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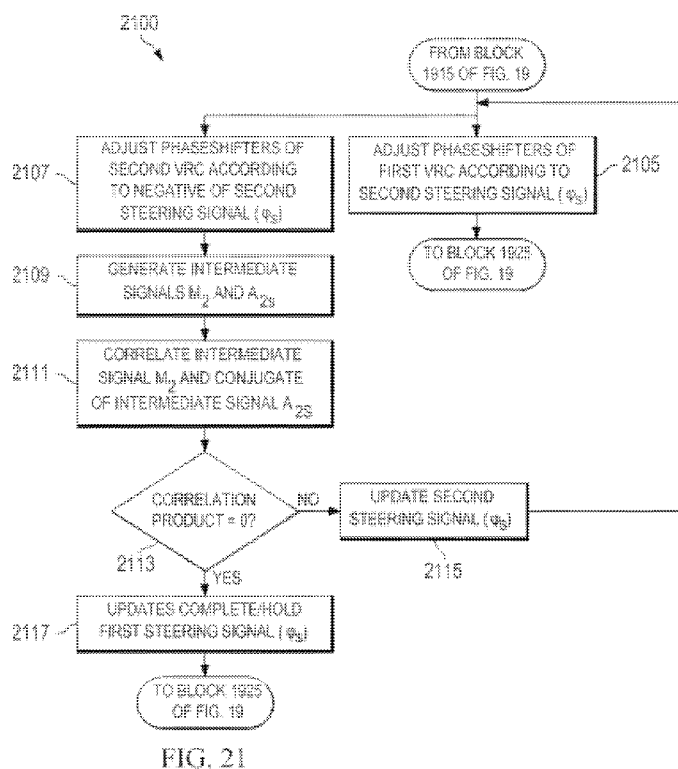
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(54) Title: SYSTEM AND METHOD FOR SIMPLE 2D PHASE-MODE ENABLED BEAM-STEERING



(57) Abstract: A method for operating a beam-steering system includes receiving a signal to be tracked, generating a first phase-mode signal, a second phase-mode signal and a third phase-mode signal from the received signal, and generating a first intermediate auxiliary signal, a second intermediate auxiliary signal, and a first intermediate main signal in accordance with the phase-mode signals. The method also includes deriving a first steering signal proportional to a circumferential steering angle of the received signal from the first intermediate main signal and the first auxiliary signal, and deriving a second steering signal proportional to a radial steering angle of the received signal from the second intermediate auxiliary signal and the first intermediate main signal.



— *with information concerning incorporation by reference
of missing parts and/or elements (Rule 20.6)*

System and Method for Simple 2D Phase-Mode Enabled Beam-Steering

[0001] The present application claims benefit for U.S. Non-provisional Application No. 14/295,235, filed on June 3, 2014, entitled “ System and Method for Simple 2D Phase-Mode Enabled Beam-Steering”, which application is hereby incorporated herein by reference.

5 **TECHNICAL FIELD**

[0002] The present disclosure relates generally to antennas and electromagnetic radiation modification, and more particularly to a system and method for simple two-dimensional (2D) phase-mode enabled beam-steering.

BACKGROUND

10 [0003] Beam-steering is the angular positioning of the main lobe of a radiation pattern. This allows for greater discrimination in favor of a desired signal from a point-like source in the far field of the antenna, for sensing or information transmission and reception. When it is required to steer the beam of a planar array antenna over a limited range in 2 dimensions around the array axis (which is perpendicular to the plane of the array), it becomes difficult to
15 fit each element with a variable phase shifter or transceiver module (TR), and incorporate them all into the feed structure as would be devised in the conventional approach. This is especially true where the wavelengths involved are small because the array elements and spacings scale with wavelength (must be in the order of half wavelength) whereas feed lines and phase shifters take up additional room and do not completely scale with wavelength,
20 (especially TRs). In any case, the phaseshifters and TRs become very expensive for short wavelengths (e.g. millimeter-waves), so it is desirable to use as few of them as possible to achieve the necessary beam control.

SUMMARY OF THE DISCLOSURE

[0004] Example embodiments of the present disclosure which provide a system and
25 method for simple two-dimensional (2D) phase-mode enabled beam-steering.

[0005] In accordance with an example embodiment of the present disclosure, a method for operating a beam-steering system is provided. The method includes receiving a signal to be tracked, generating a first phase-mode signal, a second phase-mode signal and a third phase-mode signal from the received signal, and generating a first intermediate auxiliary

signal, a second intermediate auxiliary signal, and a first intermediate main signal in accordance with the phase-mode signals. The method also includes deriving a first steering signal proportional to a circumferential steering angle of the received signal from the first intermediate main signal and the first auxiliary signal, and deriving a second steering signal proportional to a radial steering angle of the received signal from the second intermediate auxiliary signal and the first intermediate main signal.

[0006] In accordance with another example embodiment of the present disclosure, a method for operating a beam-steering system is provided. The method includes generating, by the beam-steering system, a first auxiliary intermediate signal and a second auxiliary intermediate signal in accordance with a 1-st order phase-mode signal, and generating, by the beam-steering system, a first intermediate main signal in accordance with a 0-th order phase-mode signal. The method also includes producing, by the beam-steering system, a first steering signal in accordance with the first auxiliary intermediate signal and the first intermediate main signal to orient an array of antenna elements towards a source of the phase-mode signals in a circumferential dimension, and producing, by the beam-steering system, a third intermediate auxiliary signal and a second intermediate main signal in accordance with the first intermediate main signal and the second intermediate auxiliary signal. The method further includes producing, by the beam-steering system, a second steering signal in accordance with the third intermediate auxiliary signal and the second intermediate main signal to orient the array of antenna elements towards the source of the phase-mode signals in a radial dimension, and producing, by the beam-steering system, an output signal in accordance with the first intermediate auxiliary signal, the first intermediate main signal, and the second steering signal, the output signal corresponding to a maximum strength of the signal received by the array of antenna elements.

[0007] In accordance with another example embodiment of the present disclosure, a beam-steering control system is provided. The beam-steering control system includes a variable phase combiner, a phase shifting splitter, a first steering signal unit operatively coupled to the first auxiliary intermediate signal and the first intermediate main signal, a second variable ratio combiner operatively coupled to the first intermediate main signal and the second intermediate auxiliary signal, a second steering signal unit operatively coupled to the third intermediate auxiliary signal and the second intermediate main signal, and a first variable ratio combiner operatively coupled to the first intermediate auxiliary signal, the first intermediate main signal, and the second steering signal. The variable phase combiner generates a first auxiliary intermediate signal and a second auxiliary intermediate signal in

accordance with at least one of a 1-st order phase-mode signal and a -1-st order phase-mode signal associated with a received signal. The phase shifting splitter generates a first intermediate main signal in accordance with a 0-th order phase-mode signal associated with the received signal. The first steering signal unit produces a first steering signal to orient an array of antenna elements towards a source of the received signal in a circumferential dimension. The second variable ratio combiner produces a third intermediate auxiliary signal and a second intermediate main signal. The second steering signal unit produces a second steering signal to orient the array of antenna elements towards the source of the received signal in a radial dimension. The first variable ratio combiner produces an output signal corresponding to a maximum strength of the signal received by the array of antenna elements.

[0008] In accordance with another example embodiment of the present disclosure, a beam-steering control system is provided. The beam-steering control system includes an array of antenna elements, a phase-mode generating unit operatively coupled to the array of antenna elements, a variable-phase combiner/splitter (VPC) operatively coupled to the phase-mode generating unit, a first steering signal unit operatively coupled to the VPC, and a second steering signal unit operatively coupled to the VPC. The array of antenna elements receives a signal to be tracked. The phase-mode generating unit generates a first phase-mode signal, a second phase-mode signal and a third phase-mode signal from the received signal. The VPC generates a first intermediate auxiliary signal, a second intermediate auxiliary signal, and a first intermediate main signal in accordance with the phase-mode signals. The first steering signal unit derives a first steering signal proportional to a circumferential steering angle of the received signal from the first intermediate main signal and the first intermediate auxiliary signal. The second steering signal unit derives a second steering signal proportional to a radial steering angle of the received signal from the second intermediate auxiliary signal and the first intermediate main signal.

BRIEF DESCRIPTION OF THE DRAWINGS

[0009] For a more complete understanding of the present disclosure, and the advantages thereof, reference is now made to the following descriptions taken in conjunction with the accompanying drawing, in which:

[0010] Figure 1 illustrates a graph illustrating an example far-field pattern of 0-th order phase-mode P_0 of a 16 element, $\lambda/2$ spaced circular ring array according to example embodiments described herein;

[0011] Figure 2 illustrates a graph illustrating an example far-field pattern of -1-st order phase-mode P_{-1} of a 16-element, $\lambda/2$ spaced circular ring array according to example embodiments described herein;

[0012] Figure 3 illustrates a graph of an example far-field pattern of 1-st order phase-mode P_1 of a 16 element, $\lambda/2$ spaced circular ring array according to example embodiments described herein;

[0013] Figure 4 illustrates a portion of an example beam-steerer system with a variable-ratio combiner controlled by setting phaseshift φ , with phaseshift θ applied to input B according to example embodiments described herein;

[0014] Figure 5 illustrates a graph of an example resultant steered-beam far-field radiation pattern according to example embodiments described herein;

[0015] Figure 6 illustrates a graph of an example resultant steered-beam far-field radiation pattern achieved by making use of both -1st and +1st phase-mode signals according to example embodiments described herein;

[0016] Figure 7 illustrates an example beam-steering system according to example embodiments described herein;

[0017] Figure 8 illustrates a diagram of example coordinates and phase-modes of a circular ring array and its far field according to example embodiments described herein;

[0018] Figure 9 illustrates an example geometry for a circular ring array according to example embodiments described herein;

[0019] Figure 10 illustrates plots of example Bessel functions J_0 , J_1 and their approximations with $q = 3/4$ according to example embodiments described herein;

[0020] Figure 11 illustrates a first example beam-steering system which includes beam-steering system shown in Figure 7 with the additional analog control circuitry, where the “external” 90-degree phase-shifts are shown explicitly as factors $\pm j$ according to example embodiments described herein;

[0021] Figures 12a and 12b illustrate graphs of example convergence trajectories according to example embodiments described herein;

[0022] Figure 13 illustrates a second example phase-mode enabled 2D beam-steering system according to example embodiments described herein;

[0023] Figure 14 illustrates an example variable-ratio combiner (VRC) according to example embodiments described herein;

[0024] Figure 15 illustrates an example zero-lag correlator (ZLC) according to example embodiments described herein;

5 [0025] Figure 16 illustrates an example variable-phase combiner (VPC) according to example embodiments described herein;

[0026] Figure 17 illustrates a first example simplified beam-steering system according to example embodiments described herein;

10 [0027] Figure 18 illustrates a second example simplified beam-steering system according to example embodiments described herein;

[0028] Figure 19 illustrates a flow diagram of example high-level operations occurring in a beam-steering system as it automatically tracks a signal of interest according to example embodiments described herein;

15 [0029] Figure 20a illustrates a flow diagram of first example operations occurring in a beam-steering system as it generates a first steering signal as it automatically tracks a signal of interest according to example embodiments described herein;

[0030] Figure 20b illustrates a flow diagram of second example operations occurring in a beam-steering system as it generates a first steering signal as it automatically tracks a signal of interest according to example embodiments described herein; and

20 [0031] Figure 21 illustrates a flow diagram of example operations 2100 occurring in a beam-steering system as it generates a second steering signal as it automatically tracks a signal of interest according to example embodiments described herein.

DETAILED DESCRIPTION OF illustrative embodiments

[0032] The operating of the current example embodiments and the structure thereof are discussed in detail below. It should be appreciated, however, that the present disclosure provides many applicable inventive concepts that can be embodied in a wide variety of specific contexts. The specific embodiments discussed are merely illustrative of specific structures of the disclosure and ways to operate the disclosure, and do not limit the scope of the disclosure.

[0033] Disclosed herein is a two-dimensional (2D) phase-mode beam steering system that automatically achieves 2D steering of the electromagnetic (EM) radiation beam of a circular or polygonal ring array with an arbitrary number of antenna elements using a fixed number of variable phase shifters, hybrid splitter/combiners, mixers, and integrators. The fixed number of phase-modes is implemented in the feed structure of the antenna ring array. The different phase-modes may each use a separate concentric ring array of antennas or they may use a common ring array of antennas for any or all of the phase-modes. The number of variable phase shifters, hybrid splitter/combiners, mixers, and integrators is independent of the number of antenna elements used in the circular or polygonal ring array. The disclosed 2D phase-mode beam steering systems and methods may be connected to a phase-mode feed network. Additional information about phase-mode feed networks and about hybrid splitters/combiners may be found in Davies, D.E.N. and Rizk, M.S.A.S., "Electronic Steering of Multiple Nulls for Circular Arrays," Electronics Letters, Vol. 13, No. 22, pp. 669-670, 27 October 1977, which is incorporated herein by reference in its entirety.

[0034] In an embodiment, the disclosed 2D phase-mode beam steering system achieves 2-dimensional steering of the beam of a circular ring array of any number of antenna elements using only 6 (or optionally 5) variable phase shifters and only 6 hybrid splitter/combiners. Only 3 (or optionally 2) phase-modes are required to be implemented in the feed structure of the circular ring array. They may each use a separate concentric ring array or a common one for any or all of the phase-modes. The 0-th order phase-mode may also use a filled planar polygonal array and the +1-st and -1-st phase-modes may use polygonal rings of elements on the periphery of this array; these variations of the geometry are to be understood as being implicit in the term "circular ring array" as used in this description.

[0035] Thus the disclosed systems and apparatuses have the potential to greatly reduce the complexity and cost of designing, fabricating and calibrating an electronically- steerable millimeter-wave array antenna. Such an antenna is desirable, for example, in a small-cell

backhaul radio to enable automatic alignment of the point-to-point link, thus greatly reducing the link deployment time and cost.

[0036] In an embodiment, a beam-steering system includes an analog radio frequency (RF) beam-steering network connected to a circular ring array of radiating (or receiving) elements connected to a phase-mode feed network having output ports for the 0-th, 1-st and -1-st order phase-modes (P_0 , P_1 and P_{-1} , respectively), and to a transceiver (with optionally one additional receiver input) at its output ports. The phase-mode inputs to the beam-steering network may be generated from separate concentric ring arrays, or from a single common ring array, having an arbitrary number of elements. The disclosed beam-steering network includes 2 phaseshifters connected to the P_1 and P_{-1} phase-modes and controlled in opposite directions, θ and $-\theta$, respectively. These are in turn connected to a hybrid splitter/combiner which forms their sum at one output, $C = P_1 e^{j\theta} + P_{-1} e^{-j\theta}$, and difference at the other output, as

$D = P_1 e^{j\theta} - P_{-1} e^{-j\theta}$. Output of mode P_0 is input to a compensating and 90° phaseshift

network with the same insertion delay, loss and phase as the phase-shifters and hybrid of the other two phase-modes (when set to zero variable phases). Output D and the compensated P_0 mode are then input to another sum/difference hybrid, whose outputs C and D are connected to another two oppositely-adjustable phaseshifters, φ and $-\varphi$, respectively. The outputs of these are then connected to the inputs of a third hybrid whose sum output port C gives the steered main beam for use in the main transceiver, and difference output D gives a steered auxiliary beam for use in an auxiliary receiver. Sum port output C of the first hybrid gives another independent auxiliary beam for use in a control circuit which generates a steering signal to control the circumferential (θ) direction of the main beam.

[0037] In an embodiment, steering of the main beam in a limited range around the array axis (direction perpendicular to the plane of array) in the radial direction is accomplished with phase-setting of φ , and in the circumferential direction with setting of θ , independently is provided. The same structure of beam-steerer can be used with ring arrays having any number of elements.

[0038] The disclosed beam-steerers are herein described in greater detail of their principles of operation, in the context of a steerable millimeter-wave array antenna.

Specifically, in an embodiment, the antenna includes a planar ring of identical radiating (or receiving) elements connected to a phase-mode beamforming network and radiating nominally in the direction orthogonal to the plane of the array (along the array axis).

[0039] In the case of an electromagnetic antenna, the array elements maybe of linear or circular polarizations. In the latter case, they may be arranged with their feedpoints symmetrically around the center, so that the phase will progress linearly around the circumference by one cycle, resulting in one of the 1-st order phase-modes. In an embodiment, phasing arrangements compensating for this phase-progression will form the 0-th order phase-mode. Other phase-mode feed arrangements for linearly-polarized elements may be devised, such as portions of a Butler matrix or Rotman lens, spatial or guided-mode feeds and other arrangements employed by those skilled in the art. In an embodiment, the end result is a phase-mode feed structure of a circular or polygonal ring array having output ports corresponding to the 0-th, +1-st and -1-st order phase-modes.

[0040] To help with the understanding of the operation of the invention, the far-field radiation patterns of the pertinent phase-modes are illustrated in Figures 1-3. Figure 1 is a graph 100 illustrating an example far-field pattern of 0-th order phase-mode P_0 of a 16 element, $\lambda/2$ spaced circular ring array. Figure 2 is a graph 200 illustrating an example far-field pattern of -1-st order phase-mode P_{-1} of a 16-element, $\lambda/2$ spaced circular ring array. Figure 3 is a graph 300 of an example far-field pattern of 1-st order phase-mode P_1 of a 16 element, $\lambda/2$ spaced circular ring array.

[0041] In an embodiment, all antenna elements are assumed to be substantially omnidirectional in the hemisphere bounded by the plane of the array and linearly polarized, for simplicity. In the 0-th order phase-mode, P_0 , there is no phase progression in the element excitations around the circular ring array (all elements are fed in phase), so there is no phase-progression in the circumferential direction around the array (z) axis. Thus all the fields add in-phase on the array axis and form the main beam in the far field. Its normalized plot is shown in Figure 1 for a 16-element ring array with elements spaced half-wavelength apart around the circumference. Different shading indicates phase, with darker shading denoting $-\pi$, lighter shading denoting 0, and medium shading denoting $+\pi$ radians relative to the P_0 excitation. Figures 2 and 3 show similar plots for the other phase-modes of the same ring array.

[0042] The phase progressions in the P_1 and P_{-1} modes' far-field patterns are one complete cycle of 2π radians but in opposite directions around the z-axis, which is the same as their element excitation phase progressions.

[0043] Now it will be apparent that if one adds some proportion of, for example, the P_1 phase-mode to the P_0 phase-mode, the result will be a main lobe pointing in the direction

where the two modes have the same phase (e.g. lighter shade for the above plots). The main lobe will deviate from the array axis by an amount proportional to the proportion of the P_1 mode being added. One can also vary the phase, θ , of P_1 , which will change the location on the circle where it is in phase with the original main beam P_0 thus causing the resultant main lobe to point in that direction.

[0044] Figure 4 illustrates a portion of an example beam-steerer system 400 with a variable-ratio combiner controlled by setting phaseshift φ , with phaseshift θ applied to input B. The portion of system 400 shown in Figure 4 is a variable-ratio combiner. The system 400 includes two hybrid splitters/combiners 402, and 404 and two oppositely adjusted phaseshifters 406, and 408. Each hybrid splitter/combiner 402, and 404 has two inputs, A and B, and two outputs, D and C. The input A for the hybrid splitter/combiner 402 is the P_0 phase-mode from the far-field of an array of antennas (not shown). The input B for the hybrid splitter/combiner 402 is the P_1 phase-mode from the far-field of an array of antennas, and is phase shifted by phaseshifter 409. The output D of hybrid splitter/combiner 402 is the input for phase shifter 406 and the output C of hybrid splitter/combiner 402 is the input for phase shifter 408. The output from phase shifter 406 is the input B for the hybrid splitter/combiner 404 and the output from phase shifter 408 is the input A for the hybrid splitter/combiner 404. The output D from the hybrid splitter/combiner 404 is the auxiliary output. The output C from the hybrid splitter/combiner 404 is the main (M) output where the steered main beam is effected.

[0045] In an embodiment, while the relative phaseshift of the two phase-modes is simple to control using a variable phaseshifter 409, their relative proportions of addition are achieved using the variable-ratio combiner of system 400. In this embodiment, the two hybrid splitters/combiners 402, and 404 and two oppositely-adjusted phaseshifters 406, and 408 are used to realize the function described by the equation 410. The main output, M, is described by the function

$$M = P_0 \cos \varphi - P_1 e^{j\theta} \sin \varphi,$$

where φ is the angle of the steered beam around the array axis in the radial direction and θ is the angle of the steered beam in the circumferential direction.

[0046] The mathematics of the equation 410 requires input B to hybrid splitter/combiner 402 to be shifted by a fixed 90 degrees and the (auxiliary) output D of hybrid splitter/combiner 404 also has a fixed 90 degree phaseshift, both of which are of no practical consequence as it depends on the choice of hybrid splitter/combiner in the implementation. As

an example, Figure 14 illustrates a convenient grouping which is common in a number of instances within example embodiments presented herein. An example of the resultant steered-beam far-field radiation pattern 500 at the main (M) output C from hybrid splitter/combiner 404 is shown in Figure 5 with $\theta=2\pi*0.5$ and $\varphi=2\pi*0.125$ radians.

5 [0047] It is possible to obtain a greater steering angle and lower sidelobes in the steered beam by also making use of the other first-order phase-mode, P_{-1} , by virtue of a simple trigonometric identities as follows.

[0048] Suppose the intrinsic phase of phase-mode P_1 at some angle on the circumference of its main cone is α and its amplitude is ρ . Then P_{-1} will have the same amplitude but its
10 phase will be $-\alpha$. Applying phaseshifts θ and $-\theta$ to these, respectively, results in:

$$P_1 e^{j\theta} = \rho e^{j(\alpha+\theta)} = \rho \cos(\alpha + \theta) + j\rho \sin(\alpha + \theta)$$

and

$$P_{-1} e^{-j\theta} = \rho e^{j(-\alpha-\theta)} = \rho \cos(-\alpha - \theta) + j\rho \sin(-\alpha - \theta) = \rho \cos(\alpha + \theta) - j\rho \sin(\alpha + \theta).$$

[0049] Now, the combined, oppositely-phased first order phase-modes produce:

15
$$P_1 e^{j\theta} - P_{-1} e^{-j\theta} = j2\rho \sin(\alpha + \theta)$$

which for any given θ reaches a maximum value of $j2$ where $\alpha+\theta=\pi/2$, minimum of $-j2$ where α is such that $\alpha+\theta=-\pi/2$, and is 0 where $\alpha+\theta=\pi$ or 0. Notice that, if we compensate P_0 by multiplying it by j , it will always be in phase with the above combined and phaseshifted phase-modes. By adding them all together in a variable-ratio combiner, it will result in a peak
20 where the combined modes have a peak, a minimum where they have their minimum, and no effect where they are 0, effecting a steering of the original P_0 main lobe in the circumferential direction by roughly twice the amount as with only one 1-st order phase-mode, and no “fattening” of the main beam in the directions orthogonal to the direction of steering.

[0050] The effect can be seen in the Figure 6 for the same steering parameters as in
25 Figure 5. Note the sharper shape of the steered beam and greater tilt in the radial direction corresponding to the control by φ . Its equation is given by:

$$M = P_0 \cos \varphi - (P_1 e^{j\theta} - P_{-1} e^{-j\theta}) \sin \varphi.$$

[0051] It is noted that in Figure 6 that there is no phase variation over the steered main beam, as in the original main beam due to P_0 . This means that the modem will not need to
30 track carrier-phase variations as the beam is steered, unlike in the case shown in Figure 5.

[0052] Figure 7 illustrates an example beam-steering system 700. Beam-steering system 700 makes use of P_0 , P_1 , and P_{-1} . Beam-steering system 700 includes a circular array of antenna elements 718, a phase-mode feed network 720, four phase shifters 708, 710, 712, 714, three hybrid splitters/combiners 702, 704, 706, and a delay and gain compensation module 716. Graph 730 is a cross-section plot of the P_1 and P_{-1} components of the phase-mode pattern of the far-field beam received by the array 718. Graph 740 is a cross-section plot of the P_0 component of the phase-mode pattern of the far-field beam received by the array 718. Graph 750 is a plot of the steered far-field pattern of the resultant main output C from hybrid splitter/combiner 706, i.e. the output M of the beam-steerer 700.

[0053] A detailed description of beam-steering system 700 and variations thereof is provided in co-assigned U.S. Patent application: Patent Application Number 13/870,309, filed April 25, 2013, entitled "Simple 2D Phase-Mode Enabled Beam-Steering Means," which is incorporated herein by reference.

[0054] According to an example embodiment, it may be possible to control the variable phase shifters of beam-steering system 700 to allow beam-steering system 700 to automatically track a signal of interest. A relatively small amount of additional circuitry, including a duplicate variable-ratio combiner, which is independent of the number of elements in the antenna array may be added. Additionally, an auxiliary beam output is provided which may serve as an input to a spatial interference-cancelling subsystem.

[0055] The output signals of beam-steering system 700 may be expressed as:

$$M = (-j/\sqrt{2})[P_0 \cos \varphi_s - (P_1 e^{j\theta_s} - P_{-1} e^{-j\theta_s}) \sin \varphi_s] \quad (1)$$

$$A_1 = \left(-\frac{j}{\sqrt{2}}\right)[P_0 \sin \varphi_s + (P_1 e^{j\theta_s} - P_{-1} e^{-j\theta_s}) \cos \varphi_s] \quad (2)$$

and

$$A_2 = (P_1 e^{j\theta_s} + P_{-1} e^{-j\theta_s})/\sqrt{2}, \quad (3)$$

where (φ_s, θ_s) are the steering angle settings, P_0 , P_1 and P_{-1} are the phase-mode output signals which are functions of the angular position of the source, (φ_p, θ_p) and contain the time-dependent factor $m(t)e^{j\omega t}$, $m(t)$ being the modulation and ω the angular carrier

frequency of the received RF signal from the source at point P in the far field of the circular ring array. Figure 8 illustrates a diagram of example coordinates and phase-modes of a circular ring array and its far field.

[0056] The phase-mode outputs as functions of the far-field angular coordinates (i.e., the radiation patterns) can be characterized in terms of Bessel functions of the first kind as

$$P_0 = m(t)e^{j\omega t}J_0\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) \quad (4)$$

$$P_1 = -je^{j\theta_p}m(t)e^{j\omega t}J_1\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) \quad (5)$$

5 and

$$P_{-1} = (-j)^{-1}e^{-j\theta_p}m(t)e^{j\omega t}J_{-1}\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) = -je^{-j\theta_p}m(t)e^{j\omega t}J_1\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right), \quad (6)$$

where R is the radius of the circular ring array and the source is in direction (φ_p, θ_p) with respect to the axis perpendicular to the plane of the circular ring array (array axis) and use was made of the Bessel function property that $J_{-n}(x) = (-1)^n J_n(x)$. The derivation of Equations (4)-(6) may be better understood in a more general context shown in Figure 9, which illustrates an example geometry for the circular ring array.

[0057] The physical length with respect to the center of the circle is measured along the line of propagation containing the wavelength, λ . It determines electrically the phaseshift at the n -th antenna element of the circular ring array, and has the same proportion to the wavelength, λ , as the electrical phaseshift, ψ , has to a full cycle of 2π radians. Therefore for the n -th element,

$$\frac{\psi_n}{2\pi} = \frac{L - R \cos(\theta - \theta_n) \sin \varphi}{\lambda}, \quad (7)$$

where L is the length of the propagation path from the center of the circular ring array to point P in its far field. It is noted that its effect is common to all elements of the circular ring array and will be ignored. Assuming each element has an omnidirectional pattern within the field of view and an electronic phaseshift of β_n , the sum of the fields from all elements at P is proportional to

$$F_P = K \sum_{n=1}^N e^{j\beta_n} e^{j\psi_n}, \quad (8)$$

where K absorbs the common propagation constants. The total field is a function of the angular coordinates and forms an antenna radiation pattern when plotted in those coordinates. Expressed in greater detail,

$$F_P(\theta, \varphi) = K \sum_{n=1}^N e^{j\beta_n} e^{-j\frac{2\pi R \sin \varphi}{\lambda} \cos(\theta - \theta_n)}. \quad (9)$$

In the k -th phase-mode excitation of the circular ring array, $\beta_n = k\theta_n$, so the far field is expressible as

$$F_{p,k}(\theta, \varphi) = K e^{jk\theta} \sum_{n=1}^N e^{-j\left(k(\theta-\theta_n) + \frac{2\pi R \sin \varphi}{\lambda} \cos(\theta-\theta_n)\right)}. \quad (10)$$

[0058] Now let $\alpha_n = \theta - \theta_n - \pi/2$ so that $\cos(\theta - \theta_n) = -\sin \alpha_n$ and

5 $e^{jk(\theta-\theta_n)} = (j)^k e^{jk\alpha_n}$, Equation (10) may be rewritten as

$$F_{p,k}(\theta, \varphi) = K(-j)^k e^{jk\theta} \sum_{n=1}^N e^{-j\left(k\alpha_n - \frac{2\pi R \sin \varphi}{\lambda} \sin(\alpha_n)\right)}. \quad (11)$$

[0059] It is noted that θ is the angular azimuth coordinate in the far field, as well as at the circular ring array (same applies to the elevation angular coordinate φ), and that the electrical phase progression in azimuth is the same in the far field as at the circular ring array (element
10 excitations). If the summation is weighted by the incremental angular progression $\Delta\alpha_n = \Delta\alpha$

then normalized by their sum, which is always 2π for any phase-mode, then it may be possible to express the far field as

$$F_{p,k}(\theta, \varphi) = (-j)^k e^{jk\theta} \frac{K}{2\pi} \sum_{n=1}^N e^{-j\left(k\alpha_n - \frac{2\pi R \sin \varphi}{\lambda} \sin(\alpha_n)\right)} \Delta\alpha, \quad (12)$$

and when the number of elements, N , is sufficiently large, replace the discrete summation by
15 an integral so that the far field is expressible as

$$F_{p,k}(\theta, \varphi) \approx (-j)^k e^{jk\theta} \frac{K}{2\pi} \int_{-\pi}^{\pi} e^{-j\left(k\alpha - \frac{2\pi R \sin \varphi}{\lambda} \sin(\alpha)\right)} d\alpha, \quad (13)$$

which is recognized as a Bessel function of the first kind, order k . Therefore

$$F_{p,k}(\theta, \varphi) \approx (-j)^k e^{jk\theta} KJ_k\left(\frac{2\pi R \sin \varphi}{\lambda}\right), \quad (14)$$

where k ranges from 0 to N . Since it is possible to have negative phase progressions with $-N/2$
20 $< k < N/2$, it is possible to use the fact that $J_{-k}(x) = (-1)^k J_k(x)$. Specifically, applying this fact to Equation (14), it is possible to use the following approximations for the three phase-modes of interest:

$$F_{p,0} \approx KJ_0\left(\frac{2\pi R \sin \varphi}{\lambda}\right),$$

$$F_{p,1} \approx -je^{j\theta} KJ_1 \left(\frac{2\pi R \sin \varphi}{\lambda} \right),$$

and

$$F_{p,-1} \approx -\left(\frac{1}{-j}\right) e^{-j\theta} KJ_1 \left(\frac{2\pi R \sin \varphi}{\lambda} \right) = -je^{-j\theta} KJ_1 \left(\frac{2\pi R \sin \varphi}{\lambda} \right).$$

[0060] With the phase-mode substitutions, the RF outputs of the variable-ratio combiner in Figures 11 and 13, with $M_0 = -jP_0/\sqrt{2} = -jKJ_0/\sqrt{2}$,

$$A_{01} = -j(P_1 e^{j\theta_s} - P_{-1} e^{-j\theta_s})/\sqrt{2} = -(K/\sqrt{2})(J_1 e^{j(\theta_s + \theta_p)} - J_1 e^{-j(\theta_s + \theta_p)}),$$

(with K containing the time-dependance $m(t)e^{j\omega t}$), become

$$M = m(t)e^{j\omega t} \left[J_0 \left(\frac{2\pi R \sin \varphi_p}{\lambda} \right) \cos \varphi_s - 2J_1 \left(\frac{2\pi R \sin \varphi_p}{\lambda} \right) \sin(\theta_p + \theta_s) \sin \varphi_s \right] \quad (15)$$

and

$$A_1 = -m(t)e^{j\omega t} \left[J_0 \left(\frac{2\pi R \sin \varphi_p}{\lambda} \right) \sin \varphi_s + 2J_1 \left(\frac{2\pi R \sin \varphi_p}{\lambda} \right) \sin(\theta_p + \theta_s) \cos \varphi_s \right], \quad (16)$$

with the 90-degree phaseshifts corresponding to “j” being assumed to have been added externally in Figure 7. Another output of a separate phase-mode combiner that will be useful is

$$A_2 = -j\sqrt{2}m(t)e^{j\omega t} J_1 \left(\frac{2\pi R \sin \varphi_p}{\lambda} \right) \cos(\theta_p + \theta_s). \quad (17)$$

[0061] Now, in order to formulate an adaptive algorithm which converges over time to the solution $\theta_s = -\theta_p$ and $\varphi_s = -p\varphi_p$ by minimizing a penalty function, a penalty

function, Π , is defined whose gradient is linear in θ_s, φ_s , according to a first-order linear

differential equation such as

$$\frac{dA}{dt} = -\mu \nabla_A \Pi, \quad (18)$$

where the entries of vector A are the adapted variables, namely the phase shifter settings θ_s, φ_s , and p is a constant relating the electrical phase of the variable-ratio combiner to the

radial tilt angle of the beam, and the electrical phase θ_s is the same as the circumferential

physical angle of the beam, and μ is the time-constant of adaptation. Beam-steering system 700 to be adaptively controlled is as depicted in Figure 7.

[0062] Generally, it is not necessary to actually find Π explicitly; It may be possible to directly look for some function of the outputs M , A_1 , A_2 , which are linear in the phase-shift parameters, at least for the small angles over which the beam-steering system 700 operates. To that end, it helps to simplify the arguments of the Bessel functions. Starting with the common practice of half-wavelength element spacing, the circumference for N elements is $2\pi R = N\lambda/2$, so the Bessel functions' arguments become $2\pi R \sin \varphi_p / \lambda = N \sin \varphi_p / 2$,

which then approximates to $N \varphi_p / 2$. Furthermore, it is assumed that these arguments are

10 sufficiently small to allow truncating the following relations to one term each,

$$\cos x = J_0(x) - 2J_2(x) + 2J_4(x) - 2J_6(x) \dots \quad (19)$$

$$\sin x = 2J_1(x) - 2J_3(x) + 2J_5(x) \dots \quad (20)$$

[0063] It then happens that the resultant approximations can be improved by scaling the independent variable by $q=3/4$, because for the present purposes it is needed that
15 $0 < \varphi_p < \pi/8$, so their behavior needs to follow that of the original Bessel functions only for

$x = 0$ to about $x = 3$. The approximations can work up to even $x = 6$, as seen from the comparative graphs shown in Figure 10, which illustrates plots of example Bessel functions J_0 , J_1 and their approximations with $q = 3/4$.

[0064] Substituting

$$20 \quad J_0(x) \cong \cos qx \quad (21)$$

$$J_1(x) \cong \frac{x}{2} \sin qx \quad (22)$$

and applying some common trigonometric identities, the output signals of beam-steering system 700 are expressible as

$$M \cong m(t)e^{j\omega t} \left[\cos\left(\frac{qN\varphi_p}{2}\right) \cos \varphi_s - \sin\left(\frac{qN\varphi_p}{2}\right) \sin(\theta_p + \theta_s) \sin \varphi_s \right] \quad (23)$$

$$25 \quad A_1 \cong -m(t)e^{j\omega t} \left[\cos\left(\frac{qN\varphi_p}{2}\right) \sin \varphi_s + \sin\left(\frac{qN\varphi_p}{2}\right) \sin(\theta_p + \theta_s) \cos \varphi_s \right] \quad (24)$$

and

$$A_2 \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \left[\sin\left(\frac{qN\varphi_p}{2}\right) \cos(\theta_p + \theta_s) \right]. \quad (25)$$

[0065] Next, form a time-averaged product of the first two signals, i.e. a zero-lag cross-correlation $\underline{MA}_1^*$ expressible as

$$\begin{aligned} \underline{MA}_1^* = & -\frac{|m(t)|^2}{2} \left[\sin(2\varphi_s) \left(\cos^2\left(\frac{qN\varphi_p}{2}\right) - \sin^2\left(\frac{qN\varphi_p}{2}\right) \sin(\theta_p + \theta_s) \right) \right. \\ & \left. + \cos(2\varphi_s) \sin(qN\varphi_p) \right] \sin(\theta_p + \theta_s) \end{aligned} \quad (26)$$

It is noted that this appears useful for adapting θ_s because the common factor $\sin(\theta_p + \theta_s)$ is linear for small angles θ_s and θ_p . However, another such factor appears in one of the

10 terms, so it would be nicer if $\varphi_s = 0$. Fortunately, that is not necessary because that condition effectively exists at the inputs to the variable ratio combiner (including outside of the external 90-degree phase-shifters), which are denoted by M_0 and A_{01} , therefore

$$\underline{M_0 A_{01}^*} = \frac{-|m(t)|^2}{2} \sin(qN\varphi_p) \sin(\theta_p + \theta_s). \quad (27)$$

[0066] When this is used in one component of the adaptive, gradient-descent algorithm, it is clear that it will converge (i.e., $\frac{d\theta_s}{dt} = 0$) to $\theta_p + \theta_s = 0$ as long as $|\theta_p + \theta_s| < \pi/2$ and

$\varphi_p \neq 0$ (and $0 < qN\varphi_p < \pi/2$). If $|\theta_p + \theta_s| > \pi/2$, the gradient-descent algorithm would

diverge away from the unstable equilibrium point of $|\theta_p + \theta_s| = \pi$ until it reached the

stable-convergence region where the feedback is negative. It is noted also that this adaptation is independent of φ_s and also is effectively converged if it happens that $\varphi_p = 0$. Therefore

20 the first part of the algorithm would be expressible as

$$\frac{d\theta_s}{dt} = -\mu \nabla_{\theta_s} \Pi = \underline{\mu M_0 A_{01}^*} = -\mu \frac{|m(t)|^2}{2} \sin(qN\varphi_p) \sin(\theta_p + \theta_s). \quad (28)$$

[0067] To adapt φ_s something similar may be needed, involving A_2 so as to avoid the

factor $\sin(\theta_p + \theta_s)$, and is hopefully independent of $(\theta_p + \theta_s)$. That turns out to be an

additional variable-ratio combiner, for A_2 and M_0 , whose phase-shifters are slaved to those of the original one in the main signal path of beam-steering system 700. Figure 11 illustrates an example beam-steering system 1100 which includes beam-steering system 700 with the additional analog control circuitry, where the “external” 90-degree phase-shifts are shown explicitly as factors $\pm j$. A main variable-ratio combiner 1105 is shown in Figure 11 and is similar to one of beam-steering system 700 with external 90-degree phase shifts shown explicitly as shown in Figure 14.

[0068] An additional variable-ratio combiner 1110, along with its external 90-degree phase-shifts, produces the outputs labeled M_2 , A_{2s} from inputs M_0 , A_2 available in beam-steering system 700, which are expressible as

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} = \begin{bmatrix} \cos \varphi_s & -\sin \varphi_s \\ \sin \varphi_s & \cos \varphi_s \end{bmatrix} \begin{bmatrix} M_0 \\ A_2 \end{bmatrix}. \quad (29)$$

Substituting

$$A_2 = -j\sqrt{2}m(t)e^{j\omega t} J_1\left(\frac{qN\sin\varphi_p}{2}\right) \cos(\theta_p + \theta_s) \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \left[\sin\left(\frac{qN\sin\varphi_p}{2}\right) \cos(\theta_p + \theta_s) \right]$$

and $M_0 \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \left[\cos\left(\frac{qN\sin\varphi_p}{2}\right) \right]$, the two outputs, which will be correlated to form the

gradient estimate are expressible as

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos \varphi_s \cos\left(\frac{qN\sin\varphi_p}{2}\right) - \sin \varphi_s \sin\left(\frac{qN\sin\varphi_p}{2}\right) \cos(\theta_p + \theta_s) \\ \sin \varphi_s \cos\left(\frac{qN\sin\varphi_p}{2}\right) + \cos \varphi_s \sin\left(\frac{qN\sin\varphi_p}{2}\right) \cos(\theta_p + \theta_s) \end{bmatrix} \quad (30)$$

[0069] Because these signals are formed after the azimuth steering stage which adjusts θ_s , it is not independent of that stage. However, if the azimuth stage is nearly converged, as in the tracking mode so $|\theta_p + \theta_s| \ll 1$, then the above signals may simplify to

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \\ \sin\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \end{bmatrix}. \quad (31)$$

Now the zero-lag cross-correlation $\underline{M_2 A_{2s}}^*$ may be expressible as

$$\underline{M_2 A_{2s}}^* \cong \frac{-|m(t)|^2}{2} \sin\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \cos\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \quad (32)$$

or with $|\varphi_p| \ll 1$,

$$5 \quad \underline{M_2 A_{2s}}^* \cong \frac{-|m(t)|^2}{4} \sin(2\varphi_s + qN\varphi_p). \quad (33)$$

[0070] Since it is assumed that the azimuth stage was nearly converged, the time-constant for this stage in the gradient-descent algorithm should be much smaller, i.e., $\varepsilon \ll \mu$, for this to hold. Since the above correlation is also negative, the time-constant should have no negative sign in the algorithm, which for this elevation stage then becomes

$$10 \quad \frac{d\varphi_s}{dt} = \varepsilon \nabla_{\varphi_s} \Pi = \varepsilon \underline{M_2 A_{2s}}^* = -\varepsilon \frac{|m(t)|^2}{4} \sin(2\varphi_s + qN\varphi_p). \quad (34)$$

[0071] This will converge (i.e., $\frac{d\varphi_s}{dt} = 0$) to $qN\varphi_p + 2\varphi_s = 0$ as long as

$|qN\varphi_p + 2\varphi_s| < \pi/2$. It is noted that φ_s does not have to range over 2π radians as the

azimuth has to do, because the steering works over only a relatively narrow range of elevation angles, so the convergence condition is more easily met. According to an example

15 embodiment, all elevation angles are positive and less than $\pi/2$ radians. As discussed herein, they are $< \pi/8$ radians, and generally must be $\ll 1$ radian so the small-angle approximation can be used. If the same circular ring array is used for all phase-modes and the Bessel-function approximation is to be met up to $x = \pi$, then for N elements the elevation

angle range would generally have to satisfy $qN\varphi_p/2 < \pi$ or equivalently

$$20 \quad \varphi_p < \frac{2\pi}{qN} \quad (35)$$

which decreases with the size of the circular ring array. Convergence trajectories of the algorithm from all starting conditions to a given target state are shown in Figures 12a and 12b

with $q=1$. Since the electrical phase θ_s corresponds to the physical circumferential angle θ_p ,

the polar form of the gradient plot is a scaled version of the physical progression of the pointing direction of the beam's peak, viewed on the array axis. The arrows represent possible starting points of the algorithm for a given desired beam pointing direction. It may be seen that the phases converge to one spot from any starting point (dark circles on the dotted example trajectories in Figure 12b), by following the arrows until one reaches a point where they disappear (zero length and no adjacent arrows pointing away from it, at the terminating arrows of the dotted example trajectories in Figure 12b).

[0072] Figure 13 illustrates a second example phase-mode enabled 2D beam-steering system 1300. Only two phase-mode outputs are required in this case. They are given by equations (4) and (5) and form the two inputs to a main variable-ratio combiner 1305 as $M_0 = -jP_0/\sqrt{2}$, $A_{01} = -j(P_1 e^{j\theta_s})/\sqrt{2}$. The outputs of main variable-ratio combiner 1305

(which is shown in detail in Figure 14) are obtained similarly as equations (15) and (16), but more compactly, as

$$\begin{bmatrix} M \\ A_1 \end{bmatrix} = \begin{bmatrix} \cos \varphi_s & -\sin \varphi_s \\ \sin \varphi_s & \cos \varphi_s \end{bmatrix} \begin{bmatrix} M_0 \\ A_{01} \end{bmatrix}. \quad (36)$$

After the substitutions for the vector in the right hand side of Equation (36) and matrix multiplication, the outputs are expressible as

$$\begin{bmatrix} M \\ A_1 \end{bmatrix} = \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos \varphi_s J_0\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) - \sin \varphi_s J_1\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) (-je^{j(\theta_p + \theta_s)}) \\ \sin \varphi_s J_0\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) + \cos \varphi_s J_1\left(\frac{2\pi R \sin \varphi_p}{\lambda}\right) (-je^{j(\theta_p + \theta_s)}) \end{bmatrix}, \quad (37)$$

which corresponds to equations (15) and (16) for the second embodiment. With the same approximations of the Bessel functions and half-wavelength element spacing around the circular ring array circumference, the outputs corresponding to equations (23) and (24) are expressible as

$$\begin{bmatrix} M \\ A_1 \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos \varphi_s \cos\left(\frac{qN \sin \varphi_p}{2}\right) - \sin \varphi_s \sin\left(\frac{qN \sin \varphi_p}{2}\right) (-je^{j(\theta_p + \theta_s)})/2 \\ \sin \varphi_s \cos\left(\frac{qN \sin \varphi_p}{2}\right) + \cos \varphi_s \sin\left(\frac{qN \sin \varphi_p}{2}\right) (-je^{j(\theta_p + \theta_s)})/2 \end{bmatrix}. \quad (38)$$

[0073] Anticipating the same development as that leading to equations (26)-(28) for the second embodiment, it is possible to set $\varphi_s = 0$ to effectively obtain the phase-mode signals at the inputs to main variable-ratio combiner 1305, and to the added circuitry representing the

part of the algorithm controlling θ_s . Before these inputs are multiplied together, they are

$$\begin{bmatrix} M \\ A_1 \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{qN\sin\varphi_p}{2}\right) \\ \sin\left(\frac{qN\sin\varphi_p}{2}\right) (-je^{j(\theta_p+\theta_s)})/2 \end{bmatrix}. \quad (39)$$

In this example embodiment of beam steering system 1300, this part of the algorithm differs a bit from that in the second example embodiment in that only the real part of the product of the quantities in the right hand side of equation (39) above is used (there is only the real part present in the second embodiment so this becomes a redundant step in the second embodiment):

$$\underline{Re\{M_0 A_{01}^*\}} \cong \frac{|m(t)|^2}{2} \sin(qN\sin\varphi_p) \sin(\theta_p + \theta_s). \quad (40)$$

Clearly, the circumferential steering algorithm is the same as in the first embodiment, except for having to explicitly take the real part in the left hand side of equation (40) in the first embodiment. In either embodiment, the input signal to the integrator with coefficient μ is real, so the common algorithm for both embodiments may be expressed as

$$\frac{d\theta_s}{dt} = -\mu \nabla_{\theta_s} \Pi = \underline{-\mu Re\{M_0 A_{01}^*\}} \cong -\mu \frac{|m(t)|^2}{2} \sin(qN\sin\varphi_p) \sin(\theta_p + \theta_s). \quad (41)$$

[0074] Similar reasoning is applied to derive the corresponding part of the algorithm for adapting the radial steering phase φ_s . In Figure 13, the second auxiliary output is given by

$A_2 = 2(P_1 e^{j\theta_s})/\sqrt{2}$, so additional variable-ratio combiner 1310 produces the outputs

according to equation (29), which is expressible as

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} = \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos\varphi_s J_0\left(\frac{2\pi R\sin\varphi_p}{\lambda}\right) - 2\sin\varphi_s J_1\left(\frac{2\pi R\sin\varphi_p}{\lambda}\right) e^{j(\theta_p+\theta_s)} \\ \sin\varphi_s J_0\left(\frac{2\pi R\sin\varphi_p}{\lambda}\right) + 2\cos\varphi_s J_1\left(\frac{2\pi R\sin\varphi_p}{\lambda}\right) e^{j(\theta_p+\theta_s)} \end{bmatrix}, \quad (42)$$

which may be approximated as to

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos\varphi_s \cos\left(\frac{qN\sin\varphi_p}{2}\right) - 2\sin\varphi_s \sin\left(\frac{qN\sin\varphi_p}{2}\right) e^{j(\theta_p+\theta_s)/2} \\ \sin\varphi_s \cos\left(\frac{qN\sin\varphi_p}{2}\right) + 2\cos\varphi_s \sin\left(\frac{qN\sin\varphi_p}{2}\right) e^{j(\theta_p+\theta_s)/2} \end{bmatrix}. \quad (43)$$

From here the same signal-processing operations are applied as in the corresponding part of

the algorithm for second embodiment, since the condition $|\theta_p + \theta_s| \ll 1$ has the same effect,

namely $e^{j(\theta_p + \theta_s)} \cong 1$, so

$$\begin{bmatrix} M_2 \\ A_{2s} \end{bmatrix} \cong \frac{-jm(t)e^{j\omega t}}{\sqrt{2}} \begin{bmatrix} \cos\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \\ \sin\left(\varphi_s + \frac{qN\sin\varphi_p}{2}\right) \end{bmatrix}, \quad (44)$$

which is identical to equation (31) in the second embodiment. Therefore the algorithm for
5 adaptation of the radial steering phase in both embodiments is the same, namely

$$\frac{d\varphi_s}{dt} = \varepsilon \nabla_{\varphi_s} \Pi = \varepsilon \underline{M_2} \underline{A_{2s}^*} \cong -\varepsilon \frac{|m(t)|^2}{4} \sin(2\varphi_s + qN\varphi_p), \quad (45)$$

with $|\varphi_p| \ll 1$ and the same conditions as previously. (While it is possible that

$|\theta_p + \theta_s| \ll 1$ is not satisfied in the initial intervals of adaptation, the correlation multiplier

may output a non-zero imaginary part, as it is generally a complex multiplier, so that could be
10 used to trim line-lengths in the implementation until the imaginary part in that case is zero. It
is forced to zero by taking only the real part to drive the integrator in the algorithm
implementation, as shown explicitly in Figure 13).

[0075] In order to facilitate discussion of beam-steering systems 1100 and 1300, some
simplifying groupings of circuits are made. Figure 14 illustrates an example variable-ratio
15 combiner (VRC) 1400. Variable-ratio combiner 1400 includes two hybrid splitters/combiners
1405, and 1407 and two oppositely adjusted variable phaseshifters 1410, and 1412 and a fixed
90-degree phaseshifter 1408, and a fixed -90-degree phaseshifter 1409. Each hybrid
splitter/combiner 1405, and 1407 has two inputs, A and B, and two outputs, D and C. In an
example embodiment of its use, the input A for the hybrid splitter/combiner 1405 is the M_0
20 signal and the input B for the hybrid splitter/combiner 1405 is the A_2 signal with its phase
subsequently shifted 90 degrees by phaseshifter 1408. The output D of hybrid
splitter/combiner 1405 is the input for phase shifter 1410 and the output C of hybrid
splitter/combiner 1405 is the input for phase shifter 1412. The output from phase shifter
1410 is the input B for the hybrid splitter/combiner 1407 and the output from phase shifter
25 1412 is the input A for the hybrid splitter/combiner 1407. The output D from the hybrid
splitter/combiner 1407 is phase shifted -90 degrees by phaseshifter 1409 and is the A_{2s} signal.
The output C from the hybrid splitter/combiner 1407 is the M_2 signal. It is noted that

variable-ratio combiner 1400 is discussed with signaling for an additional variable-ratio combiner, such as additional variable-ratio combiners 1110 and 1310. Variable-ratio combiner 1400 may also be used as main variable-ratio combiners 1105 and 1305. Symbol 1420 is a symbolic representation of variable-ratio combiner 1400. Symbol 1420 has input signals W_1 and W_2 and outputs Z_1 and Z_2 .

[0076] Figure 15 illustrates an example zero-lag correlator (ZLC) 1500. Zero-lag correlator 1500 includes a mixer 1505 and an integrator 1510. Mixer 1505 mixes a conjugate of input A_{2s} with input M_2 and integrator 1510 integrates the output of mixer 1505 to produce a control signal for variable-ratio combiners. Symbol 1515 is a symbolic representation of zero-lag correlator 1500. Symbol 1515 has input signals M and A and output P and is used in several instances in the example embodiments, to produce the radial and circumferential control signals. It should be noted that this represents the mathematical functionality of ZLC 1500. Actual implementations of ZLC 1500 may include directional couplers, filters and amplifiers at the inputs, and assist circuitry around the multiplier and integrator, including analog to digital and digital to analog converters, discrete-time control and/or digital signals, as the algorithm can also be formulated in discrete-time.

[0077] Figure 16 illustrates an example variable-phase combiner (VPC) 1600. A first input for variable-phase combiner 1600 is the P_1 phase-mode from the circular ring array that is subsequently phaseshifted by variable phaseshifter 1605. A second input for variable-phase combiner 1600 is the P_{-1} phase-mode from the circular ring array that is subsequently and oppositely phaseshifted by variable phaseshifter 1607. Output of phaseshifter 1605 is the A input of hybrid splitter/combiner 1610 and output of phaseshifter 1607 is the B input of hybrid splitter/combiner 1610. The C output of hybrid splitter/combiner 1610 is the A_2 signal and the D output of hybrid splitter/combiner 1610 is phaseshifted -90 degrees by fixed phaseshifter 1612 and is the A_{01} signal. Symbol 1620 is the symbolic representation of variable-phase combiner 1600 and has inputs X_1 and X_2 and outputs Y_1 and Y_2 .

[0078] Figure 17 illustrates a first example simplified beam-steering system 1700. Beam-steering system 1700 is a simplified illustration of beam-steering system 1300 utilizing the circuit symbols discussed in Figures 14-16. Beam-steering system 1700 includes a main variable-ratio combiner 1705 and an additional variable-ratio combiner 1707. Beam-steering system 1700 also includes a variable-phase combiner 1710 coupled to circular ring array with sparse phase-mode feed network 1702. Zero-lag correlator 1715 generates control signals for main variable-ratio combiner 1705 and additional variable-ratio combiner 1707 (with a -1 scaling by scaler 1720 thereby inverting the control signal provided to additional

variable-ratio combiner 1707). Zero-lag correlator 1717 generates a control signal for variable-phase combiner 1710. Zero-lag correlators 1715 and 1717 may hold fixed the control signals once they converge. Scaler 1725 scales the Y1 output of variable-phase combiner 1710 by 2. Splitter 1730 splits and scales the P_0 phase-mode from circular ring array 1702.

5 **[0079]** Phase-mode signal P_1 is input to variable-phase combiner 1710, producing signals A_{01} and A_2 . Scaler 1725 may multiply the output of variable-phase combiner 1710 by 2 to produce signal A_2 . Phase-mode signal P_0 is shifted by -90 degrees and split into two M_0 signals by splitter 1730. One of the M_0 signals is provided to main variable-ratio combiner 1705 and zero-lag correlator 1717, while A_{01} signal is provided to main variable-ratio
10 combiner 1705 and zero-lag correlator 1715. Zero-lag correlator 1717 forms an output signal at P in accordance with Equations (28) and (41) whose time-derivative is proportional by μ to the circumferential steering angle θ_s and is fed to the P input of variable-phase combiner 1710.

[0080] The other of the M_0 signals and signal A_2 are provided to additional variable-ratio
15 combiner 1707. Additional variable-ratio combiner 1707 is to produce from its input signals, output signals M_2 , and A_{2s} and provide them to inputs of zero-lag correlator 1715. Zero-lag correlator 1715 produces a control signal for main variable-ratio combiner 1705 and an inverted version (multiplied by scaler 1720) is provided to additional variable-ratio combiner 1707. Its time-derivative is proportional by ε to the radial steering angle ϕ_r of the beam, in
20 accordance with Equations (34), and (45), where ε is preferably a much smaller scalar than μ , in order to assure the proper sequence of convergence of the steering signals.

[0081] Main variable-ratio combiner 1705 forms output signals M and A_1 . The signal M represents the output of the steered beam which has its peak on the desired signal at P in the far field. Being bidirectional, beam steering system 1700 will also accept an input signal at M
25 and transmit it to point P with the same beam.

[0082] Figure 18 illustrates a second example simplified beam-steering system 1800. Beam-steering system 1800 is a simplified illustration of beam-steering system 1100 utilizing the circuit symbols discussed in Figures 14-16. Beam-steering system 1800 includes a main variable-ratio combiner 1805 and an additional variable-ratio combiner 1807. Beam-steering
30 system 1800 also includes a variable-phase combiner 1810 coupled to circular ring array with sparse phase-mode feed network 1802. Zero-lag correlator 1815 generates control signals for main variable-ratio combiner 1805 and additional variable-ratio combiner 1807 (with a -1 scaling by scaler 1820 thereby inverting the control signal provided to additional

variable-ratio combiner 1807). Zero-lag correlator 1817 generates a control signal for variable-phase combiner 1810. Zero-lag correlators 1815 and 1817 may hold fixed the control signals once they converge. Splitter 1830 splits and scales the P_0 phase-mode from circular ring array 1802.

- 5 **[0083]** Phase-mode signals P_1 and P_{-1} are input to variable-phase combiner 1810, producing signals A_{01} and A_2 . Phase-mode signal P_0 is shifted by -90 degrees and split into two M_0 signals by splitter 1830. One of the M_0 signals is provided to main variable-ratio combiner 1805 and zero-lag correlator 1817, while A_{01} signal is provided to main variable-ratio combiner 1805 and zero-lag correlator 1817. Zero-lag correlator 1817 forms an
10 output signal at P in accordance with Equations (28) and (41) whose time-derivative is proportional by μ to the circumferential steering angle θ_s and is fed to the P input of variable-phase combiner 1810.

- [0084]** The other of the M_0 signals and signal A_2 are provided to additional variable-ratio combiner 1807. Additional variable-ratio combiner 1807 is to produce from its input signals,
15 output signals M_2 , and A_{2s} and provide them to inputs of zero-lag correlator 1815. Zero-lag correlator 1815 produces a control signal for main variable-ratio combiner 1805 and an inverted version (multiplied by scaler 1820) is provided to additional variable-ratio combiner 1807. Its time-derivative is proportional by ε to the radial steering angle of the beam, in accordance with Equations (34), and (45), where ε is preferably a much smaller scalar than μ ,
20 in order to assure the proper sequence of convergence of the steering signals.

[0085] Main variable-ratio combiner 1805 forms output signals M and A_1 . The signal M represents the output of the steered beam which has its peak on the desired signal at P in the far field. Being bidirectional, beam steering system 1800 will also accept an input signal at M and transmit it to point P with the same beam.

- 25 **[0086]** Figure 19 illustrates a flow diagram of example high-level operations 1900 occurring in a beam-steering system as it automatically tracks a signal of interest. Operations 1900 may be indicative of operations occurring in a beam-steering system, such as beam-steering system 1700 and beam-steering system 1800, as the beam-steering system tracks a signal of interest.

- 30 **[0087]** Operations 1900 may begin with the beam-steering system receiving a signal at its circular ring antenna array (block 1905). The beam-steering system may generate phase-mode signals using its sparse phase-mode feed network (block 1910). The beam-steering system may generate a first steering signal from the phase-mode signals and intermediate signals

(block 1915). The beam-steering system may generate a second steering signal from the phase-mode signals and intermediate signals (block 1920). The beam-steering system may generate output signals used by the beam-steering system to track the received signal (block 1925). The beam-steering system may send the output signals to a main receiver, as well as an auxiliary receiver (block 1930).

[0088] Figure 20a illustrates a flow diagram of first set of example operations 2000 occurring in a beam-steering system as it generates a first steering signal as it automatically tracks a signal of interest. Operations 2000 may be indicative of operations occurring in a beam-steering system, such as a beam-steering system 1800, as the beam-steering system tracks a signal of interest. The steps illustrated in Figure 20 should be understood to represent an example embodiment of how step 1915 in Figure 19 could be implemented in an embodiment of a beam-steering system. Accordingly, the method starts at the conclusion of step 1910 in Figure 19.

[0089] Operations 2000 may begin with the beam-steering system generating intermediate signals A_2 and A_{01} from phase-mode signals P_1 and P_{-1} using a VPC (block 2005). The beam-steering system may adjust phase-shifters of the VPC using the first steering signal (block 2007). The beam-steering system may update the intermediate signals A_2 and A_{01} using the VPC (block 2009). The beam-steering system may also generate intermediate signal M_0 from phase-mode signal P_0 (block 2011). The beam-steering system may provide the intermediate signals M_0 and A_{01} to a first VRC and intermediate signals M_0 and A_2 to a second VRC (block 2013). Following completion of block 2013, an example process can return to block 1920 in Figure 19. As will be discussed below, Figure 21 provides an example embodiment of a method of carrying out block 1920 in Figure 19, and in some embodiments, following block 2013, the method can continue to step 2105 in Figure 21.

[0090] The beam-steering system may update the first steering signal. The updating of the first steering signal may include correlating the intermediate signal M_0 and a conjugate of the intermediate signal A_{01} (block 2015) and performing a check to determine if a correlation product is equal to zero (block 2017). The updating of the first steering signal may also include if the correlation product is not equal to zero (or substantially not equal to zero), updating the first steering signal, e.g., by incrementing the first steering signal by $-\mu * \text{the correlation product}$ (block 2019) and returning to block 2007 to continue the generating of the first steering signal. The updating of the first steering signal may further include if the correlation product is equal to zero (or substantially equal to zero), determining that the first

steering signal has converged and the updating of the first steering signal may end with the beam-steering system holding (or hold fixed) the first steering signal (block 2021). The beam-steering system may hold the first steering signal fixed at its converged value. The beam-steering system may hold the first steering signal fixed until the beam-steering system is reset, powered down, or a new signal of interest is to be tracked. Following completion of block 2021, an example process can continue to block 1920 in Figure 19, the example embodiment of which is shown in Figure 21.

[0091] Figure 20b illustrates a flow diagram of second example operations 2050 occurring in a beam-steering system as it generates a first steering signal as it automatically tracks a signal of interest. Operations 2050 may be indicative of operations occurring in a beam-steering system, such as a beam-steering system 1700, as the beam-steering system tracks a signal of interest. Operations 2050 is an example embodiment of the beam-steering system generating the first steering signal, block 1915 of Figure 19.

[0092] Operations 2000 may begin with the beam-steering system generating intermediate signals A_2 and A_{01} from either phase-mode signals P_1 or P_{-1} at a first input of a VPC (with a second input of the VPC terminated) (block 2055). The beam-steering system may adjust phase-shifters of the VPC using the first steering signal (block 2057). The beam-steering system may update the intermediate signals A_2 and A_{01} using the VPC (block 2059). The beam-steering system may scale the intermediate signal A_2 (block 2061). The beam-steering system may also generate intermediate signal M_0 from phase-mode signal P_0 (block 2063). The beam-steering system may provide the intermediate signals M_0 and A_{01} to a first VRC and intermediate signals M_0 and A_2 (scaled) to a second VRC (block 2065). As discussed with respect to Figure 20a, completion of the method of Figure 20b, the process, which is an embodiment of step 1915 can return to step 1920 of Figure 19, and in some embodiments will serve as the starting point for the method of Figure 21 in block 2105.

[0093] The beam-steering system may update the first steering signal. The updating of the first steering signal may include correlating the intermediate signal M_0 and a conjugate of the intermediate signal A_{01} (block 2067) and performing a check to determine if a correlation product is equal to zero (block 2069). The updating of the first steering signal may also include if the correlation product is not equal to zero (or substantially equal to zero), updating the first steering signal, e.g., by incrementing the first steering signal by $-\mu \cdot$ the correlation product (block 2071) and returning to block 2057 to continue the generating of the first steering signal. The updating of the first steering signal may further include if the correlation

product is equal to zero (or substantially equal to zero), determining that the first steering signal has converged and the updating of the first steering signal may end with the beam-steering system holding (or hold fixed) the first steering signal (block 2073). The beam-steering system may hold the first steering signal fixed at its converged value. The beam-steering system may hold the first steering signal fixed until the beam-steering system is reset, powered down, or a new signal of interest is to be tracked. Following completion of block 2073, an example process can continue to block 1920 in Figure 19, the example embodiment of which is shown in Figure 21.

[0094] It is noted that the termination of operations 2050 may mean that the beam-steering system has completed the generation of the first steering signal, e.g., the beam-steering system has completed block 1915 of Figure 19, and the beam-steering system may continue with the generation of the second steering signal, e.g., block 1920 of Figure 19.

[0095] Figure 21 illustrates a flow diagram of example operations 2100 occurring in a beam-steering system as it generates a second steering signal as it automatically tracks a signal of interest. Operations 2100 may be indicative of operations occurring in a beam-steering system, such as beam-steering system 1700 or beam-steering system 1800, as the beam-steering system tracks a signal of interest. Operations 2100 is an example embodiment of the beam-steering system generating the second beam steering signal, block 1920 of Figure 19.

[0096] Operations 2100 may begin with the beam-steering system adjusting phase-shifters of the first VRC using the second steering signal (block 2105). Operations 2100 may terminate.

[0097] The beam-steering system may adjust phase-shifters of the second VRC using a negative of the second steering signal (block 2107). The beam-steering system may generate intermediate signals M_2 and A_{2s} (block 2109). The beam-steering system may correlate the intermediate signal M_2 with a conjugate of the intermediate signal A_{2s} (block 2111). The beam-steering system may perform a check to determine if a correlation product is equal to zero (block 2113). If the correlation product is not equal to zero (or substantially equal to zero), the beam-steering system may update the second steering signal (block 2115). As an illustrative example, the beam-steering system may increment the second steering signal by $-\epsilon * \text{the correlation product}$. The beam-steering system may also ensure that $\epsilon \ll \mu$. The beam-steering system may return to block 2105 and block 2107. If the correlation product is equal to zero (or substantially equal to zero), the beam-steering system may determine that the

second steering signal has converged and the updating of the second steering signal may end with the beam-steering system holding (or hold fixed) the second steering signal (block 2117). The beam-steering system may hold the second steering signal fixed at its converged value. The beam-steering system may hold the second steering signal fixed until the beam-steering system is reset, powered down, or a new signal of interest is to be tracked. Operations 2100 may terminate.

[0098] It is noted that the termination of operations 2100 may mean that the beam-steering system has completed the generation of the second steering signal, e.g., the beam-steering system has completed block 1920 of Figure 19, and the beam-steering system may continue with the generation of the output signals, e.g., block 1925 of Figure 19.

[0099] Although the present disclosure and its advantages have been described in detail, it should be understood that various changes, substitutions and alterations can be made herein without departing from the spirit and scope of the disclosure as defined by the appended claims.

WHAT IS CLAIMED IS:

1. A method for operating a beam-steering system, the method comprising:
receiving, by the beam-steering system, a signal to be tracked;
generating, by the beam-steering system, a first phase-mode signal, a second
5 phase-mode signal and a third phase-mode signal from the received signal;
generating, by the beam-steering system, a first intermediate auxiliary signal, a second
intermediate auxiliary signal, and a first intermediate main signal in accordance with the
phase-mode signals;
deriving, by the beam-steering system, a first steering signal proportional to a
10 circumferential steering angle of the received signal from the first intermediate main signal
and the first auxiliary signal; and
deriving, by the beam-steering system, a second steering signal proportional to a radial
steering angle of the received signal from the second intermediate auxiliary signal and the
first intermediate main signal.
- 15 2. The method of claim 1, wherein deriving the first steering signal comprises:
correlating a conjugate of the first intermediate auxiliary signal and the first
intermediate main signal to produce the first steering signal.
3. The method of claim 1, wherein deriving the first steering signal comprises:
correlating the first intermediate auxiliary signal and the first intermediate main signal
20 to produce a first correlation product;
in response to determining that the first correlation product is not equal to zero,
updating the first steering signal using the first correlation product, and
repeating the deriving the first steering signal; and
in response to determining that the first correlation product is equal to zero, saving the
25 first steering signal.

4. The method of claim 1, wherein deriving the second steering signal comprises:

combining the second intermediate auxiliary signal and the first intermediate main signal to produce a third intermediate auxiliary signal and a second intermediate main signal; and

5 correlating the third intermediate auxiliary signal and the second intermediate main signal to produce the second steering signal.

5. The method of claim 1, wherein deriving the second steering signal comprises:

combining the second intermediate auxiliary signal and the first intermediate main signal to produce a third intermediate auxiliary signal and a second intermediate main signal;

10 correlating the second intermediate main signal and a conjugate of the third intermediate auxiliary signal to produce a second correlation product;

in response to determining that the second correlation product is not equal to zero,

updating the second steering signal using the second correlation product, and

repeating the deriving of the second steering signal; and

15 in response to determining that the second correlation product is equal to zero, saving the second steering signal.

6. The method of claim 1, wherein generating the first intermediate auxiliary signal, the second intermediate auxiliary signal, and the first intermediate main signal comprises:

oppositely phaseshifting and combining a second phase-mode signal and a third

20 phase-mode signal to produce the first intermediate auxiliary signal and the second intermediate auxiliary signal; and

scaling by a complex factor a first phase-mode signal to produce the first intermediate main signal.

7. The method of claim 6, wherein one of the second phase-mode signal and the third phase-mode signal is disconnected and associated ports are terminated, and wherein the second intermediate auxiliary signal is scaled by a factor of 2.

8. The method of claim 1, wherein deriving the first steering signal comprises:

$$5 \quad \text{evaluating } \frac{d\theta_s}{dt} = \frac{-\mu \operatorname{Re}\{M_0 A_{01}^*\}}{2} \cong -\mu \frac{|m(t)|^2}{2} \sin(qN\varphi_p) \sin(\theta_p + \theta_s),$$

where θ_s is an electrical phaseshift proportional to the first steering control signal, φ_p is a radial bearing of a source of the received signal, θ_p is a circumferential bearing of the source of the received signal, M_0 is the first intermediate main signal, A_{01} is the first intermediate auxiliary signal, N is a number of elements in an array of antenna elements, $m(t)$ is a modulation of the signal, μ is a feedback gain or step-size for the first steering control signal, q is a scaling factor in an approximation of a dependence on radial bearing coordinate, and $\operatorname{Re}\{.\}$ is a function returning a real portion of an argument.

9. The method of claim 8, further comprising repeating the evaluating until the first steering signal converges so that the steering angle $-\theta_s$ converges to an azimuthal bearing of the

$$15 \quad \text{source of the received signal, } \theta_p, \text{ whereupon } \frac{d\theta_s}{dt} = 0.$$

10. The method of claim 1, wherein deriving the second steering signal comprises:

$$\text{evaluating } \frac{d\varphi_s}{dt} = \frac{\varepsilon M_2 A_{2S}^*}{4} = -\varepsilon \frac{|m(t)|^2}{4} \sin(2\varphi_s + qN\varphi_p),$$

where φ_s is an electrical phaseshift proportional to the second steering signal, φ_P is a radial

bearing of a source of the received signal, M_2 is a second intermediate main signal, A_{2S} is a third intermediate auxiliary signal, N is a number of elements in an array of antenna elements, $m(t)$ is a modulation of the signal, ε is a feedback gain or step-size for the second steering

5 control signal and much smaller than gain (or step-size) μ , and q is a scaling factor in an approximation of a dependence on radial bearing coordinate.

11. The method of claim 10, wherein further comprising repeating the evaluating until the second steering signal converges so that $-\varphi_s$ converges to $qN/2$ times the radial bearing coordinate of a source of the received signal, whereupon $\frac{d\varphi_s}{dt} = 0$.

10 12, A method for operating a beam-steering system, the method comprising:

generating, by the beam-steering system, a first auxiliary intermediate signal and a second auxiliary intermediate signal in accordance with a 1-st order phase-mode signal;

generating, by the beam-steering system, a first intermediate main signal in accordance with a 0-th order phase-mode signal;

15 producing, by the beam-steering system, a first steering signal in accordance with the first auxiliary intermediate signal and the first intermediate main signal to orient an array of antenna elements towards a source of the phase-mode signals in a circumferential dimension;

producing, by the beam-steering system, a third intermediate auxiliary signal and a second intermediate main signal in accordance with the first intermediate main signal and the second intermediate auxiliary signal;

20 second intermediate auxiliary signal;

producing, by the beam-steering system, a second steering signal in accordance with the third intermediate auxiliary signal and the second intermediate main signal to orient the

array of antenna elements towards the source of the phase-mode signals in a radial dimension;
and

producing, by the beam-steering system, an output signal in accordance with the first intermediate auxiliary signal, the first intermediate main signal, and the second steering signal,
5 the output signal corresponding to a maximum strength of the signal received by the array of antenna elements.

13. The method of claim 12, further comprising generating the second auxiliary intermediate signal in accordance with the 1-st order phase-mode signal and a scaling factor.

14. The method of claim 13, wherein the scaling factor is a 2.

10 15. The method of claim 12, further comprising generating the first auxiliary intermediate signal and the second auxiliary intermediate signal in accordance with the 1-st order phase-mode signal and a -1-st order phase-mode signal.

16. The method of claim 12, further comprising producing an output auxiliary signal in accordance with the 1-st order phase-mode signal and a -1st order phase-mode signal, the first
15 intermediate auxiliary signal, the second intermediate auxiliary signal, the first intermediate main signal, the first steering signal, and the second steering signal.

17. A beam-steering control system comprising:

a variable phase combiner configured to generate a first auxiliary intermediate signal and a second auxiliary intermediate signal in accordance with at least one of a 1-st order
20 phase-mode signal and a -1-st order phase-mode signal associated with a received signal;

a phase shifting splitter configured to generate a first intermediate main signal in accordance with a 0-th order phase-mode signal associated with the received signal;

a first steering signal unit operatively coupled to the first auxiliary intermediate signal

and the first intermediate main signal, the first steering signal unit configured to produce a first steering signal to orient an array of antenna elements towards a source of the received signal in a circumferential dimension;

a second variable ratio combiner operatively coupled to the first intermediate main signal and the second intermediate auxiliary signal, the second variable ratio combiner configured to produce a third intermediate auxiliary signal and a second intermediate main signal;

a second steering signal unit operatively coupled to the third intermediate auxiliary signal and the second intermediate main signal, the second steering signal unit configured to produce a second steering signal to orient the array of antenna elements towards the source of the received signal in a radial dimension; and

a first variable ratio combiner operatively coupled to the first intermediate auxiliary signal, the first intermediate main signal, and the second steering signal, the first variable ratio combiner configured to produce an output signal corresponding to a maximum strength of the signal received by the array of antenna elements.

18. The beam-steering control system of claim 17, wherein the variable phase combiner is configured to generate the first auxiliary intermediate signal in accordance with the 1-st order phase-mode signal, and to generate the second auxiliary intermediate signal in accordance with the 1-st order phase-mode signal and a scaling factor.

19. The beam-steering control system of claim 18, wherein the scaling factor is a 2.

20. The beam-steering control system of claim 17, wherein:

the variable phase combiner is configured to generate the first auxiliary intermediate signal in accordance with the -1-st order phase-mode signal; and

the first steering signal unit is configured to direct the generated first steering signal to an inverter prior to use as a control signal for the variable phase combiner.

21. The beam-steering control system of claim 17, wherein the first steering signal unit comprises a first zero-lag correlator, and the second steering signal unit comprises a second
5 zero-lag correlator.

22. The beam-steering control system of claim 17, further comprising the array of antenna elements coupled to the variable phase combiner.

23. The beam-steering control system of claim 22, wherein the array of antenna elements is one of a circular array of antenna elements, a substantially circular array of antenna elements,
10 a polygonal array of antenna elements, a plurality of antenna elements arranged in one or more concentric rings, and a plurality of antenna elements in a common ring array.

24. A beam-steering control system comprising:

an array of antenna elements configured to receive a signal to be tracked;

a phase-mode generating unit operatively coupled to the array of antenna elements, the
15 phase-mode generating unit configured to generate a first phase-mode signal, a second phase-mode signal and a third phase-mode signal from the received signal;

a variable-phase combiner/splitter (VPC) operatively coupled to the phase-mode generating unit, the VPC configured to generate a first intermediate auxiliary signal, a second intermediate auxiliary signal, and a first intermediate main signal in accordance with the
20 phase-mode signals;

a first steering signal unit operatively coupled to the VPC, the first steering signal unit configured to derive a first steering signal proportional to a circumferential steering angle of the received signal from the first intermediate main signal and the first intermediate auxiliary

signal; and

a second steering signal unit operatively coupled to the VPC, the second steering signal unit configured to derive a second steering signal proportional to a radial steering angle of the received signal from the second intermediate auxiliary signal and the first intermediate main signal.

25. The beam-steering control system of claim 24, wherein the first steering signal unit is configured to correlate a conjugate of the first intermediate auxiliary signal and the first intermediate main signal to produce the first steering signal.

26. The beam-steering control system of claim 24, wherein the first steering signal unit is configured to correlate the first intermediate auxiliary signal and the first intermediate main signal to produce a first correlation product, wherein in response to determining that the first correlation product is not equal to zero, the first steering signal unit is configured to update the first steering signal using the first correlation product, and to repeat the deriving the first steering signal, and wherein in response to determining that the first correlation product is equal to zero, the first steering signal unit is configured to hold fixed the first steering signal.

27. The beam-steering control system of claim 24, wherein the second steering signal unit is configured to combine the second intermediate auxiliary signal and the first intermediate main signal to produce a third intermediate auxiliary signal and a second intermediate main signal, and to correlate the second intermediate main signal and a conjugate of the third intermediate auxiliary signal to produce a second correlation product, wherein in response to determining that the second correlation product is not equal to zero, the second steering signal unit is configured to update the second steering signal using the second correlation product, and to repeat the deriving of the second steering signal, and wherein in response to determining that

the second correlation product is equal to zero, the second steering signal unit is configured to hold fixed the second steering signal.

28. The beam-steering control system of claim 24, wherein the VPC is configured to oppositely phaseshift and combine a second phase-mode signal and a third phase-mode signal to produce the first intermediate auxiliary signal and the second intermediate auxiliary signal, and to scale by a complex factor a first phase-mode signal to produce the first intermediate main signal.

29. The beam-steering control system of claim 24, wherein the first steering signal unit is

configured to evaluate $\frac{d\theta_s}{dt} = \frac{-\mu Re\{M_0 A_{01}^*\}}{2} \cong -\mu \frac{|m(t)|^2}{2} \sin(qN\varphi_p) \sin(\theta_p + \theta_s)$, where

θ_s is an electrical phaseshift proportional to the first steering signal, φ_p is a radial bearing of a source of the received signal, θ_p is a circumferential bearing of a source of the received signal, M_0 is the first intermediate main signal, A_{01} is the first intermediate auxiliary signal, N is a number of elements in an array of antenna elements, $m(t)$ is a modulation of the signal, μ is a feedback gain or step-size for the first steering signal, q is a scaling factor in an approximation of a dependence on radial bearing coordinate, and $Re\{.\}$ is a function returning a real portion of an argument.

30. The beam-steering control system of claim 24, wherein the second steering signal unit is

configured to evaluate $\frac{d\varphi_s}{dt} = \frac{\varepsilon M_2 A_{25}^*}{4} = -\varepsilon \frac{|m(t)|^2}{4} \sin(2\varphi_s + qN\varphi_p)$,

where φ_s is an electrical phaseshift proportional to the second steering signal, φ_p is a radial bearing of a source of the received signal, M_2 is a second intermediate main signal, A_{2S} is a third intermediate auxiliary signal, N is a number of elements in an array of antenna elements, $m(t)$ is a modulation of the signal, ε is a feedback gain or step-size for the second steering

5 signal and much smaller than gain (or step-size) μ , and q is a scaling factor in an approximation of a dependence on radial bearing coordinate.

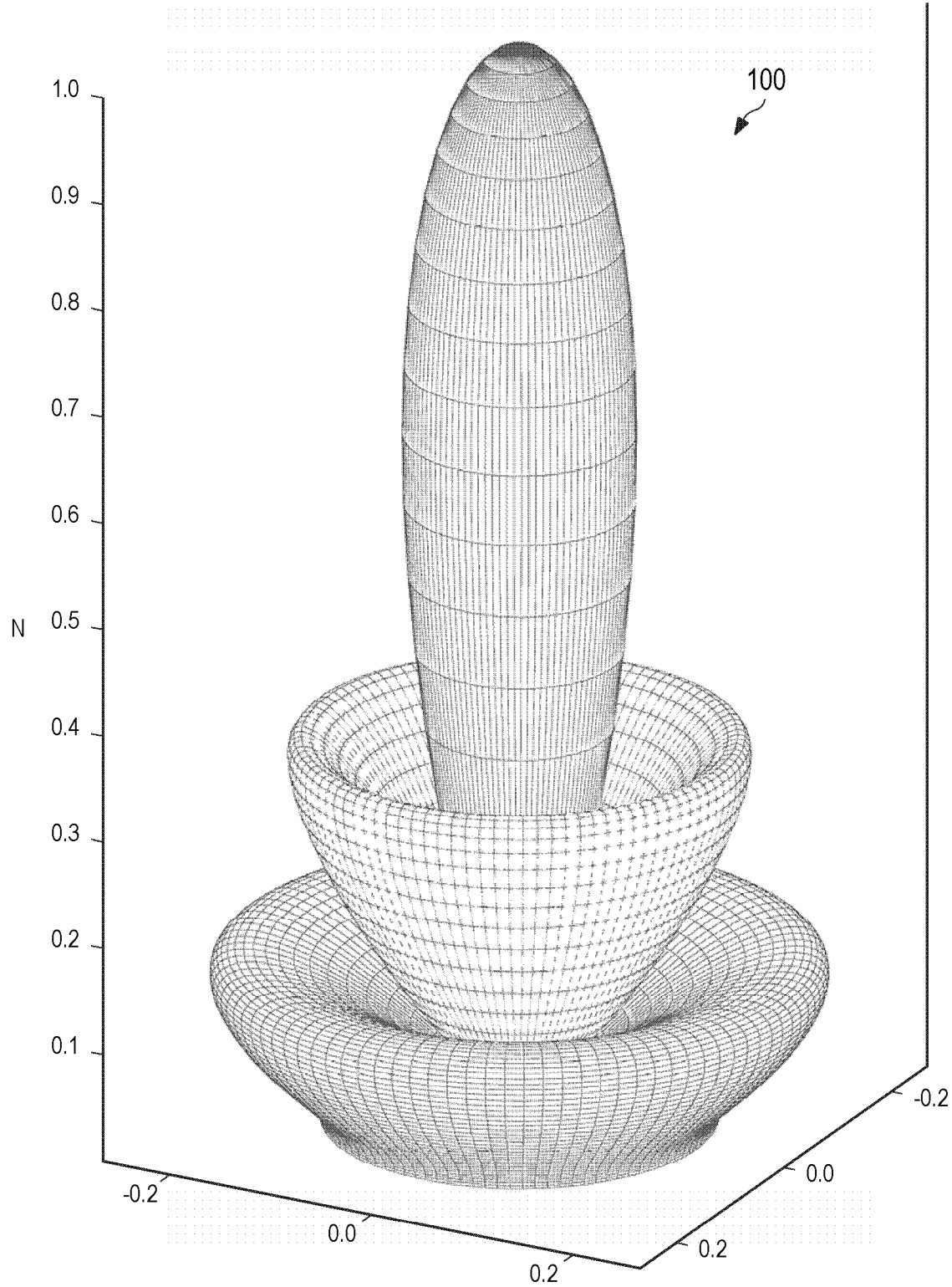


FIG. 1

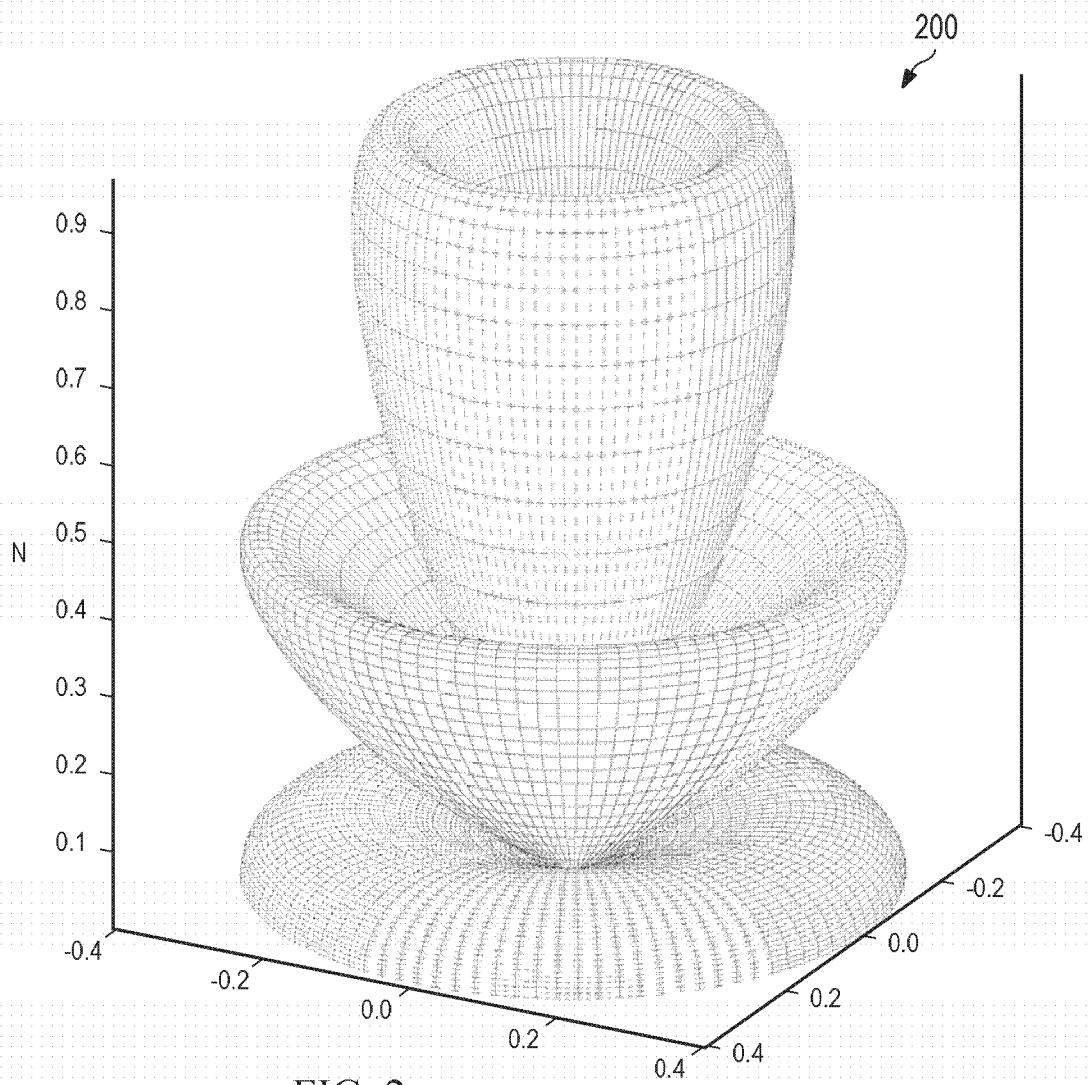


FIG. 2

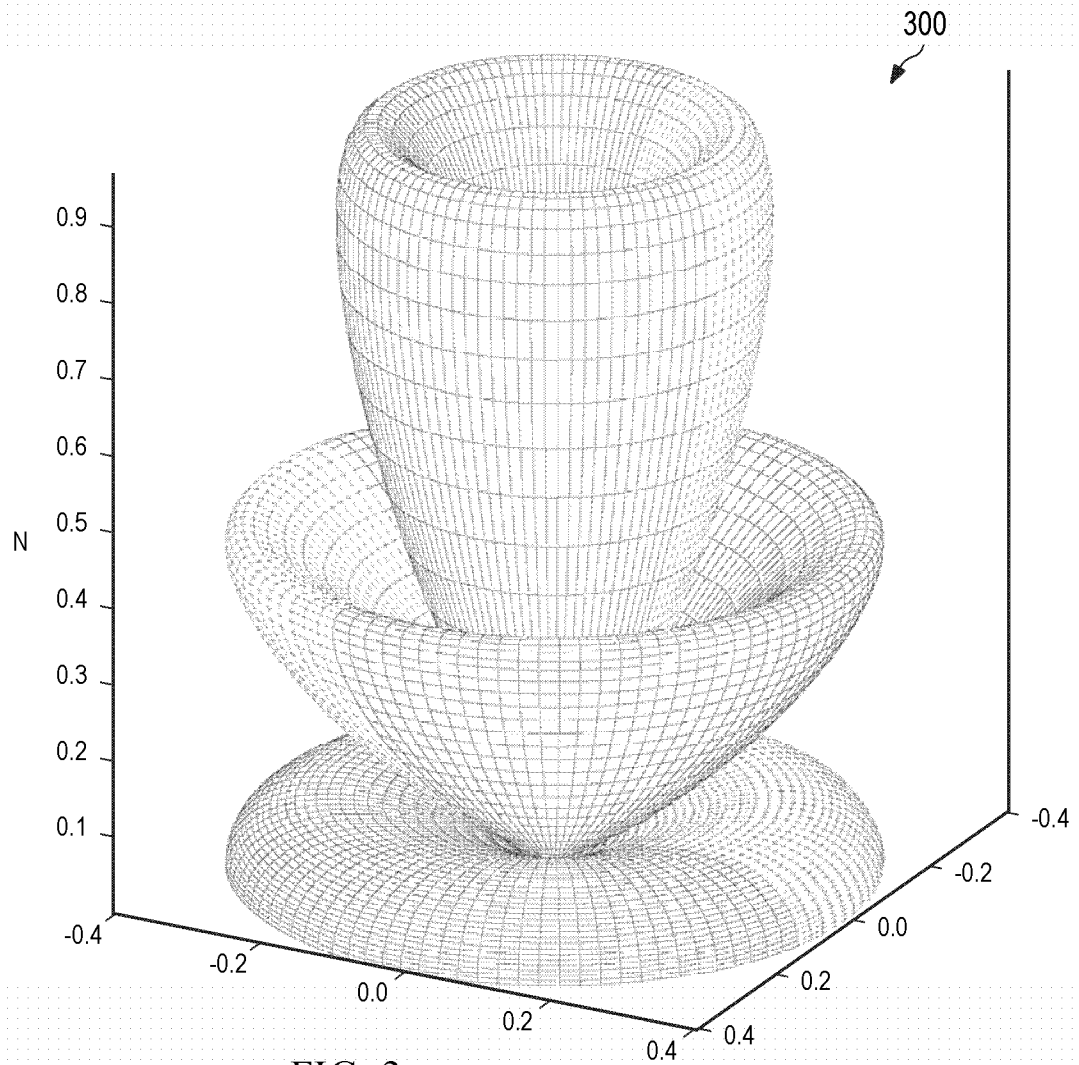


FIG. 3

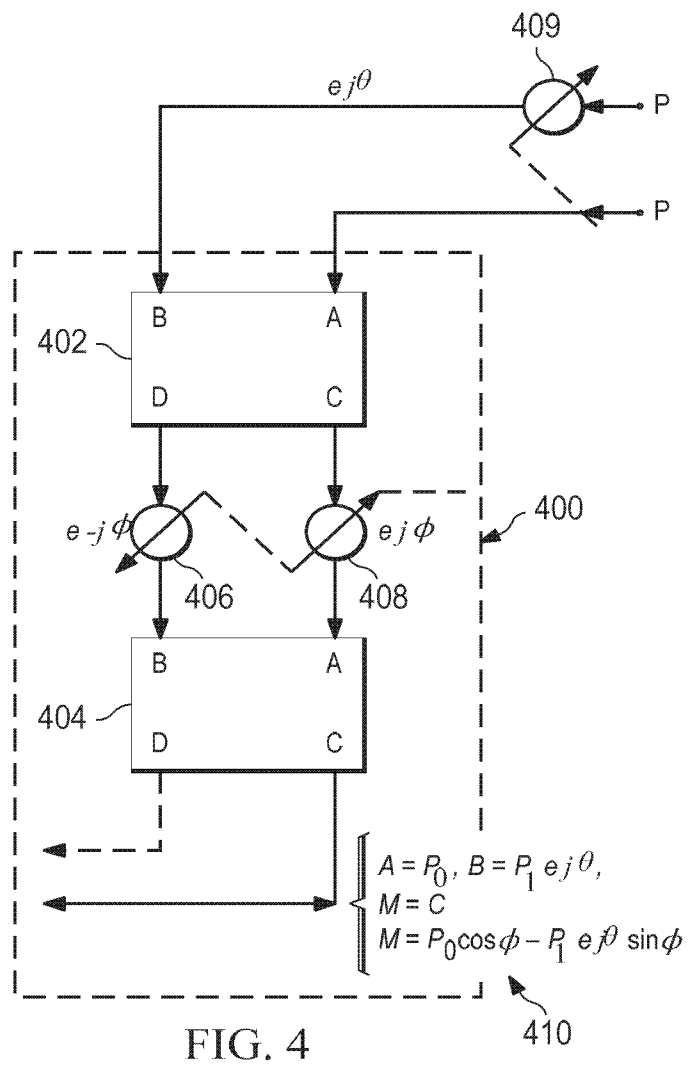


FIG. 4

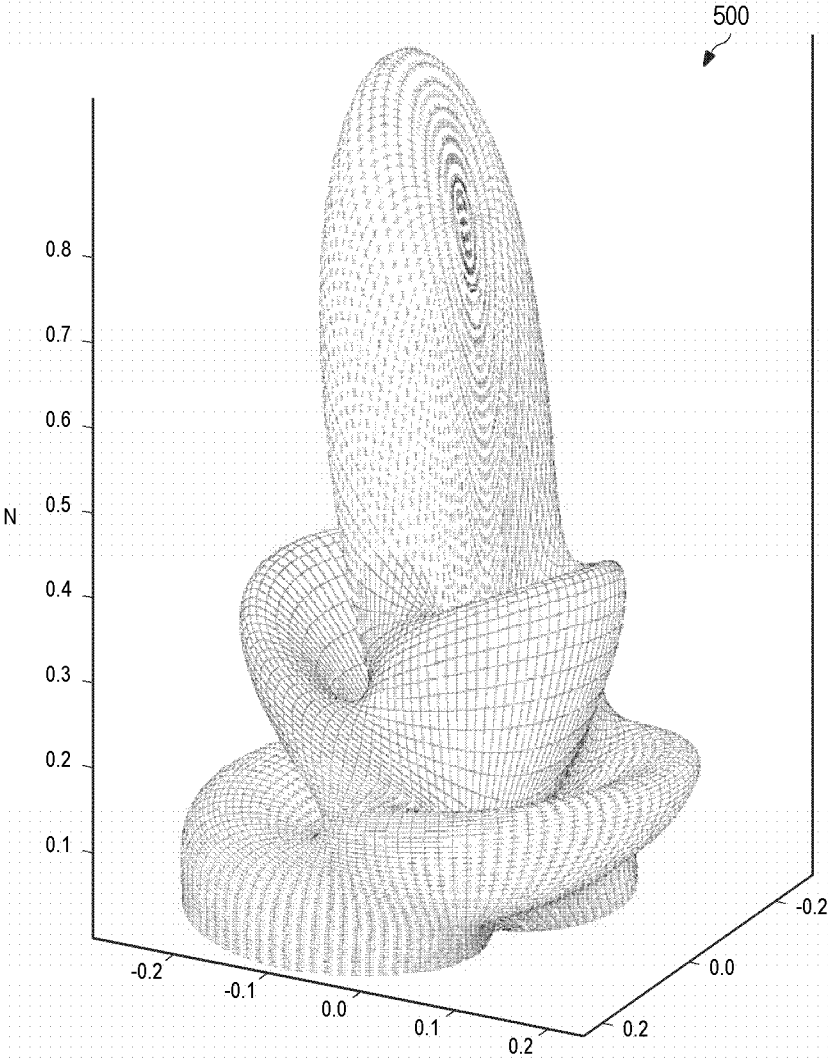


FIG. 5

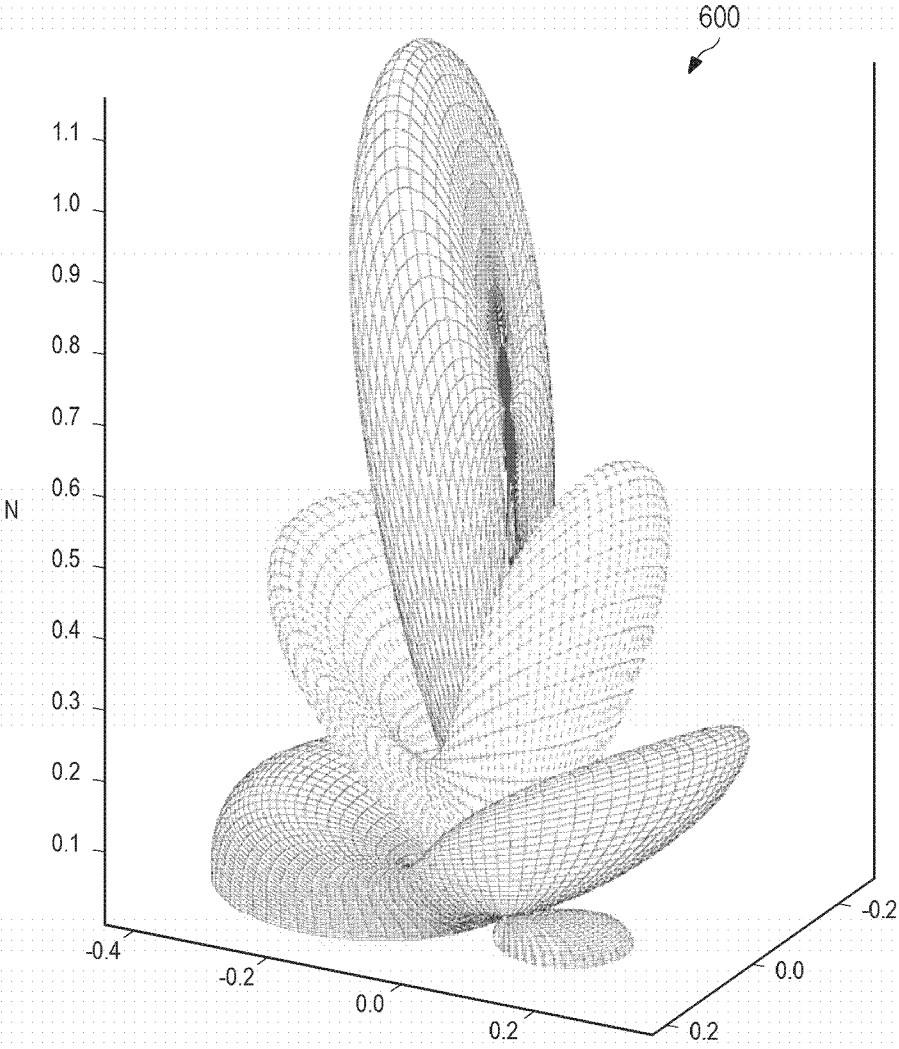
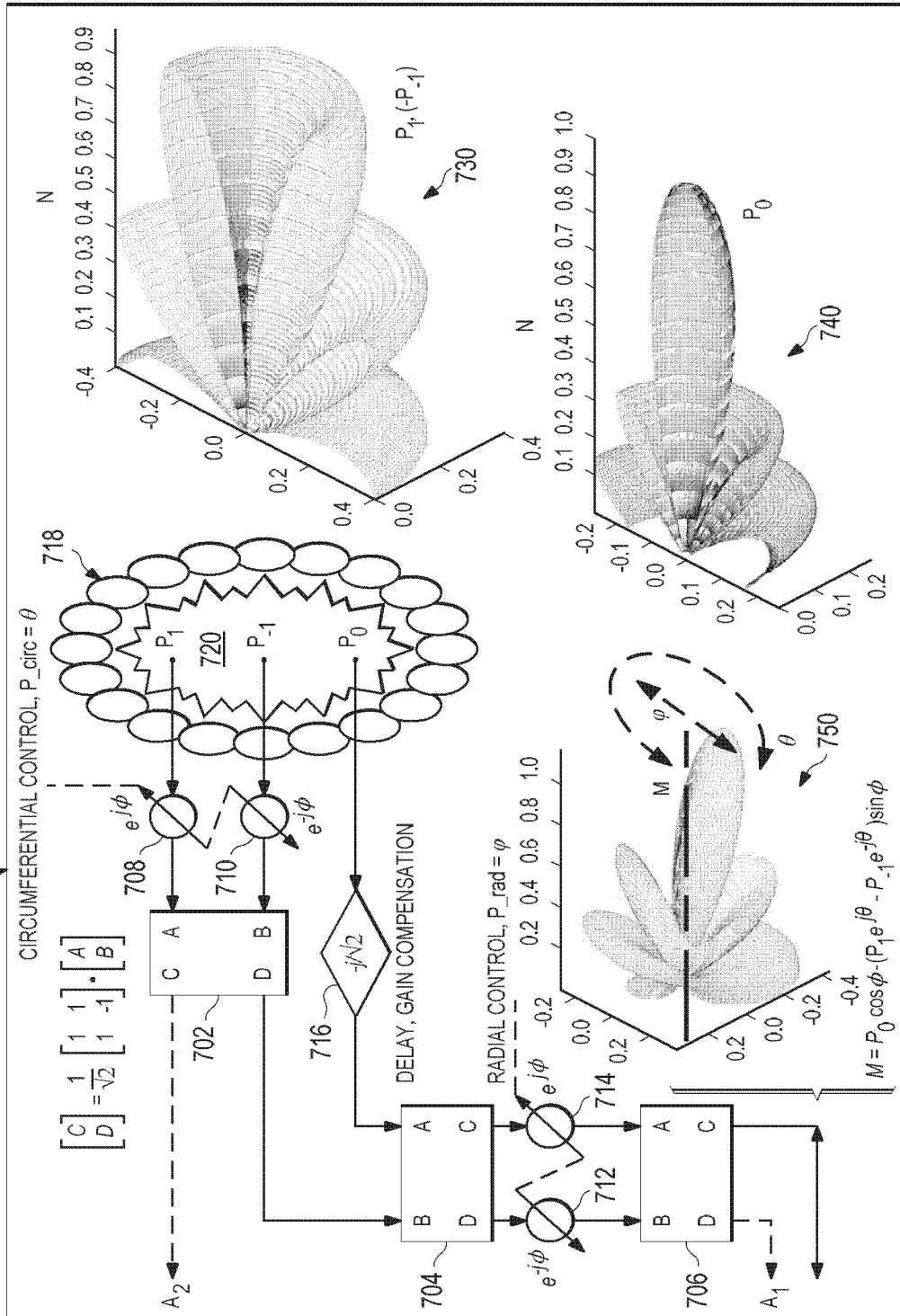


FIG. 6

FIG. 7



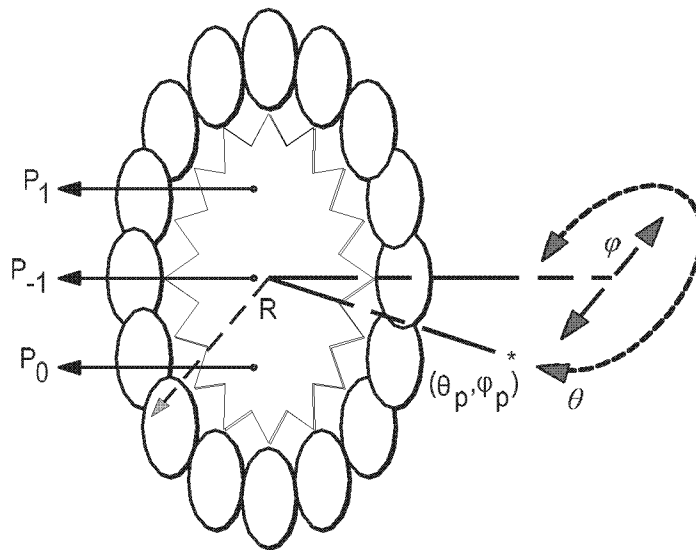


FIG. 8

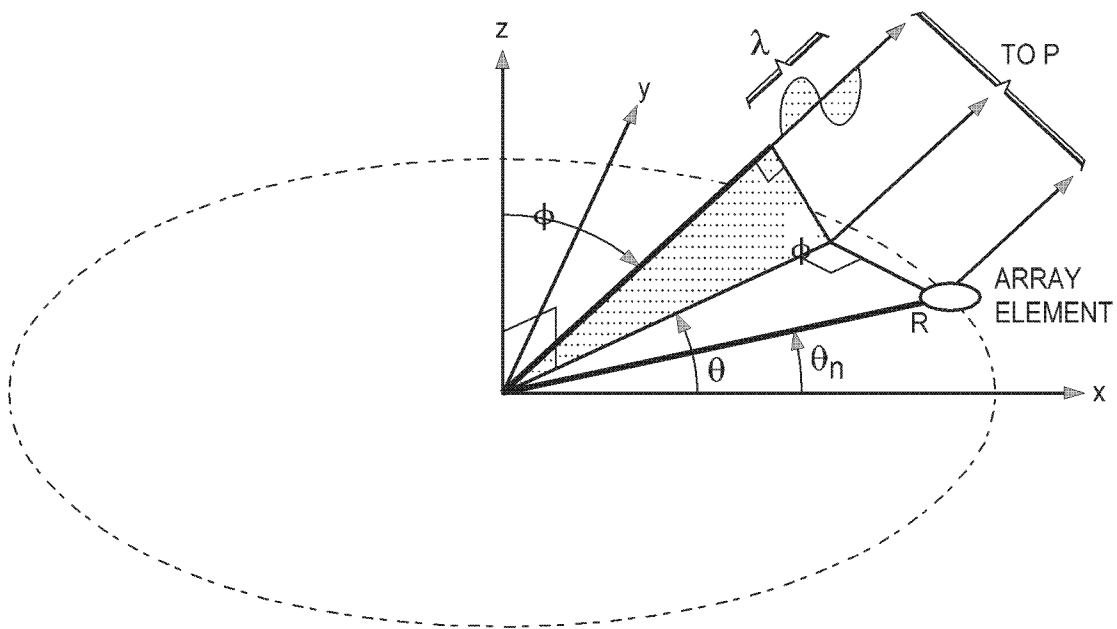


FIG. 9

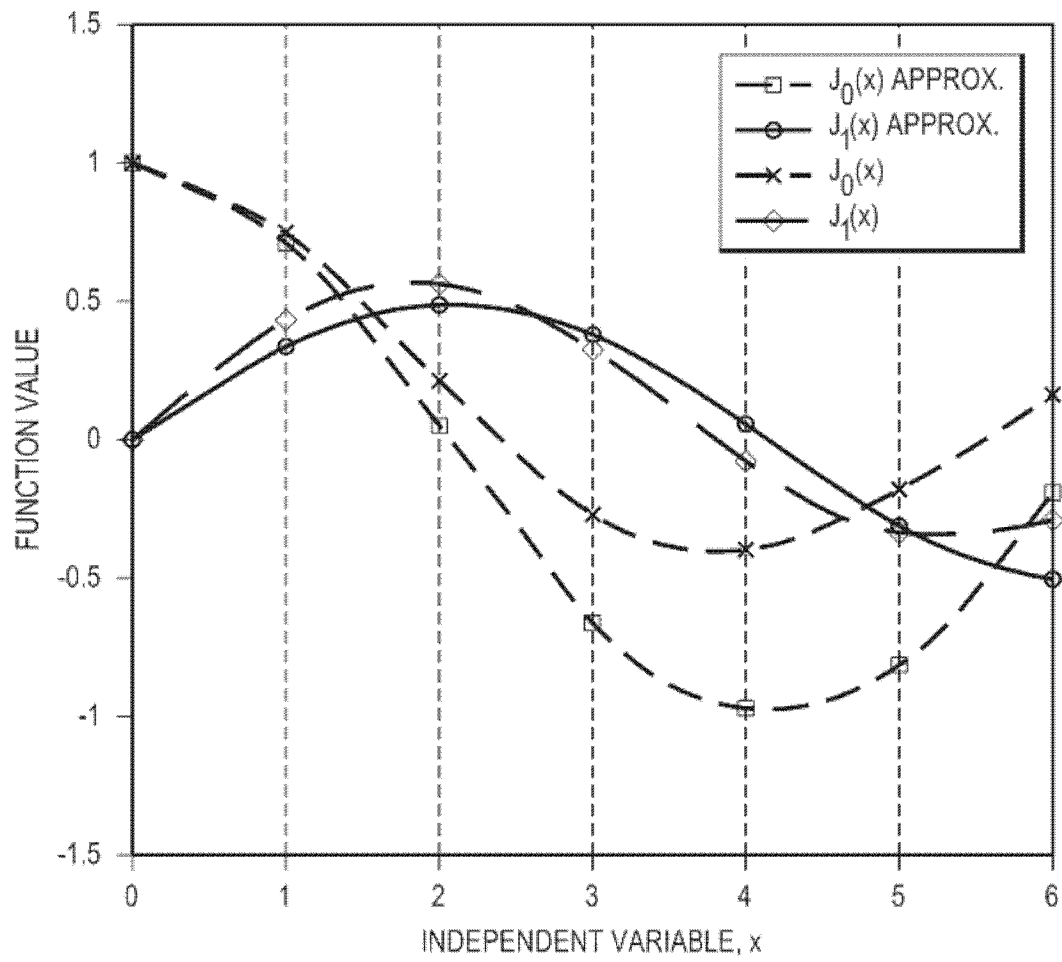


FIG. 10

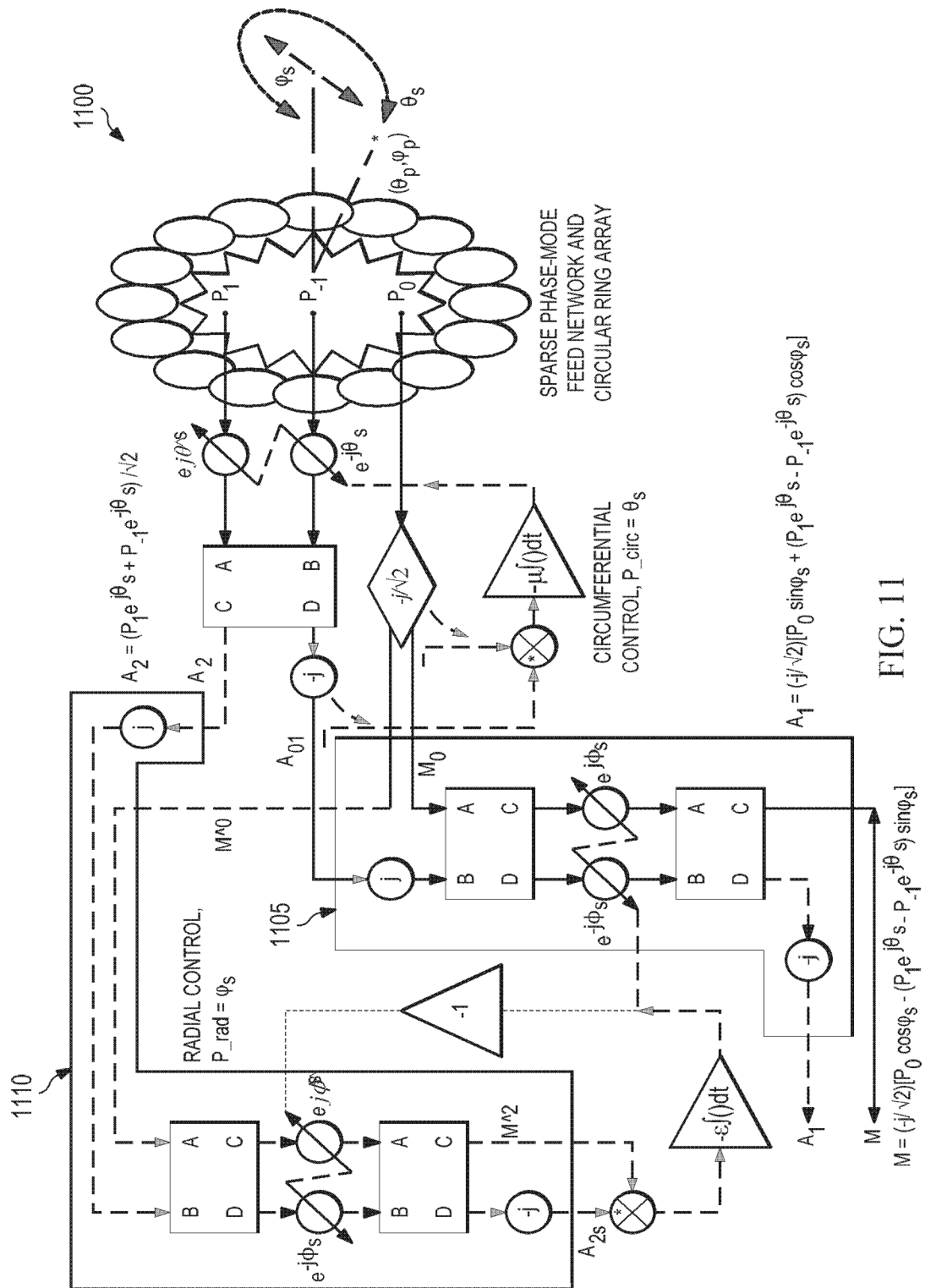


FIG. 11

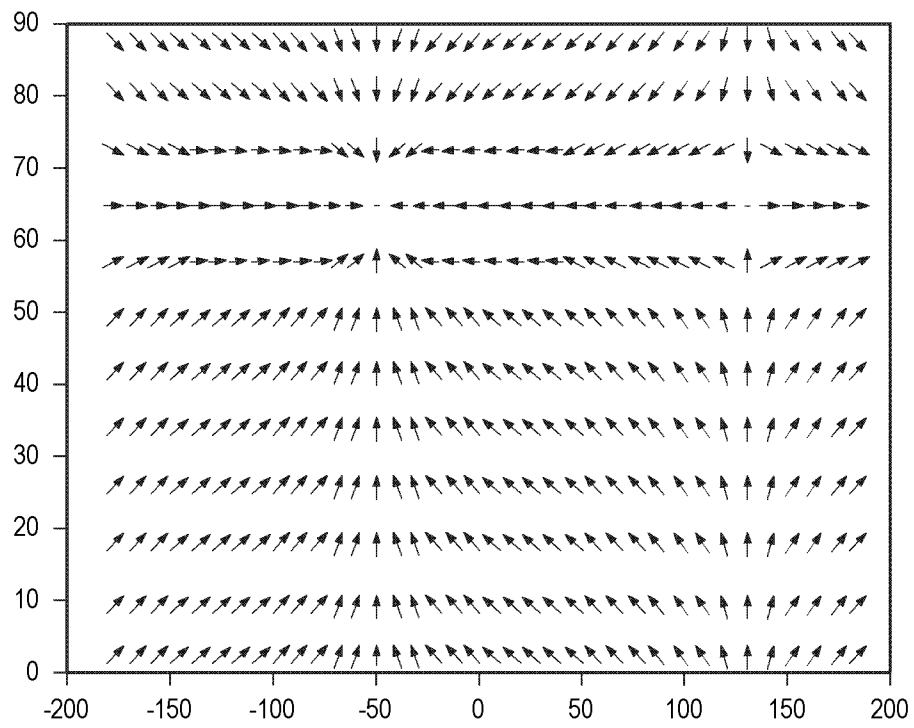


FIG. 12a

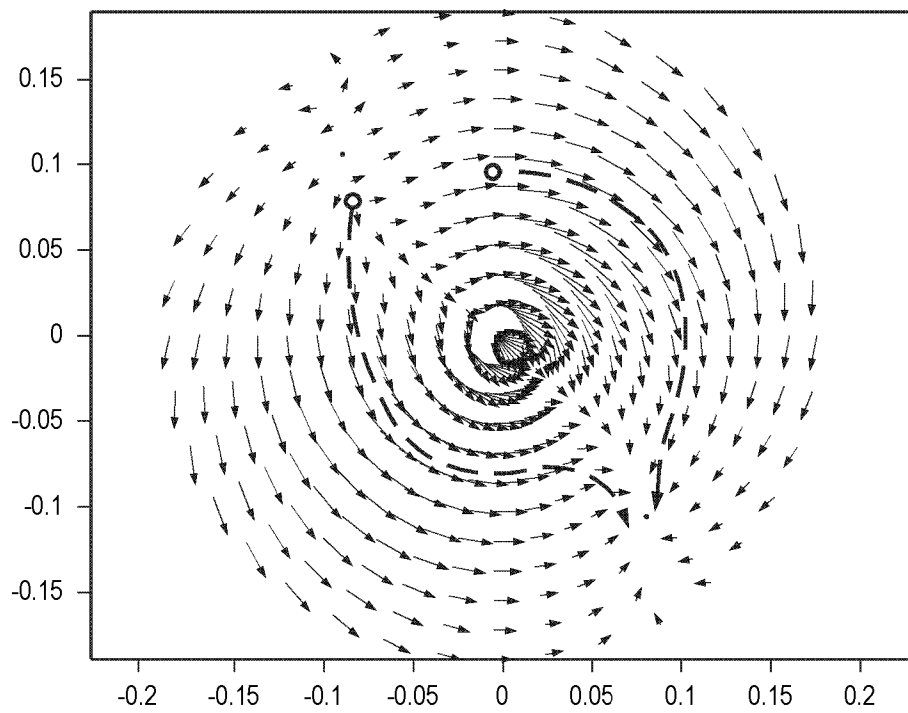


FIG. 12b

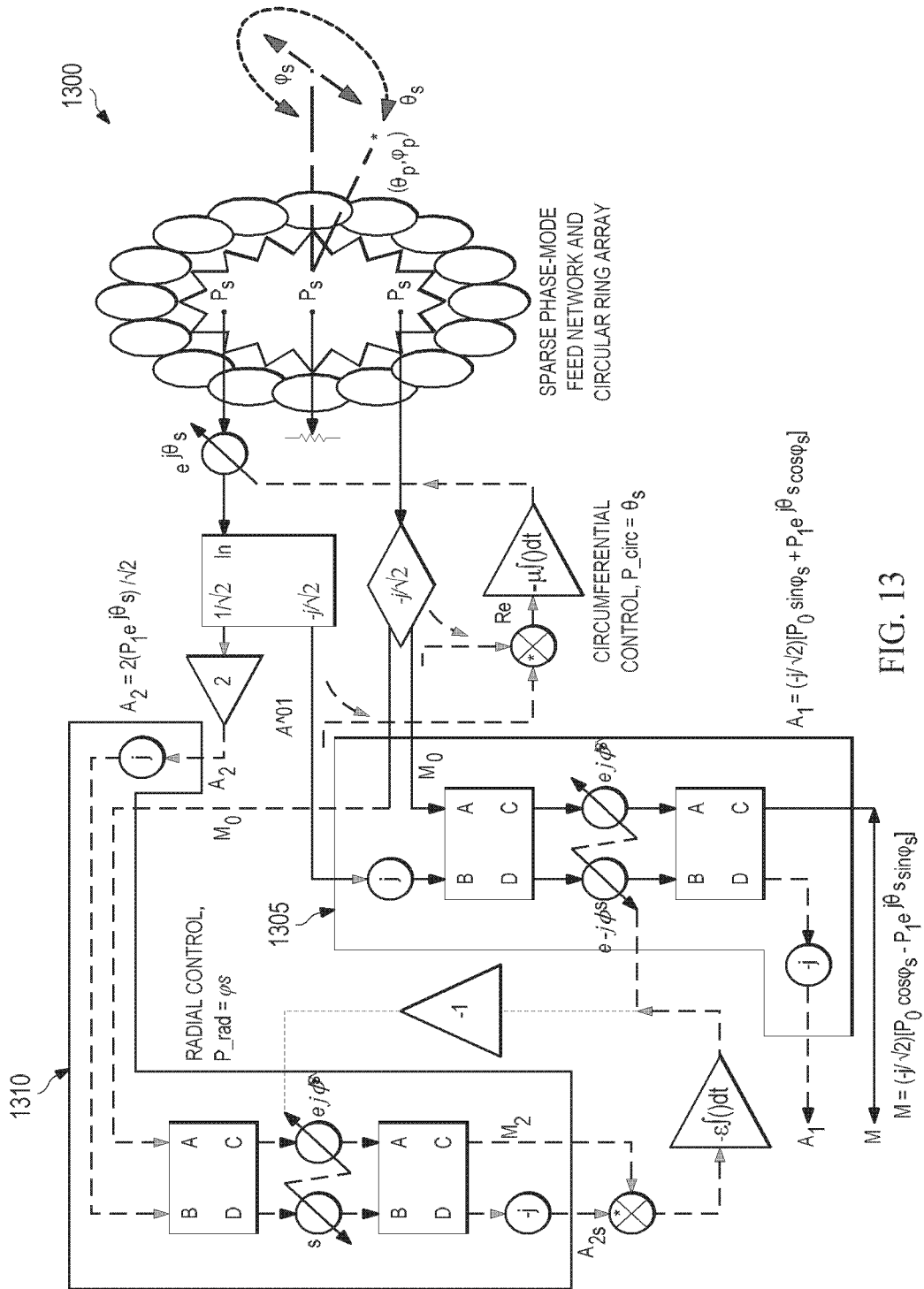


FIG. 13

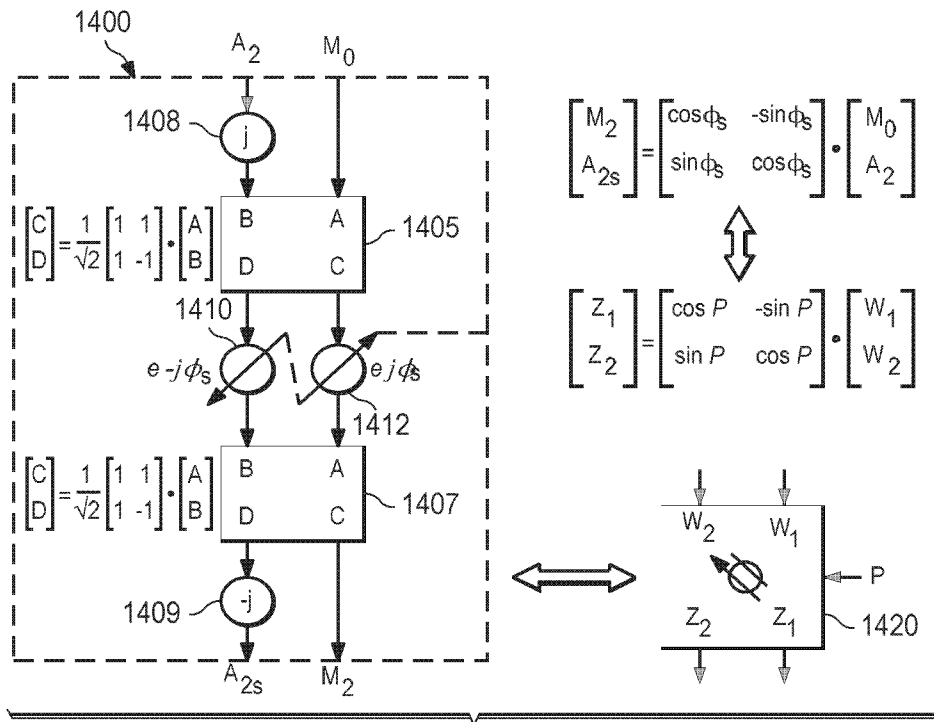


FIG. 14

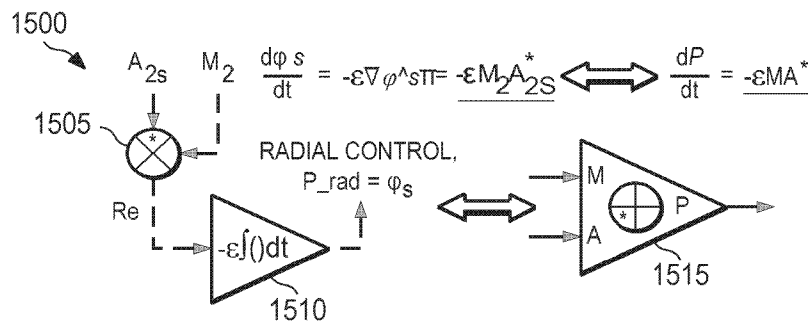


FIG. 15

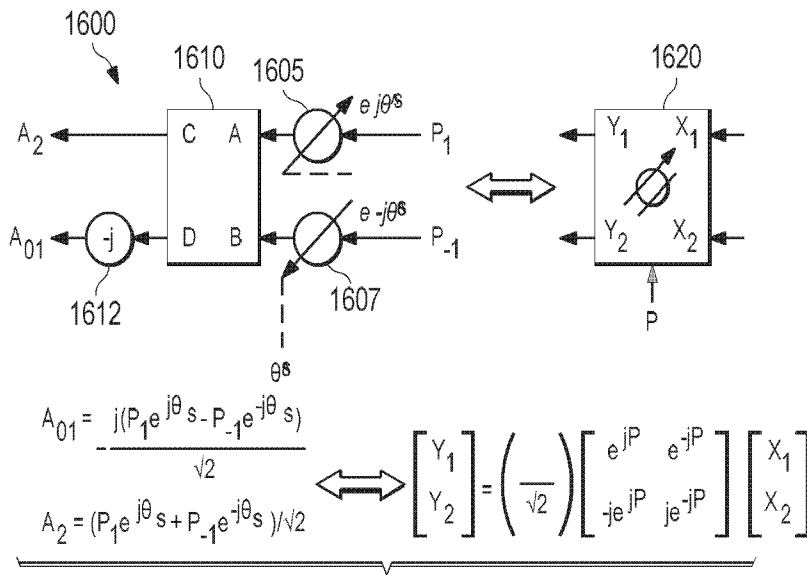


FIG. 16

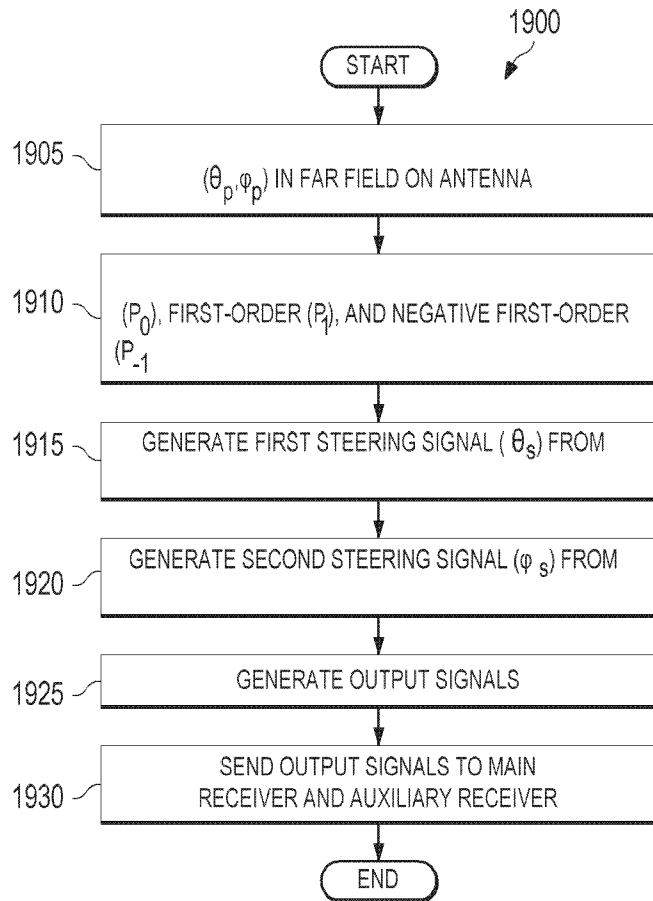


FIG. 19

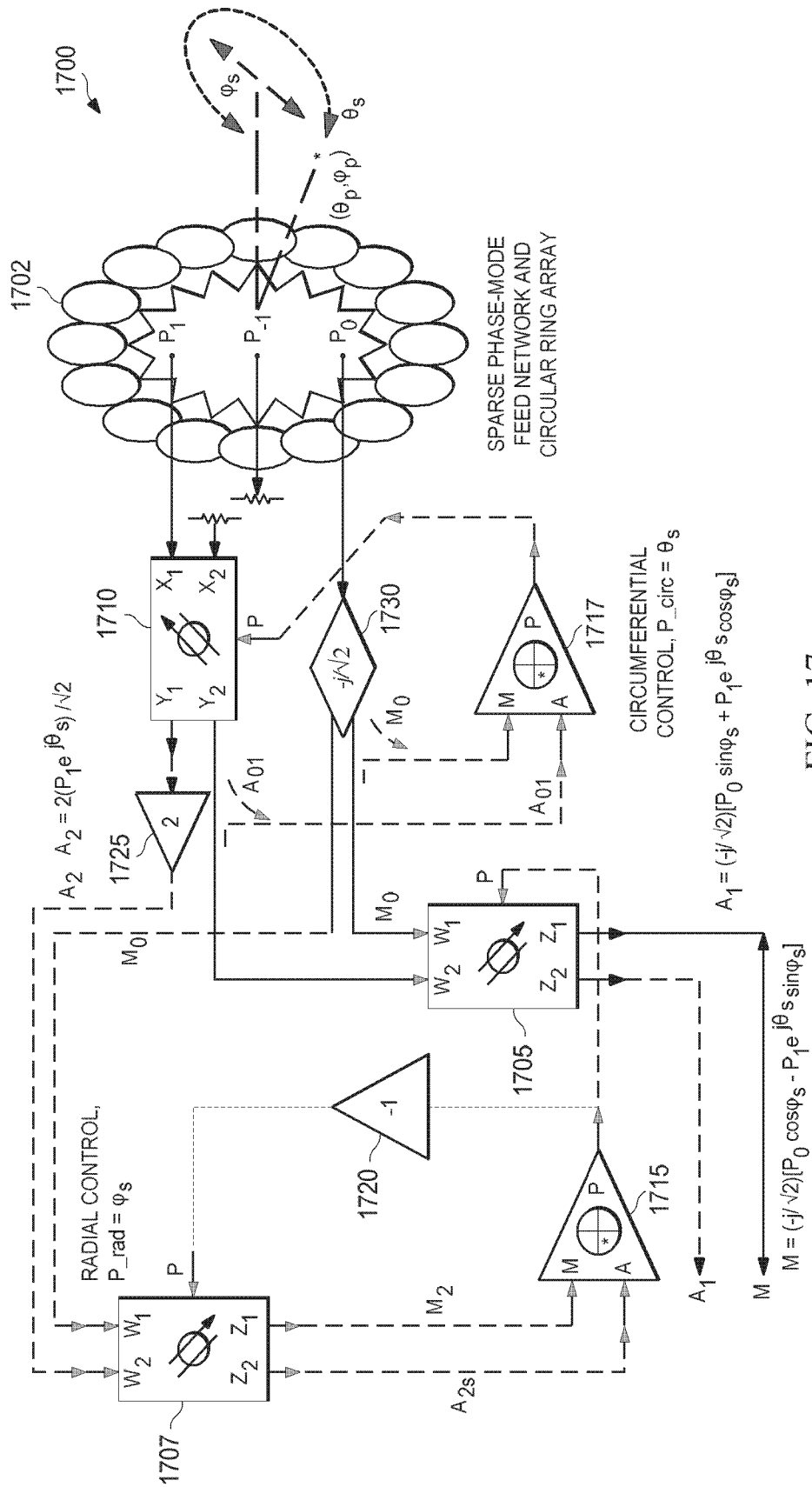


FIG. 17

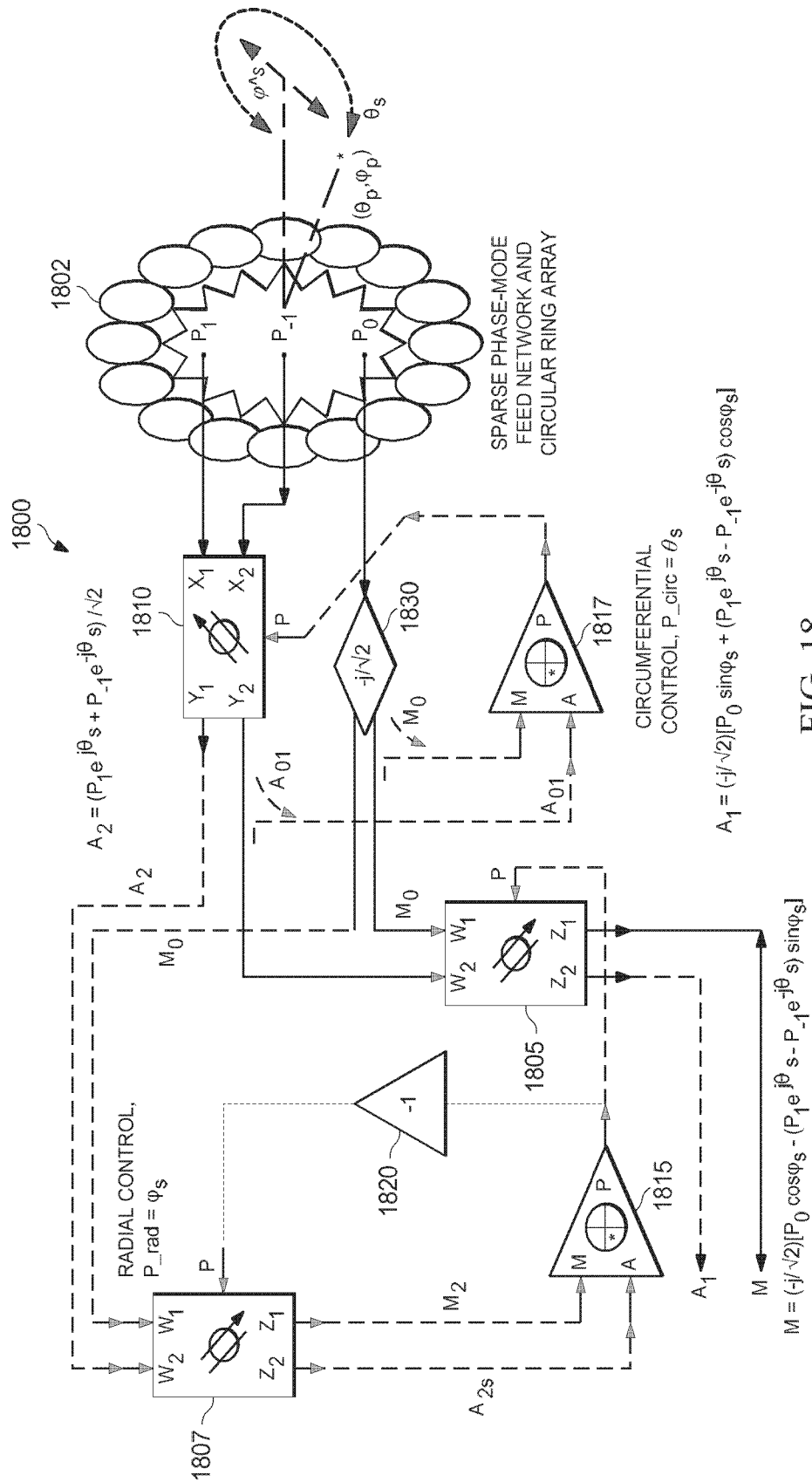


FIG. 18

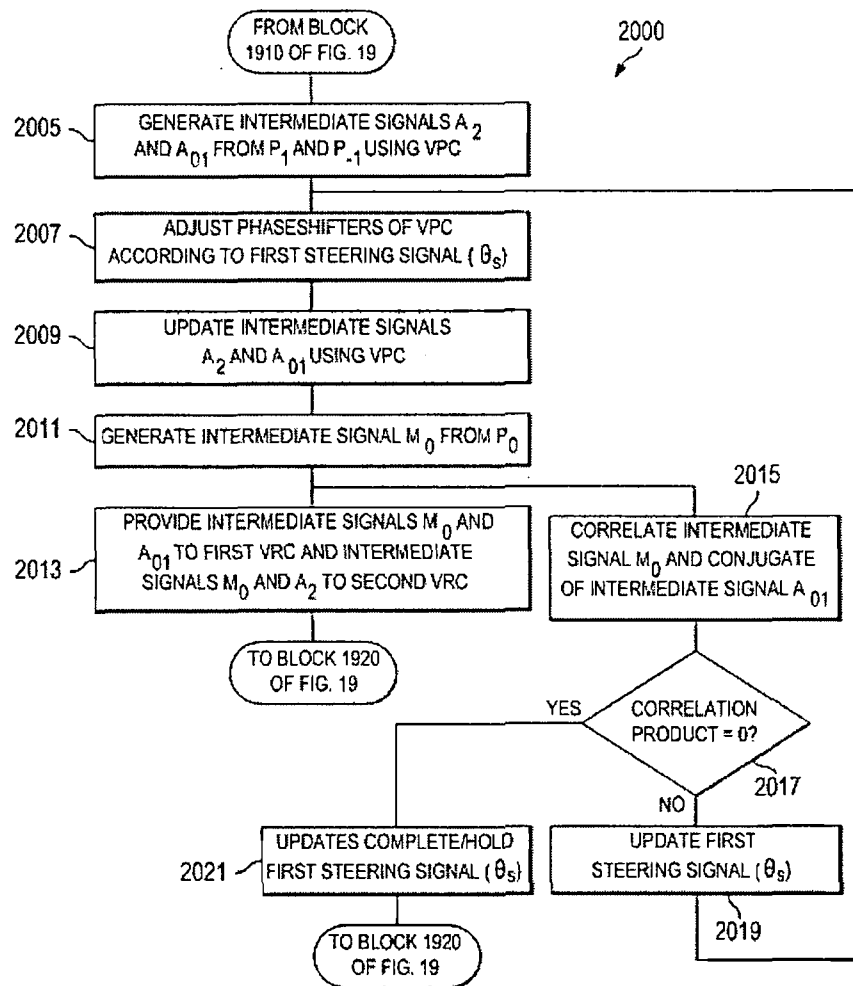
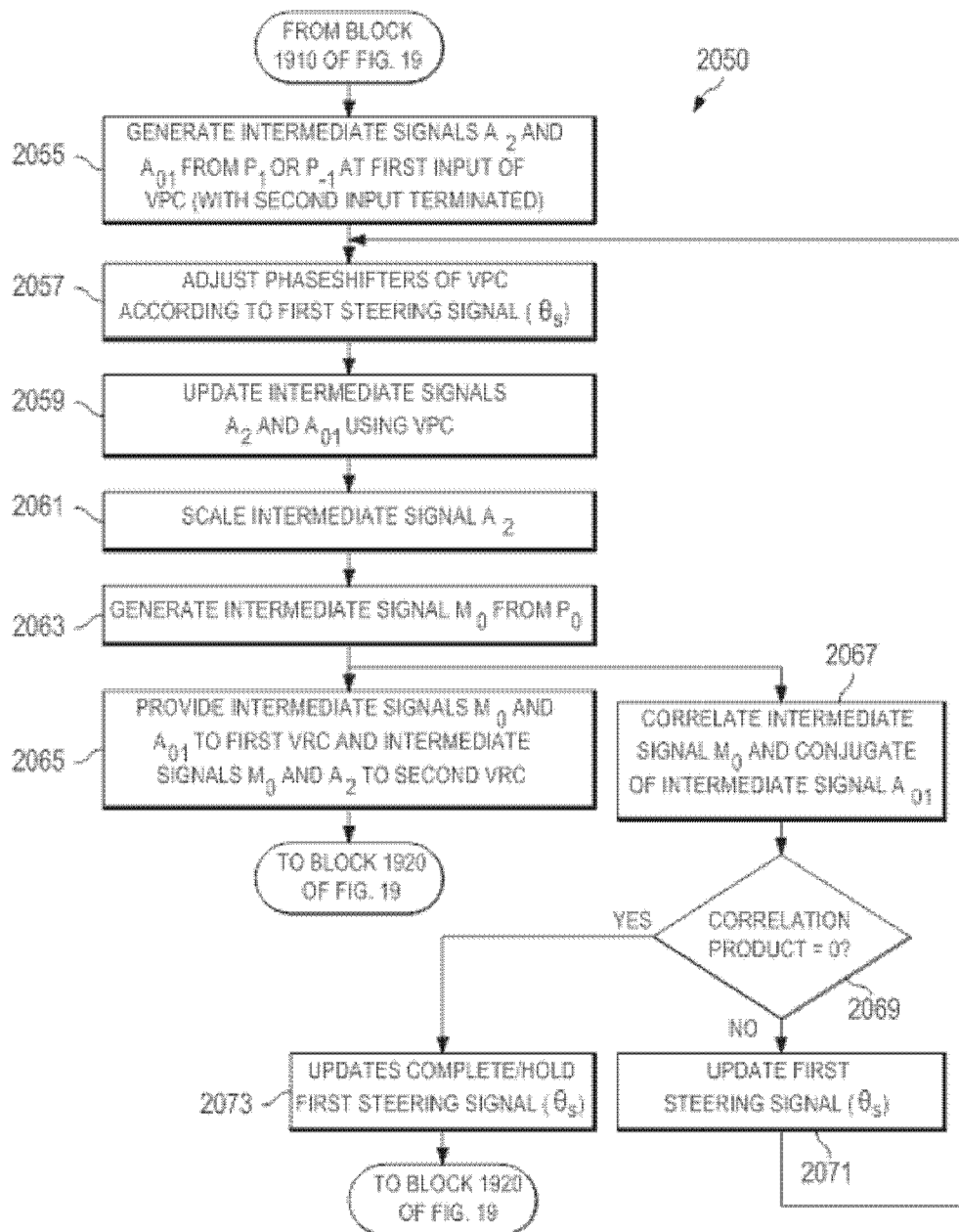


FIG. 20a



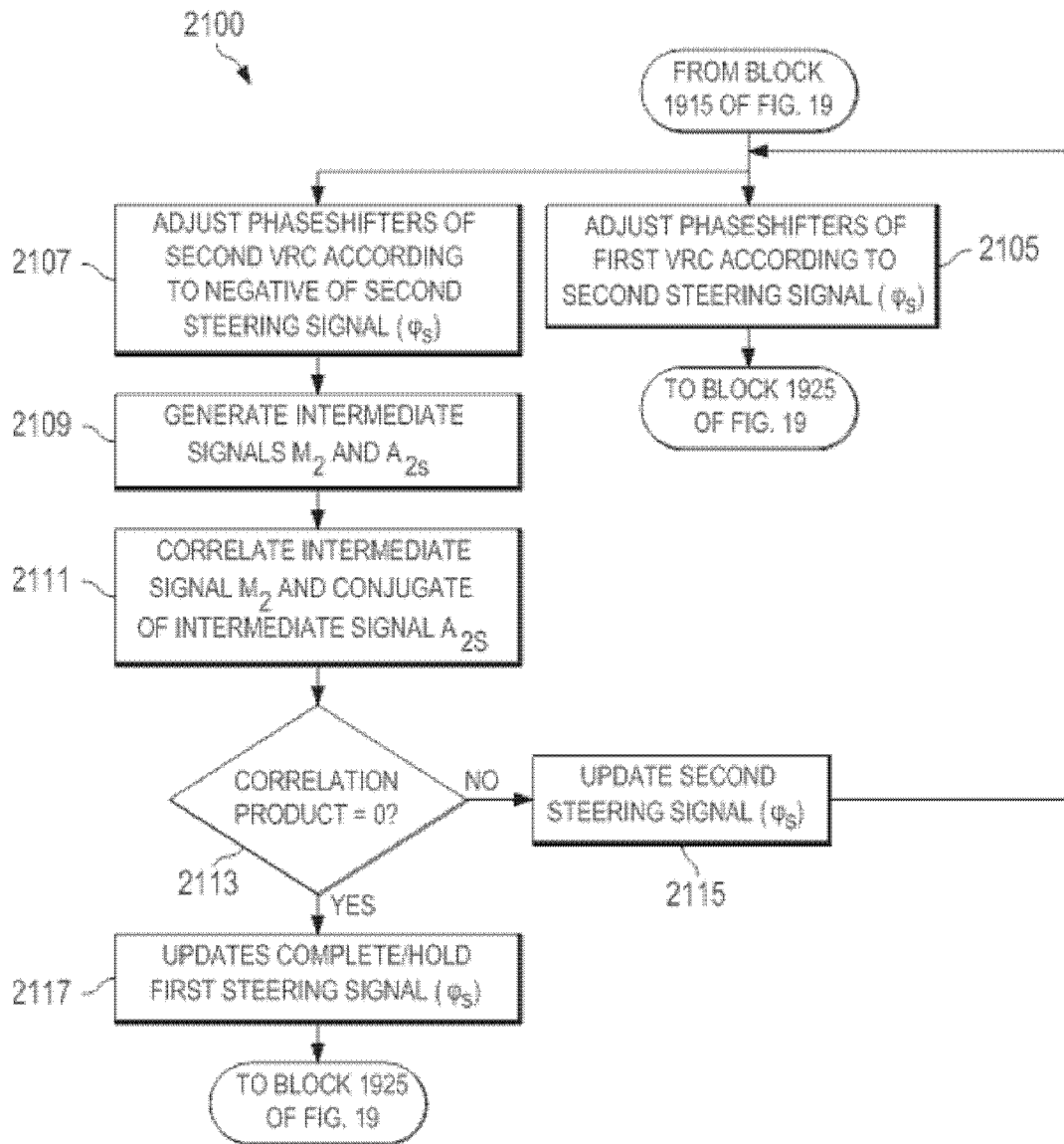


FIG. 21

INTERNATIONAL SEARCH REPORT

International application No.

PCT/CN2015/080615

A. CLASSIFICATION OF SUBJECT MATTER

H01Q 3/36(2006.01)i; G01S 3/42(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

H01Q; G01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, WPI, CNPAT, IEEE, CNKI: beam, steer+, HUAW, track+, phase, mode, feed, signal?, variable, shifter?, circumferential, radial, azimuth, elevation, KLEMES M+

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2009033575 A1 (THE AEROSPACE CORP.) 05 February 2009 (2009-02-05) paragraphs [0026]-[0032], figures 4-5	1-30
A	US 2005285784 A1 (INTERDIGITAL TECHNOLOGY CORP.) 29 December 2005 (2005-12-29) the whole document	1-30
A	US 2013234890 A1 (LOCKHEED MARTIN CORP.) 12 September 2013 (2013-09-12) the whole document	1-30



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

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“O” document referring to an oral disclosure, use, exhibition or other means

“P” document published prior to the international filing date but later than the priority date claimed

“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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“&” document member of the same patent family

Date of the actual completion of the international search

11 August 2015

Date of mailing of the international search report

26 August 2015

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INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.

PCT/CN2015/080615

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				EP	1766722	A4	11 July 2007
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				JP	4542141	B2	08 September 2010
				WO	2005122328	A3	26 April 2007
				CN	101080846	B	22 August 2012
US	2013234890	A1	12 September 2013	US	9000982	B2	07 April 2015