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(54) Titre : MATERIAUX DE MOULAGE A USAGE BIOMEDICAL CONSTITUES DE PRECURSEURS SEMI-SOLIDES  
(54) Title: BIOMEDICAL MOLDING MATERIALS FROM SEMI-SOLID PRECURSORS

(57) Abrégé/Abstract:

The present invention relates to a process for the production of polymeric moldings, such as medical device moldings and optical and ophthalmic lenses, preferably contact lenses and intraocular lenses. The invention also relates to a polymeric precursor mixture useful in polymeric moldings and to methods of making and using the polymeric precursor mixture. The semi-solid polymerizable precursor mixture comprises (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer; (ii) at least one non-reactive diluent; and (iii) optionally, at least one reactive plasticizer.

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(54) Title: BIOMEDICAL MOLDING MATERIALS FROM SEMI-SOLID PRECURSORS

(57) Abstract: The present invention relates to a process for the production of polymeric moldings, such as medical device moldings and optical and ophthalmic lenses, preferably contact lenses and intraocular lenses. The invention also relates to a polymeric precursor mixture useful in polymeric moldings and to methods of making and using the polymeric precursor mixture. The semi-solid polymerizable precursor mixture comprises (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer; (ii) at least one non-reactive diluent; and (iii) optionally, at least one reactive plasticizer.

## BIOMEDICAL MOLDING MATERIALS FROM SEMI-SOLID PRECURSORS

### 5 FIELD OF THE INVENTION

The present invention relates to a process for the production of polymeric moldings, such as medical device moldings and optical and ophthalmic lenses, preferably contact lenses and intraocular lenses. The invention also relates to a polymeric precursor mixture useful in the production of polymeric moldings and also to methods of making and using the polymeric precursor mixtures and moldings.

### BACKGROUND OF THE INVENTION

Small moldings such as contact lenses have typically been prepared utilizing direct polymerization of liquid monomers. However, such materials suffer from several problems. For example, liquids pose handling problems during mold filling, such as evaporative rings, inclusion of bubbles or voids, and Schlieren effects. Elaborate molds or processes must be used to hold the liquid in place until curing is completed. Further, liquid materials typically act rapidly to attack or solvate materials with which they come into contact, such as upon placement into the mold. Thus, molds can only be used once. Additionally, the curing time for liquids is slow, and there is substantial shrinkage of the molding upon cure so that the molding does not precisely replicate the geometry of the mold cavity. It is also difficult to provide additional surface characteristics, such as UV protection, dyes, and the like to the molding. In addition, in order to ensure biocompatibility and safety of biomedical devices, tedious extraction treatment is often required, in which a molding is immersed in water or other non-toxic liquid for a prolonged period, often hours, at elevated temperatures.

25 Residual harmful species are removed by diffusion, which proceeds slowly.

Polymeric products may also be produced from polymer resins by injection molding, compression molding, and the like. However, these techniques require high processing temperatures and are not suitable for processing thermally sensitive polymers such as the high-refractive index polymers useful for ophthalmic lenses.

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### SUMMARY OF THE INVENTION

The invention relates to a process for the production of moldings, in particular medical device moldings, more particularly optical lens moldings and ophthalmic lens moldings. Preferred moldings are contact lenses and intraocular lenses. Examples of other applicable moldings are biomedical moldings such as bandages or wound closure devices, heart valves, coronary stents, artificial tissues and organs, and films and membranes. The moldings of the present invention may contain medicinal and/or therapeutic ingredients which are released from the moldings in a controlled manner. The process makes use of a novel semi-solid precursor mixture that is shaped within a mold, cured, and released from the mold to produce the moldings of interest. Other aspects of the invention relate to the semi-solid precursor mixtures used in the process of this invention, as well as to the moldings so

produced. These aspects of the invention and several presently preferred embodiments will be described in more detail below.

More particularly, the invention in one aspect is directed to a semi-solid polymerizable precursor mixture which comprises (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer; (ii) at least one non-reactive diluent; and (iii) optionally, at least one reactive plasticizer. The precursor mixture exhibits low shrinkage when polymerized.

In addition, the semi-solid polymerizable precursor mixture of the invention is optionally shaped into a desired geometry and exposed to a surface-modifying composition to give a semi-solid gradient composite material exhibiting a desired surface characteristic. The precursor mixtures of the present invention may furthermore contain active ingredients such as medicinal and/or therapeutic ingredients which are controllably released from the final moldings of interest. In a presently preferred embodiment, the semi-solid precursor mixture provides optically clear moldings when polymerized.

In another aspect, the invention relates to a novel process in which a semi-solid precursor material is constituted, shaped by taking on the dimensions defined by the cavity of a mold, cured by a source of polymerizing energy, and released from the mold to produce the moldings of interest. An advantage of the novel process of the present invention is the speed with which the semi-solid precursor mixture can be cured. As will be discussed in more detail below, the overall concentration of reactive species is quite low in the semi-solid precursor mixture of the present invention. Thus, the desired degree of reaction can be achieved very quickly (i.e., quickly cured) and exhibits low shrinkage upon cure, using appropriate reaction initiators and a source of polymerizing energy.

By "quick curing time" and "quickly cured" are meant that the semi-solid precursor mixtures cure faster than a liquid composition in cases where the liquid formulation possesses the same type of reactive functional groups and the other curing parameters such as energy intensity and part geometry are constant. Typically, about 10 minutes or less of exposure to a source of polymerizing energy is needed in order to achieve the desired degree of cure when photoinitiated systems are used. More preferably, the curing occurs in less than about 100 seconds of exposure, and even more preferably in less than about 10 seconds. Most preferably, the curing occurs in less than about 2 seconds of exposure to a source of polymerizing energy. Such rapid curing times can be more easily realized for thin moldings such as contact lenses.

In yet another aspect, the present invention also relates to articles having a surface and an interior core, the composition of the surface material being distinct from the composition of the core material while at the same time the surface and core are an integral, monolithic entity. In the present invention, the semi-solid polymeric precursor mixture is optionally shaped into a desired geometry and exposed to a surface-modifying composition to give a semi-solid polymerizable gradient composite material, which is then molded and cured into the final product.

Thus, the invention is directed to a method for preparing a molding comprising (a) mixing together an initiator and a polymeric precursor mixture comprising (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer

and a dead polymer; (ii) at least one non-reactive diluent; and (iii) optionally, at least one reactive plasticizer and/or an active ingredient, to form a semi-solid polymerizable composition which exhibits low shrinkage when polymerized; (b) optionally shaping the semi-solid polymerizable composition into a preform of desired geometry; (c) optionally exposing the preform to a surface-forming material 5 to form a semi-solid gradient composite material; (d) introducing the semi-solid polymerizable composition or semi-solid gradient composite material into a mold corresponding to a desired geometry; (e) compressing the mold so that the semi-solid polymerizable composition or semi-solid gradient composite material takes on the shape of the internal cavity of the mold; and (f) exposing 10 the semi-solid polymerizable composition or semi-solid gradient composite material to a source of polymerizing energy; to give a cured molding, such as a shaped optical lens or other shaped medical device. The method is characterized by a quick curing time and low shrinkage upon cure.

#### DETAILED DESCRIPTION OF THE INVENTION

The terms "a" and "an" as used herein and in the appended claims mean "one or more".  
15 In one embodiment of this invention, the semi-solid precursor mixture comprises a polymer blend comprising at least two types of prepolymers containing polymerizable groups and a non-reactive diluent. The polymerizable group of the first prepolymer may be chosen to be reactive or non-reactive to the polymerizable group of the second prepolymer. When the first prepolymer is not capable of reacting with the second prepolymer, the precursor mixture forms an interpenetrating 20 polymer network (IPN) upon cure in which dissimilar prepolymers are crosslinked independently. When the first prepolymer is capable of reacting with the second prepolymer, the precursor mixture forms a semi-interpenetrating polymer network in which dissimilar prepolymers are crosslinked together to form a single polymer network.

In another embodiment of this invention, the semi-solid precursor mixture comprises a 25 prepolymer containing polymerizable groups, a dead polymer, and a non-reactive diluent. Upon cure, the final product takes the form of a semi-interpenetrating polymer network comprising the crosslinked prepolymer network in which the dead polymer and the non-reactive diluent are entrapped.

In the above-mentioned embodiments, which are free from monomeric reactive species, 30 reaction need only proceed to the extent necessary to impart the desired mechanical properties to the final gel, which are generally a strong function of crosslink density. When a water-soluble, semi-solid prepolymer mixture is used, reaction must also be sufficient to render the resultant gel water-insoluble if the molding is to be used in an aqueous environment. Thus, since little overall reaction is needed when using a semi-solid precursor mixture, the curing step can be completed quickly and 35 efficiently. Additionally, since there are no small-molecule, monomeric species present in this particular embodiment, there is no concern regarding unreacted monomers at the end of cure, unlike with conventional polymerization schemes, further promoting quick curing times versus the current state-of-the-art practices involving monomeric reactants.

In yet another presently preferred embodiment of the invention, the semi-solid polymerizable 40 precursor mixture is first formed and shaped into a desired geometry and is then exposed to a

surface-modifying composition, which may be reactive, to give a semi-solid gradient composite material. The surface-modifying composition is chosen to impart a desired characteristic such as hydrophilicity or biocompatibility to the surface of the final product. Because the semi-solid precursor composition is not cured at this point in the process, there is great penetration and diffusion of the 5 surface-modifying composition into the core material. The extent of surface modification may be manipulated by adjusting the amount of surface-modifying composition applied to the core material, hardness or density of the core material, and compatibility between the core material and the surface-modifying composition. The resulting semi-solid gradient composite material is then molded and cured into the final product, in which the surface material is distinct from the composition of the 10 core material while at the same time the surface and core are an integral, monolithic entity, exhibiting a good adhesion of the surface layer to the core material. Thus, the use of the semi-solid polymerizable composition of the present invention also leads to a novel and improved way of imparting a desired surface characteristic to the final cured product. Further discussions of semi-solid gradient composite material are presented in International Patent Publn. No. WO 00/55653, the 15 disclosure of which is incorporated herein by reference.

Another advantage of the presently disclosed process is that when free radical-based polymerization schemes are used to cure the semi-solid precursor mixtures, inhibition effects due to oxygen are reduced. While not wishing to be bound by theory, it is believed that this effect results from a low oxygen mobility within the semi-solid material prior to and during cure, as compared to 20 conventional liquid-based casting systems. Thus, complex and costly schemes (both molding of the molds as well as molding of the final part, as described in US Pat. Nos. 5,922,249 and 5,753,150, for instance) currently used to exclude oxygen from molding processes can be eliminated, and reaction will still proceed to completion in a timely fashion as mentioned above.

Yet another advantage of the presently disclosed process is that conventional liquid handling 25 problems during mold filling, such as evaporative rings, inclusion of bubbles or voids, and Schlieren effects, can be avoided with the use of the semi-solid precursor mixture. Furthermore, concerns are relaxed regarding compatibility of the mixture with mold materials because semi-solid materials typically do not act rapidly to attack or solvate materials with which they come into contact, such as upon placement into the mold. These advantages can be attributed to the nature of semi-solid 30 materials in general, in that the materials possess little solvating power even when small molecule species are present. While not wishing to be bound by theory, it is believed that this effect is due to an affinity for the semi-solid matrix of any small molecule species present, which inhibits or at least delays the migration of small molecules out of the semi-solid material, thus delaying or preventing both evaporation effects and attack of an adjacent material such as the mold material.

35 Thus, a wide array of suitable mold materials may be used to shape the moldings of interest in accordance with the present invention. Appropriate mold materials may include quartz, glass, sapphire, and various metals. Suitable mold materials may also include any thermoplastic material that can be molded to an optical quality surface and with mechanical properties which allow the mold to maintain its critical dimensions under process conditions employed in the process disclosed 40 herein. Examples of suitable thermoplastic materials include polyolefins such as low, medium, and

high-density polyethylene; polypropylene and copolymers thereof; poly-4-methylpentene; polystyrene; polycarbonate; polyacetal resins; polyacrylethers; polyarylether sulfones; nylons such as nylon 6, nylon 11, or nylon 66; polyesters; and various fluorinated polymers such as fluorinated ethylene propylene copolymers.

5 Because the semi-solid materials do not readily attack the mold materials used for lens production, a great processing advantage can be realized in the recycling or reuse of lens molds after each molding cycle. Such reuse is facilitated by the minimal interactions between the semi-solid materials and the mold materials during the normal course of processing, which is further aided by the rapid or quick curing made possible by the novel features of the semi-solid precursor material.

10 Thus, one embodiment of the present invention discloses a process in which contact lens molds are reused for more than one molding cycle, with optional cleaning steps in between uses, in accordance with the use of semi-solid precursor mixtures as discussed herein.

The invention also relates to novel semi-solid precursor mixtures which can be employed to manufacture the moldings of interest. The precursor mixture comprises polymerizable groups that 15 form polymer chains or polymer networks upon cure. Polymerization mechanisms that may be mentioned here purely by way of example include free-radical polymerization, cationic or anionic polymerization, cycloaddition, Diels-Alder reactions, ring-opening-metathesis polymerization, and vulcanization. Polymerizable groups may be incorporated into the semi-solid precursor mixture in the form of monomers, oligomers, as pendant reactive groups along a polymeric backbone, or in the 20 form of an otherwise reactive monomeric, oligomeric, or polymeric component. Oligomers or polymers possessing reactive groups, or being otherwise reactive, shall hereinafter be referred to as "prepolymers". For the purposes of this disclosure, prepolymers shall furthermore refer to molecules having a formula weight greater than 300, or molecules which comprise more than one repeat unit linked together. Functionalized molecules having a formula weight below 300 and comprising only 25 one repeat unit shall be referred to as "reactive plasticizers", as discussed below. The prepolymers may possess terminal and/or pendant reactive functionalities, or they may simply be prone to grafting or other reactions in the presence of the polymerizing system used to constitute the semi-solid precursor mixture. The semi-solid precursor mixture of the present invention comprises at least one prepolymer.

30 The semi-solid precursor mixture may furthermore comprise non-reactive or substantially non-reactive polymers, which shall hereinafter be referred to as "dead polymers". The dead polymers may serve to add bulk to the semi-solid precursor mixture without adding a substantial amount of reactive groups, or the dead polymers may be chosen to impart various chemical, physical, and/or mechanical properties to the moldings of interest. The dead polymers may further 35 be used to impart a desired degree of semi-solid consistency to the semi-solid precursor mixture. When the production of desired prepolymer is expensive, the dead polymer may also be used to decrease the material cost of the semi-solid precursor mixture.

40 The dead polymer may be chosen to be compatible with the prepolymer such that the final cured product is homogeneous and optically clear. The dead polymer may also be chosen to be incompatible with the prepolymer such that the final cured product comprises a phase-separated

mixture which exhibits a desired phase morphology. In a precursor mixture comprising an incompatible pair of dead polymer and prepolymer, an optically clear phase-separated iso-refractive article may be obtained in which the refractive indices of the dead polymer-rich phase and the prepolymer-rich phase are comparable in the final cured product. The phase-separated iso-refractive 5 article may also be formed from the precursor mixture comprising a blend of incompatible prepolymers. When the semi-solid precursor mixture of this invention contains only one type of prepolymer, the precursor mixture comprises at least one dead polymer.

Additionally, the semi-solid precursor mixture of this invention also comprises non-reactive or substantially non-reactive diluents. The diluents may serve as bulking agents that do not contribute 10 to the reactivity of the system, or they may function as compatibilizers in order to reduce phase separation tendencies of the other components in the mixture. If desired, the amount of non-reactive diluent may also be chosen such that after molding it can provide an isometric exchange with saline solution. Such a molding scheme is particularly useful for the production of contact lenses exhibiting 15 little or no expansion or contraction upon curing and placement into a saline solution. Isometric casting allows the production of articles which precisely replicate the mold geometry upon curing and equilibration in a desired medium, such as physiologically acceptable saline solution. While the diluents may play some role in the polymerization process, they will typically be assumed to be non-reactive and not contribute significantly to the polymer chains or networks formed upon 20 polymerization.

In addition, small molecule reactive species (i.e., monomers having a formula weight below 25 about 300) may be optionally added to the prepolymers, dead polymers, and non-reactive diluents of the semi-solid precursor mixture in order to impart an added degree of reactivity and/or to achieve the desired semi-solid consistency and compatibility, in which case the small molecule reactive species may serve to plasticize the polymeric components. The small molecule species may otherwise serve as polymerization extenders, accelerators, or terminators during reaction. 30 Regardless of their ultimate effect upon the semi-solid precursor mixture and the subsequent polymerization reaction, such components shall hereinafter be referred to as "reactive plasticizers".

In total, the semi-solid precursor mixture shall contain a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer, 35 and non-reactive diluents. Reactive plasticizers/monomers may optionally be added for the reasons mentioned above. The components are chosen and the composition adjusted accordingly to achieve the desired semi-solid consistency of the precursor mixture, the desired degree of reactivity (including effects on cure time and shrinkage), the desired final physical and chemical properties as well as the phase morphologies, which may be homogeneous or heterogeneous, of the moldings so produced, and to achieve the desired molding scheme such as isometric casting. Upon polymerizing 40 to form a cured resin, the phase morphology within the precursor material just prior to cure is locked in to give a composite that exhibits an increased degree of morphological stability.

By "polymer blend" is meant a mixture of at least two dissimilar polymeric molecules. When a prepolymer is obtained by functionalizing a polymer, the prepolymer and the non-functionalized 45 polymer from which the prepolymer is formed are considered to be dissimilar.

In a presently preferred embodiment of the invention, the semi-solid polymerizable composition comprises a crosslinkable prepolymer, a dead polymer, at least one non-reactive diluent, and, optionally, at least one reactive plasticizer. The crosslinkable prepolymer and the dead polymer are preferably "comparable"; that is, they will have a similarity in their structures. For example, a presently preferred mixture is a functionalized copolymer of hydroxyethylmethacrylate (HEMA) and methacrylic acid (MAA) monomers (pHEMA-co-MAA) as the crosslinkable prepolymer and a homopolymer of HEMA (pHEMA) as the dead polymer, which two polymers are dissimilar yet have comparable chemical structures. The preferred MAA content in the functionalized pHEMA-co-MAA is less than 10 %, and more preferably is less than 5 %.

In another preferred embodiment, the precursor mixture comprises the functionalized pHEMA-co-MAA as the first crosslinkable prepolymer and the functionalized pHEMA as the second crosslinkable copolymer.

It is presently preferred that the non-reactive diluent will be present in the semi-solid precursor mixture in an amount such that after molding it can provide an isometric exchange with saline solution. The resulting presently preferred semi-solid composition is hydrophilic and water-insoluble but water-swellable, and, when polymerized and equilibrated in a saline solution, it remains optically clear and exhibits low shrinkage or expansion.

By "semi-solid" is meant that the mixture is deformable and fusible, yet can be handled as a discrete, free-standing entity during short operations such as insertion into a mold. For pure polymeric systems, the modulus of elasticity of a pure polymeric material is roughly constant with respect to molecular weight, above a certain value, known as the molecular weight cutoff. Thus, for the purpose of this disclosure, and in one aspect of the present invention, semi-solids shall be defined as materials that, at fixed conditions such as temperature and pressure, exhibit a modulus below the constant modulus value seen for a given pure polymeric system at high molecular weights, i.e., above the molecular weight cutoff. The decrease in modulus used to achieve a semi-solid consistency may be achieved by incorporation of plasticizers (reactive or non-reactive diluents) into the semi-solid precursor mixture that serve to plasticize one or more of the prepolymer or dead polymer components. Alternatively, low molecular weight analogs below the molecular weight cutoff for a given polymer (either prepolymer or dead polymer) may be used in place of the fully polymerized version to achieve a reduction in modulus at the processing temperature.

In practice, semi-solids referred to herein generally have a modulus of elasticity that is lower than about  $10^{10}$ - $10^{11}$  dynes/cm<sup>2</sup>. The decreased modulus of the semi-solid at a given temperature, whether achieved by reduction of the polymer molecular weight (prepolymer or dead polymer) or by the addition of reactive or non-reactive plasticizers, provides desirable processing and final molding properties, as already discussed and further discussed below.

In the event that the semi-solid precursor mixtures are cooled in order to achieve the desired semi-solid consistency, one or more components of the semi-solid precursor mixture may become frozen. See, for example, US Pat. 6,106,746. For the purpose of this disclosure, and in another aspect of the present invention, semi-solids shall therefore be further defined as materials that exhibit a modulus below the modulus of any of the said frozen components, as measured in their pure

component, frozen state. By way of example, if water were one of the components used in the semi-solid precursor mixture and if a desired processing temperature were below 0°C (the freezing point of pure water), then the mixture would be considered a semi-solid so long as its modulus remained below that of pure, frozen water at the processing temperature used. Thus, the semi-solids of the 5 present invention may be differentiated from traditionally frozen materials because the modulus of the semi-solid material shall remain lower than the modulus of the pure component materials exhibiting freezing point temperatures above the desired processing temperature. Such a modulus reduction is advantageous because it allows for a more facile deformation of the material when the mold halves are brought together to define the internal mold cavity and molding shape. Furthermore, 10 by judicious choice of the semi-solid precursor composition, a desired semi-solid consistency can generally be achieved at or near room temperature, thus eliminating the need for substantial cooling in order to realize the advantages of solid handling, as well as the need for substantial heating in order to realize the advantages of liquid handling.

With respect to liquids, semi-solids are differentiated in that they may be handled as discrete, 15 free-standing quantities over time periods necessary for at least the shortest processing operation. Insertion into a mold assembly, for example, may require that the semi-solid be handled for about 1 second in order to retrieve a discrete quantity of semi-solid material and place it into one half of an open mold. For this purpose, the semi-solid may exist in the shape of a preform, where the semi-solid has undergone some previous shaping operation, during and/or after which conditions may be 20 adjusted to achieve a semi-solid consistency. Alternatively, the semi-solid may be pumped from a reservoir into the mold cavity, so long as the conditions are such that there is no need for gasketing or other mold enclosure to keep the material from flowing out of the mold prematurely. By contrast, liquids cannot be handled as discrete, free-standing quantities without unwanted flow and deformation for even the shortest processing steps. Mold cavities sealed with gaskets or upright 25 mold cavities where the concave mold half faces up must be used in order to keep liquid precursor mixtures from exiting the mold prematurely. This requirement is overcome by the present invention with the disclosure of the unique semi-solid precursor mixtures that do not flow undesirably during short processing operations such as mold filling.

Temperature will have a strong effect on the flowability of the semi-solid materials of this 30 invention since such materials will soften appreciably upon heating. The fact that semi-solids may behave like liquids upon sufficient heating does not preclude their novel use in the practice of the current invention so long as the materials exist as a semi-solid during at least some portion of the molding process. In practice it has been observed that materials displaying the desired semi-solid consistency typically exhibit a viscosity of about 50,000 centipoise or greater. Likewise, such 35 materials have been found to exhibit a dynamic modulus of approximately at least  $10^5$ - $10^6$  dynes/cm<sup>2</sup> or greater. These numbers are not intended to provide absolute minimums for semi-solid behavior, but rather have been found in practice to indicate the approximate ranges where semi-solid behavior begins.

One advantage of the semi-solid precursor mixtures of the present invention is the low 40 shrinkage which can be realized upon curing. By way of example, if one were to consider the

shrinkage of pure methyl methacrylate monomer upon cure, the amount of shrinkage as given by density change upon cure is approximately 25-30% (specific gravity of MMA monomer equals ~0.939, and of PMMA equals ~1.19). This shrinkage results from curing the monomer, which has a methacrylate molar concentration of about 9.3 M (M = moles/liter). Larger molecular-weight 5 monomeric species exist, up to and including oligomers, that have reduced methacrylate concentrations down to about 2-5M, enabling shrinkages as low as about 7-15% upon cure. The advantage of using semi-solid precursor mixtures in the practice of the present invention is that the methacrylate group concentration (or other reactive functionality, e.g. acrylate, acrylamide, methacrylamide, vinyl, vinyl ether, allyl, etc.) can be reduced below even the 2-5M level seen for 10 large monomers and oligomers, which have traditionally been limited by the requirement of exhibiting a relatively low viscosity, i.e., low enough to be processed as a liquid. So, for example, when a prepolymer is modified to possess methacrylate functional groups on 1% of its backbone units, the methacrylate concentration drops to about 0.1M, leading to a shrinkage upon cure of approximately 15 0.3%. (The shrinkage in this example system may be lower in practice because the amount of shrinkage per methacrylate qualitatively decreases with increasing monomer size.) Such low functional group concentrations have not been utilized by prior art methodologies due to the necessary requirement of low, liquid-like viscosities, which limited the size of the reactive molecules that could be used for formulation purposes, thus leading to high inherent shrinkages upon cure.

When the prepolymer is diluted with dead polymers and inert plasticizers, then the overall 20 methacrylate concentration is decreased even further, along with the resulting shrinkage of the semi-solid precursor mixture upon cure. The prepolymers containing a small number of methacrylate groups can also be mixed with the dead polymers, non-reactive diluents, and reactive plasticizers, to give semi-solid precursor mixtures exhibiting functional group concentrations below about 2M and shrinkage upon cure of less than about 5%. This can be reasoned by considering if a monomer and a 25 prepolymer exhibit shrinkages of 15% and 1.0%, respectively, upon cure, and are only present at 30 wt% and 10 wt%, respectively, in the semi-solid precursor mixture, with the balance being dead polymers and non-reactive diluents, then the expected shrinkage of the semi-solid precursor mixture upon cure will be approximately 4.6%. Thus, for the purposes of this disclosure, by "low shrinkage" is meant that at least one of two conditions is met: (1) the amount of shrinkage as measured by density 30 change before and after curing is 5% or less; or (2) the concentration of reactive groups prior to cure is less than 2M. By specifically embracing the semi-solid consistency of the precursor mixtures disclosed by this invention (as opposed to conventional liquid systems), a wide array of processing and formulation advantages are made possible, as discussed in detail throughout this specification.

The semi-solid precursor mixtures disclosed by the present invention may be 35 advantageously utilized to produce polymerized and/or crosslinked moldings. Therefore, in yet another aspect, the present invention relates to moldings produced from curing a semi-solid precursor mixture. For the purpose of producing contact lenses or intraocular lenses, the compositions of the moldings are chosen such that they become hydrogels when placed into essentially aqueous solutions; that is, the moldings will absorb about 10 to 90 wt% water upon

establishing equilibrium in a pure aqueous environment, but will not dissolve in the aqueous solution. Said moldings shall be hereinafter referred to as "gels".

For the purposes of this disclosure, essentially aqueous solutions shall include solutions containing water as the majority component, and in particular aqueous salt solutions. It is understood that certain physiological salt solutions, i.e., saline solutions, may be preferably used to equilibrate or store the moldings in place of pure water. In particular, preferred aqueous salt solutions have an osmolarity of from about 200 to 450 milli-osmolarity in one liter; more preferred solutions are from about 250 to 350 milliosmol/L. The aqueous salt solutions are advantageously solutions of physiologically acceptable salts such as phosphate salts, which are well-known in the field of contact lens care. Such solutions may further comprise isotonicizing agents such as sodium chloride, which are again well known in the field of contact lens care. Such solutions shall hereinafter be referred to generally as saline solutions, with no preference given to salt concentrations and compositions outside of the currently known art in the field of contact lens care.

The moldings of the present invention may be advantageously formed into contact lenses or intraocular lenses that exhibit "minimal expansion or contraction"; that is, they exhibit little or no expansion or contraction of the gel upon placement into saline solution. This may be accomplished by adjusting the amount of non-reactive diluent present such that no net volume change of the gel occurs when the molding is equilibrated in a saline environment. There is an isometric exchange of the diluent with the saline solution. This goal can be readily achieved by using saline as the sole diluent so long as it is incorporated at the same concentration in the semi-solid precursor mixture as its equilibrium content after gel formation, which can be readily determined by simple trial and error experimentation. Should one prefer the use of other diluents either with or without the presence of saline in the semi-solid precursor mixture, then the diluent concentration leading to no net volume change of the gel when equilibrated with saline may not be the same as the equilibrium saline concentration but, again, can again be readily ascertained by simple trial and error experimentation.

"Extraction" is the process by which unwanted or undesirable species (usually small molecule impurities, polymerization by-products, unpolymerized or partially polymerized monomer, etc., sometimes referred to as extractables) are removed from a cured gel prior to its intended use. By "prior to its intended use" is meant, for example in the case of a contact lens, prior to insertion into the eye. Extraction steps are a required feature of prior art processes used to make contact lenses, for example (see US Pats. 3,408,429 and 4,347,198), which add undue complications, processing time, and expense to the molding production process.

An advantage of the present invention is that moldings can be produced that do not require an extraction step, or require only a minimal extraction step, once the polymerization step is complete. By "minimal extraction step" and "minimum extraction" are meant that the amount of extractables is sufficiently low and/or the extractable composition is sufficiently non-toxic that any required extraction may be accommodated by the fluid within the container in which the lens is packaged for shipment to the consumer. The phrases "minimal extraction step" and "minimum extraction" may furthermore comprise any washing or rinsing that occurs as a part of any aspect of the demolding operation, as well as any handling steps. That is, liquid jets are sometimes used to

facilitate movement of the lens from one container to another, demolding from one or more of the lens molds, and the like, said jets generally comprising focused water or saline solution streams. During these processes, some extraction or rinsing away of any extractable lens materials may be reasonably expected to occur, but in any case shall be deemed to fall under the class of materials and processes requiring a minimal extraction step, as presented in this disclosure.

As an example, in one embodiment of the present invention, the semi-solid precursor mixture comprises 30-70 wt% of a prepolymer/dead polymer blend mixed with a photoinitiator and a non-reactive diluent that is selected from the group consisting of water and FDA-approved ophthalmic demulcents. Upon polymerization, the molding may be placed directly into a contact lens packaging container containing about 3.5 mL of saline fluid for storage, with the aid of one or more liquid jets to aid in the demolding process and to further facilitate lens handling without mechanical contact (see for example, U.S. Pat. 5,836,323), whereupon the molding will equilibrate with the surrounding fluid in the package. Since the molding volume of a contact lens (e.g., ~0.050 mL) is small relative to the fluid volume in the lens package, the demulcent concentration will be at least about 1 wt% or lower in both the solution and the lens after equilibration, which concentration is acceptable for direct application to the eye by the consumer. Thus, while from a strict viewpoint an extraction step is used in this embodiment, the extraction step is reduced to a minimal extraction step – that which occurs inherently during the demolding, handling and packaging processes. The fact that no separate extraction step is used *per se* represents a significant advantage of the present invention disclosed herein.

#### Materials and Methods

The present invention relates to prepolymers in which the linkage of the reactive functional groups to the polymer backbone is through covalent attachment at one or more sites along the prepolymer chain. In a further embodiment, the present invention relates to prepolymers that are not substantially water-soluble. By "water-soluble" is meant that the prepolymers are capable of being dissolved in water or saline solutions over the entire concentration range of about 1-10 wt% prepolymer under ambient conditions, or more preferably about 1-70% prepolymer in water or saline solutions. Thus, for purposes of this disclosure, "water-insoluble" or "non water-soluble" prepolymers shall be those which do not completely dissolve in water over the concentration range of about 1-10% in water at ambient conditions. In a preferred embodiment, gels made from prepolymers that are water-insoluble may be water-swellable such that they are capable of producing an optically clear homogeneous mixture upon absorbing from 10 to 90% water. Generally, such water-swellable gels will exhibit a maximum water absorption (i.e., equilibrium water content) that is a function of the chemical composition of the polymers making up the gel, as well as the gel crosslink density. Preferred gels in accordance with this invention are those exhibiting an equilibrium water content of from about 20 to 80 wt% water in a water or saline solution. When crosslinked, such water-insoluble but water-swellable materials desirably produce hydrogels, which are useful products of the present invention.

In a preferred embodiment of the invention, a homogenous semi-solid precursor mixture according to the present invention is constituted that is substantially free from monomeric, oligomeric,

or polymeric compounds used in (and by-products formed during) the preparation of the prepolymer, as well as being free of any other unwanted constituents such as impurities or diluents that are not ophthalmic demulcents. By "substantially free" is meant herein that the concentration of the undesirable constituents in the semi-solid precursor mixture is preferably less than 0.001% by weight, and more preferably less than 0.0001% (1 ppm). The acceptable concentration range for such undesirable constituents shall ultimately be determined by the intended use of the final product. This mixture preferably contains only diluents that are water or are recognized by the FDA as acceptable ophthalmic demulcents in limited concentrations in the eye. The mixture is furthermore constituted so as to not contain any additional co-monomers or reactive plasticizers. In this manner a semi-solid precursor mixture is constituted which contains no or essentially no unwanted constituents, and thus the molding produced therefrom contains no or essentially no unwanted constituents. Moldings are therefore produced which do not require the use of a separate extraction step, aside from the extraction/equilibration process which occurs within the packaging container and during demolding and intermediate handling steps after the cured molding has been produced.

Prepolymers suitable for use in the practice of this invention include any thermoplastic material that possesses one or more pendant or terminal functionality (i.e., reactive group) along the oligomer or polymer backbone. Furthermore, oligomers or polymers that undergo grafting reactions or other crosslinking reactions in the presence of a polymerizing system (monomers, oligomers, initiators, and/or a source of polymerizing energy) may be used as prepolymers to constitute the semi-solid precursor mixtures of this invention. Prepolymers may be linear, branched, or lightly crosslinked polymers as well as nanospheres or microspheres.

Prepolymers may be obtained by introducing reactive groups on the polymer backbone by reacting functionalizing agents with polymers. Prepolymers may also be obtained by introducing reactive groups on the surface of polymeric nanospheres or microspheres. By "functionalizing agents" is meant the molecules which have the groups reactive to the polymers and, upon reacting with polymers, introduce reactive groups on the polymer backbone. The functionalization reaction may be carried out as a single step using a suitable functionalizing agent. Alternatively, the functionalizable group on the polymer backbone is transferred further to another type of functionalizable group by reacting with a molecule, which is then reacted with the functionalizing agent. The examples of functionalizable groups include, but not limited to: hydroxyls, amines, carboxylates, thiols (disulfides), anhydrides, urethanes, and epoxides.

For functionalizing the polymers containing hydroxyls, functionalizing agents comprise the hydroxyl-reactive groups such as, but not limited to, epoxides and oxiranes, carbonyl diimidazole, oxidation with periodate, enzymatic oxidation, alkyl halogens, isocyanates, halohydrins, and anhydrides. For functionalizing the polymers containing amine groups, functionalizing agents comprise the amine-reactive groups such as isothiocyanates, isocyanates, acyl azides, N-hydroxysuccinimide esters, sulfonyl chlorides, aldehydes and glyoxals, epoxides and oxiranes, carbonates, arylating agents, imidoesters, carbodiimides, anhydrides, and halohydrins. For functionalizing the polymers containing thiol groups, examples of thio-reactive chemical reactions are haloacetyl and alkyl halide derivatives, maleimides, aziridines, acryloyl derivatives, arylating agents,

and thiol-disulfide exchange regents (such as pyridyl disulfides, disulfide reductants, and 5-thio-2-nitrobenzoic acid).

By way of example, suitable prepolymers for the practice of the current invention include (meth)acrylate-, (meth)acrylic anhydride-, (meth)acrylamide-, vinyl-, vinyl ether-, vinyl ester-, vinyl halide-, vinyl silane-, vinyl siloxane-, vinyl heterocycle-, diene-, allyl-, and epoxy-functionalized versions of: polystyrene, poly( $\alpha$ -methyl styrene), polymaleic anhydride, polystyrene-co-maleic anhydride, polystyrene-co-acrylonitrile, polystyrene-co-methyl(meth)acrylate, polymethyl(meth)acrylate, polybutyl(meth)acrylate, poly-iso-butyl (meth)acrylate, poly-2-butoxyethyl (meth)acrylate, poly-2-ethoxyethyl (meth)acrylate, poly(2-(2-ethoxy)ethoxy)ethyl (meth)acrylate, poly(2-hydroxyethyl (meth)acrylate), poly(hydroxypropyl (meth)acrylate), poly(cyclohexyl (meth)acrylate), poly(isobornyl (meth)acrylate), poly(2-ethylhexyl (meth)acrylate), polytetrahydrofurfuryl (meth)acrylate, polyethylene, polypropylene, polyisoprene, poly(1-butene), polyisobutylene, polybutadiene, poly(4-methyl-1-pentene), polyethylene-co-(meth)acrylic acid, polyethylene-co-vinyl acetate, polyethylene-co-vinyl alcohol, polyethylene-co-ethyl (meth)acrylate, polyvinyl acetate, polyvinyl butyral, polyvinyl butyrate, polyvinyl valerate, polyvinyl formal, polyethylene adipate, polyethylene azelate, polyoctadecene-co-maleic anhydride, poly(meth)acrylonitrile, polyacrylonitrile-co-butadiene, polyacrylonitrile-co-methyl (meth)acrylate, poly(acrylonitrile-butadiene-styrene), polychloroprene, polyvinyl chloride, polyvinylidene chloride, polycarbonate, polysulfone, polyphosphine oxides, polyetherimide, nylon (6, 6/6, 6/9, 6/10, 6/12, 11, and 12), poly(1,4-butylene adipate), polyhexafluoropropylene oxide, phenoxy resins, acetal resins, polyamide resins, poly(2,3-dihydrofuran), polydiphenoxypyphazene, mono-, di-, tri-, tetra-,... polyethylene glycol, mono-, di-, tri-, tetra-,... polypropylene glycol, mono-, di-, tri-, tetra-,... polyglycerol, polyvinyl alcohol, poly-2 or 4-vinyl pyridine, poly-N-vinylpyrrolidone, poly-2-ethyl-2-oxazoline, the poly-N-oxides of pyridine, pyrrole, imidazole, pyrazole, pyrazine, pyrimidine, pyridazine, piperidine, azolidine, and morpholine, polycaprolactone, poly(caprolactone)diol, poly(caprolactone)triol, poly(meth)acrylamide, poly(meth)acrylic acid, polygalacturonic acid, poly(t-butylaminoethyl (meth)acrylate), poly(dimethylaminoethyl (meth)acrylate), polyethyleneimine, polyimidazoline, polymethyl vinyl ether, polyethyl vinyl ether, polymethyl vinyl ether-co-maleic anhydride, cellulose, cellulose acetate, cellulose acetate butyrate, cellulose nitrate, methyl cellulose, carboxymethyl cellulose, ethyl cellulose, ethyl hydroxyethyl cellulose, hydroxybutyl cellulose, hydroxypropyl cellulose, hydroxypropyl methyl cellulose, starch, dextran, gelatin, chitosan, polysaccharides/glucosides such as glucose and sucrose, polysorbate 80, zein, polydimethylsiloxane, polydimethylsilane, polydiethoxysiloxane, polydimethylsiloxane-co-methylphenylsiloxane, polydimethylsiloxane-co-diphenylsiloxane, polymethylhydrosiloxane, proteins, protein derivatives, and synthetic polypeptides. Ethoxylated and propoxylated versions of the above-mentioned polymers, as well as their copolymers, are also suitable for use as prepolymers in the present disclosure. Other less known but polymerizable functional groups can be employed, such as epoxies (with hardeners) and urethanes (reaction between isocyanates and alcohols).

As used herein and in the appended claims, notations such as "(meth)acrylate" or "(meth)acrylamide" are used to denote optional methyl substitutions. Likewise, the notation "mono-,

di-, tri-, tetra-,... poly-'' is used to denote monomers, dimers, trimers, tetramers, etc., up to and including polymers of the given repeat unit.

Preferred prepolymers are those polymers or copolymers comprising sulfoxide, sulfide, and/or sulfone groups within or pendant to the polymer backbone structure that have been functionalized with additional reactive groups. Gels resulting from sulfoxide-, sulfide-, and/or sulfone-containing monomers (without the added reactive groups after initial polymerization) have shown reduced protein adsorption in conventional contact lens formulations (see, US Pat. 6,107,365 and PCT International Publn. WO 00/02937) and are readily incorporated into the semi-solid precursor mixtures of the present invention.

Additionally, preferred prepolymers are those containing one or more pendant or terminal hydroxyl groups, some portion of which have been functionalized with reactive groups capable of undergoing free-radical based polymerization. Examples of such prepolymers include functionalized versions of polyhydroxyethyl (meth)acrylate, polyhydroxypropyl (meth)acrylate, polyethylene glycol, cellulose, dextran, chitosan, glucose, sucrose, polyvinyl alcohol, polyethylene-co-vinyl alcohol, mono-, di-, tri-, tetra-,... polybisphenol A, and adducts of  $\epsilon$ -caprolactone with C<sub>2-6</sub> alkane diols and triols. Copolymers, ethoxylated, and propoxylated versions of the above-mentioned polymers are also preferred prepolymers (see, for example PCT International Publn. No. WO 98/37441).

Copolymers of these polymers with other monomers and materials suitable for use as ophthalmic lens materials are also disclosed. Additional monomers used for copolymerization may include, by way of example and without limitation, vinyl lactams such as N-vinyl-2-pyrrolidone, (meth)acrylamides such as N,N-dimethyl(meth)acrylamide and diacetone (meth)acrylamide, vinyl acrylic acids such as (meth)acrylic acid, acrylates and methacrylates such as 2-ethylhexyl (meth)acrylate, cyclohexyl (meth)acrylate, methyl (meth)acrylate, isobornyl (meth)acrylate, ethoxyethyl (meth)acrylate, methoxyethyl (meth)acrylate, methoxy triethyleneglycol (meth)acrylate, hydroxytrimeththylene (meth)acrylate, glyceryl (meth)acrylate, dimethylamino ethyl(meth)acrylate and glycidyl (meth)acrylate, styrene, and monomers/backbone units containing quarternary ammonium salts.

Particularly preferred prepolymers are methacrylate- or acrylate-functionalized poly(hydroxyethyl methacrylate-co-methacrylic acid) copolymers. Most preferred prepolymers are copolymers of hydroxyethyl methacrylate with about 2% methacrylic acid, where about 0.2-5% of the pendant hydroxyl groups of the copolymer have been functionalized with methacrylate groups to give a reactive prepolymer suitable for the semi-solid precursor mixtures and the process of this invention. A more preferable degree of methacrylate functionalization is about 0.5-2% of the hydroxyl groups.

In addition to prepolymers, systems of interest to the present application may comprise one or more substantially unreactive polymeric components, i.e., dead polymers, which may be linear, branched, or crosslinked. Dead polymers may also take the form of nanospheres or microspheres. The dead polymers may serve to add bulk to the semi-solid precursor mixture without adding a substantial amount of reactive groups, or the dead polymers may be chosen to impart various chemical, physical, mechanical, and/or morphological properties to the moldings of interest. The dead polymers may further be used to impart a desired degree of semi-solid consistency to the semi-

solid precursor mixture. When the production of prepolymers is expensive, the dead polymers may also be used to decrease the material cost of the semi-solid precursor mixture. The dead polymers may be chosen to be compatible or incompatible with the prepolymers. In one preferred embodiment of the present invention, the composition of the dead polymer is comparable to that of the 5 prepolymer.

In the present invention, optically transparent phase-separated systems may be beneficially prepared by including a phase-separated iso-refractive mixture of prepolymers, or a mixture of prepolymers and dead polymers. By "phase-separated iso-refractive" is meant that the system exhibits phase separation yet maintains optical clarity because the refractive indices of the coexisting 10 phases are comparable. When a non-reactive diluent and, optionally, a reactive plasticizer is added which either (1) partitions itself approximately equally between the phases or (2) has a refractive index upon polymerizing similar to that of the polymer mixture, a clear part results upon curing. Alternatively, when the non-reactive diluent and/or reactive plasticizer does not partition itself equally 15 between the phases and does not possess a refractive index upon curing similar to the polymer mixture, the refractive index of one of the phases may be altered by appropriate choice of the polymer composition to give a resultant iso-refractive mixture. Such manipulations may be advantageously carried out in accordance with the present invention in order to realize heretofore-unattainable properties (i.e., simultaneous mechanical, optical, and processing properties) for a given material system.

20 The production of optically clear materials notwithstanding, virtually any thermoplastic may be used as the dead polymer for the production of morphology-trapped materials. By way of example, these may include, but are not limited to: polystyrene, poly( $\alpha$ -methyl styrene), polymaleic anhydride, polystyrene-co-maleic anhydride, polystyrene-co-acrylonitrile, polystyrene-co-methyl(meth)acrylate, polymethyl(meth)acrylate, polybutyl(meth)acrylate, poly-iso-butyl 25 (meth)acrylate, poly-2-butoxyethyl (meth)acrylate, poly-2-ethoxyethyl (meth)acrylate, poly(2-(2-ethoxy)ethoxy)ethyl (meth)acrylate, poly(hydroxyethyl (meth)acrylate), poly(hydroxypropyl (meth)acrylate), poly(cyclohexyl (meth)acrylate), poly(isobornyl (meth)acrylate), poly(2-ethylhexyl (meth)acrylate), polytetrahydrofurfuryl (meth)acrylate, polyethylene, polypropylene, polyisoprene, poly(1-butene), polyisobutylene, polybutadiene, poly(4-methyl-1-pentene), polyethylene-co- 30 (meth)acrylic acid, polyethylene-co-vinyl acetate, polyethylene-co-vinyl alcohol, polyethylene-co-ethyl (meth)acrylate, polyvinyl acetate, polyvinyl butyral, polyvinyl butyrate, polyvinyl valerate, polyvinyl formal, polyethylene adipate, polyethylene azelate, polyoctadecene-co-maleic anhydride, poly(meth)acrylonitrile, polyacrylonitrile-co-butadiene, polyacrylonitrile-co-methyl (meth)acrylate, 35 poly(acrylonitrile-butadiene-styrene), polychloroprene, polyvinyl chloride, polyvinylidene chloride, polycarbonate, polysulfone, polyphosphine oxides, polyetherimide, nylon (6, 6/6, 6/9, 6/10, 6/12, 11, and 12), poly(1,4-butylene adipate), polyhexafluoropropylene oxide, phenoxy resins, acetal resins, 40 polyamide resins, poly(2,3-dihydrofuran), polydiphenoxypyrophosphazene, mono-, di-, tri-, tetra-,... polyethylene glycol, mono-, di-, tri-, tetra-,... polypropylene glycol, mono-, di-, tri-, tetra-,... polyglycerol, polyvinyl alcohol, poly-2 or 4-vinyl pyridine, poly-N-vinylpyrrolidone, poly-2-ethyl-2-oxazoline, the poly-N-oxides of pyridine, pyrrole, imidazole, pyrazole, pyrazine, pyrimidine,

pyridazine, piperidine, azolidine, and morpholine, polycaprolactone, poly(caprolactone)diol, poly(caprolactone)triol, poly(meth)acrylamide, poly(meth)acrylic acid, polygalacturonic acid, poly(t-butylaminoethyl (meth)acrylate), poly(dimethylaminoethyl (meth)acrylate), polyethyleneimine, polyimidazoline, polymethyl vinyl ether, polyethyl vinyl ether, polymethyl vinyl ether-co-maleic anhydride, cellulose, cellulose acetate, cellulose acetate butyrate, cellulose nitrate, methyl cellulose, carboxy methyl cellulose, ethyl cellulose, ethyl hydroxyethyl cellulose, hydroxybutyl cellulose, hydroxypropyl cellulose, hydroxypropyl methyl cellulose, starch, dextran, gelatin, chitosan, polysaccharides/glucosides such as glucose and sucrose, polysorbate 80, zein, polydimethylsiloxane, polydimethylsilane, polydiethoxysiloxane, polydimethylsiloxane-co-methylphenylsiloxane, polydimethylsiloxane-co-diphenylsiloxane, polymethylhydrosiloxane, proteins, protein derivatives, and synthetic polypeptides. The ethoxylated and/or propoxylated versions of the above-mentioned polymers shall also be included under this disclosure as being suitable dead polymers.

In one embodiment of the invention, preferred dead polymers are those polymers or copolymers comprising sulfoxide, sulfide, and/or sulfone groups within or pendant to the polymer backbone structure. Gels containing these groups have shown reduced protein adsorption in conventional contact lens formulations (see, US Pat. No. 6,107,365 and PCT Publ. No. WO 00/02937), and are readily incorporated into the semi-solid precursor mixtures of the present invention.

Additionally preferred dead polymers are those containing one or more pendant or terminal hydroxyl groups. Examples of such polymers include polyhydroxyethyl (meth)acrylate, polyhydroxypropyl (meth)acrylate, polyethylene glycol, cellulose, dextran, glucose, sucrose, polyvinyl alcohol, polyethylene-co-vinyl alcohol, mono-, di-, tri-, tetra-,... polybisphenol A, and adducts of  $\epsilon$ -caprolactone with  $C_{2-6}$  alkane diols and triols. Copolymers, ethoxylated, and propoxylated versions of the above-mentioned polymers are also preferred prepolymers.

Copolymers of these polymers with other monomers and materials suitable for use as ophthalmic lens materials are also disclosed. Additional monomers used for copolymerization of the dead polymers may include, by way of example and without limitation, vinyl lactams such as N-vinyl-2-pyrrolidone, (meth)acrylamides such as N,N-dimethyl(meth)acrylamide and diacetone (meth)acrylamide, vinyl acrylic acids such as (meth)acrylic acid, acrylates and methacrylates such as 2-ethylhexyl (meth)acrylate, cyclohexyl (meth)acrylate, methyl (meth)acrylate, isobornyl (meth)acrylate, ethoxyethyl (meth)acrylate, methoxyethyl (meth)acrylate, methoxy triethyleneglycol (meth)acrylate, hydroxytrimeththylene (meth)acrylate, glyceryl (meth)acrylate, dimethylamino ethyl(meth)acrylate and glycidyl (meth)acrylate, styrene, and monomers/backbone units containing quarternary ammonium salts.

The thermoplastics may optionally have small amounts of reactive entities attached (copolymerized, grafted, or otherwise incorporated) to the polymer backbone to promote crosslinking upon cure. They may be amorphous or crystalline. They may be classified as high performance engineering thermoplastics (e.g., polyether imides, polysulfones, polyether ketones, etc.), or they may be biodegradable, naturally occurring polymers (starch, prolamine, and cellulose, for example).

They may be oligomeric or macromeric in nature. These examples are not meant to limit the scope of compositions possible during the practice of the current invention, but merely to illustrate the broad selection of thermoplastic chemistries permitted under the present disclosure.

Thermoplastic polymers may be chosen in order to give optical clarity, high index of refraction, low birefringence, exceptional impact resistance, thermal stability, UV transparency or blocking, tear or puncture resistance, desired levels or porosity, desired water content upon equilibration in saline, selective permeability to desired permeants (high oxygen permeability, for example), tissue compatibility, resistance to deformation, low cost, or a combination of these and/or other properties in the finished object.

Polymer blends achieved by physically mixing two or more polymers are often used to elicit desirable mechanical properties in a given material system. For example, impact modifiers (usually lightly crosslinked particles or linear polymer chains) may be blended into various thermoplastics or thermoplastic elastomers to improve the impart strength of the final cured resin. In practice, such blends may be mechanical, latex, or solvent-cast blends; graft-type blends (surface modification grafts, occasional grafts (IPNs, mechanochemical blends)), or block copolymers. Depending on the chemical structure, molecule size, and molecular architecture of the polymers, the blend may result in mixtures comprising both compatible and incompatible, amorphous or crystalline constituents.

Most polymer blends and block copolymers, and many other copolymers, result in phase-separated systems, providing an abundance of phase configurations to be exploited by the materials designer. The physical arrangement of the phase domains may be simple or complex, and may exhibit continuous, discrete/discontinuous, and/or bicontinuous morphologies. Some of these are illustrated by the following examples: spheres of phase I dispersed in phase II; cylinders of phase I dispersed in phase II; interconnected cylinders; ordered bicontinuous, double-diamond interconnected cylinders of phase I in phase II (as have been documented for star-shaped block copolymers); alternating lamellae (well-known for di-block copolymers of nearly equal chain length); rings forming nested spherical shells or spirals; phase within a phase within a phase (HIPS and ABS); and simultaneous multiples of these morphologies resulting from the thermodynamics of phase separation (both nucleation and growth as well as spinodal decomposition mechanisms), kinetics of phase separation, and methods of mixing, or combinations thereof.

Another category of materials utilizes "thermoplastic elastomers" as the dead polymer or prepolymer (when functionalized). An exemplary thermoplastic elastomer is a tri-block copolymer of the general structure "A-B-A", where A is a thermoplastic rigid polymer (i.e., having a glass transition temperature above ambient) and B is an elastomeric (rubbery) polymer (glass transition temperature below ambient). In the pure state, ABA forms a microphase-separated or nanophase-separated morphology. This morphology consists of rigid glassy polymer regions (A) connected and surrounded by rubbery chains (B), or occlusions of the rubbery phase (B) surrounded by a glassy (A) continuous phase. Depending on the relative amounts of (A) and (B) in the polymer, the shape or configuration of the polymer chain (i.e., linear, branched, star-shaped, asymmetrical star-shaped, etc.), and the processing conditions used, alternating lamellae, semi-continuous rods, or other phase-domain structures may be observed in thermoplastic elastomer materials. Under certain

compositional and processing conditions, the morphology is such that the relevant domain size is smaller than the wavelength of visible light. Hence, parts made of such ABA copolymers can be transparent or at worst translucent. Thermoplastic elastomers, without vulcanization, have rubber-like properties similar to those of conventional rubber vulcanizates, but flow as thermoplastics at 5 temperatures above the glass transition point of the glassy polymer region. Commercially important thermoplastic elastomers are exemplified by SBS, SIS, and SEBS, where S is polystyrene and B is polybutadiene, I is polyisoprene, and EB is ethylenebutylene copolymer. Many other di-block or tri-block candidates are known, such as poly(aromatic amide)-siloxane, polyimide-siloxane, and polyurethanes. SBS and hydrogenated SBS (i.e., SEBS) are well-known products from KRATON 10 Polymers Business (Kraton®). DuPont's Lycra® is also a block copolymer.

When thermoplastic elastomers are chosen as the starting prepolymer and/or dead polymer for formulation, exceptionally impact-resistant yet clear parts may be manufactured by mixing with reactive plasticizers. The thermoplastic elastomers, by themselves, are not chemically crosslinked and require relatively high-temperature processing steps for molding. Upon cooling, such 15 temperature fluctuations lead to dimensionally unstable, shrunken or warped parts. The reactive plasticizers, if cured by themselves, may be chosen to form a relatively glassy, rigid network or a relatively soft, rubbery network, but with relatively high shrinkage in either case. When thermoplastic elastomers (i.e., dead polymers or prepolymers) and reactive plasticizers are blended together and reacted to form a cured resin, however, they form composite networks with superior shock-absorbing 20 and impact-resistant properties, while exhibiting relatively little shrinkage during cure. By "impact-resistant" is meant resistance to fracture or shattering upon being struck by an incident object.

For use in ophthalmic and contact lenses, the prepolymers and dead polymers are chosen such that the resulting polymerizable composition remains optically clear upon polymerization and, for contact lenses, subsequent equilibration in a saline solution. When prepolymers and dead 25 polymers are used together in the polymerizable composition, they are generally chosen to be compatible with each other, resulting in optically clear final lenses. Such compatible combinations are known in the art or can be determined without undue experimentation. In a presently preferred embodiment, the prepolymers and dead polymers have comparable chemical structures. Incompatible combinations of prepolymers and dead polymers may also be used to produce optically 30 clear moldings by forming a phase-separated iso-refractive system as described above.

Depending on the nature of the prepolymers, dead polymers, non-reactive diluents and/or reactive plasticizers used in the formulation, the final cured resin may be more flexible or less flexible (alternatively, harder or softer) than the starting prepolymer or dead polymer. Composite articles exhibiting exceptional toughness may be fabricated by using a thermoplastic elastomer which itself 35 contains polymerizable groups along the polymer chain. A preferred composition in this regard would be SBS tri-block or star-shaped copolymers, for example, in which the reactive plasticizer is believed to crosslink lightly with the unsaturated groups in the butadiene segments of the SBS polymer.

A preferred formulation for developing optically clear and highly impact-resistant materials uses styrene-rich SBS tri-block copolymers that contain up to about 75 % styrene. These SBS 40 copolymers are commercially available from KRATON Polymers Business (Kraton®), Phillips

Chemical Company (K-Resin<sup>®</sup>), BASF (Styrolux<sup>®</sup>), Fina Chemicals (Finaclear<sup>®</sup>), Asahi Chemical (Asaflex<sup>®</sup>), DENKA (Clearen<sup>®</sup>), and others. In addition to high impact resistance and good optical clarity, such styrene-rich copolymers yield material systems which exhibit other sometimes desirable properties such as a relatively high refractive index (that is, an index of refraction equal to or greater than about 1.54) and/or low density (with 30% or less of a reactive plasticizer, their densities are less than about 1.2 g/cc, and more typically about 1.0 g/cc).

When the mixture refractive index is an especially important consideration, high refractive index polymers may be used as one or more of the dead-polymer components. Examples of such polymers include polycarbonates and halogenated and/or sulfonated polycarbonates, polystyrenes and halogenated and/or sulfonated polystyrenes, polystyrene-polybutadiene block copolymers and their hydrogenated, sulfonated, and/or halogenated versions (all of which may be linear, branched, star-shaped, or non-symmetrically branched or star-shaped, etc.), polystyrene-polyisoprene block copolymers and their hydrogenated, sulfonated and/or halogenated versions (including the linear, branched, star-shaped, and non-symmetrical branched and star-shaped variations, etc.), polyethylene or polybutylene terephthalates (or other variations thereof), poly(pentabromophenyl (meth)acrylate), polyvinyl carbazole, polyvinyl naphthalene, poly vinyl biphenyl, polynaphthyl (meth)acrylate, polyvinyl thiophene, polysulfones, polyphenylene sulfides or oxides, polyphosphine oxides or phosphine oxide-containing polyethers, urea-, phenol-, or naphthyl-formaldehyde resins, polyvinyl phenol, chlorinated or brominated polystyrenes, poly(phenyl α- or β-bromoacrylate), polyvinylidene chloride or bromide, and the like.

In general, increasing the aromatic content, the halogen content (especially bromine), and/or the sulfur content are effective means well known in the art for increasing the refractive index of a material. High index, low density, and resistance to impact are properties especially preferred for ophthalmic lenses as they enable the production of ultra thin, lightweight eyeglass lenses, which are desirable for low-profile appearances and comfort and safety of the wearer.

Alternatively, elastomers, thermosets (e.g., epoxies, melamines, acrylated epoxies, acrylated urethanes, etc., in their uncured state), and other non-thermoplastic polymeric compositions may be desirably utilized during the practice of this invention.

In the present invention, non-reactive diluents are advantageously added to the semi-solid precursor mixtures of the present invention in order to achieve compatibility of the mixture components, achieve the desired concentration of reactive functionalities, and to achieve the desired semi-solid consistency. Diluents are chosen based upon their compatibility with and plasticizing effects on the prepolymer and dead polymer constituents in the semi-solid precursor mixture. "Compatibility" refers to the thermodynamic state where the non-reactive diluent solvates and/or plasticizes the prepolymer and dead polymer. In practice it has been found that molecular segments with structural similarity promote mutual dissolution. Hence, aromatic moieties on the polymer generally dissolve in aromatic diluents, and vice versa. Hydrophilicity and hydrophobicity are additional considerations in choosing the non-reactive diluents and the prepolymers and dead polymers for the semi-solid precursor mixture. Compatibility may generally be assumed in systems that appear clear or transparent upon mixing. However, for the purposes of this invention,

compatibility is not required but is merely preferred, especially when transparent objects are to be produced. Typically, compatible mixtures are desired for the production of the moldings of interest, except where phase separation is either unavoidable or desired to achieve some desired material property in the final molding. For the production of ophthalmic and contact lenses, clear systems upon cure are desirable, which can be easily achieved by selecting diluents that are compatible with the prepolymers and dead polymers of the semi-solid precursor mixture.

While the diluents are ostensibly unreactive in the polymerizing system of the semi-solid precursor material, some minor degree of reaction may in fact occur, and such reaction will generally be acceptable and unavoidable. Diluents may also affect the polymerization reaction by acting as chain terminating agents (a known phenomenon when water is present in anionic polymerization systems, for example), thus slowing the rate of cure, the final degree of cure, or the molecular weight distribution ultimately obtained. Fortunately, because the semi-solid systems of the present invention require little overall reaction from start to finish compared to predominantly monomeric systems, interference effects of the diluents will be greatly reduced, often to the point of having no measurable impact on the curing reaction. This greatly facilitates the choice of diluents that may be employed in the process of this invention, since reaction inhibition effects are less likely to arise.

By way of example, non-reactive diluents may include, but are not limited to: alcohols such as methanol, ethanol, propanol, butanol, pentanol, etc. and their methoxy and ethoxy ethers; glycols such as mono-, di-, tri-, tetra-, ....polyethylene glycol and its mono- and di-methoxy and -ethoxy ethers, mono-, di-, tri-, tetra-, ....polypropylene glycol and its mono- and di-methoxy and -ethoxy ethers, mono-, di-, tri-, tetra-, ....polybutylene glycol and its mono- and di-methoxy and -ethoxy ethers, etc., mono-, di-, tri-, tetra-, ....polyglycerol and its mono- and di-methoxy and -ethoxy ethers; alkoxyLATED glucosides such as the ethoxylated and propoxylated glucosides described in US Pat. No. 5,684,058, and/or as sold under the "Glucam" trade name by Amerchol Corp.; ketones such as acetone, methyl ethyl ketone, methyl propyl ketone, methyl isobutyl ketone; esters such as ethyl acetate or isopropyl acetate; dimethyl sulfoxide, N-methylpyrrolidone, N,N-dimethyl formamide, N,N-dimethyl acetamide, cyclohexane, diacetone dialcohol, boric acid esters (such as with glycerol, sorbitol, or other polyhydroxy compounds, as disclosed in US Pat. Nos. 4,495,313, 4,680,336, and 5,039,459), and the like.

The diluents employed for the production of contact lenses should ultimately be water-displaceable, although the diluents used in the production of moldings of interest may be first extracted with a solvent other than water, followed by water extraction in a second step, if desired.

"Over-the-counter" use of demulcents within ophthalmic compositions is regulated by the US Food & Drug Administration (FDA). For example, the Federal Register (21 CFR Part 349) entitled *Ophthalmic Drug Products for Over-the-Counter Use: Final Monograph* lists the accepted demulcents along with appropriate concentration ranges for each. Specifically, §349.12 lists the following approved "monograph" demulcents: (a) cellulose derivatives: (1) carboxymethyl cellulose sodium, (2) hydroxyethyl cellulose, (3) hydroxy propyl methyl cellulose, methylcellulose; (b) dextran 70; (c) gelatin; (d) polyols, liquid: (1) glycerin, (2) polyethylene glycol 300, (3) polyethylene glycol 400, (4) polysorbate 80, (5) propylene glycol; (e) polyvinyl alcohol; and (f) povidone (polyvinyl pyrrolidone).

§349.30 further provides that in order to fall within the monograph, no more than three of the above-identified demulcents may be combined.

Diluents used in accordance with the present invention are preferably FDA-approved ophthalmic demulcents or mixtures of ophthalmic demulcents with water or saline solutions. In cases where water interferes with the polymerization process (which is less likely using semi-solid precursor mixtures than in convention polymerization schemes using liquid monomer precursors), pure demulcents or mixtures of demulcents with prepolymers, dead polymers, and/or reactive plasticizers may be employed. The concentration of the demulcents within the molding during cure may be much higher than the concentrations allowed by the FDA in cases where the moldings shall be diluted or equilibrated in water or saline solution prior to use by the consumer, such as the case where contact lens moldings are placed into a package with an excess of saline solution for storage and shipping.

In a preferred embodiment of the present invention, the diluent composition and concentration in the semi-solid precursor mixture is chosen such that upon polymerization and subsequent equilibration in saline solution, little net change in gel volume occurs. Preferably, gel volume changes by no more than 10% upon equilibration in a physiologically acceptable saline solution. More preferably, the gel volume changes by less than 5%, and even more preferably by less than 2%. Most preferably, the gel volume changes by less than 1% upon equilibration in saline after molding, cure and demolding.

Minimal gel volume changes upon equilibration in saline are made possible by the novel semi-solid precursor mixtures of the present invention because the semi-solid materials (1) exhibit low shrinkage upon cure, and (2) can be formulated to contain the exact amount of diluent necessary to compensate for the equilibrium content of water. This second condition is made possible because liquid systems are no longer required in formulating the precursor mixtures used in conventional molding operations. In contrast, the semi-solid consistency, which results from incorporating the correct amount of diluent such that no net gel volume change occurs upon equilibration in water, is utilized to the advantage of the present disclosure.

In another preferred embodiment, the diluent concentration is adjusted such that a fixed amount of gel swelling occurs upon equilibration in water. This is sometimes helpful to aid in the demolding process, and yet the gel volume change can be accommodated by an appropriate mold design which takes into account a small but fixed amount of swelling of the finished molding.

In the present invention, reactive plasticizers may also be optionally included in the semi-solid precursor mixture. The reactive plasticizer is generally chosen to be compatible with the remaining constituents of the precursor mixture of interest, at least at some desired processing conditions of temperature and pressure. Reactive plasticizers may be used to impart an added degree of reactivity to the precursor mixture by increasing, upon initiating cure, the speed to lock in the phase morphology within the material just prior to cure to give a composite that exhibits an increased degree of morphological stability.

The presence of the non-reactive diluents and reactive plasticizers may facilitate blending by lowering the softening temperature of the polymers to be blended. This is especially advantageous

when temperature-sensitive materials are being blended with high- $T_g$  polymers. When optically clear materials are desired, the mixture components (i.e., the prepolymers, dead polymers, the impact modifiers, non-reactive diluents, and/or the reactive plasticizers) may be chosen to produce the same refractive index between the phases (iso-refractive) such that light scattering is reduced. When iso-  
5 refractive components are not available, the diluents and reactive plasticizers may nonetheless act as compatibilizers to help reduce the domain size between two immiscible polymers to below the wavelength of light, thus producing an optically clear polymer mixture that would otherwise have been opaque. The presence of reactive plasticizers may also in some cases improve the adhesion between the impact modifier and the dead polymer, improving the resultant mixture properties.

10 Even when only partial compatibility is observed at room temperature, the mixture often becomes uniform at a slightly increased temperature; i.e., many systems become clear at slightly elevated temperatures. Such temperatures may be slightly above ambient temperatures or may extend up to the vicinity of 100 °C or more. In such cases, the reactive components can be quickly cured at the elevated temperature to "lock-in" the compatible phase-state in the cured resin before  
15 system cool-down. Thus, phase-morphology trapping can be used to produce an optically clear material instead of a translucent or opaque material that would otherwise form upon cooling, which is yet another advantage presented in the current disclosure.

20 Combined with non-reactive diluents, the reactive plasticizers can be used singly or in mixtures to enhance dissolution of a given prepolymer and dead polymer. The reactive functional group may be acrylate, methacrylate, acrylic anhydride, acrylamide, vinyl, vinyl ether, vinyl ester, vinyl halide, vinyl silane, vinyl siloxane, (meth)acrylated silicones, vinyl heterocycles, diene, allyl and the like. Other less known but polymerizable functional groups can be employed, such as epoxies (with hardeners) and urethanes (reaction between isocyanates and alcohols). In principle, any monomers may be used as reactive plasticizers in accordance with the present invention, although  
25 preference is given to those which exist as liquids at ambient temperatures or slightly above, and which polymerize readily and rapidly with the application of a source of polymerizing energy such as light or heat in the presence of a suitable initiator.

30 Reactive monomers, oligomers, and crosslinkers that contain acrylate or methacrylate functional groups are well known and commercially available from Sartomer, Radcure and Henkel. Similarly, vinyl ethers are commercially available from Allied Signal/ Morflex. Radcure also supplies UV curable cycloaliphatic epoxy resins. Vinyl, diene, and allyl compounds are available from a large number of chemical suppliers.

35 To demonstrate the great diversity of reactive plasticizers that can be used to achieve such compatibility, we will name only a few from a list of hundreds to thousands of commercially available compounds. For example, mono-functional entities include, but are not limited to: butyl (meth)acrylate; octyl (meth)acrylate; isodecyl (meth)acrylate; hexadecyl (meth)acrylate; stearyl (meth)acrylate; isobornyl (meth)acrylate; vinyl benzoate; tetrahydrofurfuryl (meth)acrylate; caprolactone (meth)acrylate; cyclohexyl (meth)acrylate; benzyl (meth)acrylate; ethylene glycol phenyl ether (meth)acrylate; methyl (meth)acrylate; ethyl (meth)acrylate; and propyl (meth)acrylate;  
40 hydroxyethylmethacrylate (HEMA); 2-hydroxyethylacrylate (HEA); methylacrylamide (MMA);

methacrylamide; N,N-dimethyl-diacetone(meth)acrylamide; 2-phosphatoethyl(meth)acrylate; mono-, di-, tri-, tetra-, penta-, ... polyethyleneglycol mono(meth)acrylate; 1,2-butylene (meth)acrylate; 1,3 butylene (meth)acrylate; 1,4- butylene (meth)acrylate; mono-, di-, tri-, tetra-,... polypropylene glycol mono(meth)acrylate; glycerine mono(meth)acrylate; 4- and 2-methyl-5-vinylpyridine; N-(3- (meth)acrylamidopropyl)-N,N-dimethylamine; N-(3-(meth)acrylamidopropyl)-N,N,N-trimethylamine; 1-vinyl-, and 2-methyl-1-vinylimidazole; N-(3-(meth)acrylamido-3-methylbutyl)-N,N-dimethylamine; N-methyl(meth)acrylamide; 3-hydroxypropyl (meth)acrylate; N-vinyl imidazole; N-vinyl succinimide; N-vinyl diglycolylimide; N-vinyl glutarimide; N-vinyl-3-morpholinone; N-vinyl-5-methyl-3-morpholinone; propyl (meth)acrylate; butyl (meth)acrylate; pentyl (meth)acrylate; dimethyldiphenyl methylvinyl siloxane; N-(1,1-dimethyl-3-oxobutyl) (meth)acrylamide; 2-ethyl-2-(hydroxy-methyl)-1,3-propanediol trimethyl(meth)acrylate; X-(dimethylvinylsilyl)-ω-[(dimethylvinyl-silyl)oxy]-dimethyl diphenyl methylvinyl siloxane; butyl(meth)acrylate; 2-hydroxybutyl (meth)acrylate; vinyl acetate; pentyl (meth)acrylate; vinyl propionate; 3-hydroxy-2-naphtyl (meth)acrylate; vinyl alcohol; N-(formylmethyl)(meth)acrylamide; 2-ethoxyethyl (meth)acrylate; 4-t-butyl-2-hydroxycyclohexyl (meth)acrylate; 2-((meth)acryloyloxy)ethyl vinyl carbonate; vinyl[3-[3,3,3-trimethyl-1,1-bis(trimethylsiloxy)disiloxany]propyl] carbonate; 4,4'-(tetrapentacontmethylhepta-cosasiloxanylene)di-1-butanol; N-carboxy-β-alanine N-vinyl ester; 2-methacryloyloethyl phosphorylcholine; methacryloxyethyl vinyl urea; and the like.

Multifunctional entities include, but are not limited to: mono-, di-, tri-, tetra-,... polyethylene glycol di(meth)acrylate; 1,2-butylene di(meth)acrylate; 1,3 butylenedi(meth)acrylate; 1,4- butylene di(meth)acrylate; mono-, di-, tri-, tetra-,... polypropylene glycol di(meth)acrylate; glycerine di- and tri-(meth)acrylate; trimethylol propane tri(meth)acrylate (and its ethoxylated and/or propoxylated derivatives); pentaerythritol tetraacrylate (and its ethoxylated and/or propoxylated derivatives); hexanediol di(meth)acrylate; bisphenol A di(meth)acrylate; ethoxylated (and/or propoxylated) bisphenol A di(meth)acrylate; (meth)acrylated methyl glucoside (and its ethoxylated and/or propoxylated versions); (meth)acrylated polycaprolactone triol (and its ethoxylated and/or propoxylated versions); methylenebisacrylamide; triallylcyanurate; divinyl benzene; diallyl itaconate; allyl methacrylate; diallyl phthalate; polysiloxanyl bisalkyl (meth)acrylate; methacryloxyethyl vinyl carbonate; polybutadiene di(meth)acrylate; and a whole host of aliphatic and aromatic (meth)acrylated oligomers and (meth)acrylated urethane-based oligomers from Sartomer (the SR series), Radcure (the Ebecryl® series), and Henkel (the Photomer® series). Typical crosslinking agents usually, but not necessarily, have at least two ethylenically unsaturated double bonds.

Additional highly hydrophilic monomers or comonomers useful in the present invention include, but are not limited to, acrylic acid; methacrylic acid; (meth)acrylamide- or (meth)acrylate-functionalized carbohydrate-, sulfoxide-, sulfide- or sulfone-based monomers such as those disclosed in US Pat. Nos. 6,107,365 and 5,571,882; alkoxyLATED sucrose, glucose, and other glucosides such as those disclosed in US Pat. Nos. 5,856,416, 5,690,953 and 5,654,350; N-vinylpyrrolidone; 2-acrylamido-2-methylpropanesulfonic acid and its salts; vinylsulfonic acid and its salts; styrenesulfonic acid and its salts; 3-methacryloyloxy propyl sulfonic acid and its salts;

allylsulfonic acid; 2-methacryloyloxyethyltrimethylammonium salts; N,N,N-trimethylammonium salts; diallyl-dimethylammonium salts; 3-aminopropyl (meth)acrylamide-N,N-diacetic acid diethyl ester (as disclosed in US Pat. No. 5,779,943); and the like.

When high refractive index materials are desired, the reactive plasticizers may be chosen 5 accordingly to have high refractive indices, and preferably closely matched to the refractive index of the prepolymer or dead polymer used. Examples of such reactive plasticizers, in addition to those mentioned above, include brominated or chlorinated phenyl (meth)acrylates (e.g., pentabromo methacrylate, tribromo acrylate, etc.), brominated or chlorinated naphthyl or biphenyl (meth)acrylates, brominated or chlorinated styrenes, tribromoneopentyl (meth)acrylate, vinyl 10 naphthylene, vinyl biphenyl, vinyl phenol, vinyl carbazole, vinyl bromide or chloride, vinylidene bromide or chloride, bromoethyl (meth)acrylate, bromophenyl isocyanate, and the like. As stated previously, increasing the aromatic, sulfur and/or halogen content of the reactive plasticizers is a 15 well-known technique for achieving high-refractive index properties.

In a presently preferred embodiment, reactive plasticizers containing acrylate, methacrylate, 15 acrylamide, and/or vinyl ether moieties are found to give convenient, fast-curing UV-triggered systems.

The reactive plasticizers can be mixtures themselves, composed of mono-functional, bi-functional, tri-functional or other multi-functional entities. For example, incorporating a mixture of 20 monofunctional and multi-functional reactive plasticizers will, upon polymerization, lead to a reactive plasticizer polymer network in which the reactive plasticizer polymer chains are crosslinked to each other (i.e., a semi-IPN). During polymerization, the growing reactive plasticizer polymer chains may react with the prepolymer to create an IPN. The reactive plasticizer and prepolymer may also graft to or react with the dead polymer (if present), creating a type of IPN, even if no unsaturated or other 25 apparently reactive entities are present within the dead polymer chains. Thus, the prepolymer and dead polymer chains may act as crosslinking entities during cure, resulting in the formation of a crosslinked reactive plasticizer polymer network even when only monofunctional reactive plasticizers are present in the mixture with a only prepolymers and/or dead polymers.

An initiator or polymerization catalyst is typically added into the semi-solid precursor mixture 30 in order to facilitate curing upon exposure of the mixture to a source of polymerizing energy such as light or heat. The polymerization catalyst can be a thermal initiator which generates free radicals at moderately elevated temperatures. Thermal initiators such as lauryl peroxide, benzoyl peroxide, dicumyl peroxide, t-butyl hydroperoxide, azobisisobutyronitrile (AIBN), potassium or ammonium persulfate, for example, are well known and are available from chemical suppliers such as Aldrich. Photoinitiators may preferably be used in place of or in combination with one or more 35 thermal initiators so that the polymerization reaction may be triggered by a source of actinic or ionic radiation. Photo-initiators such as the Irgacure® and Darocur® series are well-known and commercially available from Ciba Geigy, as is the Esacure® series from Sartomer. Example photoinitiator systems are benzoin methyl ether, 1-hydroxycyclohexyl phenyl ketone, 2-hydroxy-2-methyl-1-phenylpropane-1-one (sold under the Tradename Darocure 1173 by Ciba Specialty

Chemicals), and 4,4'-azobis (4-cyano valeric acid), available from Aldrich Chemicals. For a reference on initiators, see, for example, *Polymer Handbook*, J. Brandrup, E.H. Immergut, eds., 3rd Ed., Wiley, New York, 1989.

The initiators are advantageously added into the precursor mixture prior to introduction into the mold. Optionally, other additives may be included such as mold release agents, preservative agents, pigments, dyes, organic or inorganic fibrous or particulate reinforcing or extending fillers, thixotropic agents, indicators, inhibitors or stabilizers (weathering or non-yellowing agents), UV absorbers, surfactants, flow aids, chain transfer agents, foaming agents, porosity modifiers, and the like. The initiator and other optional additives may be dissolved or dispersed in the reactive plasticizer and/or diluent component prior to combining with the dead polymer and/or prepolymer to facilitate complete dissolution into and uniform mixing with the polymeric component(s). Alternatively, the initiator and other optional additives may be added to the mixture at any time, including just prior to polymerization, which may be preferred when thermal initiators are used for example.

The biomedical moldings of the present invention may also be used as delivery systems of active ingredients in which the release of active ingredients is achieved in a controlled manner. The examples of active ingredients include, but are not limited to, drugs, pharmaceuticals, vaccines, antimicrobials, genes, and fragrances. When the prepolymers or dead polymers are present as nanospheres or microspheres, the active ingredients may be entrapped in or adsorbed to the nanospheres or microspheres.

In one embodiment of the present invention, contact lenses which also function as drug delivery systems are produced from the semi-solid precursor mixture comprising a prepolymer, a drug-loaded nanosphere or microsphere as the dead polymer, and a non-reactive diluent. When the dead polymer is the drug-containing microsphere, the precursor mixture may be advantageously formed as a phase-separated iso-refractive system to improve the optical clarity of contact lenses.

In yet another embodiment of the present invention, reusable drug-release contact lenses are produced from the semi-solid precursor mixture comprising a prepolymer, a dead polymer (which may be a nanosphere or microsphere) exhibiting an affinity to the drug of interest, and a non-reactive diluent. The precursor mixture may be a homogeneous mixture or a phase-separated iso-refractive system. The prepolymer is formed from the polymer which exhibits the solubility behavior sensitive to the thermodynamic balance such as temperature, pH, or ionic strength of physiologically acceptable aqueous solutions. When the contact lens is formed from a prepolymer which shows the solubility behavior sensitive to the temperature in aqueous solutions, the contact lens swells more at the temperature where the prepolymer is soluble than at the temperature where the prepolymer is insoluble.

In fluid mixtures, the phase separation upon heating is referred to as Lower Critical Solution Temperature (LCST) behavior. Conversely, the phase separation upon cooling is referred to as Upper Critical Solution Temperature (UCST) behavior. For aqueous systems, examples of polymers which exhibit LCST behavior include poly(N-isopropyl acrylamide), polyethylene glycol (PEG), polypropylene glycol (PPG), PEG-co-PPG copolymers, and cellulose derivatives such as methyl cellulose. N-isopropyl acrylamide is also copolymerized with the monomers comprising ionizable

groups to give the copolymers exhibiting LCST behavior, which depends on the pH and ionic strength of the solution. In aqueous solutions of PEG, LCST depends on the ionic strength of the solution. On the other hand, aqueous solutions of copolymers comprising N-acetyl acrylamide and acrylamide are known to exhibit UCST behavior. The LCSTs and UCSTs observed in these systems 5 are reversible.

Thus, when the contact lenses comprise the prepolymers formed from the above-mentioned LCST and UCST polymers, and the dead polymers which exhibit affinity to the drug of interest, the loading of drug into the contact lenses may be achieved efficiently and repeatedly by immersing the contact lenses in a drug-containing solution in which the thermodynamic balance of the solution, 10 such as temperature, is adjusted to expand the contact lenses, promoting the diffusion of drugs into the contact lenses. The drug-loaded contact lenses obtained in this manner are then placed in a solution used to store the contact lenses to recover the original lens geometry. The resulting drug-containing contact lenses are now ready for insertion into the eyes.

The ingredients in the polymerizing mixture can be blended by hand or by mechanical 15 mixing. The ingredients may preferably be warmed slightly to soften or liquefy the prepolymer and/or dead polymer component. Any suitable mixing device may be used to mechanically homogenize the mixture, such as blenders, kneaders, internal mixers, compounders, extruders, mills, in-line mixers, static mixers, and the like, optionally blended at temperatures above ambient temperature, or optionally blended at pressures above or below atmospheric pressure.

20 In one presently preferred embodiment of the invention, an optional waiting period may be allowed during which the ingredients are not mechanically agitated. This optional waiting period may take place between the time the ingredients are initially metered into a holding container and the time at which they are homogenized mechanically or manually. Alternatively, the ingredients may be metered into a mixing device, said mixing device operated for a sufficient period to "dry-blend" the 25 ingredients, then an optional waiting period may ensue before further mixing takes place. Or, the ingredients may be fully mixed in a mechanical device, after which time a waiting period ensues. The waiting period may extend for about an hour to one or more days. Such a waiting period is useful for achieving homogenization of a given polymer system down to very small length scales since mechanical mixing techniques do not usually achieve mixing at the length scale of microphase 30 domains. Thus, a combination of both mechanical mixing and a waiting period may be used to achieve homogenization across all length scales. The waiting period duration and its order in the processing sequence may be chosen empirically and without undue experimentation as the period that gives the most efficient overall mixing process in terms of energy consumption, overall process economics, and final material properties.

35 This embodiment of the invention may be particularly beneficial when the polymerizable mixture contains a high fraction of the prepolymer or dead polymer ingredients, especially when the prepolymer or dead polymer is glassy or rigid at ambient temperatures. Utilization of a waiting period may also be particularly beneficial when the prepolymer and/or dead polymer are thermally sensitive and so cannot be processed at temperatures above their softening point over a certain time period 40 without undue degradation.

When attempting to blend two or more polymers, it may be useful to add the non-reactive diluent and/or reactive plasticizer to the component with the highest glass transition temperature first, allowing it to be plasticized. The other lower  $T_g$  components may then be mixed in at a temperature lower than that which could have been used without the plasticizing effect of the diluents or reactive plasticizers, thus reducing the overall thermal exposure of the system. Alternatively, the diluents and reactive plasticizers may be partitioned between the polymers to be mixed, plasticizing each of them separately. The independently plasticized polymers may then be mixed at a relatively low temperature, with correspondingly lower energy consumption and degradation of the polymers.

The crucial criteria in determining whether a semi-solid precursor mixture can be employed in the novel process of the present invention for the production of ophthalmic moldings, such as contact lenses and spectacle lenses, are that the precursor mixture must be homogeneous to a sufficient degree allowing for optical clarity upon cure; that the mixture exhibit a semi-solid consistency during at least one part of the manufacturing process used to produce the molding of interest; that the mixture be capable of undergoing a polymerization reaction upon the application of light, heat, or some other form of polymerizing energy or polymerization-triggering mechanism; and that the mixture exhibit low shrinkage when polymerized. Additional preferred characteristics of a spectacle lens include one or more of the following: an optical clarity of at least 80%, preferably 85% and most preferably 90% transmission of light in the visible spectrum range at 2 mm thickness; a refractive index of at least 1.5; a glass transition temperature of at least 80°C; a modulus of elasticity greater than  $10^9$  dynes/cm<sup>2</sup>; a Shore D hardness greater than 80; and an Abbe number greater than 25.

The semi-solid precursor materials of the present invention may be advantageously molded by several different molding techniques well-known and commonly practiced in the art. For example, static casting techniques, where the molding material is placed between two mold halves which are then closed to define an internal cavity which in turn defines the molding shape to be produced, are well-known in the field of ophthalmic lens production. See, for example, US Pat. Nos. 4,113,224, 4,197,266, and 4,347,198. Likewise, compression molding techniques where two mold halves are again brought together, but not necessarily brought into contact with one another, to define one or more molded surfaces, are well-known in the field of thermoplastic molding. Injection molding is another technique that may be adapted for use with the present semi-solid precursor materials of the present invention, where the semi-solid material can be rapidly forced into a cavity defined by two temperature-controlled mold halves, the material being optionally cured while in the mold, then being ejected from the mold halves with a subsequent shaping and or curing step if needed (if the semi-solid is not cured or only partially cured in the injection molding machine).

Such processes without curing or with only partial curing in the mold are suitable for the production of preforms, which can be later used in a static casting or compression molding process with curing to manufacture the final objects of interest. For the production of ophthalmic lenses, static casting, compression, and injection molding are all preferred processes because of their current prevalence in the art with either unreactive thermoplastic materials (injection and compression molding) or reactive precursors in a liquid state (static casting).

If desired, the preforms may be furthermore exposed to a surface-modifying or surface-forming material to give the semi-solid gradient composite materials which exhibit the desired surface characteristic. The terms "surface-modifying material" and "surface-forming material", as used herein and in the appended claims, are used interchangeably and refer to any composition or material that adds or provides a layer having a desired characteristic to one or more surfaces of a polymer article. Compositions useful in preparing the moldings of this invention can be a dye or pigment solution, which dye or pigment may be, for purposes of illustration, photochromic, fluorescent, UV-absorbing, or visible (color). A dye may be encapsulated in, covalently attached to, adsorbed to, or otherwise immobilized to a carrier, such as hyperbranched polymer, nanosphere, or microsphere, which may contain reactive groups on the surface. Alternatively, the surface composition may contain a scratch-resistant precursor formulation. Further, a dye may be dissolved directly in a scratch-resistant material to give a finished article, such as a lens, that is tinted and scratch-protected simultaneously. Another example of a surface-forming or surface-modifying composition is a hydrophilic monomer/crosslinker mixture, which coating may impart, for example, hydrophilicity and/or tissue compatibility for contact lenses or anti-fog properties for spectacle lenses and windshields. This hydrophilic reactive monomer/crosslinker composition may further contain various dyes, including the photochromic variety.

The preforms may be exposed to the surface-forming composition by dipping into a bath of surface-forming composition. In addition to dipping in a bath, the surface-forming composition may be vaporized on, painted on, sprayed on, spun on, printed on, or transferred on to the preforms by processes known to those skilled in the art of coating and pattern creation/transfer. Alternatively, the surface-forming composition may be sprayed, pained, printed, patterned, flow-coated, or otherwise applied to one or more surfaces of a mold. The surface forming composition may optionally be cured or partially cured to increase viscosity, toughness, abrasion resistance or other desired properties. Further discussions of semi-solid gradient composite material are presented in International Patent Publn. No. WO 00/55653, the disclosure of which is incorporated herein by reference.

Silicone-containing polymers are well-known to exhibit high oxygen permeabilities but poor tissue compatibility. In one preferred embodiment of this invention, the preform is first formed from the semi-solid precursor mixture comprising the silicone-containing prepolymers and/or dead polymers, which preform is then exposed to the surface-modifying composition comprising hydrophilic monomers. The semi-solid gradient composite material obtained in this manner is then molded and cured into a contact lens which exhibits high oxygen permeability and improved tissue compatibility.

The process of the present invention is advantageous with respect to the conventional molding techniques because the semi-solid precursor materials provide a small but finite resistance to flow such that the semi-solid does not flow out of the mold upon its introduction, unlike liquid precursors used with static casting techniques. Yet, the semi-solid materials are compliant enough to be easily compressed and deformed to take on the desired mold cavity shape or surface features without undue resistance when two static compression molds are brought together. Furthermore, unlike typical thermoplastics, the semi-solid materials do not require an excessive or undesirable

amount of heating and/or compressive force, typically seen with compression or injection molding techniques using conventional materials. Thus, the semi-solid materials of the present invention can be viewed as combining the easy deformability of liquids with the easy handling aspects of solids into a system that is reactive (but shows low shrinkage) and can be cured into a semi-IPN or a 5 crosslinked gel upon cure.

Thus, in one embodiment, the semi-solid precursor materials provide a thermoplastic-like material that can be cured after molding to provide a crosslinked, thermosetting system, unlike conventional thermoplastics. When the semi-solid system is heavily plasticized with respect to the pure thermoplastics that make up the prepolymer, dead polymer, or the polymer that would result 10 from the polymerization of the reactive plasticizers used in the semi-solid system, then the semi-solid will advantageously flow more easily and/or at lower temperatures than the corresponding thermoplastic material.

In another embodiment, the semi-solid precursor materials provide an improvement over liquid precursor material systems in that the semi-solids will not unduly flow out of the mold, can be 15 cured rapidly and without the effects of oxygen inhibition, and exhibit little shrinkage upon cure with respect to the liquid precursor analogues.

Polymerization of the semi-solid precursor mixture in the mold assembly is preferably carried out by exposing the mixture to polymerization initiating conditions. The curing duration may often last minutes to days for parts that are thermally cured by heating slightly above ambient. Alternatively, 20 when free-radical or cationic curing mechanisms are used and triggered by a high-intensity UV light source, the curing duration may last from a few minutes to less than a few seconds. The preferred technique is to expose a photoinitiator-containing composition to a source of ultraviolet (UV) radiation of an intensity and duration sufficient to initiate polymerization to the desired degree. Polymerization will generally occur even after the source of polymerizing energy, e.g., the UV light source, is 25 removed, and the duration required to effectively complete polymerization to the desired degree can be determined without undue experimentation. When so desired, relatively intense UV light can be used in conjunction with the semi-solid precursor mixtures of this invention to achieve a sufficiently complete cure in a short time period without undue heat generation within the curing system. This advantage is especially pronounced when the reactive species of the semi-solid precursor mixture 30 comprises only prepolymers and, optionally, a small amount (e.g., less than about 30 wt%, or preferably less than about 20 wt%) of one or more reactive plasticizers.

A preferred embodiment of the process according to the present invention comprises the following steps:

- a) introducing into the mold a semi-solid precursor material comprising a polymer blend comprising prepolymers and dead polymers, wherein at least one prepolymer is present; a non-reactive diluent; a photoinitiator; and optionally a reactive diluent;
- b) initiating the photocrosslinking reaction by a source of polymerizing energy such as UV light for a period of less than or equal to 1 minute; and
- c) opening the mold, removing the cured molding, and placing the cured molding into a 40 package for storage and/or shipping.

In another preferred embodiment, the semi-solid precursor mixture comprises prepolymer blends or prepolymer/dead polymer blends that are not water-soluble (i.e., do not dissolve in water at concentration ranges of 1-10 wt% in water), but are water-swellable after curing. Such compositions may be mixed with demulcent-type diluents, thereby eliminating the need for a separate extraction 5 step after curing beyond that achieved in the demolding, handling, and packaging of the molding produced therefrom.

In a presently preferred embodiment, the semi-solid precursor mixture comprises a non-water-soluble but water-swellable prepolymer that is a functionalized copolymer of polyhydroxyethyl 10 methacrylate (pHEMA). The copolymer can comprise methacrylic acid, acrylic acid, n-vinyl pyrrolidone, dimethyl acrylamide, vinyl alcohol, and other monomers along with HEMA. A presently preferred embodiment comprises a polymer of HEMA copolymerized with approximately 2% methacrylic acid. The copolymer may also comprise reactive dyes and/or reactive UV absorbers. This copolymer is subsequently functionalized with methacrylate groups (or acrylate groups) to 15 create a reactive prepolymer suitable for the production of ophthalmic moldings useful as contact lenses. The HEMA-based copolymers can be functionalized through the hydroxyl groups of HEMA by using, for example, methacrylate anhydride and glycidyl methacrylate.

In a preferred embodiment, the precursor mixture comprises functionalized pHEMA-co-MAA 20 copolymer as the prepolymer, pHEMA as the dead polymer, 50:50 mixture (by weight) of 1,2-propylene glycol and water as the non-reactive diluent, and a water-soluble photoinitiator such as 4,4'-azobis(4-cyanovaleric acid) (ACVA). The initiator concentration is approximately 0.5 wt% and the concentration of non-reactive diluent is approximately 50 wt%. PEG400 or a 50:50 mixture of 25 PEG400:water can be used in place of the propylene glycol:water mixture. In yet another preferred embodiment, the precursor mixture comprises functionalized pHEMA as the first prepolymer, functionalized pHEMA-co-MAA as the second prepolymer which is also copolymerized with the reactive dye and reactive UV absorber, PEG400 as the non-reactive diluent, and Irgacure 1750 as the photoinitiator.

The material upon mixing becomes a clear and homogeneous semi-solid precursor mixture. Small portions of the semi-solid precursor mixture can be removed from the bulk mass and inserted 30 into a mold cavity as a discrete quantity. Upon closing the mold, the semi-solid deforms and takes the shape of the internal cavity defined by the mold halves. When the sample is irradiated with a source of polymerizing energy such as UV light, the precursor mixture cures into a water-swellable crosslinked gel that can subsequently be demolded and placed into saline solution for equilibration. The gel can be designed to absorb approximately 30-70% water at equilibrium, while exhibiting 35 mechanical properties such as elongation-to-break and modulus similar to commercially available contact lens materials. Thus, the molding so produced is useful as an ophthalmic lens, especially a contact or intraocular lens, said lens being produced with a semi-solid precursor material that exhibits low shrinkage during a rapid curing step, and said lens requiring no separate extraction step aside from the equilibration step in the package.

Another preferred embodiment uses hydrophilic silicones, which are copolymers of a 40 hydrophilic component and a silicone component exhibiting high oxygen permeability, as the dead

polymers, or when possessing additional functional groups, as prepolymers or reactive plasticizers. Suitable silicone-based monomers and prepolymers for incorporation into the semi-solid precursor mixtures of the present invention are disclosed in US Pat. Nos. 4136250, 4153641, 4740533, 5010141, 5034461, 5057578, 5070215, 5314960, 5336797, 5356797, 5371147, 5387632, 5451617, 5 5486579, 5789461, 5807944, 5962548, 5998498, 6020445, and 6031059, as well as PCT Appl. Nos. WO 94/15980, WO 97/22019, WO 99/60048, WO 99/60029, and WO 01/02881, and European Pat. Appl. Nos. EP00940447, EP00940693, EP00989418, and EP00990668.

Another preferred embodiment uses perfluoroalkyl polyethers, which are fluorinated to give good oxygen permeability and inertness, yet exhibit an acceptable degree of hydrophilicity due to the 10 polymer backbone structure and/or hydrophilic pendant groups. Such materials may be readily incorporated into the semi-solid precursor mixtures of the present invention as the dead polymers, or when possessing additional functional groups, as prepolymers or reactive plasticizers. For examples of such materials, see US Pat. Nos. 5965631, 5973089, 6060530, 6160030, and 6225367.

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## EXAMPLES

### EXAMPLE 1: General Method for the Preparation of Functionalized pHEMA

10 Grams of a poly(2-hydroxyethyl methacrylate) (pHEMA, MW=300,000) were dissolved in anhydrous pyridine. To the solution, 0.114 mL of methacrylate anhydride was added, and the mixture 20 was continuously stirred for 12 to 24 hours. Pyridine was then removed under vacuum and the functionalized pHEMA was precipitated twice in water to remove impurities. After drying, a pHEMA with 1% functionality (theoretical value) was obtained, where 1% of the original pendant hydroxyl groups are modified to possess pendant methacrylate functionalities. For the pHEMA starting material used, this corresponds to about 20-25 pendant methacrylate groups per polymer chain.

25 pHEMAs with different degrees of functionality (ranging from 0.3% to 5%) have been prepared according to the procedure described above. Other degrees of functionality are easily prepared by adjusting the amount of methacrylate anhydride added to the pHEMA-pyridine mixture. Likewise, other reactive groups (e.g., acrylate, (meth)acrylamide, etc.) may be appended to the pHEMA chains using a similar approach.

30

### EXAMPLE 2: Preparation of Functionalized pHEMA-co-MAA

150 mL of anhydrous pyridine was charged to a flask equipped with a reflux condenser, a thermometer, and a nitrogen inlet tube. Subsequently, 10 mL of 2-hydroxyethylmethacrylate (HEMA), 0.14 mL of methacrylic acid (MAA), and 15 mg of 2,2'-azobisisobutyronitrile were added to 35 the flask. After purging the solution with nitrogen for 15 minutes, the solution was then slowly heated to 70 °C and the polymerization reaction was initiated to synthesize pHEMA-co-MAA.

The polymerization reaction typically lasted 6-8 hours and the solution was cooled down to the room temperature. As a functionalizing agent, 0.12 mL of methacrylic anhydride was then injected and the solution was stirred for 12 hours to introduce the reactive methacrylate groups on 40 the backbone of pHEMA-co-MAA through the hydroxyl groups of HEMA.

Upon completing the functionalization reaction, pyridine, residual monomers, and impurities were removed by vacuum distillation to give the functionalized pHEMA-co-MAA prepolymer. Non-reactive diluents such as ethanol and dead polymers such as pHEMA are then mixed with the functionalized pHEMA-co-MAA prepolymer to give the semi-solid precursor mixture ready for molding and curing.

Functionalized pHEMA-co-MAA prepolymers with different degrees of functionality have also been prepared according to the procedure described above.

**EXAMPLE 3: Preparation of pHEMA-co-MAA in the Presence of Non-Reactive Diluent**

In this example, the functionalized pHEMA-co-MAA prepolymer was synthesized in a polymerization medium comprising the non-reactive diluent which constitutes the semi-solid precursor mixture.

The reaction vessel comprises a temperature-controlled 250 mL four-neck flask equipped with a thermometer, condenser, and nitrogen inlet. The reaction vessel was charged with 10 g of polyethylene glycol having an average molecular weight of 400 (PEG 400, Aldrich) as a non-reactive non-volatile diluent and with 20 g of acetone as a volatile solvent. The mixture was stirred for a few minutes before adding 10 g of 2-hydroxyethyl methacrylate (HEMA), 0.15 g of methacrylic acid (MAA), and 12 mg of azobisisobutyronitrile (AIBN) as an initiator. The mixture was then purged with purified nitrogen while stirring for approximately 15 minutes.

The solution was slowly heated to and maintained at 60 °C for 2 hours to carry out polymerization. After polymerization, a clear semi-solid was formed. The mixture was then cooled down to room temperature and 0.21 g of methacrylate anhydride (MA) was injected as a functionalizing agent. The reaction between the hydroxyl of HEMA and the anhydride of MA proceeds spontaneously at room temperature without using a catalyst. The solution was stirred for 12 hours to carry out the functionalization reaction in which the reactive methacrylic groups were introduced on the polymer backbone. Upon the completion of functionalization reaction, volatile acetone and residual impurities were removed by evaporation or vacuum distillation to give a semi-solid polymeric precursor mixture comprising PEG 400 and methacrylate-functionalized pHEMA-co-MAA copolymer.

In this example, the concentration of acetone in the reaction mixture can be varied from 10 wt% to 80 wt%. When the acetone concentration was higher than 80 wt%, the pHEMA-co-MAA copolymer precipitated during polymerization. When the acetone concentration was below 10 wt%, significant gellation occurred. The gellation is caused by the crosslinking of copolymer due to the small amount of difunctional monomer present in HEMA as impurities. To obtain the precursor mixtures of desired properties, it is necessary to optimize the type of solvent, solvent concentration, reaction time, reaction temperature, and concentration of diluents.

The degree of functionalization can be readily varied by adjusting the amount of MA added to the reaction mixture as a functionalizing agent. While keeping the amounts of HEMA and MAA unchanged, various pHEMA-co-MAA copolymers with functionalities from 0.3 to 5 % have also been synthesized according to the procedure described above by adjusting the amount of MA. Using

suitable substituting agents, other types of reactive groups (e.g., acrylate, (meth)acrylamide, etc.) may also be introduced to the backbone of pHEMA-co-MAA.

The precursor mixture obtained in this example comprises the functionalized pHEMA-co-MAA as the prepolymer and PEG400 as the non-reactive diluent, in which the prepolymer concentration is approximately 50 wt%. This precursor mixture is furthermore mixed with additional prepolymers such as the functionalized pHEMA obtained by Example 1, dead polymers such as pHEMA, initiators, and additional non-reactive diluents to obtain desired semi-solid precursor mixtures which are ready for molding and curing. These additional components may also be introduced to the reaction medium prior to the removal of volatile solvent and residual impurities.

10

**EXAMPLE 4: General Method for the Preparation of an Ophthalmic Molding from pHEMA/Functionalized pHEMA Blend**

Semi-solid materials for contact lens production have been prepared from functionalized pHEMA as the prepolymer, pHEMA as the dead polymer, and non-reactive diluents that are compatible with pHEMA (i.e., the diluents solvate pHEMA and form clear mixtures).

As an example, 0.06 g diluent and 0.002 g 1-hydroxycyclohexyl phenyl ketone (Irgacure 184) were added to 0.02 g of pHEMA and 0.08 g of 1% functionalized pHEMA in a capped vial, and the material was left in an oven at 70°C for 1 day. Typical diluents may comprise water, methanol, ethanol, isopropanol, propylene glycol, glycerol, and PEG (300, 400, ... 1000, etc.) or mixtures of these. For this example, a 50:50 mixture by weight of ethanol and glycerol was used.

After one day at 70 °C, the resulting material was a clear, relatively homogeneous semi-solid. An amount of the solvated material weighing 0.08 g was mixed by hand between two glass plates for about 2 minutes, and was then placed between two ophthalmic lens molds. The assembly was placed on a press at 50°C with slight pressure to controllably bring the molds into contact with each other around their periphery (this approach mimics the static casting technique prevalently used in the contact lens industry). Excess semi-solid material was squeezed out of the mold as the two molds came together, and the amount of overflow was determined by the amount of material originally placed into the mold versus the mold cavity volume.

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Once the molds were clamped together, the ophthalmic molding was cured for approximately 20 seconds under a Fusion UV light source using the D-, H-, or V-bulb. It should be noted that by optimizing the selection of photoinitiator and wavelength of the UV light source, shorter curing times are possible, and 20 seconds serves as an upper limit for the amount of time required to cure this particular molding composition and geometry. The mold assembly was then removed from the UV lamp, and the overflow material was trimmed from the edge of the lens molds. The lens molds were opened after allowing them to cool to room temperature and the molding removed, and an ophthalmic lens molding was thus obtained.

The ophthalmic lens of the present example contains an equilibrium water content of approximately 36-38% water, which depends on the degree of functionality of the starting prepolymer. Samples functionalized at about 0.5 to 1% exhibited mechanical moduli similar to those

seen for commercially available contact lens materials having similar water contents, and were able to stretch to 2-4 times their original length before breaking.

To produce contact lenses, the molding and curing operation of this example also applies to the precursor mixtures comprising the functionalized pHEMA-co-MAA prepolymer. Because the 5 inclusion of MAA monomer to pHEMA increases the solubility of the polymer in water, the pHEMA used in this example may be replaced with, for example, the functionalized pHEMA-co-MAA prepolymer obtained in Example 2 or 3 to increase the equilibrium water content of the final contact lenses. The functionalized pHEMA-co-MAA prepolymers obtained in Examples 2 and 3 give contact lenses which exhibit an equilibrium water content of approximately 55 – 60 wt%.

10 In this example, the amount of non-reactive diluent may be adjusted such that after molding it can provide an isometric exchange with water or saline solution. In that event, the cured lens exhibits no or little change in volume upon equilibration with water or saline solution.

**EXAMPLE 5: Moldings from 1% Functionalized pHEMA and Ophthalmic Demulcents**

15 This example demonstrates the use of a variety of ophthalmic demulcents as the non-reactive diluent to produce the semi-solid precursor mixtures comprising the functionalized pHEMA prepolymer. These semi-solid precursor mixtures give optically clear moldings upon cure.

20 A mixture of 50 wt% functionalized pHEMA (1% methacrylate functionality, from Example 1), 25 wt% 1,2-propylene glycol (PPG), and 25 wt% water was homogenized in a capped vial in a 70 °C oven for 1 hour, during which time the sample became semi-solid in nature. The sample also contained 1 wt% (based upon the prepolymer and diluents) of the photoinitiator 4,4'-azobis(4-cyanovaleric acid) (ACVA). The semi-solid material was removed from the oven and was further mixed by hand for several minutes using two glass plates. Finally, the semi-solid precursor mixture was pressed out between the two glass plates to a thickness of approximately 100 microns, and was 25 subsequently placed under a diffuse UV light source (Blak-Ray 100 AP, UVP, Inc.) for 20 minutes to cure. Note, sample cure times could be shortened significantly when more intense UV light sources are used.

30 Upon cure, the molding produced was removed from the molds and hydrated in water. The equilibrium water content was measured to be approximately 39%, and the sample had an elongation to break of approximately 200%. This sample is number 3a in Table 1 below.

Other semi-solid precursor mixtures were processed similarly, and the formulations and results are presented in the Table below (note, all samples were processed with 1% ACVA):

Table 1

35

Sample No.	Prepolymer	Diluents	Water Content	Elongation
3a	50% pHEMA (1%)	25% PPG, 25% water	39%	200%
3b	40% pHEMA (1%)	30% PEG(400), 30% water	(not measured)	(nm)
3c	60% pHEMA (1%)	30% PPG, 10% water	35%	250%
3d	60% pHEMA (1%)	30% water, 10% PPG	(nm)	(nm)

3e	48% pHEMA (1%), 12% pHEMA (5%)	30% PPG, 10% water	38%	200%
3f	30% pHEMA (1%), 30% pHEMA (5%)	30% PPG, 10% water	36%	100%

In this example, non-functionalized pHEMA can also be added to the precursor mixture as the dead polymer without sacrificing the optical clarity. The non-reactive diluents mentioned in this  
5 example may also be used to prepare the semi-solid precursor mixtures comprising the functionalized pHEMA-co-MAA prepolymer which contains approximately 2 % MAA.

**EXAMPLE 6: Moldings from Dead Polymers, Reactive Plasticizers, and Optionally, Non-Reactive Diluents**

10 This example discloses the semi-solid precursor mixtures comprising various dead polymers. Although these polymers are not functionalized with reactive groups, they may be functionalized to give prepolymers through the functional groups on the polymer backbone such as hydroxyl and carboxyl groups.

15 Mixtures comprising dead polymers, one or more reactive plasticizers, a photoinitiator, and in some cases non-reactive diluents were homogenized in capped vials in a 70 °C oven for 24 hours, during which time the samples became semi-solid in nature. The semi-solid materials were removed from the oven and were further mixed by hand for several minutes using two glass plates. Finally, the semi-solid precursor mixtures were pressed out between the two glass plates to a thickness of approximately 100-500 microns, and were subsequently placed under a diffuse UV light source  
20 (Blak-Ray 100 AP, UVP, Inc.) for 10-20 minutes to cure. Note, sample cure times could be shortened significantly when more intense UV light sources were used.

Upon cure, the moldings produced were clear and gel-like, suitable for use as biomedical moldings. Example formulations are given in Table 2 below (all percentages are in wt%):

25

Table 2

Sample No.	Dead Polymer	Reactive Plasticizer(s)	Diluent(s)	Initiator	Molding Result
4a	33% polyacrylic acid	33% PEG-diacrylate	33% ethylene glycol	0.5% Irgacure 1173	clear
4b	50% pHEMA	25% PEG-diacrylate	25% ethylene glycol	0.5% Irgacure 1173	clear
4c	50% polymethyl vinyl ether-co-maleic acid	25% PEG-diacrylate	25% ethylene glycol	0.5% Irgacure 1173	clear
4d	33% carboxy methyl cellulose	16% PEG-diacrylate, 16% polybutadiene diacrylate	33% methanol	0.5% Irgacure 1173	clear
4e	33% hydroxypropyl methyl cellulose	16% PEG-diacrylate, 16% polybutadiene diacrylate	33% methanol	0.5% Irgacure 1173	clear
4f	29% poly(4-vinyl pyridine)	25% acrylamide, 8% methacrylated glucose	48% ethylene glycol	0.3% Irgacure 819	clear
4g	33% agarose	17% acrylamide, 6% methacrylated glucose	44% ethylene glycol	0.3% Irgacure 819	clear
4h	50% carboxymethyl cellulose	13% acrylamide, 4% methacrylated glucose	33% ethylene glycol	0.3% Irgacure 819	clear

4i	31% pHEMA	2% tetraethylene glycol dimethacrylate	67% ethanol	0.5% Darocur 1173	clear
4j	53% pHEMA	14% trimethylolpropane trimethacrylate	33% ethylene glycol	0.5% Irgacure 819	clear

**EXAMPLE 7:** Contact Lenses Based on Phase-Separated Iso-Refractive Systems

As an example of contact lenses based on the phase-separated iso-refractive system, the semi-solid precursor mixture is prepared from a hydrophobic silicone-containing prepolymer and a hydrophilic dead polymer. Functional silicone-containing polymers, such as the functional polydimethyl siloxane (PDMS), are commercially available with various functional groups, including (meth)acrylate functional groups which cure rapidly by UV light. Silicone-containing polymers exhibit high oxygen permeabilities and are advantageously used as the materials to produce contact lenses.

In this example, the prepolymer is methacrylate-functional PDMS in which the end groups of PDMS are functionalized with methacrylate groups. The dead polymer is a HEMA-based copolymer such as pHEMA-co-MAA in which HEMA is the major constituent of the copolymer. The HEMA-based copolymer may also be functionalized with reactive groups to give a prepolymer. Because PDMS and pHEMA are incompatible and pHEMA is more hydrophilic than PDMS, when the contact lenses comprising PDMS and HEMA-based copolymer are equilibrated with water, water will partition between the coexisting hydrophobic and hydrophilic phases which are rich in, respectively, PDMS and HEMA-based copolymer and preferentially solvate the hydrophilic phase. The refractive index of the hydrated hydrophilic phase depends on the refractive index of the HEMA-based copolymer as well as on the water content, which are primarily determined by the constituents of the copolymer.

The refractive indices of pHEMA and methacrylate-functional PDMS are approximately 1.51 and 1.46, respectively. The refractive index of pHEMA contact lenses equilibrated with water is approximately 1.44. Thus, upon molding and curing and subsequent equilibration with water, it is possible to obtain optically clear hydrated contact lenses, which take the form of phase-separated iso-refractive moldings, by adjusting the constituents of the HEMA-based copolymer to match the refractive index of the hydrophilic phase, which is rich in the hydrated HEMA-based copolymer, to that of the PDMS-rich hydrophobic phase.

**EXAMPLE 8:** Contact Lenses with High Oxygen Permeability and Tissue Compatibility

In this example, a circular-disc shaped preform is produced from the semi-solid precursor mixture comprising methacrylate-functional PDMS as the prepolymer, HEMA-based copolymer as the dead polymer, and a non-reactive diluent, which precursor mixture may be the phase-separated iso-refractive mixture given in Example 7. This preform is dipped into a solution of a surface-forming monomer composition which imparts tissue compatibility. The monomer composition comprising HEMA and/or polyethylene glycol dimethacrylate may be used as the surface-forming composition to impart tissue compatibility. The resulting semi-solid gradient composite material is molded and cured into a lens by the method described by Example 4.

**EXAMPLE 9: Drug Delivery Implant with Tissue Compatibility**

A slow- or controlled-release drug delivery implant is prepared from the prepolymer obtained by functionalizing a polysaccharide such as the cellulose derivatives, chitosan, and dextran. These polysaccharides may be functionalized through hydroxyl, carboxyl, and/or amine groups on the backbone of the polymers. The desired drug is entrapped in the semi-solid precursor mixture comprising the functionalized polysaccharide as the prepolymer, a dead polymer, a non-reactive diluent, and a initiator by various methods known in the drug delivery arts. The resulting semi-solid precursor mixture is free from potentially harmful monomeric reactants which may remain as residuals upon cure. The precursor mixture is then shaped into a preform.

The preform may be furthermore dipped into a solution of a surface-forming composition that imparts tissue compatibility to give a gradient composite material containing drugs. The resulting preform is then molded and cured to give the final product which may be used as a drug delivery implant having tissue compatibility.

**15 EXAMPLE 10: Drug-Release Contact Lenses**

Contact lenses which function as drug delivery systems are produced from the semi-solid precursor mixture comprising a prepolymer, a drug-loaded nanosphere or microsphere, and a non-reactive diluent. Various methods are known in the arts to encapsulate drugs in nanospheres or microspheres. The surface of the nanospheres or microspheres may be modified with reactive groups. When the precursor mixture contains the drug-loaded microspheres, the phase-separated iso-refractive system may be advantageously formed to improve the optical clarity.

**EXAMPLE 11: Temperature-Sensitive Drug-Release Contact Lenses**

Reusable drug-release contact lenses are produced from the semi-solid precursor mixture comprising a prepolymer, a dead polymer, and a non-reactive diluent. The precursor mixture may be a homogeneous mixture or a phase-separated iso-refractive system. The prepolymer is formed from a polymer which exhibits solubility sensitivity to the temperature in physiologically acceptable aqueous solutions. To enhance the solubility of drugs in the contact lenses, the dead polymer may be chosen from those that exhibit an affinity to the drug of interest.

In this example, the prepolymer is based on the copolymer in which N-isopropyl acrylamide is the major constituent, such that the prepolymer exhibits LCST behavior in an aqueous solution. When the contact lenses are not in use, the lenses are immersed in a drug-containing solution at a reduced temperature where the contact lenses swell more than when at the ambient temperature, providing an efficient means of loading the drugs into the contact lenses. When placed in the eye, the lens will slowly or otherwise controllably release the drug.

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## WHAT IS CLAIMED IS:

1. A polymeric precursor mixture comprising (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer; (ii) at least one non-reactive diluent; (iii) optionally, at least one non-reactive plasticizer; and (iv) optionally, at least one active ingredient; the polymeric precursor mixture being a semi-solid polymerizable composition, which is defined as a composition capable of being handled as a discrete, free-standing entity whose modulus of elasticity is less than the constant moduli of elasticity of either component of the polymer blend of (i), and which is characterized either by a density change of 5% or less upon polymerization or by reactive groups prior to cure having a concentration of less than 2M relative to said polymer blend.
2. A polymeric precursor mixture according to claim 1 which remains optically clear when polymerized.
3. A polymeric precursor mixture according to claim 1 or 2 wherein the polymeric precursor mixture is a semi-solid water-insoluble but water-swellable polymerizable hydrophilic composition.
4. A polymeric precursor mixture according to claim 1 or 2 wherein the polymeric precursor mixture is a phase-separated iso-refractive system upon polymerization and equilibration in a saline solution.
5. A polymeric precursor mixture according to any of claims 1 to 4 wherein the amount of non-reactive diluent is chosen such that after molding and curing it can provide an isometric exchange with saline solution, and the polymeric precursor mixture when polymerized remains optically clear upon equilibration in saline solution.
6. A polymeric precursor mixture according to any of claims 1 to 5 wherein the prepolymer and the dead polymer have monomeric units in common prior to any functionalization thereof.
7. A polymeric precursor mixture according to any of claims 1 to 6 wherein the non-reactive diluents are selected from the group consisting of water, ophthalmic demulcents, and mixtures thereof.
8. A polymeric precursor mixture according to any of claims 1 to 7 wherein at least one of the prepolymer and the dead polymer comprises a majority of 2-hydroxyethyl methacrylate monomer units.
9. A polymeric precursor mixture according to any of claims 1 to 7 wherein at least one of the prepolymer and the dead polymer comprises a majority of N-vinylpyrrolidone monomer units.

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10. A polymeric precursor mixture according to any of claims 1 to 7 wherein at least one of the prepolymer and the dead polymer comprises silicone.
11. A polymeric precursor mixture according to any of claims 1 to 7 wherein at least one of the prepolymer and the dead polymer is a hydrophilic silicone.
- 5 12. A polymeric precursor mixture according to any of claims 1 to 7 wherein at least one of the prepolymer and the dead polymer exhibits phase separation in a physiologically acceptable aqueous solution when the thermodynamic balance of the solution is shifted.
- 10 13. A preform comprising a surface-forming material and an interior core material, wherein the core material is a polymeric precursor mixture according to any of claims 1 to 12 and the composition of the surface-forming material is distinct from the composition of the core-forming material, and wherein the surface and core materials form an integral, monolithic entity when polymerized.
- 15 14. A preform according to claim 13 wherein the surface-forming material is selected from the group consisting of dye solutions, pigment solutions, scratch-resistant precursor formulations, hydrophilic monomer/crosslinker mixtures, and mixtures thereof.
- 15 15. A molding made from a polymeric precursor mixture or a preform according to any of claims 1 to 14.
16. A molding according to claim 15 which exhibits minimal expansion or contraction upon equilibration in a physiologically acceptable saline solution.
- 20 17. A molding according to claim 15 or 16 which does not require a separate extraction step prior to its intended use.
18. A molding according to claim 15, 16, or 17 which is a contact lens or an intraocular lens.
19. A method for producing a shaped molding which comprises the steps of:  
25 a) mixing together an initiator and a polymeric precursor mixture comprising (i) a polymer blend, wherein the polymer blend consists of at least two dissimilar prepolymers or at least one prepolymer and a dead polymer; (ii) at least one non-reactive diluent; optionally, at least one non-reactive plasticizer; and (iv) optionally, at least one active ingredient; the polymeric precursor mixture being a semi-solid polymerizable composition, which is defined as a composition capable of being handled as a discrete, free-standing entity whose modulus of elasticity is less than the constant moduli of elasticity of either component of the polymer blend of (i), and which is characterized either by a density change of 5% or less upon polymerization or by reactive
- 30

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groups prior to cure having a concentration of less than 2M relative to said polymer blend;

5       b)    optionally shaping the semi-solid polymerizable composition into a preform of desired geometry;

      c)    optionally exposing the preform to a surface-forming material to form a semi-solid gradient composite material;

10      d)    introducing the semi-solid polymerizable composition or semi-solid gradient composite material into a mold corresponding to a desired geometry;

      e)    compressing the mold so that the semi-solid polymerizable composition or semi-solid gradient composite material takes on the shape of the internal cavity of the mold; and

      f)    exposing the semi-solid polymerizable composition or semi-solid gradient composite material to a source of polymerizing energy;

to give the cured molding.

15    20.    A method according to claim 19 wherein the semi-solid polymerizable composition remains optically clear when polymerized.

21.    A method according to claim 20 wherein the cured molding is a shaped optical lens.

22.    A method according to claim 19, 20, or 21 wherein the polymeric precursor mixture is a semi-solid water-insoluble but water-swellable polymerizable hydrophilic composition.

20    23.    A method according to any of claims 19 to 22 wherein the prepolymer and the dead polymer have monomeric units in common prior to any functionalization thereof.

24.    A method according to any of claims 19 to 23 which further comprises the step of providing a waiting period at a predetermined temperature after the semi-solid composition or gradient composite material is compressed in the mold and before exposing to the source of polymerizing energy.

25    25.    A method according to any of claims 19 to 24 wherein the surface-forming material is applied to a mold surface, the surface-forming material is optionally cured or partially cured, and the preform is then placed into the mold, exposing the preform to the surface-forming material upon mold closure.

30    26.    A method according to any of claims 19 to 25 which further comprises the step of placing the cured molding into a package containing a saline solution.

      27.    A method according to any of claims 19 to 26 wherein the mold may be reused.

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28. A method according to any of claims 19 to 27 wherein the semi-solid composition or gradient composite material is exposed to a source of polymerizing energy for a quick curing time.
29. A method according to any of claims 19-28 further comprising packaging said cured molding for shipment without a separate extraction step.