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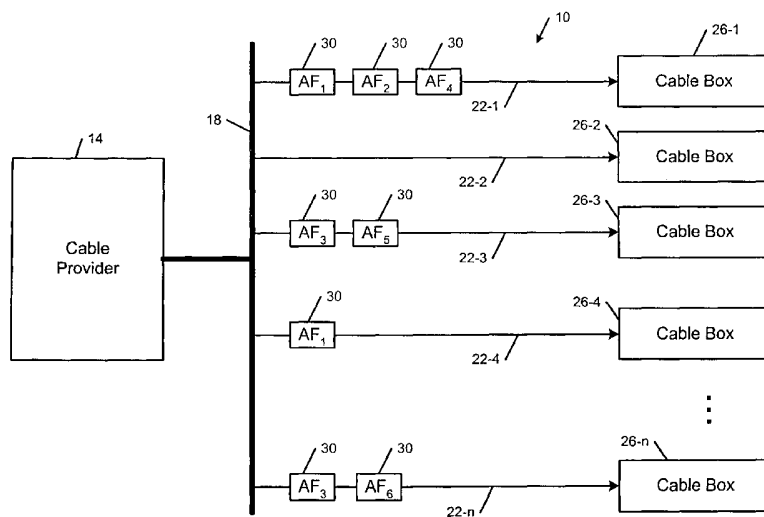
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(54) Title: SYSTEM AND METHOD FOR REDUCING FRAUD IN A DIGITAL CABLE NETWORK



(57) Abstract: A digital cable network architecture includes hosts that include a receiver with a hash function generator that calculates hash values based on a hash function and data from memory blocks in the receiver. A policy file store contains policy files having a service provider section, a consumer section, and/or a manufacturer section that can be updated by the service provider, a consumer and/or a receiver manufacturer. A service provider downloads monitor applications (MAs) and policy files to the hosts over the cable medium. The MAs access the service provider section, the consumer section, and/or the manufacturer section of the policy file to alter resource contention, service provisioning, and/or alter fraudulent receiver identification calculations. The hash function of the receiver outputs the hash values to the MA, which compares the hash values to expected hash values to identify fraudulent access.

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## SYSTEM AND METHOD FOR REDUCING FRAUD IN A DIGITAL CABLE NETWORK

### FIELD OF THE INVENTION

5           **[0001]** The present invention relates to cable networks, and more particularly to an architecture that provides improved platform validation or fraudulent access prevention in digital cable networks.

### BACKGROUND OF THE INVENTION

10           **[0002]** Cable system operators charge monthly fees for various services. Cable systems can be implemented using analog and/or digital networks. The analog cable networks typically offer limited services such as basic channels and premium channels. In addition to basic services, the digital cable networks offer expanded services including one or more of the following:  
15           electronic program guides (EPGs), premium channels, impulse pay-per-view (IPPV), video-on-demand (VOD), interactive sports, game shows, web access and features such as e-mail, chat, and instant messaging, interactive games, and/or services such as shopping (television commerce, or "T-Commerce"), home banking, and personal video recorders (PVR).

20           **[0003]** Some customers may attempt to fraudulently obtain one or more of the cable services. To maintain revenues, service providers must be able to reduce fraudulent access. The ability to cut off and/or to identify the location of customers who have fraudulently obtained the cable services would help to reduce fraudulent access.

25           **[0004]** Service providers must also be able to provide service provisioning in a cost effective manner. In analog cable networks, service provisioning is an expensive process. Referring now to FIG. 1, an analog cable network 10 includes a cable service provider 14 that generates cable signals over cable 18. Cable drops 22-1, 22-2, ..., and 22-n provide the cable signals to  
30           cable boxes 26-1, 26-2, ..., and 26-n at customer locations. One or more analog filters 30 are added to each of the cable drops 22 at the customer locations to disable or filter out one or more premium channels if the customer is not a subscriber. When a subscription change is requested, the cable service provider 14 must dispatch a crew to the customer location. The crew adds or removes

the filters 30, which remove or add, respectively, a premium channel. The cost of dispatching the crew must be included in the price of the premium channel, which increases the cost to the consumer.

**[0005]** OpenCable™ is a standard that has been defined by cable operators to provide digital cable-ready devices using a common platform. Referring now to FIG. 2, the OpenCable™ standard defines a host 50, which is typically a set top box 50-1 or an integrated television 50-2. The set top box 50-1 is typically connected to a television or monitor 54. A POD module 58, which is removable from the host 50, provides security and user authentication. The POD module 58 contains functionality that is associated with a proprietary conditional access system of a local cable provider or multiple system operator (MSO) 60. The POD module 58 is provided by the MSO 60 and is typically implemented using a PCMCIA or PC card. The POD module 58 may communicate with the MSO 60 using an in-band channel 64-1 and/or an out of band (OOB) channel 64-2 over the cable 64.

**[0006]** One goal of OpenCable™ is to provide portability. A consumer who purchases the host 50 for one cable system can relocate to another cable system and use the same host 50. OpenCable™ also seeks to lower the cost of service provisioning and to reduce fraudulent access. The OpenCable™ Applications Platform (OCAP™) specifications (OC-SP-OCAP1.0-I04-021028 and OC-SP-OCAP2.0-I01-020419) which are hereby incorporated by reference in their entirety, provides an open interface between the manufacturer's operating system (OS) and the various applications that will run within the host 50. Currently, developers of interactive television (iTV) applications must rewrite their programs for each proprietary platform. OCAP™ provides a standard application programming interface (API) to allow applications to be deployed on all hosts 50.

**[0007]** To allow portability, encryption and security are separated from the host 50 and are located in the POD module 58. When inserted into the host 50, the POD module 58 decodes encrypted content from the cable provider 60.

**[0008]** OpenCable™ provides channel-based service provisioning. When the consumer requests a premium channel or other resource, the POD module 58 sends a message to the cable provider 60. If the consumer subscribes to the premium channel or other resource, the cable provider 60

sends an entitlement message (EMM) back to the POD module 58. If the EMM is received, the host 50 is granted access. For premium channels, the granularity of control provided by OpenCable™ is at the level of a physical channel. In other words, the premium channel is either enabled or disabled.

5           **[0009]**   OCAP™ also specifies a mechanism for platform validation, which detects fraudulent and/or compromised receivers in hosts. As used herein, platform validation and fraudulent access prevention are used interchangeably. A certificate, a signature file and hash files are embedded in the receiver of the host. The hash file enumerates a list of hash values for  
10 memory blocks in the receiver. A monitor application (MA) reads the blocks of data over a data bus and computes the hash value. The MA compares the computed hash value to the hash value specified in an encrypted file. The MA takes appropriate action such as terminating service and sending notification to the MSO when a mismatch occurs.

15           **[0010]**   There are several disadvantages with the foregoing mechanism for preventing fraudulent receivers. First, the hash file is embedded in the receiver. The contents of the hash file cannot be easily changed without reprogramming the receiver. Secondly, the MA computes the same hash value every time. Hackers can monitor the host data bus for hash calculations. Over  
20 time, hackers will figure out the hash function since the computation would be very predictable. In addition, the API for the OCAP™ specification has been published, which includes API's for reading the contents of the flash memory. In summary, the entire firmware is exposed using this approach and the likelihood of fraudulent access is significantly increased.

25           **[0011]**   Additionally, the OpenCable™ standards define a resource manager (RM) that manages system resources such as tuning, audio/video decodings, graphics plane and background devices. Once programmed, the RM manages resource contention based on predefined default rules that cannot be changed without reprogramming the host.

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#### SUMMARY OF THE INVENTION

**[0012]**   A digital cable network architecture according to the present invention includes a cable medium and a plurality of hosts that include a receiver with a hash function generator that calculates hash values based on a hash

function and data from memory blocks in the receiver. A policy file store contains policy files having at least one of a service provider section, a consumer section, and a manufacturer section that can be updated by the service provider, a consumer and/or a receiver manufacturer. A service provider that is associated with the policy file store provides digital cable services over the cable medium to the hosts and downloads monitor applications (MAs) and policy files to the hosts over the cable medium. The MAs access the service provider section, the consumer section, and/or the manufacturer section of the policy file to alter resource contention, alter service provisioning at levels below a channel level, and/or alter fraudulent receiver identification calculations. The hash function of the receiver outputs the hash values to the MA, which compares the hash values to expected hash values to identify fraudulent access.

**[0013]** In other features, the hash function generator selectively operates using one of a plurality of selectable hash functions. The MA transmits a hash function selector to the receiver to select one of the plurality of selectable hash functions to be used. The MA transmits a data selector to the receiver to select data blocks from the memory to be used to generate a current hash value. The current hash value is compared by the MA to the expected hash value that is stored in the manufacturer section of the policy file.

**[0014]** In still other features, the expected hash value is stored in a table format in the manufacturer section of the policy file and is associated with the data selector and the hash function selector that are transmitted to the receiver. The expected hash values are stored in one of the MA and the manufacturer section of the policy file. The expected hash values are encrypted.

**[0015]** Further areas of applicability of the present invention will become apparent from the detailed description provided hereinafter. It should be understood that the detailed description and specific examples, while indicating the preferred embodiment of the invention, are intended for purposes of illustration only and are not intended to limit the scope of the invention.

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## BRIEF DESCRIPTION OF THE DRAWINGS

[0016] The present invention will become more fully understood from the detailed description and the accompanying drawings, wherein:

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5 the detailed description and the accompanying drawings, wherein:

[0018] FIG. 1 is a functional block diagram illustrating service provisioning in an analog cable network according to the prior art;

[0019] FIG. 2 is a functional block diagram illustrating service provisioning in a digital cable network according to the prior art;

10 [0020] FIG. 3 is a functional block diagram illustrating service provisioning in a digital cable network according to the present invention;

[0021] FIG. 4 is a functional block diagram of the host in FIG. 3 in further detail;

[0022] FIG. 5 is a functional block diagram illustrating an example of  
15 service provisioning in accordance with the prior art;

[0023] FIG. 6 is a functional block diagram illustrating service provisioning using a policy file (PF) and a monitor application (MA) according to the present invention;

[0024] FIG. 7 is a functional block diagram of resource contention  
20 resolution using the PF and the MA according to the present invention;

[0025] FIG. 8 is a flowchart illustrating steps for updating the MA and the PF according to the present invention;

[0026] FIG. 9A and 9B are flowcharts illustrating steps for resource contention resolution according to the present invention;

25 [0027] FIG. 10 illustrates a service provisioning example;

[0028] FIG. 11A is a functional block diagram illustrating a fraudulent access identification system according to the prior art;

[0029] FIG. 11B illustrates a fraudulent access identification method according to the prior art;

30 [0030] FIG. 12A is a functional block diagram illustrating a first fraudulent access identification system according to the present invention;

[0031] FIG. 12B illustrates a first fraudulent access identification method according to the present invention;

[0032] FIG. 13A is a functional block diagram illustrating a second fraudulent access identification system according to the present invention; and

[0033] FIG. 13B illustrates a second fraudulent access identification method according to the present invention.

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#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0034] The following description of the preferred embodiment(s) is merely exemplary in nature and is in no way intended to limit the invention, its application, or uses. For purposes of clarity, the same reference numbers will be used in the drawings to identify similar elements.

[0035] The present invention discloses an open architecture for digital cable services. A monitor application (MA) is periodically updated by the MSO. The MA accesses a policy file (PF) that includes customer, MSO and/or manufacturer sections. These sections can be changed by the customer, the MSO, and the manufacturer, respectively. The policy file allows the customer, the MSO and the manufacturer to customize their respective interfaces without requiring the host, the receiver, etc. to be reprogrammed.

[0036] For example, the customer can use the customer section of the PF to provide additional content, time and/or monetary control, such as time of operation, program content, gaming content, channels, dollars spent and other details, on levels below the channel level that is currently provided. For example, the MSO can use the MSO section of the PF to update resource contention defaults as situations, business relationships or other conditions dictate. For example, the manufacturer can use the manufacturer section of the PF to alter platform validation calculations over time to avoid fraudulent use by hackers.

[0037] Referring now to FIG. 3, a digital cable network 100 provides digital cable services to a plurality of hosts 102-1, 102-2, ..., and 102-n. The hosts 102 can be set-top boxes, integrated TVs, or any other type of host. The hosts 102 include removable POD modules 104-1, 104-2, ..., and 104-n, which handle security and encryption. The POD modules 104 connect the hosts 102 to the MSO by a cable medium 108 using in-band and out-of-band channels 108-1 and 108-2, respectively. The hosts 102 may optionally include a web browser 112.

**[0038]** The MSO 120 includes a policy file (PF) manager 122, that manages a PF data store 124 containing PFs for hosts in the digital cable network 100. Each PF preferably includes one or more sections. A first or customer section of the PF is for the customer. For example, the customer may use the customer section to provide service provisioning with additional granularity. An optional second section of the PF is for the MSO. For example, the MSO may use the MSO section to define resource permission settings for host applications and to resolve resource contention between host applications. An optional third section of the PF is for the manufacturer. The manufacturer section may be used to periodically modify fraud identification techniques. The sections of the PF can be modified for each host 102 by the respective section "owner". In other words, the manufacturer can modify the manufacturer section, the customer can modify the customer section, and the MSO can modify the MSO section.

**[0039]** The MSO, the customer, and/or the manufacturer may access the PF in several different ways. Computers 126 that include a web browser 128 can access the PF over a distributed communications system 130 such as the Internet. A web server 132 associated with the MSO interfaces with the PF manager 122 to change the PF. Hosts 102 with web browsers 112 may access the PF using a cable modem over the cable 108 and/or using other Internet access methods. When changes are made to the PFs stored in the PF manager 122, the MSO 120 pushes the PF to the corresponding host 102. Alternatively, the MSO 120 notifies the host 102 that a new PF version is available. The MSO preferably encrypts the PF using an encryption device 134 and sends the PF using head end gear 136. Other services 140 described above are also provided on the cable 108 through the head end gear 136.

**[0040]** Referring now to FIG. 4A, the host 102 is shown in more detail. When initially connected to the digital cable network 100 and before receiving (or having) a resident MA (MA) 150, the host 102 is controlled by an executive application (EA) 152 stored in memory 153, which may include read only memory, FLASH memory or any other suitable electronic data storage.

**[0041]** A receiver 154 monitors an extended applications information table (XAIT) 156 in the memory 153. The MSO 120 notifies the receiver 154 of a current version of the MA 150 using the XAIT 156. The receiver 154 detects a

mismatch between a current MA version (or no MA) and the current MA version in the XAIT 156. If a version mismatch occurs, the receiver 154 reads a virtual channel table (VCT) 160, which identifies a physical channel for receiving the MA 150. The receiver 154 tunes to the specified physical channel and receives  
5 the MA 150. The MSO 120 broadcasts the MA 150 in a continuous loop, at predetermined times, or in any other suitable fashion on the specified channel. After receiving the MA 150 and storing the MA in FLASH, the host 102 begins operating using the MA 150.

**[0042]** The MSO 120 may push a PF to the host 102 when the MA is  
10 downloaded. Alternately, the MA 120 may include a routine to automatically download a current PF when a new MA or new MA version is downloaded and stored in FLASH. The MSO 120 also automatically downloads the PF to the host 102 whenever changes are made to the PF. The MSO 120 preferably encrypts the PF using the encryption device 134 before transmission. The MA  
15 150 decrypts the PF and stores the PF (as shown at 164) in the memory 153.

**[0043]** The MSO 120 may optionally notify the receiver 154 of a current version of the PF using the XAIT 156. When the receiver 154 detects a mismatch between a current version of the PF 164 (or no PF) and the current PF version in the XAIT 156, the receiver 154 contacts the MSO 120 and requests  
20 the newer PF version. The MSO 120 sends the newer PF version to the MA 150, which decrypts the PF and begins operating with the newer PF version. Alternately, when changes to the PF are made by the manufacturer, the MSO and/or the consumer, the MSO 120 can automatically download the new PF to the MA 150.

**[0044]** A customer may select a cable channel, games or other content  
25 using a remote control (RC) 168 (which generates a signal that is received by RC receiver 169). The customer may also select a cable channel, games or other content using a panel control 170 on a display 172, the set top box 102-1, or the integrated TV 102-2. The customer may also adjust audio outputs 176  
30 and interface with other input/output devices 178 using the same or other controls.

**[0045]** A resource manager 180 manages host resources 182 such as a tuner 184, a graphics plane 186, an audio/video decoder 188, background devices 190 and any other resources. The resource manager 180 manages

contention for the resources 182 by applications 192 such as the electronic program guide (EPG), premium channels, impulse pay-per-view (IPPV), video-on-demand (VOD), interactive sports, game shows, web access and features such as e-mail, chat, and instant messaging, interactive games, and/or services  
5 such as shopping (television commerce, or "T-Commerce"), home banking, and personal video recorders (PVR). An event manager (EM) 194 handles events using one or more tables, as will be described further below. In FIG. 4B, the PF 164 may include an MSO section 196, a manufacturer section 198, a customer section 199, and/or other sections.

10       **[0046]** Referring now to FIG. 5, an example illustrating service provisioning according to the prior art is shown. The RC 168 sends a change channel request, which is received by the RC receiver 169. The change channel request is transmitted over the data bus to the event manager 194. The event manager 194 includes a table of applications, such as the EPG 192-1, APP1 and  
15 APP2, that have registered for the change channel event. The event manager 194 transmits the change channel event to the EPG 192-1, APP1 and APP2.

**[0047]** The EPG 192-1 requests the tuner 184 if needed from the RM 180. If the EPG 192-1 already has the tuner 184 resource, the EPG 192-1 calls the tuning API. Before the POD 104 decodes the channel, the POD 104 (which  
20 listens for tuning APIs) sends a request\_channel\_access message for the appropriate channel to the MSO 120. If approved, the MSO 120 sends the EMM back to the POD 104, which decodes the channel. If the EMM is not received, the channel is tuned but not decoded. As was described above, this service provisioning method provides access on a channel level only.

25       **[0048]** Referring now to FIG. 6, an example illustrating service provisioning according to the present invention is shown. The MA 150 consults the PF 164 before granting access to the channel 182. The MA 150 has access to privileged API's such as application filtering and upgrade, system reboot, resource conflict, event handling, error handling and system functions. In a  
30 preferred embodiment, the PF is XML-based program that is downloaded via the POD OOB connection into FLASH memory and the MA is Java-based. While XML and Java are disclosed, any other suitable languages can be used.

**[0049]** The MA 150 is an unbound application with privileges. The MA 150 manages the life cycle of all OCAP<sup>TM</sup> applications, including itself. The MA

150 provides resource contention, registers unbound applications with an applications database, validates the starting all applications, identifies system errors, and reboots the system. The MA 150 can change copy protection bits and output resolution using OCAP™ interfaces. The MA 150 may also filter user  
5 input events and change their value before sending them to their final destination. Therefore, the MA 150 can enable and disable keys on the RC 168 or other controls 170, which will enable and disable functions.

[0050] In FIG. 6, the RC 168 sends a change channel request, which is received by the RC receiver 169. The change channel request is transmitted  
10 over the bus to the event manager 194. The event manager 194 includes a table of applications that have registered for the change channel event. The MA 150 can override the APP/Event table in the event manager 194. While the table shown in FIG. 6 shows the table including MA 150 for the channel change event instead of EPG, APP1 and APP2, the table need not be overwritten as shown.  
15 The MA 150 may simply override the current values in the table or otherwise disable the EM for these events and applications.

[0051] The event manager 194 transmits the change channel event to the MA 150. The MA 150 consults the PF 164. If the PF 164 allows the customer to select the channel (and/or other content and/or other resource), the  
20 MA forwards the channel change event to the EPG 192-1. Alternatively, the MA can instruct the EM to forward the change channel event directly to the EPG 192-1. Operation continues as described above with respect to FIG. 5.

[0052] Referring now to FIG. 7, the present invention also allows resource access to be controlled by the MA 150 and PF 164. Before granting  
25 access to a resource, the MA 150 checks the PF 164. If the PF 164 allows the application 192 access to the resource, the MA 176 sends an access\_approved signal back to the RM 174. Otherwise, the MA 176 sends an access\_denial signal to the RM 174.

[0053] The MA 150 also resolves resource contention based on the PF  
30 164. The application 192-3 may currently have a resource such as the tuner 184, the graphics plane 186, the audio/video decoder 188, the background devices 190 and/or any other resource. The application 192-2 may request the resource(s) that are currently being used by the application 192-3. The application 192-4 may currently have a resource such as the tuner 184, the

graphics plane 186, the audio/video decoder 188, the background devices 190 and/or any other resource. The application 192-5 may request the resource(s) that are currently being used by the application 192-4. The MA 150 and the PF 164 resolve the conflicts.

5           **[0054]** The MA 150 and the PF 164 may resolve the resource contention based on business relationships. In other words, the MSO 120 may define the MSO section 196 of the PF 164 to resolve resource contention in favor of a business partner. For example, when a first application requests a resource to tune to a particular channel such as Speed<sup>TM</sup> and another  
10 application such as the browser requests the tuner for another reason (and/or already has the resource), the first application will receive the resource.

**[0055]** Referring now to FIG. 8, exemplary methods for downloading MAs and PFs are shown generally at 200. Control begins with step 202. In step 204, control determines whether the host includes a resident MA. If not, control  
15 runs the executive application (EA) in step 206. In step 208, control checks the XAIT and VCT and downloads the MA from the MSO on the designated channel. Step 208 may be performed by having the host send the MSO a need\_MA message. The MSO responds to the need\_MA message by sending the MA. The host stores the MA in memory and then loads the MA into FLASH memory.  
20 If the MA is already resident, control runs the MA in step 214.

**[0056]** In step 218, the host may determine whether the PF is the latest version. If a version match does not occur, the host takes steps to download the PF in step 220. Step 220 may be performed by having the host send the MSO a need\_latest\_PF message. The MSO responds to the  
25 need\_latest\_PF message by sending the latest PF version. The host stores the PF in memory and loads the PF into flash memory. Alternatively, the MSO may automatically send the PF when changes to the PF occur.

**[0057]** Control continues with step 224 where the host determines whether the MA is the latest version (typically using the XAIT). If the MA is not  
30 the latest version, the host tunes to the channel identified in the VCT and downloads the latest MA version in step 228. Steps 224 and 228 may be performed in a manner that is similar to steps 218 and 220 described above. The host manages resources using the MA and PF in step 230.

**[0058]** Referring now to FIG 9A, steps of a method for managing resource contention using the PF 164 is shown generally at 280. Control begins with step 282. In step 284, control determines whether an application (APP) has requested a resource. If not, control loops back to step 284. If the APP requests a resource, control continues with step 286 where the RM determines whether there is contention for the resource. If not, the RM grants the application the resource in step 288 and continues with step 284. Otherwise, the RM sends a message to the MA. The MA, in turn, reads the PF to determine whether the resource contention is resolved by the PF in step 292. For example, the MSO section of the PF may resolve the contention based on business relationship criteria.

**[0059]** In step 294, the MA sends the resource contention resolution to the RM. Alternately, the PF may send the resource contention resolution directly to the RM. The MA may resolve the contention and/or send a not\_covered message if the PF does not address the contention. In the not\_covered case, the RM may resolve the resource contention using a default rule. In step 296, the RM resolves the contention.

**[0060]** Referring now to FIG. 9B, the RM also checks with the PF before granting access to a resource even when there is no contention. In step 302, the RM checks with the MA (which checks with the PF) to determine whether the PF allows the application to use the resource. If not, the RM denies the resource to the application in step 304.

**[0061]** The PF and MA according to the present invention allow finer control over service provisioning and improved resource contention in the digital cable network 100. The PF and MA allow service provisioning with finer granularity than the channel level provided by the OCAP<sup>TM</sup> specification.

**[0062]** Referring now to FIG. 10, an example implementation is shown. A single consumer residence includes STB<sub>1</sub>, STB<sub>2</sub> and STB<sub>3</sub>. STB<sub>1</sub> is located in a controlled environments such as the parents bedroom. STB<sub>2</sub> is located in one child's bedroom. STB<sub>3</sub> is located in another child's bedroom.

**[0063]** Service provisioning according to the present invention allows control beyond the channel level. In particular, STB<sub>1</sub> is granted full access to basic channels, three premium channels and games all at times by the MSO and customer PF. STB<sub>2</sub> and STB<sub>3</sub> are granted full access to all basic channels three

premium channels and games at all times by the MSO as well. However, the customer PF limits access of STB<sub>2</sub> to basic channels during certain times, to some premium channels during certain times, and to other premium channels during certain times and for games with no violent content. The customer PF  
5 limits access of STB<sub>3</sub> to basic channels during certain times, to some premium channels during certain times at certain ratings levels, and to all games during certain times. The customer may also define spending limits for total services and/or individual services.

**[0064]** As can be appreciated by the foregoing, the digital cable system according to the present invention offers finer granularity of control. The digital cable system allows the creation of tiers of service. The MA can be used to collect usage statistics, which can be used by the consumer for service provisioning. For example, the consumer can set spending limits for pay-per-view or gaming services and/or total time watched from anywhere on the  
10 Internet. Program ratings levels can also be controlled by the consumer.

**[0065]** In addition, the MSO can remotely disable or reboot the host. For example, the MSO can disable or reboot the host when the customer as an unpaid bill, the policy file has been compromised, the host is under some kind of intrusion, or the MSO does not receive the heartbeat of the MA. In addition,  
15 when a consumer has multiple hosts, billing detail can be defined for each host. .

**[0066]** Referring now to FIG. 11A, a MA 340 according to the prior art includes a fraud control module 342 that includes a hash function generator and that stores a hash value. The MA 340 reads a certificate, signature and hash file 344 that is embedded in a receiver 345 over a data bus 346. The hash file 344  
20 enumerates a list of hash values for blocks of information within the receiver 345. A hash function generator 347 of the MA 340 reads blocks of data over the data bus 346 and computes the hash value. The fraud control module 342 compares the computed hash value to a hash value 348 in an encrypted file. The MA 340 takes appropriate action such as terminating service and sending notification to  
25 the MSO when a mismatch occurs.

**[0067]** Referring now to FIG. 11B, control begins in step 352. In step 356, control waits for a fraud\_check request to be made by the MA, the MSO or the manufacturer and/or the fraud\_check request may be time based or event based. If the fraud\_check request is received, the MA reads blocks of data over  
30

the data bus and computes the hash value in step 358. In step 360, the MA compares the computed hash value with the hash value stored in an encrypted file. In step 364, control determines whether there is a match. If not, the MA takes appropriate action such as but not limited to terminating service, contacting  
5 the MSO, or any other suitable action.

**[0068]** As can be appreciated, by sending data over the exposed data bus 346 and by repeatedly computing the same hash function in the MA 340, the conventional system has an increased probability of being fraudulently accessed by hackers.

10 **[0069]** Referring now to FIG. 12A, the MA 150 includes a fraud control module 374 that generates a fraud request. The receiver 154 includes a hash function generator 375 that generates hash values using one or more hash functions. The memory blocks that are used by the hash function generator 375 may also be varied. The hash function generator 375 receives the hash function  
15 request over the data bus 376. The hash function generator 375 generates the hash function using memory blocks in memory 377. The hash function generator 375 generates a hash value that is transmitted to the fraud control module 374. The fraud control module 374 in the MA 150 compares the generated hash value with a hash value that is stored in encrypted form in either  
20 the MA 150 or the PF 164. The hash value may be stored in the manufacturers section of the PA.

**[0070]** Referring now to FIG. 12B, a fraudulent access identification method according to the present invention is shown at 380. Control begins in step 352. In step 356, control waits for a fraud\_check request to be made by the  
25 MA, the MSO or the manufacturer and/or the fraud\_check request may be time based or event based. If the fraud\_check request is received, the MA sends a message to the receiver to compute the hash value and transmit the resulting hash value to the MA in step 382. In step 384, the MA compares the computed hash value with the hash value stored in an encrypted file and/or in the PF. In  
30 step 364, control determines whether there is a match. If not, the MA takes appropriate action such as but not limited to terminating service, contacting the MSO, or any other suitable action.

**[0071]** As can be appreciated, by reducing data transmission over the exposed data bus 346 and shielding the hash function computation in the

receiver, the fraudulent access identification system according to the present invention has a reduced probability of being fraudulently accessed by hackers.

**[0072]** Referring now to FIG. 13A, the MA 150 includes the fraud control module 374 that generates the fraud request. The receiver 154 includes  
5 the hash function generator 375 that generates multiple different hash functions. The hash function generator 375 receives the hash function request over the data bus 376.

**[0073]** The MA sends a hash function selector identifying one of a plurality of hash functions implemented in the receiver and/or a data selector for  
10 selecting the memory blocks to use. The hash function selector and data selector can be randomly selected from the possible hash functions and data blocks. The hash function generator 375 generates the hash function using the selected hash function and selected memory blocks in memory 377. The hash function generator 375 generates the hash value that is transmitted to the fraud  
15 control module 374. The fraud control module 374 in the MA 150 compares the generated hash value with the hash value that is stored and that corresponds to the hash value selector and data selector that is used. The hash value, the hash function identification and/or memory blocks may be stored in the MA 150, the PF 164 and/or in the manufacturers section of the PF 164.

**[0074]** Referring now to FIG. 13B, a fraudulent access identification method according to the present invention is shown at 400. Control begins in  
20 step 352. In step 356, control waits for a fraud\_check request to be made by the MA, the MSO or the manufacturer and/or the fraud\_check request may be time based or event based. In step 404, the MA identifies the hash function to be used in the hash function selector and/or the memory blocks in the data selector  
25 154.

**[0075]** If the fraud\_check request is received, the MA sends a message to the receiver to compute the hash value and transmit the resulting hash value to the MA in step 382. In step 384, the MA compares the computed  
30 hash value with the hash value stored in an encrypted file or in the PF. In step 364, control determines whether there is a match. If not, the MA takes appropriate action such as but not limited to terminating service, contacting the MSO, or any other suitable action.

**[0076]** As can be appreciated, increasing the number of hash functions and changing the memory block numbers will increase the complexity of the hash value calculation and reduce the likelihood of fraudulent access.

**[0077]** Those skilled in the art can now appreciate from the foregoing  
5 description that the broad teachings of the present invention can be implemented  
in a variety of forms. Therefore, while this invention has been described in  
connection with particular examples thereof, the true scope of the invention  
should not be so limited since other modifications will become apparent to the  
skilled practitioner upon a study of the drawings, the specification and the  
10 following claims.

## CLAIMS

What is claimed is:

1. A digital cable network architecture, comprising:
  - 5 a cable medium;
  - a plurality of hosts that include a receiver with a hash function generator that calculates hash values based on a hash function and data from memory blocks in said receiver;
  - a policy file store that contains policy files having at least one of a  
10 service provider section, a consumer section, and a manufacturer section that can be updated by at least one of said service provider, a consumer and a receiver manufacturer, respectively;
  - a service provider that is associated with said policy file store, that provides digital cable services over said cable medium to said hosts and that  
15 downloads monitor applications (MAs) and policy files to said hosts over said cable medium,
  - wherein said MAs access said at least one of said service provider section, said consumer section, and said manufacturer section of said policy file to at least one of alter resource contention, alter service provisioning at levels  
20 below a channel level, and alter fraudulent receiver identification calculations, and wherein said hash function of said receiver outputs said hash values to said MA, which compares said hash values to expected hash values to identify fraudulent access
2. The digital cable network architecture of claim 1 wherein said hash  
25 function generator selectively operates using one of a plurality of selectable hash functions.
3. The digital cable network architecture of claim 2 wherein said MA transmits a hash function selector to said receiver to select one of said plurality of selectable hash functions to be used.
- 30 4. The digital cable network architecture of claim 2 wherein said MA transmits a data selector to said receiver to select data blocks from said memory to be used to generate a current hash value.

5. The digital cable network architecture of claim 4 wherein said current hash value is compared by said MA to said expected hash value that is stored in said manufacturer section of said policy file.

5 6. The digital cable network architecture of claim 5 wherein said expected hash value is stored in a table format in said manufacturer section of said policy file and is associated with said data selector and said hash function selector that are transmitted to said receiver.

7. The digital cable network architecture of claim 1 wherein said expected hash values are stored in one of said MA and said manufacturer section of said policy file.

8. The digital cable network architecture of claim 7 wherein said expected hash values are encrypted.

9. A method for operating a digital cable network architecture, comprising:

15 connecting a plurality of hosts including a receiver to a service provider;

storing policy files having at least one of a service provider section, a consumer section, and a manufacturer section in a policy file data store that is associated with said service provider;

20 allowing at least one of said service provider, a consumer and a receiver manufacturer to update at least one said service provider section, said consumer section, and said manufacturer section, respectively, of said policy files;

25 downloading monitor applications and said policy files to said hosts;

using said at least one of said service provider section, said consumer section, and said manufacturer section of said policy file to at least one of alter resource contention, alter service provisioning at levels below a channel level, and alter fraudulent receiver identification calculations;

30 calculating a hash function using a hash function generator of said receiver; and

varying hash function calculations made by said hash function generator in said receiver based on data in said policy file.

10. The method of claim 9 further comprising comparing hash values generated by said hash function to expected hash values.

11. The method of claim 10 wherein said expected hash values are stored in at least one of said MA and said manufacturer section of said policy file.  
5

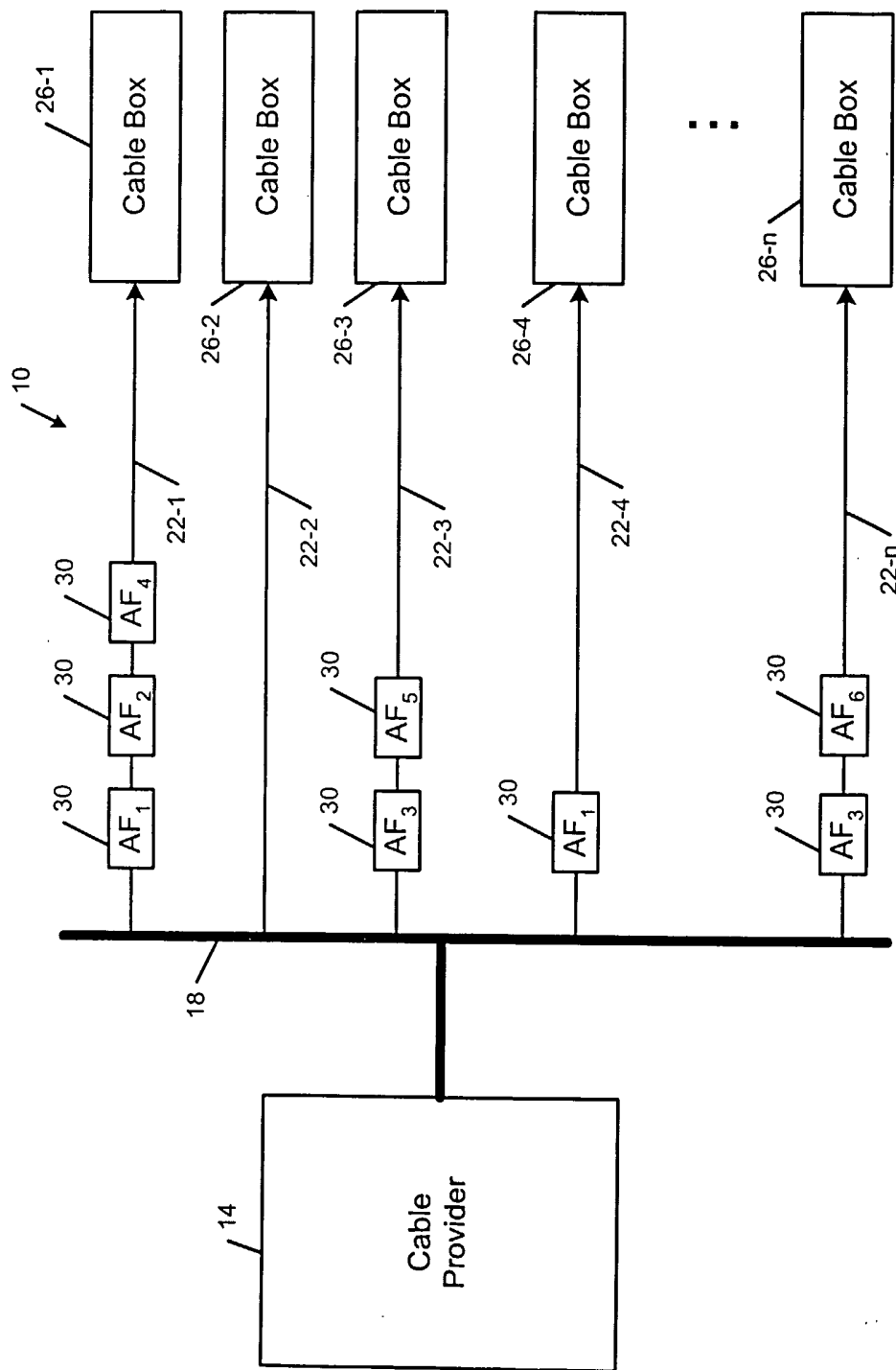
12. The method of claim 9 further comprising transmitting a hash function selector to said hash function generator of said receiver based on said manufacturer section of said policy file.

13. The method of claim 12 further comprising transmitting a data selector to said hash function generator of said receiver based on said manufacturer section of said policy file.  
10

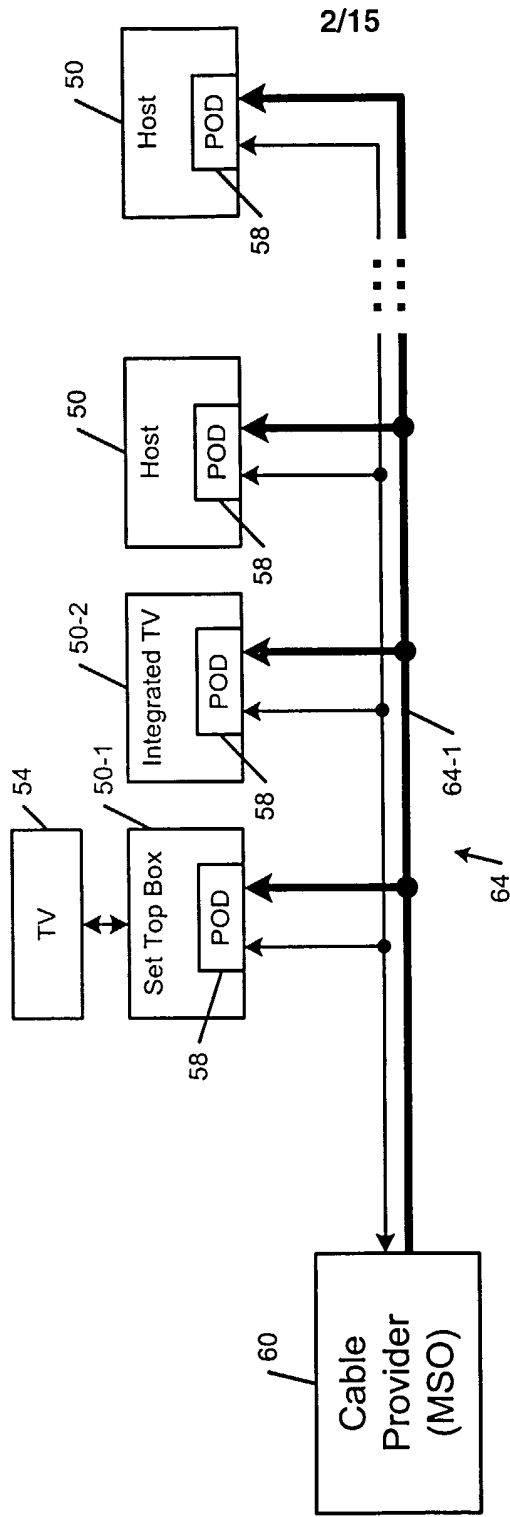
14. The method of claim 13 further comprising using said hash function selector to select a hash function that is to be executed by a receiver of said host.

15. The method of claim 14 further comprising using said data selector to select memory blocks in receiver memory for said selected hash function.  
15

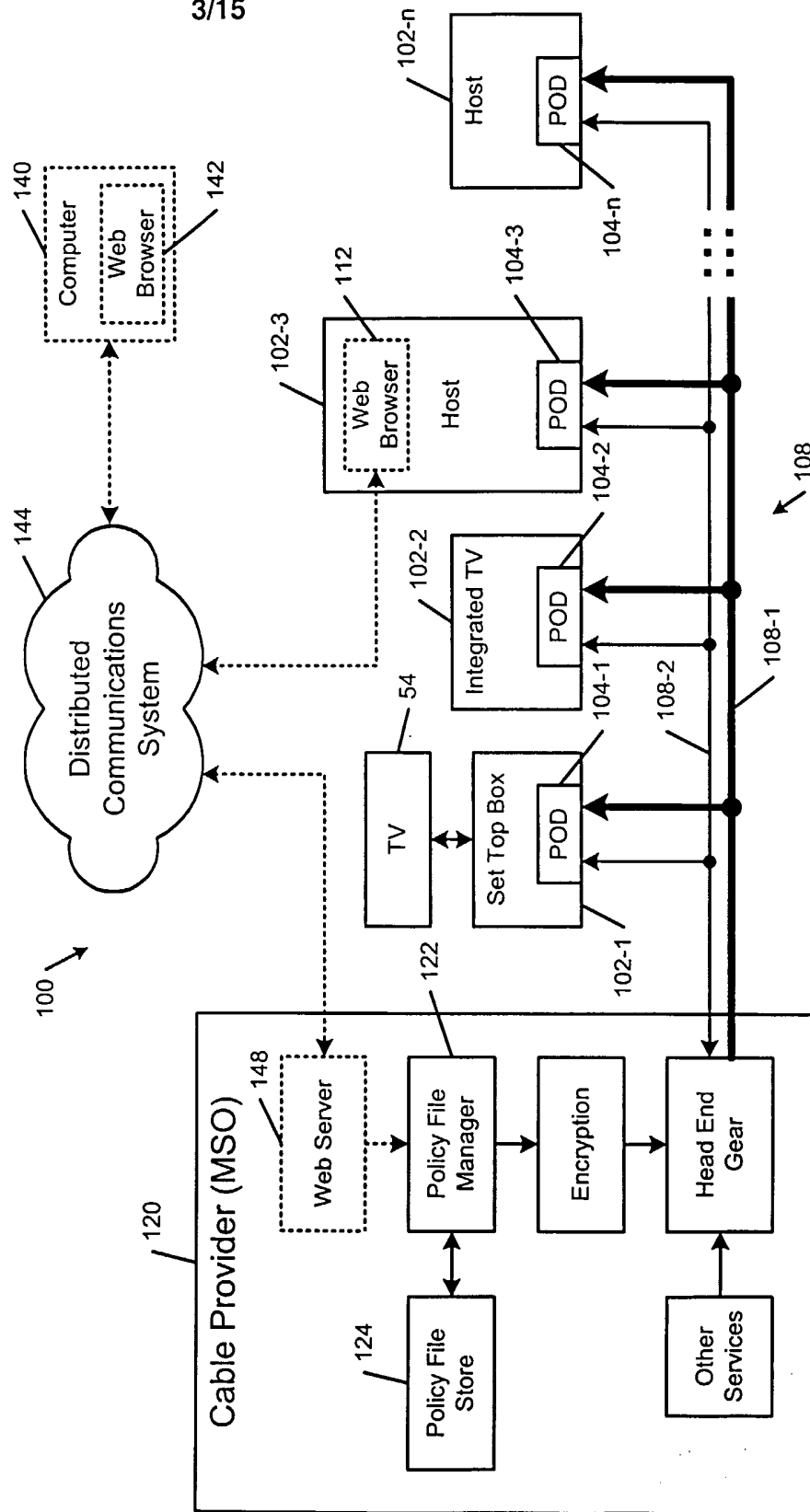
16. The method of claim 10 further comprising storing said expected hash value in a table format with a corresponding data selector and hash function selector in said manufacturer section of said policy file.



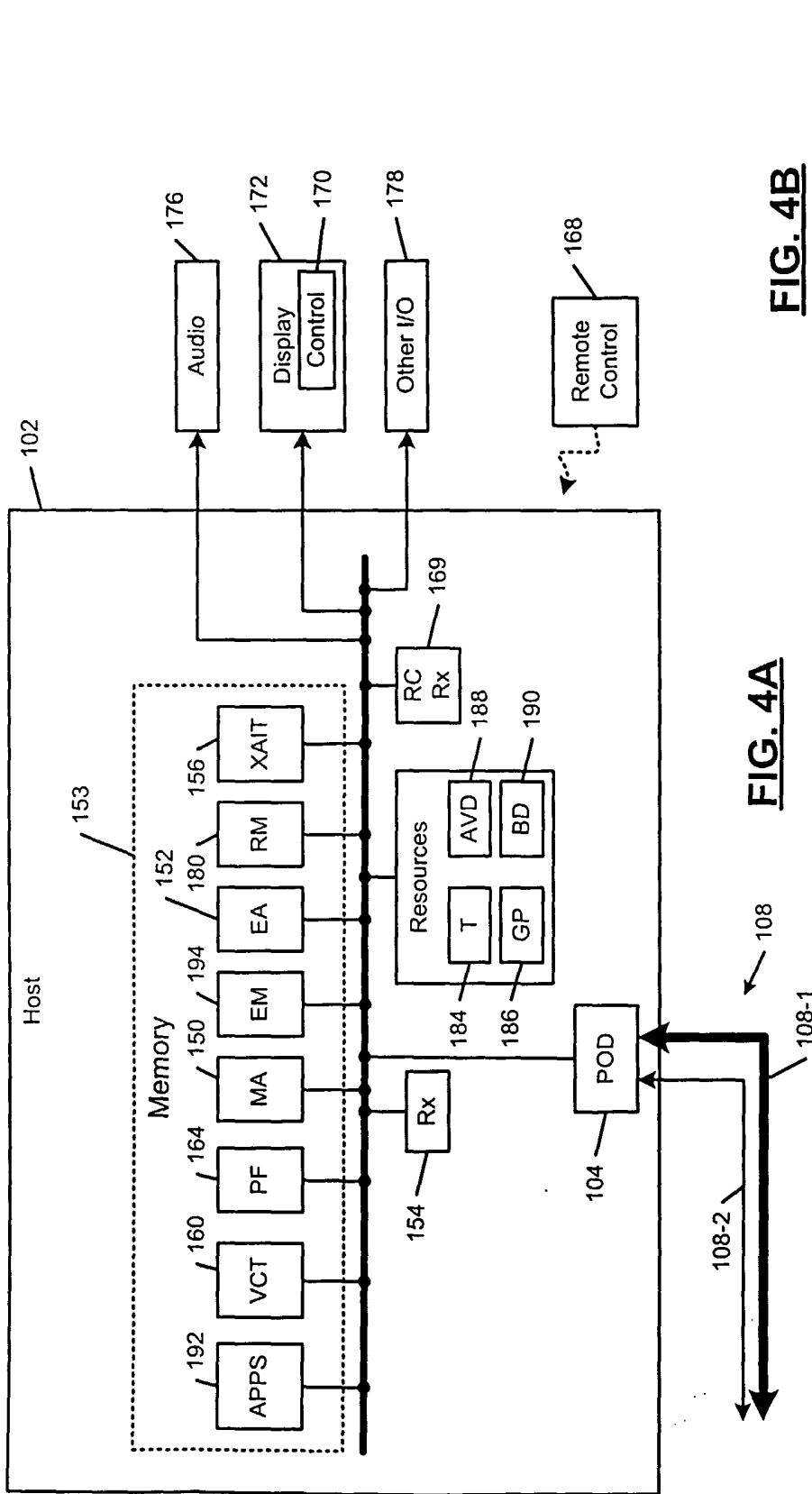
**FIG. 1**  
Prior Art



**FIG. 2**  
**Prior Art**

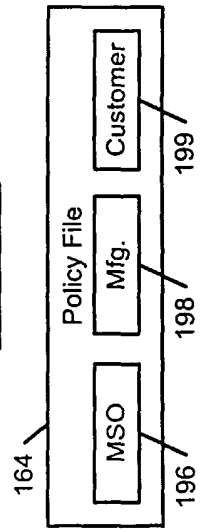


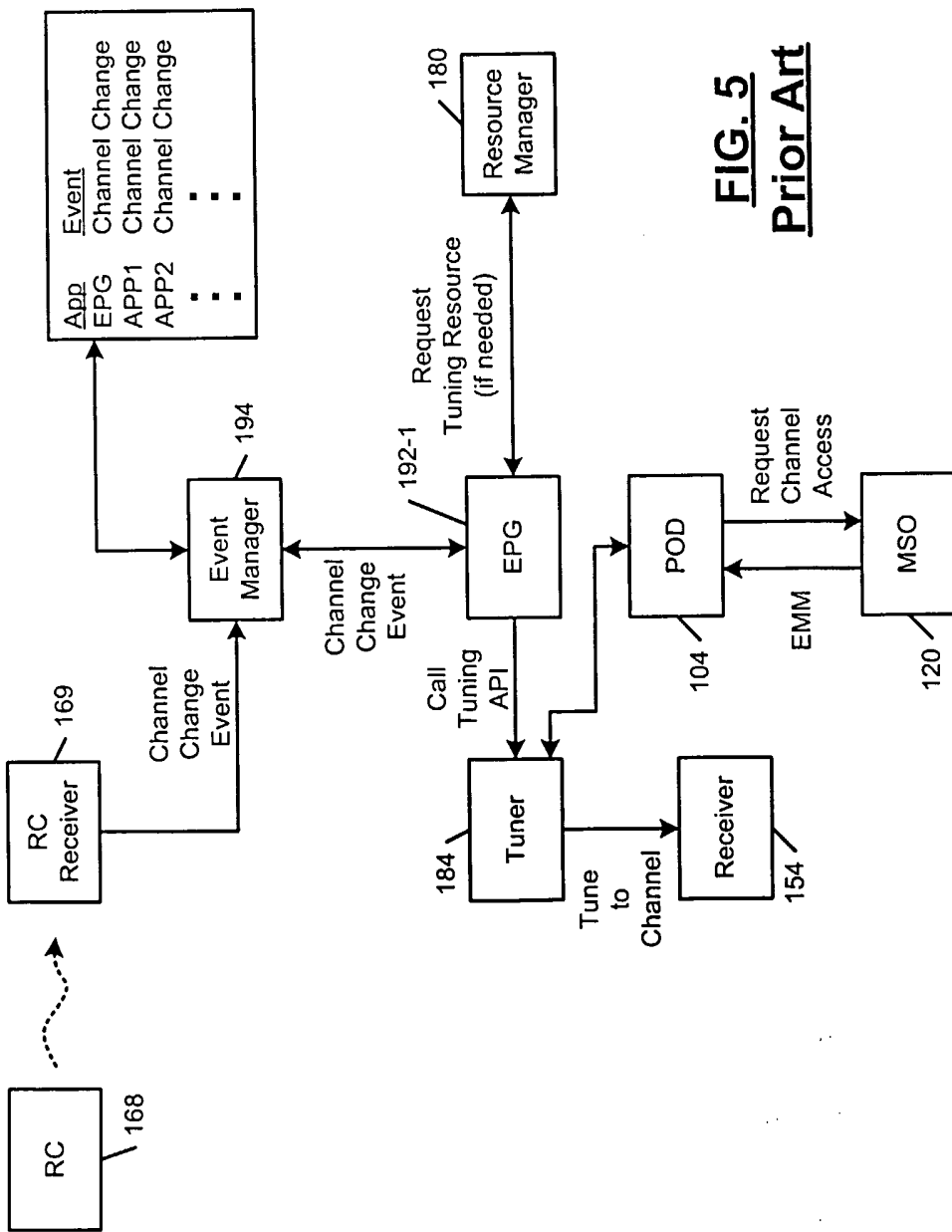
**FIG. 3**



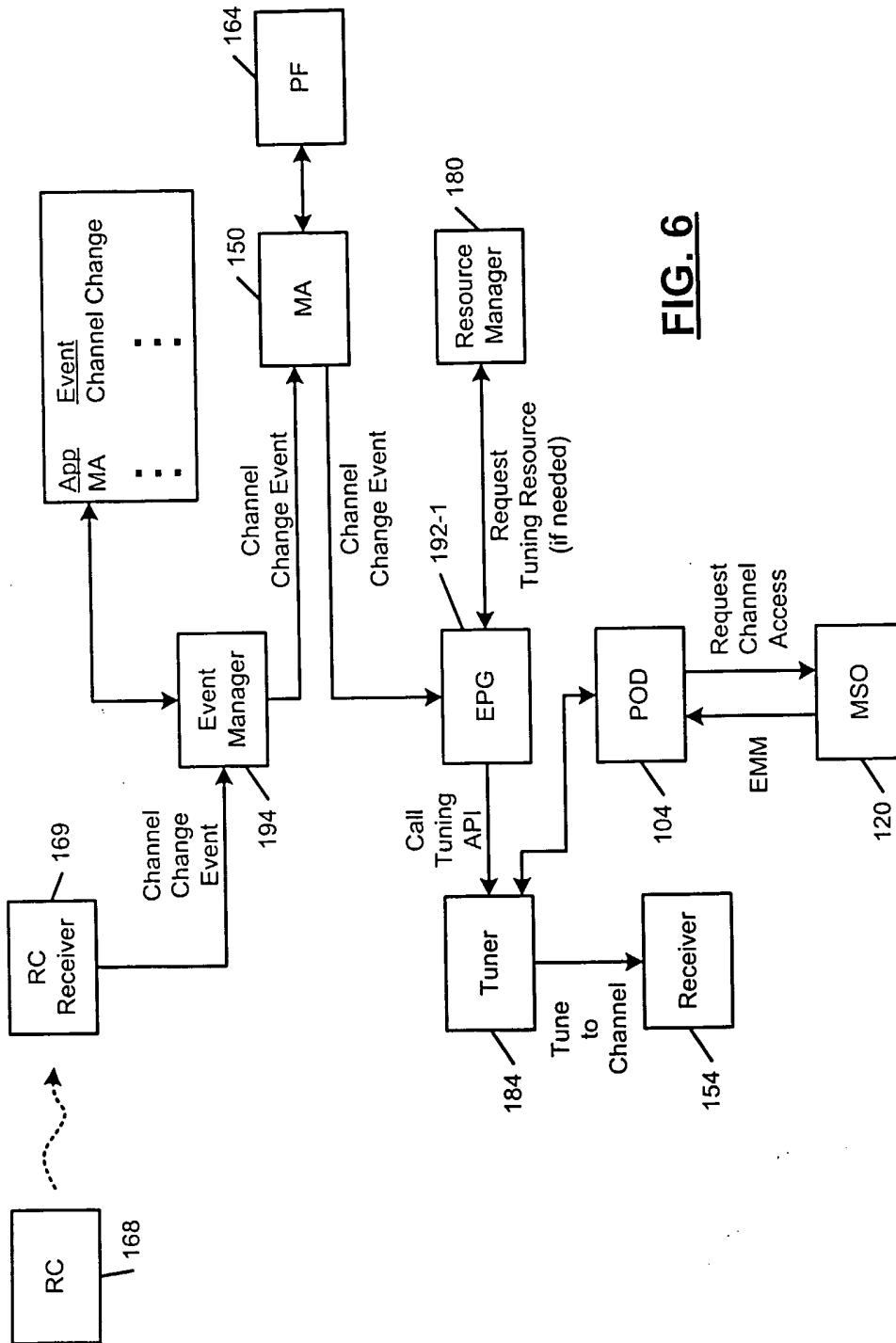
**FIG. 4A**

**FIG. 4B**

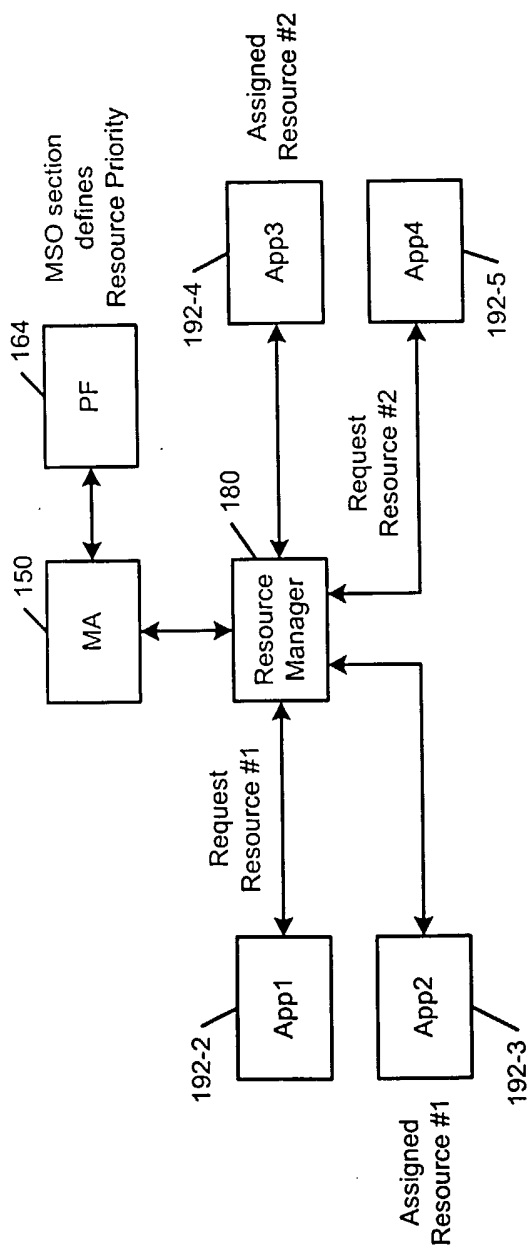




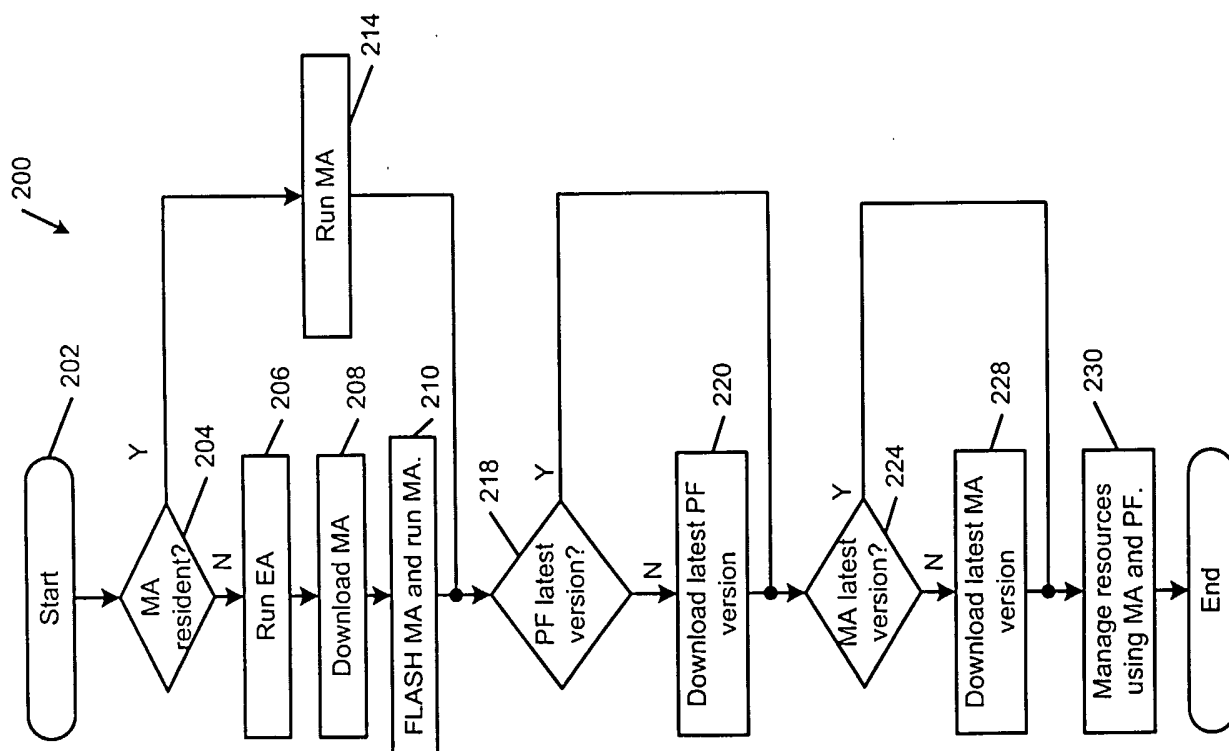
**FIG. 5**  
**Prior Art**



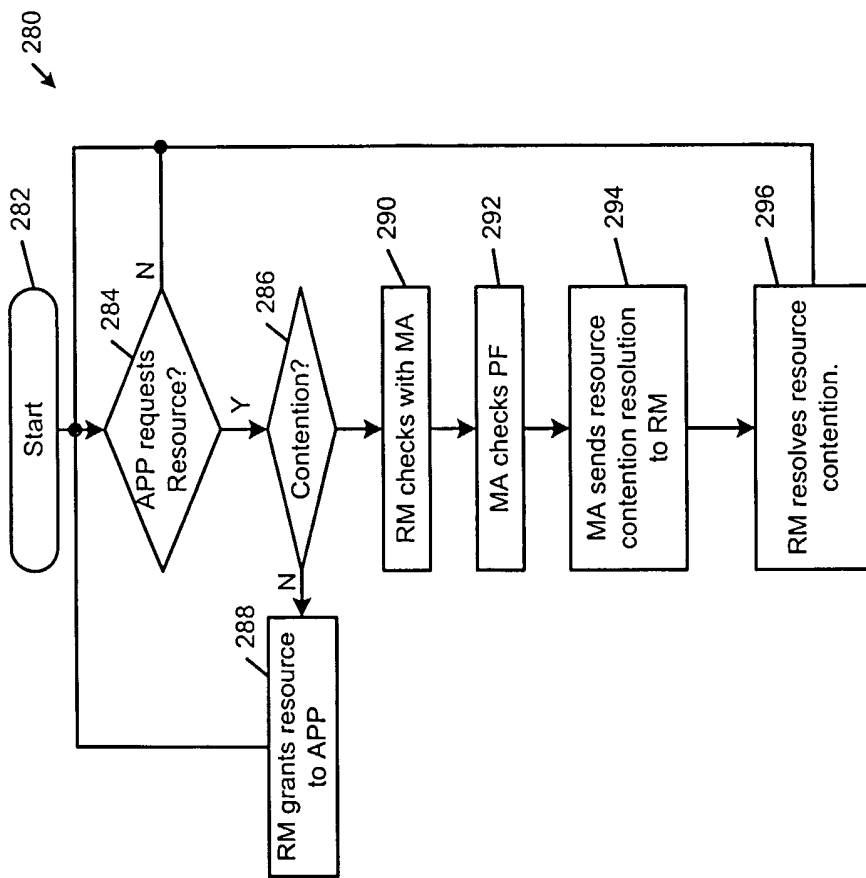
**FIG. 6**



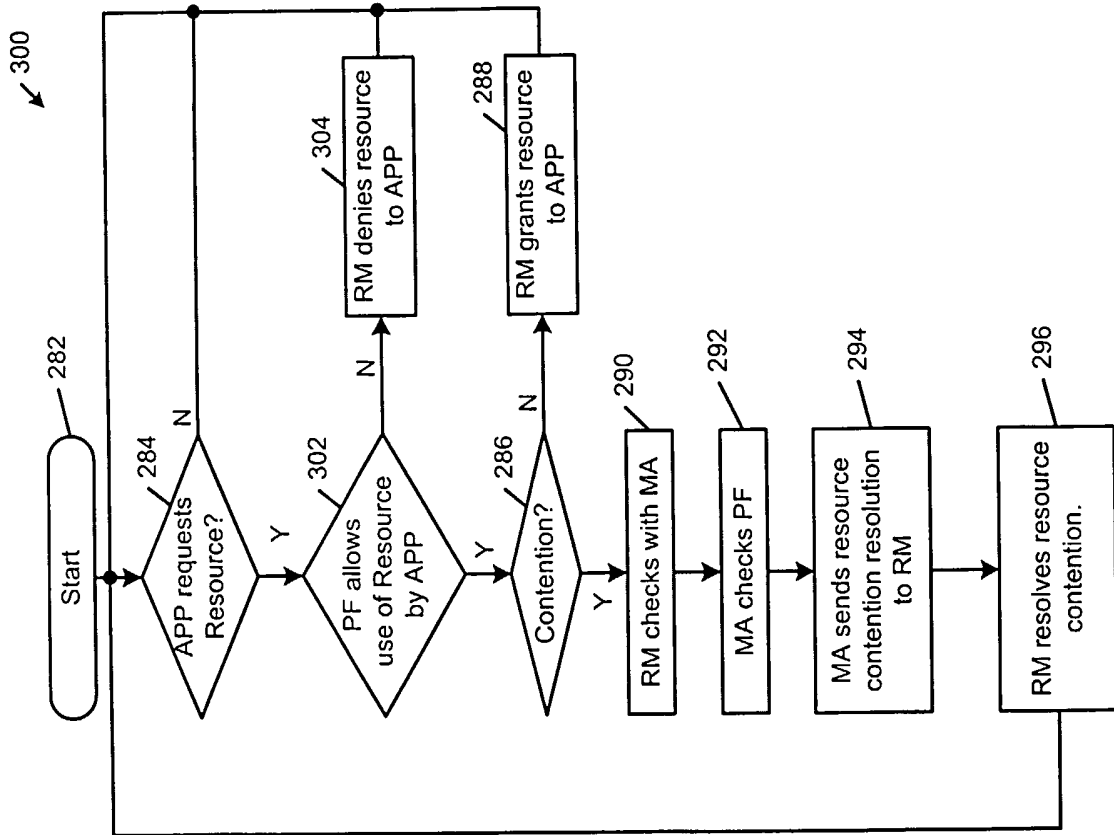
**FIG. 7**



**FIG. 8**



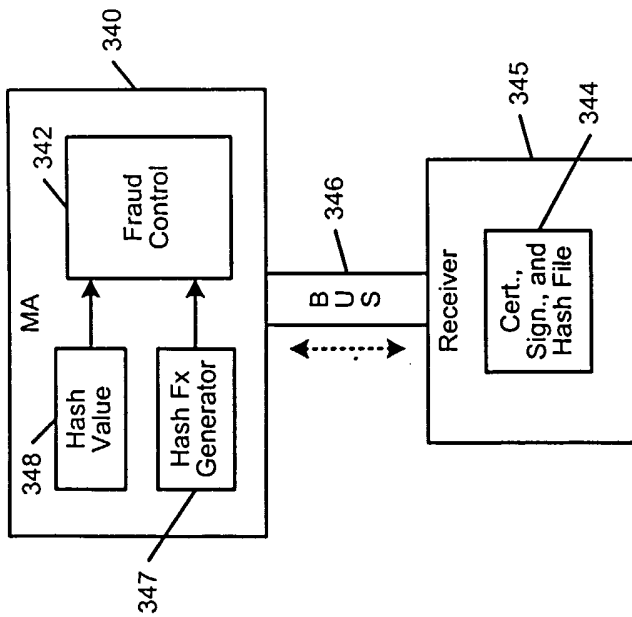
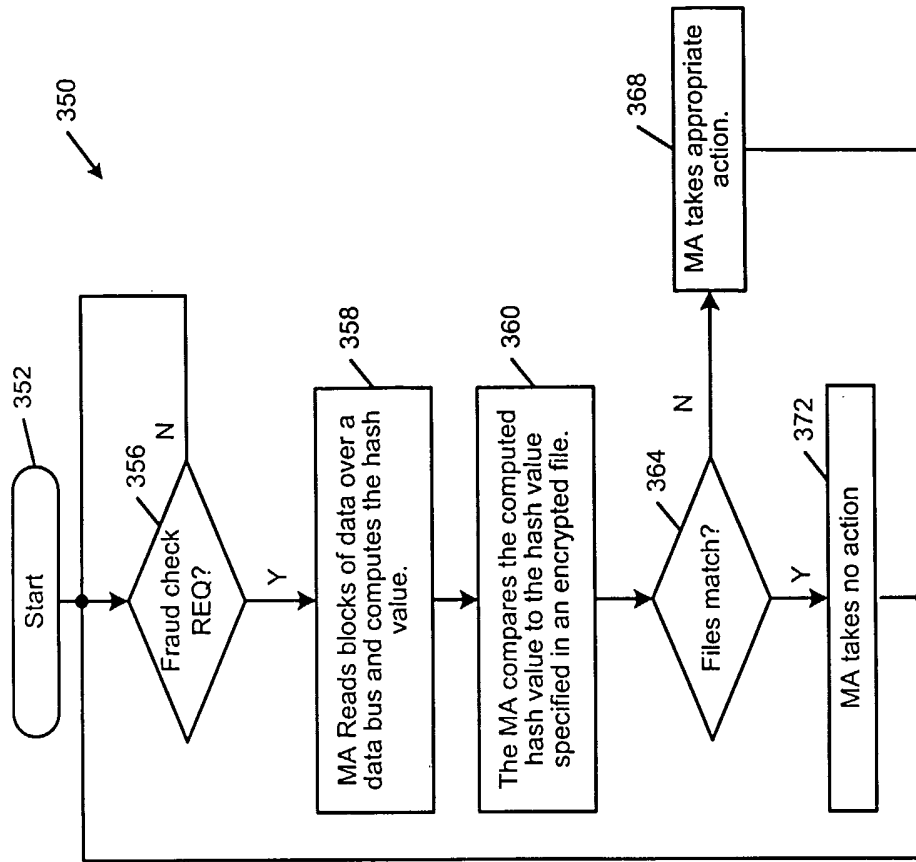
**FIG. 9A**



**FIG. 9B**

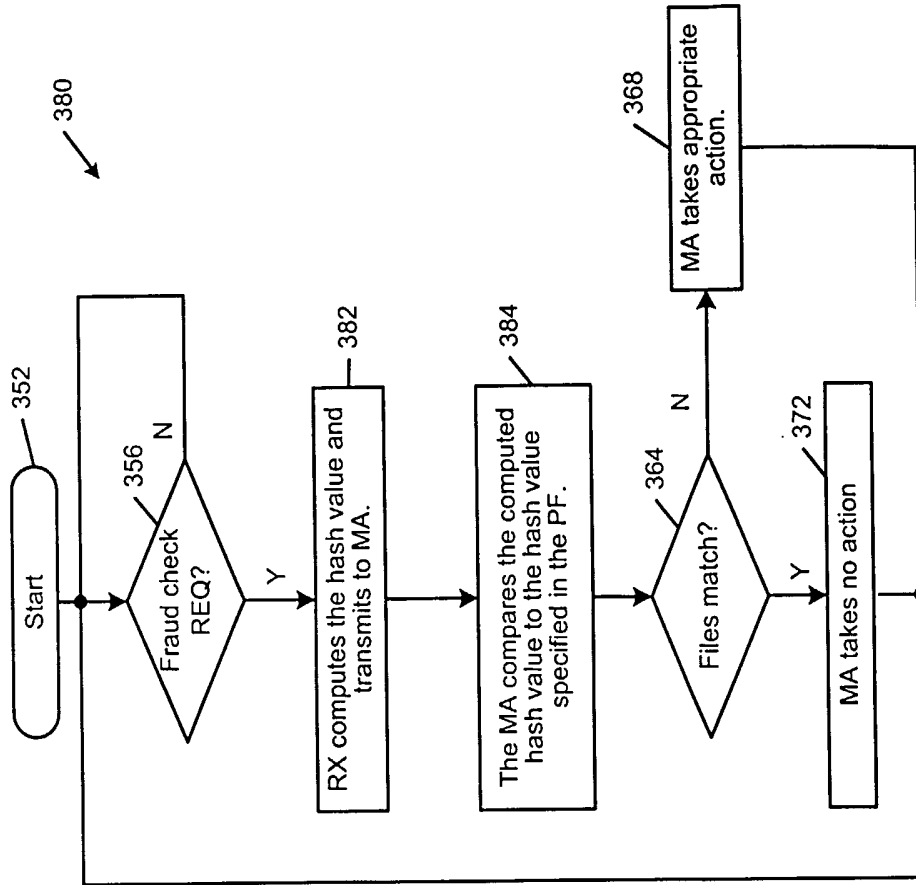
	STB <sub>1</sub>		STB <sub>2</sub>		STB <sub>2</sub>	
	MSO	Customer	MSO	Customer	MSO	Customer
Basic Channels	Always	Always	Always	6A-8P	Always	6A-8P
Premium 1	Always	Always	Always	Never	Always	6A-8P, and G, PG only
Premium 2	Always	Always	Always	Never	Always	Never
Premium 3	Always	Always	Always	6A-8P	Always	6A-8P and G, PG only
Games	Always	Always	Always	5P-6P; No V	Always	5P-8P

**FIG. 10**

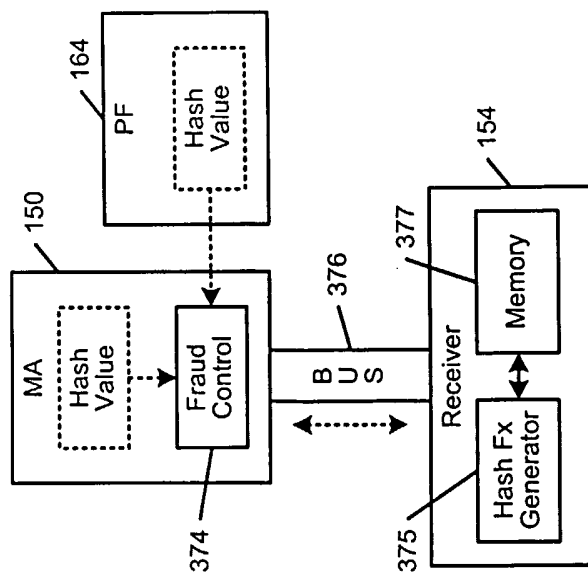


**FIG. 11A**  
Prior Art

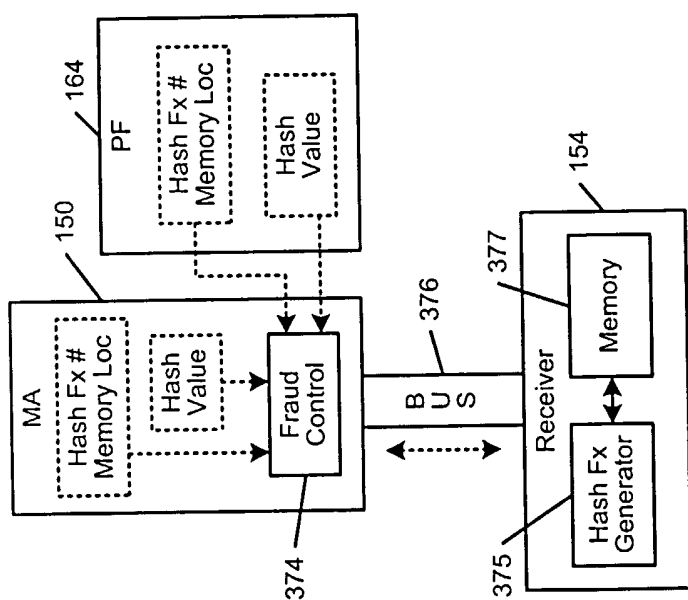
**FIG. 11B**  
Prior Art



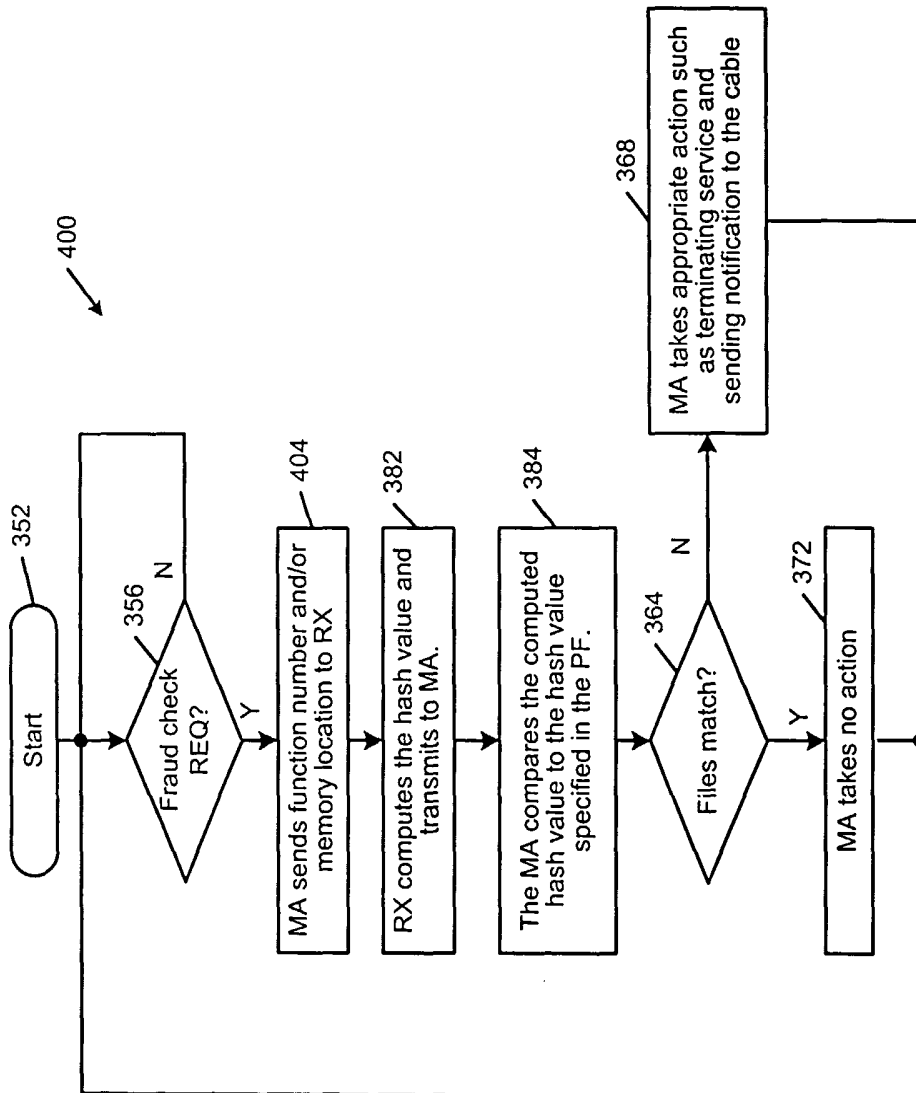
**FIG. 12B**



**FIG. 12A**



**FIG. 13A**



**FIG. 13B**

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US03/35704

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC(7) : H04N 7/167  
 US CL : 380/232  
 According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**  
 Minimum documentation searched (classification system followed by classification symbols)  
 U.S. : 380/232; 308/231-233; 455/410, 411; 705/52

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 Please See Continuation Sheet

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y, P	US 2003/0018445 A1 (VINCE et al) 23 January 2003, see entire document.	1,2,3,4,5,6,7,8,9-11, 12-16
Y	US 2002/0138554 A1 (FEIGEN et al) 26 September 2002, see entire document.	1,2,3,4,5,6,7,8,9-11,12-16
Y	US 5,651,069 A (ROGAWAY) 22 July 1997, see entire document.	2, 3,4, 5,6,12-15
Y	US 2002/0116527 A1 (CHEN et al) 22 August 2002, see entire document.	3, 12-15
Y, P	US 2003/0084298 A1 (MESSERGES et al) 01 May 2003, see entire document.	6,16
Y, P	US 2003/0097380 A1 (MULHERN et al) 22 May 2003, see entire document.	9-11, 12-16
A	US 5,625,693 A (ROHATGI et al) 29 April 1997, see entire document.	1-16
A, P	US 2003/0133572 A1 (FISH et al) 17 July 2003, see entire document.	1-16
A, P	US 2003/0135369 A1 (STOIMENOV et al) 17 July 2003, see entire document.	1-16

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search: 30 April 2004 (30.04.2004)  
 Date of mailing of the international search report: 19 MAY 2004

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*Karen S. Ward*

**INTERNATIONAL SEARCH REPORT**

PCT/US03/35704

**Continuation of B. FIELDS SEARCHED Item 3:**  
EAST  
USPAT; USPG PUB; EPO; JPO; DERWENT