(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 22 August 2002 (22.08.2002)

PCT

(10) International Publication Number WO 02/064877 A3

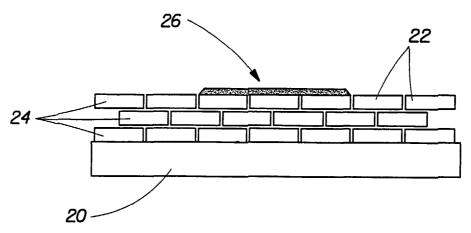
- (51) International Patent Classification⁷: **D06M 11/45**, 11/44, 11/46, 11/77, C11D 11/00, C09D 1/00
- (21) International Application Number: PCT/US02/02606
- (22) International Filing Date: 30 January 2002 (30.01.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/265,013 30 January 2001 (30.01.2001) U
- (71) Applicant: THE PROCTER & GAMBLE COMPANY [US/US]; One Procter & Gamble Plaza, Cincinnati, OH 45202 (US).
- (72) Inventors: ROHRBAUGH, Robert, Henry; 3913 Jocelyn Drive, Hamilton, OH 45011 (US). JORDAN, Glenn, Thomas, IV; 5750 Ridgeview Drive, Indian Springs, OH 45011 (US). MCDONALD, Michael, Ray; 6514 Countryside Lane, Middletown, OH 45044 (US). CARTER, John, David; 6 Princess Mary Court, Jesmond, Tyne and Wear NE2 3BG (GB). GOSSELINK, Eugene, Paul; 3754 Susanna Drive, Cincinnati, OH 45251 (US). LIDDLE, Heather, Anne; 11810 Passage Way, Apt. 183, Cincinnati, OH 45240 (US). CRAMER, Ronald, Dean; 86 Oliver

Road, Cincinnati, OH 45215 (US). PONOMARENKO, Ekaterina, Anatolyevna; Nordstrasse 3A, 65824 Schwalbach am Taunus (DE). BURCKETT ST. LAURENT, James, Charles, Theophile, Roger; Rue des Fonds 16, B-1380 Lasne (BE). THUEMMLER, Karl, Edward; 2415 Fox Sedge Way, R, West Chester, OH 45069 (US). SCHMIDT, Mattias; Charlotte-Rosenthal-Str. 21, 65510 Idstein (DE).

- (74) Agents: REED, T., David et al.; The Procter & Gamble Company, 5299 Spring Grove Avenue, Cincinnati, OH 45217-1087 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent

[Continued on next page]

(54) Title: COATING COMPOSITIONS FOR MODIFYING SURFACES



(57) Abstract: Coating compositions, methods and articles of manufacture comprising a nanoparticle system employing same to impart surface modifying benefits for all types of soft surfaces, and in some cases, hard surfaces, are disclosed. In some embodiments, dispersement of nanoparticles in a suitable carrier medium allows for the creation of coating compositions, methods and articles of manufacture that create multi-use benefits to the modified surfaces. These surface modifications can produce long lasting or semi-permanent multi-use benefits that, in some embodiments, may include at least one of the following improved surface properties: cleaning, wettability, liquid strike-through, comfort, stain resistance, soil removal, malodor control, modification of surface friction, reduced damage to abrasion and color enhancement, relative to the surfaces unmodified with such nanoparticle systems.



WO 02/064877 A3



(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report: 9 January 2003

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No PCT/US 02/02606

A. CLASSIFICATION OF SUBJECT MATTER I PC 7 D06M11/45 D06M11/44 D06M11/46 D06M11/77 C11D11/00 C09D1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal

| C. DOCUME | ENTS CONSIDERED TO BE RELEVANT | | | |
|---|---|---|--|--|
| Category ° | Citation of document, with indication, where appropriate, of the | relevant passages | Relevant to claim No. | |
| X | DATABASE WPI Week 199937 Derwent Publications Ltd., Lond AN 1999-439776 XP002210192 "Hydrophilic coating compositi & JP 11 181339 A (TOTO LTD), 6 July 1999 (1999-07-06) cited in the application abstract | | 1,5,6,9 | |
| X | EP 0 474 415 A (ROHM & HAAS) 11 March 1992 (1992-03-11) page 2, line 7 - line 11 page 3, line 13 - line 53 page 4, line 52 - line 56 | | 1,3,4,9 | |
| γ | page 5, line 19 - line 27 | -/ | 10 | |
| χ Furti | ner documents are listed in the continuation of box C. | X Patent family members are listed in | n annex. | |
| ° Special ca | tegories of cited documents : | <u></u> | | |
| "A" docume consid | ent defining the general state of the art which is not lered to be of particular relevance | "T" later document published after the inter or priority date and not in conflict with t cited to understand the principle or the invention | national filing date he application but ory underlying the | |
| filing d "L" docume which citation | nt which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or | "X" document of particular relevance; the cleannot be considered novel or cannot involve an inventive step when the doc "Y" document of particular relevance; the cleannot be considered to involve an inventive some with one or more ments, such combination being obvious. | be considered to ument is taken alone aimed invention entive step when the re other such docu- | |
| "P" docume | nearise part published prior to the international filing date but nan the priority date claimed | in the art. "&" document member of the same patent for | · | |
| Date of the actual completion of the international search | | Date of mailing of the international sear | Date of mailing of the international search report | |
| 2 | 0 August 2002 | 15. 11. 02 | | |
| Name and r | nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nt, Fax: (+31-70) 340-3016 | Authorized officer Koegler-Hoffmann, | S | |

INTERNATIONAL SEARCH REPORT

International Application No PCT/US 02/02606

| | | |
|------------|---|-----------------------|
| | ation) DOCUMENTS CONSIDERED TO BE RELEVANT | |
| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| Υ | WO 98 01223 A (YEISER JOHN) 15 January 1998 (1998-01-15) claims | 10 |
| Χ | US 5 364 550 A (MANZO ROBERT P ET AL) 15 November 1994 (1994-11-15) claims | 1,3,9 |
| Х | WO 97 28303 A (MINNESOTA MINING & MFG) 7 August 1997 (1997-08-07) page 5, line 17 -page 20, line 14 | 1,3-6,11 |
| X,P | WO 01 96511 A (PROCTER & GAMBLE) 20 December 2001 (2001-12-20) claims | 1,3,4, 10,11 |
| | | |
| | | |

International application No. PCT/US 02/02606

INTERNATIONAL SEARCH REPORT

| Box I | Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet) | | | |
|--|---|--|--|--|
| This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: | | | | |
| 1. | Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: | | | |
| 2. | Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: | | | |
| 3. | Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). | | | |
| Box II | Observations where unity of invention is lacking (Continuation of item 2 of first sheet) | | | |
| This Inte | ernational Searching Authority found multiple inventions in this international application, as follows: | | | |
| | see additional sheet | | | |
| 1. | As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims. | | | |
| 2. | As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee. | | | |
| 3. | As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.: | | | |
| 4. X | No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1, 3-7, 11, 9, 10 | | | |
| Remar | k on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees. | | | |

International Application No. PCT/US 02/02606

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

in claim 10 fall within the scope of group I.

1. Claims: 1,3-7,11,9,10

A coating composition comprising a suitable carrier medium and a plurality of nanoparticles in said carrier medium, said nanoparticles comprising a first group of nanoparticles which comprises at least one type of nanoparticle material other than 2:1 layered silicates.

Group I concerns a coating composition wherein at least one type of nanoparticle material is other than 2:1 layered silicates. Under this aspect the method claim 9 and the article claimed

2. Claims: 2-7,11,9,10

A coating composition comprising a suitable carrier medium and a plurality of nanoparticles in said carrier medium wherein the nanoparticles have an aspect ratio of less than 10 and a particle size between greater than 0 and less than or equal to 750 nanometers.

Group II concerns a coating composition comprising nanoparticles which have an aspect ratio of less than 10 and a particle size between greater than 0 and less than or equal to 750 nanometers. Under this aspect the method claim 9 and the article claimed in claim 10 fall within the scope of group II.

3. Claim: 8

A method of applying a nanoparticle coating composition onto a soft surface wherein said composition is sprayed onto said surface.

4. Claims: 12-14

A method of rendering a material hydrophilic, said method chracterized in that it comprises the steps of:

a) providing a material comprised of hydrophobic or

borderline hydrophilic components;

- b) applying a high energy surface treatment to said material to form a treated material and
- c) applying a plurality of nanoparticles to said treated material.
- 5. Claim: 15

International Application No. PCT/US 02/02606

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

A method of applying a plurality of nanoparticles to a surface, said method characterized in that it comprises the steps of:

a) providing a surface;

 b) providing a composition comprising a plurality of nanoparticles and

c) printing said nanoparticles in said composition onto said surface using inkjet printing.

6. Claims: 16-20

A colour-enhanced surface, said surface characterized in that it comprises at least some colour regions, wherein at least some of said colour regions having a plurality of nanoparticles thereon, and said nanoparticles have a particle size distribution of less than or equal to about 750 nanometers and a refractive index of greater than or equal to about 1:3.

Group VI concerns the use of nanoparticles having a particle size distribution of less than or equal to about 750 nanometers and a refractive index of greater than or equal to about 1:3. Under this aspect the method claim 19 fall within the scope of group VI.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/US 02/02606

| Patent document cited in search report | Publication date | | Patent family member(s) | Publication date |
|--|------------------|--|--|--|
| JP 11181339 A | 06-07-1999 | NONE | ······································ | |
| EP 0474415 A | 11-03-1992 | US AT AU CA CN CS DE EP ESI HU IE IL JPO NZ PT US | 5451432 A 127869 T 653952 B2 8265391 A 2049474 A1 1059572 A ,B 9102681 A3 69112963 D1 69112963 T2 0474415 A2 2078454 T3 914090 A 4996 A 59730 A2 913066 A1 99350 A 4270733 A 913280 A 239525 A 98837 A 5385756 A | 19-09-1995 15-09-1995 20-10-1994 05-03-1992 01-03-1992 18-03-1992 18-03-1995 21-03-1996 11-03-1995 01-03-1992 19-01-1996 29-06-1992 11-03-1992 30-03-1995 28-09-1992 02-03-1992 25-11-1993 31-08-1992 31-01-1995 |
| WO 9801223 A | 15-01-1998 | AU WO | 3882497 A 9801223 A1 | 02-02-1998 15-01-1998 |
| US 5364550 A | 15-11-1994 | CA | 2111392 A1 | 17-06-1994 |
| WO 9728303 A | 07-08-1997 | US AU AU BR CA DE EP JP WO | 5908663 A 711263 B2 1523397 A 9612471 A 2242396 A1 69620264 D1 0934438 A1 2000504379 T 9728303 A1 | 01-06-1999 07-10-1999 22-08-1997 13-07-1999 07-08-1997 02-05-2002 11-08-1999 11-04-2000 07-08-1997 |
| WO 0196511 A | 20-12-2001 | AU AU WO WO US WO WO WO US | 0196516 A1 2002028288 A1 5487900 A 6840301 A 6840401 A 0196511 A2 0196512 A2 2002108640 A1 2002045010 A1 02070612 A1 02074448 A2 02060998 A2 2002160224 A1 2002102359 A1 2002144712 A1 | 20-12-2001 07-03-2002 24-12-2001 24-12-2001 24-12-2001 20-12-2001 20-12-2001 15-08-2002 18-04-2002 12-09-2002 26-09-2002 08-08-2002 31-10-2002 10-10-2002 |