	376	A, V
	377	G, K
	379	N
	380	N, S
25	382	A, I
	385	E
	427	N
	429	M
	434	W
30	436	I
	440	G, H, I, L

<sup>†</sup>Fc amino acid position according to EU numbering

<sup>\*</sup>e.g., at position 249: 249E or 249Y

<b>Table 4</b>		<b>Diminished ADCC</b>
<b>Position*</b>		<b>Amino Acid Substitution</b>
5	235	Q, R, S
	236	F, R, Y
	237	E, K, N, R
	238	E, G, H, I, L, V, W, Y
	247	G, R
10	249	L, P
	250	K, M, R
	251	H, I, W
	252	Y
	254	L, P, Q, T, V
15	256	V
	257	A, I, M, N, S, V
	260	S
	262	L
	264	S
20	265	H, K, S
	267	G, H, I, K
	269	N, Q
	270	A, G, K, M, N
	271	T
25	272	H, K, L, N, R
	279	D, F, K, L, W
	283	D, F, G, H, L, T, W, Y
	285	N
	288	P
30	292	E, F, G, I
	293	S, V
	301	W
	304	E
	307	A, E, M
35	311	F, I, K, S
	312	P
	314	F, I, V, W
	315	F, P
	316	F
40	317	P
	327	T
	328	V
	329	Y
	332	G, K, L, R, W
45	341	D, E, F, H, I, K, L, M, N, P, Q, R, S, T, W, Y
	343	A, D, E, F, G, H, L, M, N, Q, R, S, T, V, W, Y
	373	A, D, E, F, G, I, K, L, M, N, Q, R, S, T, V, W
	375	R
	376	A, E, F, G, H, W, Y
50	379	Q
	382	D, S
	429	A, F
	430	H, K, N, Q, R, W
	432	R, S
55	434	I
	440	D, T, V
	442	K

\*For amino acid position according to EU numbering

**Table 5** Enhanced FcRn Binding Affinity

	<u>Position*</u>	<u>Amino Acid Substitution</u>
	238	L
5	244	L
	245	R
	249	P
	252	Y
	256	P
10	257	A, I, M, N, S, V
	258	D
	260	S
	262	L
	270	K
15	272	L, R
	279	A, D, G, H, M, N, Q, R, S, T, W, Y
	283	A, D, F, G, H, I, K, L, N, P, Q, R, S, T, W, Y
	285	N
	286	F
20	288	N, P
	293	V
	307	A, E, M
	311	A, I, K, L, M, V, W
	312	P
25	316	K
	317	P
	318	N, T
	332	F, H, K, L, M, R, S, W
	339	N, T, W
30	341	P
	343	E, H, K, Q, R, T, Y
	375	R
	376	G, I, M, P, T, V
	377	K
35	378	D, N
	380	N, S, T
	382	F, H, I, K, L, M, N, Q, R, S, T, V, W, Y
	423	N
	427	N
40	430	A, F, G, H, I, K, L, M, N, Q, R, S, T, V, Y
	431	H, K
	434	F, G, H, W, Y
	436	I, L, T
	438	K, L, T, W
45	440	K
	442	K

\*Fc amino acid position according to EU numbering

**Table 6** Diminished FcRn Binding Affinity

	<u>Position<sup>†</sup></u>	<u>Amino Acid Substitution</u>
	235	Q
5	236	Y
	237	K, R
	238	E, G, H, W
	247	A, D, E, F, G, H, I, L, M, N, Q, R, S, W, Y
	248	A, F, P, Q, W
10	249	E, L, M, Y
	251	F, H, I, W
	254	D, E, F, G, H, I, K, L, M, N, P, Q, R, T, V, W, Y
	255	K, N
	256	F, H, I, K, M, R, W, Y
15	264	S
	265	S, Y
	267	G, I
	268	D, K
	270	A, M
20	279	I, K, L
	280	T
	292	E, F, G, I, L
	311	D, E, F, G, N, R, Y
	315	F, K, P
25	316	F
	317	T
	326	W
	327	T
	339	E, G, L, R
30	341	D, E, F, I, K, L, M, N, Q, R, S, T, V, W, Y
	343	M, V, W
	373	A, D, G, K, L, M, N, Q, S, T, V, W
	376	H, L, W, Y
	424	M, V
35	426	D
	429	A, F, M
	430	D, W
	431	P
	432	R, S
40	434	I
	439	Q
	440	A, D, E, F, M

<sup>†</sup>Fc amino acid position according to EU numbering

**Table 7**      **Enhanced CDC**

	<u>Position<sup>a</sup></u>	<u>Amino Acid Substitution</u>
	236	Y
5	244	L
	247	A, D, E, G, N, Q, R, S, W
	248	F, P, Q, W
	249	E, L, M, N, P, Y
	250	K, R
10	251	F, H, I, W
	254	A, F, K, L, M, R, Y
	255	K
	256	A, G, I, L, M, P, Q, W, Y
	260	S
15	268	D
	279	Q, S, W, Y
	280	K, T
	283	F, G, H, I, K, L, M, N, P, R, S, W
	292	L
20	307	A, M
	311	F, I, K, L, M, T, V, W, Y
	312	P
	314	F, I, V, W, Y
	315	F, K, L, P, R
25	316	K
	317	P, T
	318	N, T
	332	A, D, E, F, G, H, L, M, N, Q, S, T, V, W, Y
	339	D, F, G, H, I, K, N, Q, R, S, T, W, Y
30	341	D, E, F, H, I, K, L, M, N, P, Q, R, S, T, V, W, Y
	343	A, D, E, G, H, K, L, M, N, Q, R, S, T, W, Y
	373	D, E, F, H, I, K, L, M, N, Q, R, T, V, W
	375	R
	376	A, F, G, H, L, N, P, Q, R, S, T, V
35	377	P
	379	N, Q, S, T
	380	A, N, S, T
	382	I, L, Q, V
	386	K
40	426	D, L
	429	A, F, M
	430	A, D, F, G, H, I, K, L, M, N, P, R, S, T, V, W, Y
	431	H, P
	432	R, S
45	434	W, Y
	438	L, W
	440	Q, Y

<sup>a</sup>Pe amino acid position according to EU numbering



**Table 8** Diminished CDC

	<u>Position<sup>+</sup></u>	<u>Amino Acid Substitution</u>
	235	G, S
5	236	R
	237	E, K, N, R
	238	A, E, G, H, I, L, V, W, Y
	245	R
	247	H, I, L, T, Y
10	250	M
	252	Y
	254	D, E, I, P, Q, T, V
	255	N
	257	A, I, M, N, S, V
15	262	L
	264	S
	265	H, Y
	267	G, H, I, K
	268	K
20	269	N, Q
	270	G, M, N
	271	T
	272	H, L, N
	292	A
25	293	S
	301	W
	307	E
	311	E, S
	316	F
30	318	P
	327	T
	328	V
	329	Y
	330	K, R
35	332	E, M
	343	I
	373	S
	378	D
	380	D
40	382	D, F, N, P, R, S, W, Y
	385	E, P
	423	N
	424	H, M
	427	N
45	+ Fe amino acid position according to EU numbering	

**Examples**

The following examples are provided in order to demonstrate and further illustrate certain preferred embodiments and aspects of the present invention and are not to be construed as limiting the scope thereof.

5

**EXAMPLE I - Screening variant Fc regions in ADCC Assays**

This example describes how variant Fc regions, in the context of an antibody (e.g., anti-CD20) are screened in an ADCC assay.

i. Peripheral Blood Mononuclear Cell (PBMC) isolation

- 10 About 50 ml of peripheral blood is obtained from a healthy donor and diluted 1:2 with phosphate buffered saline (PBS), pH 7.0. The solutions are mixed by gently swirling the tube. About 12 ml of Histopaque-1077 (Sigma Cat. No. 1077-1) is carefully layered underneath the diluted blood sample followed by centrifugation in a Sorvall RT6000B centrifuge with swinging bucket rotor at 1000 rpm for 10 min. with brake off.
- 15 The upper phase of the gradient is discarded by aspiration and the white-colored, PBMC-containing interphase collected and washed 3 times with Hanks' Balanced Salt Solution (Gibco Cat. No. 14025-092). The washed cell pellet is suspended in about 20 ml RPMI 1640 media containing 10% Fetal Bovine Serum (FBS) (Omega Scientific Cat. No. FB-01). The resuspended PBMCs are split into two T-175 culture flasks, and 30 ml of RPMI
- 20 containing 10% FBS is added to each, followed by incubation overnight in a 37°C, 5% CO<sub>2</sub> incubator. The following day the nonadherent PBMCs are collected in 50 mL Falcon tubes, centrifuged as above and resuspended in RPMI containing 1% FBS lacking phenol red. A small portion of the resuspended cells are diluted 10-fold and counted using a hemocytometer. The remaining PBMCs are placed in the incubator until needed.

25 ii. Target cell line (specific for anti-CD20 ADCC assays)

Wil.2 and SKW6.4 CD20-expressing B-cell lines are obtainable from ATCC and grown as recommended. One day before use, the cells are split 2-fold. The next day the cell number is adjusted to  $4 \times 10^5$  cells/ml and 50 µl aliquots (20,000 cells/well) added to a 96-well tissue culture plate.

30 iii. IgG dilutions

Prior to screening, IgG comprising an Fc variant of the present invention is expressed, purified and quantitated using a standard ELISA. For primary single-point

ADCC screening, IgG variants are diluted to 40 ng/ml in RPMI media containing 1% FBS lacking phenol red. The final IgG concentration in the assay is diluted by 4-fold (i.e., 10 ng/ml final concentration). Fifty microliter aliquots of IgG are added to the target cells and incubated for about 15 minutes at 37°C prior to adding the effector cells to the

5 opsonized target cells.

When IgG titrations are performed, IgG concentration is varied in the range from about 0.0001 to 1 µg/ml. IgG dilutions are prepared using a 96-well microtiter plate by diluting the samples in RPMI containing 1% FBS lacking phenol red. The diluted IgG samples are then added to the assay plate containing the target cells.

10 iv. Effector cells

The concentration of PBMCs are adjusted so effector-to-target ratio is in the range of 10-20:1 (i.e.,  $2-4 \times 10^6$  cells/ml). One hundred microliters of the resuspended PBMCs are added to each well of the opsonized target cells. The plates are incubated at 37°C in the presence of 5% CO<sub>2</sub> for 3-4 hours.

15 v. Lactate-dehydrogenase (LDH)-release detection

Target cell lysis is measured by detecting the release of LDH enzyme from the cytoplasm of damaged cells into the culture supernatant. Following incubation of the opsonized target cells with the effector cells, the assay plates are centrifuged at 2000 rpm for 5 minutes. About 75 µl of the cell culture supernatant is carefully removed while the

20 pelleted cells and debris are avoided. This supernatant is added directly to a microtiter plate and to this is added 75 µl of LDH detection reagent (Roche Cat. No. 1 644 793). The plate is then incubated for approximately 15-30 min. and absorbance read at 490nm using a Molecular Devices Vmax Kinetic Microplate Reader.

vi. Data Analysis

25 All ADCC screening assays are performed in duplicate. Each assay plate contains controls for spontaneous target lysis, spontaneous effector plus target lysis in the absence of IgG and target cell total lysis. Target cell total lysis is achieved by addition of 1% Triton X-100 to the target cells. Wild type controls are included on each assay plate and the ADCC assay signal averaged. The background value, obtained from the spontaneous

30 lysis controls, is subtracted from each sample. The background value, obtained from the diluted IgG, is subtracted from each sample. The data are converted from absorbance values to percentage of specific-lysis based upon the spontaneous and total lysis controls.

The percentage of specific-lysis is calculated from the following equation: percentage specific lysis = (experimental A490 - background A490)/(maximal A490 - background A490) X 100, where background A490 is the sum of the A490 obtained from the effector and target cells in the absence of IgG and the IgG background due to contaminating LDH present in the crude IgG supernatants. The percentage of the Fc variant activity is normalized relative to the averaged wild type controls. The percentage of the normalized activity for duplicate assay plates are averaged and the standard deviation between individual assay plates calculated for each sample.

#### vii. Results

- The relative ADCC specific activity are shown in Tables 9 (single substitutions) and 10 (combinatorial substitutions) below. The CDC values reported in Table 9 are generated as described in Example 2 herein and the FcRn binding assay value reported in Table 9 are generated as described in Example 3 herein. Results are further summarized in Tables 1 and 2 above. Those combinatorial substitutions which yield a higher ADCC value than either single substitution alone are: 247F,339D; 247I, 339D; 247L,339D; 247L,339T; and 247I,339Q. Those combinatorial substitutions which yield ADCC lower than 80% that of wild-type ADCC even though they have higher than wild-type ADCC when tested alone are: 247A,339D; 247Y,339H; 247I,339I; 247T,339I; 247L,339N; 247A,339Q; and 247A,339R. Those combinatorial substitutions which yield ADCC lower than 10% that of wild-type ADCC even though they have higher than wild-type ADCC when tested alone are: 247I,339I; 247T,339I; and 247L,339N.

**Table 9**

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
	WT (a,z)	100		100		100		
L	235A	87	23.2	102	11.9	67	7.9	2
L	235E	80	17.4	87	16.2	48	35.8	2
L	235G	93	30.3	85	21.2	58	46.0	2
L	235Q	86	1.8	81	14.4	98	25.1	2
L	235R	45	10.5	93	34.1	110	13.8	2
L	235S	92	2.0	85	40.0	0	262.9	2
G	236F	0	942.1	88	7.9	0	283.3	2
G	236R	0	228.7	101	20.6	14	110.1	4
G	236Y	8	72.7	76	10.0	168	0.3	2
G	237E	0	89.2	93	35.0	36	28.8	4

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
G	237K	0	113.3	78	12.8	12	136.0	4
G	237N	0	107.5	91	16.3	22	31.5	4
G	237R	0	81.3	56	60.6	28	10.5	4
P	238A	0	205.8	90	9.6	29	49.5	4
P	238E	0	20.6	76	6.7	24	101.0	4
P	238G	0	92.8	66	6.9	23	120.5	4
P	238H	0	48.9	72	11.4	11	206.3	4
P	238I	0	180.4	94	6.4	18	54.1	4
P	238L	0	37.4	115	13.6	34	50.1	4
P	238V	46	11.8	102	8.3	67	19.2	4
P	238W	0	66.6	87	5.4	23	103.4	4
P	238Y	0	34.0	74	15.8	16	288.8	4
P	244L	77	23.4	124	9.6	134	6.0	2
P	245R	62	15.0	188	7.2	42	5.3	2
P	247A	122	16.2	97	0.3	116	0.9	2
P	247D	95	23.4	54	2.8	131	1.1	2
P	247E	95	10.3	52	8.7	118	0.0	2
P	247F	144	18.8	6	1258.9	71	34.1	6
P	247G	82	16.1	75	4.2	137	0.9	2
P	247H	145	11.2	35	252.4	79	7.5	6
P	247I	140	22.9	79	30.7	22	198.3	6
P	247L	144	10.1	57	33.7	0	722.7	16
P	247M	127	21.0	18	1599.0	114	7.2	6
P	247N	91	37.2	41	38.7	109	4.0	2
P	247Q	103	8.5	26	294.3	137	3.7	4
P	247R	58	15.5	72	1.2	127	4.9	2
P	247S	106	38.6	81	15.0	130	2.6	2
P	247T	129	11.2	75	27.5	37	34.8	10
P	247V	120	13.5	Na	na	na	na	2
P	247W	102	6.5	0	1314.2	122	0.5	2
P	247Y	143	8.4	7	na	88	7.1	6
K	248A	100	5.4	15	179.9	102	2.4	2
K	248F	107	18.0	0	559.4	202	4.0	2
K	248P	103	9.1	34	99.4	226	1.3	2
K	248Q	101	0.5	38	89.3	174	17.9	2
K	248W	102	9.4	30	54.5	166	8.3	2
D	249E	110	6.7	78	22.4	258	16.1	4
D	249L	73	1.4	15	179.9	214	3.2	2
D	249M	101	9.6	50	4.5	163	1.2	2
D	249N	94	15.9	112	14.0	255	10.9	4
D	249P	56	4.0	138	21.0	119	0.2	2
D	249Y	110	8.8	41	28.3	170	6.6	2
T	250K	38	27.1	96	30.1	199	13.5	6
T	250M	78	11.0	165	7.4	47	6.6	4
T	250R	84	0.7	62	34.0	288	13.9	4
L	251F	125	6.0	64	47.6	160	16.6	10

Position and Variants*		ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
L	251H	59	11.6	46	5.6	167	22.7	2
L	251I	84	9.8	7	333.3	190	18.8	2
L	251W	95	2.9	0	na	161	17.3	2
M	252Y	61	5.8	964	27.9	62	46.1	4
I	253A	103	6.1	0	12.0	81	23.9	4
S	254A	99	20.1	7	733.0	139	11.2	4
S	254D	101	14.2	7	113.9	74	31.2	2
S	254E	102	3.8	6	107.9	72	35.2	2
S	254F	121	11.2	0	1087.4	206	14.4	6
S	254G	100	14.7	7	86.8	102	6.1	2
S	254H	108	20.0	0	74.3	85	22.0	4
S	254I	99	2.5	25	64.3	80	11.5	4
S	254K	109	14.8	9	77.2	137	6.1	2
S	254L	89	9.8	74	12.6	227	12.1	6
S	254M	89	11.9	0	212.1	248	13.4	6
S	254N	90	13.3	8	5.6	76	34.6	2
S	254P	78	17.7	3	141.4	75	25.2	2
S	254Q	84	12.6	9	121.2	74	25.2	2
S	254R	99	1.3	14	128.2	152	4.8	2
S	254T	54	51.9	32	34.7	75	12.1	2
S	254V	68	40.5	31	40.5	84	4.4	2
S	254W	98	6.0	0	116.1	188	7.7	6
S	254Y	117	9.4	0	129.1	211	15.7	6
R	255K	96	3.5	41	65.9	276	9.3	4
R	255N	84	17.7	27	162.7	81	18.1	2
T	256A	115	16.5	160	3.0	190	19.6	2
T	256D	97	5.3	544	10.6	86	23.2	6
T	256E	91	12.9	339	8.4	104	7.2	6
T	256F	119	3.3	5	435.0	128	26.6	2
T	256G	95	20.0	162	8.0	221	24.5	2
T	256H	103	6.3	26	6.1	91	11.9	2
T	256I	93	11.5	72	3.7	157	16.2	4
T	256K	100	6.7	31	20.6	92	20.2	2
T	256L	93	3.9	78	60.5	267	22.7	4
T	256M	122	17.4	35	78.9	200	14.8	10
T	256N	102	7.2	229	6.4	86	23.2	2
T	256P	109	11.8	190	6.1	260	11.8	8
T	256Q	100	17.2	64	14.2	152	11.3	4
T	256R	94	8.8	33	60.0	71	47.1	2
T	256S	103	5.3	169	3.1	101	15.9	2
T	256V	82	11.1	114	30.5	109	11.0	2
T	256W	96	8.5	14	84.5	170	14.4	4
T	256Y	97	7.2	68	18.6	127	18.0	2
P	257A	86	11.5	319	15.0	34	53.7	4
P	257I	54	16.4	1022	14.5	46	22.4	6
P	257M	26	11.0	835	19.1	48	48.4	4

Position and		ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
WT*	Variants*							
P	257N	27	13.1	867	15.7	62	31.4	4
P	257S	28	28.8	184	6.8	46	30.1	4
P	257V	18	97.9	447	41.5	27	143.5	2
E	258D	115	7.0	361	20.5	110	9.6	4
T	260S	93	5.6	124	7.4	171	17.1	2
V	262L	61	2.9	525	15.1	30	48.0	2
V	264S	10	39.8	78	20.0	50	36.7	2
D	265H	8	118.9	79	36.1	81	0.5	2
D	265K	10	146.2	107	34.3	84	46.4	2
D	265S	9	106.3	66	28.9	103	13.3	2
D	265Y	3	7.6	33	88.8	62	21.5	2
S	267G	29	8.6	86	0.9	60	18.1	4
S	267H	0	48.4	86	16.8	47	41.1	4
S	267I	0	78.0	83	11.8	50	37.8	4
S	267K	0	73.3	102	14.2	26	47.1	4
H	268D	110	7.8	68	32.4	122	10.7	6
H	268E	110	8.3	126	17.8	100	8.4	8
H	268K	98	17.6	86	10.4	24	112.7	4
E	269N	23	74.0	92	17.0	30	69.4	4
E	269Q	47	3.6	98	3.5	22	82.8	4
D	270A	41	35.5	87	6.0	36	66.5	4
D	270G	0	102.2	108	16.5	37	21.8	4
D	270K	15	465.5	122	8.4	0	462.9	4
D	270M	49	19.2	81	5.2	25	606.9	4
D	270N	28	1185.2	94	8.2	34	103.7	4
P	271T	26	53.9	96	20.4	32	89.1	4
E	272H	73	13.6	157	23.0	53	34.8	2
E	272K	70	8.9	320	20.4	118	28.7	4
E	272L	78	21.1	129	3.9	71	3.1	2
E	272N	90	7.5	110	33.0	71	34.6	2
E	272R	50	2.1	351	3.3	102	1.2	2
V	279A	114	14.6	214	5.0	106	21.2	2
V	279D	87	12.1	367	0.3	98	8.5	2
V	279F	81	4.8	113	14.3	106	10.0	2
V	279G	98	2.4	256	11.6	109	18.0	2
V	279H	79	28.1	173	29.6	127	22.7	6
V	279I	101	1.6	83	1.3	100	21.0	2
V	279K	91	1.5	79	26.1	106	0.7	2
V	279L	96	3.0	78	13.9	100	5.7	2
V	279M	107	8.3	122	3.5	91	10.3	2
V	279N	102	32.0	204	19.5	85	50.2	6
V	279Q	85	28.3	181	8.0	139	8.1	6
V	279R	105	4.8	130	10.7	98	8.7	2
V	279S	94	29.8	242	18.3	122	16.7	6
V	279T	95	15.5	265	6.9	110	12.8	10
V	279W	68	24.8	162	22.8	125	10.5	6

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
V	279Y	82	26.8	139	8.6	144	13.2	6
D	280A	111	44.9	55	50.1	135	28.7	2
D	280K	137	47.6	120	27.2	192	33.5	2
D	280T	108	na	60	12.6	122	8.7	4
E	283A	107	2.1	126	0.2	96	17.9	2
E	283D	84	8.4	110	4.0	92	10.3	2
E	283F	74	0.1	168	38.6	127	20.4	2
E	283G	93	3.1	137	4.4	135	8.5	2
E	283H	87	6.1	206	6.5	123	15.9	2
E	283I	106	1.9	143	5.6	126	12.3	2
E	283K	109	5.8	246	5.1	133	8.0	2
E	283L	92	3.1	126	1.3	120	0.1	2
E	283M	112	7.4	95	13.8	120	2.4	2
E	283N	102	3.8	119	7.4	135	23.4	6
E	283P	97	11.9	136	3.9	118	11.8	4
E	283Q	95	5.3	117	8.0	122	22.9	2
E	283R	102	0.3	282	8.1	144	16.7	2
E	283S	91	1.5	143	11.1	119	12.3	2
E	283T	103	3.2	143	12.4	108	11.0	2
E	283W	90	2.8	117	2.1	159	1.3	2
E	283Y	81	6.7	142	4.1	136	17.2	2
H	285N	93	2.6	202	19.8	113	21.1	4
N	286F	107	7.1	307	32.3	108	16.1	4
K	288N	110	8.7	162	13.2	118	19.1	4
K	288P	45	1.1	119	3.0	116	15.7	2
R	292A	114	2.9	85	67.5	74	21.1	4
R	292E	35	21.4	65	48.5	92	32.1	2
R	292F	73	16.4	64	7.6	101	8.3	2
R	292G	94	2.5	60	35.9	119	32.8	2
R	292I	79	1.5	56	23.6	106	3.7	2
R	292L	96	3.9	68	40.0	123	7.2	2
E	293S	68	7.4	101	23.0	98	0.1	2
E	293V	73	12.5	127	18.0	107	24.0	2
R	301W	5	105.4	119	18.1	49	31.1	4
S	304E	24	32.9	101	8.7	122	11.3	2
T	307A	87	4.4	233	14.5	115	6.5	4
T	307E	88	7.9	492	34.6	81	2.4	4
T	307M	82	5.3	302	23.7	150	6.5	4
Q	311A	106	2.4	139	7.0	89	28.3	2
Q	311D	111	6.0	38	22.2	83	13.6	2
Q	311E	102	1.7	46	17.7	78	0.7	2
Q	311F	89	6.9	64	7.5	212	20.7	2
Q	311G	98	1.9	58	21.5	92	9.6	2
Q	311I	87	8.2	437	9.6	236	11.3	8
Q	311K	80	13.4	165	5.5	170	9.3	6
Q	311L	107	6.7	186	9.2	191	8.1	4



Position and		ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
WT*	Variants*							
Q	311M	97	7.2	387	15.0	252	16.3	6
Q	311N	115	1.9	54	10.5	126	23.3	2
Q	311P	99	8.0	89	300.5	172	67.9	4
Q	311R	96	8.5	14	84.5	170	14.4	2
Q	311S	86	8.8	97	10.5	0	92.8	2
Q	311T	111	10.2	131	14.0	168	23.4	2
Q	311V	108	1.7	558	19.5	240	23.3	4
Q	311W	104	8.2	215	2.8	251	18.7	4
Q	311Y	107	3.0	94	0.3	211	25.0	2
D	312P	97	1.4	546	16.1	148	11.6	2
L	314F	70	7.8	42	41.2	108	5.5	2
L	314I	83	13.0	86	22.7	184	14.2	4
L	314V	65	14.0	21	128.8	179	10.9	4
L	314W	79	11.0	5	80.2	190	2.0	4
L	314Y	87	13.6	64	81.4	238	3.2	4
N	315F	83	12.8	51	38.3	140	15.3	2
N	315K	108	3.5	88	10.2	148	8.2	2
N	315L	112	6.2	103	10.5	145	5.5	2
N	315P	62	10.2	14	289.1	231	9.1	4
N	315R	96	24.4	71	38.3	135	0.4	2
G	316F	54	26.0	34	72.4	81	10.0	2
G	316K	77	28.8	131	8.9	143	8.6	2
K	317P	54	21.5	145	1.6	190	4.7	4
K	317T	99	10.9	49	4.8	118	4.0	2
E	318N	123	7.2	216	7.5	120	7.0	4
E	318P	120	10.8	75	27.1	79	17.0	4
E	318T	109	5.7	304	56.8	118	10.5	4
E	318V	116	3.4	114	21.2	95	11.5	4
K	326W	74	17.9	93	5.7	214	9.7	2
A	327T	4	13.4	54	19.5	7	206.2	2
L	328V	76	3.2	101	6.3	56	13.9	4
P	329Y	53	41.5	107	13.2	25	90.3	4
A	330K	134	9.3	108	51.4	43	23.9	6
A	330R	103	5.5	121	39.4	31	36.6	6
I	332A	100	6.1	96	27.6	130	5.0	4
I	332D	143	3.1	78	33.7	151	11.5	6
I	332E	168	10.6	86	22.2	143	9.8	18
I	332F	97	14.5	108	3.4	149	11.0	4
I	332G	74	19.8	94	1.4	121	11.5	2
I	332H	82	4.3	129	1.1	150	11.5	2
I	332K	12	114.5	146	7.0	67	15.5	2
I	332L	94	0.9	127	2.6	154	5.1	2
I	332M	98	2.2	128	16.1	178	6.6	2
I	332N	95	15.3	92	16.1	137	5.1	4
I	332Q	99	8.4	98	7.9	148	8.5	6
I	332R	12	135.5	114	7.2	88	30.0	2

Position and WT* Variants*		ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
I	332S	96	4.9	263	64.1	177	16.5	2
I	332T	112	7.5	100	5.3	124	4.5	4
I	332V	109	1.1	115	19.5	147	25.1	2
I	332W	81	16.8	123	3.7	150	9.7	2
I	332Y	93	13.1	93	12.3	152	5.3	2
A	339D	131	10.4	80	26.4	183	20.3	6
A	339E	100	0.4	75	4.2	91	0.1	2
A	339F	104	3.3	120	24.6	217	8.5	6
A	339G	107	3.7	67	6.5	118	0.3	2
A	339H	114	23.4	107	10.0	136	0.5	2
A	339I	136	14.6	80	30.6	205	22.8	6
A	339K	129	24.2	83	29.0	201	21.0	6
A	339L	97	8.7	83	9.8	104	8.7	2
A	339M	115	6.8	111	7.2	57	8.8	2
A	339N	110	6.3	146	3.5	240	17.5	4
A	339Q	128	15.8	102	19.8	138	11.0	6
A	339R	117	14.4	82	13.4	128	2.1	2
A	339S	124	13.0	95	23.6	170	22.5	6
A	339T	142	14.2	138	6.2	220	20.2	10
A	339W	92	13.4	156	11.4	243	10.6	6
A	339Y	97	17.0	92	19.8	265	21.9	10
G	341D	62	6.9	52	37.8	172	3.5	2
G	341E	73	6.9	50	18.1	161	3.8	2
G	341F	24	100.8	52	41.9	227	14.7	6
G	341H	54	9.3	65	63.7	245	16.0	6
G	341I	44	15.5	36	17.2	173	5.3	2
G	341K	77	3.9	67	18.9	161	2.6	2
G	341L	64	24.3	54	19.8	171	4.2	2
G	341M	55	39.8	79	9.7	232	11.1	6
G	341N	83	9.1	53	17.0	162	9.5	2
G	341P	35	47.2	127	9.9	228	16.2	6
G	341Q	59	18.7	72	1.0	166	7.7	2
G	341R	59	14.9	78	7.4	168	7.5	2
G	341S	65	26.6	55	12.2	224	9.2	6
G	341T	0	866.0	68	54.0	176	10.0	2
G	341V	65	171.0	69	15.0	213	9.6	4
G	341W	14	25.8	76	8.9	178	8.7	2
G	341Y	26	24.5	54	5.2	184	14.3	2
P	343A	75	18.6	110	37.7	143	5.9	2
P	343D	69	11.5	102	7.9	157	18.3	2
P	343E	65	34.9	345	6.4	157	17.6	2
P	343F	74	20.6	89	13.0	99	1058.4	4
P	343G	75	29.1	110	14.9	244	11.1	6
P	343H	78	33.8	191	1.2	159	3.1	2
P	343I	92	26.8	114	16.7	86	10.5	2
P	343K	74	37.7	232	2.6	185	9.1	2

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
P	343L	63	17.2	125	3.8	127	5.6	2
P	343M	60	35.1	83	13.7	134	18.7	4
P	343N	67	8.9	105	19.9	196	18.2	2
P	343Q	72	0.5	442	14.0	178	3.0	2
P	343R	94	1.3	198	10.4	179	9.9	4
P	343S	84	7.5	101	14.3	207	11.9	6
P	343T	69	4.2	126	16.1	151	4.4	2
P	343V	70	1.9	77	5.3	107	12.0	2
P	343W	48	22.3	56	6.4	194	17.9	2
P	343Y	63	9.9	112	7.2	270	14.4	6
Y	373A	56	3.7	33	15.0	121	28.7	2
Y	373D	74	17.7	74	32.5	227	10.2	6
Y	373E	41	23.6	106	20.8	151	19.5	2
Y	373F	76	2.6	110	12.7	117	1.0	2
Y	373G	39	21.6	38	25.4	75	33.7	2
Y	373H	79	34.2	100	13.9	169	8.2	4
Y	373I	65	9.0	73	14.0	154	6.4	2
Y	373K	76	12.2	70	2.9	246	6.9	6
Y	373L	76	21.4	66	17.0	189	6.2	6
Y	373M	78	1.2	53	25.8	115	3.2	2
Y	373N	64	21.5	61	23.3	148	12.1	2
Y	373Q	63	11.0	55	24.7	158	17.7	2
Y	373R	81	20.5	107	24.1	221	4.0	6
Y	373S	52	3.7	74	1.9	72	24.8	2
Y	373T	70	3.0	82	16.6	151	5.7	2
Y	373V	73	2.1	69	14.3	115	1.1	2
Y	373W	77	23.3	75	18.5	237	20.8	6
S	375R	69	10.9	595	15.9	166	1.1	2
D	376A	111	18.2	155	26.7	180	5.2	2
D	376E	85	12.3	82	29.5	98	13.5	2
D	376F	84	7.3	116	12.6	119	8.6	2
D	376G	73	3.1	135	3.9	164	0.6	2
D	376H	74	16.1	81	18.6	118	5.6	2
D	376I	100	14.1	144	7.2	101	50.5	10
D	376L	88	16.7	78	3.0	142	3.2	2
D	376M	86	21.6	129	1.4	91	15.1	2
D	376N	93	14.5	94	24.2	173	14.9	4
D	376P	85	15.1	203	12.9	153	19.6	6
D	376Q	88	5.0	116	22.7	176	19.9	4
D	376R	95	6.0	103	12.3	166	4.6	2
D	376S	85	21.4	134	27.3	209	25.3	2
D	376T	102	20.0	160	11.2	224	10.1	6
D	376V	112	8.1	251	15.0	210	11.1	12
D	376W	53	22.0	1	1719.9	89	34.8	2
D	376Y	78	4.2	53	25.8	98	39.9	2
I	377G	107	2.4	76	28.8	92	7.6	2

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
I	377K	117	10.0	167	27.7	90	11.3	4
I	377P	99	11.3	98	32.4	245	6.5	4
A	378D	98	6.6	163	13.9	19	91.9	6
A	378N	101	7.1	180	3.0	90	30.7	2
V	379N	96	19.5	74	39.0	204	5.5	4
V	379Q	113	3.2	85	27.3	218	4.1	4
V	379S	80	18.4	86	13.4	137	1.4	2
V	379T	101	16.6	109	14.9	237	2.2	4
E	380A	106	3.2	152	28.8	139	4.2	2
E	380D	102	2.1	73	44.2	78	0.7	2
E	380N	111	7.7	142	19.0	139	7.3	2
E	380S	110	8.5	230	24.1	131	0.1	2
E	380T	100	1.2	172	11.0	115	4.8	2
E	382A	110	6.0	103	7.8	82	29.6	2
E	382D	95	0.8	73	25.7	80	34.2	2
E	382F	121	13.8	222	16.6	67	28.2	6
E	382H	89	17.9	135	2.8	84	20.4	2
E	382I	109	6.4	131	6.2	219	5.4	6
E	382K	96	2.6	153	30.2	92	15.6	4
E	382L	93	16.5	126	11.1	156	7.7	2
E	382M	89	14.6	135	8.6	126	20.3	2
E	382N	99	1.1	137	5.2	69	4.5	2
E	382P	85	16.8	122	22.0	69	14.6	2
E	382Q	105	12.2	162	12.0	140	19.3	2
E	382R	104	8.4	165	2.7	85	3.0	4
E	382S	85	2.9	151	10.0	75	4.1	2
E	382T	89	10.2	172	5.2	109	30.0	2
E	382V	83	32.5	173	6.2	167	0.4	2
E	382W	97	10.5	172	5.2	73	1.9	2
E	382Y	105	11.5	188	14.5	78	3.3	4
G	385E	108	5.5	98	18.8	0	1586.3	2
G	385P	100	3.2	101	23.2	82	15.8	2
Q	386K	96	9.5	115	4.8	266	1.6	4
F	423N	107	8.5	158	2.1	48	27.5	2
S	424H	116	29.8	97	7.3	58	9.3	2
S	424M	91	3.5	60	60.0	75	14.4	2
S	424V	103	9.3	57	35.4	115	10.5	4
S	426D	105	24.3	65	10.0	318	12.7	4
S	426L	91	35.3	117	14.3	193	20.4	4
V	427N	108	5.5	192	5.9	64	23.1	2
H	429A	44	81.7	61	11.4	147	1.9	2
H	429F	70	11.1	77	16.5	288	12.8	4
H	429M	103	0.7	83	12.2	173	3.2	2
E	430A	100	8.3	179	6.6	204	7.1	2
E	430D	96	8.2	44	25.5	190	4.6	4
E	430F	111	13.0	218	19.4	203	8.1	2

Position and Variants*		ADCC	%CV	FcRn	pH6.0	%CV	CDC	%CV	n=
E	430G	97	7.8		131	10.6	252	7.5	6
E	430H	91	7.7		192	8.0	227	4.2	6
E	430I	87	19.3		308	6.6	297	17.2	6
E	430K	66	9.5		131	12.6	203	16.0	4
E	430L	98	33.3		242	8.7	263	10.6	6
E	430M	102	16.1		195	8.7	227	4.6	6
E	430N	82	24.6		171	13.0	162	2.2	2
E	430P	103	13.1		86	16.7	254	23.5	8
E	430Q	79	8.3		320	12.8	97	4.9	8
E	430R	75	7.5		249	6.6	227	7.9	8
E	430S	88	30.1		183	3.4	221	18.5	2
E	430T	82	22.9		137	23.7	249	8.2	6
E	430V	79	35.6		281	7.0	276	17.5	6
E	430W	78	8.3		11	95.0	171	5.4	2
E	430Y	77	44.5		126	12.5	231	na	2
A	431H	81	44.0		151	11.5	236	na	2
A	431K	98	26.6		176	4.4	113	25.0	2
A	431P	62	12.0		29	13.8	210	8.0	2
L	432R	58	23.1		38	15.6	175	13.3	2
L	432S	58	55.4		70	3.6	124	0.2	2
N	434F	na	na		1008	1.4	na	na	4
N	434G	105	17.9		358	20.2	26	128.9	4
N	434H	90	12.9		855	20.4	57	9.1	6
N	434I	81	9.3		6	725.4	73	8.9	2
N	434W	108	6.6		1335	18.8	138	1.7	2
N	434Y	106	7.7		1615	38.8	291	15.5	4
Y	436I	119	18.0		194	24.2	35	8.7	2
Y	436L	112	27.2		172	8.1	90	16.3	4
Y	436T	90	35.0		115	7.2	48	20.3	2
Q	438G	93	32.1		98	14.4	68	26.2	2
Q	438K	99	31.7		169	12.3	116	43.0	2
Q	438L	94	32.5		200	14.7	190	5.5	4
Q	438T	96	37.4		126	9.5	56	26.8	2
Q	438W	93	32.5		164	8.2	126	1.7	2
K	439E	103	28.9		94	9.0	53	40.3	2
K	439H	91	37.3		99	0.9	77	17.8	2
K	439Q	90	38.2		74	0.9	64	26.5	2
S	440A	79	42.3		82	2.0	85	22.5	2
S	440D	82	18.4		72	4.7	99	9.4	2
S	440E	104	4.0		73	2.8	87	35.3	2
S	440F	111	16.1		87	8.2	73	18.5	2
S	440G	106	1.9		101	8.1	83	8.5	2
S	440H	108	2.1		110	2.1	96	18.3	2
S	440I	121	1.9		96	6.2	86	2.6	2
S	440K	116	16.7		132	7.8	71	26.4	4
S	440L	112	6.4		126	2.2	77	4.5	4

WT*	Position and Variants*	ADCC	%CV	FcRn pH6.0	%CV	CDC	%CV	n=
S	440M	84	51.0	80	8.2	81	20.6	2
S	440N	78	34.3	82	25.4	108	15.8	2
S	440Q	73	43.1	81	33.5	132	16.6	2
S	440R	91	11.3	88	16.2	85	29.8	2
S	440T	89	2.1	71	39.0	115	17.4	2
S	440V	85	11.3	98	18.7	110	16.4	2
S	440W	114	17.6	85	13.8	132	14.8	2
S	440Y	109	6.8	100	14.2	224	14.1	16
S	442K	80	51.9	138	4.5	100	11.1	2

Na = not available or not done

\*e.g., L235A indicates an Fc in which the leucine present at Fc amino acid number 235 (according to EU numbering) has been substituted with an alanine residue  
 tested here: the Fc region is an IgG1 Fc, the antibody is anti-CD20 antibody

5

**Table 10 Combination Variants - ADCC**

Variant	Average ADCC as % of wt	CV%	n=
Wild type	100	2.42	2
247A,339D	71.50	0.50	2
247F,339D	134.95	2.54	2
247H,339D	118.45	8.46	4
247I,339D	187.21	20.45	2
247L,339D	156.73	3.30	2
247T,339D	126.46	4.71	2
247Y,339D	121.93	4.58	2
247A,339H	125.35	0.60	2
247F,339H	101.54	4.53	2
247H,339H	114.24	4.91	2
247I,339H	126.94	5.22	2
247L,339H	120.92	2.44	2
247T,339H	97.96	5.71	2
247Y,339H	74.75	2.43	2
247A,339I	96.95	3.05	2
247F,339I	116.53	5.52	2
247H,339I	108.27	2.97	2
247I,339I	-4.07	-47.26	2
247L,339I	109.76	5.95	2
247T,339I	5.48	9.51	2
247Y,339I	100.84	9.58	2
247A,339K	86.90	13.51	2
247F,339K	105.85	10.11	2
247H,339K	97.59	7.67	2
247I,339K	97.41	14.89	2

Variant	Average ADCC as % of wt	CV%	n=
247L,339K	104.36	7.07	2
247T,339K	83.02	6.07	2
247Y,339K	95.79	3.69	2
332E	180.96	0.72	2
247A,339N	83.60	9.91	2
247F,339N	100.36	8.95	2
247H,339N	86.70	7.62	2
247I,339N	119.62	13.07	2
247T,339N	114.38	4.83	4
247Y,339N	113.18	1.50	2
332E	187.19	9.53	2
247A,339Q	69.94	8.45	2
247F,339Q	130.42	2.35	2
247H,339Q	138.96	1.32	2
247I,339Q	146.44	2.27	2
247L,339Q	140.50	4.58	2
247T,339Q	119.70	4.03	2
247Y,339Q	109.40	2.24	2
247A,339R	68.57	8.33	2
247F,339R	114.38	11.85	2
247H,339R	105.46	9.89	2
247I,339R	124.96	16.31	2
247L,339R	119.77	12.03	2
247T,339R	109.42	12.17	2
247Y,339R	98.58	13.09	2
247L,339T	148.48	7.08	4

wt = wild-type

CV% =

n = number of samples measured and averaged

5 **Table 11 Combination Variants with ADCC Enhancement**

Fe Variant	ADCC EC50 (ng/ml)
Wild type	6.8
247L	2.2
330K	3.1
332E	1.5
339T	3.8
247L, 330K	1.5
247L, 332E	0.73
247L, 339T	2.0
330K, 332E	0.73
330K, 339T	2.0

332E, 339T	1.0
247L, 332E, 339T	0.75
247L, 330K, 332E	0.25
247L, 330K, 339T	1.5
330K, 332E, 339T	0.25
247L, 330K, 332E, 339T	0.25

\* ADCC activities were calculated from titration curves

Table 12 Combination Variants

Mutation	ADCC	CV%	FcRn6.0	CV%	CDC	CV%	n=
Wild Type	100		100		100		
247H339D	118	8.5	N/D	N/D	N/D	N/D	4
247L251F330R332E	184	13.3	50	5.9	43	1.3	2
247L251F376I	77	29.7	61	8.7	124	11.3	2
247L332E	168	7.3	78	4.9	65	6.2	6
247L332E376I	137	8.1	74	8.0	76	4.3	4
247T339N	114	4.8	N/D	N/D	N/D	N/D	4
251F332E	147	12.4	72	2.2	196	2.6	6
251F332E376I	136	15.9	68	5.0	160	10.9	4
251F376I	114	8.1	66	2.9	102	9.4	4
256P311I	107	0.2	268	1.2	357	10.0	2
256P314Y332E440Y	146	3.1	86	19.5	190	4.5	2
256P314Y440Y	112	22.6	79	3.0	226	9.4	2
256P332E	174	16.3	121	8.2	189	3.9	4
256P332E440Y	184	25.4	117	6.5	230	10.0	2
256P430Q	109	2.6	150	5.3	354	3.3	4
256P434H	105	1.2	342	3.1	299	2.9	2
256P440Y	169	19.7	122	0.7	247	26.6	2
257I311I	52	7.7	409	3.9	61	6.7	2
257I311I434H	48	12.2	553	4.9	75	8.8	6
257I430Q	26	8.1	304	0.6	55	12.9	4
257I434H	67	7.0	472	6.3	61	14.0	2
268D332E	137	12.4	92	13.7	128	4.1	4
268E332E	178	5.3	88	2.0	160	4.7	4
272R279L	56	0.2	156	1.2	72	5.1	2
279A288N	107	3.9	N/D	N/D	N/D	N/D	4
279A288N311T318V	110	9.0	N/D	N/D	N/D	N/D	4
279A288N318N	110	5.4	N/D	N/D	N/D	N/D	4
279A288N318T	116	5.1	N/D	N/D	N/D	N/D	4
279A288N318V	118	8.8	N/D	N/D	N/D	N/D	4
279A311T318T	110	7.9	N/D	N/D	N/D	N/D	4
288N311T318T	115	9.9	N/D	N/D	N/D	N/D	4
311T318T	107	5.3	N/D	N/D	N/D	N/D	4
314Y332E440Y	156	5.4	82	0.9	155	0.0	2
314Y440Y	125	12.7	78	8.5	108	2.6	2
330K332D	179	2.9	88	8.3	43	0.3	2
330K332E	202	5.6	94	0.0	42	1.0	2
330R332D	152	6.3	88	6.3	44	1.5	2
330R332E	161	4.3	94	2.1	59	4.0	4
332E376I	132	8.6	102	7.6	193	5.2	6
332E376V	139	1.9	132	3.5	261	8.6	6



332E440Y	203	13.0	94	5.7	159	5.9	4
343R345D	121	16.0	143	1.6	291	3.3	2
376V430Q	92	1.5	212	4.2	256	12.2	4
376V430R	84	2.7	188	9.1	191	1.0	2
376V434H	97	3.3	382	3.3	95	6.1	4

Table 13 Combination Variants

Mutation	FcRn6.0	CV%	n=
Wild Type	100		
258D272R	382.8	8.2	2
258D283R	411.1	3.7	2
258D286F	445.0	1.7	2
258D307E	237.1	4.8	2
258D311I	522.0	7.0	2
258D376V	415.1	4.3	2
272R283R	439.3	21.7	2
272R286F	338.4	8.9	2
272R311I	396.1	14.4	2
272R376V	368.7	8.1	2
279D307E	522.4	8.8	2
283R307E	400.2	9.1	2
283R311I	531.3	6.1	2
283R376V	474.8	1.1	2
286F307E	431.2	3.8	2
286F311I	412.3	0.0	2
286F376V	348.9	1.5	2
307E376V	480.1	4.3	2
311I376V	413.5	39.1	2

5

**Example 2 - Characterization of CDC Activity of Variants**

This example describes how CDC activity of various variant Fc regions is determined.

- This assay is carried out using human complement (Quidel Corp., cat#AI 13) on
- 10 15 Ramos (RA #1) cell (ATCC Catalog No. CRL-1596). Ramos cells are cultured in Gibco RPMI1640 media containing 10% FBS at 37°C and 5% CO<sub>2</sub>. The day before the assay, cells are seeded at  $1 \times 10^6$  cells in a T175 flask. The following day, the cells are resuspended to  $3.57 \times 10^5$  cells/ml in RPMI1640 without phenol red containing 1% FBS. Distribute 70 µl cells per well to a Costar 3917 flat bottom plate. For titration curve, IgG
- 15 with a variant Fc region was prepared in a 3-fold serial dilution in RPMI1640 media. For single-point library screening assay, transiently expressed IgG variant in culture supernatant is normalized to 1 µg/ml in mock media. Thirty microliter of variant IgG (i.e., 200 ng/ml final concentration) and 50 µl of human complement (Quidel Corp., cat#A113)

1:5 diluted in RPMI 1640 + 1% FBS is added to the target cell and mixed well by gentle pipetting. The plates are incubated at 37°C in the presence of 5% CO<sub>2</sub> for 1.5 hours. After addition of 15 µl/well of Alamar Blue (Serotec, cat#BUF012B) the incubation continues overnight. Then the fluorescence signal is measured by PerkinElmer's  
 5 EnVision 2100 multilabel reader with excitation at 560 nm and emission at 590 nm.

*ii. Data Analysis,*

All single-point assays are performed in duplicate. Each assay plate contains controls for spontaneous target cell lysis by human complement in the absence of IgG and target cell maximal lysis in the presence of 1% Triton X-100. Three wild type controls  
 10 are included on each assay plate and the CDC assay signal averaged. The background value, obtained from the spontaneous lysis control, is subtracted from each sample. The data are converted from fluorescence signals to percentage of specific-lysis based upon spontaneous and maximal lysis controls. The percentage of specific-lysis is calculated from the following equation: percentage specific lysis = (experimental fluorescence signal  
 15 - spontaneous signal)/(maximal lysis signal - spontaneous signal) X 100. The percentage of Fc variant activity over the average of three wild type controls is then calculated. The percentage activities over wild type for duplicate assay plates are averaged and the standard deviation between individual assay plates is calculated.

20 **Example 3 - Characterization of Binding to FcRn**

This Example describes assays for Fc neonatal receptor (FcRn) binding to IgG with a variant Fc region.

A U-bottom 96-well ELISA plate is coated (Costar) with 50 µl/well of 2 µg/ml Neutravidin (Pierce Biotechnology, Cat#3 1000) in 50 mM Carbonate buffer (pH 9.3) at  
 25 4°C overnight. Unbound NeutraAvidin is removed and the plate is washed three times with PBST (PBS containing 0.1% Tween 20). Fifty microliters of biotin-labeled soluble FcRn at 2.5 µg/ml in PBS is applied per well and incubated at room temperature for 1 hr. Then 75 µl of casein blocking buffer (Pierce Biotechnology, Cat#37528) is added to the plate for 1 hour. The ELISA plate is then washed with PBST and incubated with 50  
 30 µl/well of variant IgG. For the titration curve, IgG to be tested is prepared by a 3-fold serial dilution in FcRn-binding buffer (100 mM NaPO<sub>4</sub>, 0.05% Tween-20, optionally at

different pHs (from 6.0 to 7.4)). For single-point screening, transient expressed variant IgG in culture supernatant is normalized to a final concentration of 50 ng/ml and pH adjusted with FcRn binding buffer to 6.0. In the following steps, FcRn-binding buffer at corresponding pH is used to wash the plate and to dilute reagents. The binding reaction is

5 carried out at room temperature for 1 hr. After three washes, bound IgG is detected by goat (Fab')<sub>2</sub> anti-human-Fab-HRP conjugate for 1 hr. HRP activity is developed in Pierce's HRP substrate (Turbo TMB-ELISA, Cat#34022) for 5-30 minutes. The reaction is stopped by addition of 50 µl of 2 M H<sub>2</sub>SO<sub>4</sub> and the absorbance at 450nm is read with a VMAX microplate reader (Molecular Devices).

10

#### EXAMPLE 4 - Anti-CD20-I332E Fc Variant Human Therapy

*In vitro* studies and murine tumor models (Clynes RA, *et al.* Nat Med. 6:443 (2000)) provide evidence that ADCC plays a role in the anti-tumor effects of anti-CD20 antibodies, such as RITUXAN. Human patients may be treated with anti-CD20-I332E Fc

15 variant antibodies or RITUXAN, in a manner similar to that disclosed in Cartron *et al.*, Blood 99:754 (2002). For example, patients presenting with stage II to IV disease according to the Ann-Arbor classification, having at least one measurable disease site, and low tumor burden according to the GELF criteria, could be treated with a total of four approximately 375 mg/m<sup>2</sup> doses of an anti-CD20-Fc variant or with RITUXAN

20 administered by intravenous infusion (days 1, 8, 15, and 22). The primary efficacy end point is the objective response rate, i.e., the proportion of patients achieving either complete remission (CR), unconfirmed CR (Cru), or partial response (PR) according to criteria recently proposed by an international expert committee. Clinical response may be evaluated at month two (M2). Patients may also be evaluated for progression at 1 year

25 (M12).

The objective response rates at M2 and M12 for patients treated with RITUXAN or anti-CD20-Fc variant can be compared such that the improved ADCC activities provided by an Fc variant may be quantified. This same example could be repeated with other anti-CD20 variants (*see, e.g.,* Tables 1-10 herein).

30 Additionally, the enhanced potency of the variants may permit different routes of administration, less frequent injections, and/or administration of smaller doses. All publications and patents mentioned in the above specification. Various modifications and

variations of the described method and system of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, the invention as claimed should not be unduly limited to such specific embodiments. Indeed,

5 various modifications of the described modes for carrying out the invention which are obvious to those skilled in chemistry, and molecular biology or related fields are intended to be within the scope of the following claims.

**The claims defining the invention are as follows:**

1. An anti-CD20 antibody comprising a light chain and a heavy chain, wherein the amino acid sequence of the light chain consists of SEQ ID NO:29 and the amino acid sequence of the heavy chain consists of SEQ ID NO:31.
- 5 2. The antibody of Claim 1 comprising two light chains and two heavy chains, wherein the amino acid sequence of each light chain consists of SEQ ID NO:29 and the amino acid sequence of each heavy chain consists of SEQ ID NO:31.
3. The antibody of Claim 1 consisting of two light chains and two heavy chains, wherein the amino acid sequence of each light chain consists of SEQ ID NO:29 and the amino acid sequence of each heavy chain consists of SEQ ID NO:31.
- 10 4. A pharmaceutical composition comprising the anti-CD20 antibody of any one of Claims 1-3.
5. The pharmaceutical composition of Claim 4 further comprising a pharmaceutically acceptable carrier.
- 15 6. The use of the anti-CD20 antibody of any one of Claims 1-3 in the preparation of a medicament for the treatment of lymphoma or rheumatoid arthritis.
7. An isolated nucleic acid comprising a nucleic acid selected from the group consisting of:
  - (a) a nucleic acid encoding a heavy chain wherein the amino acid sequence of the heavy chain consists of SEQ ID NO:31; and
  - 20 (b) a nucleic acid encoding a light chain and a heavy chain wherein the amino acid sequence of the light chain consists of SEQ ID NO:29 and wherein the amino acid sequence of the heavy chain consists of SEQ ID NO:31.
8. An expression vector comprising the nucleic acid molecule of Claim 7.
- 25 9. A host cell comprising the vector of Claim 8.
10. The host cell of Claim 9 that is a CHO, NSO, Sp2/0, PER.C6, or HEK293 cell.
11. The host cell of Claim 10 that is a CHO cell.
12. A process of producing an anti-CD20 antibody comprising:
  - (a) culturing a host cell according to Claim 11 under conditions suitable for the expression of the antibody; and
  - 30 (b) purifying the antibody.

2005274905 13 Aug 2009

139

13. An anti-CD20 antibody produced by the process according to Claim 12.

**Dated 12 August, 2009**

**Applied Molecular Evolution, Inc.**

5

**Patent Attorneys for the Applicant/Nominated Person**

**SPRUSON & FERGUSON**

2243782-1

1/8

**FIG. 1**

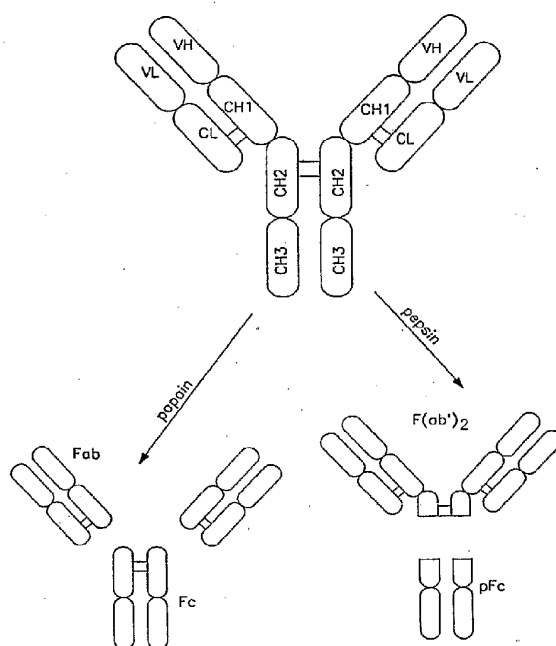


FIG. 2

hum1g91	230	PAPFLGGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWTV	280	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP
hum1g92	PAP--PVAGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
hum1g93	PAPFLGGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
hum1g94	PAPFLAGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
mur1g91	---TVDSVSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
mur1g92A	PAPNLEGGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
mur1g92B	PAPNLEGGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
mur1g93	PPGNILGGPSVFLPPKPKDTLMISRTPEVTCVVVDVSHEDPEVQFNWTV	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	DGVEVHNAKTPREEQNSTYFVVSVLTIVHODMLNGKRYKCKVSNKALP	
hum1g91	330	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	380	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH
hum1g92	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g93	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g94	SSIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g91	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g92A	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g92B	SPTEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g93	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	APIEKTISKAGQPREPQVYTLPPSPREEMTKNQVSLTCLVKGYPVPSDIAY	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g91	430	EALHNHYTQKSLSLSEPK (SEQ ID NO:1)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g92	EALHNHYTQKSLSLSEPK (SEQ ID NO:2)	EALHNHYTQKSLSLSEPK (SEQ ID NO:3)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g93	EALHNHYTQKSLSLSEPK (SEQ ID NO:4)	EALHNHYTQKSLSLSEPK (SEQ ID NO:5)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
hum1g94	EALHNHYTQKSLSLSEPK (SEQ ID NO:6)	EALHNHYTQKSLSLSEPK (SEQ ID NO:7)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g91	EALHNHYTQKSLSLSEPK (SEQ ID NO:8)	EALHNHYTQKSLSLSEPK (SEQ ID NO:9)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g92A	EALHNHYTQKSLSLSEPK (SEQ ID NO:10)	EALHNHYTQKSLSLSEPK (SEQ ID NO:11)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g92B	EALHNHYTQKSLSLSEPK (SEQ ID NO:12)	EALHNHYTQKSLSLSEPK (SEQ ID NO:13)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	
mur1g93	EALHNHYTQKSLSLSEPK (SEQ ID NO:14)	EALHNHYTQKSLSLSEPK (SEQ ID NO:15)	EWESNGQPENNYKTTTPVLDSDGSPFLYSKLTVDKSRWQGNVFCGSVMH	



3/8

**FIG. 3****A. Parental CH2 (SEQ ID NO:9)**

APELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTK  
 PREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALPAPIEKTISKAK

**B. Parental CH3 (SEQ ID NO:10)**

GQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVVFSCSVMEALHNHYTQKSLSLSPGK

**C. Parental. Polypeptide (f allotype) (SEQ ID NO:11)**

ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTISWNSGALTSGVHTFPAVLQSS  
 GLYSLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDKRVKPKSCDKTHTCPPCPAPELLGG  
 PSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYN  
 STYRVVSVLTVLHQDWLNGKEYCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSREE  
 MTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRW  
 QQGNVVFSCSVMEALHNHYTQKSLSLSPGK

**D. Parental Polypeptide (a, z allotype) (SEQ ID NO:12)**

ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTISWNSGALTSGVHTFPAVLQSS  
 GLYSLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDKRVKPKSCDKTHTCPPCPAPELLGG  
 PSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYN  
 STYRVVSVLTVLHQDWLNGKEYCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSRDE  
 LTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRW  
 QQNVVFSCSVMEALHNHYTQKSLSLSPGK

4/8

**FIG. 4****A. (SEQ ID NO: 13)**

EIVLTQSPGT LSLSPGERAT LSCRASSVP YIHVYQQKPG QAPRLLIYAT  
SALASGIPDR FSGSGSGTDF TLTISRLEPE DFAVYQCQW LSNPPTF

**B. (SEQ ID NO: 14)**

EVQLVQSGAE VKKPGESLKI SCKGSGRTFT SYNMHWVRQM PGKGLEWMGA  
IYPLTGDTSY NQKSKLQVTI SADKSISTAY LQWSSLKASD TAMYCARST  
YVGGDWQFDV W

**C. Anti-CD20 LCVR (II) (SEQ ID NO: 15)**

QIVLSQSPAI LSASPGKVT MTCRASSVS YIHWYQQKPG SSPKPMIYAT  
SNLASGVPVR FSGSGSGTSY SLTISRVEAE DAATYQCQW TSNPPTF

**D. Anti-CD20 HCVR (II) (SEQ ID NO: 16)**

QVQLQQPGAE LVKAGASVKM SCKASGYTFT SYNMHWVKQT PGRGLEWIGA  
IYPNGDTSY NQKFKGKATL TADKSSSTAY MQLSSLTSED SAVYYCARST  
YYGGDWYFNV W

**E. Anti-CD20 (I)**

LCVR CDR1 (SEQ ID NO: 17): RASSSVPIYH

LCVR CDR2 (SEQ ID NO: 18): ATSALAS

LCVR CDR3 (SEQ ID NO: 19): QQWLSNPPT

HCVR CDR1 (SEQ ID NO: 20): GRTFTSYNMH

HCVR CDR2 (SEQ ID NO: 21): AIYPLTGDTSYNQKSKL

HCVR CDR3 (SEQ ID NO: 22): STYVGGDWQFDV

**Anti-CD20 (II)**

LCVR CDR1 (SEQ ID NO: 23): RASSSVSYIH

LCVR CDR2 (SEQ ID NO: 24): ATSNLAS

LCVR CDR3 (SEQ ID NO: 25): QQWTSNPPT

HCVR CDR1 (SEQ ID NO: 26): GYTFTSYNMH

HCVR CDR2 (SEQ ID NO: 27): AIYPGNGDTSYNQKFKG

HCVR CDR3 (SEQ ID NO: 28): STYYGGDWYFNV

5/8

**FIG. 5****A. SEQ ID NO:29: AME 133 complete light chain amino acid sequence**

EIVLTQSPGTL<sup>1</sup>SLSPGERATL<sup>2</sup>SCRASSSV<sup>3</sup>PYIH<sup>4</sup>WYQQ<sup>5</sup>KPGQ<sup>6</sup>APRL<sup>7</sup>LIYAT<sup>8</sup>SALASG<sup>9</sup>IPDR<sup>10</sup>  
 FSGSGSGTD<sup>11</sup>FTLTISRLEPEDFA<sup>12</sup>VYYCQ<sup>13</sup>WLSNP<sup>14</sup>PTFGQ<sup>15</sup>GTKLEIK<sup>16</sup>RTVAAP<sup>17</sup>SVFIFPP<sup>18</sup>S  
DEQLKSGTASV<sup>19</sup>VCLLN<sup>20</sup>NFY<sup>21</sup>PREAK<sup>22</sup>VQ<sup>23</sup>WKVD<sup>24</sup>NALQ<sup>25</sup>SGNSQ<sup>26</sup>ESVTEQ<sup>27</sup>DSKD<sup>28</sup>STY<sup>29</sup>SL<sup>30</sup>SSTLT<sup>31</sup>L  
SKADYEKHKVYACEVTHQGLSPVTKSFNRGEC

- Constant Region is underlined

**B. SEQ ID NO:30: AME 133 complete light chain nucleic acid sequence**

GAAATTGTTGTGACGCAGTCTCCAGGCACCCCTGTCTTTGTCTCCAGGGGAAAGAGCCACC  
 CTCTCCTGCAGGGCCAGCTCAAGTGTAACCGTACATCCACTGGTACCAGCAGAAACCTGGC  
 CAGGCTCCAGGCTCCTCATCTATGCCACATCCGCTCTGGCTTCTGGCATCCAGACAGG  
 TTCAGTGGCAGTGGGTCTGGGACAGACTTCACTCTCACCATCAGCAGACTGGAGCCTGAA  
 GATTTTGCAGTGTATTACTGTCAGCAGTGGCTGAGTAACCCACCCACTTTTGGCCAGGGG  
 ACCAAGCTGGAGATCAAACGAACTGTGGCTGCACCATCTGTCTTCATCTTCCCGCATCT  
 GATGAGCAGTTGAAATCTGGAAGTGCCTCTGTTGTGTGCCTGCTGAATAACTTCTATCCC  
 AGAGAGGCCAAAGTACAGTGGGAAGGTGGATAACGCCCTCCAATCGGGTAACTCCCAGGAG  
 AGTGTCACAGAGCAGGACAGCAAGGACAGCACCTACAGCCTCAGCAGCACCCCTGACGCTG  
 AGCAAAGCAGACTACGAGAAACACAAAGTCTACGCTGCGAAGTACCCATCAGGGCCTG  
 AGCTCGCCCCGTCAAAAGAGCTTCAACAGGGGAGAGTGTTAG

6/8

FIG. 6

**A. SEQ ID NO:31: AME 133 (247I/339Q variant) complete heavy chain amino acid sequence**

EVQLVQSGAEVKKPGESLKISCKGSGRTFTSYNMHWVRQMPGKGLEWMGAIYPLTGDTSY  
 NQKSKLQVTISADKSISTAYLQWSSLKASDTAMYYCARSTVVGDWQFDVWGKGTITVTVS  
SASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPAVLQS  
SGLYSLSVTVTPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSCDKTHTCPPCPAPELLG  
GPSVFLFPPKIKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQY  
NSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALPAPIEKTISKQKGPQPREPQVYITLPPSRD  
ELTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTTTPVLDSDGSFFLYSKLTVDKSR  
WQQGNVFCFSVMHEALHNHYTQKSLSLSPGK

- Constant Region is underlined; variants are bolded

**B. SEQ ID NO:32: AME 133 (247I/339Q variant) complete heavy chain nucleic acid sequence**

GAGGTGCAGCTGGTGCAGTCTGGAGCAGAGGTGAAAAAGCCCGGGGAGTCTCTGAAGATC  
 TCCTGTAAGGGTTCTGGCCGTACATTTACAGTTACAATATGCACTGGGTGCGCCAGATG  
 CCCGGGAAAGGCCTGGAGTGGATGGGGCTATTTATCCCTTGACGGGTGATACTTCCTAC  
 AATCAGAACTCGAACTCCAGGTACCATCTCAGCCGACAAGTCCATCAGCACCGCCTAC  
 CTGCAGTGGAGCAGCCTGAAGGCCTCGGACACCGCATGTATTACTGTGCGAGATCGACT  
 TACGTGGGCGGTGACTGGCAGTTCGATGTCTGGGGCAAGGGGACACGGTACCGTCTCC  
 TCAGCCTCCACCAAGGGCCATCGGTCTTCCCTTGGCACCTCTCTCAAGAGCACCTCT  
 GGGGGCACAGCGCCCTGGGTGCCTGGTCAAGGACTACTTCCCGAACCAGGTGACGGTG  
 TCGTGGAATCAGGCGCCCTGACCAGCGCGTGCACACCTTCCCGCTGTCTACAGTCC  
 TCAGGACTCTACTCCCTCAGCAGCGTGGTGAACCGTGCCCTCCAGCAGCTTGGGCACCCAG  
 ACCTACATCTGCAACGTGAATCACAAGCCAGCAACACCAAGGTGGACAAGAAGGTGAG  
 CCCAAATCTTGTGACAAAACCTCACACATGCCACCGTGCCAGCACCTGAACTCCTGGGG  
 GGACCGTCAGTCTTCTCTTCCCCCAAAATCAAGGACACCTCATGATCTCCCGGACC  
 CCTGAGGTACATGCGTGGTGGTGGACGTGAGCCACGAAGACCTGAGGTCAAGTTCAAC  
 TGGTACGTGGACGGCGTGGAGGTGCATAATGCCAAGACAAAGCCGCGGGAGGAGCAGTAC  
 AACAGCAGCTACCGTGTGGTCAAGCGTCTCACCCTCCTGCACAGGACTGGCTGAATGGC  
 AAGGAGTACAAGTGCAAGGTCTCCAACAAAGCCCTCCAGCCCCATCGAGAAAACCATC  
 TCCAAACAGAAAGGGCAGCCCCGAGAACACAGGTGTACACCTGCCCCATCCCGGGAC  
 GAGCTGACCAAGAACAGGTGAGCCTGACCTGCCTGGTCAAAGGCTTCTATCCCGAGGAC  
 ATCGCCGTGGAGTGGGAGAGCAATGGGCAGCCGGAGAACAACTACAAGACCACGCCTCCC  
 GTGCTGGACTCCGACGGCTCCTTCTTCTCTATAGCAAGCTCACCGTGGACAAGAGCAGG  
 TGGCAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACTAC  
 ACGCAGAAGAGCCTCTCCCTGTCTCCGGGTAAATGA

- Variants are bolded and underlined

7/8

FIG. 6

C. SEQ ID NO:33: AME 133 (247I/339D) variant complete heavy chain amino acid sequence

EVQLVQSGAEVKKPGESLKISKSGSRTFTSYNMHWVRQMPGKGLEWMGAIYPLTGDTSY  
 NQKSKLQVTISADKSISTAYLQWSSLKASDTAMYICARSTYVGGDWQFDVWGKGTITVTVS  
 SASTKGPSVFPLAPSSKSTSGGTAAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPAVLQS  
SGLYSLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSCDKTHTCPPCPAPELLG  
GPSVFLFPPKIKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREQY  
NSTYRVVSVLTIVLHQDWLNGKEYKCKVSNKALPAPIEKTISKDKGQPREPQVYITLPPSRD  
ELITKNQVSLTCLVKGFPYPSDIAVEWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSR  
WQQGNVVFSCSVMEALHNHYTQKSLSLSPGK

- Constant Region is underlined; variants are bolded

D. SEQ ID NO:34: AME 133 (247I/339D) variant complete heavy chain nucleic acid sequence

GAGGTGCAGCTGGTGCAGTCTGGAGCAGAGGTGAAAAAGCCCGGGGAGTCTCTGAAGATC  
 TCCTGTAAGGGTTCTGGCCGTACATTTACAGTTACAATATGCACTGGGTGCGCCAGATG  
 CCCGGGAAAGGCCTGGAGTGGATGGGGCTATTTATCCCTTGACGGGTGATACTTCTCTAC  
 AATCAGAAAGTCGAAACTCCAGGTCACCATCTCAGCCGACAAGTCCATCAGCACCGCTAC  
 CTGCAGTGGAGCAGCCTGAAGGCTCGGACACCGCCATGTATTACTGTGCGAGATCGACT  
 TACGTGGGCGGTGACTGGCAGTTCGATGTCTGGGGCAAGGGGACACGGTACCGTCTCC  
 TCAGCCTCCACCAAGGGCCCATCGGTCTTCCCCCTGGCACCCCTCCTCCAAGAGCACCTCT  
 GGGGGCACAGCGGCCCTGGGCTGCCTGGTCAAGGACTACTTCCCCGAACCGGTGACGGTG  
 TCGTGGAAGTCAAGGCGCCTGACCAGCGGCGTGACACCTTCCCGGTCTCTACAGTCC  
 TCAGGACTCTACTCCCTCAGCAGCGTGGTGACCGTCCCTCCAGCAGCTTGGGCACCCAG  
 ACCTACATCTGCAACGTGAATCACAAGCCAGCAACACCAAGGTGGACAAGAAGGTTGAG  
 CCCAAATCTGTGACAAACTCACACATGCCACCGTGCCCGAGCACCTGAAGTCTCTGGGG  
 GGACCGTCAGTCTTCTCTTCCCCCAAAATCAAGGACACCTCATGATCTCCCGGACC  
 CCTGAGGTACATGCGTGGTGGTGACGTGAGCCACGAAGACCTGAGGTCAAGTTCAAC  
 TGGTACGTGGACGGCGTGGAGGTGCATAATGCCAAGACAAAGCCGCGGAGGAGCAGTAC  
 AACAGCACGTACCGTGTGGTCAAGCTCCTCACCGTCTGCACCGAGTGGCTGAATGGC  
 AAGGAGTACAAGTGCAAGGTCTCCAACAAAGCCCTCCAGCCCCATCGAGAAAACCATC  
 TCCAAAGACAAAGGGCAGCCCCGAGAACACAGGTGTACACCTGCCCCCATCCCGGGAC  
 GAGCTGACCAAGAACCAGGTACGCTGACCTGCCTGGTCAAGGCTTCTATCCCAGCGAC  
 ATCGCCGTGGAGTGGGAGAGCAATGGGCGAGCCGAGAGAACATAAGACCACGCTCC  
 GTGCTGGACTCCGACGGCTCTTCTCTCTATAGCAAGCTCACCGTGGACAAGAGCAGG  
 TGGCAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACTAC  
 ACGCAGAAAGAGCTCTCCCTGTCTCCGGGTAAATGA

- Variants are bolded and underlined

8/8

FIG. 6

**E. SEQ ID NO:35: AME 133 (378D) variant complete heavy chain amino acid sequence**

EVQLVQSGAEVKKPGESLKISCKGSGRTFTSYNMHWVRQMPGKGLEWMGAIYPLTGDTSY  
 NQKSKLQVTISADKSISTAYLQWSSLKASDTAMYVCARSTVVGWDWQFDVWGKGTITVTVS  
 SASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTISWNSGALTSGVHTFPAVLQS  
SGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSCDKTHTCPPCPAPPELLG  
GPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQY  
NSTYRVVSVLTVHLQDNLNGKEYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSRD  
ELTKNQVSLTCLVKGFYPSDIDVEWESNGQPENNYKTTTPVLDSDGSFFLYSKLTVDKSR  
WQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

- Constant Region is underlined; variant is bolded

**F. SEQ ID NO:36: AME 133 (378D) variant complete heavy chain nucleic acid sequence**

GAGGTGCAGCTGGTGCAGTCTGGAGCAGAGGTGAAAAAGCCCGGGGAGTCTCTGAAGATC  
 TCCTGTAAGGGTTCTGGCCGTACATTTACCAGTTACAATATGCACTGGGTGCGCCAGATG  
 CCCGGGAAAGGCCTGGAGTGGATGGGGGCTATTTATCCCTTGACGGGTGATACTTCCTAC  
 AATCAGAAGTCGAAACTCCAGGTCAACATCTCAGCCGACAAGTCCATCAGCACCGCCTAC  
 CTGCAGTGGAGCAGCCTGAAGGCCTCGGACACCGCCATGTATTACTGTGCGAGATCGACT  
 TACGTGGGCGGTGACTGGCAGTTCGATGTCTGGGGCAAGGGGACCAAGGTCAACGTCTCC  
 TCAGCCTCCACCAAGGGCCCATCGGTCTTCCCCCTGGCACCTCCTCCAAGAGCACCTCT  
 GGGGGCACAGCGGCCCTGGGCTGCCTGGTCAAGGACTACTTCCCCGAACCGGTGACGGTG  
 TCGTGGAATCAGCGCCCTGACCAGCGCGTGCACACCTTCCCGGCTGTCTACAGTCC  
 TCAGGACTCTACTCCCTCAGCAGCGTGGTGACCGTGCCCTCCAGCAGCTTGGGCACCCAG  
 ACCTACATCTGCAACGTGAATCACAAGCCCAGCAACCAAGGTGGACAAGAAGGTTGAG  
 CCCAAATCTTGTGACAAACTCACACATGCCACCGTGCCAGCACCTGAACCTCTGGGG  
 GGACCGTCAGTCTTCTCTTCCCCCAAACCAAGGACACCTCATGATCTCCCGGACC  
 CCTGAGGTACATGCGTGGTGGTGGACGTGAGCCACGAAGACCCCTGAGGTCAAGTTCAAC  
 TGGTACGTGACGCGGTGGAGGTGCATAATGCCAAGACAAAGCCGCGGGAGGAGCAGTAC  
 AACAGCACGTACCGTGTGTCAGCGTCTCACCGTCTGCACCAAGGACTGGCTGAATGGC  
 AAGGAGTACAAGTGCAAGGTCTCCAACAAAGCCCTCCAGCCCCATCGAGAAAACCATC  
 TCCAAGCCAAAGGGCAGCCCCGAGAACCACAGGTGTACACCTGCCCCCATCCCGGGAC  
 GAGCTGACCAAGAACCAGGTGAGCCTGACCTGCCTGGTCAAAGGCTTCTATCCCAGCGAC  
 ATCGACGTGGAGTGGGAGAGCAATGGGCAGCCGGAGAACAATAACAAGACCACGCCTCCC  
 GTGCTGGACTCCGACGGCTCTTCTTCTCTATAGCAAGCTCACCGTGGACAAGAGCAGG  
 TGGCAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACTAC  
 ACCGAGAAGAGCCTCTCCCTGTCTCCGGGTAAATGA

- Variant is bolded and underlined

X 16877.ST25.txt  
SEQUENCE LISTING

<110> Applied Molecular Evolution (AME)  
 <120> Variant Fc Regions  
 <130> X16877  
 <140> US 60/643,718  
 <141> 2005-01-13  
 <150> US 60/638,442  
 <151> 2004-12-23  
 <150> US 60/609,101  
 <151> 2004-10-09  
 <150> US 60/604,339  
 <151> 2004-08-25  
 <150> US 60/602,953  
 <151> 2004-08-19  
 <150> US 60/598,855  
 <151> 2004-04-08  
 <160> 36  
 <170> PatentIn version 3.3  
 <210> 1  
 <211> 218  
 <212> PRT  
 <213> Homo sapiens  
 <400> 1  
 Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro  
 1 5 10 15  
 Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys  
 20 25 30  
 Val Val Val Asp Val Ser His Glu Asp Pro Glu Val Lys Phe Asn Trp  
 35 40 45  
 Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu  
 50 55 60  
 Glu Gln Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu  
 65 70 75 80  
 His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn  
 85 90 95  
 Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly  
 100 105 110

X 16877.ST25.txt

Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu  
 115 120 125

Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr  
 130 135 140

Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn  
 145 150 155 160

Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe Phe  
 165 170 175

Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn  
 180 185 190

Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr  
 195 200 205

Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys  
 210 215

<210> 2  
 <211> 217  
 <212> PRT  
 <213> Homo sapiens

<400> 2

Pro Ala Pro Pro Val Ala Gly Pro Ser Val Phe Leu Phe Pro Pro Lys  
 1 5 10 15

Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys Val  
 20 25 30

Val Val Asp Val Ser His Glu Asp Pro Glu Val Gln Phe Asn Trp Tyr  
 35 40 45

Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu Glu  
 50 55 60

Gln Phe Asn Ser Thr Phe Arg Val Val Ser Val Leu Thr Val Val His  
 65 70 75 80

Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys  
 85 90 95

Gly Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Thr Lys Gly Gln  
 100 105 110



X 16877.ST25.txt  
 Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu Met  
 115 120 125  
 Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr Pro  
 130 135 140  
 Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn Asn  
 145 150 155 160  
 Tyr Lys Thr Thr Pro Pro Met Leu Asp Ser Asp Gly Ser Phe Phe Leu  
 165 170 175  
 Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn Val  
 180 185 190  
 Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr Gln  
 195 200 205  
 Lys Ser Leu Ser Leu Ser Pro Gly Lys  
 210 215

<210> 3  
 <211> 218  
 <212> PRT  
 <213> Homo sapiens

<400> 3

Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro  
 1 5 10 15  
 Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys  
 20 25 30  
 Val Val Val Asp Val Ser His Glu Asp Pro Glu Val Gln Phe Lys Trp  
 35 40 45  
 Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu  
 50 55 60  
 Glu Gln Phe Asn Ser Thr Phe Arg Val Val Ser Val Leu Thr Val Leu  
 65 70 75 80  
 His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn  
 85 90 95  
 Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Thr Lys Gly  
 100 105 110  
 Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu

Page 3

X 16877.ST25.txt

115                      120                      125

Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr  
 130                      135                      140

Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Ser Gly Gln Pro Glu Asn  
 145                      150                      155                      160

Asn Tyr Asn Thr Thr Pro Pro Met Leu Asp Ser Asp Gly Ser Phe Phe  
                                  165                      170                      175

Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn  
                                  180                      185                      190

Ile Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn Arg Phe Thr  
                                  195                      200                      205

Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys  
                                  210                      215

<210> 4  
 <211> 218  
 <212> PRT  
 <213> Homo sapiens

<400> 4

Pro Ala Pro Glu Phe Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro  
 1                      5                      10                      15

Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys  
                                  20                      25                      30

Val Val Val Asp Val Ser Gln Glu Asp Pro Glu Val Gln Phe Asn Trp  
                                  35                      40                      45

Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu  
                                  50                      55                      60

Glu Gln Phe Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu  
                                  65                      70                      75                      80

His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn  
                                  85                      90                      95

Lys Gly Leu Pro Ser Ser Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly  
                                  100                      105                      110

Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Gln Glu Glu  
                                  115                      120                      125

X 16877.ST25.txt

Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr  
 130 135 140

Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn  
 145 150 155 160

Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe Phe  
 165 170 175

Leu Tyr Ser Arg Leu Thr Val Asp Lys Ser Arg Trp Gln Glu Gly Asn  
 180 185 190

Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr  
 195 200 205

Gln Lys Ser Leu Ser Leu Ser Leu Gly Lys  
 210 215

<210> 5  
 <211> 215  
 <212> PRT  
 <213> Mus sp.

<400> 5

Thr Val Pro Glu Val Ser Ser Val Phe Ile Phe Pro Pro Lys Pro Lys  
 1 5 10 15

Asp Val Leu Thr Ile Thr Leu Thr Pro Lys Val Thr Cys Val Val Val  
 20 25 30

Asp Ile Ser Lys Asp Asp Pro Glu Val Gln Phe Ser Trp Phe Val Asp  
 35 40 45

Asp Val Glu Val His Thr Ala Gln Thr Gln Pro Arg Glu Glu Gln Phe  
 50 55 60

Asn Ser Thr Phe Arg Ser Val Ser Glu Leu Pro Ile Met His Gln Asp  
 65 70 75 80

Cys Leu Asn Gly Lys Glu Phe Lys Cys Arg Val Asn Ser Ala Ala Phe  
 85 90 95

Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Thr Lys Gly Arg Pro Lys  
 100 105 110

Ala Pro Gln Val Tyr Thr Ile Pro Pro Pro Lys Glu Gln Met Ala Lys  
 115 120 125

Page 5

X 16877.ST25.txt

Asp Lys Val Ser Leu Thr Cys Met Ile Thr Asp Phe Phe Pro Glu Asp  
 130 135 140

Ile Thr Val Glu Trp Gln Trp Asn Gly Gln Pro Ala Glu Asn Tyr Lys  
 145 150 155 160

Asn Thr Gln Pro Ile Met Asp Thr Asp Gly Ser Tyr Phe Val Tyr Ser  
 165 170 175

Lys Leu Asn Val Gln Lys Ser Asn Trp Glu Ala Gly Asn Thr Phe Thr  
 180 185 190

Cys Ser Val Leu His Glu Gly Leu His Asn His His Thr Glu Lys Ser  
 195 200 205

Leu Ser His Ser Pro Gly Lys  
 210 215

<210> 6  
 <211> 218  
 <212> PRT  
 <213> Mus sp.

<400> 6

Pro Ala Pro Asn Leu Leu Gly Gly Pro Ser Val Phe Ile Phe Pro Pro  
 1 5 10 15

Lys Ile Lys Asp Val Leu Met Ile Ser Leu Ser Pro Ile Val Thr Cys  
 20 25 30

Val Val Val Asp Val Ser Glu Asp Asp Pro Asp Val Gln Ile Ser Trp  
 35 40 45

Phe Val Asn Asn Val Glu Val His Thr Ala Gln Thr Gln Thr His Arg  
 50 55 60

Glu Asp Tyr Asn Ser Thr Leu Arg Val Val Ser Ala Leu Pro Ile Gln  
 65 70 75 80

His Gln Asp Trp Met Ser Gly Lys Glu Phe Lys Cys Lys Val Asn Asn  
 85 90 95

Lys Asp Leu Pro Ala Pro Ile Glu Arg Thr Ile Ser Lys Pro Lys Gly  
 100 105 110

Ser Val Arg Ala Pro Gln Val Tyr Val Leu Pro Pro Pro Glu Glu Glu  
 115 120 125

X 16877.ST25.txt

Met Thr Lys Lys Gln Val Thr Leu Thr Cys Met Val Thr Asp Phe Met  
 130 135 140

Pro Glu Asp Ile Tyr Val Glu Trp Thr Asn Asn Gly Lys Thr Glu Leu  
 145 150 155 160

Asn Tyr Lys Asn Thr Glu Pro Val Leu Asp Ser Asp Gly Ser Tyr Phe  
 165 170 175

Met Tyr Ser Lys Leu Arg Val Glu Lys Lys Asn Trp Val Glu Arg Asn  
 180 185 190

Ser Tyr Ser Cys Ser Val Val His Glu Gly Leu His Asn His His Thr  
 195 200 205

Thr Lys Ser Phe Ser Arg Thr Pro Gly Lys  
 210 215

<210> 7  
 <211> 218  
 <212> PRT  
 <213> Mus sp.

<400> 7

Pro Ala Pro Asn Leu Glu Gly Gly Pro Ser Val Phe Ile Phe Pro Pro  
 1 5 10 15

Asn Ile Lys Asp Val Leu Met Ile Ser Leu Thr Pro Lys Val Thr Cys  
 20 25 30

Val Val Val Asp Val Ser Glu Asp Asp Pro Asp Val Gln Ile Ser Trp  
 35 40 45

Phe Val Asn Asn Val Glu Val His Thr Ala Gln Thr Gln Thr His Arg  
 50 55 60

Glu Asp Tyr Asn Ser Thr Ile Arg Val Val Ser His Leu Pro Ile Gln  
 65 70 75 80

His Gln Asp Trp Met Ser Gly Lys Glu Phe Lys Cys Lys Val Asn Asn  
 85 90 95

Lys Asp Leu Pro Ser Pro Ile Glu Arg Thr Ile Ser Lys Pro Lys Gly  
 100 105 110

Leu Val Arg Ala Pro Gln Val Tyr Thr Leu Pro Pro Pro Ala Glu Gln  
 115 120 125

Leu Ser Arg Lys Asp Val Ser Leu Thr Cys Leu Val Val Gly Phe Asn

Page 7

Page 8

X 16877.ST25.txt

Ser Glu Ala Ile Ser Val Glu Trp Glu Arg Asn Gly Glu Leu Glu Gln  
 145 150 155 160

Asp Tyr Lys Asn Thr Pro Pro Ile Leu Asp Ser Asp Gly Thr Tyr Phe  
 165 170 175

Leu Tyr Ser Lys Leu Thr Val Asp Thr Asp Ser Trp Leu Gln Gly Glu  
 180 185 190

Ile Phe Thr Cys Ser Val Val His Glu Ala Leu His Asn His His Thr  
 195 200 205

Gln Lys Asn Leu Ser Arg Ser Pro Gly Lys  
 210 215

<210> 9  
 <211> 110  
 <212> PRT  
 <213> Homo sapiens

<400> 9

Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro Lys  
 1 5 10 15

Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys Val  
 20 25 30

Val Val Asp Val Ser His Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr  
 35 40 45

Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu Glu  
 50 55 60

Gln Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu His  
 65 70 75 80

Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys  
 85 90 95

Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys  
 100 105 110

<210> 10  
 <211> 107  
 <212> PRT  
 <213> Homo sapiens

<400> 10

X 16877.ST25.txt

Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu  
 1 5 10 15

Glu Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe  
 20 25 30

Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu  
 35 40 45

Asn Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe  
 50 55 60

Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly  
 65 70 75 80

Asn Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr  
 85 90 95

Thr Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys  
 100 105

<210> 11  
 <211> 330  
 <212> PRT  
 <213> Homo sapiens  
 <400> 11

Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu Ala Pro Ser Ser Lys  
 1 5 10 15

Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys Leu Val Lys Asp Tyr  
 20 25 30

Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser Gly Ala Leu Thr Ser  
 35 40 45

Gly Val His Thr Phe Pro Ala Val Leu Gln Ser Ser Gly Leu Tyr Ser  
 50 55 60

Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser Leu Gly Thr Gln Thr  
 65 70 75 80

Tyr Ile Cys Asn Val Asn His Lys Pro Ser Asn Thr Lys Val Asp Lys  
 85 90 95

Arg Val Glu Pro Lys Ser Cys Asp Lys Thr His Thr Cys Pro Pro Cys  
 100 105 110

Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro



X 16877.ST25.txt

115 120 125

Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys  
130 135 140

Val Val Val Asp Val Ser His Glu Asp Pro Glu Val Lys Phe Asn Trp  
145 150 155 160

Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu  
165 170 175

Glu Gln Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu  
180 185 190

His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Tyr Cys Lys Val Ser Asn  
195 200 205

Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly  
210 215 220

Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu  
225 230 235 240

Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr  
245 250 255

Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn  
260 265 270

Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe Phe  
275 280 285

Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn  
290 295 300

Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr  
305 310 315 320

Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys  
325 330

<210> 12  
 <211> 329  
 <212> PRT  
 <213> Homo sapiens

<400> 12

Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu Ala Pro Ser Ser Lys  
1 5 10 15

X 16877.ST25.txt

Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys Leu Val Lys Asp Tyr  
 20 25 30  
 Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser Gly Ala Leu Thr Ser  
 35 40 45  
 Gly Val His Thr Phe Pro Ala Val Leu Gln Ser Ser Gly Leu Tyr Ser  
 50 55 60  
 Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser Leu Gly Thr Gln Thr  
 65 70 75 80  
 Tyr Ile Cys Asn Val Asn His Lys Pro Ser Asn Thr Lys Val Asp Lys  
 85 90 95  
 Lys val Glu Pro Lys Ser Cys Asp Lys Thr His Thr Cys Pro Pro Cys  
 100 105 110  
 Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro  
 115 120 125  
 Lys Pro Lys Asp Thr Leu Met Ile Ser Arg Thr Pro Glu Val Thr Cys  
 130 135 140  
 val val val Asp Val Ser His Glu Asp Pro Glu Val Lys Phe Asn Trp  
 145 150 155 160  
 Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu  
 165 170 175  
 Glu Gln Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu  
 180 185 190  
 His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn  
 195 200 205  
 Lys Ala Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly  
 210 215 220  
 Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Asp Glu  
 225 230 235 240  
 Leu Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr  
 245 250 255  
 Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn  
 260 265 270

Page 12

X 16877.ST25.txt

Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe Phe  
 275 280 285

Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Asn Val  
 290 295 300

Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr Gln  
 305 310 315 320

Lys Ser Leu Ser Leu Ser Pro Gly Lys  
 325

<210> 13  
 <211> 97  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 13

Glu Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro Gly  
 1 5 10 15

Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Ser Ser Val Pro Tyr Ile  
 20 25 30

His Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu Leu Ile Tyr  
 35 40 45

Ala Thr Ser Ala Leu Ala Ser Gly Ile Pro Asp Arg Phe Ser Gly Ser  
 50 55 60

Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu Glu Pro Glu  
 65 70 75 80

Asp Phe Ala Val Tyr Tyr Cys Gln Gln Trp Leu Ser Asn Pro Pro Thr  
 85 90 95

Phe

<210> 14  
 <211> 111  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

X 16877.ST25.txt

&lt;400&gt; 14

Glu Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Glu  
 1 5 10 15  
 Ser Leu Lys Ile Ser Cys Lys Gly Ser Gly Arg Thr Phe Thr Ser Tyr  
 20 25 30  
 Asn Met His Trp Val Arg Gln Met Pro Gly Lys Gly Leu Glu Trp Met  
 35 40 45  
 Gly Ala Ile Tyr Pro Leu Thr Gly Asp Thr Ser Tyr Asn Gln Lys Ser  
 50 55 60  
 Lys Leu Gln Val Thr Ile Ser Ala Asp Lys Ser Ile Ser Thr Ala Tyr  
 65 70 75 80  
 Leu Gln Trp Ser Ser Leu Lys Ala Ser Asp Thr Ala Met Tyr Tyr Cys  
 85 90 95  
 Ala Arg Ser Thr Tyr Val Gly Gly Asp Trp Gln Phe Asp Val Trp  
 100 105 110

&lt;210&gt; 15

&lt;211&gt; 97

&lt;212&gt; PRT

&lt;213&gt; Artificial

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 15

Gln Ile Val Leu Ser Gln Ser Pro Ala Ile Leu Ser Ala Ser Pro Gly  
 1 5 10 15  
 Glu Lys Val Thr Met Thr Cys Arg Ala Ser Ser Ser Val Ser Tyr Ile  
 20 25 30  
 His Trp Phe Gln Gln Lys Pro Gly Ser Ser Pro Lys Pro Trp Ile Tyr  
 35 40 45  
 Ala Thr Ser Asn Leu Ala Ser Gly Val Pro Val Arg Phe Ser Gly Ser  
 50 55 60  
 Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile Ser Arg Val Glu Ala Glu  
 65 70 75 80  
 Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Trp Thr Ser Asn Pro Pro Thr  
 85 90 95

Phe X 16877.ST25.txt

<210> 16  
 <211> 111  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 16

Gln Val Gln Leu Gln Gln Pro Gly Ala Glu Leu Val Lys Ala Gly Ala  
 1 5 10 15

Ser Val Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Ser Tyr  
 20 25 30

Asn Met His Trp Val Lys Gln Thr Pro Gly Arg Gly Leu Glu Trp Ile  
 35 40 45

Gly Ala Ile Tyr Pro Gly Asn Gly Asp Thr Ser Tyr Asn Gln Lys Phe  
 50 55 60

Lys Gly Lys Ala Thr Leu Thr Ala Asp Lys Ser Ser Ser Thr Ala Tyr  
 65 70 75 80

Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr Cys  
 85 90 95

Ala Arg Ser Thr Tyr Tyr Gly Gly Asp Trp Tyr Phe Asn Val Trp  
 100 105 110

<210> 17  
 <211> 10  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 17

Arg Ala Ser Ser Ser Val Pro Tyr Ile His  
 1 5 10

<210> 18  
 <211> 7  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

X 16877.ST25.txt

&lt;400&gt; 18

Ala Thr Ser Ala Leu Ala Ser  
1 5

&lt;210&gt; 19

&lt;211&gt; 9

&lt;212&gt; PRT

&lt;213&gt; Artificial

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 19

Gln Gln Trp Leu Ser Asn Pro Pro Thr  
1 5

&lt;210&gt; 20

&lt;211&gt; 10

&lt;212&gt; PRT

&lt;213&gt; Artificial

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 20

Gly Arg Thr Phe Thr Ser Tyr Asn Met His  
1 5 10

&lt;210&gt; 21

&lt;211&gt; 17

&lt;212&gt; PRT

&lt;213&gt; Artificial

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 21

Ala Ile Tyr Pro Leu Thr Gly Asp Thr Ser Tyr Asn Gln Lys Ser Lys  
1 5 10 15

Leu

&lt;210&gt; 22

&lt;211&gt; 12

&lt;212&gt; PRT

&lt;213&gt; Artificial

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 22

Ser Thr Tyr Val Gly Gly Asp Trp Gln Phe Asp Val  
1 5 10

Page 16

X 16877.ST25.txt

<210> 23  
<211> 10  
<212> PRT  
<213> Artificial  
  
<220>  
<223> Synthetic Construct  
  
<400> 23  
Arg Ala Ser Ser Ser Val Ser Tyr Ile His  
1 5 10

<210> 24  
<211> 7  
<212> PRT  
<213> Artificial  
  
<220>  
<223> Synthetic Construct  
  
<400> 24  
Ala Thr Ser Asn Leu Ala Ser  
1 5

<210> 25  
<211> 9  
<212> PRT  
<213> Artificial  
  
<220>  
<223> Synthetic Construct  
  
<400> 25  
Gln Gln Trp Thr Ser Asn Pro Pro Thr  
1 5

<210> 26  
<211> 10  
<212> PRT  
<213> Artificial  
  
<220>  
<223> Synthetic Construct  
  
<400> 26  
Gly Tyr Thr Phe Thr Ser Tyr Asn Met His  
1 5 10

<210> 27  
<211> 17  
<212> PRT  
<213> Artificial  
  
<220>

Page 17

X 16877.ST25.txt

<223> Synthetic Construct

<400> 27

Ala Ile Tyr Pro Gly Asn Gly Asp Thr Ser Tyr Asn Gln Lys Phe Lys  
 1 5 10 15

Gly

<210> 28  
 <211> 12  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 28

Ser Thr Tyr Tyr Gly Gly Asp Trp Tyr Phe Asn Val  
 1 5 10

<210> 29  
 <211> 213  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 29

Glu Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro Gly  
 1 5 10 15

Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Ser Ser Val Pro Tyr Ile  
 20 25 30

His Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu Leu Ile Tyr  
 35 40 45

Ala Thr Ser Ala Leu Ala Ser Gly Ile Pro Asp Arg Phe Ser Gly Ser  
 50 55 60

Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu Glu Pro Glu  
 65 70 75 80

Asp Phe Ala Val Tyr Tyr Cys Gln Gln Trp Leu Ser Asn Pro Pro Thr  
 85 90 95

Phe Gly Gln Gly Thr Lys Leu Glu Ile Lys Arg Thr Val Ala Ala Pro  
 100 105 110



X 16877.ST25.txt

Ser Val Phe Ile Phe Pro Pro Ser Asp Glu Gln Leu Lys Ser Gly Thr  
 115 120 125

Ala Ser Val Val Cys Leu Leu Asn Asn Phe Tyr Pro Arg Glu Ala Lys  
 130 135 140

Val Gln Trp Lys Val Asp Asn Ala Leu Gln Ser Gly Asn Ser Gln Glu  
 145 150 155 160

Ser Val Thr Glu Gln Asp Ser Lys Asp Ser Thr Tyr Ser Leu Ser Ser  
 165 170 175

Thr Leu Thr Leu Ser Lys Ala Asp Tyr Glu Lys His Lys Val Tyr Ala  
 180 185 190

Cys Glu Val Thr His Gln Gly Leu Ser Ser Pro Val Thr Lys Ser Phe  
 195 200 205

Asn Arg Gly Glu Cys  
 210

<210> 30  
 <211> 642  
 <212> DNA  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 30  
 gaaattgtgt tgacgcagtc tccaggcacc ctgtctttgt ctccagggga aagagccacc 60  
 ctctcctgca gggccagctc aagtgtaccg tacatccact ggtaccagca gaaacctggc 120  
 caggctccca ggctcctcat ctatgccaca tccgctctgg cttctggcat cccagacagg 180  
 ttcagtggca gtgggtctgg gacagacttc actctacca tcagcagact ggagcctgaa 240  
 gattttgcag tgtattactg tcagcagtgg ctgagtaacc caccactttt tggccagggg 300  
 accaagctgg agatcaaacg aactgtggct gcaccatctg tcttcatctt cccgccatct 360  
 gatgagcagt tgaatctgg aactgcctct gttgtgtgcc tgctgaataa cttctatccc 420  
 agagaggcca aagtacagtg gaagggtgat aacgccctcc aatcgggtaa ctcccaggag 480  
 agtgtcacag agcaggacag caaggacagc acctacagcc tcagcagcac cctgacgctg 540  
 agcaaagcag actacgagaa acacaaagtc tacgcctgcg aagtcacca tcagggcctg 600  
 agctcgcccc tcacaaagag cttcaacagg ggagagtgtt ag 642

<210> 31  
 <211> 451  
 <212> PRT  
 <213> Artificial

X 16877.ST25.txt

&lt;220&gt;

&lt;223&gt; Synthetic Construct

&lt;400&gt; 31

Glu Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Glu  
 1 5 10 15  
 Ser Leu Lys Ile Ser Cys Lys Gly Ser Gly Arg Thr Phe Thr Ser Tyr  
 20 25 30  
 Asn Met His Trp Val Arg Gln Met Pro Gly Lys Gly Leu Glu Trp Met  
 35 40 45  
 Gly Ala Ile Tyr Pro Leu Thr Gly Asp Thr Ser Tyr Asn Gln Lys Ser  
 50 55 60  
 Lys Leu Gln Val Thr Ile Ser Ala Asp Lys Ser Ile Ser Thr Ala Tyr  
 65 70 75 80  
 Leu Gln Trp Ser Ser Leu Lys Ala Ser Asp Thr Ala Met Tyr Tyr Cys  
 85 90 95  
 Ala Arg Ser Thr Tyr Val Gly Gly Asp Trp Gln Phe Asp Val Trp Gly  
 100 105 110  
 Lys Gly Thr Thr Val Thr Val Ser Ser Ala Ser Thr Lys Gly Pro Ser  
 115 120 125  
 Val Phe Pro Leu Ala Pro Ser Ser Lys Ser Thr Ser Gly Gly Thr Ala  
 130 135 140  
 Ala Leu Gly Cys Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val  
 145 150 155 160  
 Ser Trp Asn Ser Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala  
 165 170 175  
 Val Leu Gln Ser Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val  
 180 185 190  
 Pro Ser Ser Ser Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His  
 195 200 205  
 Lys Pro Ser Asn Thr Lys Val Asp Lys Lys Val Glu Pro Lys Ser Cys  
 210 215 220  
 Asp Lys Thr His Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly  
 225 230 235 240

Page 20

X 16877.ST25.txt

Gly Pro Ser Val Phe Leu Phe Pro Pro Lys Ile Lys Asp Thr Leu Met  
 245 250 255  
 Ile Ser Arg Thr Pro Glu Val Thr Cys Val Val Val Asp Val Ser His  
 260 265 270  
 Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr Val Asp Gly Val Glu Val  
 275 280 285  
 His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Tyr Asn Ser Thr Tyr  
 290 295 300  
 Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp Leu Asn Gly  
 305 310 315 320  
 Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys Ala Leu Pro Ala Pro Ile  
 325 330 335  
 Glu Lys Thr Ile Ser Lys Gln Lys Gly Gln Pro Arg Glu Pro Gln Val  
 340 345 350  
 Tyr Thr Leu Pro Pro Ser Arg Asp Glu Leu Thr Lys Asn Gln Val Ser  
 355 360 365  
 Leu Thr Cys Leu Val Lys Gly Phe Tyr Pro Ser Asp Ile Ala Val Glu  
 370 375 380  
 Trp Glu Ser Asn Gly Gln Pro Glu Asn Asn Tyr Lys Thr Thr Pro Pro  
 385 390 395 400  
 Val Leu Asp Ser Asp Gly Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val  
 405 410 415  
 Asp Lys Ser Arg Trp Gln Gln Gly Asn Val Phe Ser Cys Ser Val Met  
 420 425 430  
 His Glu Ala Leu His Asn His Tyr Thr Gln Lys Ser Leu Ser Leu Ser  
 435 440 445  
 Pro Gly Lys  
 450

<210> 32  
 <211> 1356  
 <212> DNA  
 <213> Artificial

&lt;220&gt;

Page 21

X 16877.ST25.txt

<223> Synthetic Construct

<400> 32  
gaggtgcagc tgggtgcagtc tggagcagag gtgaaaaagc ccggggagtc tctgaagatc 60  
tcctgtaagg gtcttgccg tacatttacc agttacaata tgactgggt gcgccagatg 120  
cccggaag gcctggagtg gatggggct atttatccct tgacgggtga tacttcctac 180  
aatcagaagt cgaaactcca ggtcaccatc tcagccgaca agtccatcag caccgcctac 240  
ctgcagtga gcagcctgaa ggccctcgac accgccatgt attactgtgc gagatcgact 300  
tacgtggcg gtgactggca gtctgatgtc tggggcaagg ggaccacggc caccgtctcc 360  
tcagcctcca ccaagggcc atcgggtctc cccctggcac cctcctcaa gagcacctct 420  
gggggcacag cggccctggc ctgectgtgc aaggactact tcccgaacc ggtgacggtg 480  
tcgtggaact caggcgccct gaccagcggc gtgcacacct tccggctgt cctacagtcc 540  
tcaggactct actcctcag cagcgtggtg accgtgccct ccagcagctt gggcaccag 600  
acctacatct gcaacgtgaa tcacaagccc agcaacacca aggtggacaa gaaggttgag 660  
cccaaatctt gtgacaaaac tcacacatgc ccaccgtgcc cagcacctga actcctgggg 720  
ggaccgtcag tcttctctt cccccaaaa atcaaggaca cctcatgat ctccgggacc 780  
cctgaggtca catgcgtggt ggtggacgtg agccacgaag accctgaggt caagttcaac 840  
tgggtacgtg acggcgtgga ggtgcataat gccaaagaca agccgcggga ggagcagtac 900  
aacagcacgt accgtgtggt cagcgtcttc accgtctgc accaggactg gctgaatggc 960  
aaggagtaca agtgcaagg ctccaacaaa gccctcccag ccccatcga gaaaaccatc 1020  
tcacaacaga aaggcgagcc ccgagaacca cagggtgata cctgcccc atcccgggac 1080  
gagctgacca agaaccaggt cagcctgacc tgcttggtca aaggcttcta tcccagcgac 1140  
atcgccgtgg agtgggagag caatgggcag ccggagaaca actacaagac cagcctccc 1200  
gtgctggact ccgacggctc cttcttctc tatagcaagc tcaccgtgga caagagcagg 1260  
tggcagcagg ggaacgtctt ctcagtctcc gtgatgcatg aggcctctgca caaccactac 1320  
acgcagaaga gcctctcct gtctccgggt aaatga 1356

<210> 33  
<211> 451  
<212> PRT  
<213> Artificial

<220>  
<223> Synthetic Construct

<400> 33  
Glu Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Glu  
1 5 10 15

X 16877.ST25.txt

Ser Leu Lys Ile Ser Cys Lys Gly Ser Gly Arg Thr Phe Thr Ser Tyr  
 20 25 30

Asn Met His Trp Val Arg Gln Met Pro Gly Lys Gly Leu Glu Trp Met  
 35 40 45

Gly Ala Ile Tyr Pro Leu Thr Gly Asp Thr Ser Tyr Asn Gln Lys Ser  
 50 55 60

Lys Leu Gln Val Thr Ile Ser Ala Asp Lys Ser Ile Ser Thr Ala Tyr  
 65 70 75 80

Leu Gln Trp Ser Ser Leu Lys Ala Ser Asp Thr Ala Met Tyr Tyr Cys  
 85 90 95

Ala Arg Ser Thr Tyr Val Gly Gly Asp Trp Gln Phe Asp Val Trp Gly  
 100 105 110

Lys Gly Thr Thr Val Thr Val Ser Ser Ala Ser Thr Lys Gly Pro Ser  
 115 120 125

Val Phe Pro Leu Ala Pro Ser Ser Lys Ser Thr Ser Gly Gly Thr Ala  
 130 135 140

Ala Leu Gly Cys Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val  
 145 150 155 160

Ser Trp Asn Ser Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala  
 165 170 175

Val Leu Gln Ser Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val  
 180 185 190

Pro Ser Ser Ser Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His  
 195 200 205

Lys Pro Ser Asn Thr Lys Val Asp Lys Lys Val Glu Pro Lys Ser Cys  
 210 215 220

Asp Lys Thr His Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly  
 225 230 235 240

Gly Pro Ser Val Phe Leu Phe Pro Pro Lys Ile Lys Asp Thr Leu Met  
 245 250 255

Ile Ser Arg Thr Pro Glu Val Thr Cys Val Val Val Asp Val Ser His  
 260 265 270

X 16877.ST25.txt

Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr Val Asp Gly Val Glu Val  
 275 280 285

His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Tyr Asn Ser Thr Tyr  
 290 295 300

Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp Leu Asn Gly  
 305 310 315 320

Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys Ala Leu Pro Ala Pro Ile  
 325 330 335

Glu Lys Thr Ile Ser Lys Asp Lys Gly Gln Pro Arg Glu Pro Gln Val  
 340 345 350

Tyr Thr Leu Pro Pro Ser Arg Asp Glu Leu Thr Lys Asn Gln Val Ser  
 355 360 365

Leu Thr Cys Leu Val Lys Gly Phe Tyr Pro Ser Asp Ile Ala Val Glu  
 370 375 380

Trp Glu Ser Asn Gly Gln Pro Glu Asn Asn Tyr Lys Thr Thr Pro Pro  
 385 390 395 400

Val Leu Asp Ser Asp Gly Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val  
 405 410 415

Asp Lys Ser Arg Trp Gln Gln Gly Asn Val Phe Ser Cys Ser Val Met  
 420 425 430

His Glu Ala Leu His Asn His Tyr Thr Gln Lys Ser Leu Ser Leu Ser  
 435 440 445

Pro Gly Lys  
 450

<210> 34  
 <211> 1356  
 <212> DNA  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 34  
 gaggtgcagc tgggtgcagtc tggagcagag gtgaaaaagc ccggggagtc tctgaagatc 60  
 tcctgtaagg gttctggccg tacatttacc agttacaata tgcactgggt gcgccagatg 120  
 cccgggaaag gcctggagtg gatgggggct atttatccct tgacgggtga tacttcctac 180  
 aatcagaagt cgaaactcca ggtcaccatc tcagccgaca agtccatcag caccgcctac 240

Page 24

X 16877.ST25.txt

```

ctgcagtga gcagcctgaa ggcctcggac accgccatgt attactgtgc gagatcgact 300
tacgtgggcg gtgactggca gttcgaatgc tggggcaagg ggaccacggt caccgtctcc 360
tcagcctcca ccaagggccc atcgggtctt cccctggcac cctcctccaa gagcacctct 420
gggggcacag cggccctggg ctgcctgggc aaggactact tccccgaacc ggtgacgggtg 480
tcgtggaact caggcgcctt gaccagcggc gtgcacacct tcccggtgtg cctacagtcc 540
tcaggactct actccctcag cagcgtgggt accgtgccct ccagcagctt gggcaccacg 600
acctacatct gcaacgtgaa tcacaagccc agcaacacca aggtggacaa gaagggtgag 660
cccaaattct gtgacaaaac tcacacatgc ccaccgtgcc cagcacctga actcctgggg 720
ggaccgtcag tcttctctt ccccccaaaa atcaaggaca cctcatgat ctcccgacc 780
cctgaggtca catgcgtggt ggtggacgtg agccacgaag accctgaggt caagttcaac 840
tggtacgtgg acggcgtgga ggtgcataat gccaaagaca agccgcggga ggagcagtac 900
aacagcacgt accgtgtggt cagcgtcttc accgtcctgc accaggactg gctgaatggc 960
aaggagtaca agtgcaaggt ctccaacaaa gccctcccag ccccatcga gaaaaccatc 1020
tccaaagaca aagggcagcc ccgagaacca caggtgtaca cctgcccc atccccggac 1080
gagctgacca agaaccaggt cagcctgacc tgcttggtca aaggttcta tcccagcagc 1140
atcgccgtgg agtgggagag caatgggcag ccggagaaca actacaagac cagcctccc 1200
gtgctggact ccgacggctc cttctctctc tatagcaagc tcaccgtgga caagagcagg 1260
tgggcagcagg ggaacgtctt ctcatgtccc gtgatgcatg aggcctctga caaccactac 1320
acgcagaaga gcctctcctt gtctccgggt aatatga 1356

```

<210> 35  
 <211> 451  
 <212> PRT  
 <213> Artificial

<220>  
 <223> Synthetic Construct

<400> 35

Glu Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Glu  
 1 5 10 15

Ser Leu Lys Ile Ser Cys Lys Gly Ser Gly Arg Thr Phe Thr Ser Tyr  
 20 25 30

Asn Met His Trp Val Arg Gln Met Pro Gly Lys Gly Leu Glu Trp Met  
 35 40 45

Gly Ala Ile Tyr Pro Leu Thr Gly Asp Thr Ser Tyr Asn Gln Lys Ser  
 50 55 60

Page 25

X 16877.ST25.txt

Lys Leu Gln Val Thr Ile Ser Ala Asp Lys Ser Ile Ser Thr Ala Tyr  
 65 70 75 80  
 Leu Gln Trp Ser Ser Leu Lys Ala Ser Asp Thr Ala Met Tyr Tyr Cys  
 85 90 95  
 Ala Arg Ser Thr Tyr Val Gly Gly Asp Trp Gln Phe Asp Val Trp Gly  
 100 105 110  
 Lys Gly Thr Thr Val Thr Val Ser Ser Ala Ser Thr Lys Gly Pro Ser  
 115 120 125  
 Val Phe Pro Leu Ala Pro Ser Ser Lys Ser Thr Ser Gly Gly Thr Ala  
 130 135 140  
 Ala Leu Gly Cys Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val  
 145 150 155 160  
 Ser Trp Asn Ser Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala  
 165 170 175  
 Val Leu Gln Ser Ser Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val  
 180 185 190  
 Pro Ser Ser Ser Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His  
 195 200 205  
 Lys Pro Ser Asn Thr Lys Val Asp Lys Lys Val Glu Pro Lys Ser Cys  
 210 215 220  
 Asp Lys Thr His Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly  
 225 230 235 240  
 Gly Pro Ser Val Phe Leu Phe Pro Pro Lys Pro Lys Asp Thr Leu Met  
 245 250 255  
 Ile Ser Arg Thr Pro Glu Val Thr Cys Val Val Val Asp Val Ser His  
 260 265 270  
 Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr Val Asp Gly Val Glu Val  
 275 280 285  
 His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Tyr Asn Ser Thr Tyr  
 290 295 300  
 Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp Leu Asn Gly  
 305 310 315 320

Page 26



Lys Glu Tyr Lys Cys 325 Lys Val Ser Asn Lys 330 Ala Leu Pro Ala Pro Ile 335  
Glu Lys Thr Ile 340 Ser Lys Ala Lys Gly 345 Gln Pro Arg Glu Pro Gln Val 350  
Tyr Thr Leu 355 Pro Pro Ser Arg Asp 360 Glu Leu Thr Lys Asn 365 Gln Val Ser  
Leu Thr Cys Leu Val Lys Gly 375 Phe Tyr Pro Ser Asp 380 Ile Asp Val Glu  
Trp 385 Glu Ser Asn Gly Gln 390 Pro Glu Asn Asn Tyr 395 Lys Thr Thr Pro Pro 400  
Val Leu Asp Ser Asp 405 Gly Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val 415  
Asp Lys Ser Arg 420 Trp Gln Gln Gly Asn 425 Val Phe Ser Cys Ser Val Met 430  
His Glu Ala 435 Leu His Asn His Tyr 440 Thr Gln Lys Ser Leu Ser Leu Ser 445  
Pro Gly Lys 450

<400>	36	gagggtgcagc tgggtgcagtc tggagcagag gtgaaaaagc ccggggagtc tctgaagatc	60
		tcctgtaagg gttctggccg tacatttacc agttacaata tgcactgggt gcgccagatg	120
		ccggggaaag gcctggagtg gatgggggct atttatccct tgacgggtga tacttcctac	180
		aatcagaagt cgaaactcca ggtcaccatc tcagccgaca agtccatcag caccgcctac	240
		ctgcagtgga gcagcctgaa ggcctcggac accgccatgt attactgtgc gagatcgact	300
		tacgtgggcg gtgactggca gttcgatgtc tggggcaagg ggaccacggt caccgtctcc	360
		tcagcctcca ccaagggcc atcgggtcttc cccctggcac cctcctccaa gagcacctct	420
		gggggacacg cgggccctggg ctgcctggtc aaggactact tccccgaacc ggtgacggtg	480
		tcgtggaact cagggcacct gaccagcggc gtgcacacct tcccgctgtg cctacagtcc	540

X 16877.ST25.txt

```
tcaggactct actccctcag cagcgtggtg accgtgccct ccagcagctt gggcacccag 600
acctacatct gcaacgtgaa tcacaagccc agcaacacca aggtggacaa gaagggtgag 660
cccaaatctt gtgacaaaac tcacacatgc ccaccgtgcc cagcacctga actcctgggg 720
ggaccgtcag tcttcctctt cccccaaaa cccaaggaca ccctcatgat ctccggacc 780
cctgagggtca catgctggtt ggtggacgtg agccacgaag accctgaggt caagttcaac 840
tggtacgtgg acggcgtgga ggtgcataat gccaaagacaa agccgcggga ggagcagtac 900
aacagcacgt accgtgtggt cagcgtcctc accgtcctgc accaggactg gctgaatggc 960
aaggagtaca agtgcaaggt ctccaacaaa gccctcccag ccccatcga gaaaaccatc 1020
tccaaagcca aagggcagcc ccgagaacca caggtgtaca ccctgcccc atccgggac 1080
gagctgacca agaaccaggt cagcctgacc tgcctggtca aaggcttcta tcccagcgac 1140
atcgacgtgg agtgggagag caatgggcag ccggagaaca actacaagac cagcctccc 1200
gtgctggact ccgacggctc ctcttcctc tatagcaagc tcaccgtgga caagagcagg 1260
tggcagcagg ggaacgtctt ctcatgctcc gtgatgcatg aggcctctga caaccactac 1320
acgcagaaga gcctctcctt gtctccgggt aaatga 1356
```