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(54) Title: COMBINATION THERAPY FOR CANCER

(57) Abstract: This invention relates generally to a combination therapy for the treatment of cancer, particularly to a combination of (i) a bifunctional molecule comprising a TGFBR2 or fragment thereof capable of binding TGFβ and an antibody, or antigen binding fragment thereof, that binds to an immune checkpoint protein, such as Programmed Death Ligand 1 (PD-L1) and (ii) at least one additional anti-cancer therapeutic agent.



## COMBINATION THERAPY FOR CANCER

### CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims priority to and the benefit of U.S. Provisional Patent Application No. 62/374,621 filed August 12, 2016, the entire contents of which are incorporated by reference herein.

### FIELD OF THE INVENTION

[0002] This invention relates generally to a combination therapy for the treatment of cancer, particularly to a combination of (i) a bifunctional molecule comprising a TGF $\beta$ RII or fragment thereof capable of binding TGF $\beta$  and an antibody, or antigen binding fragment thereof, that binds to an immune checkpoint protein, such as Programmed Death Ligand 1 (PD-L1) and (ii) at least one additional anti-cancer therapeutic agent. Anti-cancer therapeutic agents include, for example, radiation, chemotherapeutic agents, biologics, or vaccines. In certain embodiments of the invention, the combination therapy provides for a synergistic anti-cancer effect.

### BACKGROUND

[0003] In cancer treatment, it has long been recognized that chemotherapy is associated with high toxicity and can lead to emergence of resistant cancer cell variants. Most chemotherapeutic agents cause undesirable side effects including cardiac and renal toxicity, alopecia, nausea and vomiting. Radiation therapy is also used in cancer treatment. Such treatment uses high-energy particles or waves, such as x-rays, gamma rays, electron beams, or protons, to destroy or damage cancer cells. Unlike chemotherapy, which exposes the whole body to cancer-fighting drugs, radiation therapy is more commonly a local treatment. However, it is difficult to selectively administer therapeutic radiation only to the abnormal tissue and, thus, normal tissue near the abnormal tissue is also exposed to potentially damaging doses of radiation throughout treatment.

[0004] Cancer immunotherapy is a new paradigm in cancer treatment that instead of targeting cancer cells focuses on the activation of the immune system. Its principle is to rearm the host's immune response, especially the adaptive T cell response, to provide immune surveillance to kill the cancer cells, in particular, the minimal residual disease that has escaped other forms of treatment, hence achieving long-lasting protective immunity.

[0005] FDA approval of the anti-CTLA-4 antibody ipilimumab for the treatment of melanoma in 2011 ushered in a new era of cancer immunotherapy. The demonstration that anti-PD-1 or anti-PD-L1 therapy induced durable responses in melanoma, kidney, and lung cancer in

clinical trials further signify its coming of age (Pardoll, D.M., Nat Immunol. 2012; 13:1129-32). However, ipilimumab therapy is limited by its toxicity profile, presumably because anti-CTLA-4 treatment, by interfering with the primary T cell inhibitory checkpoint, can lead to the generation of new autoreactive T cells. While inhibiting the PD-L1/PD-1 interaction results in dis-  
5 inhibiting existing chronic immune responses in exhausted T cells that are mostly antiviral or anticancer in nature (Wherry, E.J., Nat Immunol. 2011; 12:492-9), anti-PD-1 therapy can nevertheless sometimes result in potentially fatal lung-related autoimmune adverse events. Despite the promising clinical activities of anti-PD1 and anti-PD-L1 so far, increasing the therapeutic index, either by increasing therapeutic activity or decreasing toxicity, or both,  
10 remains a central goal in the development of immunotherapeutics.

### SUMMARY OF THE INVENTION

**[0006]** The present invention is based on the discovery of a combination therapy for cancer that includes administration of a bifunctional protein containing at least a portion of TGF $\beta$  Receptor II (TGF $\beta$ RII) that is capable of binding TGF $\beta$  and an antibody, or antigen-binding fragment, that binds to an immune checkpoint protein such as human protein Programmed Death  
15 Ligand 1 (PD-L1). The combination therapy also includes administration of an anti-cancer therapeutic agent such as, for example, radiation, chemotherapeutic agents, a biologic and/or a vaccine. The combination therapy exhibits a synergistic effect as compared to the effect of administering the individual agents separately.

**[0007]** Accordingly, in a first aspect, the present invention features a method of treating  
20 cancer in a subject that includes (i) administration of a bifunctional protein comprising a human TGF $\beta$ RII, or a fragment thereof capable of binding TGF $\beta$  (*e.g.*, a soluble fragment), and an antibody, or an antigen-binding fragment thereof, that binds PD-L1 (*e.g.*, any of the antibodies or antibody fragments described herein); and (ii) administration of at least one additional second anti-cancer therapeutic agent.

**[0008]** In certain embodiments, the combination treatment method of the invention features the use of a polypeptide including (a) at least a variable domain of a heavy chain of an antibody that binds PD-L1 (*e.g.*, amino acids 1-120 of SEQ ID NO: 2); and (b) human TGF $\beta$ RII, or a soluble fragment thereof capable of binding TGF $\beta$  (*e.g.*, a human TGF $\beta$ RII extra-cellular domain (ECD), amino acids 24-159 of SEQ ID NO: 9, or any of those described herein) in combination  
30 with at least one additional anti-cancer therapeutic agent. The polypeptide may further include an amino acid linker connecting the C-terminus of the variable domain to the N-terminus of the human TGF $\beta$ RII or soluble fragment thereof capable of binding TGF $\beta$ . The polypeptide may

include the amino acid sequence of SEQ ID NO: 3 or an amino acid sequence substantially identical to SEQ ID NO: 3. The antibody fragment may be an scFv, Fab, F(ab')<sub>2</sub>, or Fv fragment.

**[0009]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 2 and human TGFβRII. The antibody may optionally include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0010]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 2 and a fragment of human TGFβRII capable of binding TGFβ (*e.g.*, a soluble fragment). The antibody may optionally include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0011]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 2 and a human TGFβRII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0012]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes amino acids 1-120 of SEQ ID NO: 2 and human TGFβRII. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0013]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes amino acids 1-120 of SEQ ID NO: 2 and a fragment of human TGFβRII capable of binding TGFβ (*e.g.*, a soluble fragment). The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr

(SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0014]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes amino acids 1-120 of SEQ ID NO: 2 and a human TGFβRII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0015]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 2 and human TGFβRII. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0016]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 2 and a fragment of human TGFβRII capable of binding TGFβ (*e.g.*, a soluble fragment). The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0017]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 2 and a human TGFβRII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0018]** In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 12 and human TGFβRII. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0019] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 12 and a fragment of human TGF $\beta$ RII capable of binding TGF $\beta$  (*e.g.*, a soluble fragment). The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys $\rightarrow$ Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0020] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 12 and a human TGF $\beta$ RII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys $\rightarrow$ Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0021] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 12 and human TGF $\beta$ RII. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys $\rightarrow$ Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0022] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 12 and a fragment of human TGF $\beta$ RII capable of binding TGF $\beta$  (*e.g.*, a soluble fragment). The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys $\rightarrow$ Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0023] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes the hypervariable regions present in SEQ ID NO: 12 and a human TGF $\beta$ RII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys $\rightarrow$ Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

[0024] In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 14 and human TGF $\beta$ RII. The antibody may

include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

5   **[0025]**     In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 14 and a fragment of human TGFβRII capable of binding TGFβ (*e.g.*, a soluble fragment). The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence to Ala-Thr-Ala-Thr (SEQ ID NO: 10 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0026]**     In certain embodiments, the protein or polypeptide includes an antibody or antigen-binding fragment thereof that includes SEQ ID NO: 14 and a human TGFβRII ECD. The antibody may include a modified constant region (*e.g.*, any described herein, including a C-terminal Lys→Ala substitution, a mutation of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) sequence 15 to Ala-Thr-Ala-Thr (SEQ ID NO: 20), or a hybrid constant region including an IgG1 hinge region and an IgG2 CH2 domain).

**[0027]**     The invention also provides for the use, in the combination therapy of the invention, of a protein including the polypeptide described above and at least a variable domain of a light chain of an antibody which, when combined with the polypeptide, forms an antigen-binding site 20 that binds PD-L1. The protein may include (a) two polypeptides, each having an amino acid sequence consisting of the amino acid sequence of SEQ ID NO: 3, and (b) two additional polypeptides each having an amino acid sequence consisting of the amino acid sequence of SEQ ID NO: 1.

**[0028]**     The invention features a combination therapy for treatment of cancer which 25 comprises the administration of a protein described above, in combination with administration of one or more additional anti-cancer therapeutic agents for use in treating cancer or for use in inhibiting tumor growth. The one or more additional anti-cancer therapeutic agents include radiation, a chemotherapeutic agent, a biologic, and/or a vaccine.

**[0029]**     The cancer or tumor may be selected from the group consisting of colorectal, breast, 30 ovarian, pancreatic, gastric, prostate, renal, cervical, myeloma, lymphoma, leukemia, thyroid, endometrial, uterine, bladder, neuroendocrine, head and neck, liver, nasopharyngeal, testicular, small cell lung cancer, non-small cell lung cancer, melanoma, basal cell skin cancer, squamous

cell skin cancer, dermatofibrosarcoma protuberans, Merkel cell carcinoma, glioblastoma, glioma, sarcoma, mesothelioma, and myelodysplastic syndromes.

**[0030]** The invention also features a combination therapy method of inhibiting tumor growth or treating cancer. The method includes exposing the tumor to a protein described above. The method further includes exposing the tumor to radiation and or administration of a chemotherapeutic, a biologic, or a vaccine. In certain embodiments, the tumor or cancer is selected from the group consisting of colorectal, breast, ovarian, pancreatic, gastric, prostate, renal, cervical, myeloma, lymphoma, leukemia, thyroid, endometrial, uterine, bladder, neuroendocrine, head and neck, liver, nasopharyngeal, testicular, small cell lung cancer, non-small cell lung cancer, melanoma, basal cell skin cancer, squamous cell skin cancer, dermatofibrosarcoma protuberans, Merkel cell carcinoma, glioblastoma, glioma, sarcoma, mesothelioma, and myelodysplastic syndromes.

**[0031]** By “TGFβRII” or “TGFβ Receptor II” is meant a polypeptide having the wild-type human TGFβ Receptor Type 2 Isoform A sequence (*e.g.*, the amino acid sequence of NCBI Reference Sequence (RefSeq) Accession No. NP\_001020018 (SEQ ID NO: 8)), or a polypeptide having the wild-type human TGFβ Receptor Type 2 Isoform B sequence (*e.g.*, the amino acid sequence of NCBI RefSeq Accession No. NP\_003233 (SEQ ID NO: 9)) or having a sequence substantially identical the amino acid sequence of SEQ ID NO: 8 or of SEQ ID NO: 9. The TGFβRII may retain at least 0.1%, 0.5%, 1%, 5%, 10%, 25%, 35%, 50%, 75%, 90%, 95%, or 99% of the TGFβ-binding activity of the wild-type sequence. The polypeptide of expressed TGFβRII lacks the signal sequence.

**[0032]** By a “fragment of TGFβRII capable of binding TGFβ” is meant any portion of NCBI RefSeq Accession No. NP\_001020018 (SEQ ID NO: 8) or of NCBI RefSeq Accession No. NP\_003233 (SEQ ID NO: 9), or a sequence substantially identical to SEQ ID NO: 8 or SEQ ID NO: 9 that is at least 20 (*e.g.*, at least 30, 40, 50, 60, 70, 80, 90, 100, 110, 120, 130, 140, 150, 160, 175, or 200) amino acids in length that retains at least some of the TGFβ-binding activity (*e.g.*, at least 0.1%, 0.5%, 1%, 5%, 10%, 25%, 35%, 50%, 75%, 90%, 95%, or 99%) of the wild-type receptor or of the corresponding wild-type fragment. Typically such fragment is a soluble fragment. An exemplary such fragment is a TGFβRII extra-cellular domain having the sequence of SEQ ID NO: 10.

**[0033]** By “substantially identical” is meant a polypeptide exhibiting at least 50%, desirably 60%, 70%, 75%, or 80%, more desirably 85%, 90%, or 95%, and most desirably 99% amino acid sequence identity to a reference amino acid sequence. The length of comparison sequences



will generally be at least 10 amino acids, desirably at least 15 contiguous amino acids, more desirably at least 20, 25, 50, 75, 90, 100, 150, 200, 250, 300, or 350 contiguous amino acids, and most desirably the full-length amino acid sequence.

**[0034]** By “patient” is meant either a human or non-human animal (*e.g.*, a mammal).

5 **[0035]** By “treating” a disease, disorder, or condition (*e.g.*, a cancer) in a patient is meant reducing at least one symptom of the disease, disorder, or condition by administering a therapeutic agent to the patient.

**[0036]** By “cancer” is meant a collection of cells multiplying in an abnormal manner.

**[0037]** Other embodiments and details of the invention are presented herein below.

### BRIEF DESCRIPTION OF THE DRAWINGS

10 **[0038]** **FIG. 1** is a schematic drawing of an anti-PD-L1/TGF $\beta$  Trap molecule comprising one anti-PD-L1 antibody fused to two extracellular domain (ECD) of TGF $\beta$  Receptor II via a (Gly<sub>4</sub>Ser)<sub>4</sub>Gly linker.

**[0039]** **FIG. 2** is a table summarizing the study design for study “TI13-027: Combination of Anti-PD-L1/TGF $\beta$  Trap with 5-FU and Oxaliplatin Therapy in MC38 Tumor Model in C57B/L6  
15 Wild Type Mice” where group and treatment is N=10 mice/group.

**[0040]** **FIG. 3** is a table summarizing the study design for study “TI14-012: Combination of Anti-PD-L1/TGF $\beta$  Trap with 5-FU and Oxaliplatin Therapy in MC38 Tumor Model in B Cell Deficient Mice” where group and treatment is N=10 mice/group.

**[0041]** **FIG. 4A-4D** are a series of graphs showing that the Oxaliplatin/5-FU and anti-PD-  
20 L1/TGF $\beta$  trap combination enhances tumor growth inhibition and tumor-reactive CD8<sup>+</sup> T cell responses (C57BL/6 Mice; Study TI13-027). **FIG. 4A** and **FIG. 4D** Tumor volumes were measured twice per week throughout the study period. Tumor volume data was log transformed and a two-way, repeated measure ANOVA was performed. **FIG. 4B**. Tumor weight data was evaluated with one-way ANOVA. **FIG. 4C**. The frequency of IFN- $\gamma$  producing, P15E-specific  
25 CD8<sup>+</sup> T cells was quantified by ELISpot assay. ELISpot data was evaluated by one-way ANOVA. All ANOVA included Tukey’s correction for multiple comparisons to measure statistical differences between treatment groups.  $p < 0.05$  was determined to be statistically significant.

**[0042]** **FIG. 5A-5D** are a series of graphs showing that the Oxaliplatin/5-FU and anti-PD-  
30 L1/TGF $\beta$  trap combination enhances tumor growth inhibition and tumor-reactive CD8<sup>+</sup> T cell responses (B6.129S2-Ighm<sup>tm1Cgn</sup>/J Mice; Study TI14-012). **Fig. 5A** and **4D**. Tumor volume data was log transformed and a two-way, repeated measure ANOVA was performed. **Fig. 5B**.

Tumor weight data was evaluated with one-way ANOVA. FIG. 5C. The frequency of IFN- $\gamma$  producing, P15E-specific CD8<sup>+</sup> T cells was quantified by ELISpot assay. ELISpot data was evaluated by one-way ANOVA. All ANOVA included Tukey's correction for multiple comparisons to measure statistical differences between treatment groups.  $p < 0.05$  was determined to be statistically significant.

**[0043]** FIG. 6A-6C are a series of graphs showing that radiation and anti-PD-L1/TGF $\beta$  trap induces synergistic tumor growth inhibition and tumor-reactive CD8<sup>+</sup> T Cell responses (TI13-109). FIG. 6A. Tumor volumes were measured twice per week and the average tumor volumes were presented as the mean  $\pm$  standard error of the mean (SEM). FIG. 6B. Tumor weight data was determined on day 14. FIG. 6C. The frequency of IFN- $\gamma$  producing, P15E-specific CD8<sup>+</sup> T cells was quantified by ELISpot assay on day 14. The data of anti-PD-L1/TGF $\beta$  Trap at the dose level of 164  $\mu$ g were similar to the data at the dose level of 55  $\mu$ g, either as a monotherapy or the combination.

**[0044]** FIG. 7A-7C are a series of graphs showing that radiation and anti-PD-L1/TGF $\beta$  trap induces synergistic tumor growth inhibition and tumor-Reactive CD8<sup>+</sup> T cell responses (repeat study) (TI14-013). FIG. 7A. Tumor volumes were measured twice per week and the average tumor volumes were presented as the mean  $\pm$  standard error of the mean (SEM). FIG. 7B. Tumor weights were evaluated on day 14. FIG. 7C. The frequency of IFN- $\gamma$  producing, P15E-specific CD8<sup>+</sup> T cells was quantified by ELISpot assay on day 14.

**[0045]** FIG. 8A-8D are a series of graphs showing that radiation and anti-PD-L1/TGF $\beta$  trap promotes tumor-infiltrating CD8<sup>+</sup> T cells and NK cells (TI14-013). FIG. 8A. Tumor-infiltrating CD8<sup>+</sup> TILS. FIG. 8B. Tumor-infiltrating NK1.1<sup>+</sup> TILS. FIG. 8C. CD8<sup>+</sup> TIL EOMES Expression. FIG. 8D. CD8<sup>+</sup> TIL Degranulation.

**[0046]** FIG. 9A is a schematic diagram demonstrating the administration of radiation in a mouse carrying a primary and secondary tumor in order to test for an abscopal effect.

**[0047]** FIG. 9B is a line graph showing primary tumor volume in mice in the days since the start of treatment.

**[0048]** FIG. 9C is a line graph showing secondary tumor volume (mm<sup>3</sup>) in mice in the days since the start of treatment. (● = Isotype control 400  $\mu$ g; ◆ = Anti-PDL1-TGF $\beta$  Trap  $\mu$ g; ■ = Radiation 500 rads; ▼ = Radiation + Anti-PDL1-TGF $\beta$  Trap).

## DETAILED DESCRIPTION

**[0049]** This invention relates generally to a combination therapy for the treatment of cancer, particularly to a combination of (i) a bifunctional molecule comprising a TGF $\beta$ RII or fragment

thereof capable of binding TGF $\beta$  and an antibody, or antigen binding fragment thereof, that binds to an immune checkpoint protein, such as Programmed Death Ligand 1 (PD-L1) and (ii) at least one additional anti-cancer therapeutic agent. Such anti-cancer therapeutic agents include, for example, radiation, chemotherapeutic agents, a biologic, and/or a vaccine. In certain  
5 embodiments of the invention, the combination therapy provides for a synergistic anti-cancer effect.

**[0050]** The combination therapy of the invention is particularly advantageous, since not only the anti-cancer effect is enhanced compared to the effect of each agent alone, but the dosage of the one or more agents in a combination therapy can be reduced as compared to monotherapy  
10 with each agent, while still achieving an overall anti-cancer effect. Due to the synergistic effect, the total amount of drugs administered to a patient can be advantageously reduced, thereby resulting in a decrease in side effects.

**[0051]** The combination therapy of the invention permits localized reduction in TGF $\beta$  in a tumor microenvironment by capturing the TGF $\beta$  using a soluble cytokine receptor (TGF $\beta$ RII) tethered to an antibody moiety targeting a cellular immune checkpoint receptor found on the  
15 exterior surface of certain tumor cells or immune cells. An example of an antibody moiety of the invention is to an immune checkpoint protein is anti-PD-L1. This bifunctional molecule, sometimes referred to in this document as an “antibody-cytokine trap,” is effective precisely because the anti-receptor antibody and cytokine trap are physically linked. The resulting  
20 advantage (over, for example, administration of the antibody and the receptor as separate molecules) is partly because cytokines function predominantly in the local environment through autocrine and paracrine functions. The antibody moiety directs the cytokine trap to the tumor microenvironment where it can be most effective, by neutralizing the local immunosuppressive autocrine or paracrine effects. Furthermore, in cases where the target of the antibody is  
25 internalized upon antibody binding, an effective mechanism for clearance of the cytokine/cytokine receptor complex is provided. Antibody-mediated target internalization has been shown for PD-L1. This is a distinct advantage over using an anti-TGF $\beta$  antibody because first, an anti-TGF $\beta$  antibody might not be completely neutralizing; and second, the antibody can act as a carrier extending the half-life of the cytokine, and antibody/cytokine complexes often act  
30 as a circulating sink that builds up and ultimately dissociates to release the cytokine back in circulation (Montero-Julian *et al.*, Blood. 1995; 85:917-24). The use of a cytokine trap to neutralize the ligand can also be a better strategy than blockading the receptor with an antibody, as in the case of CSF-1. Because CSF-1 is cleared from the circulation by receptor-mediated

endocytosis, an anti-CSF-1 receptor antibody blockade caused a significant increase in circulating CSF-1 concentration (Hume *et al.*, Blood. 2012;119:1810-20)

**[0052]** As described below, treatment with the anti-PD-L1/TGF $\beta$  Trap, in combination with at least one additional anti-cancer therapeutic, elicits a synergistic anti-tumor effect due to the simultaneous blockade of the interaction between PD-L1 on tumor cells and PD-1 on immune cells, the neutralization of TGF $\beta$  in the tumor microenvironment, and the therapeutic effect of the anti-cancer agent. Without being bound by theory, this presumably is due to a synergistic effect obtained from simultaneous blocking the two major immune escape mechanisms, and in addition, the targeted depletion of the TGF $\beta$  in the tumor microenvironment by a single molecular entity, as well as the anti-tumor effect of the additional anti-cancer agent(s). This depletion is achieved by (1) anti-PD-L1 targeting of tumor cells; (2) binding of the TGF $\beta$  autocrine/paracrine in the tumor microenvironment by the TGF $\beta$  Trap; and (3) destruction of the bound TGF $\beta$  through the PD-L1 receptor-mediated endocytosis. The aforementioned mechanisms of action cannot be achieved by the combination therapy of the single agent anti-PD-L1, a TGF $\beta$  Trap and additional anti-cancer therapeutics. Furthermore, the TGF $\beta$ RII fused to the C-terminus of Fc (fragment of crystallization of IgG) was several-fold more potent than the TGF $\beta$ RII-Fc that places the TGF $\beta$ RII at the N-terminus of Fc. The superb efficacy obtained with anti-PDL1/TGF $\beta$  Trap also allays some concerns that the TGF $\beta$ RII does not trap TGF $\beta$ 2. As pointed out by Yang *et al.*, Trends Immunol. 2010; 31:220–227, although some tumor types do secrete TGF $\beta$ 2 initially, as the tumor progresses, the TGF $\beta$  in the tumor microenvironment is predominantly secreted by myeloid-derived suppressor cells, which secrete TGF $\beta$ 1. In addition to showing great promise as an effective immuno-oncology therapeutic, treatment with soluble TGF $\beta$ RII can potentially reduce the cardiotoxicity concerns of TGF $\beta$  targeting therapies, especially the TGF $\beta$ RI kinase inhibitors. This is because of the important roles TGF $\beta$ 2 plays in embryonic development of the heart as well as in repair of myocardial damage after ischemia and reperfusion injury (Roberts *et al.*, J Clin Invest. 1992; 90:2056-62).

### **TGF $\beta$ as a cancer target**

**[0053]** TGF $\beta$  had been a somewhat questionable target in cancer immunotherapy because of its paradoxical roles as the molecular Jekyll and Hyde of cancer (Bierie *et al.*, Nat Rev Cancer. 2006; 6:506-20). Like some other cytokines, TGF $\beta$  activity is developmental stage and context dependent. Indeed TGF $\beta$  can act as either a tumor promoter or a tumor suppressor, affecting tumor initiation, progression and metastasis. The mechanisms underlying this dual role of TGF $\beta$  remain unclear (Yang *et al.*, Trends Immunol. 2010; 31:220–227). Although it has been

postulated that Smad-dependent signaling mediates the growth inhibition of TGF $\beta$  signaling, while the Smad independent pathways contribute to its tumor-promoting effect, there are also data showing that the Smad-dependent pathways are involved in tumor progression (Yang *et al.*, Cancer Res. 2008; 68:9107-11).

- 5   **[0054]**     Both the TGF $\beta$  ligand and the receptor have been studied intensively as therapeutic targets. There are three ligand isoforms, TGF $\beta$ 1, 2 and 3, all of which exist as homodimers. There are also three TGF $\beta$  receptors (TGF $\beta$ R), which are called TGF $\beta$ R type I, II and III (López-Casillas *et al.*, J Cell Biol. 1994; 124:557-68). TGF $\beta$ RI is the signaling chain and cannot bind ligand. TGF $\beta$ RII binds the ligand TGF $\beta$ 1 and 3, but not TGF $\beta$ 2, with high affinity. The
- 10   TGF $\beta$ RII/TGF $\beta$  complex recruits TGF $\beta$ RI to form the signaling complex (Won *et al.*, Cancer Res. 1999; 59:1273-7). TGF $\beta$ RIII is a positive regulator of TGF $\beta$  binding to its signaling receptors and binds all 3 TGF $\beta$  isoforms with high affinity. On the cell surface, the TGF $\beta$ /TGF $\beta$ RIII complex binds TGF $\beta$ RII and then recruits TGF $\beta$ RI, which displaces TGF $\beta$ RIII to form the signaling complex.
- 15   **[0055]**     Although the three different TGF $\beta$  isoforms all signal through the same receptor, they are known to have differential expression patterns and non-overlapping functions *in vivo*. The three different TGF- $\beta$  isoform knockout mice have distinct phenotypes, indicating numerous non-compensated functions (Bujak *et al.*, Cardiovasc Res. 2007; 74:184-95). While TGF $\beta$ 1 null mice have hematopoiesis and vasculogenesis defects and TGF $\beta$ 3 null mice display pulmonary
- 20   development and defective palatogenesis, TGF $\beta$ 2 null mice show various developmental abnormalities, the most prominent being multiple cardiac deformities (Bartram *et al.*, Circulation. 2001; 103:2745-52; Yamagishi *et al.*, Anat Rec. 2012; 295:257-67). Furthermore, TGF $\beta$  is implicated to play a major role in the repair of myocardial damage after ischemia and reperfusion injury. In an adult heart, cardiomyocytes secrete TGF $\beta$ , which acts as an autocrine
- 25   to maintain the spontaneous beating rate. Importantly, 70-85% of the TGF $\beta$  secreted by cardiomyocytes is TGF $\beta$ 2 (Roberts *et al.*, J Clin Invest. 1992; 90:2056-62). In summary, given the predominant roles of TGF $\beta$ 1 and TGF $\beta$ 2 in the tumor microenvironment and cardiac physiology, respectively, a therapeutic agent that neutralizes TGF $\beta$ 1 but not TGF $\beta$ 2 could
- 30   provide an optimal therapeutic index by minimizing the cardiotoxicity without compromising the anti-tumor activity. This is consistent with the findings by the present inventors, who observed a lack of toxicity, including cardiotoxicity, for anti-PD-L1/TGF $\beta$  Trap in monkeys.
- [0056]**     Therapeutic approaches to neutralize TGF $\beta$  include using the extracellular domains of TGF $\beta$  receptors as soluble receptor traps and neutralizing antibodies. Of the receptor trap

approach, soluble TGF $\beta$ RIII may seem the obvious choice since it binds all the three TGF $\beta$  ligands. However, TGF $\beta$ RIII, which occurs naturally as a 280-330 kD glucosaminoglycan (GAG)-glycoprotein, with extracellular domain of 762 amino acid residues, is a very complex protein for biotherapeutic development. The soluble TGF $\beta$ RIII devoid of GAG could be produced in insect cells and shown to be a potent TGF $\beta$  neutralizing agent (Vilchis-Landeros et al, Biochem J 355:215, 2001). The two separate binding domains (the endoglin-related and the uromodulin-related) of TGF $\beta$ RIII could be independently expressed, but they were shown to have affinities 20 to 100 times lower than that of the soluble TGF $\beta$ RIII, and much diminished neutralizing activity (Mendoza *et al.*, Biochemistry. 2009; 48:11755-65). On the other hand, the extracellular domain of TGF $\beta$ RII is only 136 amino acid residues in length and can be produced as a glycosylated protein of 25-35 kD. The recombinant soluble TGF $\beta$ RII was further shown to bind TGF $\beta$ 1 with a  $K_D$  of 200 pM, which is fairly similar to the  $K_D$  of 50 pM for the full length TGF $\beta$ RII on cells (Lin *et al.*, J Biol Chem. 1995; 270:2747-54). Soluble TGF $\beta$ RII-Fc was tested as an anti-cancer agent and was shown to inhibit established murine malignant mesothelioma growth in a tumor model (Suzuki *et al.*, Clin Cancer Res. 2004; 10:5907-18). Since TGF $\beta$ RII does not bind TGF $\beta$ 2, and TGF $\beta$ RIII binds TGF $\beta$ 1 and 3 with lower affinity than TGF $\beta$ RII, a fusion protein of the endoglin domain of TGF $\beta$ RIII and extracellular domain of TGF $\beta$ RII was produced in bacteria and was shown to inhibit the signaling of TGF $\beta$ 1 and 2 in cell based assays more effectively than either TGF $\beta$ RII or RIII (Verona *et al.*, Protein Eng Des Sel. 2008; 21:463-73). Despite some encouraging anti-tumor activities in tumor models, to our knowledge no TGF $\beta$  receptor trap recombinant proteins have been tested in the clinic.

**[0057]** Still another approach to neutralize all three isoforms of the TGF $\beta$  ligands is to screen for a pan-neutralizing anti-TGF $\beta$  antibody, or an anti-receptor antibody that blocks the receptor from binding to TGF $\beta$ 1, 2 and 3. GC1008, a human antibody specific for all isoforms of TGF $\beta$ , was in a Phase I/II study in patients with advanced malignant melanoma or renal cell carcinoma (Morris *et al.*, J Clin Oncol 2008; 26:9028 (Meeting abstract)). Although the treatment was found to be safe and well tolerated, only limited clinical efficacy was observed, and hence it was difficult to interpret the importance of anti-TGF $\beta$  therapy without further characterization of the immunological effects (Flavell *et al.*, Nat Rev Immunol. 2010; 10:554-67). There were also TGF $\beta$ -isoform-specific antibodies tested in the clinic. Metelimumab, an antibody specific for TGF $\beta$ 1 was tested in Phase 2 clinical trial as a treatment to prevent excessive post-operative scarring for glaucoma surgery; and Lerdelimumab, an antibody specific for TGF $\beta$ 2, was found to be safe but ineffective at improving scarring after eye surgery in a Phase 3 study (Khaw *et al.*,

Ophthalmology 2007; 114:1822–1830). Anti-TGF $\beta$ RII antibodies that block the receptor from binding to all three TGF $\beta$  isoforms, such as the anti-human TGF $\beta$ RII antibody TR1 and anti-mouse TGF $\beta$ RII antibody MT1, have also shown some therapeutic efficacy against primary tumor growth and metastasis in mouse models (Zhong *et al.*, Clin Cancer Res. 2010; 16:1191-205). To date, the vast majority of the studies on TGF $\beta$  targeted anticancer treatment, including small molecule inhibitors of TGF $\beta$  signaling that often are quite toxic, are mostly in the preclinical stage and the anti-tumor efficacy obtained has been limited (Calone *et al.*, Exp Oncol. 2012; 34:9-16; Connolly *et al.*, Int J Biol Sci. 2012; 8:964-78).

**[0058]** The antibody-TGF $\beta$  trap of the invention, for use in the combination therapy of the invention, is a bifunctional protein containing at a least portion of a human TGF $\beta$  Receptor II (TGF $\beta$ RII) that is capable of binding TGF $\beta$ . In one embodiment, the TGF $\beta$  trap polypeptide is a soluble portion of the human TGF $\beta$  Receptor Type 2 Isoform A (SEQ ID NO: 8) that is capable of binding TGF $\beta$ . In a further embodiment, TGF $\beta$  trap polypeptide contains at least amino acids 73-184 of SEQ ID NO:8. In yet a further embodiment, the TGF $\beta$  trap polypeptide contains amino acids 24-184 of SEQ ID NO:8. In another embodiment, the TGF $\beta$  trap polypeptide is a soluble portion of the human TGF $\beta$  Receptor Type 2 Isoform B (SEQ ID NO: 9) that is capable of binding TGF $\beta$ . In a further embodiment, TGF $\beta$  trap polypeptide contains at least amino acids 48-159 of SEQ ID NO:9. In yet a further embodiment, the TGF $\beta$  trap polypeptide contains amino acids 24-159 of SEQ ID NO:9. In yet a further embodiment, the TGF $\beta$  trap polypeptide contains amino acids 24-105 of SEQ ID NO:9.

### **Immune Checkpoint Dis-inhibition**

**[0059]** The approach of targeting T cell inhibition checkpoints for dis-inhibition with therapeutic antibodies is an area of intense investigation (for a review, see Pardoll, Nat Rev Cancer. 2012; 12:253-264). In one approach, the antibody moiety or antigen binding fragment thereof targets T cell inhibition checkpoint receptor proteins on the T cell, such as, for example: CTLA-4, PD-1, BTLA, LAG-3, TIM-3, and LAIR1. In another approach, the antibody moiety targets the counter-receptors on antigen presenting cells and tumor cells (which co-opt some of these counter-receptors for their own immune evasion), such as, for example: PD-L1 (B7-H1), B7-DC, HVEM, TIM-4, B7-H3, or B7-H4.

**[0060]** The invention contemplates the use of antibody TGF $\beta$  traps that target, through their antibody moiety or antigen binding fragment thereof, T cell inhibition checkpoints for dis-inhibition. To that end the present inventors have tested the anti-tumor efficacy of combining a TGF $\beta$  trap with antibodies targeting various T cell inhibition checkpoint receptor proteins, such

as anti-PD-1, anti-PD-L1, anti-TIM-3 and anti-LAG3. The present inventors found that combining a TGF $\beta$  trap with an anti-PD-L1 antibody exhibited remarkable anti-tumor activity beyond what was observed with the monotherapies. In contrast, none of the other combinations with antibodies to the targets listed above showed any superior efficacy. In particular, one may have expected that a combination treatment of a TGF $\beta$  trap with an anti-PD-1 antibody would demonstrate similar activity to the one observed with anti-PD-L1, as PD-1 / PD-L1 are cognate receptors that bind to each other to effect the immune checkpoint inhibition. However, this is not what the present inventors have found.

### **Anti-PD-L1 Antibodies**

**[0061]** The invention can include the use of any anti-PD-L1 antibody, or antigen-binding fragment thereof, described in the art. Anti-PD-L1 antibodies are commercially available, for example, the 29E2A3 antibody (Biolegend, Cat. No. 329701). Antibodies can be monoclonal, chimeric, humanized, or human. Antibody fragments include Fab, F(ab')<sub>2</sub>, scFv and Fv fragments, which are described in further detail below.

**[0062]** Exemplary antibodies are described in PCT Publication WO 2013/079174. These antibodies can include a heavy chain variable region polypeptide including an HVR-H1, HVR-H2, and HVR-H3 sequence, where:

(a) the HVR-H1 sequence is X<sub>1</sub>YX<sub>2</sub>MX<sub>3</sub>;

(b) the HVR-H2 sequence is SIYPSGGX<sub>4</sub>TFYADX<sub>5</sub>VKG;

(c) the HVR-H3 sequence is IKLGTVTTVX<sub>6</sub>Y;

further where: X<sub>1</sub> is K, R, T, Q, G, A, W, M, I, or S; X<sub>2</sub> is V, R, K, L, M, or I; X<sub>3</sub> is H, T, N, Q, A, V, Y, W, F, or M; X<sub>4</sub> is F or I; X<sub>5</sub> is S or T; X<sub>6</sub> is E or D.

**[0063]** In a one embodiment, X<sub>1</sub> is M, I, or S; X<sub>2</sub> is R, K, L, M, or I; X<sub>3</sub> is F or M; X<sub>4</sub> is F or I; X<sub>5</sub> is S or T; X<sub>6</sub> is E or D.

**[0064]** In another embodiment X<sub>1</sub> is M, I, or S; X<sub>2</sub> is L, M, or I; X<sub>3</sub> is F or M; X<sub>4</sub> is I; X<sub>5</sub> is S or T; X<sub>6</sub> is D.

**[0065]** In still another embodiment, X<sub>1</sub> is S; X<sub>2</sub> is I; X<sub>3</sub> is M; X<sub>4</sub> is I; X<sub>5</sub> is T; X<sub>6</sub> is D.

**[0066]** In another aspect, the polypeptide further includes variable region heavy chain framework sequences juxtaposed between the HVRs according to the formula: (HC-FR1)-(HVR-H1)-(HC-FR2)-(HVR-H2)-(HC-FR3)-(HVR-H3)-(HC-FR4).

**[0067]** In yet another aspect, the framework sequences are derived from human consensus framework sequences or human germline framework sequences.

**[0068]** In a still further aspect, at least one of the framework sequences is the following:



HC-FR1 is EVQLLESGGGLVQPGGSLRLSCAASGFTFS;

HC-FR2 is WVRQAPGKGLEWVS;

HC-FR3 is RFTISRDN SKNTLYLQMNSLRAEDTAVYYCAR;

HC-FR4 is WGQGTLLVTVSS.

5 **[0069]** In a still further aspect, the heavy chain polypeptide is further combined with a variable region light chain including an HVR-L1, HVR-L2, and HVR-L3, where:

(a) the HVR-L1 sequence is TGTX<sub>7</sub>X<sub>8</sub>DVGX<sub>9</sub>YNYVS;

(b) the HVR-L2 sequence is X<sub>10</sub>VX<sub>11</sub>X<sub>12</sub>RPS;

(c) the HVR-L3 sequence is SSX<sub>13</sub>TX<sub>14</sub>X<sub>15</sub>X<sub>16</sub>X<sub>17</sub>RV;

10 further where: X<sub>7</sub> is N or S; X<sub>8</sub> is T, R, or S; X<sub>9</sub> is A or G; X<sub>10</sub> is E or D; X<sub>11</sub> is I, N or S; X<sub>12</sub> is D, H or N; X<sub>13</sub> is F or Y; X<sub>14</sub> is N or S; X<sub>15</sub> is R, T or S; X<sub>16</sub> is G or S; X<sub>17</sub> is I or T.

**[0070]** In another embodiment, X<sub>7</sub> is N or S; X<sub>8</sub> is T, R, or S; X<sub>9</sub> is A or G; X<sub>10</sub> is E or D; X<sub>11</sub> is N or S; X<sub>12</sub> is N; X<sub>13</sub> is F or Y; X<sub>14</sub> is S; X<sub>15</sub> is S; X<sub>16</sub> is G or S; X<sub>17</sub> is T.

**[0071]** In still another embodiment, X<sub>7</sub> is S; X<sub>8</sub> is S; X<sub>9</sub> is G; X<sub>10</sub> is D; X<sub>11</sub> is S; X<sub>12</sub> is N; X<sub>13</sub> is Y; X<sub>14</sub> is S; X<sub>15</sub> is S; X<sub>16</sub> is S; X<sub>17</sub> is T.

**[0072]** In a still further aspect, the light chain further includes variable region light chain framework sequences juxtaposed between the HVRS according to the formula: (LC-FR1)-(HVR-L1)-(LC-FR2)-(HVR-L2)-(LC-FR3)-(HVR-L3)-(LC-FR4).

**[0073]** In a still further aspect, the light chain framework sequences are derived from human consensus framework sequences or human germline framework sequences.

**[0074]** In a still further aspect, the light chain framework sequences are lambda light chain sequences.

**[0075]** In a still further aspect, at least one of the framework sequence is the following:

LC-FR1 is QSALTQPASVSGSPGQSITISC;

25 LC-FR2 is WYQQHPGKAPKLMY;

LC-FR3 is GVSNRFSKSGNTASLTISGLQAEDEADYYC;

LC-FR4 is FGTGTKVTVL.

**[0076]** In another embodiment, the invention provides an anti-PD-L1 antibody or antigen binding fragment including a heavy chain and a light chain variable region sequence, where:

30 (a) the heavy chain includes an HVR-H1, HVR-H2, and HVR-H3, wherein further: (i) the HVR-H1 sequence is X<sub>1</sub>YX<sub>2</sub>MX<sub>3</sub>; (ii) the HVR-H2 sequence is SIYPSGGX<sub>4</sub>TFYADX<sub>5</sub>VKG; (iii) the HVR-H3 sequence is IKLGTVTTVX<sub>6</sub>Y, and;

(b) the light chain includes an HVR-L1, HVR-L2, and HVR-L3, wherein further: (iv) the HVR-L1 sequence is TGT<sub>7</sub>X<sub>8</sub>DVGX<sub>9</sub>YNYVS; (v) the HVR-L2 sequence is X<sub>10</sub>VX<sub>11</sub>X<sub>12</sub>RPS; (vi) the HVR-L3 sequence is SSX<sub>13</sub>TX<sub>14</sub>X<sub>15</sub>X<sub>16</sub>X<sub>17</sub>RV; wherein: X<sub>1</sub> is K, R, T, Q, G, A, W, M, I, or S; X<sub>2</sub> is V, R, K, L, M, or I; X<sub>3</sub> is H, T, N, Q, A, V, Y, W, F, or M; X<sub>4</sub> is F or I; X<sub>5</sub> is S or T; X<sub>6</sub> is E or D; X<sub>7</sub> is N or S; X<sub>8</sub> is T, R, or S; X<sub>9</sub> is A or G; X<sub>10</sub> is E or D; X<sub>11</sub> is I, N, or S; X<sub>12</sub> is D, H, or N; X<sub>13</sub> is F or Y; X<sub>14</sub> is N or S; X<sub>15</sub> is R, T, or S; X<sub>16</sub> is G or S; X<sub>17</sub> is I or T.

**[0077]** In one embodiment, X<sub>1</sub> is M, I, or S; X<sub>2</sub> is R, K, L, M, or I; X<sub>3</sub> is F or M; X<sub>4</sub> is F or I; X<sub>5</sub> is S or T; X<sub>6</sub> is E or D; X<sub>7</sub> is N or S; X<sub>8</sub> is T, R, or S; X<sub>9</sub> is A or G; X<sub>10</sub> is E or D; X<sub>11</sub> is N or S; X<sub>12</sub> is N; X<sub>13</sub> is F or Y; X<sub>14</sub> is S; X<sub>15</sub> is S; X<sub>16</sub> is G or S; X<sub>17</sub> is T.

**[0078]** In another embodiment, X<sub>1</sub> is M, I, or S; X<sub>2</sub> is L, M, or I; X<sub>3</sub> is F or M; X<sub>4</sub> is I; X<sub>5</sub> is S or T; X<sub>6</sub> is D; X<sub>7</sub> is N or S; X<sub>8</sub> is T, R, or S; X<sub>9</sub> is A or G; X<sub>10</sub> is E or D; X<sub>11</sub> is N or S; X<sub>12</sub> is N; X<sub>13</sub> is F or Y; X<sub>14</sub> is S; X<sub>15</sub> is S; X<sub>16</sub> is G or S; X<sub>17</sub> is T.

**[0079]** In still another embodiment, X<sub>1</sub> is S; X<sub>2</sub> is I; X<sub>3</sub> is M; X<sub>4</sub> is I; X<sub>5</sub> is T; X<sub>6</sub> is D; X<sub>7</sub> is S; X<sub>8</sub> is S; X<sub>9</sub> is G; X<sub>10</sub> is D; X<sub>11</sub> is S; X<sub>12</sub> is N; X<sub>13</sub> is Y; X<sub>14</sub> is S; X<sub>15</sub> is S; X<sub>16</sub> is S; X<sub>17</sub> is T.

**[0080]** In a further aspect, the heavy chain variable region includes one or more framework sequences juxtaposed between the HVRs as: (HC-FR1)-(HVR-H1)-(HC-FR2)-(HVR-H2)-(HC-FR3)-(HVR-H3)-(HC-FR4), and the light chain variable regions include one or more framework sequences juxtaposed between the HVRs as: (LC-FR1 MHVR-L1)-(LC-FR2)-(HVR-L2)-(LC-FR3)-(HVR-L3)-(LC-FR4).

**[0081]** In a still further aspect, the framework sequences are derived from human consensus framework sequences or human germline sequences.

**[0082]** In a still further aspect, one or more of the heavy chain framework sequences is the following:

HC-FR1 is EVQLLES<sup>GGGLVQPGGSLRL</sup>SCAASGFTFS;

HC-FR2 is WVRQAPGK<sup>GLEWVS</sup>;

HC-FR3 is RFTISRDN<sup>SKNTLYLQMNS</sup>LRAEDTAVYYCAR;

HC-FR4 is WGQGT<sup>LVTVSS</sup>.

**[0083]** In a still further aspect, the light chain framework sequences are lambda light chain sequences.

**[0084]** In a still further aspect, one or more of the light chain framework sequences is the following:

LC-FR1 is QSALTQPASV<sup>SGSPGQSITISC</sup>;

LC-FR2 is WYQQH<sup>PGKAPKLM</sup>IY;

LC-FR3 is GVSNRFSGSKSGNTASLTISGLQAEDEADYYC;

LC-FR4 is FGTGTKVTVL.

**[0085]** In a still further aspect, the heavy chain variable region polypeptide, antibody, or antibody fragment further includes at least a C<sub>H</sub>1 domain.

5 **[0086]** In a more specific aspect, the heavy chain variable region polypeptide, antibody, or antibody fragment further includes a C<sub>H</sub>1, a C<sub>H</sub>2, and a C<sub>H</sub>3 domain.

**[0087]** In a still further aspect, the variable region light chain, antibody, or antibody fragment further includes a C<sub>L</sub> domain.

10 **[0088]** In a still further aspect, the antibody further includes a C<sub>H</sub>1, a C<sub>H</sub>2, a C<sub>H</sub>3, and a C<sub>L</sub> domain.

**[0089]** In a still further specific aspect, the antibody further includes a human or murine constant region.

**[0090]** In a still further aspect, the human constant region is selected from the group consisting of IgG1, IgG2, IgG2, IgG3, and IgG4.

15 **[0091]** In a still further specific aspect, the human or murine constant region is IgG1.

**[0092]** In yet another embodiment, the invention features an anti-PD-L1 antibody including a heavy chain and a light chain variable region sequence, where:

20 (a) the heavy chain includes an HVR-H1, an HVR-H2, and an HVR-H3, having at least 80% overall sequence identity to SYIMM, SIYPSGGITFYADTVKG, and IKLGTVTTVDY, respectively, and

(b) the light chain includes an HVR-L1, an HVR-L2, and an HVR-L3, having at least 80% overall sequence identity to TGTSSDVGGYNYVS, DVSNRPS, and SSYTSSSTRV, respectively.

25 **[0093]** In a specific aspect, the sequence identity is 81%, 82%, 83%, 84%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

**[0094]** In yet another embodiment, the invention features an anti-PD-L1 antibody including a heavy chain and a light chain variable region sequence, where:

30 (a) the heavy chain includes an HVR-H1, an HVR-H2, and an HVR-H3, having at least 80% overall sequence identity to MYMMM, SIYPSGGITFYADSVKG, and IKLGTVTTVDY, respectively, and

(b) the light chain includes an HVR-L1, an HVR-L2, and an HVR-L3, having at least 80% overall sequence identity to TGTSSDVGAYNYVS, DVSNRPS, and SSYTSSSTRV, respectively.

[0095] In a specific aspect, the sequence identity is 81%, 82%, 83%, 84%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

[0096] In a still further aspect, in the antibody or antibody fragment according to the invention, as compared to the sequences of HVR-H1, HVR-H2, and HVR-H3, at least those amino acids remain unchanged that are highlighted by underlining as follows:

- (a) in HVR-H1 SYIMM,
- (b) in HVR-H2 SIYPSGGITFYADTVKG,
- (c) in HVR-H3 IKLGTVTTVDY;

and further where, as compared to the sequences of HVR-L1, HVR-L2, and HVR-L3 at least those amino acids remain unchanged that are highlighted by underlining as follows:

- (a) HVR-L1 TGTSSDVGGYNYVS
- (b) HVR-L2 DVSNRPS
- (c) HVR-L3 SSYTSSSTRV.

[0097] In another aspect, the heavy chain variable region includes one or more framework sequences juxtaposed between the HVRs as: (HC-FR1)-(HVR-H1)-(HC-FR2)-(HVR-H2)-(HC-FR3)-(HVR-H3)-(HC-FR4), and the light chain variable regions include one or more framework sequences juxtaposed between the HVRs as: (LC-FR1)-(HVR-L1)-(LC-FR2)-(HVR-L2)-(LC-FR3)-(HVR-L3)-(LC-FR4).

[0098] In yet another aspect, the framework sequences are derived from human germline sequences.

[0099] In a still further aspect, one or more of the heavy chain framework sequences is the following:

HC-FR1 is EVQLLES<sup>1</sup>GGGLVQPGGSLRLSCAASGFTFS;

HC-FR2 is WVRQAPGKGLEWVS;

HC-FR3 is RFTISRDN<sup>1</sup>SKNTLYLQMNSLRAEDTAVYYCAR;

HC-FR4 is WGQGT<sup>1</sup>LVTVSS.

[00100] In a still further aspect, the light chain framework sequences are derived from a lambda light chain sequence.

[00101] In a still further aspect, one or more of the light chain framework sequences is the following:

LC-FR1 is QSALTQPASVSGSPGQSITISC;

LC-FR2 is WYQQHPGKAPKLM<sup>1</sup>IY;

LC-FR3 is GVS<sup>1</sup>NRFSGSKSGNTASLTISGLQAEDEADYYC;

LC-FR4 is FGTGTKVTVL.

**[00102]** In a still further specific aspect, the antibody further includes a human or murine constant region.

**[00103]** In a still further aspect, the human constant region is selected from the group consisting of IgG1, IgG2, IgG2, IgG3, and IgG4.

**[00104]** In a still further embodiment, the invention features an anti-PD-L1 antibody including a heavy chain and a light chain variable region sequence, where:

(a) the heavy chain sequence has at least 85% sequence identity to the heavy chain sequence:

EVQLLES GGGLVQPGGSLRLSCAASGFTFSSYIMMVWRQAPGKGLEWVSSIYPSSGGITF  
YADWKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCARIKLGT VTTVDYWGQGTLVT  
VSS, and

(b) the light chain sequence has at least 85% sequence identity to the light chain sequence:

QSALTQPASVSGSPGQSITISCTGTSSDVGGYNYVSWYQQHPGKAPKLMYDVSNR  
RPSGVSNRFSGSKSGNTASLTISGLQAEDEADYYCSSYTSSSTRVFGTGTKVTVL.

**[00105]** In a specific aspect, the sequence identity is 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

**[00106]** In a still further embodiment, the invention provides for an anti-PD-L1 antibody including a heavy chain and a light chain variable region sequence, where:

(a) the heavy chain sequence has at least 85% sequence identity to the heavy chain sequence:

EVQLLES GGGLVQPGGSLRLSCAASGFTFSMYMMMWRQAPGKGLEWVSSIYPSSGGIT  
FYADSVKGRFTISRDN SKNTLYLQMNSLRAEDTAIYYCARIKLGT VTTVDYWG

QGTLVTVSS, and

(b) the light chain sequence has at least 85% sequence identity to the light chain sequence:

QSALTQPASVSGSPGQSITISCTGTSSDVGAYNYVSWYQQHPGKAPKLMYDVSNR  
PSGVSNRFSGSKSGNTASLTISGLQAEDEADYYCSSYTSSSTRVFGTGTKVTVL.

**[00107]** In a specific aspect, the sequence identity is 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100%. In another embodiment the antibody binds to human, mouse, or cynomolgus monkey PD-L1. In a specific aspect the antibody is capable of

blocking the interaction between human, mouse, or cynomolgus monkey PD-L1 and the respective human, mouse, or cynomolgus monkey PD-1 receptors.

**[00108]** In another embodiment, the antibody binds to human PD-L1 with a  $K_D$  of  $5 \times 10^{-9}$  M or less, preferably with a  $K_D$  of  $2 \times 10^{-9}$  M or less, and even more preferred with a  $K_D$  of  $1 \times 10^{-9}$  M or less.

**[00109]** In yet another embodiment, the invention relates to an anti-PD-L1 antibody or antigen binding fragment thereof which binds to a functional epitope including residues Y56 and D61 of human PD-L1.

**[00110]** In a specific aspect, the functional epitope further includes E58, E60, Q66, R113, and M115 of human PD-L1.

**[00111]** In a more specific aspect, the antibody binds to a conformational epitope, including residues 54-66 and 112-122 of human PD-L1.

**[00112]** In a further embodiment, the invention is related to the use of an anti-PD-L1 antibody, or antigen binding fragment thereof, which cross-competes for binding to PD-L1 with an antibody according to the invention as described herein.

**[00113]** In a still further embodiment, the invention features proteins and polypeptides including any of the above described anti-PD-L1 antibodies in combination with at least one pharmaceutically acceptable carrier for use in the combination therapy of the invention.

**[00114]** In a still further embodiment, the invention features the use of an isolated nucleic acid encoding a polypeptide, or light chain or a heavy chain variable region sequence of an anti-PD-L1 antibody, or antigen binding fragment thereof, as described herein. In a still further embodiment, the invention provides for an isolated nucleic acid encoding a light chain or a heavy chain variable region sequence of an anti-PD-L1 antibody, wherein:

(a) the heavy chain includes an HVR-H1, an HVR-H2, and an HVR-H3 sequence having at least 80% sequence identity to SYIMM, SIYPSGGITFYADTVKG, and IKLGTVTVDY, respectively, or

(b) the light chain includes an HVR-L1, an HVR-L2, and an HVR-L3 sequence having at least 80% sequence identity to TGTSSDVGGYNYVS, DVSNRPS, and SSYTSSSTRV, respectively.

**[00115]** In a specific aspect, the sequence identity is 81%, 82%, 83%, 84%, 85%, 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%.

[00116] In a further aspect, the nucleic acid sequence for the heavy chain is:

atggagttgc ctgttaggct gttggtgctg atgttctgga ttcctgctag ctccagcgag	60
gtgcagctgc tggaaatccgg cggaggactg gtgcagcctg gcggctccct gagactgtct	120
tgccgccgct cgggcttcac cttctccagc tacatcatga tgtgggtgcg acaggccctt	180
ggcaagggcc tggaaatgggt gtcctccatc taccctccg gcggcatcac cttctacgcc	240
gacaccgtga agggccggtt caccatctcc cgggacaact ccaagaacac cctgtacctg	300
cagatgaact ccctgcgggc cgaggacacc gccgtgtact actgcgcccg gatcaagctg	360
ggcaccgtga ccaccgtgga ctactggggc cagggcacc cttgtgacagt gtcctccgcc	420
tccaccaagg gcccatcgggt cttccccctg gcaccctctt ccaagagcac ctctgggggc	480
acagcggccc tgggctgcct ggtcaaggac tacttccccg aaccggtgac ggtgtcgtgg	540
aactcaggcg ccctgaccag cggcgtgcac accttccccg ctgtcctaca gtcctcagga	600
ctctactccc tcagcagcgt ggtgaccgtg ccctccagca gcttgggcac ccagacctac	660
atctgcaacg tgaatcaca gcccagcaac accaagggtg acaagaaagt tgagcccaaa	720
tcttgtgaca aaactcacac atgccaccg tgcccagcac ctgaactcct ggggggaccg	780
tcagtcttcc tcttcccccc aaaaccaag gacaccctca tgatctccc gaccctgag	840
gtcacatgcg tgggtggtgga cgtgagccac gaagaccctg aggtcaagtt caactggtac	900
gtggacggcg tggaggtgca taatgccaag acaaagccgc gggaggagca gtacaacagc	960
acgtaccgtg tggtcagcgt cctcaccgtc ctgcaccagg actggctgaa tggcaaggag	1020
tacaagtgca aggtctccaa caaagccctc ccagcccca tcgagaaaac catctccaaa	1080
gccaagggc agccccgaga accacagggtg tacaccctgc ccccatcac ggatgagctg	1140
accaagaacc aggtcagcct gacctgctg gtcaaaggct tctatcccag cgacatcgcc	1200
gtggagtggg agagcaatgg gcagccggag aacaactaca agaccacgcc tcccgtgctg	1260
gactccgacg gctccttctt cctctatagc aagctcaccg tggacaagag caggtggcag	1320
caggggaacg tcttctcatg ctccgtgatg catgaggctc tgcacaacca ctacacgcag	1380
aagagcctct ccctgtcccc gggtaaa	1407

and the nucleic acid sequence for the light chain is:

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atggagttgc ctgttaggct gttggtgctg atgttctgga ttctgcttc cttaagccag      60
tccgccctga cccagcctgc ctccgtgtct ggctcccctg gccagtccat caccatcagc      120
tgcaccggca cctccagcga cgtgggcggc tacaactacg tgcctggta tcagcagcac      180
cccggcaagg cccccaagct gatgatctac gacgtgtcca accggccctc cggcgtgtcc      240
aacagattct cgggtccaa gtccggcaac accgcctccc tgaccatcag cggactgcag      300
gcagaggacg aggccgacta ctactgtctc tcctacacct cctccagcac cagagtgttc      360
ggcaccggca caaaagtgc cgtgctgggc cagcccaagg ccaaccaac cgtgacactg      420
ttcccccat cctccgagga actgcaggcc aacaaggcca ccctgggtctg cctgatctca      480
gatttctatc caggcgccgt gaccgtggcc tggaaggctg atggctcccc agtgaaggcc      540
ggcgtggaaa ccaccaagcc ctccaagcag tccaacaaca aatacgccgc ctctcctac      600
ctgtccctga cccccagca gtggaagtcc caccggtcct acagctgcca ggtcacacac      660
gagggtcca cgtggaaaa gaccgtcgcc cccaccgagt gctca                          705

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**[00117]** Further exemplary anti-PD-L1 antibodies that can be used in an anti-PD-L1/TGF $\beta$  Trap are described in US patent application publication US 2010/0203056. In one embodiment of the invention, the antibody moiety is YW243.55S70. In another embodiment of the invention, the antibody moiety is MPDL3280A.

**[00118]** In a further embodiment, the invention features the use of an anti-PD-L1 antibody moiety including a heavy chain and a light chain variable region sequence, where:

(a) the heavy chain sequence has at least 85% sequence identity to the heavy chain sequence:

EVQLVESGGGLVQPGGSLRLSCAASGFTFSDSWIHWVRQAPGKGLEWVAWISPYGGSTYY  
ADSVKGRFTISADTSKNTAYLQMNSLRAEDTAVYYCARRHWPGGFDYWGQGLTVTVSS (SEQ ID NO:12), and

(b) the light chain sequence has at least 85% sequence identity to the light chain sequence:

DIQMTQSPSSLSASVGDRVTITCRASQDVSTAVAWYQQKPGKAPKLLIYSASFLYSGVPSRFSG  
SGSGTDFTLTISSLQPEDFATYYCQQYLYHPATFGQGTKVEIKR (SEQ ID NO:13).

**[00119]** In a specific aspect, the sequence identity is 86%, 87%, 88%, 89%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%.

**[00120]** In a further embodiment, the invention features the use of an anti-PD-L1 antibody moiety including a heavy chain and a light chain variable region sequence, where:

(a) the heavy chain variable region sequence is:



EVQLVESGGGLVQPGGSLRLSCAASGFTFSDSWIHWRQAPGKGLEWVAWISPYGGSTYY  
ADSVKGRFTISADTSKNTAYLQMNSLRAEDTAVYYCARRHWPGGFDYWGQGTTLVTVSS (SEQ ID  
NO:12), and

(b) the light chain variable region sequence is:

5 DIQMTQSPSSLSASVGDRVTITCRASQDVSTAVAWYQQKPGKAPKLLIYSASFLYSGVPSRFSG  
SGSGTDFTLTISLQPEDFATYYCQQYLYHPATFGQGTKVEIKR (SEQ ID NO:13).

**[00121]** In a further embodiment, the invention features an anti-PD-L1 antibody moiety including a heavy chain and a light chain variable region sequence, where:

(a) the heavy chain variable region sequence is:

10 EVQLVESGGGLVQPGGSLRLSCAASGFTFSDSWIHWRQAPGKGLEWVAWISPYGGSTYYADSV  
KGRFTISADTSKNTAYLQMNSLRAEDTAVYYCARRHWPGGFDYWGQGTTLVTVSA (SEQ ID  
NO:14), and

(b) the light chain variable region sequence is:

DIQMTQSPSSLSASVGDRVTITCRASQDVSTAVAWYQQKPGKAPKLLIYSASFLYSGVPSRFSG  
15 SGSGTDFTLTISLQPEDFATYYCQQYLYHPATFGQGTKVEIKR (SEQ ID NO:13).

**[00122]** Yet further exemplary anti-PD-L1 antibodies that can be used in an anti-PD-L1/TGF $\beta$  Trap are described in US patent publication US 7,943,743.

**[00123]** In one embodiment of the invention, the anti-PD-L1 antibody is MDX-1105.

**[00124]** In yet a further embodiment, the anti-PD-L1 antibody is MEDI-4736.

## 20 **Constant region**

**[00125]** The proteins and peptides for use in the combination therapy of the invention can include a constant region of an immunoglobulin or a fragment, analog, variant, mutant, or derivative of the constant region. In preferred embodiments, the constant region is derived from a human immunoglobulin heavy chain, for example, IgG1, IgG2, IgG3, IgG4, or other classes.

25 In one embodiment, the constant region includes a CH2 domain. In another embodiment, the constant region includes CH2 and CH3 domains or includes hinge-CH2-CH3. Alternatively, the constant region can include all or a portion of the hinge region, the CH2 domain and/or the CH3 domain.

**[00126]** In one embodiment, the constant region contains a mutation that reduces affinity for  
30 an Fc receptor or reduces Fc effector function. For example, the constant region can contain a mutation that eliminates the glycosylation site within the constant region of an IgG heavy chain. In some embodiments, the constant region contains mutations, deletions, or insertions at an amino acid position corresponding to Leu234, Leu235, Gly236, Gly237, Asn297, or Pro331 of

IgG1 (amino acids are numbered according to EU nomenclature). In a particular embodiment, the constant region contains a mutation at an amino acid position corresponding to Asn297 of IgG1. In alternative embodiments, the constant region contains mutations, deletions, or insertions at an amino acid position corresponding to Leu281, Leu282, Gly283, Gly284, Asn344, or Pro378 of IgG1.

**[00127]** In some embodiments, the constant region contains a CH2 domain derived from a human IgG2 or IgG4 heavy chain. Preferably, the CH2 domain contains a mutation that eliminates the glycosylation site within the CH2 domain. In one embodiment, the mutation alters the asparagine within the Gln-Phe-Asn-Ser (SEQ ID NO: 15) amino acid sequence within the CH2 domain of the IgG2 or IgG4 heavy chain. Preferably, the mutation changes the asparagine to a glutamine. Alternatively, the mutation alters both the phenylalanine and the asparagine within the Gln-Phe-Asn-Ser (SEQ ID NO: 15) amino acid sequence. In one embodiment, the Gln-Phe-Asn-Ser (SEQ ID NO: 15) amino acid sequence is replaced with a Gln-Ala-Gln-Ser (SEQ ID NO: 16) amino acid sequence. The asparagine within the Gln-Phe-Asn-Ser (SEQ ID NO: 15) amino acid sequence corresponds to Asn297 of IgG1.

**[00128]** In another embodiment, the constant region includes a CH2 domain and at least a portion of a hinge region. The hinge region can be derived from an immunoglobulin heavy chain, e.g., IgG1, IgG2, IgG3, IgG4, or other classes. Preferably, the hinge region is derived from human IgG1, IgG2, IgG3, IgG4, or other suitable classes. More preferably the hinge region is derived from a human IgG1 heavy chain. In one embodiment the cysteine in the Pro-Lys-Ser-Cys-Asp-Lys (SEQ ID NO: 17) amino acid sequence of the IgG1 hinge region is altered. In a preferred embodiment the Pro-Lys-Ser-Cys-Asp-Lys (SEQ ID NO: 17) amino acid sequence is replaced with a Pro-Lys-Ser-Ser-Asp-Lys (SEQ ID NO: 18) amino acid sequence. In one embodiment, the constant region includes a CH2 domain derived from a first antibody isotype and a hinge region derived from a second antibody isotype. In a specific embodiment, the CH2 domain is derived from a human IgG2 or IgG4 heavy chain, while the hinge region is derived from an altered human IgG1 heavy chain.

**[00129]** The alteration of amino acids near the junction of the Fc portion and the non-Fc portion can dramatically increase the serum half-life of the Fc fusion protein (PCT publication WO 01/58957, the disclosure of which is hereby incorporated by reference). Accordingly, the junction region of a protein or polypeptide of the present invention can contain alterations that, relative to the naturally-occurring sequences of an immunoglobulin heavy chain and erythropoietin, preferably lie within about 10 amino acids of the junction point. These amino

acid changes can cause an increase in hydrophobicity. In one embodiment, the constant region is derived from an IgG sequence in which the C-terminal lysine residue is replaced. Preferably, the C-terminal lysine of an IgG sequence is replaced with a non-lysine amino acid, such as alanine or leucine, to further increase serum half-life. In another embodiment, the constant region is derived from an IgG sequence in which the Leu-Ser-Leu-Ser (SEQ ID NO: 19) amino acid sequence near the C-terminus of the constant region is altered to eliminate potential junctional T-cell epitopes. For example, in one embodiment, the Leu-Ser-Leu-Ser amino acid sequence is replaced with an Ala-Thr-Ala-Thr (SEQ ID NO: 20) amino acid sequence. In other embodiments, the amino acids within the Leu-Ser-Leu-Ser (SEQ ID NO: 19) segment are replaced with other amino acids such as glycine or proline. Detailed methods of generating amino acid substitutions of the Leu-Ser-Leu-Ser (SEQ ID NO: 19) segment near the C-terminus of an IgG1, IgG2, IgG3, IgG4, or other immunoglobulin class molecule have been described in U.S. Patent Publication No. 2003/0166877, the disclosure of which is hereby incorporated by reference.

**[00130]** Suitable hinge regions for the present invention can be derived from IgG1, IgG2, IgG3, IgG4, and other immunoglobulin classes. The IgG1 hinge region has three cysteines, two of which are involved in disulfide bonds between the two heavy chains of the immunoglobulin. These same cysteines permit efficient and consistent disulfide bonding formation between Fc portions. Therefore, a preferred hinge region of the present invention is derived from IgG1, more preferably from human IgG1. In some embodiments, the first cysteine within the human IgG1 hinge region is mutated to another amino acid, preferably serine. The IgG2 isotype hinge region has four disulfide bonds that tend to promote oligomerization and possibly incorrect disulfide bonding during secretion in recombinant systems. A suitable hinge region can be derived from an IgG2 hinge; the first two cysteines are each preferably mutated to another amino acid. The hinge region of IgG4 is known to form interchain disulfide bonds inefficiently. However, a suitable hinge region for the present invention can be derived from the IgG4 hinge region, preferably containing a mutation that enhances correct formation of disulfide bonds between heavy chain-derived moieties (Angal S, et al. (1993) Mol. Immunol., 30:105-8).

**[00131]** In accordance with the present invention, the constant region can contain CH2 and/or CH3 domains and a hinge region that are derived from different antibody isotypes, i.e., a hybrid constant region. For example, in one embodiment, the constant region contains CH2 and/or CH3 domains derived from IgG2 or IgG4 and a mutant hinge region derived from IgG1. Alternatively, a mutant hinge region from another IgG subclass is used in a hybrid constant

region. For example, a mutant form of the IgG4 hinge that allows efficient disulfide bonding between the two heavy chains can be used. A mutant hinge can also be derived from an IgG2 hinge in which the first two cysteines are each mutated to another amino acid. Assembly of such hybrid constant regions has been described in U.S. Patent Publication No. 2003/0044423, the disclosure of which is hereby incorporated by reference.

**[00132]** In accordance with the present invention, the constant region can contain one or more mutations described herein. The combinations of mutations in the Fc portion can have additive or synergistic effects on the prolonged serum half-life and increased in vivo potency of the bifunctional molecule. Thus, in one exemplary embodiment, the constant region can contain

(i) a region derived from an IgG sequence in which the Leu-Ser-Leu-Ser (SEQ ID NO: 19) amino acid sequence is replaced with an Ala-Thr-Ala-Thr (SEQ ID NO: 20) amino acid sequence; (ii) a C-terminal alanine residue instead of lysine; (iii) a CH2 domain and a hinge region that are derived from different antibody isotypes, for example, an IgG2 CH2 domain and an altered IgG1 hinge region; and (iv) a mutation that eliminates the glycosylation site within the IgG2-derived CH2 domain, for example, a Gln-Ala-Gln-Ser (SEQ ID NO: 16) amino acid sequence instead of the Gln-Phe-Asn-Ser (SEQ ID NO: 15) amino acid sequence within the IgG2-derived CH2 domain.

### **Antibody fragments**

**[00133]** The proteins and polypeptides of the invention for use in the combination therapy of the invention can also include antigen-binding fragments of antibodies. Exemplary antibody fragments include scFv, Fv, Fab, F(ab')<sub>2</sub>, and single domain VHH fragments such as those of camelid origin.

**[00134]** Single-chain antibody fragments, also known as single-chain antibodies (scFvs), are recombinant polypeptides which typically bind antigens or receptors; these fragments contain at least one fragment of an antibody variable heavy-chain amino acid sequence (V<sub>H</sub>) tethered to at least one fragment of an antibody variable light-chain sequence (V<sub>L</sub>) with or without one or more interconnecting linkers. Such a linker may be a short, flexible peptide selected to assure that the proper three-dimensional folding of the V<sub>L</sub> and V<sub>H</sub> domains occurs once they are linked so as to maintain the target molecule binding-specificity of the whole antibody from which the single-chain antibody fragment is derived. Generally, the carboxyl terminus of the V<sub>L</sub> or V<sub>H</sub> sequence is covalently linked by such a peptide linker to the amino acid terminus of a complementary V<sub>L</sub> and V<sub>H</sub> sequence. Single-chain antibody fragments can be generated by molecular cloning,

antibody phage display library or similar techniques. These proteins can be produced either in eukaryotic cells or prokaryotic cells, including bacteria.

[00135] Single-chain antibody fragments contain amino acid sequences having at least one of the variable regions or CDRs of the whole antibodies described in this specification, but are lacking some or all of the constant domains of those antibodies. These constant domains are not necessary for antigen binding, but constitute a major portion of the structure of whole antibodies. Single-chain antibody fragments may therefore overcome some of the problems associated with the use of antibodies containing part or all of a constant domain. For example, single-chain antibody fragments tend to be free of undesired interactions between biological molecules and the heavy-chain constant region, or other unwanted biological activity. Additionally, single-chain antibody fragments are considerably smaller than whole antibodies and may therefore have greater capillary permeability than whole antibodies, allowing single-chain antibody fragments to localize and bind to target antigen-binding sites more efficiently. Also, antibody fragments can be produced on a relatively large scale in prokaryotic cells, thus facilitating their production. Furthermore, the relatively small size of single-chain antibody fragments makes them less likely than whole antibodies to provoke an immune response in a recipient.

[00136] Fragments of antibodies that have the same or comparable binding characteristics to those of the whole antibody may also be present. Such fragments may contain one or both Fab fragments or the F(ab')<sub>2</sub> fragment. The antibody fragments may contain all six CDRs of the whole antibody, although fragments containing fewer than all of such regions, such as three, four or five CDRs, are also functional.

### **Protein production**

[00137] The antibody-cytokine trap proteins are generally produced recombinantly, using mammalian cells containing a nucleic acid engineered to express the protein. Although one example of a suitable cell line and protein production method is described in Examples 1 and 2, a wide variety of suitable vectors, cell lines and protein production methods have been used to produce antibody-based biopharmaceuticals and could be used in the synthesis of these antibody-cytokine trap proteins.

### **Therapeutic indications**

[00138] This invention relates to a combination therapy for the treatment of cancer, or reduction in tumor growth, particularly to a combination of (i) a bifunctional molecule comprising a TGFβRII or fragment thereof capable of binding TGFβ and an antibody, or antigen

binding fragment thereof, that binds to an immune checkpoint protein, such as Programmed Death Ligand 1 (PD-L1) and (ii) at least one additional anti-cancer therapeutic agent. The anti-cancer therapeutic agents include, for example, radiation, chemotherapeutic agents, biologics, or vaccines. In certain embodiments of the invention, the combination therapy provides for a synergistic anti-cancer effect.

**[00139]** Exemplary cancers include colorectal, breast, ovarian, pancreatic, gastric, prostate, renal, cervical, myeloma, lymphoma, leukemia, thyroid, endometrial, uterine, bladder, neuroendocrine, head and neck, liver, nasopharyngeal, testicular, small cell lung cancer, non-small cell lung cancer, melanoma, basal cell skin cancer, squamous cell skin cancer, dermatofibrosarcoma protuberans, Merkel cell carcinoma, glioblastoma, glioma, sarcoma, mesothelioma, and myelodysplastic syndromes.

**[00140]** The cancer or tumor to be treated with an anti-PD-L1/ TGF $\beta$  Trap, in combination with one or more additional anti-cancer therapeutic reagents, such as chemotherapy and/or radiation therapy, may be selected based on the expression or elevated expression of PD-L1 and TGF $\beta$  in the tumor, the correlation of their expression levels with prognosis or disease progression, and preclinical and clinical experience on the sensitivity of the tumor to treatments targeting PD-L1 and TGF $\beta$ . Such cancers or tumors include but are not limited to colorectal, breast, ovarian, pancreatic, gastric, prostate, renal, cervical, bladder, head and neck, liver, non-small cell lung cancer, melanoma, Merkel cell carcinoma, and mesothelioma.

## **Pharmaceutical compositions**

**[00141]** The present invention also features pharmaceutical compositions that contain a therapeutically effective amount of a protein described herein for use in the therapeutic methods of the invention. The composition can be formulated for use in a variety of drug delivery systems. One or more physiologically acceptable excipients or carriers can also be included in the composition for proper formulation. Suitable formulations for use in the present invention are found in Remington's Pharmaceutical Sciences, Mack Publishing Company, Philadelphia, Pa., 17th ed., 1985. For a brief review of methods for drug delivery, see, *e.g.*, Langer (Science 249:1527-1533, 1990).

**[00142]** The pharmaceutical compositions are intended for parenteral, intranasal, topical, oral, or local administration, such as by a transdermal means, for therapeutic treatment. The pharmaceutical compositions can be administered parenterally (*e.g.*, by intravenous, intramuscular, or subcutaneous injection), or by oral ingestion, or by topical application or intraarticular injection at areas affected by the vascular or cancer condition. Additional routes of

administration include intravascular, intra-arterial, intratumor, intraperitoneal, intraventricular, intraepidural, as well as nasal, ophthalmic, intrascleral, intraorbital, rectal, topical, or aerosol inhalation administration. Thus, the invention provides compositions for parenteral administration that comprise the above mention agents dissolved or suspended in an acceptable carrier, preferably an aqueous carrier, *e.g.*, water, buffered water, saline, PBS, and the like. The compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents, wetting agents, detergents and the like. The invention also provides compositions for oral delivery, which may contain inert ingredients such as binders or fillers for the formulation of a tablet, a capsule, and the like. Furthermore, this invention provides compositions for local administration, which may contain inert ingredients such as solvents or emulsifiers for the formulation of a cream, an ointment, and the like.

**[00143]** These compositions may be sterilized by conventional sterilization techniques, or may be sterile filtered. The resulting aqueous solutions may be packaged for use as-is, or lyophilized, the lyophilized preparation being combined with a sterile aqueous carrier prior to administration. The pH of the preparations typically will be between 3 and 11, more preferably between 5 and 9 or between 6 and 8, and most preferably between 7 and 8, such as 7 to 7.5. The resulting compositions in solid form may be packaged in multiple single dose units, each containing a fixed amount of the above-mentioned agent or agents, such as in a sealed package of tablets or capsules. The composition in solid form can also be packaged in a container for a flexible quantity, such as in a squeezable tube designed for a topically applicable cream or ointment.

### **Treatments**

**[00144]** Determining the dosage and duration of treatment according to any aspect of the present invention is well within the skills of a professional in the art. The skilled artisan is readily able to monitor patients to determine whether treatment should be started, continued, discontinued or resumed. The amount of the antibody-TGF $\beta$  trap, the anti-cancer therapeutic, or dosage of radiation, for carrying out the combination treatment methods of the invention will vary depending on factors such as the condition being treated, the overall health of the patient, and the method, route and dose of administration.

**[00145]** According to certain embodiments the antibody-TGF $\beta$  trap, and the at least one additional anti-cancer agent, is administered at a therapeutic amount known to be used for treating the specific type of cancer. According to other embodiments, due to the observed

synergistic effects associated with the combination therapy of the invention, the antibody- TGF $\beta$  trap, and the at least one additional anti-cancer agent can be administered in an amount that is lower than the therapeutic amount known to be used in monotherapies for treating the cancer.

**[00146]** The optimal dose of the antibody-TGF $\beta$  trap is based on the percent receptor occupancy by the antibody moiety to achieve maximal therapeutic effect because the cytokine trap is used in a large excess. For example, the therapeutic dose for a monoclonal antibody targeting a cellular receptor is determined such that the trough level is around 10 to 100  $\mu$ g/ml, *i.e.*, 60 to 600 nM (for antibody with a dissociation constant ( $K_D$ ) of 6 nM, this trough level would ensure that between 90 to 99% of the target receptors on the cells are occupied by the antibody). This is in large excess of cytokines, which are typically present in pg to ng/ml in circulation.

**[00147]** The optimal dose of antibody-TGF $\beta$  trap polypeptide for use in the therapeutic methods of the invention will depend on the disease being treated, the severity of the disease, and the existence of side effects. The optimal dose can be determined by routine experimentation. For parenteral administration a dose between 0.1 mg/kg and 100 mg/kg, alternatively between 0.5 mg/kg and 50 mg/kg, alternatively, between 1 mg/kg and 25 mg/kg, alternatively, between 10 mg/kg and 25 mg/kg, alternatively, between 5 mg/kg and 20 mg/kg, alternatively between 2 mg/kg and 10 mg/kg, alternatively, between 5 mg/kg and 10 mg/kg, is administered and may be given, for example, once weekly, once every other week, once every third week, or once monthly per treatment cycle. In some embodiments of the invention, the effective dose of the antibody-TGF $\beta$  trap required to achieve a therapeutic effect in combination therapies will be less than that required in an antibody-TGF $\beta$  trap monotherapy to achieve a similar therapeutic effect.

**[00148]** In some embodiments of the invention, the effective dose will be about 2-10 times less than that required in an antibody-TGF $\beta$  trap monotherapy to achieve a similar therapeutic effect. In another embodiment, the effective dose will be about 2-5 times less than that required in an antibody-TGF $\beta$  trap monotherapy to achieve a similar therapeutic effect.

**[00149]** The effective dosage of the additional chemotherapeutic reagent, or radiation therapy, for use in combination with an antibody-TGF $\beta$  trap for treatment of cancer may vary depending on the particular compound or pharmaceutical composition employed, the mode of administration, the condition being treated and the severity of the condition being treated. A physician or clinician of ordinary skill can readily determine the effective amount of each additional chemotherapeutic reagent, or radiation, necessary to treat or prevent the progression of



the cancer. In some embodiments of the invention, the effective dose of the additional chemotherapeutic reagent or radiation therapy required to achieve a therapeutic effect in the combination therapy of the invention will be less than that required in chemotherapeutic or radiation monotherapies to achieve a similar therapeutic effect.

5 **[00150]** According to the methods of the invention, chemotherapeutic agents can be administered in combination with an antibody-cytokine trap molecule to treat cancer or reduce tumor growth. Such chemotherapeutic agents include, for example, alkylating agents, antimetabolites, anthracyclines, plant alkaloids, topoisomerase inhibitors, antineoplastic antibiotics, hormonal agents, anti-angiogenic agents, differentiation inducing agents, cell growth  
10 arrest inducing agents, apoptosis inducing agents, cytotoxic agents and other anti-tumor agents. Such drugs may affect cell division or DNA synthesis and function in some way. Representative chemotherapeutic agents include, but are not limited to alkylating agents (such as cisplatin, carboplatin, oxaliplatin, mechlorethamine, cyclophosphamide, chlorambucil, dacarbazine, lomustine, carmustine, procarbazine, chlorambucil and ifosfamide), antimetabolites (such as  
15 fluorouracil (5-FU), gemcitabine, methotrexate, cytosine arabinoside, fludarabine, and floxuridine), antimitotics (including taxanes such as paclitaxel and docetaxel and vinca alkaloids such as vincristine, vinblastine, vinorelbine, and vindesine), anthracyclines (including doxorubicin, daunorubicin, valrubicin, idarubicin, and epirubicin, as well as actinomycins such as actinomycin D), cytotoxic antibiotics (including mitomycin, plicamycin, and bleomycin), and  
20 topoisomerase inhibitors (including camptothecins such as irinotecan and topotecan and derivatives of epipodophyllotoxins such as amsacrine, etoposide, etoposide phosphate, and teniposide).

**[00151]** In certain embodiments, platinum-based therapeutics such as cisplatin, carboplatin and oxaliplatin are utilized. Other anti-cancer agents whose treatment and effects can benefit  
25 from combination with anti-PD-L1/TGF $\beta$  Trap molecule include antimetabolites, such as fluorouracil (5-FU), which interfere with DNA synthesis. In certain embodiments, combinations of one or more chemotherapeutic agents may be administered with the anti-PD-L1/TGF $\beta$  Trap molecule. In other embodiments, combinations of one or more chemotherapeutic agents may be administered with and radiation therapy and the anti-PD-L1/TGF $\beta$  Trap molecule.

30 **[00152]** In a specific embodiment of the invention, oxaliplatin may be administered in a dose of between 20 mg/m<sup>2</sup> and 200 mg/m<sup>2</sup>, alternatively between 40 mg/m<sup>2</sup> and 160 mg/m<sup>2</sup>,

alternatively, between 60 mg/m<sup>2</sup> and 145 mg/m<sup>2</sup>, alternatively, between 85 mg/m<sup>2</sup> and 135 mg/m<sup>2</sup>, alternatively between 40 mg/m<sup>2</sup> and 65 mg/m<sup>2</sup>.

**[00153]** In a specific embodiment of the invention, 5-FU may be administered in a dose of between 100 mg/m<sup>2</sup> and 3000 mg/m<sup>2</sup>, alternatively, between 250 mg/m<sup>2</sup> and 2400 mg/m<sup>2</sup>,  
5 alternatively, between 400 mg/m<sup>2</sup> and 1500 mg/m<sup>2</sup>, alternatively, between 200 mg/m<sup>2</sup> and 600 mg/m<sup>2</sup>. In an embodiment of the invention, the 5-FU dose may be administered, for example, by infusion over an extended period of time.

**[00154]** In a specific embodiment of the invention, leucovorin may also be administered, to enhance the effects of the 5-FU or to decrease the side effects associated with chemotherapy.

10 **[00155]** In a specific embodiment of the invention, the following chemotherapeutic regimen is provide as an example for use in combination with the anti-PD-L1/TGFβ Trap molecule. On day 1, 85 mg/m<sup>2</sup> of oxaliplatin and 200 mg/m<sup>2</sup> of leucovorin are administered followed 2 hours later by administration of 400 mg/m<sup>2</sup> bolus of 5-FU and 600 mg/m<sup>2</sup> infusion of 5-FU. On day 2, 200 mg/m<sup>2</sup> of leucovorin is administered followed 2 hours later by 400 mg/m<sup>2</sup> bolus of 5-FU and  
15 600 mg/m<sup>2</sup> infusion of 5-FU.

**[00156]** In another embodiment of the invention, the chemotherapeutic regimen includes, for example, administration on day 1 of a 85 mg/m<sup>2</sup> dose of oxaliplatin, a 400 mg/m<sup>2</sup> dose of leucovorin, a 400 mg/m<sup>2</sup> IV bolus dose of 5-FU and a 600 mg/m<sup>2</sup> infusion of 5-FU followed by 1200 mg/m<sup>2</sup>/day x 2 days (total 2400 mg/ml<sup>2</sup> over 46-48 hours) IV continuous infusion. The  
20 treatment is repeated every 2 weeks.

**[00157]** In another embodiment, a 2 hour infusion of 400 mg/m<sup>2</sup> of leucovorin is administered followed by a 5-FU 46-hour infusion of 2400mg/m<sup>2</sup>. Oxaliplatin is also infused for two hours on day 1 at a dose of 130 mg/m<sup>2</sup>. The treatment is repeated every two weeks.

**[00158]** According to the methods of the present invention, radiation can be administered in  
25 combination with an antibody-cytokine trap molecule to treat cancer. Radiation therapy typically uses a beam of high-energy particles or waves, such as X-rays and gamma rays, to eradicate cancer cells by inducing mutations in cellular DNA. Cancer cells divide more rapidly than normal cells, making tumor tissue more susceptible to radiation than normal tissue. Any type of radiation can be administered to a patient, so long as the dose of radiation is tolerated by  
30 the patient without significant negative side effects. Suitable types of radiotherapy include, for example, ionizing radiation (*e.g.*, X-rays, gamma rays, or high linear energy radiation). Ionizing radiation is defined as radiation comprising particles or photons that have sufficient energy to produce ionization, *i.e.*, gain or loss of electrons. The effects of radiation can be at least partially

controlled by the clinician. The dose of radiation is preferably fractionated for maximal target cell exposure and reduced toxicity. Radiation can be administered concurrently with radiosensitizers that enhance the killing of tumor cells, or with radioprotectors (*e.g.*, IL-1 or IL-6) that protect healthy tissue from the harmful effects of radiation. Similarly, the application of heat, *i.e.*, hyperthermia, or chemotherapy can sensitize tissue to radiation.

**[00159]** The source of radiation can be external or internal to the patient. External radiation therapy is most common and typically involves directing a beam of high-energy radiation (a particle beam) to a tumor site through the skin using, for instance, a linear accelerator. While the beam of radiation is localized to the tumor site, it is nearly impossible to avoid exposure of normal, healthy tissue. However, external radiation is usually well tolerated by patients.

**[00160]** In another example, radiation is supplied externally to a patient using gamma rays. Gamma rays are produced by the breakdown of radioisotopes such as cobalt 60. Using a treatment approach called Stereotactic Body Radiation Therapy (SBRT), gamma rays can be tightly focused to target tumor tissue only, such that very little healthy tissue is damaged. SBRT can be used for patients with localized tumors. On the other hand, X-rays, produced by a particle accelerator, can be used to administer radiation over a larger area of the body.

**[00161]** Internal radiation therapy involves implanting a radiation-emitting source, such as beads, wires, pellets, capsules, etc., inside the body at or near the tumor site. The radiation used comes from radioisotopes such as, but not limited to, iodine, strontium, phosphorus, palladium, cesium, iridium, phosphate or cobalt. Such implants can be removed following treatment, or left in the body inactive. Types of internal radiation therapy include, but are not limited to, brachytherapy, interstitial irradiation, and intracavity irradiation. A currently less common form of internal radiation therapy involves biological carriers of radioisotopes, such as with radioimmunotherapy wherein tumor-specific antibodies bound to radioactive material are administered to a patient. The antibodies bind tumor antigens, thereby effectively administering a dose of radiation to the relevant tissue.

**[00162]** Radiation therapy is useful as a component of a regimen to control the growth of a primary tumor (see, *e.g.* Comphausen *et al.* (2001) "Radiation Therapy to a Primary Tumor Accelerates Metastatic Growth in Mice," Cancer Res. 61:2207-2211). Although radiation therapy alone may be less effective at treating cancer, combining radiation with an anti-PD-

L1/TGF $\beta$  Trap molecule as described herein, can enhance the local and systemic efficacy of radiation therapy.

[00163] Because radiation kills immune effector cells, the dose and timing of the radiation is important. T cells and dendritic cells in an irradiated tumor decrease immediately after irradiation; however, T-cell levels rebound higher than baseline levels. No matter the method of administration, a complete daily dose of radiation can be administered over the course of one day. Preferably, the total dose is fractionated and administered over several days. Accordingly, a daily dose of radiation will comprise approximately 1-50 Gy/day, for example, at least 1, at least 2, at least 3, 1-4, 1-10, 1-20, 1-50, 2-4, 2-10, 2-20, 2-25, 2-50, 3-4, 3-10, 3-20, 3-25, 3-50 Gy/day.

[00164] The daily dose can be administered as a single dose, or can be a “microfractionated” dose administered in two or more portions over the course of a day. When internal sources of radiation are employed, *e.g.*, brachytherapy or radio-immunotherapy, the exposure time typically will increase, with a corresponding decrease in the intensity of radiation.

[00165] According to some embodiments of the invention, the antibody-TGF $\beta$  trap and the at least one additional anti-cancer agent are administered simultaneously. According to another embodiment, the antibody-TGF $\beta$  trap and the at least one additional anti-cancer agent are administered sequentially.

[00166] The dosing frequency of the antibody-TGF $\beta$  trap and the at least one additional anti-cancer therapeutic agent may be adjusted over the course of the treatment, based on the judgment of the administering physician.

## EXAMPLES

[00167] The invention now being generally described, will be more readily understood by reference to the following examples, which are included merely for purposes of illustration of certain aspects and embodiments of the present invention, and are not intended to limit the scope of the invention in any way.

### **EXAMPLE 1 – DNA construction and protein expression**

[00168] Anti-PD-L1/TGF $\beta$  Trap is an anti-PD-L1 antibody-TGF $\beta$  Receptor II fusion protein. The light chain of the molecule is identical to the light chain of the anti-PD-L1 antibody (SEQ ID NO:1). The heavy chain of the molecule (SEQ ID NO:3) is a fusion protein comprising the heavy chain of the anti-PD-L1 antibody (SEQ ID NO:2) genetically fused to via a flexible (Gly<sub>4</sub>Ser)<sub>4</sub>Gly linker (SEQ ID NO:11) to the N-terminus of the soluble TGF $\beta$  Receptor II (SEQ ID NO:10). At the fusion junction, the C-terminal lysine residue of the antibody heavy chain

was mutated to alanine to reduce proteolytic cleavage. For expression of anti-PD-L1/TGF $\beta$  Trap, the DNA encoding the anti-PD-L1 light chain (SEQ ID NO:4) and the DNA encoding the anti-PD-L1/TGF $\beta$  Receptor II (SEQ ID NO:5) in either the same expression vector or separate expression vectors were used to transfect mammalian cells using standard protocols for transient or stable transfection. Conditioned culture media were harvested and the anti-PD-L1/TGF $\beta$  Trap fusion protein was purified by standard Protein A Sepharose chromatography. The purified protein comprising one anti-PD-L1 antibody and two soluble TGF $\beta$  Receptor II molecules (FIG. 1) has an estimated molecular weight (MW) of about 190 kilodaltons on size exclusion chromatography and SDS-polyacrylamide electrophoresis under non-reducing conditions. Under reducing conditions, the light and heavy chains have apparent MW of 28 and 75 kilodaltons, respectively.

**[00169]** The anti-PD-L1(mut)/TGF $\beta$  Trap fusion protein, which contains an analogous heavy chain fusion polypeptide (SEQ ID NO:7) and a light chain with the mutations A31G, D52E, R99Y in the variable region that abrogate the binding to PD-L1 (SEQ ID NO:6), was similarly prepared. It was used in subsequent experiments as a TGF $\beta$  Trap control.

## **EXAMPLE 2 – Production of anti-PD-L1/TGF $\beta$ Trap as a biotherapeutic**

**[00170]** The anti-PD-L1/TGF $\beta$  Trap produced by transient transfection of human embryonic kidney 293 (HEK) cells was found to contain varying degrees of a clipped species, which appeared as a faint band with an apparent MW of about 60 kD on SDS-PAGE under reducing conditions. This band was confirmed to be the heavy chain of the anti-PD-L1/TGF $\beta$  Trap cleaved at a site in the N-terminal portion of TGF $\beta$ RII close to the fusion junction.

**[00171]** Stable clones expressing anti-PD-L1/TGF $\beta$  Trap were generated in the CHO-S host cell line, which was pre-adapted for growth in serum-free media in suspension culture. Cells were transfected with an expression vector containing a gene encoding the anti-PD-L1-TGF $\beta$ RII protein and a glutamine synthetase selection marker. Subsequent selection of stable integrants was made with L-methionine sulfoximine (MSX). Anti-PD-L1/TGF $\beta$  Trap expressing cell lines were generated using a minipool approach, followed by the deposition of single cells into 384-well plates, using a Beckton-Dickinson fluorescence activated cell sorter (FACS Aria II). Growth, productivity, and protein quality were evaluated in a generic platform fed-batch assay.

Based on these analyses, 14 clones were selected as lead candidates for further studies. A stability study with the clones was carried out to ~90 PDL (population doubling level) from research cell banks established during scale up of clones. At the conclusion of mini-pool development it was discovered that the heavy chain-linker-TGF $\beta$ RII subunit underwent clipping,

as was seen in transient expression. All clones in the stability study produced the clipped species, although it was shown in the protein A-purified material that the percent clipped species relative to the intact subunit varied with each clone. In addition, an improved purification process consisting a protein A chromatography followed by strong cation exchange was developed to reduce co-purification of the clipped species. Even with the improved process, purified material with the required final levels of clipped species of <5% could only be achieved using clones producing low levels of clipping. Based on these combined analyses, clone 02B15 was selected as the final candidate clone. Analysis of anti-PD-L1/TGF $\beta$  Trap expressed by this clone at zero PDL, thirty PDL, sixty PDL and ninety PDL shows that the percentage of clipping did not increase with population doubling levels.

**EXAMPLE 3 – Combination of Chemotherapy and anti-PD-L1/TGF $\beta$  Trap in a subcutaneous MC38 tumor mouse model**

[00172] Colorectal cancer (CRC) is the third most common cancer in males and the second in females, with over 1.2 million new cases worldwide. Despite significant progress in treatment over the last decade, CRC is the fourth most common cause of cancer-related deaths. Thus, novel treatment modalities are needed. In the working example set forth below, the efficacy of the anti-PD-L1/TGF $\beta$  Trap molecule was investigated in combination with oxaliplatin (Ox) and 5-fluorouracil (5-FU) based therapy in a murine model of colorectal cancer.

[00173] Combination treatment with anti-PD-L1/TGF $\beta$  Trap and the chemotherapeutic reagent Ox/5-FU in mice with subcutaneous MC38 tumors resulted in significant inhibition of tumor growth. These preclinical data support a strategy of combining chemotherapy (Ox/5-FU) with anti-PD-L1/TGF $\beta$  Trap immunotherapy for the treatment of colorectal cancer in the clinic.

***Materials and Methods***

[00174] The MC38 tumor cell line was obtained from American Type Culture Collection (ATCC). The MC38 cell line was tested and verified to be free of adventitious viruses and mycoplasma. C57BL/6 mice, 8-12 weeks of age, were obtained from Charles River Laboratories. B6.129S2-Ighm<sup>tm1Cgn</sup>/J mice, 8-12 weeks of age, were from Jackson Laboratories.

[00175] Test material doses were as follows: Anti-PD-L1/TGF $\beta$  Trap: 24.6 mg/kg; 492  $\mu$ g/mouse; 2.46 mg/mL; 0.2 mL dose volume administered intravenously. Fluorouracil (5-FU): 60.0 mg/kg; 120  $\mu$ g/mouse; 6.00 mg/mL; 0.02 mL dose volume administered intravenously.

Oxaliplatin: 5.0 mg/kg; 10 µg/mouse 0.500 mg/mL 0.02 mL administered i.p. The value in mg/kg was approximate, assuming an average body weight of 20 g per mouse.

**[00176]** The negative control was an inactive isotype control (Anti-PD-L1(mut)) administered at a test concentration of 400 µg/mouse.

5 **[00177]** Cell Culture. MC38 cells were cultured under aseptic conditions in Dulbecco's minimal essential medium (DMEM) containing 10% heat-inactivated fetal bovine serum and maintained at 37°C and 5% CO<sub>2</sub>. Cells were passaged upon reaching 50-70% confluence at a ratio of 1:5, for a total of 2 passages prior to *in vivo* implantation. Cells were harvested by trypsinization and viable cell counts were determined using a hemocytometer and trypan blue  
10 exclusion staining. All cell culture reagents were purchased from Life Technologies (Gaithersburg, MD).

**[00178]** MC38 Tumor Model. In study TI13-027, MC38 tumor cells ( $1 \times 10^6$  cells/mouse) were suspended in 100 µL of sterile PBS and implanted into right flank of C57BL/6 mice. When the tumor sizes reached an average of ~45 mm<sup>3</sup>, the mice were randomized into 4 groups (N=10  
15 mice/group) to initiate therapy. Treatments were administered as per the dose schedules as set forth in FIG. 2 and FIG. 3. The length (L), width (W) and height (H) of tumor was measured with digital caliper and recorded automatically to computer twice per week using WinWedge software. Body weights were also recorded twice per week to assess tolerability. Tumor volumes were calculated by the Ellipsoid volume formulas:  $\text{Volume} = \pi/6 * (L \times W \times H)$ ; where L  
20 = length, W = width and H = height of the tumor. Efficacy was determined by measuring tumor volume throughout the duration of the *in vivo* study and the tumor weights were measured at study termination point as described below. All animals were sacrificed on day 17 and tumors were excised and weighed. The spleens were harvested for IFN-γ ELISPOT analyses.

**[00179]** In study TI14-012, MC38 tumor cells were injected into B6.129S2-Ighm<sup>tm1Cgn</sup>/J  
25 mice as described above. All other procedures for evaluation of tumor growth and treatment efficacy were also as described above.

**[00180]** IFN-γ ELISpot Assay. The enzyme-linked immunosorbent spot (ELISpot) assay was used to measure the cytotoxic T lymphocytes (CTL) response against the p15E antigen, which is a known T cell rejection epitope in MC38 tumors (Yang and Perry-Lalley J Immunotherapy  
30 2000; 23:177-183). The ELISpot assay measures the frequency of IFN-γ producing CD8<sup>+</sup> T cells following co-culture with antigen presenting cells (APC) loaded with the p15E epitope KPSWFTTL. APCs loaded with an irrelevant peptide, SIINFEKL, derived from chicken ovalbumin (OVA) served as a negative control. Positive control samples were stimulated with

PMA and ionomycin, which triggers a non-specific activation of cytotoxic T lymphocytes. ELISpot assay was performed using a mouse IFN- $\gamma$  ELISpot Kit from BD Biosciences according to the manufacturer's instructions. On day 17 of study TI13-027, the spleens of N=5 mice/group were harvested, processed into single cell suspensions, stimulated with P15E peptide at a final concentration of 1  $\mu\text{g/mL}$ , and then cultured at 37°C for 7 days. After the *in vitro* stimulation, CD8<sup>+</sup> T cells were isolated by magnet activated cell sorting using the CD8<sup>+</sup> T cell isolation kit (Miltenyi Biotech) and the AutoMACS Pro Separator. To establish the co-culture system for the *in vitro* stimulation ELISpot assay, APCs derived from naive mouse splenocytes were pulsed with the KPSWFTTL peptide or the irrelevant SIINFEKL peptide for one hour and then irradiated with 2 Gy in the GammaCell 40 Exactor. Isolated CD8<sup>+</sup> T cells ( $1 \times 10^5$  cells/well) from experimental mice were cultured in triplicate in ELISpot assay plates (anti-IFN- $\gamma$  antibody coated) with peptide-pulsed and irradiated APCs ( $2.5 \times 10^5$  cells/well).

**[00181]** On day 18 of study TI14-012, an *ex vivo* ELISpot assay was established in which the spleens of N=5 mice/group were harvested, processed into single cell suspensions, and CD8<sup>+</sup> T cells were isolated with magnet activated cell sorting using the CD8<sup>+</sup> T cell isolation kit (Miltenyi Biotech) and the AutoMACS Pro Separator. To establish the co-culture system for the *ex vivo* ELISpot assay, APCs derived from naive mouse splenocytes were pulsed with the KPSWFTTL peptide or the irrelevant SIINFEKL peptide for one hour and then irradiated with 2 Gy in the GammaCell 40 Exactor. Isolated CD8<sup>+</sup> T cells ( $5 \times 10^5$  cells/well) from experimental mice were cultured in triplicate in ELISpot assay plates (anti-IFN- $\gamma$  antibody coated) with peptide-pulsed and irradiated APCs ( $5 \times 10^5$  cells/well).

**[00182]** In both experiments, the experimental CD8<sup>+</sup> T cells were co-cultured with peptide-pulsed APCs at 37°C for 19-20 hours prior to being removed from the assay plate. A biotinylated anti-IFN- $\gamma$  antibody was added to each well of the plate, followed by a wash step, and then addition of a streptavidin-HRP detection conjugate. After another wash step, the plate was incubated with a chromogenic substrate solution; the reaction was monitored and then stopped by rinsing the plate with water. The number of IFN- $\gamma$  positive spots in each well of the assay plate was counted using a CTL-Immunospot S5UV Analyzer (Cellular Technology Limited). The data are represented as the mean number of spots/well  $\pm$  SEM.

**[00183]** Mortality checks were performed once daily during the study. Clinical Observations. Clinical signs (such as ill health and behavioral changes) were recorded for all animals once daily during the study using the body condition (BC) scoring system as previously described (Ullman-Cullere and Foltz, Lab Anim Sci. 1999; 49:319-23). Moribund mice were humanely



5 euthanized by CO<sub>2</sub> asphyxiation. Body weights for all animals on the study were recorded twice per week including the termination day of each group. Tumors volumes were measured in three dimensions with digital calipers and recorded automatically to a computer twice per week using WinWedge software for the duration of the experiment. Tumor volumes were calculated using the ellipsoid volume formula: Volume = 0.5236 (L x W x H); where L = length, W = width and H = height of the tumor. At the time of sacrifice, the primary tumor was excised and weighed as a secondary efficacy endpoint. The frequency of IFN- $\gamma$  producing, P15E-specific CD8<sup>+</sup> T cells was quantified by ELISpot assay. Mouse IFN- $\gamma$  ELISpot assays were performed using a mouse IFN- $\gamma$  ELISpot kit (BD Biosciences) according to the manufacturer's instructions.

10 **[00184]** Statistical Analysis. Tumor volumes were measured twice per week throughout the study period. Tumor volume data was presented as the mean  $\pm$  standard error of the mean (SEM). The tumor growth inhibition % T/C ratio was calculated as the tumor volume of the treatment group divided by the tumor volume of control group and then multiplied 100. Tumor volume data was log transformed and two-way, repeated measures ANOVA with Tukey's  
15 correction for multiple comparisons was performed to measure statistical differences between treatment groups. T/C was calculated as the tumor volume of the treatment group divided by the tumor volume of control group. Tumor weights were measured at study completion. The data was represented as the mean  $\pm$  SEM. The % T/C ratio was calculated as the tumor weight of the treatment group divided by the tumor weight of control group and then multiplied 100. Tumor  
20 weight data was evaluated with one-way ANOVA with Tukey's correction for multiple comparisons to measure statistical differences between treatment groups. IFN- $\gamma$  ELISpot data was expressed as the mean  $\pm$  SEM. A One-Way ANOVA with Tukey's correction for multiple comparisons was used for statistical analyses using GraphPad Prism Software.  $p < 0.05$  was determined to be statistically significant.

## 25 **Results**

**[00185]** Due to the immunogenicity caused by the fully humanized antibody in B cell competent mice, the anti-PD-L1/TGF $\beta$  Trap molecule could only be administered three times within one week in the C57BL/6 wild-type mice in study TI13-027. Consequently, significant antitumor activity was not observed with anti-PD-L1/TGF $\beta$  Trap monotherapy (% T/C = 91% in  
30 tumor volume; see Figure 4). The Oxaliplatin/5-FU treatment induced significant tumor growth inhibition in the MC38 subcutaneous tumor model compared to the Isotype control (% T/C = 53.2% in tumor volume;  $p < 0.0001$ ). Combination therapy with anti-PD-L1/TGF $\beta$  Trap and oxaliplatin/5-FU significantly inhibited MC38 tumor growth compared with the control group

(% T/C = 33.2% in tumor volume;  $p < 0.0001$ ). Moreover, the combination of anti-PD-L1/TGF $\beta$  Trap and oxaliplatin/5-FU significantly improved tumor growth control relative to oxaliplatin/5-FU alone (439.6 mm<sup>3</sup> vs. 703.7 mm<sup>3</sup> in tumor volume;  $p < 0.0001$ ). The same trend was observed in which the combination treatment produced statistically significant improvements in tumor growth control compared to anti-PD-L1/TGF $\beta$  Trap alone (439.6 mm<sup>3</sup> vs. 1204.0 mm<sup>3</sup>;  $p < 0.0001$ ) (see Fig. 4A-D and Table 1).

**Table 1** Results of Tumor Volume, Tumor Weight, and ELISpot Assay at Study Completion (C57BL/6 mice; Study TI13-027)

Group	Treatment	Tumor volume (mm <sup>3</sup> ) <sup>a</sup>	% T/C of tumor volume	Tumor weight (mg) <sup>a</sup>	% T/C of tumor weight	IFN- $\gamma$ ELISPOT
G1	Isotype Control (Anti-PD-L1(mut))	1323.5 $\pm$ 199.1	100	1448.5 $\pm$ 220.0	100	49.0 $\pm$ 4.0
G2	Anti-PD-L1/TGF $\beta$ Trap	1204.0 $\pm$ 217.2	91	1196.8 $\pm$ 248.8	82.6	113.3 $\pm$ 4.5
G3	Oxaliplatin + 5-FU	703.7 $\pm$ 115.6	53.2	701.4 $\pm$ 102.8	48.4	108.3 $\pm$ 23.6
G4	Oxaliplatin/5-FU + Anti-PD-L1/TGF $\beta$ Trap	439.6 $\pm$ 71.1	33.2	438.2 $\pm$ 71.9	30.3	258.0 $\pm$ 14.3

**[00186]** Finally, anti-PD-L1/TGF $\beta$  Trap monotherapy or the oxaliplatin/5-FU monotherapy were observed to significantly increase the frequency of IFN- $\gamma$  producing CD8<sup>+</sup> T cells compared to the Isotype control group as measured by ELISpot assay ( $p < 0.05$  and  $p < 0.05$ , respectively). The combination of anti-PD-L1/TGF $\beta$  Trap and oxaliplatin/5-FU significantly enhanced the frequency of P15E-specific, IFN- $\gamma$  producing CD8<sup>+</sup> T cells relative to either monotherapy group ( $p < 0.05$ ; see FIG. 4C).

**[00187]** In study TI14-012, utilizing B cell deficient mice to avoid the mouse antibody against human antibody (MAHA) response, experimental animals were treated five times with anti-PDL1/TGF $\beta$  Trap. Not surprisingly, greater antitumor activity was observed with anti-PDL1/TGF $\beta$  Trap monotherapy (% T/C = 57.3% in tumor volume) compared to study TI13-027 in which wild-type mice were treated only three times (% T/C = 91% in tumor volume). In study TI14-012, the combined treatment with anti-PD-L1/TGF $\beta$  Trap and oxaliplatin/5-FU was significantly more effective compared to either of the monotherapies ( $p < 0.0001$ ) or the isotype

control ( $p < 0.0001$ ) (see Fig. 5D and Table 2).

**Table 2** Results of Tumor Volume, Tumor Weight, and ELISpot Assay at Study Completion (B6.129S2-Ighm<sup>tm1Cgn</sup>/J mice; Study TI14-012)

Group	Treatment	Tumor volume (mm <sup>3</sup> ) <sup>*</sup>	% T/C of tumor volume	Tumor weight (mg) <sup>*</sup>	% T/C of tumor weight	IFN- $\gamma$ ELISPOT
G1	Isotype Control (Anti-PD-L1(mut))	2003.4 $\pm$ 122.4	100	2336.2 $\pm$ 164.8	100	51.7 $\pm$ 5.5
G2	Anti-PD-L1/TGF $\beta$ Trap	1147.7 $\pm$ 234.9	57.3	1265.0 $\pm$ 256.5	54.1	160.3 $\pm$ 18.5
G3	Oxaliplatin + 5-FU	743.9 $\pm$ 92.4	37.1	822.7 $\pm$ 86.0	35.2	107.7 $\pm$ 13.0
G4	Oxaliplatin/5-FU + Anti-PD-L1/TGF $\beta$ Trap	380.8 $\pm$ 74.6	19.0	362.8 $\pm$ 70.9	15.5	369.7 $\pm$ 39.7

[00188] Similarly, anti-PD-L1/TGF $\beta$  Trap monotherapy resulted in significantly increased frequencies of IFN- $\gamma$  producing CD8<sup>+</sup> T cells compared to the isotype control (see Fig. 5C;  $p < 0.05$ ). The combined treatment of anti-PD-L1/TGF $\beta$  Trap and oxaliplatin/5-FU resulted in a synergistic increase in the frequency of P15-specific, IFN- $\gamma$  producing CD8<sup>+</sup> T cells compared to either monotherapy group or the Isotype control (see Fig. 5C;  $p < 0.05$ ).

[00189] Anti-PD-L1/TGF $\beta$  Trap is a bifunctional antibody-cytokine receptor fusion protein designed to reverse both the cell-intrinsic and extrinsic immune suppression in the tumor microenvironment through dual targeting of the PD-1/PD-L1 axis and TGF $\beta$  signaling. In the studies described herein, significant MC38 tumor growth inhibition and the synergistic induction of P15E-specific CD8<sup>+</sup> T cell IFN- $\gamma$  production were observed with the combination of anti-PDL1/TGF $\beta$  Trap and Ox/5-FU treatment in mice with subcutaneous MC38 tumors. These effects on antitumor efficacy and immune response observed in wild-type mice were accentuated in B cell deficient mice. The difference is believed to be primarily due to administration of a greater number of doses of anti-PD-L1/TGF $\beta$  Trap and absence of the MAHA (mouse against human antibody) response in the B cell deficient mice. Taken together, these data demonstrate that components of chemotherapy (Ox/5-FU) can be effectively combined with anti-PDL1/TGF $\beta$  Trap therapy to enhance tumor growth inhibition and tumor-reactive CD8<sup>+</sup> T cell responses in a mouse colorectal cancer model. In conclusion, the preclinical results support a combination for the treatment of colorectal cancer in the clinic.

**EXAMPLE 4 – Combination of radiation therapy and anti-PD-L1/TGF $\beta$  Trap in a Intramuscular MC38 tumor mouse model**

[00190] The anti-PD-L1/TGF $\beta$  Trap molecule is comprised of the extracellular domain of the human TGF $\beta$ RII (TGF $\beta$  Trap) covalently linked to the C-terminus of the heavy chain of a human anti-PD-L1 antibody. Anti-PD-L1/TGF $\beta$  Trap monotherapy has shown superior antitumor efficacy in multiple preclinical models. In the studies reported here, we investigated the antitumor activity of the anti-PD-L1/TGF $\beta$  Trap in combination with fractionated local radiation therapy in B6.129S2-Ighm<sup>tm1Cgn</sup>/J mice bearing intramuscular MC38 colorectal tumors. The data showed that the combination of radiation given as four fractionated doses of local radiation (360 rads/dose) and a single administration of anti-PD-L1/TGF $\beta$  Trap (55  $\mu$ g) had remarkably synergistic antitumor effects resulting in tumor remission in 100% of the mice. In addition, the combination of radiation given as four fractionated doses of local radiation (500 rads/dose) and a single administration of the anti-PD-L1/TGF $\beta$  Trap (164  $\mu$ g) elicited anti-cancer effects on tumors at sites distal to the tumor being irradiated, a demonstration of the abscopal effect, and an indication that such treatment would be useful in treating metastasis. By comparison, monotherapy with either radiation or anti-PD-L1/TGF $\beta$  Trap treatment alone resulted in a modest reduction in tumor burden. Furthermore, significant increases in the frequency of P15E-specific, IFN- $\gamma$  producing CD8<sup>+</sup> T cells were observed in the mice receiving the combination therapy. Finally, the combination therapy was associated with improved infiltration of MC38 tumors by effector CD8<sup>+</sup> T cells and NK cells. These results indicate that anti-PD-L1/TGF $\beta$  Trap treatment synergizes with radiation to facilitate T cell mediated antitumor responses. The results described below support this combination strategy for potential clinical applications.

***Materials and Methods***

[00191] Cell line: MC38 murine colon carcinoma cell line was a gift from the Scripps Research Institute. The cell line was tested and verified to be murine virus and mycoplasma free. Animals B6.129S2-Ighm<sup>tm1Cgn</sup>/J mice (C57BL/6), 8-12 weeks of age, were obtained from Jackson Laboratories.

[00192] Test material doses were as follows: Anti-PD-L1/TGF $\beta$  Trap: 2.75 mg/kg; 55  $\mu$ g/mouse; 13.75 mg/mL; 0.2 mL dose volume administered intravenously and anti-PD-L1/TGF $\beta$

Trap: 8.25 mg/kg; 164 µg/mouse; 41.25 mg/mL; 0.2 mL dose volume administered intravenously.

**[00193]** Negative controls was as follows: inactive isotype control (anti-PD-L1(mut) A11-121-6) was administered at a test concentration of either 133 µg/mouse or 45 µg/mouse.

5 **[00194]** MC38 cells were cultured under aseptic conditions in Dulbecco's minimal essential medium, containing 10% heat-inactivated fetal bovine serum, and maintained at 37°C and 5% CO<sub>2</sub>. Cells were passaged upon reaching 50-70% confluence at a ratio of 1:5, for a total of 2 passages prior to in vivo implantation. Cells were harvested by trypsinization and viable cell counts were determined using a hemocytometer and trypan blue exclusion staining.

10 **[00195]** C38 tumor model: C57BL/6.129S2-Ighmtm1Cgn/J mice were implanted intramuscularly into the right thigh with  $0.5 \times 10^6$  viable MC38 tumor cells in 0.1ml PBS on day -8. When the tumors had reached a mean volume of  $\sim 128 \text{ mm}^3$ , mice were randomized into treatment groups. Treatment started on day 0 (8 days after tumor cell inoculation).

**[00196]** Localized radiation therapy can elicit anti-cancer effects at distal sites, a  
15 phenomenon known as an "abscopal" effect. To test the effect of the Anti-PD-L1/TGFβ Trap on the abscopal effect of radiation therapy, 7 days prior to treatment, mice were inoculated with  $0.5 \times 10^6$  viable MC38 tumor cells to generate a primary, intramuscular MC38 tumor in the right thigh, and with  $1 \times 10^6$  MC38 cells subcutaneously in the left flank to generate a secondary, subcutaneous MC38 tumor (FIG 9A). Treatment commenced on day 7.

20 **[00197]** Radiotherapy: Mice were positioned on a dedicated plexiglass tray, and the whole body was protected by lead shielding except for the area of the tumor to be irradiated. Radiotherapy was delivered to the tumor field through the use of GammaCell 40 Exactor.

**[00198]** Enzyme-linked Immunosorbent Spot (ELISpot) Assay: The ELISpot assay was used to measure the cytotoxic T lymphocyte (CTL) response against the p15E antigen, which is a  
25 known T cell rejection epitope expressed by MC38 tumors (refer to Yang and Perry-Lalley 2000). The ELISpot assay measures the frequency of IFN-γ producing CD8<sup>+</sup> T cells following co-culture with antigen presenting cells (APCs) loaded with the p15E epitope KPSWFTTL. A PCs loaded with an irrelevant peptide derived from chicken ovalbumin (SIINFEKL) served as a negative control. Positive control samples were stimulated with PMA and ionomycin, which  
30 triggers a non-specific activation of CTLs. The ELISpot assay was performed using a kit from BD Biosciences. On study day 14, the spleen was harvested from one mouse in each study group and processed into a single cell suspension. The CD8<sup>+</sup> T cells were isolated by magnet activated cell sorting using the CD8<sup>+</sup> T cell isolation kit from Miltenyi Biotech, and the

AutoMACS Pro Separator. CD8<sup>+</sup> T cells were then seeded in ELISpot assay plates (anti-IFN- $\gamma$  antibody coated) in co-culture with APC derived from naive mouse splenocytes pulsed with the KPSWFTTL peptide for one hour, and then irradiated with 2 Gy in the GammaCell 40 Exactor. After incubation at 37°C for 16-20 hours, the cells were removed from the assay plate. A

5 biotinylated anti-IFN- $\gamma$  antibody was added to each well of the plate, followed by a wash step, and then addition of a streptavidin-HRP detection conjugate. After another wash step, the plate was incubated with a chromogenic substrate solution; the reaction was monitored and then stopped by rinsing the plate with water. The number of IFN- $\gamma$  positive spots in each well of the assay plate was measured using an Immunospot ELISpot reader system.

10 **[00199]** Immune Phenotype: Cell suspensions were prepared from spleens by mechanical disruption followed by lysis of red blood cells. Tumor cell suspensions were prepared by enzymatic digestion of finely minced tumor slurries. Slurries were incubated in a solution of type IV collagenase (400 units/ml) and DNase 1 (100  $\mu$ g/ml) for one hour at 37°C with frequent agitation. Following tumor digestion, debris was separated by sedimentation, and suspensions  
15 were passed through a 40  $\mu$ m nylon cell strainer. Antibody staining of spleen and tumor cell suspensions for FACS analysis was performed following the manufacturer's recommendations (*e.g.* eBioscience or BD Biosciences).

**[00200]** For the analysis of spleen samples, a parental gate was created around the lymphocyte population as identified by forward and side scatter characteristics. From the  
20 lymphocyte gate, subpopulations of immune cells were identified on dot plots: helper T cells (CD4<sup>+</sup>), cytotoxic T lymphocytes (CD8<sup>+</sup>), NK cells (NK1.1<sup>+</sup>), effector memory CD8<sup>+</sup> T cells (CD8<sup>+</sup>/CD44<sup>high</sup>/CD62L<sup>low</sup>), central memory CD8<sup>+</sup> T cells (CD8<sup>+</sup>/CD44<sup>high</sup>/CD62L<sup>high</sup>) and regulatory T cells (CD4<sup>+</sup>/CD25<sup>+</sup>/Foxp3<sup>+</sup>). To assess degranulation as a measure of lytic activity, CD107a on the lymphocyte cell surface was measured. Following the staining of cell surface  
25 proteins, samples were fixed and permeabilized to allow for intracellular staining of the T-box transcription factors (Eomes and T-bet) and effector cytokines (IFN- $\gamma$  and Granzyme B). From the leukocyte gate, subpopulations of myeloid cells were identified on dot plots: Dendritic cell

(CD11c<sup>+</sup>/I-Ab<sup>+</sup>), neutrophils (CD11b<sup>+</sup>/Ly6G<sup>+</sup>), macrophages (CD11b<sup>+</sup>/Ly-6Chigh) and MDSCs (Gr-1<sup>+</sup>/CD11b<sup>+</sup>).

**[00201]** A similar gating strategy was employed for the analysis of tumor samples, with the exception that a parental gate was first created around the CD45<sup>+</sup> cell population to identify the tumor infiltrating leukocytes from the other tumor cells and stromal components.

**[00202]** Study Design. TI13-109 Combination Therapy of Radiation with Anti-PD-L1/TGFβ Trap in MC38 Model in B-cell Deficient Mice. Group and treatment (N=10).

Part 1: Efficacy

10	1. Isotype Control	133 μg i.v.	day 2
	2. Radiation	360 rads/day	day 0-3
	3. Anti-PD-L1/TGFβ Trap	55 μg i.v.	day 2
	4. Anti-PD-L1/TGF β Trap	164 μg i.v.	day 2
	5. Radiation	360 rads/day	day 0-3
15	Anti-PD-L1/TGF β Trap	55 μg i.v.	day 2
	6. Radiation	360 rads/day	day 0-3
	Anti- PD-L1/TGF β Trap	164 μg i.v.	day 2

Part 2: ELISpot Assay: On day 14, all mice were sacrificed and a subset of N=5 mice/group

were analyzed for functional responses via ELISpot assay. The spleens were harvested and

processed for the ELISpot assay as described above. The number of IFN-γ positive spots in each well of the assay plate was measured using an Immunospot ELISpot reader system.

**[00203]** Study Design: TI14-013 Combination Therapy of Radiation with Anti-PD-L1/TGFβ Trap in MC38 Model in B-cell Deficient Mice. Group and treatment (N=10).

Part 1: Efficacy

25	1. Isotype Control	45 μg i.v.	day 2
	2. Radiation	360 rads/day	day 0-3
	3. Anti-PD-L1/TGFβ Trap	55 μg i.v.	day 2
	4. Radiation	360 rads/day	day 0-3
	Anti- PD-L1/TGFβ Trap	55 μg i.v.	day 2

Part 2: ELISpot Assay and Immune Phenotype. On day 14, all mice were sacrificed and a subset of N=5 mice/group were analyzed for splenic functional responses via ELISpot assay and immune phenotype of TILs. The spleens were harvested and processed for the ELISpot assay as

described above. The number of IFN- $\gamma$  positive spots in each well of the assay plate was measured using an Immunospot ELISpot reader system. Tumor tissue was also harvested and processed as described above. TIL phenotypes were analyzed by FACS analysis for % CD8<sup>+</sup> TILs, % NK1.1<sup>+</sup> TILs, CD8<sup>+</sup> TILs EOMES expression, and CD8<sup>+</sup> TILs degranulation.

5 **[00204]** Clinical signs (such as illness and health behavioral changes) were recorded for all animals once daily during the study using the body condition (BC) score system as previously described (Ullman-Cullere and Foltz, Lab Anim Sci. 1999; 49:319-23). Moribund mice were humanely euthanized by CO<sub>2</sub> asphyxiation. Body weights were recorded for all animals on study twice per week, including the termination day of each study. Tumors were measured with  
10 digital calipers in three dimensions for the duration of the experiment. Tumor volumes were calculated using the equation: Volume = 0.5236 (L x W x H); where L = length, W = width and H = height of the tumor. Kaplan-Meier survival curves were generated to quantify the interval of time from tumor inoculation to sacrifice and calculate the median survival time for each treatment group.

15 **[00205]** ELISpot assay was used to quantify the frequency of IFN- $\gamma$  producing, P15E-specific CD8<sup>+</sup> T cells was quantified by ELISpot assay. Immune phenotype of splenocytes and the tumor infiltrating lymphocytes (TILs) was performed by FACS (Fluorescence-activated cell sorting).

**[00206]** Statistical Analysis: Tumor volumes were measured twice per week throughout the  
20 study period. Tumor volume data was presented as the mean  $\pm$  standard error of the mean (SEM). Tumor volume data was log transformed and two-way, repeated measures ANOVA with Tukey's correction for multiple comparisons was performed to measure statistical differences between treatment groups. Tumor weights were collected at study completion. The data was represented as the mean  $\pm$  SEM. The T/C ratio was calculated as the tumor volume (or  
25 tumor weight) of the treatment group divided by the tumor volume (or tumor weight) of control group. Tumor weight data was evaluated with one-way ANOVA with Tukey's correction for multiple comparisons to measure statistical differences between treatment groups. The frequency of IFN- $\gamma$  producing CD8<sup>+</sup> T cells was quantified by ELISpot assay and represented as the mean number of spots per well (mean  $\pm$  SEM). A one-way ANOVA with Tukey's correction  
30 for multiple comparisons was used for statistical analyses using GraphPad Prism Software. p<0.05 was determined to be statistically significant.

**[00207]** Study Design: Combination Therapy of Radiation with Anti-PD-L1/TGF $\beta$  Trap in MC38 Model in B-cell Deficient Mice to test abscopal effect. Group and treatment (N=6).



Treatment started on day 0 with isotype control (400 µg, days 0, 2, 4), radiation (500 rads/day, days 0, 1, 2, 3), Anti-PD-L1/TGFβ Trap (164 µg, day 0), and Anti-PD-L1/TGFβ Trap (164 µg, day 0) + radiation (500 rads/day, days 0, 1, 2, 3). Radiation was applied only to the primary tumor, as shown in (Fig. 9A). Primary tumor volumes and secondary tumor volumes were measured twice weekly. Tumor volumes are presented as mean ± SEM.

## Results

**[00208]** Combination of Radiation with Anti-PD-L1/TGFβ Trap Demonstrated Synergistic Anti-tumor Efficacy. In an MC38 intramuscular tumor model (TI13-109), radiation (360 rads/day, day 0-3) or anti-PD-L1/TGFβ Trap monotherapy (55 or 164 µg, day 2) induced significant tumor growth inhibition ( $p < 0.0001$  respectively, vs. isotype control), whereas the combination of radiation and anti-PD-L1/TGFβ Trap induced remarkable therapeutic synergy compared to monotherapy with either radiation ( $p < 0.0001$ ) or anti-PD-L1/TGFβ Trap ( $p < 0.0001$ ) on day 10 (see FIG. 6A). The T/C ratio on day 14 based on the tumor weight was 0.45 for radiation therapy, 0.50 and 0.36 for anti-PD-L1/TGFβ Trap at 55 µg and 164 µg, respectively, and 0.04 vs. 0.01 for the radiation and anti-PD-L1/TGFβ Trap combination groups (55 µg vs. 164 µg, respectively) (see FIG. 6B). Tumor regression was observed, as early as 4 days after the anti-PD-L1/TGFβ Trap treatment, in 50% (10 out of 20) of the mice treated with anti-PD-L1/TGFβ Trap monotherapy, 100% (20 out of 20) of the mice treated with the combination therapy, and only 10% (1 out of 10) of the mice treated with radiation monotherapy. Furthermore, since anti-PD-L1/TGFβ Trap was given only as a single dose, 4 of 10 regressed tumors grew back in the anti-PD-L1/TGFβ Trap monotherapy group, whereas all the regressed tumors in the combination group continued to shrink up to day 14 when the mice were sacrificed for evaluation of immune function.

**[00209]** Immune Activation Was Correlated With the Antitumor Efficacy. On day 14, the mice were sacrificed and the frequency of IFN-γ producing, tumor-reactive (P15E) CD8<sup>+</sup> T cells was quantified using an ex vivo ELISpot assay (see FIG. 6C). Only a moderate induction of IFN-γ producing tumor-reactive CD8<sup>+</sup> T cells was observed in the radiation and anti-PD-L1/TGFβ Trap monotherapy group ( $p > 0.05$  and  $p < 0.05$  respectively, vs. isotype control). Consistent with the observed antitumor efficacy; however, mice treated with the combination therapy experienced a synergistic induction in the frequency P15E-specific, IFN-γ producing CD8<sup>+</sup> T cells (see FIG. 6C). The CD8<sup>+</sup> T cell IFN-γ production induced by combination therapy was 7-fold above that of the isotype control and at least 5-fold above those of the monotherapies ( $p < 0.001$  vs. each monotherapy, respectively). In this study (TI13-109), increasing the dose of

anti-PD-L1/TGF $\beta$  Trap from 55  $\mu$ g to 164  $\mu$ g in the combination therapy did not further accelerate tumor regression. Due to a low CD8<sup>+</sup> T cell yield in the high dose group, an adequate evaluation of the frequency of IFN- $\gamma$  producing, tumor reactive (P15E) CD8<sup>+</sup> T cells could not be performed. Therefore, a repeat study was performed to ensure the consistency of the findings.

5 **[00210]** A repeat experiment (TI14-013) with the low dose of 55  $\mu$ g of anti-PD-L1/TGF $\beta$  Trap yielded nearly identical synergistic effects on both tumor growth inhibition and induction of P15Especific CD8<sup>+</sup> T cell IFN- $\gamma$  production with the combination therapy (see Figure 7A-C).

**[00211]** An analysis of the tumor-infiltrating lymphocytes of mice treated in study TI14-013 revealed elevations in the frequencies of CD8<sup>+</sup> TILs and NK cells following treatment with the  
10 combination of radiation and anti-PD-L1/TGF $\beta$  Trap relative to either monotherapy or the Isotype control groups (see FIG. 8A-B). Additional analysis indicated that the combination of radiation and anti-PD-L1/TGF $\beta$  Trap therapy promoted the expression of the T-box transcription factor, Eomes, and degranulation (CD107a) on tumor-infiltrating CD8<sup>+</sup> T cells (see FIG. 8C-D).

**[00212]** Combination therapy with radiation and a single dose of anti-PD-L1/TGF $\beta$  Trap  
15 reduced primary tumor volume relative to anti-PD-L1/TGF $\beta$  Trap or radiation alone ( $p < 0.0001$  for both, day 14) (Fig. 9B). However, combination therapy also reduced secondary tumor volume relative to anti-PD-L1/TGF $\beta$  Trap or radiation alone ( $p = 0.0066$  and  $p = 0.0006$ , respectively, day 14) (Fig. 9C). Notably, neither radiation alone nor a single low dose of anti-PD-L1/TGF $\beta$  Trap significantly inhibited secondary tumor growth relative to isotype control  
20 treatment, indicating anti-PD-L1/TGF $\beta$  Trap synergized with radiation to induce an abscopal effect.

**[00213]** The observed synergies in antitumor efficacy and the frequencies of tumor-reactive, IFN- $\gamma$  producing CD8<sup>+</sup> T cells coupled with enhanced infiltration by effector CD8<sup>+</sup> T cells and NK cells is consistent with the profound induction of innate and adaptive antitumor immune  
25 responses by combination therapy with radiation and the anti-PD-L1/TGF $\beta$  Trap molecule. As such, this therapeutic combination has clinically relevant applications for improving radiotherapy in cancer patients.

**[00214]** The data described herein demonstrate that standard of care external beam radiation therapy (EBRT) can be combined with anti-PD-L1/TGF $\beta$  Trap therapy to achieve synergistic  
30 tumor growth inhibition and the induction of tumor-reactive CD8<sup>+</sup> T cell responses in a MC38 colorectal cancer model. As P15E is an endogenous retroviral antigen expressed by the MC38 tumor cell line (Zeh et al. J Immunol. 1999; 162:989-94), the observed increase in P15E-specific, IFN- $\gamma$  producing CD8<sup>+</sup> T cells constitutes a tumor-reactive, and not a generalized, T cell

response following combination therapy. This synergistic immunological response is consistent with the enhanced antitumor efficacy observed in this therapeutic regimen, indicating that the combined treatment with radiation and anti-PD-L1/TGF $\beta$  Trap facilitates a CD8<sup>+</sup> T cell mediated antitumor response. The combination of radiation and anti-PD-L1/TGF $\beta$  Trap therapy were also shown to promote MC38 tumor infiltration by CD8<sup>+</sup> T cells and NK cells. Furthermore, the combination therapy induced an effector CD8<sup>+</sup> TIL phenotype as evidenced by higher expression levels of the transcription factor, Eomes, and the degranulation marker, CD107a.

**[00215]** The observed results support the synergy of this combination strategy for potential clinical application. The sequential therapy of radiation and anti-PD-L1/TGF $\beta$  Trap can be of benefit to patients who have increased circulating TGF $\beta$  levels following radiotherapy. Furthermore, because of the strong synergistic effect observed even with a single low dose of anti-PD-L1/TGF $\beta$  Trap, a favorable safety profile in the clinic with such a combination therapy is expected.

## **SEQUENCES**

### **SEQ ID NO: 1**

Peptide sequence of the secreted anti-PD-L1 lambda light chain

QSALTQPASVSGSPGQSITISCTGTSSDVGGINVSWYQQHPGKAPKLMIYDVSNRPSGVSNRF  
SGSKSGNTASLTISGLQAEDEADYYCSSYTSSSTRVFGTGKVTVLGQPKANPTVTLFPPSSEE  
LQANKATLVCLISDFYPGAVTVAWKADGSPVKAGVETTKPSKQSNNKYAASSYLSLTPEQWKSH  
RSYSCQVTHEGSTVEKTVAPTECS

### **SEQ ID NO: 2**

Peptide sequence of the secreted H chain of anti-PDL1

EVQLLES GGGLVQPGGSLRLSCAASGFTFSSYIMMWVRQAPGKGLEWVSSIYPSSGGITFYADTV  
KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCARIKLGTVTTVDYWGQGLTVTVSSASTKGPSV  
FPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPAVLQSSGLYSLSSVVTVP  
SSSLGTQTYICNVNHKPSNTKVDKRVEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMI  
SRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGK  
EYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEW  
ESNGQPENNYKTTTPVLDSDGSFFLYSKLTVDKSRWQQGNV FSCSVMEALHNHYTQKSLSLSP

GK

**SEQ ID NO: 3**

Peptide sequence of the secreted H chain of anti-PDL1/TGF $\beta$  Trap

EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYIMMWVRQAPGKGLEWVSSIYPSSGGITFYADTV  
 KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCARIKLGTVT TVDYWGQGLTVTVSSASTKGPSV  
 5 FPLAPSSKSTSGGTAALGCLVKDYFPEPVT VSWNSGALTSGVHTFPAVLQSSGLYSLSSVVTVP  
 SSSLGTQTYICNVNHKPSNTKVDKRVEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMI  
 SRTPEVTCVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGK  
 EYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEW  
 ESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNV FSCSV MHEALHNHYTQKSLSLSP  
 10 GAGGGGSGGGGSGGGGSGGGSGGIPPHVQKSVNNDMIVTDNNGAVKFPQLCKFCDVRFSTCDNQ  
 KSCMSNCSITSICEKPQEVCAVVRKNDENITLETVCHDPKLPYHDFILED AASPKCIMKEKKK  
 PGETTFMCSCSSDECNDNIIIFSEEYNTSNPD

**SEQ ID NO: 4**

DNA sequence from the translation initiation codon to the translation stop codon of the anti-PD-  
 15 L1 lambda light chain (the leader sequence preceding the VL is the signal peptide from urokinase  
 plasminogen activator)

atgagggccctgctggctagactgctgctgtgctgctggctcgtgtccgacagcaagggcCAGT  
 CCGCCCTGACCCAGCCTGCCTCCGTGTCTGGCTCCCCTGGCCAGTCCATCACCATCAGCTGCAC  
 CGGCACCTCCAGCGACGTGGGCGGCTACAAC TACGTGTCTGGTATCAGCAGCACCCCGGCAAG  
 20 GCCCCAAGCTGATGATCTACGACGTGTCCAACCGGCCCTCCGGCGTGTCCAACAGATTCTCCG  
 GCTCCAAGTCCGGCAACACCGCCTCCCTGACCATCAGCGGACTGCAGGCAGAGGACGAGGCCGA  
 CTACTACTGCTCCTCCTACACCTCCTCCAGCACCAGAGTGTTCGGCACCGGCACAAAAGTGACC  
 GTGCTGggccagcccaaggccaacccaaccgtgacactgttccccccatcctccgaggaactgc  
 aggccaacaaggccaccctggctctgcctgatctcagatttctatccaggcgccgtgaccgtggc  
 25 ctggaaggctgatggctccccagtgaggccggcggtggaaccaccaagccctccaagcagtcc  
 aacaacaatacgccgcctcctcctacctgtccctgacccccgagcagtggaagtcccaccggt  
 cctacagctgccaggtcacacacgagggctccaccgtggaaaagaccgtcgccccaccgagtg  
 ctcaTGA

**SEQ ID NO: 5**

30 DNA sequence from the translation initiation codon to the translation stop codon (mVK SP  
 leader: small underlined; VH: capitals; IgG1m3 with K to A mutation: small letters; (G4S)x4-G

linker: bold capital letters; TGF $\beta$ RII: bold underlined small letters; two stop codons: bold underlined capital letters)

atggaaacagacaccctgctgctgtgggtgctgctgctgtgggtgcccggctccacaggcGAGG  
 TGCAGCTGCTGGAATCCGGCGGAGGACTGGTGCAGCCTGGCGGCTCCCTGAGACTGTCTTGCGC  
 5 CGCCTCCGGCTTCACCTTCTCCAGCTACATCATGATGTGGGTGCGACAGGCCCTGGCAAGGGC  
 CTGGAATGGGTGTCCTCCATCTACCCCTCCGGCGGCATCACCTTCTACGCCGACACCGTGAAGG  
 GCCGGTTCACCATCTCCCGGGACAACCTCCAAGAACACCCTGTACCTGCAGATGAACTCCCTGCG  
 GGCCGAGGACACCGCCGTGTACTACTGCGCCCGGATCAAGCTGGGCACCGTGACCACCGTGGAC  
 TACTGGGGCCAGGGCACCTGGTGACAGTGTCTCCgctagcaccaagggcccatcggtcttcc  
 10 ccctggcaccctcctccaagagcacctctgggggcacagcgccctgggctgcctggtcaagga  
 ctacttccccgaaccggtgacggtgtcgtggaactcaggcgccctgaccagcggtgcacacc  
 tccccggtgtcctacagtcctcaggactctactccctcagcagcggtggtgaccgtgcctcca  
 gcagcttgggcaccagacctacatctgcaacgtgaatcacaagcccagcaacaccaaggtgga  
 caagagagttgagcccaaattctgtgacaaaactcacacatgccaccgtgcccagcacctgaa  
 15 ctcttggggggaccgtcagtccttcttccccccaaaacccaaggacaccctcatgatctccc  
 ggacccttgaggtcacatgcgtggtggtggacgtgagccacgaagaccctgaggtcaagttcaa  
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 agcacgtaccgtgtggtcagcgtcctcaccgtcctgcaccaggactggctgaatggcaaggagt  
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 20 agggcagccccgagaaccacaggtgtacaccctgcccccatcccgaggagatgaccaagaac  
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 ctctctctatagcaagctcaccgtggacaagagcaggtggcagcaggggaacgtcttctcatgc  
 tccgtgatgcatgaggctctgcacaaccactacacgcagaagagcctctccctgtccccgggtg  
 25 ctGGCGGCGGAGGAAGCGGAGGAGGTGGCAGCGGTGGCGGTGGCTCCGGCGGAGGTGGCTCCGG  
Aatccctccccacgtgcagaagtcctgtaacaacgacatgatcggtgaccgacaacaacggcgcc  
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cctgcatgagcaactgcagcatcacaagcatctgcgagaagccccaggaggtgtgtgtggccgt  
gtggaggaagaacgacgaaaacatcacctcgagaccgtgtgccaatgaccccaagctgcctac  
 30 cacgacttcatcctggaagacgcccctcccccaagtgcattcatgaaggagaagaagaagcccg  
gcgagaccttcttcatgtgcagctgcagcagcgagtgcaatgacaacatcatctttagcga  
ggagtacaacaccagcaacccccgacTGATAA

**SEQ ID NO: 6**

Polypeptide sequence of the secreted lambda light chain of anti-PD-L1(mut)/ TGFβ Trap, with mutations A31G,D52E,R99Y

QSALTQFPASVSGSPGQSITISCTGTSSDVGGYNYVSWYQQHPGKAPKLMIYEVSNRPSGVSNRF  
 5 SGSKSGNTASLTISGLQAEDEADYYCSSYTSSSTYVFGTGTKVTVLGQPKANPTVTLFPPSSEE  
 LQANKATLVCLISDFYPGAVTVAWKADGSPVKAGVETTKPSKQSNNKYAASSYLSLTPEQWKSH  
 RSYSCQVTHEGSTVEKTVAPTECS

**SEQ ID NO: 7**

Polypeptide sequence of the secreted heavy chain of anti-PD-L1(mut)/ TGFβ Trap

10 EVQLLESGGGLVQPGGSLRLSCAASGFTFSMYMMWVRQAPGKGLEWVSSIYPSGGITFYADSV  
 KGRFTISRDN SKNTLYLQMNSLRAEDTAIYYCARIKLGTVTTVDYWGQGLTVTVSSASTKGPSV  
 FPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPAVLQSSGLYSLSSVVTVP  
 SSSLGTQTYICNVNHKPSNTKVKDRVEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMI  
 SRTPEVTCVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGK  
 15 EYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEW  
 ESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSQSVMEALHNHYTQKSLSLSP  
 GAGGGGSGGGGSGGGGSGGGGSGIIPPHVQKSVNNDMIVTDNNGAVKFPQLCKFCDFRSTCDNQ  
 KSCMSNCSITSICEKPQEVCAVWRKNDENITLETVCHDPKLPYHDFILEDAAAPKCIMKEKKK  
 PGETFFMCSCSSDECNDNIIIFSEEYNTSNPD

**20 SEQ ID NO: 8**

Human TGFβRII Isoform A Precursor Polypeptide (NCBI RefSeq Accession No:

NP\_001020018)

MGRGLLRGLWPLHIVLWTRIASTIPPHVQKSDVEMEAQKDEIICPSCNRHTAHLRHINNDMIVT  
 DNNGAVKFPQLCKFCDFRSTCDNQKSCMSNCSITSICEKPQEVCAVWRKNDENITLETVCHD  
 25 PKLPYHDFILEDAAAPKCIMKEKKKPKGETFFMCSCSSDECNDNIIIFSEEYNTSNPDLLLVIQV  
 TGISLLPPLGVAISVIIIFYCYRVNRQQKLSSTWETGKTRKLMEFSEHCAIILED RSDISSTC  
 ANNINHNTELLPIELDTLVGKGRFAEVYKAKLKQNTSEQFETVAVKIFPYEEYASWKTEKDIFS  
 DINLKHENILQFLTAEERKTELKQYWLITAFHAKGNLQEYLTRHVISWEDLRKLGS SLARGIA  
 HLHSDHTPCGRPKMPIVHRDLKSSNILVKNDLTCCCLDFGLSLRLDPTLSVDDLANSQVGTAR  
 30 YMAPEVLES RMNLENVESFKQTDVYSMALVLWEMTSRCNAVGEVKDYEPFPGSKVREHPCVESM  
 KDNVLRDRGRPEIPSFNLNHQGIQMV CETLTECWDHDPEARLTAQCVAERFSELEHLDRLSGRS  
 CSEEKIPEDGSLN TTK

**SEQ ID NO: 9**Human TGF $\beta$ RII Isoform B Precursor Polypeptide (NCBI RefSeq Accession No: NP\_003233)

MGRGLLRGLWPLHIVLWTRIASTIPPHVQKSVNNDMIVTDNNGAVKFPQLCKFCDVRFSTCDNQ  
 KSCMSNCSITSICEKPQEVCAVWRKNDENITLETVCHDPKLPYHDFILEDAAASPKCIMKEKKK  
 5 PGETFFMCSCSSDECNDNIIIFSEYNTSNPDLLLVI FQVTGISLLPPLGVAISVIIIFYCYRVN  
 RQQKLSSTWETGKTRKLMEFSEHCAIILED RSDISSTCANNINHNTPELLFIELDTLVGKGRFA  
 EVYKAKLKQNTSEQFETVAVKIFPYEEYASWKTEKDIFSDINLKHENILQFLTAEERKTEL GKQ  
 YWLITAFHAKGNLQEYLTRHVISWEDLRKLGSS LARGIAHLHSDHTPCGRPKMPIVHRDLKSSN  
 IILVKNDLTCCLCDFGLSLRLDPTLSVDDLANS GQVGTARYMAPEVLES RMNLENVESFKQTDVY  
 10 SMALVLWEMTSRCNAVGEVKDYEPFPGSKVREHPCVESMKDNVLRDRGRPEIPSFWLNHQGIQM  
 VCETLTECWDHDPEARLTAQCVAERFSELEHLDR LSGRSCSEEKIPEDGSLNTTK

**SEQ ID NO: 10**A Human TGF $\beta$ RII Isoform B Extracellular Domain Polypeptide

IIPPHVQKSVNNDMIVTDNNGAVKFPQLCKFCDVRFSTCDNQKSCMSNCSITSICEKPQEVCAV  
 15 WRKNDENITLETVCHDPKLPYHDFILEDAAASPKCIMKEKKK PGETFFMCSCSSDECNDNIIIFSE  
 EYNTSNPD

**SEQ ID NO: 11**(Gly<sub>4</sub>Ser)<sub>4</sub>Gly linker

GGGGSGGGSGGGSGGGSGGGSGG

20 **SEQ ID NO: 12**

Polypeptide sequence of the secreted heavy chain variable region of anti-PD-L1 antibody  
 MPDL3280A

EVQLVESGGGLVQPGGSLRLSCAASGFTFSDSWIHWVRQAPGKGLEWVAWISPYGGSTYY  
 ADSVKGRFTISADTSKNTAYLQMNSLRAEDTAVYYCARRHWPGGFDYWGQGTLVTVSS

25 **SEQ ID NO: 13**

Polypeptide sequence of the secreted light chain variable region of anti-PD-L1 antibody  
 MPDL3280A and the anti-PD-L1 antibody YW243.55S70

DIQMTQSPSSLSASVGDRVTITCRASQDVSTAVAWYQQKPGKAPKLLIYSASFLYSGVPSRFSG  
 SGSGTDFTLTISSLQPEDFATYYCQQYLYHPATFGQGTKVEIKR

**SEQ ID NO: 14**

Polypeptide sequence of the secreted heavy chain variable region of anti-PD-L1 antibody

YW243.55S70

EVQLVESGGGLVQPGGSLRLSCAASGFTFSDSWIHWVRQAPGKGLEWVAWISPYGGSTYYADSV

5 KGRFTISADTSKNTAYLQMNSLRAEDTAVYYCARRHWPGGFDYWGQGLVTVSA

**INCORPORATION BY REFERENCE**

[00216] The entire disclosure of each of the patent documents and scientific articles referred to herein is incorporated by reference for all purposes. The entire disclosure of U.S. Application No. 14/618,454 is incorporated by reference herein in its entirety for all purposes.

**EQUIVALENTS**

10 [00217] The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting the invention described herein. Various structural elements of the different embodiments and various disclosed method steps may be utilized in various combinations and permutations, and all such variants are to be considered forms of the invention. Scope of the invention is thus indicated by the appended  
15 claims rather than by the foregoing description, and all changes that come within the meaning and range of equivalency of the claims are intended to be embraced therein.



## WHAT IS CLAIMED:

1. A pharmaceutical composition comprising:  
a protein comprising a human TGF $\beta$ RII, or fragment thereof capable of binding TGF $\beta$ ; and an antibody, or antigen-binding fragment thereof, that binds human protein Programmed Death Ligand 1 (PD-L1) for use in treating cancer in combination with an effective amount of at least one additional anti-cancer agent,  
wherein said protein used in combination with said anti-cancer agent has an enhanced therapeutic effect compared to the effect of the protein and the at least one additional anti-cancer agent each administered alone.
2. A pharmaceutical composition comprising:  
a protein comprising a first moiety comprising a human TGF $\beta$ RII, or fragment thereof capable of binding TGF $\beta$ , and an antibody, or antigen-binding fragment thereof, that binds human protein Programmed Death Ligand 1 (PD-L1) for use in inhibiting tumor growth in combination with an effective amount of at least one additional anti-cancer agent,  
wherein said protein used in combination with said anti-cancer agent has an enhanced therapeutic effect compared to the effect of the protein and the at least one additional anti-cancer agent each administered alone.
3. The pharmaceutical composition of claim 1 or 2, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises amino acids 1-120 of SEQ ID NO:2.
4. The pharmaceutical composition of claim 1 or 2, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises the amino acid sequence of SEQ ID NO:2 except that the C-terminal lysine has been mutated to alanine.
5. The pharmaceutical composition of claim 1 or 2, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises the amino acid sequences SYIMM (HVR-H1), SIYPSGGITFYADTVKG (HVR-H2) and IKLGTVTTVDY (HVR-H3).
6. The pharmaceutical composition of claim 1 or 2, wherein the human TGF $\beta$ RII, or fragment thereof capable of binding TGF $\beta$  comprises the amino acid sequence of SEQ ID NO:10.

7. The pharmaceutical composition of claim 1 or 2, wherein the protein comprises the amino acid sequence of SEQ ID NO:1 and SEQ ID NO:3.
8. The pharmaceutical composition of claim 1 or 2, where the anti-cancer agent is a chemotherapeutic agent.
9. The pharmaceutical composition of claim 1 or 2, wherein the anti-cancer agent is radiation.
10. The pharmaceutical composition of claim 8, wherein the chemotherapeutic agent is an alkylating agent.
11. The pharmaceutical composition of claim 10, wherein the alkylating agent is 5-FU.
12. The pharmaceutical composition of claim 8, wherein the chemotherapeutic agent is a platinum-based agent.
13. The pharmaceutical composition of claim 12, wherein the platinum based agent is oxaliplatin.
14. The pharmaceutical composition of claim 1 or 2, wherein the cancer is selected from the group consisting of colorectal, breast, ovarian, pancreatic, gastric, prostate, renal, cervical, myeloma, lymphoma, leukemia, thyroid, endometrial, uterine, bladder, neuroendocrine, head and neck, liver, nasopharyngeal, testicular, small cell lung cancer, non-small cell lung cancer, melanoma, basal cell skin cancer, squamous cell skin cancer, dermatofibrosarcoma protuberans, Merkel cell carcinoma, glioblastoma, glioma, sarcoma, mesothelioma, and myelodysplastic syndromes.
15. The pharmaceutical composition of claim 1 or 2, wherein the protein is provided in a dosage selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.
16. The pharmaceutical composition of claim 8, wherein the protein is provided in a dosage selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.

17. The pharmaceutical composition of claim 9, wherein the radiation is provided in a dosage selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.
18. The pharmaceutical composition of claim 16, wherein the protein is provided in a dosage between 2 to 10 times lower than the dosage known to be used for treating said cancer.
19. The pharmaceutical composition of claim 9, wherein said protein used in combination with radiation of a primary tumor inhibits the growth of a secondary tumor or metastasis distal to the primary tumor treated with radiation.
20. A method of treating cancer, the method comprising administering to a cancer patient:  
(i) a protein comprising a human TGF $\beta$ RII, or fragment thereof capable of binding TGF $\beta$ ; and an antibody, or antigen-binding fragment thereof, that binds human protein Programmed Death Ligand 1 (PD-L1); and  
(ii) an effective amount of at least one additional anti-cancer agent,  
thereby providing a combination therapy having an enhanced therapeutic effect compared to the effect of the protein and the at least one additional anti-cancer agent each administered alone.
21. A method of inhibiting tumor growth, the method comprising exposing the tumor to:  
(i) a protein comprising a first moiety comprising a human TGF $\beta$ RII, or fragment thereof capable of binding TGF $\beta$ , and an antibody, or antigen-binding fragment thereof, that binds human protein Programmed Death Ligand 1 (PD-L1); and  
(ii) an effective amount of at least one additional anti-cancer agent,  
thereby providing a combination therapy having an enhanced therapeutic effect compared to the effect of the protein and the at least one additional anti-cancer agent each administered alone.
22. The method of claim 20 or 21, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises amino acids 1-120 of SEQ ID NO:2.
23. The method of claim 20 or 21, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises the amino acid sequence of SEQ ID NO:2 except that the C-terminal lysine has been mutated to alanine.

24. The method of claim 20 or 21, wherein the antibody, or antigen-binding fragment thereof, that binds PD-L1 comprises the amino acid sequences SYIMM (HVR-H1), SIYPSGGITFYADTVKG (HVR-H2) and IKLGTVTTVDY (HVR-H3).
25. The method of claim 20 or 21, wherein the human TGF $\beta$ RIL, or fragment thereof capable of binding TGF $\beta$  comprises the amino acid sequence of SEQ ID NO:10.
26. The method of claim 20 or 21, wherein the protein comprises the amino acid sequence of SEQ ID NO:1 and SEQ ID NO:3.
27. The method of claim 20 or 21, where the anti-cancer agent is a chemotherapeutic agent.
28. The method of claim 20 or 21, wherein the anti-cancer agent is radiation.
29. The method of claim 27, wherein the chemotherapeutic agent is an alkylating agent.
30. The method of claim 29, wherein the alkylating agent is 5-FU.
31. The method of claim 27, wherein the chemotherapeutic agent is a platinum-based agent.
32. The method of claim 31, wherein the platinum based agent is oxaliplatin.
33. The method of claim 20 or 21, wherein the cancer is selected from the group consisting of colorectal, breast, ovarian, pancreatic, gastric, prostate, renal, cervical, myeloma, lymphoma, leukemia, thyroid, endometrial, uterine, bladder, neuroendocrine, head and neck, liver, nasopharyngeal, testicular, small cell lung cancer, non-small cell lung cancer, melanoma, basal cell skin cancer, squamous cell skin cancer, dermatofibrosarcoma protuberans, Merkel cell carcinoma, glioblastoma, glioma, sarcoma, mesothelioma, and myelodysplastic syndromes.
34. The method of claim 27, wherein the administration of an initial dose of chemotherapy is followed by administration of the protein.
35. The method of claim 28, wherein the administration of an initial dose of radiation is followed by administration of the protein.
36. The method of claim 27, wherein multiple doses of chemotherapy are administered.
37. The method of claim 28, wherein multiple doses of radiation are administered.

38. The method of claim 20 or 21, wherein multiple doses of the protein are administered.
39. The method of claim 20 or 21, wherein the dosage of the protein is selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.
40. The method of claim 27, wherein the dosage of the chemotherapeutic agent is selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.
41. The method of claim 28, wherein the dosage of the radiation is selected from the group consisting of (i) a dosage known to be used for treatment of said cancer and (ii) a lower dosage compared to the concentration known to be used for treating said cancer.
42. The method of claim 39, wherein the lower dosage of protein is between 2 to 10 times lower than the dosage known to be used for treating said cancer.
43. The method of claim 20 or 21, wherein the protein and one additional anti-cancer agent are administered sequentially.
44. The method of claim 28, wherein the method inhibits the growth of a secondary tumor or metastasis distal to the primary tumor treated with radiation.

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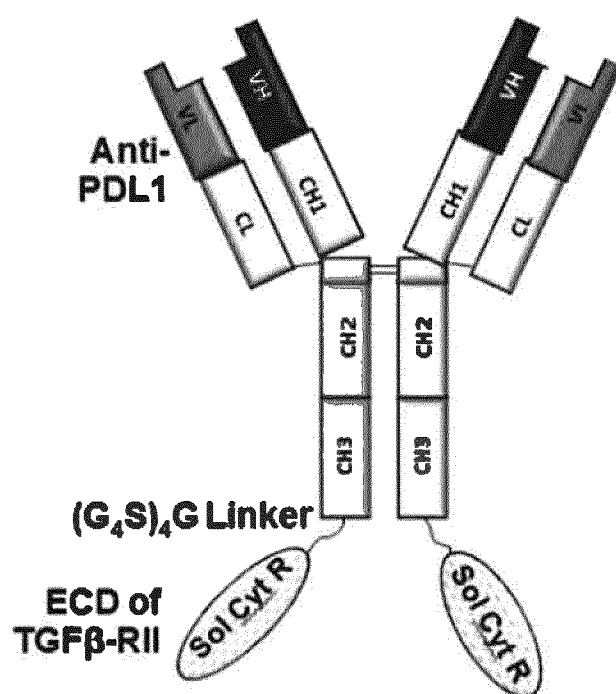


FIG. 1

TI13-027: Combination of Anti-PD-L1/TGFβ Trap with 5-FU and Oxaliplatin Therapy in MC38 Tumor Model in C57B/L6 Wild Type Mice

Group and Treatment (N=10 mice/group):

Group	Group/Treatment	Dose	Route	Schedule
1	Saline	0.2 ml/mouse	i.v.	day 0, 14
	Isotype Control	400 µg/mouse	i.v.	day 3, 6, 8
2	Anti-PD-L1/TGFβ Trap	492 µg/mouse.	i.v.	days 3, 6, 8
3	Oxaliplatin	5 mg/kg	i.p.	day 0, 14
	5-FU	60 mg/kg	i.v.	day 0, 14
4	Oxaliplatin	5 mg/kg	i.p.	day 0, 14
	5-FU	60 mg/kg	i.v.	day 0, 14
	Anti-PD-L1/TGFβ Trap	492 µg/mouse	i.v.	days 3, 6, 8

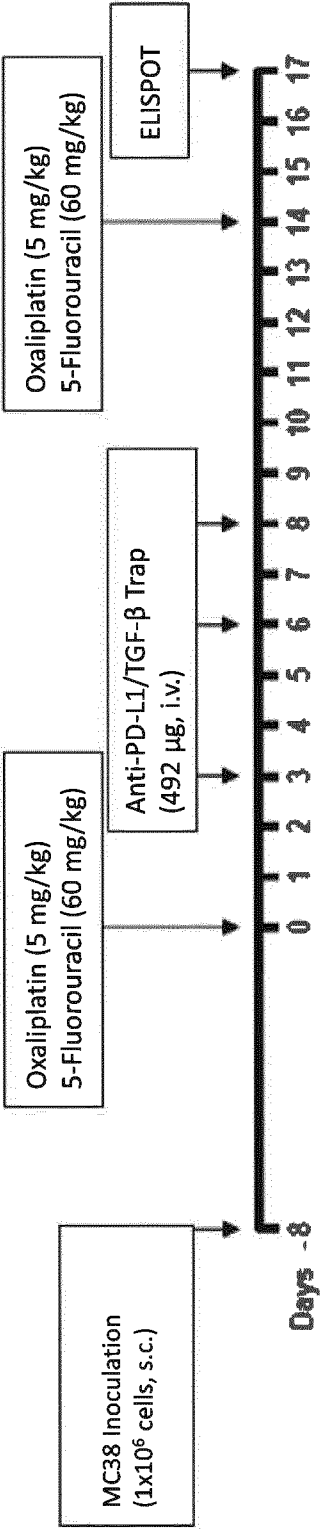


FIG. 2

TI14-012: Combination of Anti-PD-L1/TGFβ Trap with 5-FU and Oxaliplatin Therapy in MC38 Tumor Model in B Cell Deficient Mice

Group and Treatment (N=10 mice/group):

Group	Group/Treatment	Dose	Route	Schedule
1	Saline	0.2 ml/mouse	i.v.	day 0
	Isotype Control	400 µg/mouse	i.v.	day 3, 6, 9, 12, 17
2	Anti-PD-L1/TGFβ Trap	492 µg/mouse	i.v.	days 3, 6, 9, 12, 17
3	Oxaliplatin	5 mg/kg	i.p.	day 0
	5-FU	60 mg/kg	i.v.	day 0
4	Oxaliplatin	5 mg/kg	i.p.	day 0
	5-FU	60 mg/kg	i.v.	day 0
	Anti-PD-L1/TGFβ Trap	492 µg/mouse	i.v.	days 3, 6, 9, 12, 17

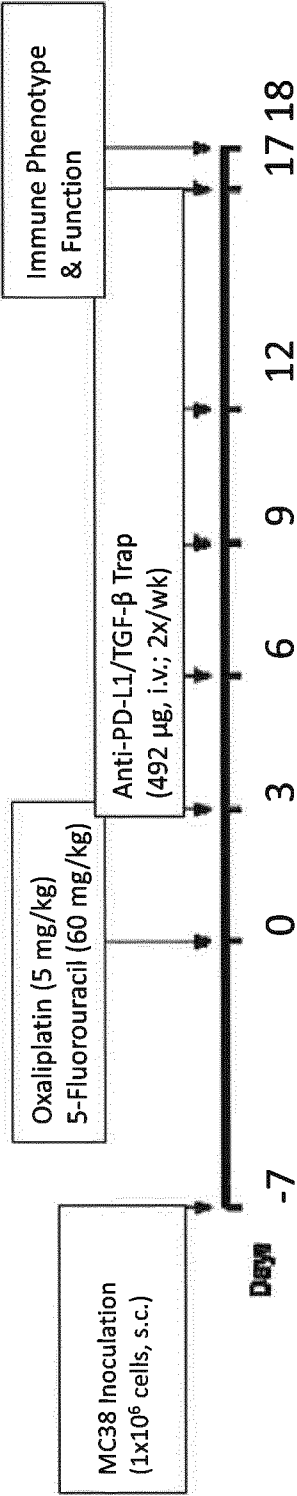
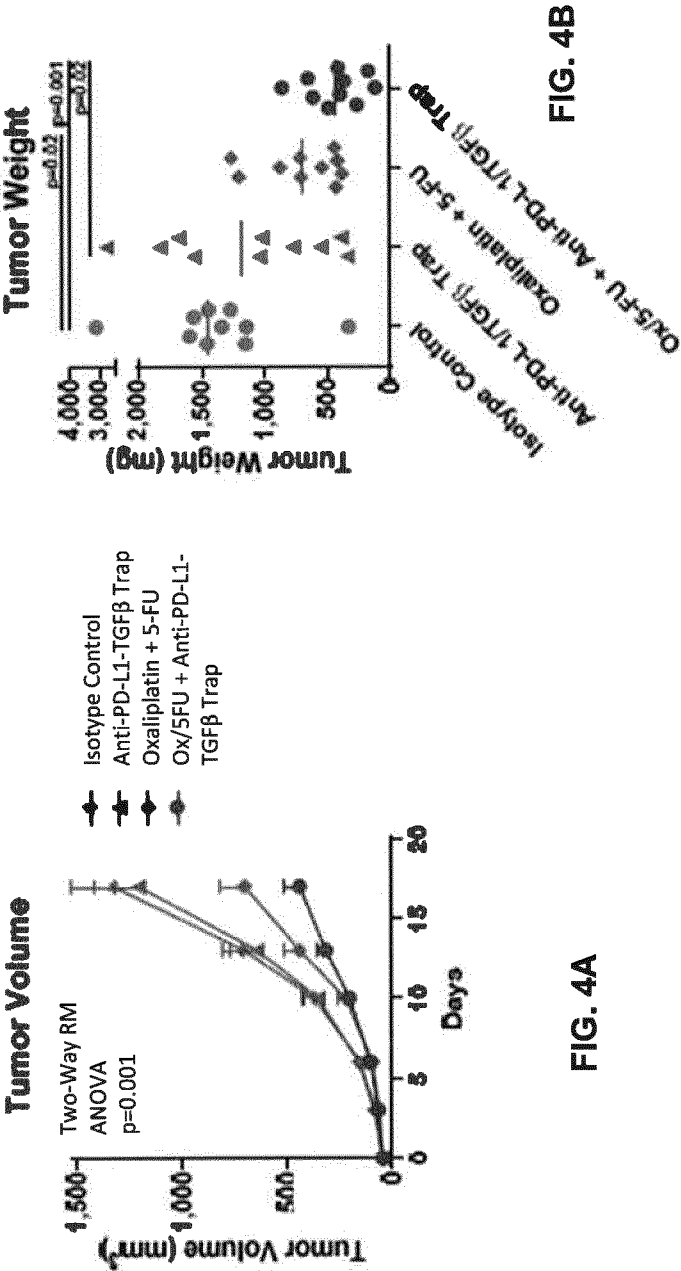


FIG. 3





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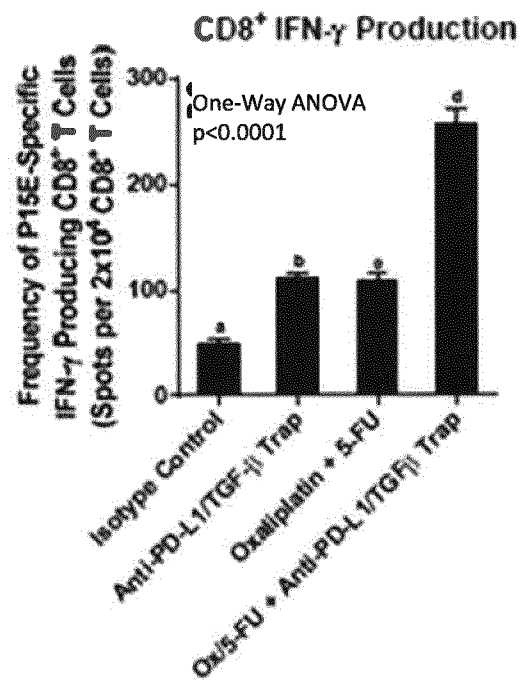


FIG. 4C

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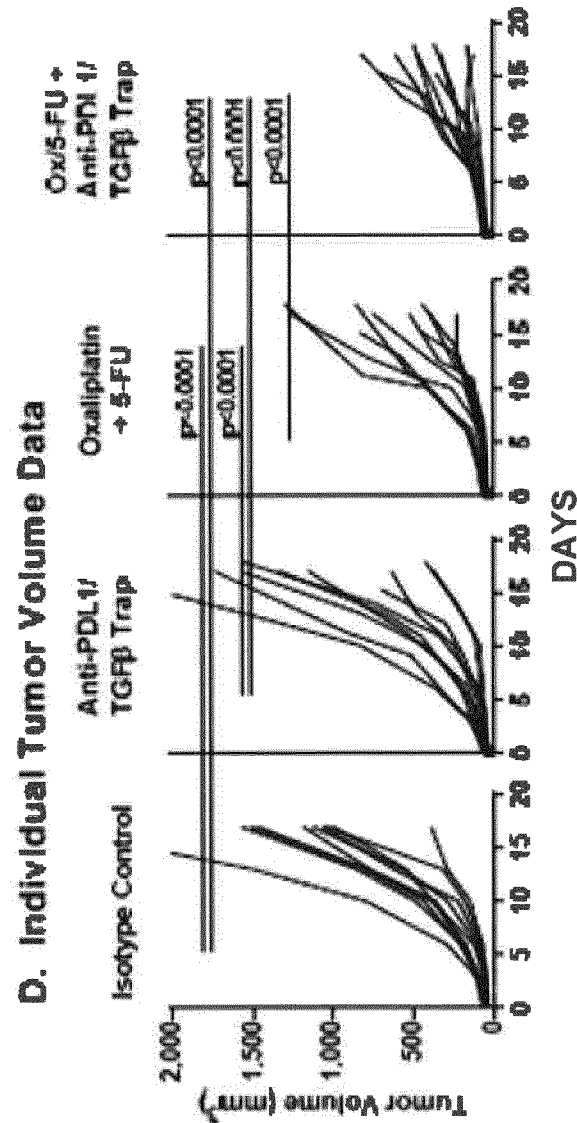


FIG. 4D

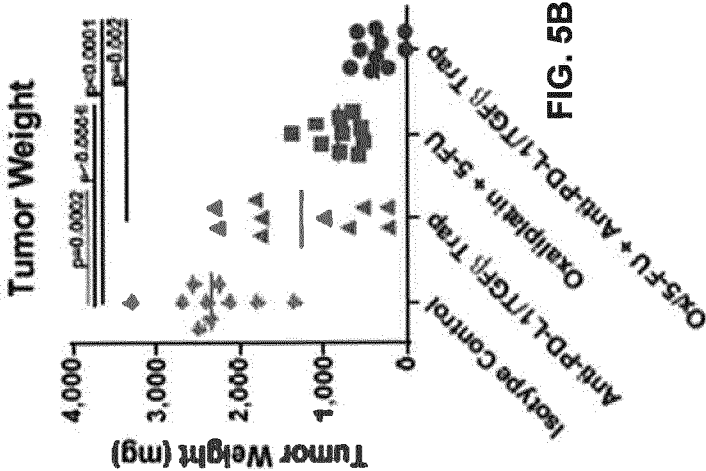


FIG. 5B

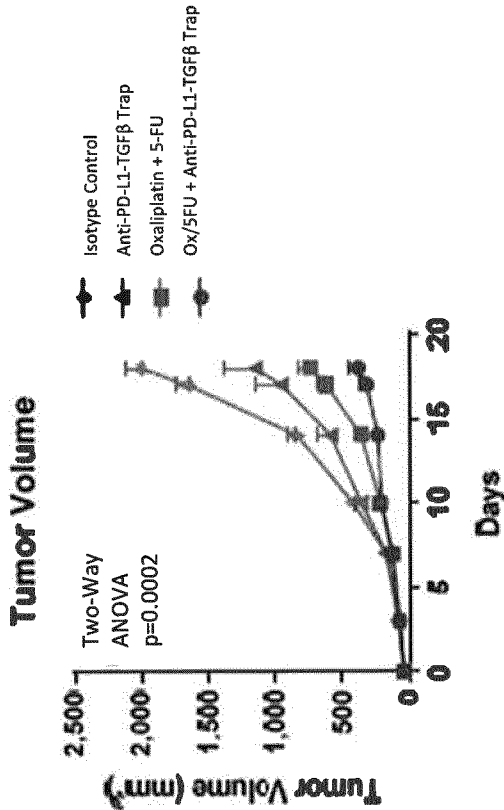


FIG. 5A

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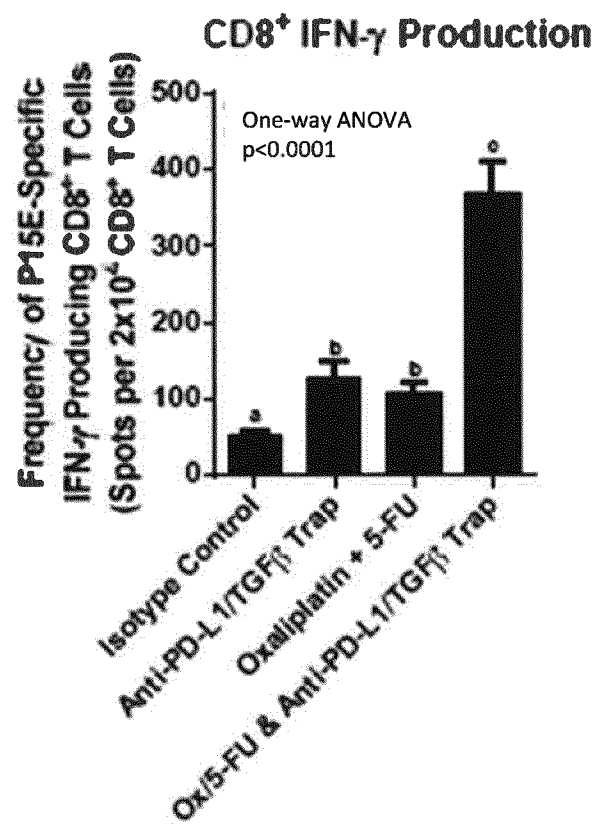


FIG. 5C

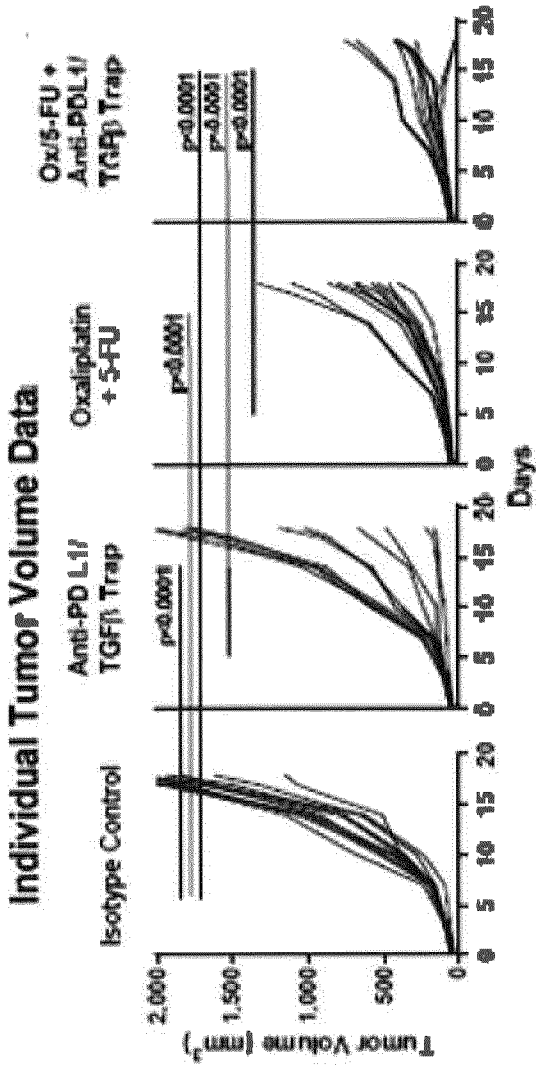


FIG. 5D

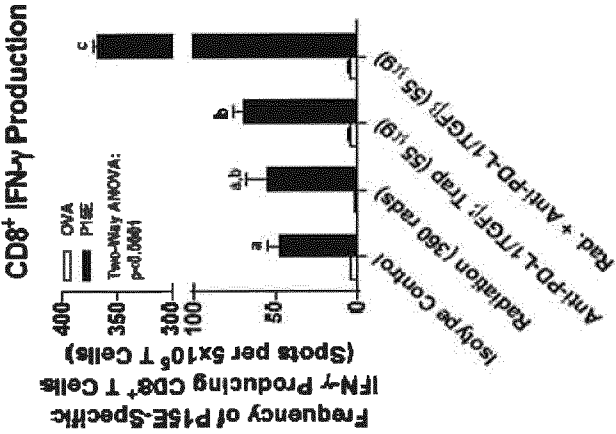


FIG. 6C

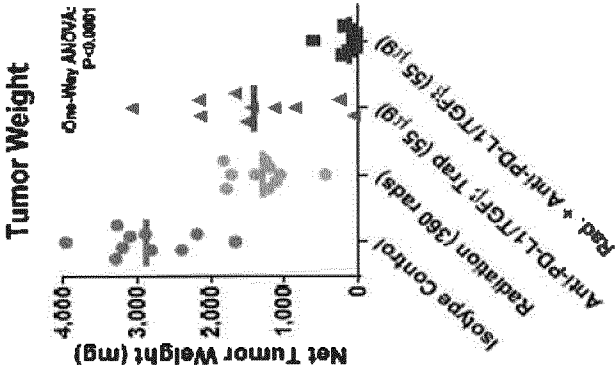


FIG. 6B

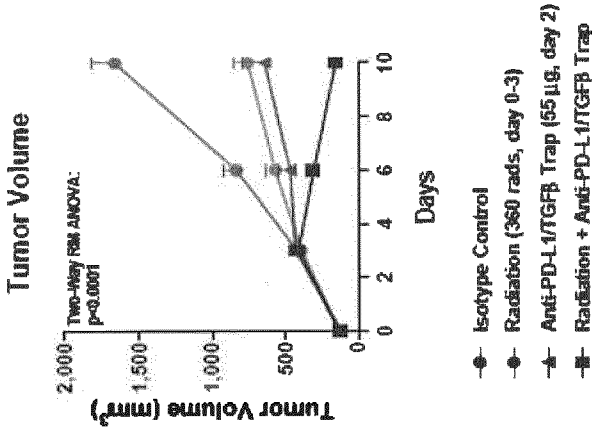
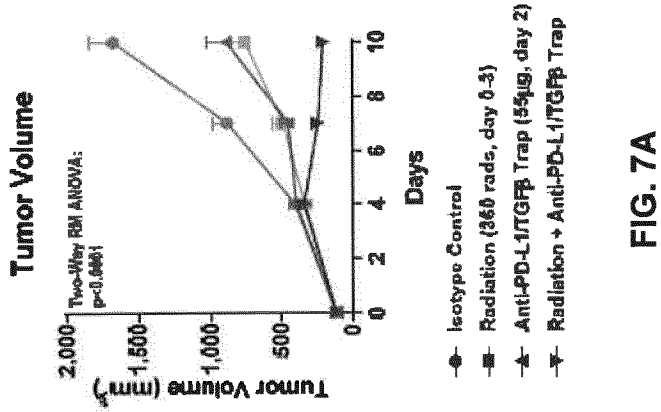
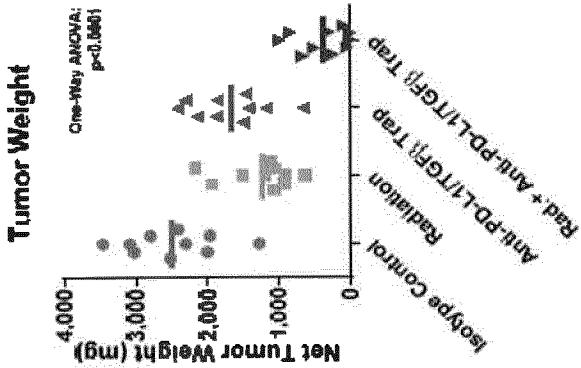
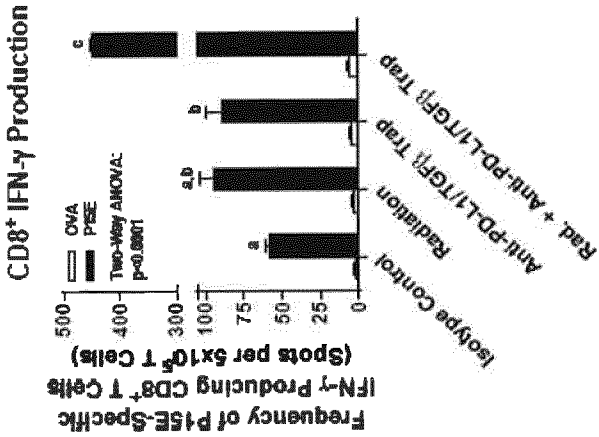


FIG. 6A





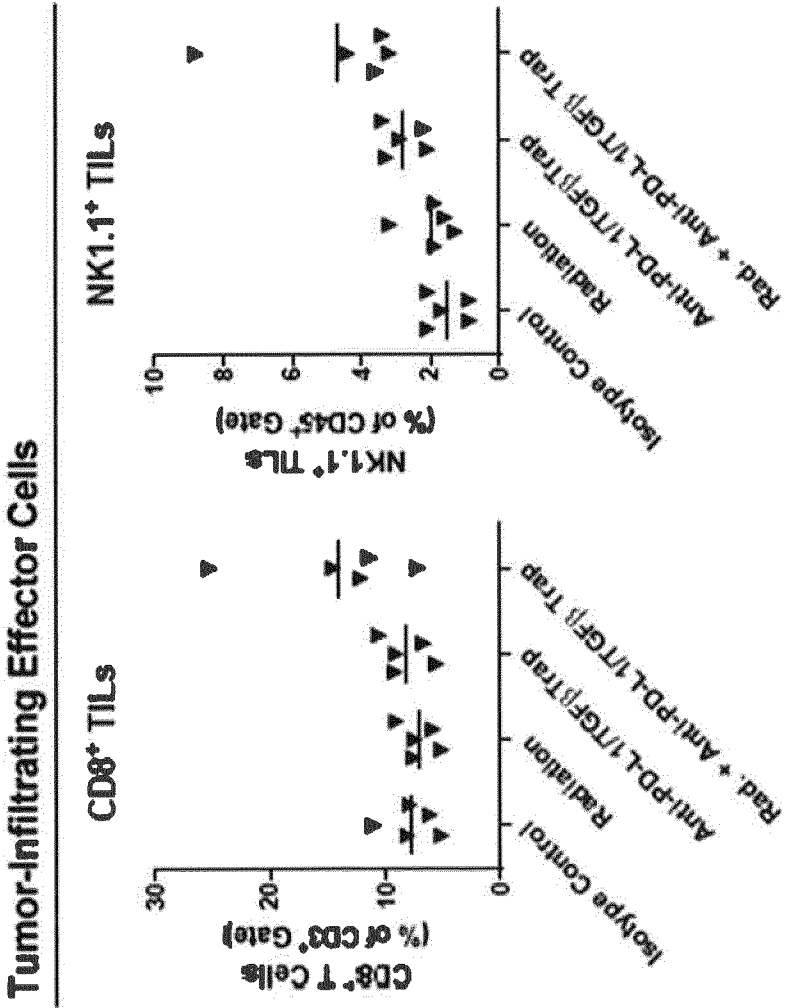


FIG. 8A

FIG. 8B

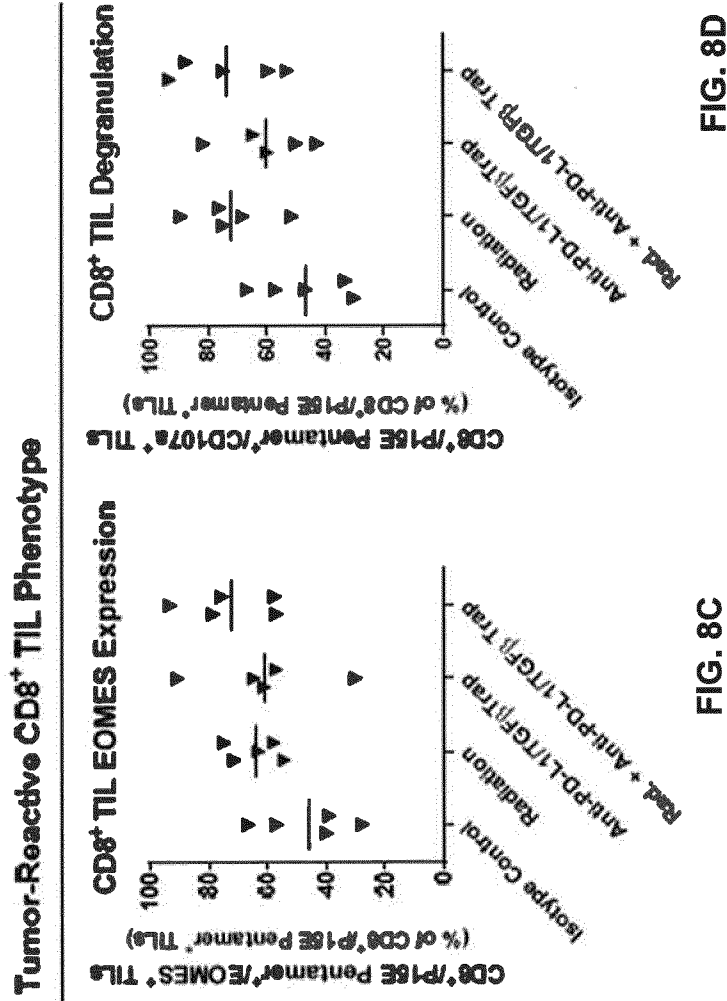


FIG. 8D

FIG. 8C

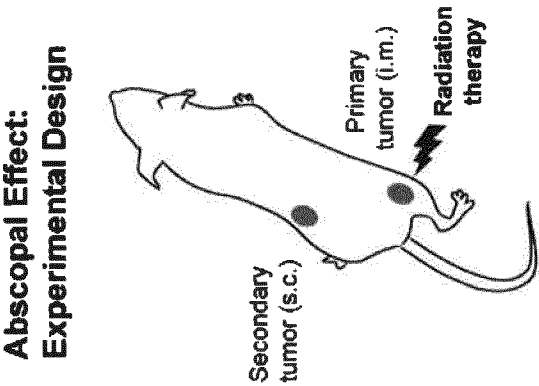


FIG. 9A

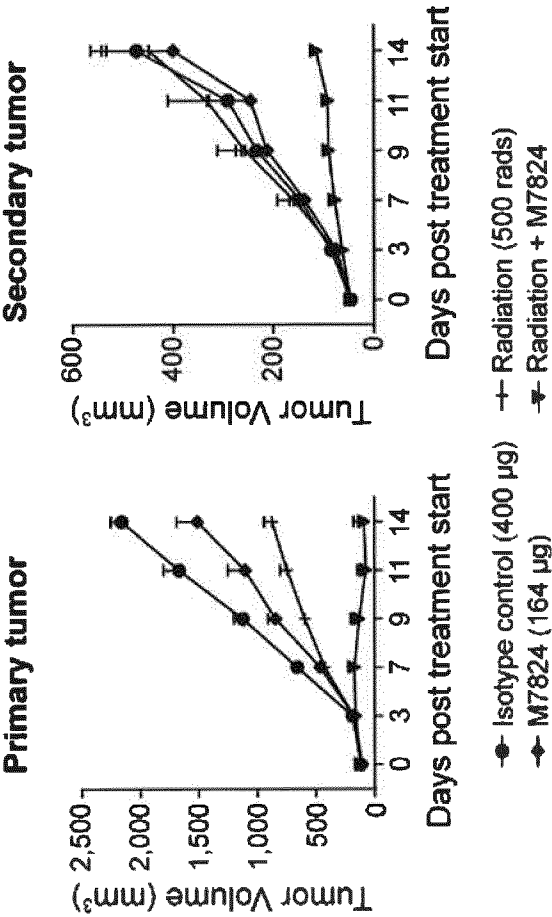


FIG. 9B

FIG. 9C

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2017/070513

A. CLASSIFICATION OF SUBJECT MATTER		
INV.	C07K16/28 A61K38/17 A61K31/513 A61K39/395	C07K14/71 A61P35/00 A61K31/282
ADD.		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) C07K A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, WPI Data, BIOSIS		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2015/118175 A2 (MERCK PATENT GMBH [DE]) 13 August 2015 (2015-08-13)	1-9, 14-28, 33-44
Y	paragraph [0028] - paragraph [0031]; claims 16,17,24,25,30-35; sequences 3,7	10-13, 29-32
Y	WO 2013/079174 A1 (MERCK PATENT GMBH [DE]) 6 June 2013 (2013-06-06) page 66 - page 68; claims 74-92	10-13, 29-32
A	WO 2013/181452 A1 (GENENTECH INC [US]; HOFFMANN LA ROCHE [CH]) 5 December 2013 (2013-12-05) claim 1; figure 1; example 1	1-44
	-/--	
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search  3 November 2017		Date of mailing of the international search report  09/11/2017
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016		Authorized officer  Le Flao, Katell

## INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2017/070513

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
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