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Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for all designations
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations

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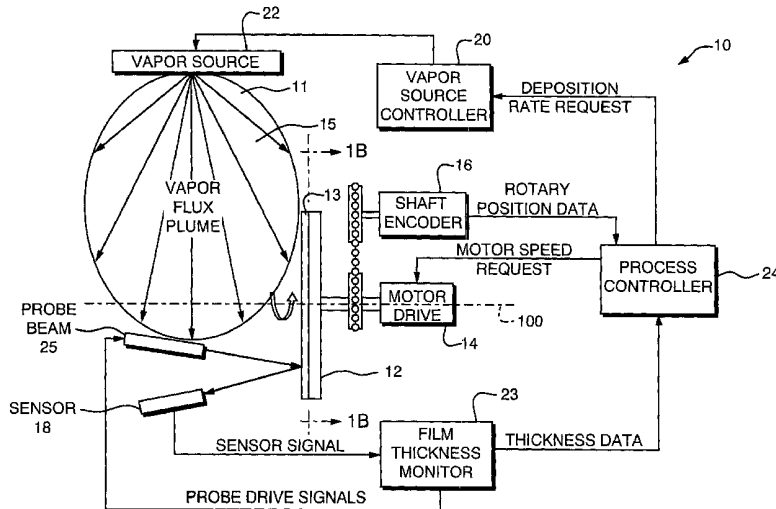
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(54) Title: SYSTEM AND METHOD FOR CONTROLLING DEPOSITION THICKNESS



(57) Abstract: A system (10) and method for controlling a circumferential deposition thickness distribution on a substrate includes a motor (14) that rotates the substrate (12) and a positioning sensor that senses an angular position of the substrate. A least one deposition thickness sensor (18) senses the deposition thickness at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate. At least one controller (20) drives a vapor source (22) used to emit material (15) as a plume (11) for deposition on a substrate as a layer (13). The at least one controller (24) is coupled to the positioning sensor and the deposition thickness sensor (23). The controller synchronously with help from a shaft encoder (16) and probe beam source (25) varies an emission rate of material with respect to the angular position of the substrate to control the circumferential deposition thickness distribution.

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substrate. A motor rotates the substrate and a positioning sensor senses an angular position of the substrate. At least one deposition thickness sensor senses the deposition thickness of the film on the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate. A target power supply drives a target
5 used to sputter material on the substrate. A process controller is coupled to the positioning sensor, the deposition thickness sensor, and the target power supply. The process controller synchronously varies a sputtering rate of the target with respect to the angular position of the substrate to control the circumferential deposition thickness distribution.

10 The invention also includes an optical filter created using the disclosed system and method.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated herein and constitute part of this specification, illustrate presently preferred embodiments of the invention, and,
15 together with the general description given above and the detailed description given below, serve to explain features of the invention. In the Drawings:

Fig. 1A is a schematic diagram of the system for performing vapor deposition using circumferential thickness control according to the present invention;

Fig. 1B is a diagram of a substrate showing the sensing of deposition thickness at
20 multiple positions on the circumference of a substrate, wherein the circumference corresponds to a circle centered about an axis of rotation of the substrate, according to the present invention;

Fig. 2 is a schematic diagram of an alternate embodiment of the system for performing vapor deposition using circumferential thickness control according to the
25 present invention;

Fig. 3 is a schematic diagram of the system for performing vapor deposition using a pulse control scheme and a film thickness monitor according to the present invention;

Fig. 4 is a schematic diagram of an alternate embodiment of the system for performing vapor deposition using a pulse control scheme and an optical monitor system

controller according to the present invention;

Fig. 5 is a schematic diagram of an alternate embodiment of the system for performing sputter deposition using a pulse control scheme, a target power supply and an optical monitor system controller according to the present invention;

5 Fig. 6 is a flow diagram showing the steps of monitoring and controlling the pulse train output of the position sensor by the process controller to control the deposition thickness of the substrate according to the present invention;

Fig. 7 is a flow diagram showing the steps of monitoring and controlling the RPM of the motor drive of the substrate by the process controller to control the deposition
10 thickness of the substrate according to the present invention; and

Fig. 8 depicts an optical filter formed using the systems and methods of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

There is shown in Fig. 1A, a system 10 for controlling a circumferential deposition
15 thickness distribution on a substrate 12. A motor 14 rotates the substrate 12 about axis 100, and a positioning sensor 16, generally a rotary shaft encoder, senses an angular position of the substrate 12 during rotation of the substrate. At least one deposition thickness sensor 18 senses the deposition thickness of film material 13 deposited on the substrate 12 at multiple positions 19 (shown in Fig. 1B) on a circumference of a circle
20 centered about an axis 100 of rotation the substrate 12. Although in the embodiment shown, substrate 12 is circular in shape, it will be understood that a substrate 12 that was square or some other shape could also be used with the present invention. A vapor source controller 20 drives a vapor source 22. The vapor source 22 creates a vapor flux plume 11 that is disposed proximate the substrate 12. The vapor flux plume 11 contains material 15
25 for deposition on the substrate 12 as deposited film material 13. The vapor source may be created by a target (as shown in Fig. 5) that is sputtered with high energy ions, a solid charge that evaporates as it is heated, or a chemical vapor deposition source. A process controller 24 is coupled to the motor 14, the shaft encoder 16, the deposition thickness sensor 18, and the vapor source controller 20. In another embodiment shown in Fig. 5, the
30 vapor source controller 20 and the vapor source 22 may be a target power supply 20a that

drives a target 22a that is used to sputter material 15 on the substrate 12.

In the embodiment of Fig. 1, the process controller 24 is coupled to a film thickness monitor 23. It should be recognized by those skilled in the art that the functions of the process controller 24 and film thickness monitor 23 may be combined into a single controller. The film thickness monitor 23 is further coupled to one or more deposition thickness sensors 18 (only one of which is shown in Fig. 1) and one or more probe beams sources 25 (only one of which is shown in Fig. 1), each of which corresponds to one of the deposition thickness sensors 18. Probe drive signals are fed into each probe beam source 25 by the film thickness monitor 23. Beams generated by each probe beam source 25 are reflected or scattered from the substrate and then sensed by a corresponding one of the deposition thickness sensors 18. Sensor signals (having values related to the deposition thickness on the substrate or the thickness of the substrate in combination with any deposited material) from each deposition thickness sensor 18 are fed into the film thickness monitor 23. Thickness data from the film thickness monitor 23 is then fed into the process controller 24 in order to monitor the deposition thickness of material 13 on the substrate. In one embodiment, the process controller 24 associates the thickness data provided by film thickness monitor 23 with rotary positioning data from the shaft encoder 16 in order to map the deposition thickness data to spatial positions on a circumference of substrate 12 during operation of system 10.

In one embodiment, each probe beam source 25 generates a probe beam that strikes multiple positions 19 on a circumference of a circle centered about axis 100 as substrate 12 rotates. This is accomplished, for example, by aiming the probe beam source at a fixed position in space corresponding to a fixed distance from axis 100, and then generating a probe beam targeted at the fixed position periodically as the substrate 12 rotates. By generating the probe beam targeted at the fixed position two or more times during each rotation of the substrate, the present invention is able to sense the deposition thickness of material 13 at multiple positions 19 on a circumference of a circle centered about axis 100 during rotation of the substrate. It will be understood by those skilled in the art that, by using multiple probe beam sources 25 such as the one described above, wherein each of the probe beam sources 25 generates a probe beam targeted at a different fixed position that is at a different distance from axis 100, the present invention is able to

sense the deposition thickness of material 13 at multiple positions on the circumference of a plurality of different circles (each having a different radius from axis 100) during rotation of the substrate 12. Rotary position data from shaft encoder 16 is fed into the process controller 24 and associated with each deposition thickness measurement.

5 In response to the mapped deposition thickness data derived from the signals from thickness monitor 23 and shaft encoder 16, process controller 24 varies the deposition rate of the emitted material 15 from the vapor source 22 synchronously in accordance with the angular position of the substrate 12. As shown in figure 1, vapor flux plume 11, with its depositable material 15, is divergent and is not aimed in an axi-symmetric fashion at
10 substrate 12. Such flux may be formed, for example, by directing an ion current at a given position on a planar target that is not coaxial with the substrate. As a result, as seen in Figure 1A, the deposition rate of material 15 onto film material 13 on substrate 12 will be higher for portions of the substrate that are closer to the vapor source 22, and lower for portions of substrate 12 positioned farther away from vapor source 22. As a result of this
15 geometry, process controller 24 is able to increase/decrease the deposition rate of material 13 along any given circumferential (or azimuthal) section of substrate 12 by simply slowing down/speeding up the rotation rate of substrate 12 as the given circumferential (or azimuthal) section passes closest to vapor source 22 during rotation of the substrate. Alternatively, in cases where a constant rotation rate is desired, process controller 24 can
20 vary the deposition rate of material 13 at any given circumferential section of substrate 12 by increasing/decreasing the rate of material emitted from source 22 as the given circumferential section passes closest to vapor source 22. It will be understood by those skilled in the art that the deposition rate at any given circumferential section of substrate 22 can therefore be varied by either adjusting the rate of emissions from source 22, the
25 speed of rotation of substrate 12, or combination thereof, as the given circumferential section passes closest to vapor source 22 during each of its rotations.

A second embodiment of a system 200 for controlling a deposition thickness on a substrate 12 is shown in Fig. 2. The system 200 is identical to system 10 as described in Fig. 1A, with the exception that the process controller 24 is coupled to an optical
30 monitoring system controller 30. One or more lasers 32 (only one of which is shown in Fig. 2) are driven by the optical monitoring system 30. One or more detectors, 34 (only

one of which is shown in Fig. 2) sense the output of each laser 32 after passage of an output beam through substrate 12. Each detector 34 feeds a sensor signal into the optical monitoring system controller 30 in order to monitor the deposition thickness of material 13 on the substrate, in a manner substantially analogous to the system shown in Figure 1.

5 However, in the system of Figure 2, the process controller 24 also provides a vapor-emitted signal that represents the quantity of deposited material on the substrate 12 to controller 30. The vapor emitted signal is a time varying signal that represents the magnitude of material emitted from vapor source 22 during each of a plurality of time segments in which system 200 is operating. In the embodiment discussed below in Figs.

10 3-4, the vapor-emitted signal represents a count of a number of pulses provided to the vapor source controller 20 in order to drive vapor source 22. The sum of pulses in the vapor emitted signal over a given time provides a parameter that is proportional to the thickness of the material 15 deposited as film 13 on the substrate 12 over the given time. A constant exists between the number of pulses provided to vapor source controller 20

15 over a given time and the total thickness of material deposited on the substrate during the given time. The constant (which corresponds to the thickness of material deposited on the substrate for each pulse provided to the vapor source controller) is determined by dividing the thickness value provided by the sensor signal at the end of the given time by the total number of pulses in the vapor emitted signal during the given time. Controller 24 uses

20 this proportionality constant to predict the number of pulses that need to be applied to the vapor source controller in order to reach a desired deposition thickness during operation of the device, thereby preventing the deposition thickness from exceeding the target thickness as a result of overshoot resulting from feedback control.

A third embodiment of a system 300 for controlling a deposition thickness on a

25 substrate 12 is shown in Fig. 3. The system 300 is substantially identical to system 10 as described in the first embodiment. In the system of Fig. 3, a rotary position/system time base signal (e.g., a pulse train output) is generated by the shaft encoder 16. The process controller 24 uses the thickness data (described above in connection with Fig. 1) and the pulse train output to vary the emission rate of material vapor source 22. In this

30 embodiment, the pulse train output of the shaft encoder 16 is modified by the process controller 24 to generate the signal used to vary the emission rate of the vapor source. In

particular, the process controller 24 in essence uses the pulse train from the shaft encoder 16 as the default signal for driving vapor source controller 20, but the process controller omits pulses from the pulse train sent to the vapor source controller in order to vary the emission rate from vapor source 22. Since, in this embodiment, the emission rate of material from vapor source 22 is directly proportional to the number of pulses received by vapor source controller 20 during a given time segment, the omission of pulses from the signal provided to the vapor source controller during any given time segment will serve to decrease the emission rate of material 15 from the vapor source during such time segment. It should be recognized by those skilled in the art that the process controller 24 may vary the emission rate of the vapor source 22 by varying a duty cycle, an amplitude, a frequency or any combination thereof, of the pulse train signal provided to vapor source controller 20.

A fourth embodiment of a system 400 for controlling a deposition thickness on a substrate 12 is shown in Fig. 4. The system 400 is identical to system 300 as shown in Fig. 3, with the exception that the optical monitoring system controller 30, the laser 32, and the detector 34 as shown in Fig. 2 and as described in the second embodiment are employed. In addition, an optical monitor system trigger signal (OMS trigger) is fed from the process controller 24 to the optical monitor system controller 30. The OMS triggers measurements by each laser 32 at multiple points (e.g., positions 19) along a single circumference of the substrate 12 during rotation of the substrate. A further variation to this embodiment shows a coupling of the vapor source command pulse train signal to optical monitoring system 30. Since the vapor source command pulse train signal is proportional to the quantity of material emitted from vapor source 20 during any given time segment, the vapor source command pulse train signal may be used (as described above in Fig. 2) to generate the thickness data supplied to process controller 24.

A fifth embodiment of a system 500 for controlling a deposition thickness on a substrate 12 is shown in Fig. 5. The system 500 is identical to system 400 as shown in Fig. 4, with the exception that a target 20a and a target power supply 22a are used in place of the more generic vapor source 20 and vapor source controller 22 shown previously.

Referring now to Fig. 6, there is shown a flow diagram detailing the steps of a method 600 for monitoring film thickness and controlling the pulse train output of the

position sensor 16 by the process controller 24 to control the deposition thickness on the substrate. In step 610, a layer of material 13 is deposited in an ongoing deposition on the substrate 12 during rotation of the substrate. As the substrate 12 rotates to a trigger angle in step 620, the thickness at that angle is read and then stored in the memory of the process controller (step 630). If the stored thickness is less(or greater) than a predetermined desired thickness, then the pulse train output to vapor source controller 22 is modified such that the vapor emission rate increases in step 650 (or decreases in step 660) when the circumferential portion of the substrate corresponding to the film thickness measurement is in position close to the vapor source (or target). Once the predetermined thickness of the layer is reached, then pulsing is stopped.

Referring now to Fig. 7, there is shown a flow diagram detailing the steps of a method 700 for monitoring and controlling the RPM of motor drive 14 by process controller 24 to control the deposition thickness of material 13 on the substrate according to the present invention. In step 710, a layer of material is deposited in an ongoing deposition on the substrate 12 during rotation of the substrate. As the substrate 12 rotates to a trigger angle in step 720, the thickness at that angle is read and then stored in the memory of the process controller (step 730). The process controller compares the stored thickness with a predetermined desired thickness in step 740. If the stored thickness is less (or greater) than a predetermined desired thickness, then the substrate rotation speed is modified such that the rotation speed decreases in step 750 (or increases in step 760) when the circumferential portion of the substrate corresponding to the film thickness measurement is in position close to the vapor source (or target). The process is repeated until processing of a given layer of material 13 is complete.

A method for controlling a deposition thickness on a substrate 12 using the system shown in Fig. 1, will now be described. The method comprises the steps of rotating a substrate 12 with a motor 14 and sensing an angular position of the substrate 12 with a shaft encoder 16. At least one deposition sensor 18 senses the deposition thickness of the film 13 on substrate 12 at multiple positions on a circumference of a circle centered about the axis 100 of rotation of the substrate. At least one process controller 24 drives a vapor source 22 used to emit material 15 for deposition on the substrate 12. The process controller 24 is coupled to the motor 14, the shaft encoder 16, the vapor source controller

20, and the deposition thickness sensor 18. The process controller 24 synchronously varies the vapor flux rate of the emitted material 15 with respect to the angular position of the substrate 12 to control the deposition thickness of film 13 around a circumference of the substrate.

5 The system and process described above may be advantageously used to create an optical filter 34, shown in Fig. 8. When the present invention is used to form an optical filter 34, the substrate 12 is preferably formed of a glass wafer, the material deposited on the substrate is alternating layers of tantalum oxide and silicon oxide, and the thickness of the material deposited on the substrate is low-order multiples and/or fractions of the
10 optical thickness at the wavelength of light that the filter will serve to isolate. The filter 34 may be used in the form deposited or it may be further processed by sawing, grinding, trimming, back-thinning, polishing, mounting, bonding or other means to incorporate the filter into an optic assembly. It will be evident to practitioners of the art that substrates other than glass may be used, that smaller substrate pieces may be attached to the wafer 12
15 for deposition of filters on the smaller pieces, that deposited materials other than tantalum oxide and silicon oxide could be used for the filter, as long as the refractive index contrast was sufficiently large, and that a variety of differing optical stack designs might be employed to create a filter.

 It will be appreciated by those skilled in the art that changes could be made to the
20 embodiments described above without departing from the broad inventive concept thereof. For example, although several individual controllers are shown in various embodiments, it will be understood that the functions of such multiple controllers could be performed by a single controller. It is understood, therefore, that this invention is not limited to the particular embodiments disclosed, but is intended to cover modifications within the spirit
25 and scope of the present invention as defined in the appended claims.

What is claimed is:

1. A system for controlling a circumferential deposition thickness distribution on a substrate comprising:
 - (a) a motor that rotates the substrate;
 - 5 (b) a positioning sensor that senses an angular position of the substrate;
 - (c) at least one deposition thickness sensor that senses the deposition thickness of the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate;
 - (d) a target power supply that drives a target used to sputter material on the
10 substrate;
 - (e) a process controller coupled to the positioning sensor, the deposition thickness sensor, and the target power supply; and
 - (f) wherein the process controller synchronously varies a sputtering rate of the
15 target with respect to the angular position of the substrate to control the circumferential deposition thickness distribution.
2. The system of claim 1, wherein the sensor is an optical sensor.
3. The system of claim 1, wherein the deposition thickness is determined by the process controller in response to an output of the deposition thickness sensor and a target bias signal that is proportional to the sputtering rate.
- 20 4. The system of claim 1, wherein the process controller varies the sputtering rate by varying a target bias signal.
5. The system of claim 1, wherein the process controller modifies a pulse train output by the position sensor to generate the target bias signal which changes a deposition rate on the substrate when a portion of the rotating substrate proximate the target has a deposition
25 thickness that requires modification to match a desired deposition thickness.
6. The system of claim 5, wherein a pulse train output by the position sensor is modified by the process controller to generate the target bias signal by adding or omitting pulses from the target bias signal.

7. The system of claim 1, wherein the process controller varies the sputtering rate by varying a duty cycle of a target bias signal.
8. The system of claim 1, wherein the process controller varies the sputtering rate by varying an amplitude of a target bias signal.
- 5 9. The system of claim 1, wherein the process controller varies the sputtering rate by varying a frequency of a target bias signal.
10. A method for controlling a circumferential deposition thickness distribution on a substrate, the method comprising the steps of:
- (a) rotating the substrate with a motor;
 - 10 (b) sensing an angular position of the substrate with a positioning sensor;
 - (c) sensing the deposition thickness of the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate with at least one deposition thickness sensor; and
 - (d) 15 synchronously varying a sputtering rate of a target with respect to the angular position of the substrate, in response to outputs of the positioning sensor and the deposition thickness sensor, to control the circumferential deposition thickness distribution on the substrate.
11. An optical filter comprising:
- (a) a substrate; and
 - 20 (b) a material deposited on the substrate using a motor that rotates the substrate, at least one deposition thickness sensor that senses the deposition thickness of the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate, a target power supply that drives a target used to sputter material on the substrate and a process controller coupled to the positioning sensor, the deposition 25 thickness sensor, the target power supply; and wherein the process controller synchronously varies a sputtering rate of the target with respect to the angular position of the substrate to control a circumferential deposition thickness distribution on the substrate.

12. A system for controlling a circumferential deposition thickness distribution on a substrate comprising:
- (a) a motor that rotates the substrate;
 - (b) a positioning sensor that senses an angular position of the substrate;
 - 5 (c) at least one deposition thickness sensor that senses the deposition thickness of the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate;
 - (d) at least one controller that drives a vapor source used to emit material for deposition on the substrate, said at least source controller being coupled to the positioning
10 sensor and the deposition thickness sensor; and
 - (e) wherein the controller synchronously varies an emission rate of material from the vapor source with respect to the angular position of the substrate to control the circumferential deposition thickness distribution.
13. The system of claim 12, wherein the at least one controller comprises a vapor
15 source controller that drives the vapor source, and a process controller coupled to the vapor source controller, the positioning sensor and the deposition thickness sensor, wherein the process controller synchronously varies the emission rate of material from the vapor source with respect to the angular position of the substrate to control the circumferential deposition thickness distribution.
- 20 14. A method for controlling a circumferential deposition thickness distribution on a substrate comprising:
- (a) rotating a substrate with a motor;
 - (b) sensing an angular position of the substrate with a positioning sensor;
 - (c) sensing the deposition thickness of the substrate at multiple positions on a
25 circumference of a circle centered about an axis of rotation of the substrate with at least one deposition thickness sensor; and
 - (d) synchronously varying an emission rate of material from the vapor source with respect to the angular position of the substrate, in response to outputs of the

positioning sensor and the deposition thickness sensor, to control the circumferential deposition thickness distribution.

15. An optical filter comprising:

(a) a substrate; and

5 (b) a material deposited on the substrate using a motor that rotates the substrate, a positioning sensor that senses an angular position of the substrate, at least one deposition thickness sensor that senses the deposition thickness of the substrate at multiple positions on a circumference of a circle centered about an axis of rotation of the substrate, at least one controller that drives a vapor source used to emit material for deposition on
10 the substrate, said at least one controller coupled to the positioning sensor and the deposition thickness sensor; and wherein the at least one controller synchronously varies an emission rate of material from the vapor source with respect to the angular position of the substrate to control a circumferential deposition thickness distribution on the substrate.

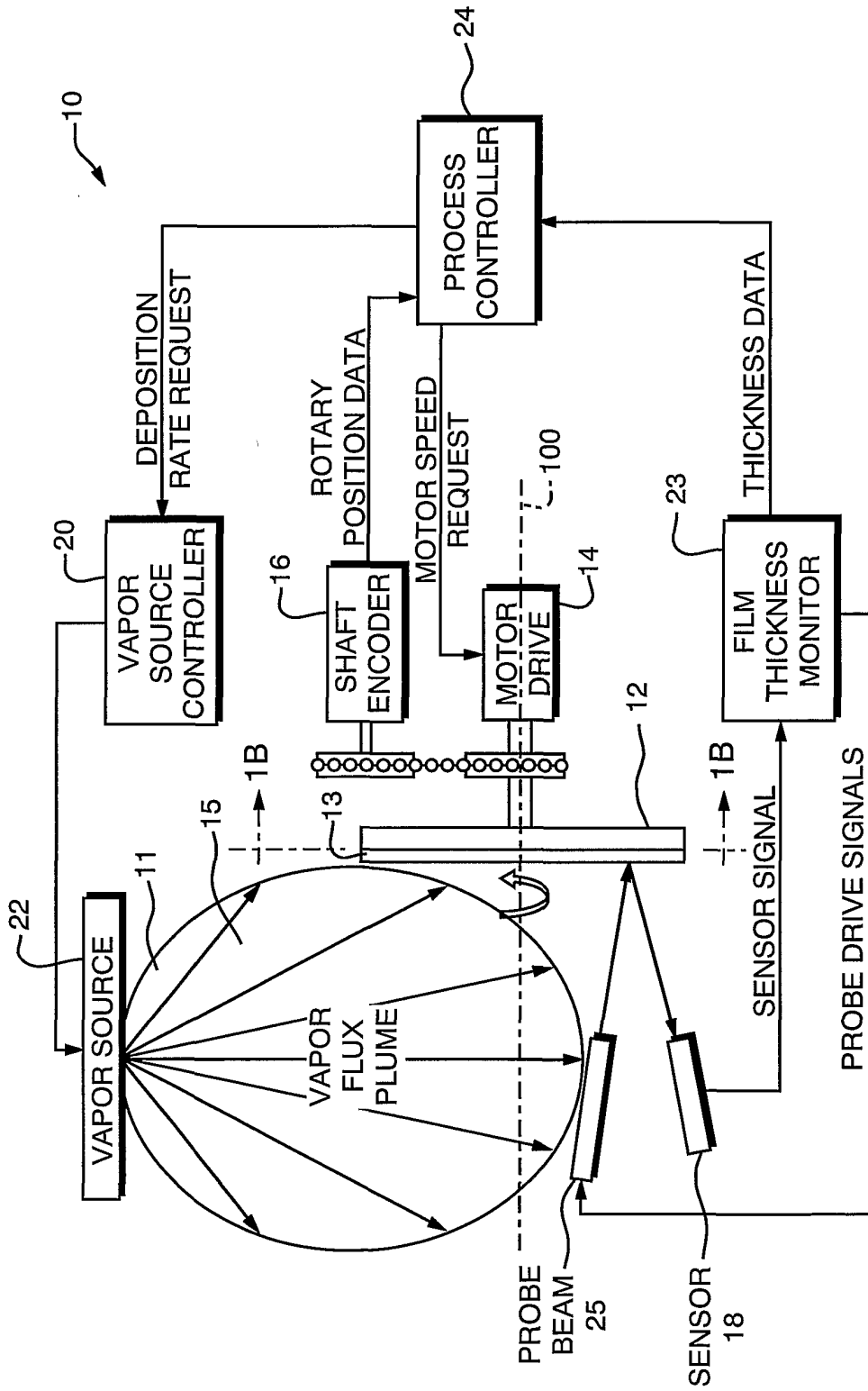


FIG. 1A

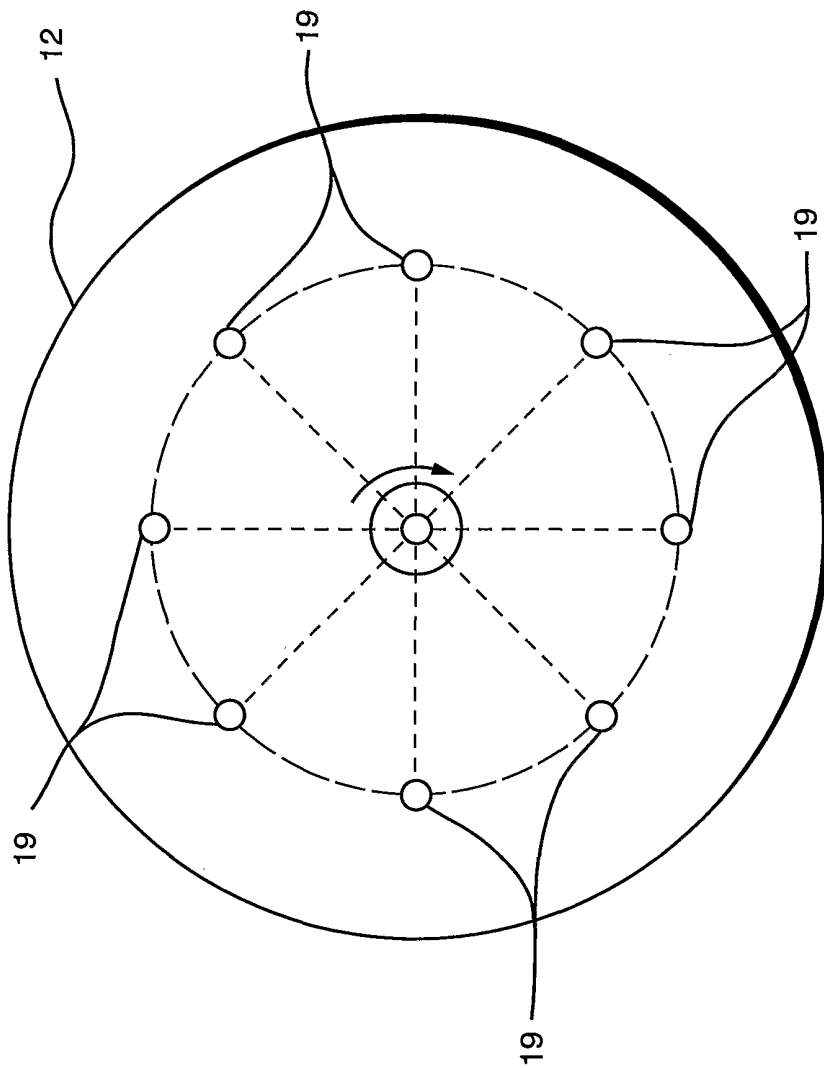


FIG. 1B

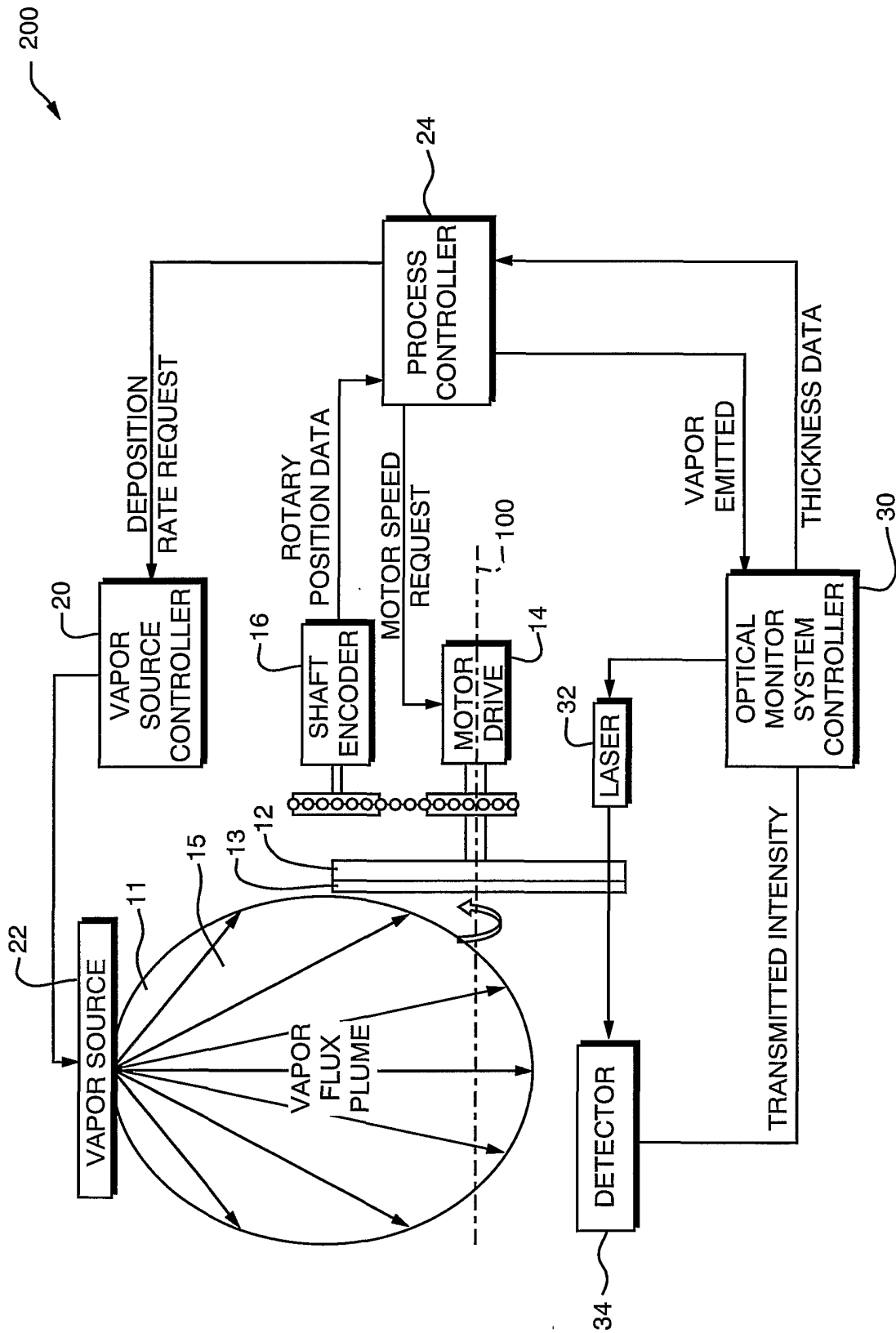


FIG. 2

300

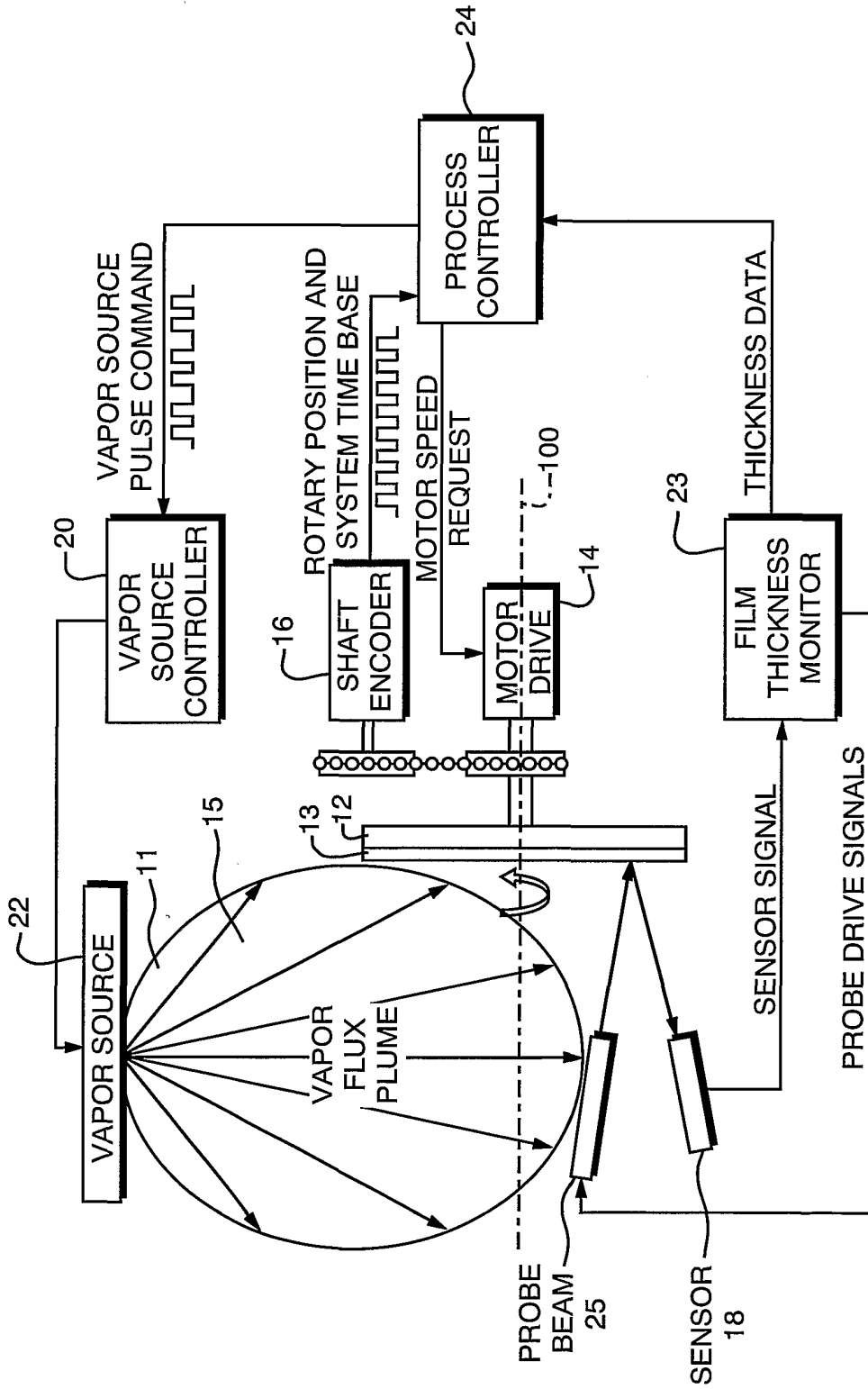


FIG. 3

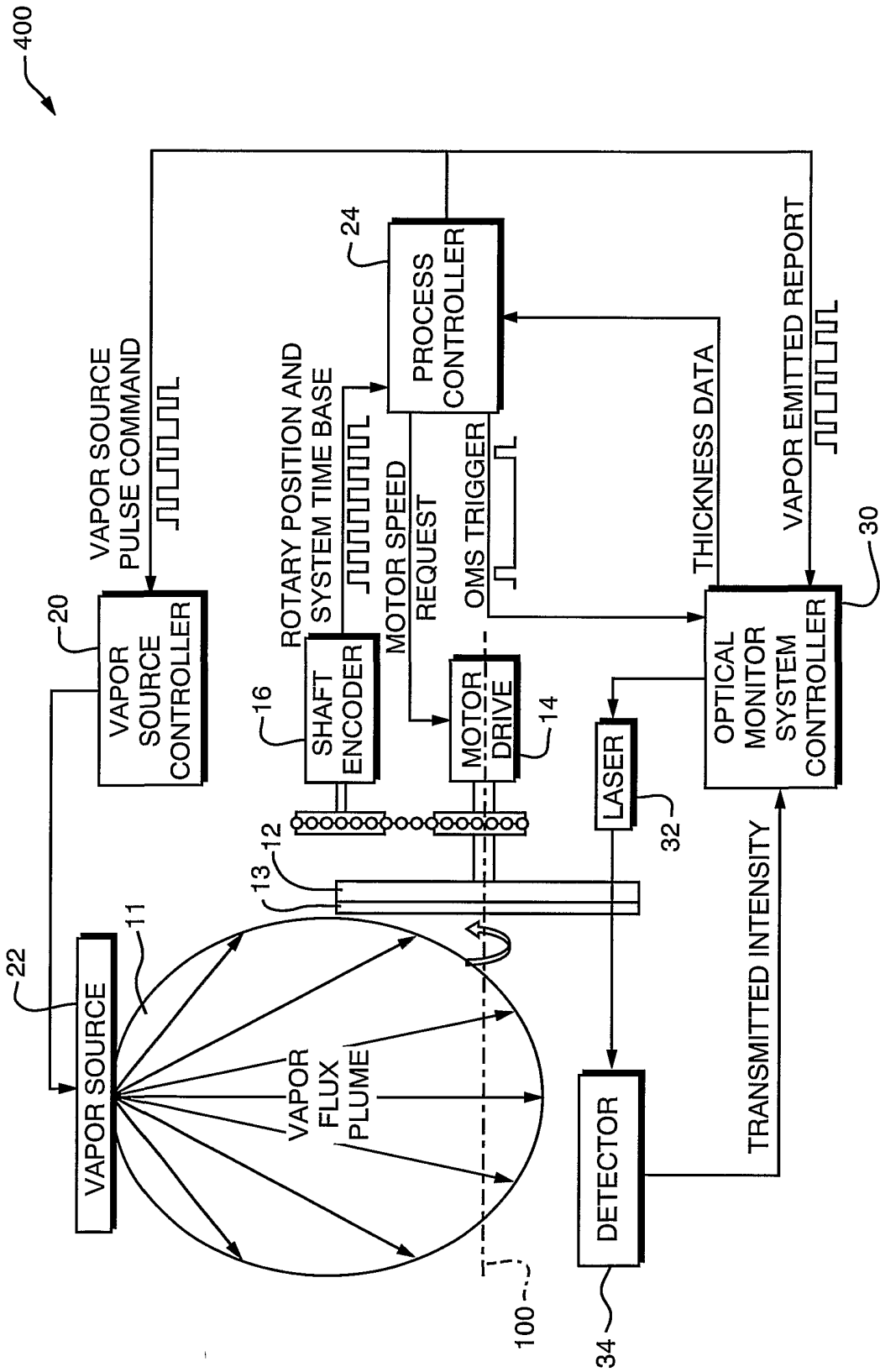


FIG. 4

500

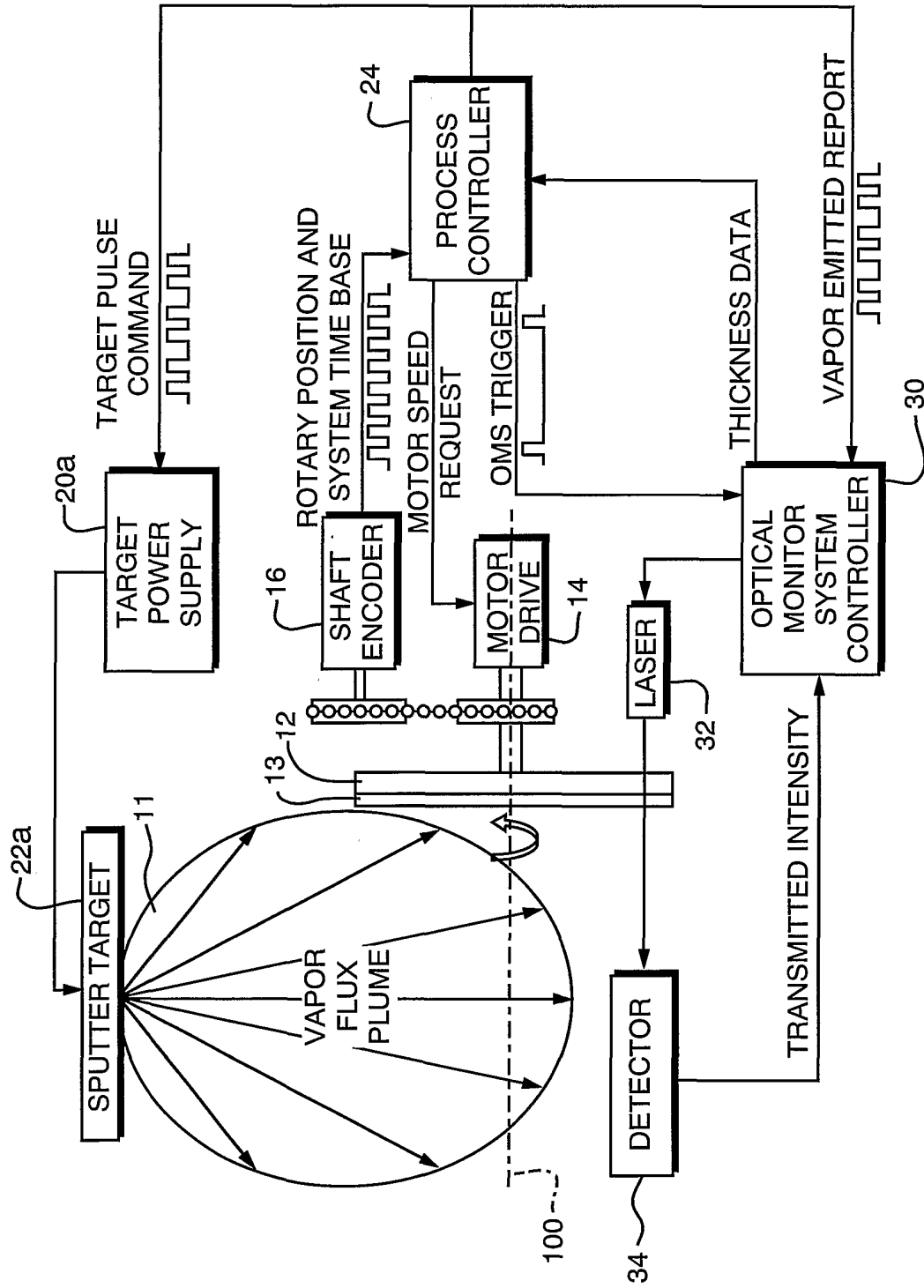


FIG. 5

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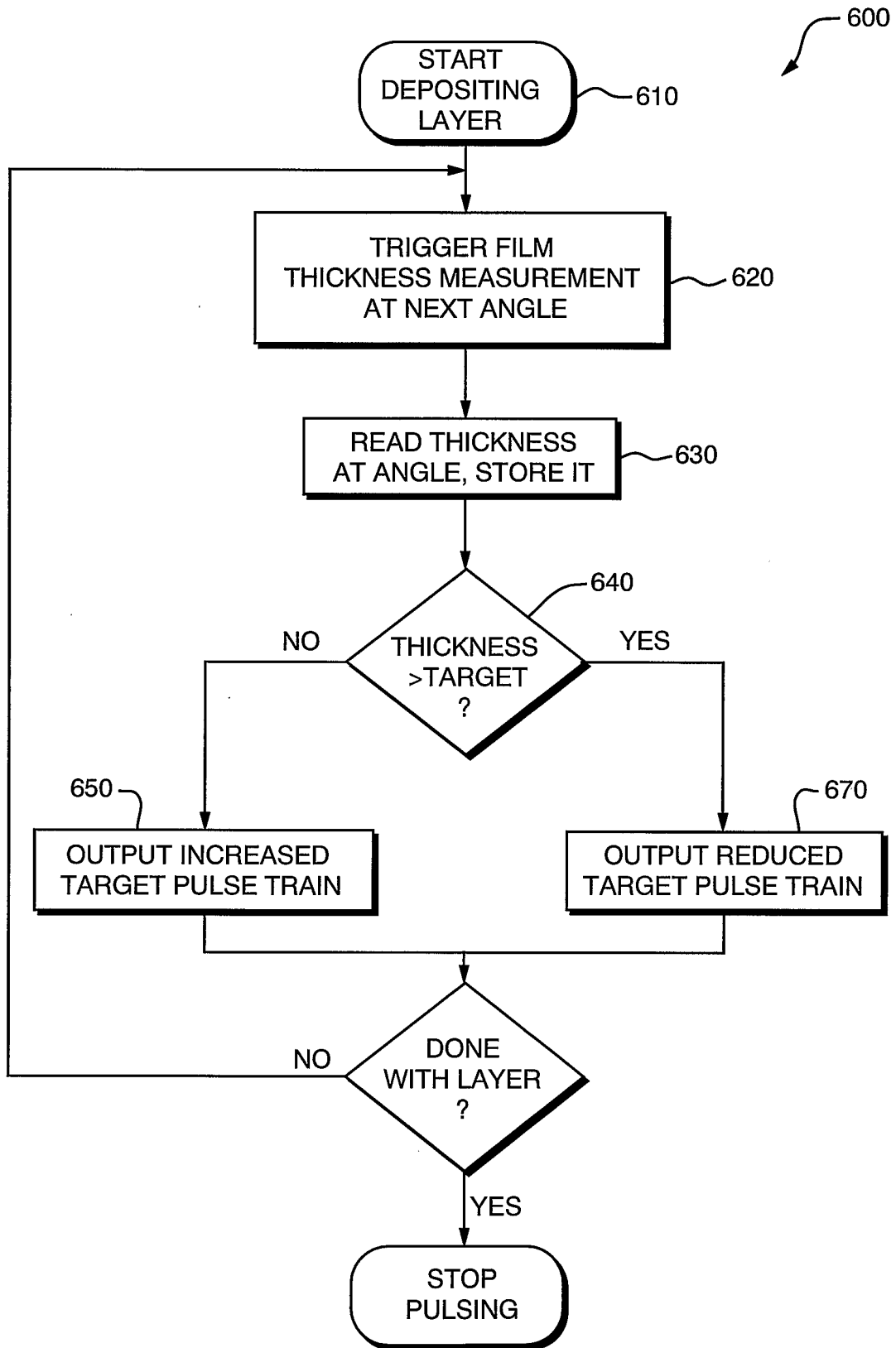


FIG. 6

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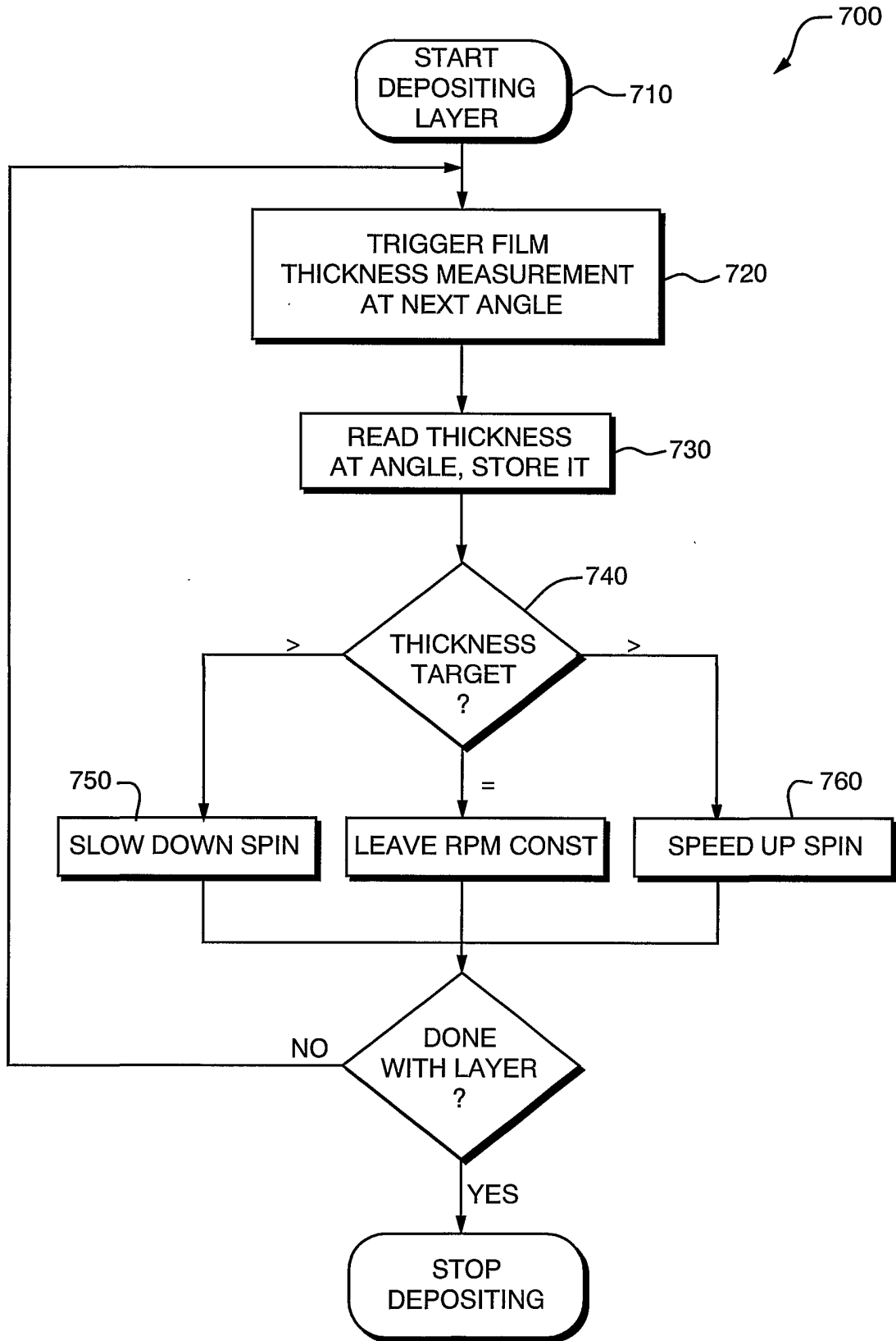


FIG. 7

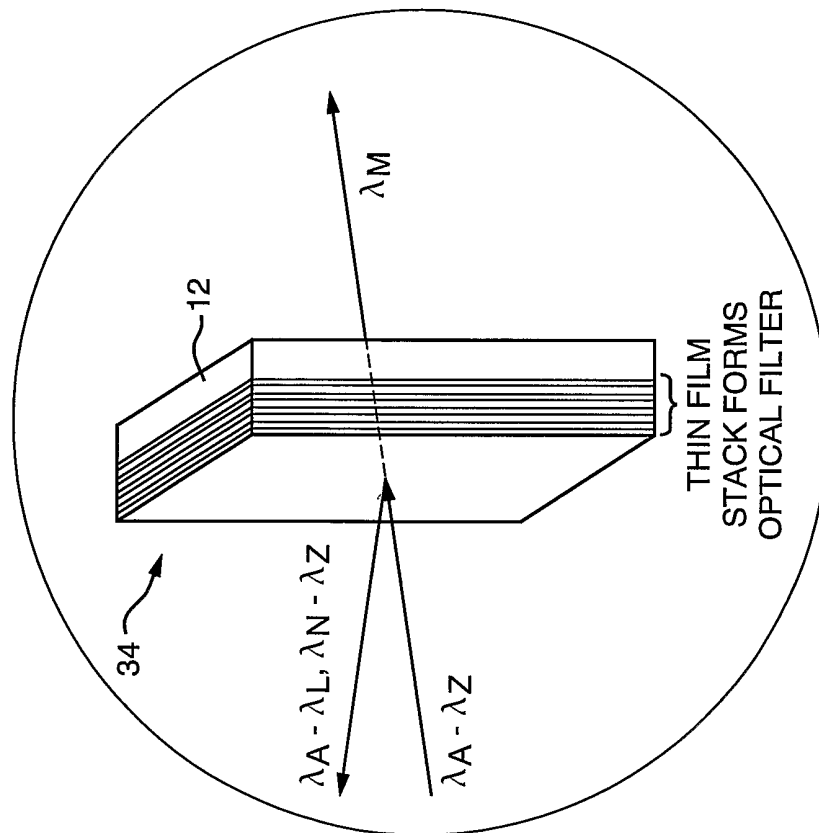
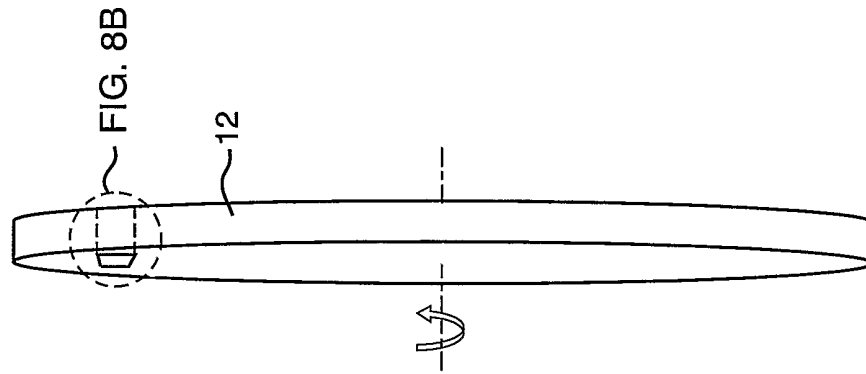


FIG. 8A

FIG. 8B

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/07664

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C23C 14/34; G02B 26/00; G02F 1/00
 US CL : 204/192.12, 192.13, 298.03, 298.06, 298.23, 298.28; 359/237

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 U.S. : 204/192.12, 192.13, 298.03, 298.06, 298.23, 298.28; 359/237

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6,197,164 B1 (PINARBASI) 06 March 2001 (06.03.2001), column 7, lines 18-39	1-10, 12-14
X	US 5,982,547 A (SOMENO et al) 09 November 1999 (09.11.1999), Figure 1A	11, 15
A	US 5,942,089 A (SPROUL et al) 24 August 1999 (24.08.1999) column 4, lines 11-14	1-10, 12-14
A	US 5,223,109 A (ITOH et al) 29 June 1993 (29.06.1993) column 4, lines 45-48	1-10, 12-14
A	US 5,126,028 A (HURWITT et al) 30 June 1992 (30.06.1992), column 11, lines 53-59	1-10, 12-14

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 15 May 2002 (15.05.2002)	Date of mailing of the international search report 11 JUN 2002
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	Authorized officer Steven H VerSteege Jean Proctor Paralegal Specialist Telephone No. (703) 308-0661

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/07664

Continuation of Item 4 of the first sheet:

The title is greater than 7 words long.

System and Method for Controlling Deposition Thickness