



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G11B 20/10	A1	(11) International Publication Number: WO 96/33491 (43) International Publication Date: 24 October 1996 (24.10.96)
(21) International Application Number: PCT/US95/04664 (22) International Filing Date: 20 April 1995 (20.04.95) (71) Applicant (for all designated States except US): SEAGATE TECHNOLOGY, INC. [US/US]; 930 Disc Drive, Scotts Valley, CA 95067-0360 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): TSANG, Kinhang, P. [US/US]; 10310 28th Avenue North, Plymouth, MN 55441 (US). KOST, Robert, E. [US/US]; 4858 Coffax Avenue South, Minneapolis, MN 55409 (US). BURNS, Kenneth, R. [CA/CA]; 5631 Hyland Greens Drive, Bloomington, MN 55437 (US). (74) Agent: CHASIN, Lawrence, C.; Kinney & Lange, P.A., Suite 1500, 625 Fourth Avenue South, Minneapolis, MN 55415-1659 (US).		(81) Designated States: JP, KR, SG, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: BRANCH METRIC COMPENSATION FOR DIGITAL SEQUENCE DETECTION		
(57) Abstract		
<p>The present invention provides sequence detection which takes into account amplitude and/or time distortions caused by neighboring magnetization regions on the magnetic medium, wherein the distortions in one magnetization region are caused by the closeness of neighboring magnetization transitions on one or both sides thereof. The sequence detection according to the present invention provides an extended state diagram to include the effects of leading and/or trailing magnetization transitions. More particularly, it has been found that accounting for the effects of trailing transitions requires an increase in the number of states S0-S7 in the state diagram used to form the Viterbi detector (60). Leading transitions are neutralized by increasing the number of branches (AA-HB, AAO-HB1) between states in the Viterbi detector (60). Increasing the number of branches (AA-HB, AAO-HB1) instead of states keeps the complexity low and thus saves hardware and associated costs.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

-1-

**BRANCH METRIC COMPENSATION FOR DIGITAL
SEQUENCE DETECTION**

BACKGROUND OF THE INVENTION

5 The present invention relates in general to information storage and retrieval systems, and more particularly, to a method and apparatus for providing branch metric compensation for sequence detection in partial response channels in a digital magnetic recording system.

10 In digital magnetic recording systems, data is recorded in a moving magnetic media layer by a storage, or "write" electrical current-to-magnetic field transducer, or "head", positioned immediately adjacent thereto. The data is stored or written to the magnetic media by switching the direction of flow of a substantially constant magnitude write current which flows through windings in the write transducer. Each write current direction transition results in a reversal of the magnetization direction in that portion of the magnetic media just passing by the transducer during current flow in the new direction, with respect to the magnetization direction in the media induced by the previous current flow in the opposite direction. In one scheme, a magnetization direction reversal over a portion of the media moving past the transducer represents a binary digit "1", and the lack of any reversal in that portion represents a binary digit "0".

15 When data is to be recovered, a retrieval, or "read" magnetic field- to-voltage transducer, (which may be the same as the write transducer if both are inductive) is positioned to have the magnetic media, containing previously stored data, pass thereby such that flux reversal regions in that media either induce, or change a circuit parameter to provide a voltage pulse to form an output read signal for that transducer. In the scheme described above, each such voltage pulse due to the magnetization in corresponding media portions represents a binary digit "1" and the absence of a pulse in correspondence with such portions represents a binary digit "0".

-2-

In digital magnetic recording systems using peak detection of such voltage pulses as the data recovery method to digitize the read signal, the times between voltage pulses are used to reconstruct the timing information used in recording the data previously stored in the magnetic media to define the path portions described above. More specifically, the output of such a peak detector is used as an input signal to a phase-locked loop forming a controlled oscillator, or phase-lock oscillator (PLO), or synchronizer, which produces an output clock signal from the positions of the detected peaks of the read signal. Absolute time is not used in operating the data retrieval system since the speed of the magnetic media varies over time which results in nonuniform time intervals between read signal voltage pulses.

A data encoding scheme known as run-length-limited (RLL) coding is commonly used to improve the PLO's reconstructed clock signal accuracy based on avoiding drift in the frequency thereof because of too much time between voltage read signal pulses. When RLL code is employed, the time durations between read signal voltage pulse transitions is bounded, that is, the number of binary digits of value "0" that can separate binary digits of value "1" in the read signal is limited. This constraint is known overall as a (d, k) constraint where the individual constraint "d" represents the minimum run length of zeros, or the number thereof between ones, while the individual constraint "k" represents the maximum run length of zeros permitted. The "d" portion of the constraint can be chosen so as to avoid crowding of voltage pulses in the read signals which can reduce intersymbol interference problems in which portions of read signal voltage pulses overlap. By limiting the number of consecutive zeros, the "k" constraint maintains the reliability of the PLO in providing an accurate clock signal for the retrieval system. An automatic gain control (AGC) system is

-3-

used to maintain signal amplitude for the PR4 channel, and the "k" restraint also maintains the reliability of the AGC.

In digital magnetic recording systems employing partial response (PR) signaling, which involves the acceptance of intersymbol interference, data recovery is achieved by periodically sampling the amplitude of the read transducer output signal, as initiated by clock pulses of the PLO, to digitize that signal. In this scheme, each clock pulse of the PLO initiates a sample which has a value contributed to it by more than one pulse in the transducer read signal. Accordingly, a partial response detection system for a PR channel is designed to accommodate the effects of such intersymbol interference, and therefore the "d" constraint may not be necessary (i.e. $d=0$). The "k" constraint is still necessary in PR signalling because the PLO is still used to provide timing for sampling the read signal, and because the AGC is used to maintain sample amplitude in connection with the PR channel.

A Class 4 PR channel, which is typically the selected frequency response chosen for the signal channel through which the read signal passes prior to detection thereof, is particularly suitable for magnetic recording with typical pulse characteristics because the channel requires very little equalization to achieve an overall match of this Class 4 response. In a Class 4 PR channel for typical pulse characteristics, signal samples are independent of their immediately neighboring samples, but are dependent on samples 2 clock samples away. The read samples are submitted to a Viterbi detector which generates the data that most likely produces the sample values. More particularly, the clock captures digital sample values using an analog-to-digital converter (ADC) where each sample value may be the summing result of more than one pulse read from the magnetic media. These samples are transformed by signal processing techniques to match

-4-

certain target values. It is based on these transformed samples that the Viterbi detector or other sequence detector recovers the data.

Once a particular signalling scheme is chosen, the structure of the Viterbi detector is configured according to a state diagram for the signalling scheme. A state diagram in the form of a trellis is particularly suitable for a Viterbi detector since it incorporates the time element. An output/input relation is associated with each branch of the trellis. The target value based on an input for a particular branch of the trellis is known as the metric for that branch. A two state Viterbi decoder fits each of the time indexed sample values at the channel output with two allowable channel output sequences. One allowable output sequence minimizes the sum of squared errors over all possible noiseless output sequences ending in a first state of the trellis at time k. The other allowable output sequence minimizes the sum of squared errors over all possible noiseless output sequences ending in a second state of the trellis at time k. The Viterbi detector keeps track of the minimum cumulative branch metric for each state through the trellis over a predetermined time period for determining the path which best fits the sample data to the target values. A complete description of partial response channels, coding techniques and Viterbi detection is available, for example, in "Modulation and Coding for Information Storage" by Paul Siegel and Jack Wolf. IEEE Communications Magazine, December 1991, at pages 68-86.

As mentioned above, partial response signalling is to equalize a voltage pulse read from the magnetic media to a certain target value and apply linear superposition to a combination of pulses in order to record more in a given area on the magnetic disc. Each target value of the read back signal from the disc is the linear sum of pulses considered in the signalling scheme. However, one of the problems in high density recording, such as in a partial response signaling, is that the read back waveform is not

-5-

merely the linear sum of pulses. A magnetic transition on the media may be nonlinearly effected by adjacent transitions in both the write and the read processes so that the read back waveform is distorted. Since the amplitude and location of a read back pulse may be nonlinearly distorted by any nearby magnetic transitions, it is desirable to provide a detection scheme which takes into account and corrects for such magnetic distortions.

SUMMARY OF THE INVENTION

The present invention provides a sequence detection scheme which takes into account amplitude and/or time and/or other magnetic distortions caused by neighboring magnetization regions on the magnetic medium, wherein the distortions in one magnetization region are caused by the closeness of neighboring magnetization transitions on one or both sides thereof. The detection scheme according to the present invention provides an extended state diagram to include the effects of leading (past) and/or trailing (future) magnetization transitions. More particularly, it has been found that accounting for the effects of trailing transitions requires an increase in the number of states in the state diagram used to form the Viterbi detector. Leading transitions are neutralized by increasing the number of branches between states in the Viterbi detector. Increasing the number of branches instead of states keeps the complexity low and thus saves hardware and associated costs.

According to one embodiment, the data sequence detector according to the present invention is employed for a Class 4 partial response channel for use in a digital magnetic recording and read-back system. In such a system the sequence detector recovers an estimated sequence of bits of binary data $[a_1, \dots, a_k, \dots, a_n]$ which most likely corresponds to an original sequence of bits of binary data $[A_1, \dots, A_k, \dots, A_n]$. The original sequence is precoded into a write sequence of bits of binary data $[B_1, \dots, B_k, \dots, B_n]$ which are represented by magnetization regions on a magnetic medium. The

-6-

estimated sequence of bits of binary data is recovered from a waveform read back from the magnetic medium and transformed into a digital waveform $[X_1, \dots, X_k, \dots, X_n]$.

5 To account for the amplitude and/or time distortions in one magnetization region which are caused by the closeness of neighboring magnetization transitions, the data sequence detector is configured according to a predetermined trellis model having eight (8) current states identified by bits (B_{k-2}, B_{k-1}, B_k) of the write sequence, eight (8) next states identified by bits (B_{k-1}, B_k, B_{k+1}) of the write sequence, at least two (2) branches diverging
10 from each current state, at least two (2) branches merging into each next state, a target value Y_k for each branch of the trellis for use in a branch metric calculation for selecting one of a pair of the branches, and at least two (2) bits of the estimated sequence associated as an output for each state of the trellis.

15 To account for the amplitude and/or time distortions in one magnetization region which are caused by the closeness of neighboring magnetization transitions, the data sequence detector is configured according to a predetermined trellis model as mentioned above, modified such that there is provided four (4) branches diverging from each current state, four
20 (4) branches merging into each next state, an additional bit B_{k-3} of the write sequence identifying one of a pair of the four branches, and three (3) bits of the estimated sequence associated as an output for each state.

-7-

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A is a block diagram of a digital magnetic recording system employing a Viterbi detector.

5 Figure 1B is a block diagram of a prior art precoder for use in the block diagram of Figure 1A.

Figure 2A is a predetermined state transition diagram in table form for use in a Viterbi detector in a Class 4 partial response channel with a $1-D^2$ precoder as known in the prior art.

10 Figure 2B is a trellis diagram of the state transition table of Figure 2A.

Figure 3A is a predetermined state transition diagram in table form for use in a Viterbi detector in a Class 4 partial response channel with a $1-D^2$ precoder, in accordance with the principles of the present invention.

15 Figure 3B is a trellis diagram of the state transition table of Figure 3A.

Figures 4A - 4D are a predetermined state transition diagram in table form for use in a Viterbi detector in a Class 4 partial response channel with a $1-D^2$ precoder, in accordance with the principles of the present invention.

20 Figure 4E is a trellis diagram of the state transition table of Figures 4A - 4D.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Overview

25 Figure 1A is a block diagram of a magnetic recording storage and retrieval system which is generally indicated at 10. The magnetic storage and retrieval system 10 includes a magnetic disc media 12 for storing user data in the form of a sequence of binary data bits. write operation circuitry for writing the sequence of binary data bits to the magnetic disc

-8-

media 12, and read operation circuitry for reading back the sequence of binary data bits from the magnetic disc media 12.

The Write Operation

The write operation circuitry includes a run-length-limited (RLL) encoder 20, a precoder 24, a write compensation circuit 30, and a write transducer 34. For the write operation, incoming user data in the form of binary data bits is input into the RLL encoder 20. The output of the RLL encoder 20 is input into the precoder 24. The output of the precoder 24 is connected to the write compensation circuit 30. The output of the write compensation 30 then drives the write transducer 34 for writing data onto the magnetic disc media 12.

More particularly, the encoder 20 performs a one-to-one mapping of the unconstrained user data sequence to a constrained sequence, denoted $[A_1, \dots, A_k, \dots, A_n]$. In other words, the output of the encoder 20 is a binary data sequence which has the number of consecutive binary digits "0" bounded so that the sequence $[A_1, \dots, A_k, \dots, A_n]$ satisfies the (d, k) constraints mentioned above. The (d, k) constraints guarantee that the time duration between pulses of the waveform read back from the magnetic disc media 12 is limited so that the phase lock loop (PLO) maintains the clock accuracy and so that the automatic gain control (AGC) keeps the signal amplitude stable for the data retrieval (read) operation.

The sequence $[A_1, \dots, A_k, \dots, A_n]$ is then passed through the precoder 24 which generates a write waveform sequence $[B_1, \dots, B_k, \dots, B_n]$, which has a simple and direct mathematical relation to the sequence $[A_1, \dots, A_k, \dots, A_n]$. The write sequence $[B_1, \dots, B_k, \dots, B_n]$ provides a two-level write current which means that transition sequences of "01" or "10" in adjacent B_k cause the write current to reverse direction. The exact timing of reversals of the write current is further modified by the write-compensation circuit 30 in order to shape the pulses read back from the magnetic disc media 12 to

-9-

occur at a time which better fits the particular detection scheme being employed. The write compensation circuit 30 uses delay elements to adjust the reversal time of the write current depending on how far away the previous and upcoming transitions are with respect to each other, as is well
5 known to those skilled in the art. Write current from the compensation circuit 30 then flows to an inductive coil winding of the write head transducer 34 to magnetize the magnetic media 12 accordingly.

The Read operation

The read operation circuitry includes a read transducer 36
10 (which may be the same as the write transducer 34 if both are inductive), an equalizer circuit 38, a Viterbi detector 60, and an RLL decoder 70. The read transducer 36 is connected to the equalizer circuit 38. The output of the equalizer circuit 38 is input into the Viterbi Detector 60. The output of the Viterbi detector 60 is then input into the RLL decoder 70 for returning
15 the user data.

The equalizer 38 includes a voltage gain amplifier (VGA) 40, an analog filter 42, an analog-to-digital converter (ADC) 44, a phase lock loop (PLO) 46, a VGA/PLO control 48, and a digital filter 50. The output of the read transducer 36 is connected to the input of the VGA 40. The
20 output of the VGA 40 is connected to the input of the analog filter 42. The output of the analog filter 42 is connected to the input of the ADC 44. The output of the ADC 44 is connected to the input of the digital filter 50. The output of the digital filter 50 is then connected to the input of the Viterbi detector 60. The input of the VGA/PLO control 48 is taken from the
25 output of the ADC 44. The output of the VGA/PLO control 48 controls the VGA 40 and the PLO 46. The output of the PLO 46 clocks the ADC 44, digital filter 50, Viterbi detector 60, and RLL decoder 70.

The read or data retrieval operation begins with the read head transducer 36 producing a voltage pulse signal. The voltage pulse signal is

-10-

induced in the read head transducer 36 by the magnetic flux on the magnetic disc media 12 which results from transition regions on the magnetic media 12. The equalizer circuit 38 equalizes the voltage signal to a Class 4 partial response channel. The voltage signal is then regulated by the voltage gain amplifier (VGA) 40 which maintains the amplitude of the read back signal. The analog filter 42 then removes high frequency noise from the read back signal. The filtered analog signal is converted into a digital value by the ADC 44 which is latched at the rising edge of a read clock signal. These digital sample values in turn control the VGA/PLO control 48 and the PLO 46 which generates the read clock signal accordingly. The equalization of the read back waveform is well known to those skilled in the art.

The digital sample values from the ADC 44 are shifted into the digital filter 50 which is configured in the form of a transversal filter. In the transversal digital filter 50, each digital sample from the ADC 44 is summed with a preceding sample which has been weighted and a trailing sample which has been weighted. The weights in the digital filter 50 are designed to transform the digital samples of the read back sequence to match certain target values within the detection scheme. The output sample values of the digital filter 50, denoted $[X_1, \dots, X_k, \dots, X_n]$, is then shifted into the Viterbi detector 60 for detection of a sequence $[a_1, \dots, a_k, \dots, a_n]$ which best fits the read back signal sample values $[X_1, \dots, X_k, \dots, X_n]$. The Viterbi detector 60 thereby identifies the original sequence $[A_1, \dots, A_k, \dots, A_n]$ with as high a degree of certainty as possible.

The Viterbi detector 60 is modeled from a state diagram. In the state diagram a current state at time k (represented by symbols B_{k-2} and B_{k-1} of the write sequence $[B_1, \dots, B_k, \dots, B_n]$) and a target input, Y_k (used in making comparisons to a sample X_k of the read back signal sample values $[X_1, \dots, X_k, \dots, X_n]$), are linked to an output (represented by a_k of the estimated sequence $[a_1, \dots, a_k, \dots, a_n]$) and a next state (represented by

-11-

symbols B_{k-1} and B_k of the write sequence $[B_1, \dots, B_k, \dots, B_n]$). The state diagram and table are expressed in the form of a trellis within the Viterbi detector 60 for determining the most probable state transition for each time period k . The path between a current state and a next state within the trellis is called a branch. Each branch has associated therewith the target input value Y_k corresponding to a probable bit of the read back sample values $[X_1, \dots, X_k, \dots, X_n]$. Along each branch of the trellis, a sample value X_k of the read back sequence is compared to the metric target value Y_k for that branch. This comparison is repeated for all branches merging into a next state for a given time period k . The trellis branch having the minimum sum of the square of the difference between each X_k and Y_k , i.e. $(X_k - Y_k)^2$ plus the cumulative sum of the error squared of the state from which the branch emerged, thereby measuring the "closeness" of the sample X_k to the branch metric target value Y_k , is determined to be a winner (survivor) at time period k . By using the minimum cumulative metric sum of the concatenating branches leading to each state for each time k , the Viterbi detector 60 maps a surviving path through the trellis. This surviving path yields the data sequence $[a_1, \dots, a_k, \dots, a_n]$ that best matches with the filtered read back sample values $[X_1, \dots, X_k, \dots, X_n]$. In other words, the Viterbi detector 60 finds an estimated sequence $[a_1, \dots, a_k, \dots, a_n]$ which most likely produced the read-sampled sequence $[X_1, \dots, X_k, \dots, X_n]$ and which therefore is the best possible representation of the original sequence $[A_1, \dots, A_k, \dots, A_n]$.

The estimated sequence $[a_1, \dots, a_k, \dots, a_n]$ is then shifted into the RLL decoder 70 which performs a reverse mapping of the RLL sequence to recover the user data, as is well known to those skilled in the art.

According to the present invention, the state diagram used to construct the Viterbi detector 60 is now extended to map through the trellis

-12-

structure a leading (past) voltage pulse transition of the read back waveform sample values $[X_1, \dots, X_k, \dots, X_n]$ and a trailing (future) voltage pulse transition of the read back waveform sample values $[X_1, \dots, X_k, \dots, X_n]$. The present invention thereby increases the choices of Y_k according to different pattern cases. In other words, instead of keeping track of only one (1) state transition of the read back waveform sample values $[X_1, \dots, X_k, \dots, X_n]$ at a time through the Viterbi detector 60, the present invention provides for also following a leading pulse transition and a trailing pulse transition. By looking at these additional samples the Viterbi detector 60 is able to make a better match to each sample X_k of the read back waveform sample values $[X_1, \dots, X_k, \dots, X_n]$. The additional branches and their corresponding branch metrics more accurately represent the read back waveform by neutralizing the distortion in the time and amplitude for each voltage pulse transition which is caused by nonlinear magnetic interaction of a leading or trailing pulse to the portion of the read back waveform being mapped in the trellis. A Class 4 partial response (PR4) channel is used as an example to illustrate the branch metric compensation contemplated by the present invention. Nevertheless, this branch metric compensation method is applicable to other classes of partial response and adaptable to other types of sequence detection.

Prior Art Viterbi Detector

Before looking at the branch metric compensation for the leading transition pulse and trailing transition pulse, it is helpful to look at the prior art state transition table and trellis for a PR4 channel as shown in Figures 2A and 2B. The PR4 channel is also known as a $(1-D^2)$ channel since it is described by the partial response polynomial $1-D^2$. The factor D signifies a delay of "i" sample-clock time units between bits of the write sequence $[B_1, \dots, B_k, \dots, B_n]$. Figure 1B shows the precoder 24' for such a system. The precoder 24' translates each bit A_k of the original binary

-13-

sequence $[A_1, \dots, A_k, \dots, A_n]$ into a corresponding bit B_k of the write sequence $[B_1, \dots, B_k, \dots, B_n]$, where:

$$A_k = (B_{k-2}) \text{ XOR } (B_k). \quad [1.a]$$

For purposes of encoding and decoding the write sequence $[B_1, \dots, B_k, \dots, B_n]$,
 5 each B_k is expressed as follows:

$$B_k = (B_{k-2}) \text{ XOR } (A_k). \quad [1.b]$$

Thus, in the prior art precoder 24' for a PR4 channel there are only two delayed versions of B_k which are denoted B_{k-1} and B_{k-2} which are delayed versions of B_k by one and two clock periods, respectively. The content of registers B_{k-2} and B_{k-1} changes at each clock cycle and thus
 10 represents the current state of the cycle. Accordingly, there are four (4) possible values for the current state represented by bits " $B_{k-2}B_{k-1}$ ". These possible values are "00", "01", "10" and "11" and they are represented in Figures 2A and 2B by the four (4) states S0, S1, S2, S3, respectively. The next state for each current state is represented by the bits " $B_{k-1}B_k$ " which are
 15 again represented by the same four states S0, S1, S2 and S3. In other words, a current boundary region on the magnetic media is represented as being between bits B_{k-2} and B_{k-1} and the next boundary region on the magnetic media is represented as being between bits B_{k-1} and B_k . The target value Y_k for each input of X_k (which is then related to A_k by equation [1.a]) is
 20 obtained by applying the polynomial $1-D^2$ to B_k , so that:

$$Y_k = B_k - B_{k-2}. \quad [2]$$

Figure 2A shows a list of all possible B_k sequences for three consecutive time periods, a current state, a next state, a target value Y_k , the
 25 output value A_k , and a branch A-H. The list of all possible B_k sequences is denoted in columns B_{k-2} , B_{k-1} , and B_k and each sequence is designated by one of the branches A - H. In addition, for each possible $B_{k-2}B_{k-1}B_k$ sequence the current state is designated S0 - S3 (where each state is represented by bits " $B_{k-2}B_{k-1}$ ") and the next state is designated S0 - S3

-14-

(where each state is represented by bits " $B_{k-1}B_k$ "). The next state and output are determined by branch metric calculations based upon the list of all possible inputs of A_k for each state and their corresponding target values Y_k as mentioned above. In Figure 2A, Y_k is associated with two transitions: " $B_{k-2}B_{k-1}$ " and $B_{k-1}B_k$."

Figure 2B shows the table of Figure 2A in trellis form, wherein the expression for the metric and output relationship of each branch (A-H) is Y_k/A_k . There are two branches diverging from each current state and two branches merging at each next state. The fact that there are only two merging branches per state means that each state will only have to compare two metric sums and select one survivor at each clock cycle. Figures 2A and 2B represent the well known state diagram and trellis used in a prior art Viterbi detector for a PR4 channel.

Viterbi Detector Accounting For Effect of Trailing Transition Pulse

To account for the effect of the trailing (future) transition pulse according to the present invention, it is necessary to consider the transition between bits B_k and B_{k+1} (using the scheme discussed above with regard to Figures 2A and 2B) for the entire write sequence [$B_1, \dots, B_k, \dots, B_n$]. Accordingly, as shown in Figure 3A, new columns A_{k+1} and B_{k+1} are added to the state transition table where:

$$B_{k+1} = (B_{k-1}) \text{ XOR } (A_{k+1}) \quad [3.a]$$

or:

$$A_{k+1} = (B_{k-1}) \text{ XOR } (B_{k+1}) \quad [3.b]$$

To keep the number of diverging or merging branches at two per state, the number of states is doubled from four (S0-S3) in Figure 2A to eight (S0-S7) in Figure 3A. The current state is represented by the three binary digits " $B_{k-2}B_{k-1}B_k$ " and the next state is represented by the three binary digits " $B_{k-1}B_kB_{k+1}$ ". In this embodiment the precoder 24 (see Figure 1B) is used to provide B_{k-2} and B_{k-1} as described above.

-15-

Referring to Figures 3A and 3B, at each clock cycle a state must select a merging branch that yields the minimum cumulative metric sum for the next state. Limiting the merging branches to two (2) per state helps maintain the branch selection time to within one cycle. Assuming
 5 linear superposition of the pulses, the Y_k column is again obtained by applying equation [2] above. As the number of states (S0-S7) is doubled, the total number of branches (AA-HA) is also doubled, when compared to the prior art state diagram shown in Figures 2A and 2B. In this arrangement, Y_k is associated with three transitions: " $B_{k-2} B_{k-1}$ ", " $B_{k-1} B_k$ ", " $B_k B_{k+1}$ ". In an
 10 ideal environment where there is no distortion, the possible noiseless values of Y_k are -1, 0, +1 as shown in the Figures. By choosing the appropriate statistical average of actual measured values of Y_k for each branch, the non-linear distortion of the pulses can be corrected. Thus, the state transition and trellis in Figures 3A and 3B provide a scheme for a more
 15 accurate and improved Viterbi detector 60 compared to that in Figures 2A and 2B, because the effects of a possible trailing transition pulse on the current transition region is now considered.

Viterbi Detector Accounting For Effect of Leading Transition Pulse

To account for the effect of the leading (past) transition pulse
 20 according to the present invention, it is necessary to consider the transition between bits B_{k-3} and B_{k-2} (using the scheme discussed above with regard to Figures 2A, 2B, 3A and 3B) for the entire write sequence $[B_1, \dots, B_k, \dots, B_n]$. Accordingly, as shown in Figures 4A - 4D, new columns A_{k-1} and B_{k-3} are added to the state transition table shown in Figure 3A, where:

$$25 \quad B_{k-3} = (B_{k-1}) \text{ XOR } (A_{k-1}). \quad [4.a]$$

or:

$$A_{k-1} = (B_{k-1}) \text{ XOR } (B_{k-3}). \quad [4.b]$$

Figure 4E shows the state diagram in trellis form for the table in Figures 4A - 4D. While it would seem logical that an additional 8 states

-16-

are necessary to track the addition of the leading transition pulse, the number of states is kept at eight (8), as in Figures 3A and 3B, while the number of merging branches to each state is increased. This is made possible because the branch metrics associated with the leading (past) transition pulse have already been measured at an earlier time period.

5 The sample precoder 24 is used here but the state diagram has to consider three (3) delayed versions of B_k which are denoted B_{k-1} , B_{k-2} , and B_{k-3} which are delayed versions of B_k by one, two and three clock periods, respectively.

Even more, while the number of merging branches is now apparently increased to four (4) per state, branches between any two particular states are in two pairs and the selection process for each pair is further simplified according to the present invention. Information bit A_{k-1} reflects the previous selected output for each state as determined at the previous clock cycle. Since the information bit A_{k-1} has already been determined, it is possible to determine whether Branch "***0" or Branch "***1" should be used in determining the minimum cumulative branch metric for each of the two branch pairs between any two states. More particularly, it is noted that in the last column of the table in Figures 4A - 4D, a Branch having a label ending in '--0' corresponds to " $A_{k-1} = 0$ " and a Branch having label ending in '--1' corresponds to " $A_{k-1} = 1$ ". Therefore, for a branch pair going from state "m" to state "n", bit A_{k-1} of state 'm' is used as a select signal to determine which of the two branches (metrics) should be used. This shortcut technique essentially keeps the compare and select process within the Viterbi detector 60 at two branches per state and preserves the process time.

10
15
20
25

The Y_k column in Figures 4A - 4D is again the linear superposition of $(B_k - B_{k-2})$ as indicated in equation [2] above. In this arrangement, Y_k is associated with four transitions: " $B_{k-3} B_{k-2}$ ", " $B_{k-2} B_{k-1}$ ", " $B_{k-1} B_{k-1}$ ", and " $B_k B_{k+1}$ ". In recording systems where the read back pulses interact

-17-

nonlinearly, the resultant read sample values $[X_1, \dots, X_k, \dots, X_n]$ will deviate from the Y_k targets. To find the suitable target values for such a recording system, a predetermined pseudo random pattern including all possible branches is written to the magnetic media 12. Samples are taken and a
5 statistical average for each Y_k is determined for each branch. These new target values are then used in the Viterbi detector 60 as described above.

In sum, by utilizing a state transition table which takes into account the adjacent leading and/or trailing transition regions about the current magnetization region, the Viterbi detector 60 accounts for and
10 remedies the nonlinear distortion in amplitude and/or time which occurs on the magnetic media 12. A significant improvement in the error rate has been observed (approximately 2 orders of magnitude) in a test system employing the present invention as described above. It is to be understood that the branch metric compensation method presented here is a general
15 procedure. The method can be applied to other popular signaling schemes such as the extended PR4 systems that have partial response polynomials of the form $(1-D)(1+D)^n$, where "n" is a positive integer greater than 1. It should also be noted that, in practice, the full-blown state diagram of branch metric compensation can usually be simplified. For example, some branch
20 pairs may show very small differences so that they may be considered as one single branch. Such simplifications are generally system and channel dependent so they should be implemented according to the individual situation.

Although the present invention has been described with
25 reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

-18-

WHAT IS CLAIMED IS:

1. A data sequence detector for use in a digital magnetic recording and read-back system for recovering an estimated sequence of bits of binary data $[a_1, \dots, a_k, \dots, a_n]$ most likely corresponding to an original
5 sequence of bits of binary data $[A_1, \dots, A_k, \dots, A_n]$, wherein the original sequence is precoded into a write sequence of bits of binary data $[B_1, \dots, B_k, \dots, B_n]$ which are represented by magnetization regions on a magnetic medium, wherein the estimated sequence of bits of binary data is recovered from a waveform read back from the magnetic medium and transformed into
10 a sequence of waveform sample values $[X_1, \dots, X_k, \dots, X_n]$, the sequence detector being configured according to a predetermined state machine model having a number of states which account for distortions caused by a magnetization transition on the adjacent magnetization region on the magnetic medium.
- 15 2. The data sequence detector of claim 1. utilizing a Class 4 partial response channel having a $1-D^2$ partial response polynomial such that a branch metric, M , is determined by the equation: $M = (X_k - Y_k)^2$, wherein $Y_k = B_k - B_{k-2}$.
- 20 3. The data sequence detector of claim 1, wherein the magnetization transition includes a trailing magnetization transition and a leading magnetization transition.
4. The data sequence detector of claim 1, wherein the number of states is 8.
- 25 5. The data sequence detector of claim 4, wherein each state has associated therewith four diverging branches leading to next states and four merging branches for use in determining a cumulative minimum branch metric.
6. The data sequence detector of claim 1, configured according to a predetermined state machine model having more than two (2) branches

between states which account for amplitude and/or time distortion caused by a leading magnetization transition on the adjacent magnetization region on the magnetic medium.

7. The data sequence detector of claim 6, wherein the number of states is 8 and the number of branches is 4 per state.

8. In a digital magnetic recording and read-back system, an improved Viterbi-type sequence detector configured according to a predetermined state machine model and having a number of states which account for distortions caused by a magnetization transition on an adjacent magnetization region on a magnetic medium.

9. The improved Viterbi-type sequence detector of claim 8, wherein the magnetization transition is a trailing magnetization transition, and wherein the predetermined state machine model further has a number of branches which account for distortions caused by a leading magnetization transition on the adjacent magnetization region on the magnetic medium.

10. The improved Viterbi-type sequence detector of claim 9, wherein the number of states is 8 and the number of branches is 4 per state.

11. In a digital magnetic recording and read-back system, a method for recovering an estimated sequence of bits of binary data $[a_1, \dots, a_k, \dots, a_n]$ most likely corresponding to an original sequence of bits of binary data $[A_1, \dots, A_k, \dots, A_n]$, wherein the original sequence is precoded into a write sequence of bits of binary data $[B_1, \dots, B_k, \dots, B_n]$ which are represented by magnetization regions on a magnetic medium, the method comprising:

constructing an analog waveform from the magnetization regions on a magnetic medium;

transforming the analog waveform into digital waveform sample values $[X_1, \dots, X_k, \dots, X_n]$; and

passing the digital waveform through a sequence detector configured according to a predetermined state machine

-20-

model having a number of states which account for distortions caused by a magnetization transition on an adjacent magnetization region on the magnetic medium, wherein the sequence detector outputs the estimated sequence.

5

12. The method of claim 11, wherein the original sequence is a run length limited encoded sequence, and wherein the method further comprises the step of decoding the estimated sequence.

13. The method of claim 11, wherein the magnetization transition is a trailing magnetization transition, and wherein the predetermined state machine model further has a number of branches which account for distortions caused by a leading magnetization transition on the adjacent magnetization region on the magnetic medium.

14. A data sequence detector for use in a digital magnetic recording and read-back system for recovering an estimated sequence of bits of binary data $[a_1, \dots, a_k, \dots, a_n]$ most likely corresponding to an original sequence of bits of binary data $[A_1, \dots, A_k, \dots, A_n]$, wherein the original sequence is precoded into a write sequence of bits of binary data $[B_1, \dots, B_k, \dots, B_n]$ which are represented by magnetization regions on a magnetic medium, wherein the estimated sequence of bits of binary data is recovered from a waveform read back from the magnetic medium and transformed into digital waveform sample values $[X_1, \dots, X_k, \dots, X_n]$, the data sequence detector being configured according to a predetermined trellis model comprising:

- 25
- eight (8) current states identified by bits (B_{k-2}, B_{k-1}, B_k) of the write sequence;
 - eight (8) next states identified by bits (B_{k-1}, B_k, B_{k+1}) of the write sequence;
 - at least two (2) branches diverging from each current state;

-21-

at least two (2) branches merging into each next state;
a target value Y_k for each branch of the trellis for use in a
branch metric calculation for selecting one of a pair of
the branches; and

5 at least two (2) bits of the estimated sequence associated as
an output for each state of the trellis.

15. The data sequence detector of claim 14, wherein the trellis
model comprises:

10 four (4) branches diverging from each current state, the four
branches being arranged into two pairs;

four (4) branches merging into each next state, the four
branches being arranged into two pairs;

an additional bit $B_{k,3}$ of the write sequence identifying one
branch of each of the pairs; and

15 three (3) bits of the estimated sequence associated as an
output for each state.

16. A data sequence detector for recovering a sequence of bits of
binary data from a data storage medium, wherein the sequence is the one
which most likely corresponds to an original sequence of bits of binary data
20 written to the data storage medium, wherein the sequence of bits of binary
data is recovered from a waveform read back from the data storage medium
and transformed into a digital waveform, the data sequence detector being
configured according to a predetermined trellis model comprising:

25 eight (8) current states each representing three bits of the
sequence;

eight (8) next states each representing three bits of the
sequence;

at least two (2) branches diverging from each current state;

at least two (2) branches merging into each next state;

-22-

a target value Y_k for each branch of the trellis for use in a branch metric calculation for selecting one of the merging branches; and

at least two (2) bits of the sequence associated as an output for each state of the trellis.

5

17. The data sequence detector of claim 16, wherein the trellis model comprises:

four (4) branches diverging from each current state, the four branches being arranged into two pairs;

10

four (4) branches merging into each next state, the four branches being arranged into two pairs; and

three (3) bits of the sequence associated as an output for each state.

15

18. The data sequence detector of claim 17, wherein an additional bit of the sequence is used to identify one branch of each pair of the branches to reduce the number of branch metric calculations.

20

19. An improved data sequence detector for use in recovering a data sequence from a data storage medium, the data detector being configured according to a predetermined state machine model having a number of states and more than two merging branches leading to each state.

20. The improved data detector of claim 19, wherein there are four merging branches leading to each state, wherein the four merging branches are arranged in pairs, and wherein one branch of each pair is selected based on a previously determined bit of the data sequence.

25

21. The improved data sequence detector of claim 19, wherein the predetermined state machine model has eight (8) states.

22. The improved data sequence detector of claim 19, wherein the number of states is greater than four (4).

-23-

23. The improved data sequence detector of claim 22, wherein there are eight (8) states and four (4) branches merging into **each** state.

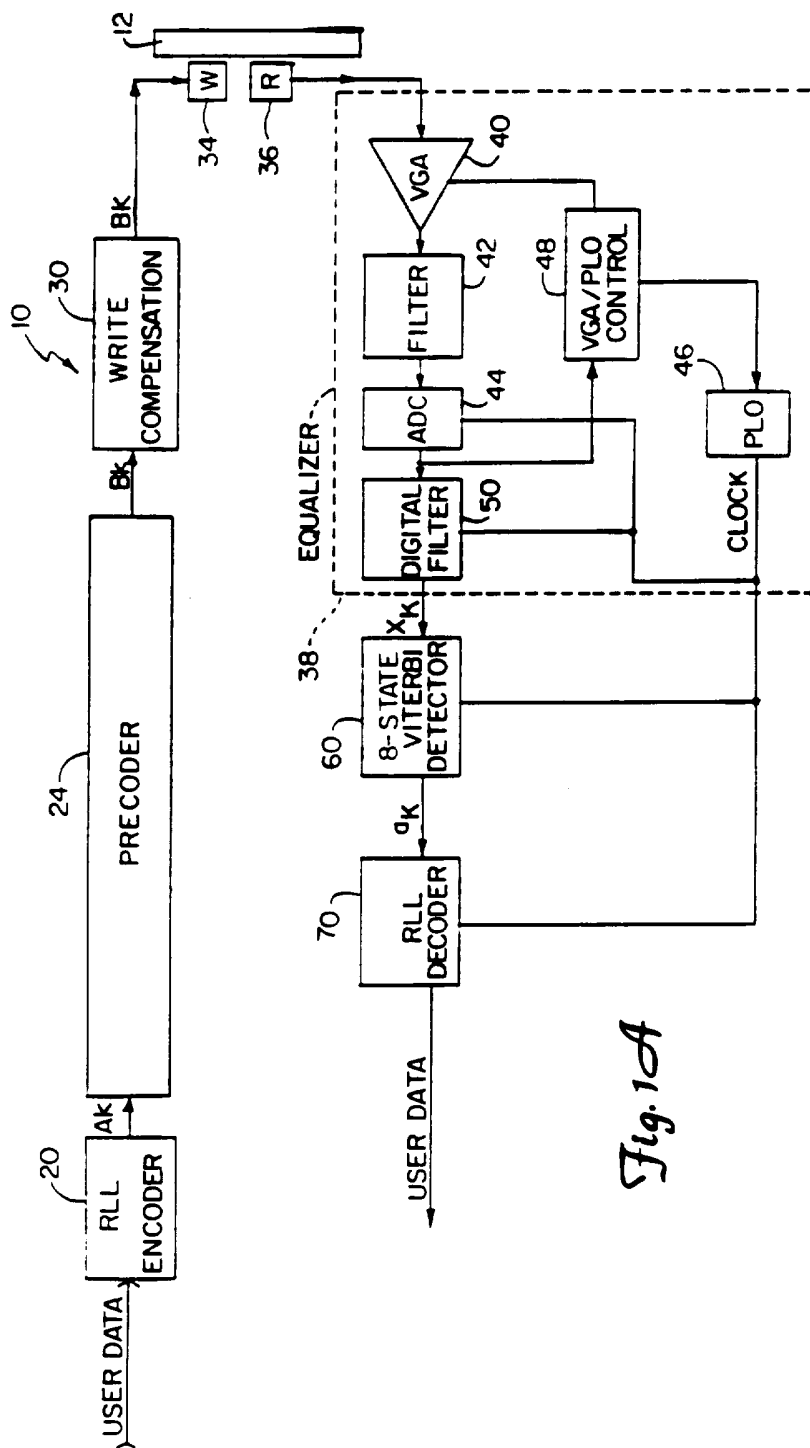


Fig. 1A

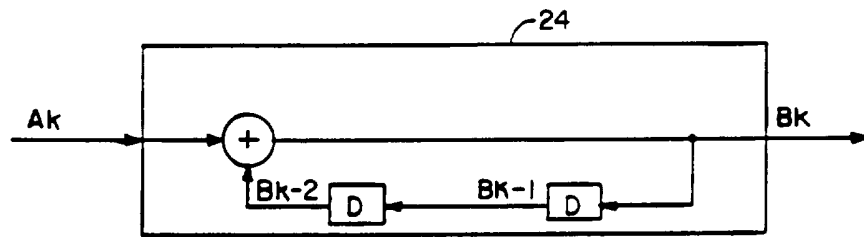


Fig. 1B

PARTIAL RESPONSE CLASS 4 WITH 1-D² PRECODER

A_k	State	B_{k-2}	B_{k-1}	B_k	Y_k	Next State	Branch
0	S0	0	0	0	0	(00) S0	A
1	S0	0	0	1	+1	(01) S1	B
0	S1	0	1	0	0	(10) S2	C
1	S1	0	1	1	+1	(11) S3	D
1	S2	1	0	0	-1	(00) S0	E
0	S2	1	0	1	0	(01) S1	F
1	S3	1	1	0	-1	(10) S2	G
0	S3	1	1	1	0	(11) S3	H

FIGURE 2A
(PRIOR ART)

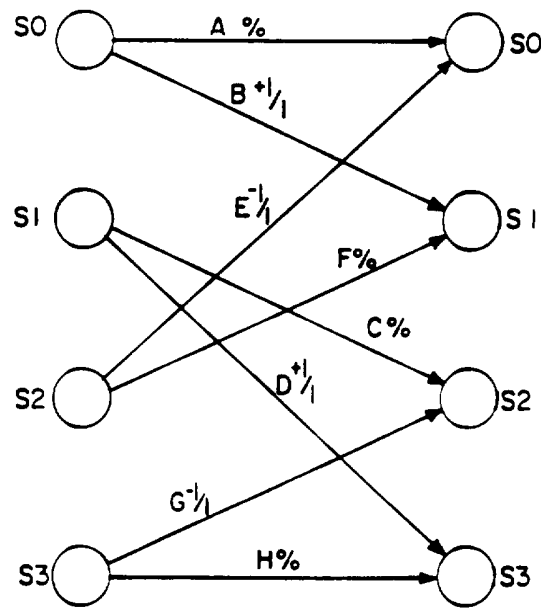


Fig. 2B

PARTIAL RESPONSE CLASS 4 WITH 1-D² PRECODER
(with the addition of one trailing symbol)

A_k	A_{k+1}	State	B_{k-2}	B_{k-1}	B_k	B_{k+1}	Y_k	Next State	Branch
0	0	S0	0	0	0	0	0	(000) S0	AA
0	1	S0	0	0	0	1	0	(001) S1	AB
1	0	S1	0	0	1	0	+1	(010) S2	BA
1	1	S1	0	0	1	1	+1	(011) S3	BB
0	1	S2	0	1	0	0	0	(100) S4	CB
0	0	S2	0	1	0	1	0	(101) S5	CA
1	1	S3	0	1	1	0	+1	(110) S6	DB
1	0	S3	0	1	1	1	+1	(111) S7	DA
1	0	S4	1	0	0	0	-1	(000) S0	EA
1	1	S4	1	0	0	1	-1	(001) S1	EB
0	0	S5	1	0	1	0	0	(010) S2	FA
0	1	S5	1	0	1	1	0	(011) S3	FB
1	1	S6	1	1	0	0	-1	(100) S4	GB
1	0	S6	1	1	0	1	-1	(101) S5	GA
0	1	S7	1	1	1	0	0	(110) S6	HB
0	0	S7	1	1	1	1	0	(111) S7	HA

FIGURE 3A

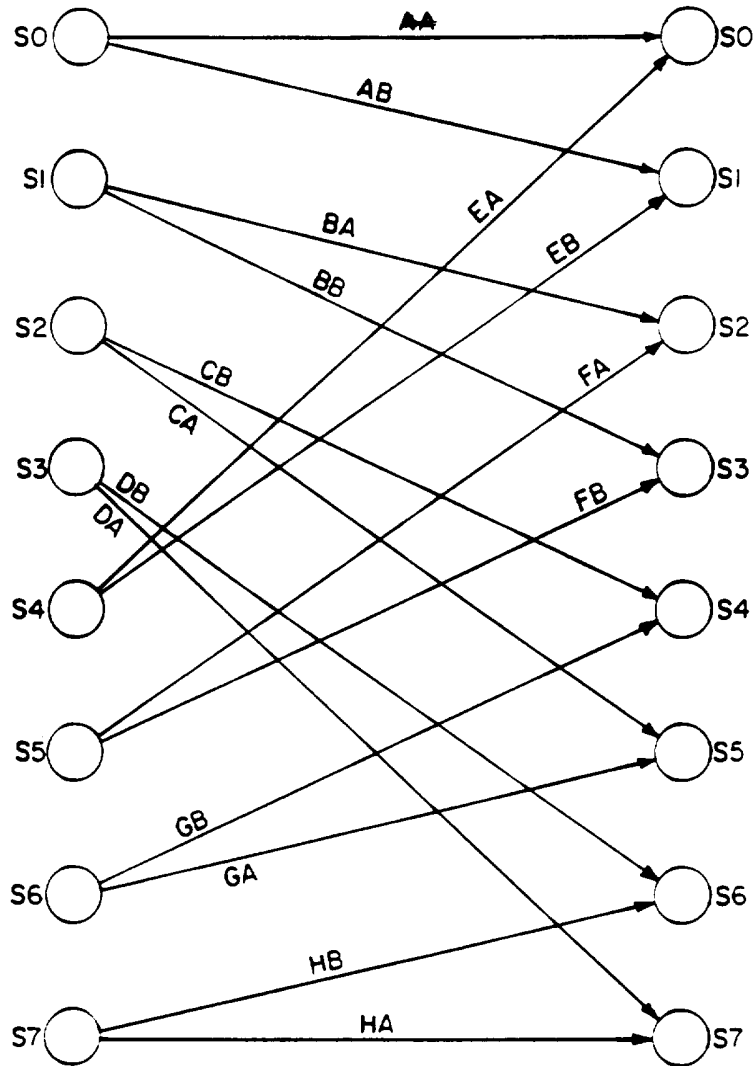


Fig 3B

PARTIAL RESPONSE CLASS 4 WITH 1-D² PRECODER
(with the addition of one leading and one trailing symbol)

Λ_k	Λ_{k+1}	State	B_{k-3}	B_{k-2}	B_{k-1}	B_k	B_{k+1}	Y_k	Next State	Branch
0	0	S0	0	0	0	0	0	0	(000) S0	AA0
1	0	S0	1	0	0	0	0	0	(000) S0	AA1
0	0	S0	0	0	0	0	1	0	(001) S1	AB0
1	0	S0	1	0	0	0	1	0	(001) S1	AB1
0	1	S1	0	0	0	1	0	+1	(010) S2	BA0
1	1	S1	1	0	0	1	0	+1	(010) S2	BA1
0	1	S1	0	0	0	1	1	+1	(011) S3	BB0
1	1	S1	1	0	0	1	1	+1	(011) S3	BB1

FIGURE 4A

Λ_k	Λ_{k+1}	State	B_{k-3}	B_{k-2}	B_{k-1}	B_k	B_{k+1}	Y_k	Next State	Branch
1	0	1	S2	0	1	0	0	0	(100) S4	CBI
0	0	1	S2	1	1	0	0	0	(100) S4	CB0
1	0	0	S2	0	1	0	1	0	(101) S5	CA1
0	0	0	S2	1	1	0	1	0	(101) S5	CA0
1	1	1	S3	0	1	1	0	+1	(110) S6	DB1
0	1	1	S3	1	1	1	0	+1	(110) S6	DB0
1	1	0	S3	0	1	1	1	+1	(111) S7	DA1
0	1	0	S3	1	1	1	1	+1	(111) S7	DA0

FIGURE 4B

Λ_{k-1}	Λ_k	Λ_{k+1}	State	B_{k-3}	B_{k-2}	B_{k-1}	B_k	B_{k+1}	Y_k	Next State	Branch
0	1	0	S4	0	1	0	0	0	-1	(000) S0	EA0
1	1	0	S4	1	1	0	0	0	-1	(000) S0	EA1
0	1	1	S4	0	1	0	0	1	-1	(001) S1	EB0
1	1	1	S4	1	1	0	0	1	-1	(001) S1	EB1
0	0	0	S5	0	1	0	1	0	0	(010) S2	FA0
1	0	0	S5	1	1	0	1	0	0	(010) S2	FA1
0	0	1	S5	0	1	0	1	1	0	(011) S3	FB0
1	0	1	S5	1	1	0	1	1	0	(011) S3	FB1

FIGURE 4C

Λ_{k-1}	Λ_k	Λ_{k+1}	State	B_{k-3}	B_{k-2}	B_{k-1}	B_k	B_{k+1}	Y_k	Next State	Branch
1	1	1	S6	0	1	1	0	0	-1	(100) S4	GB1
0	1	1	S6	1	1	1	0	0	-1	(100) S4	GB0
1	1	0	S6	0	1	1	0	1	-1	(101) S5	GAI
0	1	0	S6	1	1	1	0	1	-1	(101) S5	GA0
1	0	1	S7	0	1	1	1	0	0	(110) S6	HB1
0	0	1	S7	1	1	1	1	0	0	(110) S6	HB0
1	0	0	S7	0	1	1	1	1	0	(111) S7	HAI
0	0	0	S7	1	1	1	1	1	0	(111) S7	HA0

FIGURE 4D

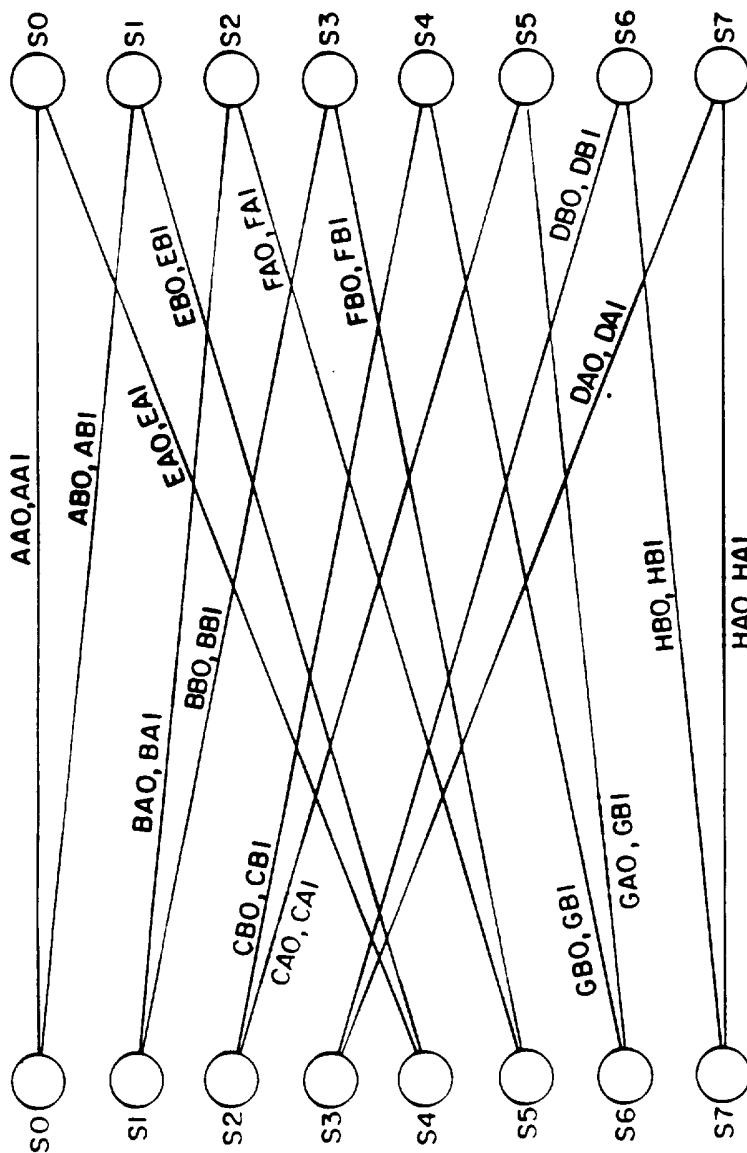


Fig. 4E

INTERNATIONAL SEARCH REPORT

national Application No
PCT/US 95/04664

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 G11B20/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 H03M G11B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO-A-94 29989 (IBM ;CHEVILLAT PIERRE (CH); ELEFThERIOU EVANGELOS (CH); MAIWALD DI) 22 December 1994 see page 12, line 9 - page 14, line 1 see page 16, line 1 - page 17, line 26 see claims 1-6 ---	1-3,6,8, 11,14,16
A	US-A-5 341 387 (NGUYEN HUNG C) 23 August 1994 see abstract; figures 4,9 see column 2, line 25 - column 3, line 18 see column 5, line 43 - column 8, line 31 see column 11, line 45 - column 12, line 21 --- -/--	8,9

Further documents are listed in the continuation of box C. Patent family members are listed in annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family
---	---

Date of the actual completion of the international search	Date of mailing of the international search report
31 August 1995	18.09.95

Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	Authorized officer Schiwy-Rausch, G
---	--

INTERNATIONAL SEARCH REPORT

national Application No

PCT/US 95/04664

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	IEEE COMMUNICATIONS MAGAZINE, DEC. 1991, USA, vol. 29, no. 12, ISSN 0163-6804, pages 68-86, SIEGEL P H ET AL 'Modulation and coding for information storage' cited in the application see page 77, left column, line 17 - page 79, right column, line 8 ---	1
A	WO-A-94 00843 (DIGITAL EQUIPMENT CORP) 6 January 1994 see page 4, line 14 - page 8, line 2 see page 12, line 11 - page 13, line 24 see figures 2,7 ---	1,11,14, 16
A	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 32, no. 7, December 1989 NEW YORK, US, pages 428-431, ANONYMOUS 'Sequential Projection Decoding for Class IV Partial Response Channels' ---	
A	PATENT ABSTRACTS OF JAPAN vol. 018 no. 364 (E-1575) ,8 July 1994 & JP,A,06 097769 (NEC CORP) 8 April 1994, -----	

INTERNATIONAL SEARCH REPORT

Information on patent family members

national Application No

PCT/US 95/04664

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9429989	22-12-94	NONE	
US-A-5341387	23-08-94	EP-A- 0595454	04-05-94
WO-A-9400843	06-01-94	NONE	