(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 1 August 2002 (01.08.2002)

PCT

(10) International Publication Number WO 02/058777 A3

(51) International Patent Classification⁷: A61M 31/00

(21) International Application Number: PCT/US02/02031

(22) International Filing Date: 23 January 2002 (23.01.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 60/263,865 23 January 2001 (23.01.2001) US

(71) Applicant (for all designated States except US): THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200 (US).

(72) Inventor; and

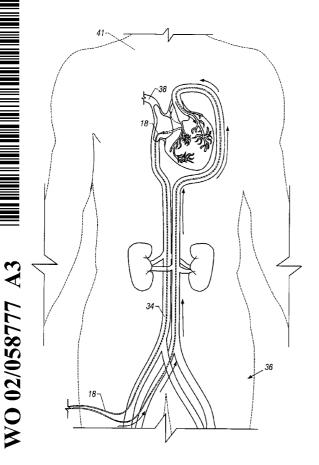
(75) Inventor/Applicant (for US only): MOVAHED, M., Reza

[US/US]; 1580 Park Newport, Newport Beach, CA 92660 (US).

- (74) Agent: ALLRED, David, E.; Myers, Dawes & Andras LLP, 19900 MacArthur Blvd, Ste 1150, Irvine, CA 92612 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent

[Continued on next page]

(54) Title: METHOD AND APPARATUS TO REMOVE SUBSTANCES FROM VESSELS OF THE HEART AND OTHER PARTS OF THE BODY TO MINIMIZE OR AVOID RENAL OR OTHER HARM OR DYSFUNCTION



(57) Abstract: To accomplish isolation and removal of a substance from a vasculature, a catheter is employed to occlude a vessel of the vasculature. The substance is thus isolated in the vasculature and can be removed. In this way, the substance is removed before entering other parts of the circulatory system. This method is applicable to removal of contrast from the coronary sinus shortly after injection of the coronary arteries with the contrast. The method substantantially minimizes or avoids renal dysfunction caused by angiographic procedures in which contrast must be injected. Such angiographic procedures are often performed during intervention procedures. This method substantially prevents circulation of the contrast to the kidneys where it could otherwise cause renal dysfunction or failure. The apparatus for implementation of the method is also disclosed.

WO 02/058777 A3



(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report: 27 March 2003

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

I Application No PCT/US 02/02031

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61M31/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC $\,7\,$ A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category °	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.				
Α	US 5 916 193 A (EVARD PHILIP C 29 June 1999 (1999-06-29) abstract; figures 1,4,16,25-26A,	1,3-16, 44,46-50					
A	WO 96 32887 A (INVASATEC INC) 24 October 1996 (1996-10-24) claims 1,12,13,28; figures 1-3	1,3-16, 44,46-50					
Α	US 5 653 690 A (BOOTH WILLIAM M 5 August 1997 (1997-08-05) abstract; figures	1					
Α	US 6 021 340 A (DICKENS DUANE E 1 February 2000 (2000-02-01) cited in the application claims 1-3; figures	1,3,44, 46					
Further documents are listed in the continuation of box C. X Patent family members are listed in annex.							
"A" docum consi "E" earlier filing ' "L" docum which citatic "O" docum other ' "P" docum	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another on or other special reason (as specified) enter referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but than the priority date claimed	 *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family 					
Date of the	actual completion of the international search	Date of mailing of the international search report					
3	30 December 2002	13/01/2003					
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Amghar, N					

Inter Application No
PCT/US 02/02031

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 99 33407 A (HEARTPORT INC) 8 July 1999 (1999-07-08) abstract; figures	
Α	WO 95 08364 A (STANFORD SURGICAL TECHNOLOGIES) 30 March 1995 (1995-03-30) abstract; figures	
A	US 5 814 016 A (ROTH ALEX T ET AL) 29 September 1998 (1998-09-29) abstract; figures	

tional application No. PCT/US 02/02031

INTERNATIONAL SEARCH REPORT

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)					
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:						
1. χ	Claims Nos.: 2,17-43,45,51-54 because they relate to subject matter not required to be searched by this Authority, namely:					
	Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery					
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:					
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).					
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)					
This Int	ernational Searching Authority found multiple inventions in this international application, as follows:					
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.					
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.					
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:					
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:					
Remai	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.					

Into al Application No
PCT/US 02/02031

Patent document		Publication		Patent family	Publication
cited in search report		date		member(s)	date
US 5916193	A	29-06-1999	US	5584803 A	17-12-1996
·	• •		US	5370685 A	06-12-1994
			AU	5189496 A	16-10-1996
			CA	2218105 A1	03-10-1996
			EP	0819014 A1	21-01-1998
			WO	9630073 A1	03-10-1996
			US	5913842 A	22-06-1999
			US	6251093 B1	26-06-2001
			US	5695457 A	09-12-1997
			US	5558644 A	24-09-1996
			US	5702368 A	30-12-1997
			US	5885238 A	23-03-1999
			US	5766151 A	16-06-1998
			US	5792094 A	11-08-1998
			ÜS	5795325 A	18-08-1998
			US	5807318 A	15-09-1998
			US	6029671 A	29-02-2000
			US	5769812 A	23-06-1998
			US	2001001812 A1	24-05-2001
			US	2001001812 A1 2001044591 A1	
					22-11-2001
			US	6482171 B1	19-11-2002
			US	5738652 A	14-04-1998
			US	5814016 A	29-09-1998
			US	5868702 A	09-02-1999
			US	6152141 A	28-11-2000
			AU	691854 B2	28-05-1998
			ΑU	1175995 A	19-06-1995
			ΑU	8613098 A	23-03-2000
			CA	2177491 A1	08-06-1995
			DE	69430382 D1	16-05-2002
			DE	69430382 T2	12-12-2002
			EP	1129744 A1	05-09-2001
			EP	0731720 A1	18-09-1996
			JP	9509074 T	16-09-1997
			WO	9515192 A1	08-06-1995
			AU	685161 B2	15-01-1998
			AU	7719794 A	10-04-1995
			CA	2171097 A1	30-03-1995
			DE	69430433 D1	23-05-2002
			DE	69430433 T2	12-12-2002
			EP	1208867 A2	29-05-2002
			ΕP	0719161 A1	03-07-1996
			ĴΡ	9502889 T	25-03-1997
			WO	9508364 A1	30-03-1995
			AU	668690 B2	16-05-1996
			AU	2412792 A	23-02-1993
			CA	2412792 A 2113476 A1	
					04-02-1993
			DE	69230375 D1	05-01-2000
			DE	69230375 T2	06-07-2000
			EP 	0597967 A1	25-05-1994
WO 9632887	Α	24-10-1996	AU	5391896 A	07-11-1996
			CA	2216946 A1	24-10-1996
			EΡ	0821566 A1	04-02-1998
			JΡ	11503939 T	06-04-1999
			WO	9632887 A1	24-10-1996
			US	5800397 A	01-09-1998
			US	6221045 B1	24-04-2001

Inter hal Application No
PCT/US 02/02031

					101/0	JS UZ/UZU31
	itent document I in search report		Publication date		Patent family member(s)	Publication date
US	5653690	Α	05-08-1997	US US	5487730 A 5720726 A	30-01-1996 24-02-1998
US	6021340	Α	01-02-2000	US CA EP JP WO	5775327 A 2223990 A1 0836499 A1 11507251 T 9640342 A1	07-07-1998 19-12-1996 22-04-1998 29-06-1999 19-12-1996
WO	9933407	Α	08-07-1999	AU WO	2022999 A 9933407 A1	19-07-1999 08-07-1999
WO	9508364	A	30-03-1995	AU CA DE EP US	685161 B2 7719794 A 2171097 A1 69430433 D1 69430433 T2 1208867 A2 0719161 A1 9502889 T 5913842 A 9508364 A1 6251093 B1 5584803 A 5558644 A 5702368 A 585238 A 5766151 A 5792094 A 5795325 A 6029671 A 5916193 A 5769812 A 2001001812 A1 2001044591 A1 6482171 B1 5738652 A 5868702 A	15-01-1998 10-04-1995 30-03-1995 23-05-2002 12-12-2002 29-05-2002 03-07-1996 25-03-1997 22-06-1999 30-03-1995 26-06-2001 17-12-1996 24-09-1996 30-12-1997 23-03-1999 16-06-1998 11-08-1998 11-08-1998 18-08-1998 29-02-2000 29-06-1999 23-06-1999 23-06-1998 24-05-2001 22-11-2001 19-11-2002 14-04-1998 29-09-1998 09-02-1999
US	5814016	A	29-09-1998	US US US US US US AU AU CA EP JP WO US US US US	5766151 A 5584803 A 5370685 A 5433700 A 5571215 A 5452733 A 5458574 A 723379 B2 5956596 A 2222218 A1 0836501 A1 2001518808 T 9640347 A1 6251093 B1 5795325 A 5863366 A 2001001812 A1 6482171 B1	16-06-1998 17-12-1996 06-12-1994 18-07-1995 05-11-1996 26-09-1995 17-10-1995 24-08-2000 30-12-1996 19-12-1996 22-04-1998 16-10-2001 19-12-1996 26-06-2001 18-08-1998 26-01-1999 24-05-2001 19-11-2002

Intra II Application No
PCT/US 02/02031

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
		US U		,
		DE EP EP	69430433 T2 1208867 A2 0719161 A1	12-12-2002 29-05-2002 03-07-1996