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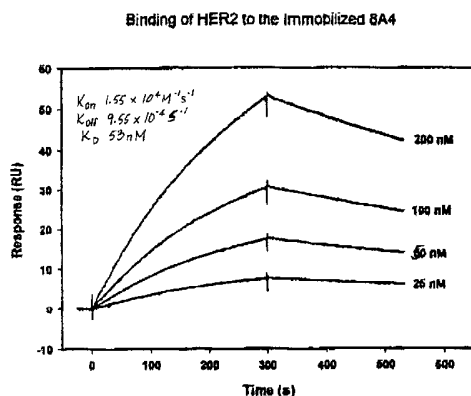
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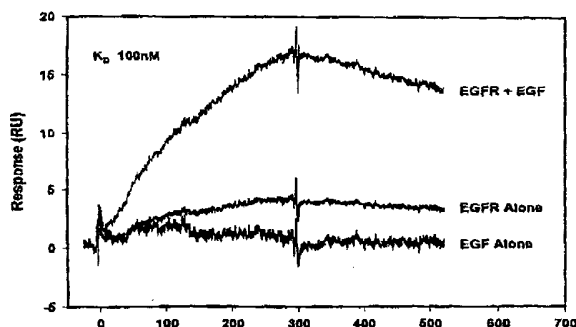
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(54) Title: MONOCLONAL ANTIBODIES TO ACTIVATED ERBB FAMILY MEMBERS AND METHODS OF USE THEREOF



Binding of EGFR (200 nM) to the Immobilized 8A4
in the Presence and Absence of EGF (1 μ M)



(57) Abstract: Antibodies which bind to activated members of the erbB, TNF, and IgSF family of receptors and pharmaceutical compositions comprising the same are disclosed. Peptides and mimetics of erbB, TNF, and IgSF receptors and pharmaceutical compositions comprising the same are also described. Methods of using such antibodies, peptides, and mimetics in tumor therapeutic, prophylactic, imaging and diagnosing applications are disclosed.



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MONOCLONAL ANTIBODIES TO ACTIVATED erbB FAMILY MEMBERS
AND METHODS OF USE THEREOF

GOVERNMENT SUPPORT

5 This work was supported by in part by NIH grant 5R01 CA55306.
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this invention.

CROSS-REFERENCE TO RELATED APPLICATIONS

10 The present application claims the benefit of priority under 35 U.S.C. §
119(e) of Provisional Application Serial No. 60/309,864, filed August 3, 2001, which is
hereby incorporated herein by reference in its entirety.

FIELD OF INVENTION

15 The present invention is directed to treatments and diagnoses for
mammalian tumors. More particularly this invention is directed to methods of
preventing, treating, treating, and diagnosing mammalian cancer tumors using
antibodies having specificity for activated cell surface receptors.

20 **BACKGROUND OF THE INVENTION**

Monoclonal antibodies (mAbs) and fragments from them have been used
clinically for the diagnosis and treatment of many different human diseases (Dougall *et*

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al 1994, Oncogene 2109-23). The anti-tumor efficacy of mAbs not only requires specificity towards tumor antigens which show enhanced expression in neoplastic tissue, but also must demonstrate the desired biological effect, namely, the inhibition of tumor growth.

5 U. S. Pat. No. 4,522,918 (Schlom *et al.*) discloses a cancer treatment using monoclonal antibodies directed at surface antigens of human mammary adenocarcinoma cells.

Capone *et al.*, JNCI 72: 673-677, (1984), investigated the relationship between antigen density and immunotherapeutic response elicited by monoclonal
10 antibodies against solid tumors. These investigators used monoclonal antibodies specific against human breast cancer. It was found that passively administered monoclonal antibody can be effective in producing a tumor regression response against solid tumors. Tumoricidal response with monoclonal antibody appeared to be exponentially related to the density of the antigen on the cells.

15 Members of the c-erbB (erbB) family of receptor tyrosine kinase genes, including epidermal growth factor receptor c-erbB1 (EGFr, HER1), c-erbB2 (HER2, neu, p185), c-erbB-3 (HER3), and c-erbB-4 (HER4), are known to be oncogenes that encode cell surface receptor proteins. The receptors, under some circumstances, display abnormal kinase activities that contribute to cell proliferation and transformation.

20 ErbB family receptor tyrosine kinases (RTKs) form homodimeric, heterodimeric, or oligomeric complexes that are catalytically active and, thereby, couple extracellular signals with alterations of cellular growth and differentiation status. Their ligands and subsequent receptor-mediated signaling have been implicated in survival, proliferation and differentiation in a variety of cell types (reviewed in Dougall *et al.*
25 1994; O'Rourke, *et al.* 1997; Pinkas-Kramarski, *et al.* 1997; Tzahar and Yarden 1998).

All members of the erbB family share structural features, including an extracellular ligand binding domain that contains four subdomains, including two cysteine-rich subdomains, a single amphipathic transmembrane domain, and an intracellular kinase domain. The kinase domain shows the highest degree of amino acid
30 sequence similarity (about 80%) among members of this family.

Overexpression of erbB receptors has been found in many types of human cancer, raising the possibility that receptor-linked therapies may be useful as cancer management strategies. EGFr (erbB1) is the most extensively studied member in this family. The EGFr gene is amplified and rearranged in many human brain tumors of
5 glial origin and in some cell lines. Ullrich *et al.*, have found the gene for the EGFr cellular analogue of the avian viral oncogene v-erb-B. (Ullrich *et al.*, Nature, Vol. 309, pp. 418-425, 1984). The epidermal growth factor receptor (EGFr) is a transmembrane glycoprotein of about 170 kDa (Cohen, J. Biol. Chem., Vol. 258, pp. 1523-1531, 1982). Overexpression of the EGFr has been found in a variety of tumors, including bladder,
10 esophagus, lung, glioblastoma and breast. In breast cancers, over 40% of the tumors are EGFr positive, and EGFr levels negatively correlate with steroid receptor (estrogen receptor and progesterone receptor) levels. The EGF-receptor exists in two kinetic forms (low affinity and high-affinity receptors) that may be inter-convertible. (Fernandez-Pol, Biol. Chem., Vol. 260, pp 5003-5011, 1985.) Expression of EGF-
15 receptors has been implicated in the progression of tumor growth. In addition, an association has been detected between late stages of melanoma development and extra copies of the chromosome carrying the EGFr gene. (Koprowski *et al.*, Somatic Cell and Molecular Genetics, Vol. 11, pp. 297-302, 1985.)

A variety of strategies have also been developed for targeting the erbB1
20 receptor including monoclonal antibodies, ligand-linked immunotoxins, tyrosine kinase inhibitors, and antisense approaches (reviewed by Zhang *et al.*, Drug News Perspect, Vol 13, pp325-329, 2000.).

Of all the members of the erbB family, erbB2 is the most highly correlated to breast cancer, ovarian cancer and pancreatic cancer. Initially identified in
25 rat neuroglioblastomas induced by a carcinogen ethylnitrosourea, neu (also known as her2/erbB2) is a proto-oncogene encoding a 185 kDa receptor-tyrosine-kinase that is highly homologous with, but distinct from, EGFr. The translation product of the *erbB*-2 oncogene is p185, a transmembrane glycoprotein having tyrosine kinase activity and a molecular weight of about 185,000 daltons, as determined by gel-electrophoresis.
30 Experiments have shown that p185 forms homodimers or heterodimers with epidermal growth factor receptor EGFR (erbB1). The homo- and heterodimers exhibit elevated

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tyrosine kinase activity that brings about the transformed phenotype in cells having such dimers.

Amplification of the *erbB2* gene, the human homologue of *neu*, and subsequent overexpression of the polypeptide product p185, has been identified in 25-
5 30% of primary breast and ovarian cancers. No oncogenic point mutation has been detected, however, in *erbB2* associated with human carcinomas. In the murine fibroblasts NIH3T3 and NR6, overexpression of *erbB2* results in transformation, indicating that mutation is not necessary for *erbB2* oncogenic potential. Previous work has shown that overexpression of *erbB2/neu* can lead to oligomers which have
10 enhanced kinase activity (Di Fiore, et al., Science, Vol. 237, pp178-182, 1987) .

Overexpression of the *erbB2* gene in human breast cancer is associated with a poor prognosis and resistance to hormonal treatment and chemotherapy. Advanced stages of malignancy, characterized by large tumor size, increased number of positive lymph nodes, reduced survival time and decreased time to relapse, was
15 directly correlated with an increased level of amplification of the *neu* gene. The *neu* protooncogene is expressed at low levels in normal human tissues.

c-erbB3 is expressed in a variety of normal tissues of epithelial origin and is overexpressed in a subset of human mammary tumors. *c-erbB4* (*erbB3*) is most predominantly expressed in several breast carcinoma cell lines and also in normal
20 skeletal muscle, heart, pituitary, and cerebellum. The *erbB3* receptor has only limited kinase activity. Overexpression of the *erbB3* or *erbB4* gene alone cannot transform NIH3T3 cells, even in the presence of ligand. It is suggested that the contribution of *erbB3* and *erbB4* to tumorigenicity depends on their heterodimerization with the EGFr or *erbB2*.

25 U.S. Patent No. 6,252,050 describes methods for generating cross-reactive antibodies. Antibodies against p185 and methods of using such antibodies are described in U.S. Patent Nos. 6,417,168, 6,165,464, 5,772,997, 5,770,195, 5,725,856, 5,720,954, and 5,677,171, which are incorporated herein by reference. U. S. Patent No. 5,705,157 describes antibodies against EGFR. U. S. Pat. No. 5,470,571 discloses a
30 cancer treatment using monoclonal antibodies directed at the EGFr generated from the

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A431 carcinoma cell line. Each of the aforementioned U.S. Patents is hereby incorporated herein by reference in its entirety.

Combinations of several antibodies recognizing different epitopes of p185 showed increased efficiency in tumor growth inhibition. Anti-EGFr and anti-
5 p185 antibodies were also used on tumor cells simultaneously to explore possible synergy for clinical treatment. (Wada *et al*, Oncogene 489, 1990).

Ligand-induced structural changes play an important role in the heterodimerization of erbB-family receptors. Although each erbB receptor may have slightly different sequences of loops at the surface, they share high homology at the
10 framework, or backbone level. The backbone structure is actually critical for the ligand-induced structural changes. Based on this information, an antibody against the structural backbone of the receptors may be a better molecule for therapeutic and/or diagnosis purposes to target the receptors. This type of antibody will recognize several members of this family of receptors, especially the active forms that are directly linked
15 to cell proliferation. The striking similarity between the extracellular domain of receptors of the erbB family suggests that the structural conformation of these receptors may be the most important factor regarding both the ligand-receptor binding and receptor-receptor dimerization.

In the case of receptor-dimerization, a construct containing the
20 extracellular domain plus the transmembrane domain of p185 was able to initiate the p185-EGFr dimerization (Qian *et al*, PNAS 91, 1500, 1994). Later, an alternative transcript product of p185 with only subdomain I and II was found to be able to dimerize with p185 (Doherty *et al*. PNAS 1999, 96, 10869) and inhibit its activity.

An approach for disabling receptor activity is to target protein-protein
25 interactions involved in receptor functioning. Since protein-protein interactions play a key role in various mechanisms of cellular growth and differentiation, and viral replication, inhibition of these interactions is a promising novel approach for rational drug design against a wide number of cellular and viral targets (Zutshi *et al.*, *Curr Opin Chem Biol* 1998, 2, 62-66; Pecuh *et al.*, *Chem. Rev.* 2000, 100, 2479-2494). Binding
30 of polypeptide hormones, growth factors or cytokines to cell-surface receptors activates dimerization (oligomerization) of the receptors which leads to the signal transduction to

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the interior of the cell (Heldin, *Cell* 1995, 80, 213-223). While most of the receptor inhibitors developed to date have been focused on the blockade of receptor-ligand or enzyme-substrate interactions, repression of receptor-receptor interactions that accompany oligomerization also represent an important target for disabling receptor
5 functioning.

Although ligand-induced homo- and heterodimerization of the full-length native erbB receptors has been established and well documented, experimental data on self-associations of the extracellular domains of these receptors is somewhat contradictory. In analytical ultra centrifugation and MALLS studies, ligand-induced
10 homodimerization has been demonstrated for erbB1 and erbB4 (Ferguson *et al.*, EMBO J 2000, 19, 4632-4643). However, no homo-oligomerization could be observed for the erbB3 receptor and the only erbB receptor combinations that produced heterodimers in the presence of HRG β 1 were erbB2/erbB4 and to a smaller extent erbB2/erbB3. In contrast, both erbB3 homodimerization and erbB3/erbB2 heterodimerization have been
15 reported for the ectodomains, but these effects could only be observed when ectodomains of the receptors were anchored to the membrane (Tzahar *et al.*, EMBO J 1997, 16, 4938-4950). Landgraf and Eisenberg have reported ligand-independent self-association of erbB3 ectodomains that could be disrupted by HRG β 1 (Landgraf *et al.*, Biochemistry 2000, 39, 8503-8511). Both monomeric and oligomeric forms of erbB3
20 were detected in the presence of HRG β 1 by size-exclusion chromatography. Addition of the ligand produced a shift toward a low-molecular mass species.

The present inventors have identified distinct extracellular subdomains of erbB2 that are involved in heterodimerization with erbB1 (Kumagai *et al.*, Proc Natl Acad Sci U S A 2001, 98, 5526-5531). Peptidomimetics against subdomain IV alter
25 the heteromeric signaling and transforming activities induced by EGF after associating with EGFR. Peptidomimetics and antibodies that target subdomain IV are therefore as therapeutic agents against erbB-expressing tumors.

SUMMARY OF THE INVENTION

30 The present invention relates to antibodies having specificity for activated cell surface receptor proteins. In certain embodiments, the specificity for

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activated receptors is due to antibody recognition of an activation epitope that is created upon assembly of proteins into higher order protein complexes. In a preferred embodiment, the specificity of the antibody is not due, either in whole or in part, to the presence of a phosphotyrosine residue on the activated cell surface receptor. Also preferred is where the antibody does not bind to an intracellular domain of the cell surface receptor, e.g., the antibody binds to an extracellular domain of the receptor. Most preferred is where the antibody has the characteristics of binding to an epitope that does not comprise a phosphotyrosine residue and which is in an extracellular region of the protein.

Also preferred is where antibody specificity is due to antibody recognition of an epitope that is formed upon assembly of protein monomers into, e.g., dimers, trimers or higher oligomeric complexes. The antibodies may have specificity for activation epitopes formed upon formation of, for example, activated erbB receptors, TNF receptors and immunoglobulin gene superfamily (IgSF) receptors (e.g., B7, B7RP-1, CD28, and ICOS). In a preferred embodiment, the antibodies recognize activation epitopes formed upon erbB receptor activation.

In certain embodiments, the invention relates to cross-reactive "dual-specificity" antibodies that bind to an activation epitope formed from two or more distinct proteins. The cross-reactive dual-specificity antibodies can bind, for example and without limitation, to heterodimeric or oligomeric erbB receptors or heterooligomeric TNF receptors, or heteromeric complexes comprising members of the immunoglobulin gene superfamily (IgSF), which includes but is not limited to B7, B7RP-1, CD28, and ICOS. In another embodiment, the invention provides cross-reactive dual-specificity antibodies that bind to an epitope shared by heterodimers of erbB receptors, to an epitope shared by heterodimers of TNF receptors, or to an epitope shared by heterodimers of the immunoglobulin gene superfamily (IgSF). The epitope can comprise contiguous or noncontiguous amino acid sequences.

In certain embodiments, the invention provides antibodies that bind to assembly epitopes of cell surface receptors. In certain preferred embodiments antibodies bind to assembly epitopes of activated homodimeric complexes of erbB1, erbB2, erbB3 or erbB4. Also preferred are embodiments wherein antibodies bind to

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assembly epitopes of activated heterodimeric complexes of erbB1 and erbB2, erbB1 and erbB3, erbB1 and erbB4, erbB2 and erbB3, erbB2 and erbB4, erbB3 and erbB4, or assembly epitopes of activated complexes comprising at least one TNF family receptor.

In still further embodiments, the invention provides a monoclonal antibody produced by hybridoma cell lines designated 8A4, A10A12, 9G6, 7H4, A10E9, A12D6, A6B12, A10E11, B3G4, A5C7, 13A11, 11C11 and 13B11. In a preferred embodiment, a monoclonal antibody is produced by the hybridoma cell 8A4 or A10A12. In further embodiments, the invention provides an antibody with a variable region or a complementarity determining region of one of the foregoing monoclonal antibodies. Also, preferred is where the invention provides a humanized antibody with a variable region or a complementarity determining region of one of the foregoing monoclonal antibodies

In still further embodiments the present invention provides antibodies which disable the oligomerization of receptors. In a preferred embodiment, antibodies are induced by immunizing with a peptide or protein subdomain containing shared structural elements involved in the oligomerization. In certain embodiments, the structural element is a cystine knot.

In a preferred embodiment, the invention provides antibodies that bind to subdomains of activated erbB1, erbB2, erbB3, erbB4, the TNF family of receptors, or members of the IgSF, or assemblies thereof, that contain cystine knots. Accordingly, in some embodiments the invention provides antibodies that bind to cystine knots of erbB1, erbB2, erbB3, and erbB4, or to cystine knots of TNF receptors.

The invention also provides compositions comprising any of the aforementioned antibodies specific for activated cell surface receptors, e.g., dual-specificity antibodies, including, for example and without limitation, those which bind to erbB receptors or to the TNF family of receptors. In a preferred embodiment the invention provides injectable pharmaceuticals that are sterile and pyrogen free.

Also provided are antibodies that bind to interaction surfaces in the extracellular domains of activated erbB receptors or to interaction surfaces in the extracellular domains of activated TNF receptors.

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Some embodiments of the present invention relate to pharmaceutical compositions comprising antibodies specific for activated cell surface receptors, including, e.g., dual-specificity monoclonal antibodies, which bind to homo- or heterodimers of erbB receptors or homo- or heterooligomers of TNF receptors, in combination with anti-cancer drugs. Some of such pharmaceutical compositions are injectable pharmaceuticals which are sterile and pyrogen free.

Certain embodiments of the present invention relate to antibodies recognizing peptides that mimic erbB receptors or TNF receptors. In preferred embodiments, antibodies recognize a peptide that mimics an extracellular domain of an erbB receptor or of an extracellular domain of a TNF receptor. Further preferred are embodiments wherein an antibody recognizes a peptide that mimics subdomain IV of the erbB receptor. More preferred are embodiments wherein an antibody recognizes a peptide that mimics the S22 or S23 loop of the erbB receptor.

Certain embodiments of the present invention relate to antibodies recognizing mimetics of erbB receptors or TNF receptors. In some embodiments, antibodies recognize a mimetic of an extracellular domain of an erbB receptor or of an extracellular domain of a TNF receptor. In more preferred embodiments, antibodies recognize a mimetic of subdomain IV of the erbB receptor. In even more preferred embodiments, antibodies recognize a mimetic of the S22 or S23 loop of the erbB receptor.

Certain embodiments of the present invention relate to pharmaceutical compositions comprising antibodies to peptides or mimetics of erbB receptors or TNF receptors, in combination with anti-cancer drugs. Some such embodiments are injectable pharmaceuticals which are sterile and pyrogen free.

Certain embodiments of the present invention relate to methods of treating human patients having solid tumors by administering to the patient antibodies specific for activated cell surface receptors, including, e.g., dual-specificity monoclonal antibodies, which bind to homo- or heterodimers of erbB receptors or homo- or heterooligomers of TNF receptors. In a preferred embodiment, the antibodies are specific for activated erbB proteins. Further preferred are where the antibodies are dual-specificity anti-erbB antibodies having specificity for an activation epitope formed

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upon formation of activated erbB heterodimers. In some embodiments of the present invention, the administration of antibodies may optionally be followed by exposing the patient to a therapeutically effective amount of anti-cancer radiation. In certain
5 administration of a therapeutically effective amount of a chemotherapeutic agent. In certain embodiments the administration of antibodies is performed in combination with administration of a therapeutically effective amount of a chemotherapeutic agent and followed by exposing the patient to a therapeutically effective amount of anti-cancer radiation.

10 In other embodiments, the invention relate provides methods of treating patients (e.g., human patients) having solid tumors by administering to the patient antibodies to activated erbB receptors that have been obtained using peptides or mimetics of erbB receptors as antigens. In some such embodiments the administration of the antibodies to activated erbB receptors may optionally be followed by exposing
15 the patient to a therapeutically effective amount of anti-cancer radiation. In other embodiments, the administration of antibodies to activated erbB receptors is performed in combination with administration of a therapeutically effective amount of a chemotherapeutic agent. In some embodiments the administration of antibodies to activated erbB receptors is performed in combination with administration of a
20 therapeutically effective amount of a chemotherapeutic agent and followed by exposing the patient to a therapeutically effective amount of anti-cancer radiation.

Certain embodiments of the present invention relate to methods of treating human patients having solid tumors by administering to the patient antibodies to activated erbB receptors, e.g., dual-specificity anti-erbB antibodies, that are
25 conjugated to radioactive or chemotherapeutic agents.

Certain embodiments of the present invention relate to methods for generating cross-reactive dual-specificity monoclonal antibodies to members of multigene families such as, for example and without limitation, the erbB receptor family, the TNF receptor family, or the immunoglobulin gene superfamily. Cross-
30 reactive dual-specificity antibodies are generated by priming animals, preferably

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rodents, with one member of the family and boosting with at least one closely related member of the family.

Further embodiments of the present invention relate to methods of treating human patients having solid tumors by administering to a patient antibodies to activated erbB receptors obtained using peptides or mimetics of erbB receptors as
5 antigens, wherein the antibodies are conjugated to radioactive, chemotherapeutic, or photodynamic therapeutic agents.

Still further embodiments of the present invention relate to pharmaceutical compositions comprising antibodies to activated receptors, e.g., dual-
10 specificity monoclonal antibodies which bind to erbB or TNF receptors that are conjugated to radioactive or chemotherapeutic agents. Some embodiments relate to injectable pharmaceuticals which are sterile and pyrogen free.

Certain embodiments of the present invention relate to methods of preventing tumors in human patients by administering to the patient an antibody to
15 activated receptors, e.g., an dual-specificity anti-erbB antibody.

Certain embodiments of the present invention relate to methods of preventing tumors in human patients by administering to the patient at least one peptide or mimetic of erbB receptors, thereby inducing formation of antibody to activated erbB receptors, e.g., dual-specificity erbB antibody

20 Certain embodiments of the present invention relate to methods of imaging erbB tumors in human patients having such tumors using detectable antibody to activated erbB receptor, e.g., a dual-specificity anti-erbB antibody.

Certain embodiments of the present invention relate to pharmaceutical compositions comprising a detectable antibody to activated receptors, e.g, dual-
25 specificity monoclonal antibody, specific to activated erbB,, TNF, or IgSF receptors. Some embodiments relate to injectable pharmaceuticals which are sterile and pyrogen free.

Certain embodiments of the present invention relate to diagnostic kits and to methods for imaging and/or detecting solid tumors using antibody to activated
30 erbB receptors, e.g., dual-specificity anti-erbB antibodies.

Certain embodiments of the present invention relate to methods of altering the specificity of antibodies. Mono-specific antibodies, for example, are made dual-specific by altering the coding sequences of the complementarity-determining region (CDR).

5

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A-B depicts surface plasmon resonance (Biacore) analysis of the interaction between the HER2 receptor ectodomain and 8A4 Mab (**A**) and the effect of EGF on binding of EGFR to 8A4 (**B**).

10

Fig. 2A depicts immunoprecipitation of EGFR and HER2 receptors by 8A4 from NE91 and T6-17 cells respectively. When NE91 is stimulated with EGF, the EGFR precipitated by 8A4 is highly tyrosine-phosphorylated as shown in **B**.

Fig. 3 depicts tumor size after treatment with different antibodies or with vehicle.

15

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides compositions comprising antibodies specific for activated cell surface receptors and methods of use thereof. The specificity of the antibodies is due to their recognition and binding of activation epitopes that are formed upon assembly of cell surface receptor proteins into higher order protein complexes that accompanies receptor activation. The antibodies and compositions thereof are useful for the diagnosis, imaging, and treatment of mammalian tumors.

20

Antibodies with specificity for activated cell surface receptors that have been described in the prior recognize epitopes comprising phosphotyrosine residues that are present on an intracellular domain or region of the receptor. Due to their intracellular location, however, phosphotyrosine-containing epitopes are not accessible to bind extracellular antibodies, when the antibodies are administered to intact cells. The inaccessibility of the phosphotyrosine-containing epitopes makes antibodies that

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recognize or are specific for the epitope unsuitable for the diagnosis, imaging, and treatment of mammalian tumors.

Cell surface receptors associated with and expressed in mammalian tumors are also typically found as well on the surface of both normal and tumor cells.

- 5 When present on the surface of normal cells, the cell surface receptors are typically present in lower amounts or in a state such that their presence does not lead to a transformed cell phenotype or formation of a tumor. The large number of normal cells in the mammalian body, relative to the number of tumor cells, however, provides a large of pool of unactivated receptor, relative to active receptor present on the surface
- 10 of transformed and/or tumor cells. Hence, treatment of mammalian tumors with antibodies that are not specific for activated cell surface receptors requires increased amounts of antibody sufficient to bind to both normal cells and tumor cells. The specificity of the antibodies for activated cell surface receptors provides for specific binding of the antibodies to tumor or transformed cells bearing activated receptors, e.g.,
- 15 cells that overexpress a cell that express a mutant activated form of a cell surface receptor, thus allowing for treatment of tumors with reduced amounts of an antibody specific for activated cell surface receptor, relative to the amounts of antibody that are required for tumor treatment when the antibody is not specific for activated cell surface receptor. Accordingly, the antibodies specific for activated cell surface receptors that
- 20 are provided by the present invention have the benefit of allowing treatment of tumors with reduced amount of antibody and therefore at a lower cost and without the undue side effects that are associated with anti-tumor treatments using antibodies known in the prior art that require administration of relatively higher amounts of antibody.

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In a preferred embodiment, the invention provides antibodies that bind to and are specific for an epitope of an activated cell surface receptor that is on an extracellular region of the receptor. In an independent preferred embodiment, the invention provides antibodies that bind to and are specific for an epitope of an activated
5 cell surface receptor wherein the epitope does not comprise a phosphotyrosine residue. Also preferred is an embodiment where the invention provides antibodies wherein, simultaneously, the antibodies bind to and are specific for an epitope of an activated cell surface receptor that is on an extracellular region of the receptor and the epitope does not comprise a phosphotyrosine residue.

10 In preferred embodiments, compositions comprising dual-specificity antibodies are provided that have specificity for at least one assembly epitope formed upon oligomerization of two monomers. In an especially preferred embodiment, an epitope comprises one or more cystine knots. The present invention further provides methods for treatment, diagnosis, and imaging of mammalian tumors using dual-
15 specificity antibodies.

In certain embodiments, compositions comprising antibodies to peptides and mimetics of regions of receptors which facilitate oligomerization are provided, preferably directed to regions of receptors comprising one or more cystine knots. The present invention further provides methods for treatment, diagnosis, and imaging of
20 mammalian tumors using such peptide mimetics. In other embodiments, the invention provides antibodies against such peptide mimetics. The antibodies are preferably dual-specificity antibodies.

Definitions

As used herein, the term "erbB" refers to receptors in the erbB family of
25 receptor tyrosine kinases which assemble into hetero- or homodimers, including, but

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not limited to, erbB1 (EGFr--epidermal growth factor receptor, HER1), erbB2 (neu, p185, HER2), erbB3 (HER3), and erbB4 (HER4).

As used herein, the term "TNF" refers to receptors that bind tumor necrosis-like factors and which assemble into oligomers. TNF receptors include, but
5 are not limited to, TNF, FAS, RANK, TRAIL, and CD40.

As used herein, the terms "p185/EGFr cancer", "p185/EGFr tumors", "erbB2/EGFr cancer" and "erbB2/EGFr tumors" are meant to refer to tumor cells and neoplasms which express erbB2 and EGFr. erbB2/EGFr tumors have p185 and EGF receptors on their cell surfaces.

10 As used herein, the terms "erbB tumor", and "erbB cancer" are meant to refer to tumor cells and neoplasms that express one or more erbB receptors. Some erbB tumor cells or neoplasms may express p185 receptors on their cell surfaces.

As used herein, the terms "TNF", and "TNF-related pathologies" are meant to refer to pathologies that involve one or more TNF family receptors.

15 As used herein, the term "oligomerization" refers to the process by which monomers are formed into dimers or higher order multimeric complexes. Examples of assemblies formed through this process include but are not limited to dimers, trimers and tetramers, etc. Such assemblies may comprise two or more identical monomers yielding a homodimer, homotrimer, homotetramer, etc., or two or
20 more different monomers yielding a heterodimer, heterotrimer, etc.

As used herein an "activated receptor" is a receptor that is capable of generating an intracellular signaling event or which is characterized by increased signaling activity relative to receptor that is not activated. Activated receptor tyrosine kinases (RTKs), for example, exhibit elevated levels of tyrosine kinase activity
25 compared to RTKs that are not activated. Cellular events that lead to activation of receptors are well known among those of ordinary skill in the art. Activation typically includes oligomerization, e.g., dimerization, trimerization, etc., into higher order receptor complexes. Complexes may comprise a single species of protein, i.e., a homomeric complex. Alternatively, complexes may comprise at least two different
30 protein species, i.e., a heteromeric complex. Events leading to formation of activated cell surface receptor complexes are well known to those of ordinary skill in the art.

Complex formation may be caused by, for example, overexpression of normal or mutant forms of receptor on the surface of a cell. Complex formation may also be caused by a specific mutation or mutations in a receptor.

As used herein, the term "activation-epitope" is an epitope that is formed or created upon activation of cell surface receptor that is not present or not accessible in a non-activated receptor. The terms "formed upon" or "created upon" can denote that the activation epitope is an "assembly epitope" that comprises at least one amino acid from each of at least two proteins in a protein complex. Accordingly, the epitope is formed upon complex formation and is not present in proteins prior to complex formation, e.g., without limitation, in monomers. Such epitopes are typically found at protein interfaces that are formed during complex formation. Alternatively, "formed upon" or "created upon" can denote an activation epitope comprising amino acids from a single protein, i.e., a single polypeptide chain, but which is not recognized or accessible to antibody prior to complex formation. Such epitopes may be formed as the result of, for example, conformational changes that occur in a receptor protein upon complex formation, which lead to the formation of the epitope or lead to increased accessibility of the epitope to antibody binding.

As used herein, the terms "dual-specific," "dual-specificity" and "cross-reactive", used along or in combination with other terms, are used synonymously to refer to a composition which binds to two or more binding partners. For example, an antibody which cross-reacts with two or more different antigens is capable of binding to each of the different antigens.

As used herein, the term "dual-specificity monoclonal antibodies which bind to erbB receptors" refers to monoclonal antibodies which bind to an epitope shared by two erbB receptors.

As used herein, the term "dual-specificity monoclonal antibodies which bind to TNF receptors" refers to monoclonal antibodies which bind to an epitope shared by two TNF receptors.

As used herein, the term "dual-specificity monoclonal antibodies which bind to IgSF receptors" refers to monoclonal antibodies which bind to an epitope shared by two IgSF receptors.

As used herein, the term "antibody" is meant to refer to antibodies, as well as antibody fragments such as FAb and F(Ab)₂ fragments, recombinant antibodies or recombinant antibody fragments. Antibodies may, in some preferred embodiments, be monoclonal, humanized, primatized, camelized or chimeric antibodies.

5 As used herein, the term "cystine knot" refers to a polypeptide formed by at least two disulfide bonds and the protein chains linking them, penetrated by a third disulfide bond and is further described in Murzin *et al.*, J. Mol. Biol. 247: 536-540, which is incorporated by reference in its entirety. For example, in the TNF receptor family a cystine knot consists of 42 amino acid residues with 6 cysteine
10 residues forming 3 inter-chain disulfide bonds to create the structural motif.

As used herein, the phrase "cystine knot specific antibody" refers to an antibody which binds to a cysteine-rich domain, a cystine knot, or a portion of a cystine knot loop. In a preferred embodiment, a cystine knot specific antibody binds a cystine knot comprising region erbB subdomain IV. Further preferred is where the cystine knot
15 comprising region is selected from the group consisting of the S22 loop and the S23 loop.

As used herein, the phrase "cystine knot comprising region" refers to a portion of a receptor that comprises one or more cystine knots. In some embodiments, the cystine knot-comprising region is an extracellular portion of the receptor. In some
20 preferred embodiments, the cystine knot comprising region is subdomain IV. In more preferred embodiments, the cystine knot comprising region is selected from the group consisting of the S22 loop and the S23 loop.

As used herein, the term "region" refers to a part of a receptor comprising at least one portion. Representative receptor regions include, but are not
25 limited to, extracellular regions, transmembrane regions, and intracellular regions.

As used herein, the term "portion" refers to at least 3-5 amino acids, more preferably at least 8-10 amino acids, more preferably at least 11-15 amino acids, more preferably at least 17-24 amino acids, and even more preferably at least 25-30 amino acids, and most preferably at least 30-45 amino acids.

30 As used herein, the term "conformation site" refers to a site on a receptor which affects the conformation of the receptor. In some embodiments, binding of an

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antibody, peptide or mimetic to the conformation site changes the conformation of the receptor such that oligomerization of the receptor is prevented and, preferably, reduces or eliminates receptor signaling. In some preferred embodiments, the conformation site is a receptor-receptor contact point.

5 As used herein, the term "mimetic" is used to refer to compounds which mimic the activity of a peptide. Mimetics are non-peptides but may comprise amino acids linked by non-peptide bonds. U.S. Patent No. 5,637,677 and its parent applications contain detailed guidance on the production of mimetics. Briefly, the three dimensional structure of the peptides which specifically interacts with the three
10 dimensional structure of erbB receptors is duplicated by a molecule that is not a peptide. Examples of mimetics are also found in U.S. patent application number 10/119,288, filed April 8, 2002.

 As used herein, the terms "conformationally restricted peptides", "constrained peptides" and "conformationally constrained peptides" are used
15 interchangeably and are meant to refer to peptides which, for example through intramolecular bonds, are conformationally stable and remain in a sufficiently restricted conformation. The compounds have an affinity to erbB receptors and, when bound to erbB receptors on cells, have a biologically active effect on cells that have a erbB-mediated transformation phenotype.

20 As used herein, the terms "aromatic amino acids" and "aromatic amino acid residues" used interchangeably are meant to refer to phenylalanine and tyrosine.

 As used herein, the term "exocyclic amino acid residue" is meant to refer to amino acid residues which are linked to cyclicized peptide but which are not within the portion of the peptide that makes up the circularized structure.

25 As used herein, the term "exocyclic portions" is meant to refer to amino acid sequences having one or more amino acid residues which are linked to cyclicized peptide but which are not within the portion of the peptide that makes up the circularized structure.

 As used herein, the term "linking moiety" is meant to refer to a
30 molecular component or functional group which is capable of forming bonds with three amino acids.

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As used herein, the term "linking amino acid residue" is meant to refer to an amino acid residue that is a linking moiety.

As used herein, the terms "active sequence" and "active region" are used interchangeably and are meant to refer to the amino acid sequence of the portion of a compound of the invention that directly interacts with an erbB receptor, wherein the interaction is characterized by an affinity between the active portion and an erbB receptor.

In some embodiments, the peptides and mimetics are constrained mimics of the loops or repeats present in subdomain IV of erbB receptors. In some embodiments, the peptides and mimetics mimic a cystine knot comprising region, preferably a cystine knot or portion thereof. In some embodiments, binding of a peptide or mimetic to an erbB or TNF receptor prevents dimerization of the receptor, and, preferably, reduces or eliminates receptor signaling.

As used herein, the term "dimerization site" is used interchangeably with the terms "interaction site" and "interaction surface" and refers to a site on a receptor that forms a bond with another receptor when the two receptors dimerize. In some embodiments, the dimerization site is ligand-independent, *i.e.*, the dimerization site is not dependent on the presence or absence of a particular bound ligand. In other embodiments, the dimerization site is ligand-dependent, *i.e.*, the dimerization site is dependent on the presence or absence of a particular bound ligand. In some embodiments, binding of an antibody, peptide or mimetic to the dimerization site prevents dimerization of the receptors and, preferably, reduces or eliminates receptor signaling. In some preferred embodiments, the dimerization site is subdomain IV of the erbB receptor or a portion thereof.

As used herein, the term "assembly" is used interchangeably with "ensemble" or "dimer" and refers to homo- or heterooligomers of receptors. Such assemblies may comprise erbB1, erbB2, erbB3, erbB4, or combinations thereof, or receptors in the TNF family of receptors, including but not limited to FAS, RANK, TRAIL, and CD40, or combinations thereof, or members of the IgSF superfamily, including but not limited to ICOS and CD28.

As used herein, the term "high risk individual" is meant to refer to an individual who has had an erbB or TNF related pathology or pathologies associated

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with IgSF members either removed or in remission, and who is therefore susceptible to a relapse or recurrence. In the case of erbB, as part of a treatment regimen for a high risk individual, the individual can be prophylactically treated against tumors that they have been diagnosed as having had in order to combat a recurrence. Thus, once it is
5 known that an individual has had an erbB-cancer, the individual can be treated according to the present invention to prevent normal cells from transforming into tumor cells.

As used herein, the term "transformation" refers to the process by which a cell goes from a non-cancerous, non-tumorigenic state to a state wherein the cell has
10 the properties of cancerous cells and is competent to form tumors. The cellular events typically associated with cell transformation are well known among those of ordinary skill in the art and may include, for example, mutations, genomic rearrangements or a change in expression pattern of a specific gene or gene product, or sets of genes or gene products. Transformation may be caused by overexpression of cell surface proteins,
15 e.g., erbB, TNF and IgSF family members.

Cells may exist in "partially transformed" or "fully transformed" states. "Fully transformed" cells are those cells exhibiting properties of highly cancerous cells, i.e., cells with high tumor-forming potential or cells that are less responsive to anti-cancer and anti-tumor treatments. "Partially transformed" cells are those cells
20 exhibiting some physical or phenotypic characteristic of transformed cells, but which have lower tumor-forming potential or are potentially more responsive to anti-cancer or anti-tumor treatments. The cellular markers or phenotypic characteristics that can be used to distinguish "partially transformed" cells from "fully transformed" cells are well known to those of ordinary skill in the art, e.g., immunological, morphological and
25 cytochemical markers and gene expression profiles (LeBien, T.W., *et al.*, (1981) *Cancer Res.*, 41:4776-4780 and Zajchowski, D.A., *et al.*, (2001) *Cancer Res.*, 61:5168-5178).

As used herein, the term "in combination with" is meant to refer to administration of an antibody, peptide or mimetic composition of the invention with
30 each other or with radiation therapy and/or chemotherapy. Administration of the

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antibody, peptide or mimetic compositions may take place prior to, simultaneously with, or after radiation therapy and/or chemotherapy.

As used herein, the term "therapeutically effective amount" is meant to refer to an amount of an antibody, peptide or mimetic which produces a medicinal effect observed as reduction or reverse in tumorigenic phenotype of tumor cells in an individual when a therapeutically effective amount of a antibody is administered to the individual. Therapeutically effective amounts are typically determined by the effect they have compared to the effect observed when a composition which includes no active ingredient is administered to a similarly situated individual.

As used herein, the term "preventing the development of tumors" is meant to refer to preventing the transformation of normal cells into tumor cells including inhibiting the transformation of cells that have a normal or incomplete transformed phenotype into fully transformed phenotype. Thus, the development of tumors refers to the transformation event which results in the acquisition of a transformed phenotype. According to some aspects of the present invention, antibodies, peptides or mimetics may be administered to individuals who are at risk of developing tumors. The prophylactic administration of an antibody, peptide or mimetics to high-risk individuals results in preventing occurrence of a transformation event. Cells having the normal phenotype are not converted to the cells having transformed phenotype. The antibodies, peptides, or mimetics prevent tumors before they are formed by preventing a normal cell from becoming a cancer cell.

As used herein, the term "prophylactically effective amount" is meant to refer to an amount of an antibody, peptide, or mimetic which produces a medicinal effect observed as the prevention of non-transformed cells from becoming transformed in an individual when a prophylactically effective amount of an antibody, peptide or mimetic is administered to an individual. Prophylactically effective amounts are typically determined by the effect they have compared to the effect observed when a composition which includes no active ingredient is administered to a similarly situated individual.

As used herein, the phrase "injectable pharmaceutical composition", or variants thereof, refers to pharmaceutical compositions which satisfy the USP

requirements for "injectables", *i.e.*, sterile, pyrogen- and particulate free, and possessing specific pH and isotonicity values.

Antibodies

5 The present invention describes, *inter alia*, the biochemical consequences of antibodies that are capable of disabling the assembly of erbB receptor polypeptides or altering TNF receptor polypeptides by different mechanisms. Antibodies may be single receptor specific, dual receptor specific, cystine knot comprising region specific, cystine knot specific, or cystine knot portion specific.

10 Antibodies that recognize and bind specifically to activated cell surface receptors, e.g., receptor tyrosine kinases, are useful to prevent oligomerization-mediated signaling of receptors and thereby down modulate activity, e.g., kinase activity, of the receptors. When bound, the antibodies disable or eliminate or reduce activity that results in an elimination or reduction in cell proliferation levels and a non-transformed,
15 quiescent phenotype. The antibodies are therefore useful in the treatment of individuals suspected of having erbB tumors and in the prevention of such tumor formation. The cells in the individuals that would otherwise turn into tumors in an untreated individual do not become transformed and do not become tumors in individuals treated by the methods. When administered to individuals who have been identified as being
20 susceptible to or otherwise at risk of developing tumors, the antibodies bind to, for example, erbB1 and erbB2, thereby preventing the elevation in tyrosine kinase activity associated with dimerization of the receptors. The tyrosine kinase activity in the cell never becomes sufficiently elevated and the cell remains non-transformed.

 In a preferred embodiment, antibodies that recognize and bind specifically to
25 activated cell surface receptors are dual-specificity antibodies that bind to both of two receptors, for example and without limitation, to both erbB1 and erbB2,

 Antibodies, e.g., preferably dual-specificity monoclonal antibodies, useful in anti-tumor compositions can be produced by those skilled in the art using readily available starting materials and the techniques described herein. General techniques for
30 producing monoclonal antibodies are outlined in Harlow, E. and D. Lane, (1988) *ANTIBODIES: A Laboratory Manual*, Cold Spring Harbor Laboratory, Cold Spring

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Harbor NY, which provides detailed guidance for the production of hybridomas and monoclonal antibodies which specifically bind to target proteins.

Monoclonal antibody techniques known in the prior art are modified to produce antibodies specific for activated cell surface receptors, e.g., dual-specificity
 5 antibodies. Briefly, as non-limiting example, a first protein of interest, e.g., without limitation, rodent or human erbB2, for example, or cells which express the first protein, are injected into mice. The mouse is then boosted with a second protein of interest, e.g., without limitation, rodent or human erbB1, or cells which express the second protein. The spleen of the mouse is removed and the spleen cells are isolated and fused
 10 with immortalized mouse cells. The hybrid cells, i.e., hybridomas, are cultured and those cells which secrete antibodies are selected. The antibodies are analyzed and, if found to specifically bind to the protein of interest, the hybridoma which produces them is cultured to produce a continuous supply of antigen-specific antibodies. Humanized or camelized antibodies may be generated using techniques well-known to those skilled
 15 in the art.

In certain embodiments, antibodies specific for one epitope are made dual-reactive by genetically altering the CDR using techniques known to those skilled in the art.

In certain embodiments, antibodies are induced by immunizing an erbB
 20 or TNF receptor, or portion thereof. In preferred embodiments, antibodies are induced by immunizing with a cystine knot peptide mimetic or peptide mimetic from cysteine rich domains with or without constraints.

In certain embodiments, an antibody is produced by immunizing a suitable host with a peptide selected from the group consisting of:

25 Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:3);
 C-K-V-E-L-M-Y-P-P-P-Y-F-V-G-M-G-N-G-T-Q-I-Y-V-I-D-P-E-P-C (SEQ ID NO: 28); C-K-I-E-F-M-Y-P-P-P-Y-L-D-N-E-R-S-N-G-T-I-I-H-I-K-E-K-H-L-C (SEQ ID NO:29); C-S-L-S-I-F-D-P-P-P-F-Q-E-R-N-L-S-G-G-Y-L-H-I-Y-E-S-Q-L-C (SEQ ID NO: 30); B2-S22-APE: Y-C-P-I-W-K-F-P-D-E-E-C-Y (SEQ ID NO: 31); B1-S22-
 30 ALG: Y-C-L-V-W-K-Y-A-D-A-G-C-Y (SEQ ID NO: 32); B3-S22-APQ: Y-C-P-I-Y-

K-Y-P-D-V-Q-C-Y (SEQ ID NO: 33); B4-S22-AFD: Y-C-F-I-F-K-Y-A-D-P-D-C-Y (SEQ ID NO: 34); B2-S22-AFA: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO: 35).

In certain preferred embodiments, the antibody is a dual-specificity antibody. In some embodiments the antibody binds to a cystine knot of an erbB
5 receptor.

According to some embodiments of the invention, a monoclonal antibody is provided which has the following properties: (a) binds to human and/or rat erbB1, erbB2, erbB3, or erbB4-receptors, with higher affinity towards active receptors; and (b) binds to human and/or rat erbB1, erbB2, erbB3, or erbB4-receptors, wherein the
10 erbB receptor in (a) is different than the erbB receptor in (b).

Preferably, the binding affinity for erbB antigens is at least 1×10^6 Ka. Preferably, the binding affinity for the erbB receptor of part (a) is at least 5×10^6 Ka, more preferably 1×10^7 Ka, more preferably 2×10^7 Ka, more preferably 1×10^8 Ka. Likewise, the binding affinity for the erbB receptor of part (b) is preferably at least $5 \times$
15 10^6 Ka, more preferably 1×10^7 Ka, more preferably 2×10^7 Ka, more preferably 1×10^8 Ka. In some particularly preferred embodiments, the binding affinity for the erbB receptor of part (a) is at least 5×10^6 Ka and the binding affinity for the erbB receptor of part (b) is preferably at least 5×10^6 Ka. More preferably, the binding affinity for the erbB receptor of part (a) is at least 1×10^7 Ka, and the binding affinity for the erbB
20 receptor of part (b) is preferably at least 1×10^7 Ka. More preferably, the binding affinity for the erbB receptor of part (a) is at least 2×10^7 Ka and the binding affinity for the erbB receptor of part (b) is preferably at least 2×10^7 Ka. More preferably the binding affinity for the erbB receptor of part (a) is at least 1×10^8 Ka and the binding affinity for the erbB receptor of part (b) is preferably at least 1×10^8 Ka. In some
25 embodiments, the binding affinity for the erbB receptor of part (a) is at least 2×10^7 Ka and the binding affinity for the erbB receptor of part (b) is at least 1×10^8 Ka.

According to preferred embodiments of the invention, a monoclonal antibody is provided which has the following properties: (a) binds to a first human and/or rat TNF-receptor, with higher affinity towards active receptors; and (b) binds to
30 a second human and/or rat TNF-receptor, wherein the TNF receptor in (a) is different than the TNF receptor in (b).

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Preferred binding affinities for first and second TNF receptors are as set forth above respectively for first and second erbB receptors.

According to preferred embodiments of the invention, a monoclonal antibody is provided which has the following properties: (a) binds to a first human/rat
5 IgSF family-receptor, with higher affinity towards active receptors; and (b) binds to a second human/rat IgSF family-receptor, wherein the IgSF family-receptor in (a) is different than the IgSF family-receptor in (b).

Preferred binding affinities for first and second IgSF family receptors are as set forth above respectively for first and second erbB receptors.

10 As used herein, an antibody that is specific for an activated cell surface receptor binds to the activated receptor with a greater affinity than it binds to a cell surface receptor that is not activated. Preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with an affinity that is at least 5-fold greater than the affinity with which it binds to a cell surface receptor that is not
15 activated. More preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with an affinity that is at least 10-fold greater than the affinity with which it binds to a cell surface receptor that is not activated. More preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with an affinity that is at least 20-fold greater than the affinity with
20 which it binds to a cell surface receptor that is not activated. Even more preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with an affinity that is at least 50-fold greater than the affinity with which it binds to a cell surface receptor that is not activated. Still more preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with an affinity that is
25 at least 100-fold greater than the affinity with which it binds to a cell surface receptor that is not activated. Most preferably, an antibody specific for an activated cell surface receptor binds to the activated receptor with a detectable, e.g., high, affinity, without significant affinity (e.g., $K_d > 10^{-2}$ M) for a cell surface receptor that is not activated.

The present invention is useful to therapeutically treat an individual
30 identified as suffering from erbB tumors and/or TNF or IgSF pathologies. The present invention is also useful to prophylactically treat an individual who is predisposed to

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develop erbB tumors or TNF/IgSF-related pathologies or who has had erbB tumors or TNF/IgSF-related pathologies and is therefore susceptible to a relapse or recurrence. The present invention is also useful, *inter alia*, to image erbB tumors or TNF/IgSF-related pathologies and otherwise detect them.

5 The antibodies of the invention are also useful in the treatment of erbB tumors or TNF/IgSF-related pathologies either as a component of a composition administered to a patient (i) alone; (ii) in combination with radiation therapy and/or chemotherapy; or (iii) as a component of a composition administered to a patient that comprises the antibody conjugated to a radioactive or chemotherapeutic agent.

10 The antibodies of the invention are also useful in the prevention of erbB tumors or TNF/IgSF-related pathologies either as a component of a composition administered to a patient (i) alone; (ii) in combination with radiation therapy and/or chemotherapy; or (iii) as a component of a composition administered to a patient that comprises the antibody conjugated to a radioactive or chemotherapeutic agent.

15 The antibodies of the invention are useful in the imaging of erbB tumors or TNF/IgSF-related pathologies as, for example, a detectable component of a composition administered to a patient.

 The antibodies of the invention are also useful in diagnostic kits and *in vitro* methods of identifying a tumor as an erbB tumor.

20 In accordance with one preferred embodiment of the invention, the antibody is a dual-specificity antibody that is specific for an epitope formed upon formation of an activated erbB1-erbB2 receptor complex. In another preferred embodiment, a dual-specificity antibody is specific for an epitope formed upon formation of an activated erbB2-erbB4 receptor complex. In another preferred
25 embodiment, a dual-specificity antibody is specific for an epitope formed upon formation of an activated erbB2-erbB3 receptor complex. In another preferred embodiment, a dual-specificity antibody is specific for an epitope formed upon formation of an activated erbB1-erbB3 receptor complex. In a still further preferred embodiment, the dual-specificity antibody is specific for an epitope formed upon
30 formation of an activated erbB1-erbB4 receptor complex.

In accordance with a preferred embodiment of the invention, a dual-specificity antibody is specific for an epitope shared by two TNF family receptors, for example, by TNF and FAS.

In addition to molecules designed from assembly epitopes of erbB2 and
5 erbB1, erbB1 and erbB3, erbB1 and erbB4, erbB2 and erbB3, erbB2 and erbB4, erbB3 and erbB4, and assembly epitopes of TNF or IgSF receptors, the present invention encompasses molecules, including but not limited to antibodies and peptide mimetics, based on interacting surfaces of receptors.

In certain embodiments, an antibody is specific to at least one
10 dimerization site of an erbB, TNF, or IgSF receptor. In certain embodiments, antibodies are specific to at least one dimerization site of erbB1 or erbB2. Binding of the antibody to the dimerization site may effectively prevent the dimerization of the receptor, and, preferably, reduce or eliminate receptor signaling.

In certain embodiments, antibodies are specific to conformation sites of
15 erbB, TNF, or IgSF receptors. In a preferred embodiment, antibodies are specific to a conformation site of erbB1 or erbB2. In some embodiments, antibodies are specific to a conformation site of erbB1 or erbB2. Binding of the antibody to the conformation site changes the conformation of the receptor such that the receptor is not able to dimerize, and, preferably, reduces or eliminates receptor signaling.

20 In certain embodiments, antibodies are provided which bind to cystine knot comprising regions or cystine knots or portions thereof of erbB, TNF, or IgSF receptors. In some embodiments, antibodies are specific for cystine knot in an extracellular domain of an erbB, TNF, or IgSF receptor.

While the teaching herein generally refers to antibodies, e.g., dual-
25 specificity antibodies, that bind to specific activated dimers, for example, erbB1 and erbB2, the description is intended to embrace embodiments using antibodies, e.g., dual-specificity antibodies, that bind to other activated erbB, TNF, or IgSF receptors in place of antibodies, e.g., dual-specificity antibodies, that bind to activated erbB2 and erbB1, to treat, prevent, image and diagnose tumors that express the various combinations for
30 which the antibodies are specific. Furthermore, the invention includes epitope specific antibodies, including, for example, cross reactive antibodies, that bind to at least one

epitope on any pair of receptors which assemble into active homo or heteroligomers and use cystine knot like regions to do so. This includes the TNF receptor family (e.g., TNF receptor FAS, RANK, TRAIL, CD40), as well as the other members of the erbB family.

According to a preferred embodiment, a dual-specificity antibody has
5 higher affinity against the activated erbB or TNF receptors and thus selectively affects highly proliferating cells with an activated signaling pathway. In certain preferred embodiments, the signaling pathway is the phosphatidylinositol 3-kinase (PI 3-kinase) pathway. These antibodies and the uses thereof are an improvement over mono-specific erbB or TNF receptor antibodies already in use. The treatment of the invention
10 can thus be expected to reduce or eliminate the serious side effects of mammalian cancer tumor treatments or inflammation or IgSF-mediated pathologies because it does not interfere with any part of the body except the tumor.

In certain embodiments, methods of generating antibodies specific for activated cell surface receptors, e.g., dual-specificity antibodies, are provided. Such
15 methods comprise priming with a cell-bound receptor and boosting with a member of the same receptor family. In some embodiments, a host animal is primed with no more than two immunizations of the cell-bound receptor and followed by boosting the host animal no more than two times with a member of the same family. In some embodiments, the method comprises priming the host animal no more than two times
20 with a mixture of two or more related antigens and boosting the host animal no more than two times with the mixture of two or more related antigens.

In certain embodiments, a host animal is primed with no more than three immunizations of the cell-bound receptor and thereafter boosted no more than three times with a member of the same family. In some embodiments, the method comprises
25 priming the host animal no more than three times with a mixture of two or more related antigens and thereafter boosting the host animal no more than three times with the mixture of two or more related antigens.

In certain embodiments, a host animal is primed with no more than four immunizations of the cell-bound receptor and thereafter boosted no more than four
30 times with a member of the same family. In some embodiments, the method comprises priming the host animal no more than four times with a mixture of two or more related

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antigens and thereafter boosting the host animal no more than four times with the mixture of two or more related antigens.

In certain embodiments, a host animal is primed with no more than five immunizations of the cell-bound receptor and thereafter boosted no more than five
5 times with a member of the same family. In some embodiments, the method comprises priming the host animal no more than five times with a mixture of two or more related antigens and thereafter boosting the host animal no more than five times with the mixture of two or more related antigens.

In certain embodiments, a host animal is primed with no more than six
10 immunizations of the cell-bound receptor and thereafter boosted no more than six times with a member of the same family. In some embodiments, the method comprises priming the host animal no more than six times with a mixture of two or more related antigens and thereafter boosting the host animal no more than six times with the mixture of two or more related antigens.

15 It is to be understood that the methods of the present invention include various combinations of the priming and boosting steps set forth above. As non-limiting examples, in some embodiments, the methods comprise one priming step and three boosting steps. In some embodiments, the methods comprise two priming steps and three boosting steps.

20

Peptides and Mimetics

In certain embodiments, the invention provides antibodies, e.g., dual-specificity antibodies, that recognize and bind peptide mimetics. Preferably the mimetics are erbB mimetics. More preferably the mimetics are erbB subdomain IV mimetics. Most
25 preferably the mimetics are erbB subdomain IV mimetics S22 and S23. Accordingly, the invention describes these mimetics and antibodies that bind thereto.

The present invention also provides, *inter alia*, constrained peptides that contain exocyclic portions including exocyclic amino acids that are aromatic amino acids as well as an active region which specifically binds to erbB and antibodies that
30 bind thereto. Examples of constrained peptides are found in U.S. Patent No. 6,100,377 and U.S. application serial no. 10/119,288, filed April 8, 2002. Peptides can be

prepared according to the methods described in Takasaki *et al.*, (1997) *Nature Biotech.*, 15:1266-1270 and Park *et al.*, (2000) *Nature Biotech.*, 18:194-198.

The present invention also relates to mimetics which specifically bind to erbB and antibodies that bind thereto.

5 The present invention is useful to therapeutically treat an individual identified as suffering from erbB tumors in order to reverse the transformed phenotype of the tumor cells. The present invention is also useful to prophylactically treat an individual who is predisposed to develop an erbB tumors or who has had erbB-associated tumors and is therefore susceptible to a relapse or recurrence. The present
10 invention is also useful to detectably image tumors with respect to erbB receptors on their surfaces. The present invention is further useful to detect and quantify erbB on cell surfaces.

According to certain embodiments, the present invention provides peptides having the formula, and antibodies that bind thereto:

15 $R_1 - R_2 - R_3 - R_4 - R_5 - R_6 - R_7$

wherein:

R₁ is 1-6 amino acid residues, at least one of which is tyrosine or phenylalanine;

R₂ is a linking moiety which bonds with
 20 R₁, R₃ and R₆ such that a portion of said peptide is cyclicized;

R₃ is 0-20 amino acids;

R₄ is 6-12 amino acids;

R₅ is 0-20 amino acids;

25 R₆ is a linking moiety which bonds with R₅, R₇ and R₂ such that a portion of said peptide is cyclicized;

R₇ is 1-6 amino acid residues, at least one of which is tyrosine or phenylalanine;

wherein: R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 30 amino acids or less.

30 In certain embodiments, R₄ comprises F-P-D-E-E-G-A (SEQ ID NO:1).
In some embodiments, R₄ consists of F-P-D-E-E-G-A (SEQ ID NO:1). In some

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embodiments, R₄ comprises F-Y-P-D-E-E-G-A (SEQ ID NO:2). In some embodiments, R₄ consists of F-Y-P-D-E-E-G-A (SEQ ID NO:2).

The primary function of R₁ arises from the presence of at least one amino acid that contains an aromatic group: *i.e.*, the presence of tyrosine or phenylalanine. The presence of the aromatic amino acid at position R₁ results in an increased affinity of the peptide to erbB and an attendant increase in activity of the compound. In embodiments where additional amino acid residues are present, they can present the aromatic amino acid in a more effective position to further increase the affinity and activity of the compound. Additional amino acids that may be present must not eliminate the effect that the aromatic amino acid has on affinity or activity. Examples of amino acid sequences which may be used as R₁ are disclosed in U.S. Patent 6,100,377. In some embodiments, the additional amino acids are present as a site for linkage to detectable labels or moieties. In some embodiments, the additional amino acids are present as a site for dimerization with other peptides; either for formation of homodimers with each other or heterodimers with other peptides.

In some preferred embodiments, R₁ is 1-5 amino acids. In some preferred embodiments, R₁ is 4 amino acids. In some preferred embodiments, R₁ is 3 amino acids. In some preferred embodiments, R₁ is 2 amino acids. In some preferred embodiments, R₁ is 1 amino acid. In some preferred embodiments, R₁ comprises S-Y. In some preferred embodiments, R₁ consists of S-Y. In some preferred embodiments, R₁ comprises G-S-Y. In some preferred embodiments, R₁ consists of G-S-Y. In some preferred embodiments, R₁ consists of Y. In some preferred embodiments, R₁ consists of K. In some preferred embodiments, R₁ comprises K. Other examples of R₁ include G-G-S-Y (SEQ ID NO:21) and G-G-G-S-Y (SEQ ID NO:22). Contemplated equivalents include aromatic functional groups at R₁ which are not part of tyrosine or phenylalanine.

The function of R₂ is to form bonds with R₁ as well as to form bonds with R₆ which cyclicize or otherwise conformationally restrict the molecule. Bonds between R₂ and R₆ cyclicize the molecule and thereby maintain R₃-R₄-R₅, and, specifically R₄, in a constrained conformation that produces the specific biologically active surface that has an affinity for and interacts with erbB. Further, in such an

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arrangement R₁ becomes an exocyclic portion of the peptide. Accordingly, R₂ may be any moiety capable of forming bonds with R₆ as well as R₁ and R₃.

R₂ is preferably an amino acid residue. In certain embodiments, R₂ is a moiety that facilitates cyclization via NH₂ or SH groups, e.g., Tyr-Cys-Phe, Tyr-D-
5 penicillamine-Phe, Phe-Lys-Tyr or Lys-Cys-Phe. More preferably, R₂ is cysteine. When both R₂ and R₆ are cysteine, the disulfide bonds formed between the two cysteines cyclicize the molecule. It is contemplated that R₂ may comprise any moiety that, together with R₆, will allow for the cyclization of the portion of the molecule that includes R₁-R₂-R₃-R₄-R₅-R₆ while rendering R₁ and R₇ exocyclic portions of the
10 peptide. Those having ordinary skill in the art can readily prepare peptides according to the present invention in which R₂ and R₆ are moieties capable of forming bonds to each other. The cyclization of linear peptides using disulfide bonds between non-adjacent cysteines is well known. Similarly, other non-adjacent amino acid residues may be linked to cyclicize a peptide sequence and the means to do so are similarly well known.
15 Other methods of cyclization include those described by Di Blasio, *et al.*, (1993) *Biopolymers*, **33**:1037-1049; Wood, *et al.*, (1992) *J. Pep. Prot. Res.*, **39**:533-539; Saragovi, *et al.*, (1992) *Immunomethods*, **1**:5-9; Saragovi, *et al.*, (1991) *Science*, **253**:792-795; Manning, *et al.*, (1993) *Reg. Peptides*, **45**:279-283; Hruby, (1993) *Biopolymers*, **33**:1073-1082; Bach, *et al.*, (1994) *New Adv. Peptidomimetics Small Mol. Design*, **I**:1-26; and Matsuyama, *et al.*, (1992) *J. Bacteriol.*, **174**:1769-1776, each of which are incorporated herein by reference.

The function of R₃ is to serve as a spacer and provide structure to present the active region in proper conformation. In some embodiments, the cyclization of the active region by particular linking moieties results in the proper
25 folding of the active region to place it in active conformation and no R₃ is required. In some embodiments, the cyclization of the active region by particular linking moieties requires additional spacing and turns to facilitate that proper folding of the active region in order to place it in active conformation. In such embodiments, amino acid residues or sequences may be provided at R₃. In some preferred embodiments, R₃ is 0-
30 10 amino acids. In some preferred embodiments, R₃ is 0-5 amino acids. In some embodiments R₃ is a sequences that adopts the secondary structure pf a β -turn/helix,

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e.g., D-P-P-F. In an alternative embodiment, R₃ is a sequence that adopts the a helical secondary structure, e.g., Ala-Ala-Ala.

R₄ is the active region of the compounds according to this aspect of the invention. In compounds of the invention, the functional groups of the active region
5 are in a conformation which places them in a particular three dimensional arrangement that allows them to interact with the amino acids and functional groups thereon of an erbB receptor and to bind to an erbB receptor through such interactions. In peptide mimetics, the functional groups are provided in the active three-dimensional arrangement but are connected to modified or different backbones. It is possible to
10 vary each residue with one that contributes equivalent bulk and hydrophobic moment and that still permits hydrogen bonding to surrounding water molecules or to residues to which the compound attaches. Examples of sequences suitable for use as R₄ include D-E-E-G or F-K-Y-A-D. These residues can be L-isomer or D-isomer.

The function of R₅ is to present the active region in proper
15 conformation. In some embodiments, the cyclization of the active region by particular linking moieties results in the proper folding of the active region to place it in active conformation and no R₅ is required. In some embodiments, the cyclization of the active region by particular linking moieties requires additional spacing and turns to facilitate that proper folding of the active region in order to place it in active
20 conformation. In such embodiments, amino acid residues or sequences may be provided at R₅. In some preferred embodiments, R₅ is 0-10 amino acids. In some preferred embodiments, R₅ is 0-5 amino acids. In some preferred embodiments, R₅ is 0 amino acids. In some embodiments, R₅ comprise a sequence that facilitates folding, e.g., D-P-E-P. Alternatively, R₅ can comprise a sequence that alters a chemical property
25 of the peptide such as solubility, e.g., K-E-K-H.

The function of R₆ is to form bonds with R₂ which cyclicize or otherwise conformationally restrict the molecule. Bonds between R₆ and R₂ cyclicize the molecule and thereby maintain R₃-R₄-R₅, and, specifically R₄, in a constrained conformation that produces the specific biologically active surface that has an affinity
30 for and interacts with erbB. Accordingly, R₆ may be any moiety capable of forming bonds with R₂ as well as R₅ and R₇. R₆ is preferably an amino acid residue, preferably

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cysteine. When both R₆ and R₂ are cysteine, disulfide bonds formed between the two cysteines cyclicize the molecule. In certain embodiments, R₆ can be, for example, G-C-Y, G-K-Y or G-D-penicillamine-Y. It is contemplated that R₆ may comprise any moiety that, together with R₂, will allow for the cyclization of the molecule. Those
5 having ordinary skill in the art can readily prepare peptides according to the present invention in which R₂ and R₆ are moieties capable of forming bonds to each other. The cyclization of linear peptides using disulfide bonds between non-adjacent cysteines is well known. Similarly, other non-adjacent amino acid residues may be linked to cyclicize a peptide sequence and the means to do so are similarly well known. Other
10 methods of cyclization include those described by Di Blasio, *et al.*, (1993) *Biopolymers*, **33**:1037-1049; Wood, *et al.*, (1992) *J. Pep. Prot. Res.*, **39**:533-539; Saragovi, *et al.*, (1992) *Immunomethods*, **1**:5-9; Saragovi, *et al.*, (1991) *Science*, **253**:792-795; Manning, *et al.*, (1993) *Reg. Peptides*, **45**:279-283; Hruby, (1993) *Biopolymers*, **33**:1073-1082; Bach, *et al.*, (1994) *New Adv. Peptidomimetics Small Mol. Design*, **I**:1-26; and Matsuyama, *et al.*, (1992) *J. Bacteriol.*, **174**:1769-1776, each of
15 which are incorporated herein by reference.

The primary function of R₇ in compounds of the present invention arises from the presence of at least one amino acid that contains an aromatic group: *i.e.* the presence of tyrosine or phenylalanine. The presence of the aromatic amino acid at
20 position R₇ results in an increased affinity of the peptide to erbB and an attendant increase in activity of the compound. In embodiments where additional amino acid residues are present, they can present the aromatic amino acid in a more effective position to further increase the affinity and activity of the compound. Additional amino acids that may be present must not eliminate the effect that the aromatic amino acid has
25 on affinity or activity. Examples of amino acid sequences which may be used as R₇ are disclosed in U.S. Patent 6,100,377.

In some embodiments, the additional amino acids are present as a site for linkage to detectable labels or moieties. In some embodiments, the additional amino acids are present as a site for dimerization with other peptides; either for
30 formation of homodimers with each other or heterodimers with other peptides.

In some preferred embodiments, R₇ is 1-5 amino acids. In some preferred embodiments, R₇ is 4 amino acids. In some preferred embodiments, R₇ is 3 amino acids. In some preferred embodiments, R₇ is 2 amino acids. In some preferred embodiments, R₇ is 1 amino acid. In some preferred embodiments, R₇ comprises Y-G-G-S (SEQ ID NO:27). In some preferred embodiments, R₇ consists of Y-G-G-S (SEQ ID NO:27). In some preferred embodiments, R₇ comprises Y-G-G-G (SEQ ID NO:23). In some preferred embodiments, R₇ consists of Y-G-G-G (SEQ ID NO:23). In some preferred embodiments, R₇ comprises Y-G-G-G-S (SEQ ID NO:24). In some preferred embodiments, R₇ consists of Y-G-G-G-S (SEQ ID NO:24). In some preferred
10 embodiments, R₇ comprises Y. In some preferred embodiments, R₇ consists of Y. In some preferred embodiments, R₇ comprises Y-G-G. In some preferred embodiments, R₇ consists of Y-G-G. Another example of R₇ is Y-G. Contemplated equivalents include aromatic functional groups at R₇ which are not part of tyrosine or phenylalanine.

15 In some preferred embodiments, R₁ and R₇ collectively contain both tyrosine and phenylalanine. That is, if R₁ comprises tyrosine then R₇ comprises phenylalanine and if R₁ comprises phenylalanine then R₇ comprises tyrosine. In some preferred embodiments, R₁ and R₇ do not both contain tyrosine or phenylalanine. That is, if R₁ comprises tyrosine and not phenylalanine then R₇ comprises phenylalanine and
20 not tyrosine and if R₁ comprises phenylalanine and not tyrosine then R₇ comprises tyrosine and not phenylalanine.

In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are less than 30 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 20 amino acids or less. In some preferred embodiments, R₁,
25 R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are less than 20 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 15 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are less than 15 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 14 amino acids. In some preferred embodiments, R₁, R₂, R₃,
30 R₄, R₅, R₆ and R₇, taken together, are 13 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 12 amino acids. In some preferred

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embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 11 amino acids. In some preferred embodiments, R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 10 amino acids.

In some embodiments, the peptide is selected from the group consisting of: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:3); S-Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:4); G-S-Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:5); G-G-S-Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:6); G-G-G-S-Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:7); Y-C-F-P-D-E-E-G-A-C-Y-G (SEQ ID NO:8); Y-C-F-P-D-E-E-G-A-C-Y-G-G (SEQ ID NO:9); Y-C-F-P-D-E-E-G-A-C-Y-G-G-G (SEQ ID NO:10); Y-C-F-P-D-E-E-G-A-C-Y-G-G-G-S (SEQ ID NO:11); Y-C-F-Y-P-D-E-E-G-A-C-Y (SEQ ID NO:12); S-Y-C-F-Y-P-D-E-E-G-A-C-Y (SEQ ID NO:13); G-S-Y-C-F-Y-P-D-E-E-G-A-C-Y (SEQ ID NO:14); G-G-S-Y-C-F-Y-P-D-E-E-G-A-C-Y (SEQ ID NO:15); G-G-G-S-Y-C-F-Y-P-D-E-E-G-A-C-Y (SEQ ID NO:16); Y-C-F-Y-P-D-E-E-G-A-C-Y-G (SEQ ID NO:17); Y-C-F-Y-P-D-E-E-G-A-C-Y-G-G (SEQ ID NO:18); Y-C-F-Y-P-D-E-E-G-A-C-Y-G-G-G (SEQ ID NO:19); and Y-C-F-Y-P-D-E-E-G-A-C-Y-G-G-G-S (SEQ ID NO:20); YCFPDEEGACYK (SEQ ID NO: 25); and YCFPDEEGACYGGS (SEQ ID NO: 26). Other peptides are included within the scope of the present invention comprising different combinations of R₁, R₂, R₃, R₄, R₅, R₆ and R₇.

In some embodiments, terminal residues of the peptides are modified. In some embodiments, the terminal residue of R₁ is modified with -OH. In some embodiments, the terminal residue of R₁ is modified with -NH₂. In some embodiments, the terminal residue of R₇ is modified with -OH. In some embodiments, the terminal residue of R₇ is modified with -NH₂.

According to some embodiments,
R₁ - R₂ - R₃ - R₄ - R₅ - R₆ - R₇
together form a peptide wherein:

R₁ is 1-3 amino acid residues, at least one of which is tyrosine or phenylalanine;
R₂ is cysteine or pencillamine;
R₃ is 0 amino acids;
R₄ is 7-8 amino acids;

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R₅ is 0 amino acids;

R₆ is cysteine or pencillamine;

R₇ is 1-5 amino acid residues, at least one of

which is tyrosine or phenylalanine;

5 wherein:

R₁, R₂, R₃, R₄, R₅, R₆ and R₇, taken together, are 30 amino acids or less, and wherein R₁, R₂, R₃, R₄, R₅, R₆ and R₇ are otherwise as set forth above.

In certain embodiments the peptide is B2-S23-BPT: P-C-P-I-N-C-T-H-
10 S-C-V-D-L-D-D-K-G-C-P-A-E-Q-R-A-S-P-L-T-S-I (SEQ ID NO: 38).

In some preferred embodiments of generating dual-reactive monoclonal antibodies against IgSF members, the peptide is: C-K-V-E-L-M-Y-P-P-P-Y-F-V-G-M-G-N-G-T-Q-I-Y-V-I-D-P-E-P-C (SEQ ID NO: 36).

In some preferred embodiments of generating dual-reactive monoclonal
15 antibodies against IgSF members, the peptide is: C-K-I-E-F-M-Y-P-P-P-Y-L-D-N-E-R-S-N-G-T-I-I-H-I-K-E-K-H-L-C (SEQ ID NO: 29).

In some preferred embodiments the peptide is: C-S-L-S-I-F-D-P-P-P-F-Q-E-R-N-L-S-G-G-Y-L-H-I-Y-E-S-Q-L-C (SEQ ID NO: 30).

In some preferred embodiments the peptide is B2-S22-APE: Y-C-P-I-W-
20 K-F-P-D-E-E-C-Y (SEQ ID NO: 31).

In some preferred embodiments the peptide is B1-S22-ALG: Y-C-L-V-W-K-Y-A-D-A-G-C-Y (SEQ ID NO: 32).

In some preferred embodiments the peptide is B3-S22-APQ: Y-C-P-I-Y-K-Y-P-D-V-Q-C-Y (SEQ ID NO: 33).

25 In some preferred embodiments the peptide is B4-S22-AFD: Y-C-F-I-F-K-Y-A-D-P-D-C-Y (SEQ ID NO: 34).

In some preferred embodiments the peptide is B2-S22-AFA: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO: 35).

Those having ordinary skill in the art can readily construct molecules
30 according to the above formulae and determine whether or not the compounds are

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active as erbB binding compounds which prevent and eliminate the erbB-mediated transformation phenotype.

Peptides useful in the invention may be dimerized with each other to form homodimers or with other compounds including compounds of the invention to form heterodimers. In preferred dimers, the residues involved in the chemical bond which links the monomers is in the R₁ position of the compounds of the invention.

Mimetics of the peptides which mimic erbB, TNF, or IgSF receptors may be produced. Such mimetics may be tested in the assays set forth in the Examples.

According to the present invention, peptides and mimetics that mimic sites on erbB, TNF, or IgSF receptors and antibodies that recognize such peptides and mimetics are useful to prevent dimerization of receptors and thereby down modulate the kinase activity of the receptors. When bound, the peptides and mimetics or antibodies that bind thereto eliminate or reduce tyrosine kinase activity and/or receptor signaling that results in an elimination or reduction in cell proliferation levels and a non-transformed, quiescent phenotype. The peptides and mimetics and antibodies that bind thereto are therefore useful in the treatment of individuals suspected of having erbB tumors or TNF and IgSF-mediated pathologies, and in the prevention of such erbB tumor formation. The cells in the individuals that would turn into tumors in an untreated individual do not become fully transformed and do not become tumors in individuals treated by the methods.

When administered to individuals who have been identified as being susceptible to or otherwise at risk of developing tumors, the peptides and mimetics may induce production of antibodies that bind to erbB or TNF monomers, thereby preventing the elevation in tyrosine kinase activity or signaling associated with oligomerization of the receptors. The tyrosine kinase activity in the cell may never become sufficiently elevated and the cell remains non-transformed. Most preferably, the induced antibodies are dual-specificity antibodies.

Peptides and mimetics of erbB receptors, TNF or IgSF family receptors are useful in anti-tumor compositions and can be produced by those skilled in the art

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using readily available starting materials. U.S. Patent No. 5,637,677 and its parent applications thereof disclose detailed guidance on the production of mimetics.

According to preferred embodiments, a peptide or mimetic is designed based on a known region of an erbB, TNF, or IgSF receptor. In some preferred
5 embodiments, the peptide or mimetic mimics an extracellular domain of an erbB, TNF, or IgSF receptor. In some more preferred embodiments, the peptide or mimetic mimics the second cysteine rich domain proximal to the transmembrane domain (S22 loop). In some preferred embodiments, the peptide mimetic mimics of the S23 loop. According to some embodiments, the peptide mimetics of the present invention mimic cystine
10 knots comprising regions.

In some preferred embodiments, the peptides and/or mimetics are exocyclic. In some preferred embodiments, the peptides and/or mimetics mimic full cystine knots. In some embodiments, the peptides and/or mimetics mimic a portion of a cystine knot.

15 In addition, peptides and/or mimetics may mimic assembly or functional epitopes of erbB2 and erbB1, erbB1 and erbB3, erbB1 and erbB4, erbB2 and erbB3, erbB2 and erbB4, erbB3 and erbB4, assembly or functional epitopes of TNF receptors, or assembly and functional epitopes of IgSF members.

Peptides and/or mimetics are provided which mimic dimerization sites
20 of erbB, TNF, or IgSF receptors and induce antibodies. In a preferred embodiment, the peptides and/or mimetics mimic a dimerization site of erbB1 or erbB2. Binding of the peptides and/or mimetics or induced antibodies to the dimerization site prevents the dimerization of the receptor, and, preferably, reduces or eliminates receptor signaling.

In some embodiments, peptides and/or mimetics are provided which
25 mimic conformation sites of erbB, TNF, or IgSF receptors and induce antibodies. In a preferred embodiment, the peptides and/or mimetics mimic a conformation site of erbB1 or erbB2. Binding of the peptides and/or mimetics or induced antibodies to the conformation site changes the conformation of the receptor and prevents the dimerization of the receptor, and, preferably, reduces or eliminates receptor signaling.

30 The peptides and/or mimetics of the invention are useful in the treatment of erbB tumors either as a component of a composition administered to a patient, as a

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component of a composition administered to a patient in combination with radiation therapy and/or chemotherapy, or as a component of a composition administered to a patient that comprises the peptides and/or mimetics conjugated to a radioactive or chemotherapeutic agent.

5 The peptides and/or mimetics of the invention are useful in the prevention of erbB tumors or TNF- or IgSF-mediated pathologies either as a component of a composition administered to a patient, as a component of a composition administered to a patient in combination with radiation therapy and/or chemotherapy, or as a component of a composition administered to a patient that comprises the peptides
10 and/or mimetics conjugated to a radioactive or chemotherapeutic agent.

 The peptides and/or mimetics are useful for raising antibodies. In some embodiments, an antibody is produced by immunizing a suitable host with a peptide selected from the group consisting of: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:3); C-K-V-E-L-M-Y-P-P-P-Y-F-V-G-M-G-N-G-T-Q-I-Y-V-I-D-P-E-P-C (SEQ ID NO:
15 28); C-K-I-E-F-M-Y-P-P-P-Y-L-D-N-E-R-S-N-G-T-I-I-H-I-K-E-K-H-L-C (SEQ ID NO: 29); C-S-L-S-I-F-D-P-P-P-F-Q-E-R-N-L-S-G-G-Y-L-H-I-Y-E-S-Q-L-C (SEQ ID NO: 30); B2-S22-APE: Y-C-P-I-W-K-F-P-D-E-E-C-Y (SEQ ID NO: 31); B1-S22-ALG: Y-C-L-V-W-K-Y-A-D-A-G-C-Y (SEQ ID NO: 32); B3-S22-APQ: Y-C-P-I-Y-K-Y-P-D-V-Q-C-Y (SEQ ID NO: 33); B4-S22-AFD: Y-C-F-I-F-K-Y-A-D-P-D-C-Y
20 (SEQ ID NO: 34); B2-S22-AFA: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO: 35). In preferred embodiments, the antibody is a dual specificity antibody. In some embodiments the antibody binds to a cysteine rich domain of an erbB receptor. In some embodiments, the peptides or mimetics induce antibodies that bind to functional sites of erbB, TNF, or IgSF family receptors.

25 The invention contemplates antibodies produced by immunizing a suitable host with a peptide selected from the group consisting of SEQ ID NOS:1-37 as well as antibodies produced by immunizing a suitable host with a peptide having the sequence in reverse order selected from the group consisting of SEQ ID NOS:1-37.

30 **Anti-HER2/p185^{c-neu} antibody binds to the ectodomain and leads to p185 internalization**

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Disabling a protein responsible for maintenance of the malignant phenotype reverses transformation. This body of work (Drebin, et al. 1986, Symp Fundam Cancer Res 38:277-289; Drebin, et al. 1986, Proc Natl Acad Sci USA 83:9129-9133) was subsequently substantiated (Carter, et al. 1992) and has now has
5 been approved for clinical use as "HERCEPTIN" (Baselga, et al. 1998; Pegram, et al. 1998).

Antibodies to the ectodomain of p185 can reverse the phenotype of transformed cells by leading to the rapid downmodulation of the receptor from the cell surface (Drebin, et al. 1986, Proc Natl Acad Sci USA 83:9129-9133). The removal of
10 the transforming receptor from the cell surface in vitro was associated with a reduction in the malignant phenotype and a conversion of the cell phenotype into a more normal one as judged by cell growth, phenotype, and growth in soft agar. The anti-receptor antibody p185 complex was visualized and shown to enter the cell and lead to p185 degradation (Brown, et al. 1994). Subsequent in vivo studies showed that the
15 administration of anti-receptor antibodies alone could cause retardation of tumor growth.

Studies in small animals which had been treated to eliminate complement or macrophages clearly indicated that the effect of the antibodies was predominantly but not entirely direct and was related to receptor downmodulation
20 (Drebin, et al. 1988).

Cyclic peptidomimetics are superior immunogens

Antigenic sites recognized by antibody consist of discrete three-dimensional surfaces (Van Regenmortel 1989; Van Regenmortel 1996). Spatial
25 distribution of antigenic residues on the surface of proteins are often reproduced by constrained peptides (Nayak, et al. 1998; Posthumus, et al. 1991; Valero, et al. 1995; van der Werf, et al. 1994). An example involves the foot-mouth-and disease virus. A dominant antigenic site consists of flexible loop and immunization with a constrained peptide of this loop elicits higher affinity and neutralizing antibodies than the MAb
30 elicited by linear peptides (Patel, et al. 1999; Valero, et al. 1995). These studies suggest

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that rigid, but spatial mimics of antigenic regions can be useful as immunogens and may be used to induce MAb to erb receptor interaction surfaces.

Therapeutic Methods

5 The present invention is useful to therapeutically treat an individual identified as suffering from erbB tumors and/or TNF or IgSF-related pathologies in order to reverse the transformed phenotype of the tumor cells and/or induce tumor cell death. The present invention is also useful to prophylactically treat an individual who is predisposed to develop erbB tumors and/or TNF or IgSF-related pathologies or who has
10 had erbB tumors and/or TNF or IgSF-related pathologies and is therefore susceptible to a relapse or recurrence.

 When a therapeutically effective amount of an antibody, peptide or mimetic of the present invention is administered to an individual who has erbB cancer, the proliferation rate of tumor cells is slowed down or eliminated.

15 Prophylactic methods are useful to treat an individual who is predisposed to develop erbB tumors and/or TNF or IgSF-related pathologies or who has had erbB tumors and/or TNF or IgSF-related pathologies and is therefore susceptible to a relapse or recurrence.

 In some embodiments, the methods relate to treating patients suffering
20 from human adenocarcinomas such as gastric, lung and pancreatic adenocarcinomas and human breast and ovarian carcinomas as well as human prostate cancer. In some embodiments, the methods relate to treating patients suffering from glial tumor progression, particularly in glioblastoma, the most malignant glial tumor. In some embodiments, the methods relate to treating patients suffering from human epithelial
25 malignancies erythroid leukemia, fibrosarcoma, angiosarcoma and melanoma. In some embodiments the present invention provides methods of treating such diseases/disorders comprising the step of diagnosing a patient a suffering from a multimer-associated disease/disorder and then treating the disease/disorder in accordance with other methods of the invention.

30 Radiation therapy may commence anytime after a sufficient amount of time has elapsed for the antibodies or peptide mimetics to bind to the receptors.

Generally, the individual is exposed to radiation in some cases 1-10 minutes after, in some cases 1-10 hours after, and in some cases up to 24-72 hours after administration of the antibodies, peptides or mimetics. In some cases, the radiation is provided in a single dose while in some embodiments, multiple doses are administered over several
5 hours, days and/or weeks. The antibodies render the radiation resistant tumor cells radiation sensitive. Gamma radiation is delivered according to standard radiotherapeutic protocols using standard dosages and regimens. The administration of the antibodies, peptides or mimetics renders the radiation more effective in eradicating tumor cells.

10 The individual may be treated with antibodies, peptides or mimetics in combination with a cytotoxic chemotherapeutic agent in addition to or in lieu of exposure to a therapeutic amount of gamma radiation. Chemotherapy may commence anytime before or after the antibodies or peptide mimetics are administered, or with the antibodies, peptides or mimetics themselves. Generally, the individual is administered
15 the chemotherapeutic in some cases 1-10 minutes after, in some cases 1-10 hours after, and in some cases up to 24-72 hours after administration of the antibodies, peptides or mimetics. In some cases, the chemotherapeutic is provided in a single dose while in some embodiments, multiple doses are administered over several hours, days and/or weeks. The antibodies render the tumor cells more sensitive to cytotoxic agents.

20 Chemotherapeutic agents are delivered according to standard radiotherapeutic protocols using standard agents, dosages and regimens. In some embodiments, the chemotherapeutic agent is selected from the group consisting of: cisplatin, doxorubicin, daunorubicin, tamoxifen, taxol, and methotrexate. In some embodiments, the individual is treated with antibodies and/or peptides and/or mimetics of the present
25 invention in combination with two or more chemotherapeutics, each administered prior to, simultaneous with, or after the other chemotherapeutics. In some embodiments, chemotherapy and radiation treatments are both employed following the administration of the active agent. In such embodiments, standard combinations of two or more therapeutic modalities are used in conjunction with administration of the antibodies
30 and/or peptides and/or mimetics.

According to some embodiments of the invention, the patient is treated with radiation and/or other chemotherapy in conjunction with the administration of pharmaceutical compositions according to the invention. Chemotherapy approaches include administration of cytotoxic and/or cytostatic agents. It has been observed that
5 expression of nucleotide molecules according to the invention in erbB-associated tumors renders the tumors radiosensitized. That is, the tumors are more vulnerable to destruction by radiation during radiotherapy when the patient is treated with pharmaceutical compositions according to the invention. The use of multiple therapeutic approaches provides the patient with a broader based intervention. In some
10 preferred embodiments, treatment with pharmaceutical compositions according to the present invention is preceded by surgical intervention. In preferred embodiments, radiotherapy follows administration of pharmaceutical compositions according to the invention. In preferred embodiments, the radiation therapy using gamma radiation is provided following administration of compositions which convert radiation resistant
15 tumors into radiation sensitive tumors. Those skilled in the art can readily formulate an appropriate radiotherapeutic regimen. Carlos A Perez & Luther W Brady: Principles and Practice of Radiation Oncology, 2nd Ed. JB Lippincott Co, Phila., 1992, which is incorporated herein by reference describes radiation therapy protocols and parameters which can be used in the present invention. For GBMs (glioblastoma, the most
20 malignant glial brain tumor), Simpson W.J. *et al.*: *Influence of location and extent of surgical resection on survival of patients with glioblastoma multiforms: Results of three consecutive Radiation Therapy Oncology Group (RTOG) clinical trials*. Int J Radiat Oncol Biol Phys 26:239-244, 1993, which is incorporated herein by reference, describes clinical protocols useful in the methods of the present invention. Similarly, for brain
25 tumors, see Borgelt *et al.*, *The palliation of brain metastases: Final results of the first two studies of the Radiation Therapy Oncology Group*. Int J Radiat Oncol Biol Phys 6:1-9, 1980, which is incorporated herein by reference and describes clinical protocols useful in the methods of the present invention.

The antibodies, peptides or mimetics of the present invention may be
30 used to prevent tumors in individuals susceptible to such tumors. According to one aspect of the invention, antibodies are administered prophylactically to individuals

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susceptible to developing erbB tumors. Administration may be of any means known to those of ordinary skill in the art, such as those described herein, *infra*. According to another aspect of the invention, peptides are administered prophylactically to individuals susceptible to developing erbB tumors and/or TNF or IgSF-related

5 pathologies. According to another aspect of the invention, mimetics are administered prophylactically to individuals susceptible to developing erbB tumors and/or TNF or IgSF-related pathologies. Those having ordinary skill in the art can readily determine whether an individual may be susceptible to such tumors. The methods are particularly useful in high-risk individuals who, for example, have a family history of erbB cancer,

10 or show a genetic predisposition. Additionally, the methods are particularly useful to prevent patients from having recurrences of erbB tumors who have had erbB tumors removed by surgical resection or who have been diagnosed as having erbB-cancer in remission. In some preferred embodiments, the cancer is erbB2/erbB1 cancer.

Methods of treatment comprise administering single or multiple doses of

15 the antibodies, peptides or mimetics. Preferred for human pharmaceutical use are injectable pharmaceutical compositions that are sterile, pyrogen free and comprise the antibodies, peptides or mimetics in combination with a pharmaceutically acceptable carrier or diluent.

The antibodies, peptides or mimetics of the present invention may be

20 used to treat individuals suffering from erbB tumors. According to one aspect of the invention, antibodies are administered to individuals suspected of having such tumors. According to another aspect of the invention, peptide mimetics are administered to individuals suspected of having such tumors. Those having ordinary skill in the art can readily determine whether an individual may have a tumor likely to be an erbB tumor.

25 Biopsy protocols can be performed to identify tumor samples and determine whether or not they are erbB tumors. According to some aspects, the patient is treated with the antibodies, peptides or mimetics in conjunction with chemotherapy and/or radiation therapy. In some preferred embodiments, the cancer is erbB2/erbB1 cancer. For example, following administration of the antibodies, peptides

30 or mimetics, the patient may be treated with a therapeutically effective amount of anti-cancer radiation such as gamma radiation. Generally, an individual is exposed to

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radiation in some cases 1-10 minutes after, in some cases 1-10 hours after, in some cases up to 24-72 hours and in some cases 1-4 weeks or 1-4 months after administration of the antibody. In some cases, the radiation is provided in a single dose while in some embodiments, multiple doses are administered over several hours, days, weeks and/or
5 months. Gamma radiation is delivered according to standard radiotherapeutic protocols using standard dosages and regimens.

Moreover, some embodiments provide chemotherapeutic treatment in combination with the antibodies, peptides or mimetics. Generally, the individual is administered the chemotherapeutic in some cases 1-10 minutes after, in some cases 1-
10 10 hours after, in some cases up to 24-72 hours, in some cases 1-4 weeks or in some cases 1-6 months after administration of the antibody. In some cases, the chemotherapeutic agent is provided in a single dose while in some embodiments, multiple doses are administered over several hours, days, weeks and or months. Chemotherapeutics are delivered according to standard radiotherapeutic protocols using
15 standard agents, dosages and regimens. In some embodiments, the chemotherapeutic is selected from the group consisting of: cisplatin, doxorubicin, daunorubicin, tamoxifen, taxol, and methotrexate. In some embodiments, chemotherapy and radiation treatments are both employed following the administration of the antibody. In such embodiments, standard combinations of the two therapeutic modalities are used in conjunction with
20 administration of the antibody.

Methods of Imaging and Diagnosing Mammalian tumors

The present invention is also useful, *inter alia*, to image erbB tumors and TNF or IgSF-related pathologies and otherwise detect or diagnose them.

25 The antibodies, peptides or mimetics of the present invention can be labeled or otherwise made detectable. For example, a detectable antibody is useful as an imaging agent and reagent in diagnostic procedures that are used to identify tumors. Labeled antibodies can be administered to individuals suspected of suffering from erbB tumor and/or TNF or IgSF-related pathologies. The labeled antibodies will bind to the
30 high density of receptors on cells and thereby accumulate on the tumor cells. Using standard imaging techniques, the site of the tumors can be detected.

One aspect of the invention therefore relates to methods of imaging tumors. Such methods comprise the steps of administering a detectable antibody, peptide or mimetic to an individual who is suffering from or is susceptible to erbB cancer and detecting the location of the detectable antibody, peptide or mimetic within
5 the body of the individual or within a sample obtained from said individual.

The antibodies, peptides or mimetics bind to receptors present on cell surfaces and are therefore useful as diagnostic/characterizing reagents in diagnostic kits. When a tissue sample from an individual is contacted with an antibody, peptide or mimetic, the antibody, peptide or mimetic will bind to the receptors present on cells.
10 Labeled antibodies, peptides or mimetics are also useful as *in vitro* reagents to quantify the amount of receptors present in the cell. Such information indicates whether or not a tumor is erbB-related and, therefore, whether specific treatments should be used or avoided. Using standard techniques, samples believed to include tumor cells are obtained and contacted with labeled antibodies, peptides or mimetics. After removing
15 any unbound, labeled antibodies, peptides or mimetics, the quantity of labeled antibodies, peptides or mimetics bound to the cell, or the quantity of antibodies, peptides or mimetics removed as unbound, labeled antibodies is determined. The information directly relates to the amount of receptors. This information is useful in formulating the prognosis and course of treatment to be imposed on the individual.

20 Imaging agents are useful in diagnostic procedures as well as in procedures used to identify the location of tumors. Imaging can be performed by many procedures well-known to those having ordinary skill in the art and the appropriate imaging agent useful in such procedures may be conjugated to antibodies by well-known means. Imaging can be performed, for example, by radioscintigraphy, nuclear
25 magnetic resonance imaging (MRI) or computed tomography (CT scan). The most commonly employed radiolabels for imaging agents include radioactive iodine and indium. Imaging by CT scan may employ a heavy metal such as an iron chelate. MRI scanning may employ chelates of gadolinium or manganese. Additionally, positron emission tomography (PET) may be possible using positron emitters of oxygen,
30 nitrogen, iron, carbon, or gallium.

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In another embodiment, a diagnostic method is provide in which radiolabeled F(ab)' fragments prepared from the monoclonal antibodies of the present invention are administered to patients. The location and size of the tumor are determined by gamma-scintigraphy to detect the radiolabeled F(ab)' fragments.

5 In some embodiments, tumors can be diagnosed by contacting tissue portions of the tumor with a dual-specificity antibody, the antibody being labeled with an indicator. The antibody binds to the shared epitope of the erbB oligomer present in the cells of the tissue portion. The indicator is then detected. In preferred embodiments of the invention, the indicator comprises biotinylated horse anti-mouse immunoglobulin and streptavidin-biotinylated-peroxidase. The indicator in detected by contacting the
10 indicator with a chromogenic substrate which preferably comprises 3,3'-diaminobenzidine, hydrogen peroxide and imidazole. The chromogenic substrate is then detected by microscopy.

In some embodiments, tumors can be diagnosed by contacting tissue
15 portions of the tumor with a labeled antibody, peptide or mimetic. The labeled antibody peptide or mimetic binds to the erbB receptor present in the cells of the tissue portion. The indicator is then detected. In preferred embodiments of the invention, the indicator comprises biotinylated horse anti-mouse immunoglobulin and streptavidin-biotinylated-peroxidase. The indicator is detected by contacting the indicator with a
20 chromogenic substrate which preferably comprises 3,3'-diaminobenzidine, hydrogen peroxide and imidazole. The chromogenic substrate is then detected by microscopy.

Pharmaceutical Compositions

The invention further provides an injectable composition for treatment
25 of a mammalian cancer tumor having cells that express erbB receptors or TNF receptors on the surfaces of the cells. The invention further provides an injectable composition for treatment of a mammalian cancer tumor having cells that overexpress erbB receptors or TNF receptors on the surfaces of the cells. In accordance with the invention, the composition comprises an antibody, peptide or mimetic specific to the
30 shared epitope and a pharmaceutically acceptable injection vehicle.

When a therapeutically effective amount of an antibody, peptide or mimetic of the present invention is administered to an individual who has erbB cancer or TNF or IgSF-related pathology, the proliferation rate of cells is slowed down or eliminated.

5 The pharmaceutical compositions of the present invention may be administered by any means that enables the antibodies, peptides or mimetics to reach the agent's site of action in the body of a mammal. Because proteins are subject to being digested when administered orally, parenteral administration, *i.e.*, intravenous, subcutaneous, intramuscular, would ordinarily be used to optimize absorption.

10 Formulations may be devised which protect the antibodies, peptides or mimetics and render them resistant to many proteases, thus making them orally available.

 In addition to pharmaceutical compositions which comprise antibodies, peptides or mimetics alone or in combination with other cancer therapeutics, therapeutic and diagnostic pharmaceutical compositions, the invention provides
15 antibodies conjugated to, e.g., a chemotherapeutic, diagnostic, imaging or radiation agent. The pharmaceutical compositions which comprise conjugated antibodies may be used to diagnose or treat individuals suffering from erbB and/or TNF cancer.

 The pharmaceutical compositions of the present invention may be administered either as individual therapeutic agents or in combination with other
20 therapeutic agents. They can be administered alone, but are generally administered with a pharmaceutical carrier selected on the basis of the chosen route of administration and standard pharmaceutical practice.

 The compositions may include additional components to render them more effective. For example, a composition of the invention may comprise multiple
25 anti-p185 antibodies. The compositions may include other anti-cancer agents such as, for example, cis-platin, methotrexate, and/or GM-CSF. For example, one preferred pharmaceutical composition for use in treating erbB tumors according to the present invention comprises an antibody specific for an activated erbB receptor, an anti-EGFr or anti-p185 antibody and, optionally, cis-platin. Such compositions would be
30 particularly useful for administration to patients diagnosed and treated for erbB-associated cancer.

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Generally, additives for isotonicity can include sodium chloride, dextrose, mannitol, sorbitol and lactose. In some cases, isotonic solutions such as phosphate buffered saline are used. Stabilizers include gelatin and albumin. The pharmaceutical preparations according to the present invention are preferably provided
5 sterile and pyrogen free.

One of skill in the art of pharmaceutical formulations, *e.g.*, having an advanced degree in Pharmaceutics or Pharmaceutical Sciences, can prepare a variety of appropriate dosage forms and formulations for the compositions of the invention with no more than routine experimentation. A number of texts in the field, *e.g.*, *Remington's*
10 *Pharmaceutical Sciences* and *The U.S. Pharmacopoeia/National Formulary*, latest editions, provide considerable guidance in this respect, each of which is incorporated by reference in its entirety

A pharmaceutically acceptable formulation will provide the active ingredient(s) in proper physical form together with such excipients, diluents, stabilizers,
15 preservatives and other ingredients as are appropriate to the nature and composition of the dosage form and the properties of the drug ingredient(s) in the formulation environment and drug delivery system.

Kits

Kits of the invention comprise detectable antibodies and/or peptides
20 and/or mimetics and instructions for performing assays of the invention. Optionally, kits may also contain one or more of the following: containers which comprise positive controls, containers which comprise negative controls, photographs of representative examples of positive results and photographs of representative examples of negative results.

25 Conjugates

Antibodies, peptides or mimetics may be conjugated to a detectable and/or cytotoxic agent. In conjugated compositions, the antibodies, peptides or mimetics of the invention deliver the active agent to cells. Thus, cells with the receptors will be contacted with more active agents than other cells. The active agent is
30 useful to image, inhibit proliferation of and/or kill the cell. The active agent may be a therapeutic agent or an imaging agent.

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Some chemotherapeutic agents may be used as active agents and conjugated with antibodies, peptides or mimetics. Chemotherapeutics are typically small chemical entities produced by chemical synthesis and include cytotoxic drugs, cytostatic drugs as well as antibodies which affect cells in other ways such as reversal
5 of the transformed state to a differentiated state or those which inhibit cell replication. Examples of chemotherapeutics include, but are not limited to: methotrexate (amethopterin), doxorubicin (adrimycin), daunorubicin, cytosinarabioside, etoposide, 5-4 fluorouracil, melphalan, chlorambucil, and other nitrogen mustards (*e.g.* cyclophosphamide), *cis*-platinum, vindesine (and other vinca alkaloids, such as, for
10 example vincristine and vinblastine), mitomycin and bleomycin.

Active agents may be toxins, such as, for example: complex toxic products of various organisms including bacteria, plants, *etc.* Examples of toxins include but are not limited to: ricin, ricin A chain (ricin toxin), *Pseudomonas* exotoxin (PE), diphtheria toxin (DT), *Clostridium perfringens* phospholipase C (PLC), bovine
15 pancreatic ribonuclease (BPR), pokeweed antiviral protein (PAP), abrin, abrin A chain (abrin toxin), cobra venom factor (CVF), gelonin (GEL), saporin (SAP), modeccin, viscumin and volkensin. Protein toxins may be produced using recombinant DNA techniques as fusion proteins that include peptides of the invention. Protein toxins may also be conjugated to antibodies by non-peptidyl bonds.

Radioisotopes may be conjugated to antibodies, peptides or mimetics to provide compositions that are useful as therapeutic agents or for imaging procedures. Examples of radioisotopes which useful in radiation therapy include: ^{47}Sc , ^{67}Cu , ^{90}Y , ^{109}Pd , ^{123}I , ^{125}I , ^{131}I , ^{186}Re , ^{188}Re , ^{199}Au , ^{211}At , ^{212}Pb and ^{212}Bi . Example of
radioisotopes useful in imaging procedures include: ^{43}K , ^{52}Fe , ^{57}Co , ^{67}Cu , ^{67}Ga , ^{68}Ga ,
25 ^{77}Br , ^{81}Rb , $^{81\text{M}}\text{Kr}$, $^{87\text{M}}\text{Sr}$, ^{90}Y , $^{99\text{M}}\text{Tc}$, ^{111}In , $^{113\text{M}}\text{In}$, ^{123}I , ^{125}I , ^{18}F , ^{86}Y , ^{127}Cs , ^{129}Cs , ^{131}I , ^{132}I , ^{197}Hg , ^{203}Pb and ^{206}Bi .

Radiolabels are conjugated to antibodies, peptides or mimetics by a variety of well-known techniques readily performed without undue experimentation by those having ordinary skill in the art. Radiolabels retain their radioactivity irrespective
30 of conjugation. Conjugation may be accomplished directly between the antibody, peptide or mimetic and the radioisotope or linking, intermediate molecular groups may

be provided between the antibody, peptide or mimetic and the radioisotope.

Crosslinkers are particularly useful to facilitate conjugation by providing attachment sites for each moiety. Crosslinkers may include additional molecular groups which serve as spacers to separate the moieties from each other to prevent either from
5 interfering with the activity of the other. Often imaging can be imaged using fluorescein, which are activated by light. (e.g. fluorescein (green), phycoerythrin (orange), P-E-cyanine-5 (red), P-E-texas red (red), cyanine-3 (orange), cyanine-5 (red), AMCA (ultraviolet detection)

One having ordinary skill in the art may conjugate an antibody, peptide
10 or mimetic to a chemotherapeutic drug using well-known techniques. For example, Magerstadt, M. *Antibody Conjugates and Malignant Disease*. (1991) CRC Press, Boca Raton, USA, pp. 110-152) which is incorporated herein by reference, teaches the conjugation of various cytostatic drugs to amino acids of an antibody, peptide or to a mimetic. Such reactions may be applied to conjugate chemotherapeutic drugs to the
15 antibody, peptide or mimetic. Antibodies such as peptides which have a free amino group may be conjugated to active agents at that group. Most of the chemotherapeutic agents currently in use in treating cancer possess functional groups that are amenable to chemical crosslinking directly with proteins. For example, free amino groups are available on methotrexate, doxorubicin, daunorubicin, cytosinarabioside, *cis*-platin,
20 vindesine, mitomycin and bleomycin while free carboxylic acid groups are available on methotrexate, melphalan, and chlorambucil. These functional groups, that is free amino and carboxylic acids, are targets for a variety of homobifunctional and heterobifunctional chemical crosslinking agents which can crosslink these drugs directly to the single free amino group of a antibody of the invention.

25 **Administration of Pharmaceutical Compositions**

The dosage of the compositions of the present invention administered will, of course, vary depending upon known factors such as the pharmacodynamic characteristics of the particular agent, and its mode and route of administration; age, health, and weight of the recipient; nature and extent of symptoms, kind of concurrent
30 treatment, frequency of treatment, and the effect desired. Usually a daily dosage of active ingredient can be about 0.001 to 1 grams per kilogram of body weight, in some

embodiments about 0.1 to 100 milligrams per kilogram of body weight. Ordinarily dosages are in the range of 0.5 to 50 milligrams per kilogram of body weight, and preferably 1 to 10 milligrams per kilogram per day. In some embodiments, the pharmaceutical compositions are given in divided doses 1 to 6 times a day or in
5 sustained release form is effective to obtain desired results. In some preferred embodiments, about 5 μ g to 5000 mg of antibody, peptide or mimetic may be administered. In some preferred embodiments, 50 μ g to 500 mg of antibody, peptide or mimetic may be administered. In other preferred embodiments, 500 μ g to 50 mg of antibody, peptide or mimetic may be administered. In a still further preferred
10 embodiment, 5 mg of antibody, peptide or mimetic is administered.

Compositions may be administered by an appropriate route such as, for example, by oral, intranasal, intramuscular, intraperitoneal or subcutaneous administration. In some embodiments, intravenous administration is preferred. In certain embodiments, the composition is administered by intraarterial, intradermal,
15 parenteral, or intratumoral administration. According to some preferred embodiments, the individual has had surgery to remove bulk tumor mass prior to administration of the composition.

According to some embodiments of the invention, the pharmaceutical compositions are administered locally at the site of the tumor. In some embodiments, the pharmaceutical compositions are administered directly into the tumor cells and the
20 tissue immediately surrounding the tumor. In some embodiment, the pharmaceutical compositions are delivered into brain tumors such as, for example, glioblastomas. In some embodiments, the pharmaceutical compositions are delivered into brain tumors as part of the surgical resection of the tumor. In some embodiment, the pharmaceutical
25 compositions are delivered into brain tumors using stereotaxic surgical techniques.

Subsequent to initial administration, individuals may be boosted by readministration. In some preferred embodiments, multiple administrations are performed.

Dosage forms (composition) suitable for internal administration
30 generally contain from about 1 milligram to about 500 milligrams of active ingredient per unit. In these pharmaceutical compositions the active ingredient will ordinarily be

present in an amount of about 0.5-95% by weight based on the total weight of the composition.

Because conjugated antibody, peptide or mimetic are specifically targeted to cells with erbB, TNF, or IgSF receptors, conjugated antibody, peptide or mimetic which comprise chemotherapeutics or toxins are administered in doses less than those which are used when the chemotherapeutics or toxins are administered as unconjugated active agents, preferably in doses that contain up to 100 times less active agent. In some embodiments, conjugated antibody, peptide or mimetic which comprise chemotherapeutics or toxins are administered in doses that contain 10-100 times less active agent than the dosage of chemotherapeutics or toxins administered as unconjugated active agents. To determine the appropriate dose, the amount of antibody, peptide or mimetic is preferably measured in moles instead of by weight. In that way, the variable weight of different antibodies, peptides or mimetics does not affect the calculation. For example, presuming a one to one ratio of antibody to active agent in conjugated compositions of the invention, fewer moles of conjugated antibodies may be administered as compared to the moles of unconjugated antibodies administered, preferably up to 100 times less moles.

For parenteral administration, the antibody, peptide or mimetic can be formulated as a solution, suspension, emulsion or lyophilized powder in association with a pharmaceutically acceptable parenteral vehicle. Examples of such vehicles are water, saline, Ringer's solution, dextrose solution, and 5% human serum albumin. Liposomes and nonaqueous vehicles such as fixed oils may also be used. The vehicle or lyophilized powder may contain additives that maintain isotonicity (e.g., sodium chloride, mannitol) and chemical stability (e.g., buffers and preservatives). The formulation is sterilized by commonly used techniques.

Suitable pharmaceutical carriers are described in the most recent edition of *Remington's Pharmaceutical Sciences*, A. Osol, a standard reference text in this field which is incorporated by reference in its entirety.

For example, a parenteral composition suitable for administration by injection is prepared by dissolving 1.5% by weight of active ingredient in 0.9% sodium chloride solution.

The antibody, peptide or mimetic may be administered to a tissue of an individual topically or by lavage. The antibodies, peptides or mimetics may be formulated as a cream, ointment, salve, douche, suppository or solution for topical administration or irrigation. Formulations for such routes administration of
5 pharmaceutical compositions are well known.

The present invention is not intended to be limited by any theory. The present invention is further illustrated by the following examples, which are not intended to be limiting in any way.

10 EXAMPLES

Example 1: Dual-Specificity Receptor Specific Antibodies

EGFr and p185HER2/neu (erbB2) expressing cell lines, NE91 and T6-17 respectively, were used as immunogens to obtain cross-reactive Mab as described (Drebin *et al.*, 1986). BALB/c (H-2^d) mice were immunized and then boosted
15 intraperitoneally with 10⁷ NE91 cells. For the final boost, T6-17 cells were used instead of NE91 cells. Three days after the final boost, fusions were performed using spleen cells and the fusion partner Sp2/0-Ag14.

The supernatant from hybridoma were screened against the cell line used in the final boost by FACS analysis (FACScan, Becton-Dickinson) as described previously
20 (Qian, *et al.*, 1994). Colonies producing antibodies of the desired specificity were subcloned three times by limiting dilution. Isotypes were identified using the Mouse Monoclonal Antibody Subtyping Kit (Gibco BRL). The MAb are IgG1, IgG2a, or IgM subtype. Monoclonal hybridomas, 8A4(IgG1), A10A12 (IgM), 9G6(IgG2a, λ), 7H4, A10E9(IgM), A12D6(IgM), A6B12(IgM), A10E11(IgM), B3G4(IgM), A5C7(IgM),
25 13A11(IgM), 11C11(IgM), and 13B11(IgM) were tested in FACS analysis verifying their specificity against EGFR and p185her2 receptors on NE91 and T6-17 cells respectively. All antibodies were negative on the control NR6 cell lines, which has no detectable expression of both receptors.

Monoclonal hybridomas 8A4 and A10A12 were deposited with the American Type Culture Collection (ATCC), 10801 University Blvd., Manassas, VA 20110-2209, USA, on August 2, 2002. Monoclonal hybridoma 8A4 was assigned accession number PTA-4565. Monoclonal hybridoma A10A12 was assigned accession number PTA-
5 4566.

The cross-reactivity of 8A4 was characterized by surface plasmon resonance (Biacore). MAb 8A4 was immobilized and the binding of 8A4 to both p185neu-Fc and dimers and monomers of the EGFr ectodomains was studied. The dimers were created

by adding EGF to EGFr monomers. MAb 8A4 bound to activated p185Her2/neu ($K_d=53\text{nM}$) and dimeric EGFr ($K_d=100\text{nM}$) with high affinity (Fig. 1A and 1B.). MAb 8A4 did not bind detectably, however, to monomeric EGFr (Fig. 1B.).

In a separate experiment, cells of vulval carcinoma cell line A431 expressing EGFr were serum-starved for 24 hours before stimulation with 50 ng/ml of EGF. 10 min after EGF treatment, cells were harvested for FACS analysis, using MAb10A12 or control antibody that did not bind EGFr.

FACS analysis was performed as follows. Cells were washed with FACS buffer (cold PBS containing 0.5% Bovine Serum Albumin (BSA) and 0.1% sodium azide). 3 x 10⁵ cells were then incubated with antibodies (0.5 µg/reaction) in a volume of 0.1 ml FACS buffer for 30 min at 4°C. Cells were washed by the FACS buffer again and the pellet was re-suspended. Cells were incubated with 0.5 microgram of FITC-conjugated rabbit anti-mouse IgG (or IgM for A10A12) in 0.1 ml of FACS buffer for another 30 minutes. Cells were washed and cell pellet was finally resuspended in 0.2 ml of FACS buffer and analyzed by flow cytometry (FACScan, Becton-Dickinson), as described previously (Qian, et al., 1994).

Significant binding of MAb10A12 over control antibody was detected for EGF-stimulated cells, but not over unstimulated cells.

The specificity of MAb8A4 for activated erbB family members was also tested by in an immunoprecipitation experiment. Briefly, subconfluent cells in 10-cm dishes were washed twice with cold PBS and solubilized with PI/RIPA (1% Triton X-100, 1% deoxycholate, 0.1% SDS, 0.15 M NaCl, 0.01 M sodium phosphate-pH 7.4, 1% Aprotinin, 1 mM phenylmethanesulfonyl fluoride, 2 mM EDTA, 10 mM Sodium Pyrophosphate, 400 mM Sodium orthovanadate, and 10 nM Iodoacetamide) buffer. Proteins were separated by 6% SDS-PAGE and transferred to nitrocellulose membranes (Nitrobind, MSI). Membranes were incubated overnight with the blocking buffer (0.5% non-fat milk and 5% goat serum in PBS). Anti-EGFr antibody (1005), antip185her2/neu antibody (C-18), and anti-phosphotyrosine antibody (pY99) were used for immunoblotting (Western blot) analysis. All antibodies were purchased from Santa Cruz Biotechnology. For use, antibodies were diluted 1:5000 in PBS containing 0.1% non-fat milk and 1% goat serum. After washing with PBST buffer, secondary

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HRP-conjugated antibodies (Boehringer Mannheim) were used at a 1:5000 dilution. Bands were visualized using ECL assay (Amersham).

To test if 8A4 specifically binds to both p185 and EGFr, immunoprecipitation was performed on NE91 and T6-17 lysates. Results are shown in Fig. 2. Expression of EGFr and p185 was determined by loading extract directly, without immunoprecipitation (Fig. 2, lanes 1 and 7, respectively). 8A4 precipitated both EGFr (lane 2) and p185 (lane 6). Positive control MAb 225 and 4D5 precipitated EGFr (lane 4) and p185 (lane 5) respectively. Consistent with FACS data, antibodies 225 and 4D5 precipitated a greater total amount of receptors than 8A4 (Fig. 2A). When NE91 cells were stimulated with EGF (100 ng/ml), however, the 8A4 was specific for EGFr bearing phosphotyrosine residue, compared to control antibody 225 (compare Fig. 2A and 2B).

The results describe herein indicate that Mab 8A4 and MAb10A12 bind specifically to activated erbB dimeric species.

Example 2: Biological Effects of Dual-Specificity Antibody

MTT Assay

MAb 8A4 biological activity was tested in the 3, (4,5-dimethylthiazol-2-yl)2,5-diphenyl-tetrazolium bromide (MTT), which measures anchorage dependent cell growth (Hansen, *et al.*, 1989)

The MTT assay for measuring cell growth was performed as previously described in Hansen *et al* (J. Immunol. Methods **1989**, 119, 203-210). Briefly, T6-17 cells were seeded in 96-well plates overnight in DMEM containing 10% FBS (1000 per well). T6-17 is derived from NIH3T3 by overexpressing the human erbB2 receptor. Cells were cultured in 100 μ l of fresh medium containing 1 μ g/ml of erbB peptides for 48 hours. This incubation time was preferred for measuring inhibitory effects of different analogs. No improvements in the inhibitory activity could be achieved by increasing the incubation period. 25 μ l of MTT solution (5 mg/ml in PBS) were added to each well, and after 2 hours of incubation at 37°C, 100 μ l of the extraction buffer (20% w/v of SDS, 50% N, N-dimethyl formamide, pH 4.7) were added. After an

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overnight incubation at 37°C, the optical density at 600 nm was measured using an ELISA reader.

The results of the MTT assay showed that MAb 8A4 was a potent an inhibitor of A431 cell growth, with an inhibitory potency comparable to control anti-EGFr (MAb 225) and anti-HER2/neu (5G3) antibodies.

In vivo Assay

The inhibitory effect of 8A4 on tumor growth was compared to the inhibitory activity of 225 (anti EGFr) and 7.16.4 (anti-rat neu) in cells transformed by the action of heteromers of the EGFr and HER2/neu (according to the methods of Drebin et al, Oncogene, vol 2, pp273-277, 1988). For *in vivo* growth effects, A431 (EGFr⁺, HER2/neu⁺), SKBR-3 (EGFr⁺, HER2/neu⁺), NE91 (EGFr⁺), and T6-17 (HER2/neu⁺) cells were used as representative erbB-transformed cell lines. Negative controls (erbB negative cell lines) included Ras transformed NIH3T3 and NR6 fibroblasts.

To analyze tumor growth in athymic mice, M1 cells (0.5×10^6) of each line were suspended in 0.1 ml of PBS and injected intradermally in the mid-dorsum of NCR nude mice. Antibodies or PBS control were injected twice weekly i.p. (10µg each time). Tumor growth was monitored every 2-3 days up to 8 weeks. Tumor size was calculated by the formula: $3.14/6 \times (\text{length} \times \text{width} \times \text{thickness})$.

Results showed that 8A4 treatment reduced the tumor growth of the M1 xenograft significantly. (Fig. 3.) M1 cells over-express both EGFr and p185. The *in vivo* tumorigenicity inhibition of 8A4 and 225 were comparable, although 225 has higher affinity for the EGFr than 8A4 *in vitro*. 7.16.4 demonstrated the greatest tumor growth inhibition, which is not a surprise considering that it was originally derived against the rat version of p185 that is expressed in the M1 cell.

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Example 3. Creation of Mab which bind to interaction surfaces

MAb specific to dimerization domains are made from: (1) Recombinantly purified p185 subdomain IV fragment; (2) Improved S22-AFA analogs; and (3) other immunogens. Using both the subdomain IV fragment, and cysteine-knot peptides will yield high quality cross-reactive MAb. These species are used to immunize Balb/c mice to create a specific dimer surface inhibitory monoclonal species.

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S22-AFA is coupled to a carrier species as described previously (Jacob, *et al*, 1985; Williams, *et al*, 1989, *J. Immunol.*, 142: 4392-4400; Christodoulides, *et al*, 1993, *J. Genetic Microbiology*, 139:1729-1738). The subdomain IV fragment is used as is. Mab production employs a scheme described previously (Drebin, *et al*. 1986, *Symp*
5 *Fundam Cancer Res*, 38:277-289; Drebin, *et al*. 1986, *Proc Natl Acad Sci USA* 83:9129-9133). Briefly, BALB/c (H-2^d) mice are immunized with 100µg of S22-AFA species or soluble subdomain IV (in equal volumes of complete Freund's adjuvant) subcutaneously and then boosted 3 times intraperitoneally with 50 µg/injection. Three days after the final boost, fusions are performed using spleen cells and the fusion
10 partner Sp2/0-Ag14. Screening of fusions will employ an ELISA with S22-AFA deposited in the wells. Other screening assays to be used include FACS analysis of T6-17 cells expressing HER2/neu.

MAb are generated and selected for their ability to bind S22-AFA peptide forms and to bind to Her2/neu on cells and are evaluated functionally *in vitro*
15 anchorage independent and dependent type studies. Specific binding to activated erbB complexes and ability to downregulate erbB activity are determined as described herein. Ability to limit the assembly of heterotetramers is determined specifically.

MAb are evaluated for *in vivo* effects on tumors using EGFr, Her2/neu, and EGFr and Her2/neu transformed cells (see above). 100µg MAb is administered by
20 intraperitoneal injection three times a week from the day of tumor xenograft. Injection of irrelevant anti-CD4 MAb or PBS serves as a control. Inhibiting the formation of oligomeric receptor forms will affect phenotype. The effect of co-treatment with doxorubicin/adriamycin which has been shown an increased effect on cells treated with antibodies (Park *et al.*, *Nat Biotechnol.* 2000, 18, 194-198) is also examined. Tumor
25 growth is monitored by volume measurement.

Example 4: Development of Cysteine Rich Domain (CRD) reactive monoclonal antibodies

Cell lines transfected with erbB constructs are used as immunogens to
30 create cysteine rich domain reactive MAb that are compared with the mimetics in terms of biological activity. NR6 cells transfected with pTex3-4, pTex4 and pTec6CN (See

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Fig. 3A and Kumagai et al, 2001) are used as immunogens. The fusion scheme is as described previously (Drebin, et al. 1986, Symp Fundam Cancer Res 38:277-289; Drebin, et al. 1986, Proc Natl Acad Sci USA 83:9129-9133). Briefly, BALB/c (H-2^d) mice are immunized with the cell line subcutaneously and then boosted 3 times
5 intraperitoneally with 10⁷ cells. Three days after the final boost, fusions are performed using spleen cells and the fusion partner Sp2/0-Ag14. The SP2/0-Ag14 fusion partner secretes no free light chain. Hybridoma cell lines will be screened by FACS analysis against pNeu, pTex3, pTex3-4 (subdomains III and IV), pTex4 (subdomain IV only) and pTex6CN. Controls include NR6 cells, cells which express EGFr alone and
10 pNex1, 2, and 3 (subdomains I, II, and III) and a cell line that expresses only subdomain I, pTex1 cells. Cell lines provide an unambiguous screening array for this class of MAb. Colonies producing antibodies of the desired specificity are subcloned three times by limiting dilution. Subtypes for MAb are identified using the Mouse Monoclonal Antibody Subtyping Kit (Gibco BRL).

15 Characterization of cross-reactive monoclonal antibodies

The similarity of many subdomains of the p185 and EGFr receptors is well known to those of ordinary skill in the art (Drebin, et al. 1984, Nature 312:545-8; Wada, et al. 1990, Cell, 61:1339-1347). These polypeptides often form transforming heteromeric complexes in human breast, pancreatic, and ovarian cancers. MAb reactive
20 with each monomer have effects on tumors transformed by p185-EGFr ensembles. Adding multiple antibodies in vivo has a synergistic anti-tumor effect (Wada, et al. 1990a).

Other immunoglobulin structures share sufficient homology to be used as immunogens and include the following non-limiting examples: CTLA-4, CD28 and
25 ICOS immunogens: CTLA-4: CKVELMYPPPYFVG-MGNGTQI--YVIDPEPC (SEQ ID NO:36); CD28: CKIEFMYPPPYLDNERSNGTII--HIKEKHLIC (SEQ ID NO:29); and ICOS: CSLSIFDPPPFQERNLSGG-YL--HIYESQLC (SEQ ID NO: 30).

As those skilled in the art will appreciate, numerous changes and modifications
30 may be made to the preferred embodiments of the invention without departing from the spirit of the invention. It is intended that all such variations fall within the scope of the

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invention.

The entire disclosure of each patent, patent application, or other publication cited herein is hereby incorporated by reference.

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Each reference cited herein is hereby incorporated by reference in its entirety.

WE CLAIM:

1. An antibody having specificity for an activated cell surface receptor and the property of binding specifically to at least one epitope that does not
5 comprise a phosphotyrosine residue, said epitope being formed upon activation of said receptor.
2. The antibody of claim 1 wherein said at least one epitope is in an extracellular region of said activated cell surface receptor.
3. The antibody of claim 1 wherein the antibody has an affinity for
10 the activated cell surface receptor that is at least about 10-fold greater than the affinity the antibody has for the cell surface receptor that is not activated.
4. The antibody of claim 3 wherein the antibody has an affinity for the activated cell surface receptor that is at least about 100-fold greater than the affinity the antibody has for the cell surface receptor that is not activated.
- 15 5. The antibody of claim 1 wherein the epitope comprises at least one amino acid present in a first protein and at least one amino acid present in a second protein.
6. The antibody of claim 5 wherein said first and second proteins comprise at least part of a homo-oligomeric complex.
- 20 7. The antibody of claim 6 wherein said first and second proteins comprise a homodimer.
8. The antibody of claim 5 wherein said first and second proteins comprise at least part of a heterooligomeric complex.
9. The antibody of claim 1 wherein said epitope comprises amino
25 acids present in a single protein.
10. The antibody of claim 1 comprising a dual-specificity antibody having specificity for at least one epitope on each of two different monomers that assemble into a homo- or heterooligomeric complex comprising one or more cystine knot regions.
- 30 11. The antibody of claim 10 wherein said antibody has specificity for at least two members selected from the group consisting of erbB1, erbB2, erbB3 and

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erbB4 or for at least two members selected from the group consisting of TNF, FAS, RANK, and CD40.

12. The antibody of claim 10 wherein the antibody has a binding constant of at least 5×10^6 Ka for each antigen.

5 13. The antibody of claim 12 wherein the antibody has a binding constant of at least 1×10^7 Ka for each antigen.

14. The antibody of claim 13 wherein the antibody has a binding constant of at least 2×10^7 Ka for each antigen.

10 15. The antibody of claim 14 wherein the antibody has a binding constant of at least 1×10^8 Ka for each antigen.

16. The antibody of claim 11 which has specificity for erbB1 and erbB2.

15 17. The antibody of claim 11 wherein the antibody binds to an epitope shared by a heterodimer selected from the group consisting of erbB1-erbB2, erbB1-erbB3, erbB1-erbB4, erbB2-erbB3, erbB2-erbB4 and erbB3-erbB4 heterodimers.

18. The antibody of claim 17 wherein the antibody binds to an epitope shared by a erbB1-erbB2 heterodimer.

19. The antibody of claim 11 wherein the antibody binds to active human erbB1 receptors.

20 20. The antibody of claims 1 or 10 wherein the antibody is selected from the group consisting of a monoclonal antibody, a humanized antibody, a camelized antibody, a chimeric antibody, a primatized antibody, a phage-displayed antibody, or a fragment thereof.

25 21. The antibody of claims 1 or 10 wherein the antibody binds to an assembly domain of the receptor.

22. The antibody of claim 21 wherein the assembly domain is a cystine knot.

30 23. The dual-specificity antibody of claim 10 that binds to a region of an erbB receptor comprising a cystine knot, said region comprising between about 3-45 amino acids.

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24. The dual-specificity antibody of claim 23 wherein the region comprising said cystine knot is subdomain IV.

25. The dual -specificity antibody of claim 24 wherein the region comprising said cystine knot is selected from the group consisting of the S22 loop and
5 the S23 loop.

26. The dual-specificity antibody of claim 10 which binds to a TNF receptor region comprising cytine knot.

27. The dual-specificity-antibody of claim 10 which binds to an interaction surface in the extracellular domain of an erbB receptor.

10 28. The dual-specificity antibody of claim 10 which binds to an interaction surface in the extracellular domain of a TNF receptor.

29. The antibody of claim 1 wherein the antibody is produced by a cell line selected from the group consisting of 8A4, A10A12, 9G6, 7H4, A10E9, A12D6, A6B12, A10E11, B3G4, A5C7, 13A11, 11C11 and 13B11.

15 30. The antibody of claim 29 wherein the antibody is produced by a cell line selected from the group consisting of 8A4 and A10A12.

31. The antibody of claim 1 wherein the antibody has a variable region from an antibody produced by a cell line selected from the group consisting of 8A4, A10A12, 9G6, 7H4, A10E9, A12D6, A6B12, A10E11, B3G4, A5C7, 13A11,
20 11C11 and 13B11.

32. The antibody of claim 31 wherein the antibody has a variable region from an antibody produced by a cell line selected from the group consisting of 8A4 and A10A12.

33. The antibody of claim 1 wherein the antibody has a
25 complementarity determining region from an antibody produced by a cell line selected from the group consisting of 8A4, A10A12, 9G6, 7H4, A10E9, A12D6, A6B12, A10E11, B3G4, A5C7, 13A11, 11C11 and 13B11.

34. The antibody of claim 33 wherein the antibody has a complementarity determining region from an antibody produced by a cell line selected
30 from the group consisting of 8A4 and A10A12.

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35. The antibody of claim 1 or 10 wherein the antibody is conjugated to a radioactive agent, a chemotherapeutic agent or an imaging agent.

36. The antibody of claim 35 wherein the radioactive agent is ^{47}Sc , ^{67}Cu , ^{90}Y , ^{86}Y , ^{109}Pd , ^{123}I , ^{125}I , ^{131}I , ^{186}Re , ^{188}Re , ^{199}Au , ^{211}At , ^{212}Pb , ^{18}F or ^{212}Bi .

5 37. The antibody of claim 35 wherein the chemotherapeutic agent is selected from the group consisting of methotrexate (amethopterin), doxorubicin (adrimycin), daunorubicin, cytosinarabioside, etoposide, 5-4 fluorouracil, melphalan, chlorambucil, cyclophosphamide, *cis*-platin, vindesine, mitomycin, bleomycin, tamoxiphen, taxol, ricin, ricin A chain, *Pseudomonas* exotoxin (PE), diphtheria toxin
10 (DT), *Clostridium perfringens* phospholipase C (PLC), bovine pancreatic ribonuclease (BPR), pokeweed antiviral protein (PAP), abrin, abrin A chain, cobra venom factor (CVF), gelonin (GEL), saporin (SAP), modeccin, viscumin and volkensin.

38. The antibody of claim 35 wherein the imaging agent is ^{43}K , ^{52}Fe , ^{57}Co , ^{67}Cu , ^{67}Ga , ^{68}Ga , ^{77}Br , ^{81}Rb , $^{81\text{M}}\text{Kr}$, $^{87\text{M}}\text{Sr}$, ^{86}Y , ^{90}Y , $^{99\text{M}}\text{Tc}$, ^{111}In , $^{113\text{M}}\text{In}$, ^{123}I , ^{125}I ,
15 ^{127}Cs , ^{129}Cs , ^{131}I , ^{132}I , ^{197}Hg , ^{203}Pb , ^{206}Bi , ^{18}F , a heavy metal or a positron emitter of oxygen, nitrogen, iron, carbon, or gallium.

39. The antibody of claim 38 wherein the heavy metal is a member selected from the group consisting of chelates of iron, gadolinium and manganese.

40. A pharmaceutical composition comprising an antibody of either
20 claim 1 or 10.

41. The pharmaceutical composition of claim 40 which comprises an injectable pharmaceutical composition.

42. The pharmaceutical composition of claim 40 further comprising a chemotherapeutic agent or an imaging agent.

25 43. The pharmaceutical composition of claim 41 comprising a therapeutically effective amount of methotrexate (amethopterin), doxorubicin (adriamycin), daunorubicin, cytosinarabioside, etoposide, 5-4 fluorouracil, melphalan, chlorambucil, cyclophosphamide, *cis*-platin, vindesine, mitomycin, bleomycin, tamoxiphen, or taxol.

30 44. A method of treating an individual who has an erbB2/erbB1 tumor which comprises administering to said individual a pharmaceutical composition

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comprising an antibody having specificity for activated erbB1 or erbB2 and a pharmaceutically acceptable excipient.

45. The method of claim 44 wherein the antibody is a dual-specificity antibody.

5 46. The method of claim 44 further comprising exposing said individual to radiation.

47. The method of claim 44 further comprising exposing said individual to a chemotherapeutic agent.

48. A method of imaging an erbB1/erbB2 tumor in a patient
10 suffering from an erbB1/erbB2 tumor which comprises administering to said patient a pharmaceutical composition comprising (i) an antibody having specificity for activated erbB1 or erbB2, said antibody being conjugated to an imaging agent, and (ii) a pharmaceutically acceptable excipient, and

15 detecting one or more area of the patient's body to which the imaging agent is bound.

49. A method of inhibiting progression of transformation or tumorigenesis of a cell that expresses erbB1 and erbB2 cell in an individual who has a predisposition for developing erbB1/erbB2 tumor, who has a had an erbB1/erbB2 tumor removed or who has had an erbB1/erbB2 cancer enter remission, which comprises
20 administering to said individual a pharmaceutical composition comprising an antibody having specificity for activated erbB1 or erbB2 and a pharmaceutically acceptable excipient.

50. The method of claim 49 which comprises inhibiting said cell from becoming a transformed tumor cell.

25 51. An antibody which has specificity for a ligand-independent dimerization site on an erbB complex selected from the group consisting of erbB1-erbB2, erbB1-erbB3, erbB1-erbB4, erbB2-erbB3, erbB2-erbB4, and erbB3-erbB4.

52. The antibody of claim 51 wherein the erbB complex is erbB1-erbB2.

30 53. The antibody of claim 1 having specificity for a peptide

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$$R_1 - R_2 - R_3 - R_4 - R_5 - R_6 - R_7$$

wherein:

$$R_1 \text{ is 1-3 amino acid}$$

residues, at least one of which is tyrosine or phenylalanine;

5

 R_2 is cysteine or pencillamine; R_3 is 0 amino acids; R_4 is 7-8 amino acids; R_5 is 0 amino acids; R_6 is cysteine or pencillamine;

10

 R_7 is 1-5 amino acid residues, at least one of

which is tyrosine or phenylalanine;

wherein: $R_1, R_2, R_3, R_4, R_5, R_6$ and R_7 , taken together, are 30 amino acids or less;

R_1 is selected from the group consisting of S-Y; G-S-Y; Y; K; G-G-S-Y (SEQ ID NO:21); and G-G-G-S-Y (SEQ ID NO:22);

15

R_4 is selected from the group consisting of F-P-D-E-E-G-A (SEQ ID NO:1); F-Y-P-D-E-E-G-A (SEQ ID NO:2); and

R_7 is selected from the group consisting of Y-G-G-S (SEQ ID NO:27); Y-G-G-G (SEQ ID NO:23); Y-G-G-G-S (SEQ ID NO:24); Y;

20

Y-G, and Y-G-G.

54. The antibody of claim 53 wherein $R_1, R_2, R_3, R_4, R_5, R_6$ and R_7 , taken together, are less than 20 amino acids.

55. The antibody of claim 53 wherein said peptide has an amino acid sequence selected from the group consisting of SEQ ID NOs: 3-20, 25, and 26.

25

56. The antibody of claim 53 wherein said peptide comprises an amino acid sequence of SEQ ID NO:21.

57. The antibody of claim 53 wherein said peptide comprises an amino acid sequence selected from the group consisting of: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:3); C-K-V-E-L-M-Y-P-P-P-Y-F-V-G-M-G-N-G-T-Q-I-Y-V-I-D-P-E-P-C (SEQ ID NO: 28); C-K-I-E-F-M-Y-

30

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P-P-P-Y-L-D-N-E-R-S-N-G-T-I-I-H-I-K-E-K-H-L-C (SEQ ID NO: 29); C-S-L-S-I-F-D-P-P-P-F-Q-E-R-N-L-S-G-G-Y-L-H-I-Y-E-S-Q-L-C (SEQ ID NO: 30); B2-S22-APE: Y-C-P-I-W-K-F-P-D-E-E-C-Y (SEQ ID NO: 31); B1-S22-ALG: Y-C-L-V-W-K-Y-A-D-A-G-C-Y (SEQ ID NO: 32); B3-S22-APQ: Y-C-P-I-Y-K-Y-P-D-V-Q-C-Y (SEQ ID NO: 33); B4-S22-AFD: Y-C-F-I-F-K-Y-A-D-P-D-C-Y (SEQ ID NO: 34); B2-S22-AFA: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO: 35).

58. The antibody of claim 53 wherein said peptide has the amino acid sequence of B2-S23-BPT (SEQ ID NO:21).

10 59. A conjugated composition comprising an antibody according to claim 53 linked to one or more of a detectable agent, a cytotoxic agent or a chemotherapeutic agent.

60. The conjugated composition of claim 59 wherein said peptide is linked to a detectable agent, said detectable agent being a radioisotope.

61. A method of detecting a tumor that has one or more erbB receptors on tumor cell surfaces, comprising administering to an individual at risk of developing such a tumor or being susceptible to such a tumor, a conjugated composition according to claim 60 and detecting the presence of localized conjugated composition within the body of said individual.

62. The conjugated composition of claim 59 wherein said peptide is linked to a cytotoxic agent selected from the group consisting of cytotoxic drugs, toxins and cytostatic drugs.

25 63. A pharmaceutical composition comprising an antibody according to claim 53, and a pharmaceutically acceptable carrier or diluent.

64. A method of preventing transformation of a normal cell into a tumor cell in a patient who has had a tumor with tumor cells that express activated erbB receptors on the cell surface surgically removed or in whom the tumor has entered remission, which

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comprises administering to said individual an antibody according to any one of claims 1, 10 or 53.

65. A method of treating a patient suffering from a cancer characterized by tumor cells that have p185 on their cell surfaces which comprises administering to said individual a therapeutically effective amount of an antibody according to any one of claims 1, 10 or 53.

66. A dual-specificity antibody produced by immunizing a suitable host with an immunogen comprising a peptide selected from the group consisting of: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO:3);

C-K-V-E-L-M-Y-P-P-P-Y-F-V-G-M-G-N-G-T-Q-I-Y-V-I-D-P-E-P-C (SEQ ID NO: 28); C-K-I-E-F-M-Y-P-P-P-Y-L-D-N-E-R-S-N-G-T-I-I-H-I-K-E-K-H-L-C (SEQ ID NO: 29); C-S-L-S-I-F-D-P-P-P-F-Q-E-R-N-L-S-G-G-Y-L-H-I-Y-E-S-Q-L-C (SEQ ID NO: 30); B2-S22-APE: Y-C-P-I-W-K-F-P-D-E-E-C-Y (SEQ ID NO: 31); B1-S22-ALG: Y-C-L-V-W-K-Y-A-D-A-G-C-Y (SEQ ID NO: 32); B3-S22-APQ: Y-C-P-I-Y-K-Y-P-D-V-Q-C-Y (SEQ ID NO: 33); B4-S22-AFD: Y-C-F-I-F-K-Y-A-D-P-D-C-Y (SEQ ID NO: 34); B2-S22-AFA: Y-C-F-P-D-E-E-G-A-C-Y (SEQ ID NO: 35).

67. The antibody of claims 66 wherein the antibody binds to a cystine knot of an erbB receptor.

68. The antibody of claim 66 wherein the antibody is conjugated to a radioactive agent or a chemotherapeutic agent.

69. The antibody of claim 68 wherein the antibody is conjugated to ^{18}F , ^{47}Sc , ^{67}Cu , ^{86}Y , ^{90}Y , ^{109}Pd , ^{123}I , ^{125}I , ^{131}I , ^{186}Re , ^{188}Re , ^{199}Au , ^{211}At , ^{212}Pb or ^{212}Bi .

70. The antibody of claim 68 wherein the antibody is conjugated to methotrexate (amethopterin), doxorubicin (adrimycin), daunorubicin, cytosinarabioside, etoposide, 5-4 fluorouracil, melphalan, chlorambucil, cyclophosphamide, *cis*-platin, vindesine, mitomycin, bleomycin, tamoxiphen, taxol, ricin, ricin A chain, *Pseudomonas* exotoxin (PE), diphtheria toxin (DT), *Clostridium perfringens* phospholipase C (PLC), bovine pancreatic ribonuclease (BPR), pokeweed

antiviral protein (PAP), abrin, abrin A chain, cobra venom factor (CVF), gelonin (GEL), saporin (SAP), modeccin, viscumin and volkensin.

71. A method of generating a dual-specificity antibody comprising priming no more than four times with a cell-bound receptor and boosting no more than
5 four times with a member of the same receptor family, to produce said dual-specificity antibody.

72. The method of claim 71 wherein the priming cell-bound receptor is neu and the booster is EGFR.

73. A vaccine for preventing tumors in a mammal which comprises
10 (i) an immunogen which is capable of inducing a dual-specificity antibody having specificity for at least two members selected from the group consisting of erbB1, erbB2, erbB3 and erbB4 or for at least two members selected from the group consisting of TNF, FAS, RANK, and CD40; and

(ii) an adjuvant.

15 74. A method of treating a patient suffering from an erbB tumor which comprises administering to the patient in combination an antibody having specificity for an activated erbB protein and a chemotherapeutic agent.

75. The method of claim 74 wherein said antibody is a dual-specificity antibody.

20 76. The method of claim 74 or claim 75 wherein said antibody is administered prior to administration of said chemotherapeutic agent.

77. The method of claim 74 or 75 wherein said chemotherapeutic agent is administered prior to administration of said antibody.

25 78. The method of claim 74 or 75 wherein said antibody and said chemotherapeutic agent are administered concurrently.

79. A method of treating a patient suffering from an erbB tumor which comprises administering to the patient in combination an antibody having specificity for an activated erbB protein and radiation.

30 80. The method of claim 79 wherein said antibody is a dual-specificity antibody.

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81. The method of claim 79 or claim 80 wherein said antibody is administered prior to administration of radiation.

82. The method of claim 79 or 80 wherein radiation is administered prior to administration of said antibody.

5 83. The method of claim 79 or 80 wherein said antibody and radiation are administered concurrently.

Binding of HER2 to the Immobilized 8A4

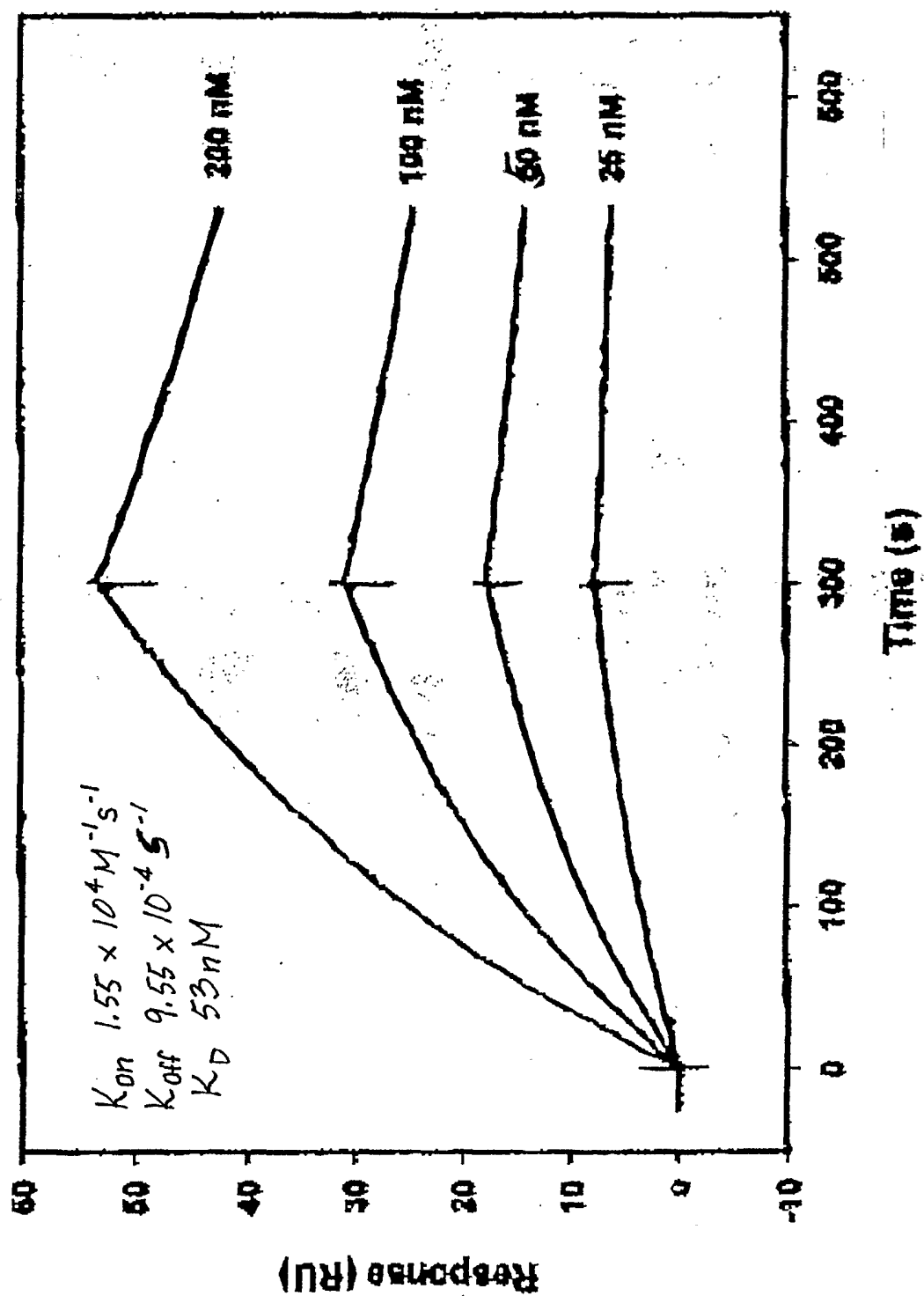


Figure 1A

Binding of EGFR (200 nM) to the Immobilized 8A4
in the Presence and Absence of EGF (1 μ M)

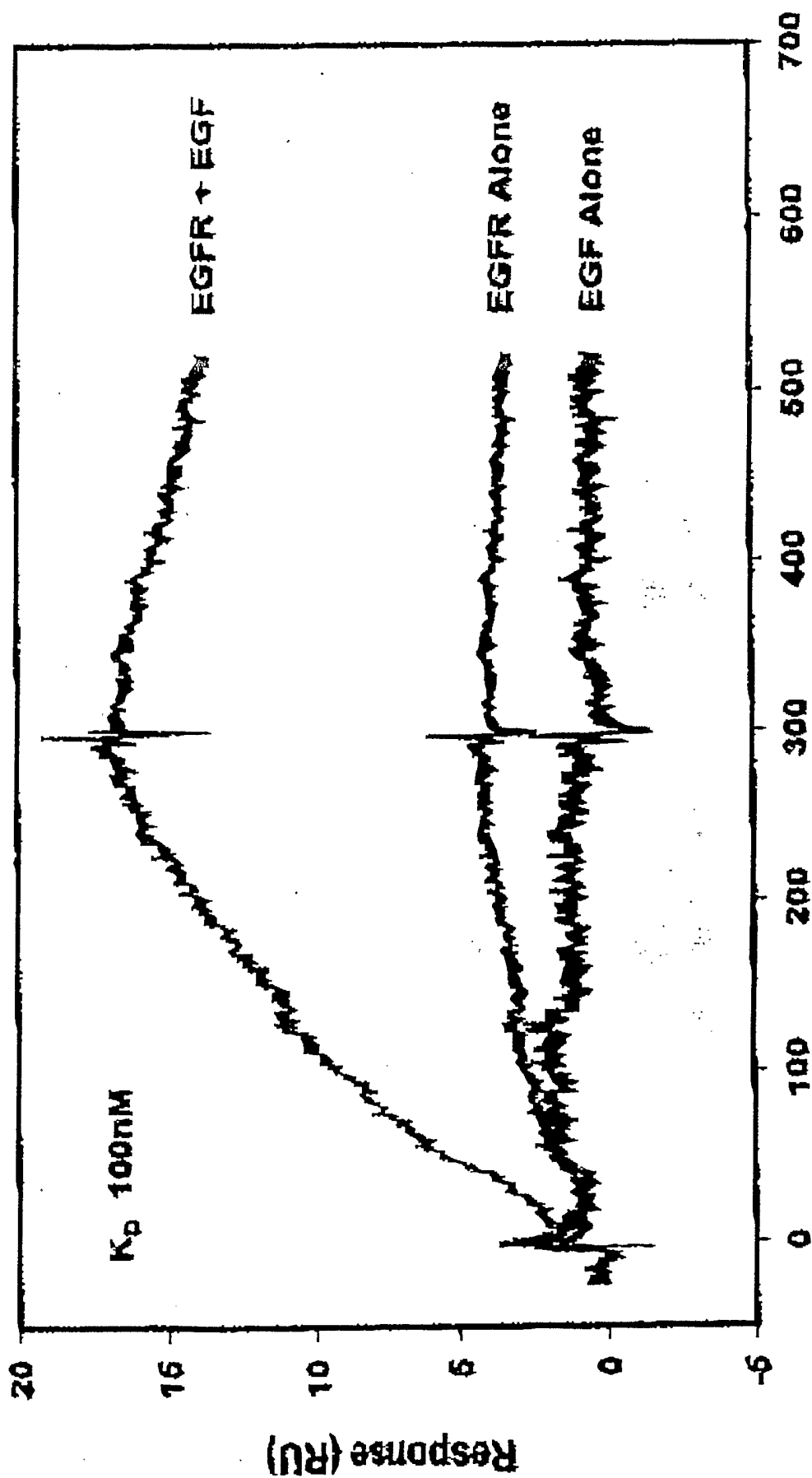


Figure 1B

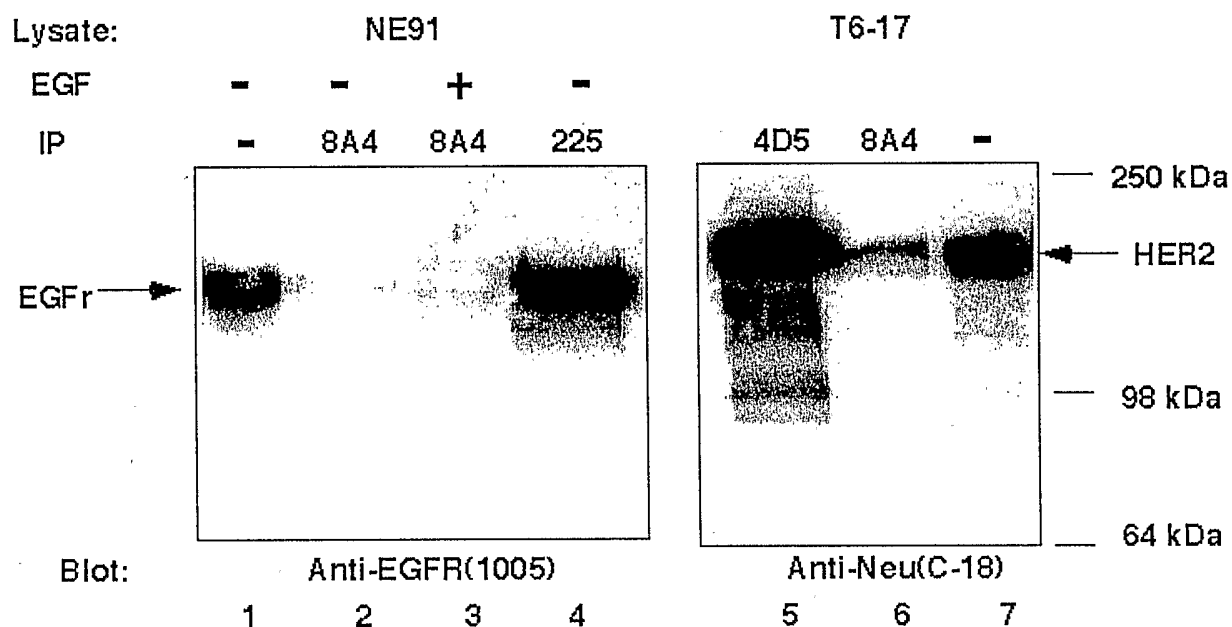


Figure 2A

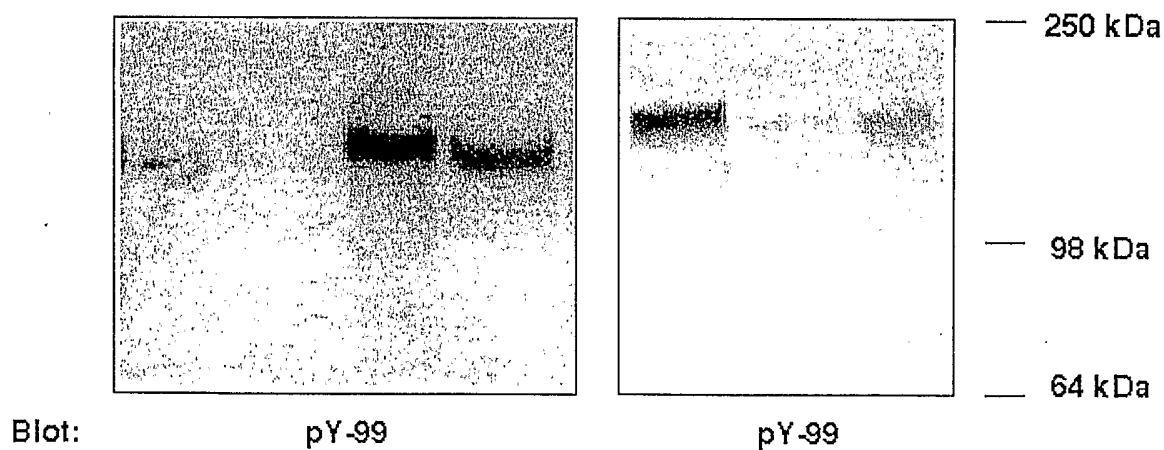


Figure 2B

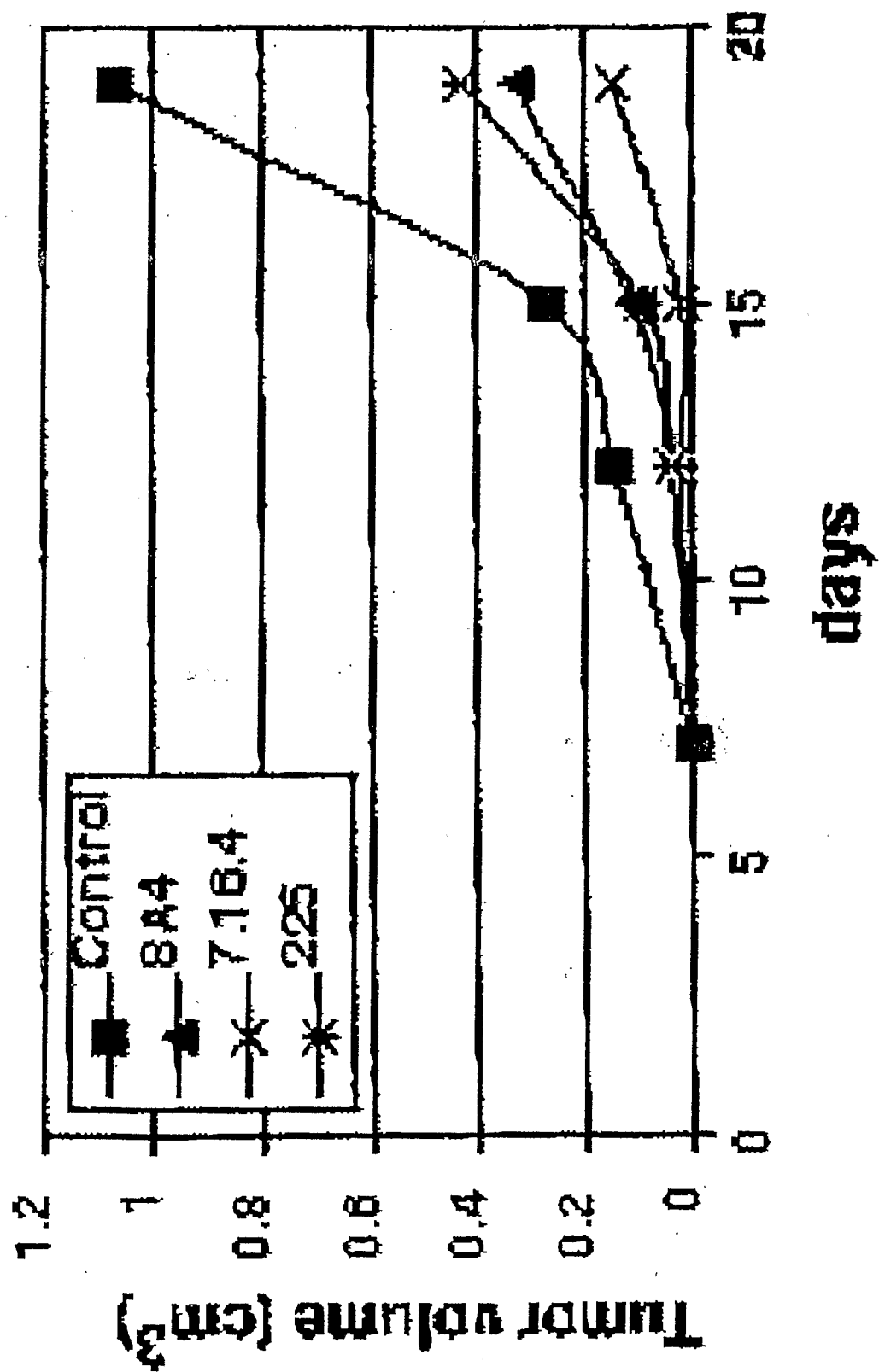


Figure 3

SEQUENCE LISTING

<110> Greene, Mark I.

Zhang, Hongtao

Richter, Mark

Murali, Ramachandran

<120> MONOCLONAL ANTIBODIES TO ACTIVATED erbB FAMILY MEMBERS AND METHODS OF USE THEREOF

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11/14

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Applicant's or agent's file reference 4040/2K396W00	International application No. PCT/US02/24892
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>55-1</u> , line <u>1-5</u>	
B. IDENTIFICATION OF DEPOSIT Mouse Hybridoma 8A4 Further deposits are identified on an additional sheet ☒	
Name of depositary institution American Type Culture Collection (ATCC)	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit August 2, 2002	Accession Number PTA-4565
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet ☐	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

For receiving Office use only
☐ This sheet was received with the international application
Authorized officer

For International Bureau use only
☒ This sheet was received by the International Bureau on: 03 December 2002 (03.12.02)
Authorized officer P. Gicquel

Applicant's or agent's file reference	4040/2K396WO0	International application No.	PCT/US02/24892
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page <u>55-1</u> , line <u>1-5</u>	
B. IDENTIFICATION OF DEPOSIT Mouse Hybridoma A10A12 Further deposits are identified on an additional sheet ☒	
Name of depositary institution American Type Culture Collection (ATCC)	
Address of depositary institution (including postal code and country) 10801 University Boulevard Manassas, VA 20110-2209 United States of America	
Date of deposit August 2, 2002	Accession Number PTA-4566
C. ADDITIONAL INDICATIONS (leave blank if not applicable) This information is continued on an additional sheet ☐	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	
For receiving Office use only	For International Bureau use only
☐ This sheet was received with the international application	☒ This sheet was received by the International Bureau on: 03 December 2001 (03.12.01)
Authorized officer	Authorized officer <i>P. B. ...</i>