

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
18 January 2007 (18.01.2007)

PCT

(10) International Publication Number
WO 2007/007061 A1(51) International Patent Classification:
H01L 51/30 (2006.01) *C01B 31/02* (2006.01)

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:
PCT/GB2006/002528

(22) International Filing Date: 7 July 2006 (07.07.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0514038.9 7 July 2005 (07.07.2005) GB

(71) Applicant (for all designated States except US): UNIVERSITY OF SURREY [GB/GB]; Guildford, Surrey GU2 7XH (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HATTON, Ross, Andrew [GB/GB]; Advanced Technology Institute, School of Electronics and Physical Sciences, University of Surrey, Guildford, Surrey GU2 7XH (GB). SILVA, Sembuktiarachilage, Ravi [GB/GB]; 29 Verran Road, Camberley, Surrey GU15 2ND (GB).

(74) Agents: MUSKER, David, Charles et al.; R.G.C. Jenkins & Co., 26 Caxton Street, London SW1H 0RJ (GB).

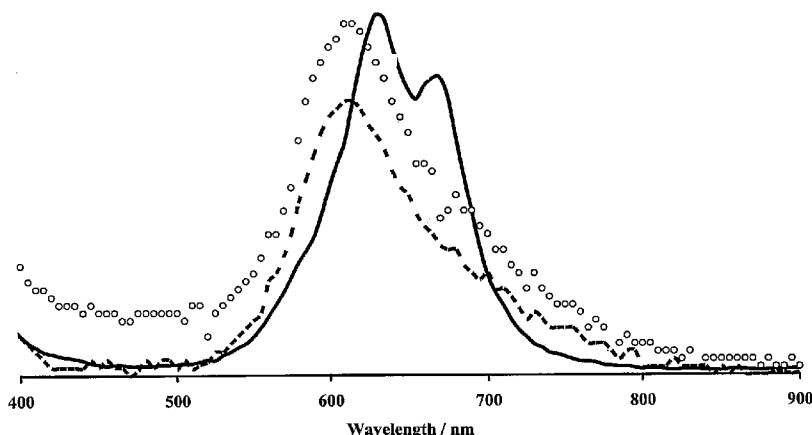
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: IMPROVEMENTS IN THIN FILM PRODUCTION



WO 2007/007061 A1

(57) Abstract: A method of producing a thin film comprising uniformly dispersed carbon nanotubes, the method comprising the steps of: adapting a molecular semiconductor to make it soluble; adapting the molecular semiconductor to facilitate the formation of a high degree of molecular order and frontier orbital overlap between adjacent molecules; adapting carbon nanotubes to make them soluble; combining the soluble carbon nanotubes and the soluble molecular semiconductor in a solvent to form a solution; producing the thin film from the solution.

IMPROVEMENTS IN THIN FILM PRODUCTION

The present invention relates to improvements in thin film production, for electronic devices employing organic semiconductors. In particular, the 5 present invention relates to an improved method of producing a thin film of organic semiconductor with uniformly dispersed carbon nanotubes and devices incorporating such a thin film.

It should be noted that in this application carbon nanotube (CNT) is intended to mean single walled carbon nanotubes (SWCNT), multi-walled 10 carbon nanotubes (MWCNT) or a mixture of both. Furthermore, since carbon nanotubes may be synthesised by several different methods (e.g. chemical vapour deposition (CVD), arc discharge and laser ablation methods) it should be noted that this application is not limited to any particular method of carbon nanotube production.

15 A great deal of research and development has been carried out in the area of carbon nanotube production. The applicant has previously filed patent applications associated with carbon nanotube production. One such application (PCT/GB02/003438 (WO03/011755)) relates to the production of carbon nanotubes at low temperatures enabling them to be deposited onto 20 flexible plastics and at low cost.

Organic semiconductors can be processed into large area thin films at room temperature using spin coating or printing techniques. Progress in the development of electronic devices based on organic semiconductors has

progressed rapidly in recent years, none more so than in the area of organic light-emitting diodes (OLEDs). Whilst OLEDs have entered the market place in niche applications, the potential of these devices has not been fulfilled, particularly in the field of large area displays.

5 Also, organic solar cells cannot even begin to realize their potential as an economically viable path to harvesting energy from the sun, without significant improvements in power conversion efficiency and device durability. Whilst conventional silicon solar cells exhibit high efficiencies and device durability, the fabrication costs are prohibitively high. In view of
10 the urgent global need for clean, low cost energy solutions, solar cells are one of the most important potential applications of organic semiconductors.

A large amount of research and development has taken place into organic semiconductor materials and devices. Organic semiconductors can be broadly categorized according to their molecular weight as follows:

15

- (i) Conjugated polymers have molecular weights exceeding 10,000 atomic mass units (amu) and may be deposited from a solution using spin coating or printing techniques.
- (ii) Conjugated small molecules, including oligomers, have molecular
20 weights less than a few 1000 amu and are normally deposited by vacuum evaporation. Small molecule organic semiconductors are generally described as molecular semiconductors. Molecular semiconductors deposited using vacuum evaporation cannot

normally be processed from solution owing to their poor solubility in common organic solvents.

It is known to combine carbon nanotubes with polymeric semiconductors in order to produce a solution for use in making thin films.

5 However, this process has several disadvantages. For example, carbon nanotubes do not normally form a stable dispersion in a solution of polymeric semiconductors. That is to say, the carbon nanotubes are not held in solution for extended periods but tend to settle out. Consequently the solution must be ultrasonically agitated immediately prior to thin film deposition in order to 10 redisperse the carbon nanotubes. Ultrasonically agitating the composite immediately prior to processing into a film is incompatible with current printing technologies thereby raising production costs and rendering it impractical from a manufacturing perspective. The agitation process can also 15 be damaging to the organic semiconductor. Only a limited number of specific polymer structures are known to stabilise carbon nanotubes in a solution and so the range of potential applications is limited. Furthermore, the solubilising functionality in semiconductor polymers often hinders the formation of a closely packed molecular arrangement, which reduces charge carrier mobility.

It is widely known that it is difficult to place molecular 20 semiconductors, normally deposited by vacuum evaporation, into a solution due to their poor solubility. However, it has been shown that a solution can be produced containing molecular semiconductors by functionalising the molecular semiconductor with solubilising side groups. This solution can

then be used to deposit an organic semiconductor film for use in organic solar cells. However, the performance of such devices is reported to be very poor.

It is also known to use a small amount of soluble molecular semiconductor adsorbed onto the outer surface of carbon nanotubes. These 5 experiments are directed towards studies of the interaction of specific conjugated aromatic compounds with the outer surface of carbon nanotubes and towards enhancing the solubility of carbon nanotubes using this method. Usually, the compound is treated and dried out prior to carrying out certain experiments on the resultant dry compound. However, neither the solution 10 nor the resultant dry compound of functionalised carbon nanotubes is suitable for the manufacturing process involved in making thin film organic electronic devices.

It is also known to functionalise the internal cavities of carbon nanotubes by filling the cavity with organic semiconductor material or certain 15 metals. Filling the inner cavity is not known to render carbon nanotubes soluble in common solvents. Filling the inner cavity is a means of modifying the optical and/or electronic properties of the carbon nanotubes. These experiments have been carried out for the purposes of fundamental scientific 20 studies. Without further processing, the resultant compounds are not suitable for the manufacturing process involved in making electronic devices.

Further, it is also known to manufacture devices using thin film organic semiconductor layers, such as organic solar cells (photovoltaic

devices). If organic solar cells are to be a commercially viable means of harvesting energy from sunlight, they must be efficient, durable and low cost.

Organic solar cells using polymeric semiconductors can be manufactured using low cost solution processing techniques such as ink jet printing, spin coating, doctor blading or screen printing. However, most polymeric semiconductors have an optical band gap of 2-3 eV. For solar cell applications this limits the device efficiency because a large part of the solar spectrum is not harvested. The band gap in molecular semiconductors (1.4-3.2 eV) is more conducive with utilising the solar spectrum. However, this normally requires a vacuum deposition method, which, when fabricating solar cells, is much more costly than their polymeric analogue. Whereas, polymeric semiconductors are typically more susceptible to physical and chemical degradation than molecular semiconductors. If organic solar cells are to achieve their potential as a clean low cost energy solution the power efficiency and durability of these devices must be improved whilst retaining the cost advantages of solution processing.

Most organic semiconductors have low charge carrier mobility, which is a limiting factor in determining the efficiency of devices utilising this class of semiconductor. The ease with which charge is transferred between molecules is determined by the degree of overlap between the frontier orbitals, Highest Occupied Molecular Orbital (HOMO) and Lowest Occupied Molecular Orbital (LUMO), of adjacent molecules and is therefore a function of the molecular arrangement within the solid. The charge carrier mobility in

molecular solids is therefore highest in highly ordered solids. However, cost effective methods of fabricating devices (e.g. printing and spin coating) are not normally conducive with the formation of a crystalline lattice. Organic semiconductors prepared using printing and spin coating techniques most 5 closely resemble an amorphous state. Consequently, charge carrier mobility is low ($10^{-6} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ to $10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$), and is the primary contribution to device series resistance.

A further consequence of weak intermolecular interactions is the limited exciton diffusion length in organic semiconductors, which limits the 10 thickness of the absorbing layers in organic solar cells, thereby limiting the optical density and power conversion efficiency. The exciton diffusion length in polymeric semiconductors is typically significantly lower than those in molecular semiconductors.

In recent research by Wang et al. (Materials Science and Engineering 15 2005 pp. 296-301), the fabrication of a carbon nanotube and molecular semiconductor composite was discussed. This research aims to improve the interface between the carbon nanotube and dye molecules in order to facilitate photo-induced charge transfer. Also, it is suggested that the molecular semiconductor is made soluble by functionalising with alkyl chains. 20 However, the films produced are only of one micron thickness, i.e. 'cast coated'. Also, neither the molecular order within the semi-conducting matrix, nor the electronic structure is addressed resulting in a film not suitable for use in electronic devices.

Further, engineering solutions to many of the problems associated with protecting organic devices from the detrimental effects of ambient water and oxygen are now established. However, poor thermal management in these devices remains a serious problem, particularly for solar cell 5 applications, which must withstand extremes of temperature over extended periods. Electrical (Joule heating) and optically generated heat anneals the organic layers causing them to inter-diffuse and undergo morphological changes including loss of interfacial adhesion. Whilst annealing to induce controlled morphological change at the fabrication stage can enhance the 10 performance of some devices (e.g. bilayer heterojunction solar cells), heat is ultimately detrimental to device lifetime. To keep Joule heating to a minimum the device series resistance must be minimized. Optical heating is particularly problematic in solar cell devices where the infrared photons are not efficiently harvested.

15 The present invention aims to overcome, or at least alleviate, some or all of the afore-mentioned problems.

In one aspect the present invention provides a method of producing a thin film comprising uniformly dispersed carbon nanotubes, the method comprising the steps of: adapting a molecular semiconductor to make it 20 soluble; adapting the molecular semiconductor to facilitate the formation of a high degree of molecular order and frontier orbital overlap between adjacent molecules; adapting carbon nanotubes to make them soluble; combining the

soluble carbon nanotubes and the soluble molecular semiconductor in a solvent to form a solution; producing the thin film from the solution.

In one aspect of this invention, a carbon nanotube composite is prepared into a thin film using only soluble derivatives of molecular semiconductors, of the type normally deposited using vacuum deposition techniques. To make these materials soluble in common organic solvents, the conjugated core is functionalized at the periphery, or non-peripheral positions, with solubilising moieties. Unlike most semiconducting polymers these types of organic semiconductor can exhibit a high degree of molecular order (i.e. a semi-crystalline state) when processed from solution onto amorphous substrates such as, for example, glass. By careful selection of the position and nature of the solubilising functionality it is possible to select the molecular configuration with maximum overlap between frontier molecular orbitals on adjacent molecules, thereby maximizing the charge carrier mobility and exciton diffusion length. Functionalisation is also a versatile means of tuning the electronic structure of the molecular semiconductor for a specific application. Carbon nanotubes can be stabilized in solution via non-covalent interactions with the soluble molecular semiconductor, a suitable solvent or direct chemical functionalisation using solubilising moieties. The method of carbon nanotube stabilisation in solution is not limited to any particular method.

This invention represents a significant advance in organic semiconductor technology, enabling better device performance through

improved stability and device efficiency. More specifically this invention increases the prospects for the commercial exploitation of organic solar cells; the solar cells offering high efficiency, good operational stability and large area deposition capability.

5 Composites of carbon nanotube and molecular semiconductors have enormous potential as functional materials within organic electronic devices when processed into thin films. These materials offer:

- i. improved thermal management in organic electronic devices, owing to the high thermal conductivity of CNTs, extending 10 device lifetime.
- ii. enhanced device efficiencies due to efficient charge carrier injection/extraction.
- iii. enhanced device efficiencies due to reduced device series resistance.
- iv. enhanced trapping of light in solar cells, owing to the propensity 15 of carbon nanotubes to scatter light.
- v. versatility due to the ability to tune the electronic and optical properties of both the molecular semiconductor and carbon nanotube, maximizing potential applications.
- vi. compatibility with flexible low cost substrates and existing low 20 cost, large area solution processing technologies.

Further advantages of using molecular semiconductors as described in this patent application arise as follows:

5 (a) Suitably functionalised molecular semiconductors can be processed to form thin films with a high degree of molecular order. Molecular order is desirable since it maximises charge carrier mobility and exciton diffusion length, thereby enhancing device efficiency and ultimately device lifetime.

10 (b) Molecular semiconductors are typically more chemically and thermally stable than semiconductor polymers, extending device lifetime.

(c) Readily available molecular semiconductors have a greater range of band gaps, which can be tuned using appropriate functionality.

Specific embodiments of the present invention will now be described by way of example only, with reference to the accompanying drawings, in which:

15 Figure 1A shows a functionalised metal (copper) phthalocyanine compound;

Figure 1B shows the molecular configuration (co-facial) in a film of the compound shown in Figure 1A when spin cast from an aqueous solution;

Figure 2A shows a functionalised metal-free phthalocyanine molecule;

20 Figure 2B shows the molecular configuration (herringbone) in a film of the molecule shown in Figure 2A when spin cast from a chloroform solution;

Figure 3 shows an atomic force microscope (AFM) image of chopped multi-walled, acid functionalised carbon nanotubes drop cast from an aqueous solution onto a mica substrate;

Figure 4 shows an AFM image of a spin cast thin film using a 5 composite of the acid functionalised carbon nanotubes shown in Figure 3 and the water soluble copper phthalocyanine shown in Figure 1A, wherein the thin film has been partially decomposed to reveal the carbon nanotubes;

Figure 5 shows a $1 \times 1 \mu\text{m}$ transmission electron microscope (TEM) image of a drop cast film of a composite of the acid functionalised carbon 10 nanotubes shown in Figure 3 (weight percentage 3.4 %) and the water soluble copper phthalocyanine shown in Figure 1A.

Figure 6 shows the Q-band absorption of the compound shown in Figure 1A from: (i) a dilute aqueous solution (solid line); (ii) a thin film spin cast from a concentrated aqueous solution (dashed line); (iii) a thin film spin 15 cast from a concentrated aqueous solution of the compound shown in Figure 1A combined with chopped acid functionalised carbon nanotubes shown in Figure 3 (carbon nanotube weight percentage 11.8 %) (open circles).

Figure 7A shows a schematic diagram of a bilayer organic solar cell;

Figure 7B shows a band diagram of a bilayer organic solar cell;

20 Figure 8 shows a graph illustrating the current density as a function of applied bias with and without simulated solar illumination of a bilayer organic solar cell utilising a composite of acid functionalised carbon nanotubes (Figure 3) and the molecular semiconductor in Figure 1A as the donor layer.

Figure 9A shows a schematic diagram of a bilayer organic light-emitting diode;

Figure 9B shows a band diagram of a bilayer organic light-emitting diode;

5 Figure 10 shows a graph of a bi-layer organic light emitting diode's luminance characteristics, with and without a composite layer of acid functionalised carbon nanotubes (Figure 3) and the molecular semiconductor shown in Figure 1A incorporated into the device.

10 **FIRST EMBODIMENT**

There are several stages required in order to produce a thin film device incorporating a composite of carbon nanotubes and molecular semiconductor material: (i) preparation of a stable composite solution of carbon nanotubes and molecular semiconductor; (ii) processing the composite solution to form a 15 thin film wherein the carbon nanotubes are uniformly distributed; (iii) fabrication of an electronic device utilising the carbon nanotube-molecular semiconductor thin film.

20 The first stage is to grow carbon nanotube material using any known carbon nanotube growth method. As discussed above, carbon nanotubes may be synthesised by several different methods including chemical vapour deposition (CVD), arc discharge and laser ablation methods. In this embodiment, the carbon nanotubes are grown using a high temperature CVD method, as is well known in the art.

The resultant carbon nanotubes are bundled together, and so need to be released from this state. In order to produce a stable concentrated dispersion of carbon nanotubes, in this embodiment, the carbon nanotubes are oxidized by acid treatment, or the like, to create polar groups on the outer 5 surface of the carbon nanotube including carboxylic acid moieties. This process is called acid functionalisation and renders the carbon nanotubes soluble in water. That is, the carbon nanotubes are adapted, or functionalised so that they become soluble.

The preparation of stable carbon nanotube dispersions is key to the 10 fabrication of nanocomposite thin films as described below. It is preferable that solubilising moieties have at least one of the following:

- (i) an electronic functionality;
- (ii) a low surface density, so as not to isolate the carbon nanotubes from the semiconductor matrix; or
- 15 (iii) suitable dimensions so as not to significantly impede the flow of charge carriers between the carbon nanotubes and the semiconductor matrix (i.e. < 1 nm in length).

In this embodiment multi-walled carbon nanotubes with a typical diameter of 10 nm are placed into a concentrated mixture of sulphuric acid 20 and nitric acid in the ratio 3:1. The mixture is then heated to a constant temperature of 50 °C and agitated using ultra-sonic waves for a period of 6 hours. This treatment introduces polar oxygen containing functionality onto the outer surface of the carbon nanotubes, rendering them water soluble and

increasing the carbon nanotube work function. The carbon nanotubes are also chopped into shorter lengths by this procedure.

In order to separate the carbon nanotubes from the concentrated acid, the solution is diluted with deionised water. The very low pH of the solution 5 causes the acid functionalised carbon nanotubes to settle over a period of 24 hours, whereupon 90% of the acid is decanted off. The remaining solution plus carbon nanotubes is further diluted using deionised water, filtered using a 0.1 nm polycarbonate (or PTFE) filter and washed with deionised water until the washings are pH neutral. The filter paper coated in carbon nanotubes is 10 then submerged in a small amount of deionised water whereupon the carbon nanotubes spontaneously disperse into solution. The resulting stable dispersion of carbon nanotubes in water is centrifuged to remove any residual particulate contamination from the solution.

Figure 1A shows a diagram of the functionalised metal phthalocyanine 15 compound utilised in this embodiment. The tetrasulfonic acid tetrasodium salt functionality renders the phthalocyanine core soluble in water.

Figure 1B shows a diagram of the typical molecular configuration in a spin cast film of the compound shown in Figure 1A, as discussed below.

The molecular semiconductor used in this embodiment is a member of 20 a large family of compounds based around the phthalocyanine (Pc) macrocycle. Pc can be metal free, or have a metal ion held in the central cavity of the macrocycle as used in this embodiment. By peripheral, or non-peripheral, functionalisation they can be made soluble in common solvents.

The number, type and position of the solubilising groups has a profound effect on the degree of molecular order and configuration when the compound is processed into a thin film as shown in Figure 1B. The molecules form columnar stacks. The crystalline order is localised and does not normally extend over macroscopic areas. The degree of order in the solid state strongly influences its optoelectronic properties (e.g. charge carrier mobility and exciton diffusion length). In addition to affecting the solubility and film forming properties of these compounds, derivatisation is also an effective means of tuning the electronic structure and optical absorption characteristics of the molecular semiconductor. Phthalocyanines have a planar structure and it is the π - π interactions between the macrocycles that is the driving force for aggregation. Not all forms of functionalised Pc give the desired molecular configuration when spin cast and so the choice of functionality must be made with care.

15 In this embodiment the copper phthalocyanine molecular semiconductor is functionalised at the periphery with tetrasulfonic acid tetrasodium salt functionality to: (i) make the molecular semiconductor water soluble; (ii) increase the molecular ionisation potential (tune the electronic structure); (iii) promote a columnar molecular arrangement when the 20 compound is cast into a thin solid film for device applications, as discussed below.

The molecular semiconductor is then dissolved in a suitable solvent, which, in this embodiment, is water. The molecular semiconductor solutions

are often used at a concentration close to saturation ($> 10 \text{ mg ml}^{-1}$) and so it is necessary to filter, preferably using a 0.1 micron filter, prior to blending the semiconductor solution with carbon nanotubes.

5 The next step involves combining the carbon nanotubes with the molecular semiconductor. That is, the carbon nanotubes are placed in a suitable solvent, which, in this embodiment is water. The carbon nanotube mixture is then added to the solvent mixture that contains the molecular semiconductor. This carbon nanotube-molecular semiconductor blend forms a stable dispersion.

10 The solution of molecular semiconductor and carbon nanotubes in a solvent is then used to make a thin film. In this embodiment, spin coating technology is utilised. Spin-coating technology is a simple rapid film forming process, making it attractive for industrial-scale manufacture of devices. Typically a drop of the composite solution is dropped onto a spinning 15 substrate. Upon contact the drop spreads uniformly across the substrate. The film thickness is a function of the volume of the droplet, the solution concentration, substrate spin speed and the solvent used. The resulting film is typically less than 100 nm in thickness and comprises carbon nanotubes uniformly distributed throughout the molecular semiconductor matrix, where 20 the semiconducting matrix has a high degree of molecular order.

In this embodiment the thin film is baked at 150°C in dry air for 30 minutes immediately after processing into a thin film to remove residual water.

Figure 4 shows a $3 \times 3 \mu\text{m}$ AFM topographic image of a spin cast film on glass of the composite of the acid functionalised carbon nanotubes shown in Figure 3 and the water soluble copper phthalocyanine shown in Figure 1A. After the deposition of the film, the film was heated at 400°C in air for an 5 extended period to partially decompose the organic matrix, revealing the embedded carbon nanotubes. Exposed carbon nanotubes can be seen all across the image. The height scale is 15 nm.

Figure 5 shows a $1 \times 1 \mu\text{m}$ transmission electron microscope image of a drop cast film on a nickel TEM grid of the composite of the acid 10 functionalised carbon nanotubes shown in Figure 3 and the water soluble copper phthalocyanine shown in Figure 1A. The composite was cast onto a nickel TEM grid. The thickness of the composite film in the image is less than 100 nm. The carbon nanotubes are clearly visible uniformly distributed within the phthalocyanine matrix.

15 Figure 6 shows the Q-band absorption of the compound shown in Figure 1A from: (i) a dilute aqueous solution (solid line); (ii) a thin film spin cast from a concentrated aqueous solution (dashed line); (iii) a thin film spin cast from a concentrated aqueous solution of the compound shown in Figure 1A combined with chopped acid functionalised carbon nanotubes shown in 20 Figure 3 (carbon nanotube weight percentage 11.8 %) (open circles)

The Q-band in the spectra of both thin films is broadened and blue shifted with respect that, in solution, indicative of a cofacial molecular arrangement within the films. The Q-band in both thin film spectra have the

same shape and peak position indicating that the carbon nanotubes do not appreciably disrupt the degree of molecular order. The Q-band in the dilute aqueous solution has contributions from both monomer absorption (~ 665 nm) and aggregate absorption (~ 625).

5 Figure 6 shows that the carbon nanotubes do not appreciably disrupt the degree of molecular order since the position and shape of the Q-band in the absorption spectra of thin films with and without carbon nanotubes are comparable. In Figure 5 the weight percentage of carbon nanotubes in the composite is 11.8 %. However the weight percentage of carbon nanotubes to 10 molecular semiconductor may vary according to the application, and may or may not be above the percolation threshold. The percolation threshold for multi-walled carbon nanotubes uniformly distributed in a polymers matrix is reported to be as low as 0.06%.

15 It is important to select a molecular semiconductor suitable for this application. In order to do so, two tests can be carried out as follows. Ensure the molecular semiconductor has a high degree of molecular order when processed into a thin film. Ensure the solubilising functionality of the molecular semiconductor, at least, does not hinder the formation of a columnar (tilted or untilted) molecular arrangement when processed into a 20 thin film. Further, a test can be carried out to ensure that the introduction of carbon nanotubes with the molecular semiconductor to form a composite material does not disrupt the molecular arrangement within the molecular semiconductor phase.

1. A first test shows that soluble molecular semiconductors that form thin films have a high degree of molecular order when processed from solution into a thin film on a substrate.

To test this condition, one of test a) or b) below could be used. If the 5 result of one is ambiguous, then the second test could be used to determine if the molecular semiconductor is suitable.

a) X-diffraction of a supported film of the molecular semiconductor:

Films with a 'high degree of molecular order' have one or more strong 10 peaks in the diffraction pattern. Strong peaks are defined as peaks in the X-ray diffraction pattern being sufficiently prominent that they are clearly discernable from featureless characteristic of the supporting substrate and background noise.

Amorphous materials are characterized by a broad, featureless X-ray 15 diffraction pattern.

b) Ultraviolet-visible spectroscopy (UV-vis).

HOMO-LUMO (i.e. frontier orbitals) transitions in molecular semiconductors can normally be probed using ultra-violet or visible light. HOMO-LUMO transitions are very sensitive to the proximity of neighboring 20 molecules and are therefore a good indicator of crystalline order.

The UV-vis spectrum of a very dilute solution of molecular semiconductor corresponds to that of an amorphous state.

The UV-vis spectrum of a supported film of the molecular semiconductor exhibiting a 'high degree of molecular order' should differ from that of the amorphous state, where a difference in the spectrum is defined as a discernable change in the shape and/or position of absorption 5 peaks assigned to HOMO-LUMO electronic transitions, indicative of a known molecular arrangement.

2. In a second test, it is shown that the solubilising functionality of the molecular semiconductor promotes, or, at least, does not hinder the formation of a columnar (tilted or untilted) molecular arrangement when 10 processed from solution into a thin film. A columnar molecular arrangement is defined here as face-to-face stacking of the aromatic cores with at least 50% area overlap between adjacent conjugated cores.

For planar aromatic molecular semiconductors, such as those described in this application, a high intermolecular overlap is only realized for 15 a face-to-face arrangement of the aromatic molecular cores of neighboring molecules. Consequently columnar (tilted or untilted) are the most favorable molecular configurations.

To test this condition, one of tests, a), b), or c) could be used.

a) Ultraviolet-visible spectroscopy (UV-vis).

20 The UV-vis spectrum of a very dilute solution of molecular semiconductor corresponds to that of an amorphous state.

HOMO-LUMO (i.e. frontier orbitals) transitions in molecular semiconductors can normally be probed using ultra-violet or visible light.

HOMO-LUMO transitions are very sensitive to the proximity of neighboring molecules and are therefore a good indicator of molecular architecture. By comparing the UV-vis absorption spectra of a thin film of molecular semiconductor (supported on a transparent substrate) to that of the amorphous state, the molecular configuration in the film can often be ascertained.

5

b) X-ray diffraction is widely used to determine molecular configuration within a crystalline solid.

c) Microscopy: scanning tunneling microscopy STM or the like, can be used to image the molecular configuration.

10 3. In a third test it is determined that, in the composite material, the carbon nanotubes do not disrupt the molecular arrangement within the molecular semiconductor phase.

15 In this test it is shown that addition of carbon nanotubes does not disrupt the 'high degree of molecular order' within the molecular semiconductor phase of the composite.

16 a) Ultraviolet-visible spectroscopy (UV-vis) is used.

17 For low carbon nanotube concentration, e.g. weight percentage < 15%, the peak position and shape of the bands corresponding to HOMO-LUMO transitions in the molecular semiconductor component of the composite film 20 should not differ from those in the film spectrum of the pure semiconductor.

18 New peaks assigned to interactions between the carbon nanotube and 19 molecular semiconductor may appear. All peaks will be superimposed onto a 20 background absorption associated with carbon nanotubes. For carbon

nanotube weight percentages > 15%, peaks associated with carbon nanotube-molecular semiconductor may begin to dominate the spectrum complicating the interpretation.

The present invention may be utilised in any type of electronic device.

5 In this embodiment the electronic device is an organic solar cell (OSC), however the invention is not limited to these devices.

Organic solar cells are made up of one or more semiconductor organic films that are sandwiched between two electrodes.

Figure 7A shows a schematic diagram of a bilayer OSC device
10 according to this embodiment. The device comprises a transparent anode 303, made of Indium-tin Oxide (ITO) coated glass with a sheet resistance of < 100 Ohms per square. The ITO coating on the glass substrate is typically 100-300 nm thick. Next to the transparent anode 303 are two organic layers, a donor layer 305 formed from the nanocomposite material, and an acceptor
15 layer 307. Next to the acceptor layer 307 is a cathode 309. The load 310 is also indicated in the diagram. Light 301 enters the device through the transparent anode 303 and is absorbed by the organic layers (305, 307).

Figure 7B shows a band diagram corresponding to the OSC device shown in Figure 7A. When the light is absorbed by the organic layers
20 (305,307) tightly bound electron-hole pairs (excitons) are created in both the donor and acceptor layer. At the organic interface, excitons are split and the free charges transported to the electrodes, whereupon they are extracted to the external circuit via the cathode.

The nanocomposite material forms the donor layer in the device structure shown in Figure 7A. In this case the semiconductor heterojunction is completed by vacuum deposition of the acceptor layer and top electrode. The acceptor layer comprises a 50 nm layer of Buckminsterfullerene (C₆₀).
5 The cathode comprises a 50nm layer of aluminium. The OSC described above employs a nanocomposite donor layer comprising 7.4% weight carbon nanotubes. The nanocomposite film is spin cast from an aqueous solution containing 12.5 mg ml⁻¹ of the compound shown in Figure 1A and 1 mg ml⁻¹ acid functionalised carbon nanotubes (Figure 3), and is approximately 15 nm
10 thick. It will be understood that the nanocomposite film could be of any other appropriate thickness, for example from 10-200 nm.

Carbon nanotubes have a high electrical conductivity and so when dispersed in an organic semiconductor the conductivity of the resulting composite can be enhanced. If the carbon nanotube concentration exceeds the
15 percolation threshold, the dispersed carbon nanotubes form a continuous conductive network embedded within the semiconductor matrix. Under these circumstances the composite is no longer a semiconductor. However, an interpenetrating network of carbon nanotubes has important benefits for OSCs, as follows: (i) The carbon nanotube network functions as a nanometric heat sink, channelling heat generated electrically or optically during device
20 operation away from the heat sensitive semiconductor matrix, thus extending device lifetime; (ii) A high area network electrode facilitates efficient charge carrier extraction in solar cells, thus enhancing the power conversion

efficiency and lifetime of these devices; (iii) Carbon nanotubes scatter light increasing the likelihood of absorption by the organic semiconducting layers.

Figure 8 shows a graph indicating the current density as a function of applied bias with and without simulated solar illumination (AM1.5D, 100 mW cm⁻² (1 sun)), of a bilayer organic solar cell utilising a composite of acid functionalised carbon nanotubes (Figure 3) and the molecular semiconductor in Figure 1A as the donor layer. The power conversion efficiency of solar cells is proportional to the fill factor. The fill factor of the device, whose characteristics are shown in Figure 8, is relatively large for such a simplistic cell structure. With the addition of an exciton blocking layer and optimisation of the layer thicknesses and carbon nanotube loading, significant improvements in power conversion efficiency can be expected. Furthermore the fabrication process is environmentally friendly and safe because it does not require the use of organic solvents.

15

SECOND EMBODIMENT

The invention may also be utilised in an organic light emitting diode (OLED), as described in this embodiment.

OLEDs are made up of one or more semiconductor organic films that 20 are sandwiched between two electrodes.

Figures 9A shows a schematic diagram of a model bilayer OLED device according to this embodiment. The device comprises a transparent anode 901. Next to the transparent anode 901 are two organic layers, a hole

transport layer 902 and an emitter layer 903. Next to the emitter layer is a cathode 904. The external power supply is also illustrated on the diagram 905. Light (906) is produced in the emitter layer 903 and exits the device through the hole transport layer 902 and anode 901.

5 Figure 9B shows a band diagram corresponding to the OLED device shown in Figure 9A. When a forward bias is applied across the electrodes via an external power supply (905), holes are injected from the anode (901) into the hole transport layer (902) and electrons are injected from the cathode into the emitter layer (903). Electrons and holes recombine to form excitons in the 10 emitter layer (903) close to the interface with the hole transport layer (902). Excitons formed in the emitter layer can decay with the emission of light (906). Light exits the device through the hole transport layer and anode (901).

The model bilayer OLED employed in this embodiment comprises an ITO glass anode / 50 nm TPD / 50 nm Alq₃ / 50 nm Al, where TPD is N,N'-15 bis(3-methylphenyl)-N,N'-diphenyl-1,1'-biphenyl-4,4'-diamine, Alq₃ is tris(quinolin-8-olato)aluminium and Al is aluminium. The TPD, Alq₃ and Al layers are vacuum deposited.

A spin cast thin film of the nanocomposite described in the first embodiment is incorporated into the vacuum deposited OLED illustrated in 20 Figure 9A between the ITO glass anode and the TPD hole transport layer. The spin cast film is of an approximate thickness of 25 nm. However, the film could be of any appropriate thickness, for example, from 10-100 nm. The nanocomposite layer comprising 11.8% weight percent carbon nanotubes

spin cast from an aqueous solutions containing 7.5 mg ml⁻¹ of the compound shown in Figure 1A and 0.5 mg ml⁻¹ acid functionalised carbon nanotubes (Figure 3). At this interface the nanocomposite functions as a hole injecting layer, facilitating efficient positive charge carrier injection into the device.

5 The high work function and aspect ratio of the carbon nanotubes facilitates efficient hole injection into the HOMO of the functionalised copper phthalocyanine matrix.

Figure 10 is a graph showing the luminance difference between a light-emitting diode with and without a layer of the nanocomposite described 10 in the first embodiment between the ITO anode and TPD hole-transport layer. The graph shows that incorporation of this functional thin film into the device structure significantly enhances device performance as compared to the same device without the composite layer. The composite layer is indicated by the dotted line, and the solid line indicates a reference device without a composite 15 layer. The graph shows the increased luminance achieved when the composite layer is utilised when compared to the same device structure without the composite layer for the same applied voltage. Figure 10 is evidence that the nanocomposite layer enhanced charge carrier injection into the OLED.

20

FURTHER EMBODIMENTS

It will be understood that embodiments of the present invention are described herein by way of example only, and that various changes and

modifications may be made without departing from the scope of the invention.

Further, it will be understood that synthesis of stable dispersions of carbon nanotubes can be carried out using any suitable method, such as the 5 following methods for example. The carbon nanotubes may be covalently functionalised on their outer surface using amide or ester linkages to couple to the carbon nanotube surface. Further, the carbon nanotubes may be non-covalently functionalised by introducing them into an aromatic amine solvent, such as aniline, or functionalising with specific molecular semiconductors 10 including fullerenes.

It will be understood that the carbon nanotubes may be further functionalised by adapting their interior structure. For example, by inserting different metals, organic semiconductor materials (including either polymer or molecular semiconductors) or a mixture of both into the internal cavity of the 15 carbon nanotube. The insertion of such a material changes the electronic properties of the carbon nanotube and so allows the carbon nanotube to be electronically tuned for its specific purpose.

It will be understood that the solution processed nanocomposites described herein can be used in conjunction with organic polymers or vacuum 20 deposited molecular semiconductors, or a combination of them both, in order to fabricate a complete device.

It will further be understood that other devices may be formed using the solution processed nanocomposites described, wherein a thin film is

required exhibiting the properties as herein described. For example, liquid crystal display may include a backlight with OLEDs including a thin film, as described above, incorporated therein.

It will also be understood that the solution processed nanocomposites 5 described herein can be processed into a thin film suitable for application in organic electronic devices using any suitable process, for example: (i) spin coating; (ii) ink jet printing; (iii) screen printing; (iv) doctor blading.

It will also be understood that the molecular semiconductor may be any suitable type of conjugated molecular semiconductor, or indeed may be a 10 mixture of two or more different conjugated molecular semiconductors. For example, the molecular semiconductor could be a mixture of copper phthalocyanine and metal free phthalocyanine.

Other examples of molecular semiconductors that can potentially form 15 highly ordered thin films when functionalised to make them soluble, include porphyrin, perylene, acene and oligothiophene derivatives. All of these materials can be purchased commercially or synthesised as required. For example, the molecular semiconductor may be a metal free phthalocyanine, as shown in Figure 2A, where $M = (H^+)_2$ and $R = (CH_2)_7CH_3$. The alkyl functionality renders the phthalocyanine core soluble in chloroform. This 20 produces a film with a herringbone molecular configuration as shown in Figure 2B when spin cast from a chloroform solution. The molecules form tilted columnar stacks. The crystalline order is localised and does not normally extend over macroscopic areas.

Further, the invention may be utilised in a system on a chip (SoC) device. For example, but not limited to, a carbon nanotube gas sensor integrated with an OSC, OLED, battery, microprocessor and drive electronics on a common platform. This SoC would function as a fully integrated gas 5 sensor powered by a battery charged using an OSC. Information regarding gas detection would be relayed to a remote device for processing utilising an infra-red OLED.

It will be understood that, in the OSC device, the nanocomposite material may replace the acceptor layer instead of, or as well as the donor 10 layer. The semiconductor and carbon nanotube type and functionality chosen will depend upon which layer is being replaced.

It will be understood that, in the OLED device, the nanocomposite material may function as an electron injecting layer if incorporated between the cathode and the emitter layer. The semiconductor and carbon nanotube 15 type and functionality chosen will depend on whether the nanocomposite functions as an electron injecting layer or hole injecting layer.

Other examples of devices in which a thin film as described above could be utilised are as follows: any type of photo detector or sensor, gas detector or sensor, transistors or field emitting display.

CLAIMS

1. A method of producing a thin film comprising uniformly dispersed carbon nanotubes, the method comprising the steps of:
 - 5 adapting a molecular semiconductor to make it soluble;
 - adapting the molecular semiconductor to facilitate the formation of a high degree of molecular order and frontier orbital overlap between adjacent molecules;
 - adapting carbon nanotubes to make them soluble;
 - 10 combining the soluble carbon nanotubes and the soluble molecular semiconductor in a solvent to form a solution;
 - producing the thin film from the solution.
2. The method of claim 1, wherein the step of adapting the carbon nanotubes is carried out using a non-covalent bonding method during the combining step.
 - 15
3. The method of claim 2, further comprising the step of mixing the carbon nanotubes with a solvent prior to combining with the molecular semiconductor.
 - 20

4. The method of claim 2, further comprising the step of mixing the molecular semiconductor with a solvent prior to combining with the carbon nanotubes.
5. The method of claim 4, further comprising the step of mixing the carbon nanotubes in a solvent to produce a carbon nanotube solution, and adding the carbon nanotube solution to the molecular semiconductor solution.
6. The method of claim 3 or 4, wherein the solvent is an aromatic amine.
- 10 The method of claim 6, wherein the aromatic amine is aniline.
8. The method of claim 1, wherein the step of adapting the carbon nanotubes is carried out using a covalent bonding method.
- 15 The method of claim 8, wherein the carbon nanotubes are adapted using amide or ester linkages.
- 20 The method of claim 1, wherein at least one of the molecular semiconductor adapting steps is carried out by modifying the conjugated core at the periphery of the semiconductor molecule with moieties.

11. The method of claim 1, wherein at least one of the molecular semiconductor adapting steps is carried out by modifying the conjugated core at a non-periphery position of the semiconductor molecule with moieties.
- 5 12. The method of any previous claim comprising the further step of adapting the carbon nanotubes on the outer surface to tune their electrical or optical properties.
- 10 13. The method of any of claims 1 to 12 comprising the further step of adapting the carbon nanotubes on the inner surface to tune their electrical or optical properties.
- 15 14. The method of claim 13 wherein the adaptation of the inner surface comprises the step of filling the inner cavity with a metal.
15. The method of claim 14 wherein the adaptation of the inner surface comprises the step of filling the inner cavity with a molecular semiconductor.
- 20 16. The method of any of claims 1 to 12 comprising the further step of adapting the molecular semiconductor to tune the electrical or optical properties.

17. The method of claim 1, wherein the molecular semiconductor is a metal free phthalocyanine.

18. The method of claim 1, wherein the molecular semiconductor is metal 5 free porphyrin.

19. A method of manufacturing an electronic device, wherein the method comprises the step of producing a thin film layer using the method according to any of claims 1 to 18.

10

20. The method of claim 19, wherein the electronic device is a solar cell.

21. The method of claim 19, wherein the electronic device is a light emitting diode.

15

22. The method of claim 19, wherein the electronic device is a backlight adapted for use in a liquid crystal display.

20 23. The method of claim 19, wherein the electronic device is a system on a chip device.

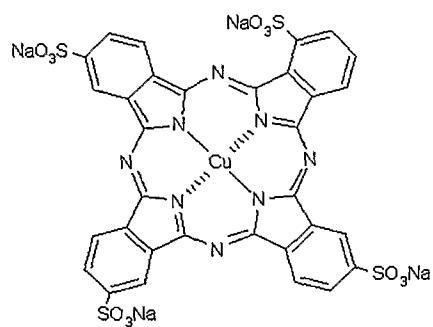
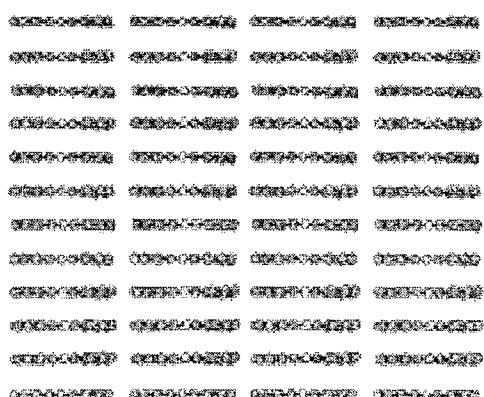
24. An electronic device comprising a thin film produced using the method according to any of claims 1 to 18.

25. A solar cell device comprising a thin film produced using the method according to any of claims 1 to 18.

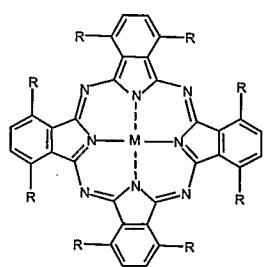
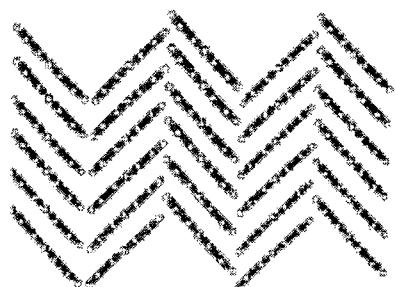
5 26. A light-emitting device comprising a thin film produced using the method according to any of claims 1 to 18.

27. A backlight device comprising a thin film produced using the method according to any of claims 1 to 18.

10 28. A system on a chip device comprising a thin film produced using the method according to any of claims 1 to 18.

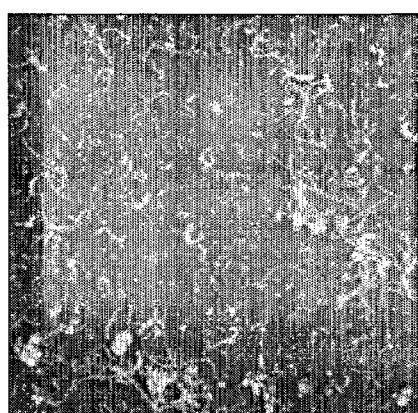
Figure 1A**Figure 1B**

2/10

Figure 2A**Figure 2B**

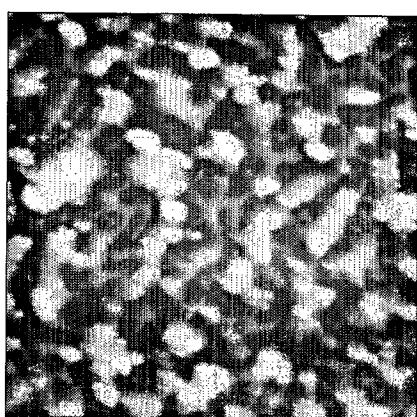
3/10

Figure 3



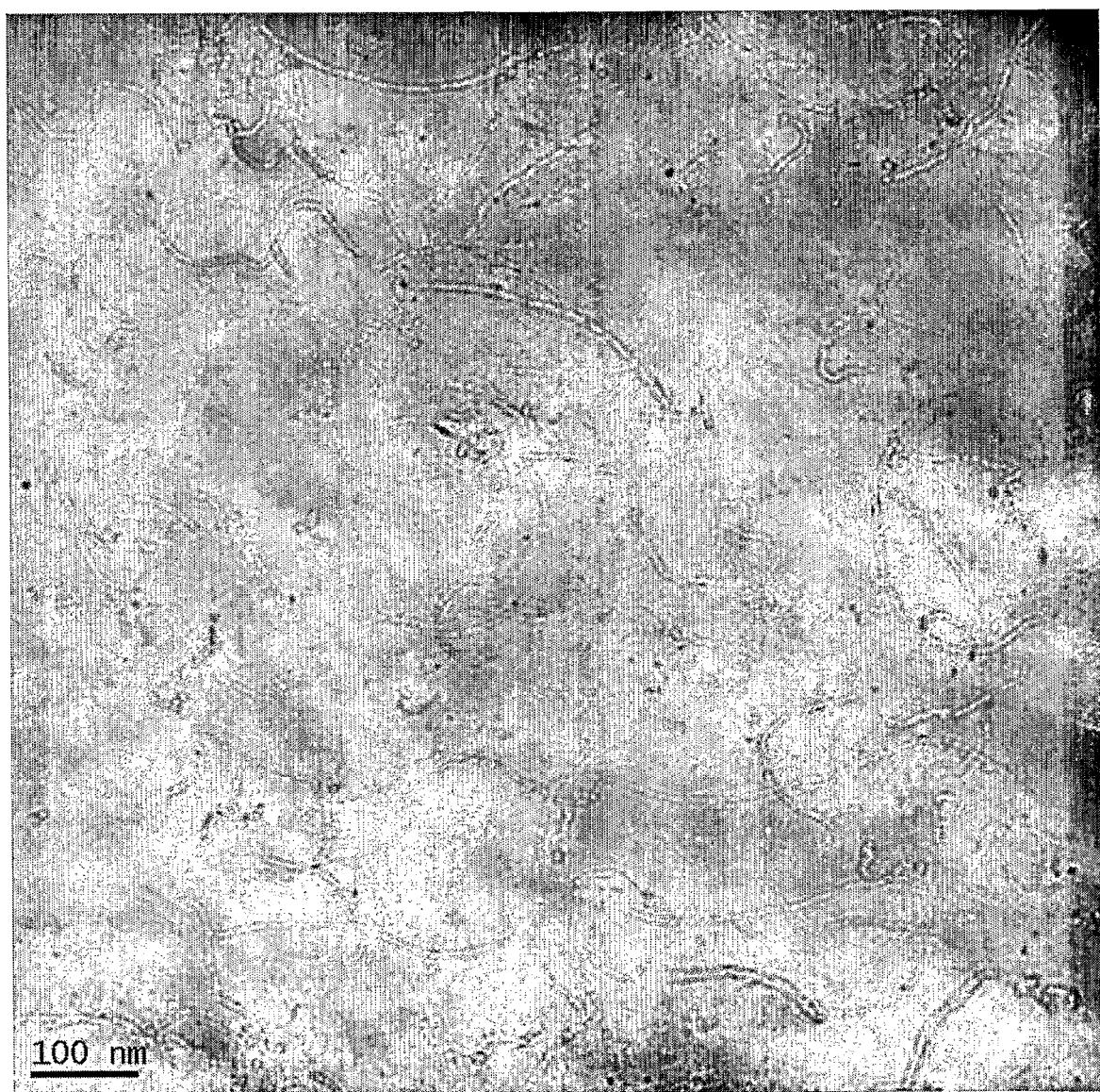
4/10

Figure 4

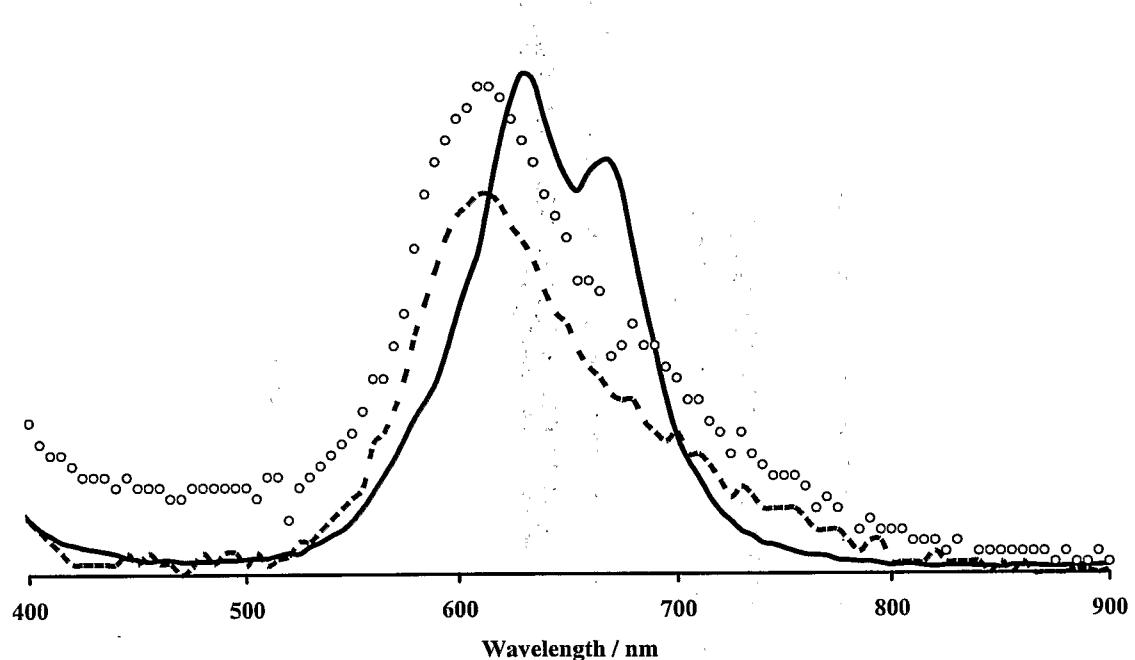


5/10

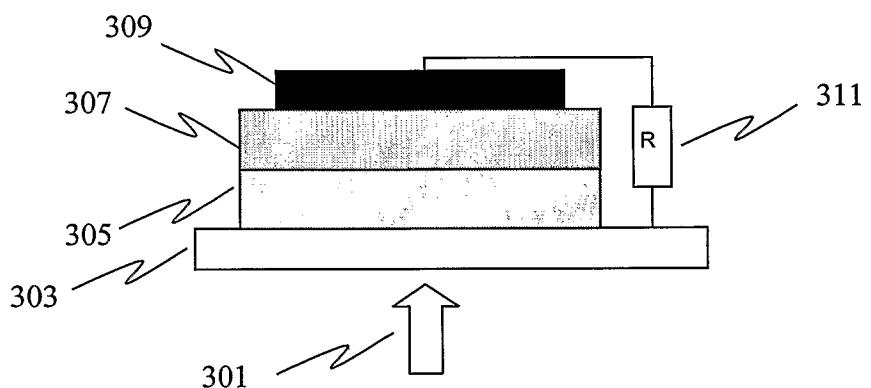
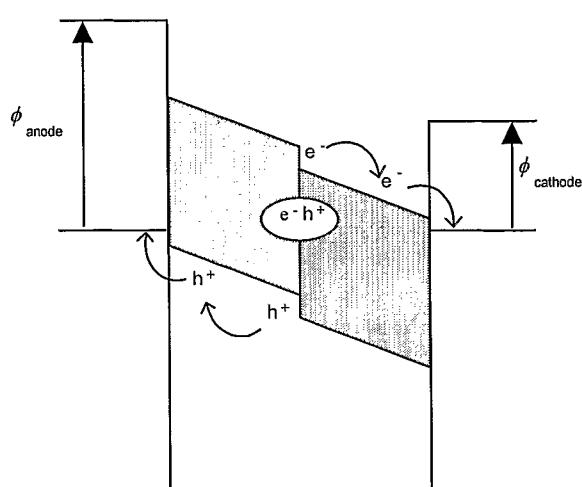
Figure 5



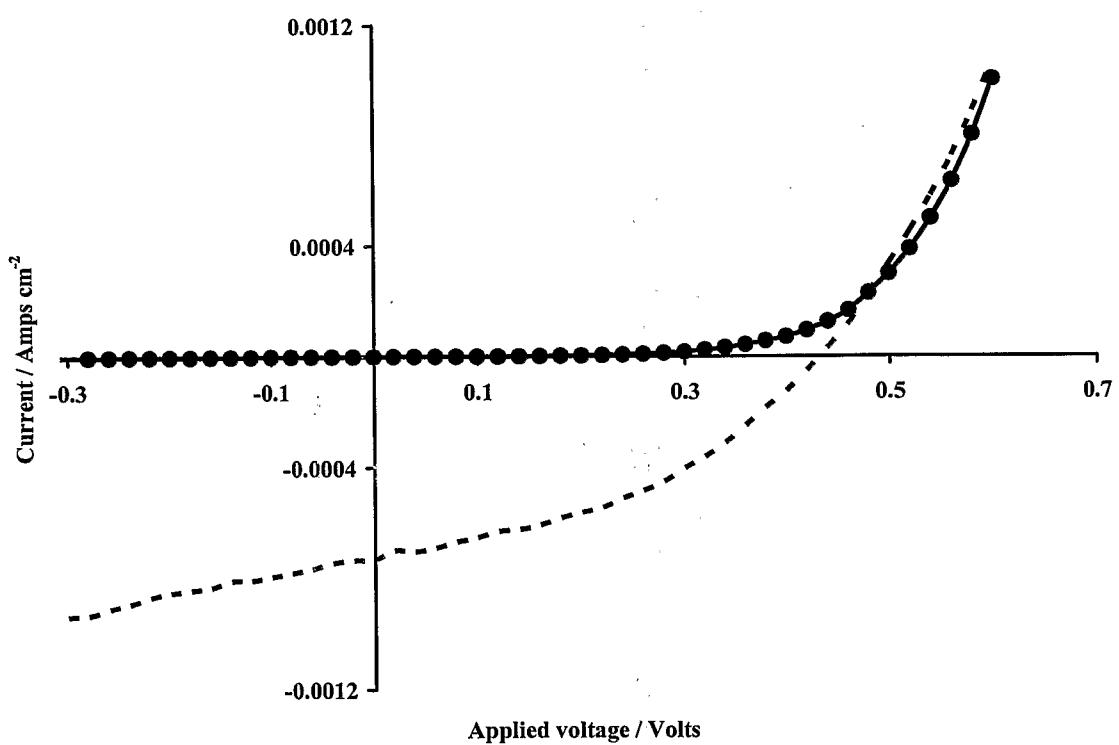
6/10

Figure 6

7/10

Figure 7A**Figure 7B**

8/10

Figure 8

9/10

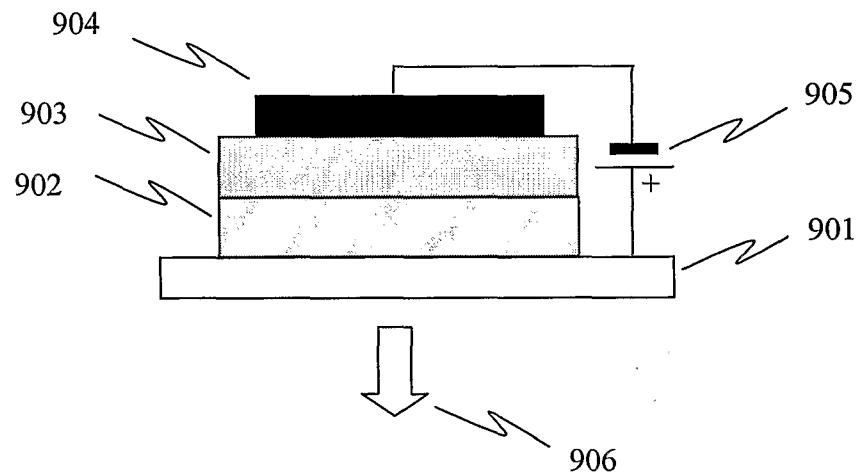


Figure 9A

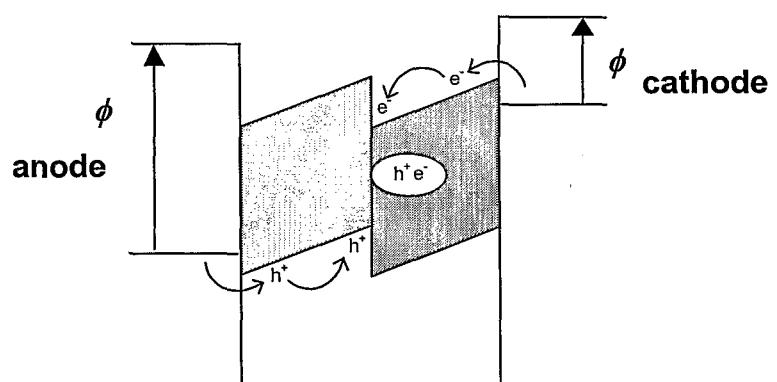
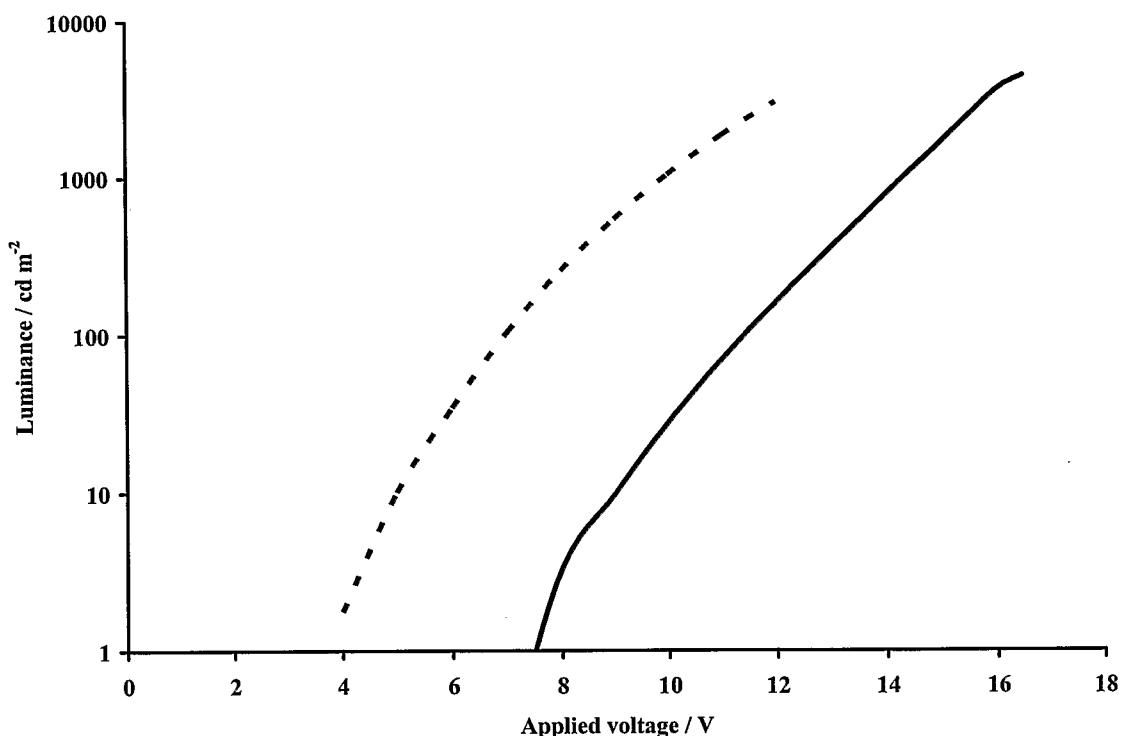


Figure 9B

10/10

Figure 10

INTERNATIONAL SEARCH REPORT

International application No
PCT/GB2006/002528

A. CLASSIFICATION OF SUBJECT MATTER
INV. H01L51/30 C01B31/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
H01L C01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EP0-Internal, INSPEC, PAJ, WPI Data, COMPENDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X | <p>DATABASE WPI Week 200548 Derwent Publications Ltd., London, GB; AN 2005-471969 XP002406042 -& JP 2005 162790 A (UNIV NARA) 23 June 2005 (2005-06-23) abstract</p> <p>-----</p> <p style="text-align: center;">-/-</p> | 1-7, 18-28 |

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

| | |
|---|--|
| Date of the actual completion of the international search 7 November 2006 | Date of mailing of the international search report 18/12/2006 |
| Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | Authorized officer Marucci, Alessandra |

INTERNATIONAL SEARCH REPORT

 International application No
 PCT/GB2006/002528

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|----------------------------|
| X | WANG Y ET AL: "Fabrication of carbon nanotubes/copper phthalocyanine composites with improved compatibility" MATERIALS SCIENCE AND ENGINEERING B, ELSEVIER SEQUOIA, LAUSANNE, CH, vol. 117, no. 3, 25 March 2005 (2005-03-25), pages 296-301, XP004766402 ISSN: 0921-5107 cited in the application the whole document ----- | 1,8-13, 16,17, 19-24 |
| X | EP 1 449 887 A1 (TORAY INDUSTRIES [JP]) 25 August 2004 (2004-08-25) paragraphs [0023], [0036] - [0040], [0048]; examples ----- | 1,8, 19-28 |
| X | KYMAKIS E ET AL: "Single-wall carbon nanotube/conjugated polymer photovoltaic devices" APPLIED PHYSICS LETTERS, AIP, AMERICAN INSTITUTE OF PHYSICS, MELVILLE, NY, US, vol. 80, no. 1, 7 January 2002 (2002-01-07), pages 112-114, XP012030193 ISSN: 0003-6951 page 112, column 2, line 5 - page 113, column 1, line 13 ----- | 1-5, 19-28 |
| X | KYMAKIS E ET AL: "Photovoltaic cells based on dye-sensitisation of single-wall carbon nanotubes in a polymer matrix" SOLAR ENERGY MATERIALS AND SOLAR CELLS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 80, no. 4, December 2003 (2003-12), pages 465-472, XP004470055 ISSN: 0927-0248 "Introduction" and "Experimental details" the whole document ----- | 1-5, 19-28 |
| A | SATAKE A ET AL: "Porphyrin-carbon nanotube composites formed by noncovalent polymer wrapping" CHEMISTRY OF MATERIALS AMERICAN CHEM. SOC USA, vol. 17, no. 4, 22 February 2005 (2005-02-22), pages 716-724, XP002406000 ISSN: 0897-4756 the whole document ----- | 1-28 |

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/GB2006/002528

| Patent document cited in search report | | Publication date | | Patent family member(s) | | Publication date |
|--|----|------------------|----------------------|---|--|--|
| JP 2005162790 | A | 23-06-2005 | JP | 3823157 B2 | | 20-09-2006 |
| EP 1449887 | A1 | 25-08-2004 | CN WO JP US | 1558932 A 03029354 A1 2003096313 A 2004241900 A1 | | 29-12-2004 10-04-2003 03-04-2003 02-12-2004 |