(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 13 November 2003 (13.11.2003)

PCT

(10) International Publication Number WO 03/093820 A1

- (51) International Patent Classification⁷: G01N 33/53, 33/543
- (21) International Application Number: PCT/US03/11746
- (22) International Filing Date: 15 April 2003 (15.04.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

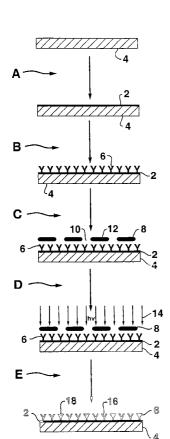
10/139,013 3 May 2002 (03.05.2002) US

- (71) Applicant: KIMBERLY-CLARK WORLDWIDE, INC. [US/US]; 401 N. Lake Street, Neenah, WI 54956 (US).
- (72) Inventors: COHEN, David; 115 Ludwell Court, Alpharetta, GA 30022 (US). KAYLOR, Rosann; 7480 Williamsberg Drive, Cumming, GA 30041 (US). SAYRE, Curtis; 1728 Inverness Avenue, Atlanta, GA 30306 (US).

- (74) Agents: BONDURA, Stephen, E. et al.; DORITY & MANNING, P.A., P.O. Box 1449, Greenville, SC 29602-1449 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: BIOMOLECULE DIAGNOSTIC DEVICES AND METHOD FOR PRODUCING BIOMOLECULE DIAGNOSTIC DEVICES



(57) Abstract: A biosensor includes a substrate with a layer of receptive material disposed thereon overlying a layer containing a photo-reactive agent. The receptive material is specific for an analyte of interest. A pattern of active and inactive areas of the receptive material are defined in the receptive material layer by a masking process wherein the photo-reactive agent is activated in the exposed regions of the mask.

WO 03/093820 A1

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

TITLE OF THE INVENTION

BIOMOLECULE DIAGNOSTIC DEVICES AND METHOD FOR PRODUCING BIOMOLECULE DIAGNOSTIC DEVICES

5

TECHNICAL FIELD OF THE INVENTION

The present invention relates generally to the field of detecting analytes in a medium, and more particularly to a process for preparing analyte-specific diagnostic sensors to indicate the presence of the analyte in a medium in, for example, a diffraction/holography format.

10

15

20

25

30

BACKGROUND

There are many systems and devices available for detecting a wide variety of analytes in various media. Many of the prior systems and devices are, however, relatively expensive and require a trained technician to perform the test. A need has been recognized in the art for biosensor systems that are easy and inexpensive to manufacture, and capable of reliable and sensitive detection of analytes. Reference is made, for example, to U.S. Pat. Nos. 5,922,550; 6,060,256; and 6,221,579 B1.

Various advances have been made in the industry for producing biosensors. For example, U. S. Pat. No. 5,512,131 to Kumar, et al., describes a device that includes a polymer substrate having a metal coating. An analyte specific receptor layer is stamped onto the coated substrate. A diffraction pattern is generated when an analyte binds to the device. A visualization device, such as a spectrometer, is then used to determine the presence of the diffraction pattern. A drawback to this type of device is, however, the fact that the diffraction pattern is not discernible by the naked eye and, thus, a complex visualization device is needed to view the diffraction pattern. Also, the device is generally not able to detect smaller analytes that do not produce a noticeable diffraction pattern.

U.S. Pat. No. 5,482,830 to Bogart, et al., describes a device that includes a substrate which has an optically active surface exhibiting a first color in response to light impinging thereon. This first color is defined as a spectral distribution of the emanating light. The substrate also exhibits a second color which is different from the first color. The second color is exhibited in response to the same light when the analyte is present on the surface. The change from one color to another can

be measured either by use of an instrument, or by the naked eye. A drawback with the device is, however, the relatively high cost of the device and problems associated with controlling the various layers that are placed on the wafer substrate.

5

10

15

20

25

30

Contact printing techniques have been explored for producing biosensors having a self-assembling monolayer. U.S. Pat. No. 5,922,550 describes a biosensor having a metalized film upon which is printed (contact printed) a specific predetermined pattern of an analyte-specific receptor. The receptor materials are bound to the self-assembling monolayer and are specific for a particular analyte or class of analytes. Attachment of a target analyte that is capable of scattering light to select areas of the metalized plastic film upon which the receptor is printed causes diffraction of transmitted and/or reflected light. A diffraction image is produced that can be easily seen with the eye or, optionally, with a sensing device. U.S. Pat. No. 6,060,256 describes a similar device having a metalized film upon which is printed a specific predetermined pattern of analyte-specific receptor. The '256 patent is not limited to self-assembling monolayers, but teaches that any receptor which can be chemically coupled to a surface can be used. The invention of the '256 patent uses methods of contact printing of patterned monolayers utilizing derivatives of binders for microorganisms. One example of such a derivative is a thiol. The desired binding agent can be thiolated antibodies or antibody fragments, proteins, nucleic acids, sugars, carbohydrates, or any other functionality capable of binding an analyte. The derivatives are chemically bonded to metal surfaces such as metalized polymer films, for example via a thiol.

A potential issue of the contact printing techniques described above for producing diffraction-based biosensors is the possibility of contamination from the print surface (i.e., stamp) during the printing process. Also, there is the possibility of uneven application or inking of the substances due to pressure and contact variations inherent in the process, as well as surface energy variations.

The present invention relates to a biosensor system that is easy and inexpensive to manufacture, is capable of reliable and sensitive detection of analytes, and avoids possible drawbacks of conventional microcontact printing techniques.

SUMMARY OF THE INVENTION

Objects and advantages of the invention will be set forth in part in the following description, or may be obvious from the description, or may be learned through practice of the invention.

The present invention provides a relatively inexpensive yet sensitive biosensor device, a method for producing such biosensor devices, and a method for detecting analytes of interest present in a medium.

5

10

15

20

25

30

The biosensor includes a substrate containing a photo-reactive agent. The agent may be applied generally uniformly as a layer over an entire surface of the substrate member. Alternatively, the substrate may contain the agent as an integral component thereof. The photo-reactive agent may be, for example, a photo-acid or photo-oxidant. The agent may be in solution in a "transparent" polymer, for example PVC, polystyrene, and the like, and a thin film of the solution may be applied on the substrate member by, for example, a spin-coating process. In alternate embodiments, the agent may be embedded or polymerized in the substrate. A special plasticizer may incorporate the agent. The substrate may be formed of a material that produces an acid, base, or oxidant, upon exposure to a particular stimulus (e.g. light).

The substrate may be any one of a wide variety of suitable materials, including plastics, metal coated plastics and glass, functionalized plastics and glass, silicon wafers, foils, glass, etc.

The photo-reactive agent may be applied as a layer in a light-protected environment by any number of known techniques, including dipping, spraying, rolling, spin coating and any other technique wherein the layer can be applied generally uniformly over the entire test surface of the substrate. The invention also includes contact printing methods of applying the photo-reactive agent layer.

A layer containing a receptive material (e.g., biomolecules) is then applied over the photo-reactive agent layer also in a light-protected environment. The receptive material layer may be applied by any number of known techniques, including dipping, spraying, rolling, spin coating and any other technique wherein the layer can be applied generally uniformly over the entire test surface of the substrate. The invention also includes contact printing methods of applying the receptive material layer, as long as such methods are conducted in a manner to

prevent inconsistent inking and contamination from contact during the coating process.

5

10

15

20

25

30

The receptive material layer is then defined into a pattern of active and inactive areas of receptive material by placing a mask over the substrate and subsequently irradiating the substrate with an energy source sufficient to activate the photo-reactive agent in the exposed or unshielded areas of the mask. Activation of the photo-reactive agent results in denaturing of the overlying biomolecules in the exposed areas. The receptive material is thus "deactivated" to the extent that it can no longer bind with conjugate ligands, including the analyte of interest.

The mask may include any desired pattern of protected or shielded areas and exposed areas (e.g., blank, transparent, or translucent areas, as well as holes or openings in the mask structure). The exposed areas of the mask define a pattern of inactive areas of the receptive material and the shielded areas of the mask define a pattern of active receptive material areas. The mask thus serves to shield an area of the receptive material layer and to expose at least one adjacent area to the irradiating energy source for activating the underlying photo-reactive agent.

It should be appreciated that the invention is not limited to any particular pattern defined by the mask. Virtually any number and combination of exposed shapes or openings are possible. In one particular embodiment, the pattern is defined by about 10 micron diameter pixels at a spacing of about 5 microns over the test surface of the substrate.

The photo-reactive agent and receptive material layers are irradiated with an energy source selected particularly for activating the specific type of photo-reactive agent. The invention is not limited to any particular energy source. For example, the energy source may be a light source, e.g., an ultraviolet (UV) light source, an electron beam, a radiation source, etc.

Upon subsequent exposure of the biosensor to a medium containing an analyte of interest, the analyte binds to the receptive material in the active areas. The biosensor will then diffract transmitted light in a diffraction pattern corresponding to the active areas. The diffraction pattern may be visible to the naked eye or, optionally, viewed with a sensing device.

In the case where an analyte does not scatter visible light because the analyte is too small or does not have an appreciable refractive index difference compared to the surrounding medium, a diffraction-enhancing element, such as polymer microparticles, may be used. These micorparticles are coated with a binder or receptive material that also specifically binds to the analyte. Upon subsequent coupling of the analyte to both the patterned biomolecules in the receptive material layer as well as the microparticles, a diffraction image is produced which can be easily seen with the eye or, optionally, with a sensing device.

5

10

15

20

25

30

By "diffraction" it is meant the phenomenon, observed when waves are obstructed by obstacles, of the disturbance spreading beyond the limits of the geometrical shadow of the object. The effect is marked when the size of the object is of the same order as the wavelength of the waves. In the present invention, the obstacles are analytes (with or without or attached microparticles) and the waves are light waves.

In another embodiment of the present invention, nutrients for a specific class of microorganisms can be incorporated into the receptive material layer. In this way, very low concentrations of microorganisms can be detected by first contacting the biosensor of the present invention with the nutrients incorporated therein and then incubating the biosensor under conditions appropriate for the growth of the bound microorganism. The microorganism is allowed to grow until there are enough organisms to form a diffraction pattern.

The present invention provides a low-cost, disposable biosensor which can be mass produced. The biosensors of the present invention can be produced as a single test for detecting an analyte or it can be formatted as a multiple test device. The uses for the biosensors of the present invention include, but are not limited to, detection of chemical or biological contamination in garments, such as diapers, the detection of contamination by microorganisms in prepacked foods such as meats, fruit juices or other beverages, and the use of the biosensors of the present invention in health diagnostic applications such as diagnostic kits for the detection of hormones, proteins, antigens, nucleic acids, microorganisms, and blood constituents. It should be appreciated that the present invention is not limited to any particular use or application.

These and other features and advantages of the present invention will become apparent after a review of the following detailed description of the disclosed embodiments.

BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 is a schematic representation of a method for producing biosensors according to the invention by a masking process.

5

10

15

20

25

30

DETAILED DESCRIPTION

The invention will now be described in detail with reference to particular embodiments thereof. The embodiments are provided by way of explanation of the invention, and not meant as a limitation of the invention. For example, features described or illustrated as part of one embodiment may be used with another embodiment to yield still a further embodiment. It is intended that the present invention include these and other modifications and variations as come within the scope and spirit of the invention.

The present invention features improved biosensing devices, and methods for using such biosensing devices, for detecting and quantifying the presence or amount of an analyte of interest within a medium. The analytes that can be detected by the present invention include, but are not limited to, microorganisms such as bacteria, yeasts, fungi and viruses. The biosensing devices according to the invention are relatively inexpensive and have advantages over conventional micro-printed biosensors.

The present invention comprises, in broad terms, a process of defining an active pattern of analyte-specific receptive material on a substrate surface by photo-masking the substrate. A photo-reactive agent is incorporated with the substrate member, for example by spin-coating a solution containing the agent onto the substrate member. Alternatively, the agent may be embedded or polymerized in the substrate. In another embodiment, the substrate may be formed in part or entirely of a photo-reactive substance. For example, the substrate may be PVC (polyvinyl chloride) which will produce HC_L (hydrochloric acid) upon exposure to UV light. Polyamine will produce a base upon exposure to UV light. A generally uniform coating of the receptive material is then applied to the substrate surface over the photo-reactive agent. A mask is placed over the substrate, and the mask and substrate combination is irradiated with an energy

source specifically selected to activate the photo-reactive agent. In its basic form, the "mask" serves to shield at least one area or section of the substrate member from the irradiating energy source and to expose at least one adjacent section to the energy source. For example, the mask may be a generally transparent or translucent blank (e.g., a strip of material) having any pattern of shielded regions printed or otherwise defined thereon. The exposed unshielded regions of the mask correspond to the exposed areas of the substrate member. Alternatively, the mask may simply be a single object placed upon the substrate. The area under the object would be shielded and thus define an active area of the receptive material, and the area around the object would be exposed to the energy source and thus define an area of inactive receptive material. Alternatively, the object may have any pattern of openings defined therethrough corresponding to the exposed areas.

5

10

As mentioned, the energy source is selected so that the exposed photoreactive agent is activated and thus denatures the overlying biomolecules, thereby 15 rendering the receptive material inactive in the exposed regions. Specifically, the activated photo-reactive agent may be any one of a number of substances, such asdiaryliodonium salts, triarylsulfonium salts, diazosulfonates, bromobisphenol A, certain Cl-containing polymers, acid or base producing polymers, 20 dialkylphenacylsulfonium salts, etc., or photo-oxidants such as ZnO, TiO₂, and certain copper and ruthenium complexes. In one particular embodiment, the agent is a photo-acid, such as Triphenylsulfonium triflate, (4-iodophenyl) diphenylsulfonium triflate, Diphenyliodonium p-toluenesulfonate, Bis(4-tbutylphenyl) iodonium p-toluenesulfonate, Bis(4-t-butylphenyl) iodonium triflate, 25 and Tris (4-t-butylphenyl) sulfonium triflate. In another embodiment, the agent may be a photo-oxidant, such as ZnO; TiO₂; Cu[2,9-diphenyl-1-10-phenanthroline]₂⁺ in the presence of nitrobenzylbromide; and Ru[2,2'-bipyridine]₃²⁺ in the presence of Co[(NH₃)₅Cl]²⁺. The photo-reactive agent in the regions shielded by the mask remains "unactivated." Thus, upon removal of the mask, a pattern of active and inactive receptive material areas are defined on the substrate member. It should 30 be understood that "pattern" includes as few as one active area and one inactive area.

Upon subsequent exposure of the biosensor to a medium containing the analyte of interest, such analyte will bind to the biomolecules in the active areas. The analyte results in diffraction of transmitted and/or reflected light in a visible diffraction pattern corresponding to the active areas. As discussed in greater detail below, an enhancer may be used for enhancing diffraction from extremely small analytes.

5

10

15

20

25

30

The analytes that are contemplated as being detected using the present invention include, but are not limited to, bacteria; yeasts; fungi; viruses; rheumatoid factor; antibodies, including, but not limited to IgG, IgM, IgA, IgD, and IgE antibodies; carcinoembryonic antigen; streptococcus Group A antigen; viral antigens; antigens associated with autoimmune disease, PSA (prostate specific antigen) and CRP (C-reactive protein) antigens; allergens; tumor antigens; streptococcus Group B antigen; HIV I or HIV II antigen; or host response (antibodies) to these and other viruses; antigens specific to RSV or host response (antibodies) to the virus; antigen; enzyme; hormone; polysaccharide; protein; lipid; carbohydrate; drug or nucleic acid; Salmonella species; Candida species, including, but not limited to Candida albicans and Candida tropicalis; Neisseria meningitides groups A, B, C, Y and W sub 135, Streptococcus pneumoniae; E. coli; Haemophilus influenza type A/B; an antigen derived from microorganisms; a hapten; a drug of abuse; a therapeutic drug; an environmental agent; and antigens specific to Hepatitis. In broad terms, the "analyte of interest" may be thought of as any agent whose presence or absence from a biological sample is indicative of a particular health state or condition.

It is also contemplated that nutrients for a specific class of microorganism can be incorporated into the receptive material layer. In this way, very low concentrations of microorganisms can be detected by exposing the biosensor of the present invention with the nutrients incorporated therein to the suspect medium and then incubating the biosensor under conditions appropriate for the growth of the bound microorganism. The microorganisms are allowed to grow until there are enough organisms to form a diffraction pattern. Of course, in some cases, the microorganism is present or can multiply enough to form a diffraction pattern without the presence of a nutrient in the active receptive material areas.

The receptive material is characterized by an ability to specifically bind the analyte or analytes of interest. The variety of materials that can be used as receptive material is limited only by the types of material which will combine selectively (with respect to any chosen sample) with a secondary partner.

Subclasses of materials which fall in the overall class of receptive materials include toxins, antibodies, antibody fragments, antigens, hormone receptors, parasites, cells, haptens, metabolites, allergens, nucleic acids, nuclear materials, autoantibodies, blood proteins, cellular debris, enzymes, tissue proteins, enzyme substrates, coenzymes, neuron transmitters, viruses, viral particles, microorganisms, proteins, polysaccharides, chelators, drugs, aptamers, peptides, and any other member of a specific binding pair. This list only incorporates some of the many different materials that can be coated onto the substrate surface to produce a thin film assay system. Whatever the selected analyte of interest is, the receptive material is designed to bind specifically with the analyte of interest.

5

10

15

20

25

30

The matrix or medium containing the analyte of interest may be a liquid, a solid, or a gas, and can include a bodily fluid such as mucous, saliva, urine, fecal material, tissue, marrow, cerebral spinal fluid, serum, plasma, whole blood, sputum, buffered solutions, extracted solutions, semen, vaginal secretions, pericardial, gastric, peritoneal, pleural, or other washes and the like. The analyte of interest may be an antigen, an antibody, an enzyme, a DNA fragment, an intact gene, a RNA fragment, a small molecule, a metal, a toxin, an environmental agent, a nucleic acid, a cytoplasm component, pili or flagella component, protein, polysaccharide, drug, or any other material. For example, receptive material for bacteria may specifically bind a surface membrane component, protein or lipid, a polysaccharide, a nucleic acid, or an enzyme. The analyte which is specific to the bacteria may be a polysaccharide, an enzyme, a nucleic acid, a membrane component, or an antibody produced by the host in response to the bacteria. The presence or absence of the analyte may indicate an infectious disease (bacterial or viral), cancer or other metabolic disorder or condition. The presence or absence of the analyte may be an indication of food poisoning or other toxic exposure. The analyte may indicate drug abuse or may monitor levels of therapeutic agents.

One of the most commonly encountered assay protocols for which this technology can be utilized is an immunoassay. However, the general

5

10

15

20

25

30

considerations apply to nucleic acid probes, enzyme/substrate, and other ligand/receptor assay formats. For immunoassays, an antibody may serve as the receptive material or it may be the analyte of interest. The receptive material, for example an antibody or an antigen, must form a stable, relatively dense, reactive layer on the substrate surface of the test device. If an antigen is to be detected and an antibody is the receptive material, the antibody must be specific to the antigen of interest; and the antibody (receptive material) must bind the antigen (analyte) with sufficient avidity that the antigen is retained at the test surface. In some cases, the analyte may not simply bind the receptive material, but may cause a detectable modification of the receptive material to occur. This interaction could cause an increase in mass at the test surface or a decrease in the amount of receptive material on the test surface. An example of the latter is the interaction of a degradative enzyme or material with a specific, immobilized substrate. In this case, one would see a diffraction pattern before interaction with the analyte of interest, but the diffraction pattern would disappear if the analyte were present. The specific mechanism through which binding, hybridization, or interaction of the analyte with the receptive material occurs is not important to this invention, but may impact the reaction conditions used in the final assay protocol.

In addition to producing a simple diffraction image, patterns of analytes can be such as to allow for the development of a holographic sensing image and/or a change in visible color. Thus, the appearance of a hologram or a change in an existing hologram will indicate a positive response. The pattern made by the diffraction of the transmitted light can be any shape including, but not limited to, the transformation of a pattern from one pattern to another upon binding of the analyte to the receptive material. In particularly preferred embodiments, the diffraction pattern becomes discernible in less than one hour after contact of the analyte with the biosensing device of the present invention.

The diffraction grating which produces the diffraction of light upon interaction with the analyte must have a minimum periodicity of about 1/2 the wavelength and a refractive index different from that of the surrounding medium. Very small analytes, such as viruses or molecules, can be detected indirectly by using a larger, "diffraction-enhancing element," such as a micro-particle, that is specific for the small analyte. One embodiment in which the small analyte can be

detected comprises coating the enhancing particle, such as a latex bead or polystyrene bead, with a receptive material, such as an antibody, that specifically binds to the analyte of interest. Particles that can be used in the present invention include, but are not limited to, glass, cellulose, synthetic polymers or plastics, latex, polystyrene, polycarbonate, proteins, bacterial or fungal cells, silica, cellulose acetate, carbon, and the like. The particles are desirably spherical in shape, but the structural and spatial configuration of the particles is not critical to the present invention. For instance, the particles could be slivers, ellipsoids, cubes, random shape and the like. A desirable particle size ranges from a diameter of approximately 0.1 micron to 50 microns, desirably between approximately 0.1 micron and 2.0 microns. The composition of the particle is not critical to the present invention.

Desirably, the receptive material layer on the substrate will specifically bind to an epitope on the analyte that is different from the epitope used in the binding to the enhancing particle. Thus, for detecting a small analyte, such as viral particles, in a medium, the medium is first exposed to the latex particles having the virus-specific receptive material thereon. The small analytes of interest in the medium will bind to the latex particles. Then, the latex particles are optionally washed and exposed to the biosensor film with the pattern of active receptive material areas containing the virus-specific antibodies. The antibodies then bind to the viral particles on the latex bead thereby immobilizing the latex beads in the same pattern as the active areas on the film. Because the bound latex beads will cause diffraction of the visible light, a diffraction pattern is formed, indicating the presence of the viral particle in the liquid. Other combinations using diffraction enhancing particles are described, for example, in U.S. Pat. No. 6,221,579 incorporated herein for all purposes.

Any one of a wide variety of materials may serve as the substrate to which the receptive material is applied. Such materials are well known to those skilled in the art. For example, the substrate may be formed of any one of a number of suitable plastics, metal coated plastics and glass, functionalized plastics and glass, silicon wafers, foils, glass, acid or base producing polymers, etc. It should be appreciated that, if the photo-reactive agent is a photo-acid, the substrate would not be metal or metal coated. Thermoplastic films have been shown to be quite

suitable. Such films include, but are not limited to, polymers such as: polyethyleneterephthalate (MYLAR®), acrylonitrile-butadiene-styrene, acrylonitrile-methyl acrylate copolymer, cellophane, cellulosic polymers such as ethyl cellulose, cellulose acetate, cellulose acetate butyrate, cellulose propionate, cellulose triacetate, cellulose triacetate, polyethylene, polyethylene--vinyl acetate copolymers, ionomers (ethylene polymers) polyethylene-nylon copolymers, polypropylene, methyl pentene polymers, polyvinyl fluoride, and aromatic polysulfones. Preferably, the plastic film has an optical transparency of greater than 80 percent. Other suitable thermoplastics and suppliers may be found, for example, in reference works such as the Modern Plastics Encyclopedia (McGraw-Hill Publishing Co., New York 1923-1996).

5

10

15

20

25

30

In one embodiment of the invention wherein the photo-reactive agent is a photo-oxidant, the thermoplastic film may have a metal coating. The film with metal coating thereon may have an optical transparency of between approximately 5 percent and 95 percent. A more desired optical transparency for the thermoplastic film used in the present invention is between approximately 20 percent and 80 percent. In a desired embodiment of the present invention, the thermoplastic film has at least an approximately 80 percent optical transparency, and the thickness of the metal coating is such as to maintain an optical transparency greater than about 20 percent, so that diffraction patterns can be produced by either reflected or transmitted light. This corresponds to a metal coating thickness of about 20 nanometers. However, in other embodiments of the invention, the metal thickness may be between approximately 1 nanometer and 1000 nanometers.

The preferred metal for deposition on the film is gold. However, silver, aluminum, chromium, copper, iron, zirconium, platinum, titanium, and nickel, as well as oxides of these metals, may be used. Chromium oxide can be used to make metalized layers.

The receptive material may be applied to the substrate over the photoreactive layer by any conventional method. The material is applied so that it generally uniformly covers an entire (i.e. upper) surface of the substrate. Noncontact methods for applying the receptive material may be desired so as to eliminate the possibility of contamination by contact printing device. Such non-

contact methods include, but are not limited to, dipping, spraying, rolling, spin coating, and any other technique wherein the receptive material layer can be applied generally uniformly over the entire test surface of the substrate. Simple physisorption can occur on many materials, such as polystyrene, glass, nylon, or other materials well known to those skilled in the art. One particular embodiment of immobilizing the analyte-specific receptive material layer involves molecular attachment, such as that possible between thiol or disulfide-containing compounds and gold. Typically, a gold coating of about 5 to about 2000 nanometers thick is supported on a silicon wafer, glass, or polymer film (such as a MYLAR® film). The analyte-specific receptor attaches to the gold surface during immersion or spraying of a solution of the receptive material.

5

10

15

20

25

30

Although not preferred, the invention also includes contact printing methods of applying the receptive material. The technique selected should minimize the amount of receptive material required for coating a large number of test surfaces and maintain the stability/functionality of the receptive material during application. The technique should also apply or adhere the receptive material to the substrate in a uniform and reproducible fashion.

It is also contemplated that the receptive material layer may be formed on the substrate as a self-assembling monolayers of alkanethiolates, carboxylic acids, hydroxamic acids, and phosphonic acids on metalized thermoplastic films. The self-assembling monolayers have receptive material bound thereto. Reference is made to U.S. Pat. No. 5,922,550 for a more detailed description of such self-assembling monolayers and methods for producing the monolayers. The '550 patent is incorporated herein in its entirety for all purposes.

The mask may be formed of any suitable material that shields the underlying portion of the substrate from the irradiating energy source. A material that has proven useful for defining patterns of active and inactive receptive material regions on a gold-plated MYLAR® film coated with an antibody solution is a transparent or translucent polymer film (such as MYLAR®) having a pattern of blocked or protected regions printed thereon. This type of mask is useful for light sources (irradiating energy source) with a wavelength equal to or greater than about 330 nanometers. For light sources having a wavelength below about 330 nanometers, a quartz or fused silica mask having chrome plated blocked regions

defined thereon may be used. It may be desired to select a hole pattern and size so as to maximize the visible diffraction contrast between the active and inactive regions. It has been found suitable if the active regions are defined as generally circular with a diameter of about 10 microns and spaced from each other by about 5 microns.

5

10

15

20

25

30

substrate or mask.

Any suitable energy source may be selected for irradiating the mask and substrate combination. An energy source is selected particularly for activating the specific type of photo-reactive agent. The energy source may be, for example, a light source, e.g., an ultraviolet (UV) light source, an electron beam, a radiation source, etc. In one particular embodiment, the photo-reactive agent is a photoacid or a photo-oxidant, such as Triphenyulsulfonium triflate or TiO₂, respectively, and the activating energy source is a UV light source. The sensor is exposed to the light source for a period of time sufficient for the photo-reactive agent to be activated and thus render the receptive material in the exposed areas inactive. Depending on the particular type of photo-reactive agent, light of a proper wavelength generates either an acid, base, or an oxidant in the illuminated regions. These relatively large localized concentrations of an acid, base, or oxidant serve to denature the proteins in the receptive material. The "denaturing" occurs either through acid-catalyzed hydrolysis of the antibody, pH-induced conformational changes, or via oxidation/radical formation of/on the antibody, or a combination of such factors. In the case of chlorinated polymers, irradiation generates HCl, and the localized increase in acid concentration serves to denature the antibody. It should be appreciated that the invention is not limited to any particular type of light or activating energy source or exposure times. The type of light (e.g., wavelength) and exposure times may vary depending on the particular type of photo-reactive agent. Other suitable energy sources may include tuned lasers, electron beams, various types of radiation beams including gamma and Xray sources, various intensities and wavelengths of light including light beams of sufficient magnitude at the microwave and below wavelengths, etc. Care should be taken that the energy source does not damage (e.g., melt) the underlying

Figure 1 is a schematic representation of one method for producing biosensors according to the invention. Step A represents the photo-reactive agent

5

10

15

20

25

30

applied as a layer 2 to a substrate member 4. Step B represents the receptive material (biomolecules) layer 6 applied to the substrate member 4 over the photoreactive agent layer 2. Step C depicts the mask 8 disposed over the substrate member 4. The mask 8 includes exposed or open regions 10 and blocked or protected regions 12 defined thereon. Step D represents the mask 8 and substrate member 4 combination being irradiated with an energy source 14. It can be seen that the areas of the substrate member 4 underlying the shielded regions 12 of the mask 8 are protected from the energy source 14. The photo-reactive agent 2 exposed to the energy source 14 through the open regions 10 of the mask 8 is activated by the energy source 14 and denatures the biomolecules 6 in the exposed areas. The photo-reactive agent 2 and biomolecules 6 underlying the shielded regions 12 of the mask 8 are protected and not exposed to the energy source such that the biomolecules 6 in these regions remain active. Step E represents the biosensor after the mask 8 has been removed. The biosensor includes active areas 16 of the receptive material 6 and deactivated areas 18. The pattern of active 16 and deactivated areas 18 correspond to the pattern of the exposed 10 and shielded regions 12 of the mask 8.

The biosensors according to the invention have a wide range of uses in any number of fields. The uses for the biosensors of the present invention include, but are not limited to, detection of chemical or biological contamination in garments, such as diapers, generally the detection of contamination by microorganisms in prepacked foods such as meats, fruit juices or other beverages, and the use of the biosensors of the present invention in health diagnostic applications such as diagnostic kits for the detection of proteins, hormones, antigens, nucleic acids, DNA, microorganisms, and blood constituents. The present invention can also be used on contact lenses, eyeglasses, window panes, pharmaceutical vials, solvent containers, water bottles, band-aids, wipes, and the like to detect contamination. In one embodiment, the present invention is contemplated in a dipstick form in which the patterned substrate is mounted at the end of the dipstick. In use the dipstick is dipped into the liquid in which the suspected analyte may be present and allowed to remain for several minutes. The dipstick is then removed and then, either a light is projected through the substrate or the substrate is observed with a light reflected from the substrate. If a diffraction pattern is observed, then the analyte is present

in the liquid.

5

10

15

In another embodiment of the present invention, a multiple analyte test is constructed on the same support. A strip may be provided with several patterned substrate sections. Each section has a different receptive material that is different for different analytes. It can be seen that the present invention can be formatted in any array with a variety of patterned substrates thereby allowing the user of the biosensor device of the present invention to detect the presence of multiple analytes in a medium using a single test.

In yet another embodiment of the present invention, the biosensor can be attached to an adhesively backed sticker or decal which can then be placed on a hard surface or container wall. The biosensor can be placed on the inside surface of a container such as a food package or a glass vial. The biosensor can then be visualized to determine whether there is microbial contamination.

It should be understood that the invention includes various other embodiments, modifications, and equivalents to the examples described herein which, after reading the description of the invention, may become apparent to those skilled in the art without departing from the scope and spirit of the present invention.

WHAT IS CLAIMED IS:

5

10

15

1. A biosensor, comprising:

a substrate member;

a photo-reactive agent at a surface of said substrate member;

a receptive material layer applied over said photo-reactive agent and generally uniformly covering said side of said substrate member, said receptive material being specific for an analyte of interest;

a pattern of active and inactive areas of said receptive material defined on said layer, said active and inactive areas formed by a masking process wherein a mask is placed over said substrate member prior to irradiating said substrate member with an energy source sufficient for activating said photo-reactive agent such that areas exposed by said mask define said pattern of inactive areas of said receptive material and said areas shielded by said mask define said pattern of active areas of receptive material; and

wherein when said biosensor is exposed to a medium containing said analyte of interest, the analyte binds to said receptive material in said active areas and subsequently facilitate diffraction of transmitted light or reflected light in a diffraction pattern corresponding to said active areas.

- 2. The biosensor as in claim 1, wherein said substrate comprises a material from the list of materials consisting of plastics, metal coated plastics and glass, functionalized plastics and glass, silicon wafers, foils, glass, and polymer films.
- 3. The biosensor as in claim 1, wherein said photo-reactive agent is applied as a layer on said substrate member.
- 4. The biosensor as in claim 1, wherein said diffraction pattern is visible to the naked eye.
- 5. The biosensor as in claim 1, wherein said receptive material is protein based.
- 6. The biosensor as in claim 5, wherein said receptive material is an antibody.

7. The biosensor as in claim 1, wherein said substrate member is irradiated with UV light at a wavelength sufficient for activating said photo-reactive agent exposed through said mask.

8. The biosensor as in claim 1, wherein said receptive material is at least one of an antigen, antibody, nucleotide, chelator, enzyme, bacteria, yeast, fungi, virus, bacterial pili, bacterial flagellar material, nucleic acid, polysaccharide, lipid, protein, carbohydrate, metal, hormone, aptamer, peptide, and respective receptor for said materials.

5

5

10

5

10

- 9. The biosensor as in claim 1, wherein said analyte of interest is at least one of a bacteria, yeast, fungus, virus, rheumatoid factor, IgG, IgM, IgA, IgD, and IgE antibodies, carcinoembryonic antigen, streptococcus Group A antigen, viral antigens, antigens associated with autoimmune disease, allergens, tumor antigens, streptococcus group B antigen, HIV I or HIV II antigen, antibodies viruses, antigens specific to RSV, an antibody, antigen, enzyme, hormone, polysaccharide, protein, lipid, carbohydrate, drug, nucleic acid, *Neisseria meningitides* groups A, B, C, Y and W sub 135, *Streptococcus pneumoniae, E. coli* K1, *Haemophilus influenza* type A/B, an antigen derived from microorganisms, PSA and CRP antigens, a hapten, a drug of abuse, a therapeutic drug, an environmental agents, or antigens specific to Hepatitis.
- 10. The biosensor as in claim 1, wherein said photo-reactive agent is a photo-acid agent.
- 11. The biosensor as in claim 1, wherein said photo-reactive agent is a photo-oxidant agent.
- 12. A method of making a biosensor, comprising the steps of: incorporating a photo-reactive agent at a surface of a substrate member; forming a receptive material layer over the photo-reactive agent generally uniformly over the surface of the substrate member, the layer containing a receptive material specific for an analyte of interest;

placing a mask over the substrate member, the mask having a configuration so as to shield at least one underlying area of the substrate member while exposing at least one adjacent area;

irradiating the substrate member and mask combination with an energy source sufficient to activate the photo-reactive agent underlying the receptive

material layer in the areas exposed by the mask, the activated photo-reactive agent rendering the receptive material inactive in the exposed areas;

removing the mask from the substrate member; and

15

20

5

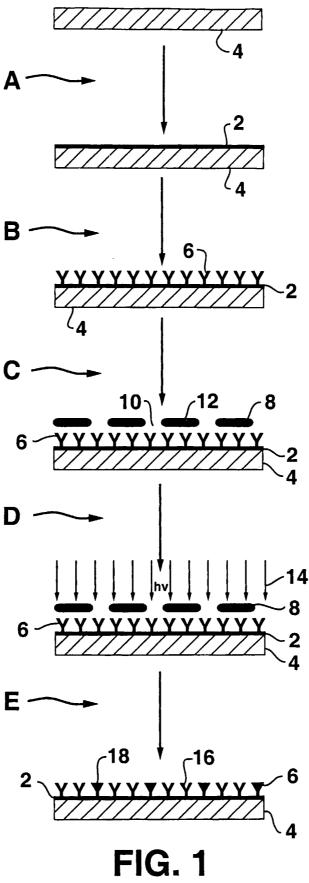
wherein a resulting pattern of active and inactive areas of the receptive material are defined, the inactive areas corresponding to the areas exposed by the mask and the active areas corresponding to the areas shielded by the mask such that when the biosensor is exposed to a medium containing the analyte of interest, the analyte binds to the receptive material in the active areas and subsequently facilitates diffraction of transmitted or reflected light in a diffraction pattern corresponding to the active areas.

- 13. The method as in claim 12, comprising selecting the substrate member from the group of materials consisting of plastics, metal coated plastics and glass, functionalized plastics and glass, silicon wafers, glass, and foils.
- 14. The method as in claim 12, wherein the photo-reactive agent is a photo-oxidant and the substrate member comprises a polymer film coated with a metal.
- 15. The method as in claim 14, wherein the polymer film comprises polyethylene-terephthalate.
- 16. The method as in claim 12, comprising viewing the diffraction pattern of active areas of receptive material with the naked eye.
- 17. The method as in claim 12, wherein the receptive material is protein based.
- 18. The method as in claim 17, wherein the receptive material is an antibody.
- 19. The method as in claim 12, comprising irradiating the substrate member with UV light at a wavelength sufficient for activating the photo-reactive agent exposed through the mask.
- 20. The method as in claim 12, comprising selecting the receptive material from at least one of antigens, antibodies, nucleotides, chelators, enzymes, bacteria, yeasts, fungi, viruses, bacterial pili, bacterial flagellar materials, nucleic acids, polysaccharides, lipids, proteins, carbohydrates, metals, hormones, aptamers, peptides, and respective receptors for said materials.

21. The method as in claim 12, wherein the analyte of interest is selected from at least one of a bacteria, yeast, fungus, virus, rheumatoid factor, IgG, IgM, IgA, IgD, and IgE antibodies, carcinoembryonic antigen, streptococcus Group A antigen, viral antigens, antigens associated with autoimmune disease, allergens, tumor antigens, streptococcus group B antigen, HIV I or HIV II antigen, antibodies viruses, antigens specific to RSV, an antibody, antigen, enzyme, hormone, polysaccharide, protein, lipid, carbohydrate, drug, nucleic acid, *Neisseria meningitides* groups A, B, C, Y and W sub 135, *Streptococcus pneumoniae, E. coli* K1, *Haemophilus influenza* type A/B, an antigen derived from microorganisms, PSA and CRP antigens, a hapten, a drug of abuse, a therapeutic drug, an environmental agents, or antigens specific to Hepatitis.

- 22. The method as in claim 12, further comprising defining a plurality of exposed regions in the mask in a desired pattern, the exposed regions defining the pattern of inactive areas.
- 23. The method as in claim 12, wherein the photo-reactive agent is a photo-acid agent.
- 24. The method as in claim 12, wherein the photo-reactive agent is a photo-oxidant agent.
- 25. The method as in claim 12, wherein the photo-reactive agent is applied as a layer on the substrate member.





INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/11746

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) : G01N 33/53, 33/543 US CL : 356/305, 317, 318, 347; 385/12, 129, 130, 131; 422/68.1, 82.11; 435/4, 5, 6, 7.1, 7.2, 7.9, 287.1, According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S.: Please See Continuation Sheet				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched STN, Derwent				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) STN, EAST				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
A,P	US 6,399,295 B1 (KAYLOR et al) 04 June 2002 (0	4.06.2002), whole document.	1-25	
Α	US 6,060,256 A (EVERHART et al) 09 May 2000 (09.05.2000), whole document. 1-25		1-25	
Α	US 6,180,288 B1 (EVERHART et al) 30 January 2001 (30.01.2001), whole document. 1-25			
Α	US 5,922,550 A (EVERHART et al) 13 July 1999 (13.07.1999), whole document.		1-25	
Y Y	US 5,512,131 A (KUMAR et al) 30 April 1996 (30.04.1996), whole document. US 4,647,544 A (NICOLI et al) 03 March 1987 (03.03.1987), whole document.		1-25	
Further	documents are listed in the continuation of Box C.	See patent family annex.		
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention		
"E" earlier ap	plication or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be consider when the document is taken alone		
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination		
"O" document	referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in the		
"P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent f		
Date of the actual completion of the international search 22 May 2003 (22.05.2003)		Date of mailing of the international sear 04 AUG 200		
	ailing address of the ISA/US	Authorized offices (
Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450		Kartic Padmanabhan Tuush	ence for	
Ale	xandria, Virginia 22313-1450 o. (703)305-3230	Telephone No. 703-308-0196		

	PCT/US03/11746
INTERNATIONAL SEARCH REPORT	
Continuation of B. FIELDS SEARCHED Item 1:	
356/305, 317, 318, 347; 385/12, 129, 130, 131; 422/68.1, 82.11; 435/4, 5, 6, 7	1 7 2 7 0 297 1 297 2 299 7 909, 426/164
172, 518-532, 805	.1, 7.2, 7.9, 287.1, 287.2, 288.7, 808, 430/104,
172, 310-332, 003	