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(54) Titre : SOLUTION AQUEUSE D'AGENT DE COUPLAGE A BASE DE SILANE SERVANT DE PRIMAIRE  
D'ETANCHEITE

(54) Title: AQUEOUS SILANE COUPLING AGENT SOLUTION FOR USE AS A SEALANT PRIMER

(57) **Abrégé/Abstract:**

An aqueous primer composition for facilitating and promoting good adhesion across an interface between a nonporous substrate and a polymeric coating composition contains from about 0.05 to about 0.3 parts by weight of an aminopropylsilane or a mercaptopropylsilane per 100 parts by weight of water and, to facilitate adhesion under wet conditions, up to about 0.4 parts by weight of a hydrophobic silane per 100 parts by weight of water and aminopropylsilane or mercaptopropylsilane. The primer composition is nontoxic, nonflammable and free or substantially free of any environmentally undesirable volatile organic components. In accordance with a preferred aspect of the invention, the primer composition includes an effective amount of a conventional surfactant sufficient to uniformly wet the surface of the substrate to enable uniform dispersion of the silane coupling agent over the surface. The primer composition is also preferably adjusted to a pH of from about 2.0 to about 5.5 to inhibit condensation or oligomerization of the silane coupling agent and thereby increase the useful shelf-life of the composition.

**ABSTRACT**

An aqueous primer composition for facilitating and promoting good adhesion across an interface between a nonporous substrate and a polymeric coating composition  
5 contains from about 0.05 to about 0.3 parts by weight of an aminopropylsilane or a mercaptopropylsilane per 100 parts by weight of water and, to facilitate adhesion under wet conditions, up to about 0.4 parts by weight of a hydrophobic silane per 100 parts by weight of water and  
10 aminopropylsilane or mercaptopropylsilane. The primer composition is nontoxic, nonflammable and free or substantially free of any environmentally undesirable volatile organic components. In accordance with a preferred aspect of the invention, the primer composition includes an  
15 effective amount of a conventional surfactant sufficient to uniformly wet the surface of the substrate to enable uniform dispersion of the silane coupling agent over the surface. The primer composition is also preferably adjusted to a pH of from about 2.0 to about 5.5 to inhibit  
20 condensation or oligomerization of the silane coupling agent and thereby increase the useful shelf-life of the composition.

AQUEOUS SILANE COUPLING AGENT SOLUTION  
FOR USE AS A SEALANT PRIMER

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FIELD OF THE INVENTION

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The invention relates to an aqueous based composition containing a silane coupling agent used to promote adhesion between a polymer and a smooth inorganic substrate such as glass or metal and, more particularly, the invention relates to an aqueous primer system having a silane coupling agent which is used to facilitate good adhesion between a moisture curable sealant and a glass or metal substrate.

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BACKGROUND OF THE INVENTION

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Conventional primer systems for use with moisture curable sealant compositions generally contain a large amount of volatile organic solvent, a film former, pigment and silane coupling agent. The coupling agent is typically an aminosilane, mercaptosilane, or isocyanatosilane which reacts, for example, with free isocyanate groups in the sealant and with free hydroxyl, oxide, or silanol groups on the substrate to form a bridge between the sealant and the substrate. The conventional sealant primer systems provide good adhesion across the substrate-sealant interface and are convenient to use because they dry quickly. However, recent concern over the emission of volatile organic compounds (VOC) into the environment has resulted in pending legislation which would essentially mandate the elimination of volatile organic compounds whenever possible.

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In addition to the problems relating to compliance with pending VOC legislation, the use of conventional solvent based sealant primer systems requires the implementation of precautionary procedures and the incurment of potential risks due to the toxicity



and flammability of the organic solvents. Accordingly, organic based sealant primer systems are undesirable and an aqueous based sealant primer system which has a substantially reduced organic solvent content or which is preferably free of volatile organic compounds, and which provides comparable adhesion across the substrate-sealant interface, would be highly preferred.

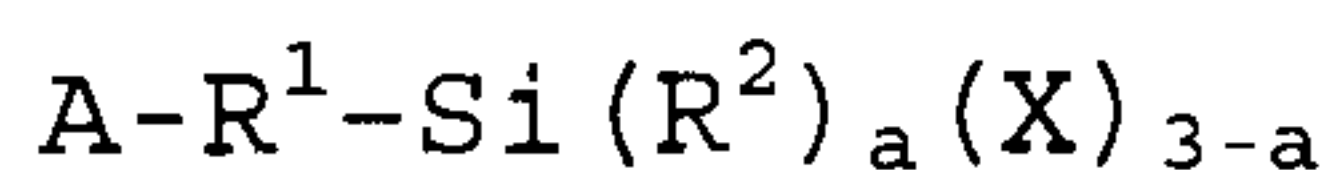
#### SUMMARY OF THE INVENTION

The invention pertains to an aqueous primer solution containing a silane coupling agent which is used to facilitate adhesion of a polymer to a nonporous inorganic substrate such as metal or glass. The silane coupling agent has an amino or mercapto functional group which is capable of reacting with functional groups of the polymer, and also has one or more hydrolyzable groups which react with free hydroxyl groups on the surface of the substrate. The silane coupling agent, when properly dispersed on the surface of a suitable substrate before application of a polymeric coating having appropriate functional groups, forms an ultra-thin layer between, and reacts with both the substrate and the polymer to provide an adhesive bridge therebetween.

The primer composition of the invention has been found to facilitate excellent adhesion between a nonporous substrate and a polymer. In particular, when tested with either glass or automotive top coated metals, the primer promotes good adhesion with typical polyurethane sealant compositions to provide a water tight seal between a glass windshield and a windshield pinchweld. The primer remains stable and performs well under a variety of adverse conditions including high and low temperature exposure, high humidity and freeze-thaw cycling, and meets or exceeds lap-shear strength standards of the automotive industry.

- 2a -

In accordance with one aspect of the present invention there is an aqueous primer composition for facilitating adhesion across an interface between a nonporous substrate and a polymeric composition containing free functional groups, comprising: a) 100 parts by weight of water; b) from 0.05 to 0.3 parts by weight of a silane coupling agent containing functional groups that react with the functional groups A of the polymer composition to bond the silane coupling agent to the polymer composition, the silane coupling agent being represented by the formula:



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2.

In accordance with another aspect of the present invention there is in combination, a cured windshield sealant adhesive bonded to a glass substrate, said windshield sealant comprising a cured polyurethane sealant adhesively bonded to a glass substrate by an aqueous primer composition residing at the substrate-polymer interface,

- 2b -

wherein the aqueous primer composition comprises: a) 100 parts by weight of water; b) from 0.05 to 0.3 parts by weight of a silane coupling agent, the silane coupling agent being represented by the formula



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to  
10 8 carbon atoms or chlorine, and a is 0, 1, or 2; and c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2;

20 wherein said cured polyurethane sealant is formed from a polyurethane composition having free isocyanate groups, and wherein said polyurethane composition is bonded to said aqueous primer composition by a reaction between said free isocyanate groups in said polyurethane composition and said  
25 functional groups A of said silane coupling agent.

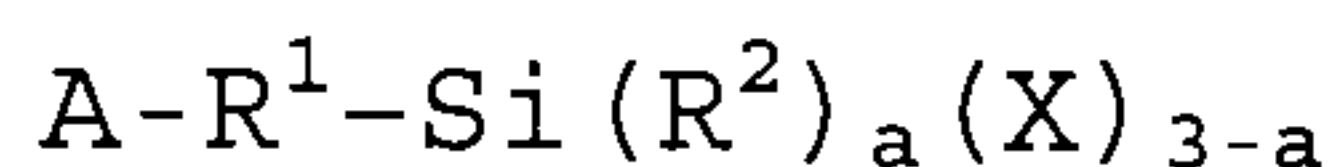
In accordance with a further aspect of the present invention there is a unified laminate comprising a nonporous substrate, a polymer composition, and an aqueous primer composition for facilitating adhesion across an  
30 interface between the nonporous substrate and the polymer



- 2c -

composition, said aqueous primer composition comprising: a) 100 parts by weight of water; b) from 0.05 to 0.3 parts by weight of a silane coupling agent, the silane coupling agent being represented by the formula:

5



where A is an amino or mercapto functional group, R<sup>1</sup> is an alkylene radical having from 1 to 8 carbon atoms, each R<sup>2</sup> is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having  
10 from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula

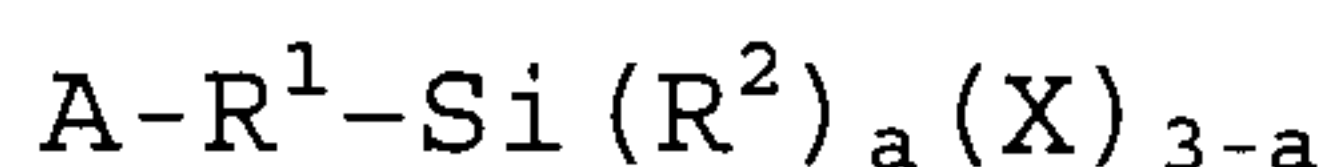
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where each R<sup>3</sup> is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2.

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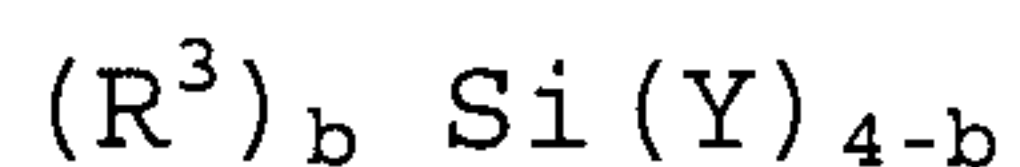
In accordance with yet a further aspect of the present invention there is a method for facilitating adhesion between a nonporous substrate and a polymer composition comprising: a) applying to a nonporous substrate an aqueous primer composition comprising: i) 100 parts by weight of  
25 water; ii) from 0.05 to 0.3 parts by weight of a silane coupling agent, the silane coupling agent being represented by the formula:



- 2d -

where A is an amino or mercapto functional group, R<sub>1</sub> is an alkylene radical having from 1 to 8 carbon atoms, each R<sup>2</sup> is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having  
5 from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and iii) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula:

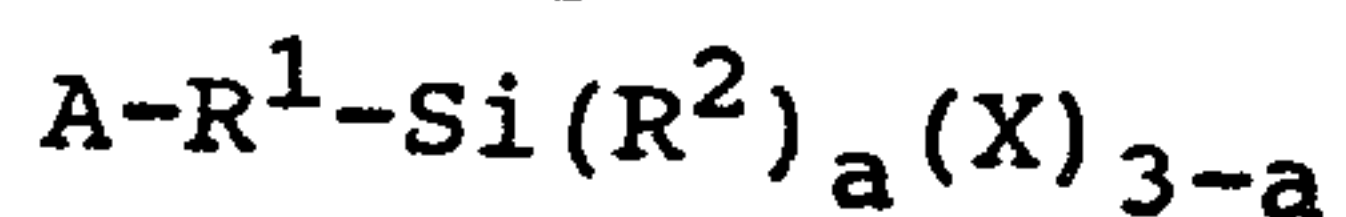
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where each R<sup>3</sup> is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2; b) removing excess  
15 water from the substrate after the silane coupling agent has adhered to the substrate to facilitate adhesion between the substrate and the polymer composition; c) applying to the substrate a curable polymer composition having functional groups reactive with the functional groups of  
20 the silane coupling agent; and d) curing the polymer composition.

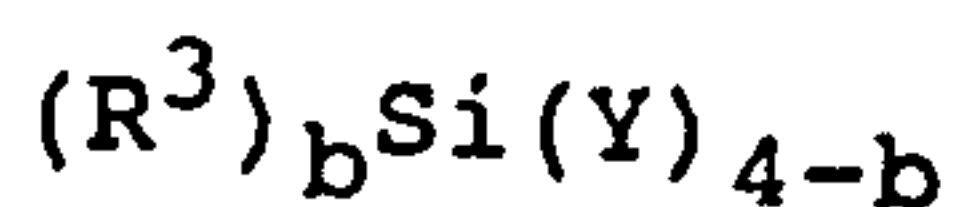


The silane coupling agents of the invention are represented by the formula



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to about 8 carbon atoms, each  $R^2$  is independently an alkyl radical having from 1 to about 8 carbon atoms, each X is independently an alkoxy radical having from 1 to about 8 carbon atoms or chlorine, and a is 0, 1 or 2. The amount of silane coupling agent used is generally in the range from about 0.05 to about 0.3 parts by weight per 100 parts by weight of water.

In accordance with a preferred aspect of the invention, up to 0.4 parts by weight of a hydrophobic agent is utilized in the solution per 100 parts by weight of water to resist decoupling of the silane coupling agent from the substrate when the coated substrate is exposed to moisture and humidity. The use of a hydrophobic agent such as methyltrimethoxysilane will also tend to retard rust formation by inhibiting water from penetrating to the surface of a metal substrate. The hydrophobic agent utilized with the invention is a hydrophobic silane generally represented by the formula



where each  $R^3$  is independently an alkyl, alkenyl or aryl radical having up to about 8 carbon atoms, each Y is independently an alkoxy radical having up to about 8 carbon atoms or chlorine.

In order to promote uniform dispersion of the coupling agent and hydrophobic agent over the surface of the substrate, the primer solution preferably contains an effective amount of a conventional surfactant to allow the aqueous primer solution to wet the surface of the substrate.

To promote long term stability and extended shelf-life of the primer solution, the pH of the primer

solution is preferably adjusted to a value of between about 2.0 to about 5.5.

5           The primer solutions of the invention facilitate good adhesion between a nonporous substrate and a suitable polymer composition with reduced organic solvent or even without organic solvents. The invention, therefore, provides a primer system which complies with pending VOC legislation. Moreover, the primer solution of the invention is nontoxic and nonflammable  
10           thereby reducing risks and concerns relating to workers safety and property damage.

          Polymer compositions which can be bonded to a nonporous substrate with the primer compositions of the invention generally include any polymer having free  
15           functional groups, such as isocyanate groups, capable of reacting with the functional groups, i.e. an amino or mercapto group, of the silane coupling agent. Particularly preferred polymer compositions for use with the invention include, for example, moisture curable polyur-  
20           ethane polymers having terminal isocyanate groups.

          Primer solutions in accordance with the preferred embodiments of the invention can be stored for prolonged periods of time, in excess of several months, without any significant adverse affect on their ability  
25           to facilitate and promote adhesion between a nonporous substrate and a suitable polymeric coating. The preferred primer solutions of the invention have also been found to exhibit good stability when exposed to very high and to very low temperatures.

30           When, as in accordance with a preferred mode of the invention, the silane coupling agent has an amino functionality as opposed to a mercapto functionality, the primer solution is substantially odorless, thereby reducing worker stress and discomfort and substantially  
35           eliminating the risk of customer disapproval based on odor.

          Unlike most conventional primer solutions



5 which are black due to the incorporation of carbon black and/or other pigments, the primer solutions of the present invention are generally clear. Consequently, inadvertent spills, splashes, or speckles on unintended surfaces are not as offensive or damaging to the appearance of the article or portions thereof to which the solution was inadvertently applied.

10 The primer compositions of the invention are generally easier to prepare than conventional primer compositions containing organic solvents, and carbon black pigments. In particular, conventional primer compositions must be prepared under a nitrogen blanket to prevent moisture in the air from contaminating the solution and causing the silane coupling agents to  
15 prematurely hydrolyze and condense or oligomerize. In contrast, the primer compositions of the invention incorporate silane coupling agents which do not condense or oligomerize in a dilute aqueous solution, and therefore the compositions are unaffected by moisture in the  
20 air and can be prepared in an open vessel exposed to the atmosphere. By avoiding the need for a nitrogen blanket during the preparation of the primer composition, the process is simplified by eliminating various equipment, additional steps and complications associated with  
25 maintaining and mixing components under a nitrogen blanket. Accordingly, the primer compositions of the invention can be prepared more quickly and at a lower cost. Further conventional production lines can be readily converted to produce this product.

30 The preparation of the primer compositions in accordance with the invention is further simplified because carbon black pigments are not used. In order to properly mix the carbon black pigments into the conventional primer compositions, high shear, high torque  
35 mixing apparatus are used. The primer compositions of the invention can be mixed using less shear and less torque, and generally require less complicated mixing

apparatus which are easier to operate and require less maintenance. Steps associated with the procurement, handling, addition of and blending of carbon black pigments into the primer composition are completely eliminated, thereby further reducing the time and cost for preparing the primer compositions of the invention.

Another advantage related to the avoidance of organic solvent and carbon black pigments used in conventional primer compositions is that the aqueous based primer composition of the invention can be contained and dispensed from a plastic bottle having a flexible reticulated applicator such as a sponge rubber applicator or brush. The conventional organic based primer compositions cannot generally be contained in a plastic bottle because the organic solvents will tend to attack the container. A sponge rubber or other flexible reticulated applicator cannot generally be used for applying conventional primer compositions because the carbon black pigments would tend to plug the reticulations of the applicator.

In addition to the foregoing advantages, the primer compositions of the invention have been unexpectedly found to react more quickly with glass or metal substrates than conventional primer systems. Thus, while it would be expected that production processes involving the use of the aqueous primer solutions of the invention would be slowed down because of the longer time periods required to dry water as compared to the drying times of volatile organic solvents, surprisingly, it has been found that the silane coupling agents in the aqueous primer solution react very quickly with the surface of the substrate so that, for example, after about 1 minute, a sufficient quantity of the silane coupling agent will have bonded with the substrate. The water can then be removed as by blowing air or wiping with an absorbent fabric or other material, leaving behind a sufficient quantity of silane coupling agent



uniformly dispersed on the surface of the substrate to promote good bonding of a polymer coating to the substrate.

5 Another unexpected advantage of the invention which has been discovered is that after the primer has been applied to the substrate, it is possible to delay application of the polymeric coating for up to several months without experiencing any deleterious effects. This compares very favorably with conventional primer  
10 systems wherein the polymeric coating must generally be applied within about 30 minutes after the primer has been applied in order to achieve satisfactory adhesion between the primer and the coating. This extended open time between the application of the primer and the  
15 coating allows for greater manufacturing flexibility and essentially eliminates any duplication of effort due to unavoidable or inadvertent delays between the time the primer is applied to the substrate and the time the coating is applied to the substrate.

20 Surprisingly, it was also discovered that primer compositions of the invention enhance adhesion between butyl tape and glass. Butyl tape is typically used in the automotive glazing business to temporarily hold replacement windows firmly in position thereby  
25 allowing the vehicle to be driven before the sealant has fully cured. Butyl tape is generally composed of from 5 percent to 25 percent by weight of polybutene, 5 percent to 25 percent paraffinic oil, 5 percent to 20 percent butyl rubber, 0.1 to 10 percent tackifying  
30 resin, 0 to 50 percent talc, 0 to 50 percent calcium carbonate, and 1 to 15 percent carbon black.

#### BRIEF DESCRIPTION OF THE DRAWINGS

35 Fig. 1 is an elevation view partially broken away and in section of a plastic applicator bottle suitable for containing and dispensing the primer

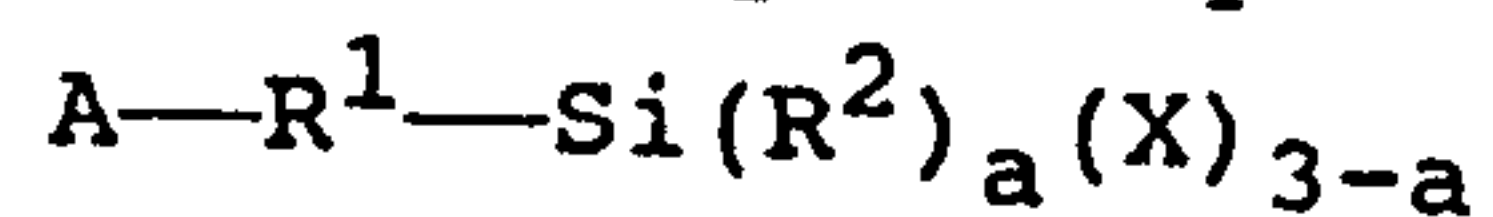
composition of the invention, and

Fig. 2 is a perspective view of the plastic applicator bottle of Fig. 1 being used to apply the primer composition to the peripheral area of a windshield.

#### DETAILED DESCRIPTION OF THE INVENTION

The primer system of the present invention is a multi component system depending on the application, but in particular includes water as a carrier and a silane coupling agent. For certain applications it also includes a hydrophobic agent which is, for example, a functionalized alkylsilane. The hydrophobic agent is used in applications in which water resistance properties are important. In addition, the system may include an optional surfactant which is preferably a nonionic surfactant. The surfactant is used to permit wetting of the substrate by the primer solution. In addition, where stability is of importance, an acid is used in order to inhibit condensation or oligomerization of the coupling agent. Other additives may be added to the extent that they are compatible with the system. Examples of additives which might be desirable include corrosion inhibitors and UV radiation stabilizers.

The silane coupling agent which is useful in the present invention, may be represented by the formula

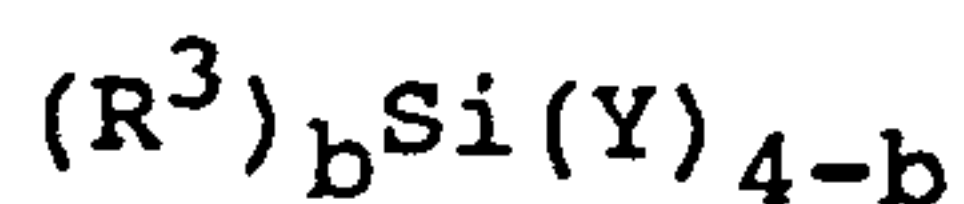


wherein A is amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to about 8 carbon atoms each,  $R^2$  is independently an alkyl radical having from 1 to about 8 carbon atoms, each X is independently an alkoxy radical having from 1 to about 8 carbon atoms or chlorine and a is 0, 1 or 2. Preferably A is an amino group,  $R^1$  is a propyl group,  $R^2$  is a methyl group, X is a methoxy or ethoxy group, and a is 0 or 1, and most preferably X is methoxy or ethoxy and a is 0. A



particularly suitable aminosilane is  $\gamma$ -aminopropyltriethoxysilane ( $\gamma$ -APS.) The aminosilane is used at from about 0.05 to about 0.3 parts, more preferably from about 0.1 to about 0.25 parts, and most preferably from about 0.1 to about 0.2 parts, these parts being based on 100 parts water.

The hydrophobic agent is an optional ingredient which resists moisture and inhibits dissociation upon exposure to water. A suitable hydrophobic agent is a functionalized hydrocarbylsilane. In general, the silane has the formula



where each  $R^3$  is independently an alkyl, alkenyl or aryl radical having up to about 8 carbon atoms, each Y is independently an alkoxy radical having up to about 8 carbon atoms or chlorine, and b is 1 or 2. Preferably  $R^3$  is methyl, X is methoxy or ethoxy and b is 1. A particularly preferred composition is methyltrimethoxysilane (MTMS). This particular hydrophobic coupling agent unexpectedly exhibits corrosion inhibitor properties, for example, in the pinchweld of a car for windshield applications. The hydrophobic coupling agent is used in an amount of from more than 0 to about 0.4 parts, preferably from about 0.15 to 0.3 parts, and most preferably from about 0.15 to 0.25 parts by weight based on 100 parts water. In this context, from more than 0 parts means some minimal amount at which the agent has a perceptible effect.

In addition, an optional surfactant which is preferably a nonionic surfactant is used in an amount which is effective to permit wetting of the substrate but not so great as to interfere with the silanol bonding. A suitable nonionic surfactant is sold under the trademark "TERGITOL TMN-6." This particular surfactant may be used at a small percentage, from about 0.01 to 0.05, and more preferably about 0.02 to about 0.04 parts or percent.

5 In addition, an acid is used to impart stability to the system. Suitable acids include acetic acid and hydrochloric acid, although other inorganic or organic acids such as other carboxylic acids can be used. The acid is used in an amount effective to adjust the pH to from about 2 to about 5.5, preferably from about 3.5 to about 4.5, and most preferably from about 3.75 to about 4.25. The acid is believed to help promote hydrolysis of the alkoxy groups and inhibits the self catalyzed condensation of the silanol groups.

10 In order to permit application of the primer composition at temperatures below 32°F and/or to decrease drying time, various water soluble solvents, such as isopropyl alcohol or acetone, can be added.

15 Water is used as the carrier for the primer and is preferably deionized water.

The primer is made by mixing the ingredients in a suitable container such as a plastic or plastic lined container. An example of a suitable plastic is polyolefin such as polyethylene or polypropylene or copolymers or blends containing the same. The silanes are added to the water after the pH has been appropriately adjusted. Agitation is used to induce the silanes into solution.

25 The primer of the present invention is particularly suitable for use with windshield sealant systems. These windshields may include automobile, truck, and off-the-road windshields as well as aircraft and marine windshields. The system when used with a glass substrate and a suitable sealant, such as polyurethane, complies with current OEM automotive specifications, i.e., it achieves a lap shear strength of at least 500 psi (derived in accordance with SAE J1529) and maintains adhesion under water immersion testing for 10 days.

35 The primer of the present invention may be used with various nonporous substrates. For purposes of this specification, nonporous means that liquids will



not penetrate the surface of the substrate. Examples of suitable substrates include glass, metal, coated metal porcelain, ceramic, granite, nonporous concrete, other nonporous siliceous or metallic materials, and the like. The primer composition is particularly well suited for use with metals having an automotive topcoat and for use with normal float glass. Typically windshield glass will include a dark ceramic frit to provide protection from ultraviolet light.

Sealants which are effective include moisture curable sealants, caulks and putties and in particular, are suitable for compositions which will bond with the functional group of the silane coupling agent. For example, the amine group of aminopropyltriethoxysilane can react with a free isocyanate group from a polyurethane polymer to form a urea linkage. Polymer compositions which can be used with the primer composition of the invention include generally any polymers having terminal or pendant functional groups which will react with the amino or mercapto functional groups of the disclosed silane coupling agents. Examples of functional groups of the polymer which will react with the amino or mercapto groups of the disclosed silanes include isocyanate groups, epoxy groups, and acetoacetate groups. In particular, the primer composition of the invention can be used to promote good adhesion between a nonporous substrate and various moisture curable polyurethane prepolymer sealant compositions having free isocyanate groups. Examples of such compositions are well known to the art and to the literature and can be found, for example, in U.S. Patent Nos. 4,625,012, 4,758,648, and 4,780,520.

There is shown in Fig. 1 a plastic applicator bottle 10 which can be advantageously used for dispensing the primer compositions of the invention. The applicator bottle includes a flexible conduit 12 connecting the reservoir portion of the bottle to the

5 applicator 14. The flexible conduit 12 preferably has  
an internal wall generally transverse to the direction  
of flow, with the wall having a slit 16 or cut which  
acts as a valve. When the flexible conduit 12 is  
unstressed, the slit 16 generally remains closed and  
prevents the primer composition from flowing out, even  
when the bottle is inverted. A small amount of force  
applied to the conduit 12 causes the slit 16 to open and  
allows the primer composition 24 to flow to the attached  
10 applicator 14. The applicator is a saturation applica-  
tion such as a sponge or sponge like mass having an  
absorbent, flexible and porous or reticulated structure.  
The outer surface of the applicator is preferably  
provided with a soft, thin layer 18, such as a napped  
15 fabric layer, to provide a soft, nonabrasive surface for  
applying the primer composition to a substrate.

Suitable plastic materials for the bottle 10  
include polyolefins such as high density polyethylene.  
The conduit 12 can be made from flexible plastics or  
20 rubbers such as ethylene-propylene-diene rubber. The  
application can generally be made from known sponge  
rubber materials and the thin, soft layer can be a felt  
or flocked material suitably secured to the sponge  
rubber material with adhesives. Again, it is an advan-  
25 tage that the present invention permits the use of  
adhesives.

The plastic bottle is preferably provided with  
external threads 20 to which a cap can be screwed over  
the applicator to reduce evaporation of the primer  
30 composition when not in use.

Fig. 2 shows the applicator bottle being used  
to apply the primer composition to the area near the  
edges of a windshield 22 where a polymeric sealant  
composition is to be applied.

35 In order to obtain the best results, it is  
highly recommended and preferred that the nonporous  
substrate, to which the polymer composition is to be



bound with the aid of the disclosed primer composition, be thoroughly cleaned prior to application of the primer. It is recommended that the substrate be thoroughly cleaned such as with soap and water or with an ammonia solution, or with solvents such as acetone, isopropyl alcohol, or methylethylketone to remove all contaminants such as oil, metal oxides, dirt and dust from the substrate. Such contaminants tend to block primer from the substrate surface causing the coupling agent to bond to the contaminant and/or preventing the coupling agent to bond to the substrate.

The primer is typically applied so as to wet the entire area of the substrate to which the polymer composition is to be bonded. It is recommended that the primer be applied at a temperature above 32°F and that it be allowed to dry before application of the polymer composition. While the polymer can be applied to a primed substrate when the surface is still damp, it has been found that undue excess liquid such as primer pools or beads of water can prevent the polymer from contacting the glass which can result in reduced adhesive strength between the polymer and the substrate.

Upon curing, the sealant system forms a unified laminate, or gradient product wherein the primer forms an interface or bridge between the substrate and the sealant. It is believed that this interface is formed by covalent bonding, but other mechanisms may be involved. The "unified laminate" thus refers to the substrate, the primer interface, and the sealant. By "laminate" it is intended to imply that the substrate and the sealant are unified but discrete layers, and that the silane coupling agent is chemically and/or mechanically bound at the interface. However, this term is not intended to limit the invention in any way.

In particular, the sealant system is applicable as a windshield sealant system. It is also applicable to the construction of glazed windows and generally

any other application wherein a sealant is applied between two smooth nonporous surfaces.

5 A better understanding of the present invention and its many advantages will be had from the following examples which are intended to illustrate, but not limit, the invention.

Example 1

10 Primer samples were made in accordance with the recipe set forth in Table 1. The water was added first to a quart glass jar. The acid was added to modify the pH of the solution to between about 3.5 and 4.5.

15 Generally the surfactant was added thereafter, and then the silane coupling agent ( $\gamma$ -APS) and the hydrophobic agent (MTMS) were added. The jar was capped with a metal lid and was vigorously manually agitated for more than 5 minutes. The composition was left to equilibrate and fully hydrolyze for at least 30 minutes prior to application.

20 For samples in which shelf-life or stability was not being tested, the composition was stored at room temperature, in the same capped container. For stability studies, the primer was transferred to two ounce glass jars, capped with the appropriate metal lid, and  
25 subjected to the conditions as indicated hereinafter.



TABLE I

		<u>Parts</u>
	Deionized water	100
5	TERGITOL <sup>TM</sup> TMN-6 (non-ionic surfactant sold by Union Carbide)	.03
	Glacial Acetic Acid	.09
10	$\gamma$ -aminopropyltriethoxysilane (sold under the trademark A1100 by Union Carbide)	.15
15	Methyltrimethoxysilane (sold under the trademark A163 by Union Carbide)	.30

20 Lap shear specimens were prepared and tested as follows.

25 Pieces of two-ply automotive glass and glass slides (4" x 1") were washed with Triton<sup>TM</sup>-X soap and then thoroughly rinsed with tap water. The glass was then soaked in a caustic bath for 1 hour and thoroughly rinsed. Finally, the glass was triple rinsed with methylethylketone and left to dry. A thin layer of primer was then brush applied to both the lap shear substrates and allowed to dry for 10 minutes. It should be noted that all the primers were reasonably after 10 minutes. A bead of an isocyanate terminated polyether poylurethane sold by Tremco under the trademark "Trem-Shield 600" was then applied and the glass samples were assembled to standard lap shear geometry. The substrate contact area was kept as close to .25" x 1" as possible; it was found that larger beads ten to cure less thoroughly and yield substantially lower rupture values. The beads were squared using a razor blade to permit the maximum amount of moisture penetration and therefore most rapid cure time. Samples were maintained at 75°F and 50 percent R.H. for 5 days prior to testing.

40 Samples were tested on an Instron model 1130 at a crosshead speed of 1 inch/minute. A 1000 lb. load cell was used on the 200 lb. full scale setting

Following rupture the sealant/substrate contact area was measured and the mode of failure (adhesive or cohesive) was recorded.

5 Two to six specimens were tested per sample and the results are set forth in Table II.

10 The test results summarized in Table II generally show how the primer of the invention performs under a variety of adverse conditions and application techniques. Tests 1 and 2 show that lap shear strength is greatly improved when the primer of the invention is used and that adhesive failure does not occur. Test 3 shows that a 12 week delay between the time the primer is applied and the time the sealant is applied does not significantly affect lap shear strength. Test 4 shows  
15 that when the primer is exposed to a temperature of 120°F for a period of 1 week prior to application of the primer to the specimen, lap shear strength is substantially unaffected. For test 5 the primer was subjected to four freeze-thaw cycles between -40°F to 90°F before  
20 the primer was used to prepare specimens. The results show that the primer performance is substantially unaffected by temperature cycling and that the primer is not likely to become damaged during shipping or storage due to the weather. Tests 6 and 7 respectively show  
25 that the addition of isopropyl alcohol and acetone, to decrease drying time and to protect against freezing, do not affect primer performance. Tests 8 and 9 indicate that the primer can be applied and wiped at any temperature between 40°F and 90°F without any significant effect on performance. Tests 10 and 11 show that  
30 inadvertent exposure of surfaces primed with the invention to solvents such as methylethylketone and isopropyl alcohol do not significantly affect primer performance. Tests 12 and 13 show, respectively, that prolonged exposure (1 week) to very high temperature (120°F), and  
35 to simultaneous high temperature (100°F) and high humidity (100% R.H.) conditions do not significantly

5 affect primer performance. Test 14 indicates that some  
adhesive failure (25%) occurs when lap shear is tested  
on specimens at  $-2^{\circ}\text{C}$ . However, the lap shear is still  
very good ( $364 \pm 100$  psi) and exceeds most automotive  
specifications. Test 15 shows that when the primer is  
applied over a dried ammonium based glass cleaner primer  
performance is substantially unaffected. Test 16 shows  
that the primer is highly resistant to attack by water  
and that even after being immersed in water for a period  
10 of two weeks the primer still performs well and inhibits  
adhesive failure. Test 17 shows that even if the  
sealant is applied while the primer is wet, there is  
still no significant adverse affect on primer perfor-  
mance.



TABLE II

Lap shear strengths for Primer 500.

All test performed on glass substrates unless otherwise noted.

TEST NO.	CONDITION	DURATION	STRENGTH (PSI)	FAILURE MODE	COMMENTS
1	Unprimed Specimen	--	33 ± 5	Adhesive	Control
2	Primed specimen	--	505 ± 34	Cohesive	Control
3	Open Time	12 wks	425 ± 50	Cohesive	--
4	Primer Heat Aged at 120°F	1 wk	441 ± 97	Cohesive	Primer stability
5	4 Freeze-Thaw Cycles, -40 to 90°F	--	466 ± 43	Cohesive	Primer stability
6	76% Primer + 24% IPA by wt.	--	492 ± 36	Cohesive	Lowers dry time and freeze point
7	76% Primer + 24% acetone by wt.	--	488 ± 36	Cohesive	Lowers dry time
8	2 minute dry wipe at 75°F	--	514 ± 40	Cohesive	Eliminates dry time
9	2 minute dry wipe at 40°F	--	511 ± 29	Cohesive	Eliminates dry time
10	MEK wipe after application 1 day after application	--	461 ± 68	Cohesive	Stability of film with solvent wipe
11	IPA wipe after application	--	445 ± 30	Cohesive	Stability of film with solvent wipe
12	Specimens heat aged at 120°F	1 wk	492 ± 26	Cohesive	Heat stability after application
13	100°F/100% R.H.	1 wk.	500 ± 18	Cohesive	Stability in humidity
14	Tested at -2°C	--	364 ± 100	75% cohesive	Ability to accommodate loads at low temperature
15	Primer on "Sparkle" glass cleaner	--	474 ± 25	Cohesive	Film strength over deposited glass cleaner
16	Specimens immersed in H <sub>2</sub> O	2 wks	454 ± 46	Cohesive	Hydrolytic stability
17	Sealant applied prior to primer drying	--	477 ± 32	Cohesive	Effect of potential weak boundary layer



Example 2

The primer made in accordance with Example 1 was tested in comparison with a conventional organic solvent base primer. The conventional primer has about 85 percent solvents, about 5-10 percent film former, about 1-5 percent carbon black pigment, and about 5-10 percent of an amino aliphatic siloxane coupling agent having both a primary and secondary amine and straight chain alkyls in the aliphatic portion. Lap-shear specimens were made as described except that adhesion was determined for samples made with identical top and bottom substrates to isolate the adhesion values for both glass and automotive top coat on metal. The results are set forth in Table III.

TABLE III

<u>CONTROL</u>			<u>HEAT AGE @ 120°F</u> <u>1 WEEK</u>		<u>100°F/100% R.H.</u> <u>1 WEEK *</u>		<u>WATER SOAK (4 DAYS) +</u> <u>70°C (3 DAYS)</u>	
	<u>GLASS</u>	<u>TOPCOAT</u>	<u>GLASS</u>	<u>TOPCOAT</u>	<u>GLASS</u>	<u>TOPCOAT</u>	<u>GLASS</u>	<u>TOPCOAT</u>
Con- vention Organic Primer	319 ± 84 60%C	193 ± 5 0%C	477 ± 36 100%C	345 ± 61 35%C	80 ± 14 0%C	325 ± 16 45%C	267 ± 63 70%C	207 ± 28 0%C
Aqueous Primer of Invention	475 ± 12 100%C	470 ± 18 100%C	464 ± 18 100%C	428 ± 72 85%C	500 ± 18 100%C	465 ± 19 100%C	454 ± 46 95%C	440 ± 15 100%C

\* Sample dried 3 hours at 75°F/50% R.H. prior to testing.

C denotes cohesive failure

0%C means 100% adhesive failure

5 The results shown in Table III generally indicate that the aqueous primer composition in accordance with the invention performs significantly better and with greater consistency than conventional organic based primers. In particular, the results show that the primer of the invention promotes better adhesion between a glass substrate and a typical urethane sealant and between an automotive top coated metal substrate and the typical polyurethane sealant. The results also indicate that the invention performs better than conventional primers after prolonged exposure to heat and water. The primer of the invention performs much better than conventional organic primers when applied to glass and simultaneously exposed to high temperature and humidity.

Example 3

15 The primers listed in Table IV were applied to windshield glass and Tremshield was then applied and cured for 5 days. The samples were then immersed in water and inspected daily for adhesion by peeling the bead.

20 The results are set forth in Table IV, and generally indicate that the ability of the primers of the invention to resist attack by water is greatly improved by adding a small amount (for example, 0.3% by weight of the total primer solution) of methyltrimethoxysilane as a hydrophobic agent.

25



Table IV

DAYS	<u>1.5</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>
2% Hydrosil™ 2627, A sold by Hüls-America, Inc	F						
.3% MTMS; .15% γ-APS, .03% TERGITOL™ TMN-6 surfactant	P	P	P	P	P	P	P
.3% MTMS; .15% γ-APS without surfactant	P	P	P	P	P	P	P
.15% γ-APS without hydrophobic agent and without surfactant	P	F					
.15% γ-APS .03% TERGITOL™ TMN-6 without hydrophobic agent	F						
.3% VTES, .15% γ-APS	P	P	P	P	P	P	P
.3% VTES, .15% γ-APS .03% TERGITOL™ TMN-6	P	P	P	P	P	P	P
P - Pass means cohesive failure was observed							
F - Fail means adhesive failure was observed							
γ-APS - aminopropyltriethoxysilane coupling agent							
MTMS - methyltrimethoxysilane hydrophobic agent							
VTES - vinyltriethoxysilane							

Example 4

5 Commercial scale samples were prepared using  
the previously described primer formula and process for  
preparation except that the composition was prepared in  
a 50 gallon vessel with motor driver metal blades while  
maintaining the same addition sequence and proportions  
for raw materials. Ten commercial batches comprising  
500 gallons total were prepared and were batch inspected  
for adhesion, pH and ability to wet substrate samples,  
10 e.g. windshields. No discrepancies were observed  
between the bench top samples and the commercial scale  
samples.

Primers samples were packaged in a saturation  
type applicator, i.e. a "shoe-polish-type" bottle, and  
15 distributed to about 15-20 glass shops for controlled  
field trials in windshield replacement. The results  
were uniformly successful.

CONCLUSION

20 The experimental results show that the aque-  
ous-based primer compositions of the invention perform  
as well or better than convention organic-based primers  
under a wide variety of conditions and provide an  
attractive alternative which is environmentally friendly  
and in compliance with pending VOC legislation.

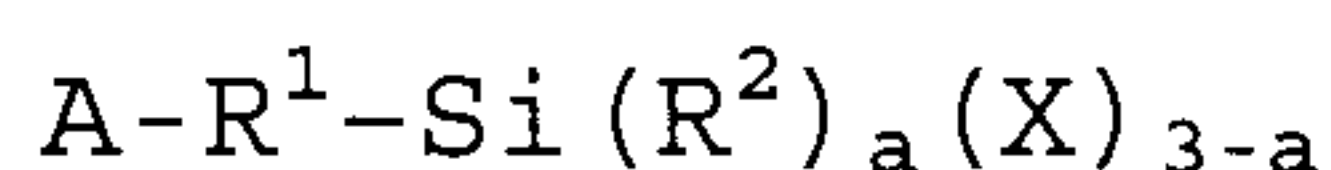
25 While in accordance with the Patent Statutes,  
the best mode and preferred embodiment has been set  
forth, the scope of the invention is not limited there-  
to, but rather by the scope of the attached claims.

## CLAIMS:

1. An aqueous primer composition for facilitating adhesion across an interface between a nonporous substrate and a polymeric composition containing free functional groups, comprising:

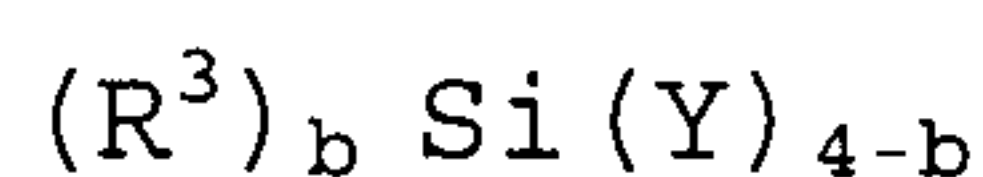
a) 100 parts by weight of water;

b) from 0.05 to 0.3 parts by weight of a silane coupling agent containing functional groups A that react with the functional groups of the polymer composition to bond the silane coupling agent to the polymer composition, the silane coupling agent being represented by the formula:



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and

c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula:



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2.



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2. The primer composition of claim 1, wherein  $R^1$  is a propylene radical, each  $R^2$  is independently a methyl, ethyl, or propyl radical, and each X is independently a methoxy or ethoxy radical, each  $R^3$  is independently a methyl, ethyl or propyl radical, and each Y is independently a methoxy or ethoxy radical.

3. The primer of composition of claim 1, further comprising a surfactant.

4. The primer composition of claim 1, 2 or 3, wherein the pH of the aqueous primer composition is in the range 2.0 to 5.5.

5. The primer of claim 1, 2, 3 or 4, wherein the silane coupling agent is aminopropyltriethoxysilane.

6. The primer composition of claim 1, 2, 3, 4 or 5, wherein the hydrophobic silane is methyltrimethoxysilane.

7. The primer composition of claim 1, 2, 3, 4, 5 or 6, wherein the composition is contained in and dispensable from a plastic applicator bottle.

8. The primer of claim 1, 2, 3, 4, 5, 6 or 7, wherein the applicator bottle includes a flexible reticulated applicator connected to the bottle by a flexible conduit.

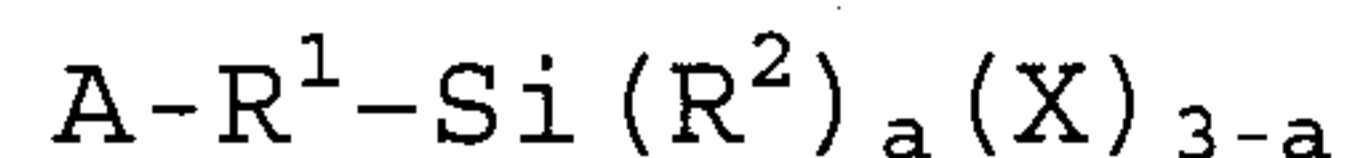
9. The primer composition of claim 1, 2, 3, 4, 5, 6, 7 or 8, wherein the flexible conduit has a wall generally transverse to the direction of flow, the wall having a slit which acts as a valve and is operable by flexing the conduit.

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10. In combination, a cured windshield sealant adhesively bonded to a glass substrate, said windshield sealant comprising a cured polyurethane sealant adhesively bonded to a glass substrate by an aqueous primer composition residing at the substrate-polymer interface, wherein the aqueous primer composition comprises:

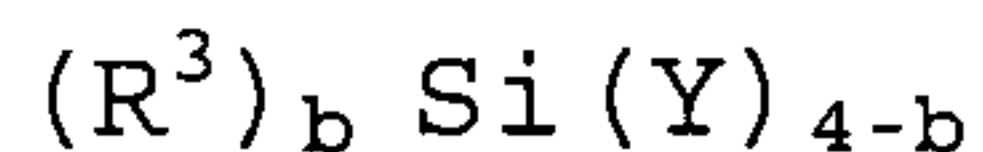
a) 100 parts by weight of water;

b) from 0.05 to 0.3 parts by weight of a silane coupling agent, the silane coupling agent being represented by the formula:



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and

c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula:



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2;

wherein said cured polyurethane sealant is formed from a polyurethane composition having free isocyanate groups, and wherein said polyurethane composition is bonded to said

aqueous primer composition by a reaction between said free isocyanate groups in said polyurethane composition and said functional groups A of said silane coupling agent.

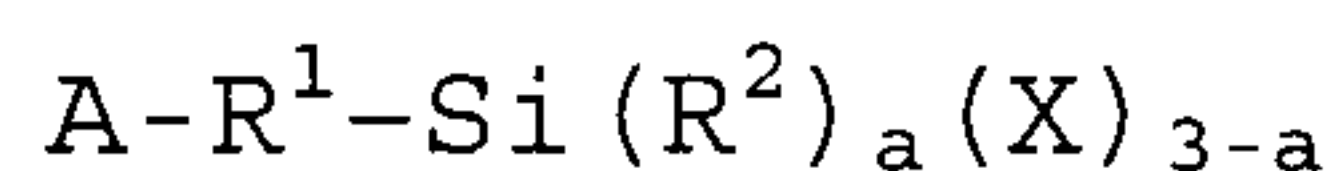
11. The combination of claim 10, wherein  $R^1$  is a propylene radical, each  $R^2$  is independently a methyl, ethyl, or propyl radical, and each X is independently a methoxy or ethoxy radical, each  $R^3$  is independently a methyl, ethyl or propyl radical, and each Y is independently a methoxy or ethoxy radical.

12. The combination of claim 10, wherein the silane coupling agent is aminopropyltriethoxysilane and wherein the hydrophobic silane is methyltrimethoxysilane.

13. A unified laminate comprising a nonporous substrate, a polymer composition having free functional groups, and an aqueous primer composition for facilitating adhesion across an interface between the nonporous substrate and the polymer composition, said aqueous primer composition comprising:

a) 100 parts by weight of water;

b) from 0.05 to 0.3 parts by weight of a silane coupling agent containing functional groups A that react with the functional groups of the polymer composition to bond the silane coupling agent to the polymer composition, the silane coupling agent being represented by the formula:

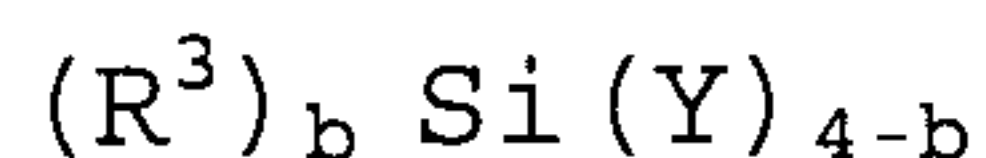


where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and



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c) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula:



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2.

14. The unified laminate of claim 13, wherein  $R^1$  is a propylene radical, each  $R^2$  is independently a methyl, ethyl, or propyl radical, and each X is independently a methoxy or ethoxy radical, each  $R^3$  is independently a methyl, ethyl or propyl radical, and each Y is independently a methoxy or ethoxy radical.

15. The unified laminate of claim 13, wherein the nonporous substrate is glass, metal, coated metal, porcelain, ceramic, granite or nonporous concrete.

16. The unified laminate of claim 13, wherein the polymer composition is a polyurethane composition having free isocyanate groups which react with the amino or mercapto functional groups of the silane coupling agent to bond the silane coupling agent to the polymer composition.

17. The unified laminate of claim 16, wherein the silane coupling agent is aminopropyltriethoxysilane and wherein the hydrophobic silane is methyltrimethoxysilane.

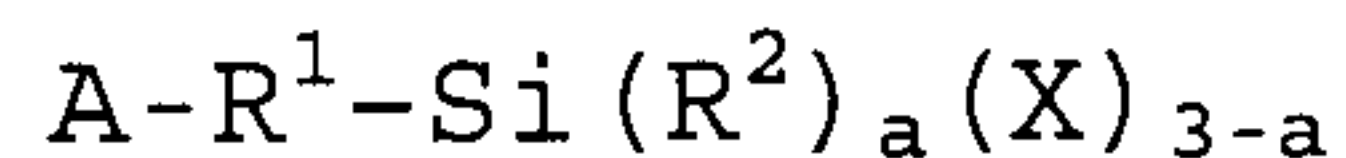
- 29 -

18. A method for facilitating adhesion between a nonporous substrate and a polymer composition comprising:

a) applying to a nonporous substrate an aqueous primer composition comprising:

i) 100 parts by weight of water;

ii) from 0.05 to 0.3 parts by weight of a silane coupling agent, the silane coupling agent being represented by the formula:



where A is an amino or mercapto functional group,  $R^1$  is an alkylene radical having from 1 to 8 carbon atoms, each  $R^2$  is independently an alkyl having from 1 to 8 carbon atoms, each X is independently an alkoxyl radical having from 1 to 8 carbon atoms or chlorine, and a is 0, 1, or 2; and

iii) from 0.1 to 0.4 parts by weight of a hydrophobic silane per 100 parts of the aqueous solution containing the silane coupling agent, the hydrophobic silane being represented by the formula:



where each  $R^3$  is independently an alkyl, alkenyl, or aryl radical having up to 8 carbon atoms, each Y is independently an alkoxyl radical having up to 8 carbon atoms or chlorine, and b is 1 or 2;

b) removing excess water from the substrate after the silane coupling agent has adhered to the substrate to facilitate adhesion between the substrate and the polymer composition;

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c) applying to the substrate a curable polymer composition having functional groups reactive with the functional groups A of the silane coupling agent; and

d) curing the polymer composition.

19. The method of claim 18, wherein the curable polymer is a moisture curable polyurethane prepolymer having free isocyanate groups.

20. The method of claim 18, wherein said primer is contained in a bottle having a reservoir and a saturation applicator and the primer is applied by contacting the applicator with the substrate.



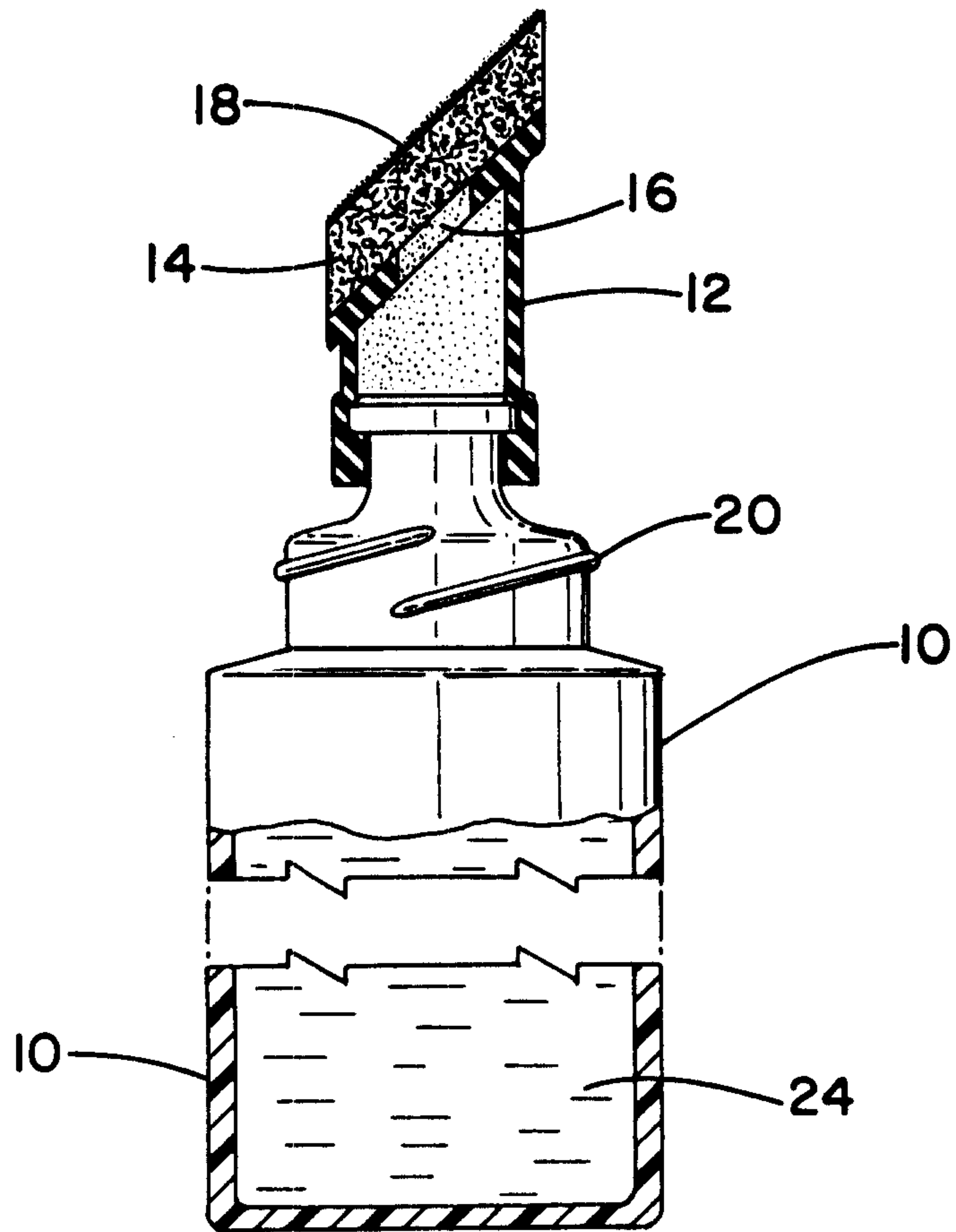


FIG.-1

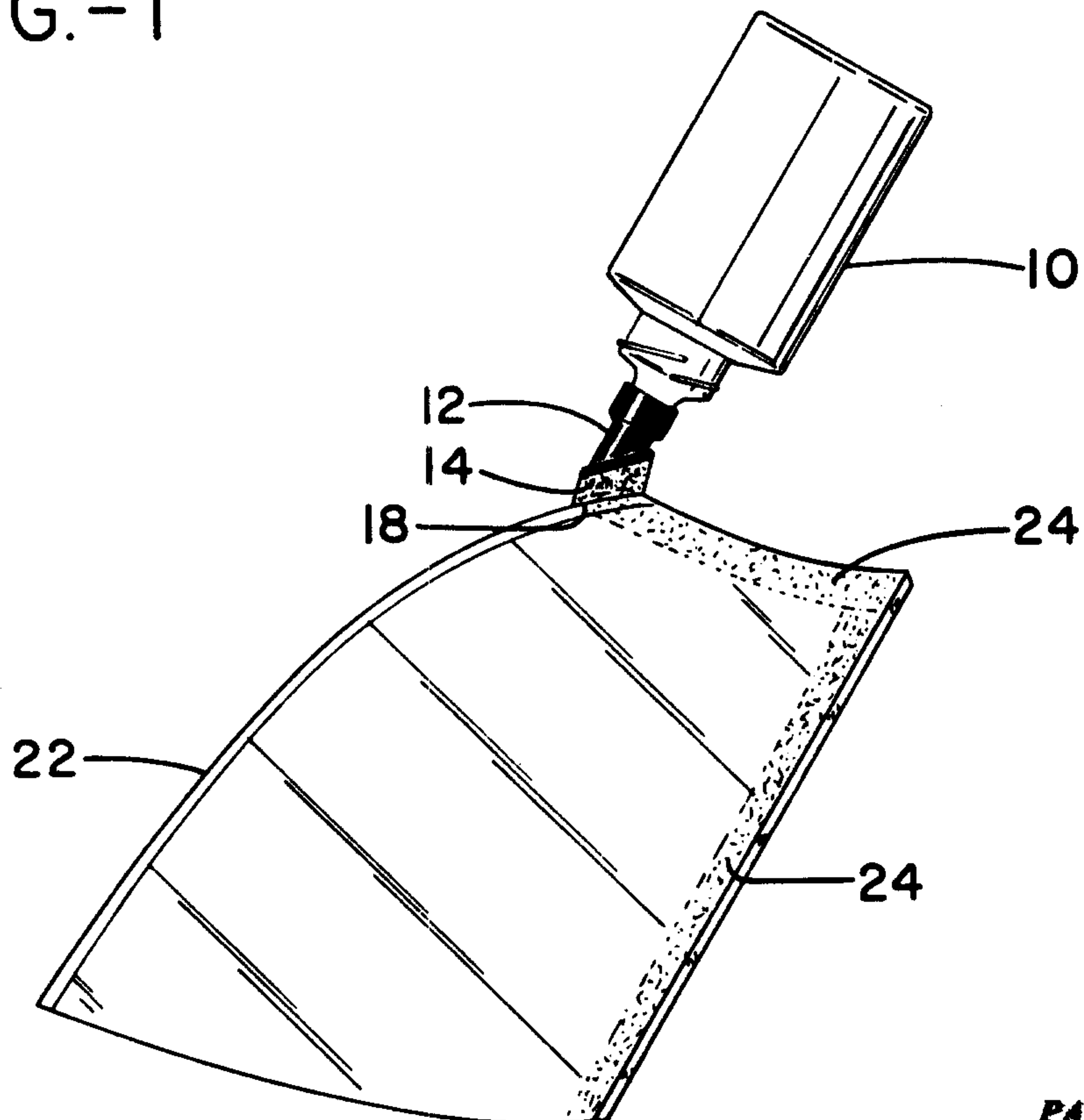


FIG.-2

PATENT AGENTS

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