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(54) Title: WATER BASED SEMI-SYNTHETIC METAL WORKING FLUID COMPOSITION

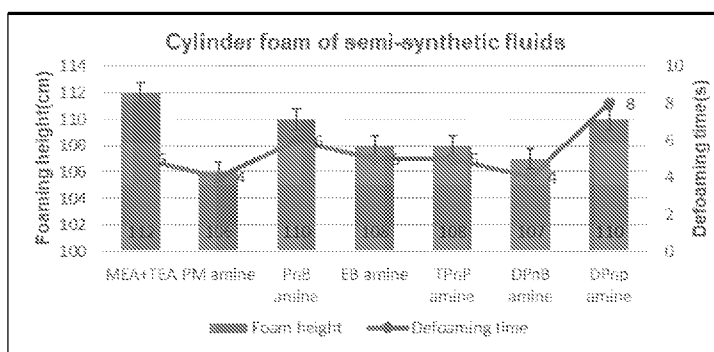


FIGURE 1

(57) Abstract: A water based semi-synthetic metal working fluid comprises a base oil, an organic acid, emulsifiers, optionally a concentrate additive, water and a microbial growth control agent which comprises a glycol ether amine.



**WATER BASED SEMI-SYNTHETIC METAL WORKING FLUID COMPOSITION**

Semi-synthetic metal working fluid compositions are provided which compositions include a microbial growth control agent comprising a particular class of glycol ether amines.

**INTRODUCTION**

Metal working fluids (MWFs) are used for lubrication of metal cutting and tool forming. These fluids provide cooling for the metal work tooling, removal of cutting chips from the tool/work piece interface and help provide an acceptable post-machining finished surface. In recent years, with the development of metal processing for vehicles containing materials such as aluminum, the requirements of metalworking fluids have become more demanding.

The metalworking fluid industry desires compositions which have good cooling performance, good lubricity, good concentrate stability and long shelf life. Existing MWFs are typically classified as neat oil, soluble oil, semi-synthetic fluid, or synthetic fluid, with category exhibiting different functions of cooling, lubricating, anti-rust and cleaning. Soluble oil MWFs comprise 50-70 wt.% neat oil with the remainder of the MWF being anti-wear/extreme pressure additives and emulsifiers. Neat oils and Soluble oils typically do not provide the same level of cooling compared with water-based metalworking fluids. Synthetic fluids typically cannot provide the good lubricity performance because their lubricity function is affected by polyalkylene glycol reverse dissolution when the temperature is higher than cloud point. Semi-synthetic materials offer the possibility of simultaneously providing good lubricity and cooling for use in demanding applications. A typical semi-synthetic fluid consists of oils, organic acids, detergents, surfactants, lubricants, amines, anti-corrosion agents, water and other ingredients. The amount of water in such semi-synthetic MWFs is typically up to 50-60 wt.%, with around 10-40 wt.% mineral oil, around 10-20wt.% emulsifiers, around 10-20 wt.% amine, and other functional additives such as lubricant, corrosion inhibitor, solubilizer, pH neutralizer, biocide etc. Semi-synthetic MWFs are usually diluted with additional water at an end user's site to a concentration of 1-20 wt.% neat oil, more typically 5-7 wt.% concentration of neat oil.

In semi-synthetic fluids, emulsifiers are often added to form stable dispersion of oil in water. Emulsifier particles are located around the oil droplets to give them a negative charge that will bind them to the water molecules. The size of such emulsified oil drops is very important to fluid performance, as it is generally easier for the smaller emulsion sizes to penetrate the

interface of the cutting zone. The emulsifiers also contribute to the stability of semi-synthetic fluids.

Semi-synthetic fluids will degrade over time in part due to microbial growth which negatively impacts fluid performance because microbes feed on the active ingredients in the fluid. Such microbial growth in the MWFs may cause serious problems in metalworking processing in many forms including: MWFs general souring, MWFs viscosity changing, MWFs shelf life shortening, and the corroding of tools and materials. Additionally, the functioning of equipment and processes such as feeding nozzles, storage tanks, pipelines and recycling system facilities may also be impacted by microbe growth in MWFs. This souring increases the cost of MWFs, accelerates corrosion rates and decreases efficiency of metal processing. The most common solution to control microbial growth is to add biocides and amine alcohols either continuously or as a batch treatment to a given MWF. However, biocides and some secondary amine alcohols are limited by regulatory restrictions and most of the biocide chemicals will release formaldehyde over time which is hazardous to human health.

It is therefore desired to have new semi-synthetic metal working formulations with new biocidal compositions which provide improved cooling, lubricity, concentrate stability, and long shelf life, without the environmental health and safety concerns of present fluids.

The compositions of the present invention address some or all of the above-described needs.

### **SUMMARY**

The present invention describes a water based semi-synthetic metal working fluid comprising a base oil, an organic acid, emulsifiers, optionally a additive, water and a microbial growth control agent which comprises a glycol ether amine.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a bar graph showing the foaming heights and the defoaming times for Comparative Example 1 and examples 1-6 of the present invention.

### **DETAILED DESCRIPTION**

The present invention relates to semi-synthetic metal working fluids. These MWFs comprise water, one or more base oils, one or more solubilizers, one or more emulsifiers,

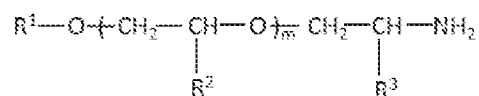
optionally one or more additives and one or more microbial growth control agents, where the microbial growth control agent comprises at least a glycol ether amine.

The water used in the present formulations is preferably deionized water, and may comprise from at least 20, preferably 25, 30, or even 35 percent by weight of the formulation up to a maximum of 65, 60, 55 or even 50 percent by weight of the formulation. It is contemplated that these formulations may be further diluted with additional water prior to use, altering these ranges accordingly. For example, prior to use, the formulations may be diluted such that the base oil concentration is from 1 to 20 percent by weight of the diluted formulation, more typically 5 to 7 percent by weight.

The semi-synthetic MWFs of the present invention also include a base oil. The base oil can be any base oil generally known in the art for use in MWFs. Preferably the base oil is a base oil selected from naphthenic oils, paraffinic oils or ester oils, or combinations thereof. The concentration of the base oil(s) in the MWF may range from 5, 7, 10, or 15 percent by weight of the formulation up to 50, 45, 40, or 35 percent of the formulation.

The semi-synthetic MWFs of the present invention also include a microbial growth control agent and/or biocide, which may be described, in one embodiment, as a glycol ether amine. Suitable glycol ether amines include, but are not limited to: 2-butoxy-ethanamine, 1-methoxy-2-propanamine, 1-butoxy-2-propanamine, 1-[1-methyl-2-(1-methyl-2-propoxyethoxy)ethoxy]-2-propanamine, 1-(2-butoxy-1-methylethoxy)-2-propanamine, 1-(2-methoxy-1-methylethoxy)-2-propanamine and 1-(1-methyl-2-propoxyethoxy)-2-propanamine, and 2-amino-2-methyl-2-propanol. It was surprisingly found that such glycol ether amines are good biocides against bacteria and other microbes present in MWFs.

In another embodiment, the presently disclosed biocidal composition may be a composition comprising at least a glycol ether amine, wherein the primary ether amine compound is of the formula below:



Wherein R1 is a C1 - C6 alkyl group, more preferably C3 - C4 alkyl group, and R2 and R3 are independently CH3 or CH2-CH3, and, m is 0 to 6, or, preferably, from 0 to 2.

The concentration of the glycol ether amine(s) in the MWF may range from 1, 4, 6, 8, or 10 percent by weight of the formulation up to 30, 25, 15, or 12 percent of the formulation.

The microbial growth control agent may further comprise one or more additional glycol ether amines which may be used in combination with the above disclosed materials to achieve a certain microbial growth control targets.

The semi-synthetic MWFs of the present invention also include one or more organic acids as solubilizers. Preferred organic acids include 2-ethylhexoic acid, azelaic acid, toll oil fatty acid, 12-hydroxyl-(cis)-9-octadecenoic acid, dicarboxylic acid, and 9-octadecenoic acid. The concentration of the organic acid in the MWF may range from 2, 3, 4, or 5 percent by weight of the formulation up to 12, 10, 8, or 7 percent of the formulation.

The semi-synthetic MWFs of the present invention also include one or more emulsifiers. The emulsifier may be anionic, cationic or nonionic. Examples of suitable anionic surfactants or emulsifiers are alkali metal, ammonium and amine soaps; the fatty acid part of such soaps contains preferably at least 10 carbon atoms. The soaps can also be formed "in situ;" in other words, a fatty acid can be added to the oil phase and an alkaline material to the aqueous phase.

Other examples of suitable anionic surfactants or emulsifiers are alkali metal salts of alkyl-aryl sulfonic acids, sodium dialkyl sulfosuccinate, sulfated or sulfonated oils, e.g., sulfated castor oil; sulfonated tallow, and alkali salts of short chain petroleum sulfonic acids.

Suitable cationic surfactants or emulsifiers are salts of long chain primary, secondary or tertiary amines, such as oleylamide acetate, cetylamine acetate, di-dodecylamine lactate, the acetate of aminoethyl-aminoethyl stearamide, dilauroyl triethylene tetramine diacetate, 1-aminoethyl-2-heptadecenyl imidazoline acetate; and quaternary salts, such as cetylpyridinium bromide, hexadecyl ethyl morpholinium chloride, and diethyl di-dodecyl ammonium chloride.

Examples of suitable nonionic surfactants or emulsifiers are condensation products of higher fatty alcohols with ethylene oxide, such as the reaction product of oleyl alcohol with 10 ethylene oxide units; condensation products of alkylphenols with ethylene oxide, such as the reaction product of isoctylphenol with 12 ethylene oxide units; condensation products of higher fatty acid amides with 5, or more, ethylene oxide units; polyethylene glycol esters of long chain fatty acids, such as tetraethylene glycol monopalmitate, hexaethyleneglycol monolaurate, nonaethyleneglycol monostearate, nonaethyleneglycol dioleate, tridecaethyleneglycol monoarachidate, tricoethyleneglycol monobehenate, tricoethyleneglycol dibehenate, polyhydric alcohol partial higher fatty acid esters such as sorbitan tristearate, ethylene oxide

condensation products of polyhydric alcohol partial higher fatty acid esters, and their inner anhydrides (mannitol-anhydride, called Mannitan, and sorbitol-anhydride, called Sorbitan), such as glycerol monopalmitate reacted with 10 molecules of ethylene oxide, pentaerythritol monooleate reacted with 12 molecules of ethylene oxide, sorbitan monostearate reacted with 10-15 molecules of ethylene oxide, mannitan monopalmitate reacted with 10-15 molecules of ethylene oxide; long chain polyglycols in which one hydroxyl group is esterified with a higher fatty acid and other hydroxyl group is etherified with a low molecular alcohol, such as methoxypolyethylene glycol 550 monostearate (550 meaning the average molecular weight of the polyglycol ether). A combination of two or more of these surfactants may be used; e.g., a cationic may be blended with a nonionic or an anionic with a nonionic.

Suitable emulsifiers include C16-18 alcohols which have been ethoxylated or propoxylated; ethoxylated C12-C15 alcohols; sodium alkane sulfonate and alky ether carboxylates.

The concentration of the emulsifier(s) in the MWF may range from 4, 5, 6, 8, or 10 percent by weight of the formulation up to 25, 20, 15, or 12 percent of the formulation.

The semi-synthetic MWFs of the present invention may also include one or more additives. Preferred additives include diethylene glycol butyl ether, ethylene glycol monobutyl ether, and propylene glycol butyl ether. The concentration of the additive(s) in the MWF may range from 0.0, 0.3, 0.5, 1.0, or 1.5 percent by weight of the formulation up to 2.5, 2.0, or 1.8 percent of the formulation.

The semi-synthetic MWFs of the present invention may also include other additives to provide additional functionality as generally known in the art.

## EXAMPLES

An experiment to test the efficacy of the presently disclosed semi-synthetic MWFs and others may be conducted as follows. A series of 7 semi-synthetic MWFs are prepared as indicated in Table 1. Each example is identical except for a different amine as a microbial growth control agent as shown in Table 2.

Table 1 – Diluted Metalworking Fluid Ingredients

Ingredient	details	percentage
Base oil	Naphthenic oil	12.5 wt%
Organic acid	Azelaic acid	4.5 wt%
	Toll oil fatty acid	4.5 wt%
Emulsifiers	UCONTM Metalworking EMU 50	8.4 wt%
	UCONTM Metalworking EMU 60	2.0 wt%
	Sodium alkane sulfonate	2.5 wt%
Amine	See Table 2	10.7 wt %
Additive	Diethylene glycol butyl ether	2.0 wt %
Deionized water	/	52.9 wt %

Table 2 - Ether Amines Tested

Example	Amine	Product Name
Comparative Example 1	MEA + TEA	Monoethanolamine (3.9 wt%), Triethanolamine (6.8 wt %)
Example 1	PM amine	1-methoxy-2-propanamine
Example 2	PnB amine	1-butoxy-2-propanamine
Example 3	EB amine	2-butoxy-ethanamine
Example 4	TPnP amine	1-[1-methyl-2-(1-methyl-2-propoxyethoxy)ethoxy]-2-propanamine
Example 5	DPnB amine	2-propanamine, 1-(2-butoxy-1-methylethoxy)-
Example 6	DPnP amine	1-(1-methyl-2-propoxyethoxy)-2-propanamine

#### Test 1 Thermal stability of semi-synthetic fluid

A portion of each of the seven examples described above are stored at 50° (±3°C) and a portion was stored at 0°C (±1°C) for 20 hours. Afterwards the samples are observed, and the results are shown in table 3, with “pass” indicating a single-phase clear material:

Table 3 Thermal stability performance

Semi-synthetic fluid	amine	Hot aging stability test 50 °C, 20h	Cold aging stability test 0°C, 20h
Comparative Example 1	MEA+TEA	Hazy	Hazy
Example 1	PM amine	Pass	Hazy
Example 2	PnB amine	Pass	Pass
Example 3	EB amine	Hazy	Pass
Example 4	TPnP amine	Separated	Pass
Example 5	DPnB amine	Hazy	Pass
Example 6	DPnp amine	Pass	Pass

As shown in the above Table, the inventive composition (Examples 1-6) have demonstrated better stability than the comparative example 1, because all the examples have passed the stability test at least one of the temperatures.

#### Test 2 foaming tests

5.0 g of each of the first seven semi-synthetic fluid concentrates are added into a 250 mL cylinder containing 95 mL hard water (50 ppm, Ca (CO<sub>3</sub>)<sub>2</sub> equivalents). After closing the cylinder with the stopper, the cylinder is inverted 60 times within 1 min. The foam volume height immediately after completing the inversions (0 sec) and time until the foam height was reduced 0 cm are recorded and presented in Figure 1:

As shown in the above Table, the inventive compositions (Examples 1-5) have a better low foam performance than the comparative example 1 (MEA+TEA), because all the examples show lower foam height and lower defoaming time.

#### Test 3 anti-corrosion test

To evaluate the anti-corrosion capability of semi-synthetic solutions, 5 ml of each of the hard water solutions used in test 2 are added to a 35mm by 10mm plastic petri dish along with 4.0 (±0.1) gm of cast iron chips and stored at 25°C for 24h. After this period the corrosion status

of the iron chips are observed, and area percentage observed to be affected is recorded in Table 4.

Table 4 Anti-Corrosion Performance

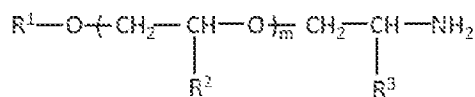
Semi-synthetic fluid	Glycol ether amine	Corrosion area percentage (%)
Comparative Example 1	MEA+TEA	0
Example 1	PM amine	0
Example 2	PnB amine	4
Example 3	EB amine	0
Example 4	TPnP amine	32
Example 5	DPnB amine	11
Example 6	DPnp amine	0

As shown in the above Table 4, the inventive composition (Examples 1,3,6) have an equivalent anti-corrosion capability with comparative example 1(MEA+TEA).

**CLAIMS**

1. A semi-synthetic metal working fluid, comprising:

- a. at least one base oil;
- b. at least one microbial growth control agent comprising a glycol ether amine with the structure of:



wherein  $\text{R}^1$  is a C1 - C6 alkyl group and  $\text{R}^2$  is  $\text{CH}_3$  or  $\text{CH}_2\text{-CH}_3$  and  $\text{R}^3$  is  $\text{CH}_3$  or  $\text{CH}_2\text{-CH}_3$ , and m is 0 to 6;

- c. one or more organic acids,
  - d. one or more emulsifiers,
  - e. optionally, one or more additives, and
  - f. water.
2. The semi-synthetic metal working fluid of claim 1, wherein  $\text{R}^1$  of the microbial growth control agent is a C<sub>3</sub> - C<sub>4</sub> alkyl group.
3. The semi-synthetic metal working fluid of claim 1, wherein the glycol ether amine is selected from the group consisting of 2-butoxy-ethanamine, 1-methoxy-2-propanamine, 1-butoxy-2-propanamine, 1-[1-methyl-2-(1-methyl-2-propoxyethoxy)ethoxy]-2-propanamine, 1-(2-butoxy-1-methylethoxy)-2-propanamine, 1-(2-methoxy-1-methylethoxy)-2-propanamine or 1-(1-methyl-2-propoxyethoxy)-2-propanamine.
4. The semi-synthetic metal working fluid of claim 1, wherein the microbial growth control agent further comprises another amine.
5. The semi-synthetic metal working fluid of claim 1, wherein m is from 0 to 2 in the microbial growth control agent.
6. The semi-synthetic metal working fluid of claim 1, wherein the base oil is selected from naphthenic oils, paraffinic oils, ester oils and mixtures thereof.

7. The semi-synthetic metal working fluid of claim 1, wherein the emulsifier is selected from C<sub>16</sub>-C<sub>18</sub> alcohols which have been ethoxylated or propoxylated, ethoxylated C<sub>12</sub>-C<sub>15</sub> alcohols, sodium alkane sulfonate and alky ether carboxylates and mixtures thereof.
8. The semi-synthetic metal working fluid of claim 1, wherein the solubilizer is selected from ethylhexoic acid, azelaic acid, toll oil fatty acid, 12-hydroxyl-(cis)-9-octadecenoic acid, dicarboxylic acid, 9-octadecenoic acid and mixtures thereof.
9. The semi-synthetic metal working fluid of claim 1, wherein the additive is present and is selected from diethylene glycol butyl ether, ethylene glycol monobutyl ether, propylene glycol butyl ether and mixtures thereof.
10. The semi-synthetic metal working fluid of claim 1, wherein the microbial growth control agent is present in an amount of from 6 to 15 percent by weight of the semi-synthetic metal working fluid.
11. The semi-synthetic metal working fluid of claim 1, wherein the base oil is present in an amount of from 10 to 45 percent by weight of the semi-synthetic metal working fluid.
12. The semi-synthetic metal working fluid of claim 1, wherein the emulsifier is present in an amount of from 5 to 20 percent by weight of the semi-synthetic metal working fluid.
13. The semi-synthetic metal working fluid of claim 1, wherein the solubilizer is present in an amount of from 3 to 10 percent by weight of the semi-synthetic metal working fluid.
14. The semi-synthetic metal working fluid of claim 1, wherein the water is present in an amount of from 20 to 60 percent by weight of the semi-synthetic metal working fluid.

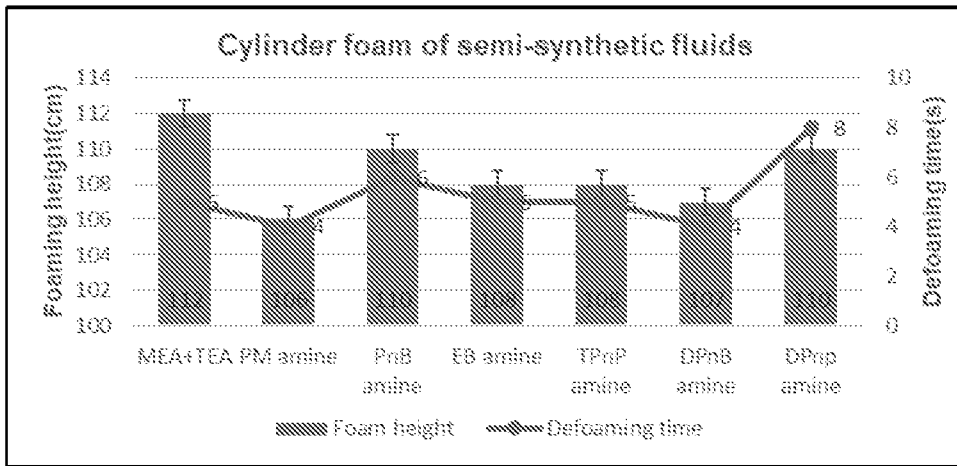


FIGURE 1

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/CN2021/114210

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> C10M 133/06(2006.01)i  According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) C10M  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPI,EPODOC,CNPAT,CNKI:METAL WORKING FLUID, MICROB+, corrost+, +ethoxy, +amine		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
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<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
<p>* Special categories of cited documents:</p> <p>“A” document defining the general state of the art which is not considered to be of particular relevance</p> <p>“E” earlier application or patent but published on or after the international filing date</p> <p>“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>“O” document referring to an oral disclosure, use, exhibition or other means</p> <p>“P” document published prior to the international filing date but later than the priority date claimed</p> <p>“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>“&amp;” document member of the same patent family</p>		
Date of the actual completion of the international search <b>01 April 2022</b>		Date of mailing of the international search report <b>26 April 2022</b>
Name and mailing address of the ISA/CN <b>National Intellectual Property Administration, PRC 6, Xitucheng Rd., Jimen Bridge, Haidian District, Beijing 100088, China</b> Facsimile No. (86-10)62019451		Authorized officer <b>LI,Qi</b>  Telephone No. 86-(10)-53962282



**INTERNATIONAL SEARCH REPORT**  
**Information on patent family members**

International application No.

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