## (19) World Intellectual Property Organization International Bureau





## (43) International Publication Date 27 December 2001 (27.12.2001)

### **PCT**

# (10) International Publication Number WO 01/099155 A3

(51) International Patent Classification<sup>7</sup>: H01S 5/02, 5/323, H01L 33/00

(21) International Application Number: PCT/JP01/05196

(22) International Filing Date: 19 June 2001 (19.06.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

2000-183707 19 June 2000 (19.06.2000) JP 2000-402378 28 December 2000 (28.12.2000) JP

- (71) Applicant: NICHIA CORPORATION [JP/JP]; 491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima 774-8601 (JP).
- (72) Inventors: KOZAKI, Tokuya; c/o Nichia Corporation, 491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima 774-8601 (JP). KIYOKU, Hiroyuki; c/o Nichia Corporation, 491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima 774-8601 (JP). CHOCHO, Kazuyuki; c/o Nichia Corporation, 491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima 774-8601 (JP). MAEGAWA, Hitoshi; c/o Nichia Corporation, 491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima 774-8601 (JP).

- (74) Agents: ISHII, Hisao et al.; Aoyama & Partners, IMP Building, 3-7, Shiromi 1-chome, Chuo-ku, Osaka-shi, Osaka 540-0001 (JP).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

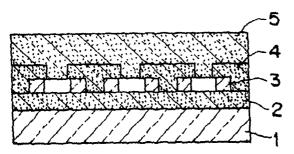
with international search report

(88) Date of publication of the international search report: 17 April 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: NITRIDE SEMICONDUCTOR SUBSTRATE AND METHOD FOR MANUFACTURING THE SAME, AND NITRIDE SEMICONDUCTOR DEVICE USING NITRIDE SEMICONDUCTOR SUBSTRATE





(57) Abstract: A nitride semiconductor substrate including: (a) a supporting substrate; (b) a first nitride semiconductor layer having a periodical T-shaped cross-section, having grown from periodically arranged stripe-like, grid-like or island-like portions on the supporting substrate; and (c) a second nitride semiconductor substrate covering the supporting substrate, having grown from the top and side surfaces of the first nitride semiconductor layer, wherein a cavity is formed under the second nitride semiconductor layer. The first nitride semiconductor layer is laterally grown from the exposed portion of the substrate. The growth is stopped before the first nitride semiconductor layer covers the supporting substrate. Thus, the first nitride

semiconductor layer has a periodical T-shaped cross-section. Then, the protective layer is removed and the second nitride semiconductor layer is grown from the top and side surface of the first nitride semiconductor layer to cover the substrate.

#### INTERNATIONAL SEARCH REPORT

Inte nal Application No

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H01S5/02 H01S5/323 H01L33/00 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) H01L Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, PAJ C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category ° Citation of document, with indication, where appropriate, of the relevant passages Χ US 5 676 752 A (BOZLER CARL O ET AL) 1 - 1914 October 1997 (1997-10-14) column 12, line 8-16; figures 14-20 column 13, line 22-30 X WO OO 04615 A (FUJITSU LTD ; HORINO 4,14 KAZUHIKO (JP); KURAMATA AKITO (JP)) 27 January 2000 (2000-01-27) figures 26,27 Α PATENT ABSTRACTS OF JAPAN 1,3-6vol. 2000, no. 02, 29 February 2000 (2000-02-29) -& JP 11 329971 A (SHARP CORP), 30 November 1999 (1999-11-30) the whole document -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the \*A\* document defining the general state of the art which is not considered to be of particular relevance invention \*E\* earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or other means \*P\* document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 18/07/2002 5 July 2002 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016 Claessen, L

## INTERNATIONAL SEARCH REPORT

Int nal Application No
PCT/JP 01/05196

C.(Continua	ition) DOCUMENTS CONSIDERED TO BE RELEVANT	<u></u>	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
A	WO 99 65068 A (LINTHICUM KEVIN J ;UNIV NORTH CAROLINA (US); ZHELEVA TSVETANKA (US) 16 December 1999 (1999-12-16) figures 1-6	1-19	
Α	ZHELEVA T S ET AL: "Pendeo-epitaxy-a new approach for lateral growth of gallium nitride structures" MRS INTERNET JOURNAL OF NITRIDE SEMICONDUCTOR RESEARCH, MATERIALS RESEARCH SOCIETY, WARRENDALE, PA,, US, vol. 4S1, 30 November 1998 (1998-11-30), XP002117241 ISSN: 1092-5783 the whole document	1–19	
A	NAKAMURA S: "INGAN MULTIQUANTUM-WELL-STRUCTURE LASER DIODES WITH GAN-ALGAN MODULATION-DOPED STRAINED-LAYER SUPERLATTICES" IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, IEEE SERVICE CENTER, US, vol. 4, no. 3, 1 May 1998 (1998-05-01), pages 483-489, XPO00782257 ISSN: 1077-260X the whole document	1-19	

### INTERNATIONAL SEARCH REPORT

"Information on patent family members

Into mal Application No
PCT/JP 01/05196

			1 101/01	01/03190
Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 5676752 A	14-10-1997	US US US US US US DE DE DE EP EP EP	5362682 A 4727047 A 5588994 A 5328549 A 4837182 A 5549747 A 5217564 A 5273616 A 3176676 D1 3177084 D1 3177317 D1 3177317 T2 0049286 A1 0194495 A1 0191503 A2 0191504 A2 0191505 A2	08-11-1994 23-02-1988 31-12-1996 12-07-1994 06-06-1989 27-08-1996 08-06-1993 28-12-1993 07-04-1988 21-09-1989 20-08-1998 25-02-1999 02-03-1988 17-09-1986 20-08-1986 20-08-1986 20-08-1986
		EP EP EP EP JP JP	0191503 A2 0191504 A2 0191505 A2 0193830 A2 0192280 A2 0506146 A2 2283014 A 2283077 A	20-08-1986 20-08-1986 20-08-1986 10-09-1986 27-08-1986 30-09-1992 20-11-1990
		JP JP JP JP WO US	2584164 B2 6020945 A 4021334 B 57500670 T 8102948 A1 4816420 A	19-02-1997 28-01-1994 09-04-1992 15-04-1982 15-10-1981 28-03-1989
WO 0004615 A	27-01-2000	WO	0004615 A1	27-01-2000
JP 11329971 A	30-11-1999	NONE		
WO 9965068 A	16-12-1999	AU CA CN EP WO US US	4556599 A 2331893 A1 1305639 T 1088340 A1 9965068 A1 6265289 B1 2001039102 A1	30-12-1999 16-12-1999 25-07-2001 04-04-2001 16-12-1999 24-07-2001 08-11-2001