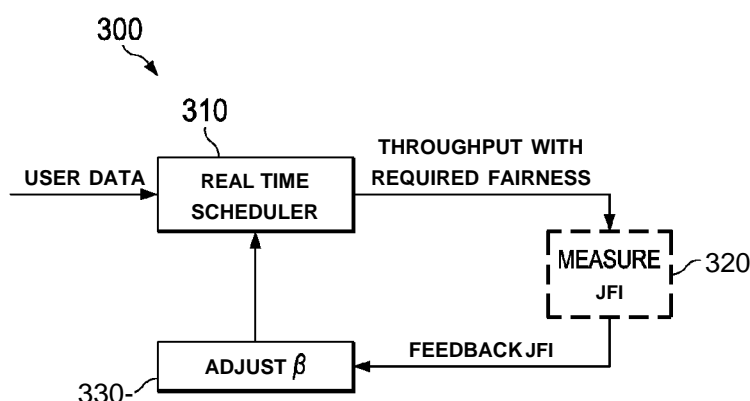




- (51) **International Patent Classification:**
H04W 72/04 (2009.01)
- (21) **International Application Number:**
PCT/US2013/067589
- (22) **International Filing Date:**
30 October 2013 (30.10.2013)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**
61/720,230 30 October 2012 (30.10.2012) US
14/067,542 30 October 2013 (30.10.2013) US
- (71) **Applicant** (for all designated States except IR, SA, US):
HUAWEI TECHNOLOGIES CO., LTD. [CN/CN];
Huawei Administration Building, Bantian, Longgang District, Shenzhen, Guangdong 518129 (CN).
- (71) **Applicant** (for US only): **FUTUREWEI TECHNOLOGIES, INC.** [US/US]; 5340 Legacy Drive, Suite 175, Plano, Texas 75024 (US).
- (72) **Inventors:** **SEDIQ, Akram Bin**; 1807-1525 Alta Vista Dr., Ottawa, Ontario K1G 0G1 (CA). **GOHARY, Rami**; 8 Clarkson Crescent, Ottawa, Ontario K2L 3E2 (CA). **YANIKOMEROGLU, Halim**; 2360 Ryder Street, Ottawa, Ontario K1H-6X7 (CA). **SENARATH, Gamini**; 46, Maple Stand Way, Ottawa, Ontario K2G 6P5 (CA). **CHENG, Ho Ting**; 686 Birchland Cres., Stittsville, Ontario K2S 0S9 (CA).
- (74) **Agent:** **CARLSON, Brian A.**; Slater & Matsil, L.L.P., 17950 Preston Rd., Suite 1000, Dallas, Texas 75252 (US).
- (81) **Designated States** (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) **Title:** SYSTEM AND METHODS TO ACHIEVE OPTIMUM EFFICIENCY- JAIN FAIRNESS IN WIRELESS SYSTEMS**FIG. 3**

(57) **Abstract:** Embodiments are provided for scheduling resources considering data rate-efficiency and fairness trade-off. A value of Jain's fairness index (JFI) is determined for transmitting a service to a plurality of users, and accordingly a sum of throughputs is maximized for transmitting the service to the users. Alternatively, a sum of throughputs is determined first and accordingly the JFI is maximized. Maximizing the sum of throughputs or JFI includes selecting a suitable value for a tuning parameter in an efficiency and fairness trade-off relation model. In accordance with the values of sum of throughputs and JFI, a plurality of resources are allocated for transmitting the service to the users. For static or quasi-static channels, the relation model is a convex function with a monotonic trade-off property. For ergodic time varying channels, the tuning parameter is selected by solving the relation model using a gradient-based approach.



System and Methods to Achieve Optimum Efficiency-Jain Fairness in Wireless Systems

This application claims the benefit of U.S. Provisional Application No. 61/720,230 filed on October 30, 2012 by Akram Bin Sediq et al. and entitled "System and Method for Jain Scheduling in Wireless Networks," and U.S. Non-Provisional Application No. 14/067,542 filed
5 October 30, 2013 entitled "System and Methods to Achieve Optimum Efficiency-Jain Fairness in Wireless Systems" which are hereby incorporated herein by reference as if reproduced in its entirety.

TECHNICAL FIELD

The present invention relates to the field of wireless network communications, and, in
10 particular embodiments, to a system and method for a mechanism to achieve optimum trade-off between efficiency and Jain fairness in wireless systems.

BACKGROUND

In systems, such as wireless communications systems, services are provided to users based on the allocation of shared finite resources that are limited. The allocation of these
15 resources can encounter conflicting goals. For instance, favoring a certain class of users may increase the system's efficiency, but may result in the dissatisfaction of other classes of users. In contrast, providing equal services to all users may result in higher fairness but low efficiency. Using a trade-off policy to control the emphasis placed on various goals can result in wasteful allocation of resources, and less than optimal efficiency and fairness. For example, a benefit can
20 be defined as the rates of data delivered to the users. The rates are controlled by appropriate allocation of radio resources at the transmitters. The transmitters may allocate their resources in such a way that maximizes the sum of the rates delivered to the users. This allocation favors users that are geographically closer to the transmitter at the expense of farther users. Although more efficient from the system's perspective, such allocation is unfair to users at less advantageous
25 locations. A fairer allocation is one in which the minimum rate received by the users is maximized. However, this allocation can result in unacceptable system efficiency, e.g., a low sum rate. Hence, there is a need for a better mechanism to find an optimal trade-off policy that allocates resources with higher efficiency and at the same time higher fairness to the users.

SUMMARY OF THE INVENTION

In accordance with an embodiment, a method implemented by a network component for scheduling resources considering data rate-efficiency and fairness trade-off includes determining a value of Jain's fairness index (JFI) for transmitting a service to a plurality of users. The method
5 further includes maximizing, for the determined value of JFI, a sum of throughputs for transmitting the service to the plurality of users. Maximizing the sum of throughputs for the determined value of JFI includes selecting a suitable value for a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the sum of throughputs. A plurality of
10 resources are allocated for transmitting the service to the plurality of users in accordance with the maximized sum of throughputs for the determined value of JFI.

In accordance with another embodiment, a method implemented by a network component for scheduling resources considering data rate-efficiency and fairness trade-off includes determining a sum of throughputs for transmitting a service to a plurality of users, and
maximizing, for the determined sum of throughputs, a value of JFI for transmitting the service to
15 the plurality of users. Maximizing the value of JFI for the determined sum of throughputs includes selecting a suitable value for a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the sum of throughputs. A plurality of resources are allocated for transmitting the service to the plurality of users in accordance with the maximized value of JFI for the determined sum of throughputs.

20 In accordance with yet another embodiment, a network component for carrier aggregation for wireless networks includes at least one processor and a non-transitory computer readable storage medium storing programming for execution by the at least one processor. The programming includes instructions to schedule, for plurality of users, resources to transmit data of a service. The scheduled resources correspond to at least one of a target throughput of
25 transmission and a target fairness level for the users. The programming includes further instructions to measure a JFI of the throughput, and in accordance with the measured JFI, adjust a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the throughput. The network component is further configured to reschedule the resources in accordance with the adjusted tuning parameter.

30 The foregoing has outlined rather broadly the features of an embodiment of the present invention in order that the detailed description of the invention that follows may be better understood. Additional features and advantages of embodiments of the invention will be described hereinafter, which form the subject of the claims of the invention. It should be appreciated by those skilled in the art that the conception and specific embodiments disclosed
35 may be readily utilized as a basis for modifying or designing other structures or processes for

carrying out the same purposes of the present invention. It should also be realized by those skilled in the art that such equivalent constructions do not depart from the spirit and scope of the invention as set forth in the appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and the advantages thereof, reference is now made to the following descriptions taken in conjunction with the accompanying drawing, in which:

- 5 Figure 1 illustrates an example of a wireless communications network;
 Figure 2 illustrates an embodiment of a scheduler system using data from an off-line simulator;
 Figure 3 illustrates an embodiment of a scheduler system using a Jain's fairness index (JFI) feedback loop;
 10 Figures 4a and 4b illustrate graphs of system performance for a first simulation scenario;
 Figures 5a and 5b illustrate graphs of system performance for a second simulation scenario;
 Figure 6 illustrates an embodiment of a scheduler method for achieving optimum efficiencies and fairness.
 15 Figure 7 is a diagram of a processing system that can be used to implement various embodiments.

Corresponding numerals and symbols in the different figures generally refer to corresponding parts unless otherwise indicated. The figures are drawn to clearly illustrate the relevant aspects of the embodiments and are not necessarily drawn to scale.

20 DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

- The making and using of the presently preferred embodiments are discussed in detail below. It should be appreciated, however, that the present invention provides many applicable inventive concepts that can be embodied in a wide variety of specific contexts. The specific embodiments discussed are merely illustrative of specific ways to make and use the invention,
 25 and do not limit the scope of the invention.

- Figure 1 shows a wireless communications network 100 comprising a plurality of base stations (BSs) 110 and a plurality of mobile stations (MSs) 120. The BSs 110 provide voice and/or data wireless communication services to the MSs 120. The BSs 110, which may also be referred to by other names such as access networks (ANs), access point (APs), Node-Bs, or eNBs,
 30 send downlink (DL) information to the MSs 120 and receive uplink (UL) information from the MSs 120. The BSs 110 may have wireless coverage areas referred to as cells 101 within which the MSs 120 can be served. The coverage areas or cells 101 represent the range of each BS 110 to adequately transmit data, and, while not necessarily shown, the coverage areas 101 of adjacent BSs 110 may have some overlap in order to accommodate handoffs between BSs 110 whenever a

MS 120 exits one coverage area 101 and enters an adjacent coverage area 101. Each BS 110 also includes a scheduler 112 for allocating radio resources to transmit data to the MSs 120.

However, the number of radio resources to be shared by users is finite. A scheduler generally determines how to allocate the resources to the users in an efficient and fair manner.

- 5 Efficiency of the allocation is traditionally represented by the sum rate, whereas fairness is separately represented by various measures, including an α -fairness measure. It is shown by T. Lan et al. in Proceedings of IEEE International Conference on Computer Communications (INFOCOM), March 2010, which is incorporated herein by reference, that an α -fair trade-off policy provides optimum trade-off between efficiency and a variable fairness measure that
- 10 depends on the extrinsic parameter α . Because maximizing the α -fair utility corresponds to optimizing a variable fairness measure, it can be shown that this utility does not guarantee optimum trade-off between efficiency and any fixed (α -independent) fairness measure. The Jain's index is another definition used to quantify fairness. This index is a bounded continuous function of the service and a metric that conforms with standard fairness benchmarks. Compared with
- 15 other measures, such as the α -fairness measure, the Jain's index provides fairness criterion that takes into consideration all the users of the system, not only those users that are assigned minimal resources. Hence, maximizing this index while avoiding wasteful allocation of resources requires the determination of the optimal trade-off between efficiency and this index. However, the α -fair allocations are not guaranteed to achieve the optimal trade-off between efficiency and Jain's
- 20 index except for the case of considering only two users.

- Embodiments are provided herein to obtain the optimal efficiency-fairness trade-off for any number of users using the Jain's index measure of fairness. This approach allows the implementation of a relatively simple scheduler that yields long-term average rates, which achieves an optimal efficiency-Jain fairness trade-off. Details of the problem, including
- 25 formulation, solution, and comparison to α -fair allocations in terms of optimal efficiency-fairness trade-off, are described by Akram Bin Sediq et al. in "Optimal Tradeoff between Efficiency and Jain's Fairness Index in Resource Allocation," IEEE International Symposium on Personal, Indoor, and Mobile Radio Communications (PIMRC), September, 9, 2012, and further in "Optimal tradeoff between sum-rate efficiency and Jain's fairness index in resource allocation,"
- 30 IEEE transactions on Wireless Communications, July 2013, both which are hereby incorporated herein by reference as if reproduced in their entirety. To solve the set of optimization problems, sufficient conditions are also derived. The conditions enable identifying sets of admissible services for which these problems are relatively simple to solve.

- The embodiments include an optimal efficiency-Jain scheduler for quasi-static channels
- 35 with time sharing. In this case, time-sharing generally is possible. The scheduler is obtained by

- solving a standard convex optimization problem. As an example, a channel between a BS and fixed relays can be modeled as a quasi-static channel. With respect to optimal efficiency-Jain scheduling for quasi-static channels with time sharing, a plurality of parameters are defined. The parameters include the data rate r_{mn} of user m on resource block (RB) n . The RB represents the frequency channel for transmitting the data. The parameters include a scheduling variable $p_{mn} \in [0,1]$ that assigns the RB n to user m for a fraction p_{mn} of the signaling interval. The total data rate of user m is defined as $x_m = \sum_{n=1}^N p_{mn} r_{mn}$, where N is the maximum number of RBs considered. Further, \mathbf{x} is a length- M vector (M is an integer) of non-negative real entries $\{x_i\}_{i=1}^M$ representing the rates of users. For $\mathbf{x} \in \mathbb{R}_+^M$, the Jain's fairness index $J : \mathbb{R}_+^M \rightarrow \mathbb{R}_+$ is defined as $J(\mathbf{x}) = \frac{(\sum_{i=1}^M x_i)^2}{M \sum_{i=1}^M x_i^2}$, where $J = \frac{1}{M}$ corresponds to the least fair allocation in which only one user receives a non-zero service, and $J = 1$ corresponds to the fairest allocation in which all users receive the same benefit. The parameters further include a threshold $x_{min} \geq 0$ on the minimum rate that can be delivered to each user. Thus, a set of all feasible rates $C \subseteq \mathbb{R}_+^M$ is the given by $C = \{\mathbf{x} | x_m = \sum_{n=1}^N p_{mn} r_{mn}, \sum_{m=1}^M p_{mn} \leq 1, p_{mn} \in [0,1], x_m \geq x_{min}\}$.
- The problem, as formulated above, generally may be difficult to solve. In an embodiment, a key property, namely a monotonic trade-off property, is identified to simplify the solution. When possessed by C , this property enables transforming the original problem formulation above to an equivalent convex form. For an algorithm with a rate vector \mathbf{x} and a function of scheduling variable p_{mn} , the trade-off is parameterized by a total sum-rate σ . For each given σ , the scheduling variables can be found by solving the equation $p_{mn} = \frac{r_{mn}}{\sigma} \ln \frac{1}{\sum_{m=1}^M x_m - \sigma}$. Conditions for the monotonic trade-off property for C , which simplifies the solution, are satisfied in various resource allocation problems in communication networks. For instance, in congestion control in elastic traffic communication networks, the users share finite capacity links and the goal is to assign the service vector \mathbf{x} , which represents the rates delivered to the users, in an efficient and fair manner.
- In another embodiment, an optimal efficiency-Jain scheduler is provided for ergodic time-varying channels with long-term average rates. In this case, time sharing generally is not possible. The scheduler is obtained by solving a stochastic optimization problem using a gradient-based approach. A plurality of parameters are defined, including the data rate $r_{mn}(t)$ of user m on RB n at time t . The parameters include a binary scheduling variable $p_{mn}(t) \in \{0,1\}$ that assigns the RB n to user m at time t . Due to time-variation, an appropriate performance measure is the long-term average rate, rather than instantaneous rates. A small positive scalar $\mu \in (0,1)$ is introduced. Hence, the exponentially weighted moving average rate for user m in time t is given by $W_m(t) = (1 - \mu)W_m(t-1) + \mu \sum_{n=1}^N p_{mn}(t) r_{mn}(t)$.

This may be a difficult optimization problem to solve due to stochastic variations. However, the set of all achievable long-term average rates satisfies the monotonic trade-off property. The solution can be obtained by applying the gradient-based scheduling algorithm to an auxiliary optimization problem that is derived from the original problem, which corresponds to maximizing Jain's fairness index for a given efficiency. The equivalence of the considered optimization problem and the long-term optimality of the obtained algorithm are proved using the monotonic trade-off property. The proof of the monotonic trade-off property is described by Akram Bin Sediq et al., PIMRC 2012.

The trade-off is parameterized by $\beta \in [\beta^*, \infty)$, where β^* is the largest β such that Jain's index is 1 (Jain's index is maximal). The value of β^* depends on the feasible set of the average rates and can be obtained either online using standard adaptive techniques, or offline if the statistics of the instantaneous rates are available, as described above. The role of β is to control the total sum-rate indirectly. An optimal long-term average efficiency-Jain scheduler can be expressed as:

$$\rho_{mn} = \begin{cases} 1, & \text{if } m = \arg \max_{m \in \mathcal{M}} (\beta^2 - W_m(t-1))r_{mn}(t), \\ 0, & \text{otherwise.} \end{cases}$$

Figure 2 illustrates an embodiment of a real-time scheduler system 200 using data from an off-line simulator. The real-time scheduler system 200 provides a certain level of fairness using off-line simulations with different user rate distributions and different β values. A database 240 of required β values to achieve corresponding levels of Jain's fairness index (JFI) is prepared off-line for different rate distributions. An off-line single cell simulator 210 calculates throughput for different resource requirement distributions, such as different data rates that can be supported, mean signal-to-interference-plus-noise ratio (SNIR), and/or rates supported weighted by the traffic, for varying β values. The JFI is then measured for the throughput values. Thus, a mapping 201 between JFI and β values is obtained for different user rate resource requirement distributions. Subsequently, in real-time, a scheduler 220 receives user data and schedule resources for users with required fairness. The required fairness value is predefined. The JFI for the data throughput is then measured by a JFI measurement module or block 230, and the value is matched with the database 240 of quantized user distributions to obtain an adjusted β value corresponding to the JFI (according to the mapping 201). The adjusted β value from the database 220 is then used by the real-time scheduler 220 to schedule resources with corresponding throughput. The scheduler system 200 is implemented in real-time after preparing the database 240 off-line.

Figure 3 illustrates an embodiment of a real-time scheduler system 300 using a JFI feedback loop. The JFI feedback loop is used to adjust the β value in real-time and hence update

scheduling and throughput. In real-time, a scheduler 310 receives user data and schedule resources for users with a certain required fairness. The required fairness value is predefined. The JFI for the data throughput is then measured by a JFI measurement module or block 320, and the value is sent to a module 330 for adjusting the β value in real-time according to the measured JFI and a target JFI. The β value calculation at the module 330 is based on the solutions described above. The calculated β value is then used by the real-time scheduler 310 to reschedule resources and hence adjust throughput to reach the target JFI. The scheduler system 300 can be implemented entirely in real-time without using a previously prepared database.

The schedulers above may be implemented in wireless networks, e.g., in BSs or network controllers, to guarantee achieving an optimal trade-off between sum-rate and Jain's index for fairness, unlike other types of schedulers that may not provide, strictly or for all users of a service, a higher sum-rate and at the same time a higher Jain's index. The service provider can adjust the trade-off between sum-rate and Jain's fairness index in a relatively simple manner and achieve both optimal efficiency and fairness. The schedulers may achieve a specified level of fairness (measured by Jain's index) that conforms to standard fairness benchmarks. Thus, virtual network services specifying a certain level of fairness can be provided to users. The fairness measure, which is used to adjust resource allocation, is bounded between 0 and 1. Generally, maximizing the Jain's index metric increases the number of satisfied users and guarantees a specific level of fairness across the users. For example, users can be located in locations that support different rates with the same amount of resources. As described above, a scheduler can achieve all the points in the optimal efficiency-Jain trade-off curve via a single tuning parameter (β). This scheduler implementation is simpler than, for example, the α -fair scheduler in practice.

Simulation results show that the scheduler design herein provides better performance, with lower complexity, compared to the widely used α -fair scheduler. Figures 4a and 4b show exemplary graphs of system performance for a first simulation scenario. Specifically, $M = 4$ users and $N = 5$ RBs are considered for quasi-static channels. The results for these parameters are shown for $x_{min} = 0$ Kbit/s in Figure 4a, and $x_{min} = 50$ Kbit/s in Figure 4b. In both cases, results show that higher fairness (according to Jain's index value) is achieved for the same sum rate efficiency (in the range from 1.5 to 3 Mbit/s) using the scheduling schemes described herein in comparison to the α -fair scheduler. Figures 5a and 5b show exemplary graphs of system performance for a second simulation scenario for urban macro (UMa) environment with a time-varying channel. The results for $M = 4$ users and $N = 5$ RBs are shown for $x_{min} = 0$ Kbit/s in Figure 5a, and for $x_{min} = 200$ Kbit/s in Figure 5b. In both cases, results also show that higher fairness (according to Jain's index value) is achieved for the same sum rate efficiency (in the

range from 0.5 to 1.4 Mbit/s) using the scheduling schemes herein in comparison to the a-fair scheduler.

Figure 6 is a flowchart for an embodiment of a method 600 using the optimal efficiency-Jain index scheduling. At step 610, the scheduler (e.g., at a BS or a network controller) schedules resources for user data, e.g., for a set of services, to achieve a target throughput and/or a target fairness level. At step 620, the JFI is measured for the achieved throughput. At step 630, a trade-off parameter is adjusted according to the measured JFI. The trade-off parameter may be σ in the case of quasi-static channels with time sharing, or β in the case of varying channels with long-term average rates. At step 640, the scheduler reschedules the resources for user data according to the trade-off parameter.

Figure 7 is a block diagram of an exemplary processing system 700 that can be used to implement various embodiments. Specific devices may utilize all of the components shown, or only a subset of the components and levels of integration may vary from device to device. For example, the devices include the APs and the STAs of a WLAN or a Wi-Fi system. Furthermore, a device may contain multiple instances of a component, such as multiple processing units, processors, memories, transmitters, receivers, etc. The processing system 700 may comprise a processing unit 701 equipped with one or more input devices, such as a microphone, mouse, touchscreen, keypad, keyboard, and the like. Also, processing system 700 may be equipped with one or more output devices, such as a speaker, a printer, a display, and the like. The processing unit may include central processing unit (CPU) 710, memory 720, mass storage device 730, video adapter 740, and I/O interface 790 connected to a bus 795.

The bus 795 may be one or more of any type of several bus architectures including a memory bus or memory controller, a peripheral bus, video bus, or the like. The CPU 710 may comprise any type of electronic data processor. The memory 720 may comprise any type of system memory such as static random access memory (**SRAM**), dynamic random access memory (**DPsAM**), synchronous **DRAM (SDRAM)**, read-only memory (**ROM**), a combination thereof, or the like. In an embodiment, the memory 720 may include **ROM** for use at boot-up, and **DRAM** for program and data storage for use while executing programs. The mass storage device 730 may comprise any type of storage device configured to store data, programs, and other information and to make the data, programs, and other information accessible via the bus 795. The mass storage device 730 may comprise, for example, one or more of a solid state drive, hard disk drive, a magnetic disk drive, an optical disk drive, or the like.

The video adaptor 740 and I/O interface 790 provide interfaces to couple external input and output devices to the processing unit. As illustrated, examples of input and output devices include the display 760 coupled to the video adaptor 740 and the mouse/keyboard/printer 770

coupled to the I/O interface 790. Other devices may be coupled to the processing unit 701, and additional or fewer interface cards may be utilized. For example, a serial interface card (not shown) may be used to provide a serial interface for a printer.

5 The processing unit 701 also includes one or more network interfaces 750, which may comprise wired links, such as an Ethernet cable or the like, and/or wireless links to access nodes or different networks. The network interface 750 allows the processing unit 701 to communicate with remote units via one or more networks 780. For example, the network interface 750 may provide wireless communication via one or more transmitters/transmit antennas and one or more receivers/receive antennas. In an embodiment, the processing unit 701 is coupled to a local-area
10 network or a wide-area network for data processing and communications with remote devices, such as other processing units, the Internet, remote storage facilities, or the like.

While several embodiments have been provided in the present disclosure, it should be understood that the disclosed systems and methods might be embodied in many other specific forms without departing from the spirit or scope of the present disclosure. The present examples
15 are to be considered as illustrative and not restrictive, and the intention is not to be limited to the details given herein. For example, the various elements or components may be combined or integrated in another system or certain features may be omitted, or not implemented.

In addition, techniques, systems, subsystems, and methods described and illustrated in the various embodiments as discrete or separate may be combined or integrated with other systems,
20 modules, techniques, or methods without departing from the scope of the present disclosure. Other items shown or discussed as coupled or directly coupled or communicating with each other may be indirectly coupled or communicating through some interface, device, or intermediate component whether electrically, mechanically, or otherwise. Other examples of changes, substitutions, and alterations are ascertainable by one skilled in the art and could be made without
25 departing from the spirit and scope disclosed herein.

WHAT IS CLAIMED IS:

1. A method by a network component for scheduling resources considering data rate-efficiency and fairness trade-off, the method comprising:
 - determining a value of Jain's fairness index (JFI) for transmitting a service to a plurality
5 of users;
 - maximizing, for the determined value of JFI, a sum of throughputs for transmitting the service to the plurality of users, wherein maximizing the sum of throughputs for the determined value of JFI includes selecting a suitable value for a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the sum of throughputs; and
10 allocating resources for transmitting the service to the plurality of users in accordance with the maximized sum of throughputs for the determined value of JFI.
2. The method of claim 1 further comprising:
 - transmitting the service to the plurality of users in accordance with the allocated
resources;
15 measuring a Jain's fairness index (JFI) of the sum of throughputs;
 - in accordance with the measured JFI, adjusting the tuning parameter in accordance with the efficiency and fairness trade-off relation model between the JFI and the sum of throughputs; and
reallocating the resources in accordance with the adjusted tuning parameter.
- 20 3. The method of claim 2, wherein adjusting the tuning parameter includes solving, in real-time during transmission of the data, the efficiency and fairness trade-off relation model using a gradient-based approach.
4. The method of claim 1 further comprising:
 - calculating JFI values for a plurality of data rates and varying values of the tuning
25 parameter;
 - mapping the JFI values to the varying values of the tuning parameter for each one of the data rates; and
preparing a database of the mapping, the database relating the JFI values to the varying values of the tuning parameter for each one of the data rates.
- 30 5. The method of claim 4 further comprising:

transmitting the service to the plurality of users in accordance with the allocated resources;

measuring a Jain's fairness index (JFI) of the sum of throughputs;

in accordance with the measured JFI, adjusting the tuning parameter by mapping the

5 measured JFI to a corresponding tuning parameter value in the database; and

reallocating the resources in accordance with the adjusted tuning parameter.

6. The method of claim 5, wherein the tuning parameter is adjusted to achieve a required fairness level for a given set of data rates for the users.

7. The method of claim 4, wherein the database is prepared off-line before transmitting the
10 service to the plurality of users in accordance with the allocated resources.

8. The method of claim 1, wherein the users are located in locations supporting different data rates using same amount of resources.

9. The method of claim 1, wherein the resources are allocated for transmitting the service to the plurality of users on static or quasi-static channels with time sharing, and wherein the
15 efficiency and fairness trade-off relation model is a convex function having a monotonic trade-off property.

10. The method of claim 1, wherein resources are allocated for transmitting the service to the plurality of users on ergodic time varying channels, and wherein selecting the suitable value for the tuning parameter includes solving the efficiency and fairness trade-off relation model using a
20 gradient-based approach.

11. The method of claim 1, wherein the sum of throughputs is a sum of long-term average data rates corresponding to the plurality of users.

12. The method of claim 1, wherein the maximized sum of throughputs has a JFI value that exceeds a maximized a-fairness measure for the same maximized sum of throughputs.

25 13. The method of claim 1, wherein, according to the efficiency and fairness trade-off relation model, a user is assigned a resource block (RB) from the resource blocks if the user has a maximum value of a product of a data rate and a difference between the tuning parameter and an exponentially weighted moving average for the user at the RB.

14. The method of claim 1, wherein the network component is a scheduler at a wireless transmitting or receiving station. 15. A method by a network component for scheduling resources considering data rate-efficiency and fairness trade-off, the method comprising:

determining a sum of throughputs for transmitting a service to a plurality of users;
5 maximizing, for the determined sum of throughputs, a value of Jain's fairness index (JFI) for transmitting the service to the plurality of users, wherein maximizing the value of JFI for the determined sum of throughputs includes selecting a suitable value for a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the sum of throughputs; and
10 allocating resources for transmitting the service to the plurality of users in accordance with the maximized value of JFI for the determined sum of throughputs.

16. The method of claim 15 further comprising:

transmitting the service to the plurality of users in accordance with the allocated resources;

measuring a Jain's fairness index (JFI) of the sum of throughputs;
15 in accordance with the measured JFI, adjusting the tuning parameter in accordance with the efficiency and fairness trade-off relation model between the JFI and the sum of throughputs; and
reallocating the resources in accordance with the adjusted tuning parameter.

17. The method of claim 16, wherein adjusting the tuning parameter includes solving, in real-
20 time during transmission of the data, the efficiency and fairness trade-off relation model using a gradient-based approach.

18. The method of claim 16 further comprising:

calculating JFI values for a plurality of data rates and varying values of the tuning parameter;

25 mapping the JFI values to the varying values of the tuning parameter for each one of the data rates; and

preparing a database of the mapping, the database relating the JFI values to the varying values of the tuning parameter for each one of the data rates.

19. The method of claim 18 further comprising:

transmitting the service to the plurality of users in accordance with the allocated resources;

measuring a Jain's fairness index (JFI) of the sum of throughputs;

in accordance with the measured JFI, adjusting the tuning parameter by mapping the

5 measured JFI to a corresponding tuning parameter value in the database; and

reallocating the resources in accordance with the adjusted tuning parameter.

20. The method of claim 19, wherein the tuning parameter is adjusted to achieve a required fairness level for a given set of data rates for the users.

21. The method of claim 18, wherein the database is prepared off-line before transmitting the
10 service to the plurality of users in accordance with the allocated resources.

22. The method of claim 15, wherein, for the same sum of throughputs, the maximized value of JFI exceeds a maximized a-fairness measure.

23. A network component for carrier aggregation for wireless networks, the network component comprising:

15 at least one processor; and

a non-transitory computer readable storage medium storing programming for execution by the at least one processor, the programming including instructions to:

schedule, for plurality of users, resources to transmit data of a service, wherein the scheduled resources correspond to at least one of a target throughput of transmission and a target
20 fairness level for the users;

measure a Jain's fairness index (JFI) of the throughput;

in accordance with the measured JFI, adjust a tuning parameter in an efficiency and fairness trade-off relation model for the JFI and the throughput; and

reschedule the resources in accordance with the adjusted tuning parameter.

25 24. The network component of claim 23, wherein the plurality of users exceed two users, and wherein the users are located in locations supporting different data rates using same amount of resources.

25. The network component of claim 23, wherein the network component is a scheduler at a base station (BS) or a network controller of a wireless network.

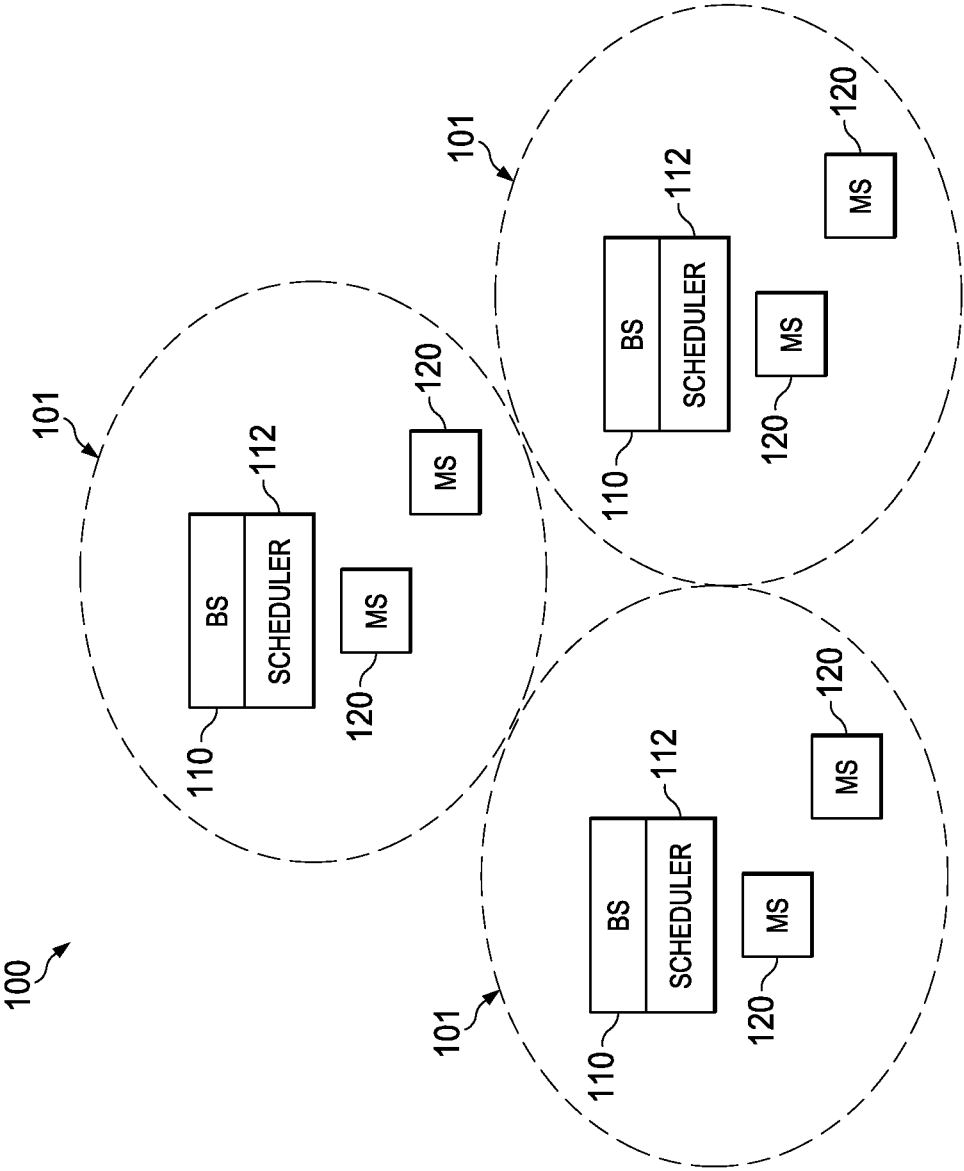
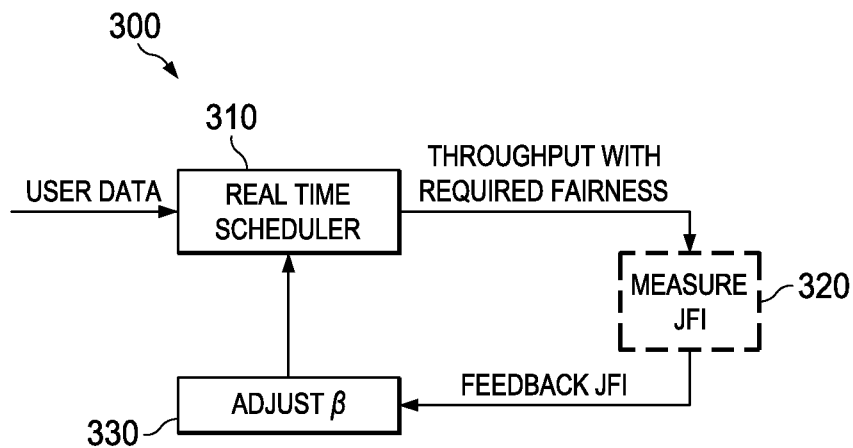
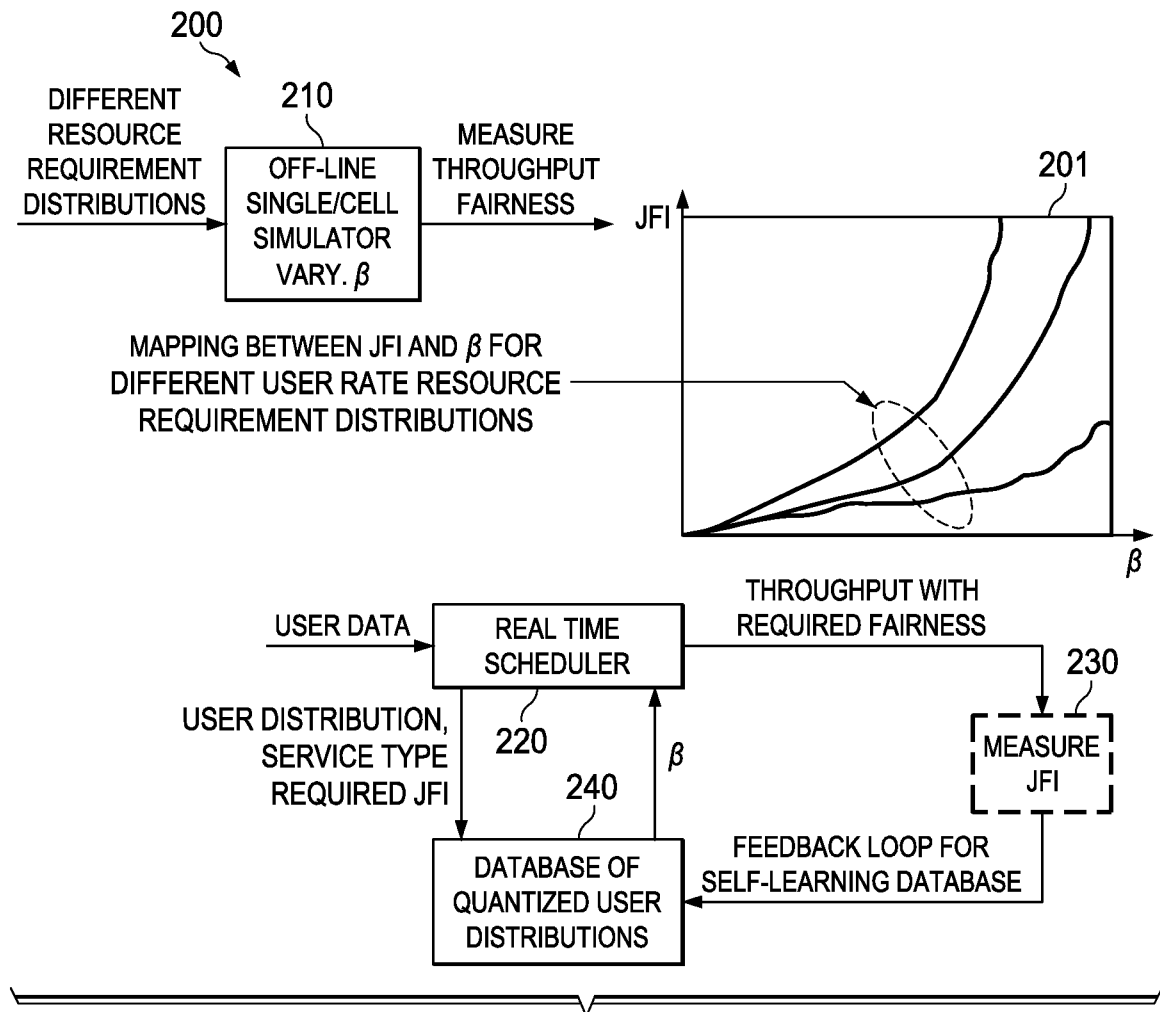


FIG. 1



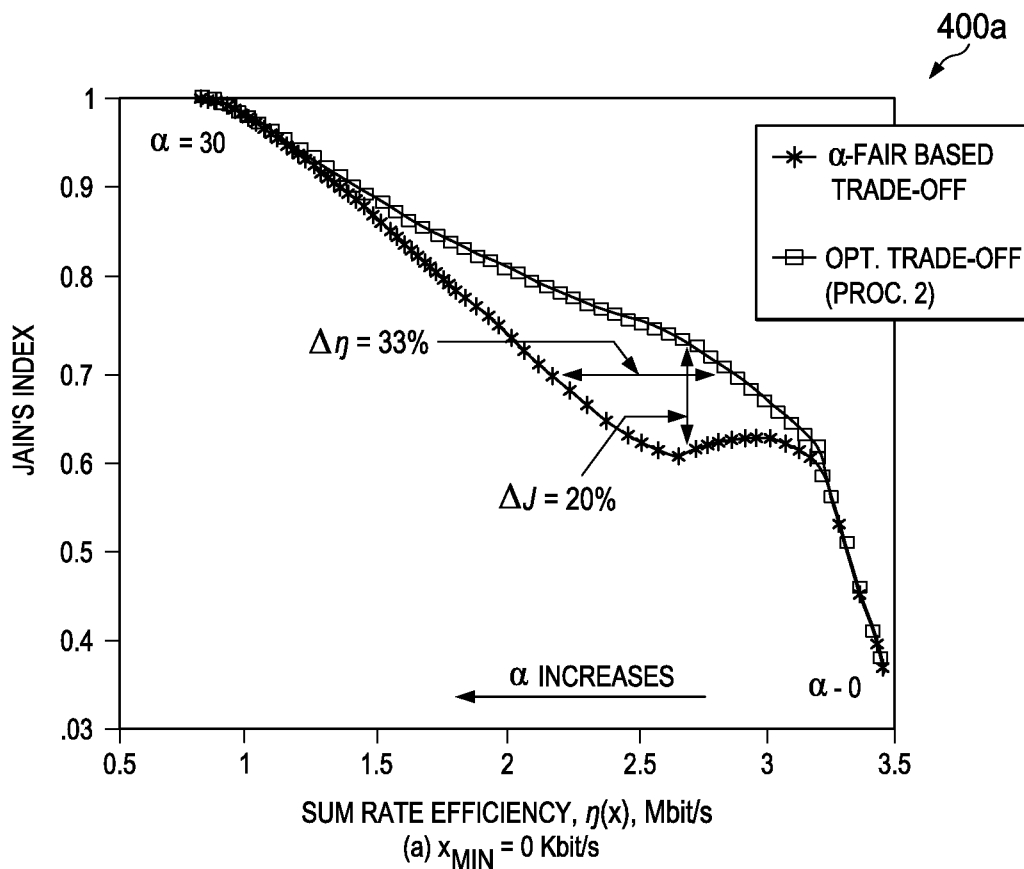


FIG. 4a

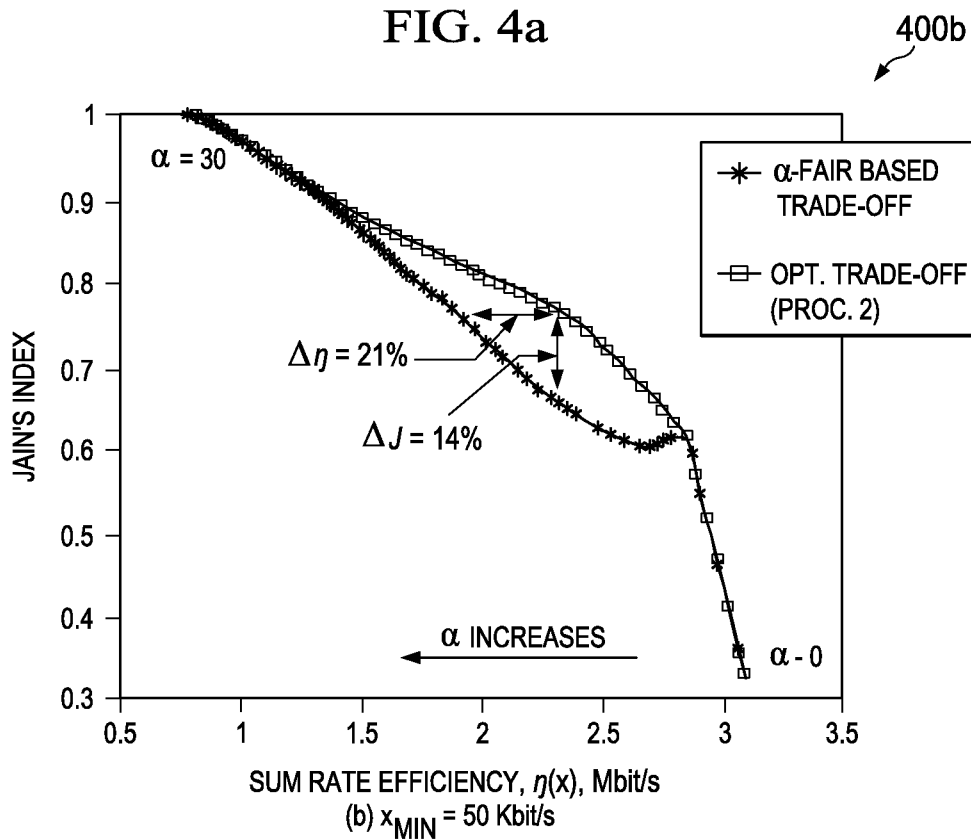


FIG. 4b

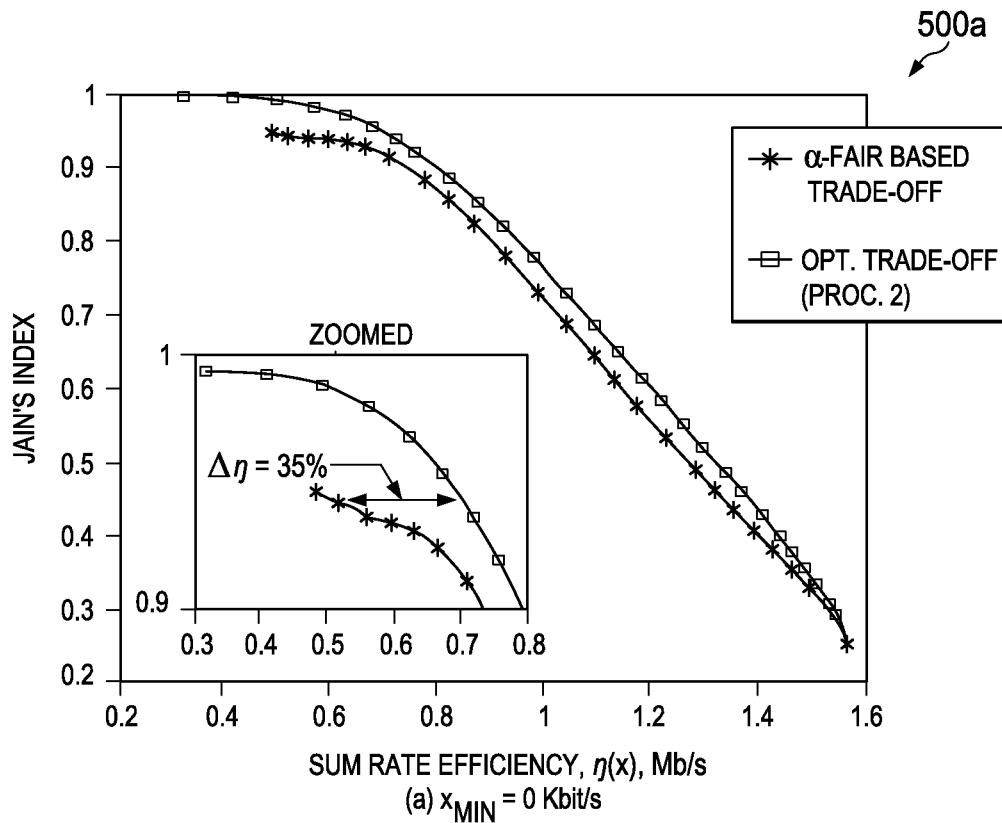


FIG. 5a

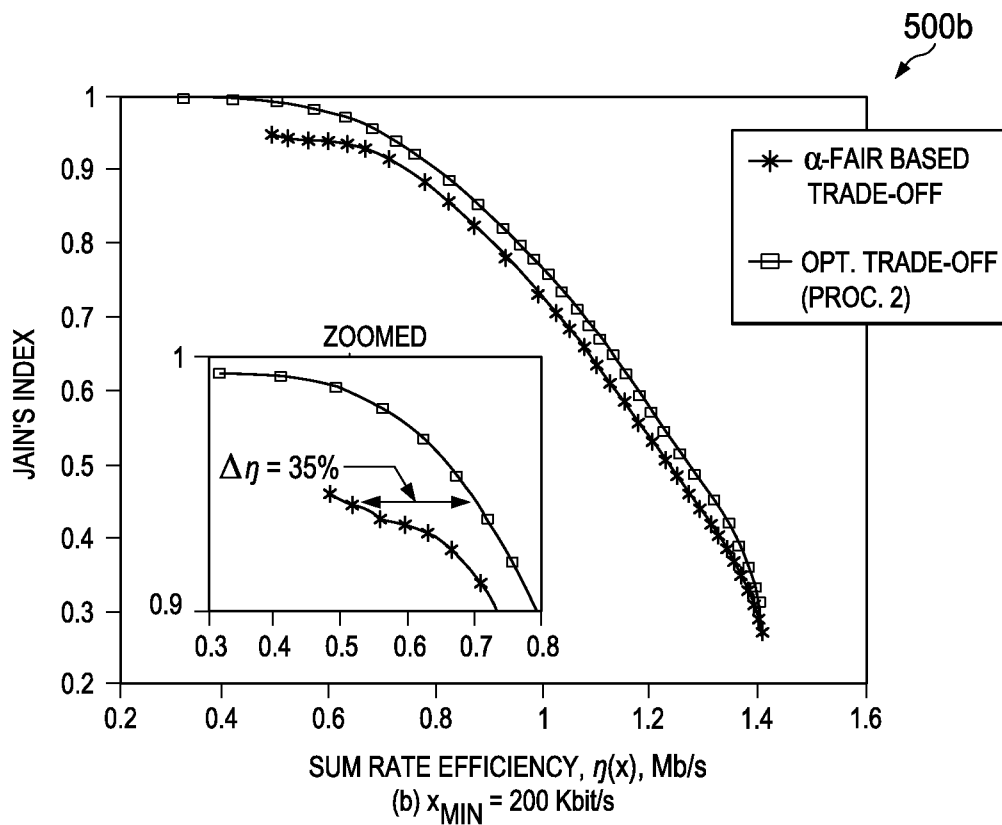


FIG. 5b

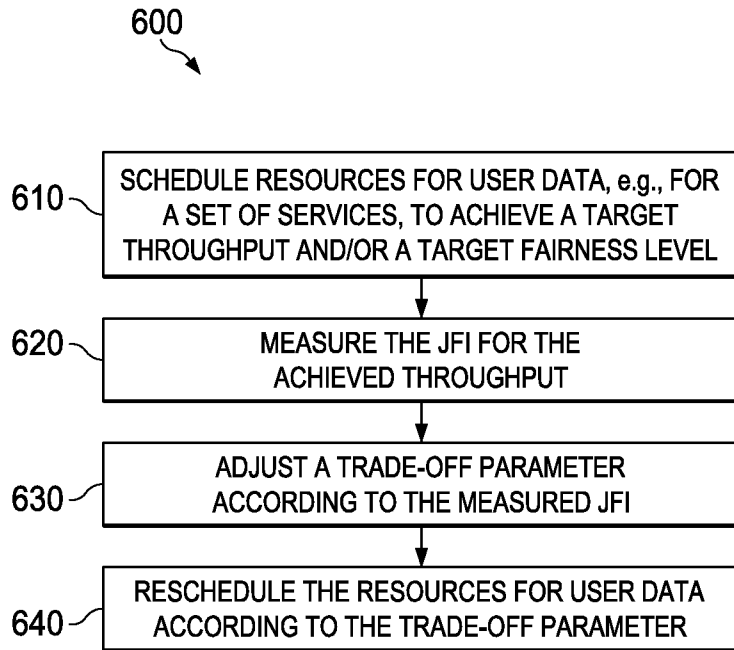


FIG. 6

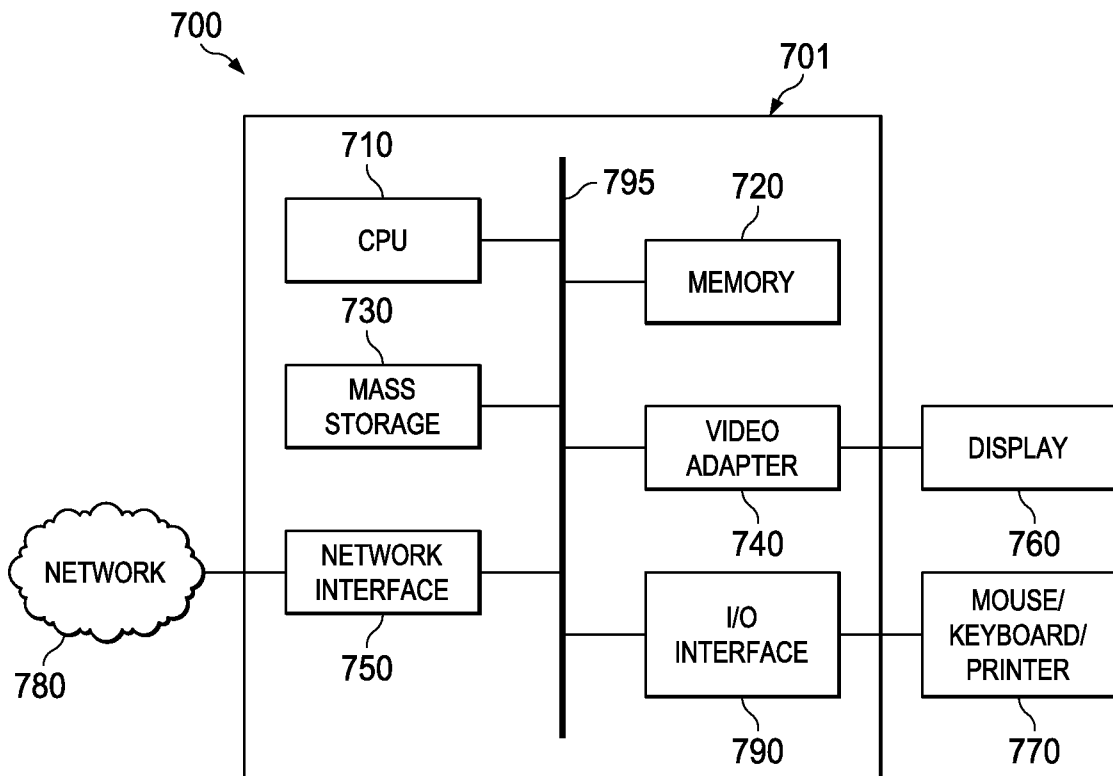


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 13/67589

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - H04W 72/04 (2014.01)

USPC - 455/450; 370/253

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): H04W 72/04; G01R 31/08; G06F 11/00 (2014.01); USPC: 455/450; 370/252, 253, 232

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

MicroPatent (US Granted, US Applications, EP-A, EP-B, WO, JP, DE-G, DE-A, DE-T, DE-U, GB-A, FR-A); Google Scholar; ProQuest; IP.com; jain, fairness, index, JFI, maximize, transmit, value, trade-off, resources, sum, efficiency, measure, wireless

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2009/0082028 A1 (HOSEIN, P et al.) March 26, 2009, paragraph [0083], paragraph [0010], paragraph [0058].	1-25
A	US 8,243,612 B2 (BAHL, P et al.) August 14, 2012, entire document.	1, 13
A	US 8,289,875 B2 (WANG, G et al.) October 16, 2012, entire document.	1, 13

☐ Further documents are listed in the continuation of Box C. ☐

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 13 January 2014 (13.01.2014)	Date of mailing of the international search report 22 JAN 2014
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Authorized officer: Shane Thomas PCT Helpdesk. 571-272-4300 PCTOSP: 571-272-7774