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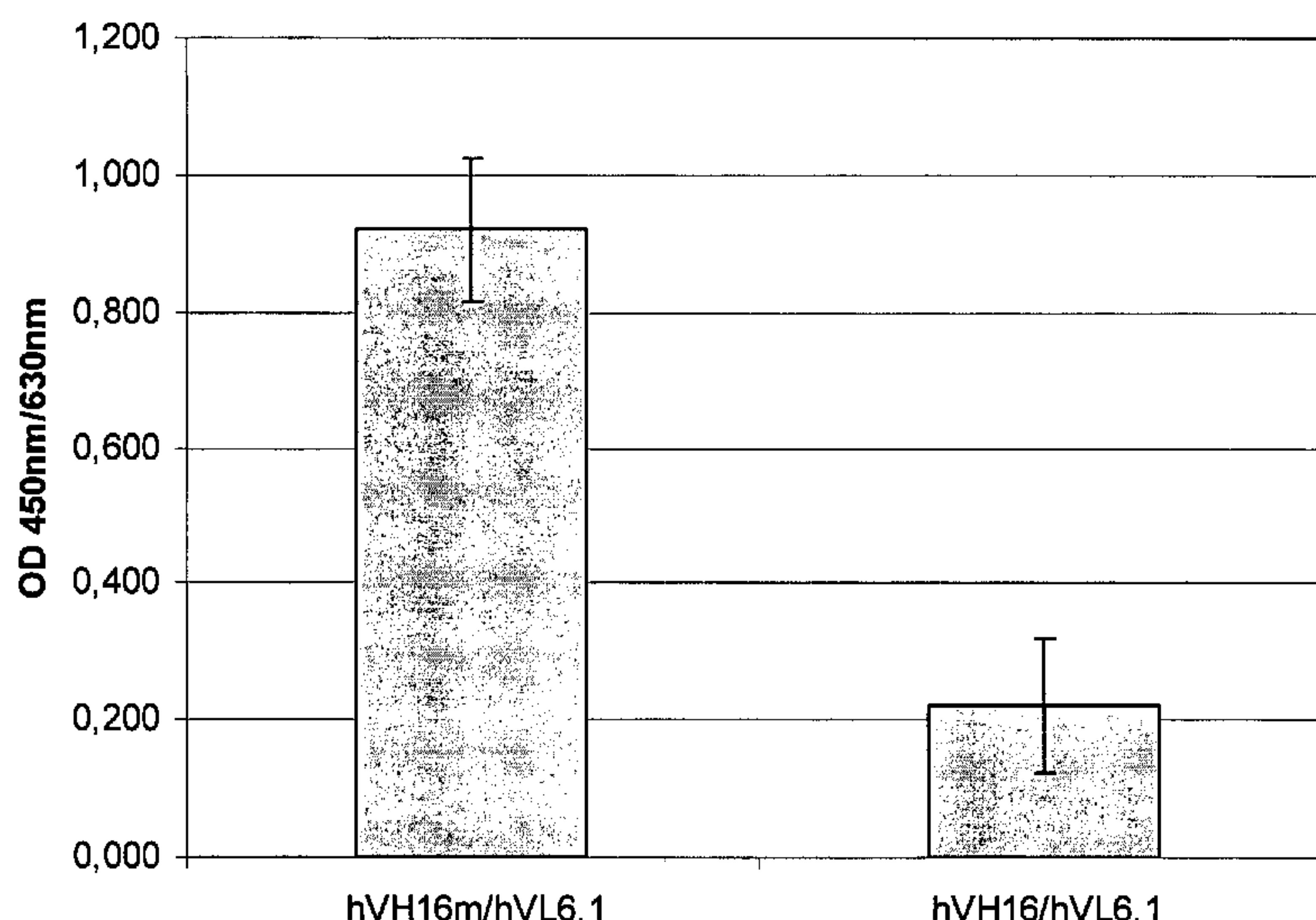
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(54) Title: MUC1 ANTIBODIES



(57) Abrégé/Abstract:

The present invention pertains to anti-mucin antibodies having improved antigen binding and/or recognition properties as well as a method for improving the antigen binding and/or recognition of an anti-mucin antibody. In particular, the present invention is directed to anti-MUC1 antibodies which are useful in the treatment of cancer.

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(54) Title: MUC1 ANTIBODIES

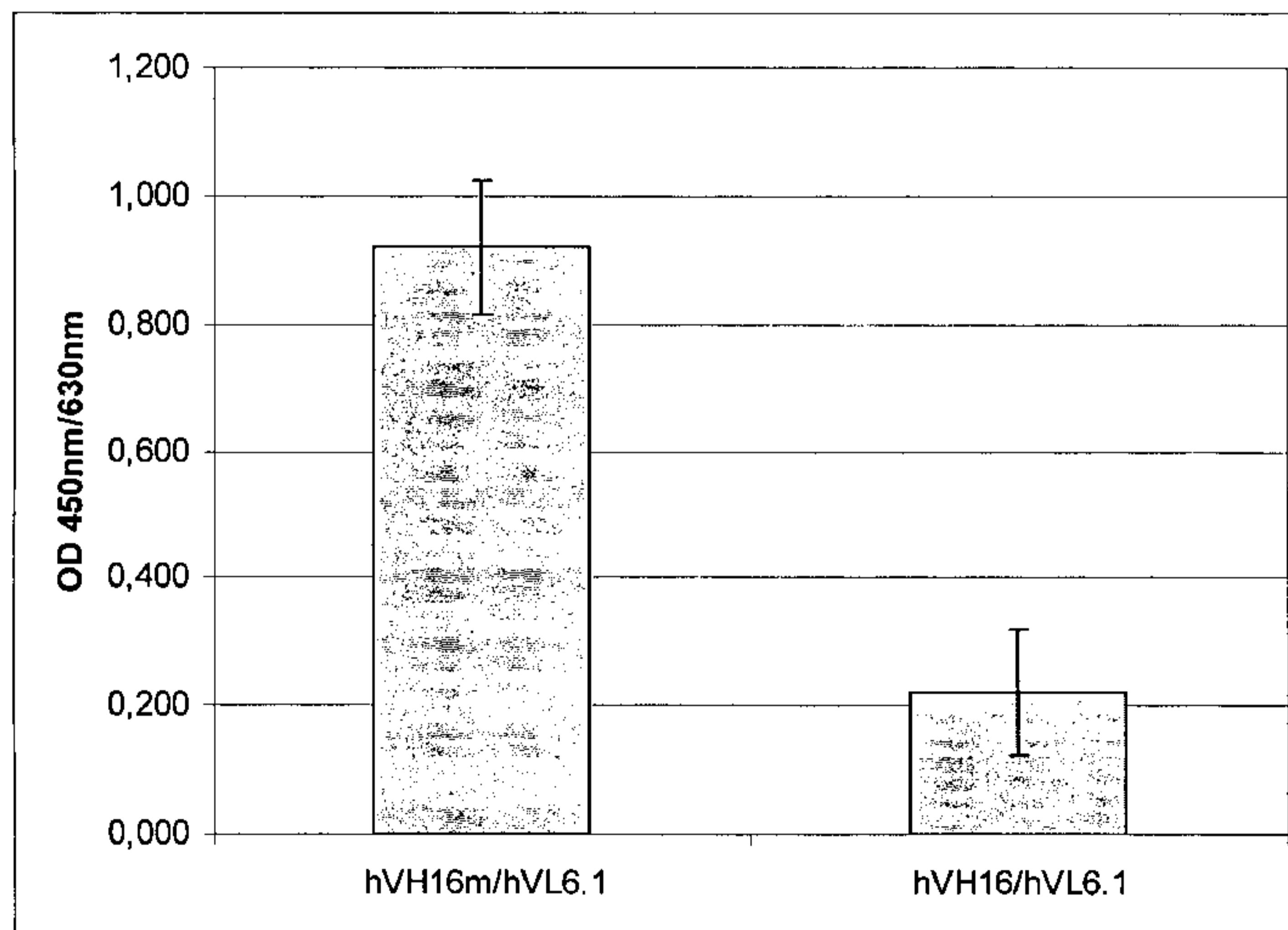


Figure 3

(57) Abstract: The present invention pertains to anti-mucin antibodies having improved antigen binding and/or recognition properties as well as a method for improving the antigen binding and/or recognition of an anti-mucin antibody. In particular, the present invention is directed to anti-MUC1 antibodies which are useful in the treatment of cancer.

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„MUC1 Antibodies“**FIELD OF THE INVENTION**

The present invention pertains to the field of antibodies. In particular, improved anti-mucin antibodies showing an improved antigen binding and/or recognition as well as a method for improving the antigen binding and/or recognition of an anti-mucin antibody are provided. In specific embodiments, the present invention is directed to improved anti-MUC1 antibodies which are useful in the treatment of cancer.

**BACKGROUND OF THE INVENTION**

Today, antibodies are widely used agents in the field of medicine and research. In medicine, they find application in many different fields. For example, antibodies are used as labeling agents for detecting certain markers which allow the diagnosis and/or prognosis of diseases or the determination of specific body parameters such as, for example, the presence or concentration of certain hormones.

Furthermore, antibodies are also used as therapeutic agents in the treatment and prophylaxis of a variety of diseases such as cancer, cardiovascular diseases, inflammatory diseases, macular degeneration, transplant rejection, multiple sclerosis, and viral infections. In these therapies, the antibody may possess therapeutic activity

on its own, for example by blocking receptors or messenger molecules, thereby inhibiting their disease-relevant functions, or by recruiting and activating components of the patient's immune system. Alternatively, the antibody may be coupled to another agent having therapeutic activity. In particular in the treatment of cancer and infections, 5 said further agent has cell-killing activity and may be, for example a radioisotope or a cytotoxin. In another application, antibodies may be used to passively immunize a patient by transferring suitable antibodies into the patient's circulation.

Specific antibodies are produced by injecting an antigen into a mammal, such as a mouse, rat, rabbit, goat, sheep, or horse. Blood isolated from these animals contains 10 polyclonal antibodies directed against said antigen in the serum. To obtain an antibody that is specific for a single epitope of an antigen, antibody-secreting lymphocytes are isolated from the animal and immortalized by fusing them with a cancer cell line, resulting in hybridoma cells. Single hybridoma cells are then isolated by dilution cloning to generate cell clones that all produce the same monoclonal antibody.

15 However, in therapeutic applications these monoclonal antibodies have the problem that they are derived from animal organisms and differ in their amino acid sequence from human antibodies. The human immune system hence recognizes these animal antibodies as foreign and rapidly removes them from circulation. Furthermore, systemic inflammatory effects may be caused. A solution to this problem is the replacement of 20 certain constant parts of the monoclonal antibody with corresponding parts of a human antibody. If only the heavy and light chain constant regions are replaced, a chimeric antibody is obtained, while the additional replacement of the framework regions of the heavy and light chain variable regions results in so called humanized antibodies.

25 In research, purified antibodies are used in many applications. They are most commonly used to identify and locate biological molecules such as in particular proteins. The biological molecules may either be detected after they have been isolated, for example to determine their presence, concentration, integrity or size. On the other hand, they may be detected in cellular or tissue samples, for example to 30 determine their presence or location. Furthermore, antibodies are used in isolation procedures of specific biological substances, in particular proteins, wherein the antibody specifically separates the biological substance of interest from the sample containing it.

35 In all these applications, a tight binding and specific recognition of the antigen is of vital importance for the antibody used. Thereby, higher activity and less cross-reactivity, in particular less adverse side effects in therapeutic applications, are obtained. However, during humanization of monoclonal antibodies, often the affinity and specificity of the engineered antibody is decreased.

An interesting and important group of antibodies are those directed against mucin proteins. Mucins are a family of high molecular weight, heavily glycosylated proteins produced by many epithelial tissues in vertebrates. They can be subdivided into mucin proteins which are membrane-bound due to the presence of a hydrophobic membrane-spanning domain that favors retention in the plasma membrane, and mucins which are secreted onto mucosal surfaces or secreted to become a component of saliva. The human mucin protein family consists of at least the family members MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC12, MUC13, MUC15, MUC16, MUC17, MUC19, and MUC20; wherein MUC1, MUC3A (isoform 1), MUC3B and MUC4 are membrane bound.

Increased mucin production occurs in many adenocarcinomas, including cancer of the pancreas, lung, breast, ovary, colon, etc. Mucins are also overexpressed in lung diseases such as asthma, bronchitis, chronic obstructive pulmonary disease or cystic fibrosis. Two membrane mucins, MUC1 and MUC4 have been extensively studied in relation to their pathological implication in the disease process. Moreover, mucins are also being investigated for their potential as diagnostic markers.

Several antibodies directed against mucin proteins, in particular MUC1, are known in the art. Some of them are already approved for medical applications. However, their use could still be improved if their antigen affinity and/or specificity could be enhanced.

In view of this, there is a need in the art to provide improved anti-mucin antibodies preferably having enhanced antigen binding and/or recognition properties as well as methods which are suitable to improve the antigen binding and/or recognition of known antibodies, in particular of therapeutic MUC1 antibodies.

25

## SUMMARY OF THE INVENTION

The present inventors have found that the antigen-binding properties of antibodies directed against mucin proteins are good if a proline residue is present at position 28 of the heavy chain variable region of an antibody, according to the Kabat numbering.

Therefore, in a first aspect, the present invention is directed to an antibody or a fragment or derivative thereof which is capable of binding to a mucin protein and which comprises at least a portion of the heavy chain variable region which comprises a proline residue at amino acid position 28 according to the Kabat numbering.

In a second aspect, the present invention provides a nucleic acid encoding the antibody or fragment or derivative thereof according to the invention. Furthermore, in a third aspect an expression cassette or vector comprising the nucleic acid according to

the invention and a promoter operatively connected with said nucleic acid and, in a fourth aspect, a host cell comprising the nucleic acid or the expression cassette or vector according to the invention are provided.

In a fifth aspect, the present invention is directed to a composition comprising the antibody or fragment or derivative thereof according to the invention, the nucleic acid according to the invention, the expression cassette or vector according to the invention, or the host cell according to the invention.

According to a sixth aspect, the invention provides the antibody or fragment or derivative thereof, the nucleic acid, the expression cassette or vector, the host cell, or the composition according to the invention for use in medicine, in particular in the treatment, prognosis, diagnosis and/or monitoring of cancer, wherein the cancer preferably is selected from the group consisting of cancer of the colon, stomach, liver, pancreas, kidney, blood, lung, and ovary.

In a seventh aspect, the invention is directed to a method for improving the antigen binding and/or recognition of an antibody or a fragment or derivative thereof which is capable of binding to a mucin protein and which comprises a heavy chain variable region, comprising the step of providing a proline residue at position 28 of the heavy chain variable region, according to the Kabat numbering.

In an eighth aspect, the invention is directed to a method for preparing a nucleic acid according to the invention, comprising the steps of

- (a) providing a nucleic acid comprising the nucleic acid sequence coding for an antibody or a fragment or derivative thereof which is capable of binding to MUC1 and which comprises a heavy chain variable region, wherein the heavy chain variable region does not comprise a proline residue at amino acid position 28 according to the Kabat numbering;
- (b) introducing a mutation into the codon coding for amino acid number 28, according to the Kabat numbering, of the heavy chain variable region so that said codon codes for a proline residue.

Other objects, features, advantages and aspects of the present invention will become apparent to those skilled in the art from the following description and appended claims. It should be understood, however, that the following description, appended claims, and specific examples, which indicate preferred embodiments of the application, are given by way of illustration only. Various changes and modifications within the spirit and scope of the disclosed invention will become readily apparent to those skilled in the art from reading the following.

## DETAILED DESCRIPTION OF THE INVENTION

Definitions:

As used herein, the following expressions are generally intended to preferably have the meanings as set forth below, except to the extent that the context in which they are used indicates otherwise.

The expression "comprise", as used herein, besides its literal meaning also includes and specifically refers to the expressions "consist essentially of" and "consist of". Thus, the expression "comprise" refers to embodiments wherein the subject-matter which "comprises" specifically listed elements does not comprise further elements as well as embodiments wherein the subject-matter which "comprises" specifically listed elements may and/or indeed does encompass further elements. Likewise, the expression "have" is to be understood as the expression "comprise", also including and specifically referring to the expressions "consist essentially of" and "consist of".

The term "antibody" particularly refers to a protein comprising at least two heavy chains and two light chains connected by disulfide bonds. The term "antibody" includes naturally occurring antibodies as well as all recombinant forms of antibodies, e.g., antibodies expressed in prokaryotes, unglycosylated antibodies, humanized antibody, and chimeric antibody. Each heavy chain is comprised of a heavy chain variable region (VH) and a heavy chain constant region (CH). Each light chain is comprised of a light chain variable region (VL) and a light chain constant region (CL). The heavy chain-constant region comprises three or - in the case of antibodies of the IgM- or IgE-type - four heavy chain-constant domains (CH1, CH2, CH3 and CH4) wherein the first constant domain CH1 is adjacent to the variable region and may be connected to the second constant domain CH2 by a hinge region. The light chain-constant region consists only of one constant domain. The variable regions can be further subdivided into regions of hypervariability, termed complementarity determining regions (CDRs), interspersed with regions that are more conserved, termed framework regions (FR), wherein each variable region comprises three CDRs and four FRs. The variable regions of the heavy and light chains contain a binding domain that interacts with an antigen. The constant regions of the antibodies may mediate the binding of the immunoglobulin to host tissues or factors, including various cells of the immune system (e.g., effector cells) and the first component (C1q) of the classical complement system. The term "antibody" according to the invention, however, also includes unusual antibodies such as heavy chain antibodies, i.e. antibodies only composed of one or more, in particular two heavy chains, and nanobodies, i.e. antibodies only composed of a single monomeric variable domain.

For indicating the amino acid positions of the heavy chain and light chain variable

regions, the Kabat numbering system is used herein (Kabat, E.A. et al. (1991) *Sequences of Proteins of Immunological Interest*, 5<sup>th</sup> edition, NIH Publication No. 91-3242). According to said system, the heavy chain comprises amino acid positions from position 0 to position 113 including position 35A, 35B, 52A to 52C, 82A to 82C and 100A to 100K. The CDRs of the heavy chain variable region are located, according to the Kabat numbering, at positions 31 to 35B (CDR1), 50 to 65 (CDR2) and 95 to 102 (CDR3). The remaining amino acid positions form the framework regions FR1 to FR4. The light chain variable region comprises positions 0 to 109 including positions 27A to 27F, 95A to 95F and 106A. The CDRs are located at positions 24 to 34 (CDR1), 50 to 56 (CDR2) and 89 to 97 (CDR3). Depending on the initial formation of the specific gene of an antibody, not all of these positions have to be present in a given heavy chain variable region or light chain variable region. In case an amino acid position in a heavy chain or light chain variable region is mentioned herein, unless otherwise indicated it is referred to the position according to the Kabat numbering.

A "fragment or derivative" of an antibody in particular is a protein or glycoprotein which is derived from said antibody and is capable of binding to the same antigen, in particular to the same epitope as the antibody. Thus, a fragment or derivative of an antibody herein generally refers to a functional fragment or derivative. In particularly preferred embodiments, the fragment or derivative of an antibody comprises a heavy chain variable region. It has been shown that the antigen-binding function of an antibody can be performed by fragments of a full-length antibody or derivatives thereof. Examples of fragments or derivatives of an antibody include (i) Fab fragments, monovalent fragments consisting of the variable region and the first constant domain of each the heavy and the light chain; (ii) F(ab)<sub>2</sub> fragments, bivalent fragments comprising two Fab fragments linked by a disulfide bridge at the hinge region; (iii) Fd fragments consisting of the variable region and the first constant domain CH1 of the heavy chain; (iv) Fv fragments consisting of the heavy chain and light chain variable region of a single arm of an antibody; (v) scFv fragments, Fv fragments consisting of a single polypeptide chain; (vi) (Fv)<sub>2</sub> fragments consisting of two Fv fragments covalently linked together; (vii) a heavy chain variable domain; and (viii) multibodies consisting of a heavy chain variable region and a light chain variable region covalently linked together in such a manner that association of the heavy chain and light chain variable regions can only occur intermolecular but not intramolecular. These antibody fragments and derivatives are obtained using conventional techniques known to those with skill in the art.

A target amino acid sequence is "derived" from a reference amino acid sequence, for example, if the target amino acid sequence shares a homology or identity over its entire length with a corresponding part of the reference amino acid sequence of at least 60 %, preferably at least 70 %, at least 75 %, more preferably at least 80 %, at least 85

%, at least 90 %, at least 93 %, at least 95 % or at least 97 %. For example, if a framework region of a humanized antibody is derived from a variable region of a particular human antibody, then the amino acid of the framework region of the humanized antibody shares a homology or identity over its entire length with the corresponding framework region of the human antibody of at least 60 %, preferably at least 70 %, at least 75 %, more preferably at least 80 %, at least 85 %, at least 90 %, at least 93 %, at least 95 % or at least 97 %. The "corresponding part" or "corresponding framework region" means that, for example, framework region 1 of a heavy chain variable region (FRH1) of a target antibody corresponds to framework region 1 of the heavy chain variable region of the reference antibody. The same is true, for example, for FRH2, FRH3, FRH4, FRL1, FRL2, FRL3 and FRL4. In particular embodiments, a target amino acid sequence which is "derived" from a reference amino acid sequence is 100% homologous, or in particular 100 % identical, over its entire length with a corresponding part of the reference amino acid sequence.

"Specific binding" preferably means that an agent such as an antibody binds stronger to a target such as an epitope for which it is specific compared to the binding to another target. An agent binds stronger to a first target compared to a second target if it binds to the first target with a dissociation constant ( $K_d$ ) which is lower than the dissociation constant for the second target. Preferably the dissociation constant for the target to which the agent binds specifically is more than 2-fold, preferably more than 5-fold, more preferably more than 10-fold, even more preferably more than 20-fold, 50-fold, 100-fold, 200-fold, 500-fold or 1000-fold lower than the dissociation constant for the target to which the agent does not bind specifically.

As used herein, the term "protein" refers to a molecular chain of amino acids or a complex of more than one amino acid chain. A protein can contain any of the naturally occurring amino acids as well as artificial amino acids and can be of biologic or synthetic origin. A protein may be modified, naturally (post-translational modifications) or synthetically, by e.g. glycosylation, amidation, carboxylation and/or phosphorylation. A protein comprises at least two amino acids, but does not have to be of any specific length; this term does not include any size restrictions. In the present application, the terms "protein", "polypeptide" and "peptide" are used interchangeably. Preferably, a protein comprises at least 10 amino acids, preferably at least 50 amino acids, at least 100 amino acids and most preferred at least 100 amino acids.

The term "nucleic acid" includes single-stranded and double-stranded nucleic acids and ribonucleic acids as well as deoxyribonucleic acids. It may comprise naturally occurring as well as synthetic nucleotides and can be naturally or synthetically modified, for example by methylation, 5'- and/or 3'-capping.

The term "conjugate" particularly means two or more compounds which are linked

together so that at least some of the properties from each compound are retained in the conjugate. Linking may be achieved by a covalent or non-covalent bond. Preferably, the compounds of the conjugate are linked via a covalent bond. The different compounds of a conjugate may be directly bound to each other via one or 5 more covalent bonds between atoms of the compounds. Alternatively, the compounds may be bound to each other via a linker molecule wherein the linker is covalently attached to atoms of the compounds. If the conjugate is composed of more than two compounds, then these compounds may, for example, be linked in a chain conformation, one compound attached to the next compound, or several compounds 10 each may be attached to one central compound.

The term "expression cassette" in particular refers to a nucleic acid construct which is capable of enabling and regulating the expression of a coding nucleic acid sequence introduced therein. An expression cassette may comprise promoters, ribosome binding sites, enhancers and other control elements which regulate transcription of a gene or 15 translation of an mRNA. The exact structure of expression cassette may vary as a function of the species or cell type, but generally comprises 5'-untranscribed and 5'- and 3'-untranslated sequences which are involved in initiation of transcription and translation, respectively, such as TATA box, capping sequence, CAAT sequence, and the like. More specifically, 5'-untranscribed expression control sequences comprise a 20 promoter region which includes a promoter sequence for transcriptional control of the operatively connected nucleic acid. Expression cassettes may also comprise enhancer sequences or upstream activator sequences.

According to the invention, the term "promoter" refers to a nucleic acid sequence which is located upstream (5') of the nucleic acid sequence which is to be expressed and 25 controls expression of the sequence by providing a recognition and binding site for RNA-polymerases. The "promoter" may include further recognition and binding sites for further factors which are involved in the regulation of transcription of a gene. A promoter may control the transcription of a prokaryotic or eukaryotic gene. Furthermore, a promoter may be "inducible", i.e. initiate transcription in response to an 30 inducing agent, or may be "constitutive" if transcription is not controlled by an inducing agent. A gene which is under the control of an inducible promoter is not expressed or only expressed to a small extent if an inducing agent is absent. In the presence of the inducing agent the gene is switched on or the level of transcription is increased. This is mediated, in general, by binding of a specific transcription factor.

35 The term "vector" is used here in its most general meaning and comprises any intermediary vehicle for a nucleic acid which enables said nucleic acid, for example, to be introduced into prokaryotic and/or eukaryotic cells and, where appropriate, to be integrated into a genome. Vectors of this kind are preferably replicated and/or

expressed in the cells. Vectors comprise plasmids, phagemids, bacteriophages or viral genomes. The term "plasmid" as used herein generally relates to a construct of extrachromosomal genetic material, usually a circular DNA duplex, which can replicate independently of chromosomal DNA.

5 According to the invention, the term "host cell" relates to any cell which can be transformed or transfected with an exogenous nucleic acid. The term "host cells" comprises according to the invention prokaryotic (e.g. *E. coli*) or eukaryotic cells (e.g. mammalian cells, in particular human cells, yeast cells and insect cells). Particular preference is given to mammalian cells such as cells from humans, mice, hamsters, pigs, goats, or primates. The cells may be derived from a multiplicity of tissue types and comprise primary cells and cell lines. A nucleic acid may be present in the host cell in the form of a single copy or of two or more copies and, in one embodiment, is expressed in the host cell.

10 15 The term "patient" means according to the invention a human being, a nonhuman primate or another animal, in particular a mammal such as a cow, horse, pig, sheep, goat, dog, cat or a rodent such as a mouse and rat. In a particularly preferred embodiment, the patient is a human being.

20 The term "cancer" according to the invention in particular comprises leukemias, seminomas, melanomas, teratomas, lymphomas, neuroblastomas, gliomas, rectal cancer, endometrial cancer, kidney cancer, adrenal cancer, thyroid cancer, blood cancer, skin cancer, cancer of the brain, cervical cancer, intestinal cancer, liver cancer, colon cancer, stomach cancer, intestine cancer, head and neck cancer, gastrointestinal cancer, lymph node cancer, esophagus cancer, colorectal cancer, pancreas cancer, ear, nose and throat (ENT) cancer, breast cancer, prostate cancer, cancer of the uterus, ovarian cancer and lung cancer and the metastases thereof. Examples thereof are lung carcinomas, mamma carcinomas, prostate carcinomas, colon carcinomas, renal cell carcinomas, cervical carcinomas, or metastases of the cancer types or tumors described above. The term cancer according to the invention also comprises cancer metastases.

25 30 By "tumor" is meant a group of cells or tissue that is formed by misregulated cellular proliferation. Tumors may show partial or complete lack of structural organization and functional coordination with the normal tissue, and usually form a distinct mass of tissue, which may be either benign or malignant.

35 By "metastasis" is meant the spread of cancer cells from its original site to another part of the body. The formation of metastasis is a very complex process and normally involves detachment of cancer cells from a primary tumor, entering the body circulation and settling down to grow within normal tissues elsewhere in the body. When tumor

cells metastasize, the new tumor is called a secondary or metastatic tumor, and its cells normally resemble those in the original tumor. This means, for example, that, if breast cancer metastasizes to the lungs, the secondary tumor is made up of abnormal breast cells, not of abnormal lung cells. The tumor in the lung is then called metastatic breast cancer, not lung cancer.

5 The term "pharmaceutical composition" particularly refers to a composition suitable for administering to a human or animal, i.e., a composition containing components which are pharmaceutically acceptable. Preferably, a pharmaceutical composition comprises an active compound or a salt or prodrug thereof together with a carrier, diluent or 10 pharmaceutical excipient such as buffer, preservative and tonicity modifier.

15 The present invention is based on the finding that anti-mucin antibodies comprising a proline residue at amino acid position 28, according to the Kabat numbering, in the heavy chain variable region (VH) exhibit good antigen binding properties. Amino acid position 28 of the heavy chain variable region is located in the first framework region (FR1) in the vicinity of complementarity determining region 1 (CDR1). Commonly, in human antibodies a threonine or serine residue is located at this position. For example, 20 the 229 human germ line sequences of antibodies listed in the database of the NCBI all comprise a threonine or serine residue at position 28 of the heavy chain variable region. In particular in the humanization of monoclonal antibodies, it is generally taught in the art to use a threonine or serine residue at position 28 of the heavy chain variable region.

25 Based on the obtained data it appears that a proline at position 28 of the VH beneficially influences the structural properties of the CDR1. In particular, said proline residue apparently enables the CDR1 to adapt a three-dimensional structure which fits best to the structure of the MUC1 antigen. Thereby, the properties of the antibody can be improved.

30 In view of these findings, the present invention provides, in a first aspect, an antibody or a fragment or derivative thereof which is capable of binding to a mucin protein and which comprises at least a portion of the heavy chain variable region which comprises a proline residue at amino acid position 28 according to the Kabat numbering.

35 In preferred embodiments, the portion of the heavy chain variable region comprised by the antibody or a fragment or derivative thereof according to the invention has a length of at least 50 amino acids, preferably at least 70 amino acids, at least 90 amino acids, at least 100 amino acids or at least 110 amino acids. More preferably, the portion of the heavy chain variable region at least comprises the entire framework region 1 and at least one, preferably two or all three CDRs. Most preferably, the antibody or a fragment or derivative thereof according to the invention comprises the complete heavy chain

variable region which comprises a proline residue at amino acid position 28 according to the Kabat numbering.

The antibody or fragment or derivative thereof according to the invention may be capable of specifically binding one or more of the proteins of the mucin family, such as MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC12, MUC13, MUC15, MUC16, MUC17, MUC19, and/or MUC20. Preferably, the antibody or fragment or derivative thereof according to the invention is capable of specifically binding one or more of the membrane-bound mucins MUC1, MUC3A (isoform 1), MUC3B and MUC4, most preferably MUC1. In preferred embodiments, it specifically binds to tumor-associated MUC1 but not or to a much lesser extent to MUC1 of normal, non-tumor cells. In particular, the antibody or fragment or derivative thereof according to the invention binds to the extracellular domain of MUC1, preferably the tandem repeats thereof, most preferably in a conformation-dependent and/or glycosylation-dependent manner, especially if said tandem repeats are glycosylated at a threonine residue with N-acetyl galactosamine (Tn), sialyl  $\alpha$ 2-6 N-acetyl galactosamine (sTn), galactose  $\beta$ 1-3 N-acetyl galactosamine (TF) or galactose  $\beta$ 1-3 (sialyl  $\alpha$ 2-6) N-acetyl galactosamine (sTF), preferably with Tn or TF. Preferably, the carbohydrate moiety is bound to the threonine residue by an  $\alpha$ -O-glycosidic bond.

Particular preferred anti-mucin antibodies according to the invention are antibodies which are capable of specifically binding an epitope comprising a peptide moiety. The epitope preferably is a glycosylated peptide moiety and the specific binding of the antibody preferably is dependent on the glycosylation of the epitope, in particular on the specific glycosylation pattern of the epitope. That is, in preferred embodiments the binding affinity of the antibody towards its antigen is higher if the specific epitope bound by the antibody carries a carbohydrate moiety, compared to the epitope not carrying a carbohydrate moiety. In another preferred embodiment, the affinity is higher if the epitope on the mucin protein carries a specific carbohydrate moiety, compared to the epitope carrying another or no carbohydrate moiety. In this case, the affinity towards the antigen wherein the epitope carries another carbohydrate moiety may even be lower than towards an antigen having a non-glycosylated epitope. In these embodiments, the epitope which is bound by the antibody may comprise a peptide part as well as a carbohydrate part. That is, the antibody binds to a peptide moiety and to a carbohydrate moiety.

However, the antibody may alternatively only bind to a peptide moiety. In this embodiment, the carbohydrate moiety attached to the peptide epitope is not bound by the antibody. However, the carbohydrate moiety may nevertheless have an influence on the antibody binding in that it influences the three-dimensional structure of the peptide moiety of the epitope. Here, the flexibility and the three-dimensional structure

of the epitope depend on whether, and preferably which, carbohydrate moiety is bound thereto. The antibody then preferably binds to an epitope having a three-dimensional structure which is adopted when a carbohydrate moiety, in particular a specific carbohydrate moiety is bound to the epitope. In the above embodiments, the specific carbohydrate moiety which causes enhanced binding of the antibody when bound to the epitope preferably is N-acetyl galactosamine (Tn), sialyl  $\alpha$ 2-6 N-acetyl galactosamine (sTn) galactose  $\beta$ 1-3 N-acetyl galactosamine (TF) or galactose  $\beta$ 1-3 (sialyl  $\alpha$ 2-6) N-acetyl galactosamine (sTF), preferably Tn or TF. Preferably, the carbohydrate moiety is bound to the peptide moiety by an  $\alpha$ -O-glycosidic bond.

Thus, in a further embodiment, the specific binding of the antibody to its epitope is dependent on the conformation of the epitope. As described above, the conformation of the epitope may be dependent on the glycosylation pattern of the epitope. However, the conformation may also depend on the context in which the epitope is presented, for example the overall three-dimensional structure of the protein comprising the epitope. In essence, in case the binding of the antibody to the epitope is conformation dependent, the epitope is capable of adopting different three-dimensional conformations and the binding affinity of the antibody towards one or more of the conformations of the epitope is higher than towards the other conformations of the epitope. In particular, the antibody is only able to bind to the epitope if the epitope exhibits (a) specific conformation(s).

In particularly preferred embodiments, the antibody is capable of specifically binding an epitope comprising the amino acid sequence PDTR (SEQ ID NO: 49) or, more preferably PDTRP (SEQ ID NO: 50). The binding to this epitope preferably is glycosylation dependent, as described above, wherein in particular the binding is increased if a carbohydrate moiety is attached to the threonine residue of the sequence PDTR or PDTRP, respectively. Preferably, the binding is increased if the epitope is glycosylated at the threonine residue with a carbohydrate moiety selected from the group consisting of N-acetyl galactosamine (Tn), sialyl  $\alpha$ 2-6 N-acetyl galactosamine (sTn), galactose  $\beta$ 1-3 N-acetyl galactosamine (TF) and galactose  $\beta$ 1-3 (sialyl  $\alpha$ 2-6) N-acetyl galactosamine (sTF), preferably with Tn or TF. Preferably, the carbohydrate moiety is bound to the threonine residue by an  $\alpha$ -O-glycosidic bond. In some embodiments, the glycosylation dependency of the binding is due to the specific conformation the epitope adopts when glycosylated, in particular by the specific carbohydrate moieties mentioned above. In this case, the antibody does not necessarily have to bind to the carbohydrate moiety but may only bind to the peptide moiety of the epitope wherein the affinity of this binding depends on the conformation of the epitope. Preferably, the epitope is comprised in the extracellular tandem repeats of a mucin protein, in particular MUC1. In particular, the antibody according to the invention is capable of binding to a tumor-associated mucin epitope, in particular a

tumor-associated MUC1 epitope such as epitope TA-MUC1 (see Karsten, U. et al. (2004) *Glycobiology* 14, 681-692 and Danielczyk, A. et al. (2006) *Cancer Immunol. Immunother.* 55, 1337-1347). Preferably, the binding of the antibody according to the invention to cells expressing the tumor-associated MUC1 epitope is stronger than the binding to cells expressing normal, non-tumor MUC1. Preferably, said binding is at least 1.5-fold stronger, preferably at least 2-fold stronger, at least 5-fold stronger, at least 10-fold stronger or at least 100-fold stronger.

A tumor-associated mucin epitope, in particular a MUC1 tumor epitope, preferably refers to an epitope of a mucin protein, in particular MUC1, which is present on tumor cells but not on normal cells and/or which is only accessible by antibodies in the host's circulation when present on tumor cells but not when present on normal cells. In particular, a MUC1 tumor epitope preferably is an epitope comprising at least one PDTRP sequence of the MUC1 tandem repeats and being glycosylated at the threonine of the PDTRP sequence with N-acetyl galactosamine (Tn) or galactose  $\beta$ 1-3 N-acetyl galactosamine (TF), preferably via an  $\alpha$ -O-glycosidic bond. For tumor specific MUC1 binding, the antibody or fragment or derivative thereof preferably specifically binds the glycosylated MUC1 tumor epitope such that the strength of the bond is increased at least by a factor 2, preferably a factor of 4 or a factor of 10, most preferably a factor of 20 in comparison with the bond to the non-glycosylated peptide of identical length and identical peptide sequence. The binding strength may be measured, for example, using ELISA wherein the target epitope is immobilized and the binding of the antibody or fragment or derivative thereof according to the invention is detected using an enzyme-linked, in particular peroxidase-linked secondary antibody and a suitable detection reagent. An exemplary binding assay is described in WO 2004/065423, e.g. in example 5.1.

Furthermore, the antibody may exhibit antigen binding properties similar to those of the reference antibody PankoMab comprising a heavy chain variable region with the amino acid sequence of SEQ ID NO: 29 and a light chain variable region with the amino acid sequence of SEQ ID NO: 30. In particular, the antibody according to the invention may specifically bind to the same antigen, preferably the same epitope, as the PankoMab, and may preferably bind to said antigen or epitope, respectively, with a comparable affinity. That is, the antibody preferably binds to the antigen or epitope with an affinity having a dissociation constant which is at most 100-fold higher than that of PankoMab, more preferably at most 10-fold higher, and most preferably the dissociation constant is the same as or lower than that of PankoMab. Moreover, the antibody preferably shows cross-specificity with the reference antibody PankoMab. In particular, the antibody is able to block the binding of PankoMab to MUC1 if present in a high enough concentration. This may be possible if the binding of PankoMab to MUC1 is hindered when the antibody according to the invention is already bound to the antigen MUC1.

5 The inhibition of the binding of PankoMab may be due to, for example, a steric hindrance, i.e. the antibody according to the invention occupies a part of the space which PankoMab would need in order to properly bind to MUC1, or a conformational hindrance, i.e. due to the binding of the antibody according to the invention the epitope of PankoMab adopts a conformation which is unfavorable for the binding of PankoMab.

According to a preferred embodiment the antibody has the following characteristics:

- 10 (a) it specifically binds the glycosylated MUC1 tumor epitope, and  
(b) it comprises a heavy chain variable region comprising the amino acid sequence of SEQ ID NO: 16 and a light chain variable region comprising the amino acid sequence of SEQ ID NO: 28;

or it is a functional fragment or derivative of the antibody having the characteristics (a) and (b) said functional fragment or derivative showing cross-specificity with an antibody comprising the amino acid sequence of SEQ ID NO: 16 and SEQ ID NO: 28.

15 The above described embodiments regarding the antibody according to the invention and its antigen and/or epitope binding properties can in the same manner be applied to the fragment or derivative thereof according to the invention.

20 The antibody according to the invention preferably is a monoclonal antibody. Furthermore, the antibody preferably is a human, murine, goat, primate or camel antibody or is derived therefrom. It may be a chimeric or humanized antibody. It may be an antibody of any isotype or subclass thereof, in particular of the IgG, IgM, IgA, IgE or IgD isotype or a subclass thereof such as IgG1. Preferably, the fragment or derivative of the antibody according to the invention is selected from the group consisting of a Fab fragment, a F(ab)<sub>2</sub> fragment, a Fd fragment, a Fv fragment, a scFv fragment, a (Fv)<sub>2</sub> fragment, and a multibody. The antibody or fragment or derivative thereof may be a single chain construct comprising only one amino acid molecule, or a multi chain construct comprising more than one amino acid molecule which preferably are covalently connected to each other, for example by disulfide bonds.

25 In certain embodiments, the antibody or fragment or derivative thereof according to the invention is engineered in such a way that the heavy chain variable region (VH) comprised therein contains at least one CDR which is derived from a different antibody than at least a part of the remaining VH. For example, the VH comprises at least one CDR, preferably two or three CDRs, derived from one antibody, for example a mouse, camel, goat or primate antibody, and at least one FR, preferably two, three or four FRs, derived from another antibody or group of antibodies, preferably antibodies of another species, in particular from human antibodies. In this embodiment, the antibody or

fragment or derivative thereof may further comprise a light chain variable region (VL). In particular, the VL may be derived from the antibody from which the one or more CDRs of the VH are derived, or the VL may be a construct wherein one, two or three CDRs are derived from the same antibody as the one or more CDRs of the VH, while one, two, three or preferably all four FRs are derived from the same species, in particular the same antibody or group of antibodies as the one or more FRs of the VH. Moreover, the antibody or fragment or derivative thereof may further comprise one, two, three or four heavy chain constant regions (CH) and/or one light chain constant region (CL) which preferably are derived from the same species, in particular the same antibody or group of antibodies as the FRs of the variable regions. In preferred embodiments, the FRs of the variable regions and the constant regions are not derived from one specific antibody but have an amino acid sequence which represents a consensus sequence or another preferred sequence derived from a specific group of antibodies, for example a group of human antibodies.

In another embodiment, the antibody or fragment or derivative thereof according to the invention is chimeric and comprises one or more heavy chain and optionally light chain variable regions which are derived from one antibody and one or more heavy chain and optionally light chain constant regions which are derived from another antibody. Preferably, the two different antibodies are of different species, such as for example the variable regions are derived from a murine antibody while the constant regions are derived from a human antibody.

The antibody or fragment or derivative thereof according to the invention preferably is glycosylated. In preferred embodiments, it has a human glycosylation pattern, that is, a glycosylation pattern also found on naturally occurring antibodies produced by the human body. Furthermore, the antibody or fragment or derivative thereof may preferably comprise a glycosylation pattern which modulates, in particular enhances one or more activities thereof. For example, the glycosylation pattern may enhance the antibody's, fragment's or derivative's affinity towards its specific epitope, and/or its affinity towards its downstream receptors such as Fc receptors, in particular Fc gamma, Fc alpha or Fc epsilon receptors. Additionally or alternatively, the glycosylation pattern may enhance its complement dependent cytotoxicity (CDC) and/or its antibody-dependent cell-mediated cytotoxicity (ADCC). To this end, the glycosylation pattern of the antibody or fragment or derivative thereof may be engineered or optimized, for example by using specific cell lines which are capable of producing the desired glycosylation pattern. Such cell lines are, for example, K562, KG1, MUTZ-3, NM-F9 [DSM ACC2606], NM-D4 [DSM ACC2605], NM-H9D8 [DSM ACC 2806], NM-H9D8-E6 [DSM ACC 2807], NM H9D8-E6Q12 [DSM ACC 2856], and GT-2X [DSM ACC 2858]. Therefore, the antibody or fragment or derivative thereof preferably has a glycosylation pattern as provided when expressed in one of these cell lines.

The antibody or fragment or derivative thereof according to the invention preferably is useful in medicine, in particular in therapy, diagnosis, prognosis and/or monitoring of a disease, in particular a disease as described herein, preferably cancer.

- 5 The heavy chain variable region comprised in the antibody or fragment or derivative thereof according to the invention preferably encompasses at least one CDR selected from the group consisting of CDR1 having the amino acid sequence of SEQ ID NO: 1 or 2, CDR2 having the amino acid sequence of SEQ ID NO: 3 or 4, and CDR3 having the amino acid sequence of SEQ ID NO: 5 or 6, preferably at least CDR1 having the amino acid sequence of SEQ ID NO: 1. In particular, it may comprise a set of CDRs wherein CDR1 has the amino acid sequence of SEQ ID NO: 1, CDR2 has the amino acid sequence of SEQ ID NO: 3 and CDR3 has the amino acid sequence of SEQ ID NO: 5, or wherein CDR1 has the amino acid sequence of SEQ ID NO: 2, CDR2 has the amino acid sequence of SEQ ID NO: 4 and CDR3 has the amino acid sequence of SEQ ID NO: 6.
- 10
- 15 According to one embodiment, the antibody or fragment or derivative thereof according to the invention preferably comprises a heavy chain variable region having at least one framework region selected from the group consisting of FR1 having the amino acid sequence of SEQ ID NO: 7, in particular SEQ ID NO: 8, FR2 having the amino acid sequence of SEQ ID NO: 9, in particular SEQ ID NO: 10, FR3 having the amino acid sequence of SEQ ID NO: 11, in particular SEQ ID NO: 12, and FR4 having the amino acid sequence of SEQ ID NO: 13, in particular SEQ ID NO: 14. The presence of FR1 having the amino acid sequence of SEQ ID NO: 8 is particularly preferred. The heavy chain variable region thus preferably comprises the amino acid sequence of SEQ ID NO: 15, in particular SEQ ID NO: 16.
- 20
- 25 In a further embodiment, the antibody or fragment or derivative thereof according to the invention is derived from an antibody comprising one or more of the segments or sequences described above.
- 30
- 35 The antibody or fragment or derivative thereof according to the invention may further comprise at least one further complementarity determining region selected from the group consisting of CDR1 having the amino acid sequence of SEQ ID NO: 17 or 18, CDR2 having the amino acid sequence of SEQ ID NO: 19 or 20, and CDR3 having the amino acid sequence of SEQ ID NO: 21 or 22, wherein said at least one further complementarity determining region is preferably present within a light chain variable region. In particular, the antibody or fragment or derivative thereof preferably comprises a set of CDRs wherein the CDRs of the heavy chain variable region have the amino acid sequences of SEQ ID NOs: 1, 3 and 5 and the CDRs of the light chain variable region have the amino acid sequences of SEQ ID NOs: 17, 19 and 21, or wherein the CDRs of the heavy chain variable region have the amino acid sequences of SEQ ID

5 NOs: 2, 4 and 6 and the CDRs of the light chain variable region have the amino acid sequences of SEQ ID NOs: 18, 20 and 22. Said light chain variable region preferably comprises the amino acid sequence of SEQ ID NO: 27, in particular SEQ ID NO: 28. In particular preferred embodiments, the antibody according to the invention comprises a VH comprising the amino acid sequence of SEQ ID NO: 16 and a VL comprising the amino acid sequence of SEQ ID NO: 28 or a functional variant or derivative thereof.

According to one embodiment, the antibody comprises the following antibody framework regions

10 (i) FRH1, FRH2, FRH3 and FRH4 for the heavy chain variable region VH have the following amino acid sequences, the amino acid position corresponding to the numeration according to Kabat:

for FRH1 (SEQ ID NO: 7)

Pos.	Amino acid
1	E
2	V
3	Q or K
4	L
5	V
6	E
7	S
8	G
9	G
10	G
11	L
12	V
13	Q

- 18 -

14	P
15	G
16	G
17	S
18	L or M
19	R
20	L
21	S
22	C
23	A or V
24	A
25	S
26	G
27	F
28	P
29	F
30	S

for FRH2 (SEQ ID NO: 9)

Pos.	Amino acid
36	W
37	V

- 19 -

38	R
39	Q
40	A or S
41	P
42	G or E
43	K
44	G
45	L
46	E
47	W
48	V
49	G or A

for FRH3 (SEQ ID NO: 11)

Pos.	Amino acid
66	R
67	F
68	T
69	I
70	S
71	R
72	D

- 20 -

73	D
74	S
75	K
76	N or S
77	S
78	L or V
79	Y
80	L
81	Q
82	M
82a	N
82b	S
82c	L
83	K
84	T
85	E
86	D
87	T
88	A
89	V
90	Y
91	Y
92	C

- 21 -

93	T or A
94	R

for FRH4 (SEQ ID NO: 13)

Pos.	Amino acid
103	W
104	G
105	Q
106	G
107	T
108	L
109	V or L
110	T
111	V
112	S
113	S

- 5 (ii) and optionally FRL1, FRL2, FRL3 and FRL4 for the light chain variable region VL have the following amino acid sequences, the amino acid position corresponding to the numeration according to Kabat:

- 22 -

for FRL1 (SEQ ID NO: 23)

Pos.	Amino acid
1	D
2	I
3	V
4	M
5	T
6	Q
7	S
8	P
9	L
10	S
11	L or N
12	P
13	V
14	T
15	P
16	G
17	E or D
18	P
19	A
20	S

- 23 -

21	I
22	S
23	C

for FRL2 (SEQ ID NO: 24)

Pos.	Amino acid
35	W
36	Y
37	L
38	Q
39	K
40	P
41	G
42	Q
43	S
44	P
45	Q
46	L
47	L
48	I
49	Y

- 24 -

for FRL3 (SEQ ID NO: 25)

Pos.	Amino acid
57	G
58	V
59	P
60	D
61	R
62	F
63	S
64	G
65	S
66	G
67	S
68	G
69	T
70	D
71	F
72	T
73	L
74	K or R
75	I
76	S

- 25 -

77	R
78	V
79	E
80	A
81	E
82	D
83	V
84	G
85	V
86	Y
87	Y
88	C

for FRL4 (SEQ ID NO: 26)

Pos.	Amino acid
98	F
99	G
100	Q or G
101	G
102	T
103	K
104	V

105	E
106	I
107	K
108	R

5 In certain embodiments, the antibody or fragment or derivative thereof according to the invention is derived from an antibody which does not naturally comprise a proline residue at amino acid position 28, according to the Kabat numbering, of the heavy chain variable region. In particular, FR1 of the heavy chain variable region of the antibody or fragment or derivative thereof according to the invention, comprising amino acid position 28 according to the Kabat numbering, is derived from an antibody which does not comprise a proline residue at position 28 of the VH. Furthermore, also one or more of the remaining FRs and/or one, two or three CDRs of the VH, in particular CDR1, are preferably derived from an antibody which does not comprise a proline residue at position 28 of the VH. The amino acid at position 28 of the VH is then replaced by a proline residue to obtain an antibody or a fragment or derivative thereof according to the invention.

10 15 20 The affinity of the antibody or fragment or derivative thereof according to the invention to the specific antigen preferably is at least as high as the affinity of the antibody or fragment or derivative thereof from which it is derived, in particular an antibody or a fragment or derivative thereof being identical to the antibody or fragment or derivative thereof according to the invention except that it does not comprise a proline residue at amino acid position 28, according to the Kabat numbering, of the heavy chain variable region(s). That is, the antibody or fragment or derivative thereof according to the invention preferably binds to the antigen or epitope with an affinity having a dissociation constant which is equal to or lower than that of said other antibody or fragment or derivative thereof, preferably at least 2-fold lower, at least 3-fold lower, at least 5-fold lower or more preferably at least 10-fold lower.

25 In one particular embodiment, the antibody or fragment or derivative thereof according to the invention is derived from PankoMab (heavy chain variable region of SEQ ID NO: 29, light chain variable region of SEQ ID NO: 30). PankoMab is a murine monoclonal antibody directed against a glycosylated extracellular epitope in the tandem repeats of MUC1 (Danielczyk, A. et al. (2006) *Cancer Immunol. Immunother.* 55, 1337-1347).

30 Furthermore, the antibody according to the invention may comprise at least one heavy chain comprising the amino acid sequence of SEQ ID NO: 31 and optionally at least

one light chain comprising the amino acid sequence of SEQ ID NO: 32 or is a fragment or derivative thereof. Preferably, it comprises a set of heavy and light chains comprising the amino acid sequence of SEQ ID NO: 31 and 32, respectively. Said antibody or fragment or derivative thereof may also be a single chain Fv fragment.

5 In certain embodiments, the engineered antibody or fragment or derivative thereof according to the invention is coupled to a further agent, forming a conjugate. The further agent preferably is useful in therapy, diagnosis, prognosis and/or monitoring of a disease, in particular cancer. For example, the further agent may be selected from the group consisting of antibodies or fragments of antibodies, in particular those of different species and/or different specificity, enzymes, interaction domains, stabilizing domains, signaling sequences, detectable labels, fluorescent dyes, toxins, catalytic antibodies, cytolytic components, immunomodulators, immunoeffectors, MHC class I or class II antigens, chelators for radioactive labeling, radioisotopes, liposomes, transmembrane domains, viruses, and cells. It may be covalently, in particular by fusion or chemical coupling, or non-covalently attached to the antibody or fragment or derivative thereof. A particular preferred further agent is an agent capable of killing cancer cells.

20 In a further aspect, the present invention provides a nucleic acid encoding the antibody or fragment or derivative thereof according to the invention. The nucleic acid sequence of the nucleic acid according to the invention may have any nucleotide sequence suitable for encoding the antibody or fragment or derivative thereof according to the invention. However, preferably the nucleic acid sequence is at least partially adapted to the specific codon usage of the host cell or organism in which the nucleic acid according to the invention is to be expressed. The nucleic acid according to the invention may be double-stranded or single-stranded DNA or RNA, preferably double-stranded DNA such as cDNA or single-stranded RNA such as mRNA. It may be one consecutive nucleic acid molecule or it may be composed of several nucleic acid molecules, each coding for a different part of the antibody or fragment or derivative thereof according to the invention.

25 30 35 If the antibody or fragment or derivative thereof according to the invention is a single chain construct, the nucleic acid according to the invention preferably is a single nucleic acid molecule containing a coding region which codes for the entire antibody or fragment or derivative thereof. If the antibody or fragment or derivative thereof according to the invention is composed of more than one amino acid chain, the nucleic acid according to the invention may, for example, be a single nucleic acid molecule containing several coding regions each coding for one of the amino acid chains of the antibody or fragment or derivative thereof, preferably separated by regulatory elements such as IRES elements in order to generate separate amino acid chains, or the nucleic

acid according to the invention may be composed of several nucleic acid molecules wherein each nucleic acid molecule comprises one or more coding regions each coding for one of the amino acid chains of the antibody or fragment or derivative thereof. In addition to the coding regions encoding the antibody or fragment or derivative thereof according to the invention, the nucleic acid according to the invention 5 may also comprise further nucleic acid sequences or other modifications which, for example, may code for other proteins, may influence the transcription and/or translation of the coding region(s), may influence the stability or other physical or chemical properties of the nucleic acid, or may have no function at all.

10 In a further aspect, the present invention provides an expression cassette or vector comprising a nucleic acid according to the invention and a promoter operatively connected with said nucleic acid. In addition, the expression cassette or vector may comprise further elements, in particular elements which are capable of influencing and/or regulating the transcription and/or translation of the nucleic acid according to the 15 invention, the amplification and/or reproduction of the expression cassette or vector, the integration of the expression cassette or vector into the genome of a host cell, and/or the copy number of the expression cassette or vector in a host cell. Suitable expression cassettes and vectors comprising respective expression cassettes for expressing antibodies are well known in the art and thus, need no further description 20 here.

Furthermore, the present invention provides a host cell comprising the nucleic acid according to the invention or the expression cassette or vector according to the invention. The host cell according to the invention may be any host cell. It may be an isolated cell or a cell comprised in a tissue. Preferably, the host cell is a cultured cell, in particular a primary cell or a cell of an established cell line, preferably a tumor-derived 25 cell. Preferably, it is a bacterial cell such as *E. coli*, a yeast cell such as a *Saccharomyces* cell, in particular *S. cerevisiae*, an insect cell such as a *Sf9* cell, or a mammalian cell, in particular a human cell such as a tumor-derived human cell, a hamster cell such as *CHO*, or a primate cell. In a preferred embodiment of the 30 invention the host cell is derived from human myeloid leukaemia cells. Preferably, it is selected from the following cells or cell lines: K562, KG1, MUTZ-3, NM-F9 [DSM ACC2606], NM-D4 [DSM ACC2605] or a cell or cell line derived therefrom, or a mixture of cells or cell lines comprising at least one of those aforementioned cells. The host cell is preferably selected from the group consisting of NM-F9 [DSM ACC2606], NM-D4 35 [DSM ACC2605], NM-H9D8 [DSM ACC 2806], NM-H9D8-E6 [DSM ACC 2807], NM H9D8-E6Q12 [DSM ACC 2856], GT-2X [DSM ACC 2858] and a cell or cell line derived from anyone of said host cells, or a mixture of cells or cell lines comprising at least one of those aforementioned cells. These cell lines were deposited at the DSMZ - Deutsche Sammlung von Mikroorganismen und Zellkulturen, Mascheroder Weg 1b /

Inhoffenstraße 7B, 38124 Braunschweig (DE) under the accession numbers as indicated above. In preferred embodiments, the host cell is optimized for expression of glycoproteins, in particular antibodies, having a specific glycosylation pattern. Preferably, the codon usage in the coding region of the nucleic acid according to the invention and/or the promoter and the further elements of the expression cassette or vector are compatible with and, more preferably, optimized for the type of host cell used. Preferably, the antibody or fragment or derivative thereof according to the invention is produced by a host cell or cell line as described above.

In another aspect, the present invention provides a composition comprising the antibody or fragment or derivative thereof according to the invention, the nucleic acid according to the invention, the expression cassette or vector according to the invention, or the host cell according to the invention. The composition may also contain more than one of these components. Furthermore, the composition may comprise one or more further components selected from the group consisting of solvents, diluents, and excipients. Preferably, the composition is a pharmaceutical composition. In this embodiment, the components of the composition preferably are all pharmaceutically acceptable. The composition may be a solid or fluid composition, in particular a - preferably aqueous - solution, emulsion or suspension or a lyophilized powder.

In a further aspect, the invention provides the antibody or fragment or derivative thereof according to the invention, the nucleic acid according to the invention, the expression cassette or vector according to the invention, the host cell according to the invention, or the composition according to the invention for use in medicine. Preferably, the use in medicine is a use in the treatment, prognosis, diagnosis and/or monitoring of a disease such as, for example, cancer, infectious diseases such as viral and bacterial infections, autoimmune diseases, cardiovascular diseases, inflammatory diseases, macular degeneration, transplant rejection, and multiple sclerosis. In a preferred embodiment, the disease is cancer. Preferably the cancer is selected from the group consisting of cancer of the colon, stomach, liver, pancreas, kidney, blood, lung, and ovary as well as metastases originating therefrom.

For use in the treatment of diseases associated with abnormal cell growth such as cancer, the antibody or fragment or derivative thereof according to the invention may be coupled to a further agent as described above, wherein the further agent preferably is a cytotoxic agent such as a radionuclide or a cytotoxin. Furthermore, the antibody or fragment or derivative thereof may be engineered so as to enhance its ability to activate the patient's immune response, in particular the ability to activate ADCC (antibody-dependent cell-mediated cytotoxicity) and/or CDC (complement dependent cytotoxicity). For example, this may be achieved by optimizing the amino acid

sequence and/or the glycosylation pattern of the antibody, in particular of its constant regions.

For use as detection agent in diagnosis, prognosis and/or monitoring of a disease, the antibody or fragment or derivative thereof according to the invention preferably is coupled to a labeling agent which is capable of producing a detectable signal. In particular, said labeling agent may be a radionuclide, a fluorophore or an enzyme.

5 In another aspect, the invention provides a method for improving the antigen binding and/or recognition of an antibody or a fragment or derivative thereof which is capable of binding to a mucin protein and which comprises a heavy chain variable region, comprising the step of providing a proline residue at position 28, according to the Kabat 10 numbering, of the heavy chain variable region.

15 In preferred embodiments, the proline residue at position 28 is obtained by altering the sequence of the nucleic acid encoding the antibody or fragment or derivative thereof. In particular, the nucleic acid sequence is altered by introducing a mutation in the codon coding for said amino acid residue. Depending on the amino acid residue which is to be replaced, only one nucleotide, two nucleotides or all three nucleotides of said codon are replaced so that a codon coding for a proline residue is obtained. According to the universal genetic code, codons CCA, CCG, CCC, CCU and CCT encode the amino acid proline. Thus, the nucleic acid coding for the antibody or fragment or derivative thereof should be altered, in particular mutated, in such a manner that the codon encoding amino acid number 28 of the VH has a nucleic acid sequence selected from the group consisting of CCA, CCG, CCC, CCU and CCT. The antibody or fragment or derivative thereof wherein the amino acid at position 28 of the VH is replaced by a proline residue is then obtained by expressing said altered nucleic acid in a suitable 20 expression system.

25 The codon of amino acid residue 28 of the VH in the nucleic acid coding for the antibody or fragment or derivative thereof may be altered to obtain a codon coding for proline by any method known in the art. In particular, it may be altered by specific or random mutation as well as directed mutation such as affinity maturation. For example, an oligonucleotide primer complementary to a part of the nucleic acid encoding the antibody or fragment or derivative thereof and carrying the desired mutation may be used in a reaction for amplifying said nucleic acid, in particular a PCR-based amplification reaction.

30 However, also any other known method for providing a proline residue in a protein may be used. In particular, chemical synthesis of the protein having the altered amino acid sequence or chemical modification of the protein may be used.

By providing a proline residue at position 28 of the VH, the antigen binding and/or antigen recognition properties of the antibody or fragment or derivative thereof is improved. Improving the antigen binding and/or antigen recognition of the antibody or fragment or derivative thereof in particular includes enhancing the affinity to its antigen and/or increasing the specificity towards its antigen. In particular, the antibody or fragment or derivative thereof, after providing a proline residue at position 28 of the VH, has improved antigen binding and/or antigen recognition properties compared to an identical antibody or fragment or derivative thereof not having a proline residue at amino acid position 28 of the VH.

Enhancing the affinity in this respect preferably refers to a lowering of the dissociation constant of the binding of the antibody to its specific antigen or epitope. Preferably, the dissociation constant is lowered at least 1.2-fold, more preferably at least 1.3-fold, at least 1.5-fold, at least 1.7-fold, at least 2-fold, at least 3-fold, at least 5-fold, at least 10-fold, and most preferably at least 50-fold or at least 100-fold. Increasing the specificity in this respect preferably refers to an increase in the difference of the affinity of the antigen towards its specific antigen or epitope compared to its affinity towards any other molecule which is commonly present along with the specific antigen or epitope. Preferably, the difference in the dissociation constants of these two affinities is increased at least 1.2-fold, more preferably at least 1.3-fold, at least 1.5-fold, at least 1.7-fold, at least 2-fold, at least 3-fold, at least 5-fold, at least 10-fold, and most preferably at least 50-fold or at least 100-fold.

The mucin antigen recognized by the antibody or fragment or derivative thereof preferably is a tumor-associated antigen, i.e. an antigen which may be used for discriminating tumor tissue from normal tissue and/or as marker for specifically targeting therapeutic agents to tumor tissue. By providing a proline residue at position 28 of the VH, the usefulness in medicine of the antibody or fragment or derivative thereof preferably may be improved, for example by enhancing the ability to discriminate between tumor tissue and normal tissue and/or lowering the concentration of the antibody or antibody-containing conjugate necessary for achieving the desired medicinal effect.

The antibody or fragment or derivative thereof which antigen binding and/or recognition is to be improved by the method according to the invention may not comprise a proline residue at position 28, according to the Kabat numbering, of at least one heavy chain variable region. Furthermore, the embodiments or features described above with respect to the antibody or fragment or derivative thereof according to the invention also apply, alone or in the various possible combinations, to the antibody or fragment or derivative thereof which antigen binding and/or recognition is to be improved by the method according to the invention. In particular, the antibody or fragment or derivative

thereof which antigen binding and/or recognition is to be improved may have any of the amino acid sequences or combinations of amino acid sequences described above, wherein, however, the amino acid residue at position 28, according to the Kabat numbering, of at least one heavy chain variable region is an amino acid residue other than proline.

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Preferably, the antibody or fragment or derivative thereof which antigen binding and/or recognition is to be improved by the method according to the invention is capable of specifically binding to MUC1, in particular an epitope on the extracellular tandem repeats of MUC1, preferably in a conformation-dependent and/or glycosylation-dependent manner. Specific embodiments of the epitope or antigen are described above with respect to the antigen or fragment or derivative according to the invention. In particularly preferred embodiments, the heavy chain variable region of the antibody or fragment or derivative thereof which antigen binding and/or recognition is to be improved by the method according to the invention comprises

- (i) one or more, preferably all of the CDRs of the group consisting of CDR1 having SEQ ID NO: 1, CDR2 having SEQ ID NO: 3 and CDR3 having SEQ ID NO: 5, or one or more, preferably all of the CDRs of the group consisting of CDR1 having SEQ ID NO: 2, CDR2 having SEQ ID NO: 4 and CDR3 having SEQ ID NO: 6, in particular preferably at least CDR1 having SEQ ID NO: 1; and/or
- (ii) one or more, preferably all of the FRs of the group consisting of FR1 having SEQ ID NO: 33 or 34, FR2 having SEQ ID NO: 9 or 35, FR3 having SEQ ID NO: 11 or 36, FR4 having SEQ ID NO: 13 or 37, in particular preferably at least FR1 having SEQ ID NO: 33.

Preferably, the heavy chain variable region comprises the amino acid sequence of SEQ ID NO: 38 or 29. Furthermore, the antibody which antigen binding and/or recognition is to be improved may comprise one or more heavy chains comprising the amino acid sequence of SEQ ID NO: 39, and/or one or more light chains comprising the amino acid sequence of SEQ ID NO: 32. Preferably, it comprises at least one pair of heavy and light chains comprising the amino acid sequence of SEQ ID NO: 39 and 32, respectively, or is a functional fragment or derivative of the foregoing. Alternatively, a single chain Fv fragment may be used in the method according to the invention. In particularly preferred embodiments, PankoMab or an antibody or fragment derived therefrom, or an antibody or fragment thereof exhibiting cross-specificity with PankoMab are used in the method according to the invention.

The antibody or fragment or derivative thereof according to the invention may be obtainable or prepared by the method for improving the antigen binding and/or

recognition of an antibody or a fragment or derivative thereof according to the invention.

Furthermore, the present invention provides a method for preparing a nucleic acid according to the invention, comprising the steps of

- 5 (a) providing a nucleic acid comprising the nucleic acid sequence of an antibody or a fragment or derivative thereof which does not comprise a proline residue at amino acid position 28, according to the Kabat numbering, of the heavy chain variable region; and
- 10 (b) introducing a mutation into the codon coding for amino acid number 28, according to the Kabat numbering, of the heavy chain variable region so that said codon codes for a proline residue.

15 The mutation may be introduced by any method appropriate for this purpose. A variety of suitable methods are known in the art. For example, the mutation may be introduced by random or directed mutation of the initial nucleic acid, for example using an oligonucleotide primer carrying the mutation in a PCR-based method. Alternatively, the nucleic acid or a part thereof containing the mutation may be chemically synthesized and ligated to the remaining part of the nucleic acid, where appropriate.

20 Furthermore, a method for preparing the engineered antibody or fragment or derivative thereof according to the invention may be based on the above method for preparing a nucleic acid according to the invention. Said method for preparing the engineered antibody or fragment or derivative thereof according to the invention comprises the steps (a) and (b) of the method for preparing a nucleic acid according to the invention and further comprises the step of expressing the nucleic acid obtained in step (b) in an expression system, thereby generating the antibody or fragment or derivative thereof according to the invention encoded by said nucleic acid.

25 Appropriate expression systems may be cell-free expression systems or expression systems based on the host cells described above. In particular, the use of mammalian host cells is preferred, especially the use of human host cells, preferably host cells as disclosed above. Preferably, the host cell used for expressing the antibody or fragment or derivative thereof is optimized with respect to the glycosylation pattern of the antibody expressed by the host cell.

30 The features disclosed with respect to the other aspects of the present invention, in particular with the antibody according to the invention, the nucleic acid according to the invention, the expression cassette, vector or host cell according to the invention, or the method for improving the antigen binding and/or recognition of an antibody or a

fragment or derivative thereof according to the invention, alone or in combination, may also be applied to the method for preparing a nucleic acid according to the invention.

## FIGURES

5 **Figure 1** shows the binding of the chimeric mouse/human and several humanized PankoMab-derived antibodies to a glycosylated (256.1) and a non-glycosylated (258.1) 30-mer polypeptide comprising the MUC1 epitope of PankoMab, and to different glycosylated polypeptides comprising 2, 4 or 5 MUC1 tandem repeats (TR2, TR4 and TR5, respectively). Binding to BSA was used as control. The experiments were done with different dilutions of cell supernatants containing antibodies after adjustment of the titers (indicated on the left of the graphs).

10 **Figure 2** shows the binding of the chimeric mouse/human and several humanized PankoMab-derived antibodies to (A) a non-glycosylated (258.1) and (B) a glycosylated (256.1) 30-mer polypeptide comprising the MUC1 epitope of PankoMab. As control (blank), no primary antibody was used. As further control, the experiments were also done after treatment of the 30-mer polypeptides with periodate (with PO) which breaks up the saccharide rings and thus, destroys the glycosylation of the polypeptide 256.1.

15 **Figure 3** shows a direct comparison of the binding of the VH16m/VL6.1 antibody (having a proline residue at position 28 of the heavy chain variable region) and the VH16/VL6.1 antibody (having a threonine residue at position 28 of the heavy chain variable region) to the glycosylated 30-mer target peptide 256.1 comprising the MUC1 epitope of PankoMab.

## EXAMPLES

20 **Example 1: Humanization of the murine heavy and light chain variable regions of PankoMab**

25 PankoMab is a monoclonal antibody directed against a glycosylated, tumor-associated epitope in the extracellular tandem repeats of human MUC1. After preparation of the murine antibody PankoMab (Danielczyk, A. et al. (2006) *Cancer Immunol. Immunother.* 55, 1337-1347), the nucleic acid sequences coding for the heavy and light chain variable regions (VH and VL) were ligated to the genomic sequences of the human constant  $\gamma$ 1 region (CH) and the human constant  $\kappa$  region (CL), respectively. For a detailed description of this cloning procedure it is referred to WO 2004/065423 A2, in particular example 3.

On the basis of these chimeric clones (heavy chain: SEQ ID NO: 40, light chain: SEQ ID NO: 41), humanized PankoMab antibodies were constructed. To this end, point mutations were introduced into the nucleic acid sequences of the murine framework regions of VH and VL in order to generate the corresponding human framework regions. The target human framework regions were selected from a human germ line antibody library. In particular, the most related framework regions were chosen from the library depending on their overall sequence similarity and their CDR loop classification. Then, human consensus sequences for the heavy and light chain variable regions were used to identify unusual amino acids. All data obtained were considered to design a set of different variable sequences of humanized variable light (10 variants) and variable heavy chains (15 variants). Variants contain back-mutations to the murine sequence on critical positions and/or mutations of rare amino acids, i.e. amino acids which are rather uncommon on their specific position in human framework regions, to their common counterparts. Following expression of the different constructs humanized antibody variants were screened in a 256.1-specific ELISA and the best binders were selected.

By the above described method, the following humanized antibody heavy and light chains variable regions were obtained and characterized further.

**Table 1**

heavy chain variable region	SEQ ID	light chain variable region	SEQ ID
<i>mVH</i>	29	<i>mVL</i>	30
VH1	38	VL1.1	46
VH9	42	VL6.1	28
VH16	43	VL10.1	47
VH16m	16	VL10.2	48
VH31	44		
VH32	45		

*mVH* and *mVL* represent the murine heavy and light chain variable regions, respectively, which were used as basis for the humanization.

**Example 2: Affinity of the humanized PankoMab variants to the glycosylated and non-glycosylated epitope**

Using IgG antibodies comprising these heavy and light chain variable regions in different combinations, two binding assays with a 30-mer polypeptide containing the epitope of PankoMab (peptide 258.1: APPAHGVTSAPDTRPAPGSTAPPAHGVTS, SEQ ID NO: 51) were done, wherein in one assay the peptide was glycosylated at the central threonine with N-acetyl galactosamine (peptide 256.1: APPAHGVTSAPDT[GalNAc]RPAPGSTAPPAHGVTS) while in the other assay the

peptide was non-glycosylated. Furthermore, binding assays with multiple TN-glycosylated MUC1 tandem repeats containing 2, 4 or 5 tandem repeats (TR2, TR4 and TR5: SEQ ID NOs: 52, 53 and 54, respectively) were performed.

The results of these assays are shown in Figures 1 and 2. Using these assays, it could be demonstrated that antibodies containing the heavy chain variable region VH16m having an unusual proline residue at position 28 have a higher affinity towards the glycosylated epitope-containing polypeptide than those not having a proline residue at position 28 of the heavy chain variable region.

The direct comparison of two humanized anti-MUC1 antibodies which only differ in the amino acid residue at position 28 of the heavy chain variable region, once being proline (heavy chain variable region VH16m, light chain variable region VL6.1) and once being threonine (heavy chain variable region VH16, light chain variable region VL6.1), demonstrates that this amino acid exchange is responsible for the improved binding to the glycosylated epitope-containing target peptide 256.1 (see Figure 3).

### Identification of the deposited biological material

The cell lines DSM ACC 2606 and DSM ACC 2605 were deposited at the DSMZ - Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH, Mascheroder Weg 1b, 38124 Braunschweig (DE) by Nemod Biotherapeutics GmbH & Co. KG, Robert-Rössle-Str. 10, 13125 Berlin (DE). Glycotope is entitled to refer to these biological materials since they were in the meantime assigned from Nemod Biotherapeutics GmbH & Co. KG to Glycotope GmbH.

The cell lines DSM ACC 2806, DSM ACC 2807, DSM ACC 2856 and DSM ACC 2858 were deposited at the DSMZ - Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH, Inhoffenstraße 7B, 38124 Braunschweig (DE) by Glycotope GmbH, Robert-Rössle-Str. 10, 13125 Berlin (DE).

Accession Number	Name of the Cell Line	Depositor	Date of Deposition
DSM ACC 2606	NM-F9	Nemod Biotherapeutics	August 14, 2003
DSM ACC 2605	NM-D4	Nemod Biotherapeutics	August 14, 2003
DSM ACC 2806	NM-H9D8	Glycotope GmbH	September 15, 2006
DSM ACC 2807	NM-H9D8-E6	Glycotope GmbH	October 5, 2006
DSM ACC 2856	NM-H9D8-E6Q12	Glycotope GmbH	August 8, 2007
DSM ACC 2858	GT-2x	Glycotope GmbH	September 7, 2007

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Address of depositary institution ( <i>including postal code and country</i> ) Mascheroder Weg 1b 38124 Braunschweig DE	
Date of deposit 2003-08-14	Accession Number DSM ACC2606
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For Europe, applicant accordingly requests that a sample of the deposited biological material will be made available as provided in Rule 33(1)(2) EPC until the publication of the mention of the grant of the patent or for 20 years from the date of filing if the application is refused or withdrawn or deemed to be withdrawn, only by the issue of a sample to an expert nominated by the person requesting the sample (Rule 32 EPC).

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BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

DSMZ

Deutsche Sammlung von  
Mikroorganismen und  
Zellkulturen GmbH

## INTERNATIONAL FORM

Nemod Biotherapeutics GmbH &amp; Co. KG

Robert-Rössle-Str. 10

13125 Berlin

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Identification reference given by the DEPOSITOR:  
NM-F9

Accession number given by the  
INTERNATIONAL DEPOSITORY AUTHORITY:  
DSM ACC2606

## II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I. above was accompanied by:

- ( a scientific description  
( a proposed taxonomic designation

(Mark with a cross where applicable).

## III. RECEIPT AND ACCEPTANCE

This International Depository Authority accepts the microorganism identified under I. above, which was received by it on 2003-08-14  
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## IV. RECEIPT OF REQUEST FOR CONVERSION

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(date of receipt of request for conversion).

## V. INTERNATIONAL DEPOSITORY AUTHORITY

Name: DSMZ-DEUTSCHE SAMMLUNG VON  
MIKROORGANISMEN UND ZELLKULTUREN GmbH  
Address: Muscheler Weg 1b  
D-38124 Braunschweig

Signature(s) of person(s) having the power to represent the  
International Depository Authority or of authorized official(s):

Date: 2003-10-16

<sup>1</sup> Where Rule 6.4 (d) applies, such date is the date on which the status of international depository authority was acquired.

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Applicant's or agent's file reference 51 878 K	International application No.
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Address of depositary institution ( <i>including postal code and country</i> ) Mascheroder Weg 1b 38124 Braunschweig DE	
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BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

DSMZ

Deutsche Sammlung von  
Mikroorganismen und  
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Identification reference given by the DEPOSITOR: NM-D4	Accession number given by the INTERNATIONAL DEPOSITORY AUTHORITY: DSM ACC2605
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<p>Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH Address: Mascheroder Weg 1b D-38124 Braunschweig</p>	<p>Signature(s) of person(s) having the power to represent the International Depository Authority or of authorized official(s):            Date: 2003-10-16</p>

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Address of depositary institution ( <i>including postal code and country</i> ) Inhoffenstr. 7B 38124 Braunschweig DE	
Date of deposit 2006-09-15	Accession Number DSM ACC2806
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BUDAPEST TREATY ON THE INTERNATIONAL  
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Glycotope GmbH

Robert-Rössle-Str. 10

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III. RECEIPT AND ACCEPTANCE	
<p>This International Depository Authority accepts the microorganism identified under I. above, which was received by it on 2006-10-05 (Date of the original deposit)<sup>1</sup>.</p>	
IV. RECEIPT OF REQUEST FOR CONVERSION	
<p>The microorganism identified under I. above was received by this International Depository Authority on and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of original deposit) (date of receipt of request)</p>	
V. INTERNATIONAL DEPOSITORY AUTHORITY	
Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH Address: Inhoffenstr. 7 B D-38124 Braunschweig	Signature(s) of person(s) having the power to represent the International Depository Authority or of authorized official(s):  <i>V. Wehs</i> Date: 2006-10-18

<sup>1</sup> Where Rule 6.4 (d) applies, such date is the date on which the status of International Depository Authority was acquired.

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Applicant's or agent's file reference 51 878 K	International application No.
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM  
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 15, line 37	
B. IDENTIFICATION OF DEPOSIT	
Name of depositary institution Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSMZ)	
Address of depositary institution (including postal code and country) Inhoffenstr. 7B 38124 Braunschweig DE	
Date of deposit 2007-08-08	Accession Number DSM ACC2856
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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For International Bureau use only	
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Authorized officer	

Additional indications according to form PCT/RO/134 for Accession Number DSM ACC2856:

Applicant herewith requests for those countries which have a respective provision that the furnishing of a sample of the deposited material referred to in the application may only be made to an independent, nominated expert (request of the "expert solution" where applicable, in particular in Australia, Canada, Croatia, Denmark, Finland, Germany, Iceland, Norway, Singapore, Spain, Sweden, United Kingdom, Europe).

For Europe, applicant accordingly requests that a sample of the deposited biological material will be made available as provided in Rule 33(1)(2) EPC until the publication of the mention of the grant of the patent or for 20 years from the date of filing if the application is refused or withdrawn or deemed to be withdrawn, only by the issue of a sample to an expert nominated by the person requesting the sample (Rule 32 EPC).

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BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Glycotope GmbH

Robert-Rössle-Str. 10  
13125 BERLINRECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
issued pursuant to Rule 7.1 by the  
INTERNATIONAL DEPOSITORY AUTHORITY  
identified at the bottom of this page

<b>I. IDENTIFICATION OF THE MICROORGANISM</b>	
Identification reference given by the DEPOSITOR:	Accession number given by the INTERNATIONAL DEPOSITORY AUTHORITY:
NM-H9D8-E6Q12	DSM ACC2856
<b>II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION</b>	
<p>The microorganism identified under I. above was accompanied by:</p> <p><input type="checkbox"/> a scientific description  <input type="checkbox"/> a proposed taxonomic designation</p> <p>(Mark with a cross where applicable).</p>	
<b>III. RECEIPT AND ACCEPTANCE</b>	
<p>This International Depository Authority accepts the microorganism identified under I. above, which was received by it on 2007-08-08 (Date of the original deposit)<sup>1</sup>.</p>	
<b>IV. RECEIPT OF REQUEST FOR CONVERSION</b>	
<p>The microorganism identified under I. above was received by this International Depository Authority, on and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on for conversion).</p> <p>(date of original deposit) (date of receipt of request)</p>	
<b>V. INTERNATIONAL DEPOSITORY AUTHORITY</b>	
<p>Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH</p> <p>Address: Inhoffenstr. 7B D-38124 Braunschweig</p>	<p>Signature(s) of person(s) having the power to represent the International Depository Authority or of authorized official(s):</p> <p><i>V. Webo</i></p> <p>Date: 2007-08-23</p>

<sup>1</sup> Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.  
Form DSMZ-BP/4 (sole page) 12/2001

Applicant's or agent's file reference 51 878 K	International application No.
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**INDICATIONS RELATING TO DEPOSITED MICROORGANISM  
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 15, line 37	
B. IDENTIFICATION OF DEPOSIT	
Name of depositary institution Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSMZ)	
Address of depositary institution (including postal code and country) Inhoffenstr. 7B 38124 Braunschweig DE	
Date of deposit 2007-09-07	Accession Number DSM ACC2858
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)	
E. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	

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Additional indications according to form PCT/RO/134 for Accession Number DSM ACC2858:

Applicant herewith requests for those countries which have a respective provision that the furnishing of a sample of the deposited material referred to in the application may only be made to an independent, nominated expert (request of the "expert solution" where applicable, in particular in Australia, Canada, Croatia, Denmark, Finland, Germany, Iceland, Norway, Singapore, Spain, Sweden, United Kingdom, Europe).

For Europe, applicant accordingly requests that a sample of the deposited biological material will be made available as provided in Rule 33(1)(2) EPC until the publication of the mention of the grant of the patent or for 20 years from the date of filing if the application is refused or withdrawn or deemed to be withdrawn, only by the issue of a sample to an expert nominated by the person requesting the sample (Rule 32 EPC).

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BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Glycotope GmbH

Robert-Rössle-Str. 10

13125 Berlin

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
issued pursuant to Rule 7,1 by the  
INTERNATIONAL DEPOSITORY AUTHORITY  
identified at the bottom of this page

<b>I. IDENTIFICATION OF THE MICROORGANISM</b>	
Identification reference given by the DEPOSITOR: GT-2x	Accession number given by the INTERNATIONAL DEPOSITORY AUTHORITY: DSM ACC2858
<b>II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION</b>	
<p>The microorganism identified under I. above was accompanied by:</p> <p><input checked="" type="checkbox"/> a scientific description  <input type="checkbox"/> a proposed taxonomic designation</p> <p>(Mark with a cross where applicable)</p>	
<b>III. RECEIPT AND ACCEPTANCE</b>	
<p>This International Depository Authority accepts the microorganism identified under I. above, which was received by it on 2007-09-07 (Date of the original deposit).</p>	
<b>IV. RECEIPT OF REQUEST FOR CONVERSION</b>	
<p>The microorganism identified under I. above was received by this International Depository Authority on and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of original deposit) (date of receipt of request)</p>	
<b>V. INTERNATIONAL DEPOSITORY AUTHORITY</b>	
<p>Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH</p> <p>Address: Inhoffenstr. 7 B D-38124 Braunschweig</p>	<p>Signature(s) of person(s) having the power to represent the International Depository Authority or of authorized official(s):</p> <p><i>V. Weis</i></p> <p>Date: 2007-09-20</p>

## CLAIMS

1. A humanized antibody or a fragment thereof specifically binding to a mucin protein and which comprises at least a portion of the heavy chain variable region which comprises a proline residue at amino acid position 28 according to the Kabat numbering;

wherein

- (i) the antibody or fragment thereof
  - (a) specifically binds a glycosylated MUC1 tumor epitope, and
  - (b) comprises a heavy chain variable region comprising the amino acid sequence of SEQ ID NO:16 and a light chain variable region comprising the amino acid sequence of SEQ ID NO:28;

or wherein

- (ii) the antibody or fragment thereof
  - (a) comprises a set of CDRs in the heavy chain variable region consisting of CDR1 having the amino acid sequence of SEQ ID NO:1, CDR2 having the amino acid sequence of SEQ ID NO:3, and CDR3 having the amino acid sequence of SEQ ID NO:5 and a set of CDRs in the light chain variable region consisting of CDR1 having the amino acid sequence of SEQ ID NO:17, CDR2 having the amino acid sequence of SEQ ID NO:19, and CDR3 having the amino acid sequence of SEQ ID NO:21, and
  - (b) comprises an amino acid sequence which is at least 80% identical to the amino acid sequence of SEQ ID NO:16, and an amino acid sequence which is at least 80% identical to the amino acid sequence of SEQ ID NO:28, wherein the variability in the amino acid sequences is found in the non-CDR regions; and
  - (c) specifically binds to the glycosylated MUC1 tumor epitope with a lower dissociation constant than a fragment of an antibody which is identical to the fragment except that it does not comprise a proline residue at position 28, according to the Kabat numbering, of the heavy chain variable region.

2. The antibody or fragment thereof according to claim 1, having one or more of the following characteristics:

- (a) the specific binding to its epitope is dependent on the conformation and/or the glycosylation pattern of the epitope;

- (b) it specifically binds a peptide comprising the amino acid sequence PDTR;
  - (c) it specifically binds a peptide comprising the amino acid sequence PDTR, which is glycosylated at the threonine residue with N-acetyl galactosamine (Tn) or galactose  $\beta$ 1-3 N-acetyl galactosamine (TF);
  - (d) it specifically binds a peptide comprising the amino acid sequence PDTRP, wherein the binding is increased if the epitope is glycosylated at the threonine residue with N-acetyl galactosamine (Tn) or galactose  $\beta$ 1-3 N-acetyl galactosamine (TF);
  - (e) it specifically binds the glycosylated MUC1 tumor epitope such that the strength of the bond is increased at least by a factor of 20 in comparison with the bond to the non-glycosylated peptide of identical length and identical peptide sequence.
3. The antibody or fragment thereof according to claim 1 or 2, wherein the fragment has one or more of the following characteristics:
- (a) it comprises the antibody framework regions selected from the following:
    - (i) FRH1, FRH2, FRH3 and FRH4 for the variable heavy chain VH have the following amino acid sequences, the amino acid position corresponding to the numeration according to Kabat:

for FRH1 (SEQ ID NO:7)

Pos.	Amino acid
1	E
2	V
3	Q or K
4	L
5	V
6	E
7	S
8	G

Pos.	Amino acid
9	G
10	G
11	L
12	V
13	Q
14	P
15	G
16	G
17	S
18	L or M
19	R
20	L
21	S
22	C
23	A or V
24	A
25	S
26	G
27	F
28	P
29	F
30	S

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for FRH2 (SEQ ID NO:9)

Pos.	Amino acid
36	W
37	V
38	R
39	Q
40	A or S
41	P
42	G or E
43	K
44	G
45	L
46	E
47	W
48	V
49	G or A

for FRH3 (SEQ ID NO:11)

Pos.	Amino acid
66	R
67	F
68	T
69	I

Pos.	Amino acid
70	S
71	R
72	D
73	D
74	S
75	K
76	N or S
77	S
78	L or V
79	Y
80	L
81	Q
82	M
82a	N
82b	S
82c	L
83	K
84	T
85	E
86	D
87	T
88	A

Pos.	Amino acid
------	------------

89	V
----	---

90	Y
----	---

91	Y
----	---

92	C
----	---

93	T or A
----	--------

94	R
----	---

for FRH4 (SEQ ID NO:13)

Pos.	Amino acid
------	------------

103	W
-----	---

104	G
-----	---

105	Q
-----	---

106	G
-----	---

107	T
-----	---

108	L
-----	---

109	V or L
-----	--------

110	T
-----	---

111	V
-----	---

112	S
-----	---

113	S
-----	---

(ii) FRL1, FRL2, FRL3 and FRL4 for the light chain variable region VL have the following amino acid sequences, the amino acid position corresponding to the numeration according to Kabat:

for FRL1 (SEQ ID NO:23)

Pos.	Amino acid
1	D
2	I
3	V
4	M
5	T
6	Q
7	S
8	P
9	L
10	S
11	L or N
12	P
13	V
14	T
15	P
16	G
17	E or D
18	P
19	A

Pos.	Amino acid
------	------------

20	S
21	I
22	S
23	C

for FRL2 (SEQ ID NO:24)

Pos.	Amino acid
------	------------

35	W
36	Y
37	L
38	Q
39	K
40	P
41	G
42	Q
43	S
44	P
45	Q
46	L
47	L
48	I
49	Y

for FRL3 (SEQ ID NO:25)

Pos.	Amino acid
57	G
58	V
59	P
60	D
61	R
62	F
63	S
64	G
65	S
66	G
67	S
68	G
69	T
70	D
71	F
72	T
73	L
74	K or R
75	I
76	S

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Pos. Amino  
acid

77 R

78 V

79 E

80 A

81 E

82 D

83 V

84 G

85 V

86 Y

87 Y

88 C

for FRL4 (SEQ ID NO:26)

Pos. Amino  
acid

98 F

99 G

100 Q or G

101 G

102 T

103 K

104 V

Pos.	Amino acid
105	E
106	I
107	K
108	R

- (b) it comprises a framework region 1 comprising the amino acid sequence of SEQ ID NO:7 or 8, wherein the framework region 1 is of the heavy chain;
  - (c) it comprises a heavy chain variable region of an antibody comprising the amino acid sequence of SEQ ID NO:15 or 16;
  - (d) it comprises a light chain variable region of an antibody comprising the amino acid sequence of SEQ ID NO:27 or 28.
4. The antibody or fragment thereof according to any one of claims 1 to 3, wherein said fragment comprises an amino acid sequence which is at least 90% identical to the amino acid sequence of SEQ ID NO:16, an amino acid sequence which is at least 90% identical to the amino acid sequence of SEQ ID NO:28 and wherein the variability in the amino acid sequences is found in the non-CDR regions.
5. The antibody or fragment thereof according to claim 4, wherein said fragment comprises an amino acid sequence which is at least 95% identical to the amino acid sequence of SEQ ID NO:16, an amino acid sequence which is at least 95% identical to the amino acid sequence of SEQ ID NO:28 and wherein the variability in the amino acid sequences is found in the non-CDR regions.
6. The antibody or fragment thereof according to any one of claim 1 to 5, wherein the fragment of the antibody is selected from the group consisting of
- (i) Fab fragments, monovalent fragments consisting of the variable region and the first constant domain of each the heavy and the light chain;
  - (ii) F(ab)<sub>2</sub> fragments, bivalent fragments comprising two Fab fragments linked by a disulfide bridge at the hinge region;
  - (iii) Fv fragments consisting of the heavy chain and light chain variable region of a single arm of an antibody;
  - (iv) scFv fragments, Fv fragments consisting of a single polypeptide chain;

- (v) (Fv)<sub>2</sub> fragments consisting of two Fv fragments covalently linked together; and
  - (vi) multibodies consisting of a heavy chain variable region and a light chain variable region covalently linked together in such a manner that association of the heavy chain and light chain variable regions can only occur intermolecular but not intramolecular.
7. The antibody or fragment thereof according to any one of claims 1 to 6, comprising a heavy chain variable region comprising the amino acid sequence of SEQ ID NO:16 and a light chain variable region comprising the amino acid sequence of SEQ ID NO:28.
8. The antibody or fragment thereof according to any one of claim 1 to 7, having a glycosylation pattern which has one or more of the following characteristics:
- (a) it is a human glycosylation pattern;
  - (b) it enhances the binding affinity of the antibody to its specific epitope, the binding affinity of the antibody to one or more of its downstream receptors, the complement dependent cytotoxicity (CDC) of the antibody, and/or the antibody-dependent cell-mediated cytotoxicity (ADCC) of the antibody; and/or
  - (c) it is a glycosylation pattern as obtained when expressing the antibody or fragment thereof in a cell line selected from the group consisting of K562, KG1, MUTZ-3, NM-F9 [DSM ACC2606], NM-D4 [DSM ACC2605], NM-H9D8 [DSM ACC 2806], NM-H9D8-E6 [DSM ACC 2807], NM H9D8-E6Q12 [DSM ACC 2856], and GT-2X [DSM ACC 2858].
9. The antibody or fragment thereof according to any one of claim 1 to 8, having an isotype selected from the group consisting of IgG, IgM, IgA, IgE and IgD.
10. A conjugate consisting of an antibody or fragment thereof as defined in any one of claim 1 to 9 coupled to an agent selected from the group consisting of antibodies, antibody fragments, enzymes, interaction domains, stabilizing domains, signaling sequences, detectable labels, fluorescent dyes, toxins, catalytic antibodies, cytolytic components, immunomodulators, immunoeffectors, MHC class I antigens, MHC class II antigens, chelators for radioactive labeling, radioisotopes, liposomes, transmembrane domains, viruses, cells, agents for killing cancer cells, cytotoxic agents, radionuclides and cytotoxins.
11. A nucleic acid molecule encoding the antibody or fragment thereof according to any one of claims 1 to 9.

12. An expression cassette or vector comprising the nucleic acid molecule according to claim 11 and a promoter operatively connected with said nucleic acid.
13. A host cell comprising the nucleic acid molecule according to claim 11 or the expression cassette or vector according to claim 12.
14. A composition comprising (i) the antibody or fragment thereof according to any one of claims 1 to 9, the conjugate according to claim 10, the nucleic acid molecule according to claim 11, the expression cassette or vector according to claim 12, or the host cell according to claim 13 and (ii) one or more components selected from the group consisting of solvents, diluents and excipients.
15. The antibody or fragment thereof according to any one of claims 1 to 9, the conjugate according to claim 10, or the composition according to claim 14 for use in the treatment, prognosis, diagnosis and/or monitoring of cancer.
16. The antibody or fragment thereof, conjugate or composition according to claim 15, wherein the cancer is selected from the group consisting of leukemias, seminomas, melanomas, teratomas, lymphomas, neuroblastomas, gliomas, rectal cancer, endometrial cancer, kidney cancer, adrenal cancer, thyroid cancer, blood cancer, skin cancer, cancer of the brain, cervical cancer, intestinal cancer, liver cancer, colon cancer, stomach cancer, intestine cancer, head and neck cancer, gastrointestinal cancer, lymph node cancer, esophagus cancer, colorectal cancer, pancreas cancer, ear, nose and throat (ENT) cancer, breast cancer, prostate cancer, cancer of the uterus, ovarian cancer and lung cancer.

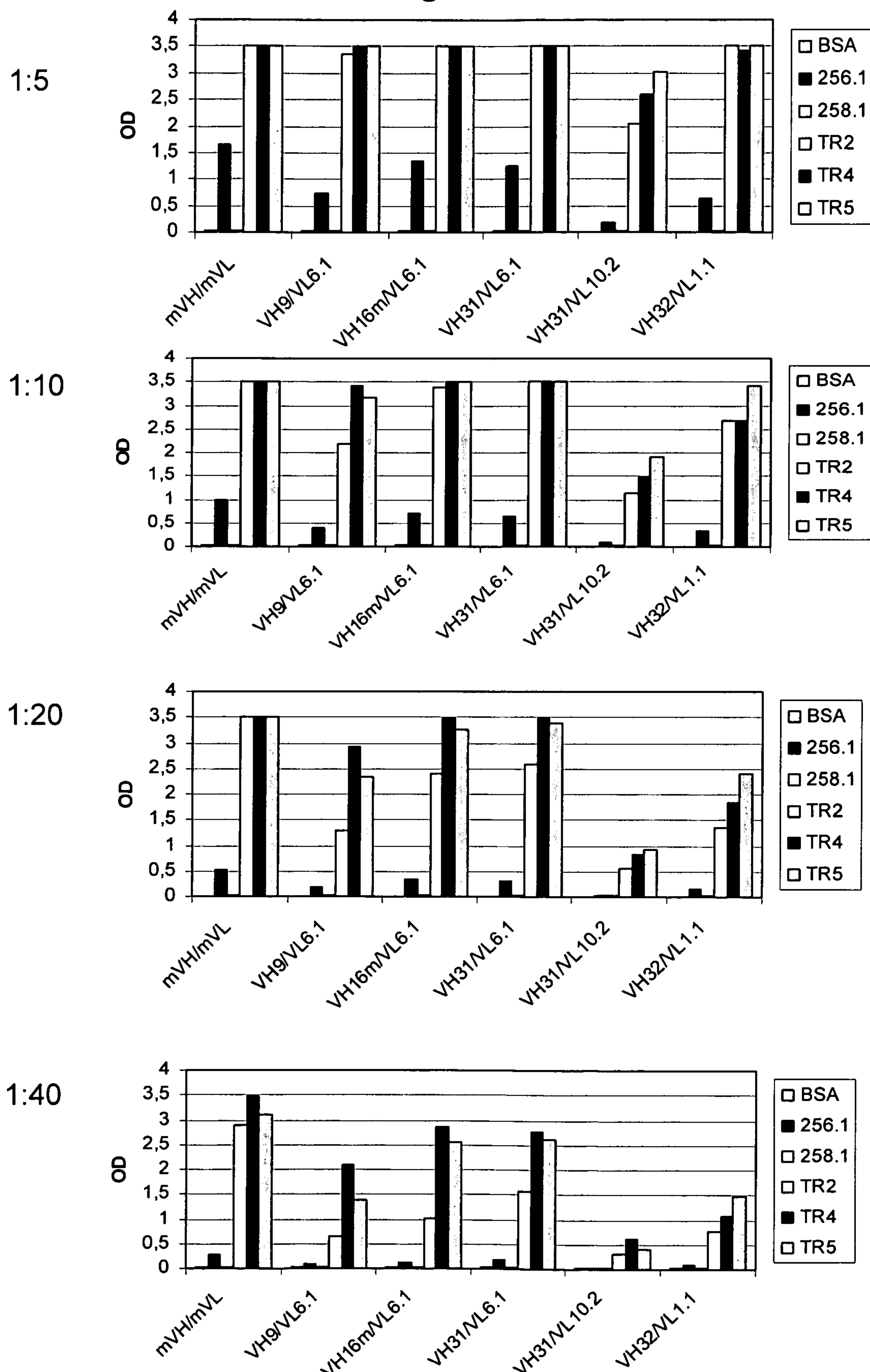
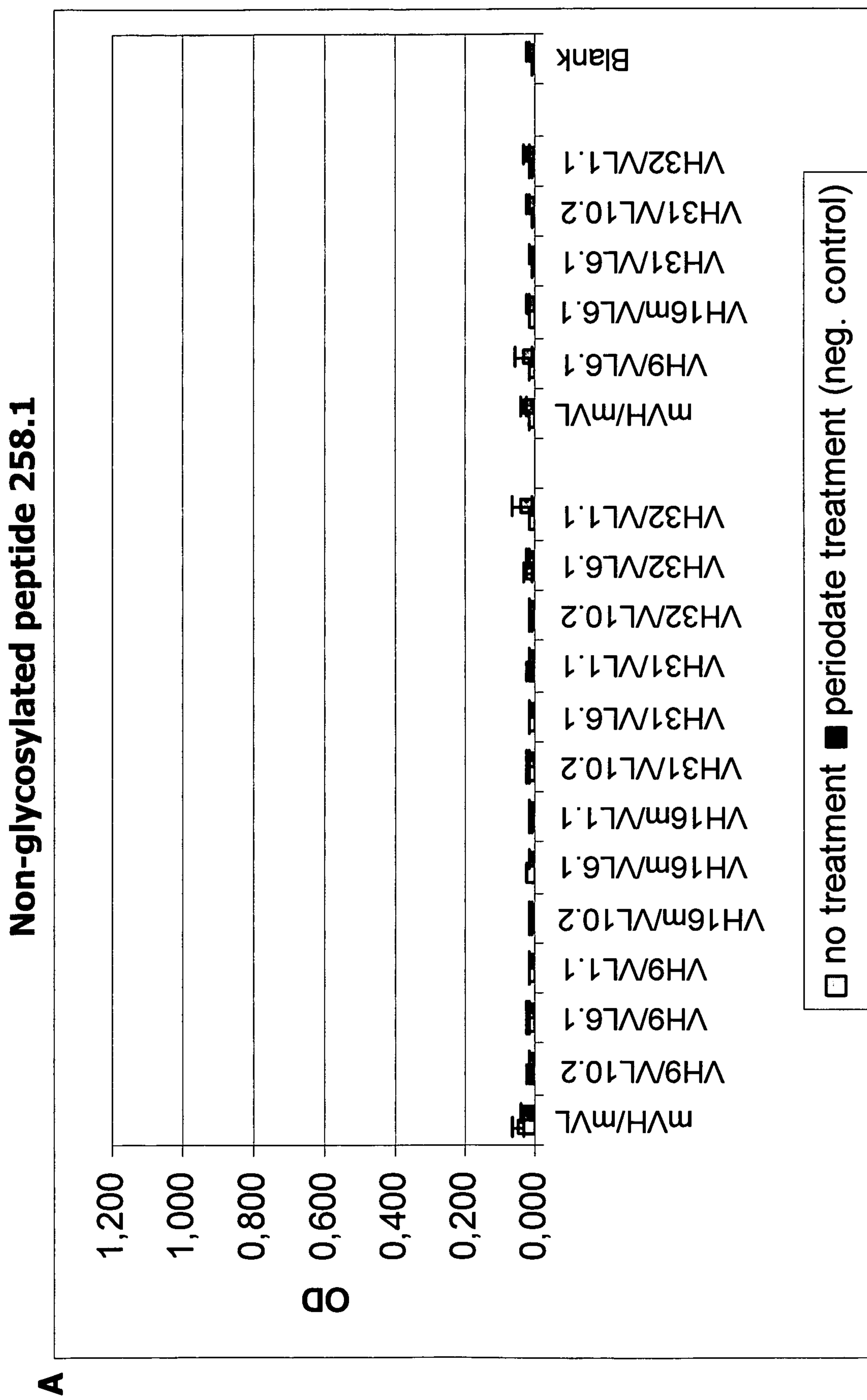
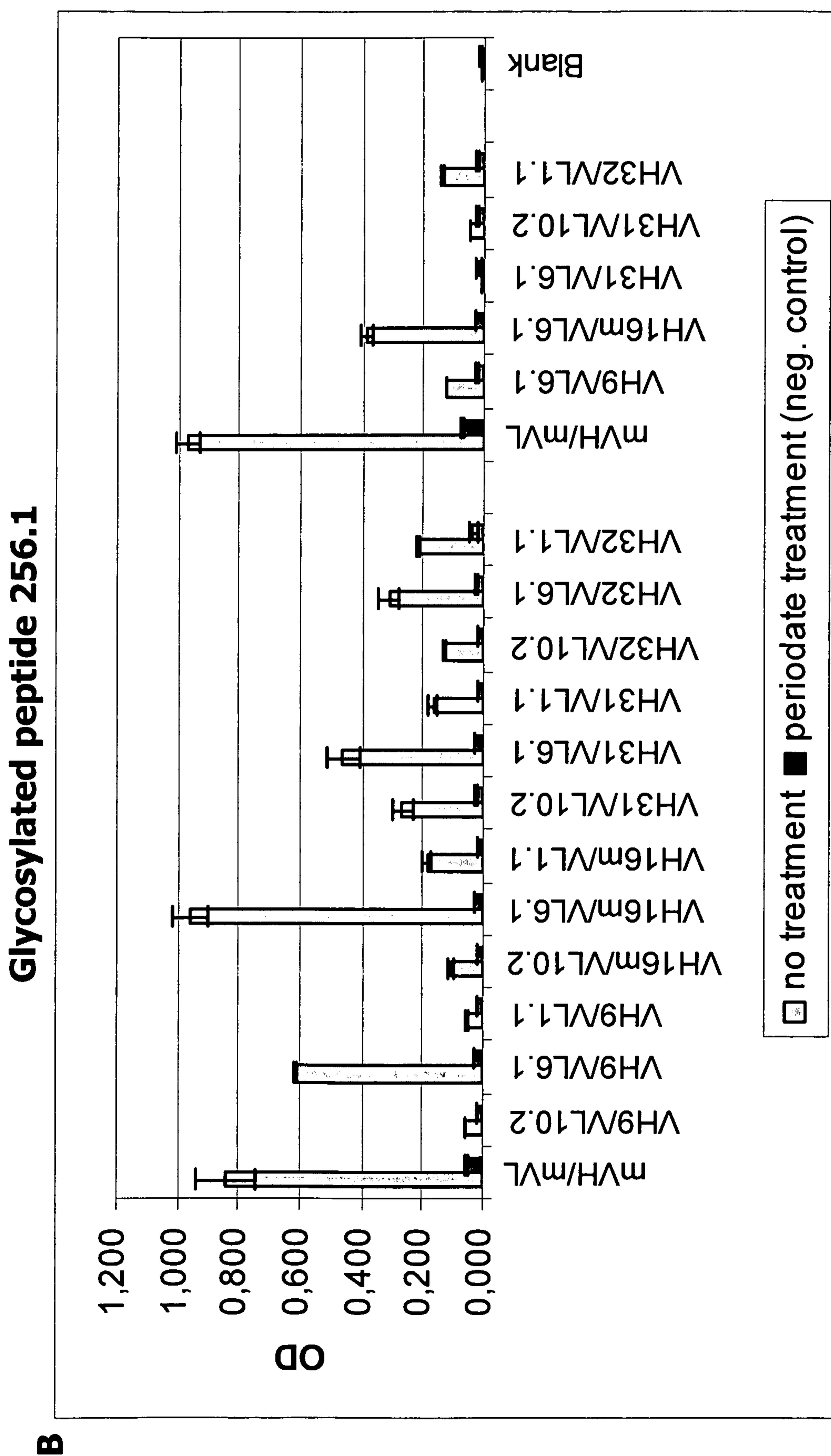
**Figure 1**

Figure 2



**Figure 2 (cont.)**

**Figure 3**