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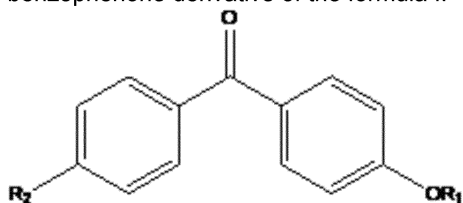
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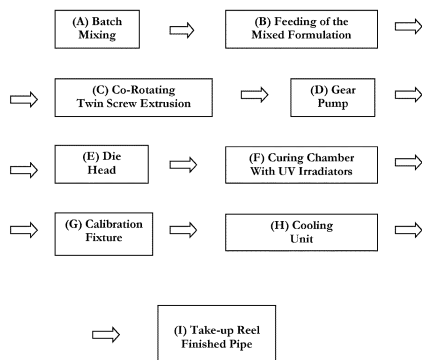
(54) Title of the Invention: Polyolefin pipe  
Abstract Title: Polyolefin pipe

(57) A polymeric pipe comprises a polyolefin structural polymer and a photoinitiator wherein the photoinitiator is a benzophenone derivative of the formula I:



Wherein  $R^1$  is selected from  $-C_4-C_{24}$  alkyl,  $-(CH_2)_m(C_2-C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2-C_{24-m}$  alkynyl),  $-C_4-C_{24}$  haloalkyl,  $-(CH_2)_m(C_2-C_{24-m})$  haloalkenyl,  $-(CH_2)_m(C_2-C_{24-m})$  haloalkynyl,  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^4$ ;  $R^2$  is H or  $-OR^3$ ;  $R^3$  is  $-C_4-C_{24}$  alkyl,  $-(CH_2)_m(C_2-C_{24-m})$  alkenyl,  $-(CH_2)_m(C_2-C_{24-m})$  alkynyl,  $-C_4-C_{24}$  haloalkyl,  $-(CH_2)_m(C_2-C_{24-m})$  haloalkenyl,  $-(CH_2)_m(C_2-C_{24-m})$  haloalkynyl,  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^5$ ;  $R^4$  and  $R^5$  are independently H or  $-R^6$ ;  $R^6$  is  $-H$ ,  $-C_1-C_{18}$  alkyl,  $-(CH_2)_s(C_2-C_{18-s})$  alkenyl,  $-(CH_2)_s(C_2-C_{18-s})$  alkynyl;  $m$  is 2-22,  $n$  is 1-12,  $p$  is 2-5,  $q$  is 2-10,  $r$  is 0-2,  $s$  is 2-16 and where when  $R^2$  is H,  $R^1$  contains 7 carbon atoms. A method of forming the pipe comprises extruding the components above and irradiating with UV to cross-link the polyolefin and thereby form the pipe.

Fig. 1



At least some of the priority details shown above were added after the date of filing of the application.

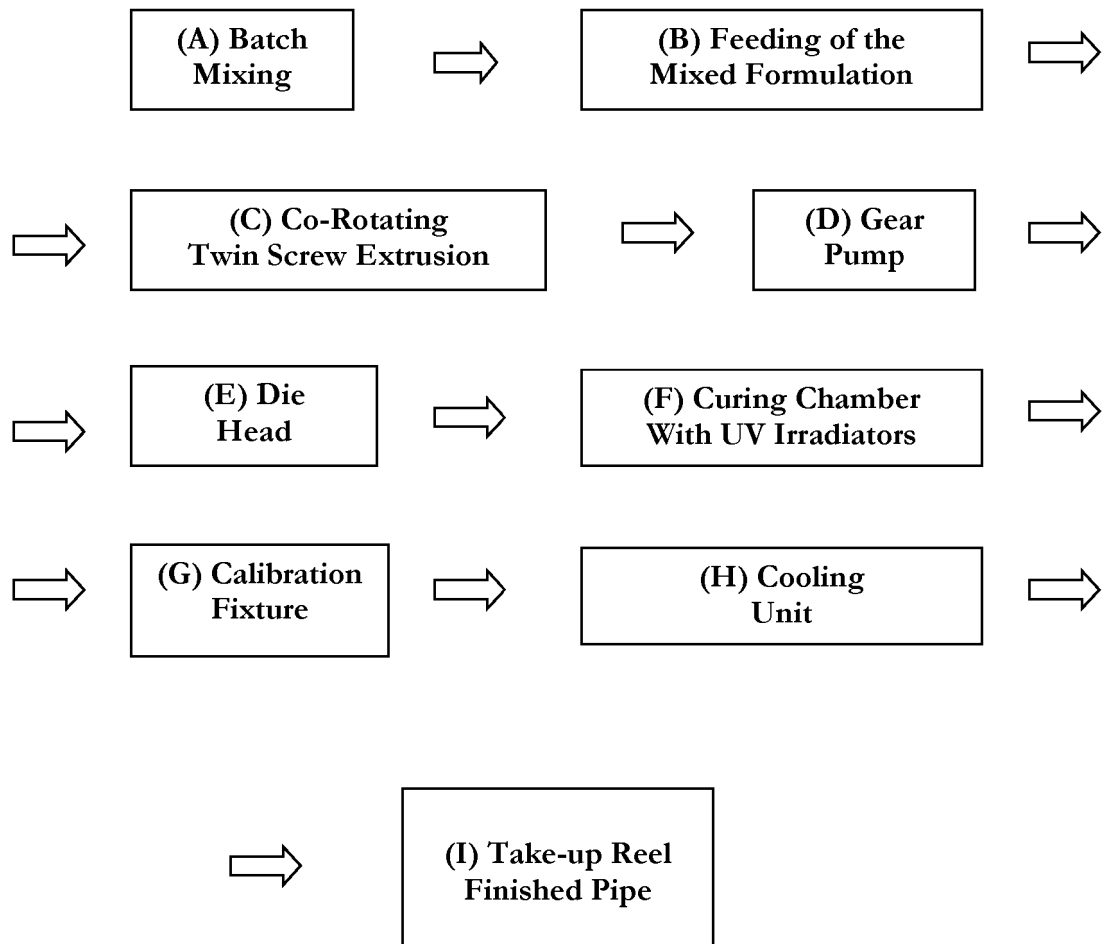


Fig. 1

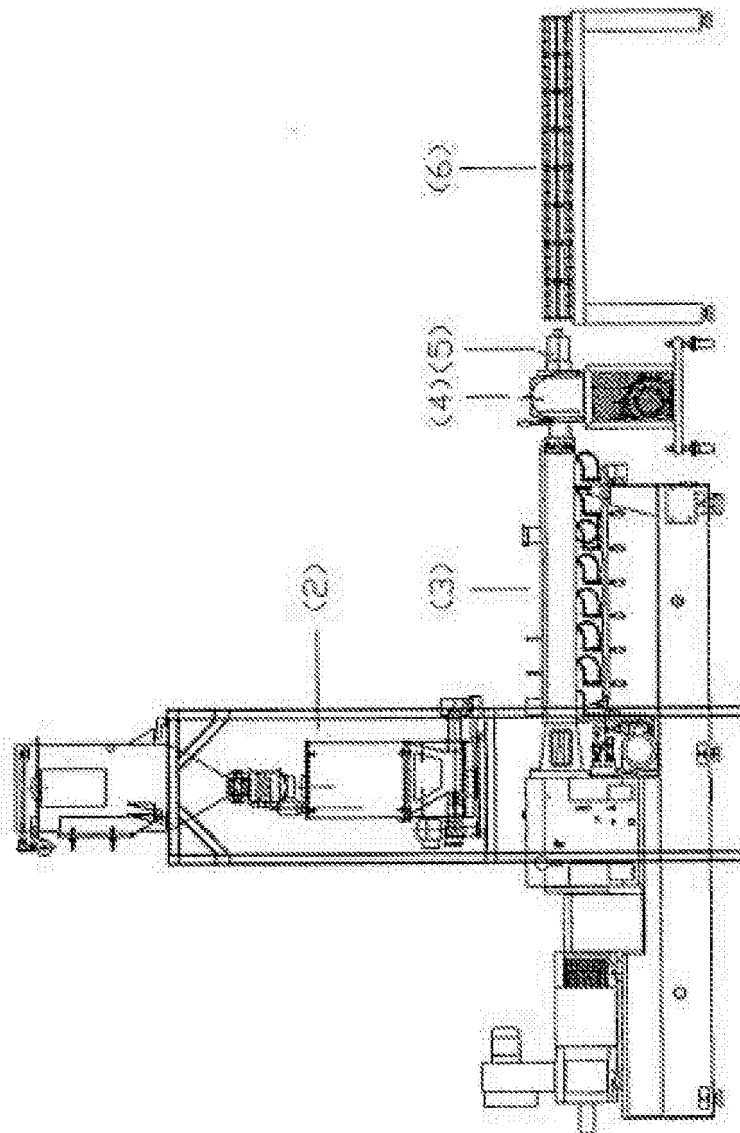


Fig. 2

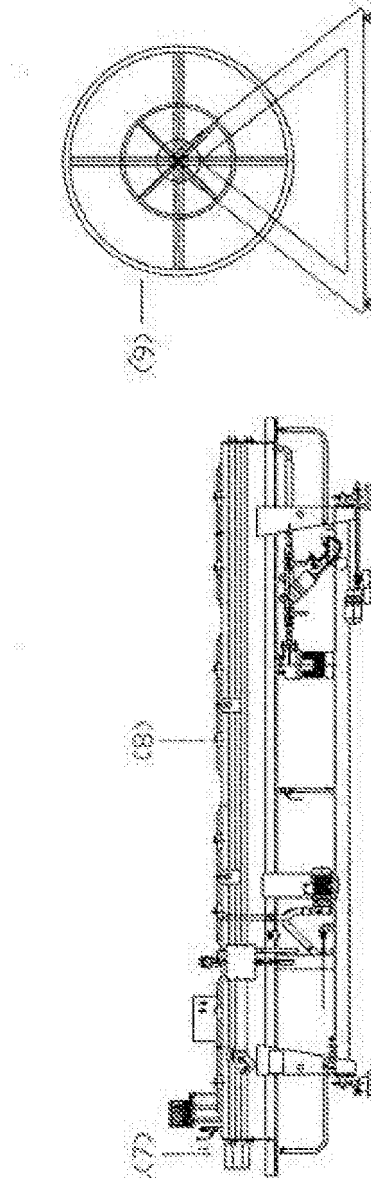


Fig. 3

## Polyolefin Pipe

### FIELD OF THE INVENTION

[0001] This invention relates to a polymeric pipe, and more particularly a polymeric pipe where the pipe comprises a crosslinked polyolefin formed from extruded polyolefin comprising photoinitiator. The invention also relates to a polymeric pipe where the pipe also comprises a co-agent and/or a stabilizer; this is sometimes referred to as a co-agent and/or stabilizer package. More particularly, this invention relates to the manufacturing of plastic pipes and tubing by utilizing co-rotating twin screw extrusion combined with photo-induced crosslinking, of polyolefinic polymers such as polyethylene, to produce crosslinked polyethylene (PEX) pipes and tubing. The pipes are intended to be used in cold (e.g. potable) or hot water applications. They are particularly suitable for cold water application since they exceed all current standards for drinking water transmission.

### BACKGROUND

[0002] Extruded pipes made from polyolefin polymers are well known for a variety of industrial applications. Typically they are used in the building industry for domestic (e.g. potable) water pipes, radiator pipes, floor-heating pipes and for similar applications in ship building etc. Such pipes can also be used as district heating pipes and as process pipes in the food industry etc. Other applications include the conveyance of gaseous fluids and slurries.

[0003] The polyolefin used in extruded pipes is often crosslinked, as this provides a number of advantages. Such advantages include, but are not limited to, long term stability including oxidation resistance, which assists in meeting current codes and standards for potable water applications, flexibility in installation including a "memory effect", etc. Crosslinked polyethylene (PEX) is commonly used for plastic pipes. There are several varieties of PEX that utilize a number of different crosslinking chemistries and processing technologies. Various PEX grades further contain other additives such as antioxidants and/or stabilizer packages in different concentrations and combinations. Three known varieties of PEX for pipe applications are PEX-a, PEX-b, and PEX-c.

[0004] In the PEX-a process ("Engel Method"), the cross-linking is induced by peroxide under the influence of heat and high pressure. The resultant PEX-a composition is crosslinked through carbon-carbon bonds to form the cross-linked polymer network. The PEX-a crosslinking process occurs in the melted stage, as opposed to the primary crosslinking processes for PEX-b and PEX-c. The primary reaction is the formation of free radicals upon decomposition of the peroxide, which has to be present by definition for PEX-a, and subsequently, the free radical abstracts hydrogens from the PE polymer

chains. The latter gives new carbon radicals, which next combines with neighboring PE chains to form stable carbon-carbon bonds, i.e., crosslinks. The crosslinking, which is considered to be homogeneous and uniform for PEX-a, gives degrees of crosslinking (typically referred to as CCL) in the range of 70-90 % for practical applications.

- 5 Requirement for CCL is to be above 70% for PEX-a as defined in ASTM International Standard for Crosslinked Polyethylene (PEX) Tubing, F 876-10 (approved February 1, 2010). The PEX-a process may therefore be used to produce good quality pipes

**[0005]** In the PEX-b process, the crosslinking is induced by moisture and heat over extended pre-determined times typically conducted in a "Sauna atmosphere". The most  
 10 commonly used methods are referred to as the Sioplas (two-steps), and the Monosil (one step) methods, respectively. In the Sioplas method, a silane, such as for example a vinylsilane is grafted to a HDPE resin prior to pipe extrusion. In the Monosil method, a silane is blended with the HDPE resin during pipe extrusion. In both methods, which are chemically different in the pre-crosslinking steps, the fundamental principle for the actual  
 15 crosslinking are practically identical, i.e., the crosslinking occurs in a secondary post-extrusion process that is accelerated by a combination of heat and moisture. The latter combination is the active "reagent", which is involved in the primary hydrolysis and condensation reaction. In principle, the extruded pipe is exposed to hot water and a steam bath. A fundamental difference to PEX-a, is that for PEX-b, the resultant crosslinks are not  
 20 between carbon-carbon bonds, but instead, oxygen-silicon covalent bonds (siloxane "bridges") are formed. In comparison with PEX-a, the crosslink density (CCL) are somewhat lower for PEX-b (65-70%), and the crosslinking is also less uniform.

**[0006]** In the PEX-c process, the crosslinking is commonly referred to as a "cold" method. In the PEX-c process, no chemicals are needed in order to facilitate the  
 25 crosslinking process, but instead high energy electron beam (EB) irradiation is utilized to create the free radicals necessary for the hydrogen abstraction and subsequent crosslinking to take place. The high energy electron beams are non-selective, i.e., chemical bonds are cleaved in an un-controlled fashion. The latter has the consequence of creating side reactions, together with the reaction aimed for, i.e., the crosslinking of  
 30 HDPE. The crosslinking density for PEX-c is typically in the 70-75% range, and caution has to be taken with irradiation time since a too long exposure may give discolored products and/or brittleness. PEX-c has been successfully used for many years despite the somewhat challenging production conditions.

**[0007]** Another possible crosslinking process is ultra violet (UV) curing, i.e.,  
 35 photoinduced crosslinking, where a pipe formulation comprising a combination of a polyolefinic polymer such for example polyethylene, a photoinitiator, a co-agent, and a

stabilizer package, is exposed to UV radiation to form a crosslinked polymer. In the case where polyethylene is utilized, the final product is a PEX pipe. UV curing is generally considered to be a “green” and environmentally friendly technology, since no solvents are used in the process and no emission of volatile chemicals takes place. .

5 **[0008]** One major challenge that occurs with all extruded pipes used for drinking water applications is the potential issue with leaching of the various additives from the polymer pipe matrix. The various additives which include initiators, stabilisers, co-agents, processing aids, antioxidants, etc. may leach from the polymer matrix over time and can become available to contaminate the fluid contents flowing within the pipe. This problem  
10 is a particular issue in cases such as drinking water applications and industry standards exist which quantify the allowable safe levels of leaching of materials from the pipe over a period of time for such applications. The various additives are required to be present in the pipe when manufacturing it in order to facilitate processing of the pipe when extruding the raw material polymer and also to ensure structural integrity and resistance to ageing  
15 etc. of the finished pipe. At the same time, the very presence of these materials presents a challenge since these materials may leach from the polymer matrix over a period of time.

**[0009]** The use of plastics pipes in drinking water applications is a challenge as described above. One difficulty is the requirement to find the right stabiliser or  
20 combination of stabilizers that gives sufficient long-term stability. One measure of this stability is chlorine resistance according to the standard ASTM F2023. At the same time, the stabilizers, anti-oxidants, photoinitiators, co-agents, and other additives, should not generate too high levels of remaining residuals in the final pipe products, which would make it impossible to meet the standards required for drinking water pipes (in North  
25 America this is the NSF 61 standard). However, we have found a novel combination of a specific processing method, i.e., co-rotating twin screw technology and photo-induced crosslinking, which if combined with the novel chemical approach presented in the present invention, produces crosslinked polyolefinic pipes that meets and exceeds the current standards applied for plastic pipes utilized for drinking water applications, such as  
30 crosslinked polyethylene (PEX).

**[0010]** A stabiliser package is typically needed to ensure the pipes have practical utility. However, stabilisers also have a tendency to leach from plastics pipes over a period of time. Stabilisation of thermoplastic polymers is usually accomplished by melt blending with one or more stabilisers. In this way a heterophase polymer/stabiliser system is  
35 formed, which may be best described as a physical dispersion of a low molecular weight stabiliser in a polymer matrix. The vast majority of commercial stabiliser compounds have

very different chemical structure from that of the non-polar host thermoplastic polymer. For this reason, the compatibility of various conventional stabilisers with polyolefins is generally poor, thereby leading to migration i.e. leaching of admixed stabilisers across the boundary of the polyolefin with neighbouring fluids, liquids, gases or solid materials. This loss of stabiliser substantially shortens the lifetime of the polyolefin. Of more concern is the fact that the migration of stabilisers into drinking water (potable water) can also have unpredictable and potentially toxic effects on consumers. We have previously developed a stabiliser package that is suitable for use in drinking water pipes, as is described in WO 2010/138816.

10 **[0011]** A method for studying stabiliser migration involves immersing the pipe in boiling water with subsequent measurement of the oxidation induction time (OIT) level, which gives an indication of how much active stabiliser is remaining in the pipe and measures how easily the stabiliser is able to leach out of the pipe wall.

15 **[0012]** Similarly, other additives such as crosslinking agents are required in order to ensure the structural integrity of the pipes in the senses of both their immediate ability to withstand pressure from fluid being transported within, and to ensure their overall long-term performance. The long-term performance of plastics pipes is typically evaluated using the Standard Extrapolation Method (SEM) test of ISO 9080 (e.g. in Europe) or the ASTM D 2837 method (e.g. in North America). These methods involve testing pipes that are pressurised at elevated temperatures and measures the time to burst at different stress levels. Considerable research effort has been focused on so-called stage III ruptures, which take place when the stabiliser package has ceased to be effective or if the degree of crosslinking is insufficient.

25 **[0013]** EP 0 490 854 B1 describes the use of double screw extruders in combination with UV irradiation to produce crosslinked polyethylene pipes such as those intended for hot water applications. This document discloses specific photoinitiators for achieving crosslinking to enable the fast processing of polymeric materials. A series of benzophenone derivatives is disclosed which are said to be compatible with polyethylene. However, this document does not address the issue of leaching of such materials from the polymer matrix.

30 **[0014]** The polymer materials of EP 0 490 854 B1 may be prepared using a twin screw extruder. However, the patent is more concerned with the nature of the photoinitiators and does not actually describe the features of the extruder other than its ability to mix and extrude material. The line speeds achievable with the process claimed in that patent are also quite low and were in the range of 1 m/min or less. This is not ideal for a commercial process.



**[0015]** It is apparent that known extruded pipes and methods of making such pipes are subject to a number of limitations. There is therefore a need for new methods of production and/or new combinations of chemical components to improve the methods of production and/or properties of polyolefin pipes.

5 **[0016]** It is an aim of the present invention to provide materials for forming pipes that can be used in domestic cold and/or hot water application. It is also an aim to provide materials for producing pipes for industrial application. It is an aim to produce pipes which are resistant to the leaching out over time of one or more of the additive components. A further aim is to produce pipes for domestic applications which meet or exceed current  
10 standards for one or more of burst strength, pressure resistance, degradation, leaching of additives over time, discolouration, and resistance to chlorine. The present invention satisfied some or all of these aims.

#### **BRIEF SUMMARY OF THE DISCLOSURE**

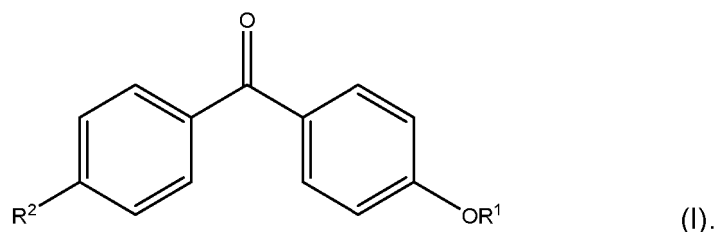
**[0017]** The present invention is concerned with a process for producing extruded plastics  
15 pipes which benefit from a greatly reduced level of leaching of chemical residuals relative to the existing products currently available in the marketplace. The present invention also provides a pipe which is stable to leaching to a far greater degree than is the case for currently available pipes. The present invention also provides pipes which benefit from a greatly increased level of resistance to oxidative degradation. The pipes show an  
20 excellent level of resistance to chlorine and exceed the current requirements for drinking water applications. The process of the invention utilises a novel co-rotating twin-screw extrusion process which, as is described below, results in a product having substantially lower levels of leaching of chemical residuals relative to existing extruded pipes used for drinking water applications. The new process also provides superior long-term  
25 performance due to outstanding material mixing in the pipe extrusion process, leading to maximized pipe matrix homogeneity, which is critical for resistance to oxidation.

**[0018]** Preferably the present invention utilises combinations of photoinitiator(s) and co-agent(s), that can be combined in the process to provide the necessary level of crosslinking of the polyolefinic polymer (e.g. polyethylene), to produce for example, PEX  
30 pipes. This is achieved at the same time as ensuring very low levels of leaching of the components from the extended pipe. The present invention allows low levels of the various components to be used whilst still preserving excellent properties in the finished pipe.

**[0019]** For NSF 61 requirements there is a need to choose the initiator carefully. Long  
35 term resistance to oxidative degradation may be of lesser importance for such pipes of the

invention.

**[0020]** Thus one aspect of the invention provides a polymeric pipe formed from a polyolefin structural polymer and a photoinitiator in an amount of 0.02 to 3% by weight, wherein the photoinitiator is a compound of formula I:



R¹ is selected from -C₄ - C₂₄ alkyl, -(CH₂)ₘ(C₂ - C₂₄₋ₘ alkenyl), -(CH₂)ₘ(C₂ - C₂₄₋ₘ alkynyl), -C₄ - C₂₄ haloalkyl, -(CH₂)ₘ(C₂ - C₂₄₋ₘ haloalkenyl), -(CH₂)ₘ(C₂ - C₂₄₋ₘ haloalkynyl), -(CH₂)ₙ[O(CH₂)ₚ]ₑ(CH₂)ᵣR⁴. R² is selected from -H and -O-R³. R³ is selected from -C₄ - C₂₄ alkyl, -(CH₂)ₘ(C₂ - C₂₄₋ₘ alkenyl), -(CH₂)ₘ(C₂ - C₂₄₋ₘ alkynyl), -C₄ - C₂₄ haloalkyl, -  
 10 (CH₂)ₘ(C₂ - C₂₄₋ₘ haloalkenyl), -(CH₂)ₘ(C₂ - C₂₄₋ₘ haloalkynyl), -(CH₂)ₙ[O(CH₂)ₚ]ₑ(CH₂)ᵣR⁵. R⁴ and R⁵ are independently selected from -H and -O-R⁶. R⁶ is selected from -H, -C₁ - C₁₈ alkyl, -(CH₂)ₛ(C₂ - C₁₈₋ₛ alkenyl), or -(CH₂)ₛ(C₂ - C₁₈₋ₛ alkynyl). m is 2 - 22; n is 1 - 12; p is 2, 3, 4, 5, 6; q is 2 - 10; and r is 0, 1 or 2; s is 2 - 16. When R² is -H, R¹ comprises at least 7 carbon atoms. m is 0, 1 or 2; n is 2, 3, 4, 5, 6; p is 2 - 10; and q is 0, 1 or 2.

15 **[0021]** In an embodiment the photoinitiator is present in an amount of 0.2 to 3% by weight.

**[0022]** However, in other applications, long term stability to oxidative resistance may be of benefit, for example in providing pipes with desirable mechanical properties over extended periods. Such pipes of the invention demonstrate improved long term stability  
 20 when measured, for example, in the ASTM F 876 Stabilizer Functionality Test. For such pipes leaching may be less of an issue. In addition to a polyolefin structural polymer and a photoinitiator, the pipe may include a co-agent and antioxidant. The pipe may also include a hindered amine light stabilizer (HALS).

**[0023]** Pipes of the invention may satisfy the NSF 61 requirements for low levels of leaching or long term stability chlorine resistance requirement according to ASTM F2023.  
 25 In addition, pipes of the invention may satisfy both the NSF 61 requirements for low levels of leaching and the long term stability chlorine resistance requirement according to ASTM F2023.

**[0024]** We have found that the deliberate use of a twin extruder having co-rotating twin  
 30 screws (in place of any other type of extruder) provides the necessary conditions for extruding plastics pipes which are more resistant to leaching of additives. In addition, the

pipes of the invention demonstrate improved long term stability when measured, for example, in the ASTM F 876 Stabilizer Functionality Test. Furthermore, the process of the invention allows the production of PEX pipes at exceptionally high line speed relative to known processes, under very efficient production conditions. As discussed below, the process of the present invention can operate at line speeds of at least 20 m/min, for example in the range of 30-60 m/min, whilst still producing stable robust extruded pipe, for example for a ½" (1.27 cm) pipe as defined in the ASTM F876 standard for PEX tubing in North America..

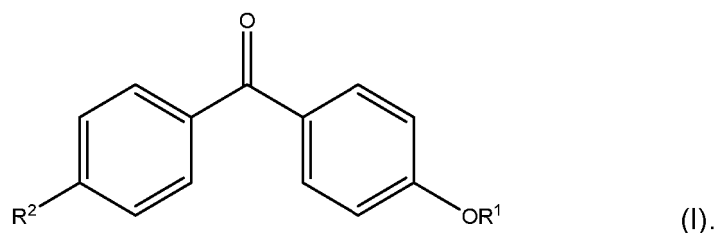
**[0025]** Another aspect of the invention provides a method of producing a cross-linked polyolefin pipe comprising:

extrusion of a mixture from a co-rotating twin screw extruder to form an extruded pipe; and

cross-linking a polyolefin structural polymer by irradiating the extruded pipe with UV radiation,

wherein the extrusion mixture comprises the polyolefin structural polymer and a photoinitiator in an amount of 0.02 to 3% by weight;

wherein the photoinitiator is a compound of formula I:



R¹ is selected from -C<sub>4</sub> - C<sub>24</sub> alkyl, -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> alkenyl), -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> alkynyl), -C<sub>4</sub> - C<sub>24</sub> haloalkyl, -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> haloalkenyl), -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> haloalkynyl), -(CH<sub>2</sub>)<sub>n</sub>[O(CH<sub>2</sub>)<sub>p</sub>]<sub>q</sub>(CH<sub>2</sub>)<sub>r</sub>R<sup>4</sup>. R² is selected from -H and -O-R<sup>3</sup>. R³ is selected from -C<sub>4</sub> - C<sub>24</sub> alkyl, -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> alkenyl), -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> alkynyl), -C<sub>4</sub> - C<sub>24</sub> haloalkyl, -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> haloalkenyl), -(CH<sub>2</sub>)<sub>m</sub>(C<sub>2</sub> - C<sub>24-m</sub> haloalkynyl), -(CH<sub>2</sub>)<sub>n</sub>[O(CH<sub>2</sub>)<sub>p</sub>]<sub>q</sub>(CH<sub>2</sub>)<sub>r</sub>R<sup>5</sup>. R<sup>4</sup> and R<sup>5</sup> are independently selected from -H and -O-R<sup>6</sup>. R<sup>6</sup> is selected from -H, -C<sub>1</sub> - C<sub>18</sub> alkyl, -(CH<sub>2</sub>)<sub>s</sub>(C<sub>2</sub> - C<sub>18-s</sub> alkenyl), or -(CH<sub>2</sub>)<sub>s</sub>(C<sub>2</sub> - C<sub>18-s</sub> alkynyl). m is 2 - 22; n is 1 - 12; p is 2, 3, 4, 5, 6; q is 2 - 10; and r is 0, 1 or 2; s is 2 - 16. When R² is -H, R¹ comprises at least 7 carbon atoms. m is 0, 1 or 2; n is 2, 3, 4, 5, 6; p is 2 - 10; and q is 0, 1 or 2.

**[0026]** The pipes of the invention may be used to convey fluids, for example drinking water or hot water. Accordingly, another aspect of the invention provides for the use of a pipe of the invention for the transport of water, or use of a pipe of the invention in a water transport system. An embodiment provides the use of a pipe of the invention in a drinking water transport system. Another embodiment provides use of a pipe of the invention in a

hot water transport system.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

**[0027]** Embodiments of the invention are further described hereinafter with reference to the accompanying drawings, in which:

5                   Figure 1 is a flowchart illustrating a process for making pipes of the invention.

                  Figure 2 is a line diagram illustrating apparatus that may be used to perform the process of steps 2 to 6 of figure 1.

                  Figure 3 is a line diagram illustrating apparatus that may be used to perform the process of steps 7 to 9 of figure 1.

## 10   **DETAILED DESCRIPTION**

**[0028]** Throughout the description and claims of this specification, the words “comprise” and “contain” and variations of them mean “including but not limited to”, and they are not intended to (and do not) exclude other moieties, additives, components, integers or steps. Throughout the description and claims of this specification, the singular encompasses the  
15 plural unless the context otherwise requires. In particular, where the indefinite article is used, the specification is to be understood as contemplating plurality as well as singularity, unless the context requires otherwise.

**[0029]** Features, integers, characteristics, compounds, chemical moieties or groups described in conjunction with a particular aspect, embodiment or example of the invention  
20 are to be understood to be applicable to any other aspect, embodiment or example described herein unless incompatible therewith. All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually  
25 exclusive. The invention is not restricted to the details of any foregoing embodiments. The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any method or process so disclosed.

30   **[0030]** The reader's attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.

**[0031]** For the avoidance of doubt, it is hereby stated that the information disclosed

earlier in this specification under the heading "Background" is relevant to the invention and is to be read as part of the disclosure of the invention.

5 [0032] Polymeric pipes of this invention may be used for variety of applications, for example transport of hot and/or cold potable water, radiant floor heating, or waste water, as well as being used in fire sprinklers, process pipes in industries such as the food industry, and for conveying fluids other than water such as gases and slurries, among other uses. In some embodiments, these polymeric pipes include a base pipe with one or more layers disposed on the base pipe. Examples of various layers that may be disposed on a polymeric base pipe are included in US 2010/0084037, entitled "Methods and  
10 Compositions for Coating Pipe," which is incorporated by reference in its entirety. In other embodiments, the polymeric pipe includes the base pipe with no layers disposed on the base pipe, i.e. the pipe will consist of a single (i.e. one) layer.

#### PIPE STANDARDS AND CERTIFICATIONS

15 [0033] Pipe standards and standard test procedures referenced in the present disclosure include the following:

ASTM International Standard for Crosslinked Polyethylene (PEX) Tubing, F 876-10 (approved February 1, 2010) ("ASTM F876");

20 ASTM International Standard Test Method for Evaluating the Oxidative Resistance of Crosslinked Polyethylene (PEX) Tubing and Systems to Hot Chlorinated Water, F2023-10 (approved August 1, 2010) ("ASTM F2023");

ASTM International Standard Test Method for Obtaining Hydrostatic Design Basis for Thermoplastic Pipe Materials or Pressure Design Basis for Thermoplastic Pipe Products D2837-11 (approved April 1, 2011) ("ASTM D2837");

25 ASTM Standard Test Method for Oxidative-Induction Time of Polyolefins by Differential Scanning Calorimetry D 3859-98 (approved July 10, 1998) ("ASTM D3895");

NSF International Standard / American National Standard for Drinking Water Additives 61-2011 (June 10, 2011) ("NSF 61"); and  
EN ISO 15875.

The contents of all of these standards are incorporated herein by reference.

30 [0034] The tests referred to herein are known standards in the industry and are available to the skilled person. We therefore only refer to them briefly in the interests of brevity. However, the content of these standards forms an integral part of the invention to the extent that the pipes according to the invention meet or exceed the requirements of the standards. Hence the content of these standards is explicitly incorporated into the present  
35 disclosure by reference.

- [0035]** Presently, PEX tubing in North America must meet temperature and pressure ratings requirements of 160 psi at 73.4 °F (23 °C), 100 psi at 180 °F (82.2 °C), and 80 psi at 200 °F (93.3 °C). Minimum burst ratings are at 475 psi at 73.4 °F (5/8 inch and larger). Additional performance characteristics and requirements for PEX pipes and tubing are given in ASTM F867.
- [0036]** ASTM F876 (North America) and EN ISO 15875 (Europe): Before product launch, any pipes have to pass all required testing in accordance with these two standards, respectively. The most time consuming and difficult requirements are mentioned specifically below.
- [0037]** Temperature/Pressure Ratings: According to NSF/PPI, pipes are only approved (for North America) if they pass 2,000h of testing without damage. This test is conducted at higher temperature and pressures. Generally a pipe should not fail if it passes this condition but the pipe still needs to pass 16,000h for completion.
- [0038]** Chlorine resistance is measured by ASTM F2023 and requires approximately 12-15 months of testing for completion.
- [0039]** A qualitative measure of the level of stabilisation may be provided by the oxidative-induction time (OIT) test, as performed in accordance with ASTM D3895.
- [0040]** Specific additives are typically needed for any application where polymers are utilized to create consumer products. For example, pipes for drinking water applications typically comprise stabilizers, anti-oxidants, crosslinking agents, processing additives, etc. as part of the final pipe composition, regardless of manufacturing method. These additives are typically necessary to provide pipes with desirable physical properties, e.g. pipes that satisfy ASTM F876 and / or EN ISO 15875 requirements. These chemical additives are, however, typically subject to leaching from the final chemical pipe. Leaching of chemicals into the pipe is, however, undesirable. In addition, for certain applications there are limits set on levels of leached chemicals. For example, NSF 61 sets limits on chemical leaching for drinking water pipes.
- [0041]** NSF 61 relates to the hygiene requirement and concerns the need to minimize chemical leaching from the finished pipes. Drinking water pipes in North America must pass the NSF 61 test. The purpose of this test is to assure the customer that the quality of the water inside the pipe is not compromised by chemicals leaching into it. There are three ways to complete this test: 1) single point test, 2) 21-Day multipoint test and 3) 107-Day multipoint test. All three tests involve changing the water inside the pipe every 24 hours over an extended period of time. For the single point test only the water extract on Day 17 is tested. For the multipoint tests the water extracts on several days are analyzed

and the resulting data is then used to create a decay curve.

**[0042]** The water extracts are analyzed by a Gas Chromatograph equipped with a Mass Selective Detector (GC/MS). If deemed necessary other analytical techniques are also used. Twenty-four hours prior to collecting a sample for analysis some of the samples are heated at 82°C for 30 minutes. The heated extracts are then analyzed by GC/MS for semi-volatile compounds using EPA624 method. The rest of the samples are conditioned at room temperature and then analyzed by GC/MS for volatile compounds using EPA524 method.

**[0043]** To pass the multipoint tests the concentration of all chemicals extracted into the water must decay to below the Short Term Exposure Limit (STEL) on Day 17 and Total Allowable Concentration (TAC) on Day 107. For the single point test both the STEL and TAC limits must be met on Day 17.

**[0044]** The allowance limits of NSF 61 were typically in the in the ppm range until recent years when the requirements have become more stringent, for example with the limits set in the ppb range for a number of compounds in current NSF standards.

**[0045]** The degree of crosslinking can be quantified in accordance with the following citation from ASTM F876:

“6.8. Degree of Crosslinking-When tested in accordance with 7.9, the degree of crosslinking for PEX tubing material shall be within the range from 65 to 89% inclusive.

Depending on the process used, the following minimum percentages crosslinking values shall be achieved: 70% by peroxides (PEX-a), 65% by Azo compounds, 65% by electron beam (PEX-c), or 65% by silane compounds (PEX-b)”.

Ideally, pipes should have a high, i.e. at least 50% (preferably at least 65%), level of cross-linking according to the standard. However, in some applications a lower degree of cross-linking may be acceptable.

**[0046]** The present invention is able to produce extruded pipes that consistently satisfy a defined target level of crosslinking (CCL) of, for example 73%, and importantly we have found that we are able to maintain it at that level at approximately  $73 \pm 0.5\%$  for a given formulation. In conventional prior art extrusion processes this variation is at least 3% and up to 5%, but can be many times higher.

**[0047]** The present invention provides a process for producing pipes that may have a high CCL, i.e. a CCL of at least 65% (e.g. of at least 70%) in a consistent and homogeneous manner, to satisfy or exceed the ASTM F876 standard. Pipes of the invention may satisfy the NSF 61 standard for residuals. Pipes of the invention may have a high CCL and also satisfy the NSF 61 standard for residuals.

## DEFINITIONS

**[0048]** The following explanations of terms and methods are provided to better describe the present disclosure and to guide those of ordinary skill in the art in the practice of the present disclosure.

5 **[0049]** The terms "alkyl", "C<sub>1</sub>-C<sub>10</sub> alkyl" and "C<sub>x</sub>-C<sub>y</sub> alkyl" (where x is at least 1 and less than 10, and y is a number greater than 10) as used herein include reference to a straight or branched chain alkyl moiety having, e.g. 1, 2, 3, 4, 5, 6, 7, 8, 9 or 10 carbon atoms. The term includes reference to, for example, methyl, ethyl, propyl (n-propyl or isopropyl), butyl (n-butyl, sec-butyl or tert-butyl), pentyl, hexyl and the like. In particular, alkyl may be  
 10 a "C<sub>1</sub>-C<sub>6</sub> alkyl", i.e. an alkyl having 1, 2, 3, 4, 5 or 6 carbon atoms; or a "C<sub>1</sub>-C<sub>4</sub> alkyl", i.e. an alkyl having 1, 2, 3 or 4 carbon atoms. The term "lower alkyl" includes reference to alkyl groups having 1, 2, 3 or 4 carbon atoms.

**[0050]** The terms "alkenyl", "C<sub>2</sub>-C<sub>10</sub> alkenyl" and "C<sub>x</sub>-C<sub>y</sub> alkenyl" (where x is at least 2 and less than 10, and y is a number greater than 10) as used herein include reference to a  
 15 straight or branched chain alkyl moiety having, e.g. 2, 3, 4, 5, 6, 7, 8, 9 or 10 carbon atoms and having, in addition, at least one double bond, of either E or Z stereochemistry where applicable. This term includes reference to, for example, ethenyl, 2-propenyl, 1-butenyl, 2-butenyl, 3-butenyl, 1-pentenyl, 2-pentenyl, 3-pentenyl, 1-hexenyl, 2-hexenyl and 3-hexenyl and the like. In particular, alkenyl may be a "C<sub>2</sub>-C<sub>6</sub> alkenyl", i.e. an alkenyl  
 20 having 2, 3, 4, 5 or 6 carbon atoms; or a "C<sub>2</sub>-C<sub>4</sub> alkenyl", i.e. an alkenyl having 2, 3 or 4 carbon atoms. The term "lower alkenyl" includes reference to alkenyl groups having 2, 3 or 4 carbon atoms.

**[0051]** The term "cycloalkyl" as used herein includes reference to an alicyclic moiety having 3, 4, 5, 6, 7 or 8 carbon atoms in a single ring. The cycloalkyl may be  
 25 functionalised with a group such as an epoxide to produce a cycloalkyl epoxide. A group of this type will comprise two fused rings. The cycloalkyl may be functionalised with a group such as an isocyanate to produce a cycloalkyl isocyanate. Alternatively, the group may be a bridged or polycyclic ring system, more than one of which may be functionalised with epoxide. For example, a bridged or polycyclic ring system may comprise two rings,  
 30 both of which are functionalised with epoxide. More often cycloalkyl groups are monocyclic. The term cycloalkyl includes reference to groups such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, norbornyl, bicyclo[2.2.2]octyl and the like.

**[0052]** The terms "alkoxy" and "C<sub>1</sub>-C<sub>6</sub> alkoxy" as used herein include reference to -O-alkyl, wherein alkyl is straight or branched chain and comprises 1, 2, 3, 4, 5 or 6 carbon  
 35 atoms. In one class of embodiments, alkoxy has 1, 2, 3 or 4 carbon atoms. This term



includes reference to, for example, methoxy, ethoxy, propoxy, isopropoxy, butoxy, tert-butoxy, pentoxy, hexoxy and the like. The term "lower alkoxy" includes reference to alkoxy groups having 1, 2, 3 or 4 carbon atoms.

**[0053]** The terms "halo" or "halogen" as used herein includes reference to F, Cl, Br or I.

5 In a particular class of embodiments, halogen is F or Cl, of which F is more common.

**[0054]** Where a compound, moiety, process or product is described as "optionally" having a feature, the disclosure includes such a compound, moiety, process or product having that feature and also such a compound, moiety, process or product not having that feature. Thus, when a moiety is described as "optionally substituted", the disclosure  
10 comprises the unsubstituted moiety and the substituted moiety.

**[0055]** Where two or more moieties are described as being "independently" or "each independently" selected from a list of atoms or groups, this means that the moieties may be the same or different. The identity of each moiety is therefore independent of the identities of the one or more other moieties.

15 **[0056]** The term "CCL" refers to the crosslink density, typically expressed as a percentage. Throughout the description and claims of this specification, the phrases "degree of crosslinking", "level of crosslinking" and "crosslink density" or similar mean CCL.

**[0057]** The term "STEL" refers to the short term exposure limit. It typically represents  
20 the maximum concentration of a contaminant (e.g. a compound) that is permitted by a standard. For example, the NSF 61 standard specifies STEL values that represent the maximum concentration of a contaminant that is permitted in drinking water for an acute exposure calculated in accordance with the standard.

**[0058]** The term "TAC" refers to total allowable concentration. This is typically the  
25 maximum concentration of a contaminant (e.g. a compound) that a single product is allowed to contribute to a fluid. For example, the NSF 61 standard specifies TAC values that represent the maximum concentration of a contaminant in drinking water that a single product is allowed to contribute in accordance with the standard.

## PIPES

30 **[0059]** Unless indicated otherwise in this specification, any reference to a specific component (e.g. polyolefin structural polymer, photoinitiator, reactive extrusion species, co-agent, hindered amine light stabiliser, antioxidant, or any optional additive) in an amount of % by weight is a reference to the component as a % of its weight relative to the total weight of the layer of the pipe in which it is present.

**[0060]** Polymeric pipes of the invention comprise a polyolefin structural polymer.

Although the structural polymer may be polyethylene (PE), those of ordinary skill in the art understand that various other structural polymers may be used in place of polyethylene.

For example, the structural polymer may be a polyolefin such as PE (e.g., PE-raised

5 temperature, or PE-RT), polypropylene (PP), polybutylenes (PB); any copolymers thereof; polyolefin copolymers such as poly(ethylene-co-maleic anhydride); among other polymers. For example, the structural polymer may be polyethylene, polypropylene, polybutylene, and higher olefinic polymers; copolymers of ethylene, propylene, 1-butene, 2-butene, 1-pentene, 1-hexene, 1-heptene and 1-octene and isomers thereof with each other and with  
10 other unsaturated monomers. Block copolymers and polymer blends of polymerised monomers of any of the abovementioned polymers are also included. The polymeric pipe may have at least 85 % by weight polyolefin structural polymer, at least 90 % by weight polyolefin structural polymer, or at least 95 % by weight polyolefin structural polymer.

**[0061]** Preferred polyolefin structural polymers for use in the present invention include  
15 polyethylene, polypropylene, and mixtures thereof.

**[0062]** Polyethylene (PE) is classified into several different categories based mostly on its density and branching. The final product performance and mechanical properties depend significantly on variables such as the extent and type of branching, the crystallinity, the density, and the molecular weight and its distribution. As mentioned, PEX  
20 pipes are by far and most commonly manufactured from high density polyethylene (HDPE), however, this invention is applicable where any type of polyolefin or polyethylene is used for the production of single-layer or multi-layer plastic pipes such as, but not limited to, low density polyethylene (LDPE), medium density polyethylene (MDPE), PE 100, PE 80, PE-RT grades, and ultra-high molecular weight polyethylene (UHMWPE) or  
25 combinations thereof. Examples of commercially available PE that may be used in pipes of the present invention include Basell Q 456, Basell Q 456B, Basell New Resin, Basell Q 471, (all three of which are available from Equistar Chemicals, LP Lyondell Basell Company, Clinton Iowa, United States) Borealis HE 1878, Borealis HE 1878 E, Borealis HE 2550 (all three of which are available from Borealis AG).

**[0063]** The polymeric pipes of the invention may comprise cross-linked polyethylene (PEX) as the polyolefin structural polymer, in which case the pipe may be a PEX pipe. The structural polymer in such a pipe may comprise or consist of any of the varieties of polyethylene mentioned herein which has been crosslinked, preferably by the action of UV-radiation.

**[0064]** The pipes of the invention may be pipes, for example PEX pipes, that meet  
35 temperature and pressure ratings requirements of 160 psi at 23 °C (73.4 °F), 100 psi at

82.2 °C (180 °F), and 80 psi at 93.3 °C (200 °F). Minimum burst ratings are at 475 psi at 23 °C (73.4 °F) (5/8 inch and larger). PEX pipes of the invention may also meet additional performance characteristics and requirements set out in the ASTM F 876 approved February 1, 2010, which is incorporated by reference in its entirety.

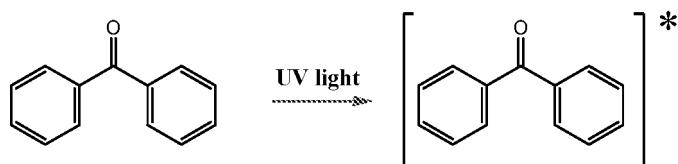
5 **[0065]** Polymeric pipes of the invention may comprise a photoinitiator, for example one or more photoinitiators; e.g. at least 1, at least 2, at least 3, at least 4 or at least 5 photoinitiators as defined herein. The photoinitiator may be present in an amount of 0.02 to 3% by weight, for example 0.2 to 3% by weight, e.g. the photoinitiator may be present in an amount of 0.2 to 2.5% by weight or 0.2 to 2% by weight. For example, the  
10 photoinitiator may be present in an amount of 0.3 to 1.5% by weight, 0.4 to 1.2% by weight, or 0.4 to 1% by weight; e.g. about 0.5 % by weight, about 0.75 % by weight or about 1% by weight.

**[0066]** The photoinitiator has an important role in crosslinking the polyolefin structural polymer. The photoinitiator may be selected from the class of "Type II free radical  
15 Photoinitiators". Exemplary Type II photoinitiators include benzophenone and its derivatives, thioxanthone and its derivatives, anthraquinone and its derivatives, camphorquinone, benzyl, and dibenzosuberone.

**[0067]** Type II photoinitiators undergo a bimolecular reaction where the excited state of the photoinitiator normally interacts with a second molecule (co-initiator) to generate free  
20 radicals. The excited state can also abstract hydrogens from the surrounding polymer matrix, e.g., from a polyethylene polymer backbone, to induce and complete the necessary crosslinking, as being relevant in the present invention.

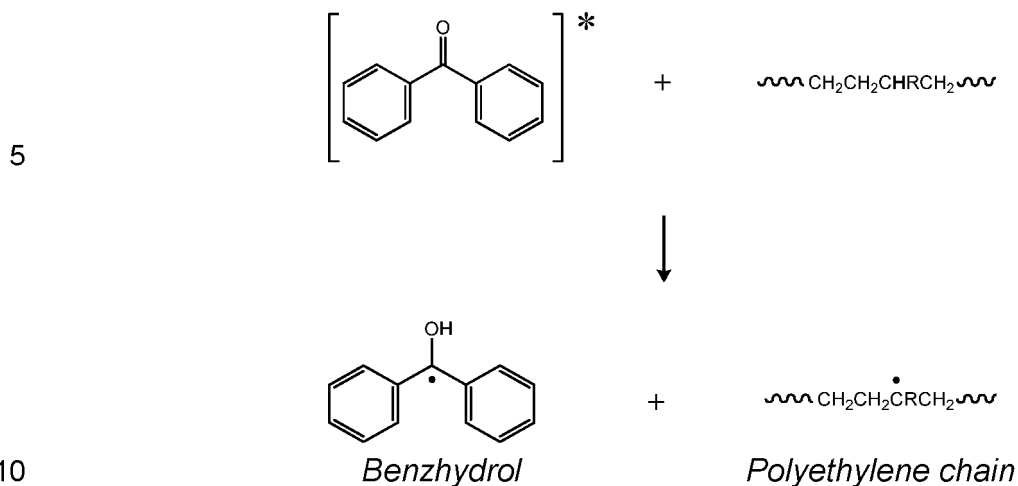
**[0068]** The photoinitiator may be benzophenone or a benzophenone derivative. For example, the photoinitiator may be selected from one or more of benzophenone,  
25 benzophenone substituted at the 4-position and benzophenone substituted at the 4 and 4'-position. When such a photoinitiator is used, the crosslinking process involves a radical mechanism to facilitate crosslinking. This process is illustrated below for the photoinitiator benzophenone and PE and comprises the steps of initiation, hydrogen abstraction and crosslinking.

30 **[0069]** Initiation:

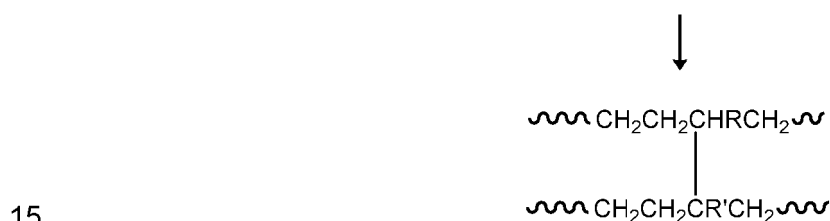


*Benzophenone**Excited state*

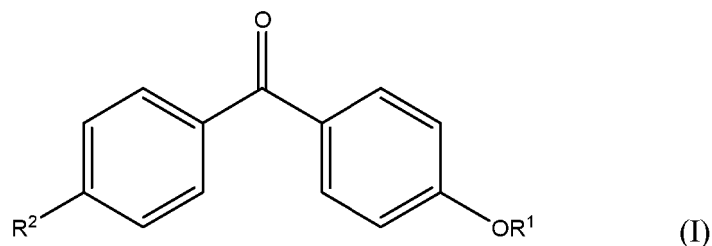
[0070] Hydrogen abstraction from the polyethylene chain:



[0071] Crosslinking to form a crosslinked polymer network (in this example PEX):



[0072] The photoinitiator may be a benzophenone substituted at the 4-position or 4 and 4'-position of the following formula:



20 [0073]  $\text{R}^1$  is selected from  $-\text{C}_4 - \text{C}_{24}$  alkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  alkenyl),  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  alkynyl),  $-\text{C}_4 - \text{C}_{24}$  haloalkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  haloalkenyl),  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  haloalkynyl),  $-(\text{CH}_2)_n[\text{O}(\text{CH}_2)_p]_q(\text{CH}_2)_r\text{R}^4$ .

25 [0074] In an embodiment,  $\text{R}^1$  is selected from  $-\text{C}_4 - \text{C}_{24}$  alkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  alkenyl),  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m}$  alkynyl).  $\text{R}^1$  may be selected from  $-\text{C}_5 - \text{C}_{22}$  alkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m}$  alkenyl), or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m}$  alkynyl).  $\text{R}^1$  may be selected from  $-\text{C}_6 - \text{C}_{20}$  alkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m}$  alkenyl), or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m}$  alkynyl).

[0075] The alkyl, alkenyl or alkynyl may be straight chain alkyl, alkenyl or alkynyl. The

alkyl, alkenyl or alkynyl may be a branched chain alkyl, alkenyl or alkynyl. The alkyl, alkenyl or alkynyl may be or comprise a cyclic alkyl, alkenyl or alkynyl. The alkenyl may comprise 1 or 2 double bonds, e.g. the alkenyl may comprise 1 double bond. The alkynyl may comprise 1 or 2 triple bonds, e.g. the alkynyl may comprise 1 triple bond.

- 5 **[0076]**  $R^1$  may be  $-C_4 - C_{24}$  alkyl, for example  $-C_5 - C_{22}$  alkyl, e.g.  $-C_6 - C_{20}$  alkyl or  $-C_8 - C_{18}$  alkyl.  $R^1$  may be  $-C_4$  alkyl,  $-C_5$  alkyl,  $-C_6$  alkyl,  $-C_7$  alkyl,  $-C_8$  alkyl,  $-C_9$  alkyl,  $-C_{10}$  alkyl,  $-C_{11}$  alkyl,  $-C_{12}$  alkyl,  $-C_{13}$  alkyl,  $-C_{14}$  alkyl,  $-C_{15}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{17}$  alkyl,  $-C_{18}$  alkyl,  $-C_{19}$  alkyl,  $-C_{20}$  alkyl,  $-C_{21}$  alkyl,  $-C_{22}$  alkyl,  $-C_{23}$  alkyl, or  $-C_{24}$  alkyl; for example  $R^1$  may be  $-C_8$  alkyl,  $-C_9$  alkyl,  $-C_{10}$  alkyl,  $-C_{11}$  alkyl,  $-C_{12}$  alkyl,  $-C_{13}$  alkyl,  $-C_{14}$  alkyl,  $-C_{15}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{16}$  alkyl,  $-C_{17}$  alkyl,  $-C_{18}$  alkyl.

- [0077]**  $R^1$  may be  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl). For example,  $R^1$  may be  $-(CH_2)_m(C_2 - C_{22-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{20-m}$  alkenyl), or  $-(CH_2)_m(C_2 - C_{18-m}$  alkenyl).  $R^1$  may be  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl). For example,  $R^1$  may be  $-(CH_2)_m(C_2 - C_{22-m}$  alkynyl),  $-(CH_2)_m(C_2 - C_{20-m}$  alkynyl), or  $-(CH_2)_m(C_2 - C_{18-m}$  alkynyl).

- [0078]**  $R^1$  may be  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl).  $R^1$  may be selected from  $-C_5 - C_{22}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{22-m}$  haloalkenyl), or  $-(CH_2)_m(C_2 - C_{22-m}$  haloalkynyl).  $R^1$  may be selected from  $-C_6 - C_{20}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{20-m}$  haloalkenyl), or  $-(CH_2)_m(C_2 - C_{20-m}$  haloalkynyl).

- 20 **[0079]** The haloalkyl, haloalkenyl or haloalkynyl may be straight chain haloalkyl, haloalkenyl or haloalkynyl. The haloalkyl, haloalkenyl or haloalkynyl may be a branched chain haloalkyl, haloalkenyl or haloalkynyl. The haloalkyl, haloalkenyl or haloalkynyl may be or comprise a cyclic haloalkyl, haloalkenyl or haloalkynyl. The haloalkenyl may comprise 1 or 2 double bonds, e.g. the haloalkenyl may comprise 1 double bond. The haloalkynyl may comprise 1 or 2 triple bonds, e.g. the haloalkynyl may comprise 1 triple bond.

- [0080]**  $R^1$  may be  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^4$ .

**[0081]**  $R^2$  is selected from  $-H$  and  $-O-R^3$ .  $R^2$  may be  $-H$ .  $R^2$  may be  $-O-R^3$ .

- [0082]**  $R^3$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl),  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl),  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^5$ .

**[0083]** In an embodiment,  $R^3$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl).  $R^3$  may be selected from  $-C_5 - C_{22}$  alkyl,  $-(CH_2)_m(C_2 - C_{22-m}$  alkenyl), or  $-(CH_2)_m(C_2 - C_{22-m}$  alkynyl).  $R^3$  may be selected from  $-C_6 - C_{20}$  alkyl,  $-(CH_2)_m(C_2 - C_{20-m}$  alkenyl), or  $-(CH_2)_m(C_2 - C_{20-m}$  alkynyl).

$(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ alkenyl})$ , or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ alkynyl})$ .

**[0084]** The alkyl, alkenyl or alkynyl may be straight chain alkyl, alkenyl or alkynyl. The alkyl, alkenyl or alkynyl may be a branched chain alkyl, alkenyl or alkynyl. The alkyl, alkenyl or alkynyl may be or comprise a cyclic alkyl, alkenyl or alkynyl. The alkenyl may  
5 comprise 1 or 2 double bonds, e.g. the alkenyl may comprise 1 double bond. The alkynyl may comprise 1 or 2 triple bonds, e.g. the alkynyl may comprise 1 triple bond.

**[0085]**  $\text{R}^3$  may be  $-\text{C}_4 - \text{C}_{24}$  alkyl, for example  $-\text{C}_5 - \text{C}_{22}$  alkyl, e.g.  $-\text{C}_6 - \text{C}_{20}$  alkyl or  $-\text{C}_8 - \text{C}_{18}$  alkyl.  $\text{R}^3$  may be  $-\text{C}_4$  alkyl,  $-\text{C}_5$  alkyl,  $-\text{C}_6$  alkyl,  $-\text{C}_7$  alkyl,  $-\text{C}_8$  alkyl,  $-\text{C}_9$  alkyl,  $-\text{C}_{10}$  alkyl,  $-\text{C}_{11}$  alkyl,  $-\text{C}_{12}$  alkyl,  $-\text{C}_{13}$  alkyl,  $-\text{C}_{14}$  alkyl,  $-\text{C}_{15}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{17}$  alkyl,  $-\text{C}_{18}$  alkyl,  $-\text{C}_{19}$  alkyl,  $-\text{C}_{20}$  alkyl,  $-\text{C}_{21}$  alkyl,  $-\text{C}_{22}$  alkyl,  $-\text{C}_{23}$  alkyl, or  $-\text{C}_{24}$  alkyl; for example  $\text{R}^3$  may be  $-\text{C}_8$  alkyl,  $-\text{C}_9$  alkyl,  $-\text{C}_{10}$  alkyl,  $-\text{C}_{11}$  alkyl,  $-\text{C}_{12}$  alkyl,  $-\text{C}_{13}$  alkyl,  $-\text{C}_{14}$  alkyl,  $-\text{C}_{15}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{16}$  alkyl,  $-\text{C}_{17}$  alkyl,  $-\text{C}_{18}$  alkyl.  
10

**[0086]**  $\text{R}^3$  may be  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m} \text{ alkenyl})$ . For example,  $\text{R}^3$  may be  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m} \text{ alkenyl})$ ,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ alkenyl})$ , or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{18-m} \text{ alkenyl})$ .  $\text{R}^3$  may be  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m} \text{ alkynyl})$ . For example,  $\text{R}^3$  may be  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m} \text{ alkynyl})$ ,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ alkynyl})$ , or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{18-m} \text{ alkynyl})$ .  
15

**[0087]**  $\text{R}^3$  may be  $\text{C}_4 - \text{C}_{24}$  haloalkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m} \text{ haloalkenyl})$ ,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{24-m} \text{ haloalkynyl})$ .  $\text{R}^3$  may be selected from  $-\text{C}_5 - \text{C}_{22}$  haloalkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m} \text{ haloalkenyl})$ , or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{22-m} \text{ haloalkynyl})$ .  $\text{R}^3$  may be selected from  $-\text{C}_6 - \text{C}_{20}$  haloalkyl,  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ haloalkenyl})$ , or  $-(\text{CH}_2)_m(\text{C}_2 - \text{C}_{20-m} \text{ haloalkynyl})$ .  
20

**[0088]** The haloalkyl, haloalkenyl or haloalkynyl may be straight chain haloalkyl, haloalkenyl or haloalkynyl. The haloalkyl, haloalkenyl or haloalkynyl may be a branched chain haloalkyl, haloalkenyl or haloalkynyl. The haloalkyl, haloalkenyl or haloalkynyl may  
25 be or comprise a cyclic haloalkyl, haloalkenyl or haloalkynyl. The haloalkenyl may comprise 1 or 2 double bonds, e.g. the haloalkenyl may comprise 1 double bond. The haloalkynyl may comprise 1 or 2 triple bonds, e.g. the haloalkynyl may comprise 1 triple bond.

**[0089]**  $\text{R}^3$  may be  $-(\text{CH}_2)_n[\text{O}(\text{CH}_2)_p]_q(\text{CH}_2)_r\text{R}^5$ .

**[0090]** When  $\text{R}^2$  is  $-\text{H}$ ,  $\text{R}^1$  comprises at least 7 carbon atoms; for example at least 8, 9, 10 or 11 carbon atoms; e.g. at least 8 carbon atoms.  
30

**[0091]** In an embodiment,  $\text{R}^2$  is  $-\text{H}$  and  $\text{R}^1$  is not a  $-\text{C}_4 - \text{C}_{10}$  alkyl,  $-\text{C}_{20}$  alkyl,  $-\text{C}_4 - \text{C}_{10}$  alkenyl, or a  $-\text{C}_{20}$  alkenyl. For example, in an embodiment when  $\text{R}^2$  is  $-\text{H}$ ,  $\text{R}^1$  is not a  $-\text{C}_{10}$  alkyl,  $-\text{C}_{20}$  alkyl,  $-\text{C}_{10}$  alkenyl, or a  $-\text{C}_{20}$  alkenyl.

**[0092]** When  $R^2$  is  $R^3$ ,  $R^1$  and  $R^3$  may be the same. When  $R^2$  is  $R^3$ ,  $R^1$  and  $R^3$  may be different.

**[0093]**  $R^4$  is selected from  $-H$  and  $-O-R^6$ .  $R^4$  may be  $-H$ .  $R^4$  may be  $-O-R^6$ .

**[0094]**  $R^5$  is selected from  $-H$  and  $-O-R^6$ .  $R^5$  may be  $-H$ .  $R^5$  may be  $-O-R^6$ .

- 5 **[0095]**  $R^4$  and  $R^5$  may be the same or different. When both  $R^4$  and  $R^5$  are  $-O-R^6$ , each  $R^6$  may be the same or different.

**[0096]**  $R^6$  is selected from  $-H$ ,  $-C_1 - C_{18}$  alkyl,  $-(CH_2)_s(C_2 - C_{18-s}$  alkenyl), or  $-(CH_2)_s(C_2 - C_{18-s}$  alkynyl). For example,  $R^6$  may be  $-H$ . For example,  $R^6$  may be  $-C_1 - C_6$  alkyl, e.g.  $-C_1 - C_4$  alkyl.

- 10 **[0097]**  $m$  is 2 – 22. For example,  $m$  is 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, or 22. Preferably,  $m$  is 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20, e.g. 6 – 18, 8 – 18 or 10 – 18. For example, when  $R^2$  is  $-H$ ,  $m$  may be at least 7, e.g. at least 8, at least 10, or at least 12. For example, when  $R^2$  is  $-H$ ,  $m$  may be 8 – 18, 8 – 16, 8 – 14, 10 – 18, 10 – 16 or 10 – 14.

- 15 **[0098]**  $n$  is 1 – 12. For example,  $n$  may be 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or 12. Preferably,  $n$  is 1, 2, 3, 4, 5 or 6, e.g.  $n$  may be 2, 3 or 4.

**[0099]**  $p$  is 2, 3, 4, 5 or 6. For example  $p$  may be 2, 3 or 4, e.g. 2 or 3.

**[00100]**  $q$  is 2 – 10. For example,  $q$  may be 2, 3, 4, 5, 6, 7 or 8; e.g.  $q$  may be 2 – 6, 2 – 4, 3 – 6 or 3 – 4. Preferably,  $q$  is 2, 3 or 4.

- 20 **[00101]**  $r$  is 0, 1 or 2. For example,  $r$  may be 0 or 1.

**[00102]**  $s$  is 2 – 16. For example,  $s$  may be 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15 or 16.  $s$  may be 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12; e.g.  $s$  may be 2 – 8, 2 – 6, 4 – 8 or 4 – 6.

**[00103]** Without wishing to be bound by any theory, it is believed that the alkyl, cycloaliphatic or similar groups of the photoinitiator compounds used in the invention

- 25 contribute to reduced leaching from the polymer matrix of the 4- and 4,4'-benzophenone derivatives, and corresponding benzhydrol derivatives out of the resulting pipe. For example, it is believed that the alkyl, cycloaliphatic, or similar groups located at the 4- and 4,4'- positions of the of benzophenone or benzhydrol derivatives provide a portion of the compound that is compatible with a polyolefin structural polymer (e.g. a polyethylene).

- 30 This compatibility provides a level of miscibility and homogeneity in the polymer blend that prevents the leaching of the photoinitiator and corresponding benzhydrol species out of the pipe. Where the benzophenone derivative photoinitiators comprise at least one alkenyl or alkynyl bond in the groups at the 4 and / or 4' position of the benzophenone

moiety, this may covalently bond to the polyolefin structural polymer of the pipe, which may further prevent leaching of the photoinitiator and corresponding benzhydrol species out of the pipe.

**[00104]** Polymeric pipes of the invention may comprise a co-agent, for example one or more co-agents; e.g. at least 1, at least 2, at least 3, at least 4 or at least 5 co-agents as defined herein. The selected co-agents (monomers and/or oligomers) used in the formulations in the present invention comprise at least one polymerizable double bond or reactive group. The co-agent provides additional crosslinks between the polyolefin chains of the polyolefin structural polymer. The co-agent thus acts to promote and enhance the efficiency of the crosslinking process, e.g. where the polyolefin structural polymer is polyethylene the co-agent enhances the crosslinking of the polyethylene chains to produce PEX. The co-agent (or total amount of co-agents) may be present in an amount of 0.02 to 10% by weight. For example, the co-agent may be present in an amount of 0.1 to 5% by weight, 0.2 to 1% by weight, 0.3 to 0.7% by weight, e.g. about 0.5 % by weight.

**[00105]** The co-agent may be selected from co-agents comprising reactive groups such as acrylates, allyl ethers, polybutadienes, vinyl ethers, and also unsaturated vegetable oils, such as soybean oil. For example, the co-agent may be selected from acrylates, allyl ethers, polybutadienes and vinyl ethers. The co-agent may comprise a reactive carbon-carbon double bond. A reactive carbon-carbon double bond may be a carbon-carbon double bond that is a terminal carbon-carbon bond. A reactive carbon-carbon double bond may be a carbon-carbon double bond where one of the carbon atoms comprises two geminal hydrogen atoms.

**[00106]** The co-agent may comprise multiple double bonds. We have discovered that this enhances the level of crosslinking. Preferred co-agents comply with NSF 61 requirements. Polymeric co-agents such as polybutadienes, or any polymer backbone containing unsaturated moieties may be used within the framework of the present invention, and will typically comply with NSF 61 requirements when used in pipes of the invention.

**[00107]** For example, a wide variety of acrylates can be used as co-agents, and include: 1,6-hexanediol diacrylate, 1,3-butylene glycol diacrylate, diethylene glycol diacrylate, trimethylolpropane triacrylate, neopentyl glycol diacrylate, polyethylene glycol 200 diacrylate, tetraethylene glycol diacrylate, triethylene glycol diacrylate, pentaerythritol tetraacrylate, tripropylene glycol diacrylate, ethoxylated bisphenol-A diacrylate, propylene glycol (mono) dimethacrylate, trimethylolpropane diacrylate, di-trimethylolpropane tetraacrylate, triacrylate of tris(hydroxyethyl) isocyanurate, dipentaerythritol hydroxypentaacrylate, pentaerythritol triacrylate, ethoxylated trimethylolpropane triacrylate,



triethylene glycol dimethacrylate, ethylene glycol dimethacrylate, tetraethylene glycol dimethacrylate, polyethylene glycol-200 dimethacrylate, 1,6-hexanediol dimethacrylate, neopentyl glycol dimethacrylate, polyethylene glycol-600 dimethacrylate, 1,3-butylene glycol dimethacrylate, ethoxylated bisphenol-A dimethacrylate, trimethylolpropane

5 trimethacrylate, 1,4-butanediol diacrylate, diethylene glycol dimethacrylate, pentaerythritol tetramethacrylate, glycerin dimethacrylate, trimethylolpropane dimethacrylate, pentaerythritol trimethacrylate, pentaerythritol dimethacrylate, pentaerythritol diacrylate, aminoplast(meth)acrylates, acrylated oils such as linseed, soy bean oil, castor oil, etc. Other applicable polymerizable compounds include methacrylamides, maleimides, vinyl

10 acetate, vinyl caprolactam, thiols and polythiols. Styrene derivatives are also readily applicable within the framework of this invention.

**[00108]** The co-agent may be an oligomer or a pre-polymer. For example, the co-agent may be an oligomer or a pre-polymer having acrylate functionality, e.g. selected from polyurethane acrylates, epoxy acrylates, silicone acrylates, and polyester acrylates. Other

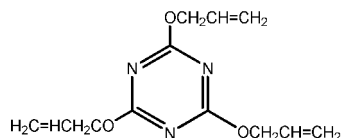
15 exemplary co-agents include (meth)acrylated epoxies, (meth)acrylated polyesters, (meth)-acrylated silicones, (meth)acrylated urethanes/polyurethanes, (meth)acrylated polybutadiene, (meth)acrylated acrylic oligomers and polymers, and the like, and any combinations thereof. A preferred co-agent is an oligomer or pre-polymer that is a polyurethane acrylate, for example a Krasol NN prepolymer (available from Cray Valley,

20 Inc., Exton, PA, USA).

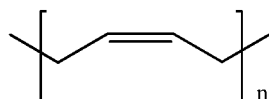
**[00109]** For example, we used polybutadiene-Ricon 152 and obtained a CCL value of 80% and a pass for the NSF 61 test and a clear pipe in terms of colour. Similarly we used trimethylolpropane triacrylate (TMPTA) and obtained an acceptable CCL value and a pass for the NSF 61 test and a clear pipe in terms of colour.

25 **[00110]** Further examples of co-agents of use in the invention include the following:

**[00111]** Triallylcyanurate

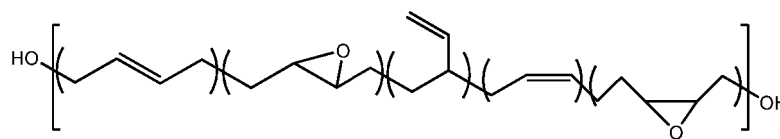


**[00112]** Polybutadiene



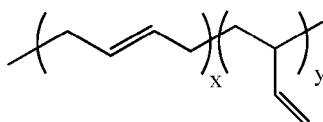
30 wherein n is selected such that the average molecular weight is from about 2,000 to about 10,000 g/mol, preferably from about 4,000 to about 7,000 g/mol, e.g. the average molecular weight may be 3,000 or 5,000 g/mol.

**[00113]** Hydroxy terminated and epoxidized polybutadiene (Poly BD 600E)



having an average molecular weight of about 2,100 g/mol.

**[00114]** Polybutadiene-Ricon

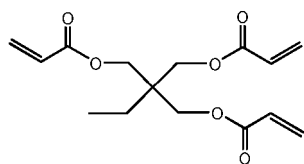


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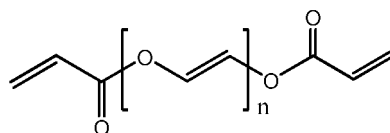
wherein x and y are selected such that the average molecular weight is from about 1,000 to about 10,000 g/mol, preferably from about 2,000 to about 7,000 g/mol. For example, Polybutadiene-Ricon 142 of average molecular weight 4,100 g/mol (CAS # 9003-17-2). Polybutadiene-Ricon 152 of average molecular weight 2,900 g/mol (CAS # 9003-17-2).

10 Polybutadiene-Ricon 156 of average molecular weight 2,900 g/mol. Polybutadiene-Ricon 157 of average molecular weight 1,800 g/mol.

**[00115]** Trimethylolpropane triacrylate (TMPTA)



**[00116]** Poly(ethylene glycol) diacrylate (CAS #: 26570-48-9)



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where n is selected such that the average molecular weight is about 575 g/mol.

**[00117]** Polybutadiene diacrylate (CAS #: 9003-17-2) of average molecular weight 2,200 g/mol.

**[00118]** Krasol® NN polyurethane prepolymer (available from Cray Valley, Inc., Exton, PA, USA), for example Krasol® NN 32 (9% NCO, MDI based, 56% vinyl content, viscosity of 12,000 Cps at 25 °C and/or Krasol® NN 35.

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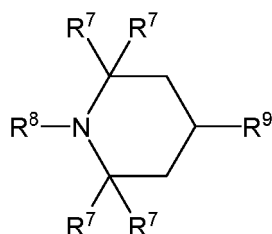
**[00119]** Polymeric pipes of the invention may comprise a hindered amine light stabilizer (HALS), for example one or more HALS; e.g. at least 1, at least 2, at least 3, at least 4 or at least 5 HALS as defined herein. HALS are compounds in which an amine group is sterically hindered by adjacent functional groups. The physical properties of structural polymers such as PE may tend to degrade over time when exposed to ultraviolet (UV)

25

wavelengths of light. The use of HALS in the polymer blend interferes with this degradation and facilitates the maintenance of the structural polymer properties over time. The total amount of HALS (e.g., any one or more of the HALS described herein) may be from 0.05 to 1% by weight, from 0.05 to 0.5 % by weight, from 0.07 to 0.3 % by weight, from 0.1 to 0.25 % by weight, or from 0.1 to 0.2 % by weight; or about 0.1 % by weight, or about 0.15 % by weight.

**[00120]** Exemplary HALS are described in WO 2010/138816 A1, which is incorporated by reference in its entirety. For example, WO 2010/138816 A1 discloses, at paragraph [0050] on pages 15 to 17, HALS that may be used in the pipes of the invention.

**[00121]** The HALS may comprise a piperidine group. In some such embodiments, the HALS may be a compound of the following formula:



**[00122]** Each R<sup>7</sup> and R<sup>8</sup> may be hydrogen or a C<sub>1</sub>-C<sub>30</sub> saturated or unsaturated aliphatic moiety. R<sup>9</sup> may be a C<sub>2</sub>-C<sub>30</sub> saturated or unsaturated straight, branched or cyclic aliphatic moiety such as a hydrocarbon (consisting only of carbon and hydrogen), an ester, an ether, or other suitable functional group.

**[00123]** In some embodiments, at least one of the R<sup>7</sup> groups at each of the 2 and 6 positions is a C<sub>1</sub>-C<sub>30</sub> saturated or unsaturated straight, branched or cyclic aliphatic moiety, while in other embodiments both of the R<sup>7</sup> groups at each of the 2 and 6 positions is a C<sub>1</sub>-C<sub>30</sub> saturated or unsaturated straight, branched or cyclic aliphatic moiety. In one example, one or both of the R<sup>7</sup> groups at the 2 and 6 positions in the piperidine ring are alkyl groups (e.g., a methyl or ethyl group), and R<sup>8</sup> is a hydrogen, methyl or ethyl group.

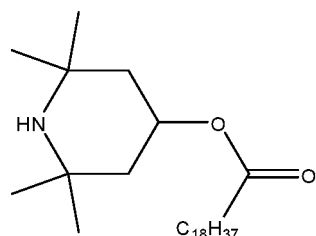
**[00124]** R<sup>9</sup> may be a long chain (C<sub>6</sub> or greater, C<sub>8</sub> or greater, C<sub>12</sub> or greater, or C<sub>16</sub> or greater) straight or branched aliphatic functional group, at least a portion of which is compatible with a nonpolar polyolefin structural polymer such as PE. R<sup>9</sup> may include an alicyclic structure such as a second piperidine ring. Where R<sup>9</sup> includes a piperidine ring, a portion of R<sup>9</sup> may act as a bridge between two piperidine rings. In some such embodiments, the bridge between the two piperidine rings may be a saturated aliphatic moiety or an unsaturated aliphatic moiety (e.g., it may contain a C-C double bond such as methylene). In addition, R<sup>9</sup> may also be included at other positions on the piperidine ring, for example at any one, any two, or at all three of, the 3, 4 and 5 positions on the

piperidine ring.

- [00125]**  $R^9$  may be an ester of formula  $-R^{10}C(O)OR^{11}$  or  $-R^{11}C(O)OR^{10}$ .  $R^{10}$  may be either the piperidine ring (where the ester moiety is attached directly to the piperidine ring) or an additional functional group (e.g., a  $C_1$ - $C_{30}$  saturated or unsaturated aliphatic functional group) that functions as a bridging group between the ester moiety and the piperidine ring.  $R^{11}$  may be a  $C_2$ - $C_{30}$  saturated or unsaturated carbon-containing moiety, for example an aliphatic function group (e.g., a straight, branched or cyclic aliphatic group).  $R^{11}$  together with the carbon atom of the ester moiety may form a  $C_2$ - $C_{30}$  saturated or unsaturated ester.

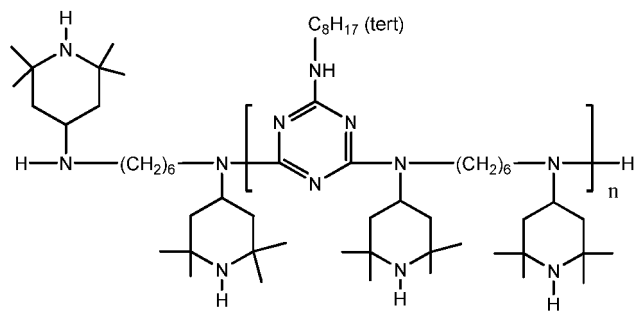
- [00126]** Further examples of HALS of use in the present invention are the following:

**[00127]** Cyasorb 3853, which may be represented by formula



which has a molecular weight of 379 g/mol.

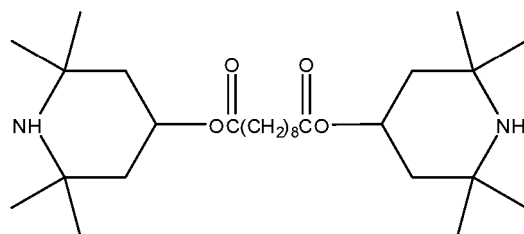
**[00128]** Chimassorb 944LD, which may be represented by formula



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which has a molecular weight of 2000 to 3100 g/mol.

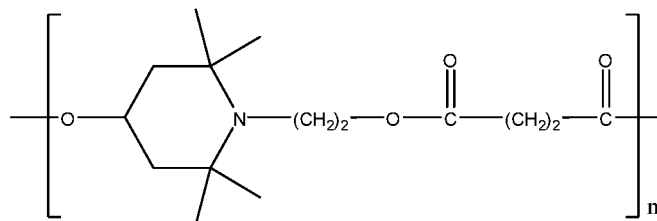
**[00129]** Tinuvin 770, which may be represented by formula



which has a molecular weight of 481 g/mol.

- [00130]** Tinuvin 622, which may be represented by formula

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which has a molecular weight of 3100 to 4000 g/mol.

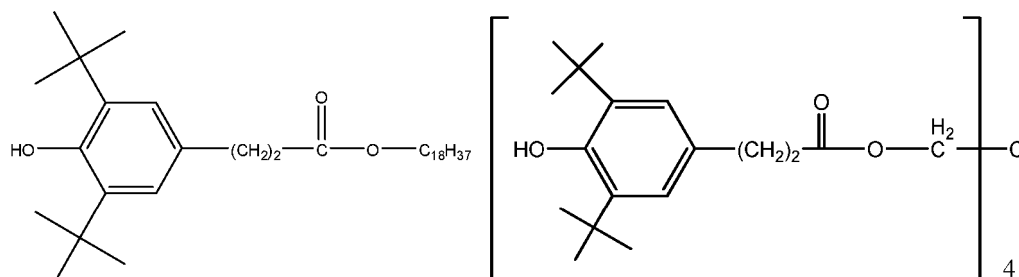
[00131] Without being bound by any theory, it is believed that the structure of the HALS of the invention contributes to reduced leaching from the polymer matrix. For example, it is believed that the relatively long chain fatty acid portions that do not act as bridging groups in the HALS Cyasorb 3853 provide a portion of the compound that is compatible with a polyolefin structural polymer (e.g. a polyethylene). This compatibility provides a level of miscibility and homogeneity in the polymer blend that both improves the burst strength of the finished pipe and prevents and / or reduces the leaching of the Cyasorb 3853 into any water that is resident within the pipe.

[00132] Polymeric pipes of the invention may comprise an antioxidant, for example one or more phenolic antioxidants; e.g. at least 1, at least 2, at least 3, at least 4 or at least 5 antioxidants as defined herein. Antioxidants may be used to preserve the polymer blend during the production process, for example when the polymer blend is exposed to the elevated heat and pressure of the extrusion process. Specifically, the mechanical properties of some structural polymers, such as PE, will tend to deteriorate due to oxidative degradation when exposed to heat and pressure. For example, in some cases the deterioration will evidence as the formation of shorter chains, effectively decreasing the average molecular weight of the structural polymer and changing the characteristics of the structural polymer. Antioxidants act to prevent or limit such deterioration.

[00133] Antioxidants may also facilitate the maintenance of pipe properties over time, especially when the pipe is exposed to chlorine or other oxidizing agents. In one example, a fluid (e.g. potable water) that is present in the pipe may contain oxidizing agents such as chlorine, which over time may tend to oxidize and break down a structural polymer such as PE. Such oxidation may cause degradation in the properties of the structural polymer and the finished pipe. In some examples, antioxidants tend to preserve the properties of the structural polymer in the presence of an oxidative environment. The total amount of antioxidant (e.g., any one or more of the antioxidants described herein) may be from 0.1 to 1 % by weight, from 0.25 to 0.75 % by weight, from 0.2 to 0.6 % by weight, from 0.38 to 0.57 % by weight, or about 0.5 wt%.

[00134] Suitable antioxidants include phenolic antioxidants. Examples of such antioxidants are described in WO 2010/138816 A1, which is incorporated by reference in

its entirety. For example, WO 2010/138816 A1 discloses, at paragraph [0048] on pages 12 to 14, antioxidants that may be used in the pipes of the invention. Exemplary antioxidants that may be used in the polymeric pipes of the invention include:



5 Irganox 1076

Irganox 1010.

**[00135]** Certain pipes of the invention that comprise a hindered amine light stabilizer and / or an antioxidant may provide an oxidative resistance as measured under the OR test (described under the subheading “Assays” below) of greater than 50 years, greater than 75 years, greater than 100 years, greater than 150 years, or greater than 200 years.

10 Certain AO/HALS combinations may also provide greater than 60 minutes, greater than 75 minutes, greater than 90 minutes, greater than 100 minutes, or greater than 125 minutes under the OIT test.

**[00136]** Pipes of the invention may comprise further additives, for example the pipes may comprise one or more processing aids, fillers or pigments. For example, pipes of the present invention may comprise fillers, e.g. nano-particles, nano-fibres, or other organic fillers, inorganic fillers, fibres, or particles. For example the pipes may comprise processing aids, e.g. fluoropolymers. For example, pipes of the present invention may comprise a fluoropolymer that is selected from 3M™ Dynamar™ polymer processing additives, e.g. 3M™ Dynamar™ Polymer Processing Additive FX 9613 (available from 3M

15 Center, St. Paul, MN). When the pipe comprises at least one processing aid, the processing aid may be present at a level of from 0.01 to 1% by weight, for example 0.01 to 0.5% by weight; e.g. 0.02 to 0.4% by weight, 0.02 to 0.1% by weight.

**[00137]** In a preferred embodiment, the material compositions for the pipes according to the invention include combinations of a least one component selected from each of the following six classes of chemical compounds (and may include more than one component

25 from one or more classes): polyolefin, most preferably polyethylene; photoinitiator(s); co-agent(s) (monomers and/or unsaturated oligomers and/or unsaturated polymers); anti-oxidant(s); and processing aids. In the case of drinking water pipes, the required components may further include light stabilisers, such as hindered amine light stabilizer(s).

30 All of these components may be as defined previously.

## METHODS

**[00138]** Pipes may be manufactured in accordance with a method or process of the invention. The process of the present invention specifically requires both a co-rotating twin screw extruder to produce PEX pipes and the presence of at least one UV irradiator unit to achieve the crosslinking. The process may include a gear pump to ensure consistency of the extrudate. The process is based on photo-induced crosslinking (UV irradiation) which is very fast and has flexibility in material choice. However there is no premature crosslinking in the extruder.

**[00139]** Specific details of the apparatus of the invention can be seen from the flowchart of Figure 1 and the schematic drawing in Figure 2 and Figure 3. The apparatus of the invention is typically arranged "in line" as a single production line, for example to provide greater convenience. For the purposes of providing enhanced clarity in the schematic drawings, however, the apparatus has been split over Figure 2 and Figure 3. With reference to Figures 1 to 3, the apparatus typically includes the following components:

**[00140]** (A) Batch mixing: polyolefin structural polymer (e.g. HDPE), along with photoinitiator(s); and optionally one or more of an anti-oxidant (AO), a light stabiliser such as a hindered amine light stabilizer (HALS), co-agent(s) processing aid(s) and filler(s), to make up the formulation. These components may be premixed in a rotational mixer. The components may be the components of a pipe of the invention as described herein.

**[00141]** (B) Feeding: The mixed material is metered into the extrusion feed throat 2.

**[00142]** (C) Co-rotating twin screw extrusion: A co-rotating twin screw extruder 3 is used to melt, mix, and meter material. With co-rotating, modular screw design technology, influence on material processing properties can be achieved by altering the screw configuration. Influence on material processing properties can also be achieved separately by running at variable RPM, and / or by changing individual barrel temperature values. High flexibility in output and line speeds are key features of this technology.

**[00143]** (D) Gear pump: From the extruder 3, melted polymer passes through a gear pump 4. The gear pump 4 delivers a precise volume of material to the die head 5.

**[00144]** (E) Die head: The die head 5 may be of a spiral mandrel design, and is attached directly after the gear pump 4. This is where polymer is shaped into a pipe profile, via a tip and die attached at the end. The tip and die are machined to specified dimensions as necessary.

**[00145]** (F) Curing chamber with UV Irradiators: Directly after the tip and die, the extruded pipe is introduced to a high energy UV irradiator unit 6. This irradiator makes high speed and efficient crosslinking possible. The irradiator unit 6 may comprise, a series of irradiator modules that are assembled in a row, with each subsequent irradiator module

adjacent to the previous module, so that pipe is constantly and consistently being exposed to a controlled level of UV radiation. Other arrangements of UV lamps may also be used, as would be contemplated by the skilled person. The irradiator unit 6 may comprise microwave powered UV lamps, for example high efficiency microwave powered lamps.

5    **[00146]** (G) Calibration fixture: The pipes next enter the cooling unit 8 through a calibration fixture 7, where the pipe dimensions are calibrated and fixed.

**[00147]** (H) Cooling unit: The cooling is conducted by a cooling unit 8. The cooling unit may comprise a row of spray cooling water baths.

10    **[00148]** (I) Take up reel: At the end of the production line, typically a coiler 9 receives and puts the pipes on reels.

**[00149]** The process is based on the use of a photo-crosslinkable pipe formulation where a photoinitiator (PI) is added. The PI interacts with the UV irradiation to induce crosslinking of the pipe formulation to form crosslinked polyethylene, i.e., PEX. Along with other additives mixed in with the formulation (when present), the presence of the  
15    photoinitiator allows for the tubing to be crosslinked throughout the entire pipe wall. The pipes of the invention may be formulated according to the compositions and components described herein above. Pipes of the invention may also be formed using the formulations of the examples.

**[00150]** The twin screws used in the extruder can in principle be constructed in two  
20    different ways, i.e., with a co-rotating or a counter-rotating design. The choice made in the case of the present invention is critical for a number of reasons. Ordinarily, it would be expected that a counter-rotating screw would be an efficient material pump relative to a single screw or a RAM extruder. This means it would be expected to increase the productivity i.e. line speed. However, we have found that a co-rotating extruder is actually  
25    an excellent tool for advanced material development and leads to consistent material as well as superior line speed. We have found the co-rotating twin screws to be excellent material pumps for a material such as a thermoplastic polyolefin. Advantages of the arrangement used in this invention include excellent pipe homogeneity and efficient optimisation of pipe tolerances.

30    **[00151]** The co-rotating twin screws are configured with a number of screw elements along the screw axis, which may be combined in a number of different configurations according to the nature of the input raw olefin and additives. The extruder body of typical co-rotating twin screws is constructed of barrels, which can be seen as separate reactors which also provide the flexibility to dedicate one or more specific barrels to perform in  
35    certain ways. For example, it is possible to performed direct injection of raw materials into



specific zones of the process i.e. into specific barrels. It is also possible to control the conditions in each barrel independently.

**[00152]** The two parallel co-rotating screws consist of a number of designed screw elements. They are arranged in a modular flexible design with typically 10 operative zones (barrels). Each barrel can have its own design and function (heating, cooling, etc.). The geometry of the screws is such that the channels are lengthwise open, i.e., there is opportunity for flow in axial direction; flow is possible both upstream and upstream. The screws are crosswise closed with conveying elements, i.e., multiple parallel paths along machine axis, i.e., no communication possible between channels. However, the screws can be made crosswise open with kneading elements, which gives opportunity for distributive mixing at expense of pressure generating capacity. The extruder can be designed to act as a true and efficient mixer. The co-rotating twin screws are drag flow pumps with forced displacement at intermesh.

**[00153]** The heat introduced in the process of the invention is fundamentally controlled by shear rate, i.e., mechanical energy, optionally supplemented with heat added from external sources (barrel heating). Fibre, nanoparticles, and/or other fillers may be added directly to the extruder in controlled manner. Fibre for example, may be destroyed by a high shear counter-rotating screw if pre-mixed. For a co-rotating screw however, the fibre can be added late in the process at the end of the screw. This opens up the opportunity to produce composite pipes, as a homogeneous composite, in one single operation. Accordingly in the processes of the invention, Fibre, nanoparticles, and/or other fillers may be added near the end of the screw, thereby reducing the length of time that these components are exposed to shear forces compared to the other components.

**[00154]** Liquids can be added in a controlled fashion, for example at the end of the extruder. A vacuum can be applied in a specific zone, which makes it possible to add components available as slurries or other liquids. For example, the solvent can be removed prior to exit of the extrudate from the twin-screw extruder. Pigments, stabilizers, additional high performance polymers, etc., can also potentially be added sequentially in the extrusion process.

**[00155]** The apparatus used in the methods of the invention is flexible in terms of the processing of different materials and dimensions of the produced pipes, for example because the screw design can easily be altered by re-designing individual screw elements, and/or by moving around existing screw elements. In principle, this means that it is possible to use the same extruder for different pipe dimensions, with e.g. the same extruder output (kilograms/hour; (kg/h)) utilized for all pipe dimensions, which is unique in the industry. For example, the method of the present invention may be performed with an

extruder output of greater than 100 kg/h, e.g. greater than 150 kg/hr, greater than 200 kg/h, greater than 250 kg/hr or greater than 300 kg/h. For example, a method of the invention may be performed at an extrusion rate of 100 to 500 kg / hr, 150 to 450 kg/hr, or 200 to 400 kg/hr. Pipe dimensions may be in the range ½" to 8" diameter, e.g. in the range ½" to 4" diameter.

**[00156]** A ½" pipe may be extruded in the method of the present invention at a rate of about 140 kg/h and extrusion speed of about 30 m/min; while a 4" pipe may be extruded at a rate of about 2 m/min.

**[00157]** In the method of the present invention the extrusion may be performed at a temperature of about 200 °C. It is therefore preferred that the additives used in the methods of the invention, e.g. photoinitiator(s); and/or co-agent(s); and/or anti-oxidant(s); and/or hindered amine light stabilizer(s); and/or filler(s); and/or processing aids, are not subject to fuming at 200 °C.

**[00158]** The term UV curing is normally used when a combination of a photoinitiator and an appropriate monomer/oligomer UV lacquer formulation is exposed to ultraviolet radiation to form high performance thin coatings for various commercially established and well known applications. The methods of the invention may use highly efficient microwave powered UV lamps (for example microwave powered UV lamps provided by, e.g. Fusion UV Systems). The methods of the invention may use microwave powered UV lamps, e.g. highly efficient microwave powered UV lamps, to provide the UV radiation, which in combination with a photoinitiator and an optional co-agent facilitates crosslinking of for example polyethylene to form PEX pipes and tubing.

## ASSAYS

**[00159]** Pipes of the invention can be assessed in relation to a number of parameters using standard tests that would be known to the person skilled in the art. A number of suitable assays are described below and other suitable assays have been described previously under the heading "Pipe Standards and Certifications". Parameters of interest include NSF 61 requirements for residues in drinking water conveyed by pipes and ASTM F2023 requirements for chlorine resistance. NSF 61 and ASTM F2023 are both incorporated herein by reference in their entirety.

### **(A) Stabiliser functionality assays**

**[00160]** Stabiliser functionality testing is a measure of resistance to oxidative degradation and provides an indication of the long-term performance of pipes (e.g. PEX pipes), in relation to oxidative degradation, e.g. by chlorine in potable water.

**[00161]** One method for testing the stability of a pipe in the presence of an oxidizing agent is the Oxidative Induction Time (OIT) test. In this test, a sample of the pipe material is placed in a DSC and held at a constant temperature of 200 °C in an oxygen-rich atmosphere. The amount of time to the induction of polymer degradation is measured. A longer time before a change in heat flow is observed indicates that the sample would be relatively more stable in the presence of an oxidizing agent. The test method for the OIT test is ASTM-D3895, which is herein incorporated by reference in its entirety.

**[00162]** Another test that is performed on pipe samples to evaluate oxidative resistance is called the Oxidative Resistance (OR) test. This test is described in ASTM F 2023, which is incorporated herein by reference in its entirety. This test places chlorinated water in a pipe under a number of different combinations of elevated pressure and temperature until the pipe fails. The time until failure of the pipe at the different combinations of temperature and pressure is used to estimate the life of the pipe.

**[00163]** In addition, another test described in ASTM F 876 , which is incorporated herein by reference in its entirety, is the “Hoop Stress Stabilizer Test”. This test is used to demonstrate a pipe material's ability to withstand long term elevated temperature conditions and is described at point 7.10 of ASTM F 876. The method provides that the functionality of a stabilizer of a specific PEX compound shall be verified by hydrostatic testing of pipe made from compound. Test six pipe samples continuously for 3000h at a hoop stress of 0.70 MPa at 120 °C, or for 8000h at a hoop stress of 0.70 MPa at 110 °C. This test is used to demonstrate the specific compound’s ability to withstand long temperature conditions set forth elsewhere in this standard.

**[00164]** When tested in accordance with the ASTM F 876 Hoop Stress Stabilizer Test, polyethylene pipes of the invention exceeded the standard and demonstrated effective stabilization for over 12500 hours. The tested pipes were made in accordance with the co-rotating twin screw method of the invention. The tested pipes comprised polyethylene as the structural polymer, 0.75 % by weight photoinitiator, 0.5% by weight phenolic antioxidant, 0.15 % by weight HALS and 1% by weight of a polybutadiene co-agent. This is better than the results obtained previously for other pipes made using prior art methods and different formulations. For example, when tested in accordance with the ASTM F 876 Hoop Stress Stabilizer Test, polyethylene pipes made using RAM extrusion demonstrated effective stabilisation for 3,000 to 3,500 hours or for over 5,000 hours.

**[00165]** Without being bound by any theory, it is believed that the improvement in this key performance parameter may be due to the improved homogeneity and distribution of the raw materials in the pipe wall. This effect is associated with the co-rotating twin screw extrusion method combined with photo-induced crosslinking to form a crosslinked

polyolefin pipe. It is believed that this combination of features has a synergistic effect.

### (B) Residuals assay

[00166] Pipes of the invention, when tested in accordance with an assay as set out in NSF 61, may have levels of residual that are less than or equal to the levels set out in

5 Table 1.

Table 1: Maximum allowance levels of residuals from drinking water pipes

Chemical Name	CAS#	NSF TAC (MDWL)	EPA MCL	NSF SPAC (MAL)	NSF STEL
Benzene	71-43-2	5 ppb	5 ppb	0.5 ppb	Not derived
Benzophenone	119-61-9	300 ppb		30 ppb	2000 ppb
Benzhydrol	91-01-0	50 ppb		50 ppb	50 ppb
<i>p</i> -Hydroxybenzophenone	1137-42-4	10 ppb		10 ppb	10 ppb
<i>p</i> -Hydroxybenzhydrol	833-39-6	10 ppb		10 ppb	10 ppb
<i>p</i> -Formylbenzophenone	20912-50-9	10 ppb		10 ppb	10 ppb

[00167] A pipe of the invention when tested in accordance with NSF 61 may have levels of benzene of less than or equal to 5 ppb, benzophenone of less than or equal to 30 ppb, benzhydrol of less than or equal to 50 ppb, *p*-hydroxybenzophenone of less than or equal to 10 ppb, *p*-hydroxybenzhydrol of less than or equal to 10 ppb, and *p*-formylbenzophenone of less than or equal to 10 ppb. For example a pipe of the invention when tested in accordance with NSF 61 may have levels of each of benzophenone, benzhydrol, *p*-hydroxybenzophenone, *p*-hydroxybenzhydrol and *p*-formylbenzophenone of less than or equal to 10 ppb; e.g. the level of each of these compounds may be less than 5 ppb. For example a pipe of the invention when tested in accordance with NSF 61 may have levels of each of benzophenone and *p*-hydroxybenzophenone of less than 8 ppb, e.g. less than 5 ppb.

### (C) Pipe homogeneity assay

[00168] Pipe homogeneity can be determined by analysis of tubing cross-sections by FTIR microscopy to determine the distribution of additives in the polymeric material across the wall of the pipe. The samples are prepared for analysis by cutting thin (approximately 25 µm thick) cross-sections of the polymeric pipe wall with a razor. The cross-sections are then transferred to a KBr salt plate for analysis with suitable FTIR microscope, for example a Nicolet iN10 FTIR microscope equipped with a mapping stage and integrated video camera for locating and specifying areas for analysis. An area map was defined for

each sample to include both the inner and outer walls. Data is collected and processed to provide an area map that indicates the distribution of assayed additives in the pipe wall.

- 5 [00169] This assay was performed on a PEX pipe made using a co-rotating twin screw extruder according to the methods described above and a corresponding PEX-a pipe extruded by a RAM extruder. The results of the pipe homogeneity assay indicated that both the antioxidant Irganox 1076 and hindered amine light stabilizer Cyasorb 3853 were more homogeneously distributed in the PEX pipe prepared with a co-rotating twin screw extruder.

#### (D) Crosslinking assay

- 10 [00170] The degree of crosslinking may be measured in accordance with the testing protocol set out in ASTM F 876 at 7.9. When tested in this manner, a pipe of the invention may have a degree of crosslinking of about 60% to about 90%, e.g. of about 65% to about 89%. For example the degree of crosslinking may be measured to be about 70% to about 80%, e.g. about 70% to about 75%. The degree of crosslinking may be about 73%, e.g.  
15  $73 \pm 1\%$  or  $73 \pm 0.5\%$ .

#### (E) Components assay

- [00171] The components present in a polymeric pipe may be assessed by performing an assay that comprises taking a section of the pipe, extracting the section of the pipe with an organic solvent under controlled conditions and analysis of the compounds extracted into  
20 the solvent using an analytical technique such as GC/MS.

- [00172] A suitable protocol for organic extraction is provided by the following method. 1 g of pipe sample is placed in 2 mL of xylene and allowed to condition at 70°C for 24 hours. After that, the remaining solid pipe sample is removed (for example by filtration) providing an extract comprising xylene and components extracted from the pipe sample. If a  
25 different amount of pipe sample is used, the amount of xylene may be varied in proportion to the amount of pipe sample.

- [00173] The extract may then be analysed by GC/MS to determination the components extracted from the pipe using standard methods. A suitable standard method of GC/MS analysis is set out in NSF International Standard / American National Standard for  
30 Drinking Water Additives 61-2011 (June 10, 2011) ("NSF 61") at B.7.4.2 "Gas chromatography/mass spectroscopy (GC/MS) analysis" and further specified under subheadings B.7.4.2.1 – B.7.4.2.4 on pages B14 to B16, the content of which is incorporated herein by reference.

#### EXAMPLES

MATERIALS AND PROPERTIES

Table 2. Fundamental characteristics of PE resins used in examples

<b>Supplier</b>	<b>Density (g/cc)</b>	<b>Melt Flow (g/10 min)</b>	<b>Melting Point (°C)</b>	<b>DSC Crystallinity (%)</b>
BasellQ456	0.954	2.20	126.85	70.73
Basell Q 456B	0.954	3.00	127.01	69.52
Basell New Resin	0.952	6.50	124.00	66.32
Basell Q 471 (Germany)	0.952	10.00	124.56	63.35
Basell Q 471 (Iowa)	0.953	9.96	124.08	66.24
Borealis HE 1878	0.955	3.70	129.34	74.30
Borealis HE 1878 E	0.949	10.00	125.14	62.13
Borealis HE 2550	0.956	6.50	129.35	75.13
PE-RT Basell	0.947	12.40	123.53	66.12

Table 3. Molecular weights of the PE resins and its distributions

<b>Supplier</b>	<b>M<sub>w</sub></b>	<b>M<sub>n</sub></b>	<b>Molecular Weight Distribution</b>
Basell Q 456	258245	33278	7.76
Basell Q 456B	244299	56576	4.32
Basell New Resin	146730	16434	8.92
Basell Q 471 (Germany)	165033	22434	7.37
Basell Q 471 (Iowa)	170760	26288	6.826
Borealis HE 1878	228760	24945	9.171
Borealis HE 1878 E	186580	34309	5.439
Borealis HE 2550	207212	37133	5.587
PE-RT Basell	180752	23164	7.804

[00174] Table 2 lists the PE resins used in the examples and lists a number of properties of these resins, including density, melt flow, melting point and crystallinity. Table 3 indicates the molecular weight and molecular weight distribution of the PE resins. The molecular weight and molecular weight distributions were determined using gel permeation chromatography.

#### EXAMPLE 1: DIRECT INJECTION

[00175] A trial was conducted to test the concept of direct injection of additives into the extruder. The set up included using a 27 mm twin screw extruder, a witte gear pump, and a spider-design die head to form ½" tubing. The tubing was then crosslinked by exposure to UV irradiation. The HDPE base resin, along with processing aid, was added to the extruder via the extruder's feed throat entry point. For all other additives, a blend of 4 components (photoinitiator, co-agent, anti-oxidant, hindered amine light stabilizer), was premixed as a homogeneous liquid, which was directly injected into the extruder via an injection port in one of the upstream barrels. Direct injection into the extruder allowed for no pre-mixing of these components with HDPE resin and processing aid. Mixing of these 4 components with HDPE resin and processing aid occurred strictly from the mixing elements within the twin screw extruder.

[00176] An initial baseline experiment was performed, which involved pre-mixing of all components, and adding this mix via the feed throat port of the extruder. The pipe formulation was as follows:

Raw Material	Weight %
PE Resin: Basell Q471	97.96
Photoinitiator: Benzophenone	0.75
Co-agent: TAC	0.75
Antioxidant: Irganox 1010	0.4
HALS: Chimassorb 944 LD	0.1
Processing Aid: FX 9613	0.05

[00177] When running the experimental direct injection trial, a feed rate of the 2% by weight liquid additives was determined, so the same ratio of additives could be obtained as that in the baseline trial. Feed rate of HDPE and processing aid was also changed to account for this 2% addition of additives, so that an exact comparison could be achieved

between the two methods of pipe manufacturing. Besides the material introduction, all other processing conditions remained the same for both trials. The experimental trial was run for 1 hour, taking samples to cover the entire range.

**[00178]** The level of crosslinking (CCL) for the two tests were as follows. Average CCL achieved in baseline ~74%. Average CCL achieved for direct injection ~78%. This represents an encouraging result and may be an indication, based on the CCL's given above, that the distribution of the additives is more homogeneous when direct injection is used.

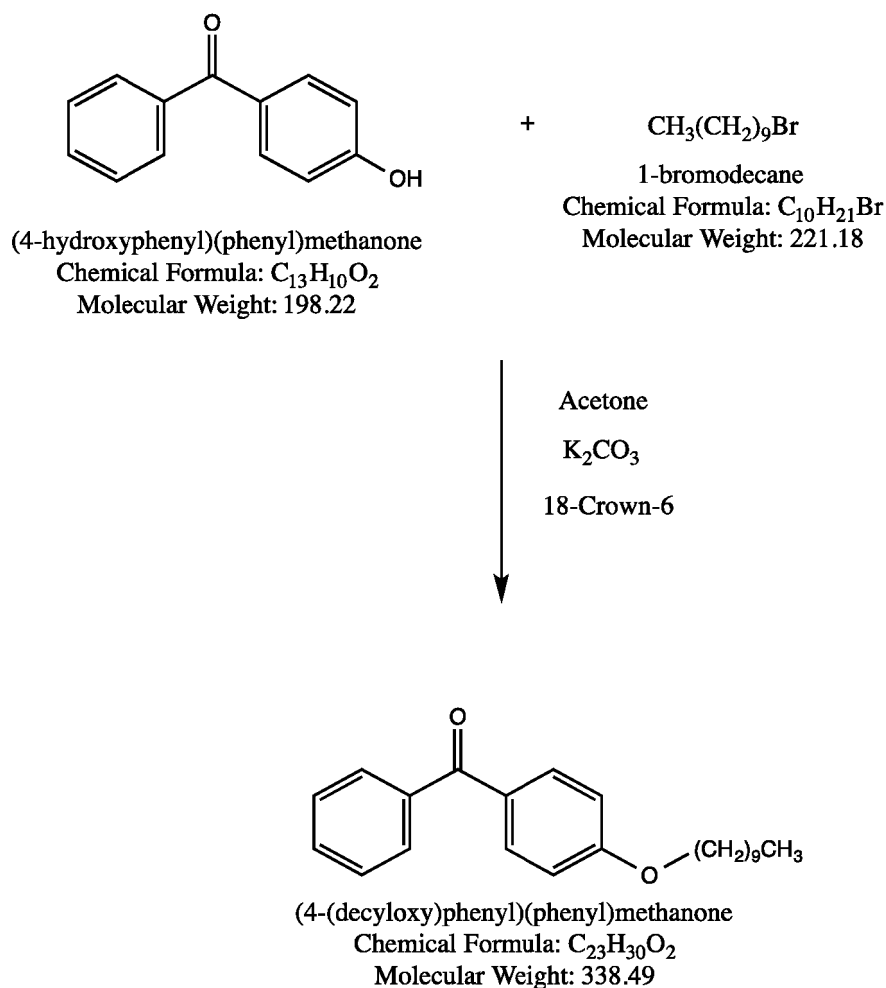
**[00179]** Burst, thermal and OIT values comparing the two methods of feeding were very similar, with OIT values actually being greater for the experimental vs. the control samples. This also an indication of that more homogeneous distribution of stabilizer was accomplished when direct injection was utilized.

#### EXAMPLE 2: SYNTHESIS OF 4-BENZOPHENONE DERIVATIVES

**[00180]** The compound [4-(decyloxy)phenyl](phenyl)methanone was synthesised according to Reaction Scheme 1:

**[00181]** Reaction Scheme 1





**[00182]** The reaction conditions used to synthesize [4-(decyloxy)phenyl](phenyl)methanone comprised the following steps:

1. 4-hydroxybenzophenone (125 g) and of acetone (500 mL) were added to a 1000mL 3-neck round bottom flask.
2. Potassium carbonate (50 g) followed by 1-bromodecane (175 mL), all at room temperature, were then added while stirring.
3. A catalytic amount of 18-crown-6 (crown ether) was added.
4. The reaction mixture was then refluxed for 48 hours, until at least 90% of the 4-hydroxybenzophenone was consumed (preferably 100%), which can be monitored by testing aliquots with GC or HPLC.

**[00183]** The product was then isolated using the following procedure:

- Use rotovap or equivalent to evaporate acetone leaving solid mixture containing potassium carbonate and reaction product.
- Under stirring, add 500mL de-ionized water to the round bottom flask containing the solid mixture, which will dissolve the potassium carbonate and precipitate decyloxybenzophenone.
- The solid white product was filtered and washed with water, followed by methanol.
- The product was then re-crystallized from approximately 700mL of ethanol.

- The product will slowly precipitate at room temperature, but refrigerator may be preferred.
- Repeat recrystallization steps until desired purity is achieved. Product purity should be >99.9% and 4-Hydroxybenzophenone must not be undetectable.
- Dry product in vacuum oven.

[00184] The identity of the isolated product was then confirmed by NMR and GC/MS analysis, with GC/MS confirming that the isolated product had a purity of >99.9%.

[00185] The reaction illustrated in Reaction Scheme 1 represents a version of the classical "Williamson's ether synthesis". Reaction Scheme 1 may be readily adapted by the skilled person to synthesize other 4-alkoxybenzophenones and 4,4'-di-alkoxybenzophenones. For example, the use of other and various halogen-alkanes, e.g. with different alkyl chain lengths, would produce the corresponding 4-Alkoxybenzophenones or 4,4'-di-alkoxybenzophenones. It is also apparent that the substituents in the 4 and 4' positions, respectively, could have different chain length and various fundamental molecular compositions. For example, use of different brominated reagents as starting materials would result in the final compound having a different substituent at the 4- position. For example, the use of bis(4-hydroxyphenyl)methanone in place of (4-hydroxyphenyl)(phenyl)methanone would result in 4,4'-di-alkoxybenzophenones.

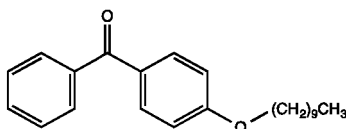
[00186] The procedure used for isolation and purification of the final compound may differ based on the specific chemical structures. In particular, selection of a suitable solvent or mixture of solvents for recrystallization will depend on the solubility characteristics of the synthesized compound, as the skilled person will appreciate.

### EXAMPLE 3: TESTING 4- SUBSTITUTED AND 4,4'- BIS-SUBSTITUTED BENZOPHENONES

#### [00187] Formulation 1

Photoinitiator: 4-Decyloxybenzophenone (CAS #: 78392-97-9)

Test: PE = MFI 6.5; "4-Decyloxy-BP" = 0.93%; Ricon 152 = 1.0%; Cyasorb 3853 = 0.15%; Irganox 1076 = 0.5%



- $M_w = 338.49$  g/mol
- M.p. = 56 °C
- CCL = 76%; OK

			Measured	STEL	TAC
NSF 61:	- "4-Alkoxy-BP"	=	ND	3	3
	- "4-Alkoxy-BH"	=	ND	3	3

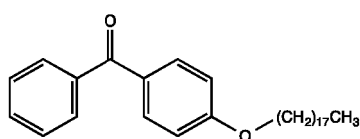
		39		
- "4-Hydroxy-BP"	=	7	10	10
- "4-Hydroxy-BH"	=	4	10	10
- Benzene	=	4	5	5

5 Met the NSF 61 requirement.

# [00188] Formulation 2

Photoinitiator: 4-Octadecyloxybenzophenone (CAS #: 121191-25-1)

10 Test: PE = MFI 6.5; "4-Octadecyloxy-BP" = 1.24%; Ricon 152 = 1.0%; Cyasorb 3853 = 0.15%; Irganox 1076 = 0.5%



- $M_w$  = 450.71 g/mol
- M.p. = 78 °C
- CCL = 77%; OK

15

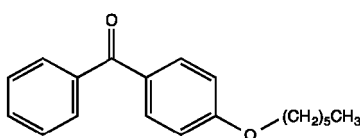
			Measured	STEL	TAC
NSF 61:	- "4-Alkoxy-BP"	=	ND	3	3
	- "4-Alkoxy-BH"	=	ND	3	3
	- "4-Hydroxy-BP"	=	4	10	10
20	- "4-Hydroxy-BH"	=	4	10	10
	- Benzene	=	4	5	5

Met the NSF 61 requirement.

# 25 [00189] Formulation 3

Photoinitiator: 4-Hexyloxybenzophenone (CAS #: 33707-56-1)

Test: PE = MFI 6.5; "4-Octadecyloxy-BP" = 1.24%; Ricon 152 = 1.0%; Cyasorb 3853 = 0.15%; Irganox 1076 = 0.5%



30

- $M_w$  = 282.38 g/mol
- M.p. = 32 °C
- CCL = 76%

			Measured	STEL	TAC
35	NSF 61:	- "4-Alkoxy-BP"	= 11	3	3
		- "4-Alkoxy-BH"	= 64	3	3
		- "4-Hydroxy-BP"	= 3	10	10
		- "4-Hydroxy-BH"	= 4	10	10
		- Benzene	=	5	5

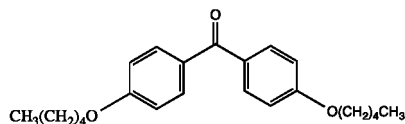
40

Did not meet the NSF 61 STEL and TAC requirement.

**[00190] Formulation 4**

Photoinitiator: 4,4'-Di-Pentyloxybenzophenone (CAS #: 171900-78-0)

Test: PE = MFI 6.5; "4,4'-Di-pentyloxy-BP" = 0.97%; Ricon 152 = 1.0%; Cyasorb 3853 = 0.15%; Irganox 1076 = 0.5%



-  $M_w = 354.49$  g/mol

- CCL = 76%; OK

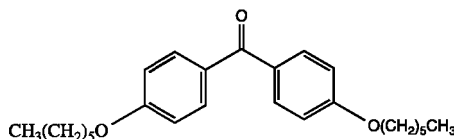
		Measured	STEL	TAC
10	NSF 61:			
	- "4,4'-Di-alkoxy-BP" =	ND	3	3
	- "4,4'-D-alkoxy-BH" =	ND	3	3
	- "4-Hydroxy-4'-pentyloxy-BP" =	8	3	3
15	- "4-Hydroxy-4'-pentyloxy-BH" =	ND	3	3
	- Benzene =	ND	5	5

20 The 4-Hydroxy-4'-pentyloxy-BP is believed to be an impurity from the synthesis of the photoinitiator, which may be removed prior to use of the photoinitiator in a pipe formulation.

**[00191] Formulation 5**

Photoinitiator: 4,4'-Di-hexyloxybenzophenone (CAS #: 1108157-34-1)

Test: PE = MFI 6.5; "4,4'-Di-hexyloxy-BP" = 1.0%; Ricon 152 = 1.0%; Cyasorb 3853 = 0.15%; Irganox 1076 = 0.5%



-  $M_w = 382.54$  g/mol

- M.p. = 104 °C

- CCL = 76%; OK

		Measured	STEL	TAC
30	NSF 61:			
	- "4,4'-Di-alkoxy-BP" =	ND	3	3
	- "4,4'-D-alkoxy-BH" =	ND	3	3
35	- "4-Hydroxy-4'-hexyloxy-BP" =	20	3	3
	- "4-Hydroxy-4'-hexyloxy-BH" =	ND	3	3
	- Benzene =	ND	5	5

40

The 4-Hydroxy-4'-hexyloxy-BP is believed to be an impurity from the synthesis of the photoinitiator, which may be removed prior to use of the photoinitiator in a pipe formulation.

#### EXAMPLE 4: PIPE FORMULATIONS

##### 5 [00192] Formulation 1

Raw Material/Chemical Compound	CAS #	Mw	Wt. %
PE; MFI = 6.5; Basell Q471 (Iowa)	25213-02-9	N/A	97.42
4-Decyloxybenzophenone (Photoinitiator)	78392-97-9	338.49	0.93
Co-Agent = Polybutadiene (Ricon 152)	9003-17-2	~2900	1.00
Antioxidant = Irganox 1076	2082-79-3	531	0.50
HALS = Cyasorb 3853	167078-06-0	294-516	0.15
TOTAL			100

CCL: 76%

Special Note: Clear Pipe, No fumes

4-Hydroxybenzhydrol: NSF 61 on day 17 = 4 ppb

4-Hydroxybenzophenone: 7 ppb

10 Benzene: 4 ppb

##### [00193] Formulation 2

Raw Material/Chemical Compound	CAS #	Mw	Wt. %
PE; MFI = 6.5; Basell Q471 (Iowa)	25213-02-9	N/A	97.11
4-Octadecyloxybenzophenone (Photoinitiator)	78392-97-9	450.71	1.24
Co-Agent = Polybutadiene (Ricon 152)	9003-17-2	~2900	1.00
Antioxidant = Irganox 1076	2082-79-3	531	0.50
HALS = Cyasorb 3853	167078-06-0	294-516	0.15

TOTAL			100
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CCL: 77%

Special Note: Clear Pipe, No fumes

4-Hydroxybenzhydrol: NSF 61 on day 17 = 4 ppb

4-Hydroxybenzophenone: 4 ppb

5 Benzene: 4 ppb

**[00194]** Formulation 3

Raw Material/Chemical Compound	CAS #	Mw	Wt. %
PE; MFI = 6.5; Basell Q471 (Iowa)	25213-02-9	N/A	97.38
4,4'-Di-pentyloxybenzophenone (Photoinitiator)	171900-78-0	354.49	0.97
Co-Agent = Polybutadiene (Ricon 152)	9003-17-2	~2900	1.00
Antioxidant = Irganox 1076	2082-79-3	531	0.50
HALS = Cyasorb 3853	167078-06-0	294-516	0.15
TOTAL			100

CCL: 76%

Special Note: Clear Pipe, No fumes

10 4,4'-Di-alkoxybenzhydrol: NSF 61 on day 17 = ND

4,4'-Di-Alkoxybenzophenone: ND

4-Hydroxy-4'-pentyloxy- BP: 8

4-Hydroxy-4'-pentyloxy- BH: ND

Benzene: ND

15

**[00195]** Formulation 4

Raw Material/Chemical Compound	CAS #	Mw	Wt. %
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PE; MFI = 6.5; Basell Q471 (Iowa)	25213-02-9	N/A	97.35
4,4'-Di-hexyloxybenzophenone (Photoinitiator)	1108157-34-1	382.54	1.0
Co-Agent = Polybutadiene (Ricon 152)	9003-17-2	~2900	1.00
Antioxidant = Irganox 1076	2082-79-3	531	0.50
HALS = Cyasorb 3853	167078-06-0	294-516	0.15
TOTAL			100

CCL: 76%

Special Note: Clear Pipe, No fumes

4,4'-Di-alkoxybenzhydrol: NSF 61 on day 17 = ND

4,4'-Di-Alkoxybenzophenone: ND

5 4-Hydroxy-4'-pentyloxy- BP: 20

4-Hydroxy-4'-pentyloxy- BH: ND

Benzene: ND

#### EXAMPLE 5: LINE SPEEDS AND EXTRUDER OUTPUT

10 **[00196]** Pipes have been manufactured on a co-rotating twin screw extruder in accordance with the methods of the invention for various pipes sizes. Exemplary line speeds and extruder outputs were as follows:

½" pipe: 30 m/min, 142 kg/h

1" pipe: 23 m/min, 348 kg/h

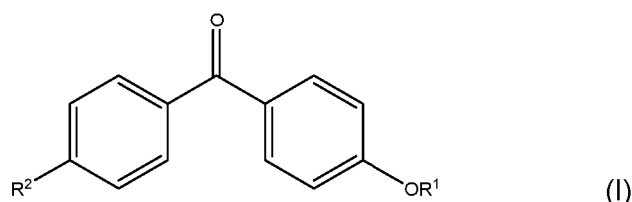
15 2" pipe: 6.5 m/min, 342 kg/h

4" pipe: 2 m/min, 350 kg/h

**[00197]** These represent a greater than 10-fold increase in line speed (m/min) and output (kg/h) when compared to the typical output of a RAM extrusion method.

## CLAIMS

1. A polymeric pipe formed from:  
 a polyolefin structural polymer; and  
 5 a photoinitiator in an amount of 0.02 to 3% by weight,  
 wherein the photoinitiator is a compound of formula I:



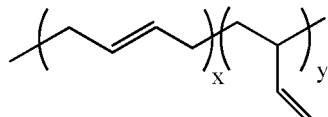
wherein:

- $R^1$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl),  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl),  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^4$ ;  
 $R^2$  is selected from  $-H$  and  $-O-R^3$ ;  
 $R^3$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl),  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl),  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^5$ ;  
 $R^4$  and  $R^5$  are independently selected from  $-H$  and  $-O-R^6$ ;  
 $R^6$  is selected from  $-H$ ,  $-C_1 - C_{18}$  alkyl,  $-(CH_2)_s(C_2 - C_{18-s}$  alkenyl), or  $-(CH_2)_s(C_2 - C_{18-s}$  alkynyl);  
 $m$  is 2 – 22;  
 $n$  is 1 – 12;  
 $p$  is 2, 3, 4, 5, 6;  
 $q$  is 2 – 10; and  
 $r$  is 0, 1 or 2;  
 $s$  is 2 – 16;  
 25 wherein when  $R^2$  is  $-H$ ,  $R^1$  comprises at least 7 carbon atoms.
2. The pipe of claim 1, wherein the polyolefin structural polymer is selected from polyethylene, polypropylene, polybutylene, and any copolymers thereof.
3. The pipe of claim 1, wherein the polyolefin structural polymer is selected from polyethylene, polypropylene, and mixtures thereof.
- 30 4. The pipe of claim 2 or claim 3, wherein the polyethylene is high density polyethylene (HDPE) with a melt flow index (MFI) of at least 2.



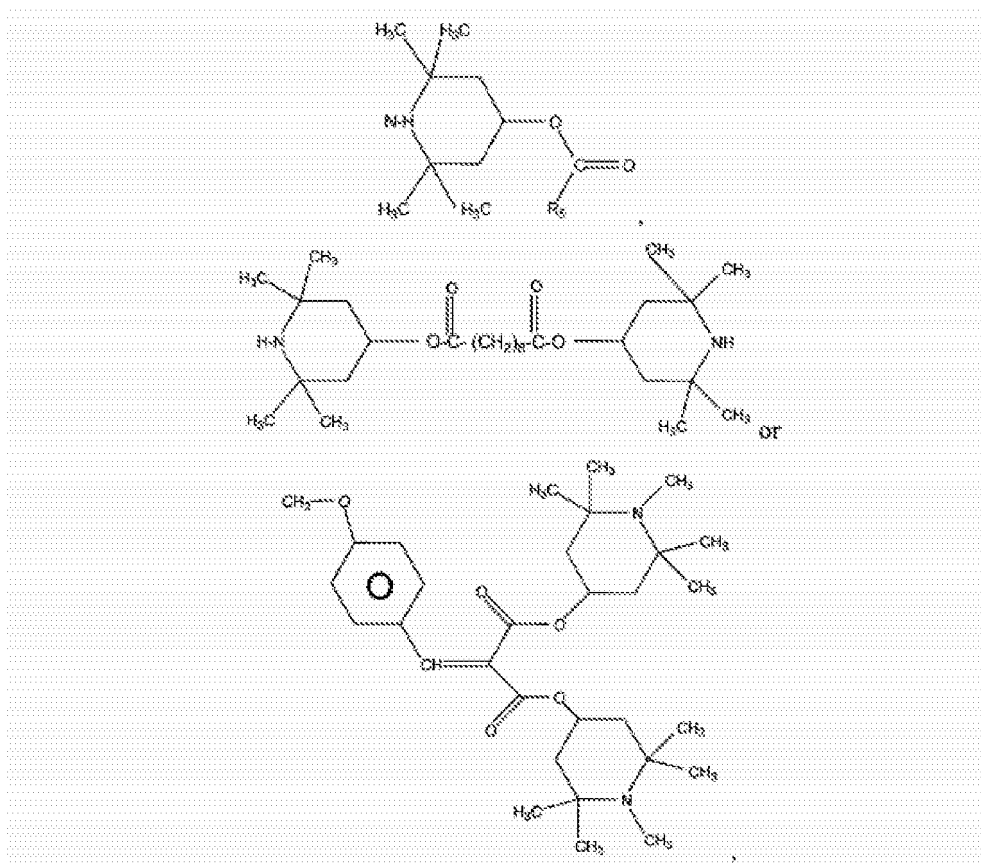
5. The pipe of claim 4, wherein the polyethylene has a MFI of at least 5.
6. The pipe of any proceeding claim, wherein  $R^1$  is  $-C_4 - C_{24}$  alkyl or  $-C_4 - C_{24}$  haloalkyl, wherein said alkyl or haloalkyl optionally comprises a branched and/or cyclic alkyl.
- 5 7. The pipe of any proceeding claim, wherein  $R^1$  is  $-C_8 - C_{20}$  alkyl, wherein said alkyl optionally comprises a branched and/or cyclic alkyl.
8. The pipe of any of claims 1 to 6, wherein  $R^1$  is  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl) or  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl), wherein said alkenyl or alkynyl optionally comprises a branched and/or cyclic alkenyl or alkynyl.
- 10 9. The pipe of any of claims 1 to 6, wherein  $R^1$  is  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl) or  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl), wherein said haloalkenyl or haloalkynyl optionally comprises a branched and/or cyclic haloalkenyl or haloalkynyl.
10. The pipe of claim 9 or claim 10, wherein the alkenyl, alkynyl, haloalkenyl or haloalkynyl comprises 8 to 10 carbon atoms.
- 15 11. The pipe of any of claim 1 to 6, wherein  $R^1$  is  $C_8, C_{10}, C_{12}, C_{14}, C_{16}$  or  $C_{18}$  alkyl, optionally wherein said alkyl is a linear alkyl.
12. The pipe of any proceeding claim, wherein  $R^2$  is  $-H$ .
13. The pipe of any of claim 1 to 11, wherein  $R^2$  is  $-O-R^3$ .
14. The pipe of any of claims 1 to 11 and 13, wherein  $R^3$  is defined according to the  
20 definition of  $R^1$  in any of claims 6 to 11.
15. The pipe of claim 13 or claim 14, wherein  $R^1$  and  $R^3$  are the same.
16. The pipe of claim 13 or claim 14, wherein  $R^1$  and  $R^3$  are both  $C_4, C_5, C_6, C_7$  or  $C_8$  alkyl.
17. The pipe of any preceding claim, wherein the photoinitiator comprises at least two  
25 compounds of formula 1.
18. The pipe of any preceding claim, wherein the photoinitiator is in an amount of 0.3 to 1.5% by weight.
19. The pipe of any proceeding claim, wherein the pipe further comprises a co-agent in an amount of 0.02 – 10% by weight, wherein the co-agent comprises at least one  
30 reactive carbon-carbon double bond.
20. The pipe of claim 19, wherein the co-agent comprises at least two reactive carbon-carbon double bonds.

21. The pipe of claim 19 or 20, wherein the co-agent is selected from or comprises acrylates, methacrylates, polybutadienes, allyl ethers, vinyl ethers and mono or polyunsaturated oils.
22. The pipe of any of claims 19 to 21, wherein the co-agent comprises an oligomer or pre-polymer that is a polyurethane acrylate.
23. The pipe of any of claims 19 to 22, wherein the co-agent comprises a compound of the following formula:



wherein x and y are selected such that the average molecular weight is from about 1,000 to about 10,000 g/mol, preferably from about 2,000 to about 7,000 g/mol.

24. The pipe of any of claims 19 to 21, wherein the co-agent comprises at least one of triallylcyanurate; polybutadiene optionally selected from polybutadiene 3000, polybutadiene 5000, hydroxy terminated polybutadiene, hydroxy terminated and epoxidized polybutadiene, Polybutadiene-Ricon 142, Polybutadiene-Ricon 152, Polybutadiene-Ricon 156, Polybutadiene-Ricon 157, polybutadiene diacrylate; or trimethylolpropane triacrylate (TMPTA).
25. The pipe of any of claims 19 to 21, wherein the co-agent comprises Polybutadiene-Ricon 152 and/or TMPTA.
26. The pipe of any of claims 19 to 25, wherein the co-agent is in an amount of 0.3 to 1.5% by weight.
27. The pipe of any preceding claim, further comprising a hindered amine light stabiliser in an amount of 0.05 to 1% by weight.
28. The pipe of claim 27, wherein the hindered amine light stabiliser is selected from or comprises:



wherein  $R^5$  is a  $C_2$ - $C_{24}$  alkyl group.

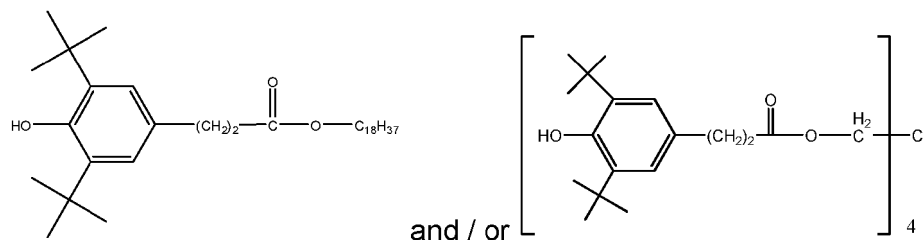
29. The pipe of claim 27, wherein the hindered amine light stabiliser is selected from or comprises Cyasorb 3853, Chimasorb 944LD, Tinuvin 770, Tinuvin 622.

5 30. The pipe of any of claims 27 to 29, wherein the hindered amine light stabiliser is in an amount of 0.05 to 0.3% by weight.

31. The pipe of any preceding claim, further comprising an antioxidant in an amount of 0.1 to 1% by weight.

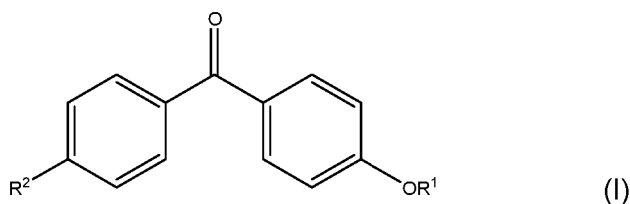
32. The pipe of claim 31, wherein the antioxidant is at least one phenolic antioxidant.

10 33. The pipe of claim 31 or claim 32, wherein the antioxidant comprises



34. The pipe of any of claims 31 to 33, wherein the antioxidant is in an amount of 0.25 to 0.75% by weight.

35. The pipe of any preceding claim, wherein the pipe is a cross-linked polyolefin pipe with the characteristics of a pipe obtainable by:  
 extrusion of a mixture comprising the polyolefin structural polymer and photoinitiator from a co-rotating twin screw extruder to form an extruded pipe; and  
 5 cross-linking the structural polymer by irradiating the extruded pipe with UV radiation.
36. The pipe of claim 35, wherein the extrusion comprises passing the mixture from the extruder through a gear pump and then a die head.
37. The pipe of claim 35 or 36, wherein the degree of crosslinking is in the range of  
 10 about 60 to about 90%, optionally wherein the degree of crosslinking is in the range of about 65% to about 75%.
38. The pipe of any of claims 35 to 37, wherein the degree of crosslinking is about 70% to about 75%.
39. The pipe of any proceeding claim wherein, when tested in accordance with NSF  
 15 61, the level of residuals of the following compounds are at the specified level:  
 benzene is less than or equal to 5 ppb,  
 benzophenone is less than or equal to 30 ppb,  
 benzhydrol is less than or equal to 50 ppb,  
*p*-hydroxybenzophenone is less than or equal to 10 ppb,  
 20 *p*-hydroxybenzhydrol is less than or equal to 10 ppb, and  
*p*-formylbenzophenone is less than or equal to 10 ppb.
40. A method of producing a cross-linked polyolefin pipe comprising:  
 extrusion of a mixture from a co-rotating twin screw extruder to form an extruded  
 pipe; and  
 25 cross-linking a polyolefin structural polymer by irradiating the extruded pipe with UV radiation,  
 wherein the extrusion mixture comprises the polyolefin structural polymer and a photoinitiator in an amount of 0.02 to 3% by weight;  
 wherein the photoinitiator is a compound of formula I:



wherein:

$R^1$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl),  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl),  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^4$ ;

$R^2$  is selected from  $-H$  and  $-O-R^3$ ;

5  $R^3$  is selected from  $-C_4 - C_{24}$  alkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  alkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  alkynyl),  $-C_4 - C_{24}$  haloalkyl,  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkenyl),  $-(CH_2)_m(C_2 - C_{24-m}$  haloalkynyl),  $-(CH_2)_n[O(CH_2)_p]_q(CH_2)_rR^5$ ;

$R^4$  and  $R^5$  are independently selected from  $-H$  and  $-O-R^6$ ;

10  $R^6$  is selected from  $-H$ ,  $-C_1 - C_{18}$  alkyl,  $-(CH_2)_s(C_2 - C_{18-s}$  alkenyl), or  $-(CH_2)_s(C_2 - C_{18-s}$  alkynyl);

$m$  is 2 – 22;

$n$  is 1 – 12;

$p$  is 2, 3, 4, 5, 6;

$q$  is 2 – 10; and

15  $r$  is 0, 1 or 2;

$s$  is 2 – 16;

wherein when  $R^2$  is  $-H$ ,  $R^1$  comprises at least 7 carbon atoms.

41. The method of claim 40, wherein the polyolefin structural polymer is as defined in any of claims 2 to 5.

20 42. The method of any of claims 40 or 41, wherein the photoinitiator is as further defined in any of claims 6 to 18.

43. The method of any of claims 40 to 42, wherein the mixture further comprises a co-agent as defined in any of claims 19 to 26.

25 44. The method of any of claims 40 to 43, wherein the mixture further comprises a hindered amine light stabiliser as defined in any of claims 27 to 30.

45. The method of any of claims 40 to 44, wherein the mixture further comprises an antioxidant as defined in any of claims 31 to 34.

30 46. The method of any of claims 40 to 45, wherein the cross-linked polyolefin pipe has a degree of crosslinking in the range of about 60 to about 90%, optionally wherein the degree of crosslinking is in the range of about 65 to about 80%, further optionally wherein the degree of crosslinking is in the range of about 65% to about 75%.

47. The method of any of claims 40 to 46, wherein the cross-linked polyolefin pipe has a degree of crosslinking of about 70 to about 75%.

48. The method of any of claims 40 to 47, wherein the extrusion comprises passing the mixture from the extruder through a gear pump and then a die head.
49. The method of any of claims 40 to 48, wherein after irradiating the cross-linked polyolefin pipe is calibrated by a calibration fixture.
- 5 50. The method of any of claims 40 to 49, wherein the polyolefin structural polymer and at least one component are separately injected into the extruder;  
wherein the at least one component is selected from the photoinitiator and, when present, the co-agent, and/or the phenolic antioxidant, and/or the hindered amine light stabiliser; and
- 10 wherein the polyolefin structural polymer and the at least one component are mixed by a mixing element of the twin-screw extruder.
51. The method of claim 50, wherein the at least one component comprises two or more components.
52. The method of claim 50 or claim 51, wherein the at least one component
- 15 comprises the photoinitiator, the co-agent, and the phenolic antioxidant.
53. The method of any of claims 50 to 52, wherein the at least one component comprises the photoinitiator, the co-agent, the phenolic antioxidant and the hindered amine light stabiliser.
54. The method of any of claims 40 to 53, wherein the extrusion is performed at an
- 20 extruder output of greater than 100 kg/h, optionally greater than 200 kg/h.
55. Use of a photoinitiator as defined in any of claims 1 and 6 – 18, in the production of a cross-linked polyolefin pipe.
56. Use of a polyolefin pipe of any of claims 1 to 39 for the transport of water.
57. The use of claim 56, wherein the water is drinking water.



**Application No:** GB1412092.7

**Examiner:** Mr Jason Scott

**Claims searched:** 1-57

**Date of search:** 13 January 2015

## Patents Act 1977: Search Report under Section 17

### Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
E	-	WO 2014/177435 A UPONOR INNOVATION See whole document and especially claim 3.
A	-	EP 0490854 A2 INST POLYMERUTVECKLING See whole document and especially examples 2-4 teaching hydroxy benzophenone laurates and stearates as photoinitiators.
A	-	US 2004/033380 A BOBOVITCH See whole documents and examples using benzophenone laurate as photoinitiator.

### Categories:

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

### Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC<sup>X</sup> :

Worldwide search of patent documents classified in the following areas of the IPC

B29C; C08J; F16L

The following online and other databases have been used in the preparation of this search report

WPI, EPODOC, CASONLINE

### International Classification:

Subclass	Subgroup	Valid From
C08J	0003/24	01/01/2006
F16L	0009/12	01/01/2006