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(54) Title: COMPUTATIONALLY OPTIMIZED BROADLY REACTIVE ANTIGENS FOR H5N1 AND H1N1 INFLUENZA VIRUSES

(57) Abstract: Described herein is the generation of optimized H5N1 and H1N1 influenza HA polypeptides for eliciting a broadly reactive immune response to influenza virus isolates. The optimized HA polypeptides were developed through a series of HA protein alignments, and subsequent generation of consensus sequences, based on H5N1 and H1N1 influenza isolates. Provided herein are optimized H5N1 and H1N1 influenza HA polypeptides, and compositions, fusion proteins and VLPs comprising the HA polypeptides. Further provided are codon-optimized nucleic acid sequences encoding the HA polypeptides. Methods of eliciting an immune response against influenza virus in a subject are also provided by the present disclosure.

COMPUTATIONALLY OPTIMIZED BROADLY REACTIVE ANTIGENS FOR H5N1 AND H1N1 INFLUENZA VIRUSES

CROSS REFERENCE TO RELATED APPLICATIONS

5 This application claims the benefit of U.S. Provisional Application No. 61/617,815, filed March 30, 2012, which is herein incorporated by reference in its entirety.

FIELD

This disclosure concerns optimized influenza hemagglutinin proteins that elicit broadly 10 reactive immune responses to H5N1 and H1N1 influenza viruses, and their use as vaccines.

BACKGROUND

Influenza virus is a member of *Orthomyxoviridae* family. There are three subtypes of influenza viruses, designated influenza A, influenza B, and influenza C. The influenza virion 15 contains a segmented negative-sense RNA genome, which encodes the following proteins: hemagglutinin (HA), neuraminidase (NA), matrix (M1), proton ion-channel protein (M2), nucleoprotein (NP), polymerase basic protein 1 (PB1), polymerase basic protein 2 (PB2), polymerase acidic protein (PA), and nonstructural protein 2 (NS2). The HA, NA, M1, and M2 proteins are membrane associated, whereas NP, PB1, PB2, PA, and NS2 are nucleocapsid 20 proteins. The M1 protein is the most abundant protein in influenza particles. The HA and NA proteins are envelope glycoproteins, responsible for virus attachment and penetration of the viral particles into the cell, and the sources of the major immunodominant epitopes for virus neutralization and protective immunity. Both HA and NA proteins are considered the most important components for prophylactic influenza vaccines.

25 Each year, seasonal influenza causes over 300,000 hospitalizations and 36,000 deaths in the U.S. alone (Simonsen *et al.*, *Lancet Infect Dis* 7:658-66, 2007). The emergence of the novel H1N1 influenza virus in 2009 demonstrated how quickly a new influenza pandemic can sweep across the world.

30 There are currently two influenza vaccine approaches licensed in the United States – the inactivated, split vaccine and the live-attenuated virus vaccine. The inactivated vaccines can efficiently induce humoral immune responses but generally only poor cellular immune responses. Live virus vaccines cannot be administered to immunocompromised or pregnant patients due to

their increased risk of infection. Thus, a need exists for a broadly protective influenza virus vaccine.

SUMMARY

5 Disclosed herein is the generation of computationally-optimized H5N1 and H1N1 influenza HA polypeptides for eliciting a broadly reactive immune response to influenza virus. The optimized HA polypeptides were developed through a series of HA protein alignments, and subsequent generation of consensus sequences based on selected H5N1 and H1N1 influenza virus isolates.

10 Provided herein are recombinant influenza HA polypeptides having an optimized amino acid sequence for eliciting a broadly reactive immune response against H5N1 or H1N1 influenza, wherein the HA polypeptide comprises or consists of the amino acid sequence of SEQ ID NO: 1 or SEQ ID NO: 2. In some embodiments, the influenza HA polypeptide lacks the N-terminal methionine residue.

15 Isolated nucleic acid molecules and vectors encoding the recombinant HA polypeptides are also provided by the present disclosure. Further provided are isolated cells comprising such vectors.

Also provided are influenza virus-like particles (VLPs) and fusion proteins comprising the optimized HA polypeptides disclosed herein.

20 Further provided are compositions that include the optimized influenza HA polypeptides, fusion proteins or VLPs disclosed herein in a pharmaceutically acceptable carrier. Methods of eliciting an immune response against influenza virus in a subject by administering the disclosed compositions, fusion proteins or VLPs are also provided by the present disclosure.

25 Also provided are methods of immunizing a subject against influenza virus by administering to the subject a composition comprising a VLP that contains an optimized HA polypeptide.

30 The foregoing and other objects, features, and advantages of the invention will become more apparent from the following detailed description, which proceeds with reference to the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic summarizing the process for generating a COBRA HA sequence using 426 human H5N1 isolates from clades 0, 1, 2.1, 2.2, 2.3 and 7, which is referred to herein as the “PATH H5N1 COBRA” HA.

5 **FIG. 2** is a schematic summarizing the process for generating a COBRA HA sequence using 205 human and swine H1N1 influenza virus isolates, which is referred to herein as the “PATH H1N1 COBRA” HA.

10 **FIG. 3** is a graph showing HAI titers against clade 1 and clade 2 challenge strains following vaccination with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing a human clade 2 influenza virus COBRA HA sequence (Human COBRA-2), or VLPs containing Whooper Swan (A/Whooper Swan/Mongolia/244/2005) influenza virus HA. Vaccinations (3 μ g) were performed at 0 and 3 weeks with adjuvant (ImjectTM).

15 **FIG. 4** is a graph showing body weight of animals vaccinated with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA sequence, or Whooper Swan VLPs and subsequently challenged with 5000 PFU Indonesia clade 2.1 virus (A/Indonesia/5/2005). Vaccinations (3 μ g) were performed at 0 and 3 weeks with adjuvant (ImjectTM); virus challenge occurred during week 5.

20 **FIG. 5** is a graph showing body weight of animals vaccinated with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1) and subsequently challenged with 5000 PFU Vietnam clade 1 virus (A/Vietnam/1203/2004). Vaccinations (3 μ g) were performed at 0 and 3 weeks with adjuvant (ImjectTM); virus challenge occurred during week 5.

25 **FIG. 6** is a graph showing body weight of animals vaccinated with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA sequence, or Whooper Swan VLPs, and subsequently challenged with Vietnam clade 1 virus. A single vaccination (3 μ g) was performed at week 0 with adjuvant (ImjectTM), followed by virus challenge during week 4.

30 **FIG. 7** is a graph showing percent survival of animals vaccinated with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA sequence, or Whooper Swan VLPs, and subsequently challenged with Vietnam clade 1 virus. A single vaccination (3 μ g) was performed at week 0 without adjuvant, followed by virus challenge during week 4.

FIG. 8 is a graph showing body weight of animals vaccinated with VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA

sequence, or Whooper Swan VLPs, and subsequently challenged with Vietnam clade 1 virus. A single vaccination at a dose of 0.6 µg was performed at week 0 with adjuvant (ImjectTM), followed by virus challenge during week 4.

5

SEQUENCE LISTING

The amino acid sequences listed in the accompanying sequence listing are shown using three letter code for amino acids, as defined in 37 C.F.R. 1.822. The Sequence Listing is submitted as an ASCII text file, created on February 7, 2013, 10.0 KB, which is incorporated by reference herein. In the accompanying sequence listing:

10 **SEQ ID NO: 1** is a COBRA amino acid sequence for H5N1 influenza HA (“PATH H5N1 COBRA”).

SEQ ID NO: 2 is a COBRA amino acid sequence for H1N1 influenza HA (“PATH H1N1 COBRA”).

15

DETAILED DESCRIPTION

I. Abbreviations

COBRA: computationally optimized broadly reactive antigen

HA: hemagglutinin

HAI: hemagglutination inhibition

20 HRP: horseradish peroxidase

M1: matrix protein 1

NA: neuraminidase

PFU: plaque form unit

VLP: virus-like particle

25

II. Terms and Methods

Unless otherwise noted, technical terms are used according to conventional usage.

Definitions of common terms in molecular biology may be found in Benjamin Lewin, *Genes V*, published by Oxford University Press, 1994 (ISBN 0-19-854287-9); Kendrew *et al.* (eds.), *The Encyclopedia of Molecular Biology*, published by Blackwell Science Ltd., 1994 (ISBN 0-632-02182-9); and Robert A. Meyers (ed.), *Molecular Biology and Biotechnology: a Comprehensive Desk Reference*, published by VCH Publishers, Inc., 1995 (ISBN 1-56081-569-8).

In order to facilitate review of the various embodiments of the disclosure, the following explanations of specific terms are provided:

5 Adjuvant: A substance or vehicle that non-specifically enhances the immune response to an antigen. Adjuvants can include a suspension of minerals (alum, aluminum hydroxide, or phosphate) on which antigen is adsorbed; or water-in-oil emulsion in which antigen solution is emulsified in mineral oil (for example, Freund's incomplete adjuvant), sometimes with the inclusion of killed mycobacteria (Freund's complete adjuvant) to further enhance antigenicity. Immunostimulatory oligonucleotides (such as those including a CpG motif) can also be used as adjuvants (for example, see U.S. Patent Nos. 6,194,388; 6,207,646; 6,214,806; 6,218,371; 10 6,239,116; 6,339,068; 6,406,705; and 6,429,199). Adjuvants also include biological molecules, such as costimulatory molecules. Exemplary biological adjuvants include IL-2, RANTES, GM-CSF, TNF- α , IFN- γ , G-CSF, LFA-3, CD72, B7-1, B7-2, OX-40L and 41 BBL.

15 Administer: As used herein, administering a composition to a subject means to give, apply or bring the composition into contact with the subject. Administration can be accomplished by any of a number of routes, such as, for example, topical, oral, subcutaneous, intramuscular, intraperitoneal, intravenous, intrathecal and intradermal.

20 Antibody: An immunoglobulin molecule produced by B lymphoid cells with a specific amino acid sequence. Antibodies are evoked in humans or other animals by a specific antigen (immunogen). Antibodies are characterized by reacting specifically with the antigen in some demonstrable way, antibody and antigen each being defined in terms of the other. "Eliciting an antibody response" refers to the ability of an antigen or other molecule to induce the production of antibodies.

25 Antigen: A compound, composition, or substance that can stimulate the production of antibodies or a T-cell response in an animal, including compositions that are injected or absorbed into an animal. An antigen reacts with the products of specific humoral or cellular immunity, including those induced by heterologous immunogens. In some embodiments of the disclosed compositions and methods, the antigen is an influenza HA protein.

30 Codon-optimized: A "codon-optimized" nucleic acid refers to a nucleic acid sequence that has been altered such that the codons are optimal for expression in a particular system (such as a particular species or group of species). For example, a nucleic acid sequence can be optimized for expression in mammalian cells. Codon optimization does not alter the amino acid sequence of the encoded protein.

Fusion protein: A protein generated by expression of a nucleic acid sequence engineered from nucleic acid sequences encoding at least a portion of two different (heterologous) proteins. To create a fusion protein, the nucleic acid sequences must be in the same reading frame and contain no internal stop codons. For example, a fusion protein can include an influenza HA fused 5 to a heterologous protein.

Hemagglutinin (HA): An influenza virus surface glycoprotein. HA mediates binding of the virus particle to a host cells and subsequent entry of the virus into the host cell. The nucleotide and amino acid sequences of numerous influenza HA proteins are known in the art and are publically available, such as through the NCBI Influenza Virus Resource database (Bao *et al.*, *J 10 Virol* 82:596-601, 2008). HA (along with NA) is one of the two major influenza virus antigenic determinants.

Immune response: A response of a cell of the immune system, such as a B-cell, T-cell, macrophage or polymorphonucleocyte, to a stimulus such as an antigen or vaccine. An immune response can include any cell of the body involved in a host defense response, including for 15 example, an epithelial cell that secretes an interferon or a cytokine. An immune response includes, but is not limited to, an innate immune response or inflammation. As used herein, a protective immune response refers to an immune response that protects a subject from infection (prevents infection or prevents the development of disease associated with infection). Methods of measuring immune responses are well known in the art and include, for example, measuring proliferation 20 and/or activity of lymphocytes (such as B or T cells), secretion of cytokines or chemokines, inflammation, antibody production and the like.

Immunogen: A compound, composition, or substance which is capable, under appropriate conditions, of stimulating an immune response, such as the production of antibodies or a T-cell response in an animal, including compositions that are injected or absorbed into an animal. As 25 used herein, an “immunogenic composition” is a composition comprising an immunogen (such as an HA polypeptide).

Immunize: To render a subject protected from an infectious disease, such as by vaccination.

Influenza virus: A segmented negative-strand RNA virus that belongs to the 30 *Orthomyxoviridae* family. There are three types of influenza viruses, A, B and C. Influenza A viruses infect a wide variety of birds and mammals, including humans, horses, marine mammals, pigs, ferrets, and chickens. In animals, most influenza A viruses cause mild localized infections of the respiratory and intestinal tract. However, highly pathogenic influenza A strains, such as H5N1,

cause systemic infections in poultry in which mortality may reach 100%. In 2009, H1N1 influenza was the most common cause of human influenza. A new strain of swine-origin H1N1 emerged in 2009 and was declared pandemic by the World Health Organization. This strain was referred to as "swine flu." H1N1 influenza A viruses were also responsible for the Spanish flu pandemic in 1918, 5 the Fort Dix outbreak in 1976, and the Russian flu epidemic in 1977-1978.

Isolated: An "isolated" biological component (such as a nucleic acid, protein or virus) has been substantially separated or purified away from other biological components (such as cell debris, or other proteins or nucleic acids). Biological components that have been "isolated" include those components purified by standard purification methods. The term also embraces recombinant nucleic acids, proteins 10 or viruses (or VLPs), as well as chemically synthesized nucleic acids or peptides.

Linker: One or more amino acids that serve as a spacer between two polypeptides of a fusion protein.

Matrix (M1) protein: An influenza virus structural protein found within the viral envelope. M1 is thought to function in assembly and budding.

15 Neuraminidase (NA): An influenza virus membrane glycoprotein. NA is involved in the destruction of the cellular receptor for the viral HA by cleaving terminal sialic acid residues from carbohydrate moieties on the surfaces of infected cells. NA also cleaves sialic acid residues from viral proteins, preventing aggregation of viruses. NA (along with HA) is one of the two major influenza virus antigenic determinants.

20 Operably linked: A first nucleic acid sequence is operably linked with a second nucleic acid sequence when the first nucleic acid sequence is placed in a functional relationship with the second nucleic acid sequence. For instance, a promoter is operably linked to a coding sequence if the promoter affects the transcription or expression of the coding sequence. Generally, operably linked DNA sequences are contiguous and, where necessary to join two protein-coding regions, in the same reading 25 frame.

30 Optimized influenza HA protein: As used herein, "optimized influenza HA protein" refers to an HA protein consensus sequence generated by sequence alignments of H5N1 and H1N1 influenza viruses isolates (as described in Examples 1 and 2 below). Nucleotide sequences encoding optimized HA proteins are further optimized for expression in mammalian cells via codon-optimization and RNA optimization (such as to increase RNA stability). Optimized influenza HA proteins disclosed herein (and set forth herein as SEQ ID NO: 1 and SEQ ID NO: 2) are also referred to as "COBRA" (computationally-optimized broadly reactive antigen) sequences. Optimized HA polypeptides are designed to elicit broadly reactive immune responses in a subject. In the context of the present

disclosure, “broadly reactive” means the protein sequence elicits an immune response in a subject that is sufficient to inhibit, neutralize or prevent infection of a broad range of influenza viruses (such as most or all influenza viruses within a specific subtype). In some instances, the optimized influenza HA protein is capable of eliciting an immune response, such as a protective immune response, against most or all 5 H5N1 influenza virus isolates, or most or all H1N1 influenza virus isolates.

Outbreak: As used herein, an influenza virus “outbreak” refers to a collection of virus isolates from within a single country in a given year.

Pharmaceutically acceptable vehicles: The pharmaceutically acceptable carriers (vehicles) useful in this disclosure are conventional. *Remington's Pharmaceutical Sciences*, by E. 10 W. Martin, Mack Publishing Co., Easton, PA, 15th Edition (1975), describes compositions and formulations suitable for pharmaceutical delivery of one or more therapeutic compositions, such as one or more influenza vaccines, and additional pharmaceutical agents.

In general, the nature of the carrier will depend on the particular mode of administration being employed. For instance, parenteral formulations usually comprise injectable fluids that 15 include pharmaceutically and physiologically acceptable fluids such as water, physiological saline, balanced salt solutions, aqueous dextrose, glycerol or the like as a vehicle. For solid compositions (for example, powder, pill, tablet, or capsule forms), conventional non-toxic solid carriers can include, for example, pharmaceutical grades of mannitol, lactose, starch, or magnesium stearate. In addition to biologically-neutral carriers, pharmaceutical compositions to be administered can 20 contain minor amounts of non-toxic auxiliary substances, such as wetting or emulsifying agents, preservatives, and pH buffering agents and the like, for example sodium acetate or sorbitan monolaurate.

Polypeptide: A polymer in which the monomers are amino acid residues which are joined together through amide bonds. When the amino acids are alpha-amino acids, either the L-optical 25 isomer or the D-optical isomer can be used. The terms “polypeptide” or “protein” as used herein are intended to encompass any amino acid sequence and include modified sequences such as glycoproteins. The term “polypeptide” is specifically intended to cover naturally occurring proteins, as well as those which are recombinantly or synthetically produced. The term “residue” or “amino acid residue” includes reference to an amino acid that is incorporated into a protein, 30 polypeptide, or peptide.

Preventing, treating or ameliorating a disease: “Preventing” a disease refers to inhibiting the full development of a disease. “Treating” refers to a therapeutic intervention that

ameliorates a sign or symptom of a disease or pathological condition after it has begun to develop. “Ameliorating” refers to the reduction in the number or severity of signs or symptoms of a disease.

Promoter: A promoter is an array of nucleic acid control sequences which direct transcription of a nucleic acid. A promoter includes necessary nucleic acid sequences near the start site of transcription. A promoter also optionally includes distal enhancer or repressor elements. A “constitutive promoter” is a promoter that is continuously active and is not subject to regulation by external signals or molecules. In contrast, the activity of an “inducible promoter” is regulated by an external signal or molecule (for example, a transcription factor). In some embodiments herein, the promoter is a CMV promoter.

Purified: The term “purified” does not require absolute purity; rather, it is intended as a relative term. Thus, for example, a purified peptide, protein, virus, VLP or other active compound is one that is isolated in whole or in part from naturally associated proteins and other contaminants. In certain embodiments, the term “substantially purified” refers to a peptide, protein, virus, VLP or other active compound that has been isolated from a cell, cell culture medium, or other crude preparation and subjected to fractionation to remove various components of the initial preparation, such as proteins, cellular debris, and other components.

Recombinant: A recombinant nucleic acid, protein, virus or VLP is one that has a sequence that is not naturally occurring or has a sequence that is made by an artificial combination of two otherwise separated segments of sequence. This artificial combination is often accomplished by chemical synthesis or by the artificial manipulation of isolated segments of nucleic acids, for example, by genetic engineering techniques.

Subject: Living multi-cellular vertebrate organisms, a category that includes both human and non-human mammals, such as non-human primates.

Therapeutically effective amount: A quantity of a specified agent sufficient to achieve a desired effect in a subject being treated with that agent. For example, this may be the amount of an influenza virus vaccine useful for eliciting an immune response in a subject and/or for preventing infection or disease caused by influenza virus. Ideally, in the context of the present disclosure, a therapeutically effective amount of an influenza vaccine is an amount sufficient to increase resistance to, prevent, ameliorate, and/or treat infection caused by influenza virus in a subject without causing a substantial cytotoxic effect in the subject. The effective amount of an influenza vaccine useful for increasing resistance to, preventing, ameliorating, and/or treating infection in a subject will be dependent on, for example, the subject being treated, the manner of administration of the therapeutic composition and other factors.

Transformed: A transformed cell is a cell into which has been introduced a nucleic acid molecule by molecular biology techniques. As used herein, the term transformation encompasses all techniques by which a nucleic acid molecule might be introduced into such a cell, including transfection with viral vectors, transformation with plasmid vectors, and introduction of naked 5 DNA by electroporation, lipofection, and particle gun acceleration.

Vaccine: A preparation of immunogenic material capable of stimulating an immune response, administered for the prevention, amelioration, or treatment of disease, such as an infectious disease. The immunogenic material may include, for example, attenuated or killed 10 microorganisms (such as attenuated viruses), or antigenic proteins (including VLPs), peptides or DNA derived from them. Vaccines may elicit both prophylactic (preventative) and therapeutic responses. Methods of administration vary according to the vaccine, but may include inoculation, ingestion, inhalation or other forms of administration. Inoculations can be delivered by any of a number of routes, including parenteral, such as intravenous, subcutaneous or intramuscular. Vaccines may be administered with an adjuvant to boost the immune response.

Vector: A vector is a nucleic acid molecule allowing insertion of foreign nucleic acid 15 without disrupting the ability of the vector to replicate and/or integrate in a host cell. A vector can include nucleic acid sequences that permit it to replicate in a host cell, such as an origin of replication. An insertional vector is capable of inserting itself into a host nucleic acid. A vector can also include one or more selectable marker genes and other genetic elements. An expression 20 vector is a vector that contains the necessary regulatory sequences to allow transcription and translation of an inserted gene or genes. In some embodiments of the present disclosure, the vector encodes an influenza HA, NA or M1 protein. In some embodiments, the vector is the pTR600 expression vector (U.S. Patent Application Publication No. 2002/0106798; Ross *et al.*, *Nat Immunol.* 1(2):102-103, 2000; Green *et al.*, *Vaccine* 20:242-248, 2001).

Virus-like particle (VLP): Virus particles made up of one or more viral structural 25 proteins, but lacking the viral genome. Because VLPs lack a viral genome, they are non-infectious. In addition, VLPs can often be produced by heterologous expression and can be easily purified. Most VLPs comprise at least a viral core protein that drives budding and release of particles from a host cell. One example of such a core protein is influenza M1. In some embodiments herein, an 30 influenza VLP comprises the HA, NA and/or M1 proteins. Influenza VLPs can be produced by transfection of host cells with plasmids encoding the HA and NA proteins, and optionally the M1 protein. After incubation of the transfected cells for an appropriate time to allow for protein expression (such as for approximately 72 hours), VLPs can be isolated from cell culture

supernatants. Example 4 provides an exemplary protocol for purifying influenza VLPs from cell supernatants. In this example, VLPs are isolated by low speed centrifugation (to remove cell debris), vacuum filtration and ultracentrifugation through 20% glycerol. Other methods of producing influenza VLPs are known in the art (see, for example, U.S. Patent Application 5 Publication Nos. 2006/0263804; 2008/0031895; 2010/0166769; and 2010/0239610).

Unless otherwise explained, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this disclosure belongs. The singular terms “a,” “an,” and “the” include plural referents unless context clearly 10 indicates otherwise. “Comprising A or B” means including A, or B, or A and B. It is further to be understood that all base sizes or amino acid sizes, and all molecular weight or molecular mass values, given for nucleic acids or polypeptides are approximate, and are provided for description. Although methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present disclosure, suitable methods and materials are described below. 15 All publications, patent applications, patents, and other references mentioned herein are incorporated by reference in their entirety. In case of conflict, the present specification, including explanations of terms, will control. In addition, the materials, methods, and examples are illustrative only and not intended to be limiting.

20 III. Overview of Several Embodiments

Disclosed herein is the generation of computationally-optimized H5N1 and H1N1 influenza HA polypeptides for eliciting a broadly reactive immune response to influenza virus. The 25 optimized HA polypeptides were developed through a series of HA protein alignments, and subsequent generation of consensus sequences based on selected H5N1 and H1N1 influenza virus isolates. The methods used to generate the optimized HA consensus sequences are described in Examples 1 and 2, and shown in FIGS. 1 and 2. The amino acid sequences of 2 specific HA polypeptides are set forth herein as SEQ ID NO: 1 (H5N1) and SEQ ID NO: 2 (H1N1). The H5N1 sequence was generated using 426 human H5N1 isolates from clades 0, 1, 2.1, 2.2, 2.3 and 7, and is referred to herein as the “PATH H5N1 COBRA” HA. The H1N1 sequence was generated using 30 205 human and swine H1N1 influenza virus isolates, and is referred to herein as the “PATH H1N1 COBRA” HA.

Provided herein are recombinant influenza HA polypeptides having an optimized amino acid sequence for eliciting a broadly reactive immune response against H5N1 or H1N1 influenza.

In some embodiments, the amino acid sequence of the HA polypeptide comprises or consists of the amino acid sequence of SEQ ID NO: 1 or SEQ ID NO: 2.

In other embodiments, the amino acid sequence of the HA polypeptide comprises or consists of the amino acid sequence of residues 2-568 of SEQ ID NO: 1, or residues 2-566 of SEQ 5 ID NO: 2.

Further provided are isolated nucleic acid molecules encoding a recombinant influenza HA polypeptide disclosed herein. In some embodiments, the nucleic acid molecule is codon-optimized for expression in mammalian cells. The nucleic acid molecule is optionally further optimized for RNA stability.

10 Vectors comprising the nucleic acid molecules encoding recombinant HA polypeptides are also provided by the present disclosure. The vector can be any suitable vector for expression of the HA polypeptide, such as a mammalian expression vector. In particular examples, the vector is the pTR600 expression vector (U.S. Patent Application Publication No. 2002/0106798, herein incorporated by reference; Ross *et al.*, *Nat Immunol.* 1(2):102-103, 2000; Green *et al.*, *Vaccine* 15 20:242-248, 2001).

In some examples, the vector includes a promoter operably linked to the nucleic acid sequence encoding the HA polypeptide. In particular examples, the promoter is a CMV promoter.

Also provided are isolated cells comprising the disclosed vectors. In some cases, the cell is any suitable cell type for production and expression of VLPs, such as a mammalian cell.

20 Further provided are influenza VLPs comprising an optimized HA polypeptide disclosed herein. The influenza VLPs can further include any additional influenza proteins necessary to form the virus particle. In some embodiments, the influenza VLPs further include influenza neuraminidase (NA) protein, influenza matrix (M1) protein, or both.

Also provided are influenza VLPs comprising an influenza HA polypeptide disclosed 25 herein, produced by transfecting a host cell with a vector encoding the HA polypeptide, a vector encoding an influenza NA protein and a vector encoding an influenza M1 protein under conditions sufficient to allow for expression of the HA, M1 and NA proteins.

Fusion proteins comprising an optimized influenza HA polypeptide are further provided by the present disclosure.

30 Also provided herein are compositions comprising an optimized influenza HA protein as disclosed herein, or a fusion protein or VLP comprising the optimized influenza HA protein. In some embodiments, the compositions further comprise a pharmaceutically acceptable carrier and/or

an adjuvant. For example, the adjuvant can be alum, Freund's complete adjuvant, a biological adjuvant or immunostimulatory oligonucleotides (such as CpG oligonucleotides).

Further provided is a method of eliciting an immune response to influenza virus in a subject by administering an optimized influenza HA protein, a fusion protein comprising an optimized influenza HA, VLPs containing an optimized influenza HA, or compositions thereof, as disclosed herein. In some embodiments, the influenza virus is an H5N1 or H1N1 influenza virus. In some embodiments, the HA protein, HA fusion protein or VLP can be administered using any suitable route of administration, such as, for example, intramuscular, intranasal or oral. In some embodiments, the HA protein, fusion protein or VLP is administered as a composition further comprising a pharmaceutically acceptable carrier and/or an adjuvant. For example, the adjuvant can be alum, Freund's complete adjuvant, a biological adjuvant or immunostimulatory oligonucleotides (such as CpG oligonucleotides).

Also provided is a method of immunizing a subject against influenza virus by administering to the subject VLPs containing an optimized influenza HA protein disclosed herein, or administering a composition thereof. In some embodiments of the method, the composition further comprises a pharmaceutically acceptable carrier and/ or an adjuvant. For example, the adjuvant can be alum, Freund's complete adjuvant, a biological adjuvant or immunostimulatory oligonucleotides (such as CpG oligonucleotides). In some embodiments, the VLPs (or compositions thereof) are administered intramuscularly.

In some embodiments of the methods of eliciting an immune response or immunizing a subject, the subject is administered about 1 to about 25 μ g of the VLPs containing an optimized HA protein. In particular examples, the subject is administered about 5 to about 20 μ g of the VLPs, or about 10 to about 15 μ g of the VLPs. In one specific non-limiting example, the subject is administered about 15 μ g of the VLPs. However, one of skill in the art is capable of determining a therapeutically effective amount (for example an amount that provides protection against H5N1 or H1N1 influenza virus infection) of VLPs to administer to a subject.

IV. Influenza

Influenza viruses are segmented negative-strand RNA viruses that belong to the *Orthomyxoviridae* family. There are three types of Influenza viruses, A, B and C. Influenza A viruses infect a wide variety of birds and mammals, including humans, horses, marine mammals, pigs, ferrets, and chickens. In animals, most influenza A viruses cause mild localized infections of the respiratory and intestinal tract. However, highly pathogenic influenza A strains, such as H5N1,

cause systemic infections in poultry in which mortality may reach 100%. Animals infected with influenza A often act as a reservoir for the influenza viruses and certain subtypes have been shown to cross the species barrier to humans.

Influenza A viruses can be classified into subtypes based on allelic variations in antigenic regions of two genes that encode surface glycoproteins, namely, hemagglutinin (HA) and neuraminidase (NA) which are required for viral attachment and cellular release. Currently, sixteen subtypes of HA (H1-H16) and nine NA (N1-N9) antigenic variants are known for influenza A virus. Previously, only three subtypes were known to circulate in humans (H1N1, H1N2, and H3N2). However, in recent years, the pathogenic H5N1 subtype of avian influenza A has been reported to cross the species barrier and infect humans as documented in Hong Kong in 1997 and 10 2003, leading to the death of several patients.

In 2009, H1N1 influenza was the most common cause of human influenza. A new strain of swine-origin H1N1 emerged in 2009 and was declared pandemic by the World Health Organization. This strain was referred to as "swine flu." H1N1 influenza A viruses were also 15 responsible for the Spanish flu pandemic in 1918, the Fort Dix outbreak in 1976, and the Russian flu epidemic in 1977-1978.

The influenza virus segmented genome contains eight negative-sense RNA (nsRNA) gene segments (PB2, PB1, PA, NP, M, NS, HA and NA) that encode at least ten polypeptides, including RNA-directed RNA polymerase proteins (PB2, PB1 and PA), nucleoprotein (NP), neuraminidase 20 (NA), hemagglutinin (subunits HA1 and HA2), the matrix proteins (M1 and M2) and the non-structural proteins (NS1 and NS2) (Krug *et al.*, *In "The Influenza Viruses,"* R. M. Krug, ed., Plenum Press, N.Y., 1989, pp. 89 152).

Influenza virus' ability to cause widespread disease is due to its ability to evade the immune system by undergoing antigenic change, which is believed to occur when a host is infected 25 simultaneously with both an animal influenza virus and a human influenza virus. During mutation and reassortment in the host, the virus may incorporate an HA and/or NA surface protein gene from another virus into its genome, thereby producing a new influenza subtype and evading the immune system.

HA is a viral surface glycoprotein generally comprising approximately 560 amino acids and 30 representing 25% of the total virus protein. It is responsible for adhesion of the viral particle to, and its penetration into, a host cell in the early stages of infection. Cleavage of the virus HA0 precursor into the HA1 and HA2 sub-fragments is a necessary step in order for the virus to infect a cell. Thus, cleavage is required in order to convert new virus particles in a host cell into virions

capable of infecting new cells. Cleavage is known to occur during transport of the integral HA0 membrane protein from the endoplasmic reticulum of the infected cell to the plasma membrane. In the course of transport, hemagglutinin undergoes a series of co- and post-translational modifications including proteolytic cleavage of the precursor HA into the amino-terminal fragment 5 HA1 and the carboxy terminal HA2. One of the primary difficulties in growing influenza strains in primary tissue culture or established cell lines arises from the requirement for proteolytic cleavage activation of the influenza hemagglutinin in the host cell.

Although it is known that an uncleaved HA can mediate attachment of the virus to its neuraminic acid-containing receptors on a cell surface, it is not capable of the next step in the 10 infectious cycle, which is fusion. It has been reported that exposure of the hydrophobic amino terminus of HA2 by cleavage is required so that it can be inserted into the target cell, thereby forming a bridge between virus and target cell membrane. This process is followed by fusion of the two membranes and entry of the virus into the target cell.

Proteolytic activation of HA involves cleavage at an arginine residue by a trypsin-like 15 endoprotease, which is often an intracellular enzyme that is calcium dependent and has a neutral pH optimum. Since the activating proteases are cellular enzymes, the infected cell type determines whether the HA is cleaved. The HA of the mammalian influenza viruses and the nonpathogenic avian influenza viruses are susceptible to proteolytic cleavage only in a restricted number of cell types. On the other hand, HA of pathogenic avian viruses among the H5 and H7 subtypes are 20 cleaved by proteases present in a broad range of different host cells. Thus, there are differences in host range resulting from differences in hemagglutinin cleavability which are correlated with the pathogenic properties of the virus.

Neuraminidase (NA) is a second membrane glycoprotein of the influenza viruses. The 25 presence of viral NA has been shown to be important for generating a multi-faceted protective immune response against an infecting virus. For most influenza A viruses, NA is 413 amino acids in length, and is encoded by a gene of 1413 nucleotides. Nine different NA subtypes have been identified in influenza viruses (N1, N2, N3, N4, N5, N6, N7, N8 and N9), all of which have been found among wild birds. NA is involved in the destruction of the cellular receptor for the viral HA by cleaving terminal neuraminic acid (also called sialic acid) residues from carbohydrate moieties 30 on the surfaces of infected cells. NA also cleaves sialic acid residues from viral proteins, preventing aggregation of viruses. Using this mechanism, it is hypothesized that NA facilitates release of viral progeny by preventing newly formed viral particles from accumulating along the cell membrane, as well as by promoting transportation of the virus through the mucus present on

the mucosal surface. NA is an important antigenic determinant that is subject to antigenic variation.

In addition to the surface proteins HA and NA, influenza virus comprises six additional internal genes, which give rise to eight different proteins, including polymerase genes PB1, PB2 and PA, matrix proteins M1 and M2, nucleoprotein (NP), and non-structural proteins NS1 and NS2 (Horimoto *et al.*, *Clin Microbiol Rev.* 14(1):129-149, 2001).

In order to be packaged into progeny virions, viral RNA is transported from the nucleus as a ribonucleoprotein (RNP) complex composed of the three influenza virus polymerase proteins, the nucleoprotein (NP), and the viral RNA, in association with the influenza virus matrix 1 (M1) protein and nuclear export protein (Marsh *et al.*, *J Virol.* 82:2295-2304, 2008). The M1 protein that lies within the envelope is thought to function in assembly and budding. A limited number of M2 proteins are integrated into the virions (Zebedee, *J. Virol.* 62:2762-2772, 1988). They form tetramers having H⁺ ion channel activity, and when activated by the low pH in endosomes, acidify the inside of the virion, facilitating its uncoating (Pinto *et al.*, *Cell* 69:517-528, 1992). Amantadine is an anti-influenza drug that prevents viral infection by interfering with M2 ion channel activity, thus inhibiting virus uncoating.

NS1, a nonstructural protein, has multiple functions, including regulation of splicing and nuclear export of cellular mRNAs as well as stimulation of translation. The major function of NS1 seems to be to counteract the interferon activity of the host, since an NS1 knockout virus was viable although it grew less efficiently than the parent virus in interferon-nondefective cells (Garcia-Sastre, *Virology* 252:324-330, 1998).

NS2 has been detected in virus particles (Richardson *et al.*, *Arch. Virol.* 116:69-80, 1991; Yasuda *et al.*, *Virology* 196:249-255, 1993). The average number of NS2 proteins in a virus particle was estimated to be 130-200 molecules. An *in vitro* binding assay shows direct protein-protein contact between M1 and NS2. NS2-M1 complexes have also been detected by immunoprecipitation in virus-infected cell lysates. The NS2 protein is thought to play a role in the export of RNP from the nucleus through interaction with M1 protein (Ward *et al.*, *Arch. Virol.* 140:2067-2073, 1995).

30 V. Influenza VLPs and Administration Thereof

Influenza VLPs comprising an optimized HA (such as the HA having the amino acid sequence set forth as SEQ ID NO: 1 or SEQ ID NO: 2) are provided herein. The influenza VLPs are generally made up of the HA, NA and M1 proteins. The production of influenza VLPs has

been described in the art and is within the abilities of one of ordinary skill in the art. For example, influenza VLPs can be produced by transfection of host cells with plasmids encoding the HA, NA and M1 proteins. After incubation of the transfected cells for an appropriate time to allow for protein expression (such as for approximately 72 hours), VLPs can be isolated from cell culture supernatants. Example 4 below provides an exemplary protocol for purifying influenza VLPs from cell supernatants. In this example, VLPs are isolated by low speed centrifugation (to remove cell debris), vacuum filtration and ultracentrifugation through 20% glycerol.

The influenza VLPs disclosed herein can be used as influenza vaccines to elicit a protective immune response against H5N1 and H1N1 influenza viruses.

Influenza VLPs, or compositions thereof, can be administered to a subject by any of the routes normally used for introducing recombinant virus into a subject. Methods of administration include, but are not limited to, intradermal, intramuscular, intraperitoneal, parenteral, intravenous, subcutaneous, vaginal, rectal, intranasal, inhalation or oral. Parenteral administration, such as subcutaneous, intravenous or intramuscular administration, is generally achieved by injection.

Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid forms suitable for solution or suspension in liquid prior to injection, or as emulsions. Injection solutions and suspensions can be prepared from sterile powders, granules, and tablets of the kind previously described. Administration can be systemic or local.

Influenza VLPs, or compositions thereof, are administered in any suitable manner, such as with pharmaceutically acceptable carriers. Pharmaceutically acceptable carriers are determined in part by the particular composition being administered, as well as by the particular method used to administer the composition. Accordingly, there is a wide variety of suitable formulations of pharmaceutical compositions of the present disclosure.

Preparations for parenteral administration include sterile aqueous or non-aqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are propylene glycol, polyethylene glycol, vegetable oils such as olive oil, and injectable organic esters such as ethyl oleate. Aqueous carriers include water, alcoholic/aqueous solutions, emulsions or suspensions, including saline and buffered media. Parenteral vehicles include sodium chloride solution, Ringer's dextrose, dextrose and sodium chloride, lactated Ringer's, or fixed oils. Intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers (such as those based on Ringer's dextrose), and the like. Preservatives and other additives may also be present such as, for example, antimicrobials, anti-oxidants, chelating agents, and inert gases and the like.

Some of the compositions may potentially be administered as a pharmaceutically acceptable acid- or base-addition salt, formed by reaction with inorganic acids such as hydrochloric acid, hydrobromic acid, perchloric acid, nitric acid, thiocyanic acid, sulfuric acid, and phosphoric acid, and organic acids such as formic acid, acetic acid, propionic acid, glycolic acid, lactic acid, pyruvic acid, oxalic acid, malonic acid, succinic acid, maleic acid, and fumaric acid, or by reaction with an inorganic base such as sodium hydroxide, ammonium hydroxide, potassium hydroxide, and organic bases such as mono-, di-, trialkyl and aryl amines and substituted ethanolamines.

Administration can be accomplished by single or multiple doses. The dose administered to a subject in the context of the present disclosure should be sufficient to induce a beneficial therapeutic response in a subject over time, or to inhibit or prevent H5N1 or H1N1 influenza virus infection. The dose required will vary from subject to subject depending on the species, age, weight and general condition of the subject, the severity of the infection being treated, the particular composition being used and its mode of administration. An appropriate dose can be determined by one of ordinary skill in the art using only routine experimentation.

Provided herein are pharmaceutical compositions which include a therapeutically effective amount of the influenza VLPs alone or in combination with a pharmaceutically acceptable carrier. Pharmaceutically acceptable carriers include, but are not limited to, saline, buffered saline, dextrose, water, glycerol, ethanol, and combinations thereof. The carrier and composition can be sterile, and the formulation suits the mode of administration. The composition can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents. The composition can be a liquid solution, suspension, emulsion, tablet, pill, capsule, sustained release formulation, or powder. The composition can be formulated as a suppository, with traditional binders and carriers such as triglycerides. Oral formulations can include standard carriers such as pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, and magnesium carbonate. Any of the common pharmaceutical carriers, such as sterile saline solution or sesame oil, can be used. The medium can also contain conventional pharmaceutical adjunct materials such as, for example, pharmaceutically acceptable salts to adjust the osmotic pressure, buffers, preservatives and the like. Other media that can be used with the compositions and methods provided herein are normal saline and sesame oil.

The influenza VLPs described herein can be administered alone or in combination with other therapeutic agents to enhance antigenicity. For example, the influenza VLPs can be administered with an adjuvant, such as Freund incomplete adjuvant or Freund's complete adjuvant.

5 Optionally, one or more cytokines, such as IL-2, IL-6, IL-12, RANTES, GM-CSF, TNF- α , or IFN- γ , one or more growth factors, such as GM-CSF or G-CSF; one or more molecules such as OX-40L or 41 BBL, or combinations of these molecules, can be used as biological adjuvants (see, for example, Salgaller *et al.*, 1998, *J. Surg. Oncol.* 68(2):122-38; Lotze *et al.*, 2000, *Cancer J. Sci. Am.* 6(Suppl 1):S61-6; Cao *et al.*, 1998, *Stem Cells* 16(Suppl 1):251-60; Kuiper *et al.*, 2000, *Adv. Exp. Med. Biol.* 465:381-90). These molecules can be administered systemically (or locally) to the host.

10 A number of means for inducing cellular responses, both *in vitro* and *in vivo*, are known. Lipids have been identified as agents capable of assisting in priming CTL *in vivo* against various antigens. For example, as described in U.S. Patent No. 5,662,907, palmitic acid residues can be attached to the alpha and epsilon amino groups of a lysine residue and then linked (for example, via one or more linking residues, such as glycine, glycine-glycine, serine, serine-serine, or the like) to an immunogenic peptide. The lipidated peptide can then be injected directly in a micellar form, incorporated in a liposome, or emulsified in an adjuvant. As another example, *E. coli* lipoproteins, 15 such as tripalmitoyl-S-glycerylcysteinylseryl-serine can be used to prime tumor specific CTL when covalently attached to an appropriate peptide (see, Deres *et al.*, *Nature* 342:561, 1989). Further, as the induction of neutralizing antibodies can also be primed with the same molecule conjugated to a peptide which displays an appropriate epitope, two compositions can be combined to elicit both humoral and cell-mediated responses where that is deemed desirable.

20 Although administration of VLPs containing an optimized HA protein is exemplified herein, one of skill in the art would understand that it is also possible to administer the optimized influenza HA protein itself (in the absence of a viral particle) or as a fusion protein to elicit an immune response in a subject.

25 The following examples are provided to illustrate certain particular features and/or embodiments. These examples should not be construed to limit the disclosure to the particular features or embodiments described.

EXAMPLES

30 **Example 1: Generation of an H5N1 Influenza COBRA Sequence**

This example describes the generation of a H5N1 influenza HA COBRA consensus sequence using 426 human H5N1 influenza HA sequences from clades 0, 1, 2.1, 2.2, 2.3 and 7. The resultant COBRA sequence is referred to as “PATH H5N1 COBRA.”

To generate the final H5N1 influenza HA COBRA sequence, three layers of consensus sequences were generated (FIG. 1). In the first layer, six individual consensus sequences were generated using (1) 5 clade 0 isolates; (2) 86 clade 1 isolates; (3) 106 clade 2.1 isolates; (4) 97 clade 2.2 isolates; (5) 34 clade 2.3 isolates; and (6) 1 clade 7 isolate. In the second layer, a clade 2 consensus sequence was generated using the three clade 2 consensus sequences generated in the first layer (clade 2.1, clade 2.2 and clade 2.3 consensus sequences). A final consensus sequence was generated using the individual clade 0, clade 1 and clade 7 consensus sequences generated in the first layer and the clade 2 consensus sequence generated in the second layer. The PATH H5N1 COBRA sequence is shown below and is set forth herein as SEQ ID NO: 1.

10

PATH H5N1 COBRA HA (SEQ ID NO: 1)

MEKIVLLAIVSLVKSDQICIGYHANNSTEQVDTIMEKNVTVTHAQDILEKTHNGKLCDLD
GVKPLILRDCSAGWLLGNPMCDFINVPEWSYIVEKASPANDLCYPGNFNDYEELKHLLS
RINHFEKIQIIPKSSWSNHEASSGVSSACPYQGRSSFFRNVVWLIKKNSTYPTIKRSYNNTNQ
EDLLVLWGIHHPNDAAEQTKLYQNPTTYISVGTSTLNQRLVPKIATRSKVNGQSGRMEFF
WTILKPNDAINFESNGNFIAPHEYAYKIVKKGDSTIMKSELEYGNCNTKCQTPMGAINSSMPF
HNIHPLTIGECPKYVKSNRVLATGLRNSPQRERRRKRGFLFGAIAGFIEGGWQGMVDGW
YGYHHSNEQGSGYAADKESTQKAIDGVTNKVNSIIDKMNTQFEAVGREFNNLERRIENLN
KKMEDGFLDVWTYNAELLVLMENERTLDFHDSNVKNLYDKVRLQLRDNAAELGNGCFE
FYHKCDNECMESVKNGTYDYPQYSEEARLNREEISGVKLESIGTYQILSIYSTVASSLALAI
MVAGLSLWMCSNGSLQCRICI

Example 2: Generation of an H1N1 Influenza COBRA Sequence

This example describes the generation of a H1N1 influenza HA COBRA consensus sequence using 205 human and swine H1N1 influenza HA sequences. The resultant COBRA sequence is referred to as “PATH H1N1 COBRA.”

To generate the final H1N1 influenza HA COBRA sequence, two layers of consensus sequences were generated (FIG. 2). In the first layer, five individual consensus sequences were generated using (1) 8 human strains isolated from 1933-1934; (2) 13 human strains isolated from 1935-1947; (3) 12 human strains isolated from 1948-1957; (4) 123 human strains isolated from 2009-2011; and (5) 49 swine strains. A final consensus sequence was produced using the five individual consensus sequences generated in the first layer. The PATH H1N1 COBRA sequence is shown below and is set forth herein as SEQ ID NO: 2.

35

PATH H1N1 COBRA HA (SEQ ID NO: 2)

MKARLLVLLCALAATDADTICIGYHANNSTDVTVDLKNTVTHSVNLLEDHNGKLCK
5 LKGIAPLQLGKCNIAGWLLGNPECESLLSARSWSYIVETPNSENGTCYPGDFIDYEELREQL
SSVSSFERFEIFPKESSWPNHTTKGVTAA�SHAGKSSFYRNLLWLTGGSYPKLSKSYV
10 NNKGKEVLVLWGVHHPSTSTDQQSLYQNEAYVSVVSSNNRRFTPEIAERPKVRGQAG
RMNYYWTLLEPGDTIIFEATGNLIAPWYAFALSRGSGSGIITSNASMHECNTKCQTPQGAIN
SSLPFQNIHPVTIGECPKYVRSTKLRMVTGLRNIPSIQSRLGAIAGFIEGGWTGMIDGWY
GYHHQNEQGSGYAADQKSTQNAINGITNKVNSVIEKMNTQFTAVGKEFNNLEKRMENLN
KKVDDGFLDIWTYNAELLVLLENERTLDHFHDNVKNLYEKVKSQRLRNNAKEIGNGCFEFY
15 HKCDNECMESVKNGTYDYPKYSEESKLNREKIDGVKLESMGVYQILAIYSTVASSLVLLVS
LGAISFWMCSNGSLQCRICI

Example 3: Codon-optimized COBRA gene sequences

The COBRA amino acid sequences disclosed herein can be reverse translated and optimized
15 for expression in mammalian cells, including codon usage and RNA optimization (GeneArt;
Regensburg, Germany). The optimized nucleic acid sequences can be inserted into an appropriate
expression vector, such as the pTR600 expression vector (U.S. Patent Application Publication No.
2002/0106798; Ross *et al.*, *Nat Immunol.* 1(2):102-103, 2000; Green *et al.*, *Vaccine* 20:242-248,
2001). Expression vectors encoding the codon-optimized COBRA gene sequences can be used, for
20 example, to generate VLPs containing the COBRA HA.

Example 4: Preparation of and immunization with influenza VLPs

The following methods can be used to produce and characterize influenza VLPs comprising
25 a COBRA HA. Exemplary methods for immunization of mice, ferrets and macaques are also
described below (see also, Giles and Ross, *Vaccine* 29(16):3043-3054, 2011).

Vaccine Preparation

293T cells are transiently transfected with plasmids expressing M1, NA and an optimized
30 HA, and incubated for 72 hours at 37°C. The M1, NA and HA coding sequences can be codon-
optimized for expression in mammalian cells. Supernatants are collected and cell debris is
removed by low speed centrifugation followed by vacuum filtration through a 0.22 µm sterile filter.
VLPs are purified via ultracentrifugation (100,000 x g through 20% glycerol, weight per volume)
35 for 4 hours at 4°C. The pellets are subsequently resuspended in PBS pH 7.2 and stored in single
use aliquots at -80°C until use. Total protein concentration is determined by Micro BCA™ Protein
Assay Reagent Kit (Pierce Biotechnology, Rockford, IL, USA).

Dose determination

HA specific content can be determined by western blot and densitometry. Purified recombinant COBRA HA and purified VLPs are prepared in standard total protein amounts and are electrophoresed on a 10% SDS-PAGE gel and transferred to a PVDF membrane. The blot is 5 probed with mouse polyclonal antisera from influenza infected mice and the HA-antibody complexes are detected using a goat anti-mouse IgG conjugated to horseradish peroxidase (HRP) (Southern Biotech; Birmingham, AL, USA). HRP is detected by chemiluminescent substrate (Pierce Biotechnology; Rockford IL, USA) and exposed to X-ray film (ThermoFisher; Pittsburgh, PA, USA). Density of bands is determined using ImageJ software (NIH). Density of recombinant 10 HA bands is used to calculate a standard curve and the density of the purified VLPs is interpolated using the results from the recombinant HA.

Mouse studies

BALB/c mice (*Mus musculus*, females, 6-8 weeks old) can be purchased from Harlan 15 Sprague Dawley (Indianapolis, IN, USA). Mice are housed in microisolator units and allowed free access to food and water and are cared for under USDA guidelines for laboratory animals. Mice are vaccinated with one of three doses of purified COBRA HA VLPs (1.5 µg, 0.3 µg or 0.06 µg), based upon HA content from a densitometry assay, via intramuscular injection at week 0 and then boosted with the same dose at week 3. Vaccines at each dose are formulated with alum adjuvant 20 (ImjectTM Alum, Pierce Biotechnology; Rockford, IL, USA), CpG oligonucleotides, or vehicle alone. Fourteen to twenty-one days after each vaccination, blood is collected from anesthetized mice via the retro-orbital plexus and transferred to a microfuge tube. Tubes are centrifuged and sera is removed and frozen at -80 ± 5°C. Hemagglutination inhibition (HAI) serum antibody titer for each vaccine group is determined at week 5 using representative reassortant viruses or COBRA 25 HA VLPs.

Three weeks after final vaccination, mice are challenged intranasally with a pathogenic H5N1 or H1N1 influenza virus in a volume of 50µl. After infection, mice are monitored daily for weight loss, disease signs and death for 14 days after infection. Individual body weights, sickness scores (Toapanta and Ross, *Respiratory Research* 10(1):112, 2009) and death are recorded for each 30 group on each day after inoculation.

Ferret studies

Fitch ferrets (*Mustela putorius furo*, female, 6-12-months of age), influenza naïve and descented, can be purchased from Marshall Farms (Sayre, PA, USA). Ferrets are pair housed in stainless steel cages (Shor-line, Kansas City, KS, USA) containing Sani-chips Laboratory Animal Bedding (P.J. Murphy Forest Products, Montville, NJ, USA). Ferrets are provided with Teklad Global Ferret Diet (Harlan Teklad, Madison, WI, USA) and fresh water *ad libitum*. The COBRA HA VLPs are diluted in PBS, pH 7.2 to achieve final concentration. Ferrets are vaccinated with one of two doses of purified COBRA VLPs (15 µg, 3 µg), based upon HA content as determined by densitometry assay, via intramuscular injection in the quadriceps muscle in a volume of 0.25 ml at week 0 and then boosted with the same dose at week 3. Vaccines are stored at -80°C prior to use and formulated with alum adjuvant (Imject Alum; Pierce Biotechnology, Rockford, IL, USA) immediately prior to use. Animals are monitored for adverse events including weight loss, temperature, decrease in activity, nasal discharge, sneezing and diarrhea weekly during the vaccination regimen. Prior to vaccination, animals are confirmed by HAI assay to be seronegative for circulating influenza A and influenza B viruses. Fourteen to twenty-one days after each vaccination, blood is collected from anesthetized ferrets via the anterior vena cava and transferred to a microfuge tube. Tubes are centrifuged and sera is removed and frozen at $-80 \pm 5^{\circ}\text{C}$. HAI serum antibody titer for each vaccine group is determined at week 5 using representative reassortant viruses or COBRA HA VLPs.

Three weeks after final vaccination, ferrets are challenged intranasally with a pathogenic H5N1 or H1N1 influenza virus in a volume of 1 ml. After infection, ferrets are monitored daily for weight loss, disease signs and death for 14 days after infection. Individual body weights, sickness scores, and death are recorded for each group on each day after inoculation. Nasal washes are performed by instilling 3 ml of PBS into the nares of anesthetized ferrets each day for 7 days after inoculation. Washes are collected and stored at -80°C until use.

Primate immunizations

Cynomolgus macaques (*Macaca fascicularis*, male, 3-5 years old) can be purchased from Harlan Sprague Dawley (Indianapolis, IN, USA). Macaques are vaccinated with purified COBRA HA VLPs (15 µg), based upon HA content from a densitometry assay, via intramuscular injection at week 0 and then boosted with the same dose at weeks 3 and 6. Vaccines are formulated with alum adjuvant (Imject Alum, Pierce Biotechnology; Rockford, IL, USA) immediately prior to use. Twenty-one days after each vaccination, blood is collected from anesthetized macaques via the

femoral vein and transferred to a serum separator tube. Tubes are allowed to activate clotting followed by centrifugation and sera is removed and frozen at $-80 \pm 5^{\circ}\text{C}$. End point IgG titers and HAI serum antibody titer for each vaccine group is determined at week 5 using representative reassortant viruses or COBRA HA VLPs.

5 Three weeks after final vaccination, macaques are challenged by intranasal, intratracheal, and orbital inoculation with a pathogenic H5N1 or H1N1 influenza virus in a volume of 1 ml. After infection, macaques are monitored daily for weight loss, disease signs and death for 5 days after infection. Individual body weights, sickness scores and death are recorded for each group on each day after inoculation.

10

Example 5: Immunogenicity and protective efficacy of PATH H5N1 COBRA HA-containing VLPs

This example describes four studies in mice to test the immunogenicity and protective efficacy of PATH H5N1 COBRA VLPs.

15

COBRA Study 1

This study was conducted to test the immunogenicity and protective efficacy of PATH H5N1 COBRA VLPs against clade 1 and clade 2 challenges. VLPs containing a human clade 2 COBRA HA (Human COBRA-2 VLPs) were used for comparison.

20

Mice were vaccinated intramuscularly with 3 μg of VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA sequence, or VLPs containing Whooper Swan (A/Whooper Swan/Mongolia/244/2005) influenza virus HA. Vaccinations were performed at week 0 and week 3 with an adjuvant (ImjectTM). Mice were challenged with 5000 PFU of Indonesia clade 2.1 virus (A/Indonesia/5/2005) or 5000 PFU of 25 Vietnam clade 1 virus (A/Vietnam/1203/2004) during week 5. Blood samples were collected at week 0, week 3 and week 5. Lungs were harvested at day 3 (D3) after challenge for viral titers.

30

HAI titers against PATH H5N1 COBRA VLPs (positive control), Vietnam clade 1 virus, Indonesia clade 2.1 virus, Whooper Swam clade 2.2 virus, Egypt/3300/08 clade 2.2.1, Egypt/321/07 clade 2.2.1, Anhui clade 2.3 virus and Chicken/Vietnam clade 7 virus in vaccinated mice are shown in FIG. 3. The results demonstrate that vaccination with PATH H5N1 COBRA HA-containing VLPs elicits an antibody response that can recognize both clade 1 and clade 2 influenza viruses.

Body weights of vaccinated and naïve mice up to day 14 (D14) post-challenge with Indonesia clade 2.1 and with Vietnam clade 1 are shown in FIG. 4 and FIG. 5, respectively. As shown in FIG. 4, the body weight of all vaccinated mice changed little over time, whereas naïve mice exhibited a sharp decrease in body weight after day 5 (D5) post-challenge with the clade 2.1 virus. As shown in FIG. 5, the body weight of mice vaccinated with PATH H5N1 VLPs did not significantly change after challenge with the clade 1 virus. In contrast, naïve mice exhibited a rapid weight loss starting at D3 post-challenge.

COBRA Study 2A

10 This study was conducted to test the protective efficacy against clade 1 challenge following a single vaccination of mice with VLPs containing PATH H5N1 COBRA VLPs.

Mice were vaccinated intramuscularly with 3 µg of VLPs containing the PATH H5N1 COBRA HA sequence (SEQ ID NO: 1), VLPs containing the Human COBRA-2 HA sequence, or VLPs containing Whooper Swan (A/Whooper Swan/Mongolia/244/2005) influenza virus HA.

15 Vaccination was performed at week 0 with an adjuvant (ImjectTM). Mice were challenged with 5000 PFU of Indonesia clade 2.1 virus (A/Indonesia/5/2005) or 5000 PFU of Vietnam clade 1 virus (A/Vietnam/1203/2004) during week 4. Blood samples were collected at week 0 and week 3. Lungs were harvested at D2 and D3 after challenge for viral titers.

As shown in FIG. 6, the body weight of vaccinated mice did not significantly change 20 following challenge with Vietnam clade 1 virus, whereas naïve mice exhibited a significant loss in weight after D3.

COBRA Study 4A

This study was conducted to test protective efficacy against clade 1 virus following a single 25 vaccination in the absence of adjuvant. Mice were vaccinated intramuscularly with 3 µg of PATH H5N1 COBRA VLPs, Human COBRA-2 VLPs, or Whooper Swan VLPs without adjuvant. Mice were challenged with 5000 PFU of Vietnam clade 1 virus during week 4. Blood samples were collected at week 0 and week 3. Lungs were harvested at D2 and D3 after challenge for viral titers.

As shown in FIG. 7, naïve mice succumbed to infection by day 7, whereas 40-60% of 30 vaccinated mice survived challenge out to day 14. Specifically, 60% of mice vaccinated with Human COBRA-2 VLPs survived challenged while 40% of mice vaccinated with PATH H5N1 COBRA VLPs or Whooper Swan VLPs survived challenge.

COBRA Study 5A

This study was conducted to test protective efficacy against clade 1 virus following a single vaccination with adjuvant (InjectTM) at a lower dose of VLP (0.6 µg). Mice were vaccinated intramuscularly with 0.6 µg of PATH H5N1 COBRA VLPs, Human COBRA-2 VLPs, or Whooper Swan VLPs in the presence of adjuvant. Mice were challenged with 5000 PFU of Vietnam clade 1 virus during week 4. Blood samples were collected at week 0 and week 3. Lungs were harvested at D2 and D3 after challenge for viral titers.

As shown in FIG. 8, body weight of vaccinated mice dropped slightly following virus challenge but returned to normal levels by D10. In contrast, body weight of naïve mice dropped significantly and the mice did not recover.

In view of the many possible embodiments to which the principles of the disclosed invention may be applied, it should be recognized that the illustrated embodiments are only preferred examples of the invention and should not be taken as limiting the scope of the invention.

Rather, the scope of the invention is defined by the following claims. We therefore claim as our invention all that comes within the scope and spirit of these claims.

CLAIMS

1. A recombinant influenza hemagglutinin (HA) polypeptide, comprising the amino acid sequence of residues 2-566 of SEQ ID NO: 2 or residues 2-568 of SEQ ID NO: 1.

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2. The influenza HA polypeptide of claim 1, consisting of the amino acid sequence of residues 2-566 of SEQ ID NO: 2 or residues 2-568 of SEQ ID NO: 1.

3. The influenza HA polypeptide of claim 1, comprising the amino acid sequence of 10 SEQ ID NO: 2 or SEQ ID NO: 1.

4. The influenza HA polypeptide of claim 1, consisting of the amino acid sequence of SEQ ID NO: 2 or SEQ ID NO: 1.

15 5. An isolated nucleic acid molecule encoding the influenza HA polypeptide of any one of claims 1-4.

6. The isolated nucleic acid molecule of claim 5, wherein the nucleic acid molecule is codon-optimized for expression in mammalian cells.

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7. A vector comprising the nucleic acid molecule of claim 5 or claim 6.

8. The vector of claim 7, further comprising a promoter operably linked to the nucleic acid sequence encoding the influenza HA polypeptide.

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9. An isolated cell comprising the vector of claim 7 or claim 8.

10. An influenza virus-like particle (VLP) comprising the influenza HA polypeptide of any one of claims 1-4.

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11. The influenza VLP of claim 10, further comprising an influenza neuraminidase (NA) protein, an influenza matrix (M1) protein, or both.

12. An influenza VLP comprising the influenza HA polypeptide of any one of claims 1-4, produced by transfecting a host cell with a vector encoding the HA polypeptide, a vector encoding an influenza NA protein and a vector encoding an influenza M1 protein under conditions sufficient to allow for expression of the HA, M1 and NA proteins.

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13. A fusion protein comprising the influenza HA polypeptide of any one of claims 1-4.

14. A composition comprising the influenza HA polypeptide of any one of claims 1-4, the VLP of any one of claims 10-12 or the fusion protein of claim 13, and a pharmaceutically acceptable carrier.

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15. A method of eliciting an immune response to influenza virus in a subject, comprising administering the influenza HA polypeptide of any one of claims 1-4, the VLP of any one of claims 10-12, the fusion protein of claim 13 or the composition of claim 14.

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16. A method of immunizing a subject against influenza virus, comprising administering to the subject a composition comprising the VLP of any one of claims 10-12 and pharmaceutically acceptable carrier.

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17. The method of claim 16, wherein the composition further comprises an adjuvant.

18. The method of claim 16 or claim 17, wherein the composition is administered intramuscularly.

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19. The method of any one of claims 16-18, wherein the composition comprises about 1 to about 25 µg of the VLP.

20. The method of claim 19, wherein the composition comprises about 15 µg of the VLP.

FIG. 1

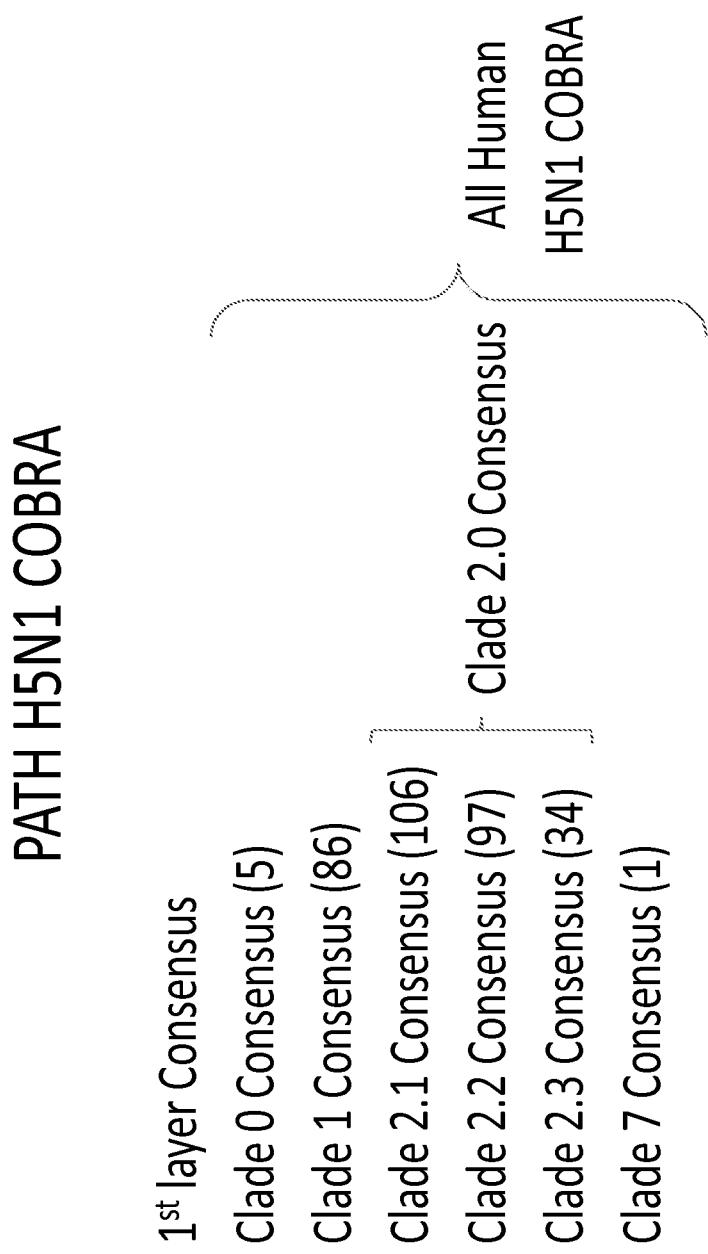


FIG. 2

PATH H1N1 COBRA

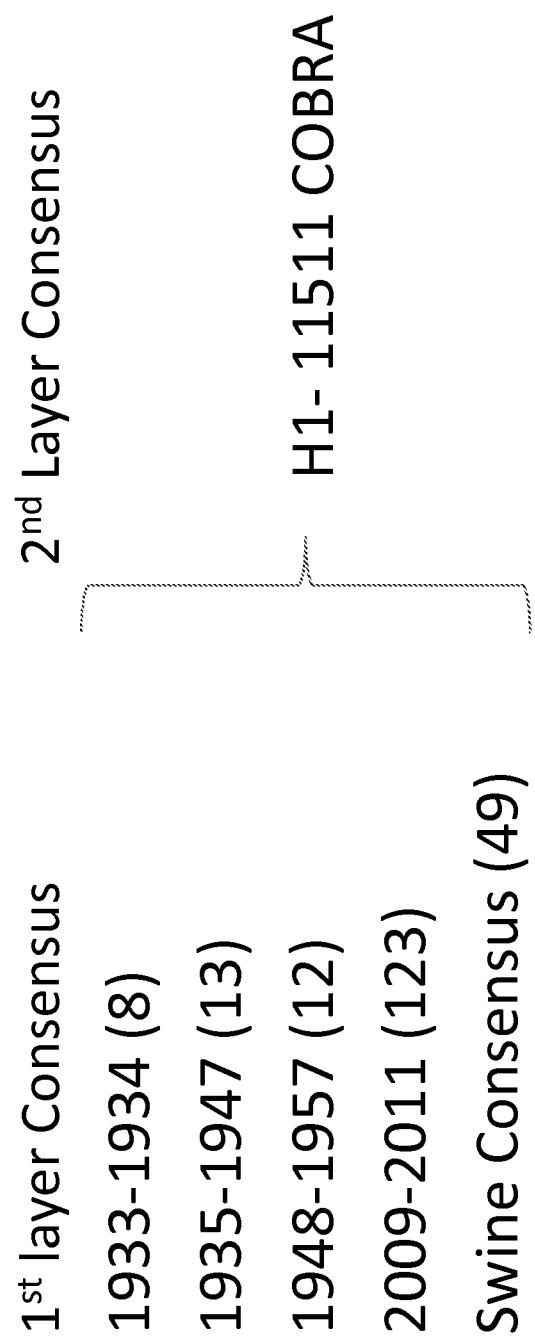
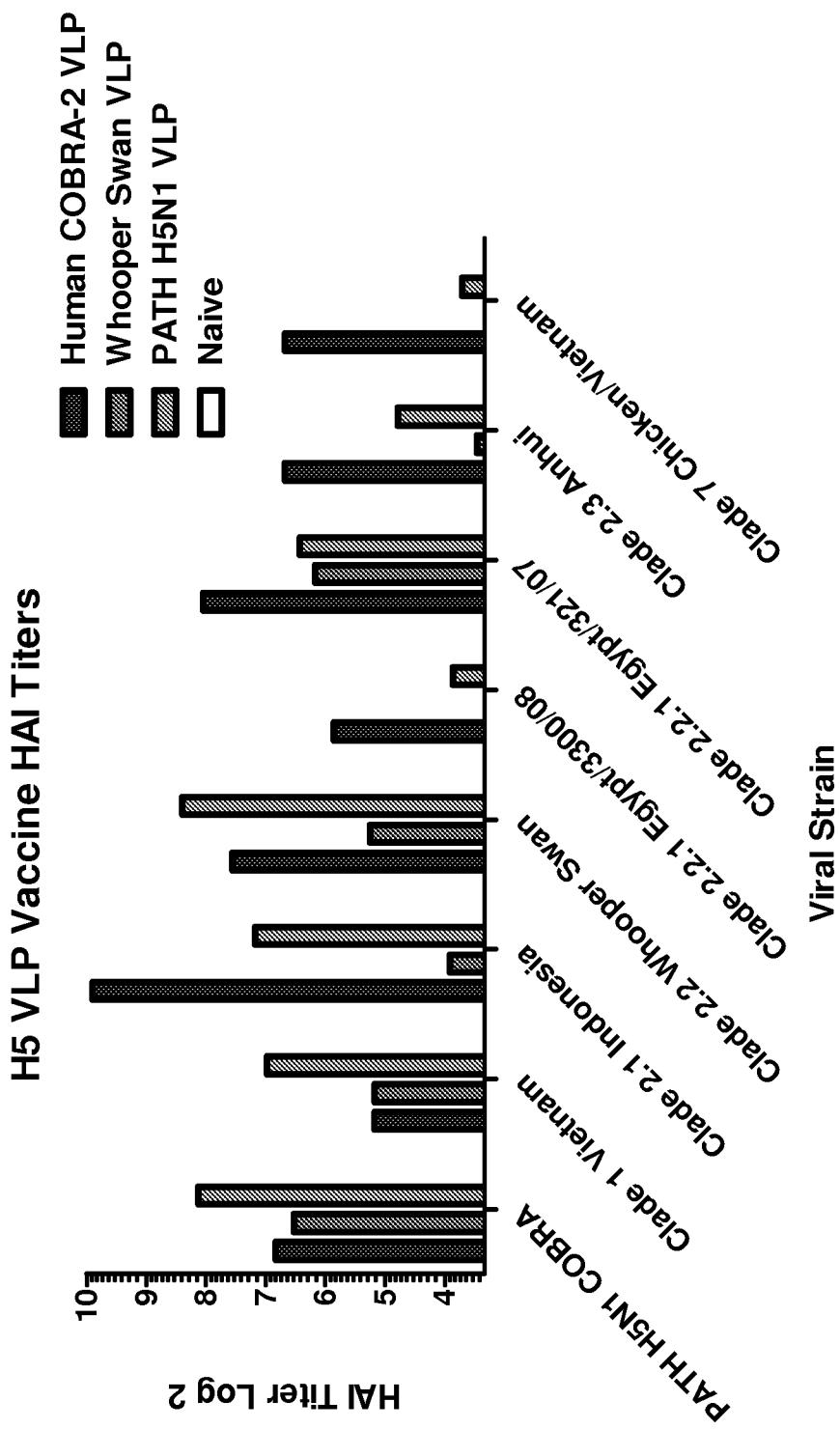


FIG. 3



COBRA Study 1

FIG. 4

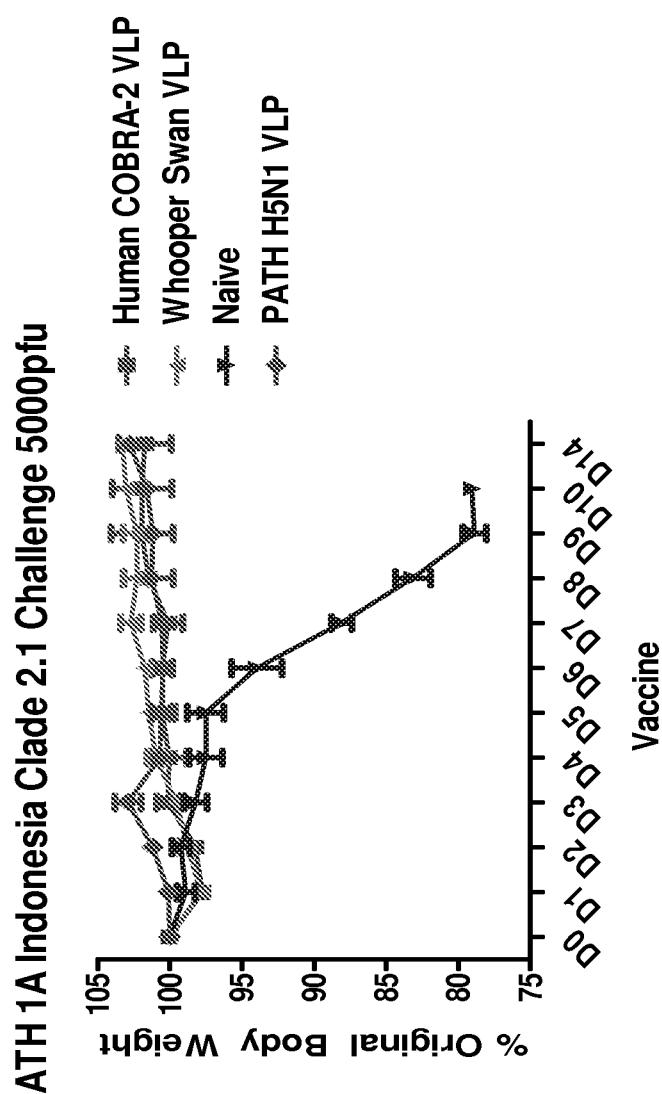


FIG. 5

COBRA Study 1

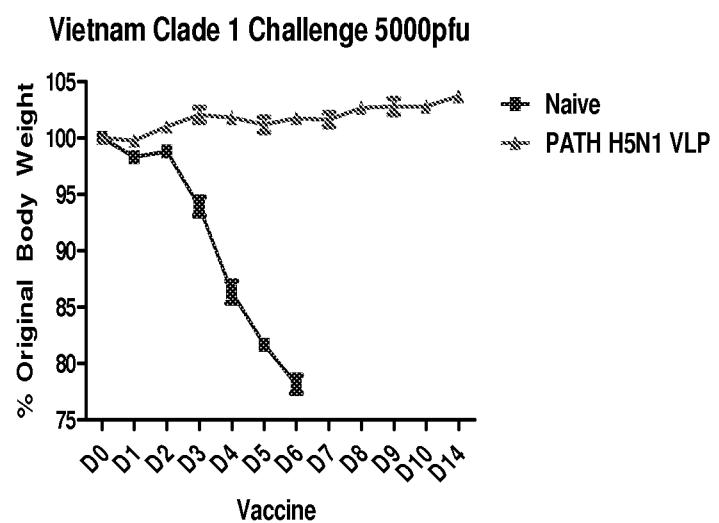


FIG. 6

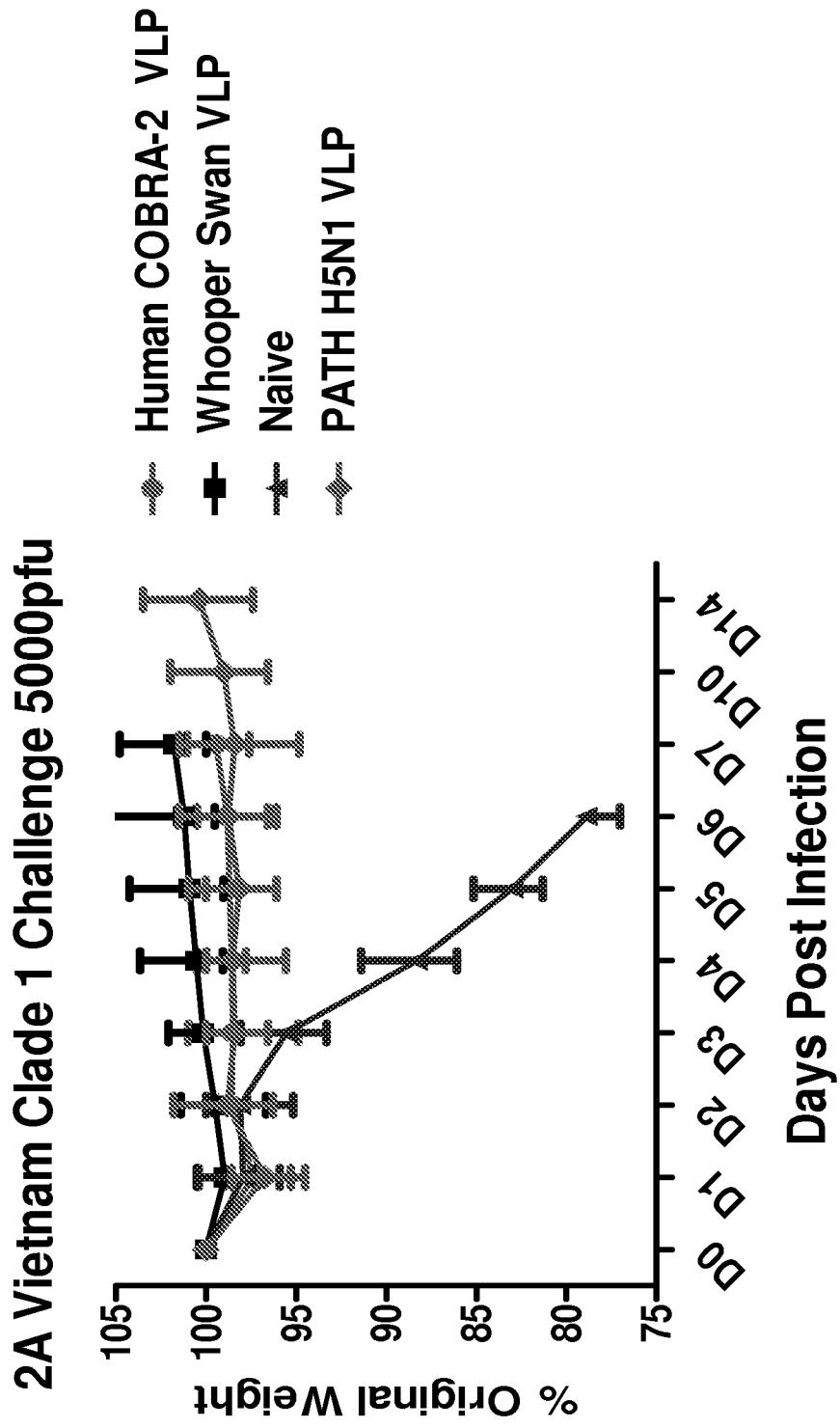
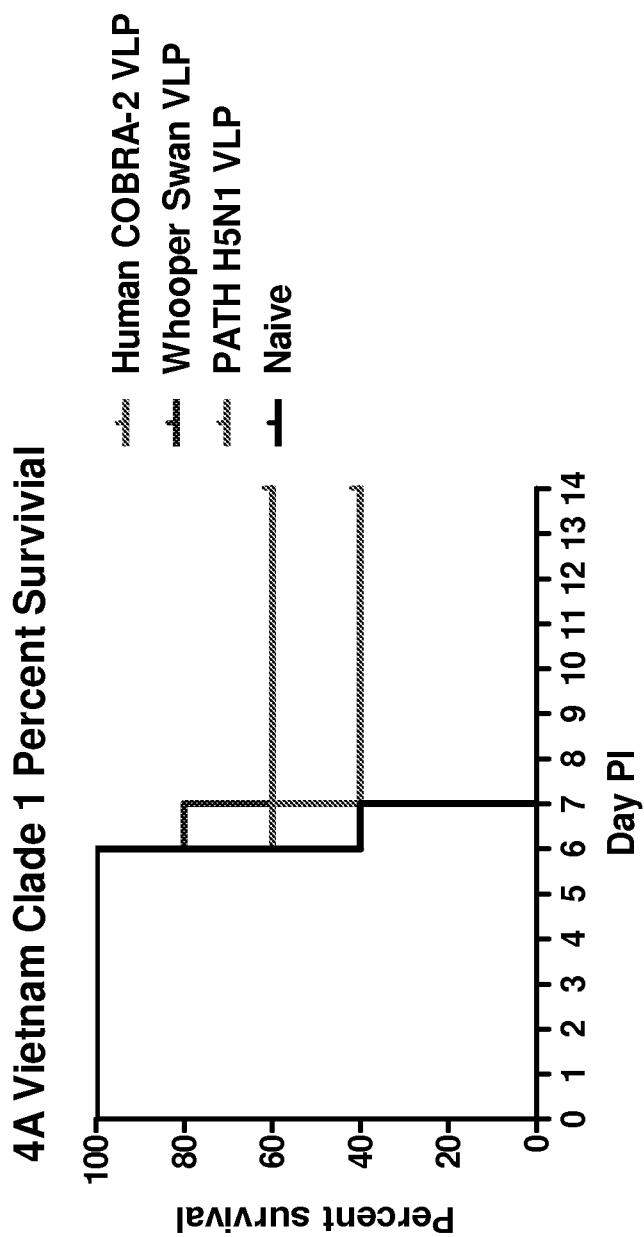


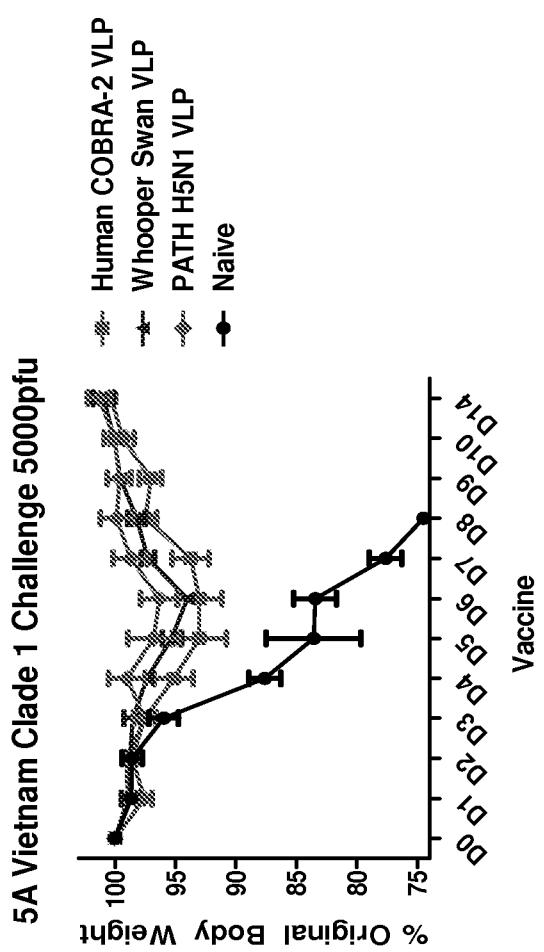
FIG. 7

COBRA Study 4A



COBRA Study 5A

FIG. 8



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2013/030530

A. CLASSIFICATION OF SUBJECT MATTER

C07K 14/11 (2006.01) C12N 15/44 (2006.01) A61K 39/145 (2006.01) A61K 31/16 (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

GenomeQuest: sequence search (SEQ ID NOs: 1 and 2)

Medline, CAPLUS, WPIDS, Biosis, Biotechabs: keyword search (hemagglutinin, antigen, consensus, ancestral, broadly reactive and like terms)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	Documents are listed in the continuation of Box C	

 Further documents are listed in the continuation of Box C See patent family annex

* Special categories of cited documents:		
"A" document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search
17 May 2013Date of mailing of the international search report
17 May 2013

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INTERNATIONAL SEARCH REPORT		International application No.
C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		PCT/US2013/030530
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2012/036993 A1 (UNIVERSITY OF PITTSBURGH - OF THE COMMONWEALTH SYSTEM OF HIGHER EDUCATION) 22 March 2012 See abstract; SEQ ID NO:2; claims; figure 1; examples; pp27-29.	1-20
P,X	WO 2012/177760 A1 (UNIVERSITY OF PITTSBURGH - OF THE COMMONWEALTH SYSTEM OF HIGHER EDUCATION) 27 December 2012 See abstract; figure 2; SEQ ID NOs:2 and 12; claims; examples; pp20 &23.	1-20

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/030530

This Annex lists known patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document/s Cited in Search Report		Patent Family Member/s	
Publication Number	Publication Date	Publication Number	Publication Date
WO 2012/036993 A1	22 Mar 2012	AU 2011302360 A1	04 Apr 2013
		CA 2811103 A1	22 Mar 2012
		WO 2012036993 A1	22 Mar 2012
WO 2012/177760 A1	27 Dec 2012	WO 2012177760 A1	27 Dec 2012

End of Annex

摘要

在此描述的是產生經優化 H5N1 及 H1N1 流感 HA 多肽以引發針對流感病毒分離株有廣泛反應性的免疫反應。此經優化的 HA 多肽由依據 H5N1 和 H1N1 流感分離株的一系列 HA 蛋白質校准和其後代的共有序列發展得出。在此提供的為經優化的 H5N1 及 H1N1 流感 HA 多肽，及包含該 HA 多肽的構造、融合蛋白及病毒樣顆粒。進一步提供的為編碼該 HA 多肽的密碼子優化之核酸序列。用以在對象中誘發對抗流感病毒的免疫反應的方法亦包括在本披露中。