# Commonwealth of Australia The Patents Act 1952

# DECLARATION IN SUPPORT

In support of the (Convention) Application made by: Christian BINDSCHAEDLER, Robert GURNY and Eric DOELKER, 4, route de malagny, CH-1294 Gentho	d, Switzerland;
7, rue Calvin, CH-1204 Geneva, Switzerland; 24, avenue Dumas, CH-1206 Gen	
Switzerland, respectively for a patention an invention entitled: Process for preparing a powder of wat	er-
insoluble polymer which can be redispersed in a liquid phase,	the
resulting powder and utilization therof k(We) Robert Gurny and Eric Doelker финанский правижения do solemnly and sincerely declare as follows:	
two of	
a) Ham-(We are) the applicant(s) for the patent	
ØL.	
_b) Lane (Weare) authorized by the applicant(s) for the patentic make this declaration on its behalf.	
Delete the following if not a Convention Application.	
The basic application(x) as defined by section 141 (242) of the Act was (1424xe) made	
in Switzerland On April 16, 1987	

by Christian BINDSCHAEDLER, Robert GURNY, Eric DOELKER

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The basic application(s) referred to in this paragraph is (axe) the first application(s) made in a Convention country in respect of the invention the subject of the application.

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a) I-am (warreynkaroxakkrokokaka) ma-I (a

or Christian BINDSCHAEDLER

b) Robert GURNY Eric DOELKER

are the actual inventors of the invention is (are) the actual inventor(s) of the invention and the facts upon which

is (are) entitled to make the application are as follows:

Declared at Geneva this 1st day of December 1988

Signed A Reserve Staned

Declarant's Name Robert GURNY

Eric DOELKER

Applicant/Inventor Applicant/Inventor F. B. RICE & CO PATENT ATTORNEYS

This form is suitable for any type of Patent Application. No legalisation required,

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(54) Title
PROCESS FOR PREPARING A POWDER OF WATER-INSOLUBLE POLYMER WHICH
CAN BE REDISPERSED IN A LIQUID PHASE, THE RESULTING POWDER AND
UTILIZATION THEREOF

International Patent Classification(s)

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- (56) Prior Art Documents US 2809192
- (57) Claim
- 1. A process for preparing a powder of water-insoluble polymer which can be redispersed in a liquid phase, characterized by the fact that
- a) a concentrated aqueous solution of a solute selected so that it does not form a precipitate during the entire process is prepared, to which a protective hydrocolloid is added in a quantity sufficient to produce a viscous solution or a gel;
- b) a solution of water-insoluble polymer in a single organic solvent which is at least partially miscible with water is prepared separately;
- c) the aqueous mixture obtained above is added, with agitation, to the organic polymer solution, yielding an emulsion of the oil-in-water type;
- d) water in sufficient quantity for all of the organic solvent to diffuse into the aqueous phase is then added to

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the resulting emulsion, thus causing the formation of microparticles of polymer in suspension in the said aqueous phase;

- e) the excess solute and protective hydrocolloid are eliminated by repeated washing with water, and then the collected polymer microparticles are dried.
- 4. A process according to any claims 1 through 3, characterized by the fact that the solute is an electrolyte such as a mineral salt or a nonelectrolyte.
- 9. A process for preparing a water-insoluble polymer in the liquid dispersion state, characterized by the fact that
- a) a concentrated aqueous solution of a solute selected so that it does not form a precipitate during the entire process is prepared, to which a protective hydrocolloid is added in a quantity sufficient to produce a viscous solution or a gel;
- b) a solution of water-insoluble polymer in a single organic solvent which is at least partially miscible with water is prepared separately;
- c) the aqueous mixture obtained above is added, with agitation, to the organic polymer solution, yielding an emulsion of the oil-in-water type;
- d) water in sufficient quantity for all of the organic solvent to diffuse into the aqueous phase is then added to the resulting emulsion, thus causing the formation of microparticles of polymer in suspension in the said aqueous phase;
- e) the excess solute and protective hydrocolloid are eliminated by repeated washing with water, and then the washed microparticles are left in suspension in a suitable portion of water.



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(54) Title: PROCESS FOR PREPARING A POWDER OF WATER-INSOLUBLE POLYMER WHICH CAN BE RED-ISPERSED IN A LIQUID PHASE, THE RESULTING POWDER AND UTILIZATION THEROF

#### (57) Abstract

A water-insoluble polymer powder that can be redispersed in a liquid phase is obtained by proceeding as follows: first prepare a two-phase liquid-liquid system from a concentrated aqueous solution of a solute and a solution of the polymer in an organic solvent which is at least partially miscible with water, and then add water to it until all of the organic solvent has diffused into the aqueous phase. The polymer microparticles are then washed and dried. When resuspended in water they result in a pseudo-latex.

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# PROCESS FOR PREPARING A POWDER OF WATER-INSOLUBLE POLYMER WHICH CAN BE REDISPERSED IN A LIQUID PHASE, THE RESULTING POWDER AND UTILIZATION THEREOF

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The invention pertains to the area of latexes, dispersions in a liquid phase of water-insoluble polymers, also called pseudo-latexes, and powders of such polymers which can be redispersed in a liquid phase. Specifically, the objective of the invention is a process for preparing a powder of water-insoluble polymer, which can be redispersed in a liquid phase, as well as utilization of such a powder to produce a pseudo-latex.

At present, pseudo-latexes in the liquid dispersion state are manufactured according to the process described in U.S. Patents 4,177,177 and 4,336,338 or according to minor variations thereof. This process consists of dissolving a water-insoluble polymer in an organic solvent which is not miscible with water, emulsifying the resulting solution in an aqueous phase containing cationic, anionic or nonionic surfactants, passing the crude emulsion through a high-pressure homogenizer and finally removing the solvent by evaporation at reduced pressure. In principle, according to the above patents, practically any polymer which is simultaneously insoluble in pure water and soluble in an organic solvent which is not miscible with water can be converted into an aqueous dispersion.

Pseudo-latexes are currently offered particularly as aqueous coating means or as medication vectors. During prolonged storage period, however, a progressive loss of stability is noted, due, among other things, to the phenomenon of irreversible hydrolysis or flocculation. These pseudo-latexes sometimes contain high proportions of surfactants, which can render them unsuitable for certain purposes, especially pharmaceutical ones. In

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addition, for a given proportion of particles in liquid dispersion, it is necessary to transport large volumes of liquids, which is very costly on an industrial scale.

At present, desiccation of such pseudo-latexes for the purpose of obtaining therefrom a powder which can subsequently, at a desired time, be redispersed in an aqueous medium, implies prior addition to the liquid dispersion (pseudo-latex) of large proportions of surfactants or protective agents which remain behind in the resulting powder.

On the other hand, several processes for obtaining dried redispersible latex powders are known, but these are only applicable to a small number of water-insoluble polymers. U.S. Patent 4,462,839 describes a process for preparing powdered pseudo-latexes of cellulose acetophthalate, hydroxypropylmethylcellulose phthalates and polyvinyl acetophthalate. This technique is based on the addition of tribasic phosphates to the latex, followed by drying by atomization. The same authors (EP 0,111,103) describe a technique for obtaining a redispersible powdered pseudo-latex of cellulose acetophthalate, designed for coating purposes, involving the addition to the preformed pseudo-latex of an acetylated monoglyceride and then drying by atomization. In addition, U.S. Patent 2,800,463 describes the conversion of a polyvinyl acetate latex into a powder which can be redispersed by adding a protective hydrocolloid (polyvinyl alcohol, gum arabic, gum, tragacanth, etc.), again followed by drying by atomization.

Thanks to the present invention, it is now possible to obtain, easily and with practically no limitation, microparticles of water-insoluble polymers in the form of easily redispersible powders, as well as pseudo-latexes in the liquid dispersion state, without being faced with the disadvantages enumerated above. The invention is defined in particular in claims 1 and 9.

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The invention is based on the discovery that certain organic solvents, although miscible in all proportions with pure water, lead to the formation of a two-phase liquid-liquid system when they are added to concentrated aqueous solutions of a salt or even of a substance not subject to electrolytic dissociation.

Selection of the solute is just as critical as that of the solvent, and all combinations resulting in precipitation of the solute in solid form are, of course, unsuitable. The condition of complete miscibility between the solvent and pure water is not, on the other hand, essential, but contributes advantageously to proper execution of the process according to the present invention.

To obtain a redispersible pseudo-latex powder from a water-insoluble polymer, the first step, according to the invention, is to prepare a concentrated aqueous solution of a solute, to which a water-soluble macro-molecular substance is added in sufficient quantity to produce a viscous solution or a gel.

It is possible to use a salt such as a mineral salt, such as magnesium, aluminum or sodium chloride, for example, or a metal sulfate, sulfite, nitrate, carbonate or phosphate as the solute. It is also possible to use a nonelectrolyte, such as a sugar-like glucose or sucrose, for example. The quantity of solute added will preferably be such that the aqueous solution is saturated or practically saturated, or more generally, sufficiently concentrated in solute that, once it is suitably mixed with the above-mentioned organic phase, it causes precipitation of the polymer microparticles.

As indicated, a water-soluble macromolecular substance is added to the said solution, designed to act as a protective hydrocolloid, at both the product preparation stage and the finished product stage, once the WO 88/08011

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finished product has been redispersed in an aqueous medium. A water-soluble macromolecular polysaccharide such as gum arabic or gum tragacanth or a water-soluble polypeptide such as gelatin can be used as this substance. It is also possible to use a water-soluble polymer of synthetic origin, polyvinyl alcohol in particular. This list is not, however, exhaustive.

The water-soluble macromolecular substance is added to the concentrated aqueous solution in a quantity such that a gel or at least a viscous solution is finally obtained. The quantity added will depend on the nature of the said substance as well as the solute concentration.

Separately, a solution of the selected polymer is prepared in an organic solvent which is at least partially miscible with water, such as an alcohol, a polyalcohol or a ketone, for example. It is preferable to use an organic solvent which is miscible with water in all proportions, since this correspondingly facilities elimination thereof by repeatedly washing with water at the end of the process. The solvent should also be selected on the basis of its volatility and low toxicity, especially in view of the pharmaceutical application of the resulting product, for example, acetone, ethyl alcohol or isopropyl alcohol.

The above-mentioned concentrated aqueous phase, most generally in the form of a gel or a viscous solution, is then incorporated, under vigorous mechanical agitation, into the organic solution of the polymer, yielding, when addition has finished, an emulsion of the oil-in-water type. This operation is performed by means of the usual techniques at ambient temperature or even at low temperature, zero degrees C or even lower, depending on the nature of the products being treated. If desirable, the resulting emulsion can be subjected to a complementary

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homogenization operation, although this remains optional.

In accordance with the invention, pure water is then added to the resulting emulsion in sufficient quantity so that all of the organic solvent diffuses into the aqueous phase, thus causing the formation of microparticles of polymer suspended in the said aqueous phase. The water is added in the conventional way at the temperatures indicated above.

The resulting microparticles of polymer, generally present in spherical form, are first of all collected by sedimentation or centrifugation, and then, after elimination of the supernatant organic-aqueous phase, subjected to as many washings as necessary. The microparticles are washed by suspending them in water, with the addition, if necessary, of an organic solvent (for example, alcohol), which makes it possible to perform such an operation at low temperature. Any traces of excess solute and excess water-soluble macromolecular substance (protective hydrocolloid) are thereby eliminated.

A pseudo-latex in the liquid dispersion state can then easily be obtained at this stage of the operation by simply suspending the microparticles in water after the last washing stage.

The pseudo-latexes obtained in this manner are found to be, in the liquid dispersion state, extremely resistant to the addition of electrolytes, in contrast to pseudo-latexes prepared using methods known hitherto. This should facilitate the incorporation into the aqueous phase of medications or any other adjuvant. In addition, as a result of steric stabilization, rheological properties (low viscosity) and gelling resistance are greatly improved. The protective hydrocolloid, which is not solidly anchored to the surface of the

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pseudo-latex microparticles, is eliminated during the washing stage. This ensures that a product appropriate for coating applications and for the manufacture of films and membranes, such as those encountered especially in the pharmaceutical field, will be obtained. Irritating effects due to the presence of surfactant products in pseudo-latexes according to the prior art are also eliminated since no surfactant substance is incorporated during the process.

To produce the redispersible pseudo-latex powder, the microparticles of polymer are dried using any appropriate technique, once the washing operation is finished. One preferred drying technique is freezedrying; it also has the advantage of promoting redispersion of the powdered product which is produced. In addition, it is performed at a very low temperature, which is of capital importance when heat-sensitive polymers are used. In certain cases, of course, air drying or drying by atomization can be used.

The dried pseudo-latex powder rapidly redisperses in an aqueous medium, forming practically no clumps, under gentle agitation applied either manually or mechanically or with ultrasound.

By varying the agitation speed during the operation of mixing the aqueous phase (solute) and organic phase (polymer) and by using or not using the complementary homogenization stage or again by modifying the concentration of the polymer solution, it is possible to obtain particles with very different average sizes, ranging between 100 nm and 50  $\mu m$ .

Among the numerous advantages of the process described above, the following may be mentioned.

The process according to the invention does not at any time require an increase in temperature, unlike methods which utilize an organic solvent evaporation

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stage or a spray-drying stage. Indeed, it is even possible to perform the process at a low temperature (-20°C), thanks to the presence of electrolyte in the aqueous phase. Since the pseudo-latex is not coagulated by the addition of a certain proportion of organic solvent to the continuous phase, it is possible, if applicable, to use a mixture of water and organic solvent for washing in lieu of pure water. This capability of working at low temperature can be an important advantage when biopolymers are being manipulated, or when heatsensitive substances such as peptides or antibiotics are to be added.

The process according to the invention is applicable, in principle, to all water-insoluble polymers, provided the latter are soluble in an organic or mineral solvent and even to certain polymers which are soluble in water but insoluble in an aqueous solution that is concentrated in solute. In the latter case, however, it is best to crosslink the polymer that has thus been salted out so as to make it insoluble in pure water.

Certain water-insoluble polar polymers, such as cellulose, cellulose acetate or the polysulfones, do not dissolve easily except in solvents which are miscible with water. From this point of view, the proposed process facilitates or makes possible the production of pseudo-latexes from this type of polymer.

Since a number of medication substances are salted out in saline solutions, this makes it possible to envisage the incorporation of medications, in solution or in suspension, into the polymer particles, and thereby to obtain spherical filled microparticles by an unpublished method.

The examples below illustrate the invention in a more detailed manner without limiting it in any way.

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#### Example 1

## Preparation of a Cellulose Acetate Redispersible Pseudolatex Powder

- a) 911.2 g of magnesium chloride hexahydrate are dissolved in 588 g of water, and then 60 g of polyvinyl alcohol (molecular weight 100,000) are added with agitation. The resulting gel is allowed to stand for 24 hours.
- b) 100 g of cellulose acetate (AC 398-10, Eastman Kodak) are dissolved in 400 g of technical grade acetone.
- c) 693 g of the gel prepared in a) are slowly added (total time 20 minutes) and with agitation (motorized anchor stirrer, 350 rpm) to the organic solution obtained in b). 1000 ml of water are added to the resulting emulsion. The pseudo-latex which results is then placed in polycarbonate tubes and is centrifuged (seven minutes, 16,000 rpm). The sediment is collected, resuspended in water, placed back in the tubes and again centrifuged. This operation is repeated until the chloride reaction (addition of silver nitrate to the supernatant solution) becomes negative.
- d) The sediment is then resuspended in 30 ml of water, distributed into six one-liter flasks, cooled to -40°C and freeze-dried.

The freeze-dried powder is greasy and can easily be redispersed in water with gentle agitation (particle size as measured with a Coulter Nano-Sizer: sample before drying:  $1223 \pm 169$  nm; sample redispersed in aqueous phase:  $1157 \pm 169$  nm).

#### Example 2

## Preparation of a Cellulose Acetate Redispersible Pseudolatex Powder

35 138.6 g of polyvinyl alcohol and 100 g of an acetone solution of cellulose acetate (same compositions as in

the previous example) are mixed, and then the emulsion is homogenized (turbine type mixer) for three minutes. 200 ml of water are added, and then the resulting product is treated as in the previous example, step d). The homogenization stage has made it possible to reduce the average particle size to 380 nm.

#### Example 3

# Preparation of a Cellulose Acetophthalate Redispersible Pseudo-latex Powder

120 g of a 20 wt. % solution of cellulose acetophthalate (Eastman Kodak, U.S.P. grade) are prepared, to
which are slowly added 166.4 g of a polyvinyl alcohol
gel prepared as in Example 1, with agitation, followed
by 240 ml of water. Washing and freeze-drying are performed as for cellulose acetate and produce an easily
redispersible product with an average particle size of
about one micron.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- 1. A process for preparing a powder of water-insoluble polymer which can be redispersed in a liquid phase, characterized by the fact that
- a) a concentrated aqueous solution of a solute selected so that it does not form a precipitate during the entire process is prepared, to which a protective hydrocolloid is added in a quantity sufficient to produce a wiscous solution or a gel;
- b) a solution of water-insoluble polymer in a single organic solvent which is at least partially miscible with water is prepared separately;
- c) the aqueous mixture obtained above is added, with agitation, to the organic polymer solution, yielding an emulsion of the oil-in-water type;
- d) water in sufficient quantity for all of the organic solvent to diffuse into the aqueous phase is then added to the resulting emulsion, thus causing the formation of microparticles of polymer in suspension in the said aqueous phase;
- e) the excess solute and protective hydrocolloid are eliminated by repeated washing with water, and then the collected polymer microparticles are dried.
- 2. A process according to claim 1, characterized by the fact that the aqueous solution of solute is a saturated or practically saturated solution.
- 3. A process according to claim 1 and 2, characterized by the fact that the protective hydrocolloid is a polysaccharide or a water-soluble polypeptide of natural origin or a water-soluble synthetic polymer such as polyvinyl alcohol.
- 4. A process according to any claims 1 through 3, characterized by the fact that the solute is an electrolyte such as a mineral salt or a nonelectrolyte.



- 5. A process according to any of claims 1 through 4, characterized by the fact that the organic solvent for the polymer is miscible with water in any proportion.
- 6. A process according to any of claims 1 through 5, characterized by the fact that it comprises a stage in which the emulsion obtained in step c) is homogenized.
- 7. A powdered water-insoluble polymer which can be redispersed in a liquid phase obtained by means of the process according to claim 1.
- 8. A process for preparing a dispersion in the liquid phase of a powdered water-insoluble polymer, characterized by the fact that the polymer powder obtained by means of the process according to claim 1 is placed in suspension in water.
- 9. A process for preparing a water-insoluble polymer in the liquid dispersion state, characterized by the fact that a) a concentrated aqueous solution of a solute selected so that it does not form a precipitate during the entire process is prepared, to which a protective hydrocolloid is added in a quantity sufficient to produce a viscous solution or a gel;
- b) a solution of water-insoluble polymer in a single organic solvent which is at least partially miscible with water is prepared separately;
- c) the aqueous mixture obtained above is added, with agitation, to the organic polymer solution, yielding an emulsion of the oil-in-water type;
- d) water in sufficient quantity for all of the organic solvent to diffuse into the aqueous phase is then added to the resulting emulsion, thus causing the formation of microparticles of polymer in suspension in the said aqueous phase;
- e) the excess solute and protective hydrocolloid are eliminated by repeated washing with water, and then the washed microparticles are left in suspension in a suitable portion of water.



- 10. A process for preparing a water insoluble polymer powder which can be redispersed in a liquid phase substantially as hereinbefore described with reference to the examples.
- 11. A water insoluble polymer powder which can be redispersed in a liquid phase substantially as hereinbefore described with reference to the examples.

Dated this 26th day of February 1991.

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Patent Attorneys for the Applicant:
F.B. RICE & CO.



#### INTERNATIONAL SEARCH REPORT

International Application No PCT/EP 88/00281

	IFICATION OF SUBJECT MATTER (it several classification symbols apply, indicate all) *	
	to International Patent Classification (IPC) or to both National Classification and IPC	
IPC <sup>4</sup> :	C 08 J 3/14; C 08 J 3/02	
II. FIELDS	5 SEARCHED	
	Minimum Documentation Searched 7	
Classification	on System   Classification Symbols	
IPC <sup>4</sup>	C 08 J; C 08 B	
	Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched	
III. DOCU	MENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of Document, 11 with Indication, where appropriate, of the relevant passages 12	Relevant to Claim No. 13
X	US, A, 2809192 (SLOAN et al.) 8 October 1957, see claim 16; column 2, lines 48-55; column 3, lines 35-62; column 4, lines 1-6; column 4, lines 60-75; column 8, example I; column 11, lines 39-50	1,3,4,6,7
A A	FR, A, 1264953 (BASF) 23 juin 1961, see	8,9
	claims 1,2; page 1, column 2, lines 8-16; page 2, column 4, lines 8-15	
Α	GB, A, 2152936 (KAZUHIRO YAMAZAKI et al.) 14 August 1985, see claims 1-12; page 1, lines 44-52	1
"A" doc con "E" ear filir "I" doc white con doc oth "P" doc late	"T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date on priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published after to priority date and not in conflicted to understand the principl invention.  ""T" later document published to or priority date and not in conflicted to understand the principl invention.  """ document of particular relevancennot be considered novel or involve an invention be considered novel or involve an invention be considered novel or involve an invention to understand the principl invention.  """ document of particular relevancennot be considered novel or involve an invention be considered novel or involve an invention.  """  """  """  "Additional transfer document published after to priority date and not in conflicted to understand the principl invention.  """  """  """  "Additional transfer and not in conflicted to understand the principl invention.  """  "Additional transfer and not in conflicted to understand the principl invention.	ct with the application but e or theory underlying the ce; the claimed invention cannot be considered to ce; the claimed invention en inventive step when the or more other such docu- obvious to a person skilled patent family
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Internation	EUROPEAN PATENT OFFICE Signature of Authorized Officer M. JAN MOL	2

#### ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

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SÄ 21726

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 15/07/88

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Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
US-A-	2809192		None			
FR-A-	1264953		None			
GB-A-	2152936	14-08-85	DE-A- JP-A- US-A- FR-A- CA-A- JP-A-	3502329 60197746 4551389 2558475 1235119 60155245	25-07-85 07-10-85 05-11-85 26-07-85 12-04-88 15-08-85	
			US-A-	4663447	05-05-87	

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