Innovation, Sciences et Développement économique Canada
Office de la Propriété Intellectuelle du Canada

Innovation, Science and Economic Development Canada
Canadian Intellectual Property Office

CA 2903937 C 2021/11/09

(11)(21) 2 903 937

(12) BREVET CANADIEN
CANADIAN PATENT

(13) C

(86) Date de dépôt PCT/PCT Filing Date: 2014/03/06
(87) Date publication PCT/PCT Publication Date: 2014/09/12
(45) Date de délivrance/Issue Date: 2021/11/09
(85) Entrée phase nationale/National Entry: 2015/09/03
(86) N° demande PCT/PCT Application No.: EP 2014/054379
(87) N° publication PCT/PCT Publication No.: 2014/135651
(30) Priorités/Priorities: 2013/03/08 (US61/774,993); 2013/05/27 (EP13169328.5)

(51) Cl.Int./Int.Cl. *A61K 39/00* (2006.01), *A61K 39/02* (2006.01)

(72) Inventeur/Inventor:
POOLMAN, JAN THEUNIS, NL

(73) Propriétaire/Owner:
JANSSEN VACCINES & PREVENTION B.V., NL

(74) Agent: NORTON ROSE FULBRIGHT CANADA LLP/S.E.N.C.R.L., S.R.L.

(54) Titre : VACCIN ACELLULAIRE CONTRE LA COQUELUCHE
(54) Title: ACELLULAR PERTUSSIS VACCINE

(57) Abrégé/Abstract:
The invention relates to an acellular pertussis (aP) vaccine composition comprising Bordetella pertussis antigens pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), and optionally pertactin (PRN), wherein FIM is present in an amount of 12-100 µg per human dose.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
12 September 2014 (12.09.2014)

WIPO | PCT

(10) International Publication Number
WO 2014/135651 A1

(51) International Patent Classification:
A61K 39/00 (2006.01) *A61K 39/02* (2006.01)

(21) International Application Number:
PCT/EP2014/054379

(22) International Filing Date:
6 March 2014 (06.03.2014)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
61/774,993 8 March 2013 (08.03.2013) US
13169328.5 27 May 2013 (27.05.2013) EP

(71) Applicant: **CRUCELL HOLLAND B.V.** [NL/NL]; Archimedesweg 4, NL-2333 CN Leiden (NL).

(72) Inventor: **POOLMAN, Jan Theunis**; Archimedesweg 4-6, NL-2333 CN Leiden (NL).

(74) Agents: **VERHAGE, Richard A.** et al.; P.O. Box 2048, Archimedesweg 4-6, 2333 CN Leiden (NL).

(81) Designated States *(unless otherwise indicated, for every kind of national protection available)*: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States *(unless otherwise indicated, for every kind of regional protection available)*: ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

Published:

— *with international search report (Art. 21(3))*

WO 2014/135651 A1

(54) Title: ACELLULAR PERTUSSIS VACCINE

(57) Abstract: The invention relates to an acellular pertussis (aP) vaccine composition comprising *Bordetella pertussis* antigens pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), and optionally pertactin (PRN), wherein FIM is present in an amount of 12-100 μg per human dose.

Title: Acellular pertussis vaccine

The invention relates to the field of health care. More particularly, it relates to the field of acellular pertussis vaccines.

Background of the invention

Bordetella pertussis is the causative agent of whooping cough. Introduction of killed whole-cell *B. pertussis* (wP) vaccines in the 1940s has been successful in reducing the morbidity and mortality due to whooping cough in children and infants. In *Vaccines* (Eds. Plotkin, S.A., Orenstein, W.A. & Offit, P.A.) Elsevier Health Sciences, 2008. 467-517; this textbook is hereinafter referred to as "*Vaccines*, Plotkin 2008"]. Nevertheless, worldwide, pertussis remains an important problem in children. Estimates from the WHO suggest that in 2008 about 16 million cases of pertussis occurred, and that about 195,000 children died from this disease.

Since the 1990s wP vaccines have been replaced by acellular pertussis (aP) vaccines in most high-income and more recently also in some middle-income countries. Acellular pertussis vaccines induce relatively fewer side-effects compared to wP vaccines that are associated with a high risk for fever (≥ 38°C), reactogenicity at the injection site and, although to a lesser extent, convulsions and hypotonic-hyporesponsive episodes [Zhang, *Cochrane Database Syst Rev* 2011].

One to two decades after introducing aP vaccines, a rise in pertussis notifications in adolescents and adults has been reported by several countries, including the US, UK, Australia, Norway and the Netherlands. Possible explanations include improved diagnostics and surveillance, adaptation of circulating *B. pertussis* strains to vaccines, and/or increased waning immunity associated with aP vaccines [Tanaka, *Jama* 2003, 290: 2968-2975; Satoh, *Comp Immunol Microbiol Infect Dis* 2010, 33: e81-88; Zepp, *Lancet Infect Dis* 2011; De Greeff, *PLoS One* 2010, : e14183; Tan, *Pediatr Infect Dis J* 2005, 24(5 Suppl): S10-18].

Currently, all licensed aP vaccines consist of minimal one, but mostly multiple, up to a maximum of five (detoxified) *B. pertussis* virulence factors. All aP vaccines contain pertussis toxoid (PT). Multicomponent aP vaccines at least include

Date Reçue/Date Received 2021-03-29

PT and the *B. pertussis* surface adhesin filamentous hemagglutinin (FHA). With increasing valency further one or more of the adhesins pertactin (PRN) and fimbriae type 2 and type 3 (FIM2 and FIM 3, together referred to as FIM or FIM2/3 herein) are present [Edwards, In: *Vaccines*, Plotkin, 2008. 467-517].

WO 96/34883 describes doses of 1-10 µg of FIM per human dose, with doses of 10 and 5 µg per human dose in an aP vaccine exemplified, while only doses of 5 µg per human dose were actually tested, and the tested vaccines were considered efficacious.

It is generally believed that aP5 vaccines (acellular pertussis vaccines with the five components PT, FHA, PRN, FIM2/3; DTaP5 are aP5 vaccines further comprising tetanus toxoid and diphtheria toxoid) are the most effective aP vaccines currently available. The individual amounts of the aP components present in commercially available registered aP5 vaccines are (in microgram per human dose): 2.5-20 for PT, 5-20 for FHA, 3 for PRN and 5 for FIM.

In several of the acellular pertussis vaccine efficacy trials conducted in Europe in the mid-1990s, efforts were made to determine immune correlates of protection for the individual aP vaccine components. Using data from the Swedish DTaP5 (PT+FHA+PRN+FIM2/3) trial a statistically significant correlation between clinical protection and the presence in pre-exposure sera of IgG antibodies against PRN, FIM2 and PT, but not to FHA, were demonstrated [Storsaeter, *Vaccine* 1998, 16: 1907-1916]. FIM3 appears to be a nonprotective component within DTaP5 [Poolman, *Expert Reviews Vaccines* 2007, 6: 47-56].

Sera collected from subjects from a vaccine trial in Germany allowed estimation of the specific levels of antibody to PT, FHA, PRN and FIM2 that correlated with protection, which showed that only antibodies against PRN, and PT were significantly associated with protection [Stehr, 1998, *Pediatrics* 101: 1-11; Cherry, 1998, *Vaccine* 16: 1901-1906]. In addition, pre-clinical studies have shown that the addition of PRN enhances the level of protection conferred by vaccines that contain PT and FHA in a murine intranasal infection model [Guiso, 1999, *Vaccine* 17: 2366-2376; DeNoel, 2005, *Vaccine* 23: 5333-5341] and that antibodies to PRN were crucial for opsonophagocytosis of *B. pertussis* [Hellwig, 2003, *JID* 188: 738-742]. Together these data indicate that PT and PRN are the main protective antigens in current acellular pertussis vaccines.

Date Reçue/Date Received 2020-04-29

As part of a prospective aP vaccine efficacy trial, protective IgG against PT, FHA, PRN and FIM2/3 was measured in consecutive serum samples obtained from participants over an 18-month period. Over the 18-months the percent decay in IgG against PT was strongest (73% reduction in geometric mean IgG titer) and was significantly higher than the percent reduction in antibodies against PRN, FHA and FIM. In contrast, IgG antibody to PRN had the lowest decay rate (56% reduction in geometric mean IgG titer) [Le, 2004, *JID*, 190: 535-544].

Combining the two observations that 1) PT and PRN are the main protective antigens in aP vaccines and 2) that antibodies to PT have a significantly higher decay rate than antibodies to PRN, highlights that anti-PRN antibodies are crucial in providing aP-mediated long-term protection against *B. pertussis* infection.

However, an emergence of *B. pertussis* strains not expressing PRN has been observed in the last few years around the world, for example in France, Japan, the Netherlands, the USA, Finland, Norway and Sweden [Bouchez, 2009, *Vaccine* 27: 6034-41; Hegerle, 2012, *Clin. Microbiol. Infect.* 18: E340-346; Otsuka, 2012, *PloS One* 7: e31985; Advani, 2013, *J. Clin. Micro* 51: 422-428]. A recent study in the US showed that 11 out of 12 isolates of *B. pertussis* cultured from specimens from children hospitalized in Philadelphia during 2011 and 2012 were in fact PRN-negative [Queenan, 2013, *N Engl J Med.* 368: 583-4]. Whether this strain adaptation is primarily vaccine-driven is currently not known. It is possible that these PRN-negative strains can escape vaccine induced immunity, especially when anti-PT titers have declined, and that this has contributed to the observed increase in *B. pertussis* disease.

The currently licensed and marketed aP vaccines thus appear insufficiently efficacious, especially against the newly emerging PRN-negative strains. There remains a need for further aP vaccines, that have improved efficacy in particular against such PRN-negative *B. pertussis* strains.

Summary of the invention

We have surprisingly found that aP vaccines comprising a high dose of FIM2/3 shows a significantly improved protection against PRN-negative *B. pertussis* strains. The invention provides an acellular pertussis (aP) vaccine composition comprising *B. pertussis* antigens pertussis toxoid (PT), filamentous hemagglutinin (FHA), and

Date Reçue/Date Received 2020-04-29

fimbriae types 2 and 3 (FIM), and optionally pertactin (PRN), wherein FIM is present in an amount of 12-100 μg per human dose.

In certain embodiments, FIM is present in an amount of 15-80, 15-60, 20-60, or 12-50, 15-50, 20-50, 20-30, 20-25, or 12-30, or 12-25, or about 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, or 25 μg per human dose.

In preferred embodiments, the aP vaccines of the invention comprise pertactin (PRN).

In certain embodiments, PT is genetically detoxified.

In certain embodiments, the compositions of the invention further comprise antigens from one or more pathogens other than *B. pertussis*.

In certain embodiments thereof, the compositions comprise one or more of: a) tetanus toxoid; b) diphtheria toxoid; c) *Haemophilus influenzae* type-b (Hib) oligosaccharide or polysaccharide conjugate; d) hepatitis B virus surface antigen (HBSAg); and e) inactivated polio virus (IPV). In certain embodiments, the compostions of the invention comprise an acellular pertussis vaccine composition according to the invention, tetanus toxoid and diphtheria toxoid.

In certain embodiments, the compositions according to the invention further comprise an adjuvant. In certain embodiments, the adjuvant comprises an aluminium salt, such as aluminium phosphate, aluminium hydroxide, or both aluminium phosphate and aluminium hydroxide.

The invention also provides a method for inducing an immune response in, or for vaccinating, a subject against *B. pertussis*, comprising administering to the subject a composition according to the invention.

The invention also provides a method for protecting a subject from whooping cough that is caused by infection with a PRN-negative strain of *B. pertussis*, comprising administering to the subject a composition according to the invention.

The invention also provides the compositions according to the invention for use in inducing an immune response in, or for vaccinating, a subject against *B. pertussis* by administering the composition to the subject. The invention also provides the compositions according to the invention for the preparation of a medicament for inducing an immune response in, or for vaccinating, a subject against *B. pertussis* by administering the composition to the subject. In certain embodiments, the *B.pertussis* against which an immune response is desired comprises a PRN-negative strain.

Date Reçue/Date Received 2020-04-29

The invention also provides a method for vaccinating a human subject against *Bordetella pertussis*, optionally a PRN-negative strain of *Bordetella pertussis*, comprising administering to the subject the following *Bordetella pertussis* antigens: pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), and optionally pertactin (PRN), wherein FIM is administered in an amount of 12-100 µg.

Brief description of the Figures

Fig. 1. Mean log10 CFU of *B.pertussis* per lung on day 0, 2, 5 and 8 post intranasal challenge in mice vaccinated with a DTaP5 vaccine (aP5) or aP5 + FIM. Mice were vaccinated at 4 and 7 weeks of age and challenged intranasally at 9 weeks with different strains of *B. pertussis*: WHO 18323 (Fig. 1A), a clinical PRN-negative isolate PRN-STOP (Fig. 1B) and a clinical PRN-negative isolate PRN-IS (Fig. 1C).
* $p<0.05$ comparing the mean log10 CFU counts after vaccination with aP5 and aP5 + FIM on each specific time point, using Wilcoxon Exact Test.
# $p<0.01$ comparing the log10 CFU response-time profile from day 0 to day 8, after vaccination with aP5 and aP5 + FIM using Analysis of Covariance.

Fig. 2. Mean Log10 CFU counts from the lung in mice challenged with PRN-negative *B. pertussis* strain I195 at week 9 after vaccination with aP2 vaccine at 1/10 human dose with the addition of an increasing amount of FIM at 4 and 7 weeks of age. For details see example 2.

Fig. 3. Mean Log10 CFU counts from the lung in mice 5 days post challenge with 4 strains of *B. pertussis* at week 9 after vaccination with aP5 vaccine at 1/10 human dose with or without the addition of 5 µg FIM at 4 and 7 weeks of age. For details see example 3.

Detailed description of the invention

The invention pertains to compositions comprising detoxified *Bordetella pertussis* virulence factors, in particular pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), and optionally pertactin

Date Reçue/Date Received 2020-04-29

(PRN), wherein FIM is present in an amount of 12-100 µg per human dose. Such compositions can be used as an acellular Pertussis (aP) vaccine, and are demonstrated herein to be surprisingly more efficacious than a currently available best-in-class aP5 vaccine.

In particular, the increased dose of FIM as compared to the previously described and recommended dosage for this component results in improved vaccine efficacy against newly emerging PRN-negative strains of *B. pertussis*, as compared to a marketed aP5 vaccine and other marketed aP vaccines. This is highly surprising, as the prior art does not provide any suggestions to increase the amounts of FIM per human dose over the recommended 5 µg, and the art provides actually suggestions that increasing the amount of this component does not lead to improved efficacy.

The effect of an increased dosage of FIM in vaccines has for instance been investigated in humans and animal models. In 17-19 month old infants it was shown that there were no differences in the frequency of adverse reactions, antibody titers or in mean fold titer rise post-immunization between two 5-component aP vaccines with either 10 µg of PT, 5 µg of FHA, 3 µg of PRN and 5 µg of FIM2/3 or including double the amount of all these antigens (Halperin, *Arch Pedi Adol Med* 1994, 148: 1220-1224).

In animal models it was found that there were no differences in body weight, spleen weight, peripheral leukocyte counts and clearance of *B. pertussis* from the lungs, when mice were vaccinated with three kinds of pertussis vaccines that contained different amounts of FIM (Morokuma, *Devel Biol Stand* 1991, 73: 223-232).

Another study showed there was no difference in IgG antibody level between mice receiving either a high dose (20 µg) or a low dose (4 µg) of recombinant FIM2 or FIM3. Seven days post intranasal challenge there was no difference in bacterial loads in the lung of control mice, mice vaccinated with FIM2 or mice vaccinated with FIM3 (Xu, *BMC Microbiology* 2009, 9:274-281).

Our finding of increased efficacy of vaccination using a high dose of FIM in the mouse nasopharyngeal challenge model is therefore highly surprising.

Thus, there are no indications or suggestions in the prior art that increasing the amount of FIM in aP vaccines over the usual amount would result in improved efficacy against newly emerging PRN-negative mutant strains.

Date Reçue/Date Received 2020-04-29

A "human dose" as used herein (sometimes referred to as a "single human dose"), means an amount of vaccine that is administered to a human in a single administration. Typically, this amount is present in a volume of 0.1-2 ml, e.g. 0.2-1 ml, typically 0.5 ml. The indicated amounts may thus for instance be present at a concentration of micrograms per 0.5 ml bulk vaccine. In certain embodiments a (single) human dose thus equals 0.5 ml.

The components of several aP vaccines that are or have been marketed are described in Tables 21-3 and 21-4 of "Vaccines. 5th edition. S. Plotkin, W. Orenstein, P. Offit, 2008, Section 2, Chapter 21 "Pertussis vaccines", K.M. Edwards & M.D. Decker. p. 467-517. The aP vaccine compositions of the invention comprise PT, FHA, and FIM2/3, and preferably PRN. These components are standard components in various marketed aP vaccines, and are available from different manufacturers (see, e.g. Table 21-3 of Chapter 21 of *Vaccines*, Plotkin 2008), and are for instance commercially available from List Biological Laboratories, Inc (Campbell, California). The compositions of the invention comprise detoxified pertussis toxin, also known as pertussis toxoid (PT). PT can be chemically or genetically detoxified. Chemical detoxification can for instance be performed by any of a variety of conventional chemical detoxification methods, such as treatment with formaldehyde, hydrogen peroxide, tetranitromethane, or glutaraldehyde. For instance, detoxification can be performed as described on page 17 and example 3 of WO 96/34883. In certain preferred embodiments, PT is genetically detoxified. This can be done by making mutations in the pertussis toxin gene to inactivate the enzymatic activity of the catalytic subunit S1 of pertussis toxin, and has for instance been described in US 7,144,576, US 7,666,436, and US 7,427,404. Particularly advantageous mutations to detoxify pertussis toxin are for instance provided in US 7,427,404. A particularly advantageous embodiment is pertussis toxin wherein the amino acid residue Glu129 in the pertussis holotoxin amino acid sequence in the S1 subunit is substituted by Gly (E129G) and Arg9 is substituted by Lys (R9K) (US patent 7,427,404; Buasri, *BMC Microbiology* 2012, 12: 61). Such genetically detoxified PT (E129G, R9K) can also be conveniently isolated from a genetically engineered strain that shows enhanced production of this PT [Buasri, 2012, *supra*]. Advantages of using genetically detoxified mutants are that no or less use of hazardous chemicals is required for detoxification, improved preservation of the epitopes of the PT antigens and thus better immune responses thereto, and/or lower amounts of antigen can be used in the

Date Reçue/Date Received 2020-04-29

vaccine. In other embodiments, PT is chemically detoxified. Chemically or genetically detoxified PT is widely used in aP vaccines (see, e.g. Table 21-3 of Chapter 21 of *Vaccines*, Plotkin 2008). PT can for instance be obtained and purified as described in page 16 and example 2 of WO 96/34883. PT can also be obtained using methods as e.g. described in US5085862, WO96/34623, US4705868, EP0336736, WO9115505, EP0306318, EP0322533, EP0396964, EP0275689, WO91/12020, EP0427462, WO9819702 and US4784589.

Chemically or genetically detoxified PT is available from various commercial sources. In certain embodiments, the amount of PT in a vaccine according to the invention is 2-50 µg, 5-40 µg, 10-30 µg, or 20-25 µg per human dose (typically 0.5 ml).

The compositions according to the invention in certain embodiments comprise pertactin (PRN), a 69 kD outer membrane protein of *B. pertussis* (therefore sometimes also referred to as 69K antigen). PRN can for instance be obtained and purified as described on page 18-19 and example 2 of WO 96/34883. It can also for instance be obtained as described in EP0162639, EP0484621, US6444211, US5276142, US5101014, EP0336736, WO96/34623, WO90/16651, and WO90/56076. PRN can also be conveniently isolated from *B.pertussis* strains that have been genetically engineered to express high levels of PRN, such as described for instance in [Buasri, 2012, *supra*].

In certain embodiments, the amount of PRN in a vaccine according to the invention is 0.5-100 µg, 1-50 µg, 2-20 µg, 3-30 µg, 5-20 µg, or 6-10 µg per human dose (typically 0.5 ml) [see e.g. EP 0928198].

The compositions according to the invention comprise filamentous hemagglutinin (FHA), a major 230-kDa adhesin of *B. pertussis* that is important for the adherence of *B. pertussis* to the host ciliary epithelial cells of the respiratory tract, and an established component of marketed multivalent aP vaccines. FHA can for instance be obtained and purified as described in page 17-18 and example 2 of WO 96/34883. FHA can also for instance be obtained as described in WO9013313, EP0484621, WO9634623, EP0336736, WO9115505, US4784589, and WO9004641.

In certain embodiments, the amount of FHA in a vaccine according to the invention is 2-50 µg, 5-40 µg, 10-30 µg, or 20-25 µg per human dose (typically 0.5 ml).

Date Reçue/Date Received 2020-04-29

In certain embodiments, the amount of FHA in a vaccine according to the invention is 2-50 μg, 5-40 μg, 10-30 μg, or 20-25 μg per human dose (typically 0.5 ml).

The compositions according to the invention comprise fimbrial agglutinogens 2 and 3, also referred to as fimbriae 2 and 3 or agglutinogens 2 and 3 or Agg 2 and 3 (herein referred to as FIM2 and FIM3, or as "FIM" or "FIM2/3", which is a combination of FIM2 and FIM3 as a mix). Typically, in a composition according to the invention, the weight ratio of FIM 2 to FIM 3 is from about 1:3 to about 3:1, e.g. from about 1:1 to about 3:1, e.g. from about 1.5:1 to about 2:1. Preparation of FIM is described in detail in page 12-13 and example 2 of WO 96/34883. FIM can also for instance be obtained as described in WO9634623, US4784589, US6475754, EP0555894, WO9858668, and WO0207764.

The amount of FIM in a vaccine according to the invention is 12-100 μg per human dose (typically 0.5 ml). In certain embodiments, this amount is 12-50 μg, or 12-30 μg per human dose (typically 0.5 ml). In preferred embodiments of the invention, the amount of FIM is at least 15 μg per human dose (typically 0.5 ml). In certain embodiments this amount is 15-100 μg, 15-80 μg, 15-60 μg, 15-50 μg, 15-30 μg or 15-25 μg per human dose (typically 0.5 ml). In further preferred embodiments of the invention, the amount of FIM is at least 20 μg per human dose (typically 0.5 ml). In certain embodiments this amount is 20-100 μg, 20-80 μg, 20-60 μg, 20-50 μg, 20-30 μg, 20-25 μg, or 25-50 μg per human dose (typically 0.5 ml).

FIM can be isolated from *B. pertussis*, or can be recombinantly produced, or is for instance commercially available from List Biological Laboratories, Inc (Campbell, California).

In certain preferred embodiments, a vaccine composition according to the invention comprises per human dose (or per 0.5 ml bulk vaccine): 10-25 μg PT, 10-25 μg FHA, 3-8 μg PRN, and 12-50 μg FIM. In certain preferred embodiments, a vaccine composition according to the invention comprises per human dose (or per 0.5 ml bulk vaccine): 20-25 μg PT, 20-25 μg FHA, 3-8 μg PRN, and 12-50 μg FIM. In certain preferred embodiments, a vaccine composition according to the invention comprises per human dose (or per 0.5 ml bulk vaccine): 20-25 μg PT, 20-25 μg FHA, 3-8 μg PRN, and 12-25 μg FIM. In a certain embodiment, the invention provides a

Date Reçue/Date Received 2021-03-29

aP vaccine that comprises PT and FHA but not yet FIM (aP2), or a commercially available aP vaccine that comprises PT, FHA and PRN but not yet FIM (aP3). In certain embodiments, the FIM may first be adsorbed to an adjuvant if so desired, e.g. to aluminium hydroxide and/or aluminium phosphate, before adding to the other components. In other embodiments, the FIM is added to the other components without prior adsorption to adjuvant.

The term 'about' for numerical values as used in the present disclosure means the value ± 10%.

The compositions of the invention may in certain embodiments also comprise non-pertussis protein components, e.g. to obtain combination vaccines [Decker, M.D., Edwards, K.M. & Bogaerts, H.H. Combination vaccines. In *Vaccines* (Eds. Plotkin, S., Orenstein, W.A. & Offit, P.A.) Elsevier Health Sciences, 2008. 1069-1101]. In certain embodiments, the compositions according to the invention may therefore further comprise antigens derived from one or more pathogens other than *B. pertussis*. In certain embodiments, the compositions according to the invention comprise one or more of the following: tetanus toxoid (TT), diphtheria toxoid (DT), *Haemophilus influenzae* type-b oligosaccharide or polysaccharide conjugate (Hib), hepatitis B virus surface antigen (HBsAg), inactivated polio virus (IPV).

Combination vaccines of aP with such non-pertussis components are known and widely used. Preparation of combination vaccines has for instance been described in WO2010/046935, US6013264, WO2007/054820, WO98/000167, and EP1946769.

In certain embodiments, the aP5 (or aP4: PT, FHA, FIM2/3) according to the invention is in a composition that further comprises DT and TT, thus providing for a DTaP5 (or DTaP4) vaccine according to the invention. DTaP5 vaccines are widely used to prevent diphtheria, tetanus and whooping cough. The vaccine according to the invention has a higher amount of FIM than the DTaP5 vaccines described before, and is more efficacious against PRN-negative *B. pertussis* strains than conventional DTaP5 vaccines.

One way for isolating, purifying and detoxifying DT is described in page 33-34 of WO 96/34883. DT can also for instance be obtained as described in US4709017, US5843711, US5601827, US5917017, and WO96/34623.

One way for isolating, purifying and detoxifying TT is described in page 34-36 of WO 96/34883. TT can also for instance be obtained as described in EP0209281, EP0478602, and WO96/34623.

Date Reçue/Date Received 2020-04-29

Hib oligosaccharide or polysaccharide conjugate can for instance be obtained as described in WO2007/054820, WO2004/110480, US6333036, WO2010/046935, US4372945, US4474757, WO95/08348, WO2010/046935, US4673574, EP0161188, EP0208375, and EP0477508. Hib antigen can for instance be the capsular polysaccharide of Hib, or a conjugate of the polysaccharide or a derived oligosaccharide thereof to a carrier protein such as DT, TT, or CRM_{197}, a nontoxic variant of diphtheria toxin isolated from *Corynebacterium diphtheriae* C7 (b197).

HBsAg can for instance be obtained as described in EP0226846, EP0299108, US6013264, WO2007/054820, WO2010/046935, and WO9324148.

IPV can be monovalent, containing one type of poliovirus (type 1, 2 or 3), or divalent (containing two types of poliovirus, e.g. types 1 and 2, 1 and 3 or 2 and 3), or trivalent (containing three types of poliovirus, i.e. types 1, 2 and 3). Preferably, the IPV according to the invention contains inactivated poliovirus types 1, 2 and 3. IPV can for instance be obtained as described in US 4525349, and WO2011/006823.

These non-pertussis components can be obtained from various manufacturers. Examples are described in ["Vaccines." 5th edition. S. Plotkin, W. Orenstein, P. Offit, 2008, Section 2, Chapter 38 ("Combination vaccines", M.D. Decker, K.M. Edwards, H.H. Bogaerts, p 1069-1101)].

In certain embodiments, the compositions according to the invention comprise a composition comprising the pertussis components (aP5 or aP4 vaccine) according to any one of the embodiments described above (i.e. comprising 12-100 µg FIM per human dose and PT, FHA and optionally PRN; hereinbelow referred to as "aP according to the invention", or "aP5*" for brevity), and DT.

In certain embodiments, the compositions according to the invention comprise aP according to the invention and TT.

In certain embodiments, the compositions according to the invention comprise aP according to the invention and IPV (referred to herein as "aP5*-IPV").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT and TT (referred to herein as "DTaP5*").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT and Hib (referred to herein as "DTaP5*-Hib).

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT and IPV (referred to herein as "DTaP5*-IPV").

Date Reçue/Date Received 2020-04-29

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT and HBSAg (referred to herein as "DTaP5*-HepB").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT, Hib and HBSAg (referred to herein as "DTaP5*-Hib-HepB").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT, Hib and IPV (referred to herein as "DTaP5*-Hib-IPV").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT, HBSAg and IPV (referred to herein as "DTaP5*-HepB-IPV").

In certain embodiments, the compositions according to the invention comprise aP according to the invention, DT, TT, Hib, HBSAg and IPV (referred to herein as "DTaP5*-Hib-HepB-IPV").

Further non-pertussis components could optionally be added, e.g. components that are sometimes combined with aP in combination vaccines, such as antigens from meningococci and/or pneumococci.

For the combination vaccines, the amounts of the non-pertussis components may be varied. Generally, the amounts of these components as typically present in individual or combination vaccines can be used according to the instant invention. See for instance "Vaccines." 5th edition. S. Plotkin, W. Orenstein, P. Offit, 2008, Section 2, for the various components and combination vaccines; in particular, Chapter 38 describes combination vaccines including aP vaccines with the components mentioned above [Decker, pp 1069-1101]; Chapter 10 describes DT [Vitek, pp 139-156]; Chapter 31 describes TT [Wassilak, pp 805-840]; Chapter 25 describes IPV vaccines [Plotkin, pp 605-630]; Chapter 11 describes Hib vaccines [Chandran, pp 157-176]; and Chapter 13 describes Hepatitis B vaccines (based on HBsAg) [Mast, pp 205-242]. Non-limiting examples of suitable amounts (it is also common to express amounts of DT and TT in IU or in Lf (flocculation units), see e.g. [Decker, In: *Vaccines*, pp 1069-1101, *supra*], but here we provide micrograms) of the antigens per dose would for instance be: 1-100 µg, e.g. 2-40 µg, e.g. 6-25 µg, e.g. 15-25 µg DT; 1-50 µg, e.g. 2-20 µg, e.g. 5-10 µg TT; 1-100 µg, e.g. 3 to 40 µg of HBsAg protein per

Date Reçue/Date Received 2020-04-29

milliliter; 0.1-100 µg, e.g. 0.2 to 50 µg, e.g. 1 to 25 µg, e.g. 2-10 µg of the Hib capsular polysaccharide or oligosaccharide thereof in the form of a conjugate to a carrier protein; wild type-derived IPV-containing products (wt-IPV) are generally formulated to contain 40-8-32 D-Ag units per dose for poliovirus types 1, 2 and 3, respectively. However, these amounts may also be varied, e.g. lower amounts such as 10-20 D-Ag units for IPV type 1 can also be used, and the amounts for IPV types 2 and 3 can also be varied (see e.g. EP 2066344). Amounts may also vary according to the intended use, e.g. booster vaccines may in certain embodiments contain less units of certain components than priming vaccines.

The protein components in the compositions according to the invention are intended to induce an immune response upon administration to an eligible subject. It will be clear to the skilled person that wherever is referred herein to proteins or mutants thereof, e.g. toxoids, parts of the proteins may also be used and can have equivalent or in some cases preferred properties for inducing immune responses. Further, the proteins may contain (additional) mutations, such as deletions, insertions, substitutions, etc. Thus, immunogenic fragments and variants of the indicated protein components are included within the meaning of the proteins indicated herein.

Compositions according to the invention can be used as acellular pertussis vaccines, or as components of combination vaccines, which generate immune responses to one or more of the components in the compositions upon administration to eligible subjects. The immune reponse may comprise a cellular and/or a humoral response. Such immune responses preferably confer protection against infection with pathogen or against disease or at least reduces the severity of the symptoms caused by the pathogen from which the respective components are derived (i.e. in any case preferably against *B.pertussis*, and preferably also against PRN-negative mutants thereof). The compositions according to the invention can thus suitably be referred to as vaccines. A dose of a vaccine is the amount that is administered in a single administration to a subject. A subject may suitably be an animal or a human, and in certain preferred embodiments the subject is a human. Many vaccines are suitably, and actually preferably, administered more than one time to the same individual with sufficient time interval to obtain a boosting effect in the individual, e.g. at least four weeks, to several years up to about two decades between administrations. Multiple

Date Reçue/Date Received 2020-04-29

immunizations are usually administered to naive infants. The compositions according to the invention may also be administered more than one time, e.g. in a non-limiting embodiment two or three or more times with at least 4 weeks interval, for instance a one or two month time interval between each administration. One non-limiting example is administration according to the EPI schedule, at 6 weeks, 10 weeks and 14 weeks of age. Another regimen would be at 2 months, 4 months, 6 months of age. In certain embodiments a booster vaccination is given 10-20 years later, e.g. during adolescence. Futher decennial booster vaccinations may be given. In certain embodiments, aP according to the invention is administered two or three times in the first year of life, a further boost is administered the second year of life, and a further booster is administered at four to five years of age, after which an adolescent boost is administered at approximately twelve years of age. Also the Td (a TT-DT containing vaccine given to adolescents) booster recommendation may be followed, i.e. every ten years and replace Td with Tdap, wherein the acellular pertussis component is aP according to the invention. However, it will be clear to the skilled person that the vaccination scheme of the aP vaccines according to the invention may be suitably varied, as is clear from the wide variety of immunization schedules (regimens) of marketed aP vaccines by different national authorities (e.g., Table 21-5 in "Vaccines." 5th edition. S. Plotkin, W. Orenstein, P. Offit, 2008, Section 2, Chapter 21 "Pertussis vaccines", K.M. Edwards & M.D. Decker. p. 467-517).

The compositions according to the invention may also be suitably used as booster vaccines for populations that have been previously vaccinated by other vaccines, be those wP or aP vaccines of different composition or combination vaccines comprising wP or aP of different composition than the vaccines of the invention. Such boosters may for instance be used for vaccination of adults or elderly, that have not been vaccinated against *B.pertussis* for more than a decade. It could be useful to repeat such booster vaccinations, e.g. about once every five, ten or fifteen years. It has also been recommended to administer tetanus toxoid, reduced diphtheria toxoid and acellular pertussis vaccine (Tdap) to pregnant women with every pregnancy irrespective of previous Tdap history. In certain embodiments, the aP according to the invention is administered to an infant, a child, an adolescent, an adult, an elderly, or a pregnant woman, e.g. as aP or as Tdap.

Date Reçue/Date Received 2020-04-29

The compositions according to the invention are pharmaceutical compositions. Such compositions comprise a composition according to the invention and typically a pharmaceutically acceptable carrier or excipient. In the present context, the term "pharmaceutically acceptable" means that the carrier or excipient, at the dosages and concentrations employed, will not cause unwanted or harmful effects in the subjects to which they are administered. Such pharmaceutically acceptable carriers and excipients are well known in the art [Remington. The Science and Practise of Pharmacy, Mack Publishing Company 1990; Frokjaer, S. & Hovgaard, L. Pharmaceutical Formulation Development of Peptides and Proteins, 2000; Handbook of Pharmaceutical Excipients, Pharmaceutical Press 2000]. The compositions preferably are formulated and administered as a sterile solution. Sterile solutions are prepared by sterile filtration or by other methods known per se in the art. The solutions can then be lyophilized or filled into pharmaceutical dosage containers. The pH of the solution generally is in the range of pH 3.0 to 9.5, e.g pH 5.0 to 7.5. The components of the composition typically are in a solution having a suitable pharmaceutically acceptable buffer, and the solution may also contain a salt. In certain embodiments, detergent is present. In certain embodiments, the vaccine may be formulated into an injectable preparation. These formulations contain effective amounts of the protein components, are either sterile liquid solutions, liquid suspensions or lyophilized versions and optionally contain stabilizers or excipients. Several examples of suitable formulations for the storage and for pharmaceutical administration of aP vaccines or combination vaccines are known (e.g., Tables 21-3 and 21-4 in ["Vaccines." 5th edition. S. Plotkin, et al, *supra*]). Examples of suitable diluents are PBS or saline. Preservative may optionally be present, e.g. phenoxyethanol, thimerosal or parabens. If a preservative is present, it is preferably present at low levels. In case a combination vaccine comprises IPV, the use of thimerosal is preferably avoided, since thimerosal may lead to loss of potency of the IPV component (see e.g. Sawyer LA, 1994, *Vaccine* 12 : 851-856; EP 2066344). Further components that may optionally be present as trace constituents are polysorbate-80, gelatin and remnants from chemical toxoidation (e.g., if PT is chemically toxoided) such as glutaraldehyde, formaldehyde.

Preferably the vaccines according to the invention are stored between 2-8°C.

Date Reçue/Date Received 2020-04-29

In certain embodiments the compositions of the invention comprise further one or more adjuvants. Adjuvants are known in the art to further increase the immune response to an applied antigenic determinant (for a review on adjuvants, see e.g. Montomoli, 2011, *Expert Rev Vaccines* 10: 1053-1061). Examples of suitable adjuvants include aluminium salts such as aluminium hydroxide and/or aluminium phosphate; oil-emulsion compositions (or oil-in-water compositions), including squalene-water emulsions, such as MF59 (see e.g. WO 90/14837); saponin formulations, such as for example QS21 and Immunostimulating Complexes (ISCOMS) (see e.g. US 5,057,540; WO 90/03184, WO 96/11711, WO 2004/004762, WO 2005/002620); Toll-like receptor (TLR) agonists, e.g. a TLR7 agonist (see e.g. WO 2012/117377, page 15-18 for examples), e.g. in combination with an aluminium salt, e.g. aluminium hydroxide to which the TLR agonist may be adsorbed; bacterial or microbial derivatives, examples of which are monophosphoryl lipid A (MPL), 3-O-deacylated MPL (3dMPL), CpG-motif containing oligonucleotides, ADP-ribosylating bacterial toxins or mutants thereof, such as *E. coli* heat labile enterotoxin LT, cholera toxin CT, and the like. For example PT and tetanus toxoid also have adjuvant properties of their own. In certain embodiments the compositions of the invention comprise aluminium as an adjuvant, e.g. in the form of aluminium hydroxide, aluminium phosphate, aluminium potassium phosphate, or combinations thereof, in concentrations of 0.05 – 5 mg, e.g. from 0.075-1.0 mg, of aluminium content per dose.

In other embodiments, the compositions used in the invention do not comprise further adjuvants.

Preferably, the vaccine compositions according to the invention comprise an adjuvant. In certain preferred embodiments, the adjuvant is an aluminium salt such as aluminium phosphate, aluminium hydroxide or a combination thereof. Preferably, one, more or all of the aP antigens are adsorbed onto an aluminium salt. Also the other antigens may be adsorbed onto an aluminium salt. In certain embodiments, one, more or all of the aP antigens (PT, FHA, FIM, PRN if present) are adsorbed onto aluminium hydroxide. In certain embodiments, one, more or all of the aP antigens (PT, FHA, FIM, PRN if present) are adsorbed onto aluminium phosphate. Formulation of aP vaccines and aP combination vaccines with aluminium salts is for instance described in [Denoël, 2002, *Vaccine* 20: 2551-2555]. Typically, the individual components are individually adsorbed onto the aluminium salt, and the

Date Reçue/Date Received 2020-04-29

components are thereafter mixed to form the vaccine formulation. This also allows to prepare vaccines in which certain components are adsorbed onto a first alumimium salt [e.g. Al(PO)4], while other components are adsorbed onto a second aluminium salt [e.g. Al(OH)3]. Also the other components of a combination vaccine may be adsorbed onto an aluminium salt, e.g. DT and TT may be adsorbed onto aluminium hydroxide or aluminium phosphate, or a combination of these. The DT and TT components may be adsorbed to the same or to a different aluminium salt as the aP components. Further components of combination vaccines of increasing valency may also be adsorbed onto aluminium salts, e.g. HBsAg, Hib and/or IPV may or may not be adsorbed onto aluminium salts. In certain preferred embodiments wherein a combination vaccine comprises HBsAg, the HBsAg is adsorbed onto aluminium phosphate (see e.g. WO 93/24148). If Hib is included in the combination vaccine and certain other components such as one or more of DT, TT or aP are adsorbed onto aluminium hydroxide, the risk of interference (reduction of efficacy of the Hib component) can be reduced for instance by adsorbing Hib onto aluminium phosphate or use Hib that is not adsorbed onto an alumium adjuvant, and combine this with the other components by either contemporaneously (i.e. just prior to administration) adding the Hib, or by mixing with the other components that have been adsorbed onto aluminium hydroxide adjuvant in such a manner that the aluminium hydroxide adjuvant has been pre-saturated, as described in detail in WO 99/48525. The skilled person thus is aware of various ways of formulating combination vaccines according to the invention in a suitable manner.

In certain embodiments, a vaccine composition according to the invention comprises PT, FHA, FIM2/3, and aluminium hydroxide, and optionally PRN. In certain embodiments, a vaccine composition according to the invention comprises PT, FHA, FIM2/3, and aluminium phosphate, and optionally PRN. In certain embodiments, a vaccine composition according to the invention comprises PT, FHA, FIM2/3, aluminium hydroxide and aluminium phosphate, and optionally PRN. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*, and aluminium hydroxide. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*, and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*, aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises aP5*-IPV, and aluminium

Date Reçue/Date Received 2020-04-29

hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-IPV, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-Hib, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-HepB, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-Hib-HepB, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-Hib-IPV, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-HepB-IPV, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate. In certain embodiments, a vaccine composition according to the invention comprises DTaP5*-Hib-HepB-IPV, and aluminium hydroxide or aluminium phosphate or aluminium hydroxide and aluminium phosphate.

Administration of the compositions according to the invention can be performed using standard routes of administration. Non-limiting embodiments include parenteral administration, such as by injection e.g. intradermal, intramuscular, transcutaneous, intranasal, etc. In one embodiment the vaccine is administered by intramuscular injection into the thigh or into the deltoid muscle. The skilled person knows the various possibilities to administer a vaccine according to the invention, in order to induce an immune response to at least one of the antigens in the vaccine. Generally, the standard dose of pertussis vaccine is 0.5 mL given intramuscularly in the anterolateral thigh or, if necessary, the deltoid. However, the amount of the components in the compositions provided to a patient during one administration can be varied as is known to the skilled practitioner. Also the adjuvant, if used, can be adapted to the delivery system.

Although it is preferred to have a single composition for vaccinating against pertussis, the skilled person will be aware that the effect of the invention as described

Date Reçue/Date Received 2020-04-29

herein can also be obtained by vaccinating with the components of the aP vaccine, i.e. PT, FHA, FIM at a human dose of 12-100 µg, and optionally PRN, wherein the components are not necessarily all in the same composition, e.g. wherein (part of) the FIM is in a separate composition. For instance, a commercially available aP vaccine (having FIM at a dose of 0-5 µg per human dose) could be complemented by co-administration of FIM as a separate component to a total dose administered of 12-100 µg, e.g. 15-80 µg, 20-60 µg, 20-50 µg, or 20-25 µg FIM, e.g. by injecting a first aP vaccine composition comprising PT, FHA, optionally a dose of 5 µg FIM, and optionally PRN and injecting a separate composition comprising the (remainder of the) FIM to supplement to a total dosage of 12-100 µg, e.g. 15-80 µg, 20-60 µg, 20-50 µg or 20-25 µg FIM. In such embodiments, co-administration means that the separate compositions are administered (e.g. injected) within one hour, preferably within a few minutes between the administrations, preferably they are administered essentially simultaneously (e.g. by co-injection or by consecutive injections). Alternatively, compounds might be mixed just prior to administration, so that a single injection (with a composition that is a composition according to the invention) is sufficient. The invention hence also provides a method for vaccinating a human subject against *Bordetella pertussis*, optionally a PRN-negative strain of *Bordetella pertussis*, comprising administering to the subject the following *Bordetella pertussis* antigens: pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), and optionally pertactin (PRN), wherein FIM is administered in an amount of 12-100 µg. In preferred embodiments, this is done by administering a single composition according to the invention.

The invention is further explained in the following examples. The examples do not limit the invention in any way. They merely serve to clarify the invention.

EXAMPLES

Example 1. *High dose FIM in aP5 vaccine improves protection against PRN-negative B. pertussis*

Date Reçue/Date Received 2020-04-29

Methods:

A validated mouse *Bordetella pertussis* lung challenge model, which correlates with clinical efficacy of aP vaccines, (Guiso, 1999, *Vaccine* 17; 2366-2376; Denoel, 2005, *Vaccine* 23:5333-5341; Godfroid, 2004, *Int. J. Med. Microbiol.* 294; 269–276) was used to test the efficacy of a licensed 5-component acellular pertussis vaccine (ADACEL™, Sanofi Pasteur; in this example further referred to as aP5) at a quarter of a human dose with or without the addition of 2 µg of purified FIM2/3 antigen (List Biological Laboratories Inc). Each 0.5 mL dose of ADACEL™ contains 5 Lf tetanus toxoid, 2 Lf diphtheria toxoid and 5 acellular pertussis antigens (2.5 µg detoxified pertussis toxin, 5 µg filamentous hemagglutinin, 3 µg pertactin and 5 µg fimbriae types 2 and 3). In brief, female Balb/c mice were vaccinated subcutaneously with aP5 with or without FIM2/3 antigen at 4 and 7 weeks of age at ¼ of the human dose. Therefore, animals received either 1.25 µg of FIM2/3 (aP5 group) or 3.25 µg of FIM2/3 (aP5 + FIM group), which equals 5 µg and 13 µg in humans, respectively. At 9 weeks of age, the mice were challenged intranasally with ~10^6 cfu of *B. pertussis* WHO 18323 (a pertactin positive strain), a pertactin-negative strain PRN-STOP and a pertactin-negative strain PRN-IS [Queenan, 2013, *N Engl J Med.* 368: 583-4]. At 2 hours, 2 days, 5 days and 8 days post-challenge (n=5/group) lung clearance was determined by counting the *B. pertussis* colonies grown on Bordet-Gengou agar plates after plating serial dilutions of lung homogenate.

Results:

The treatment response-over time profile after vaccination with aP5 with or without the addition of FIM2/3 antigen is depicted in Fig. 1. To test for a statistically significant difference between mean log10 CFU counts on day 5 and day 8 between mice vaccinated with aP5 or aP5 + FIM, the Wilcoxon Exact Test was used. In addition, the treatment response over time (from day 0 to day 8) profile data was modeled by an analysis of covariance (Milliken GA et al. Analysis of Covariance, Dept of Statistics, Kansas State University, 1989.; SAS Institute Inc., SAS/STAT User's Guide, Version 6, Fourth Edition, Volume 2. Cary, NC: SAS Institute Inc., 1989).

For the *B. pertussis* WHO18323 strain (a PRN positive strain, Fig. 1A), a comparison of difference in log10 CFU counts at day 5 using a one-sided Wilcoxon's

Date Reçue/Date Received 2020-04-29

exact nonparametric test, showed that mean log10 CFU counts after vaccination with aP5 + FIM were significantly lower ($p<0.05$) than those after vaccination with aP5 alone. Modelling of sample treatment response-time profile data showed no statistically significant difference in treatment response from day 0 to day 8 from a fitting by an analysis of covariance with day as a term for slope and day*day as a term for quadratic curvature.

After challenge with the *B. pertussis* PRN-STOP strain (Fig. 1B), a comparison of difference in log10 CFU counts at day 5 using a one-sided Wilcoxon's exact nonparametric test showed that mean log10 CFU counts after vaccination with aP5 + FIM were significantly lower ($p<0.02$) than those after vaccination with aP5 alone. Modeling of sample treatment response-time profile data showed no statistically significant difference in treatment response from day 0 to day 8 from a fitting by an analysis of covariance model.

For the *B. pertussis* PRN-IS strain (Fig. 1C), a comparison of difference in log10 CFU counts at day 5 indicated no significant differences between vaccine treatments using a one-sided Wilcoxon's exact nonparametric test. On day 8 there was a trend that mean log10 CFU counts were lower after vaccination with aP5 + FIM compared with mean log10 CFU counts after vaccination with aP5 alone ($P<0.08$) using Wilcoxon's exact nonparametric test. The asymptotic analog of the Wilcoxon's exact test showed a significant vaccine difference on day 8 ($p<0.03$). Modeling of sample treatment response-time profile data suggested a difference in treatment response from day 0 to day 8 from fitting by an analysis of covariance with day as a term for slope and day*day*trt as a term quadratic curvature.

To test for a difference in treatment effects, a linear contrast between treatment profiles from day 0 to day 8 indicated that mean log10 CFU counts after vaccination with aP5 + FIM were significantly lower ($p<0.01$) than those measured after vaccination with aP5 alone.

In summary, these results show that addition of 2μg of FIM2/3 to $1/4^{th}$ of a human dose of Adacel vaccine significantly increases the efficacy of this vaccine in a mouse nasopharyngeal challenge model against a PRN-negative *B. pertussis* isolate. This finding is surprising since there have not been any previous suggestions that

Date Reçue/Date Received 2020-04-29

increasing the amount of FIM in a pertussis vaccine would increase its efficacy. This result is specifically relevant in the context of PRN-negative strains that are currently emerging and causing disease around the world.

Example 2. *Increased vaccine efficacy after addition of FIM in the mouse challenge model is dose dependent*

Methods:

To investigate whether an increased dose of FIM correlated with increased vaccine efficacy, which would suggest the effect to be FIM-specific, the validated mouse *Bordetella pertussis* lung challenge model as described above was used. Animals were vaccinated with a 1/10 human dose of a licensed 2-component aP vaccine (PENTAVAC®, Sanofi Pasteur MSD; vaccine hereinafter referred to as aP2) with or without the addition of FIM2/3 (List Biological Laboratories Inc; material further referred to as FIM). Each human 0.5 mL dose of PENTAVAC® contains: (at least) 40 IU tetanus toxoid (TT); (at least) 30 IU diphtheria toxoid (DT); 40, 8 and 32 D-antigen units of inactivated poliovirus (IPV) types 1, 2 and 3 respectively; 10 µg *H. Influenzae* type B polysaccharide conjugated to TT (Hib-TT); and 2 acellular pertussis antigens (25 µg detoxified pertussis toxin (PT) and 25 µg filamentous hemagglutinin (FHA)). An amount of 0.5; 1.0; 1.5; 2.0; 2.5 or 5.0 µg FIM, corresponding to 5, 10, 15, 20, 25 or 50 µg FIM per human dose, respectively, was adsorbed to aluminium hydroxide and co-administered (as a separate injection) with the licensed aP2 vaccine (thus mimicking an aP vaccine with high dose of FIM according to the invention). At 9 weeks of age, the mice were challenged intranasally with ~10^6 cfu of a PRN-negative *B. pertussis* strain (I195). At 2 hours (n= 5/group) and 5 days (n=10/group) lung clearance was determined by counting the *B. pertussis* colonies grown on Bordet-Gengou agar plates after plating serial dilutions of lung homogenate.

Results:

A dose-dependent decrease in the number of *B. pertussis* colonies cultured from the lungs of vaccinated mice was observed with an increasing amount of FIM. Similarly, a dose-dependent decrease in the number of *B. pertussis* colonies cultured from the lungs of vaccinated mice was observed in mice vaccinated with a 1/25 dilution and

Date Reçue/Date Received 2020-04-29

increasing amounts of FIM. Figure 2 shows the log10 CFU counts obtained from the lungs of mice vaccinated with 1/10 human dose of aP2 in the presence of an increasing amount of FIM, 5 days post challenge with *B. pertussis* strain I195. Table 1 shows mean Log10 CFU counts and p-values calculated using Mann-Whitney (GraphPad Prism) comparing mean log10 CFU counts after vaccination with aP2 at 1/10 human dose with or without FIM. After the addition of 2 µg or more of FIM to aP2 1/10 human dose (human dose equivalent of 20 µg), vaccine efficacy increased significantly.

Conclusions:

The dose dependent effect of an increased FIM dose on vaccine efficacy demonstrates this effect is specific for FIM. In addition, vaccines including a high dose of FIM are more efficacious than a vaccine containing the maximum amount of FIM present in currently commercially available aP5 vaccines (5 µg per human dose). This is in line with the findings in example 1 that showed that increasing the dose of FIM in aP vaccine above 10 µg per human dose improved the efficacy, and further extends the findings to a different aP vaccine and an additional PRN-negative pertussis strain.

Example 3. *Increased efficacy of an aP5 vaccine with the addition of FIM is observed against multiple B. pertussis strains*

Methods:

The validated mouse *Bordetella pertussis* lung challenge model as described above was used to investigate whether an increased dose of FIM improved vaccine efficacy against a variety of *B. pertussis* strains. A 1/10 human dose of ADACEL® (Sanofi Pasteur; containing 2.5 µg PT, 5 µg FHA, 3 µg PRN and 5 µg FIM2/3 per human dose, see also example 1; herein referred to as aP5) or BOOSTRIX® (GlaxoSmithKline Biologicals; containing 5 Lf of tetanus toxoid, 2.5 Lf of diphtheria toxoid, 8µg PT , 8µg FHA and 2.5µg PRN per human dose; herein referred to as aP3) was used, with or without the addition (as separate injection after adsorption to aluminium hydroxide) of 5 µg of FIM (List Biological Laboratories Inc), corresponding to 50 µg per human dose. Animals that were vaccinated at 4 and 7 weeks of age, were challenged at 9 weeks of age with one of the following *B. pertussis* strains: WHO 18323 (PRN-positive), 24422 (PRN-negative), 24421 (PRN-

Date Reçue/Date Received 2020-04-29

negative) or I195 (PRN-negative). At 2 hours (n= 5/group) and 5 days (n=10/group) lung clearance was determined by counting the *B. pertussis* colonies grown on Bordet-Gengou agar plates after plating serial dilutions of lung homogenate.

Results:

Addition of 5 µg of FIM to a 1/10 human dose of aP5 improved vaccine efficacy against all *B. pertussis* strains, which reached statistical significance against 3 of the tested pertussis strains (WHO 18323 (p=0.04), PRN-24421(p=0.03) and PRN-I195 (p=0.001)) (Figure 3, Table 2).

This experiment was also performed using aP3 (1/10 human dose). For three of the challenge strains (WHO 18323, 24422 and 24421) a reduction after addition of FIM was not observed with this aP3 vaccine (BOOSTRIX®), although this might possibly partly be explained by the already high efficacy observed for these challenge strains with this particular aP3 when given without FIM. A significant reduction in log10 CFU counts was however observed with the addition of FIM to this aP3 when challenging with *B. pertussis* strain I195.

Overall, these data indicate that a vaccine with a high dose of FIM would have an improved efficacy against a range of pertussis strains.

Conclusions:

The increased efficacy observed after immunization with a high level of FIM in combination with a commercially available aP5 vaccine against a panel of *B. pertussis* strains indicates the benefit of a high FIM dose is observed also against different pertussis strains. Therefore vaccines including a high dose of FIM according to the invention could contribute to decreasing the rate of pertussis disease.

Example 4. *Increased efficacy with the addition of FIM for different currently commercially available aP vaccines*

Methods:

The validated mouse *Bordetella pertussis* lung challenge model as described above was used to investigate whether an increased dose of FIM (List Biological Laboratories Inc) improved vaccine efficacy of ADACEL® (Sanofi Pasteur; containing 2.5 µg PT, 5 µg FHA, 3 µg PRN and 5 µg FIM 2+3 as aP components per

Date Reçue/Date Received 2020-04-29

human dose), PENTAVAC® (Sanofi Pasteur MSD; containing 25 µg PT and 25 µg FHA as aP components per human dose) or BOOSTRIX® (GlaxoSmithKline Biologicals; containing 8 µg PT , 8 µg FHA and 2.5 µg PRN as aP components per human dose).

Mice were vaccinated with 1/10 human dose at 4 and 7 weeks of age with or without the addition of 5 µg FIM to the commercial vaccine (corresponding to 50 µg FIM added per human dose), challenged at 9 weeks of age with *B. pertussis* pertactin-negative strain I195 and lung clearance was determined 5 days post-challenge (n=10/group) by counting the *B. pertussis* colonies grown on Bordet-Gengou agar plates.

Results:

For all three vaccines the addition of FIM resulted in significantly lower mean Log10 CFU counts compared to mean Log10 CFU counts after vaccination with the commercial vaccine alone (Table 3).

Conclusion:

The vaccine efficacy of all three vaccines improved significantly after the addition of FIM. This suggests the effect of FIM can be generalized to a range of commercial aP vaccines that contain different amounts of pertussis antigens and different amounts of FIM. Efficacy of vaccines that already include FIM (ADACEL®) or those that do not include FIM (PENTAVAC® or BOOSTRIX®) improves, showing that it is not just presence of FIM that contributes to vaccine efficacy, but that also the dose of FIM is important.

Example 5. *Increased anti-FIM antibody functionality after vaccination with a high dose of FIM against a wide range of pertussis strains*

Functional activity of antibodies against pertussis components have been identified as important additional parameters to consider, in particular when evaluating new formulations containing PT and FIM which are known to induce antibodies with functional activity such as toxin neutralization and bacterial agglutination respectively. Assays to measure whole-cell *B. pertussis* agglutinating antibodies have been established. Although there is no functional threshold that has been found to

Date Reçue/Date Received 2020-04-29

correlate directly with the protective efficacy of pertussis vaccines, they are nevertheless an important immune parameter to determine as part of the overall comparison of new vaccine formulations to those proven to be safe and effective (from WHO draft Recommendations for aP vaccines, WHO/BS/2011.2158, section C.2.1.2). Thus, given the relevance of such assays for FIM containing aP vaccines, we used an agglutination assay to further test the vaccines of the invention against various *B. pertussis* strains.

Methods:

Serum was collected from mice that were vaccinated at 4 and 7 weeks of age with a 1/10 human dose of PENTAVAC® (Sanofi Pasteur MSD; containing as aP antigens 25 µg PT and 25 µg FHA per human dose; referred to herein as aP2) with or without the addition of FIM (List Biological Laboratories Inc). An amount of 0.5 or 2.0 µg FIM, corresponding to 5 or 20 µg FIM per human dose, respectively, was adsorbed to aluminium hydroxide and co-adminstered (as a separate injection) with the commercially available aP2 vaccine. At week 9, 5 animals per dosing group were sacrificed and sera isolated from terminal bleeds were pooled for investigation of anti-FIM (functional) antibody levels.To evaluate functional antibody responses to FIM, an agglutination assay was performed. In this assay, the presence of functional antibodies in test serum leads to the formation of antigen/antibody complexes when mixed with *B. pertussis*. Positive agglutination is defined as the presence of an opaque solution in the well, due to the presence of antigen/antibody complexes. Negative agglutination is observed as a defined bacterial sediment at the bottom of the well. In brief, 50 µl of test serum was serially diluted in PBS and mixed with 50 µl of a *B. pertussis* suspension of an OD_{600} of 1.0. This mixture was incubated over night and the next day the presence or absence of a bacterial sediment was determined using an inverted mirror. The agglutination titer is defined as the highest dilution which results in complete agglutination.

To investigate whether anti-FIM antibodies induced by vaccination of mice were functional against a panel of *B. pertussis* strains it was tested whether the sera had the capacity to agglutinate to 10 different FIM expressing *B. pertussis* strains. From a panel of 30 recent clinical *B. pertussis* isolates (kindly provided by Dr. Alan Evangelista, St. Christopher's Hospital for Children in Philadelphia), 24 isolates showed clear agglutination readouts with a positive control commercial anti-FIM monoclonal antibody (06/128, NIBSC, UK), confirming these strains express the FIM

Date Reçue/Date Received 2020-04-29

antigen. From this panel of 24 strains, 5 PRN-negative and 5 PRN-positive strains were selected for testing the mouse serum.

Results:

Vaccination in the presence of FIM induced functional antibody titers. Positive agglutination was observed with all the 6 mouse serum pools of mice vaccinated in the presence of FIM against all 10 *B. pertussis* strains. The unvaccinated control group and the group receiving aP2 at 1/10 human dose alone, which does not include FIM, did not show any agglutination (Table 4). Although a high dose of FIM was shown to be more efficacious than a low dose of FIM in validated mouse *Bordetella pertussis* lung challenge model (example 2), there was no clear FIM dose-response correlation (data not shown for other FIM doses), which is likely due to the limitation of this WHO standardized assay, which is not sensitive enough to detect small differences in agglutination.

Conclusion:

Vaccination with a commercial vaccine in the presence of FIM results in induction of functional antibodies against a wide panel of *B. pertussis* strains, either PRN-negative or PRN-positive strains. This finding indicates that a vaccine including a high dose of FIM would be effective in reducing pertussis disease caused by a wide range of *B. pertussis* strains.

Date Reçue/Date Received 2020-04-29

Table 1. Effect on protection of adding increasing doses of FIM to aP2 vaccine

	Total amount FIM	**FIM equivalent Human Dose**	**Mean Log10 CFU**	**P-value *vs* aP2 alone**
Control	-	-	7.2	0.0002
aP2	-	-	2.9	-
aP2 + 0.5 µg FIM	0.5 µg	5 µg	3.5	0.3418
aP2 + 1.0 µg FIM	1.0 µg	10 µg	2.1	0.0514
aP2 + 1.5 µg FIM	1.5 µg	15 µg	2.5	0.5390
aP2 + 2.0 µg FIM	2.0 µg	20 µg	2.0	0.0164
aP2 + 2.5 µg FIM	2.5 µg	25 µg	1.6	0.0013
aP2 + 5.0 µg FIM	5.0 µg	50 µg	1.4	0.0001

Table 1: P-values as determined using Mann-Whitney, comparing the difference in mean Log10 CFU counts from the lung in mice challenged with PRN-negative *B. Pertussis* strain I195 at week 9 after vaccination with aP2 at 1/10 human dose with the addition of FIM versus aP2 alone given in a 1/10 human dose at 4 and 7 weeks of age. For details see example 2.

Date Reçue/Date Received 2020-04-29

Table 2. Effect of adding FIM to aP5 vaccine to protection against different strains

	aP5			aP5 + FIM			
strain	**Total amount FIM (µg)**	**FIM equivalent Human Dose (µg)**	**Mean Log10 CFU**	**Total amount FIM (µg)**	**FIM equivalent Human Dose (µg)**	**Mean Log10 CFU**	**P-value**
WHO 18323	0.5	5	4.0	5.5	55	3.3	0.04
24422	0.5	5	4.6	5.5	55	3.6	0.08
24421	0.5	5	4.5	5.5	55	3.8	0.03
I195	0.5	5	5.3	5.5	55	4.0	0.001

Table 2: Mean Log10 CFU counts from the lung in mice 5 days post challenge with 4 different *B. pertussis* strains at week 9 after vaccination with aP5 at 1/10 human dose with or without the addition of 5 µg of FIM at 4 and 7 weeks of age. P-values determined using Mann-Whitney. For details see example 3.

Date Reçue/Date Received 2020-04-29

Table 3. Effect of addition of FIM to different commercial aP vaccines

	Total amount of FIM (µg)	**FIM Equivalent Human Dose (µg)**	**Mean Log10 CFU**	**P-value**
ADACEL®	0.5	5	5.3	
ADACEL® ***+ FIM***	5.5	55	3.94	0.001
PENTAVAC®	0	0	2.89	
PENTAVAC® ***+ FIM***	5	50	1.38	0.0001
BOOSTRIX®	0	0	4.1	
BOOSTRIX® ***+ FIM***	5	50	2.87	0.004

Table 3: Mean Log10 CFU counts from the lung in mice, 5 days post challenge with *B. pertussis* strain I195 at week 9 after vaccination with 3 different licensed aP vaccines at 1/10 human dose with or without the addition of 5 µg of FIM at 4 and 7 weeks of age. P-values determined using Mann-Whitney. For details see example 4.

Date Reçue/Date Received 2020-04-29

Table 4. Functional activity of antibodies against various strains by addition of FIM to aP2 vaccine

	Total amount FIM (µg)	**FIM equivalent Human Dose (µg)**	**FIM Antibody level**	**Agglutionation against PRN- Negative Strains**					**Agglutionation against PRN-Positive Strains**				
Strain #				**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**	**10**
Control	-	-	<	<	<	<	<	<	<	<	<	<	<
aP2	-	-	<	<	<	<	<	<	<	<	<	<	<
aP2 + 0.5 µg FIM	0.5	5.0	193	480	480	480	480	320	320	200	480	320	320
aP2 + 2.0 µg FIM	2.0	20	285	960	960	960	960	960	960	640	960	640	800

Table 4: Anti-FIM antibody levels and agglutination titers against a panel of *B. pertussis* strains as determined in serum pools collected from 5 mice per group, vaccinated at 4 and 7 weeks of age with a 1/10 human dose of aP2 with or without the addition of FIM. See example 5 for details
<: below lower limit of detection (LLOD)

Date Reçue/Date Received 2020-04-29

Claims

1. An acellular pertussis (aP) vaccine composition comprising *Bordetella pertussis* antigens pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), wherein FIM is present in an amount of 12-100 µg per human dose of 0.5 ml.

2. The aP vaccine composition according to claim 1, wherein FIM is present in an amount of 15-60 µg per human dose of 0.5 ml.

3. The aP vaccine composition according to any one of claims 1-2, wherein FIM is present in an amount of 20-60 µg per human dose of 0.5 ml.

4. The aP c vaccine omposition according to any one of claims 1-3, wherein FIM is present in an amount of 20-50 µg per human dose of 0.5 ml.

5. The aP vaccine composition according to any one of claims 1-4, wherein FIM is present in an amount of 20-25 µg per human dose of 0.5 ml.

6. The aP vaccine composition according to any one of claims 1-5, further comprising pertactin (PRN).

7. The aP vaccine composition according to any one of claims 1-6, wherein PT is genetically detoxified.

8. The aP vaccine composition according to any one of claims 1-7, further comprising antigens from one or more pathogens other than *B.pertussis*.

9. The aP vaccine composition according to claim 8, further comprising tetanus toxoid and diphtheria toxoid.

Date Reçue/Date Received 2021-03-29

10. The aP vaccine composition according to claim 8 or 9, further comprising one or more of:

a) *Heamophilus influenzae* (Hib) oligosaccharide or polysaccharide conjugate;

b) hepatitis B virus surface antigen (HBsAg); and

c) inactivated polio virus (IPV).

11. The aP vaccine composition according to any one of the preceding claims 1-10, further comprising an adjuvant.

12. The aP vaccine composition according to claim 11, wherein the adjuvant comprises aluminium hydroxide, aluminium phosphate, or a combination thereof.

13. The aP vaccine composition according to any one of claims 1-12 for use in the vaccination of a subject against *Bordetella pertussis*.

14. The aP vaccine composition according to any one of claims 1-12, for use in the protection of a human subject from whooping cough that is caused by infection with a PRN-negative strain of *Bordetella pertussis*.

15. *Bordetella pertussis* antigens for use in the vaccination of a human subject against *Bordetella pertussis*, the *Bordetella pertussis* antigens comprising: pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), wherein the FIM is in an amount of 12-100 µg per human dose of 0.5 ml.

16. The *Bordetella pertussis* antigens for use of claim 15, wherein the *Bordetella pertussis* antigens further comprise pertactin (PRN).

17. The *Bordetella pertussis* antigens for use of claim 15, wherein the *Bordetella pertussis* is a PRN-negative strain of *Bordetella pertussis*.

Date Reçue/Date Received 2021-03-29

18. Use of the aP vaccine composition according to any one of claims 1-12 in the preparation of a medicament for the vaccination of a subject against *Bordetella pertussis*.

19. Use of the aP vaccine according to any one of claims 1-12, in the preparation of a medicament for the protection of a human subject from whooping cough that is caused by infection with a PRN-negative strain of *Bordetella pertussis*.

20. Use of *Bordetella pertussis* antigens in the preparation of a medicament for the vaccination of a human subject against *Bordetella pertussis*, the *Bordetella pertussis* antigens comprising: pertussis toxoid (PT), filamentous hemagglutinin (FHA), and fimbriae types 2 and 3 (FIM), wherein the FIM is in an amount of 12-100 μg per human dose of 0.5 ml.

21. The use of claim 20, wherein the *Bordetella pertussis* antigens further comprise pertactin (PRN).

22. The use of claim 20, wherein the *Bordetella pertussis* is a PRN-negative strain of *Bordetella pertussis*.

Date Reçue/Date Received 2021-03-29

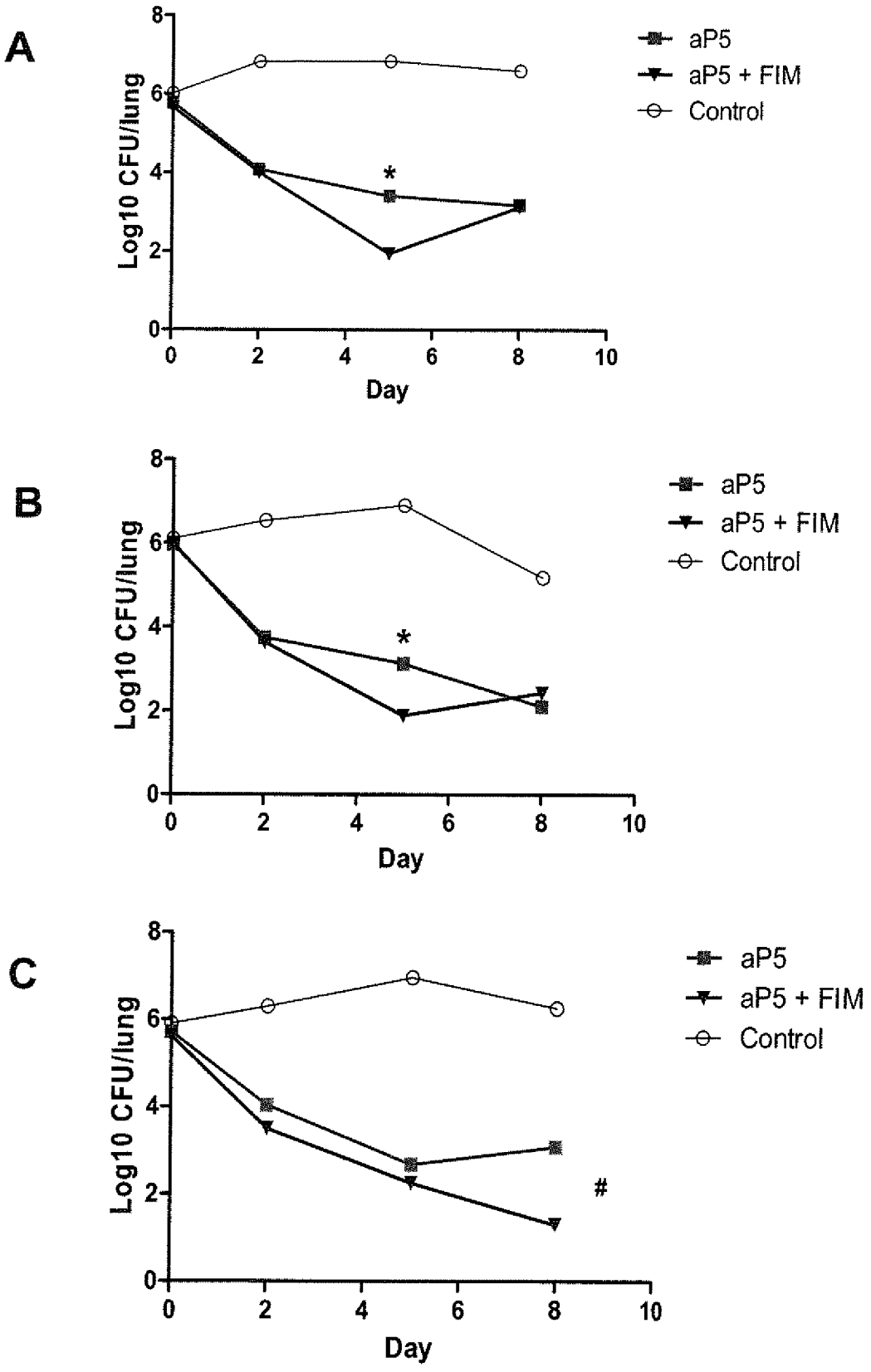

FIG. 1

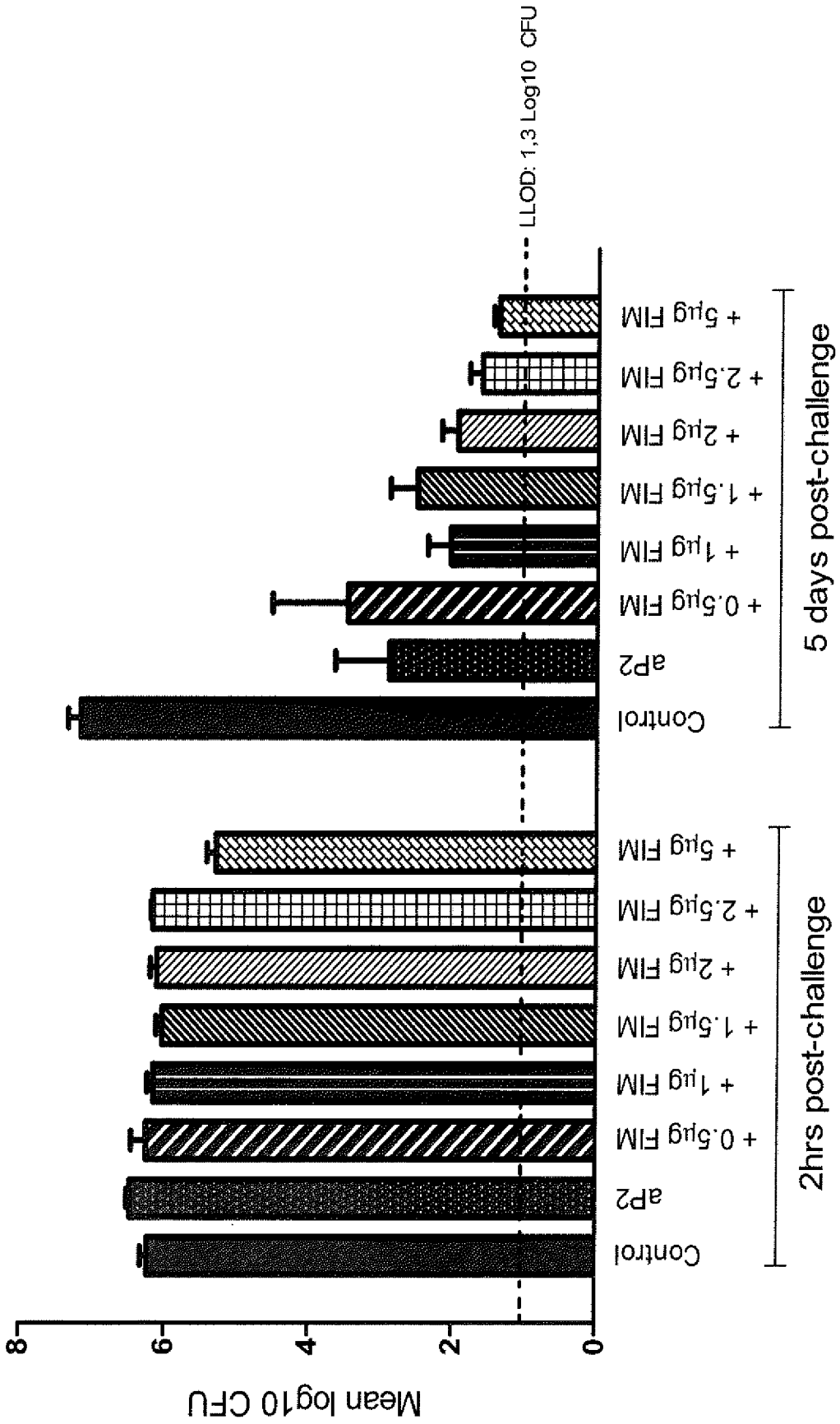
LLOD: 1,3 Log10 CFU
Mean log10 CFU
0
2
4
6
8
Control
aP2
+ 0.5µg FIM
+ 1µg FIM
+ 1.5µg FIM
+ 2µg FIM
+ 2.5µg FIM
+ 5µg FIM
2hrs post-challenge
Control
aP2
+ 0.5µg FIM
+ 1µg FIM
+ 1.5µg FIM
+ 2µg FIM
+ 2.5µg FIM
+ 5µg FIM
5 days post-challenge

FIG. 2

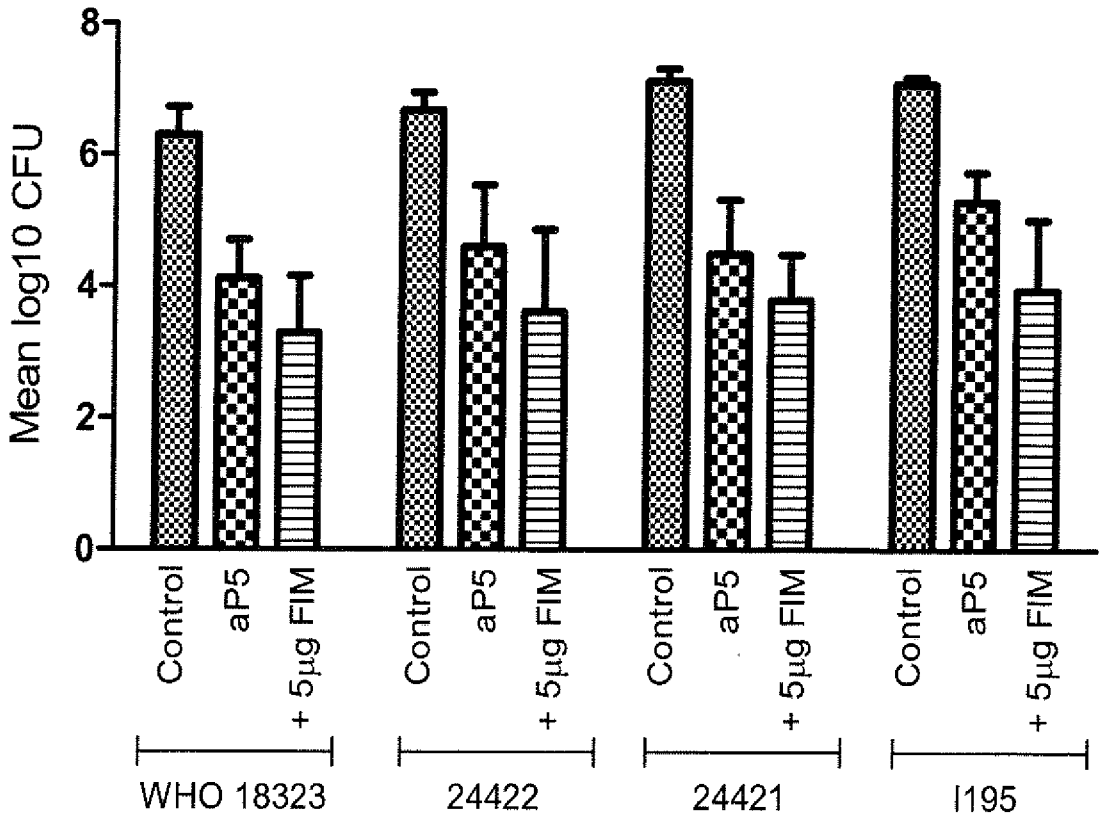
Mean log10 CFU
8
6
4
2
0
Control
aP5
+ 5µg FIM
WHO 18323
24422
24421
I195

FIG. 3