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- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

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(54) Title: MINIMALLY INVASIVE SURGERY PLACEMENT OF STIMULATION LEADS IN MEDIASTINAL STRUCTURES

(57) Abstract: A method for placement of electrostimulation leads in the mediastinum is provided. More particularly, this invention relates to placement of electrostimulation leads in the mediastinum using minimally invasive surgical techniques. Leads so placed may be used to stimulate specific mediastinal organs including, for example, the esophagus, neural structures such as the vagus and phrenic nerves, and cardiovascular organs such as the heart and other vessels in order to provide therapeutic, physiological, and/or pathophysiological effects on the mediastinal organs and/or target organs to which such mediastinal organs or structures are attached.

# MINIMALLY INVASIVE SURGERY PLACEMENT OF STIMULATION LEADS IN MEDIASTINAL STRUCTURES

## **CROSS-REFERENCE TO RELATED APPLICATION**

This application claims the benefit of U.S. Provisional Application No. 60/235,659, filed September 26, 2000.

## **FIELD OF THE INVENTION**

The invention relates to placement of electrostimulation leads in the mediastinum. More particularly, this invention relates to placement of electrostimulation leads in the mediastinum using minimally invasive surgical techniques. Leads so placed may be used to stimulate specific mediastinal organs including, for example, the esophagus, neural structures such as the vagus and phrenic nerves, and cardiovascular organs such as the heart and other vessels in order to provide therapeutic, physiological, and/or pathophysiological effects on the mediastinal organs and/or target organs to which such mediastinal organs or structures are attached.

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#### **SUMMARY OF THE INVENTION**

The invention relates to placement of electrostimulation leads in the mediastinum. More particularly, this invention relates to placement of electrostimulation leads in the mediastinum using minimally invasive surgical techniques. Leads so placed may be used to stimulate specific mediastinal organs including, for example, the esophagus, neural structures such as the vagus and phrenic nerves, and cardiovascular organs such as the heart and other vessels in order to provide therapeutic, physiological, and/or pathophysiological effects on the mediastinal organs and/or target organs to which such mediastinal organs or structures are attached.

In one embodiment, suitable minimally invasive surgical instruments are used to gain access to the desired mediastinum organs by insertion from the abdominal skin under the rib cage, preferably in the area of the xyphoid.

Such an approach avoids violating the pleural space. Alternatively, the desired mediastinum organs may be approached from the cervical region and through the superior mediastinum and using a celephad caudal direction.

## **DESCRIPTION OF THE DRAWINGS**

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Figure 1 generally illustrates the vagus nerve and the mediastinal organs innervated by the vagus nerve. The branches 10 of the vagus nerve leading to, or adjacent to, the stomach which are especially useful with electrostimulation techniques for treatment of obesity are highlighted.

Figure 2 generally illustrates the torso with the xyphoid or xyphoid process 20 highlighted. In one embodiment of the present invention, suitable minimally invasive surgical instruments are used to gain access to the desired mediastinum organs by insertion from the abdominal skin under the rib cage, preferably in the area of the xyphoid 20 as highlighted by area 21. Such an approach avoids violating the pleural space. Alternatively, the desired mediastinum organs may be approached from the cervical region and through the superior mediastinum using a celephad caudal direction; this approach can be implemented using, for example, trocars 23.

Figure 3 also illustrates the torso with the xyphoid or xyphoid process 20 highlighted. In one embodiment of the present invention, suitable minimally invasive surgical instruments are used to gain access to the desired mediastinum organs by insertion from the abdominal skin under the rib cage as generally illustrated by arrow 22. Alternatively, the desired mediastinum organs may be approached from the cervical region and through the superior mediastinum using a celephad caudal direction as generally illustrated by arrow 24.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The invention relates to placement of electrostimulation leads in the mediastinum. More particularly, this invention relates to placement of

electrostimulation leads in the mediastinum using minimally invasive surgical techniques. Leads so placed may be used to stimulate specific mediastinal organs including, for example, the esophagus, neural structures such as the vagus and phrenic nerves, and cardiovascular organs such as the heart and other vessels in order to provide therapeutic, physiological, and/or pathophysiological effects on the mediastinal organs and/or target organs to which such mediastinal organs or structures are attached.

In one embodiment, suitable minimally invasive surgical instruments are used to gain access to the desired mediastinum organs by insertion from the abdominal skin under the rib cage, preferably in the area of the xyphoid. Such an approach avoids violating the pleural space. Alternatively, the desired mediastinum organs may be approached from the cervical region and through the superior mediastinum using a celephad caudal direction.

The present invention is especially adapted for placement of electrostimulation leads onto the vagus nerve and more preferably on the branches of the vagus nerve feeding the esophagus or the stomach. Placement of such electrostimulation leads on vagus nerve, or branches of the vagus nerve, leading to, or adjacent to, the stomach can be used with electrostimulation techniques for treatment of obesity. Reference 10 in Figure 1 generally illustrates the portion of the vagus nerve especially adapted for electrostimulation for inducing weight loss in a human subject, including the control or treatment of obesity.

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The present invention generally uses convention minimally invasive surgical techniques to place the desired electrostimulation device on or adjacent to the specific mediastinal organ or organs desired to be stimulated. Conventional electrostimulation devices may be used in the practice of this invention. Such devices include, for example, those described in U.S. Patent 5,423,872 (June 3, 1995) (an implantable gastric electrical stimulator at the antrum area of the stomach which generates sequential electrical pulses to stimulate the entire stomach, thereby artificially altering the natural gastric

motility to prevent emptying or to slow down food transit through the stomach); U.S. Patent 5,690,691 (November 25, 1997) (a portable or implantable gastric pacemaker employing a number of electrodes along the greater curvature of the stomach for delivering phased electrical stimulation at different locations to accelerate or attenuate peristaltic movement in the GI tract); U.S. Patent 5,836,994 (November 17, 1998) (an implantable gastric stimulator which incorporates direct sensing of the intrinsic gastric electrical activity by one or more sensors of predetermined frequency bandwidth for application or cessation of stimulation based on the amount of sensed activity); U.S. Patent 5,861,014 (January 19, 1999) (an implantable gastric stimulator for sensing abnormal electrical activity of the gastrointestinal tract so as to provide electrical stimulation for a preset time period or for the duration of the abnormal electrical activity to treat gastric rhythm abnormalities); U.S. Patent 6,041,258 (March 21, 2000) (electrostimulation device with improved handle for laparoscopic surgery); U.S. Patent Application Serial Number 09/640,201 (filed August 16, 2000) (eletrostimulation device attachable to enteric or endo-abdominal tissue or viscera which is resistance to detachment); PCT Application Serial Number (filed; Attorney Docket No. 3581/006 PCT) entitled "Gastric Stimulator Apparatus and Method for Installing" based on United States Provisional Application Serial Numbers 60/129,198 and 60/129,199 (both filed April 14, 1999); PCT Application Serial Number (filed ; Attorney Docket No. 3581/004 PCT) entitled "Gastric Stimulator Apparatus and Method for Use" based on United States Provisional Application Serial Numbers 60/129,209 (filed April 14, 1999) and 60/466,387 (filed December 17, 1999); and U.S. Provisional Patent Application Serial Number (filed the same date as the present application) entitled "Method and Apparatus for Intentional Impairment of Gastric Motility and/or Efficiency by Triggered Electrical Stimulation of the Gastric Tract with Respect to the Intrinsic Gastric Electrical Activity." All of these patents, patent applications,

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provisional patent applications, and/or publications are hereby incorporated by reference.

#### **CLAIMS**

#### We claim:

1. A method for stimulating mediastinum tissue, said method comprising

- (1) inserting an electrostimulation device under skin and accessing the mediastinum tissue to be stimulated, wherein the electrostimulation device has an electrostimulation lead and a distal end;
- (2) attaching the electrostimulation lead to the mediastinum tissue to be stimulated;
  - (3) attaching the proximal end to a pulse generator; and
- (3) using the pulse generator to deliver electrical stimulation through the electrostimulation lead to the mediastinum tissue to be stimulated,

wherein the insertion of the electrostimulation device and attachment of the electrostimulation lead are implemented using minimally invasive surgical techniques.

- 2. The method of claim 1, wherein mediastinum tissue to be treated is vagus nerve or a branch of the vagus nerve.
- 3. The method of claim 1, wherein mediastinum tissue to be treated is vagus nerve or a branch of the vagus nerve leading to the stomach.
- 4. The method of claim 1, wherein the pulse generator is an implantable and programmable pulse generator.

5. The method of claim 2, wherein the pulse generator is an implantable and programmable pulse generator.

- 6. The method of claim 3, wherein the pulse generator is an implantable and programmable pulse generator.
- 7. The method of claim 1, wherein the mediastinum tissue is accessed from abdominal skin and under rib cage.
- 8. The method of claim 7, wherein the abdominal skin is adjacent to xyphoid.
- 9. The method of claim 2, wherein the mediastinum tissue is accessed from abdominal skin and under rib cage.
- 10. The method of claim 9, wherein the abdominal skin is adjacent to xyphoid.
- 11. The method of claim 3, wherein the mediastinum tissue is accessed from abdominal skin and under rib cage.
- 12. The method of claim 11, wherein the abdominal skin is adjacent to xyphoid.
- 13. The method of claim 1, wherein the mediastinum tissue is accessed from cervical region and through superior mediastinum using a celephad caudal direction.

14. The method of claim 2, wherein the mediastinum tissue is accessed from cervical region and through superior mediastinum using a celephad caudal direction.

- 15. The method of claim 3, wherein the mediastinum tissue is accessed from cervical region and through superior mediastinum using a celephad caudal direction.
- 16. A method of inducing weight loss in a human subject, said method comprising:
- (1) inserting an electrostimulation device under skin and accessing the mediastinum tissue to be stimulated, wherein the electrostimulation device has an electrostimulation lead and a distal end;
- (2) attaching the electrostimulation lead to the mediastinum tissue to be stimulated;
- (3) attaching the proximal end to an implantable programable pulse generator; and
- (4) using the pulse generator to deliver electrical stimulation through the electrostimulation lead to the mediastinum tissue to be stimulated,

wherein the insertion of the electrostimulation device and attachment of the electrostimulation lead are implemented using minimally invasive surgical techniques.

- 17. The method of claim 16, wherein mediastinum tissue to be treated is vagus nerve or a branch of the vagus nerve.
- 18. The method of claim 16, wherein the mediastinum tissue is accessed from abdominal skin and under rib cage.

19. The method of claim 18, wherein the abdominal skin is adjacent to xyphoid.

- 20. The method of claim 17, wherein the mediastinum tissue is accessed from abdominal skin and under rib cage.
- 21. The method of claim 20, wherein the abdominal skin is adjacent to xyphoid.
- 22. The method of claim 16, wherein the mediastinum tissue is accessed from cervical region and through superior mediastinum using a celephad caudal direction.
- 23. The method of claim 17, wherein the mediastinum tissue is accessed from cervical region and through superior mediastinum using a celephad caudal direction.

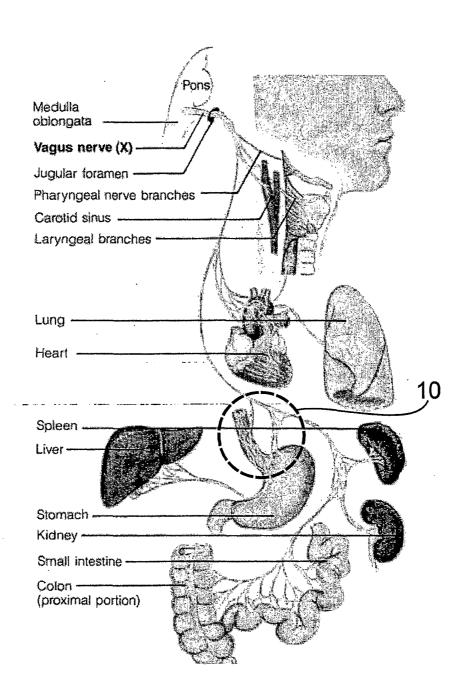
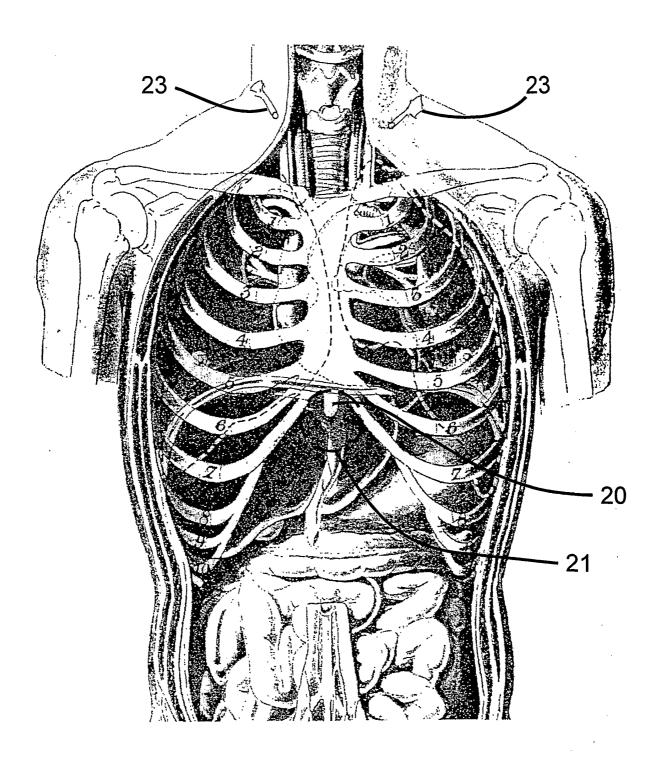


Figure 1



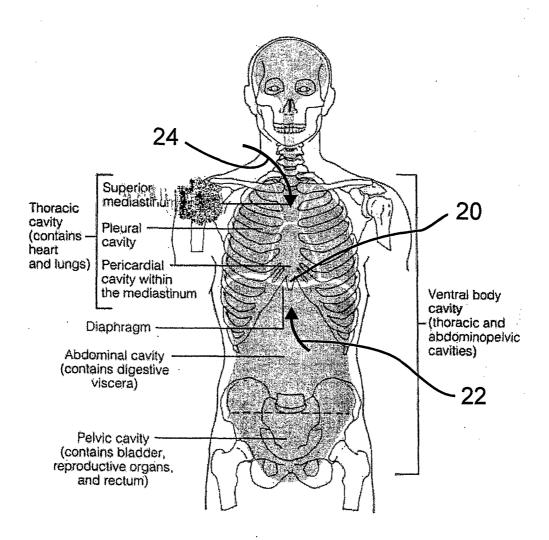


Figure 3

# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/29914

A. CLASSIFICATION OF SUBJECT MATTER			
IPC(7) :A61N 1/18 US CL :607/40			
According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification symbols)			
U.S. : 607/40, 118, 133; 600/373			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	US 6,026,326 A (BARDY) 15 February column 13, lines 28-29.	y 2000, Abstract, figure 1 and	1-6, 7, 9, 11
X	US 5,861,014 A (FAMILONI) 19 January 1999, Abstract.		1-6
Y	US 5,540,734 A (ZABARA) 30 July 1996, Abstract and column 4, lines 61-65.		13-15
X	US 5,540,730 A (TERRY et al) 30 July 1996, Abstract, figure 3 and column 5, lines 19-38.		1-6, 7, 9, 11
X	US 5,188,104 A (WERNICKE et al) 23 February 1993, Summary of Invention.		1-6, 7-12, 16-21
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Further documents are listed in the continuation of Box C. See patent family annex.			
* Special categories of cited documents: "T" later document published after the international filing date or priority			
"A" document defining the general state of the art which is not considered the principle or theory underlying the invention to be of particular relevance			
"E" earlier document published on or after the international filing date document of particular relevance; to considered novel or cannot be considered novel			
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