(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date 20 February 2003 (20.02.2003)

PCT

(10) International Publication Number WO 2003/014029 A3

- (51) International Patent Classification⁷: **C02F 1/50**, 1/68, 1/76, 1/72, D21C 9/16, C02F 103:28)
- (21) International Application Number:

PCT/IL2002/000637

- **(22) International Filing Date:** 5 August 2002 (05.08.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

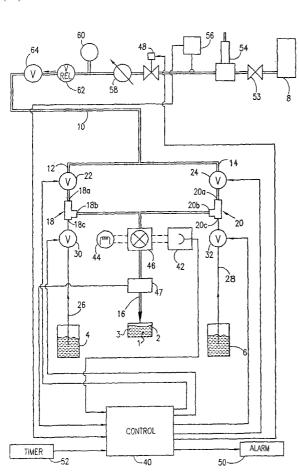
60/310,623 6 August 2001 (06.08.2001) US 10/211,965 2 August 2002 (02.08.2002) US

(71) Applicant (for all designated States except US): A.Y. LABORATORIES LTD. [IL/IL]; P.O. Box 20686, 61206 Tel Aviv (IL).

- (72) Inventor; and
- (75) Inventor/Applicant (for US only): BARAK, Ayala [IL/IL]; 11 Be'eri Street, 64682 Tel Aviv (IL).
- (74) **Agents: SANFORD T. COLB & CO.** et al.; P.O. Box 2273, 76122 Rehovot (IL).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK,

[Continued on next page]

(54) Title: CONTROL OF DEVELOPMENT OF BIOFILMS IN INDUSTRIAL PROCESS WATER



(57) Abstract: There is provided a method of inhibiting the development of a biofilm adjacent a surface, the method comprising intermittently applying a biofilm inhibiting substance to a collection of microorganisms having biofilm developing potential. There is also provided a method of inhibiting the development of a biofilm adjacent a surface, the method comprising inhibiting the biofilm developing potential of a collection of microorganisms without completely eradicating said collection of microorganisms. Also provided are a system for inhibiting the development of a biofilm, and a method and system for inhibiting the production of an enzyme by a collection of microorganisms.

WO 2003/014029 A3



TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

(88) Date of publication of the international search report: 18 March 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

Internati Application No PCT/IL 02/00637

A. CLASSIFICATION OF SUBJECT MATTER
1PC 7 C02F1/50 C02F1/68 C02F1/76 C02F1/72 D21C9/16 //C02F103/28 According to International Patent Classification (IPC) or to both national classification and IPC Minimum documentation searched (classification system followed by classification symbols) IPC 7 CO2F D21C Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. χ US 5 976 386 A (BARAK AYALA) 1-25,2 November 1999 (1999-11-02) 27-145. 148-151, 153-177, 180,199, 204-207 column 1, line 1-18 column 2, line 29-41 column 3, line 36-67; figures 1,2 column 4, line 35-41 example 2 WO 01 53216 A (ALBEMARLE CORP) 26 July 2001 (2001-07-26) page 8, line 14-25 χ 1,27 Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 0 5. 08. 03 5 March 2003 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,

Fax: (+31-70) 340-3016

Borello, E

INTERNATIONAL SEARCH REPORT

Intern Application No
PCT/IL 02/00637

		PC1/1L 02/0003/		
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Х	US 6 149 822 A (FABRI JON 0 ET AL) 21 November 2000 (2000-11-21) column 2, line 19-31 column 4, line 1-24	1		
Х	US 6 106 730 A (HALE LAWRENCE C ET AL) 22 August 2000 (2000-08-22) column 2, line 12-38; figure 1	1		
X	US 5 607 544 A (SALZBURGER WOLFRAM ET AL) 4 March 1997 (1997-03-04)	138,144, 145,150, 151,154, 174,204, 205,207		
	the whole document			
Х	WO 99 07826 A (OH JONG SUK) 18 February 1999 (1999-02-18) claims 1,6	138		
X	PATENT ABSTRACTS OF JAPAN vol. 1995, no. 06, 31 July 1995 (1995-07-31) -& JP 07 061903 A (SUZUKI MOTOR CORP), 7 March 1995 (1995-03-07) abstract	192,195		
	· .			
	: *			
	·			
	,			

in!

nal application No. PCT/IL 02/00637

INTERNATIONAL SEARCH REPORT

ox I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)							
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:							
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:							
2. X Claims Nos.: 26, 152 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: see FURTHER INFORMATION sheet PCT/ISA/210							
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).							
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)							
This International Searching Authority found multiple inventions in this international application, as follows:							
see additional sheet							
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.							
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.							
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:							
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-25,27-51,52,53-77,78,79-100,101-123,124-126,127-129,130-132,133-135,136,1 37,138-143,144-145,148-150,151,153,154-173,174-177,180-185,186-188,189-191, 192-194,195-201,204,205-207,208							
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.							

Continuation of Box I.2

Claims Nos.: 26, 152

- 1. In view of the large number and also the wording of the claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible.
- 2. In particular the search has not been carried out completely for the claims 21, 23, 47, 75, 80, 98, 103, 121, 172, failing to comply with the clarity requirements of Article 6 PCT, because of the inconsistency between the following claimed features: they claim a "continuous" injection of the generated biofilm-inhibiting substance to the microorganisms, whilst the claims to which they refer to claim an "intermittent" application to the microorganisms of a biofilm-inhibiting substance. Consequently the feature <"continuous" injection of the generated biofilm-inhibiting substance to the microorganisms> has not been searched.
- 3. Present claims 124, 127, 130 and 133 relate to a compound defined by reference to a desirable characteristic or property, namely an <concentration and respectively duration effective to inhibit or to destroy biofilm developing potential>: An attempt is made to define the compound by reference to a result to be achieved. Again this lack of clarity (Article 6 PCT) of these claims is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely <a compound comprising a collection of microorganisms and the biofilm inhibiting substance, e.g. bromide activated chloramine>.
- 4. Present claims 26 and 152 relate to methods defined by reference to a desirable characteristic, namely <inhibiting the biofilm developing potential> and respectively <inhibiting the enzyme producing potential>: An attempt is made to define the compound by reference to a result to be achieved. Again this lack of clarity (Article 6 PCT) of these claims is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has not been carried out for these claims, but only for their dependent claims, which define the methods in meaningful ways.
- 5. Present claims 186, 189, 192 and 195 relate to a compound defined by reference to a desirable characteristic or property, namely an <concentration and respectively duration effective to inhibit production of an enzyme>: An attempt is made to define the compound by reference to a result to be achieved. Again this lack of clarity (Article 6 PCT) of these claims is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely <a compound comprising a collection of microorganisms

and the enzyme production inhibiting substance, e.g. bromide activated chloramine>.

6. In particular the term

spromide activated chloramine> is not clear in terms of Art. 6 PCT and accordingly it has not been searched completely. It has been searched as defined as product-by-process, e.g. at page 20 lines 15-20 of the description. The claims affected by this clarity objection are: claims 25, 51, 77, 78, 79, 102, 124, 127, 136, 137, 149, 174, 175, 186, 189, 202, 206, 208, 209-213.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

- 1. Claims: 1-25, 27-51, 52, 53-77, 78, 79-100, 101-123, 124-126, 127-129, 130-132, 133-135, 136, 137, 138-143, 144-145, 148-150, 151, 153, 154-173, 174-177, 180-185, 186-188, 189-191, 192-194, 195-201, 204, 205-207, 208
 - 1.1. Claims: 1-25, 27-51, 52, 78, 79-100, 101-123, 137 The invention defined in claims 1-25, 27-51, 52, 78, 79-100, 101-123, 137 and 208 relates to a method for:
- A) inhibiting the development of a biofilm adjacent surface comprising
- B) applying a biofilm inhibiting substance E) to F) a collection of microorganisms having biofilm development potential.
 - 1.2. Claims: 53-77, 136 The invention defined in claims 53-77 and 136 relates to an apparatus for carrying out the method of claim 1.
 - 1.3. Claims: 124-126, 127-129, 130-132, 133-135 The invention defined in claims 124-126, 127-129, 130-132, 133-135 relates to a product comprising:
- a biofilm inhibiting substance
- F) a collection of microorganisms having biofilm development potential.
- 1.4. Claims: 138-143, 150, 151,153, 174-175, 180 The invention defined in claims 138-143, 150, 151-153, 174-175, 180 relates to a method for:

 H) inhibiting the production of an enzyme by a

- collection F) of microorganisms attached to a surface, I) using a substance P) which inhibits the production of an enzyme by F)
- C) applied intermittently.
 - 1.5. Claims: 144-145, 176-177, 180, 204, 205-207 The invention defined in claims 144-145, 176-177, 180, 204, 205-207 relates to a method for :
- H) inhibiting the production of an enzyme
- H1) being a hydrogen peroxide-degrading enzyme by a collection F) of microorganisms attached to a surface,
- I) using a substance P) which inhibits the production of an enzyme by F)

- C) applied intermittently.
 - 1.6. Claims: 154-173

 The invention defined in claim 154-173 relates to an apparatus for carrying out the steps of claims 139-153.
 - 1.7. Claims: 186-188, 189-191, 192-194, 195-201 The invention defined in claims 186-188, 189-191, 192-194, 195-201 relates to a product comprising:
- P) an enzyme production inhibiting substance
- F) a collection of microorganisms.
 - 2. Claims: 202, 203, 209-213

The invention defined in claims 202 and 203 relates to a method for:

- A) inhibiting the development of a biofilm adjacent a surface comprising
- B) applying a biofilm inhibiting substance E) to a biofilm developmentn of microorganisms having potential
- Q) and a peroxideC) intermittently.

The invention defined in claims 209-213 relates to a composition comprising:

- E) a bromide activated chloramine and
- Q) a peroxide
 - 3. Claims: 146-147, 178-179, 180

The invention defined in claims 146-147, 178-179, 180 relates to a method for:

- H) inhibiting the production of an enzyme
- H2) being a starch-degrading enzyme
- by a collection F) of microorganisms attached to a surface.
- I) using a substance P) which inhibits the production of an enzyme by F)
- C) applied intermittently.

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.

INTERNATIONAL SEARCH REPORT

Intermation on patent family members

Internat Application No
PCT/IL 02/00637

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
US 5976386	A	02-11-1999	IL IL AU CA DE EP ES HK JP WS ZA		A T B2 A A1 D1 T2 A1 T3 A1 T A1	04-01-1998 30-10-1998 15-02-2001 22-04-1999 26-04-1996 01-03-2001 21-06-2001 30-07-1997 16-04-2001 27-04-2001 07-07-1998 11-04-1996 17-10-2000 24-04-1996
WO 0153216	Α	26-07-2001	US US CA EP WO	6379563 6419838 2397640 1254077 0153216	B1 A1 A1	30-04-2002 16-07-2002 26-07-2001 06-11-2002 26-07-2001
US 6149822	Α	21-11-2000	NONE			
US 6106730	Α	22-08-2000	AU CA EP WO	6913296 2230582 0848687 9708102	A1 A1	19-03-1997 06-03-1997 24-06-1998 06-03-1997
US 5607544	A	04-03-1997	DE CA FI GB JP NL SE	4410663 2145439 951423 2287724 7268791 9500377 9500928	A1 A A ,B A A	17-08-1995 27-09-1995 27-09-1995 27-09-1995 17-10-1995 01-11-1995 27-09-1995
WO 9907826	Α	18-02-1999	AU AU BR CN EP JP WO TR US	752706 8131298 9814737 1268172 1002052 2001512670 9907826 200000360 6036952	A A T A1 T A1 T2	26-09-2002 01-03-1999 03-10-2000 27-09-2000 24-05-2000 28-08-2001 18-02-1999 21-07-2000
JP 07061903	 A	07-03-1995	NONE			has been been than the than have been people one door been